

(12) **UK Patent Application**

(19) **GB** (11) **2 221 759** (13) **A**

(43) Date of A publication **14.02.1990**

(21) Application No **8917662.2**

(22) Date of filing **02.08.1989**

(30) Priority data

(31) **228136**

(32) **03.08.1988**

(33) **US**

(71) Applicant

Westinghouse Electric Corporation

(Incorporated in the USA - Pennsylvania)

**Westinghouse Building, Gateway Center, Pittsburgh,
Pennsylvania 15235, United States of America**

(72) Inventors

Albert Lawrence Schmidt

Gary William Sherwin

Ellen Kathleen Mckinley

Lewis Franklin Hanes

(74) Agent and/or Address for Service

Ronald van Berlyn

23 Centre Heights, London, NW3 6JG,

United Kingdom

(51) INT CL⁴

A61B 5/04

(52) UK CL (Edition J)

G1N NEEG N19B2P N19X1 N30P4

(56) Documents cited

WO 87/00746 A1

(58) Field of search

UK CL (Edition J) G1N NEAA NEEG

INT CL⁴ A61B 5/00 5/04

WPI

(54) **Physiological evaluation of response to short films, advertising and entertainment materials**

(57) A short film e.g. a commercial is presented to a subject, brain activity responses of the subject are evoked by test materials related and also not related to the film, and the brain activity responses e.g. in the form of an EEG recording are analysed to determine one of awareness of objects in the film, understanding of the film, value of the objects of the film and commitment to the objects. A EEG recording may also be made during the film and analysed for alpha and beta activity and late components of the evoked response to determined attention and cognition.

GB 2 221 759 A

1/n

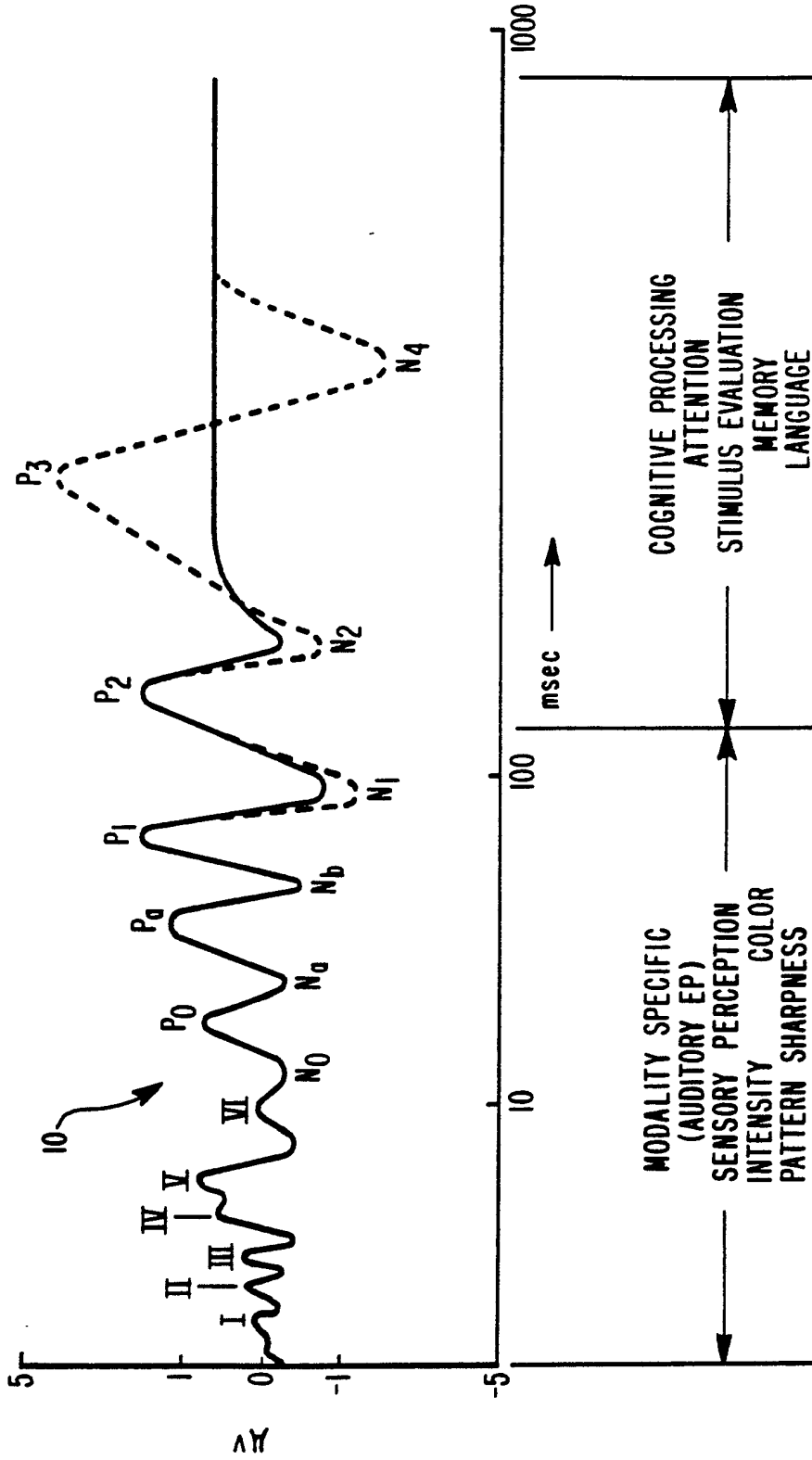


FIG.1

v/17

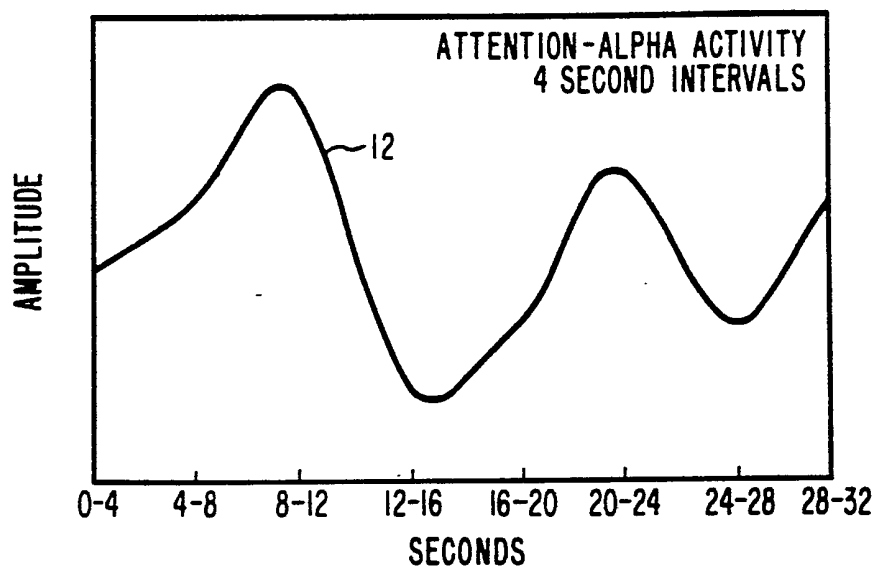


FIG. 2

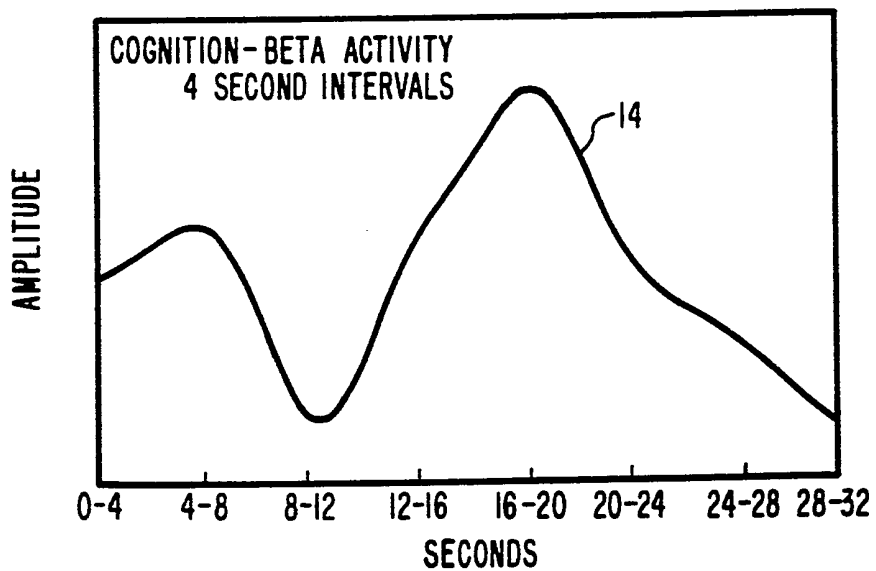


FIG. 3

3/17

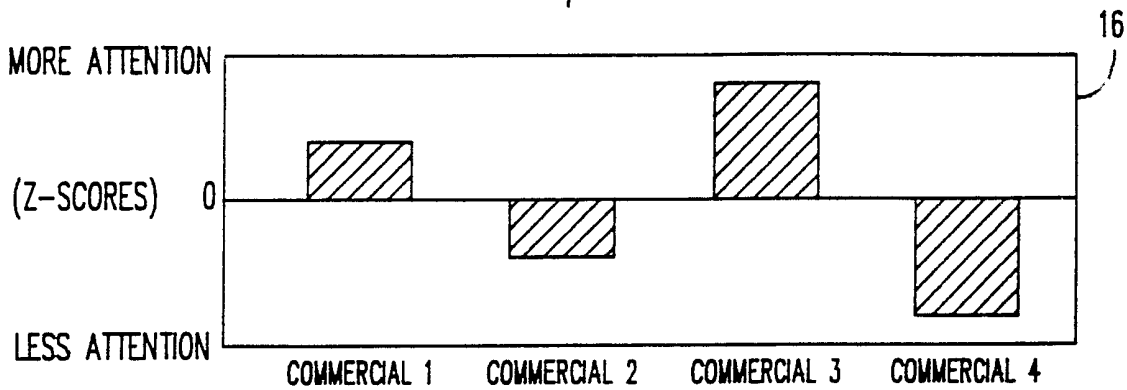


FIG. 4

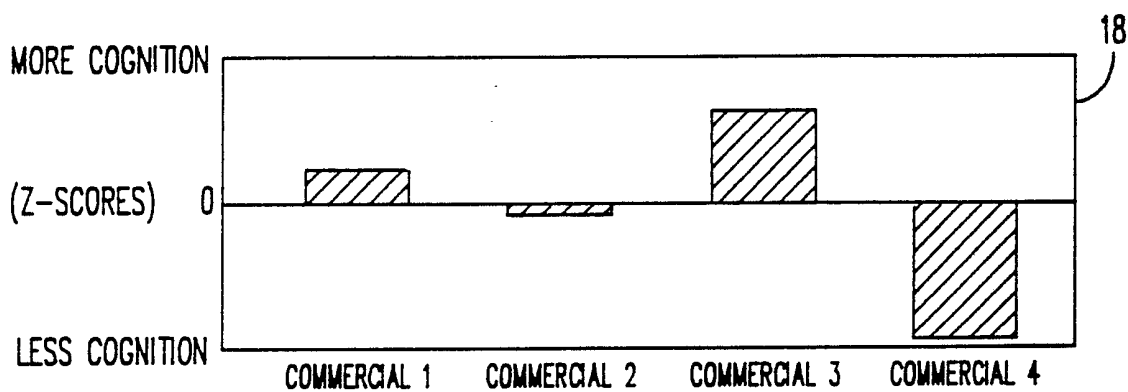


FIG. 5

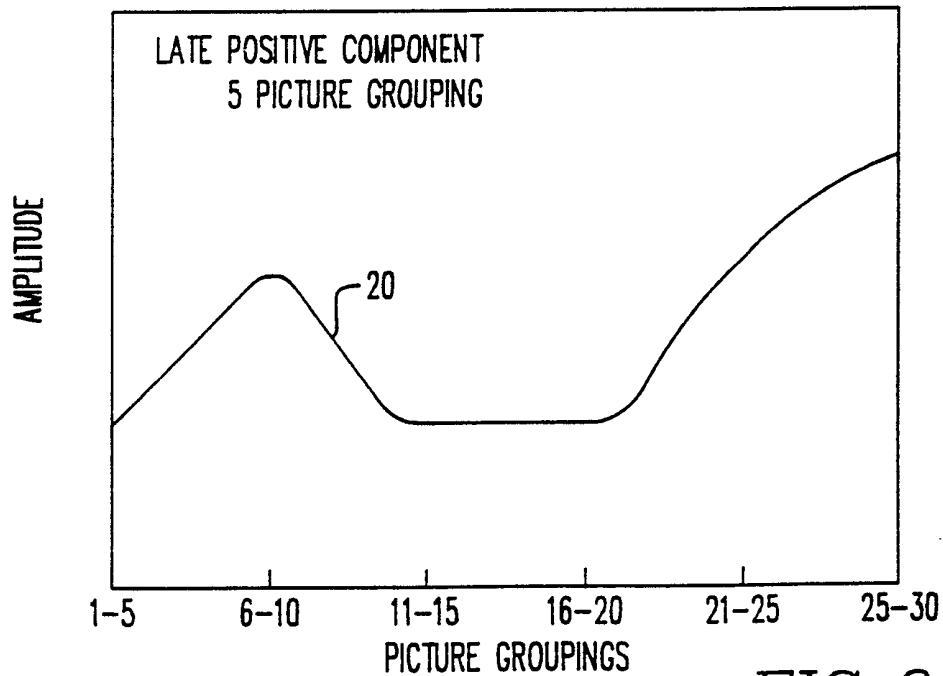


FIG. 6

4/17

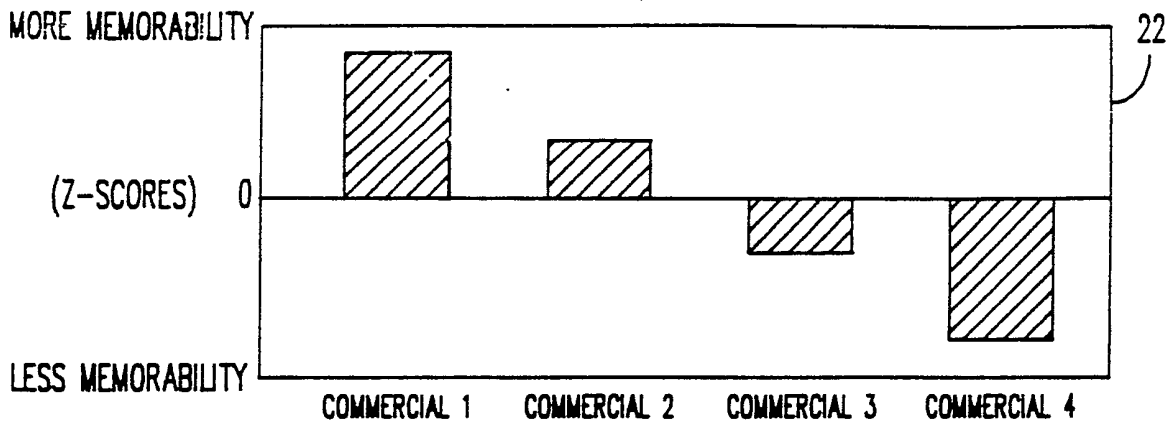


FIG. 7

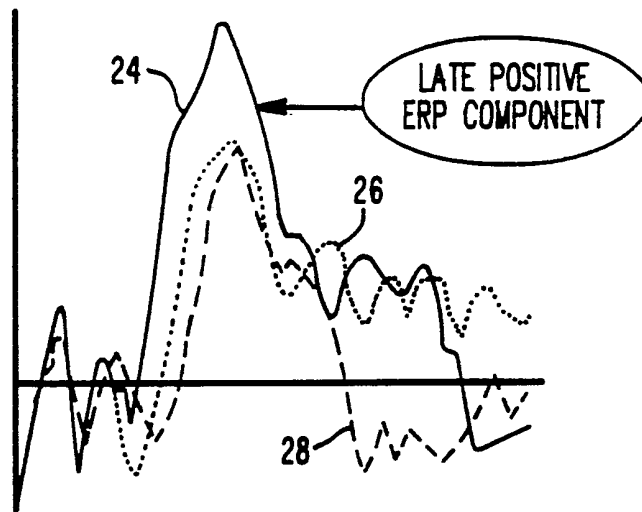


FIG. 8

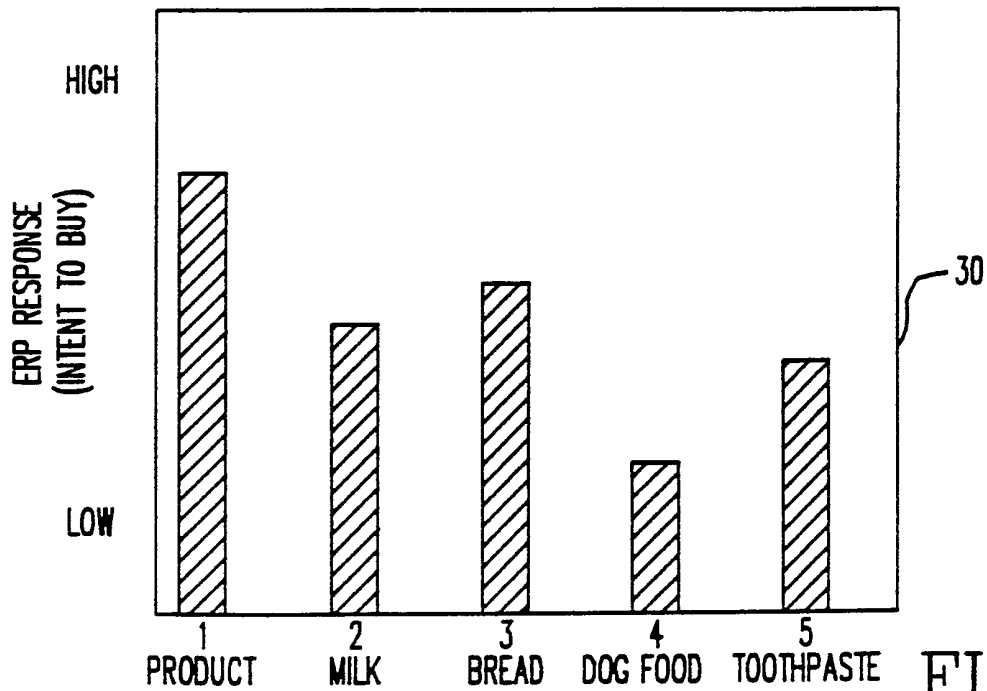


FIG. 9

5/17

2221759

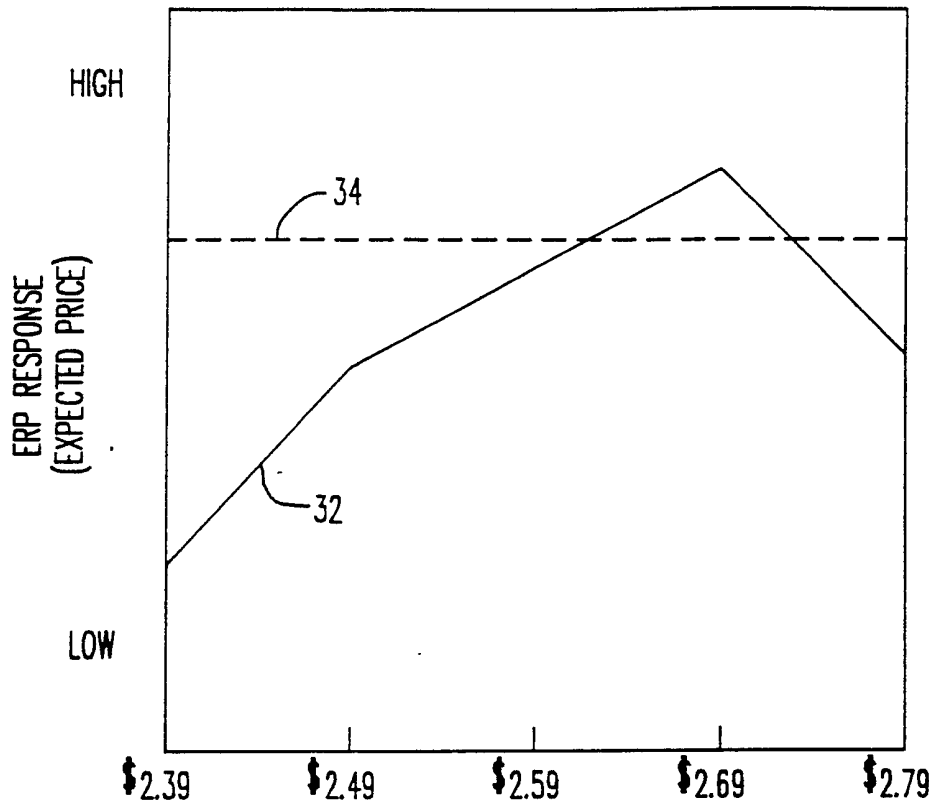


FIG. 10

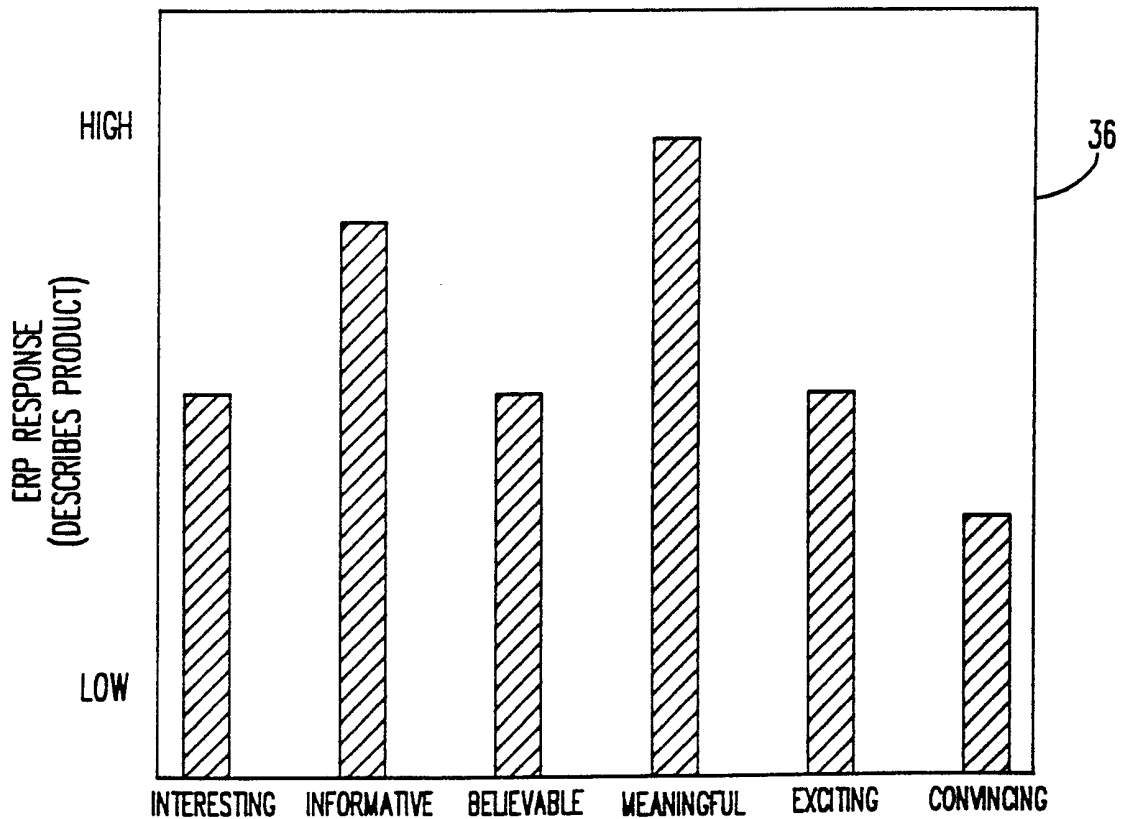


FIG. 11

6/17 2221759

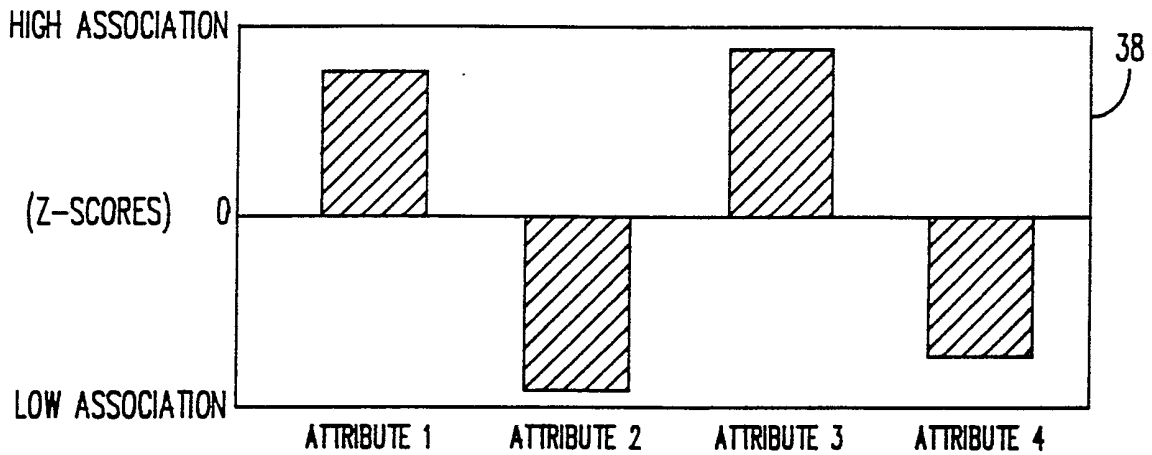


FIG. 12

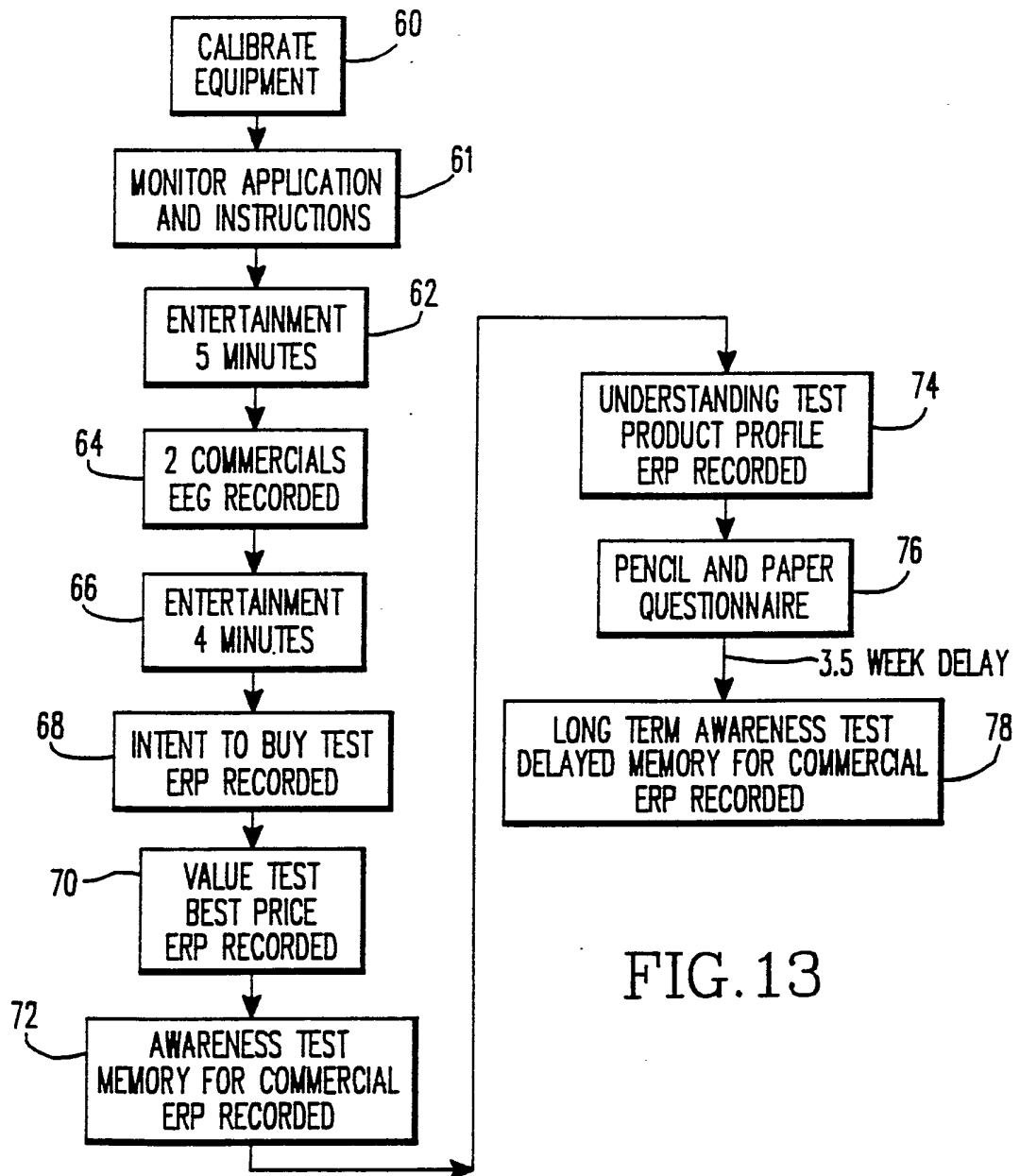


FIG. 13

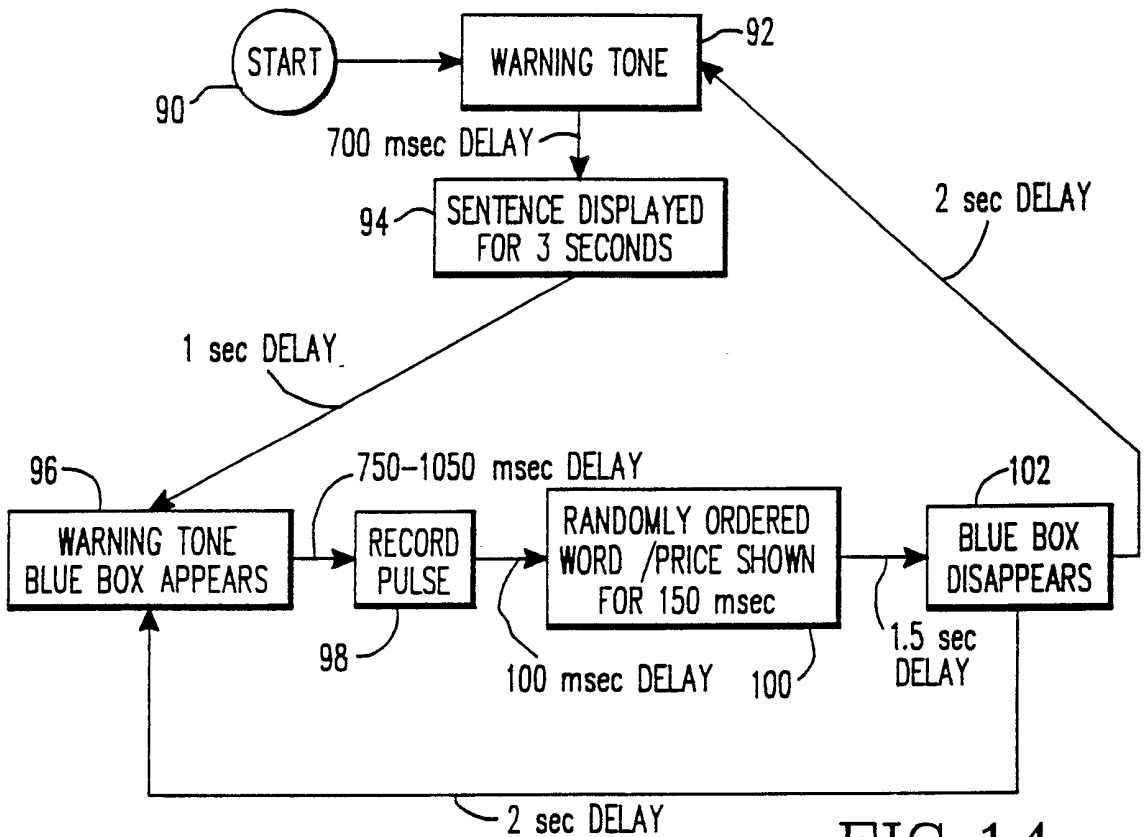


FIG. 14

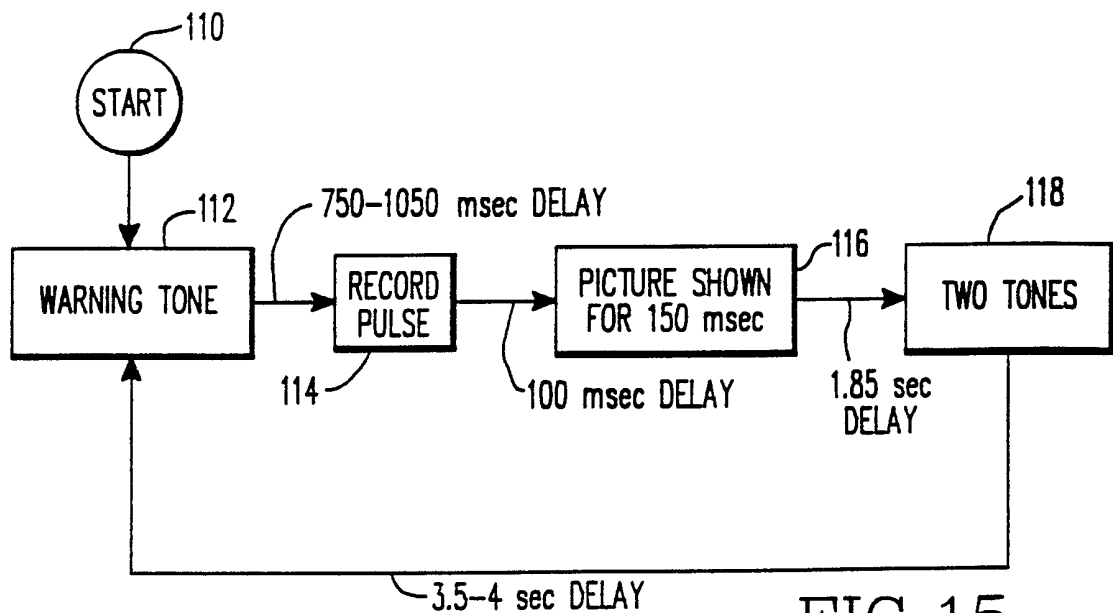


FIG. 15

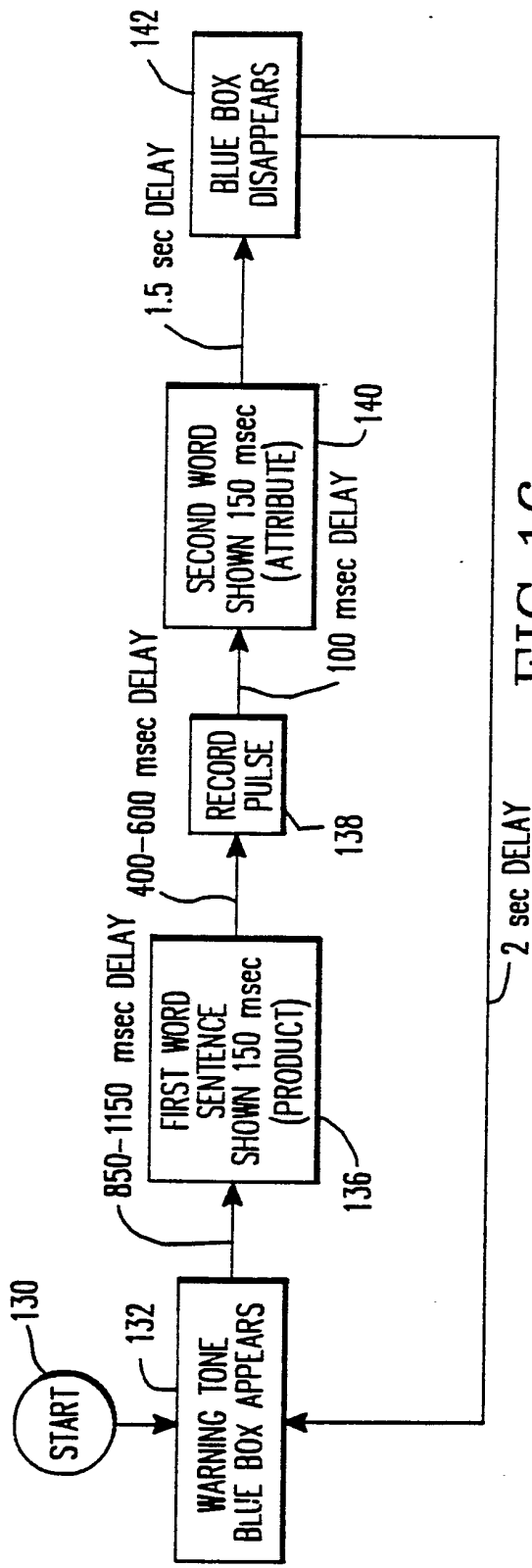


FIG. 16

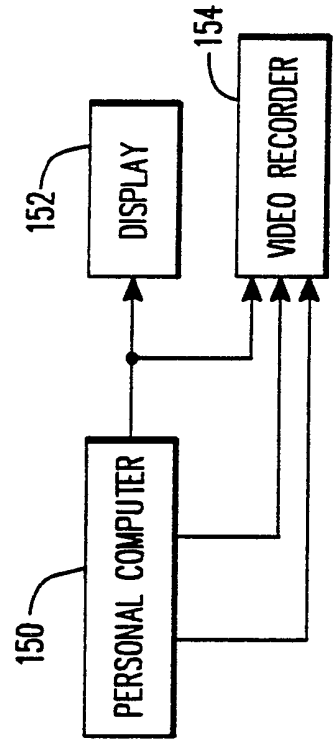


FIG. 17

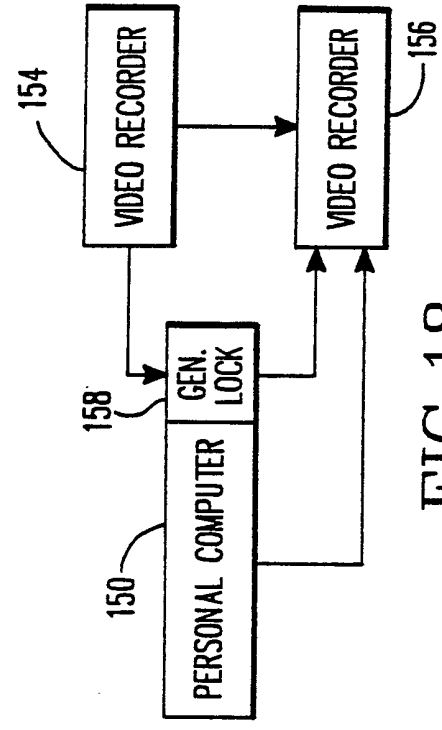


FIG. 18

2221759
9/17

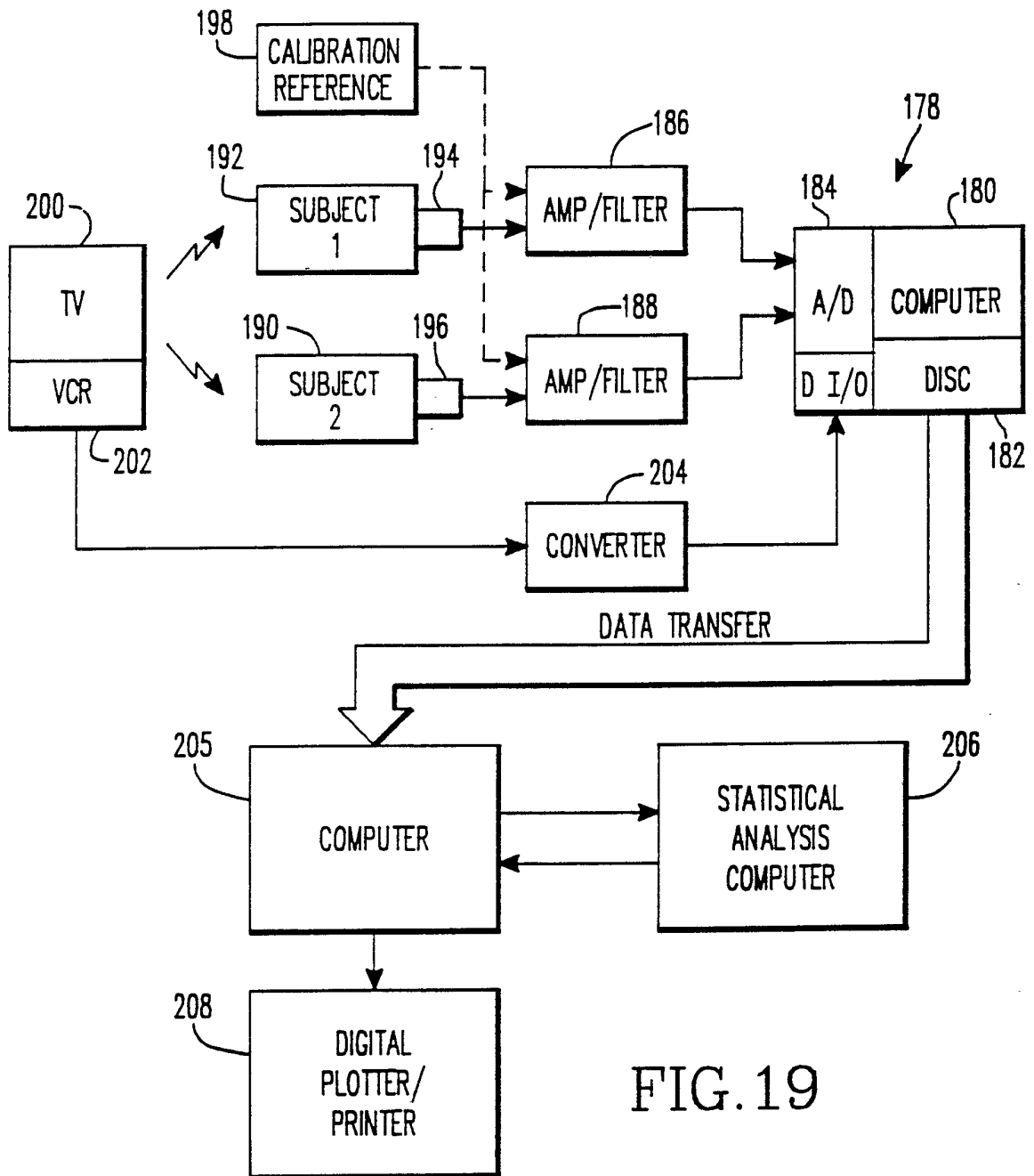


FIG. 19

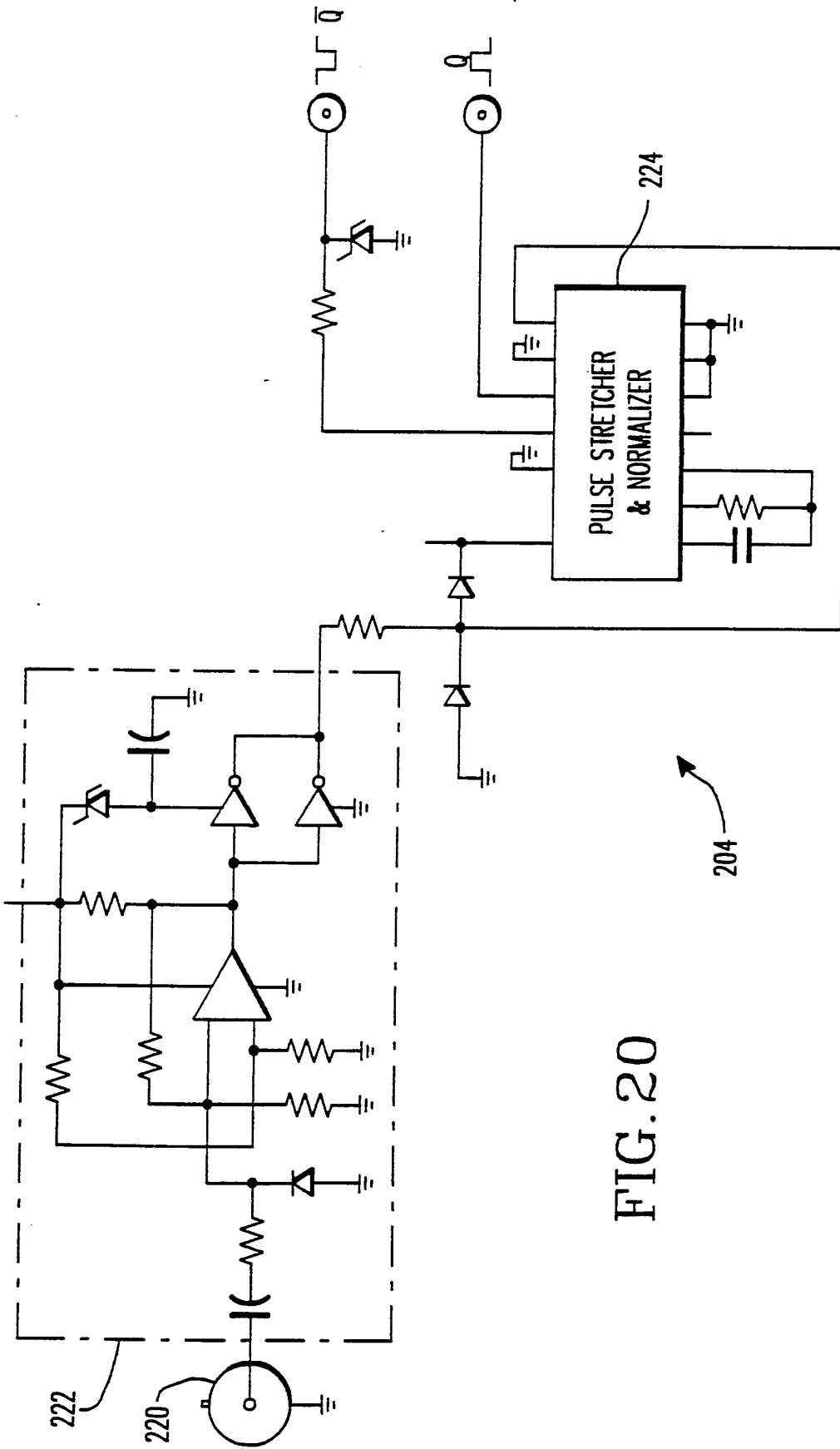


FIG. 20

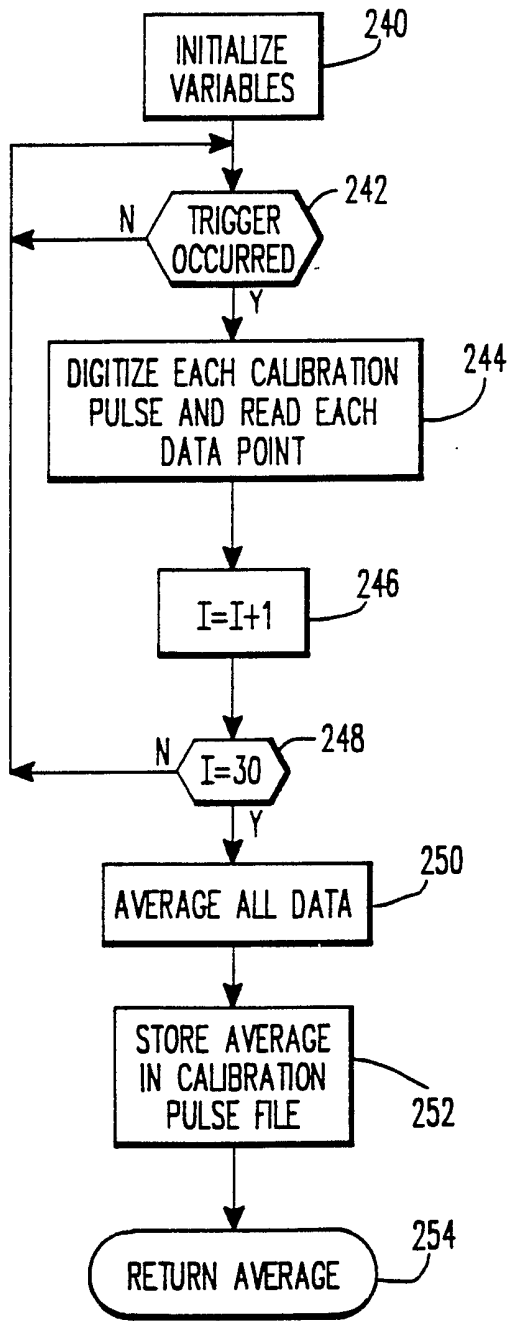


FIG. 21

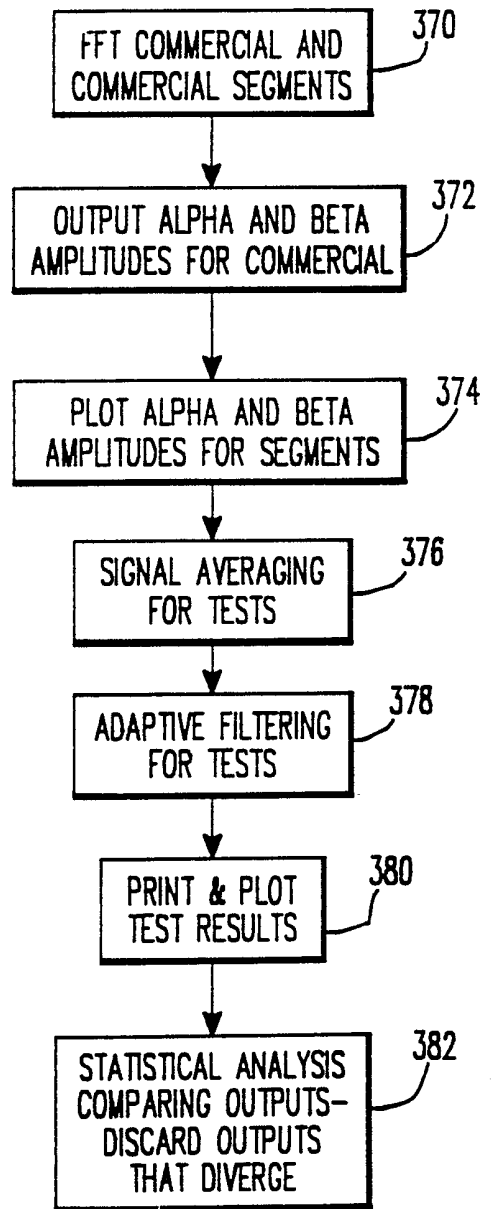


FIG. 24

12/17

2221759

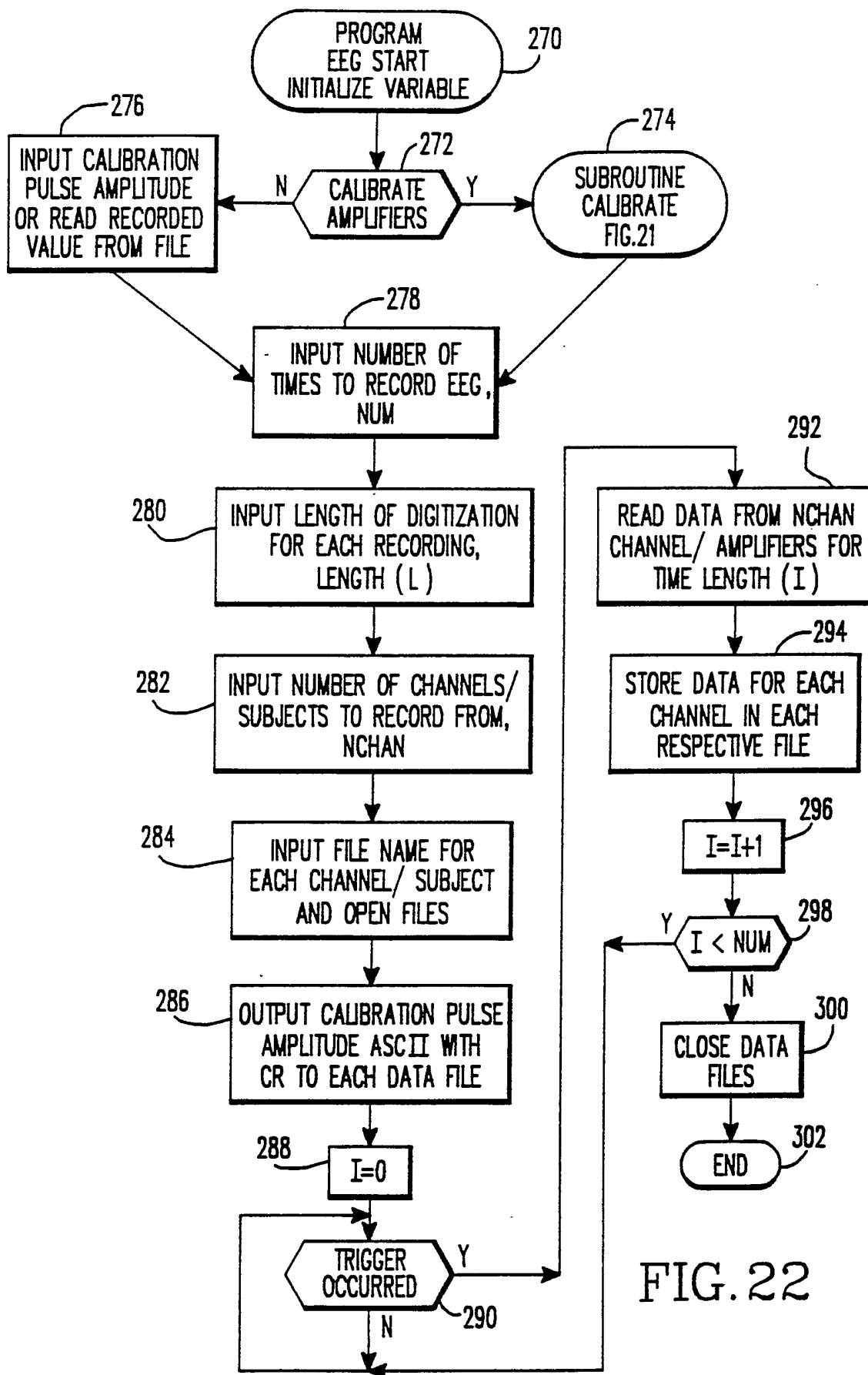


FIG. 22

13/17

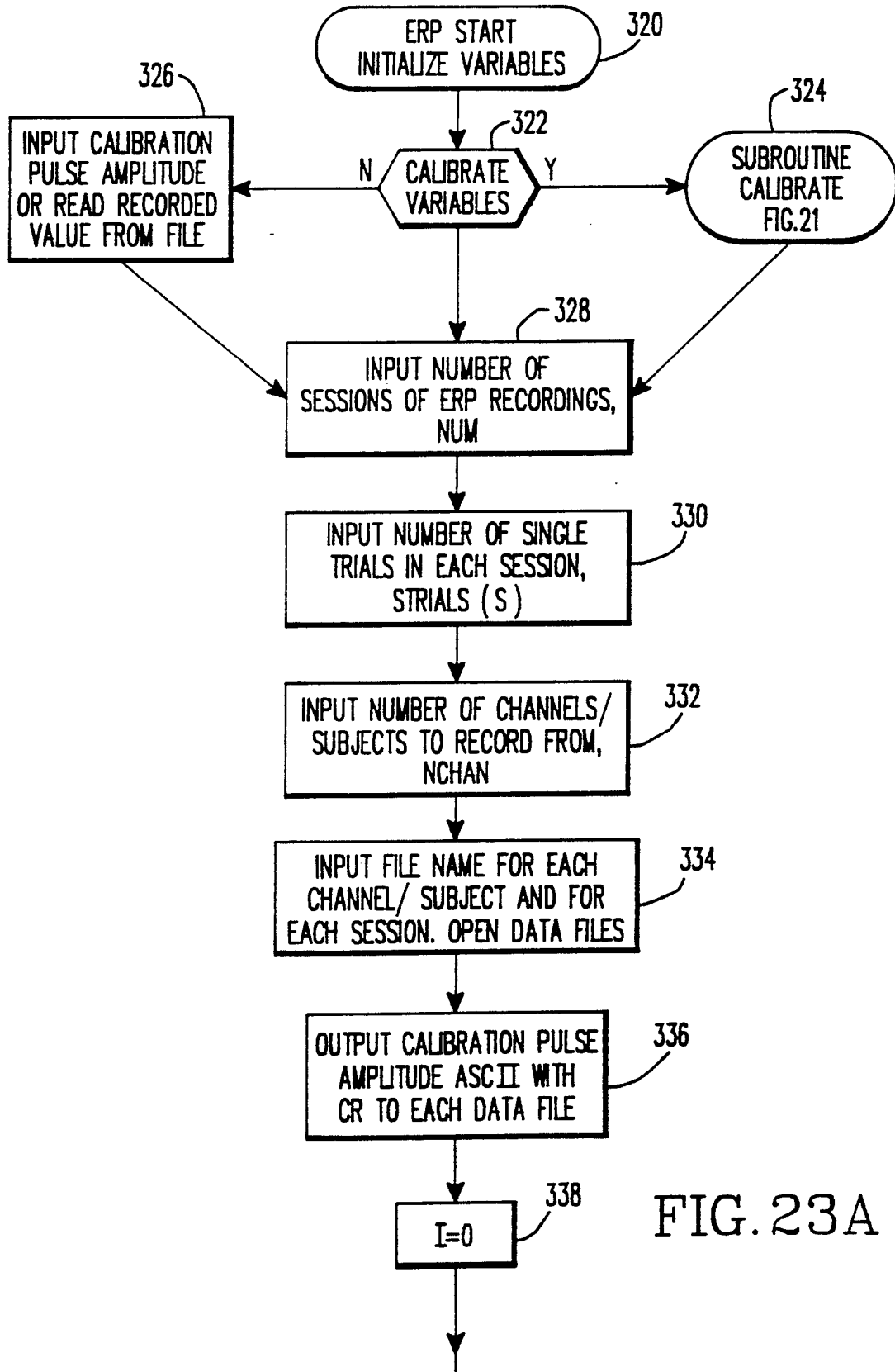


FIG. 23A

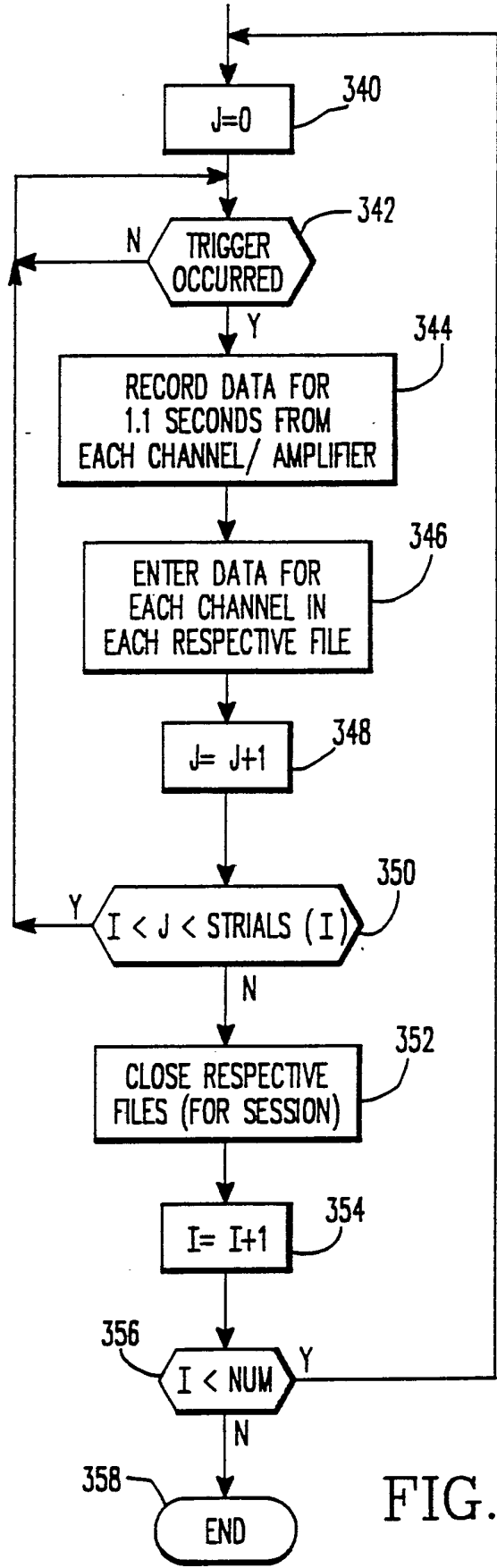


FIG. 23B

15/17

2221759

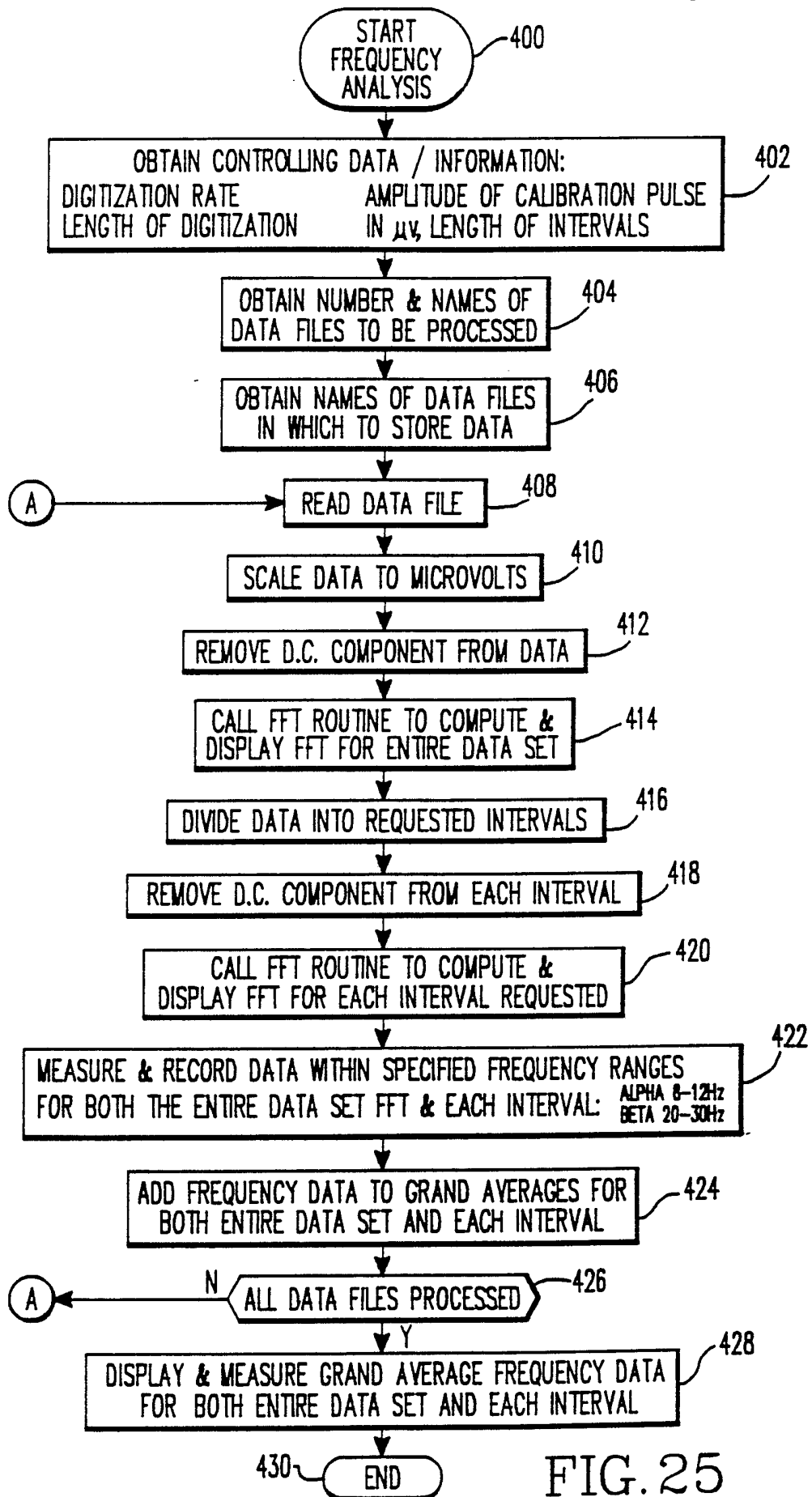


FIG. 25

16/17

2221759

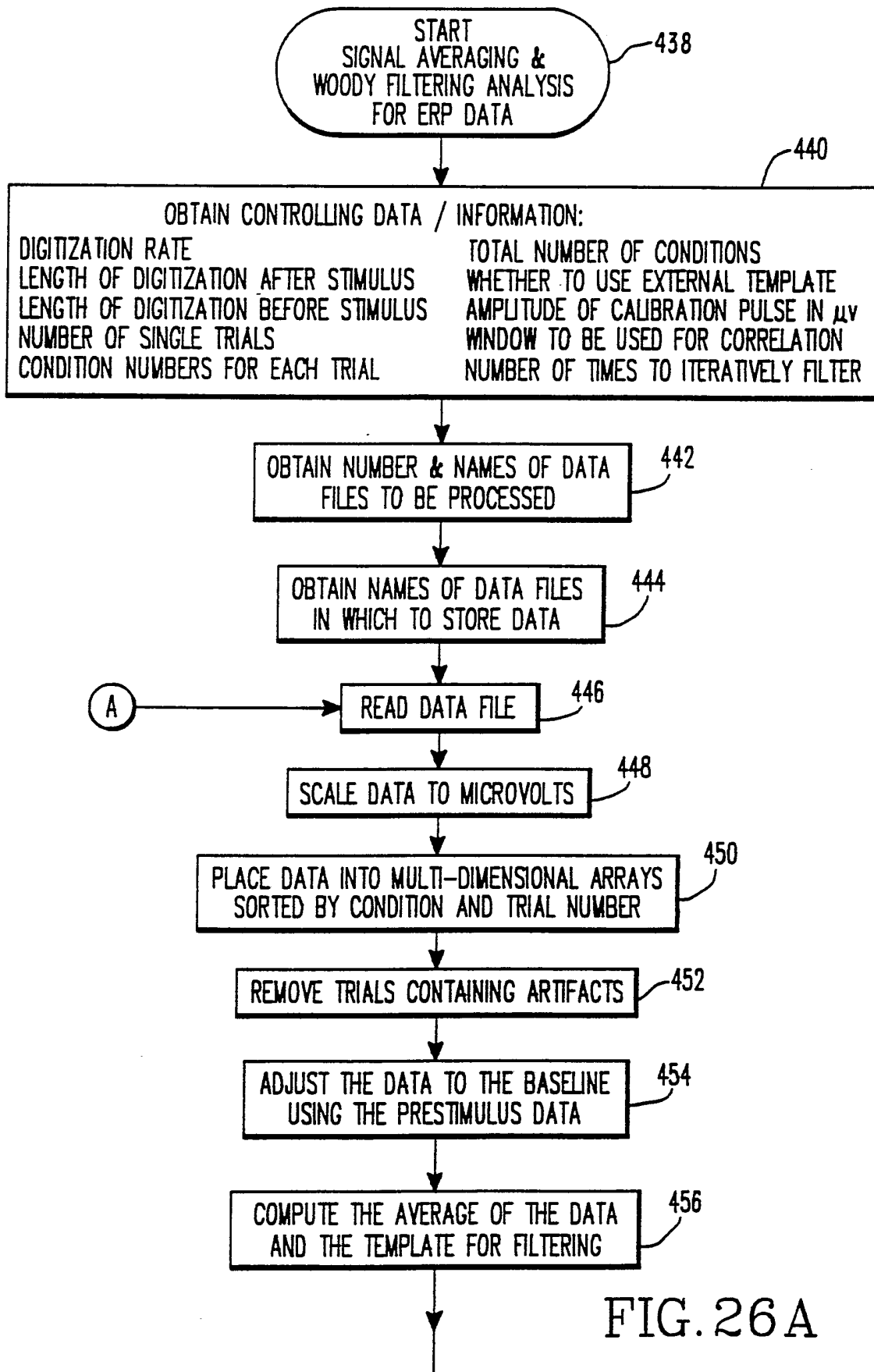


FIG. 26A

17/172221759

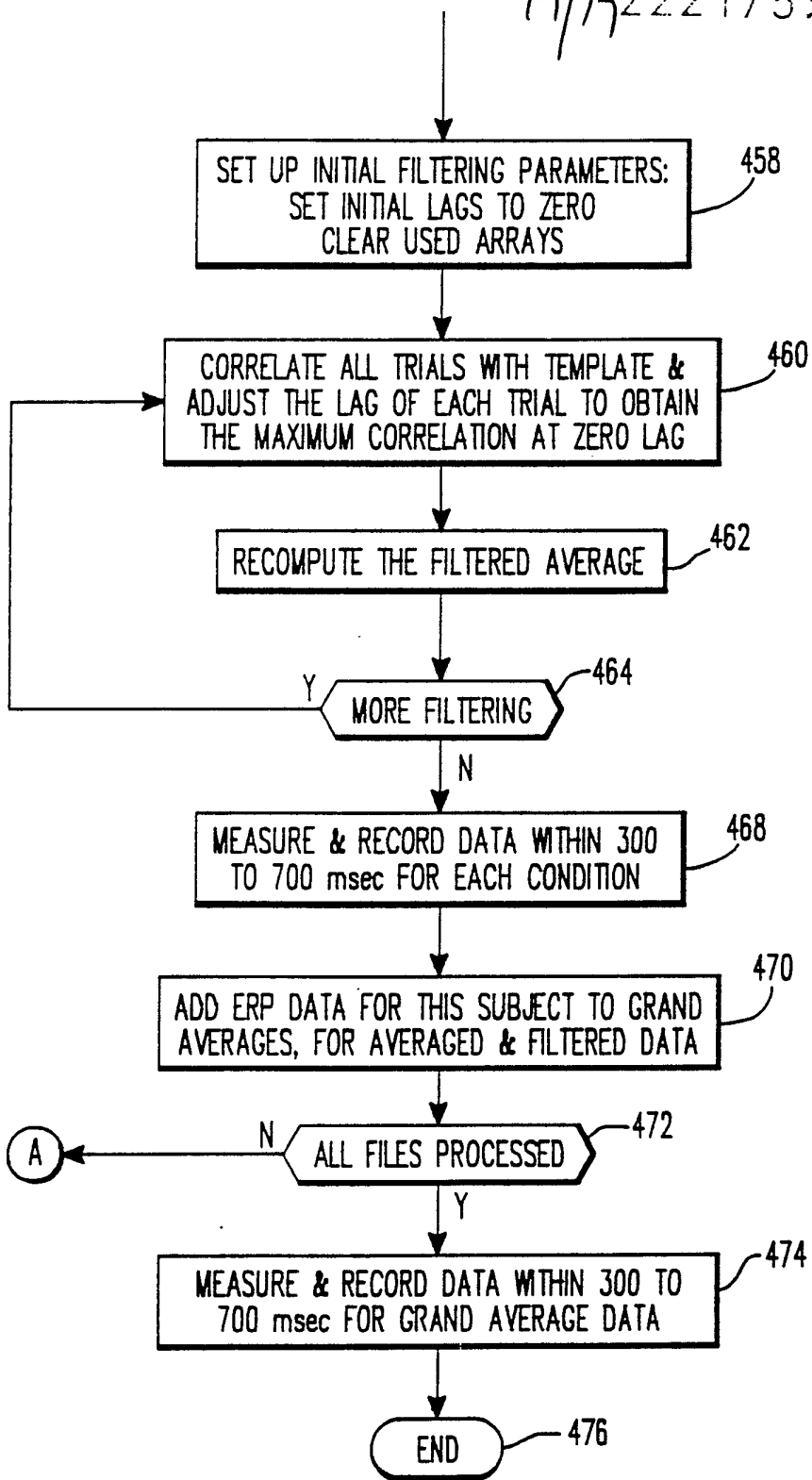


FIG. 26B

METHOD AND APPARATUS FOR PHYSIOLOGICAL EVALUATION OF
SHORT FILMS AND ENTERTAINMENT MATERIALS

The invention relates to a method and apparatus for physiological evaluation of short films and entertainment materials. More particularly, the invention is directed to an objective technique for evaluating short
5 films, particularly television advertisements, to a computerized technique for recording and analyzing the electrical activity of a subject's brain during the advertisement to determine attention to and cognition of the advertisement and event-related potential recording
10 during test sessions subsequent to the advertisement to determine the subject's understanding of the advertisement, memory of the advertisement product, perceived value of the product and intent to buy the product.

Previous methods of evaluating the effects of
15 television advertisements have involved either paper and pencil behavioural methods or physiological methods. Subjective interviews, paper and pencil surveys and day after recall have not proven to be reliable or valid methods of measuring and predicting the effect of an
20 advertisement on current and future consumer attitudes and behaviour. These methods of measuring the impact of an advertisement have not been as successful as desired because consumers become confused by requests to self-report their feelings and thoughts and often respond by

giving answers they feel are socially correct or expected. Secondly, the self-reporting always lacks "objectivity". Objective physiological measures, galvanic skin response, heart rate, pupil dilation, and the electroencephalogram (EEG) have been used to evaluate the effect of an advertisement as described in Appel et al., "Brain Activity and Recall of TV Advertising", Journal of Advertising Research; 19(4), 1979). These efforts have been criticized on the basis that they tend to measure general arousal and that the responses toward the commercial could not be verified as the subject's reaction to the commercial or some other external stimuli. In addition, these physiological measures lacked an effective direction, that is, the methods do not indicate whether a positive or negative reaction to the commercial is being exhibited.

It is an object of the present invention to objectively determine the effect of a short film, such as a television commercial, on a subject.

The invention consists in a method of evaluating a short film presented to a subject, comprising the steps of:

(a) presenting the short film to the subject;
(b) evoking brain activity responses by the subject to test materials related and not related to the film; and

(c) analyzing the brain activity responses to determine one of awareness of objects in the film, understanding of the film, value of the objects and commitment to the objects.

The invention also consists in apparatus for evaluating a short film presented to a subject, comprising: signal recording means for recording evoked brain activity of the subject during tests following the presentation of the film; and signal analysis means for analyzing the evoked brain activity to determine one of awareness of objects in the film, understanding of the film, value of the objects and commitment to the objects.

According to one aspect of the invention a computer may be used to record the electrical activity of a subject's brain while the subject is viewing a commercial and during commercial evaluation sequences subsequent to the commercial. The electroencephalographic (EEG) activity recorded during the commercial is analyzed for frequency content to determine attention to and cognition of the commercial. The electrical brain activity recorded during the evaluation sequences is analyzed to determine the amplitude and delay of event related potentials (ERP) produced during the events in the sequences. The ERPs are used to determine the understanding of the commercial by using product attributes as the stimuli, the value of the product by using price stimuli, the intent to buy the product by using purchasing stimuli and the memory of the product by using product stimuli.

In order to make the invention more clearly understood, the invention will now be described with reference to the accompanying drawings which are given by way of example and in which:-

Figure 1 is a plot of a typical event related potential (ERP);

Figure 2 is a graph of attention levels during a commercial;

Figure 3 is a graph of cognition activity during the commercial of Figure 2;

Figure 4 is a bar chart comparing attention levels of several commercials;

Figure 5 is a bar graph comparing cognition levels of several commercials;

Figure 6 is a graph of the memorability of a commercial;

Figure 7 is a bar chart which compares memorability of several commercials;

Figure 8 is a graph indicating the memorability of a product several weeks after seeing a commercial;

Figure 9 is a comparison of intent to buy a product compared to reference products;

Figure 10 is a graph of ERP response versus product value;

5 Figure 11 is a graph showing the understanding of the advertisement;

Figure 12 is a bar chart of product attribute profiles for four different advertisements for the same product with the values averaged;

10 Figure 13 illustrates the test site sequence used to test a commercial;

Figure 14 shows the event sequence during the intent to buy and value tests;

Figure 15 illustrates the event sequence for

the memory awareness tests;

Figure 16 depicts the understanding test event sequence;

5 Figures 17 and 18 illustrate equipment setups for creating the video tape shown to the subject;

Figure 19 illustrates the equipment used to perform the commercial analysis and testing;

10 Figure 20 is a diagram of the conversion circuit illustrated in Figure 19;

Figure 21 is a calibrate routine flowchart;

Figure 22 is a flowchart of EEG digitization;

15 Figures 23A and 23B depict the program for ERP digitization;

Figure 24 illustrates the analysis sequence for brain wave signals;

Figures 25A and 25B depict EEG frequency analysis; and

20 Figures 26A and 26B depict ERP signal analysis.

DESCRIPTION OF THE PREFERRED EMBODIMENTS

25 This invention is directed to analysis and interpretation of cortical electrophysiological activity and particularly to recording and analysis of a subject's electroencephalogram (EEG) during a commercial, and recording and analysis of event related potentials (ERP) in evaluation sessions subsequent to the commercial. The recorded EEG is a
30 continuous recording of electrical activity of the subject's brain during the commercial. An ERP is the electrical activity of the brain which is evoked by some environmental stimulus such as the presentation of a word or picture on a movie screen.
35 An ERP is recorded for each evoking event, the same

or similar events are presented a number of times and each ERP to each presentation is summed and averaged to improve the signal to noise ratio. Figure 1 illustrates a typical ERP waveform 10 with the peak designations commonly used by those of skill in the art for the different waveform peaks therein and the types of mental activity that are signified by the peaks. The ERP reflects both primary sensory processing (modality specific) and cognitive processing depending on the tasks and the subject's interpretation of the stimuli. ERP measures are objective in that they do not require a behavioral response by the subject and for this reason have a significant advantage over subjective interview paper and pencil methods. ERP measures also enable specific features of the commercial to be evaluated and measured. EEG techniques provide general non-directed measures of overall arousal and cognitive processing. The combination of EEG and ERP can measure an indication of the specificity of the EEG response to the commercial. This invention provides an apparatus and a method for objectively measuring the effect of television advertisements and other short films using a physiological approach that can measure the specific impact of the film.

This invention includes recording the EEG during a commercial or short film and measuring specific frequencies of the EEG produced during the short segments of the commercial. The amplitude of the frequencies measured represents general attention and cognitive processing. After the commercial is viewed ERPs are recorded during a number of different tasks and the amplitude and or latency of the response is determined. The result is the measurement of the subject's memory or

awareness of the contents of the commercial,
understanding of the commercial and the advertised
product, an indication of a subject's commitment to
buy the product advertised which is a measure of
5 persuasiveness of the message and an indication of
the perceived value placed on the product by the
subject. To obtain a representative sampling at
least 20 subjects should be tested.

EEG activity recorded from different
10 locations is sensitive to specific types of tasks in
which the subject is engaged. Verbal and emotional
tasks have an effect on overall EEG activity
recorded from over the left cerebral hemisphere
while spatial tasks alter EEG activity recorded from
15 over the right cerebral hemisphere. Specific
frequencies of the EEG, for example activity between
8 and 12 hertz (alpha activity) and activity between
16 and 24 hertz (beta activity), are sensitive to
specific cognitive activity. Alpha activity
20 measures intake or rejection of environmental
stimuli and reflects attention to the commercial and
beta activity measures both emotional processing and
cognitive demand.

To determine attention to the commercial and
25 cognition generated by the commercial, the EEG is
recorded and analyzed for alpha and beta activity
while a person watches the television advertisement.
A thirty second advertisement is presented and the
subject is instructed to watch the advertisement as
30 he would watch an advertisement at home. During EEG
analysis, the EEG is divided into time segments and
the magnitude of alpha and beta activity is measured
during each segment. The segments are preferably
less than four seconds long and preferably around
35 one second long. One second is about as short as is

practical because the digitization rate must be twice as fast as the highest frequency and preferably 3 to 4 times as fast. The analyzed segments can be concatenated throughout the film or only important segments can be analyzed.

When the magnitude or amplitude of the alpha and beta portions of the frequency spectrum of the EEG segments are plotted the subject's attention and cognition during the commercial is provided as illustrated in Figures 2 and 3. By providing the determined magnitude values of Figures 2 and 3 after testing as the commercial is being shown to the producer, the effectiveness of the intended attention getting segments of the commercial can be determined along with the cognition by the subject during the commercial segments. The commercial producer can then fine tune the segments of the commercial designed to get the attention of the subject as well as the segments designed to make the subject think about the message being conveyed by the commercial. By determining the average attention levels and cognition levels for a series of commercials for a particular product the attention levels and cognition levels of various commercials can be compared as illustrated in Figures 4 and 5 using the following formula:

$$Z\text{-Score} = (\text{Score} - \text{Sample Mean}) / \text{Standard Deviation} (1)$$

, where the Score is the value of interest and the Sample Mean is average value of all related measurements taken over the entire value of interest sample set. This formula transforms the data from different commercials to a standard or common scale so that advertisements can be compared to each

5 other. Bar graphs such as those illustrated in
10 Figures 4 and 5 will allow a producer or advertiser
to determine which commercial for the product is
likely to be the most successful at getting the
subject to pay attention to and think about the
advertisement.

15 Late components of the ERP, particularly
late positive components (LPC) and late negative
components (LNC) are related to a number of memory
processes involved with both initial encoding and
subsequent recognition and recall performance as
illustrated in Figure 1. An LPC is a positive peak
in the ERP that can occur with a latency varying
from 250-750 milliseconds after the presentation of
a stimulus. The peaks are traditionally denoted for
example as P3 (see Figure 1), where P indicates
positive and 3 indicates the third positive peak in
the sequence or P followed by the latency measured
in milliseconds at which the peak occurred, for
example P300. Isolated words presented within a
list of words are recalled better only if they also
generate a large P3 during initial presentation.
ERPs recorded during the encoding of words that
involve a semantic congruity judgment are related to
the performance on a future recognition test. A
larger late positive component (P3 or P300) is
recorded from words that are subsequently recognized
than words that are not recognized. Late positive
components are larger for correctly identified items
on a recognition test. The amplitude of a positive
component at 400 milliseconds (P4 or P400) is larger
to recognized photographs of persons, paintings,
objects and places. Words correctly recognized as
having been seen during an earlier task are
associated with a larger P650. Late negative

components around N400 and the actual latency of the component is associated with cognition about the event presented.

5 When assessing the impact of advertising several questions arise: One, will the product advertised be remembered in the future; two, how well is the product remembered at some specific time in the future; three, is the subject to buy the product in the future; four, what would the person
10 pay for the product; and five, does the subject understand what the advertisement is trying to convey.

To determine how well the commercial will be remembered the commercial is re-presented to the
15 subject as brief pictures of the commercial each shown for 150 milliseconds in their correct sequence. The brief pictures can be taken from the commercial in increments of one second apart for a thirty second commercial or as little as 3/4 seconds
20 apart to provide a more continuous review of the commercial. The amplitude of the late positive component (LPC) with a latency in the range of 350 to 600 milliseconds in the ERP for groups of adjacent pictures is determined and averaged to
25 indicate which parts of the commercial are remembered best. Figure 6 illustrates the memorability (awareness) for groups of five pictures when pictures are presented at one second increments for a thirty second commercial. This technique
30 provides information on which parts of the commercial are remembered best. An overall memory score can be calculated for each commercial by averaging the grouped picture scores and the commercials can be compared for memorability using
35 the averages scores as illustrated in Figure 7. It

is also possible, rather than presenting time-wise evenly spaced commercial frames, and possibly missing an important frame, to divide the commercial into segments that correspond to important parts of the commercial and to present frames related to those segments. In either situation a high late component amplitude indicates that a specific segment of the commercial or the overall commercial received a high level of attention and cognition and as a result should be remembered well.

To determine the memory of a commercial after a substantial delay, such as a 3.5 week delay after the commercial is presented, three types of pictures are shown to the subject: pictures from the advertisement containing the advertised product, pictures from the advertisement which do not contain the product and pictures from other advertisements and television shows. Figure 8 illustrates the amplitudes of the late positive components with a latency in the 300 to 500 millisecond range for pictures of the product (solid line 24), for pictures from the advertisement that do not show the product (dotted line 26) and pictures from other advertisements and television programs (dashed line 28). If the late positive component amplitude for the product is higher than the late positive components for the other slides, as depicted in Figure 8, the long term memorability of the product is good. If however, the late positive component amplitude of the product is lower than the late positive components of the other slides the long term memorability of the product is low. The long term memorability of various products can be compared by averaging the responses to the pictures showing each product and depicting the average

response values using a bar chart similar to that illustrated in Figure 7.

5 The evaluation of a subject's commitment to
buy an advertised product and the subject's
understanding of the content of the advertisement
and features or attributes of the product advertised
as well as the value (price) the subject places on
the product involves the measurement of the semantic
10 knowledge or meaning learned from the advertisement.
Semantic knowledge represents our general factual
and conceptual knowledge, beliefs and values
concerning a specific area and which is acquired
through experience, education, advertisement, media,
etc. ERPs provide a very sensitive measure of the
15 emotional impact of, the connotative meaning of, and
the semantic association between words as well as
the degree to which words are expected within a
specific context. The semantic knowledge measure
provided by an ERP is expressed by the enhancement
20 of a late negative component with a latency of
between 300 and 500 milliseconds.

 Semantic priming is used to determine the
enhancement of a late negative component amplitude
for tests related to intent to buy, understanding
25 and value. In this procedure a list of words is
presented to the subject and the degree of semantic
association between the words in the list is varied.
The typical behavioral result is that reaction time
is faster and more positive to words which are
30 preceded by words that are semantically associated
along some dimension. For example, the enhancement
of the late negative component amplitude will be
greater for a sentence such as "He liked lemon and
sugar in his tea" than for a sentence such as "He
35 liked lemon and sugar in his coffee". That is the

ERP varies as a function of how likely the subject thinks that a word would end a particular sentence. To measure the commitment to buy a product a sentence is presented such as "The next time I go to the supermarket I intend to buy" followed by one of the product words such as milk, fish or paper towels or pictures of these products. One of these products is the product advertised and one is a reference product. Since the ERP is a function of how well the word or picture completes the sentence for the subject, the ERP is able to distinguish between those products that have a high likelihood of being bought the next time the person goes to the store and those products that have a low chance of being bought. In this way, the likelihood that an advertised product will be bought as compared to products which are traditionally bought (reference products) when a person enters a store can be compared. A graph of the enhancement of the late negative component for a number of products to which the advertised product is compared is illustrated in Figure 9. By using a product, such as milk or bread, which has a high historical incidence of purchase as a reference and testing alternative advertisements against the reference, the advertisement that best increases the subject's commitment to buy the product can be identified and compared using a graph similar to that illustrated in Figure 7.

Determining the value (price) of a product can be accomplished in much the same way as the intent to buy by looking at the value of the latency of the late negative component in the 300 to 700 millisecond range. The later the response the closer the value is to the subject's perception of

the value. The subject is presented with a sentence such as "When purchasing (a product) I would pay" followed by a price. The product picture can be presented with the sentence. Sentences which use the advertised product as well as various products such as milk, bread and dog food can also be presented with varying prices as reference products. The actual prices for the non-advertised products in the region in which the test is being conducted should be included in the prices presented, so that reference ERP response values can be determined. Figure 10 illustrates the latency of the ERP responses for prices of an advertised product with a price of \$2.69 being the most expected. The dashed line in Figure 10 indicates the average latency to a reference product, such as milk, when the actual local price is presented.

Understanding of the product is divided into an ad profile and a product profile. The ad profile measures the understanding of the tone of the advertisement, and the product profile is an indication of the understanding of the characteristics of the product advertised.

The ad profile is measured with a procedure that is similar to measuring intent to buy and value. A sentence is presented such as "I believe the first commercial was" and next words are presented such as "interesting, informative, believable, meaningful, exciting, and convincing". The product profile focuses on determining the amplitude of the late negative component around N400. The enhancement of the ERP (increase in the positive change in the amplitude) to each word is recorded and analyzed to determine which words best characterize the advertisement and a graph is

presented as illustrated in Figure 11.

5 The product profile is also determined by
using the priming method. A picture of the product
is presented followed by a descriptive attribute or
characteristic of the product. For example if a
picture of a diet cola soda is presented, the ERP
amplitude will vary depending on how strongly the
subject associates the product with the attribute
"low calorie" or with the attribute "good tasting."
10 The attributes presented should reflect not only the
desired message of the commercial but other messages
of less relevance or of no relevance. The magnitude
of the ERP can be plotted using a graph similar to
Figure 11 where the attributes are indicated across
15 the bottom. It is also possible to combine
attribute values for several different
advertisements and produce a product attribute bar
chart as illustrated in Figure 12 which illustrates
the combination of product profiles from four
20 advertisements such as when a product such as tomato
juice is advertised.

From the ad profile and the product profile
the advertiser can determine whether the message
originally intended by the advertisement is being
25 communicated to the subject, thereby allowing the
advertiser to fine tune the advertisement for a
particular message.

The procedure used to test a commercial
attempts to create an environment very similar to
30 that which would be found in a person's home while
they are watching TV. The subjects are preferably
tested in groups of two and each subject is seated
in a comfortable chair in a market research test
facility where external disturbances can be
35 minimized. The procedure followed is illustrated in

Figure 13. First the equipment used to record the EEG is calibrated 60 followed by a brief description of the procedure and attachment 61 of the monitor electrodes to the subject. Once the subjects are relaxed, a videotape player is started which shows 5 62 entertainment for five minutes followed by two commercials 64 during which the EEG is recorded. One commercial is a reference commercial and the other commercial is the commercial for the product being advertised. Following the commercials four 10 minutes of entertainment are presented 66. After the entertainment the intent to buy test is conducted 68 during which the electrical activity is recorded for ERP analysis. The timing sequence of 15 images during this test is illustrated in Figure 14. Following the intent to buy test is the value test 70 during which the electrical activity for ERP analysis is also recorded followed by the awareness test 72 and the understanding test 74 during both of 20 which the brain wave activity is recorded for ERP analysis. The value test timing sequence of prices is also illustrated in Figure 14, the awareness test image timing sequence is illustrated in Figure 15 and the understanding test timing sequence for 25 presented words is illustrated in Figure 16. The sequence of events 62-74 are recorded on a single continuous videotape which is presented to the subjects without interruption. After the tests are completed and the monitors are removed from the 30 subjects, the subjects are asked to complete a paper and pencil questionnaire 76 during which the subjective impressions of the subjects are measured for comparison with the objective information gathered. Approximately three and one-half weeks 35 after the original commercial and test session, the

long term awareness test 78 can be conducted during which the long term memory of the commercial is tested. The timing for this procedure is also illustrated in Figure 15. The sequence of tests illustrated in Figure 13 is not fixed and can occur in any order and some of the tests can be omitted if desired by the advertiser.

Figure 14 illustrates the sequence of images and signals produced by the videotape during the intent to buy and value tests. After this segment of the tape starts 90, a warning tone is provided 92 to the user. 700 milliseconds later the sentence is displayed 94 for three seconds. One second after the sentence disappears a warning tone is provided to the subject and a blue box appears 96 on the television. Subsequent to the warning tone a record pulse is provided 98 to a computer to start recording the electrical brain wave activity of all subjects. 100 milliseconds after the record pulse and 850-1150 milliseconds, randomly selected, after the warning tone appears one of the randomly ordered words or prices is shown to the subject for 150 milliseconds. The random variation between the appearance of the blue box and the word reduces the contingent negative variation drift which occurs in the presence of regularly spaced stimuli. The 100 millisecond period after the record pulse is used to record activity which is later used as a base line measure during ERP analysis. 1.5 seconds after the word or price disappears the blue box disappears 102. If all the words or prices have not yet been displayed, a two second delay is provided after which the warning tone is sounded and the blue box again appears. If all the words or prices have been shown to the user the warning tone is produced 92

5
10
15
20
25
30
35

and another sentence is displayed 94. This cycle continues until all the words and/or prices have been shown to the user in random order at least 5 to 15 times. The responses to the same words or prices for the plural repetitions are later combined by averaging during the analysis process, so that any unusual responses and random noise will be reduced in the response data.

5
10
15
20
The memory awareness test 72 and 78 is presented as illustrated in Figure 15. After the segment starts 110, a warning tone is provided 112 to the subject after which a record pulse is transmitted 114 from the tape player to the computer system recording brain activity. 100 milliseconds after the record pulse and 850-1150 milliseconds, randomly chosen, after the warning tone the picture is shown 116 for 150 milliseconds. After the picture disappears from the television a 1.85 second delay is provided after which two tones are provided 118 to the subject. After a delay of 3.5 to 4 seconds, also randomly chosen, the warning tone again appears 112. This sequence continues until all pictures have been shown to the subject.

25
30
35
The understanding test, after it is started 130, also provides a warning tone and produces 132 a blue box on the television screen as illustrated in Figure 16. After a random delay of between 850 and 1150 milliseconds, the first word sentence is shown 136 to the subject for 150 milliseconds. The variable word is a product such as milk or the product being advertised. Between the first word and the presentation 140 of the second or attribute word, for example "exciting", a record pulse is provided 138 to start the computer recording. The delay between the first word and the second word is

randomly chosen within the range of 500-700
milliseconds. Once again the record pulse occurs
100 milliseconds before the second word is shown
140. 1.5 seconds after the second word disappears
5 from the screen the blue box disappears 142. After
a two second delay the warning tone and blue box
reappear 132 and this sequence continues until the
attributes are presented for the number of times
previously mentioned.

10 Throughout the above discussed tests the 1/L
audio channel is used as the audio output for the
subject and the 2/R audio channel is used as the
trigger line for the record pulse to the computer
system. The entertainment segments and the
15 commercial are recorded directly from other VCR
tapes using a standard video mixing set up. At the
very beginning of each commercial the record pulse
is recorded as a tone of for example 1000 Hz on
channel 2/R. This can be accomplished using a tone
20 generator connected to a video mixer. The tone
generator can be a personal computer such as the
Commodore Amiga executing a tone generation routine.
This audio trigger for the record pulse is provided
on the first frame of each commercial and 100
25 milliseconds before the word or image in all other
test sequences.

The intent to buy, value and product profile
tests are recorded using a set up as illustrated in
Figure 17. A personal computer 150 with a display
30 152, such as the Amiga computer mentioned above, is
used to directly feed a studio quality video
recorder 154 such as the Sony U-Matic Videocassette
Recorder Model V0-5856. The computer generates the
warning tones, the blue box, the random delay, the
35 trigger pulse the sentence, and the stimulus word as

previously discussed with respect to Figures 14-16 using a program as illustrated by the pseudo code algorithm of Appendix 1.

5 The sequence for constructing the memory awareness test occurs in two stages. In the first stage the pictures to be used during the test are transferred, as illustrated in Figure 18, from one video recorder 152 to a second video recorder 156 using the freeze frame capabilities of the video
10 recorder 152. To do this the commercial is sequenced forward until the desired picture is displayed and the freeze frame capability of the video recorder 152 is activated and then the second video recorder 156 records the selected picture for
15 seven seconds. This can be accomplished automatically by controlling the video recorders using a computer if a predetermined sequence such as a picture every second is desired. Once the pictures are recorded on the second video recorder
20 156, the tape with the seven second pictures is placed in the first video recorder 152. Using a script as illustrated in Appendix 2 attached hereto, the Deluxe Video Software by Electronic Arts of San Mateo, California for the Amiga computer is used to
25 control the transfer of the picture through a Commodore Amiga Genlock device 158. The attached script generates the warning tone and trigger tone and controls picture presentation for one commercial and in sequence a single memory awareness test.
30 This test sequence may be of segments taken from the commercial at 1/30 second intervals while the seven second presentation can be reduced to as little as two seconds. This device can be configured to transfer the video recorder signal by setting a mask
35 therein to transparent or to block the video signal

by setting the mask to black. The script provided in Appendix 2 will keep the screen recorded by video recorder 156 blank for the necessary amount of time, produce the warning tones, delays, trigger pulses and flash the pictures onto the screen for the required amount of time followed by blackening the screen again.

5
10
15
20
25
30
35

During the data collection process, a conventional personal type computer 180, as illustrated in Figure 19, such as an IBM AT with 3 megabytes of random access memory and a hard disk 182, is used to collect the brain wave data through a conventional 12 bit analog-to-digital converter 184 such as model 2801 from Data Translation sampling at 256 samples per second for each subject. The analog-to-digital converter 184 is multiplexed to sample the analog signals produced by amplifier/filtering circuits 186 and 187 corresponding to the subjects 190 and 192. The amplifier/filtering units 186 and 188 are preferably Grass Model 12 A5 Neuro Data Acquisition Systems available from Grass Instruments of Quincy, Massachusetts. The EEG signals are provided to the units 186 and 188 by non-polarizing electrode sets 194 and 196. A suitable electrode can also be obtained from Grass Instruments. To calibrate the system a calibration reference 198 such as the Grass RPS 107 regulated power supply is connectable to the units 186 and 188. As the subjects view the television 200, which is preferably a conventional television similar to the one the subjects use at home, the VCR 202 providing the image signal also produces the record trigger tone which is applied to a converter 204 the details of which are illustrated in Figure 20. The converter 204 applies a record

pulse to a conventional digital input/output port which is part of the A/D converter unit 184. The computer 180 waits for the record pulse either in a conventional interrupt or polling fashion. After the data is collected and stored on the disk 182, it is transferred to a larger computer 205 which is capable of high speed digital analysis. A suitable computer 205 is the Masscomp 5600 available from Massachusetts Corp, Westford, Mass. The data transfer can be accomplished over various types of telecommunication networks or by storing the data on a floppy disk and transferring the data disk by mail. If the commercial testing and analysis is to be carried out contemporaneously with the commercial editing process, a telecommunications data transfer process is preferred. If however the testing and evaluation need not be expedited, the less costly postal service transfer method is preferred. The computer 205 can be coupled to a statistical analysis computer 206 which can be any general purpose computer such as a Mackintosh personal computer or IBM AT executing a standard statistical analysis package. The statistical analysis which compares different types of signal filtering will be discussed in more detail hereinafter. Once the computer 205 has performed the necessary analysis computations, the selected results are output using a digital plotter and printer 208. The distributed equipment arrangement illustrated in Figure 19 could of course be included in a single portable unit rather than divided into two spatially separated units, so that analysis could be on the testing site and possibly contemporaneous with commercial production and editing.

The output of the 2/R channel of the VCR 202

is applied to an input 220 of a conversion unit 204 as illustrated in Figure 20. The conversion unit 204 includes a converter 222 which converts the sine wave signal into a square wave signal. This signal is applied to a pulse stretcher/normalizer 224 available from Texas instruments as chip CD 4047 which outputs a digital trigger to the computer system 178. The positioning of this pulse on the tape needs to be within plus or minus one millisecond of the correct position. Based on this trigger pulse the computer system begins digitizing and digitizes for a predetermined length of time as determined by the control program for each test being performed. Instead of having predetermined recording time lengths, it is possible to place an end of recording pulse or pulses on the tape. These would be digitized in the same way. It is also possible for each recording stage to be controlled by a different number of pulses.

In the discussion below flowcharts for several programs are discussed in detail. The routines represented by the flowcharts are preferably implemented in the C programming language but other languages can be used depending on the computer being used.

As previously mentioned, before the commercials are viewed by the subjects the calibration reference 198 provides a calibration pulse through the amplifier units 186 and 188 for each subject. The amplifiers 186 and 188 are calibrated using a ten microvolt signal and the averaged digitized amplitude of this signal is stored as an ASCII character followed by a carriage return character at the beginning of each data file for each subject. The calibration routine,

illustrated in Figure 21, starts by initializing 240 variables such as initializing the digitized calibration pulse for each subject to zero. The computer 180 then loops on a decision block 242
5 waiting for a trigger indicating that the calibration reference is being applied to a particular amplifier. When the trigger occurs the value provided by the amplifier is digitized 244 followed by incrementing 246 a counter for counting
10 calibration pulses. When thirty calibration pulses have been digitized 248, the thirty pulses are averaged 250 and stored 252 as previously discussed.

As illustrated in Figure 13, two types of brain wave digitization occur, one for recording the
15 EEG and one for recording the ERPs. The operation of the EEG digitization program is illustrated in Figure 22. After this program has started 270, during which the variables are initialized, a determination is made 272 concerning whether to
20 calibrate the amplifiers. If so the calibration routine of Figure 21 is executed 274. If not the test instructor is allowed to input 276 calibration pulse amplitudes. The instructor then inputs 278 the number of EEG segments, the length 280 of each
25 recording, the number of subjects 282 and the file name 284 for each subject. The program then stores 286 the calibration pulse amplitude at the head of each file. Next the segment counter I is set 288 to zero and the process enters a loop 290 waiting for
30 the trigger pulse at the beginning of the commercial. After the trigger pulse is received the data from each channel is recorded 292 and stored 294 for each segment after which the segment counter is incremented 296. If the segment counter is less
35 than the number of segments, the loop is traversed

again. Otherwise the data files for the EEG are closed 300.

5 The ERP digitization program of Figures 23A
and 23B starts 320 by initializing the various
variables and thus allows the instructor to
10 determine 322 whether calibration should occur,
followed by executing the calibration subroutine or
inputting 326 calibration pulse values. Once again
the number of ERP sessions to be recorded is
15 entered, followed by entering 330 the number of
trials of each word or picture and entering 332 the
number of subjects being analyzed. After the file
name for each subject is entered 334, the respective
calibration pulse amplitude is stored at the head of
20 each file. Next the sessions pointer is initialized
338 to zero and the number of trials pointer is
initialized 340. The process then waits 342 for the
trigger pulse after which the data is recorded 344
and stored 346 for the trial. The trial pointer is
25 then incremented 348, followed by a comparison 350
of the trial pointer with the number of trials
entered. If all trials have not been done the
process loops back to continue digitizing. If the
trials have been done the respective session files
30 are closed 352 followed by incrementing 354 the
session pointer and a comparison 356 with the
session number previously entered. If additional
sessions are necessary the process loops back and
performs those sessions.

35 The processes illustrated in Figures 22 and
23 allow the operator to enter the number of
sessions and subjects at the beginning of each test
thereby allowing the instructor to change these
values as needed for different situations. This
method of entering the variable data before each

test requires that the tape player 202 be stopped after events 66-72 of Figure 13, so that the instructor can enter the data. An alternative is to put all variable information in blocks of the flowcharts in Figures 22 and 23 at the beginning of the program and concentrate the remainder of Figures 22 and 23 into a single program. This would provide the advantage of not having to stop the recorder after each test and would allow the disturbing influence of the instructor to be removed from the room once the activity of block 62 in Figure 13 has started. As another alternative it is possible to store the variable data in a fixed data file which is accessed during a test. In such a situation those portions of the flowchart which allow the entry of the data would be substituted with read instructions.

After the data is transferred to the signal analysis computer 205, the sequence of processing steps which produces the plots as previously discussed is illustrated in Figure 24. First the EEG is analyzed 370 using a conventional FFT (Fast Fourier Transform routine) for the entire commercial and for the segments of the commercial. Based on the amplitude in the alpha and beta portions of the spectrum the amplitudes for the entire commercial are output 372 followed by plotting the alpha and beta amplitudes for the segments 374. The routine which performs the functions of blocks 370-374 is illustrated in Figures 25A and 25B. The ERP data can sometimes be contaminated with various types of noise and two types of signal filtering are performed on this data to remove the noise as illustrated in Figure 24. First signal averaging for the ERP tests is performed 376 followed by

adaptive filtering 378. If the latency of the ERP is necessary for the particular test, for example the value test, only the signal averaged data can be used to determine ERP amplitude and latency because adaptive filtering destroys latency information. If latency is not necessary it is possible to adaptively filter 378 the ERP data. After signal averaging and adaptive filtering the data is plotted 380. Adaptive filtering will positively enhance the data and thereby enhance the differences in peak amplitudes between various products, pictures and words. The adaptive filtering is chosen when latency is not necessary and when the averaged data and the adaptive filtered data do not diverge significantly. The divergence is determined by using standard statistical analysis techniques 382 to compare the amplitudes produced in both during signal averaging and adaptive filtering. Based on the results of the statistical test the adaptive filtered plots may be discarded as will be discussed in more detail later. Figures 26A-26C illustrate the software which performs the signal averaging, adaptive filtering and output.

The frequency analysis routine depicted in Figures 25A and 25B is described in more detail in the pseudo code of Appendix 3. This routine uses a standard decimation in time frequency algorithm such as can be found in Burrus and Parks, DFT/FFT and Convolution Algorithms, Wiley, 1985. After the frequency analysis routine is started 400 the control data is obtained 402 followed by obtaining 404 the number and names of data files to be processed and obtaining 406 the names of data files in which the output data is to be stored. This information is supplied by the user. Next the data

is read 408 followed by a scaling 410 of the data to a microvolt level. The DC component is removed from the data followed by an execution 414 of the FFT routine for the entire recorded EEG for each
5 subject. This routine displays the FFT data for the entire data set. Next the EEG data is divided 416 into the requested intervals and the DC component is removed 418 from each interval followed by another call of the FFT routine for each interval along with
10 a display of the resultant data 420. The amplitude data is then measured and recorded 422 for the entire EEG and for the EEG intervals. The interval data is added to the grand averages after which it is determined 426 whether all the data files have
15 been processed. If so the final data is displayed 428.

The signal averaging and adaptive filtering routine is illustrated in Figures 26A-26C and the details of this routine are provided in Appendix 4.
20 This program computes the brain activity using two different techniques, signal averaging and adaptive or Woody filtering. A discussion of the Woody filtering algorithm can be found in Woody, Characterization of an Adaptive Filter for the
25 Analysis of Variable Components, Med. Biol. Eng., 5, 539-553, 1967. Signal averaging is the straightforward method of averaging together all the ERPs of each condition. With the Woody filtering technique, a template specified by the user is
30 correlated with all of the ERPs (single trials) of each condition. The ERPs are then time shifted so that each one has a maximum correlation with the template at zero lag. The Woody filtered signal is the average of the time adjusted ERPs.

35 The user chooses one of two methods for

determining a template for the Woody filtering. A triangular or rounded triangular wave centered at 375 milliseconds after a stimulus presentation can be used as the template. The correlation between this template and each single trial is done once and a filtered signal for each condition is the time adjusted average of the single trials and each condition. A template for each condition can also be the time adjusted average of all single trials within that condition. This is an interactive method which involves computing the template, correlating all ERPs with the template for each condition and time shifting accordingly and repeating this process. The initial template is the average of the non-adjusted ERPs.

Because of the different ways in which the brain responds to different conditions presented and the needs of the different tests, the method of measuring the brain activity and the signals vary. The program uses two methods of determining results: 1) determining the maximum peak amplitude within a specified window and 2) determining the latency of the maximum peak within a specified window. These measurement techniques are used to compute the brain activity in both the averaged and filtered data.

The program illustrated in Figure 26 will read the ERP data, calculate and display the averaged and filtered ERP for each condition and measure and record the brain activity for each condition. The program accepts one data file per subject and can process an infinite number of data files. The program also computes a "grand average" of the averaged and filtered ERPs for all subjects processed when the program is run.

The program starts, as illustrated in Figure

26A, by obtaining 440 the controlling data and the
input 442 and output 444 files. Next the data is
read 446, scaled 448 and placed into multi-
dimensional arrays 450 according to condition and
5 trial number. Next the program removes 452 all
trials containing artifact data and then adjusts 454
all the data using the base line data collected
during the interval between the record pulse and the
time at which the stimulus is presented. Next the
10 data average and the template for the data are
computed 456 followed by setting up 458 the initial
filter parameters. The trials are circularly
correlated with a template with a limit of 250
milliseconds on the shift allowed during correlation
15 and the lag of each trial is obtained 460. The
recomputed filter average is next produced 462
followed by a determination 464 as to whether
additional filtering is necessary. If additional
filtering is not necessary the data within the ERP
20 window is measured 468 for peak value and the data
is added 470 to the data for this subject. If all
files have been processed 472 then the data is
measured and recorded 474 for the grand average.

As previously mentioned once the outputs are
25 produced for the adapted filtered data and the
signal averaged data, a determination must be made
concerning which amplitude outputs are to be used.
To make this determination first, the signal
averaged data are statistically analyzed using an
30 analysis of variance test using a package such as
the BMDP available for an IBM AT. Next the Woody
filtered data are statistically analyzed using the
analysis of variance test. Before the adaptively
filtered data is used as the output for amplitude
35 measurements, the following conditions must be met:

(1) the adaptively filtered data must generate the same pattern of results as the signal averaged data; and (2) the adaptively filtered data must be more statistically reliable, that is, the error variance must be lower. If these conditions are not met then the signal averaged data is reported as the final output. As an example of condition (1) assume that data are collected for four words presented as stimuli W1, W2, W3 and W4 and the signal averaged data show that responses to W1 and W2 are both larger than W3 and W4 and that the adaptively filtered data show that responses to W3 and W4 are larger than the responses to W1 and W2. In this case, since the pattern of results is completely different for the adaptive filtered data the adaptive filtered data output graphs would not be used. Condition 2 is satisfied if the adaptive filtering results in data that have a treatment variance that is larger relative to the error variance than the signal averaged data. This would translate into a more powerful statistical test. The adaptively filtered data usually will not satisfy conditions 1 and 2 when the data is noisy.

In the above-discussion, the invention can use conventional non-polarizing electrodes to obtain the signal samples from the subject, however, as advances in magnetoencephalography decrease the size and increase the sensitivity of remote sensors, they would be a good candidate for non-contact sensors for use in this invention.

The many features and advantages of the invention are apparent from the detailed specification and, thus, it is intended by the appended claims to cover all such features and advantages of the invention which fall within the

5 true spirit and scope thereof. Further, since numerous modifications and changes will readily occur to those skilled in the art, it is not desired to limit the invention to the exact construction and operation illustrated and described, and accordingly all suitable modifications and equivalents may be resorted to, falling within the scope of the invention.

CLAIMS:

1. A method of evaluating a short film presented to a subject, comprising the steps of:

- 5 (a) presenting the short film to the subject;
- (b) evoking brain activity responses by the subject to test materials related and not related to the film; and
- (c) analyzing the brain activity responses to determine one of awareness of objects in the film, understanding of the film, value of the objects and commitment to the objects.
- 10

2. A method as claimed in claim 1, further comprising the steps of:

- (d) recording brain activity of the subject during the film; and
- 15 (e) analyzing the brain activity to determine one of attention to and cognition of the film.

3. A method as claimed in claim 2, wherein step (d) includes recording electroencephalographic brain activity and step (e) comprises measuring an amplitude of a predetermined frequency component.

20

4. A method as claimed in claim 3, wherein step (e) further comprises measuring the amplitude of segments of the brain activity and for the entire recorded brain activity.

25

5. A method as claimed in claim 4, wherein the predetermined frequency component comprises one of alpha and beta frequency components.

6. A method as claimed in claim 1, wherein step
5 (b) includes recording evoked brain activity potentials produced by the subject and step (c) comprises measuring one of amplitude or latency of predetermined components of the evoked potentials.

7. A method as claimed in claim 6, wherein step
10 (b) includes priming the subject and presenting a stimulus to the subject.

8. A method as claimed in claim 6, wherein step
(b) includes presenting to the subject one of film images and film words.

15 9. A method of evaluating a short film presented to a subject, comprising the steps of:

(a) presenting first film entertainment material to the subject;

(b) presenting the short film to the subject and
20 recording electroencephalographic activity of the subject during the short film;

(c) presenting a similar short film to the subject;

(d) presenting a second film entertainment
25 material to the subject;

(e) presenting the subject with visual test materials related and not related to the short film and recording evoked potentials produced by the subject;

(f) determining and measuring amplitudes of pre-
30 determined frequency components of the electroencephalographic activity in segments of and overall for the recorded electroencephalographic activity;

(g) measuring amplitudes of late components of the evoked potentials; and

35 (h) measuring latency of late components of the evoked potentials.

10. An apparatus for evaluating a short film presented to a subject, comprising:

signal recording means for recording evoked brain activity of the subject during tests following the presentation of the film; and

signal analysis means for analyzing the evoked brain activity to determine one of awareness of objects in the film, understanding of the film, value of the objects and commitment to the objects.

11. An apparatus as claimed in claim 10, further comprising initiation means for starting recording.

12. An apparatus as claimed in claim 10, wherein said signal recording means record brain activity during the short film and said signal analysis means determines attention to and cognition of the film from the brain activity recorded during the film.

13. An apparatus as claimed in claim 12, wherein said signal analysis means includes means for determining amplitude of predetermined frequency components in the activity recorded during segments of the film and over the entire film.

14. An apparatus as claimed in claim 10, wherein said signal analysis means comprises latency means for determining amplitude and latency of predetermined components in the evoked brain activity.

15. An apparatus for evaluating a short film presented to a subject, comprising:

a video tape player presenting the short film and producing a record signal;

a converter connected to said player and converting the record signal into a record pulse;

sensors for brain wave signals of the subject;

an amplifier/filter unit connected to said sensors;

an analog to digital conversion unit connected to said amplifier/filter unit and having a digital output connected to said converter;

a recording computer connected to said conversion unit and said recorder for recording the signals responsive to the record pulse; and

5 a signal analysis computer receiving the recorded signals, determining attention to and cognition of segment of the film and the overall film by measuring amplitudes of alpha and beta frequency components produced by the subject during the segments and overall, and determining one of awareness of the film, understanding of the film,
10 commitment to objects in the film and value of objects in the film by measuring one of amplitude or latency or late evoked potentials.