FORM ADV

UNIFORM APPLICATION FOR INVESTMENT ADVISER REGISTRATION AND REPORT BY EXEMPT REPORTING ADVISERS

Primary Business Name: ALLSPRING GLOBAL INVESTMENTS, LLC	CRD Number: 104973			
Other-Than-Annual Amendment - All Sections	Rev. 10/2021			
8/14/2024 11:55:13 AM				
WARNING: Complete this form truthfully. False statements or omissions may result in denial of your application, revocation of your registration, or criminal prosecution. You must keep this form updated by filing periodic amendments. See Form ADV General Instruction 4.				
Item 1 Identifying Information				

Responses to this Item tell us who you are, where you are doing business, and how we can contact you. If you are filing an *umbrella registration*, the information in Item 1 should be provided for the *filing adviser* only. General Instruction 5 provides information to assist you with filing an *umbrella registration*.

- A. Your full legal name (if you are a sole proprietor, your last, first, and middle names): ALLSPRING GLOBAL INVESTMENTS, LLC
- B. (1) Name under which you primarily conduct your advisory business, if different from Item 1.A. **ALLSPRING GLOBAL INVESTMENTS, LLC**

List on Section 1.B. of Schedule D any additional names under which you conduct your advisory business.

(2) If you are using this Form ADV to register more than one investment adviser under an *umbrella registration*, check this box \Box

If you check this box, complete a Schedule R for each relying adviser.

C. If this filing is reporting a change in your legal name (Item 1.A.) or primary business name (Item 1.B.(1)), enter the new name and specify whether the name change is of

 \square your legal name or \square your primary business name:

D. (1) If you are registered with the SEC as an investment adviser, your SEC file number: 801-21122

(2) If you report to the SEC as an *exempt reporting adviser*, your SEC file number:

(3) If you have one or more Central Index Key numbers assigned by the SEC ("CIK Numbers"), all of your CIK numbers:

CIK Number
743267
1075869

E. (1) If you have a number ("CRD Number") assigned by the FINRA's CRD system or by the IARD system, your CRD number: 104973

If your firm does not have a CRD number, skip this Item 1.E. Do not provide the CRD number of one of your officers, employees, or affiliates.

(2) If you have additional CRD Numbers, your additional CRD numbers:

No Information Filed

F. Principal Office and Place of Business

(1) Address (do not use a P.O. Box): Number and Street 1: 1415 VANTAGE PARK DRIVE City: State: CHARLOTTE North Carolina

Number and Street 2: 3RD FLOOR Country: United States

ZIP+4/Postal Code: 28203

If this address is a private residence, check this box: \square

List on Section 1.F. of Schedule D any office, other than your principal office and place of business, at which you conduct investment advisory business. If you are applying for registration, or are registered, with one or more state securities authorities, you must list all of your offices in the state or states to which you are applying for registration or with whom you are registered. If you are applying for SEC registration, if you are registered only with the SEC, or if you are reporting to the SEC as an exempt reporting adviser, list the largest twenty-five offices in terms of numbers of employees as of the end of your most recently completed fiscal year.

(2) Days of week that you normally conduct business at your *principal office and place of business:* • Monday - Friday • Other:

Normal business hours at this location: 8:00 AM TO 5:00 PM

- (3) Telephone number at this location: 1-833-568-4255
- (4) Facsimile number at this location, if any:

		ber of offices, other than your <i>pri</i> recently completed fiscal year?	incipal office and place of bus	<i>iness</i> , at which you conduct investment advisory busin	ess as of	
G.	Mailing address, if differen	t from your <i>principal office and pla</i>	ce of business address:			
	Number and Street 1:		Number and Street 2			
	City:	State:	Country:	ZIP+4/Postal Code:		
		_				
	If this address is a private	e residence, check this box: \square				
H.	If you are a sole proprieto	r, state your full residence addres	ss, if different from your <i>pri</i>	ncipal office and place of business address in Item 1.F.:		
	Number and Street 1:		Number and Street 2	:		
	City:	State:	Country:	ZIP+4/Postal Code:		
I.	Do you have one or more LinkedIn)?	websites or accounts on publicly a	available social media platfo	orms (including, but not limited to, Twitter, Facebook a	Yes No	
	If a website address serves addresses for all of the othe available social media platfe	s as a portal through which to acces er information. You may need to lis	ss other information you hav at more than one portal addre content. Do not provide the i	publicly available social media platforms on Section 1.1. or the published on the web, you may list the portal without t ess. Do not provide the addresses of websites or accounts ndividual electronic mail (e-mail) addresses of employees	listing s on publicly	
J.		contact information of your Chief Compliance Officer, if you have or		are an <i>exempt reporting adviser</i> , you must provide the c e Item 1.K. below.	contact	
	Name:		Other titles, if any:			
	Telephone number:		Facsimile number, if a	iny:		
	Number and Street 1:		Number and Street 2	:		
	City:	State:	Country:	ZIP+4/Postal Code:		
	(2) If your Chief Complian	npany Act of 1940 that you advise mber (if any):	loyed by any <i>person</i> other t	han you, a <i>related person</i> or an investment company render nce officer services to you, provide the <i>person's</i> name	-	
K.	• •	tact Person: If a person other that may provide that information here	•	icer is authorized to receive information and respond	to question:	S
	Name:		Titles:			
	Telephone number:		Facsimile number, if a	ny:		
	Number and Street 1:		Number and Street 2			
	City:	State:	Country:	ZIP+4/Postal Code:		
	Electronic mail (e-mail) a	ddress, if contact person has one:	:			
L.	•	all of the books and records you a our <i>principal office and place of busi</i>		Section 204 of the Advisers Act, or similar state law,	Yes No	
	If "yes," complete Section	1.L. of Schedule D.			Yes N	
M.	Are you registered with a	foreign financial regulatory authori	ity?		© C	
	•	registered with a foreign financial r s," complete Section 1.M. of Schedu	• • • •	you have an affiliate that is registered with a foreign finar	ncial	
					Yes N	ο
N.	Are you a public reporting	company under Sections 12 or 15	5(d) of the Securities Excha	nge Act of 1934?	0 0	ò
					Yes N	o
Ο.	•	more in assets on the last day of imate amount of your assets: \$10 billion	your most recent fiscal yea	r?	00	0

- \$10 billion to less than \$50 billion
- o \$50 billion or more

For purposes of Item 1.0. only, "assets" refers to your total assets, rather than the assets you manage on behalf of clients. Determine your total assets using the total assets shown on the balance sheet for your most recent fiscal year end.

P. Provide your *Legal Entity Identifier* if you have one: 549300B3H2I002L85I90

A *legal entity identifier* is a unique number that companies use to identify each other in the financial marketplace. You may not have a *legal entity identifier*.

SECTION 1.B. Other Business Names

No Information Filed

SECTION 1.F. Other Offices

Complete the following information for each office, other than your <i>principal office and place of business</i> , at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or					
if you are an exempt reporting advise	er, list only the largest twenty-five	ve offices (in terms of numbers	of employees).		
Number and Street 1:		Number and Street 2:			
100 HERITAGE RESERVE					
City:	State:	Country:	ZIP+4/Postal Code:		
MENOMONEE FALLS	Wisconsin	United States	53051		

If this address is a private residence, check this box: \square

Telephone Number: 1-833-568-4255

130031

If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the CRD Branch Number here:

How many *employees* perform investment advisory functions from this office location? 109

Are other business activities conducted at this office location? (check all that apply)

- ☑ (1) Broker-dealer (registered or unregistered)
- (2) Bank (including a separately identifiable department or division of a bank)
- (3) Insurance broker or agent
- (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)

Facsimile Number, if any:

- □ (5) Registered municipal advisor
- (6) Accountant or accounting firm
- (7) Lawyer or law firm

Describe any other *investment-related* business activities conducted from this office location:

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

Number and Street 1: 101 SEAPORT BLVD City: BOSTON

State: Massachusetts Number and Street 2: 11TH FLOOR Country: United States

ZIP+4/Postal Code: 02210

If this address is a private residence, check this box: 🗖					
Telephone Number: 1-833-568-4255	Facsimile Number, if any:				
If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the CRD Branch Number here: 433305					
How many <i>employees</i> perform investment adv 30	visory functions from this o	ffice location?			
 Are other business activities conducted at this office location? (check all that apply) (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division of a bank) (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm 					
Describe any other <i>investment-related</i> busine					
	ction 1.F. for each location	. If you are applying for SEC re	, at which you conduct investment advisory business. egistration, if you are registered only with the SEC, or employees).		
Number and Street 1: 2001 N MAIN ST		Number and Street 2: SUITE 600			
City: WALNUT CREEK	State: California	Country: United States	ZIP+4/Postal Code: 94596		
If this address is a private residence, check the	his box: 🗖				
Telephone Number: 1-833-568-4255	Facsimile Number, if a	any:			
If this office location is also required to be rep adviser on the Uniform Branch Office Registra 774762	•	•	anch office location for a broker-dealer or investment aber here:		
How many <i>employees</i> perform investment adv 22	visory functions from this o	ffice location?			
Are other business activities conducted at this office location? (check all that apply) (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable department or division of a bank) (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm 					
Describe any other <i>investment-related</i> busine	ss activities conducted fron	n this office location:			

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an *exempt reporting adviser*, list only the largest twenty-five offices (in terms of numbers of *employees*).

310 EAST 96TH ST		SUITE 325	
City: INDIANAPOLIS	State: Indiana	Country: United States	ZIP+4/Postal Code: 46240
If this address is a private residence, check this bo	х: П		
Telephone Number: 1-833-568-4255	Facsimile Number, if	any:	
If this office location is also required to be register adviser on the Uniform Branch Office Registration I		•	as a branch office location for a broker-dealer or investment ich Number here:
How many <i>employees</i> perform investment advisory 7	r functions from this of	ffice location?	
 Are other business activities conducted at this offic (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable dep (3) Insurance broker or agent (4) Commodity pool operator or commodity trace (5) Registered municipal advisor (6) Accountant or accounting firm 	partment or division of	a bank)	rom registration)
(7) Lawyer or law firm			
Describe any other investment-related business ac	tivities conducted fron	n this office location:	
	1.F. for each location	. If you are applying fo	<i>pusiness</i> , at which you conduct investment advisory business. r SEC registration, if you are registered only with the SEC, or pers of <i>employees</i>).
Number and Street 1: 333 S. GRAND AVENUE		Number and Street 2 20TH FLOOR	2:
City:	State:	Country:	ZIP+4/Postal Code:
LOS ANGELES	California	United States	90071
If this address is a private residence, check this bo	ox: 🗖		
Telephone Number: 1-833-568-4255	Facsimile Number, if a	any:	
If this office location is also required to be register adviser on the Uniform Branch Office Registration I 340969		•	as a branch office location for a broker-dealer or investment nch Number here:
How many <i>employees</i> perform investment advisory 20	/ functions from this of	ffice location?	
 Are other business activities conducted at this office ✓ (1) Broker-dealer (registered or unregistered) □ (2) Bank (including a separately identifiable dep □ (3) Insurance broker or agent □ (4) Commodity pool operator or commodity trace □ (5) Registered municipal advisor □ (6) Accountant or accounting firm □ (7) Lawyer or law firm 	partment or division of	a bank)	rom registration)
Describe any other <i>investment-related</i> business ac	tivities conducted fron	n this office location:	
Complete the following information for each office	other than your princ	inal office and place of l	nusiness, at which you conduct investment advisory business

Complete the following information for each office, other than your *principal office and place of business*, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or

if you are an <i>exempt reporting adviser</i> , list only	y the largest twenty-fiv	e offices (in terms of numbers of	of employees).
Number and Street 1: 330 MADISON AVENUE		Number and Street 2: 35TH FLOOR	
City: NEW YORK	State: New York	Country: United States	ZIP+4/Postal Code: 10017
If this address is a private residence, check the	nis box: 🗖		
Telephone Number: 1-833-568-4255	Facsimile Number	r, if any:	
If this office location is also required to be req adviser on the Uniform Branch Office Registra 522378			branch office location for a broker-dealer or investment umber here:
How many <i>employees</i> perform investment adv 8	isory functions from th	nis office location?	
 Are other business activities conducted at this (1) Broker-dealer (registered or unregister (2) Bank (including a separately identifiable (3) Insurance broker or agent (4) Commodity pool operator or commodity (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm 	ed) e department or divisio	on of a bank)	registration)
Describe any other <i>investment-related</i> busine	ss activities conducted	from this office location:	
	ction 1.F. for each loca	ation. If you are applying for SEC	ess, at which you conduct investment advisory business. C registration, if you are registered only with the SEC, or of <i>employees</i>).
City:	State:	Country:	ZIP+4/Postal Code:
GREENVILLE	Delaware	United States	19807
If this address is a private residence, check the	nis box: 🗖		
Telephone Number: 1-833-568-4255	Facsimile Number	r, if any:	
If this office location is also required to be req adviser on the Uniform Branch Office Registra		-	branch office location for a broker-dealer or investment umber here:
How many <i>employees</i> perform investment adv 1	isory functions from th	nis office location?	
 Are other business activities conducted at this (1) Broker-dealer (registered or unregister (2) Bank (including a separately identifiable (3) Insurance broker or agent (4) Commodity pool operator or commodity (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm 	ed) e department or divisio y trading advisor (whe	on of a bank) ther registered or exempt from	registration)
Describe any other investment-related busine	ss activities conducted	from this office location:	

	1.F. for each location	. If you are applying for SE	ess, at which you conduct investment advisory business. C registration, if you are registered only with the SEC, or of <i>employees</i>).
Number and Street 1: 500 N BROADWAY AVE		Number and Street 2: SUITE 1650	
City:	State:	Country:	ZIP+4/Postal Code:
SAINT LOUIS	Missouri	United States	63102
If this address is a private residence, check this bo	x:		
Telephone Number: 1-833-568-4255	Facsimile Number, if	any:	
If this office location is also required to be registered adviser on the Uniform Branch Office Registration F		•	branch office location for a broker-dealer or investment Jumber here:
How many <i>employees</i> perform investment advisory 6	functions from this o	ffice location?	
Are other business activities conducted at this office \Box (1) Broker-dealer (registered or unregistered)	e location? (check all	that apply)	
□ (2) Bank (including a separately identifiable depa	artment or division of	f a bank)	
\Box (3) Insurance broker or agent			
□ (4) Commodity pool operator or commodity tradi	ing advisor (whether	registered or exempt from	registration)
□ (5) Registered municipal advisor			
\Box (6) Accountant or accounting firm			
\Box (7) Lawyer or law firm			
Describe any other investment-related business act	ivities conducted fror	n this office location:	
	1.F. for each location	. If you are applying for SE	ess, at which you conduct investment advisory business. C registration, if you are registered only with the SEC, or of <i>employees</i>).
Number and Street 1: 5335 MEADOWS ROAD		Number and Street 2: SUITE 290	
City:	State:	Country:	ZIP+4/Postal Code:
LAKE OSWEGO	Oregon	United States	97035
If this address is a private residence, check this bo	x: 🗖		
Telephone Number: 1-833-568-4255	Facsimile Number, i	f any:	
If this office location is also required to be registere adviser on the Uniform Branch Office Registration Fe		•	branch office location for a broker-dealer or investment Jumber here:
How many <i>employees</i> perform investment advisory 5	functions from this o	ffice location?	
Are other business activities conducted at this office (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identifiable depa			
 (3) Insurance broker or agent (4) Commodity pool operator or commodity tradi (5) Registered municipal advisor 	ing advisor (whether	registered or exempt from	registration)
 (6) Accountant or accounting firm (7) Lawyer or law firm 			

Describe any other *investment-related* business activities conducted from this office location:

	Section 1.F. for each lo	cation. If you are applying for S	<i>siness</i> , at which you conduct investment advisory business. SEC registration, if you are registered only with the SEC, or rs of <i>employees</i>).
Number and Street 1: 800 LASALLE AVE		Number and Street 2: SUITE 1400 & 1500	:
City:	State:	Country:	ZIP+4/Postal Code:
MINNEAPOLIS	Minnesota	United States	55402
If this address is a private residence, cheo	k this box:		
Telephone Number: 1-833-568-4255	Facsimile Numbe	er, if any:	
If this office location is also required to be adviser on the Uniform Branch Office Regis 775378	•	•	a branch office location for a broker-dealer or investment n Number here:
How many <i>employees</i> perform investment 13	advisory functions from	this office location?	
 Are other business activities conducted at (1) Broker-dealer (registered or unregistered) (2) Bank (including a separately identified) (3) Insurance broker or agent (4) Commodity pool operator or commodiated (5) Registered municipal advisor (6) Accountant or accounting firm (7) Lawyer or law firm Describe any other <i>investment-related</i> business 	stered) able department or divis odity trading advisor (wh	sion of a bank) nether registered or exempt from	m registration)
	Section 1.F. for each lo	cation. If you are applying for S	siness, at which you conduct investment advisory business. SEC registration, if you are registered only with the SEC, or rs of <i>employees</i>).
Number and Street 1: 9020 STONY POINT PARKWAY		Number and Street 2: SUITE 200	
City:	State:	Country:	ZIP+4/Postal Code:
RICHMOND	Virginia	United States	23235
If this address is a private residence, cheo	k this box: 🗖		
Telephone Number: 1-833-568-4255	Facsimile Nun	nber, if any:	
If this office location is also required to be adviser on the Uniform Branch Office Regis	•	•	a branch office location for a broker-dealer or investment Number here:
How many <i>employees</i> perform investment 7	advisory functions from	this office location?	
Are other business activities conducted at	this office location? (che	eck all that apply)	

- □ (1) Broker-dealer (registered or unregistered)
- \square (2) Bank (including a separately identifiable department or division of a bank)
- \square (3) Insurance broker or agent
- \square (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
- □ (5) Registered municipal advisor
- \square (6) Accountant or accounting firm

(7) Lawyer or law firm Describe any other *investment-related* business activities conducted from this office location: Complete the following information for each office, other than your principal office and place of business, at which you conduct investment advisory business. You must complete a separate Schedule D Section 1.F. for each location. If you are applying for SEC registration, if you are registered only with the SEC, or if you are an exempt reporting adviser, list only the largest twenty-five offices (in terms of numbers of employees). Number and Street 2: Number and Street 1: 525 MARKET STREET 12TH FLOOR City: State: Country: ZIP+4/Postal Code: SAN FRANCISCO California United States 94105 If this address is a private residence, check this box: \square Telephone Number: Facsimile Number, if any: 1-833-568-4255 If this office location is also required to be registered with FINRA or a state securities authority as a branch office location for a broker-dealer or investment adviser on the Uniform Branch Office Registration Form (Form BR), please provide the CRD Branch Number here: How many employees perform investment advisory functions from this office location? 79 Are other business activities conducted at this office location? (check all that apply) ☑ (1) Broker-dealer (registered or unregistered) \Box (2) Bank (including a separately identifiable department or division of a bank) (3) Insurance broker or agent (4) Commodity pool operator or commodity trading advisor (whether registered or exempt from registration) (5) Registered municipal advisor \square (6) Accountant or accounting firm (7) Lawyer or law firm Describe any other *investment-related* business activities conducted from this office location: SECTION 1.I. Website Addresses List your website addresses, including addresses for accounts on publicly available social media platforms where you control the content (including, but not limited to, Twitter, Facebook and/or LinkedIn). You must complete a separate Schedule D Section 1.1. for each website or account on a publicly available social media platform. Address of Website/Account on Publicly Available Social Media Platform: https://www.allspringglobal.com/ Address of Website/Account on Publicly Available Social Media Platform: https://www.allspringglobal.com/youtube Address of Website/Account on Publicly Available Social Media Platform: https://www.allspringglobal.com/linkedin Address of Website/Account on Publicly Available Social Media Platform: https://www.allspringglobal.com/twitter Address of Website/Account on Publicly Available Social Media Platform: https://www.allspringglobal.com/instagram

SECTION 1.L. Location of Books and Records

Complete the following information for each location at which you keep your books and records, other than your principal office and place of business. You

must complete a separate Schedule D, Section 1.L. for each location.					
Name of entity where books and records are k IRON MOUNTAIN	ept:				
Number and Street 1: 1 FEDERAL STREET		Number and Street 2:			
City: BOSTON	State: Massachusetts	Country: United States	ZIP+4/Postal Code: 02110		
If this address is a private residence, check thi	s box:				
Telephone Number: 1-800-899-4766	Facsimile number, if any:				
This is (check one): o one of your branch offices or affiliates.					
a third-party unaffiliated recordkeeper.					
O other.					
Briefly describe the books and records kept at BOOKS AND RECORDS OF THE INVESTMENT AD		ISED BY REGISTRANT ANI	D REGISTRANT'S AFFILIATES.		
SECTION 1.M. Registration with Foreign Finar					
List the name and country, in English, of each Section 1.M. for each <i>foreign financial regulatory</i>		• •	egistered. You must complete a separate Schedule D		
Name of Country/ <i>Foreign Financial Regulatory A</i> Australia - Australian Securities and Investmer	-				
Other:					
Name of Country/ <i>Foreign Financial Regulatory A</i> Bahamas - Securities Commission of the Bahar	-				
Other:					
Name of Country/ <i>Foreign Financial Regulatory A</i> South Korea - Financial Supervisory Commissio	-	e			
Other:					
Item 2 SEC Registration/Reporting					
	5	0	Complete this Item 2.A. only if you are applying for an <i>umbrella registration</i> , the information in Item 2		
	gistration and you are no longe	r eligible to register with	hrough 2.A.(12), below. If you are submitting an the SEC, check Item 2.A.(13). Part 1A Instruction 2 se items.		

\blacksquare (1) are a large advisory firm that either:

- (a) has regulatory assets under management of \$100 million (in U.S. dollars) or more; or
- (b) has regulatory assets under management of \$90 million (in U.S. dollars) or more at the time of filing its most recent *annual updating amendment* and is registered with the SEC;

- (2) are a mid-sized advisory firm that has regulatory assets under management of \$25 million (in U.S. dollars) or more but less than \$100 million (in U.S. dollars) and you are either:
 - (a) not required to be registered as an adviser with the *state securities authority* of the state where you maintain your *principal office and place of business*; or
 - (b) not subject to examination by the state securities authority of the state where you maintain your principal office and place of business;

Click **HERE** for a list of states in which an investment adviser, if registered, would not be subject to examination by the state securities authority.

- (3) Reserved
- (4) have your principal office and place of business outside the United States;
- (5) are an investment adviser (or subadviser) to an investment company registered under the Investment Company Act of 1940;
- (6) are an investment adviser to a company which has elected to be a business development company pursuant to section 54 of the Investment Company Act of 1940 and has not withdrawn the election, and you have at least \$25 million of regulatory assets under management;
- (7) are a pension consultant with respect to assets of plans having an aggregate value of at least \$200,000,000 that qualifies for the exemption in rule 203A-2(a);
- (8) are a related adviser under rule 203A-2(b) that controls, is controlled by, or is under common control with, an investment adviser that is registered with the SEC, and your principal office and place of business is the same as the registered adviser;

If you check this box, complete Section 2.A. (8) of Schedule D.

(9) are an adviser relying on rule 203A-2(c) because you expect to be eligible for SEC registration within 120 days;

If you check this box, complete Section 2.A. (9) of Schedule D.

(10) are a **multi-state adviser** that is required to register in 15 or more states and is relying on rule 203A-2(d);

If you check this box, complete Section 2.A. (10) of Schedule D.

(11) are an Internet adviser relying on rule 203A-2(e);

If you check this box, complete Section 2.A. (11) of Schedule D.

(12) have received an SEC order exempting you from the prohibition against registration with the SEC;

If you check this box, complete Section 2.A. (12) of Schedule D.

 \square (13) are **no longer eligible** to remain registered with the SEC.

State Securities Authority Notice Filings and State Reporting by Exempt Reporting Advisers

C. Under state laws, SEC-registered advisers may be required to provide to *state securities authorities* a copy of the Form ADV and any amendments they file with the SEC. These are called *notice filings*. In addition, *exempt reporting advisers* may be required to provide *state securities authorities* with a copy of reports and any amendments they file with the SEC. If this is an initial application or report, check the box(es) next to the state(s) that you would like to receive notice of this and all subsequent filings or reports you submit to the SEC. If this is an amendment to direct your *notice filings* or reports to additional state(s), check the box(es) next to the state(s) that you would like to receive notice of this an amendment to your registration to stop your *notice filings* or reports from going to state(s) that currently receive them, uncheck the box(es) next to those state(s).

Jurisdictions			
₽ AL		☑ NE	✓ SC
🗹 АК	🗹 IN	✓ NV	☑ SD
✓ AZ	IA IA	☑ NH	☑ TN
R AR	🗹 KS	UN 🔽	₩ TX
CA CA	KY KY	NM NM	☑ UT
🗹 со	🗹 LA	✓ NY	VT VT
CT CT	ME ME	NC NC	
☑ DE	MD MD	ND ND	VA VA
DC DC	MA MA	🗹 он	☑ WA
FL FL	MI MI	🗹 ок	☑ wv
🗹 GA	MN MN	✓ OR	☑ WI
🗖 GU	MS MS	✓ PA	₩Y
🗹 ні	мо	PR PR	
D ID	MT MT	☑ RI	

If you are amending your registration to stop your notice filings or reports from going to a state that currently receives them and you do not want to pay that state's notice filing or report filing fee for the coming year, your amendment must be filed before the end of the year (December 31).

If you are relying on the exemption in rule 203A-2(b) from the prohibition on registration because you *control*, are *controlled* by, or are under common *control* with an investment adviser that is registered with the SEC and your *principal office and place of business* is the same as that of the registered adviser, provide the following information:

Name of Registered Investment Adviser

CRD Number of Registered Investment Adviser

SEC Number of Registered Investment Adviser

SECTION 2.A.(9) Investment Adviser Expecting to be Eligible for Commission Registration within 120 Days

If you are relying on rule 203A-2(c), the exemption from the prohibition on registration available to an adviser that expects to be eligible for SEC registration within 120 days, you are required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations. You must make both of these representations:

- I am not registered or required to be registered with the SEC or a state securities authority and I have a reasonable expectation that I will be eligible to register with the SEC within 120 days after the date my registration with the SEC becomes effective.
- I undertake to withdraw from SEC registration if, on the 120th day after my registration with the SEC becomes effective, I would be prohibited by Section 203A(a) of the Advisers Act from registering with the SEC.

SECTION 2.A.(10) Multi-State Adviser

If you are relying on rule 203A-2(d), the multi-state adviser exemption from the prohibition on registration, you are required to make certain representations about your eligibility for SEC registration. By checking the appropriate boxes, you will be deemed to have made the required representations.

If you are applying for registration as an investment adviser with the SEC, you must make both of these representations:

- I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of 15 or more states to register as an investment adviser with the state securities authorities in those states.
- I undertake to withdraw from SEC registration if I file an amendment to this registration indicating that I would be required by the laws of fewer than 15 states to register as an investment adviser with the state securities authorities of those states.

If you are submitting your annual updating amendment, you must make this representation:

Within 90 days prior to the date of filing this amendment, I have reviewed the applicable state and federal laws and have concluded that I am required by the laws of at least 15 states to register as an investment adviser with the *state securities authorities* in those states.

SECTION 2.A.(11) Internet Adviser

If you are relying on rule 203A-2(e), the Internet adviser exemption from the prohibition on registration, you are required to make a representation about your eligibility for SEC registration. By checking the appropriate box, you will be deemed to have made the required representation.

If you are applying for registration as an investment adviser with the SEC or changing your existing Item 2 response regarding your eligibility for SEC registration, you must make this representation:

I will provide investment advice on an ongoing basis to more than one client exclusively through an operational interactive website.

If you are filing an annual updating amendment to your existing registration and are continuing to rely on the Internet adviser exemption for SEC registration, you must make this representation:

I have provided and will continue to provide investment advice on an ongoing basis to more than one client exclusively through an operational interactive website.

SECTION 2.A.(12) SEC Exemptive Order

If you are relying upon an SEC order exempting you from the prohibition on registration, provide the following information:

Application Number:

803-

Date of order:

Item 3 Form of Organization

If you are filing an *umbrella registration*, the information in Item 3 should be provided for the *filing adviser* only.

- A. How are you organized?
 - Corporation
 - Sole Proprietorship

- Limited Liability Partnership (LLP)
- O Partnership
- Limited Liability Company (LLC)
- Limited Partnership (LP)
- O Other (specify):

If you are changing your response to this Item, see Part 1A Instruction 4.

- B. In what month does your fiscal year end each year? DECEMBER
- C. Under the laws of what state or country are you organized?
 State Country
 Delaware United States

If you are a partnership, provide the name of the state or country under whose laws your partnership was formed. If you are a sole proprietor, provide the name of the state or country where you reside.

If you are changing your response to this Item, see Part 1A Instruction 4.

Item 4 Successions

Α.	Are you, at the time of this filing, succeeding to the business of a registered investment adviser, including, for example, a change of your	0	\odot
	structure or legal status (e.g., form of organization or state of incorporation)?		

Yes No

If "yes", complete Item 4.B. and Section 4 of Schedule D.

B. Date of Succession: (MM/DD/YYYY)

If you have already reported this succession on a previous Form ADV filing, do not report the succession again. Instead, check "No." See Part 1A Instruction 4.

SECTION 4 Successions

No Information Filed

Item 5 Information About Your Advisory Business - Employees, Clients, and Compensation

Responses to this Item help us understand your business, assist us in preparing for on-site examinations, and provide us with data we use when making regulatory policy. Part 1A Instruction 5.a. provides additional guidance to newly formed advisers for completing this Item 5.

Employees

If you are organized as a sole proprietorship, include yourself as an employee in your responses to Item 5.A. and Items 5.B.(1), (2), (3), (4), and (5). If an employee performs more than one function, you should count that employee in each of your responses to Items 5.B.(1), (2), (3), (4), and (5).

- A. Approximately how many *employees* do you have? Include full- and part-time *employees* but do not include any clerical workers.
 1015
- B. (1) Approximately how many of the *employees* reported in 5.A. perform investment advisory functions (including research)?
 363
 - (2) Approximately how many of the *employees* reported in 5.A. are registered representatives of a broker-dealer?
 - (3) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser* representatives?

- (4) Approximately how many of the *employees* reported in 5.A. are registered with one or more *state securities authorities* as *investment adviser representatives* for an investment adviser other than you?
 - 0

0

- (5) Approximately how many of the *employees* reported in 5.A. are licensed agents of an insurance company or agency?
- (6) Approximately how many firms or other *persons* solicit advisory *clients* on your behalf?

In your response to Item 5.B.(6), do not count any of your employees **and count a firm only once – do not count each of the firm's** employees that solicit on your behalf.

Clients

In your responses to Items 5.C. and 5.D. do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.

C. (1) To approximately how many *clients* for whom you do not have regulatory assets under management did you provide investment advisory services during your most recently completed fiscal year?

27

- (2) Approximately what percentage of your *clients* are non-*United States persons*?
 1%
- D. For purposes of this Item 5.D., the category "individuals" includes trusts, estates, and 401(k) plans and IRAs of individuals and their family members, but does not include businesses organized as sole proprietorships.

The category "business development companies" consists of companies that have made an election pursuant to section 54 of the Investment Company Act of 1940. Unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, do not answer (1)(d) or (3)(d) below.

Indicate the approximate number of your *clients* and amount of your total regulatory assets under management (reported in Item 5.F. below) attributable to each of the following type of *client*. If you have fewer than 5 *clients* in a particular category (other than (d), (e), and (f)) you may check Item 5.D.(2) rather than respond to Item 5.D.(1).

The aggregate amount of regulatory assets under management reported in Item 5.D.(3) should equal the total amount of regulatory assets under management reported in Item 5.F.(2)(c) below.

If a *client* fits into more than one category, select one category that most accurately represents the *client* to avoid double counting *clients* and assets. If you advise a registered investment company, business development company, or pooled investment vehicle, report those assets in categories (d), (e), and (f) as applicable.

Type of <i>Client</i>	(1) Number of <i>Client(s)</i>	(2) Fewer than 5 <i>Clients</i>	(3) Amount of Regulatory Assets under Management
(a) Individuals (other than high net worth individuals)	3273		\$ 1,354,483,541
(b) High net worth individuals	845		\$ 2,538,583,427
(c) Banking or thrift institutions	0		\$ 0
(d) Investment companies	62		\$ 308,632,378,105
(e) Business development companies	0		\$ 0
(f) Pooled investment vehicles (other than investment companies and business development companies)	142		\$ 10,826,360,400
(g) Pension and profit sharing plans (but not the plan participants or government pension plans)	79		\$ 15,531,296,511
(h) Charitable organizations	86		\$ 7,838,649,507
(i) State or municipal <i>government entities</i> (including government pension plans)	74		\$ 30,187,564,281
(j) Other investment advisers	0		\$ 0
(k) Insurance companies	143		\$ 32,039,721,276
(I) Sovereign wealth funds and foreign official institutions		V	\$ 749,379,161
(m) Corporations or other businesses not listed above	306		\$ 18,272,739,869
(n) Other: MODEL ADVISED ASSETS	3614		\$ 1,053,284,089

Compensation Arrangements

You are compensated for your investment advisory services by (check all that apply):

☑ (1) A percentage of assets under your management

(2) Hourly charges

- (3) Subscription fees (for a newsletter or periodical)
- (4) Fixed fees (other than subscription fees)
- (5) Commissions
- ☑ (6) Performance-based fees
- (7) Other (specify):

Reg	gulate	ory As	ssets Under Management					
							Yes	No
F.	(1)	Do yo	u provide continuous and regular supervise	ry or management se	ervices to securities portfolio	os?	\odot	0
	(2)	If yes	, what is the amount of your regulatory as	sets under manageme	ent and total number of acco	ounts?		
		5		U.S. Dollar Amount		Total Number of Accounts		
		Discr	etionary: (a)	\$ 429,010,617,291	(d)	9,411		
			•	\$ 13,822,876	(e)			
		Total		\$ 429,024,440,167		9,416		
		rotar	. (0)	¢ 12,702 1,110,107		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		
			1A Instruction 5.b. explains how to calculate pleting this Item.	your regulatory assets	under management. You mu	ust follow these instructions carefully when		
			is the approximate amount of your total re on- <i>United States persons</i> ?	gulatory assets under	management (reported in I	tem 5.F.(2)(c) above) attributable to <i>clien</i>	<i>ts</i> wh	0
		\$ 33,7	195,640,447					
Iten	n 5 Ir	nform	ation About Your Advisory Business - Adv	visory Activities				
Ad	/isory	y Activ	vities					
G.	Wha	at type	e(s) of advisory services do you provide? C	neck all that apply.				
		(1)	Financial planning services					
		(2)	Portfolio management for individuals and/	or small businesses				
	\checkmark	(3)	Portfolio management for investment com		usiness development compa	nies" that have made an election pursuan	t to	
			section 54 of the Investment Company Ac					
	ব	(4)	Portfolio management for pooled investme					
	l.	(5)	Portfolio management for businesses (oth	er than small busines	ses) or institutional <i>clients</i> (other than registered investment compan	ies an	Id
		(6)	other pooled investment vehicles) Pension consulting services					
	V	(7)	Selection of other advisers (including priva	to fund managors)				
			Publication of periodicals or newsletters	<i>le fund</i> managers)				
		(9)	Security ratings or pricing services					
		• •	Market timing services					
			Educational seminars/workshops					

Do not check Item 5.G. (3) unless you provide advisory services pursuant to an investment advisory contract to an investment company registered under the Investment Company Act of 1940, including as a subadviser. If you check Item 5.G. (3), report the 811 or 814 number of the investment company or investment companies to which you provide advice in Section 5.G. (3) of Schedule D.

H. If you provide financial planning services, to how many *clients* did you provide these services during your last fiscal year?

- O 0
- 0 1 10
- 0 11 25
- o 26 50
- o 51 100
- o 101 250
- o 251 500
- O More than 500

If more than 500, how many? (round to the nearest 500)

In your responses to this Item 5.H., do not include as "clients" the investors in a private fund you advise, unless you have a separate advisory relationship with those investors.

	Yes	No	
I. (1) Do you participate in a wrap fee program?	\odot	0	
(2) If you participate in a wrap fee program, what is the amount of your regulatory assets under management attributable to acting as:			
(a) sponsor to a wrap fee program \$ 0			
(b) portfolio manager for a <i>wrap fee program</i> ? \$ 4,828,915,502			
 (c) sponsor to and portfolio manager for the same wrap fee program? \$ 0 			

	If you are a portfolio manager for a wrap fee program, list the names of the programs, their sponsors and related information in Section 5.1.(2) of Sci	hedule	D.
	If your involvement in a wrap fee program is limited to recommending wrap fee programs to your clients, or you advise a mutual fund that is offered wrap fee program, do not check Item 5.I.(1) or enter any amounts in response to Item 5.I.(2).		
J.	(1) In response to Item 4.B. of Part 2A of Form ADV, do you indicate that you provide investment advice only with respect to limited types of investments?	° o	No ©
	(2) Do you report <i>client</i> assets in Item 4.E. of Part 2A that are computed using a different method than the method used to compute your regulatory assets under management?	0	o
K.	Separately Managed Account Clients	Vas	No
	(1) Do you have regulatory assets under management attributable to <i>clients</i> other than those listed in Item 5.D.(3)(d)-(f) (separately managed account <i>clients</i>)?	•	0
	If yes, complete Section 5.K.(1) of Schedule D.		
	(2) Do you engage in borrowing transactions on behalf of any of the separately managed account <i>clients</i> that you advise?	o	0
	If yes, complete Section 5.K.(2) of Schedule D.		
	(3) Do you engage in derivative transactions on behalf of any of the separately managed account clients that you advise?	\odot	0
	If yes, complete Section 5.K.(2) of Schedule D.		
	(4) After subtracting the amounts in Item 5.D.(3)(d)-(f) above from your total regulatory assets under management, does any custodian hold ten percent or more of this remaining amount of regulatory assets under management?	o	0
	If yes, complete Section 5.K.(3) of Schedule D for each custodian.		
L.	Marketing Activities	Vos	No
	(1) Do any of your <i>advertisements</i> include:	res	
	(a) Performance results?	\odot	0
	(b) A reference to specific investment advice provided by you (as that phrase is used in rule 206(4)-1(a)(5))?	o	0
	(c) Testimonials (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	o	0
	(d) Endorsements (other than those that satisfy rule 206(4)-1(b)(4)(ii))?	o	0
	(e) Third-party ratings?	o	0
	(2) If you answer "yes" to L(1)(c), (d), or (e) above, do you pay or otherwise provide cash or non-cash compensation, directly or indirectly, in connection with the use of <i>testimonials</i> , <i>endorsements</i> , or <i>third-party ratings</i> ?	۲	0
	(3) Do any of your advertisements include hypothetical performance?	\odot	0
	(4) Do any of your advertisements include predecessor performance?	\odot	0

SECTION 5.G.(3) Advisers to Registered Investment Companies and Business Development Companies

If you check Item 5.G.(3), what is the SEC file number (811 or 814 number) of each of the registered investment companies and business development companies to which you act as an adviser pursuant to an advisory contract? You must complete a separate Schedule D Section 5.G.(3) for each registered investment company and business development company to which you act as an adviser.

SEC File Number 811 - 03213

Series ID	Parallel Managed Account Regulatory assets under management
S000021036	\$ 2,322,732,098

SEC File Number 811 - 03447

Provide the regulatory assets under management of all *parallel managed accounts* related to a registered investment company (or series thereof) or business development company that you advise.

Series ID	Parallel Managed Account Regulatory assets under management
S00006430	\$ 31,079,437
S000016947	\$ 134,938,122

SEC File Number

811 - 03738

Provide the regulatory assets under management of all *parallel managed accounts* related to a registered investment company (or series thereof) or business development company that you advise.

Series ID	Parallel Managed Account Regulatory assets under management
S00008347	\$ O
S00008352	\$ 6,520,343,247

SEC File Number 811 - 03990

Provide the regulatory assets under management of all *parallel managed accounts* related to a registered investment company (or series thereof) or business development company that you advise.

Series ID	Parallel Managed Account Regulatory assets under management
S00000047	\$ 24,195,510,487

SEC File Number 811 - 04146

Series ID	Parallel Managed Account Regulatory assets under management
S00008222	\$ 26,301,893,040

Provide the regulatory assets under management of all *parallel managed accounts* related to a registered investment company (or series thereof) or business development company that you advise.

Series ID	Parallel Managed Account Regulatory assets under management
S000055250	\$ 667,454,847

SEC File Number 811 - 04878

Provide the regulatory assets under management of all *parallel managed accounts* related to a registered investment company (or series thereof) or business development company that you advise.

Series ID	Parallel Managed Account Regulatory assets under management
S00006667	\$ 26,329,479,615
S00006678	\$ 1,242,938,311
S000010830	\$ 484,552,309

SEC File Number 811 - 07257

Provide the regulatory assets under management of all *parallel managed accounts* related to a registered investment company (or series thereof) or business development company that you advise.

Series ID	Parallel Managed Account Regulatory assets under management
S00006766	\$ 25,047,053,233
S000013605	\$ 1,231,160,254
S000050969	\$ 285,090,478

SEC File Number 811 - 08764

Provide the regulatory assets under management of all *parallel managed accounts* related to a registered investment company (or series thereof) or business development company that you advise.

Series ID	Parallel Managed Account Regulatory assets under management
S00006035	\$ 678,472,202

SEC File Number 811 - 09253

Series ID	Parallel Managed Account Regulatory assets under management
S00007249	\$ 829,116,291
S00007253	\$ 1,087,926,264

Sessed/file\$ 45 42 44.58Sessed/file\$ 14 60.41 92Sessed/file\$ 51 46 60.41 92Sessed/file\$ 51 47 57 48Sessed/file\$ 51 77 734Sessed/file\$ 51 75 744Sessed/file\$ 51 75 748Sessed/file\$ 52 42 56 96Sessed/file\$ 51 75 748 400Sessed/file\$ 51 20 400 717Sessed/file\$ 51 20 40 71Sessed/file\$ 51 20	S000007255	\$ 60,101,446
Decode/way\$ 4.407.384Decode/220\$ 78.066.972Decode/220\$ 78.066.972Decode/220\$ 44.46.514Decode/220\$ 44.46.514Decode/220\$ 107.500.078Decode/220\$ 107.500.078Decode/220\$ 2.40.71.27Decode/220\$ 2.40.71.27Decode/220\$ 5.89.98.1305Decode/220\$ 5.89.98.1305Decode/220\$ 5.89.98.1305Decode/220\$ 5.80.269.000Decode/220\$ 5.80.269.000Decode/220\$ 5.80.269.000Decode/220\$ 5.80.269.000Decode/220\$ 5.80.269.000Decode/220\$ 5.80.269.000Decode/220\$ 5.80.269.000Decode/220\$ 5.80.269.000Decode/220\$ 5.00.260.0000Decode/220\$ 5.00.260.0000Decode/220\$ 5.00.260.0000Decode/220\$ 5.00.260.0000Decode/220\$ 5.00.260.00000Decode/220\$ 5.00.260.00000Decode/220\$ 5.00.260.0000000000000000000000000000000		
9200027336 170 689 9250200027395 833 389 1/05000027345 115000027345 1105 704 2235000027345 05000027345 05000027345 2.24 57.04 2235000027345 2.24 57.04 2235000027345 8.97.17.425000027345 8.97.17.425000027345 8.97.17.425000027345 8.97.17.425000027355 96.262.095000027365 96.262.095000027379 8.262.095000027385 2.24 57.0645000027395 19.47.4445000027395 19.47.4445000027395 19.47.4445000027395 19.47.4445000027395 19.47.4445000027395 19.47.4445000027395 19.47.4445000027395 19.47.4445000027395 19.47.4445000027395 19.47.4445000027395 19.47.4445000027395 10.10.11.21.215000027395 10.10.11.21.2150000271745 10.10.11.21.2150000271745 10.10.11.21.2150000271745 10.10.11.21.2150000271745 10.10.11.21.2150000271745 10.10.11.21.2150000271755 0.10.11.21.2150000271765 0.10.11.21.2150000271775 0.10.11.21.21.21.21.21.21.21.21.21.21.21.21.		
Sussery\$ stat.sev.1aSussery\$ stat.sev.1aSussery </td <td>S000007273</td> <td></td>	S000007273	
9000007199.09000007129.09000007149.105.50.07590000071469.15.07.74.22190000071469.181.7.9.4.219.9.9.9.9.9.9.981.80000073468.9.7.9.9.9.7.9.9.990000075898.9.7.9.7.9490000075898.9.7.7.9490000075899.9.2.9.9.9.9.990000075899.9.2.9.9.990000075899.9.2.4.75.00690000075849.9.2.4.75.00690000075849.9.2.4.75.00690000075849.9.2.4.75.00690000075849.9.2.4.75.00690000075859.2.4.75.00690000075869.2.4.75.00690000075869.2.4.75.00690000075869.2.4.75.00690000075869.2.4.75.00690000075869.2.4.75.00690000075869.2.4.75.00690000075995.090000075999.090000075999.090000075999.090000075999.090000075999.090000075999.090000075999.090000075999.090000075999.090000075999.090000075999.090000075999.090000075999.090000075999.090000075999.090000075999.090000075999.090000075999.09000007599.09000007599.09000007509.090000075109.0 <td>S000007339</td> <td></td>	S000007339	
999000599 9 40.466,314 900000734 1 197 988.875 900007345 9 1569 794,223 900007346 9 3 900007346 9 3 900007346 9 39 717,34 900007345 9 39 717,34 900007345 9 39 717,34 900007345 9 39 717,34 900007345 9 39 717,34 900007345 9 39 717,34 900007345 9 30 70,90 900007345 9 30 70,90 900007345 9 224 559,006 900007346 1 128 78,407 900007346 1 128 78,407 900007346 1 128 78,407 900007346 1 128 78,407 900007346 1 128 78,407 900007346 1 128 78,407 900007346 1 128 78,407 900007346 1 128 78,407 900007346 1 128 78,407 900007346 1 128 78,407 900007346 1 128 19,407 900007346 1 19 19,406 900007411 1 14 19 19,406		
99090744 1 91 / 198 / 40 500007345 5 / 198 / 74 / 20 500007346 1 / 1 500007346 1 / 2 / 20 / 91 / 27 500007340 5 / 20 / 91 / 27 500007340 5 / 20 / 91 / 27 500007345 5 / 91 / 77 / 74 500007345 5 / 91 / 77 / 74 500007345 5 / 20 / 91 / 24 500007345 5 / 20 / 91 / 24 500007345 5 / 20 / 91 / 24 500007345 5 / 20 / 91 / 24 500007345 5 / 20 / 24 500007346 5 / 24 / 93 / 24 500007347 5 / 10 / 44 500007345 5 / 24 / 20 / 44 500007346 5 / 24 / 20 / 44 500007347 5 / 24 / 20 / 44 50000734 5 / 24 / 20 / 44 500007346 5 / 24 / 20 / 44 500007347 5 / 24 / 20 / 44 500007347 5 / 24 / 20 / 44 500007347 5 / 24 / 54 / 54 500007347 5 / 24 / 54 / 54 50000747 5 / 34 / 44 500000741 5 / 44 / 27 / 54		
9000073% \$ 1.58,74% 327 9000073% \$ 0 9000073% \$ 2.240,191,287 9000073% \$ 3.97,17,734 9000073% \$ 3.97,17,734 9000073% \$ 3.97,17,734 9000073% \$ 3.97,17,734 9000073% \$ 3.97,17,734 9000073% \$ 1.91,031,234 9000073% \$ 1.92,634,094 9000073% \$ 1.92,634,094 9000073% \$ 1.92,634,094 9000073% \$ 1.92,634,094 9000073% \$ 1.92,634,094 9000073% \$ 1.92,634,094 9000073% \$ 1.92,634,094 9000073% \$ 1.92,634,094 9000073% \$ 1.92,634,094 9000073% \$ 1.92,634,094 9000073% \$ 1.108,166,697 90000073% \$ 1.208,156,6697 90000073% \$ 1.208,156,6697 900000741 \$ 1.191,846 900000741 \$ 1.91,846 900000741 \$ 1.91,846 900000741 \$ 1.91,846 900000741 \$ 1.92,7466 </td <td></td> <td></td>		
990007976 \$ 0 900007976 \$ 240.071.057 900007363 \$ 3.97.17.74 900007363 \$ 3.97.17.74 900007363 \$ 3.97.17.74 900007363 \$ 3.97.17.74 900007363 \$ 3.97.17.74 900007364 \$ 3.97.07.00 91.241.001.224 \$ 00000730 91.241.001.224 \$ 00000730 9000007366 \$ 1.241.001.224 9000007368 \$ 1.241.493.316 9000007369 \$ 2.24.975.008 9000007390 \$ 1.24.9472 9000007390 \$ 1.24.9472 9000007390 \$ 1.24.9473 9000007390 \$ 1.28.90.177 900007391 \$ 1.28.90.177 900007392 \$ 1.28.90.177 900007394 \$ 1.28.90.177 900007394 \$ 1.28.90.177 900007394 \$ 1.28.90.177 900007394 \$ 1.28.90.177 900007394 \$ 1.28.90.177 900007394 \$ 1.28.90.177 900007394 \$ 1.28.90.175 9000007494 <td< td=""><td></td><td></td></td<>		
1900007349 \$ 2.20 191.267 950007362 \$ 549.951.396 90000786 \$ 2.01 70.00 80000786 \$ 2.01 70.00 80000786 \$ 2.01 70.00 80000786 \$ 2.01 70.00 80000787 \$ 9.6 76.200 800007387 \$ 19.24.00 800007386 \$ 2.94.77.00 800007386 \$ 19.4.64.64 900007389 \$ 13.4.64.64 900007389 \$ 2.14.93.313 900007389 \$ 0 900007389 \$ 0 900007399 \$ 0 900007399 \$ 0 900007390 \$ 0 900007390 \$ 0 900007390 \$ 0 900007390 \$ 0 900007390 \$ 0 900007390 \$ 0 900007390 \$ 0 900007390 \$ 0 900007491 \$ 0 900007404 \$ 190.156.699 900007415 \$ 0 900007415 \$ 0 900000745 \$ 0<		
500007362 8 40 90, 307 500007363 8 30,717 334 500007365 8 50,000 5000007365 8 50,000 5000007365 8 524 576,058 5000007365 8 124 14 576,058 5000007365 8 124 14 66/7 5000007385 8 124 14 66/7 5000007386 8 124 14 66/7 5000007386 8 124 14 66/7 5000007386 8 124 63,315 5000007386 8 124 63,315 5000007390 8 0 5000007391 8 128,69,177 5000007392 8 10 28,69,177 500000741 8 140 129,957 500000742 8 198 569,177 500000741 8 140 129,957 500000741 8 140 129,957 500000742 8 198 527,814 500000742 8 198 527,814 500000742 8 99 527,814 500000742 8 99 527,814 500000742 8 0 500000742 8 0 500000742 8 0 500000742 8 0	S00007349	\$ 2,240,191,267
900007966 \$ 490.900 9002007365 \$ 49.20.96 9002007370 \$ 13.24.131.24 9002007378 \$ 224.875.066 9002007385 \$ 224.875.066 9002007387 \$ 132.44.407 9002007387 \$ 132.428.497 9002007387 \$ 132.428.497 9002007387 \$ 12.43.315 9002007386 \$ 214.83.315 9002007392 \$ 0 9002007394 \$ 0 9002007395 \$ 12.80.9177 9002007396 \$ 12.80.9177 9002007397 \$ 12.80.918.609 900200749 \$ 0 900200749 \$ 99.78.78.14 9002007410 \$ 19.19.1846 9002007412 \$ 99.78.78.14 9002007412 \$ 99.78.78.14 9002007419 \$ 7.84.19.07.44 9002007419 \$ 7.84.19.07.44 9002007429 \$ 0 9002007429 \$ 0 9002007429 \$ 0 9002007429 \$ 0 9002007429 \$ 0 9002007	S00007362	
900007367 \$ 90,262,076 \$ 90,262,076 \$ 1,211,031,214 9000007385 \$ 224,57,686 \$000007386 \$ 14,484,667 \$000007386 \$ 14,484,667 \$000007386 \$ 224,57,686 \$000007386 \$ 224,52,944 \$000007389 \$ 224,2594 \$000007392 \$ 0 \$000007392 \$ 0 \$000007394 \$ 228,09,177 \$000007397 \$ 128,280,177 \$000007397 \$ 128,066,060 \$000007397 \$ 128,07,77,144 \$000007411 \$ 119,19,846 \$000007413 \$ 0,61 \$000007414 \$ 119,19,846 \$000007415 \$ 0 \$ 000007418 \$ 0,61 \$ 000007418 \$ 0,61 \$ 000007427 \$ 0 \$ 000007428 \$ 0 \$ 000007429 \$ 0 \$ 000007428 \$ 0 \$ 000007429 \$ 0 \$ 000007428 \$ 0 \$ 000007440 \$ 0 \$ 0000007440 \$ 0 <td>S00007363</td> <td>\$ 39,717,734</td>	S00007363	\$ 39,717,734
\$000007370 \$ 1.291.031.294 \$000007385 \$ 294,878,066 \$000007386 \$ 114.46.67 \$000007386 \$ 112.628,497 \$000007387 \$ 122.628,497 \$000007389 \$ 294,878,042 \$000007389 \$ 214,833,315 \$000007390 \$ 0 \$000007391 \$ 123.809,177 \$00000740 \$ 102,809,177 \$00000741 \$ 110,192,937 \$00000741 \$ 110,192,937 \$00000741 \$ 110,192,937 \$000007412 \$ 993,527,814 \$000007413 \$ 110,192,847 \$000007415 \$ 0 \$000007416 \$ 39,051.406 \$000007417 \$ 0 \$000007418 \$ 39,051.406 \$000007419 \$ 10 \$000007420 \$ 0 \$000007421 \$ 0 \$000007422 \$ 0 \$000007423 \$ 0 \$000007424 \$ 0 \$00000748 \$ 40,150.491 \$000007480 \$ 0 \$000007480	S00007365	\$ 3,010,900
S020007385 \$ 224 575 086 S020007386 \$ 131 484 667 S020007386 \$ 224 2594 S020007387 \$ 132 268 477 S020007388 \$ 224 2594 S020007389 \$ 214 833 315 S020007390 \$ 0 S020007394 \$ 100 S020007394 \$ 100 S020007394 \$ 100 S020007394 \$ 142 869 S020007395 \$ 123 809 177 S020007396 \$ 142 179 957 S020007311 \$ 119 79 37 S020007312 \$ 199 357 814 S020007315 \$ 0 S020007315 \$ 0 S020007322 \$ 0 S020007323 \$ 0 S020007324 \$ 0 S020007427 \$ 0 S020007428 \$ 0 S020007429 \$ 0 S020007420 \$ 1190 700 66 S0200	S00007367	\$ 96,262,096
S000002186 \$ 181 484 A67 S000002187 \$ 120 A98 A97 S000002189 \$ 241 833 315 S000002789 \$ 214 833 315 S000002789 \$ 0 S000002789 \$ 0 S000002789 \$ 121 833 315 S000002789 \$ 10 S000002789 \$ 10 S000002789 \$ 122 899.177 S000002789 \$ 122 899.177 S000002789 \$ 123 875.649 S000002781 \$ 199.197 S000002719 \$ 191.14A S000002710 \$ 191.14A S000002715 \$ 0 S000002716 \$ 0 S000002718 \$ 193.166 S000002719 \$ 0 S000002721 \$ 0 S000002721 \$ 0 S000002722 \$ 0 S000002723 \$ 0 S000002742 \$ 0 S000002740 <td>S00007370</td> <td>\$ 1,281,031,234</td>	S00007370	\$ 1,281,031,234
S0000738 \$ 132.598.497 S00007388 \$ 62.742.694 S00007390 \$ 0 S00007392 \$ 0 S00007394 \$ 0 S00007395 \$ 122.309.177 S00007396 \$ 142.309.177 S00007397 \$ 120.8156.69 S0000741 \$ 140.129.927 S0000741 \$ 140.129.927 S0000741 \$ 90.527.814 S00007415 \$ 0 S00007418 \$ 0 S00007419 \$ 98.119.574 S00007419 \$ 98.119.574 S00007421 \$ 0 S00007422 \$ 0 S00007423 \$ 0 S00007424 \$ 0 S00007425 \$ 0 S00007426 \$ 0 S00007427 \$ 0 S00007440 \$ 0 S000027440 \$ 0	S00007385	\$ 224,575,086
\$000007388 \$ 42,942,594 \$000007389 \$ 214,833,315 \$000007392 \$ 0 \$000007392 \$ 0 \$000007394 \$ 0 \$000007395 \$ 123,809,177 \$000007396 \$ 123,809,177 \$000007397 \$ 120,809,177 \$000007397 \$ 120,809,177 \$000007404 \$ 140,129,937 \$000007412 \$ 993,527,814 \$000007415 \$ 0 \$000007415 \$ 0 \$000007415 \$ 0 \$000007417 \$ 194,514,406 \$000007421 \$ 0 \$000007421 \$ 0 \$000007421 \$ 0 \$000007425 \$ 0 \$000007426 \$ 0 \$000007427 \$ 0 \$000007431 \$ 241,92,006 \$000007431 \$ 241,92,006 \$000007431 \$ 241,92,006 \$000007431 \$ 0 \$000007446 \$ 0 \$000007446 \$ 0 \$0000007446 \$ 0 \$0	S00007386	\$ 181,484,667
\$200007389 \$ 214,833,315 \$000007390 \$ 0 \$000007394 \$ 0 \$000007394 \$ 0 \$000007394 \$ 0 \$000007394 \$ 173,809,177 \$000007394 \$ 173,809,177 \$000007404 \$ 173,809,177 \$000007404 \$ 160,139,937 \$000007411 \$ 119,191,846 \$000007412 \$ 90,527,814 \$000007413 \$ 9,533,1406 \$000007414 \$ 744,119,574 \$000007421 \$ 0 \$000007421 \$ 0 \$000007423 \$ 0 \$000007424 \$ 0 \$000007425 \$ 0 \$000007426 \$ 0 \$000007427 \$ 0 \$000007428 \$ 0,1150,401 \$000007429 \$ 0 \$000007428 \$ 0,150,401 \$000007429 \$ 0 \$000007440 \$ 0 \$000007440 \$ 0 \$000007440 \$ 0 \$000007440 \$ 0 \$000002193 <td>S00007387</td> <td></td>	S00007387	
\$000007390 \$ 0 \$000007392 \$ 0 \$000007394 \$ 0 \$000007396 \$ 123,809,177 \$000007397 \$ 120,810,609 \$000007397 \$ 120,810,609 \$000007410 \$ 140,129,937 \$000007411 \$ 119,191,846 \$000007415 \$ 0 \$000007418 \$ 39,531,406 \$000007418 \$ 39,531,406 \$000007419 \$ 734,119,574 \$000007421 \$ 0 \$000007421 \$ 0 \$000007421 \$ 0 \$000007423 \$ 0 \$000007424 \$ 0 \$000007423 \$ 0 \$000007431 \$ 241,927,006 \$000007432 \$ 0 \$000007434 \$ 0 \$000007432 \$ 0 \$000007432 \$ 0 \$000007434 \$ 0 \$000007430 \$ 1,238,291,933 \$000007430 \$ 0 \$000007432 \$ 0 \$000002061 \$ 1,33,2916,133 \$000002	S00007388	
\$0 \$ 0 \$00007392 \$ 0 \$00007394 \$ 123809,177 \$00007396 \$ 123809,177 \$00007397 \$ 1208,156,669 \$00007404 \$ 140,129,937 \$00007411 \$ 110,1318,164 \$00007412 \$ 993,527,814 \$00007415 \$ 0 \$00007419 \$ 784,119,574 \$00007421 \$ 0 \$00007422 \$ 0 \$00007423 \$ 0 \$00007424 \$ 0 \$00007425 \$ 0 \$000007426 \$ 0 \$000007427 \$ 0 \$000007428 \$ 0 \$000007429 \$ 0 \$000007420 \$ 0 \$000007421 \$ 0 \$000007422 \$ 0 \$000007431 \$ 241,927.006 \$000007432 \$ 0 \$000007440 \$ 0 \$000007440 \$ 0 \$000002740 \$ 0 \$000002740 \$ 0 \$0000020640 \$ 132,916,133	S00007389	\$ 214,833,315
\$000007394 \$ 0 \$000007396 \$ 123,09,175 \$000007396 \$ 13,09,156,669 \$000007411 \$ 140,129,937 \$000007412 \$ 993,527,814 \$000007413 \$ 109,159,1846 \$000007413 \$ 993,527,814 \$000007414 \$ 993,527,814 \$000007415 \$ 0 \$000007419 \$ 784,110,574 \$000007421 \$ 0 \$000007422 \$ 0 \$000007423 \$ 0 \$0000074245 \$ 0 \$000007425 \$ 0 \$000007426 \$ 0 \$000007431 \$ 241,927,006 \$000007432 \$ 0 \$000007431 \$ 241,927,006 \$00007431 \$ 241,927,006 \$000007431 \$ 241,927,006 \$000007431 \$ 241,927,006 \$000007431 \$ 241,927,006 \$000007431 \$ 241,927,006 \$000007431 \$ 241,927,006 \$000007431 \$ 241,927,006 \$000007431 \$ 241,927,006 \$0000	S00007390	\$ O
S000007396 \$ 123,809,177 S00007397 \$ 120,156,669 S00007411 \$ 119,191,846 S00007412 \$ 995,572,814 S00007413 \$ 0 S00007414 \$ 19,191,846 S00007413 \$ 0 S00007414 \$ 0 S00007419 \$ 764,119,574 S00007422 \$ 0 S00007423 \$ 0 S00007424 \$ 0 S00007425 \$ 0 S00007426 \$ 0 S000007427 \$ 0 S00007428 \$ 0.1150,491 S00007429 \$ 0 S00007430 \$ 0.150,491 S00007431 \$ 241,927,006 S00007432 \$ 0 S00007434 \$ 0 S00007440 \$ 0 S00007440 \$ 0 S00007440 \$ 0 S00002410 \$ 13,914,133 S00002410 \$ 3,911,099,564 S00002410 \$ 3,911,099,564 S00002905 \$ 0 S00002905 <td< td=""><td>S00007392</td><td>\$ O</td></td<>	S00007392	\$ O
\$1.208,156,669 \$140,129.937 \$200007404 \$140,129.937 \$200007411 \$199,191,846 \$200007412 \$993,327,814 \$200007415 \$0 \$200007419 \$30,531,406 \$200007421 \$0 \$200007422 \$0 \$200007423 \$0 \$200007426 \$0 \$200007427 \$0 \$200007428 \$00007429 \$00007420 \$0 \$200007420 \$0 \$200007420 \$0 \$200007420 \$0 \$200007431 \$241,927,006 \$200007432 \$0 \$200007432 \$0 \$200007432 \$0 \$200007432 \$0 \$200002412 \$0 \$20002412 \$0 <td>S00007394</td> <td>\$ 0</td>	S00007394	\$ 0
500007404 \$ 140,129,937 500007411 \$ 119,191,846 500007412 \$ 993,527,814 500007415 \$ 0 500007416 \$ 993,527,814 500007415 \$ 0 500007416 \$ 993,527,814 500007417 \$ 784,119,574 500007421 \$ 0 500007421 \$ 0 500007427 \$ 0 500007428 \$ 0 500007429 \$ 0 500007420 \$ 0 500007427 \$ 0 500007428 \$ 0.150,491 500007431 \$ 241,927,006 500007442 \$ 0 500007443 \$ 20 500007440 \$ 0 500007410 \$ 7,230,21,593 500002740 \$ 0 5000027412 \$ 0 500002740 \$ 132,916,133 5000029060 \$ 138,792,059 5000029062 \$ 9,911,009,550 5000029095 \$ 0 5000029096 \$ 48,442,435 5000029096<	S00007396	\$ 123,809,177
S00007411 \$ 119,191,846 S00007412 \$ 993,527,814 S00007415 \$ 0 S00007418 \$ 39,531,406 S00007419 \$ 784,119,574 S00007421 \$ 0 S00007422 \$ 0 S00007423 \$ 0 S00007424 \$ 0 S00007425 \$ 0 S00007426 \$ 0.150,491 S00007427 \$ 0 S00007431 \$ 241,927,006 S00007432 \$ 0 S00007433 \$ 241,927,006 S00007434 \$ 0 S00007435 \$ 0 S00007436 \$ 0 S00007437 \$ 0 S00007438 \$ 0 S00007440 \$ 0 S00002412 \$ 0 S000029060 \$ 132,916,133 S000029061 \$ 132,916,133 S000029093 \$ 138,972,039 S000029095 \$ 0 S000029096 \$ 0 S000029096 \$ 0 S000029096 \$ 0 <td>S00007397</td> <td>\$ 1,208,156,669</td>	S00007397	\$ 1,208,156,669
S00007412 \$ 993,527,814 S00007418 \$ 0 S00007418 \$ 30,531,406 S00007419 \$ 784,119,574 S00007421 \$ 0 S000007422 \$ 0 S000007423 \$ 0 S000007424 \$ 0 S000007425 \$ 0 S000007427 \$ 0 S000007438 \$ 61,150,491 S000007431 \$ 241,927,006 S000007436 \$ 0 S000007436 \$ 0 S000007436 \$ 0 S000007436 \$ 0 S000007430 \$ 0.0 S000007430 \$ 0.0 S000007430 \$ 0.0 S000007430 \$ 0.0 S000021193 \$ 7,286,221,593 S000029060 \$ 132,916,133 S000029061 \$ 607,475,937 S000029062 \$ 3,911,099,556 S000029093 \$ 138,792,059 S000029094 \$ 0 S000029095 \$ 0 S000029096 \$ 0 S000029096 <td>S00007404</td> <td>\$ 140,129,937</td>	S00007404	\$ 140,129,937
S000007415 \$ 0 S000007418 \$ 39,531,406 S000007419 \$ 784,119,574 S000007421 \$ 0 S000007422 \$ 0 S000007425 \$ 0 S000007426 \$ 0 S000007427 \$ 0 S000007428 \$ 61,150,491 S000007428 \$ 61,150,491 S000007428 \$ 0 S000007430 \$ 0 S000007432 \$ 0 S000007434 \$ 241,927,006 S000007432 \$ 0 S000007436 \$ 0 S000007440 \$ 0 S000007440 \$ 0 S000002412 \$ 0 S00002412 \$ 0 S000029060 \$ 132,916,133 S000029061 <t>\$ \$ 607,475,937 S000029062 \$ 3,911,099,556 S000029095 \$ 0 S000029096 \$ 0 S000029095 \$ 0 S000029096 \$ 0 S000029097 \$ 0 S000029099 \$ 0</t>	S00007411	\$ 119,191,846
S00007418 \$ 39,531,406 S000007419 \$ 784,119,574 S00007421 \$ 0 S00007422 \$ 0 S00007425 \$ 0 S000007426 \$ 0 S000007427 \$ 0 S000007428 \$ 41,927,006 S000007431 \$ 241,927,006 S000007432 \$ 0 S000007434 \$ 0 S000007440 \$ 0 S000007440 \$ 0 S000007430 \$ 0 S000007440 \$ 0 S000007440 \$ 0 S000007440 \$ 0 S000002193 \$ 7,238,221,593 S000029060 \$ 132,916,133 S000029061 \$ 607,475,937 S000029062 \$ 3,911,009,556 S000029063 \$ 138,792,059 S000029064 \$ 48,442,435 S000029095 \$ 0 S000029096 \$ 0 S000029097 \$ 0 S000029099 \$ 0 S000029100 \$ 0 S000029101	S00007412	\$ 993,527,814
S000007429 \$ 784,119,574 S000007421 \$ 0 S000007422 \$ 0 S000007425 \$ 0 S000007427 \$ 0 S000007428 \$ 61,150,491 S000007431 \$ 241,927,006 S000007432 \$ 0 S000007436 \$ 0 S000007436 \$ 0 S000007436 \$ 0 S000007440 \$ 0 S00002740 \$ 0 S000027410 \$ 0 S000029060 \$ 132,916,133 S000029061 \$ 607,475,937 S000029062 \$ 3,911,099,556 S000029063 \$ 138,792,059 S000029064 \$ 48,442,435 S000029096 \$ 0 S000029097 \$ 0 S000029099 \$ 0 S000029099 \$ 0 S000029100 \$ 0 S000029101 \$ 8,598,580,	S00007415	\$ O
\$000007421 \$0 \$000007422 \$0 \$000007425 \$0 \$000007427 \$0 \$000007428 \$11,150,491 \$000007428 \$11,150,491 \$000007431 \$241,927,006 \$000007436 \$0 \$000007436 \$0 \$000007440 \$0 \$000007440 \$0 \$0000028412 \$0 \$0000029060 \$132,916,133 \$0000029061 \$607,475,937 \$000029062 \$3,911,099,556 \$000029093 \$138,792,059 \$000029094 \$0 \$000029095 \$0 \$000029096 \$48,442,435 \$000029097 \$0 \$000029099 \$0 \$000029090 \$0 \$00002909100 \$0 \$000029101 \$8,598,580,491 \$000029102 \$0 \$000029104 \$292,395,981 \$000029105 \$144,009,552 \$000029107 \$1,34,433,044 \$000029107	S00007418	\$ 39,531,406
\$000007422 \$ 0 \$000007425 \$ 0 \$000007427 \$ 0 \$000007428 \$ 61,150,491 \$000007430 \$ 0 \$000007432 \$ 0 \$000007432 \$ 0 \$000007432 \$ 0 \$000007436 \$ 0 \$000007436 \$ 0 \$000007440 \$ 0 \$0000007440 \$ 0 \$0000007440 \$ 0 \$0000007440 \$ 0 \$0000000000000 \$ 132,916,133 \$000002060 \$ 132,916,133 \$000029060 \$ 132,916,133 \$000029060 \$ 132,916,133 \$000029060 \$ 132,916,133 \$000029060 \$ 132,916,133 \$000029060 \$ 132,916,133 \$000029060 \$ 3,911,099,556 \$000029093 \$ 0 \$000029096 \$ 0 \$000029096 \$ 0 \$000029099 \$ 0 \$000029099 \$ 0 \$000029100 \$ 0 \$000029101	S00007419	\$ 784,119,574
S00007425 \$ 0 S00007427 \$ 0 S00007428 \$ 51,150,491 S00007430 \$ 241,927,006 S00007432 \$ 0 S00007436 \$ 0 S00007436 \$ 0 S00007440 \$ 0 S00007410 \$ 7,238,221,593 S000028412 \$ 0 S000029060 \$ 132,916,133 S000029061 \$ 607,475,937 S000029062 \$ 3,911.099,556 S000029093 \$ 138,792,059 S000029096 \$ 0 S000029100 \$ 0 S000029101 \$ 8,598,580,491 S000029102 \$ 0 S000029104 \$ 292,395,981 S000029105 \$ 144,009,552 S000029105	S00007421	\$ O
S00007427 \$ 0 S00007428 \$ 61,150,491 S00007431 \$ 241,927,006 S00007432 \$ 0 S00007436 \$ 0 S00007440 \$ 0 S000028412 \$ 0 S000029060 \$ 132,916,133 S000029061 \$ 607,475,937 S000029062 \$ 3,911,099,556 S000029095 \$ 0 S000029096 \$ 48,442,435 S000029096 \$ 48,442,435 S000029097 \$ 0 S000029096 \$ 48,442,435 S000029097 \$ 0 S000029096 \$ 48,442,435 S000029097 \$ 0 S000029098 \$ 0 S000029099 \$ 0 S000029090 \$ 0 S0000290910 \$ 0 S000029101 \$ 8,598,580,491 S000029102 \$ 0 S000029103 \$ 144,009,552 S000029104 \$ 222,395,981 S000029105 \$ 144,009,552 S000029106 \$ 1,384,433,044	S00007422	\$ O
S00007428 \$ 61,150,491 S00007431 \$ 241,927,006 S00007432 \$ 0 S00007436 \$ 0 S00007440 \$ 0 S000021193 \$ 7,238,221,593 S000028412 \$ 0 S000029060 \$ 132,916,133 S000029061 \$ 607,475,937 S000029062 \$ 3,911,099,556 S000029095 \$ 0 S000029096 \$ 138,792,059 S000029096 \$ 48,442,435 S000029096 \$ 0 S000029097 \$ 0 S000029100 \$ 0 S000029101 \$ 8,598,580,491 S000029102 \$ 0 S000029104 \$ 292,395,981 S000029105 \$ 144,009,552 S000029107 \$ 1,384,433,044 <t< td=""><td>S00007425</td><td>\$ O</td></t<>	S00007425	\$ O
S00007431 \$ 241,927,006 S00007432 \$ 0 S00007436 \$ 0 S00007440 \$ 0 S000021193 \$ 7,238,221,593 S000028412 \$ 0 S000029060 \$ 132,916,133 S000029061 \$ 607,475,937 S000029062 \$ 3,911,099,556 S000029093 \$ 138,792,059 S000029096 \$ 48,442,435 S000029096 \$ 0 S000029097 \$ 0 S000029096 \$ 0 S000029097 \$ 0 S000029096 \$ 0 S000029097 \$ 0 S000029096 \$ 48,442,435 S000029097 \$ 0 S000029100 \$ 0 S000029101 \$ 8,598,580,491 S000029102 \$ 0 S000029104 \$ 292,395,981 S000029105 \$ 144,009,552 S000029107 \$ 1,384,433,044 S000029108 \$ 1,220,754,235	S00007427	\$ O
\$00007432 \$ 0 \$00007436 \$ 0 \$00007440 \$ 0 \$000021193 \$ 7,238,221,593 \$000028412 \$ 0 \$000029060 \$ 132,916,133 \$000029060 \$ 3,911,099,556 \$000029093 \$ 138,792,059 \$000029095 \$ 0 \$000029096 \$ 48,442,435 \$000029090 \$ 0 \$000029090 \$ 0 \$000029090 \$ 0 \$000029090 \$ 0 \$000029090 \$ 0 \$000029090 \$ 0 \$000029090 \$ 0 \$000029100 \$ 0 \$000029101 \$ 8,598,580,491 \$000029102 \$ 0 \$000029104 \$ 292,395,981 \$000029105 \$ 144,009,552 \$000029107 \$ 1,384,433,044 \$000029108 \$ 1,220,754,235	S00007428	\$ 61,150,491
\$00007436 \$0 \$00007440 \$0 \$000021193 \$7,238,221,593 \$000028412 \$0 \$000029060 \$132,916,133 \$000029061 \$607,475,937 \$000029062 \$3,911,099,556 \$000029093 \$138,792,059 \$000029095 \$0 \$000029096 \$48,442,435 \$000029097 \$0 \$000029090 \$0 \$000029090 \$0 \$000029100 \$0 \$000029101 \$8,598,580,491 \$000029102 \$0 \$000029104 \$292,395,981 \$000029105 \$144,009,552 \$000029107 \$1,384,433,044 \$000029108 \$1,220,754,235	S00007431	\$ 241,927,006
S00007440 \$ 0 S00021193 \$ 7,288,221,593 S00028412 \$ 0 S00029060 \$ 132,916,133 S00029061 \$ 607,475,937 S00029062 \$ 3,911,099,556 S00029093 \$ 138,792,059 S00029096 \$ 48,442,435 S00029096 \$ 0 S00029096 \$ 0 S00029097 \$ 0 S00029096 \$ 48,442,435 S00029096 \$ 48,442,435 S00029096 \$ 0 S00029100 \$ 0 S00029101 \$ 8,598,580,491 S00029102 \$ 0 S00029104 \$ 292,395,981 S00029105 \$ 144,009,552 S00029107 \$ 1,384,433,044 S00029107 \$ 1,384,433,044	S000007432	\$ O
S00021193 \$ 7,238,221,593 S00028412 \$ 0 S00029060 \$ 132,916,133 S00029061 \$ 607,475,937 S00029062 \$ 3,911,099,556 S00029093 \$ 138,792,059 S00029096 \$ 48,442,435 S00029096 \$ 48,442,435 S00029097 \$ 0 S00029090 \$ 0 S000290910 \$ 0 S00029101 \$ 8,598,580,491 S00029102 \$ 0 S00029104 \$ 292,395,981 S000029105 \$ 144,009,552 S000029107 \$ 1,384,433,044 S000029108 \$ 1,220,754,235	S00007436	\$ O
\$0 \$00028412 \$0 \$00029060 \$132,916,133 \$00029061 \$607,475,937 \$00029062 \$3,911,099,556 \$00029093 \$138,792,059 \$00029096 \$0 \$00029096 \$48,442,435 \$00029096 \$0 \$00029096 \$0 \$00029097 \$0 \$00029096 \$48,442,435 \$000029096 \$0 \$000029097 \$0 \$000029096 \$0 \$000029097 \$0 \$000029096 \$0 \$000029096 \$0 \$000029096 \$0 \$000029097 \$0 \$000029096 \$0 \$000029100 \$0 \$000029101 \$8,598,580,491 \$000029102 \$0 \$000029104 \$292,395,981 \$000029105 \$144,009,552 \$000029107 \$1,384,433,044 \$000029108 \$1,220,754,235	S00007440	\$ O
S00029060 \$ 132,916,133 S00029061 \$ 607,475,937 S00029062 \$ 3,911,099,556 S00029093 \$ 138,792,059 S00029095 \$ 0 S00029096 \$ 48,442,435 S00029099 \$ 0 S00029090 \$ 0 S0002909100 \$ 0 S00029101 \$ 8,598,580,491 S00029102 \$ 0 S00029104 \$ 292,395,981 S00029105 \$ 144,009,552 S00029107 \$ 1,384,433,044 S00029108 \$ 1,220,754,235	S000021193	\$ 7,238,221,593
S00029061 \$ 607,475,937 S00029062 \$ 3,911,099,556 S00029093 \$ 138,792,059 S00029095 \$ 0 S00029096 \$ 48,442,435 S00029099 \$ 0 S00029090 \$ 0 S00029100 \$ 0 S00029101 \$ 8,598,580,491 S00029102 \$ 0 S00029104 \$ 292,395,981 S00029105 \$ 144,009,552 S00029107 \$ 1,384,433,044 S00029108 \$ 1,220,754,235	S000028412	\$ O
S000029062 \$ 3,911,099,556 S000029093 \$ 138,792,059 S000029095 \$ 0 S000029096 \$ 48,442,435 S000029099 \$ 0 S000029090 \$ 0 S00002909100 \$ 0 S000029101 \$ 8,598,580,491 S000029102 \$ 0 S000029104 \$ 292,395,981 S000029105 \$ 144,009,552 S000029107 \$ 1,384,433,044 S000029108 \$ 1,220,754,235	S000029060	\$ 132,916,133
\$000029093 \$138,792,059 \$000029095 \$0 \$000029096 \$48,442,435 \$000029099 \$0 \$000029100 \$0 \$000029101 \$8,598,580,491 \$000029102 \$0 \$000029104 \$292,395,981 \$000029105 \$144,009,552 \$000029107 \$1,384,433,044 \$000029108 \$1,220,754,235	S000029061	\$ 607,475,937
\$000029095 \$0 \$000029096 \$48,442,435 \$000029099 \$0 \$000029100 \$0 \$000029101 \$0 \$000029102 \$0 \$000029104 \$292,395,981 \$000029105 \$144,009,552 \$000029107 \$1,384,433,044 \$000029108 \$1,220,754,235	S000029062	\$ 3,911,099,556
S000029096 \$ 48,442,435 S000029099 \$ 0 S000029100 \$ 0 S000029101 \$ 8,598,580,491 S000029102 \$ 0 S000029104 \$ 292,395,981 S000029105 \$ 144,009,552 S000029107 \$ 1,384,433,044 S000029108 \$ 1,220,754,235	S000029093	\$ 138,792,059
\$000029099 \$ 0 \$000029100 \$ 0 \$000029101 \$ 8,598,580,491 \$000029102 \$ 0 \$000029104 \$ 292,395,981 \$000029105 \$ 144,009,552 \$000029107 \$ 1,384,433,044 \$000029108 \$ 1,220,754,235	S000029095	\$ O
S00029100 \$ 0 S00029101 \$ 8,598,580,491 S00029102 \$ 0 S00029104 \$ 292,395,981 S00029105 \$ 144,009,552 S00029107 \$ 1,384,433,044 S00029108 \$ 1,220,754,235	S000029096	\$ 48,442,435
S000029101 \$ 8,598,580,491 S000029102 \$ 0 S000029104 \$ 292,395,981 S000029105 \$ 144,009,552 S000029107 \$ 1,384,433,044 S000029108 \$ 1,220,754,235		\$ O
S000029102 \$ 0 S000029104 \$ 292,395,981 S000029105 \$ 144,009,552 S000029107 \$ 1,384,433,044 S000029108 \$ 1,220,754,235		
S000029104 \$ 292,395,981 S000029105 \$ 144,009,552 S000029107 \$ 1,384,433,044 S000029108 \$ 1,220,754,235		
\$000029105 \$ 144,009,552 \$000029107 \$ 1,384,433,044 \$000029108 \$ 1,220,754,235	S000029102	
\$000029107 \$ 1,384,433,044 \$000029108 \$ 1,220,754,235		
\$1,220,754,235		
\$ 192,858,937		
	S000029110	\$ 192,858,937

S000029113	\$ 981,527,838
S000029115	\$ 0
S000029123	\$ 125,454,513
S000036117	\$ O
S000037204	\$ 819,876,954
S000039677	\$ 0
S000039678	\$ 81,409,689
S000040831	\$ 3,026,161,777
S000049601	\$ 143,855,437
S000049602	\$ 144,169,824
S000049603	\$ 144,297,651
S000049604	\$ 144,506,878
S000049605	\$ 141,827,869
S000049606	\$ 142,661,770
S000049607	\$ 137,330,989
S000049608	\$ 140,846,184
S000049609	\$ 134,835,832
S000049610	\$ 142,667,207
S000049611	\$ 140,762,688
S000063608	\$ 714,318,262
S000064870	\$ 16,711,817,911
S000065488	\$ 582,968,731
S000068149	\$ O
S000077208	\$ 138,787,039
S000077999	\$ 1,142,053,980
S000080418	\$ 28,656,847

SEC File Number 811 - 09255

Provide the regulatory assets under management of all *parallel managed accounts* related to a registered investment company (or series thereof) or business development company that you advise.

Series ID	Parallel Managed Account Regulatory assets under management
S000007215	\$ 2,526,975,479
S00007218	\$ 2,632,118,725
S00007222	\$ 252,597,884
S00007227	\$ 1,812,382,252
S00007228	\$ 631,260,418
S000029416	\$ 857,217,588

SEC File Number

811 - 09689

Series ID	Parallel Managed Account Regulatory assets under management
S00007547	\$ O
S00007549	\$ 1,723,580,128
S00007555	\$ 216,379,189
S00007564	\$ 22,239,367,201

S000017048	\$ 619,263,179	
S000031666	\$ 3,031,842,429	
S000061896	\$ 269,117,094	
S000083918	\$ O	

SEC File Number

811 - 10183

Provide the regulatory assets under management of all *parallel managed accounts* related to a registered investment company (or series thereof) or business development company that you advise.

Series ID	Parallel Managed Account Regulatory assets under management
S000011105	\$ 6,226,135,637
S000011114	\$ 14,192,595,988

SEC File Number 811 - 21269

Provide the regulatory assets under management of all *parallel managed accounts* related to a registered investment company (or series thereof) or business development company that you advise.

Series ID	Parallel Managed Account Regulatory assets under management
	\$ 1,052,780,526

SEC File Number 811 - 21331

ile Number 21507 de the regulatory assets under management of all <i>parallel managed accounts</i> related to a r ess development company that you advise. es ID Parallel Manage \$ 1,622,340,120	d Account Regulatory assets under management
21507 de the regulatory assets under management of all <i>parallel managed accounts</i> related to a r ess development company that you advise. Parallel Manage	
21507 de the regulatory assets under management of all <i>parallel managed accounts</i> related to a r ess development company that you advise. Parallel Manage	
21507 de the regulatory assets under management of all <i>parallel managed accounts</i> related to a r ess development company that you advise. Parallel Manage	
21507 de the regulatory assets under management of all <i>parallel managed accounts</i> related to a r ess development company that you advise. Parallel Manage	
21507 de the regulatory assets under management of all <i>parallel managed accounts</i> related to a r ess development company that you advise. Parallel Manage	
21507 de the regulatory assets under management of all <i>parallel managed accounts</i> related to a r ess development company that you advise. Parallel Manage	
21507 de the regulatory assets under management of all <i>parallel managed accounts</i> related to a r ess development company that you advise. Parallel Manage	
de the regulatory assets under management of all <i>parallel managed accounts</i> related to a r ess development company that you advise. Parallel Manage	
ess development company that you advise. Parallel Manage	
ess development company that you advise. Parallel Manage	
es ID Parallel Manage	gistered investment company (or series thereof) or
	d Account Regulatory assets under management
\$ 1,622,340,120	Account Regulatory assets under management

siness development company that you advise	
eries ID	Parallel Managed Account Regulatory assets under management
000078010	\$ 297,902,095
EC File Number 11 - 21779	
usiness development company that you advise	
Series ID	Parallel Managed Account Regulatory assets under management
S000003313	\$ 25,302,412,370
SEC File Number 311 - 21991 Provide the regulatory assets under manageme	nt of all <i>parallel managed accounts</i> related to a registered investment company (or series thereof) o
Series ID	nt of all <i>parallel managed accounts</i> related to a registered investment company (or series thereof) of Parallel Managed Account Regulatory assets under management
SEC File Number 311 - 21991 Provide the regulatory assets under manageme pusiness development company that you advise	nt of all <i>parallel managed accounts</i> related to a registered investment company (or series thereof) or
SEC File Number 311 - 21991 Provide the regulatory assets under manageme pusiness development company that you advise Series ID S000060103 SEC File Number 311 - 22005	nt of all <i>parallel managed accounts</i> related to a registered investment company (or series thereof) of Parallel Managed Account Regulatory assets under management
SEC File Number 311 - 21991 Provide the regulatory assets under manageme business development company that you advise Series ID S000060103 SEC File Number 311 - 22005 Provide the regulatory assets under manageme business development company that you advise	nt of all <i>parallel managed accounts</i> related to a registered investment company (or series thereof) or Parallel Managed Account Regulatory assets under management \$ 829,686,311 t of all <i>parallel managed accounts</i> related to a registered investment company (or series thereof) or
SEC File Number 311 - 21991 Provide the regulatory assets under manageme pusiness development company that you advise Series ID S000060103 SEC File Number 311 - 22005	nt of all <i>parallel managed accounts</i> related to a registered investment company (or series thereof) or Parallel Managed Account Regulatory assets under management \$ 829,686,311 t of all <i>parallel managed accounts</i> related to a registered investment company (or series thereof) or

SEC File Number 811 - 22127

Series ID	Parallel Managed Account Regulatory assets under management
S000028690	\$ 24,684,847,110
S000028697	\$ 664,194,773

SEC File Number 811 - 22648

Provide the regulatory assets under management of all parallel managed accounts related to a registered investment company (or series thereof) or business development company that you advise.

Series ID	Parallel Managed Account Regulatory assets under management
S000049584	\$ 7,510,092,859
3000047304	
SEC File Number	
811 - 23148	
Provide the regulatory assets under management of all parallel managed account	ounts related to a registered investment company (or series thereof) or
business development company that you advise.	
Series ID	Parallel Managed Account Regulatory assets under management
S000054047	\$ 14,407,278,386
S000068792	\$ 806,079,376
SEC File Number	
811 - 23235	
Provide the regulatory assets under management of all parallel managed account	ounts related to a registered investment company (or series thereof) or
business development company that you advise.	
Series ID	Parallel Managed Account Regulatory assets under management
S000057731	\$ 9,663,569,621
SEC File Number	
811 - 23325	
Provide the regulatory assets under management of all parallel managed account	ounts related to a registered investment company (or series thereof) or
business development company that you advise.	

Series ID	Parallel Managed Account Regulatory assets under management
S000068182	\$ 3,873,640,381

SECTION 5.1.(2) Wrap Fee Programs

If you are a portfolio manager for one or more wrap fee programs, list the name of each program and its sponsor. You must complete a separate Schedule D Section 5.1.(2) for each *wrap fee program* for which you are a portfolio manager.

Name of Sponsor UBS FINANCIAL SERVICES INC. Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 7163 Sponsor's CRD Number (if any): 8174 Name of Wrap Fee Program ADVISOR ALLOCATION PROGRAM (AAP) Name of Sponsor UBS FINANCIAL SERVICES INC. Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 7163 Sponsor's CRD Number (if any): 8174 Name of Wrap Fee Program ADVISORY CHOICE Name of Sponsor ROBERT W. BAIRD & CO. INCORPORATED Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 7571 Sponsor's CRD Number (if any): 8158 Name of Wrap Fee Program CAPITAL DIRECTIONS Name of Sponsor PNC INVESTMENTS Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 66195 Sponsor's CRD Number (if any): 129052 Name of Wrap Fee Program CONSULTING AND EVAL SERVICES Name of Sponsor MORGAN STANLEY Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-):

Sponsor's CRD Number (if any): 149777

801 - 70103

Name of Wrap Fee Program FMAX Name of Sponsor FIDELITY INSTITUTIONAL WEALTH ADVISER LLC Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 116860 Sponsor's CRD Number (if any): 301896 Name of Wrap Fee Program GMSSAP Name of Sponsor GOLDMAN SACHS & CO. LLC Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 16048 Sponsor's CRD Number (if any): 361 Name of Wrap Fee Program IAP Name of Sponsor MANAGED ACCOUNT ADVISORS LLC Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 67569 Sponsor's CRD Number (if any): 142558 Name of Wrap Fee Program IMS-INVESTMENT MGMT SERVICES Name of Sponsor MORGAN STANLEY Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 70103 Sponsor's CRD Number (if any): 149777 Name of Wrap Fee Program LOCKWOOD

Name of *Sponsor* BNY ADVISORS

Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 52378 Sponsor's CRD Number (if any): 106108 Name of Wrap Fee Program LOCKWOOD UMA Name of Sponsor BNY ADVISORS Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 52378 Sponsor's CRD Number (if any): 106108 Name of Wrap Fee Program MAC-MANAGED ACCOUNTS CONSULTING Name of Sponsor UBS FINANCIAL SERVICES INC. Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 7163 Sponsor's CRD Number (if any): 8174 Name of Wrap Fee Program MANAGED DIVERSIFIED PORTFOLIOS (MDP) Name of Sponsor WELLS FARGO BANK NA Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): Sponsor's CRD Number (if any): Name of Wrap Fee Program MANAGER ACCESS SELECT(RIA) MAS Name of Sponsor LPL FINANCIAL LLC Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 10970 Sponsor's CRD Number (if any): 6413

MANAGER ACCOUNT ACCESS

Name of *Sponsor* CHARLES SCHWAB & CO., INC.

Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 29938

Sponsor's CRD Number (if any): 5393

Name of *Wrap Fee Program* MANAGER ACCOUNT SELECT

Name of *Sponsor* CHARLES SCHWAB & CO., INC.

Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 29938

Sponsor's CRD Number (if any): 5393

Name of *Wrap Fee Program* MANAGER SELECT (MSA)

Name of *Sponsor* LPL FINANCIAL LLC

Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 10970

Sponsor's CRD Number (if any): 6413

Name of *Wrap Fee Program* MANAGER SELECT MODEL DELIVERY

Name of *Sponsor* LPL FINANCIAL LLC

Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 10970

Sponsor's CRD Number (if any): 6413

Name of *Wrap Fee Program* MANAGER SIGNALS

Name of *Sponsor* VESTMARK ADVISORY SOLUTIONS, INC.

Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 114077 Sponsor's CRD Number (if any): 221518

Name of *Wrap Fee Program* MAP-MANAGED ACCOUNT PROGRAM

Name of *Sponsor* RBC CAPITAL MARKETS, LLC

Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 13059

Sponsor's CRD Number (if any): 31194

Name of *Wrap Fee Program* OPTIMA

Name of *Sponsor* MANAGED ACCOUNT ADVISORS LLC

Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 67569

Sponsor's CRD Number (if any): 142558

Name of *Wrap Fee Program* OUTSIDE MANAGED

Name of *Sponsor* RAYMOND JAMES & ASSOCIATES, INC.

Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 10418

Sponsor's CRD Number (if any): 705

Name of *Wrap Fee Program* P-UMA

Name of *Sponsor* WELLS FARGO ADVISORS

Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 37967

Sponsor's CRD Number (if any): 19616

Name of *Wrap Fee Program* PREMIER ADVISORY

Name of Sponsor

ENVESTNET PMC

Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 57260

Sponsor's CRD Number (if any): 111694

Name of Wrap Fee Program PRIVATE ADVISOR NETWORK Name of Sponsor WELLS FARGO ADVISORS Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 37967 Sponsor's CRD Number (if any): 19616 Name of Wrap Fee Program PRIVATE BANK Name of Sponsor CITIGROUP GLOBAL MARKETS INC. Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 3387 Sponsor's CRD Number (if any): 7059 Name of Wrap Fee Program PRIVATE WEALTH Name of Sponsor CITIGROUP GLOBAL MARKETS INC. Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 3387 Sponsor's CRD Number (if any): 7059 Name of Wrap Fee Program PWP Name of Sponsor LPL FINANCIAL LLC Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 10970 Sponsor's CRD Number (if any):

6413

Name of Wrap Fee Program RECOMMENDED MANAGER Name of Sponsor ROBERT W. BAIRD & CO. INCORPORATED Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 7571 Sponsor's CRD Number (if any): 8158 Name of Wrap Fee Program SANS Name of Sponsor FIDELITY BROKERAGE SERVICES LLC Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 8 - 23292 Sponsor's CRD Number (if any): 7784 Name of Wrap Fee Program SELECT Name of Sponsor AMERIPRISE FINANCIAL SERVICES, LLC Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 28543 Sponsor's CRD Number (if any): 6363 Name of Wrap Fee Program SELECT UMA Name of Sponsor CITIGROUP GLOBAL MARKETS INC. Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 3387 Sponsor's CRD Number (if any): 7059 Name of Wrap Fee Program SELECT UMA Name of Sponsor

MORGAN STANLEY

801	-	70103

Sponsor's CRD Number (if any): 149777

Name of *Wrap Fee Program* STRATEGIC WEALTH PORTFOLIOS (SWP)

Name of *Sponsor* UBS FINANCIAL SERVICES INC.

Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 7163

Sponsor's CRD Number (if any): 8174

Name of *Wrap Fee Program* STRATIS

Name of *Sponsor* J.P. MORGAN SECURITIES LLC

Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 3702

Sponsor's CRD Number (if any): 79

Name of *Wrap Fee Program* UMA

Name of *Sponsor* OPPENHEIMER ASSET MANAGEMENT

Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 31427

Sponsor's CRD Number (if any): 105559

Name of *Wrap Fee Program*

Name of *Sponsor* ENVESTNET PMC

Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 57260

Sponsor's CRD Number (if any): 111694

Name of *Wrap Fee Program* UMA

Name of Sponsor SEI INVESTMENTS MANAGEMENT CORP Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 24593 Sponsor's CRD Number (if any): 105146 Name of Wrap Fee Program UMA Name of Sponsor MOUNT YALE INVESTMENT ADVISORS, LLC Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 65068 Sponsor's CRD Number (if any): 137068 Name of Wrap Fee Program UMA Name of Sponsor ADHESION WEALTH ADVISOR SOLUTIONS Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 67829 Sponsor's CRD Number (if any): 143593 Name of Wrap Fee Program UMA Name of Sponsor ASHTON THOMAS PRIVATE WEALTH Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 71512 Sponsor's CRD Number (if any): 153902 Name of Wrap Fee Program UMA Name of Sponsor ICR PARTNERS Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 117434

Sponsor's CRD Number (if any):

304689

Name of Wrap Fee Program UMA Name of Sponsor SEI GLOBAL SERVICES INC Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): Sponsor's CRD Number (if any): Name of Wrap Fee Program UMA Name of Sponsor US BANK, NA Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): Sponsor's CRD Number (if any): Name of Wrap Fee Program UMA Name of Sponsor FULTON BANK, N.A. Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): Sponsor's CRD Number (if any): Name of Wrap Fee Program UMA Name of Sponsor AMERIPRISE FINANCIAL SERVICES, LLC Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-): 801 - 28543 Sponsor's CRD Number (if any): 6363 Name of Wrap Fee Program UMA Name of Sponsor

WELLS FARGO BANK NA

Sponsor's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-):

Sponsor's CRD Number (if any):

SECTION 5.K.(1) Separately Managed Accounts

After subtracting the amounts reported in Item 5.D.(3)(d)-(f) from your total regulatory assets under management, indicate the approximate percentage of this remaining amount attributable to each of the following categories of assets. If the remaining amount is at least \$10 billion in regulatory assets under management, complete Question (a). If the remaining amount is less than \$10 billion in regulatory assets under management, complete Question (b).

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date. Each column should add up to 100% and numbers should be rounded to the nearest percent.

Investments in derivatives, registered investment companies, business development companies, and pooled investment vehicles should be reported in those categories. Do not report those investments based on related or underlying portfolio assets. Cash equivalents include bank deposits, certificates of deposit, bankers' acceptances and similar bank instruments.

Some assets could be classified into more than one category or require discretion about which category applies. You may use your own internal methodologies and the conventions of your service providers in determining how to categorize assets, so long as the methodologies or conventions are consistently applied and consistent with information you report internally and to current and prospective clients. However, you should not double count assets, and your responses must be consistent with any instructions or other guidance relating to this Section.

Asse	et Type	Mid-year	End of year
(i)	Exchange-Traded Equity Securities	14 %	13 %
(ii)	Non Exchange-Traded Equity Securities	0 %	0 %
(iii)	U.S. Government/Agency Bonds	23 %	25 %
(iv)	U.S. State and Local Bonds	11 %	12 %
(v)	Sovereign Bonds	1 %	0 %
(vi)	Investment Grade Corporate Bonds	34 %	33 %
(∨ii)	Non-Investment Grade Corporate Bonds	1 %	0 %
(∨iii)	Derivatives	0 %	1 %
(ix)	Securities Issued by Registered Investment Companies or Business Development Companies	3 %	3 %
(x)	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	0 %	0 %
(xi)	Cash and Cash Equivalents	6 %	5 %
(xii)	Other	7 %	8 %

Generally describe any assets included in "Other"

THIS CATEGORY GENERALLY INCLUDES COLLATERALIZED MORTGAGE OBLIGATIONS, ASSET-BACKED SECURITIES, MORTGAGE-BACKED SECURITIES AND COMMERCIAL MORTGAGE-BACKED SECURITIES.

) Asset	Туре	End of year
(i) E	Exchange-Traded Equity Securities	%
(ii) N	Non Exchange-Traded Equity Securities	%
(iii) L	J.S. Government/Agency Bonds	%
(iv) l	J.S. State and Local Bonds	%
(v) 5	Sovereign Bonds	%
(∨i) I	nvestment Grade Corporate Bonds	%
(vii) №	Non-Investment Grade Corporate Bonds	%
(∨iii) E	Derivatives	%
(ix) 5	Securities Issued by Registered Investment Companies or Business Development Companies	%
	Securities Issued by Pooled Investment Vehicles (other than Registered Investment Companies or Business Development Companies)	%
(xi) (Cash and Cash Equivalents	%
(xii) (Dther	%

Generally describe any assets included in "Other"

SECTION 5.K.(2) Separately Managed Accounts - Use of Borrowingsand Derivatives

□ No information is required to be reported in this Section 5.K.(2) per the instructions of this Section 5.K.(2)

If your regulatory assets under management attributable to separately managed accounts are at least \$10 billion, you should complete Question (a). If your regulatory assets under management attributable to separately managed accounts are at least \$500 million but less than \$10 billion, you should complete Question (b).

(a) In the table below, provide the following information regarding the separately managed accounts you advise. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise. End of year refers to the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. Mid-year is the date six months before the end of year date.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of *borrowings* for the accounts included in column 1.

In column 3, provide aggregate *gross notional value* of derivatives divided by the aggregate regulatory assets under management of the accounts included in column 1 with respect to each category of derivatives specified in 3(a) through (f).

You may, but are not required to, complete the table with respect to any separately managed account with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

(i) Mid-Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings	(3) Derivative Exposures					
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative	(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$ 95,025,166,120	\$ 0	0 %	0 %	0 %	0 %	0 %	0 %
10-149%	\$ 4,601,630,321	\$ 0	0 %	21 %	0 %	5 %	0 %	19 %
150% or more	\$ 10,615,869,590	\$ O	72 %	216 %	0 %	2 %	0 %	1 %

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(ii) End of Year

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings	(3) Derivative Exposures					
			(a) Interest Rate Derivative	(b) Foreign Exchange Derivative	(c) Credit Derivative	(d) Equity Derivative	(e) Commodity Derivative	(f) Other Derivative
Less than 10%	\$ 93,110,862,333	\$ 0	0 %	0 %	0 %	0 %	0 %	0 %
10-149%	\$ 6,312,393,522	\$ 0	0 %	20 %	0 %	3 %	0 %	16 %
150% or more	\$ 10,142,445,809	\$ 0	50 %	211 %	0 %	1 %	0 %	0 %

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

(b) In the table below, provide the following information regarding the separately managed accounts you advise as of the date used to calculate your regulatory assets under management for purposes of your *annual updating amendment*. If you are a subadviser to a separately managed account, you should only provide information with respect to the portion of the account that you subadvise.

In column 1, indicate the regulatory assets under management attributable to separately managed accounts associated with each level of gross notional exposure. For purposes of this table, the gross notional exposure of an account is the percentage obtained by dividing (i) the sum of (a) the dollar amount of any *borrowings* and (b) the *gross notional value* of all derivatives, by (ii) the regulatory assets under management of the account.

In column 2, provide the dollar amount of *borrowings* for the accounts included in column 1.

You may, but are not required to, complete the table with respect to any separately managed accounts with regulatory assets under management of less than \$10,000,000.

Any regulatory assets under management reported in Item 5.D.(3)(d), (e), and (f) should not be reported below.

Gross Notional Exposure	(1) Regulatory Assets Under Management	(2) Borrowings
Less than 10%	\$	\$
10-149%	\$	\$
150% or more	\$	\$

Optional: Use the space below to provide a narrative description of the strategies and/or manner in which *borrowings* and derivatives are used in the management of the separately managed accounts that you advise.

	ete a separate Schedule D Section 5.K tory assets under management.	(3) for each custodian that holds ten per	cent or more of your aggregate separately mar	naged account
(a)	Legal name of custodian:			
	THE BANK OF NEW YORK MELLON,	N.A.		
(b)	Primary business name of custodi	an:		
	THE BANK OF NEW YORK MELLON,	N.A.		
(C)	The location(s) of the custodian's	office(s) responsible for <i>custody</i> of the ass	ets :	
	City:	State:	Country:	
	JERSEY CITY	New Jersey	United States	
				Yes No
(d)	Is the custodian a related person of	of your firm?		0 0
(e)	If the custodian is a broker-dealer	, provide its SEC registration number (if a	nv)	00
(C)	-	, provide its see registration number (if a	, y ,	
(f)	If the custodian is not a broker-de any)	ealer, or is a broker-dealer but does not ha	ive an SEC registration number, provide its <i>leg</i>	al entity identifier (if
	HPFHU00Q28E4N0NFVK			
(g)	What amount of your regulatory a \$ 13,685,863,177	assets under management attributable to	separately managed accounts is held at the cu	istodian?
(a)	Legal name of custodian: NORTHERN TRUST CORPORATION			
(b)	Primary business name of custodia	in:		
	NORTHERN TRUST CORPORATION			
(C)	The location(s) of the custodian's of	office(s) responsible for <i>custody</i> of the asso	ets :	
	City:	State:	Country:	
	WILMINGTON	Delaware	United States	
				Yes No
(d)	Is the custodian a related person o	f your firm?		00
(e)	If the custodian is a broker-dealer,	provide its SEC registration number (if an	у)	00
				- 1 + 1+ + 1-5' (1-5
(5)	any)	aler, or is a broker-dealer but does not na	ve an SEC registration number, provide its <i>lega</i>	ai entity identifier (if
(f)				
(f)	549300GLF98S992BC502			
(f) (g)		ssets under management attributable to s	eparately managed accounts is held at the cus	stodian?

	STATE STREET BANK A	ND TRUST COMPANY			
(b)	Primary business nam				
	STATE STREET BANK A				
(c)	The location(s) of the	custodian's office(s) responsil	ble for <i>custody</i> of the	assets :	
	City:	State:		Country:	
	BOSTON	Massachusetts		United States	
					Yes No
(d)	Is the custodian a rela	ated person of your firm?			00
(e)	If the custodian is a bi	roker-dealer, provide its SEC i	registration number ((if any)	
(f)	- If the custodian is not any) 571474TGEMMWANRL		er-dealer but does no	ot have an SEC registration number, provide its	legal entity identifier (if
(g)	What amount of your \$ 15,229,642,657	regulatory assets under man	agement attributable	e to separately managed accounts is held at the	e custodian?
(-)					
(a)	Legal name of custodi U.S. BANK NATIONAL				
(b)	Primary business nam				
	U.S. BANK NATIONAL				
(c)	The location(s) of the	custodian's office(s) responsi	ble for <i>custody</i> of the	e assets :	
	City:		State:	Country:	
	CINCINNATI		Ohio	United States	
					Yes No
(d)	Is the custodian a rela	ated person of your firm?			00
(e)	If the custodian is a b	roker-dealer, provide its SEC	registration number ((if any)	
(f)	- If the custodian is not any)	a broker-dealer, or is a brok	er-dealer but does no	ot have an SEC registration number, provide its	legal entity identifier (if
	6BYL5QZYBDK8S7L73	M02			
(g)	What amount of your \$ 11,746,958,345	regulatory assets under man	agement attributable	e to separately managed accounts is held at the	e custodian?
(a)	Legal name of custodi	an:			
	PRINCIPAL FINANCIAL	GROUP, INC.			
(b)	Primary business nam	e of custodian:			
	PRINCIPAL FINANCIAL	GROUP, INC.			
(c)	The location(s) of the	custodian's office(s) responsil	ble for <i>custody</i> of the	assets :	
	City:		State:	Country:	
	WILMINGTON		Delaware	United States	
					Yes No
(d)	Is the custodian a rela	ated person of your firm?			0 0
(e)	If the custodian is a bi	roker-dealer, provide its SEC i	registration number ((if any)	
(f)	any)		er-dealer but does no	ot have an SEC registration number, provide its	legal entity identifier (if
, .	CUMYEZJOAF02RYZ1JJ				
(g)		regulatory assets under man	agement attributable	e to separately managed accounts is held at the	e custodian?
	\$ 17,550,678,671				

Item 6 Other Business Activities
In this Item, we request information about your firm's other business activities.
A. You are actively engaged in business as a (check all that apply):

(1) broker-dealer (registered or unregistered)

		(2) registered representative of a broker-dealer			
	\checkmark	(3) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)			
	Γ	(4) futures commission merchant			
	Γ	(5) real estate broker, dealer, or agent			
	Γ	(6) insurance broker or agent			
	Γ	(7) bank (including a separately identifiable department or division of a bank)			
		(8) trust company			
		(9) registered municipal advisor			
		(10) registered security-based swap dealer			
		(11) major security-based swap participant			
		(12) accountant or accounting firm			
		(13) lawyer or law firm			
		(14) other financial product salesperson (specify):			
	If vo	ou engage in other business using a name that is different from the names reported in Items 1.A. or 1.B.(1), complete Section 6.A. of Schedule D.			
			Yes	No	
B.	(1)	Are you actively engaged in any other business not listed in Item 6.A. (other than giving investment advice)?	0	\odot	
	(2)	If yes, is this other business your primary business?	0	\circ	
		If "yes," describe this other business on Section 6.B.(2) of Schedule D, and if you engage in this business under a different name, provide that n	ame.		
			Yes	No	
	(3)	Do you sell products or provide services other than investment advice to your advisory clients?	\circ	\odot	

If "yes," describe this other business on Section 6.B.(3) of Schedule D, and if you engage in this business under a different name, provide that name.

SECTION 6.A. Names of Your Other Businesses

No Information Filed

SECTION 6.B.(2) Description of Primary Business

Describe your primary business (not your investment advisory business):

If you engage in that business under a different name, provide that name:

SECTION 6.B.(3) Description of Other Products and Services

Describe other products or services you sell to your *client*. You may omit products and services that you listed in Section 6.B.(2) above.

If you engage in that business under a different name, provide that name:

Item 7 Financial Industry Affiliations

In this Item, we request information about your financial industry affiliations and activities. This information identifies areas in which conflicts of interest may occur between you and your *clients*.

A. This part of Item 7 requires you to provide information about you and your *related persons*, including foreign affiliates. Your *related persons* are all of your *advisory affiliates* and any *person* that is under common *control* with you.

You have a *related person* that is a (check all that apply):

- (1) broker-dealer, municipal securities dealer, or government securities broker or dealer (registered or unregistered)
- (2) other investment adviser (including financial planners)
- □ (3) registered municipal advisor
- □ (4) registered security-based swap dealer
- (5) major security-based swap participant
- (6) commodity pool operator or commodity trading advisor (whether registered or exempt from registration)
- □ (7) futures commission merchant
- \Box (8) banking or thrift institution
- (9) trust company
- \Box (10) accountant or accounting firm
- (11) lawyer or law firm
- (12) insurance company or agency
- (13) pension consultant
- (14) real estate broker or dealer
- (15) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles
- (16) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles

Note that Item 7.A. should not be used to disclose that some of your employees perform investment advisory functions or are registered representatives of a

broker-dealer. The number of your firm's employees who perform investment advisory functions should be disclosed under Item 5.B.(1). The number of your firm's employees who are registered representatives of a broker-dealer should be disclosed under Item 5.B.(2).

Note that if you are filing an umbrella registration, you should not check Item 7.A.(2) with respect to your relying advisers, and you do not have to complete Section 7.A. in Schedule D for your relying advisers. You should complete a Schedule R for each relying adviser.

For each related person, including foreign affiliates that may not be registered or required to be registered in the United States, complete Section 7.A. of Schedule D.

You do not need to complete Section 7.A. of Schedule D for any related person if: (1) you have no business dealings with the related person in connection with advisory services you provide to your clients; (2) you do not conduct shared operations with the related person; (3) you do not refer clients or business to the related person, and the related person does not refer prospective clients or business to you; (4) you do not share supervised persons or premises with the related person; and (5) you have no reason to believe that your relationship with the related person otherwise creates a conflict of interest with your clients.

You must complete Section 7.A. of Schedule D for each related person acting as qualified custodian in connection with advisory services you provide to your clients (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)), regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.

SECTION 7.A. Financial Industry Affiliations

City:

State:

Cor	Complete a separate Schedule D Section 7.A. for each <i>related person</i> listed in Item 7.A.	
1.	1. Legal Name of <i>Related Person</i> : ALLSPRING FUNDS DISTRIBUTOR, LLC	
2.	2. Primary Business Name of <i>Related Person</i> : ALLSPRING FUNDS DISTRIBUTOR, LLC	
3.	 Related Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 8 - 66716 	
	or Other	
	Other	
4.	4. Related Person's	
	(a) <i>CRD</i> Number (if any): 133366	
	(b) CIK Number(s) (if any):	
	No Information Filed	
		1
5.	5. Related Person is: (check all that apply)	
	(a) 🔽 broker-dealer, municipal securities dealer, or government securities broker or dealer	
	(b) 🗖 other investment adviser (including financial planners)	
	(c) 🗖 registered municipal advisor	
	(d) 🗖 registered security-based swap dealer	
	(e) 🗖 major security-based swap participant	
	(f) 🗖 commodity pool operator or commodity trading advisor (whether registered or exempt from registration)	
	(g) 🗖 futures commission merchant	
	(h) 🗖 banking or thrift institution	
	(i) 🗖 trust company	
	(j) 🗖 accountant or accounting firm	
	(k) 🗖 lawyer or law firm	
	(I) 🗖 insurance company or agency	
	(m) 🗖 pension consultant	
	(n) 🗖 real estate broker or dealer	
	(o) 🔲 sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles	
	(p) 🗖 sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	
		Yes No
6.	6. Do you control or are you controlled by the related person?	0 0
7.	7. Are you and the <i>related person</i> under common <i>control</i> ?	• •
8.	8. (a) Does the related person act as a qualified custodian for your clients in connection with advisory services you p	provide to <i>clients</i> ? O O
	(b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, hav presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the related per required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the related per required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the related per period.	erson and thus are not
	(c) If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office respon	
	Number and Street 1: Number and Street 2:	, , , , , , , , , , , , , , , , , , ,

Country:

ZIP+4/Postal Code:

	If this address is a private residence, check this box:		
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes O	No O
	(b) If the answer is yes, under what exemption?		
10.	(a) Is the <i>related person</i> registered with a <i>foreign financial regulatory authority</i> ?	0	o
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registe No Information Filed	rea.	
11.	Do you and the related person share any supervised persons?	\odot	0
12.	Do you and the <i>related person</i> share the same physical location?	o	0
1.	Legal Name of <i>Related Person</i> : ALLSPRING GLOBAL INVESTMENTS (SINGAPORE) PTE. LTD.		
2.	Primary Business Name of <i>Related Person</i> : ALLSPRING GLOBAL INVESTMENTS (SINGAPORE) PTE. LTD.		
3.	<i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 801 - 127267		
	or Other		
4.	Related Person's (a) CRD Number (if any):		
	324043 (b) CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply) (a) ✓ (b) ✓ (c) Image: registered municipal advisor (c) ✓ (c) ✓		
6.	Do you control or are you controlled by the related person?	Yes	-
		0	o
7.	Are you and the <i>related person</i> under common <i>control</i> ?	\odot	0
8.	 (a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>? 	0 0	0 0
	 (c) If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: 	'asse	ets:
9.	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes	
	(a) If the <i>related person</i> is an investment adviser, is it exempt from registration?(b) If the answer is yes, under what exemption?	0	o

10. (a) Is the related person registered with a foreign financial regulatory authority?

	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register.	ed.	
		Name of Country/English Name of Foreign Financial Regulatory Authority		_
		Singapore - Monetary Authority of Singapore		
11.	Do y	ou and the <i>related person</i> share any <i>supervised persons</i> ?	\odot	\circ
12.	Do y	ou and the <i>related person</i> share the same physical location?	0	o
1.	0	I Name of <i>Related Person:</i> PRING GLOBAL INVESTMENTS (UK) LIMITED		
2.		ary Business Name of <i>Related Person</i> : PRING GLOBAL INVESTMENTS (UK) LIMITED		
3.		<i>ted Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) - 63516		
	or Othe	r		
	5.4			
4.		<i>ted Person's</i> <i>CRD</i> Number (if any): 132377		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.		ted Person is: (check all that apply)		
	(a) (b)	 broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) 		
	• •	registered municipal advisor		
		registered security-based swap dealer		
	• •	major security-based swap participant		
	• •	commodity pool operator or commodity trading advisor (whether registered or exempt from registration)		
	.0,	futures commission merchant		
	• •	 banking or thrift institution trust company 		
	.,	accountant or accounting firm		
		lawyer or law firm		
	(I)	insurance company or agency		
	(m)			
	• •	real estate broker or dealer		
		 sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 		
	(P)		Yes	No
6.	Do y	ou control or are you controlled by the related person?	0	\odot
7	Are ۱	you and the <i>related person</i> under common <i>control</i> ?	~	~
	7105		\odot	0
8.		Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	0	$oldsymbol{\circ}$
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> Number and Street 1: Number and Street 2:	asse	ets:
		City: State: Country: ZIP+4/Postal Code:		
		If this address is a private residence, check this box: 🗖	Yes	No
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	0	•
	(b)	If the answer is yes, under what exemption?	_	_
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	\odot	0
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register Name of Country/English Name of Foreign Financial Regulatory Authority	ed.	
		Australia - Australian Securities and Investments Commission		
		Denmark - Danish Financial Supervisory Authority		

		Finland - Financial Supervision Authority		
		Liechtenstein - Financial Market Authority		
		Other - BELGIUM - FINANCIAL SERVICES AND MARKETS AUTHORITY		
		Other - SOUTH AFRICA - FINANCIAL SECTOR CONDUCT AUTHORITY		
		United Kingdom - Financial Conduct Authority		
11.	Do yo	ou and the <i>related person</i> share any <i>supervised persons</i> ?	\odot	0
12.	Do yo	ou and the <i>related person</i> share the same physical location?	0	o
	•	Name of <i>Related Person:</i> PRING GLOBAL INVESTMENTS (JAPAN) LIMITED		
		ary Business Name of <i>Related Person:</i> PRING GLOBAL INVESTMENTS (JAPAN) LIMITED		
3.	Relat -	ed Person's SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	or Othei	-		
		ed Person's CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
				I
	 (a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (n) (o) 	 real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 		
6.	Do yo	ou control or are you controlled by the related person?	Yes O	No ©
7.	Are y	ou and the <i>related person</i> under common <i>control</i> ?	o	0
	(b) (c)	Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ? If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ? If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person</i> 's office responsible for <i>custody</i> of your <i>clients</i> ' Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box:	O O asse Yes	
9.	(a)	If the related person is an investment adviser, is it exempt from registration?	•	0
	(b)	If the answer is yes, under what exemption? NON US ENTITY WITHOUT US CLIENTS	~	~
10.		Is the <i>related person</i> registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is register	€ ed.	0

		Name of Country/English Name of Foreign Financial Regulatory Authority		
11	Davi	Japan - Financial Services Agency		
11.	Do у	ou and the <i>related person</i> share any <i>supervised persons</i> ?	\odot	0
12.	Do y	ou and the <i>related person</i> share the same physical location?	0	©
1.	•	I Name of <i>Related Person</i> : PRING GLOBAL INVESTMENTS LUXEMBOURG S.A.		
2.		ary Business Name of <i>Related Person</i> : PRING GLOBAL INVESTMENTS LUXEMBOURG S.A.		
3.	Relat	<i>ed Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-)		
	- or Othe	r		
4.		<i>'ed Person's</i> <i>CRD</i> Number (if any):		
		CIK Number (if any):		
		No Information Filed		
5.	(a) (b)	 <i>Ted Person</i> is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor 		
	(d) (e) (f)	 registered multipla advisor registered security-based swap dealer major security-based swap participant commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant 		
	(h) (i) (j)	 banking or thrift institution trust company accountant or accounting firm lawyer or law firm 		
	(l) (m)	insurance company or agency		
	• •	sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles		
	(p)	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Yes	No
6.	Do y	ou control or are you controlled by the related person?	0	\odot
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	o	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	~	~
0.		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0 0
	(C)	If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients</i> Number and Street 1:Number and Street 2:City:State:Country:ZIP+4/Postal Code:	asse	ets:
		If this address is a private residence, check this box: 🗖		_
9.		If the <i>related person</i> is an investment adviser, is it exempt from registration?	Yes ©	0
	(b)	If the answer is yes, under what exemption? NON-US ENTITY WITHOUT US CLIENTS		
10.	(a) (b)	Is the related person registered with a foreign financial regulatory authority? If the answer is yes, list the name and country, in English of each foreign financial regulatory authority with which the related person is registe	€ ored.	0
		Name of Country/English Name of Foreign Financial Regulatory Authority		
		France - Financial Markets Authority		
		Germany - German Federal Financial Supervisory Agency		

		Luxembourg, Grand Duchy of - Commission to Surveillance of the Finance Sector		
		Other - ITALY - COMMISSIONE NAZIONALE PER LE SOCIETA E LA BORSA		
11.	Do yo	ou and the <i>related person</i> share any <i>supervised persons</i> ?	0	\odot
12.	Do yo	ou and the <i>related person</i> share the same physical location?	0	⊙
1.	•	Name of <i>Related Person</i> : PRING FUNDS MANAGEMENT, LLC		
2.		ary Business Name of <i>Related Person</i> : PRING FUNDS MANAGEMENT, LLC		
3.	801 -	<i>ed Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 58237		
	or Othei			
4.	(a)	ed Person's CRD Number (if any): 110841		
	(b)	CIK Number(s) (if any): No Information Filed		
5.	(a) (b)	 <i>ed Person</i> is: (check all that apply) broker-dealer, municipal securities dealer, or government securities broker or dealer other investment adviser (including financial planners) registered municipal advisor 		
	(d)	 registered multicipal advisor registered security-based swap dealer major security-based swap participant 		
	(g)	 commodity pool operator or commodity trading advisor (whether registered or exempt from registration) futures commission merchant banking or thrift institution 		
	(i) (j)	 trust company accountant or accounting firm 		
	(I)	 lawyer or law firm insurance company or agency pension consultant 		
	. ,	 real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles 		
	• •	sponsor, general partner, managing member (or equivalent) of pooled investment vehicles		
6.	Do γα	bu control or are you controlled by the related person?	Yes	No
	20 90		Ū.	e
7.	Are y	ou and the <i>related person</i> under common <i>control</i> ?	0	0
8.		Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i> ?	\circ	\odot
		If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0
	(c)	If you have answered "yes" to question 8.(a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients'</i> Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code:	asse	ts:
		If this address is a private residence, check this box:	Yes	No
9.		If the <i>related person</i> is an investment adviser, is it exempt from registration? If the answer is yes, under what exemption?	0	o
10.		Is the related person registered with a foreign financial regulatory authority?	0	o
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register No Information Filed		
11.	Do yo	ou and the <i>related person</i> share any <i>supervised persons</i> ?	0	0
12.	Do yo	ou and the related person share the same physical location?	\odot	0

1.	Legal Name of <i>Related Person</i> : GALLIARD CAPITAL MANAGEMENT, LLC		
2.	Primary Business Name of <i>Related Person:</i> GALLIARD CAPITAL MANAGEMENT		
3.	<i>Related Person's</i> SEC File Number (if any) (e.g., 801-, 8-, 866-, 802-) 801 - 49780 or		
	Other		
4.	Related Person's(a)CRD Number (if any):106487(b)CIK Number(s) (if any):		
	No Information Filed		
5.	Related Person is: (check all that apply) (a) broker-dealer, municipal securities dealer, or government securities broker or dealer (b) ✓ other investment adviser (including financial planners) (c) registered municipal advisor (d) registered security-based swap dealer (e) major security-based swap participant (f) Commodity pool operator or cosmodity trading advisor (whether registered or exempt from registration) (g) futures commission merchant (h) banking or thrift institution (i) trust company (j) accountant or accounting firm (k) lawyer or law firm (j) insurance company or agency (m) pension consultant (n) real estate broker or dealer (o) sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles (p) sponsor, general partner, managing member (or equivalent) of pooled investment vehicles	Ýes	No
6.	Do you control or are you controlled by the related person?	0	\odot
7.	Are you and the <i>related person</i> under common <i>control</i> ?	o	0
8.	 (a) Does the <i>related person</i> act as a qualified custodian for your <i>clients</i> in connection with advisory services you provide to <i>clients</i>? (b) If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i>' funds or securities that are maintained at the <i>related person</i>? 	_	0 0
	 (c) If you have answered "yes" to question 8. (a) above, provide the location of the <i>related person's</i> office responsible for <i>custody</i> of your <i>clients's</i> Number and Street 1: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: 		
9.		Yes O	
	(b) If the answer is yes, under what exemption?	~	~
10.	(a) Is the related person registered with a foreign financial regulatory authority?	0	\odot
	(b) If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is registered. No Information Filed	ed.	
11.	Do you and the related person share any supervised persons?	\odot	0
12.	Do you and the <i>related person</i> share the same physical location?	o	0
1.	Legal Name of <i>Related Person</i> : ALLSPRING GLOBAL INVESTMENTS (HONG KONG) LIMITED		

2. Primary Business Name of *Related Person*:

	or Oth	er		
4.		ated Person's		
	(a)	CRD Number (if any):		
	(b)	CIK Number(s) (if any):		
		No Information Filed		
5.	 (a) (b) (c) (d) (e) (f) (g) (h) (i) (j) (k) (l) (m) (n) (o) 	 real estate broker or dealer sponsor or syndicator of limited partnerships (or equivalent), excluding pooled investment vehicles sponsor, general partner, managing member (or equivalent) of pooled investment vehicles 	Zes	Νο
6.	Do	you control or are you controlled by the related person?	o O	
7.	Are	you and the <i>related person</i> under common <i>control</i> ?	o	0
8.	(a)	Does the related person act as a qualified custodian for your clients in connection with advisory services you provide to clients?	0	\odot
	(b)	If you are registering or registered with the SEC and you have answered "yes," to question 8.(a) above, have you overcome the presumption that you are not operationally independent (pursuant to rule 206(4)-2(d)(5)) from the <i>related person</i> and thus are not required to obtain a surprise examination for your <i>clients</i> ' funds or securities that are maintained at the <i>related person</i> ?	0	0
	(C)	If you have answered "yes" to question 8. (a) above, provide the location of the related person's office responsible for custody of your clients' Number and Street 1: Number and Street 2: City: State: Country: ZIP+4/Postal Code: If this address is a private residence, check this box: Image: Country: Country: Country:		
9.	(a)	If the related person is an investment adviser, is it exempt from registration?		No
	(b)	If the answer is yes, under what exemption? NON-US ENTITY WITHOUT US CLIENTS		
10.	(a)	Is the related person registered with a foreign financial regulatory authority?	o	$^{\circ}$
	(b)	If the answer is yes, list the name and country, in English of each <i>foreign financial regulatory authority</i> with which the <i>related person</i> is register Name of Country/English Name of <i>Foreign Financial Regulatory Authority</i>	ed.	
		Hong Kong - Securities and Futures Commission		
11.	Do	you and the <i>related person</i> share any <i>supervised persons</i> ?	•	0
12.	Do	you and the <i>related person</i> share the same physical location?	0	$oldsymbol{\circ}$
Iter	n 7 F	Private Fund Reporting		
			'es	No
В. /	Are y	you an adviser to any private fund?	•	$^{\circ}$

If "yes," then for each private fund that you advise, you must complete a Section 7.B.(1) of Schedule D, except in certain circumstances described in the next sentence and in Instruction 6 of the Instructions to Part 1A. If you are registered or applying for registration with the SEC or reporting as an SEC exempt reporting adviser, and another SEC-registered adviser or SEC exempt reporting adviser reports this information with respect to any such private fund in Section 7.B.(1) of Schedule D of its Form ADV (e.g., if you are a subadviser), do not complete Section 7.B.(1) of Schedule D with respect to that private fund. You must,

instead, complete Section 7.B.(2) of Schedule D.

In either case, if you seek to preserve the anonymity of a private fund client by maintaining its identity in your books and records in numerical or alphabetical code, or similar designation, pursuant to rule 204-2(d), you may identify the private fund in Section 7.B.(1) or 7.B.(2) of Schedule D using the same code or designation in place of the fund's name.

	Funds per Page: 15 💌 Total Funds: 15		
PF	RIVATE FUND		
nfo	rmation About the Private Fund		
	(a) Name of the <i>private fund</i> : ALL COUNTRY WORLD LOW VOLATILITY EQUITY FUND LP		
	 (b) Private fund identification number: (include the "805-" prefix also) 805-4709868390 		
	Under the laws of what state or country is the <i>private fund</i> organized:		
	State: Country:		
	Delaware United States		
	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	ALLSPRING GLOBAL INVESTMENTS GP, LLC (GENERAL PARTNER)		
	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> .		
	No Information Filed		
	The <i>private fund</i> (check all that apply; you must check at least one):		
	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 		
	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. 		
	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 	Vas	
	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed 	Yes	
	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? 	Yes	
	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed 		
	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>? 	0	
	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>? No Information Filed 		
	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>? 	0	
	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>? No Information Filed (c) Is this a "feeder fund" in a master-feeder arrangement? (d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? 	0	
	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>? No Information Filed (c) Is this a "feeder fund" in a master-feeder arrangement? (d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i>: <i>Private fund</i>: 	O Yes O	

	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially al assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	0	\odot
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmen vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	t	
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	O
10.	What type of fund is the private fund?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund ⊙ Other private POOLED INVESTMENT FUND	ate fui	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 50,704,845		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund:</i> \$ 5,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	e	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes ©	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
<u>Yoı</u>	ur Advisory Services		
17.	(a) Are you a subadviser to this <i>private fund</i> ?	Yes	No ©
	 (b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer question 17.(a) is "no," leave this question blank. 		e
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.		
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot

NOTE: For purposes of this question, do not consider feeder funds of the private fund.

	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%			
<u>Priv</u>	vate Offering			
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	ve ©	s No	_
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):			
	Form D file number 021-260284			
				_
B. Se	ERVICE PROVIDERS			
Aud	itors			
23	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	_	s N	
20.	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0 0		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audit you must complete questions (b) through (f) separately for each auditing firm.			1
	Additional Auditor Information : 1 Record(s) Filed.			
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one			1
	auditing firm, you must complete questions (b) through (f) separately for each auditing firm.			
	(b) Name of the auditing firm: KPMG LLP			
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):			
	City: State: Country:			
	PHILADELPHIA Pennsylvania United States	Yes	No	
	(d) Is the auditing firm an <i>independent public accountant</i> ?	O	0	
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	\odot	0	
	If yes, Public Company Accounting Oversight Board-Assigned Number:			
	185			
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	۲	0	
		Ye	s N	0
	(g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?		0	
	(h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	opinio	ns?	
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	is avai	ilable	<u>)</u>
<u>Prin</u>	ne Broker	V -		c
24.	(a) Does the <i>private fund</i> use one or more prime brokers?	re C	es No) (0	_
	fund uses more than one prime broker, you must complete questions (b) through (c) below for each prime broker the private rand uses. If fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	ייק איוי	va.c	
	No Information Filed			

Addit	tional Custodian Ir	nformation : 1 Record(s) Filed.		
		· · · · · · · · · · · · · · · · · · ·	through g) below for each custodian the <i>private fund</i> uses (b) through (g) separately for each custodian.	s. If the p
	Legal name of cust STATE STREET BAN	odian: K AND TRUST COMPANY		
• •	Primary business n STATE STREET BAN	ame of custodian: K AND TRUST COMPANY		
(d)	The location of the	custodian's office responsible for custody of	the private fund's assets (city, state and country):	
	City: BOSTON	State: Massachusetts	Country: United States	
	BOSTON	Massachusetts	United States	Y
(e)	Is the custodian a	related person of your firm?		c
(f)	If the custodian is	a broker-dealer, provide its SEC registration	number (if any):	
	- CRD Number (if any	y):		
	<i>identifier</i> (if any)	not a broker-dealer, or is a broker-dealer bu	ut does not have an SEC registration number, provide its a	legal entity
rator Does r	<i>identifier</i> (if any) the <i>private fund</i> use answer to question	e an administrator other than your firm?	rough (f) below. If the <i>private fund</i> uses more than one a	
rator Does f f the nust o	<i>identifier</i> (if any) the <i>private fund</i> use answer to question complete questions	e an administrator other than your firm? n 26.(a) is "yes," respond to questions (b) th	rough (f) below. If the <i>private fund</i> uses more than one a	
Does for the nust of Addit	<i>identifier</i> (if any) the <i>private fund</i> use answer to question complete questions tional Administrato he answer to questi ninistrator, you mus	e an administrator other than your firm? a 26.(a) is "yes," respond to questions (b) th (b) through (f) separately for each adminis or Information : 1 Record(s) Filed. on 26.(a) is "yes," respond to questions (b) st complete questions (b) through (f) separa	through (f) below. If the <i>private fund</i> uses more than one addread of the trator.	dministrat
rator Does f f the nust o Addit If th adm (b)	identifier (if any)	e an administrator other than your firm? a 26.(a) is "yes," respond to questions (b) th (b) through (f) separately for each adminis or Information : 1 Record(s) Filed. on 26.(a) is "yes," respond to questions (b) st complete questions (b) through (f) separa	through (f) below. If the <i>private fund</i> uses more than one addread of the trator.	dministrat
rator Does f f the nust o Addit If th adm (b) (c)	identifier (if any) the private fund use answer to question complete questions tional Administrato he answer to questi ninistrator, you mus Name of administra STATE STREET BAN Location of adminis	e an administrator other than your firm? a 26. (a) is "yes," respond to questions (b) th (b) through (f) separately for each administ or Information : 1 Record(s) Filed. on 26. (a) is "yes," respond to questions (b) st complete questions (b) through (f) separa ator: K AND TRUST COMPANY strator (city, state and country):	through (f) below. If the <i>private fund</i> uses more than one at trator.	dministrat
rator Does of f the nust of Addit If th adm (b) (c)	identifier (if any)	e an administrator other than your firm? a 26. (a) is "yes," respond to questions (b) th (b) through (f) separately for each administ or Information : 1 Record(s) Filed. on 26. (a) is "yes," respond to questions (b) st complete questions (b) through (f) separa ator: K AND TRUST COMPANY	through (f) below. If the <i>private fund</i> uses more than one addread of the trator.	dministrat
rator Does of f the nust of Addit If th adm (b) (c)	identifier (if any) the private fund use answer to question complete questions tional Administrato he answer to questi ninistrator, you mus Name of administra STATE STREET BAN Location of adminis City: BOSTON	e an administrator other than your firm? a 26. (a) is "yes," respond to questions (b) th (b) through (f) separately for each administ or Information : 1 Record(s) Filed. on 26. (a) is "yes," respond to questions (b) st complete questions (b) through (f) separa ator: K AND TRUST COMPANY strator (city, state and country): State:	arough (f) below. If the <i>private fund</i> uses more than one ad trator. through (f) below. If the <i>private fund</i> uses more than one tely for each administrator. Country:	dministrat

Yes No

^{27.} During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

100%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

Marketers

28. (a) Does the *private fund* use the services of someone other than you or your *employees* for marketing purposes?

Yes No

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

Additional Marketer Information : 1 Record(s) Filed.

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

		Yes	No
(b)) Is the marketer a <i>related person</i> of your firm?	\odot	0
(-)			
	ALLSPRING FUNDS DISTRIBUTOR, LLC		
<i>(</i> 1)			
(d)) If the marketer is registered with the SEC, its file number (<i>e.g.</i> , 801-, 8-, or 866-):		
	8 - 66716		
	and CRD Number (if any):		
	133366		
(e)) Location of the marketer's office used principally by the <i>private fund</i> (city, state and country):		
	City: State: Country:		
	CHARLOTTE North Carolina United States		
		Yes	No
(f)	Does the marketer market the private fund through one or more websites?	0	\odot
(g)) If the answer to question 28.(f) is "yes," list the website address(es):		
	No Information Filed		

A. P	RIVATE FUND						
Info	ormation About the Private Fund						
1.	(a) Name of the private fund:						
	CLOSED-END BOND FUND, A SERIES OF 52	25 MARKET STREET FUND, LLC					
	(b) <i>Private fund</i> identification number: (include the "805-" prefix also)						
	805-1506851587						
2.	Under the laws of what state or country is the	e <i>private fund</i> organized:					
	State:	Country:					
	Delaware	United States					
3.	(a) Name(s) of General Partner, Manager, Tru	ustee, or Directors (or <i>persons</i> serving in a similar capacity):					
	Name of General Partner, Manager, Trustee, or Director						
	ALLSPRING GLOBAL INVESTMENTS, LLC (MAN	AGER)					
	(b) If filing an umbrella registration, identify th	ne filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.					

No Information Filed

4.	The <i>private fund</i> (check all that apply; you must check at least one):		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	o
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	~	~
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	\odot
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
	<i>Private fund</i> identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	r each	n of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially al assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	\odot	0
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmen vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	\odot
0	During your last final year, did the private fund investing equation investment companies registered under the Investment	Yes	
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	©	0
10.	. What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund ⊙ Other priva POOLED INVESTMENT FUND	ite fun	d:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 358,424,021		
<u>Ov</u>	vnership		
12.	. Minimum investment commitment required of an investor in the private fund:		
	\$ 5,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).	ē	

- 13. Approximate number of the *private fund's* beneficial owners:
 - 11

14.	. What is the approximate percentage of the <i>priv</i> 0%	<i>vate fund</i> beneficially owned by you a	nd your <i>related persons</i> :		
15.	. (a) What is the approximate percentage of the 9%	e <i>private fund</i> beneficially owned (in the second s	ne aggregate) by funds of funds:		
				Yes	No
	(b) If the private fund qualifies for the exclusion Company Act of 1940, are sales of the fund		mpany under section 3(c)(1) of the Investment	۲	0
16.	. What is the approximate percentage of the <i>priv</i> 0%	vate fund beneficially owned by non-L	Inited States persons:		
Υοι	ur Advisory Services				
17	(a) Are you a subadviser to this <i>private fund</i> ?			Yes	_
17.			, if any, of the adviser of the <i>private fund</i> . If the ansv	C ver to	©
		No Information Fil	ed		
				Yes	No
18.	(a) Do any investment advisers (other than the	e investment advisers listed in Sectior	7.B.(1).A.3.(b)) advise the <i>private fund</i> ?	0	\odot
	(b) If the answer to question 18.(a) is "yes," put to question 18.(a) is "no," leave this question		, if any, of the other advisers to the <i>private fund</i> . If t	ne answe	er
		No Information Fil	ed		
				Yes	No
19.	. Are your <i>clients</i> solicited to invest in the <i>private</i>			0	\odot
	NOTE: For purposes of this question, do not consi	ider feeder funds of the private fund.			
20.	 Approximately what percentage of your <i>clients</i> 0% 	has invested in the private fund?			
<u>Priv</u>	ivate Offering				
				Yes	No
21.	. Has the <i>private fund</i> ever relied on an exemptio	n from registration of its securities u	nder Regulation D of the Securities Act of 1933?	\odot	0
22.	. If yes, provide the <i>private fund's</i> Form D file nun	nber (if any):			
	Form D file number				
	021-263416				
3. SI	SERVICE PROVIDERS				
Αυσ	ditors				
				Yes	No
23.	(a) (1) Are the <i>private fund's</i> financial statemen	•		\odot	0
	(2) If the answer to question 23.(a)(1) is "y		pared in accordance with U.S. GAAP? n) below. If the <i>private fund</i> uses more than one audi [.]	💿 tina firm	0
	you must complete questions (b) through ('
	Additional Auditor Information : 1 Record	d(s) Filed.			
	If the answer to question 23.(a)(1) is "ye auditing firm, you must complete question		(h) below. If the <i>private fund</i> uses more than one auditing firm.		
	(b) Name of the auditing firm: KPMG LLP				
	(c) The location of the auditing firm's offi	ice responsible for the <i>private fund's</i> a	udit (city, state and country):		
	City:	State:	Country:		
	PHILADELPHIA	Pennsylvania	United States		
	(d) Is the auditing firm an <i>independent pu</i>	ublic accountant?		Yes N	

	If yes, Public Company Accounting Oversight Board-Assigned Number: 185		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	٥	C
		v	′es
	re the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> ivestors?		•
	o all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualifie	ed opini	ions
C	Ves O No O Report Not Yet Received		
lf	you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the repo	ort is av	raila
e Bro	oker		
<u>, о</u>	oes the <i>private fund</i> use one or more prime brokers?		′es
lf	the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. Ind uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.		0 oriva
	No Information Filed		
odian a) Do			′es ⊙
a) Do If	oes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>und</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.		o
a) Do If <i>fu</i>	oes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the		o
) Do If fu A	oes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	ne <i>priva</i>	⊙ ate
) Do If fu A	oes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	ne <i>priva</i>	⊙ ate
) Do If fu A	 oes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the und uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: 	ne <i>priva</i>	⊙ ate
a) Do If fu	 oes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the answer than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: 	ne <i>priva</i>	⊙ ate
a) Do If fu A	oes the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the answer to question 25.(a) is "yes," respond to questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country:	ne <i>priva</i>	⊙ ate
) Do If fu A	 oes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the answer to question 25.(a) is "yes," respond to questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i>'s assets (city, state and country): 	ne <i>priva</i>	© ate
) Do If fu A	oes the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the answer to question 25.(a) is "yes," respond to questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country:	the <i>priva</i>	€ ate vate
a) Do If fu A	 access the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the answer to question 25. (a) is "yes," respond to questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through g) separately for each custodian. (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i>'s assets (city, state and country): City: State: Country: BOSTON Massachusetts United States 	the <i>priva</i>	€ ate vate

26.	(a)	Does	the	private	fund	use an	administrator	other	than	your	firm?
-----	-----	------	-----	---------	------	--------	---------------	-------	------	------	-------

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you

Addi	itional Administra	tor Information : 1 Record(s) Filed.		
11	•	stion 26.(a) is "yes," respond to questions (b) ust complete questions (b) through (f) separat	through (f) below. If the <i>private fund</i> uses more than c tely for each administrator.	one
(b)	Name of administ STATE STREET BA	trator: NK AND TRUST COMPANY		
(c)	Location of admir	nistrator (city, state and country):		
	City:	State:	Country:	
	BOSTON	Massachusetts	United States	
(d)	Is the administra	tor a related person of your firm?		Yes M
(e)		strator prepare and send investor account sta	tements to the <i>private fund's</i> investors? ut not all investors) oNo (provided to no investors)	
(f)	investors? If inve	•	the investor account statements to the (rest of the) <i>pr</i> rest of the) <i>private fund's</i> investors, respond "not appli	
vant cation	quotes, and (ii) th	•	procedure established for that asset, if any, including scriptions, redemptions or distributions, and fee calcula	
ude o vant catior e <u>rs</u>	quotes, and (ii) th ns) was the valuati	e valuation used for purposes of investor subs on determined by such <i>person</i> .	scriptions, redemptions or distributions, and fee calcula	tions (including Ye
ude o vant cation ers Does You r simila	quotes, and (ii) th ns) was the valuati the <i>private fund</i> us must answer "yes" ar <i>person</i> . If the an	e valuation used for purposes of investor subs on determined by such <i>person</i> . se the services of someone other than you or ' whether the <i>person</i> acts as a placement ager swer to question 28.(a) is "yes," respond to q	scriptions, redemptions or distributions, and fee calcula	tions (including Ye other solicitor, c the private fur
ude o vant cation ers Does You r simila uses.	quotes, and (ii) th ns) was the valuati s the <i>private fund</i> us must answer "yes" ar <i>person</i> . If the an . If the <i>private func</i>	e valuation used for purposes of investor subs on determined by such <i>person</i> . se the services of someone other than you or ' whether the <i>person</i> acts as a placement ager swer to question 28.(a) is "yes," respond to q	your <i>employees</i> for marketing purposes? nt, consultant, finder, introducer, municipal advisor or c questions (b) through (g) below for each such marketer	tions (including Ye other solicitor, c the private fur
ude o vant cation TS Does You r simila uses. Addi You or s	quotes, and (ii) th ns) was the valuati the <i>private fund</i> us must answer "yes" ar <i>person</i> . If the an . If the <i>private func</i> itional Marketer I u must answer "ye similar <i>person</i> . If th	e valuation used for purposes of investor subson determined by such <i>person</i> . se the services of someone other than you or whether the <i>person</i> acts as a placement ager swer to question 28.(a) is "yes," respond to q d uses more than one marketer you must comp nformation : 1 Record(s) Filed. es" whether the <i>person</i> acts as a placement agen answer to question 28.(a) is "yes," respond	your <i>employees</i> for marketing purposes? nt, consultant, finder, introducer, municipal advisor or c questions (b) through (g) below for each such marketer	tions (including Ye other solicitor, c r the <i>private fur</i> rketer. r other solicitor keter the <i>privat</i>
ude o vant cation Toes You r simila uses. Addi You or s fun	quotes, and (ii) th hs) was the valuati the <i>private fund</i> us must answer "yes" ar <i>person</i> . If the an . If the <i>private func</i> itional Marketer I u must answer "ye similar <i>person</i> . If th <i>nd</i> uses. If the <i>priva</i>	e valuation used for purposes of investor subson determined by such <i>person</i> . se the services of someone other than you or "whether the <i>person</i> acts as a placement ager swer to question 28.(a) is "yes," respond to a duses more than one marketer you must comp formation : 1 Record(s) Filed. es" whether the <i>person</i> acts as a placement ager answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse and the dust and the dus	scriptions, redemptions or distributions, and fee calcula your <i>employees</i> for marketing purposes? nt, consultant, finder, introducer, municipal advisor or c questions (b) through (g) below for each such marketer plete questions (b) through (g) separately for each mai gent, consultant, finder, introducer, municipal advisor or d to questions (b) through (g) below for each such mar	tions (including Ye other solicitor, of r the <i>private fur</i> rketer. r other solicitor keter the <i>privat</i> each marketer. Yes N
ude o vant cation Toes You r simila uses. Addi You or s fun	quotes, and (ii) th hs) was the valuati the <i>private fund</i> us must answer "yes" ar <i>person</i> . If the an . If the <i>private func</i> itional Marketer I u must answer "ye similar <i>person</i> . If th <i>nd</i> uses. If the <i>priva</i>	e valuation used for purposes of investor subson determined by such <i>person</i> . se the services of someone other than you or whether the <i>person</i> acts as a placement ager swer to question 28.(a) is "yes," respond to q d uses more than one marketer you must comp nformation : 1 Record(s) Filed. es" whether the <i>person</i> acts as a placement agen answer to question 28.(a) is "yes," respond	scriptions, redemptions or distributions, and fee calcula your <i>employees</i> for marketing purposes? nt, consultant, finder, introducer, municipal advisor or c questions (b) through (g) below for each such marketer plete questions (b) through (g) separately for each mai gent, consultant, finder, introducer, municipal advisor or d to questions (b) through (g) below for each such mar	tions (including Ye other solicitor, o r the <i>private fun</i> rketer. r other solicitor, keter the <i>privat</i> each marketer.
ude o vant cation Toes You r simila uses. Addi you or s fun	quotes, and (ii) the mas) was the valuati the <i>private fund</i> us must answer "yes" ar <i>person</i> . If the an . If the <i>private fund</i> itional Marketer I u must answer "yes similar <i>person</i> . If the <i>nd</i> uses. If the <i>priva</i> Is the marketer a Name of the marketer and	e valuation used for purposes of investor subson determined by such <i>person</i> . se the services of someone other than you or 'whether the <i>person</i> acts as a placement ager swer to question 28. (a) is "yes," respond to question 28. (a) is "yes," respond to question 28. (a) is "yes," respond to question 28. (a) is "yes," responded uses more than one marketer you must complete answer to question 28. (a) is "yes," responded at <i>a placement</i> agent to question 28. (a) is "yes," responded at <i>a placement</i> agent agen	scriptions, redemptions or distributions, and fee calcula your <i>employees</i> for marketing purposes? nt, consultant, finder, introducer, municipal advisor or c questions (b) through (g) below for each such marketer plete questions (b) through (g) separately for each mai gent, consultant, finder, introducer, municipal advisor or d to questions (b) through (g) below for each such mar	tions (including Ye other solicitor, of r the <i>private fur</i> rketer. r other solicitor keter the <i>privat</i> each marketer. Yes N
ude o vant cation Does You r simila uses. Addi You or s fun (b) (c)	quotes, and (ii) the private fund uses the private fund uses ar person. If the an If the private funct itional Marketer I u must answer "ye similar person. If the d uses. If the private Is the marketer a Name of the mark	e valuation used for purposes of investor subs on determined by such <i>person</i> . se the services of someone other than you or ' whether the <i>person</i> acts as a placement ager swer to question 28.(a) is "yes," respond to o d uses more than one marketer you must comp nformation : 1 Record(s) Filed. es" whether the <i>person</i> acts as a placement agen answer to question 28.(a) is "yes," respond ate fund uses more than one marketer, you must a <i>related person</i> of your firm? keter:	scriptions, redemptions or distributions, and fee calcula your <i>employees</i> for marketing purposes? nt, consultant, finder, introducer, municipal advisor or c questions (b) through (g) below for each such marketer plete questions (b) through (g) separately for each mar gent, consultant, finder, introducer, municipal advisor or d to questions (b) through (g) below for each such mar ust complete questions (b) through (g) separately for e	tions (including Ye other solicitor, of r the <i>private fur</i> rketer. r other solicitor keter the <i>privat</i> each marketer. Yes N
ude o vant cation Does You r simila uses. Addi You or s fun (b) (c)	quotes, and (ii) the private fund uses the private fund uses ar person. If the and If the private funct itional Marketer I u must answer "yes similar person. If the d uses. If the private Name of the marketer and ALLSPRING FUND	e valuation used for purposes of investor subson determined by such <i>person</i> . se the services of someone other than you or "whether the <i>person</i> acts as a placement ager swer to question 28.(a) is "yes," respond to a "uses more than one marketer you must comp nformation : 1 Record(s) Filed. es" whether the <i>person</i> acts as a placement ager the answer to question 28.(a) is "yes," respond ate fund uses more than one marketer, you must a related person of your firm? keter: S DISTRIBUTOR, LLC s registered with the SEC, its file number (<i>e.g.</i> ,	scriptions, redemptions or distributions, and fee calcula your <i>employees</i> for marketing purposes? nt, consultant, finder, introducer, municipal advisor or c questions (b) through (g) below for each such marketer plete questions (b) through (g) separately for each mar gent, consultant, finder, introducer, municipal advisor or d to questions (b) through (g) below for each such mar ust complete questions (b) through (g) separately for e	tions (including Ye other solicitor, of r the <i>private fur</i> rketer. r other solicitor keter the <i>privat</i> each marketer. Yes N
ude ovant cation Does You r simila uses. Addi (b) (c) (d)	quotes, and (ii) the has) was the valuation at the <i>private fund</i> uses an <i>person</i> . If the and and the <i>private fund</i> itional Marketer I u must answer "yes similar <i>person</i> . If the ad uses. If the <i>private</i> Is the marketer and ALLSPRING FUND If the marketer is 8 - 66716 and CRD Number 133366	e valuation used for purposes of investor subson determined by such <i>person</i> . se the services of someone other than you or "whether the <i>person</i> acts as a placement ager swer to question 28.(a) is "yes," respond to a "uses more than one marketer you must comp nformation : 1 Record(s) Filed. es" whether the <i>person</i> acts as a placement ager the answer to question 28.(a) is "yes," respond ate fund uses more than one marketer, you must a related person of your firm? keter: S DISTRIBUTOR, LLC s registered with the SEC, its file number (<i>e.g.</i> ,	scriptions, redemptions or distributions, and fee calcula your <i>employees</i> for marketing purposes? nt, consultant, finder, introducer, municipal advisor or c questions (b) through (g) below for each such marketer plete questions (b) through (g) separately for each mar gent, consultant, finder, introducer, municipal advisor or d to questions (b) through (g) below for each such mar ust complete questions (b) through (g) separately for e	tions (including Ye other solicitor, of r the <i>private fur</i> rketer. r other solicitor keter the <i>privat</i> each marketer. Yes N
ude ovant cation cation Does You r simila uses. Addi or s fun (b) (c) (d)	quotes, and (ii) the has) was the valuation at the <i>private fund</i> uses an <i>person</i> . If the and and the <i>private fund</i> itional Marketer I u must answer "yes similar <i>person</i> . If the ad uses. If the <i>private</i> Is the marketer and ALLSPRING FUND If the marketer is 8 - 66716 and CRD Number 133366	e valuation used for purposes of investor subson determined by such <i>person</i> . se the services of someone other than you or whether the <i>person</i> acts as a placement ager swer to question 28.(a) is "yes," respond to quest a uses more than one marketer you must comp nformation : 1 Record(s) Filed. es" whether the <i>person</i> acts as a placement ager the answer to question 28.(a) is "yes," respondent ate fund uses more than one marketer, you must a related person of your firm? keter: S DISTRIBUTOR, LLC is registered with the SEC, its file number (<i>e.g.</i> , (if any):	scriptions, redemptions or distributions, and fee calcula your <i>employees</i> for marketing purposes? nt, consultant, finder, introducer, municipal advisor or c questions (b) through (g) below for each such marketer plete questions (b) through (g) separately for each mar gent, consultant, finder, introducer, municipal advisor or d to questions (b) through (g) below for each such mar ust complete questions (b) through (g) separately for e	tions (including Ye other solicitor, of the <i>private fur</i> rketer. r other solicitor keter the <i>priva</i> each marketer. Yes I

(f) Does the marketer market the *private fund* through one or more websites?

0 0

 \odot \circ

(g) If the answer to question 28.(f) is "yes," list the website address(es):

No Information Filed

	RIVATE FUND	
ι. Γ		
<u>nfc</u>	prmation About the Private Fund	
1.	 (a) Name of the <i>private fund</i>: CLOSED-END INTERNATIONAL EQUITY FUND, A SERIES OF 525 MARKET STREET FUND, LLC (b) <i>Private fund</i> identification number: (include the "805-" prefix also) 805-2671255269 	
2.	Under the laws of what state or country is the <i>private fund</i> organized: State: Delaware Country: United States	
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):	
	Name of General Partner, Manager, Trustee, or Director	
	ALLSPRING GLOBAL INVESTMENTS, LLC (MANAGER)	
	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> .	
	No Information Filed	
4.	 The private fund (check all that apply; you must check at least one): ✓ (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 19 ✓ (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 19 	
4 .	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 19 	
	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 19 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 19 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. 	
5.	 Image: Construction of the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 19 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 19 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? 	240
5.	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 19 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 19 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>? 	Yes No.
5.	 Image: Construction of the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 19 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 19 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? 	Yes No.
5.	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 19 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 19 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>? 	Yes No.
5.	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 19 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 19 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>? 	Yes No O C
	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 19 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 19 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>? No Information Filed 	Yes No Yes No
5.	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 19 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 19 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>? No Information Filed (c) Is this a "feeder fund" in a master-feeder arrangement? (d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? 	Yes No Yes No
5.	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 19 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 19 List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered. No Information Filed (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i>? No Information Filed (c) Is this a "feeder fund" in a master-feeder arrangement? (d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i>: <i>Private fund</i>: 	Yes No Yes No Yes No
5.	 (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 19 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 19 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 19 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 19 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 19 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 19 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 19 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 19 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 19 (a) Is this a "master fund" in a master-feeder arrangement? (b) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Sec 	Yes No Yes No O O O

assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued

multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

Yes No

8.	(a) Is this <i>private fund</i> a "fund of funds"?	\odot	0
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	\odot
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	\odot	0
10.	What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund ⊙ Other <i>privat</i> POOLED INVESTMENT VEHICLE	e fun	ıd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 1,200,005,246		
<u>0v</u>	vnership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 1,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 39		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 15%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes ©	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
Yo	ur Advisory Services		
17.		Yes	
17.	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer question 17.(a) is "no," leave this question blank.	O to	O
	No Information Filed		
		Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	\odot
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the a to question 18.(a) is "no," leave this question blank.	answ	er
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
Pri	vate Offering		

Yes No

Fo	ves, provide the <i>private fund's</i> Form D file number (if any): rm D file number		
	1-263418		
SERV	ICE PROVIDERS		
ditor	<u>'S</u>	v	′es N
. (a)	(1) Are the private fund's financial statements subject to an annual audit?		⊙ (
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	(•
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audi you must complete questions (b) through (f) separately for each auditing firm.	ting f	ïrm,
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm: KPMG LLP		
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City:State:Country:PHILADELPHIAPennsylvaniaUnited States		
		Yes	s No
	(d) Is the auditing firm an <i>independent public accountant</i> ?	\odot	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	۲	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 185		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	۲	0
		v	′es N
(g)	Are the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors?		⊙ (
(h)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	opini	ions?
	Yes ○ No ○ Report Not Yet Received		
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	is av	ailable
me l	Broker		
(a)	Does the <i>private fund</i> use one or more prime brokers?		es N
(4)	If the answer to question 24. (a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.		
	No Information Filed		

25. (a) Does the *private fund* use any custodians (including the prime brokers listed above) to hold some or all of its assets?

If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

 \odot \circ

Additional Custodian Information : 1 Record(s) Filed.

		ns (b) through (g) separately for each custodian.	
(b) Legal name of cu STATE STREET B	ustodian: ANK AND TRUST COMPANY		
	s name of custodian: ANK AND TRUST COMPANY		
(d) The location of t	he custodian's office responsible for <i>custody</i>	of the <i>private fund's</i> assets (city, state and country)):
City: BOSTON	State: Massachusetts	Country: United States	
BUSTON	Massachusetts	United States	
(e) Is the custodian	a related person of your firm?		
(f) If the custodian	is a broker-dealer, provide its SEC registrati	on number (if any):	
- CRD Number (if a	any):		
(g) If the custodian <i>identifier</i> (if any)	is not a broker-dealer, or is a broker-dealer	but does not have an SEC registration number, pro	ovide its <i>legal enti</i>
Does the <i>private fund</i> us f the answer to questi	use an administrator other than your firm? fon 26.(a) is "yes," respond to questions (b) ns (b) through (f) separately for each admir	through (f) below. If the <i>private fund</i> uses more than histrator.	an one administra
If the answer to question must complete question	on 26.(a) is "yes," respond to questions (b)		an one administra
Does the <i>private fund</i> u If the answer to questio must complete questio Additional Administra	on 26.(a) is "yes," respond to questions (b) ns (b) through (f) separately for each admir ator Information : 1 Record(s) Filed.	b) through (f) below. If the <i>private fund</i> uses more t	
Does the <i>private fund</i> u If the answer to questio must complete questio Additional Administra	tion 26.(a) is "yes," respond to questions (b) ns (b) through (f) separately for each admir ator Information : 1 Record(s) Filed. stion 26.(a) is "yes," respond to questions (must complete questions (b) through (f) sepa	b) through (f) below. If the <i>private fund</i> uses more t	
Does the <i>private fund</i> uses the <i>private fund</i> uses the answer to question Additional Administra If the answer to que administrator, you mathematical distribution of administrator administrator.	tion 26.(a) is "yes," respond to questions (b) ns (b) through (f) separately for each admir ator Information : 1 Record(s) Filed. stion 26.(a) is "yes," respond to questions (must complete questions (b) through (f) sepa	b) through (f) below. If the <i>private fund</i> uses more t	
Does the <i>private fund</i> uses the <i>private fund</i> uses the answer to question Additional Administra If the answer to que administrator, you mage (b) Name of administrator by the strate of the strate	ton 26.(a) is "yes," respond to questions (b) ns (b) through (f) separately for each admir ator Information : 1 Record(s) Filed. stion 26.(a) is "yes," respond to questions (nust complete questions (b) through (f) sepa strator: ANK AND TRUST COMPANY	b) through (f) below. If the <i>private fund</i> uses more t	
Does the <i>private fund</i> uses the <i>private fund</i> uses the answer to question Additional Administra If the answer to que administrator, you mage (b) Name of administrator by the strate of the strate	Ion 26.(a) is "yes," respond to questions (b) ns (b) through (f) separately for each admir ator Information : 1 Record(s) Filed. stion 26.(a) is "yes," respond to questions (nust complete questions (b) through (f) sepa	b) through (f) below. If the <i>private fund</i> uses more t	
Does the <i>private fund</i> uses the <i>private fund</i> uses the answer to question Additional Administra If the answer to que administrator, you may be administrator, you may be administrated of administrates and the state strate strates administrates and the strates administrates admi	Ion 26. (a) is "yes," respond to questions (b) ns (b) through (f) separately for each admir ator Information : 1 Record(s) Filed. stion 26. (a) is "yes," respond to questions (nust complete questions (b) through (f) sepa strator: ANK AND TRUST COMPANY nistrator (city, state and country):	b) through (f) below. If the <i>private fund</i> uses more t arately for each administrator.	than one
Does the <i>private fund</i> use If the answer to question Additional Administration If the answer to que administrator, you m (b) Name of administrator, you m (c) Location of administration City: BOSTON	Ion 26. (a) is "yes," respond to questions (b) ns (b) through (f) separately for each admir ator Information : 1 Record(s) Filed. stion 26. (a) is "yes," respond to questions (nust complete questions (b) through (f) sepa strator: ANK AND TRUST COMPANY nistrator (city, state and country): State: Massachusetts	histrator. b) through (f) below. If the <i>private fund</i> uses more that an administrator. Country:	than one Υε
Does the <i>private fund</i> use If the answer to question Additional Administration If the answer to que administrator, you m (b) Name of administrator, you m (c) Location of administration City: BOSTON	Ion 26. (a) is "yes," respond to questions (b) ns (b) through (f) separately for each admir ator Information : 1 Record(s) Filed. stion 26. (a) is "yes," respond to questions (hust complete questions (b) through (f) sepa strator: ANK AND TRUST COMPANY nistrator (city, state and country): State:	histrator. b) through (f) below. If the <i>private fund</i> uses more that an administrator. Country:	than one
Does the <i>private fund</i> use if the answer to question Additional Administration If the answer to que administrator, you m (b) Name of administrator, you m (b) Name of administrator, you m (c) Location of administration (c) Location of administration (d) Is the administration	Ion 26. (a) is "yes," respond to questions (b) ns (b) through (f) separately for each admir ator Information : 1 Record(s) Filed. stion 26. (a) is "yes," respond to questions (nust complete questions (b) through (f) sepa strator: ANK AND TRUST COMPANY nistrator (city, state and country): State: Massachusetts	b) through (f) below. If the <i>private fund</i> uses more t arately for each administrator. Country: United States	than one Ye
Does the <i>private fund</i> use If the answer to question Additional Administration If the answer to que administrator, you m (b) Name of administrator, you m (b) Name of administrator, you m (c) Location of administration (c) Location of administration (d) Is the administration (e) Does the administration (c) Loces the adminis	Ion 26. (a) is "yes," respond to questions (b) ns (b) through (f) separately for each admir ator Information : 1 Record(s) Filed. stion 26. (a) is "yes," respond to questions (bust complete questions (b) through (f) sepa strator: ANK AND TRUST COMPANY nistrator (city, state and country): State: Massachusetts ator a <i>related person</i> of your firm? strator prepare and send investor account s	b) through (f) below. If the <i>private fund</i> uses more t arately for each administrator. Country: United States	than one Ye

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

100%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

28. (a) Does the private fund use the services of someone other than you or your employees for marketing purposes?

You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the private fund uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

Yes No

 \circ

 \odot

•			
Additional Marketer I	nformation : 1 Record(s) Filed.		
or similar <i>person</i> . If the	he answer to question 28.(a) is "yes," respond	gent, consultant, finder, introducer, municipal adv I to questions (b) through (g) below for each suc ust complete questions (b) through (g) separately	h marketer the privat
			Yes N
(b) Is the marketer a	a <i>related person</i> of your firm?		•
(c) Name of the mar ALLSPRING FUND	rketer: DS DISTRIBUTOR, LLC		
(d) If the marketer is 8 - 66716	s registered with the SEC, its file number (<i>e.g.</i> ,	801-, 8-, or 866-):	
and CRD Number	(if any)		
133366	(ii airy).		
(e) Location of the m	narketer's office used principally by the <i>private</i>	fund (city, state and country):	
City:	State:	Country:	
CHARLOTTE	North Carolina	United States	
			Yes N
(f) Does the market	er market the <i>private fund</i> through one or mor	e websites?	0 0
(g) If the answer to	question 28.(f) is "yes," list the website addre	formation Filed	
mation About the Private	Fund		
 (a) Name of the <i>private fur</i> CLOSED-END US ALL C<i>i</i> (b) <i>Private fund</i> identification (include the "805-" pre 	AP EQUITY FUND, A SERIES OF 525 MARKET STF on number:	REET FUND, LLC	
805-3868399997			
Under the laws of what sta	ite or country is the <i>private fund</i> organized:		
State:	Country:		
Delaware	United State	2S	
	rtner, Manager, Trustee, or Directors (or person	ns serving in a similar capacity):	
ALLSPRING GLOBAL INVEST	, Manager, Trustee, or Director		
(b) If filing an <i>umbrella reg</i>	<i>sistration,</i> identify the <i>filing adviser</i> and/or <i>relying</i>	<i>g adviser(s)</i> that sponsor(s) or manage(s) this <i>pri</i>	ivate fund.
	No Inform	TATION FILED	
	No Inform		
The private fund (check all t			
	that apply; you must check at least one):		pany Act of 1940
(1) qualifies for the exc	that apply; you must check at least one): clusion from the definition of investment compar	ny under section 3(c)(1) of the Investment Comp ny under section 3(c)(7) of the Investment Comp	-
(1) qualifies for the exc	that apply; you must check at least one): clusion from the definition of investment compar	ny under section 3(c)(1) of the Investment Comp	-

No Information Filed

		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	\odot
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	\odot
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	on 7.B.(1	1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), the feeder funds answer the following questions:	for each	n of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially a assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	it issue	d
8.	(a) Is this <i>private fund</i> a "fund of funds"?	Yes	_
0.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investme vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	O ent	©
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	\circ
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	Yes O	No ©
10.	What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund ⊙ Other prive POOLED INVESTMENT FUND	vate fun	d:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 0		
Ow	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 5,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in t organizational documents of the fund).	he	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 0		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		

15. (a) What is the approximate percentage of the *private fund* beneficially owned (in the aggregate) by funds of funds:0%

		Yes No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	the Investment O O
16.	16. What is the approximate percentage of the <i>private fund</i> beneficially owned by non-United States persons: 0%	
.,		
YOL	Your Advisory Services	Yes No
17.	17. (a) Are you a subadviser to this <i>private fund</i> ?	00
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the pri question 17.(a) is "no," leave this question blank.	vate fund. If the answer to
	No Information Filed	
		Yes No
18.		00
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to to question 18.(a) is "no," leave this question blank.	the <i>private fund</i> . If the answer
	No Information Filed	
		Yes No
19.	19. Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	00
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.	
20.	20. Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%	
Pri	Private Offering	
		Yes No
21.	21. Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securiti	ies Act of 1933? O
22.	22. If yes, provide the <i>private fund's</i> Form D file number (if any):	
	Form D file number 021-504107	
3. SI	SERVICE PROVIDERS	
Auc	Auditors	Yes No
23.	23. (a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. G	
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses	
	you must complete questions (b) through (f) separately for each auditing firm.	
	Additional Auditor Information : 1 Record(s) Filed.	
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> us auditing firm, you must complete questions (b) through (f) separately for each auditing firm.	es more than one
	(b) Name of the auditing firm: KPMG LLP	
	(c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country):	
	City: State: Country:	
	PHILADELPHIA Pennsylvania United States	
	(d) Is the auditing firm an <i>independent public accountant</i> ?	Yes No
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	© 0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 185	

	accordance with its rules?			
			Y	es
) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private</i> investors?	fund's	6	•
)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contai	n unqualifie	d opinio	ons
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response wh	hen the repo	rt is ava	aila
B	Broker		Y	es
)) Does the <i>private fund</i> use one or more prime brokers?		C	0
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	fund uses. I	f the pr	iva
	No Information Filed			
dia			Y	~~
				es
I)) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets?		6	•
1)		d uses. If th	6	•
1)	fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	d uses. If th	6	•
1)	 Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund to private fund to	d uses. If th	6	•
)) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fun fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.		ne <i>priva</i>	• te
1)	 Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fun fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fun</i> 		ne <i>priva</i>	• te
)	 Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fun fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fun fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fun fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fun fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: 		ne <i>priva</i>	• te
1)	 Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fun fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fun fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fun fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: 		ne <i>priva</i>	• te
)) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fun fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fun fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fun fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): City: State: Country: 		ne <i>priva</i>	• te
)) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country): 		the <i>priva</i>	• te vate
)	 Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fun fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fun fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fun fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i>'s assets (city, state and country): City: State: Country: 		ne <i>priva</i>	• te vate
)) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fun fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fun fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fun fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i> 's assets (city, state and country): City: State: BOSTON Massachusetts		the privation of the pr	€ vate
)	 Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i>'s assets (city, state and country): City: State: Country: BOSTON Massachusetts United States (e) Is the custodian a <i>related person</i> of your firm? 		the privation of the pr	€ vate

26. (a) Does the *private fund* use an administrator other than your firm?

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

 \odot \circ

Additional Administrator Information : 1 Record(s) Filed.

	(b) Name of administrat	or:		
	STATE STREET BANK	AND TRUST COMPANY		
	(c) Location of administ	rator (city, state and country):		
	City:	State:	Country:	
	BOSTON	Massachusetts	United States	
				Yes I
	(d) Is the administrator	a related person of your firm?		0
	(e) Does the administra	tor prepare and send investor account stat	ements to the private fund's investors?	
	OYes (provided to a	all investors) \bigcirc Some (provided to some bu	t not all investors) ${\ensuremath{\overline{o}}}$ No (provided to no investors)	
		or account statements are not sent to the (r	the investor account statements to the (rest of the) rest of the) <i>private fund's</i> investors, respond "not ap	
ou 00 ncl	<i>related person</i> ? % ude only those assets when	re (i) such <i>person</i> carried out the valuation	by value) was valued by a <i>person</i> , such as an admir procedure established for that asset, if any, includir criptions, redemptions or distributions, and fee calcu	ng obtaining any
ot				
	ers			V
		the services of someone other than you or :	your <i>employees</i> for marketing purposes?	Ye
	Does the <i>private fund</i> use t You must answer "yes" wh similar <i>person.</i> If the answe	nether the <i>person</i> acts as a placement agen er to question 28.(a) is "yes," respond to qu	your <i>employees</i> for marketing purposes? t, consultant, finder, introducer, municipal advisor o uestions (b) through (g) below for each such marke plete questions (b) through (g) separately for each n	r other solicitor, c ter the <i>private fur</i>
	Does the <i>private fund</i> use t You must answer "yes" wh similar <i>person</i> . If the answe uses. If the <i>private fund</i> us	nether the <i>person</i> acts as a placement agen er to question 28.(a) is "yes," respond to qu	t, consultant, finder, introducer, municipal advisor o uestions (b) through (g) below for each such marke	r other solicitor, c ter the <i>private fur</i>
	Does the <i>private fund</i> use the value of the private fund use the similar person. If the answer uses. If the <i>private fund</i> us Additional Marketer Info You must answer "yes" or similar <i>person</i> . If the answer of the person of the answer the person of the answer the person of the person. If the answer the person of the pe	The the person acts as a placement agen ber to question 28.(a) is "yes," respond to question 28.(a) is "yes," respond to question the marketer you must comport formation : 1 Record(s) Filed.	t, consultant, finder, introducer, municipal advisor o uestions (b) through (g) below for each such marke	r other solicitor, o ter the <i>private fur</i> harketer. or other solicitor arketer the <i>priva</i>
	Does the <i>private fund</i> use the forward of the private fund use the similar person. If the answer uses. If the <i>private fund</i> uses Additional Marketer Info You must answer "yes" or similar <i>person</i> . If the <i>a fund</i> uses. If the <i>private</i> fund uses for the private fund uses.	hether the <i>person</i> acts as a placement agen er to question 28.(a) is "yes," respond to quest es more than one marketer you must comp ormation : 1 Record(s) Filed. whether the <i>person</i> acts as a placement agen answer to question 28.(a) is "yes," respond fund uses more than one marketer, you mu	ent, consultant, finder, introducer, municipal advisor of uestions (b) through (g) below for each such marker olete questions (b) through (g) separately for each n ent, consultant, finder, introducer, municipal advisor to questions (b) through (g) below for each such m	r other solicitor, o ter the <i>private fur</i> harketer. or other solicitor arketer the <i>priva</i>
	Does the <i>private fund</i> use the forward of the private fund use the similar person. If the answer uses. If the <i>private fund</i> uses Additional Marketer Info You must answer "yes" or similar <i>person</i> . If the <i>a fund</i> uses. If the <i>private</i> fund uses for the private fund uses.	The the person acts as a placement agen ber to question 28.(a) is "yes," respond to question 28.(a) is "yes," respond to question the marketer you must comport formation : 1 Record(s) Filed.	ent, consultant, finder, introducer, municipal advisor of uestions (b) through (g) below for each such marker olete questions (b) through (g) separately for each n ent, consultant, finder, introducer, municipal advisor to questions (b) through (g) below for each such m	r other solicitor, o ter the <i>private fur</i> harketer. or other solicitor arketer the <i>privat</i> r each marketer.
	Does the <i>private fund</i> use the forward of the private fund use the similar person. If the answer uses. If the <i>private fund</i> uses Additional Marketer Info You must answer "yes" or similar <i>person</i> . If the <i>a fund</i> uses. If the <i>private</i> fund uses for the private fund uses.	hether the <i>person</i> acts as a placement agen er to question 28.(a) is "yes," respond to quest es more than one marketer you must comp ormation : 1 Record(s) Filed. whether the <i>person</i> acts as a placement agen answer to question 28.(a) is "yes," respond fund uses more than one marketer, you mu plated person of your firm?	ent, consultant, finder, introducer, municipal advisor of uestions (b) through (g) below for each such marker olete questions (b) through (g) separately for each n ent, consultant, finder, introducer, municipal advisor to questions (b) through (g) below for each such m	r other solicitor, o ter the <i>private fur</i> harketer. or other solicitor arketer the <i>privat</i> r each marketer. Yes T
	Does the <i>private fund</i> use the You must answer "yes" where similar <i>person</i> . If the answer uses. If the <i>private fund</i> uses Additional Marketer Info You must answer "yes" where or similar <i>person</i> . If the <i>a fund</i> uses. If the <i>private</i> of the marketer a <i>re</i> (c) Name of the marketer a <i>re</i> ALLSPRING FUNDS D	hether the <i>person</i> acts as a placement agen er to question 28.(a) is "yes," respond to quest es more than one marketer you must comp ormation : 1 Record(s) Filed. whether the <i>person</i> acts as a placement agen answer to question 28.(a) is "yes," respond fund uses more than one marketer, you mu plated person of your firm?	ent, consultant, finder, introducer, municipal advisor of uestions (b) through (g) below for each such marker olete questions (b) through (g) separately for each m ent, consultant, finder, introducer, municipal advisor to questions (b) through (g) below for each such m est complete questions (b) through (g) separately for	r other solicitor, o ter the <i>private fur</i> harketer. or other solicitor arketer the <i>privat</i> r each marketer. Yes T
	Does the <i>private fund</i> use the You must answer "yes" where similar <i>person</i> . If the answer uses. If the <i>private fund</i> uses Additional Marketer Info You must answer "yes" where or similar <i>person</i> . If the <i>a fund</i> uses. If the <i>private</i> of the marketer a <i>re</i> (c) Name of the marketer a <i>re</i> ALLSPRING FUNDS D	hether the <i>person</i> acts as a placement agen er to question 28.(a) is "yes," respond to quest es more than one marketer you must comp ormation : 1 Record(s) Filed. whether the <i>person</i> acts as a placement agen answer to question 28.(a) is "yes," respond fund uses more than one marketer, you mu dated person of your firm? er: DISTRIBUTOR, LLC	ent, consultant, finder, introducer, municipal advisor of uestions (b) through (g) below for each such marker olete questions (b) through (g) separately for each m ent, consultant, finder, introducer, municipal advisor to questions (b) through (g) below for each such m est complete questions (b) through (g) separately for	r other solicitor, o ter the <i>private fur</i> harketer. or other solicitor arketer the <i>privat</i> r each marketer. Yes T
	Does the <i>private fund</i> use the You must answer "yes" where similar <i>person</i> . If the answer uses. If the <i>private fund</i> uses Additional Marketer Info Additional Marketer Info You must answer "yes" where or similar <i>person</i> . If the <i>a fund</i> uses. If the <i>private</i> (b) Is the marketer a <i>re</i> (c) Name of the marketer <i>ALLSPRING FUNDS D</i> (d) If the marketer is re	hether the <i>person</i> acts as a placement agen er to question 28.(a) is "yes," respond to quest es more than one marketer you must comp formation : 1 Record(s) Filed. whether the <i>person</i> acts as a placement agen answer to question 28.(a) is "yes," respond fund uses more than one marketer, you mu plated person of your firm? er: DISTRIBUTOR, LLC gistered with the SEC, its file number (<i>e.g.</i> ,	ent, consultant, finder, introducer, municipal advisor of uestions (b) through (g) below for each such marker olete questions (b) through (g) separately for each m ent, consultant, finder, introducer, municipal advisor to questions (b) through (g) below for each such m est complete questions (b) through (g) separately for	r other solicitor, o ter the <i>private fur</i> harketer. or other solicitor arketer the <i>privat</i> r each marketer. Yes T
	Does the <i>private fund</i> use the You must answer "yes" where similar <i>person</i> . If the answer uses. If the <i>private fund</i> uses and the private fund uses. If the <i>private fund</i> uses are similar <i>person</i> . If the <i>a fund</i> uses. If the <i>private</i> (b) Is the marketer a <i>re</i> (c) Name of the marketer is reflected and CRD Number (if 133366).	hether the <i>person</i> acts as a placement agen er to question 28.(a) is "yes," respond to quest es more than one marketer you must comp formation : 1 Record(s) Filed. whether the <i>person</i> acts as a placement agen answer to question 28.(a) is "yes," respond fund uses more than one marketer, you mu plated person of your firm? er: DISTRIBUTOR, LLC gistered with the SEC, its file number (<i>e.g.</i> ,	 att, consultant, finder, introducer, municipal advisor of uestions (b) through (g) below for each such marker elete questions (b) through (g) separately for each marker of the questions (b) through (g) below for each such marker to questions (b) through (g) below for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) below for each such marker to questions (b) through (g) below for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (g) separately for each marker to questions (g) separately for each such marker to quest	r other solicitor, o ter the <i>private fur</i> harketer. or other solicitor arketer the <i>privat</i> r each marketer. Yes T
	Does the <i>private fund</i> use the You must answer "yes" where similar <i>person</i> . If the answer uses. If the <i>private fund</i> uses and the private fund uses. If the <i>private fund</i> uses are similar <i>person</i> . If the area fund uses. If the <i>private</i> (b) Is the marketer area fund uses. If the <i>private</i> (c) Name of the marketer area fund and CRD Number (if 133366) (e) Location of the mark City:	hether the <i>person</i> acts as a placement agen er to question 28.(a) is "yes," respond to question 28.(a) is "yes," respond to question : 1 Record(s) Filed . whether the <i>person</i> acts as a placement agent answer to question 28.(a) is "yes," respond fund uses more than one marketer, you mu <i>lated person</i> of your firm? er: DISTRIBUTOR, LLC gistered with the SEC, its file number (<i>e.g.</i> , any): seter's office used principally by the <i>private i</i> State:	 att, consultant, finder, introducer, municipal advisor of uestions (b) through (g) below for each such marker olete questions (b) through (g) separately for each marker to questions (b) through (g) below for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (b) through (g) separately for each such mist complete questions (g) separately for each such mist c	r other solicitor, o ter the <i>private fur</i> harketer. or other solicitor arketer the <i>privat</i> r each marketer. Yes T
	Does the <i>private fund</i> use the You must answer "yes" where similar <i>person</i> . If the answer uses. If the <i>private fund</i> uses and the private fund uses. If the <i>private fund</i> uses are similar <i>person</i> . If the area fund uses. If the <i>private</i> (b) Is the marketer a <i>re</i> (c) Name of the marketer is refund and CRD Number (if 133366) (e) Location of the marketer marketer (c) the marketer (c) Number	hether the <i>person</i> acts as a placement agen er to question 28.(a) is "yes," respond to quest es more than one marketer you must comp formation : 1 Record(s) Filed. whether the <i>person</i> acts as a placement agen swer to question 28.(a) is "yes," respond fund uses more than one marketer, you mu <i>lated person</i> of your firm? er: DISTRIBUTOR, LLC gistered with the SEC, its file number (<i>e.g.</i> , any):	 at, consultant, finder, introducer, municipal advisor of uestions (b) through (g) below for each such marker olete questions (b) through (g) separately for each marker of the questions (b) through (g) below for each such marker to questions (b) through (g) below for each such marker to questions (b) through (g) below for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) below for each such marker to questions (b) through (g) below for each such marker to questions (b) through (g) below for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such marker to questions (b) through (g) separately for each such as the provide the provide to questions (b) through (g) separately for each such as the provide to questions (g) through (g) separately for each such as the provide to questions (g) through (g) separately for each such as the provide to questions (g) through (g) separately for each such as the provide to questions (g) through (g) separately for each such as the provide to questions (g) through (g) separately for each such as the provide to questions (g) through (g) through (g) separately for each such as the provide to questions (g) through (g) through (or other solicitor, of harketer.
	Does the <i>private fund</i> use the You must answer "yes" whe similar <i>person</i> . If the answer uses. If the <i>private fund</i> uses Additional Marketer Infor You must answer "yes" who or similar <i>person</i> . If the <i>a</i> <i>fund</i> uses. If the <i>private</i> (b) Is the marketer a <i>re</i> (c) Name of the marketer ALLSPRING FUNDS D (d) If the marketer is re 8 - 66716 and CRD Number (if 133366 (e) Location of the mark City: CHARLOTTE	hether the <i>person</i> acts as a placement agen er to question 28.(a) is "yes," respond to question 28.(a) is "yes," respond to question : 1 Record(s) Filed . whether the <i>person</i> acts as a placement agent answer to question 28.(a) is "yes," respond fund uses more than one marketer, you mu <i>lated person</i> of your firm? er: DISTRIBUTOR, LLC gistered with the SEC, its file number (<i>e.g.</i> , any): seter's office used principally by the <i>private i</i> State:	att, consultant, finder, introducer, municipal advisor of uestions (b) through (g) below for each such marker of the questions (b) through (g) separately for each metry to questions (b) through (g) below for each such metry for each such metry (g) separately (g) separately (g) separately (g) separately for each such metry (g) separately (r other solicitor, o ter the <i>private fur</i> harketer. or other solicitor arketer the <i>privat</i> r each marketer. Yes T
	Does the <i>private fund</i> use the You must answer "yes" whe similar <i>person</i> . If the answer uses. If the <i>private fund</i> uses Additional Marketer Infor You must answer "yes" who or similar <i>person</i> . If the <i>a</i> <i>fund</i> uses. If the <i>private</i> (b) Is the marketer a <i>re</i> (c) Name of the marketer ALLSPRING FUNDS D (d) If the marketer is re 8 - 66716 and CRD Number (if 133366 (e) Location of the mark City: CHARLOTTE	hether the <i>person</i> acts as a placement agenter to question 28.(a) is "yes," respond to question 28.(a) is "yes," respond to question : 1 Record(s) Filed. whether the <i>person</i> acts as a placement agents are to question 28.(a) is "yes," respond fund uses more than one marketer, you must compare that one marketer, you must allow the person of your firm? er: DISTRIBUTOR, LLC gistered with the SEC, its file number (<i>e.g.</i> , any): seter's office used principally by the <i>private a</i> State: North Carolina	att, consultant, finder, introducer, municipal advisor of uestions (b) through (g) below for each such marker of the questions (b) through (g) separately for each metry to questions (b) through (g) below for each such metry for each such metry (g) separately (g) separately (g) separately (g) separately for each such metry (g) separately (or other solicitor, of harketer. or other solicitor arketer the privat reach marketer. Yes I •
	Does the <i>private fund</i> use the You must answer "yes" whe similar <i>person</i> . If the answer uses. If the <i>private fund</i> uses Additional Marketer Information You must answer "yes" of or similar <i>person</i> . If the <i>a</i> <i>fund</i> uses. If the <i>private</i> (b) Is the marketer a <i>re</i> (c) Name of the marketer ALLSPRING FUNDS D (d) If the marketer is re 8 - 66716 and CRD Number (if 133366 (e) Location of the mark City: CHARLOTTE (f) Does the marketer re	hether the <i>person</i> acts as a placement agenter to question 28.(a) is "yes," respond to question 28.(a) is "yes," respond to question : 1 Record(s) Filed. whether the <i>person</i> acts as a placement agents are to question 28.(a) is "yes," respond fund uses more than one marketer, you must compare that one marketer, you must allow the person of your firm? er: DISTRIBUTOR, LLC gistered with the SEC, its file number (<i>e.g.</i> , any): seter's office used principally by the <i>private a</i> State: North Carolina	<pre>it, consultant, finder, introducer, municipal advisor o uestions (b) through (g) below for each such marker blete questions (b) through (g) separately for each n ent, consultant, finder, introducer, municipal advisor to questions (b) through (g) below for each such m st complete questions (b) through (g) separately for st complete questions (b) through (g) separately for 801-, 8-, or 866-): fund (city, state and country): Country: United States e websites?</pre>	or other solicitor, of harketer. or other solicitor arketer the privat reach marketer. Yes I •

A. F	PRIVATE FUND		
<u>Info</u>	ormation About the Private Fund		
1.	 (a) Name of the <i>private fund</i>: EMERGING MARKETS EQUITY FUND, A SERIES OF 525 MARKET STREET FUND, LLC (b) <i>Private fund</i> identification number: (include the "805-" prefix also) 805-8333146502 		
2.	Under the laws of what state or country is the private fund organized:		
	State: Country: Delaware United States		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	ALLSPRING GLOBAL INVESTMENTS, LLC (MANAGER)		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
4.	 The private fund (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	\odot
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	o
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :	~	~
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Sectior for the master-feeder arrangement or reporting on the funds separately.	ı 7.B.([1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	or eac	h of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially a assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if is multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	t issue	ed
8.	(a) Is this <i>private fund</i> a "fund of funds"?	Yes	-
υ.	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmer	o nt	o
	vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	-	
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0

		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	\odot	0
10.	What type of fund is the <i>private fund</i> ?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund ⊙ Other private POOLED INVESTMENT FUND	nte fun	d:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 952,949,181		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 5,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in th organizational documents of the fund).	9	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 32		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 41%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes Ö	No O
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
<u>Yo</u> ı	ur Advisory Services		
		Yes	No
17.	(a) Are you a subadviser to this <i>private fund</i> ?	0	\odot
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	to	
	No Information Filed		
10		Yes	No
18.	 (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i>? (b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>. If the to question 18.(a) is "no," leave this question blank. 	O answ	© er
	No Information Filed		
		Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NOTE: For purposes of this question, do not consider feeder funds of the private fund.		
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
<u>Priv</u>	vate Offering	Yes	No
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	©	0
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number		
	021-263421		

R	SERVICE	PROVIDERS

2				
			Yes No	,
(1) Are the private fund's financial st	atements subject to an annual audit?		\circ \circ	
(2) If the answer to question 23.(a)	(1) is "yes," are the financial statements	prepared in accordance with U.S. GAAP?	• •	
			auditing firm,	
Additional Auditor Information : 1	Record(s) Filed.			
			ne	
(b) Name of the auditing firm:				
KPMG LLP				
(c) The location of the auditing fir	m's office responsible for the <i>private fund</i>	's audit (city, state and country):		
City:	State:	Country:		
	 (2) If the answer to question 23.(a) If the answer to question 23.(a)(1) you must complete questions (b) the Additional Auditor Information : 1 Additional Auditor Information 23.(a)(1) auditing firm, you must complete at the auditing firm. KPMG LLP (c) The location of the auditing firm 	 (1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements If the answer to question 23.(a)(1) is "yes," respond to questions (b) throug you must complete questions (b) through (f) separately for each auditing firm Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) throu auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm, you must complete questions (b) through (f) separately for each auditing firm: KPMG LLP (c) The location of the auditing firm's office responsible for the <i>private fund</i> City: State: 	 (1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than on auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: KPMG LLP (c) The location of the auditing firm's office responsible for the <i>private fund</i>'s audit (city, state and country): City: State: Country: 	Yes Note (1) Are the private fund's financial statements subject to an annual audi? Image: Constraint of the private fund's financial statements prepared in accordance with U.S. GAAP? Image: Constraint of the private fund uses more than one auditing firm. you must complete question 23. (a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm. you must complete question 23. (a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: KPMG LLP (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): KPMG LLP (c) The location of the auditing firm's office responsible for the private fund's audit (city, state and country): KPMG LLP

PH	IILADELPHIA	Pennsylvania	United States		
				Yes	No
(d) Is	the auditing firm an independent public act	countant?		\odot	0
(e) Is	the auditing firm registered with the Publ	c Company Accounting Oversight Board?		©	0
lf <u>1</u>	yes, Public Company Accounting Oversigh 5	t Board-Assigned Number:			
	"yes" to (e) above, is the auditing firm sul cordance with its rules?	oject to regular inspection by the Public C	ompany Accounting Oversight Board in	©	0

		Yes	No
(g)	Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> investors?	\odot	0
(h)	Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified op	inions	s?

⊙ Yes ○ No ○ Report Not Yet Received

If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.

Prime Broker

24. (a) Does the *private fund* use one or more prime brokers?

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the *private fund* uses. If the *private fund* uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.

No Information Filed

Custodian

25. (a) Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets?

If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

Additional Custodian Information : 1 Record(s) Filed.

If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

Yes No

0

 \odot

Yes No

0 0

	(b) Legal name of c STATE STREET B	ustodian: ANK AND TRUST COMPANY		
	•	s name of custodian: ANK AND TRUST COMPANY		
	(d) The location of t City:	ne custodian's office responsible for <i>custody</i> o State:	f the <i>private fund's</i> assets (city, state and country): Country:	
	BOSTON	Massachusetts	United States	
				Yes No
	(e) Is the custodian	a <i>related person</i> of your firm?		00
	(f) If the custodian	is a broker-dealer, provide its SEC registration	number (if any):	
	- CRD Number (if	any):		
	(g) If the custodian <i>identifier</i> (if any)		ut does not have an SEC registration number, provide its <i>lega</i>	al entity
Adminis	strator			
Admini				Yes No
26. (a)	Does the private fund	use an administrator other than your firm?		• •
		ion 26.(a) is "yes," respond to questions (b) t ons (b) through (f) separately for each adminis	hrough (f) below. If the <i>private fund</i> uses more than one adm strator.	inistrator, you
	Additional Administr	ator Information : 1 Record(s) Filed.		
	(b) Name of adminis	nust complete questions (b) through (f) separa strator: ANK AND TRUST COMPANY	tely for each administrator.	
	(c) Location of odre	inistrator (sity, state and sountry).		
	(c) Location of adm City:	inistrator (city, state and country): State:	Country:	
	BOSTON	Massachusetts	United States	
				Yes No
	(d) Is the administr	ator a <i>related person</i> of your firm?		00
	(e) Does the admin	istrator prepare and send investor account sta	atements to the <i>private fund</i> 's investors?	
			put not all investors) \odot No (provided to no investors)	
	investors? If inv	• • • • •	s the investor account statements to the (rest of the) <i>private</i> (rest of the) <i>private</i> (rest of the) <i>private fund's</i> investors, respond "not applicable.	
27 Dur	ring your last fiscal you	r what perceptage of the private fund's assets	(by value) was valued by a <i>person</i> , such as an administrator	that is not
	ir related person?	, what percentage of the private rand s assets		
rele	evant quotes, and (ii) t	•	n procedure established for that asset, if any, including obtain scriptions, redemptions or distributions, and fee calculations	
Market	ers			1/B*
28. (a)	Does the <i>private fund</i>	use the services of someone other than you o	your <i>employees</i> for marketing purposes?	Yes No
. /			ent, consultant, finder, introducer, municipal advisor or other s	

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

c	r similar person. If the answer to que	estion 28.(a) is "yes," respond to qu	consultant, finder, introducer, municipal advisor (uestions (b) through (g) below for each such ma mplete questions (b) through (g) separately for	arketer the <i>private</i>
				Yes N
(b) Is the marketer a <i>related person</i> c	of your firm?		•
(c) Name of the marketer: ALLSPRING FUNDS DISTRIBUTOR,	LLC		
(d) If the marketer is registered with 8 - 66716 	the SEC, its file number (<i>e.g.</i> , 801-	, 8-, or 866-):	
	and CRD Number (if any): 133366			
(e) Location of the marketer's office u	used principally by the private fund	(city, state and country):	
	City:	State:	Country:	
	CHARLOTTE	North Carolina	United States	
				Yes N
	f) Does the marketer market the pro-	ivate fund through one or more wel	bsites?	0 0
(g) If the answer to question 28.(f) is	-		
		No Informa	tion Filed	
	UND About the Private Fund			
(a) Na EM (b) <i>Pri</i>		JND, A SERIES OF 525 MARKET STRI	EET FUND, LLC	
(a) Nai EM (b) <i>Prin</i> (ind	<u>About the Private Fund</u> me of the <i>private fund</i> : ERGING MARKETS EQUITY INCOME FL vate fund identification number:	JND, A SERIES OF 525 MARKET STRI	EET FUND, LLC	
(a) Na EM (b) <i>Pri</i> v (in 80!	<u>About the Private Fund</u> me of the private fund: ERGING MARKETS EQUITY INCOME FL vate fund identification number: clude the "805-" prefix also)		EET FUND, LLC	
a) Na EM b) <i>Pri</i> v (in 80!	About the Private Fund me of the private fund: ERGING MARKETS EQUITY INCOME FU vate fund identification number: clude the "805-" prefix also) 5-7137808445		EET FUND, LLC	
a) Na EM b) <i>Priv</i> (in 80! Jnder 1	About the Private Fund me of the private fund: ERGING MARKETS EQUITY INCOME FU vate fund identification number: clude the "805-" prefix also) 5-7137808445	he <i>private fund</i> organized:	EET FUND, LLC	
a) Na EM b) <i>Pri</i> (in 80! Jnder 1 Sta De	About the Private Fund me of the private fund: ERGING MARKETS EQUITY INCOME FL vate fund identification number: clude the "805-" prefix also) 5-7137808445 the laws of what state or country is t te: laware	he <i>private fund</i> organized: Country: United States		
a) Nai EM b) <i>Priv</i> (in 809 Jnder 1 Sta De a) Nai	About the Private Fund me of the private fund: ERGING MARKETS EQUITY INCOME FU vate fund identification number: clude the "805-" prefix also) 5-7137808445 the laws of what state or country is t ite: laware me(s) of General Partner, Manager, T	he <i>private fund</i> organized: Country: United States ^T rustee, or Directors (or <i>persons</i> ser		
a) Nai EM (in 80! Jnder 1 Sta De a) Nai	About the Private Fund me of the private fund: ERGING MARKETS EQUITY INCOME FL vate fund identification number: clude the "805-" prefix also) 5-7137808445 the laws of what state or country is t ite: laware me(s) of General Partner, Manager, T of General Partner, Manager, Trust	he <i>private fund</i> organized: Country: United States Trustee, or Directors (or <i>persons</i> ser		
a) Nai EM b) <i>Priv</i> (in 80! Jnder f Sta De a) Nai	About the Private Fund me of the private fund: ERGING MARKETS EQUITY INCOME FU vate fund identification number: clude the "805-" prefix also) 5-7137808445 the laws of what state or country is t ite: laware me(s) of General Partner, Manager, T	he <i>private fund</i> organized: Country: United States Trustee, or Directors (or <i>persons</i> ser		
a) Nar EM b) <i>Priv</i> (in 80! Jnder 1 Sta De a) Nar ALLSPF	About the Private Fund me of the private fund: ERGING MARKETS EQUITY INCOME FL vate fund identification number: clude the "805-" prefix also) 5-7137808445 the laws of what state or country is t ite: laware me(s) of General Partner, Manager, T of General Partner, Manager, Trust RING GLOBAL INVESTMENTS, LLC (MA	the <i>private fund</i> organized: Country: United States Trustee, or Directors (or <i>persons</i> ser te e, or Director NAGER)	rving in a similar capacity):	fund
a) Nar EM (ind 80! Inder 1 Sta De a) Nar ALLSPF	About the Private Fund me of the private fund: ERGING MARKETS EQUITY INCOME FL vate fund identification number: clude the "805-" prefix also) 5-7137808445 the laws of what state or country is t ite: laware me(s) of General Partner, Manager, T of General Partner, Manager, Trust RING GLOBAL INVESTMENTS, LLC (MA	the <i>private fund</i> organized: Country: United States rustee, or Directors (or <i>persons</i> ser ree, or Director NAGER) the <i>filing adviser</i> and/or <i>relying advi</i>	rving in a similar capacity): <i>iser(s)</i> that sponsor(s) or manage(s) this <i>private</i>	fund.
a) Nar EM b) <i>Priv</i> (in 80! Jnder 1 Sta De a) Nar ALLSPF	About the Private Fund me of the private fund: ERGING MARKETS EQUITY INCOME FL vate fund identification number: clude the "805-" prefix also) 5-7137808445 the laws of what state or country is t ite: laware me(s) of General Partner, Manager, T of General Partner, Manager, Trust RING GLOBAL INVESTMENTS, LLC (MA	the <i>private fund</i> organized: Country: United States Trustee, or Directors (or <i>persons</i> ser te e, or Director NAGER)	rving in a similar capacity): <i>iser(s)</i> that sponsor(s) or manage(s) this <i>private</i>	fund.
a) Nai EM b) <i>Priv</i> (in 80! Jnder f Sta De a) Nai ALLSPF b) If f	About the Private Fund me of the private fund: ERGING MARKETS EQUITY INCOME FL vate fund identification number: clude the "805-" prefix also) 5-7137808445 the laws of what state or country is the te: laware me(s) of General Partner, Manager, Trust RING GLOBAL INVESTMENTS, LLC (MA illing an umbrella registration, identify	the <i>private fund</i> organized: Country: United States Trustee, or Directors (or <i>persons</i> ser see, or Director NAGER) the <i>filing adviser</i> and/or <i>relying advi</i> No Information	rving in a similar capacity): <i>iser(s)</i> that sponsor(s) or manage(s) this <i>private</i>	fund.
a) Nai EM b) <i>Priv</i> (in 80! Jnder 1 Sta De a) Nai ALLSPF b) If f	About the Private Fund me of the private fund: ERGING MARKETS EQUITY INCOME FU- vate fund identification number: clude the "805-" prefix also) 5-7137808445 the laws of what state or country is the te: laware me(s) of General Partner, Manager, T of General Partner, Manager, Trust RING GLOBAL INVESTMENTS, LLC (MA illing an umbrella registration, identify wate fund (check all that apply; you m	the <i>private fund</i> organized: Country: United States Trustee, or Directors (or <i>persons</i> ser see, or Director NAGER) the <i>filing adviser</i> and/or <i>relying advi</i> No Information	rving in a similar capacity): <i>iser(s)</i> that sponsor(s) or manage(s) this <i>private</i> n Filed	
a) Nai EM (ind 80! Inder 1 Sta De a) Nai ALLSPF b) If f	About the Private Fund me of the private fund: ERGING MARKETS EQUITY INCOME FL vate fund identification number: clude the "805-" prefix also) 5-7137808445 the laws of what state or country is t ite: laware me(s) of General Partner, Manager, T of General Partner, Manager, Trust RING GLOBAL INVESTMENTS, LLC (MA illing an umbrella registration, identify vate fund (check all that apply; you m qualifies for the exclusion from the d	the <i>private fund</i> organized: Country: United States Trustee, or Directors (or <i>persons</i> ser ree, or Director NAGER) the <i>filing adviser</i> and/or <i>relying advi</i> No Information	rving in a similar capacity): <i>iser(s)</i> that sponsor(s) or manage(s) this <i>private</i> n Filed ider section 3(c)(1) of the Investment Company <i>i</i>	Act of 1940
a) Nai EM (in 80! Jnder f Sta De a) Nai ALLSPF b) If f	About the Private Fund me of the private fund: ERGING MARKETS EQUITY INCOME FL vate fund identification number: clude the "805-" prefix also) 5-7137808445 the laws of what state or country is t ite: laware me(s) of General Partner, Manager, T of General Partner, Manager, Trust RING GLOBAL INVESTMENTS, LLC (MA illing an umbrella registration, identify vate fund (check all that apply; you m qualifies for the exclusion from the d	the <i>private fund</i> organized: Country: United States Trustee, or Directors (or <i>persons</i> ser ree, or Director NAGER) the <i>filing adviser</i> and/or <i>relying advi</i> No Information	rving in a similar capacity): <i>iser(s)</i> that sponsor(s) or manage(s) this <i>private</i> n Filed	Act of 1940
 a) Nai EM b) <i>Priv</i> (indention 1) 809 Juder 1 Standard Research (1) a) Nai ALLSPF b) If f The <i>pri</i> The <i>pri</i> (1) (2)	About the Private Fund me of the private fund: ERGING MARKETS EQUITY INCOME FL vate fund identification number: clude the "805-" prefix also) 5-7137808445 the laws of what state or country is t ite: laware me(s) of General Partner, Manager, T of General Partner, Manager, Trust RING GLOBAL INVESTMENTS, LLC (MA illing an umbrella registration, identify vate fund (check all that apply; you m qualifies for the exclusion from the d qualifies for the exclusion from the d	the <i>private fund</i> organized: Country: United States Trustee, or Directors (or <i>persons</i> ser see, or Director NAGER) the <i>filing adviser</i> and/or <i>relying advi</i> No Information nust check at least one): lefinition of investment company un	rving in a similar capacity): <i>iser(s)</i> that sponsor(s) or manage(s) this <i>private</i> in Filed ider section 3(c)(1) of the Investment Company <i>i</i> ider section 3(c)(7) of the Investment Company <i>i</i>	Act of 1940
 a) Nai EM b) <i>Priv</i> (inder 1) 809 Jinder 1 Standard De a) Nai ALLSPF b) If f The <i>pri</i> The <i>pri</i> The <i>pri</i> (1) The (2)	About the Private Fund me of the private fund: ERGING MARKETS EQUITY INCOME FL vate fund identification number: clude the "805-" prefix also) 5-7137808445 the laws of what state or country is t ite: laware me(s) of General Partner, Manager, T of General Partner, Manager, Trust RING GLOBAL INVESTMENTS, LLC (MA illing an umbrella registration, identify vate fund (check all that apply; you m qualifies for the exclusion from the d qualifies for the exclusion from the d	the <i>private fund</i> organized: Country: United States Trustee, or Directors (or <i>persons</i> ser ree, or Director NAGER) the <i>filing adviser</i> and/or <i>relying advi</i> No Information hust check at least one): lefinition of investment company un lefinition of investment company un	rving in a similar capacity): <i>iser(s)</i> that sponsor(s) or manage(s) this <i>private</i> in Filed ider section 3(c)(1) of the Investment Company <i>i</i> ider section 3(c)(7) of the Investment Company <i>i</i> ider section 3(c)(7) of the Investment Company <i>i</i>	Act of 1940
 a) Nai EM b) <i>Priv</i> (inder 1) 809 Jinder 1 Standard De a) Nai ALLSPF b) If f The <i>pri</i> The <i>pri</i> The <i>pri</i> (1) The (2)	About the Private Fund me of the private fund: ERGING MARKETS EQUITY INCOME FL vate fund identification number: clude the "805-" prefix also) 5-7137808445 the laws of what state or country is t ite: laware me(s) of General Partner, Manager, T of General Partner, Manager, Trust RING GLOBAL INVESTMENTS, LLC (MA illing an umbrella registration, identify vate fund (check all that apply; you m qualifies for the exclusion from the d qualifies for the exclusion from the d	the <i>private fund</i> organized: Country: United States Trustee, or Directors (or <i>persons</i> ser see, or Director NAGER) the <i>filing adviser</i> and/or <i>relying advi</i> No Information nust check at least one): lefinition of investment company un	rving in a similar capacity): <i>iser(s)</i> that sponsor(s) or manage(s) this <i>private</i> in Filed ider section 3(c)(1) of the Investment Company <i>i</i> ider section 3(c)(7) of the Investment Company <i>i</i> ider section 3(c)(7) of the Investment Company <i>i</i>	Act of 1940
a) Nar EM b) Priv (ind 80! Inder 1 Sta De a) Nar ALLSPF b) If f he pri (1) (2) ist the	About the Private Fund me of the private fund: ERGING MARKETS EQUITY INCOME FL vate fund identification number: clude the "805-" prefix also) 5-7137808445 the laws of what state or country is the te: laware me(s) of General Partner, Manager, The of General Partner, Manager, Trust RING GLOBAL INVESTMENTS, LLC (MA illing an umbrella registration, identify vate fund (check all that apply: you me qualifies for the exclusion from the de qualifies for the exclusion from the de name and country, in English, of eace	the <i>private fund</i> organized: Country: United States Trustee, or Directors (or <i>persons</i> ser see, or Director NAGER) the <i>filing adviser</i> and/or <i>relying advi</i> No Information hust check at least one): lefinition of investment company un lefinition of investment company un ch <i>foreign financial regulatory author</i> No Information	rving in a similar capacity): <i>iser(s)</i> that sponsor(s) or manage(s) this <i>private</i> in Filed ider section 3(c)(1) of the Investment Company <i>i</i> ider section 3(c)(7) of the Investment Company <i>i</i> ider section 3(c)(7) of the Investment Company <i>i</i>	Act of 1940
a) Nai EM (ind 80! nder 1 Sta De a) Nai ALLSPF b) If f	About the Private Fund me of the private fund: ERGING MARKETS EQUITY INCOME FL vate fund identification number: clude the "805-" prefix also) 5-7137808445 the laws of what state or country is t ite: laware me(s) of General Partner, Manager, T of General Partner, Manager, Trust RING GLOBAL INVESTMENTS, LLC (MA illing an umbrella registration, identify vate fund (check all that apply; you m qualifies for the exclusion from the d qualifies for the exclusion from the d	the <i>private fund</i> organized: Country: United States Trustee, or Directors (or <i>persons</i> ser see, or Director NAGER) the <i>filing adviser</i> and/or <i>relying advi</i> No Information hust check at least one): lefinition of investment company un lefinition of investment company un ch <i>foreign financial regulatory author</i> No Information	rving in a similar capacity): <i>iser(s)</i> that sponsor(s) or manage(s) this <i>private</i> in Filed ider section 3(c)(1) of the Investment Company <i>i</i> ider section 3(c)(7) of the Investment Company <i>i</i> ider section 3(c)(7) of the Investment Company <i>i</i>	Act of 1940 Act of 1940

No Information Filed

(c) Is this a "feeder fund" in a master-feeder arrangement?	(C)	Is this	a "feeder	fund" in a	master-feeder	arrangement?
---	-----	---------	-----------	------------	---------------	--------------

(d) If yes, what is the name and *private fund* identification number (if any) of the master fund in which this *private fund* invests? Name of *private fund*:

Private fund identification number: (include the "805-" prefix also)

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

No Information Filed

NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

8. (a) Is this *private fund* a "fund of funds"?
 NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also *private funds* or registered investment companies.

(b) If yes, does the *private fund* invest in funds managed by you or by a *related person*?

9. During your last fiscal year, did the *private fund* invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?

10. What type of fund is the *private fund*?

O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund ⊙ Other *private fund*: POOLED INVESTMENT FUND

NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.

11. Current gross asset value of the private fund:

\$ 287,364,938

Ownership

12. Minimum investment commitment required of an investor in the private fund:

\$ 5,000,000

NOTE: Report the amount routinely required of investors who are not your *related persons* (even if different from the amount set forth in the organizational documents of the fund).

13. Approximate number of the *private fund's* beneficial owners:

12

14. What is the approximate percentage of the *private fund* beneficially owned by you and your *related persons*:0%

15. (a) What is the approximate percentage of the *private fund* beneficially owned (in the aggregate) by funds of funds: 1%

- (b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to *qualified clients*?
- 16. What is the approximate percentage of the *private fund* beneficially owned by non-*United States persons*:

Yes No

0 0

 \circ

Yes No

Yes No

 \circ

Your Advisory Services

17. (a) Are you a subadviser to this private fund?

Yes No \odot

Yes No

 $^{\circ}$

(b) If the answer to question 17. (a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answer to question 17.(a) is "no," leave this question blank.

No Information Filed

- 18. (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund? \odot \odot
 - (b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund. If the answer to question 18.(a) is "no," leave this question blank.
- No Information Filed Yes No 19. Are your *clients* solicited to invest in the *private fund*? 0 \odot NOTE: For purposes of this question, do not consider feeder funds of the private fund. 20. Approximately what percentage of your *clients* has invested in the *private fund*? 0% Private Offering Yes No 21. Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933? \odot 0
- 22. If yes, provide the *private fund's* Form D file number (if any):

-

B. SERVICE PROVIDERS

Auditors

Yes No 23. (a) (1) Are the private fund's financial statements subject to an annual audit? \odot 0 (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? \odot 0 If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the private fund uses more than one auditing firm,

you must complete questions (b) through (f) separately for each auditing firm.

	1) is "yes," respond to questions (b) throu questions (b) through (f) separately for ea	gh (h) below. If the <i>private fund</i> uses more than one ch auditing firm.		
(b) Name of the auditing firm:				
KPMG LLP				
(c) The location of the auditing f	irm's office responsible for the <i>private fund</i>	s audit (city, state and country):		
City:	State:	Country:		
PHILADELPHIA	Pennsylvania	United States		
			Yes	s No
d) Is the auditing firm an <i>indepe</i>	endent public accountant?		\odot	0
(e) Is the auditing firm registere	d with the Public Company Accounting Ove	rsight Board?	۲	0
If yes, Public Company Accou	Inting Oversight Board-Assigned Number:			
185				
(f) If "yes" to (e) above, is the a accordance with its rules?	auditing firm subject to regular inspection b	y the Public Company Accounting Oversight Board in	o	0

((g) Are the <i>private fund's</i> aud investors?	lited financial statements for the most receiption	ntly completed fiscal year distributed to the private fund's	• •
(†	h) Do all of the reports prep	ared by the auditing firm for the <i>private fur</i>	nd since your last annual updating amendment contain unqualified	d opinions?
	⊙ Yes ○ No ○ Report I	Not Yet Received		
	If you check "Report Not Y	'et Received," you must promptly file an ame	endment to your Form ADV to update your response when the repor	rt is available.
Prime	e Broker			
				Yes No
24. (a		e one or more prime brokers?		00
			through (e) below for each prime broker the <i>private fund</i> uses. If ns (b) through (e) separately for each prime broker.	the <i>private</i>
		No Inf	formation Filed	
Custo	dion			
<u>Custo</u>	lan			Yes No
25. (a			ers listed above) to hold some or all of its assets?	οo
			through (g) below for each custodian the <i>private fund</i> uses. If the b) through (g) separately for each custodian.	e private
	Additional Custodian In	formation : 1 Record(s) Filed.		
	(b) Legal name of cust STATE STREET BAN	odian: K AND TRUST COMPANY		
	(c) Primary business n STATE STREET BAN	ame of custodian: K AND TRUST COMPANY		
	(d) The location of the	custodian's office responsible for <i>custody</i> c	of the <i>private fund's</i> assets (city, state and country):	
	City:	State:	Country:	
	BOSTON	Massachusetts	United States	
				Yes No
	(e) Is the custodian a	related person of your firm?		00
	(f) If the custodian is a	a broker-dealer, provide its SEC registration	n number (if any):	
	CRD Number (if any	/):		
	(g) If the custodian is <i>identifier</i> (if any)	not a broker-dealer, or is a broker-dealer b	out does not have an SEC registration number, provide its <i>legal e</i>	entity
<u>Admiı</u>	nistrator			
J4 (a) Dood the private fundation	an administrator other then your firm?		Yes No
∠0. (á	· ·	e an administrator other than your firm?	brough (f) below. If the <i>private fund</i> uses more than one admini-	Strator you
	If the answer to question	26.(a) is "yes," respond to questions (b) t	hrough (f) below. If the <i>private fund</i> uses more than one adminis	strator, you

Additional Administrator Information : 1 Record(s) Filed.

must complete questions (b) through (f) separately for each administrator.

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

(b) Name of administrator:

STATE STREET BANK AND TRUST COMPANY

		istrator (city, state and country):		
	City: BOSTON	State: Massachusetts	Country: United States	
				Yes No
	(d) Is the administra	tor a <i>related person</i> of your firm?		○ ⊙
	(e) Does the adminis	trator prepare and send investor account st	tatements to the <i>private fund's</i> investors?	
	O ^{Yes} (provided	to all investors) ${igcases}$ Some (provided to some	but not all investors) \odot No (provided to no investors)	
	investors? If inve	•	is the investor account statements to the (rest of the) p (rest of the) <i>private fund's</i> investors, respond "not appl	
your 100 Incl rele	 related person? % ude only those assets ways want quotes, and (ii) the 	where (i) such <i>person</i> carried out the valuation	s (by value) was valued by a <i>person</i> , such as an adminis on procedure established for that asset, if any, including bscriptions, redemptions or distributions, and fee calcula	obtaining any
Markete	ers			Yes No
28. (a)	Does the private fund us	se the services of someone other than you c	or your <i>employees</i> for marketing purposes?	• •
	similar person. If the an	swer to question 28.(a) is "yes," respond to	ent, consultant, finder, introducer, municipal advisor or questions (b) through (g) below for each such markete mplete questions (b) through (g) separately for each ma	r the <i>private fund</i>
	Additional Marketer I	nformation : 1 Record(s) Filed.		
	or similar person. If th	e answer to question 28.(a) is "yes," respor	agent, consultant, finder, introducer, municipal advisor c nd to questions (b) through (g) below for each such ma must complete questions (b) through (g) separately for e	rketer the private
				Yes No
	(b) Is the marketer a	related person of your firm?		© 0
	(c) Name of the mark ALLSPRING FUND	keter: S DISTRIBUTOR, LLC		
		registered with the SEC, its file number (<i>e.g</i>	g., 801-, 8-, or 866-):	
	8 - 66716			
	and CRD Number 133366	(if any):		
	(e) Location of the m	arketer's office used principally by the privat	te fund (city, state and country):	
	City:	State:	Country:	
	CHARLOTTE	North Carolina	United States	
	(f) Door the market	er market the <i>private fund</i> through one or mo	ara wabsitas?	Yes No
	(†) Does the markete	a market the <i>private rund</i> through one of mo		00

(g) If the answer to question 28.(f) is "yes," list the website address(es): No Information Filed

A. PRIVATE FUND

Information About the Private Fund

	EMERGING MARKETS LARGE/MID CAP FUND, A SERIES OF 525 MARKET STREET FUND, LLC		
	(b) <i>Private fund</i> identification number:		
	(include the "805-" prefix also)		
	805-4838966258		
2	Under the laws of what state or country is the <i>private fund</i> organized:		
۷.	State: Country:		
	Delaware United States		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	ALLSPRING GLOBAL INVESTMENTS, LLC (MANAGER)		
	(b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.		
	No Information Filed		
4.	The <i>private fund</i> (check all that apply; you must check at least one):		
	\square (1) qualifies for the exclusion from the definition of investment company under section $3(c)(1)$ of the investment company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
F			
5.	List the name and country, in English, of each <i>foreign financial regulatory authority</i> with which the <i>private fund</i> is registered.		
	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	\odot
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	\odot
	(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?		
	Name of <i>private fund</i> :		
	Private fund identification number:		
	(include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Sectio for the master-feeder arrangement or reporting on the funds separately.	n 7.B.((1)
	for the master-recuer analigement of reporting on the runus separately.		
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1),	for eac	h of
	the feeder funds answer the following questions:	01 000	
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if		
	multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	It issue	eu
		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	0	o
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investme	_	÷
	vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Yes	No
9.	During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment		_
	Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	\odot	0

	$^{ m O}$ hedge fund $^{ m O}$ liquidity fund $^{ m O}$ private equity fund $^{ m O}$ real estate fund $^{ m O}$ securitized asset fund $^{ m O}$ venture capital ful POOLED INVESTMENT FUND			
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.			
11.	Current gross asset value of the <i>private fund</i> : \$ 262,692,802			
<u>0w</u>	vnership			
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 5,000,000 NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amou	ınt set forth iı	n the	
	organizational documents of the fund).			
13.	Approximate number of the <i>private fund's</i> beneficial owners: 14			
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%			
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%			
	 (b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Incompany Act of 1940, are sales of the fund limited to <i>qualified clients</i>? 	vestment	Yes ©	No
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%			
Yo	ur Advisory Services		Vos	Ne
	ur Advisory Services		Yes	
		und. If the ans	0	
	<mark>ur Advisory Services</mark> (a) Are you a subadviser to this <i>private fund</i> ? (b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fu</i>	und. If the ans	0	
	 (a) Are you a subadviser to this <i>private fund</i>? (b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fu</i> question 17.(a) is "no," leave this question blank. 	und. If the ans	0	©
	 (a) Are you a subadviser to this <i>private fund</i>? (b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fu</i> question 17.(a) is "no," leave this question blank. 		C swer to	© Nc
17.	 (a) Are you a subadviser to this <i>private fund</i>? (b) If the answer to question 17. (a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> question 17. (a) is "no," leave this question blank. 	nd?	O swer to Yes O	© Nc
17.	ur Advisory Services (a) Are you a subadviser to this private fund? (b) If the answer to question 17. (a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fuquestion 17. (a) is "no," leave this question blank. No Information Filed (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fur (b) If the answer to question 18. (a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fur (b) If the answer to question 18. (a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fur (b) If the answer to question 18. (a) is "no," leave this question blank. Name of Other Adviser to private fund	nd? rivate fund. If CRD nun	© swer to Yes © the answ	© Nc
17.	 (a) Are you a subadviser to this <i>private fund</i>? (b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> question 17.(a) is "no," leave this question blank. (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fur</i> (b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fur</i> 	nd? rivate fund. If	© swer to Yes © the answ	© Nc
17.	ur Advisory Services (a) Are you a subadviser to this private fund? (b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fuquestion 17.(a) is "no," leave this question blank. Image: Comparison of the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fur fur to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fur to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fur to question 18.(a) is "no," leave this question blank. Name of Other Adviser to private fund SEC file number ALLSPRING GLOBAL INVESTMENTS (SINGAPORE) PTE. LTD. 801-127267	nd? rivate fund. If CRD nun	© swer to Yes © the answ	© Nc er
17.	ur Advisory Services (a) Are you a subadviser to this private fund? (b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund question 17.(a) is "no," leave this question blank. Image: Comparison of the advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund (b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private question 18.(a) is "no," leave this question blank. Image: None of Other Adviser to private fund SEC file number ALLSPRING GLOBAL INVESTMENTS (SINGAPORE) PTE. LTD. 801-127267	nd? rivate fund. If CRD nun	C swer to Yes C the answ	© Nc er Nc
17.	ur Advisory Services (a) Are you a subadviser to this private fund? (b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fuquestion 17.(a) is "no," leave this question blank. Image: Comparison of the intervent o	nd? rivate fund. If CRD nun	© swer to Yes the answ hber Yes	© Nc er Nc
17. 18. 19.	ur Advisory Services (a) Are you a subadviser to this private fund? (b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund question 17.(a) is "no," leave this question blank. Image: Comparison of the advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund (b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private question 18.(a) is "no," leave this question blank. Image: None of Other Adviser to private fund SEC file number ALLSPRING GLOBAL INVESTMENTS (SINGAPORE) PTE. LTD. 801-127267	nd? rivate fund. If CRD nun	© swer to Yes the answ hber Yes	© Nc er Nc
17.18.19.20.	ur Advisory Services (a) Are you a subadviser to this private fund? (b) If the answer to question 17. (a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund question 17. (a) is "no," leave this question blank. (a) Do any investment advisers (other than the investment advisers listed in Section 7.B. (1).A.3. (b)) advise the private fund (a) Do any investment advisers (other than the investment advisers listed in Section 7.B. (1).A.3. (b)) advise the private fund (b) If the answer to question 18. (a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund to question 18. (a) is "no," leave this question blank. Name of Other Adviser to private fund SEC file number ALLSPRING GLOBAL INVESTMENTS (SINGAPORE) PTE. LTD. 801-127267 Are your clients solicited to invest in the private fund? NOTE: For purposes of this question, do not consider feeder funds of the private fund. Approximately what percentage of your clients has invested in the private fund? Approximately what percentage of your clients has invested in the private fund?	nd? rivate fund. If CRD nun	C swer to Yes the answ hber Yes	© No er
17. 18. 19. 20. <u>Pri</u>	ur Advisory Services (a) Are you a subadviser to this private fund? (b) If the answer to question 17. (a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund question 17. (a) is "no," leave this question blank. (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund (b) If the answer to question 18. (a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund to question 18. (a) is "no," leave this question blank. Name of Other Adviser to private fund SEC file number ALLSPRING GLOBAL INVESTMENTS (SINGAPORE) PTE. LTD. 801-127267 Are your clients solicited to invest in the private fund? NOTE: For purposes of this question, do not consider feeder funds of the private fund? Approximately what percentage of your clients has invested in the private fund? 0%	nd? rivate fund. If CRD nun 324043	© swer to Yes the answ hber Yes	© Nc © Nc
17. 18. 19. 20. <u>Pri</u> 21.	ur Advisory Services (a) Are you a subadviser to this private fund? (b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund question 17.(a) is "no," leave this question blank. (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund (b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund acuses in 18.(a) is "no," leave this question blank. Name of Other Adviser to private fund SEC file number ALLSPRING GLOBAL INVESTMENTS (SINGAPORE) PTE. LTD. 801-127267 Are your clients solicited to invest in the private fund? NOTE: For purposes of this question, do not consider feeder funds of the private fund? NOTE: For purposes of this question, do not consider feeder funds of the private fund? O% vate Offering Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act If yes, provide the private fund's Form D file number (if any): Life any:	nd? rivate fund. If CRD nun 324043	Swer to Yes the answ nber Yes O	No O er No O
17. 18. 19. 20. <u>Pri</u> 21.	ar Advisory Services (a) Are you a subadviser to this private fund? (b) If the answer to question 17. (a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund question 17. (a) is "no," leave this question blank. No Information Filed (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund (b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund to question 18.(a) is "no," leave this question blank. Name of Other Adviser to private fund ALLSPRING GLOBAL INVESTMENTS (SINGAPORE) PTE. LTD. Are your clients solicited to invest in the private fund? NOTE: For purposes of this question, do not consider feeder funds of the private fund? O% Vate Offering Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act	nd? rivate fund. If CRD nun 324043	Swer to Yes the answ nber Yes O	No er No o

AUDITO

23. (a)) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	0	0				
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	0	0				
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audi you must complete questions (b) through (f) separately for each auditing firm.	ting fir	m,				
	Additional Auditor Information : 1 Record(s) Filed.						
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.						
	(b) Name of the auditing firm: KPMG LLP						
	 (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: Country: 						
	PHILADELPHIAPennsylvaniaUnited States						
		Yes	No				
	(d) Is the auditing firm an independent public accountant?	\odot	0				
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	۲	0				
	If yes, Public Company Accounting Oversight Board-Assigned Number: 185						
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	۲	0				
(h)	Do all of the reports prepared by the auditing firm for the <i>private fund</i> since your last <i>annual updating amendment</i> contain unqualified • Yes • No • Report Not Yet Received If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report	·					
<u>Prime</u>	Broker	V	es No				
24. (a)) Does the <i>private fund</i> use one or more prime brokers?	C					
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.						
	No Information Filed						
Custod	lian						
		Ye	es No				
25. (a)) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the fund uses more than one sustained way must complete questions (b) through (g) separately for each sustained.	o privat	~~~				
	fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.						
	Additional Custodian Information : 1 Record(s) Filed.						
	If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If th <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	e priva	ate				
	(b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY						
	(c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY						

Yes No

	(d) The	location of the custo	the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (city, state and country):		
	City:		State:	Country:	
	BOST	ΤΟΝ	Massachusetts	United States	
					Yes No
	(e) Is th	e custodian a <i>relatec</i>	<i>I person</i> of your firm?		00
	(f) If the	e custodian is a brok	er-dealer, provide its SEC registrati	ion number (if any):	
	- CRD	Number (if any):			
		e custodian is not a b <i>tifier</i> (if any)	vroker-dealer, or is a broker-dealer	r but does not have an SEC registration number, provide its	legal entity
<u>Admini</u>	<u>strator</u>				Yes No
26. (a)	Does the p	<i>private fund</i> use an ad	ministrator other than your firm?		• •
		•) is "yes," respond to questions (b) rough (f) separately for each admir) through (f) below. If the <i>private fund</i> uses more than one a nistrator	administrator, you
		• • • •	rmation : 1 Record(s) Filed.		
	Additiona				
		•	(a) is "yes," respond to questions (plete questions (b) through (f) sepa	(b) through (f) below. If the <i>private fund</i> uses more than one arately for each administrator.	e
		e of administrator: E STREET BANK AND	TRUST COMPANY		
	(c) Loca	tion of administrator	(city, state and country):		
	City:		State:	Country:	
	BOST	ΓΟΝ	Massachusetts	United States	
					Yes No
	(d) Is th	e administrator a <i>rel</i>	ated person of your firm?		00
	(e) Does	s the administrator p	repare and send investor account	statements to the private fund's investors?	
	OYe	es (provided to all inv	restors) \bigcirc Some (provided to some	e but not all investors) ${}_{ulleto}$ No (provided to no investors)	
	inves		ount statements are not sent to th	nds the investor account statements to the (rest of the) <i>priv</i> ne (rest of the) <i>private fund's</i> investors, respond "not applica	
you	ring your las ur <i>related pel</i> 0%	•	ercentage of the <i>private fund's</i> asse	ets (by value) was valued by a <i>person</i> , such as an administra	ator, that is not
rel	evant quotes	s, and (ii) the valuat		tion procedure established for that asset, if any, including obsubscriptions, redemptions or distributions, and fee calculations	
Market	<u>ers</u>				
28. (a)	Does the n	private fund use the se	ervices of someone other than you	or your employees for marketing purposes?	Yes No © ©
(u)	You must a similar pers	answer "yes" whethe son. If the answer to	r the <i>person</i> acts as a placement a question 28.(a) is "yes," respond t	agent, consultant, finder, introducer, municipal advisor or oth to questions (b) through (g) below for each such marketer t omplete questions (b) through (g) separately for each market	ner solicitor, or he <i>private fund</i>
	Additiona	I Marketer Informat	ion : 1 Record(s) Filed.		

You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor,

				questions (b) through (g) below for each such marketer the omplete questions (b) through (g) separately for each mark	•
	(b)) Is the marketer a <i>related</i>	person of your firm?		YesNo O
	(c)	Name of the marketer: ALLSPRING FUNDS DISTR	IBUTOR, LLC		
	(d)) If the marketer is registe 8 - 66716	red with the SEC, its file number (<i>e.g.</i> , 801	-, 8-, or 866-):	
		and CRD Number (if any) 133366			
	(e)		s office used principally by the private func		
		City: CHARLOTTE	State: North Carolina	Country: United States	
					Yes No
	(f)	Does the marketer mark	et the <i>private fund</i> through one or more we	abaitaa?	00
	(g)) If the answer to question	n 28.(f) is "yes," list the website address(e		
			No Inform	ation Filed	
	RIVATE FU				
Α. Η	(IVAILIO				
<u>Info</u>	rmation A	About the Private Fund			
1.	(a) Nam	e of the <i>private fund</i> :			
	GLO	BAL LONG SHORT EQUITY F	UND II LP		
	. ,	<i>ite fund</i> identification numb	er:		
		ude the "805-" prefix also) 9799278588			
	805-	7/772/0300			
2.	Under th	e laws of what state or co	untry is the <i>private fund</i> organized:		
	State	9:	Country:		
	Dela	ware	United States		
3.	(a) Nam	e(s) of General Partner, Ma	anager, Trustee, or Directors (or <i>persons</i> se	erving in a similar capacity):	
	Name o	f General Partner, Manag	er, Trustee, or Director		
	ALLSPRI	NG GLOBAL INVESTMENTS	GP, LLC (GENERAL PARTNER)		
	(b) If fili	ng an umbrella registration,	identify the filing adviser and/or relying adv	viser(s) that sponsor(s) or manage(s) this private fund.	
			No Informatio	n Filed	
4.	The <i>priva</i>	<i>ate fund</i> (check all that app	y; you must check at least one):		
				nder section 3(c)(1) of the Investment Company Act of 1940	
	🗖 (2) q	ualifies for the exclusion fr	om the definition of investment company u	nder section 3(c)(7) of the Investment Company Act of 1940)
F		anna and acustry in Frail	ab of each farcian financial requilatory outbo	with which the private fund is registered	
5.	List the r	name and country, in Englis		prity with which the private fund is registered.	
			No Informatic		
,			otor foodor construction 10		Yes N
6.		is a "master fund" in a ma			\odot \circ
		•	<i>Tivate fund</i> identification number (if any) of	the feeder funds investing in this <i>private fund</i> ?	
		f private fund LONG SHORT EQUITY FUNI		Private fund identification number	
	GLUBAL	LUNG STUKI EQUITY FUN		805-3251288661	

	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests? Name of <i>private fund</i> :		
	<i>Private fund</i> identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	each	of
	No Information Filed	_	
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it i multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		ł
8.	(a) Is this <i>private fund</i> a "fund of funds"?		©
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	_	e
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	Yes I	No ©
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund \circ liquidity fund \circ private equity fund \circ real estate fund \circ securitized asset fund \circ venture capital fund \circ other <i>privat</i>	e funa	1:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 44,620,416		
<u>0w</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 1,000,000 NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the		
	organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 100%		
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 100%		N
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes I	Õ
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 100%		

(b)		C		•
) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. If the answ question 17.(a) is "no," leave this question blank.	wer to		
	No Information Filed			
		Ye	s N	lo
) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	C		•
(b)) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If t to question 18.(a) is "no," leave this question blank.	he ans	wer	•
	No Information Filed			
		Ye	s N	lo
	e your <i>clients</i> solicited to invest in the <i>private fund</i> ?	C) (0
/vC	DTE: For purposes of this question, do not consider feeder funds of the private fund.			
). Ap 0%	oproximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? %			
ivate	e Offering			
. Ha	as the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Ye G	s N	0
2. If <u>:</u>	yes, provide the <i>private fund's</i> Form D file number (if any):			
	orm D file number 21-269793			
udito				
		Ye	es M	٩V
) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Ye		0 0
) (1) Are the <i>private fund's</i> financial statements subject to an annual audit? (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?)	
		6		0
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditional statements of the private fund uses more than one audition.	6		0
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audi you must complete questions (b) through (f) separately for each auditing firm.	6		0
	 (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audi you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditional Auditor Information : 1 Record(s) Filed. 	6		0
	 (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audity you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: 	6		0
	 (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audi you must complete questions : 1 Record(s) Filed. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: KPMG LLP (c) The location of the auditing firm's office responsible for the <i>private fund</i>'s audit (city, state and country): City: State: Country: 	6		0
	 (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audi you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: KPMG LLP (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): 	6) m,	
	 (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audi you must complete questions : 1 Record(s) Filed. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: KPMG LLP (c) The location of the auditing firm's office responsible for the <i>private fund</i>'s audit (city, state and country): City: State: Country: 	d ting fir) m,	
	 (2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audiyou must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: KPMG LLP (c) The location of the auditing firm's office responsible for the <i>private fund</i>'s audit (city, state and country): City: State: Country: PHILADELPHIA Pennsylvania United States 	(ting fir	No	0
	 (2) If the answer to question 23. (a) (1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? If the answer to question 23. (a) (1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audity you must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23. (a) (1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: KPMG LLP (c) The location of the auditing firm's office responsible for the <i>private fund</i>'s audit (city, state and country): City: State: Country: PHILADELPHIA Pennsylvania United States (d) Is the auditing firm an <i>independent public accountant</i>? 	ting fir Yes	No O	

(g) Are the *private fund's* audited financial statements for the most recently completed fiscal year distributed to the *private fund's* investors?

• Yes O No O Report Not Yet Received

Prime	Broker			Yes No
24. (a) Does the <i>private fund</i> use one or	more prime brokers?		• • •
	•	• • • • • • •	rough (e) below for each prime broker the <i>private fund</i> use (b) through (e) separately for each prime broker.	es. If the <i>private</i>
	Additional Prime Broker Inform	nation : 1 Record(s) Filed.		
	•	· · · · · · · · · · · · · · · · · · ·	through (e) below for each prime broker the <i>private fund</i> uquestions (b) through (e) separately for each prime broker	
	(b) Name of the prime broker: MORGAN STANLEY & CO. L			
	(c) If the prime broker is regis 8 - 15869 CRD Number (if any): 8209	stered with the SEC, its registration n	umber:	
	(d) Location of prime broker's	office used principally by the <i>private</i>	fund (city, state and country):	
	City: NEW YORK	State: New York	Country: United States	
	NEW FORK	New TOTK	United States	Yes No
	(e) Does this prime broker act	as custodian for some or all of the p	rivate fund's assets?	• •
Custo	dian			
(Yes No
25. (a			s listed above) to hold some or all of its assets?	• •
	•	· · · · · · ·	rough (g) below for each custodian the <i>private fund</i> uses. through (g) separately for each custodian.	If the <i>private</i>
	Additional Custodian Informat	ion : 1 Record(s) Filed.		

(b)	Legal name of custodian: MORGAN STANLEY & CO. LLC				
(c)	Primary business name of custodian: MORGAN STANLEY & CO. LLC				
(d)	The location of the custodian's office r	responsible for <i>custody</i> of the <i>private</i>	fund's assets (city, state and country):		
	City:	State:	Country:		
	NEW YORK	New York	United States		
				Yes	No
(e)	Is the custodian a <i>related person</i> of yo	our firm?		0	o
(f)	If the custodian is a broker-dealer, pro	ovide its SEC registration number (if	any):		
	8 - 15869				
	CRD Number (if any):				
	8209				
(g)	If the custodian is not a broker-dealer <i>identifier</i> (if any)	r, or is a broker-dealer but does not	have an SEC registration number, provide its <i>legal enti</i>	ty	

Ad	m	in	istr	ator

26. (a) Does the *private fund* use an administrator other than your firm?

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

administrator, vo	question 26.(a) is "yes," respond to questions (b) t ou must complete questions (b) through (f) separate	hrough (f) below. If the <i>private fund</i> uses more than one elv for each administrator.	
(b) Name of adn			
STATE STREE	ET BANK AND TRUST COMPANY		
(c) Location of a	administrator (city, state and country):		
City:	State:	Country:	
BOSTON	Massachusetts	United States Ye	s N
(d) Is the admin	nistrator a related person of your firm?	0	6
(e) Does the ad	ministrator prepare and send investor account stat	ements to the <i>private fund's</i> investors?	
	ided to all investors) ${f O}$ Some (provided to some bu	-	
investors? If	• • • • •	the investor account statements to the (rest of the) <i>private fund's</i> est of the) <i>private fund's</i> investors, respond "not applicable."	
cations) was the va	aluation determined by such <i>person</i> .		
ers			Ye
Does the <i>private fu</i>	<i>und</i> use the services of someone other than you or		Ye
Does the <i>private fu</i> You must answer ' similar <i>person</i> . If th	"yes" whether the <i>person</i> acts as a placement agen ne answer to question 28.(a) is "yes," respond to qu	your <i>employees</i> for marketing purposes? t, consultant, finder, introducer, municipal advisor or other solicito uestions (b) through (g) below for each such marketer the <i>private</i> lete questions (b) through (g) separately for each marketer.	or, o
Does the <i>private fu</i> You must answer ' similar <i>person</i> . If th uses. If the <i>private</i>	"yes" whether the <i>person</i> acts as a placement agen ne answer to question 28.(a) is "yes," respond to qu	t, consultant, finder, introducer, municipal advisor or other solicito uestions (b) through (g) below for each such marketer the <i>private</i>	or, o
Does the <i>private fu</i> You must answer ' similar <i>person</i> . If th uses. If the <i>private</i> Additional Marker You must answe or similar <i>person</i> .	"yes" whether the <i>person</i> acts as a placement agen he answer to question 28.(a) is "yes," respond to que <i>fund</i> uses more than one marketer you must comp ter Information : 1 Record(s) Filed. er "yes" whether the <i>person</i> acts as a placement age . If the answer to question 28.(a) is "yes," respond	t, consultant, finder, introducer, municipal advisor or other solicito uestions (b) through (g) below for each such marketer the <i>private</i>	e fun itor,
Does the <i>private fu</i> You must answer ' similar <i>person</i> . If th uses. If the <i>private</i> Additional Marker You must answe or similar <i>person</i> . <i>fund</i> uses. If the	"yes" whether the <i>person</i> acts as a placement agen he answer to question 28.(a) is "yes," respond to que <i>fund</i> uses more than one marketer you must comp ter Information : 1 Record(s) Filed. er "yes" whether the <i>person</i> acts as a placement age . If the answer to question 28.(a) is "yes," respond <i>private fund</i> uses more than one marketer, you mu	t, consultant, finder, introducer, municipal advisor or other solicitor uestions (b) through (g) below for each such marketer the <i>private</i> lete questions (b) through (g) separately for each marketer.	fun fun itor, rivat er.
Does the <i>private fu</i> You must answer ' similar <i>person</i> . If th uses. If the <i>private</i> Additional Marker You must answe or similar <i>person</i> . <i>fund</i> uses. If the	"yes" whether the <i>person</i> acts as a placement agen he answer to question 28.(a) is "yes," respond to que <i>fund</i> uses more than one marketer you must comp ter Information : 1 Record(s) Filed. er "yes" whether the <i>person</i> acts as a placement age . If the answer to question 28.(a) is "yes," respond	t, consultant, finder, introducer, municipal advisor or other solicitor uestions (b) through (g) below for each such marketer the <i>private</i> lete questions (b) through (g) separately for each marketer.	itor, o fund itor, civato er.
Does the <i>private</i> ful You must answer ' similar <i>person</i> . If the uses. If the <i>private</i> Additional Marker You must answe or similar <i>person</i> fund uses. If the (b) Is the marker (c) Name of the	"yes" whether the <i>person</i> acts as a placement agen he answer to question 28.(a) is "yes," respond to que <i>fund</i> uses more than one marketer you must comp ter Information : 1 Record(s) Filed. er "yes" whether the <i>person</i> acts as a placement age . If the answer to question 28.(a) is "yes," respond <i>private fund</i> uses more than one marketer, you mu	t, consultant, finder, introducer, municipal advisor or other solicitor uestions (b) through (g) below for each such marketer the <i>private</i> lete questions (b) through (g) separately for each marketer.	itor, o fund itor, civato er.
Does the <i>private</i> ful You must answer ' similar <i>person</i> . If the uses. If the <i>private</i> Additional Marker You must answe or similar <i>person</i> . <i>fund</i> uses. If the (b) Is the marker (c) Name of the ALLSPRING F	"yes" whether the <i>person</i> acts as a placement agen he answer to question 28.(a) is "yes," respond to que <i>fund</i> uses more than one marketer you must comp ter Information : 1 Record(s) Filed. For "yes" whether the <i>person</i> acts as a placement age . If the answer to question 28.(a) is "yes," respond <i>private fund</i> uses more than one marketer, you mu	t, consultant, finder, introducer, municipal advisor or other solicitor uestions (b) through (g) below for each such marketer the <i>private</i> lete questions (b) through (g) separately for each marketer.	fun fun itor, rivat er.
Does the <i>private</i> fu You must answer ' similar <i>person</i> . If the uses. If the <i>private</i> Additional Marker You must answe or similar <i>person</i> . <i>fund</i> uses. If the (b) Is the market (c) Name of the ALLSPRING F (d) If the market 8 - 66716	"yes" whether the <i>person</i> acts as a placement agen he answer to question 28. (a) is "yes," respond to que <i>fund</i> uses more than one marketer you must comp ter Information : 1 Record(s) Filed. or "yes" whether the <i>person</i> acts as a placement agen . If the answer to question 28. (a) is "yes," respond <i>private fund</i> uses more than one marketer, you mu eter a <i>related person</i> of your firm? marketer: FUNDS DISTRIBUTOR, LLC	t, consultant, finder, introducer, municipal advisor or other solicitor uestions (b) through (g) below for each such marketer the <i>private</i> lete questions (b) through (g) separately for each marketer.	e fun itor,
Does the private ful You must answer ' similar person. If the uses. If the private Additional Marker You must answe or similar person. fund uses. If the (b) Is the marker (c) Name of the ALLSPRING F (d) If the marker 8 - 66716 and CRD Nur 133366	"yes" whether the <i>person</i> acts as a placement agen he answer to question 28.(a) is "yes," respond to que <i>fund</i> uses more than one marketer you must comp ter Information : 1 Record(s) Filed. er "yes" whether the <i>person</i> acts as a placement age . If the answer to question 28.(a) is "yes," respond <i>private fund</i> uses more than one marketer, you mu eter a <i>related person</i> of your firm? marketer: FUNDS DISTRIBUTOR, LLC eter is registered with the SEC, its file number (<i>e.g.</i> ,	t, consultant, finder, introducer, municipal advisor or other solicito uestions (b) through (g) below for each such marketer the <i>private</i> lete questions (b) through (g) separately for each marketer. ent, consultant, finder, introducer, municipal advisor or other solic to questions (b) through (g) below for each such marketer the <i>pr</i> st complete questions (b) through (g) separately for each marketer Ye 8 01-, 8-, or 866-):	fun fun itor, rivat er.

Yes No

 \odot \circ

	Ye	s No	
	(f) Does the marketer market the <i>private fund</i> through one or more websites?	\odot	
	(g) If the answer to question 28.(f) is "yes," list the website address(es):		
	No Information Filed		
IVA	TE FUND		
rmat	tion About the Private Fund		
(a)	Name of the <i>private fund</i> :		
	GLOBAL LONG SHORT EQUITY FUND LTD		
(b)	Private fund identification number:		
	(include the "805-" prefix also)		
	805-3251288661		
Line	ler the lowe of what state or country is the private fund organized.		
	ler the laws of what state or country is the <i>private fund</i> organized: State: Country:		
	Cayman Islands		
(a)	Name(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):		
	me of General Partner, Manager, Trustee, or Director		
F	ION BARANKO, DIRECTOR		
JEF	REMY DEPALMA, DIRECTOR		
PA	JL STEVENSON, DIRECTOR		
	No Information Filed		
The	private fund (check all that apply; you must check at least one):		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
List	the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	me of Country/English Name of Foreign Financial Regulatory Authority		
Cay	yman Islands - Cayman Islands Monetary Authority		
		Yes	N
	Is this a "master fund" in a master-feeder arrangement?	0	•
(b)	If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
		Yes	N
(c)	Is this a "feeder fund" in a master-feeder arrangement?	o	C
(d)	If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?	~	~
	Name of <i>private fund</i> :		
	GLOBAL LONG SHORT EQUITY FUND II LP		
	Private fund identification number:		
	(include the "805-" prefix also) 805-9799278588		
NOT	E. You must complete question 6 for each master feeder arrangement regardless of whether you are filling a single Cabedule D. Castler	י ח <i>ך</i>	1١
	E: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section The master-feeder arrangement or reporting on the funds separately.	7.B.(1)
for If y			-

No Information Filed

	NOTE: For purposes of this question, do not consider feeder funds of the private fund.	~	~
19.	. Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	©
		Yes	s No
	to question 18.(a) is "no," leave this question blank. No Information Filed		
18.	 (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the <i>private fund</i>? (b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i>. If " 	O	© Nor
		Yes	s No
	question 17.(a) is "no," leave this question blank.		
17.	 (a) Are you a subadviser to this <i>private fund</i>? (b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer to question 17.(b) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer to question 17.(b) is "yes," provide the name and SEC file number, if any, of the adviser of the private fund. 	C wer to	\odot
		Yes	s No
<u>Yo</u> u	ur Advisory Services		
16.	. What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 100%		
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes ©	o No
15.	. (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0%		
14.	. What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 1		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in organizational documents of the fund).	the	
12.	. Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 500,000		
<u>Ow</u>	vnership		
	\$ 33,912,435		
11	. Current gross asset value of the <i>private fund</i> :		
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
10.	 What type of fund is the <i>private fund</i>? Inductive fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O other p 	rivate fu	ind [.]
	Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?		
9.	During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment	Yes	s No
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	O	0
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	ient	
8.	(a) Is this <i>private fund</i> a "fund of funds"?	•	0
	multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund		s No
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question i	f it issu	

	Approximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? 0%		
Priv	ate Offering		
		Υe	es No
21.	Has the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	•	0
22.	If yes, provide the <i>private fund's</i> Form D file number (if any):		
	Form D file number		
	021-269794		
B. SE	RVICE PROVIDERS		
<u>Audi</u>	tors		
0.0		Ye	es No
23.	(a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	6	• •
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	6	0
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one aud you must complete questions (b) through (f) separately for each auditing firm.	iting fir	тт,
	Additional Auditor Information : 1 Record(s) Filed.		
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm.		
	(b) Name of the auditing firm: KPMG LLP		
	 (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: Country: PHILADELPHIA Pennsylvania United States 		
	PHILADELPHIA Pennsylvania United States	Yes	No
	(d) Is the auditing firm an independent public accountant?	©	0
	(e) Is the auditing firm registered with the Public Company Accounting Oversight Board?	۲	0
	If yes, Public Company Accounting Oversight Board-Assigned Number: 185		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	۲	0
		V.	
	(g) Are the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors?		es No DO
	(h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified	1 opinic	ons?
	☉ Yes ^O No ^O Report Not Yet Received		
	' If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the repor	t is ava	ilable.
Prim	e Broker		
		Ye	es No
24.	(a) Does the <i>private fund</i> use one or more prime brokers?	0	
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.	the pri	ivate
	No Information Filed		

<u>Custodian</u>

Auun	ional Custodian Ir	nformation : 1 Record(s) Filed.		
	•) through g) below for each custodian the <i>private fund</i> uses. If (b) through (g) separately for each custodian.	the p
	Legal name of cus STATE STREET BAN	todian: IK AND TRUST COMPANY		
	•	name of custodian: IK AND TRUST COMPANY		
(d)	The location of the	e custodian's office responsible for <i>custody</i> c	f the <i>private fund's</i> assets (city, state and country):	
	City:	State:	Country:	
	BOSTON	Massachusetts	United States	
				Y
(e)	Is the custodian a	related person of your firm?		C
(f)	If the custodian is	a broker-dealer, provide its SEC registration	n number (if any):	
	-			
	CRD Number (if an	y):		
	<i>identifier</i> (if any)		ut does not have an SEC registration number, provide its <i>legal</i>	
rator Does f the	<i>identifier</i> (if any) the <i>private fund</i> use answer to questior	e an administrator other than your firm? n 26.(a) is "yes," respond to questions (b) t	hrough (f) below. If the <i>private fund</i> uses more than one admir	
rator Does If the must o	<i>identifier</i> (if any) the <i>private fund</i> use answer to questions	e an administrator other than your firm?	hrough (f) below. If the <i>private fund</i> uses more than one admir	
rator Does f the must o Addit	<i>identifier</i> (if any) the <i>private fund</i> use answer to questions complete questions ional Administrate he answer to question inistrator, you mustion	e an administrator other than your firm? n 26.(a) is "yes," respond to questions (b) t s (b) through (f) separately for each adminis or Information : 1 Record(s) Filed. ion 26.(a) is "yes," respond to questions (b) st complete questions (b) through (f) separa	hrough (f) below. If the <i>private fund</i> uses more than one admir strator.	
rator Does of f the must of Addit	<i>identifier</i> (if any) the <i>private fund</i> use answer to questions complete questions ional Administrate he answer to questi ninistrator, you mus Name of administr	e an administrator other than your firm? n 26.(a) is "yes," respond to questions (b) t s (b) through (f) separately for each adminis or Information : 1 Record(s) Filed. ion 26.(a) is "yes," respond to questions (b) st complete questions (b) through (f) separa	hrough (f) below. If the <i>private fund</i> uses more than one admir strator.	
rator Does of f the must of Addit	<i>identifier</i> (if any) the <i>private fund</i> use answer to question complete questions ional Administrate he answer to questi ninistrator, you mus Name of administr STATE STREET BAN	e an administrator other than your firm? n 26.(a) is "yes," respond to questions (b) t s (b) through (f) separately for each adminis or Information : 1 Record(s) Filed. ion 26.(a) is "yes," respond to questions (b) st complete questions (b) through (f) separa ator:	hrough (f) below. If the <i>private fund</i> uses more than one admir strator.	
rator Does of f the must of Addit If th adm (b) (c)	<i>identifier</i> (if any) the <i>private fund</i> use answer to questions complete questions ional Administrate he answer to questi ninistrator, you mus Name of administr STATE STREET BAN Location of adminis City:	e an administrator other than your firm? n 26.(a) is "yes," respond to questions (b) t s (b) through (f) separately for each adminis or Information : 1 Record(s) Filed. ion 26.(a) is "yes," respond to questions (b) st complete questions (b) through (f) separa ator: IK AND TRUST COMPANY strator (city, state and country): State:	hrough (f) below. If the <i>private fund</i> uses more than one admir strator. • through (f) below. If the <i>private fund</i> uses more than one ately for each administrator.	
rator Does of f the must of Addit	<i>identifier</i> (if any) the <i>private fund</i> use answer to questions complete questions complete questions comple	e an administrator other than your firm? n 26.(a) is "yes," respond to questions (b) t s (b) through (f) separately for each adminis or Information : 1 Record(s) Filed. ion 26.(a) is "yes," respond to questions (b) st complete questions (b) through (f) separa ator: IK AND TRUST COMPANY strator (city, state and country):	hrough (f) below. If the <i>private fund</i> uses more than one admir strator.	histrat
rator Does of f the must of Addit If th adm (b) (c)	identifier (if any) the private fund use answer to question complete questions ional Administrate he answer to questi ninistrator, you mus Name of administr STATE STREET BAN Location of adminis City: BOSTON	e an administrator other than your firm? n 26.(a) is "yes," respond to questions (b) t s (b) through (f) separately for each adminis or Information : 1 Record(s) Filed. ion 26.(a) is "yes," respond to questions (b) st complete questions (b) through (f) separa ator: IK AND TRUST COMPANY strator (city, state and country): State:	hrough (f) below. If the <i>private fund</i> uses more than one admir strator. • through (f) below. If the <i>private fund</i> uses more than one ately for each administrator.	
rator Does f f the must o Addit (b) (c) (d)	identifier (if any) the private fund use answer to questions complete questions ional Administrate he answer to questi ninistrator, you mus Name of administr STATE STREET BAN Location of adminis City: BOSTON Is the administrate	e an administrator other than your firm? n 26.(a) is "yes," respond to questions (b) t s (b) through (f) separately for each adminis or Information : 1 Record(s) Filed. ion 26.(a) is "yes," respond to questions (b) st complete questions (b) through (f) separa ator: IK AND TRUST COMPANY strator (city, state and country): State: Massachusetts	hrough (f) below. If the <i>private fund</i> uses more than one admir strator. • through (f) below. If the <i>private fund</i> uses more than one ately for each administrator.	histrat

27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

100%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

Marketers

28. (a) Does the *private fund* use the services of someone other than you or your *employees* for marketing purposes?

Yes No

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

Additional Marketer Information : 1 Record(s) Filed.

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

 (b) Is the marketer a <i>related person</i> of your firm? (c) Name of the marketer: ALLSPRING FUNDS DISTRIBUTOR, LLC 	
	0
ALLSPRING FUNDS DISTRIBUTOR, LLC	
(d) If the marketer is registered with the SEC, its file number (<i>e.g.</i> , 801-, 8-, or 866-):	
8 - 66716	
and CRD Number (if any):	
133366	
(e) Location of the marketer's office used principally by the private fund (city, state and country):	
City: State: Country:	
CHARLOTTE North Carolina United States	
Yes	No
(f) Does the marketer market the <i>private fund</i> through one or more websites?	\odot
(g) If the answer to question 28.(f) is "yes," list the website address(es):	
No Information Filed	

A. PRIVATE FUND		
nformation About the Private Fund		
1. (a) Name of the <i>private fund</i> :	S OF 525 MARKET STREET FUND, LLC	
(b) <i>Private fund</i> identification number: (include the "805-" prefix also)		
805-6715051983		
2. Under the laws of what state or coun	ry is the <i>private fund</i> organized:	
State:	Country:	
Delaware	United States	
3. (a) Name(s) of General Partner, Mana	iger, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):	
Name of General Partner, Manager,	Trustee, or Director	
ALLSPRING GLOBAL INVESTMENTS, LL	C (MANAGER)	
(b) If filing an <i>umbrella registration</i> , id	entify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private ful	nd.

No Information Filed

4.	The <i>private fund</i> (check all that apply; you must check at least one):		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	o
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	\sim	e
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	~	
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?	0	\odot
	Name of <i>private fund</i> :		
	Private fund identification number:		
	(include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section	7.B.(1)
	for the master-feeder arrangement or reporting on the funds separately.		
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for	r each	۱of
	the feeder funds answer the following questions:		
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all	of th	eir
	assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it	issue	d
	multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.		
0		Yes	
8.	(a) Is this <i>private fund</i> a "fund of funds"?		\odot
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	~	~
	(b) If yes, does the private rand invest in rands managed by you of by a related person:	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment	\circ	\odot
	Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?		
10	What type of fund is the <i>private fund</i> ?		
10.			
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund O Other priva POOLED INVESTMENT FUND	te fun	id:
	POOLED INVESTMENT FOND		
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
	NOTE. For demittions of these fund types, please see instruction of the instructions to Part TA.		
11	Current gross asset value of the <i>private fund</i> :		
	\$ 52,318,085		
	\$ 52,510,005		
Ow	vnership		
<u></u>			
12	Minimum investment commitment required of an investor in the <i>private fund</i> :		
	\$ 5,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the	è	
	organizational documents of the fund).		

- 13. Approximate number of the *private fund's* beneficial owners:
 - 4

14.	. What is the approximate percentage of the <i>pri</i> 0%	<i>ivate fund</i> beneficially owned by you ar	nd your <i>related persons</i> :		
15.	. (a) What is the approximate percentage of the 0%	e <i>private fund</i> beneficially owned (in th	e aggregate) by funds of funds:		
				Yes	No
	(b) If the private fund qualifies for the exclusio Company Act of 1940, are sales of the fund		mpany under section 3(c)(1) of the Investment	0	0
16.	. What is the approximate percentage of the <i>pri</i> 0%	ivate fund beneficially owned by non-U	nited States persons:		
Υοι	ur Advisory Services				
17	(a) Are you a subadviser to this <i>private fund</i> ?			Yes	_
17.			, if any, of the adviser of the <i>private fund</i> . If the answ	O wer to	©
		No Information File	ed		
				Yes	No
18.	(a) Do any investment advisers (other than the	e investment advisers listed in Section	7.B.(1).A.3.(b)) advise the private fund?	0	\odot
	(b) If the answer to question 18.(a) is "yes," p to question 18.(a) is "no," leave this quest		, if any, of the other advisers to the <i>private fund</i> . If t	he answe	er
		No Information File	ed		
10				Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private</i>			0	\odot
	NOTE: For purposes of this question, do not cons	sider teeder tunds of the private tund.			
20.	. Approximately what percentage of your <i>clients</i> 0%	has invested in the private fund?			
Priv	ivate Offering				
21	. Has the <i>private fund</i> ever relied on an exemptic	on from registration of its securities up	der Degulation D of the Securities Act of 10222	Yes	_
∠1.		on non registration of its securities u	del Regulation D'or the Securities Act of 1933?	O	0
22.	. If yes, provide the <i>private fund's</i> Form D file nur	mber (if any):			
	Form D file number				
	021-263467				
3. SI	SERVICE PROVIDERS				
Διις	ditors				
/100				Yes	No
23.	. (a) (1) Are the <i>private fund's</i> financial statement	nts subject to an annual audit?		\odot	0
	(2) If the answer to question 23.(a)(1) is "	'yes," are the financial statements pre	pared in accordance with U.S. GAAP?	\odot	0
	If the answer to question 23.(a)(1) is "yes you must complete questions (b) through (n) below. If the <i>private fund</i> uses more than one audi	ting firm	'
	Additional Auditor Information : 1 Recor	rd(s) Filed.			
	If the answer to question 23.(a)(1) is "ye auditing firm, you must complete question		(h) below. If the <i>private fund</i> uses more than one auditing firm.		
	(b) Name of the auditing firm: KPMG LLP				
	(c) The location of the auditing firm's off	fice responsible for the <i>private fund</i> 's a	udit (city, state and country).		
	City:	State:	Country:		
	PHILADELPHIA	Pennsylvania	United States		
				Yes N	lo
	(d) Is the auditing firm an <i>independent p</i>	ouplic accountant?		 0 	5 H.

	If yes, Public Company Accounting Oversight Board-Assigned Number: 185		
	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in accordance with its rules?	٥	C
		v	′es
	re the <i>private fund's</i> audited financial statements for the most recently completed fiscal year distributed to the <i>private fund's</i> ivestors?		•
	o all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualifie	ed opini	ions
C	Ves O No O Report Not Yet Received		
lf	you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the repo	ort is av	raila
e Bro	oker		
<u>, о</u>	oes the <i>private fund</i> use one or more prime brokers?		′es
lf	the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. Ind uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.		0 oriva
	No Information Filed		
odian a) Do			′es ⊙
a) Do If	oes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>und</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.		o
a) Do If <i>fu</i>	oes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the		o
) Do If fu A	oes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	ne <i>priva</i>	⊙ ate
) Do If fu A	oes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	ne <i>priva</i>	⊙ ate
) Do If fu A	 oes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the und uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: 	ne <i>priva</i>	⊙ ate
a) Do If fu	 oes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the answer than one custodian, you must complete questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: 	ne <i>priva</i>	⊙ ate
a) Do If fu A	oes the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the answer to question 25.(a) is "yes," respond to questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country:	ne <i>priva</i>	⊙ ate
) Do If fu A	 oes the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the answer to question 25.(a) is "yes," respond to questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i>'s assets (city, state and country): 	ne <i>priva</i>	© ate
) Do If fu A	oes the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the private fund uses. If the answer to question 25.(a) is "yes," respond to questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the private fund uses. If fund uses more than one custodian, you must complete questions (b) through (g) separately for each custodian. (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for custody of the private fund's assets (city, state and country): City: State: Country:	the <i>priva</i>	€ ate vate
a) Do If fu A	 access the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the answer to question 25. (a) is "yes," respond to questions (b) through (g) separately for each custodian. Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through g) below for each custodian the <i>private fund</i> uses. If <i>fund</i> uses more than one custodian, you must complete questions (b) through g) separately for each custodian. (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund</i>'s assets (city, state and country): City: State: Country: BOSTON Massachusetts United States 	the <i>priva</i>	€ ate vate

26.	(a)	Does	the	private	fund	use an	administrator	other	than	your	firm?
-----	-----	------	-----	---------	------	--------	---------------	-------	------	------	-------

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you

Addi	itional Administra	tor Information : 1 Record(s) Filed.		
11	•	stion 26.(a) is "yes," respond to questions (b) ust complete questions (b) through (f) separat	through (f) below. If the <i>private fund</i> uses more than o tely for each administrator.	one
(b)	Name of administ STATE STREET BA	trator: NK AND TRUST COMPANY		
(c)	Location of admir	nistrator (city, state and country):		
	City:	State:	Country:	
	BOSTON	Massachusetts	United States	
(d)	Is the administra	tor a related person of your firm?		Yes M
(e)		strator prepare and send investor account sta	tements to the <i>private fund's</i> investors? ut not all investors) oNo (provided to no investors)	
(f)	investors? If inve	•	the investor account statements to the (rest of the) <i>pr</i> rest of the) <i>private fund's</i> investors, respond "not appli	
vant cation	quotes, and (ii) th	•	procedure established for that asset, if any, including scriptions, redemptions or distributions, and fee calcula	
ude o vant catior e <u>rs</u>	quotes, and (ii) th ns) was the valuati	e valuation used for purposes of investor subs on determined by such <i>person</i> .	scriptions, redemptions or distributions, and fee calcula	tions (including Ye
ude o vant cation ers Does You r simila	quotes, and (ii) th ns) was the valuati the <i>private fund</i> us must answer "yes" ar <i>person</i> . If the an	e valuation used for purposes of investor subs on determined by such <i>person</i> . se the services of someone other than you or ' whether the <i>person</i> acts as a placement ager swer to question 28.(a) is "yes," respond to q	scriptions, redemptions or distributions, and fee calcula	tions (including Ye other solicitor, c the private fur
ude o vant cation ers Does You r simila uses.	quotes, and (ii) th ns) was the valuati s the <i>private fund</i> us must answer "yes" ar <i>person</i> . If the an . If the <i>private func</i>	e valuation used for purposes of investor subs on determined by such <i>person</i> . se the services of someone other than you or ' whether the <i>person</i> acts as a placement ager swer to question 28.(a) is "yes," respond to q	your <i>employees</i> for marketing purposes? nt, consultant, finder, introducer, municipal advisor or c questions (b) through (g) below for each such marketer	tions (including Ye other solicitor, c the private fur
ude o vant cation TS Does You r simila uses. Addi You or s	quotes, and (ii) th ns) was the valuati the <i>private fund</i> us must answer "yes" ar <i>person</i> . If the an . If the <i>private func</i> itional Marketer I u must answer "ye similar <i>person</i> . If th	e valuation used for purposes of investor subson determined by such <i>person</i> . se the services of someone other than you or whether the <i>person</i> acts as a placement ager swer to question 28.(a) is "yes," respond to q d uses more than one marketer you must comp nformation : 1 Record(s) Filed. es" whether the <i>person</i> acts as a placement agen answer to question 28.(a) is "yes," respond	your <i>employees</i> for marketing purposes? nt, consultant, finder, introducer, municipal advisor or c questions (b) through (g) below for each such marketer	tions (including Ye other solicitor, c r the <i>private fur</i> rketer. r other solicitor keter the <i>privat</i>
ude o vant cation Toes You r simila uses. Addi You or s fun	quotes, and (ii) th hs) was the valuati the <i>private fund</i> us must answer "yes" ar <i>person</i> . If the an . If the <i>private func</i> itional Marketer I u must answer "ye similar <i>person</i> . If th <i>nd</i> uses. If the <i>priva</i>	e valuation used for purposes of investor subson determined by such <i>person</i> . se the services of someone other than you or "whether the <i>person</i> acts as a placement ager swer to question 28.(a) is "yes," respond to a duses more than one marketer you must comp formation : 1 Record(s) Filed. es" whether the <i>person</i> acts as a placement ager answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse answer to question 28.(a) is "yes," responded to a duse and the duse and the dust and the dus	scriptions, redemptions or distributions, and fee calcula your <i>employees</i> for marketing purposes? nt, consultant, finder, introducer, municipal advisor or c questions (b) through (g) below for each such marketer plete questions (b) through (g) separately for each mai gent, consultant, finder, introducer, municipal advisor or d to questions (b) through (g) below for each such mar	tions (including Ye other solicitor, of r the <i>private fur</i> rketer. r other solicitor keter the <i>privat</i> each marketer. Yes N
ude o vant cation Toes You r simila uses. Addi You or s fun	quotes, and (ii) th hs) was the valuati the <i>private fund</i> us must answer "yes" ar <i>person</i> . If the an . If the <i>private func</i> itional Marketer I u must answer "ye similar <i>person</i> . If th <i>nd</i> uses. If the <i>priva</i>	e valuation used for purposes of investor subson determined by such <i>person</i> . se the services of someone other than you or whether the <i>person</i> acts as a placement ager swer to question 28.(a) is "yes," respond to q d uses more than one marketer you must comp nformation : 1 Record(s) Filed. es" whether the <i>person</i> acts as a placement agen answer to question 28.(a) is "yes," respond	scriptions, redemptions or distributions, and fee calcula your <i>employees</i> for marketing purposes? nt, consultant, finder, introducer, municipal advisor or c questions (b) through (g) below for each such marketer plete questions (b) through (g) separately for each mai gent, consultant, finder, introducer, municipal advisor or d to questions (b) through (g) below for each such mar	tions (including Ye other solicitor, o r the <i>private fun</i> rketer. r other solicitor, keter the <i>privat</i> each marketer.
ude o vant cation Toes You r simila uses. Addi you or s fun	quotes, and (ii) the mas) was the valuati the <i>private fund</i> us must answer "yes" ar <i>person</i> . If the an . If the <i>private fund</i> itional Marketer I u must answer "yes similar <i>person</i> . If the <i>nd</i> uses. If the <i>priva</i> Is the marketer a Name of the marketer and	e valuation used for purposes of investor subson determined by such <i>person</i> . se the services of someone other than you or 'whether the <i>person</i> acts as a placement ager swer to question 28. (a) is "yes," respond to question 28. (a) is "yes," respond to question 28. (a) is "yes," respond to question 28. (a) is "yes," responded uses more than one marketer you must complete answer to question 28. (a) is "yes," responded at <i>a placement</i> agent to question 28. (a) is "yes," responded at <i>a placement</i> agent agen	scriptions, redemptions or distributions, and fee calcula your <i>employees</i> for marketing purposes? nt, consultant, finder, introducer, municipal advisor or c questions (b) through (g) below for each such marketer plete questions (b) through (g) separately for each mai gent, consultant, finder, introducer, municipal advisor or d to questions (b) through (g) below for each such mar	tions (including Ye other solicitor, of r the <i>private fur</i> rketer. r other solicitor keter the <i>privat</i> each marketer. Yes N
ude o vant cation Does You r simila uses. Addi You or s fun (b) (c)	quotes, and (ii) the private fund uses the private fund uses ar person. If the an If the private funct itional Marketer I u must answer "ye similar person. If the d uses. If the private Is the marketer a Name of the mark	e valuation used for purposes of investor subs on determined by such <i>person</i> . se the services of someone other than you or ' whether the <i>person</i> acts as a placement ager swer to question 28.(a) is "yes," respond to o d uses more than one marketer you must comp nformation : 1 Record(s) Filed. es" whether the <i>person</i> acts as a placement agen answer to question 28.(a) is "yes," respond ate fund uses more than one marketer, you must a <i>related person</i> of your firm? keter:	scriptions, redemptions or distributions, and fee calcula your <i>employees</i> for marketing purposes? nt, consultant, finder, introducer, municipal advisor or c questions (b) through (g) below for each such marketer plete questions (b) through (g) separately for each mar gent, consultant, finder, introducer, municipal advisor or d to questions (b) through (g) below for each such mar ust complete questions (b) through (g) separately for e	tions (including Ye other solicitor, of r the <i>private fur</i> rketer. r other solicitor keter the <i>privat</i> each marketer. Yes N
ude o vant cation Does You r simila uses. Addi You or s fun (b) (c)	quotes, and (ii) the private fund uses the private fund uses at person. If the and If the private funct itional Marketer I u must answer "yes similar person. If the d uses. If the private Name of the marketer at Name of the marketer is If the marketer is	e valuation used for purposes of investor subson determined by such <i>person</i> . se the services of someone other than you or "whether the <i>person</i> acts as a placement ager swer to question 28.(a) is "yes," respond to a "uses more than one marketer you must comp nformation : 1 Record(s) Filed. es" whether the <i>person</i> acts as a placement ager the answer to question 28.(a) is "yes," respond ate fund uses more than one marketer, you must a related person of your firm? keter: S DISTRIBUTOR, LLC s registered with the SEC, its file number (<i>e.g.</i> ,	scriptions, redemptions or distributions, and fee calcula your <i>employees</i> for marketing purposes? nt, consultant, finder, introducer, municipal advisor or c questions (b) through (g) below for each such marketer plete questions (b) through (g) separately for each mar gent, consultant, finder, introducer, municipal advisor or d to questions (b) through (g) below for each such mar ust complete questions (b) through (g) separately for e	tions (including Ye other solicitor, of r the <i>private fur</i> rketer. r other solicitor keter the <i>privat</i> each marketer. Yes N
ude ovant cation Does You r simila uses. Addi (b) (c) (d)	quotes, and (ii) the has) was the valuation at the <i>private fund</i> uses an <i>person</i> . If the and and the <i>private fund</i> itional Marketer I u must answer "yes similar <i>person</i> . If the ad uses. If the <i>private</i> Is the marketer and ALLSPRING FUND If the marketer is 8 - 66716 and CRD Number 133366	e valuation used for purposes of investor subson determined by such <i>person</i> . se the services of someone other than you or "whether the <i>person</i> acts as a placement ager swer to question 28.(a) is "yes," respond to a "uses more than one marketer you must comp nformation : 1 Record(s) Filed. es" whether the <i>person</i> acts as a placement ager the answer to question 28.(a) is "yes," respond ate fund uses more than one marketer, you must a related person of your firm? keter: S DISTRIBUTOR, LLC s registered with the SEC, its file number (<i>e.g.</i> ,	scriptions, redemptions or distributions, and fee calcula your <i>employees</i> for marketing purposes? nt, consultant, finder, introducer, municipal advisor or c questions (b) through (g) below for each such marketer plete questions (b) through (g) separately for each mar gent, consultant, finder, introducer, municipal advisor or d to questions (b) through (g) below for each such mar ust complete questions (b) through (g) separately for e	tions (including Ye other solicitor, of r the <i>private fur</i> rketer. r other solicitor keter the <i>privat</i> each marketer. Yes N
ude ovant cation Does You r simila uses. Addi (b) (c) (d)	quotes, and (ii) the has) was the valuation at the <i>private fund</i> uses an <i>person</i> . If the and and the <i>private fund</i> itional Marketer I u must answer "yes similar <i>person</i> . If the ad uses. If the <i>private</i> Is the marketer and ALLSPRING FUND If the marketer is 8 - 66716 and CRD Number 133366	e valuation used for purposes of investor subson determined by such <i>person</i> . se the services of someone other than you or whether the <i>person</i> acts as a placement ager swer to question 28.(a) is "yes," respond to quest a uses more than one marketer you must comp nformation : 1 Record(s) Filed. es" whether the <i>person</i> acts as a placement ager the answer to question 28.(a) is "yes," respondent ate fund uses more than one marketer, you must a related person of your firm? keter: S DISTRIBUTOR, LLC is registered with the SEC, its file number (<i>e.g.</i> , (if any):	scriptions, redemptions or distributions, and fee calcula your <i>employees</i> for marketing purposes? nt, consultant, finder, introducer, municipal advisor or c questions (b) through (g) below for each such marketer plete questions (b) through (g) separately for each mar gent, consultant, finder, introducer, municipal advisor or d to questions (b) through (g) below for each such mar ust complete questions (b) through (g) separately for e	tions (including Ye other solicitor, of the <i>private fur</i> rketer. r other solicitor keter the <i>priva</i> each marketer. Yes I

(f) Does the marketer market the *private fund* through one or more websites?

0 0

 \odot \circ

(g) If the answer to question 28.(f) is "yes," list the website address(es): No Information Filed A. PRIVATE FUND Information About the Private Fund 1. (a) Name of the private fund: THE PRUDENTIAL INSURANCE COMPANY OF AMERICA VARIABLE CONTRACT ACCOUNT GI-200-7-MONTGOMERY-CFI (b) Private fund identification number: (include the "805-" prefix also) 805-7813560162 2. Under the laws of what state or country is the *private fund* organized: State: Country: United States New Jersey 3. (a) Name(s) of General Partner, Manager, Trustee, or Directors (or *persons* serving in a similar capacity): No Information Filed (b) If filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund. No Information Filed 4. The *private fund* (check all that apply; you must check at least one): (1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940 🗹 (2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940 5. List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered. No Information Filed Yes No 6. (a) Is this a "master fund" in a master-feeder arrangement? 0 0 (b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund? No Information Filed Yes No (c) Is this a "feeder fund" in a master-feeder arrangement? 0 \odot (d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? Name of private fund: Private fund identification number: (include the "805-" prefix also) NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately. 7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions: No Information Filed NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued

multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

	NOTE: For purposes of this question only, answer "yes" if the fund invests vehicles, regardless of whether they are also <i>private funds</i> or registered inv	•	in other pooled investmer	ıt	\sim
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>re</i> .	lated person?		0	0
				Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued b Company Act of 1940 (other than "money market funds," to the extent pro-		nder the Investment	0	o
10.	What type of fund is the private fund?				
	^O hedge fund ^O liquidity fund ^O private equity fund ^O real estate fund POOLED INVESTMENT FUND, INSURANCE COMPANY SEPARATE ACCOUNT	O securitized asset fund O venture	capital fund 💿 Other priva	ate fur	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the I	nstructions to Part 1A.			
11.	Current gross asset value of the <i>private fund</i> : \$ 231,929,134				
<u>Ow</u>	nership				
12.	Minimum investment commitment required of an investor in the <i>private fund</i> \$ 75,000,000	ł.			
	NOTE: Report the amount routinely required of investors who are not your organizational documents of the fund).	related persons (even if different from	the amount set forth in th	е	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 2				
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned 0%	by you and your <i>related persons</i> :			
15.	(a) What is the approximate percentage of the <i>private fund</i> beneficially ow0%	ned (in the aggregate) by funds of fu	nds:	Yes	Ne
	(b) If the private fund qualifies for the exclusion from the definition of invest Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	stment company under section 3(c)(1)	of the Investment	©	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned 0%	by non-United States persons:			
<u>Yoı</u>	Ir Advisory Services				
17.	(a) Are you a subadviser to this private fund?			Yes	No
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file question 17.(a) is "no," leave this question blank.	e number, if any, of the adviser of the	<i>private fund</i> . If the answe	r to	
	Name of adviser to private fund	SEC file number	CRD number		
	ALLSPRING GLOBAL INVESTMENTS, LLC	801-21122	104973		
				Yes	No
18.	(a) Do any investment advisers (other than the investment advisers listed	in Section 7.B.(1).A.3.(b)) advise the	private fund?	0	•
	(b) If the answer to question 18.(a) is "yes," provide the name and SEC file				
	to question 18.(a) is "no," leave this question blank.				
	NO INFORM	nation Filed			
				Yes	No
19.	Are your <i>clients</i> solicited to invest in the <i>private fund</i> ?			0	\odot
	NOTE: For purposes of this question, do not consider feeder funds of the priva	te fund.			
20.	Approximately what percentage of your <i>clients</i> has invested in the <i>private f</i> 0%	und?			

	D file number		
021-24	241502		
RVICE P	PROVIDERS		
ors			
a) (1)) Are the <i>private fund's</i> financial statements subject to an annual audit?		Ye
	2) If the answer to question 23.(a)(1) is "yes," are the financial statements p	repared in accordance with U.S. GAAP?	o
	the answer to question 23.(a)(1) is "yes," respond to questions (b) through ou must complete questions (b) through (f) separately for each auditing firm.	•	ing firı
	No Information F	Filed	
(a) Aro	re the <i>private fund's</i> audited financial statements for the most recently comple	loted fiscal year distributed to the private fund's	Ye
	vestors?	eted fiscal year distributed to the private rund's	0
h) Do	o all of the reports prepared by the auditing firm for the <i>private fund</i> since yo	ur last annual updating amendment contain unqualified o	opinio
0	Yes O No O Report Not Yet Received		
If ye	you check "Report Not Yet Received," you must promptly file an amendment to	your Form ADV to update your response when the report i	is avai
e Broke	ker		
a) Doe	oes the <i>private fund</i> use one or more prime brokers?		Ye
	the answer to question 24.(a) is "yes," respond to questions (b) through (e)) below for each prime broker the <i>private fund</i> uses. If t	he <i>pri</i> v
func	<i>Ind</i> uses more than one prime broker, you must complete questions (b) throu	ugh (e) separately for each prime broker.	
	No Information F	Filed	
odian			
			Ye
	oes the <i>private fund</i> use any custodians (including the prime brokers listed at the answer to question 25.(a) is "yes," respond to questions (b) through (g)		© private
	and uses more than one custodian, you must complete questions (b) through		private
Ad	Additional Custodian Information : 1 Record(s) Filed.		
	If the answer to question 25.(a) is "yes," respond to questions (b) through fund uses more than one custodian, you must complete questions (b) through		e priva
	(b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY		
	STATE STREET BANK AND TRUST COMPANY		
(k	(c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY		
(t	(c) Primary business name of custodian:	<i>te fund's</i> assets (city, state and country):	
(t	 (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for <i>custody</i> of the <i>priva</i> City: State: 	Country:	
(t	 (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for <i>custody</i> of the <i>priva</i> 		Yes

CRD Number (if any):

(g) If the custodian is not a broker-dealer, or is a broker-dealer but does not have an SEC registration number, provide its *legal entity identifier* (if any)

Administrator

26. (a) Does the *private fund* use an administrator other than your firm?

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Additional Administrator Information : 1 Record(s) Filed.

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

- (b) Name of administrator:
 - PRUDENTIAL INSURANCE COMPANY OF AMERICA

(d) Is the administrator a *related person* of your firm?

 (c) Location of administrator (city, state and country):

 City:
 State:

 NEWARK
 New Jersey

Country: United States

Yes No

- (e) Does the administrator prepare and send investor account statements to the *private fund's* investors?
 - Yes (provided to all investors) Some (provided to some but not all investors) No (provided to no investors)
- (f) If the answer to question 26.(e) is "no" or "some," who sends the investor account statements to the (rest of the) *private fund's* investors? If investor account statements are not sent to the (rest of the) *private fund's* investors, respond "not applicable."
- 27. During your last fiscal year, what percentage of the *private fund's* assets (by value) was valued by a *person*, such as an administrator, that is not your *related person*?

100%

Include only those assets where (i) such *person* carried out the valuation procedure established for that asset, if any, including obtaining any relevant quotes, and (ii) the valuation used for purposes of investor subscriptions, redemptions or distributions, and fee calculations (including allocations) was the valuation determined by such *person*.

Marketers

28. (a) Does the private fund use the services of someone other than you or your employees for marketing purposes?

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer you must complete questions (b) through (g) separately for each marketer.

Additional Marketer Information : 1 Record(s) Filed.

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private fund* uses. If the *private fund* uses more than one marketer, you must complete questions (b) through (g) separately for each marketer.

- (b) Is the marketer a related person of your firm?
- (c) Name of the marketer: PRUDENTIAL INVESTMENT MANAGEMENT SERVICES LLC

(d) If the marketer is registered with the SEC, its file number (*e.g.*, 801-, 8-, or 866-):



 \odot \circ



 \circ \circ

Yes No

		8 - 36540				
		and CRD Number (if a 18353	ny):			
		(e) Location of the marke	eter's office used principally by the <i>priva</i>	ate fund (city_state and country).		
		City:	State:	Country:		
		NEWARK	New Jersey	United States		
		(f) Does the marketer m	arket the <i>private fund</i> through one or n	more websites?	Yes No	
			arket the private rand through one of h	Hore websites:	00)
		(g) If the answer to ques	tion 28.(f) is "yes," list the website ad			
			No	Information Filed		
A. F	PRIVAT	e fund				
Inf	ormati	ion About the Private Fund	2			
1	(\mathbf{a})	Name of the <i>private fund</i> :				
1.			CE COMPANY OF AMERICA VARIABLE CO	NTRACT ACCOUNT VCA-GI-200-7-CAPEFF4		
	(b)	Private fund identification nu	imber:			
		(include the "805-" prefix al 805-4060247311	S0)			
2.	Unde	er the laws of what state or	country is the <i>private fund</i> organized:			
2.		State:		intry:		
		New Jersey	Unit	ted States		
3.	(a)	Name(s) of General Partner	, Manager, Trustee, or Directors (or <i>pe</i>	prsons serving in a similar capacity):		
			No Inf	formation Filed		
	(12)		tion identify the filling odvices and (on rel	him of deer(a) that an anamar(a) as manama(a) this private fund		
	(0)	n ming an <i>umbrena registrat</i>		<i>lying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund.</i>		
4.	The	private fund (check all that a	apply; you must check at least one):			
				npany under section 3(c)(1) of the Investment Company Act of 19		
	\checkmark	(2) qualifies for the exclusio	n from the definition of investment com	npany under section 3(c)(7) of the Investment Company Act of 19	40	
5.	List	the name and country, in Ei	nglish, of each <i>foreign financial regulato</i>	ry authority with which the private fund is registered.		
			No Inf	formation Filed		
					Yes	s No
6.			master-feeder arrangement?		0	\odot
	(b)	If yes, what is the name an		any) of the feeder funds investing in this <i>private fund?</i>		
					Yes	s No
	(C)	Is this a "feeder fund" in a	master-feeder arrangement?		0	\odot
		If yes, what is the name an Name of <i>private fund</i> :	d <i>private fund</i> identification number (if a	any) of the master fund in which this <i>private fund</i> invests?		
		<i>Private fund</i> identification nu (include the "805-" prefix al				
			tion 6 for each master-feeder arranger hent or reporting on the funds separate	ment regardless of whether you are filing a single Schedule D, Sec ely.	tion 7.B.	(1)
7.		ou are filing a single Schedu feeder funds answer the fol		er arrangement according to the instructions to this Section 7.B.(1), for eac	ch of

No Information Filed

NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	0	\odot
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	\odot
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other private POOLED INVESTMENT FUND; INSURANCE COMPANY SEPARATE ACCOUNT	te fun	ıd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 101,973,089		
<u>Ow</u>	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 75,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the organizational documents of the fund).		
13.	Approximate number of the <i>private fund's</i> beneficial owners: 1		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		
15.	 (a) What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds: 0% 		
		Yes	No
	(b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	⊙	0
16.	What is the approximate percentage of the <i>private fund</i> beneficially owned by non- <i>United States persons</i> : 0%		
<u>Yoı</u>	ur Advisory Services	Vac	Ne
17.		Yes	
	(b) If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i>. If the answer question 17.(a) is "no," leave this question blank.	~	V
	Name of adviser to private fund SEC file number CRD number		
	ALLSPRING GLOBAL INVESTMENTS, LLC 801-21122 104973		
		Yes	No

No Information Filed

(b) If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the private fund. If the answer

 \odot

18. (a) Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?

to question 18.(a) is "no," leave this question blank.

19. Ar	e your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
NC	DTE: For purposes of this question, do not consider feeder funds of the private fund.		
20. Ap 0%	pproximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ? %		
Private	e Offering		
21. Ha	as the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Yes ©	No O
00 10			
	yes, provide the <i>private fund's</i> Form D file number (if any): orm D file number		
	21-241502		
B. SERV	VICE PROVIDERS		
Audito	rs		
		Yes	No
23. (a)) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?	0	\odot
	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	\odot	\circ
	If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditi you must complete questions (b) through (f) separately for each auditing firm.	ng firm	ı
	No Information Filed		
		Yes	No
(g)) Are the private fund's audited financial statements for the most recently completed fiscal year distributed to the private fund's investors?	0	0
(h)) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified of	pinions	?
	O Yes O No O Report Not Yet Received		
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report i	s availai	ble.
Prime	Broker		
24 (a)) Does the <i>private fund</i> use one or more prime brokers?	Yes	
24. (a)	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. If the		€ €
	fund uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.		
	No Information Filed		
Custod	lian		
		Yes	No
25. (a)) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	⊙ orivate	0
	Additional Custodian Information : 1 Record(s) Filed.		
	If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each custodian the <i>private fund</i> uses. If the <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.	private)
	(b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY		
	(c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY		

Yes No

	(d) The location of the custor	lian's office responsible for <i>custody</i> of	of the <i>private fund's</i> assets (city, state and country):	
	City:	State: Missouri	Country:	
	KANSAS CITY	MISSOULI	United States	Yes No
	(e) Is the custodian a <i>related</i>	person of your firm?		0 0
	(f) If the custodian is a broke	er-dealer, provide its SEC registratio	n number (if any):	
	- CRD Number (if any):			
	(g) If the custodian is not a biotection is identifier (if any)	proker-dealer, or is a broker-dealer b	out does not have an SEC registration number, provide it	s legal entity
Admini	istrator			
				Yes No
26. (a)		ministrator other than your firm?		0 0
	•) is "yes," respond to questions (b) f rough (f) separately for each admini	through (f) below. If the <i>private fund</i> uses more than one strator.	administrator, you
	Additional Administrator Info	rmation : 1 Record(s) Filed.		
		(a) is "yes," respond to questions (b plete questions (b) through (f) separ) through (f) below. If the <i>private fund</i> uses more than o ately for each administrator.	ne
	(b) Name of administrator:			
	PRUDENTIAL INSURANCE	COMPANY OF AMERICA		
	(c) Location of administrator			
	City: NEWARK	State: New Jersey	Country: United States	
				Yes No
	(d) Is the administrator a rel	ated person of your firm?		○ ⊙
	(e) Does the administrator p	repare and send investor account st	atements to the private fund's investors?	
	⊙ Yes (provided to all inv	restors) OSome (provided to some	but not all investors) ${igcar_{igar}ignar_{igcar_{igar}ignar_{igar}ignar_{igar}ignar}ignar_{ignar}ignar_{ignar}ignar_{ignar}ignar_{ignar}ignar_{ignar}ignar_{ignar}ignar_{ignar}ignar_{ignar}ignar}ignar_{ignar}ignar}ignar}ignar}} \ignarry in bightarry intervear} bightarry intervea$	
			s the investor account statements to the (rest of the) private fund's investors, respond "not applic	
27 Du	ring your last fiscal year, what n	proentage of the <i>private fund's</i> assets	s (by value) was valued by a <i>person</i> , such as an administ	rator, that is not
you	ur <i>related person</i> ? 0%			
rel	-	on used for purposes of investor sul	on procedure established for that asset, if any, including operations, redemptions or distributions, and fee calculat	• •
Market	ters			Voc. No
28. (a)	Does the <i>private fund</i> use the se	ervices of someone other than you c	or your <i>employees</i> for marketing purposes?	Yes No
	similar person. If the answer to	question 28.(a) is "yes," respond to	ent, consultant, finder, introducer, municipal advisor or of questions (b) through (g) below for each such marketer nplete questions (b) through (g) separately for each mar	ther solicitor, or the <i>private fund</i>
	Additional Marketer Informat	ion : 1 Record(s) Filed.		

You must answer "yes" whether the *person* acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar *person*. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the *private*

		fund uses. If the private fund uses more than one marketer, you must complete questions (b) through (g) separately for each marketer	
		(b) Is the marketer a <i>related person</i> of your firm?	
		(b) Is the marketer a <i>related person</i> of your firm?	\odot
		(c) Name of the marketer: PRUDENTIAL INVESTMENT MANAGEMENT SERVICES LLC	
		(d) If the marketer is registered with the SEC, its file number (<i>e.g.</i> , 801-, 8-, or 866-): 8 - 36540	
		and CRD Number (if any): 18353	
		(e) Location of the marketer's office used principally by the private fund (city, state and country):	
		City:State:Country:NEWARKNew JerseyUnited States	
		NEWARK New Jersey United States Yes	No
		(f) Does the marketer market the <i>private fund</i> through one or more websites?	\odot
		(g) If the answer to question 28.(f) is "yes," list the website address(es):	
		No Information Filed	
Pf	RIVATE	FUND	
nfo	rmatio	on About the Private Fund	
1.	(a) N	ame of the <i>private fund</i> :	
	U	.S. CORE FIXED INCOME FUND, A SERIES OF 525 MARKET STREET FUND, LLC	
	• •	rivate fund identification number:	
		nclude the "805-" prefix also) 05-1355578983	
2.	Undo	r the laws of what state or country is the <i>private fund</i> organized:	
۷.		tate: Country:	
	C	United States	
3.	(a) N	ame(s) of General Partner, Manager, Trustee, or Directors (or <i>persons</i> serving in a similar capacity):	
0.		e of General Partner, Manager, Trustee, or Director	
	ALLS	PRING GLOBAL INVESTMENTS, LLC (MANAGER)	
	(b) I1	filing an umbrella registration, identify the filing adviser and/or relying adviser(s) that sponsor(s) or manage(s) this private fund.	
		No Information Filed	
4.	The r	rivate fund (check all that apply; you must check at least one):	
) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940	
	I (2	2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940	
5.	List tl	ne name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.	
		No Information Filed	
			Yes N
Ď.		s this a "master fund" in a master-feeder arrangement?	0
	(b) I1	yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?	
		No Information Filed	
		N	Yes N

(c) Is this a "feeder fund" in a master-feeder arrangement?

А.

(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests? Name of private fund:

 \odot

Private fund identification number: (include the "805-" prefix also)

NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section 7.B.(1) for the master-feeder arrangement or reporting on the funds separately.

7. If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for each of the feeder funds answer the following questions:

No Information Filed

NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially all of their assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it issued multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.

		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	0	\odot
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investment vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.		
	(b) If yes, does the private fund invest in funds managed by you or by a related person?	0	0

- 9. During your last fiscal year, did the *private fund* invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?
- 10. What type of fund is the private fund?

O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund ⊙ Other *private fund*: POOLED INVESTMENT FUND

NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.

11. Current gross asset value of the *private fund*:\$ 1,092,524,394

<u>Ownership</u>

12. Minimum investment commitment required of an investor in the private fund:

\$ 5,000,000

NOTE: Report the amount routinely required of investors who are not your *related persons* (even if different from the amount set forth in the organizational documents of the fund).

- Approximate number of the *private fund's* beneficial owners:
 20
- 14. What is the approximate percentage of the *private fund* beneficially owned by you and your *related persons*:0%
- 15. (a) What is the approximate percentage of the *private fund* beneficially owned (in the aggregate) by funds of funds:0%
 - (b) If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to *qualified clients*?
- 16. What is the approximate percentage of the *private fund* beneficially owned by non-*United States persons*:0%

Your Advisory Services

17. (a) Are you a subadviser to this private fund?

Yes No

Yes No

	No Information Filed		
		Ye	es N
	o any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	-
	the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If to question 18.(a) is "no," leave this question blank.	ne an	swer
	No Information Filed		
		Ye	es N
	bur <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	0
NOTE:	For purposes of this question, do not consider feeder funds of the private fund.		
oppro %	ximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>te Of</u>	ffering		
		Ye	es N
las tr	ne private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	Ģ	
-	, provide the <i>private fund's</i> Form D file number (if any):		
	D file number 263414		
evice ors a) (1) Are the <i>private fund's</i> financial statements subject to an annual audit?		-
<u>ors</u> a) (1 (2 If	2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audi	6	•
ors a) (1 (2 If yc	 2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audition to question to questions (b) through (c) below. If the <i>private fund</i> uses more than one audition to question questions (b) through (c) below. If the <i>private fund</i> uses more than one audition to question to question questions (b) through (c) below. If the <i>private fund</i> uses more than one audition to question questions (b) through (c) below. If the <i>private fund</i> uses more than one audition to question the question question to question to question question	6) () (
ors a) (1 (2 If yc	2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditou must complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed.	6) () (
ors a) (1 (2 If yc	 2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audition to question to questions (b) through (c) below. If the <i>private fund</i> uses more than one audition to question questions (b) through (c) below. If the <i>private fund</i> uses more than one audition to question to questions (b) through (c) below. If the <i>private fund</i> uses more than one audition to question to questit to question to questit to question to question to question t	6) () (
ors a) (1 (2 If yc	 2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audition unust complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditional Auditor Information : 1 Record(s) Filed. 	6) () (
ors a) (1 (2 If yc	 2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audition unust complete questions (b) through (f) separately for each auditing firm. Additional Auditor Information : 1 Record(s) Filed. If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing firm, you must complete questions (b) through (f) separately for each auditing firm. (b) Name of the auditing firm: 	6) () (
ors a) (1 (2 If yc	 (a) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? (b) If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audition unust complete questions (b) through (f) separately for each auditing firm. (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: Country: 	6) () (
ors a) (1 (2 If yc	 (a) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? (b) the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audition unust complete questions (b) through (f) separately for each auditing firm. (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): 	ing fi	
ors a) (1 (2 If yo	 (a) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? (b) If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audition unust complete questions (b) through (f) separately for each auditing firm. (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: Country: 	ing fi	5 (5 (1 m,
ors a) (1 (2 If yo	 (a) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? (b) answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audiou must complete questions (b) through (f) separately for each auditing firm. (c) The location of the auditing firm's office responsible for the <i>private fund</i>'s audit (city, state and country): City: State: Country: PHILADELPHIA Pennsylvania United States 	Yes	No
ors a) (1 (2 If yo	 (a) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP? (b) answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditou must complete questions (b) through (f) separately for each auditing firm. (c) The location of the auditing firm's office responsible for the <i>private fund's</i> audit (city, state and country): City: State: Country: PHILADELPHIA Pennsylvania United States (d) Is the auditing firm an <i>independent public accountant</i>? 	Yes O	No

(h) Do all of the reports prepared by the auditing firm for the private fund since your last annual updating amendment contain unqualified opinions?

investors?

If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to update your response when the report is available.

Prime Broker

24. (a) Does the *private fund* use one or more prime brokers?

If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each prime broker the *private fund* uses. If the *private fund* uses more than one prime broker, you must complete questions (b) through (e) separately for each prime broker.

No Information Filed

Custodian

25. (a) Does the *private fund* use any custodians (including the prime brokers listed above) to hold some or all of its assets? If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the *private fund* uses. If the *private*

	Information : 1 Record(s) Filed.		
		through g) below for each custodian the <i>private fund</i> use (b) through (g) separately for each custodian.	es. If the <i>priva</i>
(b) Legal name of c STATE STREET B	ustodian: ANK AND TRUST COMPANY		
•	s name of custodian: ANK AND TRUST COMPANY		
d) The location of	he custodian's office responsible for <i>custody</i> c	f the <i>private fund's</i> assets (city, state and country):	
City: BOSTON	State: Massachusetts	Country: United States	
			Yes
e) Is the custodiar	a related person of your firm?		0
f) If the custodian	is a broker-dealer, provide its SEC registration	number (if any):	
- CRD Number (if	any):		
(a) If the custodian	is not a broker-dealer, or is a broker-dealer b	ut does not have an SEC registration number, provide its	legal entity

Administrator

26. (a) Does the *private fund* use an administrator other than your firm?

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Additional Administrator Information : 1 Record(s) Filed.

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

- (b) Name of administrator: STATE STREET BANK AND TRUST COMPANY
- (c) Location of administrator (city, state and country):City: State:
 - City: State: BOSTON Massachusetts

Country: United States Yes No

0 0

Yes No

• •

(d) Is the administrator a <i>rela</i>	ted person of your mini?		00
(e) Does the administrator pr	epare and send investor account statem	ents to the <i>private fund's</i> investors?	
· · ·	estors) \bigcirc Some (provided to some but no		vestors)
	26.(e) is "no" or "some," who sends the ount statements are not sent to the (rest STMENTS, LLC		
During your last fiscal year, what pe your <i>related person</i> ?	rcentage of the <i>private fund's</i> assets (by	value) was valued by a <i>person</i> , such as a	an administrator, that is no
-	such <i>person</i> carried out the valuation pro on used for purposes of investor subscrip mined by such <i>person</i> .	•	
<u>ceters</u>			
(a) Does the private fund use the se	rvices of someone other than you or you	r employees for marketing purposes?	Yes
·	the <i>person</i> acts as a placement agent, c	consultant, finder, introducer, municipal a	
similar person. If the answer to c	question 28.(a) is "yes," respond to ques pre than one marketer you must complete		er each marketer.
similar <i>person</i> . If the answer to cuses. If the <i>private fund</i> uses mo	ore than one marketer you must complete	e questions (b) through (g) separately fo	
similar <i>person</i> . If the answer to o uses. If the <i>private fund</i> uses mo Additional Marketer Informati You must answer "yes" wheth or similar <i>person</i> . If the answe	ore than one marketer you must complete	e questions (b) through (g) separately fo , consultant, finder, introducer, municipal questions (b) through (g) below for each	l advisor or other solicitor, n such marketer the <i>private</i>
similar <i>person</i> . If the answer to o uses. If the <i>private fund</i> uses mo Additional Marketer Informati You must answer "yes" wheth or similar <i>person</i> . If the answe	ore than one marketer you must complete on : 1 Record(s) Filed. her the <i>person</i> acts as a placement agent r to question 28.(a) is "yes," respond to uses more than one marketer, you must o	e questions (b) through (g) separately fo , consultant, finder, introducer, municipal questions (b) through (g) below for each	l advisor or other solicitor, n such marketer the <i>private</i> rately for each marketer.
similar <i>person</i> . If the answer to ouses. If the <i>private fund</i> uses monotones and Additional Marketer Informati You must answer "yes" wheth or similar <i>person</i> . If the answer <i>fund</i> uses. If the <i>private fund</i> uses.	ore than one marketer you must complete on : 1 Record(s) Filed. her the <i>person</i> acts as a placement agent r to question 28.(a) is "yes," respond to uses more than one marketer, you must of <i>person</i> of your firm?	e questions (b) through (g) separately fo , consultant, finder, introducer, municipal questions (b) through (g) below for each	I advisor or other solicitor, n such marketer the <i>private</i> rately for each marketer. Yes No
similar <i>person</i> . If the answer to or uses. If the <i>private fund</i> uses more Additional Marketer Information You must answer "yes" wheth or similar <i>person</i> . If the answe <i>fund</i> uses. If the <i>private fund</i> uses (b) Is the marketer a <i>related</i> of (c) Name of the marketer: ALLSPRING FUNDS DISTRI	ore than one marketer you must complete on : 1 Record(s) Filed. her the <i>person</i> acts as a placement agent r to question 28.(a) is "yes," respond to uses more than one marketer, you must of <i>person</i> of your firm?	e questions (b) through (g) separately fo , consultant, finder, introducer, municipal questions (b) through (g) below for each complete questions (b) through (g) separ	I advisor or other solicitor, n such marketer the <i>private</i> rately for each marketer. Yes No
similar <i>person</i> . If the answer to o uses. If the <i>private fund</i> uses mo Additional Marketer Informati You must answer "yes" wheth or similar <i>person</i> . If the answe <i>fund</i> uses. If the <i>private fund</i> u (b) Is the marketer a <i>related</i> p (c) Name of the marketer: ALLSPRING FUNDS DISTRI (d) If the marketer is register 8 - 66716	ore than one marketer you must complete on : 1 Record(s) Filed. Ther the <i>person</i> acts as a placement agent r to question 28.(a) is "yes," respond to uses more than one marketer, you must o <i>berson</i> of your firm?	e questions (b) through (g) separately fo , consultant, finder, introducer, municipal questions (b) through (g) below for each complete questions (b) through (g) separ	I advisor or other solicitor, n such marketer the <i>private</i> rately for each marketer. Yes No
similar person. If the answer to o uses. If the private fund uses mo Additional Marketer Informati You must answer "yes" wheth or similar person. If the answe fund uses. If the private fund u (b) Is the marketer a related p (c) Name of the marketer: ALLSPRING FUNDS DISTRI (d) If the marketer is register 8 - 66716 and CRD Number (if any): 133366	ore than one marketer you must complete on : 1 Record(s) Filed. Ther the <i>person</i> acts as a placement agent r to question 28.(a) is "yes," respond to uses more than one marketer, you must o <i>berson</i> of your firm?	e questions (b) through (g) separately fo , consultant, finder, introducer, municipal questions (b) through (g) below for each complete questions (b) through (g) separ	I advisor or other solicitor, n such marketer the <i>private</i> rately for each marketer. Yes No
similar <i>person</i> . If the answer to o uses. If the <i>private fund</i> uses mo Additional Marketer Informati You must answer "yes" wheth or similar <i>person</i> . If the answe <i>fund</i> uses. If the <i>private fund</i> u (b) Is the marketer a <i>related p</i> (c) Name of the marketer: ALLSPRING FUNDS DISTRI (d) If the marketer is register 8 - 66716 and CRD Number (if any): 133366 (e) Location of the marketer's	on : 1 Record(s) Filed. There the <i>person</i> acts as a placement agent r to question 28. (a) is "yes," respond to uses more than one marketer, you must on <i>berson</i> of your firm? BUTOR, LLC ed with the SEC, its file number (<i>e.g.</i> , 801 s office used principally by the <i>private func</i>	e questions (b) through (g) separately fo , consultant, finder, introducer, municipal questions (b) through (g) below for each complete questions (b) through (g) separ	l advisor or other solicitor, n such marketer the <i>private</i> rately for each marketer. Yes No O
similar person. If the answer to o uses. If the private fund uses mo Additional Marketer Informati You must answer "yes" wheth or similar person. If the answe fund uses. If the private fund u (b) Is the marketer a related p (c) Name of the marketer: ALLSPRING FUNDS DISTRI (d) If the marketer is register 8 - 66716 and CRD Number (if any): 133366 (e) Location of the marketer's City: CHARLOTTE	ore than one marketer you must complete on : 1 Record(s) Filed. her the <i>person</i> acts as a placement agent r to question 28. (a) is "yes," respond to uses more than one marketer, you must of <i>berson</i> of your firm? BUTOR, LLC ed with the SEC, its file number (<i>e.g.</i> , 801 s office used principally by the <i>private fund</i> State:	e questions (b) through (g) separately fo , consultant, finder, introducer, municipal questions (b) through (g) below for each complete questions (b) through (g) separ 1-, 8-, or 866-): d (city, state and country): Country: United States	I advisor or other solicitor, n such marketer the <i>private</i> rately for each marketer. Yes No
similar person. If the answer to o uses. If the private fund uses mod Additional Marketer Informati You must answer "yes" wheth or similar person. If the answe fund uses. If the private fund u (b) Is the marketer a related p (c) Name of the marketer: ALLSPRING FUNDS DISTRI (d) If the marketer is register 8 - 66716 and CRD Number (if any): 133366 (e) Location of the marketer's City: CHARLOTTE (f) Does the marketer market	ore than one marketer you must complete on : 1 Record(s) Filed. her the <i>person</i> acts as a placement agent r to question 28. (a) is "yes," respond to uses more than one marketer, you must of <i>berson</i> of your firm? BUTOR, LLC ed with the SEC, its file number (<i>e.g.</i> , 801 s office used principally by the <i>private fund</i> State: North Carolina	e questions (b) through (g) separately fo , consultant, finder, introducer, municipal questions (b) through (g) below for each complete questions (b) through (g) separ 1-, 8-, or 866-): d (city, state and country): Country: United States ebsites?	l advisor or other solicitor, n such marketer the <i>private</i> rately for each marketer. Yes No O O

A. PRIVATE FUND

Information About the Private Fund

- 1. (a) Name of the *private fund*: US EQUITY MARKET NEUTRAL FUND LP
 - (b) *Private fund* identification number: (include the "805-" prefix also) 805-6657723941

2.	Under the laws of what state or country is the private fund organized:		
	State: Country:		
	Delaware United States		
3.	(a) Name(s) of General Partner, Manager, Trustee, or Directors (or persons serving in a similar capacity):		
	Name of General Partner, Manager, Trustee, or Director		
	ALLSPRING GLOBAL INVESTMENTS GP, LLC (GENERAL PARTNER)		
	(b) If filing on umbrollo registration identify the filing educer and/or relying educer(e) that energy (c) or manage(c) this private fund		
	(b) If filing an <i>umbrella registration</i> , identify the <i>filing adviser</i> and/or <i>relying adviser(s)</i> that sponsor(s) or manage(s) this <i>private fund</i> . No Information Filed		
4.	The private fund (check all that apply; you must check at least one):		
	(1) qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940		
	(2) qualifies for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940		
5.	List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.		
0.	No Information Filed		
		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	\odot
	(b) If yes, what is the name and private fund identification number (if any) of the feeder funds investing in this private fund?		
	No Information Filed		
		V	N
	(c) Is this a "feeder fund" in a master-feeder arrangement?	Yes	ino ©
	(d) If yes, what is the name and <i>private fund</i> identification number (if any) of the master fund in which this <i>private fund</i> invests?	0	e
	Name of <i>private fund</i> :		
	<i>Private fund</i> identification number: (include the "805-" prefix also)		
		(
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), for the feeder funds answer the following questions:	r each	n of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially al		
	assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if it multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	issue	d
		Yes	No
8.	(a) Is this <i>private fund</i> a "fund of funds"?	0	\odot
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investmen	t	
	vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies. (b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		0	U.
		Yes	No
9.	During your last fiscal year, did the private fund invest in securities issued by investment companies registered under the Investment	0	\odot
	Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?		
10.	What type of fund is the <i>private fund</i> ?		
	• hedge fund • liquidity fund • private equity fund • real estate fund • securitized asset fund • venture capital fund • Other <i>priva</i>	ite fun	d:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		

11. Current gross asset value of the private fund:

\$	1	,404	838
Ψ		,404	,030

Ow	ners	hip		
12.	\$5,	mum investment commitment required of an investor in the <i>private fund</i> : 000,000 E: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in the		
		anizational documents of the fund).		
13.	App 2	roximate number of the <i>private fund's</i> beneficial owners:		
14.	Wha 100	at is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : %		
15.	(a) 0%	What is the approximate percentage of the <i>private fund</i> beneficially owned (in the aggregate) by funds of funds:		
		If the private fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment Company Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	Yes ©	No Õ
16.	Wha 0%	at is the approximate percentage of the <i>private fund</i> beneficially owned by non-United States persons:		
You	ır Ad	visory Services		
17	(a)	Are you a subadviser to this <i>private fund</i> ?	Yes	
17.	(b)	If the answer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answer question 17.(a) is "no," leave this question blank.	C to	O
		No Information Filed		
			Yes	No
18.	(a)	Do any investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	\circ	\odot
		If the answer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If the to question 18.(a) is "no," leave this question blank.	answ	er
		No Information Filed		
			Yes	No
19.		your <i>clients</i> solicited to invest in the <i>private fund</i> ?	0	\odot
	NOT	E: For purposes of this question, do not consider feeder funds of the private fund.		
20.	App 0%	roximately what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
<u>Priv</u>	vate	Offering	Yes	No
21.	Has	the private fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	•	0
22.	lf y€	es, provide the <i>private fund's</i> Form D file number (if any):		
		m D file number		
	021	-260588		
B. SE	ERVI	CE PROVIDERS		
Aud	litors		V	N
23	(a)	(1) Are the <i>private fund's</i> financial statements subject to an annual audit?	Yes	
_0.	~~/	(2) If the answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	o o	0
		If the answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one auditing you must complete questions (b) through (f) separately for each auditing firm.		_

Additional Auditor Information : 1 Record(s) Filed.

(b)	Name of the auditing firm:			
	KPMG LLP			
(c)	The location of the auditing	g firm's office responsible for the <i>private fund's</i> audit (city, state and country):		
	City:	State: Country: Pennsylvania United States		
	PHILADELPHIA	Pennsylvania United States	Ye	5
(d)	Is the auditing firm an inde	ependent public accountant?	o	
(e)) Is the auditing firm registe	ered with the Public Company Accounting Oversight Board?	۲	
	If yes, Public Company Acc 185	counting Oversight Board-Assigned Number:		
(f)	If "yes" to (e) above, is the accordance with its rules?	e auditing firm subject to regular inspection by the Public Company Accounting Oversight Board in	o	
			١	1
Are t	the private fund's audited fina	ancial statements for the most recently completed fiscal year distributed to the private fund's		4
nves	stors?			
	If of the reports prepared by	the auditing firm for the private fund since your last annual updating amendment contain unqualified	u opin	1
$\odot v$	es 🖸 No 🖸 Report Not Vet	Peceived		
	es O No O Report Not Yet		rt la au	,
		Received ived," you must promptly file an amendment to your Form ADV to update your response when the repo	rt is av	12
	u check "Report Not Yet Recei			
If you	u check "Report Not Yet Recei	ived," you must promptly file an amendment to your Form ADV to update your response when the repo	rt is av	1
If you coker Does	<i>u check "Report Not Yet Recei</i> r s the <i>private fund</i> use one or e answer to question 24.(a)	ived," you must promptly file an amendment to your Form ADV to update your response when the repo	Ŋ	1
If you roker Does If the fund	<i>u check "Report Not Yet Recei</i> r s the <i>private fund</i> use one or e answer to question 24.(a)	ived," you must promptly file an amendment to your Form ADV to update your response when the report more prime brokers? is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If proker, you must complete questions (b) through (e) separately for each prime broker.	Ŋ	
If you oken Does If the fund	<i>u check "Report Not Yet Recei</i> <u>r</u> s the <i>private fund</i> use one or e answer to question 24.(a) uses more than one prime b litional Prime Broker Inform	ived," you must promptly file an amendment to your Form ADV to update your response when the report more prime brokers? is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If proker, you must complete questions (b) through (e) separately for each prime broker.	f the p	(
If you coke Does If the fund Add	u check "Report Not Yet Receined c s the private fund use one or e answer to question 24.(a) uses more than one prime b litional Prime Broker Inform the answer to question 24.(a)	ived," you must promptly file an amendment to your Form ADV to update your response when the report more prime brokers? is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If proker, you must complete questions (b) through (e) separately for each prime broker. mation : 1 Record(s) Filed.	f the p	(1)
If you coke Does If the fund Add	u check "Report Not Yet Receined c s the private fund use one or e answer to question 24.(a) uses more than one prime b litional Prime Broker Inform the answer to question 24.(a)	 ived, " you must promptly file an amendment to your Form ADV to update your response when the report more prime brokers? is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If broker, you must complete questions (b) through (e) separately for each prime broker. nation : 1 Record(s) Filed. a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If prime broker, you must complete questions (b) through (e) separately for each prime broker. 	f the p	(
If you coke Does If the fund Add If t pri (b)	u check "Report Not Yet Recei	 ived, " you must promptly file an amendment to your Form ADV to update your response when the report more prime brokers? is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If broker, you must complete questions (b) through (e) separately for each prime broker. nation : 1 Record(s) Filed. a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. If prime broker, you must complete questions (b) through (e) separately for each prime broker. 	f the p	(
If you coke Does If the fund Add If t pri (b)	u check "Report Not Yet Recei the private fund use one or e answer to question 24.(a) uses more than one prime b litional Prime Broker Inform the answer to question 24.(a ivate fund uses more than one Name of the prime broker: MORGAN STANLEY & CO. LL If the prime broker is regis	ived, " you must promptly file an amendment to your Form ADV to update your response when the report more prime brokers? is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. It proker, you must complete questions (b) through (e) separately for each prime broker. nation : 1 Record(s) Filed. a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. the prime broker, you must complete questions (b) through (e) below for each prime broker the private fund uses. LC	f the p	(
If you coker Does If the fund Add If t pri (b) (c)	u check "Report Not Yet Recei the private fund use one or e answer to question 24.(a) uses more than one prime b litional Prime Broker Inform the answer to question 24.(a <i>ivate fund</i> uses more than one Name of the prime broker: MORGAN STANLEY & CO. LL If the prime broker is regis 8 - 15869 CRD Number (if any): 8209	ived, " you must promptly file an amendment to your Form ADV to update your response when the report more prime brokers? is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. It proker, you must complete questions (b) through (e) separately for each prime broker. nation : 1 Record(s) Filed. a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. the prime broker, you must complete questions (b) through (e) below for each prime broker the private fund uses. LC	f the p	(
If you coker Does If the fund Add If t pri (b) (c)	u check "Report Not Yet Recei the private fund use one or e answer to question 24.(a) uses more than one prime b litional Prime Broker Inform the answer to question 24.(a ivate fund uses more than one Name of the prime broker: MORGAN STANLEY & CO. LL If the prime broker is regis 8 - 15869 CRD Number (if any): 8209 Location of prime broker's of City:	ived," you must promptly file an amendment to your Form ADV to update your response when the report more prime brokers? is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. It proker, you must complete questions (b) through (e) separately for each prime broker. nation : 1 Record(s) Filed. a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. It prime broker, you must complete questions (b) through (e) separately for each prime broker. LC stered with the SEC, its registration number: office used principally by the <i>private fund</i> (city, state and country): State: Country:	f the p	(
If you coker Does If the fund Add If t pri (b) (c)	u check "Report Not Yet Recei the private fund use one or e answer to question 24. (a) uses more than one prime b litional Prime Broker Inform the answer to question 24. (a) the answer to question 24. (a) ivate fund uses more than one Name of the prime broker: MORGAN STANLEY & CO. LL If the prime broker is regis 8 - 15869 CRD Number (if any): 8209 Location of prime broker's of	<pre>ived, " you must promptly file an amendment to your Form ADV to update your response when the report more prime brokers? is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. It poroker, you must complete questions (b) through (e) separately for each prime broker. nation : 1 Record(s) Filed. a) is "yes," respond to questions (b) through (e) below for each prime broker the private fund uses. the prime broker, you must complete questions (b) through (e) separately for each prime broker. LC stered with the SEC, its registration number:</pre>	f the p	(
If you ooker Does f the fund Add If t pri (b) (c) (d)	u check "Report Not Yet Recei the private fund use one or e answer to question 24.(a) uses more than one prime b litional Prime Broker Inform the answer to question 24.(a <i>ivate fund</i> uses more than one Name of the prime broker: MORGAN STANLEY & CO. LL If the prime broker is regis 8 - 15869 CRD Number (if any): 8209 Location of prime broker's of City: NEW YORK	ived," you must promptly file an amendment to your Form ADV to update your response when the report more prime brokers? is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. It proker, you must complete questions (b) through (e) separately for each prime broker. nation : 1 Record(s) Filed. a) is "yes," respond to questions (b) through (e) below for each prime broker the <i>private fund</i> uses. It prime broker, you must complete questions (b) through (e) separately for each prime broker. LC stered with the SEC, its registration number: office used principally by the <i>private fund</i> (city, state and country): State: Country:	f the p	

Custodian

25. (a) Does the private fund use any custodians (including the prime brokers listed above) to hold some or all of its assets?

Yes No

If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each custodian the *private fund* uses. If the *private fund* uses more than one custodian, you must complete questions (b) through (g) separately for each custodian.

Additional Custodian Information : 1 Record(s) Filed.

	Legal name of custodian: MORGAN STANLEY & CO. LLC			
(C)	Primary business name of cu MORGAN STANLEY & CO. LLC			
(d)			y of the <i>private fund's</i> assets (city, st	ate and country):
	City: NEW YORK	State: New York	Country: United States	
				Y
(e)	Is the custodian a related pe	erson of your firm?		c
(f)	If the custodian is a broker-o 8 - 15869	dealer, provide its SEC registrat	ion number (if any):	
	CRD Number (if any): 8209			
(g)	If the custodian is not a brol <i>identifier</i> (if any)	ker-dealer, or is a broker-deale	r but does not have an SEC registrat	ion number, provide its <i>legal entity</i>
rato	r			
	_			
Does	the <i>private fund</i> use an admir	nistrator other than your firm?		
		-) through (f) below. If the <i>private fu</i> r	nd uses more than one administra
lf the	e answer to question 26 (a) is	-		nd uses more than one administrat
If the must	e answer to question 26.(a) is complete questions (b) throu	"yes," respond to questions (b igh (f) separately for each adm		nd uses more than one administrat
If the must	e answer to question 26 (a) is	"yes," respond to questions (b igh (f) separately for each adm		nd uses more than one administrat
If the must	e answer to question 26.(a) is complete questions (b) throu	"yes," respond to questions (b igh (f) separately for each adm		nd uses more than one administrat
If the must Add	e answer to question 26.(a) is complete questions (b) throu itional Administrator Inform the answer to question 26.(a)	"yes," respond to questions (b igh (f) separately for each adm ation : 1 Record(s) Filed. is "yes," respond to questions	(b) through (f) below. If the <i>private</i> i	
If the must Add	e answer to question 26.(a) is complete questions (b) throu itional Administrator Inform the answer to question 26.(a)	"yes," respond to questions (b igh (f) separately for each adm ation : 1 Record(s) Filed.	(b) through (f) below. If the <i>private</i> i	
If the must Add	e answer to question 26.(a) is complete questions (b) throu itional Administrator Inform the answer to question 26.(a)	"yes," respond to questions (b igh (f) separately for each adm ation : 1 Record(s) Filed. is "yes," respond to questions	(b) through (f) below. If the <i>private</i> i	
If the must Add	e answer to question 26.(a) is complete questions (b) throu itional Administrator Inform the answer to question 26.(a) ministrator, you must complet	"yes," respond to questions (b igh (f) separately for each adm ation : 1 Record(s) Filed. is "yes," respond to questions e questions (b) through (f) sep	(b) through (f) below. If the <i>private</i> i	
If the must Add If t adr (b)	e answer to question 26.(a) is complete questions (b) throu itional Administrator Inform the answer to question 26.(a) ministrator, you must complet Name of administrator:	"yes," respond to questions (b igh (f) separately for each adm ation : 1 Record(s) Filed. is "yes," respond to questions e questions (b) through (f) sep	(b) through (f) below. If the <i>private</i> i	
If the must Add If t adr (b)	e answer to question 26. (a) is complete questions (b) throu itional Administrator Inform the answer to question 26. (a) ministrator, you must complet Name of administrator: STATE STREET BANK AND TRU Location of administrator (cit City:	"yes," respond to questions (b igh (f) separately for each adm ation : 1 Record(s) Filed. is "yes," respond to questions e questions (b) through (f) sep UST COMPANY ty, state and country): State:	(b) through (f) below. If the <i>private</i> is arately for each administrator.	
If the must Add If t adr (b)	e answer to question 26. (a) is complete questions (b) throu itional Administrator Inform the answer to question 26. (a) ministrator, you must complet Name of administrator: STATE STREET BANK AND TRU Location of administrator (cit	"yes," respond to questions (b igh (f) separately for each adm ation : 1 Record(s) Filed. is "yes," respond to questions re questions (b) through (f) sep UST COMPANY ty, state and country):	(b) through (f) below. If the <i>private</i> arately for each administrator.	<i>fund</i> uses more than one
If the must Add If t adr (b) (c)	e answer to question 26. (a) is complete questions (b) throu itional Administrator Inform the answer to question 26. (a) ministrator, you must complet Name of administrator: STATE STREET BANK AND TRU Location of administrator (cit City:	"yes," respond to questions (b igh (f) separately for each adm ation : 1 Record(s) Filed. is "yes," respond to questions re questions (b) through (f) sep UST COMPANY ty, state and country): State: Massachusetts	(b) through (f) below. If the <i>private</i> is arately for each administrator.	
If the must Add If t adr (b) (c)	e answer to question 26. (a) is complete questions (b) throu itional Administrator Informa- the answer to question 26. (a) ministrator, you must complet Name of administrator: STATE STREET BANK AND TRU Location of administrator (cit City: BOSTON Is the administrator a <i>related</i>	"yes," respond to questions (b igh (f) separately for each adm ation : 1 Record(s) Filed. is "yes," respond to questions re questions (b) through (f) sep UST COMPANY ty, state and country): State: Massachusetts d person of your firm?	(b) through (f) below. If the <i>private</i> is arately for each administrator.	fund uses more than one Ye:
If the must Add If t adr (b) (c)	e answer to question 26. (a) is complete questions (b) throu itional Administrator Informa- the answer to question 26. (a) ministrator, you must complet Name of administrator: STATE STREET BANK AND TRU Location of administrator (cit City: BOSTON Is the administrator a <i>related</i> Does the administrator prep	"yes," respond to questions (b righ (f) separately for each adm ation : 1 Record(s) Filed. is "yes," respond to questions re questions (b) through (f) sep UST COMPANY ty, state and country): State: Massachusetts d person of your firm? hare and send investor account	(b) through (f) below. If the <i>private i</i> arately for each administrator. Country: United States	fund uses more than one Yes
If the must Add If t adr (b) (c)	e answer to question 26. (a) is complete questions (b) throu itional Administrator Informa- the answer to question 26. (a) ministrator, you must complet Name of administrator: STATE STREET BANK AND TRU Location of administrator (cit City: BOSTON Is the administrator a <i>related</i> Does the administrator a <i>related</i> Does the administrator prep OYes (provided to all investor)	"yes," respond to questions (b igh (f) separately for each adm ation : 1 Record(s) Filed. is "yes," respond to questions re questions (b) through (f) sep UST COMPANY ty, state and country): State: Massachusetts d person of your firm? eare and send investor account tors) Some (provided to som o. (e) is "no" or "some," who se at statements are not sent to the	(b) through (f) below. If the <i>private</i> is arately for each administrator. Country: United States	<i>fund</i> uses more than one Yesestors? ed to no investors) to the (rest of the) <i>private fund's</i>
If the must Add If t adr (b) (c)	e answer to question 26. (a) is complete questions (b) throu itional Administrator Informa- the answer to question 26. (a) ministrator, you must complet Name of administrator: STATE STREET BANK AND TRU Location of administrator (cit City: BOSTON Is the administrator a <i>related</i> Does the administrator prep OYes (provided to all invest If the answer to question 26 investors? If investor accourt	"yes," respond to questions (b igh (f) separately for each adm ation : 1 Record(s) Filed. is "yes," respond to questions re questions (b) through (f) sep UST COMPANY ty, state and country): State: Massachusetts d person of your firm? eare and send investor account tors) Some (provided to som o. (e) is "no" or "some," who se at statements are not sent to the	(b) through (f) below. If the <i>private i</i> arately for each administrator. Country: United States statements to the <i>private fund's</i> inve e but not all investors) © No (provid	<i>fund</i> uses more than one Yesestors? ed to no investors) to the (rest of the) <i>private fund's</i>
If the must Add If t adr (b) (c)	e answer to question 26. (a) is complete questions (b) throu itional Administrator Informa- the answer to question 26. (a) ministrator, you must complet Name of administrator: STATE STREET BANK AND TRU Location of administrator (cit City: BOSTON Is the administrator a <i>related</i> Does the administrator prep OYes (provided to all invest If the answer to question 26 investors? If investor accourt	"yes," respond to questions (b igh (f) separately for each adm ation : 1 Record(s) Filed. is "yes," respond to questions re questions (b) through (f) sep UST COMPANY ty, state and country): State: Massachusetts d person of your firm? eare and send investor account tors) Some (provided to som o. (e) is "no" or "some," who se at statements are not sent to the	(b) through (f) below. If the <i>private i</i> arately for each administrator. Country: United States statements to the <i>private fund's</i> inve e but not all investors) © No (provid	fund uses more than one Yes estors? ed to no investors) to the (rest of the) <i>private fund's</i>

allocations) was the valuation determined by such person.

28. (a) Does the private fund use the services of someone other than you or your employees for marketing purposes?

You must answer "yes" whether the person acts as a placement agent, consultant, finder, introducer, municipal advisor or other solicitor, or similar person. If the answer to question 28.(a) is "yes," respond to questions (b) through (g) below for each such marketer the private fund 1 -

Yes No

 \circ

 \odot

or similar <i>person</i> . If the	e answer to question 28.(a) is "yes," respon	agent, consultant, finder, introducer, municipal adv nd to questions (b) through (g) below for each suc nust complete questions (b) through (g) separatel	ch marketer the <i>privat</i>
			Yes M
(b) Is the marketer a	related person of your firm?		•
(c) Name of the mark ALLSPRING FUNDS	seter: 5 DISTRIBUTOR, LLC		
(d) If the marketer is 8 - 66716	registered with the SEC, its file number (e.g	r., 801-, 8-, or 866-):	
and CRD Number 133366	(if any):		
	arketer's office used principally by the private		
City:	State:	Country:	
CHARLOTTE	North Carolina	United States	Yes I
(f) Does the markete	r market the <i>private fund</i> through one or mo	pre websites?	0
(a) If the answer to a	question 28.(f) is "yes," list the website addr	7655(65)·	
(g) in the answer to o		nformation Filed	
ATE FUND			
nation About the Private F	' <u>und</u>		
a) Name of the <i>private func</i>			
US LOW VOLATILITY FUN			
 Private fund identification (include the "805-" prefi 805-4332560483 			
nder the laws of what state	e or country is the <i>private fund</i> organized:		
State:	Country:		
Delaware	United Sta	tes	
	ner, Manager, Trustee, or Directors (or pers	ons serving in a similar capacity):	
	Manager, Trustee, or Director		
ALLSPRING GLOBAL INVEST	MENTS GP, LLC (GENERAL PARTNER)		
	stration, identify the filing adviser and/or relyi	<i>ng adviser(s)</i> that sponsor(s) or manage(s) this <i>pr</i>	ivate fund.
) If filing an <i>umbrella regi</i> s			
) If filing an <i>umbrella regis</i>	No Infor	mation Filed	
	No Infor nat apply; you must check at least one):	rmation Filed	

5. List the name and country, in English, of each foreign financial regulatory authority with which the private fund is registered.

No Information Filed

		Yes	No
6.	(a) Is this a "master fund" in a master-feeder arrangement?	0	\odot
	(b) If yes, what is the name and <i>private fund</i> identification number (if any) of the feeder funds investing in this <i>private fund</i> ?		
	No Information Filed		
		Yes	No
	(c) Is this a "feeder fund" in a master-feeder arrangement?	0	•
	(d) If yes, what is the name and private fund identification number (if any) of the master fund in which this private fund invests?		
	Name of <i>private fund</i> :		
	Private fund identification number: (include the "805-" prefix also)		
	NOTE: You must complete question 6 for each master-feeder arrangement regardless of whether you are filing a single Schedule D, Section for the master-feeder arrangement or reporting on the funds separately.	on 7.B.(1)
7.	If you are filing a single Schedule D, Section 7.B.(1) for a master-feeder arrangement according to the instructions to this Section 7.B.(1), the feeder funds answer the following questions:	for eac	h of
	No Information Filed		
	NOTE: For purposes of questions 6 and 7, in a master-feeder arrangement, one or more funds ("feeder funds") invest all or substantially assets in a single fund ("master fund"). A fund would also be a "feeder fund" investing in a "master fund" for purposes of this question if multiple classes (or series) of shares or interests, and each class (or series) invests substantially all of its assets in a single master fund.	it issue	ed
3.	(a) Is this <i>private fund</i> a "fund of funds"?	Yes	_
	NOTE: For purposes of this question only, answer "yes" if the fund invests 10 percent or more of its total assets in other pooled investme vehicles, regardless of whether they are also <i>private funds</i> or registered investment companies.	O ent	o
	(b) If yes, does the <i>private fund</i> invest in funds managed by you or by a <i>related person</i> ?	0	0
		Yes	No
9.	During your last fiscal year, did the <i>private fund</i> invest in securities issued by investment companies registered under the Investment Company Act of 1940 (other than "money market funds," to the extent provided in Instruction 6.e.)?	0	0
10.	What type of fund is the private fund?		
	O hedge fund O liquidity fund O private equity fund O real estate fund O securitized asset fund O venture capital fund ⊙ Other <i>pri</i> POOLED INVESTMENT FUND	vate fur	nd:
	NOTE: For definitions of these fund types, please see Instruction 6 of the Instructions to Part 1A.		
11.	Current gross asset value of the <i>private fund</i> : \$ 61,323,618		
Jwi	nership		
12.	Minimum investment commitment required of an investor in the <i>private fund</i> : \$ 5,000,000		
	NOTE: Report the amount routinely required of investors who are not your <i>related persons</i> (even if different from the amount set forth in torganizational documents of the fund).	he	
13.	Approximate number of the <i>private fund's</i> beneficial owners: 4		
14.	What is the approximate percentage of the <i>private fund</i> beneficially owned by you and your <i>related persons</i> : 0%		

15. (a) What is the approximate percentage of the *private fund* beneficially owned (in the aggregate) by funds of funds:0%

			Ye	s No
		rivate fund qualifies for the exclusion from the definition of investment company under section 3(c)(1) of the Investment by Act of 1940, are sales of the fund limited to <i>qualified clients</i> ?	O	0
16.	What is the 0%	approximate percentage of the <i>private fund</i> beneficially owned by non-United States persons:		
V				
YOL	r Advisory S	services	Ye	s No
17.	(a) Are you	a subadviser to this private fund?	0	\odot
		nswer to question 17.(a) is "yes," provide the name and SEC file number, if any, of the adviser of the <i>private fund</i> . If the answ n 17.(a) is "no," leave this question blank.	ver to	
		No Information Filed		
			Ye	s No
18.		investment advisers (other than the investment advisers listed in Section 7.B.(1).A.3.(b)) advise the private fund?	0	
		nswer to question 18.(a) is "yes," provide the name and SEC file number, if any, of the other advisers to the <i>private fund</i> . If th tion 18.(a) is "no," leave this question blank.	ne ans	wer
		No Information Filed		
			Ye	s No
19.	·	ents solicited to invest in the private fund?	0	\odot
	NOTE: For p	urposes of this question, do not consider feeder funds of the private fund.		
20.	Approximate	ely what percentage of your <i>clients</i> has invested in the <i>private fund</i> ?		
Priv	ate Offering		Ye	s No
21.	Has the priv	rate fund ever relied on an exemption from registration of its securities under Regulation D of the Securities Act of 1933?	o	_
22.	If yes, provi	de the <i>private fund's</i> Form D file number (if any):		
	Form D file	number		
	021-19109			
3. S	RVICE PROV	IDERS		
Auc	itors			
			Ye	s No
23.		the <i>private fund's</i> financial statements subject to an annual audit?	C	0
		e answer to question 23.(a)(1) is "yes," are the financial statements prepared in accordance with U.S. GAAP?	C	
		nswer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one audit st complete questions (b) through (f) separately for each auditing firm.	ing fir	m,
	Additic	onal Auditor Information : 1 Record(s) Filed.		
		answer to question 23.(a)(1) is "yes," respond to questions (b) through (h) below. If the <i>private fund</i> uses more than one ng firm, you must complete questions (b) through (f) separately for each auditing firm.		
		ame of the auditing firm: PMG LLP		
	(c) T	ne location of the auditing firm's office responsible for the private fund's audit (city, state and country):		
		ity: State: Country:		
	P	HILADELPHIA Pennsylvania United States	Yes	No
	(d) Is	the auditing firm an independent public accountant?	©	0
	(e) Is	the auditing firm registered with the Public Company Accounting Oversight Board?	o	0
		yes, Public Company Accounting Oversight Board-Assigned Number:		
		85		

	(f) If "yes" to (e) above, is the auditing firm subject to regular inspection by the Public Compa accordance with its rules?		
			Yes
	(g) Are the <i>private fund's</i> audited financial statements for the most recently completed fiscal year dis investors?	stributed to the <i>private fund's</i>	۲
(h)	(h) Do all of the reports prepared by the auditing firm for the private fund since your last annual upda	ating amendment contain unqualif	ied opinion
	⊙ Yes ○ No ○ Report Not Yet Received		
	If you check "Report Not Yet Received," you must promptly file an amendment to your Form ADV to υ	update your response when the rep	oort is availa
ne B	ne Broker		Yes
(a)	(a) Does the <i>private fund</i> use one or more prime brokers?		0
	If the answer to question 24.(a) is "yes," respond to questions (b) through (e) below for each pr <i>fund</i> uses more than one prime broker, you must complete questions (b) through (e) separately		If the priva
	No Information Filed		
odia	odian		
			Yes
(a)	(a) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some	e or all of its assets?	\odot
	 (a) Does the <i>private fund</i> use any custodians (including the prime brokers listed above) to hold some If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each cu <i>fund</i> uses more than one custodian, you must complete questions (b) through (g) separately for Additional Custodian Information : 1 Record(s) Filed. 	ustodian the <i>private fund</i> uses. If	
	If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each cu fund uses more than one custodian, you must complete questions (b) through (g) separately for	ustodian the <i>private fund</i> uses. If r each custodian. custodian the <i>private fund</i> uses. I	the <i>private</i>
	If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each cu fund uses more than one custodian, you must complete questions (b) through (g) separately for Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each c	ustodian the <i>private fund</i> uses. If r each custodian. custodian the <i>private fund</i> uses. I	the <i>private</i>
	If the answer to question 25.(a) is "yes," respond to questions (b) through (g) below for each cu fund uses more than one custodian, you must complete questions (b) through (g) separately for Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25.(a) is "yes," respond to questions (b) through g) below for each cu fund uses more than one custodian, you must complete questions (b) through (g) separately for (b) Legal name of custodian:	ustodian the <i>private fund</i> uses. If r each custodian. custodian the <i>private fund</i> uses. I	the <i>private</i>
	If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each cu fund uses more than one custodian, you must complete questions (b) through (g) separately for Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each of fund uses more than one custodian, you must complete questions (b) through (g) separately for (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian:	ustodian the <i>private fund</i> uses. If r each custodian. custodian the <i>private fund</i> uses. I for each custodian.	the <i>private</i>
	If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each cu fund uses more than one custodian, you must complete questions (b) through (g) separately for Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each of fund uses more than one custodian, you must complete questions (b) through (g) separately for (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (ci- City: State: Country:	ustodian the <i>private fund</i> uses. If r each custodian. custodian the <i>private fund</i> uses. I for each custodian.	the <i>private</i>
	If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each cu fund uses more than one custodian, you must complete questions (b) through (g) separately for Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each of fund uses more than one custodian, you must complete questions (b) through (g) separately for (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (ci-	ustodian the <i>private fund</i> uses. If r each custodian. custodian the <i>private fund</i> uses. I for each custodian.	the <i>private</i>
	If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each cu fund uses more than one custodian, you must complete questions (b) through (g) separately for Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each of fund uses more than one custodian, you must complete questions (b) through (g) separately for (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for <i>custody</i> of the <i>private fund's</i> assets (ci- City: State: Country:	ustodian the <i>private fund</i> uses. If r each custodian. custodian the <i>private fund</i> uses. I for each custodian.	the <i>private</i>
	If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each culture fund uses more than one custodian, you must complete questions (b) through (g) separately for Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each of fund uses more than one custodian, you must complete questions (b) through g) below for each of fund uses more than one custodian, you must complete questions (b) through (g) separately for (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for custody of the private fund's assets (cill City: State: Country: BOSTON Massachusetts	ustodian the <i>private fund</i> uses. If r each custodian. custodian the <i>private fund</i> uses. I for each custodian.	the <i>private</i> f the <i>private</i> Yes f
	If the answer to question 25. (a) is "yes," respond to questions (b) through (g) below for each cut fund uses more than one custodian, you must complete questions (b) through (g) separately for Additional Custodian Information : 1 Record(s) Filed. If the answer to question 25. (a) is "yes," respond to questions (b) through g) below for each of fund uses more than one custodian, you must complete questions (b) through g) below for each of fund uses more than one custodian, you must complete questions (b) through (g) separately for (b) Legal name of custodian: STATE STREET BANK AND TRUST COMPANY (c) Primary business name of custodian: STATE STREET BANK AND TRUST COMPANY (d) The location of the custodian's office responsible for custody of the private fund's assets (cit City: State: Country: BOSTON Massachusetts (e) Is the custodian a related person of your firm?	ustodian the <i>private fund</i> uses. If r each custodian. custodian the <i>private fund</i> uses. I for each custodian.	the <i>private</i> f the <i>private</i> Yes f

26. (a) Does the *private fund* use an administrator other than your firm?

If the answer to question 26.(a) is "yes," respond to questions (b) through (f) below. If the *private fund* uses more than one administrator, you must complete questions (b) through (f) separately for each administrator.

Yes No

 \odot \circ

Additional Administrator Information : 1 Record(s) Filed.

adr	ministrator, you mus			
(b)	Name of administra STATE STREET BAN	ator: K AND TRUST COMPANY		
(c)	Location of adminis	strator (city, state and country):		
	City:	State:	Country:	
	BOSTON	Massachusetts	United States	
(4)	le the administrate	r a related person of your firm?		Yes N
(0)		or a related person of your firm?		00
(e)	Does the administr	ator prepare and send investor account	statements to the private fund's investors?	
	OYes (provided to	all investors) \bigcirc Some (provided to som	e but not all investors) \odot No (provided to no investors)	
(f)	investors? If invest		nds the investor account statements to the (rest of the) private fund's investors, respond "not appl	
)0% clude o	•	•	tion procedure established for that asset, if any, including subscriptions, redemptions or distributions, and fee calcula	
ters	is) was the valuation	n determined by such <i>person</i> .		
1015				
				Ye
) Does You r simila	must answer "yes" w ar <i>person</i> . If the ansv	vhether the <i>person</i> acts as a placement a ver to question 28.(a) is "yes," respond	a or your <i>employees</i> for marketing purposes? agent, consultant, finder, introducer, municipal advisor or to questions (b) through (g) below for each such markete complete questions (b) through (g) separately for each ma	other solicitor, or the private fund
) Does You r simila uses.	must answer "yes" w ar <i>person</i> . If the answ If the <i>private fund</i> u	vhether the <i>person</i> acts as a placement a ver to question 28.(a) is "yes," respond	agent, consultant, finder, introducer, municipal advisor or to questions (b) through (g) below for each such markete	other solicitor, or the private fund
) Does You r simila uses. Addi You or s	must answer "yes" w ar <i>person</i> . If the answ If the <i>private fund</i> u i tional Marketer Inf u must answer "yes" similar <i>person</i> . If the	whether the <i>person</i> acts as a placement a wer to question 28.(a) is "yes," respond uses more than one marketer you must o formation : 1 Record(s) Filed. ' whether the <i>person</i> acts as a placemen answer to question 28.(a) is "yes," resp	agent, consultant, finder, introducer, municipal advisor or to questions (b) through (g) below for each such markete	other solicitor, or er the <i>private func</i> arketer.
) Does You r simila uses. Addi You or s <i>fun</i>	must answer "yes" w ar <i>person.</i> If the answ If the <i>private fund</i> u itional Marketer Inf u must answer "yes" similar <i>person.</i> If the od uses. If the <i>private</i>	whether the <i>person</i> acts as a placement a wer to question 28. (a) is "yes," respond uses more than one marketer you must o formation : 1 Record(s) Filed. " whether the <i>person</i> acts as a placemen answer to question 28.(a) is "yes," resp <i>e fund</i> uses more than one marketer, you	agent, consultant, finder, introducer, municipal advisor or to questions (b) through (g) below for each such markete complete questions (b) through (g) separately for each markete t agent, consultant, finder, introducer, municipal advisor of bond to questions (b) through (g) below for each such markete	other solicitor, or er the <i>private func</i> arketer. or other solicitor, arketer the <i>private</i> each marketer. Yes No
You r simila uses. Addi You or s <i>fun</i>	must answer "yes" w ar <i>person.</i> If the answ If the <i>private fund</i> u itional Marketer Inf u must answer "yes" similar <i>person.</i> If the od uses. If the <i>private</i>	whether the <i>person</i> acts as a placement a wer to question 28.(a) is "yes," respond uses more than one marketer you must o formation : 1 Record(s) Filed. ' whether the <i>person</i> acts as a placemen answer to question 28.(a) is "yes," resp	agent, consultant, finder, introducer, municipal advisor or to questions (b) through (g) below for each such markete complete questions (b) through (g) separately for each markete t agent, consultant, finder, introducer, municipal advisor of bond to questions (b) through (g) below for each such markete	• other solicitor, or er the <i>private func</i> arketer. • or other solicitor, arketer the <i>private</i> each marketer.
) Does You r simila uses. Addi You or s fun (b)	must answer "yes" w ar <i>person.</i> If the answ If the <i>private fund</i> u itional Marketer Inf u must answer "yes" similar <i>person.</i> If the od uses. If the <i>private</i>	whether the <i>person</i> acts as a placement a wer to question 28. (a) is "yes," respond uses more than one marketer you must of formation : 1 Record(s) Filed. "whether the <i>person</i> acts as a placemen answer to question 28. (a) is "yes," resp <i>e fund</i> uses more than one marketer, you related person of your firm?	agent, consultant, finder, introducer, municipal advisor or to questions (b) through (g) below for each such markete complete questions (b) through (g) separately for each markete t agent, consultant, finder, introducer, municipal advisor of bond to questions (b) through (g) below for each such markete	other solicitor, or er the <i>private func</i> arketer. or other solicitor, arketer the <i>private</i> each marketer. Yes No
) Does You r simila uses. Addi You or s <i>fun</i> (b) (c)	must answer "yes" war <i>person</i> . If the answ If the <i>private fund</i> u itional Marketer Inf u must answer "yes" similar <i>person</i> . If the d uses. If the <i>private</i> Is the marketer a <i>r</i> Name of the marke ALLSPRING FUNDS	whether the <i>person</i> acts as a placement a wer to question 28. (a) is "yes," respond uses more than one marketer you must of formation : 1 Record(s) Filed. "whether the <i>person</i> acts as a placemen answer to question 28. (a) is "yes," resp <i>e fund</i> uses more than one marketer, you related person of your firm?	agent, consultant, finder, introducer, municipal advisor or to questions (b) through (g) below for each such markete complete questions (b) through (g) separately for each markete t agent, consultant, finder, introducer, municipal advisor of bond to questions (b) through (g) below for each such ma a must complete questions (b) through (g) separately for	other solicitor, or er the <i>private func</i> arketer. or other solicitor, arketer the <i>private</i> each marketer. Yes No
) Does You r simila uses. Addi You or s <i>fun</i> (b) (c)	must answer "yes" war <i>person.</i> If the answ If the <i>private fund</i> u itional Marketer Inf u must answer "yes" similar <i>person.</i> If the d uses. If the <i>private</i> Is the marketer a <i>r</i> Name of the marke ALLSPRING FUNDS	whether the <i>person</i> acts as a placement a wer to question 28. (a) is "yes," respond uses more than one marketer you must of formation : 1 Record(s) Filed. "whether the <i>person</i> acts as a placemen answer to question 28. (a) is "yes," resp <i>e fund</i> uses more than one marketer, you related person of your firm?	agent, consultant, finder, introducer, municipal advisor or to questions (b) through (g) below for each such markete complete questions (b) through (g) separately for each markete t agent, consultant, finder, introducer, municipal advisor of bond to questions (b) through (g) below for each such ma a must complete questions (b) through (g) separately for	other solicitor, or er the <i>private func</i> arketer. or other solicitor, arketer the <i>private</i> each marketer. Yes No
) Does You r simila uses. Addi You or s <i>fun</i> (b) (c)	must answer "yes" war <i>person.</i> If the answ If the <i>private fund</i> u itional Marketer Inf u must answer "yes" similar <i>person.</i> If the d uses. If the <i>private</i> Is the marketer a <i>r</i> Name of the marke ALLSPRING FUNDS If the marketer is r	whether the <i>person</i> acts as a placement a ver to question 28. (a) is "yes," respond uses more than one marketer you must of Formation : 1 Record(s) Filed. Formation : 1 Record(s) Filed. ' whether the <i>person</i> acts as a placement answer to question 28. (a) is "yes," respective fund uses more than one marketer, you related person of your firm? Peter: DISTRIBUTOR, LLC Tegistered with the SEC, its file number (and the SEC, its file number)	agent, consultant, finder, introducer, municipal advisor or to questions (b) through (g) below for each such markete complete questions (b) through (g) separately for each markete t agent, consultant, finder, introducer, municipal advisor of bond to questions (b) through (g) below for each such ma a must complete questions (b) through (g) separately for	other solicitor, or er the <i>private func</i> arketer. or other solicitor, arketer the <i>private</i> each marketer. Yes No
) Does You r simila uses. Addi You or s fun (b) (c) (d)	must answer "yes" war <i>person.</i> If the answ If the <i>private fund</i> u itional Marketer Inf u must answer "yes" similar <i>person.</i> If the of uses. If the <i>private</i> Is the marketer a <i>r</i> Name of the marke ALLSPRING FUNDS If the marketer is r 8 - 66716 and CRD Number (i 133366	whether the <i>person</i> acts as a placement a ver to question 28. (a) is "yes," respond uses more than one marketer you must of Formation : 1 Record(s) Filed. Formation : 1 Record(s) Filed. ' whether the <i>person</i> acts as a placement answer to question 28. (a) is "yes," respective fund uses more than one marketer, you related person of your firm? Peter: DISTRIBUTOR, LLC Tegistered with the SEC, its file number (and the SEC, its file number)	agent, consultant, finder, introducer, municipal advisor or to questions (b) through (g) below for each such markete complete questions (b) through (g) separately for each ma t agent, consultant, finder, introducer, municipal advisor of bond to questions (b) through (g) below for each such ma a must complete questions (b) through (g) separately for e.g., 801-, 8-, or 866-):	other solicitor, or er the <i>private func</i> arketer. or other solicitor, arketer the <i>private</i> each marketer. Yes No
) Does You r simila uses. Addi You or s fun (b) (c) (d)	must answer "yes" war <i>person</i> . If the answ If the <i>private fund</i> u itional Marketer Inf u must answer "yes" similar <i>person</i> . If the d uses. If the <i>private</i> Is the marketer a <i>r</i> Name of the marke ALLSPRING FUNDS If the marketer is r 8 - 66716 and CRD Number (i 133366 Location of the mar City:	<pre>vhether the person acts as a placement a wer to question 28.(a) is "yes," respond uses more than one marketer you must o formation : 1 Record(s) Filed. ' whether the person acts as a placement answer to question 28.(a) is "yes," resp e fund uses more than one marketer, you related person of your firm? eter: DISTRIBUTOR, LLC registered with the SEC, its file number (a f any): rketer's office used principally by the prive State:</pre>	agent, consultant, finder, introducer, municipal advisor or to questions (b) through (g) below for each such markete complete questions (b) through (g) separately for each ma t agent, consultant, finder, introducer, municipal advisor of cond to questions (b) through (g) below for each such ma a must complete questions (b) through (g) separately for e.g., 801-, 8-, or 866-): country:	other solicitor, or er the <i>private func</i> arketer. or other solicitor, arketer the <i>private</i> each marketer. Yes No
Does You r simila uses. Addi You or s fun (b) (c) (d)	must answer "yes" war <i>person</i> . If the answer "yes" war <i>person</i> . If the answer "itional Marketer Information of the must answer "yes" similar <i>person</i> . If the d uses. If the <i>private</i> Is the marketer a <i>r</i> Name of the marketer a <i>r</i> Name of the marketer is r 8 - 66716 and CRD Number (it 133366 Location of the marketer marketer is reference.	<pre>vhether the person acts as a placement a wer to question 28. (a) is "yes," respond uses more than one marketer you must o formation : 1 Record(s) Filed. ' whether the person acts as a placemen answer to question 28. (a) is "yes," resp e fund uses more than one marketer, you related person of your firm? eter: DISTRIBUTOR, LLC registered with the SEC, its file number (a f any): rketer's office used principally by the prive related person of your prive the prive rest office used principally by the prive rest office used principally by the prive rest office used principally by the prive</pre>	agent, consultant, finder, introducer, municipal advisor or to questions (b) through (g) below for each such markete complete questions (b) through (g) separately for each mark t agent, consultant, finder, introducer, municipal advisor of bond to questions (b) through (g) below for each such ma a must complete questions (b) through (g) separately for e.g., 801-, 8-, or 866-):	other solicitor, or er the <i>private func</i> arketer. or other solicitor, arketer the <i>private</i> each marketer. Yes N O
Does You r simila uses. Addi You or s fun (b) (c) (d) (e)	must answer "yes" war <i>person.</i> If the answ If the <i>private fund</i> u itional Marketer Inf u must answer "yes" similar <i>person.</i> If the of uses. If the <i>private</i> Is the marketer a <i>r</i> Name of the marke ALLSPRING FUNDS If the marketer is r 8 - 66716 and CRD Number (i 133366 Location of the mar City: CHARLOTTE	<pre>vhether the person acts as a placement a wer to question 28.(a) is "yes," respond uses more than one marketer you must o formation : 1 Record(s) Filed. ' whether the person acts as a placement answer to question 28.(a) is "yes," resp e fund uses more than one marketer, you related person of your firm? eter: DISTRIBUTOR, LLC registered with the SEC, its file number (a f any): rketer's office used principally by the prive State:</pre>	agent, consultant, finder, introducer, municipal advisor or to questions (b) through (g) below for each such markete complete questions (b) through (g) separately for each ma t agent, consultant, finder, introducer, municipal advisor of cond to questions (b) through (g) below for each such ma a must complete questions (b) through (g) separately for e.g., 801-, 8-, or 866-): country: united States	other solicitor, or er the <i>private func</i> arketer. or other solicitor, arketer the <i>private</i> each marketer. Yes No
) Does You r simila uses. Addi You or s fun (b) (c) (d) (e) (f)	must answer "yes" war <i>person</i> . If the answer "yes" war <i>person</i> . If the answer it itional Marketer Inf a must answer "yes" similar <i>person</i> . If the <i>private</i> duses. If the <i>private</i> duses. If the <i>private</i> Is the marketer a <i>r</i> Name of the market ALLSPRING FUNDS If the marketer is r 8 - 66716 and CRD Number (it 133366) Location of the marketer CHARLOTTE Does the marketer	<pre>vhether the person acts as a placement a ver to question 28.(a) is "yes," respond uses more than one marketer you must of formation : 1 Record(s) Filed. ' whether the person acts as a placement answer to question 28.(a) is "yes," resp e fund uses more than one marketer, you related person of your firm? eter: DISTRIBUTOR, LLC registered with the SEC, its file number (a f any): rketer's office used principally by the prive State: North Carolina market the private fund through one or</pre>	agent, consultant, finder, introducer, municipal advisor or to questions (b) through (g) below for each such markete complete questions (b) through (g) separately for each ma t agent, consultant, finder, introducer, municipal advisor of cond to questions (b) through (g) below for each such ma a must complete questions (b) through (g) separately for a must complete questions (b) through (g) separately for e.g., 801-, 8-, or 866-): country: United States more websites?	other solicitor, or er the <i>private fund</i> arketer. or other solicitor, arketer the <i>private</i> each marketer. Yes No Yes No
) Does You r simila uses. Addi You or s fun (b) (c) (d) (e) (f)	must answer "yes" war <i>person</i> . If the answer "yes" war <i>person</i> . If the answer it itional Marketer Inf a must answer "yes" similar <i>person</i> . If the <i>private</i> duses. If the <i>private</i> duses. If the <i>private</i> Is the marketer a <i>r</i> Name of the market ALLSPRING FUNDS If the marketer is r 8 - 66716 and CRD Number (it 133366) Location of the marketer CHARLOTTE Does the marketer	whether the <i>person</i> acts as a placement a ver to question 28. (a) is "yes," respond uses more than one marketer you must of formation : 1 Record(s) Filed. "whether the <i>person</i> acts as a placemen answer to question 28. (a) is "yes," resp <i>e fund</i> uses more than one marketer, you related person of your firm? eter: DISTRIBUTOR, LLC registered with the SEC, its file number (of f any): rketer's office used principally by the <i>priv</i> State: North Carolina market the <i>private fund</i> through one or uestion 28. (f) is "yes," list the website ac	agent, consultant, finder, introducer, municipal advisor or to questions (b) through (g) below for each such markete complete questions (b) through (g) separately for each ma t agent, consultant, finder, introducer, municipal advisor of cond to questions (b) through (g) below for each such ma a must complete questions (b) through (g) separately for a must complete questions (b) through (g) separately for e.g., 801-, 8-, or 866-): country: United States more websites?	other solicitor, or er the <i>private fund</i> arketer. or other solicitor, arketer the <i>private</i> each marketer. Yes No Yes No

-

Funds per Page: 15 💌 Total Funds: 15

SECTION 7.B.(2) Private Fund Reporting

No Information Filed

Item 8 Participation or Interest in Client Transactions

In this Item, we request information about your participation and interest in your *clients*' transactions. This information identifies additional areas in which conflicts of interest may occur between you and your *clients*. Newly-formed advisers should base responses to these questions on the types of participation and interest that you expect to engage in during the next year.

Like	e Iter	n 7, Item 8 requires you to provide information about you and your related persons, including foreign affiliates.		
Pro	prie	tary Interest in Client Transactions		
Α.	Do	you or any related person:	Yes	No
	(1)	buy securities for yourself from advisory clients, or sell securities you own to advisory clients (principal transactions)?	0	\odot
	(2)	buy or sell for yourself securities (other than shares of mutual funds) that you also recommend to advisory clients?	\odot	0
	(3)	recommend securities (or other investment products) to advisory <i>clients</i> in which you or any <i>related person</i> has some other proprietary (ownership) interest (other than those mentioned in Items 8.A.(1) or (2))?	0	0
Sa	es Ir	nterest in Client Transactions		
B.	Do	you or any <i>related person</i> :	Yes	No
	(1)	as a broker-dealer or registered representative of a broker-dealer, execute securities trades for brokerage customers in which advisory <i>client</i> securities are sold to or bought from the brokerage customer (agency cross transactions)?	0	o
	(2)	recommend to advisory <i>clients</i> , or act as a purchaser representative for advisory <i>clients</i> with respect to, the purchase of securities for which you or any <i>related person</i> serves as underwriter or general or managing partner?	\odot	0
	(3)	recommend purchase or sale of securities to advisory <i>clients</i> for which you or any <i>related person</i> has any other sales interest (other than the receipt of sales commissions as a broker or registered representative of a broker-dealer)?	0	o
Inv	/estr	nent or Brokerage Discretion		
C.	Do	you or any related person have discretionary authority to determine the:	Yes	No
	(1)	securities to be bought or sold for a <i>client's</i> account?	\odot	0
	(2)	amount of securities to be bought or sold for a <i>client's</i> account?	\odot	0
	(3)	broker or dealer to be used for a purchase or sale of securities for a <i>client's</i> account?	\odot	0
	(4)	commission rates to be paid to a broker or dealer for a <i>client's</i> securities transactions?	\odot	0
D.	lf y	ou answer "yes" to C.(3) above, are any of the brokers or dealers related persons?	0	o
E.	Do	you or any related person recommend brokers or dealers to clients?	0	o
F.	lf y	ou answer "yes" to E. above, are any of the brokers or dealers related persons?	0	0
G.	(1)	Do you or any <i>related person</i> receive research or other products or services other than execution from a broker-dealer or a third party ("soft dollar benefits") in connection with <i>client</i> securities transactions?	\odot	0

(2) If "yes" to G.(1) above, are all the "soft dollar benefits" you or any *related persons* receive eligible "research or brokerage services" under section 28(e) of the Securities Exchange Act of 1934?

 \odot

О

- H. (1) Do you or any *related person*, directly or indirectly, compensate any *person* that is not an *employee* for *client* referrals?
 - (2) Do you or any related person, directly or indirectly, provide any employee compensation that is specifically related to obtaining clients for the firm (cash or non-cash compensation in addition to the employee's regular salary)?
- I. Do you or any *related person*, including any *employee*, directly or indirectly, receive compensation from any *person* (other than you or any *related operson*) for *client* referrals?

In your response to Item 8.1., do not include the regular salary you pay to an employee.

In responding to Items 8.H. and 8.I., consider all cash and non-cash compensation that you or a related person gave to (in answering Item 8.H.) or received from (in answering Item 8.I.) any person in exchange for client referrals, including any bonus that is based, at least in part, on the number or amount of client referrals.

Item 9 Custody

In this Item, we ask you whether you or a *related person* has *custody* of *client* (other than *clients* that are investment companies registered under the Investment Company Act of 1940) assets and about your custodial practices.

A. (1) Do you have custody of any advisory clients':

- (a) cash or bank accounts?
- (b) securities?

B.

If you are registering or registered with the SEC, answer "No" to Item 9.A. (1)(a) and (b) if you have custody solely because (i) you deduct your advisory fees directly from your clients' accounts, or (ii) a related person has custody of client assets in connection with advisory services you provide to clients, but you have overcome the presumption that you are not operationally independent (pursuant to Advisers Act rule 206(4)-2(d)(5)) from the related person.

(2) If you checked "yes" to Item 9.A.(1)(a) or (b), what is the approximate amount of *client* funds and securities and total number of *clients* for which you have *custody*:

U.S. Dollar Amount	Total Number of Clients
(a) \$ 4,466,458,238	(b) 147

If you are registering or registered with the SEC and you have custody solely because you deduct your advisory fees directly from your clients' accounts, do not include the amount of those assets and the number of those clients in your response to Item 9.A. (2). If your related person has custody of client assets in connection with advisory services you provide to clients, do not include the amount of those assets and number of those clients in your response to 9.A.(2). Instead, include that information in your response to Item 9.B.(2).

(1) In connection with advisory services you provide to *clients*, do any of your *related persons* have *custody* of any of your advisory *clients*': Yes No

(a) cash or bank accounts? 0 \odot (b) securities? $^{\circ}$ \odot

You are required to answer this item regardless of how you answered Item 9.A. (1)(a) or (b).

(2) If you checked "yes" to Item 9.B.(1)(a) or (b), what is the approximate amount of *client* funds and securities and total number of *clients* for which your related persons have custody:

U.S. Dollar Amount	Total Number of Clients
(a) \$ 0	(b) 0

- C. If you or your related persons have custody of client funds or securities in connection with advisory services you provide to clients, check all the following that apply:
 - (1) A qualified custodian(s) sends account statements at least quarterly to the investors in the pooled investment vehicle(s) you manage.
 - V (2) An independent public accountant audits annually the pooled investment vehicle(s) that you manage and the audited financial statements are distributed to the investors in the pools.
 - (3) An independent public accountant conducts an annual surprise examination of client funds and securities.
 - (4) An independent public accountant prepares an internal control report with respect to custodial services when you or your related persons are qualified custodians for *client* funds and securities.

If you checked Item 9.C. (2), C. (3) or C. (4), list in Section 9.C. of Schedule D the accountants that are engaged to perform the audit or examination or prepare an internal control report. (If you checked Item 9.C.(2), you do not have to list auditor information in Section 9.C. of Schedule D if you already provided this information with respect to the private funds you advise in Section 7.B. (1) of Schedule D).

D.	Do you or your related person(s) act as qualified custodians for your clients in connection with advisory services you provide to clients?	Yes	No
	(1) you act as a qualified custodian	0	\odot
	(2) your <i>related person(s)</i> act as qualified custodian(s)	0	\odot

If you checked "yes" to Item 9.D.(2), all related persons that act as qualified custodians (other than any mutual fund transfer agent pursuant to rule 206(4)-2(b)(1)) must be identified in Section 7.A. of Schedule D, regardless of whether you have determined the related person to be operationally independent under rule 206(4)-2 of the Advisers Act.

- E. If you are filing your annual updating amendment and you were subject to a surprise examination by an independent public accountant during your last fiscal year, provide the date (MM/YYYY) the examination commenced:
- If you or your related persons have custody of client funds or securities, how many persons, including, but not limited to, you and your related persons, act F. as qualified custodians for your *clients* in connection with advisory services you provide to *clients*? 47

SECTION 9.C. Independent Public Accountant

You must complete the following information for each independent public accountant engaged to perform a surprise examination, perform an audit of a pooled investment vehicle that you manage, or prepare an internal control report. You must complete a separate Schedule D Section 9.C. for each independent public accountant.

(1)	Name of the <i>independent public ac</i> KPMG LLP	countant:				
(2)	The location of the independent pu	<i>ublic accountant's</i> office res	sponsible for the services provid	led:		
	Number and Street 1: 1601 MARKET STREET		Number and Street 2:			
	City:	State:	Country:	ZIP+4/Postal Code:		
	PHILADELPHIA	Pennsylvania	United States	19103		
					Yes	No
(3)	Is the independent public accounta	nt registered with the Put	blic Company Accounting Oversig	ght Board?	\odot	0
	If "yes," Public Company Accountii 185	ng Oversight Board-Assig	ned Number:			
(4)	If "yes" to (3) above, is the <i>indepe</i> accordance with its rules?	endent public accountant s	ubject to regular inspection by t	he Public Company Accounting Oversight Board	in 💿	0
(5)	The independent public accountant	is engaged to:				
	 A. ■ audit a pooled investment ve B. □ perform a surprise examinati C. □ prepare an internal control re 	on of <i>clients'</i> assets				
(6)	Since your last annual updating an vehicle or that examined internal			<i>lent public accountant</i> that audited the pooled inv	restmer	nt
	• Yes					
	O No					
	C Report Not Yet Received					
	f you check "Report Not Yet Received vailable.	d", you must promptly file	an amendment to your Form ADV	/ to update your response when the accountant's r	eport is	

Item 10 Control Persons

In this Item, we ask you to identify every *person* that, directly or indirectly, *controls* you. If you are filing an *umbrella registration*, the information in Item 10 should be provided for the *filing adviser* only.

If you are submitting an initial application or report, you must complete Schedule A and Schedule B. Schedule A asks for information about your direct owners and executive officers. Schedule B asks for information about your indirect owners. If this is an amendment and you are updating information you reported on either Schedule A or Schedule B (or both) that you filed with your initial application or report, you must complete Schedule C.

A. Does any person not named in Item 1.A. or Schedules A, B, or C, directly or indirectly, control your management or policies?

Yes No

If yes, complete Section 10.A. of Schedule D.

B. If any *person* named in Schedules A, B, or C or in Section 10.A. of Schedule D is a public reporting company under Sections 12 or 15(d) of the Securities Exchange Act of 1934, please complete Section 10.B. of Schedule D.

SECTION 10.A. Control Persons

No Information Filed

SECTION 10.B. Control Person Public Reporting Companies

No Information Filed

Item 11 Disclosure Information

In this Item, we ask for information about your disciplinary history and the disciplinary history of all your *advisory affiliates*. We use this information to determine whether to grant your application for registration, to decide whether to revoke your registration or to place limitations on your activities as an investment adviser, and to identify potential problem areas to focus on during our on-site examinations. One event may result in "yes" answers to more than one of the questions below. In accordance with General Instruction 5 to Form ADV, "you" and "your" include the *filing adviser* and all *relying advisers* under an *umbrella registration*.

Your advisory affiliates are: (1) all of your current employees (other than employees performing only clerical, administrative, support or similar functions); (2) all

of your officers, partners, or directors (or any *person* performing similar functions); and (3) all *persons* directly or indirectly *controlling* you or *controlled* by you. If you are a "separately identifiable department or division" (SID) of a bank, see the Glossary of Terms to determine who your *advisory affiliates* are.

If you are registered or registering with the SEC or if you are an exempt reporting adviser, you may limit your disclosure of any event listed in Item 11 to ten years following the date of the event. If you are registered or registering with a state, you must respond to the questions as posed; you may, therefore, limit your disclosure to ten years following the date of an event only in responding to Items 11.A.(1), 11.A.(2), 11.B.(1), 11.B.(2), 11.D.(4), and 11.H.(1)(a). For purposes of calculating this ten-year period, the date of an event is the date the final order, judgment, or decree was entered, or the date any rights of appeal from preliminary orders, judgments, or decrees lapsed.

You must complete the appropriate Disclosure Reporting Page ("DRP") for "yes" answers to the questions in this Item 11.

		Yes	No
Do a	any of the events below involve you or any of your supervised persons?	0	\odot
For	"yes" answers to the following questions, complete a Criminal Action DRP:		
Α.	In the past ten years, have you or any advisory affiliate:	Yes	No
	(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to any felony?	0	\odot
	(2) been <i>charged</i> with any <i>felony</i> ?	0	\odot
	If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.A.(2) charges that are currently pending.	to	
B.	In the past ten years, have you or any advisory affiliate:		
	(1) been convicted of or pled guilty or nolo contendere ("no contest") in a domestic, foreign, or military court to a <i>misdemeanor</i> involving: investments or an <i>investment-related</i> business, or any fraud, false statements, or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses?	0	\odot
	(2) been <i>charged</i> with a <i>misdemeanor</i> listed in Item 11.B.(1)?	0	\odot

If you are registered or registering with the SEC, or if you are reporting as an exempt reporting adviser, you may limit your response to Item 11.B.(2) to charges that are currently pending.

For	"yes" answers to the following questions, complete a Regulatory Action DRP:		
C.	Has the SEC or the Commodity Futures Trading Commission (CFTC) ever:	Ye	s No
	(1) found you or any advisory affiliate to have made a false statement or omission?	0	\odot
	(2) found you or any advisory affiliate to have been involved in a violation of SEC or CFTC regulations or statutes?	0	\odot
	(3) found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied suspended, revoked, or restricted?	, O	\odot
	(4) entered an order against you or any advisory affiliate in connection with investment-related activity?	0	\odot
	(5) imposed a civil money penalty on you or any advisory affiliate, or ordered you or any advisory affiliate to cease and desist from any activity	? 0	\odot
D.	Has any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority:		
	(1) ever found you or any advisory affiliate to have made a false statement or omission, or been dishonest, unfair, or unethical?	0	\odot
	(2) ever found you or any advisory affiliate to have been involved in a violation of investment-related regulations or statutes?	0	\odot
	(3) ever found you or any advisory affiliate to have been a cause of an investment-related business having its authorization to do business denied, suspended, revoked, or restricted?	0	\odot
	(4) in the past ten years, entered an order against you or any advisory affiliate in connection with an investment-related activity?	0	\odot
	(5) ever denied, suspended, or revoked your or any advisory affiliate's registration or license, or otherwise prevented you or any advisory affiliate, by order, from associating with an investment-related business or restricted your or any advisory affiliate's activity?	0	۲
E.	Has any self-regulatory organization or commodities exchange ever:		
	(1) found you or any advisory affiliate to have made a false statement or omission?	0	\odot
	(2) found you or any advisory affiliate to have been involved in a violation of its rules (other than a violation designated as a "minor rule violation" under a plan approved by the SEC)?	0	۲
	(3) found you or any advisory affiliate to have been the cause of an investment-related business having its authorization to do business denies suspended, revoked, or restricted?	ed, O	۲
	(4) disciplined you or any advisory affiliate by expelling or suspending you or the advisory affiliate from membership, barring or suspending you or the advisory affiliate from association with other members, or otherwise restricting your or the advisory affiliate's activities?	ou O	\odot
F.	Has an authorization to act as an attorney, accountant, or federal contractor granted to you or any advisory affiliate ever been revoked or suspended?	0	۲
G.	Are you or any <i>advisory affiliate</i> now the subject of any regulatory <i>proceeding</i> that could result in a "yes" answer to any part of Item 11.C., 11.D., or 11.E.?	0	\odot

For "yes" answers to the following questions, complete a Civil Judicial Action DRP:

Η.	(1) Has any domestic or foreign court:	Yes	No
	(a) in the past ten years, enjoined you or any advisory affiliate in connection with any investment-related activity?	0	\odot
	(b) ever found that you or any advisory affiliate were involved in a violation of investment-related statutes or regulations?	0	\odot
	(c) ever dismissed, pursuant to a settlement agreement, an <i>investment-related</i> civil action brought against you or any advisory affiliate by a state or foreign financial regulatory authority?	0	\odot
	(2) Are you or any advisory affiliate now the subject of any civil proceeding that could result in a "yes" answer to any part of Item 11.H.(1)?	0	\odot

Item 12 Small Businesses

The SEC is required by the Regulatory Flexibility Act to consider the effect of its regulations on small entities. In order to do this, we need to determine whether you meet the definition of "small business" or "small organization" under rule 0-7.

Answer this Item 12 only if you are registered or registering with the SEC **and** you indicated in response to Item 5.F.(2)(c) that you have regulatory assets under management of less than \$25 million. You are not required to answer this Item 12 if you are filing for initial registration as a state adviser, amending a current state registration, or switching from SEC to state registration.

For purposes of this Item 12 only:

- Total Assets refers to the total assets of a firm, rather than the assets managed on behalf of *clients*. In determining your or another *person's* total assets, you may use the total assets shown on a current balance sheet (but use total assets reported on a consolidated balance sheet with subsidiaries included, if that amount is larger).
- *Control* means the power to direct or cause the direction of the management or policies of a *person*, whether through ownership of securities, by contract, or otherwise. Any *person* that directly or indirectly has the right to vote 25 percent or more of the voting securities, or is entitled to 25 percent or more of the profits, of another *person* is presumed to *control* the other *person*.

		Yes	No
Α.	Did you have total assets of \$5 million or more on the last day of your most recent fiscal year?	0	0
lf '	"yes," you do not need to answer Items 12.B. and 12.C.		
B.	Do you:		
	(1) control another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	0	0
	(2) control another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0	0
C.	Are you:		
	(1) controlled by or under common control with another investment adviser that had regulatory assets under management (calculated in response to Item 5.F.(2)(c) of Form ADV) of \$25 million or more on the last day of its most recent fiscal year?	0	0
	(2) controlled by or under common control with another person (other than a natural person) that had total assets of \$5 million or more on the last day of its most recent fiscal year?	0	0

Schedule A

Direct Owners and Executive Officers

- 1. Complete Schedule A only if you are submitting an initial application or report. Schedule A asks for information about your direct owners and executive officers. Use Schedule C to amend this information.
- 2. Direct Owners and Executive Officers. List below the names of:
 - (a) each Chief Executive Officer, Chief Financial Officer, Chief Operations Officer, Chief Legal Officer, Chief Compliance Officer(Chief Compliance Officer is required if you are registered or applying for registration and cannot be more than one individual), director, and any other individuals with similar status or functions;
 - (b) if you are organized as a corporation, each shareholder that is a direct owner of 5% or more of a class of your voting securities, unless you are a public reporting company (a company subject to Section 12 or 15(d) of the Exchange Act);
 Direct owners include any *person* that owns, beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 5% or more of a

class of your voting securities. For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (c) if you are organized as a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 5% or more of your capital;
- (d) in the case of a trust that directly owns 5% or more of a class of your voting securities, or that has the right to receive upon dissolution, or has contributed, 5% or more of your capital, the trust and each trustee; and
- (e) if you are organized as a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 5% or more of your capital, and (ii) if managed by elected managers, all elected managers.
- 3. Do you have any indirect owners to be reported on Schedule B? Yes No
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner or executive officer is an individual.
- 5. Complete the Title or Status column by entering board/management titles; status as partner, trustee, sole proprietor, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: NA less than 5% B 10% but less than 25% D 50% but less than 75%

A - 5% but less than 10% C - 25% but less than 50% E - 75% or more

- 7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

FULL LEGAL NAME (Individuals: Last Name, First Name, Middle	DE/FE/I		Date Title or Status Acquired		Control Person		CRD No. If None: S.S. No. and Date of Birth, IRS Tax No. or
Name)			MM/YYYY				Employer ID No.
SQUIRE, SALLIE, CLEMENTS	1	CHIEF OPERATING OFFICER	11/2021	NA	Y	Ν	2932918
BARANKO, FRANCIS, JON	I	PRESIDENT, CHIEF INVESTMENT OFFICER - GLOBAL FUNDAMENTAL INVESTMENTS	10/2020	NA	Y	N	2299814
ALLSPRING GLOBAL INVESTMENTS HOLDINGS, LLC	DE	MANAGING MEMBER, DIRECT PARENT COMPANY	11/2021	E	Y	N	
GRUNBERG, JENNIFER, LEA	1	CHIEF COMPLIANCE OFFICER	11/2022	NA	Y	Ν	4273956
Lege, Annette, Janecka	1	CHIEF FINANCIAL OFFICER	07/2024	NA	Y	Ν	2244646

Schedule B

Indirect Owners

- 1. Complete Schedule B only if you are submitting an initial application or report. Schedule B asks for information about your indirect owners; you must first complete Schedule A, which asks for information about your direct owners. Use Schedule C to amend this information.
- 2. Indirect Owners. With respect to each owner listed on Schedule A (except individual owners), list below:
 - (a) in the case of an owner that is a corporation, each of its shareholders that beneficially owns, has the right to vote, or has the power to sell or direct the sale of, 25% or more of a class of a voting security of that corporation;

For purposes of this Schedule, a *person* beneficially owns any securities: (i) owned by his/her child, stepchild, grandchild, parent, stepparent, grandparent, spouse, sibling, mother-in-law, father-in-law, son-in-law, daughter-in-law, brother-in-law, or sister-in-law, sharing the same residence; or (ii) that he/she has the right to acquire, within 60 days, through the exercise of any option, warrant, or right to purchase the security.

- (b) in the case of an owner that is a partnership, <u>all</u> general partners and those limited and special partners that have the right to receive upon dissolution, or have contributed, 25% or more of the partnership's capital;
- (c) in the case of an owner that is a trust, the trust and each trustee; and
- (d) in the case of an owner that is a limited liability company ("LLC"), (i) those members that have the right to receive upon dissolution, or have contributed, 25% or more of the LLC's capital, and (ii) if managed by elected managers, all elected managers.
- 3. Continue up the chain of ownership listing all 25% owners at each level. Once a public reporting company (a company subject to Sections 12 or 15(d) of the Exchange Act) is reached, no further ownership information need be given.
- 4. In the DE/FE/I column below, enter "DE" if the owner is a domestic entity, "FE" if the owner is an entity incorporated or domiciled in a foreign country, or "I" if the owner is an individual.
- 5. Complete the Status column by entering the owner's status as partner, trustee, elected manager, shareholder, or member; and for shareholders or members, the class of securities owned (if more than one is issued).
- 6. Ownership codes are: C 25% but less than 50% E 75% or more
 - D 50% but less than 75% F Other (general partner, trustee, or elected manager)
- 7. (a) In the *Control Person* column, enter "Yes" if the *person* has *control* as defined in the Glossary of Terms to Form ADV, and enter "No" if the *person* does not have *control*. Note that under this definition, most executive officers and all 25% owners, general partners, elected managers, and trustees are *control persons*.
 - (b) In the PR column, enter "PR" if the owner is a public reporting company under Sections 12 or 15(d) of the Exchange Act.
 - (c) Complete each column.

FULL LEGAL NAME (Individuals:	DE/FE/I	Entity in Which Interest	Status	Date Status	· ·	Control	PR	CRD No. If None: S.S. No.
Last Name, First Name, Middle		is Owned		Acquired	Code	Person		and Date of Birth, IRS Tax
Name)				ΜΜ/ΥΥΥΥ				No. or Employer ID No.
ALLSPRING BUYER, LLC	DE	ALLSPRING GLOBAL INVESTMENTS HOLDINGS, LLC	SHAREHOLDER	11/2021	E	Y	N	
ALLSPRING INTERMEDIATE II, LLC	DE	ALLSPRING BUYER, LLC	SHAREHOLDER	11/2021	E	Y	Ν	
ALLSPRING INTERMEDIATE I, LLC	DE	ALLSPRING INTERMEDIATE II, LLC	SHAREHOLDER	11/2021	E	Y	N	
ALLSPRING GROUP HOLDINGS, LLC	DE	ALLSPRING INTERMEDIATE I, LLC	SHAREHOLDER	11/2021	E	Y	N	
GTCR FUND XIII/B LP	DE	ALLSPRING GROUP HOLDINGS, LLC	SHAREHOLDER	11/2021	С	Y	N	
SULLIVAN, JOSEPH, ANDREW	I	ALLSPRING GLOBAL INVESTMENTS HOLDINGS, LLC	MANAGER	11/2021	F	Y	N	872969
SQUIRE, SALLIE, CLEMENTS	I	ALLSPRING GLOBAL INVESTMENTS HOLDINGS, LLC	MANAGER	11/2021	F	Y	N	2932918
GTCR PARTNERS XIII/B LP	DE	GTCR FUND XIII/B LP	GENERAL PARTNER	07/2020	F	Y	Ν	

GTCR INVESTMENT XIII LLC	DE	GTCR PARTNERS XIII/B	GENERAL	07/2020	F	Y	N	
		LP	PARTNER					
Lege, Annette, Janecka		ALLSPRING GLOBAL	MANAGER	08/2024	F	Y	Ν	2244646
		INVESTMENTS						
		HOLDINGS, LLC						

Schedule D - Miscellaneous

You may use the space below to explain a response to an Item or to provide any other information.

In response to Item 7, Allspring Global Investments Holdings, LLC and Allspring Group Holdings, LLC, Allspring Global Investments, LLC's respective direct and indirect parent entities, have shared operations and common business dealings with Allspring Global Investments, LLC and, as a result, may be deemed related persons. However, the types of business listed in #5 of Item 7A do not apply to either entity.

Schedule R

No Information Filed

DRP Pages

CRIMINAL DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

REGULATORY ACTION DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

CIVIL JUDICIAL ACTION DISCLOSURE REPORTING PAGE (ADV)

No Information Filed

Part 2

Exemption from brochure delivery requirements for SEC-registered advisers

SEC rules exempt SEC-registered advisers from delivering a firm brochure to some kinds of clients. If these exemptions excuse you from delivering a brochure to *all* of your advisory clients, you do not have to prepare a brochure.

Yes No

0 0

Are you exempt from delivering a brochure to all of your clients under these rules?

If no, complete the ADV Part 2 filing below.

Amend, retire or file new brochures:

Part 3			
CRS	Type(s)	Affiliate Info	Retire
<u>ل</u>	Investment Advisor	~	

Execution Pages

DOMESTIC INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint the Secretary of State or other legally designated officer, of the state in which you maintain your *principal office and place of business* and any other state in which you are submitting a *notice filing*, as your agents to

receive service, and agree that such *persons* may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding*, or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of the state in which you maintain your *principal office and place of business* or of any state in which you are submitting a *notice filing*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature:	Date: MM/DD/YYYY
JON BARANKO	08/13/2024
Printed Name:	Title:
JON BARANKO	PRESIDENT, CHIEF INVESTMENT OFFICER - GLOBAL FUNDAMENTAL INVESTM
Adviser <i>CRD</i> Number: 104973	

NON-RESIDENT INVESTMENT ADVISER EXECUTION PAGE

You must complete the following Execution Page to Form ADV. This execution page must be signed and attached to your initial submission of Form ADV to the SEC and all amendments.

1. Appointment of Agent for Service of Process

By signing this Form ADV Execution Page, you, the undersigned adviser, irrevocably appoint each of the Secretary of the SEC, and the Secretary of State or other legally designated officer, of any other state in which you are submitting a *notice filing*, as your agents to receive service, and agree that such persons may accept service on your behalf, of any notice, subpoena, summons, *order* instituting *proceedings*, demand for arbitration, or other process or papers, and you further agree that such service may be made by registered or certified mail, in any federal or state action, administrative *proceeding* or arbitration brought against you in any place subject to the jurisdiction of the United States, if the action, *proceeding* or arbitration (a) arises out of any activity in connection with your investment advisory business that is subject to the jurisdiction of the United States, and (b) is *founded*, directly or indirectly, upon the provisions of: (i) the Securities Act of 1933, the Securities Exchange Act of 1934, the Trust Indenture Act of 1939, the Investment Company Act of 1940, or the Investment Advisers Act of 1940, or any rule or regulation under any of these acts, or (ii) the laws of any state in which you are submitting a *notice filing*.

2. Appointment and Consent: Effect on Partnerships

If you are organized as a partnership, this irrevocable power of attorney and consent to service of process will continue in effect if any partner withdraws from or is admitted to the partnership, provided that the admission or withdrawal does not create a new partnership. If the partnership dissolves, this irrevocable power of attorney and consent shall be in effect for any action brought against you or any of your former partners.

3. Non-Resident Investment Adviser Undertaking Regarding Books and Records

By signing this Form ADV, you also agree to provide, at your own expense, to the U.S. Securities and Exchange Commission at its principal office in Washington D.C., at any Regional or District Office of the Commission, or at any one of its offices in the United States, as specified by the Commission, correct, current, and complete copies of any or all records that you are required to maintain under Rule 204-2 under the Investment Advisers Act of 1940. This undertaking shall be binding upon you, your heirs, successors and assigns, and any *person* subject to your written irrevocable consents or powers of attorney or any of your general partners and *managing agents*.

Signature

I, the undersigned, sign this Form ADV on behalf of, and with the authority of, the *non-resident* investment adviser. The investment adviser and I both certify, under penalty of perjury under the laws of the United States of America, that the information and statements made in this ADV, including exhibits and any other information submitted, are true and correct, and that I am signing this Form ADV Execution Page as a free and voluntary act.

I certify that the adviser's books and records will be preserved and available for inspection as required by law. Finally, I authorize any *person* having *custody* or possession of these books and records to make them available to federal and state regulatory representatives.

Signature: Printed Name: Adviser *CRD* Number: 104973 Date: MM/DD/YYYY Title: