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- of inventorship (Rule 4.17(iv))

[Continued on next page]

(54) **Title:** UPLINK POWER CONTROL FOR DUAL AND MULTI CARRIER RADIO SYSTEM

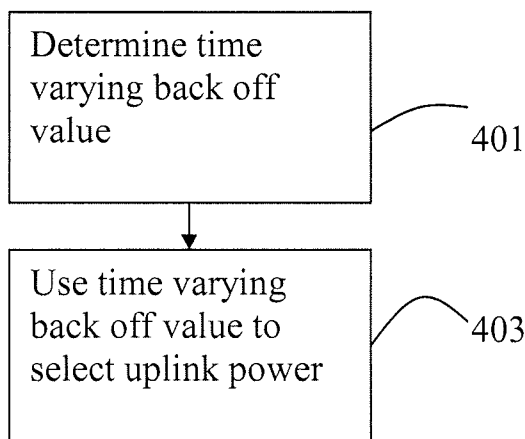


Fig. 4

(57) **Abstract:** In a method and a device a time-varying back-off value is used whereby differences in cell load can be taken into account for a restricted time-period during which the information is believed to be valid. Also, other time-varying variables of interest for the initial DPCCH power setting of the secondary earner can be taken into account when setting the back-off value.

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## UPLINK POWER CONTROL FOR DUAL AND MULTI CARRIER RADIO SYSTEM

## 5 TECHNICAL FIELD

The present invention relates to methods and devices for use in a cellular radio system using more than one uplink channel.

## BACKGROUND

10 The Enhanced Uplink Channel (E-DCH) is a dedicated channel used by User Equipments (UEs) to transmit data in the uplink. Up to Release 8, a UE could only transmit data on one E-DCH. Third Generation Project Partnership (3GPP) is currently standardizing Dual-Cell HSUPA (High Speed Uplink Packet Access) also known as Dual Carrier HSUPA for HSPA in Release 9. In this release, a UE can transmit one E-DCH on each one of up to two uplink carriers. There have also  
15 been proposals in 3GPP to introduce multi-carrier High Speed Packet Access (HSPA) with 3-4 carriers.

When a UE configured for Dual Carrier High Speed Uplink Packet Access (DC-HSUPA) enters the CELL\_DCH state only the primary uplink (hereinafter this refers to the serving E-DCH cell  
20 that corresponds to the serving HS-DSCH cell) will be activated. The other uplink carriers (hereon after referred to as secondary uplink carriers) will thus initially be deactivated. In order to allow the UE to transmit on these secondary uplink carriers the radio base station, also referred to as Node B needs to send a High Speed Shared Control Channel (HS-SCCH) activation order. Upon receiving such an order, the UE starts sending on the Dedicated Physical  
25 Control Channel (DPCCH) so that uplink synchronization can be established. Once this has been achieved the UE may start transmitting on the secondary carrier(s). Since UEs generally can achieve higher data rates by transmitting on multiple carriers simultaneously (as opposed to only transmit data on a the primary uplink carrier) the situation in which the Node B sends an activation order for the secondary uplink(s) just after entering CELL\_DCH is believed to be  
30 frequently occurring.

Currently in 3GPP it has been agreed, see 3GPP Tdoc R1-092243, "Notes from RAN1 adhoc session on DC-HSUPA, DC-HSDPA MIMO, 2 ms TTI Extension and TxAA extension for non-MIMO UEs" [2] 3GPP Tdoc R1-092254, "Draft 25.214 CR for Introduction of DC-HSUPA" that when a UE receives an HS-SCCH order for activating the secondary uplink carrier the initial  
5 DPCCH transmit power should be computed as:

Uplink DPCCH transmit power =  $P_{\text{DCCCH},1} - \text{UE\_Sec\_Tx\_Power\_Backoff}$ . (equation 1)

Here  $P_{\text{DCCCH},1}$  is the DPCCH transmit power on the primary uplink carrier and  
10 UE\_Sec\_Tx\_Power\_Backoff is a parameter that is configured by the Radio Network Controller (RNC) when the UE enters CELL\_DCH. In principle, this could take on either positive or negative values. The latter implies that the initial DPCCH power on the secondary carrier exceeds the DPCCH power used on the primary carrier. Note also that the back-off could reflect both static parameters (such as potential differences in carrier frequency) and dynamic  
15 parameters (e.g., cell load) which change over time.

For example, if the load on the secondary uplink carrier at the time-instance the UE enters CELL\_DCH is higher than the loading on the primary carrier and the Node B would activate the secondary carrier within a time-period so short so that the loading conditions on the carriers  
20 would not have changed it could be advantageous to use a negative back-off value (i.e. an initial DPCCH power on the secondary that exceeds the DPCCH power level on the primary carrier) since this would reduce the time-duration until synchronization for the secondary uplink carrier is achieved.

25 There is a constant desire to improve performance in existing cellular radio systems. Hence, there exist a need for a method and a system that enables an improved setting of the back off for secondary carriers in a Multi carrier cellular radio system.

## SUMMARY

30 It is an object of the present invention to provide an improved setting of back -off parameters for the secondary carrier(s) in cellular radio systems.

This object and others are obtained by the method and the device as set out in the appended claims.

5 As noted above the back-off (UE\_Sec\_Tx\_Power\_Backoff) is configured by the RNC when the UE enters CELL\_DCH. However, as has been recognized by the inventor, the secondary uplink carrier can be activated, deactivated, and reactivated at numerous and different times. Thus, the load conditions for the two (or more) carriers may have changed as compared to when the UE initially entered CELL\_DCH state. As the back-off UE\_Sec\_Tx\_Power\_Backoff is configured  
10 by the RNC the same value would have to be used every time the Node B activated (and/or reactivated) the secondary uplink carrier. Because it is undesirable that the UE use a too high initial DPCCCH power on its secondary uplink carrier, the RNC would have to take potential load variations into account when determining the value of the back-off. In fact, this will require that the back-off UE\_Sec\_Tx\_Power\_Backoff is set conservatively, which in turn will result in  
15 unnecessary high delays for achieving synchronization on the secondary uplink carrier in the situation where it is activated just after the UE enters CELL\_DCH state.

In accordance with one embodiment a method of selecting transmit power used for physical uplink Control Channel and Data Channel, such as a Dedicated Physical Control Channel, on a  
20 secondary carrier used by a user equipment when transmitting data on the secondary carrier in a cellular radio system is provided. The method can comprise the steps of determining a time-varying back-off value for the uplink Control Channel and Data Channel power level, and selecting the received time-varying back-off value to update the uplink Control Channel and Data Channel transmit power. Hereby, an improved performance in the cellular radio system can  
25 be achieved.

In accordance with one embodiment the time varying back-off value is received in a message signaled from the cellular radio system network over the air interface. The time varying back-off value can also be determined by some relation in the applicable standard in which case there is  
30 no need for signaling the back-off value over the air interface.

In accordance with the present invention a time-varying back-off value is used whereby differences in cell load can be taken into account for a restricted time-period during which the information is believed to be valid. Also, other time-varying variables of interest for the initial  
5 DPCCH power setting of the secondary carrier can be taken into account when setting the back-off value. Examples of such other variables can be the carrier frequency used or the user/service type.

In accordance with one embodiment the time varying back off value can be set:

10

- When the UE enters the CELL\_DCH state and the radio base station Node B subsequently sends an HS-SCCH order for activation of the secondary uplink carrier,

15 - When the UE is deactivated and subsequently reactivated and the elapsed time-duration between de and reactivation is smaller than a certain value. In this case the UE can base the initial DPCCH power of the secondary uplink carrier on the last used power level.

In accordance with one embodiment a method in a network node for generating transmit power value for use in a user equipment used for physical uplink Control Channel and Data Channel on  
20 a secondary carrier used by the user equipment when transmitting data on the secondary carrier in a cellular radio system is provided. The method can comprise the steps of generating a time-varying back-off value for the uplink Control Channel and Data Channel power level, and transmitting the time-varying back-off value to the user equipment.

25 It will be appreciated various processes and methods described may be substantially represented in a computer-readable medium and can be executed by a computer or processor.

The methods and functions in accordance with the above can be provided through the use of a device comprising dedicated hardware as well as hardware capable of executing software. When  
30 provided by a processor, the functions may be provided by a single dedicated processor, by a single shared processor, or by a plurality of individual processors, some of which may be shared

or distributed. Moreover, a “processor” or “controller” may include, without limitation, digital signal processor (DSP) hardware, ASIC hardware, read only memory (ROM), random access memory (RAM), and/or other storage media.

## 5 BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will now be described in more detail by way of non-limiting examples and with reference to the accompanying drawings, in which:

- Fig. 1 is a general view of a cellular radio system
- 10 - Figs. 2 and 3 are views illustrating power control schemes,
- Fig. 4 is a flow chart illustrating some procedural steps when selecting back off value,
- Fig. 5 is a flow chart illustration some procedural steps performed when generating a time varying back off value,
- Fig. 6 is a view of a user equipment adapted to use a time-varying back off value, and
- 15 - Fig. 7 is a view of a control device for generating a time varying back off value.

## DETAILED DESCRIPTION

The present invention will now be described in more detail by way of non-limiting examples.

- Although focus in the below description is on the case where the UE enters the CELL\_DCH state
- 20 (since Dual-Carrier HSUPA is limited to this state in Release 9), the invention is applicable to other states (e.g., CELL\_FACH) as well if the UE is allowed to transmit on multiple carriers and some of the carriers can be deactivated. The description below is given in the context of a Wideband Code Division Multiple Access (WCDMA) system. However, the invention can also
- 25 (activated/deactivated) by the network on a demand basis. One such example is “carrier aggregation” for Long Term Evolution (LTE)-Advanced.

- In Fig. 1, a general view of a cellular radio system 100 is shown. The system 100 comprises a number of cells 101 together covering a geographical area in which the system 100 provides
- 30 radio access. Each cell 101 is associated with a radio base station 103, which communicates with a Radio Network Controller (RNC) 105. The RNC is in turn connected to a Core Network (CN)

107. In the geographical area covered by the cellular radio system a mobile station here termed user equipment (UE) 109 may connect to the cellular radio system via a radio base station 203 over an air-interface. The UE 109 can be connected to the system with two or more uplink carriers. The UE 109 can be connected to more than one radio base station 103 simultaneously.

5

In Dual-Carrier HSUPA a UE can be allowed to transmit data on two uplink carriers using two Enhanced Dedicated Channel (E-DCH) channels. In future releases the UE may be allowed to transmit data on even more carriers.

10 When a UE enters CELL\_DCH and is configured on multiple cells on the uplink only the primary uplink carrier is activated. The initial state of secondary carriers is thus deactivated. In order for the UE to be allowed and transmit data on them the Node B needs to send an HS-SCCH activation order. Upon receiving this activation order the UE starts its synchronization procedure by sending DPCCH on the secondary uplink with an initial power level.

15

In 3GPP it has been agreed that if the UE receives HS-SCCH activation order the initial DPCCH power level on the secondary uplink carrier should be:

Uplink DPCCH transmit power =  $P_{DCH,1} - UE\_Sec\_Tx\_Power\_Backoff$  (equation 2),

20

i.e. the DPCCH power level used on the primary carrier minus some back-off configured by the Radio network Controller (RNC) when the UE enters the CELL\_DCH state. Note that this back-off can both be positive and negative. A negative back-off would reflect the situation where the initial DPCCH power on the secondary carrier is greater than the DPCCH power on the primary carrier. Because the initial state of the secondary carrier always is deactivated and UE in most  
25 circumstance can achieve higher data rate if it is allowed to transmit on both carriers the situation where the secondary uplink carrier is activated just after the UE enters CELL\_DCH will be common. To achieve higher data rates in such situations it has been found advantageous to have a dynamic back-off that, for example, depends on some parameter(s) such as the relative cell  
30 loading.



In addition, the secondary carrier can be activated, de activated, and reactivated at numerous and different time-instances. As has been realized, the loading conditions at these may be very different from those that the UE experienced when it initially entered CELL\_DCH. Hence, if the same back-offs are used every time the secondary carrier is activated it is not possible to account  
 5 for time-varying aspects, such as cell load.

In order to exploit the fact that the situation in the system is time varying a time-dynamic power back-off is used. In accordance with one embodiment, this is achieved by configuring two back-off values when the UE enters CELL\_DCH. These can be referred to as UE\_Backoff\_1 and  
 10 UE\_Backoff\_2. UE\_Backoff\_1 denotes the back-off that considers the cell load (and possibly other time-varying effects) while UE\_Backoff\_2 corresponds to a long-term default back-off that can be used when no (or only outdated) information about the cell load is available to the UE.

In accordance with one embodiment the two back off parameters for setting the Uplink DPCCH transmit power configured as UE\_Backoff\_1 and UE\_Backoff\_2 can be transmitted to the UE as  
 15 UE\_Backoff\_1 and  $\Delta = \text{UE\_Backoff\_2} - \text{UE\_Backoff\_1}$  since this can reduce necessary signaling overhead. Also this will allow the UE to retrieve  $\text{UE\_Backoff\_2} = \Delta + \text{UE\_Backoff\_1}$ . Moreover, if the UE is in Soft Handover (SHO) the values of UE\_Backoff\_1 and UE\_Backoff\_2 can be set to depend on the relative loading in all of the carriers belonging to the activate set for  
 20 the particular UE.

In accordance with one embodiment the UE that has entered CELL\_DCH and obtained UE\_Backoff\_1 and UE\_Backoff\_2 can update the value of UE\_Backoff\_1 according to some method, for example expressed as:

25

$$(\text{UE\_Backoff\_1})_t = f(\text{UE\_Backoff\_1}, t) \quad (\text{equation 3})$$

so that it after a certain time-duration - beyond which the cell load when the UE entered the CELL\_DCH state - is considered to be outdated. After this time-instance the UE utilizes UE\_Backoff\_2. In the equation 3, UE\_Backoff\_1 is the initial value of the back-off that was  
 30 configured when the UE entered CELL\_DCH, t the time-duration that has elapsed since the UE

entered CELL\_DCH, and  $(UE\_Backoff\_1)_t$  the value of the back-off that the UE should utilize after a time-duration  $t$  has elapsed, and  $f$  denotes a function.

Below some exemplary settings for a time dynamic setting of back off parameters in accordance with the above are given. Again the examples are given in the context of two parameters. A few examples on how the UE\_Backoff\_1 and UE\_Backoff\_2 could be configured and how UE\_Backoff\_1 could be updated are illustrated in Fig. 2 and Fig. 3 respectively. Note that other schemes for updating UE\_Backoff\_1 are possible.

- 10 In Fig. 2 an example illustrating how UE\_Backoff\_1 and UE\_Backoff\_2 can be configured and updated in a scenario where the cell load on the secondary serving E-DCH cell exceeds the load on the primary cell. In the figure the solid and dash-dotted curves represents two ways of updating the value of UE\_Backoff\_1.
- 15 In Fig. 3 an example on how UE\_Backoff\_1 and UE\_Backoff\_2 can be configured in a setting where the cell load in a secondary serving E-DCH cell is smaller than the load in the primary serving E-DCH cell. In the figure the solid and dash-dotted curves represents two ways of updating the value of UE\_Backoff\_1.
- 20 The UE\_Backoff\_1 can be updated when the UE has its secondary uplink carrier activated. In situations where the secondary carrier is deactivated and thereafter again reactivated the value of UE\_Backoff\_1 can be used as initial power DPCCH power level for the secondary carrier. This situation can for example occur when downlink synchronization is lost on the secondary and/or primary downlink carrier. Note also that the UE, once it stops transmitting on the secondary
- 25 uplink carrier, can be configured to update its value of UE\_Backoff\_1. This can for example be performed using the method described above in conjunction with equation 3 (used for updating UE\_Backoff\_1 when the UE has entered CELL\_DCH) until it the information becomes outdated and the value of UE\_Backoff\_1 reaches UE\_Backoff\_2.
- 30 In Fig. 4 a flowchart illustrating some procedural steps performed when selecting Uplink DPCCH transmit power on a secondary carrier used by a user equipment when transmitting data

on the secondary carrier are depicted. First, in a step 401 a time-varying back-off value for the Dedicated Physical Control Channel power level of the secondary carrier is received. Next, in a step 403, the received time-varying back-off value is selected to update the Dedicated Physical Control Channel transmit power of the secondary carrier.

5

In Fig. 5, a flowchart is shown that illustrates some procedural steps performed in control device of a system node of a cellular radio system when generating back-off value for the Dedicated Physical Control Channel transmit power of the secondary carrier used by a user equipment in uplink transmission. First, in a step 501, a time-varying back-off value for the Dedicated Physical Control Channel power level of the secondary carrier is generated. Next, in a step 503, the time-varying back-off value is transmitted to the user equipment.

In Fig. 6 a user equipment 600 adapted to implement the methods as described herein. The user equipment 600 can comprise a micro processor 601 operating on a set of computer program instructions stored in a memory 603. The computer program instructions cause the user equipment to perform the methods as described herein when executed by the micro processor 601.

In Fig. 7, a control device 700 adapted to be implemented or integrated in a node of a cellular radio system is depicted. The control device can in particular be implemented in a radio network controller or a radio base station of a cellular radio system. The node in which the control device is implemented will typically depend of the technology used in the cellular radio system at hand for a particular implementation. The control device 700 can comprise a micro processor 701 operating on a set of computer program instructions stored in a memory 703. The computer program instructions cause the control device to perform the methods as described herein when executed by the micro processor 701.

While the above examples have been given in the context of UE being in CELL\_DCH state the same method can be applied for other states, e.g., CELL\_FACH state if the UE is allowed to transmit on multiple carriers and some of the carriers can be deactivated and activated such as a state. In addition, the invention can also be used in other technologies wherein multiple carrier

30

can be dynamically aggregated (activated and deactivated) by the network on a demand basis such as for LTE (Advanced).

Using the method and device as described herein for selecting a dynamic back-off for the initial  
5 DPCCH power level of a secondary carrier, in particular a secondary serving E-DCH cell will reduce the synchronization delay when the secondary carrier is activated and knowledge about the current cell loadings exist, and at the same time minimize the risk that the UE uses an initial power level that is too high on its secondary carrier when the relative cell load information is outdated or otherwise inaccurate.

## CLAIMS

1. A method of selecting transmit power in a user equipment used for physical uplink Control Channel and Data Channel on a secondary carrier used by the user equipment when transmitting  
5 data on the secondary carrier in a cellular radio system, the method comprising the steps of:  
- determining (401) a time-varying back-off value for the uplink Control Channel and Data Channel power level, and  
- selecting (403) the determined time-varying back-off value to update the uplink Control Channel and Data Channel transmit power.
- 10 2. The method according to claim 1, wherein the uplink Control Channel and Data Channel is a Dedicated Physical Control Channel.
3. The method according to claim 1 or 2, wherein the time varying back-off value is received in a  
15 message from the cellular radio system.
4. The method according to any of claims 1 - 3, wherein two back-off values are selected when the user equipment enters a CELL\_DCH state.
- 20 5. The method according to any of claims 1 - 4, wherein the time varying offset value is used  
- when the user equipment enters a CELL\_DCH state and the user equipment subsequently receives a High Speed Shared Control Channel order for activation of a secondary uplink carrier from a radio base station, or  
- when the user equipment is deactivated and subsequently reactivated and the elapsed time-  
25 duration between de and reactivation is smaller than a certain value.
6. The method according to any of claims 1 - 5, wherein the time varying back off value is set based on a cell load.

7. A device (600) for selecting transmit power in a user equipment used for physical uplink Control Channel and Data Channel on a secondary carrier used by the user equipment when transmitting data on the secondary carrier in a cellular radio system, the device comprising:

- means (601) for determining a time-varying back-off value for the uplink Control Channel and Data Channel power level, and
- means (601) for selecting the received time-varying back-off value to update the uplink Control Channel and Data Channel transmit power.

8. The device according to claim 7, wherein the uplink Control Channel and Data Channel is a Dedicated Physical Control Channel.

9. The device according to claim 7 or 8, comprising means (601) for receiving the time varying back-off value in a message from the cellular radio system.

10. The device according to any of claims 7 – 9, comprising means (601) for selecting two back-off values when the user equipment enters a CELL\_DCH state.

11. The device according to any of claims 7 – 10, comprising means (601) for using the time varying offset value

- when the user equipment enters a CELL\_DCH state and the user equipment subsequently receives a High Speed Shared Control Channel order for activation of a secondary uplink carrier from a radio base station, or
- when the user equipment is deactivated and subsequently reactivated and the elapsed time-duration between de and reactivation is smaller than a certain value.

12. The device according to any of claims 7 – 11, comprising means (601) for using a time varying back off value set based on a cell load.

13. A method in a network node (700) for generating transmit power value for use in a user equipment used for physical uplink Control Channel and Data Channel on a secondary carrier

used by the user equipment when transmitting data on the secondary carrier in a cellular radio system, the method comprising the steps of:

- generating (501) a time-varying back-off value for the uplink Control Channel and Data Channel power level, and
- 5 - transmitting (503) the time-varying back-off value to the user equipment.

14. A network node (700) adapted to generate transmit power value for use in a user equipment used for physical uplink Control Channel and Data Channel on a secondary carrier used by the user equipment when transmitting data on the secondary carrier in a cellular radio system, the

10 node comprising:

- means (701) for generating a time-varying back-off value for the uplink Control Channel and Data Channel power level, and
- means (701) for transmitting the time-varying back-off value to the user equipment.

15

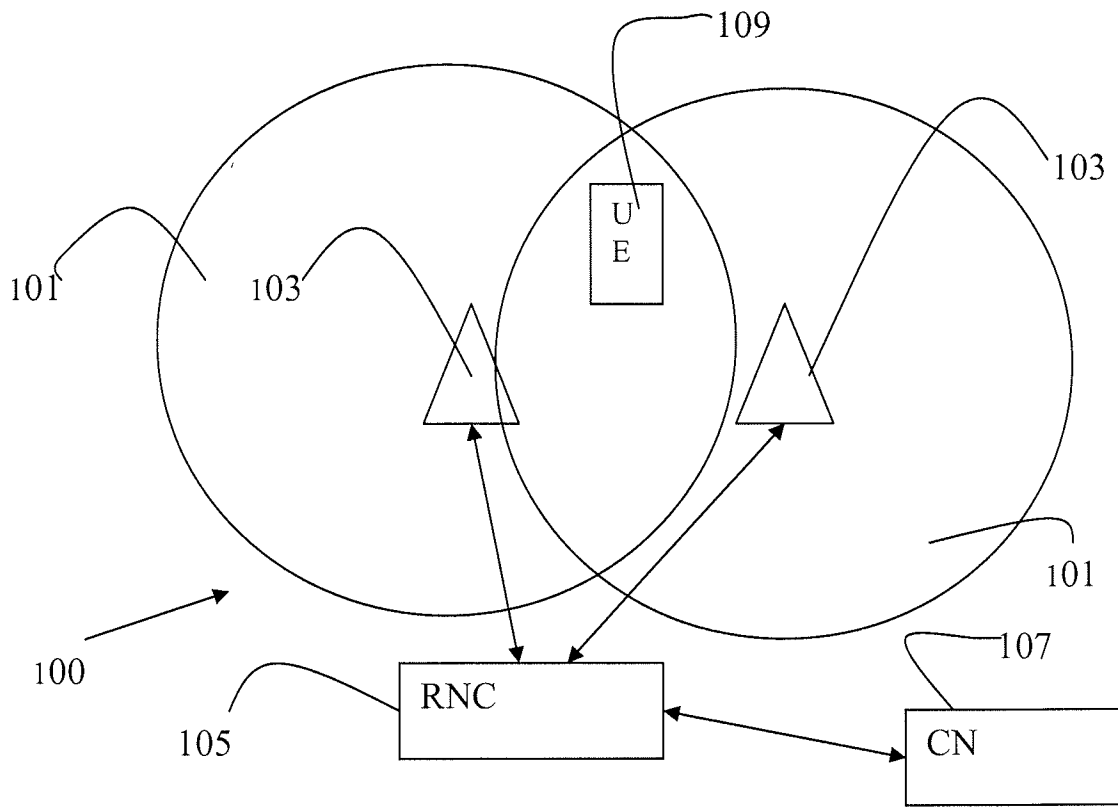


Fig. 1



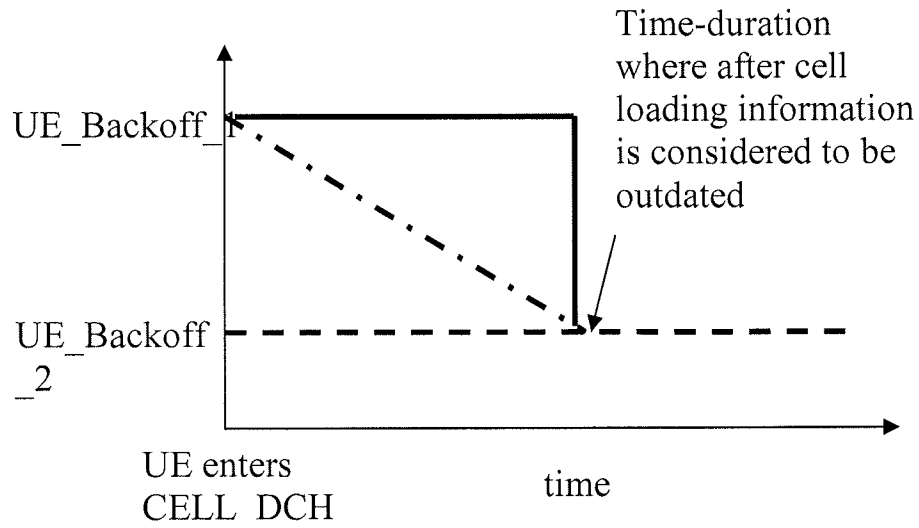


Fig. 2

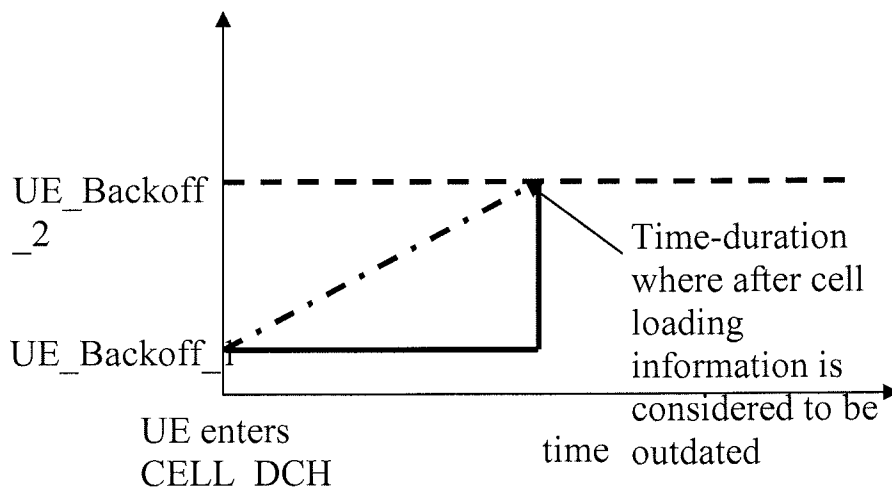


Fig. 3

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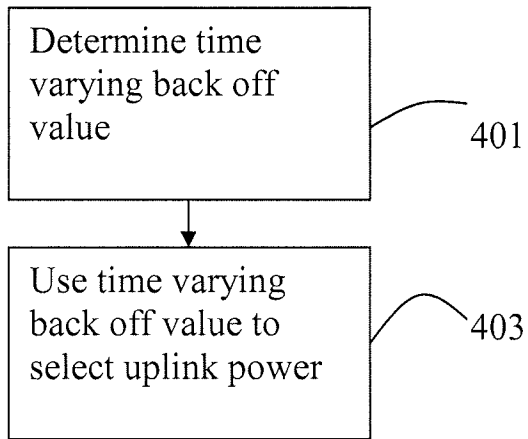


Fig. 4

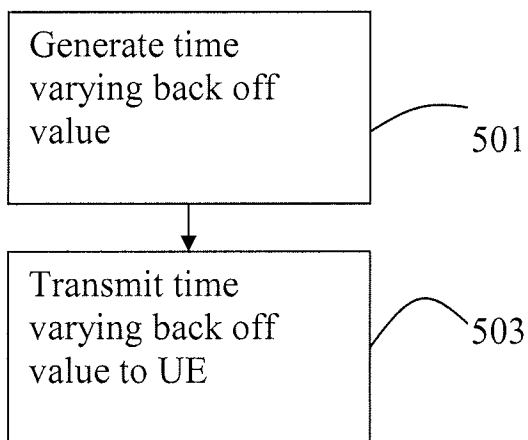


Fig. 5

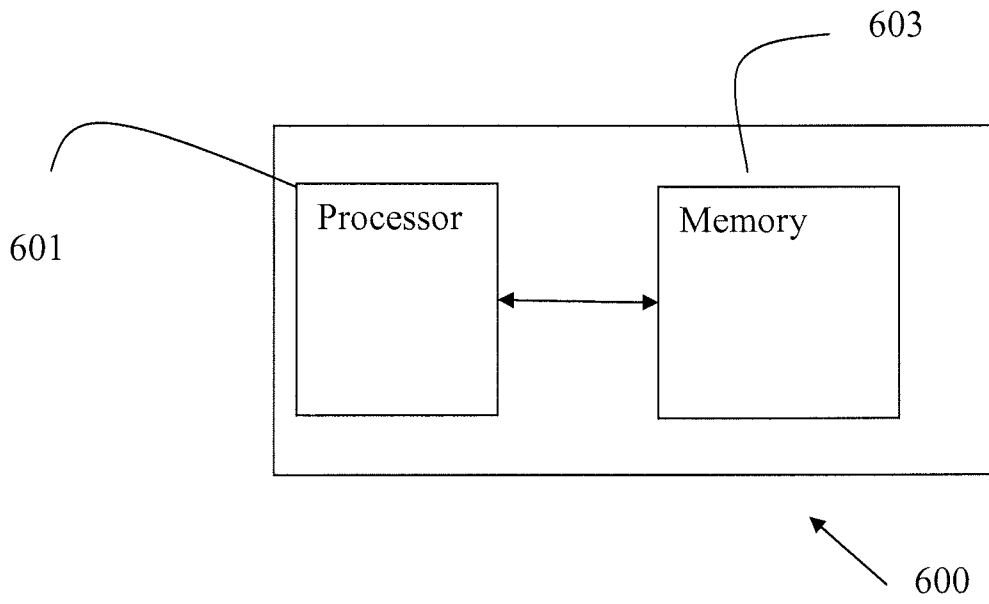


Fig. 6

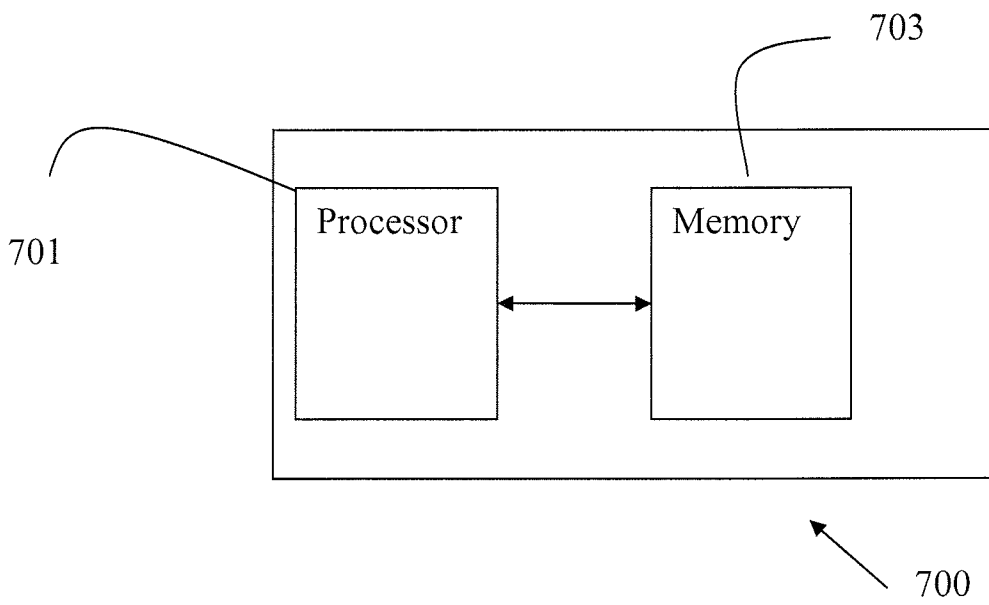


Fig. 7

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE2010/050338

## A. CLASSIFICATION OF SUBJECT MATTER

IPC: see extra sheet

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC: H04W

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-INTERNAL, WPI DATA, PAJ, INSPEC, COMPENDEX, INTERNET

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	TSG-RAN WG4 Meeting #51bis, "Inner loop power control accuracy requirements for DC-HSUPA", R4-092393, Los Angeles, USA, June 29th- July 2nd, 2009, sections 1-3 --	1-14
A	US 20070097962 A1 (YOON Y.C. ET AL), 3 May 2007 (03.05.2007), claims 1-8, paragraphs [0086]-[0087],[0092]-[0094] --	1-14
A	EP 1892854 A1 (NTT DOCOMO INC.), 27 February 2008 (27.02.2008), claims 1-9 --	1-14

 Further documents are listed in the continuation of Box C.
  See patent family annex.

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"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier application or patent but published on or after the international filing date	"Y" document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
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Date of the actual completion of the international search 22 November 2010	Date of mailing of the international search report 22-11-2010
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## INTERNATIONAL SEARCH REPORT

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## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
E	WO 2010051513 A2 (INTERDIGITAL PATENT HOLDINGS, INC.), 6 May 2010 (06.05.2010), claims 1-3  -----  --	1-14

**International patent classification (IPC)**

**H04W 52/14** (2009.01)

**H04W 52/16** (2009.01)

**H04W 52/36** (2009.01)

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**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

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