

(54) Title  
**Ultrasound therapy**

(51) International Patent Classification(s)  
**A61N 7/00** (2006.01)

(21) Application No: **2006203281** (22) Date of Filing: **2006.08.01**

(30) Priority Data

| (31) Number      | (32) Date         | (33) Country |
|------------------|-------------------|--------------|
| <b>0516586.5</b> | <b>2005.08.12</b> | <b>GB</b>    |

(43) Publication Date: **2007.03.01**

(43) Publication Journal Date: **2007.03.01**

(44) Accepted Journal Date: **2011.07.14**

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(56) Related Art  
**US 4341762 (HAAST) 27 July 1982**  
**US 4530360 (DUARTE) 23 July 1985**  
**US 5413550 (CASTEL) 09 May 1995**  
**WO 2004/040000 (PRIMAL, INC.) 13 May 2004**  
**US 2003/0225331 (DIEDERICH et al.) 04 December 2003**  
**US 5520612 (WINDER et al.) 28 May 1996**

Abstract

5           This invention is related to the area of disorders of the nervous system. In particular it relates to disorders of the peripheral nervous system and the use of ultrasound as a method of treating a peripheral neuropathy, peripheral neurodegenerative disease or peripheral nerve trauma.

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2006203281 01 Aug 2006

**AUSTRALIA**  
**PATENTS ACT 1990**  
**COMPLETE SPECIFICATION**

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INVENTION TITLE:

Ultrasound therapy

The following statement is a full description of this invention, including the best method of performing it known to me/us:-

5           This application claims the benefit of U.K. Provisional Application 0516586.5, filed July 12, 2005 titled "Ultrasound Therapy for Diabetic Peripheral Neuropathy " and the entire contents of which is hereby incorporated by reference.

10           Technical Field of the invention

          This invention is related to the area of disorders of the nervous system. In particular it relates to disorders of the peripheral  
15   nervous system.

Background of the invention

          Peripheral neuropathy is a failure of the nerves that carry  
20   information to and from the brain and spinal cord. This produces pain, loss of sensation, and inability to control muscles.

          In some cases, the failure of nerves that control blood vessels, intestines, and other organs results in abnormal blood pressure, digestion problems, and loss of other basic body  
25   processes. Peripheral neuropathy may involve damage to a single nerve or nerve group (mononeuropathy) or may affect multiple nerves (polyneuropathy).

          There are numerous reasons for nerves to malfunction. In some cases, no cause can be identified. Damage to nerves can  
30   result from one of the specific conditions associated with neuropathy, including:

Hereditary disorders

- Charcot-Marie-Tooth disease
- Friedreich's ataxia

5

Systemic or metabolic disorders

- Diabetes (diabetic neuropathy )
- Dietary deficiencies (especially vitamin B-12)
- Excessive alcohol use (alcoholic neuropathy )
- Uremia (from kidney failure )
- Cancer
- 

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Infectious or inflammatory conditions

- AIDS
- Hepatitis
- Colorado tick fever
- diphtheria
- Guillain-Barre syndrome
- HIV infection without development of AIDS
- leprosy
- Lyme
- polyarteritis nodosa
- rheumatoid arthritis
- sarcoidosis
- Sjogren syndrome
- syphilis
- systemic lupus erythematosus
- Amyloid

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Exposure to toxic compounds

- o sniffing glue or other toxic compounds
- o nitrous oxide
- 5 o industrial agents -- especially solvents
- o heavy metals (lead, arsenic, mercury, etc.)
- o drugs

Miscellaneous causes

- 10 o ischemia (decreased oxygen/decreased blood flow)
- o prolonged exposure to cold temperature

Diabetic neuropathy is a nerve disorder caused by diabetes. Symptoms of neuropathy include numbness and sometimes pain in  
15 the hands, feet or legs. Nerve damage caused by diabetes can also lead to problems with internal organs such as the digestive tract, heart and sexual organs, causing indigestion, diarrhea or constipation, dizziness, bladder infections and impotence.

20 The cause of diabetic neuropathy remains undefined, but several factors are likely to contribute to the disorder. For example, high blood glucose, causes chemical changes in the nerves that impair the nerves' ability to transmit signals.

25 Diabetic neuropathy can affect virtually every part of the body. Diffuse (peripheral) neuropathy affect the legs, feet, arms and hands. Diffuse (autonomic) neuropathy affects the heart, digestive system, sexual organs, urinary tract and sweat glands. Focal neuropathy affects the eyes, facial muscles, hearing, pelvis and  
30 lower back, thighs and abdomen.

Diabetic individuals are also prone to developing compression neuropathies. The most common form of compression neuropathy is carpal tunnel syndrome. Asymptomatic carpal tunnel syndrome occurs in 20-30% of diabetes sufferers whilst symptomatic carpal tunnel syndrome occurs in 6-11%. Numbness and tingling of the hand are the most common symptoms. Diabetic peripheral neuropathy is also a fundamental cause of a large proportion of diabetic foot ulcers. The lack of sensation caused by this condition results in the individual being unable to detect points of pressure that would normally cause low level pain and therefore behaviour would be corrected to stop the pain (ie relieve pressure on that part of the foot). Without that sensation the insult continues and results in ulceration of the skin that can then become a chronic wound and in a significant number of cases results in amputation.

Other examples of neuropathies include chemotherapy-induced neuropathy, alcoholic neuropathy and HIV/AIDS neuropathy.

Regaining the sensation by stimulating nerve regeneration would therefore have a significant impact on the quality of life of those individuals suffering from peripheral neuropathy, particularly diabetic peripheral neuropathy.

The current goals of treating diabetic neuropathy are to prevent progression and reduce the symptoms of the disease. Tight control of glucose is important to prevent progression. To reduce the symptoms, topical treatment with Capsaicin or oral medication like amitriptyline, gabapentin, and carbamazepine have been used successfully. Analgesics (pain medications) may work for some patients on a short-term basis. But, in most cases, they usually do not provide much benefit.

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The current protocols for treatment of neuropathies are limited to relieving pain and discomfort, as well as to preventing additional tissue damage. There is a need for a therapy which promotes nerve regeneration rather than treating the symptoms of the pathologies.

5 **Summary of the invention**

According to a first aspect of the invention there is provided a method of treating a peripheral neuropathy or peripheral neurodegenerative disease comprising:

10 placing an ultrasound applicator adjacent to a patient's skin surface proximate a neuropathic area affected by the peripheral neuropathy or the neurodegenerative disease such that, during operation, the ultrasound applicator directs ultrasound through the neuropathic area; and

15 applying ultrasound through the neuropathic area using the ultrasound applicator, wherein the ultrasound comprises a pulsed radio frequency ultrasound signal having a frequency in the range of 1.3MHz to 2 MHz, consisting of pulses generated at a rate in the range of 100 Hz to 1,000 Hz with each pulse having a duration in the range of 10 microseconds to 2,000 microseconds, and having a power intensity no higher than 100 milliwatts per square centimeter, and

20 wherein the peripheral neuropathy or peripheral neurodegenerative disease is selected from a group consisting of: a systemic or metabolic neuropathy, a toxic neuropathy, a motor neuron disease, a neuropathy resulting from an infection, and an idiopathic neuropathy.

25 The pulsed radio frequency ultrasound signal may be applied daily, with the duration of treatment being in the range of, for example, 1-55 minutes, although the preferred range is 10-30 minutes. It is important that the ultrasound power be kept below a safety threshold so that the skin is not damaged, and the power intensity of the ultrasound signal is no higher than 100 milliwatts per square centimetre.

According to a second aspect of the invention, there is provided a method of treating a peripheral neuropathy or peripheral neurodegenerative disease comprising:

30 placing an ultrasound transducer proximate a neuropathic area selected for treatment; and

operating the ultrasound transducer once per day and for a duration in the range of about 10 minutes to about 30 minutes to apply a pulsed radio frequency ultrasound signal to the neuropathic area, to treat the peripheral neuropathy or peripheral neurodegenerative disease,

35 the pulsed radio frequency ultrasound having a frequency in the range of



1.3MHz to 2 MHz, consisting of pulses generated at a rate in the range of 100 Hz to 1,000 Hz with each pulse having a duration in the range of 10 microseconds to 2,000 microseconds, and having a power intensity no higher than 100 milliwatts per square centimeter, and

5 the peripheral neuropathy or peripheral neurodegenerative disease being selected from a group consisting of: a systemic or metabolic neuropathy, a toxic neuropathy, a motor neuron disease, a neuropathy resulting from an infection, and an idiopathic neuropathy.

In embodiments of the invention the metabolic neuropathy is diabetic neuropathy.  
10 In other embodiments, the neuropathy may be uremic neuropathy, alcoholic neuropathy, a neuropathy caused by dietary deficiencies (especially vitamin B-12) or a neuropathy caused by cancer.

In embodiments of the invention the toxic neuropathy is chemotherapy-induced neuropathy or anti-retroviral drug-induced neuropathy.

15 In embodiments of the invention the neuropathy resulting from an infection is associated with AIDS, hepatitis, Colorado tick fever, diphtheria, syphilis, Lymes' disease or leprosy.

In embodiments of the invention the peripheral neuropathy or peripheral neurodegenerative disease is associated with polyarteritis nodosa, rheumatoid arthritis,  
20 sarcoidosis, Sjorgen syndrome or systemic lupus erythematosus.

In embodiments of the invention the motor neuron disease may be amyotrophic lateral sclerosis, spinobulbar muscular atrophy or spinal muscular atrophy.

In embodiments of the invention the peripheral neuropathy or peripheral neurodegenerative disease is Charcoat-Marie-Tooth disease.

25 In embodiments of the invention the peripheral neuropathy or peripheral neurodegenerative disease is caused by exposure to toxic compounds, such as glue, nitrous oxide, industrial agents (such as solvents) or heavy metals (such as lead, arsenic or mercury).

In embodiments of the invention the peripheral neuropathy or peripheral  
30 neurodegenerative disease is caused by ischemia.

In embodiments of the invention the peripheral neuropathy or peripheral neurodegenerative disease is caused by prolonged exposure to cold temperatures.

Nerve conduction velocity (NCV) is a test of the speed of conduction of impulses through a nerve. NCV is related to the diameter of the nerve and the normal degree of myelination (the presence of a myelin sheath on the axon) of the nerve.

The test is used to diagnose nerve damage or destruction. The nerve is stimulated, usually with surface electrodes, which are patch-like electrodes (similar to those used for ECG) placed on the skin over the nerve at various locations. One electrode stimulates the nerve with a very mild electrical impulse. The resulting electrical activity is recorded by the other electrodes. The distance between electrodes and the time it takes for electrical impulses to travel between electrodes are used to calculate the nerve conduction velocity.

The nerve conduction study comprises the following two components:

Motor nerve conduction velocity (MNCV) is performed by electrical stimulation of a peripheral nerve and recording from a muscle supplied by this nerve. By stimulating in two or more different locations along the nerve the NCV in different segments can be determined. Calculations are performed using the difference between the different stimulating electrodes and the difference in time of the response of the muscle to stimulation.

Sensory nerve conduction velocity (SNCV) is performed by electrical stimulation of a peripheral nerve and recording from a purely sensory portion of the nerve, such as on a finger. The SNCV is calculated from the time from stimulation to recording the action potential in the nerve and knowing the distance between the stimulating and recording electrodes.

In preferred embodiments of the invention the application of ultrasound to a neuropathic area results in a substantial increase in the MNCV measurement.

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5 This non-invasive technique of the invention involves placing an applicator on or adjacent to the skin of the patient, with an ultrasound transducer directing sound waves through the neuropathic area.

10 One of the advantages of the method is that it utilises non invasive ultrasound technology. The transducer may be applied to the skin of the patient, proximate or directly over the neuropathic site, with the use of a coupling gel (for example, of the same types of coupling gels used in ultrasound diagnostic applications). The electronic circuitry for energizing the transducer consists of conventional circuits, such as radio frequency oscillator and a pulse generator.

15 **Brief Description of the drawings**

The following description of embodiments of the invention is given by way of example only, with reference to the drawings, in which:

20 **Figure 1** shows left sciatic MNCV and SNCV during a 12-week study, with ultrasound or placebo treatment for weeks 9-12; data are group mean  $\pm$  SEM; and

**Figure 2** shows tactile responses in the hind paw of both the untreated and treated limbs of control and diabetic rats after 12 weeks of hyperglycemia; data are group mean  $\pm$  SEM.

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**Detailed description of the invention**

**Animals**

*Species:* Rat (female)

5 *Strain:* Sprague-Dawley

*Source:* Harlan Industries, San Diego, CA

*Weight:* 250-275 grams (adult)

10 *Husbandry:* Room temperature was maintained between 65 to 82°F with relative humidity between 30 to 70%. The room was illuminated with fluorescent lighting on a daily 12-hour light/dark cycle. All animals had free access to dry food and municipal water. Cages were cleaned daily.

**Induction of diabetes**

15 Insulin-deficient diabetes was induced by a single intraperitoneal injection of streptozotocin (50 mg/kg in sterile saline)

to ablate pancreatic  $\beta$  cells. Hyperglycaemia was confirmed by assay of blood samples taken 3 days after streptozotocin injection and at the conclusion of the study. Rats displaying marked weight loss (25% starting weight) or sedated behaviour to audiovisual stimuli received 2U insulin thrice weekly. All rats were inspected daily.

#### Ultrasound treatment protocol

Ultrasound test devices were supplied by Smith & Nephew Plc. The application protocol was 20 minutes treatment per day, ultrasound frequency of 1.5MHz pulsed at 1kHz and 30mW/cm<sup>2</sup> intensity (SATA), with a pulse width of 200 $\mu$ s.

Rats were placed in a restraint tube and the ultrasound probe placed in direct contact with the shaved flank of the left hind limb. Animals were treated for 20 minutes per day, 5 days per week during weeks 9-12 of diabetes with either an active or placebo device which was colour coded (blue = active, black = placebo) so that the investigators were not aware of the treatment group until after all data had been collected.

Physiology and behavior measurements (see below) were made at least 24 hr after the last treatment.

#### 25 Treatment Groups

The study was designed to determine whether established degenerative neuropathy in rats that presents after 8-12 weeks of hyperglycemia can be reversed by ultrasound treatment.

30

Groups (10 rats/group): Control+placebo treatment  
 Control+ultrasound treatment  
 STZ-diabetic+placebo treatment  
 STZ-diabetic+ultrasound treatment

|    |                               |                                    |                                       |
|----|-------------------------------|------------------------------------|---------------------------------------|
| 5  | <u>Onset of hyperglycemia</u> | <u>Midpoint of study (8 weeks)</u> | <u>End of study (12 weeks)</u>        |
|    | Body weight                   | Body weight                        | Body weight                           |
|    | Blood glucose                 | Blood glucose                      | Blood glucose                         |
|    | MNCV/SNCV                     | Thermal response latency           | Thermal response latency              |
| 10 |                               | Tactile response threshold         | Tactile response threshold            |
|    |                               | MNCV/SNCV                          | MNCV/SNCV                             |
|    |                               |                                    | Nerve blood flow                      |
|    |                               |                                    | Nerve light microscopy *              |
|    |                               |                                    | Footpad epidermal nerves <sup>†</sup> |

15 \*Mid-thigh sciatic nerve segments were immersion fixed for preparation as plastic sections for light microscopic and morphometric analysis of myelinated fiber axonal area.

20 + Skin from the plantar surface of the hind paw was immersion fixed for preparation as paraffin sections for light microscopic analysis of epidermal PGP 9.5 +ve fiber density (C fibers).

Findings after 8 weeks of diabetes (prior to onset of treatment)

25 Data are presented as cohort means for the 20 control rats and 21 diabetic rats prior to sub-division into the placebo or ultrasound arms of each cohort.

30 Diabetic rats showed the expected hyperglycemia and weight loss compared to control rats.

Evidence of nerve dysfunction was indicated by slowing of both MNCV and SNCV in the left sciatic nerve (see Figs. 1&2) and the presence of tactile allodynia at the plantar surface of both hind paws.

Findings at week 12 of diabetes (after 4 weeks of treatment)

Diabetic rats showed the expected hyperglycemia, weight loss  
5 and mild hypotension compared to control rats. Five diabetic rats  
received basal insulin treatment during the study as body weight  
dropped below 200g. Of these, four had recovered weight and all  
five remained hyperglycemic at the end of the study. Ultrasound  
treatment was without effect in either group.

10 Control rats showed a time-dependent increase in sciatic MNCV  
and SNCV over the 12-week study period (see Fig. 1), indicating that  
the nervous system of these animals was still maturing. Ultrasound  
treatment for the last 4 weeks of the study was without marked effect  
15 in control rats.

Placebo-treated-diabetic rats showed a decrease in sciatic  
MNCV and SNCV over the 12-week study period. MNCV slowing  
20 after 12 weeks of hyperglycemia in rats has been attributed to a  
combination of metabolic (ischemia, oxidative stress) and structural  
(axonal atrophy) mechanisms. Ultrasound treatment begun on week  
9 halted the progressive decline in MNCV and resulted in an  
improvement in MNCV.

25 In view of the foregoing, it will be seen that the several  
advantages of the invention are achieved and attained.

The embodiments were chosen and described in order to best  
explain the principles of the invention and its practical application to  
30 thereby enable others skilled in the art to best utilize the invention in  
various embodiments and with various modifications as are suited to  
the particular use contemplated.

As various modifications could be made in the methods herein described and illustrated without departing from the scope of the invention, it is intended that all matter contained in the foregoing description or shown in the accompanying drawings shall be interpreted as illustrative rather than limiting. Thus, the breadth and scope of the present invention should not be limited by any of the above-described exemplary embodiments, but should be defined only in accordance with the following claims appended hereto and their equivalents.

10

#### References

All procedures followed have been published in the following papers:

- 15 (1) Mizisin AP, Vu Y, Shuff M, Calcutt NA. (2004) Ciliary neurotrophic factor improves nerve conduction and ameliorates regeneration deficits in diabetic rats. *Diabetes* 53:1807-1812.
- 20 (2) Calcutt NA, Allendoerfer KL, Mizisin AP, Middlemas A, Freshwater JD, Burgers M, Ranciato R, Delcroix JD, Taylor FR, Shapiro R, Strauch K, Dudek H, Engber, TM, Galdes A, Rubin LL, Tomlinson DR. (2003) Therapeutic efficacy of sonic hedgehog protein in experimental diabetic neuropathy. *J Clin Invest.* 111:507-514.
- 25 (3) Calcutt NA. (2004) Modeling diabetic sensory neuropathy in rats. *Methods Mol Med.* 99:55-65.
- (4) Malmberg AB, Mizisin AP, Calcutt NA, von Stein T, Robbins WR, Bley KR. (2004) Reduced heat sensitivity and epidermal nerve fiber immunostaining following single applications of a high-concentration capsaicin patch. *Pain* 111:360-367.
- 30



Throughout this specification and the claims which follow, unless the context requires otherwise, the word "comprise", and variations such as "comprises" or "comprising", will be understood to imply the inclusion of a stated integer or step or group of integers or steps but  
5 not the exclusion of any other integer or step or group of integers or steps.

The reference in this specification to any prior publication (or information derived from it), or to any matter which is known, is not,  
10 and should not be taken as an acknowledgment or admission or any form of suggestion that that prior publication (or information derived from it) or known matter forms part of the common general knowledge in the field of endeavour to which this specification  
relates.

THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. A method of treating a peripheral neuropathy or peripheral neurodegenerative disease comprising:

placing an ultrasound applicator adjacent to a patient's skin surface proximate a neuropathic area affected by the peripheral neuropathy or the neurodegenerative disease such that, during operation, the ultrasound applicator directs ultrasound through the neuropathic area; and

applying ultrasound through the neuropathic area using the ultrasound applicator,

wherein the ultrasound comprises a pulsed radio frequency ultrasound signal having a frequency in the range of 1.3MHz to 2 MHz, consisting of pulses generated at a rate in the range of 100 Hz to 1,000 Hz with each pulse having a duration in the range of 10 microseconds to 2,000 microseconds, and having a power intensity no higher than 100 milliwatts per square centimeter, and

wherein the peripheral neuropathy or peripheral neurodegenerative disease is selected from a group consisting of: a systemic or metabolic neuropathy, a toxic neuropathy, a motor neuron disease, a neuropathy resulting from an infection, and an idiopathic neuropathy.

2. A method according to claim 1, wherein the metabolic neuropathy is diabetic neuropathy.

3. A method according to claim 1, wherein the toxic neuropathy is anti-retroviral drug-induced neuropathy.

4. A method according to any one of claims 1 to 3, wherein the pulsed radio frequency ultrasound signal is applied daily.

5. A method according to claim 4, wherein the ultrasound signal is applied for between 10 and 30 minutes per day.

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6. A method of treating a peripheral neuropathy or peripheral neurodegenerative disease comprising:

placing an ultrasound transducer proximate a neuropathic area selected for treatment; and

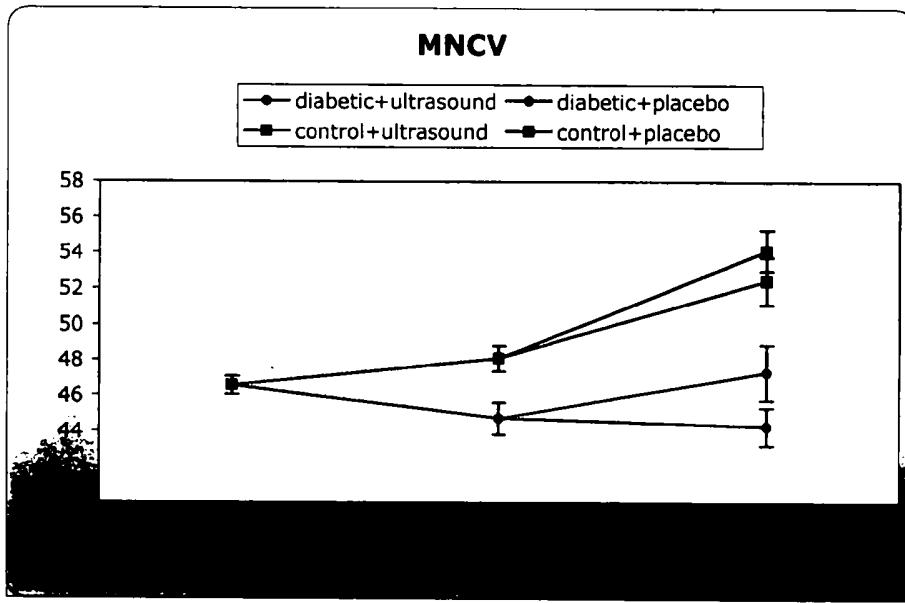
operating the ultrasound transducer once per day and for a duration in the range of about 10 minutes to about 30 minutes to apply a pulsed radio frequency ultrasound signal to the neuropathic area, to treat the peripheral neuropathy or peripheral neurodegenerative disease,

the pulsed radio frequency ultrasound having a frequency in the range of 1.3MHz to 2 MHz, consisting of pulses generated at a rate in the range of 100 Hz to 1,000 Hz with each pulse having a duration in the range of 10 microseconds to 2,000 microseconds, and having a power intensity no higher than 100 milliwatts per square centimeter, and

the peripheral neuropathy or peripheral neurodegenerative disease being selected from a group consisting of: a systemic or metabolic neuropathy, a toxic neuropathy, a motor neuron disease, a neuropathy resulting from an infection, and an idiopathic neuropathy.

7. A method according to claim 1 or claim 6, and substantially as herein described.

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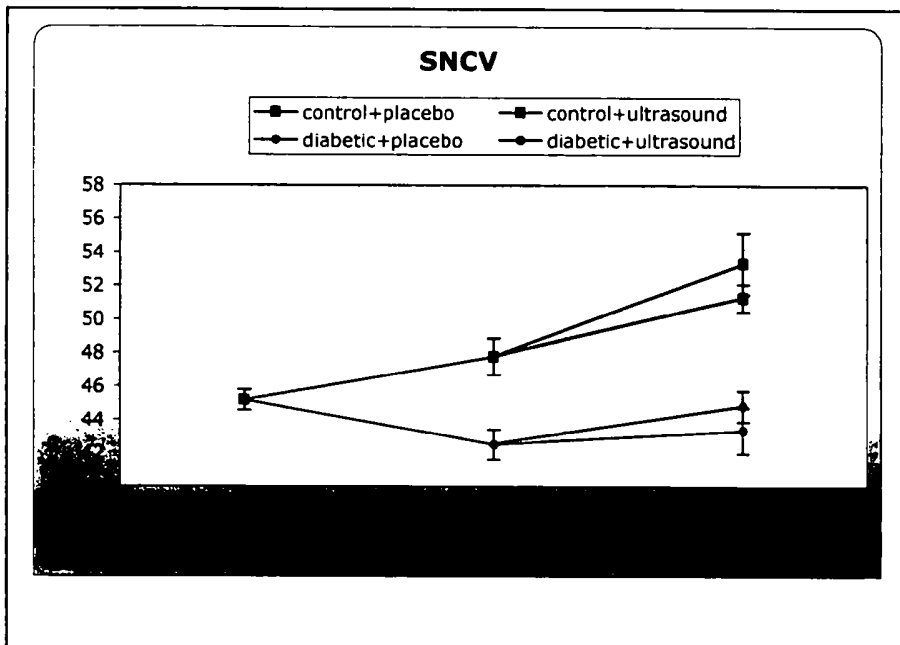


Figure 1

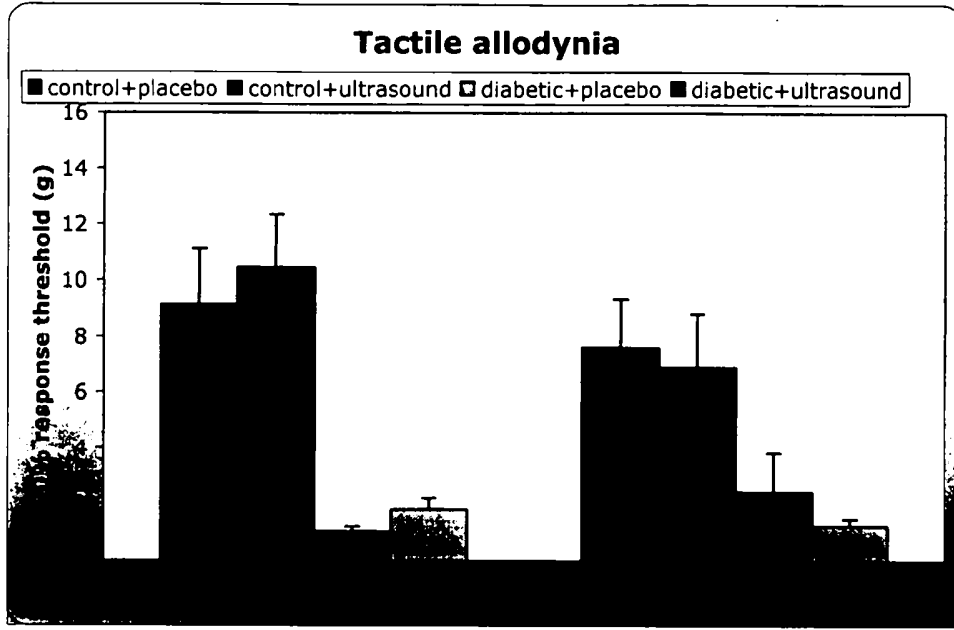


Figure 2