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(54) Title
APPARATUS AND METHOD FOR INSERTION OF COCHLEAR ELECTRODE ASSEMBLY

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(56) Prior Art Documents
AU 32412/89 A61F 11/04
AU 591690 60526/86 A61N 001/05
EP 259906

(57) Claim

1. A cochlear electrode lead comprising an assembly of electrodes at one end and a resilient collar surrounding said lead and affixed thereto at a predetermined point above said electrodes, and means for permanently adhering a circumferential end of said collar to said lead at an end of said collar adjacent said assembly.

7. A method for making an insertion mechanism for a cochlear implant lead having an electrode assembly, comprising the steps of:

a) expanding a resilient collar so that an inner diameter of said collar is greater than an outer diameter of said lead,

b) sliding said expanded collar on to said lead to rest at a predetermined point beyond said electrode assembly,

c) permitting said collar to return to said inner

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diameter equivalent to said outer diameter of said lead,
and

d) permanently affixing said collar to said lead by
applying adhesive to a circumferential end of said collar
adjacent to said electrode assembly.

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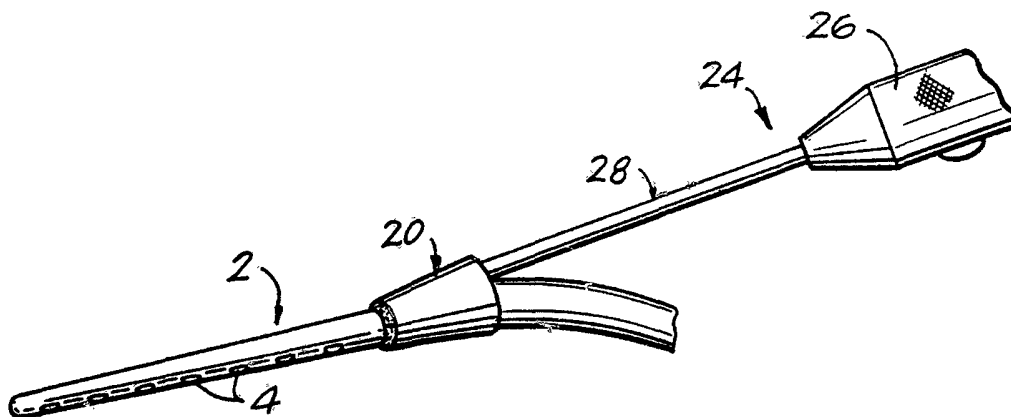
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INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification⁴ : A61N 1/372, 1/05, A61F 11/04 A61F 2/18</p>	<p>A1</p>	<p>(11) International Publication Number: WO 89/ 00870 (43) International Publication Date: 9 February 1989 (09.02.89)</p>
<p>(21) International Application Number: PCT/AU88/00265 (22) International Filing Date: 22 July 1988 (22.07.88) (31) Priority Application Number: 077,445 (32) Priority Date: 24 July 1987 (24.07.87) (33) Priority Country: US (71) Applicant: COCHLEAR PTY. LTD. [AU/AU]; 14 Mars Road, Lane Cove, NSW 2066 (AU). (72) Inventor: KUZMA, Janusz ; 27 Solander Road, Kings Langley, NSW 2147 (US). (74) Agent: SHELSTON WATERS; 55 Clarence Street, Sydney, NSW 2000 (AU).</p> <div data-bbox="422 981 805 1124" style="border: 1px solid black; padding: 5px; margin-top: 10px;"> <p>amendments made section 49 and is correct for printing</p> </div>		<p>(81) Designated States: AT (European patent), AU, BE (European patent), CH (European patent), DE (European patent), FR (European patent), GB (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent).</p> <p>Published <i>With international search report.</i></p> <div data-bbox="976 817 1316 1057" style="border: 1px solid black; padding: 10px; margin-top: 20px;"> <p>A.D.J.P. 2 APR 1989</p> <p>AUSTRALIAN - 1 MAR 1989 PATENT OFFICE</p> </div>

(54) Title: APPARATUS AND METHOD FOR INSERTION OF COCHLEAR ELECTRODE ASSEMBLY



(57) Abstract

An apparatus and method for insertion of a cochlear implant. The method includes sliding a collar (20) on to the rear end of a cochlear electrode (4) lead (2), applying glue to the forward end of the collar, and putting a gripping tool (24) configured for squeeze-fit placement in the free rear end of the collar (20).

Description

APPARATUS AND METHOD FOR INSERTION
OF COCHLEAR ELECTRODE ASSEMBLY

This invention relates to a cochlear electrode lead for a cochlear implant, and a method of inserting the lead into a patient's ear.

The stimulating electrode assembly of a cochlear implant is placed inside the cochlear partition, commonly into the scala tympani. A major problem with conventional electrode leads is in inserting them into the cochlear without irreversibly damaging the auditory nerve fibres, and the electrodes and lead wires of the electrode lead. In the prior art, electrode leads are surgically inserted along the line of sight through the round window and along the basal turn of the cochlear, either with an alligator forceps or with Y-shaped claws. The alligator forceps adequately control the force and direction of the electrode insertion, but risk of damage to the electrodes is high. The forceps also must be periodically removed and replaced to correctly orient the electrode array in the cochlear, since it can grip the electrode lead only through a limited angle of rotation. The Y-shaped claws minimize damage to the electrode, but it cannot be used to apply insertion force in the optimum direction, along the line of sight. Since it cannot grip the electrode lead, it also cannot be used to rotate the electrode to correctly place it in the cochlear.

Accordingly an object of the present invention is to overcome or substantially ameliorate at least one disadvantage of the prior art.

According to a first aspect of the invention there is provided a cochlear electrode lead comprising an assembly of electrodes at one end and a resilient collar surrounding said lead and affixed thereto at a predetermined point above said electrodes, and means for permanently adhering a circumferential end of said collar

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to said lead at an end of said collar adjacent said assembly.

A second aspect provides a method for making an insertion mechanism for a cochlear implant lead having an electrode assembly, comprising the steps of:

a) expanding a resilient collar so that an inner diameter of said collar is greater than an outer diameter of said lead,

b) sliding said expanded collar on to said lead to rest at a predetermined point beyond said electrode assembly,

c) permitting said collar to return to said inner diameter equivalent to said outer diameter of said lead, and

d) permanently affixing said collar to said lead by applying adhesive to a circumferential end of said collar adjacent to said electrode assembly.

Preferably the collar is affixed to the rear of the electrode lead at a predetermined point above the electrode assembly. In the preferred embodiment the collar is expanded in Freon or other suitable material, so that the inner diameter of the collar is slightly greater than the outer diameter of the electrode lead. This enables the collar to slip over the lead during manufacture. After placement of the collar, the Freon evaporates, and the collar returns to its original dimensions (equivalent to the outer diameter of the lead). This shrinking results in a snug friction fit. Glue (preferable silastic A) is applied to the forward edges of the collar. The collar is positioned so that it is located outside the round window after insertion is completed.

The insertion or gripping tool has a rounded end, configured like a thumbnail at the tip, designed to fit between the collar and the lead. A major advantage of my invention is that the squeeze or friction fit of the gripping tool to the electrode lead (through the collar)



completely prohibits possible damage to the electrode array as may occur with use of alligator forceps. This mode of attachment also permits optimum application of the insertion force directly along the axis. Further, the surgeon can use the gripping tool to rotate the electrode lead without fear that the tool will slip off the lead and damage the electrode assembly or the delicate tissues of the patient's ear. This contrasts with the prior art Y-shaped claws which cannot be used to apply force along the line of sight or to grip and rotate the electrode lead. Although the prior art alligator forceps adequately control the force and direction of the electrode insertion, it must be periodically removed from the lead and replaced at a point further back on the lead in order to completely insert the electrode lead into the cochlear. With my invention, the surgeon may apply constant forward force along the axis without removing the gripping tool at all.

My invention can also be used with both symmetric and non-symmetric electrodes. With non-symmetric electrodes, the gripping tool is placed under the collar so that ----

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it is directly above the active electrodes (e.g. 180 degrees away). A mark is placed on the front of the handle of the gripping tool so that when the surgeon inserts the electrode lead into the cochlea and the electrode assembly is no longer visible, the surgeon is able to determine the orientation of the active electrodes and to rotate the electrode lead to correctly place the active electrode assembly in the cochlea. With symmetric electrodes, the placement of the tool with respect to the array is not important.

After insertion of the electrode array is completed, the gripping tool is removed by sliding it along the axis of the lead; the lead can be held steady (so that removal of the tool does not remove the lead) by temporary placement of the Y-shaped claws on the collar.

Further objects, features, and advantages of my invention will become apparent upon consideration of the following detailed description in conjunction with the drawings, in which:

FIG. 1A is an illustration of a prior art non-symmetric electrode lead;

FIG. 1B is an illustration of a prior art symmetric electrode lead;

FIG. 2 illustrates the prior art method of inserting the electrode lead into the cochlea;

FIGS. 3A-C illustrate the preferred method of placing the collar around the electrode lead during manufacture;

FIG. 4A is an illustration of the gripping tool;

FIG. 4B is an enlarged view of the tip of the gripping tool;

FIG. 5A-C illustrate the preferred method of using the insertion tool with the electrode lead.

Fig. 1A is an illustration of a prior art non-symmetric or localized electrode lead 2, with active electrodes 4 and electrode lead wires 6. Fig. 1B shows a prior art symmetric or banded electrode lead 8, with active electrodes 10 and electrode lead wires 12. The prior art method of inserting an electrode lead into the cochlea is shown in Fig. 2. To achieve insertion, force must be

applied along the axis of electrode lead 8 (direction I). When prior art Y-shaped claws 14 are used, this force is generated from friction between the claws and the lead. Since the lead is smooth and slippery, a large force F, normal to the axis, is required, which may result in bending or undesirable rotation of the lead. Further, in order to push lead 8 through round window 16 and along basal turn 18 of the cochlea, the surgeon must periodically remove and replace claws 14 (from position A to B in Figure 2).

In the preferred embodiment of my invention, a collar is placed on the electrode lead to the rear of the electrode assembly. In Figs. 3A-3C, the preferred method of placing collar 20 around electrode lead 8 is illustrated. In Fig. 3A, a 5-6mm length collar 20, made of silastic tubing, silicone rubber or other suitable material, is slipped over the front end of lead 8 to rest at a point approximately 26mm behind the last electrode. Collar 20 is expanded in Freon to produce an inner diameter of 0.6 mm, which is slightly larger than the outer diameter of electrode lead 8, so as to facilitate placement of collar 20 over lead 8 and to allow for a snug friction fit after evaporation of the Freon. Collar 20 has a wall thickness of 0.2-0.3 mm. Fig. 3B shows the placement of collar 20 on lead 8. In Fig. 3C, an enlarged view of collar 20 and lead B, glue 22 (preferably silastic A) is applied to the front edges of collar 20, permanently affixing collar 20 to lead 8.

Figs. 4A and 4B show gripping tool 24 which is adapted for use with collar 20. Fig. 4A is an illustration of gripping tool 24, with a 110 mm length handle 26 and a 40 mm tip 28. Fig. 4B is an enlarged view of the front of tip 28, with all sharp edges removed to form a rounded end 30, with a length of 3-3.5 mm. In Fig. 5A, rounded end 30 is placed gently under the rear end of collar 20, allowing the tip of the insertion tool to be attached to lead 8, removed

from the vicinity of the electrodes themselves. Fig. 5B is an enlarged view of the location of rounded groove 30 under collar 20.

This technique of attachment permits the surgeon to apply force directly along the axis, in the optimum direction along the line of sight through the round window. Possible damage to the electrode lead is minimized, and the surgeon does not need to periodically remove and replace the gripping tool to push the lead forward. The friction fit of the gripping tool to the lead also permits the surgeon to rotate the lead and correctly orient it in the cochlea without fear that the tool may slip off the lead. When the tool is used to insert non-symmetric or localized electrode lead 2 in Fig. 5C, the gripping tool is placed 180 degrees from active electrodes 4, and a mark is placed on the front of handle 26, indicated the direction of the active electrodes. This enables the surgeon to determine the location of active electrodes 4 when the lead has been inserted through the round window and the active electrodes are no longer visible, permitting the surgeon to correctly orient the active electrodes with respect to the cochlear nerves.

After insertion of the electrode assembly is completed, the collar is located outside the round window. Removal of the gripping tool from the collar is accomplished by sliding the tool along the axis of the lead; the lead can be held steady (so that removal of the tool does not remove the electrode assembly from the cochlea) by the temporary placement of prior art Y-shaped claws on the collar.

Although the invention has been described with reference to a particular embodiment it is to be understood that this embodiment is merely illustrative of the application of the principles of the invention. Numerous modifications may be made therein and other arrangements may be devised without departing from the spirit and scope of the invention.

THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:-

1. A cochlear electrode lead comprising an assembly of electrodes at one end and a resilient collar surrounding said lead and affixed thereto at a predetermined point above said electrodes, and means for permanently adhering a circumferential end of said collar to said lead at an end of said collar adjacent said assembly.

2. A cochlear electrode lead in accordance with claim 1, wherein said resilient collar has an inner diameter substantially equivalent to an outer diameter of said lead so as to frictionally engage said lead, said collar being sufficiently resilient to stretch so as to receive a member between said collar and said lead.

3. A cochlear electrode lead in accordance with claim 2, wherein said member comprises a tip of a handled insertion tool for inserting said lead into the ear.

4. A cochlear electrode lead in accordance with claim 3, wherein said tip includes a rounded end that is configured like a thumbnail and is designed to fit between said collar and said lead at an end of said collar remote from said assembly.

5. A cochlear electrode lead in accordance with claim 1, wherein said collar is comprised of silicone rubber.

6. A cochlear electrode lead in accordance with claim 1, wherein said means for affixing is cured silastic A affixed to said circumferential end of said collar and said lead.

7. A method for making an insertion mechanism for a cochlear implant lead having an electrode assembly, comprising the steps of:

a) expanding a resilient collar so that an inner diameter of said collar is greater than an outer diameter of said lead,

b) sliding said expanded collar on to said lead to rest at a predetermined point beyond said electrode assembly,

c) permitting said collar to return to said inner



diameter equivalent to said outer diameter of said lead,
and

d) permanently affixing said collar to said lead by
applying adhesive to a circumferencial end of said collar
adjacent to said electrode assembly.

8. A method for making an insertion mechanism in
accordance with claim 7; wherein said collar is formed of
silicone rubber.

9. A method for making an insertion mechanism in
accordance with claim 7, wherein in step a) said collar is
exposed to a material which causes said collar to
temporarily expand.

10. A method for making an insertion mechanism in
accordance with claim 9, wherein said material is Freon.

11. A method for making an insertion mechanism in
accordance with claim 7, wherein in step c) said material
is permitted to evaporate from said collar.

12. A cochlear electrode lead substantially as herein
before described with reference to Figures 3A to 3C of the
accompanying drawings.

DATED this 20th day of SEPTEMBER, 1990.

COCHLEAR PTY LTD

Attorney: WILLIAM S. LLOYD

Fellow Institute of Patent Attorneys of Australia
of SHELSTON WATERS



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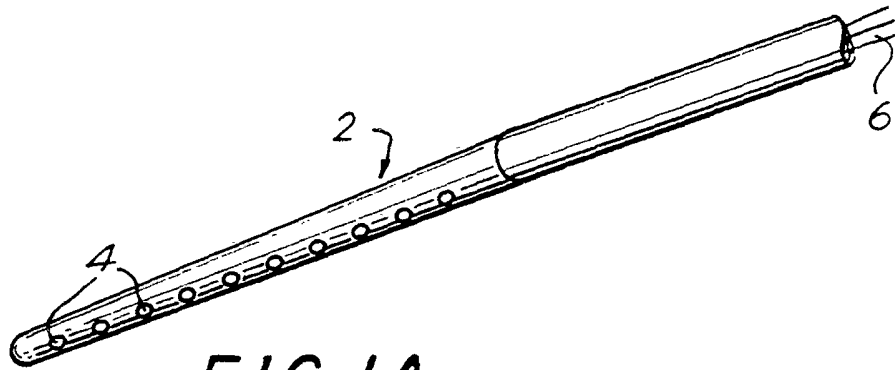


FIG. 1A
PRIOR ART

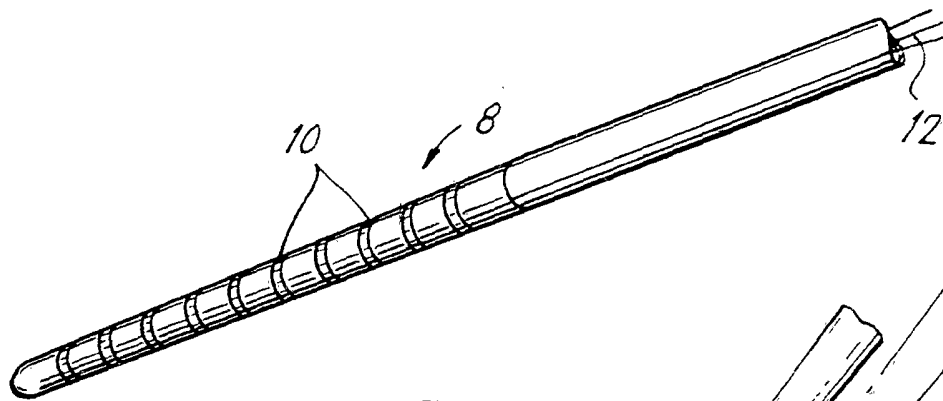


FIG. 1B
PRIOR ART

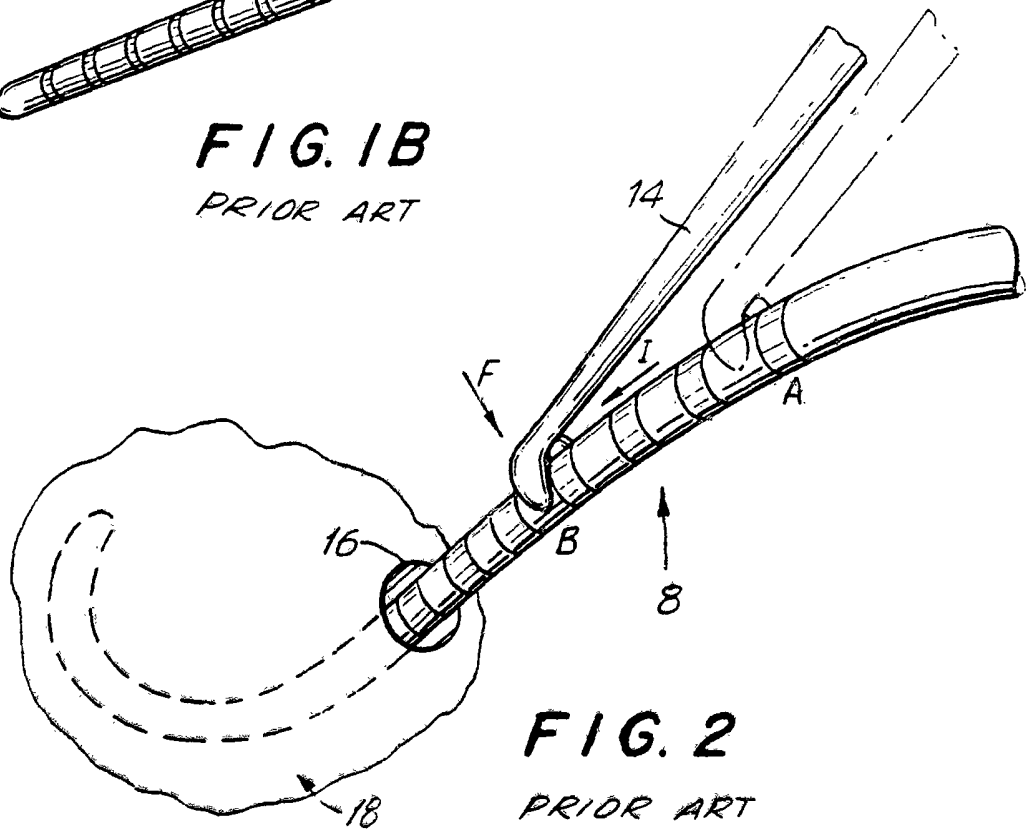


FIG. 2
PRIOR ART

FIG. 3A

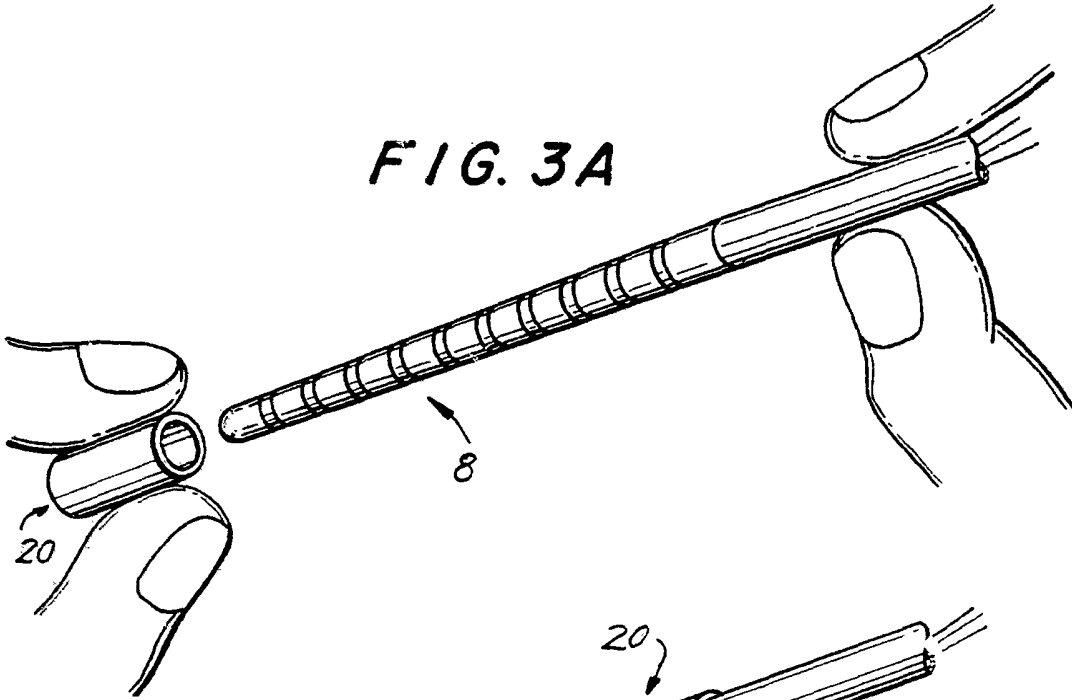


FIG. 3B

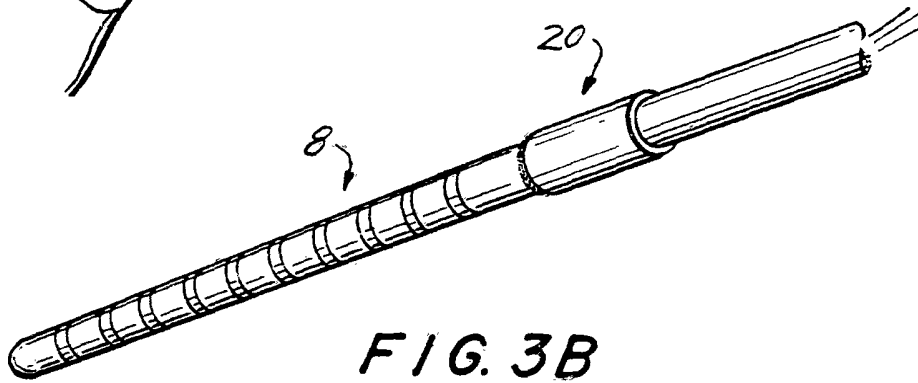
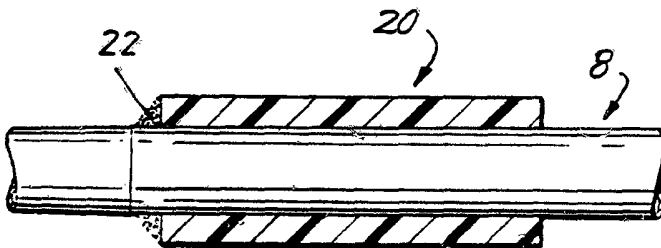


FIG. 3C



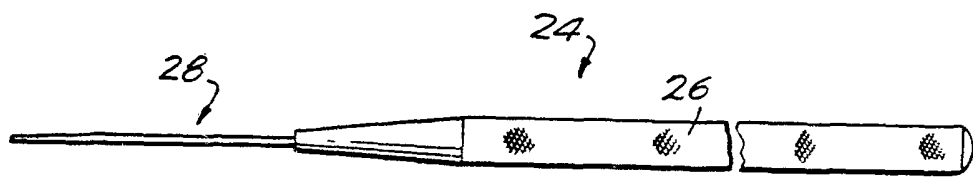


FIG. 4A

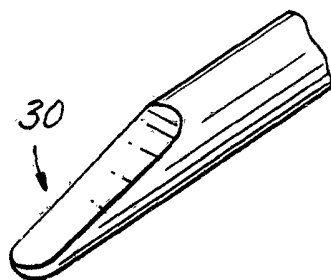


FIG. 4B

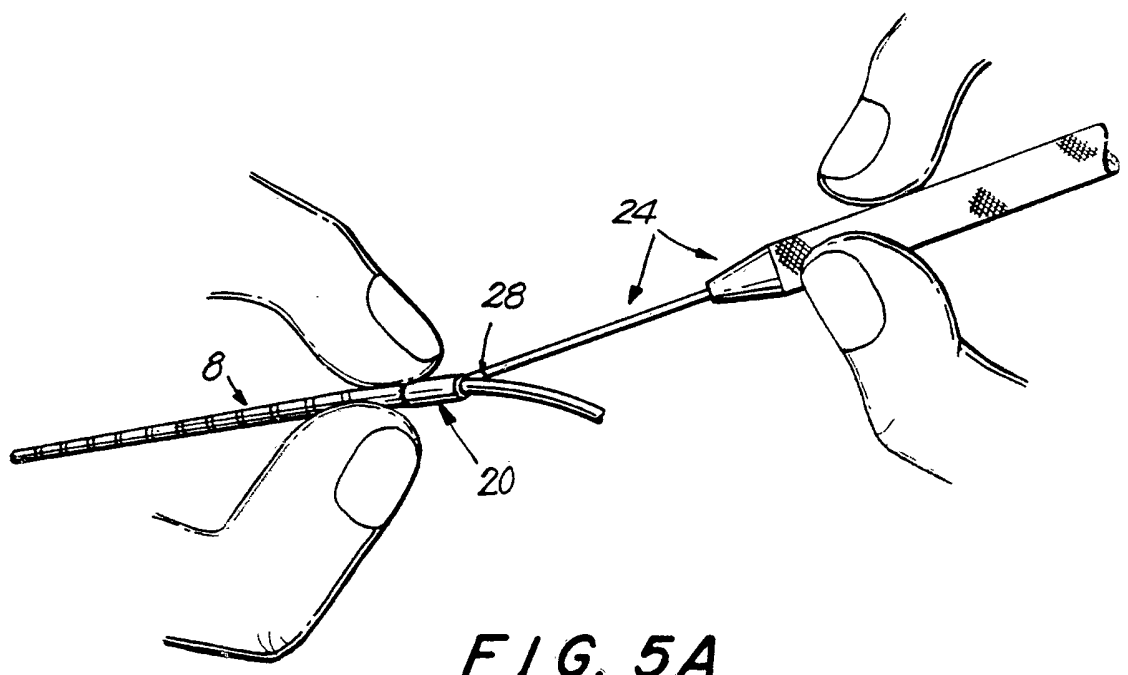


FIG. 5A

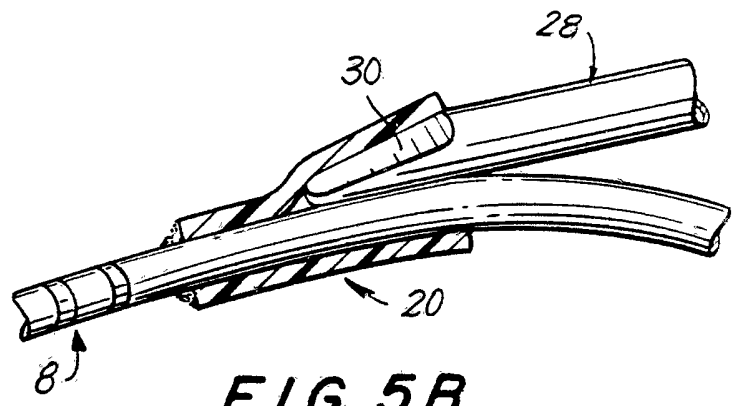


FIG. 5B

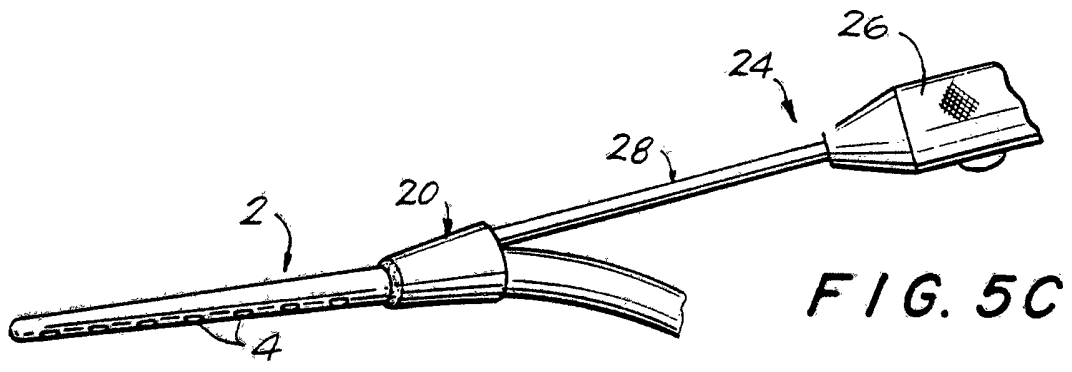


FIG. 5C

INTERNATIONAL SEARCH REPORT

International Application No. PCT/AU 88/00265

I. CLASSIFICATION OF SUBJECT MATTER : <small>IPC class. classification symbols apply, no. date etc.</small> According to International Patent Classification (IPC) or to both National Classification and IPC <div style="text-align: center; font-size: 1.2em;">Int. Cl.⁴ A61N 1/372, 1/05; A61F 11/04, 2/18</div>							
II. FIELDS SEARCHED <div style="text-align: center; font-size: 0.8em;">Minimum Documentation Searched *</div> <table style="width: 100%; border: none;"> <tr> <td style="width: 30%; border: none; padding: 2px;">Classification System</td> <td style="border: none; padding: 2px;">Classification Symbols</td> </tr> <tr> <td style="border: none; padding: 2px; text-align: center;">IPC</td> <td style="border: none; padding: 2px; text-align: center;">A61N 1/02, 1/04, 1/05, 1/36, 1/372</td> </tr> </table> <div style="text-align: center; font-size: 0.8em;">Documentation Searched other than Minimum Documentation to the extent that such Documents are included in the Fields Searched *</div> <p style="text-align: center; margin-top: 10px;">AU : IPC as above; A61F 2/18, 11/04; H04R 25/00</p>		Classification System	Classification Symbols	IPC	A61N 1/02, 1/04, 1/05, 1/36, 1/372		
Classification System	Classification Symbols						
IPC	A61N 1/02, 1/04, 1/05, 1/36, 1/372						
III. DOCUMENTS CONSIDERED TO BE RELEVANT * <table style="width: 100%; border: none;"> <tr> <td style="width: 10%; border: none; font-size: 0.8em;">Category **</td> <td style="width: 70%; border: none; font-size: 0.8em;">Citation of Document ** with indication where appropriate of the relevant passages **</td> <td style="width: 20%; border: none; font-size: 0.8em;">Relevant to Claim No. **</td> </tr> <tr> <td style="border: none; vertical-align: top; padding: 5px;"> A A A A A A A A </td> <td style="border: none; vertical-align: top; padding: 5px;"> WO,A, 80/02231 (DONACHY, J.H. et al) 30 October 1980 (30.10.80) EP,A, 109-304 (MINNESOTA MINING MFG. CO.) 23 May 1984 (23.05.84) AU,A, 41600/78 (HANSEN, C.C. et al) 28 June 1979 (28.06.79) AU,B, 46563/79 (529974) (THE UNIVERSITY OF MELBOURNE) 29 November 1979 (29.11.79) EP,A, 85-417 (MEDTRONIC INC.) 10 August 1983 (10.08.83) US,A, 4514589 (ALDINGER, F. et al) 30 April 1985 (30.04.85) GB,A, 2057272 (CARDIAC RECORDERS LTD.) 1 April 1981 (01.04.81) </td> <td style="border: none;"></td> </tr> </table>		Category **	Citation of Document ** with indication where appropriate of the relevant passages **	Relevant to Claim No. **	A A A A A A A A	WO,A, 80/02231 (DONACHY, J.H. et al) 30 October 1980 (30.10.80) EP,A, 109-304 (MINNESOTA MINING MFG. CO.) 23 May 1984 (23.05.84) AU,A, 41600/78 (HANSEN, C.C. et al) 28 June 1979 (28.06.79) AU,B, 46563/79 (529974) (THE UNIVERSITY OF MELBOURNE) 29 November 1979 (29.11.79) EP,A, 85-417 (MEDTRONIC INC.) 10 August 1983 (10.08.83) US,A, 4514589 (ALDINGER, F. et al) 30 April 1985 (30.04.85) GB,A, 2057272 (CARDIAC RECORDERS LTD.) 1 April 1981 (01.04.81)	
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<table style="width: 100%; border: none; font-size: 0.8em;"> <tr> <td style="width: 50%; vertical-align: top; padding: 2px;"> * Special categories of cited documents ** -A- document defining the general state of the art which is not considered to be of particular relevance -E- earlier document but published on or after the international filing date -L- document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) -O- document referring to an oral disclosure, use, exhibition or other means -P- document published prior to the international filing date but later than the priority date claimed </td> <td style="width: 50%; vertical-align: top; padding: 2px;"> -T- later document published after the international filing date or priority date and not in conflict with the applicant but cited to understand the principle or theory underlying the invention -X- document of particular relevance the claimed invention cannot be considered novel or cannot be considered to involve an inventive step -Y- document of particular relevance the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents such combination being obvious to a person skilled in the art -A- document member of the same patent family </td> </tr> </table>		* Special categories of cited documents ** -A- document defining the general state of the art which is not considered to be of particular relevance -E- earlier document but published on or after the international filing date -L- document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) -O- document referring to an oral disclosure, use, exhibition or other means -P- document published prior to the international filing date but later than the priority date claimed	-T- later document published after the international filing date or priority date and not in conflict with the applicant but cited to understand the principle or theory underlying the invention -X- document of particular relevance the claimed invention cannot be considered novel or cannot be considered to involve an inventive step -Y- document of particular relevance the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents such combination being obvious to a person skilled in the art -A- document member of the same patent family				
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IV. CERTIFICATION <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none; padding: 2px;">Date of the Actual Completion of the International Search <div style="text-align: center; font-size: 1.1em;">28 September 1988 (28.09.88)</div></td> <td style="width: 50%; border: none; padding: 2px;">Date of Mailing of this International Search Report <div style="text-align: center; font-size: 1.2em;">21 OCTOBER 1988 (21.10.88)</div></td> </tr> <tr> <td style="border: none; padding: 2px;">International Searching Authority <div style="text-align: center; font-size: 1.1em;">Australian Patent Office</div></td> <td style="border: none; padding: 2px;">Signature of Authorized Officer <div style="text-align: right; font-size: 1.1em;">R.A. MURRAY</div></td> </tr> </table>		Date of the Actual Completion of the International Search <div style="text-align: center; font-size: 1.1em;">28 September 1988 (28.09.88)</div>	Date of Mailing of this International Search Report <div style="text-align: center; font-size: 1.2em;">21 OCTOBER 1988 (21.10.88)</div>	International Searching Authority <div style="text-align: center; font-size: 1.1em;">Australian Patent Office</div>	Signature of Authorized Officer <div style="text-align: right; font-size: 1.1em;">R.A. MURRAY</div>		
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ANNEX TO THE INTERNATIONAL SEARCH REPORT ON
INTERNATIONAL APPLICATION NO. PCT/AU 88/00265

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report		Patent Family Members	
US 4514589	DE 3134896	EP 73881	
EP 85417	JP 58133262	US 4414986	
GB 2057272			
EP 109304	JP 59101148 US 4462402	US 4487210 AU 21310/83	US 4462401
AU 41600/78	DK 5166/77		
AU 46563/79	CA 1115352 EP 176233	EP 7157	JP 55000190
WO 8002231	AU 59979/80	EP 27465	

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