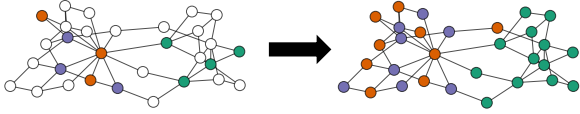
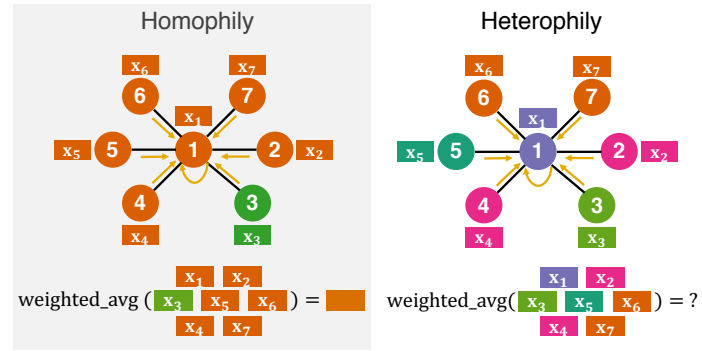


Limitations of GNNs Beyond Homophily

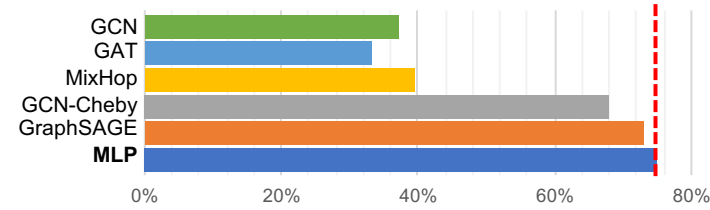
Task: semi-supervised node classification with node features



Problem: many popular GNN models (e.g. GCN) rely on assumed **homophily** and fail to generalize in **heterophily**.



Observation: In heterophily, existing methods have worse classification accuracy than graph-agnostic MLP.



References

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P. Veličković et al. (2018). "Graph Attention Networks". In *ICLR*.

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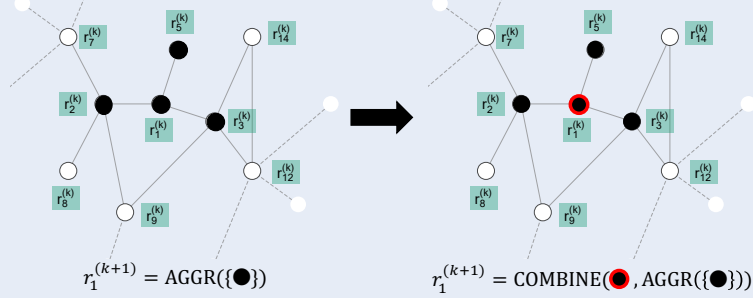
S. Abu-El-Haija et al. (2019). "MixHop: Higher-Order Graph Convolution Architectures via Sparsified Neighborhood Mixing". *ICML*.

H. Pei et al. (2020). "Geom-GCN: Geometric Graph Convolutional Networks". *ICLR*.

Effective Designs for GNNs in Heterophily

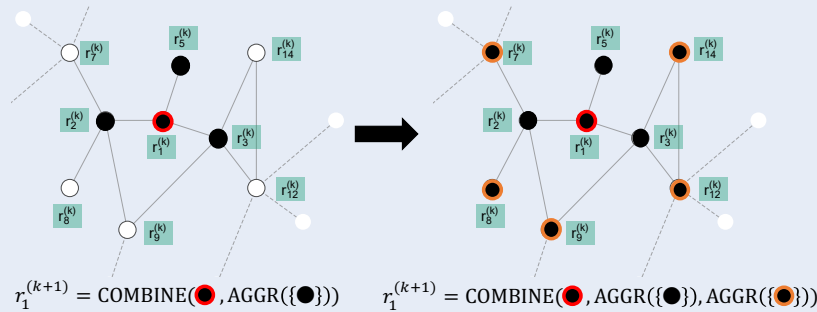
Design D1: Model the of ego- and neighbor-embeddings distinctly (per layer).

- In heterophily, neighbors may have information complementary to ego.



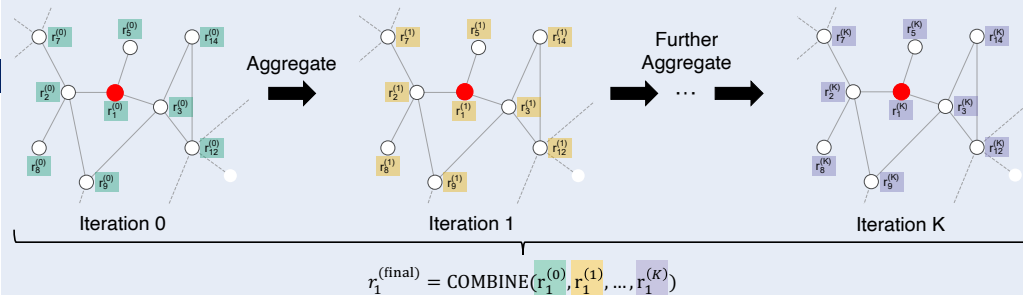
Design D2: Leverage representations of neighbors at different hops distinctly (per layer).

- Under heterophily, higher-order neighborhoods may still show homophily.



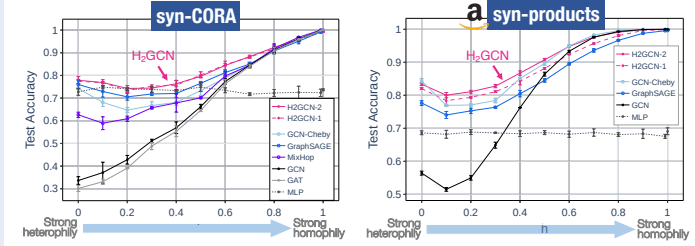
Design D3: Leverage the intermediate representations distinctly (at the final layer).

- Information with different locality contains different frequency components.



Empirical Analysis

Synthetic Benchmarks



$$\text{Edge homophily ratio } h = \frac{\text{intra-class edges}}{\text{total edges}}$$

- H₂GCN, our base model effectively combining all designs, has the best trend overall.
- Ablation study on H₂GCN shows effectiveness of each design, which results in up to 40% performance gain in heterophily.

Real Benchmarks

- In heterophily, models leveraging all or subsets of the designs perform significantly better than methods lacking them (e.g. GCN, GAT):
 - GraphSAGE (D1) vs. GCN: up to +23%
 - GCN-Cheby (D2) vs. GCN: up to +20%
 - GCN+JK (D3) vs. GCN: up to +14%

Method (Designs)	Average Rank		
	Het.	Hom.	Overall
H ₂ GCN-1 (D1, D2, D3)	3.8	3.0	3.6
H ₂ GCN-2 (D1, D2, D3)	4.0	2.0	3.3
GraphSAGE (D1)	5.0	6.0	5.3
GCN-Cheby (D2)	7.0	6.3	6.8
MixHop (D2)	6.5	6.0	6.3
GraphSAGE+JK (D1, D3)	5.0	7.0	5.7
GCN-Cheby+JK (D2, D3)	3.7	7.7	5.0
GCN+JK (D3)	7.2	8.7	7.7
GCN	9.8	5.3	8.3
GAT	11.5	10.7	11.2
GEOM-GCN*	8.2	4.0	6.8
MLP	6.2	11.3	7.9

Detailed Results, Theorems & Code

