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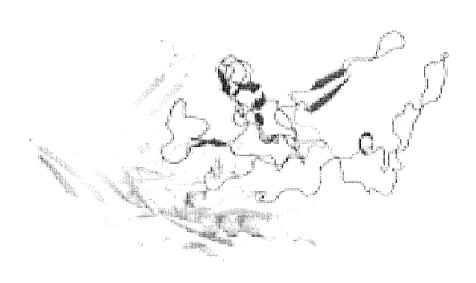
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- (54) Titre: VECTEURS VIRAUX A PROFIL DE TRANSDUCTION MODIFIEE ET PROCEDES POUR LES PREPARER ET LES UTILISER
- (54) Title: VIRAL VECTORS WITH MODIFIED TRANSDUCTION PROFILES AND METHODS OF MAKING AND USING THE SAME



#### (57) Abrégé/Abstract:

The present invention provides AAV capsid proteins, virus capsids comprising said capsid proteins and virus vectors comprising said capsid proteins, wherein the AAV capsid proteins have one or more mutations, wherein the mutation(s) result in a phenotype of decreased liver transduction and/or reduced glycan binding affinity as compared to a control. The invention also provides methods of administering the virus vectors and virus capsids of the invention to a cell or to a subject.





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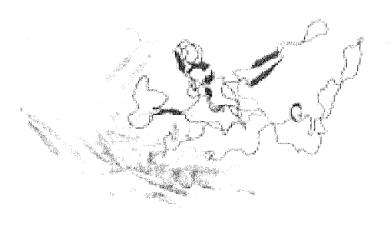


FIG. 1A

(57) Abstract: The present invention provides AAV capsid proteins, virus capsids comprising said capsid proteins and virus vectors comprising said capsid proteins, wherein the AAV capsid proteins have one or more mutations, wherein the mutation(s) result in a phenotype of decreased liver transduction and/or reduced glycan binding affinity as compared to a control. The invention also provides methods of administering the virus vectors and virus capsids of the invention to a cell or to a subject.





# VIRAL VECTORS WITH MODIFIED TRANSDUCTION PROFILES AND METHODS OF MAKING AND USING THE SAME

#### STATEMENT OF PRIORITY

5 This application claims the benefit, under 35 U.S.C. § 119(e), of U.S. Provisional Application Serial No.61/441,411, filed February 10, 2011.

#### STATEMENT OF GOVERNMENT SUPPORT

Aspects of this invention were funded under Grant No. HL089221 from the National Institutes of Health. The United States Government has certain rights in this invention.

#### FIELD OF THE INVENTION

The present invention relates to modified capsid proteins from adenoassociated virus (AAV) and virus capsids and virus vectors comprising the same. In particular, the invention relates to modified AAV capsid proteins and capsids comprising the same that can be incorporated into virus vectors to confer a desirable transduction profile with respect to a target tissue(s) of interest.

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#### **BACKGROUND OF THE INVENTION**

Clinical gene transfer with adeno-associated viral (AAV) vectors has rapidly gained momentum in recent years. The ongoing translation from bench-to-bedside has been spurred in part by the availability of a versatile AAV toolkit displaying diverse tissue tropisms across multiple species [1,2]. Amongst numerous AAV isolates, AAV9 vectors display a systemic, multiorgan transduction profile following intravenous administration [3]. Rapid onset of gene expression and high transgene expression levels mediated by AAV9 vectors in heart and liver have been reported [4,5,6]. In addition, efficient transduction of neurons in neonatal mice as well as skeletal muscle in neonatal dogs following intravascular administration has been observed [7, 8]. These attributes make AAV9 a viable candidate for therapeutic gene transfer in systemic diseases such as lysosomal storage disorders.

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Paradoxically, a wide range of clinically relevant applications benefit from vector targeting to specific tissues rather than multi-organ gene expression. For instance, gene therapy of cardiac disease or muscular dystrophies would be facilitated by vectors capable of efficient and selective gene transfer to heart and/or skeletal muscle [6,8,9]. Therapeutic approaches targeting the liver or skeletal muscle are preferred for treatment of hemophilic disorders [10], while the lung is considered a target organ for gene therapy of alpha-1 antitrypsin (AAT) deficiency [11,12].

The present inventor addresses a need in the art for nucleic acid delivery vectors with desirable features, e.g., with respect to transduction profiles.

#### **SUMMARY OF THE INVENTION**

In one aspect, the present invention provides an adeno-associated virus serotype 9 (AAV9) or a Clade F adeno-associated virus (AAV) capsid protein, wherein the capsid protein comprises a mutation in one or more amino acids in amino acid regions 498-504, 590-595 and/or 582-587, wherein the mutation(s) result in a phenotype of decreased liver transduction as compared to a control (e.g., an AAV9 or Clade F AAV capsid protein lacking said mutation(s)).

In an additional aspect, the present invention provides an adeno-associated virus serotype 9 (AAV9) or a Clade F adeno-associated virus (AAV) capsid protein, wherein the capsid protein comprises a mutation in one or more amino acids in amino acid regions 498-504, 590-595 and/or 582-587, wherein the mutation(s) result in a phenotype of reduced glycan binding affinity as compared to a control (e.g., an AAV9 or Clade F AAV capsid protein lacking said mutation(s)).

In a further aspect, the present invention provides a virus capsid (e.g., an AAV capsid) comprising the AAV9 or Clade F AAV capsid protein of this invention.

Additionally provided herein is a virus vector comprising a virus capsid (e.g., an AAV capsid) of this invention; and a nucleic acid comprising at least one terminal repeat sequence, wherein the nucleic acid is encapsidated by the AAV capsid.

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The present invention also provides a composition comprising the virus vector of this invention in a pharmaceutically acceptable carrier.

In further aspects, the present invention provides a method of introducing a nucleic acid into a cell, comprising contacting the cell with a virus vector and/or a composition of this invention.

Also provided herein is a method of delivering a nucleic acid to a subject (e.g., a human subject), comprising administering to the subject a virus vector of this invention and/or a composition of this invention.

The invention as claimed further relates to:

- an adeno-associated virus (AAV) serotype 9 (AAV9) or Clade F adeno-associated virus (AAV) capsid protein, wherein the capsid protein comprises a substitution in one or more of amino acids N498, S499, E500, F501, A502, W503 and P504 (according to AAV9 VP1 numbering), in any combination, wherein the substitution in the capsid protein results in an AAV9 or Clade F viral phenotype of decreased transduction of liver cells as compared to transduction of another target tissue when compared to that of the same virus lacking said substitution;
  - an adeno-associated virus (AAV) serotype 9 (AAV9) or Clade F adeno-associated virus (AAV) capsid protein comprising a W503R substitution (according to AAV9 VP1 numbering), wherein the substitution in the capsid protein results in an AAV9 or Clade F viral phenotype of decreased transduction of liver cells as compared to transduction of another target tissue when compared to that of the same virus lacking said substitution;
  - an adeno-associated virus (AAV) serotype 9 (AAV9) or Clade F adeno-associated virus (AAV) capsid protein comprising a N498Y substitution (according to AAVP1 numbering), wherein the substitution in the capsid protein results in an AAV9 or Clade F viral phenotype of decreased transduction of liver cells as compared to transduction of another target tissue when compared to that of the same virus lacking said substitution:

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- an adeno-associated virus (AAV) serotype 9 (AAV9) or Clade F adeno-associated virus (AAV) capsid protein comprising a N498I substitution (according to AAV9 VP1 numbering), wherein the substitution in the capsid protein results in an AAV9 or Clade F viral phenotype of decreased transduction of liver cells as compared to transduction of another target tissue when compared to that of the same virus lacking said substitution;
- an adeno-associated virus (AAV) serotype 9 (AAV9) or Clade F adeno-associated virus (AAV) capsid protein, wherein the capsid protein comprises a substitution in one or more of amino acids N498, S499, E500, F501, A502, W503 and P504 (according to AAV9 VP1 numbering), in any combination, wherein the substitution in the capsid protein results in an AAV9 or Clade F viral phenotype of reduced glycan binding affinity as compared to that of the same virus lacking said substitution:
- an adeno-associated virus (AAV) serotype 9 (AAV9) or Clade F adeno-associated virus (AAV) capsid protein comprising a W503R substitution (according to AAV9 VP1 numbering), wherein the substitution in the capsid protein results in an AAV9 or Clade F viral phenotype of reduced glycan binding affinity as compared to that of the same virus lacking said substitution;
- an adeno-associated virus (AAV) serotype 9 (AAV9) or Clade F adeno-associated virus (AAV) capsid protein comprising a N498Y substitution (according to AAV9 VP1 numbering), wherein the substitution in the capsid protein results in an AAV9 or Clade F viral phenotype of reduced glycan binding affinity as compared to that of the same virus lacking said substitution;
- an adeno-associated virus (AAV) serotype 9 (AAV9) or Clade F adeno associated virus (AAV) capsid protein comprising a N498I substitution (according to AAV9 VP1 numbering), wherein the substitution in the capsid protein results in an AAV9 or Clade F viral phenotype of reduced glycan binding affinity as compared to that of the same virus lacking said substitution;

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These and other aspects of the invention are addressed in more detail in the description of the invention set forth below.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

Figures 1A-E. Structural analysis of the AAV9 capsid library. (A) Cartoon representation of the AAV9 VP3 subunit monomer obtained using SWISS-MODEL with crystal structure of AAV8 serving as template (pdb id: 2QA0). The GH loop containing amino acids 390-627 (VP1 numbering) is colored in red. (B) Surface rendering of an AAV9 capsid model with 60 VP3 subunits generated using T=1 icosahedral symmetry coordinates on VIPERdb. GH loop regions from different VP3 subunits, surrounding the icosahedral five-fold pore and interdigitating at the three-fold symmetry axis are highlighted in red. (C) Cartoon of AAV9 VP3 subunit trimer generated on VIPERdb with point mutations of 43 representative clones from the AAV9 library depicted by red spheres. (D) Side view of capsid trimer (90° rotation) showing a majority of point mutations (red spheres) clustered on the outer loops. (E) Spherical roadmap projection of surface residues within the capsid trimer region. Residues highlighted in red represent a subset of ten AAV9 variants containing altered residues prominently located on the capsid surface.

Figures 2A-B. Bioluminescent live animal images at 4 weeks post-administration of vectors packaging the CBA-luc transgene cassette. Panels of mice treated with AAV9 variants displaying (A) altered and (B) unaltered transduction profiles relative to parental AAV9 vectors are shown. Images (n=3 each) were obtained at 1 min exposure using a Xenogen IVIS Lumina

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system equipped with a CCD camera. Scale represents relative light units as determined using the Living Image® software.

**Figures 3A-B.** Quantitation of luciferase transgene expression levels **(A)** and vector genome copy numbers **(B)** at 4 weeks post-injection in different tissue types; heart, liver, skeletal muscle (gastrocnemius), lung and brain. Defective variants 9.11 and 9.47 (black bars) are categorized under functional subtype I; liver-detargeted variants 9.24, 9.45 and 9.61 (white bars) under functional subtype II; and variants largely similar to AAV9 (gray bars) assigned to functional subtype III. Luciferase expression levels were normalized for total tissue protein concentration and data are represented as relative light units. Vector genome copy numbers are normalized per μg of genomic DNA. All experiments were carried out in triplicate. Error bars represent standard deviation.

**Figures 4A-B.** Time course of luciferase transgene expression levels following intravenous administration of AAV9 and AAV9.45 vectors (5×10<sup>10</sup> vg/mouse) in heart (**A**) and liver (**B**). Luciferase expression levels determined at 1 week (gray bar), 2 weeks (white bar) and 4 weeks (dark gray bar) were normalized for total tissue protein concentration and data are represented as relative light units. All experiments were carried out in triplicate. Error bars represent standard deviation.

**Figures 5A-B.** Effect of vector dose on luciferase transgene expression levels in heart (**A**) and liver (**B**). Parental AAV9 and AAV9.45 vectors were administered intravenously at low (1×10<sup>10</sup> vg/mouse), medium (5×10<sup>10</sup> vg/mouse) and high (1×10<sup>11</sup> vg/mouse) dose. Luciferase expression assays were carried out at 2 weeks post-administration and normalized for total tissue protein concentration. Data are represented as relative light units and all experiments were carried out in triplicate. Error bars represent standard deviation.

**Figures 6A-C.** Comparison of transduction profiles of parental AAV9 and variants in heart and liver. Heart-to-liver ratios for luciferase expression levels **(A)** and vector genome copy numbers **(B)** were derived from average values. Variant 9.45 (white bars) demonstrates high heart-to-liver expression and vg ratios and correspondingly high liver-detargeting efficiency. Variant 9.68 displays heart-to-liver ratios lower than parental AAV9 vectors and hence

preferential liver transduction. **(C)** Fluorescence micrographs of heart, liver and skeletal muscle (gastrocnemius) tissue sections from mice injected with AAV9 or AAV9.45 vectors packaging the CBA-tdTomato cassette. Images were obtained at 20x (liver) or 10x (heart and skeletal muscle) magnification using an Olympus microscope equipped with a Hamamatsu digital camera. Tissue sections from untreated mice are shown as control. All experiments were carried out in duplicate.

Figure 7. Putative cluster of residues implicated in AAV9 liver tropism. A spherical roadmap projection of surface residues within the AAV9 capsid trimer region was created as described herein. Key residues, highlighted in red, include N498, W503 (9.45/9.61, 9.24); Q590L (9.11) and P504 (9.68), each derived from mutants categorized under different subtypes. Manipulation of this cluster of residues alters AAV9 liver tropism and might constitute a partial receptor footprint on the AAV9 capsid surface.

Figure 8. Blood circulation profiles of AAV9 and representative variants from each functional subtype; AAV9.47 (I), AAV9.45 (II) and AAV9.68 (III). Vector genome copy numbers in blood were determined at different time intervals following intravenous administration of 1×10<sup>10</sup> particles of AAV9 and related variants packaging the CBA-luc cassette. At 1, 24 and 48 hrs post-injection, 10 μI of whole blood was collected from the tall vein in heparinized capillary tubes and viral DNA quantified by qPCR. Vector genome copy numbers are normalized per mL of whole blood. All experiments were carried out in triplicate. Error bars represent standard deviation.

**Figures 9A-D**. Binding curves of AAV9 mutants on Lec2 cell surface. **(A)** AAV9 vs. 9.24. **(B)** AAV9 vs. 9.45. **(C)** AAV9 vs. 9.61. **(D)** AAV9 vs. 9.98.

Figure 10. Binding potentials of AAV9 mutants on Lec2 cell surface.

#### **DETAILED DESCRIPTION OF THE INVENTION**

The present invention will now be described with reference to the accompanying drawings, in which representative embodiments of the invention are shown. This invention may, however, be embodied in different forms and should not be construed as limited to the embodiments set forth herein. Rather, these embodiments are provided so that this disclosure will

be thorough and complete, and will fully convey the scope of the invention to those skilled in the art.

Unless otherwise defined, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. The terminology used in the description of the invention herein is for the purpose of describing particular embodiments only and is not intended to be limiting of the invention.

The designation of all amino acid positions in the AAV capsid proteins in the description of the invention and the appended claims is with respect to AAV9 VP1 capsid subunit numbering (AAV9 VP1 capsid protein; GenBank® Database Accession No. AY530579.1; GenBank® Database Accession No. AAS99264.1). It will be understood by those skilled in the art that the modifications described herein if inserted into the AAV *cap* gene may result in modifications in the VP1, VP2 and/or VP3 capsid subunits. Alternatively, the capsid subunits can be expressed independently to achieve modification in only one or two of the capsid subunits (VP1, VP2, VP3, VP1 + VP2, VP1+VP3, or VP2 + VP3). The amino acid sequence of AAV9 VP1 capsid protein is provided below. Amino acids 498-504, 582-587 and 590-595 are shown in upper case.

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1 maadgylpdw lednlsegir swwalkpgap qpkanqqhqd nargivlpgy kylgpgngld
61 kgepynaada aalchdkayd qqlkagdnpy lkynhadaef qerlkedtsf ggnlgravfq
121 akkrlleplg lveeaaktap gkkrpveqsp qendssagig ksgaqpakkr lnfgqtgdte
181 sypdpgnjge ppaapsapyse ltmasggap vadnnegadg vgssagnwhc dagwlgdrvi
241 ttstrtwalp tynnhlykqi snstsygssn dnayfgystp wgyfdfnrfh chfsprdwqr
301 linnnwgfrp krlnfklfni qykevtdung vktiannlbs twyftdady qbyvlgsah
301 egclppfpad vfmipqyyl tlndggaqwg rssfycleyf pagmirtgnn fqfsyefenv
421 pfhssyahsq sldrlmnpli dgylyylakt ingsgqnqqt lkfsvagpan mavggrnyip
481 gpsyrqqrvs tvttqnnnSS FAWFgasswa lngrnslmup gpamashkeg edrffplsgs
541 lifgkgqtyr dnvdadkvmi tneecikttn pvatcsygya annigShqaQ AyTGWqnqq
501 ilpgmvwqdr dvylqgpiwa kiphtdgnfh psplmgqfgm khpppqilik ntpvpadppt
561 afnkdklnsf itqystqvs veiewelqke nskrwnpeig ytsnyyksnn vefavntegy
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#### **DEFINITIONS**

The singular forms "a," 'an" and "the" are intended to include the plural forms as well, unless the context clearly indicates otherwise.

Furthermore, the term "about," as used herein when referring to a measurable value such as an amount of the length of a polynucleotide or

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polypeptide sequence, dose, time, temperature, and the like, is meant to encompass variations of  $\pm$  20%,  $\pm$  10%,  $\pm$  5%,  $\pm$  1%,  $\pm$  0.5%, or even  $\pm$  0.1% of the specified amount.

Also as used herein, "and/or" refers to and encompasses any and all possible combinations of one or more of the associated listed items, as well as the lack of combinations when interpreted in the alternative ("or").

Unless the context indicates otherwise, it is specifically intended that the various features of the invention described herein can be used in any combination.

Moreover, the present invention also contemplates that in some embodiments of the invention, any feature or combination of features set forth herein can be excluded or omitted.

To illustrate further, if, for example, the specification indicates that a particular amino acid can be selected from A, G, I, L and/or V, this language also indicates that the amino acid can be selected from any subset of these amino acid(s) for example A, G, I or L; A, G, I or V; A or G; only L; etc. as if each such subcombination is expressly set forth herein. Moreover, such language also indicates that one or more of the specified amino acids can be disclaimed. For example, in particular embodiments the amino acid is not A, G or I; is not A; is not G or V; etc. as if each such possible disclaimer is expressly set forth herein.

As used herein, the terms "reduce," "reduces," "reduction" and similar terms mean a decrease of at least about 5%, 10%, 15%, 20%, 25%, 35%, 50%, 75%, 80%, 85%, 90%, 95%, 97%, 98%, 99%, 100% or more.

As used herein, the terms "enhance," "enhances," "enhancement" and similar terms indicate an increase of at least about 10%, 20%, 25%, 50%, 75%, 100%, 150%, 200%, 300%, 400%, 500% or more.

The term "parvovirus" as used herein encompasses the family *Parvoviridae*, including autonomously replicating parvoviruses and dependoviruses. The autonomous parvoviruses include members of the genera *Parvovirus*, *Erythrovirus*, *Densovirus*, *Iteravirus*, and *Contravirus*. Exemplary autonomous parvoviruses include, but are not limited to, minute virus of mouse, bovine parvovirus, canine parvovirus, chicken parvovirus,

feline panleukopenia virus, feline parvovirus, goose parvovirus, H1 parvovirus, muscovy duck parvovirus, B19 virus, and any other autonomous parvovirus now known or later discovered. Other autonomous parvoviruses are known to those skilled in the art. See, e.g., BERNARD N. FIELDS et al., VIROLOGY, volume 2, chapter 69 (4th ed., Lippincott-Raven Publishers).

As used herein, the term "adeno-associated virus" (AAV), includes but is not limited to, AAV type 1, AAV type 2, AAV type 3 (including types 3A and 3B), AAV type 4, AAV type 5, AAV type 6, AAV type 7, AAV type 8, AAV type 9, AAV type 10, AAV type 11, avian AAV, bovine AAV, canine AAV, equine 10 AAV, ovine AAV, Clade F AAV (Table 1) and any other AAV now known or later discovered. See, e.g., BERNARD N. FIELDS et al., VIROLOGY, volume 2, chapter 69 (4th ed., Lippincott-Raven Publishers). A number of relatively new AAV serotypes and clades have been identified (see, e.g., Gao et al. (2004) J. Virology 78:6381-6388; Moris et al. (2004) Virology 33:375-383; and Table 1).

The genomic sequences of various serotypes of AAV and the autonomous parvoviruses, as well as the sequences of the native terminal repeats (TRs), Rep proteins, and capsid subunits are known in the art. Such sequences may be found in the literature or in public databases such as 20 GenBank® Database. See, e.g., GenBank® Database Accession Numbers  $NC\_002077, NC\_001401, NC\_001729, NC\_001863, NC\_001829,$ NC 001862, NC\_000883, NC\_001701, NC\_001510, NC\_006152, NC 006261, AF063497, U89790, AF043303, AF028705, AF028704, J02275, J01901, J02275, X01457, AF288061, AH009962, AY028226, AY028223, 25 NC\_001358, NC\_001540, AF513851, AF513852, AY530579. See also, e.g., Srivistava et al. (1983) J. Virology 45:555; Chiorini et al. (1998) J. Virology 71:6823; Chiorini et al. (1999) J. Virology 73:1309; Bantel-Schaal et al. (1999) J. Virology 73:939; Xiao et al. (1999) J. Virology 73:3994; Muramatsu et al. (1996) Virology 221:208; Shade et al. (1986) J. Virol. 58:921; Gao et al. (2002) Proc. 30 Nat. Acad. Sci. USA 99:11854; Moris et al. (2004) Virology 33-:375-383; international patent publications WO 00/28061, WO 99/6160 and WO 98/11244; and U.S. Patent No. 6,156,303; the disclosures of which

teach parvovirus and AAV nucleic acid and amino acid sequences. See also Table 1.

The capsid structures of autonomous parvoviruses and AAV are described in more detail in BERNARD N. FIELDS et al., VIrology, Volume 2, Chapters 69 & 70 (4th ed., Lippincott-Raven Publishers). See also, description of the crystal structure of AAV2 (Xie et al. (2002) Proc. Nat. Acad. Sci. 99:10405-10), AAV4 (Padron et al. (2005) J. Virol. 79: 5047-58), AAV5 (Walters et al. (2004) J. Virol. 78: 3361-71) and CPV (Xie et al. (1996) J. Mol. Biol. 6:497-520 and Tsao et al. (1991) Science 251: 1456-64).

The term "tropism" as used herein refers to preferential or selective entry of the virus into certain cells or tissues, optionally followed by expression (e.g., transcription and, optionally, translation) of a sequence(s) carried by the viral genome in the cell, e.g., for a recombinant virus, expression of a heterologous nucleotide sequence of interest. Those skilled in the art will appreciate that transcription of a heterologous nucleic acid sequence from the viral genome may not be initiated in the absence of *trans*-acting factors, e.g., for an inducible promoter or otherwise regulated nucleic acid sequence. In the case of a rAAV genome, gene expression from the viral genome may be from a stably integrated provirus, from a non-integrated episome, as well as any other form in which the virus may take within the cell.

As used here, "systemic tropism" and "systemic transduction" (and equivalent terms) indicate that the virus capsid or virus vector of the invention exhibits tropism for or transduces, respectively, tissues throughout the body (e.g., brain, lung, skeletal muscle, heart, liver, kidney and/or pancreas). In embodiments of the invention, systemic transduction of muscle tissues (e.g., skeletal muscle, diaphragm and cardiac muscle) is observed. In other embodiments, systemic transduction of skeletal muscle tissues achieved. For example, in particular embodiments, essentially all skeletal muscles throughout the body are transduced (although the efficiency of transduction may vary by muscle type). In particular embodiments, systemic transduction of limb muscles, cardiac muscle and diaphragm muscle is achieved. Optionally, the virus capsid or virus vector is administered via a systemic route (e.g., systemic route such as intravenously, intra-articularly or intralymphatically). Alternatively, in other embodiments, the capsid or virus vector

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is delivered locally (e.g., to the footpad, intramuscularly, intradermally, subcutaneously, topically).

Unless indicated otherwise, "efficient transduction" or "efficient tropism," or similar terms, can be determined by reference to a suitable control (e.g., at least about 25%, 50%, 60%, 70%, 80%, 85%, 90%, 95%, 100%, 125%, 150%, 200%, 250%, 300%, 350%, 400%, 500% or more of the transduction or tropism, respectively, of the control). In particular embodiments, the virus vector efficiently transduces or has efficient tropism for skeletal muscle, cardiac muscle, diaphragm muscle, pancreas (including β-islet cells), spleen, the gastrointestinal tract (e.g., epithelium and/or smooth muscle), cells of the central nervous system, lung, joint cells, and/or kidney. Suitable controls will depend on a variety of factors including the desired tropism profile. For example, AAV8 and AAV9 are highly efficient in transducing skeletal muscle, cardiac muscle and diaphragm muscle, but have the disadvantage of also transducing liver with high efficiency. Thus, the invention can be practiced to identify viral vectors of the invention that demonstrate the efficient transduction of skeletal, cardiac and/or diaphragm muscle of AAV8 or AAV9, but with a much lower transduction efficiency for liver. Further, because the tropism profile of interest may reflect tropism toward multiple target tissues, it will be appreciated that a suitable vector may represent some tradeoffs. To illustrate, a virus vector of the invention may be less efficient than native AAV8 or AAV9 in transducing skeletal muscle, cardiac muscle and/or diaphragm muscle, but because of low level transduction of liver, may nonetheless be very desirable.

Similarly, it can be determined if a virus "does not efficiently transduce" or "does not have efficient tropism" for a target tissue, or similar terms, by reference to a suitable control. In particular embodiments, the virus vector does not efficiently transduce (*i.e.*, has does not have efficient tropism) for liver, kidney, gonads and/or germ cells. In particular embodiments, undesirable transduction of tissue(s) (*e.g.*, liver) is 20% or less, 10% or less, 5% or less, 1% or less, 0.1% or less of the level of transduction of the desired target tissue(s) (*e.g.*, skeletal muscle, diaphragm muscle, cardiac muscle and/or cells of the central nervous system) as compared with a suitable control.

As used herein, the term "polypeptide" encompasses both peptides and proteins, unless indicated otherwise.

A "polynucleotide" is a sequence of nucleotide bases, and may be RNA, DNA or DNA-RNA hybrid sequences (including both naturally occurring and non-naturally occurring nucleotide), but in representative embodiments are either single or double stranded DNA sequences.

As used herein, an "isolated" polynucleotide (e.g., an "isolated DNA" or an "isolated RNA") means a polynucleotide at least partially separated from at least some of the other components of the naturally occurring organism or virus, for example, the cell or viral structural components or other polypeptides or nucleic acids commonly found associated with the polynucleotide. In representative embodiments an "isolated" nucleotide is enriched by at least about 10-fold, 100-fold, 1000-fold, 10,000-fold or more as compared with the starting material.

Likewise, an "isolated" polypeptide means a polypeptide that is at least partially separated from at least some of the other components of the naturally occurring organism or virus, for example, the cell or viral structural components or other polypeptides or nucleic acids commonly found associated with the polypeptide. In representative embodiments an "isolated" polypeptide is enriched by at least about 10-fold, 100-fold, 1000-fold, 10,000-fold or more as compared with the starting material.

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As used herein, by "isolate" or "purify" (or grammatical equivalents) a virus vector, it is meant that the virus vector is at least partially separated from at least some of the other components in the starting material. In representative embodiments an "isolated" or "purified" virus vector is enriched by at least about 10-fold, 100-fold, 1000-fold, 10,000-fold or more as compared with the starting material.

A "therapeutic polypeptide" is a polypeptide that can alleviate, reduce, prevent, delay and/or stabilize symptoms that result from an absence or defect in a protein in a cell or subject and/or is a polypeptide that otherwise confers a benefit to a subject, e.g., anti-cancer effects or improvement in transplant survivability.

By the terms "treat," "treating" or "treatment of" (and grammatical variations thereof) it is meant that the severity of the subject's condition is

reduced, at least partially improved or stabilized and/or that some alleviation, mitigation, decrease or stabilization in at least one clinical symptom is achieved and/or there is a delay in the progression of the disease or disorder.

The terms "prevent," "preventing" and "prevention" (and grammatical variations thereof) refer to prevention and/or delay of the onset of a disease, disorder and/or a clinical symptom(s) in a subject and/or a reduction in the severity of the onset of the disease, disorder and/or clinical symptom(s) relative to what would occur in the absence of the methods of the invention. The prevention can be complete, e.g., the total absence of the disease, disorder and/or clinical symptom(s). The prevention can also be partial, such that the occurrence of the disease, disorder and/or clinical symptom(s) in the subject and/or the severity of onset is less than what would occur in the absence of the present invention.

A "treatment effective" or "effective" amount as used herein is an amount that is sufficient to provide some improvement or benefit to the subject. Alternatively stated, a "treatment effective" or "effective" amount is an amount that will provide some alleviation, mitigation, decrease or stabilization in at least one clinical symptom in the subject. Those skilled in the art will appreciate that the therapeutic effects need not be complete or curative, as long as some benefit is provided to the subject.

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A "prevention effective" amount as used herein is an amount that is sufficient to prevent and/or delay the onset of a disease, disorder and/or clinical symptoms in a subject and/or to reduce and/or delay the severity of the onset of a disease, disorder and/or clinical symptoms in a subject relative to what would occur in the absence of the methods of the invention. Those skilled in the art will appreciate that the level of prevention need not be complete, as long as some benefit is provided to the subject.

The terms "heterologous nucleotide sequence" and "heterologous nucleic acid" are used interchangeably herein and refer to a sequence that is not naturally occurring in the virus. Generally, the heterologous nucleic acid comprises an open reading frame that encodes a polypeptide or nontranslated RNA of interest (e.g., for delivery to a cell or subject).

As used herein, the terms "virus vector," "vector" or "gene delivery vector" refer to a virus (e.g., AAV) particle that functions as a nucleic acid

delivery vehicle, and which comprises the vector genome (*e.g.*, viral DNA [vDNA]) packaged within a virion. Alternatively, in some contexts, the term "vector" may be used to refer to the vector genome/vDNA alone.

A "rAAV vector genome" or "rAAV genome" is an AAV genome (*i.e.*, vDNA) that comprises one or more heterologous nucleic acid sequences. rAAV vectors generally require only the terminal repeat(s) (TR(s)) in *cis* to generate virus. All other viral sequences are dispensable and may be supplied in *trans* (Muzyczka (1992) *Curr. Topics Microbiol. Immunol.* 158:97). Typically, the rAAV vector genome will only retain the one or more TR sequence so as to maximize the size of the transgene that can be efficiently packaged by the vector. The structural and non-structural protein coding sequences may be provided in *trans* (*e.g.*, from a vector, such as a plasmid, or by stably integrating the sequences into a packaging cell). In some embodiments of the invention, the rAAV vector genome comprises at least one TR sequence (e.g., AAV TR sequence), optionally two TRs (*e.g.*, two AAV TRs), which typically will be at the 5' and 3' ends of the vector genome and flank the heterologous nucleic acid, but need not be contiguous thereto. The TRs can be the same or different from each other.

The term "terminal repeat" or "TR" includes any viral terminal repeat or synthetic sequence that forms a hairpin structure and functions as an inverted terminal repeat (*i.e.*, mediates the desired functions such as replication, virus packaging, integration and/or provirus rescue, and the like). The TR can be an AAV TR or a non-AAV TR. For example, a non-AAV TR sequence such as those of other parvoviruses (e.g., canine parvovirus (CPV), mouse parvovirus (MVM), human parvovirus B-19) or any other suitable virus sequence (*e.g.*, the SV40 hairpin that serves as the origin of SV40 replication) can be used as a TR, which can further be modified by truncation, substitution, deletion, insertion and/or addition. Further, the TR can be partially or completely synthetic, such as the "double-D sequence" as described in United States Patent No. 5,478,745 to Samulski *et al.* 

An "AAV terminal repeat" or "AAV TR" may be from any AAV, including but not limited to serotypes 1, 2, 3, 4, 5, 6, 7, 8, 9, 10,11, Clade F, or any other AAV now known or later discovered (see, e.g., **Table 1**). An AAV terminal repeat need not have the native terminal repeat sequence (e.g., a

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native AAV TR sequence may be altered by insertion, deletion, truncation and/or missense mutations), as long as the terminal repeat mediates the desired functions, e.g., replication, virus packaging, integration, and/or provirus rescue, and the like.

The virus vectors of the invention can further be "targeted" virus vectors (e.g., having a directed tropism) and/or a "hybrid" parvovirus (i.e., in which the viral TRs and viral capsid are from different parvoviruses) as described in international patent publication WO 00/28004 and Chao et al., (2000) Molecular Therapy 2:619.

The virus vectors of the invention can further be duplexed parvovirus particles as described in international patent publication WO 01/92551. Thus, in some embodiments, double stranded (duplex) genomes can be packaged into the virus capsids of the invention.

Further, the viral capsid or genomic elements can contain other modifications, including insertions, deletions and/or substitutions.

As used herein, the term "amino acid" encompasses any naturally occurring amino acid, modified forms thereof, and synthetic amino acids.

Naturally occurring, levorotatory (L-) amino acids are shown in Table

2). Alternatively, the amino acid can be a modified amino acid residue (nonlimiting examples are shown in **Table 3**) and/or can be an amino acid that is modified by post-translation modification (*e.g.*, acetylation, amidation, formylation, hydroxylation, methylation, phosphorylation or sulfatation).

Further, the non-naturally occurring amino acid can be an "unnatural" amino acid as described, e.g., by Wang et al. *Annu Rev Biophys Biomol Struct.* 35:225-49 (2006)). These unnatural amino acids can advantageously be used to chemically link molecules of interest to the AAV capsid protein.

Modified AAV Capsid Proteins and Virus Capsids and Virus Vectors
Comprising the Same.

The present invention is based on the unexpected discovery that modifications in the capsid protein of an AAV (e.g., AAV9 or Clade F AAV) can confer one or more desirable properties to virus vectors comprising the modified AAV capsid protein including without limitation, reduced transduction of liver. Thus, the present invention addresses some of the limitations

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associated with conventional AAV vectors. For example, vectors based on AAV8 and rAAV9 vectors are attractive for systemic nucleic acid delivery because they readily cross the endothelial cell barrier; however, systemic administration of rAAV8 or rAAV9 results in most of the vector being delivered to the liver, thereby reducing transduction of other important target tissues such as skeletal muscle.

Thus, in some embodiments, the present invention provides an adeno-associated virus serotype 9 (AAV9) or Clade F AAV (**Table 1**) capsid protein, wherein the capsid protein comprises a mutation in one or more amino acids in amino acid regions 498-504, 590-595 and/or 582-587, inclusive (according to AAV9 VP1 numbering), wherein the mutation(s) result in a phenotype of decreased liver transduction and/or reduced glycan binding affinity as compared to a suitable control (e.g., an AAV9 or Clade F VP1 capsid protein lacking said mutation(s), which can be but is not limited to a wild type VP1 capsid protein).

As used herein, a "mutation" or "modification" in an amino acid sequence includes substitutions, insertions and/or deletions, each of which can involve one, two, three, four, five, six, seven, eight, nine, ten or more amino acids. In particular embodiments, the modification is a substitution. For example, in some embodiments, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25 or more amino acids from the equivalent region from one AAV can be substituted into amino acid positions of the native AAV9 or Clade F AAV capsid protein or the corresponding positions of the capsid protein from another AAV, as would be known to one of ordinary skill in the art. However, the modified virus capsids of the invention are not limited to AAV capsids in which amino acids from one AAV capsid are substituted into another AAV capsid, and the substituted and/or inserted amino acids can be from any source, and can further be naturally occurring or partially or completely synthetic.

It will be understood by those skilled in the art that the modified capsid proteins, virus capsids and virus vectors of the invention exclude those capsid proteins, capsids and virus vectors that have the indicated amino acids at the specified positions in their native state (i.e., are not mutants).

As described herein, the nucleic acid and amino acid sequences of the capsid proteins from a number of AAV are known in the art. Thus, the amino acids "corresponding" to amino acid positions 498-504, 590-595 and/or 582-587 (inclusive) of the native AAV9 or a Clade F AAV capsid protein can be readily determined for any other AAV (e.g., by using sequence alignments).

The invention contemplates that the modified capsid proteins of the invention can be produced by modifying the capsid protein of any AAV now known or later discovered. Further, the AAV capsid protein that is to be modified can be a naturally occurring AAV capsid protein (e.g., an AAV2, AAV3a or 3b, AAV4, AAV5, AAV8, AAV9, AAV10 or AAV11 capsid protein or any of the AAV shown in **Table 1**) but is not so limited. Those skilled in the art will understand that a variety of manipulations to the AAV capsid proteins are known in the art and the invention is not limited to modifications of naturally occurring AAV capsid proteins. For example, the capsid protein to be modified may already have alterations as compared with naturally occurring AAV (e.g., is derived from a naturally occurring AAV capsid protein, e.g., AAV2, AAV3a, AAV3b, AAV4, AAV5, AAV6, AAV7, AAV8, AAV9, AAV10 and/or AAV11 or any other AAV now known or later discovered). Such AAV capsid proteins are also within the scope of the present invention.

In certain embodiments, the present invention provides an AAV9 or Clade F AAV capsid protein comprising, consisting essentially of, or consisting of a mutation at W595, a mutation at Q592, a mutation at W503, a mutation at N498, a mutation at E500 (according to AAV9 VP1 numbering) and any combination thereof, wherein the mutation results in a phenotype of decreased liver transduction and/or reduced glycan binding affinity as compared to a control. The present invention further provides an AAV9 or Clade F AAV capsid protein comprising, consisting essentially of, or consisting of a mutation at N498, S499, E500, F501, A502, W503, P504, T582, N583, H584, Q585, S586, A587, Q590, A591, Q592, T593, G594, W595, singly or in any combination. The mutation at N498, S499, E500, F501, A502, W503, P504, T582, N583, H584, Q585, S586, A587, Q590, A591, Q592, T593, G594, W595 can be a substitution with any other amino acid. As used herein, the term "amino acid" encompasses any naturally occurring amino acid, modified forms thereof, and synthetic amino acids.

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Naturally occurring, levorotatory (L-) amino acids are shown in **Table 2**). Alternatively, the amino acid can be a modified amino acid residue (nonlimiting examples are shown in **Table 3**) and/or can be an amino acid that is modified by post-translation modification (*e.g.*, acetylation, amidation, formylation, hydroxylation, methylation, phosphorylation or sulfatation).

Further, the non-naturally occurring amino acid can be an "unnatural" amino acid as described, e.g., by Wang et al. *Annu Rev Biophys Biomol Struct.* 35:225-49 (2006)).

In particular embodiments, the capsid protein can comprise, consist essentially of or consist of a W595C mutation, a Q592L mutation, a W503R mutation, a N498Y mutation, a E500D mutation and any combination thereof, wherein the mutation results in a phenotype of decreased liver transduction and/or reduced glycan binding affinity as compared to a control. It is to be understood that these particular mutations are exemplary and that the amino acids at these positions can be substituted with any other amino acid, e.g., as set forth in **Tables 2 and 3**, wherein the resulting capsid protein has a phenotype of decreased liver transduction and/or reduced glycan binding affinity as compared to control.

In further embodiments, the present invention provides an AAV9 or Clade F AAV capsid protein comprising, consisting essentially of, or consisting of a W595C mutation (AAV9 VP1 numbering), wherein the mutation results in a phenotype of decreased liver transduction and/or reduced glycan binding affinity as compared to a control.

Also provided herein is an AAV9 or Clade F AAV capsid protein comprising a Q592L mutation (AAV9 VP1 numbering), wherein the mutation results in a phenotype of decreased liver transduction and/or reduced glycan binding affinity as compared to a control.

The present invention further provides an AAV9 or Clade F AAV capsid protein comprising, consisting essentially of, or consisting of a W503R mutation (AAV9 VP1 numbering), wherein the mutation results in a phenotype of decreased liver transduction and/or reduced glycan binding affinity as compared to a control.

In further embodiments, the present invention provides an AAV9 or Clade F AAV capsid protein comprising, consisting essentially of, of consisting

of a N498Y mutation (AAV9 VP1 numbering), wherein the mutation results in a phenotype of decreased liver transduction and/or reduced glycan binding affinity as compared to a control. In some embodiments, this AAV9 or Clade F AAV capsid protein can further comprise, consist essentially of, or consist of a L602F mutation (AAV9 VP1 numbering).

Additionally provided herein is an AAV9 or Clade F AAV capsid protein comprising, consisting essentially of, or consisting of a N498I mutation (AAV9 VP1 numbering), wherein the mutation results in a phenotype of decreased liver transduction and/or reduced glycan binding affinity as compared to control.

Further embodiments of this invention include an AAV9 or Clade F AAV capsid protein comprising, consisting essentially of, or consisting of a P468T mutation (AAV9 VP1 numbering), wherein the mutation results in a phenotype of decreased liver transduction and/or reduced glycan binding affinity as compared to control. This AAV9 or Clade F capsid protein can further comprise, consist essentially of, or consist of a E500D mutation (AAV9 VP1 numbering).

In some embodiments, certain mutated AAV9 or Clade F AAV capsid proteins described herein can be employed in virus vectors for direct delivery of a heterologous nucleotide sequence of interest to the central nervous system (CNS). Particular examples of these mutated capsid proteins include variants 9.24, 9.45 and 9.47 as defined in Table 5 herein. Studies with these mutants have demonstrated that virus particles with these mutations are as infectious as parent or control particles (i.e., particles lacking these mutations) when injected directly into the brain. However the parent particles leak out of the brain and can be delivered to the liver. In contrast, particles comprising mutated capsid proteins that are the variants 9.24, 9.45 or 9.47 as described herein are not delivered to the liver in the same manner that parent particles are. It is to be understood that mutations at equivalent amino acid residues in other AAV serotypes are included within this invention to produce capsid proteins that can be employed in the virus vectors of this invention. For example, Table 8 shows mutations in the capsid protein of AAV variants 9.24, 9.45 and 9.47, with the corresponding amino acid residue in other AAV serotypes identified that could be mutated to produce a capsid protein

according to the present invention (e.g., having a phenotype of decreased liver transduction and/or reduced glycan binding affinity relative to control). It would be well understood to one of ordinary skill in the art that the present invention includes these mutated capsid proteins of serotypes other than AAV9 or Clade F AAV having the desired phenotype and it would be well within the skill of such an artisan to produce, test and employ such mutants of other AAV serotypes according to the teachings provided herein and as are known in the art.

As noted in the particular embodiments described above, the mutations described can be in an AAV9 or Clade F AAV capsid protein. It would be well known to one of skill in the art what the equivalent amino acids are in other AAV serotypes and the present invention encompasses such other AAV serotypes, comprising, consisting essentially of, or consisting of the mutation(s) of this invention at such equivalent amino acid positions, wherein said mutation(s) result in a phenotype of reduced liver transduction and/or reduced glycan binding affinity as compared to a control.

In some embodiments of this invention, the AAV capsid protein to be mutated or modified can be an AAV with an altered HI loop as described in PCT Publication No. WO 2009/108274 and/or can be an AAV that is modified to contain a poly-His sequence to facilitate purification. As another illustrative example, the AAV capsid protein of this invention can have a peptide targeting sequence incorporated therein as an insertion or substitution. Further, the AAV capsid protein can comprise a large domain from another AAV that has been substituted and/or inserted into the capsid protein.

Thus, in particular embodiments, the AAV capsid protein to be modified can be derived from a naturally occurring AAV but further comprise one or more foreign sequences (e.g., that are exogenous to the native virus) that are inserted and/or substituted into the capsid protein and/or has been altered by deletion of one or more amino acids.

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Accordingly, when referring herein to a specific AAV capsid protein (e.g., an AAV2, AAV3, AAV4, AAV5, AAV6, AAV7, AAV8, AAV9, AAV10 or AAV11 capsid protein or a capsid protein from any of the AAV shown in **Table 1**, etc.), it is intended to encompass the native capsid protein as well as capsid proteins that have alterations other than the modifications of the

invention. Such alterations include substitutions, insertions and/or deletions. In particular embodiments, the capsid protein comprises 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19 or 20, less than 20, less than 30, less than 40 less than 50, less than 60, or less than 70 amino acids inserted therein (other than the insertions of the present invention) as compared with the native AAV capsid protein sequence. In embodiments of the invention, the capsid protein comprises 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19 or 20, less than 20, less than 30, less than 40 less than 50, less than 60, or less than 70 amino acid substitutions (other than the amino acid substitutions according to the present invention) as compared with the native AAV capsid protein sequence. In embodiments of the invention, the capsid protein comprises a deletion of 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19 or 20, less than 20, less than 30, less than 40 less than 50, less than 60, or less than 70 amino acids (other than the amino acid deletions of the invention) as compared with the native AAV capsid protein sequence.

Thus, for example, the term "AAV9 capsid protein" includes AAV capsid proteins having the native AAV9 capsid protein sequence (see, e.g., GenBank® Database Accession Nos. AY530579 (Hu14); AY530596 (Hu31); AY530597 (Hu32)), as well as those comprising substitutions, insertions and/or deletions (as described in the preceding paragraph) in the native AAV9 or Hu.31 or Hu.32 capsid protein sequence.

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The term "Clade F AAV capsid protein" includes AAV capsid proteins having the native capsid protein sequence of a Clade F AAV, for example, as shown in **Table 1** (see GenBank® Database Accession No. AY530579, as well as those comprising substitutions, insertions and/or deletions (as described in the preceding paragraph) in the native AAV9 capsid protein sequence.

In particular embodiments, the AAV capsid protein has the native AAV capsid protein sequence or has an amino acid sequence that is at least about 90%, 95%, 97%, 98% or 99% similar or identical to a native AAV capsid protein sequence. For example, in particular embodiments, an "AAV9" capsid protein encompasses the native AAV9 capsid protein sequence as well as sequences that are at least about 90%, 95%, 97%, 98% or 99% similar or identical to the native AAV9 capsid protein sequence. As a further example, in particular embodiments, a "Clade F" capsid protein encompasses the native

capsid protein sequence of a Clade F AAV (see Table 1) as well as sequences that are at least about 90%, 95%, 97%, 98% or 99% similar or identical to the native capsid protein sequence of a Clade F AAV.

Methods of determining sequence similarity or identity between two or more amino acid sequences are known in the art. Sequence similarity or identity may be determined using standard techniques known in the art, including, but not limited to, the local sequence identity algorithm of Smith & Waterman, Adv. Appl. Math. 2:482 (1981), by the sequence identity alignment algorithm of Needleman & Wunsch, J. Mol. Biol. 48:443 (1970), by the search for similarity method of Pearson & Lipman, Proc. Natl. Acad. Sci. USA 85:2444 (1988), by computerized implementations of these algorithms (GAP, BESTFIT, FASTA, and TFASTA in the Wisconsin Genetics Software Package, Genetics Computer Group, 575 Science Drive, Madison, WI), and/or the Best Fit sequence program described by Devereux et al., Nucl. Acid Res. 12:387-395 (1984), as well as by inspection.

Another suitable algorithm is the BLAST algorithm, described in Altschul et al., *J. Mol. Biol.* 215:403-410, (1990) and Karlin et al., *Proc. Natl. Acad. Sci. USA* 90:5873-5787 (1993). A particularly useful BLAST program is the WU-BLAST-2 program which was obtained from Altschul et al., *Methods in Enzymology*, 266:460-480 (1996); http://blast.wustl/edu/blast/ README.html. WU-BLAST-2 uses several search parameters, which are optionally set to the default values. The parameters are dynamic values and are established by the program itself depending upon the composition of the particular sequence and composition of the particular database against which the sequence of interest is being searched; however, the values may be adjusted to increase sensitivity.

Further, an additional useful algorithm is gapped BLAST as reported by Altschul *et al.*, (1997) *Nucleic Acids Res.* 25:3389-3402.

In representative embodiments of the invention, a modification is made in the region of amino acid positions 498-504, 590-595 and/or 582-587 (inclusive) of the native AAV9 or Clade F AAV capsid protein (using AAV9 VP1 numbering) or the corresponding positions of other AAV, *i.e.*, at the amino acids corresponding to amino acid positions 498-504, 590-595 and/or 582-587 (AAV9 VP1 numbering) of the native capsid protein of any other AAV

serotype. The amino acid positions in other AAV serotypes or modified AAV capsids that "correspond to" positions 498-504, 590-595 and/or 582-587of the native AAV9 or Clade F AAV capsid protein will be apparent to those skilled in the art and can be readily determined using sequence alignment techniques (see, e.g., Figure 7 of WO 2006/066066) and/or crystal structure analysis (Padron et al., (2005) *J. Virol.* 79:5047-58).

In further embodiments, the mutation or modification of this invention can be introduced into an AAV capsid protein that already contains insertions and/or deletions such that the position of all downstream sequences is shifted. In this situation, the amino acid positions corresponding to amino acid positions 498-504, 590-595 and/or 582-587 in the AAV9 capsid protein would still be readily identifiable to those skilled in the art.

In embodiments of this invention, transduction of cardiac muscle and/or skeletal muscle (determined on the basis of an individual skeletal muscle, multiple skeletal muscles, or the whole range of skeletal muscles) with the AAV vectors of this invention is at least about one-fold, two-fold, three-fold, four-fold, five-fold, ten-fold, 50-fold, 100-fold, 1000-fold or higher than transduction levels in liver. In some embodiments, transduction of cardiac muscle and/or skeletal muscle (determined on the basis of an individual skeletal muscle, multiple skeletal muscles, or the whole range of skeletal muscles) with the AAV vectors of this invention is at least about 5%, 10%, 15%, 20%, 25%, 30%, 35%, 40%, 45%, 50%, 60%, 70%, 80%, 90%, 100%, 125%, 150%, 175%, 200%, 300%, 400%, 500%, 750%, 1000% or higher than transduction levels in liver.

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The invention also provides a virus capsid comprising, consisting essentially of, or consisting of the modified AAV capsid protein of the invention. In particular embodiments, the virus capsid is a parvovirus capsid, which may further be an autonomous parvovirus capsid or a dependovirus capsid. Optionally, the virus capsid is an AAV capsid. In particular embodiments, the AAV capsid is an AAV1, AAV2, AAV3a, AAV3b, AAV4, AAV5, AAV6, AAV7, AAV8, AAV9, AAV10, AAV11 or any other AAV shown in **Table 1** or is derived from any of the foregoing by one or more insertions, substitutions and/or deletions.

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The modified virus capsids can be used as "capsid vehicles," as has been described, for example, in U.S. Patent No. 5,863,541. Molecules that can be packaged by the modified virus capsid and transferred into a cell include heterologous DNA, RNA, polypeptides, small organic molecules, metals, or combinations of the same.

Heterologous molecules are defined as those that are not naturally found in an AAV infection, *e.g.*, those not encoded by a wild-type AAV genome. Further, therapeutically useful molecules can be associated with the outside of the chimeric virus capsid for transfer of the molecules into host target cells. Such associated molecules can include DNA, RNA, small organic molecules, metals, carbohydrates, lipids and/or polypeptides. In one embodiment of the invention the therapeutically useful molecule is covalently linked (*i.e.*, conjugated or chemically coupled) to the capsid proteins. Methods of covalently linking molecules are known by those skilled in the art.

The modified virus capsids of the invention also find use in raising antibodies against the novel capsid structures. As a further alternative, an exogenous amino acid sequence may be inserted into the modified virus capsid for antigen presentation to a cell, e.g., for administration to a subject to produce an immune response to the exogenous amino acid sequence.

In other embodiments, the virus capsids can be administered to block certain cellular sites prior to and/or concurrently with (e.g., within minutes or hours of each other) administration of a virus vector delivering a nucleic acid encoding a polypeptide or functional RNA of interest. For example, the inventive capsids can be delivered to block cellular receptors on liver cells and a delivery vector can be administered subsequently or concurrently, which may reduce transduction of liver cells, and enhance transduction of other targets (e.g., skeletal, cardiac and/or diaphragm muscle).

According to representative embodiments, modified virus capsids can be administered to a subject prior to and/or concurrently with a modified virus vector according to the present invention. Further, the invention provides compositions and pharmaceutical formulations comprising the inventive modified virus capsids; optionally, the composition also comprises a modified virus vector of the invention.

The invention also provides nucleic acids (optionally, isolated nucleic acids) encoding the mutated or modified virus capsids and capsid proteins of the invention. Further provided are vectors comprising the nucleic acids, and cells (*in vivo* or in culture) comprising the nucleic acids and/or vectors of the invention. Suitable vectors include without limitation viral vectors (*e.g.*, adenovirus, AAV, herpesvirus, vaccinia, poxviruses, baculoviruses, and the like), plasmids, phage, YACs, BACs, and the like as are well known in the art. Such nucleic acids, vectors and cells can be used, for example, as reagents (*e.g.*, helper packaging constructs or packaging cells) for the production of modified virus capsids or virus vectors as described herein.

Virus capsids according to the invention can be produced using any method known in the art, e.g., by expression from a baculovirus (Brown et al., (1994) *Virology* 198:477-488).

The modifications to the AAV capsid protein according to the present invention are "selective" modifications. This approach is in contrast to previous work with whole subunit or large domain swaps between AAV serotypes (see, e.g., international patent publication WO 00/28004 and Hauck et al., (2003) *J. Virology* 77:2768-2774). In particular embodiments, a "selective" modification results in the insertion and/or substitution and/or deletion of less than about 50, 40, 30, 20, 18, 15, 12, 10, 9, 8, 7, 6, 5, 4, 3 or 2 contiguous amino acids.

The modified capsid proteins and capsids of the invention can further comprise any other mutation or modification, now known or later identified.

For example, the AAV capsid proteins and virus capsids of the invention can be chimeric in that they can comprise all or a portion of a capsid subunit from another virus, optionally another parvovirus or AAV, *e.g.*, as described in PCT Publication No. WO 00/28004.

The virus capsid can be a targeted virus capsid comprising a targeting sequence (e.g., substituted or inserted in the viral capsid) that directs the virus capsid to interact with cell-surface molecules present on desired target tissue(s) (see, e.g., PCT Publication No. WO 00/28004 and Hauck et al., (2003) *J. Virology* 77:2768-2774); Shi et al., *Human Gene Therapy* 17:353-361 (2006) [describing insertion of the integrin receptor binding motif RGD at positions 520 and/or 584 of the AAV capsid subunit]; and U.S. Patent No.

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7,314,912 [describing insertion of the P1 peptide containing an RGD motif following amino acid positions 447, 534, 573 and 587 of the AAV2 capsid subunit]). Other positions within the AAV capsid subunit that tolerate insertions are known in the art (e.g., positions 449 and 588 described by Grifman et al., *Molecular Therapy* 3:964-975 (2001)).

For example, some of the virus capsids of the invention have relatively inefficient tropism toward most target tissues of interest (e.g., liver, skeletal muscle, heart, diaphragm muscle, kidney, brain, stomach, intestines, skin, endothelial cells, and/or lungs). A targeting sequence can advantageously be incorporated into these low-transduction vectors to thereby confer to the virus capsid a desired tropism and, optionally, selective tropism for particular tissue(s). AAV capsid proteins, capsids and vectors comprising targeting sequences are described, for example in PCT Publication No. WO 00/28004. As another possibility one or more non-naturally occurring amino acids as described by Wang et al., Annu Rev Biophys Biomol Struct. 35:225-49 (2006)) can be incorporated into the AAV capsid subunit at an orthogonal site as a means of redirecting a low-transduction vector to a desired target tissue(s). These unnatural amino acids can advantageously be used to chemically link molecules of interest to the AAV capsid protein including without limitation: glycans (mannose - dendritic cell targeting); RGD, bombesin or a neuropeptide for targeted delivery to specific cancer cell types; RNA aptamers or peptides selected from phage display targeted to specific cell surface receptors such as growth factor receptors, integrins, and the like. Methods of chemically modifying amino acids are known in the art (see, e.g., Greg T. Hermanson, Bioconjugate Techniques, 1st edition, Academic Press, 1996).

In representative embodiments, the targeting sequence may be a virus capsid sequence (e.g., an autonomous parvovirus capsid sequence, AAV capsid sequence, or any other viral capsid sequence) that directs infection to a particular cell type(s).

As another nonlimiting example, a heparin binding domain (e.g., the respiratory syncytial virus heparin binding domain) may be inserted or substituted into a capsid subunit that does not typically bind HS receptors (e.g., AAV 4, AAV5) to confer heparin binding to the resulting mutant.

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B19 infects primary erythroid progenitor cells using globoside as its receptor (Brown *et al.* (1993) *Science* 262:114). The structure of B19 has been determined to 8 Å resolution (Agbandje-McKenna et al. (1994) *Virology* 203:106). The region of the B19 capsid that binds to globoside has been mapped between amino acids 399-406 (Chapman *et al.* (1993) *Virology* 194:419), a looped out region between β-barrel structures E and F (Chipman *et al.* (1996) *Proc. Nat. Acad. Sci. USA* 93:7502). Accordingly, the globoside receptor binding domain of the B19 capsid may be substituted into the AAV capsid protein to target a virus capsid or virus vector comprising the same to erythroid cells.

In representative embodiments, the exogenous targeting sequence may be any amino acid sequence encoding a peptide that alters the tropism of a virus capsid or virus vector comprising the modified AAV capsid protein. In particular embodiments, the targeting peptide or protein may be naturally occurring or alternately, completely or partially synthetic. Exemplary targeting sequences include ligands and other peptides that bind to cell surface receptors and glycoproteins, such as RGD peptide sequences, bradykinin, hormones, peptide growth factors (e.g., epidermal growth factor, nerve growth factor, fibroblast growth factor, platelet-derived growth factor, insulin-like growth factors I and II, etc.), cytokines, melanocyte stimulating hormone (e.g.,  $\alpha$ ,  $\beta$  or  $\gamma$ ), neuropeptides and endorphins, and the like, and fragments thereof that retain the ability to target cells to their cognate receptors. Other illustrative peptides and proteins include substance P, keratinocyte growth factor, neuropeptide Y, gastrin releasing peptide, interleukin 2, hen egg white lysozyme, erythropoietin, gonadoliberin, corticostatin, β-endorphin, leuenkephalin, rimorphin, α-neo-enkephalin, angiotensin, pneumadin, vasoactive intestinal peptide, neurotensin, motilin, and fragments thereof as described herein. As yet a further alternative, the binding domain from a toxin (e.g., tetanus toxin or snake toxins, such as  $\alpha$ -bungarotoxin, and the like) can be substituted into the capsid protein as a targeting sequence. In a yet further representative embodiment, the AAV capsid protein can be modified by substitution of a "nonclassical" import/export signal peptide (e.g., fibroblast growth factor-1 and -2, interleukin 1, HIV-1 Tat protein, herpes virus VP22

protein, and the like) as described by Cleves (*Current Biology* 7:R318 (1997)) into the AAV capsid protein.

Phage display techniques, as well as other techniques known in the art, may be used to identify peptides that recognize any cell type of interest.

The targeting sequence may encode any peptide that targets to a cell surface binding site, including receptors (e.g., protein, carbohydrate, glycoprotein or proteoglycan). Examples of cell surface binding sites include, but are not limited to, heparan sulfate, chondroitin sulfate, and other glycosaminoglycans, sialic acid moieties found on mucins, glycoproteins, and gangliosides, MHC I glycoproteins, carbohydrate components found on membrane glycoproteins, including mannose, N-acetyl-galactosamine, N-acetyl-glucosamine, fucose, galactose, and the like.

In particular embodiments, a heparan sulfate (HS) or heparin binding domain is substituted into the virus capsid (for example, in an AAV that otherwise does not bind to HS or heparin). It is known in the art that HS/heparin binding is mediated by a "basic patch" that is rich in arginines and/or lysines. An exemplary embodiment is a sequence following the motif BXXB, where "B" is a basic residue and X is neutral and/or hydrophobic. As one nonlimiting example, BXXB is RGNR. In particular embodiments, BXXB is substituted for amino acid positions 262 through 265 in the native AAV2 capsid protein or the corresponding position in the capsid protein of another AAV.

Other nonlimiting examples of suitable targeting sequences include the peptides targeting coronary artery endothelial cells identified by Müller et al. *Nature Biotechnology* 21:1040-1046 (2003) (consensus sequences NSVRDLG/S, PRSVTVP, NSVSSXS/A); tumor-targeting peptides as described by Grifman et al. *Molecular Therapy* 3:964-975 (2001) (e.g., NGR, NGRAHA); lung or brain targeting sequences as described by Work et al. *Molecular Therapy* 13:683-693 (2006) (QPEHSST, VNTANST, HGPMQKS, PHKPPLA, IKNNEMW, RNLDTPM, VDSHRQS, YDSKTKT, SQLPHQK, STMQQNT, TERYMTQ, QPEHSST, DASLSTS, DLPNKKT, DLTAARL, EPHQFNY, EPQSNHT, MSSWPSQ, NPKHNAT, PDGMRTT, PNNNKTT, QSTTHDS, TGSKQKQ, SLKHQAL and SPIDGEQ)); vascular targeting sequences described by Hajitou et al., *TCM* 16:80-88 (2006) (WIFPWIQL,

- CDCRGDCFC, CNGRC, CPRECES, GSL, CTTHWGFTLC, CGRRAGGSC, CKGGRAKDC and CVPELGHEC); targeting peptides as described by Koivunen et al. *J. Nucl. Med.* 40:883-888 (1999) (CRRETAWAK, KGD, VSWFSHRYSPFAVS, GYRDGYAGPILYN, XXXY\*XXX [where Y\* is phospho-
- 5 Tyr], Y\*E/MNW, RPLPPLP, APPLPPR, DVFYPYPYASGS, MYWYPY, DITWDQLWDLMK, CWDDG/LWLC, EWCEYLGGYLRCYA, YXCXXGPXTWXCXP, IEGPTLRQWLAARA, LWXXY/W/F/H, XFXXYLW, SSIISHFRWGLCD, MSRPACPPNDKYE, CLRSGRGC, CHWMFSPWC, WXXF, CSSRLDAC, CLPVASC, CGFECVRQCPERC, CVALCREACGEGC,
- SWCEPGWCR, YSGKWGW, GLSGGRS, LMLPRAD, CSCFRDVCC, CRDVVSVIC, CNGRC and GSL); and tumor targeting peptides as described by Newton & Deutscher, "Phage Peptide Display" in <u>Handbook of</u> <u>Experimental Pharmacology</u>, pages 145-163, Springer-Verlag, Berlin (2008) (MARSGL, MARAKE, MSRTMS, KCCYSL, WRR, WKR, WVR, WVK, WIK,
- 15 WTR, WVL, WLL, WRT, WRG, WVS, WVA, MYWGDSHWLQYWYE, MQLPLAT, EWLS, SNEW, TNYL, WIFPWIQL, WDLAWMFRLPVG, CTVALPGGYVRVC, CVPELGHEC, CGRRAGGSC, CVAYCIEHHCWTC, CVFAHNYDYLVC, and CVFTSNYAFC, VHSPNKK, CDCRGDCFC, CRGDGWC, XRGCDX, PXXS/T, CTTHWGFTLC, SGKGPRQITAL,
- 20 A9A/Q)(N/A)(L/Y)(T/V/M/R)(R/K), VYMSPF, MQLPLAT, ATWLPPR, HTMYYHHYQHHL, SEVGCRAGPLQWLCEKYFG, CGLLPVGRPDRNVWRWLC, CKGQCDRFKGLPWEC, SGRSA, WGFP, LWXXAr [Ar=Y, W, F, H), XFXXYLW, AEPMPHSLNFSQYLWYT, WAY(W/F)SP, IELLQAR, DITWDQLWDLMK, AYTKCSRQWRTCMTTH,
- 25 PQNSKIPGPTFLDPH, SMEPALPDWWWKMFK, ANTPCGPYTHDCPVKR, TACHQHVRMVRP, VPWMEPAYQRFL, DPRATPGS, FRPNRAQDYNTN, CTKNSYLMC, C(R/Q)L/RT(G/N)XXG(A/V)GC, CPIEDRPMC, HEWSYLAPYPWF, MCPKHPLGC, RMWPSSTVNLSAGRR, SAKTAVSQRVWLPSHRGGEP, KSREHVNNSACPSKRITAAL, EGFR, RVS,
- 30 AGS, AGLGVR, GGR, GGL, GSV, GVS, GTRQGHTMRLGVSDG, IAGLATPGWSHWLAL, SMSIARL, HTFEPGV, NTSLKRISNKRIRRK, LRIKRKRKKKKTRK, GGG, GFS, LWS, EGG, LLV, LSP, LBS, AGG, GRR, GGH and GTV).

As yet a further alternative, the targeting sequence may be a peptide that can be used for chemical coupling (e.g., can comprise arginine and/or lysine residues that can be chemically coupled through their R groups) to another molecule that targets entry into a cell.

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As another option, the AAV capsid protein or virus capsid of the invention can comprise a mutation as described in WO 2006/066066. For example, the capsid protein can comprise a selective amino acid substitution at amino acid position 263, 705, 708 and/or 716 of the native AAV2 capsid protein or a corresponding change(s) in a capsid protein from another AAV. Additionally, or alternatively, in representative embodiments, the capsid protein, virus capsid or vector comprises a selective amino acid insertion directly following amino acid position 264 of the AAV2 capsid protein or a corresponding change in the capsid protein from other AAV. By "directly following amino acid position X" it is intended that the insertion immediately follows the indicated amino acid position (for example, "following amino acid position 264" indicates a point insertion at position 265 or a larger insertion, e.g., from positions 265 to 268, etc.).

The foregoing embodiments of the invention can be used to deliver a heterologous nucleic acid to a cell or subject as described herein. For example, the modified vector can be used to treat a lysosomal storage disorder such as a mucopolysaccharidosis disorder (e.g., Sly syndrome [ $\beta$ -glucuronidase], Hurler Syndrome [ $\alpha$ -L-iduronidase], Scheie Syndrome [ $\alpha$ -L-iduronidase], Hunter's Syndrome [iduronate sulfatase], Sanfilippo Syndrome A [heparan sulfamidase], B [N-acetylglucosaminidase], C [acetyl-CoA: $\alpha$ -glucosaminide acetyltransferase], D [N-acetylglucosamine 6-sulfatase], Morquio Syndrome A [galactose-6-sulfate sulfatase], B [ $\beta$ -galactosidase], Maroteaux-Lamy Syndrome [N-acetylgalactosamine-4-sulfatase], etc.), Fabry disease ( $\alpha$ -galactosidase), Gaucher's disease (glucocerebrosidase), or a glycogen storage disorder (e.g., Pompe disease; lysosomal acid  $\alpha$ -glucosidase) as described herein.

Those skilled in the art will appreciate that for some AAV capsid proteins the corresponding modification will be an insertion and/or a substitution, depending on whether the corresponding amino acid positions are partially or completely present in the virus or, alternatively, are completely

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absent. Likewise, when modifying AAV other than AAV9, the specific amino acid position(s) may be different than the position in AAV9 (see, e.g., **Tables 4 and 8**). As discussed elsewhere herein, the corresponding amino acid position(s) will be readily apparent to those skilled in the art using well-known techniques.

In other representative embodiments, the modified capsid proteins or virus capsids of the invention further comprise one or more mutations as described in WO 2007/089632 (e.g., an E→K mutation at amino acid position 531 of the AAV9 capsid protein or the corresponding position of the capsid protein from another AAV).

In further embodiments, the modified capsid protein or capsid can comprise a mutation as described in PCT Publication No. WO 2009/108274.

As another embodiment, the AAV capsid protein of this invention can comprise a mutation as described by Zhong et al. (*Virology* 381:194-202 (2008); *Proc. Nat. Acad. Sci.* 105:7827-32 (2008)). For example, the AAV capsid protein can comprise a Y→ F mutation at amino acid position 730.

The modifications described above can be incorporated into the capsid proteins or capsids of the invention in combination with each other and/or with any other modification now known or later discovered.

The invention also encompasses virus vectors comprising the modified capsid proteins and capsids of the invention. In particular embodiments, the virus vector is a parvovirus vector (e.g., comprising a parvovirus capsid and/or vector genome), for example, an AAV vector (e.g., comprising an AAV capsid and/or vector genome). In representative embodiments, the virus vector comprises a modified AAV capsid comprising a modified capsid subunit of the invention and a vector genome.

For example, in representative embodiments, the virus vector comprises: (a) a modified virus capsid (e.g., a modified AAV capsid) comprising a modified capsid protein of the invention; and (b) a nucleic acid comprising a terminal repeat sequence (e.g., an AAV TR), wherein the nucleic acid comprising the terminal repeat sequence is encapsidated by the modified virus capsid. The nucleic acid can optionally comprise two terminal repeats (e.g., two AAV TRs).

In representative embodiments, the virus vector is a recombinant virus vector comprising a heterologous nucleic acid encoding a polypeptide or functional RNA of interest. Recombinant virus vectors are described in more detail below.

In particular embodiments, the virus vectors of the invention have reduced transduction of liver as compared with the level of transduction by a virus vector without the modified capsid protein. In particular embodiments, the virus vector has systemic transduction toward muscle, *e.g.*, the vector transduces multiple skeletal muscle groups throughout the body and optionally transduces cardiac muscle and/or diaphragm muscle.

It will be understood by those skilled in the art that the modified capsid proteins, virus capsids and virus vectors of the invention exclude those capsid proteins, capsids and virus vectors that have the indicated amino acids at the specified positions in their native state (i.e., are not mutants).

#### 15 Methods of Producing Virus Vectors.

The present invention further provides methods of producing the inventive virus vectors. In one representative embodiment, the present invention provides a method of producing a virus vector, the method comprising providing to a cell: (a) a nucleic acid template comprising at least one TR sequence (e.g., AAV TR sequence), and (b) AAV sequences sufficient for replication of the nucleic acid template and encapsidation into AAV capsids (e.g., AAV rep sequences and AAV cap sequences encoding the AAV capsids of the invention). Optionally, the nucleic acid template further comprises at least one heterologous nucleic acid sequence. In particular embodiments, the nucleic acid template comprises two AAV ITR sequences, which are located 5' and 3' to the heterologous nucleic acid sequence (if present), although they need not be directly contiguous thereto.

The nucleic acid template and AAV *rep* and *cap* sequences are provided under conditions such that virus vector comprising the nucleic acid template packaged within the AAV capsid is produced in the cell. The method can further comprise the step of collecting the virus vector from the cell. The virus vector can be collected from the medium and/or by lysing the cells.

The cell can be a cell that is permissive for AAV viral replication. Any suitable cell known in the art may be employed. In particular embodiments,

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the cell is a mammalian cell. As another option, the cell can be a transcomplementing packaging cell line that provides functions deleted from a replication-defective helper virus, *e.g.*, 293 cells or other E1a *trans*complementing cells.

The AAV replication and capsid sequences may be provided by any method known in the art. Current protocols typically express the AAV *replcap* genes on a single plasmid. The AAV replication and packaging sequences need not be provided together, although it may be convenient to do so. The AAV *rep* and/or *cap* sequences may be provided by any viral or non-viral vector. For example, the *replcap* sequences may be provided by a hybrid adenovirus or herpesvirus vector (*e.g.*, inserted into the E1a or E3 regions of a deleted adenovirus vector). EBV vectors may also be employed to express the AAV *cap* and *rep* genes. One advantage of this method is that EBV vectors are episomal, yet will maintain a high copy number throughout successive cell divisions (*i.e.*, are stably integrated into the cell as extrachromosomal elements, designated as an "EBV based nuclear episome," *see* Margolski (1992) *Curr. Top. Microbiol. Immun.* 158:67).

As a further alternative, the *replcap* sequences may be stably incorporated into a cell.

Typically the AAV *replcap* sequences will not be flanked by the TRs, to prevent rescue and/or packaging of these sequences.

The nucleic acid template can be provided to the cell using any method known in the art. For example, the template can be supplied by a non-viral (e.g., plasmid) or viral vector. In particular embodiments, the nucleic acid template is supplied by a herpesvirus or adenovirus vector (e.g., inserted into the E1a or E3 regions of a deleted adenovirus). As another illustration, Palombo et al. (1998) *J. Virology* 72:5025, describes a baculovirus vector carrying a reporter gene flanked by the AAV TRs. EBV vectors may also be employed to deliver the template, as described above with respect to the *replcap* genes.

In another representative embodiment, the nucleic acid template is provided by a replicating rAAV virus. In still other embodiments, an AAV provirus comprising the nucleic acid template is stably integrated into the chromosome of the cell.

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To enhance virus titers, helper virus functions (e.g., adenovirus or herpesvirus) that promote a productive AAV infection can be provided to the cell. Helper virus sequences necessary for AAV replication are known in the art. Typically, these sequences will be provided by a helper adenovirus or herpesvirus vector. Alternatively, the adenovirus or herpesvirus sequences can be provided by another non-viral or viral vector, e.g., as a non-infectious adenovirus miniplasmid that carries all of the helper genes that promote efficient AAV production as described by Ferrari et al. (1997) *Nature Med.* 3:1295; and U.S. Patent Nos. 6,040,183 and 6,093,570.

Further, the helper virus functions may be provided by a packaging cell with the helper sequences embedded in the chromosome or maintained as a stable extrachromosomal element. Generally, the helper virus sequences cannot be packaged into AAV virions, *e.g.*, are not flanked by TRs.

Those skilled in the art will appreciate that it may be advantageous to provide the AAV replication and capsid sequences and the helper virus sequences (e.g., adenovirus sequences) on a single helper construct. This helper construct may be a non-viral or viral construct. As one nonlimiting illustration, the helper construct can be a hybrid adenovirus or hybrid herpesvirus comprising the AAV *replcap* genes.

In one particular embodiment, the AAV *replcap* sequences and the adenovirus helper sequences are supplied by a single adenovirus helper vector. This vector can further comprise the nucleic acid template. The AAV *replcap* sequences and/or the rAAV template can be inserted into a deleted region (*e.g.*, the E1a or E3 regions) of the adenovirus.

In a further embodiment, the AAV *replcap* sequences and the adenovirus helper sequences are supplied by a single adenovirus helper vector. According to this embodiment, the rAAV template can be provided as a plasmid template.

In another illustrative embodiment, the AAV *replcap* sequences and adenovirus helper sequences are provided by a single adenovirus helper vector, and the rAAV template is integrated into the cell as a provirus. Alternatively, the rAAV template is provided by an EBV vector that is maintained within the cell as an extrachromosomal element (*e.g.*, as an EBV based nuclear episome).

In a further exemplary embodiment, the AAV *rep/cap* sequences and adenovirus helper sequences are provided by a single adenovirus helper. The rAAV template can be provided as a separate replicating viral vector. For example, the rAAV template can be provided by a rAAV particle or a second recombinant adenovirus particle.

According to the foregoing methods, the hybrid adenovirus vector typically comprises the adenovirus 5' and 3' *cis* sequences sufficient for adenovirus replication and packaging (*i.e.*, the adenovirus terminal repeats and PAC sequence). The AAV *rep/cap* sequences and, if present, the rAAV template are embedded in the adenovirus backbone and are flanked by the 5' and 3' *cis* sequences, so that these sequences may be packaged into adenovirus capsids. As described above, the adenovirus helper sequences and the AAV *rep/cap* sequences are generally not flanked by TRs so that these sequences are not packaged into the AAV virions.

Zhang et al. ((2001) *Gene Ther*. 18:704-12) describes a chimeric helper comprising both adenovirus and the AAV *rep* and *cap* genes.

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Herpesvirus may also be used as a helper virus in AAV packaging methods. Hybrid herpesviruses encoding the AAV Rep protein(s) may advantageously facilitate scalable AAV vector production schemes. A hybrid herpes simplex virus type I (HSV-1) vector expressing the AAV-2 *rep* and *cap* genes has been described (Conway et al. (1999) *Gene Therapy* 6:986 and PCT Publication No. WO 00/17377.

As a further alternative, the virus vectors of the invention can be produced in insect cells using baculovirus vectors to deliver the *rep/cap* genes and rAAV template as described, for example, in Urabe et al. (2002) *Human Gene Therapy* 13:1935-43.

AAV vector stocks free of contaminating helper virus may be obtained by any method known in the art. For example, AAV and helper virus may be readily differentiated based on size. AAV may also be separated away from helper virus based on affinity for a heparin substrate (Zolotukhin et al. (1999) *Gene Therapy* 6:973). Deleted replication-defective helper viruses can be used so that any contaminating helper virus is not replication competent. As a further alternative, an adenovirus helper lacking late gene expression may be employed, as only adenovirus early gene expression is required to mediate

packaging of AAV virus. Adenovirus mutants defective for late gene expression are known in the art (e.g., ts100K and ts149 adenovirus mutants). **Recombinant Virus Vectors**.

The virus vectors of the present invention are useful for the delivery of nucleic acids to cells *in vitro*, *ex vivo*, and *in vivo*. In particular, the virus vectors can be advantageously employed to deliver or transfer nucleic acids to animal cells, including e.g., mammalian cells.

Any heterologous nucleic acid sequence(s) of interest may be delivered in the virus vectors of the present invention. Nucleic acids of interest include nucleic acids encoding polypeptides, including therapeutic (e.g., for medical or veterinary uses) or immunogenic (e.g., for vaccines) polypeptides.

Therapeutic polypeptides include, but are not limited to, cystic fibrosis transmembrane regulator protein (CFTR), dystrophin (including mini- and micro-dystrophins, see, e.g., Vincent et al. (1993) Nature Genetics 5:130; U.S. 15 Patent Publication No. 2003017131; PCT Publication No. WO/2008/088895, Wang et al. Proc. Natl. Acad. Sci. USA 97:13714-13719 (2000); and Gregorevic et al. Mol. Ther. 16:657-64 (2008)), myostatin propeptide, follistatin, activin type II soluble receptor, IGF-1, anti-inflammatory polypeptides such as the Ikappa B dominant mutant, sarcospan, utrophin (Tinsley et al. (1996) Nature 384:349), mini-utrophin, clotting factors (e.g., Factor VIII, Factor IX, Factor X, etc.), erythropoietin, angiostatin, endostatin, catalase, tyrosine hydroxylase, superoxide dismutase, leptin, the LDL receptor, lipoprotein lipase, ornithine transcarbamylase,  $\beta$ -globin,  $\alpha$ -globin, spectrin,  $\alpha_1$ -antitrypsin, adenosine deaminase, hypoxanthine guanine phosphoribosyl transferase, β-glucocerebrosidase, sphingomyelinase, lysosomal hexosaminidase A, branched-chain keto acid dehydrogenase, RP65 protein, cytokines (e.g.,  $\alpha$ -interferon,  $\beta$ -interferon, interferon- $\gamma$ , interleukin-2, interleukin-4, granulocyte-macrophage colony stimulating factor, lymphotoxin, and the like), peptide growth factors, neurotrophic factors and 30 hormones (e.g., somatotropin, insulin, insulin-like growth factors 1 and 2, platelet derived growth factor, epidermal growth factor, fibroblast growth factor, nerve growth factor, neurotrophic factor -3 and -4, brain-derived

neurotrophic factor, bone morphogenic proteins [including RANKL and VEGF], glial derived growth factor, transforming growth factor  $-\alpha$  and  $-\beta$ , and the like), lysosomal acid  $\alpha$ -glucosidase,  $\alpha$ -galactosidase A, receptors (e.g., the tumor necrosis growth factor $\alpha$  soluble receptor), S100A1, parvalbumin, adenylyl cyclase type 6, a molecule that modulates calcium handling (e.g., SERCA<sub>2A</sub>, Inhibitor 1 of PP1 and fragments thereof [e.g., PCT Publication Nos. WO 2006/029319 and WO 2007/100465]), a molecule that effects Gprotein coupled receptor kinase type 2 knockdown such as a truncated constitutively active bARKct, anti-inflammatory factors such as IRAP, antimyostatin proteins, aspartoacylase, monoclonal antibodies (including single chain monoclonal antibodies; an exemplary Mab being the Herceptin® Mab), neuropeptides and fragments thereof (e.g., galanin, Neuropeptide Y (see U.S. Patent No. 7,071,172), angiogenesis inhibitors such as Vasohibins and other VEGF inhibitors (e.g., Vasohibin 2 [see PCT Publication WO JP2006/073052]). Other illustrative heterologous nucleic acid sequences encode suicide gene products (e.g., thymidine kinase, cytosine deaminase, diphtheria toxin, and tumor necrosis factor), proteins conferring resistance to a drug used in cancer therapy, tumor suppressor gene products (e.g., p53, Rb, Wt-1), TRAIL, FAS-ligand, and any other polypeptide that has a therapeutic effect in a subject in need thereof. AAV vectors can also be used to deliver monoclonal antibodies and antibody fragments, for example, an antibody or antibody fragment directed against myostatin (see, e.g., Fang et al. Nature Biotechnology 23:584-590 (2005)).

Heterologous nucleic acid sequences encoding polypeptides include those encoding reporter polypeptides (e.g., an enzyme). Reporter polypeptides are known in the art and include, but are not limited to, Green Fluorescent Protein,  $\beta$ -galactosidase, alkaline phosphatase, luciferase, and chloramphenicol acetyltransferase gene.

Optionally, the heterologous nucleic acid encodes a secreted polypeptide (e.g., a polypeptide that is a secreted polypeptide in its native state or that has been engineered to be secreted, for example, by operable association with a secretory signal sequence as is known in the art).

Alternatively, in particular embodiments of this invention, the heterologous nucleic acid may encode an antisense nucleic acid, a ribozyme (e.g., as described in U.S. Patent No. 5,877,022), RNAs that effect spliceosome-mediated trans-splicing (see, Puttaraju et al. (1999) Nature Biotech. 17:246; U.S. Patent No. 6,013,487; U.S. Patent No. 6,083,702), interfering RNAs (RNAi) including siRNA, shRNA or miRNA that mediate gene silencing (see, Sharp et al. (2000) Science 287:2431), and other nontranslated RNAs, such as "guide" RNAs (Gorman et al. (1998) Proc. Nat. Acad. Sci. USA 95:4929; U.S. Patent No. 5,869,248 to Yuan et al.), and the like. Exemplary untranslated RNAs include RNAi against a multiple drug resistance (MDR) gene product (e.g., to treat and/or prevent tumors and/or for administration to the heart to prevent damage by chemotherapy), RNAi against myostatin (e.g., for Duchenne muscular dystrophy), RNAi against VEGF (e.g., to treat and/or prevent tumors), RNAi against phospholamban (e.g., to treat cardiovascular disease, see e.g., Andino et al. J. Gene Med. 10:132-142 (2008) and Li et al. Acta Pharmacol Sin. 26:51-55 (2005)); phospholamban inhibitory or dominant-negative molecules such as phospholamban S16E (e.g., to treat cardiovascular disease, see e.g., Hoshijima et al. Nat. Med. 8:864-871 (2002)), RNAi to adenosine kinase (e.g., for epilepsy), and RNAi directed against pathogenic organisms and viruses (e.g., hepatitis B and/or C virus, human immunodeficiency virus, CMV, herpes simplex virus, human papilloma virus, etc.).

Further, a nucleic acid sequence that directs alternative splicing can be delivered. To illustrate, an antisense sequence (or other inhibitory sequence) complementary to the 5' and/or 3' splice site of dystrophin exon 51 can be delivered in conjunction with a U1 or U7 small nuclear (sn) RNA promoter to induce skipping of this exon. For example, a DNA sequence comprising a U1 or U7 snRNA promoter located 5' to the antisense/inhibitory sequence(s) can be packaged and delivered in a modified capsid of the invention.

The virus vector may also comprise a heterologous nucleic acid that shares homology with and recombines with a locus on a host chromosome. This approach can be utilized, for example, to correct a genetic defect in the host cell.

The present invention also provides virus vectors that express an immunogenic polypeptide, e.g., for vaccination. The nucleic acid may encode any immunogen of interest known in the art including, but not limited to, immunogens from human immunodeficiency virus (HIV), simian immunodeficiency virus (SIV), influenza virus, HIV or SIV gag proteins, tumor antigens, cancer antigens, bacterial antigens, viral antigens, and the like.

The use of parvoviruses as vaccine vectors is known in the art (see, e.g., Miyamura et al., (1994) Proc. Nat. Acad. Sci USA 91:8507; U.S. Patent No. 5,916,563 to Young et al., U.S. Patent No. 5,905,040 to Mazzara et al., U.S. Patent No. 5,882,652, U.S. Patent No. 5,863,541 to Samulski et al.). The antigen may be presented in the parvovirus capsid. Alternatively, the antigen may be expressed from a heterologous nucleic acid introduced into a recombinant vector genome. Any immunogen of interest as described herein and/or as is known in the art can be provided by the virus vector of the present invention.

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An immunogenic polypeptide can be any polypeptide suitable for eliciting an immune response and/or protecting the subject against an infection and/or disease, including, but not limited to, microbial, bacterial, protozoal, parasitic, fungal and/or viral infections and diseases. For example, the immunogenic polypeptide can be an orthomyxovirus immunogen (e.g., an influenza virus immunogen, such as the influenza virus hemagglutinin (HA) surface protein or the influenza virus nucleoprotein, or an equine influenza virus immunogen) or a lentivirus immunogen (e.g., an equine infectious anemia virus immunogen, a Simian Immunodeficiency Virus (SIV) immunogen, or a Human Immunodeficiency Virus (HIV) immunogen, such as the HIV or SIV envelope GP160 protein, the HIV or SIV matrix/capsid proteins, and the HIV or SIV gag, pol and env gene products). The immunogenic polypeptide can also be an arenavirus immunogen (e.g., Lassa fever virus immunogen, such as the Lassa fever virus nucleocapsid protein and/or the Lassa fever envelope glycoprotein), a poxvirus immunogen (e.g., a vaccinia virus immunogen, such as the vaccinia L1 or L8 gene product), a flavivirus immunogen (e.g., a yellow fever virus immunogen or a Japanese encephalitis virus immunogen), a filovirus immunogen (e.g., an Ebola virus immunogen, or a Marburg virus immunogen, such as NP and GP gene

products), a bunyavirus immunogen (e.g., RVFV, CCHF, and/or SFS virus immunogens), or a coronavirus immunogen (e.g., an infectious human coronavirus immunogen, such as the human coronavirus envelope glycoprotein, or a porcine transmissible gastroenteritis virus immunogen, or an avian infectious bronchitis virus immunogen). The immunogenic polypeptide can further be a polio immunogen, a herpesvirus immunogen (e.g., CMV, EBV, HSV immunogens) a mumps virus immunogen, a measles virus immunogen, a rubella virus immunogen, a diphtheria toxin or other diphtheria immunogen, a pertussis antigen, a hepatitis (e.g., hepatitis A, hepatitis B, hepatitis C, etc.) immunogen, and/or any other vaccine immunogen now known in the art or later identified as an immunogen.

Alternatively, the immunogenic polypeptide can be any tumor or cancer cell antigen. Optionally, the tumor or cancer antigen is expressed on the surface of the cancer cell. Exemplary cancer and tumor cell antigens are described in S.A. Rosenberg (Immunity 10:281 (1991)). Other illustrative cancer and tumor antigens include, but are not limited to: BRCA1 gene product, BRCA2 gene product, gp100, tyrosinase, GAGE-1/2, BAGE, RAGE, LAGE, NY-ESO-1, CDK-4, β-catenin, MUM-1, Caspase-8, KIAA0205, HPVE, SART-1, PRAME, p15, melanoma tumor antigens (Kawakami et al. (1994) Proc. Natl. Acad. Sci. USA 91:3515; Kawakami et al. (1994) J. Exp. Med., 180:347: Kawakami et al. (1994) Cancer Res. 54:3124), MART-1, gp100 MAGE-1, MAGE-2, MAGE-3, CEA, TRP-1, TRP-2, P-15, tyrosinase (Brichard et al. (1993) J. Exp. Med. 178:489); HER-2/neu gene product (U.S. Pat. No. 4,968,603), CA125, LK26, FB5 (endosialin), TAG 72, AFP, CA19-9, NSE, DU-PAN-2, CA50, SPan-1, CA72-4, HCG, STN (sialyl Tn antigen), c-erbB-2 proteins, PSA, L-CanAg, estrogen receptor, milk fat globulin, p53 tumor suppressor protein (Levine, (1993) Ann. Rev. Biochem. 62:623); mucin antigens (PCT Publication No. WO 90/05142); telomerases; nuclear matrix proteins; prostatic acid phosphatase; papilloma virus antigens; and/or antigens now known or later discovered to be associated with the following cancers: melanoma, adenocarcinoma, thymoma, lymphoma (e.g., non-Hodgkin's lymphoma, Hodgkin's lymphoma), sarcoma, lung cancer, liver cancer, colon cancer, leukemia, uterine cancer, breast cancer, prostate

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cancer, ovarian cancer, cervical cancer, bladder cancer, kidney cancer, pancreatic cancer, brain cancer and any other cancer or malignant condition now known or later identified (see, e.g., Rosenberg, (1996) *Ann. Rev. Med.* 47:481-91).

As a further alternative, the heterologous nucleic acid can encode any polypeptide that is desirably produced in a cell *in vitro*, *ex vivo*, or *in vivo*. For example, the virus vectors may be introduced into cultured cells and the expressed gene product isolated therefrom.

It will be understood by those skilled in the art that the heterologous nucleic acid(s) of interest can be operably associated with appropriate control sequences. For example, the heterologous nucleic acid can be operably associated with expression control elements, such as transcription/translation control signals, origins of replication, polyadenylation signals, internal ribosome entry sites (IRES), promoters, and/or enhancers, and the like.

Further, regulated expression of the heterologous nucleic acid(s) of interest can be achieved at the post-transcriptional level, *e.g.*, by regulating selective splicing of different introns by the presence or absence of an oligonucleotide, small molecule and/or other compound that selectively blocks splicing activity at specific sites (*e.g.*, as described in PCT Publication No. WO 2006/119137).

Those skilled in the art will appreciate that a variety of promoter/enhancer elements can be used depending on the level and tissue-specific expression desired. The promoter/enhancer can be constitutive or inducible, depending on the pattern of expression desired. The promoter/enhancer can be native or foreign and can be a natural or a synthetic sequence. By foreign, it is intended that the transcriptional initiation region is not found in the wild-type host into which the transcriptional initiation region is introduced.

In particular embodiments, the promoter/enhancer elements can be native to the target cell or subject to be treated. In representative embodiments, the promoters/enhancer element can be native to the heterologous nucleic acid sequence. The promoter/enhancer element is generally chosen so that it functions in the target cell(s) of interest. Further, in particular embodiments the promoter/enhancer element is a mammalian

promoter/enhancer element. The promoter/enhancer element may be constitutive or inducible.

Inducible expression control elements are typically advantageous in those applications in which it is desirable to provide regulation over expression of the heterologous nucleic acid sequence(s). Inducible promoters/enhancer elements for gene delivery can be tissue-specific or preferred promoter/enhancer elements, and include muscle specific or preferred (including cardiac, skeletal and/or smooth muscle specific or preferred), neural tissue specific or preferred (including brain-specific or preferred), eye specific or preferred (including retina-specific and corneaspecific), liver specific or preferred, bone marrow specific or preferred, pancreatic specific or preferred, spleen specific or preferred, and/or lung specific or preferred promoter/enhancer elements. Other inducible promoter/enhancer elements include hormone-inducible and metal-inducible elements. Exemplary inducible promoters/enhancer elements include, but are not limited to, a Tet on/off element, a RU486-inducible promoter, an ecdysone-inducible promoter, a rapamycin-inducible promoter, and a metallothionein promoter.

In embodiments wherein the heterologous nucleic acid sequence(s) is transcribed and then translated in the target cells, specific initiation signals are generally included for efficient translation of inserted protein coding sequences. These exogenous translational control sequences, which may include the ATG initiation codon and adjacent sequences, can be of a variety of origins, both natural and synthetic.

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The virus vectors according to the present invention provide a means for delivering heterologous nucleic acids into a broad range of cells, including dividing and non-dividing cells. The virus vectors can be employed to deliver a nucleic acid of interest to a cell *in vitro*, e.g., to produce a polypeptide *in vitro* or for ex vivo gene therapy. The virus vectors are additionally useful in a method of delivering a nucleic acid to a subject in need thereof, e.g., to express an immunogenic or therapeutic polypeptide or a functional RNA. In this manner, the polypeptide or functional RNA can be produced *in vivo* in the subject. The subject can be in need of the polypeptide because the subject has a deficiency of the polypeptide. Further, the method can be practiced

because the production of the polypeptide or functional RNA in the subject may impart some beneficial effect.

The virus vectors can also be used to produce a polypeptide of interest or functional RNA in cultured cells or in a subject (e.g., using the subject as a bioreactor to produce the polypeptide or to observe the effects of the functional RNA on the subject, for example, in connection with screening methods).

In general, the virus vectors of the present invention can be employed to deliver a heterologous nucleic acid encoding a polypeptide or functional RNA to treat and/or prevent any disease state for which it is beneficial to deliver a therapeutic polypeptide or functional RNA. Illustrative disease states include, but are not limited to: cystic fibrosis (cystic fibrosis transmembrane regulator protein) and other diseases of the lung, hemophilia A (Factor VIII), hemophilia B (Factor IX), thalassemia (ß-globin), anemia (erythropoietin) and other blood disorders, Alzheimer's disease (GDF; neprilysin), multiple 15 sclerosis (ß-interferon), Parkinson's disease (glial-cell line derived neurotrophic factor [GDNF]), Huntington's disease (RNAi to remove repeats), amyotrophic lateral sclerosis, epilepsy (galanin, neurotrophic factors), and other neurological disorders, cancer (endostatin, anglostatin, TRAIL, FASligand, cytokines including interferons; RNAi including RNAi against VEGF or the multiple drug resistance gene product, mir-26a [e.g., for hepatocellular carcinoma]), diabetes mellitus (insulin), muscular dystrophies including Duchenne (dystrophin, mini-dystrophin, insulin-like growth factor I, a sarcoglycan [e.g., α, β, γ], RNAi against myostatin, myostatin propeptide, follistatin, activin type II soluble receptor, anti-inflammatory polypeptides such as the Ikappa B dominant mutant, sarcospan, utrophin, mini-utrophin, antisense or RNAi against splice junctions in the dystrophin gene to induce exon skipping [see e.g., PCT Publication No. WO/2003/095647], antisense against U7 snRNAs to induce exon skipping [see e.g., PCT Publication No. WO/2006/021724], and antibodies or antibody fragments against myostatin or myostatin propeptide) and Becker, Gaucher disease (glucocerebrosidase), Hurler's disease (α-L-iduronidase), adenosine deaminase deficiency (adenosine deaminase), glycogen storage diseases (e.g., Fabry disease [agalactosidase] and Pompe disease [lysosomal acid α-glucosidase]) and other

metabolic disorders, congenital emphysema (α1-antitrypsin), Lesch-Nyhan Syndrome (hypoxanthine guanine phosphoribosyl transferase), Niemann-Pick disease (sphingomyelinase), Tays Sachs disease (lysosomal hexosaminidase A), Maple Syrup Urine Disease (branched-chain keto acid dehydrogenase), retinal degenerative diseases (and other diseases of the eye and retina; e.g., PDGF for macular degeneration and/or vasohibin or other inhibitors of VEGF or other angiogenesis inhibitors to treat/prevent retinal disorders, e.g., in Type I diabetes), diseases of solid organs such as brain (including Parkinson's Disease [GDNF], astrocytomas [endostatin, angiostatin and/or RNAi against VEGF], glioblastomas [endostatin, angiostatin and/or RNAi against VEGF]), liver, kidney, heart including congestive heart failure or peripheral artery disease (PAD) (e.g., by delivering protein phosphatase inhibitor I (I-1) and fragments thereof (e.g., I1C), serca2a, zinc finger proteins that regulate the phospholamban gene, Barkct, β2-adrenergic receptor, β2-adrenergic receptor kinase (BARK), phosphoinositide-3 kinase (PI3 kinase), S100A1, 15 parvalbumin, adenylyl cyclase type 6, a molecule that effects G-protein coupled receptor kinase type 2 knockdown such as a truncated constitutively active bARKct; calsarcin, RNAi against phospholamban; phospholamban inhibitory or dominant-negative molecules such as phospholamban S16E, etc.), arthritis (insulin-like growth factors), joint disorders (Insulin-like growth factor 1 and/or 2), intimal hyperplasia (e.g., by delivering enos, inos), improve survival of heart transplants (superoxide dismutase), AIDS (soluble CD4), muscle wasting (insulin-like growth factor I), kidney deficiency (erythropoietin), anemia (erythropoietin), arthritis (anti-inflammatory factors such as IRAP and TNFα soluble receptor), hepatitis (α-interferon), LDL receptor deficiency (LDL receptor), hyperammonemia (ornithine transcarbamylase), Krabbe's disease (galactocerebrosidase), Batten's disease, spinal cerebral ataxias including SCA1, SCA2 and SCA3, phenylketonuria (phenylalanine hydroxylase), autoimmune diseases, and the like. The invention can further be used following organ transplantation to increase the success of the transplant 30 and/or to reduce the negative side effects of organ transplantation or adjunct therapies (e.g., by administering immunosuppressant agents or inhibitory nucleic acids to block cytokine production). As another example, bone

morphogenic proteins (including BNP 2, 7, etc., RANKL and/or VEGF) can be administered with a bone allograft, for example, following a break or surgical removal in a cancer patient.

The invention can also be used to produce induced pluripotent stem cells (iPS). For example, a virus vector of the invention can be used to deliver stem cell associated nucleic acid(s) into a non-pluripotent cell, such as adult fibroblasts, skin cells, liver cells, renal cells, adipose cells, cardiac cells, neural cells, epithelial cells, endothelial cells, and the like. Nucleic acids encoding factors associated with stem cells are known in the art. Nonlimiting examples of such factors associated with stem cells and pluripotency include Oct-3/4, the SOX family (e.g., SOX1, SOX2, SOX3 and/or SOX15), the Klf family (e.g., Klf1, Klf2, Klf4 and/or Klf5), the Myc family (e.g., C-myc, L-myc and/or N-myc), NANOG and/or LIN28.

The invention can also be practiced to treat and/or prevent a metabolic disorder such as diabetes (e.g., insulin), hemophilia (e.g., Factor IX or Factor VIII), a lysosomal storage disorder such as a mucopolysaccharidosis disorder (e.g., Sly syndrome [ $\beta$ -glucuronidase], Hurler Syndrome [ $\alpha$ -L-iduronidase], Scheie Syndrome [ $\alpha$ -L-iduronidase], Hurler-Scheie Syndrome [ $\alpha$ -L-iduronidase], Hurler's Syndrome [iduronate sulfatase], Sanfilippo Syndrome A [heparan sulfamidase], B [N-acetylglucosaminidase], C [acetyl-CoA: $\alpha$ -glucosaminide acetyltransferase], D [N-acetylglucosamine 6-sulfatase], Morquio Syndrome A [galactose-6-sulfate sulfatase], B [ $\beta$ -galactosidase], Maroteaux-Lamy Syndrome [N-acetylgalactosamine-4-sulfatase], etc.), Fabry disease ( $\alpha$ -galactosidase), Gaucher's disease (glucocerebrosidase), or a glycogen storage disorder (e.g., Pompe disease; lysosomal acid  $\alpha$ -glucosidase).

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Gene transfer has substantial potential use for understanding and providing therapy for disease states. There are a number of inherited diseases in which defective genes are known and have been cloned. In general, the above disease states fall into two classes: deficiency states, usually of enzymes, which are generally inherited in a recessive manner, and unbalanced states, which may involve regulatory or structural proteins, and which are typically inherited in a dominant manner. For deficiency state diseases, gene transfer can be used to bring a normal gene into affected

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tissues for replacement therapy, as well as to create animal models for the disease using antisense mutations. For unbalanced disease states, gene transfer can be used to create a disease state in a model system, which can then be used in efforts to counteract the disease state. Thus, virus vectors according to the present invention permit the treatment and/or prevention of genetic diseases.

The virus vectors according to the present invention may also be employed to provide a functional RNA to a cell *in vitro* or *in vivo*. Expression of the functional RNA in the cell, for example, can diminish expression of a particular target protein by the cell. Accordingly, functional RNA can be administered to decrease expression of a particular protein in a subject in need thereof. Functional RNA can also be administered to cells *in vitro* to regulate gene expression and/or cell physiology, *e.g.*, to optimize cell or tissue culture systems or in screening methods.

In addition, virus vectors according to the instant invention find use in diagnostic and screening methods, whereby a nucleic acid of interest is transiently or stably expressed in a cell culture system, or alternatively, a transgenic animal model.

The virus vectors of the present invention can also be used for various non-therapeutic purposes, including but not limited to use In protocols to assess gene targeting, clearance, transcription, translation, *etc.*, as would be apparent to one skilled in the art. The virus vectors can also be used for the purpose of evaluating safety (spread, toxicity, immunogenicity, *etc.*). Such data, for example, are considered by the United States Food and Drug Administration as part of the regulatory approval process prior to evaluation of clinical efficacy.

As a further aspect, the virus vectors of the present invention may be used to produce an immune response in a subject. According to this embodiment, a virus vector comprising a heterologous nucleic acid sequence encoding an immunogenic polypeptide can be administered to a subject, and an active immune response is mounted by the subject against the immunogenic polypeptide. Immunogenic polypeptides are as described hereinabove. In some embodiments, a protective immune response is elicited.

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Alternatively, the virus vector may be administered to a cell *ex vivo* and the altered cell is administered to the subject. The virus vector comprising the heterologous nucleic acid is introduced into the cell, and the cell is administered to the subject, where the heterologous nucleic acid encoding the immunogen can be expressed and induce an immune response in the subject against the immunogen. In particular embodiments, the cell is an antigen-presenting cell (*e.g.*, a dendritic cell).

An "active immune response" or "active immunity" is characterized by "participation of host tissues and cells after an encounter with the immunogen. It involves differentiation and proliferation of immunocompetent cells in lymphoreticular tissues, which lead to synthesis of antibody or the development of cell-mediated reactivity, or both." Herbert B. Herscowitz, Immunophysiology: Cell Function and Cellular Interactions in Antibody Formation, in IMMUNOLOGY: BASIC PROCESSES 117 (Joseph A. Bellanti ed., 1985). Alternatively stated, an active immune response is mounted by the host after exposure to an immunogen by infection or by vaccination. Active immunity can be contrasted with passive immunity, which is acquired through the "transfer of preformed substances (antibody, transfer factor, thymic graft, interleukin-2) from an actively immunized host to a non-immune host." Id.

A "protective" immune response or "protective" immunity as used herein indicates that the immune response confers some benefit to the subject in that it prevents or reduces the incidence of disease. Alternatively, a protective immune response or protective immunity may be useful in the treatment and/or prevention of disease, in particular cancer or tumors (e.g., by preventing cancer or tumor formation, by causing regression of a cancer or tumor and/or by preventing metastasis and/or by preventing growth of metastatic nodules). The protective effects may be complete or partial, as long as the benefits of the treatment outweigh any disadvantages thereof.

In particular embodiments, the virus vector or cell comprising the heterologous nucleic acid can be administered in an immunogenically effective amount, as described herein.

The virus vectors of the present invention can also be administered for cancer immunotherapy by administration of a virus vector expressing one or

more cancer cell antigens (or an immunologically similar molecule) or any other immunogen that produces an immune response against a cancer cell. To illustrate, an immune response can be produced against a cancer cell antigen in a subject by administering a virus vector comprising a heterologous nucleic acid encoding the cancer cell antigen, for example to treat a patient with cancer and/or to prevent cancer from developing in the subject. The virus vector may be administered to a subject *in vivo* or by using *ex vivo* methods, as described herein. Alternatively, the cancer antigen can be expressed as part of the virus capsid or be otherwise associated with the virus capsid (*e.g.*, as described above).

As another alternative, any other therapeutic nucleic acid (e.g., RNAi) or polypeptide (e.g., cytokine) known in the art can be administered to treat and/or prevent cancer.

As used herein, the term "cancer" encompasses tumor-forming cancers. Likewise, the term "cancerous tissue" encompasses tumors. A "cancer cell antigen" encompasses tumor antigens.

The term "cancer" has its understood meaning in the art, for example, an uncontrolled growth of tissue that has the potential to spread to distant sites of the body (i.e., metastasize). Exemplary cancers include, but are not limited to melanoma, adenocarcinoma, thymoma, lymphoma (e.g., non-Hodgkin's lymphoma, Hodgkin's lymphoma), sarcoma, lung cancer, liver cancer, colon cancer, leukemia, uterine cancer, breast cancer, prostate cancer, ovarian cancer, cervical cancer, bladder cancer, kidney cancer, pancreatic cancer, brain cancer and any other cancer or malignant condition now known or later identified. In representative embodiments, the invention provides a method of treating and/or preventing tumor-forming cancers.

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The term "tumor" is also understood in the art, for example, as an abnormal mass of undifferentiated cells within a multicellular organism. Tumors can be malignant or benign. In representative embodiments, the methods disclosed herein are used to prevent and treat malignant tumors.

By the terms "treating cancer," "treatment of cancer" and equivalent terms it is intended that the severity of the cancer is reduced or at least partially eliminated and/or the progression of the disease is slowed and/or controlled and/or the disease is stabilized. In particular embodiments, these

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terms indicate that metastasis of the cancer is prevented or reduced or at least partially eliminated and/or that growth of metastatic nodules is prevented or reduced or at least partially eliminated.

By the terms "prevention of cancer" or "preventing cancer" and equivalent terms it is intended that the methods at least partially eliminate or reduce and/or delay the incidence and/or severity of the onset of cancer. Alternatively stated, the onset of cancer in the subject may be reduced in likelihood or probability and/or delayed.

In particular embodiments, cells may be removed from a subject with cancer and contacted with a virus vector expressing a cancer cell antigen according to the instant invention. The modified cell is then administered to the subject, whereby an immune response against the cancer cell antigen is elicited. This method can be advantageously employed with immunocompromised subjects that cannot mount a sufficient immune response *in vivo* (*i.e.*, cannot produce enhancing antibodies in sufficient quantities).

It is known in the art that immune responses may be enhanced by immunomodulatory cytokines (e.g.,  $\alpha$ -interferon,  $\beta$ -interferon,  $\gamma$ -interferon,  $\alpha$ -interferon,  $\alpha$ -interferon,  $\alpha$ -interferon, interleukin-1 $\alpha$ , interleukin-1 $\beta$ , interleukin-2, interleukin-3, interleukin-4, interleukin-5, interleukin-6, interleukin-7, interleukin-8, interleukin-9, interleukin-10, interleukin-11, interleukin 12, interleukin-13, interleukin-14, interleukin-18, B cell Growth factor, CD40 Ligand, tumor necrosis factor- $\alpha$ , tumor necrosis factor- $\beta$ , monocyte chemoattractant protein-1, granulocyte-macrophage colony stimulating factor, and lymphotoxin). Accordingly, immunomodulatory cytokines (preferably, CTL inductive cytokines) may be administered to a subject in conjunction with the virus vector.

Cytokines may be administered by any method known in the art. Exogenous cytokines may be administered to the subject, or alternatively, a nucleic acid encoding a cytokine may be delivered to the subject using a suitable vector, and the cytokine produced *in vivo*.

## Subjects, Pharmaceutical Formulations, and Modes of Administration.

Virus vectors and capsids according to the present invention find use in both veterinary and medical applications. Suitable subjects include both avians and mammals. The term "avian" as used herein includes, but is not limited to, chickens, ducks, geese, quail, turkeys, pheasant, parrots, parakeets, and the like. The term "mammal" as used herein includes, but is not limited to, humans, non-human primates, bovines, ovines, caprines, equines, felines, canines, lagomorphs, etc. Human subjects include neonates, infants, juveniles, adults and geriatric subjects.

In representative embodiments, the subject is "in need of" the methods of the invention and thus in some embodiments can be a "subject in need thereof.".

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In particular embodiments, the present invention provides a pharmaceutical composition comprising a virus vector and/or capsid of the invention in a pharmaceutically acceptable carrier and, optionally, other medicinal agents, pharmaceutical agents, stabilizing agents, buffers, carriers, adjuvants, diluents, etc. For injection, the carrier will typically be a liquid. For other methods of administration, the carrier may be either solid or liquid. For inhalation administration, the carrier will be respirable, and optionally can be in solid or liquid particulate form.

By "pharmaceutically acceptable" it is meant a material that is not toxic or otherwise undesirable, *i.e.*, the material may be administered to a subject without causing any undesirable biological effects.

One aspect of the present invention is a method of transferring a nucleic acid to a cell *in vitro*. The virus vector may be introduced into the cells at the appropriate multiplicity of infection according to standard transduction methods suitable for the particular target cells. Titers of virus vector to administer can vary, depending upon the target cell type and number, and the particular virus vector, and can be determined by those of skill in the art without undue experimentation. In representative embodiments, at least about 10<sup>3</sup> infectious units, optionally at least about 10<sup>5</sup> infectious units are introduced to the cell.

The cell(s) into which the virus vector is introduced can be of any type, including but not limited to neural cells (including cells of the peripheral and

central nervous systems, in particular, brain cells such as neurons and oligodendricytes), lung cells, cells of the eye (including retinal cells, retinal pigment epithelium, and corneal cells), epithelial cells (e.g., gut and respiratory epithelial cells), muscle cells (e.g., skeletal muscle cells, cardiac muscle cells, smooth muscle cells and/or diaphragm muscle cells), dendritic cells, pancreatic cells (including islet cells), hepatic cells, myocardial cells, bone cells (e.g., bone marrow stem cells), hematopoietic stem cells, spleen cells, keratinocytes, fibroblasts, endothelial cells, prostate cells, germ cells, and the like. In representative embodiments, the cell can be any progenitor cell. As a further embodiment, the cell can be a stem cell (e.g., neural stem cell, liver stem cell). As still a further embodiment, the cell can be a cancer or tumor cell. Moreover, the cell can be from any species of origin, as indicated above.

The virus vector can be introduced into cells *in vitro* for the purpose of administering the modified cell to a subject. In particular embodiments, the cells have been removed from a subject, the virus vector is introduced therein, and the cells are then administered back into the subject. Methods of removing cells from subject for manipulation *ex vivo*, followed by introduction back into the subject are known in the art (*see*, *e.g.*, U.S. Patent No. 5,399,346). Alternatively, the recombinant virus vector can be introduced into cells from a donor subject, into cultured cells, or into cells from any other suitable source, and the cells are administered to a subject in need thereof (*i.e.*, a "recipient" subject).

Suitable cells for *ex vivo* nucleic acid delivery are as described above. Dosages of the cells to administer to a subject will vary upon the age, condition and species of the subject, the type of cell, the nucleic acid being expressed by the cell, the mode of administration, and the like. Typically, at least about 10<sup>2</sup> to about 10<sup>8</sup> cells or at least about 10<sup>3</sup> to about 10<sup>6</sup> cells will be administered per dose in a pharmaceutically acceptable carrier. In particular embodiments, the cells transduced with the virus vector are administered to the subject in a treatment effective or prevention effective amount in combination with a pharmaceutical carrier.

In some embodiments, the virus vector is introduced into a cell and the cell can be administered to a subject to elicit an immunogenic response

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against the delivered polypeptide (e.g., expressed as a transgene or in the capsid). Typically, a quantity of cells expressing an immunogenically effective amount of the polypeptide in combination with a pharmaceutically acceptable carrier is administered. An "immunogenically effective amount" is an amount of the expressed polypeptide that is sufficient to evoke an active immune response against the polypeptide in the subject to which the pharmaceutical formulation is administered. In particular embodiments, the dosage is sufficient to produce a protective immune response (as defined above). The degree of protection conferred need not be complete or permanent, as long as the benefits of administering the immunogenic polypeptide outweigh any disadvantages thereof.

A further aspect of the invention is a method of administering the virus vector and/or virus capsid to a subject. Administration of the virus vectors and/or capsids according to the present invention to a human subject or an animal in need thereof can be by any means known in the art. Optionally, the virus vector and/or capsid can be delivered in a treatment effective or prevention effective dose in a pharmaceutically acceptable carrier.

The virus vectors and/or capsids of the invention can further be administered to elicit an immunogenic response (e.g., as a vaccine). Typically, immunogenic compositions of the present invention comprise an immunogenically effective amount of virus vector and/or capsid in combination with a pharmaceutically acceptable carrier. Optionally, the dosage is sufficient to produce a protective immune response (as defined above).

Dosages of the virus vector and/or capsid to be administered to a subject depend upon the mode of administration, the disease or condition to be treated and/or prevented, the individual subject's condition, the particular virus vector or capsid, the nucleic acid to be delivered, and the like, and can be determined in a routine manner. Exemplary doses for achieving therapeutic effects are titers of at least about 10<sup>5</sup>, 10<sup>6</sup>, 10<sup>7</sup>, 10<sup>8</sup>, 10<sup>9</sup>, 10<sup>10</sup>, 10<sup>11</sup>, 10<sup>12</sup>, 10<sup>3</sup>, 10<sup>14</sup>, 10<sup>15</sup> transducing units, optionally about 10<sup>8</sup> – 10<sup>13</sup> transducing units.

In particular embodiments, more than one administration (e.g., two, three, four or more administrations) may be employed to achieve the desired

level of gene expression over a period of various intervals, e.g., daily, weekly, monthly, yearly, etc.

Exemplary modes of administration include oral, rectal, transmucosal, intranasal, inhalation (e.g., via an aerosol), buccal (e.g., sublingual), vaginal, intrathecal, intraocular, transdermal, in utero (or in ovo), parenteral (e.g., intravenous, subcutaneous, intradermal, intramuscular [including administration to skeletal, diaphragm and/or cardiac muscle], intradermal, intrapleural, intracerebral, and intraarticular), topical (e.g., to both skin and mucosal surfaces, including airway surfaces, and transdermal administration), intralymphatic, and the like, as well as direct tissue or organ injection (e.g., to liver, skeletal muscle, cardiac muscle, diaphragm muscle or brain).

Administration can also be to a tumor (*e.g.*, in or near a tumor or a lymph node). The most suitable route in any given case will depend on the nature and severity of the condition being treated and/or prevented and on the nature of the particular vector that is being used.

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Administration to skeletal muscle according to the present invention includes but is not limited to administration to skeletal muscle in the limbs (e.g., upper arm, lower arm, upper leg, and/or lower leg), back, neck, head (e.g., tongue), thorax, abdomen, pelvis/perineum, and/or digits. Suitable skeletal muscles include but are not limited to abductor digiti minimi (in the hand), abductor digiti minimi (in the foot), abductor hallucis, abductor ossis metatarsi quinti, abductor pollicis brevis, abductor pollicis longus, adductor brevis, adductor hallucis, adductor longus, adductor magnus, adductor pollicis, anconeus, anterior scalene, articularis genus, biceps brachii, biceps femoris, brachialis, brachioradialis, buccinator, coracobrachialis, corrugator supercilii, deltoid, depressor anguli oris, depressor labii inferioris, digastric, dorsal interossei (in the hand), dorsal interossei (in the foot), extensor carpi radialis brevis, extensor carpi radialis longus, extensor carpi ulnaris, extensor digiti minimi, extensor digitorum, extensor digitorum brevis, extensor digitorum longus, extensor hallucis brevis, extensor hallucis longus, extensor indicis, extensor pollicis brevis, extensor pollicis longus, flexor carpi radialis, flexor carpi ulnaris, flexor digiti minimi brevis (in the hand), flexor digiti minimi brevis (in the foot), flexor digitorum brevis, flexor digitorum longus, flexor digitorum profundus, flexor digitorum superficialis, flexor hallucis brevis, flexor hallucis

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longus, flexor pollicis brevis, flexor pollicis longus, frontalis, gastrocnemius, geniohyoid, gluteus maximus, gluteus medius, gluteus minimus, gracilis, iliocostalis cervicis, iliocostalis lumborum, iliocostalis thoracis, illiacus, inferior gemellus, inferior oblique, inferior rectus, infraspinatus, interspinalis, intertransversi, lateral pterygoid, lateral rectus, latissimus dorsi, levator anguli oris, levator labii superioris, levator labii superioris alaeque nasi, levator palpebrae superioris, levator scapulae, long rotators, longissimus capitis, longissimus cervicis, longissimus thoracis, longus capitis, longus colli, lumbricals (in the hand), lumbricals (in the foot), masseter, medial pterygoid, medial rectus, middle scalene, multifidus, mylohyoid, obliquus capitis inferior, obliquus capitis superior, obturator externus, obturator internus, occipitalis, omohyoid, opponens digiti minimi, opponens pollicis, orbicularis oculi, orbicularis oris, palmar interossei, palmaris brevis, palmaris longus, pectineus, pectoralis major, pectoralis minor, peroneus brevis, peroneus longus, peroneus tertius, piriformis, plantar interossei, plantaris, platysma, popliteus, 15 posterior scalene, pronator quadratus, pronator teres, psoas major, quadratus femoris, quadratus plantae, rectus capitis anterior, rectus capitis lateralis, rectus capitis posterior major, rectus capitis posterior minor, rectus femoris, rhomboid major, rhomboid minor, risorius, sartorius, scalenus minimus, semimembranosus, semispinalis capitis, semispinalis cervicis, semispinalis thoracis, semitendinosus, serratus anterior, short rotators, soleus, spinalis capitis, spinalis cervicis, spinalis thoracis, splenius capitis, splenius cervicis, sternocleidomastoid, sternohyoid, sternothyroid, stylohyoid, subclavius, subscapularis, superior gemellus, superior oblique, superior rectus, supinator, supraspinatus, temporalis, tensor fascia lata, teres major, teres minor, thoracis, thyrohyoid, tibialis anterior, tibialis posterior, trapezius, triceps brachii, vastus intermedius, vastus lateralis, vastus medialis, zygomaticus major, and zygomaticus minor, and any other suitable skeletal muscle as known in the art.

The virus vector and/or capsid can be delivered to skeletal muscle by intravenous administration, intra-arterial administration, intraperitoneal administration, limb perfusion, (optionally, isolated limb perfusion of a leg and/or arm; see e.g. Arruda et al. (2005) *Blood* 105:3458-3464), and/or direct intramuscular injection. In particular embodiments, the virus vector and/or

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capsid is administered to a limb (arm and/or leg) of a subject (e.g., a subject with muscular dystrophy such as DMD) by limb perfusion, optionally isolated limb perfusion (e.g., by intravenous or intra-articular administration). In embodiments of the invention, the virus vectors and/or capsids of the invention can advantageously be administered without employing "hydrodynamic" techniques. Tissue delivery (e.g., to muscle) of vectors is often enhanced by hydrodynamic techniques (e.g., intravenous/intravenous administration in a large volume), which increase pressure in the vasculature and facilitate the ability of the vector to cross the endothelial cell barrier. In particular embodiments, the viral vectors and/or capsids of the invention can be administered in the absence of hydrodynamic techniques such as high volume infusions and/or elevated intravascular pressure (e.g., greater than normal systolic pressure, for example, less than or equal to a 5%, 10%, 15%, 20%, 25% increase in intravascular pressure over normal systolic pressure). Such methods may reduce or avoid the side effects associated with 15 hydrodynamic techniques such as edema, nerve damage and/or compartment syndrome.

Administration to cardiac muscle includes administration to the left atrium, right atrium, left ventricle, right ventricle and/or septum. The virus vector and/or capsid can be delivered to cardiac muscle by intravenous administration, intra-arterial administration such as intra-aortic administration, direct cardiac injection (e.g., into left atrium, right atrium, left ventricle, right ventricle), and/or coronary artery perfusion.

Administration to diaphragm muscle can be by any suitable method including intravenous administration, intra-arterial administration, and/or intraperitoneal administration.

Delivery to a target tissue can also be achieved by delivering a depot comprising the virus vector and/or capsid. In representative embodiments, a depot comprising the virus vector and/or capsid is implanted into skeletal, cardiac and/or diaphragm muscle tissue or the tissue can be contacted with a film or other matrix comprising the virus vector and/or capsid. Such implantable matrices or substrates are described, e.g., in U.S. Patent No. 7,201,898.

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In particular embodiments, a virus vector and/or virus capsid according to the present invention is administered to skeletal muscle, diaphragm muscle and/or cardiac muscle (e.g., to treat and/or prevent muscular dystrophy, heart disease [for example, PAD or congestive heart failure]).

In representative embodiments, the invention is used to treat and/or prevent disorders of skeletal, cardiac and/or diaphragm muscle.

In a representative embodiment, the invention provides a method of treating and/or preventing muscular dystrophy in a subject in need thereof, the method comprising: administering a treatment or prevention effective amount of a virus vector of the invention to a mammalian subject, wherein the virus vector comprises a heterologous nucleic acid encoding dystrophin, a minidystrophin, a micro-dystrophin, myostatin propeptide, follistatin, activin type II soluble receptor, IGF-1, anti-inflammatory polypeptides such as the Ikappa B dominant mutant, sarcospan, utrophin, a micro-dystrophin, laminin- $\alpha 2$ ,  $\alpha$ -sarcoglycan,  $\beta$ -sarcoglycan,  $\gamma$ -sarcoglycan,  $\delta$ -sarcoglycan, IGF-1, an antibody or antibody fragment against myostatin or myostatin propeptide, and/or RNAi against myostatin. In particular embodiments, the virus vector can be administered to skeletal, diaphragm and/or cardiac muscle as described elsewhere herein.

Alternatively, the invention can be practiced to deliver a nucleic acid to skeletal, cardiac or diaphragm muscle, which is used as a platform for production of a polypeptide (e.g., an enzyme) or functional RNA (e.g., RNAi, microRNA, antisense RNA) that normally circulates in the blood or for systemic delivery to other tissues to treat and/or prevent a disorder (e.g., a metabolic disorder, such as diabetes [e.g., insulin], hemophilia [e.g., Factor IX or Factor VIII], a mucopolysaccharide disorder [e.g., Sly syndrome, Hurler Syndrome, Scheie Syndrome, Hurler-Scheie Syndrome, Hunter's Syndrome, Sanfilippo Syndrome A, B, C, D, Morquio Syndrome, Maroteaux-Lamy Syndrome, eic.] or a lysosomal storage disorder such as Gaucher's disease [glucocerebrosidase] or Fabry disease [ $\alpha$ -galactosidase A] or a glycogen storage disorder such as Pompe disease [lysosomal acid  $\alpha$  glucosidase]). Other suitable proteins for treating and/or preventing metabolic disorders are

described herein. The use of muscle as a platform to express a nucleic acid of interest is described in U.S. Patent Publication No. 20020192189.

Thus, as one aspect, the invention further encompasses a method of treating and/or preventing a metabolic disorder in a subject in need thereof, the method comprising: administering a treatment or prevention effective amount of a virus vector of the invention to skeletal muscle of a subject, wherein the virus vector comprises a heterologous nucleic acid encoding a polypeptide, wherein the metabolic disorder is a result of a deficiency and/or defect in the polypeptide. Illustrative metabolic disorders and heterologous nucleic acids encoding polypeptides are described herein. Optionally, the polypeptide is secreted (e.g., a polypeptide that is a secreted polypeptide in its native state or that has been engineered to be secreted, for example, by operable association with a secretory signal sequence as is known in the art). Without being limited by any particular theory of the invention, according to this embodiment, administration to the skeletal muscle can result in secretion of the polypeptide into the systemic circulation and delivery to target tissue(s). Methods of delivering virus vectors to skeletal muscle are described in more detail herein.

The invention can also be practiced to produce antisense RNA, RNAi or other functional RNA (e.g., a ribozyme) for systemic delivery.

The invention also provides a method of treating and/or preventing congenital heart failure or PAD in a subject in need thereof, the method comprising administering a treatment or prevention effective amount of a virus vector of the invention to a mammalian subject, wherein the virus vector comprises a heterologous nucleic acid encoding, for example, a sarcoplasmic endoreticulum  $\text{Ca}^{2+}$ -ATPase (SERCA2a), an angiogenic factor, phosphatase inhibitor I (I-1) and fragments thereof (e.g., I1C), RNAi against phospholamban; a phospholamban inhibitory or dominant-negative molecule such as phospholamban S16E, a zinc finger protein that regulates the phospholamban gene,  $\beta$ 2-adrenergic receptor,  $\beta$ 2-adrenergic receptor kinase (BARK), PI3 kinase, calsarcan, a  $\beta$ -adrenergic receptor kinase inhibitor ( $\beta$ 4RKct), inhibitor 1 of protein phosphatase 1 and fragments thereof (e.g., I1C), S100A1, parvalbumin, adenylyl cyclase type 6, a molecule that effects G-protein coupled receptor kinase type 2 knockdown such as a truncated

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constitutively active bARKct, Pim-1, PGC-1α, SOD-1, SOD-2, EC-SOD, kallikrein, HIF, thymosin-β4, mir-1, mir-133, mir-206, mlr-208 and/or mir-26a.

Injectables can be prepared in conventional forms, either as liquid solutions or suspensions, solid forms suitable for solution or suspension in liquid prior to injection, or as emulsions. Alternatively, one may administer the virus vector and/or virus capsids of the invention in a local rather than systemic manner, for example, in a depot or sustained-release formulation. Further, the virus vector and/or virus capsid can be delivered adhered to a surgically implantable matrix (e.g., as described in U.S. Patent Publication No. 20040013645).

The virus vectors and/or virus capsids disclosed herein can be administered to the lungs of a subject by any suitable means, optionally by administering an aerosol suspension of respirable particles comprised of the virus vectors and/or virus capsids, which the subject inhales. The respirable particles can be liquid or solid. Aerosols of liquid particles comprising the virus vectors and/or virus capsids may be produced by any suitable means, such as with a pressure-driven aerosol nebulizer or an ultrasonic nebulizer, as is known to those of skill in the art. See e.g., U.S. Patent No. 4,501,729. Aerosols of solid particles comprising the virus vectors and/or capsids may likewise be produced with any solid particulate medicament aerosol generator, by techniques known in the pharmaceutical art.

The virus vectors and virus capsids can be administered to tissues of the central nervous system (CNS) (e.g., brain, eye) and may advantageously result in broader distribution of the virus vector or capsid than would be observed in the absence of the present invention.

In particular embodiments, the delivery vectors of the invention may be administered to treat diseases of the CNS, including genetic disorders, neurodegenerative disorders, psychiatric disorders and tumors. Illustrative diseases of the CNS include, but are not limited to Alzheimer's disease, Parkinson's disease, Huntington's disease, Canavan disease, Leigh's disease, Refsum disease, Tourette syndrome, primary lateral sclerosis, amyotrophic lateral sclerosis, progressive muscular atrophy, Pick's disease, muscular dystrophy, multiple sclerosis, myasthenia gravis, Binswanger's disease, trauma due to spinal cord or head injury, Tay Sachs disease, Lesch-

Nyan disease, epilepsy, cerebral infarcts, psychiatric disorders including mood disorders (*e.g.*, depression, bipolar affective disorder, persistent affective disorder, secondary mood disorder), schizophrenia, drug dependency (*e.g.*, alcoholism and other substance dependencies), neuroses (*e.g.*, anxiety, obsessional disorder, somatoform disorder, dissociative disorder, grief, post-partum depression), psychosis (*e.g.*, hallucinations and delusions), dementia, paranoia, attention deficit disorder, psychosexual disorders, sleeping disorders, pain disorders, eating or weight disorders (*e.g.*, obesity, cachexia, anorexia nervosa, and bulemia) and cancers and tumors (*e.g.*, pituitary tumors) of the CNS.

Disorders of the CNS include ophthalmic disorders involving the retina, posterior tract, and optic nerve (e.g., retinitis pigmentosa, diabetic retinopathy and other retinal degenerative diseases, uveitis, age-related macular degeneration, glaucoma).

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Most, if not all, ophthalmic diseases and disorders are associated with one or more of three types of indications: (1) angiogenesis, (2) inflammation, and (3) degeneration. The delivery vectors of the present invention can be employed to deliver anti-angiogenic factors; anti-inflammatory factors; factors that retard cell degeneration, promote cell sparing, or promote cell growth and combinations of the foregoing.

Diabetic retinopathy, for example, is characterized by angiogenesis. Diabetic retinopathy can be treated by delivering one or more anti-angiogenic factors either intraocularly (e.g., in the vitreous) or periocularly (e.g., in the sub-Tenon's region). One or more neurotrophic factors may also be co-delivered, either intraocularly (e.g., intravitreally) or periocularly.

Uveitis involves inflammation. One or more anti-inflammatory factors can be administered by intraocular (e.g., vitreous or anterior chamber) administration of a delivery vector of the invention.

Retinitis pigmentosa, by comparison, is characterized by retinal degeneration. In representative embodiments, retinitis pigmentosa can be treated by intraocular (e.g., vitreal administration) of a delivery vector encoding one or more neurotrophic factors.

Age-related macular degeneration involves both angiogenesis and retinal degeneration. This disorder can be treated by administering the

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inventive delivery vectors encoding one or more neurotrophic factors intraocularly (e.g., vitreous) and/or one or more anti-angiogenic factors intraocularly or periocularly (e.g., in the sub-Tenon's region).

Glaucoma is characterized by increased ocular pressure and loss of retinal ganglion cells. Treatments for glaucoma include administration of one or more neuroprotective agents that protect cells from excitotoxic damage using the inventive delivery vectors. Such agents include N-methyl-D-aspartate (NMDA) antagonists, cytokines, and neurotrophic factors, delivered intraocularly, optionally intravitreally.

In other embodiments, the present invention may be used to treat seizures, *e.g.*, to reduce the onset, incidence and/or severity of seizures. The efficacy of a therapeutic treatment for seizures can be assessed by behavioral (*e.g.*, shaking, ticks of the eye or mouth) and/or electrographic means (most seizures have signature electrographic abnormalities). Thus, the invention can also be used to treat epilepsy, which is marked by multiple seizures over time.

In one representative embodiment, somatostatin (or an active fragment thereof) is administered to the brain using a delivery vector of the invention to treat a pituitary tumor. According to this embodiment, the delivery vector encoding somatostatin (or an active fragment thereof) is administered by microinfusion into the pituitary. Likewise, such treatment can be used to treat acromegaly (abnormal growth hormone secretion from the pituitary). The nucleic acid sequences (e.g., GenBank Accession No. J00306) and amino acid sequences (e.g., GenBank Accession No. P01166; contains processed active peptides somatostatin-28 and somatostatin-14) of somatostatins are known in the art.

In particular embodiments, the vector can comprise a secretory signal as described, e.g., in U.S. Patent No. 7,071,172.

In representative embodiments of the invention, the virus vector and/or virus capsid is administered to the CNS (e.g., to the brain or to the eye). The virus vector and/or capsid may be introduced into the spinal cord, brainstem (medulla oblongata, pons), midbrain (hypothalamus, thalamus, epithalamus, pituitary gland, substantia nigra, pineal gland), cerebellum, telencephalon (corpus striatum, cerebrum including the occipital, temporal, parietal and

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frontal lobes, cortex, basal ganglia, hippocampus and portaamygdala), limbic system, neocortex, corpus striatum, cerebrum, and/or inferior colliculus. The virus vector and/or capsid may also be administered to different regions of the eye such as the retina, cornea and/or optic nerve.

The virus vector and/or capsid may be delivered into the cerebrospinal fluid (e.g., by lumbar puncture) for more disperse administration of the delivery vector. The virus vector and/or capsid may further be administered intravascularly to the CNS in situations in which the blood-brain barrier has been perturbed (e.g., brain tumor or cerebral infarct).

The virus vector and/or capsid can be administered to the desired region(s) of the CNS by any route known in the art, including but not limited to, intrathecal, intracerebral, intraventricular, intravenous (e.g., in the presence of a sugar such as mannitol), intranasal, intra-aural, intra-ocular (e.g., intra-vitreous, sub-retinal, anterior chamber) and peri-ocular (e.g., sub-Tenon's region) delivery as well as intramuscular delivery with retrograde delivery to motor neurons.

In particular embodiments, the virus vector and/or capsid is administered in a liquid formulation by direct injection (e.g., stereotactic injection) to the desired region or compartment in the CNS. In other embodiments, the virus vector and/or capsid may be provided by topical application to the desired region or by intra-nasal administration of an aerosol formulation. Administration to the eye may be by topical application of liquid droplets. As a further alternative, the virus vector and/or capsid may be administered as a solid, slow-release formulation (see, e.g., U.S. Patent No. 7,201,898).

In yet additional embodiments, the virus vector can used for retrograde transport to treat and/or prevent diseases and disorders involving motor neurons (e.g., amyotrophic lateral sclerosis (ALS); spinal muscular atrophy (SMA), etc.). For example, the virus vector can be delivered to muscle tissue from which it can migrate into neurons.

Having described the present invention, the same will be explained in greater detail in the following examples, which are included herein for illustration purposes only and are not intended to be limiting to the invention.

## **EXAMPLES**

## Example 1. Engineering liver-detargeted AAV9 vectors for cardiac and musculoskeletal gene transfer

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Aspects of the present invention are directed to the development of a new class of AAV9-derived vectors displaying selective loss of liver tropism and demonstrating potential for cardiac and musculoskeletal gene transfer applications. Random mutagenesis of residues within a surface-exposed region of the major AAV9 capsid protein yielded a capsid library with mutations clustered at the icosahedral three-fold symmetry axes. Using a combination of sequence analysis, structural models, and in vivo screening, several functionally diverse AAV9 variants were identified. The latter were classified into three functional subgroups, with respect to parental AAV9 displaying: (I) decreased transduction efficiency across multiple tissues; (II) a selective decrease in liver transduction, or (III) a similar transduction profile. Notably, variants 9.45 and 9.61 (subgroup II) displayed 10 to 25-fold lower gene transfer efficiency in liver, while transducing cardiac and skeletal muscle as efficiently as AAV9. These results were further corroborated by quantitation of vector genome copies and histological analysis of reporter (tdTomato) gene expression. The study highlights the feasibility of generating AAV vectors with selectively ablated tissue tropism, which when combined with other targeting strategies could allow sharply segregated gene expression.

Generation of AAV9 capsid library. The AAV9 helper plasmid, pXR9, containing AAV2 *Rep* and AAV9 *Cap* genes was obtained from the UNC Vector Core. A random plasmid library was generated by subjecting the capsid region encoding amino acids 390 to 627 (VP1 numbering; Genbank® Database Accession No. AY530579.1) [43] to error-prone PCR using forward 5'-GGT CGT TCG TCC TTT TAC TGC CTG GAA-3' and reverse 5'-GCC GTC CGT GTG AGG AAT TTT GGC CCA-3' primers (Integrated DNA Technologies). Cycling was carried out as per manufacturer instructions outlined in the GeneMorph II EZ clone® domain mutagenesis kit (Agilent Technologies). Sequencing of individual clones was carried out by the UNC Genome Analysis facility and capsid sequences were analyzed using VectorNTI® software (Invitrogen).

Molecular modeling studies. Homology models of the VP3 monomer of AAV9 and different variants were generated using the SWISS-MODEL online 3D model building server [44] (http://swissmodel.expasy.org/) with the crystal structure of AAV8 as template (PDB ID: 2QA0) [26]. VP3 trimer models were obtained using the online oligomer generator tool in the VIPERdb2 database [45] (http://viperdb.scripps.edu/). Surface rendered depictions of amino acid positions and cartoon models were generated using the program Pymol (The PyMOL Molecular Graphics System, Schrödinger LLC, http://www.pymol.org/). Lastly, "roadmap" projections of the AAV9 capsid surface highlighting different amino acid residues were constructed using the RIVEM program [46].

Cell lines, plasmids and viruses. HEK 293 cells were maintained at 37°C in 5% CO2 in Dulbecco's modified Eagle's medium supplemented with 10% fetal bovine serum and penicillin-streptomycin-amphotericin B. Parental and variant pXR9 plasmid stocks were obtained from the randomized library described herein. The plasmid pXX6-80, containing adenoviral helper genes, was obtained from the UNC vector core. Vector cassettes, pTR-CBA-Luc, containing the chicken beta-actin (CBA) promoter-driven luciferase transgene and pTR-CBA-Tom, encoding tdTomato, a red fluorescent protein, were generated by ligating Luc/Tom inserts flanked by BamHI-NotI sites into the pTR-CBA backbone. Parental and variant AAV9 vectors were produced by the triple-transfection method followed by cesium chloride gradient ultracentrifugation and dialysis as described elsewhere [47]. Viral titers were determined by qPCR using a Roche Lightcycler® with primers specific for the CBA promoter (forward 5'-CGT CAA TGG GTG GAG TAT TT-3'; reverse 5'-GCG ATG ACT AAT ACG TAG ATG-3') or Luc transgene region (forward 5'-AAA AGC ACT CTG ATT GAC AAA TAC-3'; reverse 5'-CCT TCG CTT CAA AAA ATG GAA C-3').

Animal studies. At 8–10 weeks of age, animals were injected via the tail vein with a dose of 5×10<sup>10</sup> vector genome-containing particles of AAV9 and related variants packaging CBA-luc or CBA-tom vector cassettes. Luciferase expression in animals was imaged at different time intervals using a Xenogen IVIS Lumina<sup>®</sup> imaging system (Caliper Lifesciences) following intraperitoneal injection of D-luciferin substrate (120 mg/kg; Nanolight,

Pinetop, AZ). Bioluminescent image analysis was carried out using the Living Image<sup>®</sup> software.

Quantitation of luciferase expression. The same group of animals utilized for imaging studies were sacrificed at 4 weeks post-injection and the following organs collected: brain, heart, lung, liver and skeletal muscle (gastrocnemius). Approximately 50 mg of each tissue was homogenized in 150 µl of passive lysis buffer (Promega) using a Tissue lyser II<sup>®</sup> system (Qiagen). Tissue lysates were centrifuged at 8000 rpm for 2 min to pellet debris and 50 µl of the supernatant transferred to 96-well plates for luminometric analysis (Promega) using a Victor2® luminometer (Perkin Elmer). Total protein concentration in tissue lysates was determined using the Bradford assay (BioRad). For monitoring time course of gene expression, AAV9 and AAV9.45 vectors were administered at a dose of 5×10<sup>10</sup> vg/mouse to three different groups of animals. After being sacrificed at 1, 2 and 4 weeks, heart and liver tissue from each group were processed for quantitation of luciferase transgene expression as described herein. In order to determine the effect of vector dose on transgene expression level, AAV9 and AAV9.45 vectors were administered at three different doses: low (1×1010 vg per mouse), medium (5×10<sup>10</sup> vg per mouse) and high (1×10<sup>11</sup> vg per mouse). Animals were sacrificed at 2 weeks post-administration, following which heart and liver tissue were processed further for determination of luciferase transgene expression levels.

Quantitation of vector genomes. Approximately 100 µl of supernatant from tissue lysates obtained as described herein was processed using a DNeasy® kit (Qiagen) to extract host and vector genomic DNA. Vector genome (Luc) and mouse lamin gene (internal standard) copy numbers were determined from 100 ng of total extracted DNA using quantitative polymerase chain reaction (qPCR). Vector genome copy numbers in blood were determined at different time intervals following intravenous administration of 1×10<sup>10</sup> particles of AAV9 and related variants packaging the CBA-luc cassette. At 1, 24 and 48 hrs post-injection, 10 µl of whole blood was collected from the tail vein in heparinized capillary tubes (Fisherbrand Hematocrit<sup>®</sup>) and viral DNA was quantified by qPCR.

Histological analysis. Two weeks after intravenous administration of 5×10<sup>10</sup> particles of AAV9 and related variants packaging the CBA-tom cassette, mice were overdosed with intraperitoneal avertin (0.2 mL/10g of a 1.25% solution) and perfused transcardially with ice-cold phosphate buffered saline (PBS), then freshly prepared 4% paraformaldehyde in PBS. Heart and liver tissues were then fixed overnight at 4°C and 40 μm thick sections cut using a Leica<sup>®</sup> vibrating blade microtome. Tissue sections were then imaged using an Olympus fluorescence microscope equipped with a rhodamine filter (emission max: 580nm) and images were collected using a Hamamatsu digital camera.

Structural models reveal mutations clustered within the AAV9 VP3 trimer. Using error-prone PCR, a diverse AAV9 capsid library with focused mutations on the GH loop spanning amino acids 390-627 (AAV9 VP1 numbering) was generated. A total of 96 variants were sequenced, following which 43 viable clones were obtained (Table 6). Variants with stop codons, frame shift mutations and deletions/insertions were triaged. The variable loop region subjected to mutagenesis is highlighted in red in a model of the VP3 subunit and VP3 trimer of AAV9 (Figures 1A,B). Mapping of individual amino acid changes (red spheres) pertaining to each of the 43 different clones onto an AAV9 trimer model revealed clustering of mutations predominantly on the outer surface of VP3 (Figures 1C,D). Following this visualization, amino acid changes within beta strands and other regions that are highly conserved among different AAV strains where eliminated from further analysis. Lastly, a schematic "roadmap" projection of the AAV9 capsid model was generated to map the location of surface-exposed mutations (Figure 1E). Through this combination of sequence analysis and structural analysis, a subset of ten structurally diverse AAV9 surface variants (Table 1) were selected for vector production and characterization in vivo.

AAV9 variants display two distinct systemic transduction profiles. Ten AAV9 variants packaging the chicken beta-actin (CBA) promoter-driven firefly luciferase transgene were generated at titers within 2 to 3-fold that of parental AAV9 vectors. Bioluminescent images of mice injected through the tail vein with different AAV9 variants (5x10<sup>10</sup> vg/animal) were obtained at 4 weeks post-administration. A qualitative analysis of transduction patterns

revealed two distinct profiles, i.e., altered or unaltered when compared to parental AAV9 vectors (**Figure 2A,B**). First, as seen in **Figure 2A**, variant AAV9.11 appears to be a transduction-deficient variant. Marked changes in transduction profiles along with decreased transduction efficiencies in the liver region are also observed for variants 9.24, 9.45, 9.47 and 9.61. In addition, variant AAV9.68 appears to display a transduction profile favoring the liver. Secondly, as seen in **Figure 2B**, variants 9.9, 9.13, 9.16 and 9.84 display transduction profiles that remain largely unaltered compared to AAV9 vectors.

Transgene expression and biodistribution studies reveal three different functional phenotypes. Luciferase activities and vector genome copy numbers in tissue lysates were analyzed to compare transduction efficiencies of AAV9 and the variants in major organs including brain, heart, lung, liver and skeletal muscle. Consistent with previous reports, AAV9 displayed robust transduction in heart, liver, skeletal muscle and modest transgene expression levels in brain and lung [3, 4, 6]. In comparison, several variants displayed transduction efficiencies that ranged from several orders of magnitude lower than AAV9 within the liver to ~1 log unit lower in heart and skeletal muscle (Figure 3A). A similar trend was noted when comparing the vector genome copy numbers of variants with parental AAV9 in liver, with modest decrease in vector genome copies (5-fold or lower) within other tissues (Figure 3b). A specific functional subtype was assigned to each individual variant on the basis of their corresponding transduction efficiency and biodistribution profile as detailed herein.

Functional subtype I. Variants displaying a defective phenotype were assigned to functional subtype I (black bars, Figure 3A,B). First, AAV9.11 displayed a significant decrease in transduction efficiency across multiple organs ranging from 5-fold (lung) to 500-fold (liver). For this variant, decrease in vector genome copy numbers within respective tissue types was disproportionate, ranging from no significant change in skeletal muscle to ~ 1 log unit within the liver. Thus, in agreement with image analysis, AAV9.11 appears to be transduction-deficient. Variant AAV9.47 displays defective transduction levels ranging from 3-fold (heart) and 7-fold (skeletal muscle) to 110-fold (liver). A concomitant decrease in vector genome copy numbers (~4 to 140-fold) is seen in respective tissues. These results support the notion

that AAV9.47 might display a defective biodistribution profile, which in turn adversely affects transduction efficiency. Taken together, these results indicate that AAV9.11 and 9.47 constitute the functionally defective subtype I.

Functional subtype II. Variants significantly deficient in liver transduction, but showing modest-to-no change (~ 2-fold or lesser) in other tissue types were assigned to functional subtype II (white bars, Figure 3A,B). Specifically, variants 9.24, 9.45 and 9.61 displayed ~10 to 25-fold decrease in transduction levels within the liver. A corresponding decrease in vector genome copy numbers ranging from ~10 to 25 fold is also observed within the liver. The AAV9.24 variant displayed a modest, yet significant decrease (~2-fold) in transgene expression levels within the heart and brain. No marked changes in vector genome copy numbers within tissue types other than the liver were observed. Thus, variants 9.24, 9.45 and 9.61 were categorized as liver-detargeted AAV9 variants under the functional subtype II.

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Functional subtype III. Variants displaying a transduction profile and biodistribution largely similar to AAV9 in multiple tissue types were assigned to functional subtype III (gray bars, Figure 3A,B). Specifically, AAV9.13 and 9.68 displayed a modest increase (~3 to 5-fold) in transduction efficiency as well as vector genome copy number within different tissue types. Interestingly, AAV9.68 displayed a slightly increased propensity for liver transduction in comparison with AAV9 and other variants as demonstrated by the lower heart-to-liver ratio for gene expression (~3-fold) and vector genome copy number (~5-fold) (Figure 6A,B). Variants 9.9, 9.16 and 9.84 transduced most tissue types as efficiently as parental AAV9 vectors. The latter subset of AAV9 variants displayed ~2 to 5-fold decrease in transduction levels within the liver and a concomitant decrease in vector genome copy numbers (~2 to 3-fold). Taken together, AAV9.13 and 9.68 were assigned under functional subtype III, while AAV9.9, 9.16 and 9.84 appear to overlap between functional subtypes II and III.

Kinetics of transgene expression and dose response profile of AAV9.45 is distinct from AAV9. The effects of vector dose and time course of transgene expression on AAV9 and AAV9.45 vectors were determined. As shown in Figure 4A, both AAV9 and AAV9.45 demonstrate similar kinetics of transgene expression in the heart. However, in the case of liver, transduction

levels achieved by AAV9.45 appear to reach a maximum after 1 week, while AAV9 continues to increase by > 1 log unit over 4 weeks (**Figure 4B**). In order to gather further insight into the biology of functional subtype II vectors, the effect of increasing vector dose on transduction efficiency of AAV9 and AAV9.45 following intravenous administration was analyzed. Although the dose response profile appears similar between AAV9 and AAV9.45 in heart (**Figure 5A**), the latter displays consistently lower transgene expression (~3 to 45-fold) in comparison with AAV9 in the liver (**Figure 5B**). A potential explanation for this phenomenon is the saturation of peripheral organs with AAV9.45 vectors at high dose resulting in increased uptake within the liver.

Variant AAV9.45 is muscle-tropic and efficiently detargeted from the liver. The relative tropism of different AAV9 variants for the heart when compared to liver was analyzed. Briefly, ratios of transgene expression levels as well as vector genome copy numbers in heart and liver tissue lysates were obtained and plotted as shown (Figure 6A,B). Variants 9.16, 9.24, 9.9, 9.61, 9.47, 9.11 and 9.45 displayed heart-to-liver ratios for gene expression ~4 to 40-fold higher than parental AAV9 vectors (Figure 6A). A concomitant increase in heart-to-liver ratio for vector genome copy number ranging from ~3 to 35-fold was observed (Figure 6B). Since 9.11 and 9.47 are classified under defective subtype I, variant AAV9.45 appears to display the highest preference for cardiac transduction. The aforementioned results were further corroborated by histological analysis (Figure 6C). Briefly, AAV9 and AAV9.45 vectors packaging a CBA promoter-driven tdTomato reporter transgene were injected through the tail vein, following which liver, cardiac and skeletal muscle tissue were harvested at 2 weeks post-administration. Fluorescence microscopy of fixed tissue sections confirms that AAV9.45 is cardiac- and skeletal muscle-tropic, while being efficiently detargeted from the liver. In contrast, AAV9 vectors demonstrate robust transduction in all three tissue types as reported previously [3].

An important finding in the current study is the discovery of mutations that result in defective phenotypes. Subtype I includes two variants, AAV9.11 and 9.47 with distinct defects. Mutant 9.11 carries two mutations, T568P and Q590L, which results in a transduction-deficient phenotype. The T568P mutation is buried within the AAV9 trimer and does not appear to impact

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capsid assembly or packaging efficiency as indicated by viral titers (**Table 7**). The Q590L mutation is located within the variable region VIII described by Govindasamy *et al.* [24]. Other variants carrying mutations in the AAV9 inner loop region (residues 590-595, VP1 numbering) include AAV9.9 (W595C) and AAV9.16 (Q592L), which display a modest decrease in transduction efficiency within the liver in comparison with the parental AAV9.

Variant AAV9.47 displayed a defective biodistribution profile, which in turn adversely affected transduction efficiency. Consistent with this defective profile, AAV9.47 is rapidly eliminated from blood circulation when compared to AAV9, AAV9.45 and AAV9.68 vectors (**Figure 8**). One plausible explanation for the latter phenotype is the contribution of G453D and K557E mutations to negatively charged clusters on the AAV9.47 surface (**Figure 1E**), thereby making the capsid prone to rapid blood clearance.

The current study revealed the role played by specific amino acid residues in conferring liver tropism to AAV9 vectors. Specifically, subtype II variants 9.24, 9.45 and 9.61 appear to possess mutations (at positions N498 and W503) that cluster within variable region V. Further, AAV9.68, a subtype III variant containing a P504T mutation showed preferential liver tropism as demonstrated by a decrease in heart-to-liver transgene expression ratio when compared to AAV9. This region (residues 498-504) is located behind the inner loop residues 590-595 at the three-fold symmetry axis (**Figure 1E**). Further optimization of AAV9-derived vectors by varying amino acid residues at different positions and/or combining multiple point mutations (e.g., N498, W503 and W595) onto a single AAV9 capsid template could improve liver detargeting efficiency.

Analysis of structural features of different variants in this study suggests that residues 498-504 taken together with the adjacent 590-595 cluster contain key residues (N498, W503, P504, Q590L) that might constitute a partial receptor footprint on the AAV9 capsid (**Figure 7**). Consequently, one explanation is that altered affinity for an AAV9 receptor could, in turn, affect liver tropism.

Liver-detargeted AAV9 variants demonstrate significant potential for gene transfer in the treatment of cardiac and musculoskeletal diseases. Further optimization of liver-detargeted AAV9 vectors with transcriptional

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targeting elements such as cardiac or muscle-specific promoters [39, 40] or microRNA-122 target sequences [41, 42] would allow selective delivery of therapeutic transgenes to heart and/or skeletal muscle.

Studies on binding affinity of AAV9 mutants to galactosylated glycans on Chinese Hamster Ovary (CHO) cells. The experiments of Figures 9 and 10 were carried out to evaluate the binding of different AAV9 mutants to galactosylated glycans on CHO cells. Briefly, cells were incubated with different titers of virus particles at 4°C and the amount of virus bound was calculated (after washing off unbound virus) using quantitative PCR. The data obtained were then fit to a single site binding model and binding parameters calculated as described in Figure 10. The results confirm that AAV9 mutants detargeted from the liver display low glycan binding potential. AAV9 vectors comprising capsid proteins comprising one or more mutations resulting in reduced glycan binding affinity have utility in gene transfer protocols in therapeutic applications where the liver is not the primary organ of interest.

The foregoing is illustrative of the present invention, and is not to be construed as limiting thereof. The invention is defined by the following claims, with equivalents of the claims to be included therein.

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TABLE 1	GenBank <sup>®</sup> Database Accession Number		GenBank® Database Accession Number		GenBank® Database Accession Number
Complete Genomes	1,44.00	Hu T88	AY695375	Clade E	
Adeno-associated	NC_002077,AF0 63497	Hu T71	AY695374	Rh38	AY530558
virus 1 Adeno-associated	NC_001401	Hu 170	AY695373	Hu66	AY530626
virus 2 Adeno-associated	NC_001729	Hu T40	AY695372	Hu42	AY530605
virus 3 Adeno-associated	NC_001863	IIu T32	AY695371	Hu67	AY530627
virus 3B Adeno-associated virus 4	NC_001829	Hu T17	AY695370	Hu40	AY530603
Adeno-associated	Y18065,	Hu LG15	AY695377	Hu41	AY530604
virus 5 Adeno-associated	AF085715 NC_001862			Hu37	AY530600
virus 6 Avian AAV ATCC VR-865	AY186198, AY629583, NC 004828	Clade C		Rh40	AY530559
Avian AAV strain DA-1	NC_006263, AY629583	Hu9	AY530629	Rh2	AY243007
Bovine AAV	NC_005889, AY388617	Hu10	AY530576	Bb1	AY243023
	711300017	Hull	AY530577	Bb2	AY243022
Clade A AAV1	NC_002077,AF0	Hu53 Hu55	AY530615 AY530617	Rh10 Hu17	AY243015 AY530582
AAV6	63497 NC 001862	Hu54	AY530616	Hu6	AY530621
Hu,48	AY530611	Hu7	AY530628	Rh25	AY530557
Hu 43	AY530606	Hul8	AY530583	Pi2	AY530554
Hu 44	AY530607	Hul5	AY530580	Pi1	AY530553
IIu 46	AY530609	Hul6	AY530581	Pi3	AY530555
110 40	A1550005	Hu25	AY530591	Rh57	AY530569
Clade B		Hu60	AY530622	Rh50	AY530563
Hu. 19	AY530584	Ch5	AY243021	Rh49	AY530562
Hu. 20	AY530586	Hu3	AY530595	Hu39	AY530601
Hu 23	AY530589	Hu1	AY530575	Rh58	AY530570
Hu22	AY530588	Hu4	AY530602	Rh61	AY530572
Hu24	AY530590	Hu2	AY530585	Rh52	AY530565
Hu21	AY530587	Hu61	AY530623	Rh53	AY530566
Hu27	AY530592			Rh51	AY530564
Hu28	AY530593	Clade D		Rh64	AY530574
Hu 29	AY530594	Rh62	AY530573	Rh43 AAV8	AY530560 AF513852
Hu63	AY530624	Rh48 Rh54	AY530561 AY530567	Rh8	AY242997
Hu64	AY530625	Rh55	AY530568	Rh1	AY530556
Hu13	AY530578 AY530618	Cy2	AY243020	Mii	A1330330
Hu56 Hu57	AY530619	AAV7	AF513851	Clade F	
Hu49	AY530612	Rh35	AY243000	Hu14 (AAV9)	AY530579
Hu58	AY530620	Rh37	AY242998	Hu31	AY530596
Hu34	AY530598	Rh36	AY242999	Hu32	AY530597
IIu35	AY530599	Cy6	AY243016		
AAV2	NC_001401	Cy4	AY243018	Clonal Isolate	
Hu45	AY530608	Суз	AY243019	AAV5	Y18065, AF085716
Hu47	AY530610	Cy5	AY243017	AAV 3	NC_001729
Hu51	AY530613	Rh13	AY243013	AAV 3B	NC_001863
Hu52	AY530614			AAV4	NC 001829
Hu T41	AY695378			Rh34	AY243001
Hu S17	AY695376			Rh33	AY243002
				Rh32	AY243003

TABLE 2

	Abbreviation		
Amino Acid Residue	Three-Letter	One-Letter Code (can be	
	Code	upper or lower case)	
Alanine	Ala	A	
Arginine	Arg	R	
Asparagine	Asn	N	
Aspartic acid (Aspartate)	Asp	D	
Cysteine	Cys	С	
Glutamine	Gln	Q	
Glutamic acid (Glutamate)	Glu	E	
Glycine	Gly	G	
Histidine	His	Н	
Isoleucine	lle	I	
Leucine	Leu	L	
Lysine	Lys	K	
Methionine	Met	M	
Phenylalanine	Phe	F	
Proline	Pro	Р	
Serine	Ser	S	
Threonine	Thr	Т	
Tryptophan	Trp	W	
Tyrosine	Tyr	Υ	
Valine	Val	V	

TABLE 3

Modified Amino Acid Residue	Abbreviation
Amino Acid Residue Derivative	es
2-Aminoadipic acid	Aad
3-Aminoadipic acid	bAad
beta-Alanine, beta-Aminoproprionic acid	bAla
2-Aminobutyric acid	Abu
4-Aminobutyric acid, Piperidinic acid	4Abu
6-Aminocaproic acid	Acp
2-Aminoheptanoic acid	Ahe
2-Aminoisobutyric acid	Aib
3-Aminoisobutyric acid	bAib
2-Aminopimelic acid	Apm
t-butylalanine	t-BuA
Citrulline	Cit
Cyclohexylalanine	Cha
2,4-Diaminobutyric acid	Dbu
Desmosine	Des
2,2'-Diaminopimelic acid	Dpm
2,3-Diaminoproprionic acid	Dpr
N-Ethylglycine	EtGly
N-Ethylasparagine	EtAsn
Homoarginine	hArg
Homocysteine	hCys
Homoserine	hSer
Hydroxylysine	Hyl
Allo-Hydroxylysine	aHyl
3-Hydroxyproline	ЗНур
4-Hydroxyproline	4Нур
Isodesmosine	Ide
allo-Isoleucine	alle
Methionine sulfoxide	мѕо

Modified Amino Acid Residue	Abbreviation
Amino Acid Residue Derivativ	es
N-Methylglycine, sarcosine	MeGly
N-Methylisoleucine	Melle
6-N-Methyllysine	MeLys
N-Methylvaline	MeVal
2-Naphthylalanine	2-Nal
Norvaline	Nva
Norleucine	Nie
Ornithine	Orn
4-Chlorophenylalanine	Phe(4-CI)
2-Fluorophenylalanine	Phe(2-F)
3-Fluorophenylalanine	Phe(3-F)
4-Fluorophenylalanine	Phe(4-F)
Phenylglycine	Phg
Beta-2-thienylalanine	Thi

**TABLE 4** 

Serotype	Position 1	Position 2	
AAV1	A263X	T265X	
AAV2	Q263X	-265X	
AAV3a	Q263X	-265X	
AAV3b	Q263X	-265X	
AAV4	S257X	-259X	
AAV5	G253X	V255X	
AAV6	A263X	T265X	
AAV7	E264X	A266X	
AAV8	G264X	S266X	
AAV9	S263X	S265X	

Where, (X) → mutation to any amino acid

(-) → insertion of any amino acid

Note: Position 2 inserts are indicated by the site of insertion

TABLE 5.

Variant	Point Mutations	Functional Subtype*					
9.9	W595C	11 / 111					
9.11	T568P, Q590L	l					
9.13	N457H , T574S	III					
9.16	Q592L	11 / 111					
9.24	W503R	ii ii					
9.45	N498Y , L602F	ll ll					
9.47	S414N , G453D , K557E , T582I	I					
9.61	N498I	II II					
9.68	P504T	III					
9.84	P468T, E500D	117111					
9.98	R514A	I					
* I - tr	* I - transduction-deficient; II - liver-detargeted; III - similar to						
	AAV9						

variants*	ı
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17280 - 1743 - 1743 - 1773   1743 - 1774   1743 - 1744   1743 - 1744   1743 - 1744   1743 - 1744   1743 - 1744   1743 - 1744   1743 - 1744   1743 - 1744   1743 - 1744   1743 - 1744   1744   1744		CAEGAC DESCH						
Titado F4197   Titago F4197   Tita	000	T14180 - VA720	T4436 - 1479K					
T128GC E4175	4.6	T1238A - F413Y	200					
A1730 A1700 A1700 A16420 - T948A	46	T1250C - F417S	A1617T - NC					
T12214 - E411    T12215 - E4121    T12215 -	9.5	A1235G - 0412R	A1314T - NC	A1642G - T548A	C1760T - A587V			
G120A. NC   G178C. N6SEC	9.6	T1231A - F4111						
A1880C NAGTH   A1700C - 1565P	9.6	G1203A - NC	G1785T - W595C					
MANAGEN NECT   MATOZET - 1568P   MATOSET - 10690L	9.10	A1500G - NC	T1676C - N559T					
A1389C NASTH A1720T 1574S   T1520C NG   T1530C NG   T1530A L647H   T1532C NG   T1530A L647H   T1532C NG   T1530A L647H   T1532C NG   T1530A L647H   T1532C NG   T1530C NG	9.11	A1425T - NC	A1702C - T568P	A1769T - C590L				
17840A - 1487H	9.13	A1369C - N457H	A1720T - 1574S					
A1731G - WG0RA	9.14	T1340A - L447H	T1362C - NC	T1560C - NC	G1713A - NC			
T1607C - W1607R	9.16	A1775T - Q592L						
A1350 V446C	9.24	T1507C - W503R	T1521G - NC					
A1687C D558A	9.26	A1337G - Y446C	A1769C - Q590P					
A1281 - C47941 - NC	9.33	A1667C - D556A						
A1289 - Q420L	9.34	A1534G - N512D	C1794T - NC					
A1884T - E566V	9.35	A1289T - Q430L	T1450A - Y484N	C1494T - NC	A1515T - NC	C1794A - N598K	G1816A - V605I	
A1348T - T480S	9.40	A1694T - E565V						
A1684C N682H	9.41	A1348T - T450S	T1362C - NC					
March   Marc	4.6	A1684C - N562H	A1701T - K567N	A1737G -NC				
C1441C - G48TR	9.45	A1492T - N498Y	C1804T - L602F					
C1447 - S414N   C1388A - G462D   A1669G - K657E   C17451 - T582    C1441 - P6214   C1831 - NC   C14451 - P6214   C1831 - NC   C1641 - A555	9.46	G1441C - G481R	T1525C - W509R	T1549G - L517V				
C1445T - P421L	9.47	G1241A - S414N	G1358A - G453D	A1669G - K557E	C1745T - T582I			
C1821A - R.4340	9.48	C1445T - P482L	A1736T - Q579L					
G1301A - R434Q	9.50	A1638T - Q546H	C1683T - NC	T1805A - L302H				
T1805A - L511	9.53	G1301A - R434Q	A1405C - S469R	C1664T - A555V	G1811T - G504V			
T1836A F52L	9.54	C1531A - L5111	T1609A - L537M					
C14751 - 1492  C1579A - H527N	9.55	T1605A - F535L						
T1336C - Y446H   A1617T - NC	89.6	C1475T - T492I	C1579A - H527N					
C14331 - M4831	9.59	T1336C - Y446H						
C1531A - L511         A1617T · NC         C1668A - A623D         C1336T · NC         C1650A - B64T         C1530C · NC         C1668A - A623D         C1510A - B64T         C1510A - B64	9.61	A1493T - N438I						
C15151A- PG21A C15161A- PG21A C15161A- PG21A G1441A - G481R A13141- NC A1341A - G481R A1341A- NC A15041- F600 T1464C- NC T1468C- NC T1316G - L439R A1531G - S450G A1721G - S450G A1721G - G472A A1731G - G472A A1731G - G472A A1731G - G472A A1731G - G473A	9.64	C1531A - L5111	A1617T - NC					
C1510A - P547T   C14141 - G181R   C14141 - G181R   C1402A - P547T   C1402A - P548T   C1402A - P548T   C1402A - P548T   C1402A - P488T   C1402A - P488T   C1486C - S480P   C1782G - NC   T1484C - NC   T1486C - NC   T1486C - NC   T1486C - NC   T1316G - L439R   A1631C - S480C   A1	9.65	C1335T - NC	T1530C - NC	C1568A - A523D				
G1441A - G487R   G4184C - E66SG   A1751T - H584L   C1402A - 7485T   A1694C - E66SG   A1751T - H584L   C1402A - 7485T   A1600T - E600D   T1484C - NC   T1486C - C490P   T1316G - L458R   A1621G - A1487R   A1638C - C546H   C1712T - P571L   G1732A - G578R   A1741T - T582S   T1605A - 7535L   C1725C - C546H   C1712T - P571L   G1732A - G578R   A1744T - T582S   C1725C - C546H   C	9.68	C1510A - P504T						
A13147 - NC   A1694G - E685G   A17517 - H584L   C1402A - P488T   A1500T - E600D   T1468C - NC   T1468C - NC   T1468C - NC   T1316G - L439R   A1683T - K528    C1782G - NC   T1806C - N	9.80	G1441A - G481R						
C1402A P 488T         A1500T - E600D           T1464C - NG         T1468C - S49P           A1196T - Y39F         C1782C - NG           T1316G - L439R         A163T - K528I           C7782C - NG         T1806C - NG           A1273G - S428G         A1421G - Q474R         A1638C - C546H           C1772T - P571L         G1732A - G578R         A174T - T582S           T1605A - F536L         F536L	9.83	A1314T - NC	A1694G - E565G	A1751T - H584L				
T1484C NG   T1488C - S480P	9.84	C1402A - P468T	A1500T - E500D					
A1196T - Y389F	9.87	T1464C - NC	T1468C - S490P		***************************************			
T1316G - L439R	9.90	A1196T - Y399F						
A1273G - 9476R A1638C - C546H C1712T - P571L G1732A - G578R A1744T - T582S A17675T - M538L A17675T - M538L A17675T - M558L A17	9.94	T1316G - L439R	A1583T - K528i	C1782G - NC	T1806C - NC			
	9.93	A1273G - S425G	A1421G - Q474R	A1638C - C546H	C1712T - P571L	G1732A - G578R	A1744T - T582S	A1832T - D611V
	9.94	A1675T - M559L						
	9.95	T1605A - F535L						

\* List of point mutations in 43 viable AAV9 variants obtained after screening 95 clones. The remaining clones had stop codons, silent mutations, insertions and deletions leading to frame shift. NC - no change of amino acid (silent mutation)

TABLE 7. Vector Genome Titers

Variant	vg/µl*
AAV9	3.36E+08
9.9	9.41E+08
9.11	7.33E+08
9.13	6.56E+08
9.16	6.27E+08
9.24	3.09E+08
9.45	2.38E+08
9.47	3.41E+08
9.61	3.49E+08
9.68	2.13E+08
9.84	5.47E+08

<sup>\*</sup> Titers are average of two sample titers

TABLE 8

VARIANT: 9.24 / MUTATION SHOWN: W503R

Serotype	Position	Residue
AAV9	503	w
AAV1	503	W
AAV2	502	W
AAV3	503	W
AAV4	502	I
AAV5	489	F
AAV6	503	. W
AAV7	505	w
AAV8	505	W
AAV10	505	W
AAV11	501	Ĺ
AAV12	510	L

VARIANT: 9.45 / MUTATIONS SHOWN: N498Y, L602F

Serotype	Position	Residue	Serotype	Position	Residue
AAV9	498	N	AAV9	602	L
AAV1	498	N	AAV1	602	L
AAV2	497	N	AAV2	601	L
AAV3	498	N	AAV3	602	L
AAV4	497	G	AAV4	600	V
AAV5	484	Α	AAV5	591	٧
AAV6	498	N	AAV6	602	L
AAV7	500	N	AAV7	603	L
AAV8	500	N	AAV8	604	L
AAV10	500	Ni .	AAV10	604	L
AAV11	496	G	AAV11	599	L
AAV12	505	G	AAV12	608	٧

VARIANT: 9.47 / MUTATIONS SHOWN: \$414N, K557E, T582I

			Position	Residue	Serotype	Position	Residue
414	S	AAV9	557	K	AAV9	582	Т
413	S	AAV1	557	N	AAV1	582	V
412	S	AAV2	55 <b>6</b>	к	AAV2	581	T
412	S	AAV3	557	N	AAV3	582	N
406	Т	AAV4	555	T	AAV4	580	G
405	Т	AAV5	546	N	AAV5	571	Т
413	S	AAV6	557	N	AAV6	582	٧
414	S	AAV7	558	N	AAV7	583	S
415	T	8VAA	559	D	AAV8	584	D
415	S	AAV10	559	S	AAV10	584	D
405	Α	AAV11	554	N	AAV11	579	D
414	S	AAV12	563	N	AAV12	588	D
	413 412 412 406 405 413 414 415 415	413 S 412 S 412 S 412 S 406 T 405 T 413 S 414 S 415 T 415 S 405 A	413 S AAV1 412 S AAV2 412 S AAV3 406 T AAV4 405 T AAV5 413 S AAV6 414 S AAV7 415 T AAV8 415 S AAV10 405 A AAV11	413     S     AAV1     557       412     S     AAV2     556       412     S     AAV3     557       406     T     AAV4     555       405     T     AAV5     546       413     S     AAV6     557       414     S     AAV7     558       415     T     AAV8     559       415     S     AAV10     559       405     A     AAV11     554	413     S     AAV1     557     N       412     S     AAV2     556     K       412     S     AAV3     557     N       406     T     AAV4     555     T       405     T     AAV5     546     N       413     S     AAV6     557     N       414     S     AAV7     558     N       415     T     AAV8     559     D       415     S     AAV10     559     S       405     A     AAV11     554     N	413       S       AAV1       557       N       AAV1         412       S       AAV2       556       K       AAV2         412       S       AAV3       557       N       AAV3         406       T       AAV4       555       T       AAV4         405       T       AAV5       546       N       AAV5         413       S       AAV6       557       N       AAV6         414       S       AAV7       558       N       AAV7         415       T       AAV8       559       D       AAV8         415       S       AAV10       559       S       AAV10         405       A       AAV11       554       N       AAV11	413       S       AAV1       557       N       AAV1       582         412       S       AAV2       556       K       AAV2       581         412       S       AAV3       557       N       AAV3       582         406       T       AAV4       555       T       AAV4       580         405       T       AAV5       546       N       AAV5       571         413       S       AAV6       557       N       AAV6       582         414       S       AAV7       558       N       AAV7       583         415       T       AAV8       559       D       AAV8       584         415       S       AAV10       559       S       AAV10       584         405       A       AAV11       554       N       AAV11       579

## SEQUENCE LISTING IN ELECTRONIC FORM

In accordance with Section 111(1) of the Patent Rules, this description contains a sequence listing in electronic form in ASCII text format (file: 81773115 Seq 09-NOV-17 v1.txt).

A copy of the sequence listing in electronic form is available from the Canadian Intellectual Property Office.

## **CLAIMS**:

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- 1. An adeno-associated virus (AAV) serotype 9 (AAV9) or Clade F adeno-associated virus (AAV) capsid protein, wherein the capsid protein comprises a substitution in one or more of amino acids N498, S499, E500, F501, A502, W503 and P504 (according to AAV9 VP1 numbering), in any combination, wherein the substitution in the capsid protein results in an AAV9 or Clade F viral phenotype of decreased transduction of liver cells as compared to transduction of another target tissue when compared to that of the same virus lacking said substitution.
- The AAV9 or Clade F AAV capsid protein of claim 1, wherein the target
   tissue is selected from the group consisting of skeletal muscle, cardiac muscle,
   central nervous system, lung, and combinations thereof.
  - 3. The AAV9 or Clade F AAV capsid protein of claim 1, further comprising a mutation in one or more amino acids in regions 590-595 and/or 582-587 (according to AAV9 VP1 numbering).
- The AAV9 or Clade F AAV capsid protein of claim 1, comprising a W503R substitution, a N498Y substitution, a E500D substitution, or any combination thereof.
- The AAV9 or Clade F AAV capsid protein of claim 1, comprising a
   W503R substitution, a N498I substitution, a E500D substitution, or any combination
   thereof.
  - An adeno-associated virus (AAV) serotype 9 (AAV9) or Clade F adeno-associated virus (AAV) capsid protein comprising a W503R substitution (according to AAV9 VP1 numbering), wherein the substitution in the capsid protein results in an AAV9 or Clade F viral phenotype of decreased transduction of liver cells as compared to transduction of another target tissue when compared to that of the same virus lacking said substitution.

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- An adeno-associated virus (AAV) serotype 9 (AAV9) or Clade F adeno-associated virus (AAV) capsid protein comprising a N498Y substitution (according to AAVP1 numbering), wherein the substitution in the capsid protein results in an AAV9 or Clade F viral phenotype of decreased transduction of liver cells as compared to transduction of another target tissue when compared to that of the same virus lacking said substitution.
- 8. The AAV9 or Clade F AAV capsid protein of claim 7, further comprising a L602F mutation.
- 9. An adeno-associated virus (AAV) serotype 9 (AAV9) or Clade F adenoassociated virus (AAV) capsid protein comprising a N498I substitution (according to AAV9 VP1 numbering), wherein the substitution in the capsid protein results in an AAV9 or Clade F viral phenotype of decreased transduction of liver cells as compared to transduction of another target tissue when compared to that of the same virus lacking said substitution.
- 15 10. An adeno-associated virus (AAV) serotype 9 (AAV9) or Clade F adeno-associated virus (AAV) capsid protein, wherein the capsid protein comprises a substitution in one or more of amino acids N498, S499, E500, F501, A502, W503 and P504 (according to AAV9 VP1 numbering), in any combination, wherein the substitution in the capsid protein results in an AAV9 or Clade F viral phenotype of reduced glycan binding affinity as compared to that of the same virus lacking said substitution.
  - 11. The AAV9 or Clade F AAV capsid protein of claim 10, further comprising a mutation in one or more amino acids in regions 590-595 and/or 582-587 (according to AAV9 VP1 numbering).
- 25 12. The AAV9 or Clade F AAV capsid protein of claim 10, comprising a W503R substitution, a N498Y substitution, a E500D substitution, or any combination thereof.

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- 13. The AAV9 or Clade F AAV capsid protein of claim 10, comprising a W503R substitution, a N498I substitution, a E500D substitution, or any combination thereof.
- 14. An adeno-associated virus (AAV) serotype 9 (AAV9) or Clade F adeno-associated virus (AAV) capsid protein comprising a W503R substitution (according to AAV9 VP1 numbering), wherein the substitution in the capsid protein results in an AAV9 or Clade F viral phenotype of reduced glycan binding affinity as compared to that of the same virus lacking said substitution.
- 15. An adeno-associated virus (AAV) serotype 9 (AAV9) or Clade F adeno-associated virus (AAV) capsid protein comprising a N498Y substitution (according to AAV9 VP1 numbering), wherein the substitution in the capsid protein results in an AAV9 or Clade F viral phenotype of reduced glycan binding affinity as compared to that of the same virus lacking said substitution.
- 16. The AAV9 or Clade F AAV capsid protein of claim 15, further15 comprising a L602F mutation.
  - An adeno-associated virus (AAV) serotype 9 (AAV9) or Clade F adeno-associated virus (AAV) capsid protein comprising a N498I substitution (according to AAV9 VP1 numbering), wherein the substitution in the capsid protein results in an AAV9 or Clade F viral phenotype of reduced glycan binding affinity as compared to that of the same virus lacking said substitution.
  - 18. An AAV capsid comprising the AAV capsid protein of any one of claims 1 to 17.
  - 19. A virus vector comprising:
    - (a) the AAV capsid of claim 18; and
- 25 (b) a nucleic acid comprising at least one terminal repeat sequence,

wherein the nucleic acid is encapsidated by the AAV capsid.

- 20. A composition comprising the virus vector of claim 19 in a pharmaceutically acceptable carrier.
- 21. Use of the virus vector of claim 19 for introducing the nucleic acid into a cell.
  - 22. Use of the virus vector of claim 19 for delivering the nucleic acid to a subject in need thereof.
  - 23. The use of claim 22, wherein the subject is a human subject.



FIG. 1A

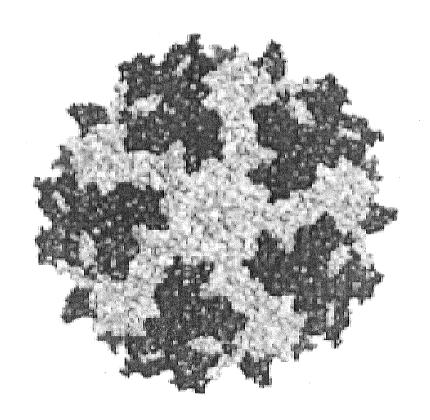


FIG. 1B

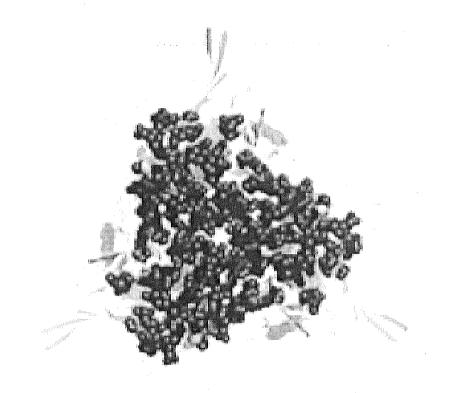


FIG. 1C

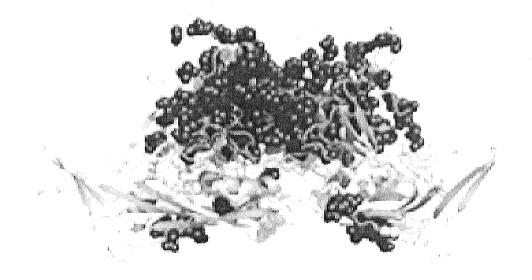


FIG. 1D

WO 2012/109570

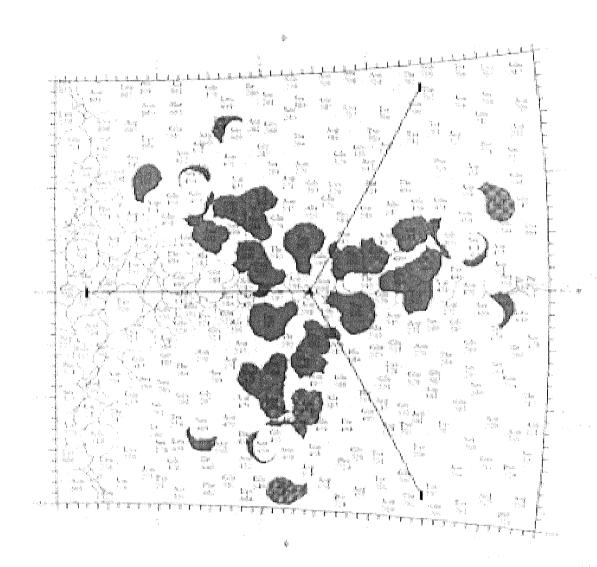


FIG. 1E

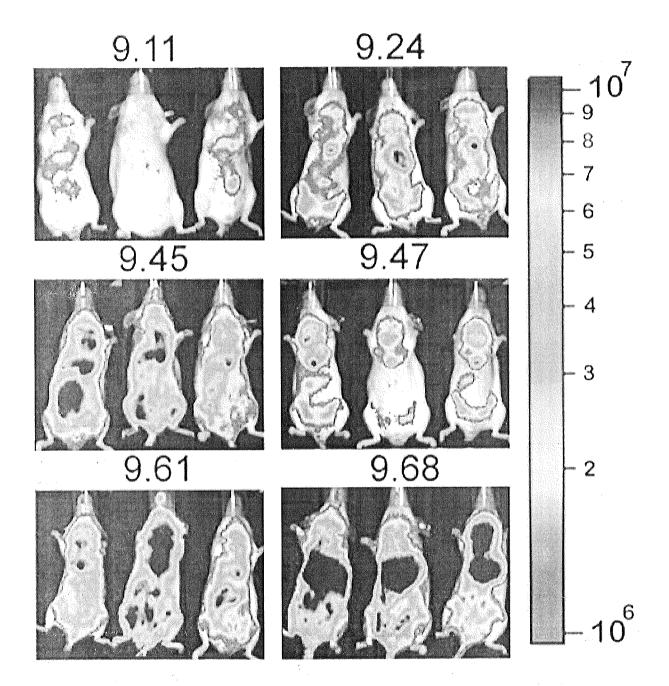


FIG. 2A

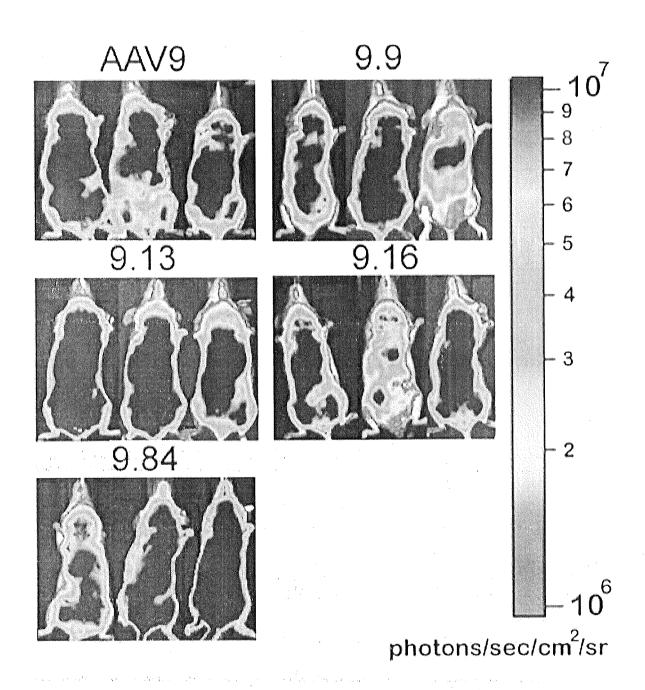
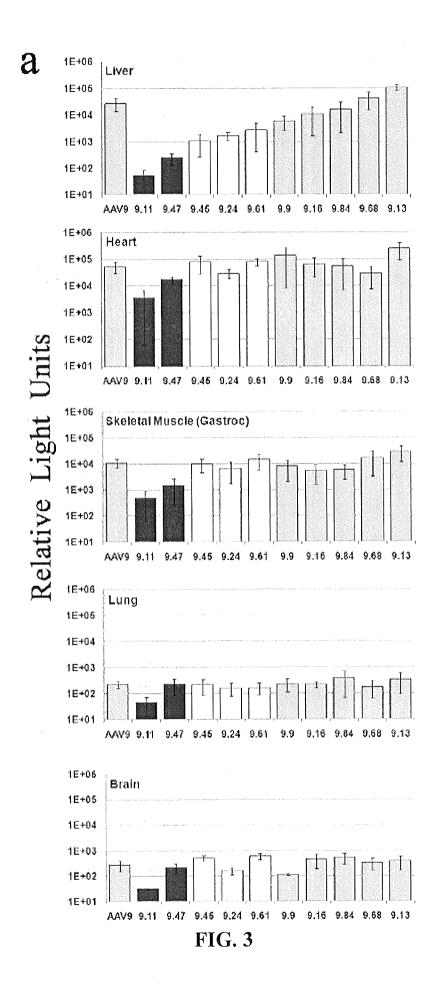
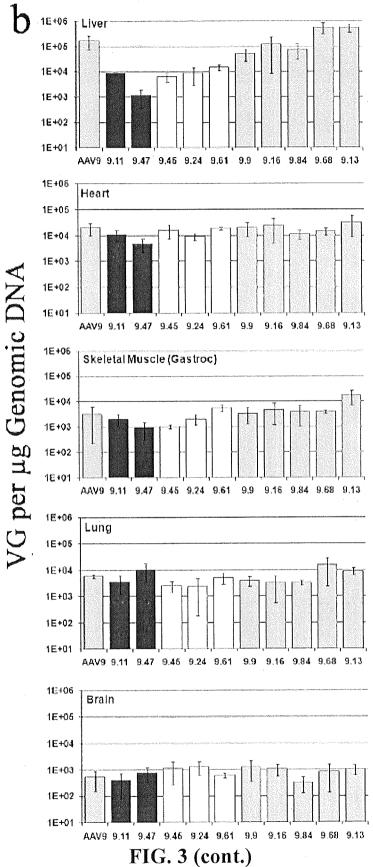


FIG. 2B





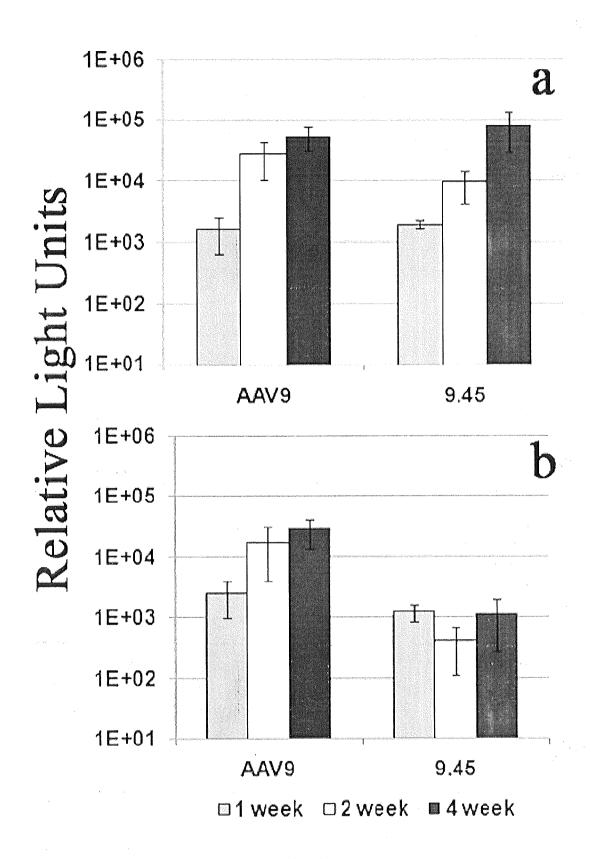


FIG. 4

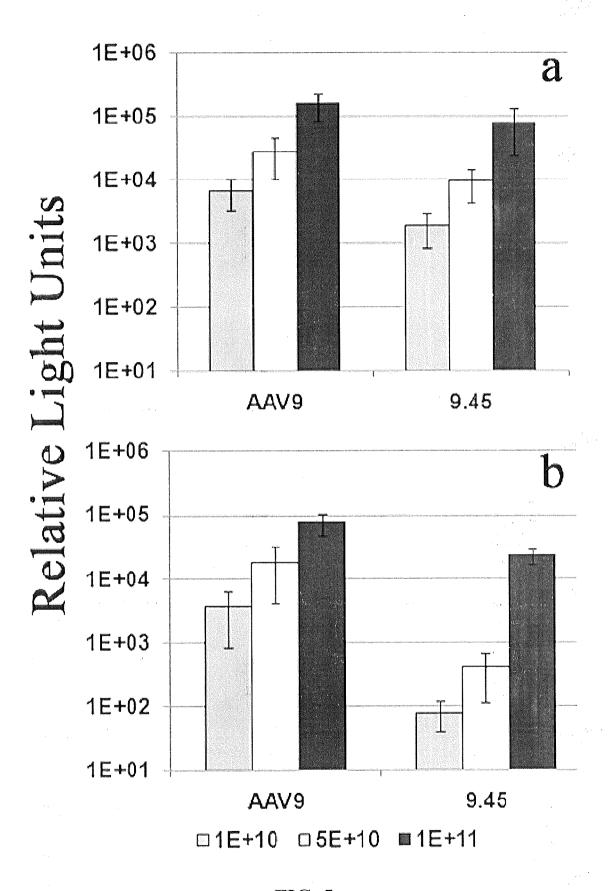
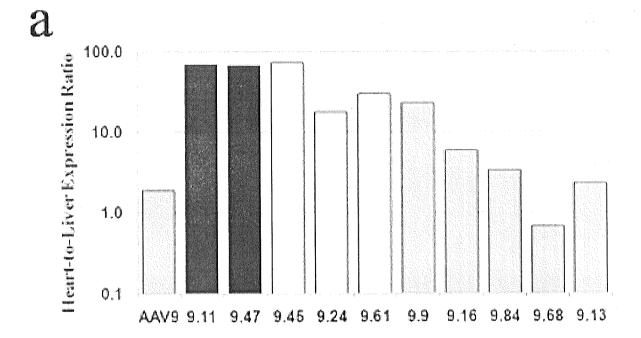


FIG. 5



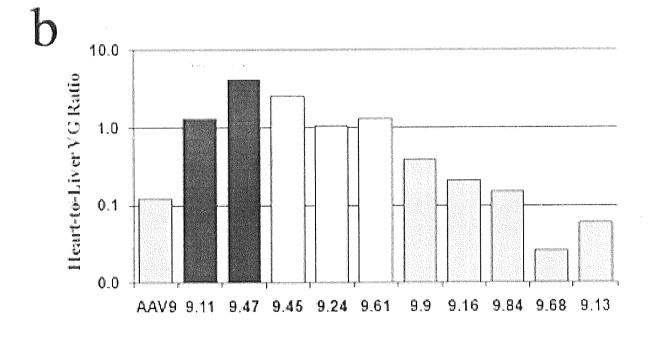


FIG. 6

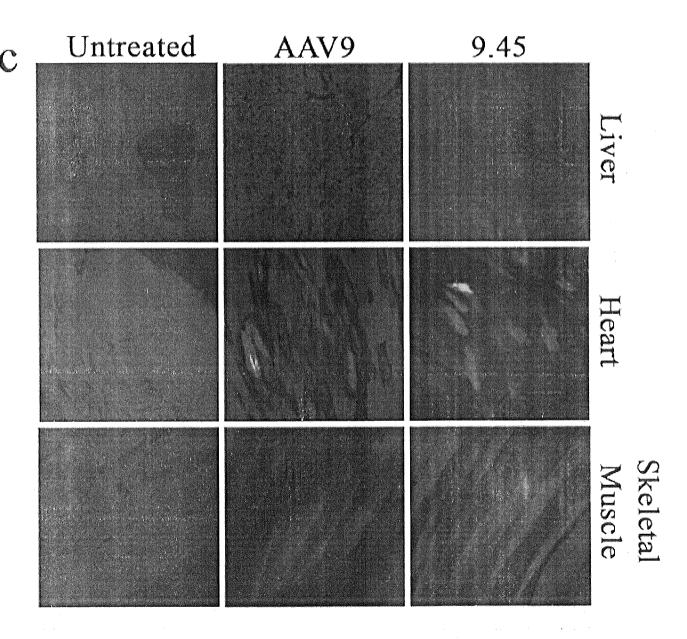
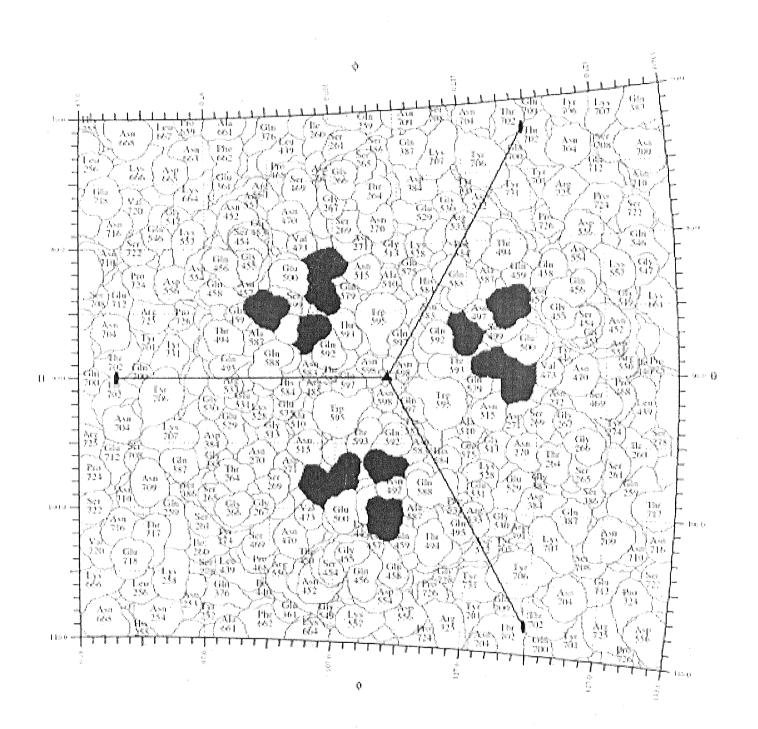


FIG. 6 (cont.)



**FIG.** 7

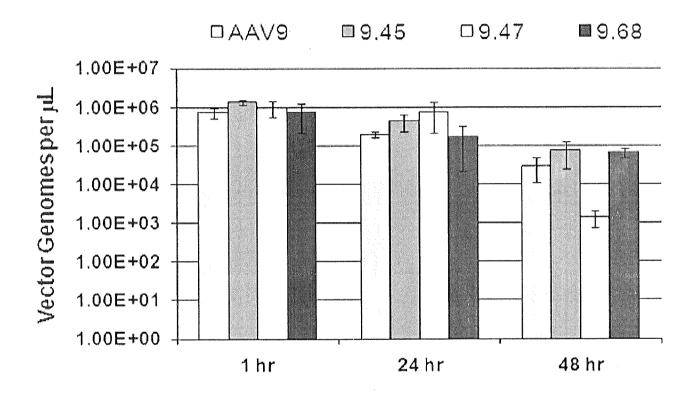


FIG. 8

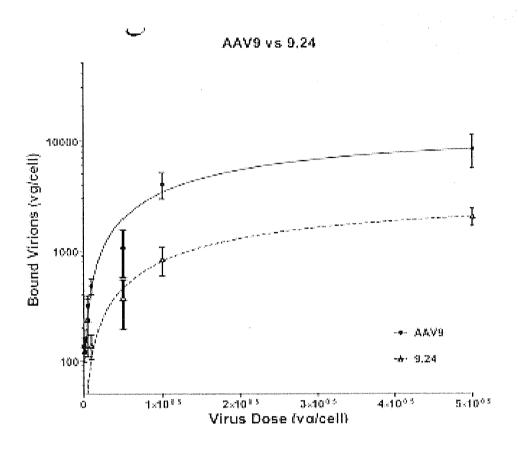


FIG. 9A

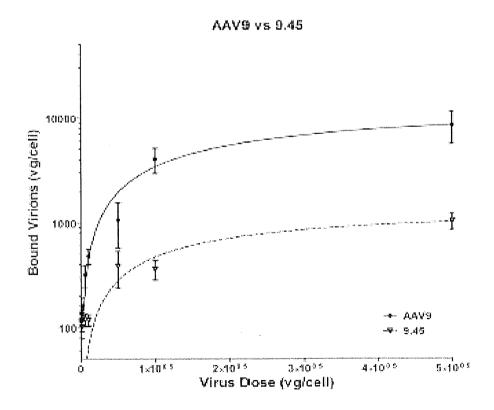


FIG. 9B

AAV9 vs 9.61

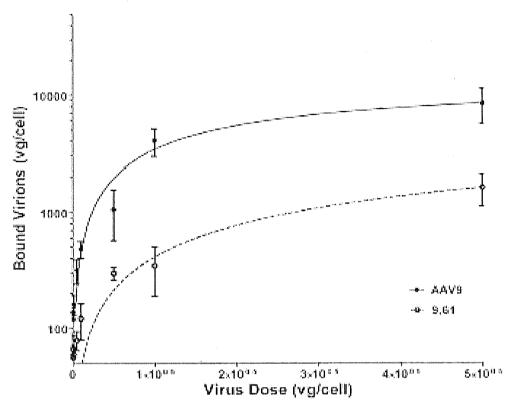


FIG. 9C

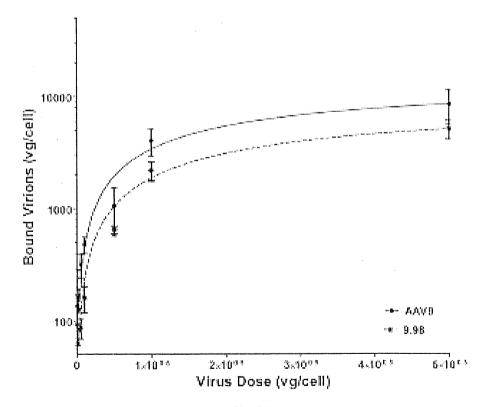


FIG. 9D

Viruses	Binding Potential
AAV9	4.54x10 <sup>-2</sup>
9.24	1.05x10 <sup>-2</sup>
9.45	6.95x10 <sup>-3</sup>
9.61	4.39x10 <sup>-3</sup>
9.98	2.38x10 <sup>-2</sup>

## Single-Site Binding Model

$$Y = B_{\text{max}}^* X / (K_d' + X)$$
  
 $Binding\ Potential = B_{max} / K_d'$ 

FIG. 10

