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(54) Title: THERAPEUTIC DOSING OF A NEUREGULIN OR A SUBSEQUENCE THEREOF FOR TREATMENT OR PROPHYLAXIS OF HEART FAILURE

(57) Abstract:

THERAPEUTIC DOSING OF A NEUREGULIN OR A SUBSEQUENCE THEREOF FOR  
TREATMENT OR PROPHYLAXIS OF HEART FAILURE

FIELD OF THE INVENTION

5 The field of the invention relates to treatment of heart failure . More specifically, the invention is directed to an improved dosing regimen whereby the therapeutic benefits of administration of a neuregulin, such as glial growth factor 2 (GGF2) or fragment thereof, are maintained and/or enhanced, while minimizing any potential side effects.

10 BACKGROUND OF THE INVENTION

A fundamental challenge associated with the administration of medications to patients in need thereof is the relationship between tolerability and efficacy. The therapeutic index is the range between which an efficacious dose of a substance can be administered to a patient and a dose at  
15 which undesired side effects to the patient are noted. Generally, the larger the difference between the efficacious dose and the dose at which side effects initiate, the more benign the substance and the more likely it is to be tolerated by the patient.

Heart failure, particularly congestive heart failure (CHF), one of the leading causes of death in  
20 industrialized nations. Factors that underlie congestive heart failure include high blood pressure, ischemic heart disease, exposure to cardiotoxic compounds such as the anthracycline antibiotics, radiation exposure, physical trauma and genetic defects associated with an increased risk of heart failure. Thus, CHF often results from an increased workload on the heart due to hypertension, damage to the myocardium from chronic ischemia, myocardial infarction, viral disease, chemical  
25 toxicity, radiation and other diseases such as scleroderma. These conditions result in a progressive decrease in the heart's pumping ability. Initially, the increased workload that results from high blood pressure or loss of contractile tissue induces compensatory cardiomyocyte hypertrophy and thickening of the left ventricular wall, thereby enhancing contractility and maintaining cardiac function. Over time, however, the left ventricular chamber dilates, systolic  
30 pump function deteriorates, cardiomyocytes undergo apoptotic cell death, and myocardial

function progressively deteriorates.

Neuregulins (NRGs) and NRG receptors comprise a growth factor-receptor tyrosine kinase system for cell-cell signaling that is involved in organogenesis and cell development in nerve,  
5 muscle, epithelia, and other tissues (Lemke, *Mol. Cell. Neurosci.* 7:247-262, 1996 and Burden et al., *Neuron* 18:847-855, 1997). The NRG family consists of four genes that encode numerous ligands containing epidermal growth factor (EGF)-like, immunoglobulin (Ig), and other recognizable domains. Numerous secreted and membrane-attached isoforms function as ligands in this signaling system. The receptors for NRG ligands are all members of the EGF receptor  
10 (EGFR) family, and include EGFR (or ErbB1), ErbB2, ErbB3, and ErbB4, also known as HER1 through HER4, respectively, in humans (Meyer et al., *Development* 124:3575-3586, 1997; Orr-Urtreger et al., *Proc. Natl. Acad. Sci. USA* 90: 1867-71, 1993; Marchionni et al., *Nature* 362:312-8, 1993; Chen et al., *J. Comp. Neurol.* 349:389-400, 1994; Corfas et al., *Neuron* 14:103-115, 1995; Meyer et al., *Proc. Natl. Acad. Sci. USA* 91:1064-1068, 1994; and Pinkas-Kramarski  
15 et al., *Oncogene* 15:2803-2815, 1997).

The four NRG genes, NRG-1, NRG-2, NRG-3, and NRG-4, map to distinct chromosomal loci (Pinkas-Kramarski et al., *Proc. Natl. Acad. Sci. USA* 91:9387-91, 1994; Carraway et al., *Nature* 387:512-516, 1997; Chang et al., *Nature* 387:509-511, 1997; and Zhang et al., *Proc. Natl. Acad. Sci. USA* 94:9562-9567, 1997), and collectively encode a diverse array of NRG proteins. The gene products of NRG-1, for example, comprise a group of approximately 15 distinct structurally-related isoforms (Lemke, *Mol. Cell. Neurosci.* 7:247-262, 1996 and Peles and Yarden, *BioEssays* 15:815-824, 1993). The first-identified isoforms of NRG-1 included Neu Differentiation Factor (NDF; Peles et al., *Cell* 69, 205-216, 1992 and Wen et al., *Cell* 69, 559-572, 1992), heregulin (HRG; Holmes et al., *Science* 256:1205-1210, 1992), Acetylcholine Receptor Inducing Activity (ARIA; Falls et al., *Cell* 72:801-815, 1993), and the glial growth factors GGF1, GGF2, and GGF3 (Marchionni et al. *Nature* 362:312-8, 1993).  
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The NRG-2 gene was identified by homology cloning (Chang et al., *Nature* 387:509-512, 1997; Carraway et al., *Nature* 387:512-516, 1997; and Higashiyama et al., *J. Biochem.* 122:675-680,  
30

1997) and through genomic approaches (Busfield et al., Mol. Cell. Biol. 17:4007-4014, 1997). NRG-2 cDNAs are also known as Neural- and Thymus-Derived Activator of ErbB Kinases (NTAK; Genbank Accession No. AB005060), Divergent of Neuregulin (Don-1), and Cerebellum-Derived Growth Factor (CDGF; PCT application WO 97/09425). Experimental  
5 evidence shows that cells expressing ErbB4 or the ErbB2/ErbB4 combination are likely to show a particularly robust response to NRG-2 (Pinkas-Kramarski et al., Mol. Cell. Biol. 18:6090-6101, 1998). The NRG-3 gene product (Zhang et al., supra) is also known to bind and activate ErbB4 receptors (Hijazi et al., Int. J. Oncol. 13:1061-1067, 1998).

10 An EGF-like domain is present at the core of all forms of NRGs, and is required for binding and activating ErbB receptors. Deduced amino acid sequences of the EGF-like domains encoded in the three genes are approximately 30-40% identical (pairwise comparisons). Further, there appear to be at least two sub-forms of EGF-like domains in NRG-1 and NRG-2, which may confer different bioactivities and tissue-specific potencies.

15 Cellular responses to NRGs are mediated through the NRG receptor tyrosine kinases EGFR, ErbB2, ErbB3, and ErbB4 of the epidermal growth factor receptor family. High-affinity binding of all NRGs is mediated principally via either ErbB3 or ErbB4. Binding of NRG ligands leads to dimerization with other ErbB subunits and transactivation by phosphorylation on specific  
20 tyrosine residues. In certain experimental settings, nearly all combinations of ErbB receptors appear to be capable of forming dimers in response to the binding of NRG-1 isoforms. However, it appears that ErbB2 is a preferred dimerization partner that may play an important role in stabilizing the ligand-receptor complex. ErbB2 does not bind ligand on its own, but must be heterologously paired with one of the other receptor subtypes. ErbB3 does possess tyrosine  
25 kinase activity, but is a target for phosphorylation by the other receptors. Expression of NRG-1, ErbB2, and ErbB4 is known to be necessary for trabeculation of the ventricular myocardium during mouse development.

Neuregulins stimulate compensatory hypertrophic growth and inhibit apoptosis of  
30 mycardiocytes subjected to physiological stress. In accordance with these observations,

administration of a neuregulin is useful for preventing, minimizing, or reversing congestive heart disease resulting from underlying factors such as hypertension, ischemic heart disease, and cardiotoxicity. See, e.g., United States Patent Number (USPN) 6,635,249, which is incorporated herein in its entirety.

5

In view of the high prevalence of heart failure in the general population, there continues to be an unmet need to prevent or minimize progression of this disease, such as by inhibiting loss of cardiac function or by improving cardiac function

## 10 SUMMARY OF THE INVENTION

The present invention comprises a method for treating or preventing heart failure in a mammal. The method is based on the surprising observation that therapeutic benefits of a peptide that comprises an epidermal growth factor-like (EGF-like) domain can be achieved by dosing regimens for neuregulin administration that do not maintain steady-state such as by

15 administering a therapeutically effective amount of the peptide to a mammal at administration intervals of at or over 48, 72, 96 or more hours. Accordingly, the present method calls for intermittent or discontinuous administration (every 48 to 96 hours, or even longer intervals) of a peptide that contains an EGF-like domain to the mammal, wherein the EGF-like domain is encoded by a neuregulin gene, and wherein administration of the peptide is in an amount

20 effective to treat or prevent heart failure in the mammal. Dosing regimens for neuregulin administration that do not maintain steady-state concentrations are equally as effective as more frequent dosing regimens, yet without the inconvenience, costs or side effects that can result from more frequent administration. As used herein the term intermittent or discontinuous administration includes a regimen for dosing on intervals of at least 48 hours, 72 hours, 96 hours,

25 1 day, 2 days, 3 days, 4 days, 5 days, 6 days, 7 days, 8 days, 9 days, 10 days, 11 days, 12 days, 13 days, 14 days 1 week, 2 weeks, 4 weeks, 1 month, 2 months, 3 months, 4 months, or any combination or increment thereof so long as the interval/regimen is at least 48 hours, 72 hours, 96 hours, 1 day, 2 days, 3 days, 4 days, 5 days, 6 days, 7 days, 8 days, 9 days, 10 days, 11 days, 12 days, 13 days, 14 days 1 week, 2 weeks, 4 weeks, 1 month, 2 months, 3 months, 4 months.

30 As used herein the term intermittent or discontinuous administration includes a regimen for

dosing on intervals of not less than 48 hours, 72 hours, 96 hours, 1 day, 2 days, 3 days, 4 days, 5 days, 6 days, 7 days, 8 days, 9 days, 10 days, 11 days, 12 days, 13 days, 14 days 1 week, 2 weeks, 4 weeks, 1 month, 2 months, 3 months, 4 months, or any combination or increment thereof so long as the interval/regimen is not less than 48 hours, 72 hours, 96 hours, 1 day, 2  
5 days, 3 days, 4 days, 5 days, 6 days, 7 days, 8 days, 9 days, 10 days, 11 days, 12 days, 13 days, 14 days 1 week, 2 weeks, 4 weeks, 1 month, 2 months, 3 months, 4 months.

In accordance with the present invention, intermittent or discontinuous administration) of a peptide that contains an EGF-like domain to the mammal, wherein the EGF-like domain is  
10 encoded by a neuregulin gene, is directed to achieving a dosing regimen wherein narrow steady-state concentrations of the administered peptide are not maintained, thereby reducing the probability that the mammal will experience untoward side effects that may result from maintaining supraphysiological levels of the administered peptide over a prolonged duration. For example, side effects associated with supraphysiological levels of exogenously administered  
15 NRG include nerve sheath hyperplasia, mammary hyperplasia, renal nephropathy, hypospermia, hepatic enzyme elevation, heart valve changes and skin changes at the injection site.

In a preferred embodiment, the present invention is directed to an intermittent dosing regimen that elicits or permits fluctuations in the serum levels of the peptide comprising an EGF-like  
20 domain encoded by a neuregulin gene and thus reduces the potential for adverse side effects associated with more frequent administration of the peptide. The intermittent dosing regimen of the present invention thus confers therapeutic advantage to the mammal, but does not maintain steady state therapeutic levels of the peptide comprising an EGF-like domain encoded by a neuregulin gene. As appreciated by those of ordinary skill in the art, there are a various  
25 embodiments of the invention to obtain the intermittent dosing; the benefits of these embodiments can be stated in various ways for example, said administering does not maintain steady state therapeutic levels of said peptide, the administering reduces potential for adverse side effects associated with administration of NRG peptide more frequently, and the like.

30 In particular embodiments of the invention, the neuregulin may be the gene, gene product or

respective subsequence or fragment thereof comprising, consisting essentially of or consisting of: NRG-1, NRG-2, NRG-3 or NRG-4. In a preferred embodiment an NRG subsequence or fragment of the invention comprises an epidermal growth factor-like (EGF-like) domain or a homologue thereof. As appreciated by persons of ordinary skill in the art, a peptide homologue to an EGF-like domain peptide is determined by finding structural homology or by the homologue peptide performing as a EGF-like peptide does in functional assays such as by binding and activating ErbB receptors. Preferably the fragment is at least 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85 amino acids long. A neuregulin peptide of the invention may, in turn, be encoded by any one of these neuregulin genes (or subsequence thereof). In a more particular embodiment, the peptide used in the method is recombinant human GGF2 or a fragment or subsequence thereof. See Figures 8A-8D for the amino and nucleic acid sequences of full length human GGF2.

In an aspect of the invention, suitable mammals include, but are not limited to, mice, rats, rabbits, dogs, monkeys or pigs. In one embodiment of the invention, the mammal is a human.

In other embodiments of the invention, the heart failure may result from hypertension, ischemic heart disease, exposure to a cardiotoxic compound (e.g., cocaine, alcohol, an anti-ErbB2 antibody or anti-HER antibody, such as HERCEPTIN®, or an anthracycline antibiotic, such as doxorubicin or daunomycin), myocarditis, thyroid disease, viral infection, gingivitis, drug abuse, alcohol abuse, pericarditis, atherosclerosis, vascular disease, hypertrophic cardiomyopathy, acute myocardial infarction or previous myocardial infarction, left ventricular systolic dysfunction, coronary bypass surgery, starvation, radiation exposure, an eating disorder, or a genetic defect.

In another embodiment of the invention, an anti-ErbB2 or anti-HER2 antibody, such as HERCEPTIN®, is administered to the mammal before, during, or after anthracycline administration.

30

In other embodiments of the invention, the peptide is administered prior to exposure to a cardiotoxic compound, during exposure to said cardiotoxic compound, or after exposure to said cardiotoxic compound; the peptide is administered prior to or after the diagnosis of congestive heart failure in said mammal. A method of the invention can take place after the subject

5 mammal has undergone compensatory cardiac hypertrophy; a method of the invention comprises that the outcome of the method is to maintain left ventricular hypertrophy or to prevent progression of myocardial thinning, or inhibiting cardiomyocyte apoptosis. In a method of the invention, the peptide can comprising, consisting essentially of, or consisting of an EGF-like domain encoded by a neuregulin gene. A peptide of the invention is administered before,

10 during, or after exposure to a cardiotoxic compound. In another embodiment, the peptide containing the EGF-like domain is administered during two, or all three, of these periods. In accordance with the present invention, the peptide containing an EGF-like domain encoded by a neuregulin gene is administered at intervals of every 48 to 96 hours. In one embodiment of the present invention, the peptide containing an EGF-like domain encoded by a neuregulin gene is

15 GGF2. In still other embodiments of the invention, the peptide is administered either prior to or after the diagnosis of congestive heart failure in the mammal. In yet another embodiment of the invention, the peptide is administered to a mammal that has undergone compensatory cardiac hypertrophy. In other particular embodiments of the invention, administration of the peptide maintains left ventricular hypertrophy, prevents progression of myocardial thinning, and/or

20 inhibits cardiomyocyte apoptosis.

Embodiments of the invention include the following: A method for treating heart failure in a mammal, said method comprising administering an exogenous peptide comprising an epidermal growth factor-like (EGF-like) domain to said mammal, wherein said administering at said

25 intervals reduces adverse side effects associated with administration of said exogenous peptide in said mammal. A method for treating heart failure in a mammal, said method comprising administering an exogenous peptide comprising an epidermal growth factor-like (EGF-like) domain to said mammal, wherein said EGF-like domain is encoded by the neuregulin (NRG)-1 gene, and said exogenous peptide is administered in a therapeutically effective amount to treat

30 heart failure in said mammal at intervals of at least 48 hours, wherein said administering at said



intervals does not maintain steady state levels of said exogenous peptide in said mammal. A method for treating heart failure in a mammal, said method comprising administering an exogenous peptide comprising an epidermal growth factor-like (EGF-like) domain or homologue thereof to said mammal, and said exogenous peptide is administered in a therapeutically effective amount to treat heart failure in said mammal at intervals of at least or not less than 48 hours, wherein said administering at said intervals permits intradose fluctuation of serum concentrations of said exogenous peptide to baseline or pre-administration levels in said mammal.

As used herein, the term adverse or deleterious side effect refers to an unintended and undesirable consequence of a medical treatment. With respect to the present invention, an adverse or deleterious side effect resulting from administration of an exogenous peptide may include any one or more of the following: nerve sheath hyperplasia, mammary hyperplasia, renal nephropathy, and skin changes at the injection site.

As used herein, the term “intradose fluctuation of serum concentrations of said exogenous peptide to pre-administration levels in said mammal” refers to the difference between serum concentration levels before administration of a dose of an exogenous peptide.

As used herein, the term “steady state levels” refers to a level(s) of an exogenous agent (e.g., a peptide) that is sufficient to achieve equilibration (within a range of fluctuation between succeeding doses) between administration and elimination. . “Maintaining steady state therapeutic levels” refers to sustaining the concentration of an exogenous agent at a level sufficient to confer therapeutic benefit to a subject or patient.

25

#### BRIEF DESCRIPTION OF THE DRAWINGS

**Figure 1** shows a histogram depicting cardiac function as exemplified by changes in Ejection Fraction and Fractional Shortening. As indicated, rats were treated with GGF2 at 0.625 mg/kg or an equimolar amount of an EGF-like fragment (fragment; EGF-id) intravenously (iv) everyday (q day).

30

**Figure 2** shows a line graph depicting cardiac function as revealed by changes in Ejection Fraction and Fractional Shortening. As indicated, rats were treated with GGF2 at 0.625 mg/kg or 3.25 mg/kg iv q day.

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**Figure 3** shows a line graph depicting cardiac function as revealed by significant improvement in end systolic volume during the treatment period. As indicated, rats were treated with GGF2 at 0.625 mg/kg or 3.25 mg/kg iv q day.

10 **Figure 4** shows a line graph depicting cardiac function as revealed by changes in Ejection Fraction and Fractional Shortening. As indicated, rats were treated with GGF2 3.25 mg/kg intravenously (iv) q24, 48 or 96 hours.

15 **Figure 5** shows a line graph depicting cardiac function as revealed by changes in the echocardiographic ejection fraction. As indicated, rats were treated with vehicle or GGF2 3.25 mg/kg intravenously (iv), with or without BSA.

**Figure 6** shows a line graph depicting the half-life of recombinant human GGF2 (rhGGF2) following iv administration.

20

**Figure 7** shows a line graph depicting the half-life of recombinant human GGF2 (rhGGF2) following subcutaneous administration.

25 **Figures 8A-D** show the nucleic and amino acid sequences of full length GGF2. The nucleic acid sequence is designated SEQ ID NO: 1 and the amino acid sequence is designated SEQ ID NO: 2.

**Figure 9** shows the nucleic and amino acid sequences of epidermal growth factor-like (EGFL) domain 1. The nucleic acid sequence of EGFL domain 1 is designated herein SEQ ID NO: 3 and the amino acid sequence of EGFL domain 1 is designated herein SEQ ID NO: 4.

30

**Figure 10** shows the nucleic and amino acid sequences of epidermal growth factor-like (EGFL) domain 2. The nucleic acid sequence of EGFL domain 2 is designated herein SEQ ID NO: 5 and the amino acid sequence of EGFL domain 2 is designated herein SEQ ID NO: 6.

5 **Figure 11** shows the nucleic and amino acid sequences of epidermal growth factor-like (EGFL) domain 3. The nucleic acid sequence of EGFL domain 3 is designated herein SEQ ID NO: 7 and the amino acid sequence of EGFL domain 3 is designated herein SEQ ID NO: 8.

10 **Figure 12** shows the nucleic and amino acid sequences of epidermal growth factor-like (EGFL) domain 4. The nucleic acid sequence of EGFL domain 4 is designated herein SEQ ID NO: 9 and the amino acid sequence of EGFL domain 4 is designated herein SEQ ID NO: 10.

15 **Figure 13** shows the nucleic and amino acid sequences of epidermal growth factor-like (EGFL) domain 5. The nucleic acid sequence of EGFL domain 5 is designated herein SEQ ID NO: 11 and the amino acid sequence of EGFL domain 5 is designated herein SEQ ID NO: 12.

20 **Figure 14** shows the nucleic and amino acid sequences of epidermal growth factor-like (EGFL) domain 6. The nucleic acid sequence of EGFL domain 6 is designated herein SEQ ID NO: 13 and the amino acid sequence of EGFL domain 6 is designated herein SEQ ID NO: 14.

**Figure 15** shows the amino acid sequence of a polypeptide comprising an epidermal growth factor-like (EGFL) domain, which is designated herein SEQ ID NO: 21.

#### DETAILED DESCRIPTION OF THE INVENTION

25 The present inventors made the surprising discovery that discontinuous or intermittent administration of a neuregulin at appropriately spaced time intervals delivers a therapeutically effective amount of the neuregulin to a patient in need thereof and such a treatment regimen is useful for preventing, prophylaxing, ameliorating, minimizing, treating or reversing heart disease, such as congestive heart failure.

30

Despite conventional wisdom and development practice pertaining to designing dosing regimens to maintain the most narrow range steady state concentrations, the present inventors demonstrate herein that dosing regimens for neuregulin administration that do not maintain narrow steady-state concentrations are equally as effective as more frequent dosing regimens. Indeed, the present inventors have shown that neuregulin treatment of heart failure with dosing intervals of at least 48 hours, 72 hours, 96 hours, 1 day, 2 days, 3 days, 4 days, 5 days, 6 days, 7 days, 8 days, 9 days, 10 days, 11 days, 12 days, 13 days, 14 days 1 week, 2 weeks, 4 weeks, 1 month, 2 months, 3 months, 4 months, or any combination or increment thereof so long as the interval/regimen is at least 48 hours is as effective as daily dosing.

In order to evaluate the pharmacokinetics of exogenous NRG, the present inventors have shown that the half-life of neuregulin when delivered intravenously is 4 to 8 hours and when delivered subcutaneously is 11-15 hours. See, e.g., Tables 1 and 2 and Figures 6 and 7. Dosing at regimens as infrequent as every fourth day would, therefore, not maintain any detectable levels for at least three days between doses. Based on these findings, prior to the present invention, one would not have predicted that such peak/trough ratios would correlate with consistent therapeutic benefit. It is, noteworthy that compounds with a half-life of this order are generally administered in accordance with a frequent dosing regimen (e.g., daily or multiple daily doses). Indeed, based on pharmacokinetic data available for GGF2, traditional development would predict that optimal treatment would involve daily subcutaneous dosing.

In keeping with conventional wisdom and development practice, other medical treatments for CHF are typically administered on at least a daily basis. The periodicity of such a regimen is thought to be required because CHF is a chronic condition, commonly caused by impaired contraction and/or relaxation of the heart, rather than an acute condition. In persons with a weak heart leading to impaired relaxation and CHF, medical treatments include drugs that block formation or action of specific neurohormones (e.g. angiotensin converting enzyme inhibitors (ACE-inhibitors), angiotensin receptor antagonists (ARBs), aldosterone antagonists and beta-adrenergic receptor blockers). These and other medications are now standard of care in chronic CHF as they have been demonstrated to result in improved symptoms, life expectancy and/or a

reduction in hospitalizations. In the setting of acute exacerbation or chronic symptoms, patients are often treated with inotropes (e.g. dobutamine, digoxin) to enhance cardiac contractility, along with vasodilators (e.g. nitrates, nesiritide) and/or diuretics (e.g. furosemide) to reduce congestion. Patients with hypertension and congestive heart failure are treated with one or more  
5 antihypertensive agent such as beta-blockers, ACE-inhibitors and ARBs, nitrates (isosorbide dinitrate), hydralazine, and calcium channel blockers.

Thus, despite typical practice with respect to treatment of CHF, the present inventors have demonstrated that a novel dosing regimen results in effective treatment of CHF, while avoiding  
10 undesirable side-effects. Although not wishing to be bound by theory, it is likely that such neuregulin treatment strengthens the pumping ability of the heart by stimulating cardiomyocyte hypertrophy, and partially or completely inhibits further deterioration of the heart by suppressing cardiomyocyte apoptosis.

15 By way of additional background, the basic principle of dosing is to determine an effective circulating concentration and design a dosing regimen to maintain those levels. Pharmacokinetic (PK) and pharmacodynamic (PD) studies are combined to predict a dosing regimen that will maintain a steady-state level of a particular drug. The typical plan is to minimize the difference between the  $C_{max}$  and  $C_{min}$  and thereby reduce side-effects.

20 Drugs are described by their 'therapeutic index' which is a ratio of the toxic dose or circulating levels divided by the effective dose or circulating concentrations. When the therapeutic index is large there is a wide safety range where an effective dose can be given without approaching toxic levels. When untoward effects result at concentrations too close to the effective concentrations  
25 the therapeutic index is described as narrow and the drug is difficult to administer safely.

While developing dosing regimens one combines the PK/PD data with knowledge of the therapeutic index to design a dose and frequency of administration such that the compound is maintained at a concentration in a patient (e.g., a human) such that it is above the effective  
30 concentration and below the toxic concentration. If an effective concentration of the drug cannot

be maintained without inducing unsafe effects, the drug will fail during development. Additional commentary pertaining to drug development can be found in a variety of references, including: Pharmacokinetics in Drug Development: Clinical Study Design and Analysis (2004, Peter Bonate and Danny Howard, eds.), which is incorporated herein in its entirety.

5

Neuregulins are growth factors related to epidermal growth factors that bind to erbB receptors. They have been shown to improve cardiac function in multiple models of heart failure, cardiotoxicity and ischemia. They have also been shown to protect the nervous system in models of stroke, spinal cord injury, nerve agent exposure, peripheral nerve damage and chemotoxicity.

10

Maintaining supranormal levels of exogenously supplied neuregulins has, however, been shown to have untoward effects including nerve sheath hyperplasia, mammary hyperplasia and renal nephropathy. These effects were observed following daily subcutaneous administration of neuregulin. See, e.g., Table 10.

15

As set forth herein, subcutaneous administration was explored due to the prolonged half-life compared with intravenous administration and the initial belief that maintaining constant levels of ligand would be advantageous. Developing dosing regimens to reduce these effects would significantly enhance the ability of neuregulins to be utilized as therapeutics and it is toward this end that the present invention is directed. Demonstrating that less frequent dosing that does not maintain constant levels is also effective enables this development.

20

Neuregulins: As indicated above, peptides encoded by the NRG-1, NRG-2, NRG-3 and NRG-4 genes possess EGF-like domains that allow them to bind to and activate ErbB receptors. Holmes et al. (Science 256:1205-1210, 1992) have shown that the EGF-like domain alone is sufficient to bind and activate the p185erbB2 receptor. Accordingly, any peptide product encoded by the NRG-1, NRG-2, or NRG-3 gene, or any neuregulin-like peptide, e.g., a peptide having an EGF-like domain encoded by a neuregulin gene or cDNA (e.g., an EGF-like domain containing the NRG-1 peptide subdomains C-C/D or C-C/D', as described in USPN 5,530,109, USPN 5,716,930, and USPN 7,037,888; or an EGF-like domain as disclosed in WO 97/09425) may be

30

used in the methods of the invention to prevent or treat congestive heart failure. The contents of each of USPN 5,530,109; USPN 5,716,930; USPN 7,037,888; and WO 97/09425 is incorporated herein in its entirety.

5 Risk Factors: Risk factors that increase the likelihood of an individual's developing congestive heart failure are well known. These include, and are not limited to, smoking, obesity, high blood pressure, ischemic heart disease, vascular disease, coronary bypass surgery, myocardial infarction, left ventricular systolic dysfunction, exposure to cardiotoxic compounds (alcohol, drugs such as cocaine, and anthracycline antibiotics such as doxorubicin, and daunorubicin),  
10 viral infection, pericarditis, myocarditis, gingivitis, thyroid disease, radiation exposure, genetic defects known to increase the risk of heart failure (such as those described in Bachinski and Roberts, *Cardiol. Clin.* 16:603-610, 1998; Siu et al., *Circulation* 8:1022-1026, 1999; and Arbustini et al., *Heart* 80:548-558, 1998), starvation, eating disorders such as anorexia and bulimia, family history of heart failure, and myocardial hypertrophy.

15

In accordance with the present invention, neuregulins may be administered intermittently to achieve prophylaxis such as by preventing or decreasing the rate of congestive heart disease progression in those identified as being at risk. For example, neuregulin administration to a patient in early compensatory hypertrophy permits maintenance of the hypertrophic state and  
20 prevents the progression to heart failure. In addition, those identified to be at risk may be given cardioprotective neuregulin treatment prior to the development of compensatory hypertrophy.

Neuregulin administration to cancer patients prior to and during anthracycline chemotherapy or anthracycline/anti-ErbB2 (anti-HER2) antibody (e.g., HERCEPTIN®) combination therapy can  
25 prevent a patient's cardiomyocytes from undergoing apoptosis, thereby preserving cardiac function. Patients who have already suffered cardiomyocyte loss also derive benefit from neuregulin treatment, because the remaining myocardial tissue responds to neuregulin exposure by displaying hypertrophic growth and increased contractility.

Therapy: Neuregulins and peptides containing EGF-like domains encoded by neuregulin genes may be administered to patients or experimental animals with a pharmaceutically-acceptable diluent, carrier, or excipient. Compositions of the invention can be provided in unit dosage form.

5

Conventional pharmaceutical practice is employed to provide suitable formulations or compositions, and to administer such compositions to patients or experimental animals. Although intravenous administration is preferred, any appropriate route of administration may be employed, for example, parenteral, subcutaneous, intramuscular, transdermal, intracardiac, ,  
10 intraperitoneal, intranasal, aerosol, oral, or topical (e.g., by applying an adhesive patch carrying a formulation capable of crossing the dermis and entering the bloodstream) administration.

Therapeutic formulations may be in the form of liquid solutions or suspensions; for oral administration, formulations may be in the form of tablets or capsules; and for intranasal  
15 formulations, in the form of powders, nasal drops, or aerosols.

Methods well known in the art for making formulations are found in, for example, "Remington's Pharmaceutical Sciences." Formulations for parenteral administration may, for example, contain excipients, sterile water, or saline, polyalkylene glycols such as polyethylene glycol, oils of  
20 vegetable origin, or hydrogenated naphthalenes. Other potentially useful parenteral delivery systems for administering molecules of the invention include ethylene-vinyl acetate copolymer particles, osmotic pumps, implantable infusion systems, and liposomes. Formulations for inhalation may contain excipients, for example, lactose, or may be aqueous solutions containing, for example, polyoxyethylene-9-lauryl ether, glycocholate and deoxycholate, or may be oily  
25 solutions for administration in the form of nasal drops, or as a gel.

As a further aspect of the invention there is provided the present compounds for use as a pharmaceutical especially in the treatment or prevention of the aforementioned conditions and diseases. Also provided herein is the use of the present compounds in the manufacture of a



medicament for the treatment or prevention of one of the aforementioned conditions and diseases.

5 With respect to intravenous injections, dose levels range from about 0.001 mg/kg, 0.01 mg/kg to at least 10 mg/kg, in regular time intervals of from at least about every 24, 36, 48 hours to about every 96 hours and especially every 48, 72, or 96 hours or more as set forth herein. In a particular embodiment, intravenous injection dose levels range from about 0.1 mg/kg to about 10 mg/kg, in regular time intervals of from about every 48 hours to about every 96 hours and especially every 48, 72, or 96 hours or more as set forth herein. In another particular  
10 embodiment, intravenous injection dose levels range from about 1 mg/kg to about 10 mg/kg, in regular time intervals of from about every 48 hours to about every 96 hours and especially every 48, 72, or 96 hours or more as set forth herein. In yet another particular embodiment, intravenous injection dose levels range from about 0.01 mg/kg to about 1 mg/kg, in regular time intervals of from about every 48 hours to about every 96 hours and especially every 48, 72, or 96  
15 hour or more as set forth herein s. In yet another particular embodiment, intravenous injection dose levels range from about 0.1 mg/kg to about 1 mg/kg, in regular time intervals of from about every 48 hours to about every 96 hours and especially every 48, 72, or 96 hours or more as set forth herein.

20 With respect to subcutaneous injections, dose levels range from about 0.01 mg/kg to at least 10 mg/kg, in regular time intervals of from about every 48 hours to about every 96 hours and especially every 48, 72, or 96 hours or more as set forth herein. In a particular embodiment, injection dose levels range from about 0.1 mg/kg to about 10 mg/kg, in regular time intervals of from about every 48 hours to about every 96 hours or more as set forth herein, and especially  
25 every 48, 72, or 96 hours. In another particular embodiment, injection dose levels range from about 1 mg/kg to about 10 mg/kg, in regular time intervals of from about every 48 hours to about every 96 hours or more as set forth herein, and especially every 48, 72, or 96 hours. In yet another particular embodiment, injection dose levels range from about 0.01 mg/kg to about 1 mg/kg, in regular time intervals of from about every 48 hours to about every 96 hours or more  
30 as set forth herein, and especially every 48, 72, or 96 hours. In yet another particular

embodiment, injection dose levels range from about 0.1 mg/kg to about 1 mg/kg, in regular time intervals of from about every 48 hours to about every 96 hours or more as set forth herein, and especially every 48, 72, or 96 hours.

- 5 Transdermal doses are generally selected to provide similar or lower blood levels than are achieved using injection doses.

The compounds of the invention can be administered as the sole active agent or they can be administered in combination with other agents, including other compounds that demonstrate the  
10 same or a similar therapeutic activity and that are determined to be safe and efficacious for such combined administration. Other such compounds used for the treatment of CHF include brain natriuretic peptide (BNP), drugs that block formation or action of specific neurohormones (e.g. angiotensin converting enzyme inhibitors (ACE-inhibitors), angiotensin receptor antagonists (ARBs), aldosterone antagonists and beta-adrenergic receptor blockers), inotropes (e.g.  
15 dobutamine, digoxin) to enhance cardiac contractility, vasodilators (e.g. nitrates, nesiritide) and/or diuretics (e.g. furosemide) to reduce congestion, and one or more antihypertensive agents such as beta-blockers, ACE-inhibitors and ARBs, nitrates (isosorbide dinitrate), hydralazine, and calcium channel blockers.

20 As indicated above, medical intervention involving drug treatment calls for the selection of an appropriate drug and its delivery at an adequate dosage regimen. An adequate dosage regimen involves a sufficient dose, route, frequency, and duration of treatment. The ultimate objective of drug therapy is the acquisition of optimal drug concentrations at the site of action so as to enable the treated patient to overcome the pathologic process for which treatment is necessitated.

25 Broadly speaking, basic knowledge of the principles of drug disposition facilitates the selection of appropriate dosage regimens. Therapeutic drug monitoring (TDM) can, however, be used in this context as a supplemental tool to assist an attending physician in determining effective and safe dosage regimens of selected drugs for medical therapy of individual patients.

Target Concentration and Therapeutic Window: The definition of optimal drug concentration varies depending on the pharmacodynamic features of the particular drug. Optimal therapy for time-dependent antibiotics like penicillin, for example, is related to achieving peak concentration to MIC (minimum inhibitory concentration) ratios of 2-4 and a time above the MIC equal to 75% of the dose interval. For concentration-dependent antibiotics like gentamicin, for example, efficacy is related to obtaining peak concentration to MIC ratios of about 8-10. Irrespective of the nuances associated with administration of a particular drug, drug therapy aims to achieve target plasma concentrations (which often reflect the concentrations at the site of action) within the limits of a "therapeutic window", which has been previously determined based on the pharmacokinetic, pharmacodynamic and toxicity profiles of the drug in the target species. The width of this window varies for different drugs and species. When the difference between the minimum efficacious concentration and the minimum toxic concentration is small (2 to 4-fold), the therapeutic window is referred to as narrow. In contrast, when there is a large difference between the effective and toxic concentration, the drug is viewed as having a wide therapeutic window. An example of a drug with a narrow therapeutic window is digoxin, in which the difference between the average effective and toxic concentrations is 2 or 3-fold. Amoxicillin, on the other hand, has a wide therapeutic range and overdosing of a patient is not generally associated with toxicity problems.

Variability in Drug Responsiveness: Pronounced variability among healthy subjects of the same species with respect drug responsiveness is common. Moreover, disease states have the potential to affect organ systems and functions (e.g., kidney, liver, water content) that may in turn affect drug responsiveness. This, in turn, contributes to increased differentials in drug responsiveness in sick individuals to whom the drug is administered. Yet another relevant issue relates to administration of more than one drug at a time, which results in pharmacokinetic interactions that can lead to alterations in responsiveness to one or both drugs. In summary, physiological (e.g., age), pathological (e.g., disease effects), and pharmacological (e.g., drug interaction) factors can alter the disposition of drugs in animals. Increased variability among individuals ensuing therefrom may result in therapeutic failure or toxicity in drugs with a narrow therapeutic index.

The patient population that would benefit from a treatment regimen of the present invention is quite diverse, e.g., patients with impaired kidney function are good candidates because continuous levels of protein therapeutics are often associated with renal glomerular deposits. The utility of a therapeutic regimen that does not maintain constant plasma levels as is described  
5 in this invention would, therefore, be very beneficial for patients with compromised renal function in which any diminution of existing function could be deleterious. Similarly, brief and intermittent exposure to a therapeutic such as GGF2, as described herein, can be beneficial for patients with tumor types that are responsive to chronic and continuous stimulation with a growth factor. Other patients that may specifically benefit from intermittent therapy as described  
10 herein are patients with schwannomas and other peripheral neuropathies. It is an advantage of the present invention that intermittent dosing may have significant advantages in not maintaining continuous side-effect-related stimulation of various tissues.

The proper timing of blood sampling for the purposes of determining serum drug level, as well  
15 as the interpretation of the reported level require consideration of the pharmacokinetic properties of the drug being measured. Some terms used in discussion of these properties are defined in the following paragraphs.

Half-Life: The time required for the serum concentration present at the beginning of an interval  
20 to decrease by 50%. Knowing an approximate half-life is essential to the clinician since it determines the optimal dosing schedule with oral agents, the intradose fluctuation of the serum concentration, and the time required to achieve steady state.

In brief, multiple pharmacokinetic studies have been performed for GGF2. Typical half-lives for  
25 GGF2 are between 4 and 8 hours for the intravenous (iv) route, whereas the half-life of subcutaneously (sc) administered GGF2 is between 11 and 15 hours. C<sub>max</sub>, AUC, T<sub>max</sub> and T<sub>1/2</sub> are shown in Tables 1 and 2 below. Where the half-life was too long to be determined accurately by these methods a dash is presented in lieu of a time.

30

Table 1 and Table 2

**Appendix 7**

**Mean Pharmacokinetics of 125I-rhGGF2-Derived Radioactivity in Plasma of Male Sprague-Dawley Rats Following a Single Intravenous or Subcutaneous Dose of 125I-rhGGF2**

Parameters	Group 1 (n=2)		Group 2 (n=1)	
	Total	TCAPrecip	Total	TCAPrecip
C <sub>max</sub> (ug eq/g)	0.3289	0.2953	0.0157	0.01
AUC 0-t (ug eq-h/g)	1.27	0.01	0.27	0.17
AUC inf (ug eq-h/g)	1.37	0.96	0.39	0.26
T <sub>max</sub> (h)	0.08	0.08	6.0	6.0
Half-life	6.37	6.11	13.20	14.66
	Group 1 - i.v.		Group 2 - s.c.	

**Mean Pharmacokinetics of 125I-rhGGF2-Derived Radioactivity in Plasma of Male Sprague-Dawley Rats Following a Single Intravenous or Subcutaneous Dose of 125I-rhGGF2**

**Appendix 9**

Parameters	Group 1 (n=2)		Group 2 (n=1)	
	Total	TCAPrecip	Total	TCAPrecip
C <sub>max</sub> (ug eq/g)	0.2611	0.2291	0.0197	0.0034
AUC 0-t (ug eq-h/g)	1.488	0.567	0.335	0.064
AUC inf (ug eq-h/g)	1.667	0.62	-	-
T <sub>max</sub> (h)	0.08	0.08	12.0	12.0
Half-life	7.75	7.96	-	-
	Group 1 - i.v.		Group 2 - s.c.	

- 5 The plasma concentrations after administration are shown in Figures 6 and 7 for iv and sc administration, respectively. As shown in Figures 6 and 7, C<sub>max</sub>, refers to maximal plasma concentration (the maximum concentration that is measured in the plasma at any time after administration); AUC<sub>inf</sub>, refers to the area under the concentration versus time curve to time infinity (which method is used to anticipate that the assay has limits of detection); AUC<sub>0-t</sub>, refers to the area under the plasma concentration (time curve from time zero to the last measurable concentration); AUC by any method refers to an estimate of the total exposure to the animal; and T<sub>max</sub>, refers to the median time of maximal plasma concentration.
- 10

As is evident from the tables and figures it is not possible to maintain steady state therapeutic levels by either dosing route with every fourth day, every other day or every day of dosing. . Levels are unmeasurable after a day and even long before that, as reflected by the data set forth in Table 11.

5

<b>Table 11: PK Parameters for GGF2 after Intravenous Administration*</b>							
Rats							
Dose (mg/kg)	AUC <sub>0-∞</sub> (hr•ng/mL)	AUC <sub>0-∞</sub> /Dose ((hr•ng/mL) /mg/kg)	AUC <sub>0-last</sub> (hr•ng/mL)	AUC <sub>0-last</sub> /Dose ((hr•ng/mL) /mg/kg)	CL (mL/min/kg)	t <sub>1/2</sub> (h)	V <sub>ss</sub> (mL/kg)
8	16100±20500	2010±2560	16800±22300	2100±2790	18.1±12.7	1.46±1.84	1050±331
16	39600±9440	2470±590	38300±10000	2390±625	7.00±1.33	1.69±0.430	532±145
Monkeys							
8	15900±1690	1980±212	15100±1730	1890±217	8.48±0.910	2.02±0.358	1110±113

\*taken from data obtained from plasma GGF2 concentrations measured by ELISA. Data reported are mean ± SD.

10 Steady State: Steady state serum concentrations are those values that recur with each dose and represent a state of equilibrium between the amount of drug administered and the amount being eliminated in a given time interval. During long term dosage with any drug, the two major determinants of its mean steady state serum concentration are the rate at which the drug is administered and the drug’s total clearance in that particular patient.

**Peak Serum Concentration:** The point of maximum concentration on the serum concentration-versus-time curve. The exact time of the peak serum concentration is difficult to predict since it represents complex relationships between input and output rates.

- 5 **Trough Serum Concentration:** The minimum serum concentration found during a dosing interval. Trough concentrations are theoretically present in the period immediately preceding administration of the next dose.

10 **Absorption:** The process by which a drug enters the body. Intravascularly administered drugs are absorbed totally, but extravascular administration yields varying degrees and rates of absorption. The relationship between the rate of absorption and the rate of elimination is the principle determinant of the drug concentration in the bloodstream.

15 **Distribution:** The dispersion of the systemically available drug from the intravascular space into extravascular fluids and tissues and thus to the target receptor sites.

20 **Therapeutic Range:** That range of serum drug concentrations associated with a high degree of efficacy and a low risk of dose-related toxicity. The therapeutic range is a statistical concept: it is the concentration range associated with therapeutic response in the majority of patients. As a consequence, some patients exhibit a therapeutic response at serum levels below the lower limit of the range, while others require serum levels exceeding the upper limit for therapeutic benefit.

25 **Correct timing of sample collection is important, since drug therapy is often revised on the basis of serum concentration determinations. The absorption and distribution phases should be complete and a steady-state concentration achieved before the sample is drawn. Levels obtained before a steady-state concentration exists may be erroneously low; increasing the dosage based on such a result could produce toxic concentrations. In addition, when making comparative measurements, it is important that the sampling time be consistent.**

- The timing of blood samples in relation to dosage is critical for correct interpretation of the serum concentration result. The selection of the time that the sample is drawn in relation to drug administration should be based on the pharmacokinetic properties of the drug, its dosage form and the clinical reason for assaying the sample (e.g., assessment of efficacy or clarification of possible drug-induced toxicity). For routine serum level monitoring of drugs with short half-lives, both a steady state peak and trough sample may be collected to characterize the serum concentration profile; for drugs with a long half-life, steady-state trough samples alone are generally sufficient.
- 5
- 10 By "congestive heart failure" is meant impaired cardiac function that renders the heart unable to maintain the normal blood output at rest or with exercise, or to maintain a normal cardiac output in the setting of normal cardiac filling pressure. A left ventricular ejection fraction of about 40% or less is indicative of congestive heart failure (by way of comparison, an ejection fraction of about 60% percent is normal). Patients in congestive heart failure display well-known clinical
- 15 symptoms and signs, such as tachypnea, pleural effusions, fatigue at rest or with exercise, contractile dysfunction, and edema. Congestive heart failure is readily diagnosed by well known methods (see, e.g., "Consensus recommendations for the management of chronic heart failure." Am. J. Cardiol., 83(2A):1A-38-A, 1999).
- 20 Relative severity and disease progression are assessed using well known methods, such as physical examination, echocardiography, radionuclide imaging, invasive hemodynamic monitoring, magnetic resonance angiography, and exercise treadmill testing coupled with oxygen uptake studies.
- 25 By "ischemic heart disease" is meant any disorder resulting from an imbalance between the myocardial need for oxygen and the adequacy of the oxygen supply. Most cases of ischemic heart disease result from narrowing of the coronary arteries, as occurs in atherosclerosis or other vascular disorders.
- 30 By "myocardial infarction" is meant a process by which ischemic disease results in a region of



the myocardium being replaced by scar tissue.

By "cardiotoxic" is meant a compound that decreases heart function by directly or indirectly impairing or killing cardiomyocytes.

5

By "hypertension" is meant blood pressure that is considered by a medical professional (e.g., a physician or a nurse) to be higher than normal and to carry an increased risk for developing congestive heart failure.

10 By "treating" is meant that administration of a neuregulin or neuregulin-like peptide slows or inhibits the progression of congestive heart failure during the treatment, relative to the disease progression that would occur in the absence of treatment, in a statistically significant manner. Well known indicia such as left ventricular ejection fraction, exercise performance, and other clinical tests as enumerated above, as well as survival rates and hospitalization rates may be used  
15 to assess disease progression. Whether or not a treatment slows or inhibits disease progression in a statistically significant manner may be determined by methods that are well known in the art (see, e.g., SOLVD Investigators, N. Engl. J. Med. 327:685-691, 1992 and Cohn et al., N. Engl. J. Med. 339:1810-1816, 1998).

20 By "preventing" is meant minimizing or partially or completely inhibiting the development of congestive heart failure in a mammal at risk for developing congestive heart failure (as defined in "Consensus recommendations for the management of chronic heart failure." Am. J. Cardiol., 83(2A):1A-38-A, 1999). Determination of whether congestive heart failure is minimized or prevented by administration of a neuregulin or neuregulin-like peptide is made by known  
25 methods, such as those described in SOLVD Investigators, supra, and Cohn et al., supra.

The term "therapeutically effective amount" is intended to mean that amount of a drug or pharmaceutical agent that elicits the biological or medical response of a tissue, a system, animal or human that is being sought by a researcher, veterinarian, medical doctor or other clinician. A  
30 therapeutic change is a change in a measured biochemical characteristic in a direction expected

to alleviate the disease or condition being addressed. More particularly, a "therapeutically effective amount" is an amount sufficient to decrease the symptoms associated with a medical condition or infirmity, to normalize body functions in disease or disorders that result in impairment of specific bodily functions, or to provide improvement in one or more of the clinically measured parameters of a disease.

The term "prophylactically effective amount" is intended to mean that amount of a pharmaceutical drug that will prevent or reduce the risk of occurrence of the biological or medical event that is sought to be prevented in a tissue, a system, animal or human by a researcher, veterinarian, medical doctor or other clinician.

The term "therapeutic window" is intended to mean the range of dose between the minimal amount to achieve any therapeutic change, and the maximum amount which results in a response that is the response immediately before toxicity to the patient.

By "at risk for congestive heart failure" is meant an individual who smokes, is obese (i.e., 20% or more over their ideal weight), has been or will be exposed to a cardiotoxic compound (such as an anthracycline antibiotic), or has (or had) high blood pressure, ischemic heart disease, a myocardial infarct, a genetic defect known to increase the risk of heart failure, a family history of heart failure, myocardial hypertrophy, hypertrophic cardiomyopathy, left ventricular systolic dysfunction, coronary bypass surgery, vascular disease, atherosclerosis, alcoholism, pericarditis, a viral infection, gingivitis, or an eating disorder (e.g., anorexia nervosa or bulimia), or is an alcoholic or cocaine addict.

By "decreasing progression of myocardial thinning" is meant maintaining hypertrophy of ventricular cardiomyocytes such that the thickness of the ventricular wall is maintained or increased.

By "inhibits myocardial apoptosis" is meant that neuregulin treatment inhibits death of cardiomyocytes by at least 10%, more preferably by at least 15%, still more preferably by at least

25%, even more preferably by at least 50%, yet more preferably by at least 75%, and most preferably by at least 90%, compared to untreated cardiomyocytes.

By "neuregulin" or "NRG" is meant a peptide that is encoded by an NRG-1, NRG-2, or NRG-3  
5 gene or nucleic acid (e.g., a cDNA), and binds to and activates ErbB2, ErbB3, or ErbB4 receptors, or combinations thereof.

By "neuregulin-1," "NRG-1," "heregulin," "GGF2," or "p185erbB2 ligand" is meant a peptide  
10 that binds to the ErbB2 receptor when paired with another receptor (ErbB1, ErbB3 or ErbB4) and is encoded by the p185erbB2 ligand gene described in U.S. Pat. No. 5,530,109; U.S. Pat. No. 5,716,930; and U.S. Pat. No. 7,037,888, each of which is incorporated herein by reference in its entirety.

By "neuregulin-like peptide" is meant a peptide that possesses an EGF-like domain encoded by a  
15 neuregulin gene, and binds to and activates ErbB2, ErbB3, ErbB4, or a combination thereof.

By "epidermal growth factor-like domain" or "EGF-like domain" is meant a peptide motif  
20 encoded by the NRG-1, NRG-2, or NRG-3 gene that binds to and activates ErbB2, ErbB3, ErbB4, or combinations thereof, and bears a structural similarity to the EGF receptor-binding domain as disclosed in Holmes et al., Science 256:1205-1210, 1992; U.S. Pat. No. 5,530,109; U.S. Pat. No. 5,716,930; U.S. Pat. No. 7,037,888; Hijazi et al., Int. J. Oncol. 13:1061-1067, 1998; Chang et al., Nature 387:509-512, 1997; Carraway et al., Nature 387:512-516, 1997; Higashiyama et al., J Biochem. 122:675-680, 1997; and WO 97/09425). See Figures 9-14 for  
25 nucleic and amino acid sequences corresponding to EGFL domains 1-6 encoded by the NRG-1 gene.

By "anti-ErbB2 antibody" or "anti-HER2 antibody" is meant an antibody that specifically binds  
30 to the extracellular domain of the ErbB2 (also known as HER2 in humans) receptor and prevents the ErbB2 (HER2)-dependent signal transduction initiated by neuregulin binding.

By "transformed cell" is meant a cell (or a descendent of a cell) into which a DNA molecule encoding a neuregulin or peptide having a neuregulin EGF-like domain has been introduced, by means of recombinant DNA techniques or known gene therapy techniques.

5 By "promoter" is meant a minimal sequence sufficient to direct transcription. Also included in the invention are those promoter elements which are sufficient to render promoter-dependent gene expression controllable based on cell type or physiological status (e.g., hypoxic versus normoxic conditions), or inducible by external signals or agents; such elements may be located in the 5' or 3' or internal regions of the native gene.

10

By "operably linked" is meant that a nucleic acid encoding a peptide (e.g., a cDNA) and one or more regulatory sequences are connected in such a way as to permit gene expression when the appropriate molecules (e.g., transcriptional activator proteins) are bound to the regulatory sequences.

15

By "expression vector" is meant a genetically engineered plasmid or virus, derived from, for example, a bacteriophage, adenovirus, retrovirus, poxvirus, herpesvirus, or artificial chromosome, that is used to transfer a peptide (e.g., a neuregulin) coding sequence, operably linked to a promoter, into a host cell, such that the encoded peptide or peptide is expressed  
20 within the host cell.

Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs.

25 The discussion of documents, acts, materials, devices, articles and the like is included in this specification solely for the purpose of providing a context for the present invention. It is not suggested or represented that any or all of these matters formed part of the prior art base or were common general knowledge in the field relevant to the present invention before the priority date of each claim of this application.

30

### Other Embodiments

While the invention has been described in connection with specific embodiments thereof, it will be understood that it is capable of further modifications and this application is intended to cover any variations, uses, or adaptations of the invention following, in general, the principles of the invention and including such departures from the present disclosure within known or customary practice within the art to which the invention pertains and may be applied to the essential features hereinbefore set forth, and follows in the scope of the appended claims.

The following Examples will assist those skilled in the art to better understand the invention and its principles and advantages. It is intended that these Examples be illustrative of the invention and not limit the scope thereof.

### EXAMPLES

As indicated herein above, the neuregulins are a family of growth factors structurally related to Epidermal Growth Factor (EGF) and are essential for the normal development of the heart. Evidence suggests that neuregulins are a potential therapeutic for the treatment of heart disease including heart failure, myocardial infarction, chemotherapeutic toxicity and viral myocarditis.

The studies described herein were served to define dosing in the left anterior descending (LAD) artery ligation model of congestive heart failure in the rat. Multiple neuregulin splice variants were cloned and produced. A neuregulin fragment of consisting of the EGF-like domain (EGF-I<sub>d</sub>) from previous reports (Liu et al., 2006) was compared to a full-length neuregulin known as glial growth factor 2 (GGF2) and the EGF-like domain with the Ig domain (EGF-Ig). Male and female Sprague-Dawley rats underwent LAD artery ligation. At 7 days post ligation rats were treated intravenously (iv) with neuregulin daily. Cardiac function was monitored by echocardiography.

The first study compared 10 days of dosing with equimolar amounts of EGF-I<sub>d</sub> or GGF2 (for GGF2 this calculates to 0.0625 and 0.325 mg/kg). GGF2 treatment resulted in significantly ( $p < 0.05$ ) greater improvement in Ejection Fraction (EF) and Fractional Shortening (FS) than did

EGF-Ig at the end of the dosing period. The second study compared 20 days of GGF2 with EGF-Ig and EGF-Ig at equimolar concentrations. GGF2 treatment resulted in significantly improved EF, FS and LVESD ( $p < 0.01$ ). Improvements in cardiac physiology were not maintained for this period with either EGF-Ig or EGF-Ig. The third study compared daily (q 24  
 5 hour), every other day (q 48 hour) and every fourth day (q 96 hour) dosing for 20 days with GGF2 (3.25 mg/kg). All three GGF2 treatment regimens resulted in significant improvements in cardiac physiology including EF, ESV and EDV and the effects were maintained for 10 days following termination of dosing. The studies presented here confirm GGF2 as the lead neuregulin compound and establish optimal dosing regimens for administering same.

10

As shown herein, the present studies establish the relative efficacy of GGF2 compared with published neuregulin fragments (Liu et al., 2006), initiate dose ranging and dose frequency studies, and determine if BSA excipient is required as previously reported.

#### 15 Methods and Materials

##### **Cloning, expression and purification of the IgEGF (Ig154Y) domain of GGF2 (EGF-Ig)**

**DNA:** IgEGF domain was amplified from an existing GGF2 cDNA and cloned into pet 15b vector (Novagen cat # 69661-3) using NdeI and BamHI restriction sites. The resulting protein is a 21.89 kDa + ~3kDa His tag (= ~ 25 kDa)

20

DNA sequence of IgEgf pet 15 clone: The underlined sequences are the primers used for amplification. The bolded sequences are the cloning sites used to insert the sequence into the pet vector (NdeI and BamHI).

25 **CATATG**ttgcctccccaattgaaagagatgaaaagccaggaatcggctgcagggttccaaa

L P P Q L K E M K S Q E S A A G S K

ctagtccttcgggtgtgaaaccagttctgaatactcctctctcagattcaagtgggttcaag

L V L R C E T S S E Y S S L R F K W F K

aatgggaatgaattgaatcgaaaaacaaccacaaaatatcaagatacaaaaaagcca

30 N G N E L N R K N K P Q N I K I Q K K P

gggaagtcagaacttcgcattaacaagcatcactggctgattctggagagtatatgtgc

G K S E L R I N K A S L A D S G E Y M C  
aaagtgatcagcaaattaggaatgacagtgacctctgccaatatcaccatcgtggaatca  
K V I S K L G N D S A S A N I T I V E S  
aacgctacatctacatccaccactgggacaagccatcttgtaaaatgtgcgaggagaaggag  
5 N A T S T S T T G T S H L V K C A E K E  
aaaactttctgtgtgaatggaggggagtgcttcatgggtgaaagacctttcaaaccctcg  
K T F C V N G G E C F M V K D L S N P S  
agatacttgtgcaagtgcccaaagagtttactggtgatcgctgccaaaactacgtaatg  
R Y L C K C P N E F T G D R C Q N Y V M  
10 gccagcttctacGGATCC (SEQ ID NO: 15)  
A S F Y (SEQ ID NO: 16)

The final translated protein from pet15b vector is shown below. The vector portion is underlined.

M G G S H H H H H G M A S M T G G T A N G  
15 V G D L Y D D D D K V P G S L P P Q L K E M  
K S Q E S A A G S K L V L R C E T S S E Y S  
S L R F K W F K N G N E L N R K N K P Q N I  
K I Q K K P G K  
S E L R I N K A S L A D S G E Y M C K V I S  
20 K L E N D S A S A N I T I V E S N A T S T S  
T T G T S H L V K C A E K E K T F C V N G G  
E C F M V K D L S N P S R Y L C K C P N E F  
T G D R C Q N Y V M A S F Y (SEQ ID NO: 17)

25 **Protein expression:** The clone was transformed into B121 cells for protein expression using the Overnight Express Autoinduction System (Novagen) in LB media at 25°C for 24 hours.

**Protein Refolding:** Adapted from Novagen Protein Refolding Kit, 70123-3.

30 **Protein Purification:** His TRAP columns – as per manufacturer’s instructions

**Western blotting:** Protein expression was assessed by western blotting. Resulting band with the His tag runs at around 25 kD.

5 A 4-20% criterion gel (Biorad) was used for protein resolution followed by transfer onto Protran nitrocellulose paper (0.1 µm pore size from Schleicher and Schull). The blot is blocked in 5% milk in TBS-T (0.1%). Primary antibody (Anti EGF Human NRG1-alpha/HRG1-alpha Affinity Purified Polyclonal Ab Cat # AF-296-NA from R&D systems) 1:1000 dilution in 5% milk in TBS-T- 1 hour at RT (also works at 4°C overnight). Rabbit anti goat HRP secondary antibody was used at 1:10,000 dilution in 5% milk in TBS-T for 1 hour at RT. All washes were  
10 performed in TBS-T

**Purification Protocol for Ig154Y:** The cultures are grown at 25°C in Overnight Express Autoinduction System 1 from Novagen (cat# 71300-4). The culture is spun down and the pellets are extracted, solubilized and re-folded to acquire the Ig154Y before purification can take place.

15

**Materials for extraction, solubilization and re-folding:**

10X Wash Buffer: 200mM Tris-HCl, pH 7.5, 100mM EDTA, 10% Triton X-100

10X Solubilization Buffer: 500mM CAPS, pH 11.0

50X Dialysis Buffer: 1M Tris-HCl, pH 8.5

20 30% N-laurylsarcosine – add as powder (Sigma 61739-5G)

1M DTT

Reduced glutathione (Novagen 3541)

Oxidized glutathione (Novagen 3542)

25 **A. Cell Lysis and Preparation of Inclusion Bodies**

-Cell pellets were thawed and re-suspended in 30mls 1X wash buffer.

-Protease inhibitors (25ul of 10X per 50mls), DNase (200ul of 1mg/ml per 50ml) and MgCl<sub>2</sub> (500ul of 1M per 50mls) were added to suspension.

-Cells were lysed by sonication with cooling on ice.



-Following sonication inclusion bodies were collected by centrifugation at 10000 x g for 12 minutes.

-Supernatant was removed and the pellet thoroughly re-suspended in 30mls of 1X Wash Buffer.

-Step 4 was repeated.

5 -The pellet was thoroughly re-suspended in 30mls of 1X Wash Buffer.

-The inclusion bodies were collected by centrifugation at 10000 x g for 10 minutes.

### **B. Solubilization and Refolding**

-From the wet weight of inclusion bodies to be processed, calculate the amount of 1X

10 Solubilization Buffer necessary to re-suspend the inclusion bodies at a concentration of 10-15mg/ml. If the calculated volume is greater than 250ml, use 250ml.

-At room temperature, prepare the calculated volume of 1X Solubilization Buffer supplemented with 0.3% N-laurylsarcosine (up to 2% may be used if needed in further optimization) (300mg/100mL buffer) and 1mM DTT.

15 -Add the calculated amount of 1X Solubilization Buffer from step 2 to the inclusion bodies and gently mix. Large debris can be broken up by repeated pipetting.

-Incubate in refrigerator shaker at 25°C, 50-100 rpm for 4-5 hours (or longer if needed in further optimization).

-Clarify by centrifugation at 10000 x g for 10 minutes at room temperature

20 -Transfer the supernatant containing the soluble protein into a clean tube.

### **C. Dialysis Protocol for Protein Refolding**

-Prepare the required volume of buffer for dialysis of solubilized protein. The dialysis should be performed with at least 2 buffer changes of greater than 50 times the volume of the sample.

25 Dilute the 50X Dialysis Buffer to 1X at the desired volume and supplement with 0.1mM DTT.

-Dialyze for at least 4 hours at 4°C. Change the buffer and continue. Dialyze for an additional 4 or more hours.

-Prepare additional dialysis buffer as determined in step 1, but omit DTT.

30 -Continue the dialysis through two additional changes (minutes 4hr each), with the dialysis buffer lacking DTT.

**D. Redox Refolding Buffer to Promote Disulfide Bond Formation**

- Prepare a dialysis buffer containing 1mM reduced glutathione (1.2g/4L) and 0.2mM oxidized glutathione (0.48g/4L) in 1X Dialysis Buffer. The volume should be 25 times greater than the
- 5 volume of the solubilized protein sample. Chill to 4°C.
- Dialyze the refolded protein from step 1 overnight at 4°C.

**Materials for purification**

All procedures are done at 4°C.

**10 Chemicals:**

Trizma Hydrochloride (Sigma T5941-500G)  
Sodium Chloride 5M Solution (Sigma S6546-4L)  
Sodium Hydroxide 10N (JT Baker 5674-02)  
Imidazole (JT Baker N811-06)

15

**A. Purification on the HISPrep FF 16/10 Column- 20mls (GE Healthcare)**

Buffer A: 20mM Tris-HCL + 500mM NaCl pH 7.5

Buffer B: Buffer A + 500mM Imidazole pH 7.5

Equilibration of column: Buffer A- 5CV, Buffer B- 5CV, Buffer A- 10CV

**20 Load 20ml of sample per run on 20ml column at 0.5ml/min**

Wash column with 5CV of buffer A

Elute column with 5CV of 280mM Imidazole.

Clean with 10CV of 100% Buffer B.

**25 Equilibrate with 15CV of Buffer A**

Analyze fractions with a SDS-page silver stain

Pool fractions with Ig154Y

**B. His-Tag Removal**

Removal of the His-Tag is done with A Thrombin Cleavage Capture Kit from Novagen (Cat# 69022-3). Based on previous testing, the best conditions are room temperature for 4 hours with Thrombin at 0.005U of enzyme per  $\mu\text{l}$  for every 10 $\mu\text{g}$  of Ig154Y protein. After four hours of incubation, add 16 $\mu\text{l}$  of Streptavidin Agarose slurry per unit of Thrombin enzyme. Rock sample  
 5 for 30 minutes at room temp. Recover the Ig154Y through spin-filtration or sterile filtering (depending on volume).  
 Full cleavage is determined by EGF and Anti-His western blotting.

### C. Concentration of Ig154Y

10 Adjust to desired concentration with Millipore Centriprep 3000 MWCO 15ml concentrator (Ultracel YM-3, 4320)

### D. Storage in final buffer

Store in 20mM Tris +500mM NaCl pH 7.5 and 1 X PBS + 0.2% BSA.

15

### Cloning, expression and purification of 156Q (EGF-Id) [NRG1b2 EGF domain (156Q)]

**DNA:** NRG1b2 egf domain was cloned from human brain cDNA and cloned into pet 15b vector (Novagen cat # 69661-3) using NdeI and BamHI restriction sites. The resulting protein is a 6.92 kda + ~3kDa His tag (= 9.35 kDa)

20

DNA sequence of NRG1b2 egf pet 15 clone

The underlined sequences are the cloning sites (NdeI and BamHI)

CATATGAGCCA TCTTGTA AAA TGTGCGGAGA AGGAGAAAAC TTTCTGTGTG  
 25 AATGGAGGGG AGTGCTTCAT GGTGAAAGAC CTTTCAAACC CCTCGAGATA  
 CTTGTGCAAG TGCCCAAATG AGTTTACTGG TGATCGCTGC CAAAAC TACG  
 TAATGGCCAG CTTCTACAAG GCGGAGGAGC TGTACCAGTA AGGATCC (SEQ ID NO:  
 18)

The final translated protein from pet15b vector is shown below. The egf domain is highlighted in green.

```

                    10                20                30
5  MGSSHHHHHH  SSGLVPRGSH  MSHLVKCAEK  EKTFCVNGGE  CFMVKDLSNP
                    60                70                80
SRYLCKCPNE  FTGDRQNYV  MASFYKAEEL  YQ  (SEQ ID NO: 19)

```

Calculated pI/Mw: 7.69 / 9349.58

10

#### **Protein expression**

The clone was transformed into BL21 cells for protein expression using the Overnight Express Autoinduction System (Novagen ) in LB media at 25°C for 24 hours. Expression is primarily in insoluble inclusion bodies.

15

**Protein Refolding:** Adapted from Novagen Protein Refolding Kit, 70123-3.

**Protein Purification:** Protein is loaded onto an anion exchange column DEAE at 2.5ml/min.

The EGF-Id fragment remains in the flow through, whereas the contaminants bind and elute at a higher salt. The loading and washing buffer is 50mM Tris pH7.9 and elution buffer is 50mM Tris pH7.9 with 1M NaCl. The flow through is pooled and concentrated with Centriprep YM-3 from Millipore.

20

**Western blotting:** Protein expression is assessed by western blotting. Resulting band runs at around 10kD.

25

A 4-20% criterion gel (Biorad) was used for protein resolution followed by transfer onto Protran nitrocellulose paper (0.1 µm pore size from Schleicher and Schull). The blot is blocked in 5% milk in TBS-T (0.1%). Primary antibody (Anti EGF Human NRG1-alpha/HRG1-alpha Affinity Purified Polyclonal Ab Cat # AF-296-NA from R&D systems) 1:1000 dilution in 5% milk in

30

TBS-T- 1 hour at RT (also works at 4°C overnight). Rabbit anti goat HRP secondary antibody was used at 1:10,000 dilution in 5% milk in TBS-T for 1 hour at RT. All washes were performed in TBS-T

5 Purification Protocol for NRG-156Q

The cultures are grown at 25°C in Overnight Express Autoinduction System 1 from Novagen (cat# 71300-4). There is very little soluble NRG-156Q (EGF-Id) present. The culture is spun down and the pellets are extracted, solubilized and re-folded to acquire the NRG-156Q before purification can take place.

10

**Materials for extraction, solubilization and re-folding:**

10X Wash Buffer: 200mM Tris-HCl, pH 7.5, 100mM EDTA, 10% Triton X-100

10X Solubilization Buffer: 500mM CAPS, pH 11.0

50X Dialysis Buffer: 1M Tris-HCl, pH 8.5

15 30% N-laurylsarcosine – add as powder (Sigma 61739-5G)

1M DTT

Reduced glutathione (Novagen 3541)

Oxidized glutathione (Novagen 3542)

20 **A. Cell Lysis and Preparation of Inclusion Bodies**

-Thaw and re-suspend cell pellet in 30mls 1X wash buffer. Mix as needed for full re-suspension.

-Add protease inhibitors (25ul of 10X per 50mls), DNase (200ul of 1mg/ml per 50ml) and MgCl<sub>2</sub> (500ul of 1M per 50mls) to suspension.

-Lyse the cells by sonication.

25 a. Cool the cells on ice throughout this step.

b. Using the square tip, sonicate for 30 seconds on level 6, 10 times until suspension becomes less viscous. Let suspension cool on ice for 60 seconds between each sonication. Keep volume no higher than 40mls in 50ml conical tube when sonicating.

-When complete, transfer each suspension to 250ml angled neck centrifuge bottles for use with

30 F-16/250 rotor.

- Collect the inclusion bodies by centrifugation at 10,000 x g for 12 minutes.
  - Remove the supernatant (save a sample for analysis of soluble protein) and thoroughly re-suspend the pellet in 30mls of 1X Wash Buffer.
  - Repeat centrifugation as in Step 4 and save the pellet.
- 5
- Again, thoroughly re-suspend the pellet in 30mls of 1X Wash Buffer.
  - Collect the inclusion bodies by centrifugation at 10,000 x g for 10 minutes. Decant the supernatant and remove the last traces of liquid by tapping the inverted tube on a paper towel.

### **B. Solubilization and Refolding**

- 10
- From the wet weight of inclusion bodies to be processed, calculate the amount of 1X Solubilization Buffer necessary to re-suspend the inclusion bodies at a concentration of 10-15mg/ml. If the calculated volume is greater than 250ml, use 250ml.
  - At room temperature, prepare the calculated volume of 1X Solubilization Buffer supplemented with 0.3% N-laurylsarcosine (up to 2% may be used if needed in further optimization)
- 15
- (300mg/100mL buffer) and 1mM DTT.
  - Add the calculated amount of 1X Solubilization Buffer from step 2 to the inclusion bodies and gently mix. Large debris can be broken up by repeated pipetting.
  - Incubate in refrigerator shaker at 25°C, 50-100rpm for 4-5 hours.
  - Clarify by centrifugation at 10,000 x g for 10 minutes at room temperature.

20

### **C. Dialysis Protocol for Protein Refolding**

- Prepare the required volume of buffer for dialysis of solubilized protein. The dialysis should be performed with at least 2 buffer changes of greater than 50 times the volume of the sample.
  - Dilute the 50X Dialysis Buffer to 1X at the desired volume and supplement with 0.1mM DTT.
- 25
- Dialyze for at least 4 hours at 4°C. Change the buffer and continue. Dialyze for an additional 4 or more hours.
  - Prepare additional dialysis buffer as determined in step 1, but omit DTT.
  - Continue the dialysis through two additional changes (minutes 4hours each), with the dialysis buffer lacking DTT.

30

**D. Redox Refolding Buffer to Promote Disulfide Bond Formation**

-Prepare a dialysis buffer containing 1mM reduced glutathione (1.2g/4L) and 0.2mM oxidized glutathione (0.48g/4L) in 1X Dialysis Buffer. The volume should be 25 times greater than the volume of the solubilized protein sample. Chill to 4°C.

5 -Dialyze the refolded protein from step 1 overnight at 4°C.

**Materials for purification**

All procedures are done at 4°C.

10 Chemicals:

Trizma Hydrochloride (Sigma T5941-500G)

Sodium Chloride 5M Solution (Sigma S6546-4L)

Sodium Hydroxide 10N (JT Baker 5674-02)

15 **E. Purification on the DEAE HiPrep 16/10 Anion Column- 20mls (GE Healthcare)**

Buffer A: 50mM Tris-HCL pH 8.0

Buffer B: 50mM Tris-HCL with 1M NaCl pH 8.0

Equilibration of column: Buffer A- 5CV, Buffer B- 5CV, Buffer A- 10CV

20 -Load 50ml of sample per run on 20ml column at 2.0 ml/min (NRG-156 (EGF-Id) is in the flow through).

-Wash 20ml column with 5CV of buffer A

20ml column with gradient to 100% B with 5CV. This is to elute off contaminants.

-Clean with 10CV of 100% Buffer B.

25 -Equilibrate with 15CV of Buffer A

-Analyze fractions with a SDS-page silver stain

-Pool fractions with NRG-156Q (10kDa)

**F. Concentration of NRG-156 (EGF-Id)**

30 -Concentrate with Millipore Centriprep 3000 MWCO 15ml concentrator (Ultracel YM-3, 4320)

-Use Modified Lowry Protein Assay to determine concentration.

### G. His-Tag Removal

Removal of the His-Tag is done with A Thrombin Cleavage Capture Kit from Novagen (Cat#  
5 69022-3). Based on previous testing the best conditions are room temperature for 4 hours with  
Thrombin at 0.005U of enzyme per  $\mu\text{l}$  for every 10 $\mu\text{g}$  of NRG-156Q (EGF-Id) protein. After  
four hours of incubation, add 16 $\mu\text{l}$  of Streptavidin Agarose slurry per unit of Thrombin enzyme.  
Rock sample for 30 minutes at room temperature. Recover the NRG-156Q through spin-filtration  
or sterile filtering (depending on volume). Complete cleavage is determined with an EGF and  
10 Anti-His western.

### H. Storage in final buffer

Stored in 1 X PBS with 0.2% BSA at 4°C.

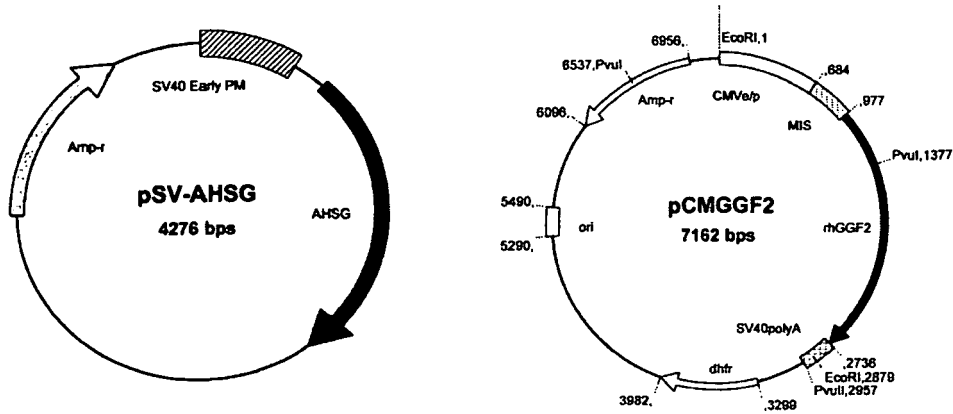
### 15 Expression and Purification of GGF2

For the cloning and background information for GGF2, see USPN 5,530,109. The cell line is  
described in USPN 6,051,401. The entire contents of each of USPN 5,530,109 and USPN  
6,051,401 is incorporated herein by reference in its entirety.

20 **CHO-(Alpha2HSG)-GGF cell line:** This cell line was designed to produce sufficient quantities  
of fetuin (human alpha2HSG) to support high production rates of rhGGF2 in serum free  
conditions.

Cho (dhfr-) cells were transfected with the expression vector shown below (pSV-AHSG). Stable  
25 cells were grown under ampicillin selection. The cell line was designated (dhfr<sup>-</sup>/α2HSGP). The  
dhfr<sup>-</sup>/α2HSGP cells were then transfected with the pCMGGF2 vector shown below containing  
the coding sequence for human GGF2 using the cationic lipid DMRIE-C reagent (Life  
Technologies #10459-014).

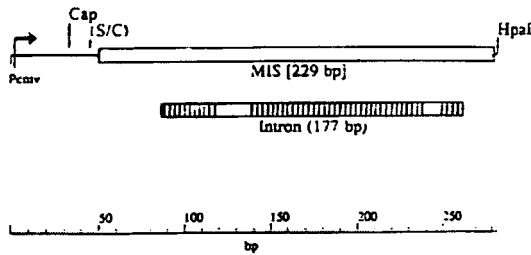




Stable and high producing cell lines were derived under standard protocols using methotrexate (100 nM, 200 nM, 400 nM, 1  $\mu$ M) at 4-6 weeks intervals. The cells were gradually weaned from serum containing media. Clones were isolated by standard limiting dilution methodologies. Details of the media requirements are found in the above mentioned reports.

To enhance transcription, the GGF2 coding sequence was placed after the EBV BMLF-1 intervening sequence (MIS). See diagrams below.

10



15

MIS Sequence (SEQ ID NO: 20)

CGAT[AACTAGCAGCATTTCCTCCAACGAGGATCCCGCAG  
(GTAAGAAGCTACACCGGCCAGTGGCCGGGGCC  
CGATAACTAGCAGCATTTCCTCCAACGAGGATCCCGCAG(GTAAGAAGCTACACCGGCC  
AGTGGCCGGGGCC  
 GTGGAGCCGGGGCCATCCGGTGCCTGAGACAGAGGTGCTCAAGGCAGTCTCCACCTTTT  
 GTCTCCCCTCTGCAG) AGAGCCACATTCTGGAA] GTT

GGF2 coding sequence (SEQ ID NO: 1) -

5

atgagatgg cgacgcgccc cgcgcccgtc cgggcgtccc

301 ggcccccggg cccagcgcgc cggtccgcgc gcccgctcgt cgcgcgcgct gccgctgctg

361 ccactactgc tgctgctggg gaccgcggcc ctggcgccgg gggcgggcgc cggcaacgag

421 gcggctcccg cgggggcctc ggtgtgctac tcgtccccgc ccagcgtggg atcgggtgag

10

481 gagctagctc agcgcgcgcg ggtggtgatc gagggaaagg tgcaccgcga gcggcggcag

541 cagggggcac tcgacaggaa ggcggcggcg gcggcgggcg aggcaggggc gtggggcggc

601 gatcgcgagc cgcagccgcg gggcccacgg gcgctggggc cgcgcgcga ggagccgctg

661 ctgcgcgcca acgggaccgt gccctcttgg cccaccgccc cggtgcccag cgcgcgcgag

721 cccggggagg aggcgcctta tctggtgaag gtgcaccagg tgtgggcggt gaaagccggg

15

781 ggcttgaaga aggactcgtc gtcaccgtg cgcctgggga cctggggcca cccgccttc

841 ccctcctgcy ggaggctcaa ggaggacagc aggtacatct tcttcatgga gcccgacgcc

901 aacagcacca gccgcgcgcc ggccgccttc cgagcctctt tccccctct ggagacgggc

961 cggaacctca agaaggaggt cagccgggtg ctgtgcaagc ggtgcgctt gcctccccaa

1021 ttgaaagaga tgaagacca ggaatcggct gcaggttcca aactagtcct tcggtgtgaa

20

1081 accagttctg aatactcctc tctcagattc aagtgttca agaattggaa tgaattgaat

1141 cgaaaaaaca aaccacaaaa tatcaagata caaaaaaagc caggggaagtc agaacttcgc

1201 attaacaag catcactggc tgattctgga gagtatatgt gcaaagtgat cagcaaatta  
 1261 ggaaatgaca gtgcctctgc caatatacacc atcgtggaat caaacgctac atctacatcc  
 1321 accactggga caagccatct tgtaaaatgt gcggagaagg agaaaacttt ctgtgtgaat  
 1381 ggaggggagt gcttcatggt gaaagacctt tcaaaccctt cgagatactt gtgcaagtgc  
 5 1441 ccaaatgagt ttactggatga tcgctgcaa aactacgtaa tggccagctt ctacagtacg  
 1501 tccactccct ttctgtctct gcctgaatag

### GGF2 Protein Sequence (SEQ ID NO: 2) –

MRWRRAPRRSGRPGPRAQRPGSAARSSPPLPLPLLLLLLGTAAAL  
 10 APGAAAGNEAAPAGASVCYSSPPSVGQVQELAQRRAAVVIEGKVHPQRRQQGALDRKAA  
 AAAGEAGAWGGDREPPAAGPRALGPPAEPLLAANGTVPSWPTAPVPSAGEPGEEAPY  
 LVKVHQVWAVKAGGLKKDSSLTVRLGTWGHAPFPSCGRLKEDSRYIFFMEPDANSTSR  
 APAAFRAFPPLETGRNLKKEVSRVLCRRCALPPQLKEMKSQESAAGSKLVLCRETSS  
 EYSSLRFKWFKNGNELNRKNKPQNIKIQQKPGKSELRINKASLADSGEYMCKVISKLG  
 15 NDSASANITIVESNATSTSTGTSHLVKCAEKEKTFVNGGECFMVKDLSNPSRYLCK  
 CPNEFTGDRCQNYVMASFYSTSTPFLSLPE

GGF2 production: One vial of GGF2 at  $2.2 \times 10^6$  cells/mL was thawed into 100mls of Acorda  
 Medium 1 (see Table 3) and expanded until reaching sufficient numbers to seed production  
 20 vessels. Cells were inoculated into the production media Acorda Medium 2 (see Table 4) at  $1.0$   
 $\times 10^5$  cells/mL in two liter vented roller bottles. Roller bottles are maintained at 37°C for 5 days  
 and then reduced to 27°C for 26 days. The roller bottles are monitored for cell count and general  
 appearance but they are not fed. Once viability is below 10% the cells are spun out and  
 conditioned media harvested and sterile filtered.

25

30

Table 3: Medium 1

Item	Vendor	Catalog Number	Final concentration
CD-CHO	Invitrogen	10743-029	-remove 50ml, then add components below
FeSO <sub>4</sub> .EDTA	Sigma	F-0518	1x (10 ml/L)
L-Glutamine	Cellgro	25-005-CI	4 mM (20 ml/L)
Recombinant Human Insulin	Sigma	I-9278	290 U/L (1 ml/L)
Non-essential amino acid	Cellgro	25-025-CI	1x (10 ml/L)
Peptone Type 4 Soybean-HySoy	Sigma	P0521	Powder – Made 20X in CD-CHO (50ml/L)
Gentamicin	Invitrogen	15750-078	100µg (2ml/L)

5

10

15

Table 4: Medium 2

Item	Vendor	Catalog Number	Final concentration
CD-CHO	Invitrogen	10743-029	50% (-50ml first)
HyQ SFX-CHO	HyClone	SH30187.02	50% (-50ml first)
FeSO <sub>4</sub> .EDTA	Sigma	F-0518	1x (10 ml/L)
L-Glutamine	Cellgro	25-005-CI	4 mM (20 ml/L)
Recombinant Human Insulin	Sigma	I-9278	290 U/L (1 ml/L)
Non-essential amino acid	Cellgro	25-025-CI	1x (10 ml/L)
Peptone Type 4 Soybean-HySoy	Sigma	P0521	Powder – Made 20X in CD-CHO (50ml/L)
Gentamicin	Invitrogen	15750-078	100µg (2ml/L)

### Purification protocol for GGF2

All procedures are done at 4°C.

#### 5 Chemicals:

Sodium Acetate

Glacial Acetic Acid (for pH adjustment)

10N NaOH (for pH adjustment)

NaCl

#### 10 Sodium Sulfate

L-Arginine (JT Baker cat #: 2066-06)

Mannitol (JT Baker cat #: 2553-01)

Starting material: Conditioned media supernatant. Adjust pH to 6.5.

15

**Step1:**

**Capture- Cation Exchange Chromatography**

HiPrep SP 16/10 (Amersham Biosciences)

Column equilibration: Buffer A - 5CV, buffer B - 5CV, buffer 15%B - 5CV

5 Buffer A: 20 mM NaAcetate, pH 6.0

Buffer B: 20 mM NaAcetate, pH 6.0, 1M NaCl

Load sample at 2ml/min with a continuous load overnight if possible. Binding is better with continuous loading.

10 Maximum capacity for a starting sample: 5 mg GGF2/ml media

Flow rate: 3ml/min

First wash: 15%B, 10CV

Second wash: 35% B, 10CV

GGF2 elution: 60%B, 8CV

15 Column wash: 100%B, 8CV

<u>Buffers:</u>	<u>Composition</u>	<u>Conductivity</u>	<u>Use</u>
15%B	20 mM NaAcetate, pH 6.0, 150 mM NaCl		Preequilibration First wash
20 35%B	20 mM NaAcetate, pH 6.0, 350 mM NaCl		Second wash
60%B	20 mM NaAcetate, pH 6.0, 600 mM NaCl		GGF2 elution
100%B	20 mM NaAcetate, pH 6.0, 1000 mM NaCl	88 mS/cm	Column wash

**Step 2:**

25 Refinement - Gel Filtration Chromatography

Sephacryl S200 26/60

Elution buffer: 20 mM NaAcetate, 100mM Sodium Sulfate, 1% mannitol,  
10 mM L-Arginine, pH 6.5

Buffer conductivity:

30 Sample: SP GGF2 elution pool concentrated up to ~ AU280 1.0

Flow rate: 1.3 ml/min

Peak elution: at ~ 0.36CV from injection start

**Step 3:** DNA and Endotoxin removal - filtration through Intercept Q membrane.

- 5 Preequilibration buffer: 20 mM NaAcetate, 100mM Sodium Sulfate, 1% Mannitol,  
10 mM L-Arginine, pH 6.5  
Collect flow through

**Step 4:** Final formulation and sample preparation

- 10 Add additional 90 mM L-Arginine to the sample  
Concentrate  
Sterile Filter

- The vehicle/control article used herein is 0.2 % Bovine Serum Albumin (BSA), 0.1 M Sodium  
15 Phosphate, pH 7.6.

- Rat strains CD<sup>®</sup>IGS [CrI:CD<sup>®</sup>(SD)/MYOINFARCT] and Naive Sprague Dawley are used herein.  
These strains were acquired from Charles River Laboratories. The test animals are  
approximately 6-7 weeks of age at arrival and weigh approximately 160 - 200 g, at the time of  
20 surgical procedure. The actual range may vary and is documented in the data.

All naive Sprague Dawley animals received were placed on study and assigned to Group 1.  
Animals considered suitable for study were weighed prior to treatment.

- 25 All CD<sup>®</sup>IGS [CrI:CD<sup>®</sup>(SD)/MYOINFARCT] animals received were randomized into treatment  
groups (Groups 2 – 5) using a simple randomization procedure based on calculated Ejection  
Fraction from Echocardiographic examinations performed on Day 7 post surgical procedure  
conducted at Charles River Laboratories. Simple randomization was conducted to result in each  
treatment group (Groups 2 – 5) consisting of applicable numbers of animals resulting in an  
30 approximately equal Group Mean Ejection Fraction ( $\pm$  3%) across Group 2 – 5.

All animals in Group 2-6 were acclimated at Charles River Laboratories according to Standard Operating Procedures of that laboratory. Animals were subsequently randomized into treatment groups. All naive animals in Group 1 were acclimated for approximately 24 hours post receipt  
5 prior to their primary Echocardiographic examinations.

The animals were individually housed in suspended, stainless steel, wire-mesh type cages, Solid-bottom cages were not used in general because rodents are coprophagic and the ingestion of feces containing excreted test article and metabolic products or ingestion of the bedding itself  
10 could confound the interpretation of the results in this toxicity study.

Fluorescent lighting was provided via an automatic timer for approximately 12 hours per day. On occasion, the dark cycle was interrupted intermittently due to study-related activities. Temperature and humidity were monitored and recorded daily and maintained to the maximum  
15 extent possible between 64 to 79° F and 30 to 70%, respectively.

The basal diet was block Lab Diet® Certified Rodent Diet #5002, PMI Nutrition International, Inc. This diet was available *ad libitum* unless designated otherwise. Each lot number used was identified in the study records. Tap water was supplied *ad libitum* to all animals via an automatic  
20 water system unless otherwise indicated.

25



**STUDY DESIGNS**

Table 5: GGF2 versus EGF-1d fragment (Liu et al., 2006)

Dosed for 10 days starting day 7 after LAD

Group	Treatment	In-Life Duration	Dose	Dosing Interval†	ECHO Time Points (post-op)
1 (n = 5 M; n = 5 F)	Control (Vehicle)	17 days post-op	Vehicle only	24 Hr	Day 6, 17
2 (n = 6 M; n = 6 F)	GGF2	17 days post	0.0625 mg/kg	24 Hr	Day 6, 17
3 (n = 6 M; n = 6 F)	GGF2	17 days post	0.625 mg/kg	24 Hr	Day 6, 17
4 (n = 6 M; n = 7 F)	EGF-1d	17 days post	Equimolar	24 Hr	Day 6, 17
5 (n = 7 M; n = 6 F)	EGF-1d	17 days post	Equimolar	24 Hr	Day 6, 17

## 5 Table 6: GGF2 higher dose compared with EGF-1d and EGF-Ig

Dosed for 20 days starting day 7 after LAD. 10 day washout.

Group	Treatment	In-Life Duration	Dose	Dosing Interval†	ECHO Time Points (post-op)
1 (n = 5 M; n = 5 F)	N/A: Age Matched Naïve controls	30 days post primary ECHO	NA	NA	‡Day 1, 12, 22, & 32
2 (n = 6 M; n = 6 F)	Control (Vehicle)	38 days post-op	Vehicle only	24 Hr	*Day 7, 18, 28, & 38
3 (n = 6 M; n = 6 F)	GGF-2	38 days post-op	0.625 mg/kg	24 Hr	*Day 7, 18, 28, & 38
4 (n = 6 M; n = 7 F)	GGF-2	38 days post-op	3.25 mg/kg	24 Hr	*Day 7, 18, 28, & 38
5 (n = 7 M; n = 6 F)	EGF-1d	38 days post-op	Equimolar	24 Hr	*Day 7, 18, 28, & 38
6 (n = 7 M; n = 6 F)	EGF-Ig	38 days post-op	Equimolar	24 Hr	*Day 7, 18, 28, & 38

Table 7: GGF2 Dose frequency

Group	Treatment	In-Life Duration	Dose	Dosing Interval†	ECHO Time Points (post-op)
1 (n = 5 M; n = 5 F)	N/A: Age Matched Naïve controls	30 days post primary ECHO	NA	NA	‡Day 1, 12, 22, & 32
2 (n = 6 M; n = 6 F)	Control (Vehicle)	38 days post-op	Vehicle only	24 Hr	*Day 7, 18, 28, & 38
3 (n = 6 M; n = 6 F)	GGF-2	38 days post-op	3.25 mg/kg	24 Hr	*Day 7, 18, 28, & 38
4 (n = 6 M; n = 7 F)	GGF-2	38 days post-op	3.25 mg/kg	48 Hr	*Day 7, 18, 28, & 38
5 (n = 7 M; n = 6 F)	GGF-2	38 days post-op	3.25 mg/kg	96 Hr	*Day 7, 18, 28, & 38

TA 1- Test Article 1; M = males; F = females.

Table 8: GGF2 with and without BSA

Group	Treatment	In-Life Duration	Dose	Dosing Interval†	ECHO Time Points (post-op)
1 (n = 5 M; n = 5 F)	N/A: Age Matched Naive controls	17 days post-op	NA	NA	Day 6 and 17
2 (n = 6 M; n = 6 F)	Control (Vehicle)	17 days post	Vehicle only	24 Hr	Day 6 and 17
3 (n = 6 M; n = 6 F)	GGF-2 + BSA	17 days post	3.25 mg/kg	24 Hr	Day 6 and 17
4 (n = 6 M; n = 7 F)	GGF-2 without BSA	17 days post	3.25 mg/kg	24 Hr	Day 6 and 17

## **TEST AND CONTROL ARTICLE ADMINISTRATION**

### **Route of Administration**

5 The test and control articles were administered by intravenous injection. Animals assigned to Group 1 were not treated with vehicle or Test Articles; these animals served as age matched controls without treatment. Frequency of administration, duration, and dose were as described in the Tables 5-8. The dose volume was approximately 1 ml per kg.

### **10 Test Article Administration**

The test and control articles were administered via the tail vein. Individual doses were based on the most recent body weights. The dose was administered by bolus injection, unless otherwise indicated by the Sponsor.

### **15 Preparation of Test System**

#### **Surgical Procedure- Left Anterior Descending Artery Ligation**

The surgical procedures were performed at Charles River Laboratories as described in Charles River Laboratories *Surgical Capabilities Reference Paper*, Vol. 13, No.1, 2005. Briefly, a cranio-caudal incision is made in the chest, slightly to the left of the sternum, through skin and  
20 the pectoral muscles. The third and fourth ribs are transected, and the intercostals muscles are blunt dissected. The thoracic cavity is rapidly entered, and the pericardium completely opened. The heart is exteriorized through the incision. The pulmonary cone and left auricle are identified. A small curved needle is used to pass a piece of 5-0 silk suture under the left anterior descending coronary artery. The ligature is tied, and the heart is replaced into the thorax. The  
25 air in the thoracic cavity is gently squeezed out while the thoracic wall and skin incision is closed. The animal is resuscitated using positive pressure ventilation and placed in an oxygen rich environment.

**Post-Operative Recovery**

Short term post-operative monitoring and administration of appropriate analgesics were performed by Charles River Laboratories as described in Charles River Laboratories *Surgical Capabilities Reference Paper*, Vol. 13, No.1, 2005.

5

Long term post-operative monitoring was conducted to assess the animals for signs of pain or infection. Daily incision site observations continued for 7 days post receipt of animals. Supplemental pain management and antimicrobial therapy were administered as necessitated.

TABLE 9. SCHEDULED MEDICATIONS AND DOSAGES					
DRUG	INTERVAL, DOSE, AND ROUTE				
	DAILY POSTSURGERY	DAY 1/7* ECHO	DAY 12/18* ECHO	DAY 22/28* ECHO	DAY 32/38* ECHO & Necropsy
Isoflurane	-	To effect, inhalation	To effect, inhalation	To effect, inhalation	To effect, inhalation
Buprenorphine	0.01 mg/kg, I.M. (only as needed)	-	-	-	-

10 \*- ECHO procedure Day defined by animal Group assignment as indicated below.

**ANTEMORTEM STUDY EVALUATIONS**

**Cageside Observations**

15 All animals were observed at least twice a day for morbidity, mortality, injury, and availability of food and water. Any animals in poor health were identified for further monitoring and possible euthanasia.

**Body Weights**

20 Body weights were measured and recorded at least once prior to randomization and weekly during the study.

**Food Consumption**

Food consumption was not measured, but inappetence was documented.

### **Echocardiographic Examinations**

Echocardiographic examinations were conducted on all animals assigned to Group 1 on Day 1, 12, 22 and Day 32 post receipt (Day 0). Echocardiographic examinations were conducted on all  
5 animals assigned to Group 2 -5 on Day 7, 18, 28 and Day 38 post-surgical procedure conducted at Charles River Laboratories (Day 0).

For the echocardiographic examination, each animal was anesthetized according to Table 5 and its hair clipped from the thorax. Coupling gel was applied to the echocardiographic transducer  
10 and image obtained to measure cardiac function at multiple levels. Images were obtained for each animal in short axis view (at mid-papillary level, or other depending on location of observed infarct area by echocardiography).

### **Echocardiographic Parameters**

15 ECHO images were taken at the mid-papillary muscle level, or other depending on location of observed infarct area by echocardiography, of the left ventricle. M-mode and 2-D images were recorded and stored on CD and/or MOD. Measurement parameters obtained with ECHO include: Intraventricular Septal Wall Thickness (diastole); units = cm; Intraventricular Septal  
20 Wall Thickness (systole); units = cm; Left Ventricular Internal Dimension (diastole); units = cm; Left Ventricular Internal Dimension (systole); units = cm; Left Ventricular Papillary Wall Thickness (diastole); units = cm; Left Ventricular Papillary Wall Thickness (systole); units = cm; End Diastolic Volume; units = mL; End Systolic Volume; units = mL; Ejection Fraction; reported as a percentage; Stroke Volume; units = ml; and Percent Fractional Shortening; reported as a percentage

25

### **EUTHANASIA**

#### **Moribundity**

Any moribund animals, as defined by a Testing Facility Standard Operating Procedure, were euthanized for humane reasons. All animals euthanized *in extremis* or found dead were  
30 subjected to a routine necropsy.

**Method of Euthanasia**

Euthanasia was performed by saturated potassium chloride injection into the vena cava followed by an approved method to ensure death, e.g. exsanguination.

**5 Final Disposition**

All surviving animals placed on study were euthanized at their scheduled necropsy or, if necessary, euthanized *in extremis*.

**RESULTS**

10 Study 1 – Treatment of rats with GGF2 at 0.625 mg/kg iv qday resulted in significant improvement of cardiac function as shown here by changes in Ejection Fraction and Fractional Shortening. EGF-1d fragment did not result in the same degree of improvement. See Table 5.

15 Study 2 – Treatment of rats with GGF2 at 0.625 and 3.25 mg/kg iv qday resulted in significant improvement of cardiac function as shown here by changes in Ejection Fraction and Fractional Shortening. Significant improvements were also seen in end systolic and diastolic volumes during the treatment period. See Table 6.

20 Study 3 Results – Treatment of rats with GGF2 3.25 mg/kg iv q24, 48 or 96 hours resulted in significant improvement of cardiac function as shown here by changes in Ejection Fraction and Fractional Shortening. Significant improvements were also seen in end systolic and diastolic volumes during the treatment period. See Table 7.

25 Previous reports (Liu et al) have shown that a carrier protein such as BSA is required for optimal neuregulin stability and activity. GGF2 has demonstrated stability without carriers such as BSA. This experiment was designed to test whether GGF2 is stable and active in a therapeutic regimen without BSA. After 10 days of treatment, both the BSA and non-BSA containing GGF2 formulations resulted in improvements in ejection fraction compared with vehicle controls similar to those seen in previous studies. It is, therefore, evident from this study that BSA or

other carrier protein is not required in GGF2 formulations for the treatment of CHF. See Table 8.

Table 10: Pathology findings

5

Dosing	Sciatic Nerve Sheath Hyperplasia (NSH)	Mammary NSH	Injection site / Skin changes	Cardiac effects
Daily s.c.	++	++	++	+
Daily i.v.	+	+	+	+/-
48 hour interval i.v.	+/-	-	-	+/--
96 hour interval i.v.	-	-	-	-

++ frequently present; + present; +/- occasionally observed, - rare or not observed

As shown in Table 10, intermittent dosing of GGF2 reduces side effects associated with supranormal levels of exogenously administered GGF2. The present inventors have discovered that this finding holds true irrespective of whether the GGF2 is administered intravenously or subcutaneously.

10

The hyperplasia and cardiac effects are sometimes seen with every other day dosing. We have not seen with less frequent dosing.

15

Several publications and patent documents are referenced in this application in order to more fully describe the state of the art to which this invention pertains. All publications and patent applications mentioned in this specification are herein incorporated by reference to the same extent as if each independent publication or patent application was specifically and individually indicated to be incorporated by reference.

20

What is claimed is:

1. A method for treating or preventing heart failure in a mammal, said method comprising:  
 providing a peptide comprising an epidermal growth factor-like (EGF-like) domain ;  
 5 administering a therapeutically effective amount of said peptide to a mammal at an  
 interval of at least 48 hours, wherein said therapeutically effective amount is effective to treat or  
 prevent heart failure in said mammal.

2. The method of claim 1, wherein said administering is performed every 48 hours.

3. The method of claim 1, wherein said administering is performed every 96 hours.

4. The method of claim 1, wherein said administration is performed on a regimen selected from  
 the group consisting of every: four days, week, 10 days, 14 days, month, two months, three  
 15 months or four months.

5. The method of claim 1, wherein said mammal is a human.

6. The method of claim 1, wherein said peptide is recombinant human GGF2.

7. The method of claim wherein the peptide is :

SHLVKCAEKEKTFCVNGGECFMVKDLSNPSRYLCKCPNEFTGDRCQNYVMASFYKAEE  
 LYQ.

8. The method of claim wherein the peptide is :

SHLVKCAEKEKTFCVNGGECFMVKDLSNPSRYLCKCPNEFTGDRCQNYVMASFYKAEE  
 LY .



9. The method of claim 1, wherein said peptide is encoded by the neuregulin (NRG)-1 gene, the neuregulin (NRG)-2 gene, the neuregulin (NRG)-3 gene, or the neuregulin (NRG)-4 gene.

**Figure 1**

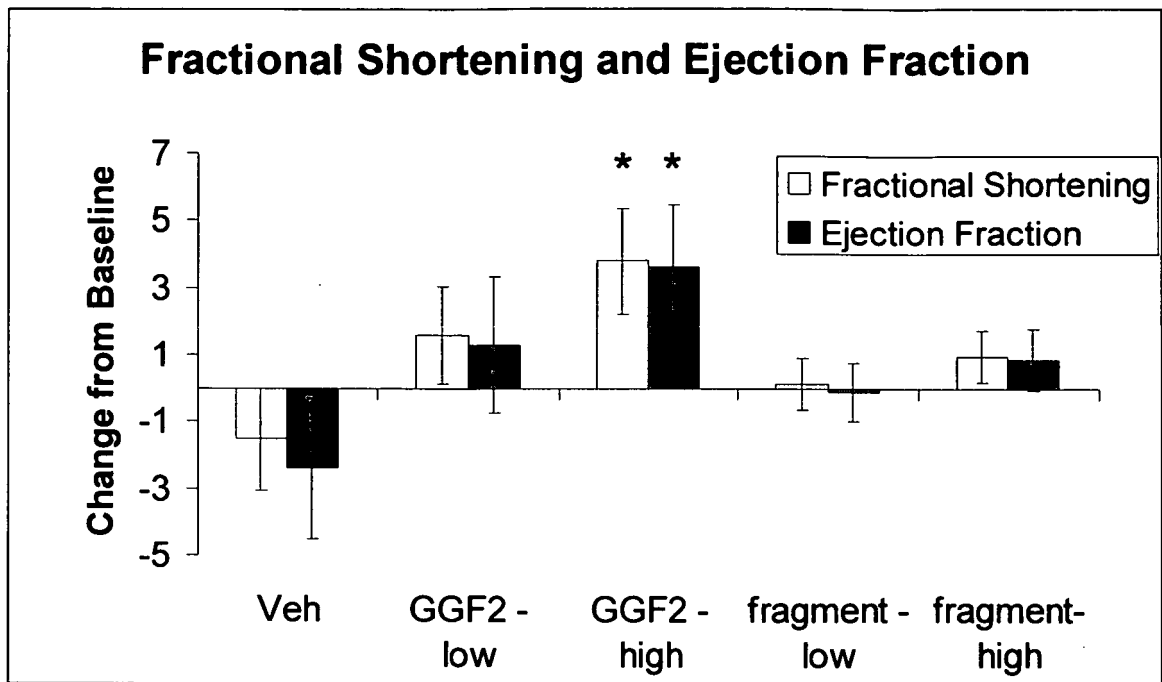


Figure 2

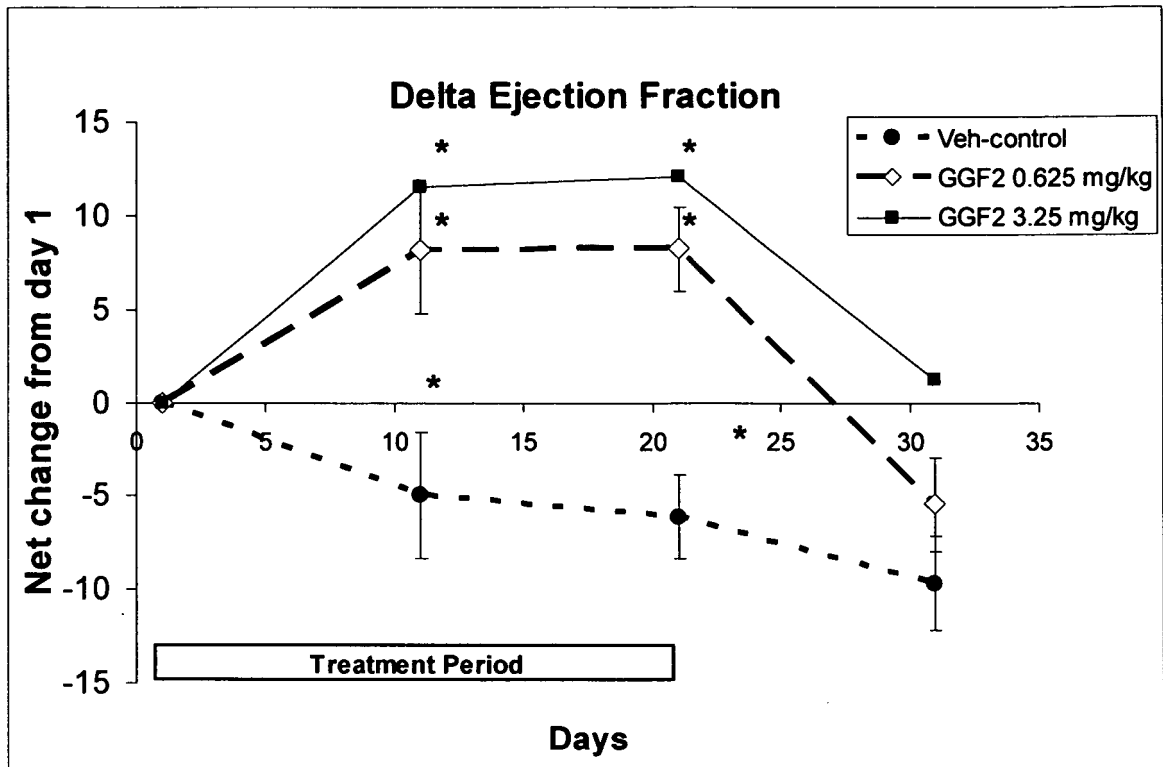


Figure 3

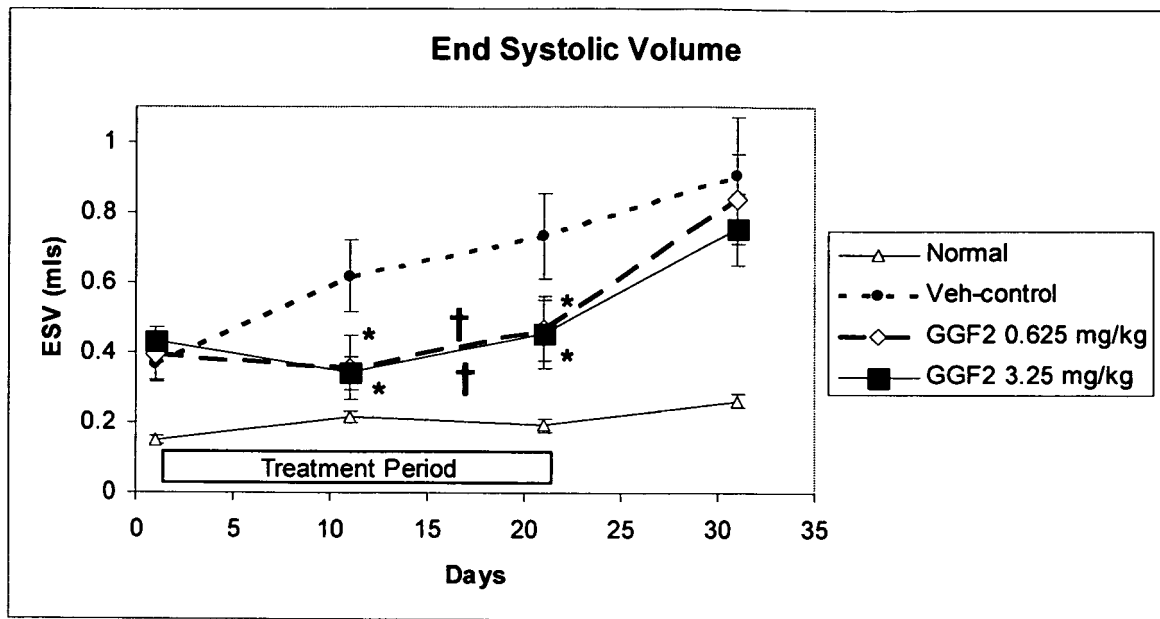


Figure 4

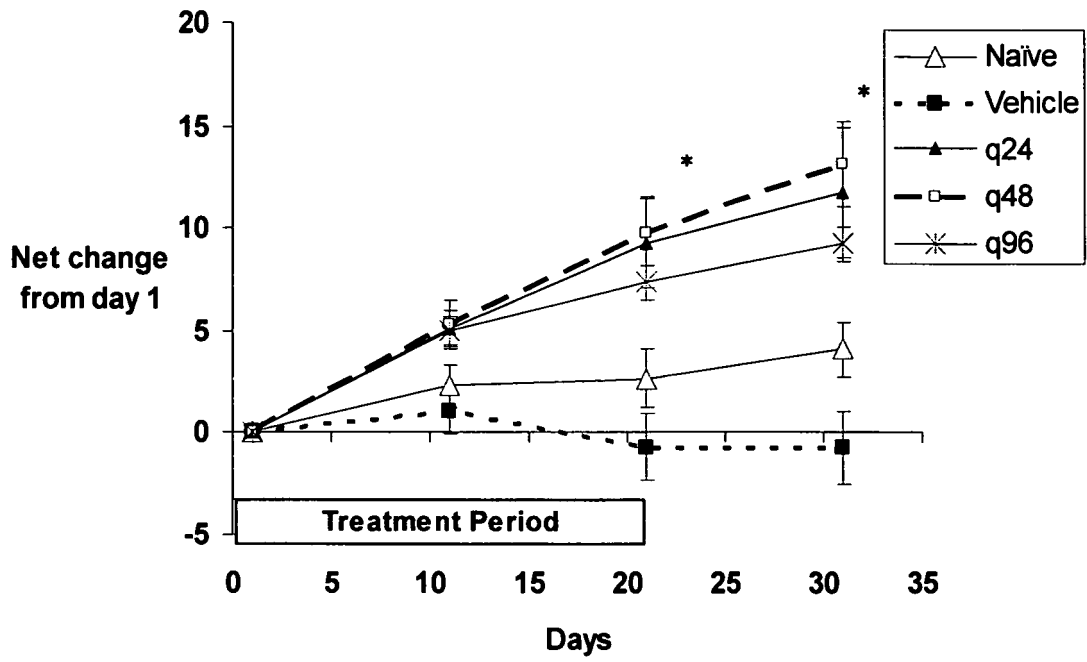


Figure 5

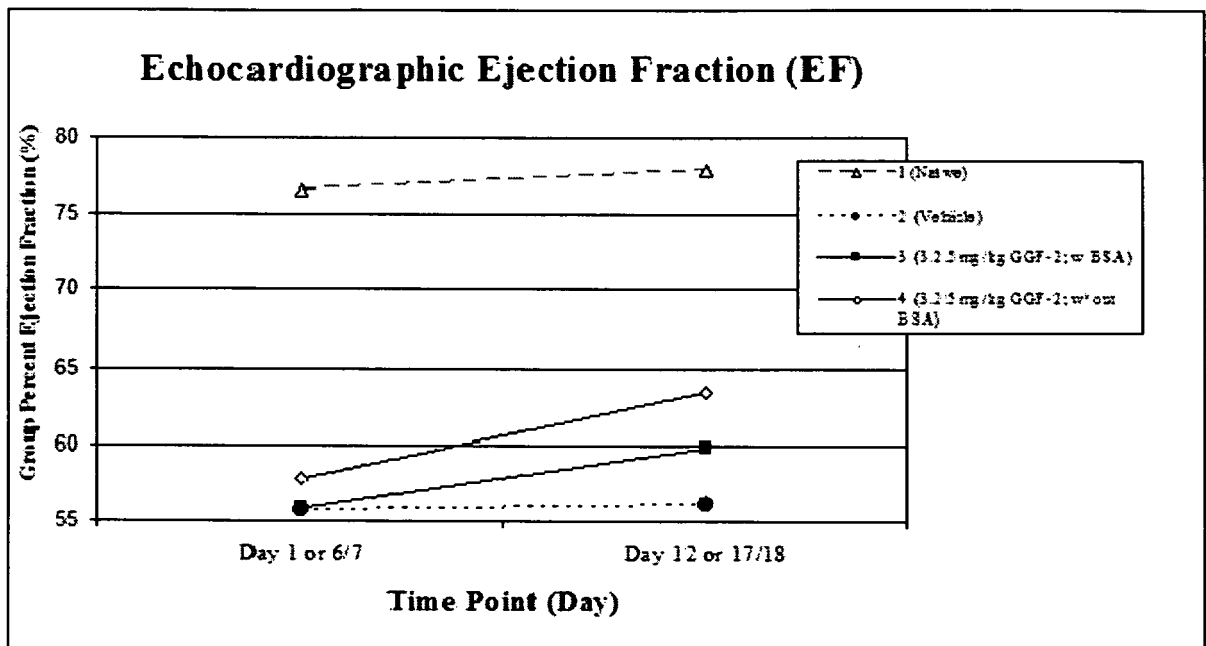


Figure 6

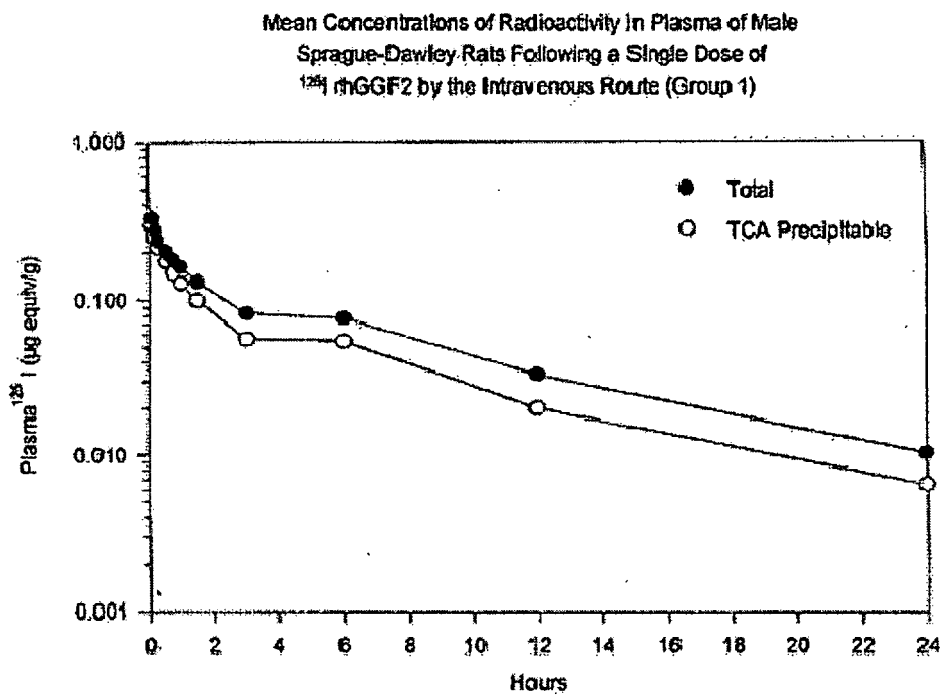


Figure 7

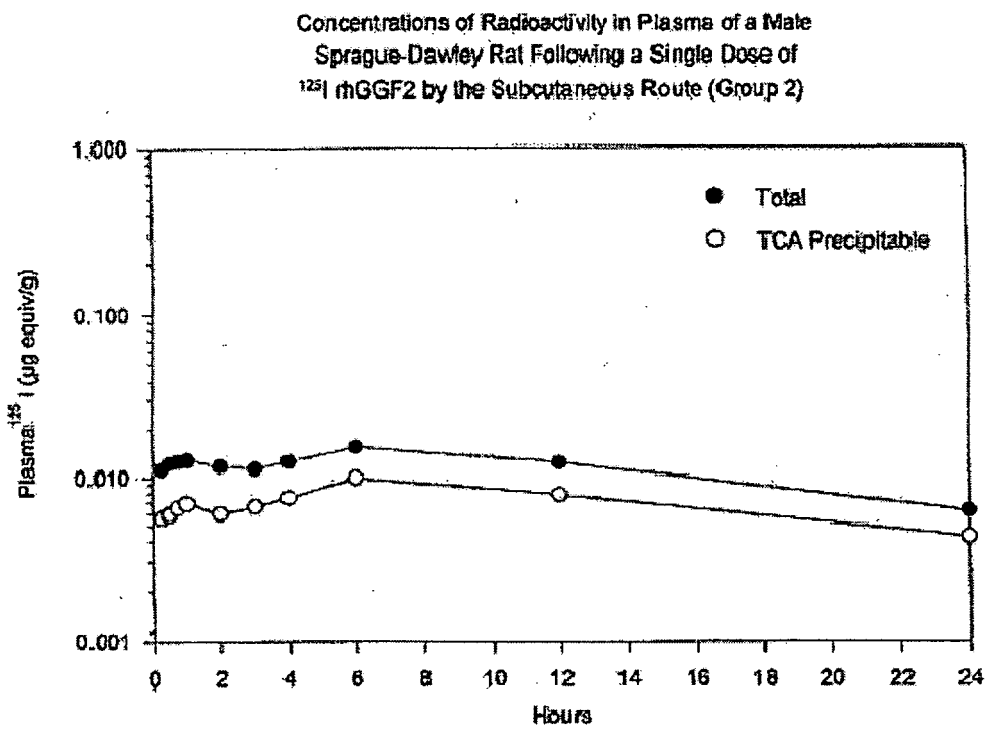




Figure 8A

Nucleotide Sequence & Deduced Acid Sequence of GGF2HBS5

GGAATTCCTT TTTTTTTTTT TTTTTTTCCT NNTTTTTTTTTT TGCCCTTATA CCTCTTCGCC	60
TTTCTGTGGT TCCATCCACT TCTTCCCCT CCTCTCCCA TAAACAAC TCCTACCCCT	120
GCACCCCAA TAAATAATA AAAGGAGGAG GGCAAGGGGG GAGGAGGAG AGTGGTGTG	180
CGAGGGGAG GAAAAGGAG GCAGCGGAG AAGAGCCGG CAGAGTCCGA ACCGACAGCC	240
AGAAGCCCG ACGCACCTCG CACC ATG AGA TGG CGA CGC GCC CCG CGC CGC	291
Met Arg Trp Arg Arg Ala Pro Arg Arg	
TCC GGG CGT CCC GGC CCC CGG GCC CAG CGC CCC GGC TCC GCC GCC CGC	339
Ser Gly Arg Pro Gly Pro Arg Ala Gln Arg Pro Gly Ser Ala Ala Arg	
TCG TCG CCG CCG CTG CCG CTA CTA CTG CTG CTG CTG GGG ACC	387
Ser Ser Pro Leu Pro Leu Leu Pro Leu Leu Leu Leu Leu Gly Thr	
Val Cys Leu Leu Thr Val GGF-II 09	
GCG GCC CTG GCG CCG GGG GCG GCC GGC AAC GAG GCG GCT CCC GCG	435
Ala Ala Leu Ala Pro Gly Ala Ala Ala Gly Asn Glu Ala Ala Pro Ala	
Ala Ala Leu Pro Pro	
GGG GCC TCG GTG TGC TAC TCG TCC CCG CCC AGC GTG GGA TCG GTG CAG	483
Gly Ala Ser Val Cys Tyr Ser Ser Pro Pro Ser Val Gly Ser Val Gln	
Ala Ser Pro Val Ser Val Gly Ser Val Gln GGF-II 08	
GAG CTA GCT CAG CGC GCC GTG ATC GAG GGA AAG GTG CAC CCG	531
Glu Leu Ala Gln Arg Ala Val Val Ile Glu Gly Lys Val His Pro	
Glu Leu Val Gln Arg Trp Phe Val Val Ile Glu Gly Lys GGF-II 04	

**Figure 8B**

**Nucleotide Sequence & Deduced Acid Sequence of GGF2HBS5**

CAG CGG CAG CAG GGG GCA CTC GAC AGG AAG GCG GCG GCG GCG GCG	579
Gln Arg Arg Gln Gln Gly Ala Leu Asp Arg Lys Ala Ala Ala Ala Ala	
GGC GAG GCA GGG GCG TGG GGC GAT CGC GAG CCG CCA GCC GCG GGC	627
Gly Glu Ala Ala Gly Ala Trp Gly Gly Asp Arg Glu Pro Pro Ala Ala Gly	
CCA CGG GCG CTG GGG CCG CCC GCC GAG GAG CCG CTG CTC GCC GCC AAC	675
Pro Arg Ala Leu Gly Pro Pro Ala Glu Glu Pro Leu Leu Ala Ala Asn	
GGG ACC GTG CCC TCT TGG CCC ACC GCC CCG GTG CCC AGC GCC GGC GAG	723
Gly Thr Val Pro Ser Trp Pro Thr Ala Pro Val Pro Ser Ala Gly Glu	
CCC GGG GAG GAG CCG CCC TAT CTG GTG AAG GTG CAC CAG GTG TGG GCG	771
Pro Gly Glu Glu Ala Pro Tyr Leu Val Lys Val His Gln Val Trp Ala	
Lys Val His Glu Val Trp Ala	
GGF-II 01 & GGF-II 11	
GTG AAA GCC GGG GTG AAG AAG GAC TCG CTG CTC ACC GTG CGC CTG	819
Val Lys Ala Ala Gly Gly Leu Lys Lys Asp Ser Leu Leu Thr Val Arg Leu	
Ala Lys	
Asp Leu Leu Leu Xaa Val	
GGF-II 10	
GGG ACC TGG GGC CAC CCC GCC TTC CCC TCC TGC GGG AGG CTC AAG GAG	867
Gly Thr Trp Gly His Pro Ala Phe Pro Ser Cys Gly Arg Leu Lys Glu	
Gly Ala Trp Gly Pro Pro Ala Phe Pro Val Xaa Tyr	
GGF-II 03	
GAC AGC AGG TAC ATC TTC TTC ATG GAG CCC GAC GCC AAC ACC AGC AGC	915
Asp Ser Arg Tyr Ile Phe Phe Met Glu Pro Asp Ala Asn Ser Thr Ser	
Tyr Ile Phe Phe Met Glu Pro Glu Ala Xaa Ser Ser Gly	
GGF-II 02	

Figure 8C

**Nucleotide Sequence & Deduced Acid Sequence of GGF2HBS5**

CGC GCG CCG GCC GCC TTC CGA GCC TCT TTC CCC CCT CTG GAG ACG GGC Arg Ala Pro Ala Ala Phe Arg Ala Ser Phe Pro Pro Leu Glu Thr Gly	963
CGG AAC CTC AAG AAG GAG GTC AGC CGG GTG CTG TGC AAG CGG TGC GCC Arg Asn Leu Lys Lys Glu Val Ser Arg Val Leu Cys Lys Arg Cys Ala	1011
TTG CCT CCC CAA TTG AAA GAG ATG AAA AGC CAG GAA TCG GCT GCA GGT Leu Pro Pro Gln Leu Lys Glu Met Lys Ser Gln Glu Ser Ala Ala Gly	1059
TCC AAA CTA GTC CTT CGG TGT GAA ACC AGT TCT GAA TAC TCC TCT CTC Ser Lys Leu Val Leu Arg Cys Glu Thr Ser Ser Glu Tyr Ser Ser Leu Leu Val Leu Arg GGF-II 06	1107
AGA TTC AAG TGG TTC AAG AAT GGG AAT GAA TTG AAT CGA AAA AAC AAA Arg Phe Lys Lys Trp Phe Lys Asn Gly Asn Glu Leu Asn Arg Lys Asn Lys	1155
CCA CAA AAT ATC AAG ATA CAA AAA AAG CCA GGG AAG TCA GAA CTT CGC Pro Gln Asn Ile Lys Ile Gln Lys Lys Pro Gly Lys Ser Glu Leu Arg	1203
ATT AAC AAA GCA TCA CTG GCT GAT TCT GGA GAG TAT ATG TGC AAA GTG Ile Asn Lys Ala Ser Leu Ala Asp Ser Gly Glu Tyr Met Cys Lys Val Lys Ala Ser Leu Ala Asp Ser Gly Glu Tyr Met Xaa Lys GGF-II 12	1251
ATC AGC AAA TTA GGA AAT GAC AGT GCC TCT GCC AAT ATC ACC ATC GTG Ile Ser Lys Lys Leu Gly Asn Asp Ser Ala Ser Ala Asn Ile Thr Ile Val	1299
GAA TCA AAC GCT ACA TCT ACA TCC ACC ACT GGG ACA AGC CAT CTT GTA Glu Ser Asn Ala Thr Ser Thr Ser Thr Thr Gly Thr Ser His Leu Val	1347

**Figure 8D**

**Nucleotide Sequence & Deduced Acid Sequence of GGF2HBS5**

AAA TGT GCG GAG AAG GAG AAA ACT TTC TGT GTG AAT GGA GGG GAG TGC	1395
Lys Cys Ala Glu Lys Glu Lys Thr Phe Cys Val Asn Gly Gly Glu Cys	
TTC ATG GTG AAA GAC CTT TCA AAC CCC TCG AGA TAC TTG TGC AAG TGC	1443
Phe Met Val Lys Asp Leu Ser Asn Pro Ser Arg Tyr Leu Cys Lys Cys	
CCA AAT GAG TTT ACT GGT GAT CGC TGC CAA AAC TAC GTA ATG GCC AGC	1491
Pro Asn Glu Phe Thr Gly Asp Arg Cys Gln Asn Tyr Val Met Ala Ser	
TTC TAC AGT ACG TCC ACT CCC TTT CTG TCT CTG CCT GAA	1530
Phe Tyr Ser Thr Ser Thr Pro Phe Leu Ser Leu Pro Glu	
TAGGAGCATG CTCAGTTGGT GCTGCTTTTCT TGTGCTGCA TCTCCCTCA GATTCCACCT	1590
AGAGCTAGAT GTGTCTTACC AGATCTAATA TTGACTGCCT CTGCCCTGTCG CATGAGAACA	1650
TTAACAAAAG CAATTGTATT ACTTCCCTCTG TTCCGGACTA GTTGGCTCTG AGATACTAAT	1710
AGGTGTGTGA GGCTCCGGAT GTTTCIGGAA TTGATATGA ATGATGTGAT ACAAATTGAT	1770
AGTCAATATC AAGCAGTGAA ATATGATAAT AAAGGCATTT CAAAGTCTCA CTTTTATTGA	1830
TAAAATAAAA ATCATCTAC TGAACAGTCC ATCTTCTTTA TACAATGACC ACATCCTGAA	1890
AAGGGTGTG CTAAGCTGTA ACCGATATGC ACTTGAATG ATGGTAAGTT AATTTTGATT	1950
CAGAAATGTGT TATTTGTCAC AAATAAACAT AATAAAAGGA AAAAAAAA AAA	2003

## Figure 9

## EGFL1

AGC CAT CTT GTC AAG TGT GCA GAG AAG GAG AAA ACT TTC TGT GTG AAT	48
Ser His Leu Val Lys Cys Ala Glu Lys Glu Lys Thr Phe Cys Val Asn	
GGA GGC GAG TGC TTC ATG ATG AAA GAC CTT TCA AAT CCC TCA AGA TAC	96
Gly Gly Glu Cys Phe Met Val Lys Asp Leu Ser Asn Pro Ser Arg Tyr	
TTG TGC AAG TGC CCA AAT GAG TTT ACT GGT GAT CGC TGC CAA AAC TAC	144
Leu Cys Lys Cys Pro Asn Glu Phe Thr Gly Asp Arg Cys Gln Asn Tyr	
GTA ATG GCC AGC TTC TAC AGT ACG TCC ACT CCC TTT CTG TCT CTG CCT	192
Val Met Ala Ser Phe Tyr Ser Thr Ser Thr Pro Phe Leu Ser Leu Pro	
GAA TAG	198
Glu	

**Figure 10****EGFL2**

AGC	CAT	CTT	GTC	AAG	TGT	GCA	GAG	AAG	GAG	AAA	ACT	TTC	TGT	GTG	AAT	48
Ser	His	Leu	Val	Lys	Cys	Ala	Glu	Lys	Glu	Lys	Thr	Phe	Cys	Val	Asn	
GGA	GGC	GAG	TGC	TTC	ATG	GTG	AAA	GAC	CTT	TCA	AAT	CCC	TCA	AGA	TAC	96
Gly	Gly	Glu	Cys	Phe	Met	Val	Lys	Asp	Leu	Ser	Asn	Pro	Ser	Arg	Tyr	
TTG	TGC	AAG	TGC	CAA	CCT	GGA	TTC	ACT	GGA	GCG	AGA	TGT	ACT	GAG	AAT	144
Leu	Cys	Lys	Cys	Gln	Pro	Gly	Phe	Thr	Gly	Ala	Arg	Cys	Thr	Glu	Asn	
GTG	CCC	ATG	AAA	GTC	CAA	ACC	CAA	GAA	AAA	GCG	GAG	GAG	CTC	TAC	TAA	192
Val	Pro	Met	Lys	Val	Gln	Thr	Gln	Glu	Lys	Ala	Glu	Glu	Leu	Tyr		

**Figure 11****EGFL3**

AGC CAT CTT GTC AAG TGT GCA GAG AAG GAG AAA ACT TTC TGT GTG AAT 48  
 Ser His Leu Val Lys Cys Ala Glu Lys Glu Lys Thr Phe Cys Val Asn  
  
 GGA GGC GAG TGC TTC ATG GTG AAA GAC CTT TCA AAT CCC TCA AGA TAC 96  
 Gly Gly Glu Cys Phe Met Val Lys Asp Leu Ser Asn Pro Ser Arg Tyr  
  
 TTG TGC AAG TGC CCA AAT GAG TTT ACT GGT GAT CGC TGC CAA AAC TAC 144  
 Leu Cys Lys Cys Pro Asn Glu Phe Thr Gly Asp Arg Cys Gln Asn Tyr  
  
 GTA ATG GCC AGC TTC TAC AAA GCG GAG GAG CTC TAC TAA 183  
 Val Met Ala Ser Phe Tyr Lys Ala Glu Glu Leu Tyr

## Figure 12

## EGFL4

AGC CAT CTT GTC AAG TGT GCA GAG AAG GAG AAA ACT TTC TGT GTG AAT	48
Ser His Leu Val Lys Cys Ala Glu Lys Glu Lys Thr Phe Cys Val Asn	
GGA GGC GAG TGC TTC ATG ATG GTG AAA GAC CTT TCA AAT CCC TCA AGA TAC	96
Gly Gly Glu Cys Phe Met Val Lys Asp Leu Ser Asn Pro Ser Arg Tyr	
TTG TGC AAG TGC CCA AAT GAG TTT ACT GGT GAT CGC TGC CAA AAC TAC	144
Leu Cys Lys Cys Pro Asn Glu Phe Thr Gly Asp Arg Cys Gln Asn Tyr	
GTA ATG GCC AGC TTC TAC AAG CAT CTT GGG ATT GAA TTT ATG GAG AAA	192
Val Met Ala Ser Phe Tyr Lys His Leu Gly Ile Glu Phe Met Glu Lys	
GCG GAG GAG CTC TAC TAA	210
Ala Glu Glu Leu Tyr	



Figure 13

**EGFL5**

AGC CAT CTT GTC AAG TGT GCA GAG AAG GAG AAA ACT TTC TGT GTG AAT	48
Ser His Leu Val Lys Cys Ala Glu Lys Glu Lys Thr Phe Cys Val Asn	
GGA GGC GAG TGC TTC ATG GTG AAA GAC CTT TCA AAT CCC TCA AGA TAC	96
Gly Gly Glu Cys Phe Met Val Lys Asp Leu Ser Asn Pro Ser Arg Tyr	
TTG TGC AAG TGC CAA CCT GGA TTC ACT GGA GCG AGA TGT ACT GAG AAT	144
Leu Cys Lys Cys Gln Pro Gly Phe Thr Gly Ala Arg Cys Thr Glu Asn	
GTG CCC ATG AAA GTC CAA ACC CAA AAG TGC CCA AAT GAG TTT ACT	192
Val Pro Met Lys Val Lys Thr Gln Thr Gln Glu Lys Cys Pro Asn Glu Phe Thr	
GGT GAT CGC TGC CAA AAC TAC GTA ATG GCC AGC TTC TAC AGT ACG TCC	240
Gly Asp Arg Cys Gln Asn Tyr Val Met Ala Ser Phe Tyr Ser Thr Ser	
ACT CCC TTT CTG TCT CTG CCT GAA TAG	267
Thr Pro Phe Leu Ser Leu Pro Glu	

## Figure 14

## EGFL6

AGC CAT CTT GTC AAG TGT GCA GAG AAG GAG AAA ACT TTC TGT GTG AAT	48
Ser His Leu Val Lys Cys Ala Glu Lys Glu Lys Thr Phe Cys Val Asn	
GGA GGC GAG TGC TTC ATG GTG AAA GAC CTT TCA AAT CCC TCA AGA TAC	96
Gly Gly Glu Cys Phe Met Val Lys Asp Leu Ser Asn Pro Ser Arg Tyr	
TTG TGC AAG TGC CAA CCT GGA TTC ACT GGA GCG AGA TGT ACT GAG AAT	144
Leu Cys Lys Cys Gln Pro Gly Phe Thr Gly Ala Arg Cys Thr Glu Asn	
GTG CCC ATG AAA GTC CAA ACC CAA GAA AAG TGC CCA AAT GAG TTT ACT	192
Val Pro Met Lys Val Lys Val Gln Thr Gln Glu Lys Cys Pro Asn Glu Phe Thr	
GGT GAT CGC TGC CAA AAC TAC GTA ATG GCC AGC TTC TAC AAA GCG GAG	240
Gly Asp Arg Cys Gln Asn Tyr Val Met Ala Ser Phe Tyr Lys Ala Glu	
GAG CTC TAC TAA	252
Glu Leu Tyr	

**Figure 15**

Ser His Leu Val Lys Cys Ala Glu Lys Glu Lys Thr Phe Cys Val Asn  
Gly Gly Glu Cys Phe Met Val Lys Asp Leu Ser Asn Pro Ser Arg Tyr  
Leu Cys Lys Cys Pro Asn Glu Phe Thr Gly Asp Arg Cys Gln Asn Tyr  
Val Met Ala Ser Phe Tyr Lys Ala Glu Glu Leu Tyr

PATENT COOPERATION TREATY

PCT



DECLARATION OF NON-ESTABLISHMENT OF INTERNATIONAL SEARCH REPORT  
(PCT Article 17(2)(a), Rules 13ter.1(c) and (d) and 39)

Applicant's or agent's file reference 10941040/PCT	<b>IMPORTANT DECLARATION</b>	Date of mailing ( <i>day/month/year</i> ) 06 JANUARY 2010 (06.01.2010)
International application No. <b>PCT/US2009/004130</b>	International filing date ( <i>day/month/year</i> ) <b>17 JULY 2009 (17.07.2009)</b>	(Earliest) Priority date ( <i>day/month/year</i> ) 17 JULY 2008 (17.07.2008)
International Patent Classification (IPC) or both national classification and IPC <i>A61K 38/18(2006.01)i, A61P 9/00(2006.01)i</i>		
Applicant <b>ACORDA THERAPEUTICS, INC. et al</b>		

This International Searching Authority hereby declares, according to Article 17(2)(a), that **no international search report will be established** on the international application for the reasons indicated below.

1.  The subject matter of the international application relates to:
  - a.  scientific theories.
  - b.  mathematical theories.
  - c.  plant varieties.
  - d.  animal varieties.
  - e.  essentially biological processes for the production of plants and animals, other than microbiological processes and the products of such processes.
  - f.  schemes, rules or methods of doing business.
  - g.  schemes, rules or methods of performing purely mental acts.
  - h.  schemes, rules or methods of playing games.
  - i.  methods for treatment of the human body by surgery or therapy.
  - j.  methods for treatment of the animal body by surgery or therapy.
  - k.  diagnostic methods practised on the human or animal body.
  - l.  mere presentation of information.
  - m.  computer programs for which this International Searching Authority is not equipped to search prior art.
2.  The failure of the following parts of the international application to comply with prescribed requirements prevents a meaningful search from being carried out:
 

the description                       the claims                       the drawings
3.  A meaningful search could not be carried out without the sequence listing; the applicant did not, within the prescribed time limit:
  - furnish a sequence listing on paper complying with the standard provided for in Annex C of the Administrative Instructions, and such listing was not available to the International Searching Authority in a form and manner acceptable to it.
  - furnish a sequence listing in electronic form complying with the standard provided for in Annex C of the Administrative Instructions, and such listing was not available to the International Searching Authority in a form and manner acceptable to it.
  - pay the required late furnishing fee for the furnishing of a sequence listing in response to an invitation under Rule 13ter.1(a) or (b)
4. Further comments:

Name and mailing address of ISA/KR  Korean Intellectual Property Office Government Complex-Daejeon, 139 Seonsa-ro, Seo-gu, Daejeon 302-701, Republic of Korea  Facsimile No. 82-42-472-7140	Authorized officer  CHO, Hyun Kyung  Telephone No. 82-42-481-5629  
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