



ARIA 2011 Eligibility Criteria and Category Definitions

How the ARIA Award winners are determined

All entries received by ARIA are first assessed to ensure that the relevant recordings are eligible for the particular Awards for which they have been entered. Once eligibility has been confirmed, all eligible entries received by ARIA are then presented to the Voting Academy and/or Judging Schools for their consideration.

Fifteen (15) categories are judged by the Voting Academy which consists of approximately 1,000 representatives from every area of the music industry ('Academy').

Thirteen (13) categories are judged by specialist Judging Schools which consist of between 40 and 100 representatives experienced with that genre of music ('Judging School').

Two (2) awards are sales awards and are judged by independent audit.

Two (2) awards are determined by Popular Vote. These are (i) Most Popular International Artist, and (ii) Most Popular Australian Artist.

The Hall of Fame and Lifetime Achievement Awards are awarded at the discretion of the ARIA Board.

General Eligibility Criteria

Eligibility for the ARIA Music Awards is defined by ARIA to be:

1. Entry

Only ARIA members are able to enter recordings for judging.

- (a) ARIA members may enter any artist who meets the eligibility criteria set out below.
- (b) An ARIA member can only enter its own artists and cannot enter the artists of another record label.
- (c) ARIA LEVY and LEVY II MEMBERS may enter up to eight (8) artists in each category.
- (d) MEMBERS may enter up to six (6) artists in each category.

2. Recordings

- (a) Release is defined as the date the recording was first officially commercially released as a physical single or individual digital track in Australia through retail channels, where it is acknowledged that the track may have been previously available digitally as part of an album bundle.
- (b) Eligible recordings must have been released during the period Friday, 20 August 2010 to Thursday, 18 August 2011, excluding entries in the Highest Selling Album, Highest Selling Single, Most Popular Australian Artist and Most Popular International Artist categories.
- (c) A recording may be entered in more than one non-genre category, but may not be entered in more than one genre category. Category definitions indicate those that are genre categories.
- (d) Unless otherwise specified, multi-artist compilations are not eligible.
- (e) Re-released recordings are not eligible. All entries must comprise at least 50% (by number of tracks) previously unreleased recordings.
- (f) New recordings, including new live recordings, of previously released compositions are eligible.
- (g) All recording artists credited on the release must meet the artist eligibility criteria (see below: **3. Artists**) except in the case of Best Classical Album - refer to category definition.
- (h) Where the entered release comprises more than one disc, the discs must be sold as 'one set' to qualify.

3. Artists

Any artist that satisfies any of the following criteria will be deemed to be eligible:

- (a) Any artist who is an Australian citizen;
- (b) Any artist who was born in Australia;
- (c) Any artist with permanent residency status and who has lived in Australia for at least six months per year for the two consecutive years prior to the Awards (i.e. during the qualification period and the previous qualification period);
- (d) Any artist who has applied for permanent residency and has lived in Australia for at least six months per year for two consecutive years and is signed to an Australian record label; or
- (e) Any New Zealand artist who has lived in Australia for at least six months per year for two consecutive years and is signed to an Australian record label;

In the case of groups or duos at least 50% of the members of the group must satisfy at least one of the above criteria.

The ARIA Board reserves the right to exercise its discretion to grant eligibility in special circumstances where, for example, the artist in question has a strong historical and cultural connection with Australia.

4. Ineligibility

All entries will be reviewed for eligibility by the Eligibility Sub-Committee of the ARIA Awards Committee, which reserves the right to make the final judgement on category, artist and product eligibility. The Eligibility Sub-Committee will provide notice citing reasons for any entries deemed ineligible. The entrant will have two (2) days from such notice to respond, at which time the Eligibility Sub-Committee will review the entry and make a final decision.

Be aware that if you incorrectly nominate an artist for any category you will be liable for all additional auditing costs incurred.

Category Definitions

ALBUM OF THE YEAR (*Judged by the Voting Academy*)

Solo artists and groups are eligible. The recording must be released in the eligibility period (Friday, 20 August 2010 to Thursday, 18 August 2011) and appear in the ARIA Top 100 Albums Chart published between Monday, 30 August 2010 and Monday, 22 August 2011 inclusive.

SINGLE OF THE YEAR (*Judged by the Voting Academy*)

Solo artists and groups are eligible. The recording must be released in the eligibility period (Friday, 20 August 2010 to Thursday, 18 August 2011) and appear in the ARIA Top 100 Singles Chart published between Monday, 30 August 2010 and Monday, 22 August 2011 inclusive.

BREAKTHROUGH ARTIST - ALBUM (*Judged by the Voting Academy*)

Solo artists and groups are eligible. The recording must have appeared **in the top 50** of the ARIA Top 100 Albums Chart published between Monday, 30 August 2010 and Monday, 22 August 2011 inclusive.

Artists and groups are not eligible if they, or any member of the group, has previously been a final five (5) nominee in any ARIA Awards category with an album, or if they have been in a group that has previously been a final five (5) nominee with an album, or if they have had a previous Top 50 Album in the ARIA Album Chart. Entries must be accompanied by a list of group members appearing on the album.

BREAKTHROUGH ARTIST - SINGLE (*Judged by the Voting Academy*)

Solo artists and groups are eligible. The recording must have appeared **in the top 50** of the ARIA Top 100 Singles Chart published between Monday, 30 August 2010 and Monday, 22 August 2011 inclusive.

Artist and groups are not eligible if they, or any member of the group, has previously been a final five (5) nominee in any ARIA Awards category. Artists or groups are not eligible if they have had a previous Top 50 Single on the ARIA Singles Chart, or if they have been in a group that has had a previous Top 50 Single in the ARIA Singles Chart. Entries must be accompanied by a list of group members appearing on the single.

BEST MALE ARTIST (*Judged by the Voting Academy*)

Album and single recordings are eligible. If the label refers to an individual and a band, the ARIA Member must nominate whether that act is to be deemed a solo or group act for the ARIAs.

BEST FEMALE ARTIST (*Judged by the Voting Academy*)

Album and single recordings are eligible. If the label refers to an individual and a band, the ARIA Member must nominate whether that act is to be deemed a solo or group act for the ARIAs.

BEST GROUP (*Judged by the Voting Academy*)

Album and single recordings are eligible. A group comprises of two or more people. If the name on the label is that of a band with no indication of any individual name, then such band will be considered in the Group category only. If the label refers to an individual and a band, the ARIA member must nominate whether that act is to be deemed a group or a solo act for the ARIAs.

BEST INDEPENDENT RELEASE (*Judged by the Voting Academy*)

Solo artists and groups are eligible. Album and single recordings are eligible. The nominated recording must be released by either an ARIA Levy II Member or a Member, provided that such member is not part of a multinational corporation.

Recordings for which the production costs are funded:

- (a) by a Levy Member; or
- (b) by a local licence or distribution deal; or
- (c) through an overseas licensing or distribution deal

are not eligible.

Distribution in Australia must be undertaken by an independent ARIA member. For the sake of clarity, this excludes Levy Members or any members that are part of a multinational corporation.

BEST ADULT ALTERNATIVE ALBUM (*Judged by the Voting Academy*) *Note: this is a genre category*

Solo artists and groups are eligible. Only album recordings are eligible. Recording must be directed toward Adult Alternative formats. The recording cannot be entered in any other genre category.

BEST ADULT CONTEMPORARY ALBUM (*Judged by the Voting Academy*) *Note: this is a genre category*

Solo artists and groups are eligible. Only album recordings are eligible. Recording must be directed toward Adult Contemporary formats. The recording cannot be entered in any other genre category.

BEST BLUES & ROOTS ALBUM (*Judged by a Judging School*) *Note: this is a genre category*

Solo artists and groups are eligible. Only album recordings are eligible. This category encompasses both contemporary and traditional blues forms and contemporary adaptations of Australian traditional music and/or folklore. The recording cannot be entered in any other genre category.

BEST CHILDREN'S ALBUM (*Judged by a Judging School*) *Note: this is a genre category*

Solo artists, groups, soundtracks and various artist projects are eligible. Only album recordings are eligible. This category encompasses recordings whose form and content are directed towards a pre-teen demographic. In the case of compilations, entries must comprise 50% material recorded specifically for that compilation. Recording cannot be entered in any other genre category.

BEST COMEDY RELEASE (*Judged by a Judging School*) *Note: this is a genre category*

Solo artists, groups and various artist compilations are eligible. Album, single and DVD recordings are eligible. Compilation recordings must comprise 100% previously unreleased recordings. Recording cannot be entered in any other genre category.

BEST COUNTRY ALBUM (*Judged by a Judging School*) *Note: this is a genre category*

Solo artists and groups are eligible. Only album recordings are eligible. This category encompasses country music, both contemporary and traditional. The recording cannot be entered in any other genre category.

BEST DANCE RELEASE (*Judged by a Judging School*) *Note: this is a genre category*

Solo artists, groups, production teams and various artist compilations are eligible. Album and single recordings are eligible provided they have been commercially released. Artists working primarily within the dance genre eg: House, Techno, Trance, Hardcore, Garage, Breakbeat, Drum & Bass, Disco and Electronica are eligible. In the case of a remixed album or single, the production team(s) and the original recording artist(s) must both meet the artist eligibility criteria, and the release must qualify for inclusion in either the ARIA Album or Single chart. The ARIA member must also nominate whether the production team or the original recording artist would be the recipient of the Award. The recording cannot be entered in any other genre category.

BEST MUSIC DVD (*Judged by the Voting Academy*)

Solo artists, groups and various artist compilations are eligible. At least 50% of the DVD's audio-visual content (by time) must not have been previously commercially released and a track listing must be supplied on request. In the event of a various artist release winning this Award, one trophy will be awarded to each credited performer, be it solo artist or group. The DVD must qualify for inclusion in the ARIA Music DVD chart. In the case of a combined DVD/CD recording, the record company will have nominated at the time of release whether that DVD/CD will chart on the ARIA DVD chart or the ARIA album chart. Only releases selected for inclusion in the ARIA Music DVD chart are eligible for this Award.

BEST POP RELEASE (*Judged by the Voting Academy*) *Note: this is a genre category*

Solo artists and groups are eligible. Album and single recordings are eligible. Recording must be directed toward CHR/Top 40 formats. The recording cannot be entered in any other genre category.

BEST ROCK ALBUM (*Judged by the Voting Academy*)***Note: this is a genre category***

Solo artists and groups are eligible. Only album recordings are eligible. Recording must be directed toward Contemporary Rock, Modern Rock and Active Rock formats. The Recording cannot be entered in any other genre category.

BEST HARD ROCK / HEAVY METAL ALBUM (*Judged by a Judging School*)***Note: this is a genre category***

Solo artists and groups are eligible. Only album recordings are eligible. Recording must be directed toward Hard Rock or Heavy Metal formats. The recording cannot be entered in any other genre category.

BEST URBAN ALBUM (*Judged by a Judging School*)***Note: this is a genre category***

Solo artists, groups, and production teams are eligible. Only albums are eligible. Artists working primarily within the urban genre eg: R'n'b, Hip-Hop, Soul, Funk, Reggae and Dancehall, are eligible. A remixed or re-recorded album is eligible provided that:

- the original album has not been a final five ARIA Award nominee in any category;
- the original album is substantially reworked so that the new album contains a minimum of 50% new lyrical and/or 50% new musical content;
- the production team(s) and the original recording artist(s) both meet the artist eligibility criteria; and
- the ARIA member entering the album must nominate whether the production team or the original recording artist would be the recipient of the Award.

The album must qualify for inclusion in the ARIA Album chart. The recording cannot be entered in any other genre category.

Fine Arts Awards

BEST CLASSICAL ALBUM (*Judged by a Judging School*)***Note: this is a genre category***

Solo artists and groups are eligible. Only album recordings are eligible. Australian featured artists or soloists involved with ensembles or orchestras containing more than 50% of non-Australian artists are eligible provided the album packaging credits the Australian(s) as the featured artist(s). Recording cannot be entered in any other genre category.

BEST JAZZ ALBUM (*Judged by a Judging School*)***Note: this is a genre category***

Solo artists and groups are eligible. Only album recordings are eligible. This category encompasses Jazz: Vocal, and/or Instrumental, Mainstream, Traditional and Contemporary recordings. Recording cannot be entered in any other genre category.

BEST WORLD MUSIC ALBUM (*Judged by a Judging School*)***Note: this is a genre category***

Solo artists and groups are eligible. Only album recordings are eligible. This category encompasses predominately Indigenous, Ethnic, Folk or Cross-Cultural music. Recording cannot be entered in any other genre category.

BEST ORIGINAL SOUNDTRACK/CAST/SHOW ALBUM (*Judged by a Judging School*) *Note: this is a genre category*

Solo artists, groups and various artist compilations are eligible. Only album recordings are eligible. Recordings of existing or planned theatrical productions and soundtracks, scores and underscores for existing or planned film and television productions are eligible.

All entries must be 100% previously unreleased recordings, except:

- New recordings of previously released cast and/or show recordings are eligible.
- In the case of compilation soundtracks, which must contain over 50% of previously unreleased material by tracks and/or time, and all artists must meet the artist eligibility criteria.

Recording cannot be entered in any other genre category.

Artisan Awards

BEST COVER ART (*Judged by the Voting Academy*)

This category awards original cover graphics by designers who meet the eligibility requirements. Covers must have been designed in Australia. Criteria for judging includes: originality of design concept, overall design appreciation and merchandising impact. Only album recordings are eligible. Albums which meet the general eligibility criteria may be eligible for this Award even though they may not meet specific criteria for other Awards. For the purposes of clarity, this may include a greatest hits album or a new compilation of previously recorded works. The Award is presented to the designer of the cover who must meet the general eligibility criteria for artists as laid out in Section 3 of this document. Where more than one person is involved in the design, the award will be given to the person with overall responsibility for the design concept.

BEST VIDEO (*Judged by the Voting Academy*)

Concept, direction and performance of the video are major criteria, not necessarily the excellence of the music. This Award is presented to the Director of the entered video who must meet the general eligibility criteria for artists as laid out in Section 3 of this document. If the single was not commercially released, then the media servicing date must fall within the eligibility period.

ENGINEER OF THE YEAR (*Judged by a Judging School*)

A single track, multiple tracks, or an entire album may be submitted for each engineer. DVD releases are not eligible. Only work released during the period of eligibility will be considered. International product is eligible but entrants must accord with the general eligibility criteria for artists. In the case of a co-engineer, all parties must individually meet the artist eligibility criteria as laid out in Section 3 of this document.

PRODUCER OF THE YEAR (*Judged by a Judging School*)

A single track, multiple tracks, or an entire album may be submitted for each producer. DVD releases are not eligible. Only work released during the period of eligibility will be considered. International product is eligible but entrants must accord with the general eligibility criteria for artists. In the case of a co-production, all parties must individually meet the artist eligibility criteria as laid out in Section 3 of this document.

Public Voted Awards

In 2011 ARIA presents 2 Public Voted Awards. Listed below are the category definitions and nomination methodology for each category. Voters will be asked to select a winner from a nominee pool provided by ARIA. The method of voting by the general public in each category will be determined by ARIA each year.

MOST POPULAR AUSTRALIAN ARTIST

The nominee pool for the Most Popular Australian Artist will be drawn from the artists whose recordings make up the top ten highest selling Australian releases (based on net wholesale sales during the eligibility period) in both single and album categories. Sales of different releases by the same artist during the period cannot be aggregated for the purposes of this category. An artist may only be nominated once in this category even if that artist has multiple releases in the top ten. An artist may only be nominated once across both single and album categories. Qualifying releases must consist of at least 90% new recordings and must have been first released either during the current eligibility period or during the eligibility period for the immediately preceding Awards.

MOST POPULAR INTERNATIONAL ARTIST

The nominee pool for the Most Popular International Artist will be drawn from the artists whose recordings make up the top ten highest selling international releases (based on net wholesale sales during the eligibility period) in both single and album categories. Sales of different releases by the same artist during the period cannot be aggregated for the purposes of this category. An artist may only be nominated once in this category even if that artist has multiple releases in the top ten. Qualifying releases must consist of at least 90% new recordings and must have been first released either during the current eligibility period or during the eligibility period for the immediately preceding Awards.

SALES AWARDS

HIGHEST SELLING AUSTRALIAN SINGLE

A company may enter up to five (5) recordings in this category. The entry with the highest Net Sales will be the winner. For this award the recording does not have to have been first released during the eligibility period and therefore a recording can be eligible for this Award more than once.

HIGHEST SELLING AUSTRALIAN ALBUM

A company may enter up to five (5) recordings in this category. The entry with the highest Net Sales will be the winner. For this award the recording does not have to have been first released during the eligibility period and therefore a recording can be eligible for this Award more than once.

CONDITIONS OF ENTRY

HIGHEST SELLING AUSTRALIAN SINGLE, HIGHEST SELLING AUSTRALIAN ALBUM, MOST POPULAR AUSTRALIAN ARTIST AND MOST POPULAR INTERNATIONAL ARTIST CATEGORIES

In the past we have required an Auditor's Report at the time of entry. This is no longer required. You may now submit your entry(ies) without an Auditor's Report, however should any entry have a good possibility of making the final five we will advise you and you will be required to submit an Auditor's Report for those releases by 30 September 2011.

The timeline for the submission of entries in the Highest Selling Australian Single, Highest Selling Australian Album, Most Popular Australian Artist and Most Popular International Artist categories is therefore as follows:

Wednesday 31 August 2011 - end of sales period

Wednesday 14 September 2011 - entries to be delivered to Turlough O'Brolchain at BDO (details below)

Friday 16 September 2011 - ARIA members contacted if Auditor's Reports are required for any entry

Friday 30 September 2011 - Auditor Reports to be delivered to BDO

Wednesday 21 September 2011 - Nominees announced in all categories, including Popular Voted Categories

When submitting your entries to BDO you must provide:

Catalogue number

Label information (label name and distributor)

Artist name

Release title

Release date

Sales for the period 1 September 2010 - 31 August 2011, split by format and catalogue number (if more than one), with a total for the release.

An Auditor's Report must confirm that the reported units sold agree with the books and records of the company and have been correctly calculated in accordance with the definition of Net Sales (see below), and that nothing has come to the auditor's attention that leads them to believe that the information is incorrect.

Qualifying sales for Highest Selling Australian Album, Highest Selling Australian Single, Most Popular Australian Artist and Most Popular International Artist:

- a) Entries in these categories must have been eligible for either the ARIA Combined Singles Chart or the main Albums Chart during The Period.
- b) Sales shall be NET Australian physical and digital sales for the period **Wednesday 1 September 2010 - Wednesday 31 August 2011, inclusive**. ("The Period").
- c) Digital sales (full length permanent downloads) eligible for inclusion are as follows:
 - o Singles:
 - i. Up to four different digital bundles, providing each contains a common (lead) track, that is also contained on the physical single product;
 - ii. An individual download of that lead track;
 - iii. An individual download of an 'explicit' version of the lead track, provided it is of the same duration and essentially identical to the lead or album track contained on the physical single, apart from minimal variation in lyrics;
 - iv. An individual download of the "album" version of the lead track; and
 - v. An individual download of the video clip of the lead track.
 - o Albums:
 - i. Bundles equivalent to the physical release;
 - ii. Bundles with at least 80% of tracks (by number) contained on the physical variant of the title, but no more than 6 tracks that are not contained on the physical variant.
- d) Net Sales exclude:
 - Direct export sales;
 - Promotion ie: complimentary copies;
 - Premium Sales (see below);
 - Returned product (returns received during the sales period are deducted from sales in that period - no allowance for returns is required).
- e) Net sales may include Record Club sales.
- f) Premium Sales are sales (or vouchers for redemption of digital copies) of products (physical or digital) made under non-conventional terms, where product is specially produced for another company that does not usually sell/distribute recorded music for the purpose of distribution/dissemination to consumers as part of a special promotion. Premium Sales may be summarised as follows:
 - The product is sold to a retailer or other selling company which does not normally engage in the business of selling recorded music and at least one of the following is true:
 - The product has been specially produced for one particular offer/campaign,
 - The product sale is "one way" and returns will not be accepted at any time.

The closing date for initial entries in these categories is 5:00pm, **Wednesday 14 September 2011**, and you will be contacted later that week if an audit certificate is required. Entries must be faxed to:

Turlough O'Brolchain
BDO
Fax: (02) 9993-9454
Email: Turlough.O'Brolchain@bdo.com.au