

# 1 Unifying Cubical Models of Univalent Type Theory

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## 12 — Abstract —

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13 We present a new constructive model of univalent type theory based on cubical sets. Unlike prior  
14 work on cubical models, ours depends neither on diagonal cofibrations nor connections. This is made  
15 possible by weakening the notion of fibration from the cartesian cubical set model, so that it is not  
16 necessary to assume that the diagonal on the interval is a cofibration. We have formally verified in  
17 **Agda** that these fibrations are closed under the type formers of cubical type theory and that the  
18 model satisfies the univalence axiom. By applying the construction in the presence of diagonal  
19 cofibrations or connections and reversals, we recover the existing cartesian and De Morgan cubical  
20 set models as special cases. Generalizing earlier work of Sattler for cubical sets with connections, we  
21 also obtain a Quillen model structure.

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## 37 **1** Introduction

38 Cubical set models provide a constructive justification for Voevodsky’s univalence axiom  
39 and higher inductive types, as introduced in Homotopy Type Theory and Univalent Founda-  
40 tions (HoTT/UF) [38]. In this paper we develop a general axiomatization encompassing  
41 many existing cubical set models, allowing us to better understand the relationship between  
42 them and prove results about the entire class of models simultaneously.

43 The first model of HoTT/UF was developed by Voevodsky using Kan simplicial sets [26]  
44 and relies crucially on classical logic [9]. A major source of open problems in HoTT/UF has



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45 been the quest for constructive models; besides recent progress on a constructive variation of  
 46 the Kan simplicial set model [23], the most fruitful approaches have been based on cubical  
 47 sets. This was pioneered by the Bezem, Coquand and Huber (BCH) model [7, 8], which uses  
 48 presheaves on the *symmetric monoidal cube category*. These cubical sets have degeneracy  
 49 and face maps, but it is not possible to take the diagonal face of a square. An important  
 50 feature of cubical sets, relative to simplicial sets, is that the product of representable cubical  
 51 sets is again representable. This makes it possible to represent  $n$ -dimensional terms as  
 52 ordinary terms in a context of  $n$  variables, each ranging over the interval object  $\mathbb{I}$ . The lack  
 53 of diagonals in the BCH model corresponds to a lack of contraction for these contexts; the  
 54 BCH model is *substructural*. This complicates giving a type-theoretic presentation; more  
 55 fundamentally, it is unclear how to formulate and construct higher inductive types.

56 A natural approach, then, is to instead allow diagonals and study *cartesian cubical sets*,  
 57 which model structural interval contexts. The base category here has a compact description  
 58 as the free finite product category on an interval object [4, 29]. Cartesian cubical sets are  
 59 hence better-suited as a basis for cubical type theory, and they are known to support higher  
 60 inductive types. However, constructing univalent universes was an open problem for many  
 61 years. The difficulties in modeling univalent universes motivated Cohen, Coquand, Huber  
 62 and Mörtberg (CCHM) [15] to consider a cube category with even more structure, namely  
 63 connections ( $\wedge$  and  $\vee$ ) and an involutive reversal operation ( $\neg$ ) satisfying the axioms of  
 64 a De Morgan algebra. Using these additional operations, they gave the first cubical set  
 65 model of univalent type theory with higher inductive types, as well as the first cubical type  
 66 theory. It was later observed by Orton and Pitts (OP) [28] that the CCHM constructions  
 67 do not require the full structure of a De Morgan algebra; a so-called “connection algebra”  
 68 suffices. As a special case, there is a cubical category where the connection algebra is the free  
 69 bounded distributive lattice. We call the resulting presheaf category *Dedekind cubical sets*,  
 70 following Awodey, as the number of elements of  $\text{Hom}(\mathbb{I}^n, \mathbb{I})$  are the Dedekind numbers [5].  
 71 Angiuli, Favonia, and Harper (AFH) [3] showed that that a model of HoTT/UF could also  
 72 be developed in cartesian cubical sets *without* connections or reversals; their computational  
 73 model was then adapted to an Orton-Pitts style construction by Angiuli et al. (ABCFL) [2].

74 In short, a wide variety of cube categories give rise to models of univalent type theory.  
 75 Moreover, the underlying cube category is not the only parameter: one must also formulate  
 76 *Kan composition*, i.e., choose a class of *fibrations*. Kan composition, a cubical analogue of the  
 77 lifting condition in Kan simplicial sets, ensures that `Path` types induce a notion of equality.  
 78 A representative special case of composition is *coercion*. Given a type  $A$  that depends on a  
 79 dimension variable  $i : \mathbb{I}$ , coercion establishes a relationship between the elements of  $A(r/i)$   
 80 and  $A(s/i)$  for various  $r, s : \mathbb{I}$ . The nature of this relationship varies from model to model. In  
 81 CCHM, the simplest case, coercion provides a map  $\text{coe}_{i,A}^{0 \rightarrow 1} : A(0/i) \rightarrow A(1/i)$ . In AFH, on  
 82 the other hand, there is an operation  $\text{coe}_{i,A}^{r \rightarrow s} : A(r/i) \rightarrow A(s/i)$  for *every*  $r, s : \mathbb{I}$ , together  
 83 with an equation  $\text{coe}_{i,A}^{r \rightarrow r} a = a : A(r/i)$ . Other model constructions use intermediate points  
 84 between these two extremes. For example, OP include  $0 \rightarrow 1$  and  $1 \rightarrow 0$ . A more expressive  
 85 cube category can compensate for a more limited form of coercion; in CCHM, coercions  
 86  $\varepsilon \rightarrow s$  and  $r \rightarrow \varepsilon$  for  $\varepsilon : \{0, 1\}$  are derivable from the primitive  $0 \rightarrow 1$  coercion.

87 In its general form, Kan composition coerces a cube while preserving some part of its  
 88 boundary, a generalization necessary in order to derive coercion for `Path` types. The choice  
 89 of allowable boundary shapes is a third parameter; from the model categorical perspective,  
 90 it corresponds to a choice of *generating cofibrations*. In CCHM cubical sets, a boundary  
 91 is specified by a collection of (conjunctions of) faces of the form  $(r = 0)$  or  $(r = 1)$ . For  
 92 cartesian cubes, AFH took the crucial step of also including  $(r = s)$  boundary constraints,

	Diagonals	Additional structure	Kan operations	Diagonal cofibrations
BCH			$0 \rightarrow r, 1 \rightarrow r$	
CCHM	✓	$\wedge, \vee, \neg$ (De Morgan)	$0 \rightarrow 1$	
Dedekind	✓	$\wedge, \vee$ (distributive lattice)	$0 \rightarrow 1, 1 \rightarrow 0$	
OP	✓	$\wedge, \vee$ (connection algebra)	$0 \rightarrow 1, 1 \rightarrow 0$	
AFH/ABCFHL	✓		$r \rightarrow s$	✓

■ **Table 1** Varieties of cubical models of HoTT/UF.

93 corresponding to diagonal faces of cubes. Model categorically, this corresponds to including  
 94 the diagonal on the interval as a generating cofibration, i.e. to assume *diagonal cofibrations*.

95 We collect the existing cubical set models in Table 1. As a general rule, these constructions  
 96 can still be conducted in a setting with additional structure. For example, both the CCHM  
 97 and ABCFHL model constructions can both be carried out in cubical sets with connections,  
 98 reversals, and diagonal cofibrations. (The exception is BCH, which apparently relies crucially  
 99 on the *absence* of diagonal maps.) The constructions produce the same notions of fibration  
 100 where they are mutually applicable, as is observed for the CCHM and ABCFHL models in  
 101 [2, Sec. 3.4]. What is lacking, however, is a *single* construction that applies in all cases.

## 102 Contributions

103 Our main contribution is a unification of the structural cubical models (i.e., all but BCH)  
 104 as instances of a single construction. This is achieved by axiomatizing a class of models in  
 105 the internal language style of Orton and Pitts [28], based on a “weak” variation of cartesian  
 106 Kan composition. This notion of fibration specializes to the AFH definition in the presence  
 107 of diagonal cofibrations (Section 2.3.1) and to the CCHM definition in the presence of  
 108 connections and reversals (Section 2.3.2). The “weak” fibrations are closed under basic type  
 109 formers (Section 2.4), Glue types (Section 2.5), and fibrant univalent universes (Section 2.6),  
 110 thus give rise to a model of HoTT/UF. Furthermore, we obtain algebraic weak factorization  
 111 systems of *cofibrations and trivial fibrations* (Section 3.2) and of *trivial cofibrations and*  
 112 *fibrations* (Section 3.3). Finally, we verify that a theorem of Sattler [32, Thm. 2.8] applies,  
 113 allowing us to obtain a model structure (Section 3.4) from the factorization systems.

## 114 2 A general axiomatization

115 Following Orton and Pitts [28], we construct models of cubical type theory from locally  
 116 cartesian closed categories  $\mathcal{C}$ : we describe a collection of axioms in the internal language of  
 117 such categories, then use the language as a tool to show that any category satisfying the  
 118 axioms induces a class of fibrations closed under various type formers. Rather than relying  
 119 on an impredicative universe of propositions, as Orton and Pitts do, we follow Licata, Orton,  
 120 Pitts and Spitters (LOPS) [27] and work in a predicative theory. We use Agda [1] extended  
 121 with postulates for function extensionality and uniqueness of identity proofs to simulate the  
 122 internal type theory of a locally cartesian closed category.<sup>1</sup>

<sup>1</sup> The formalization and additional material can be found at <https://github.com/mortberg/gen-cart>.  
 For a summary of where all of the results in the paper can be found, see <https://github.com/mortberg/gen-cart/blob/master/agda/unifying-summary.agda>.

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123 We adopt Agda’s (ultimately Nuprl’s) syntax here, writing  $(x : A) \rightarrow B$  for dependent  
 124 and  $A \rightarrow B$  for non-dependent functions. We assume a non-cumulative hierarchy of universes  
 125  $\mathcal{U}_0 : \mathcal{U}_1 : \dots$ ; here, we leave levels implicit and write  $\mathcal{U}$  for simplicity, but they are explicit  
 126 in the formalization. Among Agda’s inductive types, we need identity types (written  $u = v$   
 127 and with a single constructor `refl`), an empty type  $\perp : \mathcal{U}$ , and sum types  $A \uplus B$  (with  
 128 constructors `inl` and `inr`). We write  $\Sigma(x : A), B$  for dependent and  $A \times B$  for non-dependent  
 129 product types. Following HoTT/UF, we define the type of (homotopy) propositions as  
 130  $\mathbf{hProp} \triangleq \Sigma(A : \mathcal{U}), (x y : A) \rightarrow x = y$ . We assume a propositional truncation operation  
 131  $\|-\| : \mathcal{U} \rightarrow \mathbf{hProp}$  universally approximating any type as an  $\mathbf{hProp}$ . We then define disjunction  
 132  $P \vee Q$  of propositions  $P$  and  $Q$  as the propositional truncation  $\|P \uplus Q\|$ . The negation of a  
 133 type  $\neg A$  is defined as  $A \rightarrow \perp$ ; this is always a proposition.

134 This type theory can be interpreted in any presheaf topos [25], in particular the various  
 135 cubical and simplicial set categories, assuming enough Grothendieck universes. The standard  
 136 example throughout the paper is the category of cartesian cubical sets.

### 137 2.1 The interval and Path types

138 The axiomatic requirements on  $\mathcal{C}$  begin with an interval type  $\mathbb{I} : \mathcal{U}$  with endpoints  $0 : \mathbb{I}$  and  
 139  $1 : \mathbb{I}$ . We require  $\mathbb{I}$  to be connected ( $\mathbf{ax}_1$ ) and  $0, 1$  to be distinct ( $\mathbf{ax}_2$ ).

$$140 \quad \mathbf{ax}_1 : (P : \mathbb{I} \rightarrow \mathcal{U}) \rightarrow ((i : \mathbb{I}) \rightarrow P \ i \uplus \neg(P \ i)) \rightarrow ((i : \mathbb{I}) \rightarrow P \ i) \uplus ((i : \mathbb{I}) \rightarrow \neg(P \ i))$$

$$141 \quad \mathbf{ax}_2 : \neg(0 = 1)$$

143 Given  $A : \mathbb{I} \rightarrow \mathcal{U}$ , we define the type of *paths* in  $A$  as  $\mathbf{Path}(A) \triangleq (i : \mathbb{I}) \rightarrow A \ i$ . Given  $a : A \ 0$   
 144 and  $b : A \ 1$ , we write  $a \sim b \triangleq \Sigma(p : \mathbf{Path}(A)), (p \ 0 = a) \times (p \ 1 = b)$ . Given  $p : a \sim b$  and  $r : \mathbb{I}$ ,  
 145 we write  $p \ @ \ r$  for the application of `fst`  $p$  to  $r$ , which satisfies  $p \ @ \ 0 = a$  and  $p \ @ \ 1 = b$ .

### 146 2.2 Cofibrant propositions

147 Next, we assume a universe à la Tarski of generating cofibrant propositions  $\Phi : \mathcal{U}$  supporting  
 148 the following operations. We write  $[\_]$  :  $\Phi \rightarrow \mathbf{hProp}$  for the decoding function and stipulate  
 149 that it interprets the code constructors appropriately.

$$150 \quad (\_ \approx 0) : \mathbb{I} \rightarrow \Phi \qquad \mathbf{ax}_3 : (i : \mathbb{I}) \rightarrow [(i \approx 0)] = (i = 0)$$

$$151 \quad (\_ \approx 1) : \mathbb{I} \rightarrow \Phi \qquad \mathbf{ax}_4 : (i : \mathbb{I}) \rightarrow [(i \approx 1)] = (i = 1)$$

$$152 \quad \vee : \Phi \rightarrow \Phi \rightarrow \Phi \qquad \mathbf{ax}_5 : (\varphi \ \psi : \Phi) \rightarrow [\varphi \vee \psi] = [\varphi] \vee [\psi]$$

154 Note that we have two bottom elements,  $(0 \approx 1)$  and  $(1 \approx 0)$ . The decoding of these  
 155 imply each other, but we need not assume they are equal. The same holds for the two top  
 156 elements  $(0 \approx 0)$  and  $(1 \approx 1)$ . Note that for all  $A : \mathcal{U}$ , we have  $\mathbf{elim}_\perp : [(0 \approx 1)] \rightarrow A$  by  $\mathbf{ax}_2$ .

157 ► **Remark 1.** If  $\mathcal{C}$  is a topos, we can take  $\Phi$  to be the subobject classifier  $\Omega$ . To obtain a  
 158 constructive presheaf model, we can instead take  $\Phi$  to be the subobject of  $\Omega$  of sieves with  
 159 decidable image at each stage. However, the axiomatization of  $\Phi$  does not presume the  
 160 existence of a subobject classifier; nor does it require that inter-derivable cofibrations are  
 161 equal. This is similar to the approach taken in [2, 27], where  $\Phi \triangleq \Sigma(A : \mathcal{U}), \mathbf{cof} \ A$  is specified  
 162 by a predicate  $\mathbf{cof} : \mathcal{U} \rightarrow \mathcal{U}$  on types. However, our variation requires that  $\Phi$  is a *small* type,  
 163 which is needed to construct identity types while preserving universe level.

164 A *partial element* of  $A$  is a term  $f : [\varphi] \rightarrow A$ . Given such a partial element  $f$  and an  
 165 element  $x : A$ , we define the *extension* relation  $f \nearrow x \triangleq (u : [\varphi]) \rightarrow f \ u = x$ , so that  $f \nearrow x$

166 is the type of proofs that the partial element  $f$  extends to the total element  $x$ . Following [15],  
 167 we write  $A[\varphi \mapsto f] \triangleq \Sigma(x : A), f \nearrow x$  for the type of all elements of  $A$  extending  $f$ . Given a  
 168 partial path  $f : [\varphi] \rightarrow \text{Path}(A)$  and  $r : \mathbb{I}$ , we write  $f \cdot r \triangleq \lambda u. f \ u \ r : [\varphi] \rightarrow A \ r$ .

169 This completes the basic set of axioms, which will suffice to interpret the  $\Sigma$ -,  $\Pi$ -,  $\text{Path}$   
 170 types and basic datatypes. We defer the introduction of two final axioms to Section 2.5,  
 171 where we will need them to interpret (strict) Glue types.

## 172 2.3 Fibration structures

173 Using the interval and the universe of cofibrant propositions, we can now define our notion  
 174 of fibration structure, a weaker variation on the fibration structures used in [2, 3].

► **Definition 2** (Weak composition). *Given  $r : \mathbb{I}$ ,  $A : \mathbb{I} \rightarrow \mathcal{U}$ ,  $\varphi : \Phi$ ,  $f : [\varphi] \rightarrow \text{Path}(A)$  and  $x_0 : (A \ r)[\varphi \mapsto f \cdot i]$ , a weak composition structure is given by two operations*

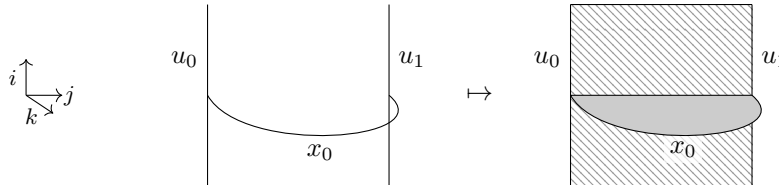
$$\text{wcom} : (s : \mathbb{I}) \rightarrow (A \ s)[\varphi \mapsto f \cdot s] \quad \underline{\text{wcom}} : \text{fst}(\text{wcom} \ r) \sim \text{fst} \ x_0$$

175 *satisfying  $(i : \mathbb{I}) \rightarrow f \cdot r \nearrow \underline{\text{wcom}} \ @ \ i$ . We write  $\text{WComp} \ r \ A \ \varphi \ f \ x_0$  for the type of such*  
 176 *weak composition structures, i.e.,*

$$177 \quad \text{WComp} \ r \ A \ \varphi \ f \ x_0 \triangleq \Sigma(\text{wcom} : \dots), \Sigma(\underline{\text{wcom}} : \dots), (i : \mathbb{I}) \rightarrow f \cdot r \nearrow \underline{\text{wcom}} \ @ \ i$$

178 In contrast with [2, 3], we do not require that the equality  $\text{wcom} \ r \ A \ \varphi \ f \ x_0 \ r = x_0$  holds  
 179 strictly. Instead, the  $\underline{\text{wcom}}$  operation enforces the equation up to a path constant on  $\varphi$ . We  
 180 say that  $\text{wcom} \ r \ A \ \varphi \ f \ x_0 \ s$  composes  $r \rightarrow s$  in  $A$ , and refer to  $f$  as the *tube* and  $x_0$  as the  
 181 *cap* of the composition. We refer to  $\underline{\text{wcom}}$  as the “cap path”, as it relates  $\text{wcom} \ r \ A \ \varphi \ f \ x_0 \ r$   
 182 to the cap  $x_0$ .

183 ► **Example 3.** We can illustrate the above choice of terminology with the following example.  
 184 The composition problem is given by the tube  $u_0$  and  $u_1$  at  $(j \approx 0)$  and  $(j \approx 1)$  together  
 185 with a cap  $x_0$  at  $(i \approx r)$ . The composition from  $r$  to  $i$  is the interior of the square on the  
 186 right, while the cap path is the gray path connecting the composition at  $r$  to  $x_0$ .



187  
 188 ► **Definition 4** (Weak fibrations and fibration structures). *A weak fibration  $(A, \alpha)$  over  $\Gamma : \mathcal{U}$*   
 189 *is a family  $A : \Gamma \rightarrow \mathcal{U}$  equipped with a fibration structure  $\alpha : \text{isFib} \ A$ , where*

$$190 \quad \text{isFib} \ A \triangleq (r : \mathbb{I})(p : \mathbb{I} \rightarrow \Gamma)(\varphi : \Phi)(f : [\varphi] \rightarrow (i : \mathbb{I}) \rightarrow A(p \ i))(x_0 : A(p \ r)[\varphi \mapsto f \cdot r]) \\ 191 \quad \rightarrow \text{WComp} \ r \ (A \circ p) \ \varphi \ f \ x_0$$

192  
 193 We write  $\text{Fib} \ \Gamma \triangleq \Sigma(A : \Gamma \rightarrow \mathcal{U}), \text{isFib} \ A$  for the type of weak fibrations over  $\Gamma$ . As in [28,  
 194 Def. 5.8], we obtain a *category with families* (CwF) [21] where the families over  $\Gamma : \mathcal{U}$  are  
 195  $(A, \alpha) : \text{Fib} \ \Gamma$  and elements of such a family are dependent functions in  $(x : \Gamma) \rightarrow A \ x$ . Given  
 196  $P : \text{Fib} \ \Gamma$  and  $\sigma : \Delta \rightarrow \Gamma$ , we write  $P[\sigma] : \text{Fib} \ \Delta$  for the reindexing of  $P$  along  $\sigma$ .

197 ► **Remark 5.** When discussing the model structure in Section 3.4, we will use the term  
 198 *fibration* for the usual external notion of a map that has the right lifting property against  
 199 trivial cofibrations. Whenever this overloading of terminology might be confusing we use the  
 200 terms *weak fibration* and *fibration structure* when referring to the internal notions.

## 23:6 Unifying Cubical Models of Univalent Type Theory

Given  $\alpha : \text{isFib } A$ ,  $s : \mathbb{I}$  and  $r, p, \varphi, f$  and  $x_0$  as in Definition 4, we introduce the following more readable notation for the composites provided by  $\alpha$ .

$$\text{wcom}_{\alpha}^{r \rightarrow s} p [\varphi \mapsto f] x_0 \triangleq \text{fst} (\text{fst} (\alpha \ r \ p \ \varphi \ f \ x_0) \ s) : A \ (p \ s)$$

$$\underline{\text{wcom}}_{\alpha}^r p [\varphi \mapsto f] x_0 \triangleq \text{fst} (\text{snd} (\alpha \ r \ p \ \varphi \ f \ x_0)) : (\text{wcom}_{\alpha}^{r \rightarrow r} p [\varphi \mapsto f] x_0) \sim \text{fst } x_0$$

Given  $\varphi, \psi : \Phi$ , we follow [15] and write  $[\varphi \mapsto f, \psi \mapsto g] : [\varphi \vee \psi] \rightarrow A$  for the union of partial elements  $f : [\varphi] \rightarrow A$  and  $g : [\psi] \rightarrow A$  that agree where they are both defined, i.e. such that  $\forall (u : [\varphi]) (v : [\psi]). f \ u = g \ v$ . This generalizes directly to  $[\varphi_1 \mapsto f_1, \dots, \varphi_n \mapsto f_n]$ .

We say that a proposition  $A : \mathbf{hProp}$  is *cofibrant* if it is logically equivalent to the decoding of a generating cofibrant proposition, i.e.  $\text{isCofProp } A \triangleq \Sigma(\varphi : \Phi), A \leftrightarrow [\varphi]$ . When  $r, s : \mathbb{I}$  are such that  $(r = s)$  is cofibrant, we will be able to “improve” weak composition  $r \rightarrow s$  to obtain a strict composition that is exactly equal to its cap when  $r = s$ .

► **Definition 6** (Strict composition). *Given  $r : \mathbb{I}$ ,  $A : \mathbb{I} \rightarrow \mathcal{U}$ ,  $\varphi : \Phi$ ,  $f : [\varphi] \rightarrow \text{Path}(A)$  and  $x_0 : (A \ r)[\varphi \mapsto f \cdot i]$ , a strict composition structure is given by an operation*

$$\text{scom} : (s : \mathbb{I}) \rightarrow \text{isCofProp}(r = s) \rightarrow (A \ s)[\varphi \mapsto f \cdot s]$$

*satisfying  $\text{fst} (\text{scom } r \ c) = \text{fst } x_0$  for all  $c : \text{isCofProp}(r = r)$ .*

We will leave the argument  $\text{isCofProp}(r = s)$  implicit. Writing  $\text{SComp } r \ A \ \varphi \ f \ x_0$  for the type of strict composition operations on  $A$ , we define strict fibrations as follows.

► **Definition 7** (Strict fibrations). *A strict fibration  $(A, \alpha)$  over  $\Gamma : \mathcal{U}$  is a family  $A : \Gamma \rightarrow \mathcal{U}$  equipped with a strict fibration structure  $\alpha : \text{isSFib } A$ , where*

$$\text{isSFib } A \triangleq (r : \mathbb{I})(p : \mathbb{I} \rightarrow \Gamma)(\varphi : \Phi)(f : [\varphi] \rightarrow (i : \mathbb{I}) \rightarrow A(p \ i))(x_0 : A(p \ r)[\varphi \mapsto f \cdot r]) \rightarrow \text{SComp } r \ (A \circ p) \ \varphi \ f \ x_0$$

► **Lemma 8** (Strictification). *Given  $\Gamma : \mathcal{U}$  and  $A : \Gamma \rightarrow \mathcal{U}$ , there is a map  $\text{isFib } A \rightarrow \text{isSFib } A$ .*

**Proof.** Given  $\alpha : \text{isFib } A$  and  $r, p, \varphi, f$  and  $x_0$  as in Definition 7, let

$$w \triangleq \text{wcom}_{\alpha}^{r \rightarrow s} p [\varphi \mapsto f] x_0 \qquad \underline{w} \triangleq \underline{\text{wcom}}_{\alpha}^r p [\varphi \mapsto f] x_0$$

Given  $s : \mathbb{I}$ , we define the following term that corrects the  $(r = s)$  face of  $w$  using  $\underline{w}$ .

$$\text{scom } s \triangleq \text{wcom}_{\alpha}^{0 \rightarrow 1} (\lambda \_ . p \ s) [\varphi \mapsto \lambda u \_ . f \ u \ s, (r = s) \mapsto \lambda \_ . i . \underline{w} \ @ \ i] w \quad \blacktriangleleft$$

In particular, as  $(r = \varepsilon)$  and  $(\varepsilon = r)$  are always cofibrant for  $\varepsilon : \{0, 1\}$ , we have strict composition operations  $\varepsilon \rightarrow r$  and  $r \rightarrow \varepsilon$  in any fibration. Defining  $\bar{0} \triangleq 1$  and  $\bar{1} \triangleq 0$ , we note that the weak compositions  $\varepsilon \rightarrow \bar{\varepsilon}$  are already strict, as the cap condition is vacuous.

### 2.3.1 AFH fibrations

We now compare our definition of fibration to that of existing *cartesian* cubical type theories and models. A key feature of these is the use of diagonal cofibrations, which correspond to an operation  $(\_ \approx \_) : \mathbb{I} \rightarrow \mathbb{I} \rightarrow \Phi$  decoding as follows.

$$\mathbf{ax}_{\Delta} : (r \ s : \mathbb{I}) \rightarrow [(r \approx s)] = (r = s)$$

The form of fibration used in these models was originally proposed by Coquand [16], but it was initially unclear how to model univalent universes. AFH observed that the problems could be dealt with by introducing diagonal cofibrations, and used them to give a complete computational semantics of univalent type theory (we hence refer to these as “AFH fibrations”). These ideas were then adapted in ABCFHL to give an Orton-Pitts style model construction.

241 ► **Definition 9** (AFH composition). *Given  $r : \mathbb{I}$ ,  $A : \mathbb{I} \rightarrow \mathcal{U}$ ,  $\varphi : \Phi$ ,  $f : [\varphi] \rightarrow \text{Path}(A)$  and*  
 242  *$x_0 : (A r)[\varphi \mapsto f \cdot i]$ , an AFH composition structure is given by  $\text{com} : (s : \mathbb{I}) \rightarrow (A s)[\varphi \mapsto f \cdot s]$*   
 243 *satisfying  $\text{fst}(\text{com } r) = \text{fst } x_0$ . We write  $\text{AFHComp } r A \varphi f x_0$  for the type of such AFH*  
 244 *composition structures, and write*

$$245 \quad \text{isAFHFib } A \triangleq (r : \mathbb{I})(p : \mathbb{I} \rightarrow \Gamma)(\varphi : \Phi)(f : [\varphi] \rightarrow (i : \mathbb{I}) \rightarrow A(p i))$$

$$246 \quad (x_0 : A(p r)[\varphi \mapsto f \cdot r]) \rightarrow \text{AFHComp } r (A \circ p) \varphi f x_0$$

248 When  $\text{isAFHFib}$  is taken as the definition of fibration, it seems that diagonal cofibrations  
 249 are crucial to construct fibrant univalent universes of fibrant types. Specifically, they are  
 250 needed to ensure that composition in  $\text{Glue}/V$  types and the universe satisfies the strict cap  
 251 condition. In the presence of diagonal cofibrations, our definition of fibration coincides with  
 252  $\text{isAFHFib}$ .

253 ► **Theorem 10.** *Given  $\Gamma : \mathcal{U}$  and  $A : \Gamma \rightarrow \mathcal{U}$ , we have  $\text{isAFHFib } A$  iff we have  $\text{isFib } A$ .<sup>2</sup>*

254 **Proof.** Any AFH composition structure induces a weak composition structure, as any equality  
 255 can be turned into a path. For the converse direction, apply Lemma 8 with  $\mathbf{ax}_\Delta$ . ◀

256 ► **Remark 11.** Awodey [6] has formulated a categorical notion of *unbiased fibrations* and  
 257 shown that this coincides with AFH fibrations; it thus also coincides with weak composition  
 258 in the presence of diagonal cofibrations.

### 259 2.3.2 CCHM fibrations

260 Next, we compare with the CCHM definition of fibration. Following Orton and Pitts [28],  
 261 we assume operations  $\sqcap, \sqcup : \mathbb{I} \rightarrow \mathbb{I} \rightarrow \mathbb{I}$  satisfying the axioms of a *connection algebra*.

$$262 \quad \mathbf{ax}_\sqcap : (r : \mathbb{I}) \rightarrow (0 \sqcap r = 0 = r \sqcap 0) \wedge (1 \sqcap r = r = r \sqcap 1)$$

$$263 \quad \mathbf{ax}_\sqcup : (r : \mathbb{I}) \rightarrow (0 \sqcup r = r = r \sqcup 0) \wedge (1 \sqcup r = 1 = r \sqcup 1)$$

265 ► **Remark 12.** A connection algebra is weaker than the De Morgan algebra used in CCHM:  
 266 there is no reversal  $\neg : \mathbb{I} \rightarrow \mathbb{I}$  and the connections need not form a distributive lattice. Thus,  
 267 Orton and Pitts [28] obtain a construction that applies to both CCHM and Dedekind cubical  
 268 sets, compensating for the lack of reversals by parametrizing the composition operation by  
 269  $\varepsilon : \{0, 1\}$ . Following Orton and Pitts, we continue to call this “CCHM composition” despite  
 270 the superficial difference from the operation defined in [15].

271 ► **Definition 13** (CCHM composition). *Given  $\varepsilon : \{0, 1\}$ ,  $A : \mathbb{I} \rightarrow \mathcal{U}$ ,  $\varphi : \Phi$ ,  $f : [\varphi] \rightarrow \text{Path}(A)$*   
 272 *and  $x_0 : (A \varepsilon)[\varphi \mapsto f \cdot i]$ , a CCHM composition structure is a term  $\text{com} : (A \bar{\varepsilon})[\varphi \mapsto f \cdot \bar{\varepsilon}]$ .*  
 273 *We write  $\text{CCHMComp } \varepsilon A \varphi f x_0$  for the type of such CCHM composition structures, and*

$$274 \quad \text{isCCHMFib } A \triangleq (\varepsilon : \{0, 1\})(p : \mathbb{I} \rightarrow \Gamma)(\varphi : \Phi)(f : [\varphi] \rightarrow (i : \mathbb{I}) \rightarrow A(p i))$$

$$275 \quad (x_0 : A(p \varepsilon)[\varphi \mapsto f \cdot r]) \rightarrow \text{CCHMComp } \varepsilon (A \circ p) \varphi f x_0$$

277 A key result in CCHM is that connections and composition  $0 \rightarrow 1$  suffice to derive  
 278 composition  $0 \rightarrow r$  (i.e. Kan filling). The following result shows that we can in fact derive  
 279 all of the cartesian composition operations, except for the strict equality for  $r \rightarrow r$ . This  
 280 clarifies the relationship between CCHM and AFH composition. As CCHM only requires  
 281 compositions  $\varepsilon \rightarrow \bar{\varepsilon}$ , diagonal cofibrations are not needed for  $\text{Glue}$  types and the universe.

<sup>2</sup> This is already observed for weak coercion in [2, Sec. 2.7].

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282 ► **Theorem 14.** *Given  $\Gamma : \mathcal{U}$  and  $A : \Gamma \rightarrow \mathcal{U}$ , we have  $\text{isCCHMFib } A$  iff we have  $\text{isFib } A$ .*

283 **Proof.** We can go from  $\text{isFib } A$  to  $\text{isCCHMFib } A$  by simply instantiating  $r$  with  $\varepsilon$  and  $s$  with  
 284  $\bar{\varepsilon}$ . For the other direction, let  $r, p, \varphi, f$  and  $x_0$  be as in Definition 4. First, we define the  
 285 following term, which composes from  $A (p r)$  to  $A (p (j \wedge r))$  for any  $j : \mathbb{I}$ .

$$286 \quad q j \triangleq \text{com}_\alpha^{1 \rightarrow 0} (\lambda i. p ((j \vee i) \wedge r)) \left[ \begin{array}{l} \varphi \quad \mapsto \lambda u i. f u ((j \vee i) \wedge r) \\ (j = 1) \mapsto \lambda \_ \_. x_0 \end{array} \right] x_0$$

287 We can then define weak composition to  $s : \mathbb{I}$ .

$$288 \quad \text{wcom } s \triangleq \text{com}_\alpha^{0 \rightarrow 1} (\lambda i. p (i \wedge s)) [\varphi \mapsto \lambda u i. f u (i \wedge s), (0 \approx 1) \mapsto \text{elim}_\perp] (q 0)$$

289 The cap path is defined as follows.

$$290 \quad \underline{\text{wcom}} \triangleq \lambda (j : \mathbb{I}). \text{com}_\alpha^{0 \rightarrow 1} (\lambda i. p ((j \vee i) \wedge r)) \left[ \begin{array}{l} \varphi \quad \mapsto \lambda u i. f u ((j \vee i) \wedge r) \\ (j = 1) \mapsto \lambda \_ \_. x_0 \end{array} \right] (q j) \quad \blacktriangleleft$$

### 291 2.4 Fibration structures for basic type formers

292 The collection of fibrations is closed under all of the basic type formers of cubical type  
 293 theory:  $\Sigma$ -,  $\Pi$ -,  $\text{Path}$  types and any basic datatypes that  $\mathcal{C}$  supports. The arguments are very  
 294 similar to those of [2, 3], but additional adjustments are necessary to compensate for the  
 295 new weakness. We include the proof for  $\Sigma$ -types in order to illustrate this in detail.

296 ► **Theorem 15** (Fibrant  $\Sigma$ -types). *Given  $\Gamma : \mathcal{U}$ ,  $A : \Gamma \rightarrow \mathcal{U}$ ,  $B : (\Sigma(x : \Gamma), A x) \rightarrow \mathcal{U}$ , we have*

$$297 \quad \text{isFib}_\Sigma : \text{isFib } A \rightarrow \text{isFib } B \rightarrow \text{isFib } (\Sigma A B)$$

298 *where  $(\Sigma A B) x \triangleq \Sigma(a : A x), B (x, a)$ .*

299 **Proof.** Let  $\alpha : \text{isFib } A$  and  $\beta : \text{isFib } B$  and  $r, p, \varphi, f$  and  $x_0$  be as in Definition 4. We first  
 300 define the composite and cap path for the first components of the open box.

$$301 \quad w_A i \triangleq \text{wcom}_\alpha^{r \rightarrow i} p [\varphi \mapsto \lambda u j. \text{fst } (f u j)] (\text{fst } x_0)$$

$$302 \quad \underline{w}_A \triangleq \underline{\text{wcom}}_\alpha^r p [\varphi \mapsto \lambda u j. \text{fst } (f u j)] (\text{fst } x_0)$$

304 To define the composite of the second components, we first adjust the type of the cap.  
 305 For this, we use a strict composition  $1 \rightarrow k$  in  $B$ , which is derivable from  $\beta$  per Lemma 8.

$$306 \quad b k \triangleq \text{scom}_\beta^{1 \rightarrow k} (\lambda j. (p r, \underline{w}_A @ j)) [\varphi \mapsto \lambda u \_. \text{snd } (f u r)] (\text{snd } x_0)$$

307 When  $k$  is 0, this is the corrected cap of our composition in  $B$ .

$$308 \quad w_B \triangleq \text{wcom}_\beta^{r \rightarrow s} (\lambda i. (p i, w_A i)) [\varphi \mapsto \lambda u i. \text{snd } (f u i)] (b 0)$$

$$309 \quad \underline{w}_B \triangleq \underline{\text{wcom}}_\beta^r (\lambda i. (p i, w_A i)) [\varphi \mapsto \lambda u i. \text{snd } (f u i)] (b 0)$$

311 Composition in the pair type is then defined to be the pair  $\text{wcom } s \triangleq (w_A s, w_B)$ . For  
 312 the cap path, we combine the cap path  $\underline{w}_B$  for the composition in  $B$  with the path  $b$  that  
 313 relates  $b 0$  to  $\text{snd } x_0$  over  $\underline{w}_A$ .

$$314 \quad c t \triangleq \text{wcom}_\beta^{1 \rightarrow 0} (\lambda j. (p r, \underline{w}_A @ j)) \left[ \begin{array}{l} \varphi \quad \mapsto \lambda u \_. \text{snd } (f u r) \\ (t = 0) \mapsto \lambda \_ j. \underline{w}_B @ j \\ (t = 1) \mapsto \lambda \_ \_. \text{snd } x_0 \end{array} \right] (b t)$$

315 We then let  $\underline{\text{wcom}} \triangleq \lambda (t : \mathbb{I}). (\underline{w}_A @ t, c t)$ . ◀



316 The case for  $\Pi$ -types is similar to that of  $\Sigma$ -types: the proof roughly follows that of strict  
 317 composition, but additional composites have to be inserted to mediate between composites  
 318 and their caps. The proofs for `Path` types and natural numbers are essentially identical to  
 319 those of [2, 3]. We omit the details here, but the interested reader may consult [13, Sec. 3] or  
 320 our `Agda` formalization. It is also straightforward to verify that these definitions are stable  
 321 under reindexing, so that we obtain a `CwF` that supports  $\Sigma$ -,  $\Pi$ - and `Path` types. This `CwF`  
 322 also supports natural numbers if  $\mathcal{C}$  has a natural numbers object.

## 323 2.5 Glueing

324 `Glue` types were introduced in [15, Sec. 6] to unify the proofs that the universe of fibrant  
 325 types is fibrant and univalent. This construction also occurs implicitly in the proof that the  
 326 universe is univalent in the Kan simplicial set model [26, Thm. 3.4.1]. The construction of  
 327 these types in the internal language was described in detail by Orton and Pitts [28, Sec. 6].  
 328 In this section we only briefly sketch their construction; apart from the proof of Theorem 17,  
 329 there are no major differences.

330 ► **Definition 16** (Glueing). *Given  $\varphi : \Phi$ ,  $A : [\varphi] \rightarrow \mathcal{U}$ ,  $B : \mathcal{U}$  and  $f : (x : [\varphi]) \rightarrow A \ x \rightarrow B$ ,*  
 331 *we define  $\text{Glue } \varphi \ A \ B \ f : \mathcal{U}$  as follows.*

$$332 \quad \text{Glue } \varphi \ A \ B \ f \triangleq \Sigma(a : (x : [\varphi]) \rightarrow A \ x), \Sigma(b : B), (x : [\varphi]) \rightarrow f \ x \ (a \ x) = b$$

333 Elements of this type are thus pairs  $(a, b)$  where  $a$  is a partial element of  $A$  and  $b$  is an  
 334 element of  $B$  such that  $f$  applied to  $a$  extends to  $b$ . When  $\varphi$  is  $\top$ , the `Glue` type is isomorphic  
 335 to  $A$ . The `Glue` operator lifts to a fiberwise operation on families of types, which we also call  
 336 `Glue`. To prove that it takes fibrations to fibrations, however, we must also require that  $f$  is  
 337 an equivalence. There are various ways to express this; we follow Voevodsky and say that  $f$   
 338 is an equivalence when its fibers are contractible [38, 39]. We write  $A \simeq B$  for the type of  
 339 equivalences between  $A$  and  $B$ .

340 ► **Theorem 17** (Fibrant `Glue` types). *Given  $\Gamma : \mathcal{U}$ ,  $\varphi : \Gamma \rightarrow \Phi$ ,  $A : (x : \Gamma) \rightarrow [\varphi \ x] \rightarrow \mathcal{U}$ ,*  
 341  *$B : \Gamma \rightarrow \mathcal{U}$  and  $f : (x : \Gamma) \ (v : [\varphi \ x]) \rightarrow A \ x \ v \rightarrow B \ x$ . If  $f$  has the structure of an*  
 342 *equivalence then there is a function  $\text{isFib}_{\text{Glue}} : \text{isFib } A \rightarrow \text{isFib } B \rightarrow \text{isFib } (\text{Glue } \varphi \ A \ B \ f)$ .*

343 The proof of this theorem is a variation of the one of [2]; as with  $\Sigma$ -types, some additional  
 344 compositions are needed to compensate for the weakness. We refer the interested reader to  
 345 the detailed type theoretic presentation in [13, Sec. 4.2] and to the `Agda` formalization.

346 Note that the fibrancy of these types does not require any additional axioms. However,  
 347 they are weaker than the `Glue` types of [15]: they are not strictly equal to  $A$  when  $\varphi$  is  $\top$ ,  
 348 only isomorphic. In order to prove univalence and fibrancy of the universe, we first need  
 349 to strictify. Writing  $A \cong B$  for the type of isomorphisms between  $A$  and  $B$ , we require the  
 350 following *strictness axiom* (**ax<sub>9</sub>** in [28]).

$$351 \quad \mathbf{ax}_6 : (\varphi : \Phi) (A : [\varphi] \rightarrow \mathcal{U}) (B : \mathcal{U}) (s : (u : [\varphi]) \rightarrow A \ u \cong B) \rightarrow$$

$$352 \quad \Sigma(B' : \mathcal{U}), \Sigma(s' : B' \cong B), (u : [\varphi]) \rightarrow (A \ u, s \ u) = (B', s')$$

354 Using this axiom, we can perform the same construction as in [28, Def. 6.1] and obtain  
 355 a type `SGlue`  $\varphi \ A \ B \ f$  that satisfies the desired equation strictly and is isomorphic to  
 356 `Glue`  $\varphi \ A \ B \ f$ . We then transport the weak fibration structure from `Glue` to `SGlue` along this  
 357 isomorphism. However, the weak composition operation that we obtain this way will not

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358 necessarily reduce to the composition operation of  $A$  when  $\varphi$  is  $\top$ . In order to correct this,  
359 we assume an operation  $\forall : (\mathbb{I} \rightarrow \Phi) \rightarrow \Phi$  satisfying the following.

$$360 \quad \mathbf{ax}_7 : (\varphi : \mathbb{I} \rightarrow \Phi) \rightarrow [\forall \varphi] = (i : \mathbb{I}) \rightarrow [\varphi i]$$

362 Using this axiom, we can perform the same “alignment” as in [28, Thm. 6.13] and obtain  
363 a weak fibration structure for  $\mathbf{SGLue}$  that reduces that of  $A$  when  $\varphi$  is  $\top$ .

### 364 2.5.1 Univalence

365 Voevodsky’s univalence axiom states that the canonical map  $\mathbf{idtoequiv} : (A \sim B) \rightarrow (A \simeq B)$   
366 is an equivalence. This formulation of univalence assumes a universe of (fibrant) types. As  
367 we have not yet constructed a universe, we instead define a variation of univalence that uses  
368 a primitive notion of lines between types. For  $\Gamma : \mathcal{U}$  and  $A, B : \mathbf{Fib} \Gamma$ , we define

$$369 \quad A \sim_{\mathcal{U}} B \triangleq \Sigma(P : \mathbf{Fib}(\Gamma \times \mathbb{I}), P[(\mathbf{id}, 0)] = A \times P[(\mathbf{id}, 1)] = B)$$

370 ► **Theorem 18** (Univalence for  $\sim_{\mathcal{U}}$ ). *We have  $(A \sim_{\mathcal{U}} B) \simeq (\mathbf{fst} A \simeq \mathbf{fst} B)$ .*

371 **Proof.** This is equivalent<sup>3</sup> to the existence of a term  $\mathbf{ua} : A \simeq B \rightarrow A \sim_{\mathcal{U}} B$  such that  
372  $\mathbf{idtoequiv} \circ \mathbf{ua} = \mathbf{id}$ . The  $\mathbf{ua}$  term follows directly from  $\mathbf{SGLue}$  in the standard way [28, Thm.  
373 7.2]. The inverse condition can be proven by unfolding the algorithm for weak composition  
374 in  $\mathbf{SGLue}$ , in analogy with [28, Thm. 7.3]. ◀

375 This model hence satisfies this variation of the univalence axiom. Following [27], we may  
376 also construct a universe and prove the standard formulation of the univalence axiom.

### 377 2.6 Fibrant univalent universes

378 The universe construction of LOPS [27] can be performed in a modal extension of type  
379 theory called *crisp type theory*. Andrea Vezzosi has developed an extension of  $\mathbf{Agda}$  with the  
380 crisp modality called  $\mathbf{Agda}\text{-}\flat$ . However, this was only recently incorporated into the standard  
381 version of  $\mathbf{Agda}$ , so we have not formally verified the content of this section.

382 A key component in the LOPS universe construction is a special feature of the interval  
383 in the various cubical set categories: it is *tiny*, i.e. exponentiation by it has a right adjoint.  
384 This is *not* true for  $\Delta^1$ , so the following theorem does not apply to Kan simplicial sets.

385 ► **Theorem 19** (Universe construction). *If  $\mathbb{I}$  is tiny, then we can construct a universe  $\mathbf{U}$  with  
386 a fibration  $\mathbf{El}$  that is classifying in the sense of [27, Thm. 5.2].*

387 **Proof.** We need to check that the assumptions of [27, Thm. 5.2] are satisfied. First of all,  
388 the arguments of  $\mathbf{isFib}$  and  $\mathbf{WComp}$  can be rearranged to match [27, Def. 2.2]. We then  
389 need to check that axioms (1)–(4) in [27] hold. The first two are function extensionality  
390 and uniqueness of identity proofs, which we are assuming. The other two are disjointness of  
391 endpoints and that  $\perp$  is a cofibrant proposition, both of which follow from  $\mathbf{ax}_2$ . ◀

392 We next need to show that this universe has a weak fibration structure, is closed under all  
393 of the type formers of cubical type theory, and satisfies the univalence axiom. This has been  
394 formalized in  $\mathbf{Agda}\text{-}\flat$  for AFH fibrations in [2], and we do not expect any difficulty doing the

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<sup>3</sup> This was originally pointed out by Daniel R. Licata in <https://groups.google.com/forum/#!msg/homotopytypetheory/j2KBIvDw53s/YTDK4DONFQAJ>.

395 same here, the only difference being the strictness of the cap equation. For a type theoretic  
 396 proof that the universe is fibrant and univalent using the fibration structures in this paper,  
 397 see [13, Sec. 4.3 and 4.4].

### 398 **3 Model structures on cubical sets**

399 We will now prove that our definition of fibration structures forms part of a Quillen model  
 400 structure. This helps to clarify the relation between our definition and already established  
 401 and well known definitions in homotopical algebra. We assume the reader is familiar  
 402 with standard concepts in homotopical algebra such as model structures, algebraic weak  
 403 factorization systems (awfs's), and the Leibniz adjunction. See e.g. [31] for these definitions.

404 Further details, including proofs of these results, are available in [14]. We have also  
 405 defined the two factorization systems in *Agda* by postulating the existence of *W-types with*  
 406 *reductions* [36], a simple class of (extensional) higher inductive types.

407 We will use some extra notational conventions for this section. We write  $\delta_i : 1 \rightarrow \mathbb{I}$  for  
 408  $i : \{0, 1\}$  for the endpoint inclusions. We use the subscript  $B$  when working with objects in a  
 409 slice category  $\mathcal{C}/B$ . In particular, we have an interval object  $\mathbb{I}_B$  defined as the projection  
 410  $\mathbb{I} \times B \rightarrow B$ , with obvious endpoint maps  $\delta_{Bi} : 1_B \rightarrow \mathbb{I}_B$ .

#### 411 **3.1 Cofibrantly generated awfs's**

412 To construct a model structure, we first need to define two weak factorization systems, one  
 413 for *cofibrations and trivial fibrations* and one for *trivial cofibrations and fibrations*. In both  
 414 cases, we will use the following definitions and theorems from [36] and [34].

415 ► **Definition 20** ([36, Def. 6.1]). *Let  $m$  be a map in a slice category  $\mathcal{C}/I$  and let  $f$  be a*  
 416 *map in another slice category  $\mathcal{C}/J$ . A family of lifting problems of  $m$  against  $f$  consists of*  
 417 *an object  $K$ , together with maps  $\sigma : K \rightarrow I$  and  $\tau : K \rightarrow J$  and a lifting problem of  $\sigma^*(m)$*   
 418 *against  $\tau^*(f)$  in  $\mathcal{C}/K$ .*

419 *We say  $m$  has the fibered left lifting property against  $f$  and  $f$  has the fibered right lifting*  
 420 *property against  $m$  if every family of lifting problems has a diagonal filler.*

421 *A family of lifting problems  $K, \sigma, \tau, p, q$  is universal if for any other family of lifting*  
 422 *problems  $K', \sigma', \tau', p', q'$ , there is a unique map  $t : K' \rightarrow K$  such that  $\sigma' = t \circ \sigma$ ,  $\tau' = t \circ \tau$ ,*  
 423  *$p' = t^*(p)$  and  $q' = t^*(q)$ .*

424 ► **Proposition 21** ([34, Prop. 3.2.4], [36, Def. 6.2]). *Universal lifting problems exist.*

425 ► **Proposition 22** ([34, Prop. 3.2.5]).  *$f$  has the fibered right lifting property against  $m$  iff*  
 426 *the universal lifting problem has a filler.*

427 ► **Definition 23.** *A fibered algebraic weak factorization system or fibered awfs consists*  
 428 *of an algebraic weak factorization system  $(L_J, R_J)$  on each slice category  $\mathcal{C}/J$  preserved by*  
 429 *reindexing (up to isomorphism).*

430 *A fibered awfs is cofibrantly generated if there exists a map  $m$  in some slice category  $\mathcal{C}/I$*   
 431 *such that for each  $J$  and each map  $f$  in  $\mathcal{C}/J$ ,  $R_J$  algebra structures on  $f$  correspond precisely*  
 432 *to diagonal fillers of the universal lifting problem of  $m$  against  $f$ .*

433 The following theorem will allow us to construct the two weak factorization systems of  
 434 the model structure.

435 ► **Theorem 24.** *Let  $m$  be a map in some slice category  $\mathcal{C}/I$ . The fibered awfs cofibrantly*  
 436 *generated by  $m$  exists if either of the two conditions below are satisfied.*

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- 437 1.  $\mathcal{C}$  is an internal category of presheaves in a locally cartesian closed category with finite  
438 colimits, disjoint sums and  $W$ -types, and  $m$  is a locally decidable monomorphism.
- 439 2.  $\mathcal{C}$  is a IIW-pretopos (e.g.  $\mathcal{C}$  is a topos with natural number object), and it satisfies the  
440 axiom weakly initial set of covers (WISC).

441 **Proof.** If (1) holds, apply [36, Thm. 6.14], and if (2) holds, apply [36, Cor. 6.12]. ◀

### 442 3.2 Cofibration and trivial fibration awfs

443 We can view the cofibrant propositions  $[-] : \Phi \rightarrow \mathbf{hProp}$  as a monomorphism  $\top : \Phi_{\text{true}} \hookrightarrow \Phi$ ,  
444 where  $\Phi_{\text{true}} \triangleq \Sigma(\varphi : \Phi), [\varphi] = \top$ .

445 ► **Definition 25** (Generating cofibrations). Let  $m : A \rightarrow B$  be a map in a slice category  $\mathcal{C}/I$ .  
446 We say  $m$  is a generating cofibration if either of the equivalent conditions below holds.

- 447 1.  $\sum_I m$  is a pullback of  $\top$ .  
448 2.  $m$  is a pullback of  $I^*(\top) : I^*(\Phi_{\text{true}}) \rightarrow I^*(\Phi)$  in  $\mathcal{C}/I$ .

449 ► **Proposition 26.** Generating cofibrations are closed under pullbacks and binary unions.  
450 Every isomorphism is a generating cofibration.

451 ► **Proposition 27.** Let  $f : X \rightarrow Y$  be a map in a slice  $\mathcal{C}/J$ . The following are equivalent.

- 452 1.  $f$  has the fibered right lifting property against  $\top$ , viewed as a map  $\Phi_{\text{true}} \rightarrow 1_{\Phi}$  in  $\mathcal{C}/\Phi$ .  
453 2.  $f$  has the fibered right lifting property against generating cofibrations of the form  $A \rightarrow 1_B$   
454 in slice categories  $\mathcal{C}/B$ .  
455 3.  $f$  has the fibered right lifting property against every generating cofibration.  
456 4.  $f$  has the right lifting property against every generating cofibration in  $\mathcal{C}/J$ .

457 ► **Definition 28** (Trivial fibrations and cofibrations). If a map  $f : X \rightarrow Y$  in a slice category  
458  $\mathcal{C}/J$  satisfies one, and so all, of the equivalent conditions in Proposition 27 we say that  $f$  is  
459 a trivial fibration. A map  $m$  in a slice category  $\mathcal{C}/I$  is a cofibration if it has the fibered left  
460 lifting property against every trivial fibration.

461 When working in Agda we found it helpful to use an alternative definition of trivial  
462 fibration following [15, Sec. 5.1]. We say that a type  $A : \mathcal{U}$  is *contractible* if the type  $\mathbf{SContr} A$   
463 is inhabited, where we define  $\mathbf{SContr} A \triangleq (\varphi : \Phi) \rightarrow (t : [\varphi] \rightarrow A) \rightarrow A[\varphi \mapsto t]$ . We define a  
464 map  $f : X \rightarrow Y$  to be a trivial fibration if every fiber is contractible.

465 If  $m$  and  $\mathcal{C}$  satisfy the necessary conditions to apply Theorem 24 then there is an awfs  
466  $(\mathcal{C}, F^t)$  where the class underlying  $F^t$  is precisely the class of trivial fibrations. We refer to  
467 maps in the class underlying  $\mathcal{C}$  as *cofibrations*.

### 468 3.3 Trivial cofibration and fibration awfs

469 We now give a more abstract characterization of weak fibrations (Definition 4) and define an  
470 awfs where the right maps are weak fibrations. Following Gambino and Sattler [24], we use  
471 the Leibniz adjunction to describe fibrations, writing  $\hat{\times}_B$  and  $\mathbf{hom}_B(-, -)$  for the Leibniz  
472 product and exponential constructed in a slice category  $\mathcal{C}/B$ . We also use the following  
473 notion of *weak lifting property*. This definition (although not the name) has been used before  
474 in homotopical algebra by Dold [20] and also by Reedy [30]. Note however that the definition  
475 of fibration considered by Dold is weaker than the one here, as one may see from Lemma 8.

476 ► **Definition 29** (Weak left lifting property). *Let  $m : A \rightarrow B$  and  $f : X \rightarrow Y$ . We say  $m$*   
 477 *has the weak left lifting property against  $f$  if for every commutative square, as in the solid*  
 478 *lines below, there is a diagonal map, as in the dotted line below, such that the lower triangle*  
 479 *commutes strictly, and the upper triangle commutes up to a homotopy  $h : j \circ m \sim a$  such*  
 480 *that  $f \circ h$  is constant. We refer to such diagonal maps as weak fillers.*

$$\begin{array}{ccc}
 A & \xrightarrow{a} & X \\
 m \downarrow & \sim \nearrow & \downarrow f \\
 B & \xrightarrow{b} & Y
 \end{array}$$

482 ► **Theorem 30.** *A map  $f : X \rightarrow Y$  is a weak fibration if and only if for every object  $B$ ,*  
 483 *every map  $r : 1_B \rightarrow \mathbb{I}_B$  and generating cofibration  $m : A \rightarrow 1_B$  in  $\mathcal{C}/B$ ,  $r$  has the weak left*  
 484 *lifting property against  $\hat{\text{hom}}_B(m, f)$ .*

485 **Proof.** Working in  $\mathcal{C}/B$ ,  $r$  has the weak left lifting property against  $\hat{\text{hom}}_B(m, f)$  iff every  
 486 lifting problem of  $r \hat{\times}_B m$  against  $f$  has a weak filler satisfying the additional condition of  
 487 being strict on  $A$ . This holds for all  $B, r$  and  $m$  and every choice of lifting problem iff it holds  
 488 for the universal lifting problem of  $\Delta \hat{\times}_{\mathbb{I} \times \Phi} \top$  against  $f$ , where  $\Delta$  is the map  $1_{\mathbb{I} \times \Phi} \rightarrow \mathbb{I}_{\mathbb{I} \times \Phi}$  in  
 489  $\mathcal{C}/(\mathbb{I} \times \Phi)$  defined as the diagonal map  $\mathbb{I} \times \Phi \rightarrow \mathbb{I} \times \mathbb{I} \times \Phi$ . Such fillers of the universal lifting  
 490 problem correspond precisely to WComp terms. ◀

491 In order to obtain an awfs, we show that the above is equivalent to an alternative definition  
 492 using the mapping cylinder factorization, which we recall is defined as below.

493 ► **Definition 31** (Mapping cylinder factorization). *Let  $m : A \rightarrow B$ . We define the mapping*  
 494 *cylinder factorization to be the maps  $A \xrightarrow{L(m)} \text{Cyl}(m) \xrightarrow{R(m)} B$ , defined as follows. We first*  
 495 *define  $\text{Cyl}(m)$  as the pushout of  $\delta_{A0}$  and  $m$ , writing  $\iota_0 : \mathbb{I} \times A \rightarrow \text{Cyl}(m)$  and  $\iota_1 : B \rightarrow \text{Cyl}(m)$*   
 496 *for the pushout inclusions. We define  $L(m)$  to be  $\iota_0 \circ \delta_{A1}$  and define  $R(m)$  to be the unique*  
 497 *map such that  $R(m) \circ \iota_0 = m \circ \pi_1$  and  $R(m) \circ \iota_1 = 1_B$ .*

498 ► **Theorem 32.** *Let  $f$  be a map in  $\mathcal{C}$ . Then  $f$  is a weak fibration if and only if it has the*  
 499 *fibered right lifting property against the map  $L_{\mathbb{I} \times \Phi}(\Delta) \hat{\times}_{\mathbb{I} \times \Phi} \top$  in the slice category  $\mathcal{C}/(\mathbb{I} \times \Phi)$ .*

500 Using this alternative definition, we can apply Theorem 24 to obtain an awfs  $(C^t, F)$   
 501 where  $F$  is precisely the class of weak fibrations. We refer to maps in  $C^t$  as *trivial cofibrations*.

### 502 3.4 The model structure

503 Now that we have defined the awfs's  $(C, F^t)$  and  $(C^t, F)$ , we use Sattler's [32, Thm. 2.8] in  
 504 order to obtain a model structure on  $\mathcal{C}$ .

505 ► **Lemma 33.** *The awfs's  $(C, F^t)$  and  $(C^t, F)$  have the following key properties.*

- 506 1. *The functor  $\hat{\text{hom}}(\delta_i, -)$  maps fibrations to trivial fibrations.*
- 507 2. *The functor  $\hat{\text{hom}}([\delta_0, \delta_1], -)$  preserves fibrations and trivial fibrations.*
- 508 3. *Every cofibration is a monomorphism.*
- 509 4. *Cofibrations are stable under pullback.*

510 ► **Theorem 34.** *Suppose that  $\mathcal{C}$  satisfies axioms **ax**<sub>1</sub>–**ax**<sub>5</sub> and that every fibration is  $\mathbb{U}$ -small*  
 511 *for some universe of small fibrations where the underlying object  $\mathbb{U}$  is fibrant, and that  $\mathcal{C}$  and*  
 512  *$\Phi$  satisfy one of the conditions required to apply Theorem 24.*

513 *Let  $(C, F^t)$  be the awfs defined in Section 3.2 and let  $(C^t, F)$  be the awfs defined in*  
 514 *Section 3.3 (restricted to  $\mathcal{C}/1$ ). Then  $C$  and  $F$  form the cofibrations and fibrations of a*  
 515 *(uniquely determined) model structure on  $\mathcal{C}$ .*

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516 **Proof.** By Sattler’s [32, Thm. 2.8] it suffices to check the following conditions.

- 517 1. The span property holds.
- 518 2. Trivial fibrations satisfy 2-out-of-3 relative to fibrations.
- 519 3. Fibrations and trivial fibrations extend along trivial cofibrations.
- 520 4. The wfs  $(C^t, F)$  satisfies the Frobenius property.

521 Conditions (1) and (2) follow from the key properties (1) and (2) in Lemma 33 by  
522 essentially the same arguments used by Sattler in [32, Sec. 4].

523 Trivial fibrations extend along all cofibrations, by the same argument used by Sattler  
524 in [32, Lem. 3.9] together with the key properties (3) and (4) in Lemma 33.

525 As Sattler remarks in [32, Rem. 7.6], to show fibrations extend along trivial cofibrations  
526 it suffices to show every fibration belongs to a universe  $\mathbf{U}$  where the underlying object is  
527 fibrant, which we assumed.

528 Finally,  $(C^t, F)$  is Frobenius by the existence of fibration structures on  $\mathbb{I}$ -types and the  
529 adjunction between pullback and dependent product. ◀

530 In particular, if  $\mathbf{ax}_6$  and  $\mathbf{ax}_7$  hold and  $\mathbb{I}$  is tiny, we can use the construction of  $\mathbf{U}$  from  
531 Section 2.6 together with the proof of fibrancy in [13, Sec. 4.3].

532 The model structure obtained this way is “minimal” in the following sense [14, Sec. 1.6].

533 ▶ **Theorem 35.** *The class  $C^t$  is as small as possible subject to the following two conditions.*

- 534 1. *For every object  $B$ , the map  $\delta_{B0} : B \rightarrow B \times \mathbb{I}$  belongs to  $C^t$ .*
- 535 2.  *$C$  and  $C^t$  form the cofibrations and trivial cofibrations of a model structure.*

### 536 4 Identity types and higher inductive types

537 We have formalized three constructions of identity types in **Agda**, each of which requires  
538 additional assumptions. The first follows [15, Sec. 9.1]; this requires a dominance on  $\Phi$  and  
539 extensionality for cofibrant propositions. The second approach uses the  $(C, F^t)$  factorization  
540 system following [33], while the third approach uses the  $(C^t, F)$  factorization system following  
541 [12, 11]. These rely on  $W$ -types with reductions to obtain the factorization systems. We  
542 refer the interested reader to the **Agda** formalization for details.

543 A crucial component for modeling universes closed under higher inductive types is the  
544 decomposition of composition into *homogeneous* composition and coercion [12, 18]. A  
545 type  $A : \Gamma \rightarrow \mathcal{U}$  supports weak homogeneous composition if all of its fibers support weak  
546 composition, i.e. for all  $(x : \Gamma)$  the type  $A x$  has a weak composition structure. Supporting  
547 weak coercion corresponds to having weak composition only in the case when  $\varphi$  is  $\perp$  (i.e.,  
548 the tube is empty). We have formalized that a type has weak composition if and only if  
549 it has weak homogeneous composition and weak coercion. This makes it possible for us to  
550 follow the same approach as in [12, 18] to model higher inductive types. We refer the reader  
551 to [13, Sec. 5.1] for the construction of a circle type in this setting.

### 552 5 Conclusions

553 We have proved that any locally cartesian closed category  $\mathcal{C}$  with  $\mathbb{I}$  and  $\Phi$  satisfying  $\mathbf{ax}_1$ – $\mathbf{ax}_7$   
554 and where  $\mathbb{I}$  is tiny provides a constructive model of HoTT/UF. Examples of such categories  
555 are CCHM and Dedekind cubical sets as proven in [28, Sec. 8], and cartesian cubical sets as  
556 proven in [2, Sec. 3.2]. Our conditions hold for cubical assemblies [37] and also apply to new  
557 variants of cubical assemblies based on cartesian cubes rather than Dedekind cubes.

558 Our construction of a model structure also applies to all of the above examples. As  
559 observed by Sattler [32, Cor. 8.5], the LOPS construction of a universe does not apply for  
560 simplicial sets because the interval is not tiny, but one can still obtain a model structure  
561 using the non-constructive theorem that the definition of Kan fibration here is equivalent to  
562 the classical definition using horn inclusions.

563 From the perspective of practical implementation and usability, the type theory corres-  
564 ponding to this model is inferior to the type theories it generalizes: equalities that are strict  
565 in the specialized type theories here only hold up to paths, so additional path algebra is  
566 necessary to implement composition at the various types. The objective is rather to present  
567 a theory with which the mathematical properties of the various type theories and models  
568 can be studied simultaneously.

## 569 Future work

570 Now that we have given a unified construction for the various cubical models, the natural  
571 next step is to use it to establish relationships between its various instantiations. One option  
572 is to prove homotopy canonicity for the type theory using categorical gluing as in [19]. This  
573 would show that closed terms of natural number type written in weak cartesian type theory  
574 evaluate to the same numeral in any of the existing cubical type theories.

575 The construction may also be useful for uniformly analyzing the model structures induced  
576 by different choices of cube category and generating cofibrations. Sattler has observed [17]  
577 that the CCHM and ABCFHL constructions give model structures that are *not* Quillen  
578 equivalent to spaces. However, the question is open for Dedekind cubes. One might also  
579 investigate the relationships *between* the various cubical model structures.

580 Finally, the program of unification remains unfinished, as the BCH model is not an  
581 instance of our construction. Indeed, our approach seems ill-suited to BCH, as it crucially  
582 involves the diagonal ( $r = s$ ) of compositions  $r \rightarrow s$ . It is unclear to us whether BCH can be  
583 naturally accommodated; it may simply be a fundamentally different construction.

## 584 5.1 Related work

585 As the notion of fibration defined in this paper coincides with the one of Orton and Pitts [28]  
586 in the presence of a connection algebra, and this is equivalent to the Gambino-Sattler  
587 definition [24], we recover the model structure of Sattler [32] when the category also has  
588 connections. Another presentation of this model structure on CCHM and Dedekind cubical  
589 sets can be found in Boulier’s Ph.D. thesis [10], formalized in the Coq proof assistant. Since  
590 an equivalent definition of fibration was used by Van den Berg and Frumin in [22], when our  
591 model structure exists we can recover theirs by restricting to fibrant objects. However, our  
592 proof does not apply to their main example of the effective topos because it is unknown how  
593 to construct a universe satisfying  $\mathbf{ax}_6$  in this setting (see [35, Thm. 5.7]).

594 Furthermore, as we recover AFH fibrations when we assume diagonal cofibrations, we  
595 also recover the model structure on cartesian cubical sets sketched by Coquand based on  
596 Sattler’s model structure [17]. Awodey [4] uses a variation of composition  $0 \rightarrow r$  and  $1 \rightarrow r$   
597 to construct an awfs on cartesian cubical sets, but it is unclear whether this is sufficient to  
598 obtain a model structure. Awodey has recently [6] introduced a notion of “unbiased fibrations”  
599 that are equivalent to AFH fibrations, so the resulting model structure is also a special  
600 case of ours when we assume diagonal cofibrations. Our generalization hence clarifies the  
601 relationship between some of the various model structures on different cubical set categories.



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