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(54) Title: OLIGONUCLEOTIDE FUNCTIONALIZING REAGENTS AND METHODS

(57) Abstract

Oligonucleotide functionalizing reagents are disclosed which are useful in introducing sulfhydryl, amino and additional hydroxyl groups into oligonucleotides. The reagents are substantially linear in structure, at one end provided with a phosphoramidite moiety, at an opposing end provided with a sulfhydryl, amino or hydroxyl moiety, the two ends linked through a hydrophilic spacer chain. Methods of using and synthesizing the novel reagents are disclosed as well.

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OLIGONUCLEOTIDE FUNCTIONALIZING REAGENTS AND METHODS

10 ## Description

Technical Field

The present invention relates generally to oligonucleotide functionalizing reagents, and more 15 particularly relates to novel reagents for the introduction of sulfhydryl, amino and hydroxyl groups into synthetic oligonucleotides.

Background Art

20 Non-isotopically labeled synthetic DNA fragments have found broad application in molecular biology--e.g., in the areas of DNA sequencing, DNA probe-based diagnostics, and the like. The reagents disclosed herein facilitate the labeling of oligonucleotides with specific 25 groups by incorporating one or more unique, modifiable sulfhydryl, amino or hydroxyl groups within the oligonucleotide at any position, typically at the 5′ terminus.

Several references teach methods of introducing 30 a sulfhydryl or an amino group at the 5′ terminus of synthetic oligonucleotides. For example, Connolly, in Nuc. Acids Res. 13(12):4485-4502 (1985) and in Nuc. Acids Res. 15(7):3131-3139 (1987), describes a method of incorporating a sulfhydryl moiety into synthetic DNA using 35 S-trityl-O-methoxy-morpholinophosphite derivatives of 2-

mercaptoethanol, 3-mercaptopropan-1-ol and 6-mercapto-hexan-1-ol--i.e., reagents given by the formula

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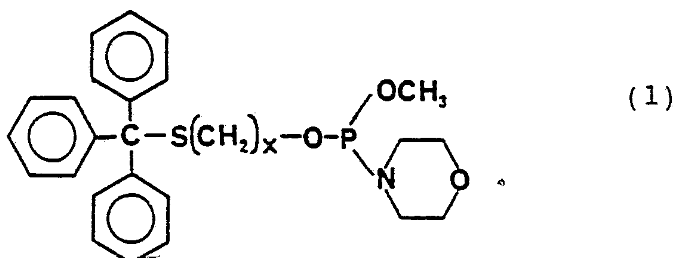

(1)

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where x is 2, 3 or 6. Connolly further describes
15 derivatization of the sulfhydryl-containing oligonucleotides with thiol-specific probes.

Coull et al., in Tetrahedron Lett. 27(34):3991-3994 (1986), describe a reaction which incorporates an aliphatic primary amino group at the 5′ terminus of
20 oligonucleotides using an N-protected aliphatic amino phosphoramidite given by the structure

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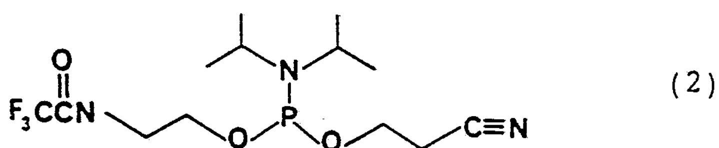

(2)

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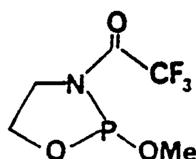
as the functionalizing reagent; Sproat et al., Nuc. Acids Res. 15(15):6181-6196 (1987), describes a similar method. Smith et al., in Nuc. Acids Res. 13(7):2399-2411 (1985),
35 also describes a method for synthesizing oligonucleotides

containing a 5′ aliphatic amino group, by direct reaction of oligonucleotides with protected phosphoramidite derivatives of 5′-amino-5′-deoxythymidine. An additional functionalizing reagent for introducing primary amines at the 5′ terminus is that sold under the trademark "Aminolink" by Applied Biosystems, Inc., and given by the formula



(3)

This reagent requires treatment with the activating agent dimethylaminopyridine prior to use and also necessitates deprotection with thiophenol, a sensitive, malodorous reagent.

These and other prior art methods suffer from one or more of the following disadvantages:

(1) A short spacer chain linking the 5′ terminus of the oligonucleotide to the sulfhydryl, amino or hydroxyl group results in destabilization of the derivatized structure--i.e., proximity of a solid support or a bulky labeling species to the oligonucleotide chain causes steric interference and thus hinders use of the derivatized oligonucleotide in probe-based applications;

(2) A hydrophobic spacer chain linking the 5′ terminus of the oligonucleotide to the sulfhydryl, amino or hydroxyl group provides problems with solubility in the aqueous solvents commonly used in DNA probe-based methods;

(3) Conventionally used functionalizing reagents are often incompatible with commonly used DNA synthesis methodology, primarily because the functionalizing re-

agents are incompatible with the reagents and solvents typically used therewith;

(4) Conventionally used functionalizing reagents are frequently difficult to synthesize in high yield, necessitating complex, multi-step reactions;

(5) As noted above in the case of Aminolink, certain known reagents require treatment with multiple activating agents immediately prior to use;

(6) Conventionally used functionalizing reagents do not allow for "tacking on" of multiple spacer chains to increase the distance between the terminal sulfhydryl, amino or hydroxyl moiety and the oligonucleotide chains, nor, generally, do they allow for multiple functionalization along an oligonucleotide chain;

(7) Conventionally used functionalizing reagents do not generally allow for functionalization at positions other than at the 5′ hydroxyl terminus; and

(8) Conventionally used functionalizing reagents sometimes require deprotection under harsh conditions, in such a way that, frequently, the deprotection reaction is not readily monitorable.

There is thus a need in the art for oligonucleotide functionalizing reagents which address the aforementioned considerations.

Disclosure of the Invention

It is accordingly a primary object of the present invention to provide oligonucleotide functionalizing reagents which overcome the above-mentioned disadvantages of the prior art.

In particular, it is an object of the present invention to provide oligonucleotide functionalizing reagents which give stable, water-soluble derivatized oligonucleotides upon coupling.

It is another object of the invention to provide oligonucleotide functionalizing reagents which allow for multiple functionalization at the 5′ terminus of an oligonucleotide chain--i.e., enable sequential addition of linked spacer chains.

It is a still further object of the invention to provide oligonucleotide functionalizing reagents which may be used in conjunction with standard DNA synthesis reagents and protocols.

It is yet a further object of the invention to provide oligonucleotide functionalizing reagents which may be synthesized via a straightforward procedure in high yield.

It is another object of the invention to provide oligonucleotide functionalizing reagents which require treatment only with standard activating agents prior to use, and which may be coupled to an oligonucleotide chain in such a way that the coupling reaction is easily monitored by spectroscopic means.

It is still another object of the invention to provide a method of functionalizing oligonucleotide chains using the aforementioned oligonucleotide functionalizing reagents to introduce one or more sulfhydryl, amino or hydroxyl moieties into oligonucleotide chains, typically at the 5′ terminus.

It is yet another object of the invention to provide a method of derivatizing oligonucleotide chains with detectable species bound to the chains through a sulfhydryl, amino or hydroxyl group.

It is a final object of the invention to provide methods of synthesizing the aforementioned oligonucleotide functionalizing reagents.

Additional objects, advantages and novel features of the invention will be set forth in part in the description which follows, and in part will become appar-

ent to those skilled in the art on examination of the following, or may be learned by practice of the invention. The objects and advantages of the invention may be realized and attained by means of the instrumentalities and
5 combinations particularly pointed out in the appended claims.

In one aspect of the invention, oligonucleotide functionalizing reagents are provided which are substantially linear in structure, at one end provided
10 with a phosphoramidite moiety which is reactive with hydroxyl groups on an oligonucleotide chain, at an opposing end provided with a sulfhydryl, amino or hydroxyl group, the two ends linked by a relatively long hydrophilic chain. In a preferred embodiment, the
15 hydrophilic chain is a polyether spacer having at least about 8 carbon atoms therein. Preferred specific structures will be outlined below.

In another aspect of the invention, these reagents are used to functionalize an oligonucleotide chain
20 to introduce, after deprotection, at least one sulfhydryl, amino or hydroxyl group. The coupling reaction is effected using standard techniques for coupling a phosphoramidite to the terminal hydroxyl group of an oligonucleotide. After functionalization, the
25 oligonucleotide may be derivatized at the introduced sulfhydryl, amino or hydroxyl moiety with a detectable species.

Various novel synthetic routes to the functionalizing reagents will be described below. Each of
30 these routes is quite straightforward, minimizing the number of synthetic steps involved, and allowing recovery of the product in high yield.

35

Modes for Carrying Out the Invention

1. Definitions

"Functionalizing" as used herein means in-
5 corporating a protected or unprotected sulfhydryl (-SH) or amino (-NH-α where α is hydrogen or lower alkyl) moiety into an oligonucleotide chain. The sulfhydryl, amino or hydroxyl group introduced by functionalization is typically spaced apart from the oligonucleotide chain by a
10 spacer chain as will be described herein. "Oligonucleotide functionalizing reagents" are thus reagents which effect the incorporation of sulfhydryl, amino or hydroxyl groups into oligonucleotide chains, yielding "functionalized oligonucleotide chains".

15 "Derivatizing" as used herein means reacting a functionalized oligonucleotide at the added sulfhydryl, amino or hydroxyl moiety with a detectable species, i.e., one that serves as a label in probe-based applications. A "derivatized" oligonucleotide is thus one that is detect-
20 able by virtue of the "derivatizing" species.

An "oligonucleotide" as used herein is a single-stranded or double-stranded, typically a single-stranded, chain of nucleotide, typically deoxyribonucleotide, monomer units. While the reagents and methods of the
25 present invention may be used in conjunction with a single nucleotide monomer or with a full-length DNA strand, the "oligonucleotides" herein are typically single-stranded and of from about 2 to about 400 monomer units, and more typically, for most probe-based applications, from about 2
30 to about 100 monomer units.

Use of the derivatized oligonucleotides in "probe-based" applications is intended to mean use of the labeled chain to detect or quantify oligonucleotide segments or sequences in a specimen.

35

A sulfhydryl or amino group that is "protected" is one that has been reacted with a protecting moiety such that the resulting protected group will not be susceptible to any sort of chemical reaction during the synthetic step or steps during which the protecting group is present.

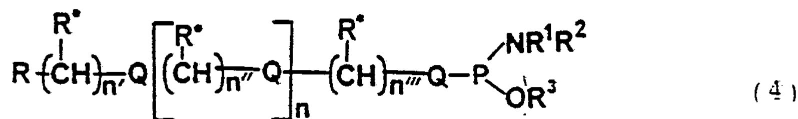
By "stability" of the functionalized or derivatized oligonucleotide chain is meant substantial absence of steric interference as well as chemical stability under the conditions of most probe-based applications.

By "lower alkyl" and "lower alkoxy" are meant alkyl and alkoxy substituents, respectively, having from about 1 to 6, more typically from about 1 to 3, carbon atoms.

Where aromatic substituents are indicated, it is to be understood that each individual aromatic ring may be substituted at one or more carbon atoms with moieties which do not substantially affect function or reactivity.

2. Structure of the Novel Functionalizing Reagents

As noted above, the novel compounds are substantially linear functionalizing reagents having a phosphoramidite moiety at one end linked through a hydrophilic spacer chain to an opposing end provided with a protected or unprotected sulfhydryl, amino or hydroxyl moiety. These functionalizing reagents are in general given by the structure

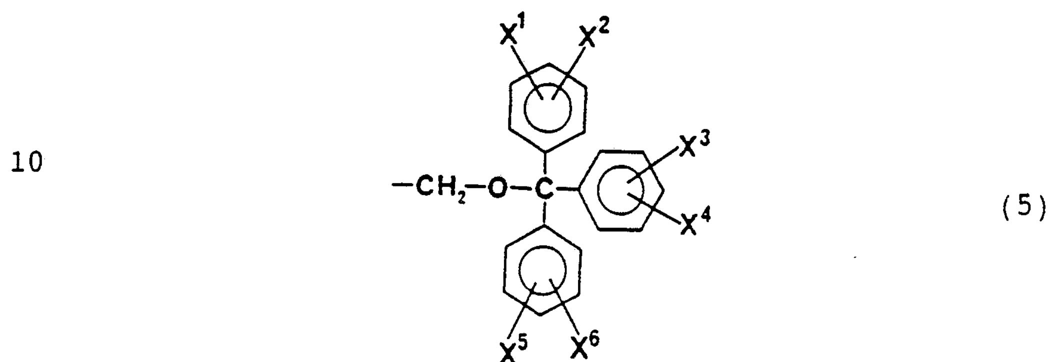


(4)

wherein:

R is a protected or unprotected amino, sulhydryl or hydroxyl moiety;

R^* is hydrogen, $-CH_2OH$, or a substitutent having
5 the structure



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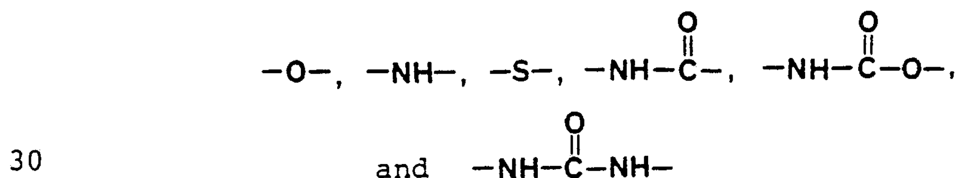
in which X^1, X^2, X^3, X^4, X^5 and X^6 may be the same or different and are selected from the group consisting of
20 hydrogen, lower alkyl and lower alkoxy;

R^1 and R^2 are independently selected from the group consisting of hydrogen and lower alkyl;

R^3 is β-cyanoethyl or methyl;

the Q moieties are selected from the group
25 consisting of



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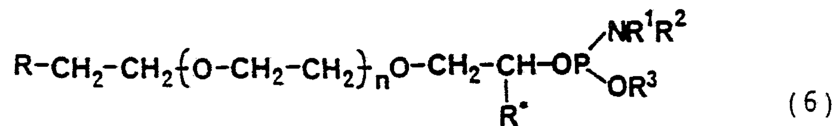
and may be the same of different;

35

n', n'' and n''' are integers in the range of 2 and 10 inclusive, more typically in the range of 2 and 6 inclusive; and

n is an integer which may be larger than 30, but typically is in the range of 2 and 30 inclusive, and more typically is in the range of 2 and 20 inclusive.

Structure (6) represents one example of a particularly preferred embodiment



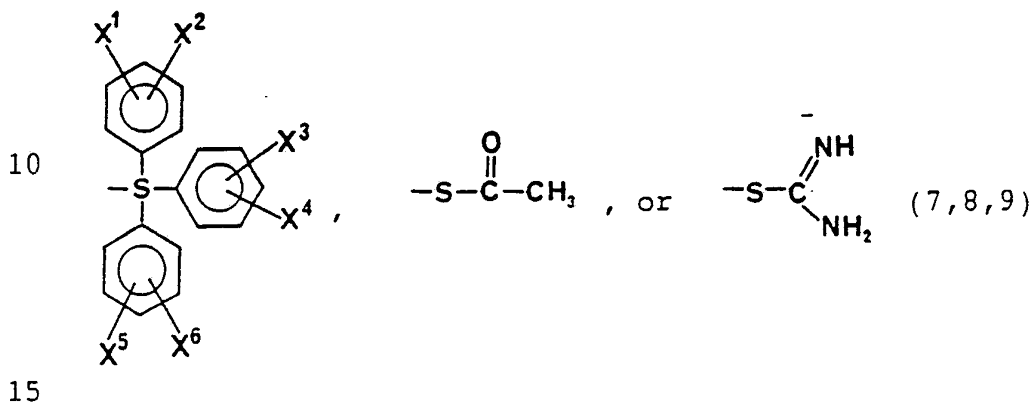
(6)

where R, R^*, R^1, R^2, R^3 and n are as given above. The hydrophilic spacer chain in such as case is a polyether linkage--e.g., as shown, formed from polyethylene glycol. (In other embodiments encompassed by general structure (4), the spacer chain may also be formed from polypropylene glycol or the like, or from poly(oxyalkyleneamines) such as the Jeffamines sold by Texaco Chemical Co.)

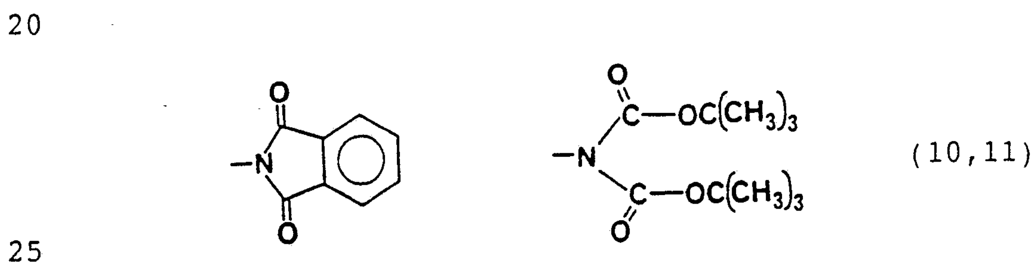
When it is desired to couple the functionalizing reagent to an oligonucleotide chain, at any position, generally, that a nucleoside phosphoramidite could be coupled to the chain, the R moiety is a protected sulfhydryl, amino or hydroxyl moiety. The protecting group is selected so that the sulfhydryl, amino or hydroxyl moiety remains intact during the phosphoramidite coupling step--i.e., in which the phosphoramidite group of the reagent reacts with the hydroxyl moiety on the oligonucleotide chain. The conditions for this reaction are those used in the conventional method of synthesizing DNA via the so-called "phosphoramidite" route, described,

for example, in Beaucage and Caruthers, Tetrahedron Lett. 22:1859-1862 (1981).

Examples of particularly preferred protecting groups where the functionalizing reagent is a sulfhydryl functionalizing reagent are given by R=



Examples of particularly preferred protecting groups where the functionalizing reagent is an amine functionalizing reagent are given by R=



It is to be understood that the aforementioned exemplary protecting groups are illustrative only, and that any number of sulfhydryl and amine protecting groups may be used so long as the above-described "protecting" criteria are met.

In the case of hydroxyl functionalizing reagents, a number of hydroxyl protecting groups are available and well known to those skilled in the art. However,

as R^* will in most embodiments be a hydroxyl moiety protected with an acid-labile protecting group such as DMT (see structure (5)), it is preferred when R is a protected hydroxyl moiety as well that the protecting group be
5 functionally distinguishable from that at R^*, i.e., be other than acid-labile. Typical hydroxyl protecting groups for "R" are thus base-labile moieties, e.g., esters such as fluorenyl methyl chloroformate (FMOC).

The opposing end of the functionalizing reagent
10 defined by the phosphoramidite group

(12)

15

is selected so as to couple to a free hydroxyl moiety, which for most uses will be the terminal 5' hydroxyl of a
20 growing or completed oligonucleotide chain. As noted above, R^1 and R^2 are either hydrogen or lower alkyl, and may be the same or different; in a particularly preferred embodiment, both R^1 and R^2 are isopropyl. R^3 is either methyl or β-cyanoethyl; in a particularly preferred
25 embodiment, R^3 is β-cyanoethyl. Use of the phosphoramidite group as a coupling means is well known in the art of DNA synthesis, and reference may be had to Beaucage and Caruthers (1981), <u>supra</u>, for further description on point.

The spacer chain

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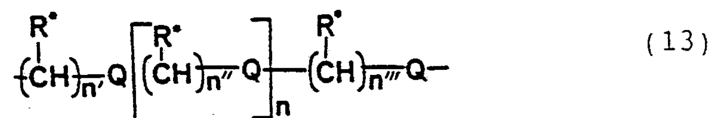

(13)

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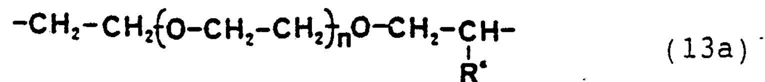
is a hydrophilic chain wherein n, n', n" and n'" are integers having values as set forth above.

In the preferred embodiment represented by formula (6), the spacer chain is the polyether moiety

5



(13a)

10 wherein n is typically 2-30, more typically 2-20 (in some cases, however, n may be larger than 30--i.e., where increased distance is desired between the derivatizing moiety and the oligonucleotide chain).

Optimal values for n provide the spacer chain 15 with a total of at least about 8 carbon atoms along its length. The length of the spacer chain is quite relevant to the effectiveness of the present reagents, as providing greater distance between the sulfhydryl, amino or hydroxyl group and the oligonucleotide chain: (1) facilitates 20 coupling of the reagent to DNA; (2) avoids steric interference which would hinder hybridization and de-stabilize the functionalized or derivatized oligonucleotide chain; and (3) simulates a "solution" type environment in that freedom of movement of the derivatized 25 sulfhydryl or amine moiety is enhanced. The fact that the spacer chain is hydrophilic also enhances the solubility of the functionalized or derivatized oligonucleotide chains in aqueous media.

R^* is either hydrogen, $-CH_2OH$, or the aromatic 30 substituent given by (5). Where R^* is (5), it is selected so that the chromogenic cation

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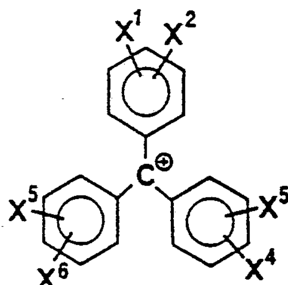


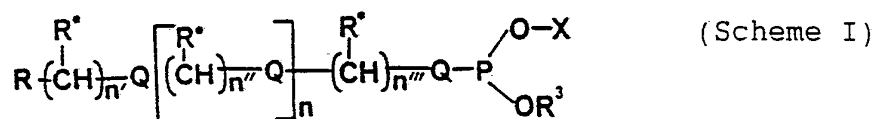
(5a)

is monitorable upon release. That is, after coupling of the functionalizing reagent to DNA, deprotection will yield cation (5a) in solution. An example of a particularly preferred substituent is dimethoxytrityl (DMT)--i.e., R^* is $-CH_2-O-DMT$.

While in a preferred embodiment, as illustrated by structure (6), R^* is bonded to the carbon atom adjacent to the phosphoramidite group, it is also possible that R^* may be bonded to one or more other carbon atoms along the spacer chain as illustrated by formula (4).

3. Use of the Novel Reagents to Functionalize Oligonucleotide Chains

In general, the coupling reaction between the novel functionalizing reagents and a hydroxyl-containing compound may be represented by the following scheme:



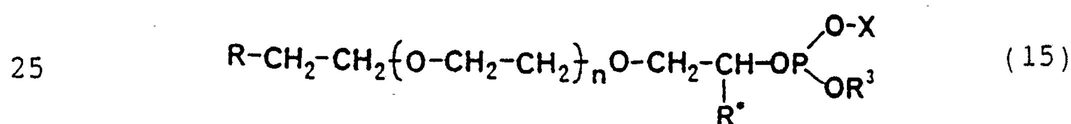
(Scheme I)

(14)

In Scheme I, X is typically an oligonucleotide chain. The reaction conditions are the same as those used in the phosphoramidite route to DNA synthesis, as noted earlier and as described, _inter alia_, by Beaucage and Caruthers (1981), _supra_.

Compound (14) is deprotected as follows. Where R^* is given by formula (5), conversion to an unprotected hydroxyl group is carried out by treatment with acid. The protected amino or hydroxyl substituent at "R" is deprotected, generally, by treatment with a base. Treatment with NH_4OH, for example, not only deprotects the oligonucleotide chain, but, where R is amino or hydroxyl, deprotects the R substituent as well. Where R is a protected sulfhydryl moiety, deprotection may be effected with--e.g., silver nitrate.

Multiple functionalization of an oligonucleotide is possible by making use of multiple R^* sites where R^* is given by formula (5). After acid deprotection, further functionalization by reaction at the deprotected hydroxyl site is enabled. Thus, in the case of functionalized oligonucleotide (15), for example,



(15)

deprotection of R^* and further functionalization at the -OH moiety so provided, using a standard phosphoramidite coupling procedure, gives the compound of formula (16):

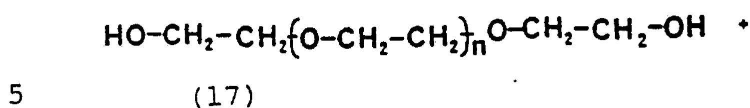
$$R{-}CH_2{-}CH_2{-}(O{-}CH_2{-}CH_2)_n{-}O{-}CH_2{-}CH(CH_2{-}O{-})\text{–}O{-}P(=O)(OR^3){-}OX$$

$$R{-}CH_2{-}CH_2{-}(O{-}CH_2{-}CH_2)_n{-}O{-}CH_2{-}CH(R^*){-}P(O{-})(OR^3) \qquad (16)$$

Multiple functionalization at a plurality of hydroxyl groups along an oligonucleotide chain is also possible using the same chemistry.

4. Synthesis of the Novel Reagents

The inventors herein have developed various routes to the novel reagents. For the purpose of simplicity, syntheses of the functionalizing reagents will be discussed in terms of exemplary structure (6) rather than general structure (4). It is to be understood, however, that the synthetic methods described apply, in general, substantially identically to compounds represented by (4). In a first embodiment, where the functionalizing reagent to be synthesized is an amine functionalizing reagent, Scheme II may be followed:



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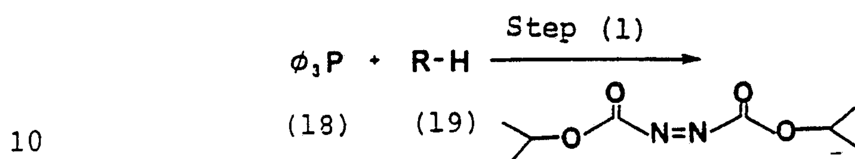

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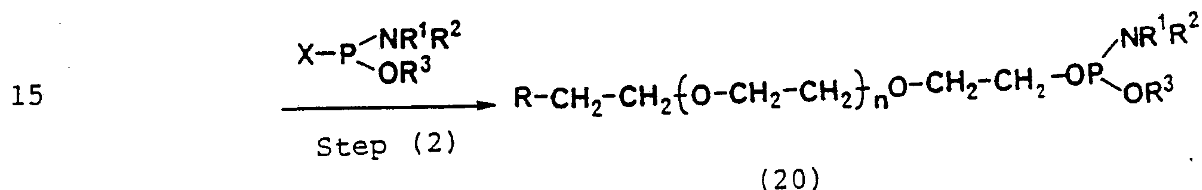

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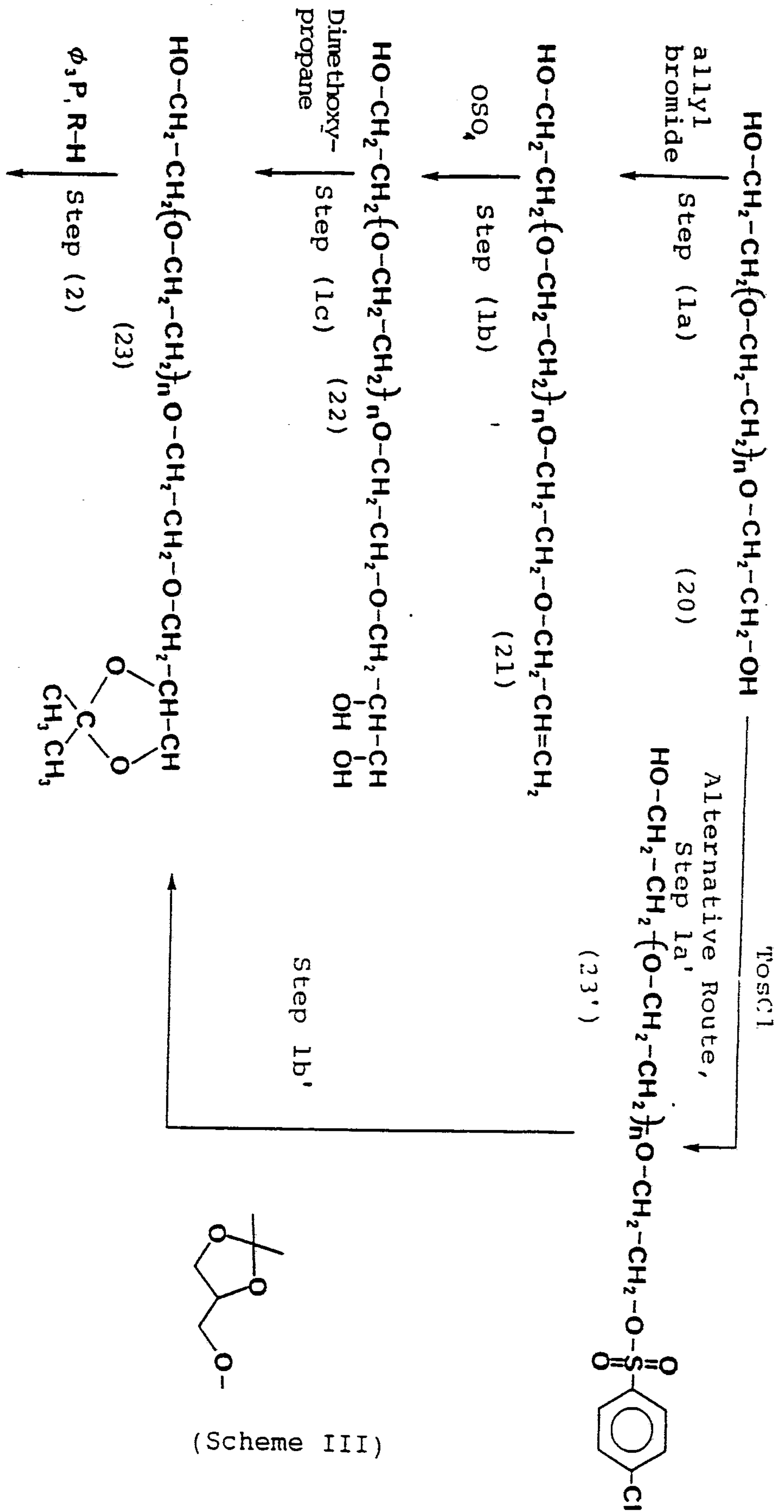
Step (1) represents the Mitsunobu reaction as is well known in the art. Briefly, the reaction involves admixture of compounds (17), (18), (19) and (20) in a polar, organic solvent for a least several hours, preferably overnight (see Example 1). Compound (21) is isolated and coupled to the phosphoramidite (wherein X represents a halogen, preferably chlorine) as follows. A molar excess of the phosphoramidite is added to compound (21) in a suitable solvent, again, one that is preferably a polar, organic solvent, under an inert atmosphere. Compound (22) is isolated--e.g., by column chromatography.

An alternative method of synthesizing the amine functionalizing reagents herein, and one which may also be used to give the sulfhydryl functionalizing reagents, is given by Scheme III:



(Scheme III)

(continued next page)

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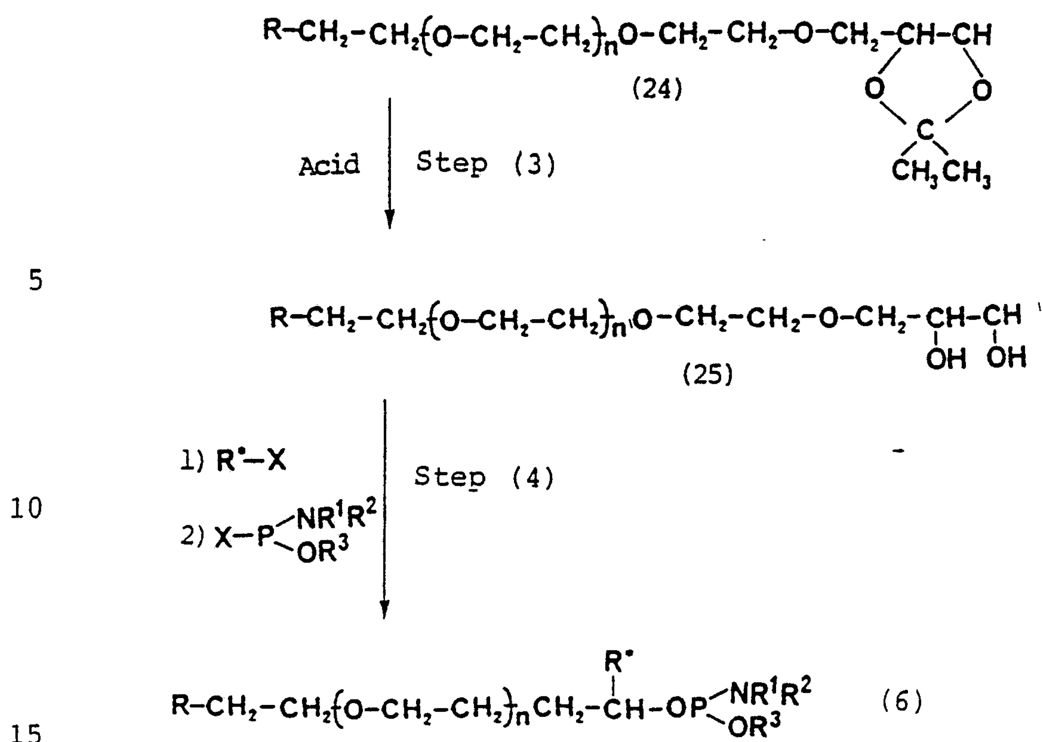
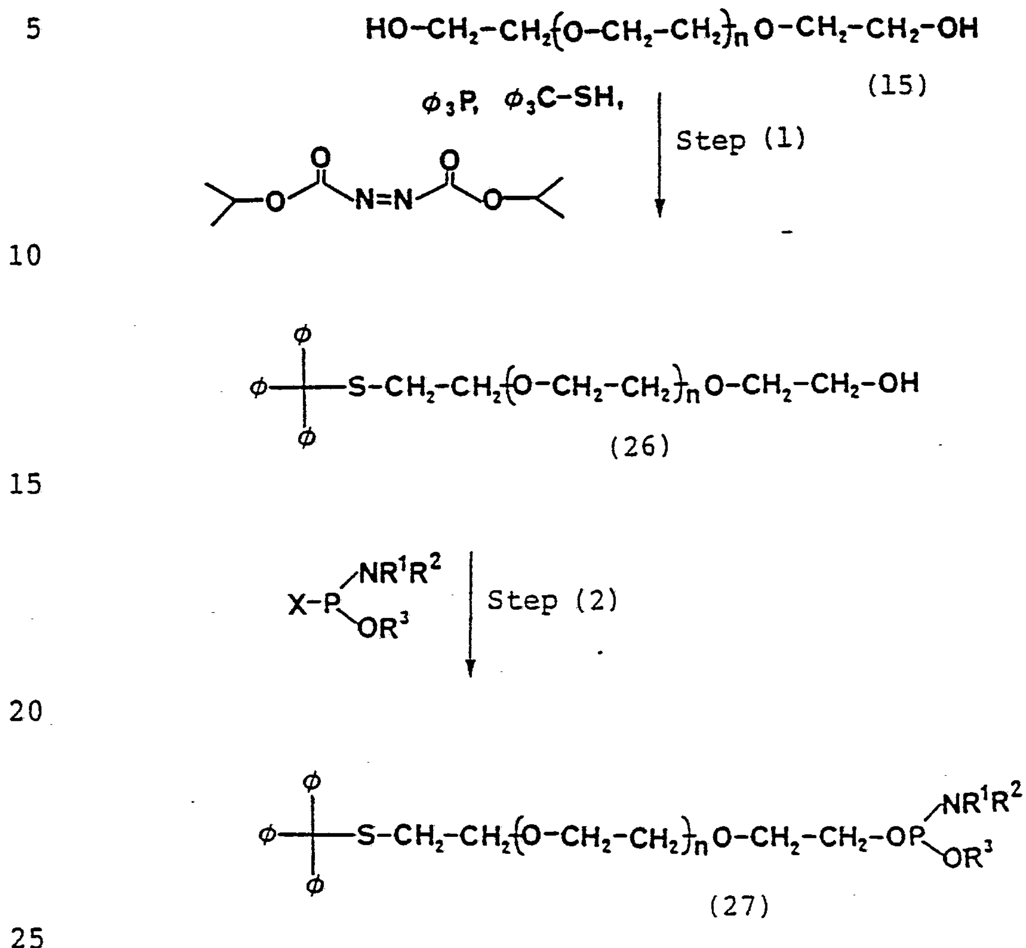


In Scheme III, steps 1a-1c and 1a'-1b' represent alternative routes to intermediate (25). In steps 1a-1c, the protected diol (25) is formed by: reaction of the polyethylene glycol with allyl bromide (reaction carried out at room temperature for at least about a few hours, preferably overnight) to give (23); reaction of (23) with osmium tetroxide to give diol (24) under conventional, known conditions; and protection of the diol by reaction with 2,2-dimethoxypropane. Steps 1a'-1b' give (25) via reaction of the tosylated glycol with the solketal anion. Step 2 represents the Mitsunobu reaction as shown in Scheme II, where R is as defined earlier, while the acid treatment of Step 3 deprotects the diol. Step 4-1 introduces chromogenic moiety (14) where R^* is given by (5) (and may thus be omitted where R^* is hydrogen) and Step 4-2 introduces the phosphoramidite (12). "X" in both Steps 4-1 and 4-2 is a halogen leaving group, preferably chlorine.

A third synthetic method, specific for the production of sulfhydryl functionalizing reagents, is given by Scheme IV.



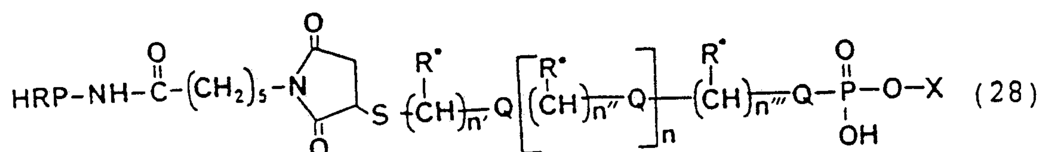
Scheme IV

In Scheme IV, Step 1 is carried out at a low temperature, preferably about $0^{o}C$ or less, and the triphenylphosphine, diisopropylazodicarboxylate and S-tritylmercaptan are allowed to react overnight. The phosphoramidite is added in Step 2, and (29) is obtained in good yield. Here, "R" of structure (4) is shown as $-S-C0_3$ (0=phenyl throughout) but may in fact be any number of protected sulfhydryl moieties.

5. Derivatization with Labeled Species

The functionalized oligonucleotide chains prepared using the novel reagents are primarily useful in probe-based applications. That is, the primary purpose of introducing sulfhydryl, amino or additional hydroxyl groups into oligonucleotides is to enable derivatization at that site with a labeled species. The most common types of labeled species are fluorophores, chromophores, radioactive isotopes and enzymes.

For example, derivatized oligonucleotides may be prepared which are covalent conjugates of a functionalized oligonucleotide chain and horseradish peroxidase (HRP), the conjugates given by the structure (28)

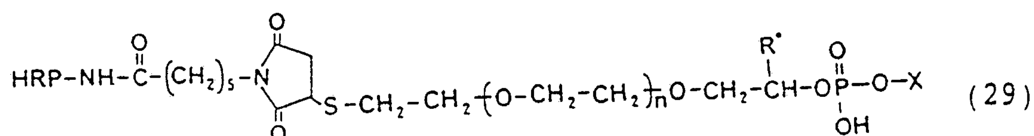


wherein

R^*, Q, n, n', n'' and n''' are as defined above for structure (4), and X is an oligonucleotide chain.

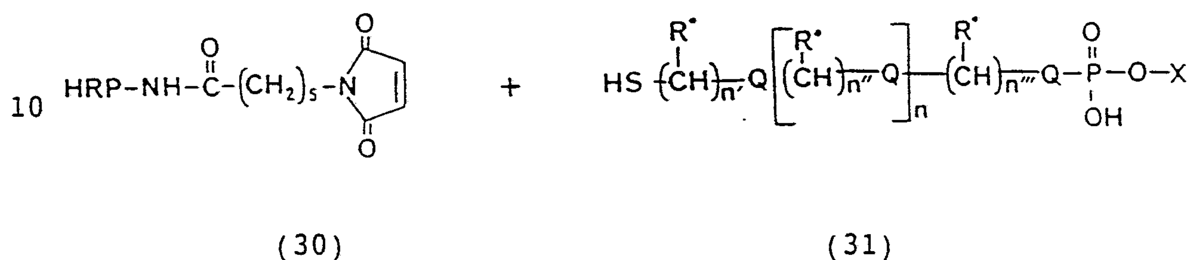
The length of the oligonucleotide chain is typically in the range of about 2 and 100 monomer units. Where the conjugate is to be used as an allele-specific oligonucleotide (ASO), the number of monomer units in the chain is preferably about 13-21.

In an exemplary embodiment, such conjugates may be represented by the structure (29)



where R^*, X and n are as given above. The conjugates of formula (29) result from coupling of sulfhydryl functionalizing reagent (4) to oligomer X.

5 The covalent conjugates represented by Formula (28) are prepared by the procedure illustrated in Scheme V:

10 

(30) (31)

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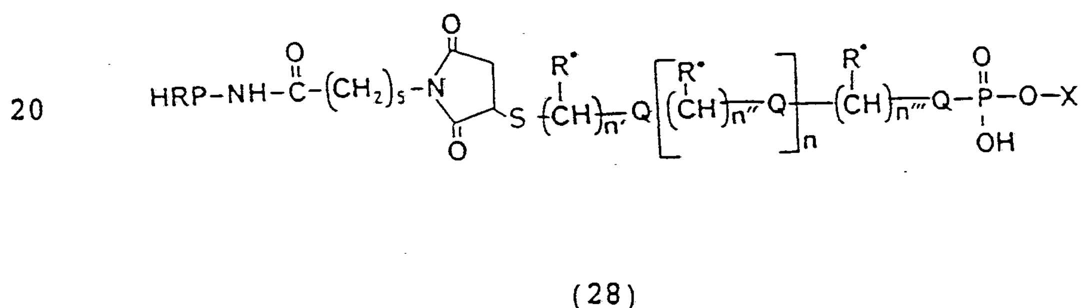
20 

(28)

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Scheme V

Preparation of mal-sac-HNSA, i.e., the (N-maleimido-6-aminocaproyl [mal-sac] derivative of 4-hydroxyl-3-nitrobenzene sulfonic acid sodium salt [HNSA]) and the corresponding mal-sac-HNSA HRP complex (30) is described in Examples 6 and 7 below.

30

Thiolated oligonucleotide (31) is prepared as described hereinabove. Typically, the tritylthio

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oligonucleotides are detritylated to reagent (19) just prior to use in the reaction of Scheme II.

The mal-sac HRP complex (30) is coupled to thiolated oligonucleotide (31) by simple admixture, 5 preferably at room temperature or lower. The reaction mixture is allowed to remain at low temperature--e.g., about $0^{\circ}C$--at least overnight and preferably at least about several days, at which point the covalent HRP conjugate (28) is isolated and purified, preferably 10 chromatographically.

Prior to use in probe-based applications, the conjugates are stored in a phosphate buffer (added salts optional) maintained at a pH of from about 5.5 to about 7.5, preferably about 6.0, at a temperature of from about 15 $-10^{\circ}C$ to about $30^{\circ}C$ (with the proviso that the solution not be frozen), optimally about $4^{\circ}C$.

For use in hybridization, the conjugate solutions are normally diluted (the final concentration varying depending on use) with hybridization buffer and 20 used according to standard hybridization techniques (see, e.g., Maniatis, et al., Molecular Cloning, New York: Cold Spring Harbor Laboratory, 1982). The general procedure followed is well known in the art, and typically involves: (1) providing a covalent conjugate according to the inven- 25 tion, which conjugate includes an oligomer having a nucleotide sequence substantially complementary to that of an analyte of interest, i.e., sufficiently complementary to enable hybridization; (2) contacting, in solution, the analyte of interest with the covalent conjugate; and (3) 30 detecting the presence of nucleic acid complexes which form by assaying for HRP activity.

Generally, the covalent conjugate hybridizes to an analyte that is attached to a solid support and is then detected thereon.

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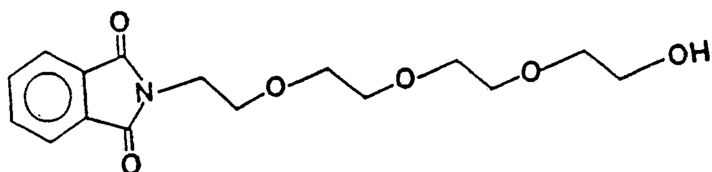
It is to be understood that while the invention has been described in conjunction with the preferred specific embodiments thereof, that the foregoing description as well as the examples which follow are intended to illustrate and not limit the scope of the invention. Other aspects, advantages and modifications within the scope of the invention will be apparent to those skilled in the art to which the invention pertains.

Example 1

(a) Reaction of tetraethylene glycol with phthalimide (see Step(1), Scheme II): Tetraethylene glycol (38.85 g, 200 mmole) and triphenyl phosphine (52.46 g, 200 mmole) were dissolved in 200 mL of dry THF, and phthalimide (29.43 g, 200 mmole) added. A solution of diethylazo dicarboxylate (DEAD) (34.83 g; 200 mmole) in 100 mL of dry THF was added dropwise to the reaction mixture, with cooling and stirring. The reaction mixture was stirred overnight at room temperature. Solvent was then removed under reduced pressure, and the residue partitioned between 250 mL of H_2O and 250 mL of diethyl ether. The aqueous layer was washed five times with 200 mL of diethyl ether and concentrated under vacuum. The residue was dried by azeotropic distillation of toluene (3 x 100 mL) and weighed. The 25.89 g obtained was then purified on an SiO_2 column using ethyl acetate as an eluant. The product fractions were collected and concentrated to a syrup (11.75 g; 36.3 mmole; 18.2%) which was allowed to crystallize overnight.

The structure of the product obtained in (a) was confirmed by ^{1}H NMR as:



(b) Synthesis of the allyl derivative (see Step 1b, Scheme III): To a solution of the alcohol obtained in step (a) (4.67 g; 14.4 mmole) in 100 mL of dry THF was added NaH (520 mg; 21.67 mmole). The mixture was stirred for one hour, and then allyl bromide (1.9 mL; 2.61 g; 21.67 mmole) was added. The suspension was stirred overnight, at which point it was filtered and the solvent removed under reduced pressure. The residue was purified

on an SiO_2 column using a mixture of ethyl acetate and hexane (70:30) as eluant. Fractions containing the desired product were pooled and concentrated to a syrup weighing 2.84 g (7.82 mmole; 54.3%). Elemental analysis
5 was as follows. Calc.: C, 62.80; H, 6.93; N, 3.85. Found: C, 62.49; H, 6.99; N, 3.82.

Proposed structure of the product obtained:

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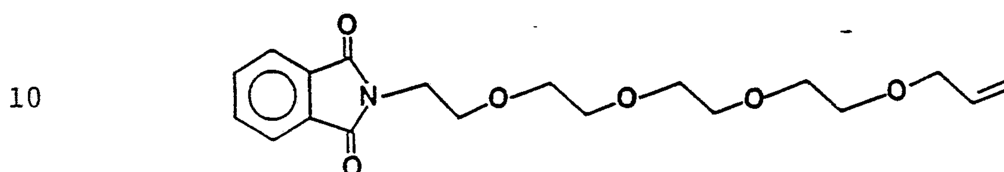
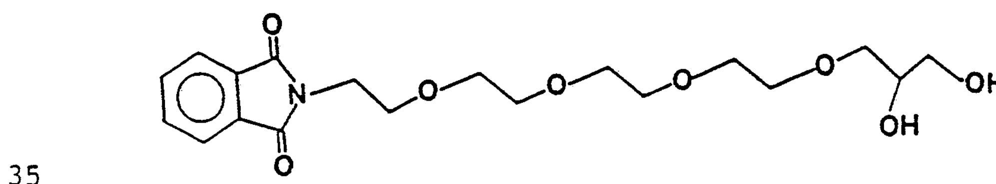


(c) Synthesis of the corresponding diol (see
15 Step 1b, Scheme III): To a solution of the allyl ether prepared in step (b) (2.84 g; 7.82 mmole) and N-methyl morpholine N-oxide (1.83 g; 15.63 mmole) in 180 mL of DMF/ H_2O (8:1) was added osmium tetroxide (8.13 mL of a solution 25 mg/mL in t-butanol; 800 _mole). The resulting
20 amber solution was stirred at room temperature. After 48 hours, a solution of sodium hydrosulfite (2.13 g) in water (10 mL) was added to the reaction mixture. A black precipitate formed and the suspension was stirred for 1 hour. The mixture was filtered and concentrated under
25 reduced pressure. The residue was purified on an SiO_2 column using a mixture of methylene chloride and methanol as the eluant. Elemental analysis was as follows. Calc.: C, 62.80; H, 6.93; N, 3.85. Found: C, 62.49; H, 6.99; N, 3.82.

30 Proposed structure of the product:



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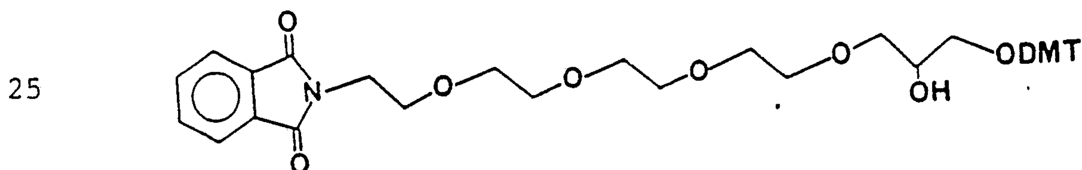
(d) Labelling with DMT: The diol obtained in part (c) (1.0 g; 2.50 mmole) was coevaporated with anhydrous pyridine (2 x 15 mL). The dry residue was then dissolved in 25 mL of the same. DMT-Cl (0.92 g; 2.75 mmole) was added to the solution. The reaction was carried out at room temperature and monitored by TLC (CH_3Cl:MeOH approximately 97:3) until appearance of the product.

After one hour, 10 mL of methanol was added and the reaction mixture was stirred for ten additional minutes. Next, the reaction was quenched with 10 mL of ice water and extracted with ethyl acetate (2 x 75 mL).

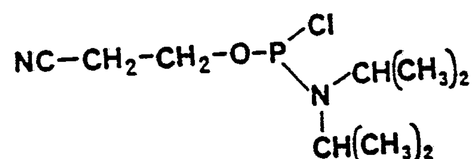
The organic layer was washed once with 5% $NaHCO_3$ (50 mL), twice with saturated NaCl solution and dried over Na_2SO_4. The product was evaporated down to an oily residue under reduced pressure.

This residue was chromatographed using the above solvent system. The final product was used without further purification in step (e). Yield: 86.3% of theoretical (1.51 g actual / 1.75 g theoretical). Proposed structure of the product obtained in this step:



(e) Preparation of the phosphoramidite: The product obtained in step (d) (1.0 g; 1.4 mmole) was dissolved in 10 mL of acid-free chloroform and placed in a 250 mL round bottom flask preflushed with dry argon. To

this solution (.72 g, 5.6 mmole) of $[(CH_3)_2\text{-}CH]_2\text{-}N\text{-}Et$ was added. Then, the phosphoramidite



(0.66 g; 2.8 mmole) was added with a syringe over a two-minute period. The reaction was carried out at room temperature and under argon. After one hour, the mixture was transferred with 50 mL of ethyl acetate in a 250 mL separatory funnel and extracted with saturated NaCl solution four times. The organic layer was dried over Na_2SO_4 and evaporated down to an oily residue under vacuum. This residue was chromatographed with 1% Et_3N in ethyl acetate. Yield: 48.4% of theoretical (0.610 g actual / 1.26 g theoretical).

Example 2

Essentially the same procedure was followed as set forth in Example 1, but the tetraethylene glycol starting material was not in this case initially reacted with phthalimide.

(a) Synthesis of the allyl derivative of pentaethylene glycol: To a solution of pentaethylene glycol (5.65 g; 20 mmole) in 100 mL of dry THF was added the potassium salt of t-butanol (2.24 g; 20 mmole). The mixture was stirred for 30 minutes and 18-crown-6 (53 mg; 0.2 mmole) was added. The mixture was stirred for an additional 30 minutes and then allyl bromide (2.42 g; 1.73 mL; 20 mmole) was added. A white precipitate, presumably potassium bromide, was noted to form and stirring was continued overnight. The reaction mixture was filtered through a Whatman GFB filter, adsorbed onto 8 g of SiO_2, and fractionated on an SiO_2 column using a mixture of methylene chloride and acetone (1:1) as eluant. The

pooled fractions yielded 4.28 g (13.28 mmole; 66.4%) product. Elemental analysis was as follows. Calc.: C, 55.88; H, 9.38. Found: C, 55.56; H, 9.76.

Proposed structure of the product:

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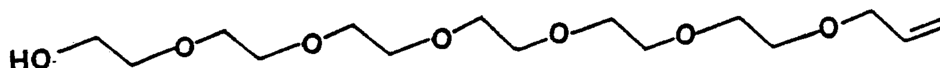


(b) Synthesis of the corresponding diol: To a

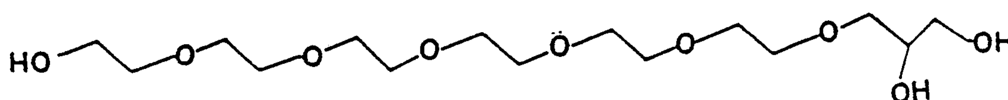
10 solution of the allyl ether prepared in step (a) (4.28g; 13.28 mmole) in 270 mL of a mixture of acetone and water (8:1) was added N-methyl morpholine (3.11 g; 4.6 mL; 26.55 mmole; 2 eq.) followed by osmium tetroxide (25 mg/mL in t-butanol; 338 mg; 13.5 mL; 1.33 mmole [0.1 eq.]). The re-

15 action mixture was stirred overnight. The next morning, a solution of sodium hydrosulfite (3.62 g) in 15 mL water was added. After 45 minutes of stirring, the suspension was filtered through a Whatman GFB filter. The solvent was evaporated, the residue taken up in methanol, and the

20 suspension filtered. The filtrate was concentrated to an amber syrup, which was then purified on SiO_2 using a mixture of methylene chloride, methanol, and acetic acid (80:20:5) [?] as eluant. The fractions containing product were pooled and concentrated to yield 3.3 g (9.26 mmole;

25 69.7% yield) product.

Proposed structure of the product:



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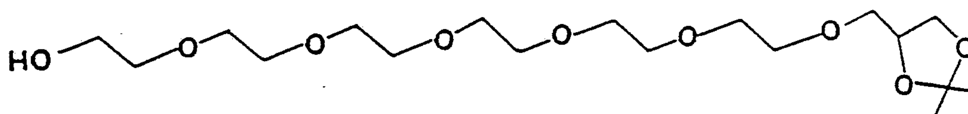
(c) The triol prepared in step (b) (3.3 g; 9.26 mmole) was taken up in 60 mL acetone and cupric sulfate (45 g; 28.20 mmole) was added. To the resulting bluish

35 suspension was added 60 mL H_2SO_4, at which point the solu-

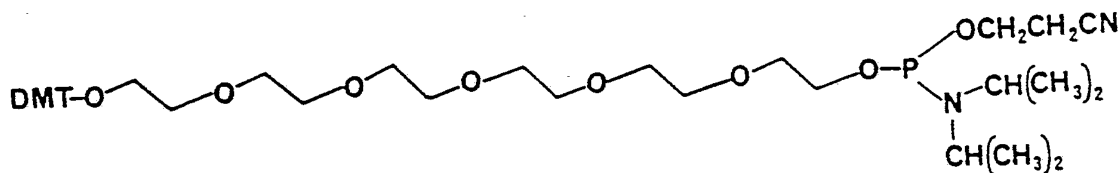
tion turned yellow. The flask was stoppered and stirred over a weekend. The suspension was then filtered through a Whatman GFB filter and the filtrate treated in 2.5 g $Ca(OH)_2$ for one hour. The suspension was filtered again and the filtrate concentrated and purified on an SiO_2 column. The column was run in 97:3 chloroform: methanol and then again using 8:1 chloroform: methanol. The column fractions were pooled, yielding 3.03 g (7.64 mmole; 82.5%) product.

Proposed structure of the product:



Example 3

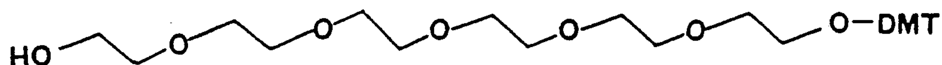
Synthesis of



was carried out as follows.

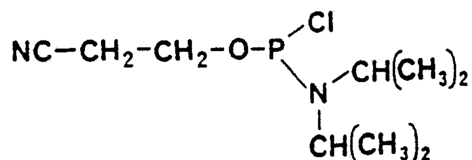
(a) Hexaethylene glycol (10.0 g; 35.40 mmole) was coevaporated with anhydrous pyridine (3 x 25 mL) and then dissolved in 100 mL of the same. DMT-Cl (13.17 g; 38.94 mmole) was added to the solution. The reaction was carried out at room temperature and monitored by TLC ($CHCl_3$:MeOH approximately 8:1) until the appearance of product. After two hours, 25 mL of methanol was added and the reaction mixture was stirred for 15 additional minutes. Next, the reaction was quenched with 50 mL ice

water and extracted with ethyl acetate (3 x 150 mL). The organic layer was washed with 5% $NaHCO_3$ (2 x 100 mL), saturated NaCl (2 x 100 mL), dried over Na_2SO_4 and then evaporated down to an oily residue (yellowish color). This oily residue was chromatographed on a silica gel column (400 g). The column was eluted first with $CHCl_3$:MeOH (approximately 97:3), then with $CHCl_3$:MeOH (approximately 90:10). The fractions were combined and evaporated to dryness to give an oily residue. The material obtained was presumed to be of the structure



and was used without further purification in the synthesis of the corresponding phosphoramidite.

(b) The procedure of Example 1(e) was followed using 2.0 g (3.40 mmole) of the compound obtained in (a), 1.6 g (6.80 mmole) of the phosphoramidite



and 1.76 g (13.60 mmole) of [$(CH_3)_2$-CH]-N-Et. Elemental analysis of the product was as expected for $C_{42}H_{61}N_2O_{10}P$ xH_2O. Calc.: C, 63.49; H, 7.93; N, 3.52. Found: C, 63.36; H, 7.95; N, 4.11. Yield: 85.4% of theoretical (2.28 g / 2.67 g).

Example 4

(a) Synthesis of

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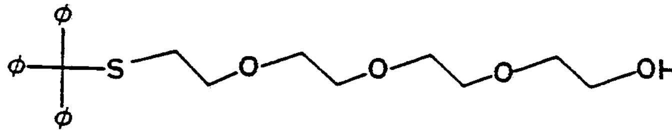
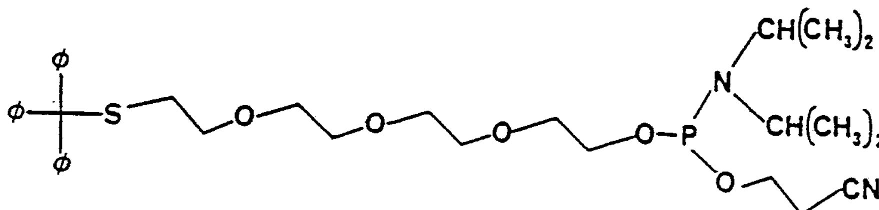
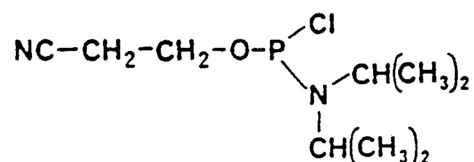


(compound (26); see Step 1, Scheme IV) was carried out as follows. To a $0^{o}C$ solution of triphenylphosphine (7.87 g; 30 mmole) in 75 mL dry THF was added the azodicarboxylate$(NCOOCH(CH_3))_2$ (6.07 g; 30 mmole) with stirring. After one hour, a solution of tetraethylene glycol (5.83 g; 30 mmole) in 10 mL dry THF was added. All material dissolved to give a pale yellow solution. After one hour, a solution of the mercaptan $Ø_3C$-SH in 20 mL dry THF was added dropwise with cooling and stirring. The reaction mixture was stirred overnight and the solvent removed under reduced pressure. The residue was applied to an SiO_2 column and fractionated using methylene chloride followed by a mixture of mixture of methylene chloride and CH_3CN (2:1). The material was rechromatographed on SiO_2 using CH_3CN as eluant, and the product was removed from $Ø_3P=O$ by taking small (approximately 15 mL) fractions. The fractions were pooled, yielding 5.22 g (11.53 mmole; 38.4% overall; 77% of theoretical). Elemental analysis was as follows. Calc.: C, 71.65; H, 7.12; S, 7.08. Found: C, 71.32; H, 7.21; S, 7.15.

(b) Synthesis of the corresponding phosphoramidite



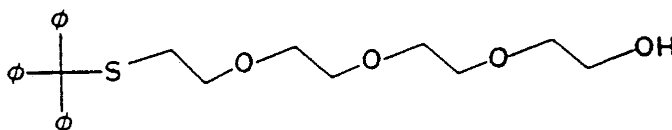
was then carried out according to the method described in Example 1(e), using the reaction product of step (a) (4.22 g; 9.30 mmole), the phosphoramidite



(4.40 g; 18.60 mmole) and $[(CH_3)_2\text{-}CH]_2$-N-Et (4.81 g; 37.20 mmole). Yield: 75.3% of theoretical (4.57 g / 6.07 g).

Example 5

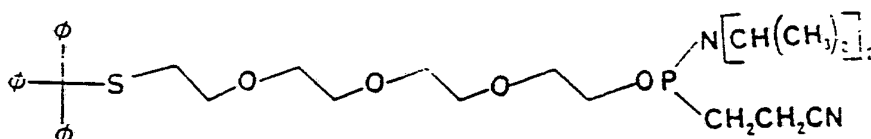
(a) Synthesis of



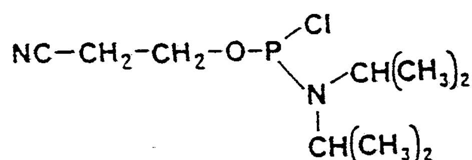
was carried out as follows. To a $0^{\circ}C$ solution of triphenylphosphine (7.87 g; 30 mmole) in 75 mL dry THF was added the diisopropyl azodicarboxylate $(NCOOCH(CH_3))_2$ (6.07 g; 30 mmole) with stirring. After one hour, a solution of tetraethylene glycol (5.83 g; 30 mmole) in 10 mL dry THF was added. All material dissolved to give a pale yellow solution. After one hour, a solution of the mercaptan 0_3C-SH in 20 mL dry THF was added dropwise with cooling and stirring. The reaction mixture was stirred overnight and the solvent removed under reduced pressure. The residue was applied to an SiO_2 column and fractionated using methylene chloride followed by a mixture of mixture of methylene chloride and CH_3CN (2:1). The material was rechromatographed on SiO_2 using CH_3CN as eluant, and the product was removed from $0_3P{=}O$ by taking small (ap-

proximately 15 mL) fractions. The fractions were pooled, yielding 5.22 g (11.53 mmole; 38.4% overall; 77% of theoretical). Elemental analysis was as follows. Calc.: C, 71.65; H, 7.12; S, 7.08. Found: C, 71.32; H, 7.21; S, 7.15.

(b) Preparation of the phosphoramidite:



The product obtained in step (a) (4.22 g; 9.30 mmole) was dissolved in 10 mL of acid-free chloroform and placed in a 250 mL round bottom flask preflushed with dry argon. To this solution (.72 g, 5.6 mmole) of $[(CH_3)_2-CH]_2-N-Et$ was added. Then, the phosphoramidite



(0.66 g; 2.8 mmole) was added with a syringe over a two-minute period. The reaction was carried out at room temperature and under argon. After one hour, the mixture was transferred with 50 mL of ethyl acetate in a 250 ml separatory funnel and extracted with saturated NaCl solution four times. The organic layer was dried over Na_2SO_4 and evaporated down to an oily residue under vacuum. This residue was chromatographed with 1% Et_3N in ethyl acetate.

Example 6

Preparation of mal-sac-HNSA Ester

One molar equivalent (2.24 g) of 4-hydroxy-3-nitrobenzene sulfonic acid sodium salt (HNSA) was mixed together with one molar equivalent (2.06 g) of

dicyclohexylcarbodiimide and one molar equivalent (2.10 g) of N-maleimido-6-aminocaproic acid in 25 mL of dimethylformamide (DMF) at room temperature overnight. A white precipitate of dicyclohexylurea was formed. The 5 precipitate was filtered and 300 mL diethyl ether was added to the mother liquor. After about 10 minutes to 4 hours a gummy solid precipitated from the mother liquor. This solid was found to contain 58% of active HNSA ester and 42% of free HNSA.

10 The analysis consisted of dissolving a small amount of the precipitate in 10 mM phosphate buffer at pH 7.0 and measuring absorbance at 406 nm; this reading provides the amount of unreacted free HNSA which is the contaminating material in the crude HNSA ester. Addition 15 of very small amounts of concentrated strong base (5N NaOH) hydrolyzed the ester. A second reading was taken. Subtraction of the first reading from the second yielded the amount of ester in the original material. For purification purposes, the solid was dissolved in DMF, 20 placed on a LH20 Sephadex column and eluted with DMF so that the ester was separated from the contaminating free HNSA. The progress of purification was monitored by thin layer chromatography using chloroform, acetone and acetic acid (6:3:1 v:v:v) as eluting solvent. The product was 25 positively identified as mal-sac HNSA ester by its re-activity with amines. The yield of crude ester produced was estimated to be approximately 30% of theoretical; the purified material consisted of 99% ester.

The ester thus obtained was found to dissolve 30 fully in water and was found to be stable in water for several hours, provided no nucleophiles were added. The purified ester was found to be stable for extended periods when stored desiccated.

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Example 7

Preparation of Conjugate of mal-sac HNSA Ester and Horseradish Peroxidase (HRP)

An amide of mal-sac HNSA ester and HRP was
5 prepared as follows:

A total of 40 mg (1.0 umoles) of HRP (Sigma Chemical Co.) was dissolved in 0.5 mL of 0.1 M phosphate buffer at pH 7.0 to yield a total amine concentration of 3.7×10^{-3} M. Then, 5 mg (1.1×10^{-5} moles) of the mal-
10 sac HNSA ester of Example 5A, calculated from the data in Example 6A, was dissolved in 0.5 mL of the HRP solution. The mixture was stirred at room temperature, and the HRP fraction (2.8 mL) was collected on a Pharmacia G-25 column using 0.1 M phosphate buffer, pH 6.0, as eluant.

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Example 8

Preparation of HRP-Oligonucleotide Conjugates

A thiol-functionalized oligomer was prepared using the following 19-mer which had been synthesized on a
20 Biosearch 8630 DNA Synthesizer: d(TGTTTGCCTGTTCTCAGAC).

The sulfhydryl functionalizing reagent obtained in Example 1(b) was mixed with a solution of the oligomer and coupled thereto under standard phosphoramidite coupling conditions (see, e.g., Beaucage and Caruthers
25 (1981), supra).

The tritylthio oligomer was purified by a standard chromatographic technique using a preparative PRP-1 column and the following solvent gradient (wherein solvent "A" designates CH_3CN and "B" designates 5% CH_3CN
30 in 0.1M TEAA, pH 7.3): (1) A, 10% --> 40%, 15 min.; (2) A, 40% --> 100%, 15 min.; and (3) A, 100%, 5 min. The tritylthio oligomers eluted after about 20 minutes.

The purified tritylthio oligomer so obtained was detritylated using silver nitrate and dithiothreitol (0.1
35 M and 0.15 M, respectively, in 0.1 M TEAA, pH 6.5). The

ditritylated oligomer was then passed through a G-25 (NAP-10) column, concentrated under vacuum to approximately 100 ul, and used right away in the following conjugation reaction.

The mal-sac HRP complex prepared in Example 7 (700 ul) was aliquoted into the thiooligomer to give a final volume of 800 ul. The individual reaction vessels were allowed to remain at room temperature for approximately one hour, and then at about $4^{o}C$ for two days, at which point the four conjugates were removed and purified on a DEAE Nucleogen column using the following solvent gradient ("B" designates 20 mM Na_2PO_4, pH 6; "C" designates 20 mM Na_2PO_4 + 1M NaCl, pH 6): (1) B, 0 --> 100%, 30 min.; (2) C, 100%, 10 min.; and (3) C, 100 --> 0%, 5 min. Remaining unconjugated HRP and oligomer eluted after about 2 and about 15-40 min (depending on the size of the oligomer), respectively, while the conjugate eluted after about 15-40 min as well (also depending on the size of the oligomer). The identity of the product was confirmed by ultraviolet spectroscopy, monitoring peak absorbances of the oligomer (at 260 nm) and of the heme group of HRP (at 402 nm).

Claims

1. An oligonucleotide functionalizing reagent having the structure

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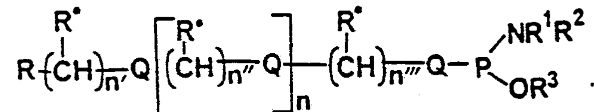
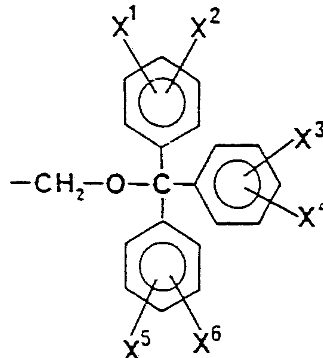


10 wherein

R is a protected or unprotected amino, sulfhydryl or hydroxyl moiety;

R^* is hydrogen, $-CH_2OH$, or a substituent having the formula

15



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in which X^1, X^2, X^3, X^4, X^5 and X^6 may be the same or different and are selected from the group consisting of hydrogen, lower alkyl and lower alkoxy;

R^1 and R^2 are independently selected from the group consisting of hydrogen and lower alkyl;

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R^3 is β-cyanoethyl or methyl;

the Q moieties are selected from the group

35

consisting of -O-, -NH-, -S-, $-NH-\overset{O}{\overset{\|}{C}}-$, $-NH-\overset{O}{\overset{\|}{C}}-O-$, and $-NH-\overset{O}{\overset{\|}{C}}-NH-$ and may be the same or different;

5 n′, n′′ and n′′′ are integers in the range of 2 and 10 inclusive; and

n is an integer in the range of 2 and 30 inclusive.

10 2. The reagent of claim 1, wherein R is -OH, -SH, or -NH-*α* where *α* is hydrogen or lower alkyl.

3. The reagent of claim 1, wherein R is a protected amino moiety.

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4. The reagent of claim 3, wherein R is selected from the group consisting of

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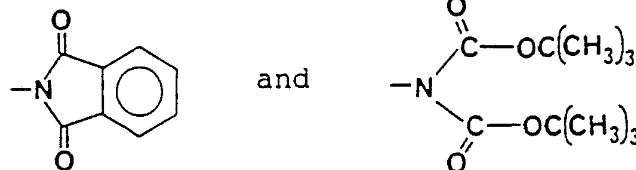

25 5. The reagent of claim 1, wherein R is a protected sulfhydryl moiety.

6. The reagent of claim 5, wherein R is selected from the group consisting of

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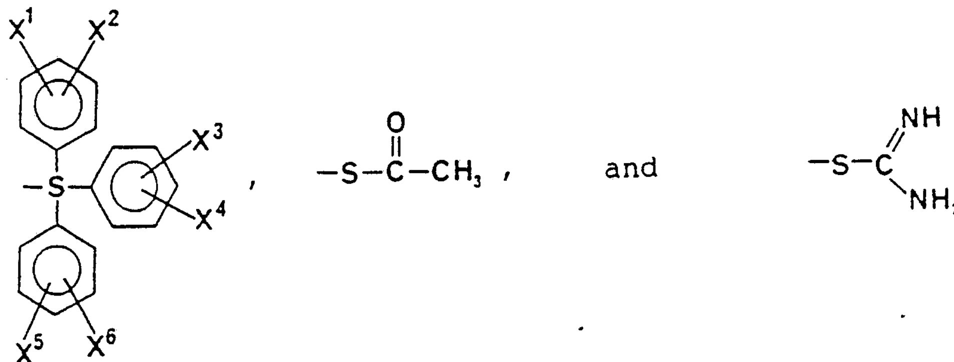

7. The reagent of claim 1, wherein R^* is -O-DMT.

5 8. The reagent of claim 1, wherein R^1 and R^2 are lower alkyl.

9. The reagent of claim 8, wherein R^1 and R^2 are isopropyl.

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10. The reagent of claim 1, wherein R^3 is β-cyanoethyl.

11. The reagent of claim 1 having the structure

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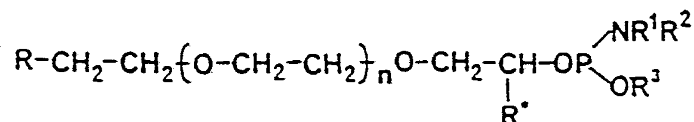


20 12. A method of functionalizing an hydroxyl group of an oligonucleotide chain to introduce an amine moiety, comprising the steps of:

reacting the functionalizing reagent of claim 3 with an oligonucleotide chain having a free hydroxyl 25 group, under coupling conditions, so that the oligonucleotide chain is provided with a protected amine moiety.

13. The method of claim 12, wherein a plurality 30 of hydroxyl groups are functionalized.

14. The method of claim 12, wherein a terminal 5' hydroxyl group is functionalized.

35

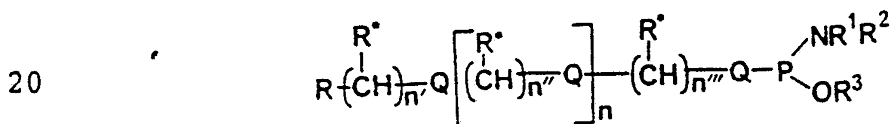
15. A method of functionalizing a hydroxyl group of an oligonucleotide chain to introduce a sulfhydryl moiety, comprising the steps of:

reacting the functionalizing reagent of claim 5 with an oligonucleotide chain having a free hydroxyl group, under coupling conditions, so that the oligonucleotide chain is provided with a protected sulfhydryl moiety.

16. The method of claim 15, wherein a plurality of hydroxyl groups are functionalized.

17. The method of claim 15, wherein a terminal 5′ hydroxyl group is functionalized.

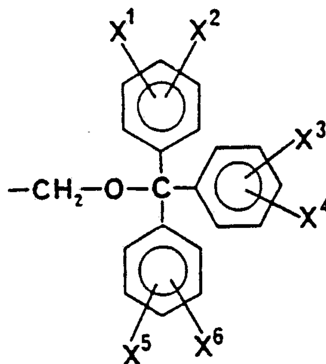
18. A method of synthesizing an oligonucleotide functionalizing reagent having the structure



wherein

R is a protected or unprotected amino, sulfhydryl or hydroxyl moiety;

R^* is hydrogen, $-CH_2OH$, or a substituent having the formula



in which X^1, X^2, X^3, X^4, X^5 and X^6 may be the same or different and are selected from the group consisting of hydrogen, lower alkyl and lower alkoxy;

5 R^1 and R^2 are independently selected from the group consisting of hydrogen and lower alkyl;

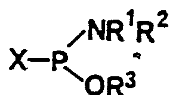
R^3 is methyl or β-cyanoethyl; and

n is an integer in the range of 2 and 10 inclusive,

10 wherein the method comprises the steps of:

coupling a diol of formula $HO-CH_2-CH_2-(O-CH_2-CH_2)_n-CH_2-CH_2-OH$ with a compound of formula R-H to give an alcohol of formula $R-CH_2-CH-_2-(O-CH_2-CH_2)_n-CH_2-CH_2-OH$; and

reacting the alcohol so obtained with a 15 phosphoramidite having the structure



20 where X is a halogen leaving group, under phosphoramidite coupling conditions.

19. A method of synthesizing an oligonucleotide functionalizing reagent having the formula

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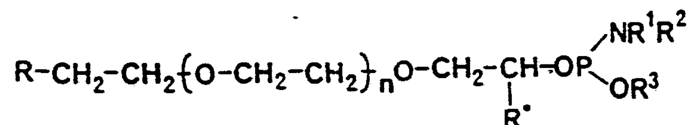

wherein

30 R is a protected or unprotected amino, sulfhydryl or hydroxyl moiety;

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R^* is hydrogen, $-CH_2OH$, or a substituent having the formula

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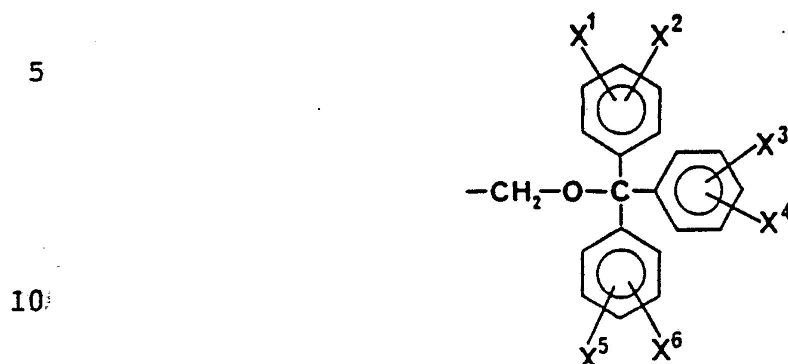

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in which X^1, X^2, X^3, X^4, X^5 and X^6 may be the same or dif-
15 ferent and are selected from the group consisting of hydrogen, lower alkyl and lower alkoxy;

R^1 and R^2 are independently selected from the group consisting of hydrogen and lower alkyl;

R^3 is methyl or β-cyanoethyl; and

20 n is an integer in the range of 2 and 10 inclusive,

wherein the method comprises the steps of:

coupling a tosylated polyethylene glycol to the solketal anion to give a ketal having the structure

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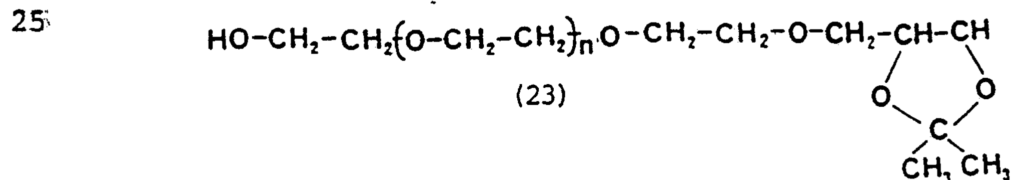

30 reacting the ketal so obtained with a compound of formula R-H in the presence of triphenylphosphine so as to replace the terminal hydroxyl group of the ketal with -R;

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deprotecting the R-substituted ketal to yield a diol given by the structure

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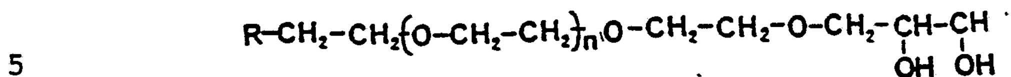

coupling the R^*-substituted diol to a phosphoramidite having the structure

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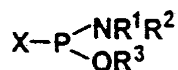

15 under phosphoramidite coupling conditions.

20. A method of synthesizing an oligonucleotide functionalizing reagent having the structure

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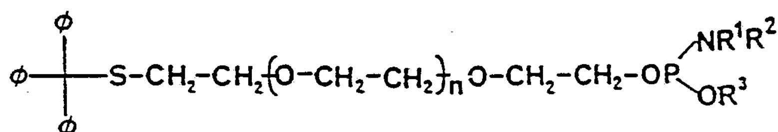

wherein

25 R^1 and R^2 are independently selected from the group consisting of hydrogen and lower alkyl;

R^3 is methyl or β-cyanoethyl comprising the steps of:

coupling a diol of structure

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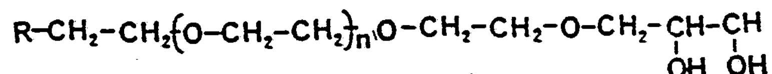
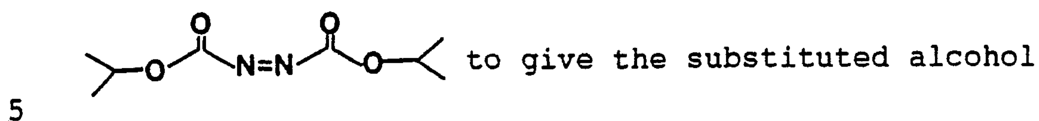


35 to Ø3C-SH in the presence of triphenylphosphine and


to give the substituted alcohol

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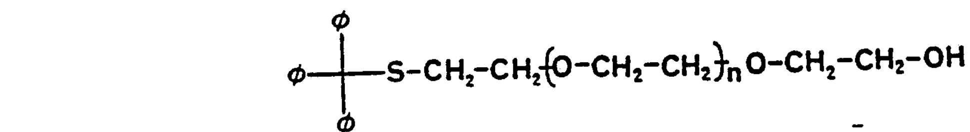

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reacting the substituted alcohol so obtained with a phosphoramidite having the structure

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where X is a halogen leaving group, under phosphoramidite coupling conditions.

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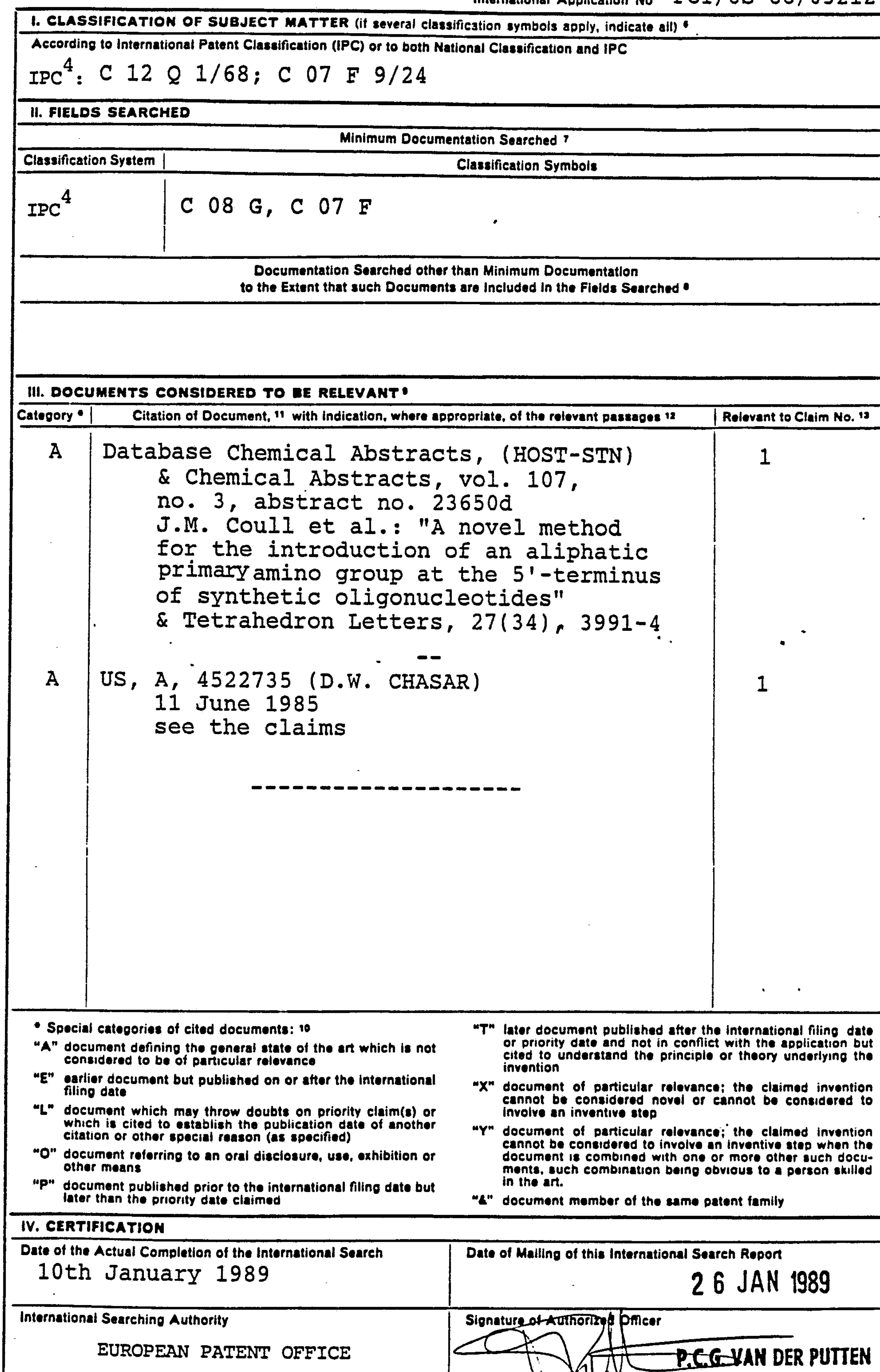

INTERNATIONAL SEARCH REPORT

International Application No PCT/US 88/03212

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) [6]

According to International Patent Classification (IPC) or to both National Classification and IPC

IPC^4: C 12 Q 1/68; C 07 F 9/24

II. FIELDS SEARCHED

Minimum Documentation Searched [7]

Classification System	Classification Symbols
IPC^4	C 08 G, C 07 F

Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched [8]

III. DOCUMENTS CONSIDERED TO BE RELEVANT [9]

Category [*]	Citation of Document, [11] with indication, where appropriate, of the relevant passages [12]	Relevant to Claim No. [13]
A	Database Chemical Abstracts, (HOST-STN) & Chemical Abstracts, vol. 107, no. 3, abstract no. 23650d J.M. Coull et al.: "A novel method for the introduction of an aliphatic primary amino group at the 5'-terminus of synthetic oligonucleotides" & Tetrahedron Letters, 27(34), 3991-4	1
A	US, A, 4522735 (D.W. CHASAR) 11 June 1985 see the claims	1

* Special categories of cited documents: [10]
- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed
- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

IV. CERTIFICATION

Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report
10th January 1989	26 JAN 1989
International Searching Authority	**Signature of Authorized Officer**
EUROPEAN PATENT OFFICE	P.C.G. VAN DER PUTTEN

ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO. US 8803212 SA 24631

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 13/01/89 The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A- 4522735	11-06-85	None	

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For more details about this annex : see Official Journal of the European Patent Office, No. 12/82