

(12) PATENT
(19) AUSTRALIAN PATENT OFFICE

(11) Application No. AU 199875427 B2
(10) Patent No. 751600

(54) Title
Novel orally active iron (III) chelators

(51)⁷ International Patent Classification(s)
C07D 213/69 C07D 309/40
A61K 031/44 C07D 417/06
C07D 213/81 C07D 493/04

(21) Application No: **199875427**

(22) Application Date: **1998.05.26**

(87) WIPO No: **WO98/54138**

(30) Priority Data

(31) Number	(32) Date	(33) Country
9711093	1997.05.29	GB

(43) Publication Date : **1998.12.30**

(43) Publication Journal Date : **1999.02.11**

(44) Accepted Journal Date : **2002.08.22**

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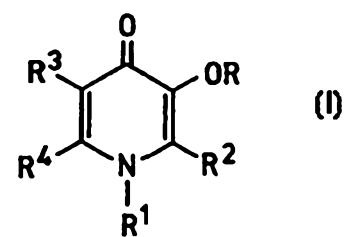
<p>(51) International Patent Classification ⁶ : C07D 213/69, 493/04, 309/40, 417/06, 213/81, A61K 31/44</p>	<p>A1</p>	<p>(11) International Publication Number: WO 98/54138 (43) International Publication Date: 3 December 1998 (03.12.98)</p>
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<p>(21) International Application Number: PCT/GB98/01517 (22) International Filing Date: 26 May 1998 (26.05.98) (30) Priority Data: 9711093.6 29 May 1997 (29.05.97) GB (71) Applicant (for all designated States except US): BTG INTERNATIONAL LIMITED [GB/GB]; 10 Fleet Place, London EC4M 7SB (GB). (72) Inventors; and (75) Inventors/Applicants (for US only): HIDER, Robert, Charles [GB/GB]; 257 Point Clear Road, St. Osyth, Clacton, Essex CO16 8JL (GB). TILBROOK, Gary, Stuart [GB/GB]; 19 Layters Green Lane, Chalfont St. Peter, Gerrards Cross, Buckinghamshire SL9 9JB (GB). LIU, Zudong [CN/GB]; 74 Hawstead Road, Catford, London SE6 4JJ (GB). (74) Agent: DOLAN, Anthony, Patrick; BTG International Limited, Patents Division, 10 Fleet Place, London EC4M 7SB (GB).</p>	<p>(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</p>
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(54) Title: NOVEL ORALLY ACTIVE IRON (III) CHELATORS

(57) Abstract

A novel 3-hydroxypyridin-4-one compound of formula (I) is provided, wherein R is hydrogen or a group that is removed by metabolism *in vivo* to provide the free hydroxy compound, R¹ is an aliphatic hydrocarbon group or an aliphatic hydrocarbon group substituted by a hydroxy group or a carboxylic acid ester, sulpho acid ester or a C₁₋₆alkoxy, C_{6-aryloxy} or C₇₋₁₀aralkoxy ether thereof, R³ is selected from hydrogen and C₁₋₆alkyl; and R⁴ is selected from hydrogen and C₁₋₆alkyl, C₁₋₆alkyl and a group as described for R²; characterised in that R² is selected from groups (i) -CONH-R⁵, (ii) -CH₂NHCO-R⁵, (iii) -SO₂NH-R⁵, (iv) -CH₂NHSO₂-R⁵, (v) -CR⁶R⁶OR⁷, (viii) -CONHCOR⁵, wherein R⁵ is selected from hydrogen and optionally hydroxy, alkoxy, or aralkoxy substituted C₁₋₁₃alkyl, aryl and C₇₋₁₃aralkyl, R⁶ is independently selected from hydrogen, C₁₋₁₃alkyl, aryl and C₇₋₁₃aralkyl, and R⁷ is selected from hydrogen, C₁₋₁₃alkyl, aryl and C₇₋₁₃aralkyl or a pharmaceutically acceptable salt of any such compound with the proviso that when R⁷ is hydrogen, R⁶ is not selected from aryl and with the proviso that the compound is not 1-ethyl-2-(1'-hydroxyethyl)-3-hydroxypyridin-4-one.



NOVEL ORALLY ACTIVE IRON (III) CHELATORS

The present invention relates to novel compounds having activity as orally active iron chelators, to pharmaceutical compositions containing these and to their use in treating disorders associated with iron distribution, particular disorders involving excess of iron and presence of iron dependent parasites.

Members of the hydroxypyridone class are well known for their ability to chelate iron in physiological environment and these have reported as useful in treating iron related disorders such as thalassaemia and, when complexed with iron, anaemia. For example, see US 4840958, US 5480894 and Hider et al (1996) *Acta Haematologica* 95:6-12. By virtue of their low molecular weight and high affinity for iron (III) these compounds now provide the possibility of removing iron from iron overloaded patients with the hope of providing oral activity. Related compounds for such use are disclosed in US 4585780 wherein the characteristics required for oral activity are discussed further.

Two particular compounds referred to by Hider et al, CP20 and CP94 (see Tables 1 and 2 herein), have proved to be effective in man, but both have disadvantages in that they are rapidly inactivated by phase II metabolism and are able to cross the placenta and blood brain barrier. The extensive biotransformation of these compounds is reflected by their limited ability to mobilise excess body iron in thalassaemic patients.

The requirements for orally active chelators are set out in Table 4 of Hider et al as (i) good absorption from the gastrointestinal tract, (ii) efficient liver extraction, (iii) poor entry into peripheral cells such as thymus, muscle, heart and bone marrow and (iv) poor ability to penetrate the blood-brain barrier and maternal/placental barriers. This reference refers to desired partition coefficients (K_{part}), herein referred to as distribution coefficient values ($D_{\text{pH}7.4}$), for these properties as (i) >0.2 , (ii) >1.0 , (iii) <0.001 and (iv) <0.001 , respectively rendering one compound seemingly unsuited to satisfying all four criteria. Hider et suggest the pro-drug strategy to be one possible route forward but no specific compounds have so far been found to meet all criteria.

Pivalic acid esters of hydroxyalkyl substituted 3-hydroxypyridin-4-ones have been studied as pro-drugs and found to lead to efficient excretion of iron, in bile and urine, but

as reported by Hider et al these are now thought to potentially interfere with the carnitine cycle and thus may not be suitable for use in regular and/or large doses in man.

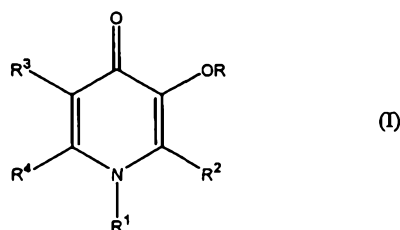
It is known that the 2-(1'-hydroxyethyl) metabolite of 1,2-diethyl-3-
5 hydroxypyridin-4-one (CP94) produced in rat is an active iron chelator (see Singh et al (1992) Drug Metabolism and Disposition Vol 20. No 2, page 256-261). EP 0494754 A proposes 1-hydroxyethyl as one of many possible substituents at any of the pyridin-4-one positions 1, 2, 5 or 6 for use as iron chelator in treatment of malaria; none of these compounds are however exemplified as made or tested for activity. EP
10 0768302 A (Novartis) describes a series of related 3-hydroxypyridin-4-ones in which the 2-position is substituted by a methyl group which carries an optionally substituted phenyl or heteroyl ring and a free or esterified hydroxy group. The phenyl or heteroyl group is taught as an essential element of these compounds.

The present inventors now have provided a group of 3-hydroxypyridin-4-one
15 iron chelators having improved properties as compared to the prior art as assessed against the criteria set out above. The preferred compounds of the invention are all characterised by meeting a further criterion (v) in so far as they have a pM for Iron III, i.e. affinity for iron as Fe III, of at least 20, preferably in excess of 23. Preferred compounds have efficiency of iron mobilisation of in excess of 52% when given
20 orally to rats. The definition of pM used herein is the negative logarithm of the concentration of ferric ion in solution when the total amount of iron equals 10^{-6} M and the concentration of ligand is 10^{-5} M and pH is 7.4.

The present compounds offer the prospect of effective pharmaceutical formulations having reduced levels of active agent, with particular properties of
25 selective targeting of the chelating activity to tissues where the iron level requires alteration, particularly the liver. A particular property of preferred compounds of the invention is that they are not significantly metabolised through conjugation and, in preferred forms, are provided as prodrugs.

Thus in a first aspect of the present invention there is provided a novel
30 3-hydroxypyridin-4-one compound of formula I





wherein R is selected from hydrogen, C₁₋₆ alkyl, C₇₋₁₀ aralkyl, -C(O)-R⁸, and the equivalent sulpho acid ester, wherein R⁸ is selected from the group consisting of C₁₋₆ alkyl and C₇₋₁₀ aralkyl,

5 R¹ is an aliphatic hydrocarbon group or an aliphatic hydrocarbon group substituted by a hydroxy group or a carboxylic acid ester, sulpho acid ester or a C₁₋₆ alkoxy, C₆-aryloxy or C₇₋₁₀ aralkoxy ether thereof,

R³ is selected from hydrogen and C₁₋₆ alkyl;

characterised in that

10 R² is selected from groups

(i) -CONH-R⁵ (ii) -CR⁶R⁶OR⁷ (iii) -CONHCOR⁵
and (iv) -CON(CH₃)₂

R⁴ is selected from hydrogen, C₁₋₆ alkyl and a group as described for R²;

15 R⁵ is selected from hydrogen and optionally hydroxy, alkoxy, aryloxy or aralkoxy substituted C₁₋₁₃ alkyl, aryl and C₇₋₁₃ aralkyl,

R⁶ is independently selected from hydrogen and C₁₋₁₃ alkyl,

and R⁷ is selected from hydrogen, C₁₋₁₃ alkyl, aryl and C₇₋₁₃ aralkyl

or a pharmaceutically acceptable salt of any such compound

with the proviso that the compound is not one of 1-ethyl-2-(1'-hydroxyethyl)-

20 3-hydroxypyridin-4-one and 1-methyl-2-hydroxymethyl-3-hydroxypyridoin-4-one

Preferably at least one of R, R¹ or R⁷ is such as to form a 3-ester or ether prodrug. Those skilled in the art will recognise the term 3-ester or ether prodrug to mean compounds wherein the 3-hydroxy group has been esterified with a carboxylic or sulpho acid, or formed into an ether with a C₁₋₆ alkyl or C₁₋₁₀ aralkyl group which is removed *in vivo* to provide the free hydroxy compound. Typically such carboxylic acid esters or ethers are of C₁₋₇ type, i.e. the 3-substituent is -O-R⁸ or -OC(O)-R⁸ where R⁸ is C₁₋₆ alkyl or C₁₋₁₀ aralkyl.

More preferably R⁵ and R⁷ are independently selected from C₁₋₆ alkyl, aryl or



aralkyl, e.g. benzyl, which may be substituted with C₁₋₆ alkoxy. More preferably R⁶ is independently selected from hydrogen or C₁₋₆ alkyl.

The positions 5 and 6 are preferably unsubstituted, ie. R³ and R⁴ are preferably hydrogen, but may be substituted with conventional pyridin-4-one substituents as disclosed
5 by the prior art as suitable in iron chelators.

Where R¹ is an aliphatic carbon group substituted by hydroxy and that hydroxy is esterified the ester acyl group is preferably of formula -CO-R⁹ where R⁹ is C₁₋₆ alkyl or C₁₋₁₀ aryl, more preferably being -CO-Phenyl or -CO-hetero, eg. heterocyclic rings with one of two nitrogen members and three to five carbons.

10 R¹ and R⁵ are conveniently independently selected C₁₋₆ alkyl, preferably methyl or ethyl, but preferably may be a hydroxy, alkoxy or esterified hydroxy terminated C₁₋₆ alkyl group. Where R¹ is a hydroxy terminated alkyl it is advantageous that the alkyl group is of 3 to 6 carbons long, more preferably being 3 carbons long, e.g. where R¹ is -(CH₂)₃-OH, as such compounds are known to be metabolised *in vivo* to the corresponding -(CH₂)₂-CO₂H
15 derivative with consequent advantages of low D_{pH7.4} after metabolism, e.g. in the liver.

Most preferred compounds are of the type where R² is of groups (i), or (v).

More preferably R² is a group -CR⁶R⁶OR⁷ wherein R⁶ is independently selected at each occurrence from hydrogen, C₁₋₁₃ alkyl or C₆ aryl and R⁷ is C₁₋₆ alkyl, more preferably methyl or ethyl. An alternate preferred group for R² is -CONH-R⁵.

20 Still more preferred compounds of the invention have a D_{pH7.4} as determined in an octanol/MOPS pH 7.4 system of in excess of 1, more preferably being metabolised *in vivo* to a metabolite having a D_{pH7.4} of less than 1, more preferably less than 0.1 and still more preferably less than 0.001, as set out in the criteria above.

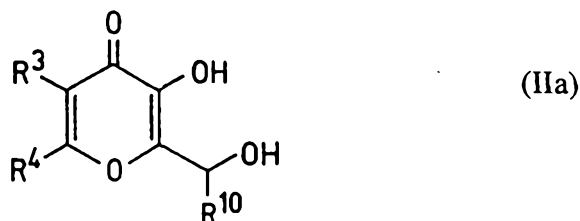
A second aspect of the present invention provides processes for preparation of new
25 compounds of the invention, a third provides novel intermediates for use in these processes, a fourth provides the use of the compounds in therapy, a fifth provides their use in manufacture of medicaments and a sixth provides pharmaceutical compositions comprising them.

The process of the invention is broadly that as set out in any one or more of
30 Schemes 1, 2, 3 and 4. The preferred process comprises all relevant steps of these schemes for a given compound of the invention. Those skilled in the art will readily produce free

compounds from the salts shown by conventional techniques.

Novel intermediates of the invention are of formula (IIb), (IIc) and (III) of Scheme 1 (IVa), (IVb) and (IVc) of Scheme 2, (VI), (VII) and (VIII) of Scheme 3 and (X), (XI), (XII) of Scheme 4.

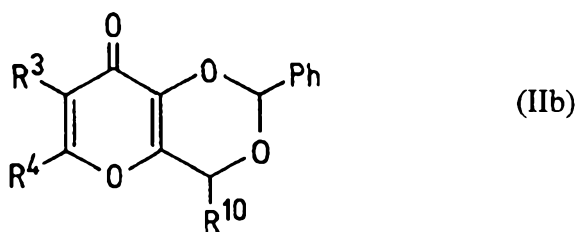
5 Thus a first process of the invention comprises the reaction of a 2-(1'-hydroxyalkyl)-3-hydroxy-pyran-4(1*H*)-one of formula (IIa)



where R¹⁰ is a group as defined in R⁶

with benzaldehyde dimethyl acetal to provide the corresponding 8-oxo-4,8-dihydro-2-phenyl-4*H*[3,2-*d*]-*m*-dioxin of formula (IIb),

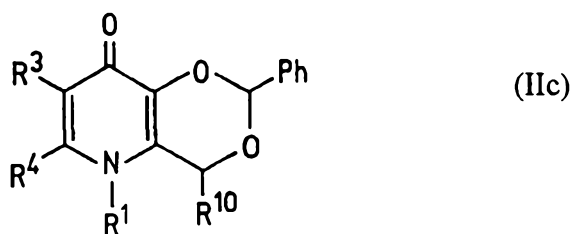
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reacting that compound with a compound R¹NH₂ to give the corresponding pyridino dioxin of formula (IIc)

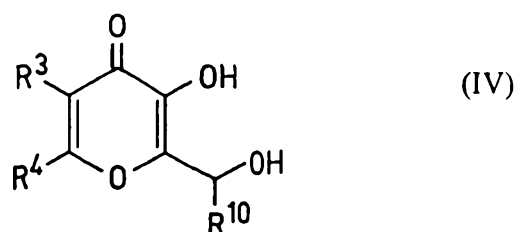
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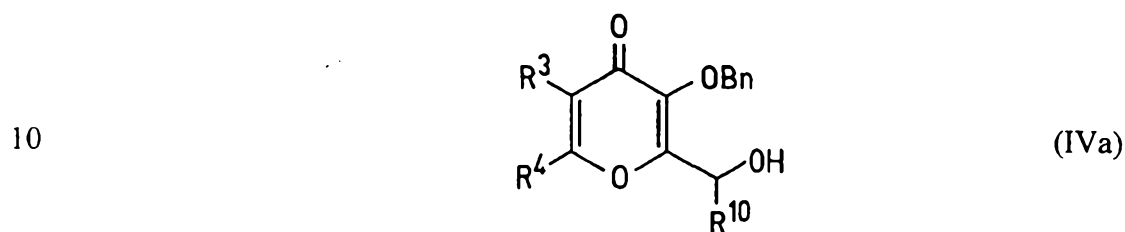
and reducing that with hydrogen to give the corresponding 2-hydroxyalkyl-pyridin-4(1*H*)-one.

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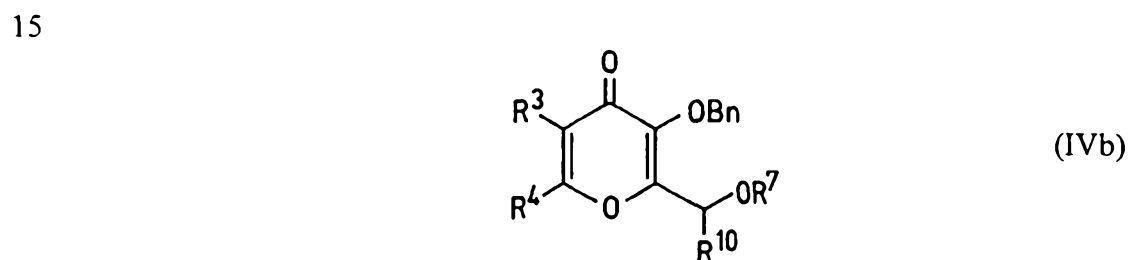
A second process of the invention comprises the protection of the 3-hydroxyl group of a 2-(1'-hydroxyalkyl)-3-hydroxy-pyran-4(1*H*)-one of formula (IV),



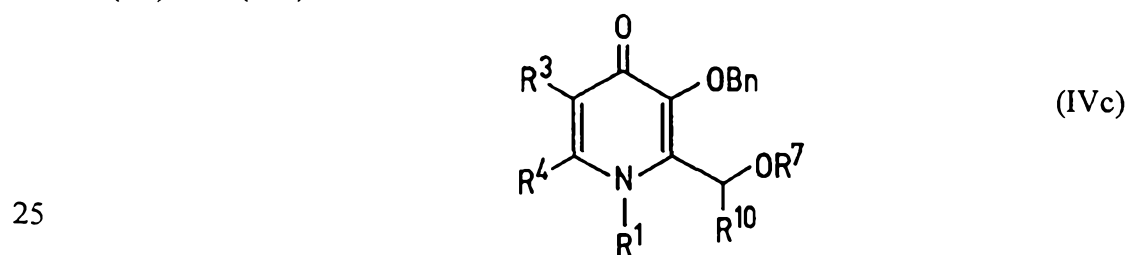
eg. using a benzyl halide, preferably benzyl bromide to give a compound (IVa)



alkylating the 2-(1'-hydroxy) group, eg. with an alkyl halide such as alkyl iodide to, reacting the product thereof (IVb)

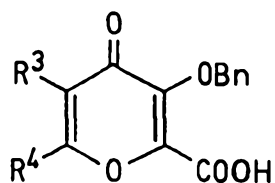


20 with a compound R^1NH_2 to provide the corresponding 2-hydroxyalkyl-pyridin-4(1H)-one (IVc)



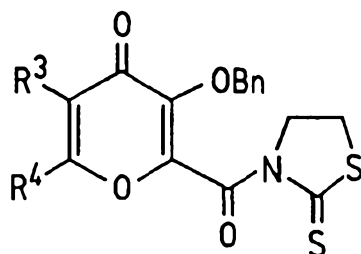
and reducing that to provide the corresponding unprotected compound.

30 A third process of the present invention reacts a 2-carboxyl-3-benzoyloxy-pyran-4(1H)-one of formula (IXd), , that optionally being provided by oxidising the corresponding formyl compound (IXc) eg. with sulfamic acid and sodium chlorite,



(IXd)

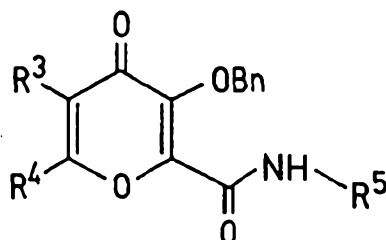
5 with mercaptothiazoline, eg. in the presence of dicyclocarbodiimide and dimethylaminopyridine to provide the corresponding 2-carbonyl-thiazolidine-2-thione of formula (X),



(X)

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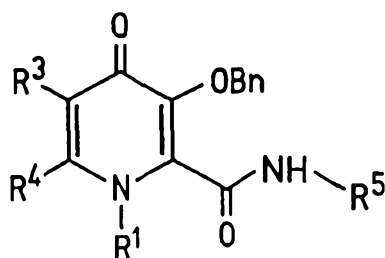
reacts that with a compound R^5NH_2 to give the corresponding 2-amido compound of formula (XI),



(XI)

15

20 reacting that with a compound R^1NH_2 to give the corresponding 2-amido-pyridin-4(1H)-one compound of formula (XII)



(XII)

25

and optionally reducing that to provide the corresponding 2-hydroxyalkyl-pyridin-4(1H)-one.

Novel intermediates are the 8-oxo-4,8-dihydro-2-phenyl-4H[3,2-d]-m-dioxins,
 30 2-(1-alkoxyoxyalkyl)-3-hydroxy-pyran-4(1H)-ones and corresponding 2-carbonyl-thiazolidine-2-thiones corresponding to the compounds of Formula I.

Also provided within formula (I) are novel compounds which are metabolites of the preferred prodrug compounds of the first aspect of the invention but which have $D_{\text{pH}7.4}$ less than 1; these also being active iron III chelating agents once the compounds of the first aspect have been metabolised eg. in the liver, to remove any ether or ester protecting group where that was required to provide a $D_{\text{pH}7.4}$ of 1 or above. For example in compound CP362 below, the methyl group (R in formula I above), is removed *in vivo* resulting in a drop in $D_{\text{pH}7.4}$ to give the compound of formula I wherein R is hydrogen, R^2 is $\text{CH}(\text{OH})\text{CH}_3$, R^1 is ethyl and R^3 and R^4 are hydrogen. This compound 1-ethyl-2-(1'-hydroxyethyl)-3-hydroxypyrid-4-one is known.

Those skilled in the art will readily appreciate that some of these compounds will be known already, but in so far as compounds are novel they are also rendered inventive by their relationship as active metabolites of the novel compounds of the first aspect. Particularly provided is the provision of such metabolites 'for use in therapy' eg. 'for use in therapy of iron related disorders'. These compounds, while not of ideal $D_{\text{pH}7.4}$ for oral activity, will still be of potential use by parenteral or other route of administration.

Salts of the compounds of the invention may readily be formed by reaction of the compound with the appropriate base or acid under suitable conditions. Zwitterionic forms, where appropriate, may conveniently be obtained by freeze drying an aqueous solution at a selected pH. Freeze drying of an aqueous solution whose pH has been adjusted to 7.0 or to greater than 9.0 with the desired base provides a convenient route to a salt of that base.

Salts with acids may conveniently be obtained by recrystallization of the compound of formula (I) from an aqueous/organic solution, for example the hydrochloride being obtained on recrystallization from a dilute hydrochloric acid/ethanol solution.

Pro-drugs may be formed by reaction of any free hydroxy group compound of formula (I) or a derivative thereof with the appropriate reagent, in particular with an organic acid or derivative thereof, for example as described in U.S. Patent 4,908,371 and/or with an alcohol or phenol, for example using standard esterification procedures.

The compounds of formula (I) may be formulated with a physiologically acceptable diluent or carrier for use as pharmaceuticals for veterinary, for example in a mammalian context, and particularly for human use, by a variety of methods. For instance, they may be applied as a composition incorporating a liquid diluent or carrier,

for example an aqueous or oily solution, suspension or emulsion, which may often be employed in injectable form for parenteral administration and therefore may conveniently be sterile and pyrogen free. Oral administration is preferred for the preferred compounds of the invention. Although compositions for this purpose may incorporate a liquid diluent or carrier, it is more usual to use a solid, for example a conventional solid carrier material such as starch, lactose, dextrin or magnesium stearate. Such solid compositions may conveniently be of a formed type, for example as tablets, capsules (including spansules), etc.

Other forms of administration than by injection or through the oral route may also be considered in both human and veterinary contexts, for example the use of suppositories or pessaries. Another form of pharmaceutical composition is one for buccal or nasal administration, for example lozenges, nose drops or an aerosol spray. Thus, the invention further includes a pharmaceutical composition comprising a 3-hydroxypyridin-4-one drug or prodrug of formula (I) as defined hereinbefore together with a physiologically acceptable diluent or carrier.

Compositions may be formulated in unit dosage form, i.e. in the form of discrete portions each comprising a unit dose, or a multiple or sub-multiple of a unit dose. The dosage of active compound given will depend on various factors, including the particular compound employed in the composition and the mode of administration and type of disease to be treated, eg. whether for iron overload as in thalassemia or for use in treating iron dependent parasites eg. malaria

Typical dosages for use in human therapy will usually lie in the region of about 0.1 to 50g daily, preferably 0.5 g to 20 g daily, particularly from about 1 or 2 g to 10 or 15 g daily, for example about 5 g, veterinary doses being on a similar g/kg body weight ratio. However, it will be appreciated that it may be appropriate under certain circumstances to give daily dosages either below or above these levels. Where desired, more than one compound according to the present invention may be administered in the pharmaceutical composition, when the total dosage will usually correspond to those discussed above, or, indeed, other active compounds may be included in the composition.

The present invention will now be described by way of illustration only by

reference to the following non-limiting Examples, Tables, Schemes and Figures. Further examples of the invention will occur to those skilled in the art in the light of these.

TABLES

5 Table 1: shows compound codes, structures, $D_{\text{pH}7.4}$ (also known as K_{part}), pK_a , $\text{Log}\beta_3$, pM and *in vivo* iron mobilisation data for compounds of the invention where R^2 is of type (v), both active agents for oral administration and their metabolites, the latter being suitable for parenteral or other non-oral route administration.

Table 2: summarises Table 1 with significant pK_a2 and comparative data added.

10 Table 3: shows compound codes structures, $D_{\text{pH}7.4}$ (also known as K_{part}), pK_a , $\text{Log}\beta_3$, pM and *in vivo* iron mobilisation data for compounds of the invention where R^2 is of type (i).

SCHEMES

Scheme 1 shows the reaction scheme for synthesis of novel intermediates from compounds
15 of formula (IIa) to compounds of formula (III)

Scheme 2 shows the reaction scheme for synthesis of novel intermediates from compounds (IV) to orally active compounds (V) and

Scheme 3 shows the reaction scheme for formation of R^1 ester type oral active compounds.

Scheme 4 shows the reaction scheme for synthesis of novel intermediates from compounds
20 (IX) to amide products (XII) and (XIII).

FIGURES

Figure 1 shows a speciation plot of ratio of ligand to Iron (III) v pH.

Figure 2 shows *in vivo* iron mobilisation using the metabolite free hydroxy compounds of
25 the invention.

Figure 3 shows *in vivo* iron mobilisation using the orally active ether compounds of the invention.

SYNTHESIS

30 **KNOWN INTERMEDIATES**

2,5-Dihydro-2,5-dimethoxy-2-furanmethanol: Produced by the method of Achatowicz

et al (1971) Tetrahedron; 27: 1973-1996. Distillation at 78°C/0.5 mmHg (Lit. ^(a) 71°C/1.0 mmHg; gave the title compound (177 g, 73.8%) as colorless liquid.

Evaporation of the solvent and distillation at 74°C/0.4 mmHg gave title product as colorless liquid. (115.5 g, 72.2%).

- 5 **6-Methoxy-2H-pyran-3(6H)-one:** Produced by the method of of Achatowicz et al (1971). Distillation at 47 ~ 48°C/0.5 mmHg (lit. 76 ~ 81°C/13 mmHg) afforded a clear, sharp-smelling oil.

NOVEL INTERMEDIATE

4-Bromo-6-methoxy-2H-pyran-3(6H)-one.

- 10 To a solution of 6-methoxy-2H-pyran-3(6H)-one 12.8 (0.1 mole) in 40 ml CH₂Cl₂ at 0°C was added 16.0 g (0.1 mole) of bromine in 10 ml of CH₂Cl₂. Then 14 ml of triethylamine was added dropwise at 0°C and the reaction was allowed to warm to room temperature and stir for two hours. The reaction was then diluted with 200 ml of toluene. After filtration, the organic solution was then washed with 5% NaHCO₃ and brine, dried with Na₂SO₄,
15 filtered and concentrated to yield the crude product as light brown solid. Recrystallisation from ethyl acetate afforded the title compound (17 g, 82%) as a white crystalline solid. m.p. 74 - 75°C.

¹H-NMR (CDCl₃) δ: 3.5 (s, 3H, OCH₃), 4.4 (q, 2H, 2,2'-H, AB center,

$J_{2,2'} = 14.5$ Hz, $\Delta\delta_{2,2'} = 18.5$ Hz), 5.05 (d, 1H, 6-H), 7.25 (d, 1H, 5-H)

- 20 Anal. Calcd. for C₆H₇O₃Br: C, 34.81; H, 3.41%. Found: C, 35.03; H, 3.45%

Recrystallisation from ethyl acetate afforded the title compound (17 g, 82%) as a white crystalline solid. m.p. 74 - 75°C.

Anal. Calcd. for C₆H₇O₃Br: C, 34.81; H, 3.41%. Found: C, 35.03; H, 3.45%

25 KNOWN INTERMEDIATES

3-Hydroxy-pyran-4(1H)-one (pyromeconic acid)

The solid was treated with activated carbon and recrystallised from toluene to yield the title compound (2.5 g, 80%) as a light yellow plates. m.p. 114 - 115°C [lit. 113 - 115.5 °C (Tate and Miller., 1964) US 3130204].

- 30 **6-Chloromethyl-3-hydroxy-pyran-4(1H)-one (chlorokojic acid)**

The product was collected by filtration and washed with petroleum ether and then

recrystallised from water to give the pure title compound (42.5 g, 75.9%) as colourless needles. m.p. 166-168°C [lit. 166-167 °C: Tilbrook G Thesis Kings College London.1995].

3-Hydroxy-6-methyl-pyran-4(1H)-one (allomaltol)

Recrystallisation from isopropanol afforded 14.8 g (62.8%) of analytically pure allomaltol as colourless plates. m.p. 152-153°C. [lit. 152-153°C Tibrooke G Thesis as above].

2-Hydroxymethyl-3-hydroxy-pyran-4(1H)-one (α -hydroxymaltol)

Sodium hydroxide (4 g, 100 mmol, 1.25 eq.) dissolved in 10 ml distilled water was added to a solution of 3-hydroxy-pyran-4(1H)-one (8.96 g, 80 mmol, 1 eq.) in 50 ml methanol and allowed to stir at room temperature for 5 minutes. 16 ml (200 mmol, 2.5 eq.) of 35% formaldehyde solution was added dropwise over 15 minutes and the solution was stirred overnight. After adjustment to pH 1 with 37% w/v hydrochloric acid, the reaction mixture was concentrated *in vacuo* to dryness and the resulting solid was extracted with 2 x 100 ml of isopropanol at 90°C. The isopropanol extracts were concentrated to yield the crude products. Recrystallisation from isopropanol afforded 9.7 g (85.4%) of the pure title product as a white crystalline solid. m.p. 154-156°C [lit. 148-150°C (Tate and Miller., 1964)].

¹H-NMR (DMSO-d₆) δ : 4.4 (s, 2H, 2-CH₂OH), 4.6-5.7 (br., 1H, 2-CH₂OH), 6.34 (d, 1H, 5-H), 8.1 (d, 1H, 6-H), 9.0 (br., s, 1H, 3-OH)

2-(1-Hydroxyethyl)-3-hydroxy-pyran-4(1H)-one

3-Hydroxy-pyran-4(1H)-one (5.6 g, 50 mmol, 1 eq.) was added to 50 ml water and the pH of the solution was adjusted to 10.5 using 50% aqueous sodium hydroxide. Acetaldehyde (2.64 g, 60 mmol, 1.25 eq.) dissolved in 20 ml water was slowly added dropwise over 1 hour and the solution allowed to stir overnight. The reaction mixture was acidified to pH 1 with 37% w/v hydrochloric acid and concentrated *in vacuo* to dryness. The residue was extracted with 2 x 70 ml of isopropanol at 90°C. The isopropanol extracts were combined and concentrated to yield after recrystallisation from toluene, the pure product (3.7 g, 47.4%) as a pale yellow crystalline solid. m.p. 131-132°C [lit. 130-131°C (Ichimoto, 1970)].

¹H-NMR (DMSO-d₆) δ : 1.3 (d, 3H, 2-CHCH₃), 5.03 (q, 1H, 2-CHCH₃), 6.38 (d, 1H, 5-H), 8.2 (d, 1H, 6-H)

2-Hydroxymethyl-3-hydroxy-6-methyl-pyran-4(1H)-one

Allomaltol (12.6 g, 100 mmol, 1 eq.) was added to an aqueous solution containing 4.4 g (110 mmol, 1.1 eq.) of sodium hydroxide in 100 ml distilled water and stirred at room temperature for 5 minutes. 9 ml (110 mmol, 1.1 eq.) of 35% w/v formaldehyde solution was added dropwise over 10 minutes and the solution allowed to stir overnight.

5 Acidification to pH 1 using concentrated hydrochloric acid and cooling to 3-5°C for 12 hours gave a crystalline deposit. The title product was isolated by filtration as colourless needles (12.8 g, 82%). m.p. 159-161°C [lit. (1): 157-158°C; lit. (2): 161-163°C]. Tilbrook (1993) Recrystallisation solvent ethanol.

¹H-NMR (DMSO-d₆) δ: 2.30 (s, 3H, 6-CH₃), 4.5 (s, 2H, 2-CH₂OH), 4.6-5.7 (br., 1H, 2-CH₂OH), 6.25 (s, 1H, 5-H), 8.7-9.2 (br., 1H, 3-OH)

2-(1-Hydroxyethyl)-3-hydroxy-6-methyl-pyran-4(1H)-one

Allomaltol (12.6 g, 100 mmol, 1 eq.) was added to 100 ml water and the pH of the solution was adjusted to 10.5 using 50% aqueous sodium hydroxides. Acetaldehyde (5.5 g, 125 mmol, 1.25 eq.) dissolved in 25 ml water was slowly added dropwise over 1 hour and the solution allowed to stir overnight. After adjustment to pH 1 with 37% hydrochloric acid, the reaction mixture was extracted with 3 x 150 ml of dichloromethane. The combined organic extracts were dried over anhydrous sodium sulphate, filtered and concentrated to yield the crude product. Recrystallisation from toluene afforded the pure product (14.1 g, 83%) as white needles. m.p. 127-130°C [lit. 126-128°C]. Ellis (1993)

20 ¹H-NMR (DMSO-d₆) δ: 1.25 (d, 3H, 2-CHCH₃), 2.2 (s, 3H, 6-CH₃), 4.9 (q, 1H, 2-CHCH₃), 5.2 (br., s, 1H, 2-CHOH), 6.1 (s, 1H, 5-H), 8.6 (br., s, 1H, 3-OH)

2-(1-Hydroxypropyl)-3-hydroxy-6-methyl-pyran-4(1H)-one

Allomaltol (12.6 g, 100 mmol, 1 eq.) was added to 100 ml water and the pH of the solution was adjusted to 10.5 using 50% aqueous sodium hydroxides. Propionaldehyde (8.7 g, 150 mmol, 1.5 eq.) dissolved in 50 ml methanol was slowly added dropwise over 1 hour and the solution allowed to stir at room temperature for 48 hours. After adjustment to pH 1 with 37% hydrochloric acid, the reaction mixture was evaporated to dryness and the residue taken up into 300 ml of dichloromethane. The organic layer was washed with water (150 ml), dried over anhydrous sodium sulphate, filtered and concentrated to yield the crude product. Recrystallisation from toluene afforded the pure

30

product (14.5 g, 78.9%) as a white crystalline solid. m.p. 134-136°C [lit. 132-135°C Ellis (1993)].

¹H-NMR (CDCl₃) δ: 1.12 (t, 3H, 2-CHCH₂CH₃), 1.7-2.3 (m, 2H, 2-CHCH₂CH₃),
2.45 (s, 3H, 6-CH₃), 4.95 (q, 1H, 2-CHCH₂CH₃), 5.0-6.0 (br., 1H, 2-CHOH),
5 6.3 (s, 1H, 5-H)

NOVEL INTERMEDIATES

EXAMPLE 1: 8-Oxo-4,8-dihydro-2-phenyl-4H-pyrano[3,2-d]-m-dioxin

A solution of 2-hydroxymethyl-3-hydroxy-pyran-4(1H)-one (2.84 g, 20 mmol, 1 eq.),
10 benzaldehyde dimethyl acetal (6.08 g, 40 mmol, 2 eq.) and toluene-*p*-sulphonic acid monohydrate (0.04 g, cat.) in 50 ml DMF was rotated under aspirator pressure at 80 °C for 3 hours. The solvent was removed under high vacuum, the residue taken up into 100 ml dichloromethane. The organic solution was washed successively with aqueous Na₂CO₃ and brine. After drying over magnesium sulphate, the solvent was removed to give the crude
15 product. Recrystallisation from CH₂Cl₂/Pet. ether 40/60 afforded the pure title compound (3.77 g, 82%) as a white crystalline solid. m.p. 141-143°C.

¹H-NMR (CDCl₃) δ: 4.72 (d, 2H, CH₂O), 5.88 (s, 1H, CHPh),
6.35 (d, 1H, 7-H(pyranone)), 7.2-7.9 (m, 6H, Ar & 6-H(pyranone))

Anal. Calcd. for C₁₃H₁₀O₄: C, 67.82; H, 4.38%. Found: C, 68.13; H, 4.26%

20

EXAMPLE 2: 8-Oxo-4,8-dihydro-4-methyl-2-phenyl-4H-pyrano[3,2-d]-m-dioxin

In an analogous procedure in the preparation of 8-oxo-4,8-dihydro-2-phenyl-4H-pyrano[3,2-d]-m-dioxin using 2-(1-hydroxyethyl)-3-hydroxy-pyran-4(1H)-one yielded the crude product. Purification by column chromatography on silica gel (eluant: EtOAc)
25 furnished the title compound after recrystallisation from EtOAc/Pet. ether 40/60, as a white crystalline solid (yield = 84.5%). m.p. 112-113 °C.

¹H-NMR (CDCl₃) δ: .55 (d, 3H, CHCH₃), 5.0 (q, 1H, CHCH₃), 5.8 (s, 1H, CHPh),
6.25 (d, 1H, 7-H(pyranone)), 7.1-7.75 (m, 6H, Ar & 6-H(pyranone))

Anal. Calcd. for C₁₄H₁₂O₄: C, 68.85; H, 4.95%. Found: C, 68.63; H, 4.86%.

30

EXAMPLE 3: 8-Oxo-4,8-dihydro-6-methyl-2-phenyl-4H-pyrano[3,2-d]-m-dioxin

In an analogous procedure in the preparation of 8-oxo-4,8-dihydro-2-phenyl-4H-pyrano[3,2-d]-m-dioxin using 2-hydroxymethyl-3-hydroxy-6-methyl-pyran-4(1H)-one afforded the title compound (Yield = 82.1%) after recrystallisation from EtOAc / Pet. ether 40/60, as a white crystalline solid; m.p. 91-94°C

- 5 ¹H-NMR (CDCl₃) δ: 2.25 (s, 3H, 6-CH₃), 4.75 (d, 2H, CH₂O), 5.9 (s, 1H, CHPh), 6.18 (s, 1H, 7-H(pyranone)), 7.2-7.8 (m, 5H, Ar)
Anal. Calcd. for C₁₄H₁₂O₄: C, 68.85; H, 4.95%. Found: C, 68.63; H, 4.86%

EXAMPLE 4: 8-Oxo-4,8-dihydro-4,6-dimethyl-2-phenyl-4H-pyrano[3,2-d]-m-dioxin

- 10 In an analogous procedure in the preparation of 8-oxo-4,8-dihydro-2-phenyl-4H-pyrano[3,2-d]-m-dioxin using 2-(1-hydroxyethyl)-3-hydroxy-6-methyl-pyran-4(1H)-one yielded the crude product. Purification by column chromatography on silica gel (eluant: EtOAc) furnished the title compound after recrystallisation from EtOAc / Pet. ether 40/60, as a white crystalline solid (yield = 86.7%). m.p. 120-122°C.

- 15 ¹H-NMR (CDCl₃) δ: 1.6 (d, 3H, CHCH₃), 2.25 (s, 3H, 6-CH₃), 5.08 (q, 1H, CHCH₃), 5.9 (s, 1H, CHPh), 6.18 (s, 1H, 7-H(pyranone)), 7.2-7.8 (m, 5H, Ar)
Anal. Calcd. for C₁₅H₁₄O₄: C, 69.76; H, 5.46%. Found: C, 69.94; H, 5.67%.

EXAMPLE 5: 8-Oxo-4,8-dihydro-4-ethyl-6-methyl-2-phenyl-4H-pyrano[3,2-d]-m-dioxin

- 20 In an analogous procedure in the preparation of 8-oxo-4,8-dihydro-2-phenyl-4H-pyrano[3,2-d]-m-dioxin using 2-(1-hydroxypropyl)-3-hydroxy-6-methyl-pyran-4(1H)-one afforded the title compound after recrystallisation from EtOAc / Pet. ether 40/60, as a white crystalline solid (Yield = 61.3%); m.p. 111-114°C

- 25 ¹H-NMR (CDCl₃) δ: 1.0 (t, 3H, CHCH₂CH₃), 1.6-2.1 (m, 2H, CHCH₂CH₃), 2.2 (s, 3H, 6-CH₃), 4.7-5.0 (m, 1H, CHCH₂CH₃), 5.8 (s, 1H, CHPh), 6.1 (s, 1H, 7-H(pyranone)), 7.15-7.7 (m, 5H, Ar)
Anal. Calcd. for C₁₆H₁₆O₄: C, 70.58; H, 4.92%. Found: C, 70.35; H, 4.89%

30 **2-Hydroxymethyl-3-benzyloxy-6-methyl-pyran-4(1H)-one (known).**

Sodium hydroxide (4.84 g, 121 mmol, 1.1 eq.) dissolved in 10 ml distilled water was added

to 100 ml methanol containing 2-hydroxymethyl-3-hydroxy-6-methyl-pyran-4(1*H*)-one (17.2 g, 110 mmol, 1 eq.) and heated to reflux. Benzyl bromide (20.7 g, 121 mmol, 1 eq.) was added dropwise over 30 minutes and then refluxed overnight. The reaction mixture was concentrated *in vacuo*, the residue taken up into 300 ml dichloromethane and the inorganic salts filtered off. The dichloromethane layer was washed with 2 x 100 ml 5% w/v sodium hydroxide solution, 100 ml water, dried (Na₂SO₄), and concentrated *in vacuo* to yield the crude product as a yellow crystalline solid. Recrystallisation from CH₂Cl₂/Pet. ether 40/60 afforded the title product in 80% yield (21.6 g) as a white crystalline solid. m.p. 115-116°C [lit. 114-116°C Tilbrook (1995)].

¹H-NMR (CDCl₃) δ: 2.2 (s, 3H, 6-CH₃), 2.6 (br., s, 1H, 2-CH₂OH), 4.3 (br., s, 2H, 2-CH₂OH), 5.18 (s, 2H, CH₂Ph), 6.16 (s, 1H, 5-H(pyranone)), 7.4 (s, 5H, Ar)

NOVEL INTERMEDIATES AND ORALLY ACTIVE PRODRUGS OF THE INVENTION

15 EXAMPLE 6: 2-(1-Hydroxyethyl)-3-benzyloxy-6-methyl-pyran-4(1*H*)-one

The title compound was prepared by the method outlined for 2-hydroxymethyl-3-benzyloxy-6-methyl-pyran-4(1*H*)-one, using 8.5 g (50 mmol, 1 eq.) of 2-(1-hydroxyethyl)-3-hydroxy-6-methyl-pyran-4(1*H*)-one and 9.5 g benzyl bromide (55 mmol, 1.1 eq.) to yield the pure product 10.1 g (77.7%) after recrystallisation from CH₂Cl₂/Pet. ether 40/60, as a white crystalline solid. m.p 91-92°C.

¹H-NMR (CDCl₃) δ: 1.25 (d, 3H, 2-CHCH₃), 2.25 (s, 3H, 6-CH₃), 2.55 (br., s, 1H, 2-CHOH), 4.9 (q, 1H, 2-CHCH₃), 5.18 (s, 2H, CH₂Ph), 6.16 (s, 1H, 5-H (pyranone)), 7.4 (s, 5H, Ar)

25 EXAMPLE 7: 2-(1'-Hydroxypropyl)-3-benzyloxy-6-methyl-pyran-4(1*H*)-one

The title compound was prepared by the method outlined for 2-hydroxymethyl-3-benzyloxy-6-methyl-pyran-4(1*H*)-one, using 7.36 g (40 mmol, 1 eq.) of 2-(1-hydroxypropyl)-3-hydroxy-6-methyl-pyran-4(1*H*)-one and 7.5 g benzyl bromide (44 mmol, 1.1 eq.) to yield the pure product 8.9 g (81.2%) after recrystallisation from CH₂Cl₂/Pet. ether 40/60, as a white crystalline solid. m.p. 88-89°C.

¹H-NMR (CDCl₃) δ: 0.8 (t, 3H, 2-CHCH₂CH₃), 1.2-1.9 (m, 2H, 2-CHCH₂CH₃),

2.2 (s, 3H, 6-CH₃), 2.4 (br., s, 1H, 2-CHOH), 4.5 (t, 1H, 2-CHCH₂CH₃),
5.08 (s, 2H, CH₂Ph), 6.04 (s, 1H, 5-H(pyranone)), 7.28 (s, 5H, Ar)

2-Hydroxymethyl-3-benzyloxy-pyran-4(1H)-one (known).

5 The title compound was prepared by the method outlined for 2-hydroxymethyl-3-benzyloxy-6-methyl-pyran-4(1H)-one, using 7.1 g (50 mmol, 1 eq.) of 2-hydroxymethyl-3-hydroxy-pyran-4(1H)-one and 9.5 g benzyl bromide (55 mmol, 1.1 eq.) to yield the crude product as an orange oil. Further purification by column chromatography on silica gel (eluant: 10% CH₃OH/90% CHCl₃) furnished the pure product (9.4 g, 81%) as a bright
10 yellow oil. (Looker and Clifton (1986).

¹H-NMR (CDCl₃) δ: 1.8 (br., s, 1H, 2-CH₂OH), 4.4 (br., s, 2H, 2-CH₂OH), 5.18 (s, 2H, CH₂Ph), 6.35 (d, 1H, 5-H(pyranone)), 7.4 (s, 5H, Ar), 7.65 (d, 1H, 6-H(pyranone))

EXAMPLE 8: 2-(1'-Hydroxyethyl)-3-benzyloxy-pyran-4(1H)-one

15 The title compound was prepared by the method outlined for 2-hydroxymethyl-3-benzyloxy-6-methyl-pyran-4(1H)-one, using 4.68 g (30 mmol, 1 eq.) of 2-(1-hydroxyethyl)-3-hydroxy-pyran-4(1H)-one and 5.64 g benzyl bromide (33 mmol, 1.1 eq.) to yield the pure product 6.1 g (82%) after recrystallisation from CH₂Cl₂/Pet. ether 40/60, as a white crystalline solid. m.p. 97-100°C.

20 ¹H-NMR (CDCl₃) δ: 1.35 (d, 3H, 2-CHCH₃), 2.5 (br., s, 1H, 2-CHOH), 4.95 (q, 1H, 2-CHCH₃), 5.21 (s, 2H, CH₂Ph), 6.38 (d, 1H, 5-H(pyranone)), 7.4 (s, 5H, Ar), 7.7 (d, 1H, 6-H(pyranone))

ORALLY ACTIVE PRODRUGS OF THE INVENTION.

25 **EXAMPLE 9: 2-Methoxymethyl-3-benzyloxy-6-methyl-pyran-4(1H)-one**

To a suspension of sodium hydride (0.48 g, 20 mmol, 2 eq.) in 30 ml dry DMF was added 2-hydroxymethyl-3-benzyloxy-6-methyl-pyran-4(1H)-one (2.46 g, 10 mmol, 1 eq.) followed by dropwise addition of iodomethane (4.26 g, 30 mmol, 3 eq.) at 0°C under nitrogen. After stirring for 30 minutes at this temperature, the reaction mixture was poured
30 into ice cold water (100 ml) and extracted with dichloromethane (3 x 50 ml). The combined organic fractions were dried over anhydrous sodium sulphate, filtered and

concentrated *in vacuo* to yield the crude product (2.6 g, ~100%) as an orange oil which solidified on cooling. Recrystallisation from CH₂Cl₂/Pet. ether 40/60 afforded the pure product (2.35 g, 90%) as a white crystalline solid. m.p. 30-32°C.

¹H-NMR (CDCl₃) δ: 2.25 (s, 3H, 6-CH₃), 3.26 (s, 3H, OCH₃), 4.2 (s, 2H, 2-CH₂OCH₃),
5 5.18 (s, 2H, CH₂Ph), 6.16 (s, 1H, 5-H(pyranone)), 7.35 (s, 5H, Ar)

EXAMPLE 10: 2-(1-Methoxyethyl)-3-benzyloxy-6-methyl-pyran-4(1H)-one

In an analogous procedure in the preparation of 2-methoxymethyl-3-benzyloxy-6-methyl-pyran-4(1H)-one using 2-(1-hydroxyethyl)-3-benzyloxy-6-methyl-pyran-4(1H)-one
10 (2.6 g, 10 mmol, 1 eq.) yielded the title compound as an orange oil (2.65 g, 97%). Further purification by column chromatography on silica gel (eluant: EtOAc) furnished the pure product as a bright yellow oil.

¹H-NMR (CDCl₃) δ: 1.18 (d, 3H, 2-CHCH₃), 2.25 (s, 3H, 6-CH₃), 3.1 (s, 3H OCH₃),
4.5 (q, 1H, 2-CHCH₃), 5.2 (s, 2H, CH₂Ph), 6.16 (s, 1H, 5-H(pyranone)), 7.4 (s, 5H, Ar).
15

EXAMPLE 11: 2-(1'-Methoxypropyl)-3-benzyloxy-6-methyl-pyran-4(1H)-one

In an analogous procedure in the preparation of 2-methoxymethyl-3-benzyloxy-6-methyl-pyran-4(1H)-one using 2-(1-hydroxypropyl)-3-benzyloxy-6-methyl-pyran-4(1H)-one
20 (5.48 g, 20 mmol, 1 eq.) yielded the title compound (5.2 g, 90.3%) as an orange oil which solidified on cooling. Recrystallisation from CH₂Cl₂/Pet. ether 40/60 afforded the pure product as a white crystalline solid. m.p. 63-65 °C.

¹H-NMR (CDCl₃) δ: 0.9 (t, 3H, 2-CHCH₂CH₃), 1.2-1.8 (m, 2H, 2-CHCH₂CH₃),
2.34 (s, 3H, 6-CH₃), 3.18 (s, 3H OCH₃), 4.3 (t, 1H, 2-CHCH₂CH₃), 5.24 (s, 2H, CH₂Ph),
6.2 (s, 1H, 5-H(pyranone)), 7.38 (s, 5H, Ar)
25

EXAMPLE 12: 2-Methoxymethyl-3-benzyloxy-pyran-4(1H)-one

In an analogous procedure in the preparation of 2-methoxymethyl-3-benzyloxy-6-methyl-pyran-4(1H)-one using 2-hydroxymethyl-3-benzyloxy-pyran-4(1H)-one
30 (2.32 g, 10 mmol, 1 eq.) yielded the title compound as an orange oil (2.5 g, ~100%). Further purification by column chromatography on silica gel (eluant: EtOAc) furnished the pure product as a bright yellow oil.

¹H-NMR (CDCl₃) δ: 3.25 (s, 3H, OCH₃), 4.3 (s, 2H, 2-CH₂OCH₃), 5.2 (s, 2H, CH₂Ph), 6.3 (d, 1H, 5-H(pyranone)), 7.3 (s, 5H, Ar), 7.65 (d, 1H, 6-H (pyranone))

EXAMPLE 13: 2-(1-Methoxyethyl)-3-benzyloxy-pyran-4(1H)-one

5 In an analogous procedure in the preparation of 2-methoxymethyl-3-benzyloxy-6-methyl-pyran-4(1H)-one using 2-(1-hydroxyethyl)-3-benzyloxy-pyran-4(1H)-one (2.46 g, 10 mmol, 1 eq.) yielded the title compound as a yellow oil (2.4 g, 92.3%). Further purification by column chromatography on silica gel (eluant: EtOAc) furnished the pure product (2.1 g, 80.8%) as a bright yellow oil.

10 ¹H-NMR (CDCl₃) δ: 1.18 (d, 3H, 2-CHCH₃), 3.1 (s, 3H, OCH₃), 4.45 (q, 1H, 2-CHCH₃), 5.2 (s, 2H, CH₂Ph), 6.3 (d, 1H, 5-H(pyranone)), 7.3 (s, 5H, Ar), 7.65 (d, 1H, 6-H(pyranone))

EXAMPLE 14: 2-(1-Ethoxyethyl)-3-benzyloxy-6-methyl-pyran-4(1H)-one

15 In an analogous procedure in the preparation of 2-methoxymethyl-3-benzyloxy-6-methyl-pyran-4(1H)-one using 2-(1-hydroxyethyl)-3-benzyloxy-6-methyl-pyran-4(1H)-one (5.2 g, 20 mmol, 1 eq.) and 9.36 g iodoethane (60 mmol, 3 eq.) yielded the title compound as an orange oil (5.4 g, 94% Crude). Further purification by column chromatography on silica gel (eluant: EtOAc) furnished the pure product as a bright yellow oil.

20 ¹H-NMR (CDCl₃) δ: 1.05-1.65 (m, 6H, 2-CHCH₃ & OCH₂CH₃), 2.38 (s, 3H, 6-CH₃), 3.3 (q, 2H, OCH₂CH₃), 4.65 (q, 1H, 2-CHCH₃), 5.25 (s, 2H, CH₂Ph), 6.2 (s, 1H, 5-H(pyranone)), 7.4 (s, 5H, Ar)

NOVEL INTERMEDIATES OF THE INVENTION

25 **EXAMPLE 15: 8-Oxo-4,8-dihydro-2-phenyl-5-methyl-4H-pyridino[3,2-d]-m-dioxin**

To a solution of 8-oxo-4,8-dihydro-2-phenyl-4H-pyrano[3,2-d]-m-dioxin (2.3 g, 10 mmol, 1 eq.) in ethanol (10 ml)/water (10 ml) was added 2.5 ml (20 mmol, 2 eq.) of 40% aqueous methylamine followed by 2N sodium hydroxide solution until pH 12.5 was obtained. The reaction mixture was sealed in a thick-walled glass tube and stirred at 70°C for 3 hours.

30 After adjustment to pH 1 with concentrated hydrochloric acid, the solvent was removed by rotary evaporation prior to addition of water (50 ml) and washing with diethyl ether

(3 x 50 ml). Subsequent adjustment of the aqueous fraction to pH 7 with 10N sodium hydroxide solution was followed by extraction into dichloromethane (4 x 50 ml), the combined organic layers then being dried over anhydrous sodium sulphate, filtered, rotary evaporated to give a yellow solid. Recrystallisation from methanol/diethyl ether afforded the pure product (1.6 g, 65.8%) as a light yellow crystalline solid. m.p. 210-211°C.

¹H-NMR (DMSO-d₆) δ: 3.55 (s, 3H, N-CH₃), 5.08 (s, 2H, CH₂O), 5.92 (s, 1H, CHPh), 6.12 (d, 1H, 7-H(pyridinone)), 7.25-7.85 (m, 6H, Ar & 6-H(pyridinone))

EXAMPLE 16: 8-Oxo-4,8-dihydro-2-phenyl-5,6-dimethyl-4H-pyridino[3,2-d]-m-dioxin

In an analogous procedure in the preparation of 8-oxo-4,8-dihydro-2-phenyl-5-methyl-4H-pyridino[3,2-d]-m-dioxin using 8-oxo-4,8-dihydro-6-methyl-2-phenyl-4H-pyrano[3,2-d]-m-Dioxin (1.22 g, 5 mmol) yielded the title compound as a white powder (0.85 g, 66%). m.p. 256-258°C.

¹H-NMR (methanol-d₄) δ: 2.2 (s, 3H, 6-CH₃), 3.35 (s, 3H, N-CH₃), 4.95 (s, 2H, CH₂O), 5.8 (s, 1H, CHPh), 6.5 (s, 1H, 7-H(pyridinone)), 7.0-7.5 (m, 5H, Ar)

EXAMPLE 17: 8-Oxo-4,8-dihydro-2-phenyl-4,5,6-trimethyl-4H-pyridino[3,2-d]-m-dioxin

In an analogous procedure in the preparation of 8-oxo-4,8-dihydro-2-phenyl-5-methyl-4H-pyridino[3,2-d]-m-dioxin using 8-oxo-4,8-dihydro-4,6-dimethyl-2-phenyl-4H-pyrano[3,2-d]-m-dioxin (2.58 g, 10 mmol) yielded the crude product. Further purification by column chromatography on silica gel (eluant: 20% CH₃OH/80% CHCl₃) afforded the pure title compound (1.54 g, 56.8%) after recrystallisation from methanol/diethyl ether as a pale yellow crystalline solid. m.p. 199-201°C

¹H-NMR (DMSO-d₆) δ: 1.7 (dd, 3H, CHCH₃), 2.35 (s, 3H, 6-CH₃), [3.44 (s, isomer B) & 3.5 (s, isomer A); 3H, N-CH₃], 4.9-5.4 (m, 1H, CHCH₃), [5.75 (s, isomer A) & 6.05 (s, isomer B); 1H, CHPh], 6.35 (s, 1H, 7-H(pyridinone)), 7.2-7.9 (m, 5H, Ar)

EXAMPLE 18: 8-Oxo-4,8-dihydro-2-phenyl-4-ethyl-5,6-dimethyl-4H-pyridino[3,2-d]-m-dioxin

In an analogous procedure in the preparation of 8-oxo-4,8-dihydro-2-phenyl-5-methyl-4H-pyridino[3,2-d]-m-dioxin using 8-oxo-4,8-dihydro-4-ethyl-6-methyl-2-phenyl-4H-pyrano[3,2-d]-m-dioxin (4.08 g, 15 mmol) yielded the crude product. Further purification by column chromatography on silica gel (eluant: 20% CH₃OH/80% CHCl₃) afforded the pure title compound (1.7 g, 39.8%) after recrystallisation from CHCl₃/diethyl ether as a pale yellow crystalline solid. m.p. 185-187°C.

¹H-NMR (DMSO-d₆) δ: 0.8-1.4 (m, 3H, CHCH₂CH₃), 1.5-2.2 (m, 2H, CHCH₂CH₃), 2.3 (s, 3H, 6-CH₃), [3.38 (s, isomer B) & 3.45 (s, isomer A); 3H, N-CH₃], [4.5-4.8 (m, isomer B) & 4.9-5.4 (m, isomer A); 1H, CHCH₂CH₃], [5.68 (s, isomer A) & 5.95 (s, isomer B); 1H, CHPh], 6.25 (s, 1H, 7-H (pyridinone)), 7.2-7.8 (m, 5H, Ar)

EXAMPLE 19: 8-Oxo-4,8-dihydro-2-phenyl-4-methyl-5-ethyl-4H-pyridino[3,2-d]-m-dioxin

To a solution of 8-oxo-4,8-dihydro-4-methyl-2-phenyl-4H-pyrano[3,2-d]-m-dioxin (1.7 g, 7 mmol, 1 eq.) in ethanol (10 ml)/water (10 ml) was added 1.2 ml (14 mmol, 2 eq.) of 70% aqueous ethylamine followed by 2N sodium hydroxide solution until pH 12.5 was obtained. The reaction mixture was sealed in a thick-walled glass tube and stirred at 70°C for 3 hours. After removal the solvent, the residue was purified by column chromatography on silica gel (eluant: 15% CH₃OH/85% CHCl₃) to afford the title product (1.5 g, 79.1%) as a yellow oil.

¹H-NMR (CDCl₃) δ: 1.2-2.2 (m, 6H, CHCH₃ & N-CH₂CH₃), 3.4-4.0 (m, 2H, N-CH₂CH₃), 4.8-5.4 (m, 1H, CHCH₃), [5.6 (s, isomer A) & 6.0 (s, isomer B); 1H, CHPh], 6.3 (d, 1H, 7-H(pyridinone)), 7.0-7.7 (m, 6H, Ar & 6-H(pyridinone)).

EXAMPLE 20: 8-Oxo-4,8-dihydro-2-phenyl-5-(3-hydroxypropyl)-4H-pyridino[3,2-d]-m-dioxin

To a solution of 8-oxo-4,8-dihydro-2-phenyl-4H-pyrano[3,2-d]-m-dioxin (3.45 g, 15 mmol, 1 eq.) in ethanol (50 ml)/water (50 ml) was added 3-hydroxypropylamine (2.25 g, 30 mmol, 2 eq.) followed by 2N sodium hydroxide solution until pH 12.5 was obtained. The reaction mixture was refluxed for 3 hours. TLC analysis (10% CH₃OH/90%

CHCl₃) showed that no starting material was present. After removal of solvent by rotary evaporation, the residue was purified by column chromatography on silica gel (eluant: 20% CH₃OH/80% CHCl₃) to afford the title compound (3.35 g, 77.8%) as a yellow crystalline solid m.p. 73-76°C.

- 5 ¹H-NMR (CDCl₃) δ: 1.5-2.1 (m, 2H, N-CH₂CH₂CH₂O), 3.2-4.0 (m, 4H, N-CH₂CH₂CH₂O), 4.0-5.2 (br., 1H, OH), 4.8 (s, 2H, CH₂O), 5.7 (s, 1H, CHPh), 6.2 (d, 1H, 7-H(pyridinone)), 7.0-7.8 (m, 6H, Ar & 6-H(pyridinone))

10 **EXAMPLE 21: 8-Oxo-4,8-dihydro-2-phenyl-4-methyl-5-(3-hydroxypropyl)-4H-pyridino [3,2-d]-m-dioxin**

In an analogous procedure in the preparation of 8-oxo-4,8-dihydro-2-phenyl-5-(3-hydroxypropyl)-4H-pyridino[3,2-d]-m-dioxin using 8-oxo-4,8-dihydro-4-methyl-2-phenyl-4H-pyrano[3,2-d]-m-dioxin (1.83 g, 7.5 mmol, 1 eq.) yielded the title compound (1.3 g, 57.6%) after purification by column chromatography on silica gel (eluant: 20%
15 CH₃OH/80% CHCl₃) as a yellow oil.

¹H-NMR (CDCl₃) δ: 1.5 (d, 3H, CHCH₃), 1.5-2.1 (m, 2H, N-CH₂CH₂CH₂O), 3.2-4.0 (m, 4H, N-CH₂CH₂CH₂O), 4.0-5.2 (br., 1H, OH), 5.28 (q, 1H, CHCH₃), 5.58 (s, 1H, CHPh), 6.2 (d, 1H, 7-H(pyridinone)), 7.0-7.8 (m, 6H, Ar & 6-H (pyridinone))

20 **EXAMPLE 22: 8-Oxo-4,8-dihydro-2-phenyl-5-[(3-benzoyloxy)propyl]-4H-pyridino [3,2-d]-m-dioxin**

A solution of triphenyl phosphine (3.46 g, 13.2 mmol, 1.1 eq.) and 8-oxo-4,8-dihydro-2-phenyl-5-(3-hydroxypropyl)-4H-pyridino[3,2-d]-m-dioxin (3.3 g, 12 mmol, 1 eq.) in dry tetrahydrofuran (100 ml) was added dropwise to a solution of diethyl azodicarboxylate
25 (2.3 g, 13.2 mmol, 1.1 eq.) and benzoic acid (1.5 g, 12 mmol, 1 eq.) in dry tetrahydrofuran (30 ml) at room temperature. After stirring the mixture overnight at room temperature, the solvent was removed under reduced pressure. The residue thus obtained was purified by column chromatography on silica gel (eluant: 12% CH₃OH/88% CHCl₃) to afford the title compound (4.1g, 89.7%) as a light yellow oil.

- 30 ¹H-NMR (CDCl₃) δ: 1.95-2.55 (m, 2H, N-CH₂CH₂CH₂O), 3.82 (t, 2H, N-CH₂CH₂CH₂O), 4.34 (t, 2H, N-CH₂CH₂CH₂O), 4.9 (s, 2H, CH₂O),

5.8 (s, 1H, CHPh), 6.3 (d, 1H, 7-H(pyridinone)), 7.0-8.2 (m, 11H, Ar & 6-H (pyridinone)).

ORALLY ACTIVE PRODRUGS OF THE INVENTION

EXAMPLE 23: 1,6-Dimethyl-2-methoxymethyl-3-benzyloxy-pyridin-4(1H)-one hydrochloride

To a solution of 2-methoxymethyl-3-benzyloxy-6-methyl-pyran-4(1H)-one (3.12 g, 12 mmol, 1 eq.) in ethanol (10 ml)/water (10 ml) was added 2.8 g (36 mmol, 3 eq.) of 40% aqueous methylamine followed by 2N sodium hydroxide solution until pH 13 was obtained. The reaction mixture was sealed in a thick-walled glass tube and stirred at 70°C for 12 hours. After adjustment to pH 1 with concentrated hydrochloric acid, the solvent was removed by rotary evaporation prior to addition of water (50 ml) and washing with diethyl ether (3 x 50 ml). Subsequent adjustment of the aqueous fraction to pH 7 with 10N sodium hydroxide solution was followed by extraction into dichloromethane (4 x 50 ml), the combined organic layers then being dried over anhydrous sodium sulphate, filtered and the solvent removed *in vacuo*. The residue was redissolved in 30 ml methanol and adjusted to pH 1 with concentrated hydrochloric acid. The solution was reconcentrated *in vacuo* to yield the crude product. Recrystallization from methanol/diethyl ether gave the pure title compound (3.05 g, 82%) as a white crystalline solid m.p. 125-128°C.

¹H-NMR (DMSO-d₆) δ: 2.6 (s, 3H, 6-CH₃), 3.26 (s, 3H, OCH₃), 3.86 (s, 3H, N-CH₃), 4.6 (s, 2H, 2-CH₂OCH₃), 5.04 (s, 2H, CH₂Ph), 5.5-6.5 (br., 1H, OH), 7.2-7.8 (m, 6H, Ar & 5-H(pyridinone))

EXAMPLE 24: 1,6-Dimethyl-2-(1-methoxyethyl)-3-benzyloxy-pyridin-4(1H)-one hydrochloride

The title compound was prepared by the method outlined for 1,6-dimethyl-2-methoxymethyl-3-benzyloxy-pyridin-4(1H)-one hydrochloride, using 3.56 g (13 mmol, 1 eq.) of 2-(1-methoxyethyl)-3-benzyloxy-6-methyl-pyran-4(1H)-one to yield the pure product 2.64 g (62.8%) after recrystallisation from methanol/diethyl ether, as a white crystalline solid m.p. 117-119°C.

¹H-NMR (DMSO-d₆) δ: 1.3 (d, 3H, CHCH₃), 2.54 (s, 3H, 6-CH₃), 3.04 (s, 3H, OCH₃), 3.96 (s, 3H, N-CH₃), 5.08 (s, 2H, CH₂Ph), 5.12 (q, 1H, CHCH₃), 7.4 (s, 5H, Ar),

7.6 (s, 1H, 5-H(pyridinone))

EXAMPLE 25: 1-Ethyl-2-methoxymethyl-3-benzyloxy-6-methyl-pyridin-4(1H)-one hydrochloride

5 The title compound was prepared by the method outlined for 1,6-dimethyl-2-methoxymethyl-3-benzyloxy-pyridin-4(1H)-one hydrochloride, using 6.5 g (25 mmol, 1 eq.) of 2-(1-methoxymethyl)-3-benzyloxy-6-methyl-pyran-4(1H)-one and 4.82 g (75 mmol, 3 eq.) of 70% aqueous ethylamine to yield the pure product 3.7 g (45.7%) after recrystallisation from methanol/diethyl ether, as a white crystalline solid m.p. 114-116°C.

10 ¹H-NMR (DMSO-d₆) δ: 1.3 (t, 3H, N-CH₂CH₃), 2.64 (s, 3H, 6-CH₃), 3.27 (s, 3H, OCH₃), 4.35 (q, 2H, N-CH₂CH₃), 4.6 (s, 2H, 2-CH₂OCH₃), 5.1 (s, 2H, CH₂Ph), 6.0-7.0 (br., 1H, OH), 7.45 (s, 5H, Ar), 7.52 (s, 1H, 5-H(pyridinone))

EXAMPLE 26: 1-Ethyl-2-methoxymethyl-3-benzyloxy-pyridin-4(1H)-one

15 To a solution of 2-methoxymethyl-3-benzyloxy-pyran-4(1H)-one (2.46 g, 10 mmol, 1 eq.) in ethanol (10 ml)/water (10 ml) was added 1.93 g (30 mmol, 3 eq.) of 70% aqueous ethylamine followed by 2N sodium hydroxide solution until pH 13 was obtained. The reaction mixture was sealed in a thick-walled glass tube and stirred at 70°C overnight. After adjustment to pH 1 with concentrated hydrochloric acid, the solvent was removed by
20 rotary evaporation prior to addition of water (50 ml) and washing with diethyl ether (3 x 50 ml). Subsequent adjustment of the aqueous fraction to pH 7 with 10N sodium hydroxide solution was followed by extraction into dichloromethane (4 x 50 ml), the combined organic layers then being dried over anhydrous sodium sulphate, filtered and the solvent removed *in vacuo*. The residue was purified by column chromatography on silica
25 gel (eluant: 15% CH₃OH/85% CHCl₃) to afford the title compound (2.05 g, 75.1%) as a yellow oil.

¹H-NMR (CDCl₃) δ: 1.3 (t, 3H, N-CH₂CH₃), 3.24 (s, 3H, OCH₃), 3.95 (q, 2H, N-CH₂CH₃), 4.35 (s, 2H, 2-CH₂OCH₃), 5.25 (s, 2H, CH₂Ph), 6.45 (d, 1H, 5-H (pyridinone)), 7.15-7.6 (m, 6H, Ar & 5-H(pyridinone)).

30

EXAMPLE 27: 1-Ethyl-2-(1-methoxyethyl)-3-benzyloxy-pyridin-4(1H)-one

In an analogous procedure in the preparation of 1-ethyl-2-methoxymethyl-3-benzyloxy-pyridin-4(1*H*)-one using 2-(1-methoxyethyl)-3-benzyloxy-pyran-4(1*H*)-one 3.12 g (12 mmol, 1 eq.) yielded the title compound (1.03 g, 29.6%) after purification by column chromatography on silica gel (eluant: 15% CH₃OH/85% CHCl₃) as a yellow oil.

- 5 ¹H-NMR (CDCl₃) δ: 1.1-1.6 (m, 6H, CHCH₃ & N-CH₂CH₃), 3.0 (s, 3H, OCH₃), 4.1 (q, 2H, N-CH₂CH₃), 4.95 (q, 1H, CHCH₃), 5.18 (s, 2H, CH₂Ph), 6.3 (d, 1H, 5-H (pyridinone)), 7.0-7.5 (m, 6H, Ar & 5-H(pyridinone))

EXAMPLE 28: 1,6-Dimethyl-2-(1-methoxypropyl)-3-benzyloxy-pyridin-4(1*H*)-one

- 10 In an analogous procedure in the preparation of 1-ethyl-2-methoxymethyl-3-benzyloxy-pyridin-4(1*H*)-one using 2-(1-methoxypropyl)-3-benzyloxy-6-methyl-pyran-4(1*H*)-one 4.32 g (15 mmol, 1 eq.) and 3.49 g (45 mmol, 3 eq.) of 40% aqueous methylamine yielded the title compound (1.7 g, 37.6%) after purification by column chromatography on silica gel (eluant: 15% CH₃OH/85% CHCl₃) as a yellow oil.

- 15 ¹H-NMR (CDCl₃) δ: 0.9 (t, 3H, CHCH₂CH₃), 1.1-1.9 (m, 2H, CHCH₂CH₃), 2.3 (s, 3H, 6-CH₃), 3.05 (s, 3H, OCH₃), 3.65 (s, 3H, N-CH₃), 4.65-5.0 (m, 1H, CHCH₂CH₃), 5.24 (s, 2H, CH₂Ph), 6.3 (d, 1H, 5-H (pyridinone)), 7.1-7.6 (m, 6H, Ar)

EXAMPLE 29: 1,6-Dimethyl-2-(1-ethoxymethyl)-3-benzyloxy-pyridin-4(1*H*)-one

- 20 In an analogous procedure in the preparation of 1-ethyl-2-methoxymethyl-3-benzyloxy-pyridin-4(1*H*)-one using 2-(1-ethoxymethyl)-3-benzyloxy-6-methyl-pyran-4(1*H*)-one 5.76 g (20 mmol, 1 eq.) and 4.65 g (60 mmol, 3 eq.) of 40% aqueous methylamine yielded the title compound (3.68 g, 61.1%) after purification by column chromatography on silica gel (eluant: 15% CH₃OH/85% CHCl₃) as a yellow oil.

- 25 ¹H-NMR (CDCl₃) δ: 1.1-1.6 (m, 6H, CHCH₃ & OCH₂CH₃), 2.3 (s, 3H, 6-CH₃), 3.2 (q, 2H, OCH₂CH₃), 3.7 (s, 3H, N-CH₃), 5.2 (q, 1H, CHCH₃), 5.25 (s, 2H, CH₂Ph), 6.3 (s, 1H, 5-H(pyridinone)), 7.1-7.6 (m, 5H, Ar)

30 **DE-ALKYLATED ACTIVE METABOLITES OF ORALLY ACTIVE COMPOUNDS OF THE INVENTION.**

EXAMPLE 30: 1-Methyl-2-hydroxymethyl-3-hydroxy-pyridin-4(1*H*)-one

hydrochloride

8-Oxo-4,8-dihydro-2-phenyl-5-methyl-4H-pyridino[3,2-d]-m-dioxin (1.22 g, 5 mmol) was dissolved in 30 ml of ethanol and adjusted to pH 1 with concentrated hydrochloric acid prior to hydrogenolysis for 12 hours in the presence of 5% Pd/C catalyst (0.2 g). Filtration followed by rotary evaporation gave the crude product as a white solid. Recrystallization from methanol/diethyl ether gave the pure title compound (0.82 g, 86%) as a white crystalline solid. m.p. 157-159°C.

¹H-NMR (DMSO-d₆) δ: 4.18 (s, 3H, N-CH₃), 4.8 (s, 2H, 2-CH₂OH), 7.4 (d, 1H, 5-H(pyridinone)), 8.3 (d, 1H, 6-H(pyridinone)), 7.6-9.3 (br., 3H, OH)

Anal. Calcd. for C₇H₁₀NO₃Cl: C, 43.88; H, 5.26; N, 7.31%.

Found: C, 44.14; H, 5.34; N, 7.28%

EXAMPLE 31: 1,6-Dimethyl-2-hydroxymethyl-3-hydroxy-pyridin-4(1H)-one hydrochloride

In an analogous hydrogenation procedure in the preparation of 1-methyl-2-hydroxymethyl-3-hydroxy-pyridin-4(1H)-one hydrochloride, using 8-oxo-4,8-dihydro-2-phenyl-5,6-dimethyl-4H-pyridino[3,2-d]-m-dioxin (0.64 g, 2.5 mmol) and 5% Pd/C catalyst (0.1 g) yield the title compound 0.45 g (87.5%) after recrystallisation from methanol / diethyl ether, as a white crystalline solid. m.p. 140-143°C.

¹H-NMR (DMSO-d₆) δ: 2.7 (s, 3H, 6-CH₃), 4.06 (s, 3H, N-CH₃), 4.86 (s, 2H, 2-CH₂OH), 7.4 (s, 1H, 5-H(pyridinone)), 6.4-8.7 (br., 3H, OH)

Anal. Calcd. for C₈H₁₂NO₃Cl·½H₂O: C, 44.77; H, 6.10; N, 6.53%.

Found: C, 44.72; H, 6.00; N, 6.26%

EXAMPLE 32: 1,6-Dimethyl-2-(1-hydroxyethyl)-3-hydroxy-pyridin-4(1H)-one hydrochloride

In an analogous hydrogenation procedure in the preparation of 1-methyl-2-hydroxymethyl-3-hydroxy-pyridin-4(1H)-one hydrochloride, using 8-oxo-4,8-dihydro-2-phenyl-4,5,6-trimethyl-4H-pyridino[3,2-d]-m-dioxin (1.36 g, 5 mmol) and 5% Pd/C catalyst (0.3 g) yield the title compound 0.9 g (82%) after recrystallisation from methanol/diethyl ether, as a light yellow crystalline solid. m.p. 208-212°C.

¹H-NMR (DMSO-d₆) δ: 1.4 (d, 3H, CHCH₃), 2.5 (s, 3H, 6-CH₃), 4.04 (s, 3H, N-CH₃), 5.65 (q, 1H, CHCH₃), 7.3 (s, 1H, 5-H(pyridinone)), 7.5-10.0 (br., 3H, OH)

Anal. Calcd. for C₉H₁₄NO₃Cl: C, 49.21; H, 6.42; N, 6.38%.

Found: C, 49.12; H, 6.33; N, 6.22%

5

EXAMPLE 33: 1,6-Dimethyl-2-(1-hydroxypropyl)-3-hydroxy-pyridin-4(1H)-one hydrochloride

In an analogous hydrogenation procedure in the preparation of 1-methyl-2-hydroxymethyl-3-hydroxy-pyridin-4(1H)-one hydrochloride, using 8-oxo-4,8-dihydro-2-phenyl-4-ethyl-10 5,6-dimethyl-4H-pyridino[3,2-d]-m-dioxin (1.43 g, 5 mmol) and 5% Pd/C catalyst (0.3 g) yield the title compound 0.93 g (79.7%) after recrystallisation from methanol/diethyl ether, as a white crystalline solid. m.p. 221-223°C.

¹H-NMR (DMSO-d₆) δ: 0.8 (t, 3H, CHCH₂CH₃), 1.3-2.1 (m, 2H, CHCH₂CH₃), 2.43 (s, 3H, 6-CH₃), 3.94 (s, 3H, N-CH₃), 5.3 (t, 1H, CHCH₂CH₃),

15 7.15 (s, 1H, 5-H(pyridinone)), 7.5-10.5 (br., 3H, OH)

Anal. Calcd. for C₁₀H₁₆NO₃Cl: C, 51.40; H, 6.90; N, 5.99%.

Found: C, 51.45; H, 6.82; N, 5.89%.

EXAMPLE 34: 1-Ethyl-2-(1-hydroxyethyl)-3-hydroxy-pyridin-4(1H)-one hydrochloride

In an analogous hydrogenation procedure in the preparation of 1-methyl-2-hydroxymethyl-3-hydroxy-pyridin-4(1H)-one hydrochloride, using 8-oxo-4,8-dihydro-2-phenyl-4-methyl-5-ethyl-4H-pyridino[3,2-d]-m-dioxin (1.5 g, 5.5 mmol) and 5% Pd/C catalyst (0.3 g) yield the title compound 1.0 g (82.8%) after recrystallisation from methanol/diethyl ether, as a 25 white crystalline solid. m.p. 139-140°C.

¹H-NMR (DMSO-d₆) δ: 1.3-1.9 (m, 6H, CHCH₃ & N-CH₂CH₃), 4.6 (q, 2H, N-CH₂CH₃), 5.55 (q, 1H, CHCH₃), 7.4 (d, 1H, 5-H(pyridinone)), 8.25 (d, 1H, 5-H(pyridinone)), 8.5-10.5 (br., 3H, OH)

Anal. Calcd. for C₉H₁₄NO₃Cl: C, 49.21; H, 6.42; N, 6.38%.

30 Found: C, 49.30; H, 6.44; N, 6.30%

EXAMPLE 35: 1-(3-hydroxypropyl)-2-hydroxymethyl-3-hydroxy-pyridin-4(1H)-one hydrochloride

In an analogous hydrogenation procedure in the preparation of 1-methyl-2-hydroxymethyl-3-hydroxy-pyridin-4(1H)-one hydrochloride, using 8-oxo-4,8-dihydro-2-phenyl-5-(3-hydroxypropyl)-4H-pyridino[3,2-d]-m-dioxin (1.44 g, 5 mmol) and 5% Pd/C catalyst (0.3 g) yield the title compound 0.98 g (83.2%) after recrystallisation from methanol/diethyl ether, as a white crystalline solid. m.p. 138-139°C.

¹H-NMR (D₂O) δ: 1.9-2.6 (m, 2H, N-CH₂CH₂CH₂O), 3.75 (t, 2H, N-CH₂CH₂CH₂O), 4.6 (m, 4H, N-CH₂CH₂CH₂O), 5.08 (s, 2H, CH₂O), 7.25 (d, 1H, 5-H(pyridinone)), 8.2 (d, 1H, 6-H(pyridinone))

Anal. Calcd. for C₉H₁₄NO₄Cl: C, 45.87; H, 5.99; N, 5.94%.

Found: C, 45.87; H, 6.02; N, 5.75%

EXAMPLE 36: 1-(3-hydroxypropyl)-2-(1-hydroxyethyl)-3-hydroxy-pyridin-4(1H)-one hydrochloride

In an analogous hydrogenation procedure in the preparation of 1-methyl-2-hydroxymethyl-3-hydroxy-pyridin-4(1H)-one hydrochloride, using 8-oxo-4,8-dihydro-2-phenyl-4-methyl-5-(3-hydroxypropyl)-4H-pyridino [3,2-d]-m-dioxin (1.3 g, 4.3 mmol) and 5% Pd/C catalyst (0.3 g) yield the title compound 0.88 g (82%) after recrystallisation from methanol/diethyl ether, as a yellow crystalline solid. m.p. 117-120 °C.

¹H-NMR (DMSO-d₆) δ: 1.5 (d, 3H, CHCH₃), 1.65-2.45 (m, 2H, N-CH₂CH₂CH₂O), 3.45 (t, 2H, N-CH₂CH₂CH₂O), 4.65 (m, 4H, N-CH₂CH₂CH₂O), 5.5 (s, 2H, CHCH₃), 7.3 (d, 1H, 5-H(pyridinone)), 8.18 (d, 1H, 6-H(pyridinone)), 7.3-9.4 (br., 4H, OH)

Anal. Calcd. for C₁₀H₁₆NO₄Cl: C, 48.10; H, 6.46; N, 5.61%.

Found: C, 48.39; H, 6.32; N, 5.62%.

ORALLY ACTIVE PRODRUG OF THE INVENTION**EXAMPLE 37: 1-[(3-Benzoyloxy)propyl]-2-hydroxymethyl-3-hydroxy-pyridin-4(1H)-one hydrochloride (Ester prodrug of the invention).**

8-Oxo-4,8-dihydro-2-phenyl-5-[(3-benzoyloxy)propyl]-4H-pyridino [3,2-d]-m-dioxin (4.1 g, 10 mmol) was dissolved in 50 ml of DMF and adjusted to pH 1 with concentrated

hydrochloric acid prior to hydrogenolysis for 6 hours in the presence of 5% Pd/C catalyst (1.0 g). Filtration followed by rotary evaporation *in vacuo* gave the crude product as a white solid. Recrystallization from methanol/diethyl ether gave the pure title compound (2.9 g, 85%) as a white crystalline solid. m.p. 142-143°C.

5 ¹H-NMR (DMSO-d₆) δ: 1.9-2.8 (m, 2H, N-CH₂CH₂CH₂O), 4.0-5.0 (m, 4H, N-CH₂CH₂CH₂O), 4.8 (s, 2H, CH₂O), 7.2-8.1 (m, 6H, Ar & 5-H(pyridinone)), 8.3 (d, 1H, 6-H(pyridinone)), 8.5-10.2 (br., 3H, OH)

Anal. Calcd. for C₁₆H₁₈NO₅Cl: C, 56.56; H, 5.34; N, 4.12%.

Found: C, 56.40; H, 5.26; N, 4.08%

10

DE-ALKYLATED ACTIVE METABOLITES OF ORALLY ACTIVE COMPOUNDS OF THE INVENTION.

EXAMPLE 38: 1-Ethyl-2-hydroxymethyl-3-hydroxy-6-methyl-pyridin-4(1H)-one hydrochloride

15 1.3 g (4 mmol) 1-ethyl-2-methoxymethyl-3-benzyloxy-6-methyl-pyridin-4(1H)-one hydrochloride was added to 40 ml of 4N hydrochloric acid and refluxed for 6 hours. Concentration to dryness *in vacuo* afforded the crude product. Recrystallisation from methanol/diethyl ether gave the pure title compound (0.7 g, 80%) as a yellow crystalline solid. m.p. 160-162°C.

20 ¹H-NMR (DMSO-d₆) δ: 1.3 (t, 3H, N-CH₂CH₃), 2.5 (s, 3H, 6-CH₃), 4.3 (q, 2H, N-CH₂CH₃), 4.6 (s, 2H, 2-CH₂O), 7.1 (s, 1H, 5-H(pyridinone)), 7.8-10.0 (br., 3H, OH)

Anal. Calcd. for C₉H₁₄NO₃Cl·¹/₄H₂O: C, 48.22; H, 6.52; N, 6.25%.

Found: C, 48.44; H, 6.37; N, 6.15%

25 EXAMPLE 39: 1-Ethyl-2-hydroxymethyl-3-hydroxy-pyridin-4(1H)-one hydrochloride

2.0 g (7.33 mmol) 1-ethyl-2-methoxymethyl-3-benzyloxy-pyridin-4(1H)-one was dissolved in 50 ml of 4N hydrochloric acid and refluxed for 6 hours. Concentration to dryness *in vacuo* afforded the crude product. Recrystallisation from methanol / diethyl ether gave the pure title compound (1.1 g, 73%) as a white crystalline solid. m.p. 168-169°C.

30 ¹H-NMR (D₂O) δ: 1.45 (t, 3H, N-CH₂CH₃), 4.4 (q, 2H, N-CH₂CH₃),

4.88 (s, 2H, 2-CH₂O), 7.1 (d, 1H, 5-H(pyridinone)), 8.1 (d, 1H, 6-H(pyridinone))

Anal. Calcd. for $C_8H_{12}NO_3Cl$: C, 46.73; H, 5.88; N, 6.81%.

Found: C, 46.71; H, 5.97; N, 7.01%.

ORALLY ACTIVE PRODRUGS OF THE INVENTION.

5 **EXAMPLE 40: 1,6-Dimethyl-2-methoxymethyl-3-hydroxy-pyridin-4(1H)-one hydrochloride**

1,6-Dimethyl-2-methoxymethyl-3-benzyloxy-pyridin-4(1H)-one hydrochloride

(1.55 g, 5 mmol) was dissolved in methanol (40 ml)/water (10 ml) and hydrogenated for 4 hours in the presence of 5% Pd/C (0.3 g). Following filtration the filtrate was
10 concentrated *in vacuo* and the crude material recrystallised from methanol/diethyl ether gave the pure title compound (0.95 g, 86.5%) as a white crystalline solid. m.p. 156-159°C.

1H -NMR (DMSO- d_6) δ : 2.53 (s, 3H, 6- CH_3), 3.28 (s, 3H, OCH_3), 3.83 (s, 3H, N- CH_3), 4.68 (s, 2H, 2- CH_2OCH_3), 7.25 (s, 1H, 5-H(pyridinone)), 6.0-8.5 (br., 2H, OH)

Anal. Calcd. for $C_9H_{14}NO_3Cl$: C, 49.21; H, 6.42; N, 6.38%.

15 Found: C, 49.33; H, 6.49; N, 6.16%

EXAMPLE 41: 1,6-Dimethyl-2-(1-methoxyethyl)-3-hydroxy-pyridin-4(1H)-one hydrochloride

20 In an analogous hydrogenation procedure in the preparation of 1,6-dimethyl-2-methoxymethyl-3-hydroxy-pyridin-4(1H)-one hydrochloride using 1,6-dimethyl-2-(1-methoxyethyl)-3-benzyloxy-pyridin-4(1H)-one hydrochloride (1.62 g, 5 mmol) and 5% Pd/C catalyst (0.35 g) yield the title compound 1.06 g (90%) after recrystallisation from methanol/diethyl ether, as a white crystalline solid. m.p. 205-207°C.

25 1H -NMR (DMSO- d_6) δ : 1.5 (d, 3H, $CHCH_3$), 2.56 (s, 3H, 6- CH_3), 3.24 (s, 3H, OCH_3), 4.05 (s, 3H, N- CH_3), 5.4 (q, 1H, $CHCH_3$), 7.4 (s, 1H, 5-H(pyridinone)), 8.5-10.0 (br., 2H, OH)

Anal. Calcd. for $C_{10}H_{16}NO_3Cl$: C, 51.40; H, 6.90; N, 5.99%.

Found: C, 51.61; H, 6.76; N, 5.89%

30

EXAMPLE 42: 1-Ethyl-2-methoxymethyl-3-hydroxy-6-methyl-pyridin-4(1H)-one

hydrochloride

In an analogous hydrogenation procedure in the preparation of 1,6-dimethyl-2-methoxymethyl-3-hydroxy-pyridin-4(1*H*)-one hydrochloride using 1-ethyl-2-methoxymethyl-3-benzyloxy-6-methyl-pyridin-4(1*H*)-one hydrochloride (1.3 g, 4 mmol) and 5% Pd/C catalyst (0.3 g) yield the title compound 0.78 g (83%) after recrystallisation from methanol/diethyl ether, as a white crystalline solid. m.p. 174-176°C.

¹H-NMR (DMSO-*d*₆) δ: 1.47 (t, 3H, N-CH₂CH₃), 2.7 (s, 3H, 6-CH₃), 3.4 (s, 3H, OCH₃), 4.4 (q, 2H, N-CH₂CH₃), 4.76 (s, 2H, 2-CH₂OCH₃), 7.35 (s, 1H, 5-H(pyridinone)) Anal. Calcd. for C₁₀H₁₆NO₃Cl: C, 51.40; H, 6.90; N, 5.99%.

10 Found: C, 51.31; H, 7.11; N, 6.04%

EXAMPLE 43: 1,6-Dimethyl-2-(1-methoxypropyl)-3-hydroxy-pyridin-4(1*H*)-one hydrochloride

1,6-Dimethyl-2-(1-methoxypropyl)-3-benzyloxy-pyridin-4(1*H*)-one (1.65 g, 5.5 mmol) was dissolved in methanol (30 ml)/water (10 ml) and adjusted to pH 1 with concentrated hydrochloric acid prior to hydrogenolysis for 4 hours in the presence of 5% Pd/C catalyst (0.35 g). Filtration followed by rotary evaporation gave the crude product as a white solid. Recrystallization from methanol/diethyl ether gave the pure title compound (1.08 g, 79.3%) as a white crystalline solid. m.p. 225-227°C.

¹H-NMR (DMSO-*d*₆) δ: 0.9 (t, 3H, CHCH₂CH₃), 1.4-2.3 (m, 2H, CHCH₂CH₃), 2.6 (s, 3H, 6-CH₃), 3.28 (s, 3H, OCH₃), 4.04 (s, 3H, N-CH₃), 5.15 (t, 1H, CHCH₂CH₃), 7.4 (s, 1H, 5-H (pyridinone))

Anal. Calcd. for C₁₁H₁₈NO₃Cl: C, 53.33; H, 7.32; N, 5.65%.

Found: C, 53.30; H, 7.18; N, 5.56%

EXAMPLE 44: 1,6-Dimethyl-2-(1-ethoxyethyl)-3-hydroxy-pyridin-4(1*H*)-one hydrochloride

1,6-Dimethyl-2-(1-ethoxymethyl)-3-benzyloxy-pyridin-4(1*H*)-one (3.65 g, 12 mmol) was dissolved in 40 ml of ethanol and adjusted to pH 1 with concentrated hydrochloric acid prior to hydrogenolysis for 4 hours in the presence of 5% Pd/C catalyst (0.8 g). Filtration followed by rotary evaporation gave the crude product as a white solid. Recrystallization

from ethanol/diethyl ether gave the pure title compound (2.48 g, 83.3%) as a white crystalline solid. m.p. 195-199°C.

¹H-NMR (CDCl₃) δ: 1.2 (t, 3H, OCH₂CH₃), 1.6 (d, 3H, CHCH₃), 2.65 (s, 3H, 6-CH₃), 3.5 (q, 2H, OCH₂CH₃), 4.1 (s, 3H, N-CH₃), 5.5 (q, 1H, CHCH₃),
5 7.4 (s, 1H, 5-H(pyridinone))

Anal. Calcd. for C₁₁H₁₈NO₃Cl: C, 53.33; H, 7.32; N, 5.65%.

Found: C, 53.46; H, 7.16; N, 5.56%

10 NOVEL INTERMEDIATES FOR SYNTHESIS OF AMIDE COMPOUNDS OF THE INVENTION

EXAMPLE 45: 2-Formyl-3-benzyloxy-6-methyl-pyran-4(1H)-one

To a solution of 2-hydroxymethyl-3-benzyloxy-6-methyl-pyran-4(1H)-one (5.28 g, 21.5mmol, 1 eq) in 100ml chloroform was added 27ml of dimethyl sulfoxide and 18.5ml
15 of triethylamine and the reaction mixture was cooled with an ice-bath to an internal temperature of 3-5°C. Then sulfur trioxide pyridine complex (17.1g 107mmol, 5eq) was added and the mixture was allowed to thaw to room temperature. After stirring for overnight at room temperature, the reaction mixture was washed with water (2 x 50ml) and the organic phase was dried over Na₂SO₄, filtered and concentrated *in vacuo* to yield an
20 organe oil. Further purification by column chromatography on silica gel (eluant: Et₂O) furnished the pure product (4.6 g, 87.7%) as a white crystalline solid. m.p. 78-81°C.

¹H-NMR (CDCl₃) δ: 2.3 (s, 3H, 6-CH₃), 5.4 (s, 2H, CH₂Ph), 6.2 (s, 1H, 5-H(pyranone)), 7.28 (s, 5H, Ar), 9.75 (s, 1H, CHO)

Anal. Calcd. for C₁₄H₁₂O₄: C, 68.84; H, 4.95%. Found: C, 68.96; H, 5.07%

25

EXAMPLE 46: 2-Carboxy-3-benzyloxy-6-methyl-pyran-4(1H)-one

2-Formyl-3-benzyloxy-6-methyl-pyran-4(1H)-one (3.67g, 15.03mmol, 1eq) was dissolved in acetone (50ml) and the solution diluted with water (50ml). To the reaction mixture was added sulfamic acid (2.04g, 21.04mmol, 1.4eq) and 80% sodium chlorite (1.78g,
30 15.8mmol, 1.05eq) and allowed to stir for 1 hour at room temperature in an open vessel. Removal of acetone *in vacuo* yielded crude product as a precipitate in the remaining aqueous solution. The solid was collected, washed with absolute ethanol and dried (3.32g, 85%). m.p. 173-175°C.

¹H-NMR (DMSO-d₆) δ: 2.32 (s, 3H, 6-CH₃), 5.18 (s, 2H, CH₂Ph), 6.2 (s, 1H, 5-H(pyranone)), 7.1-7.6 (m, 5H, Ar)

Anal. Calcd. for C₁₄H₁₂O₅: C, 64.6; H, 4.6%. Found: C, 64.7; H, 4.9%

5 **EXAMPLE 47: 3-(2-Carbonyl-3-benzyloxy-6-methyl-4(1H)-pyran-2-yl)-1,3-thiazolidine-2-thione**

2-Carboxy-3-benzyloxy-6-methyl-pyran-4(1H)-one (2.78g, 10mmol, 1eq) was dissolved in 100ml dichloromethane and the solution stirred vigorously. Dicyclohexylcarbodiimide (DCCI) (2.3g, 11mmol, 1.1eq) was then added followed by the addition of 2-mercaptothiazoline (1.32g, 11mmol, 1.1eq) and a catalytic amount of 4-dimethylaminopyridine (DMAP) (50mg). The mixture was stirred for 24h, the white precipitate *N,N'*-dicyclohexylurea (DCU) filtered from the yellow solution and the filtrate volume was adjusted to 200ml with CH₂Cl₂. The dichloromethane layer was washed with 3 x 100 ml 0.1N sodium hydroxide solution, 100 ml water, dried (Na₂SO₄), and concentrated *in vacuo* to yield the crude product as a yellow oil. Further purification by column chromatography on silica gel (eluant: EtOAc) furnished the pure product as a bright yellow oil (2.56 g, 71%).

¹H-NMR (CDCl₃) δ: 2.28 (s, 3H, 6-CH₃), 3.1 (t, 2H, CH₂N), 4.35 (t, 2H, CH₂S), 5.3 (s, 2H, CH₂Ph), 6.25 (s, 1H, 5-H(pyranone)), 7.28 (s, 5H, Ar)

20 Anal. Calcd. for C₁₇H₁₅NO₄S₂: C, 56.49; H, 4.18%. Found: C, 56.98; H, 4.52%

EXAMPLE 48: 3-Benzyloxy-6-methyl-4(1H)-pyranone-2-carboxy-(N-methyl)-amide

To a solution of 3-(2-carboxyl-3-benzyloxy-6-methyl-4(1H)-pyran-2-yl)-1,3-thiazolidine-2-thione (3.61g, 10mmol, 1eq) in 100ml dichloromethane was added 10ml (20mmol, 2eq.) of 2M methylamine in THF and the reaction mixture allowed to stir for 2h. The dichloromethane layer was washed with 3 x 50ml 0.1N sodium hydroxide solution, 50ml water, dried (Na₂SO₄), and the solvent removed *in vacuo*. The crude product was further purified by column chromatography on silica gel (eluant: EtOAc) furnished the pure product as a light yellow oil (2.4 g, 88%).

30 ¹H-NMR (CDCl₃) δ: 2.3 (s, 3H, 6-CH₃), 2.7 (d, 3H, CH₃NH), 5.28 (s, 2H, CH₂Ph), 6.27 (s, 1H, 5-H (pyranone)), 7.3 (s, 5H, Ar)

EXAMPLE 49: 3-Benzoyloxy-6-methyl-4(1H)-pyranone-2-carboxy-(N-isopropyl)-amide

In an analogous procedure in the preparation of 3-benzoyloxy-6-methyl-4(1H)-pyranone-2-carboxy-(N-methyl)-amide using isopropylamine (1.5eq) yielded the title compound as a yellow oil. Further purification by column chromatography on silica gel (eluant: EtOAc) furnished the pure product as a light yellow oil (yield 88%).

¹H-NMR (CDCl₃) δ: 1.0 (d, 6H, CH(CH₃)₂), 2.4 (s, 3H, 6-CH₃), 3.7-4.5 (m, 1H, CHNH), 5.4 (s, 2H, CH₂Ph), 6.25 (s, 1H, 5-H(pyranone)), 7.4 (s, 5H, Ar)

10

EXAMPLE 50: 3-Benzoyloxy-6-methyl-4(1H)-pyranone-2-carboxy-(N-2'-methoxyethyl)-amide

In an analogous procedure in the preparation of 3-benzoyloxy-6-methyl-4(1H)-pyranone-2-carboxy-(N-methyl)-amide using 2-methoxyethylamine (1.5eq) yielded the title compound after purification by column chromatography on silica gel (eluant: EtOAc) as a light yellow oil (yield 94%).

¹H-NMR (CDCl₃) δ: 2.25 (s, 3H, 6-CH₃), 3.2 (s, 3H, OCH₃), 3.0-3.6 (m, 4H, CH₂CH₂), 5.28 (s, 2H, CH₂Ph), 6.1 (s, 1H, 5-H(pyranone)), 7.26 (s, 5H, Ar), 7.5-8.2 (br., 1H, NH)

20

EXAMPLE 51: 3-Benzoyloxy-6-methyl-4(1H)-pyranone-2-carboxy-(N-2'-hydroxyethyl)-amide

In an analogous procedure in the preparation of 3-benzoyloxy-6-methyl-4(1H)-pyranone-2-carboxy-(N-methyl)-amide using 2-hydroxyethylamine (1.5eq) yielded the title compound after purification by column chromatography on silica gel (eluant: EtOAc) as a light yellow oil (yield 90%).

¹H-NMR (CDCl₃) δ: 2.3 (s, 3H, 6-CH₃), 3.1-3.8 (m, 4H, CH₂CH₂), 5.29 (s, 2H, CH₂Ph), 6.15 (s, 1H, 5-H(pyranone)), 7.3 (s, 5H, Ar), 7.5-8.2 (br., 1H, NH)

25

EXAMPLE 52: 3-benzoyloxy-6-methyl-4(1H)-pyranone-2-carboxy-(N,N-dimethyl)-amide

In an analogous procedure in the preparation of 3-benzoyloxy-6-methyl-4(1H)-pyranone-2-

30

carboxy-(*N*-methyl)-amide using 2M dimethylamine in THF (3eq) yielded the title compound after purification by column chromatography on silica gel (eluant: EtOAc) as a light yellow oil (yield 88%).

¹H-NMR (CDCl₃) δ: 2.31 (s, 3H, 6-CH₃), 2.88 (s, 3H, CH₃N), 3.03 (s, 3H, CH₃N), 5.2 (s, 2H, CH₂Ph), 6.22 (s, 1H, 5-H(pyranone)), 7.35 (s, 5H, Ar)

ORALLY ACTIVE PRODRUGS OF THE INVENTION

EXAMPLE 53: 1,6-Dimethyl-3-benzyloxy-4(1*H*)-pyridinone -2-carboxy-(*N*-methyl)-amide

10 To a solution of 3-benzyloxy-6-methyl-4(1*H*)-pyranone-2-carboxy-(*N*-methyl)-amide (1.37 g, 5 mmol, 1 eq.) in methanol (10 ml) was added 20 ml (40 mmol, 8 eq.) of 2M methylamine in methanol. The reaction mixture was sealed in a thick-walled glass tube and stirred at 70°C for 12 hours. After removal of the solvent, the residue was purified by column chromatography on silica gel (eluant: 12% CH₃OH/88% CHCl₃) furnished the pure product (1.1 g, 76.9%) as a white crystalline solid. m.p. 164-165.5°C.

¹H-NMR (CDCl₃) δ: 2.2 (s, 3H, 6-CH₃), 2.65 (d, 3H, CH₃NH), 3.47 (s, 3H, N-CH₃), 4.92 (s, 2H, CH₂Ph), 5.95 (s, 1H, 5-H(pyridinone)), 7.28 (s, 5H, Ar), 7.9-8.4 (br, 1H, NH)

EXAMPLE 54: 1,6-Dimethyl-3-benzyloxy-4(1*H*)-pyridinone -2-carboxy-(*N*-isopropyl)-amide

20 In an analogous procedure in the preparation of 1,6-dimethyl-3-benzyloxy-4(1*H*)-pyridinone-2-carboxy-(*N*-methyl)-amide using 1,6-dimethyl-3-benzyloxy-4(1*H*)-pyridinone-2-carboxy-(*N*-isopropyl)-amide yielded the crude product. Further purification by column chromatography on silica gel (eluant: 10% CH₃OH/90% CHCl₃) afforded the pure title compound as a pale yellow crystalline solid (yield, 79%) m.p. 176-178°C

¹H-NMR (CDCl₃) δ: 1.2 (d, 6H, CH(CH₃)₂), 2.1 (s, 3H, 6-CH₃), 3.48 (s, 3H, N-CH₃), 3.9-4.5 (m, 1H, CHNH), 4.98 (s, 2H, CH₂Ph), 5.98 (s, 1H, 5-H(pyridinone)), 7.22 (s, 5H, Ar), 8.0-8.4 (br, 1H, NH)

EXAMPLE 55: 1,6-Dimethyl-3-benzyloxy-4(1*H*)-pyridinone -2-carboxy-(*N*-2'-methoxyethyl)-amide

In an analogous procedure in the preparation of 1,6-dimethyl-3-benzyloxy-4(1*H*)-pyridinone-2-carboxy-(*N*-methyl)-amide using 1,6-dimethyl-3-benzyloxy-4(1*H*)-pyridinone-2-carboxy-(*N*-2'-methoxyethyl)-amide yielded the pure title compound after purification by column chromatography on silica gel (eluant: 10% CH₃OH/90% CHCl₃)
5 afforded as a white crystalline solid (yield, 82%) m.p. 125-126°C

¹H-NMR (CDCl₃) δ: 2.1 (s, 3H, 6-CH₃), 3.2 (s, 3H, OCH₃), 3.1-3.7 (m, 4H, CH₂CH₂), 3.42 (s, 3H, N-CH₃), 4.95 (s, 2H, CH₂Ph), 6.02 (s, 1H, 5-H(pyridinone)), 7.0-7.5 (m, 5H, Ar), 7.8-8.4 (br, 1H, NH)

10 **EXAMPLE 56: 1,6-Dimethyl-3-benzyloxy-4(1*H*)-pyridinone -2-carboxy-(*N*-2'-hydroxyethyl)-amide**

In an analogous procedure in the preparation of 1,6-dimethyl-3-benzyloxy-4(1*H*)-pyridinone-2-carboxy-(*N*-methyl)-amide using 1,6-dimethyl-3-benzyloxy-4(1*H*)-pyridinone-2-carboxy-(*N*-2'-hydroxyethyl)-amide yielded the pure title compound after
15 purification by column chromatography on silica gel (eluant: 15% CH₃OH/85% CHCl₃) afforded as a white crystalline solid (yield, 86%) m.p. 153-155°C

¹H-NMR (CDCl₃) δ: 2.1 (s, 3H, 6-CH₃), 3.1-3.7 (m, 4H, CH₂CH₂), 3.42 (s, 3H, N-CH₃), 4.95 (s, 2H, CH₂Ph), 6.02 (s, 1H, 5-H(pyridinone)), 7.0-7.5 (m, 5H, Ar), 7.8-8.4 (br, 1H, NH)

20

EXAMPLE 57: 1,6-Dimethyl-3-benzyloxy-4(1*H*)-pyridinone -2-carboxy-(*N,N*-dimethyl)-amide

In an analogous procedure in the preparation of 1,6-dimethyl-3-benzyloxy-4(1*H*)-pyridinone-2-carboxy-(*N*-methyl)-amide using 1,6-dimethyl-3-benzyloxy-4(1*H*)-
25 pyridinone-2-carboxy-(*N,N*-dimethyl)-amide yielded the pure title compound after purification by column chromatography on silica gel (eluant: 10% CH₃OH/90% CHCl₃) afforded as a yellow oil (yield, 46%)

¹H-NMR (CDCl₃) δ: 2.3 (s, 3H, 6-CH₃), 2.8 (s, 3H, CH₃N), 3.0 (s, 3H, CH₃N), 3.42 (s, 3H, N-CH₃), 5.2 (q, 2H, CH₂Ph, AB center), 6.3 (s, 1H, 5-H(pyridinone)), 7.0-7.5 (m, 5H, Ar)

30

EXAMPLE 58: 1,6-Dimethyl-3-hydroxy-4(1*H*)-pyridinone-2-carboxy-(*N*-methyl)-

amide hydrochloride

0.86 g of 1,6-dimethyl-3-benzyloxy-4(1*H*)-pyridinone-2-carboxy-(*N*-methyl)-amide was dissolved in 30ml of DMF and hydrogenated at room temperature for 3 hours in the presence of 5% Pd/C catalyst (0.2 g). The catalyst was removed by filtration and the filtrate was acidified to pH 1 with concentrated hydrochloric acid followed by rotary evaporation *in vacuo* gave the crude product as a white solid. Recrystallization from methanol/diethyl ether gave the pure title compound (0.65 g, 93%) as a white crystalline solid. m.p. 238°C (dec.)

¹H-NMR (DMSO-*d*₆) δ: 2.5 (s, 3H, 6-CH₃), 2.7 (d, 3H, CH₃NH), 3.7 (s, 3H, N-CH₃), 7.2 (s, 1H, 5-H(pyridinone)), 6.8-8.1 (br, 2H, OH), 8.7-9.2 (br, 1H, NH)

Anal. Calcd. for C₉H₁₃ClN₂O₃: C, 46.42; H, 5.59; N, 12.03% Found: C, 46.28; H, 5.71; N, 11.86%

EXAMPLE 59: 1,6-Dimethyl-3-hydroxy-4(1*H*)-pyridinone-2-carboxy-(*N*-isopropyl)-amide hydrochloride

An analogous hydrogenation procedure to the preparation of 1,6-dimethyl-3-hydroxy-4(1*H*)-pyridinone-2-carboxy-(*N*-methyl)-amide hydrochloride, using 1,6-dimethyl-3-benzyloxy-4(1*H*)-pyridinone-2-carboxy-(*N*-isopropyl)-amide and 5% Pd/C catalyst yielded the title compound (yield, 93%) after recrystallisation from methanol / diethyl ether, as a white crystalline solid. m.p. 219-220°C.

¹H-NMR (DMSO-*d*₆) δ: 1.18 (d, 6H, CH(CH₃)₂), 2.52 (s, 3H, 6-CH₃), 3.7 (s, 3H, N-CH₃), 3.6-4.4 (m, 1H, CHNH), 5.2-6.5 (br, OH), 7.3 (s, 1H, 5-H(pyridinone)), 8.8-9.2 (br, 1H, NH) Anal. Calcd. for C₁₁H₁₇ClN₂O₃: C, 50.63; H, 6.52; N, 10.74% Found: C, 50.38; H, 6.81; N, 10.56%

EXAMPLE 60: 1,6-Dimethyl-3-hydroxy-4(1*H*)-pyridinone-2-carboxy-(*N*-2'-methoxyethyl)-amide hydrochloride

An analogous hydrogenation procedure to the preparation of 1,6-dimethyl-3-hydroxy-4(1*H*)-pyridinone-2-carboxy-(*N*-methyl)-amide hydrochloride, using 1,6-dimethyl-3-benzyloxy-4(1*H*)-pyridinone-2-carboxy-(*N*-2'-methoxyethyl)-amide yielded the title compound (yield, 90%) after recrystallisation from methanol / diethyl ether, as a white

crystalline solid. m.p. 204-206°C.

¹H-NMR (DMSO-d₆) δ: 2.6 (s, 3H, 6-CH₃), 3.4 (s, 3H, OCH₃), 3.1-3.6 (m, 4H, CH₂CH₂), 3.8 (s, 3H, N-CH₃), 7.35 (s, 1H, 5-H(pyridinone)), 8.8-10.05 (br, OH & NH)

Anal. Calcd. for C₁₁H₁₇ClN₂O₄: C, 47.70; H, 6.14; N, 10.12% Found: C, 47.56; H, 6.30; N, 10.36%

EXAMPLE 61: 1,6-Dimethyl-3-hydroxy-4(1H)-pyridinone-2-carboxy-(N-2'-hydroxyethyl)-amide hydrochloride

An analogous hydrogenation procedure to the preparation of 1,6-dimethyl-3-hydroxy-4(1H)-pyridinone-2-carboxy-(N-methyl)-amide hydrochloride, using 1,6-dimethyl-3-benzyloxy-4(1H)-pyridinone-2-carboxy-(N-2'-hydroxyethyl)-amide yielded the title compound (yield, 91%) after recrystallisation from methanol / diethyl ether, as a white crystalline solid. m.p. 178-181°C.

¹H-NMR (DMSO-d₆) δ: 2.55 (s, 3H, 6-CH₃), 3.1-3.7 (m, 4H, CH₂CH₂), 3.85 (s, 3H, N-CH₃), 7.25 (s, 1H, 5-H(pyridinone)), 6.7-8.2 (br., OH), 9.1 (t, 1H, NH)

Anal. Calcd. for C₁₀H₁₅ClN₂O₄: C, 45.68; H, 5.71; N, 10.66% Found: C, 45.47; H, 5.98; N, 10.48%

EXAMPLE 62: 1,6-Dimethyl-3-hydroxy-4(1H)-pyridinone -2-carboxy-(N,N-dimethyl)-amide hydrochloride

An analogous hydrogenation procedure to the preparation of 1,6-dimethyl-3-hydroxy-4(1H)-pyridinone-2-carboxy-(N-methyl)-amide hydrochloride, using 1,6-dimethyl-3-benzyloxy-4(1H)-pyridinone-2-carboxy-(N,N-dimethyl)-amide yielded the title compound (yield, 94%) after recrystallisation from methanol / diethyl ether, as a white crystalline solid. m.p. 219°C(dec.)

¹H-NMR (DMSO-d₆) δ: 2.5 (s, 3H, 6-CH₃), 2.8 (s, 3H, CH₃N), 3.0 (s, 3H, CH₃N), 3.65 (s, 3H, N-CH₃), 7.25 (s, 1H, 5-H(pyridinone)), 7.5-9.0 (br., OH)

Anal. Calcd. for C₁₀H₁₅ClN₂O₃: C, 48.64; H, 6.08; N, 11.35% Found: C, 48.58; H, 6.22; N, 11.08%

EXAMPLE 63: Formulation of medicaments

(A) Tablets of the following composition are prepared:

	<u>mg/tablet</u>
Compound of formula (I) (micronised)	250
'Avicel' (microcrystalline cellulose)	38
5 polyvinylpyrrolidone	3
alginic acid	6
magnesium stearate	3

The 3-hydroxypyridin-4-one is mixed with 'Avicel' and polyvinylpyrrolidone is added, dissolved in sufficient industrial methylated spirits (74° OP) to produce a mass
 10 suitable for granulating. The mass is granulated through a 20 mesh sieve and the resultant granules are dried at a temperature not exceeding 50°C. The dried granules are passed through a 20 mesh sieve and the alginic acid and magnesium stearate are then added and mixed with the granules. The product is compressed into tablets each weighing 300 mg on 3/8 inch flat bevelled edge divided punches.

15 (B) Tablets of the following composition are prepared:

	<u>mg/tablet</u>
Compound of formula (I) (micronised)	250
'Avicel' (microcrystalline cellulose)	134
polyvinylpyrrolidone	4
20 alginic acid	8
magnesium stearate	4

The tablets are prepared by essentially the same procedure as described in (A) and are compressed at a tablet weight of 400 mg on 7/16 inch flat bevelled edge punches.C)

25 Tablets of the following composition are prepared:

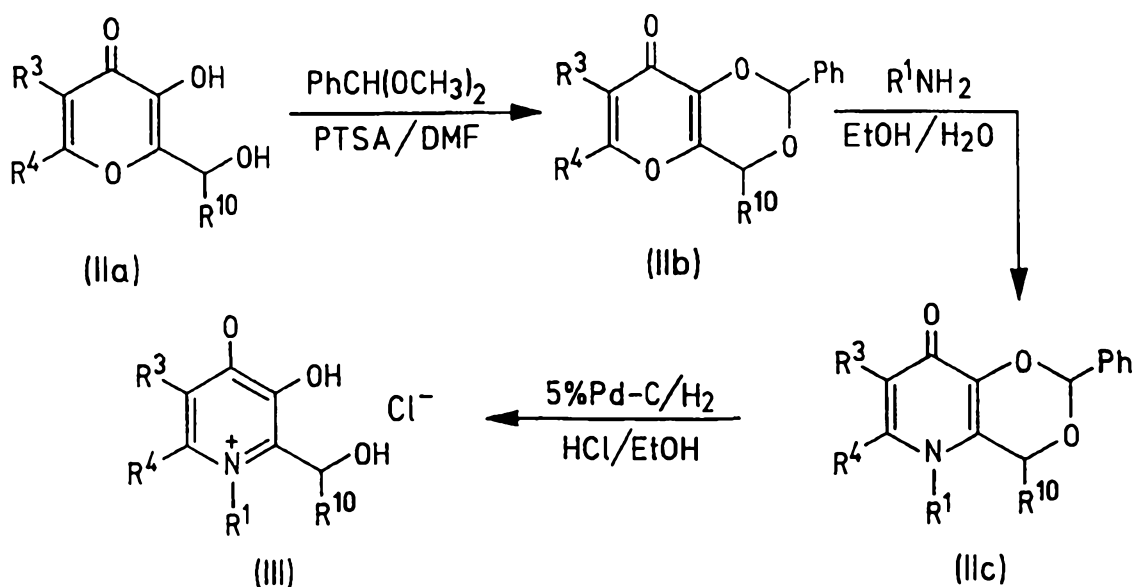
	<u>mg/tablet</u>
Compound of formula (I)(micronised)	250
lactose (300 mesh)	19
maize starch	15
30 gelatine	10
magnesium stearate	6

The 3-hydroxypyridin-4-one is mixed with lactose and half the total quantity of maize starch required, and a 5% solution of gelatine in water is added to the mass. The product is granulated through a 16 mesh sieve, and the resultant granules are dried to constant weight at a temperature not exceeding 50°C. The dried granules are passed
 5 through a 20 mesh sieve and mixed with magnesium stearate and the remainder of the maize starch. The product is compressed at a 300 mg tablet weight on 3/8 inch flat bevelled edge divided punches.

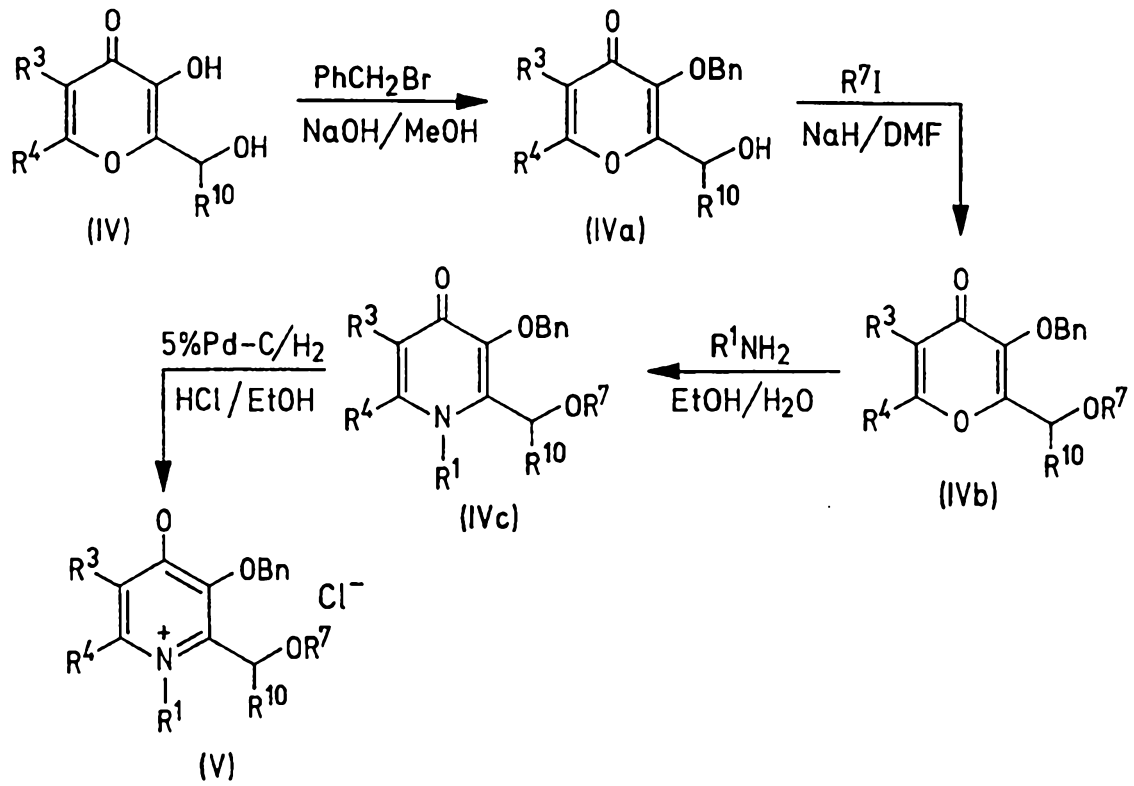
EXAMPLE 64: Iron III mobilisation efficacy assay in rat: oral administration.

Hepatocytes of manually fasted rats (190-230g) were labelled with 10µg ⁵⁹Fe ferritin
 10 injected iv into the tail vein. One hour later each rat was administered orally with a dose of chelator (150-450µmol/Kg: see Table 2 below). Control rats were given an equivalent volume of water. The rats were placed in individual metabolic cages and their urine and faeces collected. One hour after the administration they were allowed access to food, with no restriction on water being made throughout the study period. The investigation
 15 was terminated 24 hours after the ⁵⁹Fe ferritin administration when rats were sacrificed and their livers and gastrointestinal tracts, including all contents including faeces, were removed for gamma counting. Iron mobilisation efficiency is shown in Tables 1 to 3

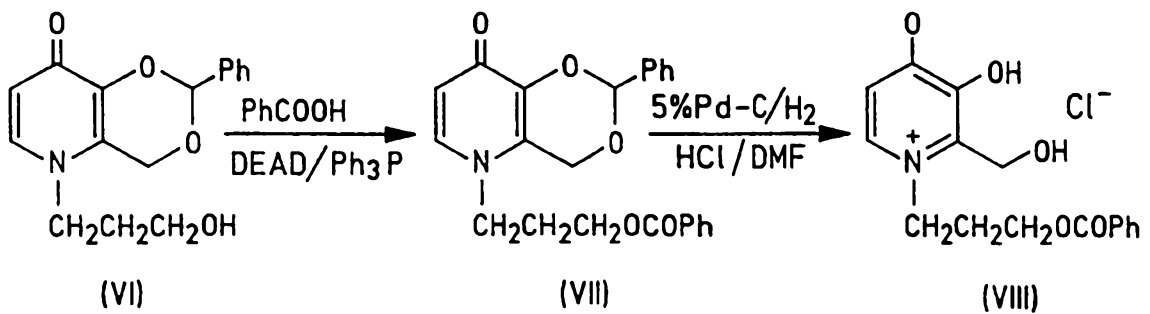
Scheme 1



5 Scheme 2



Scheme 3



5 Scheme 4

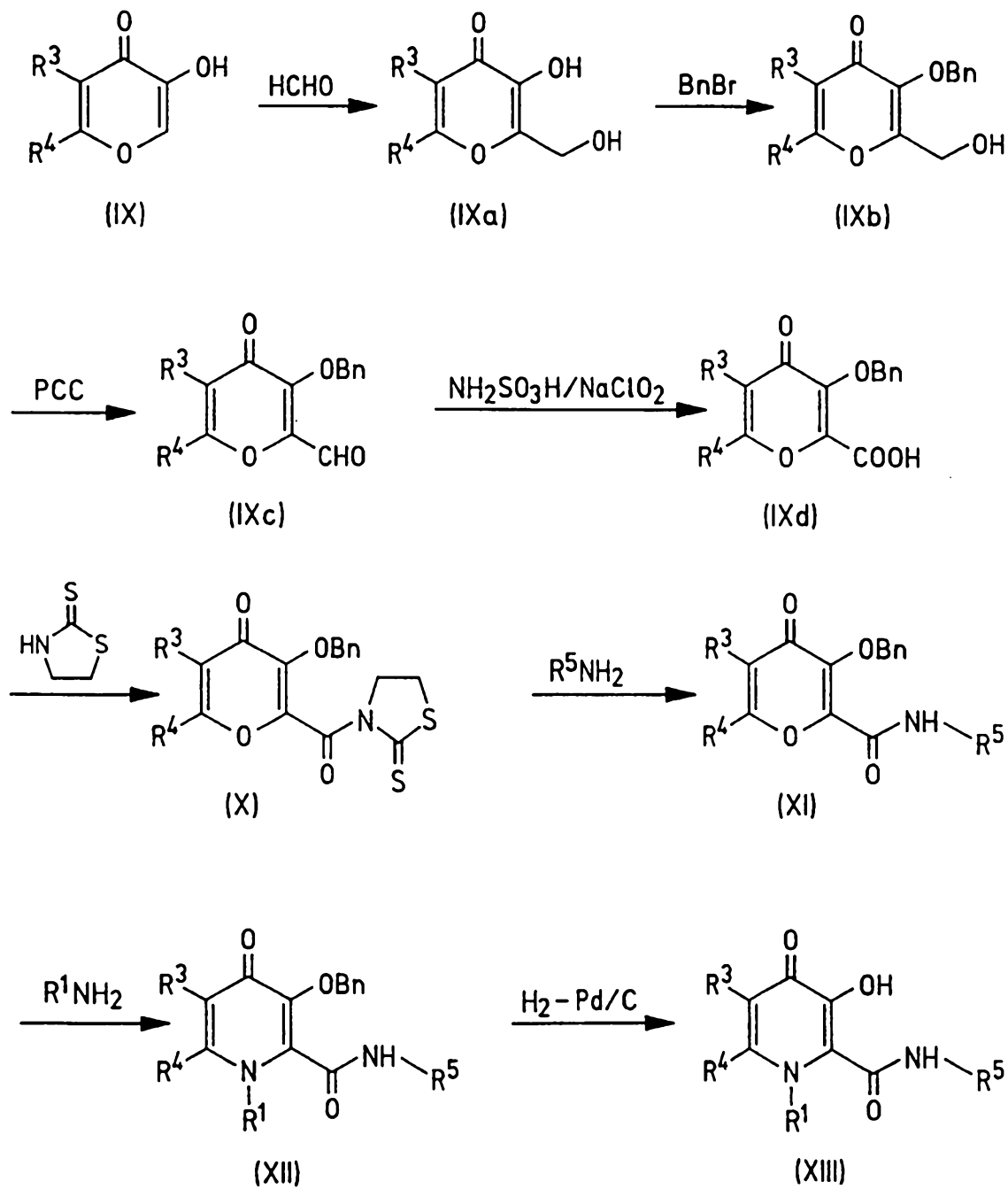
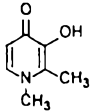
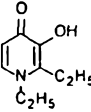
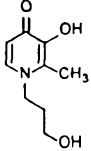
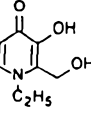
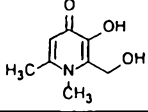
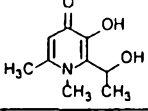
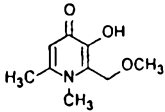
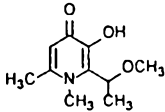
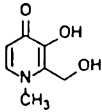
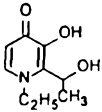
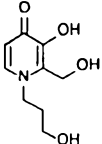
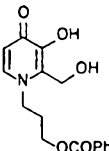


Table 1 *= Comparative example of prior art

Compound	Structure	D _{pH 7.4}	pKa	Log β_3	pM pH 7.45	Iron Mobilisation (%)
CP20*		0.17	3.56, 9.64 (s) 3.68, 9.77 (p)	36.3	19.4	10.7 ± 3.0% (n=10)
CP94*		1.79	3.81, 9.93 (p)	36.7	19.7	58.3 ± 9.4% (n=10)
CP41*		0.13	-	-	-	29.9 ± 4.3% (n=5)
CP359		0.14	2.88, 9.05 (s) 2.80, 9.27 (p)	35.25	20.96	33.3 ± 6.7% (n=5)
CP360		0.098	3.37, 9.42 (s) 3.32, 9.44 (p)	35.51	20.43	4.5 ± 1.1% (n=5)
CP361		0.25	3.55, 8.97 (s) 3.54, 8.99 (p)	35.52	21.47	48.4 ± 7.2% (n=10)

Compound	Structure	D _{pH 7.4}	pKa	Logβ ₃	pM pH 7.45	Iron Mobilisation (%)
CP362		0.42	-	-	-	51.5 ± 3.7% (n=5)
CP363		1.09	3.22, 9.43 (s) 3.20, 9.44 (p)	-	-	73.5 ± 8.1% (n=10)
CP364		0.048	2.93, 9.12 (s) 3.13, 9.22 (p)	35.3	20.75	8.44 ± 3.6% (n=5)
CP365		0.27	3.11, 8.74 (s) 3.03, 8.77 (p)	34.8	21.3	54.5 ± 9.9% (n=5)
CP366		0.056	2.87, 9.14 (s) 3.02, 9.29 (p)	35.25	20.69	11.7 ± 4.1% (n=5)
CP367		5.61	2.70, 8.95 (s) 2.60, 9.07 (p)	-	-	56.0 ± 6.0% (n=10)

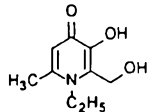
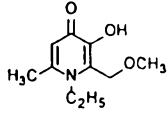
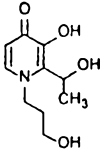
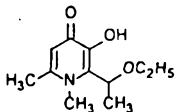
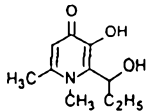
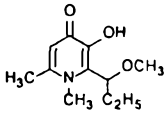
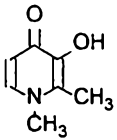
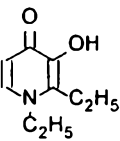
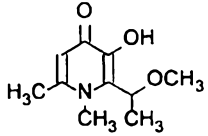
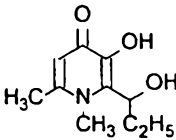
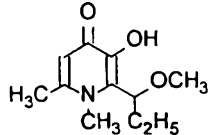
Compound	Structure	D _{pH 7.4}	pKa	Log β_3	pM pH 7.45	Iron Mobilisation (%)
CP369		0.223	3.28, 9.38 (s) 3.29, 9.45 (p)	35.6	20.35	12.9 ± 2.3% (n=5)
CP370		1.08	-	-	-	41.6 ± 7.5% (n=5)
CP372		0.075	2.96, 8.69 (s) 2.98, 8.72 (p)	34.67	21.48	14.3 ± 4.5% (n=5)
CP373		3.32	-	-	-	39.5 ± 4.8% (n=5)
CP374		0.73	2.78, 8.98 (s) 2.76, 9.00 (p)	35.03	20.95	60.4 ± 15.6% (n=5)
CP375		3.85	-	-	-	72.0 ± 8.2% (n=5)

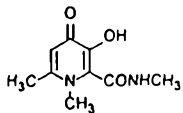
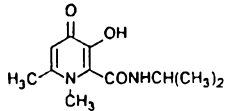
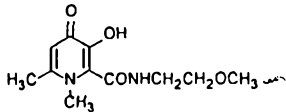
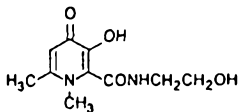
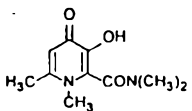
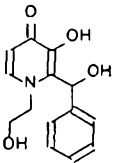
Table 2: Efficacy studies with different doses

* = Comparative Examples of prior art compounds

Chelator	Structure	Dose ($\mu\text{mol/kg}$)	Iron Mobilisation (%)	Efficacy (%)
Control	-	-	3.87 ± 1.0	-
CP20*		450	13.4 ± 5.2	9.5
		300	9.2 ± 2.2	5.4
		150	6.3 ± 2.1	2.4
CP94*		450	59.7 ± 10.9	55.8
		300	35.7 ± 4.4	31.8
		150	16.5 ± 6.2	12.6
CP363		450	73.5 ± 8.1	69.6
		300	66.9 ± 8.7 (n=5)	63.0
		150	40.7 ± 2.4 (n=5)	36.8
CP374		450	60.4 ± 15.6	56.5
		150	34.0 ± 4.3 (n=5)	30.1
CP375		450	72.0 ± 8.2	68.1
		150	40.2 ± 8.5 (n=5)	36.3

5

Table 3

Compd	Structure	D _{pH 7.4}	PKa	Logβ ₃	pM _{pH 7.45}	Iron Mobilisation (%)
CP502		0.04	2.64, 8.36 (s) 2.83, 8.38 (p)	35.78	23.42	62.4 ± 5.0% (n=5)
CP504		0.30	2.64, 8.56 (s) 2.81, 8.74 (p)	35.34	22.46	45.8 ± 6.1% (n=5)
CP506		0.04	2.50, 8.35 (s) 2.62, 8.34 (p)	34.88	22.57	40.9 ± 7.1% (n=5)
CP507		0.02	2.57, 8.19 (s) 2.65, 8.28 (p)	34.63	22.73	29.2 ± 6.3% (n=5)
CP508		0.16	2.61, 8.10 (s) 2.61, 8.10 (p)	35.13	23.46	35.0 ± 9.8% (n=5)
Novartis bidentate ligand (3)*		2.81	2.60, 8.39 (s) 2.59, 8.38 (p)	35.57	23.15	50.8 ± 10.3% (n=5)

47a

The term "comprises", and grammatical variations thereof such as "comprising" when used in the description and claims does not preclude the presence of additional features, integers, steps or components; or groups thereof.

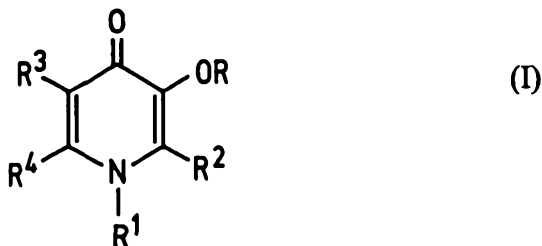
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CLAIMS.

1. A novel 3-hydroxypyridin-4-one compound of formula I



wherein R is selected from hydrogen, C₁₋₆ alkyl, C₇₋₁₀ aralkyl, -C(O)-R⁸, and the equivalent sulpho acid ester, wherein R⁸ is selected from the group consisting of C₁₋₆ alkyl and C₇₋₁₀ aralkyl,

R¹ is an aliphatic hydrocarbon group or an aliphatic hydrocarbon group substituted by a hydroxy group or a carboxylic acid ester, sulpho acid ester or a C₁₋₆ alkoxy, C₆-aryloxy or C₇₋₁₀ aralkoxy ether thereof,

R³ is selected from hydrogen and C₁₋₆ alkyl;

characterised in that

R² is selected from groups

(i) -CONH-R⁵ (ii) -CR⁶R⁶OR⁷ (iii) -CONHCOR⁵

and (iv) -CON(CH₃)₂

R⁴ is selected from hydrogen, C₁₋₆ alkyl and a group as described for R²;

R⁵ is selected from hydrogen and optionally hydroxy, alkoxy, aryloxy or aralkoxy substituted C₁₋₁₃ alkyl, aryl and C₇₋₁₃ aralkyl,

R⁶ is independently selected from hydrogen and C₁₋₁₃ alkyl,

and R⁷ is selected from hydrogen, C₁₋₁₃ alkyl, aryl and C₇₋₁₃ aralkyl

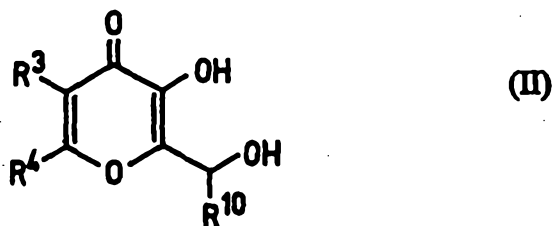
or a pharmaceutically acceptable salt of any such compound

with the proviso that the compound is not one of 1-ethyl-2-(1'-hydroxyethyl)-3-hydroxypyridin-4-one and 1-methyl-2-hydroxymethyl-3-hydroxypyridin-4-one

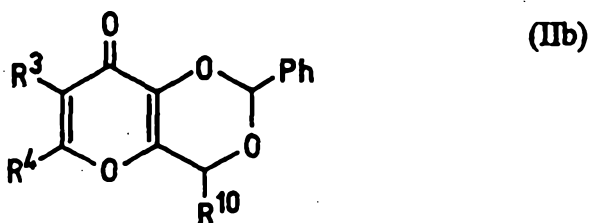
2. A compound as claimed in Claim 1 characterised in that R completes a carboxylic or sulpho acid ester group with the oxygen shown, or is C₁₋₆ alkyl or C₇₋₁₀ aralkyl.



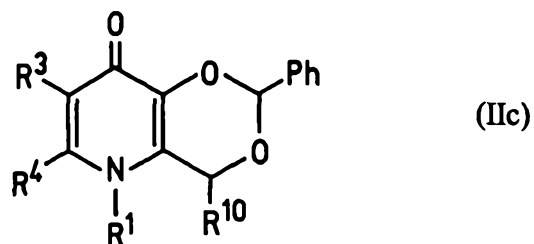
3. A compound as claimed in claim 2, characterised in the R is a C₁₋₆ alkyl or C₇₋₁₀ aralkyl group, or a group-C(0)-R⁸ where R⁸ is C₁₋₆ alkyl or C₇₋₁₀ aralkyl.
4. A compound as claimed in any one of Claims 1 to 3 characterised in that R⁵ and R⁷ are independently selected from C₁₋₆ alkyl, aryl and C₇₋₁₀ aralkyl.
5. A compound as claimed in any one of Claims 1 to 4 characterised in that R⁶ is independently selected from hydrogen and C₁₋₆ alkyl.
6. A compound as claimed in any one of the preceding claims characterised in that R¹ is an aliphatic carbon group substituted by a hydroxy group or an esterified hydroxy group, the ester acyl group thereof being of formula -CO-R⁹ where R⁹ is C₁₋₆ alkyl or phenyl.
7. A compound as claimed in any one of the preceding claims characterised in that R² is
- a group -CR⁶R⁶OR⁷ wherein R⁶ is independently selected at each occurrence from hydrogen and C₁₋₁₃ alkyl, and R⁷ is C₁₋₆ alkyl or
 - a group -CONH-R⁵.
8. A process for the preparation of a compound of formula (I) characterised in that it comprises the reaction of a 2-(1'-hydroxyalkyl)-3-hydroxy-pyran-4(1H)-one of formula (II)



with benzaldehyde dimethyl acetal to provide the corresponding 8-oxo-4,8-dihydro-2-phenyl-4H[3,2-d]-m-dioxin of formula (IIb),



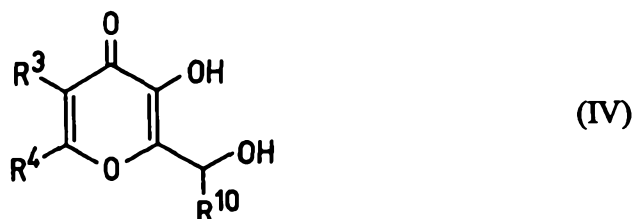
reacting that compound with a compound R^1NH_2 to give the corresponding pyridino dioxin of formula (IIc)



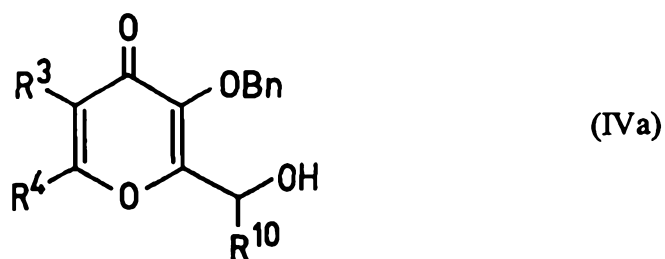
and reducing that with hydrogen to give the corresponding 2-hydroxyalkyl-pyran-4(1H)-one

wherein R^1 , R^3 and R^4 are as defined in Claim 1 and R^{10} is defined as for R^6 in Claim 1.

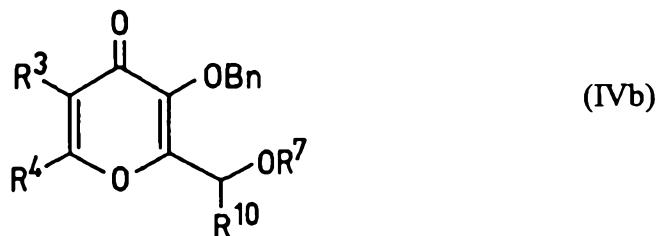
9. A process for the preparation of a compound of formula (I) characterised in that it comprises the protection of the 3-hydroxyl group of a 2-(1-hydroxyalkyl)-3-hydroxy-pyran-4(1H)-one of formula (IV),



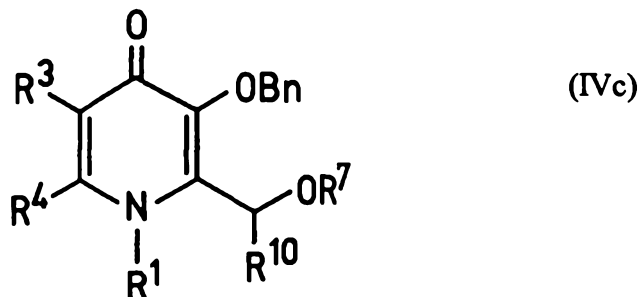
to give a compound (IVa)



alkylating the 2-(1-hydroxy) group to provide a compound (IVb)



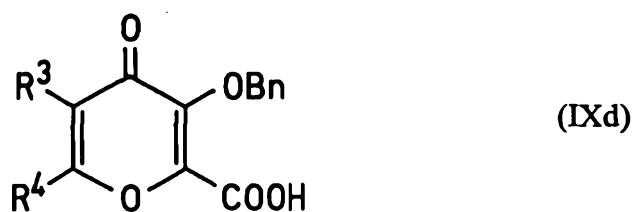
and reacting the product (IVb) with a compound R^1NH_2 to provide the corresponding 2-hydroxyalkyl-pyridin-4(1H)-one (IVc)



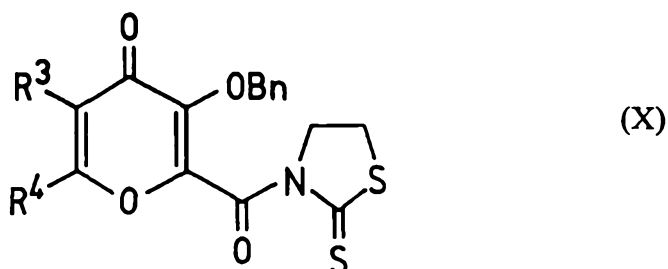
wherein R^1 , R^3 , R^4 and R^7 are as defined in Claim 1 and R^{10} is defined as for R^6 in Claim 1.

10. A process as claimed in Claim 9 characterised in that the compound of formula (IVc) is reduced to provide the corresponding unprotected compound.

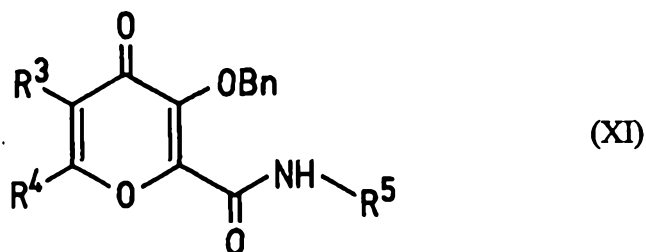
11. A process for the preparation of a compound of formula (I) characterised in that it reacts a 2-carboxy compound of formula (IXd),



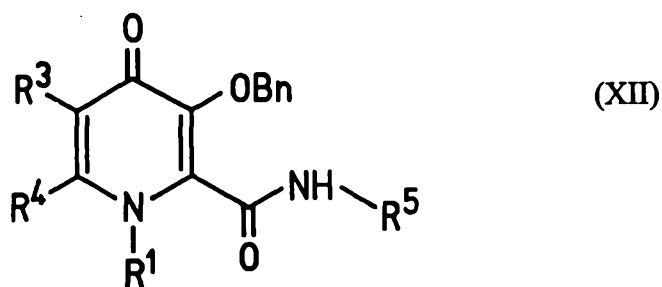
with mercaptothiazoline to provide the corresponding 2-carbonyl-thiazolidine-2-thione of formula (X),



reacts that with a compound $R^5 NH_2$ to give the corresponding 2-amido compound of formula (XI),



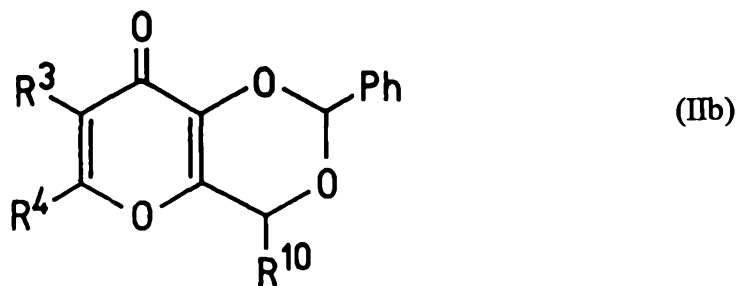
and reacts that with a compound $R^1 NH_2$ to give the corresponding 2-amido-pyridin-4(1H)-one compound of formula (XII)



wherein R^1 , R^3 , R^4 and R^5 are as defined in Claim 1.

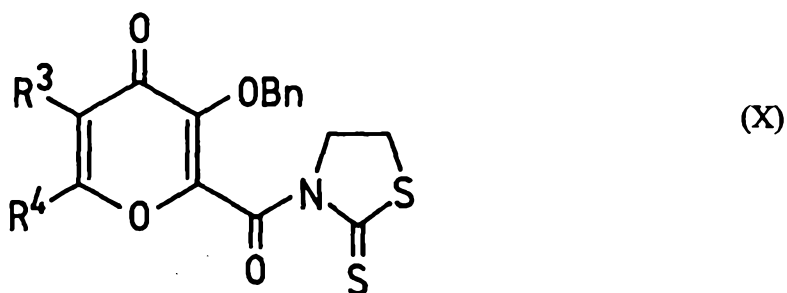
12. A process as claimed in Claim 11 characterised in that it comprises reducing the compound of formula (XII) to provide the corresponding 2-hydroxyalkyl-pyridin-4(1H)-one.

13. An 8-oxo-4,8-dihydro-2-phenyl-4H[3,2-d]m-dioxins of formula (IIb).



wherein R^3 and R^4 are as defined in Claim 1 and R^{10} is defined as for R^6 in Claim 1.

14. A 2-carbonyl-thiazolidine-2-thione of formula (X).



wherein R^3 and R^4 are as defined in Claim 1

15. A compound as claimed in any one of Claim 1 to 7 or a pharmaceutically acceptable salt of any such compound for use in therapy.

16. Use of a compound as claimed in any one of Claims 1 to 7 or a pharmaceutically acceptable salt of any such compound in the manufacture of a medicament for the treatment of an iron associated disease.

17. A pharmaceutical composition comprising a compound as claimed in any one of Claims 1 to 7 together with a pharmaceutically acceptable carrier.

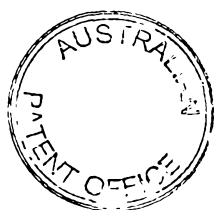
18. A composition as claimed in Claim 17 characterised in that it is in a form suitable for oral administration.

19. A composition as claimed in Claim 18 characterised in that it is in the form of a tablet, lozenge or capsule.



20. A method of treating a patient in need of therapy for excess iron or iron dependent parasites comprising administering to that patient a therapeutically effective amount of a compound or composition as claimed in any one of Claims 1 to 7 and 17 to 19.

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[M] : Iron (III)

[M:L]; $10^{-6} : 10^{-5}$

[M:L']; $10^{-6} : 10^{-5}$

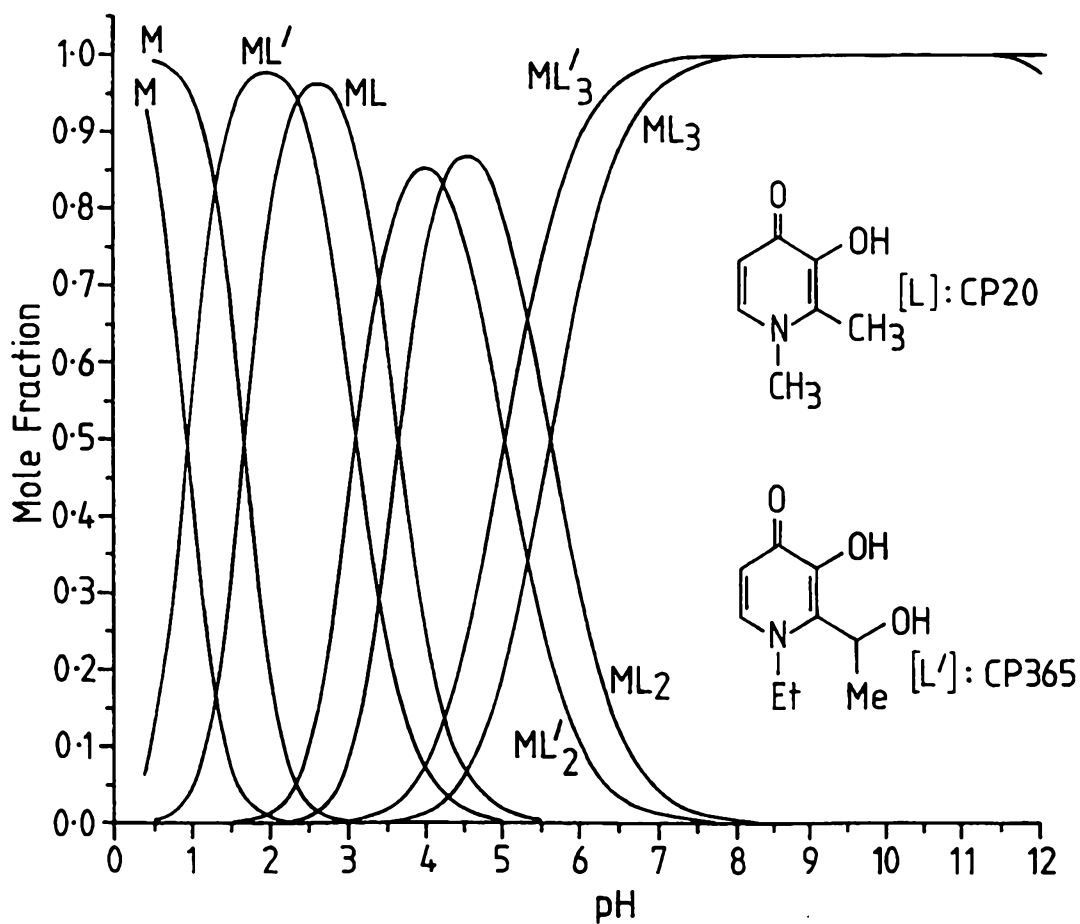


Fig. 1

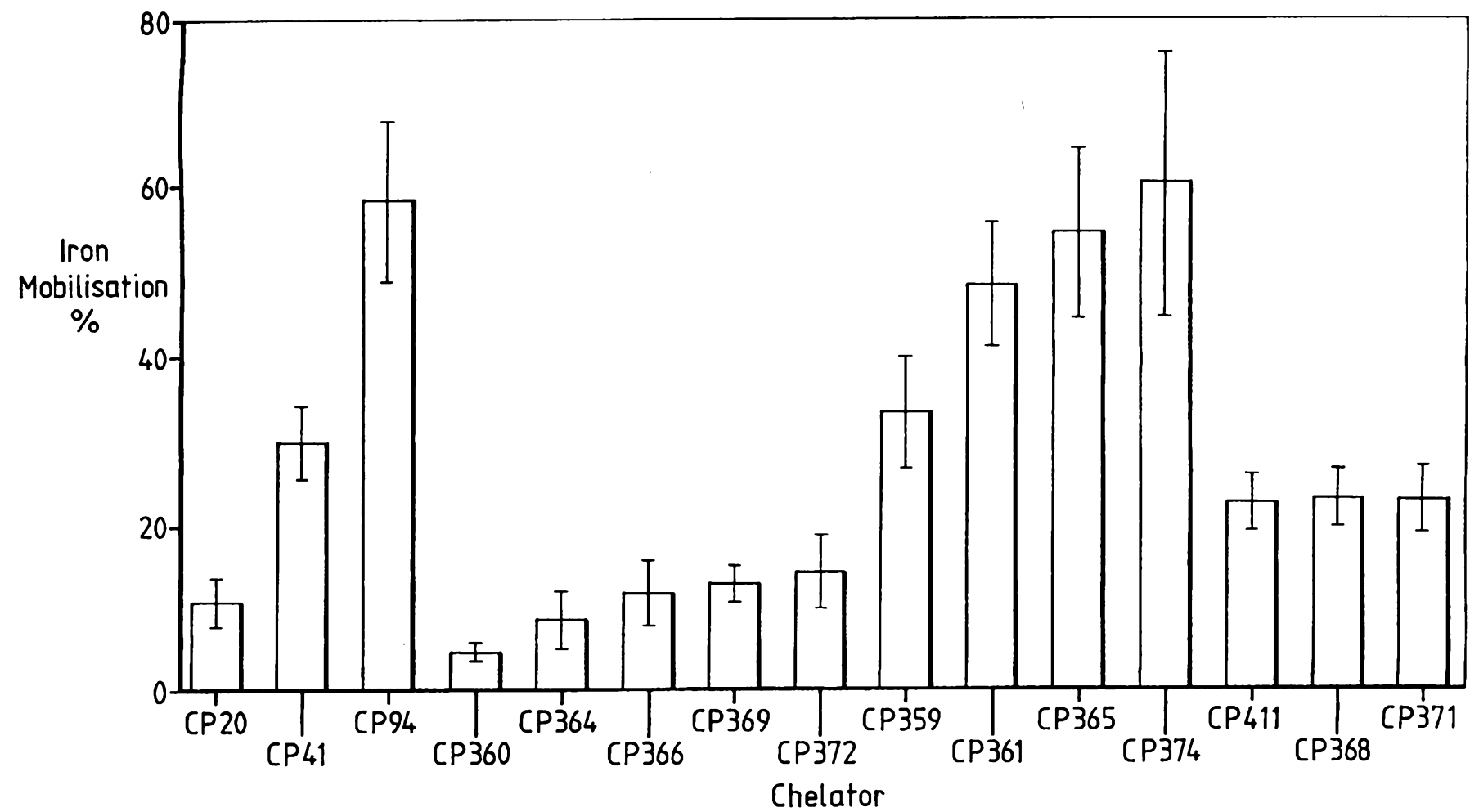


Fig. 2

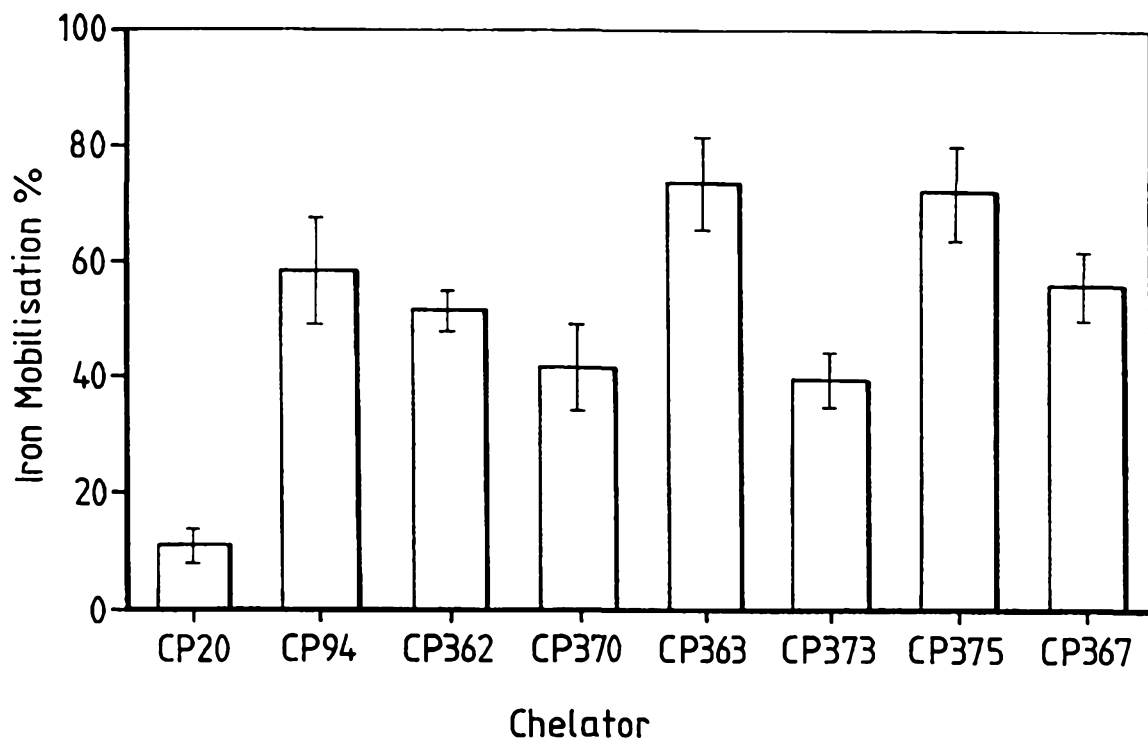


Fig. 3