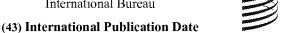
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(54) Title: ANTI-OX40 ANTIBODIES AND THEIR USES

# **Proliferation of Human Peripheral Blood** CD4+ T Cells 10 O--- Hu3738 -11D4 8 -18D8 Fold Over Control — Isotype 6 10-3 10-2 10-1 100 101 FIG. 1A Antibody Concentration (µg/mL)

(57) Abstract: The present disclosure provides novel anti-OX40 antibodies, compositions including the antibodies, nucleic acids encoding the antibodies, and methods of making and using the same.





#### ANTI-OX40 ANTIBODIES AND THEIR USES

# 1. CROSS REFERENCE TO RELATED APPLICATIONS

[0001] This application claims the benefit under 35 U.S.C. § 119(e) of U. S. Provisional Application no. 62/434,761, filed December 15, 2016, the contents of which are incorporated herein in its entirety by reference thereto.

# 2. SEQUENCE LISTING

[0002] The instant application contains a Sequence Listing which has been submitted electronically in ASCII format and is hereby incorporated by reference in its entirety. Said ASCII copy, created on October 30, 2017, is named 381493-368WO SL.txt and is 97,999 bytes in size.

# 3. TECHNICAL FIELD

[0003] The present application pertains to, among other things, novel anti-OX40 antibodies, compositions including the antibodies, nucleic acids encoding the antibodies, and methods of making and using the same.

#### 4. BACKGROUND

**[0004]** Cancer therapies comprise a wide range of therapeutic approaches, including surgery, radiation, and chemotherapy. While the various approaches allow a broad selection of treatments to be available to the medical practitioner to treat the cancer, existing therapeutics suffer from a number of disadvantages, such as a lack of selectivity of targeting cancer cells over normal, healthy cells, and the development of resistance by the cancer to the treatment.

**[0005]** Recent approaches based on targeted therapeutics, which interfere with cellular processes of cancer cells preferentially over normal cells, have led to chemotherapeutic regimens with fewer side effects as compared to non-targeted therapies such as radiation treatment.

**[0006]** Cancer immunotherapy has emerged as a promising therapeutic approach to complement existing standards of care. *See*, *e.g.*, Miller, et al. Cancer Cell, 27, 439-449 (2015). Such immunotherapy approaches include the development of antibodies used to modulate the immune system to kill cancer cells.

[0007] Anti-tumor immune responses in patients with solid tumors have been enhanced by treatment with biologics. For example, there are two approved and marketed anti-PD-1 monoclonal antibodies: nivolumab (OPDIVO®) and pembrolizumab (KEYTRUDA®), with approvals in the US and the

European Union to treat diseases such as unresectable or metastatic melanoma and metastatic non-small cell lung cancer. Treatment of patients with these agents has resulted in anti-tumor responses as measured by improvement in either progression free survival and/or overall survival.

[0008] The recent failure of OPDIVO® to slow progression of advanced lung cancer in a treatmentnaïve patient population in a clinical trial comparing OPDIVO® with conventional chemotherapy highlights the need for alternative approaches and additional cancer treatments to complement existing therapeutic standards of care.

#### 5. SUMMARY

[0009] The present disclosure provides anti-OX40 antibodies that specifically bind to and activate OX40. The amino acid sequences of exemplary complementarity determining regions (CDRs), the heavy chain variable domain ( $V_H$ ) and light chain variable domain ( $V_L$ ) regions (*i.e.*, the  $V_H$  and  $V_L$  chains, respectively), and the heavy and light chains of exemplary anti-OX40 antibodies are provided in the Detailed Description below. Anti-OX40 antibodies provided herein result in activation of the adaptive immune response.

[0010] The anti-OX40 antibodies may include modifications and/or mutations that alter the properties of the antibodies, such as increase half-life, increase or decrease antigen-dependent cellular cytotoxicity (ADCC), as is known in the art.

[0011] Nucleic acids comprising nucleotide sequences encoding the anti-OX40 antibodies of the disclosure are provided herein, as are vectors comprising nucleic acids. Additionally, prokaryotic and eukaryotic host cells transformed with a vector comprising a nucleotide sequence encoding a disclosed anti-OX40 antibody are provided herein, as well as eukaryotic (such as mammalian) host cells engineered to express the nucleotide sequences. Methods of producing antibodies, by culturing host cells and recovering the antibodies are also provided, and discussed further in the Detailed Description below.

[0012] In another aspect, the present disclosure provides compositions including the anti-OX40 antibodies described herein. The compositions generally comprise one or more anti-OX40 antibodies as described herein, and one or more excipients, carriers or diluents.

[0013] The present disclosure provides methods of treating subjects, such as human subjects, diagnosed with a solid tumor with an anti-OX40 antibody. The method generally involves administering to the subject an amount of an anti-OX40 antibody described herein effective to

provide therapeutic benefit. The subject may be diagnosed with any one of a number of solid tumors that may be newly diagnosed, relapsed, or relapsed and refractory. An anti-OX40 antibody can be administered as an intravenous infusion once every two weeks.

[0014] The anti-OX40 antibodies may be administered as single therapeutic agents (monotherapy) or adjunctive to or with other therapeutic agents typically, but not necessarily, those used for the treatment of a solid tumor. Therapeutic agents typically will be used at their approved dose, route of administration, and frequency of administration.

[0015] The anti-OX40 antibodies may be administered via a variety of routes or modes of administration, including but not limited to, intravenous infusion and/or injection, and intratumoral injection. The amount administered will depend upon the route of administration, the dosing schedule, the type of cancer being treated, the stage of the cancer being treated, and other parameters such as the age and weight of the patient, as is well known in the art.

# 6. BRIEF DESCRIPTION OF THE FIGURES

[0016] FIGS. 1A-1D depict functional activation of human T cells in vitro after treatment with the exemplary anti-OX40 antibody Hu3738. FIG. 1A depicts the proliferation of human peripheral blood CD4+ T cells after treatment with anti-OX40 antibody Hu3738, or literature antibody 11D4 or 18D8. FIG. 1B depicts the increase in interferon-gamma (IFN-γ) production by human CD4+ T cells after treatment with anti-OX40 antibody Hu3738, or literature antibody 11D4 or 18D8. FIG. 1C depicts the proliferation of human peripheral blood CD4+ T cells after treatment with Hu3738, or literature antibody 1A7. FIG. 1D depicts the increase in IFN-γ production by human CD4+ T cells after treatment with Hu3738, or literature antibody 1A7.

[0017] FIGS. 2A-2B show the effect of exemplary anti-OX40 antibody Hu3738 on human T regulatory (Treg) cell-mediated suppression in vitro. The Treg suppression assay was set up using two different ratios of CD4+/CD25- responder T cells (Tresp) to CD4+/CD25+/CD127low T regulatory cells (Treg). Treg Suppression Inspector reagent beads (Insp) were added to culture wells at 1:1 bead-to-cell ratio for stimulation. The clear bar represents proliferation of Tresp cells in the presence of Insp. Anti-OX40 and isotype control human IgG<sub>1</sub> antibodies were tested in triplicate at  $10~\mu g/mL$  final concentration in the absence or presence of cross-linking reagent (F(ab')<sub>2</sub> goat antihuman IgG, Fc specific) at 1:4 ratio. Plates were incubated at 37 °C in 5% CO<sub>2</sub> for four days. 1  $\mu$ Ci/well <sup>3</sup>H-thymidine was added and the plates were further incubated for another 16 hours. Graphs

represent proliferation as shown in counts per minute (cpm). FIG. 2A depicts results with Tresp to Treg at 2:1 ratio; FIG. 2B depicts results with Tresp to Treg at 4:1 ratio.

[0018] FIG. 3 depicts the inhibition of binding of exemplary anti-OX40 antibody Hu3738 in the presence of soluble human OX40 ligand (OX40L). The graph shows mean fluorescence intensity (MFI) vs. concentration of OX40L (μg/mL). Human OX40-expressing Jurkat cells were co-stained with a titration of unlabeled soluble OX40L and 0.2 μg/mL Hu3738 or isotype control antibody.

[0019] FIG. 4A shows an amino acid sequence alignment of human OX40 (SEQ ID NO:1) with mouse OX40 (SEQ ID NO:3). FIG. 4B depicts the binding activity of exemplary anti-OX40 antibody Hu3738 to cell-surface expressed human, murine, or chimeric human-mouse OX40 molecules containing mouse cysteine-rich domains (CRDs) swapped out for the corresponding human regions. Human OX40 is shown as "293s-huOX40," chimeric human OX40 with murine CRDII is shown as "293s-huOX40-muCRDII," chimeric human OX40 with murine CRDIII is shown as "293s-huOX40-muCRDIII," chimeric human OX40 with murine CRDIII is shown as "293s-huOX40-muCRDIII," chimeric human OX40 with murine CRDIII is shown as "293s-huOX40-muCRDIII," and murine OX40 with murine CRDIII is shown as "293s-huOX40-muCRDIII+III," and murine OX40 is shown as "293s-muOX40."

[0020] FIG. 5 depicts competition for cell surface human OX40 binding by exemplary anti-OX40 antibody Hu3738 or a literature antibody (11D4, 18D8, 106-222, 119-122, or 1A7).

[0021] FIG. 6A depicts the activation of NF-κB in human OX40-transfected Jurkat reporter cell lines upon treatment with exemplary anti-OX40 antibody Mu3738 or Hu3738, or literature antibody 11D4, 18D8, 106-222, or 119-122, or isotype control in the absence of an added cross-linker. FIG. 6B depicts the activation of NF-κB in human OX40-transfected Jurkat reporter cell lines upon treatment with exemplary anti-OX40 antibody Hu3738, literature antibody 1A7, or isotype control in the presence or absence of an added cross-linker.

[0022] FIG. 7 depicts anti-tumor activity of exemplary anti-OX40 antibody Hu3738 in a human PC3 adoptive cell tumor model in NSG mice.

[0023] FIG. 8 depicts levels of interleukin-8 (IL-8), granulocyte macrophage colony-stimulating factor (GM-CSF), tumor necrosis factor alpha (TNF- $\alpha$ ), and interferon-gamma (IFN- $\gamma$ ) in a human peripheral blood mononuclear cell (PBMC) mediated graft-versus-host disease (GVHD) model in

NSG mice, after treating the mice with 1 mg/kg Hu3738 or human IgG<sub>1</sub> isotype control once every 7 days for a total of 4 doses.

# 7. **DETAILED DESCRIPTION**

[0024] The present disclosure concerns antibodies and fragments thereof that specifically bind OX40, compositions comprising the antibodies, polynucleotides encoding anti-OX40 antibodies, host cells capable of producing the antibodies, methods and compositions useful for making the antibodies, and various methods of using the same.

[0025] As will be appreciated by skilled artisans, antibodies and fragments thereof are "modular" in nature. Throughout the disclosure, various specific embodiments of the various "modules" composing anti-OX40 antibodies or binding fragments thereof are described. As specific non-limiting examples, various specific embodiments of heavy chain variable domain ( $V_H$ ) complementarity determining regions (CDRs),  $V_H$  chains, light chain variable domain ( $V_L$ ) CDRs and  $V_L$  chains are described. It is intended that all of the specific embodiments may be combined with each other as though each specific combination were explicitly described individually.

#### 7.1. Abbreviations

[0026] The antibodies, binding fragments, and polynucleotides described herein are, in many embodiments, described by way of their respective polypeptide or polynucleotide sequences. Unless indicated otherwise, polypeptide sequences are provided in N→C orientation; polynucleotide sequences in 5'→3' orientation. For polypeptide sequences, the conventional three or one-letter abbreviations for the genetically encoded amino acids may be used, as noted in TABLE 1, below.

TABLE 1			
En	<b>Encoded Amino Acid Abbreviations</b>		
Amino Acid Three Letter Abbreviation One-Letter Abbrevi			
Alanine	Ala	A	
Arginine	Arg	R	
Asparagine	Asn	N	
Aspartic acid	Asp	D	
Cysteine	Cys	С	

	TABLE 1	
<b>Encoded Amino Acid Abbreviations</b>		
Amino Acid	Three Letter Abbreviation	One-Letter Abbreviation
Glutamic acid	Glu	Е
Glutamine	Gln	Q
Glycine	Gly	G
Histidine	His	Н
Isoleucine	Ile	I
Leucine	Leu	L
Lysine	Lys	K
Methionine	Met	M
Phenylalanine	Phe	F
Proline	Pro	P
Serine	Ser	S
Threonine	Thr	T
Tryptophan	Trp	W
Tyrosine	Tyr	Y
Valine	Val	V

**[0027]** Certain sequences are defined by structural formulae specifying amino acid residues belonging to certain classes (*e.g.*, aliphatic, hydrophobic, *etc.*). The various classes to which the genetically encoded amino acids belong as used herein are noted in TABLE 2, below. Some amino acids may belong to more than one class. Cysteine, which contains a sulfhydryl group, and proline, which is conformationally constrained, are not assigned classes.

TABLE 2	
<b>Encoded Amino Acid Classes</b>	
Class	Amino Acids
Aliphatic	A, I, L,V
Aromatic	F, Y, W
Non-Polar	M, A, I, L, V
Polar	N, Q, S, T
Basic	H, K, R
Acidic	D, E
Small	A, G

# 7.2. **Definitions**

[0028] Unless otherwise defined herein, scientific and technical terms used in connection with the present disclosure shall have the meanings that are commonly understood by those of ordinary skill in the art.

# 7.3. Anti-OX40 Antibodies and Binding Fragments

[0029] OX40 is a co-stimulatory molecule that has a critical role in the enhancement of nascent immune responses and concomitantly acts to suppress regulatory T cell activity. OX40, also known as CD134 or tumor necrosis factor receptor superfamily 4 (TNFRSF4), is a Type I transmembrane cell surface member of the tumor necrosis factor (TNF) receptor superfamily transiently expressed on recently activated T cells and constitutively expressed on activated T regulatory cells. The extracellular ligand binding domain of OX40 is composed of three cysteine-rich domains (CRD) and a fourth partial CRD (CRDI, CRDII, CRDIII, and CRDIV, respectively). While primarily expressed by activated CD4+ T cells, OX40 can be expressed on B cells, CD8+ T cells, and natural killer (NK) and natural killer T (NKT) cells following activation. Neutrophils have also been reported to express OX40 and signaling through OX40 on human neutrophils inhibits apoptotic cell death. The ligand for OX40 (OX40L), also known as tumor necrosis factor ligand superfamily 4 (TNFSF4), CD252 or glycoprotein 34 (gp34), is upregulated by activated antigen-presenting cells and B cells. Ligand binding to OX40 on antigen-activated T cells results in downstream NF-κB translocation and AKT pathway activation. NF-κB translocation leads to upregulation of pro-survival molecules such as Bcl-

2, Bcl-XL and cell survival. Activating antibodies directed at OX40 are intended at least in part to enhance antigen-specific immune responses by prolonging activation and differentiation of T effector cells.

[0030] In addition to the impact on antigen activated T effector cells, targeting OX40 expressed by T regulatory cells may also contribute to the putative mechanism of action. T regulatory cells express high levels of OX40 within the tumor microenvironment. OX40 activation has been shown to impact suppressive capacity of T regulatory cells and to lead to the active depletion of OX40 positive T regulatory cells from the tumor microenvironment.

[0031] In one aspect, the disclosure concerns antibodies that specifically bind OX40.

[0032] As used herein, the term "antibody" (Ab) refers to an immunoglobulin molecule that specifically binds to a particular antigen-here, OX40. In some embodiments, the anti-OX40 antibodies of the disclosure bind to human OX40 (SEQ ID NO:1) (NCBI Reference Sequence NP003318) and thereby modulate the immune system. The resulting immune system response is cytotoxic to tumor cells. Anti-OX40 antibodies comprise complementarity determining regions (CDRs), also known as hypervariable regions, in both the light chain and the heavy chain variable domains. The more highly conserved portions of variable domains are called the framework (FR). As is known in the art, the amino acid position/boundary delineating a hypervariable region of an antibody can vary, depending on the context and the various definitions known in the art. Some positions within a variable domain may be viewed as hybrid hypervariable positions in that these positions can be deemed to be within a hypervariable region under one set of criteria while being deemed to be outside a hypervariable region under a different set of criteria. One or more of these positions can also be found in extended hypervariable regions. The disclosure provides antibodies comprising modifications in these hybrid hypervariable positions. The variable domains of native heavy and light chains each comprise four FR regions, largely by adopting a β-sheet configuration, connected by three CDRs, which form loops connecting, and in some cases forming part of, the βsheet structure. The CDRs in each chain are held together in close proximity by the FR regions and, with the CDRs from the other chain, contribute to the formation of the target binding site of antibodies. See Kabat et al., Sequences of Proteins of Immunological Interest (National Institute of Health, Bethesda, Md. 1987). As used herein, numbering of immunoglobulin amino acid residues is done according to the immunoglobulin amino acid residue numbering system of Kabat et al. unless otherwise indicated.

[0033] The antibodies of the disclosure may be polyclonal, monoclonal, genetically engineered, and/or otherwise modified in nature, including but not limited to chimeric antibodies, humanized antibodies, and human antibodies. In some embodiments, the constant region is an isotype selected from: IgA (*e.g.*, IgA<sub>1</sub> or IgA<sub>2</sub>), IgD, IgE, IgG (*e.g.*, IgG<sub>1</sub>, IgG<sub>2</sub>, IgG<sub>3</sub> or IgG<sub>4</sub>), and IgM. In specific embodiments, an anti-OX40 antibody described herein comprises an IgG<sub>1</sub>. In other embodiments, the anti-OX40 antibodies comprise an IgG<sub>2</sub> or IgG<sub>4</sub>. As used herein, the "constant region" of an antibody includes the natural constant region, allotypes or natural variants, such as D356E and L358M, or A431G in human IgG<sub>1</sub>. See, e.g., Jefferis and Lefranc, MAbs, 1(4): 332-338 (Jul-Aug 2009).

[0034] The light constant region of an anti-OX40 antibody may be a kappa ( $\kappa$ ) light region or a lambda ( $\lambda$ ) region. A  $\lambda$  light region can be any one of the known subtypes, e.g.,  $\lambda_1$ ,  $\lambda_2$ ,  $\lambda_3$ , or  $\lambda_4$ . In some embodiments, the anti-OX40 antibody comprises a kappa ( $\kappa$ ) light region.

[0035] The term "monoclonal antibody" as used herein is not limited to antibodies produced through hybridoma technology. A monoclonal antibody is derived from a single clone, including any eukaryotic, prokaryotic, or phage clone, by any means available or known in the art. Monoclonal antibodies useful with the present disclosure can be prepared using a wide variety of techniques known in the art including the use of hybridoma, recombinant, and phage display technologies, or a combination thereof. In many uses of the present disclosure, including *in vivo* use of the anti-OX40 antibodies in humans, chimeric, humanized, or human antibodies can be used.

[0036] The term "chimeric" antibody as used herein refers to an antibody having variable sequences derived from a non-human immunoglobulin, such as a rat or a mouse antibody, and human immunoglobulin constant regions, typically chosen from a human immunoglobulin template. Methods for producing chimeric antibodies are known in the art. See, *e.g.*, Morrison, 1985, Science 229(4719):1202-7; Oi *et al.*, 1986, BioTechniques 4:214-221; Gillies *et al.*, 1985, J. Immunol. Methods 125:191-202; U.S. Patent Nos. 5,807,715; 4,816,567; and 4,816,397.

[0037] "Humanized" forms of non-human (e.g., murine) antibodies are chimeric immunoglobulins that contain minimal sequences derived from non-human immunoglobulin. In general, a humanized antibody will comprise substantially all of at least one, and typically two, variable domains, in which all or substantially all of the CDR regions correspond to those of a non-human immunoglobulin and all or substantially all of the FR regions are those of a human immunoglobulin sequence. The humanized antibody can also comprise at least a portion of an immunoglobulin constant region (Fc), typically that of a human immunoglobulin consensus sequence. Methods of antibody humanization

are known in the art. See, *e.g.*, Riechmann *et al.*, 1988, Nature 332:323-7; U.S. Patent Nos: 5,530,101; 5,585,089; 5,693,761; 5,693,762; and 6,180,370 to Queen *et al.*; EP239400; PCT publication WO 91/09967; U.S. Patent No. 5,225,539; EP592106; EP519596; Padlan, 1991, Mol. Immunol., 28:489-498; Studnicka *et al.*, 1994, Prot. Eng. 7:805-814; Roguska *et al.*, 1994, Proc. Natl. Acad. Sci. 91:969-973; and U.S. Patent No. 5,565,332.

[0038] "Human antibodies" include antibodies having the amino acid sequence of a human immunoglobulin and include antibodies isolated from human immunoglobulin libraries or from animals transgenic for one or more human immunoglobulins and that do not express endogenous immunoglobulins. Human antibodies can be made by a variety of methods known in the art including phage display methods using antibody libraries derived from human immunoglobulin sequences. See U.S. Patent Nos. 4,444,887 and 4,716,111; and PCT publications WO 98/46645; WO 98/50433; WO 98/24893; WO 98/16654; WO 96/34096; WO 96/33735; and WO 91/10741. Human antibodies can also be produced using transgenic mice which are incapable of expressing functional endogenous immunoglobulins but which can express human immunoglobulin genes. See, e.g., PCT publications WO 98/24893; WO 92/01047; WO 96/34096; WO 96/33735; U.S. Patent Nos. 5,413,923; 5,625,126; 5,633,425; 5,569,825; 5,661,016; 5,545,806; 5,814,318; 5,885,793; 5,916,771; and 5,939,598. In addition, companies such as LakePharma, Inc. (Belmont, CA) or Creative BioLabs (Shirley, NY) can be engaged to provide human antibodies directed against a selected antigen using technology similar to that described above. Fully human antibodies that recognize a selected epitope can be generated using a technique referred to as "guided selection." In this approach, a selected non-human monoclonal antibody, e.g., a mouse antibody, is used to guide the selection of a completely human antibody recognizing the same epitope (see, Jespers et al., 1988, Biotechnology 12:899-903).

[0039] Also contemplated are anti-OX40 antibody binding fragments. The binding fragments of the disclosure include those that are capable of specifically binding OX40. Examples of antibody binding fragments include by way of example and not limitation, Fab, Fab', F(ab')<sub>2</sub>, Fv fragments, single chain Fv (scFv) fragments and single domain fragments.

[0040] A Fab fragment contains the constant domain of the light chain and the first constant domain (CH1) of the heavy chain. Fab' fragments differ from Fab fragments by the addition of a few residues at the carboxyl terminus of the heavy chain CH1 domain including one or more cysteines from the antibody hinge region. Fab' fragments are produced by cleavage of the disulfide bond at the hinge cysteines of the F(ab')<sub>2</sub> pepsin digestion product. Additional chemical couplings of antibody

fragments are known to those of ordinary skill in the art. Fab and F(ab')<sub>2</sub> fragments lack the Fragment crystallizable (Fc) region of an intact antibody, clear more rapidly from the circulation of animals, and may have less non-specific tissue binding than an intact antibody (see, *e.g.*, Wahl *et al.*, 1983, J. Nucl. Med. 24:316).

[0041] As is commonly understood in the art, an "Fc" region is the Fragment crystallizable constant region of an antibody not comprising an antigen-specific binding region. In IgG, IgA and IgD antibody isotypes, the Fc region is composed of two identical protein fragments, derived from the second and third constant domains (CH2 and CH3 domains, respectively) of the two heavy chains of an antibody. IgM and IgE Fc regions contain three heavy chain constant domains (CH2, CH3, and CH4 domains) in each polypeptide chain.

[0042] An "Fv" fragment is the minimum fragment of an antibody that contains a complete target recognition and binding site. This region consists of a dimer of one heavy and one light chain variable domain in a tight, non-covalent association ( $V_H$ - $V_L$  dimer). It is in this configuration that the three CDRs of each variable domain interact to define a target binding site on the surface of the  $V_H$ - $V_L$  dimer. Often, the six CDRs confer target binding specificity to the antibody. However, in some instances even a single variable domain (or half of an Fv comprising only three CDRs specific for a target) can have the ability to recognize and bind target, although at a lower affinity than the entire binding site.

[0043] "Single-chain Fv" or "scFv" antibody binding fragments comprise the  $V_{\rm H}$  and  $V_{\rm L}$  domains of an antibody, where these domains are present in a single polypeptide chain. Generally, the Fv polypeptide further comprises a polypeptide linker between the  $V_{\rm H}$  and  $V_{\rm L}$  domains which enables the scFv to form a structure favorable for target binding.

[0044] "Single domain fragments" are composed of a single  $V_H$  or  $V_L$  domains which exhibit sufficient affinity to OX40. In a specific embodiment, the single domain fragment is camelized (*See*, *e.g.*, Riechmann, 1999, Journal of Immunological Methods 231:25–38).

[0045] Anti-OX40 antibodies of the disclosure include derivatized antibodies. For example, derivatized antibodies are typically modified by glycosylation, acetylation, pegylation, phosphorylation, amidation, derivatization by known protecting/blocking groups, proteolytic cleavage, linkage to a cellular ligand or other protein. Any of numerous chemical modifications can be carried out by known techniques, including, but not limited to, specific chemical cleavage, acetylation, formylation, metabolic synthesis of tunicamycin, *etc.* Additionally, the derivative can

contain one or more non-natural amino acids, e.g., using ambrx technology (See, e.g., Wolfson, 2006, Chem. Biol. 13(10):1011-2).

**[0046]** The anti-OX40 antibodies may be antibodies whose sequences have been modified to alter at least one constant region-mediated biological effector function. For example, in some embodiments, an anti-OX40 antibody may be modified to reduce at least one constant region-mediated biological effector function relative to the unmodified antibody, *e.g.*, reduced binding to one or more of the Fc receptors (FcγR) such as FcγRI, FcγRIIA, FcγRIIB, FcγRIIIA and/or FcγRIIIB. FcγR binding can be reduced by mutating the immunoglobulin constant region segment of the antibody at particular regions necessary for FcγR interactions (*See*, *e.g.*, Canfield and Morrison, 1991, J. Exp. Med. 173:1483-1491; and Lund *et al.*, 1991, J. Immunol. 147:2657-2662). Reduction in FcγR binding ability of the antibody can also reduce other effector functions which rely on FcγR interactions, such as opsonization, phagocytosis and antigen-dependent cellular cytotoxicity ("ADCC"). In an illustrative example, a variant CH2 domain having a V263L, V273C, V273E, V273F, V273L, V273M, V273S, or V273Y substitution in the CH2 domain of the Fc region can exhibit reduced affinity to FcγRIIB as compared to the corresponding wild type constant region.

[0047] The anti-OX40 antibody described herein include antibodies that have been modified to acquire or improve at least one constant region-mediated biological effector function relative to an unmodified antibody, *e.g.*, to enhance FcγR interactions (*See*, *e.g.*, US Patent Appl. No. 2006/0134709). For example, an anti-OX40 antibody of the disclosure can have a constant region that binds FcγRI, FcγRIIA, FcγRIIB, FcγRIIIA and/or FcγRIIIB with greater affinity than the corresponding wild type constant region. In an illustrative example, a variant CH2 domain having a V263L, V273C, V273E, V273F, V273L, V273M, V273S, or V273Y substitution in the CH2 domain of the Fc region can exhibit greater affinity to FcγRIIIA as compared to the corresponding wild type constant region.

[0048] Thus, anti-OX40 antibodies of the disclosure may have alterations in biological activity that result in increased or decreased opsonization, phagocytosis, or ADCC. Such alterations are known in the art. For example, modifications in antibodies that reduce ADCC activity are described in U.S. Patent No. 5,834,597. An exemplary ADCC lowering variant corresponds to "mutant 3" (also known as "M3," shown in FIG. 4 of U.S. Patent No. 5,834,597) in which residues 234 and 237 (using EU numbering) are substituted with alanines. A mutant 3 (also known as "M3") variation may be used in a number of antibody isotypes, *e.g.*, human IgG<sub>2</sub> M3.

**[0049]** Additional substitutions that can modify Fc $\gamma$ R binding and/or ADCC effector function of an anti-OX40 antibody include the K322A substitution or the L234A and L235A double substitution in the Fc region, for example, a human IgG<sub>1</sub> having the L234A/L235A double substitution. See, *e.g.*, Hezareh, et al. J. Virol., 75 (24): 12161-12168 (2001).

[0050] In some embodiments, the anti-OX40 antibodies have low levels of, or lack, fucose. Antibodies lacking fucose have been correlated with enhanced ADCC activity, especially at low doses of antibody. *See* Shields *et al.*, 2002, J. Biol. Chem. 277:26733-26740; Shinkawa *et al.*, 2003, J. Biol. Chem. 278:3466-73. Methods of preparing fucose-less antibodies include growth in rat myeloma YB2/0 cells (ATCC CRL 1662). YB2/0 cells express low levels of FUT8 mRNA, which encodes α-1,6-fucosyltransferase, an enzyme necessary for fucosylation of polypeptides.

[0051] Anti-OX40 antibodies can comprise modified (or variant) CH2 domains or entire Fc domains that include amino acid substitutions that increase binding to FcγRIIB and/or reduced binding to FcγRIIIA as compared to the binding of a corresponding wild-type CH2 or Fc region. Variant CH2 or variant Fc domains have been described in U.S. Patent Appl. No. 2014/0377253. A variant CH2 or variant Fc domain typically includes one or more substitutions at position 263, position 266, position 273, and position 305, wherein the numbering of the residues in the Fc domain is that of the EU index as in Kabat. In some embodiments, the anti-OX40 antibodies comprise one or more substitutions selected from V263L, V266L, V273C, V273E, V273F, V273L, V273M, V273S, V273Y, V305K, and V305W, relative to the wild-type CH2 domain. In specific embodiments, the one or more substitutions of the CH2 domain are selected from V263L, V273E, V273F, V273M, V273S, and V273Y, relative to the CH2 domain of a human IgG₁. For example, the one or more substitutions of an IgG₁ CH2 domain can be V273E. In another specific embodiment, the anti-OX40 antibody of the disclosure comprises a variant IgG₁ CH2 domain comprising the amino acid substitution V263L.

[0052] Other examples of variant CH2 or variant Fc domains that can afford increased binding to Fc $\gamma$ RIIB and/or reduced binding to Fc $\gamma$ RIIIA as compared to the binding of a corresponding wild-type CH2 or Fc region include those found in Vonderheide, et al. Clin. Cancer Res., 19(5), 1035-1043 (2013), such as S267E or S267E/L328F in human IgG<sub>1</sub>.

[0053] In some embodiments, the anti-OX40 antibodies include modifications that increase or decrease their binding affinities to the fetal Fc receptor, FcRn, for example, by mutating the immunoglobulin constant region segment at particular regions involved in FcRn interactions (see, e.g., WO 2005/123780). In particular embodiments, an anti-OX40 antibody of the IgG class is

mutated such that at least one of amino acid residues 250, 314, and 428 of the heavy chain constant region is substituted alone, or in any combinations thereof, such as at positions 250 and 428, or at positions 250 and 314, or at positions 314 and 428, or at positions 250, 314, and 428, with positions 250 and 428 a specific combination. For position 250, the substituting amino acid residue can be any amino acid residue other than threonine, including, but not limited to, alanine, cysteine, aspartic acid, glutamic acid, phenylalanine, glycine, histidine, isoleucine, lysine, leucine, methionine, asparagine, proline, glutamine, arginine, serine, valine, tryptophan, or tyrosine. For position 314, the substituting amino acid residue can be any amino acid residue other than leucine, including, but not limited to, alanine, cysteine, aspartic acid, glutamic acid, phenylalanine, glycine, histidine, isoleucine, lysine, methionine, asparagine, proline, glutamine, arginine, serine, threonine, valine, tryptophan, or tyrosine. For position 428, the substituting amino acid residues can be any amino acid residue other than methionine, including, but not limited to, alanine, cysteine, aspartic acid, glutamic acid, phenylalanine, glycine, histidine, isoleucine, lysine, leucine, asparagine, proline, glutamine, arginine, serine, threonine, valine, tryptophan, or tyrosine. An exemplary substitution known to modify Fc effector function is the Fc substitution M428L, which can occur in combination with the Fc substitution T250Q. Additional specific combinations of suitable amino acid substitutions are identified in Table 1 of U.S. Patent No. 7,217,797. Such mutations increase binding to FcRn, which protects the antibody from degradation and increases its half-life.

[0054] An anti-OX40 antibody may have one or more amino acids inserted into one or more of its CDRs, for example as described in Jung and Plückthun, 1997, Protein Engineering 10:8, 959-966; Yazaki *et al.*, 2004, Protein Eng. Des Sel. 17(5):481-9. Epub 2004 Aug 17; and U.S. Pat. Appl. No. 2007/0280931.

[0055] Anti-OX40 antibodies with high affinity for human OX40 (SEQ ID NO:1) may be desirable for therapeutic and diagnostic uses. Accordingly, the present disclosure contemplates antibodies having a high binding affinity to human OX40. In specific embodiments, the anti-OX40 antibodies bind human OX40 with an affinity of at least about 100 nM, but may exhibit higher affinity, for example, at least about 90 nM, 80 nM, 70 nM, 60 nM, 50 nM, 40 nM, 30 nM, 25 nM, 20 nM, 15 nM, 10 nM, 7 nM, 6 nM, 5 nM, 4 nM, 3 nM, 2 nM, 1 nM, 0.1 nM, 0.01 nM, or even higher. In some embodiments, the antibodies bind human OX40 with an affinity in the range of about 1 pM to about 100 nM, or an affinity ranging between any of the foregoing values, such as but not limited to from

about 0.001 to 10 nM, 0.001 to 5 nM, 0.01 to 100 nM, 0.01 to 50 nM, 0.01 to 10 nM, 0.01 to 5 nM, or 0.01 to 1 nM.

[0056] Affinity of anti-OX40 antibodies for human OX40 can be determined using techniques well known in the art or described herein, such as for example, but not by way of limitation, ELISA, isothermal titration calorimetry (ITC), surface plasmon resonance, or fluorescent polarization assay.

[0057] Anti-OX40 antibodies generally comprise a heavy chain comprising a variable region ( $V_H$ ) having three complementarity determining regions ("CDRs") referred to herein (in N $\rightarrow$ C order) as  $V_H$  CDR#1,  $V_H$  CDR#2, and  $V_H$  CDR#3, and a light chain comprising a variable region ( $V_L$ ) having three complementarity determining regions referred to herein (in N $\rightarrow$ C order) as  $V_L$  CDR#1,  $V_L$  CDR#2, and  $V_L$  CDR#3. The amino acid sequences of exemplary CDRs, as well as the amino acid sequence of the  $V_H$  and  $V_L$  regions of the heavy and light chains of exemplary anti-OX40 are provided herein. Specific embodiments of anti-OX40 antibodies include these exemplary CDRs and/or  $V_H$  and/or  $V_L$  sequences, as well as antibodies that compete for binding human OX40 with such antibodies.

[0058] In some embodiments, the amino acid sequences of the CDRs of an anti-OX40 antibody have sequences selected from their respective  $V_{\rm H}$  and  $V_{\rm L}$  CDR sequences in TABLE 3 below:

TABLE 3		
Exemplary CDR Sequences  CDR Sequence Identifier		
V <sub>H</sub> CDR#1:	GFTFSRYGMS	(SEQ ID NO:101)
	GYSIASGYYWN	(SEQ ID NO:111)
	GFNIKDTYMH	(SEQ ID NO:121)
	GFSLTSYGVH	(SEQ ID NO:131)
V <sub>H</sub> CDR#2:	TINSNGGRTYYPDSVKG	(SEQ ID NO:102)
	YISYDGSNNYNPSLG	(SEQ ID NO:112)
	RIDPANGNTKYDPKFQG	(SEQ ID NO:122)
	VIWSGGSTDYNAAFIS	(SEQ ID NO:132)

TABLE 3				
	Exemplary CDR Sequences			
CDR	Sequence	Identifier		
V <sub>H</sub> CDR#3:	EGITTAYAMDY	(SEQ ID NO:103)		
	TLPYYFDY	(SEQ ID NO:113)		
	GGPAWFVY	(SEQ ID NO:123)		
	EEFDY	(SEQ ID NO:133)		
V <sub>L</sub> CDR#1:	KASQSVDYDGDSYMH	(SEQ ID NO:104)		
	RASQDISNYLN	(SEQ ID NO:114)		
V <sub>L</sub> CDR#2:	AASILES	(SEQ ID NO:105)		
	YTSRLHS	(SEQ ID NO:115)		
	YTSRLRS	(SEQ ID NO:125)		
V <sub>L</sub> CDR#3:	QQSNEDPRT	(SEQ ID NO:106)		
	QQGNTLPLT	(SEQ ID NO:116)		
	QQGNTLPWT	(SEQ ID NO:126)		
	QQGYTLPPT	(SEQ ID NO:136)		

[0059] Specific exemplary embodiments of anti-OX40 antibodies with the above CDRs are described herein. In some embodiments, an anti-OX40 antibody has the CDRs according to SEQ ID NOS: 101, 102, 103, 104, 105, and 106. In some embodiments, an anti-OX40 antibody has the CDRs according to SEQ ID NOS: 111, 112, 113, 114, 115, and 116. In some embodiments, an anti-OX40 antibody has the CDRs according to SEQ ID NOS: 121, 122, 123, 114, 125, and 126. In some embodiments, an anti-OX40 antibody has the CDRs according to SEQ ID NOS: 131, 132, 133, 114, 115, and 136.

[0060] The CDRs described herein form binding elements within  $V_H$  and  $V_L$  chains of anti-OX40 antibodies of the disclosure. TABLES 4 and 5 below describe  $V_H$  and  $V_L$  chains corresponding to exemplary anti-OX40 antibodies containing the above-described CDRs. The CDRs are underlined below in TABLES 4 and 5. In some embodiments, an anti-OX40 antibody comprises a  $V_H$  chain having an amino acid sequence as described in TABLE 4:

	TABLE 4		
	Exemplary V <sub>H</sub> Sequences		
$V_{ m H}$	Sequence	Identifier	
Mu3738	EVQLVESGGGLVQPGGSLKLSCAASGFTFSRYGMSWVRQT	(SEQ ID NO:21)	
$V_{ m H}$	PDKRLELVA <u>TINSNGGRTYYPDSVKG</u> RFTISRDNAKNTLYL		
	QMSSLKSEDTAMYYCAR <u>EGITTAYAMDY</u> WGQGTSVTVSS		
Hu3738	EVQLVESGGGLVQPGGSLRLSCAAS <u>GFTFSRYGMS</u> WVRQA	(SEQ ID NO:22)	
$V_{\rm H}$ .1b	PGKGLELVA <u>TINSNGGRTYYPDSVKG</u> RFTISRDNAKNSLYL		
	QMNSLRAEDTAVYYCAR <u>EGITTAYAMDY</u> WGQGTTVTVSS		
Mu3726	NVQLQESGPGLVKPSQSLSLTCSVT <u>GYSIASGYYWN</u> WIRQF	(SEQ ID NO:23)	
$V_{ m H}$	PGNKLEWMG <u>YISYDGSNNYNPSLG</u> NRISITRDTSKNQVFLK		
	LNSVTTEDTATYYCVK <u>TLPYYFDY</u> WGQGTTLTVSS		
Hu3726	EVQLQESGPGLVKPSDTLSLTCAVS <u>GYSIASGYYWN</u> WIRQP	(SEQ ID NO:24)	
V <sub>H</sub> .1a	PGKGLEWMG <u>YISYDGSNNYNPSLG</u> NRITISRDTSKNQVSLK		
	LSSVTAVDTAVYYCVK <u>TLPYYFDY</u> WGQGTTVTVSS		
Mu3739	EVQLQQSGAELVKPGASVKLSCTAS <u>GFNIKDTYMH</u> WVKQR	(SEQ ID NO:25)	
$V_{ m H}$	PEQGLEWIG <u>RIDPANGNTKYDPKFQG</u> KATITADTSSNTAYL		
	QLSSLTSEDTDVYYCAR <u>GGPAWFVY</u> WGQGTLVTVSA		
Hu3739	EVQLVQSGAEVKKPGSSVKVSCKAS <u>GFNIKDTYMH</u> WVRQ	(SEQ ID NO:26)	
$V_{\rm H}$ .1b	APGQGLEWIG <u>RIDPANGNTKYDPKFQG</u> RATITADTSTNTAY		
	MELSSLRSEDTAVYYCAR <u>GGPAWFVY</u> WGQGTLVTVSS		
Mu3741	QVQLKQSGPGLVQPSQSLSITCTVSGFSLTSYGVHWVRQSP	(SEQ ID NO:27)	
$oldsymbol{ m V}_{ m H}$	GKGLEWLG <u>VIWSGGSTDYNAAFIS</u> RLSISKDNSKSQVFFKM		
	NSLQADDTAIYCCAR <u>EEFDY</u> WGQGTTLTVSS		
Hu3741	EVQLVESGGGLVQPGGSLRLSCAVS <u>GFSLTSYGVH</u> WVRQA	(SEQ ID NO:28)	
$V_{\rm H}$ .2b	PGKGLEWLG <u>VIWSGGSTDYNAAFIS</u> RLTISKDNSKSTVYLQ		
	MNSLRAEDTAVYYCAR <u>EEFDY</u> WGQGTTVTVSS		

and a  $V_{\scriptscriptstyle L}$  chain having an amino acid sequence as described in TABLE 5:

	TABLE 5		
	Exemplary $V_L$ Sequences		
$\overline{ m V_L}$	Sequence	Identifier	
Mu3738 V <sub>L</sub>	DIVLTQSPASLAVSLGQRATISC <u>KASQSVDYDGDSYMH</u> W	(SEQ ID NO:31)	
	YQQKPGQPPKLLIY <u>AASILES</u> GIPARFSGSGSGTDFTLNIHP		
	VEEEDAATYYC <u>QQSNEDPRT</u> FGGGTKLEIK		
Hu3738 V <sub>L</sub> .1	DIVMTQSPDSLAVSLGERATINC <u>KASQSVDYDGDSYMH</u> W	(SEQ ID NO:32)	
	YQQKPGQPPKLLIY <u>AASILES</u> GVPDRFSGSGSGTDFTLTISS		
	LQAEDVAVYYC <u>QQSNEDPRT</u> FGGGTKVEIK		
Mu3726 V <sub>L</sub>	DIQMTQTTSSLSASLGDRVTISC <u>RASQDISNYLN</u> WYQQKP	(SEQ ID NO:33)	
	DGTVKLLIF <u>YTSRLHS</u> GVPSRFSGGGSGTDYSLTISNLEQE		
	DIATYFCQQGNTLPLTFGAGTKLELK		
Hu3726	DIQMTQTPSSLSASVGDRVTITC <u>RASQDISNYLN</u> WYQQKP	(SEQ ID NO:34)	
$V_L.1b$	GKAPKLLIF <u>YTSRLHS</u> GVPSRFSGSGSGTDYTLTISSLQPED		
	FATYYC <u>QQGNTLPLT</u> FGQGTKLEIK		
Mu3739 V <sub>L</sub>	DIQMTQTTSSLSASLGDRVTISC <u>RASQDISNYLN</u> WYQQKP	(SEQ ID NO:35)	
	DGTVKLLIY <u>YTSRLRS</u> GLPSRFSGSGSGTDYSLTISNLEQE		
	DIATYFCQQGNTLPWTFGGGTKLEIK		
Hu3739	DIQMTQSPSSLSASVGDRVTITC <u>RASQDISNYLN</u> WYQQKP	(SEQ ID NO:36)	
$V_L.1b$	GKAPKLLIY <u>YTSRLRS</u> GLPSRFSGSGSGTDYTLTISSLQPED		
	FATYYC <u>QQGNTLPWT</u> FGGGTKVEIK		
Mu3741 V <sub>L</sub>	DIQMTQTTSSLSASLGDRVTISC <u>RASQDISNYLN</u> WFQQKP	(SEQ ID NO:37)	
	DGTVKLLIY <u>YTSRLHS</u> GVPSRFSGSGSGTDYSLTISNLEQE		
	DIATYFC <u>QQGYTLPPT</u> FGGGTKLEIK		
Hu3741	DIQMTQSPSSLSASVGDRVTITC <u>RASQDISNYLN</u> WFQQKP	(SEQ ID NO:38)	
V <sub>L</sub> .1c	GKAPKLLIY <u>YTSRLHS</u> GVPSRFSGSGSGTDYTLTISSLQPE		
	DFATYYC <u>QQGYTLPPT</u> FGGGTKVEIK		
	1	1	

[0061] In some embodiments, an anti-OX40 antibody comprises a  $V_{\rm H}$  chain having an amino acid sequence according to SEQ ID NO:21, and a  $V_{\rm L}$  chain having an amino acid sequence according to SEQ ID NO:31. In some embodiments, an anti-OX40 antibody comprises a  $V_{\rm H}$  chain having an

amino acid sequence according to SEQ ID NO:23, and a  $V_L$  chain having an amino acid sequence according to SEQ ID NO:33. In some embodiments, an anti-OX40 antibody comprises a  $V_H$  chain having an amino acid sequence according to SEQ ID NO:25, and a  $V_L$  chain having an amino acid sequence according to SEQ ID NO:35. In some embodiments, an anti-OX40 antibody comprises a  $V_H$  chain having an amino acid sequence according to SEQ ID NO:27, and a  $V_L$  chain having an amino acid sequence according to SEQ ID NO:37.

[0062] In some embodiments, an anti-OX40 antibody is suitable for administration to humans. In a specific embodiment, the anti-OX40 antibody is humanized. In some embodiments, an anti-OX40 antibody comprises a  $V_H$  chain having an amino acid sequence according to SEQ ID NO:22, and a  $V_L$  chain having an amino acid sequence according to SEQ ID NO:32. In some embodiments, an anti-OX40 antibody comprises a  $V_H$  chain having an amino acid sequence according to SEQ ID NO:34. In some embodiments, an anti-OX40 antibody comprises a  $V_H$  chain having an amino acid sequence according to SEQ ID NO:36. In some embodiments, an anti-OX40 antibody comprises a  $V_H$  chain having an amino acid sequence according to SEQ ID NO:36. In some embodiments, an anti-OX40 antibody comprises a  $V_H$  chain having an amino acid sequence according to SEQ ID NO:38.

**[0063]** Certain mutations of a  $V_H$  or  $V_L$  sequence in an anti-OX40 antibody described herein would be understood by a person of skill to afford anti-OX40 antibodies within the scope of the disclosure. Mutations may include amino acid substitutions, additions, or deletions from a  $V_H$  or  $V_L$  sequence as disclosed herein while retaining significant anti-OX40 activity. Accordingly, in some embodiments, an anti-OX40 antibody comprises a  $V_H$  sequence having at least 85%, at least 90%, at least 93%, at least 95%, at least 96%, at least 97%, at least 98%, or at least 99% sequence identity to any one of the  $V_H$  sequences shown in TABLE 4. An anti-OX40 antibody can comprise a  $V_H$  sequence having up to 8, up to 7, up to 6, up to 5, up to 4, up to 3, or up to 2 mutations compared with any one of the  $V_H$  sequence having 5 or fewer, 4 or fewer, 3 or fewer, or 2 or fewer mutations compared with any one of the  $V_H$  sequences shown in TABLE 4. In some embodiments, an anti-OX40 antibody comprises a  $V_H$  sequence having at least 85%, at least 90%, at least 93%, at least 95%, at least 96%, at least 97%, at least 98%, or at least 99% sequence identity to any one of the  $V_L$  sequences shown in TABLE 5. An anti-OX40 antibody can comprise a  $V_L$  sequence having up to 8, up to 7, up to 6, up to 5, up to 4, up to 3, or up to 2 mutations compared with any one of the  $V_L$  sequences shown in TABLE 5. In some

embodiments, an anti-OX40 antibody can comprise a  $V_L$  sequence having 5 or fewer, 4 or fewer, 3 or fewer, or 2 or fewer mutations compared with any one of the  $V_L$  sequences shown in TABLE 5.

[0064] Full length heavy and light chain amino acid sequences generally comprise an above-described  $V_H$  or  $V_L$  chain linked to an appropriate immunoglobulin constant region, e.g., human  $IgG_1$  or kappa light constant region. Post-translational modifications to the full length sequences of an anti-OX40 antibody may occur, such as cleavage of one or more (e.g., 1, 2, 3, or more) amino acid residues on the C-terminal end of the antibody heavy chain. Such cleavage products may comprise some or all of the anti-OX40 antibody as expressed.

[0065] Accordingly, in some embodiments, an anti-OX40 antibody comprises a heavy chain amino acid sequence as described in TABLE 6:

TABLE 6	
Exemplary Heavy Chain Sequences	
Sequence	Identifier
EVQLVESGGGLVQPGGSLRLSCAASGFTFSRYGMSWVRQAPGKGLELVATIN	SEQ ID
<u>SNGGRTYYPDSVKG</u> RFTISRDNAKNSLYLQMNSLRAEDTAVYYCAR <u>EGITTA</u>	NO: 41
<u>YAMDY</u> WGQGTTVTVSS <i>ASTKGPSVFPLAPSSKSTSGGTAALGCLVKDYFPEPVT</i>	
VSWNSGALTSGVHTFPAVLQSSGLYSLSSVVTVPSSSLGTQTYICNVNHKPSNTKVD	
KKVEPKSCDKTHTCPPCPAPELLGGPSVFLFPPKPKDTLMISRTPEVTCVVVDVSH	
EDPEVKFNWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGKEYKCK	
VSNKALPAPIEKTISKAKGQPREPQVYTLPPSREEMTKNQVSLTCLVKGFYPSDIAV	
EWESNGQPENNYKTTPPVLDSDGSFFLYSKLTVDKSRWQQGNVFSCSVMHEALH	
NHYTQKSLSLSPGK	

TABLE 6	
Exemplary Heavy Chain Sequences	
Sequence	Identifier
EVQLVESGGGLVQPGGSLRLSCAASGFTFSRYGMSWVRQAPGKGLELVA <u>TIN</u>	SEQ ID
<u>SNGGRTYYPDSVKG</u> RFTISRDNAKNSLYLQMNSLRAEDTAVYYCAR <u>EGITTA</u>	NO: 42
<u>YAMDY</u> WGQGTTVTVSS <i>ASTKGPSVFPLAPSSKSTSGGTAALGCLVKDYFPEPVT</i>	
VSWNSGALTSGVHTFPAVLQSSGLYSLSSVVTVPSSSLGTQTYICNVNHKPSNTKVD	
KKVEPKSCDKTHTCPPCPAPELLGGPSVFLFPPKPKDTLMISRTPEVTCVVVDVSH	
EDPEVKFNWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGKEYKCK	
VSNKALPAPIEKTISKAKGQPREPQVYTLPPSREEMTKNQVSLTCLVKGFYPSDIAV	
<i>EWESNGQPENNYKTTPPVLDSDGSFFLYSKLTVDKSRWQQGNVFSCSVMHEALH</i>	
NHYTQKSLSLSPG	
EVQLQESGPGLVKPSDTLSLTCAVSGYSIASGYYWNWIRQPPGKGLEWMGYI	SEQ ID
<u>SYDGSNNYNPSLG</u> NRITISRDTSKNQVSLKLSSVTAVDTAVYYCVK <u>TLPYYFD</u>	NO: 43
<u>Y</u> WGQGTTVTVSS <i>ASTKGPSVFPLAPSSKSTSGGTAALGCLVKDYFPEPVTVSWNS</i>	
GALTSGVHTFPAVLQSSGLYSLSSVVTVPSSSLGTQTYICNVNHKPSNTKVDKKVEP	
KSCDKTHTCPPCPAPELLGGPSVFLFPPKPKDTLMISRTPEVTCVVVDVSHEDPEV	
KFNWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGKEYKCKVSNKA	
LPAPIEKTISKAKGQPREPQVYTLPPSREEMTKNQVSLTCLVKGFYPSDIAVEWESN	
GQPENNYKTTPPVLDSDGSFFLYSKLTVDKSRWQQGNVFSCSVMHEALHNHYTQ	
KSLSLSPGK	
EVQLQESGPGLVKPSDTLSLTCAVSGYSIASGYYWNWIRQPPGKGLEWMGYI	SEQ ID
<u>SYDGSNNYNPSLG</u> NRITISRDTSKNQVSLKLSSVTAVDTAVYYCVK <u>TLPYYFD</u>	NO: 44
<u>Y</u> WGQGTTVTVSS <i>ASTKGPSVFPLAPSSKSTSGGTAALGCLVKDYFPEPVTVSWNS</i>	
GALTSGVHTFPAVLQSSGLYSLSSVVTVPSSSLGTQTYICNVNHKPSNTKVDKKVEP	
KSCDKTHTCPPCPAPELLGGPSVFLFPPKPKDTLMISRTPEVTCVVVDVSHEDPEV	
KFNWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGKEYKCKVSNKA	
LPAPIEKTISKAKGQPREPQVYTLPPSREEMTKNQVSLTCLVKGFYPSDIAVEWESN	
GQPENNYKTTPPVLDSDGSFFLYSKLTVDKSRWQQGNVFSCSVMHEALHNHYTQ	
KSLSLSPG	

TABLE 6	
Exemplary Heavy Chain Sequences	
Sequence	Identifier
EVQLVQSGAEVKKPGSSVKVSCKAS <u>GFNIKDTYMH</u> WVRQAPGQGLEWIG <u>RI</u>	SEQ ID
<u>DPANGNTKYDPKFQG</u> RATITADTSTNTAYMELSSLRSEDTAVYYCAR <u>GGPA</u>	NO: 45
<u>WFVY</u> WGQGTLVTVSS <i>ASTKGPSVFPLAPSSKSTSGGTAALGCLVKDYFPEPVTVS</i>	
WNSGALTSGVHTFPAVLQSSGLYSLSSVVTVPSSSLGTQTYICNVNHKPSNTKVDKK	
VEPKSCDKTHTCPPCPAPELLGGPSVFLFPPKPKDTLMISRTPEVTCVVVDVSHED	
PEVKFNWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGKEYKCKVS	
NKALPAPIEKTISKAKGQPREPQVYTLPPSREEMTKNQVSLTCLVKGFYPSDIAVE	
WESNGQPENNYKTTPPVLDSDGSFFLYSKLTVDKSRWQQGNVFSCSVMHEALHN	
HYTQKSLSLSPGK	
EVQLVQSGAEVKKPGSSVKVSCKAS <u>GFNIKDTYMH</u> WVRQAPGQGLEWIG <u>RI</u>	SEQ ID
<u>DPANGNTKYDPKFQG</u> RATITADTSTNTAYMELSSLRSEDTAVYYCAR <u>GGPA</u>	NO: 46
<u>WFVY</u> WGQGTLVTVSSASTKGPSVFPLAPSSKSTSGGTAALGCLVKDYFPEPVTVS	
WNSGALTSGVHTFPAVLQSSGLYSLSSVVTVPSSSLGTQTYICNVNHKPSNTKVDKK	
VEPKSCDKTHTCPPCPAPELLGGPSVFLFPPKPKDTLMISRTPEVTCVVVDVSHED	
PEVKFNWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGKEYKCKVS	
NKALPAPIEKTISKAKGQPREPQVYTLPPSREEMTKNQVSLTCLVKGFYPSDIAVE	
WESNGQPENNYKTTPPVLDSDGSFFLYSKLTVDKSRWQQGNVFSCSVMHEALHN	
HYTQKSLSLSPG	
EVQLVESGGGLVQPGGSLRLSCAVS <u>GFSLTSYGVH</u> WVRQAPGKGLEWLG <u>VI</u>	SEQ ID
<u>WSGGSTDYNAAFIS</u> RLTISKDNSKSTVYLQMNSLRAEDTAVYYCAR <u>EEFDY</u> W	NO: 47
GQGTTVTVSSASTKGPSVFPLAPSSKSTSGGTAALGCLVKDYFPEPVTVSWNSGAL	
TSGVHTFPAVLQSSGLYSLSSVVTVPSSSLGTQTYICNVNHKPSNTKVDKKVEPKSC	
DKTHTCPPCPAPELLGGPSVFLFPPKPKDTLMISRTPEVTCVVVDVSHEDPEVKF	
NWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGKEYKCKVSNKALP	
APIEKTISKAKGQPREPQVYTLPPSREEMTKNQVSLTCLVKGFYPSDIAVEWESNG	
QPENNYKTTPPVLDSDGSFFLYSKLTVDKSRWQQGNVFSCSVMHEALHNHYTQKS	
LSLSPGK	

TABLE 6 Exemplary Heavy Chain Sequences	
Sequence	Identifier
EVQLVESGGGLVQPGGSLRLSCAVS <u>GFSLTSYGVH</u> WVRQAPGKGLEWLG <u>VI</u>	SEQ ID
<u>WSGGSTDYNAAFIS</u> RLTISKDNSKSTVYLQMNSLRAEDTAVYYCAR <u>EEFDY</u> W	NO: 48
GQGTTVTVSSASTKGPSVFPLAPSSKSTSGGTAALGCLVKDYFPEPVTVSWNSGAL	
TSGVHTFPAVLQSSGLYSLSSVVTVPSSSLGTQTYICNVNHKPSNTKVDKKVEPKSC	
DKTHTCPPCPAPELLGGPSVFLFPPKPKDTLMISRTPEVTCVVVDVSHEDPEVKF	
NWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGKEYKCKVSNKALP	
APIEKTISKAKGQPREPQVYTLPPSREEMTKNQVSLTCLVKGFYPSDIAVEWESNG	
<i>QPENNYKTTPPVLDSDGSFFLYSKLTVDKSRWQQGNVFSCSVMHEALHNHYTQKS</i>	
LSLSPG	

and a light chain amino acid sequence as described in TABLE 7:

TABLE 7	
Exemplary Light Chain Sequences	
Sequence	Identifier
DIVMTQSPDSLAVSLGERATINC <u>KASQSVDYDGDSYMH</u> WYQQKPGQPPKLLI	SEQ ID
Y <u>AASILES</u> GVPDRFSGSGSGTDFTLTISSLQAEDVAVYYC <u>QQSNEDPRT</u> FGGG	NO: 51
TKVEIK <i>RTVAAPSVFIFPPSDEQLKSGTASVVCLLNNFYPREAKVQWKVDNALQSG</i>	
NSQESVTEQDSKDSTYSLSSTLTLSKADYEKHKVYACEVTHQGLSSPVTKSFNRGEC	
DIQMTQTPSSLSASVGDRVTITC <u>RASQDISNYLN</u> WYQQKPGKAPKLLIF <u>YTSR</u>	SEQ ID
LHSGVPSRFSGSGSGTDYTLTISSLQPEDFATYYCQQGNTLPLTFGQGTKLEIK	NO: 52
RTVAAPSVFIFPPSDEQLKSGTASVVCLLNNFYPREAKVQWKVDNALQSGNSQESV	
TEQDSKDSTYSLSSTLTLSKADYEKHKVYACEVTHQGLSSPVTKSFNRGEC	
DIQMTQSPSSLSASVGDRVTITC <u>RASQDISNYLN</u> WYQQKPGKAPKLLIY <u>YTSR</u>	SEQ ID
<u>LRS</u> GLPSRFSGSGSGTDYTLTISSLQPEDFATYYC <u>QQGNTLPWT</u> FGGGTKVEIK	NO: 53
RTVAAPSVFIFPPSDEQLKSGTASVVCLLNNFYPREAKVQWKVDNALQSGNSQESV	
TEQDSKDSTYSLSSTLTLSKADYEKHKVYACEVTHQGLSSPVTKSFNRGEC	

TABLE 7 Exemplary Light Chain Sequences	
Sequence	Identifier
DIQMTQSPSSLSASVGDRVTITC <u>RASQDISNYLN</u> WFQQKPGKAPKLLIY <u>YTSR</u>	SEQ ID
<u>LHS</u> GVPSRFSGSGSGTDYTLTISSLQPEDFATYYCQQGYTLPPTFGGGTKVEIK	NO: 54
RTVAAPSVFIFPPSDEQLKSGTASVVCLLNNFYPREAKVQWKVDNALQSGNSQESV	
TEQDSKDSTYSLSSTLTLSKADYEKHKVYACEVTHQGLSSPVTKSFNRGEC	

wherein the underlined amino acids represent the CDRs and the italicized amino acids represent the constant regions.

[0066] In some embodiments, an anti-OX40 antibody comprises a heavy chain amino acid sequence according to SEQ ID NO:41 or 42, and a light chain amino acid sequence according to SEQ ID NO:51. In some embodiments, an anti-OX40 antibody comprises a heavy chain amino acid sequence according to SEQ ID NO:43 or 44, and a light chain amino acid sequence according to SEQ ID NO:52. In some embodiments, an anti-OX40 antibody comprises a heavy chain amino acid sequence according to SEQ ID NO:45 or 46, and a light chain amino acid sequence according to SEQ ID NO:53. In some embodiments, an anti-OX40 antibody comprises a heavy chain amino acid sequence according to SEQ ID NO:47 or 48, and a light chain amino acid sequence according to SEQ ID NO:54.

[0067] In some embodiments, an anti-OX40 antibody comprises a heavy chain sequence having at least 85%, at least 90%, at least 93%, at least 95%, at least 97%, at least 98%, or at least 99% sequence identity to the heavy chain sequence according to any one of SEQ ID NOS:41-48. An anti-OX40 antibody can comprise a heavy chain sequence having up to 8, up to 7, up to 6, up to 5, up to 4, up to 3, or up to 2 mutations compared with the heavy chain sequence according to any one of SEQ ID NOS:41-48. In some embodiments, an anti-OX40 antibody can comprise a heavy chain sequence having 5 or fewer, 4 or fewer, 3 or fewer, or 2 or fewer mutations compared with the heavy chain sequence according to any one of SEQ ID NOS:41-48.

[0068] In some embodiments, an anti-OX40 antibody comprises a light chain sequence having at least 85%, at least 90%, at least 93%, at least 95%, at least 96%, at least 97%, at least 98%, or at least 99% sequence identity to the light chain sequence according to any one of SEQ ID NOS:51-54. An anti-OX40 antibody can comprise a light chain sequence having up to 8, up to 7, up to 6, up to 5, up

to 4, up to 3, or up to 2 mutations compared with the light chain sequence according to any one of SEQ ID NOS:51-54. In some embodiments, an anti-OX40 antibody can comprise a light chain sequence having 5 or fewer, 4 or fewer, 3 or fewer, or 2 or fewer mutations compared with the light chain sequence according to any one of SEQ ID NOS:51-54.

[0069] Additional post-translational modifications of an anti-OX40 antibody may include glycosylation. Common biantennary complexes can be composed of a core structure having two N-acetylglucosamine (GlcNAc), three mannose, and two GlcNAc residues that are β-1,2 linked to α-6 mannose and α-3 mannose to form two antennae. One or more fucose (Fuc), galactose (Gal), high mannose glycans Man-5 or Man-9, bisecting GlcNAc, and sialic acid including N-acetylneuraminic acid (NANA) or N-glycolylneuraminic acid (NGNA) residues may be attached to the core. N-linked glycoforms may include G0 (protein having a core biantennary glycosylation structure), G0F (fucosylated G0), G0F GlcNAc, G1 (protein having a core glycosylation structure with one galactose residue), G1F (fucosylated G1), G2 (protein having a core glycosylation structure with two galactose residues), and/or G2F (fucosylated G2).

[0070] In some embodiments, the anti-OX40 antibodies compete for binding human OX40 (SEQ ID NO:1) in *in vitro* assays with a reference antibody. In some embodiments, the anti-OX40 antibodies compete for binding human OX40 on cells expressing human OX40. The reference antibody may be any of the anti-OX40 antibodies described herein. In some embodiments, the reference antibody is an antibody having a V<sub>H</sub> according to one described in TABLE 4 and a V<sub>L</sub> according to one described in TABLE 5. In specific embodiments, the reference antibody is mouse antibody comprising Mu3726  $V_{\rm H}$  and Mu3726  $V_{\rm L}$  ("Mu3726"), mouse antibody comprising Mu3738  $V_{\rm H}$  and Mu3738  $V_{\rm L}$ ("Mu3738"), mouse antibody comprising Mu3739 V<sub>H</sub> and Mu3739 V<sub>L</sub> ("Mu3739"), or mouse antibody comprising Mu3741 V<sub>H</sub> and Mu3741 V<sub>L</sub> ("Mu3741"). In some embodiments, the reference antibody is a humanized version of Mu3726, Mu3738, Mu3739, or Mu3741. In certain embodiments, the reference antibody is a humanized antibody comprising a heavy chain according to SEQ ID NO:41 or 42 and a light chain according to SEQ ID NO:51 ("Hu3738"), a humanized antibody comprising a heavy chain according to SEQ ID NO:43 or 44 and a light chain according to SEQ ID NO:52 ("Hu3726"), a humanized antibody comprising a heavy chain according to SEQ ID NO:45 or 46 and a light chain according to SEQ ID NO:53 ("Hu3739"), or a humanized antibody comprising a heavy chain according to SEQ ID NO:47 or 48 and a light chain according to SEQ ID NO:54 ("Hu3741").

[0071] The anti-OX40 antibodies described herein generally bind specifically to human OX40. Cross reactivity of the antibodies for binding to OX40 from other species, for example, from monkey, e.g., cynomolgus monkey, may offer advantages, such as the ability to test in monkey animal models for biological activity. Such animal model testing may be used to screen anti-OX40 antibodies to select properties related to efficacy, e.g., favorable pharmacokinetics, or those related to safety, e.g., decreased hepatic toxicity. In some embodiments, an anti-OX40 antibody binds to cynomolgus OX40 (SEQ ID NO:2) (NCBI Reference Sequence XP005545179) as well as human OX40. In some embodiments, an anti-OX40 antibody does not bind to mouse OX40 (SEQ ID NO:3) (NCBI Reference Sequence NP037181).

[0072] Assays for competition include, but are not limited to, a radioactive material labeled immunoassay (RIA), an enzyme-linked immunosorbent assay (ELISA), a sandwich ELISA, fluorescence activated cell sorting (FACS) assays, and surface plasmon resonance assays.

**[0073]** Surface plasmon resonance (SPR) assays allow for direct measurement of binding kinetics between two proteins, *e.g.*, a receptor and an antibody, such as human OX40 receptor and an anti-OX40 antibody, without the need for a reporter signal or tag. Both the equilibrium dissociation constant  $K_D$ , a measure of binding affinity, as well as its two components – the binding kinetic rate constants,  $k_a$  (M<sup>-1</sup>-sec<sup>-1</sup>) (association constant,  $k_{on}$ , or "on rate") and  $k_d$  (sec<sup>-1</sup>) (dissociation constant,  $k_{off}$ , or "off rate") – can be determined using SPR. The constants are related by the following equation:

$$K_D = k_d / k_a$$
.

**[0074]** In some embodiments, the anti-OX40 antibodies have a  $K_D$  of at least about 100 nM, but may exhibit higher affinity, for example, at least about 90 nM, 80 nM, 70 nM, 60 nM, 50 nM, 40 nM, 30 nM, 25 nM, 20 nM, 15 nM, 10 nM, 7 nM, 6 nM, 5 nM, 4 nM, 3 nM, 2 nM, 1 nM, 0.1 nM, 0.01 nM, or even higher. In some embodiments, the anti-OX40 antibody has a  $K_D$  in the range of about 1 pM to about 100 nM, or an affinity ranging between any of the foregoing values, such as but not limited to from about 0.001 to 10 nM, 0.001 to 5 nM, 0.01 to 100 nM, 0.01 to 50 nM, 0.01 to 10 nM, 0.01 to 5 nM, or about 0.01 to 1 nM.

**[0075]** In some embodiments, an anti-OX40 antibody has a dissociation constant  $k_d$  no more than about 10 sec<sup>-1</sup>, for example, no more than about 1, 0.5, 0.2, 0.1, 0.05, 0.01, 0.005, 0.001 sec<sup>-1</sup>, or even lower. In some embodiments, the anti-OX40 antibody has a  $k_d$  in the range of about 0.001 sec<sup>-1</sup> to about 10 sec<sup>-1</sup>, or a  $k_d$  ranging between any of the foregoing values, such as but not limited to from

about 0.01 to  $10 \text{ sec}^{-1}$ , 0.001 to  $0.5 \text{ sec}^{-1}$ , 0.001 to  $0.2 \text{ sec}^{-1}$ , 0.001 to  $0.1 \text{ sec}^{-1}$ , 0.01 to  $1 \text{ sec}^{-1}$ , 0.001 to  $0.05 \text{ sec}^{-1}$ , or about 0.001 to  $1 \text{ sec}^{-1}$ .

**[0076]** In some embodiments, an anti-OX40 antibody has an association constant  $k_a$  at least about  $10^4 \,\mathrm{M}^{-1}\text{-sec}^{-1}$ , for example, at least about  $1\times10^4$ ,  $5\times10^4$ ,  $1\times10^5$ ,  $5\times10^5$ ,  $1\times10^6$ ,  $5\times10^6$ ,  $1\times10^7$   $\mathrm{M}^{-1}\text{-sec}^{-1}$ , or even greater. In some embodiments, the anti-OX40 antibody has a  $k_d$  in the range of about  $10^4 \,\mathrm{M}^{-1}\text{-sec}^{-1}$  to about  $10^7 \,\mathrm{M}^{-1}\text{-sec}^{-1}$ , or a  $k_a$  ranging between any of the foregoing values, such as but not limited to from about  $5\times10^4$  to  $1\times10^7 \,\mathrm{M}^{-1}\text{-sec}^{-1}$ ,  $5\times10^4$  to  $5\times10^6 \,\mathrm{M}^{-1}\text{-sec}^{-1}$ , or about  $1\times10^4 \,\mathrm{to} \,5\times10^6 \,\mathrm{M}^{-1}\text{-sec}^{-1}$ .

[0077] An anti-OX40 antibody of the disclosure may exhibit a  $K_D$ ,  $k_d$ , or  $k_a$  in a range around a binding kinetics constant measured for any one of the exemplary anti-OX40 antibodies described herein. For example, in some embodiments, an anti-OX40 antibody has a dissociation constant  $k_d$  in a range of from about 0.01 to about 100-fold, e.g., about 0.1 to about 10-fold, or about 0.5 to about 5-fold, the  $k_d$  of any one of Hu3738, Hu3726, Hu3739, and Hu3741. In some embodiments, an anti-OX40 antibody has an association constant  $k_a$  in a range of from about 0.01 to about 100-fold, e.g., about 0.1 to about 10-fold, or about 0.5 to about 5-fold, the  $k_a$  of any one of Hu3738, Hu3726, Hu3739, and Hu3741.

[0078] In conducting an antibody competition assay between a reference antibody and a test antibody (irrespective of species or isotype), one may first label the reference with a detectable label, such as a fluorophore, biotin or an enzymatic (or even radioactive) label to enable subsequent identification. In this case, cells expressing human OX40 are incubated with unlabeled test antibody, labeled reference antibody is added, and the intensity of the bound label is measured. If the test antibody competes with the labeled reference antibody by binding to an overlapping epitope, the intensity will be decreased relative to a control reaction carried out without test antibody.

[0079] In a specific embodiment of this assay, the concentration of labeled reference antibody that yields 80% of maximal binding ("conc<sub>80%</sub>") under the assay conditions (*e.g.*, a specified density of cells) is first determined, and a competition assay carried out with 10X conc<sub>80%</sub> of unlabeled test antibody and conc<sub>80%</sub> of labeled reference antibody.

[0080] The inhibition can be expressed as an inhibition constant, or  $K_i$ , which is calculated according to the following formula:

 $K_i = IC_{50}/(1 + [reference Ab concentration]/K_d),$ 

where  $IC_{50}$  is the concentration of test antibody that yields a 50% reduction in binding of the reference antibody and  $K_d$  is the dissociation constant of the reference antibody, a measure of its affinity for human OX40. Antibodies that compete with anti-OX40 antibodies disclosed herein can have a  $K_i$  from 10 pM to 100 nM under assay conditions described herein.

[0081] In various embodiments, a test antibody is considered to compete with a reference antibody if it decreases binding of the reference antibody by at least about 20% or more, for example, by at least about 20%, 30%, 40%, 50%, 60%, 70%, 80%, 90%, 95% or even more, or by a percentage ranging between any of the foregoing values, at a reference antibody concentration that is 80% of maximal binding under the specific assay conditions used, and a test antibody concentration that is 10-fold higher than the reference antibody concentration.

[0082] A specific assay and assay conditions useful for assessing whether an antibody competes for binding human OX40 with a reference antibody as described herein is provided in Section 8.1.4.

[0083] In some embodiments, the anti-OX40 antibodies of the disclosure activate human OX40 (SEQ ID NO:1). OX40 receptor activation can occur by a number of mechanisms, for example, by affording ligand-like activity against OX40 receptor. In such cases, an anti-OX40 antibody competes for binding to OX40 receptor with human OX40 ligand (OX40L, CD252; UniProtKB/Swiss-Prot Code P23510.1) (SEQ ID NO:4).

**[0084]** An anti-OX40 antibody of the disclosure can generally activate OX40 receptor in the presence of cross-linking. A specific assay and assay conditions useful for assessing whether an anti-OX40 antibody can activate OX40 receptor, *e.g.*, human OX40 receptor (SEQ ID NO:1), in the presence of cross-linking is provided in Section 8.1.8. In some embodiments, an anti-OX40 antibody activates human OX40 receptor in the presence of cross-linking with an EC<sub>50</sub> of from about 1 pM to about 500 nM, such as but not limited to from about 0.01 to about 300 nM, from about 0.01 to about 100 nM, from about 0.1 nM to about 10 nM, from about 1 nM to about 1 nM, or from about 0.1 nM to about 100 nM. In some embodiments, an anti-OX40 antibody at 100 μg/mL can activate human OX40 receptor in the presence of cross-linking to an activity at least about 3-fold, such as from about 3 to about 1000, *e.g.*, about 5, 10, 15, 20, 30, 40, 50, 60, 80, 100, 200, 400, 500, 700, 800 or about 1000-fold higher compared with the activity of human OX40 receptor in the absence of the anti-OX40 antibody.

[0085] Cross-linking can be provided by a number of methods, including addition of exogenous cross-linker, *e.g.*, by antibodies or antibody F(ab')<sub>2</sub> fragments specific for heavy, light or variable regions of human or humanized antibodies; by soluble or immobilized protein A; by Fc receptor transfected cell lines; by endogenous Fc receptor expressing cell lines; by directly coating the subject antibodies to plastic surfaces; by plastic surfaces coated with exogenous cross-linking antibodies or Fc receptors; or by beads conjugated to any of the above. In an illustrative example, subject antibodies can be conjugated to a protein such as biotin, and soluble or immobilized avidin or streptavidin is used as a cross-linker. In another example, in human lymph nodes *in vivo*, the activation of OX40 after binding to an anti-OX40 antibody is expected to occur after receptor cross-linking provided by endogenous FcγR+ antigen-presenting cells.

[0086] In some embodiments, an anti-OX40 antibody binds to and activates human OX40 receptor in the absence of cross-linking. In some embodiments, an anti-OX40 antibody activates OX40 receptor, e.g., human OX40 receptor (SEQ ID NO:1), in the absence of OX40L, e.g., human OX40L (SEQ ID NO:4). A specific assay and assay conditions useful for assessing whether an anti-OX40 antibody can activate OX40 receptor without cross-linking is provided in Section 8.1.8. In some embodiments, an anti-OX40 antibody activates human OX40 receptor without cross-linking with an EC<sub>50</sub> of from about 1 pM to about 500 nM, such as but not limited to from about 0.01 to about 300 nM, from about 0.01 to about 100 nM, from about 0.1 to about 300 nM, from about 0.1 nM to about 100 nM, from about 1 nM to about 100 nM, from about 0.1 nM to about 100 nM, from about 1 to about 300 nM, from about 1 to about 100 nM, from about 1 to about 50 nM, or from about 10 to about 100 nM. In some embodiments, an anti-OX40 antibody at 100 µg/mL can activate human OX40 receptor without cross-linking to an activity at least about 5-fold, such as from about 5 to about 1000, e.g., about 5, 10, 15, 20, 30, 40, 50, 60, 80, 100, 200, 300, 400, 500, 700, 800 or about 1000fold higher compared with the activity of human OX40 receptor dosed with an equivalent amount of isotype antibody. In some embodiments, an anti-OX40 antibody at 10 μg/mL can activate human OX40 receptor without cross-linking to an activity at least about 3-fold, such as from about 3 to about 300, e.g., about 3, 5, 6, 8, 10, 12, 15, 20, 25, 30, 40, 50, 60, 80, 100, 200, or about 300-fold higher compared with the activity of human OX40 receptor dosed with an equivalent amount of isotype antibody. In some embodiments, an anti-OX40 antibody at 1 µg/mL can activate human OX40 receptor without cross-linking to an activity at least about 3-fold, such as from about 3 to about 150, e.g., about 3, 4, 5, 6, 7, 8, 10, 12, 15, 20, 25, 30, 40, 50, 60, 80, 100, or about 150-fold higher

compared with the activity of human OX40 receptor dosed with an equivalent amount of isotype antibody.

[0087] In some embodiments, an anti-OX40 antibody activates OX40 receptor, *e.g.*, human OX40 receptor (SEQ ID NO:1), at a higher level in the presence of cross-linking compared to without cross-linking. A specific assay and assay conditions useful for determining the level at which an anti-OX40 antibody can activate OX40 receptor without cross-linking is provided in Section 8.1.8. The level of activity can be measured, for example, in terms of EC<sub>50</sub> and/or an observed maximal activation. In some embodiments, the anti-OX40 antibody at 100 μg/mL activates OX40 receptor, *e.g.*, human OX40 receptor (SEQ ID NO:1), without cross-linking at from about 20% to about 95% of NF-κB activity, such as about 25%, 30%, 40%, 50%, 60%, 70%, 80%, or about 90%, as compared to the NF-κB activity with cross-linking in an assay according to Section 8.1.8.

[0088] In some embodiments, an anti-OX40 antibody activates human OX40 receptor without crosslinking with an EC<sub>50</sub> of from about 0.1 nM to about 500 nM, such as but not limited to from about 1 nM to about 100 nM, from about 0.1 nM to about 100 nM, from about 1 to about 300 nM, from about 1 to about 100 nM, from about 1 to about 50 nM, or from about 10 to about 100 nM, in an assay according to Section 8.1.8. In some such embodiments, an anti-OX40 antibody at 10 µg/mL can activate human OX40 receptor without cross-linking to an activity at least about 3-fold, such as from about 3 to about 300, e.g., about 3, 5, 6, 8, 10, 12, 15, 20, 25, 30, 40, 50, 60, 80, 100, 200, or about 300-fold higher compared with the activity of human OX40 receptor dosed with an equivalent amount of isotype antibody. In some such embodiments, an anti-OX40 antibody activates human OX40 receptor in the presence of cross-linking with an EC<sub>50</sub> of from about 1 pM to about 300 nM, such as but not limited to from about 0.01 to about 300 nM, from about 0.01 to about 100 nM, from about 0.01 to about 10 nM, from about 0.01 to about 1 nM, from about 0.1 to about 300 nM, from about 0.1 nM to about 100 nM, from about 1 nM to about 100 nM, or from about 0.1 nM to about 100 nM, in an assay according to Section 8.1.8. In some such embodiments, an anti-OX40 antibody can activate human OX40 receptor in the presence of cross-linking at a lower EC<sub>50</sub>, such as from about 1.5 to about 100-fold, such as from about 1.5 to about 10-fold, e.g., about 2, 3, 4, 5, 6, 7, 8, 9, or about 10-fold lower, compared with the EC<sub>50</sub> of antibody 1A7 described in US publication no. 2015/0307617 in an assay according to Section 8.1.8.

[0089] An anti-OX40 antibody of the invention can activate human OX40 receptor without cross-linking with an EC<sub>50</sub> of from about 1 nM to about 100 nM in an assay according to Section 8.1.8, and

can activate human OX40 receptor in the presence of cross-linking at a lower EC $_{50}$ , such as from about 1.5 to about 10-fold lower, compared with the EC $_{50}$  of antibody 1A7 described in US publication no. 2015/0307617 in an assay according to Section 8.1.8. Exemplary anti-OX40 antibodies having the above-recited properties include Mu3738 and Hu3738 as described in Examples 2 through 8 herein.

**[0090]** Generally, OX40 activation upon treatment with an anti-OX40 antibody results in a signal transduction, such as an increase in cytokine production (*e.g.*, interferon-gamma (IFN-γ)) and/or an increase in cell proliferation, *e.g.*, CD4+ T cell proliferation. In some embodiments, the increase in IFN-γ production after treatment with 1 μg/mL of an anti-OX40 antibody is from about 1.5 to about 50 times, such as about 1.5, 2, 3, 4, 5, 6, 8, 10, 15, 20, 25, 30, 40, or about 50 times the level of IFN-γ production after treatment with an equivalent amount of an isotype antibody. In some embodiments, the increase in CD4+ T cell proliferation after treatment with 1 μg/mL of an anti-OX40 antibody is from about 1.5 to about 20 times, such as about 1.5, 2, 3, 4, 5, 6, 8, 10, 15, or about 20 times the level of CD4+ T cell proliferation after treatment with an equivalent amount of an isotype antibody. Assays for determining cytokine levels or for determining cell proliferation levels are known in the art. A specific assay and assay conditions for determining IFN-γ production and/or CD4+ T cell proliferation is provided herein in Section 8.1.12.

# 7.4. Polynucleotides Encoding the Anti-OX40 Antibodies, Expression Systems and Methods of Making the Same

[0091] The present disclosure encompasses nucleic acid molecules encoding immunoglobulin light and heavy chain genes for anti-OX40 antibodies, vectors comprising such nucleic acids, and host cells capable of producing the anti-OX40 antibodies of the disclosure.

[0092] An anti-OX40 antibody of the disclosure can be prepared by recombinant expression of immunoglobulin light and heavy chain genes in a host cell. To express an antibody recombinantly, a host cell is transfected with one or more recombinant expression vectors carrying DNA fragments encoding the immunoglobulin light and heavy chains of the antibody such that the light and heavy chains are expressed in the host cell and, optionally, secreted into the medium in which the host cells are cultured, from which medium the antibodies can be recovered. Standard recombinant DNA methodologies are used to obtain antibody heavy and light chain genes, incorporate these genes into recombinant expression vectors and introduce the vectors into host cells, such as those described in

Molecular Cloning; A Laboratory Manual, Second Edition (Sambrook, Fritsch and Maniatis (eds), Cold Spring Harbor, N. Y., 1989), Current Protocols in Molecular Biology (Ausubel, F.M. *et al.*, eds., Greene Publishing Associates, 1989) and in U.S. Patent No. 4,816,397.

[0093] To generate nucleic acids encoding such anti-OX40 antibodies, DNA fragments encoding the light and heavy chain variable regions are first obtained. These DNAs can be obtained by amplification and modification of germline DNA or cDNA encoding light and heavy chain variable sequences, for example using the polymerase chain reaction (PCR). Germline DNA sequences for human heavy and light chain variable region genes are known in the art (*See, e.g.*, the "VBASE" human germline sequence database; see also Kabat, E. A. *et al.*, 1991, Sequences of Proteins of Immunological Interest, Fifth Edition, U.S. Department of Health and Human Services, NIH Publication No. 91-3242; Tomlinson *et al.*, 1992, J. Mol. Biol. 22T:116-198; and Cox *et al.*, 1994, Eur. J. Immunol. 24:827-836).

[0094] Once DNA fragments encoding anti-OX40 antibody-related  $V_{\rm H}$  and  $V_{\rm L}$  segments are obtained, these DNA fragments can be further manipulated by standard recombinant DNA techniques, for example to convert the variable region genes to full-length antibody chain genes, to Fab fragment genes or to a scFv gene. In these manipulations, a  $V_{\rm L}$ - or  $V_{\rm H}$ -encoding DNA fragment is operatively linked to another DNA fragment encoding another protein, such as an antibody constant region or a flexible linker. The term "operatively linked," as used in this context, is intended to mean that the two DNA fragments are joined such that the amino acid sequences encoded by the two DNA fragments remain in-frame.

[0095] The isolated DNA encoding the V<sub>H</sub> region can be converted to a full-length heavy chain gene by operatively linking the V<sub>H</sub>-encoding DNA to another DNA molecule encoding heavy chain constant regions (CH1, CH2, CH3 and, optionally, CH4). The sequences of human heavy chain constant region genes are known in the art (*See, e.g.*, Kabat, E.A., *et al.*, 1991, Sequences of Proteins of Immunological Interest, Fifth Edition, U.S. Department of Health and Human Services, NIH Publication No. 91-3242) and DNA fragments encompassing these regions can be obtained by standard PCR amplification. The heavy chain constant region can be an IgG<sub>1</sub>, IgG<sub>2</sub>, IgG<sub>3</sub>, IgG<sub>4</sub>, IgA, IgE, IgM or IgD constant region, but in certain embodiments is an IgG<sub>1</sub> or IgG<sub>4</sub>. For a Fab fragment heavy chain gene, the V<sub>H</sub>-encoding DNA can be operatively linked to another DNA molecule encoding only the heavy chain CH1 constant region.

[0096] The isolated DNA encoding the V<sub>L</sub> region can be converted to a full-length light chain gene (as well as a Fab light chain gene) by operatively linking the V<sub>L</sub>-encoding DNA to another DNA molecule encoding the light chain constant region, CL. The sequences of human light chain constant region genes are known in the art (*See, e.g.*, Kabat, *et al.*, 1991, Sequences of Proteins of Immunological Interest, Fifth Edition, U.S. Department of Health and Human Services, NIH Publication No. 91-3242) and DNA fragments encompassing these regions can be obtained by standard PCR amplification. The light chain constant region can be a kappa or lambda constant region, but in certain embodiments is a kappa constant region. To create a scFv gene, the V<sub>H</sub>- and V<sub>L</sub>-encoding DNA fragments are operatively linked to another fragment encoding a flexible linker, *e.g.*, encoding the amino acid sequence (Gly<sub>4</sub>~Ser)<sub>3</sub> (SEQ ID NO:60), such that the V<sub>H</sub> and V<sub>L</sub> sequences can be expressed as a contiguous single-chain protein, with the V<sub>L</sub> and V<sub>H</sub> regions joined by the flexible linker (*See, e.g.*, Bird *et al.*, 1988, Science 242:423-426; Huston *et al.*, 1988, Proc. Natl. Acad. Sci. USA 85:5879-5883; McCafferty *et al.*, 1990, Nature 348:552-554).

[0097] To express the anti-OX40 antibodies of the disclosure, DNAs encoding partial or full-length light and heavy chains, obtained as described above, are inserted into expression vectors such that the genes are operatively linked to transcriptional and translational control sequences. In this context, the term "operatively linked" is intended to mean that an antibody gene is ligated into a vector such that transcriptional and translational control sequences within the vector serve their intended function of regulating the transcription and translation of the antibody gene. The expression vector and expression control sequences are chosen to be compatible with the expression host cell used. The antibody light chain gene and the antibody heavy chain gene can be inserted into separate vectors or, more typically, both genes are inserted into the same expression vector.

[0098] The antibody genes are inserted into the expression vector by standard methods (e.g., ligation of complementary restriction sites on the antibody gene fragment and vector, or blunt end ligation if no restriction sites are present). Prior to insertion of the anti-OX40 antibody-related light or heavy chain sequences, the expression vector can already carry antibody constant region sequences. For example, one approach to converting the anti-OX40 monoclonal antibody-related  $V_{\rm H}$  and  $V_{\rm L}$  sequences to full-length antibody genes is to insert them into expression vectors already encoding heavy chain constant and light chain constant regions, respectively, such that the  $V_{\rm H}$  segment is operatively linked to the CH segment(s) within the vector and the  $V_{\rm L}$  segment is operatively linked to the CL segment within the vector. Additionally or alternatively, the recombinant expression vector

can encode a signal peptide that facilitates secretion of the antibody chain from a host cell. The antibody chain gene can be cloned into the vector such that the signal peptide is linked in-frame to the amino terminus of the antibody chain gene. The signal peptide can be an immunoglobulin signal peptide or a heterologous signal peptide (*i.e.*, a signal peptide from a non-immunoglobulin protein).

[0099] In addition to the antibody chain genes, the recombinant expression vectors of the disclosure carry regulatory sequences that control the expression of the antibody chain genes in a host cell. The term "regulatory sequence" is intended to include promoters, enhancers and other expression control elements (*e.g.*, polyadenylation signals) that control the transcription or translation of the antibody chain genes. Such regulatory sequences are described, for example, in Goeddel, Gene Expression Technology: Methods in Enzymology 185, Academic Press, San Diego, CA, 1990. It will be appreciated by those skilled in the art that the design of the expression vector, including the selection of regulatory sequences may depend on such factors as the choice of the host cell to be transformed, the level of expression of protein desired, *etc.* Suitable regulatory sequences for mammalian host cell expression include viral elements that direct high levels of protein expression in mammalian cells, such as promoters and/or enhancers derived from cytomegalovirus (CMV) (such as the CMV promoter/enhancer), Simian Virus 40 (SV40) (such as the SV40 promoter/enhancer), adenovirus, (*e.g.*, the adenovirus major late promoter (AdMLP)) and polyoma. For further description of viral regulatory elements, and sequences thereof, *see*, *e.g.*, U.S. Patent No. 5,168,062 by Stinski, U.S. Patent No. 4,510,245 by Bell *et al.*, and U.S. Patent No. 4,968,615 by Schaffner *et al.* 

**[0100]** In addition to the antibody chain genes and regulatory sequences, the recombinant expression vectors of the disclosure can carry additional sequences, such as sequences that regulate replication of the vector in host cells (*e.g.*, origins of replication) and selectable marker genes. The selectable marker gene facilitates selection of host cells into which the vector has been introduced (*See, e.g.*, U.S. Patents Nos. 4,399,216, 4,634,665 and 5,179,017, all by Axel *et al.*). For example, typically the selectable marker gene confers resistance to drugs, such as G418, hygromycin or methotrexate, on a host cell into which the vector has been introduced. Suitable selectable marker genes include the dihydrofolate reductase (DHFR) gene (for use in DHFR<sup>-</sup> host cells with methotrexate selection/amplification) and the neo gene (for G418 selection). For expression of the light and heavy chains, the expression vector(s) encoding the heavy and light chains is transfected into a host cell by standard techniques. The various forms of the term "transfection" are intended to encompass a wide variety of techniques commonly used for the introduction of exogenous DNA into a prokaryotic or

eukaryotic host cell, *e.g.*, electroporation, lipofection, calcium-phosphate precipitation, DEAE-dextran transfection and the like.

[0101] It is possible to express the anti-OX40 antibodies of the disclosure in either prokaryotic or eukaryotic host cells. In certain embodiments, expression of antibodies is performed in eukaryotic cells, e.g., mammalian host cells, of optimal secretion of a properly folded and immunologically active antibody. Exemplary mammalian host cells for expressing the recombinant antibodies of the disclosure include Chinese Hamster Ovary (CHO cells) (including DHFR CHO cells, described in Urlaub and Chasin, 1980, Proc. Natl. Acad. Sci. USA 77:4216-4220, used with a DHFR selectable marker, e.g., as described in Kaufman and Sharp, 1982, Mol. Biol. 159:601-621), NSO myeloma cells, COS cells and SP2 cells. When recombinant expression vectors encoding antibody genes are introduced into mammalian host cells, the antibodies are produced by culturing the host cells for a period of time sufficient to allow for expression of the antibody in the host cells or secretion of the antibody into the culture medium in which the host cells are grown. Antibodies can be recovered from the culture medium using standard protein purification methods. Host cells can also be used to produce anti-OX40 binding fragments of antibodies, such as Fab fragments or scFv molecules. It is understood that variations on the above procedure are within the scope of the present disclosure. For example, it can be desirable to transfect a host cell with DNA encoding either the light chain or the heavy chain (but not both) of an anti-OX40 antibody of this disclosure.

[0102] Recombinant DNA technology can also be used to remove some or all of the DNA encoding either or both of the light and heavy chains that is not necessary for binding to human OX40. The molecules expressed from such truncated DNA molecules are also encompassed by the antibodies of the disclosure.

[0103] For recombinant expression of an anti-OX40 antibody of the disclosure, the host cell can be co-transfected with two expression vectors of the disclosure, the first vector encoding a heavy chain derived polypeptide and the second vector encoding a light chain derived polypeptide. The two vectors can contain identical selectable markers, or they can each contain a separate selectable marker. Alternatively, a single vector can be used which encodes both heavy and light chain polypeptides.

[0104] Once a nucleic acid encoding one or more portions of an anti-OX40 antibody has been obtained, further alterations or mutations can be introduced into the coding sequence, for example to

generate nucleic acids encoding antibodies with different CDR sequences, antibodies with reduced affinity to the Fc receptor, or antibodies of different subclasses.

[0105] The anti-OX40 antibodies of the disclosure can also be produced by chemical synthesis (*e.g.*, by the methods described in Solid Phase Peptide Synthesis, 2<sup>nd</sup> ed., 1984 The Pierce Chemical Co., Rockford, Ill.). Variant antibodies can also be generated using a cell-free platform (*See*, *e.g.*, Chu *et al.*, Biochemia No. 2, 2001 (Roche Molecular Biologicals) and Murray *et al.*, 2013, Current Opinion in Chemical Biology, 17:420–426).

[0106] Once an anti-OX40 antibody of the disclosure has been produced by recombinant expression, it can be purified by any method known in the art for purification of an immunoglobulin molecule, for example, by chromatography (*e.g.*, ion exchange, affinity, and sizing column chromatography), centrifugation, differential solubility, or by any other standard technique for the purification of proteins. Further, the anti-OX40 antibodies of the present disclosure can be fused to heterologous polypeptide sequences described herein or otherwise known in the art to facilitate purification.

**[0107]** Once isolated, the anti-OX40 antibody can, if desired, be further purified, *e.g.*, by high performance liquid chromatography (*see*, *e.g.*, Fisher, Laboratory Techniques In Biochemistry And Molecular Biology, Work and Burdon, eds., Elsevier, 1980), or by gel filtration chromatography on a Superdex<sup>TM</sup> 75 column (Pharmacia Biotech AB, Uppsala, Sweden).

## 7.5. Pharmaceutical Compositions

[0108] The anti-OX40 antibodies described herein may be in the form of compositions comprising the antibody and one or more carriers, excipients and/or diluents (all of which are referred to herein as "carriers"), *i.e.*, buffering agents, stabilizing agents, preservatives, isotonifiers, non-ionic detergents, antioxidants, and other miscellaneous additives. *See*, Remington's Pharmaceutical Sciences, 16th edition (Osol, ed. 1980). The compositions may be formulated for specific uses, such as for veterinary uses or pharmaceutical uses in humans. The form of the composition (*e.g.*, dry powder, liquid formulation, *etc.*) and the carriers used will depend upon the intended uses of the antibody and, for therapeutic uses, the mode of administration.

**[0109]** For therapeutic uses, the compositions may be supplied as part of a sterile, pharmaceutical composition that includes a pharmaceutically acceptable carrier. This composition can be in any suitable form (depending upon the desired method of administering it to a patient). The pharmaceutical composition can be administered to a patient by a variety of routes such as

intravenously, intratumorally, or intrathecally. The most suitable route for administration in any given case will depend on the particular antibody, the subject, and the nature and severity of the disease and the physical condition of the subject. Typically, the pharmaceutical composition will be administered intravenously.

[0110] Pharmaceutical compositions can be conveniently presented in unit dosage forms containing a predetermined amount of an anti-OX40 antibody described herein per dose. The quantity of anti-OX40 antibody included in a unit dose will depend on the disease being treated, as well as other factors as are well known in the art. Such unit dosages may be in the form of a lyophilized dry powder containing an amount of antibody suitable for a single administration, or in the form of a liquid. Dry powder unit dosage forms may be packaged in a kit with a syringe, a suitable quantity of carrier and/or other components useful for administration. Unit dosages in liquid form may be conveniently supplied in the form of a syringe pre-filled with a quantity of the anti-OX40 antibody suitable for a single administration.

[0111] The pharmaceutical compositions may also be supplied in bulk form containing quantities of anti-OX40 antibody suitable for multiple administrations.

[0112] Pharmaceutical compositions may be prepared for storage as lyophilized formulations or aqueous solutions by mixing an antibody having the desired degree of purity with optional pharmaceutically-acceptable carriers typically employed in the art. Such additives should be nontoxic to the recipients at the dosages and concentrations employed.

[0113] For example, for intravenous administration, the composition may be in the form of a lyophilized powder that, upon reconstitution with sterile water or other solution suitable for injection or infusion (for example, 0.9% saline, Ringer's solution, lactated Ringer's solution, etc.) provides an aqueous composition.

## 7.6. Methods of Use

### 7.6.1. Therapeutic benefit

[0114] Data provided herein demonstrate that the disclosed anti-OX40 antibodies activate OX40 receptor in the presence of cancer cells and exert potent anticancer activity against cancer *in vivo*. Accordingly, the anti-OX40 antibodies and/or pharmaceutical compositions comprising the anti-OX40 antibodies may be used therapeutically to treat cancers.

[0115] In some embodiments, the cancer is a solid tumor. Solid tumors that may be treated with the anti-OX40 antibody include bladder cancer, breast cancer (e.g., triple negative breast cancer), head and neck cancer, kidney cancer (e.g., renal cell carcinoma), liver cancer (e.g., hepatocellular carcinoma, cholangiocarcinoma), lung cancer (e.g., non-small cell lung cancer, mesothelioma, small cell lung cancer), melanoma (e.g., unresectable or metastatic melanoma, advanced malignant melanoma), skin cancer (e.g., Merkel cell carcinoma), ovarian cancer, gastric cancer, and tumors with evidence of DNA mismatch repair deficiency. The cancer may be comprised of tumors containing OX40-expressing cells; comprised of tumors, some of which contain OX40-expressing cells and some of which do not; or comprised of tumors lacking OX40-expressing cells. The cancer may be newly diagnosed and naïve to treatment, or may be relapsed, refractory, or relapsed and refractory, or a metastatic form of a solid tumor. In some embodiments, the solid tumor is naïve to a PD-1 or PD-L1 targeting agent. In other embodiments, the solid tumor is relapsed or refractory after treatment with a PD-1 or PD-L1 targeting agent. In some embodiments, the solid tumor is selected from bladder cancer, breast cancer, head and neck cancer, kidney cancer, lung cancer, melanoma, and gastric cancer. In some embodiments, the solid tumor is selected from: melanoma (e.g., unresectable or metastatic melanoma), lung cancer (e.g., non-small cell lung cancer), and renal cell carcinoma (e.g., advanced renal cell carcinoma). In some embodiments, the solid tumor is selected from triple negative breast cancer, ovarian cancer, hepatocellular carcinoma, gastric cancer, small cell lung cancer, mesothelioma, cholangiocarcinoma, Merkel cell carcinoma and tumors with evidence of DNA mismatch repair deficiency. In certain embodiments, the lung cancer is metastatic non-small cell lung cancer with progression on or after platinum-based chemotherapy. In certain embodiments, the lung cancer is locally advanced or metastatic non-small cell lung cancer that has failed platinum-based therapy and therapy with a PD-1 or PD-L1 targeting agent. In certain embodiments, the head and neck cancer is recurrent squamous cell head and neck carcinoma that is not a candidate for curative treatment with local or systemic therapy, or metastatic (disseminated) head and neck squamous cell carcinoma of the oral cavity, oropharynx, hypopharynx, and larynx that is considered incurable by local therapies.

[0116] As discussed above, the presently disclosed anti-OX40 antibodies modulate an immunological response. Accordingly, patients having compromised immune systems may be excluded from treatment. In some embodiments, a patient is excluded after meeting one or more of the following criteria: (1) Active or prior documented autoimmune disease (including, but not limited to, inflammatory bowel disease, celiac disease, Wegener syndrome) within the past 2 years. (Subjects

with childhood atopy or asthma, vitiligo, alopecia, Hashimoto syndrome, Grave's disease, or psoriasis not requiring systemic treatment (within the past 2 years) are not excluded); (2) History of primary immunodeficiency, bone marrow transplantation, chronic lymphocytic leukemia, solid organ transplantation, or previous clinical diagnosis of tuberculosis; (3) History of a coagulopathy or a platelet disorder; (4) Confirmed positive test results for human immunodeficiency virus (HIV), or subjects with chronic or active hepatitis B or C. (Subjects who have a history of hepatitis B or C who have documented cures after anti-viral therapy may be enrolled); (5) Prior grade  $\geq$  3 immunemediated neurotoxicity or pneumonitis while receiving immunotherapy (including but not limited to agents directed against CTLA-4, PD-L1, or PD-1). In addition, any other prior grade  $\geq$  3 immunemediated adverse event while receiving immunotherapy that has not resolved or become asymptomatic within 3 months; (6) Receipt of live, attenuated vaccine within 28 days prior to the first dose of the anti-OX40 antibody.

[0117] An anti-OX40 antibody of the disclosure may be administered alone (monotherapy) or adjunctive to, or with, other anti-cancer therapies and/or targeted or non-targeted anti-cancer agents. When administered as an anti-OX40 monotherapy, one or more antibodies may be used. Whether administered as monotherapy or adjunctive to, or with, other therapies or agents, an amount of anti-OX40 antibody is administered such that the overall treatment regimen provides therapeutic benefit.

[0118] By therapeutic benefit is meant that the use of anti-OX40 antibodies to treat cancer in a patient results in any demonstrated clinical benefit compared with no therapy (when appropriate) or to a known standard of care. Clinical benefit can be assessed by any method known to one of ordinary skill in the art. In one embodiment, clinical benefit is assessed based on objective response rate (ORR) (determined using RECIST version 1.1), duration of response (DOR), progression-free survival (PFS), and/or overall survival (OS). In some embodiments, a complete response indicates therapeutic benefit. In some embodiments, an increase in overall survival indicates therapeutic benefit. In some embodiments, an increase in overall survival indicates therapeutic benefit. In some embodiments, therapeutic benefit constitutes an improvement in time to disease progression and/or an improvement in symptoms or quality of life. In other embodiments, therapeutic benefit does not translate to an increased period of disease control, but rather a markedly reduced symptom burden resulting in improved quality of life. As will be apparent to those of skill in the art, a therapeutic benefit may be observed using the anti-OX40

antibodies alone (monotherapy) or adjunctive to, or with, other anti-cancer therapies and/or targeted or non-targeted anti-cancer agents.

[0119] Typically, therapeutic benefit is assessed using standard clinical tests designed to measure the response to a new treatment for cancer. To assess the therapeutic benefits of the anti-OX40 antibodies described herein one or a combination of the following tests can be used: (1) the Response Evaluation Criteria In Solid Tumors (RECIST) version 1.1, (2) immune-related RECIST (irRECIST), (3) the Eastern Cooperative Oncology Group (ECOG) Performance Status, (4) immune-related response criteria (irRC), (5) disease evaluable by assessment of tumor antigens, (6) validated patient reported outcome scales, and/or (7) Kaplan-Meier estimates for overall survival and progression free survival.

[0120] Assessment of the change in tumor burden is an important feature of the clinical evaluation of cancer therapeutics. Both tumor shrinkage (objective response) and time to the development of disease progression are important endpoints in cancer clinical trials. Standardized response criteria, known as RECIST (Response Evaluation Criteria in Solid Tumors), were published in 2000. An update (RECIST 1.1) was released in 2009. RECIST criteria are typically used in clinical trials where objective response is the primary study endpoint, as well as in trials where assessment of stable disease, tumor progression or time to progression analyses are undertaken because these outcome measures are based on an assessment of anatomical tumor burden and its change over the course of the trial. TABLE 8 provides the definitions of the response criteria used to determine objective tumor response to a study drug, such as the anti-OX40 antibodies described herein.

TABLE 8			
Response	Criteria		
Complete Response	Disappearance of all target lesions. Any pathological lymph nodes		
(CR)	(whether target or non-target) must have reduction in short axis to <10 mm.		
Partial Response	At least a 30% decrease in the sum of diameters of target lesions, taking as		
(PR)	reference the baseline sum diameters.		
Progressive Disease	At least a 20% increase in the sum of diameters of target lesions, taking as		
(PD)	reference the smallest sum on study (this includes the baseline sum if that is		
	the smallest on study). In addition to the relative increase of 20%, the sum		
	must also demonstrate an absolute increase of at least 5 mm. (Note: the		
	appearance of one or more new lesions is also considered progression).		

TABLE 8		
Response	Criteria	
Stable Disease	Neither sufficient shrinkage to qualify for PR nor sufficient increase to	
(SD)	qualify for PD, taking as reference the smallest sum diameters while on	
	study.	

[0121] Secondary outcome measures that can be used to determine the therapeutic benefit of the anti-OX40 antibodies described herein include, Objective Response Rate (ORR), Progression Free Survival (PFS), Overall Survival (OS), Duration of Overall Response (DOR), and Depth of Response (DpR). ORR is defined as the proportion of the participants who achieve a complete response (CR) or partial response (PR). PFS is defined as the time from the first dose date of an anti-OX40 antibody to either disease progression or death, whichever occurs first. OS is defined as the length of time from either the date of diagnosis or the start of treatment for a disease, that patients diagnosed with the disease are still alive. DOR is defined as the time from the participant's initial CR or PR to the time of disease progression. DpR is defined as the percentage of tumor shrinkage observed at the maximal response point compared to baseline tumor load. Clinical endpoints for both ORR and PFS can be determined based on RECIST 1.1 criteria described above.

[0122] Additional criteria that may be used for clinical evaluation specific to cancer patients undergoing immune therapy treatment include the standardized immune-related RECIST (irRECIST) criteria. See, *e.g.*, Nishino, M. et al. *Eur. J. Radiol.*, 84(7), pages 1259-1268 (2015 July). These guidelines modified the RECIST 1.1 criteria above with consideration of potential immunomodulatory effects. TABLE 9 provides the definitions of the response criteria used to determine objective tumor response to an immunomodulatory drug, such as the anti-OX40 antibodies described herein.

TABLE 9		
Response	Criteria	
Complete Response	Complete disappearance of all measurable and non-measurable lesions.	
(irCR)	Lymph nodes must decrease to < 10 mm in short axis.	
Partial Response	Decrease of $\geq 30\%$ in total measured tumor burden relative to baseline,	
(irPR)	non-target lesions are irNN, and no unequivocal progression of new non-	
	measurable lesions	

TABLE 9		
Response	Criteria	
Progressive Disease	At least a 20% increase and at least 5 mm absolute increase in TMTB	
(irPD)	compared to nadir, or irPD for non-target or new non-measurable lesions.	
	Confirmation of progression is recommended at least 4 weeks after the first	
	irPD assessment.	
Non-irCR or non-	No target disease was identified at baseline and at follow-up the patient	
irPD (irNN)	fails to meet criteria for irCR or irPD	
Stable Disease	Neither sufficient shrinkage to qualify for irPR nor sufficient increase to	
(irSD)	qualify for irPD, taking as reference the smallest sum diameters while on	
	study.	
irNE	Used in exceptional cases where insufficient data exists.	

[0123] The ECOG Scale of Performance Status shown in TABLE 10 is used to describe a patient's level of functioning in terms of their ability to care for themselves, daily activity, and physical ability. The scale was developed by the Eastern Cooperative Oncology Group (ECOG), now part of the ECOG-ACRIN Cancer Research Group, and published in 1982.

	TABLE 10		
Grade	ECOG Performance Status		
0	Fully active, able to carry on all pre-disease performance without restriction		
1	Restricted in physically strenuous activity but ambulatory and able to carry out work of a light or sedentary nature, <i>e.g.</i> , light house work, office work		
2	Ambulatory and capable of all selfcare but unable to carry out any work activities; up and about more than 50% of waking hours		
3	Capable of only limited selfcare; confined to bed or chair more than 50% of waking hours		
4	Completely disabled; cannot carry on any selfcare; totally confined to bed or chair		
5	Dead		

[0124] Another set of criteria that can be used to characterize fully and to determine response to immunotherapeutic agents, such as antibody-based cancer therapies, is the immune-related response criteria (irRC), which was developed for measurement of solid tumors in 2009, and updated in 2013 (Wolchok, et al. Clin. Cancer Res. 2009; 15(23): 7412-7420 and Nishino, et al. Clin. Cancer Res.

2013; 19(14): 3936-3943). The updated irRC criteria are typically used to assess the effect of an immunotherapeutic agent, such as an anti-OX40 antibody described herein, on tumor burden, and defines response according to TABLE 11.

TABLE 11				
Response	Criteria			
Complete Response	Disappearance of all target lesions in two consecutive observations not less			
(CR)	than 4 weeks apart			
Partial Response	At least a 30% decrease in the sum of the longest diameters of target			
(PR)	lesions, taking as reference the baseline sum diameters.			
Progressive Disease	At least a 20% increase in the sum of diameters of target lesions, taking as			
(PD)	reference the smallest sum on study (this includes the baseline sum if that is			
	the smallest on study). (Note: the appearance of one or more new lesions is			
	not considered progression. The measurement of new lesions is included in			
	the sum of the measurements).			
Stable Disease	Neither sufficient shrinkage to qualify for PR nor sufficient increase to			
(SD)	qualify for PD, taking as reference the smallest sum diameters while on			
	study.			

[0125] One exemplary therapeutic benefit resulting from the use of anti-OX40 antibodies described herein to treat solid tumors, whether administered as monotherapy or adjunctive to, or with, other therapies or agents, is a complete response. Another exemplary therapeutic benefit resulting from the use of anti-OX40 antibodies to treat solid tumors, whether administered as monotherapy or adjunctive to, or with, other therapies or agents, is a partial response.

[0126] Validated patient reported outcome scales can also be used to denote response provided by each patient through a specific reporting system. Rather than being disease focused, such outcome scales are concerned with retained function while managing a chronic condition. One non-limiting example of a validated patient reported outcome scale is PROMIS® (Patient Reported Outcomes Measurement Information System) from the United States National Institutes of Health. For example, PROMIS® Physical Function Instrument for adult cancer patients can evaluate self-reported capabilities for the functioning of upper extremities (*e.g.*, dexterity), lower extremities (*e.g.*, walking or mobility), and central regions (*e.g.*, neck, back mobility), and includes routine daily activities, such as running errands.

[0127] Kaplan-Meier curves (Kaplan and Meier, J. Am. Stat. Assoc. 1958; 53(282): 457-481) can also be used to estimate overall survival and progression free survival for cancer patients undergoing anti-OX40 antibody therapy in comparison to standard of care.

## 7.6.2. Adjunctive Therapies

[0128] The anti-OX40 antibodies may be used adjunctive to, or with, other agents or treatments having anti-cancer properties, including standard of care therapies, such as an anti-PD-1 antibody therapy. When used adjunctively, the anti-OX40 antibody and other agent(s) may be formulated together in a single, combination pharmaceutical formulation, or may be formulated and administered separately, either on a single coordinated dosing regimen or on different dosing regimens. Agents administered adjunctive to or with the anti-OX40 antibodies will typically have complementary activities to the anti-OX40 antibodies such that the antibodies and other agents do not adversely affect each other.

## 7.7. Dosages and Administration Regimens

[0129] The amount of anti-OX40 antibodies administered will depend upon a variety of factors, including but not limited to, the particular type of cancer treated, the stage of the cancer being treated, the mode of administration, the frequency of administration, the desired therapeutic benefit, and other parameters such as the age, weight and other characteristics of the patient, *etc*. Determination of dosages effective to provide therapeutic benefit for specific modes and frequency of administration is within the capabilities of those skilled in the art.

[0130] Dosages effective to provide the rapeutic benefit may be estimated initially from *in vivo* animal models. Suitable animal models for a wide variety of diseases are known in the art.

[0131] The anti-OX40 antibodies disclosed herein may be administered by any route appropriate to the condition to be treated. In some embodiments, the anti-OX40 antibody is any one of the humanized antibodies with a heavy chain having an amino acid sequence according to any one of SEQ ID NOS:41-48, and a light chain having an amino acid sequence according to any one of SEQ ID NO:51-54. In certain embodiments, the anti-OX40 antibody has a heavy chain having an amino acid sequence according to SEQ ID NO:41 or 42, and a light chain having an amino acid sequence according to SEQ ID NO:51. An anti-OX40 antibody will typically be administered parenterally, *i.e.*, infusion, intravenous (IV), intrathecal, bolus, intratumoral injection or epidural (Shire *et al.*, 2004, *J. Pharm. Sciences* 93(6):1390-1402). In one embodiment, an anti-OX40 antibody is provided as a

lyophilized powder in a vial. Prior to administration, the lyophilized powder is reconstituted with sterile water for injection (SWFI) or other suitable medium to provide a solution containing anti-OX40 antibody. In some embodiments, the resulting reconstituted solution is further diluted with saline or other suitable medium for infusion and administered via an IV infusion once every two weeks, *i.e.*, every 13, 14 or 15 days.

[0132] In some embodiments, the anti-OX40 antibody is administered as an IV infusion once every two weeks at 0.01 mg/kg, 0.1 mg/kg, 1.0 mg/kg, or 3.0 mg/kg.

[0133] When administered adjunctive to or with other agents, such as other chemotherapeutic agents, the anti-OX40 antibodies may be administered on the same schedule as the other agent(s), or on a different schedule. When administered on the same schedule, the anti-OX40 antibody may be administered before, after, or concurrently with the other agent.

[0134] As will be appreciated by those of skill in the art, the recommended dosages for the various agents described above may need to be adjusted to optimize patient response and maximize therapeutic benefit.

### 8. EXAMPLES

[0135] The following Examples, which highlight certain features and properties of the exemplary embodiments of the anti-OX40 antibodies described herein are provided for purposes of illustration, and not limitation.

### **Example 1:** Materials and Methods

# 8.1.1. Anti-OX40 Antibody Binding to Human OX40 by ELISA

[0136] Immunolon 4xHB 96-well plates (Thermo Scientific) were coated with 1 μg/mL of human OX40-FC (R&D Systems) at 4 °C overnight. Plates were blocked with phosphate-buffered saline (PBS) containing 1% bovine serum albumin (BSA) for 30 minutes at room temperature and then washed three times with PBST (PBS with 0.1% Tween 20) using a plate washer. OX40-coated plates were then incubated with indicated concentrations of test antibody at room temperature for one hour. Plates were washed four times with PBST and then incubated for 1 hour at room temperature with 100 μL of goat anti-human Fab fragment specific–Biotin (Jackson ImmunoResearch) prepared to a dilution of 1:5000 in PBS containing 1% BSA. Plates were then washed five times in PBST and 100 μL of a 1:1000 dilution of streptavidin-horseradish peroxidase (HRP) (Thermo Scientific) was added to each well and incubated for 30 minutes at room temperature. Plates were subsequently

washed five times in PBST and  $100 \mu L$  of TMB One component (Surmodics) were added to each well and incubated at room temperature (RT) until color developed (approximately 5-10 minutes). Optical density (OD) was read at 650 nm (Molecular Devices Spectromax190).

## 8.1.2. Anti-OX40 Antibody Binding to Cynomolgus Monkey OX40 by ELISA

[0137] Immunolon 4xHB 96-well plates (Thermo Scientific) were coated with 1 μg/mL of Cyno OX40-Fc fusion at 4 °C overnight. Plates were blocked with PBS containing 1% bovine serum albumin (BSA) for 30 minutes at RT and then washed three times with PBST (PBS with 0.1% Tween 20). OX40-coated plates were then incubated with indicated concentrations of anti-OX40 antibody at room temperature for one hour. Plates were washed four times with PBST and then incubated for 1 hour at room temperature with 100 μL of goat anti-human FAB fragment specific–Biotin (Jackson ImmunoResearch) prepared to a dilution of 1:5000 in PBS containing 1% BSA. Plates were then washed five times in PBST and 100 μL of a 1:1000 dilution of streptavidin-HRP (Thermo Scientific) was added to each well and incubated for 30 minutes at room temperature. Plates were then washed five times in PBST and 100 μL of TMB One component (Surmodics) were added to each well and incubated at room temperature until color developed (approximately 5-10 minutes). Optical density (OD) was read at 650 nm (Molecular Devices Spectromax190).

## 8.1.3. Anti-OX40 Antibody Binding to Rhesus OX40 by Flow Cytometry

[0138] Rhesus macaque (*Macaca mulatta*) OX40 is identical to cynomolgus monkey (*Macaca fascicularis*) OX40 (SEQ ID NO:2) at the amino acid level. A 293 NF-κB reporter cell line expressing rhesus OX40 was cultured in Dulbecco's modified Eagle media (DMEM) containing 10% fetal bovine serum (FBS) and Penicillin/Streptomycin. For the binding assay, cells were resuspended at 5 million cells per mL. 50 μL (250,000 cells)/well were transferred to each well of a 500 μL polypropylene 96-well plate (Nunc). A 2X stock of test anti-OX40 antibody or isotype control monoclonal antibody was prepared in a separate dilution plate at 666, 333, 111, 37.03, 12.34, 4.11, 0.457, 0.152, 0.0508, 0.0169, 0.00564 nM in culture media. The monoclonal antibodies (50 μL/well) were transferred into respective wells of the assay plate. Cells were incubated with the primary antibodies for 30 minutes at 4 °C and washed twice with 250 μL/well of PBS by centrifuging at 800 rpm for 3 minutes. Bound antibody was detected with Cy5-Donkey anti-human IgG (H+L) (Jackson ImmunoResearch) diluted to 2 μg/mL (50 μL/well) in PBS for 30 minutes at 4 °C. Cells were washed once with 250 μL/well of PBS, resuspended in PBS containing 1% Formaldehyde and analyzed on a dual laser FACSCalibur (Becton Dickinson).

# 8.1.4. Anti-OX40 Antibody Binding Affinity to Human and Rhesus OX40 by Surface Plasmon Resonance

[0139] The binding kinetics of an anti-OX40 antibody to recombinant soluble OX40 ECD (extracellular domain) were determined by surface plasmon resonance-based measurements made on a Biacore T200 instrument (GE Healthcare) at 25 °C using an anti-Fc capture assay approach. Recombinant extracellular domains (ECDs) of human OX40 (residues 1-216) and rhesus macaque OX40 (residues 28-214) were purchased (Creative Biomart) and further purified by gel filtration using Superdex200 (GE Healthcare) in 10 mM 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid (HEPES), pH 7.4, 150 mM NaCl, 3 mM ethylenediaminetetraacetic acid (EDTA). Rhesus macaque (Macaca mulatta) OX40 is identical to cynomolgus monkey (Macaca fascicularis) OX40 (SEQ ID NO:2) at the amino acid level. Chip preparation and binding kinetic measurements were made in the assay buffer HBS-EP+ (10 mM HEPES, pH 7.4, 150 mM NaCl, 3 mM EDTA, 0.05% Tween 20). For anti-Fc capture chip preparation, approximately 2000 Resonance Units (RU) of goat anti-human IgG Fc polyclonal antibody (Thermo Fisher Scientific Inc.), diluted to 25 μg/mL in 10 mM sodium acetate (pH 4.5), was directly immobilized across a CM5 biosensor chip using a standard amine coupling kit according to manufacturer's instructions and procedures. Unreacted moieties on the biosensor surface were blocked with ethanolamine. For binding kinetics measurements each assay cycle consisted of the following steps: 1) capture of test anti-OX40 antibody on test surface only; 2) analyte injection (OX40 ECD or buffer only) over both reference and test surface, 240 µL at 80 μL/min, after which the dissociation was monitored for 900 seconds at 80 μL/min; 3) regeneration of capture surface by 10 mM Glycine-HCl, pH 1.5 injections over both reference and test surface. During the assay, all measurements were referenced against the capture surface alone (i.e., with no captured test antibody) and buffer-only injections were used for double referencing. OX40 injections ranged in concentration from 900 nM or 300 nM to 11.11 nM in a randomized 9- or 3-fold dilution series, respectively. Data were processed and fitted globally to a 1:1 binding model using Biacore T200 Evaluation software to determine the binding kinetic rate constants,  $k_a$  (M<sup>-1</sup>s<sup>-1</sup>) and  $k_d$  (s<sup>-1</sup>), and the equilibrium dissociation constant  $K_D$  (M).

# 8.1.5. OX40 Ligand Blocking with Anti-OX40 Antibody

[0140] Jurkat cells stably transfected with human OX40 cultured at  $2 \times 10^5$  cells/well were simultaneously incubated with  $0.2 \mu g/mL$  test anti-OX40 antibody and a titration of soluble human OX40L (R&D systems) in PBS containing 1% BSA in a round bottom 96-well plate for 30 minutes at

RT. Cells were washed twice and incubated for an additional 30 minutes with 100 µL of 1:500 dilution of goat-anti-human Fc PE per well (Jackson ImmunoResearch). Cells were then washed twice and acquired using FACSCanto (BD Biosciences), and analyzed using FACSDiva.

## 8.1.6. Anti-OX40 Antibody Binding to Cell Surface Expressed Human OX40

[0141] A Jurkat NF-κB reporter cell line expressing human OX40 protein was cultured in DMEM containing 10% FBS and penicillin/streptomycin (pen/strep). For the binding assay, each cell line was resuspended at 5 million cells per mL. 50 μL (250,000 cells)/well were transferred to each well of a 500 μL polypropylene 96-well plate (Nunc). A 2X stock of test anti-OX40 antibody or isotype control mAb was prepared in a separate dilution plate at 666, 333, 111, 37.03, 12.34, 4.11, 0.457, 0.152, 0.0508, 0.0169, 0.00564 nM in culture media. Each antibody (50 μL/well) was transferred into respective wells of the assay plate. Cells were incubated with the test anti-OX40 antibody or isotype control antibodies for 30 minutes at 4 °C and washed twice with 250 μL/well of PBS by centrifuging at 800 rpm for 3 minutes. Bound antibody was detected with Cy5-Donkey anti-human IgG (H+L) (Jackson ImmunoResearch) diluted to 2 μg/mL (50 μL/well) in PBS for 30 minutes at 4 °C. Cells were washed once with 250 μL/well of PBS, resuspended in PBS containing 1% Formaldehyde and analyzed on a dual laser FACSCalibur (Becton Dickinson).

# 8.1.7. Anti-OX40 Antibody Binding to Chimeric OX40 Receptor

**[0142]** 293s-based transfectants were generated to express chimeric versions of the human OX40 molecule with mouse OX40 cysteine-rich domains (CRDs) individually swapped into corresponding human CRDs. After G418 selection, surviving cells were sorted for expression on the MoFlo flow cytometer (Beckman): 293s-huOX40, 293s-huOX40-muCRD1, 293s-huOX40-muCRDII, 293s-huOX40-muCRDIII, 293s-huOX40-muCRDIII, 293s-huOX40-muCRDIIII, 293s-huOX40-muCRDIIII and 293s-muOX40. A total of 2 x 10<sup>5</sup> of each 293s OX40 chimeric transfectant cells were added per well into 500 μL polypropylene 96-well plates (Nunc). After plating cells, 50 μL of Hu3738 or isotype control antibody at 2 μg/mL were added to corresponding wells in duplicate for each cell line and allowed to incubate on ice for 30 minutes. Following incubation, 200 μL of Dulbecco's Phosphate Buffered Saline (DPBS) was added into each well and plates were spun down at 1000 rpm for three minutes. Supernatants from each well were removed and 50 μL of Cy5-Donkey anti-Human IgG (Jackson ImmunoResearch) secondary antibody was added at a 1:250 dilution, which was then incubated for 30 minutes on ice in the dark. Following the incubation period, 200 μL of DPBS was added prior to spinning down the plate at 1000 rpm for three minutes. Supernatants were removed

and each well was re-suspended with  $100 \,\mu\text{L}$  of DPBS + 1% Formaldehyde. Samples were analyzed on the dual laser FACSCalibur flow cytometer (Becton Dickinson).

## 8.1.8. NF-κB Fluorescence Reporter Activity for Human and Rhesus OX40

[0143] Jurkat-NF-κB-huOX40 and 293-NF-κB-RhOX40, NF-κB reporter cell lines expressing the human and rhesus OX40 proteins, respectively, were maintained in culture media comprising DMEM containing 10% FBS and penicillin/streptomycin (100 U/mL). For the NF-κB reporter assay, the Jurkat-NF-κB-huOX40 cell line was resuspended in growth media (identical to culture media) at 1 million/mL (final 50,000 cells/well) and 293-NF-κB-RhOX40 cell line was resuspended in growth media at 0.5 million/mL (final 25,000 cells/well). 50 μL/well were transferred to the inner 60 wells of a white/clear bottom 96-well assay plate (Costar 3903). A 3X stock of the following antibodies were made in a separate U-bottom 96-well dilution plate (Becton Dickinson): anti-PD-1 antibody used as a negative control antibody, and anti-OX40 antibody. The dilution series to test the activity of the antibodies without exogenous cross-linker included 2000, 500, 125, 31.25, 7.812, 1.953, 0.488, 0.122, 0.0305, 0.00762 nM in culture media. The dilution series to test the effect of cross-linker on the activity of the anti-OX40 antibody included 200, 50, 12.5, 3.125, 0.7812, 0.1953, 0.0488, 0.0122, 0.00305, 0.000762 nM antibody. In duplicate, 50 µL/well of the antibodies were transferred into respective wells of the assay plate. To the antibody alone plates, 50 µL/well of media was added to the inner 60 wells. For the cross-linker dilution series, goat anti-human IgG Fc specific (Jackson ImmunoResearch) was diluted to 800, 200, 50, 12.5, 3.125, 0.7812, 0.1953, 0.0488, 0.0122, 0.00305 nM and 50 μL/well transferred to the inner 60 wells to maintain a 4:1 ratio of anti-OX40 antibody and cross-linker. Growth media (150 µL) was added to the outer wells to prevent evaporation in the inner 60 wells. Plates were incubated at 37 °C for approximately 18 hours. Luciferase activity was quantified with BriteLite Plus (Perkin Elmer). Briefly, substrate was dissolved with 10 mL of vendor-provided buffer and 75 µL substrate/well was added to the inner 60 wells of each plate. The plates were analyzed on the Victor5 (Molecular Devices) using the Luminescence settings.

# 8.1.9. ADCC Reporter Assay

[0144] ADCC effector cells expressing human Fc $\gamma$ RIII (Promega) were thawed and grown as per protocol recommendations. Cells were split twice before use. HEK293 cells stably transfected with either human or rhesus OX40 were used as target cells. These cells were propagated in HyClone<sup>TM</sup> DMEM with 10% heat inactivated FBS (Sigma) and 5  $\mu$ g/mL Blasticidin (Gibco Life Technologies).

[0145] On the day prior to the assay, OX40-expressing HEK293 target cells were harvested with 0.25% Trypsin (Gibco Life Technologies). Cells were washed, counted, and plated at 10,000 cells/well in 96-well Costar Plates (Corning). Plates were incubated at 37°C overnight in DMEM 10% FBS. ADCC Bioassay Effector Cells, Propagation Model protocol G7102 was followed for the assay. Effector to Target cell ratio was 7.5:1. Luminescence was measured with EnSpire Alpha reader (Perkin Elmer) using EnSpireManager software. Antibodies that were tested in this assay included isotype control antibody and anti-OX40 antibodies.

## 8.1.10. Anti-OX40 Antibody Binding to Activated Human CD4+ T Cells

[0146] Human PBMCs were isolated from buffy coats purchased from Stanford Blood Center (Palo Alto, CA). Briefly, buffy coats were diluted in a 1:1 ratio with PBS without magnesium and calcium (GE Healthcare). Diluted blood (30 mL) was layered over 15 mL of 90% Ficoll-Paque Plus (GE Healthcare) prepared in PBS without magnesium and calcium (GE Healthcare) contained in SepMate tubes (Stemcell Technologies). The tubes were spun at 1200 g for 10 minutes. The interphase was collected and washed twice in 1X PBS. CD4+ T cells were isolated using Stemcell Technologies CD4 enrichment kit (Stem Cell Technologies). Cells were resuspended to 2x10<sup>6</sup> cells/mL in RPMI/10%FBS. Dynal CD3/28 beads (Life Technologies) were added at a 1:1 ratio. Cells were incubated on an end over end rotator at room temp for 20 minutes. The cells were cultured in 6-well plates for 24 hours at 37 °C.

[0147] After 24 hours, the beads were removed with a magnet. Cells were counted and resuspended to  $1.5 \times 10^6$ /mL. An aliquot of the cell suspension (100  $\mu$ L) was used per stain. Test antibody was titrated in a 4-fold dilution series starting at 1  $\mu$ g/mL. Cells were stained for 30 minutes and washed twice. A 1:250 dilution of (4  $\mu$ g/mL) of Goat anti Human Fc specific-PE/well (Jackson ImmunoResearch) was added in 100  $\mu$ L/well PBS containing 1% BSA. Cells were stained for an additional 30 minutes and washed twice, transferred to tubes and acquired using the BD LSR Fortessa flow cytometer, and analyzed using FACSDiva analysis software version 8.0.1.

# 8.1.11 Anti-OX40 Antibody Binding to Activated Cynomolgus T Cells

[0148] Cynomolgus monkey whole blood was purchased from Worldwide Primates. For isolation of PBMCs, whole blood was diluted in a 1:1 ratio with PBS without magnesium and calcium (GE Healthcare). Diluted blood (30 mL) was layered under 13 mL of 95% Ficoll-Paque Plus (GE Healthcare) prepared in PBS without magnesium and calcium (GE Healthcare) in 50-mL conical tubes. The tubes were spun at 1000 g for 25 minutes. The interphase was collected and washed twice

in 1X PBS. Cells were resuspended to 2 x  $10^6$  cells/mL in RPMI/10% FBS. Cells were incubated for 72 hours with 10 mg/mL phytohemagglutinin (PHA) (Sigma) and 100 U/mL recombinant human interleukin-2 (IL-2) (Proleukin®, Prometheus) in 6-well plates. After 24 hours, cells were washed, counted and resuspended to  $2x10^6$ /mL. 100  $\mu$ L of the cells were used per stain. Test anti-OX40 antibody was titrated in a 4-fold dilution series starting at 1  $\mu$ g/mL. Cells were stained for 30 minutes and washed twice. A 1:250 dilution (4  $\mu$ g/mL) of Goat anti-Human IgG Fc specific-PE (Jackson ImmunoResearch) in 100  $\mu$ L PBS containing 1% BSA was added per well. Cells were stained for an additional 30 minutes and washed twice, transferred to tubes and acquired using the BD LSR Fortessa flow cytometer, and analyzed using FACSDiva analysis software version 8.0.1.

# 8.1.12. Activated Human T Cell Proliferation and IFN-γ Induction

[0149] Human buffy coats were purchased from Stanford Blood Center (Palo Alto, CA). For isolation of human PBMCs, buffy coats were diluted in a 1:1 ratio with PBS without magnesium and calcium (GE Healthcare). Diluted blood (30 mL) was layered over 15 mL of 90% Ficoll-Paque Plus (GE Healthcare) prepared in PBS without magnesium and calcium (GE Healthcare) contained in SepMate tubes (Stemcell Technologies). The tubes were spun at 1200 g for 10 minutes. The interphase was collected and washed twice in 1X PBS. CD4+ T cells were isolated from the PBMCs using EasySep CD4+ T cell enrichment kit (Stemcell Technologies). CD4+ T cells were cultured at 2 x 10<sup>6</sup> cells/mL in RPMI+10% FCS plus 2 μg/mL PHA (Sigma) and 20 IU/mL recombinant human IL-2 (Proleukin®, Prometheus) in 6-well plates for 72 hours.

[0150] Biocoat T cell activation control plates-96-well plates (Corning) were coated with 2 μg/mL goat anti-mouse IgG Fc-specific (Jackson ImmunoResearch) and 2 μg/mL goat anti-human IgG-Fc specific (Jackson ImmunoResearch) in 100 μL/well PBS overnight at 4 °C. Plates were blocked with 200 μL/well of 1% BSA (Rockland) in PBS for 30 minutes at room temp. Plates were washed twice with 200 μL/well PBS. 4 ng/mL of anti-human CD3 OKT3 (eBioscience) was added in 100 μL/well PBS and incubated for 90 minutes at 37°C. Plates were washed twice with 200 μL/well PBS. A 3-fold dilution series of anti-OX40 antibody and isotype control monoclonal antibody starting at 5 μg/mL was added to the plates in 100 μL PBS. The plates were incubated for 90 minutes at 37°C. Plates were washed twice with 200 μL/well PBS. The washed PHA and IL-2 activated CD4+ T cells (2 x  $10^5$ ) were added to each well.

[0151] After 48 hours of culture at 37°C, 30 μL of supernatant from each duplicate was pooled for IFN-γ analysis with Luminex (Millipore) and analyzed on Bioplex Manager 6.0 (BioRad). Plates

were pulsed with 0.25 μCi <sup>3</sup>H-thymidine (Perkin Elmer) overnight and harvested the following morning on Filtermats (Perkin Elmer) with 5 mL Ultima Gold Scintillation fluid (Perkin Elmer). Filtermats were counted on 1450 Microbeta Wallac Trilux counter (PerkinElmer).

## 8.1.13. Human Regulatory T Cell Suppression Assays

[0152] Fresh peripheral blood mononuclear cells (PBMCs) were obtained from AllCells or Stemcell Technologies. Cells were spun down, the cell pellet was resuspended with 1X PBS and spun down once again at 1200 rpm for 10 minutes at room temperature. Supernatants were removed and cells were then resuspended with RoboSep buffer (Stemcell Technologies). Cell viability and cell count were determined using the Vi-Cell XR cell Counter Beckman Coulter. 100-150 million cells were set aside for CD4+ T cell enrichment using Stemcell EasySep Human CD4+ T cell Enrichment Kit. The enriched CD4+ T cells were then depleted of CD25+ cells using Stemcell EasySep Human CD25+ Selection kit. This process resulted in purified CD4+/CD25- responder T cells (Tresp). Residual PBMC were used for isolating regulatory T cells (Treg) following instructions from the Stemcell EasySep Human CD4+/CD127low/CD49d- Regulatory T cell Enrichment Kit. After isolation of CD4+/CD25- Tresp and Treg, cells were resuspended with RPMI 1640 with 10% heat inactivated FBS and 0.01 mM 2-Mercaptoethanol at 1 x 106 cells/mL and 5 x 105 cells/mL respectively.

[0153] Treg Suppression assay was set up using two different ratios of Tresp to Treg at 2:1 and 4:1. For a 2:1 ratio,  $5 \times 10^4$  Tresp cells and  $2.5 \times 10^4$  Treg cells were added to 96-well U-bottom plates. For a 4:1 ratio,  $5 \times 10^4$  Tresp cells and  $1.25 \times 10^4$  Treg cells were added to the 96-wells plate. Treg Suppression Inspector bead reagent (Miltenyi Biotec) was also added to wells at 1:1 bead-to-cell ratio for stimulation. Anti-OX40 antibody and isotype control human IgG<sub>1</sub> were tested in triplicate at 10 µg/mL final concentration in the absence or presence of F(ab')<sub>2</sub> goat anti-human (GxHu) IgG, Fc specific (Jackson ImmunoResearch) at 1:4 ratio. Plates were incubated at 37 °C in 5% CO<sub>2</sub> for four days. Plates were treated with 1 µCi/well <sup>3</sup>H-thymidine and further incubated for another 16 hours at 37 °C in 5% CO<sub>2</sub>. After incubation, plates were harvested and proliferation measured using Ultima Gold Scintillation fluid (Perkin Elmer) and the 1450 Microbeta Wallac Trilux scintillation counter (PerkinElmer).

## 8.1.14. Human Immune Cell-Engrafted PC-3 Mouse Tumor Model

[0154] On the day of inoculation, human T cells, autologous human moDC (monocyte-derived dendritic cells) and PC-3 cells were counted by Vi-Cell XR (Beckman Coulter) and combined to deliver a subcutaneous injection of  $1 \times 10^7$  PC-3,  $1 \times 10^6$  T cells and  $5 \times 10^5$  moDC per NSG mice

(NOD.Cg- $Prkdc^{scid}$   $Il2rg^{tm1Wjl}$ /SzJ mouse) in 100  $\mu$ L Dulbecco's Phosphate Buffered Saline (DPBS) (GE Lifesciences). Treatment groups (n = 8 mice/group) of 10 mg/kg isotype control monoclonal antibody and 10 mg/kg Hu3738 were prepared in 200  $\mu$ L DPBS for intraperitoneal injection. A single antibody dose was injected at the time of cell-mixture inoculation. Measurement of tumor growth was assessed by standard caliper measurement and tumor growth volume was calculated (Length x width x height/2).

#### 8.1.15. Human PBMC GVHD Model in NSG Mice

[0155] Human peripheral blood mononuclear cells (PBMCs) were purchased from AllCells (Oakland, CA). Immunodeficient NSG mice (NOD.Cg-*Prkdc*<sup>scid</sup> *Il2rg*<sup>tm1Wjl</sup>/SzJ) were inoculated with 2 x 10<sup>7</sup> human PBMC intraperitoneally on day 1. Anti-OX40 antibody Hu3738 or isotype control was administered intraperitoneally once a week starting on day 1. Once mice exhibited behavioral signs of graft-versus-host disease (GVHD) (*e.g.*, hunched posture, ruffled fur), serum samples were obtained, and levels of cytokines in the serum were determined using a Luminex bead array assay (Millipore).

## **Example 2:** Generation and Humanization of Mouse Anti-OX40 Antibodies

[0156] Mice were immunized according to the methods known in the art (E. Harlow, D. Lane. Antibody: A Laboratory Manual, (Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY, 1998)). Isotype of each monoclonal antibody was determined using the Mouse Isotyping kit (Roche). Hybridoma clones producing antibodies of interest were purified and further characterized for affinity by surface plasmon resonance and for ligand competition by FACS.

[0157] Cloning and construction of the expression vector were accomplished by methods known in the art for expression of recombinant monoclonal antibodies.

[0158] Humanization of the antibody V region was carried out as outlined by Queen, C. et al. (Proc. Natl. Acad. Sci. USA, 1989; 86:10029-10033). The canonical structures of the CDRs were determined according to Huang et al. (Methods, 2005; 36:35-42). Human variable germline sequences with the same or most similar CDR canonical structures were identified, and appropriate human  $V_H$ ,  $V_L$ , and J segment sequences were selected to provide the frameworks for the anti-OX40 variable region. At framework positions in which the computer model suggested significant contact with the CDRs, the amino acids from the murine anti-OX40 V regions were substituted for the original human framework amino acids (back-mutations).

[0159] Anti-OX40 mouse antibodies Mu3726, Mu3738, Mu3739, and Mu3741 were humanized according to the method described above. The humanized version of Mu3726  $V_{\rm H}$  was Hu3726  $V_{\rm H}$ .1a which had human V<sub>H</sub>4-28 framework regions, with seven back mutations of I48M, V67I, M69I, V71R, F78V, A93V, and R94K. Hu3726 V<sub>H</sub>.1a was combined with its respective humanized light chain Hu3726 V<sub>L</sub>.1b which had human VK1-39 framework regions, with two back mutations of Y48F and F71Y. The humanized version of Mu3738  $V_{\rm H}$  was Hu3738  $V_{\rm H}$ .1b which had human  $V_{\rm H}$  3-7 framework regions, with one back mutation of W47L. Hu3738 V<sub>H</sub>.1b was combined with its respective humanized light chain Hu3738 V<sub>L</sub>.1 which had human VK4-1 framework regions and no back mutations. The humanized version of Mu3739  $V_{\rm H}$  was Hu3739  $V_{\rm H}.1b$  which had human  $V_{\rm H}1$ -69 framework regions, with four back mutations of M48I, V67A, E73T, and S76N. Hu3739 V<sub>H</sub>.1b was combined with its respective humanized light chain Hu3739 V<sub>L</sub>.1b which had human VK1-39 framework regions, with two back mutations of V58L and F71Y. The humanized version of Mu3741 V<sub>H</sub> was Hu3741 V<sub>H</sub>.2b which had human V<sub>H</sub>3-66 framework regions, with seven back mutations of A24V, V48L, S49G, F67L, R71K, N76S, and L78V. Hu3741 V<sub>H</sub>.2b was combined with its respective humanized light chain Hu3741 V<sub>L</sub>.1c which had human VK1-39 framework regions, with two back mutations of Y36F and F71Y.

### **Example 3:** Binding Affinity of the Anti-OX40 Antibodies

[0160] Table 3-1 below shows in vitro binding affinity data of exemplary anti-OX40 antibody Hu3738, or literature anti-OX40 antibodies 11D4 or 18D8 described in US Patent No. 7,960,515. Each of 11D4 and 18D8 is a human IgG<sub>1</sub>, with a light kappa region.

[0161] As used herein, 11D4 has a  $V_H$  with amino acid sequence according to:

EVQLVESGGGLVQPGGSLRLSCAASGFTFSSYSMNWVRQAPGKGLEWVSYISSSSSTIDYAD SVKGRFTISRDNAKNSLYLQMNSLRDEDTAVYYCARESGWYLFDYWGQGTLVTVSS (SEQ ID NO:61), and

a  $V_{\text{L}}$  with amino acid sequence according to:

DIQMTQSPSSLSASVGDRVTITCRASQGISSWLAWYQQKPEKAPKSLIYAASSLQSGVPSRFS GSGSGTDFTLTISSLQPEDFATYYCQQYNSYPPTFGGGTKVEIK (SEQ ID NO:62).

[0162] 18D8 has a V<sub>H</sub> with amino acid sequence according to:

EVQLVESGGGLVQPGRLSRLSCAASGFTFDDYAMHWVRQAPGKGLEWVSGISWNSGSIGYA DSVKGRFTISRENAKNSLYLQMNSLRAEDTALYYCAKDQSTADYYFYYGMDVWGQGTTVT VSS (SEQ ID NO:63), and

a  $V_L$  with amino acid sequence according to:

EIVVTQSPATLSLSPGERATLSCRASQSVSSYLAWYQQKPGQAPRLLIYDASNRATGIPARFS GSGSGTDFTLTISSLEPEDFAVYYCQQRSNWPTFGQGTKVEIK (SEQ ID NO:64).

**[0163]** Hu3738 exhibited potent binding properties to human OX40 by surface plasmon resonance, or in transfected Jurkat NF-κB reporter cells expressing human OX40 as measured in the assays of Example 1, and higher binding affinity by SPR as compared with Hu3739 or Hu3741.

Table 3-1 Binding Properties of Exemplary Antibodies against Human OX40			
Antibody $K_D(M)^*$ $k_d(1/\sec)^*$ Jurkat cell surface binding EC <sub>50</sub> (ng/mL)			
11 <b>D</b> 4	1.6E-09	2.7E-04	55
18D8	9.1E-09	1.1E-01	258
Hu3738	4.2E-08	4.2E-02	75
Hu3739	3.6E-07	1.6E-02	N/A
Hu3741	3.0E-06	3.1E-01	N/A

<sup>\*</sup>as determined by surface plasmon resonance according to Example 1; exponential notation shown (e.g.,  $3.0\text{E}-09 = 3.0 \times 10^{-9}$ ); N/A = not available.

**[0164]** Exemplary anti-OX40 antibody Hu3738 exhibited cross reactivity against cynomolgus or rhesus monkey OX40, but did not demonstrate significant binding against mouse or rat OX40. The binding activity of Hu3738 to recombinant human or cynomolgus (cyno) OX40, or to cell-surface human or rhesus monkey OX40, as determined by the assays described in Example 1 is summarized in Table 3-2.

Table 3-2 Binding Properties of Hu3738 against Human, Cynomolgus or Rhesus OX40			
Assay EC <sub>50</sub> (nM)		EC <sub>50</sub> (nM)	
ELISA	Human	0.044	
	Cyno	0.039	
Jurkat NF-кВ cell	Human	0.50	
	rhesus	2.1	

**Example 4:** In Vitro Biological Activity of Anti-OX40 Antibody Hu3738

[0165] To assess binding of Hu3738 to endogenously expressed human OX40, both activated CD4+ T cells and unstimulated peripheral blood mononuclear cells (PBMC) were examined by flow cytometry. Table 4-1 summarizes the data for binding of Hu3738 on cell surface OX40 on CD3/CD28 bead activated human CD4+ T cells, or phytohemagglutinin (PHA) and interleukin-2 (IL-2) activated cynomolgus monkey CD4+ T cells, according to the assays described in Example 1. As shown in Table 4-1, Hu3738 potently bound to OX40 on activated CD4+ T cells in human and cynomolgus T cell cultures.

Table 4-1		
CD4+ T cell Binding of Exemplary Antibody Hu3738		
OX40 Species EC <sub>50</sub> (nM)		
Human	0.053	
Cynomolgus	0.024	

[0166] The subnanomolar binding of human OX40 by Hu3738 afforded functional activation as demonstrated by increased proliferation of human peripheral blood CD4+ T cells and enhanced interferon- $\gamma$  production by human CD4+ T cells after in vitro treatment with Hu3738 according to the assays described in Section 8.1.12 (FIGS. 1A, 1B). As shown in a typical experiment depicted in FIG. 1A, Hu3738 effected an increased proliferation of human peripheral blood CD4+ T cells of from about 1.5- to about 6-fold as compared to isotype control huIg $G_1$ , which was comparable or greater than the increase in proliferation observed when T cells were dosed with an equivalent amount of

literature anti-OX40 antibody 11D4 or 18D8. Hu3738 showed EC<sub>50</sub> = 0.11 nM (16 ng/mL) in an average of runs from eight donors.

[0167] FIG. 1C shows that proliferation of human peripheral blood CD4+ T cells after in vitro treatment with Hu3738 was similar to literature anti-OX40 antibody 1A7 over a broad range of antibody concentrations from about 0.001 to about  $1 \mu g/mL$ , when each was tested according to the T cell proliferation assay described in Section 8.1.12.

**[0168]** Antibody 1A7 described in US publication no. 2015/0307617 is a human  $IgG_1$  with kappa light chains, having a  $V_H$  amino acid sequence according to:

EVQLQQSGPELVKPGASVKISCKASGYTFTDSYMSWVKQSHGKTLEWIGDMYPDNGDSSYN QKFREKVTLTVDKSSTTAYMEFRSLTSEDSAVYYCVLAPRWYFSVWGTGTTVTVSS (SEQ ID NO:69), and

a V<sub>L</sub> amino acid sequence according to:

DIQMTQTTSSLSASLGDRVTISCRASQDISNYLNWYQQKPDGTVKLLIYYTSRLRSGVPSRFS GSGSGKDYFLTISNLEQEDVAAYFCQQGHTLPPTFGGGTKLEIK (SEQ ID NO:70).

[0169] As shown in a typical experiment depicted in FIG. 1B, IFN- $\gamma$  production increased in human CD4+ T cells from about 2- to about 10-fold when treated with Hu3738 across the concentration range tested. With regard to IFN- $\gamma$  production, Hu3738 exhibited EC<sub>50</sub> = 0.16 nM (24 ng/mL) in an average of runs from nine donors. Literature anti-OX40 antibodies 11D4 and 18D8 also demonstrated a similar effect on IFN- $\gamma$  production under these assay conditions.

[0170] FIG. 1D shows that Hu3738 effects a higher IFN-γ production increase in human CD4+ T cells as compared with literature anti-OX40 antibody 1A7, when each was tested according to the T cell IFN-γ production assay described in Section 8.1.12.

[0171] In addition to the downstream signaling activation effects in increasing proliferation of CD4+ T cells and enhancing production of IFN-γ, the exemplary anti-OX40 antibody Hu3738 also inhibited human T regulatory cell activity in vitro, suggesting that T regulatory cells within a solid tumor, which can inhibit an immunological response by the body to attack the tumor, may be suppressed with administration of Hu3738.

[0172] The effect of Hu3738 on T regulatory activity was assessed in vitro according to the assay described in Section 8.1.13. Autologous CD4+/CD25-T responder (Tresp) cells were co-cultured

with CD4+/CD25+/CD127low T regulatory (Treg) cells and activator beads (Insp) at a 2:1 or a 4:1 Tresp: Treg ratio (FIGS. 2A, 2B). In the absence of Treg, the Tresp cells proliferated in response to the activator beads. In the presence of Treg, proliferation was inhibited. Inclusion of  $10 \,\mu\text{g/mL}$  Hu3738 in the culture media had no impact on the Treg mediated suppression. The isotype control used for these T regulatory suppression assay was huIg $G_1$  with the constant region variants L234A and L235A. Separate experiments performed using the huIg $G_1$  isotype control with cross-linker showed no effect on the assay.

[0173] By contrast, in the presence of an exogenous cross-linker, Hu3738 resulted in complete restoration of the Tresp proliferation (FIGS. 2A and 2B). Hu3738 in the presence of cross-linker enhanced proliferation in this assay above the level of the Tresp response to the activator beads alone. This result suggested that OX40 signals may overcome T regulatory cell mediated suppression and may enhance antigen-specific responses consistent with results reported above.

**[0174]** ADCC activity mediated by Hu3738 was evaluated using a commercially available ADCC reporter assay as described in Section 8.1.9. This assay utilized engineered Jurkat cells expressing human Fc $\gamma$ RIIIa and a nuclear factor of activated T cells (NFAT) reporter as the effector cells. HEK 293 cells expressing human OX40 were used as target cells, and the anti-OX40 antibody was expected to bind to OX40 expressed on the target cells. Additionally, the Fc region of Hu3738 was expected to bind to Fc $\gamma$ RIIIa receptors on the cell surface of the reporter cells. These binding events would have resulted in multiple cross-linking of the two cell types leading to ADCC reporter activity activation, an effect that was measured through luminescence readout as a result of the NFAT pathway activation. Compared to isotype control, Hu3738 increased ADCC reporter activity, with an EC<sub>50</sub> = 0.51 nM (77 ng/mL).

### **Example 5:** Epitope Classification of Exemplary Anti-OX40 Antibodies

## 8.5.1. Binding of Hu3738 with Human/Mouse Chimeric OX40

[0175] Soluble OX40L blocked Hu3738 binding to OX40 with an IC<sub>50</sub> = 67 pM (10 ng/mL) in a human OX40-expressing Jurkat cell assay described in Section 8.1.5 (FIG. 3), suggesting that Hu3738 bound to human OX40 in the ligand binding region of the molecule.

[0176] For more detailed epitope mapping of Hu3738 antibody binding, a series of cell lines expressing human/mouse cysteine-rich domain (CRD)-swapped OX40 molecules were created. This method is based on the observation that Hu3738 does not bind to mouse OX40 (FIG. 4B). A

sequence alignment of human OX40 (SEQ ID NO:1) with mouse OX40 (SEQ ID NO:3) is shown in FIG. 4A. From the analysis of the sequences, a series of 293s transfectants expressing chimeric versions of the human OX40 receptor with swapped-in mouse CRD sequences were generated and stained with Hu3738.

[0177] The amino acid sequences (including signal sequences) of the human-mouse OX40 receptor chimeras, with murine swapped-in regions indicated as underline, are as follows. The human OX40 chimera with murine CRDI replacing human CRDI has an amino acid sequence according to:

MCVGARRLGRGPCAALLLLGLGLSTVTGLNCVKHTYPSGHKCCRECQPGHGMVSRCDHTR <u>DTLCH</u>PCGPGFYNDVVSSKPCKPCTWCNLRSGSERKQLCTATQDTVCRCRAGTQPLDSYKP GVDCAPCPPGHFSPGDNQACKPWTNCTLAGKHTLQPASNSSDAICEDRDPPATQPQETQGPP ARPITVQPTEAWPRTSQGPSTRPVEVPGGRAVAAILGLGLVLGLLGPLAILLALYLLRRDQRL PPDAHKPPGGGSFRTPIQEEQADAHSTLAKI (SEQ ID NO:5).

the human OX40 chimera with murine CRDII replacing human CRDII has an amino acid sequence according to:

MCVGARRLGRGPCAALLLLGLGLSTVTGLHCVGDTYPSNDRCCHECRPGNGMVSRCSRSQ NTVCRPCETGFYNEAVNYDTCKQCTQCNHRSGSELKQNCTPTQDTVCRCRAGTQPLDSYKP GVDCAPCPPGHFSPGDNQACKPWTNCTLAGKHTLQPASNSSDAICEDRDPPATQPQETQGPP ARPITVQPTEAWPRTSQGPSTRPVEVPGGRAVAAILGLGLVLGLLGPLAILLALYLLRRDQRL PPDAHKPPGGGSFRTPIQEEQADAHSTLAKI (SEQ ID NO:6),

the human OX40 chimera with murine CRDIII replacing human CRDIII has an amino acid sequence according to:

MCVGARRLGRGPCAALLLLGLGLSTVTGLHCVGDTYPSNDRCCHECRPGNGMVSRCSRSQ NTVCRPCGPGFYNDVVSSKPCKPCTWCNLRSGSERKQLCTATQDTVCRCRPGTQPRQDSGY KLGVDCVPCPPGHFSPGDNQACKPWTNCTLAGKHTLQPASNSSDAICEDRDPPATQPQETQG PPARPITVQPTEAWPRTSQGPSTRPVEVPGGRAVAAILGLGLVLGLLGPLAILLALYLLRRDQ RLPPDAHKPPGGGSFRTPIQEEQADAHSTLAKI (SEQ ID NO:7),

the human OX40 chimera with murine CRDIV replacing human CRDIV has an amino acid sequence according to:

GVDCAPCPPGHFSPGNNQACKPWTNCTLSGKQTRHPASDSLDAVCEDRDPPATQPQETQGP PARPITVQPTEAWPRTSQGPSTRPVEVPGGRAVAAILGLGLVLGLLGPLAILLALYLLRRDQR LPPDAHKPPGGGSFRTPIQEEQADAHSTLAKI (SEQ ID NO:8),

and the human OX40 chimera with murine CRDII and CRDIII replacing human CRDII and CRDIII has an amino acid sequence according to:

MCVGARRLGRGPCAALLLLGLGLSTVTGLHCVGDTYPSNDRCCHECRPGNGMVSRCSRSQ NTVCRPCETGFYNEAVNYDTCKQCTQCNHRSGSELKQNCTPTQDTVCRCRPGTQPRQDSGY KLGVDCVPCPPGHFSPGDNQACKPWTNCTLAGKHTLQPASNSSDAICEDRDPPATQPQETQG PPARPITVQPTEAWPRTSQGPSTRPVEVPGGRAVAAILGLGLVLGLLGPLAILLALYLLRRDQ RLPPDAHKPPGGGSFRTPIQEEQADAHSTLAKI (SEQ ID NO:9).

[0178] Binding determination of Hu3738 to this series of chimeras was performed according to the assay described in Section 8.1.7 to localize the binding site to specific CRD regions. A loss in binding suggested which CRDs were critical for OX40 recognition by a particular antibody. In this instance, the absence of detectable binding to a specific CRD-swapped region in the mouse suggested the region of human OX40 receptor recognized by Hu3738. The anti-OX40 antibody Hu3738 was shown to lose binding when the human CRDII was replaced with the corresponding mouse CRDII, consistent with Hu3738 binding to CRDII of human OX40 (FIG. 4B).

# 8.5.2. Competition Assay with Exemplary anti-OX40 Antibody Hu3738 Bound to Human OX40

[0179] Additional literature humanized anti-OX40 antibodies were generated to compare with the exemplary anti-OX40 antibodies of the disclosure. Antibodies 106-222 and 119-122, described in US publication no. 2013/0280275, are human IgG<sub>1</sub> with kappa light chains.

[0180] Antibody 106-222 has a V<sub>H</sub> amino acid sequence according to:

QVQLVQSGSELKKPGASVKVSCKASGYTFTDYSMHWVRQAPGQGLKWMGWINTETGEPT YADDFKGRFVFSLDTSVSTAYLQISSLKAEDTAVYYCANPYYDYVSYYAMDYWGQGTTVT VSS (SEQ ID NO:65), and

a  $V_L$  amino acid sequence according to:

DIQMTQSPSSLSASVGDRVTITCKASQDVSTAVAWYQQKPGKAPKLLIYSASYLYTGVPSRFS GSGSGTDFTFTISSLQPEDIATYYCQQHYSTPRTFGQGTKLEIK (SEQ ID NO:66).

[0181] Antibody 119-122 has a V<sub>H</sub> amino acid sequence according to:

EVQLVESGGGLVQPGGSLRLSCAASEYEFPSHDMSWVRQAPGKGLELVAAINSDGGSTYYP DTMERRFTISRDNAKNSLYLQMNSLRAEDTAVYYCARHYDDYYAWFAYWGQGTMVTVSS (SEQ ID NO:67), and

a V<sub>L</sub> amino acid sequence according to:

EIVLTQSPATLSLSPGERATLSCRASKSVSTSGYSYMHWYQQKPGQAPRLLIYLASNLESGVP ARFSGSGSGTDFTLTISSLEPEDFAVYYCQHSRELPLTFGGGTKVEIK (SEQ ID NO:68).

[0182] Binding of Hu3738 to cell-surface expressed OX40 was shown to be competitive with some but not all literature anti-OX40 antibodies by direct competition studies. As shown in FIG. 5, Jurkat-NF-κB-huOX40 cells treated with a dose titration of literature antibodies, then were subsequently subjected to 2 μg/mL of fluorescent ALEXA FLUOR® 647-labeled Hu3738 to determine binding competition. Analysis was performed by flow cytometry. Literature anti-OX40 antibodies 106-222 or 1A7 were competitive with Hu3738. However, antibodies 11D4, 18D8, or 119-122 did not compete with Hu3738 up to 100 μg/mL.

## Example 6: OX40 Activation by Exemplary Anti-OX40 Antibody Hu3738

[0183] The Jurkat NF-κB cell data highlights the activating ability of exemplary anti-OX40 antibody Hu3738, even in the absence of a cross-linker (FIGS. 6A, 6B). As shown in FIG. 6A, the only anti-OX40 antibodies that demonstrated significant NF-κB signaling activity across the range of concentrations from about 0.001 to about 100 μg/mL antibody were Hu3738 and the corresponding murine Mu3738. Literature anti-OX40 antibodies 11D4, 18D8, 106-222, and 119-122 each lacked a significant NF-κB signaling effect up to about 100 μg/mL antibody.

[0184] The activity of Hu3738 in the absence of exogenous cross-linking contrasted to the lack of activity of other literature anti-OX40 antibodies under the same assay conditions. A summary of the NF-κB cell signaling data is shown in Tables 6-1 and 6-2. Notably, though Hu3738 competed to bind human OX40 with literature anti-OX40 antibodies 106-222 and 1A7, Hu3738 exhibited a different functional activity compared to each of the antibodies in the absence of a cross-linker.

Table 6-1 NF-кВ signaling in Jurkat-NF-кВ-huOX40 cells			
Antibody	EC <sub>50</sub> Without Cross-linker (nM)	EC <sub>50</sub> With Cross-linker (nM)	
Hu3738	20	0.088	
11 <b>D</b> 4	NS*	0.94	
18 <b>D</b> 8	NS*	0.50	
106-222	NS*	0.63	
119-122	NS*	0.34	
Isotype	NS*	NS*	

<sup>\*</sup> NS = no significant NF- $\kappa$ B signaling up to 100  $\mu$ g/mL antibody; N/A = not available.

[0185] In addition to its ability to effect NF- $\kappa$ B signaling in the absence of exogenous cross-linker in the Jurkat-NF- $\kappa$ B-huOX40 cell, Hu3738 also demonstrated greater potency as measured by EC<sub>50</sub> with cross-linker, compared with literature anti-OX40 antibodies 11D4, 18D8, 106-222, and 119-122 (Table 6-1).

[0186] A side-by-side comparison of Hu3738 with 1A7 is shown in FIG. 6B and summarized in Table 6-2 below. In addition to the lack of NF-κB signaling in the absence of exogenous cross-linker in the Jurkat-NF-κB-huOX40 cell, each of the literature anti-OX40 antibodies described above also exhibited a lower EC<sub>50</sub> as compared with Hu3738.

Table 6-2 NF-кВ signaling in Jurkat-NF-кВ-huOX40 cells		
Antibody	EC <sub>50</sub> Without Cross-linker (nM)	EC <sub>50</sub> With Cross-linker (nM)
Hu3738	22	0.020
1A7	NS*	0.066
Isotype	NS*	NS*

<sup>\*</sup> NS = no significant NF-κB signaling up to 100 µg/mL antibody.

Example 7: Anti-Tumor Activity of Hu3738 in Human Cell Adoptive
Transfer Model in Mouse

[0187] Hu3738 demonstrated anti-tumor activity in an in vivo NSG mouse model after a single dose inoculation with human PC3 cells, T cells and autologous monocyte-derived dendritic cells (moDC)

according to the protocol described in Section 8.1.14 (FIG. 7). On the day of inoculation, human T cells, moDC and PC3 cells were delivered by subcutaneous injection to each NSG mouse. Isotype control monoclonal antibody or Hu3738 (10 mg/kg) was each dosed intraperitoneally per animal in each treatment group (n = 8), with the antibody dose injected at the time of inoculation. Measurement of tumor growth was assessed by standard caliper measurement and tumor growth volume was calculated (Length x width x height/2).

[0188] As shown in FIG. 7, Hu3738 significantly inhibited the growth of the PC3 tumor in NSG mice 17 days post-inoculation as compared with an equivalent dose of isotype control antibody.

# **Example 8:** In Vivo Immune Activation in Human PBMC GVHD Model

[0189] NSG mice were inoculated with human PBMC intraperitoneally. The mice were then treated with 1 mg/kg Hu3738 or huIgG<sub>1</sub> isotype control q7d X 4 (*i.e.*, once every 7 days for a total of 4 doses), with the first dose at day 1 immediately after inoculation with human cells. On day 22, the mice were sacrificed and levels of cytokines in the serum were determined using a Luminex bead array assay (Millipore).

[0190] The results in FIG. 8 demonstrated that enhancement in interleukin-8 (IL-8), granulocyte macrophage colony-stimulating factor (GM-CSF), tumor necrosis factor alpha (TNF- $\alpha$ ), and interferon-gamma (IFN- $\gamma$ ) was observed after dosing of anti-OX40 antibody Hu3738 as compared with isotype, suggestive of an increase in the immunological response in the mouse due to Hu3738.

[0191] All publications, patents, patent applications and other documents cited in this application are hereby incorporated by reference in their entireties for all purposes to the same extent as if each individual publication, patent, patent application or other document were individually indicated to be incorporated by reference for all purposes.

[0192] While various specific embodiments have been illustrated and described, it will be appreciated that various changes can be made without departing from the spirit and scope of the invention(s).

## WHAT IS CLAIMED IS:

1. An anti-OX40 antibody which comprises (i) a  $V_{\rm H}$  chain comprising three CDRs; and (ii) a  $V_{\rm L}$  chain comprising three CDRs, wherein:

$V_{\rm H}$ CDR#1 is selected from:	GFTFSRYGMS	(SEQ ID NO:101),
	GYSIASGYYWN	(SEQ ID NO:111),
	GFNIKDTYMH	(SEQ ID NO:121),
	GFSLTSYGVH	(SEQ ID NO:131);
$V_{\rm H}$ CDR#2 is selected from:	TINSNGGRTYYPDSVKG	(SEQ ID NO:102),
	YISYDGSNNYNPSLG	(SEQ ID NO:112),
	RIDPANGNTKYDPKFQG	(SEQ ID NO:122),
	VIWSGGSTDYNAAFIS	(SEQ ID NO:132);
$V_{\rm H}$ CDR#3 is selected from:	EGITTAYAMDY	(SEQ ID NO:103),
	TLPYYFDY	(SEQ ID NO:113),
	GGPAWFVY	(SEQ ID NO:123),
	EEFDY	(SEQ ID NO:133);
$V_L$ CDR#1 is selected from:	KASQSVDYDGDSYMH	(SEQ ID NO:104),
	RASQDISNYLN	(SEQ ID NO:114);
$V_L$ CDR#2 is selected from:	AASILES	(SEQ ID NO:105),
	YTSRLHS	(SEQ ID NO:115),
	YTSRLRS	(SEQ ID NO:125);
$V_L$ CDR#3 is selected from:	QQSNEDPRT	(SEQ ID NO:106),
	QQGNTLPLT	(SEQ ID NO:116),
	QQGNTLPWT	(SEQ ID NO:126),
	QQGYTLPPT	(SEQ ID NO:136).

- 2. The anti-OX40 antibody of claim 1, having a  $V_{\rm H}$  chain comprising three CDRs of SEQ ID NOS: 101, 102, and 103; and a  $V_{\rm L}$  chain comprising three CDRs of SEQ ID NOS: 104, 105, and 106.
- 3. The anti-OX40 antibody of claim 1, which comprises a  $V_{\rm H}$  chain having an amino acid sequence according to:

EVQLVESGGGLVQPGGSLKLSCAASGFTFSRYGMSWVRQTPDKRLELVATINSNGG RTYYPDSVKGRFTISRDNAKNTLYLQMSSLKSEDTAMYYCAREGITTAYAMDYWG QGTSVTVSS (SEQ ID NO:21);

NVQLQESGPGLVKPSQSLSLTCSVTGYSIASGYYWNWIRQFPGNKLEWMGYISYDGS NNYNPSLGNRISITRDTSKNQVFLKLNSVTTEDTATYYCVKTLPYYFDYWGQGTTLT VSS (SEQ ID NO:23):

EVQLQQSGAELVKPGASVKLSCTASGFNIKDTYMHWVKQRPEQGLEWIGRIDPANG NTKYDPKFQGKATITADTSSNTAYLQLSSLTSEDTDVYYCARGGPAWFVYWGQGTL VTVSA (SEQ ID NO:25); or

QVQLKQSGPGLVQPSQSLSITCTVSGFSLTSYGVHWVRQSPGKGLEWLGVIWSGGST DYNAAFISRLSISKDNSKSQVFFKMNSLQADDTAIYCCAREEFDYWGQGTTLTVSS (SEQ ID NO:27);

and a V<sub>L</sub> chain having an amino acid sequence according to:

DIVLTQSPASLAVSLGQRATISCKASQSVDYDGDSYMHWYQQKPGQPPKLLIYAASI LESGIPARFSGSGSGTDFTLNIHPVEEEDAATYYCQQSNEDPRTFGGGTKLEIK (SEQ ID NO:31);

DIQMTQTTSSLSASLGDRVTISCRASQDISNYLNWYQQKPDGTVKLLIFYTSRLHSGV PSRFSGGGSGTDYSLTISNLEQEDIATYFCQQGNTLPLTFGAGTKLELK (SEQ ID NO:33);

DIQMTQTTSSLSASLGDRVTISCRASQDISNYLNWYQQKPDGTVKLLIYYTSRLRSGL PSRFSGSGSGTDYSLTISNLEQEDIATYFCQQGNTLPWTFGGGTKLEIK (SEQ ID NO:35); or

DIQMTQTTSSLSASLGDRVTISCRASQDISNYLNWFQQKPDGTVKLLIYYTSRLHSGV PSRFSGSGSGTDYSLTISNLEQEDIATYFCQQGYTLPPTFGGGTKLEIK (SEQ ID NO:37).

- 4. The anti-OX40 antibody of claim 1, 2, or 3, which is monoclonal.
- 5. The anti-OX40 antibody of claim 1, which is humanized.

6. The anti-OX40 antibody of **claim 5**, which comprises a V<sub>H</sub> chain having an amino acid sequence according to:

EVQLVESGGGLVQPGGSLRLSCAASGFTFSRYGMSWVRQAPGKGLELVATINSNGG RTYYPDSVKGRFTISRDNAKNSLYLQMNSLRAEDTAVYYCAREGITTAYAMDYWG QGTTVTVSS (SEQ ID NO:22);

EVQLQESGPGLVKPSDTLSLTCAVSGYSIASGYYWNWIRQPPGKGLEWMGYISYDGS NNYNPSLGNRITISRDTSKNQVSLKLSSVTAVDTAVYYCVKTLPYYFDYWGQGTTVT VSS (SEQ ID NO:24);

EVQLVQSGAEVKKPGSSVKVSCKASGFNIKDTYMHWVRQAPGQGLEWIGRIDPANG NTKYDPKFQGRATITADTSTNTAYMELSSLRSEDTAVYYCARGGPAWFVYWGQGT LVTVSS (SEQ ID NO:26); or

EVQLVESGGGLVQPGGSLRLSCAVSGFSLTSYGVHWVRQAPGKGLEWLGVIWSGGS TDYNAAFISRLTISKDNSKSTVYLQMNSLRAEDTAVYYCAREEFDYWGQGTTVTVSS (SEO ID NO:28):

and a V<sub>L</sub> chain having an amino acid sequence according to:

DIVMTQSPDSLAVSLGERATINCKASQSVDYDGDSYMHWYQQKPGQPPKLLIYAASI LESGVPDRFSGSGSGTDFTLTISSLQAEDVAVYYCQQSNEDPRTFGGGTKVEIK (SEQ ID NO:32);

DIQMTQTPSSLSASVGDRVTITCRASQDISNYLNWYQQKPGKAPKLLIFYTSRLHSGV PSRFSGSGSGTDYTLTISSLQPEDFATYYCQQGNTLPLTFGQGTKLEIK (SEQ ID NO:34);

DIQMTQSPSSLSASVGDRVTITCRASQDISNYLNWYQQKPGKAPKLLIYYTSRLRSGL PSRFSGSGSGTDYTLTISSLQPEDFATYYCQQGNTLPWTFGGGTKVEIK (SEQ ID NO:36); or

DIQMTQSPSSLSASVGDRVTITCRASQDISNYLNWFQQKPGKAPKLLIYYTSRLHSGV PSRFSGSGSGTDYTLTISSLQPEDFATYYCQQGYTLPPTFGGGTKVEIK (SEQ ID NO:38).

7. The anti-OX40 antibody of claim 5, which comprises a V<sub>H</sub> chain having an amino acid sequence according to SEQ ID NO:22; and a V<sub>L</sub> chain having an amino acid sequence according to SEQ ID NO:32.

- 8. The anti-OX40 antibody of **claim 5**, which comprises a V<sub>H</sub> chain having an amino acid sequence according to SEQ ID NO:24; and a V<sub>L</sub> chain having an amino acid sequence according to SEQ ID NO:34.
- 9. The anti-OX40 antibody of **claim 5**, which comprises a V<sub>H</sub> chain having an amino acid sequence according to SEQ ID NO:26; and a V<sub>L</sub> chain having an amino acid sequence according to SEQ ID NO:36.
- The anti-OX40 antibody of claim 5, which comprises a V<sub>H</sub> chain having an amino acid sequence according to SEQ ID NO:28; and a V<sub>L</sub> chain having an amino acid sequence according to SEQ ID NO:38.
- 11. The anti-OX40 antibody of any one of claims 1 to 10, which is an IgG.
- 12. The anti-OX40 antibody of claim 11, which is an  $IgG_1$ .
- 13. The anti-OX40 antibody of **claim 11** which comprises a heavy chain having an amino acid sequence according to any one of SEQ ID NOS: 41-48; and a light chain having an amino acid sequence according to any one of SEQ ID NOS: 51-54.
- 14. The anti-OX40 antibody of **claim 13** which comprises a heavy chain having an amino acid sequence according to SEQ ID NOS: 41 or 42; and a light chain having an amino acid sequence according to SEQ ID NO: 51.
- 15. The anti-OX40 antibody of claim 13 which comprises a heavy chain having an amino acid sequence according to SEQ ID NOS: 43 or 44; and a light chain having an amino acid sequence according to SEQ ID NO: 52.
- 16. The anti-OX40 antibody of **claim 13** which comprises a heavy chain having an amino acid sequence according to SEQ ID NOS: 45 or 46; and a light chain having an amino acid sequence according to SEQ ID NO: 53.

17. The anti-OX40 antibody of **claim 13** which comprises a heavy chain having an amino acid sequence according to SEQ ID NOS: 47 or 48; and a light chain having an amino acid sequence according to SEQ ID NO: 54.

- 18. The anti-OX40 antibody of any one of claims 1 to 17 which has a K<sub>D</sub> against human OX40 (SEQ ID NO:1) of less than about 100 nM.
- 19. A pharmaceutical composition comprising the anti-OX40 antibody of any one of claims 1 to18, and a pharmaceutically acceptable carrier.
- 20. A nucleic acid comprising a nucleotide sequence encoding the anti-OX40 antibody of any one of claims 1 to 18.
- 21. A vector comprising the nucleic acid of claim 20.
- 22. A prokaryotic host cell transformed with the vector of claim 21.
- 23. A eukaryotic host cell transformed with the vector of claim 21.
- 24. A eukaryotic host cell engineered to express the nucleic acid of claim 20.
- 25. The eukaryotic host cell of **claim 23 or 24** which is a mammalian host cell.
- A method of producing an anti-OX40 antibody, comprising: (a) culturing the host cell of claim 23 or claim 24 and (b) recovering the anti-OX40 antibody.
- A method of activating the immune system, comprising administering to a patient in need thereof the anti-OX40 antibody of any one of claims 1 to 18, or the pharmaceutical composition according to claim 18.
- A method of treating a cancer, comprising administering to a patient in need thereof the anti-OX40 antibody of any one of claims 1 to 18, or the pharmaceutical composition according to claim 19.

29. The method of **claim 28**, wherein the cancer is selected from bladder cancer, breast cancer, head and neck cancer, gastric cancer, kidney cancer, liver cancer, lung cancer, ovarian cancer, skin cancer, and a tumor with evidence of DNA mismatch repair deficiency.

- 30. The method of **claim 29**, wherein the lung cancer is small cell lung cancer, non-small cell lung cancer, or mesothelioma.
- 31. The method of **claim 28**, in which the anti-OX40 antibody is administered as a monotherapy.
- 32. The method of **claim 28**, in which the anti-OX40 antibody is administered adjunctive to or with another agent commonly used to treat the cancer.
- 33. The method of **claim 32**, in which the anti-OX40 antibody is administered adjunctive to or with an anti-PD-1 antibody.

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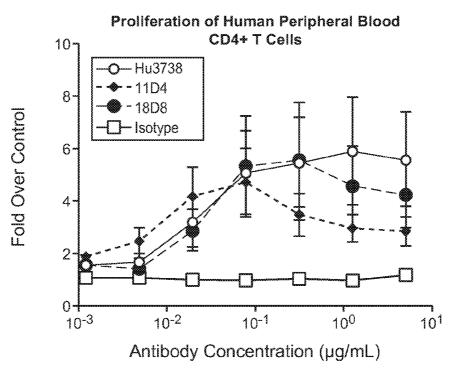
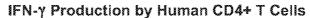


FIG. 1A



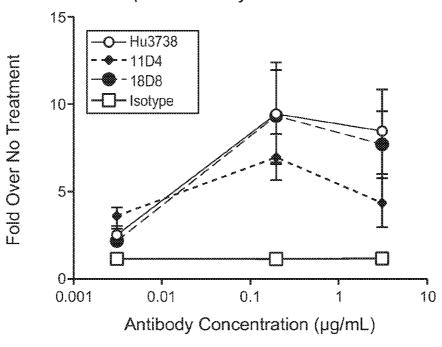


FIG. 1B

0.01

0.0001

0.001

FIG. 1C

Antibody (µg/mL)

--O---D---O---O

0.1

10

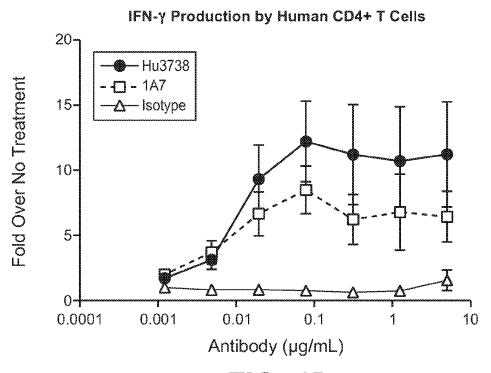
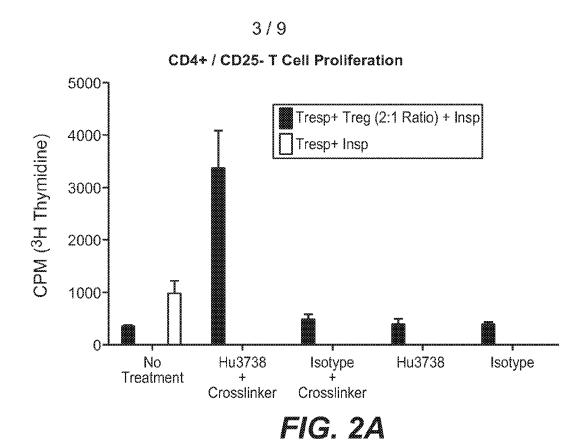
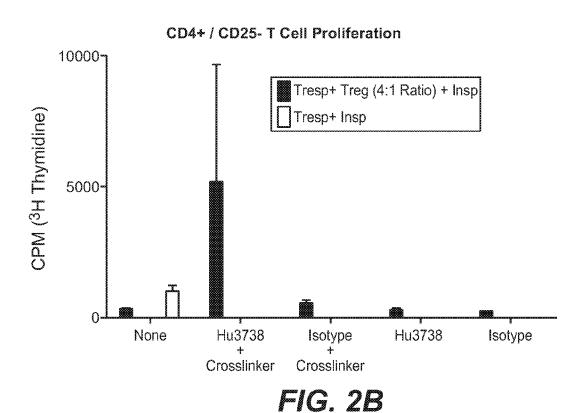


FIG. 1D





4 / 9 Inhibition of Antibody Binding by OX40L

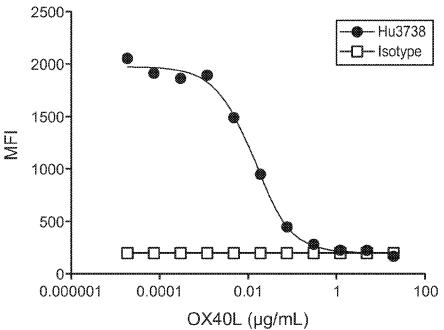


FIG. 3

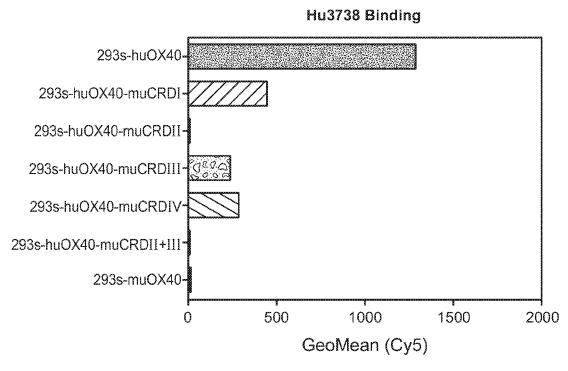


FIG. 4B

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YLLRRDORLPP

human mouse

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Signal Sequence

Transmembrane

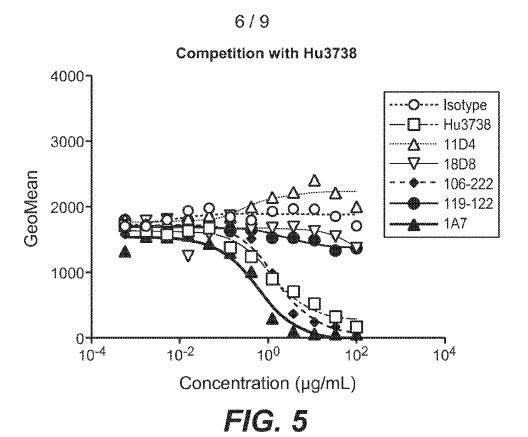
CRDIV

CRDII

CRDI

5/9

human	MCVGARRLGRGPCAALLLGLGLS-TVTGLHCVGDTYPSNDRCCHECRPGNGMVSRCSRS
mouse	MYVWVQQPTALLLGLTLGVTARRINCVKHTYPSGHKCCRECQPGHGMVSRCDHT
human mouse	QNTVCRPCGPGFYNDVVSSKPCKPCTWCNLRSGSERKQLCTATQDTVCRAGTQPLD
human mouse	SYKPGVDCAPCPPGHFSPGDNQACKPWTNCTLAGKHTLQPASNSSDAICEIDRDPPATQPQ GYKLGVDCV <mark>PCPPGHFSPGNNQACKPWTNCTLSGKQTRHPASDSLDAVCEJ</mark> DRSLLATLLW
human mouse	ETOGPPARPITVOPTEAWPRTSOGPSTRPVEVPGGRAVAAILGLGLVLGLLGPLAILLAL ETORPTFRPTTVOSTTVWPRTSELPSPPTLVTPEGPAFAVLLGLGLGLLAPLTVLLAL



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Jurkat-NFkB-HuOX40

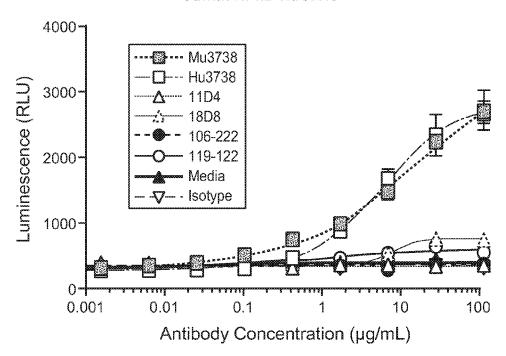


FIG. 6A

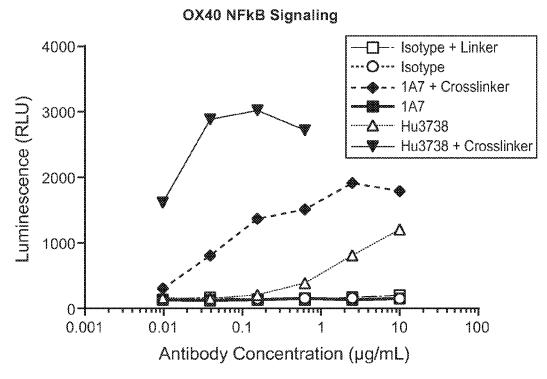
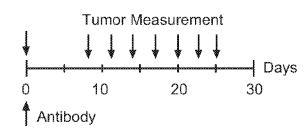
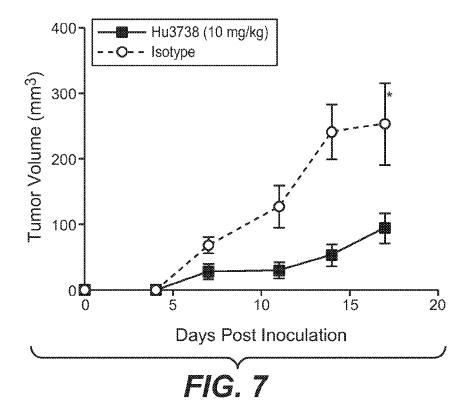


FIG. 6B







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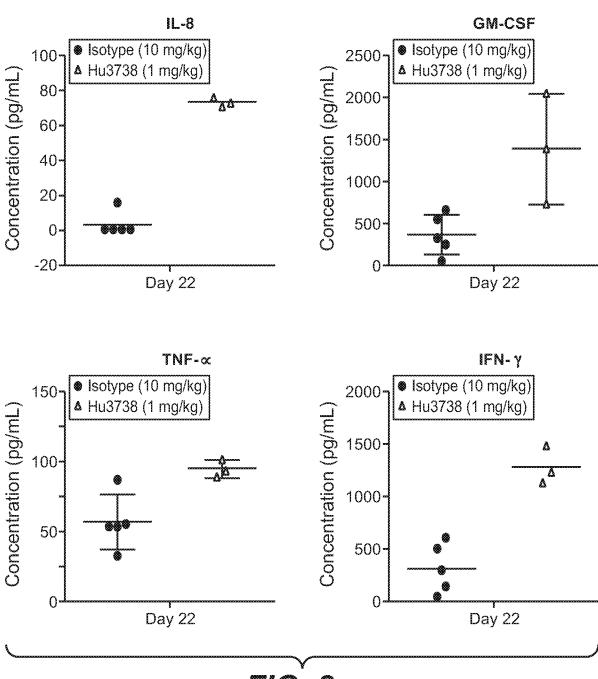


FIG. 8

### INTERNATIONAL SEARCH REPORT

International application No PCT/US2017/06680

A. CLASSIFICATION OF SUBJECT MATTER A61P37/04 INV. C07K16/28 A61P35/00 ADD. According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) A61K C07K Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) EPO-Internal, WPI Data C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Category\* Citation of document, with indication, where appropriate, of the relevant passages WO 2016/073380 A1 (GENENTECH INC [US]; Α 1-7, HOFFMANN LA ROCHE [CH]) 11-14, 12 May 2016 (2016-05-12) 18-33 paragraph [0008] page 5, line 11 - page 6, line 4 paragraph [0020] - paragraph [0029]; figures 1-10 paragraph [0138] - paragraph [0216] paragraph [0218] - paragraph [0243]; table paragraph [0371]; examples 1,3 WO 2012/027328 A2 (UNIV TEXAS [US]; LIU Α 1-7, YONG-JUN [US]; VOO KUI SHIN [US]; BOVER 11-14, LAURA [US) 1 March 2012 (2012-03-01) 18-33 the whole document, in particular examples 3-5 Х Further documents are listed in the continuation of Box C. See patent family annex. Special categories of cited documents "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier application or patent but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be special reason (as specified) considered to involve an inventive step when the document is combined with one or more other such documents, such combination "O" document referring to an oral disclosure, use, exhibition or other being obvious to a person skilled in the art "P" document published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 7 February 2018 03/05/2018 Name and mailing address of the ISA/ Authorized officer European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016 Bayer, Annette

International application No. PCT/US2017/066680

# **INTERNATIONAL SEARCH REPORT**

Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)
This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:    Authority
Claims Nos.:     because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)
This International Searching Authority found multiple inventions in this international application, as follows:
see additional sheet
As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  2, 7, 14(completely); 1, 3-6, 11-13, 18-33(partially)
The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.  The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.  No protest accompanied the payment of additional search fees.
No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No
PCT/US2017/066680

	-	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	0177000000
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# FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 2, 7, 14(completely); 1, 3-6, 11-13, 18-33(partially)

An anti-OX40 antibody which comprises (i) a VH chain comprising three CDRs 1-3 of SEQ ID NOs: 101, 102, and 103, respectively, and (ii) a VL chain comprising three CDRs 1-3 of SEQ ID NOs: 104, 105, and 106, respectively, and related subject-matter.

2. claims: 8, 15(completely); 1, 3-6, 11-13, 18-33(partially)

An anti-OX40 antibody which comprises (i) a VH chain comprising three CDRs 1-3 of SEQ ID NOs: 111, 112, and 113, respectively, and (ii) a VL chain comprising three CDRs 1-3 of SEQ ID NOs: 114, 115, and 116, respectively, and related subject-matter.

3. claims: 9, 16(completely); 1, 3-6, 11-13, 18-33(partially)

An anti-OX40 antibody which comprises (i) a VH chain comprising three CDRs 1-3 of SEQ ID NOs: 121, 122, and 123, respectively, and (ii) a VL chain comprising three CDRs 1-3 of SEQ ID NOs: 114, 125, and 126, respectively, and related subject-matter.

4. claims: 10, 17(completely); 1, 3-6, 11-13, 18-33(partially)

An anti-OX40 antibody which comprises (i) a VH chain comprising three CDRs 1-3 of SEQ ID NOs: 131, 132, and 133, respectively, and (ii) a VL chain comprising three CDRs 1-3 of SEQ ID NOs: 114, 115, and 136, respectively, and related subject-matter.

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