# **Application Performance Index – Apdex Technical Specification**

Version 1.1

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### 1. Introduction

The Apdex Alliance is a group of companies collaborating to promote an application performance metric called Application Performance Index (Apdex). Apdex is a numerical measure of user satisfaction with the performance of enterprise applications, intended to reflect the effectiveness of IT investments in contributing to business objectives. The Apdex metric may be used by any organization seeking insight into their IT investments. This specification defines Apdex, which is a method for calculating and reporting a metric of transactional application response time in the form of an index with a value of 0 to 1.

### 1.1 Status of This Document

This specification document is the cornerstone of the Apdex Alliance. It was developed and ratified by the current Alliance member companies through a consensus process. The voting members of the Apdex Alliance at the time that this document was formally adopted are:

Members of the Alliance have made a commitment to implement tools or services that adhere to this specification. The Alliance is also committed to supporting an ongoing process of inquiry into the relationship between application responsiveness and user satisfaction. This specification may be updated over time based upon feedback from practical experience with Apdex, or to reflect new insights into application performance and its impact on business.



## 1.2 Relationship of this Document to Other Documents

The Apdex Alliance plans to develop and maintain additional documents and educational material to help enterprises understand the Apdex metric and put it to productive use within their organizations. The Apdex Technical Guide will provide detailed information on defining Apdex parameters within an enterprise. Most recent information about the Alliance and Apdex documents will be made available at the Alliance web site at www.apdex.org.

#### 2. Index Overview

There are many aspects of performance relating to the delivery and management of information technology. One critical performance factor is the responsiveness of the human-computer interface from the perspective of the human user. This responsiveness is a core quality of a transactional application. The speed by which an application reacts to the needs of the user directly affects the user's productivity and satisfaction with the application experience. It is a critical metric of application performance that has direct implications for business revenue, customer retention, and user productivity.

Therefore, measuring and tracking application response time is important to an enterprise that values the opinion and productivity of its users' experiences. However, measuring is a necessary but insufficient step in proper management of the user experience. Meaningful reporting of the measurements is equally important. The Application Performance Index ("Apdex") defines a methodology for reporting the responsiveness of human-application transactions, in terms of its effect on user productivity.

### 2.1 Index Objectives

The fundamental objective of Apdex is to simplify the reporting of application response time measurements by making it possible to represent any such measurement using a common metric. Response time data can describe a wide range of targets, and its magnitude can vary widely. The index is designed to normalize for this variability of time (wide range of seconds) and measurement requirements (many distinct targets), producing a single metric that always has the same meaning. The goals of this metric are:

- To provide a useful summary of an application's responsiveness
- To make it easy to understand the significance of values produced by the index
- To work for all transactional applications
- To operate within a fixed rage (0-to1) and be unit-neutral
- To indicate application performance directly so that 0 is the worst performance and 1 is the best performance
- To operate in such a way that specific values of the index (e.g., 0.5) report the same user experience across any application, user group, or enterprise
- To operate in such a way that equivalent user experiences observed by different measurement and reporting tools will report the same value

The purpose of this document is to provide a standard by which many vendors of measurement and reporting tools can achieve these seven objectives.

#### 2.2 Terms in This Document

This document makes a clear distinction between the user of an application that is being measured and the user of the tool that is performing the measurement and generating index values. The latter is called the technician. Other unique terms are defined in the glossary at the end of this document.

## **2.3 User-Application Interaction Model**

In order for the index to properly report on users' perceptions of application responsiveness, the measurements that are used as input must measure the actual time that a user is waiting for the application to respond. Transactional applications are characterized by a query-response interaction <del>process</del>. A user types, clicks, or makes some entry followed by a period of time when the system (network, computers, databases, etc.) handles the entry and generates a response. This period is typically called "user wait time." The critical factor regarding the duration of this time is that the user is typically incapable – even prevented from – proceeding with the application until the response is delivered. The user will then read, react, or in some way think about the response that will then lead him or her to make the next entry. This period of time where the system is waiting for the user to make the next entry is typically called "user think time." This enter-wait-think cycle repeats many times during the user's session with the application.

The user's perception of the application's responsiveness or speed is formed by an accumulated number of views regarding the lengths of wait times or response times. There are many components of a client-server interaction that make up response time. Transactional applications have complex behaviors that operate at many levels. It is important to deconstruct the layers of interaction within the taxonomy described in Table 1. For purposes of clarity the table shows examples for HTTP and HTML (web-based) applications. Transactional applications based upon other protocols or proprietary schema follow the same taxonomy and may therefore be measured and reported by tools using Apdex.

### 2.4 Processes, Tasks and Task Chains

Within the taxonomy described in Table 1, application response time is defined to be the time that elapses between a user completing a Task entry and the computer system responding with sufficient information to allow the user to proceed to the next Task. Furthermore, human users are most aware of the responsiveness of an application delivery system at its Task and Process layers, because these layers usually correspond to two distinct and readily identifiable aspects of a user's interaction with a computer system, as follows:

- Task Time corresponds to an instance of application response time a human user experiences while the computer system is performing one operation (or one step) of an application.
- Process Time corresponds to the sum of the Task Times a user experiences while performing a sequence of related Tasks necessary to complete a single application function, including user think time. This is the actual time a user experiences while completing a Process.
- Task Chain Time corresponds to the sum of the Task Times of a defined series of Tasks. Task Chain Time differs from Process time in two ways: (a) the total time does not include user think time, and (b) the set of Tasks does not necessarily correspond to a complete Process.

This specification defines the Apdex method for computing and reporting measurements of Task Time and Task Chain Time.

Apdex Term	General Definition	HTML/HTTP Equivalent
Session	The period of time that a user is "connected" to an application. This is a continuous period of time that the user is interacting with the application.	No specific HTML or HTTP markers define a session. A proxy is an IP address connection (open-to-close). Another is the assignment of a session identification cookie followed by a period of inactivity.
Process	A multi-step series of user interactions (Task, see below) together with the corresponding user Think Times – that may (buy a book, look up an address, get a stock quote) or may not (no clear end) be well defined. Often called the "transaction" or "application use case."	A series of web pages that allow a user to perform a defined application function, together with the user interaction times ("Think Times") required to interact with those pages.
Task	Each user interaction with the application during a Process. Task time is measured from the moment the user enters an application query, command, function, etc., that requires a server response to the moment the user receives the response such that they can proceed with the application. Often called the "user wait time" or "application response time."	A Page download, consisting of a container and zero or more component objects, and the time required to perform that download, measured from the time of a user interface action until the page download completes and the page is available for use.
Task Chain	A defined sequence of Tasks. The Tasks comprising a Task Chain may or may not correspond to a complete Process (as defined above). Furthermore, time of a Task Chain corresponds to the sum of the Task times only, and does not include any user Think Time that may occur between Tasks.	A defined sequence of Web pages, and their associated download times, excluding any Think Times between user interface actions.
Turn	Each application client-server software interaction needed to generate a user response or Task (see above). These software-level client- server interactions add to the time it takes for the software to complete a Task. The user does not see Turns operating. A Turn is a client- server request-driven round-trip. Often called application "chattiness."	HTTP Gets for parts of a Web page or frame. Object, such as a container (index.html), component (image.gif) or stand-alone document (program.zip). In HTML, each object will generate a Turn.
Protocol	The above Turns are further deconstructed into transport protocol events required to reliably move information among computers. These include DNS look-ups, TCP Opens, TCP transmission, TCP ACKs, retransmission, etc.	TCP is the protocol supporting HTTP. HTML/HTTP do not generate additional events at this layer of the transactional model.
Packet	The smallest unit of interaction and transmission between the user's client and the application server is the packet. Packets are routed and transported by networks from source to destination.	HTML/HTTP do not generate additional events at this layer of the transactional model.

## Table 1 – Taxonomy of Transactional Computer Applications

## **2.5** How Users Interpret Application Response Times.

Users have a finite set of reactions or views by which they characterize application response time. Each such group of time durations is called a performance zone. Performance zones are defined by two thresholds – times where the zone begins and ends. Apdex defines three such performance zones:

Satisfied	Response times that are fast enough to satisfy the user, who is therefore able to concentrate fully on the work at hand, with minimal negative impact on his/her thought process.
Tolerating	Responses in the tolerating zone are longer than those of the satisfied zone, exceeding the threshold at which the user notices how long it takes to interact with the system, and potentially impairing the user's productivity. Application responses in this zone are less than ideal but don't by themselves threaten the usability of the application.
Frustrated	As response times increase, at some threshold the user becomes unhappy with slow performance entering the frustrated zone. With response times in the frustrated zone, a casual user is likely to abandon a course of action and a production user is likely to cancel a Task.
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The three zones are defined by two thresholds: T and F, in seconds, as follows.

Satisfied Zone = zero to T. Tolerating Zone = Greater than T to F. Frustrated Zone = Greater than F

The value of F is four times the value T. For example, if users perceive response time as tolerable beginning at 4 seconds then they will be frustrated at greater than 16 seconds. The research that supports this model is described in Reference 1. Further background information is available in References 2-4.

Most research into application response times has focused on user attitudes and behaviors when exposed to differing Task times. Since Processes and Task Chains are simply sequences of Tasks, this Apdex specification assumes that applicable research conclusions obtained for Tasks can be generalized to apply to Task Chains also. Therefore Apdex considers that the above discussion of performance zones and thresholds applies either to a single Task or to a Task Chain (a sequence of related Tasks).

Because the set of Task times comprising a Task Chain time are likely either to be independent (because environmental conditions affecting one Task have no effect on other tasks in a chain), or to be positively correlated (because Tasks share a common system environment) it is reasonable to generalize the conclusions obtained for single Task times to Task Chain times. Such a generalization would not be valid if the Task times comprising a Task Chain tended to be negatively correlated, because in that case (for example) user frustration with one task could be offset by obtaining rapid response time from another task in the chain. Users accustomed to such offsetting behavior might well expect smaller levels of variance in total Task thresholds, and which did not adhere to the rule that F is four times T. In this situation, the proposed Apdex mathematics would still work, but the resulting Apdex score might well overestimate the users' perceived quality of a Task Chain. At present, Apdex considers that such negatively correlated Task times are unlikely to occur in practice.

## 2.6 How the Index Works

The Apdex method converts response time (seconds) into an index (unitless) by counting the number of samples in each performance zone relative to all samples. The result is a ratio that is therefore within the range of 0-to-1. An index of zero means that all of the samples were within the frustrated zone. An index of 1 means that all of the samples were within the satisfied zone. An index that is greater than zero and less than one means that the samples were from a mix of the performance zones. The higher the index value, the more satisfied the user population was for that group of application performance response samples.

### **3. Apdex Calculation Inputs**

A wide variety of tools and methods may be used to gather the necessary information for an Apdex calculation and report. The following are the minimal requirements for such a tool.

Each tool vendor may add features and capabilities above these minimal requirements.

### **3.1 Response Time Measurements**

Tool vendors must identify which applications their tool is capable of interpreting at the Task level. For example, having the ability to mark the start and end of a Web page by interpreting the HTTP and HTML protocols is sufficient for Web-based applications. The tool must clearly identify the application by protocol (e.g., HTTP/HTML), or by software maker and product name (e.g., Microsoft Exchange), that it is capable of properly interpreting Tasks and measuring their response times.

Implementation of the Task measurement will vary. However, Task measurements must be a reasonable approximation of response time measurements that could be performed by the user with a stopwatch.

Because Task Chain Time is defined to be the sum of a set of Task Times, and does not include any Think Time between Tasks, obtaining a Task Chain Time measurement will probably necessitate measuring and accumulating individual Task times. However, the implementation is left to the measurement tool developer, provided that the result is a reasonable approximation of a measurement of Task Chain Time a user could obtain by measuring and summing the component Task Times.

Implementations of Apdex-based reporting may vary, provided that individual measurements are assigned to a performance zone that is factored into an Apdex calculation and reported as further defined in this specification.

## **3.2 Defining a Report Group**

The report group is a specified set of individual measurement samples (of Task Time or Task Chain Time) that will form the foundation for an Apdex calculation. A report group's set of measurement samples may be unique or may have overlapping measurement samples with other report groups. Report groups can be defined in many ways, but the following are required:

Туре	Task or Task Chain; measurements of Task Time and Task Chain Time may not be combined in one report group.
Application	An application as selected by the technician. At a minimum, this is the application that the tool can interpret to the Task level (see above).
User Group	The technician must be able to define various user groups of an application (e.g., geography, organization).

Time Period The technician must be able to define time of day periods for which the index will be calculated.

The report group is one of the fundamental controls available to a technician. The report group may be defined as broadly as all of the samples for an application, or as narrowly as a single sample. Single samples are useful for diagnostic purposes.

#### **3.3 Threshold Settings**

The technician (operator of the tool) must be able to set the target threshold, T (satisfied-tolerating boundary) and no other Apdex threshold for each application. This threshold is a positive decimal value in seconds, having no more than two significant digits of granularity. This means that the following types of values are permitted:

0 <t<10< th=""><th>Greater than 0 seconds and below 10 seconds can be defined to a tenth of a second. Examples: 0.5, 1.2, 5.8, 9.9</th></t<10<>	Greater than 0 seconds and below 10 seconds can be defined to a tenth of a second. Examples: 0.5, 1.2, 5.8, 9.9
10 <t<100< td=""><td>Equal to or greater than 10 seconds but below 100 seconds can be defined to one second. Examples: 10, 19, 56, 85, 99</td></t<100<>	Equal to or greater than 10 seconds but below 100 seconds can be defined to one second. Examples: 10, 19, 56, 85, 99
100 <t<1,000< td=""><td>Equal to or greater than 100 seconds but below 1000 seconds can be defined to 10 seconds. Examples: 100, 190, 560, 850, 990</td></t<1,000<>	Equal to or greater than 100 seconds but below 1000 seconds can be defined to 10 seconds. Examples: 100, 190, 560, 850, 990
T>1,000	Values equal to or greater than 1,000 seconds follow the same two significant digits restriction.

The threshold must be consistent for all samples of a report group.

All tools will ship with a default setting of T that will be selected by the tool vendor. The default enables the tool to begin supplying information with minimal set-up by the technician. It is recommended that the default target threshold value, T, be set to 4 seconds. Technicians have the ability to change this default setting as defined above.

#### **3.4 Number of Measurement Samples**

There must be at least one sample in the report group in order to calculate an Apdex value. Single sample Apdex results are permitted but discouraged. The primary reason to have a small sample size is to cover low traffic periods or provide data for diagnostic reasons. These are not considered good indicators of application performance.

The minimum sample size for normal reporting of the index is 100 samples within a report group. Special handling is required for smaller report groups; Section 5.2 specifies the method for reporting an Apdex result for a report group of fewer than 100 samples.

## 4. Calculating the Index

The Apdex does not entail new measurements – rather it is a new way to represent existing measurements, calculated by counting the measurement samples in each of the performance zones.

### 4.1 The Apdex Formula

The Apdex is calculated for each report group using the following equation:

Given,

A report group that defines a set of measurement samples, and a target threshold T (seconds) between the satisfied-tolerating zones of performance

Where,

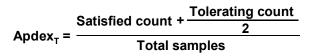
F defines the threshold between the tolerating-frustrated zones of performance, and

F = 4T

Such that,

There are counts of response time measurement samples within the above defined performance zones of: Satisfied count = number of satisfied response time samples, Tolerating count = number of tolerating response time samples, Total samples = number of all samples in the report group

Then,



Note that measurements in the frustrated zone are counted in the number of total user samples in the denominator. To achieve the optimal Apdex value of 1.00, all users must experience satisfactory performance. If some users see tolerating or frustrating performance, then the index rapidly dips below 1.00. For example, if 80% of users are satisfied and 10% are tolerating, while the remaining 10% are frustrated, the index is 0.85.

### **4.2 Dealing with Exceptions**

User aborts are factored into the above equation. A user abort occurs when a user enters a new inquiry before the system responds with the original inquiry. A user-generated abort stops the timing of the Task. Therefore, user aborts can fall into any of the satisfied, tolerating, frustrated zones. If a tool can detect a clear server-generated abort, then it is handled differently. Server aborts (e.g., TCP closes within a Task) are counted as a frustrated sample regardless of the Task time measurement.

Some tools may have the optional capability to interpret the application to a greater level of detail than the minimal Task boundary. For example, they may be able to detect user relevant information at the layer of the application logic. If the tool can detect Task errors, then these application errors (e.g. Web page 404 replies) are counted as frustrated samples.

## 5. Reporting the Index

The index is a decimal value between 0 and 1. The Apdex representation on all reports (screen, print, or otherwise) must adhere to the following rules.

### 5.1 Displaying the Apdex Value

The index always starts with a 0, followed by a decimal point, followed by the fractional value for the calculation to two decimals, and the value of T is clearly associated with the index presentation as defined below. There is a special case of the value 1.00 that starts with the digit of 1. A 1.00 is presented when the formula produces a result that can be rounded arithmetically to 1.00 (results equal to or greater than 0.995 round to 1.00).

Apdex may not be reported in granularity smaller than one one-hundredth. Decimal values of 3 or more digits are not permitted.

Tools in a computer screen or in printed reports always identify it as an Apdex value. When there are many values of the index presented in tabular form representing many reporting groups, then the Apdex label should appear with the appropriate column or row.

All Apdex values are calculated with a particular target threshold, T. The value of T must be clearly displayed in association with the Apdex score. Furthermore, in order to facilitate exporting Apdex values from a tool and then importing them to other analysis tools, all tools must support at least one uniform output as shown below.

#### Uniform Output (Mandatory)

The tool shall display, print, and export to an ASCII file each Apdex value with the following fixed format.

Element Position	Definition	Example/Range
1	Value whole number digit	0 or 1
2	Decimal point	
3	Value tenths digit	0 through 9
4	Value hundredths digit	0 through 9
5	Space	
6	Left bracket designating start of T	[
7	First digit of T	0.1 or greater
Ν	Rest of T as defined in section 3.3	Numeric digits
N+1	Right bracket designating end of T	]
N+2	Small group indicator (see 5.2 below)	* if present

#### Table 2 – Uniform Output Definition

Examples of the uniform output are: 0.85 [5.5], 1.00 [8.0]\*, 0.90 [4.0], and 0.77 [450].

#### Subscripted Output (Optional)

Tools may display Apdex values with a T shown as a mathematical subscript. For example, an Apdex value of 0.75 that is based upon a T of 4 seconds is shown as  $0.75_4$ .

When T has a decimal component, as defined in section 3.3, then the exact value of T must be shown (example:  $0.75_{4.5}$ ). In order to make the index easy to read, tools may drop the decimal portion of T if it is zero (example:  $0.75_{4.0}$  becomes  $0.75_4$ ).

### **5.2 Indicating Sample Size**

Apdex values are calculated based upon a set of measurements (samples) in the report group. If there are a small number of samples, the tool must still present a result. However, a result for such a small report group must be clearly marked.

A small report group is defined as any number of samples between 0 and 99. Apdex tools will clearly indicate that the result is based upon one of the following scenarios:

No Samples	The Apdex calculation could not be performed because there were no samples (NS) within the report group. Where the calculated Apdex value would normally appear, the tool will show an output of NS. Examples: NS [4.0], NS4
Small Group	When an Apdex value is the output of a small group (1 to 99) calculation, an asterisk (*) must be appended to that value. Examples: $0.80 [4.0]^*$ , $0.80_4^*$ .

#### 5.3 When T is a General Case

General Apdex value discussions that reflect any target of T are written with "T" as shown in the following examples.

Uniform Output: "Everyone should understand that 0.90 [T] is a better value than 0.80 [T]." Subscripted Output: "Everyone should understand that  $0.90_T$  is a better value than  $0.80_T$ ."

#### **5.4 Additional Reporting Rules**

Some tool vendors may wish to add graphical aids to report the Apdex value. This is an optional feature, but, if implemented, it must follow these guidelines. Two forms of alternative representations are permitted: the rating (a word), and a color indication. The following table shows the fixed set of alternative modes of representing the Apdex. The table shows examples where the target threshold (T) is 4 seconds. The color indication can be determined by the vendor in line with their existing product set, however a legend must clearly indicate which color represents each Apdex rating.

Apdex Value Range	Rating	Color Indication
0.94 <sub>4</sub> to 1.00 <sub>4</sub>	Excellent <sub>4</sub>	Determined by vendor (with a 4 plus a color indication)
0.85 <sub>4</sub> to 0.93 <sub>4</sub>	Good <sub>4</sub>	Determined by vendor (with a 4 plus a color indication)
0.70 <sub>4</sub> to 0.84 <sub>4</sub>	Fair <sub>4</sub>	Determined by vendor (with a 4 plus a color indication)
0.50 <sub>4</sub> to 0.69 <sub>4</sub>	Poor <sub>4</sub>	Determined by vendor (with a 4 plus a color indication)
0.00 <sub>4</sub> to 0.49 <sub>4</sub>	Unacceptable <sub>4</sub> or UNAX <sub>4</sub>	Determined by vendor (with a 4 plus a color indication)
Low Sample Cases		
$0.NS_4$	NoSample <sub>4</sub>	Determined by vendor (with a 4 plus an NS inside color indication)
0.854*	Good <sub>4</sub> *	Determined by vendor (with a 4 plus an * inside color indication)

#### Table 3 – Apdex Qualitative Reporting Rules (Examples where T=4)

#### 6. References

- 1 "Defining The Application Performance Index," by Peter Sevcik, *Business Communications Review*, March 2005. This is a comprehensive overview of Apdex and the formation of the Apdex Alliance.
- 2 "Understanding How Users View Application Performance," by Peter Sevcik, *Business Communications Review*, July 2002. Describes the three zones of performance.
- 3 "This Is Your Father's Performance After All!," by Peter Christy, published in *Business Communications Review*, November 2002. This is a rebuttal from Peter Christy on reference 2.
- 4 "How Fast is Fast Enough?," by Peter Sevcik, *Business Communications Review*, March 2003. This article continues the discussion in references 2 and 3 above of user perception of application response time.

#### 7. Glossary

F	The threshold which defines the boundary between tolerating and frustrated performance zones.
Frustrated Zone	Any application response time above F in which the user is very negatively affected by response time.
Performance Zone	A range of time (between two time values) that a user waits for an application to respond during which his/her perception of the application's responsiveness does not change.
Process	A sequence of related Tasks necessary to complete a single application function.
Process Time	The time needed to complete the single application function defined by the process. This time is the sum of the task times for all tasks necessary to complete the process and the sum of all think times for the user between tasks while he/she determines the next step.
Report Group	The parameters that define a set of measurement samples that are used in an Apdex calculation. These parameters are meaningful to the user and typically relate to the way the user's enterprise is managing performance.
Response Time	The elapsed time beginning when a user completes a Task entry and ending when the system responds with all the information needed in order for the user to proceed to the next Task.
Sample	One distinct user Task or Task Chain time measurement.
Satisfied Zone	The range of application response times between 0 and T in which the user is not affected by the response time.
Task	Each user-application interface interaction that requires a user entry and an application response.
Т	The target threshold which defines the boundary between satisfied and tolerating performance zones.

Task Chain Time	The sum of the Task Times a user experiences while performing the sequence of related Tasks necessary to complete a single application function. Task Chain Time does not include Think Time.
Task Time	An instance of application response time that a human user experiences while the computer system is performing one operation (or one step) of an application.
Technician	The person who controls the measurement and reporting tool. This person also reads and interprets the index. Typically, this is a member of an enterprise application, data center, or network staff.
Think Time	The time interval between Tasks, during which a user may, for example, be reviewing the previous system response, thinking, or entering data.
Threshold	The response time value that defines a boundary between performance zones.
Tolerating Zone	The range of application response times between T and F in which the user is negatively affected by response time.
Tool	The measurement and reporting system (devices, software, etc.) that generates Apdex values.
User	The human user of an enterprise transactional application. The human may be accessing the application through "client" software in a client-server application architecture or he/she may be using a much simpler termination device.
Zone	See Performance Zone, Satisfied Zone, Tolerating Zone, Frustrated Zone.