

User Guide Pre-application ID

Last update: 5 December 2024



Note for the users

This user guide has been updated on 5 December 2024 to take into account the latest system enhancements.

- The "Shared with" functionalities that allow organisations to share pre-application IDs among them, have been revised. In particular:
 - The name of this set of functionalities was changed from "Share with" to "Sharing options".
 - The relationship type "Shared with", which was granting read-only rights, has been renamed to "Read-only" accordingly.
 - o A new section showing the history of sharing has been added to the pre-application ID page.

Some editorial changes have been introduced to further clarify the existing content.



Index

3.4.7

3.5 3.6

| Introductio | n | |
|--------------------|--|--|
| l. | Scope of the pre-application ID | |
| 1 | Actors of the process | |
| 1.1 | Account qualification | |
| 1.2 | Pre-application ID activities | |
| 1.3 | List of Intended Studies for renewal: Process overview | |
| Accessing C | onnect.EFSA | |
| 2 | Access the Connect.EFSA portal | |
| 2.1 | Accessing pre-submission activities | |
| 2.2 | The pre-submission activities main page | |
| Pre-application ID | | |
| 3 | Create a pre-application ID | |
| 3.1.1 | <u>Pre-application ID - Applications</u> | |
| 3.1.2 | <u>Pre-application ID - Renewal applications</u> | |
| 3.2 | <u>Create a new study</u> | |
| 3.3 | Add a study to the pre-application ID | |
| 3.4 | Create a list of intended studies for renewal | |
| 3.4.1 | <u>Create an intended study</u> | |
| 3.4.2 | Convert single intended studies | |
| 3.4.3 | Submit a list of intended studies | |
| 3.4.3.1 | <u>Submit a list of intended studies – Pesticides</u> | |
| 3.4.3.2 | Submit a list of intended studies – GMO Directive | |
| 3.4.4 | <u>List of intended studies – Clarification Needed</u> | |
| 3.4.5 | <u>List of intended studies – Administrative Check Completed and Public Consultation</u> | |
| 3.4.6 | List of intended studies – In Progress | |

Renewal pre-submission advice and summary of the advice

<u>List of intended studies – Closed</u>

Mass conversion of intended studies



Index

| 3.7 | Delete a pre-application ID | | | |
|---|---|--|--|--|
| 3.7.1 | Delete a pre-application ID and/or remove draft objects | | | |
| Component | ts | | | |
| 3.8 | Add a component | | | |
| 3.8.1 | <u>Create a new component</u> | | | |
| 3.8.2 | Related list "Subject of the Application: Components" | | | |
| 3.8.3 | Note field and Other Components | | | |
| 3.8.4 | Delete link to components | | | |
| 3.8.5 | <u>View Components</u> | | | |
| 3.8.6 | Component details page | | | |
| 3.8.7 | <u>Delete Components</u> | | | |
| Account relationships and sharing options | | | | |
| 3.9 | Account relationship(s) | | | |
| 3.9.1 | Create an account relationship | | | |
| 3.9.2 | Manage account relationship(s) | | | |
| 3.9.3 | Modify an account relationship | | | |
| 3.9.4 | Delete account relationship(s) | | | |
| 3.10 | Share a pre-application ID | | | |
| 3.10.1 | Share a pre-application ID "On behalf of" - overview | | | |
| 3.10.1a | Share a pre-application ID "On behalf of" - without studies | | | |
| 3.10.1b | Share a pre-application ID "On behalf of" - with studies | | | |
| 3.10.1c | Share a pre-application ID "On behalf of" – error message | | | |
| 3.10.1d | Share a pre-application ID "On behalf of" - summary | | | |
| 3.10.2 | Share a pre-application ID – "Read-only" – overview | | | |
| 3.10.2a | Share a pre-application ID "Read-only" – creation | | | |
| 3.10.2b | Share a pre-application ID "Read-only" – summary | | | |
| 3.10.3 | Share a pre-application ID – Sharing history | | | |
| 3.10.4 | <u>Delete sharing permissions</u> | | | |



Index

| General pre-submission advice | | | |
|--|--|--|--|
| 3.11 | General pre-submission advice (GPSA) | | |
| 3.11.1 | Request a GPSA | | |
| 3.11.2 | Deletion of a request for GPSA | | |
| 3.11.3 | Submission of a request for GPSA | | |
| 3.11.4 | <u>Submission of a request for GPSA – Pesticides</u> | | |
| 3.11.4.1 | <u>Submission of a request for GPSA – Pesticides Peer Review (NAS) & Other Areas</u> | | |
| 3.11.4.2 | Submission of a request for GPSA – Pesticides MRL | | |
| 3.11.4.3 | <u>Submission of a request for GPSA – Pesticides Peer Review (AIR)</u> | | |
| 3.11.5 | <u>Submission of a request for GPSA – GMO Directive</u> | | |
| 3.11.6 | <u>Submitted request for GPSA – Pesticide and GMO Directive</u> | | |
| 3.11.7 | Acceptance of a GPSA request by EFSA | | |
| 3.11.8 | Receiving a written GPSA | | |
| 3.11.8 | <u>Limit number of GPSA requests</u> | | |
| Joint pre-submission activities (task force) | | | |
| 4. | Task force scenario – no third party/consultant involved | | |
| 4.1 | Task force scenario – with a third party/consultant involved | | |
| 4.2 | Highlights of the task force scenario | | |
| Reporting features | | | |
| 5 | Reporting features | | |
| 5.1 | Reporting features - Overview | | |
| 5.2 | Reporting features - Folders | | |
| 5.3 | Reporting features – Actions allowed on a report | | |
| 5.4 | Reporting features – Export a report | | |
| 5.5 | Reporting features – Filters functionality | | |
| 5.6 | Reporting features - My studies report | | |
| 5.7 | Reporting features – All my Studies reports | | |
| Recommended documents and links | | | |



Introduction



I - Scope of the pre-application ID

Pre-submission activities

- ✓ General pre-submission advice, Article 32a(1) of the GFL
- ✓ Notification of studies commissioned or carried out to support an application, Article 32b of the GFL
- ✓ Notification of intended studies for renewal application and renewal pre-submission advice, Article 32c(1) of the GFL

1

After registration and prior to initiating any pre-submission activity, a potential applicant must create a pre-application ID, which links all pre-submission activities undertaken by a potential applicant to support a future application related to a specific regulated product in a given regulated product area.

1. Actors of the Process

The process for managing the pre-application ID might involve up to two types of actors:

| Business operators | (orange) |
|---------------------------|----------|
| Third parties/consultants | (blue) |

For ease of reference through this guide, the two roles are visualised by the respective **colour stripe** on the left-hand side of slides.

1. Actors of the Process



Business operators: these users create and manage their preapplication IDs in Connect.EFSA.



Third parties/consultants: these users operate on behalf of business operators when authorised to represent one or more entities, shall also register-in (see the section on <u>Account Relationship</u>). They can create and manage pre-application IDs in Connect.EFSA.

1.1 Account qualification



This guide applies to users qualified as applicant, i.e. organisations such as business operators. They act as potential applicant conducting pre-submission activities linked to a future application for a regulated product in a specific regulated area.

Only these organisations can create pre-application IDs.



The same qualification is assigned to consultants working on behalf of business operators.

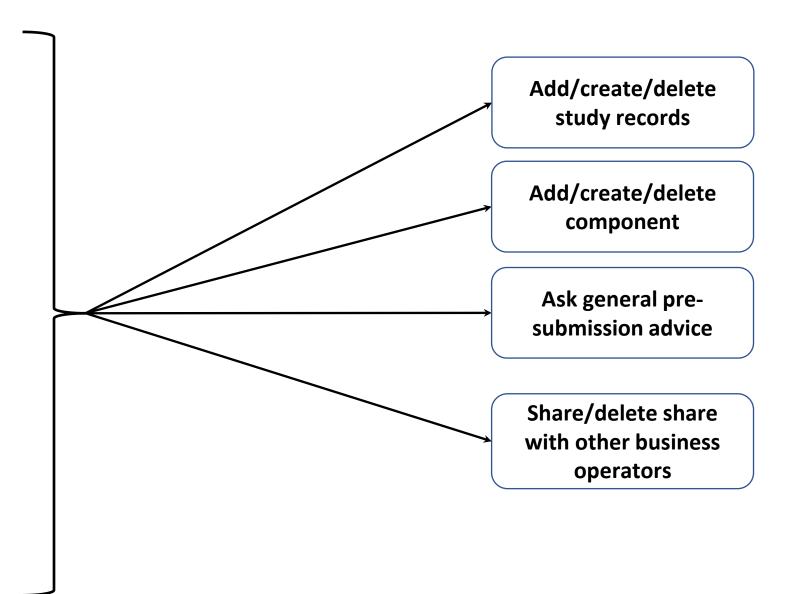
1.2 Pre-application ID activities



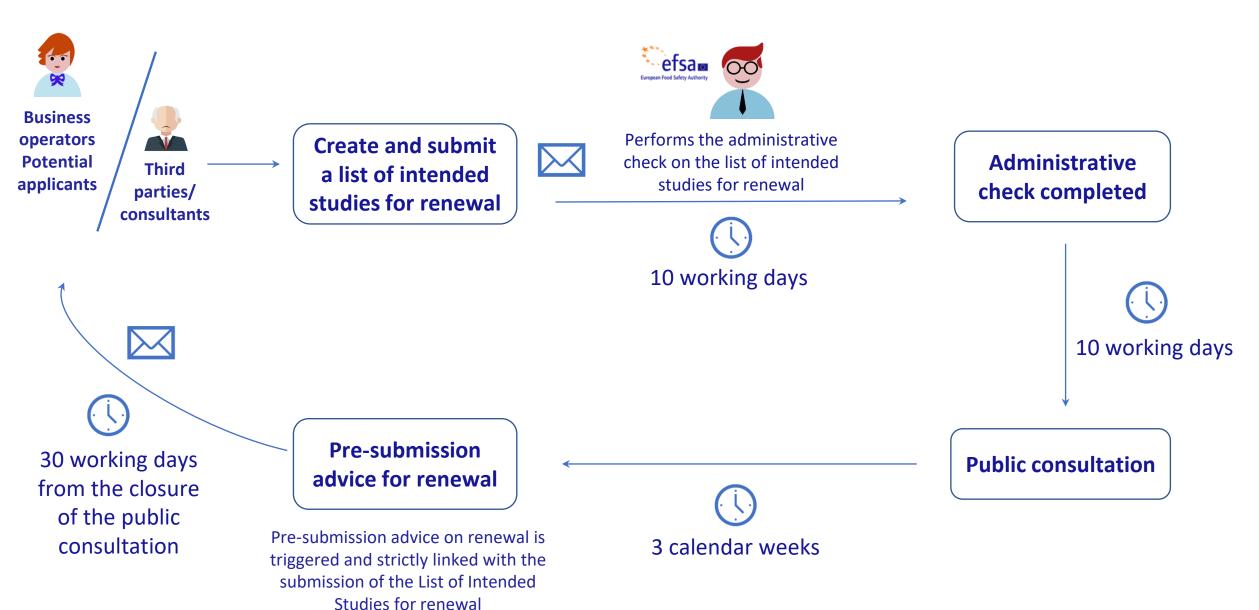
Potential applicantsCreate and manage
pre-application IDs



Third parties/
Consultants
Can be authorised to manage pre-application IDs



1.3 List of intended studies for renewal: Process overview



Accessing Connect.EFSA



2. Access the Connect.EFSA portal

Business operators and their third parties/consultants before starting to conduct pre-submission activities should <u>self-register an account</u> on behalf of their organisation by following the instructions available in the <u>Connect.EFSA registration user manual</u> and identifiable by a <u>pink banner</u> on the left-hand side of the slides.

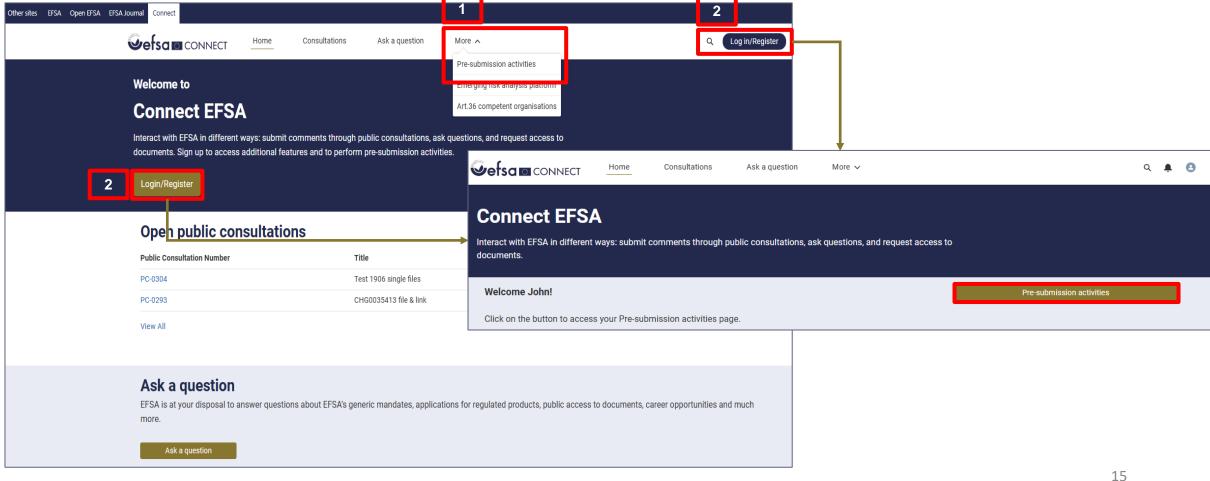
Registered users from business operator and/or third party/consultant organisations can access Connect.EFSA portal from their `trusted` devices via the following link: https://connect.efsa.europa.eu/RM



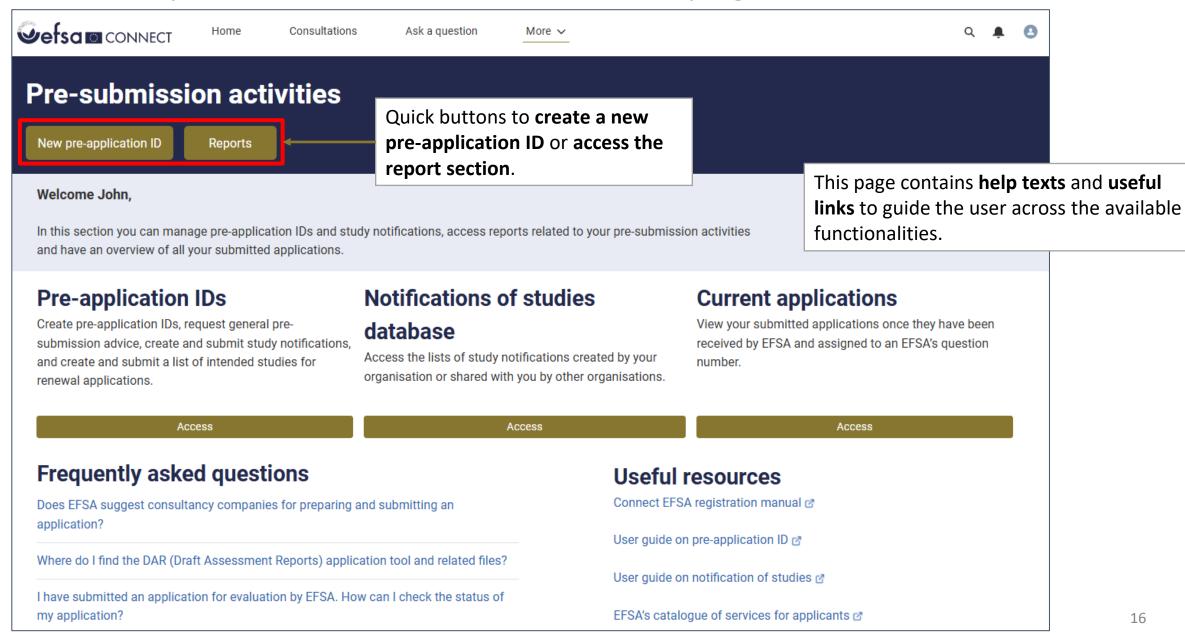
2.1 Accessing pre-submission activities

From the home page of Connect.EFSA users can access the pre-submission activities page in two ways:

- 1. before logging in, by clicking on 'More' and then selecting 'Pre-submission activities'
- 2. after logging in

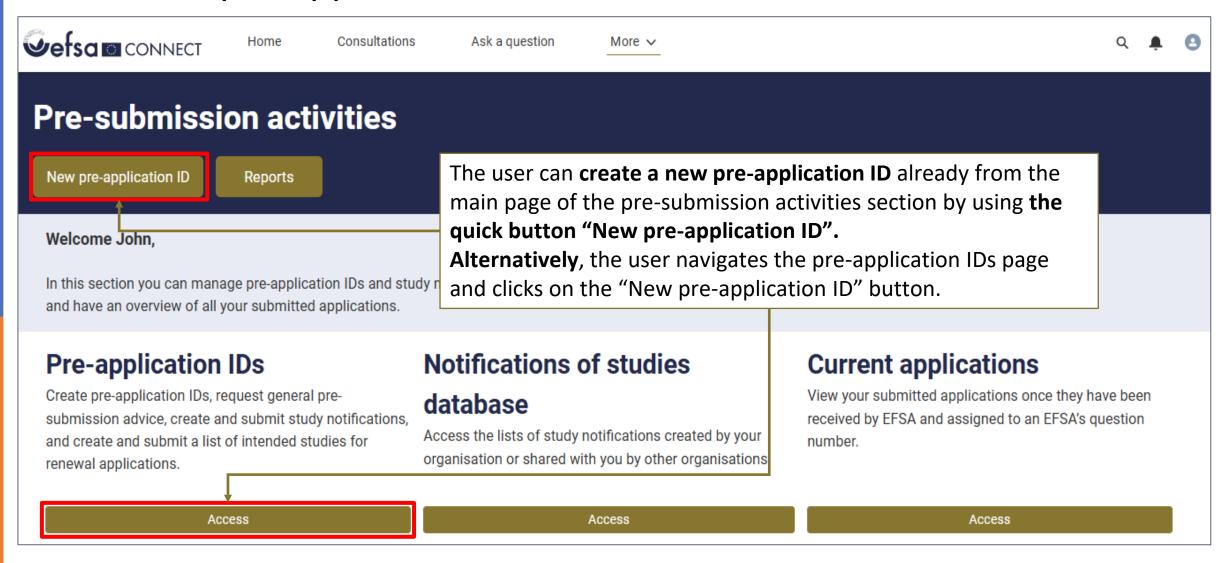


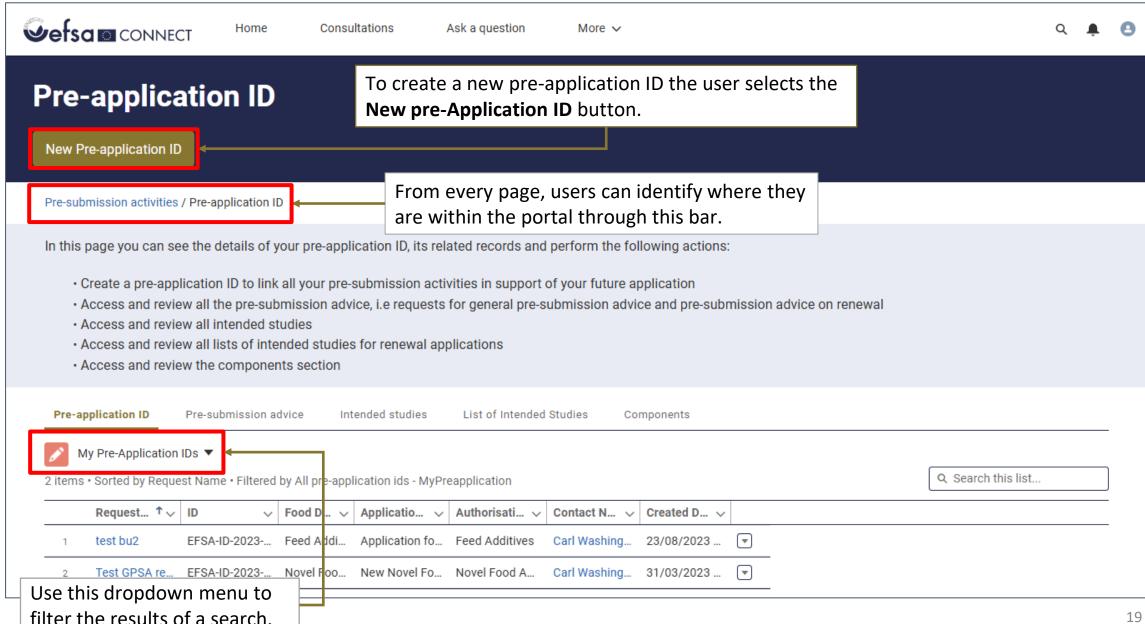
2.2 The pre-submission activities main page



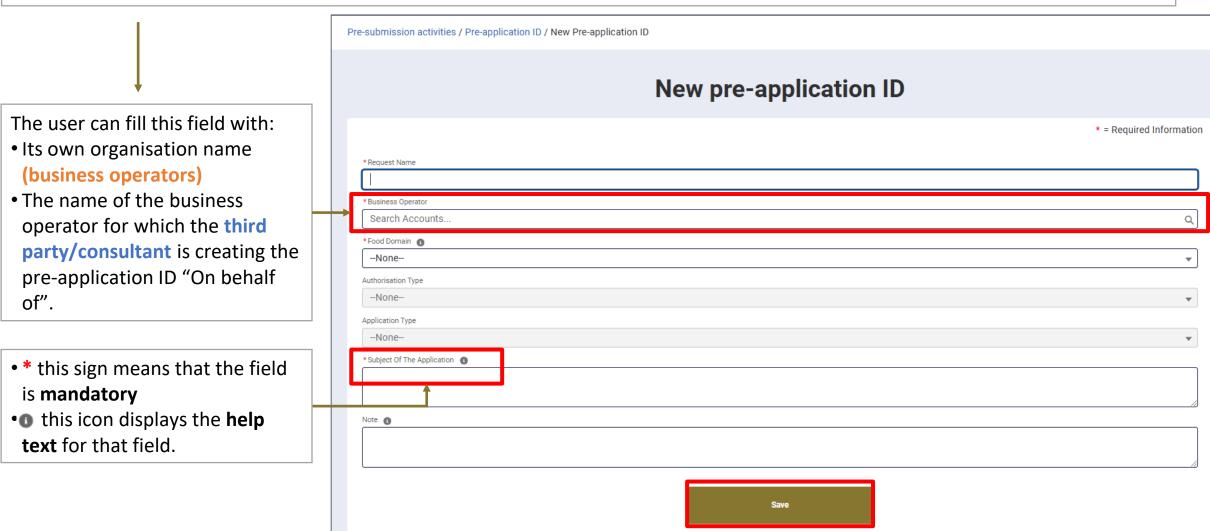
Pre-application ID



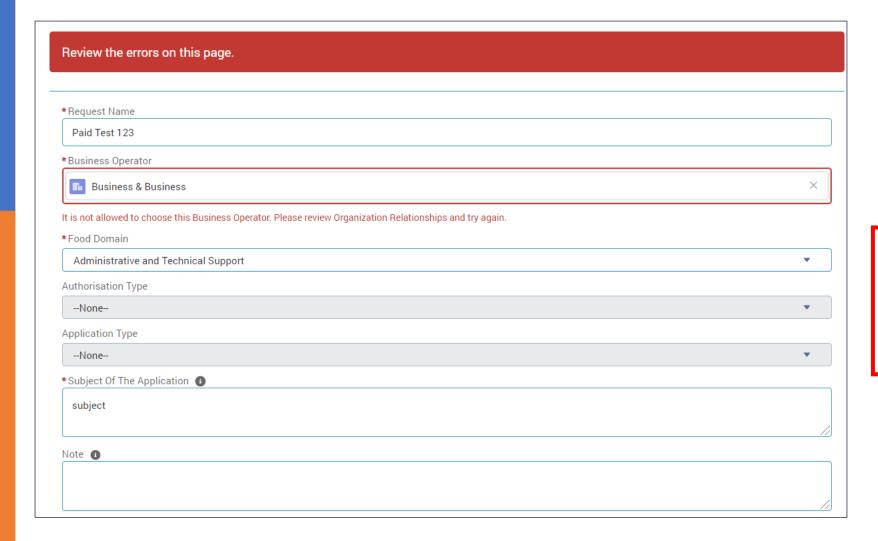




Step 1 – The user indicates the information required to create a new pre-application ID, such as the business operator name and the subject of the application.

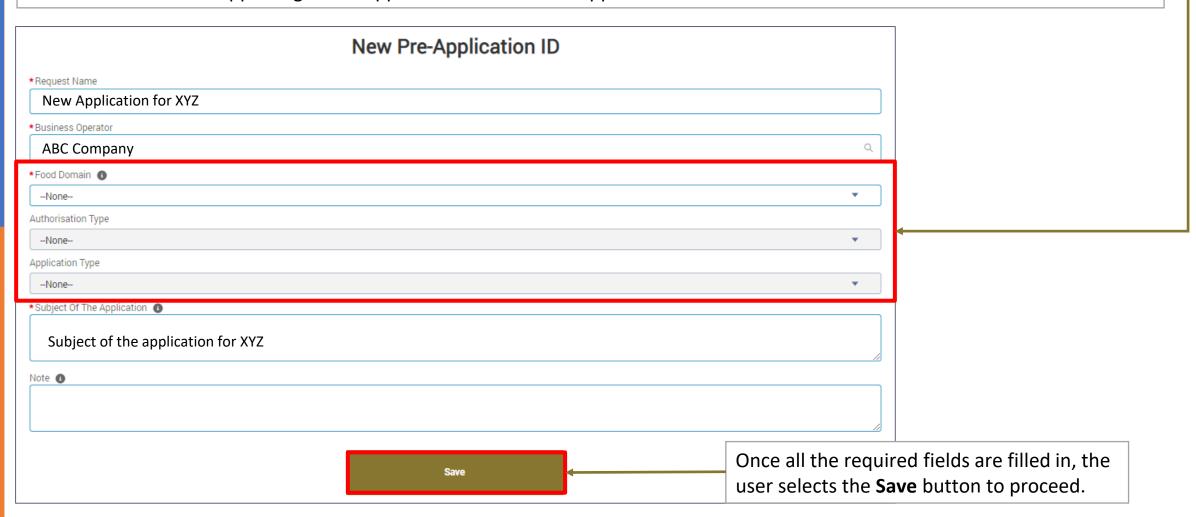


If a business operator or a third party/consultant tries to create a pre-application ID for another organisation, the system returns the following error message, unless a relationship between the two organisation has been previously established.

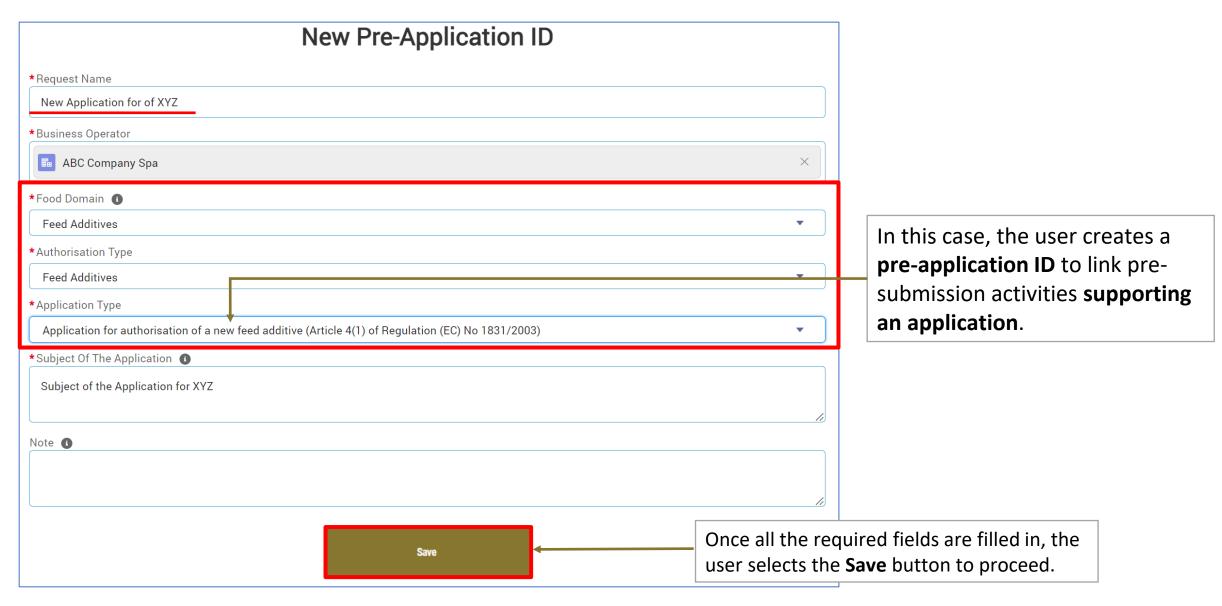


Look at the <u>Account Relationship</u>
<u>section</u> to understand how to establish
a relationship "On behalf of" and enable
an organisation to work on behalf of the
user's organisation.

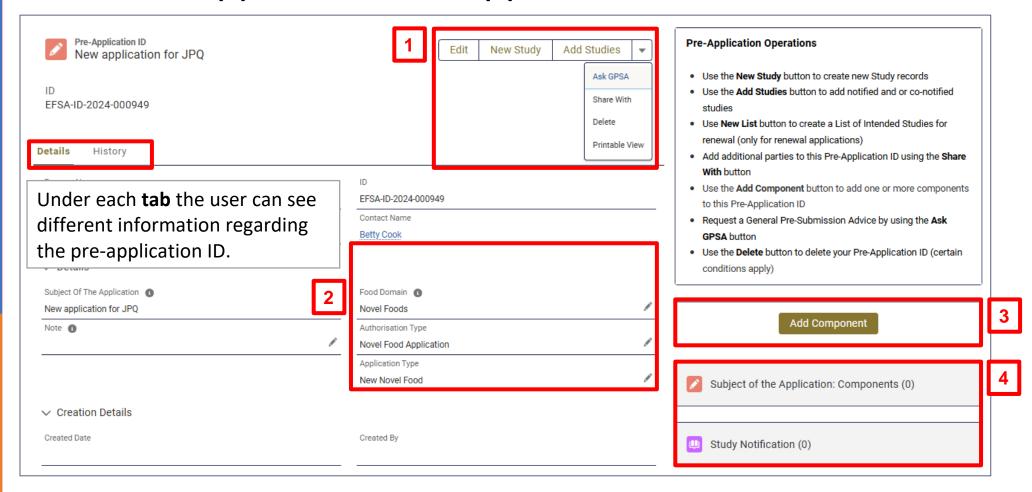
Step 2 - With a given combination of **Food Domain** and **Application Type**, the user can create a pre-application ID to link all presubmission activities supporting a new application or a renewal application.



3.1.1 Pre-application ID - Applications

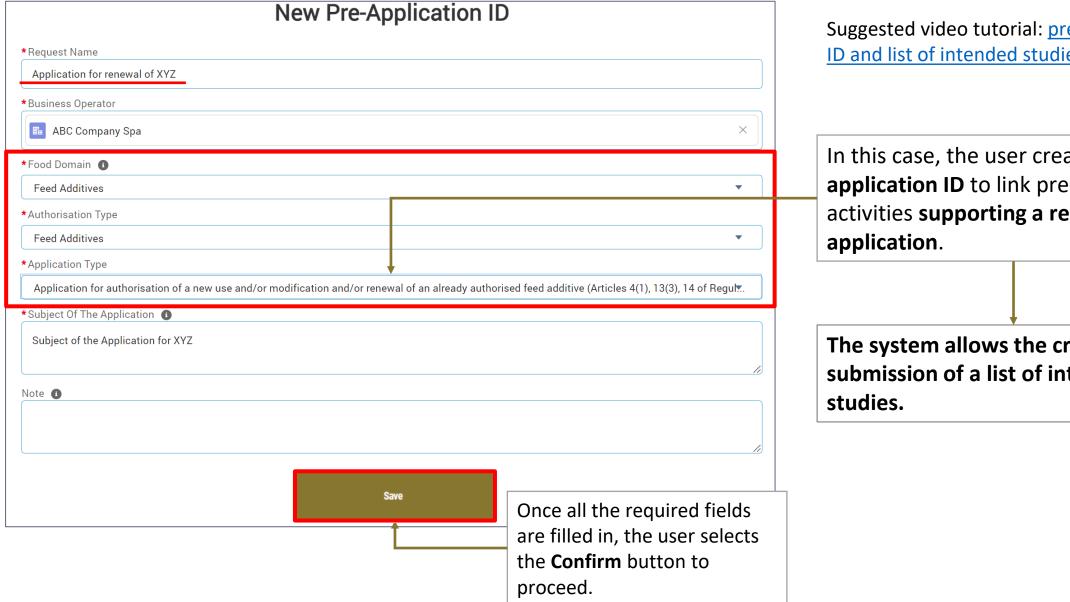


3.1.1 Pre-application ID - Applications



- 1 Use these buttons to create a new draft study or add a notified study, request a GPSA or delete the pre-application ID.
- 2 Values in these fields can be modified only when there are no submitted objects associated to the pre-application ID.
- 3 Use the Add Component button to add/create one or more components and link them to the pre-application ID.
 - This section lists all the associated objects and the sharing relationships.

3.1.2 Pre-application ID - Renewal applications

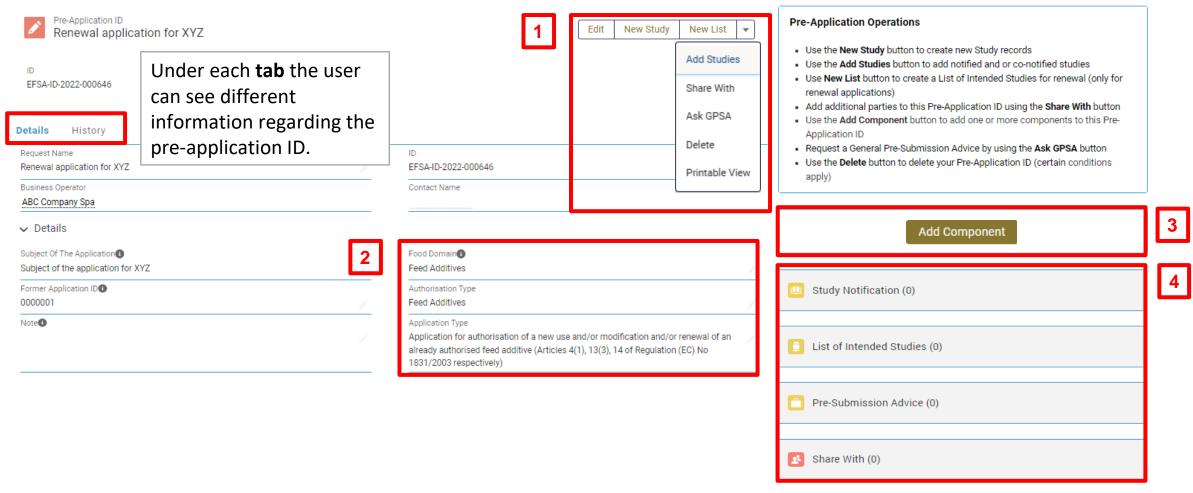


Suggested video tutorial: pre-application ID and list of intended studies.

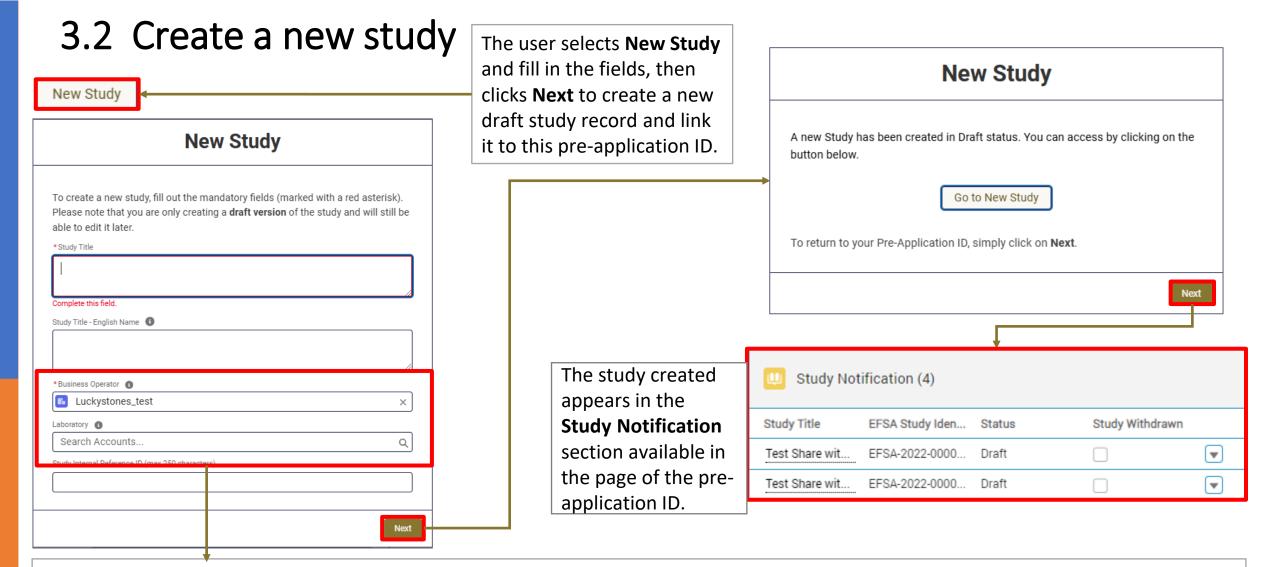
In this case, the user creates a pre**application ID** to link pre-submission activities supporting a renewal

The system allows the creation and submission of a list of intended

3.1.2 Pre-application ID - Renewal applications



- Use these buttons to <u>create a new draft study</u> or <u>add a notified study</u>, <u>request a GPSA</u>, create and submit a <u>list of intended studies</u> or <u>delete</u> the pre-application ID.
- Values in these fields can be modified only when there are not submitted objects associated to the pre-application ID.
- Use the Add Component button to add/create one or more components and link them to the pre-application ID.
- This section lists all the associated objects and the sharing relationships.



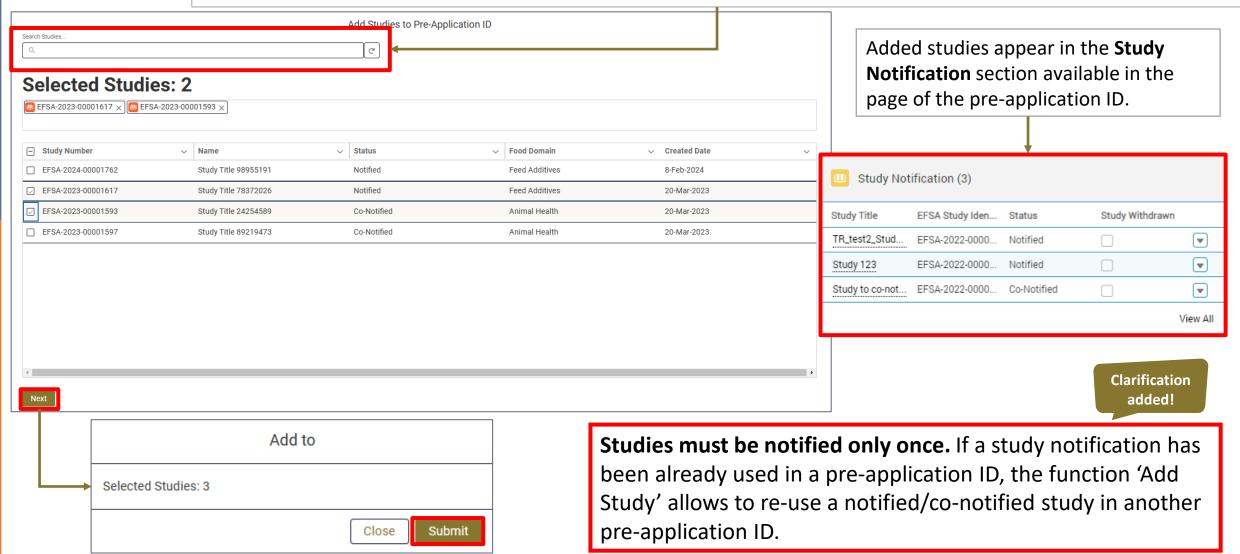
The user must indicate the business operator carrying out or commissioning the study. By default, it is the same user organisation as indicated in the pre-application ID. When creating the notification (and only at that stage), it is possible to edit the "Business Operator" field and indicate the actual business operator for that specific study notification. To do so, this entity should establish a relationship "on behalf of" with the third party/consultant (see Create an account relationship).

The user can also indicate the laboratory commissioned to conduct the study. This information can be revised also at a later stage.

3.3 Add a study to the pre-application ID

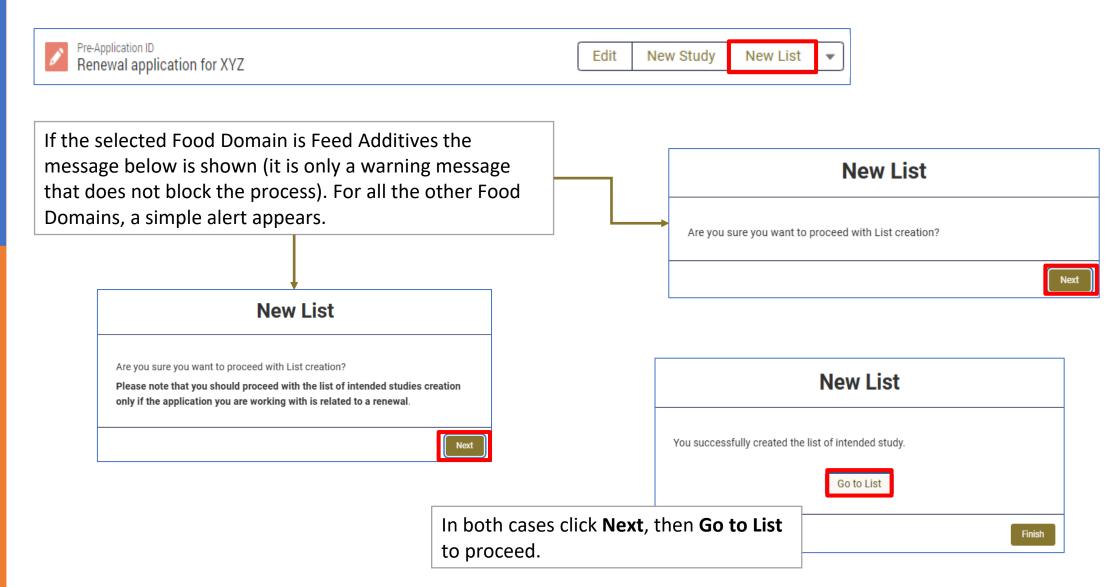
Add Studies

Click on **Add Studies** and use the search bar to find a study record. It is possible to select one or more study records the user would like to add to the pre-application ID. **Only notified and co-notified studies** can be added to the pre-application ID. To continue click on **Next.**

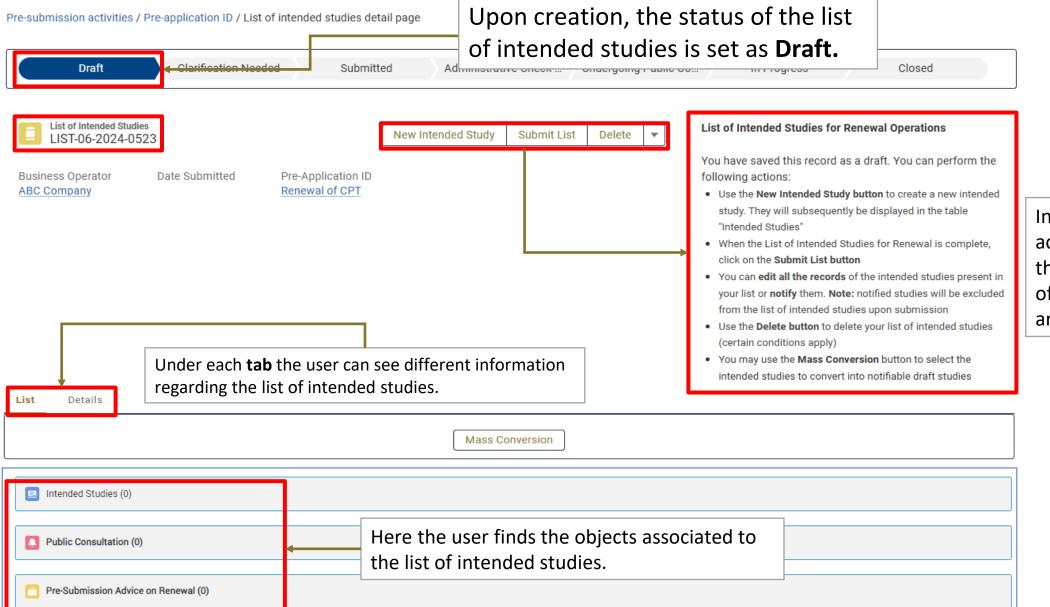


3.4 Create a list of intended studies for renewal

From the page of a <u>pre-application ID supporting a renewal application</u> the user can **create a new list of intended studies** by clicking on **New List**.



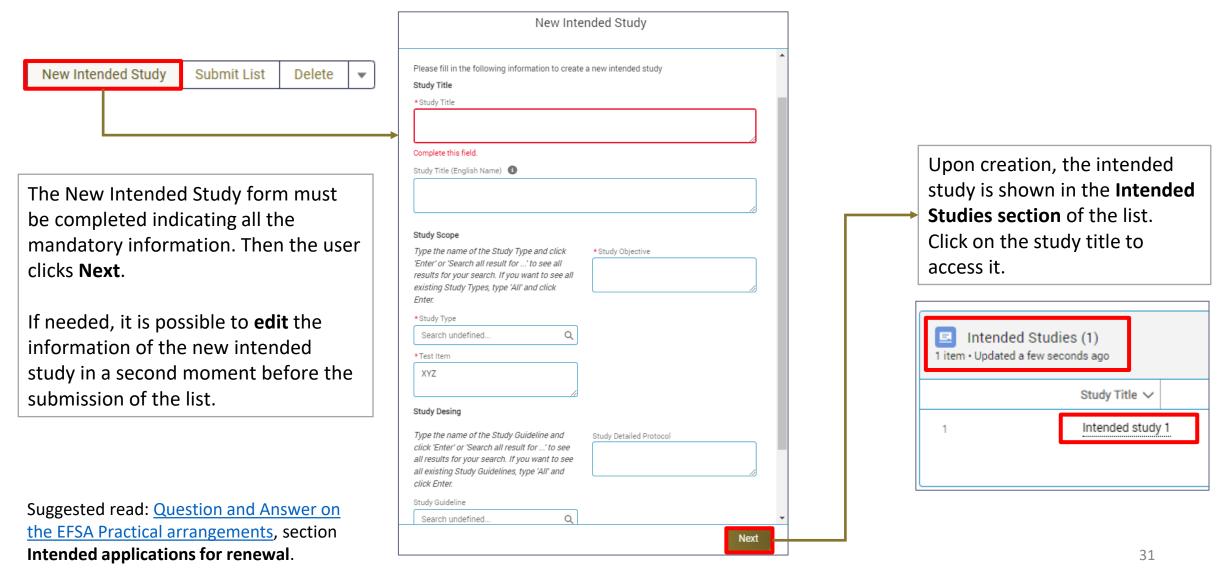
3.4 Create a list of intended studies for renewal



In this box, the actions available to the user on the list of intended studies are described.

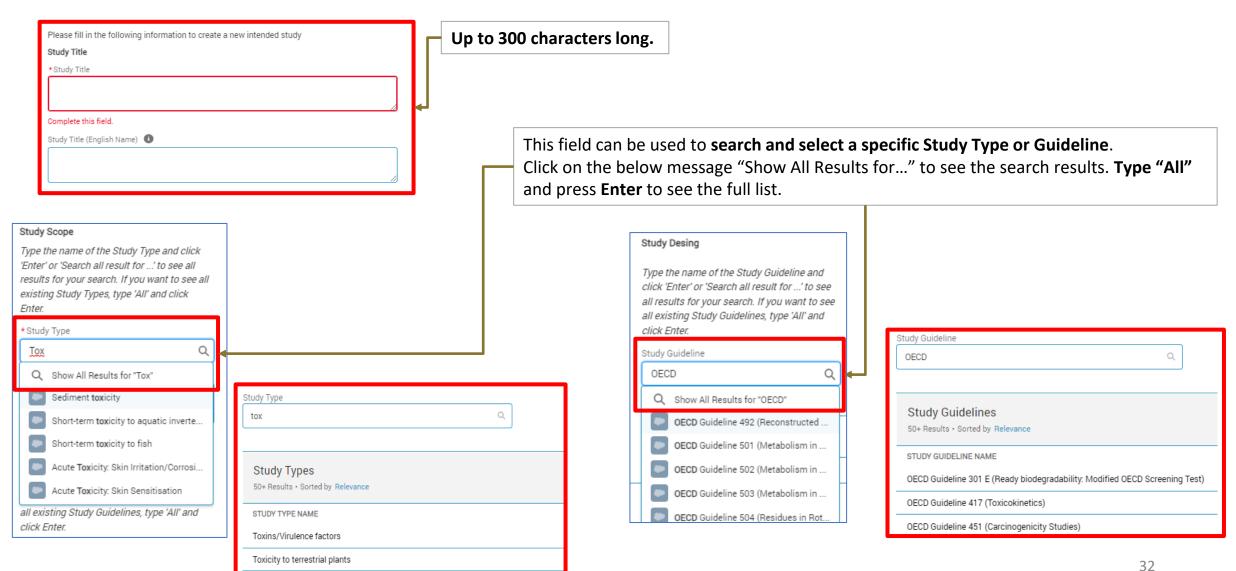
3.4.1 Create an intended study

Users can create **new intended studies** that will be part of the list according to the provisions of Article 32c(1) of the General Food Law and Article 12 of the <u>EFSA Practical Arrangements on pre-submission phase and public consultations</u>.



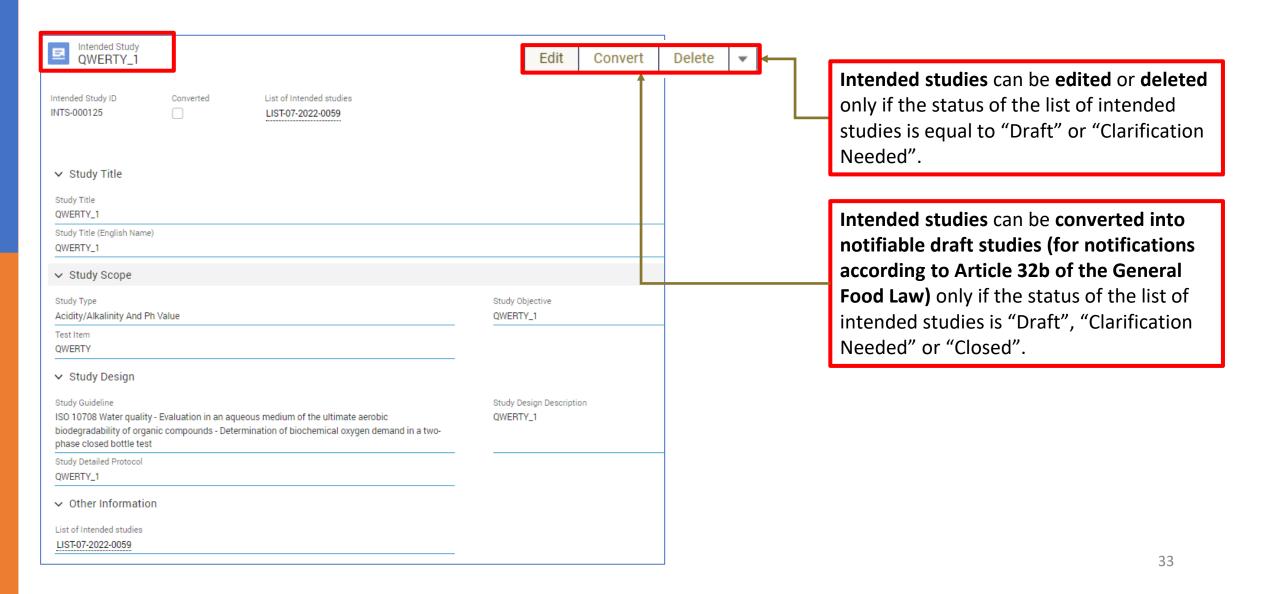
3.4.1 Create an intended study

The form for the intended study allows to indicate a study title up to 300 characters long and to search more easily among values of Study Type and Study Guidelines and select the most relevant.



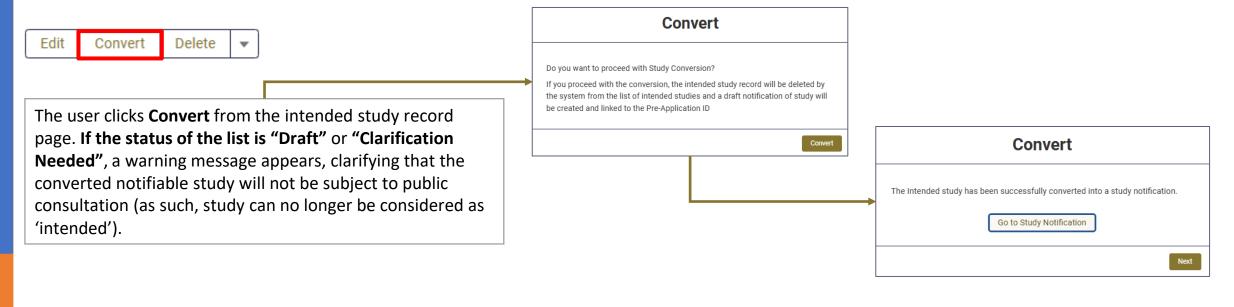
3.4.1 Create an intended study

In the intended study page, the user can revise the information provided and perform further actions on the intended study record.

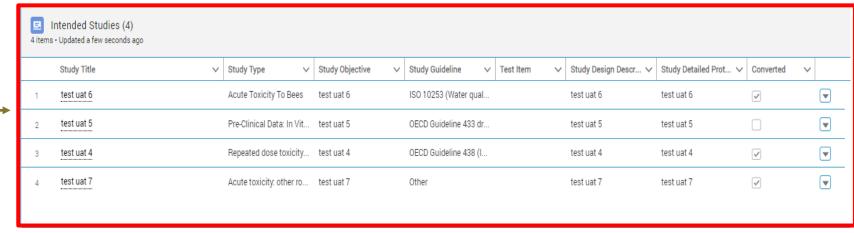


3.4.2 Convert single intended studies

Single intended studies that are going to be commissioned can be converted into notifiable draft studies (for notifications according to Article 32b of the <u>General Food Law</u>) only when the status of the list is "Draft", "Clarification Needed" or "Closed".

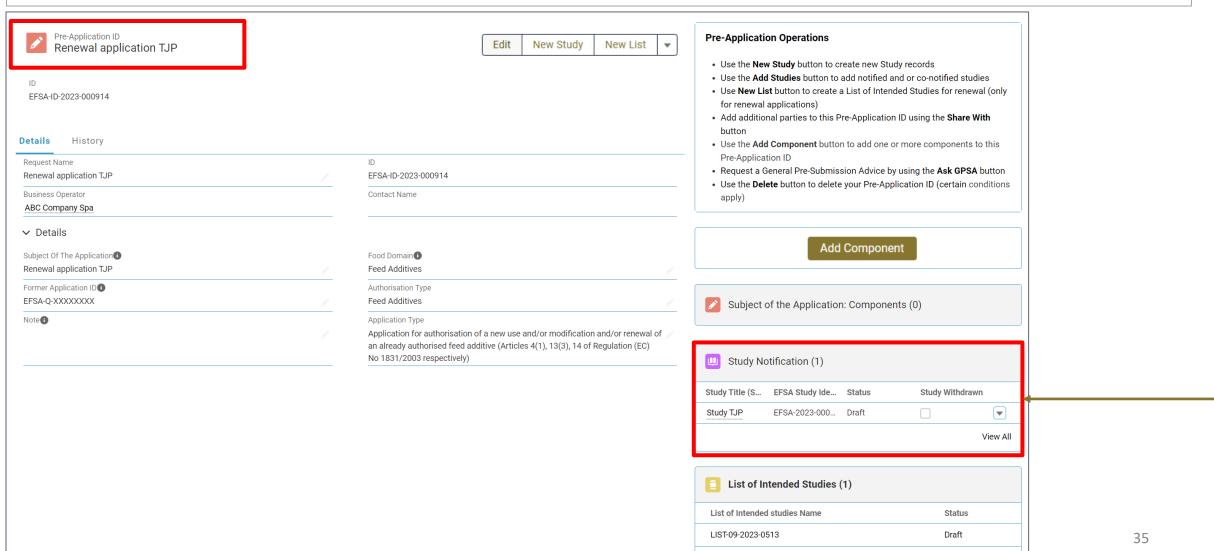


If the user decides to convert an intended study when the status of the list is "Closed" the original copy of the intended study will remain in the Intended Studies section of the list as record history and marked as converted.



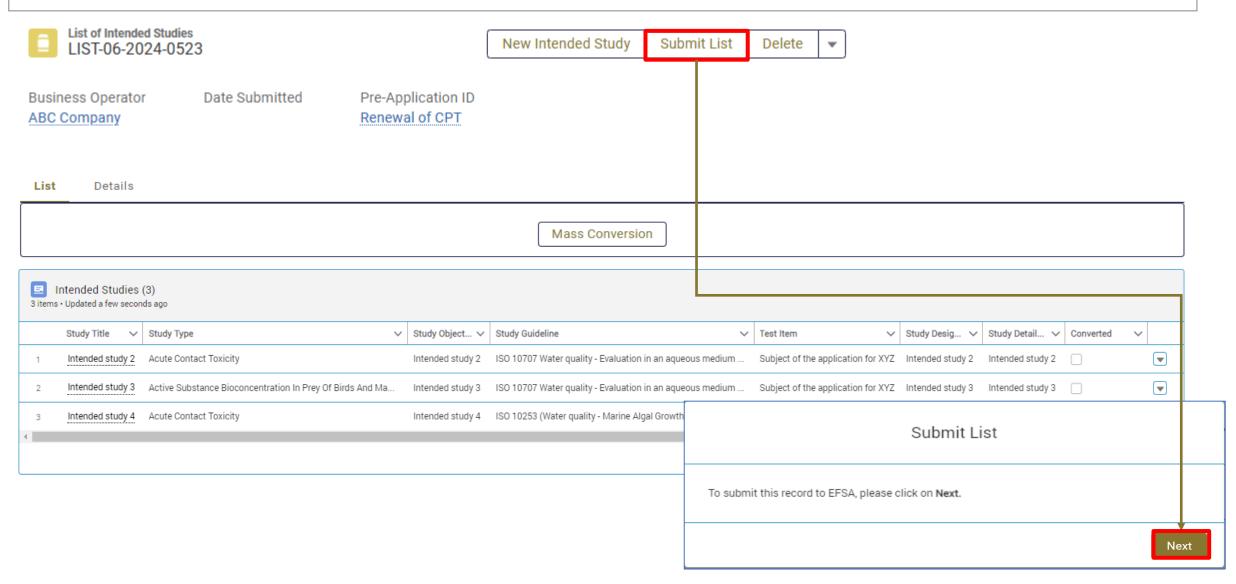
3.4.2 Convert single intended studies

Following the conversion, an intended study is transformed into a draft notifiable study (for notifications according to Article 32b of the General Food Law). The draft study record is moved into the "Study Notification" section of the related pre-application ID. The user can access the draft study and edit it before the notification.



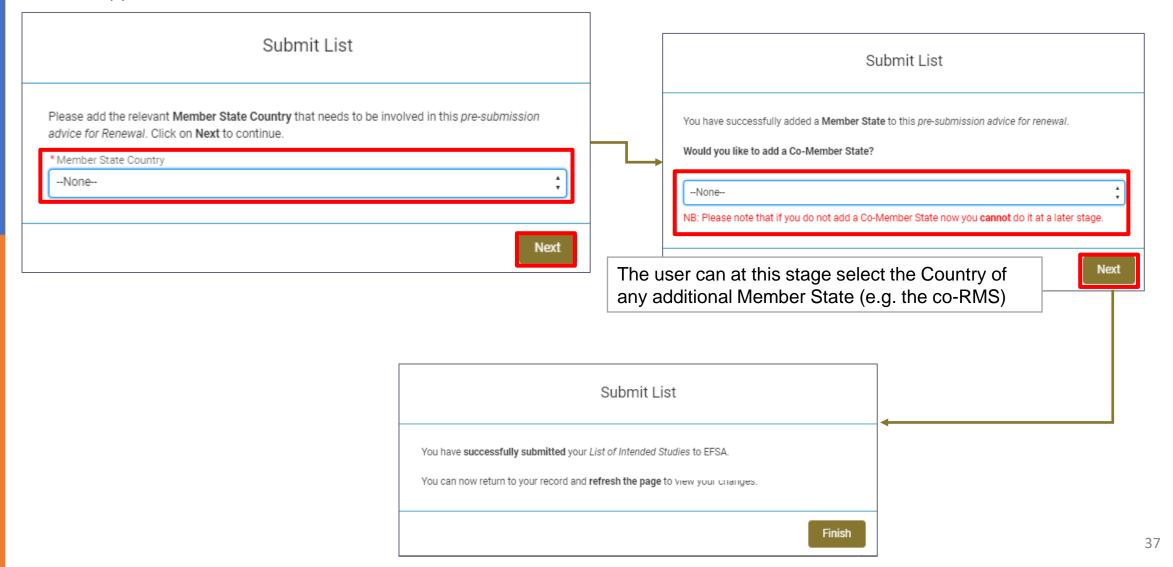
3.4.3 Submit a list of intended studies

When the list of intended studies is ready, the user can submit it by using the function button **Submit List** and then **Next.**



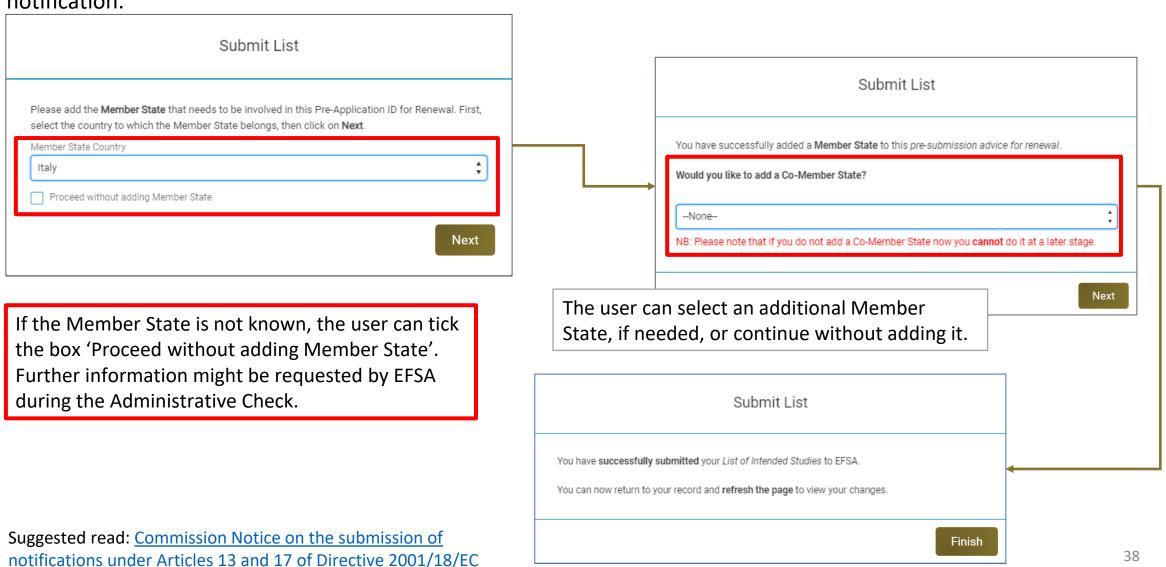
3.4.3.1 Submit a list of intended studies - Pesticides

When the pre-application ID for the renewal is related to the Food Domain **Pesticides (AIR)**, the user **must** select the **Member State Country** corresponding to the relevant Competent Authority in the rapporteur Member State/Co-rapporteur Member State for that renewal application.



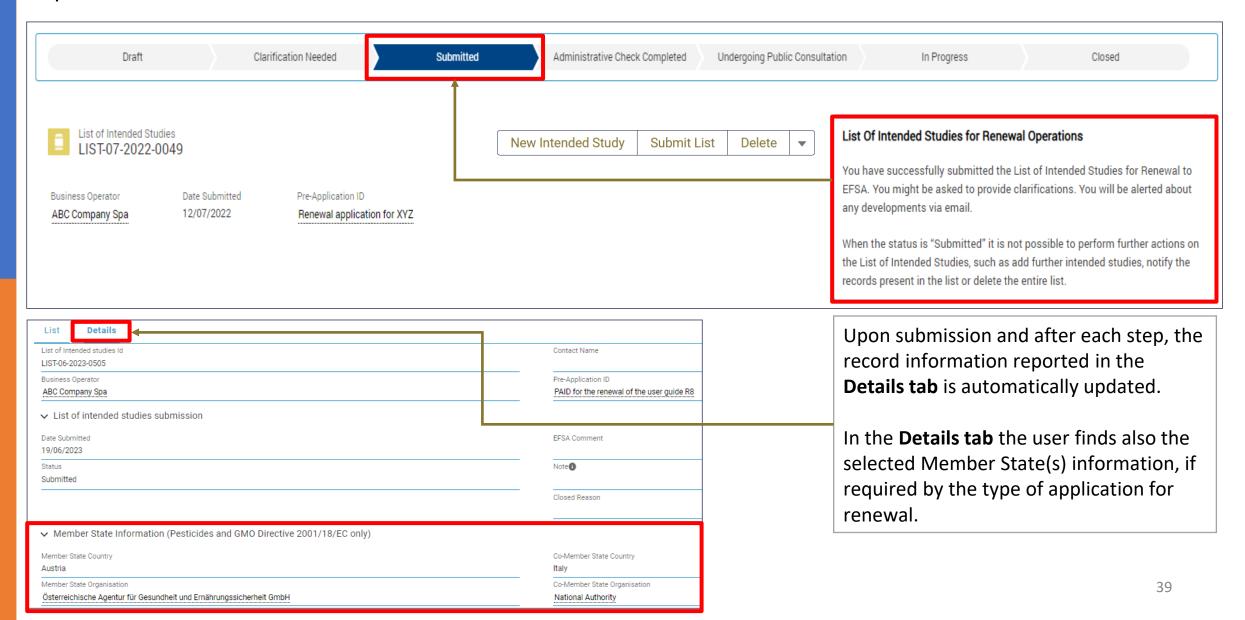
3.4.3.2 Submit a list of intended studies - GMO Directive 2001/18/EC

When the pre-application ID for the renewal is related to **GMO Directive 2001/18/EC**, the user is asked to select the **Member State Country** corresponding to the relevant Competent Authority in the Member State for that renewal notification.



3.4.3 Submit a list of intended studies

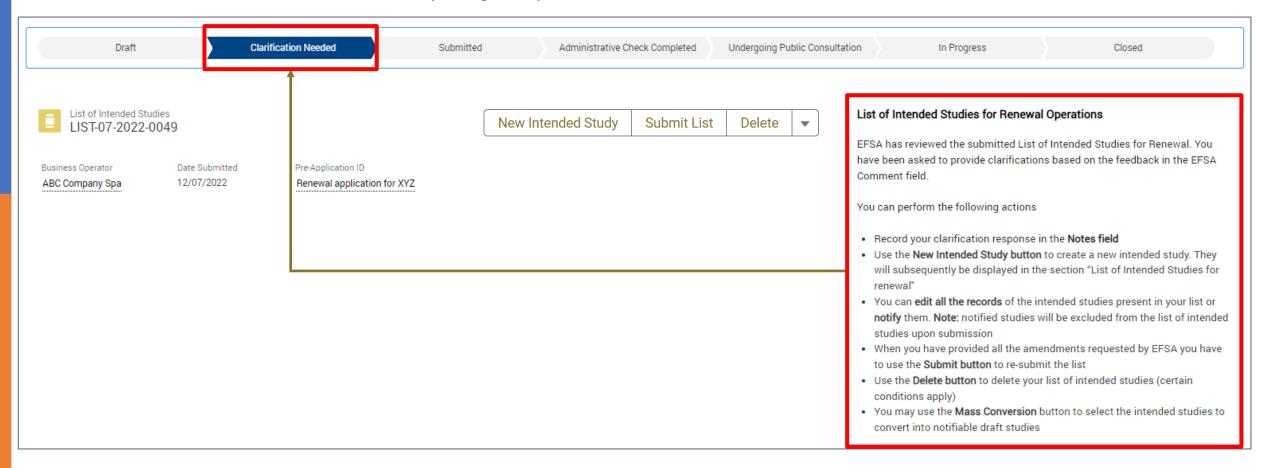
Upon the submission of the list of intended studies its status turns into Submitted.



3.4.4 List of intended studies - Clarification Needed

During the administrative check performed by EFSA, there might be the need for clarification on the information submitted with the list. EFSA will set the status of the list to **Clarification Needed**.

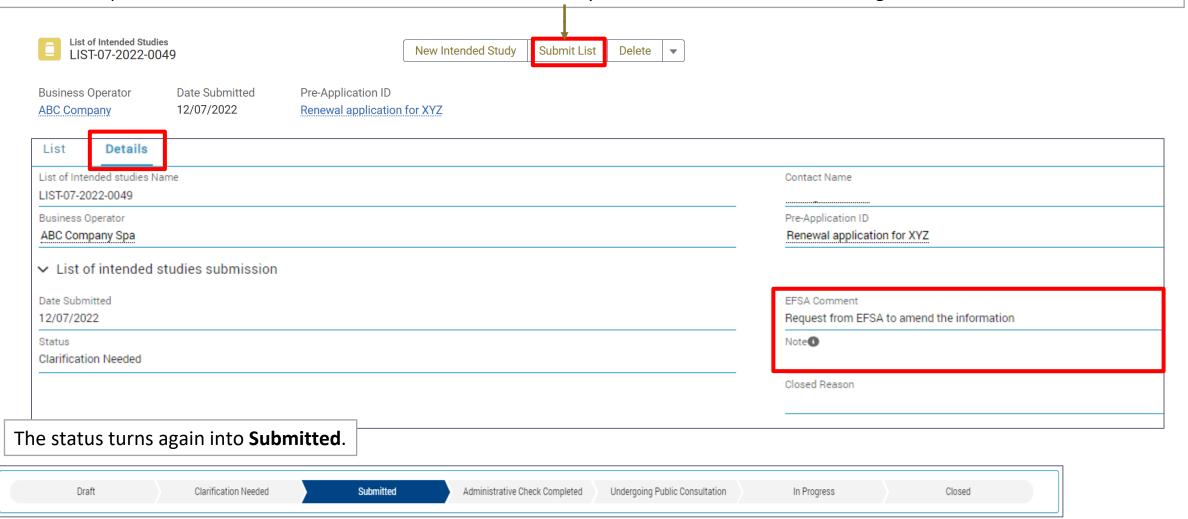
To reply to the clarification request, users can **edit** the pre-application ID and the list record. It is also possible to **add, delete or convert** intended studies into notifiable draft studies by using the specific buttons.



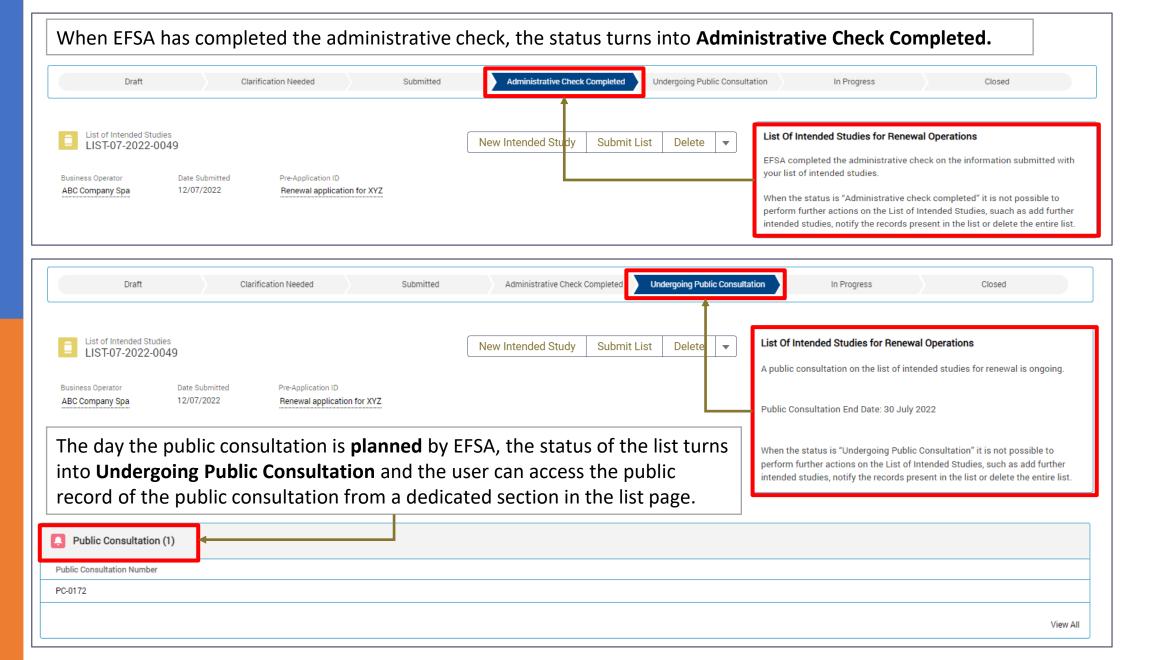
3.4.4 List of intended studies - Clarification Needed

Under the **Details tab** of the list the user finds the section **EFSA comments** containing the request(s) of clarification. A reply can be submitted by the user using the **Note section**.

After the required amendments have been done and the list is ready, the user must **Submit** the **list** again.

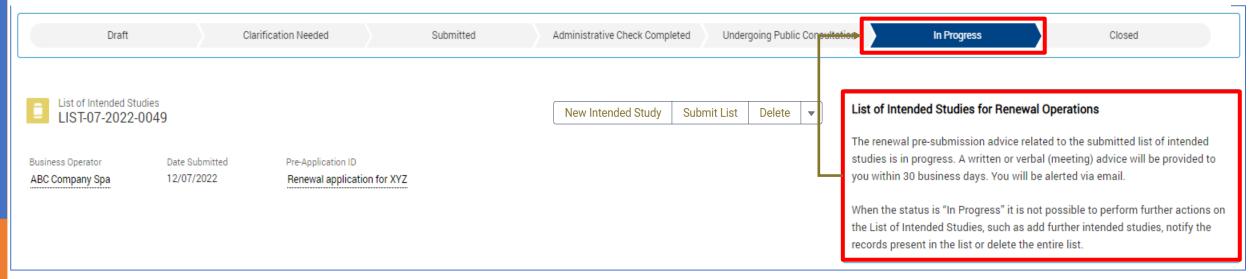


3.4.5 List of intended studies – Administrative Check Completed and Public Consultation



3.4.6 List of intended studies – In Progress

After the end of the public consultation the status of the list turns into **In Progress**. This means that EFSA is considering the comments received during the public consultation and will provide the user with the renewal pre-submission advice in 30 working days.



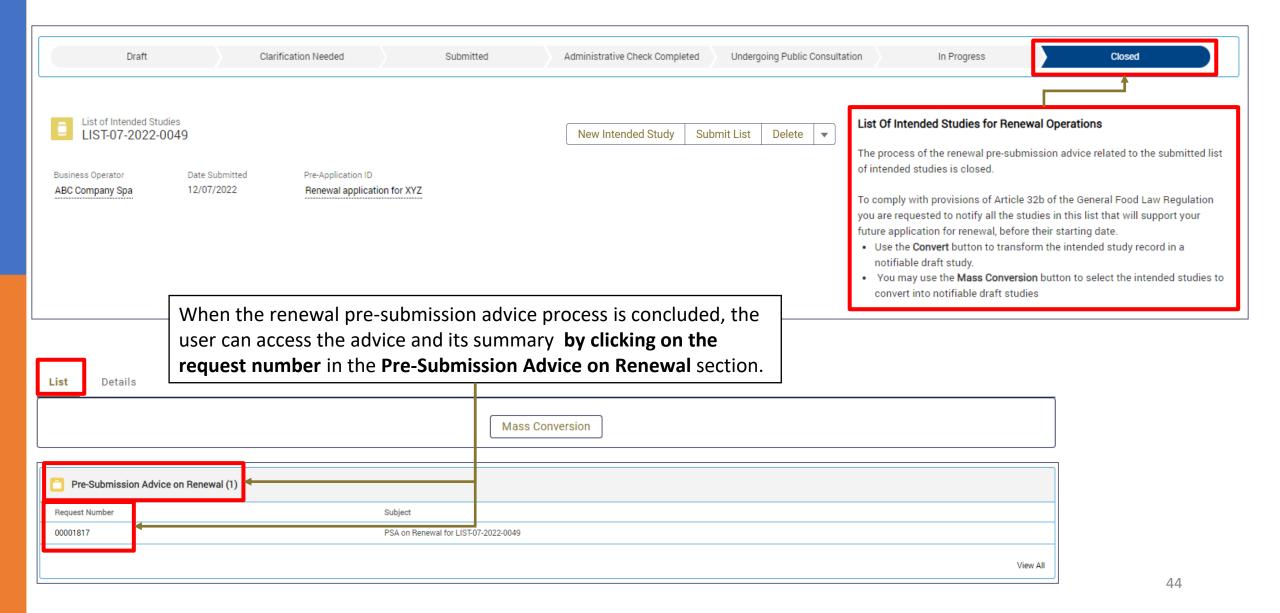


Note: when the status of the List is "Submitted", "Administrative Check Completed", "Undergoing Public Consultation" or "In Progress" it is not possible to perform further actions on the List.

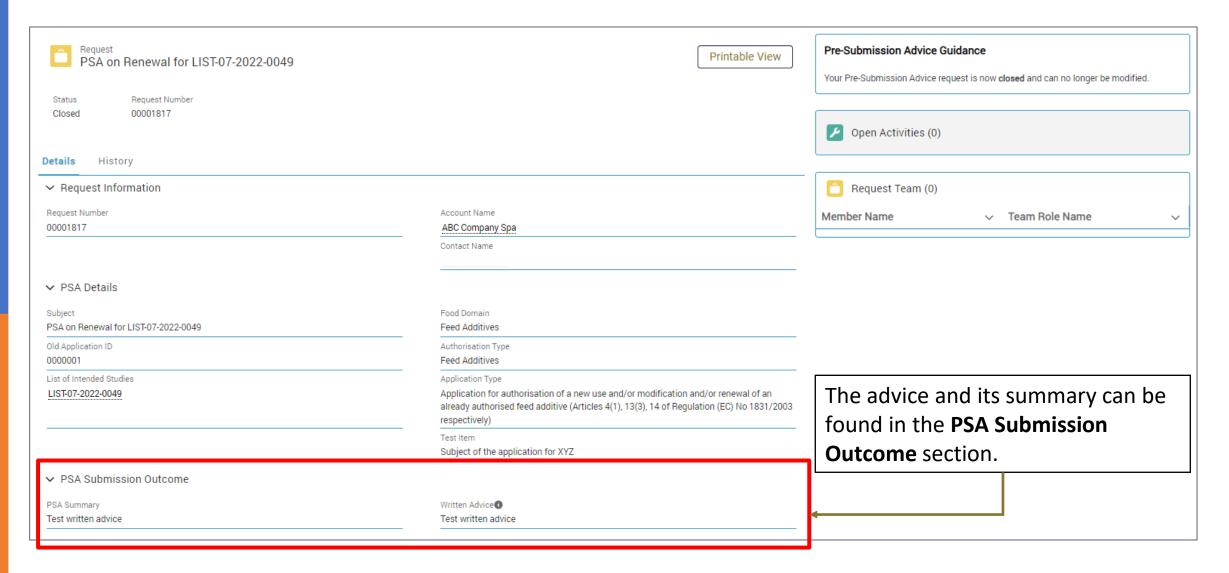
However, it is always possible to create and notify studies or add already notified studies by using the function buttons (i.e. New Study, Add studies) in the related pre-application ID page.

3.4.7 List of intended studies – Closed

When the renewal pre-submission advice is sent to the potential applicant, the status of the list turns into **Closed**.

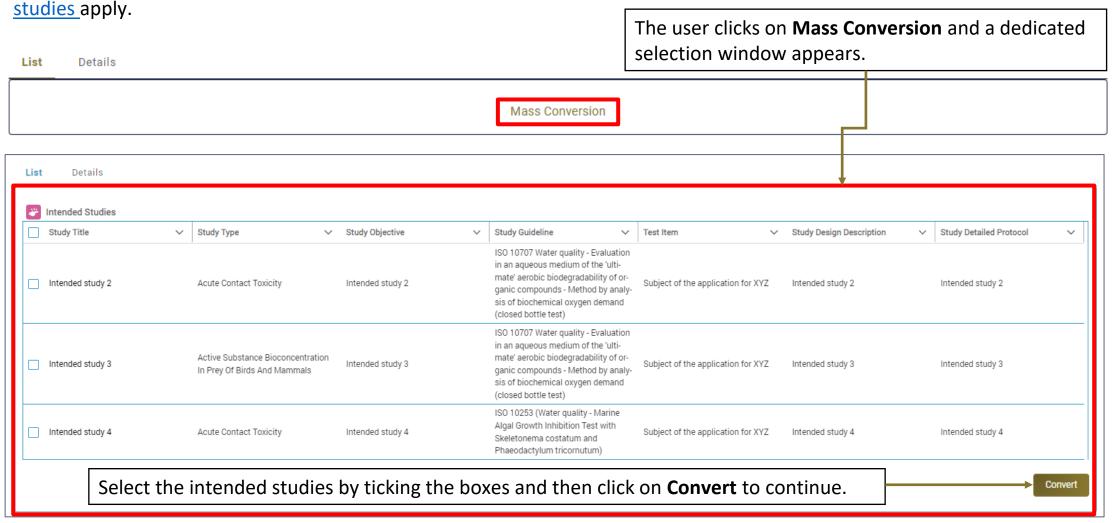


3.5 Renewal pre-submission advice and summary of the advice

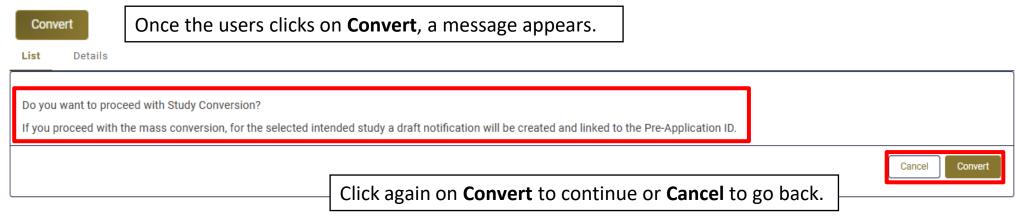


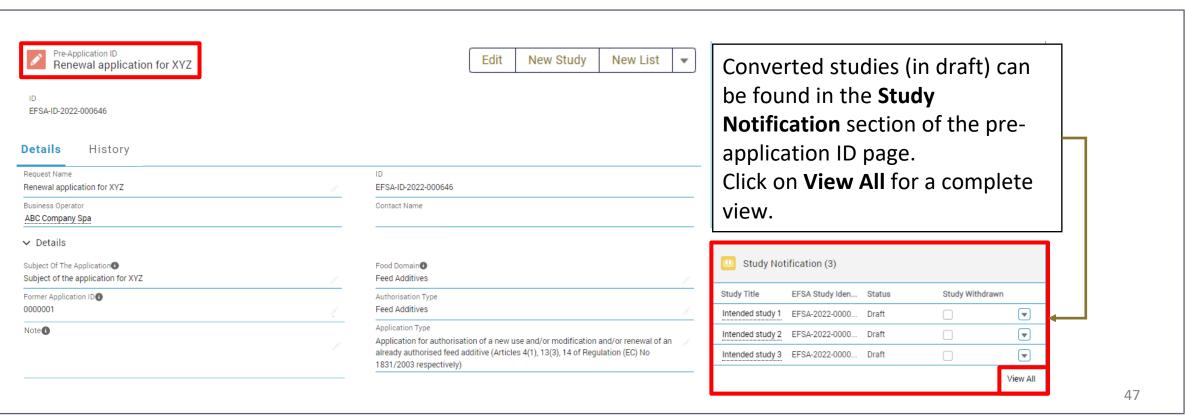
3.6 Mass conversion of intended studies

Intended studies that are going to be commissioned can be converted into draft notifiable studies (for notifications according to Article 32b of the General Food Law) when the status of the list is "Draft", "Clarification Needed" and "Closed". Users can use the Mass Conversion button from the List tab to select which studies need to be converted. The same rules of the conversion of single intended studies apply



3.6 Mass conversion of intended studies



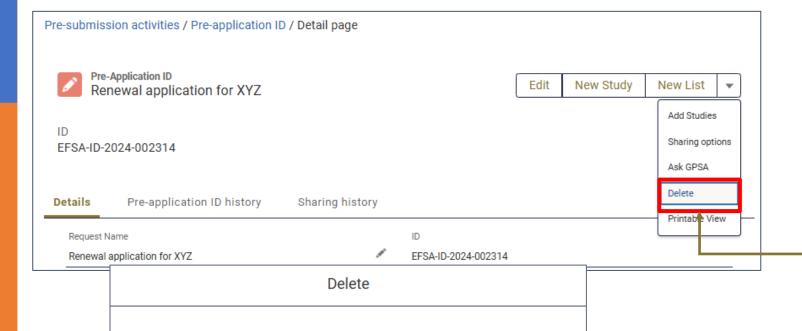


3.7 Delete a pre-application ID

Users can delete a pre-application ID only when there are no records associated, such as notified studies, list of intended studies or general pre-submission advice.

If the above conditions are not fulfilled, the system will return an **error message**.

Are you sure you wish to delete the Pre-Application-ID?



Next

Study Notification (0)

List of Intended Studies (0) Renewals only

Pre-Submission Advice (0)

Share With (0)

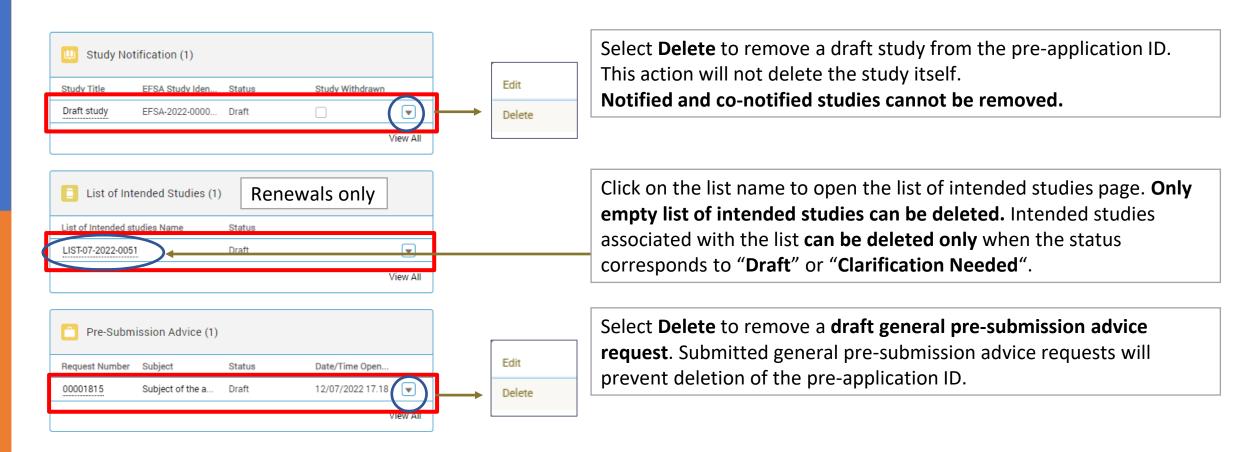
In the pre-application ID page, click on the function button **Delete.**

Users must click on **Next** to confirm the deletion.

3.7.1 Delete a pre-application ID and/or remove draft objects



If a pre-application ID is associated with **draft objects**, such as **studies or general pre-submission advice** request(s) or shared with another organisation, the user must first remove all the associations to be able to delete the pre-application ID record.

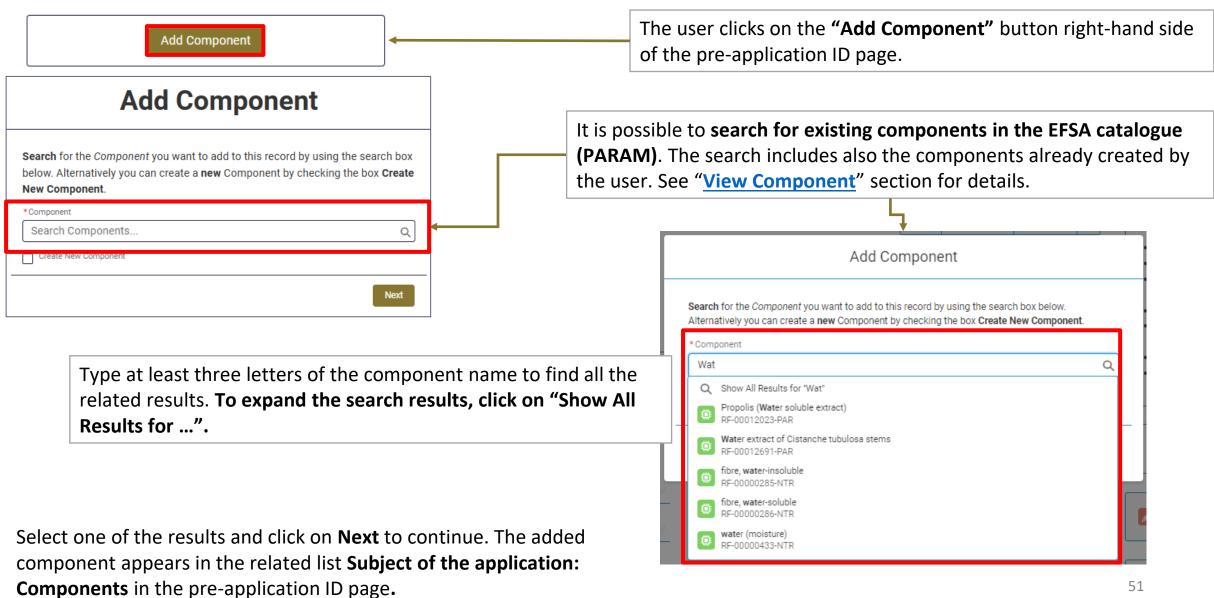


Components



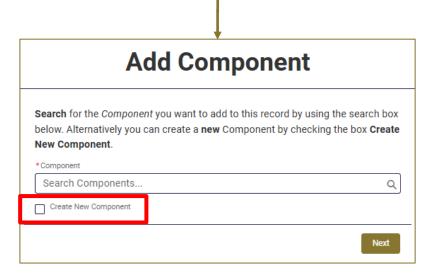
3.8 Add a component

Component(s) can be added to a pre-application ID to give more information about the subject of the application.



3.8.1 Create a new component

If a component is not retrievable using the search function, the user checks the box "Create New Component" in the "Add Component" pop-up window.



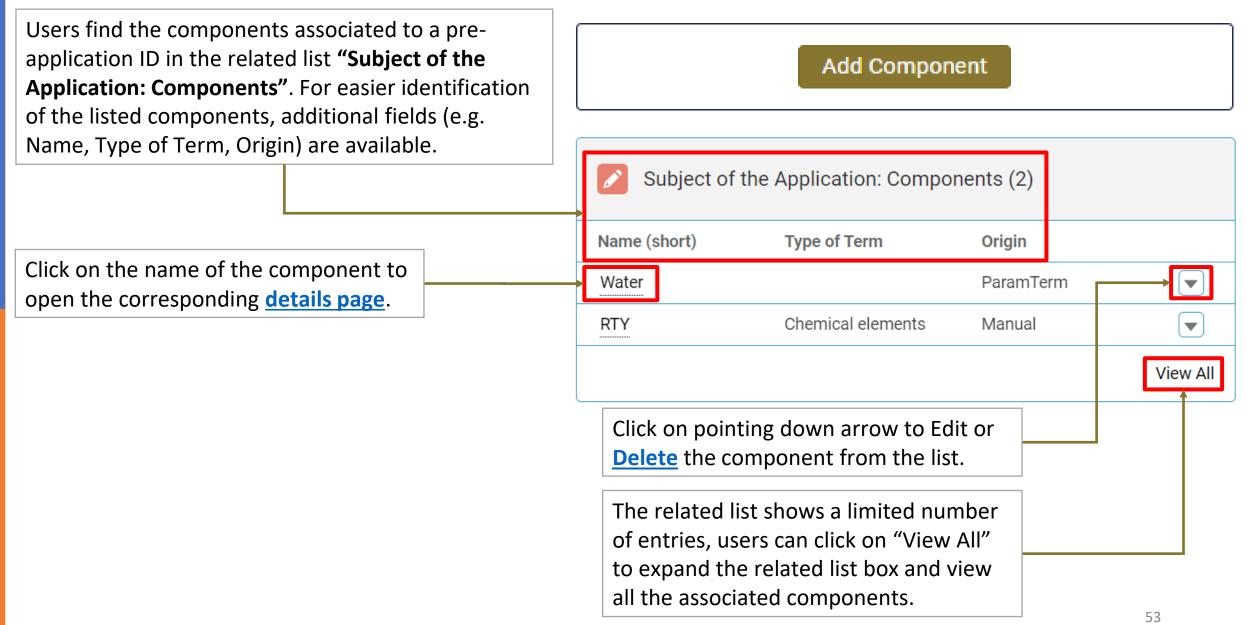
Fill in the "Component Details" form with the corresponding information. The fields "Type of Term" and "Name" are mandatory. More details on the information required by a certain field are showed by passing over the (1) icons. Click **Next** to continue.

The newly created component appears in the related list **Subject of the application: Components** in the pre-application ID page.

Add Component Search for the Component you want to add to this record by using the search box below. Alternatively you can create a new Component by checking the box Create New Component. Create New Component Component Details *Type of Term 1 None * Name Common Names Other Names CAS 0 IUPAC 0 InChi 0

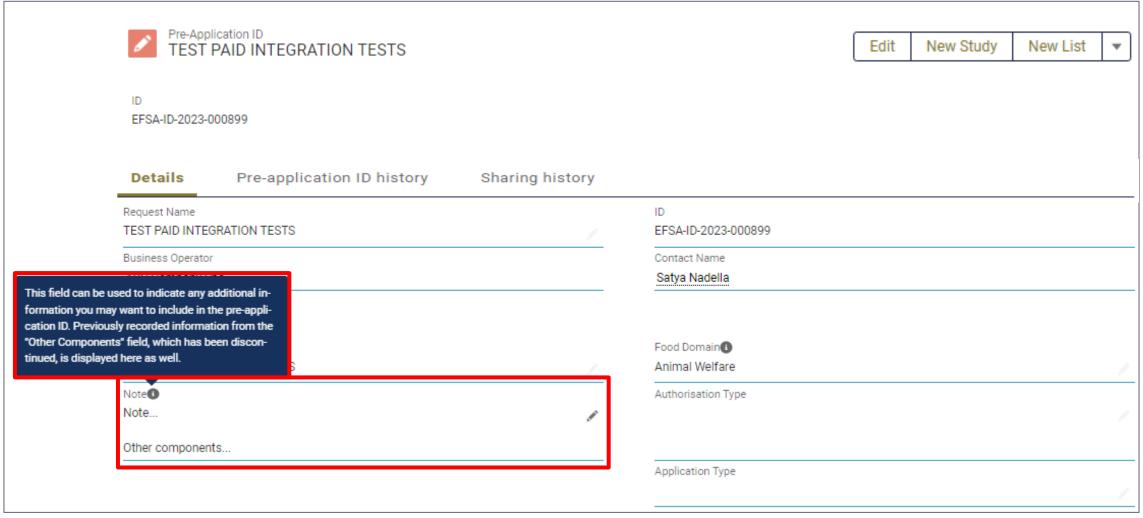
Additional Information

3.8.2 Related list "Subject of the Application: Components"



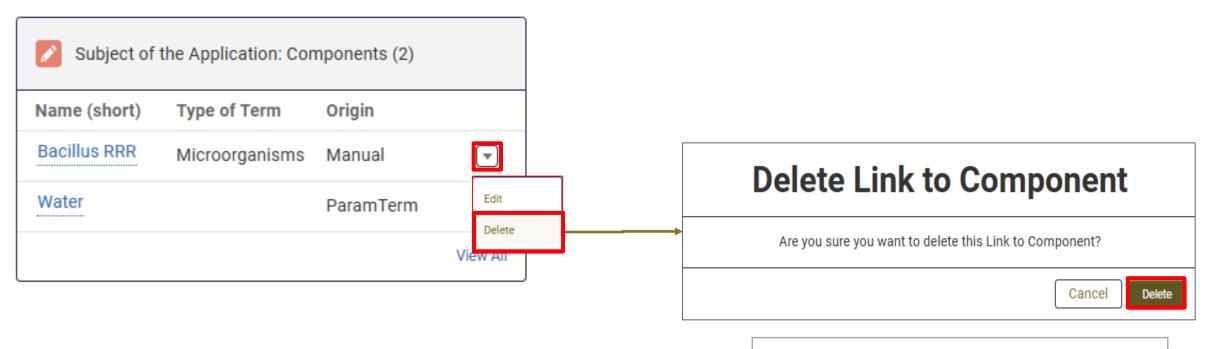
3.8.3 Note field and Other Components

The "Other Components" field was discontinued, the data previously contained, if any, is now available in the "Note" field. Users can modify such data or decide to <u>create a component</u> to be linked to the pre-application ID.



3.8.4 Delete link to components

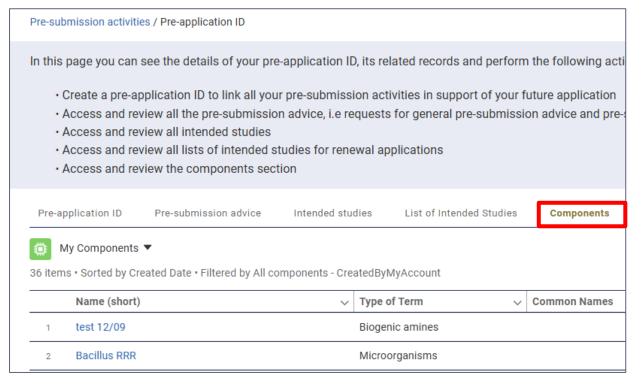
The user can **always** remove Components from the pre-application ID. By performing this action, the user will delete only the link between the pre-application ID and the Component, **not the Component itself.**

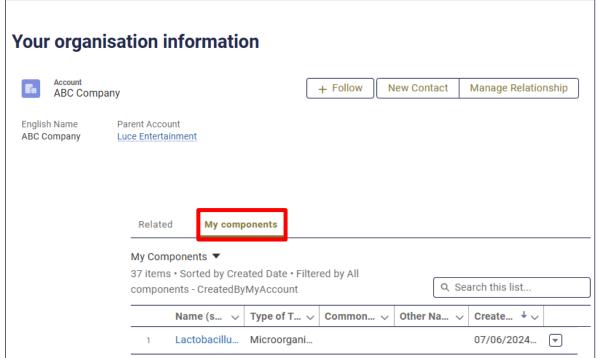


As a result, the Component is removed from the related list "Subject of the Application: Components" on the preapplication ID page.

3.8.5 View Components

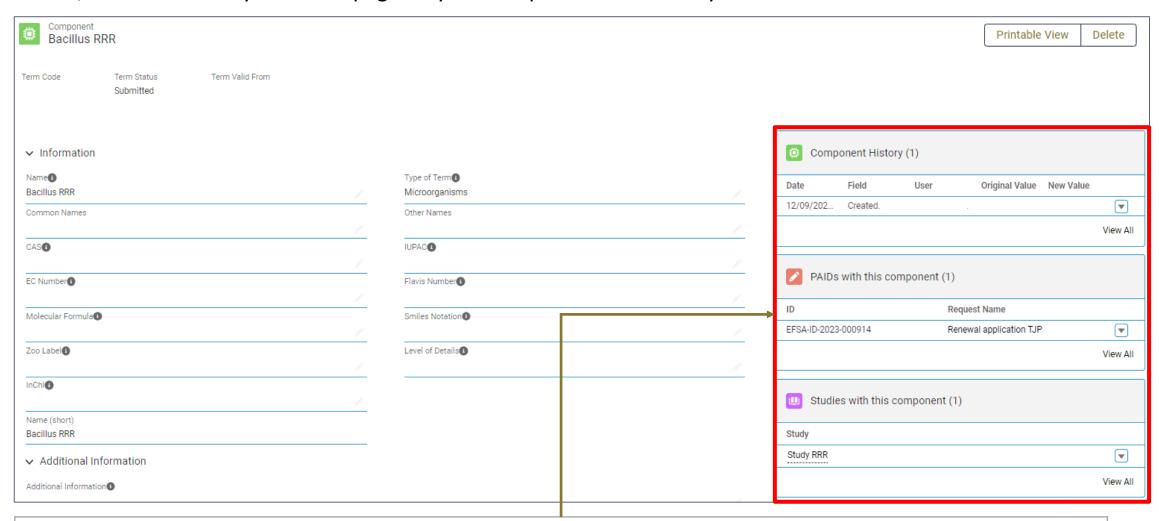
All Components created by the user are listed under the tab "Components" in the pre-application ID main page, and in the "My profile" page under "your organization information" section.





3.8.6 Component details page

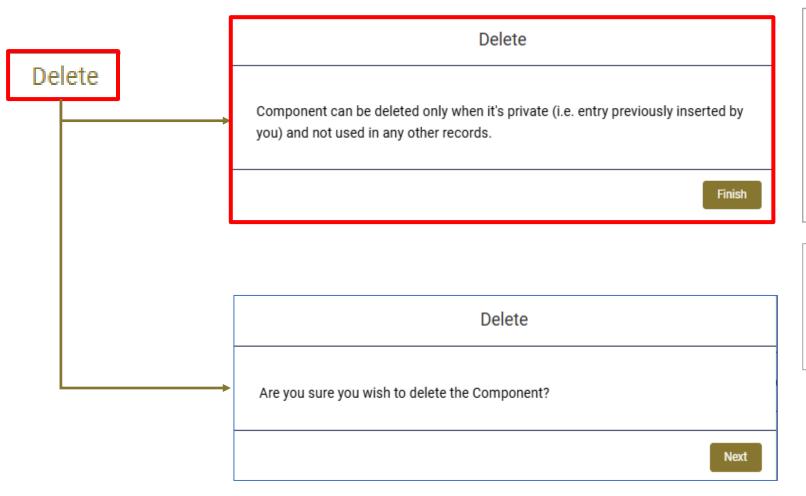
The detail page of the component appears as in the image below. Information on the component can be added/modified directly from this page only for components created by the user.



Related lists of the component page: inform the user about the history of the component record (e.g. creation, editing actions), and whether the component is associated to a pre-application ID or other study notifications.

3.8.7 Delete Components

From the detail page My Components the user can delete a component record by using the **Delete** function button.



This **error message** appears if the component is used in any other record (i.e. Pre-Application IDs, Studies records).

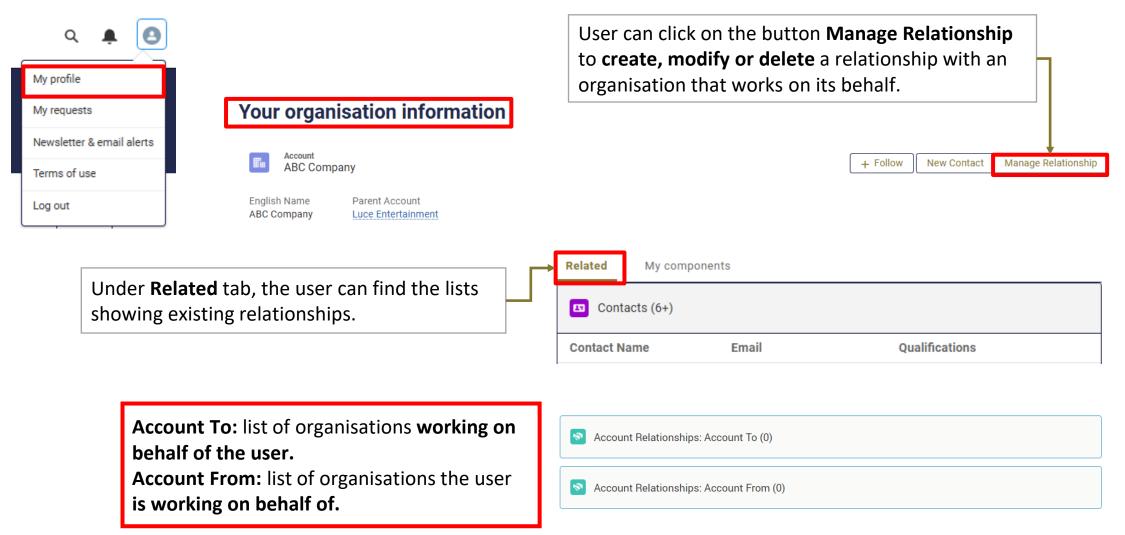
To delete the component, the user must firstly <u>remove all the existing</u> <u>links</u> with the other records as explained in the previous slides.

Account relationships and sharing options

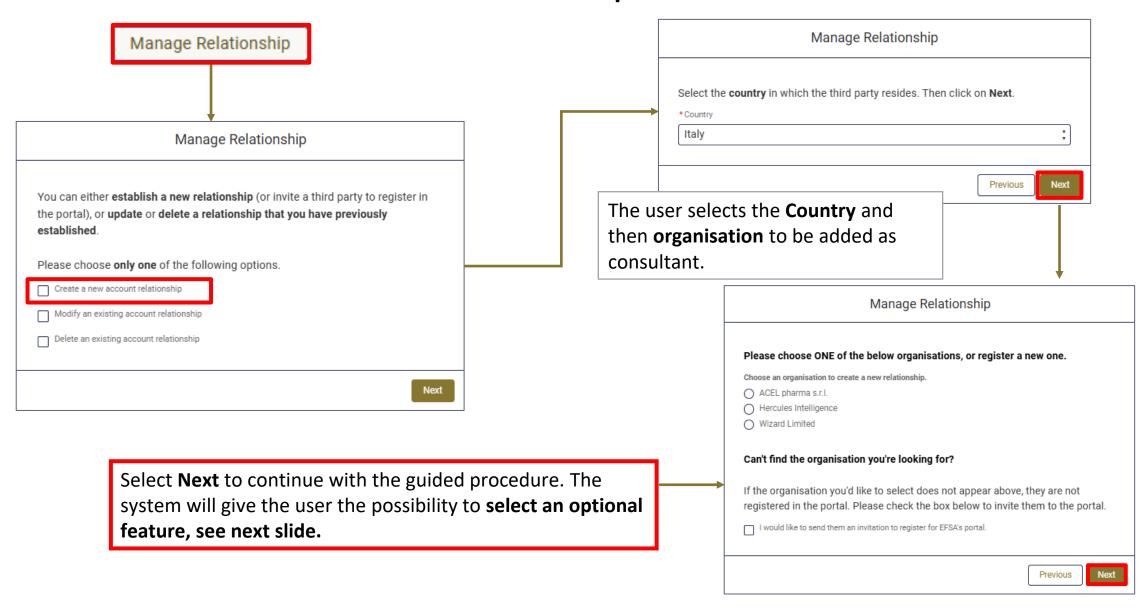


3.9 Account relationship(s)

When a business operator wants to delegate a third-party/consultant to work on its behalf, a relationship "on behalf of" must be established at the account level from the My profile page under "Your organization information" section.

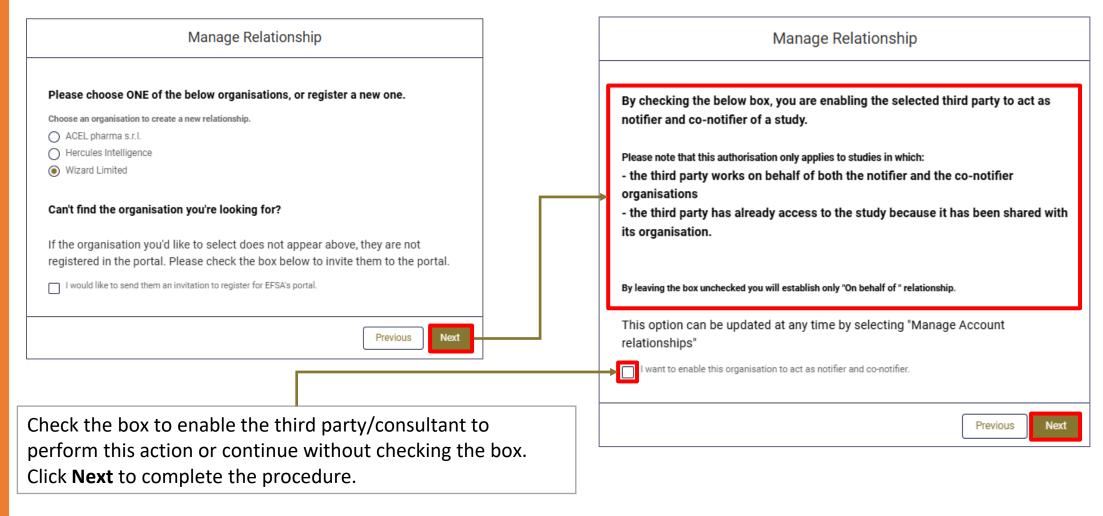


3.9.1 Create an account relationship



3.9.1 Create an account relationship

OPTIONAL FEATURE - During the creation of an account relationship, **business operators and laboratories can agree on enabling a selected third party/consultant to act as Notifier and Co-notifier**, at the same time, of one or more studies. It is possible to modify this choice at any time (see <u>Modify account relationship(s)</u> to know more details).



Note: a practical example of how this feature works is given in the next slide.

3.9.1 Create an account relationship

Actors of the process:

- A business operator, e.g. "Business Operator"
- **A laboratory**, e.g. "Laboratory"
- A third party/consultant, e.g. "Consultant"

Scenario: "Business Operator" commissions a study to "Laboratory". **The two parties decide to delegate to** "Consultant" part or the entire process of notification of studies.

Manage Relationship

By checking the below box, you are enabling the selected third party to act as notifier and co-notifier of a study.

Please note that this authorisation only applies to studies in which:

- the third party works on behalf of both the notifier and the co-notifier organisations
- $\boldsymbol{\cdot}$ the third party has already access to the study because it has been shared with its organisation.

By leaving the box unchecked you will establish only "On behalf of " relationship.

This option can be updated at any time by selecting "Manage Account relationships"

I want to enable this organisation to act as notifier and co-notifier.

Previous

Next

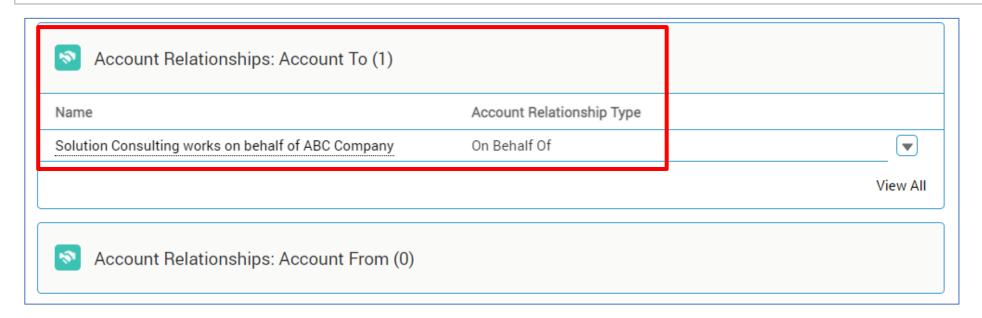
How it works:

- "Business Operator" and "Laboratory" create an account relationship with "Consultant", and both enable this organisation to act as notifier and co-notifier.
- 2. "Consultant" creates and notifies a new study record on behalf of "Business Operator".
- "Consultant" co-notifies the study on behalf of "Laboratory".

The process works also if "Laboratory" starts the notification process.

3.9.2 Manage account relationship(s)

Created relationship will appear in the related list **Account Relationships: Account To** as shown below.

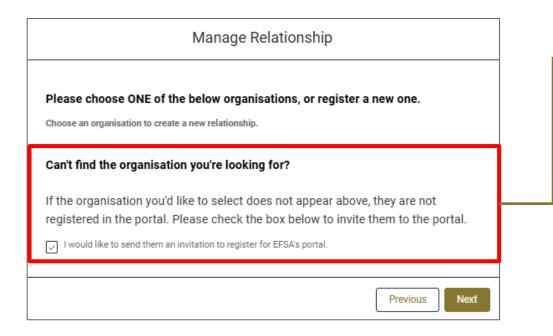


Once relationship has been established at the account level:

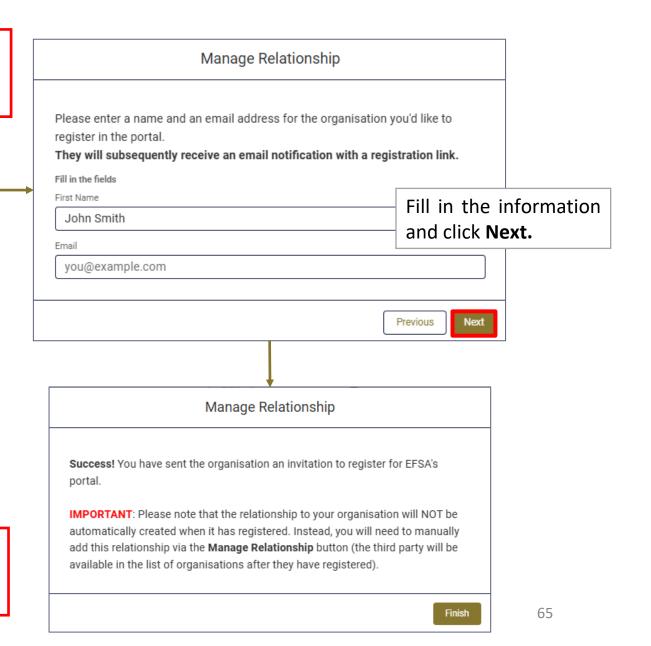
- 1. The business operator can **share single records** with its third party/consultant (to know more see <u>Share preapplication ID "On behalf of"</u>)
- 2. The third party/consultant can create pre-application IDs and perform all associated actions for the business operator.

3.9.2 Manage account relationship(s)

If the organisation that the user wants to create a relationship with is not registered in the system, it is possible to send an invitation to register by following these steps.

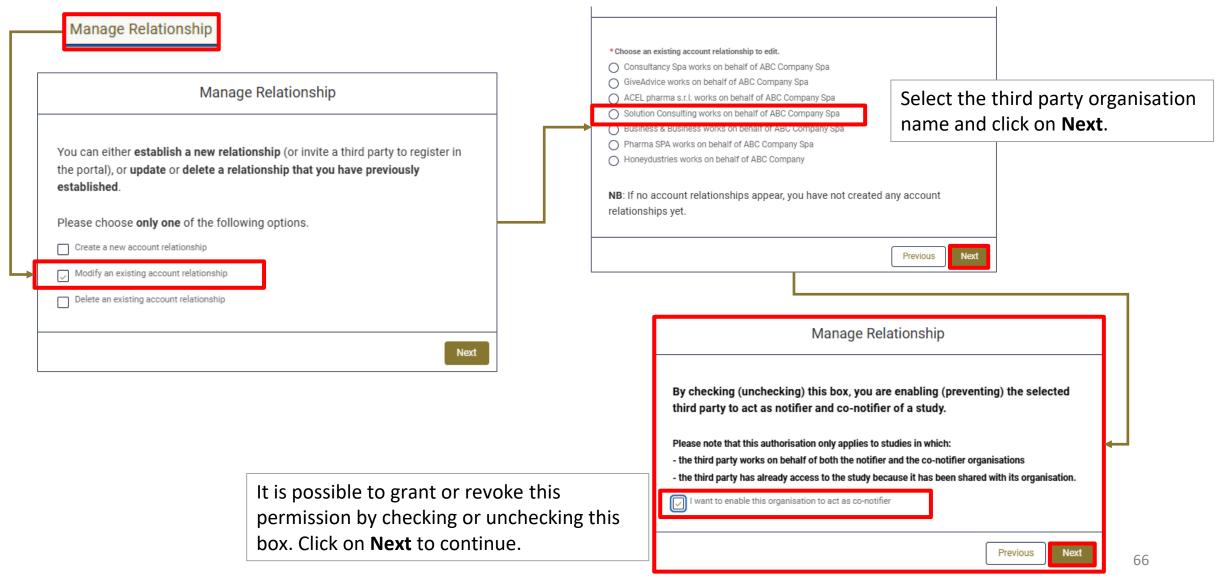


Please note that the relationship with this organisation is not automatically created upon its registration. The user needs to create the relationship once the organisation is registered.



3.9.3 Modify an account relationship

Business operators and Laboratories can modify the option that enables a selected third party/consultant to act as Notifier and Co-notifier at any time.



3.9.4 Delete an account relationship

To delete an existing relationship with an Manage Relationship organisation, follow these steps. Select the relationship *Choose an existing account relationship to delete. GiveAdvice works on behalf of ABC Company Spa to delete and click Manage Relationship ACEL pharma s.r.l. works on behalf of ABC Company Spa Next. Solution Consulting works on behalf of ABC Company Spa Business & Business works on benait of ABC Company Spa Pharma SPA works on behalf of ABC Company Spa Honeydustries works on behalf of ABC Company Manage Relationship Whirlwind Industries works on behalf of ABC Company NB: If no account relationships appear, you have not created any account You can either establish a new relationship (or invite a third party to register in relationships yet. the portal), or update or delete a relationship that you have previously established. Next Previous Please choose only one of the following options. Create a new account relationship Modify an existing account relationship Manage Relationship Delete an existing account relationship You have successfully deleted the relationship. This organisation has been notified by email. Click on Finish and refresh the page to return to your company details and view your changes. Finish

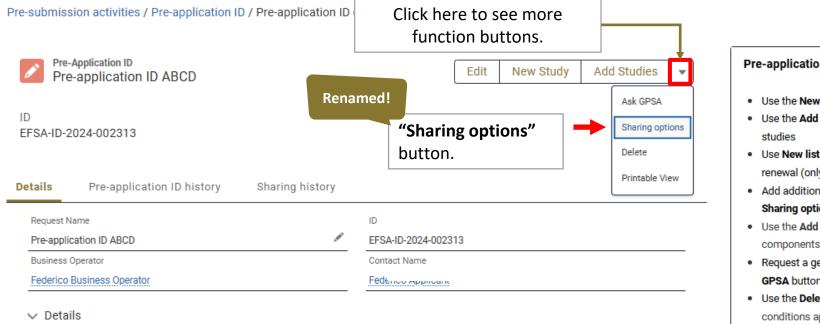
3.10 Share a pre-application ID



Business operators or third parties/consultants can share pre-application ID(s) with other organisations using the button "Sharing options".

The pre-application ID(s) can be shared in two different ways:

- "On behalf of" permissions: the user allows third parties/consultants to view/edit only the pre-application ID or the pre-application ID along with some/all the study records already linked to it. An account relationship "on behalf of" with the chosen third parties/consultants is required in advance (see Account Relationship). This type of sharing can be revoked at any time.
- "Read-only" permissions: the user involves another organisation in the pre-submission activities and provides read-only access to the shared pre-application ID. No previous actions are required to perform this sharing. "Read-only" permissions can be revoked if no submitted study notification(s), GPSA request(s) or list of intended studies are associated with the pre-application ID.

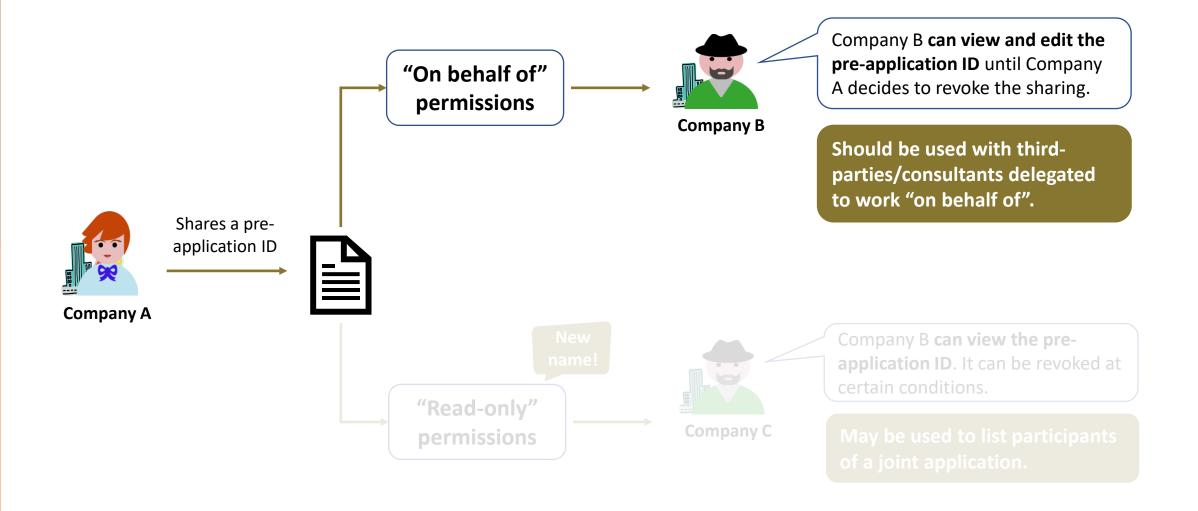


Pre-application operations

- Use the New study button to create new study records
- Use the Add studies button to add notified and or co-notified
- Use New list button to create a list of intended studies for renewal (only for renewal applications)
- Add additional parties to this pre-application ID using the Sharing options button
- Use the Add component button to add one or more components to this pre-application ID
- Request a general pre-submission advice by using the Ask
- · Use the Delete button to delete your pre-application ID (certain conditions apply)

3.10.1 Share a pre-application ID "On behalf of" - overview

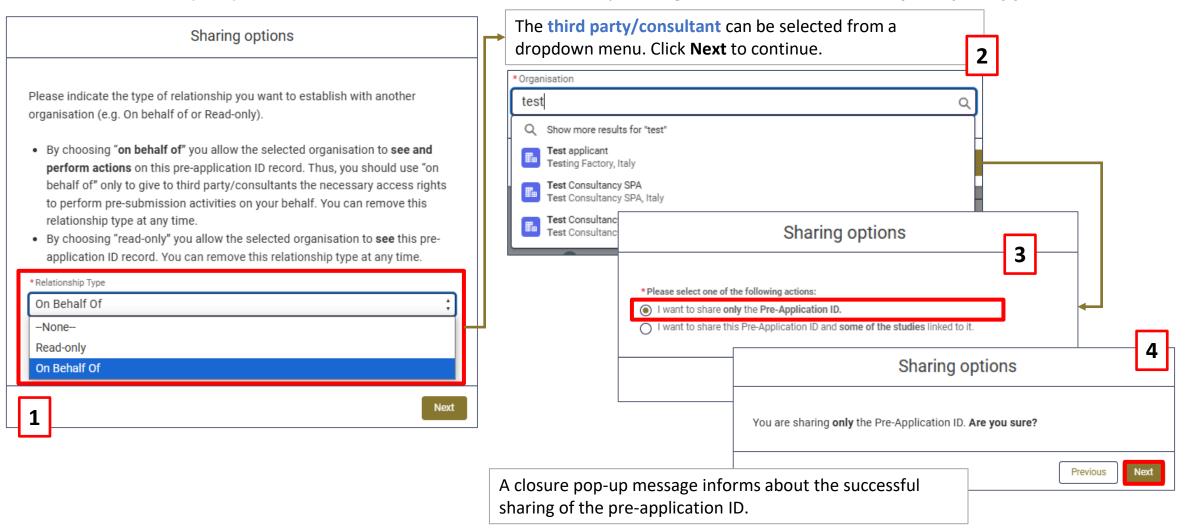




3.10.1a Share a pre-application ID "on behalf of" – without studies



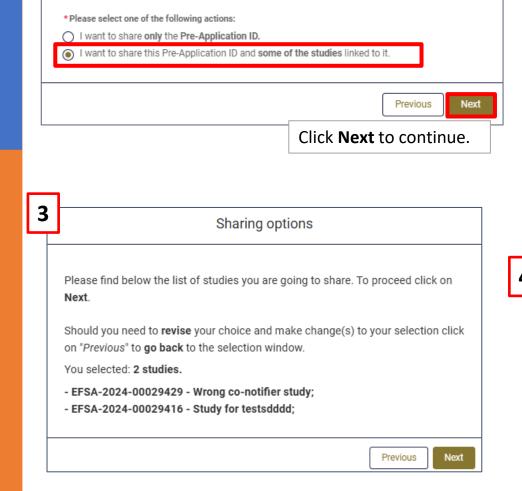
To share only the pre-application ID (without any of the linked studies), the user chooses the sharing type "on behalf of" and the name of the third party/consultant, then checks the box corresponding to "I want to share only the pre-application ID".



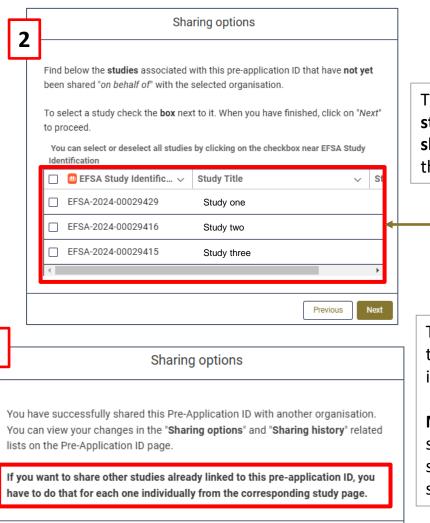
Updated!

3.10.1b Share a pre-application ID "On behalf of" – with studies

To share both **pre-application ID and also some/all the studies already linked to it**, the user chooses the sharing type "on behalf of" and the name of the **third party/consultant**, as showed in the previous slide, then checks the box corresponding to "I want to share this pre-application ID and some of the studies linked to it".



Sharing options



The system displays only the studies that have not been shared yet with the selected third party/consultant.

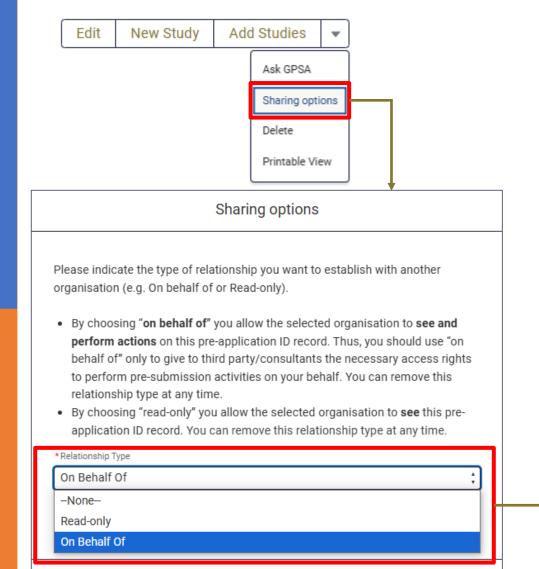
The **third party/consultant** is added to the related list "**Sharing options**" in the pre-application ID page.

Note: The user cannot repeat the sharing procedure by selecting the same **third party/consultant** to share additional studies.

3.10.1c Share a pre-application ID "On behalf of" – error message

Next





If the account relationship with the **third party/consultant** has not been established beforehand, the system returns an **error message** when the user tries to share a record with the relationship type "On behalf of".



Sharing options

You cannot do the sharing "on behalf of" with this organisation, because you did not establish a relationship with it. Please, either select:

- relationship type 'Read-only' (in this way the organisation selected will be able to only view, but not edit the record), or
- Enable a relationship with a third party. To do so click on My profile in the navigation menu, click the button Manage Relationship and follow the instruction

Finish

3.10.1d Share a pre-application ID "On behalf of" - summary



Actions allowed to business operator or a third party/consultant for a pre-application ID shared granting "On behalf of" permissions:

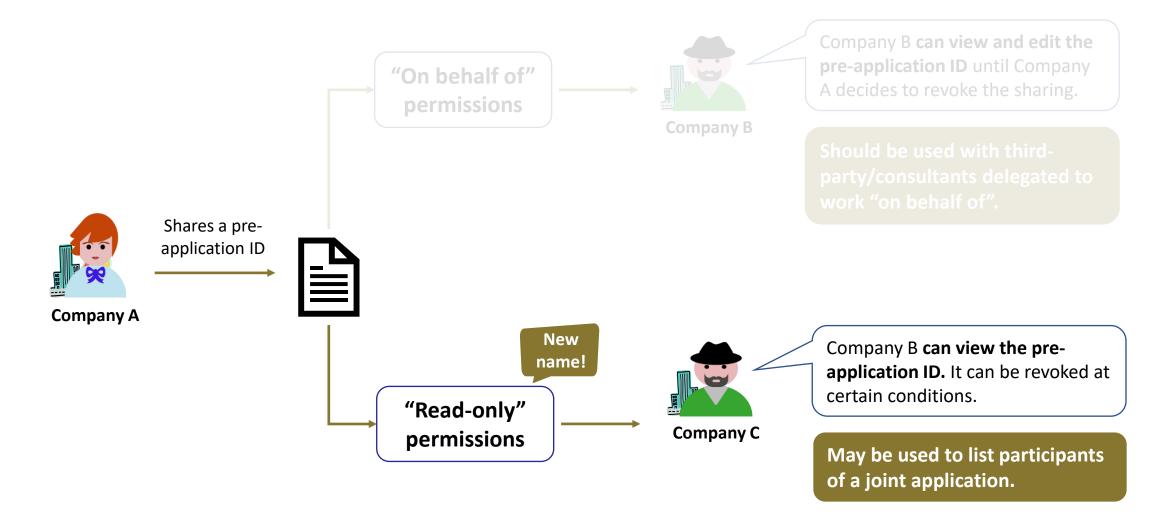
- 1. View and edit the pre-application ID information*
- 2. Create new studies or add already existing studies to the pre-application ID
- 3. View and edit the studies that have been shared with the pre-application ID**
- 4. Create, edit and submit a list of intended studies (for renewals only)
- 5. Manage the intended studies associated to a list (for renewals only)
- 6. View and add components
- 7. Share the pre-application ID with other business operators

*if the pre-application ID contains already a list of intended studies, this will also be shared and editable by the consultant who will be able to submit it as well.

**studies previously created/added need to be shared following the procedure described in Section 3.10.1b.

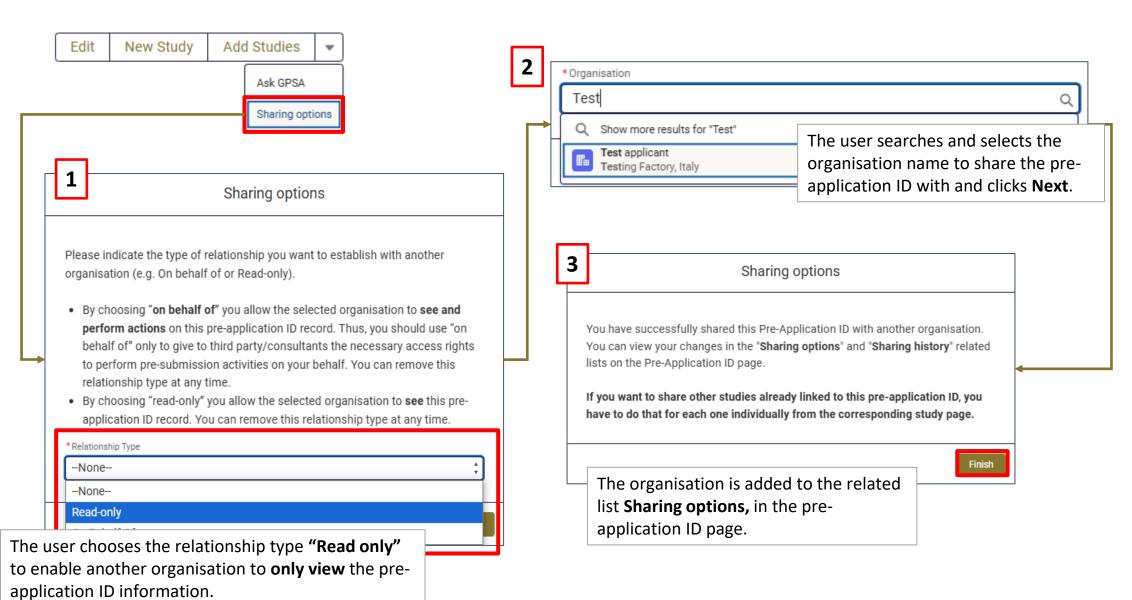
3.10.2 Share a pre-application ID — "Read-only" - overview





3.10.2a Share a pre-application ID "Read-only" - creation





3.10.2b Share a pre-application ID "Read-only" - summary

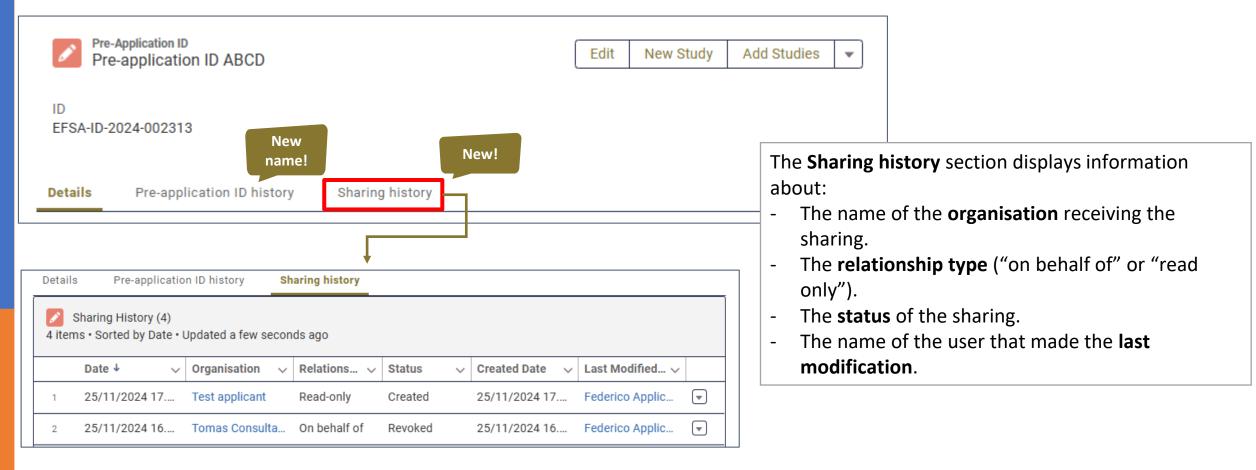


Actions allowed to business operator or a third party/consultant for a pre-application ID shared granting "Read-only" permissions:

- 1. See the pre-application ID information
- 2. View the list of intended studies and all the information contained in its page (renewals only)
- 3. View components added to the pre-application ID
- 4. View **only** studies created/added after the record was shared*

^{*}studies previously created/added need to be shared one by one.

3.10.3 Share a pre-application ID – Sharing history

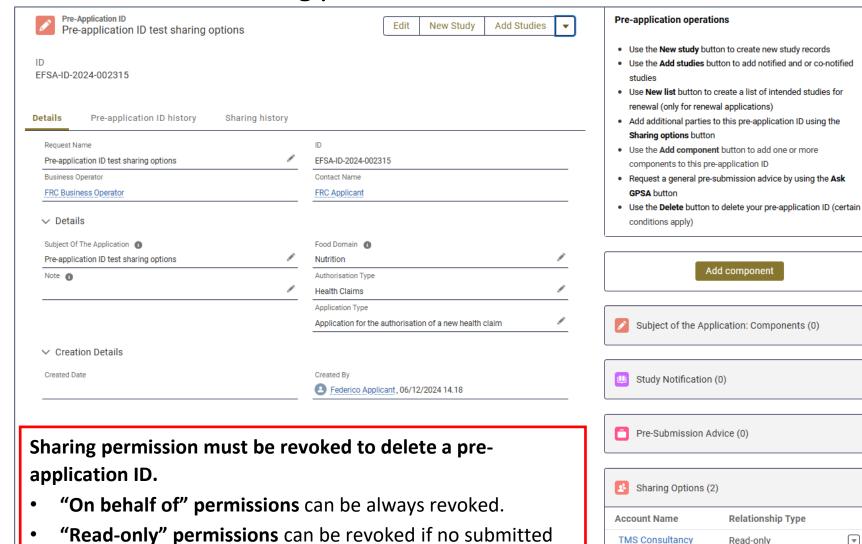


New!

3.10.4 Delete sharing permissions

study notification(s), GPSA request(s) or list of intended

studies are associated with the pre-application ID.



The user clicks **Delete** to remove the sharing permission.

₹

Edit

Delete

Test Consultancy SPA

On Behalf Of

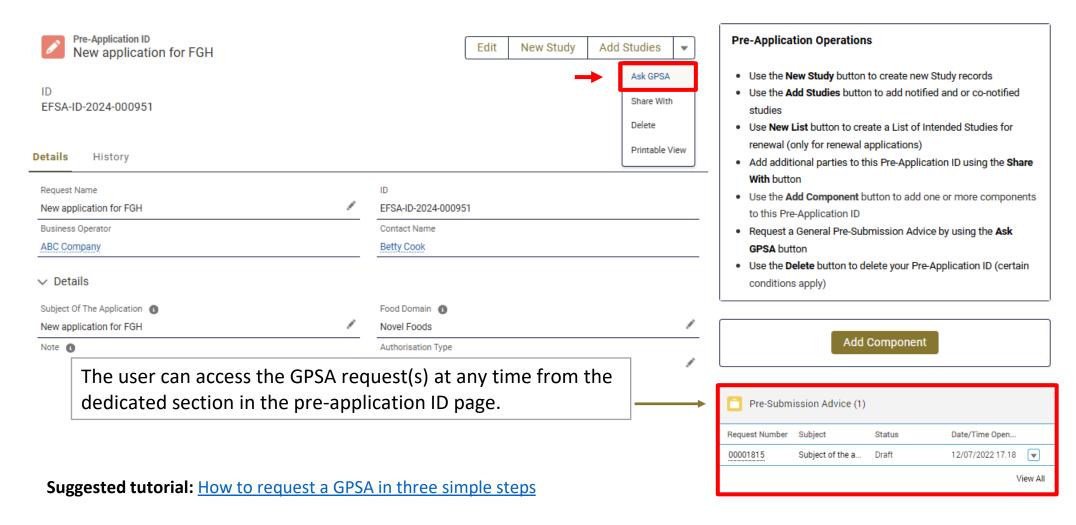
General pre-submission advice



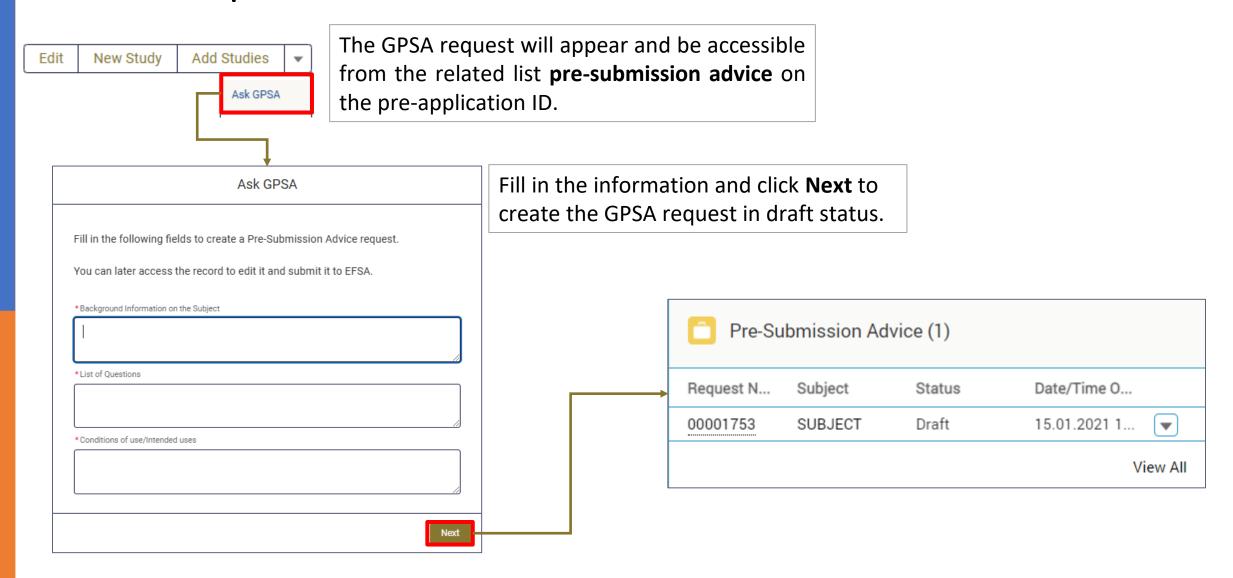
3.11 General pre-submission advice (GPSA)

Users can request a general pre-submission advice from the pre-application ID by using the dedicated button **Ask GPSA**, at any moment prior the submission of the application. This action is the same for new and renewal applications.

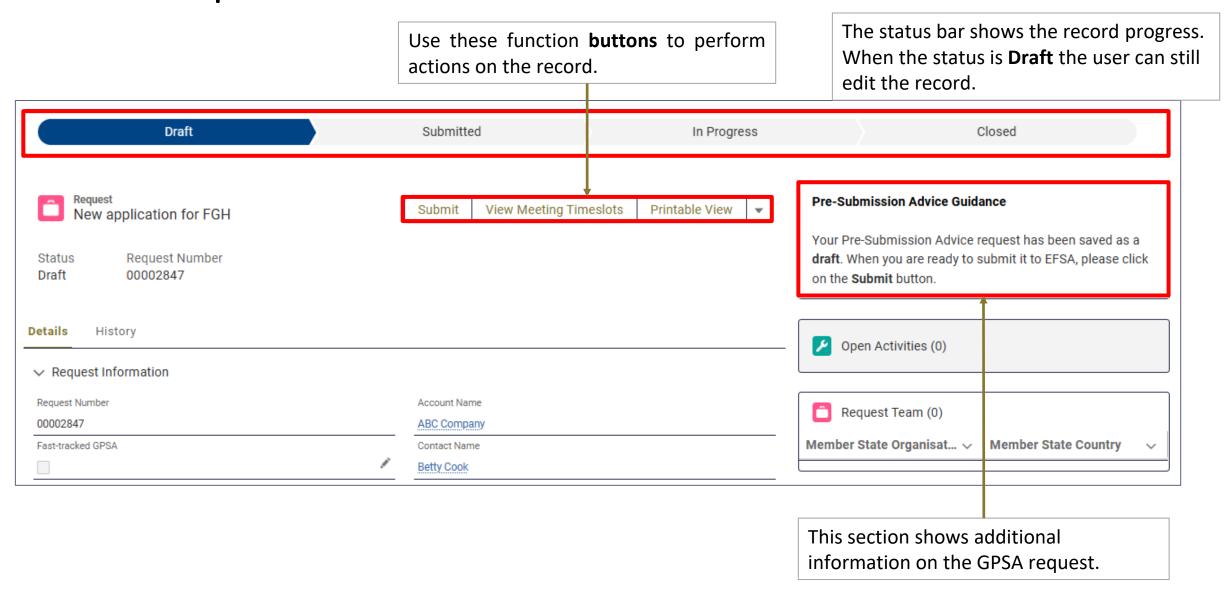
Pre-submission activities / Pre-application ID / Pre-application ID detail page

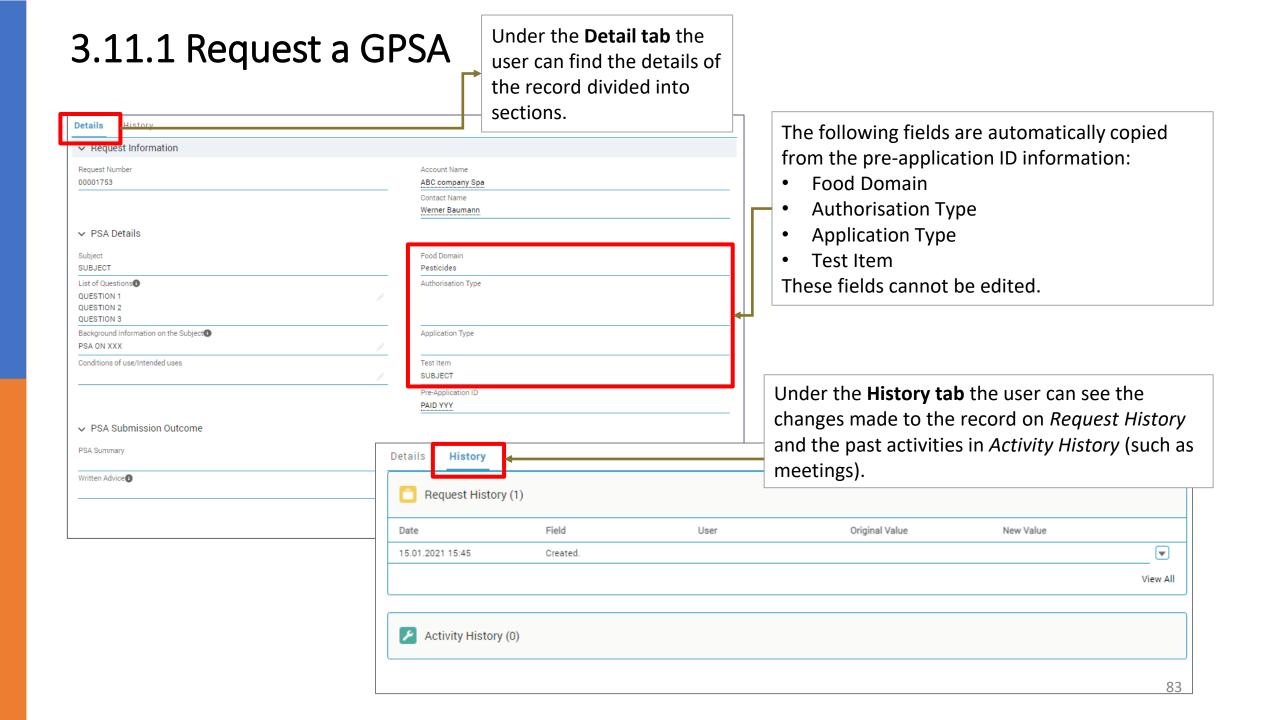


3.11.1 Request a GPSA



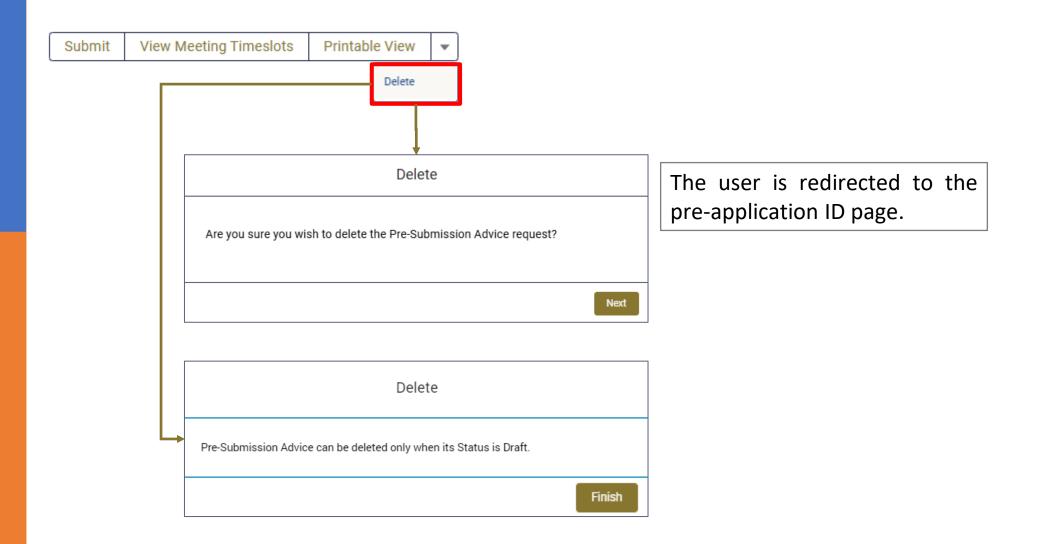
3.11.1 Request a GPSA





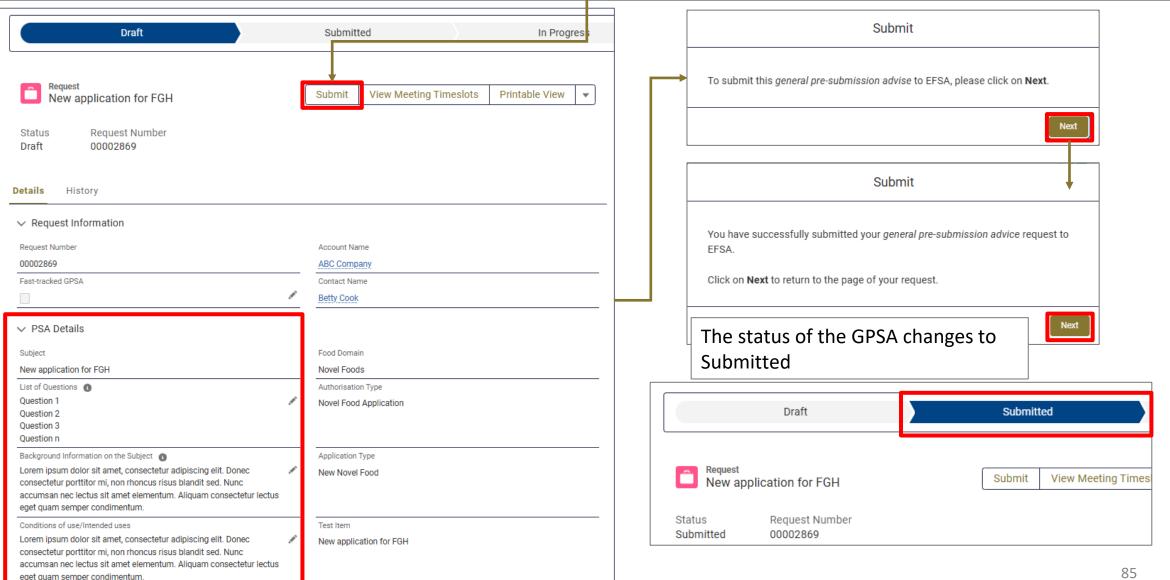
3.11.2 Deletion of a request for GPSA

It is possible to delete the GPSA request only when its status is equal to Draft, otherwise an error message will appear.



3.11.3 Submission of a request for GPSA

When the information required by the GPSA form are complete the user clicks **Submit** and follows the procedure.



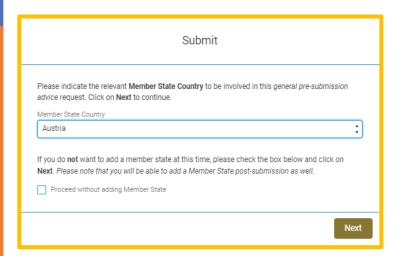
3.11.4 Submission of a request for GPSA – Pesticides

When submitting a GPSA requests linked to future applications with Food Domain: **Pesticides Peer Review (NAS), Pesticides MRL, Pesticides Peer Review (AIR) and Pesticides Peer Review - Other Areas**, the user is requested to indicate **the country** of the Rapporteur Member State (RMS) and the Co-Rapporteur Member State (Co-RMS).

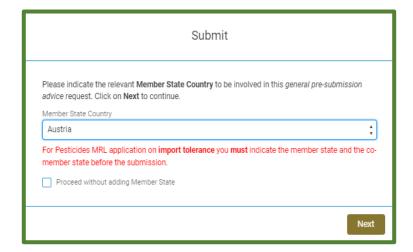


Depending on the Food Domain, the system will display a different window for the selection of the Member State(s), to clarify when the selection of the RMS and co-RMS is mandatory.

Pesticides Peer Review (NAS) & Other Areas



Pesticides MRL

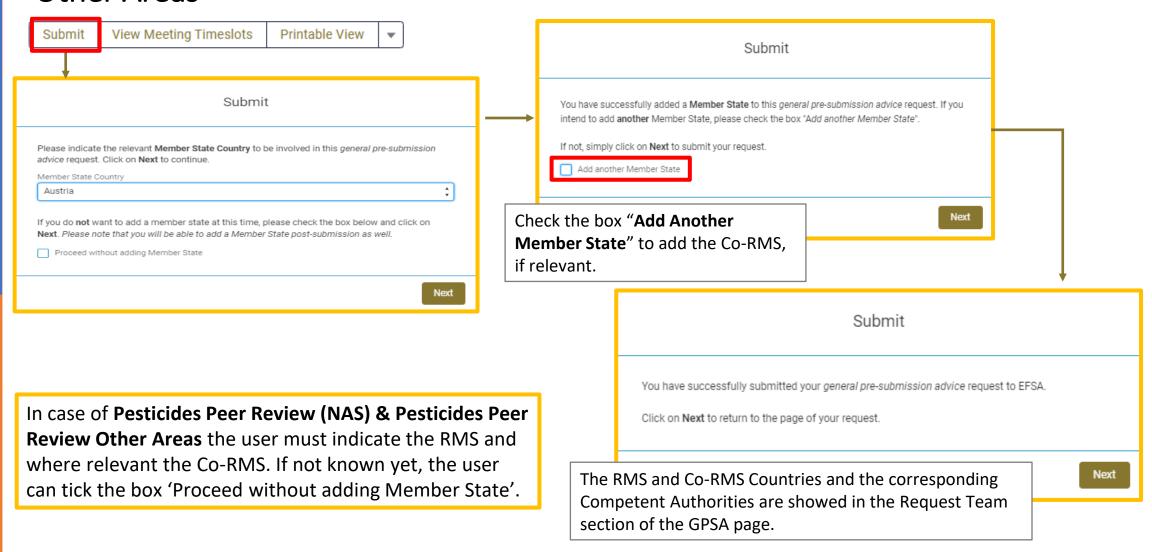


Pesticides Peer Review (AIR)

| | Submit |
|--|--|
| Please indicate the relevant Men advice request. Click on Next to 0 | nber State Country to be involved in this general pre-submission continue. |
| Member State Country | |
| Austria | ‡ |
| You must indicate the member so submission | tate and the co-member state before proceeding with the |
| | Next |

Note: more details on the submission workflow of a GPSA request for each Pesticides Food Domain are presented in the next slides.

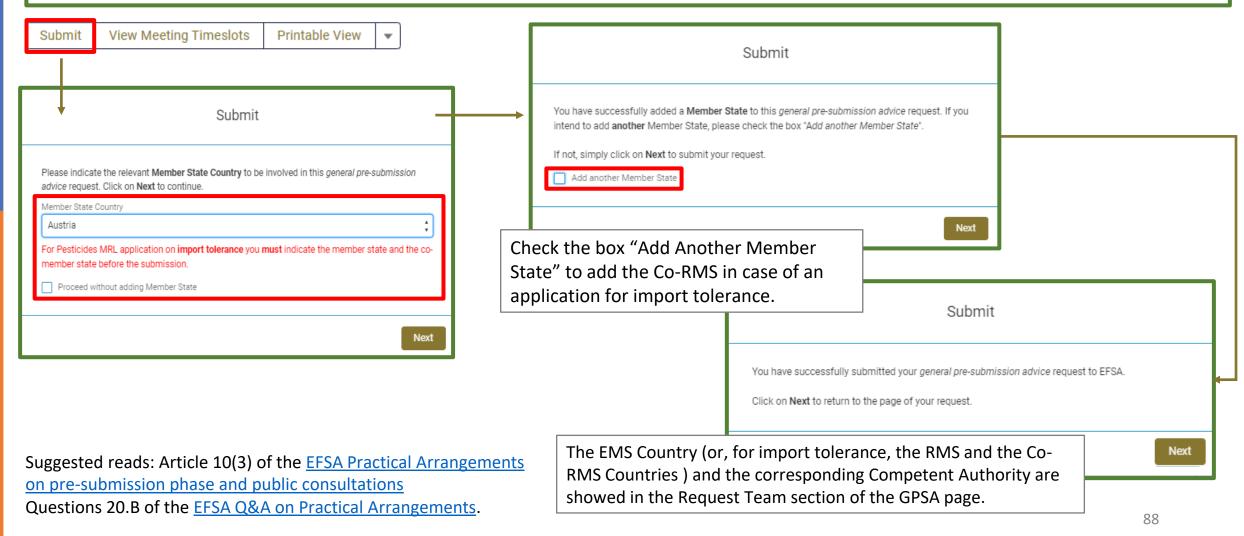
3.11.4.1 Submission of a request for GPSA — Pesticides Peer Review (NAS) & Other Areas



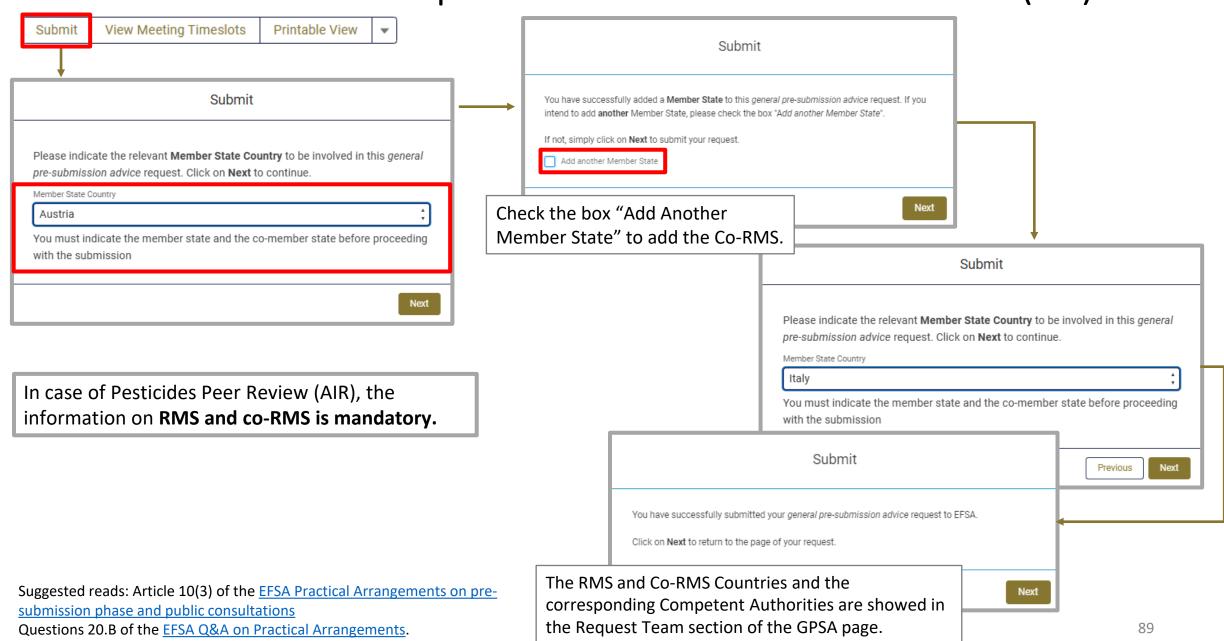
Suggested reads: Article 10(3) of the <u>EFSA Practical Arrangements on pre-submission phase and public consultations</u> Questions 20.B of the <u>EFSA Q&A on Practical Arrangements</u>.

3.11.4.2 Submission of a request for GPSA – Pesticides MRL

In case of Pesticides MRL, the user must indicate the evaluating Member State (EMS). If not known yet, the user can tick the box 'Proceed without adding Member State'. For **Pesticide MRL applications on import tolerance**, the information on **RMS and Co-RMS is mandatory**, therefore the box must not be ticked.

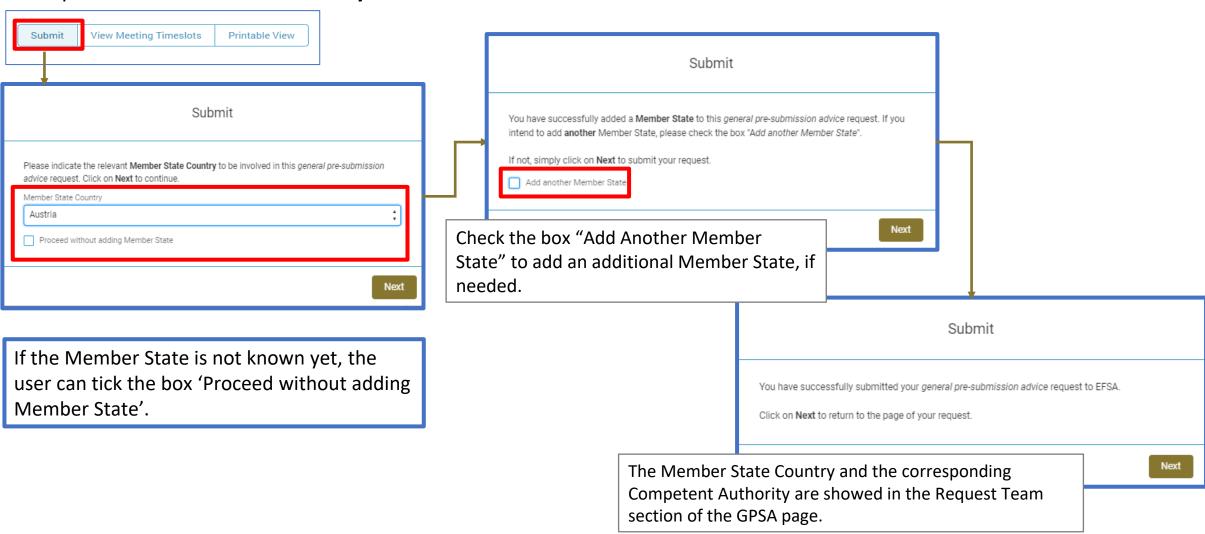


3.11.4.3 Submission of a request for GPSA – Pesticides Peer Review (AIR)

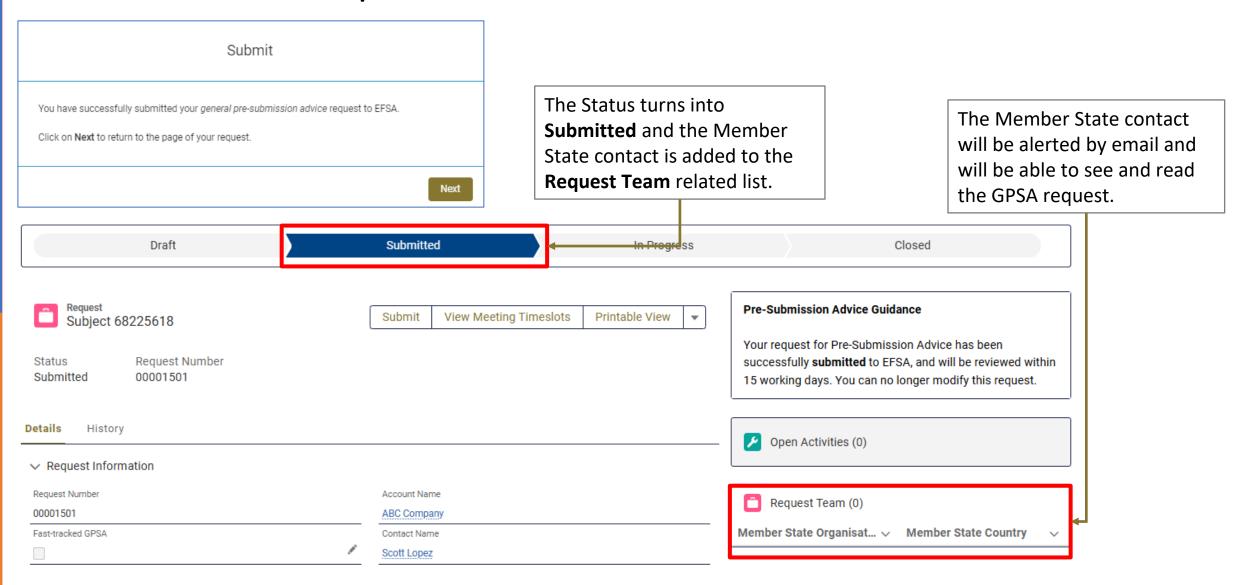


3.11.5 Submission of a request for GPSA – GMO Directive

When submitting a GPSA requests linked to future notification under Articles 13 and 17 of Directive 2001/18/EC, the user is requested to indicate the **Country of the Member State** that will be notified.



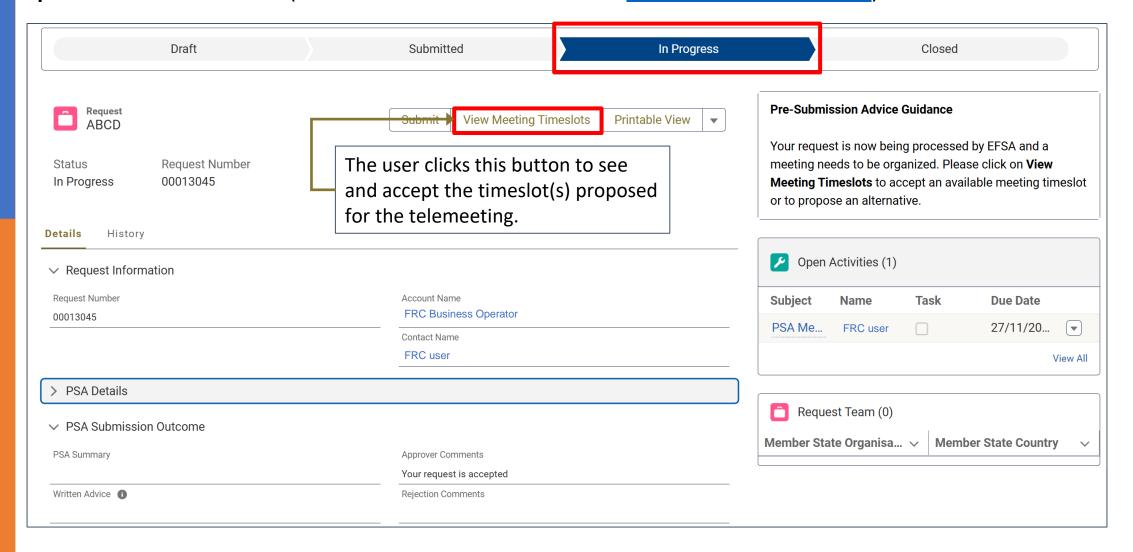
3.11.6 Submitted request for GPSA – Pesticides and GMO Directive



3.11.7 Acceptance of a GPSA request by EFSA



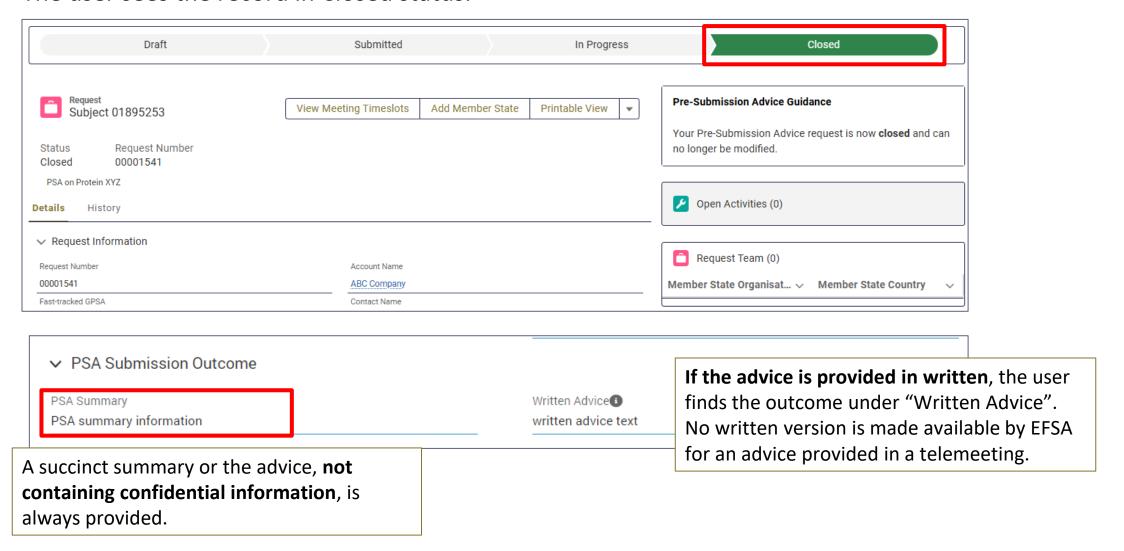
Following the EFSA's acceptance the status of the GPSA turns to "In Progress". If EFSA decides to provide the advice in a telemeeting, an email alert with the invitation to accept the proposed timeslot(s) is sent to **email address indicated for pre-submission activities** (more details in **Section 5.2 of the registration user manual**).



3.11.8 Receiving the reply to a GPSA request

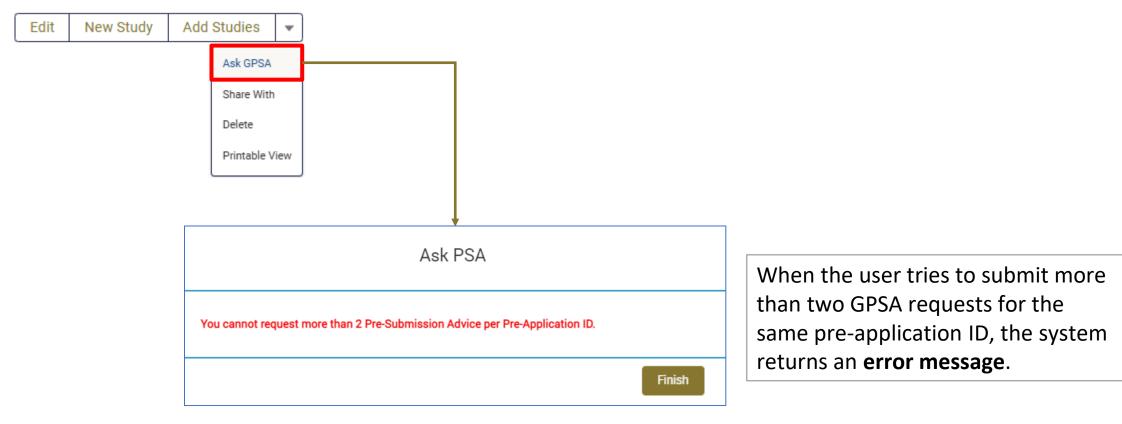


The user sees the record in Closed status.



3.11.8 Limit number of GPSA requests

Each registered business operator or third party/consultant can submit up to two GPSA requests per pre-application ID.

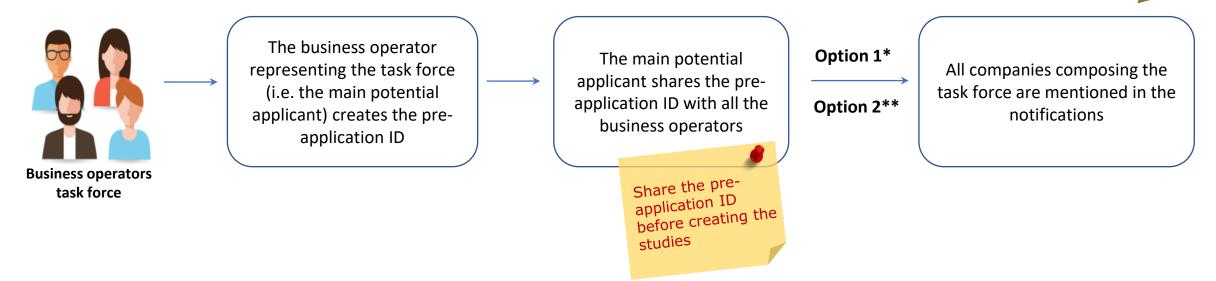


Joint pre-submission activities (task force)



4 Task force scenario – no third party/consultant involved





By default, the main potential applicant appears in the field 'Business Operator' of the pre-application ID and of all the studies linked therein.

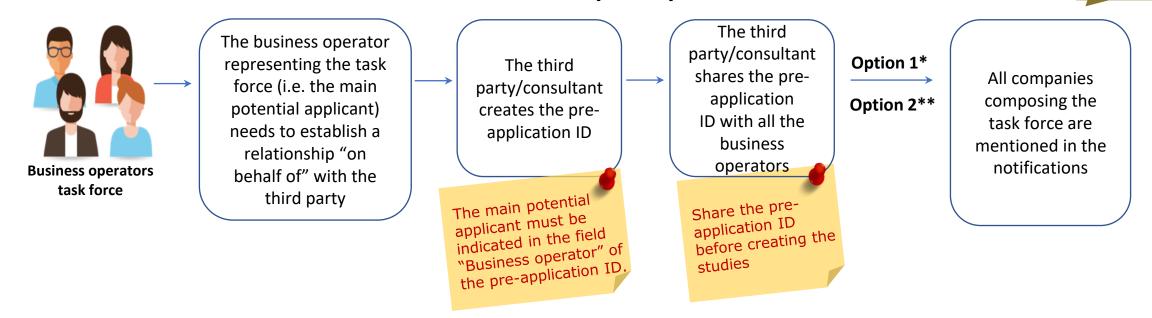
*Option 1 - Pre-application ID shared with "Read-only" permissions: the companies composing the taskforce, other than the main potential applicant, can only view the studies created and notified that are linked to the pre-application ID.

**Option 2 – Pre-application ID shared with "On behalf of" permissions: when creating the notification (and only at that stage), the Business Operator may be changed to reflect the actual organisation in the task force commissioning the study/ies, as showed in Section 3.2. To do so, the main potential applicant should establish an additional relationship "on behalf of" with such organisation(s).

Both options are adequate to describe a task force scenario. Potential applicants can choose according to their needs.

4.1 Task force scenario – with a third party/consultant involved





By default, the main potential applicant appears in the field 'Business Operator' of the pre-application ID and of all the studies linked therein.

*Option 1 - Pre-application ID shared with "Read-only" permissions: the companies composing the taskforce, other than the main potential applicant, can only view the studies created and notified that are linked to the pre-application ID.

**Option 2 – Pre-application ID shared with "On behalf of" permissions: when creating the notification (and only at that stage), the Business Operator field may be changed to reflect the actual organisation in the task force commissioning the study/ies, as showed in <u>Section 3.2</u>. To do so, this entity should establish a relationship "on behalf of" with the third party/consultant.

Both options are adequate to describe a task force scenario. Potential applicants can choose according to their needs.

4.2 Highlights of the task force scenario

Updated!

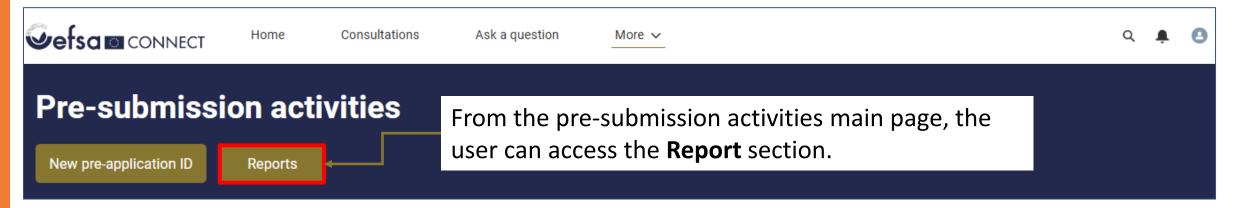
- The main potential applicant must be indicated in the field "Business operator" of the pre-application ID.
- If a third party/consultant is involved, the main potential applicant must first establish an account relationship "on behalf of" with this organisation.
- The pre-application ID may be shared with "On behalf of" or "Read-only" permissions with the other companies composing the task force. The rules explained in Sections 3.10.1 and 3.10.2 apply.
- It is possible to include, at a later stage, additional potential applicants under an already created preapplication ID by creating a relationship before sharing the pre-application ID with them.
- Should one of the joint potential applicants wish to seek general pre-submission advice separately or notify studies without sharing them with the other potential applicants of the task force (to avoid sharing confidential issues), they could request an additional individual pre-application ID. When the joint application will be submitted, all the pre-application IDs need to be reported.



Reporting features



5. Reporting features

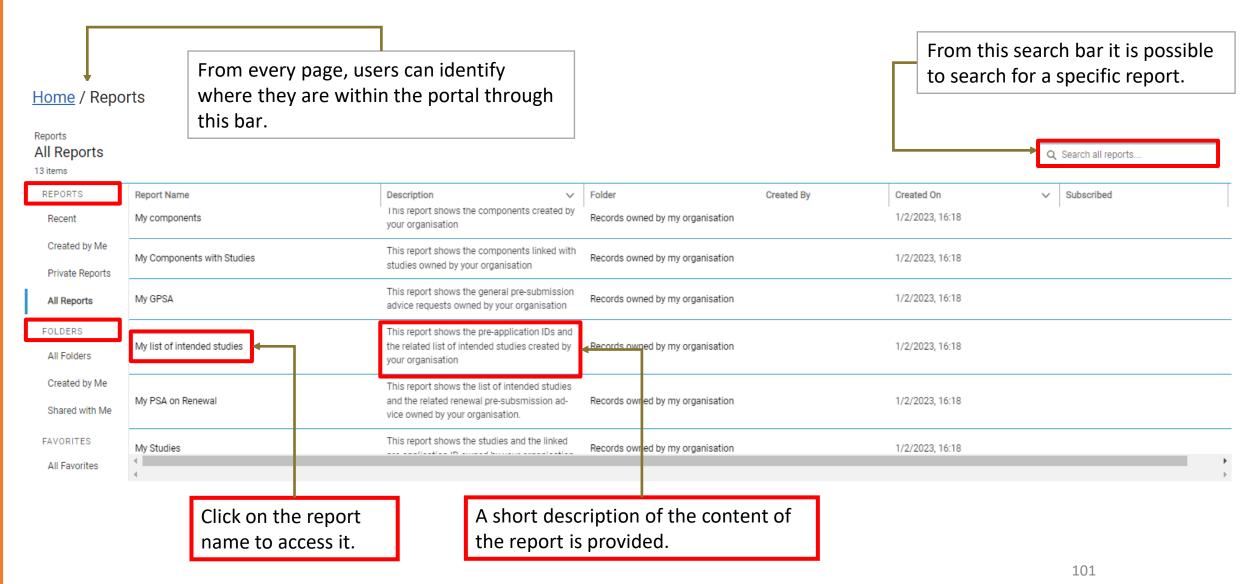


Important notes about reports:

- The user entering the Report section finds an overview of all the Reports available.
- (!)
- Reports are collected in two main folders: "Records owned by my organisation", "Records shared with my
 organisation". Hence it is not possible to see records belonging to another organisation unless they have
 been shared. An additional folder "Study Types and Study Guidelines" contains the already available reports
 on study type and study guidelines.
- All reports and folders available on the portal are predefined by EFSA and in **read-only mode**. This means that changes done by the user will not be saved. When the page is refreshed, the system will restore the original version of the report. The user cannot create new folders.
- It is possible to (temporarily) apply some changes to the online reports. They can also be exported in an
 editable Excel or CSV file.

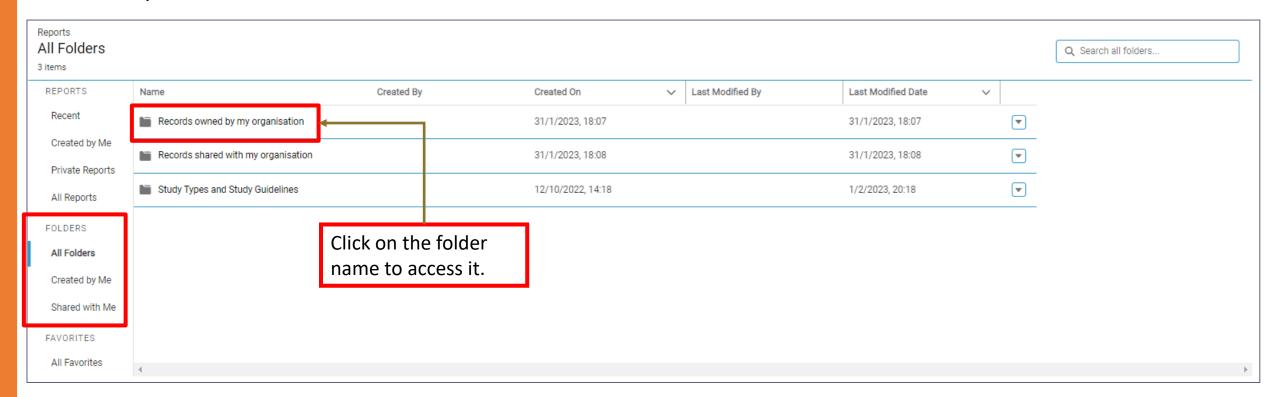
5.1 Reporting features – Overview

The user can access the reports form the REPORTS (All Reports) view, or from the FOLDERS (All Folders) view.



5.2 Reporting features - Folders

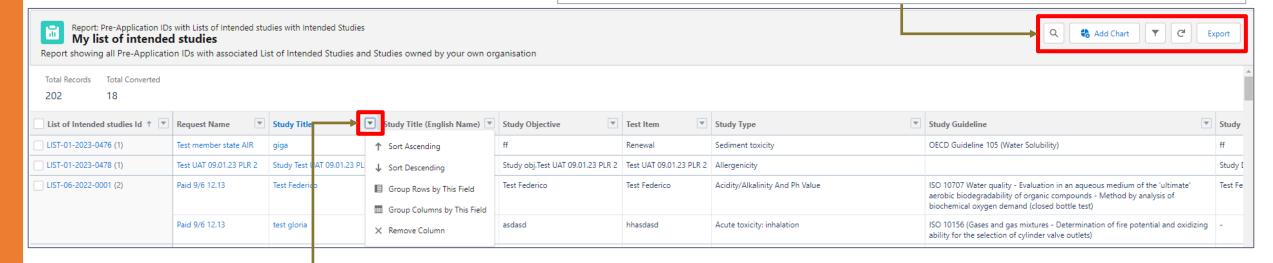
All the reports available to the user are saved in three distinct folders.



5.3 Reporting features – Actions allowed on a report

The user can perform actions on the report using these buttons. It is possible to:

- search for a specific value in the table
- add a chart
- apply filters
- refresh the values in table
- **export the report** in Excel or CSV formats

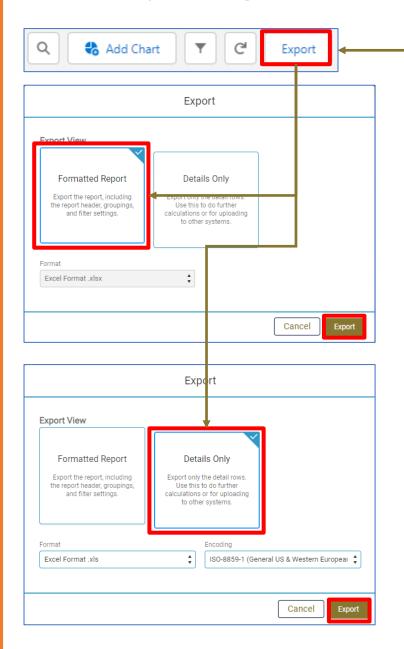


Click on one of the pointing down arrows to perform actions on the report table.

The user can:

- sort the values
- group/ungroup values
- remove columns

5.4 Reporting features – Export a report



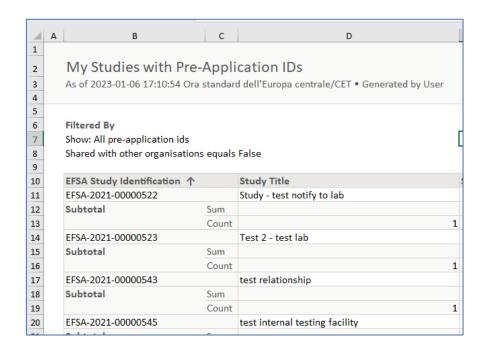
Click on **Export** button and select the preferred format.

Formatted Report

Reports can be exported in a format similar to the online version, e.g., keeping the grouping and the other settings. This option exports the report as Excel file only.

Details Only

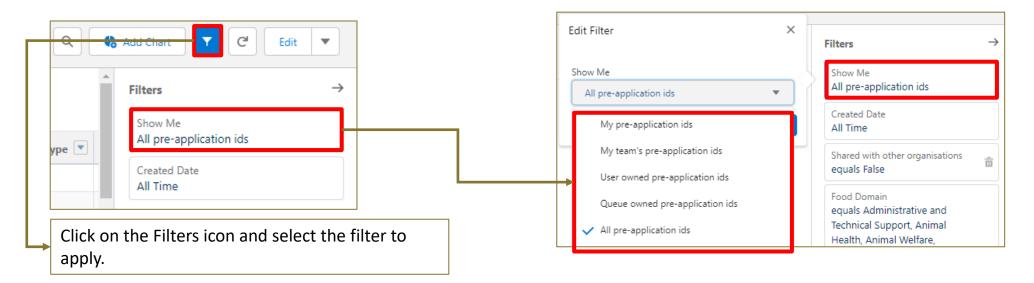
Reports can be exported as Excel or CSV file showing only the detail rows.



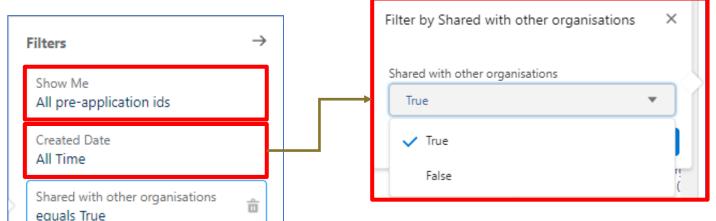
| 1 | A | |
|---|------------------------------------|---------|
| 1 | Study Title | Ī |
| 2 | Draft study | Ī |
| 3 | test | 1 |
| 4 | rr | I |
| 5 | test | |
| 6 | new study test shared with | |
| 7 | test on behalf solution consulting | |
| 8 | Study as Solution consulting | |
| _ | | \perp |

5.5 Reporting features – Filters functionality

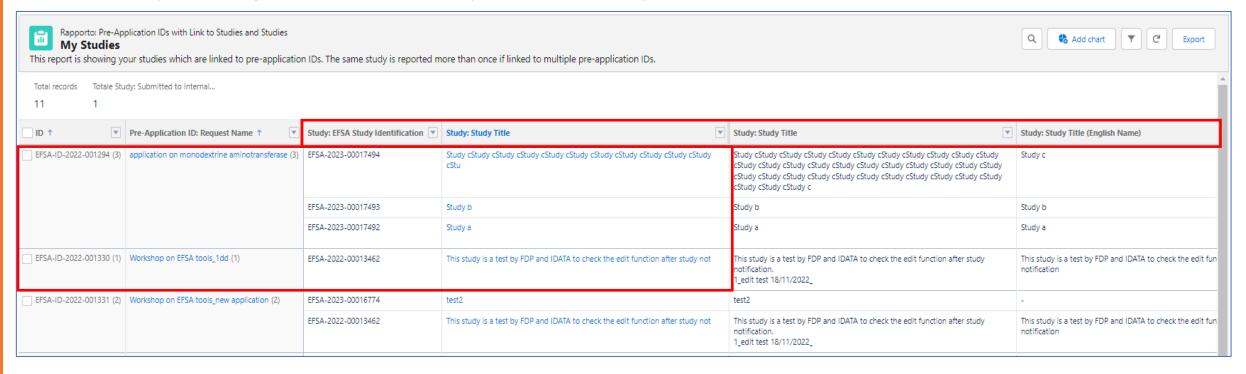
Depending on the type of data showed in the report, predefined filters are available. Once the user refreshes the page the default filtering rules set by EFSA will be restored.



Some filters will allow to restrict the view to records on the basis of their creation date, while others allow to view only the records shared with the user's organisation.



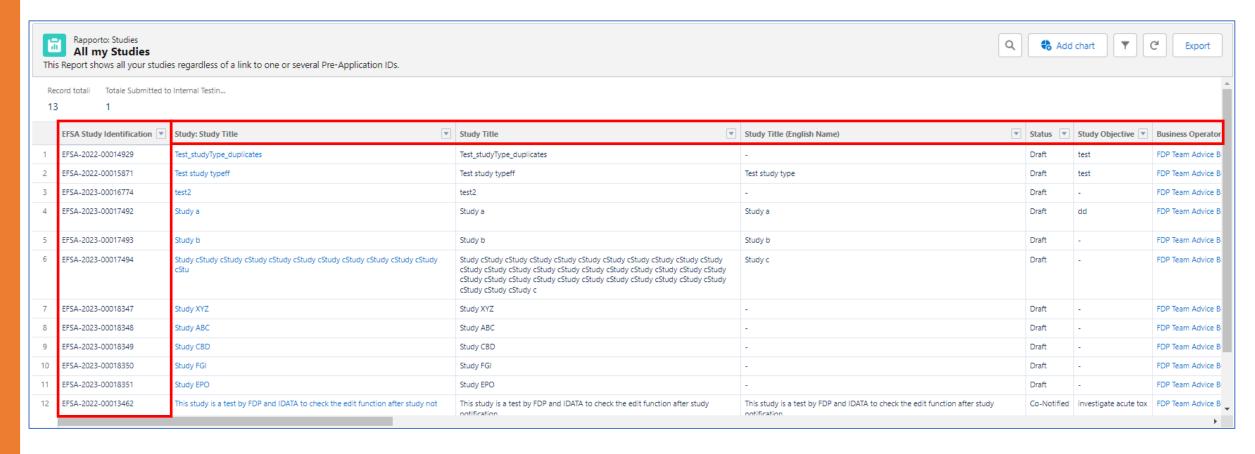
5.6 Reporting features – My studies report



This report shows all the studies owned by the users organisation which are linked to pre-application IDs. The user finds:

- 1. The ID and the Request Name of the pre-application ID and all the studies linked therein.
- 2. The **Study Title information** comprehensive of "Study Title" with direct link to the study record page, "Study Title" (i.e. the full length version) and "Study Title (English Name)".
- 3. Other available information includes: Status, Study Objective, Business Operator name and email, Laboratory name and email, etc.

5.7 Reporting features – All my Studies reports



This report shows all the studies owned by the user organisation, regardless they are linked or not to a pre-application ID. The user finds:

- The EFSA Study IDs.
- 2. The **Study Title information** comprehensive of "Study Title" with direct link to the study record page, "Study Title" (i.e. the full length version) and "Study Title (English Name)".
- 3. Other available information includes: Status, Study Objective, Business Operator name and email, Laboratory name and email, etc.

Recommended documents and links

Applicants Toolkit https://www.efsa.europa.eu/en/applications/toolkit

Transparency https://eur-lex.europa.eu/legal-

Regulation <u>content/EN/TXT/?uri=CELEX:32019R1381</u>

Practical https://www.efsa.europa.eu/en/corporate-pubs/transparency-

Arrangements <u>regulation-practical-arrangements</u>

Q&A on Practical https://www.efsa.europa.eu/en/corporate-pubs/questions-and-

arrangements <u>answers-efsa-practical-arrangements</u>

