

# FROM PROTONS TO PENTQUARKS:

$\Theta^+$

## A BARYON WITH POSITIVE

## STRANGENESS

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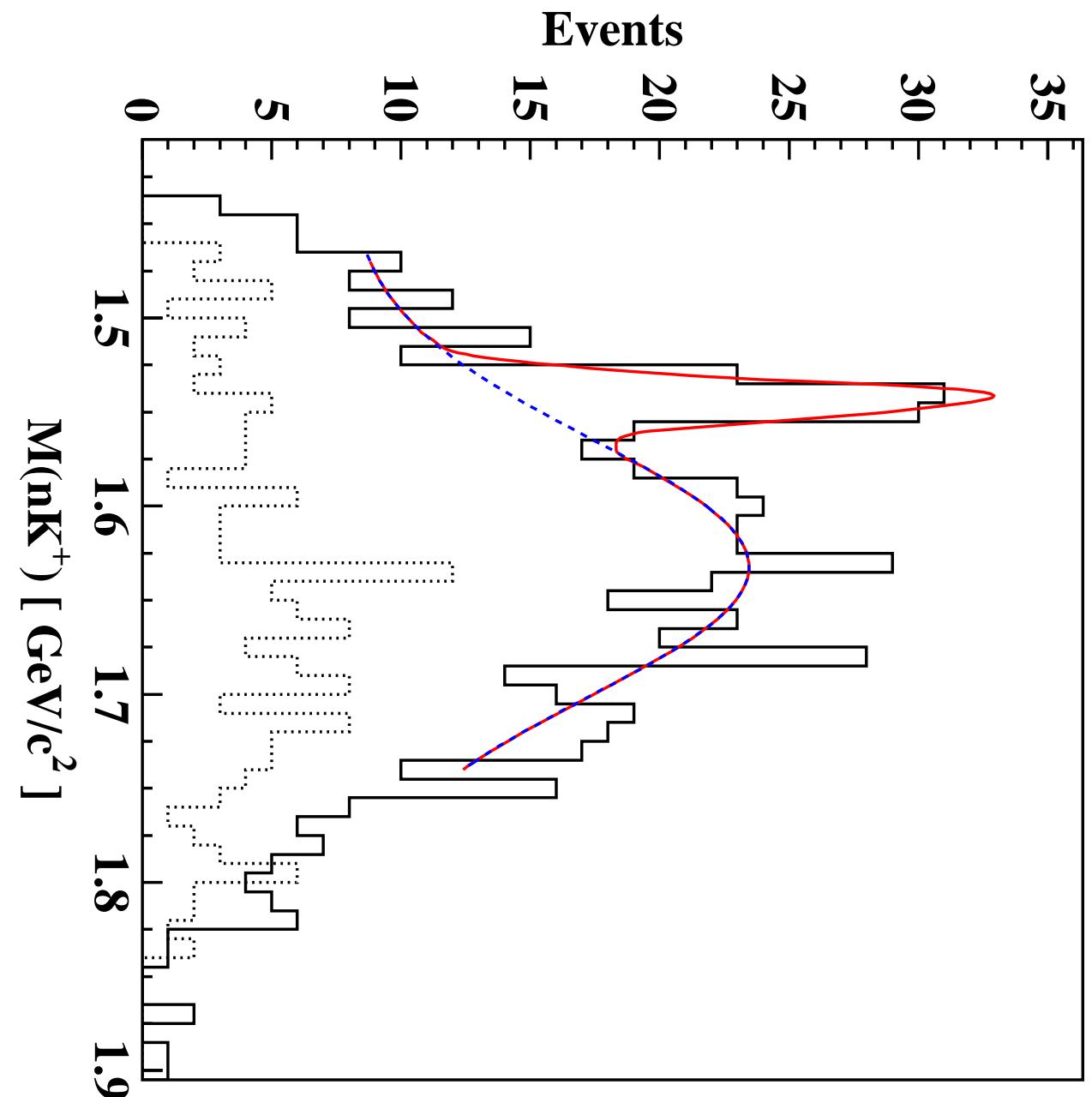
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# Introduction

35



A new baryon resonance was observed at Spring8/LEPS, ITEP/DIANA, Jlab/CLAS and Bonn/SAPHIR, the  $\Theta^+(1540)$

It has strangeness  $S = +1$  (i.e. contains a  $\bar{s}$  quark) and cannot be a three-quark state. Its minimum quark configuration is  $(ududs\bar{s})$  and it is called PENTAQUARK.

CLAS/Jlab

PENTAQUARK.

The discovery was reported in newspapers, major journals, is hotly debated, is a central issue at physics conferences.

## **Why is the $\Theta^+(1540)$ so important ?**

and, if it is important,

## **What is the experimental evidence for it ?**

and if it really exists,

What do we know about it ?

## **Quark models,**

**Baryons are described as states of three constituent quarks bound by a (linear) confinement potential.**

## **succes**ses

**Ground state baryon masses, magnetic moments,**

**shell structure, negative-parity resonances.**

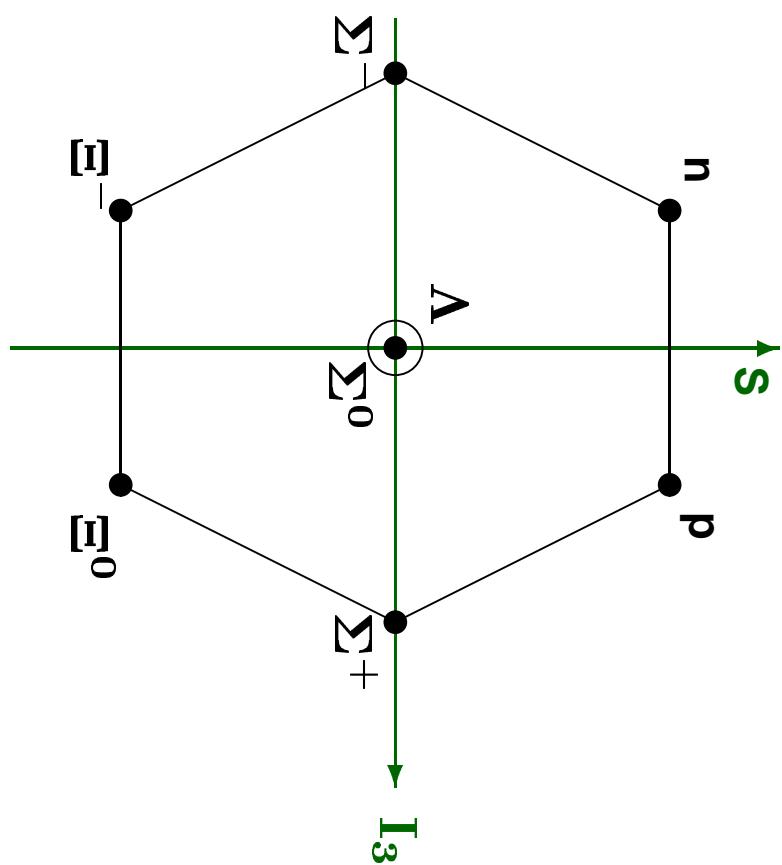
**Constituent quarks arise naturally from**

**spontaneous breaking of chiral symmetry.**

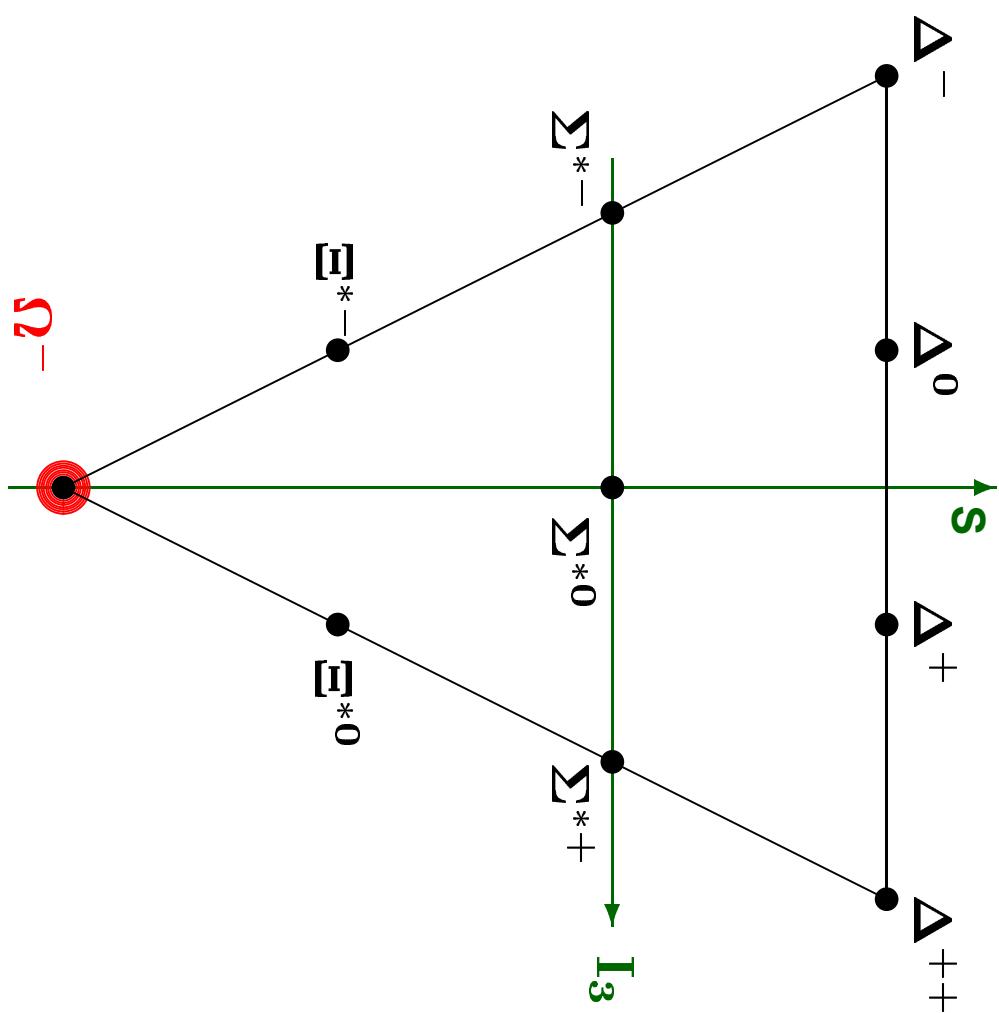
## **... and failures.**

**Sea quarks play no dynamical role  
in baryons. Contact to deep inelastic  
scattering is lost.**

**Octet**



**Decuplet**



$\Omega^-$ : Predicted by Gell-Mann in 1964

: Found by V. E. Barnes et al. in 1964

Triumph of SU(3)  
and the quark model

The chiral soliton model predicts  
the existence of an **antidecuplet**:

### Antidecuplet

$1$   
 $\text{uudd}\bar{\text{s}}$

$\Theta^+(1530) \quad S=+1$

$4/3$

$\text{uud}(1/\sqrt{3}\text{d}\bar{\text{d}} + \sqrt{2/3}\text{s}\bar{\text{s}})$

$\text{N}^0(1710)$

$\text{N}^+(1710) \quad S=+0$

$5/3$

$\text{uus}(1\sqrt{3}\text{s}\bar{\text{s}} + \sqrt{2/3}\text{d}\bar{\text{d}})$

$\Sigma^-(1890)$

$\Sigma^0(1890)$

$\Sigma^+(1890)$

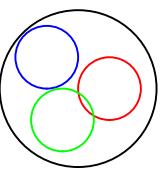
$S=-1$

$2$   
 $\text{uuss}\bar{\text{d}}$

$\propto \text{mass}$

$\Xi^-(2070) \quad \Xi^-(2070) \quad \Xi^0(2070) \quad \Xi^+(2070) \quad S=-2$

# The quark model ... versus chiral soliton models



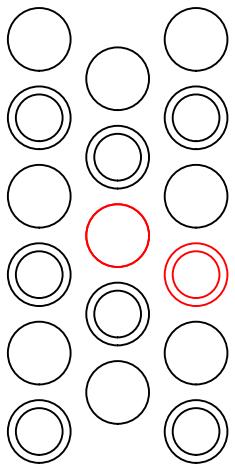
Valence  
quarks

$m_q$

Quarks and sea quarks are dynamically coupled. The equations of motion support soliton solutions which can be organised into multiplets. The lowest lying multiplets are **8** and **10** and  **$\overline{10}$** .

For u and d quarks spin and isospin are coupled:

$-m_q$



Sea  
quarks

$N$	$S = 1/2$	$I = 1/2$
$\Delta$	$S = 3/2$	$I = 3/2$

$\sim 1700$     $S = 5/2$     $I = 5/2$  fall-apart state.



- M. Gell-Mann, "A Schematic Model Of Baryons And Mesons," *Phys. Lett.* **8** (1964) 214.
- V. E. Barnes *et al.*, "Observation Of A Hyperon With Strangeness -3," *Phys. Rev. Lett.* **12** (1964) 204.
- T. H. Skyrme, "A Nonlinear Field Theory," *Proc. Roy. Soc. Lond. A* **260** (1961) 127.
- E. Witten, "Global Aspects Of Current Algebra," *Nucl. Phys. B* **223** (1983) 422.
- M. Chemtob, "Skyrme Model Of Baryon Octet And Decuplet," *Nucl. Phys. B* **256** (1985) 600.
- H. Walliser, "The SU(N) Skyrme Model," *Nucl. Phys. A* **548** (1992) 649.
- D. Diakonov, V. Petrov and M. V. Polyakov, "Exotic anti-decuplet of baryons: Prediction from chiral solitons," *Z. Phys. A* **359**, 305 (1997).

# "Discovery" of a baryon with $S=+1$

DIANA / ITEP

hep-ex/0304040



formation

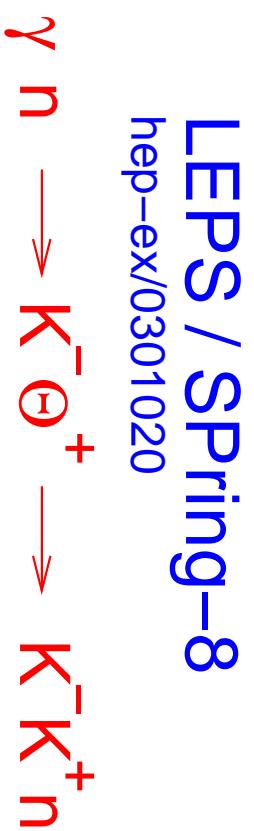


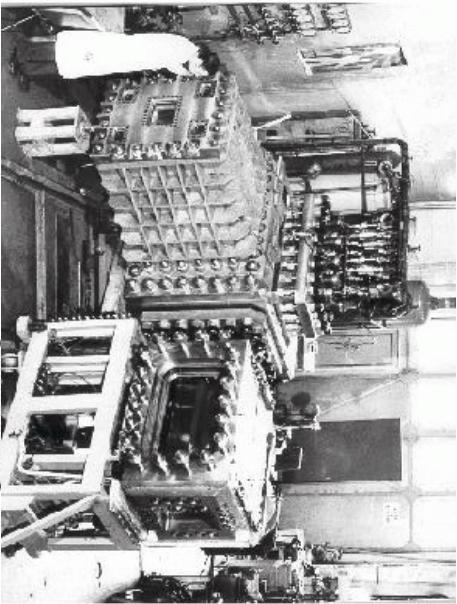
photo-production

wire  
chambers

dipol magnet

TOF

$\gamma$



$K^+$  – beam

liquid Xenon

Bubble Chamber

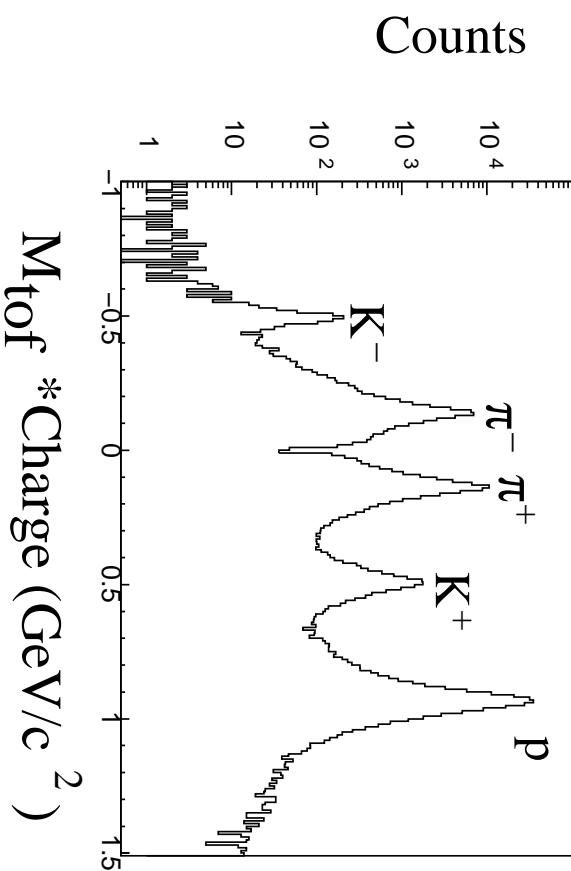
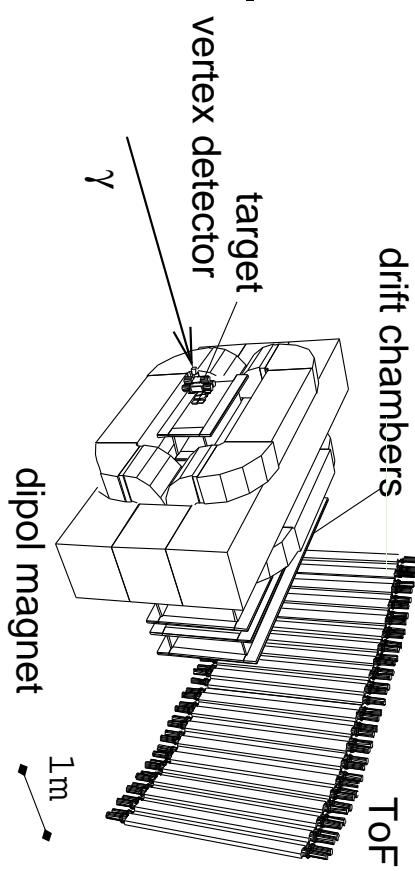
photon beam

$$E_\gamma^{\max} = 2.7 \text{ GeV}$$

$$N_\gamma = 10^6 / \text{s}$$

## LEPS/SPRING8:

- Spring8: synroton radiation facility
- Photons ( $\sim 3.5 \text{ eV}$ , Ar 351 nm) backscattered off 8 GeV electrons
- tagged  $\gamma$ 's beam, 1.5 to 2.4 GeV
- Tagging by bending angle of scattered electrons
- Reaction studied:  
 $\gamma^{12}\text{C} \rightarrow \Theta^+ \text{K}^- + X; \Theta^+ \rightarrow n\pi^+$
- Charged particle tracking in magnetic field (0.7 T)
- 3 silicon strip detectors, 3 drift chambers,  $\sigma_p = 6 \text{ Mev/c}$  at 1 GeV/c
- Particle identification by time-of-flight



- Select events on scintillator

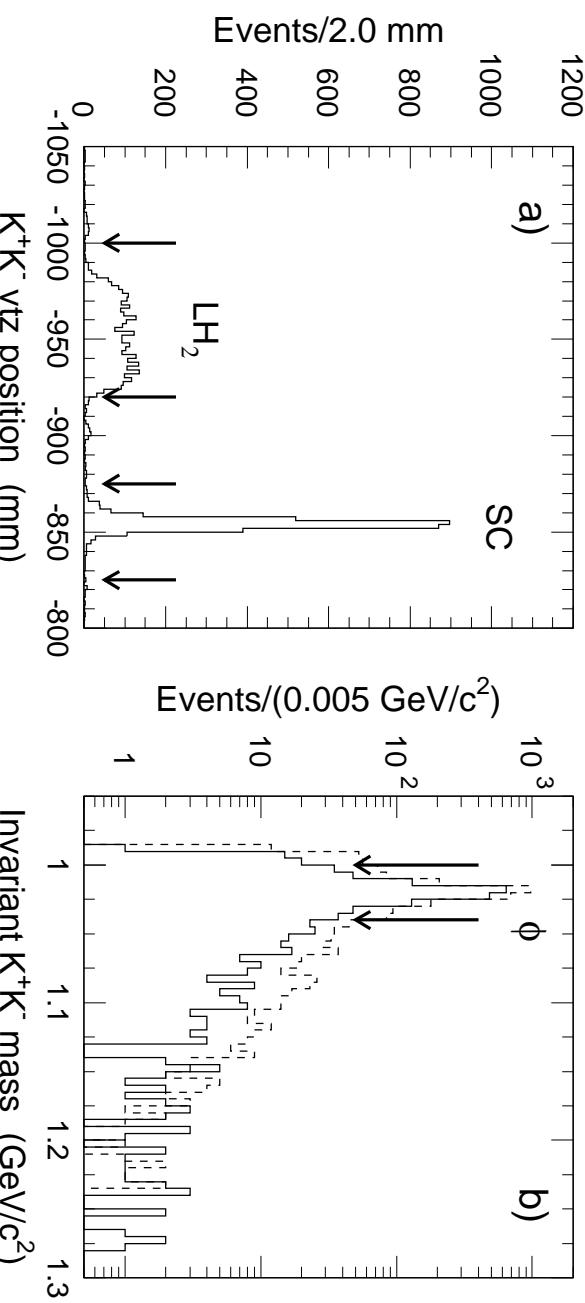
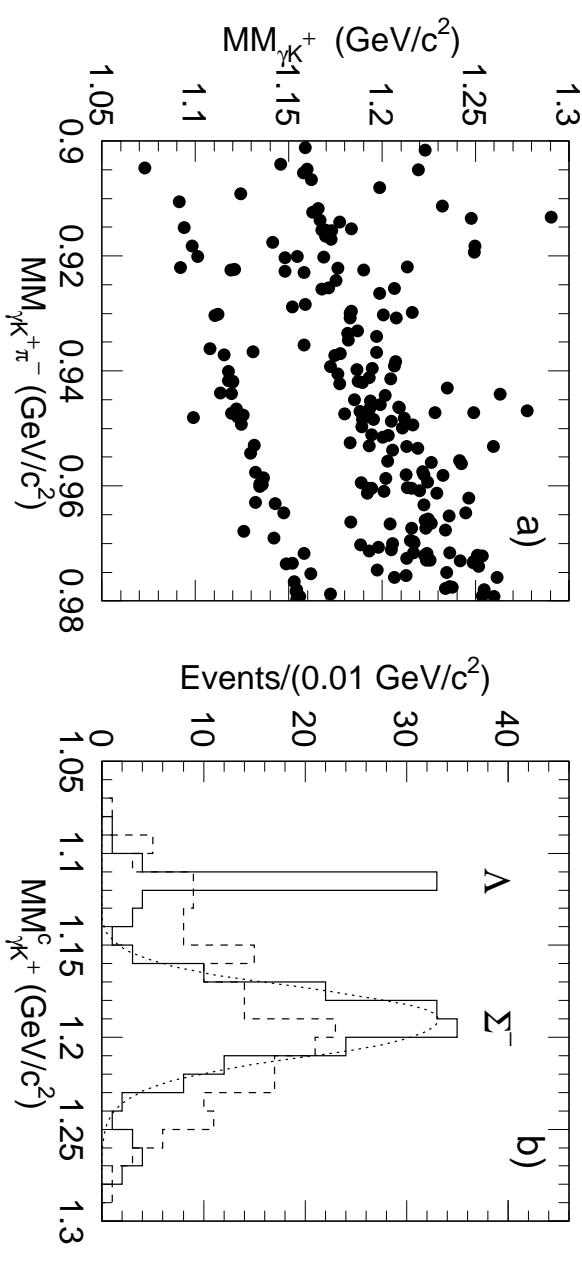
- Exclude  $\Phi$

- **Correct for momentum of 'quasifree' neutron.** In

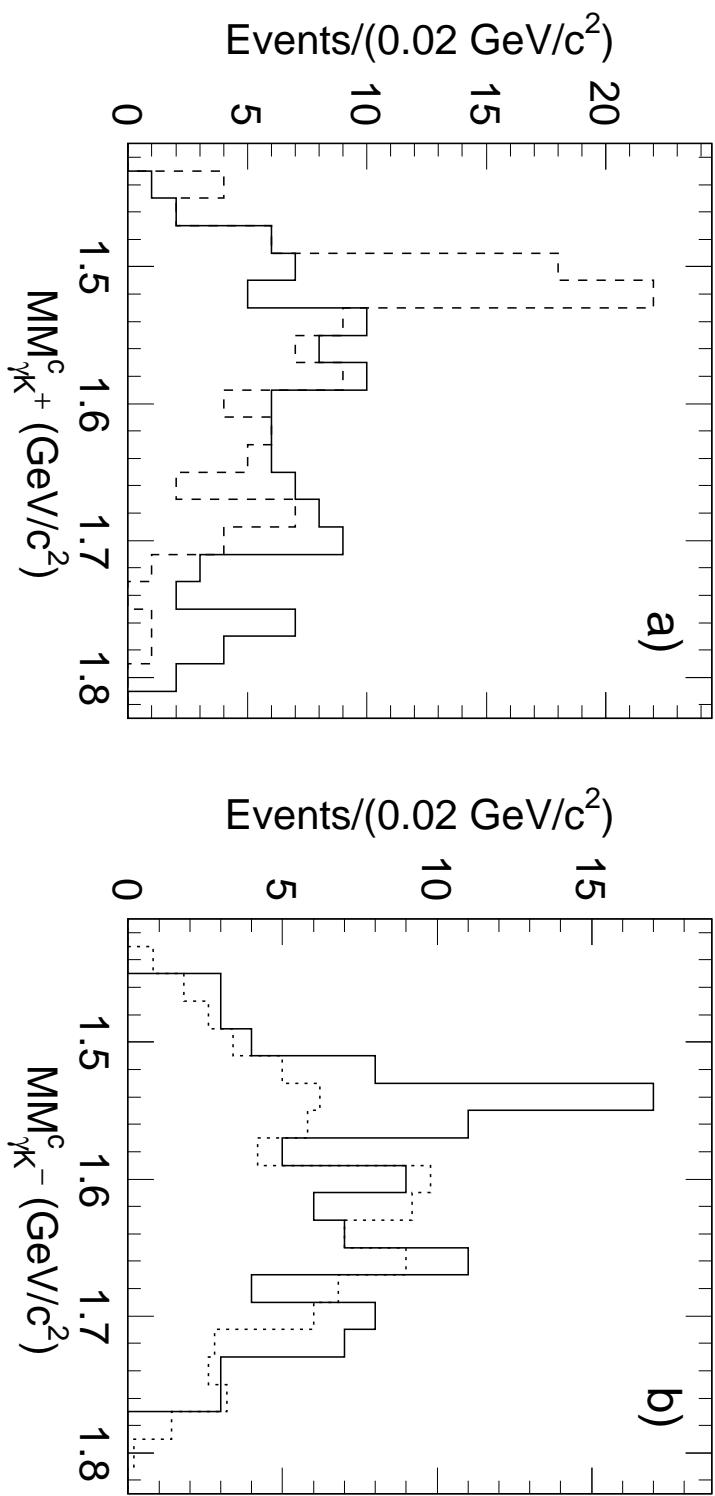
$$\gamma n \rightarrow K^+ \Sigma^- \rightarrow K^+ \pi^- n$$

the neutron and  $\Sigma$  masses

can be calculated. Imposing the neutron mass leads to a correlated miss-match for which a correction is applied.

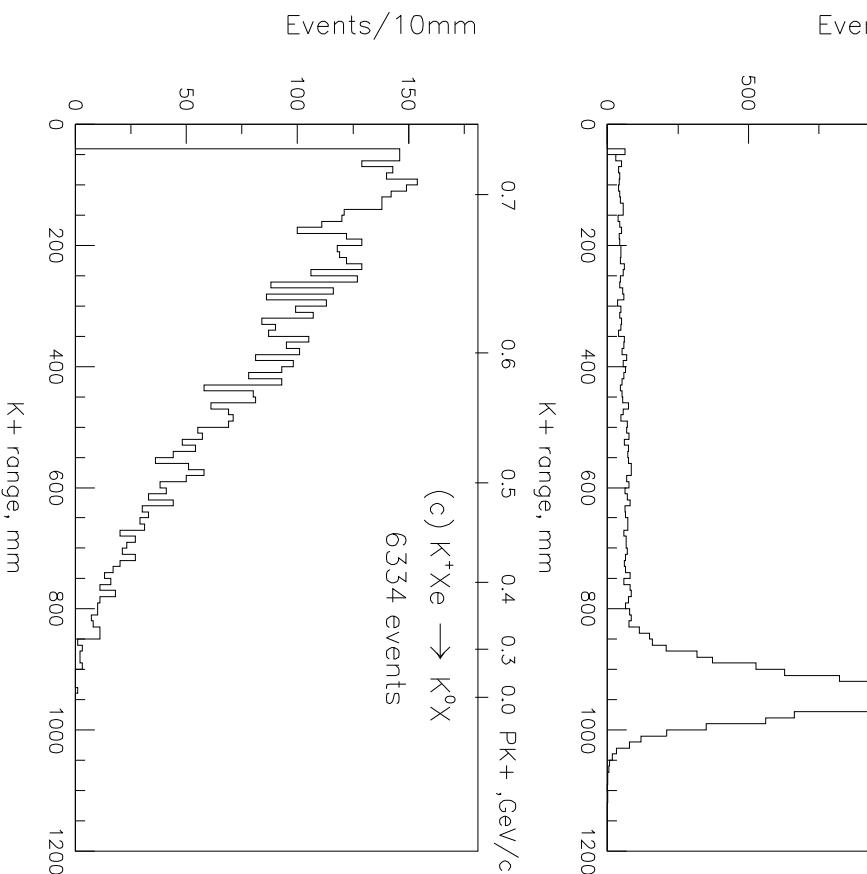
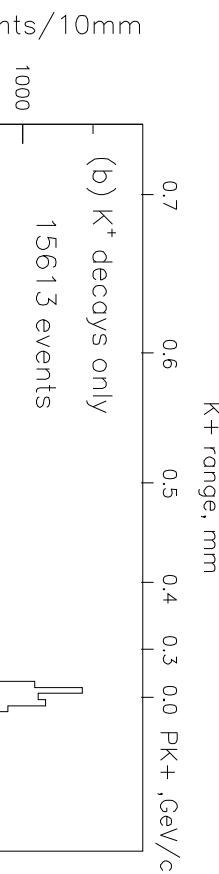
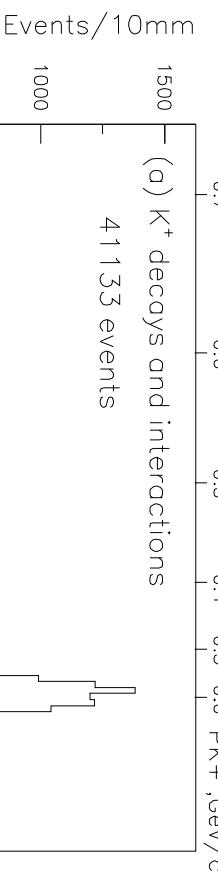
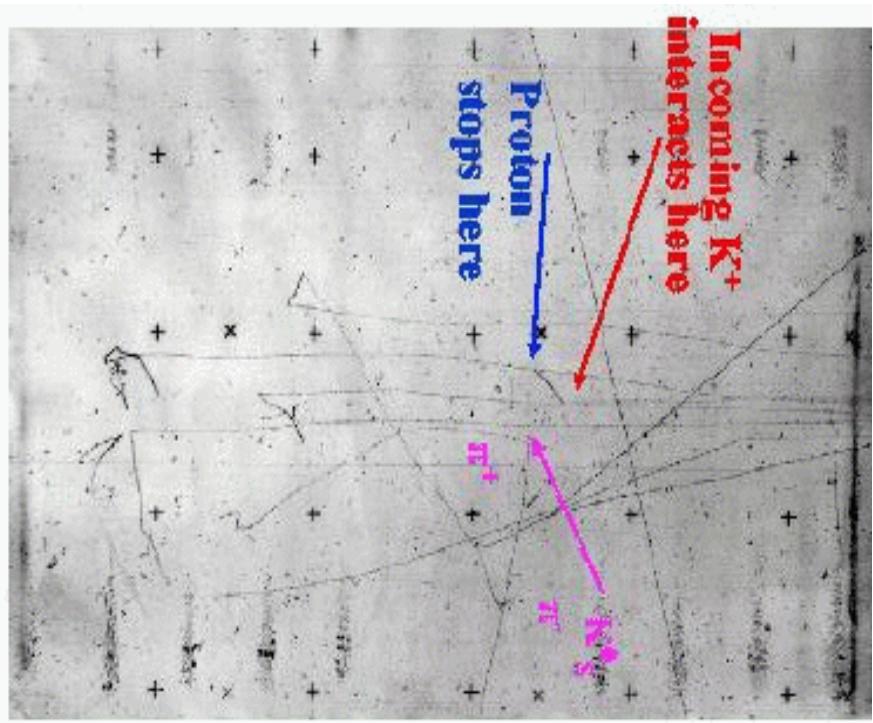


- Calculate mass of  $\Theta^+$  as missing mass in  $\gamma n \rightarrow K^- \Theta^+$  missing
- $MM_{\gamma K^-}^{corr} = MM_{\gamma K^-} - MM_{\gamma K^+ K^-} + M_n$
- Find 108 events and 36  $\Theta^+$
- $M_\Theta^+ = 1.54 \pm 0.01 \text{ GeV}$ ,  $\Gamma_\Theta^+ = 25 \pm 0.01 \text{ MeV}$ ,  $\sigma = 4.6$



## DIANA/ITEP: Charge exchange expt.

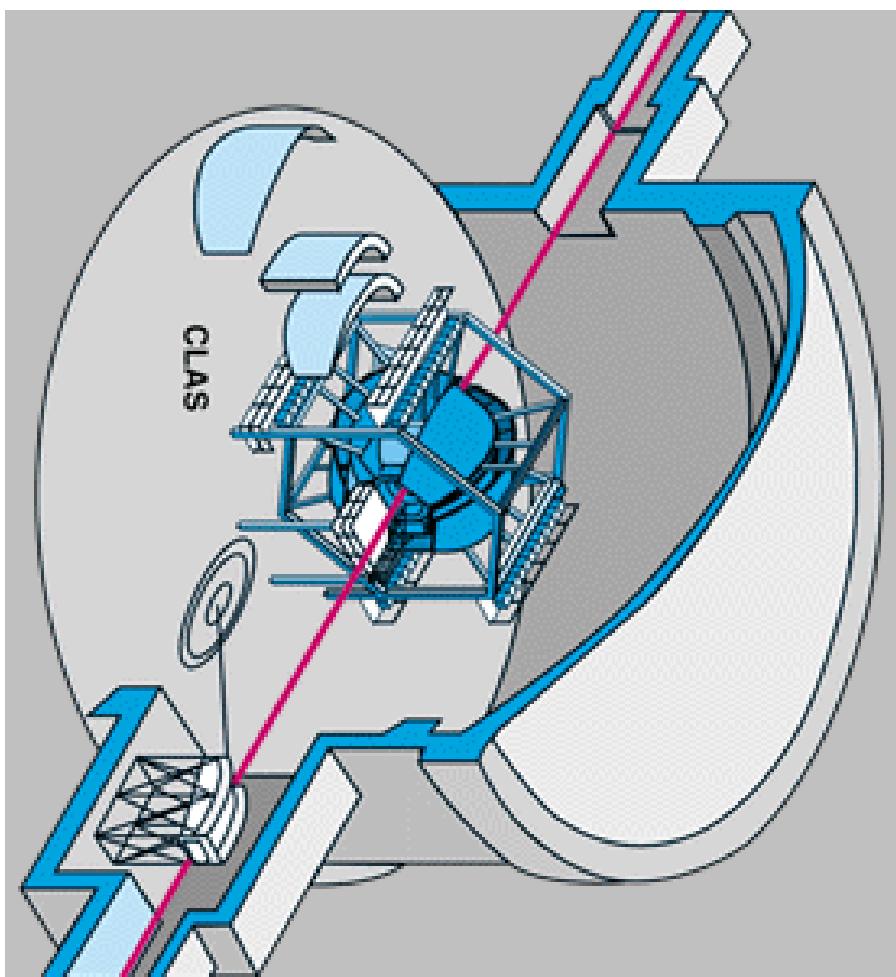
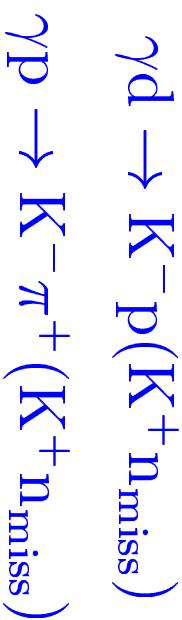
- $K^+ n \rightarrow \Theta^+(1540) \rightarrow p K_s^0$
- 'quasifree' in Xe bubble chamber
- $K^+ Xe \rightarrow Xe' p K_s^0$
- $K^+$  momentum from range in Xe



## CLAS/Jlab:

- Torus magnet with 6 superconducting coils
- Liquid H<sub>2</sub>/D<sub>2</sub> target, trigger counters
- Drift chambers with 35,000 cells
- TOF system
- Electromagnetic Pb/sci sandwich calorimeter
- Gas Cerenkov counters, e/π separation

### Reactions studied:



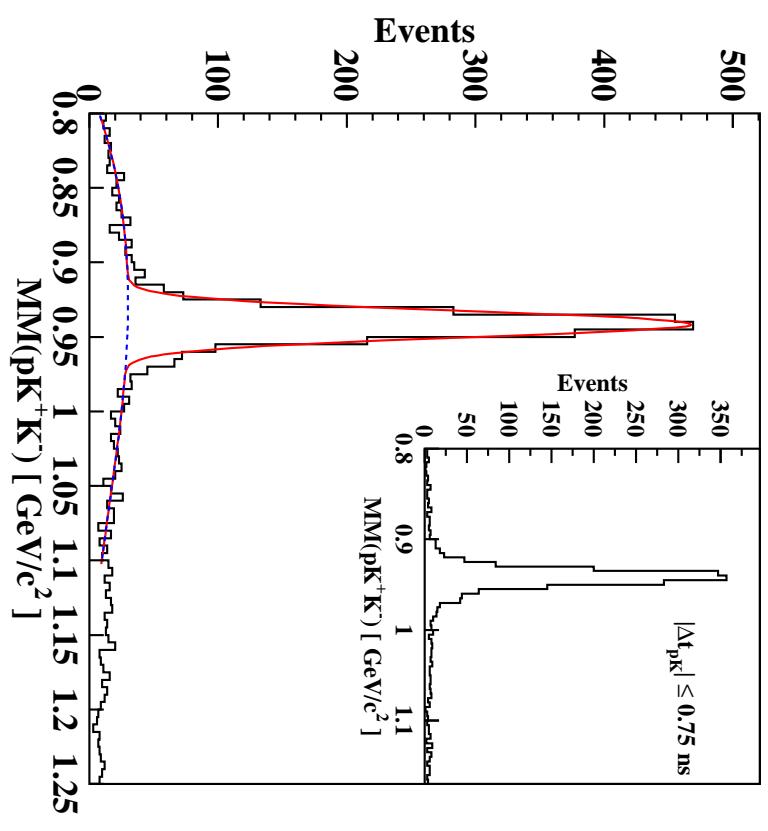
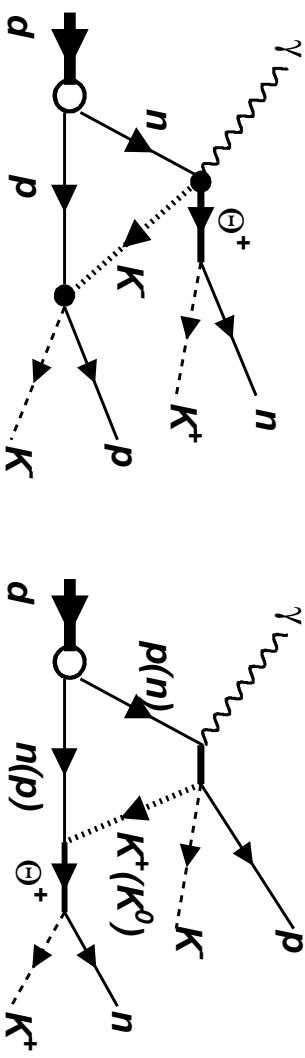
## Study of the reaction

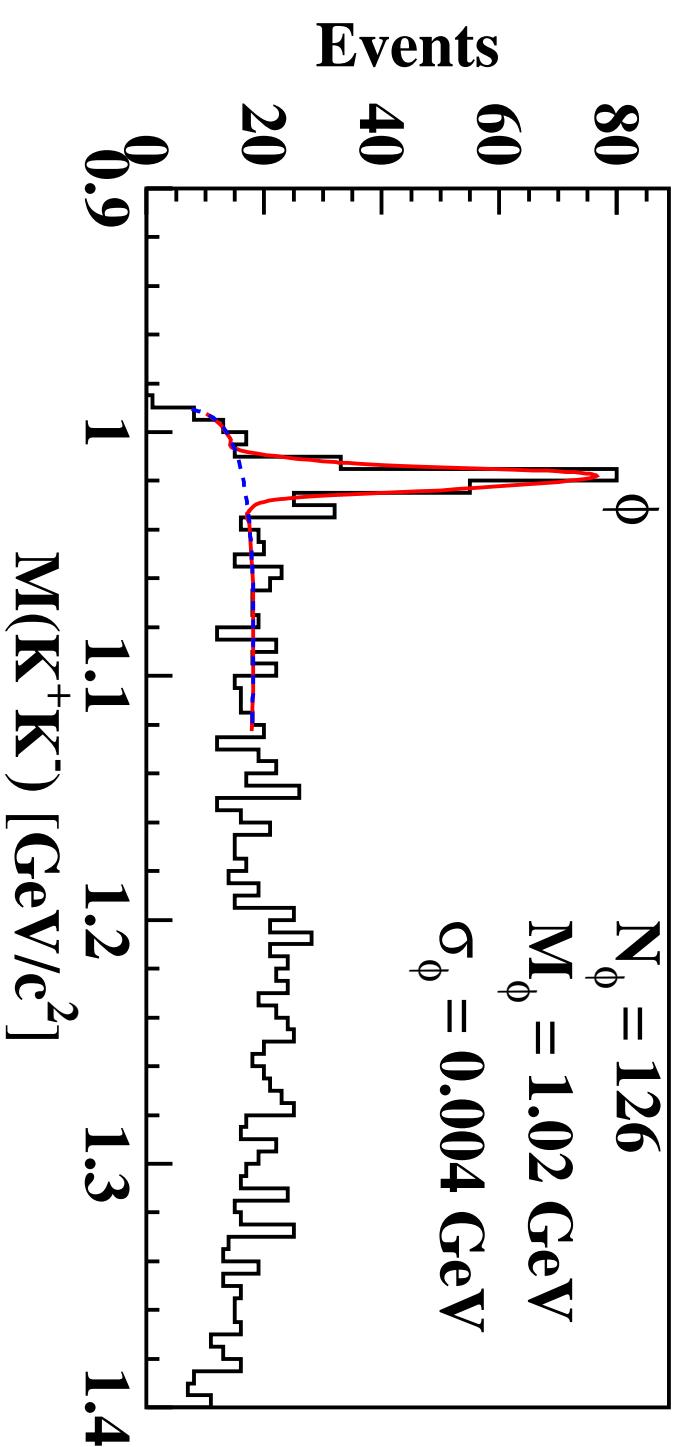
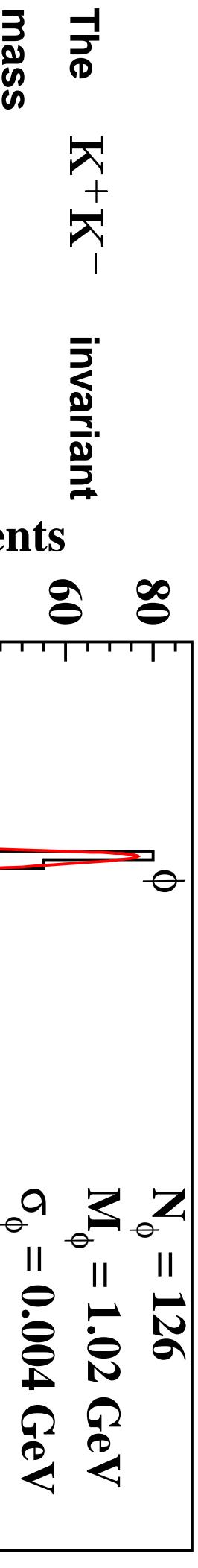
$$\gamma d \rightarrow pnK^+K^-$$

- Detected:  $K^+$ ,  $K^-$ , p, hence “no spectator” nucleon
- TOF for particle identification
- Missing mass calculated and

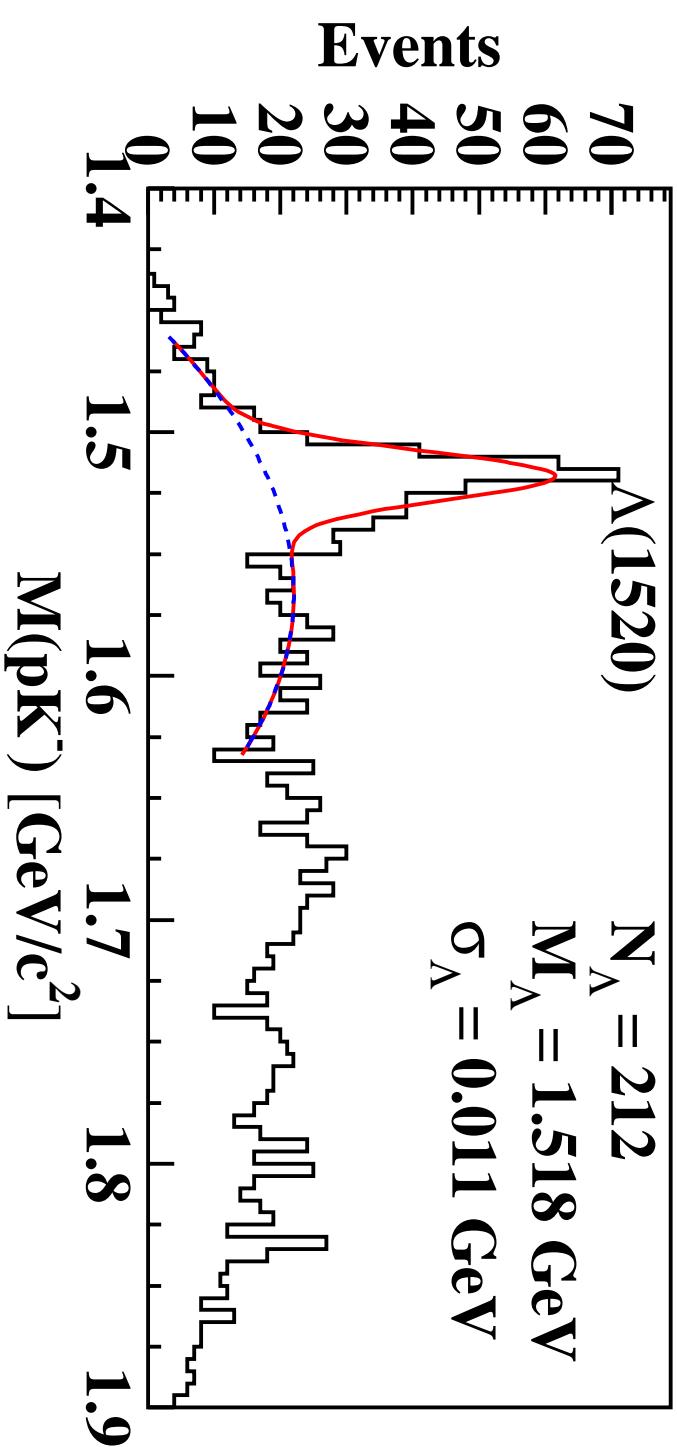
### neutron reconstructed

- Proposed reaction mechanisms:



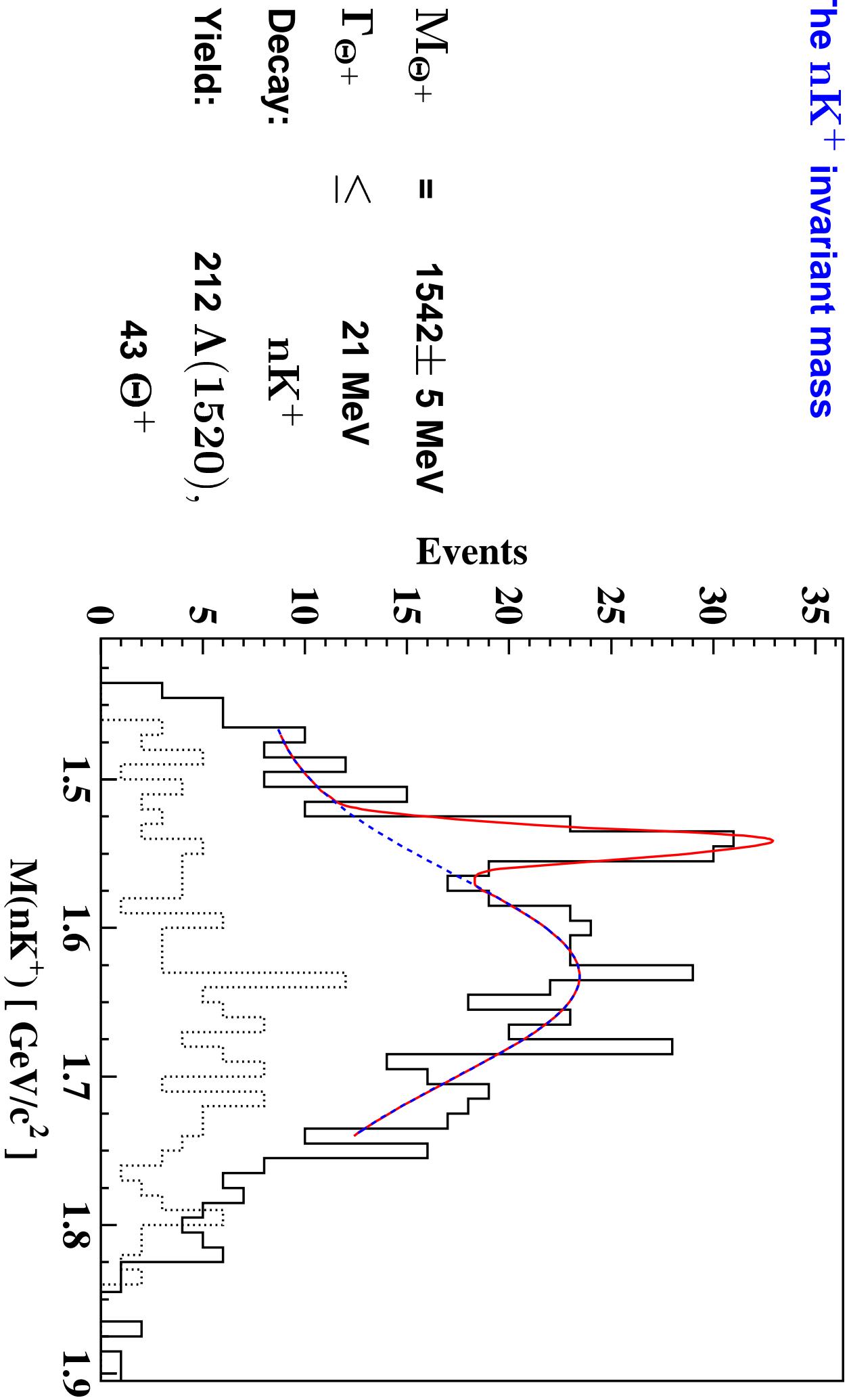


The  $pK^-$  invariant mass

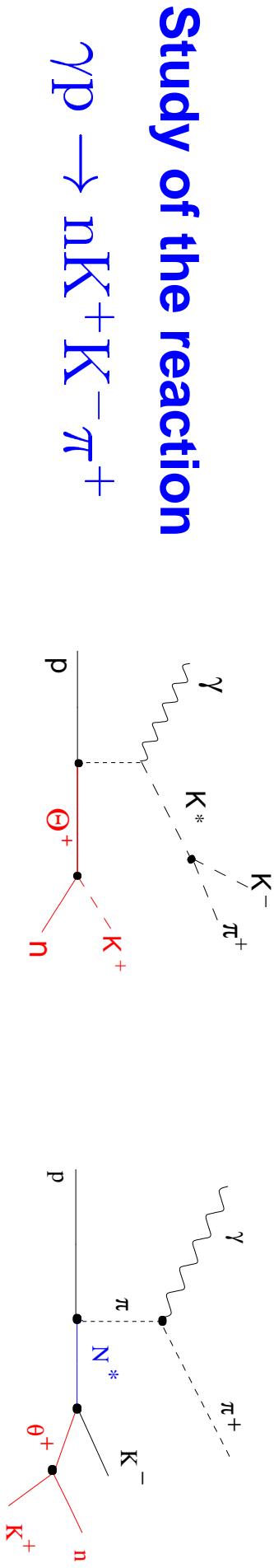


$\phi$  and  $\Lambda(1520)$  excluded

## The $nK^+$ invariant mass



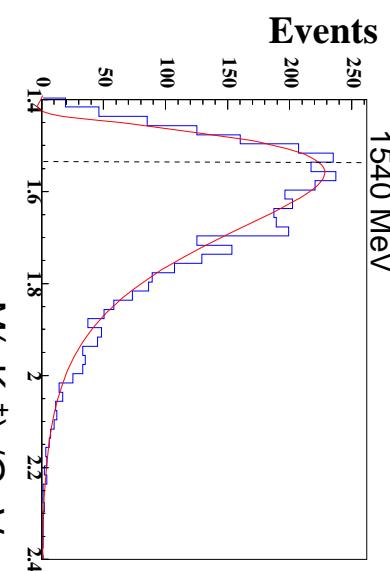
possible reaction mechanisms:



## Study of the reaction

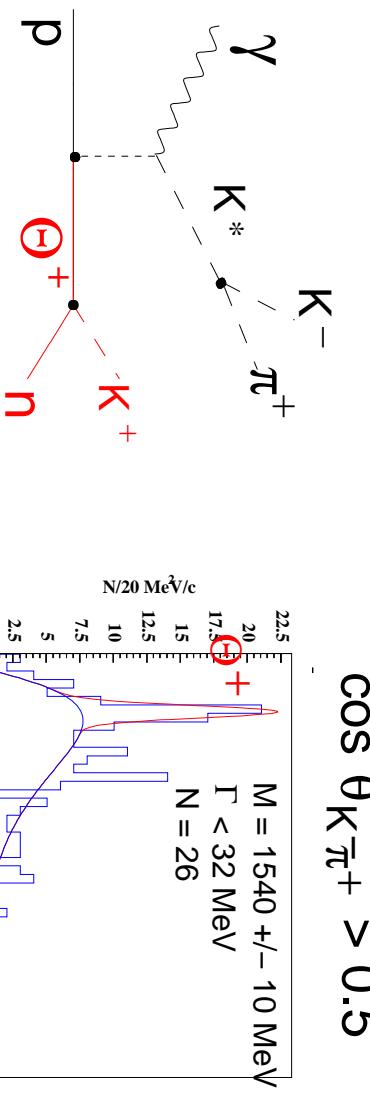


- Detected:  $K^+$ ,  $K^-$ ,  $\pi^+$
- TOF for particle identification
- Missing neutron reconstructed from kinematics

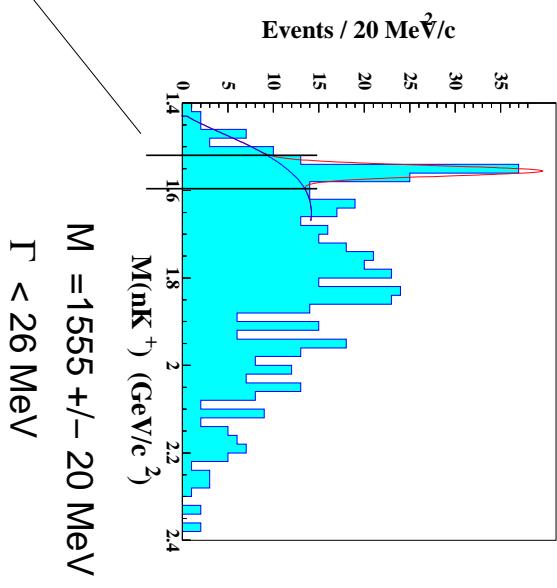


no signal without  
further cuts !

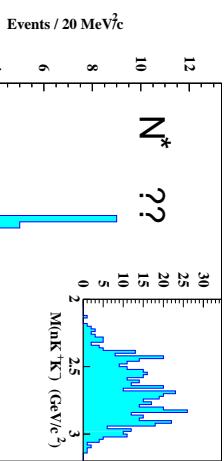
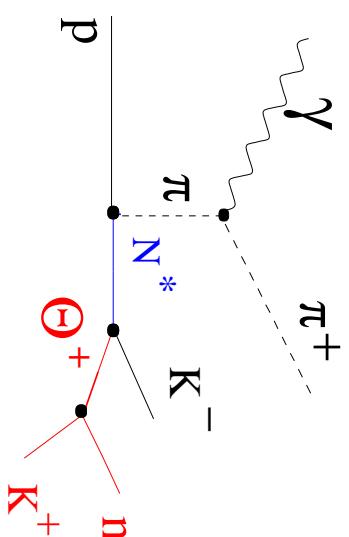
$\Theta^+(1540)$  seen after cut in angle  $\Rightarrow$



$\cos \theta_\pi^{cm} > 0.8 \quad \cos \theta_{K^+}^{cm} < 0.6$



Does the  $\Theta^+(1540)$  come from a  $N^*(2400)$  ?

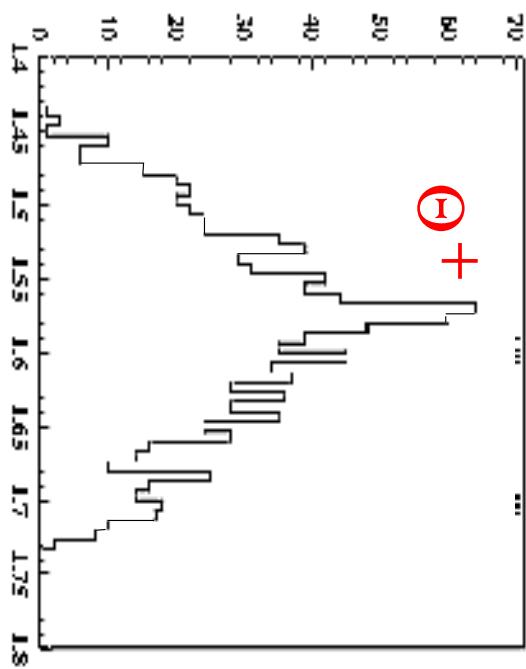


$\Theta^+(1540)$

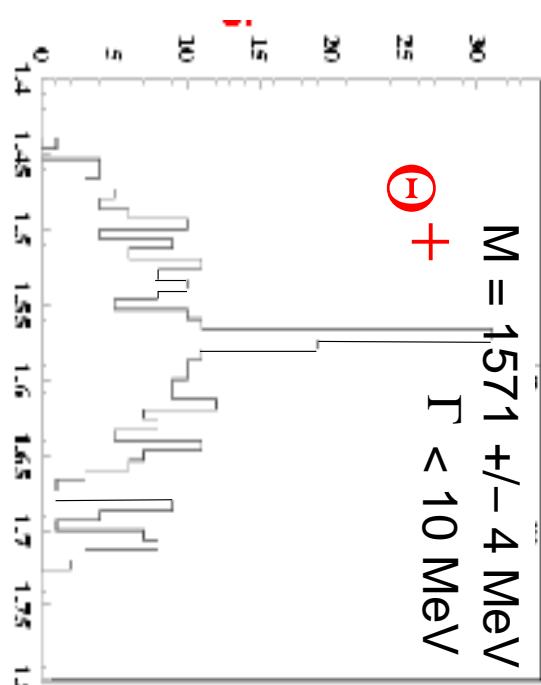
search in

$\gamma p \rightarrow K_s^0 n K^+$

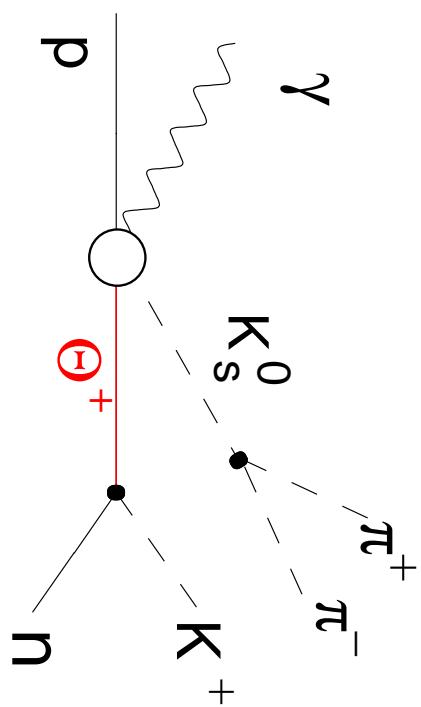
Events



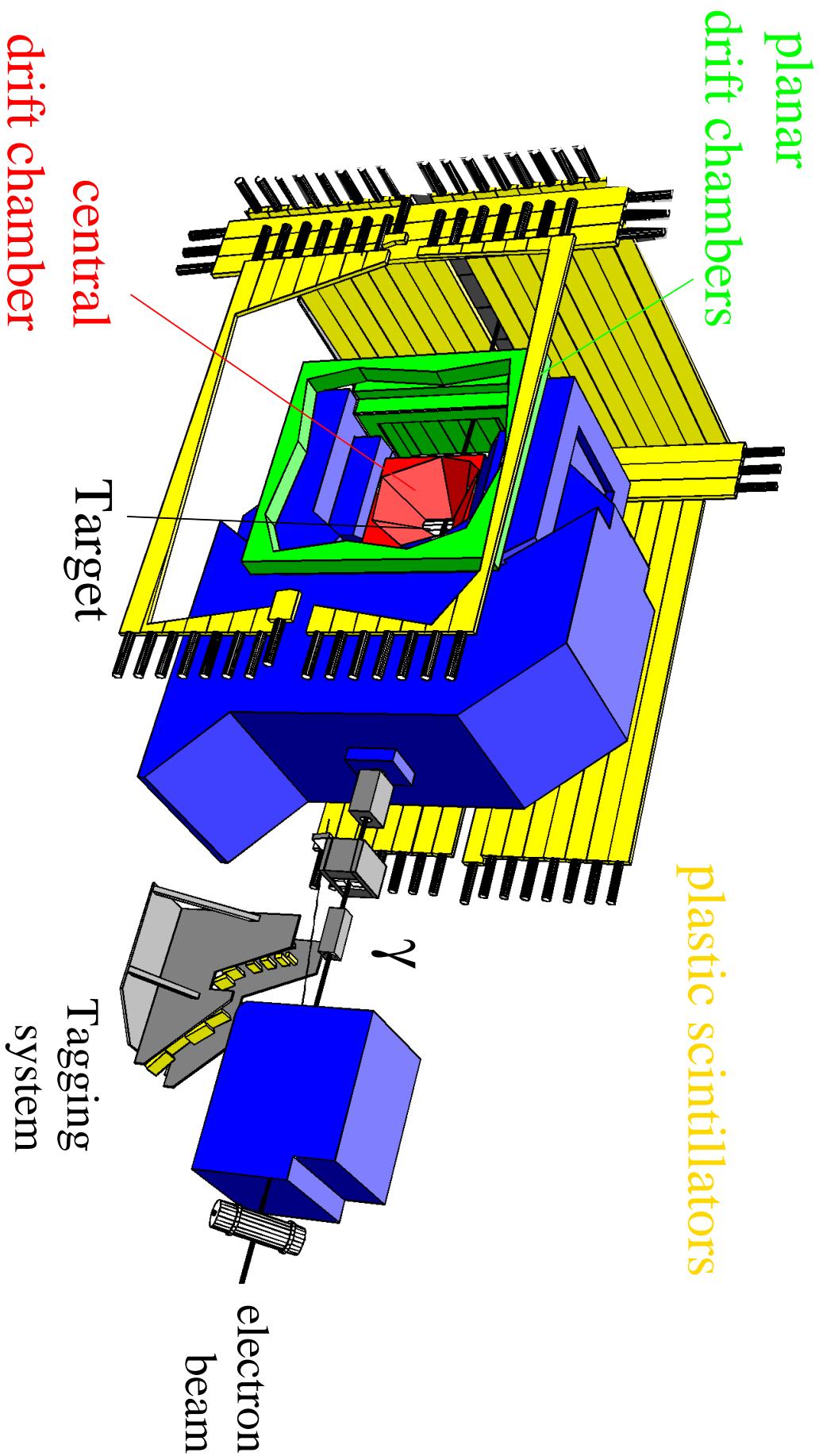
$M_{\text{miss}} (K^0)/\text{GeV}$



$M_{\text{miss}} (K^0)/\text{GeV}$

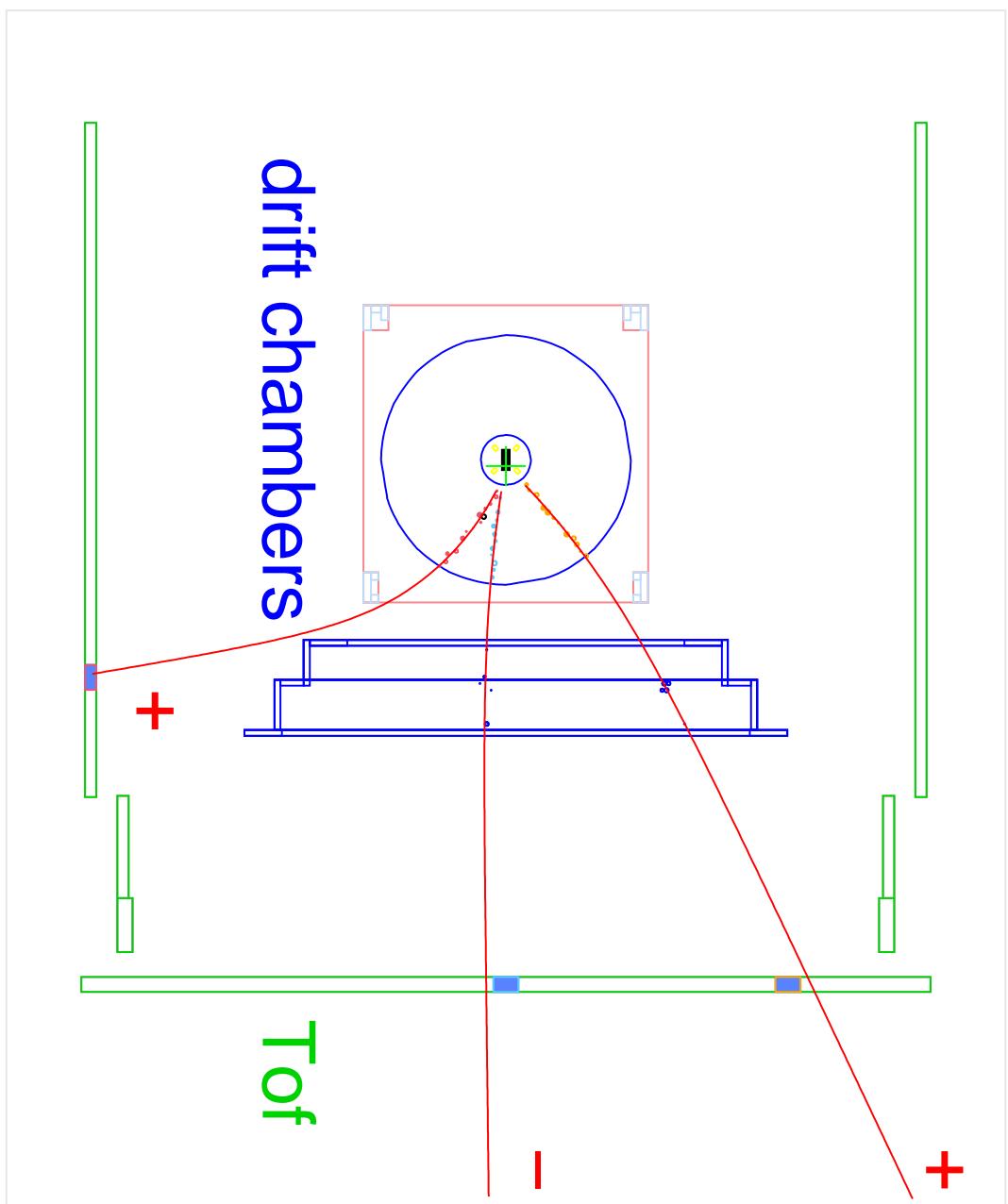


# SAPHIR/ELSA:

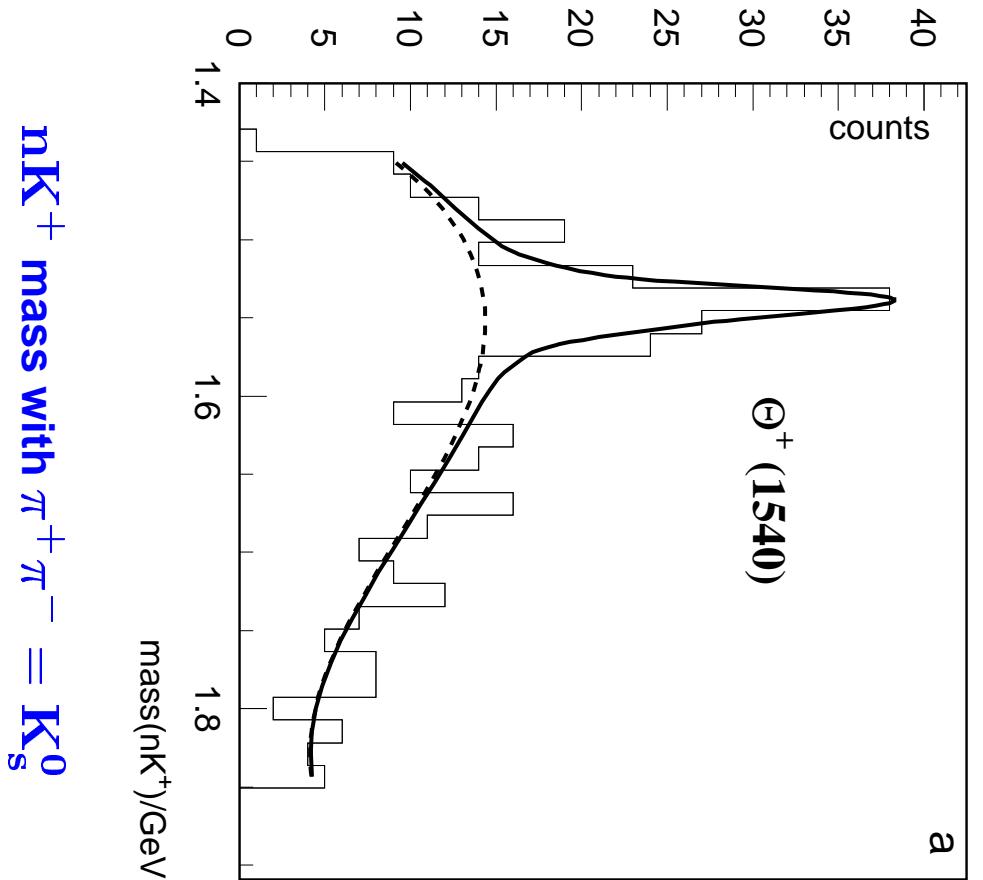


- Tracking in drift chamber in  $\sim$  0.18 T field
- TOF with limited resolution
- Well suited for forward angles down to  $0^\circ$
- Reaction studied:  $\gamma p \rightarrow \Theta^+ K_s^0 \rightarrow (nK^+) K_s^0$  likely via  $K^*$  exchange

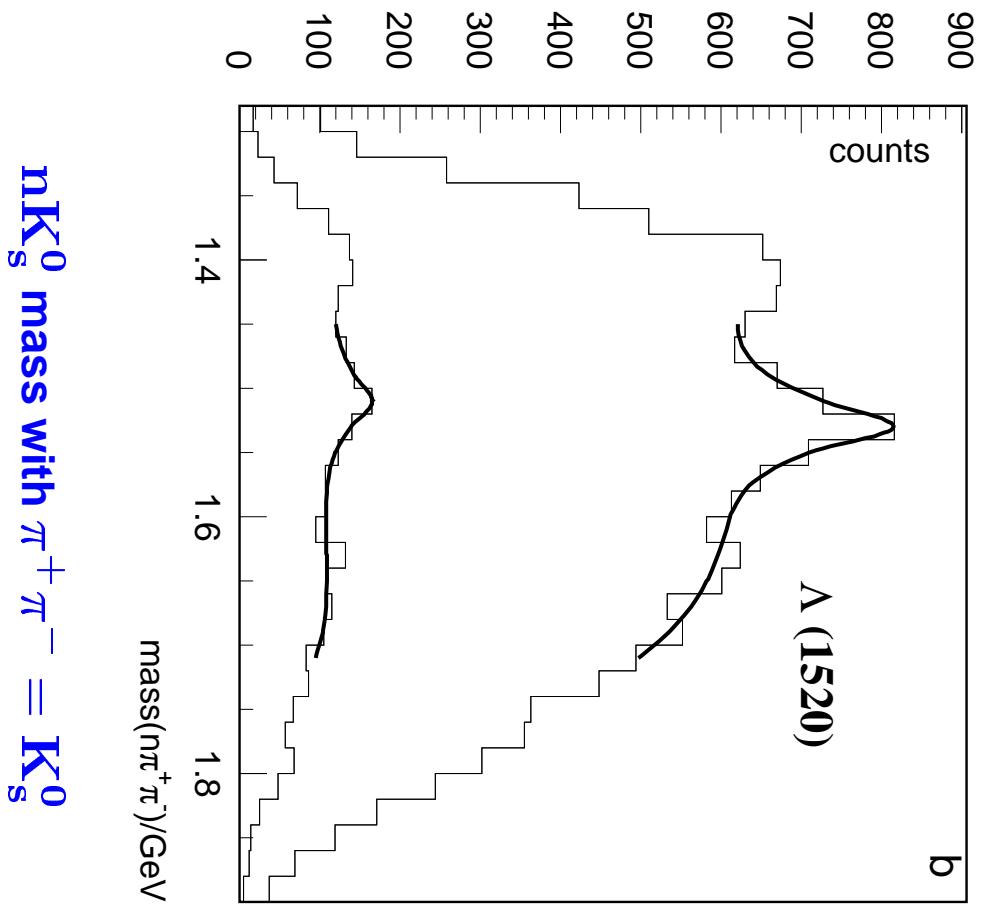
SAPHIR event



**Left:**  $\cos \vartheta_{K_s^0} > 0.5$

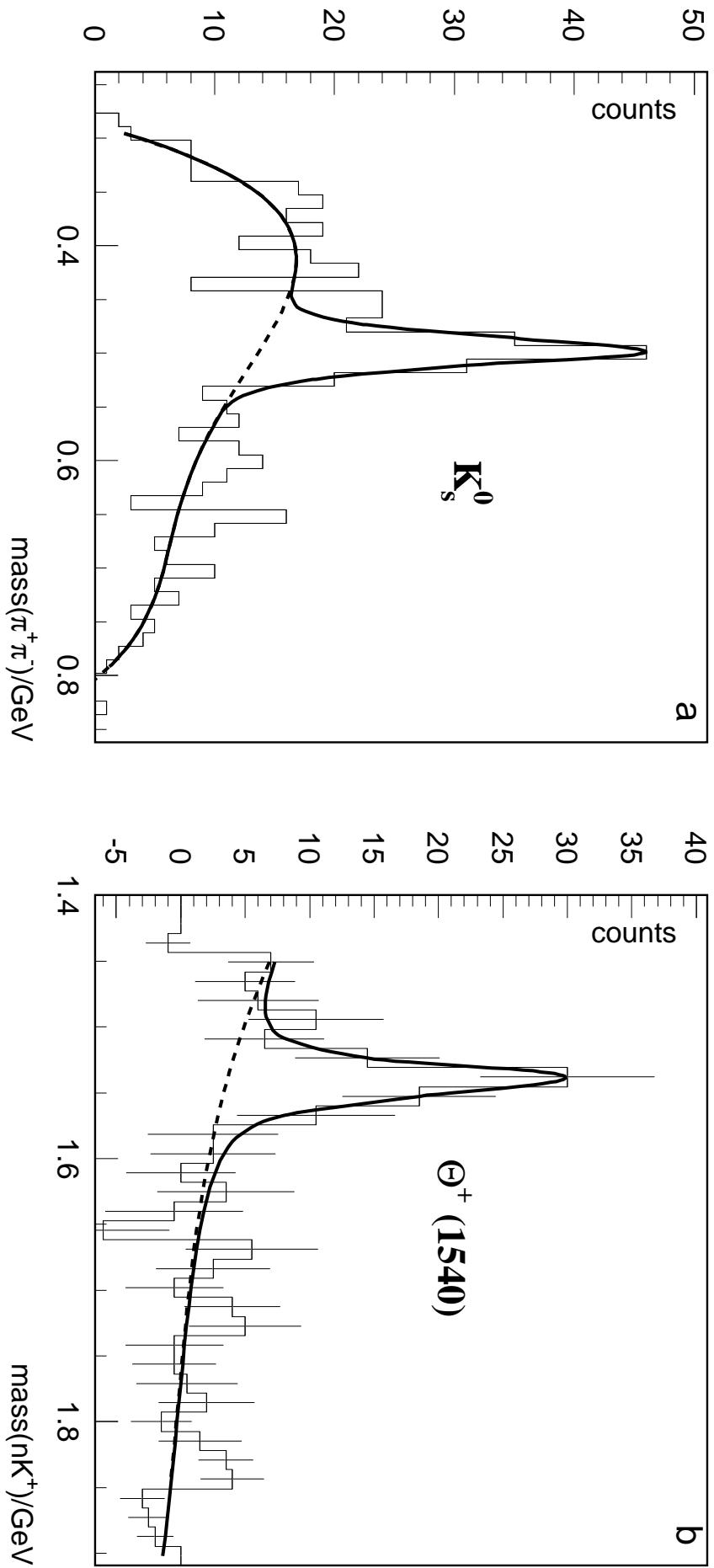


**Right:** with/without  $\cos \vartheta_{K_s^0} > 0.5$



**nK<sup>+</sup> mass with  $\pi^+\pi^- = K_s^0$**

**nK<sub>s</sub><sup>0</sup> mass with  $\pi^+\pi^- = K_s^0$**



### Side bin subtracted distributions

**n $K^+$  mass for  $\pi^+\pi^- = K_s^0$  - sidebin      n $K_s^0$  mass for n $K^+ = \Theta^+$  - sidebin**

$\cos \vartheta_{K_s^0} > 0.5$  cut.

## SAPHIR results:

$$M_{\Theta^+} = 1542 \pm 5 \text{ MeV}$$

$$\Gamma_{\Theta^+} \leq 21 \text{ MeV}$$

Decay:  
 $nK^+$

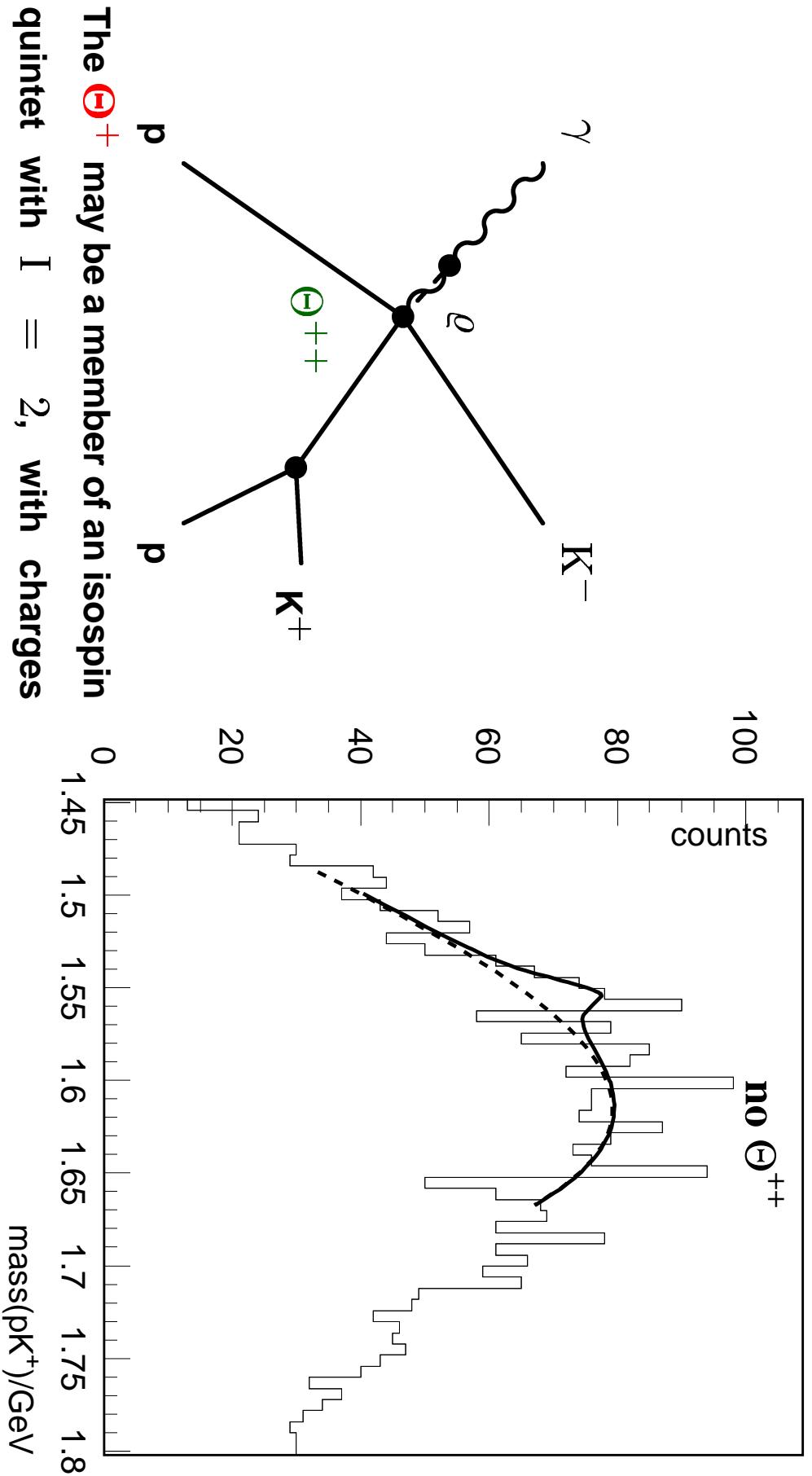
Yield:  
530  $\Lambda(1520)$ ,

128  $\Theta^+$

## Warning:

Cross section estimate not consistent with ~~upper limit~~ from CLAS for the same reaction. The cuts in angular distribution are different! Masses are different!

The  $\Theta^+$  may be a member of an isospin quintet with  $I = 2$ , with charges  $3+, 2+, 1+, 0, -1$ . The production amplitude for the  $\Theta^{++}$  is then fixed by Clebsch–Gordan coeff. We expect  $\geq 5000 \Theta^{++}$ .



## GRAAL Experiment

### Antidecuplet

$$\gamma n \rightarrow n\eta$$

$\Theta^+(1540)$   $S=+1$

Counts

225

200

175

150

125

100

75

50

25

0

Quasi-free  $\pi\eta$

$N^0(1640)$   $S=+0$

$\Sigma^-(1755)$

$\Sigma^0(1755)$

$\Sigma^+(1755)$   $S=-1$



$\Sigma^-(1755)$   $\Sigma^0(1755)$   $\Sigma^+(1755)$   $S=-1$

Is the  $N^0(1640) \rightarrow n\eta$

$\Xi^{--}(1862)$   $\Xi^-(1862)$   $\Xi^0(1862)$   $\Xi^+(1862)$   $S=-2$

$S=-2$

Graal, collaboration, N\*2004, Grenoble,  
preliminary

$\gamma p \rightarrow N^+(1640)$  weak

$\gamma p \rightarrow N^0(1640)$  strong

# A new pentaquark $\Xi^{--}$

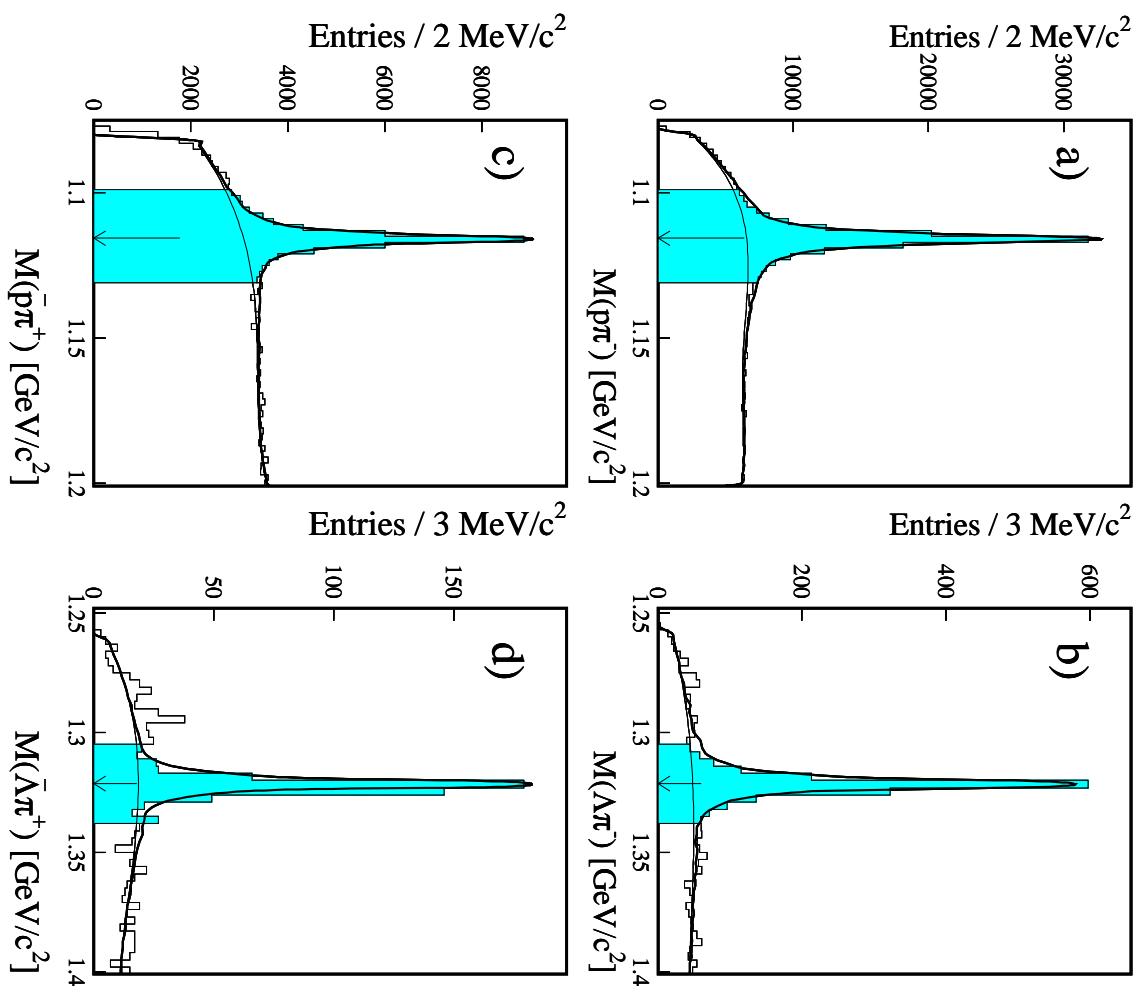
NA49 experiment at CERN

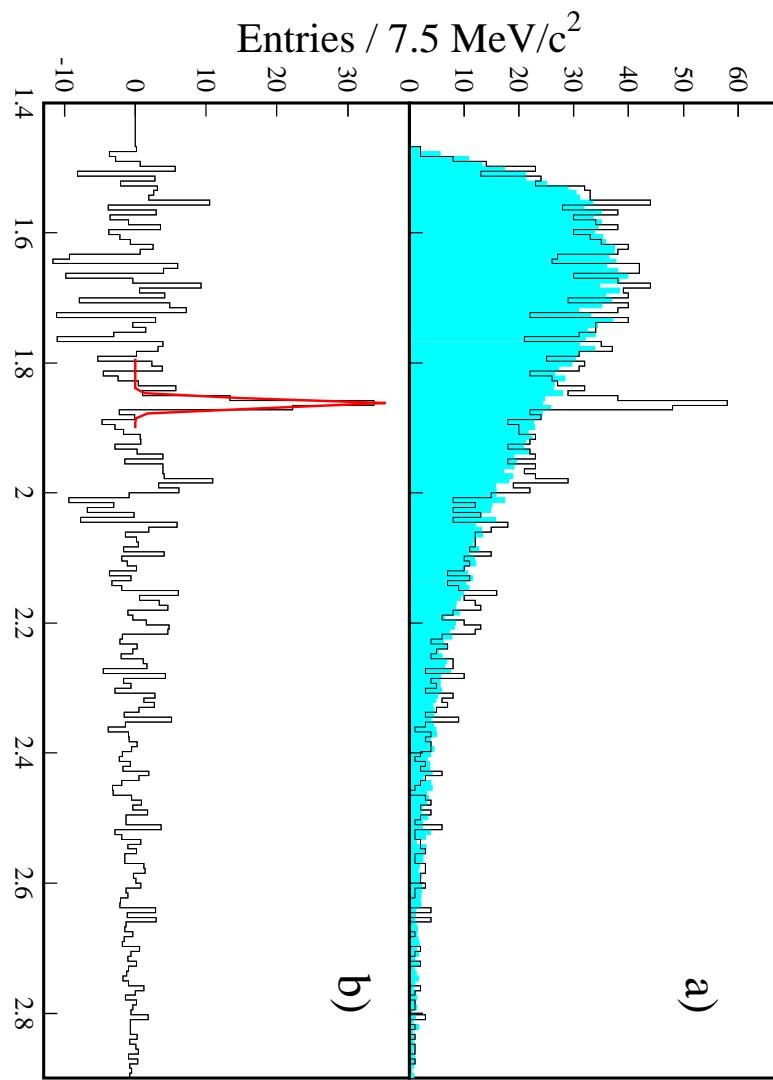
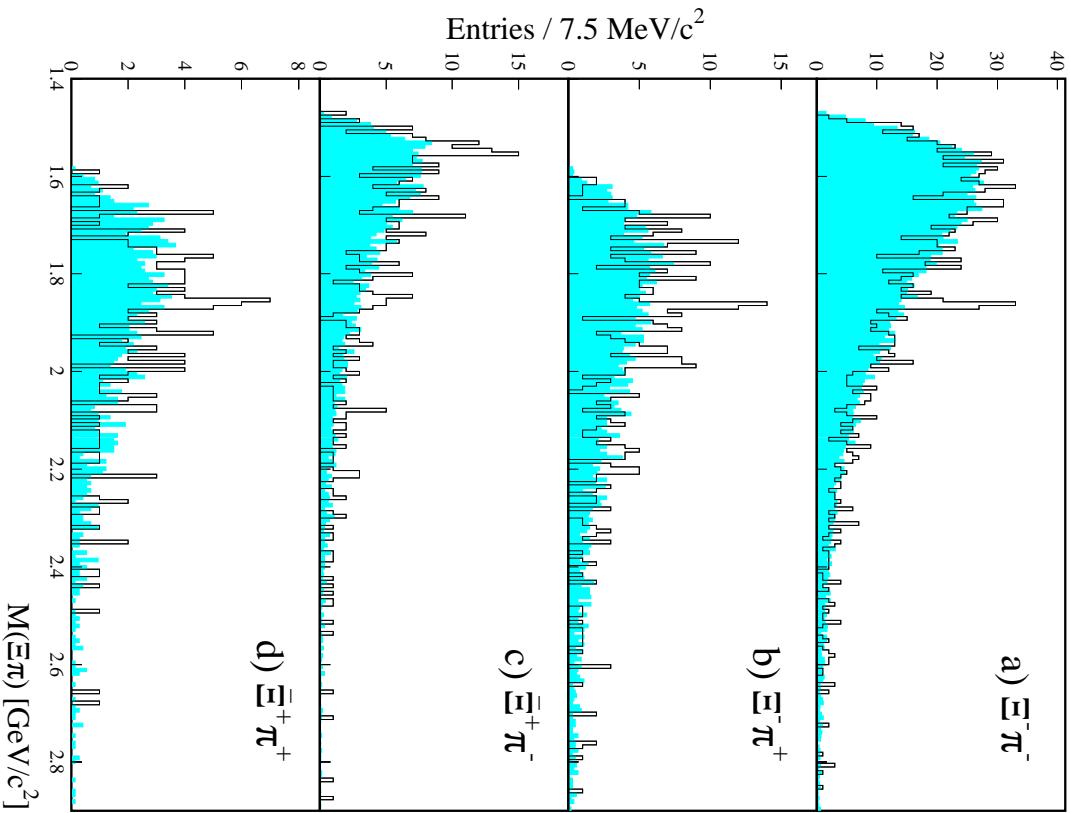
158 GeV protons on LH<sub>2</sub>

Tracks, dE/dx from multiple TDC's

Secondary vertices to  $\Lambda$   
 $\Lambda\pi^-$  to form  $\Xi^-$

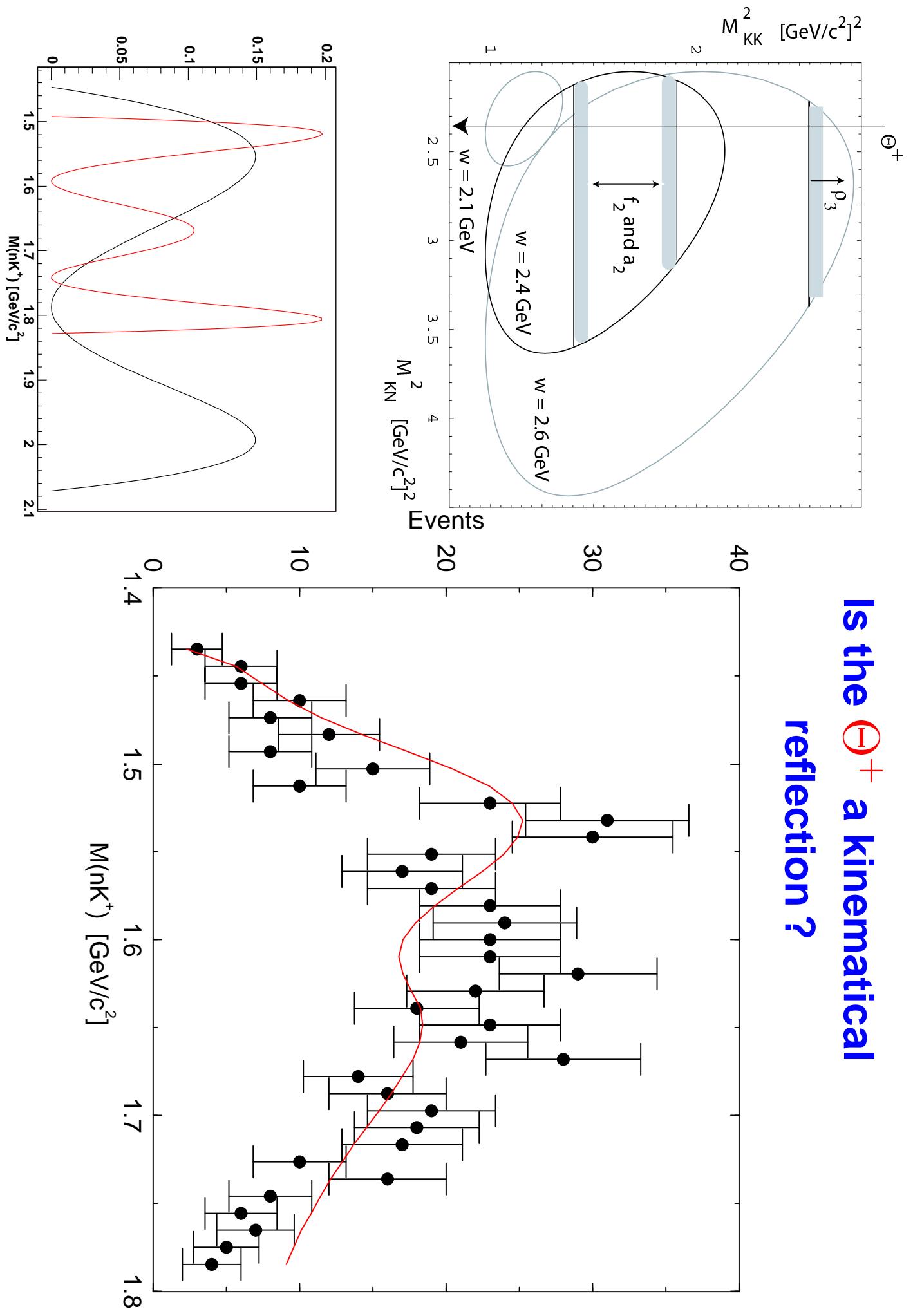
$\Xi^-\pi^\pm$  invariant mass





$$\begin{aligned}
 \text{Mass } \Xi &= (1862 \pm 0.002) \text{ MeV} \\
 \text{Width } \Xi &= < 10 \text{ MeV} \\
 \text{Strangeness, Isospin} &= -2 \quad 3/2 \\
 \text{Quark model conf.} &= dd\bar{s}s\bar{u}\bar{u}
 \end{aligned}$$

# Is the $\Theta^+$ a kinematical reflection?



## Pentaquark searches

- Exclusive reactions
  - CLAS, SPRING8, Crystal-Barrel: photo-production
  - COSY:  $\text{pp} \rightarrow \Theta^+(1540)\Sigma^+$
- Inclusive reactions
  - CERN, Fermilab:  $\nu A \rightarrow p K_s^0$
  - HERMES, ZEUS, COMPASS:  $e(\mu) + A \rightarrow p K_s^0 X$
  - RHIC:  $A + A \rightarrow p K_s^0 X$

# The $\Theta^+$ from neutrino-induced reactions

CERN: WA21    WA25    WA59    FNAL: E180    E632



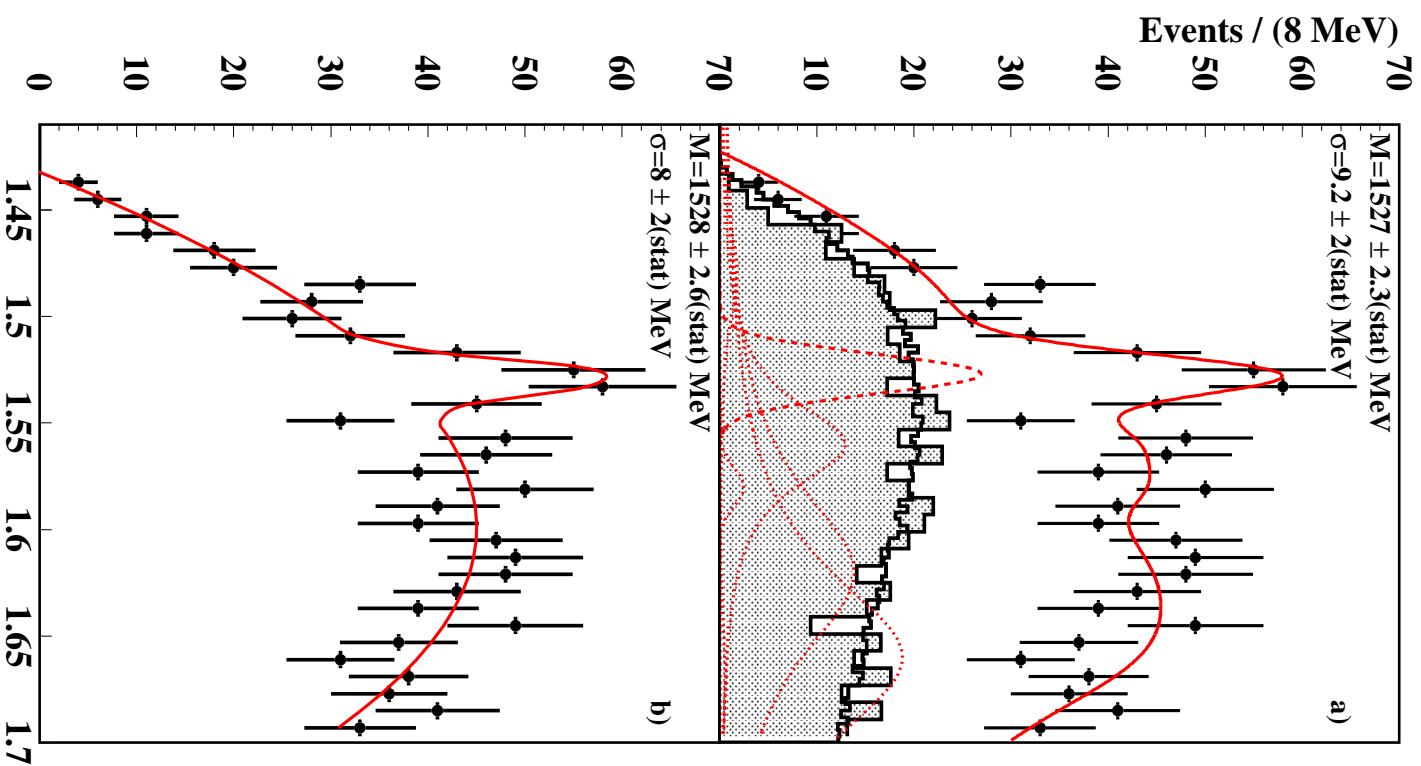
# The $\Theta^+$ from the HERMES experiment

- Quasi real photons from 27.6 GeV positron beam of the HERA storage ring at DESY.

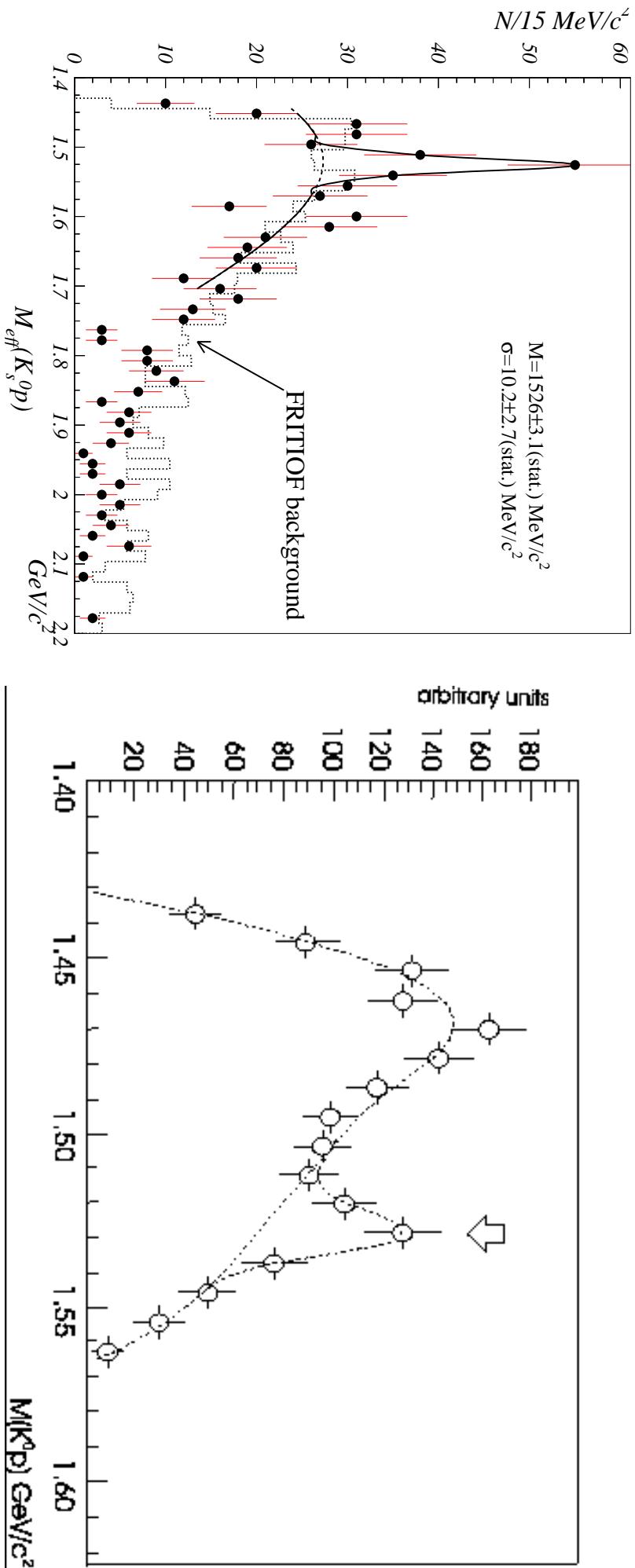
- D<sub>2</sub> target.

- Integrated luminosity of 250 pb<sup>-1</sup>.

- $\Theta^+ \rightarrow pK_S^0 \rightarrow p\pi^+\pi^-$  decay chain.



# The $\Theta^+$ at IHEP, Protvino: and COSY

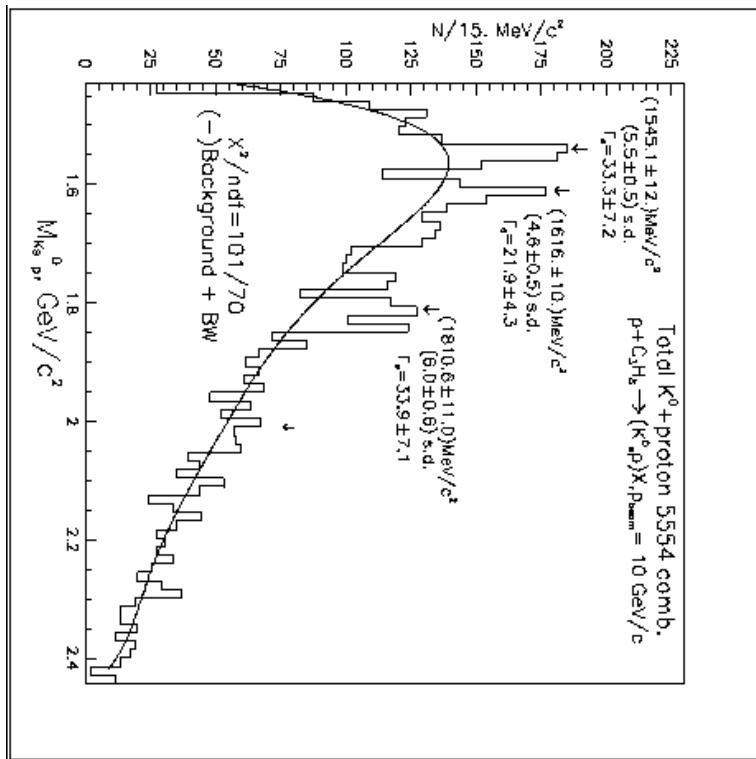
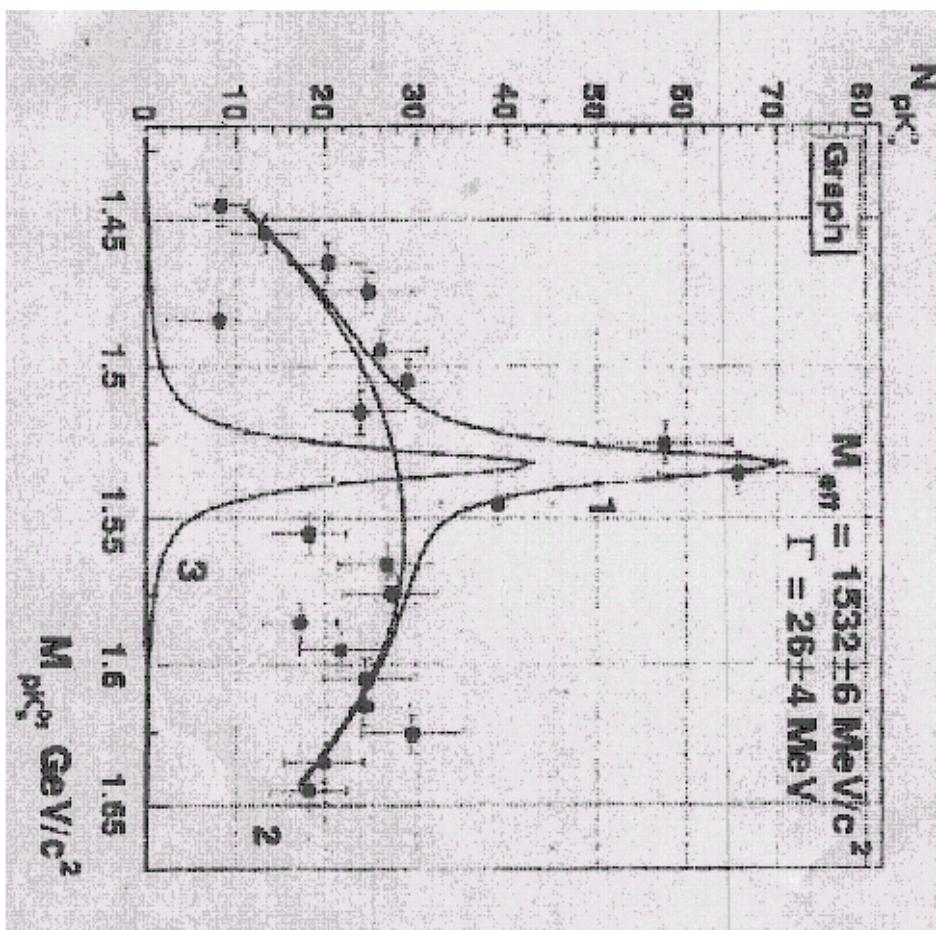


**The  $(pK_s^0)$  invariant mass spectrum** The  $pK_s^0$  invariant mass distribution from the reaction  $pA \rightarrow pK_s^0 + X$ . reaction  $pp \rightarrow \Sigma^+ K_s^0 p$  at COSY.

**The dashed histogram represents background obtained from simulations.**

# The $\Theta^+$ in Mongolia and at Eriwan

Data are from a 2m propane bubble chamber experiment at Dubna.

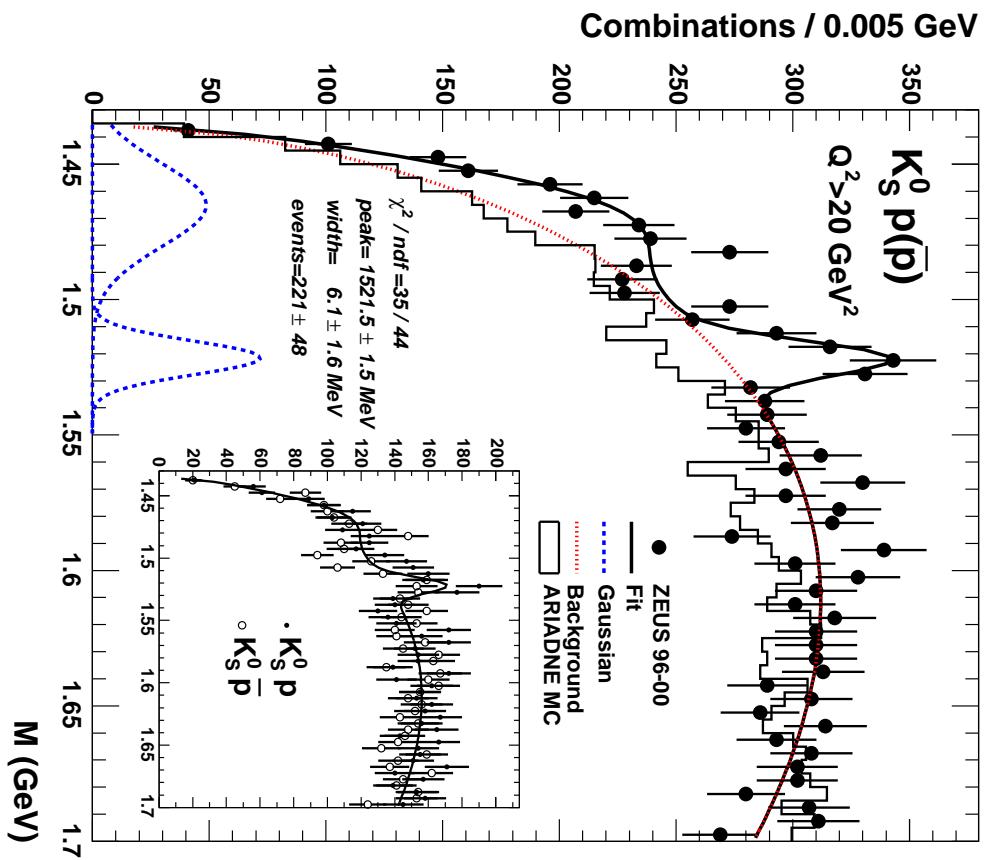


Proton induced reactions, momenta be-

The  $\text{p}K_s^0$  invariant mass distribution from between  $0.35 \leq p \leq 0.9 \text{ GeV}/c^2$  or  $p \geq 1.7 \text{ GeV}/c^2$ .  
 $^{12}\text{C}-^{12}\text{C}$  scattering.

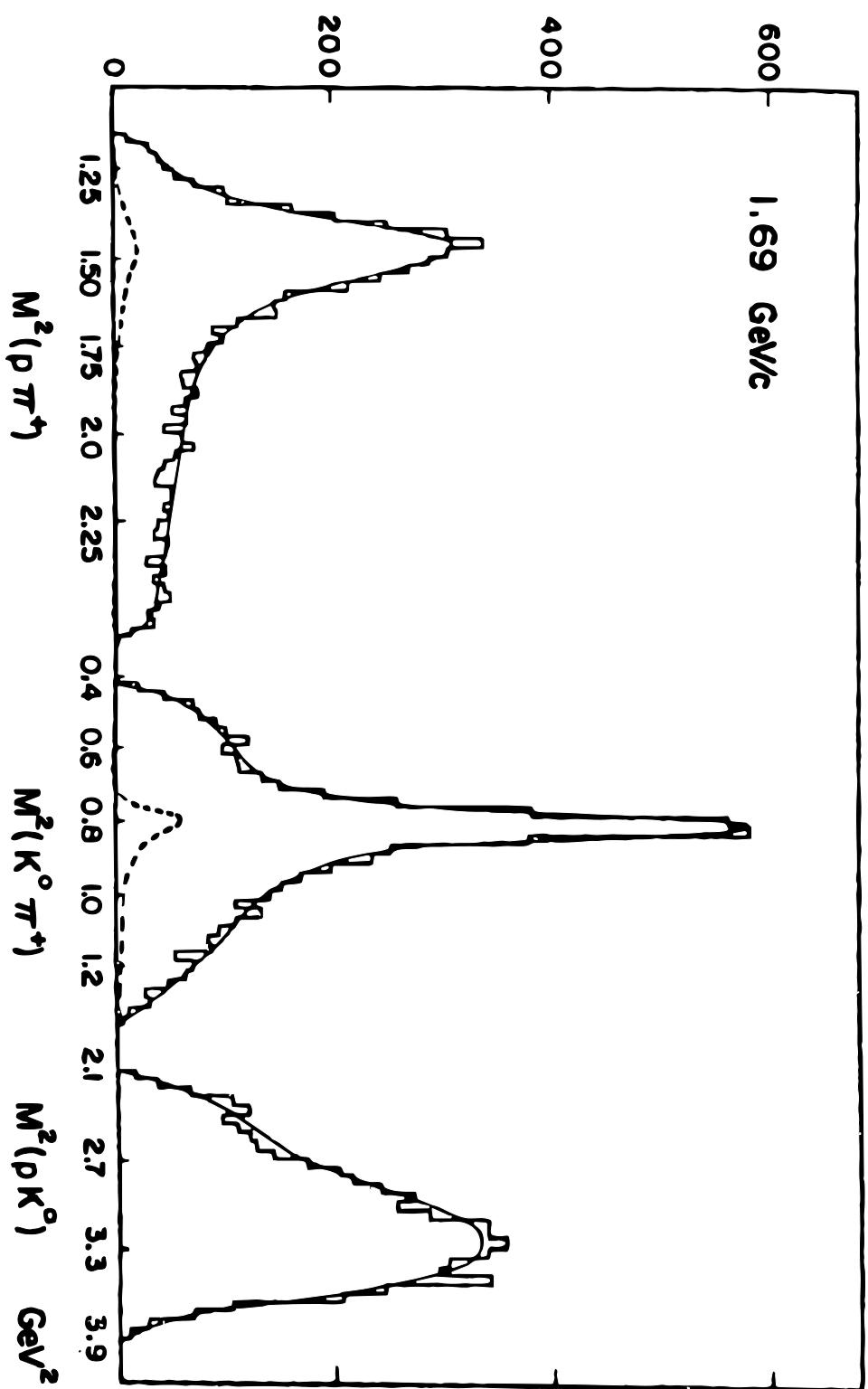
# The $\Theta^+$ at HERA

ZEUS



- Histogram shows the prediction of the ARIADNE MC normalised to the data in the mass region above 1650 MeV.
- Inset shows the  $K_S^0 p$  (open circles) and the  $K_S^0 \bar{p}$  (black dots) candidates.

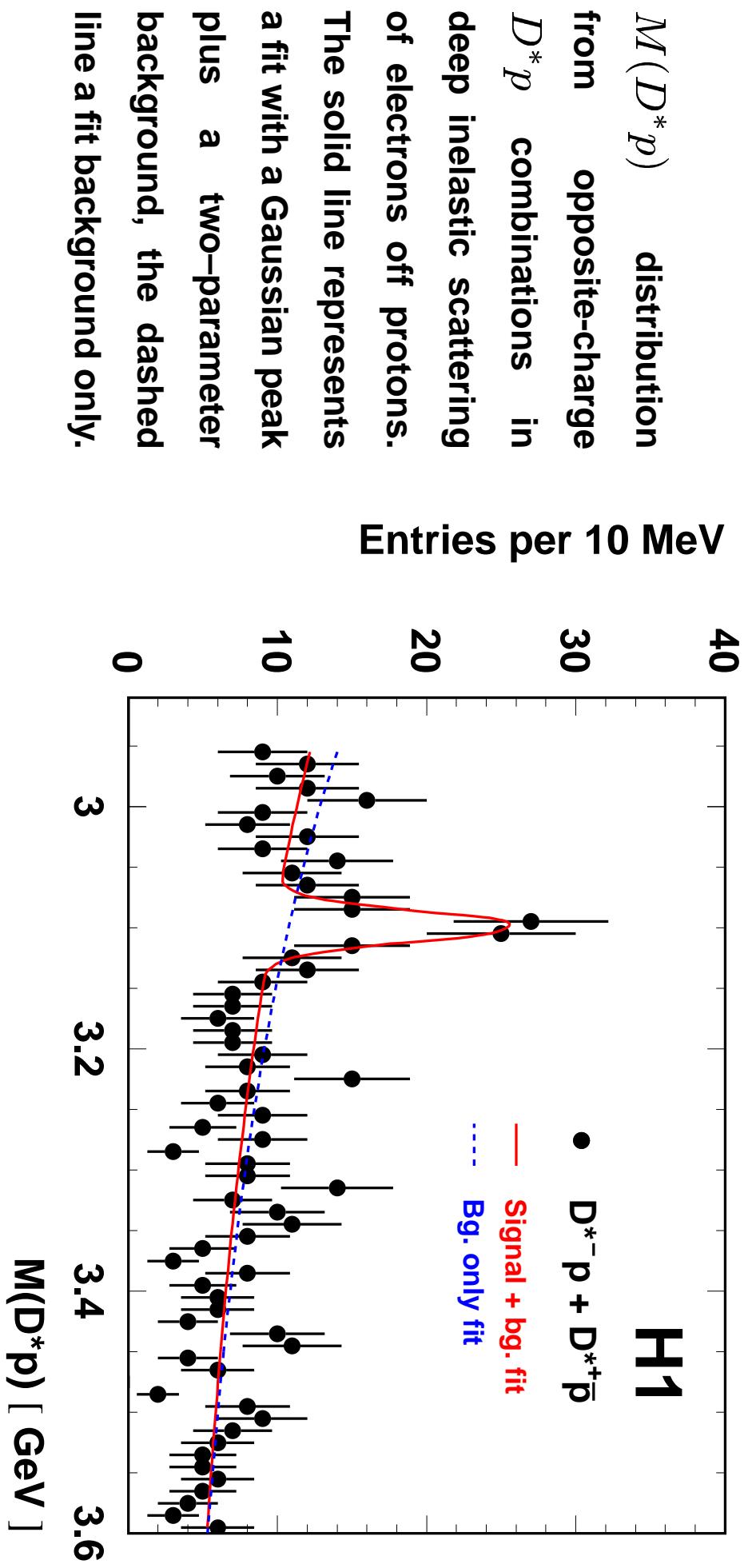
# The $\Theta^+$ at CERN: $K^+ p \rightarrow K_s^0 p \pi^+$ at 1.69 GeV/c



Small peak at  $\sqrt{s} = 1.53 \text{ GeV}$

Cross section  $\sim 100 \mu\text{b} \rightarrow$  Normal hadronic cross section

# The charming pentaquark from DESY



**Summary of measurements of pentaquarks. The systematic errors given in parentheses are not quoted in the papers but were estimated to be small.**

Mass (MeV)	Width (MeV)	N <sub>event</sub>	Statist. signif.	Reaction	Experiment
<b><math>\Theta^+(1540)</math></b>					
1540 ± 10 ± 5	< 25	19 ± 2.8	~2.7 $\sigma$	$\gamma C \rightarrow C' K^+ K^-$	LEPS
1539 ± 2 ± 2	< 9	29	~3.0 $\sigma$	$\gamma p \rightarrow n K^+ K_s^0$	DIANA
1542 ± 2 ± 5	< 21	43	~3.5 $\sigma$	$\gamma d \rightarrow p n K^+ K^-$	CLAS
1540 ± 4(±3)	< 25	63 ± 13	4.8 $\sigma$	$\gamma p \rightarrow n K^+ K_s^0$	SAPHIR
1533 ± 5(±3)	< 20	27	~4.0 $\sigma$	$\nu$ -induced	CERN, FNAL
1555 ± 1 ± 10	< 26	41	~4.0 $\sigma$	$\gamma p \rightarrow n K^+ K^- \pi^+$	CLAS

Mass (MeV)	Width (MeV)	N <sub>event</sub>	Statist. signif.	Reaction	Experiment
<b>1528 ± 4</b>	< 19	~ 60	~ 4 $\sigma$	$\gamma^*$ -induced	HERMES
<b>1526 ± 3 ± 3</b>	< 24	50	3.5 $\sigma$	p-p reaction	SVD-2
<b>1530 ± 5</b>	< 18		3.7 $\sigma$	p-p reaction	COSY
<b>1545 ± 12</b>	< 35	~ 100	~ 4 $\sigma$	p-A reaction	YEREVAN
<b>1532 ± 6</b>	< 26	~ 70	~ 4.6 $\sigma$	A-A reaction	Mongolia
<b>1521.5 ± 1.5<sub>-1.7</sub><sup>+2.8</sup></b>	< 6	221	4.6 $\sigma$	Fragmentation	ZEUS
<b><math>\Xi(1862)</math></b>					
<b>1862</b>	< 21	4.6 $\sigma$	$\nu$ -induced	NA49	
<b><math>\Theta_c(3099)</math></b>					
<b>3099 ± 3 ± 5</b>	5.4 $\sigma$	$\gamma^*$ -induced		HERA	

Where	Reaction	Mass interval	Limit	Comment
BES	$e^+ e^- \rightarrow J/\psi \rightarrow K\bar{N}X$	1520-1560	$\sim 10^{-5}$ (branching)	Azimov: $\sim 10^{-5}$
HERA-B	$pA \rightarrow K^0 p X$	15	N/A	vs NA49, SVD
PHENIX	$dAu \rightarrow K^+ n X$	1500-1600	N/A	vs STAR, Dubna
CDF	$p\bar{p} \rightarrow Z \rightarrow K^0 p X$	1525-1545	$< 87(79)$ events	vs ZEUS, STAR
ALEPH	$e^+ e^- \rightarrow Z \rightarrow K^0 p X$	1525-1545	$< .003$ (events)	No D-events (K-L)
DELPHI	$e^+ e^- \rightarrow Z \rightarrow K^0 p X$	1500-1750	$< .006$ (events)	No D-events (K-L)
ZEUS	$e p \rightarrow \Xi \pi X$	1840-1880	N/A	vs NA49
CDF	$p\bar{p} \rightarrow \Xi \pi X$	1840-1880	126/?	vs NA49
FOCUS	$\gamma A \rightarrow D p X$	N/A	N/A	vs H1
WA89	$\Sigma - A \rightarrow \Xi \pi X$	1840-1880	N/A	vs NA49

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## POSTDICTIONS:

- Molecular **NK** state in S-wave ? Parity negative.  
Too narrow, should be 500 MeV
- The  $\Theta^+$  has isospin  $I=2$  and negative parity.  
Narrow because it decays via an isospin violating amplitude into p+K  
( $I=0$  or  $1$ )  
 $\Theta^{+++}$  predicted, decays only by weak interaction!  
Parity of  $\Theta^+$  is negative.
- Lattice QCD  
Evidence for  $\Theta^+$  claimed, parity negative.

- **Diquark model:**  $[QQ]^{\bar{3}_{color} \bar{3}_{flavor} 0_{spin}}$  is energetically favored.

$$[QQ]^{\bar{3}_{color} \bar{3}_{flavor} 0_{spin}} [QQ]^{\bar{3}_{color} \bar{3}_{flavor} 0_{spin}} [\bar{Q}]^{\bar{3}_{flavor}} \supset [Q^4 \bar{Q}]^{1\bar{0}_{flavor}}$$

→ Diquarks are bosons

**Diquark – antidiquark wave function:**  $\left[ [QQ]^{\bar{3}_c \bar{3}_f 0_s} [QQ]^{\bar{3}_c \bar{3}_f 0_s} \right]^{3_c}$

→ Two identical diquarks:

**Antisymmetry in color**  $\Rightarrow$  antisymmetry in space,  $Q^4$  has negative parity,  $Q^4 \bar{Q}$  positive parity.

→ In flavor there are 6 diquarks. SU(3):

$$\bar{6} \otimes \bar{3} = \bar{10} \oplus 8$$

→ Mixing decouples 8 and  $\bar{10}$

$[ud][ud]\bar{d} = N(1440)P_{11}$  and  $[ud][us]\bar{s} = N(1710)P_{11}$

→ Model predicts fewer states and lower-mass  $\Xi^{--}$

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## $\Theta^+$ summary

- There is evidence for a narrow positive–strangeness baryon resonance  $\Theta^+$  from several sources
- All experiment have statistical significance of  $\sim 5\sigma$
- No absolutely conclusive evidence, but agreement is impressive
- Produced by photon interactions (and hadronically in DIANA)
- Production mechanism partly controversial
- Spin and parity unknown
- Predicted by chiral soliton model
- should have  $J^P = 1/2^+$  and
- be member of an (anti–) decuplet with 3 exotic states ( $\Xi^{--}$  and  $\Xi^+$ )
- Production and decay predictions for non-strange member