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Islamic Government

Hukumat-i Islami

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Probably the best known of Imam Khomeini's works, the book Islamic Government originated in a series of lectures given at Najaf between January 21 and February 8, 1970. The lectures were recorded and transcribed by a student, and then published in book form.

„Islamic Government” is an exact translation of the original Persian title, Hukumat-i Islami. However, the reader should bear in mind that the book does not purport to offer either a complete scheme of Islamic political philosophy or a detailed plan for the establishment and functioning of an Islamic state. Its purpose is narrower and more specific, and geared to the audience to whom the lectures were delivered: students of the religious sciences, who might be expected later to assume positions of influence in Muslim society.

Three major points emerge from the lectures. The first is the necessity for the establishment and maintenance of Islamic political institutions, or to put it differently, the need for subordinating political power to Islamic goals, precepts, and criteria. The second is the duty of the religious scholars (the fuqaha) to bring about an Islamic state, and to assume legislative, executive, and judicial positions within it - in short, the doctrine of „the governance of the faqih” (vilayat-i faqih). The various texts that support this second point are subjected to lengthy review and examination. Finally, Imam Khomeini sets out a program of action for the establishment of an Islamic state, including various measures for self-reform by the religious establishment. All three themes are expounded against a backdrop of particular concern with Iran; hence the occurrence of numerous references to Iran in the course of the general and theoretical discussion.

Accurate translations of Hukumat-i Islami exist in French, Arabic, Turkish, and Urdu. In the fall of 1978, the Joint Publications and Research Service, the translation branch of the U.S. Central Intelligence Agency, commissioned an English translation, not of the original Persian text, but of the translation in Arabic. The resulting version, crude and unreliable, was subsequently published in a vulgar and sensational format by Manor Books, a commercial publisher in New York. What follows is an integral and faithful translation of the third edition of the Persian text, published in Najaf in 1391/1971.

Chapter I – INTRODUCTION

THE SUBJECT OF THE GOVERNANCE OF THE FAQIH (vilayat-i faqih) [1] provides us with the opportunity to discuss certain related matters and questions. The governance of the faqih is a subject that in itself elicits immediate assent and has little need of demonstration, for anyone who has some general awareness of the beliefs and ordinances of Islam will unhesitatingly give his assent to the

principle of the governance of the faqih as soon as he encounters it; he will recognize it as necessary and self-evident. If little attention is paid to this principle today, so that it has come to require demonstration, it is because of the social circumstances prevailing among the Muslims in general, and in the teaching institution in particular. These circumstances, in turn, have certain historical roots to which I will now briefly refer.

From the very beginning, the historical movement of Islam has had to contend with the Jews, for it was they who first established anti-Islamic propaganda and engaged in various stratagems, and as you can see, this activity continues down to the present. Later they were joined by other groups, who were in certain respects more satanic than they. These new groups began their imperialist penetration of the Muslim countries about three hundred years ago, and they regarded it as necessary to work for the extirpation of Islam in order to attain their ultimate goals.

It was not their aim to alienate the people from Islam in order to promote Christianity among them, for the imperialists really have no religious belief, Christian or Islamic. Rather, throughout this long historical period, and going back to the Crusades, they felt that the major obstacle in the path of their materialistic ambitions and the chief threat to their political power was nothing but Islam and its ordinances, and the belief of the people in Islam. They therefore plotted and campaigned against Islam by various means.

The preachers they planted in the religious teaching institution, the agents they employed in the universities, government educational institutions, and publishing houses, and the orientalist who work in the service of the imperialist states - all these people have pooled their energies in an effort to distort the principles of Islam. As a result, many persons, particularly the educated, have formed misguided and incorrect notions of Islam.

Islam is the religion of militant individuals who are committed to truth and justice. It is the religion of those who desire freedom and independence. It is the school of those who struggle against imperialism. But the servants of imperialism have presented Islam in a totally different light. They have created in men's minds a false notion of Islam. The defective version of Islam, which they have presented in the religious teaching institution, is intended to deprive Islam of its vital, revolutionary aspect and to prevent Muslims from arousing themselves in order to gain their freedom, fulfill the ordinances of Islam, and create a government that will assure their happiness and allow them to live lives worthy of human beings.

For example, the servants of imperialism declared that Islam is not a comprehensive religion providing for every aspect of human life and has no laws or ordinances pertaining to society. It has no particular form of government. Islam concerns itself only with rules of ritual purity after menstruation and parturition. It may have a few ethical principles, but it certainly has nothing to say about human life in general and the ordering of society.

This kind of evil propaganda has unfortunately had an effect.

Quite apart from the masses, the educated class - university students and also many students at the religious teaching institutions - have failed to understand Islam correctly and have erroneous notions. Just as people may, in general, be unacquainted with a stranger, so too they are unacquainted with Islam; Islam lives among the people of this world as if it were a stranger. [2] If somebody were to present Islam as it truly is, he would find it difficult to make people believe him. In fact, the agents of imperialism in the religious teaching institutions would raise a hue and cry against him.

In order to demonstrate to some degree how great the difference is between Islam and what is presented as Islam, I would like to draw your attention to the difference between the Qur'an and the books of hadith, [3] on the one hand, and the practical treatises of jurisprudence, on the other. The Qur'an and the books of hadith, which represent the sources for the commands and ordinances of Islam, are completely different from the treatises written by the mujtahids [4] of the present age both in breadth of scope and in the effect they are capable of exerting on the life of society.

The ratio of Qur'anic verses concerned with the affairs of society to those concerned with ritual worship is greater than a hundred to one. Of the approximately fifty sections of the corpus of hadith containing all the ordinances of Islam, not more than three or four sections relate to matters of ritual worship and the duties of man toward his Creator and Sustainer. A few more are concerned with questions of ethics, and all the rest are concerned with social, economic, legal, and political questions -

in short, the gestation of society.

You who represent the younger generation and who, God willing, will be of service to Islam in the future must strive diligently all your lives to pursue the aims I will now set forth and to impart the laws and ordinances of Islam. In whatever way you deem most beneficial, in writing or in speech, instruct the people about the problems Islam has had to contend with since its inception and about the enemies and afflictions that now threaten it.

Do not allow the true nature of Islam to remain hidden, or people will imagine that Islam is like Christianity (nominal, not true Christianity), a collection of injunctions pertaining to man's relation to God, and the mosque will be equated with the church.

At a time when the West was a realm of darkness and obscurity - with its inhabitants living in a state of barbarism and America still peopled by half-savage redskins - and the two vast empires of Iran and Byzantium were under the rule of tyranny, class privilege, and discrimination, and the powerful dominated all without any trace of law or popular government, God, Exalted and Almighty, by means of the Most Noble Messenger (peace and blessings be upon him), sent laws that astound us with their magnitude. He instituted laws and practices for all human affairs and laid down injunctions for man extending from even before the embryo is formed until after he is placed in the tomb. In just the same way that there are laws setting forth the duties of worship for man, so too there are laws, practices, and norms for the affairs of society and government. Islamic law is a progressive, evolving, and comprehensive system of law. All the voluminous books that have been compiled from the earliest times on different areas of law, such as judicial procedure, social transactions, penal law, retribution, international relations, regulations pertaining to peace and war, private and public law - taken together, these contain a mere sample of the laws and injunctions of Islam. There is not a single topic in human life for which Islam has not provided instruction and established a norm.

In order to make the Muslims, especially the intellectuals and the younger generation, deviate from the path of Islam, foreign agents have constantly insinuated that Islam has nothing to offer, that Islam consists of a few ordinances concerning menstruation and parturition, and that this is the proper field of study for the akhunds. [5]

There is something of truth here, for it is fitting that those akhunds who have no intention of expounding the theories, injunctions, and world-view of Islam and who spend most of their time on precisely such matters, forgetting all the other topics of Islamic law, be attacked and accused in this manner. They too are at fault; foreigners are not the only ones to be blamed. For several centuries, as might be expected, the foreigners laid certain plans to realize their political and economic ambitions, and the neglect that has overtaken the religious teaching institution has made it possible for them to succeed. There have been individuals among us, the 'ulama, [6] who have unwittingly contributed to the fulfillment of those aims, with the result that you now see.

It is sometimes insinuated that the injunctions of Islam are defective, and said that the laws of judicial procedure, for example, are not all that they should be. In keeping' with this insinuation and propaganda, agents of Britain were instructed by their masters to take advantage of the idea of constitutionalism in order to deceive the people and conceal the true nature of their political crimes (the pertinent proofs and documents are now available).

At the beginning of the constitutional movement, when people wanted to write laws and draw up a constitution, a copy of the Belgian legal code was borrowed from the Belgian embassy and a handful of individuals (whose names I do not wish to mention here) used it as the basis for the constitution they then wrote, supplementing its deficiencies with borrowings from the French and British legal codes. [7] True, they added some of the ordinances of Islam in order to deceive the people, but the basis of the laws that were now thrust upon the people was alien and borrowed.

What connection do all the various articles of the Constitution, as well as the body of Supplementary Law [8] concerning the monarchy, the succession, and so forth, have with Islam! They are all opposed to Islam; they violate the system of government and the laws of Islam.

Islam proclaims monarchy and hereditary succession wrong and invalid. When Islam first appeared in Iran, the Byzantine Empire, Egypt, and the Yemen, the entire institution of monarchy was abolished. In the blessed letters that the Most Noble Messenger (peace and blessings be upon him) wrote to the Byzantine Emperor Heraclius and the Shahanshah of Iran, [9] he called upon them to abandon the

monarchical and imperial form of government, to cease compelling the servants of God to worship them with absolute obedience, and to permit men to worship God, - who has no partner and is the True Monarch. Monarchy and hereditary succession represent the same sinister, evil system of government that prompted the Lord of the Martyrs [10] (peace be upon him) to rise up in revolt and seek martyrdom in an effort to prevent its establishment. He revolted in repudiation of the hereditary succession of Yazid, [11] to refuse it his recognition.

Islam, then, does not recognize monarchy and hereditary succession; they have no place in Islam. If that is what is meant by the so-called deficiency of Islam, then Islam is indeed deficient.

Islam has laid down no laws for the practice of usury, for banking on the basis of usury, for the consumption of alcohol, or for the cultivation of sexual vice, having radically prohibited all of these.

The ruling cliques, therefore, which are the puppets of imperialism and wish to promote these vices in the Islamic world, will naturally regard Islam as defective. They must import the appropriate laws from Britain, France, Belgium, and most recently, America. The fact that Islam makes no provision for the orderly pursuit of these illicit activities, far from being a deficiency, is a sign of perfection and a source of pride.

The conspiracy worked out by the imperialist government of Britain at the beginning of the constitutional movement had two purposes. The first, which was already known at that time, was to eliminate the influence of Tsarist Russia in Iran, and the second was to take the laws of Islam out of force and operation by introducing Western laws. [12]

The imposition of foreign laws on our Islamic society has been the source of numerous problems and difficulties. Knowledgeable people working in our judicial system have many complaints concerning the existing laws and their mode of operation.

If a person becomes caught up in the judicial system of Iran or that of analogous countries, he may have to spend a whole lifetime trying to prove his case. In my youth I once encountered a learned lawyer who said, „I can spend my whole life following a litigation back and forth through the judicial machinery, and then bequeath it to my son for him to do the same thing” That is the situation that now prevails, except, of course, when one of the parties has influence, in which case the matter is examined and settled swiftly, albeit unjustly.

Our present judicial laws have brought our people nothing but trouble, causing them to neglect their daily tasks and providing the occasion for all kinds of misuse. Very few people are able to obtain their legitimate rights. In the adjudication of cases it is necessary not only that everyone should obtain his rights, but also that correct procedure be followed. People's time must be considered, as well as the way of life and profession of both parties, so that matters are resolved as swiftly and simply as possible.

A case that a shari'a' [13] judge in earlier times settled in one or two days cannot be settled now in twenty years. The needy, young and old alike, must spend the entire day at the Ministry of Justice, from morning to night, wasting their time in corridors or standing in front of some official's desk, and in the end they will still not know what has transpired. Anyone who is more cunning, and more willing and able to give bribes, has his case settled expeditiously, but at the cost of justice. Others must wait in frustration and perplexity until their entire lives are gone.

The agents of imperialism sometimes write in their books and their newspapers that the legal provisions of Islam are too harsh.

One person was even so impudent as to write that the laws of Islam are harsh because they originated with the Arabs, so that the „harshness” of the Arabs is reflected in the „harshness” of Islamic law!

I am amazed at the way these people think. They kill people for possessing ten grams of heroin and say, „That is the law” (I have been informed that ten people were put to death some time ago, and another person more recently, for possession of ten grams of heroin). [14] Inhuman laws like this are concocted in the name of a campaign against corruption, and they are not to be regarded as harsh. (I am not saying it is permissible to sell heroin, but this is not the appropriate punishment. The sale of heroin must indeed be prohibited, but the punishment must be in proportion to the crime.) When Islam, however, stipulates that the drinker of alcohol should receive eighty lashes, they consider it „too harsh.” They can execute someone for possessing ten grams of heroin and the question of harshness does not even arise!

Many forms of corruption that have appeared in society derive from alcohol. The collisions that take

place on our roads, and the murders and suicides, are very often caused by the consumption of alcohol. Indeed, even the use of heroin is said to derive from addiction to alcohol. But still, some say, it is quite unobjectionable for someone to drink alcohol (after all, they do it in the West); so let alcohol be bought and sold freely.

But when Islam wishes to prevent the consumption of alcohol-one of the major evils - stipulating that the drinker should receive eighty lashes, or sexual vice, decreeing that the fornicator be given one hundred lashes (and the married man or woman be stoned), then they start wailing and lamenting: „What a harsh law that is, reflecting the harshness of the Arabs!” They are not aware that these penal provisions of Islam are intended to keep great nations from being destroyed by corruption. Sexual vice has now reached such proportions that it is destroying entire generations, corrupting our youth, and causing them to neglect all forms of work. They are all rushing to enjoy the various forms of vice that have become so freely available and so enthusiastically promoted. Why should it be regarded as harsh if Islam stipulates that an offender should be publicly flogged in order to protect the younger generation from corruption!

At the same time, we see the masters of this ruling class of ours enacting slaughters in Vietnam over fifteen years, devoting enormous budgets to this business of bloodshed, and no one has the right to object ! But if Islam commands its followers to engage in warfare or defense in order to make men submit to laws that are beneficial for them, and kills a few corrupt people or instigators of corruption, then they ask: „What's the purpose for that war!”

All of the foregoing represent plans drawn up several centuries ago that are now being implemented and bearing fruit.

First, they opened a school in a certain place, [15] and we overlooked the matter and said nothing. Our colleagues also were negligent in the matter and failed to prevent it from being established so that now, as you can observe, these schools have multiplied, and their missionaries have gone out into the provinces and villages, turning our children into Christians or unbelievers.

Their plan is to keep us backward, to keep us in our present miserable state so they can exploit our riches, our underground wealth, our lands, and our human resources. They want us to remain afflicted and wretched, and our poor to be trapped in their misery. Instead of surrendering to the injunctions of Islam, which provide a solution for the problem of poverty, they and their agents wish to go on living in huge palaces and enjoying lives of abominable luxury.

These plans of theirs are so broad in scope that they have even touched the institutions of religious learning. If someone wishes to speak about Islamic government and the establishment of Islamic government, he must observe the principle of taqiya' [16] and count upon the opposition of those who have sold themselves to imperialism. When this book was first printed, the agents of the embassy undertook certain desperate measures to prevent its dissemination, [17] which succeeded only in disgracing them more than before.

Matters have now come to the point where some people conthe apparel of a soldier incompatible with true manliness justice, even though the leaders of our religion were all soldiers, commanders, and warriors. They put on military dress and went into battle in the wars that are described for us in our history; they killed and they were killed. The Commander of the Faithful [18] himself (upon whom be peace) would place a helmet his blessed head, don his coat of chain mail, and gird on a sword. Imam Hasan [19] and the Lord of the Martyrs (peace be upon him) acted likewise. The later Imams did not have the opportunity to go into battle, even though Imam Baqir [20] (peace be upon him) was also a warrior by nature. But now the wearing of military apparel is thought to detract from a man's quality of justice, [21] and it is said that one should not wear military dress. If we want to form an Islamic government, then we must do it in our cloaks and turbans; otherwise, we commit an offense against decency justice!

This is all the result of the wave of propaganda that has now reached the religious teaching institution and imposed on us the duty of proving that Islam also possesses rules of government.

That is our situation then - created for us by the foreigners through their propaganda and their agents. They have removed from operation all the judicial processes and political laws of Islam and replaced them with European importations, thus diminishing the scope of Islam and ousting it from Islamic society. For the sake of exploitation they have installed their agents in power.

So far, we have sketched the subversive and corrupting plan of imperialism. We must now take into consideration as well certain internal factors, notably the dazzling effect that the material progress of the imperialist countries has had on some members of our society. As the imperialist countries attained a high degree of wealth and affluence - the result both of scientific and technical progress and of their plunder of the nations of Asia and Africa - these individuals lost all self-confidence and imagined that the only way to achieve technical progress was to abandon their own laws and beliefs. When the moon landings took place, for instance, they concluded that Muslims should jettison their laws! But what is the connection between going to the moon and the laws of Islam? Do they not see that countries having opposing laws and social systems compete with each other in technical and scientific progress and the conquest of space! Let them go all the way to Mars or beyond the Milky Way; they will still be deprived of true happiness, moral virtue, and spiritual advancement and be unable to solve their own social problems. For the solution of social problems and the relief of human misery require foundations in faith and morals; merely acquiring material power and wealth, conquering nature and space, have no effect in this regard. They must be supplemented by, and balanced with, the faith, the conviction, and the morality of Islam in order truly to serve humanity instead of endangering it. This conviction, this morality, these laws that are needed, we already possess. So as soon as someone goes somewhere or invents something, we should not hurry to abandon our religion and its laws, which regulate the life of man and provide for his well-being in this world and the hereafter.

The same applies to the propaganda of the imperialists. Unfortunately, some members of our society have been influenced by their hostile propaganda, although they should not have been.

The imperialists have propagated among us the view that Islam does not have a specific form of government or governmental institutions. They say further that even if Islam does have certain laws, it has no method for enforcing them, so that its function is purely legislative. This kind of propaganda forms part of the overall plan of the imperialists to prevent the Muslims from becoming involved in political activity and establishing an Islamic government. It is in total contradiction with our fundamental beliefs.

We believe in government and believe that the Prophet (upon whom be peace) was bound to appoint a successor, as he indeed did.

Was a successor designated purely for the sake of expounding law! The expounding of law did not require a successor to the Prophet.

He himself, after all, had expounded the laws; it would have been enough for the laws to be written down in a book and put into the people's hands to guide them in their actions. It was logically necessary for a successor to be appointed for the sake of exercising government. Law requires a person to execute it. The same holds true in all countries of the world, for the establishment of a law is of little benefit in itself and cannot secure the happiness of man. After a law is established, it is necessary also to create an executive power. If a system of law or government lacks all executive power, it is clearly deficient. Thus Islam, just as it established laws, also brought into being an executive power.

There was still a further question: who was to hold the executive power! If the Prophet (upon whom be peace and blessings) had not appointed a successor to assume the executive power, he would have failed to complete his mission, as the Qur'an testifies. [22] The necessity for the implementation of divine law, the need for an executive power, and the importance of that power in fulfilling the goals of the prophetic mission and establishing a just order that would result in the happiness of mankind - all of this made the appointment of a successor synonymous with the completion of the prophetic mission. In the time of the Prophet, laws were not merely expounded and promulgated; they were also implemented. The Messenger of God was an executor of the law.

For example, he implemented the penal provisions of Islam: he cut off the hand of the thief and administered lashings and stonings. The successor to the Prophet must do the same; his task is not legislation, but the implementation of the divine laws that the Prophet has promulgated. It is for this reason that the formation of a government and the establishment of executive organs are necessary. Belief in the necessity for these is part of the general belief in the Imamate, as are, too, exertion and struggle for the sake of establishing them.

Pay close attention. Whereas hostility toward you has led them to misrepresent Islam, it is necessary for you to present Islam and the doctrine of the Imamate correctly. You must tell people: „We believe in

the Imamate; we believe that the Prophet (upon whom be peace) appointed a successor to assume responsibility for the affairs of the Muslims, and that he did so in conformity with the divine will. Therefore, we must also believe in the necessity for the establishment of government, and we must strive to establish organs for the execution of law and the administration of affairs.” Write and publish books concerning the laws of Islam and their beneficial effects on society. Improve your style and method of preaching and related activity. Know that it is your duty to establish an Islamic government. Have confidence in yourselves and know that you are capable of fulfilling this task.

The imperialists began laying their plans three or four centuries ago; they started out with nothing, but see where they are now! We too will begin with nothing, and we will pay no attention to the uproar created by a few „xenomaniacs” [23] and devoted servants of imperialism.

Present Islam to the people in its true form, so that our youth do not picture the akhunds as sitting in some corner in Najaf or Qum, studying the questions of menstruation and parturition instead of concerning themselves with politics, and draw the conclusion that religion must be separate from politics. This slogan of the separation of religion and politics and the demand that Islamic scholars not intervene in social and political affairs have been formulated and propagated by the imperialists; it is only the irreligious who repeat them. Were religion and politics separate in the time of the Prophet (peace and blessings be upon him)! Did there exist, on one side, a group of clerics, and opposite it, a group of politicians and leaders? Were religion and politics separate in the time of the caliphs - even if they were not legitimate - or in the time of the Commander of the Faithful (upon whom be peace)! Did two separate authorities exist! These slogans and claims have been advanced by the imperialists and their political agents in order to prevent religion from ordering the affairs of this world and shaping Muslim society, and at the same time to create a rift between the scholars of Islam, on the one hand, and the masses and those struggling for freedom and independence, on the other. They have thus been able to gain dominance over our people and plunder our resources, for such has always been their ultimate goal.

If we Muslims do nothing but engage in the canonical prayer, petition God, and invoke His name, the imperialists and the oppressive governments allied with them will leave us alone. If we were to say, „Let us concentrate on calling the azan [24] and saying our prayers. Let them come rob us of everything we own - God will take care of them! There is no power or recourse except in Him, and God willing, we will be rewarded in the hereafter!” - if this were our logic, they would not disturb us.

Once, during the occupation of Iraq, a certain British officer asked: „Is the azan I hear being called now from the minaret harmful to British policy?” When he was told that it was harmless, he said: „Then let him call for prayer as much as he wants!”

If you pay no attention to the policies of the imperialists, and consider Islam to be simply the few topics you are always studying and never go beyond them, then the imperialists will leave you alone. Pray as much as you like; it is your oil they are after - why should they worry about your prayers! They are after our minerals, and want to turn our country into a market for their goods. That is the reason the puppet governments they have installed prevent us from industrializing, and instead, establish only assembly plants and industry that is dependent on the outside world.

They do not want us to be true human beings, for they are afraid of true human beings. Even if only one true human being appears, they fear him, because others will follow him and he will have an impact that can destroy the whole foundation of tyranny, imperialism, and government by puppets. So whenever some true human being has appeared, they have either killed him or imprisoned and exiled him, and tried to defame him by saying: „This is a political akhund!” Now the Prophet (peace and blessings be upon him) was also a political person. This evil propaganda is undertaken by the political agents of imperialism only to make you shun politics, to prevent you from intervening in the affairs of society and struggling against treacherous governments and their anti-national and anti-Islamic policies. They want to work their will as they please, with no one to bar their way.

Notes

1. Faqih: one learned in the principles and ordinances of Islamic law, or more generally, in all aspects of the faith. For a full discussion of the term, see p. 84.

2. This is an allusion to the celebrated saying of the Prophet: „Islam will again become a stranger among men, as it was in the beginning, but blessed is the state of the stranger.”
3. Hadith: a tradition setting forth a saying or deed of the Prophet, or in Shi'i usage, of one of the Twelve Imams.
4. Mujtahid: an authority on divine law who practices *ijtihad*, that is, „the search for a correct opinion ... in the deducing of the specific provisions of the law from its principles and ordinances” (Muhammad Sanglaji, *eaza dar Islam* [Tehran, 1858 Sh./1959], p. 14).
5. Akhund: a word of uncertain etymology that originally denoted a scholar of unusual attainment, but was later applied to lesser-ranking scholars, and then acquired a pejorative connotation, particularly in secularist usage.
6. 'Ulama: the scholars of Islam.
7. Concerning the influence of Belgian constitutional law on the sixman committee that drafted the Supplementary Constitutional Laws of 1907, see A.K.S. Lambton, „Dustur, iv: Iran,” *Encyclopaedia of Islam* new ed., II, 655-654, and Mustafa Rahimi, *eanun-iAsasi-yilran* (Tehran, 1347 Sh./1968), p. 94.
8. Articles 35 through 57 of the Supplementary Constitutional Laws approved on October 7, 1906 relate to „the rights of the throne.” See E.G. Browne, *The Persian Revolution of 1905-1909* (Cambridge, 1911), pp. 337-379.
9. In the seventh year of the Islamic era, the Prophet Muhammad wrote not only to Heraclius and the ruler of Iran (probably Parviz), but also to the rulers of Egypt and Abyssinia, inviting them all to embrace Islam and abandon unjust rule. See Muhammad Hamidullah, *Le Prophete de l'Islam* (Paris, 1959), I, 196-197, 212, 250, 241.
10. The Lord of the Martyrs: Imam Husayn, grandson of the Prophet.
11. In 60/680, Imam Husayn refused to swear allegiance to Yazid, son of Mu'awiya and second caliph of the Umayyad dynasty, since Yazid did not possess legitimate authority and had succeeded to the caliphate by hereditary succession. The ensuing death of the Imam in battle at Karbala has always been commemorated by Shi'i Muslims as the supreme example of martyrdom in the face of tyranny. It served as an important point of both ideological and emotive reference throughout the Islamic Revolution in Iran.
12. No detailed study has yet been made of the British role in the early part of the constitutional movement. Some of the relevant documents, however, are to be found in General Report on Persia for the Year 1906 (file F.O. 416/50, Public Records Office, London).
13. Shari'a: the all-embracing law of Islam derived from the Qur'an, the normative practice and authoritative pronouncements of the Prophet, and a number of secondary sources.
14. A law promulgated in July 1969 provided the death penalty for anyone in possession of more than two kilograms of opium or ten grams of heroin, morphine, or cocaine. The first ten executions were carried out in December 1969 and by 1974, 236 people had been executed on charges under this law. See Ulrich Gehrke, *Iran: Natur, Beuolkerung, Geschichte, Kultur, Staat, Wirtschaft* (Tubingen and Basel, 1976), p. 281. It is probable that the law was also used to provide a cover for the execution of political prisoners who had no involvement with narcotics. Concerning the royal family's own involvement in the drug trade, see p. 165, n. 167.
15. We have not been able to determine whether this is an allusion to a particular school established by foreigners. Before the Islamic Revolution, there were a number of foreign-run schools in Iran - secular and missionary - that in effect alienated their students from Islamic culture and society.
16. Taqiya: prudential dissimulation of one's true beliefs under conditions of acute danger, a practice based on Qur'an, 5:28. For a fuller discussion of taqiya, see 'Allamah Tabataba'i, *Shi'ite Islam* (Albany, N.Y., 1975), pp. 223-225, and also p. 144 of the present work.
17. This is a reference to an earlier and briefer series of talks given by Imam Khomeini on the subject of Islamic government. The Iranian embassy in Baghdad had sought to prevent the published text of those talks from being distributed.
18. The Commander of the Faithful: 'All ibn Abi Talib, cousin and son-in-law of the Prophet, and first of the Twelve Imams of Shi'i belief. He exercised rule from 35 656 until his martyrdom in 40 661.
19. Imam Hasan: son of Imam 'All and second of the Imams. He died in 50.670 after spending most of his life in seclusion in Cledina.

20. Imam Baqir: the fifth Imam. He was born in .i5 675 and spent most of his life in Medina, dying there in 11·1 732.
21. The „quality of justice” that is demanded of a religious scholar includes not only the practice of equity in all social dealings, but also complete abstention from major sins, the consistent performance of all devotional duties, and the avoidance of conduct incompatible with decorum.
22. „O Messenger! Proclaim what has been revealed to you by your Lord, for if you do not, you will not have fulfilled the mission He has entrusted to you” (5:70).
23. Xenomaniacs: those infatuated with foreign and especially western models of culture. This is a translation of a Persian term, *gharbzadaha*, popularized by Jalal Al-i Ahmad(d. 1969) in his book *Gharbadngi* (“Xenomania”). He was a writer of great influence and Imam Khomeini was acquainted with his work. See the commemorative supplement on Jalal Al-i Ahmad in the Tehran daily newspaper *Jumh uri-yi Islam i*, *Shahrivar* 20, 1359, October 12, 1980, p. 10.
24. Azan: the call to prayer.

Chapter II – THE NECESSITY FOR ISLAMIC GOVERNMENT

A BODY OF LAWS ALONE is not sufficient for a society to be reformed. In order for law to ensure the reform and happiness of man, there must be an executive power and an executor. For this reason, God Almighty, in addition to revealing a body of law (i.e., the ordinances of the shari'a), has laid down a particular form of government together with executive and administrative institutions.

The Most Noble Messenger (peace and blessings be upon him) headed the executive and administrative institutions of Muslim society. In addition to conveying the revelation and expounding and interpreting the articles of faith and the ordinances and institutions of Islam, he undertook the implementation of law and the establishment of the ordinances of Islam, thereby bringing into being the Islamic state. He did not content himself with the promulgation of law; rather, he implemented it at the same time, cutting off hands and administering lashings and stonings. After the Most Noble Messenger, his successor had the same duty and function. When the Prophet appointed a successor, it was not for the purpose of expounding articles of faith and law; it was for the implementation of law and the execution of God's ordinances.

It was this function - the execution of law and the establishment of Islamic institutions - that made the appointment of a successor such an important matter that the Prophet would have failed to fulfill his mission if he had neglected it. For after the Prophet, the Muslims still needed someone to execute laws and establish the institutions of Islam in society, so that they might attain happiness in this world and the hereafter.

By their very nature, in fact, law and social institutions require the existence of an executor. It has always and everywhere been the case that legislation alone has little benefit: legislation by itself cannot assure the well-being of man. After the establishment of legislation, an executive power must come into being, a power that implements the laws and the verdicts given by the courts, thus allowing people to benefit from the laws and the just sentences the courts deliver. Islam has therefore established an executive people in the same way that it has brought laws into being. The person who holds this executive power is known as the *valiamr*. [25]

The Sunna [26] and path of the Prophet constitute a proof of the necessity for establishing government. First, he himself established a government, as history testifies. He engaged in the implementation of laws, the establishment of the ordinances of Islam, and the administration of society. He sent out governors to different regions; both sat in judgment himself and appointed judges; dispatched emissaries to foreign states, tribal chieftains, and kings; concluded treaties and pacts; and took command in battle. In short, he fulfilled all the functions of government. Second, he designated a ruler to succeed him, in accordance with divine command. If God Almighty, through the Prophet, designated a man who was to rule over Muslim society after him, this is in itself an indication that government remains a necessity after the departure of the Prophet from this world. Again, since the Most Noble Messenger promulgated the divine command through his act of appointing a successor, he also implicitly stated the necessity for establishing a government.

It is self-evident that the necessity for enactment of the law, which necessitated the formation of a government by the Prophet (upon whom be peace), was not confined or restricted to his time, but continues after his departure from this world. According to one of the noble verses of the Qur'an, the ordinances of Islam are not limited with respect to time or place; they are permanent and must be enacted until the end of time. They were not revealed merely for the time of the Prophet, only to be abandoned thereafter, with retribution and the penal code of Islam no longer to be enacted, or the taxes prescribed by Islam no longer collected, and the defense of the lands and people of Islam suspended. The claim that the laws of Islam may remain in abeyance or are restricted to a particular time or place is contrary to the essential credal bases of Islam. Since the enactment of laws, then, is necessary after the departure of the Prophet from this world, and indeed, will remain so until the end of time, the formation of a government and the establishment of executive and administrative organs are also necessary. Without the formation of a government and the establishment of such organs to ensure that through enactment of the law, all activities of the individual take place in the framework of a just system, chaos and anarchy will prevail and social, intellectual, and moral corruption will arise. The only way to prevent the emergence of anarchy and disorder and to protect society from corruption is to form a government and thus impart order to all the affairs of the country.

Both reason and divine law, then, demonstrate the necessity in our time for what was necessary during the lifetime of the Prophet and the age of the Commander of the Faithful, 'Ali ibn Abi Talib (peace be upon them) - namely the formation of a government and the establishment of executive and administrative organs.

In order to clarify the matter further, let us pose the following questions: From the time of the Lesser Occultation [27] down to the present (a period of more than twelve centuries that may continue for hundreds of millenia if it is not appropriate for the Occulted Imam to manifest himself), is it proper that the laws of Islam be cast aside and remain unexecuted, so that everyone acts as he pleases and anarchy prevails! Were the laws that the Prophet of Islam labored so hard for twenty-three years to set forth, promulgate, and execute valid only for a limited period of time! Did God limit the validity of His laws to two hundred years! Was everything pertaining to Islam meant to be abandoned after the Lesser Occultation? Anyone who believes so, or voices such a belief, is worse situated than the person who believes and proclaims that Islam has been superseded or abrogated by another supposed revelation. [28]

No one can say it is no longer necessary to defend the frontiers and the territorial integrity of the Islamic homeland; that taxes such as the *jizga*, *kharaj*, *khums* and *zakat* [29] should no longer be collected; that the penal code of Islam, with its provisions for the payment of blood money and the exacting of requital, should be suspended. Any person who claims that the formation of an Islamic government is not necessary implicitly denies the necessity for the implementation of Islamic law, the universality and comprehensiveness of that law, and the eternal validity of the faith itself.

After the death of the Most Noble Messenger (peace and blessings be upon him), none of the Muslims doubted the necessity for government. No one said: „We no longer need a government." No one was heard to say anything of the kind. There was unanimous agreement concerning the necessity for government. There was disagreement only as to which person should assume responsibility for government and head the state. Government, therefore, was established after the Prophet (upon whom be peace and blessings), both in the time of the caliphs and in that of the Commander of the Faithful (peace be upon him); an apparatus of government came into existence with administrative and executive organs.

The nature and character of Islamic law and the divine ordinances of the shari'a furnish additional proof of the necessity for establishing government, for they indicate that the laws were laid down for the purpose of creating a state and administering the political, economic, and cultural affairs of society.

First, the laws of the shari'a embrace a diverse body of laws and regulations, which amounts to a complete social system. In this system of laws, all the needs of man have been met: his dealings with his neighbors, fellow citizens, and dan, as well as children and relatives; the concerns of private and marital life; regulations concerning war and peace and intercourse with other nations; penal and commercial law; and regulations pertaining to trade and agriculture. Islamic law contains provisions relating to the preliminaries of marriage and the form in which it should be contracted, and others relating to the

development of the embryo in the womb and what food the parents should eat at the time of conception. It further stipulates the duties that are incumbent upon them while the infant is being suckled, and specifies how the child should be reared, and how the husband and the wife should relate to each other and to their children.

Islam provides laws and instructions for all of these matters, aiming, as it does, to produce integrated and virtuous human beings who are walking embodiments of the law, or to put it differently the law's voluntary and instinctive executors. It is obvious, then, how much care Islam devotes to government and the political and economic relations of society, with the goal of creating conditions conducive to the production of morally upright and virtuous human beings.

The Glorious Qur'an and the Sunna contain all the laws and ordinances man needs in order to attain happiness and the perfection of his state. The book al-Kafi [30] has a chapter entitled, „All the Needs of Men Are Set Out in the Book and the Sunna,” the „Book” meaning the Qur'an, which is, in its own words, „an exposition of all things.” [31] According to certain traditions, the Imam [32] also swears that the Book and the Sunna contain without a doubt all that men need.

Second, if we examine closely the nature and character of the provisions of the law, we realize that their execution and implementation depend upon the formation of a government, and that it is impossible to fulfill the duty of executing God's commands without there being established properly comprehensive administrative and executive organs. Let us now mention certain types of provision in order to illustrate this point; the others you can examine yourselves.

The taxes Islam levies and the form of budget it has established are not merely for the sake of providing subsistence to the poor or feeding the indigent among the descendants of the Prophet (peace and blessings be upon him); they are also intended to make possible the establishment of a great government and to assure its essential expenditures.

For example, khums is a huge source of income that accrues to the treasures and represents one item in the budget. According to our Shi'i school of thought, khums is to be levied in an equitable manner on all agricultural and commercial profits and all natural resources whether above or below the ground - in short, on all forms of wealth and income. It applies equally to the green grocer with his stall outside this mosque and to the shipping or mining magnate. They must all pay one-fifth of their surplus income, after customary expenses are deducted, to the Islamic ruler so that it enters the treasury. It is obvious that such a huge income serves the purpose of administering the Islamic state and meeting all its financial needs. If we were to calculate one-fifth of the surplus income of all the Muslim countries (or of the whole world, should it enter the fold of Islam), it would become fully apparent that the purpose for the imposition of such a tax is not merely the upkeep of the sayyids [33] or the religious scholars, but on the contrary, something far more significant - namely, meeting the financial needs of the great organs and institutions of government. If an Islamic government is achieved, it will have to be administered on the basis of the taxes that Islam has established - khums, zakat (this, of course, would not represent an appreciable sum), [34] jizya, and kharaj.

How could the sayyids ever need so vast a budget! The khums of the bazaar of Baghdad would be enough for the needs of the sayyids and the upkeep of the religious teaching institution, as well as all the poor of the Islamic world, quite apart from the khums of the bazaars of Tehran, Istanbul, Cairo, and other cities.

The provision of such a huge budget must obviously be for the purpose of forming a government and administering the Islamic lands. It was established with the aim of providing for the needs of the people, for public services relating to health, education, defense, and economic development. Further, in accordance with the procedures laid down by Islam for the collection, preservation, and expenditure of this income, all forms of usurpation and embezzlement of public wealth have been forbidden, so that the head of state and all those entrusted with responsibility for conducting public affairs (i.e., members of the government) have no privileges over the ordinary citizen in benefiting from the public income and wealth; all have an equal share.

Now, should we cast this huge treasury into the ocean, or bury it until the Imam returns, or just spend it on fifty sayyids a day until they have all eaten their fill. Let us suppose we give all this money to 500,000 sayyids; they would not know what to do with it. We all know that the sayyids and the poor have a claim on the public treasury only to the extent required for subsistence. The budget of the

Islamic state is constructed in such a way that every source of income is allocated, specific types of expenditures.

Zakat, voluntary contributions and charitable donations, and khums are all levied and spent separately. There is a hadith to the effect that at the end of the year, sayyids must return any surplus from what they have received to the Islamic ruler, just as the ruler must aid them if they are in need.

The jizya, which is imposed on the ahl adh-dhimma, [35] and the kharaj, which is levied on agricultural land, represent two additional sources of considerable income. The establishment of these taxes also proves that the existence of a ruler and a government is necessary. It is the duty of a ruler or governor to assess the poll-tax to be levied on the ahl adh-dhimma in accordance with their income and financial capacity, and to fix appropriate taxes on their arable lands and livestock. He must also collect the kharaj on those broad lands that are the „property of God” and in the possession of the Islamic state. This task requires the existence of orderly institutions, rules and regulations, and administrative processes and policies; it cannot be fulfilled in the absence of order.

It is the responsibility of those in charge of the Islamic state, first, to assess the taxes in due and appropriate measure and in accordance with the public good; then, to collect them; and finally, to spend them in a manner conducive to the welfare of the Muslims.

Thus, you see that the fiscal provisions of Islam also point to the necessity for establishing a government, for they cannot be fulfilled without the establishment of the appropriate Islamic institutions.

The ordinances pertaining to preservation of the Islamic order and defense of the territorial integrity and the independence of the Islamic umma [36] also demanded the formation of a government. An example is the command: „Prepare against them whatever force you can muster and horses tethered” (Qur'an, 8:60), which enjoins the preparation of as much armed defensive force as possible and orders the Muslims to be always on the alert and at the ready, even in time of peace.

If the Muslims had acted in accordance with this command and, after forming a government, made the necessary extensive preparations to be in a state of full readiness for war, a handful of Jews would never have dared to occupy our lands, and to burn and destroy the Masjid al-Aqsa [37] without the people's being capable of making an immediate response. All this has resulted from the failure of the Muslims to fulfill their duty of executing God's law and setting up a righteous and respectable government. If the rulers of the Muslim countries truly represented the believers and enacted God's ordinances, they would set aside their petty differences, abandon their subversive and divisive activities, and join together like the fingers of one hand. Then a handful of wretched Jews (the agents of America, Britain, and other foreign powers) would never have been able to accomplish what they have, no matter how much support they enjoyed from America and Britain. All this has happened because of the incompetence of those who rule over the Muslims.

The verse: „Prepare against them whatever force you can muster” commands you to be as strong and well-prepared as possible, so that your enemies will be unable to oppress you and transgress against you. It is because we have been lacking in unity, strength, and preparedness that we suffer oppression and are at the mercy of foreign aggressors.

There are numerous provisions of the law that cannot be implemented without the establishment of a governmental apparatus; for example, blood money, which must be exacted and delivered to those deserving it, or the corporeal penalties imposed by the law, which must be carried out under the supervision of the Islamic ruler. All of these laws refer back to the institutions of government, for it is governmental power alone that is capable of fulfilling this function.

After the death of the Most Noble Messenger (peace and blessings be upon him), the obstinate enemies of the faith, the Umayyads [38] (God's curses be upon them) did not permit the Islamic state to attain stability with the rule of ‘All ibn Abi Talib (upon whom be peace). They did not allow a form of government to exist that was pleasing to God, Exalted and Almighty, and to his Most Noble Messenger. They transformed the entire basis of government, and their policies were, for the most part, contradictory to Islam. The form of government of the Umayyads and the Abbasids, [39] and the political and administrative policies they pursued, were anti-Islamic. The form of government was thoroughly perverted by being transformed into a monarchy, like those of the kings of Iran, the

emperors of Rome, and the pharaohs of Egypt.

For the most part, this non-Islamic form of government has persisted to the present day, as we can see. Both law and reason require that we not permit governments to retain this non-Islamic or anti-Islamic character. The proofs are clear. First, the existence of a non-Islamic political order necessarily results in the non-implementation of the Islamic political order. Then, all non-Islamic systems of government are the systems of kufr, [40] since the ruler in each case is an instance of taghut, [41] and it is our duty to remove from the life of Muslim society all traces of kufr and destroy them. It is also our duty to create a favorable social environment for the education of believing and virtuous individuals, an environment that is in total contradiction with that produced by the rule of taghut and illegitimate power.

The social environment created by taghut and shirk [42] invariably brings about corruption such as you can now observe in Iran, the corruption termed „corruption on earth.” [43] This corruption must be swept away, and its instigators punished for their deeds. It is the same corruption that the Pharaoh generated in Egypt with his policies, so that the Qur'an says of him, „Truly he was among the corruptors” (28:4). A believing, pious, just individual cannot possibly exist in a socio-political environment of this nature and still maintain his faith and righteous conduct. He is faced with two choices: either he commits acts that amount to kufr and contradict righteousness, or in order not to commit such acts and not to submit to the orders and commands of the taghut, the just individual opposes him and struggles against him in order to destroy the environment of corruption. We have in reality, then, no choice but to destroy those systems of government that are corrupt in themselves and also entail the corruption of others, and to overthrow all treacherous, corrupt, oppressive, and criminal regimes.

This is a duty that all Muslims must fulfill, in every one of the Muslim countries, in order to achieve the triumphant political revolution of Islam.

We see, too, that together, the imperialists and the tyrannical self-seeking rulers have divided the Islamic homeland. They have separated the various segments of the Islamic umma from each other and artificially created separate nations. There once existed the great Ottoman State, and that, too, the imperialists divided.

Russia, Britain, Austria, and other imperialists united, and through wars against the Ottomans, each came to occupy or absorb into its sphere of influence part of the Ottoman realm.

It is true that most of the Ottoman rulers were incompetent, that some of them were corrupt, and that they followed a monarchical system. Nonetheless, the existence of the Ottoman State represented a threat to the imperialists. It was always possible that righteous individuals might rise up among the people and, with their assistance, seize control of the state, thus putting an end to imperialism by mobilizing the unified resources of the nation.

Therefore, after numerous prior wars, the imperialists at the end of World War I divided the Ottoman State, creating in its territories about ten or fifteen petty states. [44] Then each of these was entrusted to one of their servants or a group of their servants, although certain countries were later able to escape the grasp of the agents of imperialism.

In order to assure the unity of the Islamic umma, in order to liberate the Islamic homeland from occupation and penetration by the imperialists and their puppet governments, it is imperative that we establish a government. In order to attain the unity and freedom of the Muslim peoples, we must overthrow the oppressive governments installed by the imperialists and bring into existence an Islamic government of justice that will be in the service of the people. The formation of such a government will serve to preserve the disciplined unity of the Muslims; just as Fatimat az Zahra [45] (upon whom be peace) said in her address: „The Imamate exists for the sake of preserving order among the Muslims and replacing their disunity with unity.”

Through the political agents they have placed in power over the people, the imperialists have also imposed on us an unjust economic order, and thereby divided our people into two groups: oppressors and oppressed. Hundreds of millions of Muslims are hungry and deprived of all form of health care and education, while minorities comprised of the wealthy and powerful live a life of indulgence, licentiousness, and corruption. The hungry and deprived have constantly struggled to free themselves from the oppression of their plundering overlords, and their struggle continues to this day. But their way is blocked by the ruling minorities and the oppressive governmental structures they head. It is our

duty to save the oppressed and deprived. It is our duty to be a helper to the oppressed and an enemy to the oppressor. This is nothing other than the duty that the Commander of the Faithful (upon whom be peace) entrusted to his two great offspring [46] in his celebrated testament: „Be an enemy to the oppressor and a helper to the oppressed.”

The scholars of Islam have a duty to struggle against all attempts by the oppressors to establish a monopoly over the sources of wealth or to make illicit use of them. They must not allow the masses to remain hungry and deprived while plundering oppressors usurp the sources of wealth and live in opulence. The Commander of the Faithful (upon whom be peace) says: „I have accepted the task of government because God, Exalted and Almighty, has exacted from the scholars of Islam a pledge not to sit silent and idle in the face of the gluttony and plundering of the oppressors, on the one hand, and the hunger and deprivation of the oppressed, on the other.” Here is the full text of the passage we refer to:

„I swear by Him who causes the seed to open and creates the souls of all living things that were it not for the presence of those who have come to swear allegiance to me, were it not for the obligation of rulership now imposed upon me by the availability of aid and support, and were it not for the pledge that God has taken from the scholars of Islam not to remain silent in the face of the gluttony and plundering of the oppressors, on the one hand, and the harrowing hunger and deprivation of the oppressed, on the other hand - were it not for all of this, then I would abandon the reins of government and in no way seek it. You would see that this world of yours, with all of its position and rank, is less in my eyes than the moisture that comes from the sneeze of a goat." [47]

How can we stay silent and idle today when we see that a band of traitors and usurpers, the agents of foreign powers, have appropriated the wealth and the fruits of labor of hundreds of millions of Muslims - thanks to the support of their masters and through the power of the bayonet - granting the Muslims not the least right to prosperity! It is the duty of Islamic: scholars and all Muslims to put an end to this system of oppression and, for the sake of the well-being of hundreds of millions of human beings, to overthrow these oppressive governments and form an Islamic government.

Reason, the law of Islam, the practice of the Prophet (upon whom be peace and blessings) and that of the Commander of the Faithful (upon whom be peace), the purport of various Qur'anic verses and Prophetic traditions - all indicate the necessity of forming a government. As an example of the traditions of the Imams, I now quote the following tradition of Imam Riza [48] (upon whom be peace): ‘Abd al-Wahid ibn Muhammad ibn ‘Abdus an-Nisaburi al-‘Attar said, „I was told by Abu'l-Hasan ‘All ibn Muhammad ihn Qutayba al-Naysaburi that he was told by hbu Muhammad al-Fadl ibn Shadhan al-Naysaburi this tradition. If someone asks, 'Why has God, the All Wise, appointed the holders of authority and commanded us to obey them?' then we answer, ‘For numerous reasons. One reason is this: Men are commanded to observe certain limits and not to transgress them in order to avoid the corruption that would result. This cannot be attained or established without there being appointed over them a trustee who will ensure that they remain within the limits of the licit and prevent them from casting themselves into the danger of transgression. Were it not for such a trustee, no one would abandon his own pleasure and benefit because of the corruption it might entail for another. Another reason is that we find no group or nation of men that ever existed without a ruler and leader, since it is required by both religion and worldly interest. It would not be compatible with divine wisdom to leave mankind to its own devices, for He, the All-Wise, knows that men need a ruler for their survival. It is through the leadership he provides that men make war against their enemies, divide among themselves the spoils of war, and preserve their communal solidarity, preventing the oppression of the oppressed by the oppressor.

„A further reason is this: were God not to appoint over men a solicitous, trustworthy, protecting, reliable leader, the community would decline, religion would depart, and the norms and ordinances that have been revealed would undergo change. Innovators would increase and deniers would erode religion, inducing doubt in the Muslims. For we see that men are needy and defective, judging by their differences of opinion and inclination and their diversity of slate. Were a trustee, then, not appointed to preserved what has been revealed through the Prophet, corruption would ensue in the manner we have described.

Revealed laws, norms, ordinances, and faith would he altogether changed, and therein would lie the

corruption of all mankind., [49]

We have omitted the first part of the hadith, which pertains to prophethood, a topic not germane to our present discussion. What interests us at present is the second half, which I will now paraphrase for you.

If someone should ask you, „Why has God, the All-Wise, appointed holders of authority and commanded you to obey them?“ you should answer him as follows: „He has done so for various causes and reasons. One is that men have been set upon a certain well-defined path and commanded not to stray from it, nor to transgress against the established limits and norms, for if they were to stray, they would fall prey to corruption. Now men would not be able to keep to their ordained path and to enact God's laws unless a trustworthy and protective individual (or power) were appointed over them with responsibility for this matter, to prevent them from stepping outside the sphere of the licit and transgressing against the rights of others. If no such restraining individual or power were appointed, nobody would voluntarily abandon any pleasure or interest of his own that might result in harm or corruption to others; everybody would engage in oppressing and harming others for the sake of their own pleasures and interests.

„Another reason and cause is this: we do not see a single group, nation, or religious community that has ever been able to exist without an individual entrusted with the maintenance of its laws and institutions - in short, a head or a leader; for such a person is essential for fulfilling the affairs of religion and the world. It is not permissible, therefore, according to divine wisdom, that God should leave men, His creatures, without a leader and guide, for He knows well that they depend-on the existence of such a person for their own survival and perpetuation. It is under his leadership that they fight against their enemies, divide the public income among themselves, perform Friday and congregational prayer, anti foreshorten the arms of the transgressors who would encroach oil the rights of the oppressed.

„Another proof and cause is this: were God not to appoint an Imam over men to maintain law and order, to serve the people faithfully as a vigilant trustee, religion would fall victim to obsolescence and decay. Its rites and institutions would vanish; the customs and ordinances of Islam would be transformed or even deformed. Heretical innovators would add things to religion and atheists and unbelievers would subtract things from it, presenting it to the Muslims in an inaccurate manner. For we see that men are prey to defects; they are not perfect and must needs strive after perfection. Moreover, they disagree with each other, having varying inclinations and discordant states. If God, therefore, had not appointed over men one who would maintain order and law and protect the revelation brought by the Prophet, in the manner we have described, men would fall prey to corruption; the institutions, laws, customs, and ordinances of Islam would be transformed; and faith and its content would be completely changed, resulting in the corruption of all humanity.”

As you can deduce from the words of the Imam (upon whom be peace), there are numerous proofs and causes that necessitate formation of a government and establishment of an authority.

These proofs, causes, and arguments are not temporary in their validity or limited to a particular time, and the necessity for the formation of a government, therefore, is perpetual. For example, it will always happen that men overstep the limits laid down by Islam and transgress against the rights of others for the sake of their personal pleasure and benefit. It cannot be asserted that such was the case only in the time of the Commander of the Faithful (upon whom be peace) and that afterwards, men became angels.

The wisdom of the Creator has decreed that men should live in accordance with justice and act within the limits set by divine law.

This wisdom is eternal and immutable, and constitutes one of the norms of God Almighty. Today and always, therefore, the existence of a holder of authority, a ruler who acts as trustee and maintains the institutions and laws of Islam, is a necessity - a ruler who prevents cruelty, oppression, and violation of the rights of others; who is a trustworthy and vigilant guardian of God's creatures; who guides men to the teachings, doctrines, laws, and institutions of Islam; and who prevents the undesirable changes that atheists and the enemies of religion wish to introduce in the laws and institutions of Islam. Did not the caliphate of the Commander Of the Faithful serve this purpose? The same factors of necessity that led him to become the Imam still exist; the only difference is that no single individual has been designated for the task. [50] The principle of the necessity of government has been made a general one, so that it

will always remain in effect.

If the ordinances of Islam are to remain in effect, then, if encroachment by oppressive ruling classes on the rights of the weak is to be prevented, if ruling minorities are not to be permitted to plunder and corrupt the people for the sake of pleasure and material interest, if the Islamic order is to be preserved and all individuals are to pursue the just path of Islam without any deviation, if innovation and the approval of anti-Islamic laws by sham parliaments [51] are to be prevented, if the influence of foreign powers in the Islamic lands is to be destroyed-government is necessary. None of these aims can be achieved without government and the organs of the state. It is a righteous government, of course, that is needed, one presided over by a ruler who will be a trustworthy and righteous trustee. Those who presently govern us are of no use at all for they are tyrannical, corrupt, and highly incompetent.

In the past we did not act in unanimity in order to establish proper government and over-throw treacherous and corrupt rulers. Some people were apathetic and reluctant even to discuss the theory of Islamic government, and some went so far as to praise oppressive rulers. It is for this reason that we find ourselves in the present state. The influence and sovereignty of Islam in society have declined; the nation of Islam has fallen victim to division and weakness; the laws of Islam have been subjected to change and modification; and the imperialists have propagated foreign laws and alien culture among the Muslims through their agents for the sake of their evil purposes, causing people to be infatuated with the West. It was our lack of a leader, a guardian, and our lack of institutions of leadership that made all this possible. We need righteous and proper organs of government; that much is self-evident.

Notes

25. Valiamr: „the one who holds authority,” a term derived from Qur'an, 4:59: „O you who believe Obey God, and obey the Messenger and the holders of authority (uli 'l-amr) from among you.

26. Sunna: the practice of the Prophet, accepted by Muslims as the norm and ideal for all human behavior.

27. Lesser Occultation: *ghaybat-i sughra*, the period of about 70 years (260/872-329/939) when, according to Shi'i belief, Muhammad al-Mahdi, the Twelfth Imam, absented himself from the physical plane but remained in communication with his followers through a succession of four appointed deputies. At the death of the fourth deputy no successor was named, and the Greater Occultation (*ghaybat-i kubra*) began, and continues to this day.

28. The allusion is probably to the Baha'is, who claim to have received a succession of post-Qur'anic revelations.

30. al-Kafi: one of the most important collections of Shi'i hadith, compiled by Shaykh Abu Ja'far al-Kulayni (d. 329/941). Two fascicules of this work have recently been translated into English by Sayyid Muhammad Hasan Rizvi and published in Tehran.

29. Jizya: a tax levied on non-Muslim citizens of the Muslim state in exchange for the protection they receive and in lieu of the taxes, such as zakat, that only Muslims pay. Kharaj: a tax levied on certain categories of land. Khums: a tax consisting of one-fifth of agricultural and commercial profits (see p. 44). Zakat: the tax levied on various categories of wealth and spent on the purposes specified in Qur'an, 9:60.

31. Qur'an, 16:89.

32. The reference is probably to Imam Ja'far as-Sadiq, whose sayings on this subject are quoted by 'Allama Tabataba in *al-Mizan fi Tafsir al-Qur'an* (Beirut, 1390/1979), XII, 527-328.

33. Sayyids: the descendants of the Prophet through his daughter Fatima and son-in-law 'All, the first of the Twelve Imams.

34. Zakat would not represent an appreciable sum presumably because it is levied on surplus wealth, the accumulation of which is inhibited by the economic system of Islam.

35. *Ahl adh-dhimma*: non-muslim citizens of the Muslim state, whose rights and obligations are contractually determined.

36. *Umma*: the entire Islamic community, without territorial or ethnic distinction.

37. *Masjid al-Aqsa*: the site in Jerusalem where the Prophet ascended to heaven in the eleventh year of his mission (Qur'an, 17:1); also the complex of mosques and buildings erected on the site. The chief of

- these was extensively damaged by arson in 1969, two years after the Zionist usurpation of Jerusalem.
38. Umayyads: members of the dynasty that ruled at Damascus from 41/632 until 132/750 and transformed the caliphate into a hereditary institution. Mu'awiya, frequently mentioned in these pages, was the first of the Umayyad line.
39. Abbasids: the dynasty that replaced the Umayyads and established a new caliphal capital in Baghdad. With the rise of various local rulers, generally of military origin, the power of the Abbasids began to decline in the fourth/tenth century and it was brought to an end by the Mongol conquest in 656/1258.
40. Kufr: the rejection of divine guidance; the antithesis of Islam.
41. Taghut: one who surpasses all bounds in his despotism and tyranny and claims the prerogatives of divinity for himself, whether explicitly or implicitly. See also p. 92.
42. Shirk: the assignment of partners to God, either by believing in a multiplicity of gods, or by assigning divine attributes and prerogatives to other-than-God.
43. "Corruption on earth": a broad term including not only moral corruption, but also subversion of the public good, embezzlement and usurpation of public wealth, conspiring with the enemies of the community against its security, and working in general for the overthrow of the Islamic order. See the commentary on Qur'an, 5:33 in Tabataba'i, al-Mizan, V, 330-332.
44. It may be apposite to quote here the following passage from a secret report drawn up in January 1916 by T.E. Lawrence, the British organizer of the so-called Arab revolt led by Sharif Husayn of Mecca: „Husayn's activity seems beneficial to us, because it marches with our immediate aims, the breakup of the Islamic blee and the defeat and disruption of the Ottoman Empire.... The Arabs are even less stable than the Turks. If properly handled they would remain in a state of political mosaic, a tissue of small jealous principalities incapable of political cohesion." See Philip Knightley and Colin Simpson, *The Secret Liuess of Lawrence of Arabia* (New York, 1971), P. 55.
45. Fatimat az-Zahra: Fatima, the daughter of the Prophet and wife of Imam 'All.
46. I.e., Hasan and Husayn.
47. See *Nahj al-Balagha*, ed. Subhi as-Salih' (Beirut, 1397/1967)
48. Imam Riza: eighth of the Twelve Imams. According to Shi'i belief, he was poisoned by the Abbasid caliph Ma'mun, who had appointed him as his successor at first, but then grew fearful of the wide following he commanded (see p. 148). His shrine in Mashhad is one of the principal centers of pilgrimage and religious learning in Iran.
49. The text of this tradition is to be found in Shaykh Sadduq'llal ash-Shnra'iii (Qum, 1378/1958), I, 183.
50. That is, in the absence of the Imam or an individual deputy named by him (as was the case during the Lesser Occultation), the task devolves upon the fuqaha as a class. See argument on pp. 62-125.
51. Here the allusion may be in particular to the so-called Family Protection Law of 1967, which Imam Khomeini denounced as contrary to Islam in an important ruling. See Imam Khomeini, *Tauzih al-Masa'il*, n.p., n.d., pp. 462-463, par. 2836, and p. 441

Chapter III THE FORM OF ISLAMIC GOVERNMENT

ISLAMIC GOVERNMENT does not correspond to any of the existing forms of government. For example, it is not a tyranny, where the head of state can deal arbitrarily with the property and lives of the people, making use of them as he wills, putting to death any one he wishes, and enriching anyone he wishes by granting landed estates and distributing the property and holdings of the people.

The Most Noble Messenger (peace be upon him), the Commander of the Faithful (peace be upon him), and the other caliphs did not have such powers. Islamic government is, neither tyrannical nor absolute, but constitutional. It is not constitutional in the current sense of the word, i.e., based on the approval of laws in accordance with opinion of the majority. It is constitutional in the sense that the rulers are subject to a certain set of conditions in governing and administering the country, conditions: that are set forth in the Noble Qur'an and the Sunna of the Most Noble Messenger.

It is the laws and ordinances of Islam comprising this set of conditions that must be observed and practiced. Islamic government may therefore be defined as the rule of divine law over men.

The fundamental difference between Islamic government, on the one hand, and constitutional monarchies and republics, on the other, is this: whereas the representatives of the people or the monarch in such regimes engage in legislation, in Islam the legislative power and competence to establish laws belongs exclusively to God Almighty. The Sacred Legislator of Islam is the sole legislative power. No one has the right to legislate and no law may be executed except the law of the Divine Legislator.

It is for this reason that in an Islamic government, simple planning body takes the place of the legislative assembly that is one of the three branches of government. This body draws up programs for the different ministries in the light of the ordinances of Islam and thereby determines how public services are to be provided across the country.

The body of Islamic laws that exist in the Qur'an and the Sunna has been accepted by the Muslims and recognized by them as worthy of obedience. This consent and acceptance facilitates the task of government and makes it truly belong to the people.

In contrast, in a republic or a constitutional monarchy, most of those claiming to be representatives of the majority of the people will approve anything they wish as law and then impose it on the entire population.

Islamic government is a government of law. In this form of government, sovereignty belongs to God alone and law is His decree and command. The law of Islam, divine command, has absolute authority over all individuals and the Islamic government. Everyone, including the Most Noble Messenger (peace be upon him) and his successors, is subject to law and will remain so for all eternity - the law that has been revealed by God, Almighty and Exalted, and expounded by the tongue of the Qur'an and the Most Noble Messenger. If the Prophet assumed the task of divine viceregency upon earth, it was in accordance with divine command. God, Almighty and Exalted, appointed him as His viceregent, „the viceregent of God upon earth”; he did not establish a government on his own initiative in order to be leader of the Muslims. Similarly, when it became apparent that disagreements would probably arise among the Muslims because their acquaintance with the faith was recent and limited, God Almighty charged the Prophet, by way of revelation, to clarify the question of succession immediately, there in the middle of the desert. Then the Most Noble Messenger (upon whom be peace) nominated the Commander of the Faithful (upon whom be peace) as his successor, in conformity and obedience to the law, not because he was his own son-in-law or had performed certain services, but because he was acting in obedience to God's law, as its executor. [52]

In Islam, then, government has the sense of adherence to law; it is law alone that rules over society. Even the limited powers given to the Most Noble Messenger (upon whom be peace) and those exercising rule after him have been conferred upon them by God. Whenever the Prophet expounded a certain matter or promulgated a certain injunction, he did so in obedience to divine law, a law that everyone without exception must obey and adhere to.

Divine law obtains both for the leader and the led; the sole law that is valid and imperative to apply is the law of God. Obedience to the Prophet also takes place in accordance with divine decree, for God says: „And obey the Messenger” (Qur'an, 4:59). Obedience to those entrusted with authority is also on the basis of divine decree: „And obey the holders of authority from among you” (Qur'an, 4:59). Individual opinion, even if it be that of the Prophet himself, cannot intervene in matters of government or divine law; here, all are subject to the will of God.

Islamic government is not a form of monarchy, especially not an imperial system. In that type of government, the rulers are empowered over the property and persons of those they rule and may dispose of them entirely as they wish. Islam has not the slightest connection with this form and method of government.

For this reason we find that in Islamic government, unlike monarchical and imperial regimes, there is not the slightest trace of vast palaces, opulent buildings, servants and retainers, private equerries, adjutants to the heir apparent, and all the other appurtenances of monarchy that consume as much as half of the national budget. You all know how the Prophet lived, the Prophet who was the head of the Islamic state and its ruler. The same mode of life was preserved by his successors until the beginning of

the Umayyad period. The first two successors to the Prophet adhered to his example in the outer conduct of their personal lives, even though in other affairs they committed errors, which led to the grave deviations that appeared in the time of 'Uthman, the same deviations that have inflicted on us these misfortunes of the present day. [53] In the time of the Commander of the Faithful (peace be upon him), the system of government was corrected and a proper form and method of rule were followed. Even though that excellent man ruled over a vast realm that included Iran, Egypt, Hijaz, [54] and the Yemen among its provinces, he lived more frugally, than the most impoverished of our students. According to tradition, he once bought two tunics, and finding one of them better than the other, he gave the better one to his servant Qanbar. The other he kept for himself, and since its sleeves were too long for him, he tore off the extra portion. In this torn garment the ruler of a great, populous, and prosperous realm clothed himself.

If this mode of conduct had been preserved, and government had retained its Islamic form, there would have been no monarchy and no empire, no usurpation of the lives and property of the people, no oppression and plunder, no encroachment on the public treasury, no vice and abomination. Most forms of corruption originate with the ruling class, the tyrannical ruling family and the libertines that associate with them. It is these rulers who establish centers of vice and corruption, who build centers of vice and wine-drinking, and spend the income of the religious endowments constructing cinemas. [55]

If it were not for these profligate royal ceremonies, [56] this reckless spending, this constant embezzlement, there would never be any deficit in the national budget forcing us to bow in submission before America and Britain and request aid or a loan from them. Our country has become needy on account of this reckless spending, this endless embezzlement, for are we lacking in oil? Do we have no minerals, no natural resources! We have everything, but this parasitism, this embezzlement, this profligacy - all at the expense of the people and the public treasury - have reduced us to a wretched state. Otherwise he [the Shah] would not need to go all the way to America and bow down before that ruffian's desk, begging for help.

In addition, superfluous bureaucracies and the system of file-keeping and paper-shuffling that is enforced in them, all of which are totally alien to Islam, impose further expenditures on our national budget not less in quantity than the illicit expenditures of the first category. This administrative system has nothing to do with Islam. These superfluous formalities, which cause our people nothing but expense, trouble, and delay, have no place in Islam. For example, the method established by Islam for enforcing people's rights, adjudicating disputes, and executing judgments is at once simple, practical, and swift. When the juridical methods of Islam were applied, the shari'a judge in each town, assisted only by two bailiffs and with only a pen and an ink pot at his disposal, would swiftly resolve disputes among people and send them about their business. But now the bureaucratic organization of the Ministry of Justice has attained unimaginable proportions, and is, in addition, quite incapable of producing results.

It is things like these that make our country needy and produce nothing but expense and delay.

The qualifications essential for the ruler derive directly from the nature and form of Islamic government. In addition to general qualifications like intelligence and administrative ability, there are two other essential qualifications: knowledge of the law and justice. [57]

After the death of the Prophet (upon whom be peace), differences arose concerning the identity of the person who was to succeed him, but all the Muslims were in agreement that his successor should be someone knowledgeable and accomplished; there was disagreement only as to his identity.

Since Islamic government is a government of law, knowledge of the law is necessary for the ruler, as has been laid down in tradition. Indeed such knowledge is necessary not only for the ruler, but also for anyone holding a post or exercising some government function. The ruler, however, must surpass all others in knowledge. In laying claim to the Imamate, our Imams also argued that the ruler must be more learned than everyone else. The objections raised by the Shi'i ulama are also to the same effect. A certain person asked the caliph a point of law and he was unable to answer; he was therefore unfit for the position of leader and successor to the Prophet. Or again, a certain act he performed was contrary to the laws of Islam; hence he was unworthy of his high post. [58]

Knowledge of the law and justice, then, constitute fundamental qualifications in the view of the Muslims. Other matters have no importance or relevance in this connection. Knowledge of the nature

of the angels, for example, or of the attributes of the Creator, Exalted and Almighty, is of no relevance to the question of leadership. In the same vein, one who knows all the natural sciences, uncovers all the secrets of nature, or has a good knowledge of music does not thereby qualify for leadership or acquire any priority in the matter of exercising government over those who know the laws of Islam and are just. The sole matters relevant to rule, those that were mentioned and discussed in the time of the Most Noble Messenger (upon whom be peace) and our Imams (upon whom be peace) and were, in addition, unanimously accepted by the Muslims, are: (1) the knowledgeability of the ruler or caliph, i.e., his knowledge of the provisions and ordinances of Islam; and (2) his justice, i.e., his excellence in belief and morals.

Reason also dictates the necessity for these qualities, because Islamic government is a government of law, not the arbitrary rule of an individual over the people or the domination of a group of individuals over the whole people. If the ruler is unacquainted with the contents of the law, he is not fit to rule; for if he follows the legal pronouncements of others, his power to govern will be impaired, but if, on the other hand, he does not follow such guidance, he will be unable to rule correctly and implement the laws of Islam. It is an established principle that „the faqih has authority over the ruler.” If the ruler adheres to Islam, he must necessarily submit to the faqih, asking him about the laws and ordinances of Islam in order to implement them. This being the case, the true rulers are the fuqaha [59] themselves, and rulership ought officially to be theirs, to apply to them, not to those who are obliged to follow the guidance of the fuqaha on account of their own ignorance of the law.

Of course, it is not necessary for all officials, provincial governors, and administrators to know all the laws of Islam and be fuqaha; it is enough that they should know the laws pertaining to their functions and duties. Such was the case in the time of the Prophet and the Commander of the Faithful (peace be upon them). The highest authority must possess the two qualities mentioned - comprehensive knowledge and justice - but his assistants, officials, and those sent to the provinces need know only the laws relevant to their own tasks; on other matters they must consult the ruler.

The ruler must also possess excellence in morals and belief; he must be just and untainted by major sin. Anyone who wishes to enact the penalties provided by Islam (i.e., to implement the penal code), to supervise the public treasury and the income and expenditures of the state, and to have God assign to him the power to administer the affairs of His creatures must not be a sinner. God says in the Qur'an: „My covenant does not embrace the wrongdoer” (2:124); [60] therefore, He will not assign such functions to an oppressor or sinner.

If the ruler is not just in granting the Muslims their rights, he will not conduct himself equitably in levying taxes and spending them correctly and in implementing the penal code. It becomes possible then for his assistants, helpers, and confidants to impose their will on society, diverting the public treasury to personal and frivolous use.

Thus, the view of the Shi'a concerning government and the nature of the persons who should assume rule was clear from the time following the death of the Prophet (upon whom be peace and blessings) down to the beginning of the Occultation. [61] It specified that the ruler should be foremost in knowledge of the laws and ordinances of Islam and just in their implementation.

Now that we are in the time of the Occultation of the Imam (upon whom be peace), it is still necessary that the ordinances of Islam relating to government be preserved and maintained, and that anarchy be prevented. Therefore, the establishment of government is still a necessity.

Reason also dictates that we establish a government in order to be able to ward off aggression and to defend the honor of the Muslims in case of attack. The shari'a, for its part, instructs us to be constantly ready to defend ourselves against those who wish to attack us. Government, with its judicial and executive organs, is also necessary to prevent individuals from encroaching on each other's rights. None of these purposes can be fulfilled by themselves; it is necessary for a government to be established. Since the establishment of a government and the administration of society necessitate, in turn, a budget and taxation, the Sacred Legislator has specified the nature of the budget and the taxes that are to be levied, such as kharaj, khums, zakat, and so forth.

Now that no particular individual has been appointed by God, Exalted and Almighty, to assume the function of government in the time of Occultation, what must be done? Are we to abandon Islam! Do we no longer need it! Was Islam valid for only two hundred years! Or is it that Islam has clarified our

duties in other respects but not with respect to government!

Not to have an Islamic government means leaving our boundaries unguarded. Can we afford to sit nonchalantly on our hands while our enemies do whatever they want! Even if we do not put Our signatures to what they do as an endorsement, still we are failing to make an effective response. Is that the way it should be! Or is it rather that government is necessary, and that the function of government that existed from the beginning of Islam down to the time of the Twelfth Imam (upon whom be peace) is still enjoined upon us by God after the Occultation even though He has appointed no particular individual to that function!

The two qualities of knowledge of the law and justice are present in countless fuqaha of the present age. If they would come together, they could establish a government of universal justice in the world.

If a worthy individual possessing these two qualities arises and establishes a government, he will possess the same authority as the Most Noble Messenger (upon whom be peace and blessings) in the administration of society, and it will be the duty of all people to obey him.

The idea that the governmental powers of the Most Noble Messenger (peace and blessings be upon him) were greater than those of the Commander of the Faithful (upon whom be peace), or that those of the Commander of the Faithful were greater than those of the faqih, is false and erroneous. Naturally, the virtues of the Most Noble Messenger were greater than those of the rest of mankind, and after him, the Commander of the Faithful was the most virtuous person in the world. But superiority with respect to spiritual virtues does not confer increased governmental powers. God has conferred upon government in the present age the same powers and authority that were held by the Most Noble Messenger and the Imams (peace be upon them) with respect to equipping and mobilizing armies, appointing governors and officials, and levying taxes and expending them for the welfare of the Muslims. Now, however, it is no longer a question of a particular person; government devolves instead upon one who possesses the qualities of knowledge and justice.

When we say that after the Occultation, the just faqih has the same authority that the Most Noble Messenger and the Imams had, do not imagine that the status of the faqih is identical to that of the Imams and the Prophet. For here we are not speaking of status, but rather of function. By „authority” we mean government, the administration of the country, and the implementation of the sacred laws of the shari'a. These constitute a serious, difficult duty but do not earn anyone extraordinary status or raise him above the level of common humanity. In other words, authority here has the meaning of government, administration, and execution of law; contrary to what many people believe, it is not a privilege but a grave responsibility. The governance of the faqih is a rational and extrinsic [62] matter; it exists only as a type of appointment, like the appointment of a guardian for a minor. With respect to duty and position, there is indeed no difference between the guardian of a nation and the guardian of a minor. It is as if the Imam were to appoint someone to the guardianship of a minor, to the governorship of a province, or to some other post. In cases like these, it is not reasonable that there would be a difference between the Prophet and the Imams, on the one hand, and the just faqih, on the other.

For example, one of the concerns that the faqih must attend to is the application of the penal provisions of Islam. Can there be any distinction in this respect between the Most Noble Messenger, the Imam, and the faqih? Will the faqih inflict fewer lashes because his rank is lower! Now the penalty for the fornicator is one hundred lashes. If the Prophet applies the penalty, is he to inflict one hundred fifty lashes, the Commander of the Faithful one hundred, and the faqih fifty! The ruler supervises the executive power and has the duty of implementing God's laws; it makes no difference if he is the Most Noble Messenger, the Commander of the Faithful or the representative or judge he appointed to Basra or Kufa, or a faqih in the present age.

Another of the concerns of the Most Noble Messenger and the Commander of the Faithful was the levying of taxes - khums, zakat, iizya, and kharaj on taxable lands. Now when the Prophet levied zankat, how much did he levy? One-tenth in one place and one-twentieth elsewhere! And how did the Commander of the Faithful proceed when he became ruler! And what now, if one of us becomes the foremost faqih of the age and is able to enforce his authority! In these matters, can there be any difference in the authority of the Most Noble Messenger, that of 'All, and that of the faqih? God Almighty appointed the Prophet in authority over all the Muslims; as long as he was alive, his authority

extended over even 'All. Afterwards, the Imam had authority over all the Muslims, even his own successor as Imam; his commands relating to government were valid for everyone, and he could appoint and dismiss judges and governors.

The authority that the Prophet and the Imam had in establishing a government, executing laws, and administering affairs exists also for the faqih. But the fuqaha do not have absolute authority in the sense of having authority over all other fuqaha of their own time, being able to appoint or dismiss them. There is no hierarchy ranking one faqih higher than another or endowing one with more authority than another.

Now that this much has been demonstrated, it is necessary that the fuqaha proceed, collectively or individually, to establish a government in order to implement the laws of Islam and protect its territory. If this task falls within the capabilities of a single person, he has personally incumbent upon him the duty to fulfill it; otherwise, it is a duty that devolves upon the fuqaha as a whole.

Even if it is impossible to fulfill the task, the authority vested in the fuqaha is not voided, because it has been vested in them by God. If they can, they must collect taxes, such as zakat, khums, and kharaj, spend them for the welfare of the Muslims, and also enact the penalties of the law. The fact that we are presently unable to establish a complete and comprehensive form of government does not mean that we should sit idle. Instead, we should perform, to whatever extent we can, the tasks that are needed by the Muslims and that pertain to the functions an Islamic government must assume.

To prove that government and authority belong to the Imam is not to imply that the Imam has no spiritual status. The Imam does indeed possess certain spiritual dimensions that are unconnected with his function as ruler. The spiritual status of the Imam is the universal divine viceregency that is sometimes mentioned by the Imams (peace be upon them). It is a viceregency pertaining to the whole of creation, by virtue of which all the atoms in the universe humble themselves before the holder of authority. It is one of the essential beliefs of our Shi'i school that no one can attain the spiritual status of the Imams, not even the cherubim or the prophets.[63] In fact, according to the traditions that have been handed down to us, the Most Noble Messenger and the Imams existed before the creation of the world in the form of lights situated beneath the divine throne; they were superior to other men even in the sperm from which they grew and in their physical composition. [64] Their exalted station is limited only by the divine will, as indicated by the saying of Jibra'il recorded in the traditions on the mi'raj: „Were I to draw closer by as much as the breadth of a finger, surely I would burn.” [65] The Prophet himself said: „We have states with God that are beyond the reach of the cherubim and the prophets.” [66] It is a part of our belief that the Imams too enjoy similar states, before the question of government even arises. For example, Fatima also possessed these states, even though she was not a ruler, a judge, or a governor. [67] These states are quite distinct from the function of government. So when we say that Fatima was neither a judge nor a ruler, this does not mean that she was like you and me, or that she has no spiritual superiority over us.

Similarly, if someone says, in accordance with the Qur'an, that „The Prophet has higher claims on the believers than their own selves” (33:6), he has attributed to him something more exalted than his right to govern the believers. We will not examine these matters further here, for they belong to the area of another science.

To assume the function of government does not in itself carry any particular merit or status; rather it is a means for fulfilling the duty of implementing the law and establishing the Islamic order of justice. The Commander of the Faithful (upon whom be peace) said to Ibn 'Abbas, concerning the nature of government and command: „How much is this shoe worth!” Ibn 'Abbas replied: „Nothing.” The Commander of the Faithful then said: „Command over you is worth still less in my eyes, except for this: by means of ruling and commanding you I may be able to establish the right” - i.e., the laws and institutions of Islam - ”and destroy the wrong” [68] - i.e., all impermissible and oppressive laws and institutions.

Rule and command, then, are in themselves only a means, and if this means is not employed for the good and for attaining noble aims, it has no value in the eyes of the men of God. Thus the Commander of the Faithful says in his sermon in Nahj al-Balagha: „Were it not for the obligation imposed on me, forcing me to take up this task of government, I would abandon it.” [69] It is evident, then, that to assume the function of government is to acquire a means and not a spiritual station, for if government

were a spiritual station, nobody would be able to either usurp it or abandon it. Government and the exercise of command acquire value only when they become the means for implementing the law of Islam and establishing the just Islamic order; then the person in charge of government may also earn some additional virtue and merit.

Some people, whose eyes have been dazzled by the things of this world, imagine that leadership and government represented in themselves dignity and high station for the Imams, so that if others come to exercise power, the world will collapse. Now the Soviet ruler, the British Prime Minister, and the American President all exercise power, and they are all unbelievers. They are unbelievers, but they have political power and influence, which they use to execute anti-human laws and policies for the sake of their own interests.

It is the duty of the Imams and the just fuqaha to use government institutions to execute divine law, establish the just Islamic order, and serve mankind. Government in itself represents nothing but pain and trouble for them, but what are they to do! They have been given a duty, a mission to fulfill; the governance of the faqih is nothing but the performance of a duty.

When explaining why he assumed the tasks of government and rule, the Commander of the Faithful (upon whom be peace) declared that he did so for the sake of certain exalted aims, namely the establishment of justice and the abolition of injustice. He said, in effect: „O God, you know that it is not our purpose to acquire position and power, but rather to deliver the oppressed from the hands of the unjust. What impelled me to accept the task of command and rule over the people was this: God, Almighty and Exalted, has exacted a pledge from the scholars of religion and assigned to them the duty of not remaining silent in the face of the gluttony and self-indulgence of the unjust and the oppressor, on the one hand, and the wasting hunger of the oppressed, on the other.” He also said: „O God! You know well that the struggle we have waged has not been for the sake of winning political power, nor for acquiring worldly goods and overflowing wealth.” He went directly on to explain the goal for the sake of which he and his companions had been struggling and exerting themselves: „Rather it was our aim to restore and implement the luminous principles of Your religion and to reform the conduct of affairs in Your land, so that Your downtrodden servants might gain security and Your laws, which have remained unfulfilled and in abeyance, might be established and executed.” [70]

The ruler who, by means of the organs of government and the power of command that are at his disposal, desires to attain the exalted aims of Islam, the same aims set forth by the Commander of the Faithful, must possess the essential qualities to which we have already referred; that is, he must know the law and be just.

The Commander of the Faithful mentions next the qualities essential in a ruler immediately after he has specified the aims of government: „O God, I was the first person that turned toward You by accepting Your religion as soon as I heard Your Messenger (upon whom be peace) declare it. No one preceded me in prayer except the Messenger himself. And you, O people ! You know well that it is not fitting that one who is greedy and parsimonious should attain rule and authority over the honor, lives, and income of the Muslims, and the laws and ordinances enforced among them, and also leadership of them.

„Furthermore, he should not be ignorant and unaware of the law, lest in his ignorance he mislead the people. He must not be unjust and harsh, causing the people to cease all traffic and dealing with him because of his oppressiveness. Nor must he fear states, so that he seeks the friendship of some and treats others with enmity. He must refrain from accepting bribes when he sits in judgment, so that the rights of men are trampled underfoot and the claimant does not receive his due. He must not leave the practice of the Prophet and law in abeyance, so that the community falls into misguidance and peril.” [71]

Notice how this discourse revolves around two points, knowledge and justice, and how the Commander of the Faithful regards them as necessary qualities of the ruler. In the expression: „He should not be ignorant and unaware of the law, lest in his ignorance he mislead the people,” the emphasis is upon knowledge, while in the remaining sentences the emphasis is upon justice, in its true sense. The true sense of justice is that the ruler should conduct himself like the Commander of the Faithful in his dealings with other states, in his relations and transactions with the people, in passing sentence and giving judgment, and in distributing the public income. To put it differently, the ruler

should adhere to the program of rule that the Commander of the Faithful laid down for Malik Ashtar [72] - in reality, for all rulers and governors, for it is something like a circular addressed to all who exercise rule. If the fuqaha become rulers, they too should consider it their set of instructions.

Here is a tradition totally without ambiguity. The Commander of the Faithful (upon whom be peace) relates that the Most Noble Messenger (upon whom be blessings and peace) said: „O God! Have mercy on those that succeed me.” He repeated this twice and was then asked: „O Messenger of God, who are those that succeed you?” He replied: „They are those that come after me, transmit my traditions and practice, and teach them to the people after me.”

Shaykh Sadduq [73] (may God's mercy be upon him) has related this tradition with five chains of transmission (actually four, since two of them are similar in certain respects) in the following books: Jami' al-dkhbar, 'Uyun Akhbar ar-Rida, and al-Majalis. [74]

Among the cases where this tradition has been designated as musnad, [75] in one instance we find the words „and teach them,” and in the other instances we find, „and teach them to the people.” Wherever the tradition is designated as mursal, [76] we find only the beginning of the sentence, with the phrase „and teach them to the people after me” completely omitted.

We can make either of two assumptions with respect to this tradition. First, it is the only instance of the tradition, and the phrase beginning, „and teach them” either was later added to the end, or was indeed a part of the tradition, but was later omitted in certain versions. The second alternative is more probable. For if the phrase were added, we could not say that it was as the result of mistake or error, given that the tradition was handed down by several chains of transmission and the respective narrators lived at great distance from each other - one in Balkh, another in Nishapur, and still another elsewhere. Nor is it possible that this phrase was deliberately added; it is highly unlikely that it would have occurred to each of several people living far apart from each other to add such a sentence to the tradition. Therefore, if it is a single tradition, we can assert with certainty that either the phrase beginning, „and teach them” was omitted from one of the versions recorded by Shaykh Sadduq (or overlooked by the copyists who wrote down his work), or else Shaykh Sadduq himself failed to mention it for some other reason.

The second assumption would be that there are two separate traditions, one without the phrase „and teach them ...” and the other with it. If the phrase is part of the tradition, it certainly does not apply to those whose task is simply the narration of tradition and who are not competent to express an independent juridical opinion or judgment. There are certain scholars of tradition who do not understand hadith at all; as implied in the saying: „Many a scholar of law falls short of being a faqih,” they are merely a vehicle for the recording, collecting, and writing down of traditions and narrations and for placing them at the disposal of the people.

It cannot be said of such scholars that they are the successors of the Prophet, teaching the sciences of Islam. [77] Their efforts on behalf of Islam and the Muslims are of course most valuable, and many scholars of tradition have indeed also been fuqaha, competent to express an independent opinion; e.g., Kulayni, [78] Shaykh Sadduq, [79] and his father (God's mercy on all of them). These three were fuqaha, and they taught the ordinances and sciences of Islam to the people. When we say that Shaykh Sadduq differed from Shaykh Mufid [80], we do not mean that Shaykh Sadduq was unlearned in fiqh, [81] or that he was less learned than Shaykh Mufid.

Shaykh Sadduq was, after all, the person who elucidated all the principles and schools of religion in a single sitting. He differed from Shaykh Mufid and others comparable to him in that they were mujtahids who brought their own reasoning to bear on traditions and narrations, while Shaykh Sadduq was a faqih who did not have recourse to his own reasoning, or did so only rarely.

The phrase we are discussing applies to those who expound the sciences of Islam, who expound the ordinances of Islam, and who educate the people in Islam, preparing them to instruct others in turn. In the same way, the Most Noble Messenger and the Imams (peace be upon them all) proclaimed and expounded the ordinances of Islam; they had teaching circles where they gave the benefit of their learning to several thousand people, whose duty it was, in turn, to teach others. That is the meaning implied in the phrase „and teach the people .. .”: disseminating the knowledge of Islam among the people and conveying to them the ordinances of Islam. If we believe that Islam is for all people in the world, it becomes obvious to every rational mind that the Muslims, and particularly the scholars among

them, have the duty of disseminating knowledge of Islam and its ordinances and acquainting the people of the world with them.

If we suppose that the phrase „and teach them to the people” does not belong at the end of the hadith, then we must see what the Prophet (peace and blessings be upon him) might have meant in his saying: „O God! Have mercy on those that succeed me: those that come after me and transmit my traditions and practice.” The tradition, even in this form, still would not apply to those who merely relate traditions without being fuqaha. For the divine practices and norms constituting the totality of the ordinances of Islam are known as the practice of the Prophet by virtue of the fact that they were revealed to him. So anyone who wishes to disseminate the practices of the Most Noble Messenger must know all the ordinances of God; he must be able to distinguish the authentic from the false, those of absolute from those of limited application, and the general from the specific. Further, he must be able to discern rational categories, distinguish between traditions originating in circumstances of taqiya [82] and those originating otherwise, and be fully conversant with all the necessary criteria that have been specified. Traditionists who have not attained the level of ijthads [83] and who merely transmit hadith know nothing about all this; hence they are incapable of discerning the true practice of the Messenger of God. Mere transmission could have no value in the eyes of the Messenger, and it was certainly not his desire that phrases like: „The Messenger of God said,” or „It is related on the authority of the Messenger of God,” should gain currency among the people, if the sentences prefaced by these phrases were counterfeited and not his. What he desired instead was that his true practice should be disseminated among the people and the real ordinances of Islam spread among them. The tradition: „Whoever preserves for my people forty traditions will be resurrected by God as a faqih” [84] and similar traditions praising the dissemination of hadith do not pertain to traditionists who have no concept of the nature of tradition. They pertain to those who are able to distinguish the true tradition of the Most Noble Messenger in accordance with the true ordinances of Islam. Such persons are none other than the mujtahids and the fuqaha; they are the ones able to assess all different aspects and implications of a ruling, and to deduce the true ordinances of Islam on the basis of the criteria they have inherited from the Imams (upon whom be peace). They are the successors of the Most Noble Messenger, disseminating the divine ordinances and instructing men in the sciences of Islam. It is for them that the Prophet prayed when he said, „O God! Have mercy on my successors.”

There is no doubt, therefore, that the tradition: „O God! Have mercy on my successors” does not relate to the transmitters of tradition who are mere scribes; a scribe cannot be a successor to the Prophet. The successors are the fuqaha of Islam. Dissemination of the ordinances of Islam, as well as the teaching and instruction of the people, is the duty of fuqaha who are just. For if they are not just, they will be like those who forged traditions harmful to Islam, like Samura ibn Jandab, [85] who forged traditions hostile to the Commander of the Faithful. And if they are not fuqaha, they cannot comprehend the nature of fiqh and the ordinances of Islam, and they may disseminate thousands of traditions in praise of kings that have been forged by the agents of the oppressors and pseudo-scholars attached to royal courts. It is easy to see what results they obtained on the basis of the two weak traditions that they set up against the Qur'an, with its insistent commands to rise up against kings and its injunctions to Moses to rebel against the Pharaoh. [86] Quite apart from the Glorious Qur'an, there are numerous traditions exhorting men to struggle against tyrants and those who pervert religion. [87] Lazy people among us have laid these aside and, relying on those two weak hadiths that may well have been forged by court preachers, tell us we must make peace with kings and give our allegiance to the court. If they were truly acquainted with tradition and knowledgeable about religion, they would act instead in accordance with the numerous traditions that denounce the oppressors. If it happens that they are acquainted with tradition, then we must conclude that they do not have the quality of justice. For, not being just and failing to eschew sin, they overlook the Qur'an and all the traditions that condemn the oppressor, and concentrate instead on those two weak hadith. It is the appetites of their stomachs that cause them to cling to them, not knowledge. Appetite and ambition make men subservient to royal courts; true tradition does not.

In any event, the dissemination of the sciences of Islam and the proclamation of its ordinances are the task of the just fuqaha – those who are able to distinguish the true ordinance from the false, and the traditions of the Imams (upon whom be peace) arising in conditions of taqiya from those originating

otherwise. For we know that our Imams were sometimes subject to conditions that prevented them from pronouncing a true ordinance; they were exposed to tyrannical and oppressive rulers who imposed *laqiya* and fear upon them. Naturally, their fear was for religion not themselves, and if they had not observed *taqiya* in certain circumstances, oppressive rulers would have entirely rooted out true religion.

There cannot be the least doubt that the tradition we have been discussing refers to the governance of the *faqih*, for to be a successor means to succeed to all the functions of prophethood.

In this respect what is implied by the sentence: „O God! Have mercy on my successors” is no less than what is implied by the sentence: „‘All is my successor,” since the meaning of successorship is the same in both cases. The phrase „who come after me and transmit my traditions” serves to designate the successors, not to define succession, for succession was a well-known concept in the first age of Islam and did not require elucidation. Moreover, the person who asked the Prophet whom he meant by his successors was not enquiring after the meaning of successorship; he was requesting the Prophet to specify those whom he meant, as he indeed did in his reply. It is remarkable that nobody has taken the phrase: „‘All is my successor,” or „the Imams are my successors,” as referring to the simple task of issuing juridical opinions; instead, they derive the tasks of successorship and government from them, whereas they have hesitated to draw the same conclusion from the word „my successors” in the tradition under consideration. This is solely because they have imagined that succession to the position of the Most Noble Messenger has been limited or restricted to certain people, and that since each of the Imams was a successor, the religious scholars cannot act as successors, rulers, and governors. The result is that Islam must be without any leader to care for it, the ordinances of Islam must be in abeyance, the frontiers of Islam must be at the mercy of the lies of religion, and various kinds of perversion that having to do with Islam are gaining currency.

Muhammad ibn Yahya relates, on the authority of Ahmad ibn umad, who heard it from Ibn Mahbub, who was informed of it ‘Ali ibn Abi Hamza, that the Imam hbu ‘l-Hasan Musa, son of Ja’far, [88] (peace by upon them both) said: „Whenever a believer dies, the angels weep, together with the ground where he engaged in the worship of God and the gates of heaven that he entered by means of his good deeds. A crack will appear in the fortress of of Islam that naught can repair, for believers who are *fuqaha* are the fortresses of Islam, like the encircling walls that protect a city.” [89]

In the same chapter of *al-Kafi*, there is another version of this tradition, which reads: „Whenever a believer who is a *faqih*. . .” instead of: „Whenever a believer. . .” In contrast, at the beginning of the version we have cited, the expression „who is a *faqih*” is missing. Later in the second version, however, when the cause for the angels’ weeping is adduced, the expression „believers who are *fuqaha*” does occur. This makes it clear that the word „*faqih*” was omitted at the beginning of the tradition, particularly since the concept „fortress of Islam” is fully appropriate to the *faqih*.

The saying of the Imam that „believers who are *fuqaha* are fortresses of Islam” actually ascribes to the *fuqaha* the duty of being guardians of the beliefs, ordinances, and institutions of Islam. It is clear that these words of the Imam are not an expression of ceremonial courtesy, like the words we sometimes exchange with each other (I call you „Support of the Shari’a,” and you bestow the same title on me in return!). Nor do they have any similarity to the titles we use in addressing a letter to someone: „His Noble Excellency, the Proof of Islam.”

If a *faqih* sits in the corner of his dwelling and does not intervene in any of the affairs of society, neither preserving the laws of Islam and disseminating its ordinances, nor in any way participating in the affairs of the Muslims or having any care for them, can he be called „the fortress of Islam” or the protector of Islam!

If the leader of a government tells an official or a commander, „Go guard such-and-such an area,” will the duty of guarding that he has assumed permit him to go home and sleep, allowing the enemy to come and ravage that area? Or should he, on the contrary, strive to protect that area in whatever way he can!

Now if you say that we are preserving at least some of the ordinances of Islam, let me ask you this question. Are you implementing the penal law of Islam and the sanctions it provides! You will have to answer no.

So a crack has appeared in the protective wall surrounding Islam, despite your supposedly being its

guardians.

Then I ask you: Are you guarding the frontiers of Islam and the territorial integrity of the Islamic homeland? To this your answer will be: „No, our task is only to pray!”

This means that a piece of the wall has collapsed.

Now I ask you: Are you taking from the rich what they owe the poor and passing it on to them! For that is your Islamic duty, to take from the rich and give to the poor. Your answer will be, in effect: „No, this is none of our concern! God willing, others will come and perform this task.”

Then another part of the wall will have collapsed, and your situation will be like that of Shah Sultan Husayn waiting for the fall of Isfahan. [90]

What kind of fortress is this! Each of the corners is occupied by some „pillar of Islam,” but all he can do is offer excuses when put to the test. Is that what we mean by „fortress”!

The meaning of the statement of the Imam that the fuqaha are the fortresses of Islam is that they have a duty to protect Islam and that they must do whatever is necessary to fulfill that duty.

It is one of their most important duties and, moreover, an absolute duty, not a conditional one. It is an issue to which the fuqaha of Islam must pay particular attention. The religious teaching institution must give due thought to the matter and equip itself with the means and strength necessary to protect Islam in the fullest possible sense, just as the Most Noble Messenger and the Imams (peace be upon them) were the guardians of Islam, protecting its beliefs, laws, and institutions in the most comprehensive manner.

We have abandoned almost all aspects of our duty, restricting ourselves to passing on, from one generation to the next, certain parts of Islamic law and discussing them among ourselves. Many of the ordinances of Islam have virtually become part of the occult sciences, and Islam itself has become a stranger; [91] only its name has survived.

All the penal provisions of Islam, which represent the best penal code ever devised for humanity, have been completely forgotten; nothing but their name has survived. As for the Qur'anic verses stipulating penalties and sanctions, „Nothing remains of them but their recitation.” [92] For example, we recite the verse: „Administer to the adulterer and the adultress a hundred lashes each" (24:2), but we do not know what to do when confronted with a case of adultery. We merely recite the verse in order to improve the quality of our recitation and to give each sound its full value.

The actual situation prevailing in our society, the present state of the Islamic community, the prevalence of lewdness and corruption, the protection and support extended by our governments to adultery - none of this concerns us! It is enough that we understand what penalties have been provided for the adulterer and the adultress without attempting to secure their implementation or otherwise struggling against the existence of adultery in our society!

I ask you, is that the way the Most Noble Messenger (peace and blessings be upon him) conducted himself! Did he content himself with reciting the Qur'an, then lay it aside and neglect to ensure the implementation of its penal provisions! Was it the practice of the successors of the Prophet to entrust matters to the people and tell them, „We have no further concern with you”? Or, on the contrary, did they decree penalties for various classes of offender-whippings, stonings, perpetual imprisonment, banishment! Examine the sections of Islamic law relating to penal law and blood money: you will see that all of these matters are part of Islam and part of the reason for the coming of Islam. Islam came in order to establish order in society; leadership [93] and government are for the sake of ordering the affairs of society.

It is our duty to preserve Islam. This duty is one of the most important obligations incumbent upon us; it is more necessary even than prayer and fasting. It is for the sake of fulfilling this duty that blood must sometimes be shed. There is no blood more precious than that of Imam Husayn, yet it was shed for the sake of Islam, because of the precious nature of Islam. We must understand this matter well and convey it to others. You can be the true successors to the Prophet (peace and blessings be upon him) as the guardians of Islam only if you teach Islam to the people; do not say, „We will wait until the coming of the Imam of the Age.” Would you consider postponing your prayer until the coming of the Imam! The preservation of Islam is even more important than prayer. Do not follow the logic of the governor of Khumayn [94] who used to say: „We must promote sin so that the Imam of the Age will come. If sin does not prevail, he will not manifest himself!” [95] Do not sit here simply debating among yourselves.

Study all the ordinances of Islam, and propagate all aspects of the truth by writing and publishing books. It cannot fail to have an effect, as my own experience testifies.

'All relates, on the authority of his father, from an-Nawfali, who had it from as-Sukuni, who was told it by Abu 'Abdullah (upon whom be peace), that the Most Noble Messenger (peace and blessings be upon him) said: „The fuqaha are the trustees of the prophets, as long as they do not concern themselves with the illicit desires, pleasures, and wealth of this world.” The Prophet was then asked: „O Messenger of God! How may we know if they do so concern themselves!” He replied: „By seeing whether they follow the ruling power. If they do that, fear for your religion and shun them.” [96] Examination of the whole of this hadith would involve us in a lengthy discussion. We will speak only about the phrase: „The fuqaha are the trustees of the prophets,” since it is what interests us here because of its relevance to the topic of the governance of the faqih.

First, we must see what duties, powers, and functions the prophets had in order to discover what the duties of the fuqaha, the trustees and successors of the prophets, are in turn.

In accordance with both reason and the essential nature of religion, the purpose for sending the prophets and the task of the prophets cannot be simply the delivering of judgments concerning a particular problem or the expounding of the ordinances of religion. These judgments and ordinances were not revealed to the Prophet (upon whom be peace and blessings) in order for him and the Imams to convey them truthfully to the people as a series of divinely appointed muftis, [97] and then pass this trust on in turn to the fuqaha, so that they might likewise convince them to the people without any distortion. The meaning of the expression: “The fuqaha are the trustees of the prophets” is not that the fuqaha are trustees simply with respect to the giving of juridical opinions. For in fact the most important function of the prophets (peace be upon them all) is the establishment of a just social system through the implementation of laws and ordinances (which is naturally accompanied by the exposition and dissemination of the divine teachings and beliefs). This emerges clearly from the following Qur'anic verse: „Verily We have sent Our messengers with clear signs, and sent down with them the Book and the Balance, in order that men might live in equity” (57:25). The general purpose for the sending of prophets, then, is so that men's lives may be ordered and arranged on the basis of just social relations and true humanity may be established among men. This is possible only by establishing government and implementing laws, whether this is accomplished by the prophet himself, as was the case with the Most Noble Messenger (peace and blessings be upon him) or by the followers who come after him.

God Almighty says concerning the khums: „Know that of whatever booty you capture, a fifth belongs to God and His Messenger and to your kinsmen” (8:41). Concerning zakat He says: „Levy a tax on their property” (9:103). There are also other divine commands concerning other forms of taxation. Now the Most Noble Messenger had the duty not only of expounding these ordinances, but also of implementing them; just as he was to proclaim them to the people, he was also to put them into practice. He was to levy taxes, such as khums, zaitat, and kharaj, and spend the resulting income for the benefit of the Muslims; establish justice among peoples and among the members of the community; implement the laws and protect the frontiers and independence of the country; and prevent anyone from misusing or embezzling the finances of the Islamic state.

Now God Almighty appointed the Most Noble Messenger (peace and blessings be upon him) head of the community and made it a duty for men to obey him: „Obey God and obey the Messenger and the holders of authority from among you” (4:59). The Purpose for this was not so that we would accept and conform to whatever judgment the Prophet delivered. Conformity to the ordinances of religion is obedience to God, all activities that are conducted in accordance with divine ordinance, whether or not they are ritual worship, are a form of obedience to God. Following the Most Noble Messenger, then, is not conforming to divine ordinances; it is something else. Of course, obeying the Most Noble Messenger is, in a certain sense, to obey God; we obey the Prophet because God has commanded us to do so. But if, for example, the Prophet, in his capacity as leader and guide of Islamic society, orders everyone to join the army of Usama, [98] so that no one has the right to hold back, it is the command of the Prophet, not the command of God. God has entrusted to him the task of government and command, and accordingly, in conformity with the interests of the Muslims, he arranges for the equipping and mobilization of the army, and appoints or dismisses governors and judges.

This being the case, the principle: „The fuqaha are the trustees of the prophets” means that all of the

tasks entrusted to the prophets must also be fulfilled by the just fuqaha as a matter of duty.

Justice, it is true, is a more comprehensive concept than trustworthiness, and it is possible that someone may be trustworthy with respect to financial affairs, but not just in a more general sense. [99] However, those designated in the principle: „The fuqaha are the trustees of the prophets” are those who do not fail to observe any ordinances of the law and who are pure and unsullied, as is implied by the conditional statement: „as long as they do not concern themselves with the illicit desires, pleasures, and wealth of this world” - that is, as long as they do not sink into the morass of worldly ambition. If a faqih has as his aim the accumulation of worldly wealth, he is no longer just and cannot be the trustee of the Most Noble Messenger (upon whom be peace and blessings) and the executor of the ordinances of Islam. It is only the just fuqaha who may correctly implement the ordinances of Islam and firmly establish its institutions, executing the penal provisions of Islamic law and preserving the boundaries and territorial integrity of the Islamic homeland. In short, implementation of all laws relating to government devolves upon the fuqaha: the collection of khums, zakat, sadaqa, jizya, and kharaj and the expenditure of the money thus collected in accordance with the public interest; the implementation of the penal provisions of the law and the enactment of retribution (which must take place under the direct supervision of the ruler, failing which the next-of-kin of the murdered person has no authority to act); the guarding of the frontiers; and the securing of public order.

Just as the Most Noble Messenger (peace and blessings be upon him) was entrusted with the implementation of divine ordinances and the establishment of the institutions of Islam, and just as God Almighty set him up over the Muslims as their leader and ruler, making obedience to him obligatory, so, too, the just fuqaha must be leaders and rulers, implementing divine ordinances and establishing the institutions of Islam.

Since Islamic government is a government of law, those acquainted with the law, or more precisely, with religion - i.e., the fuqaha - must supervise its functioning. It is they who supervise all executive and administrative affairs of the country, together with all planning.

The fuqaha are the trustees who implement the divine ordinances in levying taxes, guarding the frontiers, and executing the penal provisions of the law. They must not allow the laws of Islam to remain in abeyance, or their operation to be affected by either defect or excess. If a faqih wishes to punish an adulterer, he must give him one hundred lashes in the presence of the people, in the exact manner that has been specified. He does not have the right to inflict one additional lash, to curse the offender, to slap him, or to imprison him for a single day. Similarly, when it comes to the levying of taxes, he must act in accordance with the criteria and the laws of Islam; he does not have the right to levy even a shahi [100] in excess of what the law provides. He must not let disorder enter the affairs of the public treasury or even so much as a shahi be lost. If a faqih acts in contradiction to the criteria of Islam (God forbid!), then he will automatically be dismissed from his post, since he will have forfeited his quality of trustee.

Law is actually the ruler; the security of all is guaranteed by the law, and law is their refuge. Muslims and the people in general are free within the limits laid down by the law; when they are acting in accordance with the provisions of the law, no one has the right to tell them, „Sit here,” or „Go there.” An Islamic government does not resemble states where the people are deprived of all security and everyone sits at home trembling for fear of a sudden raid or attack by the agents of the state. It was that way under Mu'awiya [101] and similar rulers: the people had no security, and they were killed or banished, or imprisoned for lengthy periods, on the strength of an accusation or a mere suspicion, because the government was not Islamic. When an Islamic government is established, all will live with complete security under the protection of the law, and no ruler will have the right to take any step contrary to the provisions and laws of the immaculate shari'a.

The meaning of „trustee,” then, is that the fuqaha execute as a trust all the affairs for which Islam has legislated - not that they simply offer legal judgments on given questions. Was that the function of the Imam! Did he merely expound the law! Was it the function of the prophets, from whom the fuqaha have inherited it as a trust? To offer judgment on a question of law or to expound the laws in general is, of course, one of the dimensions of fiqh. But Islam regards law as a tool, not as an end in itself. Law is a tool and an instrument for the establishment of justice in society, a means for man's intellectual and moral reform and his purification. Law exists to be implemented for the sake of establishing a just

society that will morally and spiritually nourish refined human beings. The most significant duty of the prophets was the implementation of divine ordinances, and this necessarily involved supervision and rule.

There is a tradition of Imam Riza (upon whom be peace) in which he says approximately the following: „An upright, protecting, and trustworthy imam is necessary for the community in order to preserve it from decline,” and then reasserts that the fuqaha are the trustees of the prophets. Combining the two halves of the tradition, we reach the conclusion that the fuqaha must be the leaders of the people in order to prevent Islam from falling into decline and its ordinances from falling into abeyance.

Indeed it is precisely because the just fuqaha have not had executive power in the lands inhabited by Muslims and their governance has not been established that Islam has declined and its ordinances have fallen into abeyance. The words of Imam Riza have fulfilled themselves; experience has demonstrated their truth.

Has Islam not declined? Have the laws of Islam not fallen into disuse in the Islamic countries! The penal provisions of the law are not implemented; the ordinances of Islam are not enforced; the institutions of Islam have disappeared; chaos, anarchy, and confusion prevail - does not all this mean that Islam has declined! Is Islam simply something to be written down in books like al Kafi [102] and then laid aside! If the ordinances of Islam are not applied and the penal provisions of the law are not implemented in the external world - so that the thief, the plunderer, the oppressor, and the embezzler all go unpunished, while we content ourselves with preserving the books of law, kissing them and laying them aside (even treating the Qur'an itself this way), and reciting Ya-Sin on Thursday nights [103] - can we say that Islam has been preserved!

Since many of us did not really believe that Islamic society must be administered and ordered by an Islamic government, matters have now reached such a state that in the Muslim countries, not only does the Islamic order not obtain, with corrupt and oppressive laws being implemented instead of the laws of Islam, but the provisions of Islam appear archaic even to the ‘ulama.

So when the subject is raised, they say that the tradition: „The fuqaha are the trustees of the prophets” refers only to the issuing of juridical opinions. Ignoring the verses of the Qur'an, they distort in the same way all the numerous traditions that indicate that the scholars of Islam are to exercise rule during the Occultation.

But can trusteeship be restricted in this manner? Is the trustee not obliged to prevent the ordinances of Islam from falling into abeyance and criminals from going unpunished! To prevent the revenue and income of the country from being squandered, embezzled, or misdirected!

It is obvious that all of these tasks require the existence of trustees, and that it is the duty of the fuqaha to assume the trust bequeathed to them, to fulfill it in a just and trustworthy manner.

The Commander of the Faithful (upon whom be peace) said to Shurayh [104]: „The seat [of judge] you are occupying is filled by someone who is a prophet, the legatee of a prophet, or else a sinful wretch.” [105] Now since Shurayh was neither a prophet nor the legatee of a prophet, it follows that he was a sinful wretch occupying the position of judge. Shurayh was a person, who occupied the position of judge in Kufa for about fifty or sixty years. Closely associated with the party of Mu'awiya, Shurayh spoke and issued fatwas [106] in a sense favorable to him, and he ended up rising in revolt against the Islamic state. The Commander of the Faithful was unable to dismiss Shurayh during his rule, because certain powerful figures protected him on the grounds that Abu Bakr and ‘Umar had appointed him and that their action was not to be controverted. Shurayh was thus imposed upon the Commander of the Faithful, who did, however, succeed in ensuring that he abided by the law in his judgments.

It is clear from the foregoing tradition that the position of judge may be exercised only by a prophet or by the legatee of a prophet. No one would dispute the fact that the function of judge belongs to the just fuqaha, in accordance with their appointment by the Imams. This unanimity contrasts with the question of the governance of the faqih: some scholars, such as Naraqī, [107] or among more recent figures, Na'ini, [108] regard all of the extrinsic functions and tasks of the Imams as devolving upon the faqih, while other scholars do not. But there can be no doubt that the function of judging belongs to the just fuqaha; this is virtually self-evident.

Considering the fact that the fuqaha do not have the rank of prophethood, and they are indubitably not „wretched sinners,” we conclude that, in the light of the tradition quoted above, they must be the

legatees or successors of the Most Noble Messenger (peace and blessings be upon him). Since, however, the expression „legatee of a prophet” is generally assumed to refer to his immediate successors, this tradition and others similar to it are only rarely cited as evidence for the successorship of the fuqaha. The concept „legatee of a prophet” is a broad one, however, and includes the fuqaha. The immediate legatee of the Most Noble Messenger was of course the Commander of the Faithful (upon whom be peace), who was followed by the other Imams (peace be upon them), and the affairs of the people were entrusted to them. But no one should imagine that the function of governing or sitting in judgment was a form of privilege for the Imams. Rule was entrusted to them only because they were best able to establish a just government and implement social justice among the people. The spiritual stations of the Imams, which far transcend human comprehension, had no connection with their naming and appointing officials. If the Most Noble Messenger had not appointed the Commander of the Faithful to be his successor, he would still have possessed the same sublime spiritual qualities. It is not that the exercise and function of government bestow spiritual rank and privilege on a man; on the contrary, spiritual rank and privilege qualify a man for the assumption of government and social responsibilities.

In any event, we deduce from the tradition quoted above that the fuqaha are the legatees, at one remove, of the Most Noble Messenger (peace and blessings be upon him) and that all the tasks he entrusted to the Imams (peace be upon them) are also incumbent on the fuqaha; all the tasks that the Messenger performed, they too must perform, just as the Commander of the Faithful (peace be upon him) did.

There is another tradition that may serve as proof or support for our thesis, one that is, indeed, preferable with respect to both its chain of transmission and its meaning. One chain of transmission for it, that passing through Kulayni, is weak, but the other, mentioned by Sadduq and passing through Sulayman ibn Khalid, is authentic and reliable. This is the text of the tradition.

Imam Ja'far as-Sadiq [109] said: „Refrain from judging, because judging is reserved for an imam who is knowledgeable of the law and legal procedure and who behaves justly toward all the Muslims; it is reserved for a prophet or the legatee of a prophet.”

Notice that the person who wishes to sit in judgment must, first of all, be an imam. What is meant here by imam is the common lexical meaning of the word, „leader” or „guide,” not its specific technical sense. In this context, the Prophet himself counts as an imam. If the technical meaning of imam [110] were intended, the specification of the attributes of justice and knowledge in the tradition would be superfluous. Second, the person who wishes to exercise the function of judge must possess the necessary knowledge. If he is an imam but unlearned in matters of law and juridical procedure, he does not have the right to be a judge. Third, he must be just. The position of judge, then, is reserved for those who possess these three qualifications-being a leader, and being knowledgeable and just. The tradition proceeds to clarify that these three qualifications can be found only in a prophet or the trustee of a prophet.

I stated earlier that the function of judge belongs exclusively to the just faqih; this is a fundamental aspect of fiqh, which is not a matter under dispute. Let us now see whether the threefold qualifications for exercising the function of judge are present in the faqih. Obviously we are concerned here only with the just faqih, not with any faqih. The faqih is, by definition, learned in matters pertaining to the function of judge, since the term faqih is applied to one who is learned not only in the laws and judicial procedure of Islam, but also in the doctrines, institutions, and ethics of the faith - the faqih is, in short, a religious expert in the full sense of the word. If, in addition, the faqih is just, he will be found to have two of the necessary qualifications. The third qualification is that he should be an imam, in the sense of leader. Now we have already stated that the just faqih occupies a position of guidance and leadership with respect to judging, in accordance with his appointment by the Imam (upon whom be peace). Further, the Imam has specified that the three necessary qualifications are not to be found in anyone except a prophet or the legatee of a prophet. Since the fuqaha are not prophets, they must be the legatees or successors of the prophets. Therefore, we come to the conclusion that the faqih is the legatee of the Most Noble Messenger (upon whom be peace and blessings), and in addition, during the Occultation of the Imam, he is the leader of the Muslims and the chief of the community. He alone may exercise the function of judge, and no one else has the right to occupy the position of judgeship.

The third tradition relates to a signed decree of the Imam from which certain conclusions may be deduced, as I propose to do.

It is related in the book *Ikmal ad-Dzn wa Itmam an-Ni'ma* [111] that Ishaq ibn Ya'qub wrote a letter to the Imam of the Age [112] (may God hasten his renewed manifestation) asking him for guidance in certain problems that had arisen, and Muhammad ibn 'Uthman al-'Rmari, [113] the deputy of the Imam, conveyed the letter to him.

A response was issued, written in the blessed hand of the Imam, saying: „In case of newly occurring social circumstances, you should turn for guidance to those who relate our traditions, for they are my proof to you, as I am God's proof.”

What is meant here by the phrase „newly occurring social circumstances” (*havadis-i vaqi'a*) is not legal cases and ordinances. The writer of the letter did not wish to ask what was to be done in the case of legal issues that were without precedent.

For the answer to that question would have been self-evident according to the Shi'i school, and unanimously accepted traditions specify that one should have recourse to the *fuqaha* in such cases.

Indeed people had recourse to the *fuqaha* and made enquiries of them even during the lifetime of the Imams (upon whom be peace). A person living in the time of the Lesser Occultation and in communication with the four deputies of the Imam, who wrote a letter to him and received an answer, must have known whom to refer to for the solution of legal cases. What is meant by *havadis-i vaqi'a* is rather the newly arising situations and problems that affect the people and the Muslims. The question Ishaq ibn Ya'qub was implicitly posing was this: „Now that we no longer have access to you, what should we do with respect to social problems! What is our duty!” Or he may have mentioned certain specific events and then asked: „To whom should we have recourse for guidance in these matters!” But it seems that his question was general in intent and that the Imam responded in correspondingly general fashion, saying, „With respect to such occurrences and problems, you should refer to those who narrate our traditions, i.e., the *fuqaha*. They are my proofs to you, and I am God's proof to you.”

What is the meaning of „God's proof”! [114] What do you understand by this term? Can a single tradition count as a proof! If Zurara [115] related a tradition, would that make him a proof! Is the Imam of the Age comparable in authority to Zurara, whom we follow in the sense that we act upon a tradition of the Most Noble Messenger (peace and blessings be upon him) that Zurara has narrated! When it is said that the holder of authority is the proof of God, does it mean that he is a „proof” purely with respect to details of the law, with the duty of giving legal opinions! The Most Noble Messenger said: „I am now departing, and the Commander of the Faithful will be my proof to you.” Do you deduce from this that after the Prophet departed, all tasks came to an end except delivering legal opinions, and that this was all that was left for the Commander of the Faithful (upon whom be peace)! Or on the contrary, does the term „proof of God” mean that just as the Most Noble Messenger was the proof and authoritative guide of all the people, just as God had appointed him to guide people in all matters, so too the *fuqaha* are responsible for all affairs and are the authoritative guides of the people!

A „proof of God” is one whom God has designated to conduct affairs; all his deeds, actions, and sayings constitute a proof for the Muslims. If someone commits an offense, reference will be had to the „proof” for adducing evidence and formulating the charge.

If the „proof” commands you to perform a certain act, to implement the penal provisions of the law in a certain way, or to spend the income derived from booty, *zakat*, and *sadaqa* [116] in a certain manner, and you fail to obey him in any of these respects, then God Almighty will advance a „proof” against you on the Day of Judgment. If, despite the existence of the „proof,” you turn to oppressive authorities for the solution of your affairs, again God Almighty will refer to the „proof” as an argument against you on the Day of Judgment, saying. „I established a proof for you; why did you turn instead to the oppressors and the judicial system of the wrongdoers!” Similarly, God designates the Commander of the Faithful (upon whom be peace) as a „proof” against those who disobeyed him and followed false paths. Again, against those who assumed the caliphate, against Mu'awiya and the Umayyad caliphs, against the Abbasid caliphs, and those who acted in accordance with their desires, a proof and argument is established: „Why did you illicitly assume rule over the Muslims! Why did you usurp the caliphate and government, despite your unworthiness!”

God calls to account all oppressive rulers and all governments that act contrary to the criteria of Islam, asking them: „Why did you commit oppression? Why did you squander the property of the Muslims! Why did you organize millenary celebrations! [117] Why did you spend the wealth of the people on the coronation [118] and the abominable festivities that accompanied it!” If one of them should reply: „Given the circumstances of the day, I was unable to act justly, or to relinquish my pretentious, luxurious palaces; I had myself crowned to draw attention to my country and the degree of progress we had achieved,” he will then be answered: „The Commander of the Faithful was also a ruler; he ruled over all the Muslims and the whole of the broad Islamic realm. Were you more zealous than he in promoting the glory of Islam, the Muslims, and the lands of Islam! Was your realm more extensive than his! The country over which you ruled was but a part of his realm; Iraq, Egypt, and the Hijaz all belonged to his realm, as well as Iran. Despite this, his seat of command was the mosque: the bench of the judge was situated in one corner of the mosque, while in another, the army would prepare to set out for battle. That army was composed of people who offered their prayers regularly, were firm believers in Islam; you know well how swiftly it advanced and what results it obtained!”

Today, the fuqaha of Islam are proofs to the people. Just as the Most Noble Messenger (upon whom be peace and blessings) was the proof of God - the conduct of all affairs being entrusted to him so that whoever disobeyed him had a proof advanced against him - so, too, the fuqaha are the proof of the Imam (upon whom be peace) to the people. All the affairs of the Muslims have been entrusted to them. God will advance a proof and argument against anyone who disobeys them in anything concerning government, the conduct of Muslim affairs, or the gathering and expenditure of public funds.

There can be no doubt concerning the meaning of the tradition we have quoted, although it is possible to have certain reservations about its chain of transmission. Nonetheless, even if one does not regard the tradition as being in its own right a proof of the thesis we have advanced, it serves to support the other proofs we have mentioned.

Another tradition that supports our thesis is the maq'bala [119] of ‘Umar ibn Hanzala. Since this tradition refers to a certain verse of the Qur'an, we must first discuss the verse in question as well as the verses that precede it in order to elucidate its meaning, before we go on to examine the tradition.

In the Name of God. The Compassionate, The Merciful.

Verily God commands you to return trusts to their owners, and to act with justice when you rule among men. Verily God counsels you thus, and God is all-hearing, all-seeing. O you who believe, obey God and obey the Messenger and the holders of authority from among you [i.e., those entrusted with leadership and government]. When you dispute with each other concerning a thing, refer it to God and His Messenger; if you believe in God and the Last Day, this will be best for you and the result, most beneficial. (4:58-59)

In these verses God commands us to return trusts to their owners. Some people believe that what is meant here by „trusts” is twofold: trusts pertaining to men (i.e., their property), and those Pertaining to the Creator (i.e., the ordinances of the shari'a). [120] The sense of returning the divine trust would then be implementing the ordinances of Islam correctly and completely. Another group of exegetes believes instead that what is intended by „trust” is the imamate. [121] There is indeed a tradition that specifies: „We [the Imams, upon whom be peace] are those addressed in this verse,” for God Almighty commands the Most Noble Messenger (peace and blessings be upon him) and the Imams to entrust governance and leadership to their rightful possessors. Thus the Most Noble Messenger entrusted governance to the Commander of the Faithful (peace be upon him), who entrusted it to his successor, and each of his successors among the Imams handed it on in turn.

The verse goes on to say: „and to act with justice when you rule among men.” Those addressed here are the persons who hold the reins of affairs in their hands and conduct the business of government not judges, for the judge exercises only a juridical function, not a governmental one. The judge is a ruler only in a limited sense; the decrees that he issues are exclusively judicial, not executive. Indeed, in forms of government that have emerged in recent centuries, the judges represent one of the three branches of power, the other two being the executive (consisting of the council of ministers) and the legislative or planning body (the assembly or parliament). More generally, the judiciary is one of the branches of government and it fulfills one of the tasks of government. We must therefore conclude that the phrase „when you rule among men” relates to all the affairs of government, and includes both judges and

those belonging to the other branches of power.

Now it has been established that since all the concerns of religion constitute a divine trust, a trust that must be vested in its rightful possessors, a part of the trust must inevitably be government. Thus, in accordance with this verse, the conduct of all governmental affairs must be based on the criteria of justice, or to put it differently, on the law of Islam and the ordinances of the shari'a. The judge may not issue an incorrect verdict - i.e., one based on some illegitimate, non-Islamic code - nor may the judicial procedure he follows or the law on which he bases his verdict be non-Islamic and therefore invalid. For example, when those engaged in planning the affairs of the country draw up a fiscal program for the nation, they must not impose unjust taxes on peasants working publicly owned lands, reducing them to wretchedness and destroying the land and agriculture as a whole through the burden of excessive taxation. If the executive branch of government wishes to implement the juridical ordinances of the law and its penal provisions, they must not go beyond the limits of the law by inflicting extra lashes upon the offender or abusing him.

After the Commander of the Faithful (upon whom be peace) had cut off the hands of two thieves, he showed such love and concern in treating them and attending to their needs that they became his enthusiastic supporters. On another occasion, he heard that the plundering army of Mu'awiya had stolen an anklet from the foot of a dhimmi [122] woman. He became so distraught and his sensibilities were so offended that he said in a speech: „If a person were to die in circumstances such as mine, no one would reproach him.” But despite all this sensitivity, the Commander of the Faithful was also a man who would draw his sword when it was necessary - to destroy the workers of corruption - with all the strength he could muster. This is the true meaning of justice.

The Most Noble Messenger (peace and blessings upon him) is the foremost example of the just ruler. When he gave orders for the conquest of a certain area, the burning of a certain place, or the destruction of a certain group whose existence was harmful for Islam, the Muslims, and mankind in general, his orders were just. If he had not given orders such as these, it would have been the opposite of justice, because it would have meant neglecting the welfare of Islam, the Muslims, and human society.

Anyone who rules over the Muslims, or over human society in general, must always take into consideration the public welfare and interest, and ignore personal feelings and interests. For this reason, Islam is prepared to subordinate individuals to the collective interest of society and has rooted out numerous groups that were a source of corruption and harm to human society.

Since the Jews of Bani Qurayza were a troublesome group, causing corruption in Muslim society and damaging Islam and the Islamic state, the Most Noble Messenger (peace and blessings be upon him) eliminated them. [123]

Indeed, there are two essential qualities in the believer: he executes justice whenever necessary, with the utmost force and decisiveness and without exhibiting the least trace of feeling; and he displays the utmost love and solicitude whenever they are called for. In these two ways, the believer comes to serve as a refuge for society. Society, with both Muslim and non-muslim members, will achieve security and tranquillity as the result of government exercised by believers, and everybody will live in ease and without fear. The fact that men in our age live in fear of their rulers is because existing governments are not based on law; they are a form of banditry. But in the case of a government headed by someone like the Commander of the Faithful (upon whom be peace), that is, in the case of an Islamic government, only the traitors and oppressors-those who transgress and encroach on the rights of their fellows - suffer fear; for the public at large, fear and anxiety are nonexistent.

In the second of the two verses we have quoted, God Almighty says: „O you who believe, obey God and obey the Messenger and the holders of authority among you” (4:59).

According to a certain tradition, the beginning of the first verse (”return trusts to their owners”) is addressed to the Imams (upon whom be peace), the next part of that verse, concerning rule with justice, is addressed to those who exercise command, and the second verse (”O you who believe ...”) is addressed to the entire Muslim people. God commands them to obey Him by following His divine ordinances, and to obey His Most Noble Messenger (upon whom be peace and blessings) as well as the holders of authority (i.e., the Imams) by adhering to their teachings and following their governmental decrees.

I have already said that obedience to the commands of God Almighty is different from obedience to the Most Noble Messenger (peace and blessings be upon him). All the ordinances of divine law, whether or not they relate to worship, are the commands of God and to implement them is to obey God. The Most Noble Messenger did not issue any commands concerning prayer, and if he urged men to pray, it was by way of confirming and implementing God's command. When we pray, we too are obeying God; obeying the Messenger is different from obeying God.

The commands of the Most Noble Messenger (upon whom be peace and blessings) are those that he himself issued in the course of exercising his governmental function, as when, for example, he commanded the Muslims to follow the army of Usama, [124] to protect the frontiers of the Islamic state in a certain way, to levy taxes on certain categories of people, and in general to interact with people in certain prescribed ways. All of these were commands of the Prophet. God has laid upon us the duty of obeying the Messenger. It is also our duty to follow and obey the holders of authority, who, according to our beliefs, are the Imams (upon whom be peace). Of course, obedience to their governmental decrees is also a form of obedience to God. Since God Almighty has commanded us to follow the Messenger and the holders of authority, our obeying them is actually an expression of obedience to God.

The verse we have cited continues: „When you dispute with each other concerning a thing, refer it to God and His Messenger. „ Disputes that arise among people are of two kinds. First, there is the dispute arising between two groups or two individuals concerning a particular matter or claim. For example, someone may claim that there is a debt owed him, while the other party denies it; the truth of the matter must then be established, in accordance either with the shari'a or with customary law. [125] In such cases one must turn to judges, who will examine the matter and deliver an appropriate verdict. The first kind of dispute, then, is a civil one.

The second kind of dispute does not concern a disagreement of this type, but relates to oppression and crime. If a robber takes someone's property by force, for example, or makes illicit use of people's property, or if a burglar enters someone's house and carries off his property, the competent authority to whom one should have recourse is not the judge but the public prosecutor.

In such matters as this, which relate to penal not civil law (apart from some cases, which are simultaneously civil and penal), it is primarily the public prosecutor who is the guardian of the law and its ordinances and the protector of society. He begins his task by issuing an indictment, and then the judge examines the matter and delivers his verdict. The verdicts issued, whether civil or penal in nature, are put into effect by another branch of power, the executive.

The Qur'an says, then, in effect: „Whenever a dispute arises among you concerning any matter, your point of reference must be God and His ordinances and the Messenger, the executor of those ordinances. The Messenger must receive the ordinances from God and implement them. If any dispute arises among you concerning a debt or a loan, the Messenger will intervene in his capacity as judge and deliver a verdict. If other disputes arise involving unlawful coercion or the usurpation of rights, again it is to the Prophet that you should have recourse. Since he is the head of the Islamic state, he is obliged to enact justice. He must dispatch an official whose duty it is to recover the usurped right and restore it to its owner. Further, in any matter where people had recourse to the Messenger, recourse must be had to the Imams, and obedience to the Imams is, in effect, obedience to the Most Noble Messenger.”

In short, both of these verses, with all their components, embrace government in general as well as judgehood; they are not restricted in any way to the function of judging, quite aside from the consideration that certain verses of the Qur'an explicitly relate to government in the sense of the executive.

In the next verse, God says: „Have you not looked at those who claim to believe in what was revealed to you and what was revealed before you! They wish to seek justice from taghut [illegitimate powers], even though they have been commanded to disbelieve therein” (4:60). Even if we do not interpret taghut as oppressive governments and all illicit forms of power that have revolted against divine government in order to establish monarchy or some other form of rule, we must still interpret it as including both judges and rulers. For customarily, one has recourse to the judicial authorities to initiate legal proceedings and obtain redress and the punishment of the offender, but then, the juridical verdict that they reach must be implemented by the executive power, which usually forms a separate branch of

the government.

Tyrannical governments - including the judiciary, the executive, and all other components of the state - comprise what is meant by taghut, for they have rebelled against divine command by instituting evil laws, implementing them, and then making them the basis of judicial practice. God has commanded us to disbelieve in them; that is, to revolt against them and their commands and ordinances. All who wish to disbelieve, in this sense, in the taghut - that is, to rise up in disobedience against illegitimate ruling powers - have a formidable duty that they must strive to fulfill as far as they are able.

Now let us examine the tradition known as the maqbulah of Umar ibn Hanzala to establish its meaning and intent. Umar ibn Hanzala says: „I asked Imam Sadiq (upon whom be peace) whether it was permissible for two of the Shi'is who had a disagreement concerning a debt or a legacy to seek the verdict of the ruler or judge. He replied: 'Anyone who has recourse to the ruler or judge, whether his case be just or unjust, has in reality had recourse to taghut [i.e., the illegitimate ruling power]. Whatever he obtains as a result of their verdict, he will have obtained by forbidden means, even if he has a proven right to it, for he will have obtained it through the verdict and judgment of the taghut, that power which God Almighty has commanded him to disbelieve in.' „ („They wish to seek justice from illegitimate powers, even though they have been commanded to disbelieve therein” [4:60].)

Umar ibn Hanzala then asked: „What should two Shi'is do then, under such circumstances?” Imam Sadiq answered: „They must seek out one of you who narrates our traditions, who is versed in what is permissible and what is forbidden, who is well acquainted with our laws and ordinances, and accept him as judge and arbiter, for I appoint him as judge over you. [126]

As both the beginning and the conclusion of this tradition make clear, and also the reference made by the Imam (peace be upon him) to the Qur'anic verse, the scope of the question put to the Imam was general, and the instructions he gave in response were also of general validity. I said earlier that for the adjudication of both civil and penal cases, one must have recourse to judges, as well as to the executive authorities or general governmental authorities. One has recourse to judges in order to establish the truth, reconcile enmities, or determine punishment; and to the executive authorities, in order to obtain compliance with the verdict given by the judge and the enactment of his verdict, whether the case is civil or penal in nature. It is for this reason that in the tradition under discussion the Imam was asked whether we may have recourse to the existing rulers and powers, together with their judicial apparatus.

In his answer the Imam forbids all recourse to illegitimate governments, including both their executive and their judicial branches. He forbids the Muslims to have recourse in any of their affairs to kings and tyrannical rulers, as well as to the judges who act as their agents, even if they have some well-established right that they wish to have enforced. Even if a Muslim's son has been killed or his house has been ransacked, he does not have the right of recourse to oppressive rulers in order to obtain justice. Similarly, if a debt is owed to him and he has irrefutable evidence to that effect, again he may not have recourse to judges who are the servants and appointees of oppressor. If a Muslim does have recourse to them in such cases and obtains his undeniable rights by means of those illegitimate powers and authorities, the result he obtains will be haram, [127] and he will have no right to make use of it. Certain fuqaha have even gone so far as to say that in cases where property is restored, the same rule applies. For example, if your cloak is stolen from you, and you regain it through the intervention of an illegitimate authority, you have no right to wear it.

This particular ruling is open to discussion, but there is no doubt in more general cases. For example, if someone has a debt owed to him and, in order to obtain it, has recourse to a body or authority other than that specified by God, and he subsequently receives his due, he may not legitimately put it to use. The fundamental criteria of the shari'a make this necessary.

So this is the political ruling of Islam. It is a ruling that makes Muslims refrain from having recourse to illegitimate powers and their appointed judges, so that non-Islamic and oppressive regimes may fall and the top-heavy judicial systems that produce nothing for the people but trouble may be abolished. This, in turn, would open the way for having recourse to the Imams (upon whom be peace) and those to whom they have assigned the right to govern and judge. The Imams wanted to prevent kings and the judges appointed by them from attaining any form of authority, for God Himself had commanded men to disbelieve in kings and unjust rulers (i.e., to rebel against them), [128] and to have recourse to them would conflict with this duty. If you disbelieve in them and regard them as oppressors who are unfit to

rule, you must not have recourse to them.

What, then, is the duty of the Islamic community in this respect! What are they to do when new problems occur and dispute arises among them! To what authority should they have recourse! In the tradition previously quoted, the Imam (upon whom be peace) said: „They must seek out one of you who narrates our traditions, who is versed in what is permissible and what is forbidden”-that is, whenever disputes arise among them, they should seek to have them resolved by those who narrate our hadith, are acquainted with what God has made permissible and forbidden, and comprehend our ordinances in accordance with the criteria of reason and the shari'a. The Imam did not leave any room for ambiguity lest someone say, „So, scholars of tradition are also to act as authorities and judges.” The Imam mentioned all the necessary qualifications and specified that the person to whom we have recourse must be able to give an opinion concerning what is permissible and forbidden in accordance with the well known rules, must be acquainted with the ordinances of Islam, and must be aware of the criteria needed to identify the traditions originating in taqiya or similar circumstances (which are not to be taken as valid). It is obvious that such knowledge of the ordinances of Islam and expertise in the science of tradition is different from mere ability to narrate tradition.

In the same tradition the Imam goes on to say: „I appoint him as ruler over you”-that is, „I appoint as ruler over you one who possesses such qualifications; I appoint anyone who possesses them to conduct the governmental and judicial affairs of the Muslims, and the Muslims do not have the right to have recourse to anyone other than him.” Therefore, if a robber steals your property, you should bring your complaint to the authorities appointed by the Imam. If you have a dispute with someone concerning a debt or a loan and you need the truth of the matter to be established, again you should refer the matter to the judge appointed by the Imam, and not to anyone else. This is the universal duty of all Muslims, not simply of ‘Umar ibn Hanzala, who, when confronted by a particular problem, obtained the ruling. This decree issued by the Imam, then, is general and universal in scope. For just as the Commander of the Faithful (upon whom be peace), while he exercised rule, appointed governors and judges whom all Muslims were bound to obey, so, too, Imam Sadiq (upon whom be peace), holding absolute authority and empowered to rule over all the ‘ulama, the fuqaha, and the people at large, was able to appoint rulers and judges not only for his own lifetime, but also for subsequent ages. This indeed he did, naming the fuqaha as „rulers,” so that no one might presume that their function was restricted to judicial affairs and divorced from the other concerns of government.

We may also deduce from the beginning and end of this tradition, as well as from the Qur'anic verse to which it refers, that the Imam was not concerned simply with the appointing of judges and did not leave other duties of the Muslims unclarified, for otherwise, one of the two questions posed to him - that concerned with seeking justice from illicit executive authorities - would have remained unanswered.

This tradition is perfectly clear; there are no doubts surrounding its chain of transmission or its meaning. No one can doubt that the Imam (peace be upon him) designated the fuqaha to exercise the functions of both government and judgeship. It is the duty of all Muslims to obey this decree of the Imam.

In order to clarify the matter still further, I will adduce additional traditions, beginning with that of Abu Khadija.

Abu Khadija, one of the trusted companions of Imam Sadiq (upon whom be peace), relates: „I was commanded by the Imam to convey the following message to our friends [i.e., the Shi'a]: ‘When enmity and dispute arise among you, or you disagree concerning the receipt or payment of a sum of money, be sure not to refer the matter to one of these malefactors for judgment. Designate as judge and arbiter someone among you who is acquainted with our injunctions concerning what is permitted and prohibited, for I appoint such a man as judge over you. Let none of you take your complaint against another of you to the tyrannical ruling power.’ [129]

The meaning of the phrase „dispute concerning a thing” relates to civil disputes, so that the first part of the Imam's decree means that we are not to have recourse to the malefactors. By „malefactors” are meant those judges whom the rulers of the day and illegitimate governments have allowed to occupy the position of judge. The Imam goes on to say, „Let none of you take your complaint against another of you to the tyrannical ruling power.” That is to say, „Whatever personal disputes arise among you, do

not have recourse to tyrannical authorities and illegitimate powers; do not seek their aid in matters relating to the executive.” The expression „tyrannical ruler” refers, in general, to all illegitimate powers and authorities (that is, all non-Islamic rulers) and embraces all three branches of government- judicial, legislative, and executive. Considering that earlier in the tradition, recourse to tyrannical judges is prohibited, however, it appears that this second prohibition relates to the executive branch. The final sentence is not a repetition of the preceding statement. First, the Imam prohibits having recourse to impious judges in the various matters that are their concern (interrogation, the establishment of proof, and so on), designates those who may act as judge, and clarifies the duties of his followers. Then he declares that they must refrain from having recourse to illegitimate rulers. This makes it plain that the question of judges is separate from that of having recourse to illegitimate authority; they are two different subjects. Both are mentioned in the tradition of ‘Umar ibn Hanzala; there, the seeking of justice from both illegitimate authorities and judges is forbidden. In the tradition of Abu Khadija, the Imam has appointed only judges, but in that reported by ‘Umar ibn Hanzala, the Imam has designated both those who are to act as ruler and executive and those who are to act as judge.

In accordance with the tradition narrated by Abu Khadija, then, the Imam designated the fuqaha as judges in his own lifetime, and according to that narrated by ‘Umar ibn Hanzala, he assigned them both governmental and judicial authority. We must now examine whether the fuqaha automatically forfeited those functions when the Imam left this world. Were all the judges and rulers appointed by the Imams somehow dismissed from their functions when the Imams left!

The governance of the Imams differs, of course, from that of all others; according to the Shi’i school, all the commands and instructions of the Imams must be obeyed, both during their lifetime and after their death. But, aside from this consideration, let us see what becomes of the functions and duties they have assigned in this world to the fuqaha.

In all existing forms of government, whether monarchical, republican, or following some other model, if the head of state dies or circumstances change so that there is a change in administration, military ranks and appointments are not affected. For example, a general will not automatically be deprived of his rank, an ambassador will not be dismissed from his post, and a minister of finance or a provincial or local governor will not be removed.

The new administration or successor administration may, of course, dismiss or transfer them from their posts, but their functions are not automatically withdrawn from them.

Obviously, certain powers do automatically terminate with the death of the person who conferred them. Such is the case with *ijaza-yi hasbiya*, the authority given someone by a faqih to fulfill certain tasks on his behalf in a given town; when the faqih dies, this authority expires. But, in another case, if a faqih appoints a guardian for a minor or a trustee for an endowment, the appointments he makes are not annulled by his death but continue in force.

The judicial and governmental functions assigned by the Imams to the fuqaha of Islam are retained permanently. The Imam (upon whom be peace) was certainly aware of all aspects of the matter, and there can be no possibility of carelessness on his part. He must have known that in all governments of the world the position and authority of individual officeholders is not affected by the death or departure of the head of state. If he had intended that the right to govern and judge should be withdrawn after his death from the fuqaha whom he had designated, he would have specified that to be the case, saying: „The fuqaha are to exercise these functions as long as I live.”

According to this tradition, then, the ‘ulama of Islam have been appointed by the Imam (upon whom be peace) to the positions of ruler and judge, and these positions belong to them in perpetuity. The possibility that the next Imam would have annulled this ruling and dismissed the fuqaha from these twin functions is extremely small. For the Imam forbade the Muslims to have recourse to kings and their appointed judges for the purpose of obtaining their rights, and designated recourse to them as equivalent to recourse to the taghut; then, referring to the verse that ordains disbelief in taghut, [130] he appointed legitimate judges and rulers for the people. If his successor as Imam were not to have assigned the same functions to the fuqaha, what should the Muslims have done, and how would they have resolved their differences and disputes! Should they have had recourse to sinners and oppressors, which would have been equivalent to recourse to the taghut and thus a violation of divine command! Or should they have had recourse to no one at all, depriving themselves of all authority and refuge,

which would have allowed anarchy to take over, with people freely usurping each other's property, transgressing against each other's rights, and being completely unrestrained in all they did!

We are certain that if Imam Sadiq (upon whom be peace) assigned these functions to the fuqaha, neither his son Musa nor any of the succeeding Imams abrogated them. Indeed, it is not possible for them to have abrogated these functions and said: „Henceforth, do not have recourse to the just fuqaha for the settlement of your affairs; instead, turn to kings, or do nothing at all and allow your rights to be trampled underfoot.”

Naturally, if an Imam appoints a judge to a certain city, his successor may dismiss that judge and appoint another in his place, but the positions and functions that have been established cannot themselves be abolished. That is self-evident.

The tradition that I shall now quote supports the thesis I have been advancing. If the only proof I had were one of the traditions I have been citing, I would be unable to substantiate my claim.

Its essence, however, has been proved by the traditions already cited; what follows now is by way of supplementary evidence.

Imam Sadiq (upon whom be peace) relates that the Prophet (upon whom and whose family be peace and blessings) said: „For whoever travels a path in search of knowledge, God opens up a path to paradise, and the angels lower their wings before him as a sign of their being well pleased [or God's being well pleased]. All that is in the heavens and on earth, even the fish in the ocean, seeks forgiveness for him. The superiority of the learned man over the mere worshipper is like that of the full moon over the stars. Truly the scholars are the heirs of the prophets; the prophets bequeathed not a single dinar or dirham; instead they bequeathed knowledge, and whoever acquires it has indeed acquired a generous portion of their legacy. [131]

The links in the chain of transmission of this tradition are all trustworthy; in fact, Ibrahim ibn Hashim, father of ‘All ibn Ibrahim, is not moderately trustworthy but outstandingly so. The same tradition has been narrated with a slightly different text by another chain of transmission, one that is sound as far as Abu ‘I-Bukhturi, although Abu ‘I-Bukhturi himself is of questionable reliability. Here is the second version of the tradition: „Muhammad ibn Yahya relates, on the authority of Ahmad ibn Muhammad ibn ‘Isa, who was told it by Muhammad ibn Khalid, to whom it was narrated by Abu ‘I-Bukhturi; that Imam Ja‘far as-Sadiq (upon whom be peace) said: ‘The scholars are the heirs of the prophets, for although the prophets bequeathed not a single dinar or dirham, they bequeathed their sayings and traditions. Whoever, then, acquires a portion of their traditions has indeed acquired a generous portion of their legacy. Therefore, see from whom you may acquire this knowledge, for among us, the Family of the Prophet, there are in each generation just and honest people who will repel those who distort and exaggerate, those who initiate false practices, and those who offer foolish interpretations [that is, they will purify and protect religion from the influence of such biased and ignorant people and others like them].’” [132]

Our purpose in citing this tradition (which has also been referred to by the late Naraiqi) is that it clarifies the meaning of the expression: „The scholars are the heirs of the prophets.” There are several matters that must be explained at this point.

First, who are „the scholars”? Is it intended to mean the scholars of the Muslim community or the Imams (upon whom be peace)! Some people are of the opinion that probably the Imams are intended. But it would appear that, on the contrary, the scholars of the community - the ‘ulama - are intended. The tradition itself indicates this, for the virtues and qualities of the Imams that have been mentioned elsewhere are quite different from what this tradition contains. The statement that the prophets have bequeathed traditions and whoever learns those traditions acquires a generous portion of their legacy cannot serve as a definition of the Imams. It must therefore refer to the scholars of the community. In addition, in the version narrated by Abu ‘I-Bukhturi, after the phrase: „The scholars are the heirs of the prophets,” we read: „Therefore, see from whom you may acquire this knowledge.” It seems that what is intended here is that, indeed, the scholars are the heirs of the prophets, but one must be careful in the choice of a person from whom to acquire the knowledge the prophets have bequeathed. It would contradict the obvious meaning of the tradition, therefore, to maintain that the Imams are intended by the expression „heirs of the prophets” and that it is from them that people must acquire knowledge.

Anyone acquainted with the traditions that relate to the status of the Imams and the rank accorded them by the Most Noble Messenger (peace and blessings be upon him and his family) will immediately realize that it is not the Imams but the scholars of the community who are intended in this tradition. Similar qualities and epithets have been used for the scholars in numerous other traditions; e.g., „The scholars of my community are like all the prophets preceding me,” and „The scholars of my community are like the prophets of the Children of Israel.”

To conclude, then, it is obvious that the ‘ulama - the scholars-are intended here.

There is a second objection that might be raised here, which calls for clarification. It might be said that the expression: „The scholars are the heirs of the prophets” cannot be used as a proof of our thesis - the governance of the faqih - since the prophets (anbiya) have only one dimension of prophethood, which is that they derive knowledge from an exalted source by means of revelation, inspiration, or some other method, and this does not imply or require rule over the people or the believers. If God Almighty has not bestowed leadership and rule on the prophets, they can in no wise possess it; they are only prophets in the narrow sense of the word. If they have been ordained to communicate the knowledge they have received, then it will be their duty at most to communicate it to the people. For in our traditions, a distinction is made between the prophet (nabi) and the messenger (rasul): the latter has the mission of communicating the knowledge he has received, while the former merely receives it. In addition, the state of prophethood (nubuwwat) is different from that of governance (vilayat), and it is this titular designation of „prophet” (nabi) that has been used in this tradition. The scholars have been made the successors of the prophets with respect to this titular designation, and since this designation does not imply or necessitate governance (vilayat), we cannot deduce from the tradition that the scholars are to possess governance. If the Imam had said that the scholars hold the rank of Moses or Jesus, we would naturally infer that the scholars possess all of the aspects and qualities of Moses or Jesus, including governance, but since he did not say this and did not assign to the scholars the rank of any particular person among the prophets, we cannot draw that particular conclusion from the tradition in question.

In answer to this objection, it must first be stated that the criterion for the understanding of traditions and their wording must be common usage and current understanding, not precise technical analysis, and we, too, follow this criterion. Once a faqih tries to introduce subtle technical points into the understanding of traditions, many matters become obscured. So if we examine the expression: „The scholars are the heirs of the prophets” in the light of common usage, will it occur to us that only the titular designation of „prophet” is intended in the tradition, and that the scholars are heirs only to what is implied in that designation! Or on the contrary, does this expression provide a general principle that can be applied to individual prophets! To put it differently: if we were to ask someone who is aware only of the common usage of words, „Is such-and-such a faqih a successor of Moses and Jesus!” he would answer - in the light of the tradition under discussion-”Yes, because Moses and Jesus are prophets.” Again, if we were to ask, „Is the faqih an heir to the Most Noble Messenger (peace and blessings be upon him and his family)!” he would answer, „Yes, because the Most Noble Messenger is one of the prophets.”

We cannot, therefore, take the word „prophets” as a titular designation, particularly since it is in the plural. If the singular „prophet” were used in the tradition, then it might be possible that only the titular designation were intended, but since the plural is used, it means „every one of the prophets,” not „every one of the prophets with respect to that by virtue of which they are prophets.” This latter sense would indeed indicate that the titular designation exclusively was intended, as distinct from all other designations, so that the expression would come to mean, „The faqih enjoys the stature of the prophet (nabi), but not that of the messenger (rasul) nor that of the ruler (vali).” Analyses and interpretations like these, however, go against both common usage and reason.

For a third objection, let us suppose that the scholars are given the stature of the prophets with respect to their titular designation, with respect to that by virtue of which they are prophets.

We must then regard the scholars as possessing all the attributes that God Almighty has designated the prophets as possessing, in accordance with this same equation of the scholars with the prophets. If, for example, someone says that so-and-so enjoys the same rank as the just and says next that we must honor the just, we infer from the two statements taken together that we must honor the person in

question. This being the case, we can infer from the Qur'anic verse: „The prophet has higher claims on the believers than their own selves” (33:6) that the ‘ulama possess the function of governance just as the prophet does. For what is implicit in having „higher claims” is precisely governance and command. In commenting upon the verse in question, the work *Majma' alBahrayn* [133] cites a tradition of Imam Baqir (upon whom be peace): „This verse was revealed concerning governance and command.” The prophet, then, is empowered to rule and govern over the believers, and the same rule and governance that has been established for the Most Noble Messenger (peace and blessings be upon him and his family) is also established for the scholars, for both in the verse quoted and in the tradition under discussion the titular designation „prophet” has been used.

We can, moreover, refer to a number of verses that designate the prophet as possessing various qualities and attributes, as, for example: „Obey God and obey the Messenger and the holders of authority from among you” (Qur'an, 4:59). Although a distinction is made in certain traditions between „prophet” and „messenger” with respect to the mode of revelation, rationally and in common usage the two words denote the same meaning. According to common usage, the „prophet” is one who receives tidings from God, and the „messenger” is one who conveys to mankind what he has received from God.

A fourth objection might also be raised. The ordinances that the Most Noble Messenger (peace and blessings be upon him and his family) left are a form of legacy, even though they are not designated technically as such, and those who take up those ordinances are his heirs. But what proof is there that the function of governance that the Prophet exercised could be bequeathed or inherited! It might be that what could be bequeathed and inherited consisted Only of his ordinances and his traditions, for the tradition states that the prophets bequeathed knowledge, or, in the version narrated by Abu ‘I-Bukhturi, that they bequeathed „a legacy of their sayings and traditions.” It is apparent, then, that they bequeathed their traditions, but governance cannot be bequeathed or inherited.

This objection is also unjustified. For governance and command are extrinsic and rational matters; concerning these matters we must have recourse to rational persons. We might ask them whether they regard the transfer of governance and rule from one person to another by way of bequest as possible. For example, if a rational person is asked, „Who is heir to the rule in such-and-such a country!” will he answer that the position of ruler cannot be inherited, or say instead that such-and-such a person is the heir to the crown and the throne! „Heir to the throne” is a well-known current expression. There can be no doubt that, rationally speaking, governance can be transferred from one person to another just like property that is inherited. If one considers first the verse: „The prophet has higher claims on the believers than their own selves,” and then the tradition: „The scholars are the heirs of the prophets,” he will realize that both refer to the same thing: extrinsic matters that are rationally capable of being transferred from one person to another.

If the phrase: „The scholars are the heirs of the prophets” referred to the Imams (upon whom be peace) - as does the tradition to the effect that the Imams are the heirs of the Prophet (peace and blessings be upon him and his family) in all things - we would not hesitate to say that the Imams are indeed the heirs of the Prophet in all things, and no one could say that the legacy intended here refers only to knowledge and legal questions. So if we had before us only the sentence: „The scholars are the heirs of the prophets” and could disregard the beginning and end of the tradition, it would appear that all functions of the Most Noble Messenger that were capable of being transmitted-including rule over people-and that devolved on the Imams after him, pertain also to the fuqaha, with the exception of those functions that must be excluded for other reasons and which we too exclude wherever there is reason to do so.

The major problem still remaining is that the sentence: „The scholars are heirs of the prophets” occurs in a context suggesting that the traditions of the prophets constitute their legacy. The authentic tradition narrated by Qaddah reads: „The prophets bequeathed not a single dinar or dirham; instead they bequeathed knowledge.” That related by Abu ‘I-Bukhturi reads: „Although the prophets did not bequeath a single dinar or dirham, they bequeathed their sayings and traditions.” These statements provide a context suggesting that the legacy of the prophets is their traditions, and that nothing else has survived of them that might be inherited, particularly since the particle „'Innama” occurs in the text of the tradition, indicating exclusivity.

But even this objection is faulty. For if the meaning were indeed that the Most Noble Messenger (peace and blessings be upon him and his family) had left nothing of himself that might be inherited except his traditions, this would contradict the very bases of our Shi'i school. The Prophet did indeed leave things that could be inherited, and there is no doubt that among them was his exercise of rule over the community, which was transmitted by him to the Commander of the Faithful (upon whom be peace), and then to each of the other Imams (peace be upon them all) in succession. The particle „inna” does not always indicate exclusivity, and indeed there are doubts that it ever does; in addition, „inna” does not occur in the text narrated by Qaddah, but only in that related by Abu 'I-Bukhturi, whose chain of transmission is weak, as I have already said.

Now let us examine in turn each of the sentences in the text narrated by Qaddah in order to see whether the context does, in fact, indicate that the legacy of the prophets consists exclusively of their traditions.

„For whoever travels a path in search of knowledge, God opens up a path to paradise.” This is a sentence in praise of scholars, but not in praise of any scholar, so that we imagine the sentence to be uniformly praising all types of scholar. Look up the traditions in al-Kafi concerning the attributes and duties of scholars, and you will see that in order to become a scholar and an heir of the prophets, it is not enough to study a few lines. The scholar also has duties he must perform, and therein lies the real difficulty of his calling.

„The angels lower their wings before him as a sign of their being well pleased with him.” The meaning of „lower their wings” is obvious to those who concern themselves with these matters. It is an act signifying humility and respect.

„All that is in the heavens and on earth, even the fish in the ocean, seeks forgiveness for him.” This sentence does not require detailed explanation because it is not relevant to our present theme.

„The superiority of the learned man over the mere worshipper is like that of the full moon over the stars.” The meaning of this sentence is clear.

„Truly the scholars are the heirs of the prophets.” The entire tradition, from its beginning down to and including this sentence, is in praise of the scholars and in exposition of their virtues and qualities, one of these qualities being that they are the heirs of the prophets. Being the heirs of the prophets becomes a virtue for the scholars when they exercise governance and rule over the people, like the prophets, and obedience to them is a duty.

The meaning of the next expression in the tradition, „The prophets bequeathed not a single dinar or dirham,” is not that they bequeathed nothing but learning and traditions. Rather it is an indication that although the prophets exercised authority and ruled over people, they were men of God, not materialistic creatures trying to accumulate worldly wealth. It also implies that the form of government exercised by the prophets was different from monarchies and other current forms of government, which have served as means for the enrichment and gratification of the rulers.

The way of life of the Most Noble Messenger (peace and blessings be upon him) was extremely simple. He did not use his authority and position to enrich his material life in the hope of leaving a legacy. What he did leave behind was knowledge, the most noble of all things, and in particular, knowledge derived from God Almighty. Indeed, the singling out of knowledge for mention in this tradition may have been precisely because of its nobility.

It cannot be said that since the qualities of the scholars are mentioned in this verse together with their being heirs to knowledge and not heirs to property, therefore, the scholars are heirs only to knowledge and traditions.

In certain cases, the phrase: „What we leave behind is charity” has been added to the tradition, but it does not truly belong there.

Found only in Sunni versions of the tradition, it has been added for political reasons. [134]

The most we can say with respect to the context these sentences provide for the statement: „The scholars are heirs to the prophets” is that the statement cannot be taken in an absolute sense, which would mean that everything that pertains to the prophets also pertains to the scholars. Nor can the statement, because of its context, be taken in the restricted sense that the scholars are heirs only to the knowledge of the prophets. If that were the sense, the tradition would contradict the other traditions we quoted earlier in connection with our theme and tend to negate them. A restricted sense cannot be derived from this.

For the sake of argument, if it were true that this tradition means that the Most Noble Messenger (peace and blessings be upon him and his family) left no legacy but knowledge, and that rulership and governance can be neither bequeathed nor inherited, and if, too, we did not infer from the Prophet's saying: „'Ali is my heir” that the Commander of the Faithful (peace be upon him) was indeed his successor, then we would be obliged to have recourse to *nass* [135] with respect to the successorship of the Commander of the Faithful and the remaining Imams (peace be upon them). We would then follow the same method with respect to the exercise of governance by the *faqih*, for according to the tradition cited above, the *fuqaha* have been appointed to the functions of successorship and rule. Thus we have reconciled this tradition with those that indicate appointment.

In his 'Awa'zd,' [136] Naraqı quotes the following tradition from the *Fiqh-i Rizavi* [137]: „The rank of the *faqih* in the present age is like that of the prophets of the Children of Israel.” Naturally, we cannot claim that the *Fiqh-i Rizavi* was actually composed by Imam Rıza, but it is permissible to quote it as a further support for our thesis.

It must be understood that what is meant by „the prophets of the Children of Israel” are indeed prophets, not *fuqaha* who lived in the time of Moses and may have been called prophets for some reason or other. The *fuqaha* who lived in the time of Moses were all subject to his authority and exercised their functions in obedience to him. It may be that when he dispatched them somewhere to convey a message, he would also appoint them as „holders of authority” - naturally, we are not precisely informed about these matters - but it is obvious that Moses himself was one of the prophets of the Children of Israel, and that all of the functions that existed for the Most Noble Messenger (peace and blessings be upon him and his family) also existed for Moses, with a difference, of course, in rank, station, and degree. We deduce from the general scope of the word „rank” in this tradition, therefore, that the same function of rulership and governance that Moses exercised exists also for the *fuqaha*.

The *Jami' al-Akhbar* [138] contains the following tradition of the Most Noble Messenger (peace and blessings be upon him and his family): „On the Day of Judgment I will take pride in the scholars of my community, for the scholars of my community are like the prophets preceding me.” This tradition also serves to support my thesis.

In the *Mustadrak*, [139] a tradition is quoted from the *Ghurur* [140] to the following effect: „The scholars are rulers over the people.” One version reads „*hukanza*” (“wise men”) instead of „*hukkam*” (“rulers”), but this appears to be incorrect. According to the *Ghurur*, the form „*hukkam*” is correct. The meaning of this tradition is self-evident, and if its chain of transmission is valid, it may also serve to support my thesis.

There are still additional traditions that may be quoted. One of them is quoted in *Tuhaf al-Uqul* [141] under the heading: „The Conduct of Affairs and the Enforcement of Ordinances by the Scholars.” The tradition consists of two parts. The first is a tradition transmitted by the Lord of the Martyrs (peace be upon him) from the Commander of the Faithful, 'Ali (peace be upon him), and concerns the enjoining of the good and the prohibition of the evil. The second part is the speech of the Lord of the Martyrs concerning the governance of the *faqih* and the duties that are incumbent upon the *fuqaha*, such as the struggle against oppressors and tyrannical governments in order to establish an Islamic government and implement the ordinances of Islam. In the course of this celebrated speech, which he delivered at Mina, [142] he set forth the reasons for his own *jihad* against the tyrannical Umayyad state. Two important themes may be deduced from this tradition.

The first is the principle of the governance of the *faqih*, and the second is that the *fuqaha*, by means of *jihad* and enjoining the good and forbidding the evil, must expose and overthrow tyrannical rulers and rouse the people so that the universal movement of all alert Muslims can establish Islamic government in place of tyrannical regimes.

This is the tradition. [143] The Lord of the Martyrs (upon whom be peace) said: „O people, take heed of the counsel God gave His friends when He rebuked the rabbis by saying, 'Why do their scholars and rabbis not forbid their sinful talk and consumption of what is forbidden [that is, such talk and consumption on the part of the Jews]! Truly what they have done is evil' (Qur'an, 5:63).

Again God says: 'Cursed by the tongue of David and Jesus, son of Mary, are those among the Children of Israel who have failed to believe on account of their rebellion and transgression. They did not prevent each other from committing vile and corrupt acts; what they did was abominable!' (Qur'an,

5:78). God blamed and reproached them because they saw with their own eyes the oppressors committing vile and corrupt acts, but did not stop them, out of love for the income they received from them as well as fear of persecution and injury. However, God orders us to fear Him, not men, and He says: 'The believing men and women are friends and protectors to each other; they enjoin the good and forbid the evil' (aur'an, 9:71).

„We see that in this verse, in the course of enumerating the attributes of the believers, the attributes that indicate mutual affection, solicitude, and the desire to guide each other, God begins with enjoining the good and forbidding the evil, considering this the prime duty. For He knows that if this duty is performed and is established within society, performance of all other duties will follow, from the easiest to the most difficult. The reason for this is that enjoining the good and forbidding the evil means summoning people to Islam, which is a struggle to establish correct belief in the face of external opposition, while at the same time vindicating the rights of the oppressed; opposing and struggling against oppressors within the community; and endeavoring to ensure that public wealth and the income derived from war are distributed in accordance with the just laws of Islam, and that taxes [zakat and all other forms of fiscal income, whether compulsory or voluntary] are collected, levied, and expended in due and proper form.

„O scholars, you who are celebrated and enjoy good repute On account of your learning! You have achieved fame in society because of your devotion, the good counsel you impart, and the guidance you dispense. It is on account of God that men venerate and stand in awe of you, so that even the powerful fear you and feel compelled to rise respectfully before you, and men who are not subject to you and over whom you hold no authority willingly regard themselves as your subordinates and grant you favors they deny themselves. When the people do not receive their due from the public treasury, you intervene and act with the awesomeness and imperiousness of monarchs and the stature of the great. Have you not earned all these forms of respect and prestige because of men's hopes that you will implement God's laws, even though in most instances you have failed to do so!

„You have failed to enforce most of the rights you were entrusted to preserve. You have neglected the rights of the oppressed and the lowly, squandered the rights of the weak and the powerless, but pursued assiduously what you regard as your personal rights. You have not spent your money or risked your lives for the sake of the One Who gave you life, nor have you fought against any group or tribe for the sake of God. You desire, and regard it as your due, that He should grant you paradise, the company of the Prophet, and security from hellfire in the hereafter. You who have such expectations of God, I fear that the full weight of His wrath will descend upon you, for although it is by His might and glory that you have achieved high rank, you show no respect to those who truly know God and wish to disseminate their knowledge, while you yourselves enjoy respect among God's bondsmen on His account.

„I am also afraid for you for another reason: you see the covenants enacted with God* being violated and trampled underfoot, yet you show no anxiety. When it comes to the covenants enacted with your fathers, you become greatly disturbed and anxious if they are only violated in part, but the pledges you have given to the Most Noble Messenger** are a matter of complete indifference to you. The blind, the dumb, and the poverty-stricken cultivators of the land everywhere lack protectors and no mercy is shown them. You do not behave in accordance with your function and rank, nor do you support or pay any regard to those who do so behave and who strive to promote the standing of the religious scholars. You purchase your safety from the oppressive ruling powers with flattery, cajolery, and compromise.

„All these activities have been forbidden you by God, and He has, moreover, commanded you to forbid each other to engage in them, but you pay no attention. The disaster that has befallen you is greater than what has befallen others, for the true rank and degree of 'ulama has been taken away from you. The administration of the country, the issuing of judicial decrees, and the approving of legislative programs should actually be entrusted to religious scholars who are guardians of the rights of God and knowledgeable about God's ordinances concerning what is permitted and what is forbidden. But your position has been usurped from you, for no other reason than that you have abandoned the pivot of truth - the law of Islam and God's decree - and have disagreed about the nature of the Sunna, despite the existence of clear proofs.

„If you were true men, strong in the face of torture and suffering and prepared to endure hardship for

God's sake, then all proposed regulations would be brought to you for your approval and for you to issue; authority would lie in your hands. But you allowed the oppressors to take away your functions and permitted government, which is supposed to be regulated by the provisions of the shari'a, to fall into their hands, so that they administer it on the shaky basis of their own conjectures and suppositions and make arbitrariness and the satisfaction of lust their consistent practice. What enabled them to gain control of government was your fleeing in panic from being killed, your attachment to the transitory life of this world. With that mentality and the conduct it inspires, you have delivered the powerless masses into the clutches Of the oppressors. While some cringe like slaves under the blows of the oppressors, and others search in misery and desperation for bread and water, the rulers are entirely absorbed in the pleasures Of kingship, earning shame and disgrace for themselves with their licentiousness, following evil counselors, and showing impudence toward God. One of their appointed spokesmen mounts the minbar [144] in each city. The soil of the homeland is defenseless before them, and they grab freely whatever they want of it. The people are their slaves and are powerless to defend themselves.

One ruler is a dictator by nature, malevolent and rancorous; another represses his wretched subjects ruthlessly, plundering by imposing on them all kinds of burdens; and still another refuses in his absolutism to recognize either God or the Day of Judgment! Is it not strange - how can one not think it strange - that society is in the clutches of a cunning oppressor whose tax collectors are oppressors and whose governors feel no compassion or mercy toward the believers under their rule!

„It is God Who will judge concerning what is at dispute among us and deliver a decisive verdict concerning all that occurs among us.

„O God! You know that everything we did [that is, the struggle in which they had recently engaged against the Umayyads] was not prompted by rivalry for political power, nor by a search for wealth and abundance; rather it was done in order to demonstrate to men the shining principles and values of Your religion, to reform the affairs of Your land, to protect and secure the indisputable rights of Your oppressed servants, and to act in accordance with the duties You have established and the norms, laws, and ordinances You have decreed.

„So, O scholars of religion! You are to help us reach this goal, win back our rights from those powers who have considered it acceptable to wrong you and who have attempted to put out the light kindled by your Prophet. God the One suffices us - upon Him do we rely, to Him do we turn, in His hands lies our fate, and to Him shall we return.”

When the Lord of the Martyrs said at the beginning of this sermon: „O people, take heed of the counsel God gave His friends when He rebuked the rabbis,” his address was not restricted to a particular group of people - those present in the assembly, the inhabitants of a certain city, town, or country, or even all people alive in the world at the time. Rather it embraces all who hear the summons at whatever time, for it begins with the expression „O people” (ya ayyuha ‘n-nas), which occurs in the Qur'an with the same universal meaning. [145] When God rebukes the rabbis - the Jewish scholars - and condemns their behavior, He is at the same time addressing His friends (awliya) and advising them. The word „awliya” means here those who have set their faces toward God and hold responsible positions in society, not the Twelve Imams. [146]

God says in the verse we are examining: „Why do their scholars and rabbis not forbid their sinful talk and consumption of what is forbidden! Truly what they have done is evil.” Thus He reproaches the rabbis and Jewish religious scholars for failing to prevent the oppressors' sinful talk - a term that includes lying, slander, distorting the truth, and so forth - and consumption of what is forbidden. It is obvious that this reproach and upbraiding is not confined to the scholars of the Jews, nor for that matter to those of the Christians; it applies also to the religious scholars in Islamic society, or indeed, any other society. If the religious scholars of Islamic society are silent, therefore, in the face of the policies of the oppressors, they too are reproached and condemned by God; and here there is no distinction between scholars of the past, present, and future - they are equal in this regard. The Lord of the Martyrs (upon whom be peace) made reference to this verse of the Qur'an so that the religious scholars of Islamic society would take heed, awaken, and no longer neglect their duty of enjoining the good and forbidding the evil or stay silent in the face of the oppressive and deviant ruling classes.

There are two points to which he draws attention by citing this verse. First, the religious scholars'

neglect of their duties is more harmful than the failure of others to perform their normal duties. If a bazaar merchant, for example, does something wrong, it is only he who suffers the harm that results. But if the religious scholars fail in fulfilling their duties, by keeping silent, let us say, in the face of tyranny, Islam itself suffers as a result. But if, on the contrary, they act in accordance with their duty and speak out when they should, eschewing silence, then Islam itself will benefit.

Secondly, although all things contrary to the shari'a must be forbidden, emphasis has been placed on sinful talk and consumption of what is forbidden, implying that these two evils are more dangerous than all others and must therefore be more diligently combated. Sometimes the statements and propaganda put forth by oppressive regimes are more harmful to Islam and the Muslims than their actions and policy, endangering the whole repute of Islam and the Muslims. God reproaches the religious scholars, therefore, for failing to prevent the oppressors from uttering dishonest words and spreading sinful propaganda. He says in effect: „Why did they not denounce the man who falsely claimed to be God's viceregent on earth and the instrument of His will, who claimed to be enforcing God's laws in the right way and to have a correct understanding and practice of Islamic justice, even though he was incapable of comprehending what justice is! Claims like these are a form of sinful talk that is extremely harmful to society. Why did the religious scholars not prevent them from being made! The tyrants who uttered this nonsense committed treason and brought evil innovations [147] into Islam; why did the religious scholars not stand in their way and make them desist from these sins?”

If someone interprets God's ordinances in a way displeasing to Him, thus introducing an evil innovation in Islam, or executes laws that are anti-Islamic, claiming to be acting in accordance with the requirements of Islamic justice, it is the duty of the religious scholars to proclaim their opposition. If they fail to do so, they will be cursed by God, as is apparent both from the verse under discussion and from this tradition: „When evil innovations appear, it is the duty of the scholar to bring forth his knowledge [by condemning them]; otherwise, God's curse will be upon him.”

In such cases, the expression of opposition and the expounding of God's teachings and ordinances that stand in contradiction to innovation, oppression, and sin are also useful in themselves, for they make the masses aware of the corruption of society and the wrongdoing of the treacherous, sinful, and irreligious rulers. The people will then rise up in revolt and refuse to collaborate any longer with the tyrants or to obey corrupt and treacherous ruling powers. The expression of opposition by religious scholars is a form of „forbidding the evil” on the part of the religious leadership, which creates in its wake a wave of broad opposition and „forbidding the evil” on the part of all religiously inclined and honorable people. If the oppressive and deviant rulers do not bow to the wishes of such an oppositional movement by returning to the straight path of Islam and obedience to God's laws, but attempt to silence it by force of arms, they will, in effect, have engaged in armed aggression against the Muslims and acquired the status of a rebellious group (*fi'a baghiya*). It will then be the duty of the Muslims to engage in an armed jihad against that ruling group in order to make the policies ruling society and the norms of government conform to the principles and ordinances of Islam.

It is true that at present, you do not have the power to prevent the innovative practices of the rulers or to halt the corruption in which they are engaged. But at least do not stay silent. If they strike you on the head, cry out in protest! Do not submit to oppression; such submission is worse than oppression itself. In order to counteract their press and propaganda apparatus, we must create our own apparatus to refute whatever lies they issue and to proclaim that Islamic justice is not what they claim it is, but on the contrary, has a complete and coherent program for ordering the affairs of the family and all Muslim society. All these matters must be made clear so that people can come to know the truth and coming generations will not take the silence of the religious leaders as proof that the deeds and policies of the oppressors conform to the shari'a, and that the perspicuous religion of Islam allows them to „consume what is forbidden,” or in other words, to plunder the wealth of the people.

Since the range of thought of some people is confined to the mosque we are now sitting in and is incapable of extending any further, when they hear the expression „consumption of what is forbidden,” they can only think of some corner grocer who is (God forbid) selling his customers short. They never think of the whole range of more important forms of „consuming what is forbidden,” of plunder. Huge amounts of capital are being swallowed up; our public funds are being embezzled; our oil is being plundered; and our country is being turned into a market for expensive, unnecessary goods by the

representatives of foreign companies, which makes it possible for foreign capitalists and their local agents to pocket the people's money. A number of foreign states carry off our oil after drawing it out of the ground, and the negligible sum they pay to the regime they have installed returns to their pockets by other routes. As for the small amount that goes into the treasury, God only knows what it is spent on. All of this is a form of „consumption of what is forbidden” that takes place on an enormous scale, in fact on an international scale. It is not merely an evil, but a hideous and most dangerous evil. Examine carefully the conditions of society and the actions of the government and its component organs, and then you will understand what hideous „consumption of what is forbidden” is taking place now. If an earthquake occurs in some corner of the country, it too becomes a means for the ruling profiteers to increase their illegal income: they fill their pockets with the money that is supposed to go to the victims of the earthquake. Whenever our oppressive, anti-national rulers enter into agreements with foreign states or companies, they pocket huge amounts of our people's money and lavish additional huge sums on their foreign masters.

It is a veritable flood of forbidden consumption that sweeps past us, right before our eyes. All this misappropriation of wealth goes on and on: in our foreign trade and in the contracts made for the exploitation of our mineral wealth, the utilization of our forests and other natural resources, construction work, road building, and the purchase of arms from the imperialists, both Western and communist.

We must end all this plundering and usurpation of wealth.

The people as a whole have a responsibility in this respect, but the responsibility of the religious scholars is graver and more critical. We must take the lead over other Muslims in embarking on this sacred jihad, this heavy undertaking; because of our rank and position, we must be in the forefront. If we do not have the power today to prevent these misdeeds from happening and to punish these embezzlers and traitors, these powerful thieves that rule over us, then we must work to gain that power. At the same time, to fulfill our minimum obligation, we must not fail to expound the truth and expose the thievery and mendacity of our rulers. When we come to power, we will not only put the country's political life, economy, and administration in order, we will also whip and chastise the thieves and the liars.

They set fire to the Masjid al-Aqsa. [148] We cry out: „Leave the Masjid al-Aqsa half-burned to the ground; do not erase all traces of the crime!” But the Shah's regime opens an account, sets up a fund, and starts collecting money from the people supposedly to rebuild the Masjid al-i\qsa, but really to fill the pockets of our rulers while also covering up the the crime committed by Israel.

These are the disasters that are afflicting the nation of Islam and that have brought us to our present state. Is it not the duty of the scholars of Islam to speak out about all this! „Why do their rabbis not forbid their consumption of what is forbidden!” Why do our Muslim scholars not protest! Why do they say nothing about all this plundering!

To return to the sermon of the Lord of the Martyrs (upon whom be peace), he continues with a reference to the verse: „Cursed are those among the Children of Israel who have failed to believe” (5:78). This is not relevant to our present discussion. Then he says: „God reproached and blamed them [the rabbis] because they saw with their own eyes the oppressors committing vile and corrupt acts but did not stop them.” According to the Lord of the Martyrs, their silence was due to two factors: greed and baseness.

Either they were covetous persons who profited materially from the oppressors, accepting payment to keep quiet, or they were faint-hearted cowards who were afraid of them.

Consult the traditions referring to enjoining the good and forbidding the evil. There the conduct of those who constantly invent excuses in order to escape from doing their duty is condemned and their silence is considered shameful. „God says: ‘Do not fear men, but fear me’ (2:150). This verse means roughly: ‘Why do you fear men! Our friends (awliya) have given up their lives for the sake of Islam; you should be prepared to do the same.

„Elsewhere in the Qur'an God also says: ‘The believers, men and women, are friends and protectors to each other; they enjoin the good and forbid the evil;... they establish the prayer, pay the zakat, and obey God and His Messenger’ (9:71). In this verse, God mentions the duty of enjoining the good and forbidding the evil first because He knows that if this duty is correctly performed, all other duties,

whether easy or difficult, will fall into place. For enjoining the good and forbidding the evil means summoning men to Islam while at the same time remedying oppression, opposing the oppressor, making just distribution of the spoils of war, and levying and spending taxes in just and due form.”

If the duty of enjoining the good and forbidding the evil is Properly performed, all other duties will automatically fall into place. If the good is enjoined and the evil forbidden, the oppressors and their agents will be unable to usurp the people's property and dispose of it according to their own whims; they will be unable ‘O squander the taxes taken from the people. For he who enjoins the good and forbids the evil actively calls men to Islam by remedying injustice and opposing the oppressor.

Enjoining the good and forbidding the evil has been made a duty primarily for the sake of accomplishing these high aims. We have restricted it, however, to a narrow category of affairs where harm is suffered chiefly by the individual who is guilty of a sin by deed or by omission. We have the idea firmly in our heads that the instances of evil we are called upon to combat (munkarat) are only the things we encounter or hear about in everyday life. For example, if someone plays music while we are riding on the bus, [149] or the owner of a coffee house does something wrong, or someone eats in the middle of the bazaar during Ramadan, [150] we regard all these things as instances of evil we must denounce. Meanwhile, we remain totally oblivious to far greater evils. Those who are destroying the welfare of Islam and trampling on the rights of the weak - it is they whom we must force to desist from evil.

If a collective protest were made against the oppressors who commit an improper act or crime, if several thousand telegrams were sent to them from all the Islamic countries telling them to desist, to relinquish their errors, they certainly would desist. If every time a step were taken or a speech given against the interests of Islam and the welfare of the people, those responsible were condemned throughout the country, in every single village and hamlet, they would be obliged to retreat. Could they possibly do otherwise! Never! I know them; I know what kind of people they are. They are very cowardly and would retreat very quickly. But if they see that we are more gutless than they are, they will give themselves airs and do whatever they want.

When the ‘ulama of Qum met and banded together on one occasion, and the provinces supported them by sending delegations and delivering speeches to show their solidarity, the regime retreated and canceled the measure we were objecting to. [151] Afterwards they were able to cool our enthusiasm and weaken us; they divided us up and invented a separate „religious duty" for each of us. As a result of the differing opinions that appeared among us, they grew bold again, and now they do whatever they want with the Muslims and this Islamic country of ours.

The Lord of the Martyrs (upon whom be peace) speaks of „summoning men to Islam while at the same time remedying oppression and opposing the oppressors”; it is for the sake of these great aims that enjoining the good and forbidding the evil has been made a duty. If some poor grocer does something wrong, he has not harmed Islam, but only himself. In performing our duty of enjoining the good and forbidding the evil, we must pay closest attention to those who harm Islam and those who, under various pretexts, plunder the people's means of livelihood.

On occasion we read in the paper - sometimes it is stated humorously, sometimes seriously - that many of the items collected for the victims of floods or earthquakes are picked up by our rulers for their own use. One of the ‘ulama of Malayer told me that the people had wanted to send a truckload of shrouds for the victims of some disaster, but the police refused to let them through and even tried to confiscate the load! „Enjoining the good and forbidding the evil” is most imperative in such cases.

Now let me ask you, were the subjects mentioned by the Lord of the Martyrs in his sermon addressed only to his companions who were gathered around him listening to his words! Does not the phrase „O people, take heed” address us too! Are we not included in „people”! Should we not profit from this address of the Lord of the Martyrs!

As I stated at the beginning of this discussion, the subjects contained in the sermon of the Lord of the Martyrs were not intended for a single group or class. His address was more in the nature of a circular directed to all commanders, ministers, rulers, fuqahain short, to the whole world, particularly those who are alive and fully conscious. The circulars he issued belong together with the Qur'an in the sense that they demand our obedience until the Day of Resurrection. The verse referred to in the address speaks only of the Jewish scholars and rabbis, but its purport is universal.

The Jewish scholars and rabbis were condemned by God because fear or covetousness made them keep silent in the face of the misdeeds of the oppressors, whereas if they had spoken or cried out in protest, they could have prevented oppression from occurring. If the 'ulama of Islam likewise fail to rise up against the oppressors and remain silent instead, they too will be condemned.

After addressing the people in general, the Lord of the Martyrs then turns to a particular group, the 'ulama of Islam, and tells them: „You enjoy prestige and standing in society; the nation of Islam respects and venerates you. You are held in awe and have high standing in society because you are expected to rise up against the oppressors in defense of the truth and to compel the oppressor to enforce the rights of the oppressed. Men have placed their hopes in you for the establishment of justice and the prevention of transgression by the oppressors.

„Thus you have reached a certain station and rank. But you have failed to perform the duties of your station. If some harm were to befall the father of one of you, or if-God forbid - someone were to insult him, you would be greatly distressed and would cry out in protest. But now that God's covenants are being violated before your very eyes and Islam is being dishonored, you keep si-

Lent and are not distressed even in your hearts, for if you were distressed, you would be bound to raise your voices in protest. The blind, the dumb, and the poverty-stricken cultivators of the land are being destroyed and nobody shows any concern; no one is concerned for the wretched, barefooted people.”

Do you imagine all that bombastic propaganda being broadcast on the radio is true! Go see for yourself at first hand what state our people are living in. Not even one out of every two hundred villages has a clinic. No one is concerned about the poor and the hungry, and they do not allow the measures Islam has devised for the sake of the poor to be implemented. Islam has solved the problem of poverty and inscribed it at the very top of its program: „Sadaqat is for the poor. [152] Islam is aware that first, the conditions of the poor must be remedied, the conditions of the deprived must be remedied. But they do not allow the plans of Islam to be implemented.

Our wretched people subsist in conditions of poverty and hunger, while the taxes that the ruling class extorts from them are squandered. They buy Phantom jets so that pilots from Israel and its agents can come and train in them in our country. [153] So extensive is the influence of Israel in our country - Israel, which is in a state of war with the Muslims, so that those who support it are likewise in a state of war with the Muslims - and so great is the support the regime gives it, that Israeli soldiers come to our country for training! Our country has become a base for them! The markets of our country are also in their hands. If matters go on this way, and the Muslims continue to be apathetic, the Muslims will lose all say in the commercial life of the country.

To return to the address of the Lord of the Martyrs (upon whom be peace): „You have not made proper use of your station.

Not only do you do nothing yourselves; you fail to support the person who does want to do his duty. The only source of concern and satisfaction for you is that you have the support and respect of the oppressor, that he addresses you as 'Noble Shaikh'! What the nation suffers at the hands of the government is of no concern to you. The disaster that has befallen you is greater than what has befallen others for the true rank and degree of 'ulama have been taken away from you. The administration of affairs and the implementation of law ought to be undertaken by those who are knowledgeable concerning God and are trustees of God's ordinances concerning what is permitted and what is forbidden. But that rank has been taken away from you.”

The Imam (upon whom be peace) could have said at this point: „What is my right has been taken away from me, but you do not come to my aid,” or, „The rights of the Imams have been taken away, but you have kept silent.” Instead, he spoke of those „knowledgeable concerning God” (al-'ulama bi-'llah), meaning the religious scholars (rabbaniyun) or leaders. Here he is not referring to the philosophers or mystics, for the person knowledgeable concerning God is the one who is learned in God's ordinances. It is such a person who is designated a religious scholar (ruhani or rabbani), naturally on condition that spirituality (ruhaniyat) and orientation to God Almighty be fully apparent in him.

The Imam went on: „But your position has been usurped from you, for no other reason but that you have abandoned the Pivot of truth and have disagreed about the nature of the Sunna, despite the existence of clear proofs. But if you were to show strength in the face of hardship and suffering for God's sake, then the conduct of affairs, as willed by God, would be restored to you; command and

authority would be yours.”

If you were to act correctly and perform your duty, you would see that the conduct of affairs would be bound over to you. If the form of government willed by Islam were to come in to being, none of the governments now existing in the world would be able to resist it; they would all capitulate. But unfortunately, we have failed to establish such a government. Even in the earliest age of Islam, its opponents hindered its establishment and prevented government from being entrusted to the person chosen by God and His Messenger precisely in order to prevent what has happened.

„You allowed the oppressors to take away your functions.” When you failed to perform your duties and abandoned the task of government, it became possible for the oppressors to take over the position that was legitimately yours. „You allowed the affairs of God to fall into their hands, so they came to conduct them on the basis of their suppositions and arbitrary desires. What enabled them to win this control was your panic-stricken flight from being killed, and your attachment to the life of this world. You have delivered the powerless into their clutches, so that some of the people are now subjugated like slaves and others are deprived of even their livelihood.” All of this applies to the age we live in; in fact, it applies more fully to the present than to the time of the Imam (upon whom be peace). „The rulers are entirely absorbed in the pleasures of kingship, earning shame and disgrace for themselves with their licentiousness, following evil counselors, and showing impudence toward God. One of their appointed spokesmen mounts the minbar in each city to tell lies.” In those days, preachers would praise the oppressors from the minbar. Today, radio stations fill the air with propaganda on their behalf and maliciously misrepresent the ordinances of Islam.

„The earth is defenseless against them.” Now, too, the oppressors can freely exploit the earth, without any obstruction; there is no one to stand in their way. „They grab freely whatever they want [of the earth]. The people are their slaves and are powerless to defend themselves. One ruler is an obstinate tyrant, while another represses his wretched subjects ruthlessly, and still another refuses in his absolutism to recognize God as the beginning and end of all things. Is it not strange-how could one not think it strange - that the world is in the clutches of cunning tyrants, oppressive tax collectors, and governors who have no compassion for the believers under their rule!

„It is God Who will judge concerning what is at dispute among us, and deliver a decisive verdict concerning all that occurs among us.

„O God! You know that everything we did was not prompted by rivalry for political power, nor by desire for the chattels of this world. Rather it was done in order to demonstrate the signs of your religion, to reform the affairs of Your land, to protect the oppressed among Your servants, and to act in accordance with the duties, norms, and ordinances You have established.

„So, O scholars of religion! Help us reach our goal and obtain our rights. The oppressors will wax strong in their efforts against you and will attempt to put out the light kindled by your Beloved [the Prophet]. But God suffices us; upon Him do we rely, to Him do we turn, and to Him is our journeying.”

As we said, the entire address from beginning to end is addressed to the ‘ulama. There is no indication that the persons intended by the expression „those knowledgeable about God” are the Imams (upon whom be peace). They are the scholars of Islam, the rabbaniyun. The designation rabbani refers to one who believes in God, fulfills God's ordinances, and is knowledgeable concerning those ordinances, as a trustee of God's decrees concerning what is permitted and what is forbidden.

When the Imam (upon whom be peace) said that the conduct of affairs belongs to the ‘ulama, he did not mean to restrict this function to a period of ten or twenty years, or simply to the city and people of Medina. It is apparent from the whole speech that his meaning was more universal, that he had in mind a vast community that would undertake the establishment of justice.

If the ‘ulama, who are the trustees of God's decrees concerning what is permitted and what is forbidden and who possess the two characteristics of knowledge and justice as set forth above - if they were to implement God's ordinances, to execute the penal provisions of the law, and generally to conduct and administer the affairs of the Muslims, the people would no longer be hungry and wretched and the laws of Islam would no longer be in abeyance.

The tradition containing this noble speech, then, is part of the evidence supporting our thesis, the governance of the faqih. Were its chain of transmission not weak, we could cite it as a direct proof.

Even as it stands, we might say that the content of the tradition, being veracious, bears witness that it was uttered by one of the ma'sumzn. [154]

We have now completed our discussion of the governance of the faqih; we have nothing further to say on the subject. There is no need to go into details such as the manner in which zakat is to be collected or spent, or how the penal provisions of the law are to be implemented. We have set forth the main principles of the subject and shown that the same governance that was exercised by the Most Noble Messenger (upon whom and whose family be peace and blessings), and by the Imams (upon whom be peace), is also the prerogative of the fuqaha. There can be no doubt about this. If there is any evidence, however, that in certain specific cases the faqih does not possess the same right of governance, we naturally exclude such cases from the operation of the general rule.

As I stated previously, the subject of the governance of the faqih is not something new that I have invented; since the very beginning, it has been mentioned continually.

The ruling given by the late Mirza Hasan Shirazi [155] prohibiting the use of tobacco was in effect a governmental ruling; hence all other fuqaha were obliged to follow it, and indeed the great 'ulama of Iran did follow it, with only a few exceptions. It was not a judicial ruling on a matter being disputed by a few individuals, based purely on his own determination. It was instead a governmental ruling, based on the interests of Islam and the Muslims and his determination of a secondary consideration ('unvan-i sanavi). [156] As long as this secondary consideration obtained, the ruling retained its validity, and when the consideration no longer applied, the ruling also ceased to apply.

Again, when Mirza Muhammad Taqi Shirazi [157] gave orders for jihad - or „defense,” they called it - all the 'ulama obeyed, because his order was a governmental ruling.

It is related that the late Kashif al-Ghita [158] also used to expound much of what I have said. Among other modern scholars, the late Naraqı also was of the opinion that the fuqaha are entitled to exercise all the worldly functions of the Most Noble Messenger (upon whom and whose family be peace and blessings). The late Na'ini also believed that the doctrine of the governance of the faqih may be deduced from the maqbulah of 'Umar ibn Hanzala. [159]

In any case, this subject is by no means new. I have simply examined it at greater length, with reference to the different branches of government, to give the subject greater clarity for my listeners. In accordance with the commands of God Almighty, as expressed in His Book and by the tongue of His Most Noble Messenger (upon whom and whose family be peace and blessings), I have also set forth certain matters of importance to the present age.

We have stressed the main principles of the subject. Now it is up to the present and future generations to discuss it further and reflect upon it, and to find a way to translate it into reality, eschewing all forms of apathy, weakness, and despair. God Almighty willing, by means of mutual consultation and the exchange of views, they will develop a method for establishing an Islamic government with all its various branches and departments. They will entrust the affairs of government to persons who are honest, intelligent, believing, and competent and remove traitors from control of the government, the homeland, and the treasury of the Muslims. Let them be assured that God Almighty is with them.

Notes:

52. It is the belief of Shi'i Muslims that the Prophet appointed Imam Ali as his successor at a gathering near the pool of Khumm during his return to Medina from Mecca, after having performed the last pilgrimage in his life.

53. The attribution of errors to Abu Bakr and Umar and deviations to Uthman is a part of Shi'i belief and is entirely to be expected in this context. Worthy of note, however, is the statement here that Abu Bakr and Umar adhered to the example of the Prophet in their personal lives. It would be difficult to find such a positive evaluation in the utterances of a leading Shi'i scholar before Imam Khomeini. See also the statement on p. 55

54. Hijaz: the region in Western Arabia that includes Mecca and Medina.

55. After the Revolution, extensive evidence came to light of misappropriation of the religious endowments. Land was being given to cabaret singers and members of the royal family by the state-

- controlled administration of the endowments. See the article on this subject in the Tehran daily Kayhan, Isfand 27, 1357/March 18, 1979. Concerning attempts by the regime to build a cinema in Qum, see S.H.R., Barrasi va Tahlili at Nihznt-i Imam Khumayni (Najaf! 1356 Sh./1977), pp. 103-104.
56. A reference to the coronation ceremonies of 1967.
57. Concerning the precise meaning of „justice,” see n. 21 above.
58. The reference here is to certain shortcomings Shi'is have traditionally perceived in the exercise of rule by Abu Bakr.
59. Fuqaha: the plural of faqih (see n. 1 above).
60. The words are God's since they are Qur'anic but in the context in which they appear, the speaker is Abraham. After asking God that prophethood be vested in his progeny, Abraham excludes any of his descendants who might be wrongdoers from exercising the prophetic function.
61. Occultation: see n. 27 above.
62. The „governance” (vilayat) of the faqih is extrinsic (i'tibarii to his person; he exercises it only by virtue of the acquired attribute of just faqih.
63. The „governance” (vilayat) of the Imams is intrinsic to their persons, unlike that of the fuqaha; moreover, its scope is not limited to men but embraces the whole of creation. They therefore exercise „cosmic governance” (vilayat-i takvini), in part through the performance of miracles. This form of vilayat is common to the Imams and to the foremost of the prophets, who exercised a governmental function while also propagating a divine message. The statement here that no one can attain the spiritual status of the Imams, not even the cherubim or the prophets thus carries the strict sense that the Imams are superior to those prophets whose mission lacked the dimension of governmental leadership. Concerning the different types of vilayat, see Murtaza Mutahhari, I'aliha va Vilayatha (Qum, 1355 Sh./1975).
64. Concerning these attributes of the Imams, see Henri Corbin, Histoire de la philosophie islamique (Paris, 1964), pp. 74 ff.
65. The archangel Jibra'il (Gabriel) accompanied the Most Noble Messenger on his ni'raj (ascension to the divine presence), but being of lowlier station than the Messenger, he was unable to endure the splendor of the divine presence.
66. A well-known tradition relating to the mi'raj.
67. Fatima, the daughter of the Prophet, shared in the exalted states of the Prophet and the Twelve Imams in that she possessed the same quality of 'ismat (divinely bestowed freedom from error and sin) that they did. As daughter of the Prophet and wife of the first Imam, she served, moreover, as a link between the Prophet and his successors.
68. Nahj al-Balagha, p. 76.
69. Nahj al-Balagha, p. 50.
70. Nahj al-Balagha, p. 188-189.
71. See p. 50.
72. Malik Ashtar: the governor appointed to Egypt by Imam 'All. For the text of the Imam's instructions to him, see Nahj al-Balagha, pp. 426-445. A complete translation is contained in William C. Chittick, A Shi'ite Anthology (Albany, N.Y., 1980), pp. 68-82.
73. Shaykh Sadduq: also known as Ibn Babuyah, one of the most important of the early Shi'i scholars. He died in 381/991.
74. A collection of Shi'i traditions.
75. usnad: a hadith that goes back to the Prophet by an unbroken chain of transmission.
76. Mursal: a hadith whose chain of transmission goes only as far back as a „follower” (member of the second generation of Islam) who does not mention the name of the companion of the Prophet from whom he heard it.
77. That is, there is a functional distinction between the scholar of hadith and the faqih, although it is possible for a single individual to embody the two functions.
78. Kulayni: see n. 30 above.
79. Shaykh Sadduq: see n. 73 above.
80. Shaykh Mufid: the common designation of Muhammad al-Harithi, a Shi'i scholar who died in 413/1022.

81. Fiqh: jurisprudence; the discipline devoted to the study of the principles and ordinances of Islamic law.
82. Taqiya: see n. 16 above.
83. Ijtihad: see n. 4 above.
84. A well-known tradition that has led to the compilation of anthologies of forty hadith intended for memorization by those who wish to attain the promised reward.
85. Samura ibn Jandab: more fully, Abu Sa'id Samura ibn Jandab alQazari, a companion of the Prophet who accompanied him in numerous battles. He later settled in Basra, where he temporarily acted as governor on a number of occasions during the rule of Mu'awiya, first Umayyad caliph.
86. One of the two weak traditions referred to here is probably: „The sultan is the shadow of God upon earth; whoever respects him, respects God, and whoever affronts him, affronts God.” For a critique of this alleged tradition, see Nasir ad-Din al-Albani, *Silsilat al-dhadih adDa'ifa wa'l-ll-laudu'a* (Damascus, 1384/1964), I, i, 98. The other weak tradition may be that cited on p. 220.
87. For example, there is a tradition that says: „A word of truth spoken in the presence of an unjust ruler is a meritorious form of jihad,” and two Others close with the phrase „there is no obeying the one who disobeys God.” For these and similar traditions, see Abdullah Fahd an-l\iafisi, *Indama yahkum al-Islam* (London, n.d.), pp. 142-146.
88. Imam Abu'l-Hasan Musa, son of Ja'far: seventh of the Twelve Imams, and generally known as Imam Musa al-Kazim. He was born in Medina; 128/744 and died in prison in Baghdad in 183/799.
89. See Shaykh Abu Ja'far al-gulayni, al-h'afi, Eng. Trans. Sayyid Muhammad Hasan Rizvi (Tehran, 1398/1978), I, ii, 94-95.
90. Shah Sultan Husayn was the last monarch of the Safavid dynasty, which ruled over Iran from the beginning of the sixteenth century until the second decade of the eighteenth. Among the least competent of the Safavid rulers, he devoted his energies to debauchery and failed to organize the defense of his capital city, Isfahan, against Afghan invaders, to whom it fell in 1722 after a six-month siege. See L. Lockhart, *The Fall of the Safavi Dynasty* (Cambridge, 1958), pp. 144-170.
91. See n. 2 above.
92. Part of a long hadith concerning a dream in which the Messenger foresaw the misdeeds of the Umayyads.
93. The expression translated here as „leadership” is *imamat-i i'libari*; see n. 62 above.
94. Khumayn: the native town of Imam Khomeini.
95. Since the Imam of the hge - i.e., the Twelfth Imam - will emerge from his occultation at a time when injustice fills the earth, it has sometimes been thought that all positive action to remedy injustice must be postponed until his coming.
96. See Kulayni, al-Kafi, I, ii, 118-119.
97. Mufti: a scholar who pronounces an authoritative opinion (*fatwa*) on a point of law.
98. Usama: that is, Usama ibn Zayd, a beloved companion of the Prophet who was placed in charge of a military expedition when he was only eighteen. He died in 59/679.
99. See n. 21 above.
100. Shahi: now obsolete, formerly the smallest unit of Iranian currency, worth one-twentieth of a rial.
101. Mu'awiya: first of the Umayyad caliphs and an adversary of Imam 'All. He ruled from 41/661 to 60/680.
102. See n. 30 above.
103. Ya-Sin is the thirty-sixth chapter of the Qur'an. Its recitation is recommended as particularly meritorious on certain occasions, among them Thursday night, because it leads into Friday, the best of all days.
104. Shurayh: more fully, Shurayh ibn al-Harith al-Kindi, judge of Kufa appointed by 'Umar. He retained this position under 'Uthman, 'Ali, and the Umayyads and died a centenarian in 87/706.
105. From *Wasa'il ash-Shi'a*, a collection of Shi'i traditions by Muhammad Hasan al-Hurr al-'Amuli (d. 1104/1693).
106. Fatwas: the plural of *fatwa* (an authoritative opinion on a point of law)
107. Naraqii: that is, Hajj Mulla Ahmad Saraqi, a scholar of importance in the early nineteenth century, d. 1244-1829. He not only was a prolific author, but also clashed repeatedly with the monarch of his

- day, Fath ~ Ali Shah. See Hamid Algar, *Religion and State in Iran, 1785-1906* (Berkeley, 1969), pp. 57, 89.
108. Na'ini: that is, Mirza Muhammad Husayn Na'ini, an important scholar of the early twentieth century, 1277/1860-1354/1936. Concerning his book on Shi'i political theory, *Tanbih al-Immam wa Tanzih al-Milla*, see Abdul-Hadi Ha'iri, *Shi'ism and Constitutionalism in Iran* (Leiden, Netherlands, 1977), pp. 165-220.
109. Imam Ja'far as-Sadiq: sixth of the Twelve Imams, 83/702-140/757. Also referred to as Imam Sadiq, he was particularly important for his role in developing the religious sciences.
110. The technical sense of the word imam is that which it acquires when applied to the Twelve Imams, who were not only successors to the Prophet but also endowed with lofty spiritual virtues.
111. *Ikmal ad-Din wa Itmam an-Ni'ma*: a work by Shaykh Sadduq on the occultation of the Imam.
112. Imam of the Age: the Twelfth Imam. See n. 95 above.
113. Muhammad ibn 'Uthman al-'Umari: the second deputy of the Imam during the Lesser Occultation. See n. 27 above.
114. The designation *hujjat* ("proof") given to the Imams has a twofold sense. First, through the qualities they manifest, they are proofs of the existence of God and of the veracity of the religion He has revealed. Second, they constitute proofs to be advanced on the Day of Judgment against those who claim they were uninformed of God's law. See Abdulaziz Abdulhussein Sachedina, *Islamic Messianism* (Albany, N.Y., 1980), pp. 66-67.
115. *Zurara*: more fully, 'Abd Rabbih Zurara ibn A'yan, an authority on the traditions of the fourth, fifth, and sixth Imams, d. 150/767.
116. *Sadaqa*: voluntary payments collected by the Muslim state to be spent for purposes of charity.
117. The Shah organized his vulgar and criminally extravagant celebration of two-and-a-half millennia of monarchical rule in October 1971, some two years after these lectures were given in Najaf. Preparations for the event, however, were begun in the late 1960's. See also pp. 200-208.
118. In 1967 the Shah had himself and his wife crowned.
119. *Maqbula*: a hadith to which one may make acceptable reference.
120. See, for example, Isma'il Haqqi al-Burusawi, *Ruh al-Bayan* (Istanbul, 1390/1970), II, 227-228.
121. See, for example, *Tabataba'i, al-hizan*, IV, 385.
122. *Dhimmi*: one of the *ahl adh-dhimma*, concerning whom see n. 55 above.
123. The Bani Qurayza were a Jewish tribe inhabiting Medina. During the Battle of the Ditch in the fifth year of Islam, they collaborated with a Meccan force that came to attack the city. The menfolk of the tribe were put to death for their treachery.
124. *Usama*: see n. 98 above.
125. The reference to customary law (*'urf*) is not intended to sanction, but merely to clarify, existing juridical practice.
126. This tradition is contained in *al-'Amuli, Wasa'il ash-Shi'a*, XVII, 98.
127. *Haram*: categorically forbidden by religious law.
128. See *Qur'an*, 2:256.
129. See *al-'Amuli, Wasa'il ash-Shi'a*, XVIII, 100.
130. Here, „disbelief” implies disobedience. See p. 92.
131. This tradition is quoted in *Kulayni, al-Kafi*, I, ii, 85-86.
132. *Kulayni, al-Kafi*, I, 78-79.
133. There are a number of works by this title. The reference here may be to the *Qur'an* commentary written in the eleventh/seventeenth century – by Ziya ad-Din Yusuf Qazvini. See Agha Buzurg Tihrani, *adh-Dhari'a ilof Tasanif ash-Shi'a* (Tehran, 1390/1970), XX, 23.
134. After the death of the Prophet, his daughter Fatima asked for the arable lands near Fadak (a small town near Medina) to be assigned to her - as a legacy from her father, since in his lifetime the Prophet had used the produce of the land for the upkeep of his wives. Abu Bakr refused, citing the words of the Prophet: „We prophets bequeath no legacies; what leave behind is charity (*sadaqa*).” See *al-Baladhuri, al-Futuh*, ed. de Goeje (Leiden, Netherlands, 1886), pp. 29-33. For Shi'i tradition, Fadak became a symbol of unjust denial.
135. *Nass*: a clear and authoritative text, unequivocal in its meaning.

136. Naraqī (n. 107 above) wrote a comprehensive book on the principles of fiqh entitled 'Awa'id al-dyyam min eawa'id al-luqaha al-Alam.
137. Fiqh-i Rizavi: a work purporting to contain the legal pronouncements of Imam Riza, of disputed authenticity.
138. See n. 74 above.
139. Mustadrak: that is, Musladrak alWasa'il, a supplement to Wasa'il ash-Shi'a (See n. 105) composed by Mirza Husayn Nuri (d. 1320/1902).
140. Possibly Ghurar al-luqaha wa Durar al-ʿala'id, a work on the principles of fiqh by Muhsin ibn Hasan al-i'rajī (d. 1227/1812). See Tihriani, adh-Dhari'a, XVI, 41-42.
141. Tuhaf al-'Uqul: a collection of sermons and aphorisms of the Imams compiled by Shaykh Abu Muhammad al-Halabi, a contemporary of Shaykh Sadduq and teacher of Shaykh Mufid.
142. Mina: a small town near Mecca.
143. Imam Khomeini quotes the Arabic text of the tradition before giving his own translation in Persian. We have rendered into English only the Persian translation, which is slightly fuller in parts than the Arabic original.
144. Minbar: the pulpit in the mosque.
145. See, for example, 2:168, 4:170, 7:150, 10:57, and many other verses.
146. The word awliya - like the cognate vilnyat - has numerous different meanings. It is used here in the general sense that can be deduced from Qur'an, 10:62-63: „Verily the friends (awliya) of God - those who believe and guard against evil - shall suffer no fear nor shall they grieve.”
147. Evil innovation: bid'at, a belief or practice not compatible with either the Qur'an or the Sunna.
148. Masjid al-Aqsa: see n. 37 above.
149. Among the different schools of Islamic law, the Shi'i school manifests the greatest disapproval of music. Music in a public place is doubly reprehensible since it is an imposition on the unwilling listener.
150. There are certain circumstances that may dispense one from fasting during Ramadan, notably illness, but out of respect for the sanctity of the month and the fasting of others, one must refrain from eating in public.
151. A reference to the agitation against the new laws on the election of local councils promulgated by the Shah's regime on October 6, 1962. These laws no longer specified that candidates were to be Muslim, and they were seen as a prelude to increased participation in public life by the Baha'is and eventual abolition of the Constitution of 1906. After a prolonged campaign against the laws, in which Imam Khomeini took a prominent part, they were annulled by the government on November 28, 1962. See S.H.R., BarmFi va Tahlili, pp. 142-187.
152. Qur'an, 9:60.
153. One indication of the close ties existing with Israel was the regular contacts that took place between Iranian generals and high-ranking members of the Zionist armed forces. For example, General Palizban met in Occupied Palestine with Moshe Dayan and Arik Sharon, most probably in 1974. Photographs of the meeting, showing all participants with cordial smiles, were discovered after the Revolution and published in the newspaper Jumhuri-yi Islami on Shahrivar 26, 1959/September 17, 1980,
154. Ma'sumin: those possessing the quality of 'ismat (see n. 67 above); i.e., the Prophet, Fatima, and the Twelve Imams.
155. Mirza Hasan Shirazi: a mujtahid, d. 1312/1894. After the production and marketing of tobacco in Iran had been made the monopoly of a British company, he declared in December 1891 that „the use of tobacco, is tantamount to war against the Imam of the Age.” In obedience to his declaration, all of Iran boycotted tobacco, forcing the cancellation of the concession in early 1892. See Algar, Religion and State, pp. 205-215.
156. „Secondary consideration”: 'unvan-i sanavi, a contingent circumstance of legal significance. Tobacco as a substance was religiously unobjectionable; it was the circumstance of the British monopoly that furnished the legal grounds for its prohibition.
157. Mirza Muhammad Taqi Shirazi: a pupil of Mirza Hasan and an important Shi'i scholar, d. 1538/1921. He was a leading force in the resistance by the Shi'i 'ulama opposed to the imposition of British rule on Iraq at the end of World War I. See Muhammad Hirz ad-Din, Ma'narif ar-Rijal (Najaf,

1384/1964), II, 215-218.

158. Kashif al-Ghita: more fully, Muhammad Husayn Kashif al-Ghita, a leading Shi'i scholar of Iraq, 1295/1876 - 1373/1954. He was active politically as well as academically throughout his life. See the biographical introduction to his *Asl ash-Shi'a wa Usuluha*, 7th ed. (Be 1877/1957), pp. 7-21.

159. See p. 93.

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