#### (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

#### (19) World Intellectual Property Organization International Bureau



US

# 

(43) International Publication Date 22 March 2012 (22.03.2012)

## (10) International Publication Number WO 2012/037561 A1

- (51) International Patent Classification: **A61K 31/19** (2006.01)
- (21) International Application Number:

PCT/US2011/052168

(22) International Filing Date:

19 September 2011 (19.09.2011)

- (25) Filing Language: **English**
- (26) Publication Language: English
- (30) Priority Data: 61/384,020 17 September 2010 (17.09.2010)
- (71) Applicant (for all designated States except US): VA-LENT BIOSCIENCES CORPORATION [US/US]; 870 Technology Way, Libertyville, IL 60048 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): HERRERO, Maria, Pilar [US/US]; 870 Technology Way, Libertyville, IL 60048 (US). SHAFER, Warren, E. [US/US]; 315 Minear Drive, Libertyville, IL 60048 (US).
- (74) Agents: WEINSTOCK, Steven F. et al.; Wood, Phillips, Katz, Clark & Mortimer, 500 West Madison Street, Suite 3800, Chicago, IL 60661 (US).
- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM,

- AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
- (84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Published:

- with international search report (Art. 21(3))
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))



#### ANIMAL FEED COMPOSITIONS OF ABSCISIC ACID

#### FIELD OF THE INVENTION

5

10

15

20

25

The present invention generally relates to compositions comprising abscisic acid, and/or salts, and derivatives thereof, and methods of their preparation and administration for uses with livestock and in aquaculture.

#### BACKGROUND OF THE INVENTION

Abscisic acid is a naturally occurring plant hormone that is well known to be involved in plant response to stress. The chemistry and physiology of abscisic acid and its analogs is described by Milborrow, Ann. Rev. Plant Physiol. 1974, 25, 259-307. The naturally occurring enantiomeric form of abscisic acid is (S)-(+)-abscisic acid. The stereochemistry of the side chain of the major part of naturally occurring abscisic acid is 2-cis-,4-trans-, since that is the isomer that is produced biosynthetically by all green plants and some microorganisms. Abscisic acid is a carboxylic acid, and thus in a medium having an acidic pH, it is protonated in its neutral undissociated form. This uncharged, undissociated form is more lipophilic than a salt of abscisic acid is in its dissociated form of abscisic acid that is present at higher pH (Blumenfeld and Bukovac 1972, Planta 107: 261-268).

Commercial formulations comprising abscisic acid are used in agriculture and horticulture on or around crops and plants for various purposes, such as improving stress tolerance, slowing the growth rate, adjusting flowering phase, and other purposes. Abscisic acid has also been reported to possess insect inhibition qualities. See U.S. Patent Nos. 4,434,180 and 4,209,530. Others have reported potential medicinal properties of abscisic acid, for example U.S. Patent Application No. 2006/0292215 discloses methods of using abscisic acid for anti-cancer purposes, and International Application No. WO 2007/042983 discloses anti-inflammatory activity of abscisic acid. All toxicology studies conducted to this point indicate abscisic acid, is a safe, nontoxic substance.

Here, Applicants surprisingly discovered that abscisic acid, and/or salts, derivatives and analogs thereof, have health and feed benefits for livestock and aquaculture.

#### **SUMMARY OF THE INVENTION**

5

10

15

25

The present invention is generally directed to compositions comprising abscisic acid, and/or salts, and derivatives thereof (collectively referred to as "ABA" herein), of which (S)-(+)-abscisic acid is one enantiomer (hereinafter referred to as "S-ABA"), and methods of their use to maintain the health of livestock and fish comprising administering to livestock or fish a effective amount of ABA.

The present invention is also directed to methods of increasing feed efficiency comprising administering to livestock or fish an effective amount of ABA.

It is contemplated that another embodiment of the present invention is directed to methods of increasing weight gain of livestock and fish comprising administering to an animal an effective amount of ABA.

It is also contemplated that another embodiment of the present invention is directed to methods of decreasing the time to market of livestock and fish comprising administering to livestock or fish an effective amount of ABA.

It is also contemplated that a different embodiment of the present invention is directed to methods of increasing the meat quantity of livestock and fish comprising administering to livestock or fish an effective amount of ABA.

In another embodiment, the present invention is directed to methods of increasing immunological function comprising administering to livestock or fish in need thereof an effective amount of ABA. This effect is supported by an increase in digestive tract weight, suggesting better overall animal health.

In yet another embodiment, the present invention is directed to methods for reducing or eliminating the use of antibiotics in feed comprising administering to an livestock or fish an effective amount of ABA.

Compositions of the present invention generally comprise ABA. Other components which enhance the biological activity of the ABA may optionally be included.

The disclosed embodiments are simply exemplary embodiments of the inventive concepts disclosed herein and should not be considered as limiting, unless the claims expressly state otherwise.

#### DETAILED DESCRIPTION OF THE INVENTION

The present invention is specifically directed to compositions comprising ABA, of which (S)-(+)-abscisic acid is one enantiomer, and methods of their use to treat various animal ailments, as well be used as feed for animals and animal breeders.

#### Definitions

10

15

20

The term "Abscisic acid" as used herein shall include salts and derivatives (collectively referred to as "ABA"). S-ABA is the preferred compound of the compositions and uses herein and has the structure as follows:

S-Abscisic Acid

Compositions and methods of the inventions encompass all isomeric forms of the described abscisic acids, their racemic mixtures, enol forms, solvated and unsolvated forms, analogs, prodrugs, derivatives, including but not limited to esters and ethers, and pharmaceutically acceptable salts, Examples of suitable salts that can be used include inorganic salts such as the ammonium, lithium, sodium, potassium, magnesium, and potassium salts and organic amine salts such as the triethanolamine, dimethanolamine and ethanolamine salts. In one embodiment, the organic amine salt is the triethanolamine salt. In another embodiment, the organic amine salt is the dimethylethanolamine salt. In yet another embodiment, the organic amine salt is the ethanolamine salt. These examples of salts are not limiting as other salts may also be

suitable for use in the present invention. One presently preferred salt is the ammonium salt. Other preferred salts are the sodium and potassium salts. The salts may be prepared by contacting the acid form with a sufficient amount of the desired base to produce a salt in the conventional manner. The free acid forms may be regenerated by treating the salt with a suitable dilute aqueous acid solution such as dilute aqueous sulfuric, hydrochloric or phosphoric acid. The free acid forms differ from their respective salt forms somewhat in certain physical properties, such as their solubilities in polar solvents, but the salts are equivalent to their respective free acid forms for purposes of the invention. (See, for example S. M. Berge, et al., "Pharmaceutical Salts," J. Pharm. Sci., 66: 1-19 (1977) which is incorporated herein by reference).

5

10

15

20

25

30

The term "livestock and fish" refer to any livestock or fish, or offspring of livestock or fish, who is receiving treatment, is in need of treatment, is taking or receiving treatment for prevention purposes, and/or is being administered the composition. The term "offspring" refers to progeny or descendants of livestock or fish, and includes born progeny, fetuses and embryos. "Livestock" shall include poultry, including chickens, which includes boilers and layers and male and female breeding stock, geese, duck, turkey, pheasant, cornish hens, swine, cattle, which includes beef and dairy production, sheep, and goats. "Fish" shall include catfish, carp, tilapia, trout, crayfish, shrimp, lobster, crab, aquatic mammals, salmon, and white fish.

The term "administering" or "administration" includes any means for introducing the ABA of the invention and other therapeutic agents, into the body, preferably into the systemic circulation. Examples include but are not limited to oral, including feed and/or drinking water, buccal, sublingual, pulmonary, ophthalmic, transdermal, transmucosal, as well as subcutaneous, intraperitoneal, intravenous, intramuscular injection, transplacental transfer and lactation.

The term "treating" and "treatment" have a commonly understood meaning of administration of a remedy to livestock or fish, or the parent of livestock or fish, who has or is suspected of having a disease or a condition, and refer to reversing, alleviating, inhibiting, or slowing the progress of the disease, disorder, or condition to which such terms apply, or one or more symptoms of such disease, disorder, or condition, or

preventing or decreasing the chances of a disease, condition, disorder or outcome from occurring, or to increase effects of a specified physiological response or health benefit.

The terms "preventing" and "prevention" refer to prophylactic use to reduce the likelihood of a disease, disorder, or condition to which such term applies, or one or more symptoms of such disease, disorder, or condition. It is not necessary to achieve a 100% likelihood of prevention; it is sufficient to achieve at least a partial effect of reducing the risk of acquiring such disease, disorder, or condition.

5

10

15

20

The term "composition" includes a product comprising ABA (and in the specified amounts, if indicated), including products with exogenous or up-regulated ABA, as well as any product which results, directly or indirectly, from combination of ABA with specified ingredients in the specified amounts.

The term "effective amount" means an amount of a compound that, when administered to livestock or fish for treating a disease, condition or attaining a desired result, is sufficient to effect such treatment for the disease or desired result. The "effective amount" will vary depending on the compound, the disease state being treated or health benefit desired, the severity or the disease treated, the result desired, the age and relative health of the livestock or fish, the route and form of administration, the judgment of the attending medical or veterinary practitioner, breeder, trainer, or person attending or caring for the livestock or fish, and other factors. The amount of ABA that is "effective" will vary from composition to composition, depending on the particular use, the particular ABA, salts, derivatives and analogs thereof, and the like. Thus, it is not always possible to specify an exact "effective amount." However, an appropriate "effective amount" in any individual case may be determined by one of ordinary skill in the art using routine experimentation.

The term "Metric Ton" (MT) refers to 2,205 pounds or 1,000 kg. The term "ton", also known as a "short ton", refers to 2,000 pounds or 907 kg.

As used herein, all numerical values relating to amounts, weight percentages and the like are defined as "about" or "approximately" each particular value, namely, plus or minus 10%. For example, the phrase "at least 5% by weight" is to be understood as "at

least 4.5% to 5.5% by weight." Therefore, amounts within 10% of the claimed values are encompassed by the scope of the claims.

#### Composition Form for Administration

5

10

15

20

25

The compositions of the present invention may be incorporated with compound feed, or commercial pelleted food produced in a feed mill and fed to domestic livestock and fish. In varying embodiments, the compositions of the present invention may be incorporated with fodder, or food given to domestic livestock including plants cut and carried to them. In other varying embodiments, the compositions of the present invention may be incorporated with forage, or growing plants eaten by domestic livestock. It is within a skill in the art to formulate the compositions with foodstuffs for oral consumption.

In a different embodiment, the compositions of the present invention may be formulated in a liquid composition for oral consumption. It is within a skill in the art to formulate the compositions in liquid compositions for oral consumption.

In yet another embodiment, the compositions of the present invention may be added to water used for livestock or fish production. In yet another varying embodiment, the composition of the present invention is added to an aquaculture system used to grow fish, aquatic mammals, or crustaceans.

# <u>Diseases and Conditions to Be Treated With Compositions and Methods of the Invention</u>

An embodiment of the present invention is directed to methods of reducing mortality of livestock and fish comprising administering to livestock or fish an effective amount of ABA.

Another varying embodiment of the present invention is directed to methods of increasing weight gain of livestock and fish comprising administering to livestock and fish an effective amount of ABA.

It is contemplated that another embodiment of the present invention is directed to methods of decreasing the time to market of livestock and fish comprising administering

to livestock and fish an effective amount of ABA. It is also contemplated that a different embodiment of the present invention is directed to methods of increasing the meat quantity of livestock and fish comprising administering to livestock and fish an effective amount of ABA.

An embodiment of the present invention is directed to methods of increasing immunological function in livestock and fish comprising administering to livestock or fish in need thereof an effective amount of ABA.

A preferred range of an effective amount of ABA for the various methods is from about 1 gram/MT of feed to about 2000 grams/MT of feed. A more preferred range of ABA is from about 5 grams/MT of feed to about 500 grams/MT of feed. A person having ordinary skill in the art would be able to adjust these ranges for various uses like aquaculture applications without undue experimentation.

#### **Animal Feed**

10

15

20

25

Animal feed for feeding poultry includes protein, fat, fiber, calcium, and phosphorous. A preferred feed would include corn and/or wheat, soybean meal, fat, animal by-product, meat and bone meal, and vitamins and minerals.

When used in poultry feed, ABA can be initially mixed into a premix. The term "premix" is intended to mean a feed composition that is prepared as an initial mix containing the active ingredient and, for example, a carrier, and is then blended into the final feed. In the present invention, it is generally suggested that, for ease of calculation and use, the premix is blended with about one metric ton (MT) of conventional feed, with the result that the necessary dosage requirements of the ABA are provided to the animals. When preparing one metric ton (MT) of finished feed, the premix of the invention preferably comprises about 1 to 2000, and more preferably, about 5 to 500, grams of ABA. Carriers for use in a premix are well known by those having skill in the art, and appropriate concentrations can be readily determined.

The ABA may be added to the carrier as a dry powder or as a liquid solution or suspension. When added as a liquid, the ABA may be dissolved or suspended in a liquid with stirring at room temperature. Such liquid may be water or a suitable solvent

or another product used for animal feed that is already in liquid form. Because of ABA's liquid solubility characteristics, it may form a suspension. A predetermined amount of ABA is then added to the conventional premix, and will not overly wet it. Once the premix is prepared, the premix is then added to the final feed, preferably at a rate in the range of one quarter of a pound (lb.) to five pounds (lbs.) of premix to one metric ton (MT) of feed, to supply daily requirements of the ABA for the poultry.

5

10

15

20

25

30

The ABA solid or solution or liquid suspension can be added directly to the premix material, and then mixed. Mixing can be accomplished by any known means, such as by a standard horizontal or vertical blender. Mixing time will again vary depending upon the particular ingredients of the premix, and can take as long as is necessary to assure that the ingredients are thoroughly mixed.

The premix is then incorporated into the feed to be fed to the poultry. In a more preferred embodiment, ABA is blended with the carrier to form the premix, and the premix is directly blended into the final feed. While there is no evidence that use of the higher amounts would cause any toxicity problems in treated poultry, it will affect cost considerations. Because the premix generally will be added to one-ton charges of feed (as is common in the industry), the correspondence between one gram of ABA added to the premix yields about 1 ppm concentration of ABA in the feed. Thus, 5 grams of ABA added to one pound of premix, which in turn is added to one metric ton (MT) of feed, yields about a 5 ppm effective ABA concentration.

While the ABA can be mixed with a premix material prior to incorporation into the finished feed, the appropriate amount of ABA may be directly blended into or sprayed upon the feed. The preferred additive range of ABA in finished feed, whether added directly or via a premix, is about 1 to 2000 grams per metric ton (MT) of feed; more preferably about 5 to 500 grams per metric ton (MT) of feed.

Feed is conventionally prepared in a large bin or mixer in which the feed ingredients are added in descending weight order according to their prevalence in the ultimate feed mixture. Thus, cracked or ground grain would be the primary ingredient. Minor ingredients are then added. Micro-ingredients are added last. These include vitamins, drugs, growth promoters, antibiotics, and, in the present case, ABA. Thus, ABA can be

one of the micro-ingredients and is added to the feed in the final blending step. The feed is blended for conventional time periods.

The feed comprising the ABA is fed to livestock and fish in standard form, such as a mash, crumble or pellet, and at standard feed dosage ranges and rates.

5

10

15

20

25

30

Another embodiment of the present invention is liquid compositions that can be prepared as either ready-to-use dilutions or dilutable concentrates. The embodiment of the present invention can be a solution containing from 0.5% to as much as 50% by weight of ABA. The dilutable concentrates can be diluted into water directly to a final application concentration or to any intermediate dilution, without risk of precipitation of the active ingredient. The aqueous formulations according to one embodiment of the present invention are inexpensive to manufacture, safe to handle and use, and the ABA active ingredient is stable under storage and shipping conditions. A person having ordinary skill in the art would be able to determine how to prepare the final aqueous solution concentration for direct application to animals without undue experimentation, without any chance of causing precipitation of the active ingredient, and without long and laborious stirring to bring the active ingredient into solution.

Another embodiment of the present invention is an ABA water solution that serves as a drinking source of water for the livestock and fish. Such supplemented water solution could be prepared by dissolving dry powder ABA in drinking water or by using a liquid solution or suspension concentrate of ABA. The preferred additive range of ABA in drinking water is about 1 to 2000 parts per million (ppm) or about 1 to 2000 milligrams of ABA per liter of water; more preferably about 5 to 500 parts per million (ppm) or about 5 to 500 milligrams of ABA per liter of water. A person having ordinary skill in the art would be able to determine how to prepare the final aqueous solution for direct application to livestock and fish without undue experimentation, without any chance of causing precipitation of the active ingredient, and without long and laborious stirring to bring the active ingredient into solution.

In another embodiment of the present invention ABA can be applied directly onto animal feed once it has been prepared. For example, as can be the practice with some enzymes, the ABA can be applied directly to the finished feed. In a preferred

embodiment, an aqueous solution of ABA is sprayed onto the finished feed in its final form, such as a pellet, prior to delivering the feed to the livestock and fish.

The advantageous properties of this invention can be observed by reference to the following examples that illustrate the invention. These examples are provided for the purposes of illustration and are not intended to limit the scope of the present invention.

The following examples are intended to illustrate the present invention and to teach one of ordinary skill in the art how to make and use the invention. They are not intended to limit the invention or its protection in any way.

#### **EXAMPLE 1**

5

Male Cobb 500 chickens were fed different levels of S-ABA supplemented into starter, grower, and finisher feed diets for their entire 42 day commercial life cycle. On day 43, samples of chickens (sometimes referred to as "birds") from each treatment were processed.

#### A. BASAL AND EXPERIMENTAL DIETS

The starter, grower, and finisher basal diets represented standard commerical broiler diets. The starter and grower diets contained Salinomycin (a coccidiostat) at 40 grams/ton. The basal diets and final treatment diets were prepared using a 500 or 4,000 pound capacity vertical mixer or a 14,000 pound capacity horizontal mixer. After correcting for percent purity of S-ABA in the S-ABA dry powder, S-ABA was added to the basal diets at concentrations of 5, 50, or 500 ppm (4.6, 46, or 455 grams of S-ABA/ton). Control treatments included (1) commercial diet only and (2) commercial diet supplemented with antibiotics (bacitracin methylene disalicylate (BMD), and STAFAC® virginiamycin (hereinafter "Stafac"). STAFAC is a registered trademark of Phibro Animal Health Corporation). All feed diets were pelleted at ~65°C or below.

#### 25 B. TEST GROUPS

A 90-pen test facility was divided into 10 blocks containing 9 pens per block. Treatments were assigned to the pens using a complete randomized block design. Birds were assigned to the pens randomly. Specific treatment groups were as follows:

Treatment	Diet	Diet Code	Litter	No. of Birds per Pen*	No. of Pens per Treatment	No. of Birds per Treatment	~Feed Consumption (kg)
1	Commercial feed diet	Α	Clean	18	10	180	1,209
2	S-ABA 5 ppm	В	Clean	18	10	180	1,209
3	S-ABA 50 ppm	С	Clean	18	10	180	1,209
4	S-ABA 500 ppm	D	Clean	18	10	180	1,209
5	Commercial feed diet	А	Dirty	18	10	180	1,209
6	S-ABA 5 ppm	В	Dirty	18	10	180	1,209
7	S-ABA 50 ppm	С	Dirty	18	10	180	1,209
8	S-ABA 500 ppm	D	Dirty	18	10	180	1,209
9	BMD 50 grams/ton in starter feed diet, 25 grams/ton in grower feed diet, Stafac 10 grams/ton in finisher feed diet	Е	Dirty	18	10	180	1,209
Total					90	1,620	10,881

<sup>\*</sup> Two (2) extra chicks were placed in each pen on day 0 (20 birds/pen). At 7 days of age the birds in each pen were counted and bird counts in each pen were adjusted to 18 birds/pen. Extras were weighed, recorded, and removed.

#### 5 C. MANAGEMENT

10

#### Vaccinations and Treatments:

Birds were vaccinated for Mareks at the hatchery. Birds were vaccinated upon receipt for the study (day 0) for Newcastle and Infectious Bronchitis via spray cabinet. No other vaccinations or treatments, except what is provided in the diets were administered during the study.

#### Water:

Water was provided ad libitum throughout the study via one automatic four-nipple drinker in each pen. Drinkers were checked twice daily to assure a constant and clean water supply to the birds.

#### Feed:

5

10

15

20

25

Feed was provided ad libitum throughout the study via one handing, ~17-inch diameter tube feeder per pen. Chick feeder trays were placed in each pen for approximately the first 4 days. Feed added and removed from pens was weighed and recorded from day 0 to day 42. Diet changes were conducted at the same time for all pens. The feeding period for each diet was as shown below:

Diet	Form	Feeding Period (Days)
Starter	Crumble	0-14
Grower	Pellet	14-28
Finisher	Pellet	28-42

#### Mortality:

Starting on study day 0, any bird found dead or needing to be removed and sacrificed was weighed and necropsied. The probable cause of death and necropsy findings were recorded.

#### Body Weights and Feed Intake:

Birds were weighed by pen at 0, 7, 14, 21, 28, 35, and 42 days of age. The feed remaining in each pen was weighed and recorded on study day 0, 7, 14, 21, 28, 35, and 42 days of age. The feed intake during days 0-7, 0-14, 0-21, 0-28, 0-35, and 0-42 was calculated.

#### Weight Gains and Feed Conversion:

Performance data was summarized by average weight per bird at each body weights measurement period. The average feed conversion was calculated for each respective body weight period using the total feed consumption in a pen divided by the total weight

of surviving birds (i.e. days 0-7, 0-14, 0-21, etc.). Adjusted feed conversion was calculated using the total feed consumption in a pen divided by the total weight of surviving birds and weight of birds that died or were removed from that pen.

#### Processing:

Four blocks (9 pens/block) were selected at random from each treatment. On day 42 all birds in the selected blocks were individually wing banded with a unique number. Prior to processing the birds were fasted for approximately 12 hours. Immediately after processing on day 43, digestive tract weights and Bursa of Fabricius organ weights were measured.

10

#### D. RESULTS

TABLE 1 shows that 5 ppm S-ABA added to commercial feed diets had significantly (P= 0.05) lower bird mortality compared to the control treatments, including the commercial feed diet containing the bacitracin methylene disalicylate and Stafac antibiotics.

15

TABLE 1

Cumulative Bird Mortality After 42 Days				
Treatment*	Lived	Died		
Commercial feed diet (control 1)	194	6		
Commercial feed diet plus BMD, Stafac (control 2)	194	6		
Commercial feed diet plus 5 ppm S-ABA	200	0		

<sup>\*</sup>Dirty litter conditions

The greater level of bird health in response to S-ABA, as shown in TABLE 1 above, was observed under dirty litter conditions, which represents a challenging and stressful living environment for the birds.

TABLE 2 shows that 5 ppm S-ABA added to commercial feed increased bird growth (weights) compared to the commercial feed diet (control 1). The addition of 5 ppm S-ABA to the commercial feed diet also reduced feed conversion ratios by 1-4 points. Feed conversion ratios that were corrected for the number of surviving birds (i.e., adjusted feed conversion ratios) were lower by 1-2 points.

TABLE 2

Bird	Weights a	nd Feed C	onversior	n Ratios*		
	Means	Means	Means	Means	Means	Means
Bird Weight (kg)	Day 7	Day 14	Day 21	Day 28	Day 35	Day 42
Commercial feed diet (control 1)	0.1427	0.3763	0.7588	1.3785	2.0704	2.7010
versus	VS.	vs.	VS.	VS.	VS.	VS.
Commercial feed diet plus 5 ppm S-ABA	0.1445	0.3873	0.7899	1.4236	2.0919	2.7565
Feed/Gain Ratio	Day 7	Day 14	Day 21	Day 28	Day 35	Day 42
Commercial feed diet (control 1)	1.4505	1.3544	1.4245	1.4915	1.5864	1.6818
versus	VS.	vs.	VS.	VS.	VS.	VS.
Commercial feed diet plus 5 ppm S-ABA	1.4093	1.3400	1.4137	1.4584	1.5731	1.6861
Adjusted Feed/Gain Ratio	Day 7	Day 14	Day 21	Day 28	Day 35	Day 42
Commercial feed diet (control 1)	1.4218	1.3009	1.4028	1.4617	1.5652	1.6649
versus	VS.	VS.	VS.	VS.	VS.	VS.
Commercial feed diet plus 5 ppm S-ABA	1.4093	1.2854	1.3842	1.4517	1.5610	1.6691

<sup>\*</sup>Dirty litter conditions

Increased bird growth, as evidenced by the greater weights shown in TABLE 2, suggests that the addition of S-ABA to commercial feed can lead to faster growth and bigger birds. This response to S-ABA could prove useful by reducing the number of days to reach a given target bird size (weight). The reduction in feed conversion ratios means the addition of S-ABA to animal feed can lower the cost to produce a given unit of meat (e.g., a pound of chicken breast meat).

TABLE 3 shows that S-ABA in the feed diet increases the weight of digestive tracts compared to the commercial feed diets (control 1 and control 2).

TABLE 3

Digestive Tract Weights			
Treatment*	Mean Weight (Grams)		
Commercial feed diet plus 500 ppm S-ABA	88.4		
Commercial feed diet plus 5 ppm S-ABA	86.7		
Commercial feed diet plus 50 ppm S-ABA	86.6		
Commercial feed diet plus BMD, Stafac	85.9		
(control 2)			
Commercial feed diet	84.4		
(control 1)	,		

<sup>\*</sup>Dirty litter conditions

5

Increased digestive tract weights, as shown in TABLE 3 above, may be directly related to better overall health. Further, data in TABLE 3 suggest that S-ABA may also reduce or eliminate the need for antibiotics in feed.

With these data (TABLES 1-3), one can see that S-ABA improves the health and growth of chickens and increases feed efficiencies so that producers can save costs.

TABLE 4 shows the economic benefit of improved health and growth of broiler chickens and increased feeding efficiency resulting from the addition of S-ABA into commercial feed.

TABLE 4

Profit Value per 1,000 Birds Started*						
Base Feed Cost (\$/ton)	Commercial feed diet (control 1) versus Commercial feed diet plus 5 ppm S-ABA	Commercial feed diet plus BMD, Stafac (control 2) versus Commercial feed diet plus 5 ppm S-ABA				
\$150	\$112.75	\$68.74				
\$200	\$99.44	\$61.74				
\$250	\$86.12	\$54.73				
\$325	\$66.16	\$44.22				

<sup>\*</sup> See US patent 5,715, 185; also Poultry USA magazine, May 2003, pgs 34-40.

The tabulated results in TABLE 4 show that by decreasing broiler chicken production costs through better health increased bird growth, and improved feed conversion efficiencies, the addition of ABA into commercial broiler feed can result in meaningful economic benefit to the industry. For instance, at a base feed cost of \$250/ton when compared to the commercial feed diet (control 1), the addition of 5 ppm S-ABA to the diet added an incremental \$86.12 in profit value per 1,000 birds started. Compared to the commercial feed diet plus BMD and Stafac (control 2), the addition of 5 ppm S-ABA to the diet increased the profit value per 1,000 birds started by \$54.73.

#### **EXAMPLE 2**

5

10

Male and female Cobb 500 chickens were fed different levels of (s) - (+) - abscisic acid (S-ABA) supplemented into starter, grower, and finisher feed diets for their entire 42 days commercial life cycle.

#### 15 A. BASAL AND EXPERIMENTAL DIETS

The starter, grower, and finisher basal diets represented standard commercial broiler diets. The starter and grower diets contained Salinomycin (a coccidiostat) at 40 grams/ton. The basal diets and final treatment diets were prepared using a 500 or

4,000 pound capacity vertical mixer or a 14,000 pound capacity horizontal mixer. After correcting for percent purity of S-ABA in the S-ABA dry powder, S-ABA was added to the basal diets at concentrations of 2.5, 5.0, or 7.5 ppm (2.3, 4.6, or 6.8 grams of S-ABA/ton). Control treatments included (1) commercial diet only and (2) commercial diet supplemented with antibiotics (bacitracin methylene disalicylate and Stafac). All feed diets were pelleted at ~65°C or below.

#### B. TEST GROUPS

5

10

A 108-pen test facility was divided into 18 blocks containing 6 pens per block. Treatments were assigned to the pens using a complete randomized block design. Birds were assigned to the pens randomly. Specific treatment groups were as follows:

Treatment	Diet	Diet Code	Sex	No. of Birds per Pen*	No. of Pens per Treatment	No. of Birds per Treatment
1	Commercial feed diet	Α	F	18	18	324
2	BMD 50 grams/ton in starter feed diet, 25 grams/ton in grower feed diet, Stafac 10 grams/ton in finisher	В	F	18	18	324
3	S-ABA 2.5 ppm	С	F	18	18	324
4	S-ABA 5.0 ppm	D	F	18	18	324
5	S-ABA 7.5 ppm	E	F	18	18	324
6	S-ABA 5.0 ppm	D	F	18	18	324
7	Commercial feed diet	Α	M	18	18	324
8	BMD 50 grams/ton in starter feed diet, 25 grams/ton in grower feed diet, Stafac 10 grams/ton in finisher	В	M	18	18	324
9	S-ABA 2.5 ppm	С	M	18	18	324
10	S-ABA 5.0 ppm	D	M	18	18	324
11	S-ABA 7.5 ppm	E	M	18	18	324
12	S-ABA 5.0 ppm	D	M	18	18	324
Total					216	3,888

<sup>\*</sup> Nine (9) extra chicks were placed in each pen on day 0 (27 birds/pen). At 21 days of age, after pen weights, the birds were counted and adjusted by randomly selecting and

removing the appropriate number of birds to achieve 18 birds/pen. Composite weights were taken on the removed birds and then subtracted from the initial 21 day weights.

#### C. MANAGEMENT

#### 5 Vaccinations and Treatments:

Birds were vaccinated for Mareks at the hatchery. Birds were vaccinated upon receipt for the study (day 0) for Newcastle and Infectious Bronchitis via spray cabinet. No other vaccinations or treatments, except what is provided in the diets were administered during the study.

10

#### Water:

Water was provided ad libitum throughout the study via one automatic four-nipple drinker in each pen. Drinkers were checked twice daily to assure a constant and clean water supply to the birds.

#### 15 Feed:

20

Feed was provided ad libitum throughout the study via one handing, ~17-inch diameter tube feeder per pen. Chick feeder trays were placed in each pen for approximately the first 4 days. Feed added and removed from pens was weighed and recorded from day 0 to day 42. Diet changes were conducted at the same time for all pens. The feeding period for each diet was as shown below:

Diet	Form	Feeding Period (Days)
Starter	Crumble	0-21
Grower	Pellet	21-35
Finisher	Pellet	35-42

#### Mortality:

Starting on study day 0, any bird found dead or needing to be removed and sacrificed was weighed and necropsied. The probable cause of death and necropsy findings were recorded.

#### 5 Body Weights and Feed Intake:

Birds were weighed by pen at 0, 7, 14, 21, 28, 35, and 42 days of age. The feed remaining in each pen was weighed and recorded on study day 0, 7, 14, 21, 28, 35, and 42 days of age. The feed intake during days 0-7, 0-14, 0-21, 0-28, 0-35, and 0-42 was calculated.

10

15

#### Weight Gains and Feed Conversion:

Performance data was summarized by average weight per bird at each body weights measurement period. The average feed conversion was calculated for each respective body weight period using the total feed consumption in a pen divided by the total weight of surviving birds (i.e. days 0-7, 0-14, 0-21, etc.). Adjusted feed conversion was calculated using the total feed consumption in a pen divided by the total weight of surviving birds and weight of birds that died or were removed from that pen.

#### D. RESULTS

TABLE 5 shows that ABA added to commercial feed diets had higher survival rates compared to the commercial feed diet containing the bacitracin methylene disalicylate and Stafac antibiotics.

TABLE 5

Cumulative Bird Mortality After 42 Days			
Treatment*	Sex	Mortality	
Commercial feed diet	Female	1.8%	
BMD 50 grams/ton in starter feed diet, 25 grams/ton in grower feed diet,	Female	3.5%	
Stafac 10 grams/ton in finisher			
S-ABA 2.5 ppm	Female	2.4%	
S-ABA 5.0 ppm	Female	0.4%	
S-ABA 7.5 ppm	Female	2.2%	
S-ABA 5.0 ppm	Female	1.9%	
Commercial feed diet	Male	3.0%	
BMD 50 grams/ton in starter feed diet, 25 grams/ton in grower feed diet,	Male	4.5%	
Stafac 10 grams/ton in finisher			
S-ABA 2.5 ppm	Male	4.1%	
S-ABA 5.0 ppm	Male	2.9%	
S-ABA 7.5 ppm	Male	3.8%	
S-ABA 5.0 ppm	Male	3.5%	

<sup>\*</sup> Dirty litter conditions

5

10

The greater level of bird survival in the S-ABA treatments, as shown in TABLE 5 above, was observed under dirty litter conditions, which represents a more challenging and stressful living environment for the birds. This suggests that additional S-ABA in the feed may help improve bird health.

With these results (TABLES 1-5), one can see that S-ABA improves the health and growth of chickens and increases feeding efficiency so that producers can save costs. Taking these various parameters into consideration, the benefits of supplementing animal feed with additional S-ABA means greater economic benefit to animal producers by improving operating efficiencies during bird production and higher meat yield upon processing.

#### Claims

1. A method maintaining the health of livestock or fish comprising administering to livestock or fish in need thereof an effective amount of abscisic acid, and/or salts, and derivatives thereof.

- 2. A method of increasing feed efficiency comprising administering to livestock or fish an effective amount of abscisic acid, and/or salts, and derivatives thereof.
- 3. A method of increasing weight gain of livestock or fish, comprising administering to livestock or fish an effective amount of abscisic acid, and/or salts, and derivatives thereof.
- 4. A method of decreasing the time to market of livestock or fish, comprising administering to livestock or fish an effective amount of abscisic acid, and/or salts, and derivatives thereof.
- 5. A method of increasing the meat quantity of livestock or fish, comprising administering to livestock or fish an effective amount of abscisic acid, and/or salts, and derivatives thereof.
- 6. A feed composition for administration to livestock or fish comprising abscisic acid, and/or salts, and derivatives thereof.
- 7. A method for reducing or eliminating the use of antibiotics in feed comprising administering to livestock or fish an effective amount of abscisic acid, and/or salts, and derivatives thereof.

### INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 11/52168

A. CLASSIFICATION OF SUBJECT MATTER IPC(8) - A61K 31/19 (2011.01) USPC - 514/557					
According to	According to International Patent Classification (IPC) or to both national classification and IPC				
B. FIEL	OS SEARCHED				
	Minimum documentation searched (classification system followed by classification symbols) USPC - 514/557				
	Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched USPC - 514/553, 514/557				
*** Database	ta base consulted during the international search (name of s: WEST (PGPB, USPT, USOC, EPAB, JPAB); Google erms Used: Valent, Herrero, Shafer, abscisic acid, dorm e to market	. Google Scholar			
C. DOCUI	MENTS CONSIDERED TO BE RELEVANT				
Category*	Citation of document, with indication, where ap	propriate, of the relevant passages	Relevant to claim No.		
Х	US 2008/0242635 A1 (Elshout et al.) 02 October 2008	(02.10.2008), especially para [0001]-	1 and 6		
- Y	[0003], [0007], [0009]-[0010],		2-5 and 7		
x	US 2007/0184060 A1 (Bassaganya-Riera et al.) 09 August 2007 (09.08.2007), especially para				
 Y	[0035], [0037], [0043], [0081]-[0082], [0085],		2-5 and 7		
			•		
· · · · · · · · · · · · · · · · · · ·		100			
Furth	er documents are listed in the continuation of Box C.				
	categories of cited documents: ent defining the general state of the art which is not considered	"T" later document published after the interdate and not in conflict with the applic	ation but cited to understand		
to be o	particular relevance application or patent but published on or after the international	the principle or theory underlying the i "X" document of particular relevance; the	claimed invention cannot be		
filing d "L" docume	filing date considered novel or cannot be considered to involve an inventive step when the document is taken alone				
special "O" docum	cited to establish the publication date of another citation or other special reason (as specified)  "O" document referring to an oral disclosure, use, exhibition or other				
means being obvious to a person skilled in the art  "P" document published prior to the international filing date but later than "&" document member of the same patent family					
· · · · ·	the priority date claimed  Date of the actual completion of the international search  Date of mailing of the international search report				
30 December 2011 (30.12.2011) 19 JAN 2012					
Name and mailing address of the ISA/US Authorized officer:					
Mail Stop PC	Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450				
	0. 571-273-3201	PCT Helpdesk: 571-272-4300 PCT OSP: 571-272-7774			