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(54) **Title:** OPTOGENETIC ANALYSIS OF COMPOUNDS

(57) **Abstract:** The invention relates to methods of optically screening compounds for their effects on neuronal cells expressing optogenetic proteins that initiate and report electrical activity in cells using light. Thus the invention provides high-capacity methods for primary screening of, for example, randomized chemical libraries. These high-throughput assays provide robust electrophysiological measurements of cells without requiring patch clamp techniques. Since the described optogenetic constructs and pluripotent stem cell (PSC)-derived cells operate to provide the precision, temporal resolution, and voltage control required for monitoring effects of compounds, assays of the invention are compatible with primary screening and drug discovery. Methods of the invention may find application in studying or addressing autism, epilepsy, Alzheimer's, amyotrophic lateral sclerosis, tuberous sclerosis, and ion channel modulators.

## OPTOGENETIC ANALYSIS OF COMPOUNDS

### Cross-Reference to Related Application

This application claims the benefit of, and priority to, US. Provisional Application Serial No. 61/982,589, filed April 22, 2014, the contents of which are incorporated by reference.

### Field of the Invention

The invention relates to methods of optically screening compounds for their effects on neuronal cells.

### Background

When a person suffers from a neurodegenerative disease such as amyotrophic lateral sclerosis (ALS), his or her neurons deteriorate, which can initially manifest as forgetfulness, cognitive impairment, or loss of coordination. As the disease progresses, the person's condition can worsen considerably and he or she may become unable to walk and may suffer from severe dementia. ALS often presents no outwardly visible symptoms until after it caused significant harm to the nervous system. The causes of ALS are not known to a certainty.

It is believed that ALS has a genetic component. For example, variants of genes such as *C9orf72*, *SOD1*, *TARDBP*, *FUS*, *UBQL2*, *ALS2*, and *SETX* are known to be associated with ALS. However, it is also suspected that chemical imbalances, immune system misfunctions, and protein misfolding may play a role. Given the variety of possible contributing factors, it is troubling but unsurprising that there is no known one-size-fits all treatment. To discover effective treatments requires a tractable model for studying the disease. A major challenge in neurological disease research and drug development, however, is access to clinically-relevant cell models. So it remains to be seen whether compounds can be discovered that can effectively treat ALS.

Autism is a neurodevelopmental disorder exemplified by restricted and repetitive behaviors, impaired social interactions including impaired verbal and non-verbal communication abilities. Autism is one of the five pervasive developmental disorders (PDD) which also include

Asperger syndrome, Rett syndrome, childhood disintegrative disorder, and PDD not otherwise specified (PDD-NOS). Autism, Asperger syndrome, and PDD-NOS are sometimes referred to as autism spectrum disorder (ASD). Due to the curved development of autistic children, many affected individuals are unable to achieve independence in adulthood requiring lifelong care from guardians or the state. Autism is strongly tied to genetics but may also be influenced by environmental factors. Autism affects information processing in the brain by altering how nerve cells and their synapses connect and organize, but the exact mechanisms are unknown.

Human pluripotent stem cell models of several rare, genetically defined syndromic forms of ASD have been developed and have shown promise in advancing understanding of autism mechanisms and effects. See Aigner, et al., 2014, Human pluripotent stem cell models of autism spectrum disorder: emerging frontiers, opportunities, and challenges towards neuronal networks in a dish, *Psychopharmacology* 231(6):1089-1104, incorporated by reference. Unfortunately, detailed in vitro monitoring of neurons (e.g., changes in intracellular calcium level or generation of action potential) is complicated and difficult, especially in vitro monitoring of neuronal networks and interactions between multiple neurons.

Alzheimer's is a brain disease that causes a decline in memory and thinking skills with progressively worsening problems with language, orientation, and self-care. In the United States in the year 2000, Alzheimer prevalence was estimated to be 1.6% overall and 19% in the 75–84 age group.

Alzheimer's disease is associated with molecular clumps, or plaques, of amyloid- $\beta$  in the brain. Apolipoprotein E (ApoE) is an amyloid-binding protein in the spinal fluid that is suspected of playing a role in Alzheimer's. In humans, there are three common alleles of the APOE gene, (2, 3 and 4) and evidence suggests that the APOE4 form is associated with a greatly increased risk of Alzheimer's disease

ApoE4 appears to promote amyloid- $\beta$  deposition in the brain. ApoE4 may also contribute to Alzheimer's by being metabolized into small fragments that damage the mitochondria. Scientists may have identified small 'corrector' molecules that modify ApoE4 protein to be more like ApoE3, thereby reducing that fragmentation. It is hypothesized that such corrector molecules could reduce mitochondrial impairment and neuronal dysfunction. However, to test such a hypothesis requires a tractable model for studying the disease. A major obstacle to neurological

disease modelling is access to clinically-relevant cell models. So it remains to be seen whether corrector molecules or any other compounds can protect cells from the damages of Alzheimer's.

Epilepsy is a group of neurological disorders exhibiting a common symptom of epileptic seizures. Epileptic seizures occur when a group of neurons begin abnormally synchronized firing causing a paroxysmal depolarizing shift. Epileptic seizures may occur suddenly and without warning and may include the loss of consciousness and various degrees of muscle contractions or spasms followed by a period of confusion which may last for hours. Individuals are also prone to physical injury during epileptic seizures. Additional symptoms include headaches, difficulty speaking, and psychosis. Beyond the physical effects, people suffering from epilepsy may suffer social restrictions such as being unable to drive or hold certain jobs due to the risk of seizure.

In most cases, the cause of epilepsy is unknown but known causes include brain injuries associated with trauma, tumors, substance abuse, or stroke. Certain forms of epilepsy are linked to certain genotypes and mutations. Dravet syndrome, for example, is linked to mutations in the SCN1A gene. Understanding the mechanisms behind epileptic seizures and studying the neuronal effects leading up to, during, and after a seizure are key areas of focus.

Existing modes of study include animal models and clinical studies using patients. Clinical studies are limited by the ability to induce or predict the timing of seizures to permit observation and are further limited by health concerns for the patient/subject. Animal studies are expensive and slow and may not correlate to human disease mechanisms. Cell models including Dravet syndrome derived neurons address some of these issues and offer some promise for studying epilepsy mechanisms. Liu, et al., 2013, Dravet syndrome patient-derived neurons suggest a novel epilepsy mechanism, *Ann Neurol.*, 74: 128–139, incorporated by reference. Unfortunately, detailed in vitro monitoring of neurons (e.g., changes in intracellular calcium level or generation of action potential) is complicated and difficult.

Tuberous sclerosis is a genetic disease that affects tumor suppressor proteins through mutations to the TSC1 or TSC2 genes. Tuberous sclerosis can result in tumor growth in the brain, kidneys, lungs, heart, skin, eyes and can negatively affect function of these organs. Neurological symptoms of tuberous sclerosis include autism, intellectual disabilities, developmental and behavioral problems, and seizures. People suffering from tuberous sclerosis face a range of prognoses based on the severity of their symptoms, ranging from mild skin abnormalities to severe mental disabilities and organ failure and death due to tumor growth.

There is no known cure for the disease and current treatment methods are focused on addressing the individual symptoms.

The mechanisms by which the disease progresses and specific TSC1 and TSC2 mutations which cause the disease are largely unknown. Much of the current research uses animal models of the disease. See Meikle, et al., 2007, A mouse model of tuberous sclerosis: neuronal loss of Tsc1 causes dysplastic and ectopic neurons, reduced myelination, seizure activity, and limited survival, *J Neurosci.* 27(21):5546-58; Meikle, et al., 2008, Response of a neuronal model of tuberous sclerosis to mammalian target of rapamycin (mTOR) inhibitors: effects on mTORC1 and Akt signaling lead to improved survival and function, *J Neurosci.*, 28(21):5422-32; Normand, et al., 2013, Temporal and mosaic Tsc1 deletion in the developing thalamus disrupts thalamocortical circuitry, neural function, and behavior, *Neuron*, 5;78(5):895-909; Kim, et al., 2010, Zebrafish model of tuberous sclerosis complex reveals cell-autonomous and non-cell-autonomous functions of mutant tuberin, *Dis Model Mech.*, 4(2):255-67; each incorporated in its entirety. Animal studies, however, are expensive and slow and may not accurately model human disease mechanisms. There are limited cell models for tuberous sclerosis although the TSC2ang1 has shown promise. See Wlodarski, et al., 2008, Tuberin-heterozygous cell line TSC2ang1 as a model for tuberous sclerosis-associated skin lesions, *Int J Mol Med.* 21(2):245-50; incorporated in its entirety. Unfortunately, detailed in vitro monitoring of neurons (e.g., changes in intracellular calcium level or generation of action potential) is complicated and difficult. In order to better understand tuberous sclerosis and its neuronal effects, a better approach is needed.

Ion channels are important proteins in neurons and cardiac tissue as they are essential to the action potentials that make up our thoughts, sensations, and heartbeats. Those ion channels are thus significant therapeutic targets and many drugs function as ion channel modulators. Many ion channel modulators have been developed empirically by traditional pharmacology without knowing the precise target of those modulators. The discovery of novel ion channel modulators by high-throughput methods has proven challenging. A particular challenge has been the development of biologically relevant assays useful for screening sizeable compound libraries. Existing screening formats have limited throughput and do not provide the precision, temporal resolution, or voltage control needed for monitoring channel modulation.

#### Summary

The invention provides methods for screening, detecting, and characterizing compounds in high-throughput cellular assays of cells expressing optogenetic proteins that initiate and report electrical activity in cells using light. Thus the invention provides high-capacity methods for primary screening of chemical libraries. These high-throughput assays provide robust electrophysiological measurements of cells without requiring patch clamp techniques. Since the described optogenetic constructs and pluripotent stem cell (PSC)-derived cells operate to provide the precision, temporal resolution, and voltage control required for monitoring effects of compounds, assays of the invention are compatible with primary screening and drug discovery. For the assays, a target protein may be cloned and expressed in a stable cell line of the invention. Thus the invention provides robust, biologically relevant assays with sufficient capacity for high throughput screening of compounds.

Aspects of the invention provide a method for determining an effect of a compound a neurological condition. The method includes presenting a compound to a sample comprising a plurality of neurons, wherein at least one of the plurality of neurons expresses an optical reporter of membrane electrical potential, and receiving—via a microscopy system—an optical signal generated by the optical reporter in response to optical stimulation of a light gated ion channel in the sample following presentation of said compound. The compound is identified as a candidate for treatment of the neurological condition based on said optical signal. The light gated ion channel may include an algal channelrhodopsin being expressed by a second neuron in synaptic communication with the at least one of the plurality of neurons. The light gated ion channel may include an algal channelrhodopsin being expressed by the at least one of the plurality of neurons. The optical reporter of membrane potential may include a microbial rhodopsin (e.g., with between 1 and 10 amino acid substitutions relative to a wild type form of the microbial rhodopsin). In some embodiments, the at least one of the plurality of neurons also expresses a genetically-encoded indicator of intracellular calcium level. The received optical signal may include a signal from the genetically-encoded indicator of intracellular calcium level. The neurological condition may be one of autism, epilepsy, Alzheimer's, amyotrophic lateral sclerosis, and tuberous sclerosis.

### *1. Autism*

The invention offers disease models for in-vitro compound screening and study of neurodevelopmental disorders associated with autism by using neurons exhibiting a genotypic or phenotypic characteristic of autism or another neurological disorder. Neurons for use in the invention preferably are engineered to express an optical reporter of membrane electrical potential, a light-gated ion channel, and an indicator of intracellular calcium levels. Neuronal cells for use in the invention are obtained from a number of different sources. For example, neuronal cells may be obtained from an individual having a neurodevelopmental disorder, such as autism. Cells may be obtained from a living donor or from postmortem tissue. Alternatively, neuronal cells may be obtained from a cell bank, such as the American Type Culture Collection (ATCC) or other suitable source. Neuronal cells having an autism phenotype or genotype may also be obtained through differentiation of a pluripotent stem cell using known methods. Pluripotent stem cells may be human induced pluripotent stem cells (hiPSC) derived from somatic cells. Disease genotypes or phenotypes may be also be introduced into a neuron through genome editing.

For neurons transformed with optical reporters of membrane potential and light-gated ion channels, action potentials may be optically induced and optically evaluated in vitro. In certain embodiments, neurons may express a protein that reports a change in intracellular calcium level. The ability to optically obtain and observe action potentials and to observe changes in intracellular calcium level allows researchers to monitor neuronal function at various stages of disease progression, to screen therapeutic compounds, and to evaluate various genotypes for links to neurodevelopmental disorders such as autism. Cells of the invention may be particularly useful in studying action potential generation and propagation and ion channel function during and after a seizure. Furthermore, transformed neurons derived from patient cells may be used to diagnose neurodevelopmental disorders such as autism.

Neural cells are transformed with a genetically-encoded optical reporter, such as a transmembrane protein that fluoresces in response to the generation of an action potential. The optical reporter exhibits an optical signature as an action potential propagates through the neuron in response to neural stimulation (which may itself be optically induced). The signature may be observed and compared to a control signature, such as may be observed from a control cell with known properties. Differences between the observed signature and the control signature reveal properties of the cell being studied and can be correlated to disease progression through

simulated aging (e.g., extended culture of iPSC derived neurons), verification of disease development of various genotypes, and diagnosis of neurodegenerative diseases such as autism. Neurons of the invention may also be used to screen potential compounds for therapeutic use. Neuronal function (e.g., action potential generation and propagation) may be monitored in neurons with genotypes associated with autism or other neurodevelopmental disorders both before and after administration of a compound and the effects noted. Compound screening using transformed neurons may be used to evaluate the effectiveness of compounds or other treatments on preventing disease onset or progression or relieving disease symptoms. A potential compound for treating autism may be presented to a neuron having one or more phenotypic or genotypic characteristics of autism.

Cell models for compound screening or other investigation may include a cell or cells which exhibit a phenotypic characteristic of a disorder such as autism. Phenotypic characteristics may include, for example, a cell's morphological, biological, biochemical, electrochemical, or physiological properties. Genotypic characteristics can include one or more mutations to an autism candidate gene such as those described on AudDB (<http://www.mindspec.org/autdb.html>). See Basu, et al., 2009, AutDB: a gene reference resource for autism research, *Nucleic Acids Res. (Database issue)*: D832–D836 incorporated by reference herein.

Aspects of the invention use methods of converting stem cells to specific neural subtypes as well as transformation of cells with optogenetic actuators and reporters to enable optical characterization of cells. Images may be captured by microscopy and analyzed digitally to identify optical signatures, such as spike trains, and associate the signatures with specific cells. Disease-affected and healthy patient cells are distinguished according to their signature spike trains.

Using genome-editing, a practitioner can create control cells that are isogenic with test cells but-for specific genetic variants that are suspected to be associated with disease. By these means, where a certain mutation is suspected of being linked to a disease, methods of the invention are useful to observe the consequences of that mutation within the genetic context of the patient's entire genome. The effects of not just a single identified variant, but of that variant in the context of all other alleles in a genome can be studied.



Methods of the invention comprise cells caused to express an optical reporter of neural activity. In one aspect, methods include observing a signature generated by the optical reporter in response to a stimulation of the cell and comparing the observed signature to a control signature. A difference between the observed signature and the control signature can correspond to a change in neuronal function associated with disease progression or the effect of a therapeutic compound. Observed differences may also be indicative of positive diagnosis of the condition. In embodiments in which the control signature is disease-type, a match between the observed signature and the control signature corresponds to a positive diagnosis of the condition. The control signature may be obtained by obtaining a control cell suspected of not having the condition and observing a control signal generated by a control optical reporter in the control cell. In a certain embodiments, the test or control cells may be derived through genomic editing. A control cell may be modified to include one or more mutations of interest and the derived test cell may then be evaluated for the development of disease. Obtaining the control cell for a diagnostic method may include editing a genome from the subject such that the control cell and the cell are isogenic but for a mutation. Alternatively, the control cells may be derived from one or more individuals known not to have the condition or to have genetic mutations associated with risk of the condition.

Any suitable condition may be evaluated using the disclosed cells and methods. Cells and methods of the invention are suited to evaluating conditions such as genetic disorders, mental and psychiatric conditions, neurodevelopmental disorders, neurodegenerative diseases and neurodevelopmental disorders such as autism. Exemplary genetic disorders include SHANK3-related, and other forms of autism, Parkinson's disease, Cockayne syndrome, Down Syndrome, familial dysautonomia, Fragile X Syndrome, Friedreich's ataxia, Gaucher disease, giant axonal neuropathy, Charcot-Marie-Tooth disease, hereditary spastic paraplegias, Machado-Joseph disease (also called spinocerebellar ataxia type 3), Phelan-McDermid syndrome (PMDS), polyglutamine (polyQ)-encoding CAG repeats, a variety of ataxias including spinocerebellar ataxias, spinal muscular atrophy, and Timothy syndrome. Exemplary neurodegenerative diseases include Alzheimer's disease, frontotemporal lobar degeneration, Huntington's disease, multiple sclerosis, spinal and bulbar muscular atrophy, and amyotrophic lateral sclerosis. Exemplary mental and psychiatric conditions include schizophrenia. Exemplary neurodevelopmental disorders include Rett syndrome. In one exemplary embodiment, the condition is Autism or

neurodevelopmental disorder with a genetic link. The patient may be known to have a mutation in an autism associated gene such as *SHANK3* (*ProSAP2*), *CDH9*, *CDH10*, *MAPK3*, *SERT* (*SLC6A4*), *CACNA1G*, *GABRB3*, *GABRA4*, *EN2*, the 3q25-27 locus, *SLC25A12*, *HOXA1*, *HOXA2*, *PRKCB1*, *MECP2*, *UBE3A*, *NLGN3*, *MET*, *CNTNAP2*, *FOXP2*, *GSTP1*, *PRL*, *PRLR*, and *OXTR* or other known autism candidate genes. See Wall, et al., 2009, Comparative analysis of neurological disorders focuses genome-wide search for autism genes, *Genomics* 93(2):120-9;

In some embodiments, the cell is caused to express an optical actuator that initiates an action potential in response to optical stimulation. Stimulation of the cell may include illuminating the optical actuator.

Causing the cell to express the optical reporter may be accomplished by transforming the cell with a vector bearing a genetically encoded fluorescent voltage reporter. The vector may also include a genetically encoded optical voltage actuator, such as a light-gated ion channel.

Observing the signal can include observing a cluster of different cells with a microscope and using a computer to isolate the signal generated by the optical reporter from a plurality of signals from the different cells. Methods of the invention may include using a computer to isolate a signal by performing an independent component analysis or other source-separation algorithm. The computer may be used to identify a spike train associated with the cell using standard spike-finding algorithms that apply steps of filtering the data and then applying a threshold. The computer may also be used to map propagation of electrical spikes within a single cell by means of an analytical algorithm such as a sub-Nyquist action potential timing algorithm. Methods may include observing and analyzing a difference between the observed signal and the expected signal. The difference may manifest as a decreased or increased probability of a voltage spike in response to the stimulation of the cell relative to a control, a change in the propagation of the signal within a cell, a change in the transformation of the signal upon synaptic transmission, or a change in the waveform of the action potential.

In certain aspects, the invention provides compound screening method that includes converting a stem cell to an electrically active cell such as a neuron, incorporating into the electrically active cell an optical activator and an optical reporter of electrical activity, and exposing the cells to at least one compound. A signature is generated by the optical reporter in response to an optical stimulation of the cells is obtained and the method includes identifying an effect of the at least one compound on cellular phenotype based on the obtained signature.

Preferably, the electrically active cell is a neuron or glial cell. “Electrically active cell” may be taken to refer to cells that transmit a signal or an action potential or participate in neural function and include neurons and glial cells. A plurality of the electrically active cells may be exposed to a plurality of different compounds. Any effect may be identified such as an effect that represents cellular activity (action potential level, energy level, synaptic transmission).

The incorporating may include transforming the electrically active cells with a vector that includes a nucleic acid encoding the optical activator and the optical reporter of electrical activity. An optical activator may initiate an action potential in response to the optical stimulation. The cells may be stimulated by illumination. In certain embodiments, each of the electrically active cell is caused to express both the optical activator and the optical reporter of electrical activity.

The effect of the compound may be identified by comparing an electrical signature to a control signature obtained from a control cell. The method may include editing the genome of the electrically active cells to produce control cells such that the control cells and the electrically active cells are isogenic but for a mutation in the electrically active cells.

In some embodiments, the signature is obtained by observing a cluster of cells with a microscope and using a computer to isolate a signal generated by the optical reporter from among a plurality of signals from the cluster of cells. An image can be obtained of a plurality of clusters of cells using the microscope (i.e., all in a single image using a microscope of the invention). The computer isolates the signal by performing an independent component analysis and identifying a spike train produced by one single cell.

In certain aspects, the invention provides a method of treating a condition by obtaining a neuron derived from a somatic cell from a person having the condition or introducing a neuron comprising a genotype associated with the condition through genome-editing; incorporating into the neuron an optical reporter of neural activity; and exposing the neuron to a candidate treatment compound. A signature generated by the optical reporter in response to a stimulation of the cell is used to observe an influence of the compound on a phenotype of the cell and—where the compound is observed to promote a normal-type phenotype—the compound is selected for treating the patient. The condition may be, for example, Cockayne syndrome, Down Syndrome, Dravet syndrome, familial dysautonomia, Fragile X Syndrome, Friedreich’s ataxia, Gaucher disease, hereditary spastic paraplegias, Machado-Joseph disease, Phelan-McDermid syndrome

(PMDS), polyglutamine (polyQ)-encoding CAG repeats, spinal muscular atrophy, Timothy syndrome, Alzheimer's disease, frontotemporal lobar degeneration, Huntington's disease, multiple sclerosis, Parkinson's disease, spinal and bulbar muscular atrophy, or amyotrophic lateral sclerosis. Methods include causing the cell to express an optical actuator that initiates an action potential in response to optical stimulation. The cell may be stimulated by illuminating the optical actuator. The cell may be obtained by obtaining a somatic cell from a subject and converting the somatic cell into an electrically active cell type. In certain embodiments, the somatic cell is converted to a neuron and may be converted to a specific neural sub-type. The condition may be neuronal disorder such as a neurodegenerative disease. Conversion may include direct lineage conversion or conversion through an iPS intermediary.

Observing the influence may include comparing the signature to a control signature obtained from a control cell. Control cells may be obtained through genome-editing of a test cell or vice-versa such that the control cell and a test cell are isogenic but for a mutation. A neuron may be transformed with a vector bearing a genetically encoded fluorescent voltage reporter, a genetically encoded optical voltage actuator, a genetically encoded calcium indicator, or some combination thereof.

To observe the signal, a cluster of cells may be observed with a microscope and a computer may isolate the signal generated by the optical reporter from a plurality of signals from the different cells. In some embodiments, the computer isolates the signal by performing an independent component analysis and identifying a spike train associated with the cell.

In certain aspects, the invention provides methods for screening a compound for autism treatment. The methods include the steps of presenting a compound to a sample including a neuron with one or more phenotypic or genotypic characteristics of autism and the neuron expresses an optical reporter of membrane electrical potential and a light-gated ion channel. Methods of the invention include receiving, via a microscopy system, an optical signal generated by the optical reporter in response to optical stimulation of the sample following presentation of said compound, and identifying the compound as a candidate for autism treatment based on the optical signal. In certain embodiments, the phenotypic characteristic may include reduced expression of SHANK3 protein compared to a disease-free neuron, decreased synaptic function compared to a disease-free neuron, reduced number and increased length of dendritic spines compared to a disease-free neuron, and reduced thickness and length of postsynaptic density

compared to a disease-free neuron. The genotypic characteristic may include a mutation in a gene such as *SHANK3* (*ProSAP2*), *CDH9*, *CDH10*, *MAPK3*, *SERT* (*SLC6A4*), *CACNA1G*, *GABRB3*, *GABRA4*, *EN2*, the 3q25-27 locus, *SLC25A12*, *HOXA1*, *HOXA2*, *PRKCB1*, *MECP2*, *UBE3A*, *NLGN3*, *MET*, *CNTNAP2*, *FOXP2*, *GSTP1*, *PRL*, *PRLR*, or *OXTR*.

In certain embodiments, the microscopy system comprises a digital micromirror device that provides the optical stimulation. The microscopy system may include a charge-coupled device camera configured to capture the optical signal from the neuron. The neuron may also express a protein that reports a change in an intracellular calcium level and may be stimulated by a second neuron that expresses the light-gated ion channel. The second neuron may also express the optical reporter of membrane electrical potential.

In various embodiments, the light-gated ion channel can include an algal channelrhodopsin and the protein that reports changes in intracellular calcium levels may include a GCaMP variant. The protein that reports a change in an intracellular calcium level may be selected from the group consisting of jRCaMP1a, jRGECO1a and RCaMP2. In certain embodiments, the neuron may be an hiPSC-derived neuron.

Certain methods of the invention may include the steps of detecting a change in the AP waveform and a change in the intracellular calcium level upon exposure of the neuron to the compound. Methods may include spatially patterning a plurality of neurons in the cell culture on a substrate. The identifying step may include comparing the optical signal of the sample to an optical signal obtained from a control cell.

In certain embodiments, the optical reporter of membrane electrical potential comprises a microbial rhodopsin which may include QuasAr1 or QuasAr2. The microbial rhodopsin can be expressed from a gene that is integrated into the neuron. The light-gated ion channel may be a blue-shifted actuator and the blue-shifted actuator may include TsChR or PsChR. In certain embodiments, the light-gated ion channel may include a blue-shifted actuator with an excitation maximum at a wavelength < 450 nm and the protein that reports the change in the intracellular calcium level can include a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive.

In certain aspects, the invention relates to a cell culture including a first neuron that expresses a light-gated ion channel and a second neuron electrically contiguous with the first neuron, wherein the second neuron expresses a genetically-encoded optical reporter of activity.

At least one of the first neuron or the second neuron includes one or more phenotypic or genotypic characteristics of autism.

The phenotypic characteristic may include reduced expression of SHANK3 protein compared to a disease-free neuron, decreased synaptic function compared to a disease-free neuron, reduced number and increased length of dendritic spines compared to a disease-free neuron, and reduced thickness and length of postsynaptic density compared to a disease-free neuron. The genotypic characteristic may include a mutation to one or more of the following genes: *SHANK3* (*ProSAP2*), *CDH9*, *CDH10*, *MAPK3*, *SERT* (*SLC6A4*), *CACNA1G*, *GABRB3*, *GABRA4*, *EN2*, the 3q25-27 locus, *SLC25A12*, *HOXA1*, *HOXA2*, *PRKCB1*, *MECP2*, *UBE3A*, *NLGN3*, *MET*, *CNTNAP2*, *FOXP2*, *GSTP1*, *PRL*, *PRLR*, and *OXTR*. The light-gated ion channel can comprise a channelrhodopsin. In certain embodiments, the second neuron may express a genetically encoded Ca<sup>++</sup> indicator and the genetically encoded Ca<sup>++</sup> indicator may comprise at least one selected from the list consisting of GCaMP6f, jRCaMP1a, jRGECO1a, and RCaMP2. The first neuron in the cell-culture of the invention can be spatially segregated from and in electrical contact with the second neuron.

In certain aspects, the invention provides a method for measuring cellular membrane potential by maintaining in vitro a neuron that expresses a genetically encoded optical reporter of membrane electrical potential, receiving an optical signal from the reporter, creating an AP waveform using the optical signal, and analyzing the AP waveform. The neuron may also express an optically actuated ion channel, a protein that reports a change in an intracellular calcium level, or both. The method may include exposing the neuron to a compound and detecting a change in the AP waveform and a change in the intracellular calcium level upon exposure of the neuron to the compound. The optical reporter of membrane electrical potential may include a microbial rhodopsin, and specifically may include a QuasAr reporter derived from Archaelhodopsin 3. The optically actuated ion channel may include a channelrhodopsin, and may specifically include the CheRiff protein derived from *Scherffelia dubia*. The protein that reports changes in intracellular calcium levels may include a GCaMP variant or an RCaMP variant.

A key challenge in combining multiple optical modalities (e.g. optical stimulation, voltage imaging, Ca<sup>2+</sup> imaging) is to avoid optical crosstalk between the modalities. The pulses of light used to deliver optical stimulation should not induce fluorescence of the reporters; the

light used to image the reporters should not actuate to light-gated ion channel; and the fluorescence of each reporter should be readily distinguished from the fluorescence of the others. In some aspects of the invention, this separation of modalities is achieved by selecting an actuator and reporters with little spectral overlap. In one embodiment, the actuator is activated by violet light, the Ca<sup>2+</sup> reporter is excited by yellow light and emits orange light, and the voltage reporter is excited by red light and emits near infrared light.

In other aspects of the invention the separation of modalities is achieved by spatially segregating one or more components into different cells or different regions of the dish. In one embodiment, the actuator is activated by blue light, and cells expressing the actuator are localized to one sub-region of the dish. Other cells express a blue light-excited Ca<sup>2+</sup> indicator and a red light-excited voltage indicator. These reporter cells are grown in an adjacent region of the dish, in contact with the actuator-expressing cells. Flashes of blue light targeted to the actuator-expressing cells initiate APs. These APs trigger APs in the reporter-expressing cells via in-plane conduction.

The invention may further comprise genetic constructs for ensuring mutually exclusive gene expression of the light-gated ion channel and the fluorescent reporter protein or proteins. Mutually exclusive gene expression ensures that ionic currents through the light-gated ion channel do not lead to perturbations in the ion concentration in cells whose voltage and Ca<sup>2+</sup> levels are being measured.

In some embodiments, the neuron is stimulated by a second neuron that expresses a light-gated ion channel. The second neuron may also express the optical reporter of membrane electrical potential. The neuron and the second neuron may either or both be hiPSC-derived neuron.

The method may include exposing the neuron to a compound, and detecting an effect of the compound on the AP waveform. The neuron may be exposed to the compound at different concentrations. In certain embodiments, the neuron also expresses a protein that reports a change in an intracellular calcium level, and the method includes determining a change in the intracellular calcium level associated with the exposure of the neuron to the compound. Methods of the invention can include measuring any effect on voltage or neuronal activity. Further, Ca<sup>2+</sup> amplitude and presence of Ca<sup>2+</sup> sparks could be measured.

Aspects of the invention provide a cell with a eukaryotic genome with a genotype associated with autism (e.g., including a mutation in one of the genes disclosed herein) that expresses a voltage-indicating microbial rhodopsin and a light-gated ion channel such as an algal channel rhodopsin as described herein. The cell may be a neuron or other electrically-active cell. The microbial rhodopsin may provide an optical reporter of membrane electrical potential such as QuasAr1 or QuasAr2. Preferably the cell also expresses a protein that reports a change in an intracellular calcium level such as a genetically-encoded calcium indicator (GECI). Exemplary GECIs include GCaMP variants. The GCaMP sensors generally included a GFP, a calcium-binding calmodulin protein (CaM), and a CaM-binding peptide. The protein that reports a change in an intracellular calcium level may be, for example, jRCaMP1a, jRGECO1a, or RCaMP2. In some embodiments, the light-gated ion channel comprises a blue-shifted actuator with an excitation maximum at a wavelength < 450 nm and the protein that reports the change in the intracellular calcium level comprises a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive. The light-gated ion channel can include a blue-shifted actuator such as TsChR or PsChR.

In preferred embodiments, the microbial rhodopsin, the light-gated ion channel, or both are expressed from a gene that is integrated into the metazoan genome. The microbial rhodopsin may be a QuasAr protein with the light-gated ion channel a channelrhodopsin, and the cell may also include a genetically-encoded calcium indicator such as GCaMP6f, jRCaMP1a, jRGECO1a, or RCaMP2. In some embodiments, the light-gated ion channel includes a violet-excited optogenetic actuator and cell further includes a red-shifted genetically-encoded calcium indicator (e.g., the violet-excited optogenetic actuator is a channelrhodopsin and the red-shifted genetically-encoded calcium indicator is jRCaMP1a, jRGECO1a, or RCaMP2).

In some aspects, the invention provides a cell culture for use as an autism model. The cell culture includes a first plurality of animal cells (preferably human) that express an optogenetic actuator and a second plurality of animal cells electrically contiguous with the first plurality of animal cells. The second plurality of animal cells expresses a genetically-encoded optical reporter of activity. The optogenetic actuator may include a channelrhodopsin, the genetically-encoded optical reporter of activity may include a microbial optical reporter of membrane electrical potential, or both. At least some of the first or second plurality of animal cells may



express a genetically encoded Ca<sup>++</sup> indicator. The genetically encoded Ca<sup>++</sup> indicator may be, for example, a GCaMP variant such as GCaMP6f, jRCaMP1a, jRGECO1a, or RCaMP2.

In some embodiments, the first plurality of animal cells are spatially segregated from yet in electrical contact with the second plurality of animal cells. The genetically-encoded optical reporter activity may be a microbial optical reporter of membrane electrical potential and at least some of the second plurality of animal cells may express a genetically encoded Ca<sup>++</sup> indicator.

## *2. Epilepsy*

The invention offers disease models for in-vitro compound screening and study of Dravet syndrome and other genetic based neurological disorders associated with epilepsy by using neurons exhibiting a genotypic or phenotypic characteristic of Dravet syndrome or other forms of epilepsy. Neurons for use in the invention preferably are engineered to express an optical reporter of membrane electrical potential, a light-gated ion channel, and an indicator of intracellular calcium levels. Neuronal cells for use in the invention are obtained from a number of different sources. For example, neuronal cells may be obtained from an individual having a neurological disorder, such as epilepsy. Cells may be obtained from a living donor or from postmortem tissue. Alternatively, neuronal cells may be obtained from a cell bank, such as the American Type Culture Collection (ATCC) or other suitable source. Neuronal cells having an epilepsy or Dravett syndrome phenotype or genotype may also be obtained through differentiation of a pluripotent stem cell using known methods. Pluripotent stem cells may be human induced pluripotent stem cells (hiPSC) derived from somatic cells. Disease genotypes or phenotypes may also be introduced into a neuron through genome editing.

For neurons transformed with optical reporters of membrane potential and light-gated ion channels, action potentials may be optically induced and optically evaluated in vitro. In certain embodiments, neurons may express a protein that reports a change in intracellular calcium level. The ability to optically obtain and observe action potentials and to observe changes in intracellular calcium level allows researchers to monitor neuronal function at various stages of disease progression, to screen therapeutic compounds, and to evaluate various genotypes for links to neurological disorders such as epilepsy. Cells of the invention may be particularly useful in studying action potential generation and propagation and ion channel function during and after

a seizure. Furthermore, transformed neurons derived from patient cells may be used to diagnose neurological disorders such as Dravet syndrome and other forms of epilepsy.

Neuronal cells are transformed with a genetically-encoded optical reporter, such as a transmembrane protein that fluoresces in response to the generation of an action potential. The optical reporter exhibits an optical signature as an action potential propagates through the neuron in response to neural stimulation (which may itself be optically induced). The signature may be observed and compared to a control signature, such as may be observed from a control cell with known properties. Differences between the observed signature and the control signature reveal properties of the cell being studied and can be correlated to disease progression through simulated aging (e.g., extended culture of iPSC derived neurons), verification of disease development of various genotypes, and diagnosis of neurodegenerative diseases such as epilepsy. Neurons of the invention may also be used to screen potential compounds for therapeutic use. Neuronal function (e.g., action potential generation and propagation) may be monitored in neurons with genotypes associated with epilepsy or other neurological disorders both before and after administration of a compound and the effects noted. Compound screening using transformed neurons may be used to evaluate the effectiveness of compounds or other treatments on preventing disease onset or progression or relieving disease symptoms.

Cell models for compound screening or other investigation may include a cell or cells which exhibit a phenotypic characteristic of a disorder such as Dravett syndrome or other forms of epilepsy. Phenotypic characteristics may include, for example, a cell's morphological, biological, biochemical, electrochemical, or physiological properties. Genotypic characteristics can include one or more mutations to an epilepsy-linked gene.

Aspects of the invention use methods of converting stem cells to specific neural subtypes as well as transformation of cells with optogenetic actuators and reporters to enable optical characterization of cells. Images may be captured by microscopy and analyzed digitally to identify optical signatures, such as spike trains, and associate the signatures with specific cells. Disease-affected and healthy patient cells are distinguished according to their signature spike trains.

Using genome-editing, a practitioner can create control cells that are isogenic with test cells but-for specific genetic variants that are suspected to be associated with disease. By these means, where a certain mutation is suspected of being linked to a disease, methods of the

invention are useful to observe the consequences of that mutation within the genetic context of the patient's entire genome. The effects of not just a single identified variant, but of that variant in the context of all other alleles in a genome can be studied. Thus, where a patient is known or suspected of having a disease-associated mutation, methods of the invention reveal potential neurodegenerative effects of that mutation as manifested in that patient's genetic context, giving a clinician a valuable tool for diagnosing or treating a disease. Similarly, where certain cumulative mutations are suspected of causing a neurological disorder, neurons comprising those genotypes may be generated and evaluated.

Methods of the invention comprise cells caused to express an optical reporter of neural activity. In one aspect, methods include observing a signature generated by the optical reporter in response to a stimulation of the cell and comparing the observed signature to a control signature. A difference between the observed signature and the control signature can correspond to a change in neuronal function associated with disease progression or the effect of a therapeutic compound. Observed differences may also be indicative of positive diagnosis of the condition. In embodiments in which the control signature is disease-type, a match between the observed signature and the control signature corresponds to a positive diagnosis of the condition. The control signature may be obtained by obtaining a control cell suspected of not having the condition and observing a control signal generated by a control optical reporter in the control cell. In a certain embodiments, the test or control cells may be derived through genomic editing. A control cell may be modified to include one or more mutations of interest and the derived test cell may then be evaluated for the development of disease. Obtaining the control cell for a diagnostic method may include editing a genome from the subject such that the control cell and the cell are isogenic but for a mutation. Alternatively, the control cells may be derived from one or more individuals known not to have the condition nor to have genetic mutations associated with risk of the condition.

Any suitable condition may be evaluated using the described cells and methods. Cells and methods of the invention are suited to evaluating conditions such as genetic disorders, mental and psychiatric conditions, neurodevelopmental disorders, neurodegenerative diseases and neurological disorders such as epilepsy. Exemplary genetic disorders include Dravet syndrome and other genetic forms of epilepsy, Parkinson's disease, Cockayne syndrome, Down Syndrome, familial dysautonomia, Fragile X Syndrome, Friedreich's ataxia, Gaucher disease, giant axonal

neuropathy, Charcot-Marie-Tooth disease, hereditary spastic paraplegias, Machado-Joseph disease (also called spinocerebellar ataxia type 3), Phelan-McDermid syndrome (PMDS), polyglutamine (polyQ)-encoding CAG repeats, a variety of ataxias including spinocerebellar ataxias, spinal muscular atrophy, and Timothy syndrome. Exemplary neurodegenerative diseases include Alzheimer's disease, frontotemporal lobar degeneration, Huntington's disease, multiple sclerosis, spinal and bulbar muscular atrophy, and amyotrophic lateral sclerosis. Exemplary mental and psychiatric conditions include schizophrenia. Exemplary neurodevelopmental disorders include Rett syndrome. In one exemplary embodiment, the condition is Dravet syndrome or another form of epilepsy with a genetic link. The patient may be known to have a mutation in an epilepsy associated gene such as *SCN1A*, *WVVOX*, *PRRT2*, *KCNCl*, *STX1B*, *CARS2*, *STXB1*, *KCNQ2*, *CDKL5*, *ARX*, *SPTAN*, *BRAT1*, *KCNQ3*, *SCN2A (NAVI.2)*, GABA receptors, *NIPA2*, *CDKL5*, *PCDH19*, and *NAVI.1*.

In some embodiments, the cell is caused to express an optical actuator that initiates an action potential in response to optical stimulation. Stimulation of the cell may include illuminating the optical actuator.

Causing the cell to express the optical reporter may be accomplished by transforming the cell with a vector bearing a genetically encoded fluorescent voltage reporter. The vector may also include a genetically encoded optical voltage actuator, such as a light-gated ion channel.

Observing the signal can include observing a cluster of different cells with a microscope and using a computer to isolate the signal generated by the optical reporter from a plurality of signals from the different cells. Methods of the invention may include using a computer to isolate a signal by performing an independent component analysis or other source-separation algorithm. The computer may be used to identify a spike train associated with the cell using standard spike-finding algorithms that apply steps of filtering the data and then applying a threshold. The computer may also be used to map propagation of electrical spikes within a single cell by means of an analytical algorithm such as a sub-Nyquist action potential timing algorithm. Methods may include observing and analyzing a difference between the observed signal and the expected signal. The difference may manifest as a decreased or increased probability of a voltage spike in response to the stimulation of the cell relative to a control, a change in the propagation of the signal within a cell, a change in the transformation of the signal upon synaptic transmission, or a change in the waveform of the action potential.

In certain aspects, the invention provides compound screening method that includes converting a stem cell to an electrically active cell such as a neuron, incorporating into the electrically active cell an optical activator and an optical reporter of electrical activity, and exposing the cells to at least one compound. The electrically active cell has a phenotype or genotype associated with a form of epilepsy. A signatures generated by the optical reporter in response to an optical stimulation of the cells is obtained and the method includes identifying an effect of the at least one compound on cellular phenotype based on the obtained signature. Preferably, the electrically active cell is a neuron or glial cell. "Electrically active cell" may be taken to refer to cells that transmit a signal or an action potential or participate in neural function and include neurons and glial cells. A plurality of the electrically active cells may be exposed to a plurality of different compounds. Any effect may be identified such as an effect that represents cellular activity (action potential level, energy level, synaptic transmission).

In some embodiments, the stem cell is obtained from a population of diseased cells. The method may include identifying the effectiveness of the compounds treating said diseased cells. Any disease may be modeled such as Cockayne syndrome, Down Syndrome, Dravet syndrome, familial dysautonomia, Fragile X Syndrome, Friedreich's ataxia, Gaucher disease, hereditary spastic paraplegias, Machado-Joseph disease, Phelan-McDermid syndrome (PMDS), polyglutamine (polyQ)-encoding CAG repeats, spinal muscular atrophy, Timothy syndrome, Alzheimer's disease, frontotemporal lobar degeneration, Huntington's disease, multiple sclerosis, Parkinson's disease, spinal and bulbar muscular atrophy, and amyotrophic lateral sclerosis.

The incorporating may include transforming the electrically active cells with a vector that includes a nucleic acid encoding the optical activator and the optical reporter of electrical activity. An optical activator may initiate an action potential in response to the optical stimulation. The cells may be stimulated by illumination. In certain embodiments, each of the electrically active cell is caused to express both the optical activator and the optical reporter of electrical activity.

The effect of the compound may be identified by comparing an electrical signature to a control signature obtained from a control cell. The method may include editing the genome of the electrically active cells to produce control cells such that the control cells and the electrically active cells are isogenic but for a mutation in the electrically active cells.

In some embodiments, the signature is obtained by observing a cluster of cells with a microscope and using a computer to isolate a signal generated by the optical reporter from among a plurality of signals from the cluster of cells. An image can be obtained of a plurality of clusters of cells using the microscope (i.e., all in a single image using a microscope of the invention). The computer isolates the signal by performing an independent component analysis and identifying a spike train produced by one single cell.

In certain aspects, the invention provides a method of treating a condition by obtaining a neuron derived from a somatic cell from a person having the condition or introducing a neuron comprising a genotype associated with the condition through genome-editing; incorporating into the neuron an optical reporter of neural activity; and exposing the neuron to a candidate treatment compound. A signature generated by the optical reporter in response to a stimulation of the cell is used to observe an influence of the compound on a phenotype of the cell and—where the compound is observed to promote a normal-type phenotype—the compound is selected for treating the patient. The condition may be, for example, Cockayne syndrome, Down Syndrome, Dravet syndrome, familial dysautonomia, Fragile X Syndrome, Friedreich's ataxia, Gaucher disease, hereditary spastic paraplegias, Machado-Joseph disease, Phelan-McDermid syndrome (PMDS), polyglutamine (polyQ)-encoding CAG repeats, spinal muscular atrophy, Timothy syndrome, Alzheimer's disease, frontotemporal lobar degeneration, Huntington's disease, multiple sclerosis, Parkinson's disease, spinal and bulbar muscular atrophy, or amyotrophic lateral sclerosis. Methods include causing the cell to express an optical actuator that initiates an action potential in response to optical stimulation. The cell may be stimulated by illuminating the optical actuator. The cell may be obtained by obtaining a somatic cell from a subject and converting the somatic cell into an electrically active cell type. In certain embodiments, the somatic cell is converted to a neuron and may be converted to a specific neural sub-type. The condition may be neuronal disorder such as a neurodegenerative disease. Conversion may include direct lineage conversion or conversion through an iPS intermediary.

Observing the influence may include comparing the signature to a control signature obtained from a control cell. Control cells may be obtained through genome-editing of a test cell or vice-versa such that the control cell and a test cell are isogenic but for a mutation. A neuron may be transformed with a vector bearing a genetically encoded fluorescent voltage reporter, a

genetically encoded optical voltage actuator, a genetically encoded calcium indicator, or some combination thereof.

To observe the signal, a cluster of cells may be observed with a microscope and a computer may isolate the signal generated by the optical reporter from a plurality of signals from the different cells. In some embodiments, the computer isolates the signal by performing an independent component analysis and identifying a spike train associated with the cell.

In certain aspects, the invention provides methods for screening a compound for epilepsy treatment. The methods include the steps of presenting a compound to a sample including a neuron with one or more phenotypic or genotypic characteristics of epilepsy and the neuron expresses an optical reporter of membrane electrical potential and a light-gated ion channel. Methods of the invention include receiving, via a microscopy system, an optical signal generated by the optical reporter in response to optical stimulation of the sample following presentation of said compound, and identifying the compound as a candidate for epilepsy treatment based on the optical signal. In certain embodiments, the phenotypic characteristic may include diminished voltage-gated sodium channel function compared to disease-free neurons and hyperexcitability. The genotypic characteristic may include a mutation in a gene such as *SCN1A*, *WWOX*, *PRRT2*, *KCNK1*, *STX1B*, *CARS2*, *STXB1*, *KCNQ2*, *CDKL5*, *ARX*, *SPTAN*, *BRAT1*, *KCNQ3*, *SCN2A* (*NAV1.2*), GABA receptors, *NIPA2*, *CDKL5*, *PCDH19*, and *NAV1.1*.

In certain embodiments, the microscopy system comprises a digital micromirror device that provides the optical stimulation. The microscopy system may include a charge-coupled device camera configured to capture the optical signal from the neuron. The neuron may also express a protein that reports a change in an intracellular calcium level and may be stimulated by a second neuron that expresses the light-gated ion channel. The second neuron may also express the optical reporter of membrane electrical potential.

In various embodiments, the light-gated ion channel can include an algal channelrhodopsin and the protein that reports changes in intracellular calcium levels may include a GCaMP variant. The protein that reports a change in an intracellular calcium level may be selected from the group consisting of jRCaMP1a, jRGECO1a and RCaMP2. In certain embodiments, the neuron may be an hiPSC-derived neuron. Certain methods of the invention may include the steps of detecting a change in the AP waveform and a change in the intracellular calcium level upon exposure of the neuron to the

compound. Methods may include spatially patterning a plurality of neurons in the cell culture on a substrate. In certain compound screening methods, the compound may be lacosamide or levetiracetam. The identifying step may include comparing the optical signal of the sample to an optical signal obtained from a control cell.

In certain embodiments, the optical reporter of membrane electrical potential comprises a microbial rhodopsin which may include QuasAr1 or QuasAr2. The microbial rhodopsin can be expressed from a gene that is integrated into the neuron. The light-gated ion channel may be a blue-shifted actuator and the blue-shifted actuator may include TsChR or PsChR. In certain embodiments, the light-gated ion channel may include a blue-shifted actuator with an excitation maximum at a wavelength < 450 nm and the protein that reports the change in the intracellular calcium level can include a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive.

In certain aspects, the invention relates to a cell culture including a first neuron that expresses a light-gated ion channel and a second neuron electrically contiguous with the first neuron, wherein the second neuron expresses a genetically-encoded optical reporter of activity. At least one of the first neuron or the second neuron includes one or more phenotypic or genotypic characteristics of epilepsy.

The phenotypic characteristic may include diminished voltage-gated sodium channel function compared to disease-free neurons and hyperexcitability. The genotypic characteristic may include a mutation in a gene such as *SCN1A*, *WVVOX*, *PRRT2*, *KCNC1*, *STX1B*, *CARS2*, *STXB1*, *KCNQ2*, *CDKL5*, *ARX*, *SPTAN*, *BRAT1*, *KCNQ3*, *SCN2A (NAVI.2)*, GABA receptors, *NIPA2*, *CDKL5*, *PCDH19*, and *NAVI.1*. The light-gated ion channel can comprise a channelrhodopsin. In certain embodiments, the second neuron may express a genetically encoded Ca<sup>++</sup> indicator and the genetically encoded Ca<sup>++</sup> indicator may comprise at least one selected from the list consisting of GCaMP6f, jRCaMP1a, jRGECO1a, and RCaMP2. The first neuron in the cell-culture of the invention can be spatially segregated from and in electrical contact with the second neuron.

In certain aspects, the invention provides a method for measuring cellular membrane potential by maintaining in vitro a neuron that expresses a genetically encoded optical reporter of membrane electrical potential, receiving an optical signal from the reporter, creating an AP waveform using the optical signal, and analyzing the AP waveform. The neuron may also



express an optically actuated ion channel, a protein that reports a change in an intracellular calcium level, or both. The method may include exposing the neuron to a compound and detecting a change in the AP waveform and a change in the intracellular calcium level upon exposure of the neuron to the compound. The optical reporter of membrane electrical potential may include a microbial rhodopsin, and specifically may include a QuasAr reporter derived from Archaerhodopsin 3. The optically actuated ion channel may include a channelrhodopsin, and may specifically include the CheRiff protein derived from *Scherffelia dubia*. The protein that reports changes in intracellular calcium levels may include a GCaMP variant or an RCaMP variant.

A key challenge in combining multiple optical modalities (e.g. optical stimulation, voltage imaging, Ca<sup>2+</sup> imaging) is to avoid optical crosstalk between the modalities. The pulses of light used to deliver optical stimulation should not induce fluorescence of the reporters; the light used to image the reporters should not actuate to light-gated ion channel; and the fluorescence of each reporter should be readily distinguished from the fluorescence of the others. In some aspects of the invention, this separation of modalities is achieved by selecting an actuator and reporters with little spectral overlap. In one embodiment, the actuator is activated by violet light, the Ca<sup>2+</sup> reporter is excited by yellow light and emits orange light, and the voltage reporter is excited by red light and emits near infrared light.

In other aspects of the invention the separation of modalities is achieved by spatially segregating one or more components into different cells or different regions of the dish. In one embodiment, the actuator is activated by blue light, and cells expressing the actuator are localized to one sub-region of the dish. Other cells express a blue light-excited Ca<sup>2+</sup> indicator and a red light-excited voltage indicator. These reporter cells are grown in an adjacent region of the dish, in contact with the actuator-expressing cells. Flashes of blue light targeted to the actuator-expressing cells initiate APs. These APs trigger APs in the reporter-expressing cells via in-plane conduction.

The invention may further comprise genetic constructs for ensuring mutually exclusive gene expression of the light-gated ion channel and the fluorescent reporter protein or proteins. Mutually exclusive gene expression ensures that ionic currents through the light-gated ion channel do not lead to perturbations in the ion concentration in cells whose voltage and Ca<sup>2+</sup> levels are being measured.

In some embodiments, the neuron is stimulated by a second neuron that expresses a light-gated ion channel. The second neuron may also express the optical reporter of membrane electrical potential. The neuron and the second neuron may either or both be hiPSC-derived neuron.

The method may include exposing the neuron to a compound, and detecting an effect of the compound on the AP waveform. The neuron may be exposed to the compound at different concentrations. In certain embodiments, the neuron also expresses a protein that reports a change in an intracellular calcium level, and the method includes determining a change in the intracellular calcium level associated with the exposure of the neuron to the compound. Methods of the invention can include measuring any effect on voltage or neuronal activity. Further, Ca<sup>2+</sup> amplitude and presence of Ca<sup>2+</sup> sparks could be measured.

Aspects of the invention provide a cell with a eukaryotic genome that expresses a voltage-indicating microbial rhodopsin and a light-gated ion channel such as an algal channel rhodopsin as described herein. The cell may be a neuron or other electrically-active cell. The microbial rhodopsin may provide an optical reporter of membrane electrical potential such as QuasAr1 or QuasAr2. Preferably the cell also expresses a protein that reports a change in an intracellular calcium level such as a genetically-encoded calcium indicator (GECI). Exemplary GECIs include GCaMP variants. The GCaMP sensors generally included a GFP, a calcium-binding calmodulin protein (CaM), and a CaM-binding peptide. The protein that reports a change in an intracellular calcium level may be, for example, jRCaMP1a, jRGECO1a, or RCaMP2. In some embodiments, the light-gated ion channel comprises a blue-shifted actuator with an excitation maximum at a wavelength < 450 nm and the protein that reports the change in the intracellular calcium level comprises a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive. The light-gated ion channel can include a blue-shifted actuator such as TsChR or PsChR.

In preferred embodiments, the microbial rhodopsin, the light-gated ion channel, or both are expressed from a gene that is integrated into the metazoan genome. The microbial rhodopsin may be a QuasAr protein with the light-gated ion channel a channelrhodopsin, and the cell may also include a genetically-encoded calcium indicator such as GCaMP6f, jRCaMP1a, jRGECO1a, or RCaMP2. In some embodiments, the light-gated ion channel includes a violet-excited optogenetic actuator and cell further includes a red-shifted genetically-encoded calcium indicator

(e.g., the violet-excited optogenetic actuator is a channelrhodopsin and the red-shifted genetically-encoded calcium indicator is jRCaMP1a, jRGECO1a, or RCaMP2.

In some aspects, the invention provides a cell culture as a cellular model of epilepsy for use in drug screening, research, or medicine. The cell culture includes a first plurality of animal cells that express an optogenetic actuator and a second plurality of animal cells electrically contiguous with the first plurality of animal cells. The second plurality of animal cells expresses a genetically-encoded optical reporter of activity. The optogenetic actuator may include a channelrhodopsin, the genetically-encoded optical reporter of activity may include a microbial optical reporter of membrane electrical potential, or both. At least some of the first or second plurality of animal cells may express a genetically encoded Ca<sup>++</sup> indicator. The genetically encoded Ca<sup>++</sup> indicator may be, for example, a GCaMP variant such as GCaMP6f, jRCaMP1a, jRGECO1a, or RCaMP2.

In some embodiments, the first plurality of animal cells are spatially segregated from yet in electrical contact with the second plurality of animal cells. The genetically-encoded optical reporter activity may be a microbial optical reporter of membrane electrical potential and at least some of the second plurality of animal cells may express a genetically encoded Ca<sup>++</sup> indicator.

### *3. Alzheimer's*

The invention offers disease models for in-vitro compound screening and study of Alzheimer's disease or other neuronal disorders by using neurons or neuronal cells exhibiting a genotypic or phenotypic characteristic of Alzheimer's disease. Neurons for use in the invention preferably are engineered to express an optical reporter of membrane electrical potential, a light-gated ion channel, and an indicator of intracellular calcium levels. Neuronal cells for use in the invention are obtained from a number of different sources. For example, neuronal cells may be obtained from an individual having a neuronal disorder, such as Alzheimer's disease. Cells may be obtained from a living donor or from postmortem tissue. Alternatively, neuronal cells may be obtained from a cell bank, such as the American Type Culture Collection (ATCC) or other suitable source. Neuronal cells having an Alzheimer's disease phenotype or genotype may also be obtained through differentiation of a pluripotent stem cell using known methods. Pluripotent stem cells may be human induced pluripotent stem cells (hiPSC) derived from somatic cells. Disease genotypes or phenotypes may also be introduced into a neuron through genome editing.

For neurons transformed with optical reporters of membrane potential and light-gated ion channels, action potentials may be optically induced and optically evaluated *in vitro*. In certain embodiments, neurons may express a protein that reports a change in intracellular calcium level. The ability to optically obtain and observe action potentials and to observe changes in intracellular calcium level allows researchers to monitor neuronal function at various stages of disease progression, to screen therapeutic compounds, and to evaluate various genotypes for links to disorders such as Alzheimer's disease. Transformed motor neurons derived from patient cells may be used to diagnose disorders such as Alzheimer's disease.

Neuronal cells are transformed with a genetically-encoded optical reporter, such as a transmembrane protein that fluoresces in response to the generation of an action potential. The optical reporter exhibits an optical signature as an action potential propagates through the neuron in response to neural stimulation (which may itself be optically induced). The signature may be observed and compared to a control signature, such as may be observed from a control cell with known properties. Differences between the observed signature and the control signature reveal properties of the cell being studied and can be correlated to disease progression through simulated aging (e.g., extended culture of iPSC derived neurons), verification of disease development of various genotypes, and diagnosis of a neuronal disorders such as Alzheimer's disease. Neurons of the invention may also be used to screen potential compounds for therapeutic use. Neuronal function (e.g., action potential generation and propagation) may be monitored in motor neurons with genotypes associated with Alzheimer's disease or other neurological disorders both before and after administration of a compound and the effects noted. Compound screening using transformed neurons may be used to evaluate the effectiveness of compounds or other treatments on preventing disease onset or progression or relieving disease symptoms.

Cell models for compound screening or other investigation may include a cell or cells which exhibit a phenotypic characteristic of a disorder such as Alzheimer's disease. Phenotypic characteristics may include, for example, a cell's morphological, biological, biochemical, electrochemical, or physiological properties. Genotypic characteristics can include one or more mutations to an Alzheimer's disease-linked gene.

Aspects of the invention use methods of converting stem cells to specific neural subtypes as well as transformation of cells with optogenetic actuators and reporters to enable optical

characterization of cells. Images may be captured by microscopy and analyzed digitally to identify optical signatures, such as spike trains, and associate the signatures with specific cells. Disease-affected and healthy patient cells are distinguished according to their signature spike trains.

Using genome-editing, a practitioner can create control cells that are isogenic with test cells but-for specific genetic variants that are suspected to be associated with disease. By these means, where a certain mutation is suspected of being linked to a disease, methods of the invention are useful to observe the consequences of that mutation within the genetic context of the patient's entire genome. The effects of not just a single identified variant, but of that variant in the context of all other alleles in a genome can be studied. Thus, where a patient is known or suspected of having a disease-associated mutation, methods of the invention reveal potential neurodegenerative effects of that mutation as manifested in that patient's genetic context, giving a clinician a valuable tool for diagnosing or treating a disease. Similarly, where certain cumulative mutations are suspected of causing a neurological disorder, neurons comprising those genotypes may be generated and evaluated.

Methods of the invention comprise cells caused to express an optical reporter of neural activity. In one aspect, methods include observing a signature generated by the optical reporter in response to a stimulation of the cell and comparing the observed signature to a control signature. A difference between the observed signature and the control signature can correspond to a change in neuronal function associated with disease progression or the effect of a therapeutic compound. Observed differences may also be indicative of positive diagnosis of the condition. In embodiments in which the control signature is disease-type, a match between the observed signature and the control signature corresponds to a positive diagnosis of the condition. The control signature may be obtained by obtaining a control cell suspected of not having the condition and observing a control signal generated by a control optical reporter in the control cell. In a certain embodiments, the test or control cells may be derived through genomic editing. A control cell may be modified to include one or more mutations of interest and the derived test cell may then be evaluated for the development of disease. Obtaining the control cell for a diagnostic method may include editing a genome from the subject such that the control cell and the cell are isogenic but for a mutation. Alternatively, the control cells may be derived from one

or more individuals known not to have the condition or to have genetic mutations associated with risk of the condition.

Causing the cell to express the optical reporter may be accomplished by transforming the cell with a vector bearing a genetically encoded fluorescent voltage reporter. The vector may also include a genetically encoded optical voltage actuator, such as a light-gated ion channel.

Observing the signal can include observing a cluster of different cells with a microscope and using a computer to isolate the signal generated by the optical reporter from a plurality of signals from the different cells. Methods of the invention may include using a computer to isolate a signal by performing an independent component analysis or other source-separation algorithm. The computer may be used to identify a spike train associated with the cell using standard spike-finding algorithms that apply steps of filtering the data and then applying a threshold. The computer may also be used to map propagation of electrical spikes within a single cell by means of an analytical algorithm such as a sub-Nyquist action potential timing algorithm. Methods may include observing and analyzing a difference between the observed signal and the expected signal. The difference may manifest as a decreased or increased probability of a voltage spike in response to the stimulation of the cell relative to a control, a change in the propagation of the signal within a cell, a change in the transformation of the signal upon synaptic transmission, or a change in the waveform of the action potential.

In certain aspects, the invention provides a method for screening a compound for Alzheimer's treatment. The method includes presenting a compound to a sample comprising a neuronal cell having one or more phenotypic or genotypic characteristics of Alzheimer's. The neuronal cell expresses an optical reporter of membrane electrical potential and a light-gated ion channel. Following presentation of the compound, an optical signal generated by the optical reporter in response to optical stimulation of the sample is received via a microscopy system and the compound is identified as a candidate for Alzheimer's treatment based on the optical signal. The phenotypic characteristic may be, for example, extracellular deposition of amyloid- $\beta$  or hyper-phosphorylated tau protein. The genotypic characteristic may include a mutation in a gene such as amyloid precursor protein (APP), presenilin 1 (PS1), or presenilin 2 (P52). The optical reporter of membrane electrical potential may be a microbial rhodopsin such as QuasAr1 or QuasAr2.

In some embodiments, the microscopy system comprises a digital micromirror device that provides the optical stimulation. The microscopy system may include a charge-coupled device camera configured to capture the optical signal from the neuronal cell.

The neuronal cell may also express a protein that reports a change in an intracellular calcium level. The neuron may be stimulated by a second neuron that expresses the light-gated ion channel. The second neuron may also express the optical reporter of membrane electrical potential. In some embodiments, the light-gated ion channel is an algal channelrhodopsin and the protein that reports changes in intracellular calcium levels is a GCaMP variant. The neural cell may be an hiPSC-derived cell.

The method may include detecting a change in the AP waveform and optionally a change in the intracellular calcium level upon exposure of the neuron to the compound. The method may include spatially patterning a plurality of neurons in the cell culture on a substrate. The optical signal is may be obtained using an optical microscopy system, which system may use a spatial light modulator. Analyzing the optical signal may include detecting an effect of the compound on the AP waveform.

Optionally the cell also expresses a protein that reports a change in an intracellular calcium level such as a genetically-encoded calcium indicator (GECI). Exemplary GECIs include GCaMP variants. The GCaMP sensors generally included a GFP, a calcium-binding calmodulin protein (CaM), and a CaM-binding peptide. The protein that reports a change in an intracellular calcium level may be, for example, jRCaMP1a, jRGECO1a, or RCaMP2. In some embodiments, the light-gated ion channel comprises a blue-shifted actuator with an excitation maximum at a wavelength < 450 nm and the protein that reports the change in the intracellular calcium level comprises a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive. The light-gated ion channel can include a blue-shifted actuator such as TsChR or PsChR.

In preferred embodiments, the microbial rhodopsin, the light-gated ion channel, or both are expressed from a gene that is integrated into the genome. The microbial rhodopsin may be a QuasAr protein with the light-gated ion channel a channelrhodopsin, and the cell may also include a genetically-encoded calcium indicator such as GCaMP6f, jRCaMP1a, jRGECO1a, or RCaMP2. In some embodiments, the light-gated ion channel includes a violet-excited optogenetic actuator and cell further includes a red-shifted genetically-encoded calcium indicator

(e.g., the violet-excited optogenetic actuator is a channelrhodopsin and the red-shifted genetically-encoded calcium indicator is jRCaMP1a, jRGECO1a, or RCaMP2.

In certain embodiments, a plurality of neurons can be exposed to a plurality of different compounds. Identifying an effect of a compound may include comparing an electrical signature to a reference or to a control signature obtained from a control cell. Obtaining a signal generated by the optical reporter may include observing a cluster of cells with a microscope and using a computer to isolate a signal generated by the optical reporter from among a plurality of signals from the cluster of cells. In certain embodiments, the computer isolates the signal by performing an independent component analysis and identifying a spike train produced by one single cell. The microscope is used to obtain an image of a plurality of clusters of cells.

Aspects of the invention provide a cell culture that includes a first neuron that expresses an optogenetic actuator such as a channelrhodopsin and a second neuron electrically contiguous with the first neuron, wherein the second neuron expresses a genetically-encoded optical reporter of activity such as a microbial rhodopsin. At least one of the first neuron or the second neuron has one or more phenotypic or genotypic characteristics of Alzheimer's. A phenotypic characteristic may be, for example, extracellular deposition of amyloid- $\beta$  or hyper-phosphorylated tau protein. A genotypic characteristic may be a mutation (e.g., in a gene such as amyloid precursor protein (APP), presenilin 1 (PS1), or presenilin 2 (P52)). In certain embodiments, the second neuron expresses a genetically encoded Ca<sup>++</sup> indicator such as GCaMP6f, jRCaMP1a, jRGECO1a, or RCaMP2. The first neuron may be spatially segregated from, yet in electrical contact with, the second neuron.

#### *4. Amyotrophic lateral sclerosis*

The invention offers disease models for in-vitro compound screening and study of ALS or other neuronal disorders by using motor neurons exhibiting a genotypic or phenotypic characteristic of ALS. Motor neurons for use in the invention preferably are engineered to express an optical reporter of membrane electrical potential, a light-gated ion channel, and an indicator of intracellular calcium levels. Neuronal cells for use in the invention are obtained from a number of different sources. For example, neuronal cells may be obtained from an individual having a neuronal disorder, such as ALS. Cells may be obtained from a living donor or from postmortem tissue. Alternatively, neuronal cells may be obtained from a cell bank, such as the



American Type Culture Collection (ATCC) or other suitable source. Neuronal cells having an ALS phenotype or genotype may also be obtained through differentiation of a pluripotent stem cell using known methods. Pluripotent stem cells may be human induced pluripotent stem cells (hiPSC) derived from somatic cells. Disease genotypes or phenotypes may also be introduced into a neuron through genome editing.

For neurons transformed with optical reporters of membrane potential and light-gated ion channels, action potentials may be optically induced and optically evaluated *in vitro*. In certain embodiments, neurons may express a protein that reports a change in intracellular calcium level. The ability to optically obtain and observe action potentials and to observe changes in intracellular calcium level allows researchers to monitor neuronal function at various stages of disease progression, to screen therapeutic compounds, and to evaluate various genotypes for links to disorders such as ALS. Transformed motor neurons derived from patient cells may be used to diagnose disorders such as ALS.

Neuronal cells are transformed with a genetically-encoded optical reporter, such as a transmembrane protein that fluoresces in response to the generation of an action potential. The optical reporter exhibits an optical signature as an action potential propagates through the neuron in response to neural stimulation (which may itself be optically induced). The signature may be observed and compared to a control signature, such as may be observed from a control cell with known properties. Differences between the observed signature and the control signature reveal properties of the cell being studied and can be correlated to disease progression through simulated aging (e.g., extended culture of iPSC derived neurons), verification of disease development of various genotypes, and diagnosis of a neuronal disorders such as ALS. Neurons of the invention may also be used to screen potential compounds for therapeutic use. Neuronal function (e.g., action potential generation and propagation) may be monitored in motor neurons with genotypes associated with ALS or other neurological disorders both before and after administration of a compound and the effects noted. Compound screening using transformed neurons may be used to evaluate the effectiveness of compounds or other treatments on preventing disease onset or progression or relieving disease symptoms.

Cell models for compound screening or other investigation may include a cell or cells which exhibit a phenotypic characteristic of a disorder such as ALS. Phenotypic characteristics may include, for example, a cell's morphological, biological, biochemical, electrochemical, or

physiological properties. Genotypic characteristics can include one or more mutations to an ALS-linked gene.

Aspects of the invention use methods of converting stem cells to specific neural subtypes as well as transformation of cells with optogenetic actuators and reporters to enable optical characterization of cells. Images may be captured by microscopy and analyzed digitally to identify optical signatures, such as spike trains, and associate the signatures with specific cells. Disease-affected and healthy patient cells are distinguished according to their signature spike trains.

Using genome-editing, a practitioner can create control cells that are isogenic with test cells but-for specific genetic variants that are suspected to be associated with disease. By these means, where a certain mutation is suspected of being linked to a disease, methods of the invention are useful to observe the consequences of that mutation within the genetic context of the patient's entire genome. The effects of not just a single identified variant, but of that variant in the context of all other alleles in a genome can be studied. Thus, where a patient is known or suspected of having a disease-associated mutation, methods of the invention reveal potential neurodegenerative effects of that mutation as manifested in that patient's genetic context, giving a clinician a valuable tool for diagnosing or treating a disease. Similarly, where certain cumulative mutations are suspected of causing a neurological disorder, neurons comprising those genotypes may be generated and evaluated.

Methods of the invention comprise cells caused to express an optical reporter of neural activity. In one aspect, methods include observing a signature generated by the optical reporter in response to a stimulation of the cell and comparing the observed signature to a control signature. A difference between the observed signature and the control signature can correspond to a change in neuronal function associated with disease progression or the effect of a therapeutic compound. Observed differences may also be indicative of positive diagnosis of the condition. In embodiments in which the control signature is disease-type, a match between the observed signature and the control signature corresponds to a positive diagnosis of the condition. The control signature may be obtained by obtaining a control cell suspected of not having the condition and observing a control signal generated by a control optical reporter in the control cell. In a certain embodiments, the test or control cells may be derived through genomic editing. A control cell may be modified to include one or more mutations of interest and the derived test

cell may then be evaluated for the development of disease. Obtaining the control cell for a diagnostic method may include editing a genome from the subject such that the control cell and the cell are isogenic but for a mutation. Alternatively, the control cells may be derived from one or more individuals known not to have the condition or to have genetic mutations associated with risk of the condition.

Any suitable condition may be evaluated using the described cells and methods. Cells and methods of the invention are suited to evaluating conditions such as genetic disorders, mental and psychiatric conditions, neurodevelopmental disorders and neurodegenerative diseases. Exemplary genetic disorders include ALS, as well as Parkinson's disease, Cockayne syndrome, Down Syndrome, Dravet syndrome, familial dysautonomia, Fragile X Syndrome, Friedreich's ataxia, Gaucher disease, giant axonal neuropathy, Charcot-Marie-Tooth disease, hereditary spastic paraplegias, Machado-Joseph disease (also called spinocerebellar ataxia type 3), Phelan-McDermid syndrome (PMDS), polyglutamine (polyQ)-encoding CAG repeats, a variety of ataxias including spinocerebellar ataxias, spinal muscular atrophy, and Timothy syndrome. Exemplary neurodegenerative diseases include Alzheimer's disease, frontotemporal lobar degeneration, Huntington's disease, multiple sclerosis, spinal and bulbar muscular atrophy, and amyotrophic lateral sclerosis. Exemplary mental and psychiatric conditions include schizophrenia. Exemplary neurodevelopmental disorders include Rett syndrome.

In some embodiments, the cell is caused to express an optical actuator that initiates an action potential in response to optical stimulation. Stimulation of the cell may include illuminating the optical actuator.

Causing the cell to express the optical reporter may be accomplished by transforming the cell with a vector bearing a genetically encoded fluorescent voltage reporter. The vector may also include a genetically encoded optical voltage actuator, such as a light-gated ion channel.

Observing the signal can include observing a cluster of different cells with a microscope and using a computer to isolate the signal generated by the optical reporter from a plurality of signals from the different cells. Methods of the invention may include using a computer to isolate a signal by performing an independent component analysis or other source-separation algorithm. The computer may be used to identify a spike train associated with the cell using standard spike-finding algorithms that apply steps of filtering the data and then applying a threshold. The computer may also be used to map propagation of electrical spikes within a single cell by means

of an analytical algorithm such as a sub-Nyquist action potential timing algorithm. Methods may include observing and analyzing a difference between the observed signal and the expected signal. The difference may manifest as a decreased or increased probability of a voltage spike in response to the stimulation of the cell relative to a control, a change in the propagation of the signal within a cell, a change in the transformation of the signal upon synaptic transmission, or a change in the waveform of the action potential.

In certain aspects, the invention provides compound screening method that includes converting a somatic cell to an electrically active cell, incorporating into the electrically active cell an optical activator and an optical reporter of electrical activity, and exposing the cells to at least one compound. A signature generated by the optical reporter in response to an optical stimulation of the cells is obtained and the method includes identifying an effect of the at least one compound on cellular phenotype based on the obtained signature. Preferably, the electrically active cell is a neuron or glial cell. "Electrically active cell" may be taken to refer to cells that transmit a signal or an action potential or participate in neural function and include neurons and glial cells. A plurality of the electrically active cells may be exposed to a plurality of different compounds. Any effect may be identified such as an effect that represents cellular activity (action potential level, energy level, synaptic transmission).

The converting step may proceed by direct lineage conversion or conversion through an iPS intermediary.

The incorporating may include transforming the electrically active cells with a vector that includes a nucleic acid encoding the optical activator and the optical reporter of electrical activity. An optical activator may initiate an action potential in response to the optical stimulation. The cells may be stimulated by illumination. In certain embodiments, each of the electrically active cell is caused to express both the optical activator and the optical reporter of electrical activity.

The effect of the compound may be identified by comparing an electrical signature to a control signature obtained from a control cell. The method may include editing the genome of the electrically active cells to produce control cells such that the control cells and the electrically active cells are isogenic but for a mutation in the electrically active cells.

In some embodiments, the signature is obtained by observing a cluster of cells with a microscope and using a computer to isolate a signal generated by the optical reporter from

among a plurality of signals from the cluster of cells. An image can be obtained of a plurality of clusters of cells using the microscope (i.e., all in a single image using a microscope of the invention). The computer isolates the signal by performing an independent component analysis and identifying a spike train produced by one single cell.

In certain aspects, the invention provides a method of treating a condition by obtaining a neuron derived from a somatic cell from a person having the condition or introducing a neuron comprising a genotype associated with the condition through genome-editing; incorporating into the neuron an optical reporter of neural activity; and exposing the neuron to a candidate treatment compound. A signature generated by the optical reporter in response to a stimulation of the cell is used to observe an influence of the compound on a phenotype of the cell and—where the compound is observed to promote a normal-type phenotype—the compound is selected for treating the patient. The condition may be, for example, ALS. Methods include causing the cell to express an optical actuator that initiates an action potential in response to optical stimulation. The cell may be stimulated by illuminating the optical actuator. The cell may be obtained by obtaining a somatic cell from a subject and converting the somatic cell into an electrically active cell type. In certain embodiments, the somatic cell is converted to a neuron and may be converted to a specific neural sub-type. The condition may be neuronal disorder such as a neurodegenerative disease. Conversion may include direct lineage conversion or conversion through an iPS intermediary.

Observing the influence may include comparing the signature to a control signature obtained from a control cell. Control cells may be obtained through genome-editing of a test cell or vice-versa such that the control cell and a test cell are isogenic but for a mutation. A neuron may be transformed with a vector bearing a genetically encoded fluorescent voltage reporter, a genetically encoded optical voltage actuator, a genetically encoded calcium indicator, or some combination thereof.

To observe the signal, a cluster of cells may be observed with a microscope and a computer may isolate the signal generated by the optical reporter from a plurality of signals from the different cells. In some embodiments, the computer isolates the signal by performing an independent component analysis and identifying a spike train associated with the cell.

In certain aspects, the invention provides methods for screening a compound for ALS treatment. The methods include the steps of presenting a compound to a sample including a

motor neuron with one or more phenotypic or genotypic characteristics of ALS and the motor neuron expresses an optical reporter of membrane electrical potential and a light-gated ion channel. Methods of the invention include receiving, via a microscopy system, an optical signal generated by the optical reporter in response to optical stimulation of the sample following presentation of said compound, and identifying the compound as a candidate for ALS treatment based on the optical signal. In certain embodiments, the phenotypic characteristic may include Bunina bodies, Lewy body-like inclusions (LBIs), Skein-like inclusions (SLIs) inclusions, signs of degeneration, short or absent neurites, vacuolated soma, a fragmented nucleus and cleaved caspase-3. The genotypic characteristic may include a mutation in a gene such as *C9orf72*, *SOD1*, *TARDBP*, *FUS*, *UBQL2*, *ALS2*, or *SETX*.

In certain embodiments, the microscopy system comprises a digital micromirror device that provides the optical stimulation. The microscopy system may include a charge-coupled device camera configured to capture the optical signal from the motor neuron. The motor neuron may also express a protein that reports a change in an intracellular calcium level and may be stimulated by a second neuron that expresses the light-gated ion channel. The second neuron may also express the optical reporter of membrane electrical potential.

In various embodiments, the light-gated ion channel can include an algal channelrhodopsin and the protein that reports changes in intracellular calcium levels may include a GCaMP variant. The protein that reports a change in an intracellular calcium level may be selected from the group consisting of jRCaMP1a, jRGECO1a and RCaMP2. In certain embodiments, the motor neuron may be an hiPSC-derived motor neuron.

Certain methods of the invention may include the steps of detecting a change in the AP waveform and a change in the intracellular calcium level upon exposure of the motor neuron to the compound. Methods may include spatially patterning a plurality of neurons in the cell culture on a substrate. The identifying step may include comparing the optical signal of the sample to an optical signal obtained from a control cell.

In certain embodiments, the optical reporter of membrane electrical potential comprises a microbial rhodopsin which may include QuasAr1 or QuasAr2. The microbial rhodopsin can be expressed from a gene that is integrated into the motor neuron. The light-gated ion channel may be a blue-shifted actuator and the blue-shifted actuator may include TsChR or PsChR. In certain embodiments, the light-gated ion channel may include a blue-shifted actuator with an excitation

maximum at a wavelength < 450 nm and the protein that reports the change in the intracellular calcium level can include a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive.

In certain aspects, the invention relates to a cell culture including a first motor neuron that expresses a light-gated ion channel and a second motor neuron electrically contiguous with the first motor neuron, wherein the second motor neuron expresses a genetically-encoded optical reporter of activity. At least one of the first motor neuron or the second motor neuron includes one or more phenotypic or genotypic characteristics of ALS.

The phenotypic characteristic may include Bunina bodies, Lewy body-like inclusions (LBIs), Skein-like inclusions (SLIs) inclusions, signs of degeneration, short or absent neurites, vacuolated soma, a fragmented nucleus and cleaved caspase-3. The genotypic characteristic may include a *C9orf72*, *SOD1*, *TARDBP*, *FUS*, *UBQL2*, *ALS2*, and/or *SETX* gene mutation. The light-gated ion channel can comprise a channelrhodopsin. In certain embodiments, the second motor neuron may express a genetically encoded Ca<sup>++</sup> indicator and the genetically encoded Ca<sup>++</sup> indicator may comprise at least one selected from the list consisting of GCaMP6f, jRCaMP1a, jRGECO1a, and RCaMP2. The first motor neuron in the cell-culture of the invention can be spatially segregated from and in electrical contact with the second motor neuron.

In certain aspects, the invention provides a method for measuring cellular membrane potential by maintaining in vitro a neuron that expresses a genetically encoded optical reporter of membrane electrical potential, receiving an optical signal from the reporter, creating an AP waveform using the optical signal, and analyzing the AP waveform. The neuron may also express an optically actuated ion channel, a protein that reports a change in an intracellular calcium level, or both. The method may include exposing the neuron to a compound and detecting a change in the AP waveform and a change in the intracellular calcium level upon exposure of the neuron to the compound. The optical reporter of membrane electrical potential may include a microbial rhodopsin, and specifically may include a QuasAr reporter derived from Archaeorhodopsin 3. The optically actuated ion channel may include a channelrhodopsin, and may specifically include the CheRiff protein derived from *Scherffelia dubia*. The protein that reports changes in intracellular calcium levels may include a GCaMP variant or an RCaMP variant.

A key challenge in combining multiple optical modalities (e.g. optical stimulation, voltage imaging, Ca<sup>2+</sup> imaging) is to avoid optical crosstalk between the modalities. The pulses of light used to deliver optical stimulation should not induce fluorescence of the reporters; the light used to image the reporters should not actuate to light-gated ion channel; and the fluorescence of each reporter should be readily distinguished from the fluorescence of the others. In some aspects of the invention, this separation of modalities is achieved by selecting an actuator and reporters with little spectral overlap. In one embodiment, the actuator is activated by violet light, the Ca<sup>2+</sup> reporter is excited by yellow light and emits orange light, and the voltage reporter is excited by red light and emits near infrared light.

In other aspects of the invention the separation of modalities is achieved by spatially segregating one or more components into different cells or different regions of the dish. In one embodiment, the actuator is activated by blue light, and cells expressing the actuator are localized to one sub-region of the dish. Other cells express a blue light-excited Ca<sup>2+</sup> indicator and a red light-excited voltage indicator. These reporter cells are grown in an adjacent region of the dish, in contact with the actuator-expressing cells. Flashes of blue light targeted to the actuator-expressing cells initiate APs. These APs trigger APs in the reporter-expressing cells via in-plane conduction.

The invention may further comprise genetic constructs for ensuring mutually exclusive gene expression of the light-gated ion channel and the fluorescent reporter protein or proteins. Mutually exclusive gene expression ensures that ionic currents through the light-gated ion channel do not lead to perturbations in the ion concentration in cells whose voltage and Ca<sup>2+</sup> levels are being measured.

In some embodiments, the neuron is stimulated by a second neuron that expresses a light-gated ion channel. The second neuron may also express the optical reporter of membrane electrical potential. The neuron and the second neuron may either or both be hiPSC-derived neuron.

The method may include exposing the neuron to a compound, and detecting an effect of the compound on the AP waveform. The neuron may be exposed to the compound at different concentrations. In certain embodiments, the neuron also expresses a protein that reports a change in an intracellular calcium level, and the method includes determining a change in the intracellular calcium level associated with the exposure of the neuron to the compound. Methods



of the invention can include measuring any effect on voltage or neuronal activity. Further, Ca<sup>2+</sup> amplitude and presence of Ca<sup>2+</sup> sparks could be measured.

Aspects of the invention provide a cell with a eukaryotic genome that expresses a voltage-indicating microbial rhodopsin and a light-gated ion channel such as an algal channel rhodopsin as described herein. The cell may be a neuron or other electrically-active cell. The microbial rhodopsin may provide an optical reporter of membrane electrical potential such as QuasAr1 or QuasAr2. Preferably the cell also expresses a protein that reports a change in an intracellular calcium level such as a genetically-encoded calcium indicator (GECI). Exemplary GECIs include GCaMP variants. The GCaMP sensors generally included a GFP, a calcium-binding calmodulin protein (CaM), and a CaM-binding peptide. The protein that reports a change in an intracellular calcium level may be, for example, jRCaMP1a, jRGECO1a, or RCaMP2. In some embodiments, the light-gated ion channel comprises a blue-shifted actuator with an excitation maximum at a wavelength < 450 nm and the protein that reports the change in the intracellular calcium level comprises a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive. The light-gated ion channel can include a blue-shifted actuator such as TsChR or PsChR.

In preferred embodiments, the microbial rhodopsin, the light-gated ion channel, or both are expressed from a gene that is integrated into the metazoan genome. The microbial rhodopsin may be a QuasAr protein with the light-gated ion channel a channelrhodopsin, and the cell may also include a genetically-encoded calcium indicator such as GCaMP6f, jRCaMP1a, jRGECO1a, or RCaMP2. In some embodiments, the light-gated ion channel includes a violet-excited optogenetic actuator and cell further includes a red-shifted genetically-encoded calcium indicator (e.g., the violet-excited optogenetic actuator is a channelrhodopsin and the red-shifted genetically-encoded calcium indicator is jRCaMP1a, jRGECO1a, or RCaMP2).

In some aspects, the invention provides a cell culture. The cell culture includes a first plurality of animal cells that express an optogenetic actuator and a second plurality of animal cells electrically contiguous with the first plurality of animal cells. The second plurality of animal cells expresses a genetically-encoded optical reporter of activity. The optogenetic actuator may include a channelrhodopsin, the genetically-encoded optical reporter of activity may include a microbial optical reporter of membrane electrical potential, or both. At least some of the first or second plurality of animal cells may express a genetically encoded Ca<sup>++</sup> indicator.

The genetically encoded Ca<sup>++</sup> indicator may be, for example, a GCaMP variant such as GCaMP6f, jRCaMP1a, jRGECO1a, or RCaMP2.

In some embodiments, the first plurality of animal cells are spatially segregated from yet in electrical contact with the second plurality of animal cells. The genetically-encoded optical reporter activity may be a microbial optical reporter of membrane electrical potential and at least some of the second plurality of animal cells may express a genetically encoded Ca<sup>++</sup> indicator.

### *5. Tuberous sclerosis*

The invention offers disease models for in-vitro compound screening and study of tuberous sclerosis or other neuronal disorders by using neurons exhibiting a genotypic or phenotypic characteristic of tuberous sclerosis. Neurons for use in the invention preferably are engineered to express an optical reporter of membrane electrical potential, a light-gated ion channel, and an indicator of intracellular calcium levels. Neuronal cells for use in the invention are obtained from a number of different sources. For example, neuronal cells may be obtained from an individual having a neuronal disorder, such as tuberous sclerosis. Cells may be obtained from a living donor or from postmortem tissue. Alternatively, neuronal cells may be obtained from a cell bank, such as the American Type Culture Collection (ATCC) or other suitable source. Neuronal cells having a tuberous sclerosis phenotype or genotype may also be obtained through differentiation of a pluripotent stem cell using known methods. Pluripotent stem cells may be human induced pluripotent stem cells (hiPSC) derived from somatic cells. Disease genotypes or phenotypes may also be introduced into a neuron through genome editing.

For neurons transformed with optical reporters of membrane potential and light-gated ion channels, action potentials may be optically induced and optically evaluated in vitro. In certain embodiments, neurons may express a protein that reports a change in intracellular calcium level. The ability to optically obtain and observe action potentials and to observe changes in intracellular calcium level allows researchers to monitor neuronal function at various stages of disease progression, to screen therapeutic compounds, and to evaluate various genotypes for links to disorders such as tuberous sclerosis. Transformed neurons derived from patient cells may be used to diagnose disorders such as tuberous sclerosis.

Neuronal cells are transformed with a genetically-encoded optical reporter, such as a transmembrane protein that fluoresces in response to the generation of an action potential. The

optical reporter exhibits an optical signature as an action potential propagates through the neuron in response to neural stimulation (which may itself be optically induced). The signature may be observed and compared to a control signature, such as may be observed from a control cell with known properties. Differences between the observed signature and the control signature reveal properties of the cell being studied and can be correlated to disease progression through simulated aging (e.g., extended culture of iPSC derived neurons), verification of disease development of various genotypes, and diagnosis of neuronal disorders such as tuberous sclerosis. Neurons of the invention may also be used to screen potential compounds for therapeutic use. Neuronal function (e.g., action potential generation and propagation) may be monitored in neurons with genotypes associated with tuberous sclerosis or other neurological disorders both before and after administration of a compound and the effects noted. Compound screening using transformed neurons may be used to evaluate the effectiveness of compounds or other treatments on preventing disease onset or progression or relieving disease symptoms.

Cell models for compound screening or other investigation may include a cell or cells which exhibit a phenotypic characteristic of a disorder such as tuberous sclerosis. Phenotypic characteristics may include, for example, a cell's morphological, biological, biochemical, electrochemical, or physiological properties. Genotypic characteristics can include one or more mutations to a tuberous sclerosis-linked gene.

Aspects of the invention use methods of converting stem cells to specific neural subtypes as well as transformation of cells with optogenetic actuators and reporters to enable optical characterization of cells. Images may be captured by microscopy and analyzed digitally to identify optical signatures, such as spike trains, and associate the signatures with specific cells. Disease-affected and healthy patient cells are distinguished according to their signature spike trains.

Using genome-editing, a practitioner can create control cells that are isogenic with test cells but-for specific genetic variants that are suspected to be associated with disease. By these means, where a certain mutation is suspected of being linked to a disease, methods of the invention are useful to observe the consequences of that mutation within the genetic context of the patient's entire genome. The effects of not just a single identified variant, but of that variant in the context of all other alleles in a genome can be studied. Thus, where a patient is known or suspected of having a disease-associated mutation, methods of the invention reveal potential

neurodegenerative effects of that mutation as manifested in that patient's genetic context, giving a clinician a valuable tool for diagnosing or treating a disease. Similarly, where certain cumulative mutations are suspected of causing a neurological disorder, neurons comprising those genotypes may be generated and evaluated.

Aspects of the invention provide disease models for studying neuronal conditions such as tuberous sclerosis as well as methods useful in diagnosing and evaluating conditions in individual patients. The condition may be any disease or disorder that involves or affects neurons including developmental and genetic disorders and neurodegenerative diseases. A cell or cells may be obtained from a person suspected of having the condition. For example, the cell may be obtained as a somatic cell (e.g., by dermal biopsy) from a patient. The cell is preferably converted into a neuron or a specific neural sub-type such as a motor neuron.

Methods of the invention comprise cells caused to express an optical reporter of neural activity. In one aspect, methods include observing a signature generated by the optical reporter in response to a stimulation of the cell and comparing the observed signature to a control signature. A difference between the observed signature and the control signature can correspond to a change in neuronal function associated with disease progression or the effect of a therapeutic compound. Observed differences may also be indicative of positive diagnosis of the condition. In embodiments in which the control signature is disease-type, a match between the observed signature and the control signature corresponds to a positive diagnosis of the condition. The control signature may be obtained by obtaining a control cell suspected of not having the condition and observing a control signal generated by a control optical reporter in the control cell. In a certain embodiments, the test or control cells may be derived through genomic editing. A control cell may be modified to include one or more mutations of interest and the derived test cell may then be evaluated for the development of disease. Obtaining the control cell for a diagnostic method may include editing a genome from the subject such that the control cell and the cell are isogenic but for a mutation. Alternatively, the control cells may be derived from one or more individuals known not to have the condition or to have genetic mutations associated with risk of the condition.

Any suitable condition may be evaluated using the described cells and methods. Cells and methods of the invention are suited to evaluating conditions such as genetic disorders, mental and psychiatric conditions, neurodevelopmental disorders, neurodegenerative diseases and

neurological disorders. Exemplary genetic disorders include tuberous sclerosis, Dravet syndrome and other genetic forms of epilepsy, Parkinson's disease, Cockayne syndrome, Down Syndrome, familial dysautonomia, Fragile X Syndrome, Friedreich's ataxia, Gaucher disease, giant axonal neuropathy, Charcot-Marie-Tooth disease, hereditary spastic paraplegias, Machado-Joseph disease (also called spinocerebellar ataxia type 3), Phelan-McDermid syndrome (PMDS), polyglutamine (polyQ)-encoding CAG repeats, a variety of ataxias including spinocerebellar ataxias, spinal muscular atrophy, and Timothy syndrome. Exemplary neurodegenerative diseases include Alzheimer's disease, frontotemporal lobar degeneration, Huntington's disease, multiple sclerosis, spinal and bulbar muscular atrophy, and amyotrophic lateral sclerosis. Exemplary mental and psychiatric conditions include schizophrenia. Exemplary neurodevelopmental disorders include Rett syndrome. In one exemplary embodiment, the condition is tuberous sclerosis. The patient may be known to have a mutation in a tuberous sclerosis-associated gene such as TSC1 or TSC2.

In some embodiments, the cell is caused to express an optical actuator that initiates an action potential in response to optical stimulation. Stimulation of the cell may include illuminating the optical actuator.

Causing the cell to express the optical reporter may be accomplished by transforming the cell with a vector bearing a genetically encoded fluorescent voltage reporter. The vector may also include a genetically encoded optical voltage actuator, such as a light-gated ion channel.

Observing the signal can include observing a cluster of different cells with a microscope and using a computer to isolate the signal generated by the optical reporter from a plurality of signals from the different cells. Methods of the invention may include using a computer to isolate a signal by performing an independent component analysis or other source-separation algorithm. The computer may be used to identify a spike train associated with the cell using standard spike-finding algorithms that apply steps of filtering the data and then applying a threshold. The computer may also be used to map propagation of electrical spikes within a single cell by means of an analytical algorithm such as a sub-Nyquist action potential timing algorithm. Methods may include observing and analyzing a difference between the observed signal and the expected signal. The difference may manifest as a decreased or increased probability of a voltage spike in response to the stimulation of the cell relative to a control, a change in the propagation of the

signal within a cell, a change in the transformation of the signal upon synaptic transmission, or a change in the waveform of the action potential.

In certain aspects, the invention provides compound screening method that includes converting a somatic cell to an electrically active cell, incorporating into the electrically active cell an optical activator and an optical reporter of electrical activity, and exposing the cells to at least one compound. A signatures generated by the optical reporter in response to an optical stimulation of the cells is obtained and the method includes identifying an effect of the at least one compound on cellular phenotype based on the obtained signature. Preferably, the electrically active cell is a neuron or glial cell. "Electrically active cell" may be taken to refer to cells that transmit a signal or an action potential or participate in neural function and include neurons and glial cells. A plurality of the electrically active cells may be exposed to a plurality of different compounds. Any effect may be identified such as an effect that represents cellular activity (action potential level, energy level, synaptic transmission).

In some embodiments, the somatic cell is obtained from a population of diseased cells. The method may include identifying the effectiveness of the compounds treating said diseased cells. Any disease may be modeled such as Cockayne syndrome, Down Syndrome, Dravet syndrome, familial dysautonomia, Fragile X Syndrome, Friedreich's ataxia, Gaucher disease, hereditary spastic paraplegias, Machado-Joseph disease, Phelan-McDermid syndrome (PMDS), polyglutamine (polyQ)-encoding CAG repeats, spinal muscular atrophy, Timothy syndrome, Alzheimer's disease, frontotemporal lobar degeneration, Huntington's disease, multiple sclerosis, Parkinson's disease, spinal and bulbar muscular atrophy, and amyotrophic lateral sclerosis.

The converting step may proceed by direct lineage conversion or conversion through an iPS intermediary.

The incorporating may include transforming the electrically active cells with a vector that includes a nucleic acid encoding the optical activator and the optical reporter of electrical activity. An optical activator may initiate an action potential in response to the optical stimulation. The cells may be stimulated by illumination. In certain embodiments, each of the electrically active cell is caused to express both the optical activator and the optical reporter of electrical activity.

The effect of the compound may be identified by comparing an electrical signature to a control signature obtained from a control cell. The method may include editing the genome of

the electrically active cells to produce control cells such that the control cells and the electrically active cells are isogenic but for a mutation in the electrically active cells.

In some embodiments, the signature is obtained by observing a cluster of cells with a microscope and using a computer to isolate a signal generated by the optical reporter from among a plurality of signals from the cluster of cells. An image can be obtained of a plurality of clusters of cells using the microscope (i.e., all in a single image using a microscope of the invention). The computer isolates the signal by performing an independent component analysis and identifying a spike train produced by one single cell.

In certain aspects, the invention provides a method of treating a condition by obtaining a neuron derived from a somatic cell from a person having the condition or introducing a neuron comprising a genotype associated with the condition through genome-editing; incorporating into the neuron an optical reporter of neural activity; and exposing the neuron to a candidate treatment compound. A signature generated by the optical reporter in response to a stimulation of the cell is used to observe an influence of the compound on a phenotype of the cell and—where the compound is observed to promote a normal-type phenotype—the compound is selected for treating the patient. The condition may be, for example, tuberous sclerosis, Cockayne syndrome, Down Syndrome, Dravet syndrome, familial dysautonomia, Fragile X Syndrome, Friedreich's ataxia, Gaucher disease, hereditary spastic paraplegias, Machado-Joseph disease, Phelan-McDermid syndrome (PMDS), polyglutamine (polyQ)-encoding CAG repeats, spinal muscular atrophy, Timothy syndrome, Alzheimer's disease, frontotemporal lobar degeneration, Huntington's disease, multiple sclerosis, Parkinson's disease, spinal and bulbar muscular atrophy, or amyotrophic lateral sclerosis. Methods include causing the cell to express an optical actuator that initiates an action potential in response to optical stimulation. The cell may be stimulated by illuminating the optical actuator. The cell may be obtained by obtaining a somatic cell from a subject and converting the somatic cell into an electrically active cell type. In certain embodiments, the somatic cell is converted to a neuron and may be converted to a specific neural sub-type. The condition may be neuronal disorder such as a neurodegenerative disease. Conversion may include direct lineage conversion or conversion through an iPS intermediary.

Observing the influence may include comparing the signature to a control signature obtained from a control cell. Control cells may be obtained through genome-editing of a test cell or vice-versa such that the control cell and a test cell are isogenic but for a mutation. A neuron

may be transformed with a vector bearing a genetically encoded fluorescent voltage reporter, a genetically encoded optical voltage actuator, a genetically encoded calcium indicator, or some combination thereof.

To observe the signal, a cluster of cells may be observed with a microscope and a computer may isolate the signal generated by the optical reporter from a plurality of signals from the different cells. In some embodiments, the computer isolates the signal by performing an independent component analysis and identifying a spike train associated with the cell.

In certain aspects, the invention provides methods for screening a compound for tuberous sclerosis treatment. The methods include the steps of presenting a compound to a sample including a neuron with one or more phenotypic or genotypic characteristics of tuberous sclerosis and the neuron expresses an optical reporter of membrane electrical potential and a light-gated ion channel. Methods of the invention include receiving, via a microscopy system, an optical signal generated by the optical reporter in response to optical stimulation of the sample following presentation of said compound, and identifying the compound as a candidate for tuberous sclerosis treatment based on the optical signal. In certain embodiments, the phenotypic characteristic may include enlarged size compared to a disease-free neuron, increased phospho-S6 expression, prominent lysosomes, more microfilaments and microtubules compared to a disease-free neuron, fewer lipofuscin granules compared to a disease-free neuron, and immunoreactivity for TSC2 gene product, tuberin, vimentin or glial fibrillary acidic protein. The genotypic characteristic may include a mutation in a gene such as *TSC1* or *TSC2*.

In certain embodiments, the microscopy system comprises a digital micromirror device that provides the optical stimulation. The microscopy system may include a charge-coupled device camera configured to capture the optical signal from the neuron. The neuron may also express a protein that reports a change in an intracellular calcium level and may be stimulated by a second neuron that expresses the light-gated ion channel. The second neuron may also express the optical reporter of membrane electrical potential.

In various embodiments, the light-gated ion channel can include an algal channelrhodopsin and the protein that reports changes in intracellular calcium levels may include a GCaMP variant. The protein that reports a change in an intracellular calcium level may be selected from the group consisting of jRCaMP1a, jRGECO1a and RCaMP2. In certain embodiments, the neuron may be an hiPSC-derived neuron.



Certain methods of the invention may include the steps of detecting a change in the AP waveform and a change in the intracellular calcium level upon exposure of the neuron to the compound. Methods may include spatially patterning a plurality of neurons in the cell culture on a substrate. The identifying step may include comparing the optical signal of the sample to an optical signal obtained from a control cell.

In certain embodiments, the optical reporter of membrane electrical potential comprises a microbial rhodopsin which may include QuasAr1 or QuasAr2. The microbial rhodopsin can be expressed from a gene that is integrated into the neuron. The light-gated ion channel may be a blue-shifted actuator and the blue-shifted actuator may include TsChR or PsChR. In certain embodiments, the light-gated ion channel may include a blue-shifted actuator with an excitation maximum at a wavelength < 450 nm and the protein that reports the change in the intracellular calcium level can include a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive.

In certain aspects, the invention relates to a cell culture including a first neuron that expresses a light-gated ion channel and a second neuron electrically contiguous with the first neuron, wherein the second neuron expresses a genetically-encoded optical reporter of activity. At least one of the first neuron or the second neuron includes one or more phenotypic or genotypic characteristics of tuberous sclerosis.

The phenotypic characteristic may include enlarged size compared to a disease-free neuron, increased phospho-S6 expression, prominent lysosomes, more microfilaments and microtubules compared to a disease-free neuron, fewer lipofuscin granules compared to a disease-free neuron, and immunoreactivity for TSC2 gene product, tuberin, vimentin or glial fibrillary acidic protein. The genotypic characteristic may include a mutation in a gene such as *TSC1* or *TSC2*. The light-gated ion channel can comprise a channelrhodopsin. In certain embodiments, the second neuron may express a genetically encoded Ca<sup>++</sup> indicator and the genetically encoded Ca<sup>++</sup> indicator may comprise at least one selected from the list consisting of GCaMP6f, jRCaMP1a, jRGECO1a, and RCaMP2. The first neuron in the cell-culture of the invention can be spatially segregated from and in electrical contact with the second neuron.

In certain aspects, the invention provides a method for measuring cellular membrane potential by maintaining in vitro a neuron that expresses a genetically encoded optical reporter of membrane electrical potential, receiving an optical signal from the reporter, creating an AP

waveform using the optical signal, and analyzing the AP waveform. The neuron may also express an optically actuated ion channel, a protein that reports a change in an intracellular calcium level, or both. The method may include exposing the neuron to a compound and detecting a change in the AP waveform and a change in the intracellular calcium level upon exposure of the neuron to the compound. The optical reporter of membrane electrical potential may include a microbial rhodopsin, and specifically may include a QuasAr reporter derived from Archaelhodopsin 3. The optically actuated ion channel may include a channelrhodopsin, and may specifically include the CheRiff protein derived from *Scherffelia dubia*. The protein that reports changes in intracellular calcium levels may include a GCaMP variant or an RCaMP variant.

A key challenge in combining multiple optical modalities (e.g. optical stimulation, voltage imaging, Ca<sup>2+</sup> imaging) is to avoid optical crosstalk between the modalities. The pulses of light used to deliver optical stimulation should not induce fluorescence of the reporters; the light used to image the reporters should not actuate to light-gated ion channel; and the fluorescence of each reporter should be readily distinguished from the fluorescence of the others. In some aspects of the invention, this separation of modalities is achieved by selecting an actuator and reporters with little spectral overlap. In one embodiment, the actuator is activated by violet light, the Ca<sup>2+</sup> reporter is excited by yellow light and emits orange light, and the voltage reporter is excited by red light and emits near infrared light.

In other aspects of the invention the separation of modalities is achieved by spatially segregating one or more components into different cells or different regions of the dish. In one embodiment, the actuator is activated by blue light, and cells expressing the actuator are localized to one sub-region of the dish. Other cells express a blue light-excited Ca<sup>2+</sup> indicator and a red light-excited voltage indicator. These reporter cells are grown in an adjacent region of the dish, in contact with the actuator-expressing cells. Flashes of blue light targeted to the actuator-expressing cells initiate APs. These APs trigger APs in the reporter-expressing cells via in-plane conduction.

The invention may further comprise genetic constructs for ensuring mutually exclusive gene expression of the light-gated ion channel and the fluorescent reporter protein or proteins. Mutually exclusive gene expression ensures that ionic currents through the light-gated ion

channel do not lead to perturbations in the ion concentration in cells whose voltage and Ca<sup>2+</sup> levels are being measured.

In some embodiments, the neuron is stimulated by a second neuron that expresses a light-gated ion channel. The second neuron may also express the optical reporter of membrane electrical potential. The neuron and the second neuron may either or both be hiPSC-derived neuron.

The method may include exposing the neuron to a compound, and detecting an effect of the compound on the AP waveform. The neuron may be exposed to the compound at different concentrations. In certain embodiments, the neuron also expresses a protein that reports a change in an intracellular calcium level, and the method includes determining a change in the intracellular calcium level associated with the exposure of the neuron to the compound. Methods of the invention can include measuring any effect on voltage or neuronal activity. Further, Ca<sup>2+</sup> amplitude and presence of Ca<sup>2+</sup> sparks could be measured.

Aspects of the invention provide a cell with a eukaryotic genome that expresses a voltage-indicating microbial rhodopsin and a light-gated ion channel such as an algal channel rhodopsin as described herein. The cell may be a neuron or other electrically-active cell. The microbial rhodopsin may provide an optical reporter of membrane electrical potential such as QuasAr1 or QuasAr2. Preferably the cell also expresses a protein that reports a change in an intracellular calcium level such as a genetically-encoded calcium indicator (GECI). Exemplary GECIs include GCaMP variants. The GCaMP sensors generally included a GFP, a calcium-binding calmodulin protein (CaM), and a CaM-binding peptide. The protein that reports a change in an intracellular calcium level may be, for example, jRCaMP1a, jRGECO1a, or RCaMP2. In some embodiments, the light-gated ion channel comprises a blue-shifted actuator with an excitation maximum at a wavelength < 450 nm and the protein that reports the change in the intracellular calcium level comprises a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive. The light-gated ion channel can include a blue-shifted actuator such as TsChR or PsChR.

In preferred embodiments, the microbial rhodopsin, the light-gated ion channel, or both are expressed from a gene that is integrated into the metazoan genome. The microbial rhodopsin may be a QuasAr protein with the light-gated ion channel a channelrhodopsin, and the cell may also include a genetically-encoded calcium indicator such as GCaMP6f, jRCaMP1a, jRGECO1a,

or RCaMP2. In some embodiments, the light-gated ion channel includes a violet-excited optogenetic actuator and cell further includes a red-shifted genetically-encoded calcium indicator (e.g., the violet-excited optogenetic actuator is a channelrhodopsin and the red-shifted genetically-encoded calcium indicator is jRCaMP1a, jRGECO1a, or RCaMP2).

In some aspects, the invention provides a cell culture. The cell culture includes a first plurality of animal cells that express an optogenetic actuator and a second plurality of animal cells electrically contiguous with the first plurality of animal cells. The second plurality of animal cells expresses a genetically-encoded optical reporter of activity. The optogenetic actuator may include a channelrhodopsin, the genetically-encoded optical reporter of activity may include a microbial optical reporter of membrane electrical potential, or both. At least some of the first or second plurality of animal cells may express a genetically encoded Ca<sup>++</sup> indicator. The genetically encoded Ca<sup>++</sup> indicator may be, for example, a GCaMP variant such as GCaMP6f, jRCaMP1a, jRGECO1a, or RCaMP2.

In some embodiments, the first plurality of animal cells are spatially segregated from yet in electrical contact with the second plurality of animal cells. The genetically-encoded optical reporter activity may be a microbial optical reporter of membrane electrical potential and at least some of the second plurality of animal cells may express a genetically encoded Ca<sup>++</sup> indicator.

#### *6. Ion channel modulators*

The invention provides methods for screening, detecting, and characterizing ion channel modulators in high-throughput cellular assays of cells expressing optogenetic proteins that initiate and report electrical activity in cells using light. Thus the invention provides high-capacity methods for primary screening of, for example, randomized chemical libraries. These high-throughput assays provide robust electrophysiological measurements of cells without requiring patch clamp techniques. Since the described optogenetic constructs and pluripotent stem cell (PSC)-derived cells operate to provide the precision, temporal resolution, and voltage control required for monitoring channel modulation, assays of the invention are compatible with primary screening and drug discovery. For the assays, a target protein may be cloned and expressed in a stable cell line of the invention. Thus the invention provides robust, biologically relevant assays with sufficient capacity for high throughput screening of ion channel modulators.

In certain aspects, the invention provides a method of screening for an ion channel modulator. The method includes providing a cell culture comprising at least one electrically excitable cell, causing the electrically excitable cell to express an optical reporter of membrane electrical potential, and exposing the cell culture to a compound. The method further includes obtaining an optical signal from the optical reporter in response to an optical stimulation of the cell culture and analyzing the optical signal to determine an effect of the compound on the electrically excitable cell. The optical signal can be analyzed to determine that the compound functions as an ion channel modulator. The method may further include quantifying an ion channel modulation effect of the compound. The steps may be performed in parallel on a plurality (e.g., at least 90) samples. Preferably, the electrically excitable cell is a mammalian neuron. The neuron may also express a light-gated ion channel, a protein that reports a change in an intracellular calcium level, or both. Any or all of the protein that reports a change in an intracellular calcium level, the light-gated ion channel, and the optical reporter of membrane electrical potential may be provided by a microbial rhodopsin.

In some embodiments, the electrically excitable cell is a mammalian neuron and is stimulated by a second electrically excitable cell that expresses a light-gated ion channel. The mammalian neuron may also express a protein that reports changes in intracellular calcium levels. The light-gated ion channel may be an algal channelrhodopsin and the protein that reports changes in intracellular calcium levels may be a GCaMP variant. The mammalian neuron may be an hiPSC-derived neuron. The method may include detecting a change in the AP waveform and a change in the intracellular calcium level upon exposure of the neuron to the compound. In certain embodiments, the method includes spatially patterning a plurality of neurons in the cell culture on a substrate and obtaining the optical signal using an optical microscopy system, which system may use a one digital micromirror device used for spatially patterning light used to illuminate the cell culture.

Analyzing the optical signal may include detecting an effect of the compound on the AP waveform. The method may include detecting a change in the AP waveform and a change in the intracellular calcium level upon exposure of the neuron to the compound. Methods may include spatially patterning a plurality of neurons in the cell culture on a substrate. The optical signal may be obtained using an optical microscopy system, which may include a digital micromirror device.

In some embodiments, the cell is caused to express an optical actuator that initiates an action potential in response to optical stimulation. Stimulation of the cell may include illuminating the optical actuator.

Causing the cell to express the optical reporter may be done by transforming the cell with a vector bearing a genetically encoded fluorescent voltage reporter. The vector may also include a genetically encoded optical voltage actuator, such as a light-gated ion channel.

Observing the signal can include observing a cluster of different cells with a microscope and using a computer to isolate the signal generated by the optical reporter from a plurality of signals from the different cells. Methods of the invention may include using the computer to isolate the signal by performing an independent component analysis or other source-separation algorithm. The computer may be used to identify a spike train associated with the cell using standard spike-finding algorithms that apply steps of filtering the data and then applying a threshold. The computer may also be used to map propagation of electrical spikes within a single cell by means of an analytical algorithm such as a sub-Nyquist action potential timing algorithm. Methods may include observing and analyzing a difference between the observed signal and the expected signal. The difference may manifest as a decreased or increased probability of a voltage spike in response to the stimulation of the cell relative to a control, a change in the propagation of the signal within a cell, a change in the transformation of the signal upon synaptic transmission, or a change in the waveform of the action potential.

Methods may include converting a stem cell to an electrically active cell, incorporating into the electrically active cell an optical activator and an optical reporter of electrical activity, and exposing the cells to at least one compound. The effect of the compound may be identified by comparing an electrical signature to a control signature obtained from a control cell. The method may include editing the genome of a neuron to produce control cells such that the control cells and the neuron are isogenic but for a mutation in the neuron.

In some embodiments, the signature is obtained by observing a cluster of cells with a microscope and using a computer to isolate a signal generated by the optical reporter from among a plurality of signals from the cluster of cells. An image can be obtained of a plurality of clusters of cells using the microscope (i.e., all in a single image using a microscope of the invention). The computer isolates the signal by performing an independent component analysis and identifying a spike train produced by one single cell.

Aspects of the invention provide a method for screening compounds for ion channel modulator properties. The method includes incorporating into an electrically active cell such as a neuron an optical activator and an optical reporter of electrical activity, exposing the cells to at least one compound, obtaining a signature generated by the optical reporter in response to an optical stimulation of the cells, and identifying an effect of the at least one compound on cellular phenotype based on the obtained signature. Optionally, each of the electrically active cells is caused to express both the optical activator and the optical reporter of electrical activity. The incorporating step may include transforming the electrically active cells with a vector that includes a nucleic acid encoding the optical activator and the optical reporter of electrical activity. The optical activator initiates an action potential in response to the optical stimulation and the stimulation of the cell comprises illuminating the optical activator. The cell may be obtained by converting a pluripotent stem cell to the electrically active cell. The identifying step may include comparing an electrical signature to a control signature obtained from a control cell. Embodiments include editing the genome of the electrically active cells.

In certain embodiments, the method includes observing a cluster of cells with a microscope and using a computer to isolate a signal generated by the optical reporter from among a plurality of signals from the cluster of cells. The computer isolates the signal by performing an independent component analysis and identifying a spike train produced by one single cell.

Aspects of the invention provide a cell for use in an assay of ion channel modulators. The cell includes a eukaryotic genome and expresses a voltage-indicating microbial rhodopsin and a light-gated ion channel. The microbial rhodopsin provides an optical reporter of membrane electrical potential. The microbial rhodopsin may be QuasAr1 or QuasAr2 as described herein. Optionally, the cell also expresses a protein that reports a change in an intracellular calcium level such as a GCaMP variant (e.g., jRCaMP1a, jRGECO1a and RCaMP2). In some embodiments, the light-gated ion channel comprises a blue-shifted actuator with an excitation maximum at a wavelength < 450 nm and the protein that reports the change in the intracellular calcium level comprises a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive. The light-gated ion channel may be an algal channelrhodopsin or a blue-shifted actuator such as TsChR or PsChR. In certain embodiments the microbial rhodopsin is expressed from a gene that is integrated into the eukaryotic genome. Preferably, the microbial rhodopsin

comprises a QuasAr protein, the light-gated ion channel comprises a channelrhodopsin, and the cell further expresses an encoded calcium indicator (e.g., GCaMP6f, jRCaMP1a, jRGECO1a, or RCaMP2). The light-gated ion channel may be a violet-excited optogenetic actuator and cell further comprises a red-shifted genetically-encoded calcium indicator. The violet-excited optogenetic actuator may be a channelrhodopsin and the red-shifted genetically-encoded calcium indicator may be jRCaMP1a, jRGECO1a, or RCaMP2.

In some aspects the invention provides a cell culture for use in an ion channel modulator high-throughput screen (HTS). The cell culture includes a first plurality of animal cells that express an optogenetic actuator such as a channelrhodopsin and a second plurality of animal cells electrically contiguous with the first plurality of animal cells. The second plurality of animal cells expresses a genetically-encoded optical reporter of activity such as a microbial optical reporter of membrane electrical potential. In some embodiments, at least some of the second plurality of animal cells express a genetically encoded  $\text{Ca}^{++}$  indicator such as GCaMP6f, jRCaMP1a, jRGECO1a, or RCaMP2. The first plurality of animal cells may be spatially segregated from yet in electrical contact with the second plurality of animal cells.

The separation of modalities may be achieved by spatially segregating one or more components into different cells or different regions of the dish. In one embodiment, the actuator is activated by blue light, and cells expressing the actuator are localized to one sub-region of the dish. Other cells express a blue light-excited  $\text{Ca}^{2+}$  indicator and a red light-excited voltage indicator. These reporter cells are grown in an adjacent region of the dish, in contact with the actuator-expressing cells. Flashes of blue light targeted to the actuator-expressing cells initiate APs. These APs trigger APs in the reporter-expressing cells via in-plane conduction.

The invention may further comprise genetic constructs for ensuring mutually exclusive gene expression of the light-gated ion channel and the fluorescent reporter protein or proteins. Mutually exclusive gene expression ensures that ionic currents through the light-gated ion channel do not lead to perturbations in the ion concentration in cells whose voltage and  $\text{Ca}^{2+}$  levels are being measured.

In some embodiments, the neuron is stimulated by a second neuron that expresses a light-gated ion channel. The second neuron may also express the optical reporter of membrane electrical potential. The neuron and the second neuron may either or both be hiPSC-derived neuron.



The method may include exposing the neuron to a compound, and detecting an effect of the compound on the AP waveform. The neuron may be exposed to the compound at different concentrations. In certain embodiments, the neuron also expresses a protein that reports a change in an intracellular calcium level, and the method includes determining a change in the intracellular calcium level associated with the exposure of the neuron to the compound. Methods of the invention can include measuring any effect on voltage or neuronal activity. Further,  $\text{Ca}^{2+}$  amplitude and presence of  $\text{Ca}^{2+}$  sparks could be measured.

Aspects of the invention provide a cell with a eukaryotic genome that expresses a voltage-indicating microbial rhodopsin and a light-gated ion channel such as an algal channel rhodopsin as described herein. The cell may be a neuron, cardiomyocyte, or other electrically-active cell. The microbial rhodopsin may provide an optical reporter of membrane electrical potential such as QuasAr1 or QuasAr2. Preferably the cell also expresses a protein that reports a change in an intracellular calcium level such as a genetically-encoded calcium indicator (GECI). Exemplary GECIs include GCaMP variants. The GCaMP sensors generally included a GFP, a calcium-binding calmodulin protein (CaM), and a CaM-binding peptide. The protein that reports a change in an intracellular calcium level may be, for example, jRCaMP1a, jRGECO1a, or RCaMP2. In some embodiments, the light-gated ion channel comprises a blue-shifted actuator with an excitation maximum at a wavelength  $< 450$  nm and the protein that reports the change in the intracellular calcium level comprises a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive. The light-gated ion channel can include a blue-shifted actuator such as TsChR or PsChR.

In preferred embodiments, the microbial rhodopsin, the light-gated ion channel, or both are expressed from a gene that is integrated into the metazoan genome. The microbial rhodopsin may be a QuasAr protein with the light-gated ion channel a channelrhodopsin, and the cell may also include a genetically-encoded calcium indicator such as GCaMP6f, jRCaMP1a, jRGECO1a, or RCaMP2. In some embodiments, the light-gated ion channel includes a violet-excited optogenetic actuator and cell further includes a red-shifted genetically-encoded calcium indicator (e.g., the violet-excited optogenetic actuator is a channelrhodopsin and the red-shifted genetically-encoded calcium indicator is jRCaMP1a, jRGECO1a, or RCaMP2).

In some aspects, the invention provides a cell culture. The cell culture includes a first plurality of animal cells that express an optogenetic actuator and a second plurality of

animal cells electrically contiguous with the first plurality of animal cells. The second plurality of animal cells expresses a genetically-encoded optical reporter of activity. The optogenetic actuator may include a channelrhodopsin, the genetically-encoded optical reporter of activity may include a microbial optical reporter of membrane electrical potential, or both. At least some of the first or second plurality of animal cells may express a genetically encoded Ca<sup>++</sup> indicator. The genetically encoded Ca<sup>++</sup> indicator may be, for example, a GCaMP variant such as GCaMP6f, jRCaMP1a, jRGECO1a, or RCaMP2.

In some embodiments, the first plurality of animal cells are spatially segregated from yet in electrical contact with the second plurality of animal cells. The genetically-encoded optical reporter activity may be a microbial optical reporter of membrane electrical potential and at least some of the second plurality of animal cells may express a genetically encoded Ca<sup>++</sup> indicator.

### *7. Single-factor cells*

The invention provides cellular disease models in which stem cells are converted into functional neurons by forced expression of a single transcription factor and then also caused to express optogenetic reporters or actuators of neural activity. A transcription factor such as neurogenin-2 (NgN2) or NeurD1 introduced into a pluripotent stem cell by transfection is expressed, causing the cell to differentiate into a neuron. Additionally or separately an optogenetic construct that includes an optical reporter of intracellular calcium as well as an optical actuator or reporter of membrane potential is expressed. The resulting optogenetic neurons can be made with good yield and readily form synapses and thus provide a model system for studying healthy and disease-type neurons for a variety of conditions. The cells can have disease-associated genotypes and the function and phenotype of the cells can be studied using optical microscopy. Optical microscopy systems can be used to spatially pattern illumination only to individual neurons within the cultures. The neurons are created by forced expression of a transcription factor and include optical reporters of calcium levels and optionally electrical activity and thus can be used to study and model neural function of diseases and response to treatments such as compounds or corrector molecules. In an exemplary embodiment, the transcription factor is NgN2 and the neuron is an NgN2-iPS and the optogenetic construct is a microbial rhodopsin that provides an optical signal of intracellular calcium levels. The neuron may also express an optical reporter of membrane electrical potential and a light-gated ion

channel. Neurons may be derived from a somatic cell from a patient suffering from a neuronal disease. Alternatively, a disease-associated genotypes may be introduced into a neuron through genome-editing. For neurons transformed with optical reporters of membrane potential and light-gated ion channels, action potentials may be optically induced and optically evaluated in vitro. The ability to optically obtain and observe action potentials and to observe changes in intracellular calcium level allows researchers to monitor neuronal function at various stages of disease progression, to screen therapeutic compounds, and to evaluate various genotypes for links to neuronal diseases. Additionally, methods of the invention provide the ability to spatially pattern light onto cellular samples, giving the ability to selectively illuminate individual neurons from among a sample. Methods of the invention also provide the ability to “deconvolve” a plurality of spatially-overlapping optical signals from distinct neurons so that the electrical activity of any single neuron may be independently tracked.

In certain aspects, the invention provides a method for characterizing a cell. The method includes converting a stem cell into a neuron by causing the stem cell to express a transcription factor, incorporating into the neuron an optical reporter of membrane electrical potential and a light-gated ion channel, obtaining a signal from the optical reporter in response to a stimulation of the neuron, and evaluating the signal, thereby characterizing the neuron. The transcription factor may be NgN2. Preferably the optical reporter of membrane electrical potential comprises a microbial rhodopsin such as QuasAr1 or QuasAr2. In some embodiments, the neuron also expresses a protein that reports a change in an intracellular calcium level such as a GCaMP variant (e.g., jRCaMP1a, jRGECO1a or RCaMP2). The light-gated ion channel may be a blue-shifted actuator with an excitation maximum at a wavelength < 450 nm and the protein that reports the change in the intracellular calcium level may be a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive. A digital micromirror device may be used to spatially pattern light to selectively illuminate the neuron. The light-gated ion channel comprises an algal channelrhodopsin, TsChR, or PsChR.

In certain embodiments, the microbial rhodopsin comprises a QuasAr protein, the light-gated ion channel comprises a channelrhodopsin, and the neuron further expresses an encoded calcium indicator. Stimulation of the neuron may include forming a synapse between the neuron and a second cell and using a digital micromirror device to spatially pattern light to selectively illuminate the second cell.

In some embodiments, the light-gated ion channel comprises a violet-excited optogenetic actuator (such as a channelrhodopsin) and the neuron further comprises a red-shifted genetically-encoded calcium indicator (e.g., jRCaMP1a, jRGECO1a, or RCaMP2).

Aspects of the invention provide a neuron expressing an exogenous transcription factor, an optical reporter of membrane electrical potential, and a light-gated ion channel, wherein the neuron is also expressing the transcription factor endogenously. The transcription factor may be NgN2. Preferably the optical reporter of membrane electrical potential comprises a microbial rhodopsin such as QuasAr1. In some embodiments the neuron also expresses a protein that reports a change in an intracellular calcium level such as a GCaMP variant.

The light-gated ion channel may include a blue-shifted actuator with an excitation maximum at a wavelength < 450 nm and the protein that reports the change in the intracellular calcium level may include a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive.

Neural cells are transformed with a genetically encoded optical reporter, such as a transmembrane protein that fluoresces in response to the generation of an action potential. The optical reporter exhibits an optical signature as an action potential propagates through the neuron in response to neural stimulation (which may itself be optically induced). The signature may be observed and compared to a control signature, such as may be observed from a control cell with known properties. Differences between the observed signature and the control signature reveal properties of the cell being studied and can be correlated to disease progression through simulated aging (e.g., extended culture of iPSC derived neurons), verification of disease development of various genotypes, and diagnosis of neurodegenerative diseases. Neurons of the invention may also be used to screen potential compounds for therapeutic use. Neuronal function (e.g., action potential generation and propagation) may be monitored in neurons with genotypes associated with diseases both before and after administration of a compound and the effects noted. Compound screening using transformed neurons may be used to evaluate the effectiveness of compounds or other treatments on preventing disease onset or progression or relieving disease symptoms.

The invention uses methods of converting somatic cells such as fibroblasts to specific neural subtypes as well as transformation of cells with optogenetic actuators and reporters to allow for characterizing cells optically. Images may be captured by microscopy and analyzed

digitally to identify optical signatures such as spike trains and associate the signatures with specific cells. Disease-affected and healthy patient cells can be distinguished according to their signature spike trains.

Using genome-editing, a practitioner can create patient-specific control cells that are isogenic but-for specific genetic variants that are suspected to be associated with disease. By these means, where a patient is known to have a certain mutation or where a certain mutation is suspected of being linked to a disease, methods of the invention can be used to see the consequences of that mutation within the genetic context of the patient's entire genome. The effects of not just a single identified variant, but of that variant in the context of all other alleles in a genome can be studied. Thus where a patient is known or suspected of having a disease-associated mutation, methods of the invention reveal potential neurodegenerative effects of that mutation as manifested in that patient's genetic context, giving a clinician a valuable tool for diagnosis or treating a disease. Similarly, where certain cumulative mutations are suspected of causing a neuronal disease, neurons comprising those genotypes may be generated and evaluated.

Any suitable condition may be evaluated using the described cells and methods. Cells and methods of the invention are suited to evaluating conditions such as genetic disorders, mental and psychiatric conditions, neurodevelopmental disorders and neurodegenerative diseases. Exemplary genetic disorders include Alzheimer's disease, as well as Parkinson's disease, Cockayne syndrome, Down Syndrome, Dravet syndrome, familial dysautonomia, Fragile X Syndrome, Friedreich's ataxia, Gaucher disease, giant axonal neuropathy, Charcot-Marie-Tooth disease, hereditary spastic paraplegias, Machado-Joseph disease (also called spinocerebellar ataxia type 3), Phelan-McDermid syndrome (PMDS), polyglutamine (polyQ)-encoding CAG repeats, a variety of ataxias including spinocerebellar ataxias, spinal muscular atrophy, and Timothy syndrome. Exemplary neurodegenerative diseases include Alzheimer's disease, frontotemporal lobar degeneration, Huntington's disease, multiple sclerosis, spinal and bulbar muscular atrophy, and amyotrophic lateral sclerosis. Exemplary mental and psychiatric conditions include schizophrenia. Exemplary neurodevelopmental disorders include Rett syndrome.

Observing the signal can include observing a cluster of different cells with a microscope and using a computer to isolate the signal generated by the optical reporter from a plurality of

signals from the different cells. Methods of the invention may include using the computer to isolate the signal by performing an independent component analysis or other source-separation algorithm. The computer may be used to identify a spike train associated with the cell using standard spike-finding algorithms that apply steps of filtering the data and then applying a threshold. The computer may also be used to map propagation of electrical spikes within a single cell by means of an analytical algorithm such as a sub-Nyquist action potential timing algorithm. Methods may include observing and analyzing a difference between the observed signal and the expected signal. The difference may manifest as a decreased or increased probability of a voltage spike in response to the stimulation of the cell relative to a control, a change in the propagation of the signal within a cell, a change in the transformation of the signal upon synaptic transmission, or a change in the waveform of the action potential.

In certain aspects, the invention provides compound screening method that includes converting a stem cell into a neuron by forcing the stem cell to express a single transcription factor such as NgN2, incorporating into the neuron an optical activator and an optical reporter of electrical activity, and exposing the neuron to at least one compound. Preferably the neuron also expresses an optical reporter of changes in intracellular calcium levels. A signature generated by the optical reporter in response to an optical stimulation of the neuron is obtained and the method includes identifying an effect of the at least one compound on cellular phenotype based on the obtained signature. The method may include identifying the effectiveness of the compounds treating said diseased cells. Any disease may be modeled such as Alzheimer's disease. Other diseases include Cockayne syndrome, Down Syndrome, Dravet syndrome, familial dysautonomia, Fragile X Syndrome, Friedreich's ataxia, Gaucher disease, hereditary spastic paraplegias, Machado-Joseph disease, Phelan-McDermid syndrome (PMDS), polyglutamine (polyQ)-encoding CAG repeats, spinal muscular atrophy, Timothy syndrome, frontotemporal lobar degeneration, Huntington's disease, multiple sclerosis, Parkinson's disease, spinal and bulbar muscular atrophy, and amyotrophic lateral sclerosis.

The incorporating may include transforming the electrically active cells with a vector that includes a nucleic acid encoding the optical activator and the optical reporter of electrical activity. An optical activator may initiate an action potential in response to the optical stimulation. The cells may be stimulated by illumination. In certain embodiments, each of the

electrically active cell is caused to express both the optical activator and the optical reporter of electrical activity.

The effect of the compound may be identified by comparing an electrical signature to a control signature obtained from a control cell. The method may include editing the genome of the electrically active cells to produce control cells such that the control cells and the electrically active cells are isogenic but for a mutation in the electrically active cells.

In some embodiments, the signature is obtained by observing a cluster of cells with a microscope and using a computer to isolate a signal generated by the optical reporter from among a plurality of signals from the cluster of cells. An image can be obtained of a plurality of clusters of cells using the microscope (i.e., all in a single image using a microscope of the invention). The computer isolates the signal by performing an independent component analysis and identifying a spike train produced by one single cell.

In certain aspects, the invention provides a method for modeling disease by converting a stem cell to a neuron by causing the stem cell to express a single transcription factor such as NgN2. The method further includes causing the neuron to express a genetically encoded optical reporter of membrane electrical potential and measuring membrane potential of the neuron by receiving an optical signal from the reporter, creating an AP waveform using the optical signal, and analyzing the AP waveform. The neuron may also express an optically actuated ion channel, a protein that reports a change in an intracellular calcium level, or both. The method may include exposing the neuron to a compound and detecting a change in the AP waveform and a change in the intracellular calcium level upon exposure of the neuron to the compound. The optical reporter of membrane electrical potential may include a microbial rhodopsin, and specifically may include a QuasAr reporter derived from Archaelhodopsin 3. The optically actuated ion channel may include a channelrhodopsin, and may specifically include the CheRiff protein derived from *Scherffelia dubia*. The protein that reports changes in intracellular calcium levels may include a GCaMP variant or an RCaMP variant.

A key challenge in combining multiple optical modalities (e.g. optical stimulation, voltage imaging, Ca<sup>2+</sup> imaging) is to avoid optical crosstalk between the modalities. The pulses of light used to deliver optical stimulation should not induce fluorescence of the reporters; the light used to image the reporters should not actuate to light-gated ion channel; and the fluorescence of each reporter should be readily distinguished from the fluorescence of the others.

In some aspects of the invention, this separation of modalities is achieved by selecting an actuator and reporters with little spectral overlap. In one embodiment, the actuator is activated by violet light, the Ca<sup>2+</sup> reporter is excited by yellow light and emits orange light, and the voltage reporter is excited by red light and emits near infrared light.

In other aspects of the invention the separation of modalities is achieved by spatially segregating one or more components into different cells or different regions of the dish. In one embodiment, the actuator is activated by blue light, and cells expressing the actuator are localized to one sub-region of the dish. Other cells express a blue light-excited Ca<sup>2+</sup> indicator and a red light-excited voltage indicator. These reporter cells are grown in an adjacent region of the dish, in contact with the actuator-expressing cells. Flashes of blue light targeted to the actuator-expressing cells initiate APs. These APs trigger APs in the reporter-expressing cells via in-plane conduction.

The invention may further comprise genetic constructs for ensuring mutually exclusive gene expression of the light-gated ion channel and the fluorescent reporter protein or proteins. Mutually exclusive gene expression ensures that ionic currents through the light-gated ion channel do not lead to perturbations in the ion concentration in cells whose voltage and Ca<sup>2+</sup> levels are being measured.

In some embodiments, the single-factor neuron is stimulated by a second neuron that expresses a light-gated ion channel. The second neuron may also express the optical reporter of membrane electrical potential. The neuron and the second neuron may either or both be hiPSC-derived neuron.

The method may include exposing the neuron to a compound, and detecting an effect of the compound on the AP waveform. The neuron may be exposed to the compound at different concentrations. In certain embodiments, the neuron also expresses a protein that reports a change in an intracellular calcium level, and the method includes determining a change in the intracellular calcium level associated with the exposure of the neuron to the compound. Methods of the invention can include measuring any effect on voltage or neuronal activity. Further, Ca<sup>2+</sup> amplitude and presence of Ca<sup>2+</sup> sparks could be measured.

#### Brief Description of the Drawings

FIG. 1 diagrams a method for evaluating a compound.



FIG. 2 illustrates exemplary pathways for converting cells into specific neural subtypes.

FIG. 3 gives an overview of a method for genome editing.

FIG. 4 presents a structural model of an optical voltage reporter.

FIG. 5 gives a functional diagram of components of an optical imaging apparatus.

FIG. 6 illustrates a pulse sequence of red and blue light used to record action potentials under increasing optical stimulation.

FIG. 7 shows an image that contains five neurons whose images overlap with each other.

FIG. 8 illustrates the statistical technique of independent components analysis to find clusters of pixels.

FIG. 9 shows the application of segmented spatial filters to the movie data.

FIG. 10 shows the individual filters used to map individual cells from the original image.

FIG. 11 shows a patterned optical excitation being used to induce action potentials.

FIG. 12 shows eigenvectors resulting from a principal component analysis.

FIG. 13 shows a relation between cumulative variance and eigenvector number.

FIG. 14 compares action potential waveforms before and after smoothing.

FIG. 15 shows an action potential timing map.

FIG. 16 shows the accuracy of timing extracted by the SNAPT algorithm.

FIG. 17 gives an image of eGFP fluorescence, indicating CheRiff distribution.

FIG. 18 presents frames from a SNAPT movie formed by mapping the timing information from FIG. 16 onto a high spatial resolution image from FIG. 17.

FIG. 19 illustrates an output from measuring action potentials in cells affected by a mutation and control cells isogenic but for the mutation.

FIG. 20 presents a system for performing methods of the invention.

FIG. 21 gives a comparison of AP waveforms as measured by the genetically encoded voltage indicator QuasAr2 and the voltage-sensitive dye, FluoVolt.

FIG. 22 shows plots of the average waveforms from the traces in FIG. 21.

FIG. 23 presents phototoxicity and photobleaching measurement of QuasAr2.

FIG. 24 graphs the average AP waveform shapes.

FIG. 25 shows optogenetic proteins used for stimulus and detection of voltage and intracellular Ca<sup>2+</sup>.

FIG. 26 illustrates cellular plating configurations.

FIG. 27 shows cells expressing CheRiff plated in an annular region.

#### Detailed Description

Embodiments of the invention provide modified neurons and methods for the optical evaluation of diseases autism affecting electrically active cells such as neurons. In some embodiments, neurons and methods of the invention are used to evaluate a condition known to be associated with a genetic variant, or mutation.

Embodiments of the invention provide modified neurons and methods for the optical evaluation of diseases epilepsy affecting electrically active cells such as neurons. In some embodiments, neurons and methods of the invention are used to evaluate a condition known to be associated with a genetic variant, or mutation.

Embodiments of the invention relate to Alzheimer's. Alzheimer's disease is a neurodegenerative disease of uncertain cause (although mutations in certain genes have been linked to the disorder) and is one of the most common forms of dementia. Alzheimer's disease is discussed in Israel et al., 2012, Probing sporadic and familial Alzheimer's disease using induced pluripotent stem cells, *Nature* 482(7384):216-20; Muratore et al., 2014, The familial Alzheimer's disease APPV717I mutation alters APP processing and tau expression in iPSC-derived neurons, *Human Molecular Genetics*, in press; Kondo et al., 2013, Modeling Alzheimer's disease with iPSCs reveals stress phenotypes associated with intracellular Abeta and differential drug responsiveness, *Cell Stem Cell* 12(4):487-496; and Shi et al., 2012, A human stem cell model of early Alzheimer's disease pathology in Down syndrome, *Sci Transl Med* 4(124):124ra129, the contents of each of which are incorporated by reference. Systems and methods of the invention may be used to evaluate compounds such as corrector molecules for their effect on Alzheimer's affected cells.

The use of stem cell technology provides a clinically-relevant cell models of Alzheimer's and the use of microbial optogenetic constructs allows for rapid screening or detection of cellular physiologies and phenotypes. Methods of the invention can provide genetically modified neurons that can replicate Alzheimer's disease pathology in in vitro and in vivo conditions in order to develop and test Alzheimer disease drugs in human brain cells.

To recapitulate the disease phenotype, the neurons may be exposed to A $\beta$ 1-42. Additionally, prospective compounds such as antibodies against epitopes on A $\beta$  may be studied

by methods of the invention. For example, the BIIB037 antibody may be exposed to neurons using systems and methods of the invention. Optogenetic constructs provide for the optical study of both the toxicity of the A $\beta$  peptide and the neuroprotective effects of prospective compounds. Thus methods of the invention provide a model system to study Alzheimer's disease pathology. FIG. 1 diagrams a method 101 for evaluating a condition according to embodiments of the invention. This may involve obtaining 107 a cell (e.g., purchasing PSCs and converting to neurons; biopsy from a person suspected of having the condition; etc.). Genome editing techniques (e.g., use of transcription activator-like effector nucleases (TALENs), the CRISPR/Cas system, zinc finger domains) may be used to create a control cell that is isogenic but-for a variant of interest. The cell and the control are converted into an electrically excitable cell such as a neuron. The cell may be converted to a specific neural subtype (e.g., motor neuron). The cells are caused to express 113 an optical reporter of neural activity. For example, the cell may be transformed with a vector comprising an optogenetic reporter and the cell may also be caused to express an optogenetic actuator (aka activator) by transformation. Optionally, a control cell may be obtained, e.g., by taking another sample, by genome editing, or by other suitable techniques. Using microscopy and analytical methods described herein, the cells are observed and specifically, the cells' response to stimulation 119 (e.g., optical, synaptic, chemical, or electrical actuation) may be observed. A cell's characteristic signature such as a neural response as revealed by a spike train may be observed 123. The observed signature is compared to a control signature and a difference (or match) between the observed signature and the control signature corresponds to a positive diagnosis of the condition.

In one exemplary embodiment discussed herein, neurons of the invention comprise a genome associated with Alzheimer's disease and are used for optical evaluation of Alzheimer's disease development, progression, and/or treatments.

In certain embodiments, the invention provides modified neurons and methods for the optical evaluation of diseases such as tuberous sclerosis affecting electrically active cells such as neurons. In some embodiments, neurons and methods of the invention are used to evaluate a condition known to be associated with a genetic variant, or mutation. Neurons of the invention may be human derived or derived from another animal and may be cultured in vitro or may be modified within a living animal, such as a mouse, in order to provide an in vivo disease model with optical actuators and reporters of neuronal action potential. In one exemplary embodiment

discussed herein, neurons of the invention comprise a genome associated with tuberous sclerosis and are used for optical evaluation of tuberous sclerosis development, progression, and/or treatments.

In certain aspects, the invention relates to optogenetic methods for robust, biologically relevant assays with sufficient capacity for high throughput screening of ion channel modulators. Ion channels are therapeutic targets and may be modulated by a range of drugs. Ion transport mediated by ion channels is important in many fundamental physiological processes in the heart and the nervous system as well as for fluid secretion in the lung, GI tract and kidney, and other processes such as hormone secretion, the immune response, bone re-modeling and tumor cell proliferation. The physiological importance of ion channels is underlined by their involvement in a wide range of pathologies spanning all major therapeutic areas. For example, over 55 different inherited ion channel diseases, known as "channelopathies," have now been identified across cardiovascular, neuronal, neuromuscular, musculoskeletal, metabolic, and respiratory systems. Ion channels are typically multimeric, transmembrane proteins having separate pore-forming and accessory subunits (Ashcroft, 2006, Nature 440:440-7). Ion channels are often classified according to gating mechanism: voltage-gated channels are regulated by changes in the electrical potential difference in membrane potential whereas ligand- and sensory-gated channels respond to changes ligands and to mechanical or thermal stimuli, respectively.

High throughput screening of large chemical libraries generally may include cloning of the target protein which is abundantly expressed in a stable cell line in a form that closely resembles its native correlates. For ion channels this involves efficient expression, localization, and orientation of an appropriate combination of subunits.

Methods of the invention provide an optical alternative to patch clamp electrophysiology. Methods and the optogenetic constructs of the invention may be used for high throughput screening (HTS) of ion channels.

FIG. 1 diagrams a method 101 for evaluating a condition according to embodiments of the invention. This may involve obtaining 107 a cell (e.g., by converting a stem cell to a neuron). Genome editing techniques (e.g., use of transcription activator-like effector nucleases (TALENs), the CRISPR/Cas system, zinc finger domains) may be used to create a control cell that is isogenic but-for a variant of interest. The cell and the control are converted into an electrically excitable cell such as a neuron or astrocyte. The cell may be converted to a specific

neural subtype (e.g., motor neuron). The cells are caused to express 113 an optical reporter of neural activity. For example, the cell may be transformed with a vector comprising an optogenetic reporter and the cell may also be caused to express an optogenetic actuator (aka activator) by transformation. Optionally, a control cell may be obtained, e.g., by taking another sample, by genome editing, or by other suitable techniques. Using microscopy and analytical methods described herein, the cells are observed and specifically, the cells' response to stimulation 119 (e.g., optical, synaptic, chemical, or electrical actuation) may be observed. A cell's characteristic signature such as a neural response as revealed by a spike train may be observed 123. The observed signature is compared to a control signature and a difference (or match) between the observed signature and the control signature characterizes the cell.

In one exemplary embodiment discussed herein, neurons of the invention comprise a genome associated with autism and are used for optical evaluation of autism development, progression, and/or treatments.

In some embodiments, disease models for amyotrophic lateral sclerosis (ALS) are disclosed comprising a neuron with a genotype associated with ALS and that expresses an optical reporter of, and an optical activator of, electrical activity and that exhibits an optical signature in response to neural stimulation. Cells may optionally include an indicator of intracellular calcium levels. Transformed neurons may be optically evaluated for action potentials to track the development of disease, evaluate potential therapies, diagnosis of disease, and to identify mutations and genes associated with disease development and progression.

### *1. Obtaining cell(s)*

Cells may be obtained as stem cells (e.g., by purchasing for example iCells). Alternatively or additionally, cells are obtained from a person suspected of having a condition, e.g., as fibroblasts. Fibroblasts may be converted directly to neurons or may be converted to stem cells. Stem cells may be converted to neurons (e.g., by being forced to express a single transcription factor such as NgN2). In a preferred embodiment, methods of the invention include obtaining at least one neuron that has a genotype or phenotype associated with autism, such as a cell with a genome having a mutation in a gene linked to autism. Mutations in a number of genes have been linked to the development of autism, including SHANK3 (ProSAP2), CDH9, CDH10, MAPK3, SERT (SLC6A4), CACNA1G, GABRB3, GABRA4, EN2, the 3q25-27 locus,

SLC25A12, HOXA1, HOXA2, PRKCB1, MECP2, UBE3A, NLGN3, MET, CNTNAP2, FOXP2, GSTP1, PRL, PRLR, and OXTR. Genes such as the SHANK3 have been studied in mouse models through N-terminal and PDZ domain knock-outs which resulted in phenotypes including impaired social interaction. Wang, et al., 2011, Synaptic dysfunction and abnormal behaviors in mice lacking major isoforms of Shank3, *Hum. Mol. Genet.* 20 (15): 3093–108; Bozdagi, et al., 2010, Haploinsufficiency of the autism-associated Shank3 gene leads to deficits in synaptic function, social interaction, and social communication, *Mol Autism* 1 (1): 15; Peça, et al., 2011, Shank3 mutant mice display autistic-like behaviours and striatal dysfunction, *Nature* 472 (7344): 437–42; each of which is incorporated by reference.

Other genetic disorders suitable for analysis by a pipeline defined by methods of the invention include epilepsy, Parkinson's disease, Cockayne syndrome, Down Syndrome, familial dysautonomia, Fragile X Syndrome, Friedreich's ataxia, Gaucher disease, hereditary spastic paraplegias, Machado-Joseph disease (also called spinocerebellar ataxia type 3), Phelan-McDermid syndrome (PMDS), polyglutamine (polyQ)-encoding CAG repeats, giant axonal neuropathy, Charcot-Marie-Tooth disease, a variety of ataxias including spinocerebellar ataxias, spinal muscular atrophy, and Timothy syndrome. Exemplary neurodegenerative diseases include Alzheimer's disease, frontotemporal lobar degeneration, Huntington's disease, multiple sclerosis, spinal and bulbar muscular atrophy, and amyotrophic lateral sclerosis. Exemplary mental and psychiatric conditions include schizophrenia. Exemplary neurodevelopmental disorders include Rett syndrome. Electrophysiological phenotypes for a variety of conditions have been developed and reported in the literature.

Dravet syndrome, also known as Severe Myoclonic Epilepsy of Infancy (SMEI), is a form of intractable epilepsy that begins in infancy and is often associated with mutations in the SCN1A gene or certain other genes such as SCN9A, SCN2B, PCDH19 or GABRG2. Dravet syndrome is discussed in Higurashi et al., 2013, A human Dravet syndrome model from patient induced pluripotent stem cells, *Mol Brain* 6:19, the contents of which are incorporated by reference. Other forms of epilepsy include generalized epilepsy with febrile seizures plus (GEFS+) which is thought to include Dravet syndrome, borderline severe myoclonic epilepsy of infancy (SMEB), and intractable epilepsy of childhood (IEC). Additional neurodevelopmental disorders associated with epilepsy which may be studied with the cells and methods of the invention include Angelman syndrome, Rolandic epilepsy, autosomal dominant nocturnal frontal

lobe epilepsy, benign occipital epilepsies of childhood, Panayiotopoulos syndrome, childhood absence epilepsy, epilepsy-intellectual disability in females, febrile lobe epilepsy, juvenile myoclonic epilepsy, Lennox-Gastaut syndrome, Ohtahara syndrome, photosensitive epilepsy, pyridoxine-dependent epilepsy, Unverricht-Lundborg disease, myoclonic epilepsy with ragged red fibers syndrome, Lafora disease, Rasmussen's encephalitis, ring chromosome 20 syndrome, temporal lobe epilepsy, tuberous sclerosis, and West syndrome. Additional genes associated with epilepsy which may be studied with the cells and methods of the invention include, WWOX, PRRT2, KCNC1, STX1B, CARS2, STXB1, KCNQ2, CDKL5, ARX, SPTAN, BRAT1, KCNQ3, SCN2A (NAV1.2), GABA receptors, NIPA2, CDKL5, PCDH19, and NAV1.1.

Tuberous sclerosis is a genetic disease that affects tumor suppressor proteins through mutations to the TSC1 or TSC2 genes. Tuberous sclerosis can result in tumor growth in the brain, kidneys, lungs, heart, skin, eyes and can negatively affect function of these organs. Neurological symptoms of tuberous sclerosis include autism, intellectual disabilities, developmental and behavioral problems, and seizures. People suffering from tuberous sclerosis face a range of prognoses based on the severity of their symptoms, ranging from mild skin abnormalities to severe mental disabilities and organ failure and death due to tumor growth. Tuberous sclerosis is discussed in Meikle, et al., 2007, A mouse model of tuberous sclerosis: neuronal loss of Tsc1 causes dysplastic and ectopic neurons, reduced myelination, seizure activity, and limited survival, *J Neurosci.* 27(21):5546-58; Meikle, et al., 2008, Response of a neuronal model of tuberous sclerosis to mammalian target of rapamycin (mTOR) inhibitors: effects on mTORC1 and Akt signaling lead to improved survival and function, *J Neurosci.*, 28(21):5422-32; Normand, et al., 2013, Temporal and mosaic Tsc1 deletion in the developing thalamus disrupts thalamocortical circuitry, neural function, and behavior, *Neuron*, 5;78(5):895-909; Kim, et al., 2010, Zebrafish model of tuberous sclerosis complex reveals cell-autonomous and non-cell-autonomous functions of mutant tuberin, *Dis Model Mech.*, 4(2):255-67; and Wlodarski, et al., 2008, Tuberin-heterozygous cell line TSC2ang1 as a model for tuberous sclerosis-associated skin lesions, *Int J Mol Med.* 21(2):245-50; each incorporated in its entirety.

Parkinson's disease is a neurodegenerative disorder of the central nervous system that involves the death of dopamine-generating cells in the substantia nigra in the midbrain. Parkinson's disease is discussed in Cooper et al., 2012, Pharmacological rescue of mitochondrial deficits in iPSC-derived neural cells from patients with familial Parkinson's disease, *Sci Transl*

Med 4(141):141ra90; Chung et al., 2013, Identification and rescue of  $\alpha$ -synuclein toxicity in Parkinson patient-derived neurons, Science 342(6161):983-7; Seibler et al., 2011, Mitochondrial Parkin recruitment is impaired in neurons derived from mutant PINK1 induced pluripotent stem cells, J Neurosci 31(16):5970-6; Sanchez-Danes et al., 2012, Disease-specific phenotypes in dopamine neurons from human iPSC-based models of genetic and sporadic Parkinson's disease, EMBO Mol Med 4(5):380–395; Sanders et al., 2013, LRRK2 mutations cause mitochondrial DNA damage in iPSC-derived neural cells from Parkinson's disease patients: reversal by gene correction. Neurobiol Dis 62:381-6; and Reinhardt et al., 2013, Genetic correction of a LRRK2 mutation in human iPSCs links parkinsonian neurodegeneration to ERK-dependent changes in gene expression, Cell Stem Cell 12(3):354–367; LRRK2 mutant iPSC-derived DA neurons demonstrate increased susceptibility to oxidative stress, the contents of each of which are incorporated by reference

Cockayne syndrome is a genetic disorder caused by mutations in the ERCC6 and ERCC8 genes and characterized by growth failure, impaired development of the nervous system, photosensitivity, and premature aging. Cockayne syndrome is discussed in Andrade et al., 2012, Evidence for premature aging due to oxidative stress in iPSCs from Cockayne syndrome, Hum Mol Genet 21:3825–3834, the contents of which are incorporated by reference.

Down syndrome is a genetic disorder caused by the presence of all or part of a third copy of chromosome 21 and associated with delayed growth, characteristic facial features, and intellectual disability. Down Syndrome is discussed in Shi et al., 2012, A human stem cell model of early Alzheimer's disease pathology in Down syndrome, Sci Transl Med 4(124):124ra129, the contents of which are incorporated by reference.

Familial dysautonomia is a genetic disorder of the autonomic nervous system caused by mutations in the IKBKAP gene and that affects the development and survival of sensory, sympathetic and some parasympathetic neurons in the autonomic and sensory nervous system resulting in variable symptoms including: insensitivity to pain, inability to produce tears, poor growth, and labile blood pressure. Familial dysautonomia is discussed in Lee et al., 2009, Modelling pathogenesis and treatment of familial dysautonomia using patient-specific iPSCs, Nature 461:402-406, the contents of which are incorporated by reference.

Fragile X syndrome is a genetic condition caused by mutations in the FMR1 gene and that causes a range of developmental problems including learning disabilities and cognitive



impairment. Fragile X Syndrome is discussed in Liu et al., 2012, Signaling defects in iPSC-derived fragile X premutation neurons, *Hum Mol Genet* 21:3795–3805, the contents of which are incorporated by reference.

Friedreich ataxia is an autosomal recessive ataxia resulting from a mutation of a gene locus on chromosome 9. The ataxia of Friedreich's ataxia results from the degeneration of nerve tissue in the spinal cord, in particular sensory neurons essential (through connections with the cerebellum) for directing muscle movement of the arms and legs. The spinal cord becomes thinner and nerve cells lose some of their myelin sheath. Friedreich's ataxia is discussed in Ku et al., 2010, Friedreich's ataxia induced pluripotent stem cells model intergenerational GAA·TTC triplet repeat instability, *Cell Stem Cell* 7(5):631-7; Du et al., 2012, Role of mismatch repair enzymes in GAA.TTC triplet-repeat expansion in Friedreich ataxia induced pluripotent stem cells, *J Biol Chem* 287(35):29861–29872; and Hick et al., 2013, Neurons and cardiomyocytes derived from induced pluripotent stem cells as a model for mitochondrial defects in Friedreich's ataxia, *Dis Model Mech* 6(3):608-21, the contents of each of which are incorporated by reference.

Gaucher's disease is a genetic disease caused by a recessive mutation in a gene located on chromosome 1 and in which lipids accumulate in the body. Gaucher disease is discussed in Mazzulli et al., 2011, Gaucher disease glucocerebrosidase and  $\alpha$ -synuclein form a bidirectional pathogenic loop in synucleinopathies, *Cell* 146(1):37-52, the contents of which are incorporated by reference.

Hereditary Spastic Paraplegia (HSP)—also called Familial Spastic Paraplegias, French Settlement Disease, or Strumpell-Lorrain disease—refers to a group of inherited diseases characterized by axonal degeneration and dysfunction resulting in stiffness and contraction (spasticity) in the lower limbs. Hereditary spastic paraplegias is discussed in Denton et al., 2014, Loss of spastin function results in disease-specific axonal defects in human pluripotent stem cell-based models of hereditary spastic paraplegia, *Stem Cells* 32(2):414-23, the contents of which are incorporated by reference.

Spinocerebellar ataxia type 3 (SCA3), also known as Machado–Joseph disease, is a neurodegenerative disease, an autosomal dominantly inherited ataxia characterized by the slow degeneration of the hindbrain. Machado-Joseph disease (also called spinocerebellar ataxia type 3) is discussed in Koch et al., 2011, Excitation-induced ataxin-3 aggregation in neurons from

patients with Machado–Joseph disease, *Nature* 480(7378):543–546, the contents of which are incorporated by reference.

Phelan-McDermid Syndrome (PMDS) is a progressive neurodevelopmental disorder resulting from mutations in or deletions of the neural protein, Shank3 and characterized by developmental delay, impaired speech, and autism. Phelan-McDermid syndrome (PMDS) is discussed in Shcheglovitov et al., 2013, SHANK3 and IGF1 restore synaptic deficits in neurons from 22q13 deletion syndrome patients, *Nature* 503(7475):267-71, the contents of which are incorporated by reference.

Trinucleotide repeat disorders are characterized by polyglutamine (polyQ)-encoding CAG repeats. Trinucleotide repeat disorders refer to a set of genetic disorders caused by trinucleotide repeat expansion, which disorders include dentatorubropallidoluysian atrophy, Huntington's disease, spinobulbar muscular atrophy, Spinocerebellar ataxia Type 1, Spinocerebellar ataxia Type 2, Spinocerebellar ataxia Type 3 or Machado-Joseph disease, Spinocerebellar ataxia Type 6, Spinocerebellar ataxia Type 7, and Spinocerebellar ataxia Type 17, as well as a variety of other ataxias. Trinucleotide repeat disorders are discussed in HD iPSC Consortium, 2012, Induced pluripotent stem cells from patients with Huntington's disease show CAG-repeat-expansion-associated phenotypes. *Cell Stem Cell* 11(2):264–278, the contents of which are incorporated by reference.

Giant axonal neuropathy is a neurodevelopmental disorder that causes disorganization of neurofilaments, which form a structural framework to define the shape and size of neurons. Giant axonal neuropathy results from mutations in the GAN gene, which codes for the protein gigaxonin. See Mahammad et al., 2013, Giant axonal neuropathy-associated gigaxonin mutations impair intermediate filament protein degradation, *J Clin Invest* 123(5):1964-75.

Charcot Marie Tooth disease, also known as hereditary motor and sensory neuropathy (HMSN) and peroneal muscular atrophy (PMA), refers to several inherited disorders of the peripheral nervous system characterized by progressive loss of muscle and sensation. See, e.g., Harel and Lupski, 2014, Charcot Marie Tooth disease and pathways to molecular based therapies, *Clin Genet* DOI: 10.1111/cge.12393.

Spinal muscular atrophy (SMA) is genetic disease caused by mutations in the SMN1 gene, which encodes the survival of motor neuron protein (SMN), the diminished abundance of which neurons results in death of neuronal cells in the spinal cord and system-wide atrophy.

Spinal muscular atrophy is discussed in Ebert et al., 2009, Induced pluripotent stem cells from a spinal muscular atrophy patient, *Nature* 457(7227):277-80; Sareen et al., 2012, Inhibition of apoptosis blocks human motor neuron cell death in a stem cell model of spinal muscular atrophy, *PLoS One* 7(6):e39113; and Corti et al., 2012, Genetic correction of human induced pluripotent stem cells from patients with spinal muscular atrophy, *Sci Transl Med* 4 (165):165ra162, the contents of each of which are incorporated by reference.

Timothy syndrome is a genetic disorder arising from a mutation in the Ca(v)1.2 Calcium Channel gene called CACNA1C and characterized by a spectrum of problems that include an abnormally prolonged cardiac "repolarization" time (long QT interval) and other neurological and developmental defects, including heart QT-prolongation, heart arrhythmias, structural heart defects, syndactyly and autism spectrum disorders. Timothy syndrome is discussed in Krey et al., 2013, Timothy syndrome is associated with activity-dependent dendritic retraction in rodent and human neurons, *Nat Neurosci* 16(2):201-9, the contents of which are incorporated by reference.

Mental and psychiatric disorders such as schizophrenia and autism may involve cellular and molecular defects amenable to study via stem cell models and may be caused by or associated with certain genetic components that can be isolated using methods herein. Schizophrenia is discussed in Brennand et al., 2011, Modelling schizophrenia using human induced pluripotent stem cells, *Nature* 473(7346):221-225; and Chiang et al., 2011, Integration-free induced pluripotent stem cells derived from schizophrenia patients with a DISC1 mutation, *Molecular Psych* 16:358–360, the contents of each of which are incorporated by reference.

Alzheimer's disease is a neurodegenerative disease of uncertain cause (although mutations in certain genes have been linked to the disorder) and is one of the most common forms of dementia. Alzheimer's disease is discussed in Israel et al., 2012, Probing sporadic and familial Alzheimer's disease using induced pluripotent stem cells, *Nature* 482(7384):216-20; Muratore et al., 2014, The familial Alzheimer's disease APPV717I mutation alters APP processing and tau expression in iPSC-derived neurons, *Human Molecular Genetics*, in press; Kondo et al., 2013, Modeling Alzheimer's disease with iPSCs reveals stress phenotypes associated with intracellular A $\beta$  and differential drug responsiveness, *Cell Stem Cell* 12(4):487–496; and Shi et al., 2012, A human stem cell model of early Alzheimer's disease pathology in Down syndrome, *Sci Transl Med* 4(124):124ra129, the contents of each of which are incorporated by reference.

Frontotemporal lobar degeneration (FTLD) is the name for a group of clinically, pathologically and genetically heterogeneous disorders including frontotemporal dementia (which subdivides to include behavioral-variant frontotemporal dementia (bvFTLD); semantic dementia (SD); and progressive nonfluent aphasia (PNFA)) associated with atrophy in the frontal lobe and temporal lobe of the brain. Frontotemporal lobar degeneration is discussed in Almeida et al, 2013, Modeling key pathological features of frontotemporal dementia with C9ORF72 repeat expansion in iPSC-derived human neurons, *Acta Neuropathol* 126(3):385-399; Almeida et al., 2012, Induced pluripotent stem cell models of progranulin-deficient frontotemporal dementia uncover specific reversible neuronal defects, *Cell Rep* 2(4):789-798; and in Fong et al., 2013, Genetic correction of tauopathy phenotypes in neurons derived from human induced pluripotent stem cells, *Stem Cell Reports* 1(3):1–9, the contents of each of which are incorporated by reference.

Huntington's disease is an inherited disease that causes the progressive degeneration of nerve cells in the brain and is caused by an autosomal dominant mutation in either of an individual's two copies of a gene called Huntingtin (HTT) located on the short arm of chromosome 4. Huntington's disease is discussed in HD iPSC Consortium, 2012, Induced pluripotent stem cells from patients with Huntington's disease show CAG-repeat-expansion-associated phenotypes. *Cell Stem Cell* 11(2):264–278; An et al., 2012, Genetic correction of Huntington's disease phenotypes in induced pluripotent stem cells, *Cell Stem Cell* 11(2):253–263; and Camnasio et al., 2012, The first reported generation of several induced pluripotent stem cell lines from homozygous and heterozygous Huntington's disease patients demonstrates mutation related enhanced lysosomal activity, *Neurobiol Dis* 46(1):41–51, the contents of each of which are incorporated by reference.

Multiple sclerosis is a neurodegenerative disease in which the insulating covers of nerve cells in the brain and spinal cord are damaged. Multiple sclerosis is discussed in Song et al., 2012, Neural differentiation of patient specific iPS cells as a novel approach to study the pathophysiology of multiple sclerosis, *Stem Cell Res* 8(2):259-73, the contents of which are incorporated by reference.

Spinal and bulbar muscular atrophy (SBMA), also known as spinobulbar muscular atrophy, bulbo-spinal atrophy, X-linked bulbospinal neuropathy (XBSN), X-linked spinal muscular atrophy type 1 (SMAX1), and Kennedy's disease (KD) — is a neurodegenerative

disease associated with mutation of the androgen receptor (AR) gene and that results in muscle cramps and progressive weakness due to degeneration of motor neurons in the brain stem and spinal cord. Spinal and bulbar muscular atrophy is discussed in Nihei et al., 2013, Enhanced aggregation of androgen receptor in induced pluripotent stem cell-derived neurons from spinal and bulbar muscular atrophy, *J Biol Chem* 288(12):8043-52, the contents of which are incorporated by reference.

Rett syndrome is a neurodevelopmental disorder generally caused by a mutation in the methyl CpG binding protein 2, or MECP2, gene and which is characterized by normal early growth and development followed by a slowing of development, loss of purposeful use of the hands, distinctive hand movements, slowed brain and head growth, problems with walking, seizures, and intellectual disability. Rett syndrome is discussed in Marchetto et al., 2010, A model for neural development and treatment of Rett syndrome using human induced pluripotent stem cells, *Cell*, 143(4):527-39 and in Ananiev et al., 2011, Isogenic pairs of wild type and mutant induced pluripotent stem cell (iPSC) lines from Rett syndrome patients as in vitro disease model, *PLoS One* 6(9):e25255, the contents of each of which are incorporated by reference.

In one illustrative example, the condition is amyotrophic lateral sclerosis. Amyotrophic lateral sclerosis (ALS), often referred to as "Lou Gehrig's Disease," is a neurodegenerative disease associated with the progressive degeneration and death of the motor neurons and a resultant loss of muscle control or paralysis. Amyotrophic lateral sclerosis is discussed in Kiskinis et al., 2014, Pathways disrupted in human ALS motor neurons identified through genetic correction of mutant SOD1, *Cell Stem Cell* (epub); Wainger et al., 2014, Intrinsic membrane hyperexcitability of amyotrophic lateral sclerosis patient-derived motor neurons, *Cell Reports* 7(1):1-11; Donnelly et al., 2013, RNA toxicity from the ALS/FTD C9orf72 expansion is mitigated by antisense intervention, *Neuron* 80(2):415-28; Alami, 2014, Microtubule-dependent transport of TDP-43 mRNA granules in neurons is impaired by ALS-causing mutations, *Neuron* 81(3):536-543; Donnelly et al., 2013, RNA toxicity from the ALS/FTD C9ORF72 expansion is mitigated by antisense intervention, *Neuron* 80(2):415-428; Bilican et al, 2012, Mutant induced pluripotent stem cell lines recapitulate aspects of TDP-43 proteinopathies and reveal cell-specific vulnerability, *PNAS* 109(15):5803-5808; Egawa et al., 2012, Drug screening for ALS using patient-specific induced pluripotent stem cells, *Sci Transl Med* 4(145):145ra104; and in Yang et al., 2013, A small molecule screen in stem-cell-derived motor neurons identifies a kinase

inhibitor as a candidate therapeutic for ALS, *Cell Stem Cell* 12(6):713–726, the contents of each of which are incorporated by reference.

In one illustrative example, fibroblasts may be taken from a patient known or suspected to have a mutation such as a mutation in SHANK3. Any suitable cell may be obtained and any suitable method of obtaining a sample may be used. In some embodiments, a dermal biopsy is performed to obtain dermal fibroblasts. The patient's skin may be cleaned and given an injection of local anesthetic. Once the skin is completely anesthetized, a sterile 3 mm punch is used. The clinician may apply pressure and use a "drilling" motion until the punch has pierced the epidermis. The punch will core a 3 mm cylinder of skin. The clinician may use forceps to lift the dermis of the cored skin and a scalpel to cut the core free. The biopsy sample may be transferred to a sterile BME fibroblast medium after optional washing with PBS and evaporation of the PBS. The biopsy site on the patient is dressed (e.g., with an adhesive bandage). Suitable methods and devices for obtaining the cells are discussed in U.S. Pat. 8,603,809; U.S. Pat. 8,403,160; U.S. Pat. 5,591,444; U.S. Pub. 2012/0264623; and U.S. Pub. 2012/0214236, the contents of each of which are incorporated by reference. Any tissue culture technique that is suitable for the obtaining and propagating biopsy specimens may be used such as those discussed in Freshney, Ed., 1986, *Animal Cell Culture: A Practical Approach*, IRL Press, Oxford England; and Freshney, Ed., 1987, *Culture of Animal Cells: A Manual of Basic Techniques*, Alan R. Liss & Co., New York, both incorporated by reference.

## *2. Converting cell(s) into neurons or specific neural sub-types*

Obtained cells may be converted into any electrically excitable cells such as neurons, specific neuronal subtypes, astrocytes or other glia, or immune cells. Additionally, cells may be converted and grown into co-cultures of multiple cell types (e.g. neurons + glia, neurons + immune cells).

FIG. 2 illustrates exemplary pathways for converting cells into specific neural subtypes. A cell may be converted to a specific neural subtype (e.g., motor neuron). Suitable methods and pathways for the conversion of cells include pathway 209, conversion from somatic cells to induced pluripotent stem cells (iPSCs) and conversion of iPSCs to specific cell types, or pathways 211 direct conversion of cells in specific cell types.

*2a. conversion of cells to iPSCs and conversion of iPSCs to specific cell types*

Following pathways 209, somatic cells may be reprogrammed into induced pluripotent stem cells (iPSCs) using known methods such as the use of defined transcription factors. The iPSCs are characterized by their ability to proliferate indefinitely in culture while preserving their developmental potential to differentiate into derivatives of all three embryonic germ layers. In certain embodiments, fibroblasts are converted to iPSC by methods such as those discussed in Takahashi and Yamanaka, 2006, Induction of pluripotent stem cells from mouse embryonic and adult fibroblast cultures by defined factors *Cell* 126:663-676.; and Takahashi, et al., 2007, Induction of pluripotent stem cells from adult human fibroblasts by defined factors, *Cell* 131:861-872.

Induction of pluripotent stem cells from adult fibroblasts can be done by methods that include introducing four factors, Oct3/4, Sox2, c-Myc, and Klf4, under ES cell culture conditions. Human dermal fibroblasts (HDF) are obtained. A retroviruses containing human Oct3/4, Sox2, Klf4, and c-Myc is introduced into the HDF. Six days after transduction, the cells are harvested by trypsinization and plated onto mitomycin C-treated SNL feeder cells. See, e.g., McMahon and Bradley, 1990, *Cell* 62:1073-1085. About one day later, the medium (DMEM containing 10% FBS) is replaced with a primate ES cell culture medium supplemented with 4 ng/mL basic fibroblast growth factor (bFGF). See Takahashi, et al., 2007, *Cell* 131:861. Later, hES cell-like colonies are picked and mechanically disaggregated into small clumps without enzymatic digestion. Each cell should exhibit morphology similar to that of human ES cells, characterized by large nuclei and scant cytoplasm. The cells after transduction of HDF are human iPS cells. DNA fingerprinting, sequencing, or other such assays may be performed to verify that the iPS cell lines are genetically matched to the donor.

These iPS cells can then be differentiated into specific neuronal subtypes. Pluripotent cells such as iPS cells are by definition capable of differentiating into cell types characteristic of different embryonic germ layers. A property of both embryonic stem cells human iPS cells is their ability, when plated in suspension culture, to form embryoid bodies (EBs). EBs formed from iPS cells are treated with two small molecules: an agonist of the sonic hedgehog (SHH) signaling pathway and retinoic acid (RA). For more detail, see the methods described in Dimos et al., 2008, Induced pluripotent stem cells generated from patients with ALS can be differentiated into motor neurons, *Science* 321(5893):1218-21; Amoroso et al., 2013,

Accelerated high-yield generation of limb-innervating motor neurons from human stem cells, *J Neurosci* 33(2):574-86; and Boulting et al., 2011, A functionally characterized test set of human induced pluripotent stem cells, *Nat Biotech* 29(3):279-286.

Aspects of the invention provide cellular disease models in which stem cells may be converted into functional neurons by forced expression of a single transcription factor and then also caused to express optogenetic reporters or actuators of neural activity. A transcription factor such as neurogenin-2 (NgN2) or NeurD1 introduced into a pluripotent stem cell by transfection is expressed, causing the cell to differentiate into a neuron. Additionally or separately an optogenetic construct that includes an optical reporter of intracellular calcium as well as an optical actuator or reporter of membrane potential is expressed.

In some embodiments, conversion includes causing a stem cell to express a single transcription factor. Overexpressing a single transcription factor such as neurogenin-2 (Ngn2) or NeuroD1 alone rapidly converts ES and iPS cells into neuronal cells. See Zhang et al., 2013, Rapid single-step induction of functional neurons from human pluripotent stem cells, *Neuron* 78(5):785-798. The transcription factor may be introduced by lentiviral infection (discussed in greater detail below). As reported in Zhang 2013 a puromycin resistance gene may be co-expressed with Ngn2 for selection. ES or iPS cells are plated on day -2, infected with lentiviruses on day -1, and Ngn2 expression is induced on day 0. A 24 hr puromycin selection period is started on day 1, and mouse glia (primarily astrocytes) are added on day 2 to enhance synapse formation. Forced Ngn2 expression converts ES and iPS cells into neuron-like cells in less than one week, and produces an apparently mature neuronal morphology in less than two weeks, as reported in Zhang 2013.

When the differentiated EBs are allowed to adhere to a laminin-coated surface, neuron-like outgrowths are observed and a result is differentiation into specific neuronal subtypes. Additional relevant discussion may be found in Davis-Dusenbery et al., 2014, How to make spinal motor neurons, *Development* 141(3):491-501; Sandoe and Eggan, 2013, Opportunities and challenges of pluripotent stem cell neurodegenerative disease models, *Nat Neuroscience* 16(7):780-9; and Han et al., 2011, Constructing and deconstructing stem cell models of neurological disease, *Neuron* 70(4):626-44.

#### *2b. direct conversion of cells in specific cell types*



By pathway 211, human somatic cells are obtained and direct lineage conversion of the somatic cells into motor neurons may be performed. Conversion may include the use of lineage-specific transcription factors to induce the conversion of specific cell types from unrelated somatic cells. See, e.g., Davis-Dusenbery et al., 2014, How to make spinal motor neurons, *Development* 141:491; Graf, 2011, Historical origins of transdifferentiation and reprogramming, *Cell Stem Cell* 9:504-516. It has been shown that a set of neural lineage-specific transcription factors, or BAM factors, causes the conversion of fibroblasts into induced neuronal(iN) cells. Vierbuchen 2010 *Nature* 463:1035. MicroRNAs and additional pro-neuronal factors, including NeuroD1, may cooperate with or replace the BAM factors during conversion of human fibroblasts into neurons. See, for example, Ambasudhan et al., 2011, Direct reprogramming of adult human fibroblasts to functional neurons under defined conditions, *Cell Stem Cell* 9:113-118; Pang et al., 2011, Induction of human neuronal cells by defined transcription factors, *Nature* 476:220-223; also see Yoo et al., 2011, MicroRNA mediated conversion of human fibroblasts to neurons, *Nature* 476:228-231.

### *2c. Maintenance of differentiated cells*

Differentiated cells such as motor neurons may be dissociated and plated onto glass coverslips coated with poly-d-lysine and laminin. Motor neurons may be fed with a suitable medium such as a neurobasal medium supplemented with N2, B27, GDNF, BDNF, and CNTF. Cells may be maintained in a suitable medium such as an N2 medium (DMEM/F12 [1:1] supplemented with laminin [1 µg/mL; Invitrogen], FGF-2 [10 ng/ml; R&D Systems, Minneapolis, MN], and N2 supplement [1%; Invitrogen]), further supplemented with GDNF, BDNF, and CNTF, all at 10 ng/ml. Suitable media are described in Son et al., 2011, Conversion of mouse and human fibroblasts into functional spinal motor neurons, *Cell Stem Cell* 9:205-218; Vierbuchen et al., 2010, Direct conversion of fibroblasts to functional neurons by defined factors, *Nature* 463:1035–1041; Kuo et al., 2003, Differentiation of monkey embryonic stem cells into neural lineages, *Biology of Reproduction* 68:1727-1735; and Wernig et al., 2002, Tau EGFP embryonic stem cells: an efficient tool for neuronal lineage selection and transplantation. *J Neuroscience Res* 69:918–24, each incorporated by reference.

### *3. Genome-editing*

Methods of the invention may include causing the cell to express an optical reporter, observing a signature generated by the optical reporter, and comparing the observed signature to a control signature. The control signature may be a disease free cell and can be obtained by obtaining a control cell that is also of the specific neural subtype and is genetically and phenotypically similar to the test cells. In certain embodiments—where, for example, a patient has a known mutation or allele at a certain locus—genetic editing is performed to generate a control cell line that but for the known mutation is isogenic with the test cell line. For example, where a patient is known to have a mutation of the SHANK3 gene, genetic editing techniques can introduce a wild-type SHANK3 gene into the cell line to create a control cell line with a wild-type genotype and phenotype. Additionally, genome editing may be used to introduce a mutation of interest into a neuron in order to evaluate the phenotypic effect of the mutation and to investigate potential links to a condition such as Parkinson's disease. Genetic or genome editing techniques may proceed via zinc-finger domain methods, transcription activator-like effector nucleases (TALENs), or clustered regularly interspaced short palindromic repeat (CRISPR) nucleases.

Genome editing techniques (e.g., use of zinc finger domains) may be used to create test and control cells that are isogenic but-for a variant of interest. In certain embodiments, genome editing techniques are applied to the iPS cells. For example, a second corrected line may be generated using zinc finger domains resulting in two otherwise isogenic lines. After that, diseased and corrected iPS cells may be differentiated into motor neurons using embryoid bodies according to the methods described above.

Genomic editing may be performed by any suitable method known in the art. For example, the chromosomal sequence encoding the target gene of interest may be edited using TALENs technology. TALENs are artificial restriction enzymes generated by fusing a TAL effector DNA binding domain to a DNA cleavage domain. In some embodiments, genome editing is performed using CRISPR technology. TALENs and CRISPR methods provide one-to-one relationship to the target sites, i.e. one unit of the tandem repeat in the TALE domain recognizes one nucleotide in the target site, and the crRNA or gRNA of CRISPR/Cas system hybridizes to the complementary sequence in the DNA target. Methods can include using a pair of TALENs or a Cas9 protein with one gRNA to generate double-strand breaks in the target. The breaks are then repaired via non-homologous end-joining or homologous recombination (HR).

TALENs uses a nonspecific DNA-cleaving nuclease fused to a DNA-binding domain that can be to target essentially any sequence. For TALEN technology, target sites are identified and expression vectors are made. See Liu et al, 2012, Efficient and specific modifications of the *Drosophila* genome by means of an easy TALEN strategy, *J. Genet. Genomics* 39:209-215. The linearized expression vectors (e.g., by NotI) and used as template for mRNA synthesis. A commercially available kit may be use such as the mMMESSAGE mMACHINE SP6 transcription kit from Life Technologies (Carlsbad, CA). See Joung & Sander, 2013, TALENs: a widely applicable technology for targeted genome editing, *Nat Rev Mol Cell Bio* 14:49-55.

CRISPR methodologies employ a nuclease, CRISPR-associated (Cas9), that complexes with small RNAs as guides (gRNAs) to cleave DNA in a sequence-specific manner upstream of the protospacer adjacent motif (PAM) in any genomic location. CRISPR may use separate guide RNAs known as the crRNA and tracrRNA. These two separate RNAs have been combined into a single RNA to enable site-specific mammalian genome cutting through the design of a short guide RNA. Cas9 and guide RNA (gRNA) may be synthesized by known methods. Cas9/guide-RNA (gRNA) uses a non-specific DNA cleavage protein Cas9, and an RNA oligo to hybridize to target and recruit the Cas9/gRNA complex. See Chang et al., 2013, Genome editing with RNA-guided Cas9 nuclease in zebrafish embryos, *Cell Res* 23:465-472; Hwang et al., 2013, Efficient genome editing in zebrafish using a CRISPR-Cas system, *Nat. Biotechnol* 31:227-229; Xiao et al., 2013, Chromosomal deletions and inversions mediated by TALENs and CRISPR/Cas in zebrafish, *Nucl Acids Res* 1-11.

In certain embodiments, genome editing is performed using zinc finger nuclease-mediated process as described, for example, in U.S. Pub. 2011/0023144 to Weinstein.

FIG. 3 gives an overview of a method 301 for zinc-finger nuclease mediated editing. Briefly, the method includes introducing into the iPS cell at least one RNA molecule encoding a targeted zinc finger nuclease 305 and, optionally, at least one accessory polynucleotide. The cell includes target sequence 311. The cell is incubated to allow expression of the zinc finger nuclease 305, wherein a double-stranded break 317 is introduced into the targeted chromosomal sequence 311 by the zinc finger nuclease 305. In some embodiments, a donor polynucleotide or exchange polynucleotide 321 is introduced. Target DNA 311 along with exchange polynucleotide 321 may be repaired by an error-prone non-homologous end-joining DNA repair process or a homology-directed DNA repair process. This may be used to produce a control line

with a control genome 315 that is isogenic to original genome 311 but for a changed site. The genomic editing may be used to establish a control line (e.g., where the patient is known to have a certain mutation, the zinc finger process may revert the genomic DNA to wild type) or to introduce a mutation (e.g., non-sense, missense, or frameshift) or to affect transcription or expression.

Typically, a zinc finger nuclease comprises a DNA binding domain (i.e., zinc finger) and a cleavage domain (i.e., nuclease) and this gene may be introduced as mRNA (e.g., 5' capped, polyadenylated, or both). Zinc finger binding domains may be engineered to recognize and bind to any nucleic acid sequence of choice. See, for example, Beerli & Barbas, 2002, Engineering polydactyl zinc-finger transcription factors, *Nat. Biotechnol.* 20:135-141; Pabo et al., 2001, Design and selection of novel Cys<sup>2</sup>His<sup>2</sup> zinc finger proteins, *Ann. Rev. Biochem.* 70:313-340; Isalan et al., 2001, A rapid generally applicable method to engineer zinc fingers illustrated by targeting the HIV-1 promoter, *Nat. Biotechnol.* 19:656-660; and Santiago et al., 2008, Targeted gene knockout in mammalian cells by using engineered zinc-finger nucleases, *PNAS* 105:5809-5814. An engineered zinc finger binding domain may have a novel binding specificity compared to a naturally-occurring zinc finger protein. Engineering methods include, but are not limited to, rational design and various types of selection. A zinc finger binding domain may be designed to recognize a target DNA sequence via zinc finger recognition regions (i.e., zinc fingers). See for example, U.S. Pat. Nos. 6,607,882; 6,534,261 and 6,453,242, incorporated by reference. Exemplary methods of selecting a zinc finger recognition region may include phage display and two-hybrid systems, and are disclosed in U.S. Pat. 5,789,538; U.S. Pat. 5,925,523; U.S. Pat. 6,007,988; U.S. Pat. 6,013,453; U.S. Pat. 6,410,248; U.S. Pat. 6,140,466; U.S. Pat. 6,200,759; and U.S. Pat. 6,242,568, each of which is incorporated by reference.

Zinc finger binding domains and methods for design and construction of fusion proteins (and polynucleotides encoding same) are known to those of skill in the art and are described in detail in U.S. Pub. 2005/0064474 and U.S. Pub. 2006/0188987, each incorporated by reference. Zinc finger recognition regions, multi-fingered zinc finger proteins, or combinations thereof may be linked together using suitable linker sequences, including for example, linkers of five or more amino acids in length. See, U.S. Pat. Nos. 6,479,626; 6,903,185; and 7,153,949, incorporated by reference.

The zinc finger nuclease may use a nuclear localization sequence (NLS). A NLS is an amino acid sequence which facilitates targeting the zinc finger nuclease protein into the nucleus to introduce a double stranded break at the target sequence in the chromosome. Nuclear localization signals are known in the art. See, for example, Makkerh, 1996, Comparative mutagenesis of nuclear localization signals reveals the importance of neutral and acidic amino acids, *Current Biology* 6:1025-1027.

A zinc finger nuclease also includes a cleavage domain. The cleavage domain portion of the zinc finger nucleases may be obtained from any suitable endonuclease or exonuclease such as restriction endonucleases and homing endonucleases. See, for example, Belfort & Roberts, 1997, Homing endonucleases: keeping the house in order, *Nucleic Acids Res* 25(17):3379-3388. A cleavage domain may be derived from an enzyme that requires dimerization for cleavage activity. Two zinc finger nucleases may be required for cleavage, as each nuclease comprises a monomer of the active enzyme dimer. Alternatively, a single zinc finger nuclease may comprise both monomers to create an active enzyme dimer. Restriction endonucleases present may be capable of sequence-specific binding and cleavage of DNA at or near the site of binding. Certain restriction enzymes (e.g., Type IIS) cleave DNA at sites removed from the recognition site and have separable binding and cleavage domains. For example, the Type IIS enzyme FokI, active as a dimer, catalyzes double-stranded cleavage of DNA, at 9 nucleotides from its recognition site on one strand and 13 nucleotides from its recognition site on the other. The FokI enzyme used in a zinc finger nuclease may be considered a cleavage monomer. Thus, for targeted double-stranded cleavage using a FokI cleavage domain, two zinc finger nucleases, each comprising a FokI cleavage monomer, may be used to reconstitute an active enzyme dimer. See Wah, et al., 1998, Structure of FokI has implications for DNA cleavage, *PNAS* 95:10564-10569; U.S. Pat. Nos. 5,356,802; 5,436,150 and 5,487,994, each incorporated by reference. In certain embodiments, the cleavage domain may comprise one or more engineered cleavage monomers that minimize or prevent homo-dimerization, as described, for example, in U.S. Patent Publication Nos. 2005/0064474, 2006/0188987, and 2008/0131962, each incorporated by reference.

Genomic editing by the zinc finger nuclease-mediated process may include introducing at least one donor polynucleotide comprising a sequence into the cell. A donor polynucleotide preferably includes the sequence to be introduced flanked by an upstream and downstream sequence that share sequence similarity with either side of the site of integration in the

chromosome. The upstream and downstream sequences in the donor polynucleotide are selected to promote recombination between the chromosomal sequence of interest and the donor polynucleotide. Typically, the donor polynucleotide will be DNA. The donor polynucleotide may be a DNA plasmid, a bacterial artificial chromosome (BAC), a yeast artificial chromosome (YAC), a viral vector, a linear piece of DNA, a PCR fragment, a naked nucleic acid, and may employ a delivery vehicle such as a liposome. The sequence of the donor polynucleotide may include exons, introns, regulatory sequences, or combinations thereof.

The double stranded break is repaired via homologous recombination with the donor polynucleotide such that the desired sequence is integrated into the chromosome.

In some embodiments, methods for genome editing include introducing into the cell an exchange polynucleotide (typically DNA) with a sequence that is substantially identical to the chromosomal sequence at the site of cleavage and which further comprises at least one specific nucleotide change. Where the cells have been obtained from a subject suspected to have a neurodegenerative disease, a method such as TALENs, CRISPRs, or zinc fingers may be used to make a control cell line. For example, if the cell line has a mutation in the SHANK3 gene, methods may be used to produce a cell line that is isogenic but for the SHANK3 mutation. While any such technology may be used, the following illustrates genome editing via zinc finger nucleases.

In general, with zinc-finger nucleases, the sequence of the exchange polynucleotide will share enough sequence identity with the chromosomal sequence such that the two sequences may be exchanged by homologous recombination. The sequence in the exchange polynucleotide comprises at least one specific nucleotide change with respect to the sequence of the corresponding chromosomal sequence. For example, one nucleotide in a specific codon may be changed to another nucleotide such that the codon codes for a different amino acid. In one embodiment, the sequence in the exchange polynucleotide may comprise one specific nucleotide change such that the encoded protein comprises one amino acid change.

In the zinc finger nuclease-mediated process for modifying a chromosomal sequence, a double stranded break introduced into the chromosomal sequence by the zinc finger nuclease is repaired, via homologous recombination with the exchange polynucleotide, such that the sequence in the exchange polynucleotide may be exchanged with a portion of the chromosomal sequence. The presence of the double stranded break facilitates homologous recombination and

repair of the break. The exchange polynucleotide may be physically integrated or, alternatively, the exchange polynucleotide may be used as a template for repair of the break, resulting in the exchange of the sequence information in the exchange polynucleotide with the sequence information in that portion of the chromosomal sequence. Thus, a portion of the endogenous chromosomal sequence may be converted to the sequence of the exchange polynucleotide.

To mediate zinc finger nuclease genomic editing, at least one nucleic acid molecule encoding a zinc finger nuclease and, optionally, at least one exchange polynucleotide or at least one donor polynucleotide are delivered to the cell of interest. Suitable methods of introducing the nucleic acids to the cell include microinjection, electroporation, calcium phosphate-mediated transfection, cationic transfection, liposome transfection, heat shock transfection, lipofection, and delivery via liposomes, immunoliposomes, virosomes, or artificial virions.

The method of inducing genomic editing with a zinc finger nuclease further comprises culturing the cell comprising the introduced nucleic acid to allow expression of the zinc finger nuclease. Cells comprising the introduced nucleic acids may be cultured using standard procedures to allow expression of the zinc finger nuclease. Typically, the cells are cultured at an appropriate temperature and in appropriate media with the necessary O<sub>2</sub>/CO<sub>2</sub> ratio to allow the expression of the zinc finger nuclease. Suitable non-limiting examples of media include M2, M16, KSOM, BMOC, and HTF media. Standard cell culture techniques are described, for example, in Santiago et al, 2008, Targeted gene knockout in mammalian cells by using engineered zinc finger nucleases, PNAS 105:5809–5814; Moehle et al., 2007, Targeted gene addition into a specified location in the human genome using designed zinc finger nucleases PNAS 104:3055-3060; Urnov et al., 2005, Highly efficient endogenous human gene correction using designed zinc-finger nucleases, Nature 435(7042):646-51; and Lombardo et al., 2007, Gene editing in human stem cells using zinc finger nucleases and integrase-defective lentiviral vector delivery, Nat Biotechnol 25(11):1298-306. Those of skill in the art appreciate that methods for culturing cells are known in the art and can and will vary depending on conditions. Upon expression of the zinc finger nuclease, the target sequence is edited. In cases in which the cell includes an expressed zinc finger nuclease as well as a donor (or exchange) polynucleotide, the zinc finger nuclease recognizes, binds, and cleaves the target sequence in the chromosome. The double-stranded break introduced by the zinc finger nuclease is repaired, via homologous recombination with the donor (or exchange) polynucleotide, such that the sequence in the donor

polynucleotide is integrated into the chromosomal sequence (or a portion of the chromosomal sequence is converted to the sequence in the exchange polynucleotide). As a consequence, a sequence may be integrated into the chromosomal sequence (or a portion of the chromosomal sequence may be modified).

Using genome editing for modifying a chromosomal sequence, an isogenic (but for the mutation of interest) control line can be generated. In certain embodiments, a control cells are obtained from healthy individuals, i.e., without using genome editing on cells taken from the subject. The control line can be used in the analytical methods described herein to generate a control signature for comparison to test data. In some embodiments, a control signature is stored on-file after having been previously generated and stored and the stored control signature is used (e.g., a digital file such as a graph or series of measurements stored in a non-transitory memory in a computer system). For example, a control signature could be generated by assaying a large population of subjects of known phenotype or genotype and storing an aggregate result as a control signature for later downstream comparisons.

Methods may be used to produce a cell line that is isogenic but for a mutation in a gene suspected of an association with Alzheimer's. Genes suspected of an association with Alzheimer's include APOE; CLU (also known as APOJ); PICALM; E5-1; BDNF; ABCA7; MS4A6A/MS4A4E; EPHA1; CD33; CD2AP; SORL1; CR1; TREM2; APP; PS1; and PS2. For example, methods of the invention may be used to create a cell line with BDNF wild-type and a cell line with BDNF C270T (suspected Alzheimer's associated mutation per Kunugi et al., 2001, A novel polymorphism of the brain-derived neurotrophic factor (BDNF) gene associated with late-onset Alzheimer's disease, Mol Psych 6(1):83-86). While any such technology may be used, the following illustrates genome editing via zinc finger nucleases.

#### *4. Causing cells to express optogenetic systems*

##### *4a. Causing a cell to express an optogenetic reporter*

The patient's test cell line and the optional control line may be caused to express an optical reporter of neural or electrical activity. Examples of neural activity include action potentials in a neuron or fusion of vesicles releasing neurotransmitters. Exemplary electrical activity includes action potentials in a neuron, astrocyte or other electrically active cell. Further examples of neural or electrical activity include ion pumping or release or changing ionic



gradients across membranes. Causing a cell to express an optical reporter of neural activity can be done with a fluorescent reporter of vesicle fusion. Expressing an optical reporter of neural or electrical activity can include transformation with an optogenetic reporter. For example, the cell may be transformed with a vector comprising an optogenetic reporter and the cell may also be caused to express an optogenetic actuator by transformation. In certain embodiments, the differentiated neurons are cultured (e.g., for about 4 days) and then infected with lentivirus bearing a genetically encoded optical reporter of neural activity and optionally an optical voltage actuator.

Any suitable optical reporter of neural activity may be used. Exemplary reporters include fluorescent reporters of transmembrane voltage differences, pHluorin-based reporters of synaptic vesicle fusion, and genetically encoded calcium indicators. In a preferred embodiment, a genetically encoded voltage indicator is used. Genetically encoded voltage indicators that may be used or modified for use with methods of the invention include FlaSh (Siegel, 1997, A genetically encoded optical probe of membrane voltage. *Neuron* 19:735–741); SPARC (Ataka, 2002, A genetically targetable fluorescent probe of channel gating with rapid kinetics, *Biophys J* 82:509–516); and VSFP1 (Sakai et al., 2001, Design and characterization of a DNA encoded, voltage-sensitive fluorescent protein, *Euro J Neuroscience* 13:2314–2318). A genetically encoded voltage indicator based on the paddle domain of a voltage-gated phosphatase is CiVSP (Murata et al., 2005, Phosphoinositide phosphatase activity coupled to an intrinsic voltage sensor, *Nature* 435:1239–1243). Another indicator is the hybrid hVOS indicator (Chanda et al., 2005, A hybrid approach to measuring electrical activity in genetically specified neurons, *Nat Neuroscience* 8:1619–1626), which transduces the voltage dependent migration of dipicrylamine (DPA) through the membrane leaflet to “dark FRET” (fluorescence resonance energy transfer) with a membrane-targeted GFP.

Optical reporters that may be suitable for use with the invention include those from the family of proteins of known microbial rhodopsins. A reporter based on a microbial rhodopsin may provide high sensitivity and speed. Suitable indicators include those that use the endogenous fluorescence of the microbial rhodopsin protein Archaerhodopsin 3 (Arch) from *Halorubum sodomense*. Arch resolves action potentials with high signal-to-noise (SNR) and low phototoxicity. A mutant form of Arch, D95N, has been shown not to exhibit a hyperpolarizing current associated with some indicators. Other mutant forms of Arch, termed QuasAr1 and

QuasAr2, have been shown to exhibit improved brightness, sensitivity to voltage, speed of response, and trafficking to the neuronal plasma membrane. Arch and the above-mentioned variants target eukaryotic membranes and can image single action potentials and subthreshold depolarization in cultured mammalian neurons. See Kralj et al, 2012, Optical recording of action potentials in mammalian neurons using a microbial rhodopsin, *Nat Methods* 9:90–95. Thus Arch and variants of Arch such as Arch(D95N) may provide good optical reporters of neural activity according to embodiments of the invention.

In some embodiments, an improved variant of Arch such as QuasAr1 or QuasAr2 is used. QuasAr1 comprises Arch with the mutations: P60S, T80S, D95H, D106H, and F161V. QuasAr2 comprises Arch with the mutations: P60S, T80S, D95Q, D106H, and F161V. Positions Asp95 and Asp106 of Arch (which are structurally aligned with positions Asp85 and Asp96 of bacteriorhodopsin, and have been reported to play key roles in proton translocation during the photo cycle) are targets for modification because they flank the Schiff base in the proton-transport chain and are likely important in determining voltage sensitivity and speed. The other mutations improve the brightness of the protein. Starting with an Arch gene, it may be beneficial to add endoplasmic reticulum (ER) export motifs and a trafficking sequence (TS) according to methods known in the art.

FIG. 4 presents a structural model of Quasar1 based on homologous protein Arch-2 (PDB: 2EI4, described in Enami et al, 2006, Crystal structures of archaerhodopsin-1 and-2: Common structural motif in Archaeal light-driven proton pumps, *J Mol Bio.* 358:675-685). Mutations T80S and F161V are located in the periphery of the protein, while P60S is close to the Schiff base of the retinal chromophore. Given their location, T80S and F161V substitutions are unlikely to have a direct impact on the photo-physical properties of the protein, and are more likely to have a role in improving the folding efficiency. In contrast, the close proximity of the P60S substitution to the Schiff base suggests that this mutation has a more direct influence on the photo-physical properties. The QuasAr indicators may exhibit improved voltage sensitivity, response kinetics, membrane trafficking and diminished dependence of brightness on illumination intensity relative to Arch. The fluorescence quantum yields of solubilized QuasAr1 and 2 may be 19- and 10-fold enhanced, respectively, relative to the non-pumping voltage indicator Arch(D95N). QuasAr1 may be 15-fold brighter than wild-type Arch, and QuasAr2 may be 3.3-fold brighter. Neither mutant shows the optical nonlinearity seen in the wild-type protein.

Fluorescence of Arch, QuasAr1, and QuasAr2 increase nearly linearly with membrane voltage between -100 mV and +50 mV. Fluorescence recordings may be acquired on an epifluorescence microscope, described in Kralj et al., 2012, Optical recording of action potentials in mammalian neurons using a microbial rhodopsin, *Nat. Methods* 9:90-95.

QuasAr1 and QuasAr2 each refer to a specific variant of Arch. As discussed, archaerhodopsin 3 (Arch) functions as a fast and sensitive voltage indicator. Improved versions of Arch include the QuasArs ('quality superior to Arch'), described in Hochbaum et al., 2014. QuasAr1 differs from wild-type Arch by the mutations P60S, T80S, D95H, D106H and F161V. QuasAr2 differed from QuasAr1 by the mutation H95Q. QuasAr1 and QuasAr2 report action potentials (APs).

FIG. 21 gives a comparison of AP waveforms as measured by the genetically encoded voltage indicator QuasAr2 and the voltage-sensitive dye, FluoVolt. Cells are sparsely transfected with the QuasAr2 construct and then treated with FluoVolt dye. QuasAr2 is excited by red laser light at a wavelength of 635 nm with fluorescence detection centered at 720 nm. FluoVolt is excited by 488 nm laser light with fluorescence detection centered at 525 nm. The top panel shows the simultaneously recorded AP waveforms from a cell expressing QuasAr2 (red line) and labeled with FluoVolt (green line). The similarity of these traces establishes that QuasAr2 fluorescence accurately represents the underlying AP waveform. The lower trace compares the FluoVolt AP waveform in the presence (FluoVolt+, QuasAr2+, green) and absence (FluoVolt+, QuasAr2-, cyan) of QuasAr2 expression. The similarity of these two traces establishes that expression of QuasAr2 does not perturb the AP waveform.

FIG. 22 shows plots of the average waveforms from the traces in FIG. 21.

FIG. 23 presents phototoxicity and photobleaching measurement of QuasAr2. Cells are imaged under continuous red laser illumination (~50 W/cm<sup>2</sup>) for 500 s. Expanded views of the fluorescence recording are shown in the lower panels.

FIG. 24 graphs the average AP waveform shapes for the beginning (blue) and end (green) of the trace in FIG. 23.

Arch and the above-mentioned variants target eukaryotic membranes and can image single action potentials and subthreshold depolarization in cultured mammalian neurons. See Kralj et al, 2012, Optical recording of action potentials in mammalian neurons using a microbial rhodopsin, *Nat Methods* 9:90–95 and Hochbaum et al., All-optical electrophysiology in

mammalian neurons using engineered microbial rhodopsins, *Nature Methods*, 11, 825–833 (2014), both incorporated by reference. Thus Arch and variants of Arch may provide good optical reporters of electrical activity according to embodiments of the invention.

The invention provides optical reporters based on Archaerhodopsins that function in mammalian cells, including human stem cell-derived neurons. These proteins indicate electrical dynamics with sub-millisecond temporal resolution and sub-micron spatial resolution and may be used in non-contact, high-throughput, and high-content studies of electrical dynamics in cells and tissues using optical measurement of membrane potential. These reporters are broadly useful, particularly in eukaryotic, such as mammalian, including human cells.

The invention includes reporters based on Archaerhodopsin 3 (Arch 3) and its homologues. Arch 3 is Archaerhodopsin from *H. sodomense* and it is known as a genetically-encoded reagent for high-performance yellow/green-light neural silencing. Gene sequence at GenBank: GU045593.1 (synthetic construct Arch 3 gene, complete cds. Submitted Sep. 28, 2009). These proteins localize to the plasma membrane in eukaryotic cells and show voltage-dependent fluorescence.

Fluorescence recordings may be acquired on an epifluorescence microscope, described in Hochbaum et al., All-optical electrophysiology in mammalian neurons using engineered microbial rhodopsins, *Nature Methods*, 11, 825–833 (2014), incorporated by reference.

Optical reporters of the invention show high sensitivity. In mammalian cells, Archaerhodopsin-based reporters show about 3-fold increase in fluorescence between -150 mV and +150 mV. The response is linear over most of this range. Membrane voltage can be measured with a precision of <1 mV in a 1 s interval. Reporters of the invention show high speed. QuasAr1 shows 90% of its step response in 0.05 ms. The upstroke of a cardiac AP lasts approximately 1 ms, so the speeds of Arch-derived indicators meet the benchmark for imaging electrical activity. Reporters of the invention show high photo-stability and are comparable to GFP in the number of fluorescence photons produced prior to photobleaching. The reporters may also show far red spectrum. The Arch-derived voltage-indicating protein reporters, sometimes referred to as genetically encoded voltage indicators (GEVIs), may be excited with a laser at wavelengths between 590 – 640 nm, and the emission is in the near infrared, peaked at 710 nm. The emission is farther to the red than any other existing fluorescent protein. These wavelengths coincide with low cellular auto-fluorescence. This feature makes these proteins particularly

useful in optical measurements of action potentials as the spectrum facilitates imaging with high signal-to-noise ratio, as well as multi-spectral imaging in combination with other fluorescent probes.

Other optogenetic reporters may be used with methods and systems of the invention. Suitable optogenetic reporters include the two Arch variants dubbed Archer1 and Archer2 reported in Flytzanis, et al., 2014, *Archaeorhodopsin variants with enhanced voltage-sensitive fluorescence in mammalian and *Caenorhabditis elegans* neurons*, *Nat Comm* 5:4894, incorporated by reference. Archer1 and Archer2 exhibit enhanced radiance in response to 655 nm light have 3–5 times increased fluorescence and 55–99 times reduced photocurrents compared with Arch WT. Archer1 (D95E and T99C) and Archer2 (D95E, T99C and A225M) may be used for voltage sensing. These mutants exhibit high baseline fluorescence ( $\times 3$ – $5$  over Arch WT), large dynamic range of sensitivity (85% DF/F and 60% DF/F per 100 mV for Archer1 and Archer2, respectively) that is stable over long illumination times, and fast kinetics, when imaged at  $\times 9$  lower light intensity ( $880 \text{ mW mm}^{-2}$  at 655 nm) than the most recently reported Arch variants. Archer1's characteristics allow its use to monitor rapid changes in membrane voltage throughout a single neuron and throughout a population of neurons in vitro. Although Archer1 has minimal pumping at wavelengths used for fluorescence excitation (655 nm), it maintains strong proton pumping currents at lower wavelengths (560 nm). Archer1 provides a bi-functional tool with both voltage sensing with red light and inhibitory capabilities with greenlight. Archer1 is capable of detecting small voltage changes in response to sensory stimulus

Suitable optogenetic reporters include the Arch-derived voltage sensors with trafficking signals for enhanced localization as well as the Arch mutants dubbed Arch-EEN and Arch-EEQ reported in Gong et al., *Enhanced Archaeorhodopsin fluorescent protein voltage indicators*, *PLoSOne* 8(6):e66959, incorporated by reference. Such reporters may include variants of Arch with the double mutations D95N-D106E (Arch-EEN) and D95Q-D106E (Arch-EEQ).

Suitable optogenetic reporters include sensors that use fluorescence resonance energy transfer (FRET) to combine rapid kinetics and the voltage dependence of the rhodopsin family voltage-sensing domains with the brightness of genetically engineered protein fluorophores. Such FRET-opsin sensors offer good spike detection fidelity, fast kinetics, and high brightness. FRET-opsin sensors are described in Gong et al., *Imaging neural spiking in brain tissue using*

FRET-opsin protein voltage sensors, Nat Comm 5:3674, incorporated by reference. A suitable FRET-opsin may include a fusion of a bright fluorophore to act as a FRET donor to a Mac rhodopsin molecule to server as both the voltage sensing domain and the FRET acceptor. Other sensors include the Accelerated Sensor of Action Potentials (ASAP1), a voltage sensor formed by insertion of a circularly permuted GFP into a chicken voltage-sensitive phosphatase. St-Pierre, 2014, High-fidelity optical reporting of neuronal electrical activity with an ultrafast fluorescent voltage sensor, Nat Neurosci 17(6):884, incorporated by reference. Other suitable reporters may include the ArcLight-derived probe dubbed Bongwoori and described in Piao et al., 2015, Combinatorial mutagenesis of the voltage-sensing domain enables the optical resolution of action potentials firing at 60 Hz by a genetically encoded fluorescent sensor of membrane potential, J Neurosci 35(1):372-385, incorporated by reference.

#### *4b. Causing a cell to express an optogenetic actuator*

In a preferred embodiment, the cells are transformed with an optical voltage actuator. This can occur, for example, simultaneously with transformation with the vector comprising the optogenetic reporter. The far-red excitation spectrum of the QuasAr reporters suggests that they may be paired with a blue light-activated channelrhodopsin to achieve all-optical electrophysiology. For spatially precise optical excitation, the channelrhodopsin should carry current densities sufficient to induce APs when only a subsection of a cell is excited. Preferably, light used for imaging the reporter should not activate the actuator, and light used for activating the actuator should not confound the fluorescence signal of the reporter. Thus in a preferred embodiment, an optical actuator and an optical reporter are spectrally orthogonal to avoid crosstalk and allow for simultaneous use. Spectrally orthogonal systems are discussed in Carlson and Campbell, 2013, Circularly permuted red fluorescent proteins and calcium ion indicators based on mCherry, Protein Eng Des Sel 26(12):763-772.

Preferably, a genetically-encoded optogenetic actuator is used. One actuator is channelrhodopsin2 H134R, an optogenetic actuator described in Nagel, G. et al., 2005, Light activation of channelrhodopsin-2 in excitable cells of *Caenorhabditis elegans* triggers rapid behavioral responses, Curr. Biol. 15, 2279-2284.

A screen of plant genomes has identified an optogenetic actuator, *Scherffelia dubia* ChR (sdChR), derived from a fresh-water green alga first isolated from a small pond in Essex,

England. See Klapoetke et al., 2014, Independent optical excitation of distinct neural populations, *Nat Meth Advance Online Publication* 1-14; see also Melkonian & Preisig, 1986, A light and electron microscopic study of *Scherffelia dubia*, a new member of the scaly green flagellates (Prasinophyceae). *Nord. J. Bot.* 6:235-256, both incorporated by reference. SdChR may offer good sensitivity and a blue action spectrum.

An improved version of sdChR dubbed CheRiff may be used as an optical actuator. The gene for *Scherffelia dubia* Channelrhodopsin (sdChR) (selected from a screen of channelrhodopsins for its blue excitation peak (474 nm) and its large photocurrent relative to ChR2) is synthesized with mouse codon optimization, a trafficking sequence from Kir2.1 is added to improve trafficking, and the mutation E154A is introduced. CheRiff exhibits significantly decreased crosstalk from red illumination (to  $10.5 \pm 2.8$  pA) allowing its use in cells along with optogenetic reporters described herein. CheRiff shows good expression and membrane trafficking in cultured rat hippocampal neurons. The maximum photocurrent under saturating illumination (488 nm, 500 mW/cm) is  $2.0 \pm 0.1$  nA ( $n = 10$  cells), approximately 2-fold larger than the peak photocurrents of ChR2 H134R or ChIEF (Lin et al., 2009, *Characterization of engineered channelrhodopsin variants with improved properties and kinetics*, *Biophys J* 96:1803-1814). In neurons expressing CheRiff, whole-cell illumination at only  $22 \pm 10$  mW/cm induces a photocurrent of 1 nA. Compared to ChR2 H134R and to ChIEF under standard channelrhodopsin illumination conditions (488 nm, 500 mW/cm). At 23 °C, CheRiff reaches peak photocurrent in  $4.5 \pm 0.3$  ms ( $n = 10$  cells). After a 5 ms illumination pulse, the channel closing time constant is comparable between CheRiff and ChIEF ( $16 \pm 0.8$  ms,  $n = 9$  cells, and  $15 \pm 2$  ms,  $n = 6$  cells, respectively,  $p = 0.94$ ), and faster than ChR2 H134R ( $25 \pm 4$  ms,  $n = 6$  cells,  $p < 0.05$ ). Under continuous illumination CheRiff partially desensitizes with a time constant of 400 ms, reaching a steady-state current of  $1.3 \pm 0.08$  nA ( $n = 10$  cells). Illumination of neurons expressing CheRiff induces trains of APs with high reliability and high repetition-rate.

When testing for optical crosstalk between QuasArs and CheRiff in cultured neurons, illumination sufficient to induce high-frequency trains of APs (488 nm, 140 mW/cm) perturbed fluorescence of QuasArs by  $< 1\%$ . Illumination with high intensity red light (640 nm, 900 W/cm) induced an inward photocurrent through CheRiff of  $14.3 \pm 3.1$  pA, which depolarized neurons by

$3.1 \pm 0.2$  mV ( $n = 5$  cells). ChIEF and ChR2 H134R generated similar red light photocurrents and depolarizations. For most applications this level of optical crosstalk is acceptable.

In some embodiments it is preferred to have an actuator whose activation is maximal at a violet light wavelength between 400 – 440 nm, further to the blue than CheRiff. Violet-activated channelrhodopsins can be simultaneously combined with yellow-excited Ca<sup>2+</sup> indicators (e.g. jRCaMP1a, jRGECO1a, and R-CaMP2) and a red-excited voltage indicator, e.g. QuasAr2, for simultaneous monitoring of Ca<sup>2+</sup> and voltage under optical stimulus conditions.

A preferred violet-excited channelrhodopsin actuator is TsChR, derived from *Tetraselmis striata* (See Klapoetke et al., 2014, Independent optical excitation of distinct neural populations, *Nat. Meth.* 11, 338-346 (2014)). This channelrhodopsin actuator has a blue-shifted action spectrum with a peak at 435 nm. Another preferred violet channelrhodopsin actuator is PsChR, derived from *Platymonas subcordiformis* (see Govorunova, Elena et al., 2013, Characterization of a highly efficient blue-shifted channelrhodopsin from the marine alga *Platymonas subcordiformis*, *J Biol Chem* 288(41):29911-29922). PsChR has a blue-shifted action spectrum with a peak at 437 nm. PsChR and TsChR are advantageously paired with red-shifted Ca<sup>2+</sup> indicators and can be used in the same cell or same field of view as these red-shifted Ca<sup>2+</sup> indicators without optical crosstalk.

#### *4c. Vectors for expression of optogenetic systems*

The optogenetic reporters and actuators may be delivered in constructs described here as optopatch constructs delivered through the use of an expression vector. Optopatch may be taken to refer to systems that perform functions traditionally associated with patch clamps, but via an optical input, readout, or both as provided for by, for example, an optical reporter or actuator. An Optopatch construct may include a bicistronic vector for co-expression of CheRiff-eGFP and QuasAr1- or QuasAr2-mOrange2. The QuasAr and CheRiff constructs may be delivered separately, or a bicistronic expression vector may be used to obtain a uniform ratio of actuator to reporter expression levels.

The genetically encoded reporter, actuator, or both may be delivered by any suitable expression vector using methods known in the art. An expression vector is a specialized vector that contains the necessary regulatory regions needed for expression of a gene of interest in a host cell. In some embodiments the gene of interest is operably linked to another sequence in the



vector. In some embodiments, it is preferred that the viral vectors are replication defective, which can be achieved for example by removing all viral nucleic acids that encode for replication. A replication defective viral vector will still retain its infective properties and enters the cells in a similar manner as a replicating vector, however once admitted to the cell a replication defective viral vector does not reproduce or multiply. The term "operably linked" means that the regulatory sequences necessary for expression of the coding sequence are placed in the DNA molecule in the appropriate positions relative to the coding sequence so as to effect expression of the coding sequence. This same definition is sometimes applied to the arrangement of coding sequences and transcription control elements (e.g. promoters, enhancers, and termination elements) in an expression vector.

Many viral vectors or virus-associated vectors are known in the art. Such vectors can be used as carriers of a nucleic acid construct into the cell. Constructs may be integrated and packaged into non-replicating, defective viral genomes like Adenovirus, Adeno-associated virus (AAV), or Herpes simplex virus (HSV) or others, including retroviral and lentiviral vectors, for infection or transduction into cells. The vector may or may not be incorporated into the cell's genome. The constructs may include viral sequences for transfection, if desired. Alternatively, the construct may be incorporated into vectors capable of episomal replication, such as an Epstein Barr virus (EPV or EBV) vector. The inserted material of the vectors described herein may be operatively linked to an expression control sequence when the expression control sequence controls and regulates the transcription and translation of that polynucleotide sequence. In some examples, transcription of an inserted material is under the control of a promoter sequence (or other transcriptional regulatory sequence) which controls the expression of the recombinant gene. In some embodiments, a recombinant cell containing an inducible promoter is used and exposed to a regulatory agent or stimulus by externally applying the agent or stimulus to the cell or organism by exposure to the appropriate environmental condition or the operative pathogen. Inducible promoters initiate transcription only in the presence of a regulatory agent or stimulus. Examples of inducible promoters include the tetracycline response element and promoters derived from the beta-interferon gene, heat shock gene, metallothionein gene or any obtainable from steroid hormone-responsive genes. Inducible promoters which may be used in performing the methods of the present invention include those regulated by hormones and hormone analogs such as progesterone, ecdysone and glucocorticoids as well as promoters which

are regulated by tetracycline, heat shock, heavy metal ions, interferon, and lactose operon activating compounds. See Gingrich and Roder, 1998, Inducible gene expression in the nervous system of transgenic mice, *Annu Rev Neurosci* 21:377-405. Tissue specific expression has been well characterized in the field of gene expression and tissue specific and inducible promoters are well known in the art. These promoters are used to regulate the expression of the foreign gene after it has been introduced into the target cell. In certain embodiments, a cell-type specific promoter or a tissue-specific promoter is used. A cell-type specific promoter may include a leaky cell-type specific promoter, which regulates expression of a selected nucleic acid primarily in one cell type, but cause expression in other cells as well. For expression of an exogenous gene specifically in neuronal cells, a neuron-specific enolase promoter can be used. See Forss-Petter et al., 1990, Transgenic mice expressing beta-galactosidase in mature neurons under neuron specific enolase promoter control, *Neuron* 5: 187-197. For expression of an exogenous gene in dopaminergic neurons, a tyrosine hydroxylase promoter can be used.

In some embodiments, the expression vector is a lentiviral vector. Lentiviral vectors may include a eukaryotic promoter. The promoter can be any inducible promoter, including synthetic promoters, that can function as a promoter in a eukaryotic cell. For example, the eukaryotic promoter can be, but is not limited to, CamKII $\alpha$  promoter, human Synapsin promoter, ecdysone inducible promoters, E1a inducible promoters, tetracycline inducible promoters etc., as are well known in the art. In addition, the lentiviral vectors used herein can further comprise a selectable marker, which can comprise a promoter and a coding sequence for a selectable trait. Nucleotide sequences encoding selectable markers are well known in the art, and include those that encode gene products conferring resistance to antibiotics or anti-metabolites, or that supply an auxotrophic requirement. Examples of such sequences include, but are not limited to, those that encode thymidine kinase activity, or resistance to methotrexate, ampicillin, kanamycin, among others. Use of lentiviral vectors is discussed in Wardill et al., 2013, A neuron-based screening platform for optimizing genetically-encoded calcium indicators, *PLoS One* 8(10):e77728; Dottori, et al., Neural development in human embryonic stem cells-applications of lentiviral vectors, *J Cell Biochem* 112(8):1955-62; and Diester et al., 2011, An optogenetic toolbox designed for primates, *Nat Neurosci* 14(3):387-97. When expressed under a CaMKII $\alpha$  promoter in cultured rat hippocampal neurons the Optopatch construct exhibits high expression and good membrane trafficking of both CheRiff and QuasAr2.

In some embodiments the viral vector is an adeno-associated virus (AAV) vector. AAV can infect both dividing and non-dividing cells and may incorporate its genome into that of the host cell. One suitable viral vector uses recombinant adeno-associated virus (rAAV), which is widely used for gene delivery in the CNS.

In certain embodiments, methods of the invention use a Cre-dependent expression system. Cre-dependent expression includes Cre-Lox recombination, a site-specific recombinase technology that uses the enzyme Cre recombinase, which recombines a pair of short target sequences called the Lox sequences. This system can be implemented without inserting any extra supporting proteins or sequences. The Cre enzyme and the original Lox site called the LoxP sequence are derived from bacteriophage P1. Bacteriophage P1 uses Cre-lox recombination to circularize and replicate its genomic DNA. This recombination strategy is employed in Cre-Lox technology for genome manipulation, which requires only the Cre recombinase and LoxP sites. Sauer & Henderson, 1988, Site-specific DNA recombination in mammalian cells by the Cre recombinase of bacteriophage P1, PNAS 85:5166-70 and Sternberg & Hamilton, 1981, Bacteriophage P1 site-specific recombination. I. Recombination between LoxP sites, J Mol Biol 150:467-86. Methods may use a Cre recombinase-dependent viral vector for targeting tools such as channelrhodopsin-2 (ChR2) to specific neurons with expression levels sufficient to permit reliable photostimulation. Optogenetic tools such as ChR2 tagged with a fluorescent protein such as mCherry (e.g., ChR2mCherry) or any other of the tools discussed herein are thus delivered to the cell or cells for use in characterizing those cells.

The delivery vector may include Cre and Lox. The vector may further optionally include a Lox-stop-Lox (LSL) cassette to prevent expression of the transgene in the absence of Cre-mediated recombination. In the presence of Cre recombinase, the LoxP sites recombine, and a removable transcription termination Stop element is deleted. Removal of the stop element may be achieved through the use of AdenoCre, which allows control of the timing and location of expression. Use of the LSL cassette is discussed in Jackson, et al., 2001, Analysis of lung tumor initiation and progression using conditional expression of oncogenic K-ras, Genes & Dev 15:3243-3248.

In certain embodiments, a construct of the invention uses a "flip-excision" switch, or FLEX switch (FLip EXcision), to achieve stable transgene inversion. The FLEX switch is discussed in Schnutgen et al., 2003, A directional strategy for monitoring Cre-mediated

recombination at the cellular level in the mouse, *Nat Biotechnol* 21:562–565. The FLEX switch uses two pairs of heterotypic, antiparallel LoxP-type recombination sites which first undergo an inversion of the coding sequence followed by excision of two sites, leading to one of each orthogonal recombination site oppositely oriented and incapable of further recombination. A FLEX switch provides high efficiency and irreversibility. Thus in some embodiments, methods use a viral vector comprising rAAV-FLEX-rev-ChR2mCherry. Additionally or alternatively, a vector may include FLEX and any other optogenetic tool discussed herein (e.g., rAAV-FLEX-QuasAr, rAAV-FLEX-CheRiff). Using rAAV-FLEX-rev-ChR2mCherry as an illustrative example, Cre-mediated inversion of the ChR2mCherry coding sequence results in the coding sequence being in the wrong orientation (i.e., rev-ChR2mCherry) for transcription until Cre inverts the sequence, turning on transcription of ChR2mCherry. FLEX switch vectors are discussed in Atasoy et al., 2009, A FLEX switch targets channelrhodopsin-2 to multiple cell types for imaging and long-range circuit mapping, *J Neurosci* 28(28):7025-7030.

Use of a viral vector such as Cre-Lox system with an optical reporter, optical actuator, or both (optionally with a FLEX switch and/or a Lox-Stop-Lox cassette) for labeling and stimulation of neurons allows for efficient photo-stimulation with only brief exposure (1 ms) to less than 100  $\mu$ W focused laser light or to light from an optical fiber. Such Further discussion may be found in Yizhar et al., 2011, Optogenetics in neural systems, *Neuron* 71(1):9-34; Cardin et al., 2010, Targeted optogenetic stimulation and recording of neurons in vivo using cell-type-specific expression of Channelrhodopsin-2, *Nat Protoc* 5(2):247-54; Rothermel et al., 2013, Transgene expression in target-defined neuron populations mediated by retrograde infection with adeno-associated viral vectors, *J Neurosci* 33(38):195-206; and Saunders et al., 2012, Novel recombinant adeno-associated viruses for Cre activated and inactivated transgene expression in neurons, *Front Neural Circuits* 6:47.

In certain embodiments, actuators, reporters, or other genetic material may be delivered using chemically-modified mRNA. It may be found and exploited that certain nucleotide modifications interfere with interactions between mRNA and toll-like receptor, retinoid-inducible gene, or both. Exposure to mRNAs coding for the desired product may lead to a desired level of expression of the product in the cells. See, e.g., Kormann et al., 2011, Expression of therapeutic proteins after delivery of chemically modified mRNA in mice, *Nat Biotech*

29(2):154-7; Zangi et al., 2013, Modified mRNA directs the fate of heart progenitor cells and induces vascular regeneration after myocardial infarction, Nat Biotech 31:898-907.

It may be beneficial to culture or mature the cells after transformation with the genetically encoded optical reporter with optional actuator. In some embodiments, the neurons are matured for 8-10 days post infection. Using microscopy and analytical methods described herein, the cell and its action potentials may be observed. For additional discussion, see U.S. Pub. 2013/0224756 and U.S. Pub. 2014/0295413, each incorporated by reference in its entirety for all purposes.

#### *4d. Optogenetic constructs and plating schemes for simultaneous voltage and Ca<sup>2+</sup> measurement.*

FIG. 25 presents schematic structures of optogenetic proteins used for stimulus and detection of voltage and intracellular Ca<sup>2+</sup>. The diagrams show proteins homologous to CheRiff and QuasAr2. Stimulus of cells is achieved through 488 nm LED illumination of CheRiff. The CheRiff construct is coupled to an eGFP tag for detection of CheRiff expression. A fusion protein called CaViar (Hou et al., 2014), consisting of QuasAr2 (Hochbaum et al., 2014) fused to GCaMP6f (Chen et al., 2013), is used for simultaneous voltage and Ca<sup>2+</sup> imaging. QuasAr2 is excited via red laser light. GCaMP6f is excited via blue laser light. Cells are separately transduced with either CheRiff or CaViar vectors.

FIG. 26 illustrates cellular plating configurations. For simultaneous optical stimulus and voltage imaging, CheRiff cells (solid cyan circles) are co-mingled with CaViar cells (solid red circles). The yellow dotted line indicates a microscope field of view. For simultaneous optical stimulus and imaging of both Ca<sup>2+</sup> and membrane voltage, cells are plated to spatially segregate CheRiff-expressing cells from CaViar-expressing cells to avoid optical crosstalk between the pulsed blue light used to periodically stimulate the CheRiff-expressing cells and the continuous blue light used to image the CaViar-expressing cells. The CheRiff-expressing cells lay outside the imaging region.

When testing for optical crosstalk between Arch-based reporters and CheRiff in cultured cells, illumination sufficient to induce APs (488 nm, 140 mW/cm<sup>2</sup>) perturbed fluorescence of QuasAr reporters by < 1%. Illumination with high intensity red light (640 nm, 900 W/cm<sup>2</sup>) induced an inward photocurrent through CheRiff of  $14.3 \pm 3.1$  pA, which depolarized cells by

$3.1 \pm 0.2$  mV ( $n = 5$  cells). ChIEF and ChR2 H134R generated similar red light photocurrents and depolarizations. For most applications this level of optical crosstalk is acceptable.

#### *4e. Multimodal sensing/multiplexing*

Membrane potential is only one of several mechanisms of signaling within cells. One may correlate changes in membrane potential with changes in concentration of other species, such as  $\text{Ca}^{2+}$ ,  $\text{H}^{+}$  (i.e. pH),  $\text{Na}^{+}$ , ATP, cAMP, NADH. We constructed fusions of Arch with pHluorin (a fluorescent pH indicator) and GCaMP6f (a fluorescent  $\text{Ca}^{2+}$  indicator). The fusion of an Arch-based voltage indicator and a genetically encoded  $\text{Ca}^{2+}$  indicator is called CaViar (See Hou et al., 2014, Simultaneous mapping of membrane voltage and calcium in zebrafish heart in vivo reveals chamber-specific developmental transitions in ionic currents, *Frontiers in physiology* 5). One can also use fusions with other protein-based fluorescent indicators to enable other forms of multimodal imaging using the concept as taught herein. Concentration of ions such as sodium, potassium, chloride, and calcium can be simultaneously measured when the nucleic acid encoding the microbial rhodopsin is operably linked to or fused with an additional fluorescent analyte sensitive indicator; or when the microbial rhodopsin and the additional fluorescent analyte sensitive indicator are co-expressed in the same cell.

It is often desirable to achieve simultaneous optical stimulation of a cell, calcium imaging, and voltage imaging. To achieve all three modalities in the same cell, the invention provides for a violet-excited Channelrhodopsin actuator (psChR or TsChR); a red-shifted genetically encoded calcium indicator; and a far red Arch-derived voltage indicator. Red-shifted genetically encoded calcium indicators include R-GECO1 (See Zhao, Yongxin, et al. "An expanded palette of genetically encoded  $\text{Ca}^{2+}$  indicators." *Science* 333.6051 (2011): 1888-1891 and Wu, Jiahui, et al. "Improved orange and red  $\text{Ca}^{2+}$  indicators and photophysical considerations for optogenetic applications." *ACS chemical neuroscience* 4.6 (2013): 963-972, both incorporated by reference), R-CaMP2 (See Inoue, Masatoshi, et al. "Rational design of a high-affinity, fast, red calcium indicator R-CaMP2." *Nature methods* 12.1 (2015): 64-70, incorporated by reference), jRCaMP1a (Addgene plasmid 61562), and jRGECO1a (Addgene plasmid 61563). These calcium indicators are excited by wavelengths between 540 and 560 nm, and emit at wavelengths between 570 and 620 nm, thereby permitting spectral separation from the violet-excited channelrhodopsin actuator and the Arch-based voltage indicator.

One can combine imaging of voltage indicating proteins with other structural and functional imaging, of e.g. pH, calcium, or ATP. One may also combine imaging of voltage indicating proteins with optogenetic control of membrane potential using e.g. channelrhodopsin, halorhodopsin, and Archaelhodopsin. If optical measurement and control are combined, one can perform all-optical electrophysiology to probe the dynamic electrical response of any membrane.

The invention provides high-throughput methods of characterizing cells. Robotics and custom software may be used for screening large libraries or large numbers of conditions which are typically encountered in high throughput drug screening methods.

#### *4f. Optical readout*

Embodiments of the invention provide for spatial separation of stimulating cells and reporter cells. Expression of channelrhodopsin-based light-gated ion channels provides a means to achieve optical stimulus. However, the blue light used to activate these channels may overlap spectrally with the light used to image most small-molecule and genetically encoded fluorescent reporters of physiological activity (e.g. gCaMP Ca<sup>2+</sup> indicators, Percival ATP indicators, pHluorin pH indicators, VF2.1.Cl voltage-sensitive dyes). Also, the light used to image these reporters may lead to off-target activation of all known channelrhodopsin actuators. Ideally, one would like to optically stimulate a cell culture while maintaining freedom to record from fluorescent reporters of any color, without optical crosstalk between the stimulus and the physiological measurement. Methods of the invention allow a cellular culture to be optically stimulated while also using fluorescent reporters of any color, without optical crosstalk between the stimulus and the physiological measurement through the spatial separation of actuator cells and reporter cells.

One solution presented here comprises expressing channelrhodopsin actuators in one set of hiPSC-derived cells, and expressing reporters (e.g. CaViar dual-function Ca<sup>2+</sup> and voltage reporter) in another set of cells. Flashes of blue light are delivered to the actuator cells, while continuous blue light is used to monitor the reporter cells. The actuator cells stimulate the reporter cells through synapses. A key challenge is to identify and target the stimulus and the measurement light beams to the appropriate corresponding cells. Methods of the invention provide at least two embodiments of the solution to the problem of targeting separate stimulus

and measurement light beams to the appropriate cells: a first approach based on spatial segregation and a second approach based on image processing and patterned illumination.

#### *4g. Spatial segregation*

In a first embodiment using spatial segregation, light is targeted to the actuator cells using spatial segregation of actuator and reporter-expressing cells.

Cells are independently infected with actuator and reporter and are re-plated in distinct but electrically contiguous regions. Optical stimulus is delivered only to regions of the dish with cells expressing the actuator, and sensor measurements using any wavelength of light are recorded in regions of the dish away from cells expressing the actuator. In one instance, the actuator is CheRiff, and the sensor is CaViar in human iPSC-derived neurons.

FIG. 27 shows cells expressing CheRiff plated in an annular region, 10 mm outer diameter, ~8 mm diameter. The inner radius is set by a disk of polydimethyl siloxane (PDMS) adhered to the coverslip and the outer diameter is set by the edge of the chamber. The PDMS disk is then removed and cells expressing CaViar are plated throughout. Stimulus is controlled by a blue LED whose illumination is confined to a small region of the actuating cells. Voltage and calcium imaging are achieved with a red and blue laser, respectively, in a region free of CheRiff-expressing cells.

#### *4h. Patterned illumination*

In a second embodiment using patterned illumination, light is targeted to the actuator cells using image processing and patterned illumination to separately target intermingled actuator- and reporter-expressing cells.

For image processing and patterned illumination, cells expressing either actuator or reporters are randomly intermixed. In one embodiment, cells are initially plated separately and caused to express either the actuator or the reporter. The cells are then lifted from their respective dishes, mixed, and co-plated onto the imaging dish. In another embodiment, cells are plated directly in the imaging chamber, and doubly infected with lentivirus encoding Cre-On actuator and a Cre-Off reporter. The cells are then infected sparsely with lentivirus encoding the Cre protein, so that in a sparse subset of cells the actuator is switched on and the reporter is switched off.



Cells expressing the actuator are identified via a recognizable marker, e.g. a fluorescent protein, or by their absence of fluorescence transients indicating presence of a reporter. Optical stimulus is achieved by spatially patterning the excitation light using a digital micromirror device (DMD) to project flashes onto only those cells expressing the actuator.

FIG. 5 diagrams an optical imaging apparatus 501 for patterned illumination. A 488 nm blue laser beam is modulated in intensity by an acousto-optic modulator (not shown), and then reflected off a digital micromirror device (DMD) 505. The DMD imparted a spatial pattern on the blue laser beam (used for CheRiff excitation) on its way into the microscope. The micromirrors are re-imaged onto the sample 509, leading to an arbitrary user-defined spatio-temporal pattern of illumination at the sample. Simultaneous whole-field illumination with 640 nm red light excites fluorescence of the reporter.

The fluorescent protein serving as a recognizable marker of the cells expressing the actuator is imaged to determine a pattern of those actuator cells. The digital coordinates of that image are used to control the DMD 505 so that the DMD 505 directs the blue 488 nm light only onto the actuator cells. Due to the precision of the patterned illumination provided by the DMD 505, the cells expressing the reporter are not exposed to the 488 nm light. Cells expressing the reporter are imaged under continuous illumination, with the 640 nm light targeted via the DMD to illuminate only those cells expressing the reporter, and optionally continuous illumination at a wavelength of 488 nm to illuminate an additional reporter such as a GCaMP calcium indicator.

By the patterned illumination method, flashes of blue light are delivered to the actuator cells, while continuous red and/or blue light is used to monitor the reporter cells. The actuator cells stimulate the reporter cells (e.g., across synapses). Preferably, the actuator cells comprise a first set of hiPSC-derived neurons expressing channelrhodopsin actuators and the reporter cells comprise a second set of hiPSC-derived neurons expressing reporters (e.g. QuasAr2 or CaViar dual-function Ca<sup>2+</sup> and voltage reporter).

The foregoing (i) spatial segregation and (ii) patterned illumination methods provide for optical detection of changes in membrane potential, [Ca<sup>2+</sup>], or both, in optically stimulated neurons. The described methods and techniques herein provide for the optical detection of the effects of compounds on cells such as cells with disease genotypes. Such detection allows for evaluating the effect of a compound or other stimulus on the phenotype of such cells.

#### *4i. Preparation of plates for voltage imaging*

MatTek dishes (MatTek corp.; 10mm glass diameter, #1.5) are coated with 10  $\mu\text{g}/\text{mL}$  fibronectin (Sigma-Aldrich) in 0.1% gelatin overnight at 4 °C. Trypsinized CaViar and CheRiff-expressing cells are first mixed at a ratio of 5:1 CaViar:CheRiff, and then pelleted. The combined cells are re-suspended in 2.1 mL of maintenance medium and plated at a density of  $2.5 \times 10^4$  cells/cm<sup>2</sup> in 100  $\mu\text{L}$  of plating medium to cover the entire glass surface. Cells are kept at 37° C in 5% CO<sub>2</sub> overnight to adhere to the glass. Maintenance medium (1.0 mL) is added to each dish and the cells are fed every 48 hours by removing 750  $\mu\text{L}$  of medium from the dish and replacing with 750  $\mu\text{L}$  fresh maintenance medium.

#### *4j. Preparation of plates for simultaneous voltage and calcium imaging*

For simultaneous voltage and calcium imaging, MatTek dishes (10 mm glass diameter) are prepared to segregate CheRiff-expressing cells from CaViar-expressing cells. This allows simultaneous calcium imaging and CheRiff stimulus, both with blue light, without optical crosstalk between the two functions. In certain embodiments, 8 mm-diameter polydimethylsiloxane (PDMS) discs are treated with a solution of 10  $\mu\text{g}/\text{mL}$  fibronectin in 0.1% gelatin on one side for 10 minutes at room temperature. The coated discs are then dried and then pressed onto the MatTek dish glass surface, slightly offset to one side. The remaining exposed area of the glass is then coated with 10  $\mu\text{g}/\text{mL}$  fibronectin in 0.1% gelatin. Cells expressing the CheRiff are trypsinized according to the manufacturer's protocol and re-suspended in 50  $\mu\text{L}$  of maintenance medium per dish. For plating, 50  $\mu\text{L}$  of the CheRiff cells are then added to the exposed portion of the glass surface and allowed to sit for 40 minutes at 37 °C in 5% CO<sub>2</sub> to allow the cells to adhere. The PDMS discs are then removed, the glass surface washed with 150  $\mu\text{L}$  of maintenance media medium and the remaining volume aspirated. Trypsinized CaViar cells are then re-suspended in 100  $\mu\text{L}$  of maintenance medium per dish and plated at a density of  $2.0 \times 10^4$  cells/cm<sup>2</sup> in 100  $\mu\text{L}$  to cover the entire glass surface. Cells are kept at 37 °C in 5% CO<sub>2</sub> overnight to adhere to the glass. 1.0 mL of maintenance medium is added to each dish and the cells are fed every 48 hours by removing 750  $\mu\text{L}$  of media from the dish and adding 750  $\mu\text{L}$  fresh maintenance medium.

### *5. Imaging activity assay*

### 5a. Capturing images

Methods of the invention may include stimulating the cells that are to be observed. Stimulation may be direct or indirect (e.g., optical stimulation of an optical actuator or stimulating an upstream cell in synaptic communication with the cell(s) to be observed). Stimulation may be optical, electrical, chemical, or by any other suitable method. Stimulation may involve any pattern of a stimulation including, for example, regular, periodic pulses, single pulses, irregular patterns, or any suitable pattern. Methods may include varying optical stimulation patterns in space or time to highlight particular aspects of cellular function. For example, a pulse pattern may have an increasing frequency. In certain embodiments, imaging includes stimulating a neuron that expresses an optical actuator using pulses of light.

A neuron expressing an Optopatch construct may be exposed to whole-field illumination with pulses of blue light (10 ms, 25 mW/cm) to stimulate CheRiff, and simultaneous constant illumination with red light (800 W/cm) to excite fluorescence of QuasAr2. The fluorescence of QuasAr2 may be imaged at a 1 kHz frame rate. Key parameters include temporal precision with which single spikes can be elicited and recorded, signal-to-noise ratio (SNR) in fluorescence traces, and long-term stability of the reporter signal. Methods provided herein may be found to optimize those parameters. Further discussion may be found in Foust et al., 2010, Action potentials initiate in the axon initial segment and propagate through axon collaterals reliably in cerebellar Purkinje neurons, *J. Neurosci* 30:6891-6902; and Popovic et al., 2011, The spatio-temporal characteristics of action potential initiation in layer 5 pyramidal neurons: a voltage imaging study, *J. Physiol.* 589:4167-4187.

In some embodiments, measurements are made using a low-magnification microscope that images a  $1.2 \times 3.3$  mm field of view with 3  $\mu\text{m}$  spatial resolution and 2 ms temporal resolution. In other embodiments, measurements are made using a high-magnification microscope that images a 100  $\mu\text{m}$  field of view with 0.8  $\mu\text{m}$  spatial resolution and 1 ms temporal resolution. A suitable instrument is an inverted fluorescence microscope, similar to the one described in the Supplementary Material to Kralj et al., 2012, Optical recording of action potentials in mammalian neurons using a microbial rhodopsin, *Nat. Methods* 9:90-95. Briefly, illumination from a red laser 640 nm, 140 mW (Coherent Obis 637-140 LX), is expanded and focused onto the back-focal plane of a 60 $\times$  oil immersion objective, numerical aperture 1.45 (Olympus 1-U2B616).

FIG. 5 gives a functional diagram of components of an optical imaging apparatus 501 according to certain embodiments. A 488 nm blue laser beam is modulated in intensity by an acousto-optic modulator (not shown), and then reflected off a digital micromirror device (DMD) 505. The DMD imparted a spatial pattern on the blue laser beam (used for CheRiff excitation) on its way into the microscope. The micromirrors are re-imaged onto the sample 509, leading to an arbitrary user-defined spatiotemporal pattern of illumination at the sample. Simultaneous whole-field illumination with 640 nm red light excites fluorescence of the QuasAr reporter.

With the inverted fluorescence microscope, illumination from a blue laser 488 nm 50 mW (Omicron PhoxX) is sent through an acousto-optic modulator (AOM; Gooch and Housego 48058-2.5-.55-5W) for rapid control over the blue intensity. The beam is then expanded and modulated by DMD 505 with 608×684 pixels (Texas Instruments LightCrafter). The DMD is controlled via custom software (Matlab) through a TCP/IP protocol. The DMD chip is re-imaged through the objective onto the sample, with the blue and red beams merging via a dichroic mirror. Each pixel of the DMD corresponds to 0.65  $\mu\text{m}$  in the sample plane. A 532 nm laser is combined with the red and blue beams for imaging of mOrange2. Software is written to map DMD coordinates to camera coordinates, enabling precise optical targeting of any point in the sample.

To achieve precise optical stimulation of user-defined regions of a neuron, pixels on DMD 505 are mapped to pixels on the camera. The DMD projects an array of dots of known dimensions onto the sample. The camera acquires an image of the fluorescence. Custom software locates the centers of the dots in the image, and creates an affine transformation to map DMD coordinates onto camera pixel coordinates.

A dual-band dichroic filter (Chroma zt532/635rpc) separates reporter (e.g., Arch) from excitation light. A 531/40 nm bandpass filter (Semrock FF01-531/40-25) may be used for eGFP imaging; a 710/100 nm bandpass filter (Chroma, HHQ710/100) for Arch imaging; and a quad-band emission filter (Chroma ZET405/488/532/642m) for mOrange2 imaging and pre-measurement calibrations. A variable-zoom camera lens (Sigma 18-200 mm f/3.5-6.3 II DC) is used to image the sample onto an EMCCD camera (Andor iXon + DU-860), with 128 × 128 pixels. Images may be first acquired at full resolution (128 × 128 pixels). Data is then acquired with 2 × 2 pixel binning to achieve a frame rate of 1,000 frames/s. For runs with infrequent stimulation (once every 5 s), the red illumination is only on from 1 s before stimulation to 50 ms

after stimulation to minimize photobleaching. Cumulative red light exposure may be limited to < 5 min. per neuron.

Low magnification wide-field imaging is performed with a custom microscope system based around a 2×, NA 0.5 objective (Olympus MVX-2). Illumination is provided by six lasers 640 nm, 500 mW (Dragon Lasers 635M500), combined in three groups of two. Illumination is coupled into the sample using a custom fused silica prism, without passing through the objective. Fluorescence is collected by the objective, passed through an emission filter, and imaged onto a scientific CMOS camera (Hamamatsu Orca Flash 4.0). Blue illumination for channelrhodopsin stimulation is provided by a 473 nm, 1 W laser (Dragon Lasers), modulated in intensity by an AOM and spatially by a DMD (Digital Light Innovations DLi4130 – ALP HS). The DMD is re-imaged onto the sample via the 2× objective. During a run, neurons may be imaged using wide-field illumination at 488 nm and eGFP fluorescence. A user may select regions of interest on the image of the neuron, and specify a time course for the illumination in each region. The software maps the user-selected pixels onto DMD coordinates and delivers the illumination instructions to the DMD.

The inverted fluorescence micro-imaging system records optically from numerous (e.g., 50) expressing cells or cell clusters in a single field of view. The system may be used to characterize optically evoked firing patterns and AP waveforms in neurons expressing an Optopatch construct. Each field of view is exposed to whole-field pulses of blue light to evoke activity (e.g., 0.5 s, repeated every 6 s, nine intensities increasing from 0 to 10 mW/cm). Reporter fluorescence such as from QuasAr may be simultaneously monitored with whole-field excitation at 640 nm, 100 W/cm.

FIG. 6 illustrates a pulse sequence of red and blue light used to record action potentials under increasing optical stimulation. In some embodiments, neurons are imaged on a high resolution microscope with 640 nm laser (600 W/cm) for voltage imaging. In certain embodiments, neurons are imaged on a high resolution microscope with 640 nm laser (600 W/cm) for voltage imaging and excited with a 488 nm laser (20-200 mW/cm). Distinct firing patterns can be observed (e.g., fast adapting and slow-adapting spike trains). System measurements can detect rare electrophysiological phenotypes that might be missed in a manual patch clamp measurement. Specifically, the cells' response to stimulation (e.g., optical actuation)

may be observed. Instruments suitable for use or modification for use with methods of the invention are discussed in U.S. Pub. 2013/0170026 to Cohen, incorporated by reference.

Using the described methods, populations of cells may be measured. For example, both diseased and corrected (e.g., by zinc finger domains) motor neurons may be measured. A cell's characteristic signature such as a neural response as revealed by a spike train may be observed.

### *5b. Extracting fluorescence from movies*

Fluorescence values are extracted from raw movies by any suitable method. One method uses the maximum likelihood pixel weighting algorithm described in Kralj et al., 2012, Optical recording of action potentials in mammalian neurons using a microbial rhodopsin, Nat Methods 9:90-95. Briefly, the fluorescence at each pixel is correlated with the whole-field average fluorescence. Pixels that showed stronger correlation to the mean are preferentially weighted. This algorithm automatically finds the pixels carrying the most information, and de-emphasizes background pixels.

In movies containing multiple cells, fluorescence from each cell is extracted via methods known in the art such as Mukamel, Eran A., Axel Nimmerjahn, and Mark J. Schnitzer. "Automated analysis of cellular signals from large-scale calcium imaging data." *Neuron* 63.6 (2009): 747-760, or Maruyama, Ryuichi, et al. "Detecting cells using non-negative matrix factorization on calcium imaging data." *Neural Networks* 55 (2014): 11-19. These methods use the spatial and temporal correlation properties of action potential firing events to identify clusters of pixels whose intensities co-vary, and associate such clusters with individual cells.

Alternatively, a user defines a region comprising the cell body and adjacent neurites, and calculates fluorescence from the unweighted mean of pixel values within this region. With the improved trafficking of the QuasAr mutants compared to Arch, these two approaches give similar results. In low-magnification images, direct averaging and the maximum likelihood pixel weighting approaches may be found to provide optimum signal-to-noise ratios.

## *6. Signal processing*

### *6a. Signal processing with independent component analysis to associate signals with cells*

An image or movie may contain multiple cells in any given field of view, frame, or image. In images containing multiple neurons, the segmentation is performed semi-automatically

using an independent components analysis (ICA) based approach modified from that of Mukamel, et al., 2009, Automated analysis of cellular signals from large-scale calcium imaging data, *Neuron* 63:747-760. The ICA analysis can isolate the image signal of an individual cell from within an image.

FIG. 7-FIG. 10 illustrate the isolation of individual cells in a field of view. Individual cells are isolated in a field of view using an independent component analysis.

FIG. 7 shows an image that contains five neurons whose images overlap with each other. The fluorescence signal at each pixel is an admixture of the signals from each of the neurons underlying that pixel.

As shown in FIG. 8, the statistical technique of independent components analysis finds clusters of pixels whose intensity is correlated within a cluster, and maximally statistically independent between clusters. These clusters correspond to images of individual cells comprising the aggregate image of FIG. 7.

From the pseudo-inverse of the set of images shown in FIG. 8 are calculated spatial filters with which to extract the fluorescence intensity time-traces for each cell. Filters are created by setting all pixel weights to zero, except for those in one of the image segments. These pixels are assigned the same weight they had in the original ICA spatial filter.

In FIG. 9, by applying the segmented spatial filters to the movie data, the ICA time course has been broken into distinct contributions from each cell. Segmentation may reveal that the activities of the cells are strongly correlated, as expected for cells found together by ICA. In this case, the spike trains from the image segments are similar but show a progress over time as the cells signal one another.

FIG. 10 shows the individual filters used to map (and color code) individual cells from the original image.

#### *6b. Signal processing via sub-Nyquist action potential timing (SNAPT)*

For individual cells, action potentials can be identified as spike trains represented by the timing at which an interpolated action potential crosses a threshold at each pixel in the image. Identifying the spike train may be aided by first processing the data to remove noise, normalize signals, improve SNR, other pre-processing steps, or combinations thereof. Action potential signals may first be processed by removing photobleaching, subtracting a median filtered trace,

and isolating data above a noise threshold. The spike train may then be identified using an algorithm based on sub-Nyquist action potential timing such as an algorithm based on the interpolation approach of Foust, et al., 2010, Action potentials initiate in the axon initial segment and propagate through axon collaterals reliably in cerebellar Purkinje neurons. *J. Neurosci* 30, 6891-6902 and Popovic et al, 2011, The spatio-temporal characteristics of action potential initiation in layer 5 pyramidal neurons: a voltage imaging study. *J. Physiol.* 589, 4167-4187.

A sub-Nyquist action potential timing (SNAPT) algorithm highlights subcellular timing differences in AP initiation. For example, the algorithm may be applied for neurons expressing Optopatch1, containing a voltage reporter such as QuasAr1. Either the soma or a small dendritic region is stimulated. The timing and location of the ensuing APs is monitored.

FIG. 11 shows a patterned optical excitation being used to induce action potentials. Movies of individual action potentials are acquired (e.g., at 1,000 frames/ s), temporally registered, and averaged.

The first step in the temporal registration of spike movies is to determine the spike times. Determination of spike times is performed iteratively. A simple threshold-and-maximum procedure is applied to  $F(t)$  to determine approximate spike times,  $\{T_0\}$ . Waveforms in a brief window bracketing each spike are averaged together to produce a preliminary spike kernel  $K_0(t)$ . A cross-correlation of  $K_0(t)$  with the original intensity trace  $F(t)$  is calculated. Whereas the timing of maxima in  $F(t)$  is subject to errors from single-frame noise, the peaks in the cross-correlation, located at times  $\{T\}$ , are a robust measure of spike timing. A movie showing the mean AP propagation may be constructed by averaging movies in brief windows bracketing spike times  $\{T\}$ . Typically 100 – 300 APs are included in this average. The AP movie has high signal-to-noise ratio. A reference movie of an action potential is thus created by averaging the temporally registered movies (e.g., hundreds of movies) of single APs. Each frame of the movie is then corrected by dividing by this baseline.

Spatial and temporal linear filters may further decrease the noise in AP movie. A spatial filter may include convolution with a Gaussian kernel, typically with a standard deviation of 1 pixel. A temporal filter may be based upon Principal Components Analysis (PCA) of the set of single-pixel time traces. The time trace at each pixel is expressed in the basis of PCA eigenvectors. Typically the first 5 eigenvectors are sufficient to account for >99% of the pixel-to-



pixel variability in AP waveforms, and thus the PCA Eigen-decomposition is truncated after 5 terms. The remaining eigenvectors represented uncorrelated shot noise.

FIG. 12 shows eigenvectors resulting from a principal component analysis (PCA) smoothing operation performed to address noise. Photobleaching or other such non-specific background fluorescence may be addressed by these means.

FIG. 13 shows a relation between cumulative variance and eigenvector number. FIG. 14 gives a comparison of action potential waveforms before and after the spatial and PCA smoothing operations.

A smoothly varying spline function may be interpolated between the discretely sampled fluorescence measurements at each pixel in this smoothed reference AP movie. The timing at each pixel with which the interpolated AP crosses a user-selected threshold may be inferred with sub-exposure precision. The user sets a threshold depolarization to track (represented as a fraction of the maximum fluorescence transient), and a sign for  $dV/dt$  (indicating rising or falling edge). The filtered data is fit with a quadratic spline interpolation and the time of threshold crossing is calculated for each pixel.

FIG. 15 shows an action potential timing map. The timing map may be converted into a high temporal resolution SNAPT movie by highlighting each pixel in a Gaussian time course centered on the local AP timing. The SNAPT fits are converted into movies showing AP propagation as follows. Each pixel is kept dark except for a brief flash timed to coincide with the timing of the user-selected AP feature at that pixel. The flash followed a Gaussian time-course, with amplitude equal to the local AP amplitude, and duration equal to the cell-average time resolution,  $\sigma$ . Frame times in the SNAPT movies are selected to be  $\sim 2$ -fold shorter than  $\sigma$ . Converting the timing map into a SNAPT movie is for visualization; propagation information is in the timing map.

FIG. 16 shows the accuracy of timing extracted by the SNAPT algorithm for voltage at a soma via comparison to a simultaneous patch clamp recording. FIG. 17 gives an image of eGFP fluorescence, indicating CheRiff distribution.

FIG. 18 presents frames from a SNAPT movie formed by mapping the timing information from FIG. 16 onto a high spatial resolution image from FIG. 17. In FIG. 17, the white arrows mark the zone of action potential initiation in the presumed axon initial segment

(AIS). FIGS. 16-18 demonstrate that methods of the invention can provide high resolution spatial and temporal signatures of cells expressing an optical reporter of neural activity.

After acquiring Optopatch data, cells may be fixed and stained for ankyrin-G, a marker of the AIS. Correlation of the SNAPT movies with the immunostaining images establish that the AP initiated at the distal end of the AIS. The SNAPT technique does not rely on an assumed AP waveform; it is compatible with APs that change shape within or between cells.

The SNAPT movies show AP initiation from the soma in single neurites in measured cells. The described methods are useful to reveal latencies between AP initiation at the AIS and arrival in the soma of  $320 \pm 220 \mu\text{s}$ , where AP timing is measured at 50% maximum depolarization on the rising edge. Thus Optopatch can resolve functionally significant subcellular details of AP propagation. Discussion of signal processing may be found in Mattis et al., 2011, Principles for applying optogenetic tools derived from direct comparative analysis of microbial opsins, *Nat. Meth.* 9:159-172; and Mukamel et al., 2009, Automated analysis of cellular signals from large-scale calcium imaging data, *Neuron* 63(6):747-760.

Methods of the invention are used to obtain a signature from the observed cell or cells tending to characterize a physiological parameter of the cell. The measured signature can include any suitable electrophysiology parameter such as, for example, activity at baseline, activity under different stimulus strengths, tonic vs. phasic firing patterns, changes in AP waveform, others, or a combination thereof. Measurements can include different modalities, stimulation protocols, or analysis protocols. Exemplarily modalities for measurement include voltage, calcium, ATP, or combinations thereof. Exemplary stimulation protocols can be employed to measure excitability, to measure synaptic transmission, to test the response to modulatory chemicals, others, and combinations thereof. Methods of invention may employ various analysis protocols to measure: spike frequency under different stimulus types, action potential waveform, spiking patterns, resting potential, spike peak amplitude, others, or combinations thereof.

In certain embodiments, the imaging methods are applied to obtain a signature mean probability of spike for cells from the patient and may also be used to obtain a signature from a control line of cells such as a wild-type control (which may be produced by genome editing as described above so that the control and the wild-type are isogenic but for a single site). The observed signature can be compared to a control signature and a difference between the observed signature and the expected signature corresponds to a positive diagnosis of the condition.

FIG. 19 shows a mean probability of spike of wild-type (WT) and mutant (SOD1) cells. Cellular excitability is measured by probability of spiking during each blue light stimulation, and during no stimulation (spontaneous firing).

## 7. Disease models

### i. Autism

In certain embodiments, neurons and methods of the invention may be used to create disease models for in vitro investigation of neurodevelopmental disorders such as autism. Neurons may be derived from iPSCs taken from individuals suffering from the neurodevelopmental disorder or may be derived through genome editing by incorporating genotype associated with the neurodevelopmental disorder. In certain instances a test mutation, suspected of being associated with a neurodevelopmental disorder, may be incorporated into a neuron through genome editing and the resulting modified neuron may be observed for signs of disease to evaluate the test mutation for links to the disease.

In some embodiments, cell neuronal models of a disease, such as autism may be chosen based on the exhibition of neuronal phenotypes associated with autism, such as neurons with reduced expression of SHANK3 protein compared to a disease-free neuron, decreased synaptic function compared to a disease-free neuron, reduced number and increased length of dendritic spines compared to a disease-free neuron, and reduced thickness and length of postsynaptic density compared to a disease-free neuron. See Zoghbi, et al., 2012, Synaptic Dysfunction in Neurodevelopmental Disorders Associated with Autism and Intellectual Disabilities, Cold Spring Harb Perspect Biol. 4(3), J Neurol Sci. 217(1):47-54; incorporated by reference. Neuronal models of a disease such as autism may be selected based on genotypic characteristics such as a mutation to one or more of the following genes: *SHANK3 (ProSAP2)*, *CDH9*, *CDH10*, *MAPK3*, *SERT (SLC6A4)*, *CACNA1G*, *GABRB3*, *GABRA4*, *EN2*, the 3q25-27 locus, *SLC25A12*, *HOXA1*, *HOXA2*, *PRKCB1*, *MECP2*, *UBE3A*, *NLGN3*, *MET*, *CNTNAP2*, *FOXP2*, *GSTP1*, *PRL*, *PRLR*, and *OXTR*.

In certain aspects, for example where modelled disease are non-monogenic, complex etiology and/or late onset, neurons of the invention may be cultured for extended periods, such as 1 month, 2 months, 3 months, 4 months or longer in order to simulate aging. See Sánchez-Danés, et al., 2012, Disease-specific phenotypes in dopamine neurons from human iPSC-based models of

genetic and sporadic Parkinson's disease, *EMBO Mol Med*, 4: 380–395, the contents of which are incorporated by reference. Cells of the invention may be transformed with optical reporters of membrane potential, reporters of intracellular calcium levels, light-gated ion channels, or a combination thereof. Cells may be monitored over time by inducing and observing action potentials and changes in intracellular calcium levels during disease progression in order to examine the neuronal effects of the subject condition, such as autism. Subject cells of the disease model may also be monitored pre and post application of various therapies in order to evaluate their effectiveness.

*ii. Epilepsy*

In certain embodiments, neurons and methods of the invention may be used to create disease models for in vitro investigation of neurological disorders such as epilepsy. Neurons may be derived from iPSCs taken from individuals suffering from the neurological disorder or may be derived through genome editing by incorporating a genotype associated with the neurological disorder. Disease models of the invention may be particularly useful in studying action potential generation and propagation and ion channel function before, during, and after an epileptic seizure. In certain instances a test mutation, suspected of being associated with a neurological disorder, may be incorporated into a neuron through genome editing and the resulting modified neuron may be observed for signs of disease to evaluate the test mutation for links to the disease.

In some embodiments, cell neuronal models of a disease, such as epilepsy or Dravet syndrome, may be chosen based on the exhibition of neuronal phenotypes associated with the disease, such as neurons with diminished voltage-gated sodium channel function compared to disease-free neurons or hyperexcitability. See Kearney, 2014, *The More, the Better: Modeling Dravet Syndrome With Induced Pluripotent Stem Cell-Derived Neurons*, *Epilepsy Curr.* 14(1): 33–34; incorporated by reference. Neuronal models of a disease such as epilepsy or Dravet syndrome may be selected based on genotypic characteristics such as a mutation to one or more of the following genes: *SCN1A*, *WWOX*, *PRRT2*, *KCNK1*, *STX1B*, *CARS2*, *STXB1*, *KCNQ2*, *CDKL5*, *ARX*, *SPTAN*, *BRAT1*, *KCNQ3*, *SCN2A*, GABA receptors, *NIPA2*, *CDKL5*, *PCDH19*, and *NAVI.1*.

In certain aspects, for example where modelled disease are non-monogenic, complex etiology and/or late onset, neurons of the invention may be cultured for extended periods, such as 1 month, 2 months, 3 months, 4 months or longer in order to simulate aging. See Sánchez-Danés,

et al., 2012, Disease-specific phenotypes in dopamine neurons from human iPS-based models of genetic and sporadic Parkinson's disease, *EMBO Mol Med*, 4: 380–395, the contents of which are incorporated by reference. Cells of the invention may be transformed with optical reporters of membrane potential, reporters of intracellular calcium levels, light-gated ion channels, or a combination thereof. Cells may be monitored over time by inducing and observing action potentials and changes in intracellular calcium levels during disease progression in order to examine the neuronal effects of the subject condition, such as epilepsy. Subject cells of the disease model may also be monitored pre and post application of various therapies in order to evaluate their effectiveness.

*iii. ALS*

In certain embodiments, neurons and methods of the invention may be used to create disease models for in vitro investigation of neuronal diseases such as ALS. Neurons may be derived from iPSCs taken from individuals suffering from the neuronal disease or may be derived through genome editing by incorporating genotype associated with the neuronal disease. In certain instances a test mutation, suspected of being associated with a neuronal disease, may be incorporated into a neuron through genome editing and the resulting modified neuron may be observed for signs of disease to evaluate the test mutation for links to the disease. In some embodiments, cell neuronal models of a disease, such as ALS disease may be chosen based on the exhibition of neuronal phenotypes associated with ALS, such as motor neurons with Bunina bodies, which are cystatin C-containing inclusions in the cell body; 'Lewy body-like inclusions' (LBIs), 'Skein-like inclusions' (SLIs) inclusions, and/or clear signs of degeneration, including very short or absent neurites, vacuolated soma, a fragmented nucleus and cleaved caspase-3. See He, et al., 2004, Expression of peripherin in ubiquitinated inclusions of amyotrophic lateral sclerosis, *J Neurol Sci*. 217(1):47-54; Kawashima, et al., 1998, Skein-like inclusions in the neostriatum from a case of amyotrophic lateral sclerosis with dementia, *Acta Neuropathol* 96(5):541-5; Okamoto, et al., 1993, Bunina bodies in amyotrophic lateral sclerosis immunostained with rabbit anti-cystatin C serum, *Neurosci Lett*. 162(1-2):125-8; each of which is incorporated by reference. Neuronal models of a disease such as ALS may be selected based on genotypic characteristics such as a mutation to one or more of the following genes: *C9orf72*, *SOD1*, *TARDBP*, *FUS*, *UBQL2*, *ALS2*, and *SETX*.

In certain aspects, for example where modelled disease are non-monogenic, complex etiology and/or late onset, neurons of the invention may be cultured for extended periods, such as 1 month, 2 months, 3 months, 4 months or longer in order to simulate aging. See Sánchez-Danés, et al. Cells of the invention may be transformed with optical reporters of membrane potential, reporters of intracellular calcium levels, light-gated ion channels, or a combination thereof. Cells may be monitored over time by inducing and observing action potentials and changes in intracellular calcium levels during disease progression in order to examine the neuronal effects of the subject condition, such as ALS. Subject cells of the disease model may also be monitored pre and post application of various therapies in order to evaluate their effectiveness.

*iv. Tuberous sclerosis*

In certain embodiments, neurons and methods of the invention may be used to create disease models for in vitro investigation of genetic disorders such as tuberous sclerosis. Neurons may be derived from iPSCs taken from individuals suffering from the neurological disorder or may be derived through genome editing by incorporating genotype associated with the neurological disorder. Disease models of the invention may be particularly useful in studying action potential generation and propagation and ion channel function before, during, and after an epileptic seizure. In certain instances a test mutation, suspected of being associated with a neurological disorder, may be incorporated into a neuron through genome editing and the resulting modified neuron may be observed for signs of disease to evaluate the test mutation for links to the disease.

In some embodiments, cell neuronal models of a disease, such as tuberous sclerosis may be chosen based on the exhibition of neuronal phenotypes associated with tuberous sclerosis, such as enlarged size compared to a disease-free neuron, increased phospho-S6 expression, prominent lysosomes, more microfilaments and microtubules compared to a disease-free neuron, fewer lipofuscin granules compared to a disease-free neuron, and immunoreactivity for TSC2 gene product, tuberin, vimentin or glial fibrillary acidic protein. See Meikle, et al., 2007; Arai, et al., 1999, A comparison of cell phenotypes in hemimegalencephaly and tuberous sclerosis, *Acta Neuropathol.* 98(4):407-13; each of which is incorporated by reference. Neuronal models of a disease such as tuberous sclerosis may be selected based on genotypic characteristics such as a mutation to one or more of the following genes: *TSC1* or *TSC2*.

In certain aspects, for example where modelled disease are non-monogenic, complex etiology and/or late onset, neurons of the invention may be cultured for extended periods, such as 1 month, 2 months, 3 months, 4 months or longer in order to simulate aging. See Sánchez-Danés, et al., 2012, Disease-specific phenotypes in dopamine neurons from human iPS-based models of genetic and sporadic Parkinson's disease, *EMBO Mol Med*, 4: 380–395, the contents of which are incorporated by reference. Cells of the invention may be transformed with optical reporters of membrane potential, reporters of intracellular calcium levels, light-gated ion channels, or a combination thereof. Cells may be monitored over time by inducing and observing action potentials and changes in intracellular calcium levels during disease progression in order to examine the neuronal effects of the subject condition, such as tuberous sclerosis. Subject cells of the disease model may also be monitored pre and post application of various therapies in order to evaluate their effectiveness.

*v. NGN2 neurons*

Aspects of the invention provide cellular disease models in which stem cells may be converted into functional neurons by forced expression of a single transcription factor and then also caused to express optogenetic reporters or actuators of neural activity. A transcription factor such as neurogenin-2 (NgN2) or NeurD1 introduced into a pluripotent stem cell by transfection is expressed, causing the cell to differentiate into a neuron. Additionally or separately an optogenetic construct that includes an optical reporter of intracellular calcium as well as an optical actuator or reporter of membrane potential is expressed.

*8. Diagnosis*

FIG. 19 illustrates an output from measuring action potentials in cells affected by a mutation and control cells isogenic but for the mutation. In the illustrated example, a patient known to have SOD1A4V is studied and the bottom trace is obtained from cells of that patient's genotype. The top trace labeled "WT" refers to cells from that patient that are edited to be SOD1V4A and thus wild-type at the locus of the patient's known mutation but otherwise to provide the genetic context present in the patient. A clinician may diagnose a neurodegenerative disease based on a signature spike train manifest by the patient's cells. Here, a difference

between the signature observed in the patient's cells and the control signature may be correlated to a positive diagnosis of a neurodegenerative disease.

Any suitable method of correlating the patient's signature to a diagnosis may be used. For example, in some embodiments, visual inspection of a signature may be used. In certain embodiments, a computer system may be used to automatically evaluate that an observed signature of the test cells satisfies predetermined criteria for a diagnosis. Any suitable criteria can be used. For example, a computer system may integrate under the spike train for both the test cells and the control cells over a range of time of at least a few thousand ms and compare a difference between the results. Any suitable difference between the observed and expected signals can be used, for example, the difference may include a modified probability of a voltage spike in response to the stimulation of the cell relative to a control. In certain embodiments (e.g., FIG. 19) the difference between the observed signal and the expected signal comprises a decreased probability of a voltage spike in response to the stimulation of the cell relative to a control and an increased probability of a voltage spike during periods of no stimulation of the cell relative to a control. In one embodiment, systems and methods of the invention detect a decreased probability of a voltage spike in response to the stimulation of the cell relative to a control.

To give one example, a difference of at least 5% can be reported as indicative of an increased risk or diagnosis of a condition. In another example, a computer system can analyze a probability of spike at a certain time point (e.g., 5500 ms) and look for a statistically significant difference. In another example, a computer system can be programed to first identify a maximal point in the WT spike train (control signature) and then compare a probability at that point in the control signature to a probability in the patient's test signature at the same point and look for a reportable difference (e.g., at least 5% different). One of skill in the art will recognize that any suitable criterion can be used in the comparison of the test signature to the control signature. In certain embodiments, a computer system is trained by machine learning (e.g., numerous instances of known healthy and known diseased are input and a computer system measures an average difference between those or an average signature pattern of a disease signature). Where the computer system stores a signature pattern for a disease phenotype, a diagnosis is supported when the computer system finds a match between the test signature and the control signature (e.g., < 5% different or less than 1% different at some point or as integrated over a distance).



While obtaining a control signature from a genome-edited cell line from the patient has been discussed, one of skill in the art will recognize that the control signature can be a template or documented control signature stored in computer system of the invention.

In certain embodiments, observation of a signature from a cell is used in a diagnosis strategy in which the observed signature phenotype contributes to arriving at a final diagnosis. For example, with certain disease of the nervous system such as autism, different neuron types may be affected differently. In some embodiments, a diagnostic method includes comparing different neuron types from the same patient to diagnose a sub-type specific disease.

### *9. Additional methods*

Cells and methods of the invention may include the use of tool/test compounds or other interventional tools applied to the observed cell or cells. Application of test compounds can reveal effects of those compounds on cellular electrophysiology. Use of a tool compounds can achieve greater specificity in diagnosis or for determining disease mechanisms, e.g. by blocking certain ion channels. By quantifying the impact of the compound, one can quantify the level of that channel in the cell.

With a tool or test compound, a cell may be caused to express an optical reporter of neural or electrical activity and may also be exposed to a compound such as a drug. A signature of the cell can be observed before, during, or after testing the compound. Any combination of different cells and cell types can be exposed to one or any combination of compounds, including different test compound controls. Multi-well plates, multi-locus spotting on slides, or other multi-compartment lab tools can be used to cross-test any combination of compounds and cell types.

In certain embodiments, tool compounds are added to cells and their effect on the cells is observed to distinguish possible diseases or causes or mechanisms of diseases. For example, where two or more cells in synaptic connection with one another are observed, extrinsic stimulation of an upstream cell should manifest as an action potential in a downstream cell. A compound that is known to inhibit neurotransmitter reuptake may be revealed to work on only certain neural subtypes thus indicating a specific disease pattern.

In some embodiments, methods of the invention are used to detect, measure, or evaluate synaptic transmission. A signature may be observed for a cell other than the cell to which direct

stimulation is applied. In fact, using the signal processing algorithms discussed herein, synaptic transmission among a plurality of cells may be detected thus revealing patterns of neural connection. Establishing an assay that successfully detects firing of a downstream neuron upon stimulation of an upstream neuron can reveal, where the subject cell to be observed fails to fire upon stimulation of an upstream neuron, a disease or condition characterized by a failure of synaptic transmission.

Test compounds can be evaluated as candidate therapies to determine suitability of a treatment prior to application to patient. E.g. one can test autism drugs to find the one that reverts the firing pattern back to wild-type, prevents or delays disease onset, or lessens disease symptoms. In some embodiments, the invention provides systems and methods for identifying possible therapies for a patient by testing compounds, which systems and methods may be employed as personalized medicine. Due to the nature of the assays described herein, it may be possible to evaluate the effects of candidate therapeutic compounds on a per-patient basis thus providing a tool for truly personalized medicine. For example, an assay as described herein may reveal that a patient suffering from a certain disease has neurons or neural subtypes that exhibit a disease-type physiological phenotype under the assays described herein. One or a number of different compounds may be applied to those neurons or neural subtypes. Cells that are exposed to one of those different compounds (or a combination of compounds) may exhibit a change in physiological phenotype from disease-type to normal. The compound or combination of compounds that affects the change in phenotype from disease-type to normal is thus identified as a candidate treatment compound for that patient.

### *9. Systems of the Invention*

FIG. 20 presents a system 1101 useful for performing methods of the invention. Results from a lab (e.g., transformed, converted patient cells) are loaded into imaging instrument 501. Imaging instrument 501 is operably coupled to an analysis system 1119, which may be a PC computer or other device that includes a processor 125 coupled to a memory 127. A user may access system 1101 via PC 1135, which also includes a processor 125 coupled to a memory 127. Analytical methods described herein may be performed by any one or more processor 125 such as may be in analysis system 1119, PC 1135, or server 1139, which may be provided as part of system 1101. Server 1139 includes a processor 125 coupled to a memory 127 and may also

include optional storage system 1143. Any of the computing device of system 1101 may be communicably coupled to one another via network 1131. Any, each, or all of analysis system 1119, PC 1135, and server 1139 will generally be a computer. A computer will generally include a processor 125 coupled to a memory 127 and at least one input/output device.

A processor 125 will generally be a silicon chip microprocessor such as one of the ones sold by Intel or AMD.

Memory 127 may refer to any tangible, non-transitory memory or computer readable medium capable of storing data or instructions, which—when executed by a processor 125—cause components of system 1101 to perform methods described herein.

Typical input/output devices may include one or more of a monitor, keyboard, mouse, pointing device, network card, Wi-Fi card, cellular modem, modem, disk drive, USB port, others, and combinations thereof.

Generally, network 1131 will include hardware such as switches, routers, hubs, cell towers, satellites, landlines, and other hardware such as makes up the Internet.

#### Incorporation by Reference

References and citations to other documents, such as patents, patent applications, patent publications, journals, books, papers, web contents, have been made throughout this disclosure. All such documents are hereby incorporated herein by reference in their entirety for all purposes.

#### Equivalents

Various modifications of the invention and many further embodiments thereof, in addition to those shown and described herein, will become apparent to those skilled in the art from the full contents of this document, including references to the scientific and patent literature cited herein. The subject matter herein contains important information, exemplification and guidance that can be adapted to the practice of this invention in its various embodiments and equivalents thereof.

#### Examples

*Example 1. Optical differentiation of a motor neuron model of amyotrophic lateral sclerosis (ALS) arising from a monogenic mutation in the SOD1 gene (SOD1A4V).*

Methods of the invention were employed to evaluate the effects of a mutation on a patient's cells in the genetic context of that patient. ALS is a fatal neurodegenerative disease that affects pyramidal neurons in the motor cortex and lower motor neurons that originate in the brainstem and spinal cord. See Musaro, 2010, State of the art and the dark side of amyotrophic lateral sclerosis, *WJBC* 1(5):62-68. Typical manifestations include degeneration of motor neurons leading to muscle weakness and atrophy, speech and swallowing disabilities, paralysis, and death by respiratory failure. ALS is classified into sporadic or familial forms. It is thought that many of the familiar forms are caused by mutations in the Cu/Zn superoxide dismutase-1 (SOD1) protein. Another gene that may be used is *C9orf72* where an incompletely penetrant mutation is sometimes associated with symptoms. The discussion here relates to *SOD1* and one of skill in the art will recognize that the techniques apply for mutations in other genes such as *C9orf72*. *SOD1* converts the toxic mitochondrial by-product superoxide into water or hydrogen peroxide. Evidence suggests *SOD1* mutations are gain-of-function mutations. See Rotunno & Bosco, 2013, An emerging role for misfolded wild-type *SOD1* in sporadic ALS pathogenesis, *Front Cell Neurosci* 7:a253; and Saccon, et al., 2013, Is *SOD1* loss of function involved in amyotrophic lateral sclerosis?, *Brain* 136:2342-2358. It is known that other gene defects besides *SOD1* mutations can cause ALS. See Pasinelli & Brown, 2006, Molecular biology of amyotrophic lateral sclerosis: insights from genetics, *Nat Rev Neurosci* 7:710-723; and Blokhuis et al., 2013, Protein aggregation in amyotrophic lateral sclerosis, *Acta Neuropathol* 125:777-794. Thus mere identification of the presence of a single mutation may prove inadequate for diagnosing and treating a patient and it may prove valuable to study the phenotypic consequences of such a mutation with the patient's actual genetic consequence. Contemporary research supports treatment strategies that aim to slow disease progression by targeting known genes, physiological pathways, and proteins. For more discussion, see Gordon, 2013, Amyotrophic later sclerosis: an update for 2013 clinical features, pathophysiology, management, and therapeutic trials, *Aging and Disease* 4(5):295-310. The following protocol documented an effect of SOD1A4V on motor neurons in a cell line from a person with an ALS diagnosis known to have SOD1A4V.

- (1) Fibroblasts were taken from a patient diagnosed with ALS and confirmed mutation in SOD1.
- (2) Fibroblasts were converted to induced pluripotent stem (iPS) cells.

- (3) A second genetically corrected line (Sod1V4A) was generated using zinc finger domains resulting in two otherwise isogenic lines.
- (4) Diseased and corrected iPS cells were differentiated into motor neurons using embryoid bodies.
- (5) Differentiated motor neurons were dissociated and plated onto glass coverslips coated with poly-d-lysine and laminin
- (6) Motor neurons were fed with neurobasal medium supplemented with N2, B27, GDNF, BDNF, and CTNF.
- (7) After 4 days in culture, neurons were infected with lenti-virus bearing a genetically encoded fluorescent voltage reporter (QuasAr2) and optical voltage actuator (CheRiff).
- (8) Neurons were further matured for 8-10 days post infection.
- (9) Neurons were imaged on a high resolution microscope with 640 nm laser (600 W/cm) for voltage imaging and excited with a 488 nm laser (20-200 mW/cm).
- (10) A pulse sequence of red and blue light was used to record action potentials under increasing optical stimulation of voltage (FIG. 6).
- (11) A population of cells was measured from diseased and corrected motor neurons.
- (12) Individual cells were isolated in a field of view using independent component analysis (FIGS. 7-10).
- (13) Action potentials were identified by removing photobleaching, subtracting a median filtered trace, and isolating data above a noise threshold.
- (14) Cellular excitability was measured by probability of spiking during each blue light stimulation, and during no stimulation (spontaneous firing) (FIG. 19).

What is claimed is:

1. A method for screening a compound for Alzheimer's treatment, the method comprising:
  - presenting a compound to a sample comprising a neuronal cell having one or more phenotypic or genotypic characteristics of Alzheimer's, wherein the neuronal cell expresses an optical reporter of membrane electrical potential and a light-gated ion channel;
  - receiving, via a microscopy system, an optical signal generated by the optical reporter in response to optical stimulation of the sample following presentation of the compound; and
  - identifying the compound as a candidate for Alzheimer's treatment based on the optical signal.
2. The method of claim 1, wherein the phenotypic characteristic is selected from extracellular deposition of amyloid- $\beta$  and hyper-phosphorylated tau protein.
3. The method of claim 1, wherein the genotypic characteristic includes a mutation in a gene selected from the group consisting of amyloid precursor protein (APP), presenilin 1 (PS1), and presenilin 2 (P52).
4. The method of claim 1, wherein the microscopy system comprises a digital micromirror device that provides the optical stimulation.
5. The method of claim 1, wherein the microscopy system further comprises a charge-coupled device camera configured to capture the optical signal from the neuronal cell.
6. The method of claim 1, wherein the neuronal cell also expresses a protein that reports a change in an intracellular calcium level.
7. The method of claim 6, wherein the neuronal cell is stimulated by a second neuronal cell that expresses the light-gated ion channel.

8. The method of claim 7, wherein the second neuronal cell also expresses the optical reporter of membrane electrical potential.

9. The method of claim 6, wherein:

the light-gated ion channel comprises an algal channelrhodopsin; and  
the protein that reports changes in intracellular calcium levels comprises a GCaMP variant.

10. The method of claim 1, wherein the neuronal cell is an hiPSC-derived neuron.

11. The method of claim 6, further comprising detecting a change in AP waveform and a change in the intracellular calcium level upon exposure of the neuronal cell to the compound.

12. The method of claim 1, further comprising spatially patterning a plurality of neuronal cells in a cell culture on a substrate.

13. The method of claim 1, wherein the obtaining the optical signal is performed using an optical microscopy system.

14. The method of claim 13, wherein the optical microscopy system comprises at least one digital micromirror device.

15. The method of claim 1, wherein analyzing the optical signal comprises detecting an effect of the compound on AP waveform.

16. The method of claim 1, further comprising exposing the cell culture to  $\beta$ -amyloid 1-42 as well as the compound and determining an effect of the compound with the agent on the neuron.

17. A cell culture comprising:

a first neuron that expresses an optogenetic actuator;

a second neuron electrically contiguous with the first neuron, wherein the second neuron expresses a genetically-encoded optical reporter of activity; and

wherein at least one of the first neuron or the second neuron has one or more phenotypic or genotypic characteristics of Alzheimer's.

18. The cell culture of claim 17, wherein the phenotypic characteristic is selected from extracellular deposition of amyloid- $\beta$  and hyper-phosphorylated tau protein.

19. The cell culture of claim 17, wherein the genotypic characteristic includes a mutation in a gene selected from the group consisting of amyloid precursor protein (APP), presenilin 1 (PS1), and presenilin 2 (P52).

20. The cell culture of claim 17, wherein the optogenetic actuator comprises a channelrhodopsin.

21. The cell culture of claim 17, wherein the genetically-encoded optical reporter of activity comprises a microbial optical reporter of membrane electrical potential.

22. The cell culture of claim 21, wherein the second neuron expresses a genetically encoded  $\text{Ca}^{++}$  indicator.

23. The cell culture of claim 22, wherein the genetically encoded  $\text{Ca}^{++}$  indicator comprises at least one selected from the list consisting of GCaMP6f, jRCaMP1a, jRGECO1a, and RCaMP2.

24. The cell culture of claim 17, wherein the first neuron is spatially segregated from yet in electrical contact with the second neuron.

25. The cell culture of claim 24, wherein the genetically-encoded optical reporter of activity comprises a microbial optical reporter of membrane electrical potential.



26. The cell culture of claim 25, wherein the second neuron expresses a genetically encoded Ca<sup>++</sup> indicator.

27. The cell culture of claim 26, wherein the genetically encoded Ca<sup>++</sup> indicator comprises at least one selected from the list consisting of GCaMP6f, jRCaMP1a, jRGECO1a, and RCaMP2.

28. A method for screening a compound for autism treatment, the method comprising:

presenting a compound to a sample comprising a neuron having one or more phenotypic or genotypic characteristics of autism, wherein the neuron expresses an optical reporter of membrane electrical potential and a light-gated ion channel;

receiving, via a microscopy system, an optical signal generated by the optical reporter in response to optical stimulation of the sample following presentation of said compound; and

identifying the compound as a candidate for autism treatment based on said optical signal.

29. The method of claim 28, wherein the phenotypic characteristic is selected from the group consisting of reduced expression of SHANK3 protein compared to a disease-free neuron, decreased synaptic function compared to a disease-free neuron, reduced number and increased length of dendritic spines compared to a disease-free neuron, and reduced thickness and length of postsynaptic density compared to a disease-free neuron.

30. The method of claim 28, wherein the genotypic characteristic comprises a mutation in a gene selected from the group consisting of *SHANK3*, *CDH9*, *CDH10*, *MAPK3*, *SERT*, *CACNA1G*, *GABRB3*, *GABRA4*, *EN2*, the 3q25-27 locus, *SLC25A12*, *HOXA1*, *HOXA2*, *PRKCB1*, *MECP2*, *UBE3A*, *NLGN3*, *MET*, *CNTNAP2*, *FOXP2*, *GSTP1*, *PRL*, *PRLR*, and *OXTR*.

31. The method of claim 28, wherein the microscopy system comprises a digital micromirror device that provides the optical stimulation.

32. The method of claim 28, wherein the microscopy system further comprises a charge-coupled device camera configured to capture the optical signal from the neuron.

33. The method of claim 28, wherein the neuron also expresses a protein that reports a change in an intracellular calcium level.

34. The method of claim 33, wherein the neuron is stimulated by a second neuron that expresses the light-gated ion channel.

35. The method of claim 34, wherein the second neuron also expresses the optical reporter of membrane electrical potential.

36. The method of claim 33, wherein:

the light-gated ion channel comprises an algal channelrhodopsin; and  
the protein that reports changes in intracellular calcium levels comprises a GCaMP variant.

37. The method of claim 33, wherein the protein that reports a change in an intracellular calcium level is selected from the group consisting of jRCaMP1a, jRGECO1a and RCaMP2.

38. The method of claim 28, wherein the neuron is an hiPSC-derived neuron.

39. The method of claim 33, further comprising detecting a change in AP waveform and a change in the intracellular calcium level upon exposure of the neuron to the compound.

40. The method of claim 28, further comprising spatially patterning a plurality of neurons in a cell culture on a substrate.

41. The method of claim 33, wherein the light-gated ion channel comprises a blue-shifted actuator with an excitation maximum at a wavelength  $< 450$  nm and the protein that reports the change in the intracellular calcium level comprises a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive.

42. The method of claim 28, wherein the identifying step comprises comparing the optical signal of the sample to an optical signal obtained from a control cell.

43. The method of claim 28, wherein the optical reporter of membrane electrical potential comprises a microbial rhodopsin.

44. The method of claim 43, wherein the microbial rhodopsin comprises QuasAr1 or QuasAr2.

45. The method of claim 43, wherein the microbial rhodopsin is expressed from a gene that is integrated into the neuron.

46. The method of claim 28, wherein the light-gated ion channel comprises a blue-shifted actuator.

47. The method of claim 46, wherein the blue-shifted actuator comprises TsChR or PsChR.

48. A cell culture comprising:

    a first neuron that expresses a light-gated ion channel;

    a second neuron electrically contiguous with the first neuron, wherein the second neuron expresses a genetically-encoded optical reporter of activity; and

    wherein at least one of the first neuron or the second neuron comprises one or more phenotypic or genotypic characteristics of autism.

49. The cell culture of claim 48, wherein the phenotypic characteristic is selected from the group consisting of reduced expression of SHANK3 protein compared to a disease-free neuron, decreased synaptic function compared to a disease-free neuron, reduced number and increased length of dendritic spines compared to a disease-free neuron, and reduced thickness and length of postsynaptic density compared to a disease-free neuron.

50. The cell culture of claim 48, wherein the genotypic characteristic comprises a mutation in a gene selected from the group consisting of *SHANK3*, *CDH9*, *CDH10*, *MAPK3*, *SERT*,

*CACNA1G, GABRB3, GABRA4, EN2, the 3q25-27 locus, SLC25A12, HOXA1, HOXA2, PRKCB1, MECP2, UBE3A, NLGN3, MET, CNTNAP2, FOXP2, GSTP1, PRL, PRLR, and OXTR.*

51. The cell culture of claim 48, wherein the light-gated ion channel comprises a channelrhodopsin.

52. The cell culture of claim 48, wherein the second neuron expresses a genetically encoded Ca<sup>++</sup> indicator.

53. A method for screening a compound for epilepsy treatment, the method comprising:

presenting a compound to a sample comprising a neuron having one or more phenotypic or genotypic characteristics of epilepsy, wherein the neuron expresses an optical reporter of membrane electrical potential and a light-gated ion channel;

receiving, via a microscopy system, an optical signal generated by the optical reporter in response to optical stimulation of the sample following presentation of said compound; and

identifying the compound as a candidate for epilepsy treatment based on said optical signal.

54. The method of claim 53, wherein the phenotypic characteristic is selected from the group consisting of diminished voltage-gated sodium channel function compared to disease-free neurons and hyperexcitability.

55. The method of claim 53, wherein the genotypic characteristic comprises a mutation in a gene selected from the group consisting of *SCN1A, WWOX, PRRT2, KCNC1, STX1B, CARS2, STXB1, KCNQ2, CDKL5, ARX, SPTAN, BRAT1, KCNQ3, SCN2A, GABA receptors, NIPA2, CDKL5, PCDH19, and NAV1.1.*

56. The method of claim 53, wherein the microscopy system comprises a digital micromirror device that provides the optical stimulation.

57. The method of claim 53, wherein the microscopy system further comprises a charge-coupled device camera configured to capture the optical signal from the neuron.

58. The method of claim 53, wherein the neuron also expresses a protein that reports a change in an intracellular calcium level.

59. The method of claim 58, wherein the neuron is stimulated by a second neuron that expresses the light-gated ion channel.

60. The method of claim 59, wherein the second neuron also expresses the optical reporter of membrane electrical potential.

61. The method of claim 60, wherein:

the light-gated ion channel comprises an algal channelrhodopsin; and  
the protein that reports changes in intracellular calcium levels comprises a GCaMP variant.

62. The method of claim 58, wherein the protein that reports a change in an intracellular calcium level is selected from the group consisting of jRCaMP1a, jRGECO1a and RCaMP2.

63. The method of claim 53, wherein the neuron is an hiPSC-derived neuron.

64. The method of claim 58, further comprising detecting a change in AP waveform and a change in the intracellular calcium level upon exposure of the neuron to the compound.

65. The method of claim 53, further comprising spatially patterning a plurality of neurons in a cell culture on a substrate.

66. The method of claim 53, wherein the compound comprises lacosamide or levetiracetam.

67. The method of claim 53, wherein the identifying step comprises comparing the optical signal of the sample to an optical signal obtained from a control cell.

68. The method of claim 53, wherein the optical reporter of membrane electrical potential comprises a microbial rhodopsin.

69. The method of claim 68, wherein the microbial rhodopsin comprises QuasAr1 or QuasAr2.

70. The method of claim 68, wherein the microbial rhodopsin is expressed from a gene that is integrated into the neuron.

71. The method of claim 53, wherein the light-gated ion channel comprises a blue-shifted actuator.

72. The method of claim 71, wherein the blue-shifted actuator comprises TsChR or PsChR.

73. The method of claim 58, wherein the light-gated ion channel comprises a blue-shifted actuator with an excitation maximum at a wavelength  $< 450$  nm and the protein that reports the change in the intracellular calcium level comprises a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive.

74. A cell culture comprising:

- a first neuron that expresses a light-gated ion channel;
- a second neuron electrically contiguous with the first neuron, wherein the second neuron expresses a genetically-encoded optical reporter of activity; and
- wherein at least one of the first neuron or the second neuron comprises one or more phenotypic or genotypic characteristics of epilepsy.

75. The cell culture of claim 74, wherein the phenotypic characteristic is selected from the group consisting of diminished voltage-gated sodium channel function compared to disease-free neurons and hyperexcitability.

76. The cell culture of claim 74, wherein the genotypic characteristic comprises a mutation in a gene selected from the group consisting of *SCN1A*, *WVOX*, *PRRT2*, *KCNC1*, *STX1B*, *CARS2*, *STXB1*, *KCNQ2*, *CDKL5*, *ARX*, *SPTAN*, *BRAT1*, *KCNQ3*, *SCN2A*, GABA receptors, *NIPA2*, *CDKL5*, *PCDH19*, and *NAVI.1*.

77. The cell culture of claim 74, wherein the light-gated ion channel comprises a channelrhodopsin.

78. The cell culture of claim 74, wherein the second neuron expresses a genetically encoded Ca<sup>++</sup> indicator.

79. The cell culture of claim 78, wherein the genetically encoded Ca<sup>++</sup> indicator comprises at least one selected from the list consisting of GCaMP6f, jRCaMP1a, jRGECO1a, and RCaMP2.

80. The cell culture of claim 74, wherein the first neuron is spatially segregated from and in electrical contact with the second neuron.

81. A method for screening a compound for tuberous sclerosis treatment, the method comprising:  
    presenting a compound to a sample comprising a neuron having one or more phenotypic or genotypic characteristics of tuberous sclerosis, wherein the neuron expresses an optical reporter of membrane electrical potential and a light-gated ion channel;  
    receiving, via a microscopy system, an optical signal generated by the optical reporter in response to optical stimulation of the sample following presentation of said compound; and  
    identifying the compound as a candidate for tuberous sclerosis treatment based on said optical signal.

82. The method of claim 81, wherein the phenotypic characteristic is selected from the group consisting of enlarged size compared to a disease-free neuron, increased phospho-S6 expression, prominent lysosomes, more microfilaments and microtubules compared to a disease-free neuron,

fewer lipofuscin granules compared to a disease-free neuron, and immunoreactivity for TSC2 gene product, tuberin, vimentin or glial fibrillary acidic protein.

83. The method of claim 81, wherein the genotypic characteristic comprises a mutation in a gene selected from the group consisting of *TSC1* or *TSC2*.

84. The method of claim 81, wherein the microscopy system comprises a digital micromirror device that provides the optical stimulation.

85. The method of claim 81, wherein the microscopy system further comprises a charge-coupled device camera configured to capture the optical signal from the neuron.

86. The method of claim 81, wherein the neuron also expresses a protein that reports a change in an intracellular calcium level.

87. The method of claim 86, wherein the neuron is stimulated by a second neuron that expresses the light-gated ion channel.

88. The method of claim 87, wherein the second neuron also expresses the optical reporter of membrane electrical potential.

89. The method of claim 88, wherein:

the light-gated ion channel comprises an algal channelrhodopsin; and  
the protein that reports changes in intracellular calcium levels comprises a GCaMP variant.

90. The method of claim 86, wherein the protein that reports a change in an intracellular calcium level is selected from the group consisting of jRCaMP1a, jRGECO1a and RCaMP2.

91. The method of claim 81, wherein the neuron is an hiPSC-derived neuron.



92. The method of claim 86, further comprising detecting a change in AP waveform and a change in the intracellular calcium level upon exposure of the neuron to the compound.
93. The method of claim 81, further comprising spatially patterning a plurality of neurons in a cell culture on a substrate.
94. The method of claim 86, wherein the light-gated ion channel comprises a blue-shifted actuator with an excitation maximum at a wavelength < 450 nm and the protein that reports the change in the intracellular calcium level comprises a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive.
95. The method of claim 81, wherein the identifying step comprises comparing the optical signal of the sample to an optical signal obtained from a control cell.
96. The method of claim 81, wherein the optical reporter of membrane electrical potential comprises a microbial rhodopsin.
97. The method of claim 96, wherein the microbial rhodopsin comprises QuasAr1 or QuasAr2.
98. The method of claim 96, wherein the microbial rhodopsin is expressed from a gene that is integrated into the neuron.
99. The method of claim 81, wherein the light-gated ion channel comprises a blue-shifted actuator.
100. A method for determining an effect of a compound a neurological condition, the method comprising:  
presenting a compound to a sample comprising a plurality of neurons, wherein at least one of the plurality of neurons expresses an optical reporter of membrane electrical potential;

receiving, via a microscopy system, an optical signal generated by the optical reporter in response to optical stimulation of a light gated ion channel in the sample following presentation of said compound; and

identifying the compound as a candidate for treatment of the neurological condition based on said optical signal.

101. The method of claim 100, wherein the light gated ion channel comprises an algal channelrhodopsin being expressed by a second neuron in synaptic communication with the at least one of the plurality of neurons, and the optical reporter of membrane potential comprises a microbial rhodopsin with between 1 and 10 amino acid substitutions relative to a wild type form of the microbial rhodopsin.

102. The method of claim 101, wherein the at least one of the plurality of neurons also expresses a genetically-encoded indicator of intracellular calcium level, and

wherein the receive optical signal includes a signal from the genetically-encoded indicator of intracellular calcium level, and further wherein the neurological condition is one of autism, epilepsy, Alzheimer's, amyotrophic lateral sclerosis, and tuberous sclerosis.

103. A cell culture comprising:

a first neuron that expresses a light-gated ion channel;

a second neuron electrically contiguous with the first neuron, wherein the second neuron expresses a genetically-encoded optical reporter of activity; and

wherein at least one of the first neuron or the second neuron comprises one or more phenotypic or genotypic characteristics of tuberous sclerosis.

104. The cell culture of claim 103, wherein the phenotypic characteristic is selected from the group consisting of enlarged size compared to a disease-free neuron, increased phospho-S6 expression, prominent lysosomes, more microfilaments and microtubules compared to a disease-free neuron, fewer lipofuscin granules compared to a disease-free neuron, and immunoreactivity for TSC2 gene product, tuberin, vimentin or glial fibrillary acidic protein.

105. The cell culture of claim 103, wherein the genotypic characteristic comprises a mutation in a gene selected from the group consisting of *TSC1* or *TSC2*.

106. The cell culture of claim 103, wherein the light-gated ion channel comprises a channelrhodopsin.

107. The cell culture of claim 103, wherein the second neuron expresses a genetically encoded Ca<sup>++</sup> indicator.

108. The cell culture of claim 107, wherein the genetically encoded Ca<sup>++</sup> indicator comprises at least one selected from the list consisting of GCaMP6f, jRCaMP1a, jRGECO1a, and RCaMP2.

109. The cell culture of claim 103, wherein the first neuron is spatially segregated from and in electrical contact with the second neuron.

110. A method for screening a compound for ALS treatment, the method comprising:

presenting a compound to a sample comprising a motor neuron having one or more phenotypic or genotypic characteristics of ALS, wherein the motor neuron expresses an optical reporter of membrane electrical potential and a light-gated ion channel;

receiving, via a microscopy system, an optical signal generated by the optical reporter in response to optical stimulation of the sample following presentation of said compound; and

identifying the compound as a candidate for ALS treatment based on said optical signal.

111. The method of claim 110, wherein the phenotypic characteristic is selected from the group consisting of presence of Bunina bodies, Lewy body-like inclusions (LBIs), Skein-like inclusions (SLIs) inclusions, signs of degeneration, short or absent neurites, vacuolated soma, a fragmented nucleus and cleaved caspase-3.

112. The method of claim 110, wherein the genotypic characteristic comprises a mutation in a gene selected from the group consisting of *C9orf72*, *SOD1*, *TARDBP*, *FUS*, *UBQL2*, *ALS2*, and *SETX*.

113. The method of claim 110, wherein the microscopy system comprises a digital micromirror device that provides the optical stimulation.

114. The method of claim 110, wherein the microscopy system further comprises a charge-coupled device camera configured to capture the optical signal from the motor neuron.

115. The method of claim 110, wherein the motor neuron also expresses a protein that reports a change in an intracellular calcium level.

116. The method of claim 115, wherein the motor neuron is stimulated by a second neuron that expresses the light-gated ion channel.

117. The method of claim 116, wherein the second neuron also expresses the optical reporter of membrane electrical potential.

118. The method of claim 117, wherein:

the light-gated ion channel comprises an algal channelrhodopsin; and

the protein that reports changes in intracellular calcium levels comprises a GCaMP variant.

119. The method of claim 115, wherein the protein that reports a change in an intracellular calcium level is selected from the group consisting of jRCaMP1a, jRGECO1a and RCaMP2.

120. The method of claim 110, wherein the motor neuron is an hiPSC-derived motor neuron.

121. The method of claim 115, further comprising detecting a change in AP waveform and a change in the intracellular calcium level upon exposure of the motor neuron to the compound.

122. The method of claim 110, further comprising spatially patterning a plurality of neurons in a cell culture on a substrate.

123. The method of claim 115, wherein the light-gated ion channel comprises a blue-shifted actuator with an excitation maximum at a wavelength  $< 450$  nm and the protein that reports the change in the intracellular calcium level comprises a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive.

124. The method of claim 110, wherein the identifying step comprises comparing the optical signal of the sample to an optical signal obtained from a control cell.

125. The method of claim 110, wherein the optical reporter of membrane electrical potential comprises a microbial rhodopsin.

126. The method of claim 125, wherein the microbial rhodopsin comprises QuasAr1 or QuasAr2.

127. The method of claim 125, wherein the microbial rhodopsin is expressed from a gene that is integrated into the motor neuron.

128. The method of claim 110, wherein the light-gated ion channel comprises a blue-shifted actuator.

129. The method of claim 128, wherein the blue-shifted actuator comprises TsChR or PsChR.

130. A cell culture comprising:

- a first motor neuron that expresses a light-gated ion channel;
- a second motor neuron electrically contiguous with the first motor neuron, wherein the second motor neuron expresses a genetically-encoded optical reporter of activity; and
- wherein at least one of the first motor neuron or the second motor neuron comprises one or more phenotypic or genotypic characteristics of ALS.

131. The cell culture of claim 130, wherein the phenotypic characteristic is selected from the group consisting of Bunina bodies, Lewy body-like inclusions (LBIs), Skein-like inclusions

(SLIs) inclusions, signs of degeneration, short or absent neurites, vacuolated soma, a fragmented nucleus and cleaved caspase-3.

132. The cell culture of claim 130, wherein the genotypic characteristic comprises a mutation in a gene selected from the group consisting of *C9orf72*, *SOD1*, *TARDBP*, *FUS*, *UBQL2*, *ALS2*, and *SETX*.

133. The cell culture of claim 130, wherein the light-gated ion channel comprises a channelrhodopsin.

134. The cell culture of claim 130, wherein the second motor neuron expresses a genetically encoded Ca<sup>++</sup> indicator.

135. The cell culture of claim 134, wherein the genetically encoded Ca<sup>++</sup> indicator comprises at least one selected from the list consisting of GCaMP6f, jRCaMP1a, jRGECO1a, and RCaMP2.

136. The cell culture of claim 130, wherein the first motor neuron is spatially segregated from and in electrical contact with the second motor neuron.

137. A method of screening for an ion channel modulator, the method comprising:

presenting a compound to a sample comprising an electrically excitable cell, wherein the electrically excitable cell expresses as an optical reporter of membrane electrical potential;

receiving, via a detection system, an optical signal generated by the optical reporter in response to optical stimulation of the sample following presentation of said compound; and

analyzing the optical signal to determine an effect of the compound on the electrically excitable cell.

138. The method of claim 137, wherein the step of analyzing the optical signal to determine an effect of the compound on the electrically excitable cell comprises determining that the compound functions as an ion channel modulator.

139. The method of claim 137, further comprising quantifying an ion channel modulation effect of the compound.

140. The method of claim 137, further comprising performing the steps on at least 90 cell cultures in parallel.

141. The method of claim 137, wherein the electrically excitable cell is a mammalian neuron.

142. The method of claim 141, wherein the neuron also expresses a light-gated ion channel.

143. The method of claim 141, wherein the neuron also expresses a protein that reports a change in an intracellular calcium level.

144. The method of claim 143, wherein the neuron also expresses a light-gated ion channel and wherein the protein that reports a change in an intracellular calcium level, light-gated ion channel, and the optical reporter of membrane electrical potential are each provided by a microbial rhodopsin.

145. The method of claim 137, wherein the electrically excitable cell is a mammalian neuron and is stimulated by a second electrically excitable cell that expresses a light-gated ion channel.

146. The method of claim 145, wherein the mammalian neuron also expresses a protein that reports changes in intracellular calcium levels.

147. The method of claim 146, wherein:

the light-gated ion channel comprises an algal channelrhodopsin; and  
the protein that reports changes in intracellular calcium levels comprises a GCaMP variant.

148. The method of claim 141, wherein the mammalian neuron is an hiPSC-derived neuron.

149. The method of claim 143, further comprising detecting a change in AP waveform and a change in the intracellular calcium level upon exposure of the neuron to the compound.

150. The method of claim 137, further comprising spatially patterning a plurality of neurons in a cell culture on a substrate.

151. The method of claim 137, wherein the obtaining the optical signal is performed using an optical microscopy system.

152. The method of claim 151, wherein the optical microscopy system comprises at least one digital micromirror device used for spatially patterning light used to illuminate the cell culture.

153. The method of claim 137, wherein analyzing the optical signal comprises detecting an effect of the compound on AP waveform.

154. A compound screening method comprising:

- providing an electrically active cell;
- incorporating into the electrically active cell an optical activator and an optical reporter of electrical activity;
- exposing the cells to at least one compound;
- obtaining a signature generated by the optical reporter in response to an optical stimulation of the cells; and
- identifying an effect of the at least one compound on cellular phenotype based on the obtained signature.

155. The method of claim 154, wherein a plurality of the electrically active cells are exposed to a plurality of different compounds.

156. The method of claim 155, wherein the effect is representative of cellular activity.



157. The method of claim 154, wherein each of the electrically active cell is caused to express both the optical activator and the optical reporter of electrical activity.

158. The method of claim 154, wherein the incorporating step comprises transforming the electrically active cells with a vector that includes a nucleic acid encoding the optical activator and the optical reporter of electrical activity

159. The method of claim 154, wherein the electrically active cell is selected from the group consisting neurons, cardiomyocytes, and glial cells.

160. The method of claim 154, wherein the optical activator initiates an action potential in response to the optical stimulation.

161. The method of claim 160, wherein the stimulation of the cell comprises illuminating the optical activator.

162. The method of claim 154, wherein the providing the cell includes converting a pluripotent stem cell to the electrically active cell.

163. The method of claim 154, wherein the identifying step comprises comparing an electrical signature to a control signature obtained from a control cell.

164. The method of claim 154, further comprising editing the genome of the electrically active cells to produce control cells such that the control cells and the electrically active cells are isogenic but for a mutation in the electrically active cells.

165. The method of claim 154, wherein the obtaining step comprises observing a cluster of cells with a microscope and using a computer to isolate a signal generated by the optical reporter from among a plurality of signals from the cluster of cells.

166. The method of claim 165, wherein the computer isolates the signal by performing an independent component analysis and identifying a spike train produced by one single cell.

167. A cell for use in an assay of ion channel modulators, the cell comprising a eukaryotic genome and expressing a voltage-indicating microbial rhodopsin and a light-gated ion channel.

168. The cell of claim 167, wherein the microbial rhodopsin comprises an optical reporter of membrane electrical potential.

169. The cell of claim 168, wherein the microbial rhodopsin is one selected from the list consisting of QuasAr1 and QuasAr2.

170. The cell of claim 167, wherein the cell also expresses a protein that reports a change in an intracellular calcium level.

171. The cell of claim 170, wherein the protein that reports changes in the intracellular calcium level comprises a GCaMP variant.

172. The cell of claim 167, wherein the protein that reports a change in an intracellular calcium level is one selected from the list consisting of jRCaMP1a, jRGECO1a and RCaMP2.

173. The cell of claim 170, wherein the light-gated ion channel comprises a blue-shifted actuator with an excitation maximum at a wavelength  $< 450$  nm and the protein that reports the change in the intracellular calcium level comprises a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive.

174. The cell of claim 167, wherein the light-gated ion channel comprises an algal channelrhodopsin.

175. The cell of claim 167, wherein the light-gated ion channel comprises a blue-shifted actuator that is one selected from the list consisting of TsChR and PsChR.

176. The cell of claim 175, wherein the microbial rhodopsin is one selected from the list consisting of QuasAr1 and QuasAr2.

177. The cell of claim 167, wherein the microbial rhodopsin is expressed from a gene that is integrated into the eukaryotic genome.

178. The cell of claim 167, wherein the microbial rhodopsin comprises a QuasAr protein, the light-gated ion channel comprises a channelrhodopsin, and the cell further expresses an encoded calcium indicator.

179. The cell of claim 178, wherein the encoded calcium indicator is one selected from the group consisting of . GCaMP6f, jRCaMP1a, jRGECO1a, and RCaMP2.

180. The cell of claim 167, wherein the light-gated ion channel comprises a violet-excited optogenetic actuator and cell further comprises a red-shifted genetically-encoded calcium indicator.

181. The cell of claim 180, wherein the violet-excited optogenetic actuator comprises a channelrhodopsin and the red-shifted genetically-encoded calcium indicator comprises one selected from the group consisting of jRCaMP1a, jRGECO1a, and RCaMP2.

182. The cell of any of claims 167-181, wherein the cell is a cardiomyocyte.

183. The cell of any of claims 167-181, wherein the cell is a neuron.

184. The cell of any of claims 167-181, wherein the cell is a human induced pluripotent stem cell.

185. A method for characterizing a cell, the method comprising:

converting a stem cell into a neuron by causing the stem cell to express a transcription

factor;

incorporating into the neuron an optical reporter of membrane electrical potential and a light-gated ion channel;

obtaining a signal from the optical reporter in response to a stimulation of the neuron; and  
evaluating the signal, thereby characterizing the neuron.

186. The method of claim 185 wherein the transcription factor is NgN2.

187. The method of claim 186, wherein the optical reporter of membrane electrical potential comprises a microbial rhodopsin.

188. The method of claim 187, wherein the microbial rhodopsin is one selected from the list consisting of QuasAr1 and QuasAr2.

189. The method of claim 185, wherein the neuron also expresses a protein that reports a change in an intracellular calcium level.

190. The method of claim 189, wherein the protein that reports changes in the intracellular calcium level comprises a GCaMP variant.

191. The method of claim 189, wherein the protein that reports a change in an intracellular calcium level is one selected from the list consisting of jRCaMP1a, jRGECO1a and RCaMP2.

192. The method of claim 189, wherein the light-gated ion channel comprises a blue-shifted actuator with an excitation maximum at a wavelength < 450 nm and the protein that reports the change in the intracellular calcium level comprises a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive.

193. The method of claim 189, wherein the stimulation of the neuron comprises using a digital micromirror device to spatially pattern light to selectively illuminate the neuron.

194. The method of claim 185, wherein the light-gated ion channel comprises an algal channelrhodopsin.

195. The method of claim 185, wherein the light-gated ion channel comprises a blue-shifted actuator that is one selected from the list consisting of TsChR and PsChR.

196. The method of claim 185, wherein the microbial rhodopsin is expressed from a gene that is integrated into neuron.

197. The method of claim 185, wherein the microbial rhodopsin comprises a QuasAr protein, the light-gated ion channel comprises a channelrhodopsin, and the neuron further expresses an encoded calcium indicator.

198. The method of claim 185, wherein the stimulation of the neuron comprises forming a synapse between the neuron and a second cell and using a digital micromirror device to spatially pattern light to selectively illuminate the second cell.

199. The method of claim 185, wherein the light-gated ion channel comprises a violet-excited optogenetic actuator and the neuron further comprises a red-shifted genetically-encoded calcium indicator.

200. The method of claim 199, wherein the violet-excited optogenetic actuator comprises a channelrhodopsin and the red-shifted genetically-encoded calcium indicator comprises one selected from the group consisting of jRCaMP1a, jRGECO1a, and RCaMP2.

201. A neuron expressing an exogenous transcription factor, an optical reporter of membrane electrical potential, and a light-gated ion channel, wherein the neuron is also expressing the transcription factor endogenously.

202. The neuron of claim 201, wherein the transcription factor is NgN2.

203. The neuron of claim 202, wherein the optical reporter of membrane electrical potential comprises a microbial rhodopsin.

204. The neuron of claim 203, wherein the microbial rhodopsin is QuasAr1.

205. The neuron of claim 203, wherein the neuron also expresses a protein that reports a change in an intracellular calcium level.

206. The neuron of claim 205, wherein the protein that reports changes in the intracellular calcium level comprises a GCaMP variant.

207. The neuron of claim 205, wherein the protein that reports a change in an intracellular calcium level is one selected from the list consisting of jRCaMP1a, jRGECO1a and RCaMP2.

208. The neuron of claim 205, wherein the light-gated ion channel comprises a blue-shifted actuator with an excitation maximum at a wavelength < 450 nm and the protein that reports the change in the intracellular calcium level comprises a red-shifted calcium indicator with an excitation maximum between 520 nm and 570 nm inclusive.

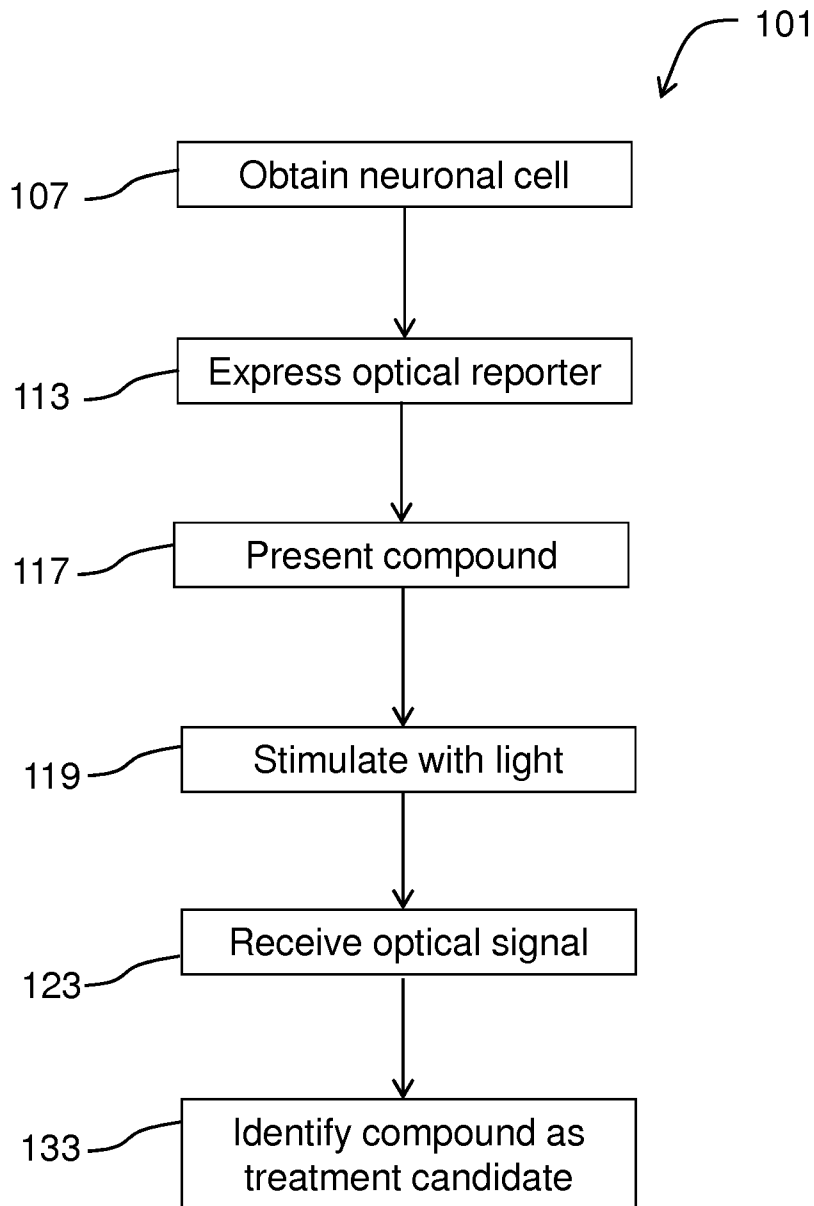


FIG. 1

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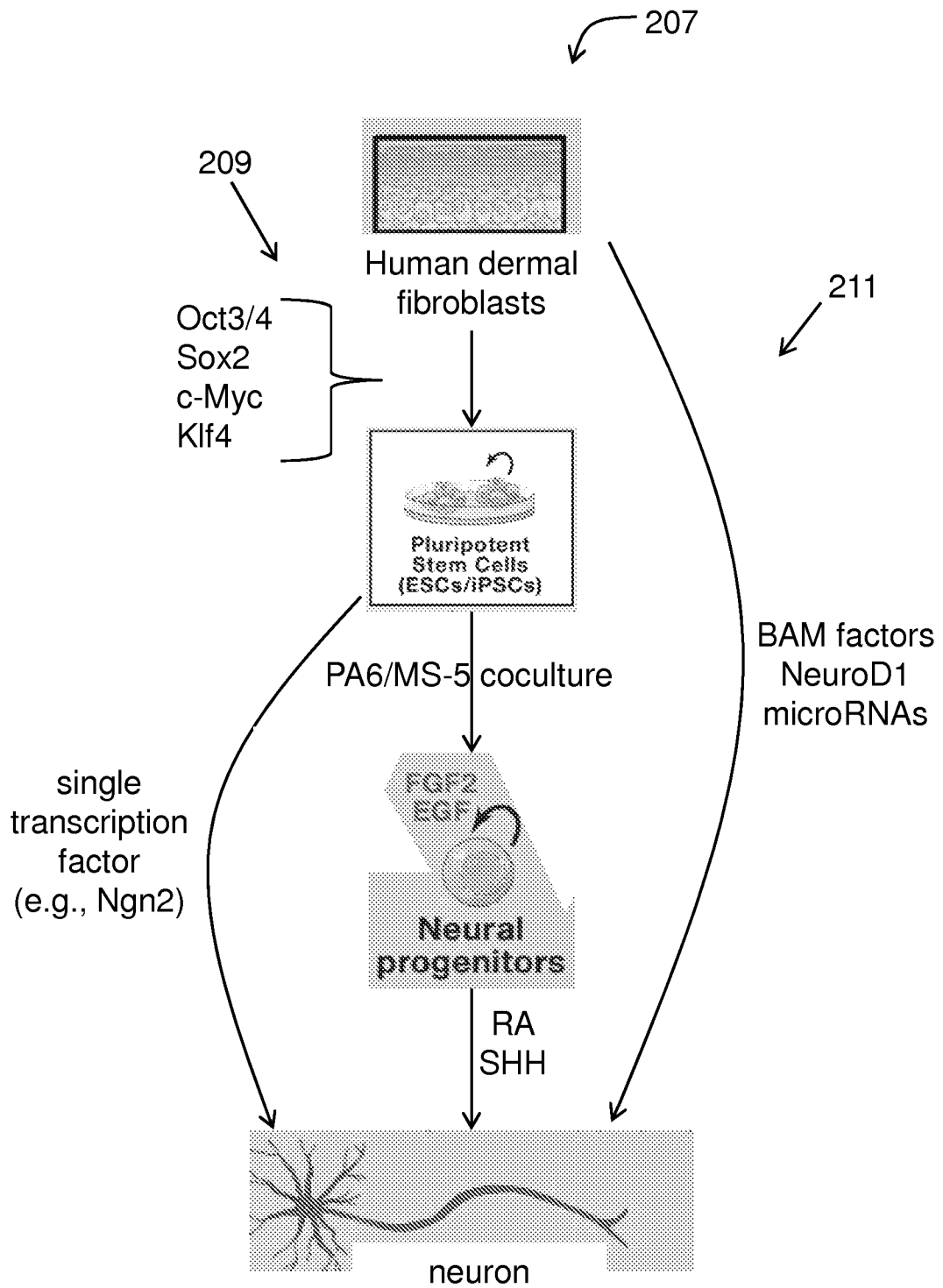


FIG. 2



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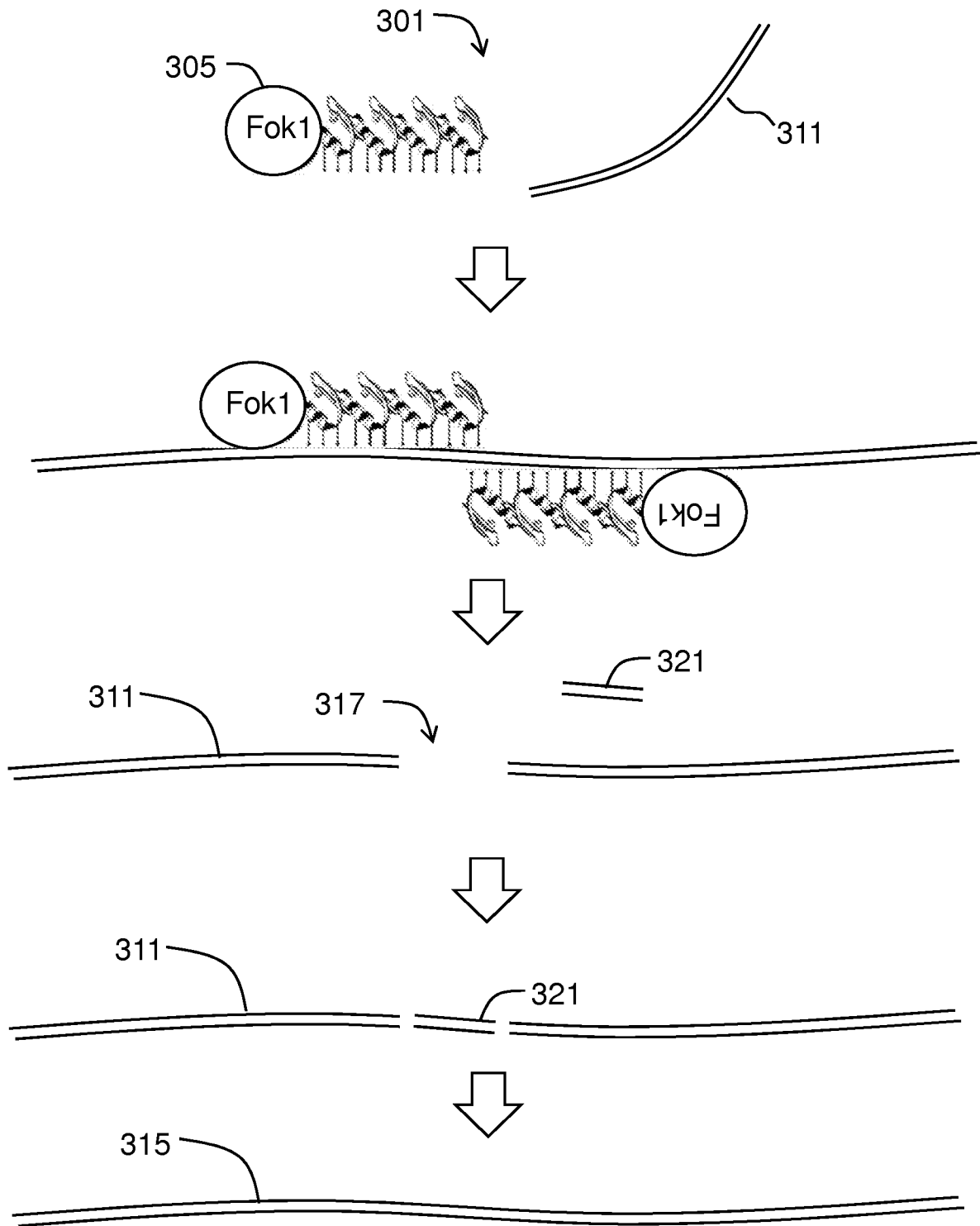


FIG. 3

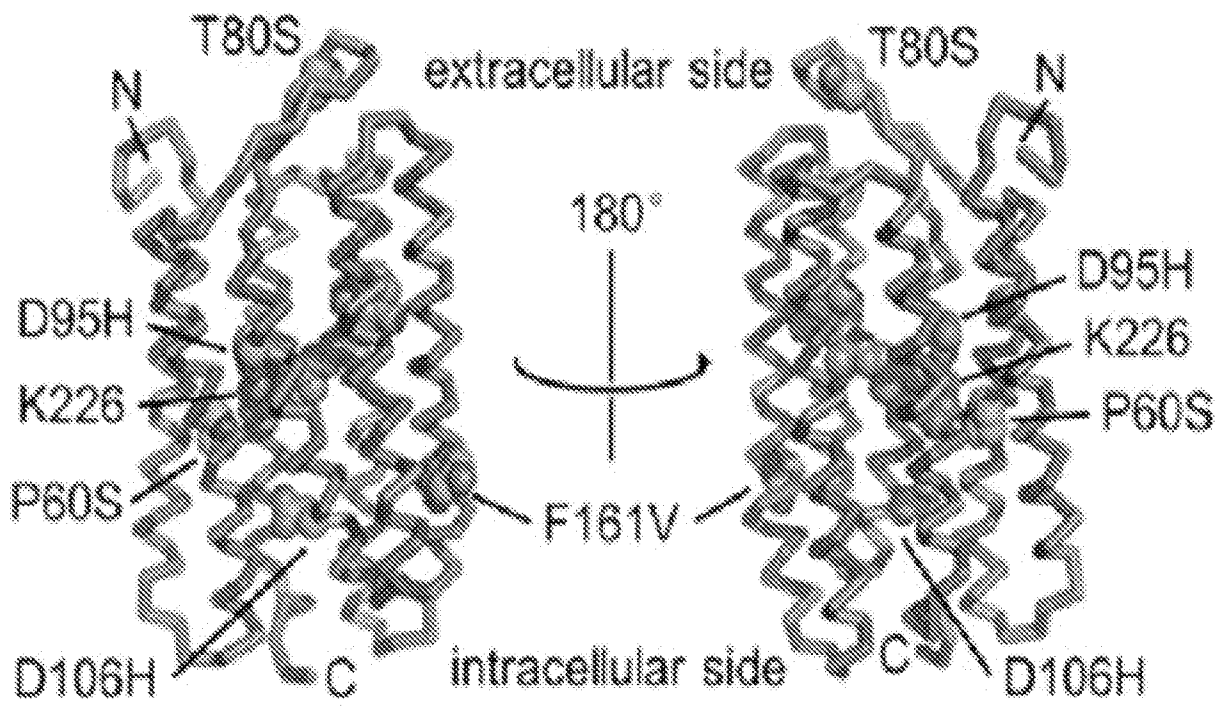


FIG. 4

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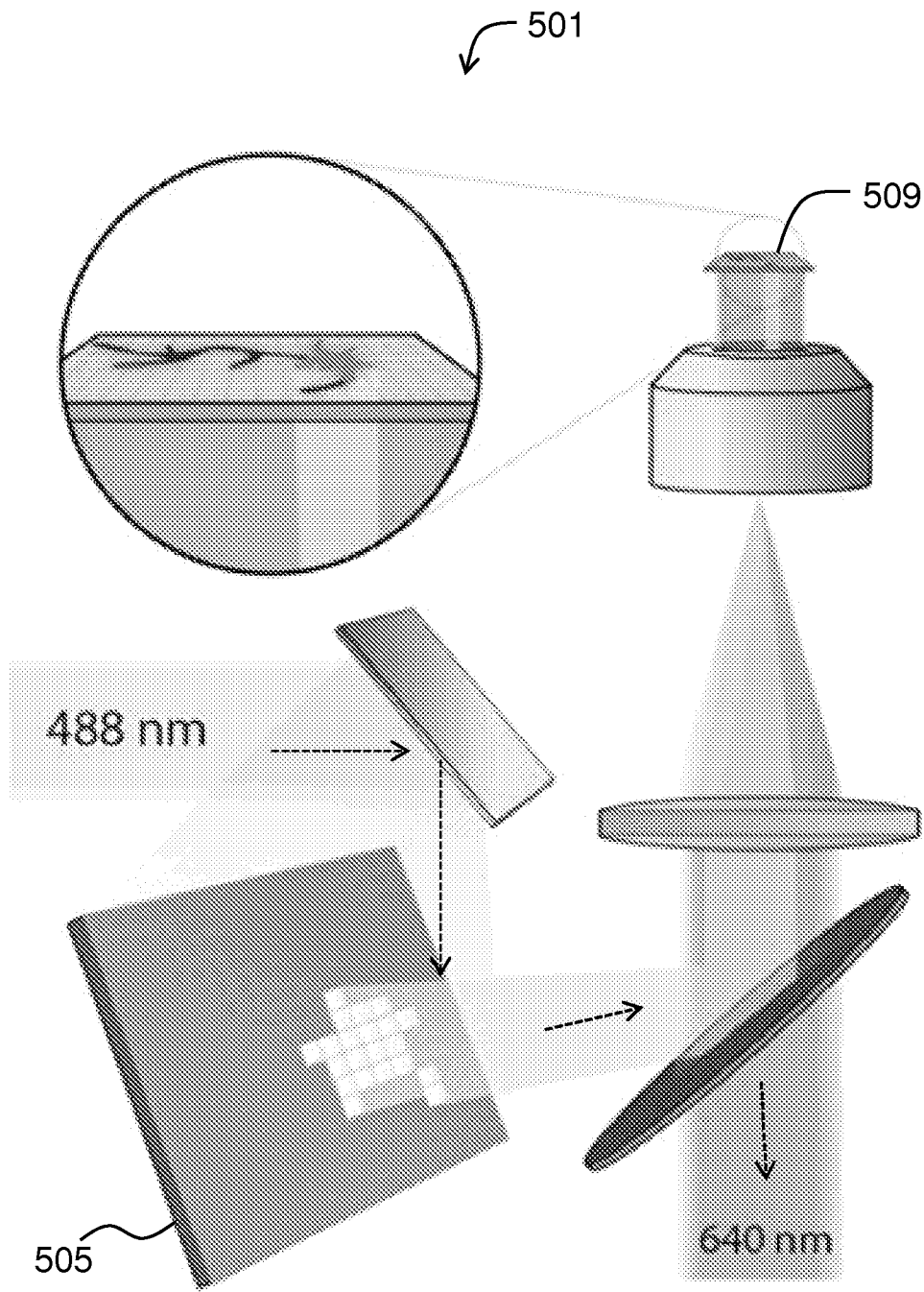


FIG. 5

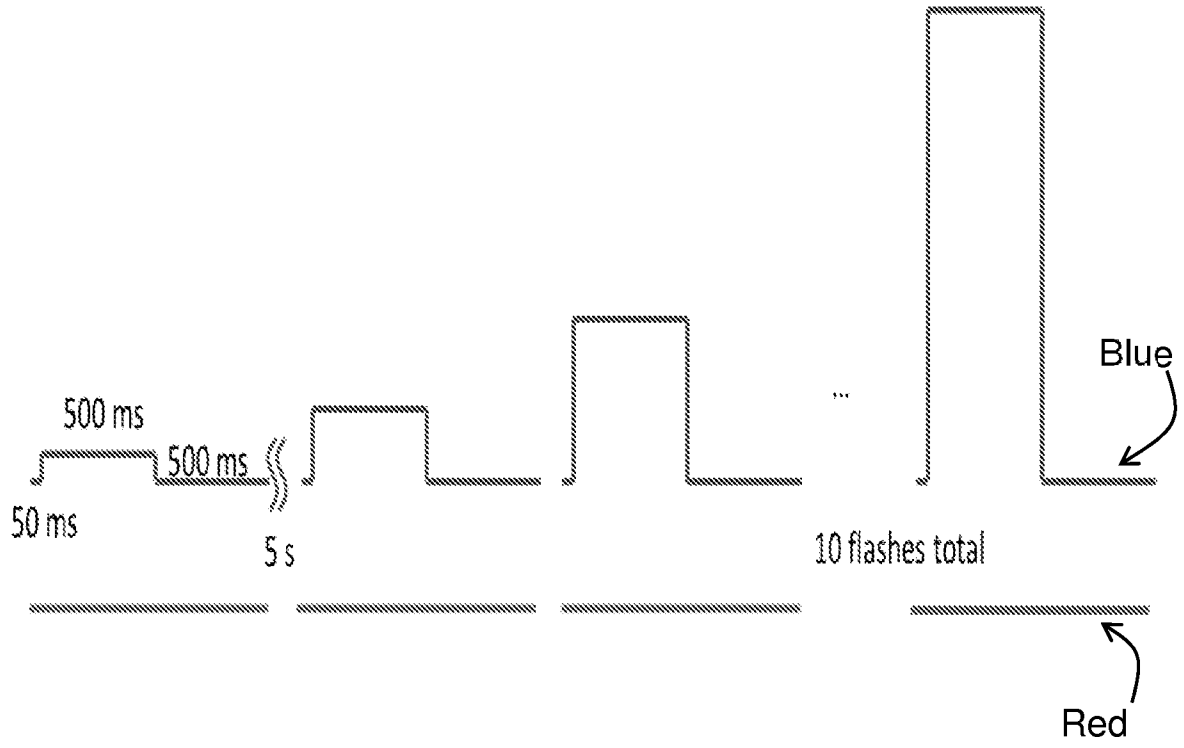


FIG. 6

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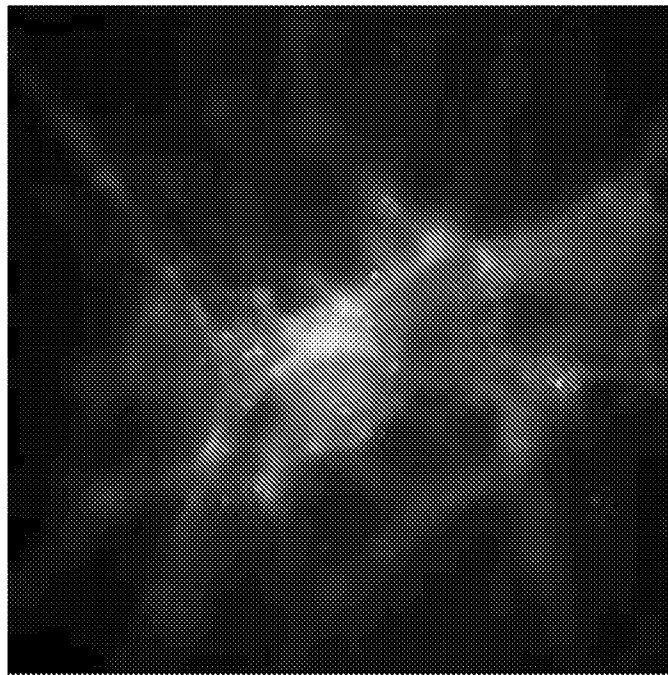
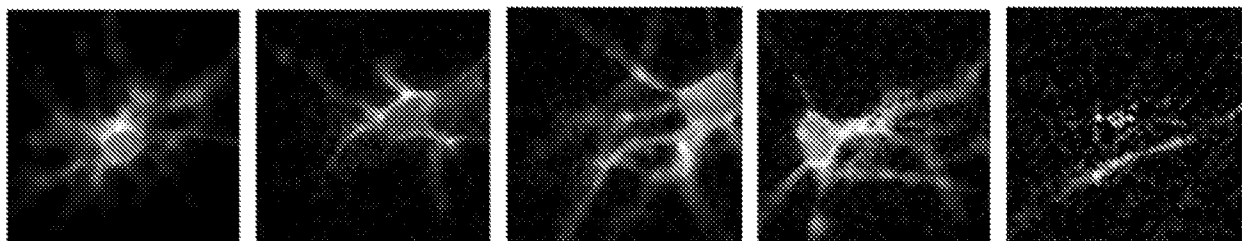


FIG. 7

Independent components analysis



1st

2d

3d

4th

5th

FIG. 8

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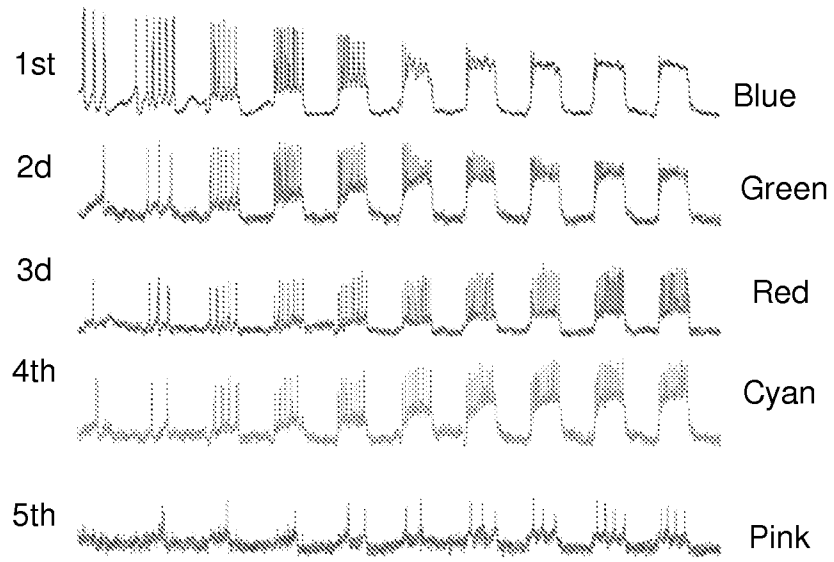


FIG. 9

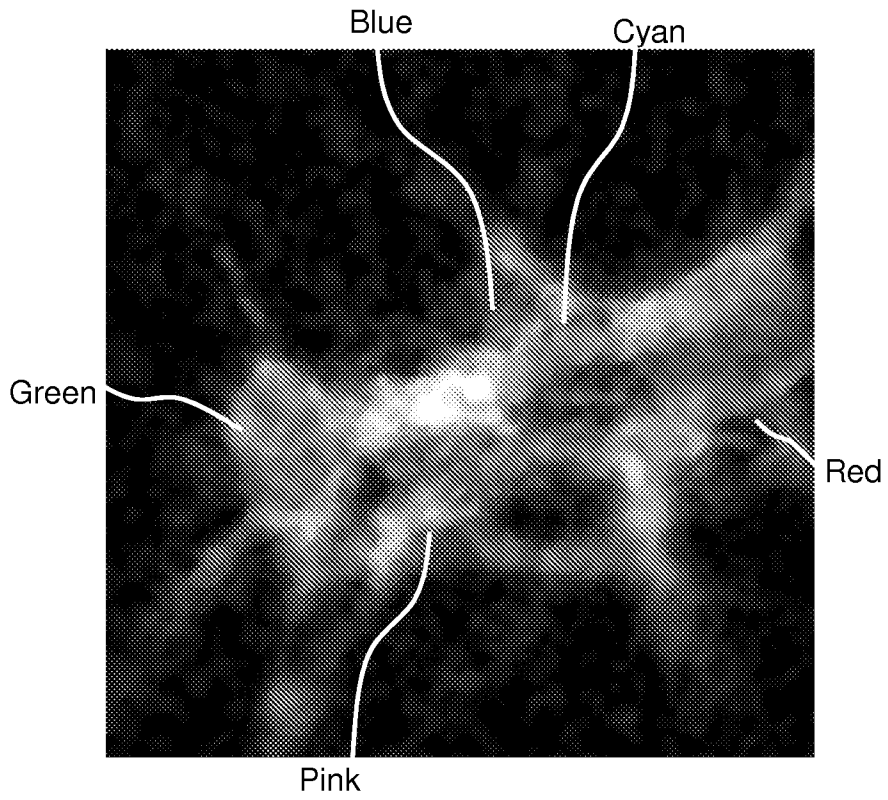


FIG. 10

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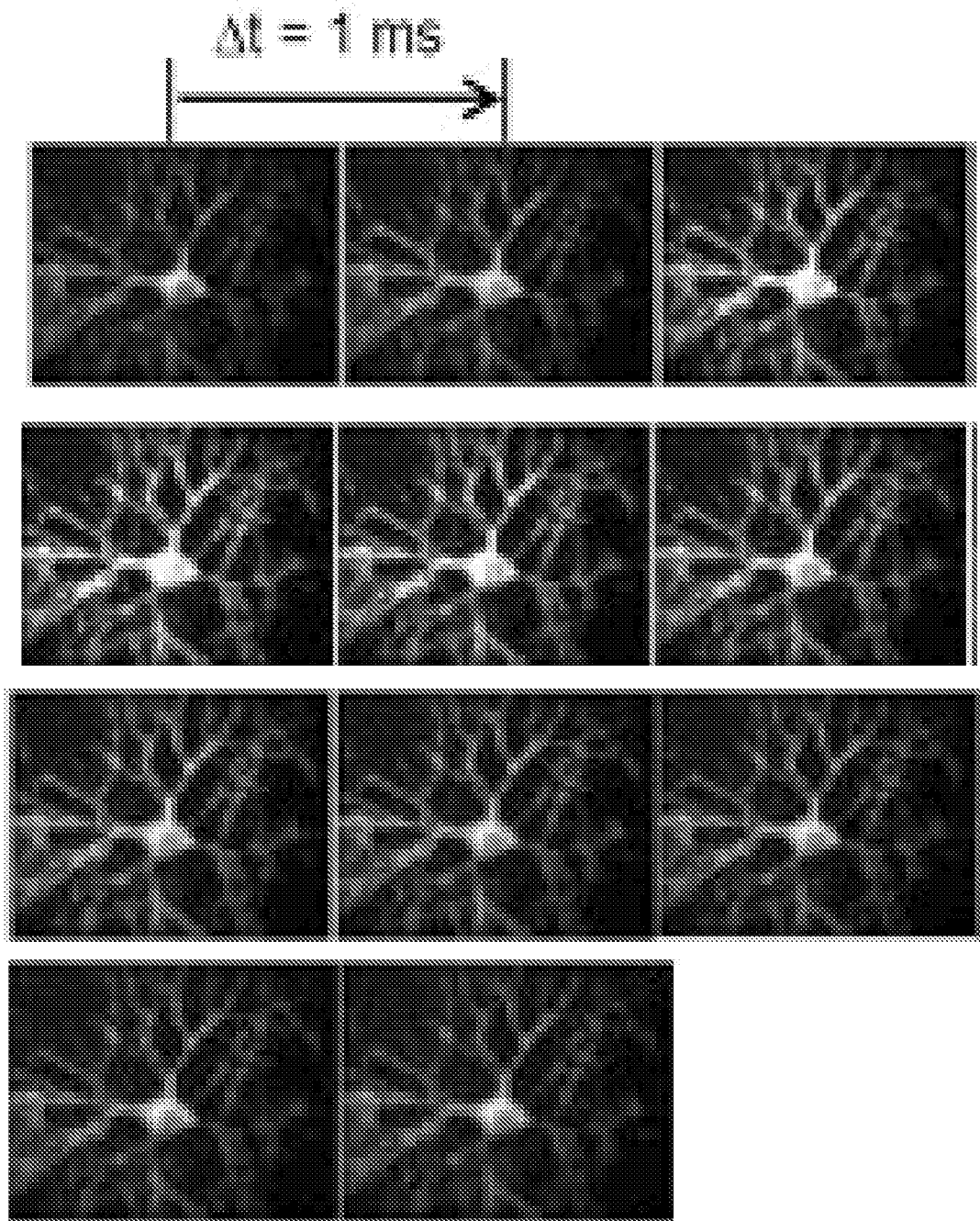


FIG. 11

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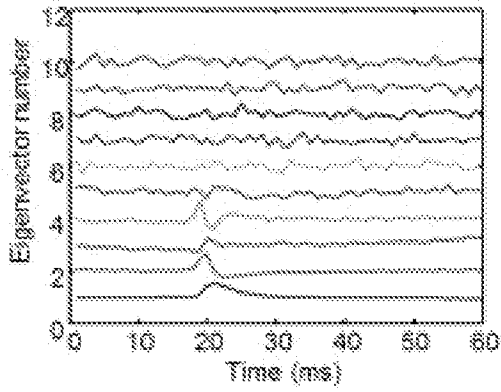


FIG. 12

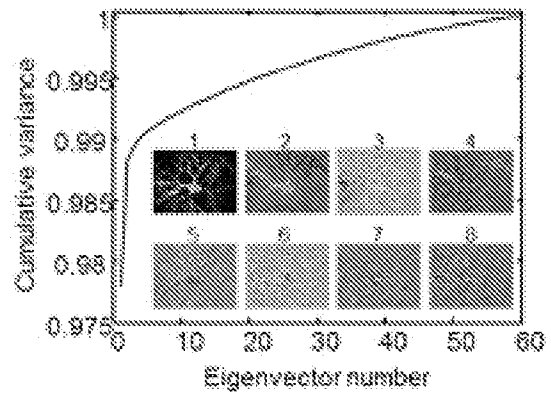


FIG. 13

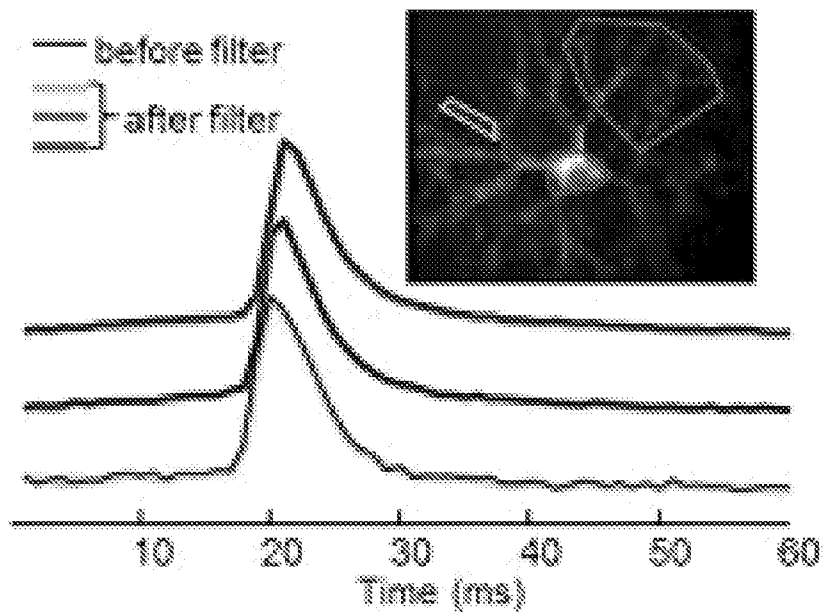


FIG. 14



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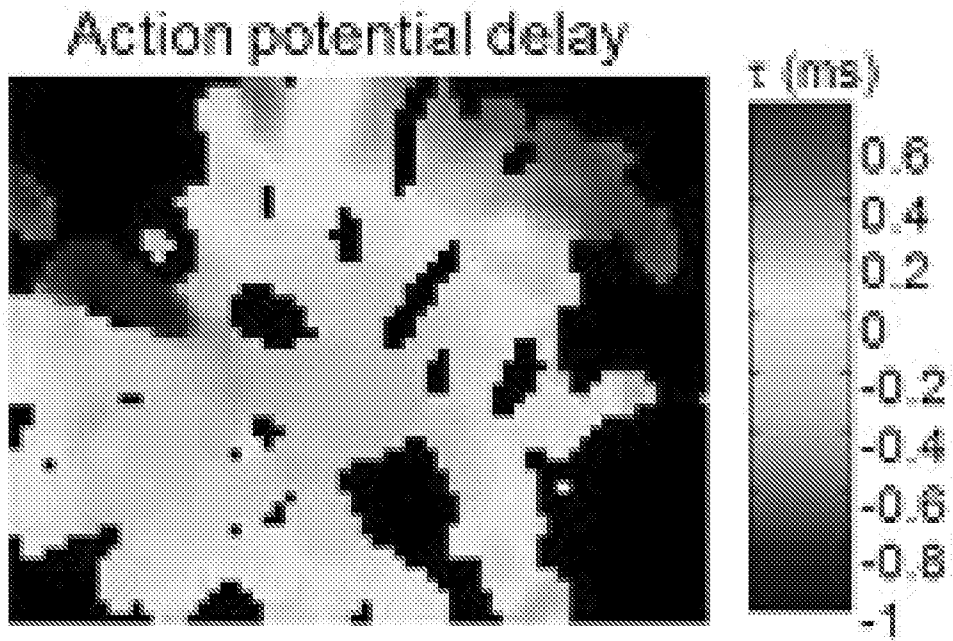


FIG. 15

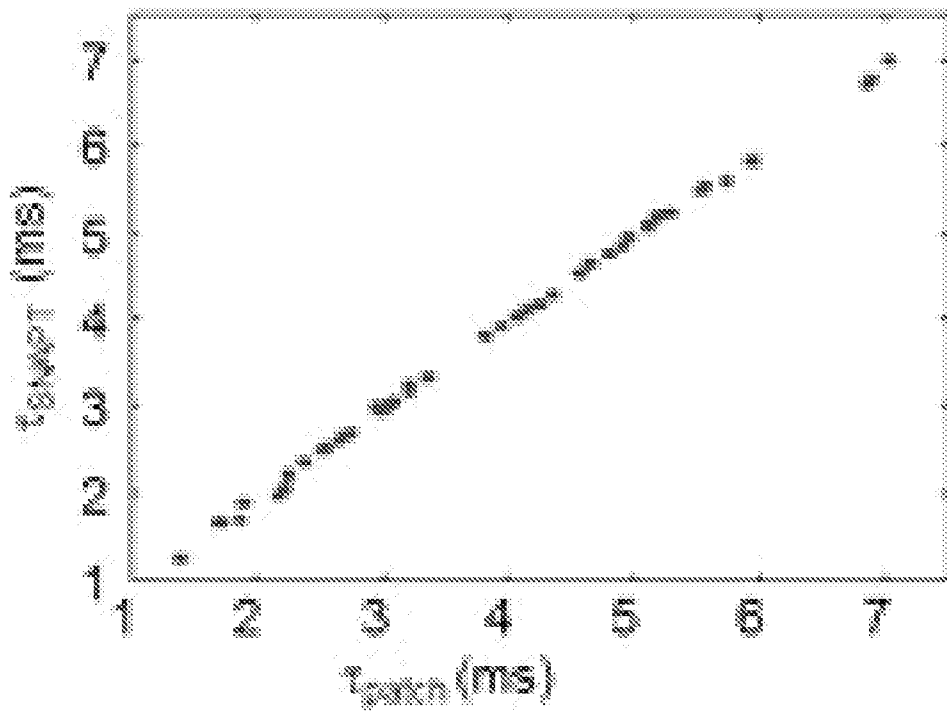


FIG. 16

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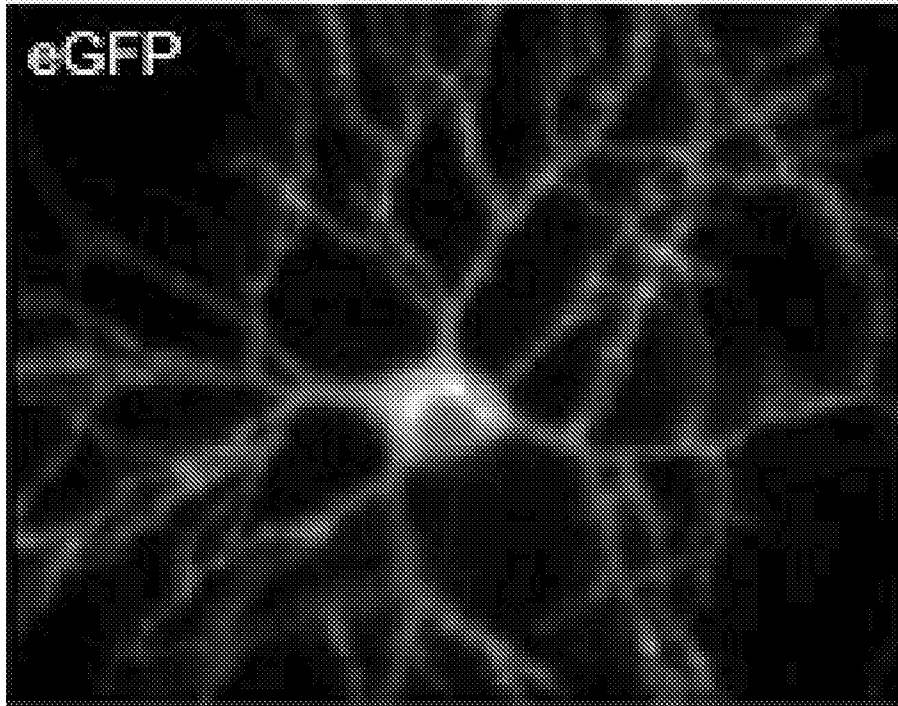


FIG. 17

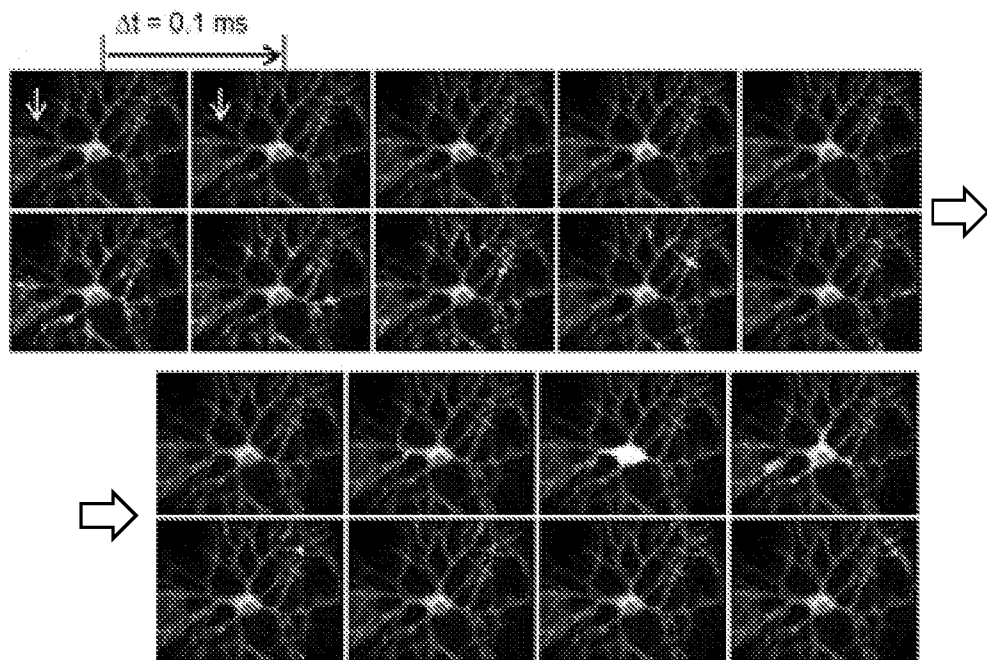


FIG. 18

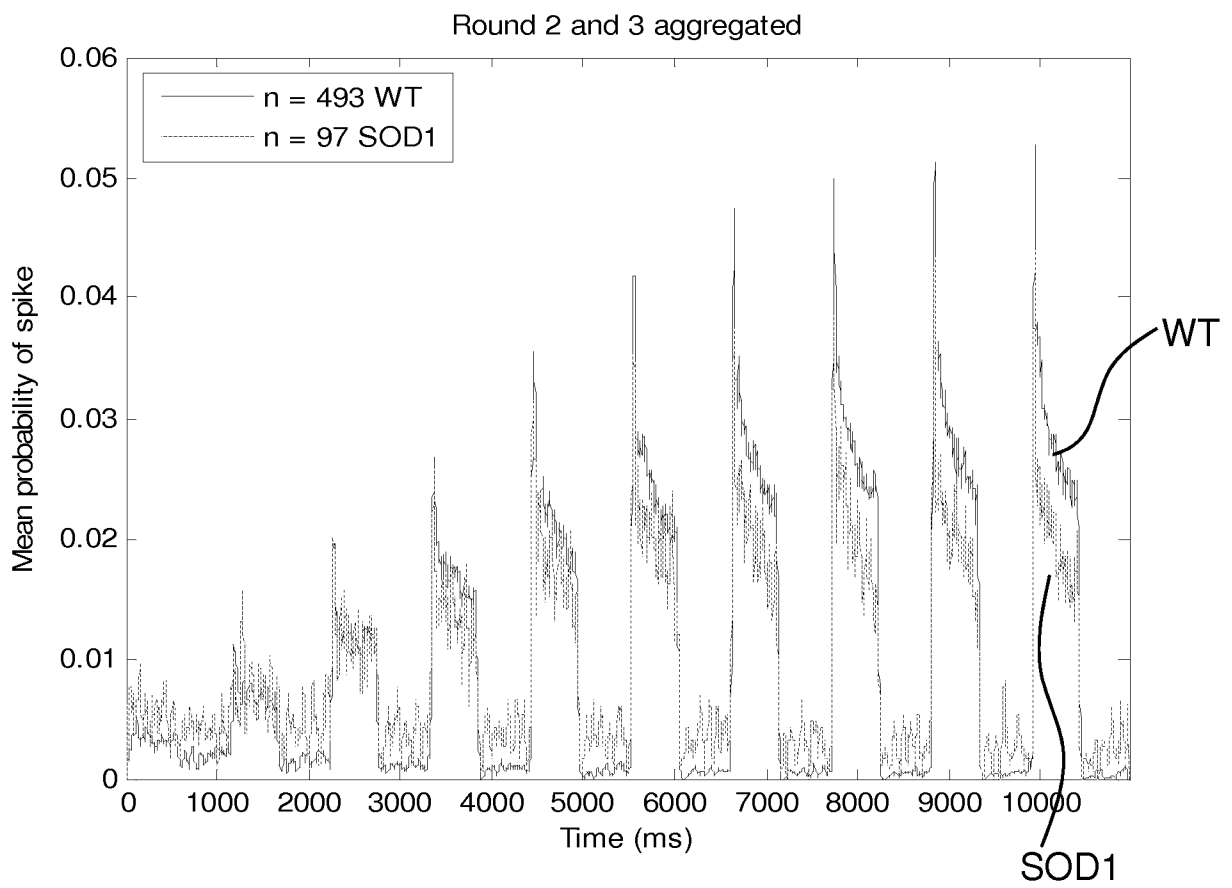


FIG. 19

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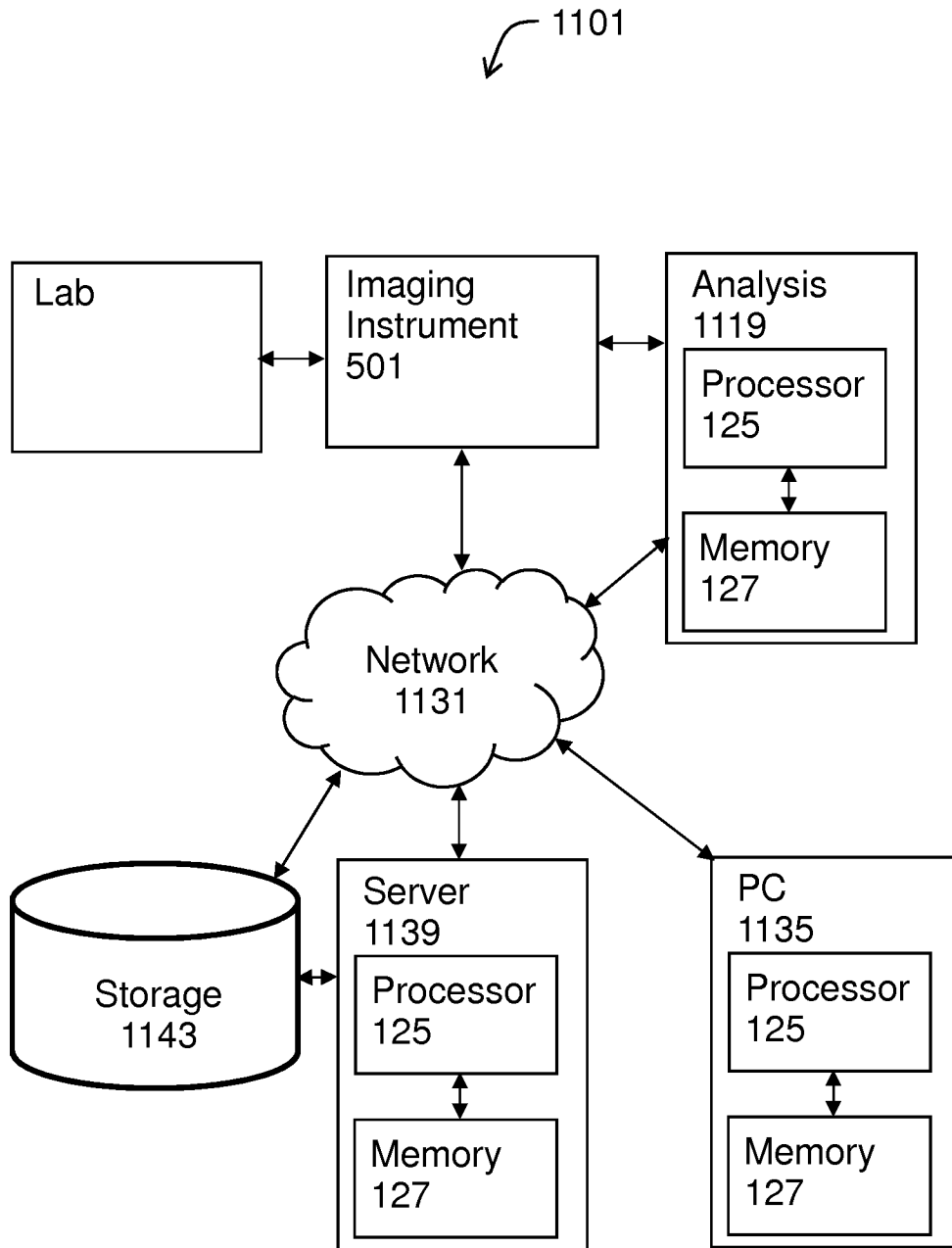


FIG. 20

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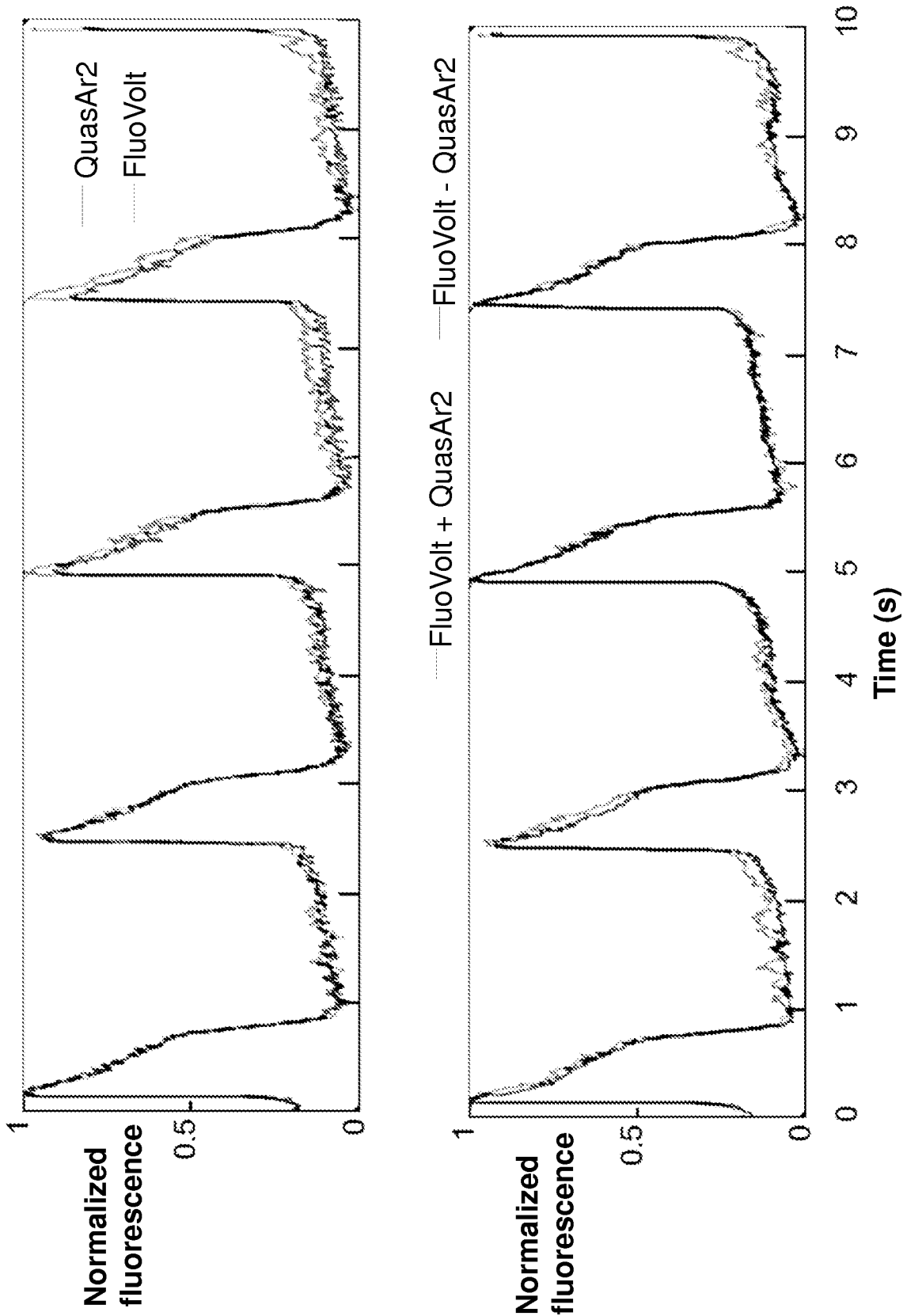
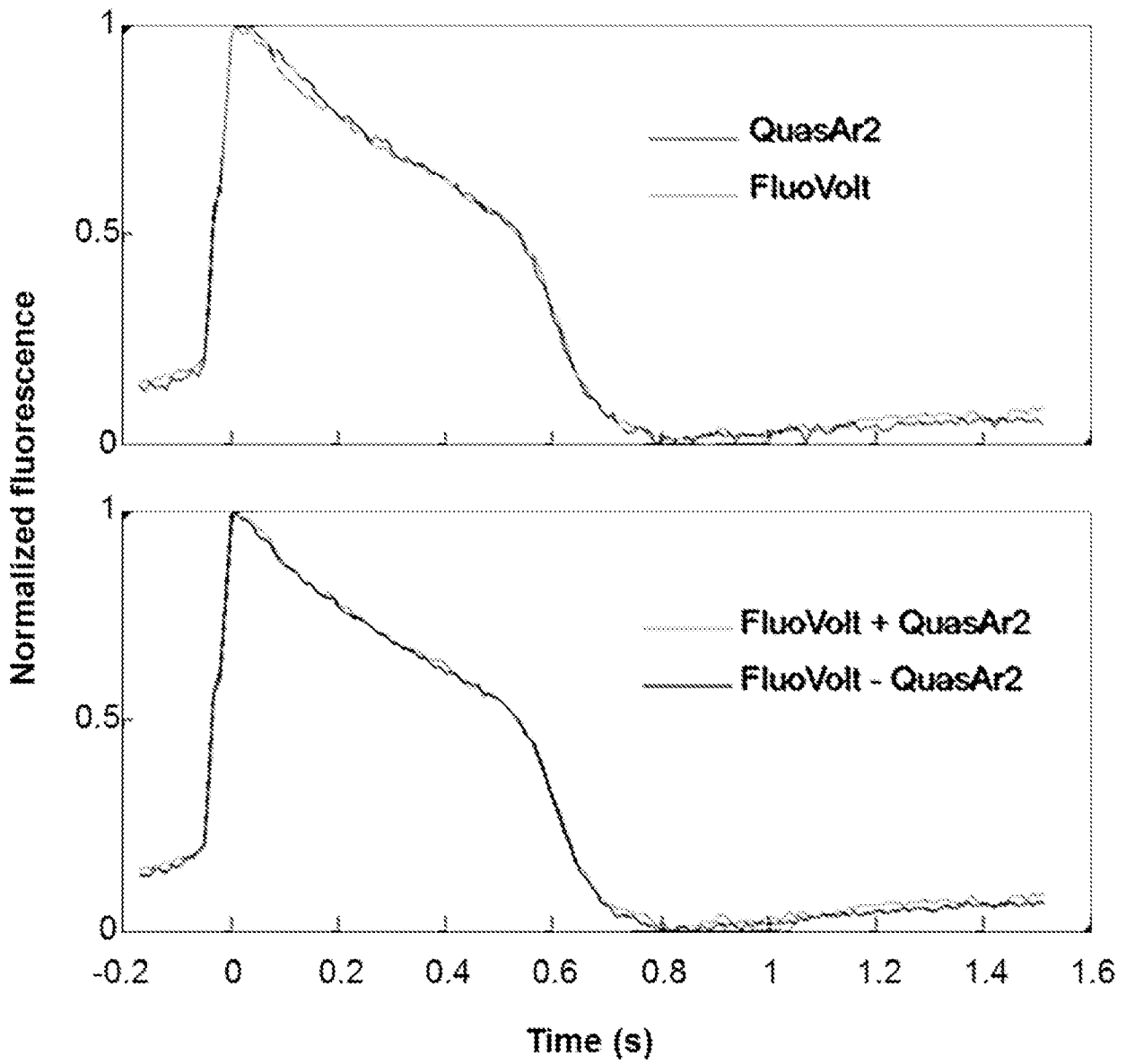


FIG. 21



**FIG. 22**

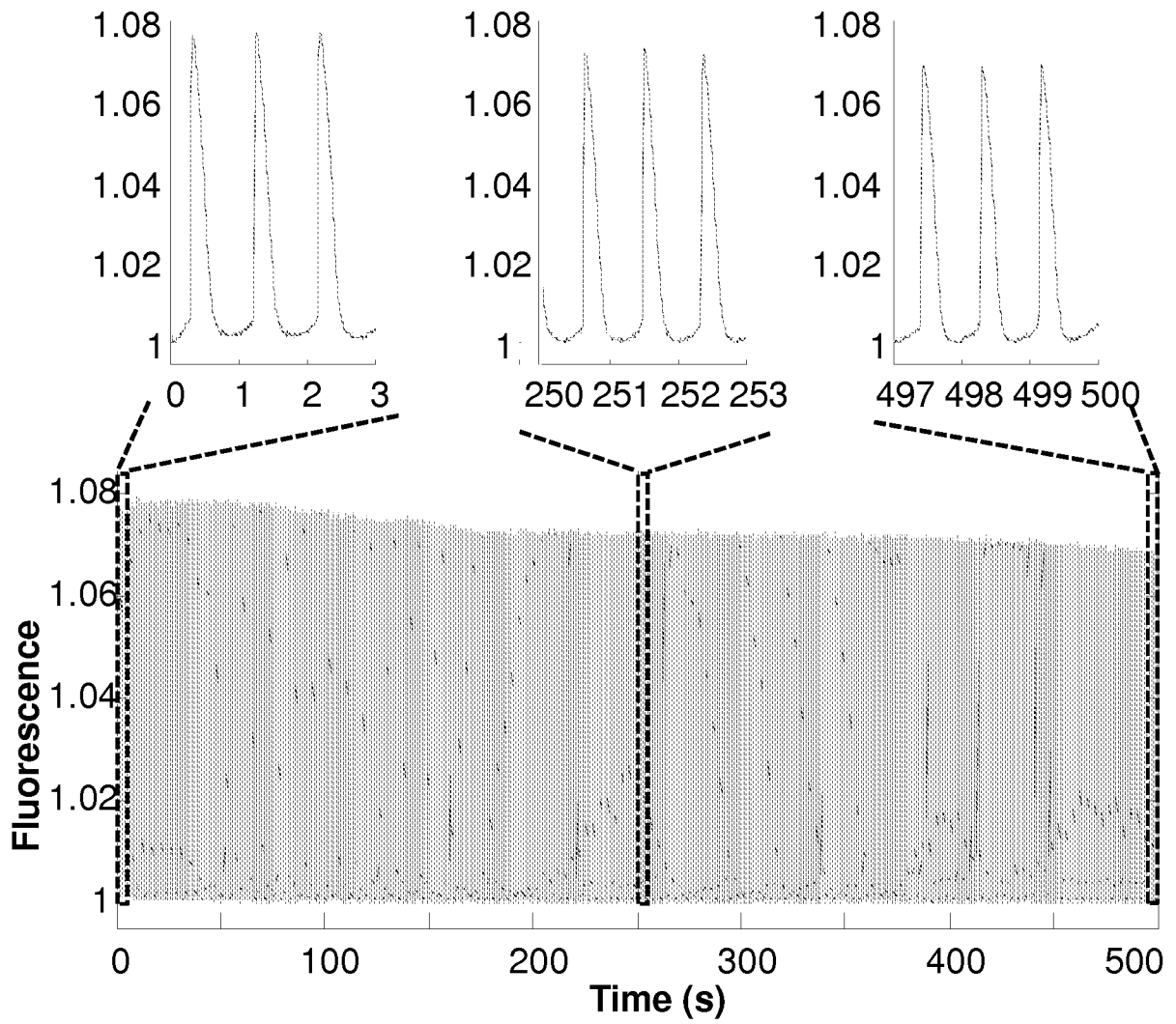


FIG. 23

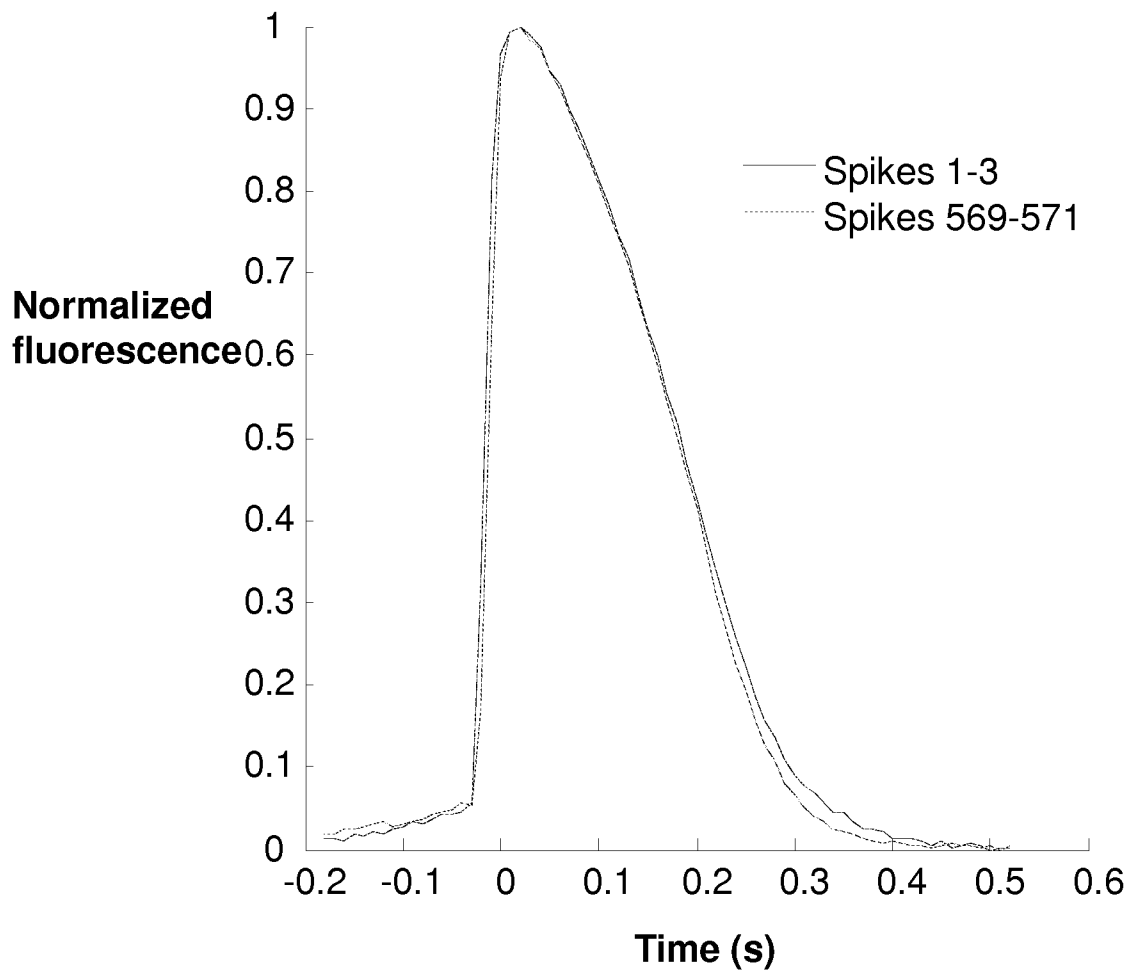


FIG. 24



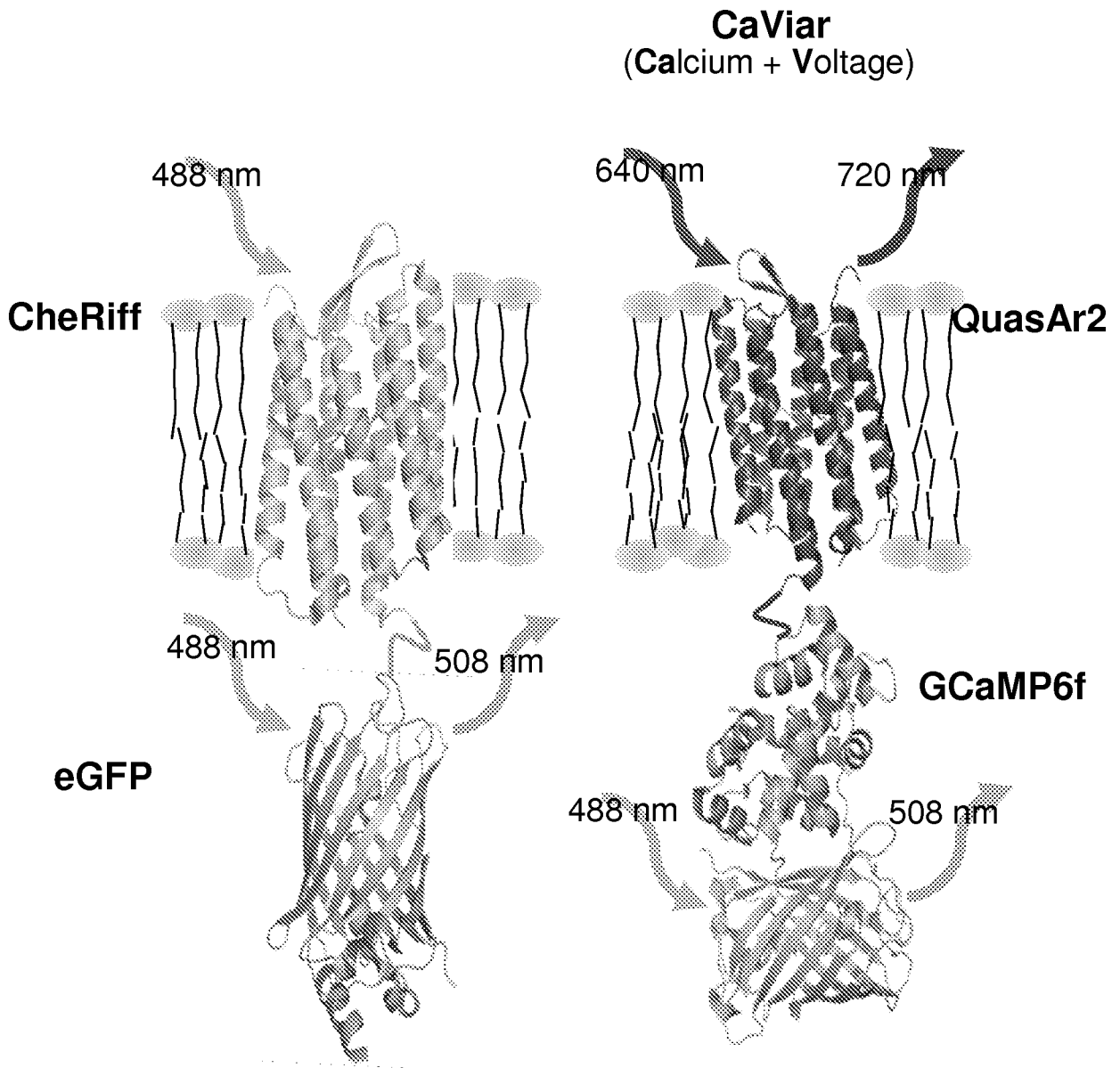


FIG. 25

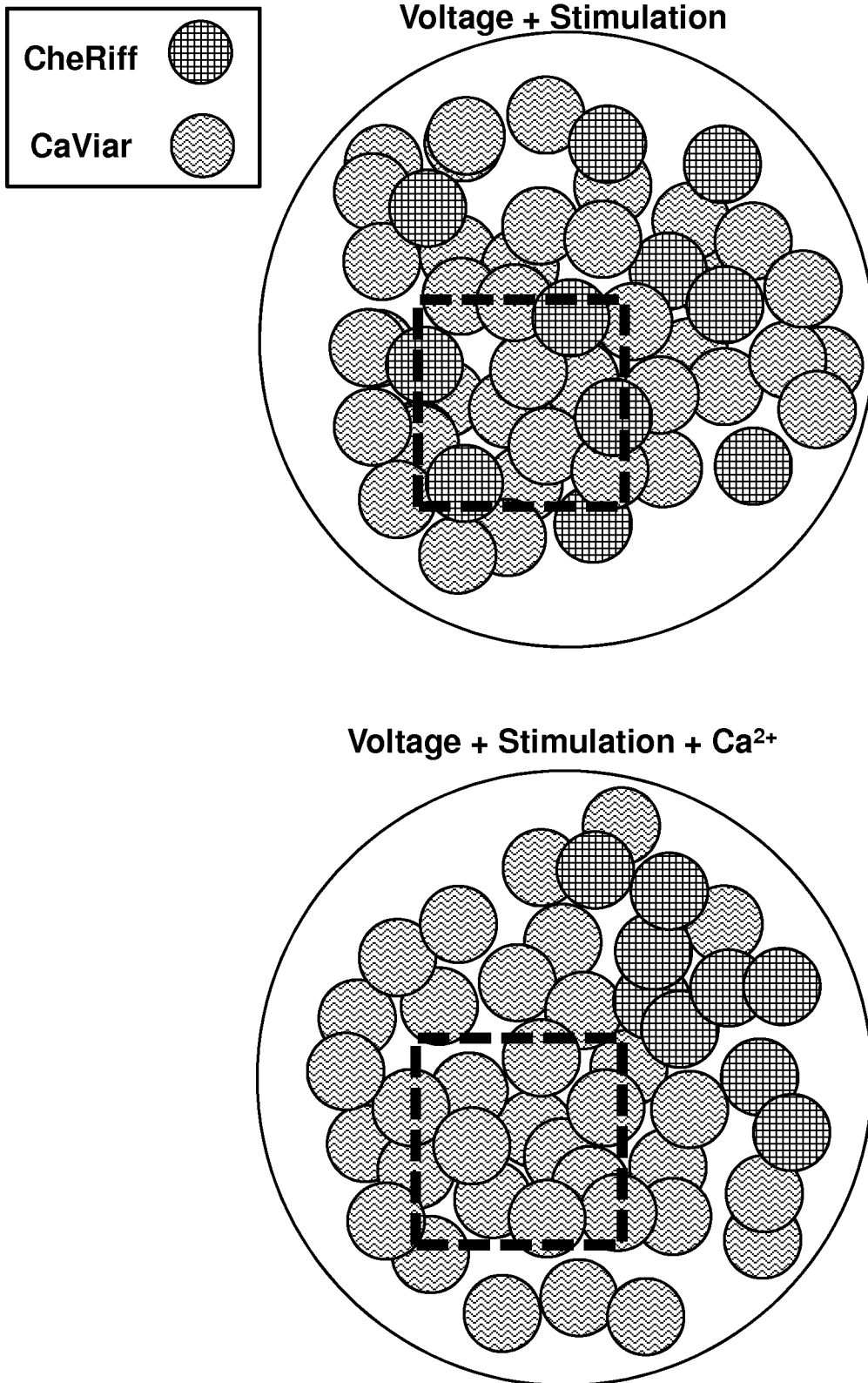


FIG. 26

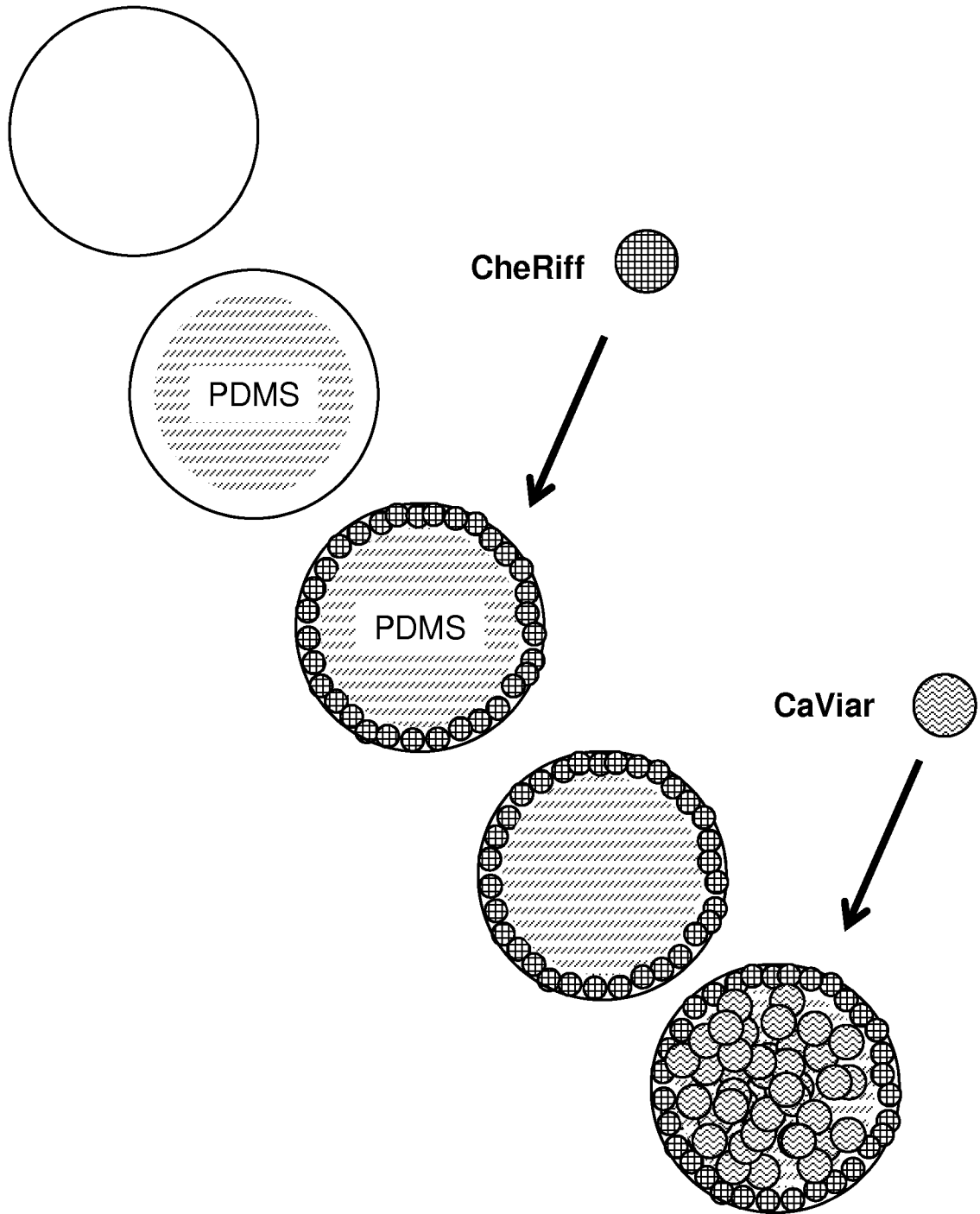


FIG. 27

INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2015/026858

A. CLASSIFICATION OF SUBJECT MATTER  
INV. G01N33/5058 C12N5/0619  
ADD.  
According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED  
Minimum documentation searched (classification system followed by classification symbols)  
G01N C12N

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
EPO-Internal, BEILSTEIN Data, BIOSIS, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	EP 2 028 268 A1 (UNIV BRUXELLES [BE]) 25 February 2009 (2009-02-25) paragraphs [0003], [0121], [0122]; claims -----	1-16
Y	WO 2009/047288 A1 (UNIV CONCEPCION [CL]; ROMERAL CABEZA ANGEL [ES]; AGUAYO HERNANDEZ LUIS) 16 April 2009 (2009-04-16) page 12, lines 15-20; claims -----	1-16
Y	MASON A. ISRAEL ET AL: "Probing sporadic and familial Alzheimer's disease using induced pluripotent stem cells", NATURE, vol. 482, 25 January 2012 (2012-01-25), pages 216-220, XP055168259, ISSN: 0028-0836, DOI: 10.1038/nature10821 abstract -----	1-16
	-/--	

Further documents are listed in the continuation of Box C.

See patent family annex.

\* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier application or patent but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "&" document member of the same patent family

Date of the actual completion of the international search  9 July 2015	Date of mailing of the international search report  28/09/2015
Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer  Vogt, Titus

## INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2015/026858

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	TANDIS VAZIN ET AL: "Efficient derivation of cortical glutamatergic neurons from human pluripotent stem cells: A model system to study neurotoxicity in Alzheimer's disease", NEUROBIOLOGY OF DISEASE, vol. 62, 18 September 2013 (2013-09-18), pages 62-72, XP055200550, ISSN: 0969-9961, DOI: 10.1016/j.nbd.2013.09.005 Electrophysiology	1-16
Y	----- JOEL M KRALJ ET AL: "Optical recording of action potentials in mammalian neurons using a microbial rhodopsin", NATURE METHODS, vol. 9, no. 1, 27 November 2011 (2011-11-27), pages 90-95, XP055194258, ISSN: 1548-7091, DOI: 10.1038/nmeth.1782 figure 2(g)	1-16
Y	----- WO 2012/027358 A1 (HARVARD COLLEGE [US]; COHEN ADAM E [US]; KRALJ JOEL M [US]; DOUGLASS A) 1 March 2012 (2012-03-01) paragraphs [0170], [0218], [0449], [0450], [0517]; claims	1-16
Y	----- D R HOCHBAUM ET AL: "Optopatch - All-optical electrophysiology", LECTURE, 14 October 2012 (2012-10-14), XP055194230, New Orleans, USA the whole document	1-16
Y	----- Adam Cohen: "All-optical Electrophysiology with Microbial Rhodopsins",  4 February 2013 (2013-02-04), XP055194358, Retrieved from the Internet: URL: <a href="http://www.fitzpatrick.duke.edu/events/all-optical-electrophysiology-microbial-rhodopsins">http://www.fitzpatrick.duke.edu/events/all-optical-electrophysiology-microbial-rhodopsins</a> [retrieved on 2015-06-09] the whole document	1-16
	----- -/--	

## INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2015/026858

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>ABDELFATTAH AHMED S ET AL: "Development of a Red Genetically-Encoded Voltage Indicator and its use with Channelrhodopsin for All-Optical Electrophysiology", BIOPHYSICAL JOURNAL, vol. 106, no. 2, 19 February 2014 (2014-02-19), XP028825810, ISSN: 0006-3495, DOI: 10.1016/J.BPJ.2013.11.3482 the whole document</p> <p style="text-align: center;">-----</p>	1-16
Y	<p>WO 2013/078347 A2 (HARVARD COLLEGE [US]) 30 May 2013 (2013-05-30) paragraph [0253]; claims</p> <p style="text-align: center;">-----</p>	1-16
Y,P	<p>DANIEL R HOCHBAUM ET AL: "All-optical electrophysiology in mammalian neurons using engineered microbial rhodopsins", NATURE METHODS, vol. 11, no. 8, 22 June 2014 (2014-06-22), pages 825-833, XP055194157, ISSN: 1548-7091, DOI: 10.1038/nmeth.3000 the whole document</p> <p style="text-align: center;">-----</p>	1-16
Y,P	<p>Daniel Hochbaum: "Bringing bioelectricity to light: all-optical electrophysiology using microbial rhodopsins", PhD Thesis; HARVARD UNIVERSITY, 1 September 2014 (2014-09-01), pages 1-183, XP055194246, <a href="http://gradworks.umi.com/36/44/3644997.htm">http://gradworks.umi.com/36/44/3644997.htm</a> 1 Retrieved from the Internet: URL:<a href="http://media.proquest.com/media/pq/classic/doc/3498617471/fmt/ai/rep/NPDF?hl=&amp;cit:auth=Hochbaum,%20Daniel%20Ron&amp;cit:title=Bringing%20bioelectricity%20to%20light:%20all-optical%20electrophysiology%20using%20microbial%20rhodopsins&amp;cit:pub=ProQuest%20Dissertations%20and%20Theses&amp;cit:vol=&amp;cit:iss=&amp;cit:pg=n/a&amp;cit:date=2014&amp;ic=true">http://media.proquest.com/media/pq/classic/doc/3498617471/fmt/ai/rep/NPDF?hl=&amp;cit:auth=Hochbaum, Daniel Ron&amp;cit:title=Bringing bioelectricity to light: all-optical electrophysiology using microbial rhodopsins&amp;cit:pub=ProQuest Dissertations and Theses&amp;cit:vol=&amp;cit:iss=&amp;cit:pg=n/a&amp;cit:date=2014&amp;ic=true</a> [retrieved on 2015-06-08] the whole document</p> <p style="text-align: center;">-----</p>	1-16

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US2015/026858

## Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

1-16

### Remark on Protest

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1-16

Method of screening for compounds for the treatment of Alzheimer's disease.

---

2. claims: 17-27

A cell culture.

---

3. claims: 28-52

Method of screening for compounds for the treatment of autism, and cell culture suitable for use therein.

---

4. claims: 53-80

Method of screening for compounds for the treatment of epilepsy, and cell culture suitable for use therein.

---

5. claims: 81-99

Method of screening for compounds for the treatment of tuberous sclerosis.

---

6. claims: 100-109

Method of screening for compounds for the treatment of a neurological condition, and cell culture suitable for use therein.

---

7. claims: 110-136

Method of screening for compounds for the treatment of ALS, and cell culture suitable for use therein.

---

8. claims: 137-153

A method of screening for a modulator of an ion-channel.

---

9. claims: 154-166

A compound screening method

---



**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

10. claims: 167-184

A cell suitable for use in a method of screening for modulators of ion-channels.

---

11. claims: 185-200

A method for characterizing a cell

---

12. claims: 201-208

A neuron expressing an exogenous transcription factor, an optical reporter of membrane potential, and a light gated ion-channel.

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No PCT/US2015/026858
---------------------------------------------------

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
EP 2028268	A1	25-02-2009	CA 2696801 A1 26-02-2009
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			US 2013224756 A1 29-08-2013
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			JP 2015505979 A 26-02-2015
			US 2015004637 A1 01-01-2015
			WO 2013078347 A2 30-05-2013
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