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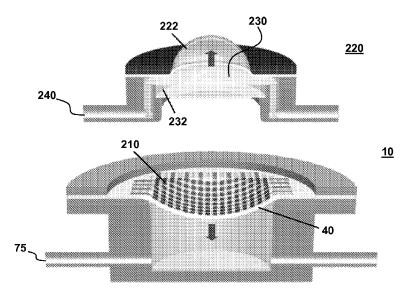
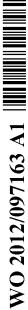


Fig. 1A

(57) Abstract: Provided are devices, and related methods, for controlling curvature of an array of optical components on, embedded, or partially embedded in, a deformable substrate. The array of optical components, in an aspect, comprises a deformable substrate having a contact surface and an array of mechanically interconnected optical components supported by the contact surface. An actuator is operably connected to the contact surface. wherein the actuator variably controls a curvature of said contact surface. The contact surface may have a curvature that spans concave to convex, which is tunable. In an aspect, the array of optical components is part of on optical device, such as a camera with a continuously adjustable zoom whose focus is maintained by adjusting a photodetector array curvature. In an aspect, the method is adjusting the curvature of a substrate that supports the array of optical components by applying a force to the substrate.



## OPTICAL COMPONENT ARRAY HAVING ADJUSTABLE CURVATURE

## **CROSS-REFERENCE TO RELATED APPLICATIONS**

[001] This application claims the benefit of priority of U.S. Provisional Patent
 Application No. 61/433,099, filed January 14, 2011, which is hereby incorporated by reference in its entirety.

# STATEMENT REGARDING FEDERALLY SPONSORED RESEARCH OR DEVELOPMENT

[002] This invention was made with United States governmental support awarded by Defense Advanced Research Planning Agency under N66001-10-1-4008 and the National Science Foundation under ECSS-0824129. The United States Government has certain rights in the invention.

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## **BACKGROUND OF INVENTION**

- **[003]** Provided herein are arrays of optical components supported by a deformable substrate having a variable and user-controlled curvature. The devices and methods of the invention have a number of useful applications, including in the field of optical detectors, imagers and optical emitters. Methods for varying the curvature of an array of optical components and for making the disclosed devices are also provided.
- [004] Much interest is devoted to the field of stretchable and foldable electronics. For example, good stretchability and foldability of various electronic and opto-electronic devices are disclosed in U.S. Pub. Nos. 2008/0157235, 2010/0002402 and U.S. Pat. No. 7,972,875, including eye-type imagers that incorporate focal plane arrays on hemispherical substrates. Foldable integrated circuit designs are accessed by supporting specially configured electronic materials on thin elastomeric substrates, including by interconnection of rigid device islands by interconnects that accommodate strains and stresses by straightening of the interconnects. In this manner, strainsensitive materials can be isolated from stretch and/or bending-induced strains.
  - [005] Although effort has been devoted to ensuring electronic circuits that can stretch and bend, a challenge remains as to providing a reliable, fast and consistent means for precisely varying and controlling the curvature of an electronic circuit in a dynamic manner. Certain systems involve direct printing of components onto curved surfaces (Xu et al. Org. Electron 9:1122-1127 (2008)) or geometric transformation of initially planar configurations into desired shapes (Ko et al. Nature 454:748-753 (2008); Jung et

al. Appl Phys Lett 96:021110-1-021110-3 (2010); Ko et al. Small 5:2703-2709 (2009); Shin et al. Small 6:851-856 (2010)). Those systems, however, generally relate to rigid, concave device substrates whose curvature cannot be dynamically varied. In other words, the device is locked into one substrate shape. The lack of a reliable system to vary substrate curvature is particularly relevant for hemispherical imagers, where a change in the zoom by changing lens curvature, affects focal surface, or the curvature of a surface for which the image will be in focus. Provided herein, therefore, are methods and devices for dynamically varying the curvature of an array of optical components, including of a photodetector array that may be incorporated into an imaging system to provide focus over a range of lens curvatures.

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## **SUMMARY OF THE INVENTION**

**[006]** Provided are optical components having a surface that is capable of a controlled and well-defined adjustment of the surface shape. The devices and related methods for accomplishing adjustment of optical component substrate curvature address a need for dynamic adjustment to curvature during use of the optical components. In contrast to conventional systems, where the curvature tends to be fixed, the disclosed devices and methods provide precise optical component substrate shape and curvature control, that can be rapid and reproducible. Control of substrate curvature can occur in the context of an optical array on a substrate that is part of an optical device. In this fashion, during use of the optical device the optical component curvature may be rapidly and reliable adjusted as desired.

[007] In one embodiment, the invention is an optical device incorporating any of the disclosed arrays of optical components. In another embodiment, the invention is an array of optical components comprising a deformable substrate having a contact surface and an array of mechanically interconnected optical components supported by the contact surface. An actuator is operably connected to the contact surface, wherein the actuator variably controls a curvature of the contact surface. As provided herein, any number and types of actuator systems may be used, so long as the actuator provides variable control of the curvature (e.g., shape) of the deformable substrate contact surface.

**[008]** In an aspect, the deformable substrate is capable of being deformed to a different curvature, such as from flat to curved. In an embodiment, the deformation is reversible or at least partially reversible. In an embodiment, the substrate comprises an elastomer. In an embodiment, the substrate comprises a plastic or other ductile

material. In an aspect, the substrate is a shape-memory material, such as a shape memory polymer, metal or alloy. The particular application of interest will dictate the physical characteristics required in the substrate, and accordingly influence the substrate composition. For example, in applications requiring a large number of adjustments to the curvature such as in an adjustable zoom camera, the substrate is preferably formed of a material having reversible curvature over a large number of cycles. Accordingly, one suitable substrate material in that embodiment is an elastomer, such as poly(dimethylsiloxane) (PDMS). Other applications, however, may not be subject to such a large number of cycles and/or fast curvature adjustment, meaning that materials need not have properties of an elastomer, instead less reversibly deformable or elastic materials may be employed that have other advantages, such as better durability or relatively higher rigidity, while still being capable of being adjustable curved, such as plastics or non-elastic polymers.

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- **[009]** In an aspect, the deformable substrate further comprises an encapsulating layer that at least partially encapsulates interconnects of the array, and that facilitates a neutral mechanical plane layout (see, for example, U.S. Pat. Pub. Nos. 2010-0002402 (213-07); 2011-0230747 (15-10)) to isolate rigid material of the array, such as metals or semiconductor elements, from bending-induced strain.
- [010] In an embodiment, the deformable substrate is thin to facilitate controllable curvature changes that are rapid and reproducible without adversely impacting the functionality of the optical array. Accordingly, in one aspect the substrate thickness is less than 1 mm, less than 0.5 mm, or between about 0.2 mm and 0.6 mm.
  - **[011]** The invention is compatible with any number and types of optical components. Examples of useful optical components include optical detectors; optical emitters; optical reflectors; photovoltaics; lenses; filters; and polarizers. For example, in an optical device that is an imager or a camera, the optical components may comprise an array of mechanically interconnected photodiodes. Each individual component of the array may correspond to a pixel that detects light, such as light intensity and/or wavelength.
- [012] The invention is further compatible with any number and kinds of actuators.
  30 Examples of actuators include a hydraulic system, pneumatic system, mechanical actuators, or a force generator that is integrated with the deformable substrate. The operating conditions of the optical device and the particular application will influence the selection of actuators. A hydraulic or pneumatic-type actuator changes a fluid amount

or pressure, such as a liquid (hydraulic) or gas (pneumatic), in a chamber to vary the curvature of the deformable substrate that forms a surface of the chamber. A mechanical actuator directly moves the substrate to generate change in curvature. A force generator integrated with the deformable substrate generates a force on the substrate to generate change in curvature. The force generator may act at a distance, such as a magnet that acts on magnetizable elements embedded or connected to the substrate. The force generator may be a part of the substrate, such as piezoelectric actuators embedded in or attached to the substrate. The force generator may itself be part of the substrate, such as a substrate formed by a shape memory material where change in substrate temperature effects a change in substrate curvature. In an embodiment, the integrated force generator is a shape memory polymer, metal or alloy.

- [013] In an aspect, the actuator is a piezoelectric actuator, an electrostatic actuator, or a magnetic actuator. In an embodiment, the mechanical actuator comprises an array of mechanical actuators positioned on a back surface that is opposed to the contact surface. In an embodiment, the actuator comprises two or more actuators or types of actuators. In this embodiment, the combination of actuators can provide additional control of curvature. For example, curvature may be spatially-dependent, with actuators configured to exert different forces at different substrate positions, referred herein as spatially variable curvature control. Alternatively, different actuators may provide different force ranges, so that one actuator type may provide course change in curvature with a second actuator type providing fine-control of the curvature. In this manner, precise control of curvature is achieved in a manner that can be rapid and reproducible.
- [014] In an embodiment, the actuator is a hydraulic or pneumatic system, such as a hydraulic or pneumatic system having an optical component fluid chamber, wherein the deformable substrate forms a surface of the optical component fluid chamber. A pressure controller controls a pressure in the optical component fluid chamber. In an aspect, the fluid chamber pressure is controlled by introducing or removing a fluid to the fluid chamber by the actuator. In an aspect, the fluid chamber pressure is controlled by moving a surface of the fluid chamber by the actuator, thereby changing the substrate curvature by the fluid in the fluid chamber. Unless specifically defined otherwise, pneumatics refers generally to a curvature that is controlled by a change in pressure in a fluid that can be either a gas or a liquid. More specifically in this general use, pneumatics encompasses the term hydraulics, which specifically refers to fluids that are liquids. Alternatively, pneumatics refers to a fluid that is a gas and hydraulics refers to a

WO 2012/097163 PCT/US2012/021092 fluid that is a liquid. The terms are related in that both rely on change in pressure to effect a change in curvature.

**[015]** In an aspect, the fluid chamber is positioned adjacent to a back surface of the deformable substrate, wherein the back surface is opposed and separated from the contact surface by the deformable substrate. In an aspect, the fluid chamber is positioned adjacent to the contact surface of the deformable substrate. In an aspect, any of the fluid chambers has separate fluid inlets and outlets. In an aspect, there is one conduit that provides both fluid inflow and outflow, depending on actuator state.

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from the rest of the array.

- [016] In an embodiment, the invention can be further described in terms of the array of optical components. In an aspect, the array of optical components comprises a plurality of islands, wherein each island is mechanically or electrically connected to an adjacent island by an interconnect. In an aspect, the interconnect is in a buckled or a serpentine configuration. In this manner, one or more optical components can be positioned on or in contact with the island, and the interconnects may accommodate strains or stresses associated with change in substrate curvatures. In an aspect, the interconnects, array configuration and components are as provided in US Pat. Pub. 2010/0002402 (Atty ref. 213-07), US Pat. Pub. US-2008/0157235 (Atty ref. 134-06) or US Pat. Nos. 7,521,292 (Atty ref. 38-04C), 7,972,875 (Atty Ref. 216-06), each of which are specifically incorporated by reference for the optical component arrays, and methods of making and designing arrays of optical components.
- [017] In an aspect, any of the devices or methods provided herein provides a change in curvature that can range from a concave to a convex configuration. In particular, the system is configured so that the array of optical components remains functional over the concave to convex configuration, including at the extreme curvature configurations. "Remains functional" refers to at least 95%, at least 98% or at least 99% of the optical components remaining functional as intended with curvature change. In particular, the optical components do not fail, crack, mechanically separate, or electronically separate
- **[018]** In an aspect, curvature is described in terms of a radius of curvature, such as a radius of curvature that varies from 0.1 mm to flat in the concave or the convex configuration. Alternatively, for applications where the optical array need only vary over a concave configuration, the radius of curvature varies only the concave configuration. In an aspect, the radius of curvature is defined over a portion of the array or substrate

WO 2012/097163 PCT/US2012/021092 surface, including a central portion, such as the inner 75%, inner 50%, or inner 25% of the substrate contact surface.

**[019]** In an embodiment, the actuator and related system provide the capability of achieving high degree of curvature accuracy. In an aspect, the actuator provides a curvature that is within 10%, within 5%, or within 1% of a desired curvature; such a substrate may be referred to as "in focus" or at the "focal surface". In this aspect, a desired curvature may be calculated, such as a curvature to provide the best functional optical output from the array of optical components, whether the optical output is an image, light generation, optical absorption or reflection. A curvature within a percentage may relate to radius of curvature, equation that describes the curved surface, maximum deviation at a particular location, or other statistical comparison between a desired surface shape and the actual surface shape generated by the method or device.

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- [020] In an aspect, the deformable substrate has a spatially-varying material property that influences the curvature of the contact surface. This aspect refers to a physical characteristic of the substrate that provides spatial variability to the bending or curvature of the substrate when a force is applied to the substrate. Accordingly, and similar to the multiple actuator configuration, this aspect is also referred to as having a spatially-variable curvature. Examples include varying the thickness, porosity, coating layers, coating elements, embedded elements, relief or recess features, across or along the substrate surface. In this manner, curvature can be tailored, such as to achieve special non-hemispherical curvatures in a spatially-dependent manner. Such spatially-varying material property allows a single actuation force to have significantly different effects that vary with substrate position, such as laterally along the substrate.
- **[021]** In an embodiment, the invention relates to an optical device having any of the array of optical components described herein. In an aspect, the optical device is an imaging system comprising any of the array of optical components described herein, wherein the optical components are photodiodes that form a photodetector array. The imaging system may be a camera having a continuously adjustable zoom, but without the complex optics and multiple lens components required in conventional systems to maintain focus for different levels of zoom.
- **[022]** In an aspect, the imaging system further comprises a tunable lens. In an embodiment, the tunable lens comprises an elastomeric lens membrane. In another aspect, the tunable lens is a tunable fluidic lens having a lens fluid chamber with one

surface formed by the elastomeric lens membrane. An optical component fluid chamber has one surface formed by the deformable membrane. In this aspect, an actuator controls a pressure in the lens fluid chamber to tune the lens membrane curvature and a pressure in the component fluid chamber to tune the photodetector array (e.g., the deformable substrate) curvature. In this manner, as the lens curvature changes to provide different zoom, the photodetector array curvature changes to ensure the image remains in focus at any given level of zoom. Although the actuator is described in this embodiment as hydraulic or pneumatic in nature, any of the actuators described herein may be used in the imager. One constraint, however, is that the actuator for the lens should not adversely impact, degrade or unduly interfere with the transmission of light through the lens to-be-detected by the photodetector.

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- [023] In an embodiment, the imaging system actuator comprises a lens fluid chamber actuator for controlling pressure in the lens fluid chamber and an optical component fluid chamber actuator for controlling pressure in the optical component fluid chamber. Use of valves, flow regulators, and other pressure-regulating means allows for a single actuator to reliably control pressure in both chambers in an independent fashion.

  Alternatively, separate actuators may be used. Optionally either or both chambers have, in addition to an inlet for inflow of fluid, an outlet for outflow of fluid, from the chamber(s). Alternatively, inlet and outlet may be combined in a single conduit.
- [024] In an aspect, the imaging system actuator varies a radius of curvature of the lens membrane, the deformable substrate supporting the array of optical components, or both, thereby providing a tunable imaging system. Varying the lens membrane radius of curvature provides a continuously adjustable zoom lens having an image at the photodetector array that is in focus by adjusting the contact surface curvature to match a Petzval surface formed by the lens membrane. The Petzval surface may be calculated on the fly, such as by raytracing or computation arising from known variables of image distance from the lens and the zoom or curvature of the lens. Accordingly, the imaging system may further comprise a processor for calculating the Petzval surface of an object imaged by the lens having a lens curvature, wherein the actuator generates curvature of the photodetector that substantially matches the Petzval surface. Alternatively, the curvature of the photodetector may be empirically determined by varying photodetector curvature until a desired level of focus is achieved. Level of focus can be quantified, such as by assessing the sharpness of an object edge or by the ability to individually resolve adjacent objects. Alternatively, level of focus may be assessed in terms of the

 $WO\ 2012/097163$  PCT/US2012/021092 closeness or deviation of the substrate contact surface from an ideal substrate surface,

as determined computationally.

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**[025]** In an embodiment, the imaging system has a continuously adjustable zoom, such as continuously adjustable between a range that is greater than or equal to a factor of 1 and less than or equal to a factor of 50. In an aspect, the value of zoom relates to the curvature of the lens, with higher convex lens curvature providing higher zoom.

- **[026]** The imaging system is optionally described in terms of a high-quality imaging characteristic, such as one or more of high resolution; high sensitivity; high pixel density, high photodiode fill factor; and large field of view.
- 10 **[027]** Also provided are various methods for controlling curvature of an optical array, including for controlling any of the optical arrays presented herein. In an aspect, an array of optical components on a contact surface of a deformable substrate is provided and a force is exerted on the deformable substrate, thereby deforming the deformable substrate and controlling the curvature of the optical device.
- 15 **[028]** In an aspect, the force is exerted by activating an actuator that is operably connected to the deformable substrate. Alternatively, the deformable substrate itself generates a force, such as in response to a change in temperature, thereby changing the curvature.
  - **[029]** In an embodiment, the force is exerted by the deformable substrate or an actuator positioned in or on the deformable substrate.
    - [030] In an aspect, the method relates to adjusting curvature of an array of optical components that is incorporated in an optical device, such as an imaging system. In an embodiment, the array of optical components is a photodetector array comprising a plurality of mechanically interconnected photodiodes incorporated in an optical device such as an imaging system having a tunable lens. In this embodiment, the method of optionally further comprises the steps of adjusting a curvature of the lens to obtain a desired optical zoom of an object positioned in the lens field of view, determining an ideal curvature of the photodetector to provide a focused image of light from the object transmitted through said lens, and adjusting the exerted force on the deformable substrate to substantially match the photodetector curvature to the ideal curvature; thereby obtaining an in focus image of said object for any optical zoom value. In this

WO 2012/097163 PCT/US2012/021092 manner, the methods and devices provided herein are capable of obtaining high-quality

images with a single lens and a single detector array.

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[031] In an aspect, any of the devices and methods provided herein has a controller for controlling the separation distance between the lens and the photodetector. In an embodiment, the method further comprises determining an ideal separation distance between the photodetector and the lens for capturing the focused image by the photodetector, and adjusting a separation distance between the lens and the photodetector to substantially match the separation distance to the ideal separation distance. The controller may employ a linear displacement drive that automatically separates the lens and photodetector, or may be a manually-implemented linear displacement, where a user adjusts the separation distance until a desired image is achieved. In this aspect, "substantially match" refers to a distance that is within 10%, within 5% or within 1% of ideal separation.

- [032] In an embodiment, the desired optical zoom corresponds to a zoom factor that is greater than or equal to 1 and less than or equal to 100 and the optical image at the photodetector is in focus for all zoom factor values. In an aspect, focus is achieved without additional lenses, mirrors, reflectors, or the like between the tunable lens and the photodetector. Alternatively, higher zoom can be achieved with additional optics between the lens and detector such as by use of field-flattening optics, including to telescopic levels. In an aspect, the higher zoom level is up to about 500 times.
- **[033]** Any of the methods or devices provided herein relate to determination of the ideal curvature computationally or empirically, including for an object whose image is obtained via a curved lens.
- [034] In an aspect, at least a central portion of the deformable substrate has a curvature that can be characterized or quantified as hemispherical or paraboloid.
  - [035] One functional benefit of the methods and devices provided herein is that the array of optical components maintains functionality over a range of membrane curvatures. The curvatures may be generally described as concave, convex, or substantially planar (e.g., flat). Alternatively, the curvature for a hemispherical shape may be described by a radius of curvature, such as a radius of curvature anywhere between about flat (radius of curvature approaching infinity) to maximum curved, such as about 0.1 mm.

**[036]** Any of the methods and devices incorporates calibration of the system. In an aspect, the method further comprises the step of calibrating the optical components to address the position of individual optical components of the array over a range of membrane curvatures. This is a reflection that as the substrate curves, the positions of the optical components change both in terms of their absolute position and their position relative to one another. The calibration step ensures that a positionally accurate image can be displayed, for example, in a flat configuration. In an aspect, the calibrating is by determining the pitch or inter-component spacing for a plurality of membrane curvatures computationally or empirically using a known image provided at a defined distance.

- **[037]** In an aspect, the devices and methods provided herein are based on a Petzval surface formed by light passing through a curved lens being in focus on a curved photodetector surface. In an embodiment, the ideal curvature corresponds to a Petzval surface formed by transmission of electromagnetic radiation through the lens.
  - [038] In an embodiment, an un-actuated array of optical components is provided in a flat configuration by generating a pre-strain to the deformable substrate that supports the array of optical components. In an aspect, the pre-strain is greater than or equal to 1% and less than or equal to 5%, greater than or equal to 2% and less than or equal to 4%, or about 2% to 3%. In this manner, at rest the array of optical components is flat. Accordingly, any of the devices and methods further comprises a pre-strain element connected to the deformable substrate to provide a level of prestrain to the deformable substrate.
  - [039] In an aspect, the device is a fixture system for holding the deformable substrate and optical component array supported thereon. In an embodiment, the deformable substrate is held by upper and lower covers. Although the system is effectively sealed by this design, including for use with an actuator that is hydraulic or pneumatic in design, the membrane can be significantly deformed by compression. As a result, a prestrain element is connected to a cover, such as the top or bottom cover, and to the deformable membrane, to provide a radially-directed pre-strain. In an aspect, the prestrain is relatively small, such as less than about 5%, or about 2% to 3%. The prestrain element ensures, when desired, the substrate is flat, such as when no force is applied by the actuator. The covers provide an opening hole element in which the size and shape of the deformation is controlled. In an aspect, the opening hole element has a circular shape.

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In an embodiment, any of the devices provided herein further comprise a fixture [040] system to establish reliable electrical contact between the array of optical components on a deformable substrate and an external data acquisition system. The fixture ensures electrical contact by mechanical pressing. Cantilever springs provide mechanical pressing and contact between pin electrodes and electrodes of the optical component array, thereby establishing reliable and robust electrical contact with the array, even over large changes in substrate curvature. In an aspect, electrical resistance is reduced by polishing the surfaces of the electrode pins and coating with metal layers. In an aspect the metal layers comprise thin layers of Cr/Au, such as about 20nm/400nm. In an aspect, each row and each column of the array has an independent electrode electrically connecting the row or column to a corresponding pin electrode. In an aspect, the pin electrode comprises an electrically conductive metal. In an aspect, the electrically conductive metal is copper. In this embodiment, the array of optical components may correspond to a photodetector array of a device that is an optical imager. In this manner, the fixture system facilitates image display on a monitor and/or storage of the image digitally.

## **BRIEF DESCRIPTION OF THE DRAWINGS**

[041] FIG. 1A Schematic illustration of a camera, including tunable lens (Upper) and tunable detector (Lower) modules. The lens comprises a fluid filled gap between a thin (about 0.2 mm or less) PDMS membrane and a glass window (about 1.5 mm thick) that is at least partially optically transparent, to form a plano-convex lens having a 9 mm diameter and radius of curvature that is adjustable with fluid pressure. The tunable detector comprises an array of interconnected optical components, in this example silicon photodiodes and blocking diodes (16x16 pixels), mounted in or on a thin (0.4 mm) PDMS membrane, in a mechanically optimized, open mesh serpentine design. This detector sheet mounts on a fluid filled cavity; controlling the pressure deforms the sheet into concave or convex hemispherical shapes with well defined, tunable levels of curvature. FIG. 1B is a photograph of a complete camera. FIG. 1C: Photographs of the photodetector array imaged through the lens, tuned to different magnifications. The left and right images are acquired at radius of curvature in the lens of 5.2 mm and 7.3 mm. In both cases, the radius of curvature of the detector surface is 11.4 mm. The distance of the center part of the detector from the bottom part of the lens is 25.0 mm. **FIG. 1D**: Angled view optical images of the tunable lens at three different configurations (*Upper*), achieved by increasing the fluid pressure from left to right. The lower frame shows measurements of the height and radius of curvature of the lens surface as a function of

applied fluid pressure. The results reveal changes that are repeatable and systematic (experimental; open and filled square symbols), and quantitatively consistent with analytical calculations of the mechanics (labeled analytical) and finite element analysis. (labeled FEA).

- 5 [042] FIG 2A Tilted view of a photodetector array on a thin membrane of PDMS in flat (no defined radius of curvature) (*Upper*) and hemispherically curved (*Lower*) configurations, actuated by pressure applied to a fluid filled chamber underneath. FIG **2B:** Three dimensional (3D) rendering of the profile of the deformed surface measured by a laser scanner. Here, the shape is close to that of a hemisphere with a radius of 10 curvature  $(R_D)$  of 13.3 mm and a maximum deflection  $(H_D)$  of 2.7 mm. Calculated and measured unit cell positions appear as squares of different shading on this rendered surface. 3D rendering of circumferential strains in the silicon devices (squares) and the PDMS membrane determined by finite element analysis (Lower). FIG 2C: Angled view optical images of the tunable detector in three different configurations (Upper), achieved by decreasing the level of negative pressure applied to the underlying fluid chamber 15 from left to right. Measurements of the apex height and radius of curvature of the detector surface as a function of applied fluid pressure reveal changes that are repeatable and systematic (experimental), and quantitatively consistent with analytical calculations of the mechanics (analytical), as shown in the middle frame. Laser 20 scanning measurements of the profiles of the deformed detector surface show shapes that are almost perfectly hemispherical, consistent with analytical mechanics models. Here, each measured profile (symbols) is accompanied by a corresponding analytical calculated result (lines). FIG 2D: Optical micrograph of a 2 × 2 array of unit cells, collected from a region near the center of a detector array, in a deformed state (*Left*) 25 and maximum principal strains in the silicon and metal determined by finite element analysis (Right) for the case of overall biaxial strain of 12%. These strains are far below those expected to cause fracture in the materials.
  - **[043] FIG. 3.** (A) Photograph of a deformable detector array with external electrical interconnections. Electrode pins on a mounting plate press against matching electrodes at the periphery of the array to establish connections to a ribbon cable that leads to a data acquisition system. (B) Images of a test pattern of bright circular discs, acquired by the device in flat (*Left*) and deformed hemispherical (*Right*) configurations, collected using a glass plano-convex lens (diameter: 9 mm, focal length: 22.8 mm). The images are rendered on surfaces that match those of the detector array. The distance between

the lens and the source image is 75 mm. The radius of curvature and the maximum deflection in this deformed state are 16.2 mm and 2.2 mm, respectively. The image in the flat case was collected at a distance of 5.5 mm closer to the lens than the focal location expected by the thin lens approximation (31.7 mm). In this position, only the far peripheral regions of the image are in focus. The image in the curved configuration is acquired simply by actuating the detector into this shape, without changing any other aspect of the setup. This deformation brings the entire field of view into focus, due to matching of the detector shape to the Petzval surface. (C) Planar projections of these images. (D) Modeling results corresponding to these two cases, obtained by ray-tracing calculation. The outcomes show quantitative agreement with the measurements. The dashed circle indicates the area under deformation.

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- **[044] FIG 4A:** Raytracing analysis of the positions and curvatures of the image surfaces (i.e. Petzval surfaces; Right) that form with four different geometries of a tunable plano-convex lens (Left). Actual sizes of detector surfaces are shown as dashed lines. **FIG 4B:** Images acquired by a complete camera system, at these four conditions. These images are collected at distances from the lens (z) of 16 mm, 24 mm, 38 mm and 55 mm with corresponding radii of curvature of the lens surface ( $R_L$ ) of 4.9 mm, 6.1 mm, 7.3 mm and 11.5 mm. The radii of curvature ( $R_D$ ) of the detector surface, set to match the computed Petzval surface shape, were 11.4 mm, 14.0 mm, 19.2 mm, 25.7 mm. These images are acquired by a scanning procedure described herein. The object consists of a pattern of light circular discs (diameter: 3.5mm, pitches between circles: 5 mm and 8.5 mm). **FIG 4C:** Images computed by ray tracing analysis, at conditions corresponding to the measured results. The axis scales are in millimeters.
- [045] FIG. 5 Representative current-voltage response of a pixel at the center of the array, with a light on and off .
  - [046] FIG. 6 Schematic illustration of steps for transferring photodetectors array onto PDMS membrane substrate.
  - **[047] FIG. 7A** Photograph of a photodetector/diode array before transfer on a planar surface. Optical microscope image of single photodetector (inset). **FIG. 7B** Transferred photodetector array on PDMS membrane substrate.
  - [048] FIG 8 (A) Photograph of elements for completing a fluidic deformation system.

    (B) Top down view of the cover assembly for the fluidic deformation system, which is

WO 2012/097163 PCT/US2012/021092 composed of components in the dashed rectangle in the left figure (upper), bottom up view of the cover assembly (lower).

- [049] FIG. 9 (A) The side view of the tunable detector connected with in/out tubes.
- (B) Photograph of a system with the tunable lens positioned above the tunable detector.
- (C) The top-down view of the tunable lens. (D) The bottom-up view of the tunable lens.
  - (E) The completed camera connected to a custom made syringe.

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- **[050]** FIG. 10 (A) Photograph of contacting electrodes pin assembly before surface polishing and metal layer deposition. (B) Photograph of the mounting board with electrodes pin array assembled. (C) The top down photograph of electrodes pin array installed on a photodetector/diode array. (D) The bottom up view of electrodes pin array installed on a photodetector/diode array, close-up view of the area where electrode pins are pressing electrodes of device array (inset). (E) A tilted view of the pressing bar element which has four cantilever springs at the corner.
- [051] FIG. 11 Device yield of working camera used in the imaging experiments. In this experiment, a plano-convex lens (diameter of 9 mm and focal length of 22.8 mm, JML Optical Industries, Inc.) is used.
  - **[052] FIG. 12** (A) The 3D laser scanning system for measuring surface profile. (B) Scanning the tunable detector. (C) Scanning the tunable lens.
- [053] FIG. 13A Raw point data of deformed lens surface acquired by 3D scanner.
  FIG. 13B Measured profiles and fitted curves of lens surface at various states of deformation. FIG. 13C The apex height and the radius of curvature as a function of pressure.
  - **[054] FIG. 14** Measurement scheme of pixel position: (A) Raw point data of deformed detector surface acquired by 3D scanner. (B) Generated surface by raw data. (C) Topdown view of device array projected on the generated surface. (D) Measured pixel position (squares) is overlapped on the detector surface. (E) Analytically calculated position is overlapped on measured position of (D).
  - **[055]** FIG 15 (A) The lens deforms to be a spherical cap for deflection  $H \le D/2$ . (B) For deflection H > D/2, the lens surface has contact with the sidewall of the top plate. Its deformed shape consists of a full hemisphere and a short cylinder.

[056] FIG. 16 Schematic illustration of the deformation of photodetector surface due to water extraction.

- **[057] FIG 17** (A) Schematic illustration of photodetectors on undeformed PDMS surface. (B) Schematic illustration of photodetectors on deformed PDMS surface.
- [058] FIG. 18 (A) Deformed photodetector surface shape by analytical solution shows good agreement with experiment and finite element analysis for 2.69 mm deflection. The analytically given photodetector positions shows good agreement with experiment (B) and finite element analysis (C).
  - [059] FIG. 19 Circumferential (A) and meridional (B) strain in PDMS membrane.
- [060] FIG. 20 Photographs of the tunable detector imaged through the tunable lens at four different lens geometries (radius of curvature of lens surface): (A)  $R_L$  =4.9mm, (B)  $R_L$  =6.1mm, (C)  $R_L$  =7.3mm, (D)  $R_L$  =11.5mm. The distance from the bottom of the lens to the bottom of the detector in this example is z=25.0mm.
  - [061] FIG. 21A The shapes of lens surfaces and corresponding detector surface shape and distance by raytracing calculation. FIG. 21B Relation between radius of curvature of lens and radius of curvature of detector, FIG. 21C Relation between radius of curvature of lens and distances from lens.

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- **[062]** FIG 22 (A) Photograph of the optical setup for image acquisition. (B)-(E) Photographs of image formed by tunable lens at a flat diffusive screen for different distance from the lens: (B) z=16mm, radius of curvature of lens surface  $R_L=4.9$ mm, (C) z=24mm,  $R_L=6.1$ mm, (D) z=38mm,  $R_L=7.3$ mm, (E) z=55mm,  $R_L=11.5$ mm.
- **[063] FIG. 23** Images acquired by the tunable detector at different deformations of detector surfaces: (A) flat detector surface, (B)-(E) various radius of curvature: (B)  $R_D$  =88.7mm and the bottom depth  $H_D$  = 0.4 mm, (C)  $R_D$  =42.0mm,  $H_D$  = 0.8 mm, (D)  $R_D$  =24.1mm,  $H_D$  = 1.4 mm, (E)  $R_D$  =16.2mm,  $H_D$  = 2.2 mm. The images in the bottom panel correspond to a straight-on view of the images of A-E.
- **[064] FIG. 24** Images acquired by the tunable detector and the tunable lens at four imaging conditions: distance from the lens (A) z=16mm, radius of curvature of lens surface  $R_L$  =4.9mm, radius of curvature of detector surface  $R_D$  =11.4mm, (B) z=24mm,  $R_L$  =6.1mm,  $R_D$  =14.0mm, (C) z=38mm,  $R_L$  =7.3mm,  $R_D$  =19.2mm, ,(D) z=52mm,  $R_L$  =11.5mm,  $R_D$  =25.7mm, (E) flat detector surface at the condition (A); (F) flat detector

WO 2012/097163 PCT/US2012/021092 surface at the condition (B); (G) flat detector surface at the condition (C); (H) flat

detector surface at the condition (D).

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**[065]** FIG 25 Images of the University of Illinois 'I' logo acquired by the tunable detector and the tunable lens at different imaging conditions. The left- and right-hand images are taken at distances from the lens z=16mm, 48mm and radius of curvatures of lens surfaces  $R_L$  =4.9mm, 9.7mm and radius of curvatures of the detector surfaces  $R_D$  =11.4mm, 25.7mm (from left to right). Projected views of the each image are shown below. The distance between the lens and source image is 67mm. The axis scales are in millimeters.

- [066] FIG. 26 Schematic illustration of an array of optical components having a tunable curvature by various actuators: A Hydraulic or pneumatic actuator; B Mechanical actuator; C Force generator integrated with deformable substrate; D Array of actuators positioned on substrate back surface.
  - [067] FIG. 27 Schematic illustration of mechanically interconnected optical components: A Mechanical interconnection via the substrate contact surface; B Serpentine interconnects; C Out-of-plane buckled interconnects.
    - **[068] FIG. 28** Schematic illustration of a dynamically tunable imaging system with adjustable zoom.
- [069] FIG. 29 Process flow diagram of method of controlling an imaging system to provide a dynamically tunable camera with adjustable zoom.

## **DETAILED DESCRIPTION OF THE INVENTION**

- **[070]** "Optical component" is used broadly to refer to a material or device element that modulates, transmits, generates, reflects or otherwise affects a physical parameter associated with electromagnetic radiation, including light over a specified wavelength.
- In particular, the optical components are arranged in an array, such as an array with each component having position defined in terms of a column and row position.

  Accordingly, each repeating unit cell of the array corresponds to an optical component. In an embodiment, each optical component is independently wired, such as to a source of electric potential. In an embodiment, each optical component is connected to
- adjacent optical components with an interconnect. In an aspect, the interconnect provides a mechanical connection. In an aspect, the interconnect provides an electrical

WO 2012/097163 PCT/US2012/021092 connection, thereby minimizing the number of electrical connections to the array to the number of rows plus the number of columns.

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- "Optical device" refers to the device in which the optical component may be incorporated, and so includes other elements required for the device to provide the desired functionality. The optical device, and therefore the underlying array of optical components, may be defined in terms of functionality. Examples of functionality include optical imagers, optical detectors, displays, emitters, reflectors, absorbers, polarizers, filters, lenses, and corresponding devices thereof. For example, an optical absorber may be part of a photovoltaic device, an optical detector part of a camera, an optical emitter part of a light emitting device. Optical devices of particular relevance include those where having the array of optical components in a controllable and variable surface curvature provides functional benefit. Examples include optical detectors where varying the surface curvature of the optical detectors provides improved image focusing. In the context of photovoltaics, varying the curvature of the photovoltaic array surface (e.g., semiconductor material) facilitates matching photovoltaic surface shape to angle or position of incident light, thereby improving overall efficiency. With respect to light emitters, an array of light emitting components, such as light emitting diodes, may have a surface shape varied so as to provide additional control to light output, such as light position, illumination area, diffusivity, and intensity, particularly when paired with other optical components such as lenses, polarizers and filters. In an embodiment, the optical device is not a mirror.
- **[072]** "Deformable substrate" refers to a material to which the array of optical components is connected and that is capable of moving in response to a change in applied force, such as by bending.
- 25 [073] "Operably connected" refers to a connection between elements of the present invention so that the functionality of each element is preserved. An actuator that is operably connected to the contact surface of the deformable substrate refers to an arrangement where the actuator generates a controllable force (magnitude and/or direction) that is transferred to a contact surface upon which the optical component array is supported, thereby controlling the curvature of the contact surface and corresponding array. The actuator, however, does not adversely impact the functionality of the array.

**[074]** "Variably controls" or "variable control" of curvature refers to an actuator that is capable of changing curvature of a deformable substrate surface in a controlled and precise manner. In an embodiment, variable control refers to the curvature of the substrate contact surface that is within 10%, within 5%, or within 1% of the desired curvature, including for a curvature that can be characterized as having a radius of curvature or another parameter that provides a quantifiable description of curvature (e.g., parabolicity, or other equation that fits a curved surface). The variable control may refer to an average value, or the maximum deviation from a desired value at one or more locations over a defined area.

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- 10 [075] "Curvature" refers to the shape of a surface, such as a contact surface that supports the optical component array. In certain embodiments, the curvature is smooth and can be defined at least partially by a radius of curvature (partially spheroid), a paraboloid, ellipsoid. Curvature includes, in certain embodiments, more complex surface shapes, such as hyperboloid, Gaussian curvature, and non-uniform curvatures.
  15 An advantage of the devices and methods provided herein is that the combination of one or more actuator(s), the optical component array configuration on a thin deformable substrate, and the ability to incorporate shape-influencing elements in or on the substrate, accesses any number of simple and/or complex curvatures, as desired.
  - [076] "Elastomer" refers to a polymeric material which can be stretched or deformed and return to its original shape without substantial permanent deformation. Elastomers commonly undergo substantially elastic deformations. Exemplary elastomers useful in the present invention may comprise, polymers, copolymers, composite materials or mixtures of polymers and copolymers. Elastomeric layer refers to a layer comprising at least one elastomer. Elastomeric layers may also include dopants and other non-elastomeric materials. Elastomers useful in the present invention may include, but are not limited to, thermoplastic elastomers, styrenic materials, olefenic materials, polyolefin, polyurethane thermoplastic elastomers, polyamides, synthetic rubbers, PDMS, polybutadiene, polyisobutylene, poly(styrene-butadiene-styrene), polyurethanes, polychloroprene and silicones. In one aspect, the deformable substrate comprises an elastomer, including for those applications where elastic deformation is desired, including over a large number and/or curvature change.
  - [077] "Serpentine" refers to an interconnect geometry that has a meandering curvature with respect to a straight-line distance between the ends of the interconnect. In other words, the length of the interconnect is substantially greater than a virtual

straight line that connects the interconnect ends. In an aspect, the interconnect length is at least 20%, at least 50%, at least 100% greater than the virtual straight line. In an aspect, the curvature has two distinct portions, wherein the curvature direction of each portion is opposite the other. In an aspect, the serpentine geometry is characterized as "in plane" in that the interconnect generally follows the surface of a plane formed by an underlying contact surface, including as disclosed in U.S. Pat. Pub. 2010-0002402; PCT Pub. No. WO 2011/115643, U.S. Pat. No. 7,768,373. In contrast, a buckled geometry has a curvature with an out-of-plane deformation, including as disclosed in U.S. Pat. Pubs. 2008/0157235, 2010/0059863 and U.S. Pat. No. 7,521,292. A buckled and serpentine geometry refers to an interconnect having both in-plane meandering and out-of-plane buckling. This configuration of interconnects facilitates strain or stress isolation of those portions of the optical component array that are more sensitive to strain or stress.

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**[078]** "Spatially-varying material property" refers to a property of a material that varies selectively along one or more lateral dimensions of a deformable substrate. Examples of material property include thickness, modulus, reinforcement elements, embedded material, air pockets, and other features that influence how a material reacts to an applied force.

**[079]** "Tunable" refers to the ability to adjust the curvature of the deformable substrate to a desired curvature, thereby obtaining a functional benefit to the system. In the imaging application, a functional benefit of tuning the photodetector array is to better focus an image captured from a curved lens, no matter the zoom factor.

"In focus" refers to an image obtained from a curved surface that substantially matches a curved surface that provides the highest image resolution, sharpness and information. For example, for a curved lens, a Petzval surface for the lens configuration and object distance from the lens is calculated, such as by raytracing or solution of the lens equation. The substrate is then accordingly curved to substantially match the Petzval surface, thereby providing an in focus image. In an aspect, in focus is quantified as a curvature of the substrate contact surface that is within 10%, within 5%, or within 1% of the desired curvature, such as for a Petzval surface in the context of an imaging application. The quantification may be at a specific point of the surface, averaged over a region, or averaged over the entire surface. In focus also refers to an empirically-determined surface shape, where surface shape is adjusted to obtain an image that is maximally in focus, such as having sharp edge features, and high resolution between

WO 2012/097163 PCT/US2012/021092 adjacent elements. For example, the surface shape can be manually adjusted in a

manner similar to conventional cameras where a manual adjustment of lens optics provides a means of focusing. Alternatively, the focusing can be automated, in a manner similar to cameras having auto-focus lens.

- [081] "Dynamically tunable" refers to the ability adjust curvature in real-time and on the fly, during use of a device incorporating the array of optical components. In contrast, non-dynamically tunable refers to systems that, although curvature may be controlled to a desired value, that desired value is then fixed and cannot be readily adjusted thereafter.
- [082] "Fluidic lens" refers to a lens whose curvature is adjusted via control of fluid pressure exerted against a surface of the lens. Increasing fluid pressure increase lens curvature, thereby influencing a number of optical properties, including zoom and field of view. Examples of fluidic lenses include various systems described in JBO Letters 15(3):030504-1 (2010); Optics Letters 33(3):291-293 (2008); U.S. Pat. Nos. 7,986,465, 8,018,658, 7,453,646, 7,675,686, 7,826,145.
  - [083] "Continuously adjustable" refers to the ability to vary a parameter continuously and smoothly over a range. For the embodiment where an imaging system has a continuously adjustable zoom, the lens curvature is controlled to any curvature within a range, so that the zoom is correspondingly continuous over the range constrained by the curvature range. By varying lens curvature, the zoom factor along with field of view is varied. Because the detector paired to the lens is also continuously adjustable over a curvature range, focus is substantially maintained over all zooms without need for intervening optical components between the lens and photodetector.

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[084] "Semiconductor" refers to any material that is a material that is an insulator at a very low temperature, but which has an appreciable electrical conductivity at a temperatures of about 300 Kelvin. In the present description, use of the term semiconductor is intended to be consistent with use of this term in the art of microelectronics and electronic devices. Semiconductors useful in the present invention may comprise element semiconductors, such as silicon, germanium and diamond, and compound semiconductors, such as group IV compound semiconductors such as SiC and SiGe, group III-V semiconductors such as AlSb, AlAs, Aln, AlP, BN, GaSb, GaAs, GaN, GaP, InSb, InAs, InN, and InP, group III-V ternary semiconductors alloys such as Al<sub>x</sub>Ga<sub>1-x</sub>As, group II-VI semiconductors such as CsSe, CdS, CdTe, ZnO, ZnSe, ZnS,

WO 2012/097163 PCT/US2012/021092 and ZnTe, group I-VII semiconductors CuCl, group IV – VI semiconductors such as PbS, PbTe and SnS, layer semiconductors such as PbI<sub>2</sub>, MoS<sub>2</sub> and GaSe, oxide semiconductors such as CuO and Cu<sub>2</sub>O. The term semiconductor includes intrinsic semiconductors and extrinsic semiconductors that are doped with one or more selected materials, including semiconductor having p-type doping materials and n-type doping materials, to provide beneficial electronic properties useful for a given application or device. The term semiconductor includes composite materials comprising a mixture of semiconductors and/or dopants. Specific semiconductor materials useful for in some applications of the present invention include, but are not limited to, Si, Ge, SiC, AIP, AlAs, AlSb, GaN, GaP, GaAs, GaSb, InP, InAs, GaSb, InP, InAs, InSb, ZnO, ZnSe, ZnTe, CdS, CdSe, ZnSe, ZnTe, CdS, CdSe, CdTe, HgS, PbS, PbSe, PbTe, AlGaAs, AllnAs, AllnP, GaAsP, GaInAs, GaInP, AlGaAsSb, AlGaInP, and GaInAsP. Porous silicon semiconductor materials are useful for applications of the present invention in the field of sensors and light emitting materials, such as light emitting diodes (LEDs) and solid state lasers. Impurities of semiconductor materials are atoms, elements, ions and/or molecules other than the semiconductor material(s) themselves or any dopants provided to the semiconductor material. Impurities are undesirable materials present in

semiconductor materials which may negatively impact the electronic properties of

semiconductor materials, and include but are not limited to oxygen, carbon, and metals

including heavy metals. Heavy metal impurities include, but are not limited to, the group

of elements between copper and lead on the periodic table, calcium, sodium, and all

ions, compounds and/or complexes thereof. In an aspect, the optical components

comprise a semiconductor material.

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[085] A schematic illustration of one embodiment of an array of optical components is provided in FIG. 26A, wherein the curvature or shape of the array of optical components is variable and controllable. A tunable array of optical components 10 comprises a deformable substrate 40 having a contact surface 50 that supports an array of mechanically interconnected optical components 20. "Support" is used broadly to refer to a mechanical connection between the substrate and optical components, and includes embodiments where optical components are on the surface, partially embedded, and/or completely embedded in the substrate. In an embodiment, the optically active portion of the optical component is not embedded in the substrate, and has an optically active surface that is at least not covered by the substrate. The contact surface 50 is curved, and optionally hemispherically shaped defined by a radius of curvature. The array of optical components 20 is further illustrated in FIGs 27,

comprising islands **30** interconnected to adjacent islands by interconnects **34**, including serpentine interconnects **38** (**FIG. 27B**) and/or buckled interconnects **36** (**FIG. 27C**). In an aspect, the island comprises a semiconductor material. In an aspect, the island comprises a silicon photodetector. A serpentine and/or buckled configuration provides the ability for the array to remain functional over a range of curvatures, ranging from flat (as illustrated in **FIG. 27**) to curved (**FIG. 26A**). The contact surface **50** is shown in a concave configuration in **FIG. 26A**. In an embodiment, the contact surface has a convex configuration. In an aspect, the array (and corresponding deformable substrate), can be configured between concave, convex and flat, with the array of optical components maintaining good functionality over all desired curvatures.

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**[086]** The ability to precisely control the curvature of the array of optical components provides the "tunability" of the array of optical components, including for an array of optical detectors in an imaging system. In an embodiment, tunability is implemented via an actuator that controls curvature of the deformable substrate. Any actuator type may be used to provide curvature control, including actuators that are integrated with the membrane or are physically separate from the deformable substrate.

[087] One embodiment of an actuator that is physically separated from the substrate is illustrated in FIG. 26A, (see also, e.g., FIG. 1A, 1B, 9, 12, 16), where the actuator is part of a hydraulic or pneumatic system. The actuator 60 controls the pressure or fluid amount in an optical component fluid chamber 70, such as an actuator that is a fluid pump. Optionally, other in-line components such as a pressure controller or regulator 90 provides a means for more precisely controlling or regulating pressure or fluid to the chamber 70, such as a stop-cock for diverting or releasing fluid, a flow-meter or flowregulator. The fluid chamber 70 has a surface 80 corresponding to a surface of the elastomeric membrane 40. For the embodiment where the other surfaces of the fluid chamber 70 are rigid, a change in pressure or fluid amount in the fluid chamber 70 results in a change in curvature of the membrane 40, and correspondingly change in curvature of the array of optical components 20 by virtue of the membrane 40 ends fixed in place by a holder 45. In the embodiment of FIG. 26A the fluid chamber is positioned adjacent to a back surface 52 of the deformable substrate 40, wherein the substrate 40 separates the back 52 and contact 50 surfaces. In this embodiment, increasing pressure or fluid 100 to chamber 70 decreases the curvature toward a more flat geometry. In an aspect, increasing further the pressure or fluid amount in the chamber 70 can provide an opposite curvature (e.g., from concave to convex). Alternatively, the

fluid chamber **70** is positioned adjacent to the contact surface, where an increase in pressure or fluid amount generates an increase in curvature compared to that shown in **FIG. 26A**. In an aspect the fluid **100** is a liquid. In an aspect the fluid **100** is a gas.

[088] In an embodiment, the actuator is a mechanical actuator that applies a direct mechanical force to the deformable substrate. FIG. 26B illustrates an embodiment where a mechanical actuator 60 applies a direct mechanical force to the deformable substrate 40, such as a radially-directed tension and displacement to control curvature of a circular membrane or deformable substrate. Additional curvature control may be implemented by incorporating spatially-varying substrate parameters, such as modulus, thickness, or features that influence substrate curvature such as relief or recess features (e.g., contouring of substrate surface(s)), rigidity-promoting elements, air pockets, and combinations thereof.

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- [089] In another embodiment, the actuator is at least partially integrated with the deformable substrate, as illustrated in FIG. 26C, where a force generator 65 exerts a force on the deformable substrate 40 by a force exerted on a material 67 integrated with the deformable substrate 40. For example, a material may be embedded in the substrate and upon actuation of the actuator a force is generated on the substrate, thereby controlling substrate curvature. The force generator may be based on piezoelectric, electrostatic or magnetic devices. For magnetic actuation, magnetizable particles may be embedded in the substrate, and magnets positioned as desired to achieve the desired shape by precisely controlling the magnetic force. In an aspect, electromagnet(s) are used to provide precise control of magnetic force magnitude and direction. Similarly, the embedded material may be an electroactive material, wherein electric input to the material generates a force that, in turn, affects curvature of the deformable substrate 40.
- [090] Alternatively, the deformable substrate may itself be the actuator, such as by a substrate formed by shape-memory material, such as a shape-memory polymer, alloy or metal. In an aspect, the substrate is a shape-memory polymer whose curvature is controlled by varying temperature. In an embodiment illustrated in FIG. 26D, an array of mechanical actuators 65 are positioned on the substrate 40 back surface 52 to provide controlled change in curvature of the substrate and corresponding array of optical components supported by the substrate. Similarly, the actuators 65 may be positioned within the substrate 40 to effect change in substrate curvature. In an embodiment, the actuators are piezoelectric actuators.

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[091] FIG. 27 illustrates details of mechanically interconnected optical components. "Mechanically interconnected" is used broadly to refer to the aspect where movement of one optical component necessarily results in controlled and corresponding movement of another optical component. In the simplest aspect, illustrated in FIG. 27A, individual optical components 30 are mechanically connected to another optical component at least by virtue of being supported by a common contact surface 50. In this manner, optical components may be individually and independently wired. FIG. 27B and 27C illustrate an embodiment where adjacent optical components are interconnected with each other, such as by a serpentine (FIG. 27B) or a buckle (FIG. 27C) interconnect. In an aspect, the interconnect may establish electrical connection between adjacent optical components (see, e.g., U.S. Pub. No. 2008/0157235), or may provide additional functionality to the array, such as an interconnect that is a semiconductor material or a semiconductor device (see, e.g., U.S. Pat. No. 7,521,292, U.S. Pub. No. 2010/0059863). An interconnect that is buckled or serpentine facilitates functionality of the interconnect, and associated optical components to which the interconnect ends are connected, over wide ranges of curvature, ranging from highly concave, through substantially planar, to highly convex.

[092] **Example 1:** Dynamically Tunable Hemispherical Electronic Eye Camera System with Adjustable Zoom Capability. Imaging systems that exploit arrays of photodetectors in curvilinear layouts are attractive due to their ability to match the strongly non-planar image surfaces (i.e. Petzval surfaces) that form with simple lenses, thereby creating new design options. Recent work has yielded significant progress in the realization of such 'eyeball' cameras, including examples of fully functional silicon devices capable of collecting realistic images. Although such systems provide advantages compared to those with conventional, planar designs, their fixed detector curvature renders them incompatible with changes in the Petzval surface that accompany variable zoom achieved with simple lenses. This example describes a class of digital imaging device that overcomes this limitation, through the use of photodetector arrays on thin elastomeric membranes, capable of reversible deformation into hemispherical shapes with radii of curvature that can be adjusted dynamically, including by actuation via hydraulics or pneumatics. Combining this type of detector with a similarly tunable, fluidic plano-convex lens yields a hemispherical camera capable of adjustable zoom and excellent imaging characteristics. Systematic experimental and theoretical studies of the mechanics and optics reveal all underlying principles of operation. This type of technology has a number of useful applications, including for

night-vision surveillance, endoscopic imaging and other areas that require compact cameras with simple zoom optics and wide angle fields of view.

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Mammalian eyes provide the biological inspiration for hemispherical cameras, [093] where Petzval-matched curvature in the photodetector array can dramatically simplify lens design without degrading the field of view, focal area, illumination uniformity or image quality(1). Such systems use photodetectors in curvilinear layouts due to their ability to match the strongly non-planar image surfaces (i.e. Petzval surfaces) that form with simple lenses(2-4). Historical interest in such systems has culminated recently with the development of realistic schemes for their fabrication, via strategies that overcome intrinsic limitations associated with the planar operation of existing semiconductor process. Several approaches have yielded significant progress(4-6). The most promising procedures involve either direct printing of devices and components onto curved surfaces(6), or geometrical transformation of initially planar systems into desired shapes(1, 7-9). All demonstrated designs involve rigid, concave device substrates, to achieve improved performance compared to planar cameras when simple lenses with fixed magnification are used. Interestingly, biology and evolution do not provide guides for achieving the sort of large-range, adjustable zoom capabilities that are widely available in man-made cameras. The most relevant examples are in avian vision, where shallow pits in the retina lead to images with two, fixed levels of zoom (50% high magnification in the center of the center of the field of view)(10). Also, changes in imaging properties occur, but in an irreversible fashion, during metamorphosis in amphibian vision to accommodate transitions from aquatic to terrestrial environments(11).

[094] The challenge in hemispherical imagers is that, with simple optics, the curvature of the Petzval surface changes with magnification in a manner that leads to mismatches with the shape of detector array. This behavior strongly degrades the imaging performance, thereby eliminating any advantages associated with the hemispherical detector design. The solution to this problem demands that the curvature of the detector array changes in a coordinated manner with the magnification, to ensure identical shapes for the image and detector surfaces at all zoom settings. This example provides a system that accomplishes this outcome by use of an array of interconnected silicon photodetectors on a thin, elastomeric membrane, in configurations that build on advanced concepts of stretchable electronics(12-14). Actuating a fluidic chamber beneath the membrane of the optical components causes it to expand or contract in a

linear elastic, reversible fashion that provides precise control of the radius of curvature. Integrating a similarly actuated fluidic plano-convex lens yields a complete, hemispherical camera system with continuously adjustable zoom capability.

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Fig.1A provides a schematic illustration of one embodiment of the device having hydraulic or pneumatic actuation and **Fig. 1B** shows a picture of an integrated system. The upper and lower components correspond to an adjustable, plano-convex zoom lens 220 and a tunable, hemispherical detector array 210, respectively. The lens uses adapted versions of similar components described elsewhere(15-18); it comprises a water-filled cavity (1 mm thick, in the planar, unpressurized state; "lens fluid chamber") between a thin (0.2 mm) membrane of the transparent elastomer poly(dimethylsiloxane) (PDMS) 222 on top and a glass window (1.5 mm thick) or optically transparent window 232 underneath. Pumping water into this cavity by liquid input 240 deforms the elastomer lens 222 into a hemispherical shape, with a radius of curvature that depends on the pressure. This curvature, together with the index of refraction of the PDMS and water, defines the focal length of the lens and, therefore, the magnification that it can provide. Fig. 1C shows images of the detector array viewed through the fluidic lens, at two different positive pressures. The changes in magnification evident in Fig. 1C are reversible, and can be quantified through measurement and mechanics modeling. Fig. **1D** presents side view images (top panel) and data collected at various states of deformation (bottom panel). The lens adopts an approximately hemispherical shape for all tuning states, with an apex height and radius of curvature  $(R_l)$  that change with pressure in a manner quantitatively consistent with theory (lines) and finite element analysis (circles), as shown in the graph of Fig. 1D.

[096] An important and challenging component of the camera is the tunable detector array 210 of the tunable array of optical components 10. The image formed by a planoconvex lens lies on a Petzval surface that takes the form of an elliptic paraboloid of revolution(1, 7), well approximated by a hemisphere in many cases of practical interest. The curvature depends strongly on magnification. As a result, the shape of the detector surface must change to accommodate different settings in the lens configuration. Fig. 1A, 1B and Fig. 2 provide illustrations, images and other details of a system that affords the required tunability, via stretchable designs actuated by hydraulics or pneumatics. The detector comprises of an array of unit cells, each of which includes a thin (1.25  $\mu$ m) silicon photodiode and blocking diode, the latter of which facilitates passive matrix readout. Narrow metal lines (Cr (5nm)/Au (150nm)) encapsulated with thin films of

polyimide (~1 µm) on top and bottom provide ribbon-type interconnects between these cells, in a neutral mechanical plane layout that isolates the metal from bending induced strains. The interconnects have serpentine shapes, to form an overall system with an open mesh geometry. These collective features enable the array to accommodate large strains associated with deformation of a thin (0.4 mm) supporting membrane of PDMS(13, 14). The fabrication involves planar processing of the devices and interconnects on a rigid substrate; release and transfer to the PDMS represents the final step. The area coverage of the device islands and the photoresistive regions are ~30% and ~13%, respectively. Previously reported mechanical designs can be used to achieve coverage up to ~60%.(9) Typical yields of working pixels were ~95%. An additional ~1-2% of the pixels fail after extensive mechanical cycling. For the images presented in the following, we used overscanning procedures to eliminate effects of defective pixels.

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Mounting the membrane with the photodetector array bonded to its surface onto a plate with a circular opening (circular, with diameter D) above a cylindrical chamber (Fig. 1A and B), filling this chamber with distilled water and connecting input and output ports to an external pump prepares the system for hydraulic or pneumatic tuning. Fig. **2A** shows tilted views of a representative device in its initial, flat configuration (i.e. no applied pressure; top frame) and in a concave shape induced by extracting liquid out of the chamber (i.e. negative applied pressure; bottom frame). The exact shapes of the deformed surfaces, and the positions of the photodetectors in the array are both important to operation. A laser scanner tool (Next Engine®) provided accurate measurements of the shapes at several states of deformation (i.e. applied pressures). For all investigated pressures, the detector surfaces exhibit concave curvature well characterized by hemispherical shapes. Fig. 2B shows a rendering of the laserscanned surface. Measured profiles yield the peak deflection (H, at the center of the membrane) and the radius of curvature ( $R_D$ , also near the center). Top down images define the two dimensional positions (i.e. along polar r and  $\theta$  axes of Fig. 2B) of the photodetectors, at each deformed state. Projections onto corresponding measurements of the surface shape yield the heights (i.e. along the z axis). The outcomes appear as squares in Fig. 2B. Comparison to analytical mechanics modeling of the positions shows excellent agreement (overlapping squares). The photodetector surface deforms to a hemispherical shape due to water extraction, which implies a uniform meridional strain in the deformed surface, and therefore a uniform spacing between photodetectors in this direction (19). Mechanics analysis yields predictions for H as a function of the

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applied pneumatic pressure caused by water extraction and also a simple expression for the radius of curvature:  $R_D = (D^2 + 4H^2)/(8H)$ . Both results appear as curves in the middle frame of Fig. 2C; they show excellent agreement with experiment (squares) and finite element analysis (circles). A photodetector with an initial position given by  $(r, \theta, 0)$ in cylindrical coordinates on the flat surface moves to a new position given by  $(R_D \sin \phi, \theta, R_D - H - R_D \cos \phi)$  on the deformed surface, where  $\varphi = (2r/D)\sin^{-1}[4DH/(D^2 + 4H^2)]$  is the polar angle (**Fig. 14**). The analytically obtained photodetector positions are indicated as squares in the top frame of Fig. 2B, which shows excellent agreement with both experiment and finite element analysis (bottom frame of Fig. 2B), and therefore validates the hemispherical shape of the deformed detector surface. Similar modeling can be used to define the distribution of strains across both the PDMS membrane and the array of silicon photodiodes/blocking diodes. The results (Fig. 2B) show strains in both materials that are far below their thresholds for fracture (>150% for PDMS; ~1% for silicon). The overall computed shape of the system also compares well to measurement. Further study illustrates that this level of agreement persists across all tuning states, as illustrated in Fig. 2C. Finite element analysis (bottom frame of Fig. 2B) shows that the serpentine interconnects have negligible effects on the photodetector positions (20). Understanding their behavior is nevertheless important because they provide electrical interconnection necessary for operation. Three dimensional finite element analysis of a square, 2x2 cluster of four unit cells appears in Fig. 2D. The color shading shows the max principal strains in the silicon and metal, which are the most fragile materials in the detectors. The calculated peak strains in the materials are all exceptionally low, even for this case where the overall biaxial strain is ~12%, corresponding to the point of highest strain in the array when tuned to the most highly curved configuration.

[098] Fig. 3A presents a picture of a completed detector with external interconnection wiring to a ribbon cable that interfaces with an external data acquisition system(1). Here, a top-mounted fixture with a circular opening supports 32 electrode pins that mechanically press against corresponding pads at the periphery of the detector array. A compression element with four cantilever springs at each corner ensures uniformity in the applied pressure, to yield a simple and robust interconnection scheme (no failures for >100 tuning cycles), see also FIG. 10. These features and the high yields on the photodetector arrays enable cameras that can collect realistic images, implemented here with resolution enhancements afforded by scanning procedures to allow detailed

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comparison to theory. To explore the basic operation, we first examine behavior with a fixed plano-concave imaging lens (see, e.g., FIG. 1D). Representative images collected with the detector in planar and hemispherical configurations appear in Fig. 3B. The object in this case consists of a pattern of discs (diameters: 2 mm; distances between near neighbors: 3 mm; distances between distant neighbors: 5 mm), placed 75 mm in front of a glass plano-convex lens (diameter: 9 mm; focal length: 22.8 mm). The image in the flat state corresponds to a distance of 26.2 mm from the lens, or 5.5 mm closer to the lens than the nominal position of the image computed with thin lens equations. At this location, the regions of the image in the far periphery of the field of view (i.e. the four corners) are in focus. The center of the field of view is not simultaneously in focus because of the Petzval surface curvature associated with the image. Deforming the detector array into a concave shape moves the center region away from the lens, and toward the position of the image predicted by the thin lens equation. The hemispherical shape simultaneously aligns other parts of the detector with corresponding parts of the image. As a result, the entire field of view comes into focus at once. Simulated images based on experimental parameters appear in Fig. 3D. The results use ray-tracing calculations and exploit the cylindrical symmetry of the device(21, 22). In particular, fans of rays originating at the object (75 mm in front of the lens) were propagated through the system to determine relevant point spread functions (PSFs). Placing corresponding PSFs for every point at the object plane, using a total of 10000 rays, onto the surface of a screen defined by the shape of the detector yields images suitable for direct comparison to experiment.

**[099]** To demonstrate full imaging capabilities and adjustable zoom, we acquired images with the tunable, fluidic lens. Ray tracing analysis for the case of an object at 67mm from the lens provided matched parameters of  $R_L$ ,  $R_D$  and z, the distance to the center of the image surface, as representative configurations for different magnification settings. **Fig. 4A** shows two dimensional representations of Petzval surfaces for four different lens shapes, all plano-convex with hemispherical curvature, corresponding to  $(R_L, R_D, z)$  values of (4.9 mm, 11.4 mm, 16 mm), (6.1 mm, 14.0 mm, 24 mm), (7.3 mm, 19.2 mm, 38 mm) and (11.5 mm, 25.7 mm, 55 mm). As expected, increasing  $R_L$  decreases the focal length and increases the magnification, thereby increasing z and z0. Setups in this example involve manual adjustment of the distance between the detector and the lens, however, automatic adjustment of this distance may be employed via actuators or motors. Images collected at these four settings appear in **Fig. 4B**. The object in this case is an array of circular discs, similar to those used in **Fig. 3**, but with

diameters of 3.5 mm, pitch values of 5 mm and 8.5 mm. The optical magnifications are 0.24, 0.36, 0.57 and 0.83, corresponding to a 3.5x adjustable zoom capability. Uniformity in focus obtains for all configurations. Optical modeling, using the same techniques for the results of **Fig. 3**, show quantitative agreement.

- 5 [0100] The results in this example demonstrate that camera systems with tunable hemispherical detector arrays can provide adjustable zoom with wide angle field of view, low aberrations, using only a simple, single-component, tunable plano-convex lens. The key to this outcome is an ability to match the detector geometry to a variable Petzval surface. This type of design could complement traditional approaches, particularly for 10 applications where compound lens systems necessary for planar or fixed detectors add unwanted size, weight or cost to the overall system; night vision cameras and endoscopes represent examples. Although the fill factor and total pixel count in the reported designs are moderate, there is no fundamental limitation in the system to prevent use of higher pixel counts and fill factors. The pneumatic control strategy illustrated in this example for the lens and the optical array represents one of several 15 actuation mechanisms. As explained herein, other actuation systems are compatible such as mechanical actuators that apply a direct radial force to the deformable substrate or lens, force at a distance actuation (e.g., magnetism-based), electroactive or piezoelectric actuation. Although the exemplified design in this example incorporates 20 two separate pumps and manual z-axis positioning, a single actuator can instead adjust both lens and detector, and their separation, simultaneously, in a coordinated fashion. Other approaches include microactuators embedded directly on the elastomer, providing a class of hybrid hard/soft MEMS device.
  - **[0101]** Fabrication of Silicon Photodetector Arrays on Elastomeric Membranes: The detector arrays are made by doping a sheet of silicon in a configuration designed for pairs of photodiodes and blocking diodes in a 16x16 square matrix. In particular, the top layer of an SOI wafer (1.25  $\mu$ m thick silicon on a 400 nm thick layer of silicon dioxide on a silicon substrate, p-type, <100> direction, Soitec) is p- and n- doped sequentially through a masking layer of silicon dioxide (900 nm thick) deposited by plasma enhanced chemical vapor deposition (PECVD; SLR730, Unaxis/Plasma-Therm) and patterned by photolithography and etching. For p-doping, the sample is exposed to a boron source for 30 min at 1000°C in a  $N_2$  environment (custom 6 inch tube furnace). n-doping used a phosphorous source under the same conditions for 10 min (Model 8500 Dual-Stack Diffusion/Oxidation Furnaces, Lindberg/Tempress). Each unit cell is then isolated by

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reactive ion etching (RIE; Unaxis/Plasma-Therm) through the silicon layer in a patterned defined by photolithography. Interconnects comprise metal lines (Cr (5 nm)/Au (150 nm)) deposited by sputtering (AJA International, Inc) and encapsulated with polyimide (~1 µm, from polyamic acid solution, Sigma Aldrich) on top and bottom. Just prior to transfer, the buried silicon dioxide was removed by wet etching (30 min, HF 49%) through an array of holes (3 µm in diameter) etched through the silicon.

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**[0102]** A stamp of (poly)dimethylsiloxane (PDMS; SYLGARD 184 Silicone elastomer kit, Dow corning) is used to transfer the resulting photodetector array to thin (e.g., about 0.4 mm) membrane of PDMS pre-exposed to ultraviolet induced ozone for 2.5 min. Before peeling back the stamp, the entire assembly is baked at 70°C for 10 min to

increase the strength of bonding between the array and the membrane.

**[0103]** Completing the Tunable Detector System. The membrane supporting the detector array is cut into a circular shape (49 mm in diameter), and then placed on a machined plate with a hole (13 mm or 15 mm in diameter) at the center. A cylindrical chamber, with volume of 3.5 ml, is then attached to the bottom of this plate. The membrane is mechanically squeezed at the edges to form a seal and, at the same time, to yield slight radial tensioning, through the action of structures on the plate designed for this purpose. The bottom chamber has two inlets, one of which connects to a stop cock (Luer-lock polycarbonate stop cocks, McMaster-Carr), and the other to a custom syringe pump capable of controlling the volume of liquid moving in and out of the camber with a precision of ~0.05 ml. Distilled water fills the system. A gauge (diaphragm gauge 0 ~ 3 psi, Noshok) was used to monitor the pressure.

**[0104]** For electrical connection, the top insulating layers covering the electrode pads at the periphery of the detector array were removed by RIE (CS 1701 Reactive Ion Etching system, Nordson MARCH) through an elastomeric shadow mask. These electrodes press against copper electrode pins on a mounting plate designed with four cantilever springs at its corners. To ensure good electrical contact, the surfaces of the pins were polished and then coated with metal layers by electron beam deposition (Cr (20nm)/Au (400nm)). Each electrode pin was connected to an electrical wire using conductive epoxy (CW2400, Chemtronics); these wires were assembled with a pin connector which connects to a ribbon cable.

**[0105]** Fabricating the Tunable Lens: The tunable lens comprises a thin PDMS membrane (0.2 mm in thickness, 25.4 mm in diameter) and a glass window (12.5 mm in

diameter, 1.5 mm in thickness, Edmund Optics), attached to a plastic supporting piece by epoxy (ITW Devcon). The separation between the PDMS membrane and the glass window is ~1 mm. To ensure a water-tight seal, the membrane is squeezed between two plastic plates. A hole in the top plate defines the diameter of the lens (9 mm).

5 Gauges (Diaphragm gauge 0 ~ 10 psi, Noshok, differential gauge 0 ~ 20 psi, Orange Research) are used to measure the pressure.

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- **[0106]** Capturing Images: Diffusive light from an array of light emitting diodes (MB-BL4X4, metaphase technologies) provided a source for illumination. The objects consisted of printed transparency films (laser photoplotting, CAD/Art Services) or metal plates machined by laser cutting. In all cases, images were collected by combining data sets collected by stepping the detector along two orthogonal axes x, y normal to the optic axis. Either 10 or 20 steps with spacing of 92µm for each axis were used, to achieve effective resolutions of 100 times larger than the number of photodetectors. Lookup tables and automated computer codes were used, in some cases, to eliminate the effects of malfunctioning pixels.
- **[0107] Example 2**: Array of optical components having adjustable curvature. This example provides information on fabricating and transferring an array of optical components, including the photodetector array of Example 1. Further discussion is provided for the pneumatic tuning system and electrical connection hardware, techniques for determining the surface geometry and pixel positions, the mechanical analysis and evaluation of the tunable lens and the imaging process.
- **[0108]** Fabrication Process of Photodetector Array and I-V Characteristics: The steps for fabricating the photodetector array generally follow procedures previously reported(1), although the specific designs of this example are adapted to allow tunable mechanics and improved performance in the photodetectors. For the latter, the major changes are in the use of the solid source doping, both for *p* and *n* type, to replace the use of spin-on-dopants. The response of a representative individual pixel appears in the **Fig. 5**. Detailed fabrication procedures are provided in **TABLE 2**.
- **[0109]** Method for Transferring Device Array and Completing Camera: In general, the transfer procedure followed methods reported previously(2). **Fig. 6** illustrates each step. For transfer, we manually control the speed of releasing the flat PDMS stamp at each stage. The device array is first lifted onto a flat PDMS stamp, by fast retraction from the fabricated silicon source substrate. (~0.1sec) Then, the device array is transferred to a

thin PDMS membrane. In this case, the flat PDMS stamp is slowly removed (~10sec). The transfer process is mostly successful owing to higher bonding force between silicon surface (device bottom) and PDMS than the force between polyimide (device top) and PDMS.(3) To ensure perfect transfer, the target PDMS substrate is treated with ultraviolet induced ozone and baked at 70°C. Detailed procedures are in **TABLE 3**.

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[0110] Method for Tuning the Lens and the Detector Surface Geometry: Fig. 7A shows a fabricated silicon-based photodetector array before transfer and Fig. 7B shows the transferred device array on a circular PDMS membrane. This PDMS membrane served as a substrate and also as a component for sealing the pneumatic tuning system. The fixture for securably holding the array is made from several components, shown in Fig. **8A**. To ensure reliable sealing, the PDMS membrane **40** is squeezed by the upper **810** and the lower 820 covers. Although the system is effectively sealed by this design, the membrane is significantly deformed by compression. As a result, additional components are designed to stretch the deformed membrane, to ensure a flat surface, such as prestrain element 830. This results in 2~3% of pre-strain,  $\varepsilon_0$ . The opening hole element 840 is used to control the size and shape of deformation. Fig. 8B shows the assembled device array with the pneumatic tuning system. This assembly is connected with the fluidic chamber (the lowest part 850 in Fig. 8A). This fluidic chamber has two liquid input/output ports (860, 870). As in Fig. 9A, these ports are connected to tubing and to either a stop cock **890** or a custom made syringe **900**. These ports are used not only for applying pressure but also for releasing air/bubble entrapped in the system. The stopcock is closed after air/bubble is released. For tunable fluidic lens, we used a liquid-core solid-cladding lens geometry(4-7) due its simplicity over liquid-core liquid-cladding designs(8-11). Fig. 9C, 9D show the tunable lens 220. As in this case with the tunable detector, the tunable lens also incorporates a deformable PDMS membrane. This membrane is squeezed to ensure sealing. In this case, a pre-straining element is not included in the design, partly because the membrane surface is deformed in its initial state. To measure the pressure inside of the tunable lens and the tunable detector system, a pressure gauge is connected through a *t*-connector. Several pressure gauges are used depending on range of pressure inside of systems. (diaphragm gauges 0 ~ 3 psi, 0 ~ 10 psi, Noshok, differential gauge 0 ~ 20 psi, Orange Research). Detailed stepby step procedures for completing the tunable detector system are in **TABLE 4**.

**[0111]** Method for Establishing Electrical Connection and Device Yield: Establishing reliable electrical connection between the device array and the external data acquisition

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system was a significant challenge for completing a working camera. In previous, static hemispherical camera designs, metal layers deposited through elastomeric shadow masks(1) or patterns of silver epoxy connected electrodes of the device array to those on a printed computer board(12, 13). However, these methods could not be applied to the tunable system due to significant deformation of PDMS substrate, particularly due to stress concentrations at the edges. A special fixture system which can make electrical contact with device on a flexible substrate by mechanical pressing was designed. Fig. **10A** shows bottom-up view of the hardware which comprises 32 copper pin electrodes. These pins are designed to press against electrodes of device array using cantilever springs. (Fig. 10B, 10E) To reduce the contact resistance, the surfaces of electrode pins are polished and coated with metal layers (Cr/Au, 20nm/400nm). Fig. 10C, 10D show these 32 pins aligned and in contact with device electrodes. The inset shows more clearly that these electrode pins press against electrodes of device array. This hardware also stretches the membrane slightly to form a flat or substantially flat surface. Fig. 10E shows that electrical wires are attached to electrode pins for further interface with the LABVIEW® data acquisition system(1). This electrical connection hardware made successful electrical contact over the entire 32 electrodes. Fig. 11 shows a test imaging result from the tunable detector imaging system using this electrical contact hardware. The result reveals that electrical contact is successfully made over all electrodes. (100% contact yields). However, it is observed that 11 pixels out of 256 pixels (95% pixel yields) are not working properly. In these cases, overscanning is used to eliminate the effects of defective pixel elements.

[0112] Determination of the Lens and the Detector Surface Geometry: A real time movie showing deformation of the detector deformable surface is available on the world-wide web at pnas.org/content/108/5/1788/suppl/DCSupplemental (corresponding to the supplemental content for "Dynamically tunable hemispherical electronic eye camera system with adjustable zoom capability." PNAS 108(5):1788-1793 (Feb. 1, 2011)). At several states of deformation, three dimensional geometries of the deformed surfaces were determined by 3D laser scanner. (Next Engine, The Imaging Technology Group, Beckman Institute for Advanced Science and Technology, University of Illinois at Urbana-Champaign). Fig. 12A shows this 3D laser scanning tool configured for measuring geometry of detector surface. Fig. 12B, C are close-up views of the tunable detector and the tunable lens which are being scanned. Fig. 13A shows three dimensional rendering of raw data of a deformed surface of the tunable lens. To determine the radius of curvature and the apex height of deformed surface, a MATLAB®

code is used. **Fig. 13B** shows the center profile at several states of deformations. Although the lens profile around the apex fits well with a circle, the total profile is not perfectly matched with a circle. This is due to the deformation of PDMS membrane after initial installation, induced by squeezing. As a result, the radius of curvature is determined differently depending on the range of data for fitting (**Figs. 13B, 13C**). However, the radius of curvature fitted from a partial range is used as the parameter of the lens, because the calculated focal distance agreed with the real measurement when this value is used as a parameter for ray-tracing calculation.

**[0113]** The geometry of detector surface can be determined similarly. **Fig. 14A** shows raw data from the deformed detector surface. Whereas lens surfaces can be fitted to a circle around the apex, detector surfaces are fitted with a circle over the entire deformed surface. (See **Fig. 2C**). This is due to the pre-straining element which is designed to stretch the PDMS membrane after installation. (See **Fig. 8A**.) To determine the pixel position, a top-down view of device array obtained at the same deformation state is used. From this picture, *x*, *y* pixel positions are determined using AutoCad®. Then, the height information is obtained by projecting onto the deformed surface (**Fig. 14B**). **Fig. 14D** shows determined pixel positions overdrawn on the projected top-down view. Determined positions also match with the analytically calculated positions. (**Fig. 14E**)

**[0114]** Mechanics of the Tunable Lens: The PDMS membrane of thickness t=0.2 mm in the tunable lens is confined by an open hole (of diameter D=9 mm) on a water chamber. Water injection into the chamber induces a pressure difference p between the two surfaces of PDMS, which deforms the PDMS membrane to a large strain (>40%). Since PDMS is nearly incompressible and displays nonlinear material behavior under large strain(14), it can be represented by the Yeoh hyperelastic material model with the elastic energy density function given by(15)

$$U = \sum_{n=1}^{3} C_n (I_1 - 3)^n$$
, [1]

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where  $^{C_n}$  are material constants,  $^{I_1=\lambda_1^2+\lambda_2^2+\lambda_3^2}$  is the first invariant of the left Cauchy-Green deformation tensor, and  $^{\lambda_i}$  are the principal stretches which satisfy  $^{\lambda_1\lambda_2\lambda_3=1}$  due to incompressibility.

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**[0115]** For uniaxial tension,  $\lambda_1 = 1 + \varepsilon = \lambda$ , which gives  $\lambda_2 = \lambda_3 = 1/\sqrt{\lambda}$ ,  $I_1 = \lambda^2 + 2/\lambda$ , and stress

$$\sigma = 2\left(\lambda^{2} - \frac{1}{\lambda}\right)\left[C_{1} + 2C_{2}(I_{1} - 3) + 3C_{3}(I_{1} - 3)^{2}\right].$$
 [2]

**[0116]** For PDMS (sylgard 184), the uniaxial tensile stress-strain data(14) give  $C_1=0.285MPa$  ,  $C_2=0.015MPa$  ,  $C_3=0.019MPa$  .

[0117] The shape of the lens after water injection depends on its deflection.

**[0118]** (1) For  $H \le D/2$ , the lens deforms to a spherical cap, as shown in **Fig. 15A**. The spherical radius and polar angle are

$$R = \frac{D^2 + 4H^2}{8H}$$
,  $\varphi_{\text{max}} = \sin^{-1}\frac{D}{2R}$  [4]

[0119] For a point initially at  $(r, \theta, 0)$  in cylindrical coordinates, its polar angle on the deformed surface is  $\varphi = \frac{2r}{D} \varphi_{\text{max}}$ . The principle stretches are

$$\lambda_1 = \frac{2R\varphi_{\max}}{D}$$
,  $\lambda_2 = \frac{R\sin\varphi}{r}$ ,  $\lambda_3 = \frac{1}{\lambda_1\lambda_2} = \frac{Dr}{2R^2\varphi_{\max}\sin\varphi}$ . [5]

[0120] The elastic strain energy is obtained as

$$U_{e} = 2\pi t \int_{0}^{D/2} \sum_{n=1}^{3} C_{n} (I_{1} - 3)^{n} r dr$$
[6]

15 **[0121]** The work done by the pressure is

$$W = pV = \frac{\pi}{3} pH^2 (3R - H)$$
,[7]

where  $V = \pi H^2 (3R - H)/3$  is the volume of the spherical cap.

**[0122]** (2) For H > D/2, the spherical cap becomes a full hemisphere of radius  $\frac{D}{2}$  and polar angle  $\frac{\pi}{2}$ , i.e.,

$$R = \frac{D}{2}$$
,  $\varphi_{\text{max}} = \frac{\pi}{2}$ . [8]

**[0123]** The deformed surface is composed of the hemisphere and a cylinder of height  $h_c = H - D/2$  that is in contact with the vertical surface of the hole, as shown in Fig.

S12*B*. Neglecting the friction between the PDMS and the vertical surface of the hole gives the uniform axial strain in the cylindrical part of PDMS, which also equals to the meridional strain in the hemisphere. Therefore the point separating the hemispherical and cylindrical parts has the radial coordinate

$$r_0 = \frac{\pi D^2}{2(\pi - 2)D + 8H}$$
 [9]

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in the initial cylindrical coordinates  $(r, \theta, 0)$ . The corresponding polar angle of the point is  $\varphi = \frac{\pi r}{2r_0}$ . The principle stretches for  $r \le r_0$  are

$$\lambda_1^{\text{sphere}} = \frac{\left(\pi - 2\right)D + 4H}{2D}, \quad \lambda_2^{\text{sphere}} = \frac{D\sin\varphi}{2r}, \quad \lambda_3^{\text{sphere}} = \frac{4r}{\left[\left(\pi - 2\right)D + 4H\right]\sin\varphi}. \tag{10}$$

**[0124]** The principle stretches for  $r \ge r_0$  are

$$\lambda_1^{\text{contact}} = \frac{\left(\pi - 2\right)D + 4H}{2D}, \quad \lambda_2^{\text{contact}} = \frac{D}{2r}, \quad \lambda_3^{\text{contact}} = \frac{4r}{\left(\pi - 2\right)D + 4H}. \quad [11]$$

[0125] The elastic strain energy is obtained as

$$U_{e} = 2\pi t \int_{0}^{r_{0}} \sum_{n=1}^{3} C_{n} \left( I_{1}^{\text{sphere}} - 3 \right)^{n} r dr + 2\pi t \int_{r_{0}}^{D/2} \sum_{n=1}^{3} C_{n} \left( I_{1}^{\text{contact}} - 3 \right)^{n} r dr$$

$$. [12]$$

15 **[0126]** The work done by the pressure is

$$W = pV = \frac{1}{24}\pi D^2 (6H - D) p$$
, [13]

where  $V = \frac{1}{24}\pi D^2 (6H - D)$  is the volume enveloped by the deformed PDMS.

[0127] The principle of minimum potential energy gives

$$p = \frac{\partial U_{\rm e}/\partial H}{\partial V/\partial H} \ . \tag{14}$$

20 **[0128]** This gives analytically the relation between the pressure *p* and maximum deflection *H*.

[0129] Mechanics of the Tunable Photodetector Surface: As shown in Fig. 16, a flat PDMS membrane (of Young's modulus E and Poisson's ratio  $\nu$  ) 40 with a square array of photodetectors 210 on its top surface is installed on a water chamber 70. The open hole (of diameter D) at the top of the chamber confines the deformation of PDMS membrane during water extraction. The photodetector has a square shape of size  $l_{pd}$  =0.5 mm, and the spacing between adjacent photodetectors is  $l_{\rm spacing}$  =0.42 mm. The area fraction of photodetectors is  $f = l_{\rm pd}^2 / \left(l_{pd} + l_{\rm spacing}\right)^2$ . The position of each photodetector on the flat PDMS is expressed in cylindrical coordinates as  $(r, \theta, z=0)$ , as shown in Fig. 17A. The PDMS membrane deforms to a spherical cap of height H as water is extracted from the chamber (Fig. 17B). The radius of curvature is  $R = \frac{D^2 + 4H^2}{8H}$ , and polar angle  $\varphi_{\rm max} = \sin^{-1}\frac{4DH}{D^2 + 4H^2}$  (Fig. 17B). The hemispherical profile can be expressed analytically in the cylindrical coordinates as  $r^2 + (z - R + H)^2 = R^2$ , or equivalently  $r^2 + z^2 - \left(\frac{D^2}{4H} - H\right)z - \frac{D^2}{4} = 0$ .

**[0130]** Finite element analysis (FEA) is also used to study the deformation of PDMS membrane and to track the positions of photodetectors during water extraction. Since its deformation is negligible, the water chamber is modeled as a rigid part and is fixed during the simulation. The PDMS membrane (thickness 0.5 mm, Young's modulus 2 MPa and Poisson's ratio 0.48) is clamped **45** on the water chamber, and is modeled by continuum shell elements SC8R in the ABAQUS® finite element program, since its thickness is much larger than photodetectors. Each photodetector is composed of polyimide (thickness 2.4  $\mu m$ , Young's modulus 2.5 GPa and Poisson's ratio 0.34) and Si (thickness 1.2  $\mu m$ , Young's modulus 130 GPa and Poisson's ratio 0.27), and is modeled by (composite) shell elements S4R, since it's very thin and has a multilayer structure. Uniform pressure is applied on the PDMS surface to simulate its deformation due to water extraction.

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**[0131] Figure 18A** shows that the hemispherical profile  $r^2 + z^2 - \left(\frac{D^2}{4H} - H\right)z - \frac{D^2}{4} = 0$  agrees very well with the experimentally measured profile and that obtained by FEM

without any parameter fitting. This validates the analytical model, and confirms that the PDMS membrane indeed deforms into a hemispherical shape.

**[0132]** The hemispherical shape implies that the meridional strain in the PDMS membrane is uniform. Since the radius D/2 of the PDMS membrane on the open hole is stretched to the arc length  $R\varphi_{\rm max}$ , the photodetector initially at  $(r,\theta,0)$  in cylindrical coordinates has the spherical angle  $\varphi=\frac{2r}{D}\varphi_{\rm max}$  on the hemisphere (**Fig. 17B**). The cylindrical coordinates of the photodetector after deformation are  $(R\sin\varphi,\theta,R-H-R\cos\varphi)$ , or equivalently,

$$\left[\frac{D^2 + 4H^2}{8H}\sin\left(\frac{2r}{D}\sin^{-1}\frac{4DH}{D^2 + 4H^2}\right), \theta, \frac{D^2 - 4H^2}{8H} - \frac{D^2 + 4H^2}{8H}\cos\left(\frac{2r}{D}\sin^{-1}\frac{4DH}{D^2 + 4H^2}\right)\right]. \text{ As }$$

shown in **Figs. 18B** and **C**, the above analytical expression for photodetector position agrees very well with the experiment and FEM without any parameter fitting. This provides further validation of the analytical model. The calculated circumferential and meridional strains in PDMS membrane are shown in **Fig. 19A** and **B**, respectively.

**[0133]** The deflection H can be obtained in terms of pressure p, using the same method as in the previous section. Linear elasticity is used due to small deformation of photodetector surface. Since silicon is several orders of magnitude more rigid than PDMS, the deformation of PDMS underneath photodetector is negligible. Therefore the circumferential strain and meridional strain are obtained as  $\varepsilon_{\varphi} = \frac{2R\varphi_{\max} - D}{D\sqrt{1-f}}$  and

$$\varepsilon_{\theta} = \frac{R\sin\varphi - r}{r\sqrt{1-f}}$$
. The elastic strain energy is

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$$U_{\rm e} = \frac{\pi t E}{1 - v^2} \int_0^{D/2} \left( \varepsilon_{\varphi}^2 + \varepsilon_{\theta}^2 + 2v \varepsilon_{\varphi} \varepsilon_{\theta} \right) (1 - f) r dr$$
 [15]

**[0134]** The work done by the pressure is given by Eqs. **7**. Then pressure p is given in terms of deflection H by Eqs. **14**, or equivalently H=H(p).

**[0135]** Raytracing calculation and preliminary test of the tunable lens: Prior to imaging with the tunable detector, the tunable lens is tested by both experiment and ray-tracing calculation. First, the tunable lens is qualitatively tested by viewing detector surface through the lens at several states of the lens deformations. As shown in the **Fig. 20**, field of view and magnification change according to deformation of lens surface. The

properties of the lens are more quantitatively studied by ray tracing calculation. (Optical Bench)(16, 17) Rays originating from on an object plane, which is 67mm distant from the lens, pass through the lens and cross at points that define an imaging surface(13). By this method, the shape and the distance of detector surface can be determined at several states of lens deformation. (Fig. 21A) From this analysis, it is found out that the radius of curvature of the lens surface is proportional to the distance and the radius of curvature of detector surface. (Fig. 21B, 21C) This prediction is validated by real testing at an optical bench. Fig. 22A shows setup for the real imaging experiment with the tunable lens and the tunable detector. Fig. 22B, C, D, E show formed image on a flat diffusive screen at four different states of the lens deformation. These images are taken by a commercial digital camera at the back side of diffusive screen. (EOS-1Ds Mark III, Canon) Dashed lines show the actual size of the tunable detector. These flat screen images are off-focused at the periphery, which is obvious at the lowest magnification. (Fig. 22B)

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[0136] Imaging Result: To demonstrate the operation of the tunable hemispherical imaging system, two types of imaging experiment are performed. One experiment shows focusing effects. In this experiment, a plano-convex lens (diameter of 9 mm and focal length of 22.8 mm, JML Optical Industries, Inc) is used. Fig. 23 show series of images at different deformation of detector surface placing in front of exact focal distance. As deformation of the detector become larger (e.g., R<sub>D</sub> decreases from A to E), the distance approaches the ideal focal distance, and images come into focus.

[0137] Another experiment involves the tunable lens in the imaging. As already known from the preliminary study of the tunable lens, the focal distance and the radius of curvature of the detector surface depend on the geometry of the lens. At each state of lens deformation, the detector surface is deformed to match with the radius of curvature from ray-tracing calculation. As a result, acquired images at this setup show uniform focus and intensity distribution. (Fig. 24A, B, C, D) For comparison, acquired images in the flat state are shown. (Fig. 24E, F, G, H) The clearest differences between the images from curved surface and flat surface can be found at the lowest magnification images. (Fig. 24A, E) This advantage of curved screens over flat screens agrees with the previously reported result(1, 13, 18). Fig. 25 shows I-logo imaged at two different magnification states. The right image shows three times higher optical magnification than the left image.

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[0138] FIG. 28 is a schematic illustration of one embodiment of a dynamically tunable hemispherical camera with adjustable zoom capability. Elastomeric lens membrane 222 has an adjustable curvature, such as by lens fluid chamber 230 positioned between the lens membrane 222 and optically transparent window 232. Lens fluid chamber actuator 240 controls fluid amount to lens fluid chamber 230, thereby controlling curvature of lens 222. Adjusting the lens curvature provides the ability to adjust zoom of an optical image of the object to be imaged **205**. A photodetector array **210** on a deformable membrane 40 has edges fixed in position by holders 45. Optical component fluid chamber 70 provides controlled curvature of the photodetector array 210 by optical component fluid chamber actuator 250. Lens – optical component distance controller 252 provides the capability of independently adjusting separation distance between the lens 222 and the deformable substrate 40 supporting the array 210. Optionally, processor 260 provides the capability of calculating the focal surface based on the object 205 position (relative to the lens 222) and curvature of the lens 222. With the desired in focus surface calculated, the actuator 250 correspondingly adjusts curvature of the array 210. Alternatively, processor 260 may be empirical in nature, where for a given object 205 position and lens curvature of lens 222 (e.g., zoom), the curvature of the array 210 is adjusted until a high quality image is obtained, including an in focus image. In an aspect, the processor 260 may instead correspond to manual control, with user selection of zoom (curvature of the lens 222) and user selection of deformable membrane 40 curvature.

**[0139]** Fig. 29 is a process flow schematic of a method of the present invention. The array of optical components is supported by a deformable substrate, such as optical components on or in a contact surface. A desired or "ideal" curvature of the substrate surface is optionally determined. In an imaging application, variables used to determine ideal curvature (and, therefore, focal surface) include lens curvature and position of the object. For a light emitting application, relevant parameters to determine desired curvature include width of light beam, light intensity, light beam position, focusing lens and directing optic configuration and position. For a photovoltaic, an important parameter is angle of incident light. With the desired curvature determined, such as by computation or empirically, the corresponding force to achieve the desired curvature is exerted on the substrate. As disclosed, the force may be exerted by one or a plurality of actuators, configured to achieve the desired substrate curvature. Optionally, the system may be calibrated by determining the exact positions of optical components within the

array. This can be particularly useful in imaging applications, where the detected image is subsequently displayed in a planar configuration.

# STATEMENTS REGARDING INCORPORATION BY REFERENCE AND VARIATIONS

[0140] All references throughout this application, for example patent documents including issued or granted patents or equivalents; patent application publications; and non-patent literature documents or other source material; are hereby incorporated by reference herein in their entireties, as though individually incorporated by reference, to the extent each reference is at least partially not inconsistent with the disclosure in this application (for example, a reference that is partially inconsistent is incorporated by reference except for the partially inconsistent portion of the reference).

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[0141] The terms and expressions which have been employed herein are used as terms of description and not of limitation, and there is no intention in the use of such terms and expressions of excluding any equivalents of the features shown and described or portions thereof, but it is recognized that various modifications are possible within the scope of the invention claimed. Thus, it should be understood that although the present invention has been specifically disclosed by preferred embodiments. exemplary embodiments and optional features, modification and variation of the concepts herein disclosed may be resorted to by those skilled in the art, and that such modifications and variations are considered to be within the scope of this invention as defined by the appended claims. The specific embodiments provided herein are examples of useful embodiments of the present invention and it will be apparent to one skilled in the art that the present invention may be carried out using a large number of variations of the devices, device components, methods steps set forth in the present description. As will be obvious to one of skill in the art, methods and devices useful for the present methods can include a large number of optional composition and processing elements and steps.

**[0142]** When a group of substituents is disclosed herein, it is understood that all individual members of that group and all subgroups are disclosed separately. When a Markush group or other grouping is used herein, all individual members of the group and all combinations and subcombinations possible of the group are intended to be individually included in the disclosure. Specific names of compounds are intended to be exemplary, as it is known that one of ordinary skill in the art can name the same compounds differently.

**[0143]** Every formulation or combination of components described or exemplified herein can be used to practice the invention, unless otherwise stated.

**[0144]** Whenever a range is given in the specification, for example, a curvature range, a size range, optical property range, zoom range, all intermediate ranges and subranges, as well as all individual values included in the ranges given are intended to be included in the disclosure. It will be understood that any subranges or individual values in a range or subrange that are included in the description herein can be excluded from the claims herein.

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**[0145]** All patents and publications mentioned in the specification are indicative of the levels of skill of those skilled in the art to which the invention pertains. References cited herein are incorporated by reference herein in their entirety to indicate the state of the art as of their publication or filing date and it is intended that this information can be employed herein, if needed, to exclude specific embodiments that are in the prior art. For example, when devices are claimed, it should be understood that devices known and available in the art prior to Applicant's invention, including devices for which an enabling disclosure is provided in the references cited herein, are not intended to be included in the device claims herein.

**[0146]** As used herein, "comprising" is synonymous with "including," "containing," or "characterized by," and is inclusive or open-ended and does not exclude additional, unrecited elements or method steps. As used herein, "consisting of" excludes any element, step, or ingredient not specified in the claim element. As used herein, "consisting essentially of" does not exclude materials or steps that do not materially affect the basic and novel characteristics of the claim. In each instance herein any of the terms "comprising", "consisting essentially of" and "consisting of" may be replaced with either of the other two terms. The invention illustratively described herein suitably may be practiced in the absence of any element or elements, limitation or limitations which is not specifically disclosed herein.

**[0147]** One of ordinary skill in the art will appreciate that all art-known functional equivalents, of any such materials and methods are intended to be included in this invention. The terms and expressions which have been employed are used as terms of description and not of limitation, and there is no intention that in the use of such terms and expressions of excluding any equivalents of the features shown and described or portions thereof, but it is recognized that various modifications are possible within the

scope of the invention claimed. Thus, it should be understood that although the present invention has been specifically disclosed by preferred embodiments and optional features, modification and variation of the concepts herein disclosed may be resorted to by those skilled in the art, and that such modifications and variations are considered to be within the scope of this invention as defined by the appended claims.

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## **TABLE 1B**: REFERENCES FOR EXAMPLE 2

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**TABLE 2**: Fabrication procedure for photodetector array

p+ doping

1.	Clean 1.25µm SOI wafer (acetone, IPA, water, drying at 110°C for 5min).
2.	Clean by HF for 2s.
3.	Deposit PECVD SiO <sub>2</sub> 900nm.
4.	Treat with HMDS for 1.5min.
5.	Pattern PR (p+ doping).
6.	Anneal at 110°C for 5min.
7.	Etch oxide in BOE for 2.5min.
8.	Remove PR by acetone and clean by piranha for 3min.
9.	Expose to diffusive boron source at 1000°C for 30min.
10.	Clean the processed wafer (HF 1min, piranha 10min, BOE 1min)

5 **n+ doping** 

11.	Deposit PECVD SiO2 900nm.
12.	Treat with HMDS for 1.5min.
13.	Pattern PR (n+ doping).
14.	Anneal at 110°C for 5min.
15.	Etch oxide in BOE for 2.5min.
16.	Remove PR by acetone and clean by piranha for 3min.
17.	Expose to diffusive phosphorus source at 1000°C for 10min.
18.	Clean the processed wafer (HF 1min, piranha 10min, BOE 1min).

#### Silicon isolation

19.	Pattern PR (Si isolation).
20.	Etch silicon by RIE (50mTorr, 40sccm SF6, 100W, 3min).
21.	Remove PR by acetone and clean by piranha for 3min.

Sacrificial oxide layer deposition

22.	Etch oxide layer of SOI wafer in HF for 1.5min.
23.	Deposit PECVD SiO2 100nm.
24.	Treat with HMDS for 1.5min.
25.	Pattern PR (sacrificial layer).
26.	Anneal at 110°C for 5min.
27.	Etch PECVD oxide in BOE for 30s.
28.	Remove PR by acetone and clean by piranha for 3min.

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Deposit 1<sup>st</sup> PI

29.	Spin coat with PI (4000rpm, 60s).
30.	Anneal at 110°C for 3min at 150°C for 10min.
31.	Anneal at 250°C for 2h in N2 atmosphere.

#### Pattern via holes

- 32. Expose to ultraviolet induced ozone (UVO) for 5min.
- 33. Deposit PECVD SiO2 150nm.
- 34. Treat with HMDS for 1.5min.
- 35. Pattern PR (via pattern).
- 36. Etch PECVD oxide by RIE (50mTorr, 40:1.2sccm CF4:O2, 150W, 8.5min).
- 37. Remove PR by acetone.
- 38. Etch PI by RIE (150mTorr, 20sccm O2, 150W, 20min).

#### Metallization

- 39. Etch PECVD oxide in BOE for 35s.
- 40. Sputter 5/150nm of Cr/Au by sputter coater (AJA international).
- 41. Pattern PR (metal pattern).
- 42. Anneal at 110°C for 5min.
- 43. Etch Au/Cr by wet etchants for 40/20s.
- 44. Remove PR by acetone (carefully).

Deposit 2<sup>nd</sup> PI

- 45. Spin coat with PI (4000rpm, 60s).
- 46. Anneal at 110°C for 3min at 150°C for 10min.
- 47. Anneal at 250°C for 2h in N2 atmosphere.

#### Pattern etch holes

- 48. Expose to ultraviolet induced ozone (UVO) for 5min.
- 49. Deposit PECVD SiO2 150nm.
- 50. Treat with HMDS for 1.5min.
- 51. Pattern PR (hole pattern).
- 52. Etch PECVD oxide by RIE (50mTorr, 40:1.2sccm CF4:O2, 150W, 8.5min).
- 53. Remove PR by acetone.
- 54. Etch PI by RIE (150mTorr, 20sccm O2, 150W, 12min).
- 55. Etch Au/Cr by wet etchants for 20/5s.
- 56. Etch PI by RIE (150mTorr, 20sccm O2, 150W, 15min).
- 57. Etch silicon by RIE (50mTorr, 40sccm SF6, 100W, 3min).

10 PI isolation

- 58. Etch PECVD oxide in BOE for 35s.
- 59. Expose to ultraviolet induced ozone (UVO) for 5min.
- 60. Deposit PECVD SiO2 150nm.
- 61. Treat with HMDS for 1.5min.
- 62. Pattern PR (PI isolation).
- 63. Etch PECVD oxide by RIE (50mTorr, 40:1.2sccm CF4:O2, 150W, 8.5min).
- 64. Remove PR by acetone.
- 65. Etch PI by RIE (150mTorr, 20sccm O2, 150W, 40min).

## **TABLE 3**: Transfer scheme for silicon photodetector array

1	Etch oxide layer of SOI wafer in HF for 30min.
2	Rinse the processed wafer with DI water for 10min (carefully).
3	Clean device perimeter using scotch tapes.
4	Pick up photodetector array using a flat PDMS (Sylgard 184, Dow Corning)
	stamp.
5	Expose ultraviolet induced ozone (UVO) to a target substrate (thin PDMS) for
	2.5min.
6	Stamp to a target substrate (don't release PDMS stamp).
7	Post-bake at 70°C for 10min.
8	Release PDMS stamp (slowly ~ 10sec).

# TABLE 4: Procedures for completing the tunable detector

1	Open electrodes covering with PDMS by RIE (150mTorr, 20sccm O2, 150W, 1h).
2	Cut PDMS membrane with device through a cutting pad.
3	Install PDMS membrane to the fluidic deformation system.
4	Assemble and align the metal electrodes pin array and install onto the plastic
	board.
	board.
5	Assemble fluidic chamber and connect in/outlet tubes with a stop cock.
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#### We claim:

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1. An array of optical components comprising:

a deformable substrate having a contact surface;

an array of mechanically interconnected optical components supported by said contact surface; and

an actuator operably connected to said contact surface, wherein said actuator variably controls a curvature of said contact surface.

- 10 2. The array of optical components of claim 1, wherein said deformable substrate comprises an elastomer.
  - 3. The array of optical components of claim 1, wherein said deformable substrate has a thickness that is less than 1 mm.

4. The array of optical components of claim 1, wherein said optical component is selected from the group consisting of:

optical detectors;

optical emitters;

20 optical reflectors;

photovoltaics;

lenses;

filters; and

polarizers.

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- 5. The array of optical components of claim 4, wherein said array of mechanically interconnected optical components comprise photodiodes.
- 6. The array of optical components of claim 1, wherein said actuator selected from the group consisting of:

a piezoelectric actuator;

an electrostatic actuator; and

a magnetic actuator.

7. The array of optical components of claim 1, wherein said actuator is selected from the group consisting of:

- a hydraulic system;
- a pneumatic system;
- a mechanical actuator; and
- a force generator that is integrated with said deformable substrate.

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- 8. The array of optical components of claim 7, wherein said integrated force generator is a shape memory polymer or metal.
- 9. The array of optical components of claim 7, wherein said mechanical actuator comprises an array of mechanical actuators positioned on a back surface that is opposed to said contact surface.
  - 10. The array of optical components of claim 7, wherein said hydraulic or pneumatic system comprises:
- an optical component fluid chamber, wherein said deformable substrate forms a surface of said optical component fluid chamber; and a pressure controller for controlling a pressure of said optical component fluid chamber.
- 20 11. The array of optical components of claim 10, wherein said fluid chamber pressure is controlled by introducing or removing a fluid to said fluid chamber by said actuator.
  - 12. The array of optical components of claim 10, wherein said fluid chamber is positioned adjacent to a back surface of said deformable substrate, wherein said back surface is opposed and separated from said contact surface by said deformable membrane.
  - 13. The array of optical components of claim 1, wherein said array of optical components comprises a plurality of islands, wherein each island is mechanically or electrically connected to an adjacent island by an interconnect, wherein said interconnect is in a buckled or a serpentine configuration.
  - 14. The array of optical components of claim 1, wherein said curvature can range from a concave to a convex configuration, wherein said array of optical components remain functional over the concave to convex configuration.

15. The array of optical components of claim 14, wherein curvature is defined by a radius of curvature, and said radius of curvature can vary from 0.1 mm to flat in the concave or the convex configuration.

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16. The array of optical components of claim 1, wherein said actuator provides a curvature that is within 5% of a desired curvature.

17. The array of optical components of claim 1, said deformable substrate having a spatially-varying material property that influences the curvature of the contact surface.

18. An imaging system comprising the array of optical components of claim 1, wherein said optical components comprise photodiodes that form a photodetector array.

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- 19. The imaging system of claim 18, further comprising a tunable lens, wherein said tunable lens comprises an elastomeric lens membrane.
- 20. The imaging system of claim 19, wherein said tunable lens is a tunable fluidic lensfurther comprising:

a lens fluid chamber having one surface formed by said elastomeric lens membrane; and

an optical component fluid chamber having one surface formed by said deformable substrate;

25 wherein the actuator controls:

a pressure in said lens fluid chamber to tune said lens membrane; and a pressure in said optical component fluid chamber to tune said photodetector array.

30 21. The imaging system of claim 20, wherein said actuator comprises:

a lens fluid chamber actuator for controlling said pressure in said lens fluid chamber; and

an optical component fluid chamber actuator for controlling said pressure in said optical component fluid chamber.

22. The imaging system of claim 20, wherein said actuator varies a radius of curvature of said lens membrane, said deformable substrate, or both, thereby providing a tunable imaging system.

- 23. The imaging system of claim 22, wherein varying said lens membrane radius of curvature provides a continuously adjustable zoom lens having an image at said photodetector array that is in focus by adjusting said contact surface curvature to match a Petzval surface formed by said lens membrane.
- 24. The imaging system of claim 23, further comprising a processor for calculating the Petzval surface of an object imaged by said lens having a lens curvature, wherein said actuator generates curvature of said photodetector that substantially matches the Petzval surface.
- 25. The imaging system of claim 19 having a continuously adjustable zoom, wherein said zoom is greater than or equal to a factor of 1 and less than or equal to a factor of 50.
- 26. A method of controlling curvature of an array of optical components comprising:
   providing an array of optical components on a contact surface of a deformable substrate; and
   exerting a force on the deformable substrate thereby deforming said deformable substrate and controlling the curvature of the array of optical components.
- 27. The method of claim 26, wherein said force is exerted by: activating an actuator that is operably connected to said deformable substrate.
  - 28. The method of claim 26, wherein said force is exerted by the deformable substrate or an actuator positioned in or on the deformable substrate.
  - 29. The method of claim 26 wherein said array of optical components is a photodetector comprising a plurality of mechanically interconnected photodiodes and said array is part of an optical device that is an imaging system having a tunable lens.
- 35 30. The method of claim 29, further comprising the steps of:

adjusting a curvature of said lens to obtain a desired optical zoom of an object positioned in said lens field of view;

- determining an ideal curvature of said photodetector to provide a focused image of light from the object transmitted through said lens; and
- adjusting said exerted force on said deformable substrate to substantially match the photodetector curvature to said ideal curvature; thereby obtaining an in focus image of said object for any optical zoom value.
  - 31. The method of claim 30, further comprising:

25

- determining an ideal separation distance between said photodetector and said lens for capturing said focused image by said photodetector; and adjusting a separation distance between said lens and said photodetector to substantially match said separation distance to said ideal separation distance.
- 32. The method of claim 30, wherein the desired optical zoom corresponds to a zoom factor that is greater than or equal to 1 and less than or equal to 100 and the optical image at the photodetector is in focus for all zoom factor values without additional lenses between the tunable lens and the photodetector.
- 20 33. The method of claim 30, wherein the ideal curvature is determined computationally or empirically.
  - 34. The method of claim 26, wherein at least a central portion of the deformable substrate has a curvature that is hemispherical or paraboloid.
  - 35. The method of claim 26, wherein said array of optical components maintain functionality over a range of membrane curvatures, corresponding to concave, convex, or planar configurations, or a radius of curvature range this is between flat and 0.1 mm.
  - 36. The method of claim 26, further comprising the step of calibrating the optical components to address the position of individual optical components of the array over a range of membrane curvatures.

37. The method of claim 36, wherein the calibrating is by determining the pitch intercomponent spacing for a plurality of membrane curvatures computationally or empirically using a known image provided at a defined distance.

5 38. The method of claim 30, wherein the ideal curvature corresponds to a Petzval surface formed by transmission of electromagnetic radiation through the lens.

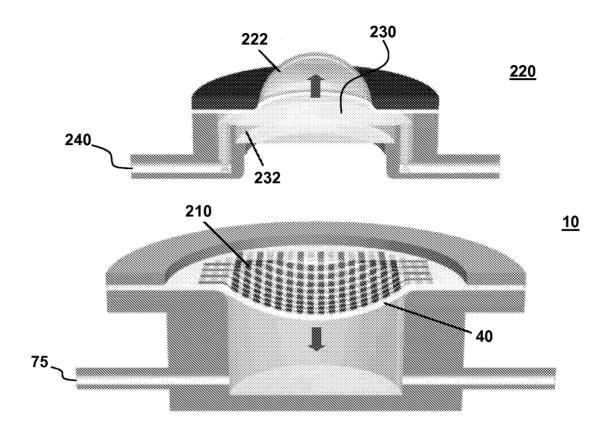


Fig. 1A

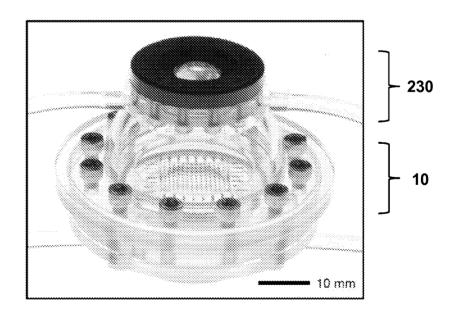


Fig. 1B

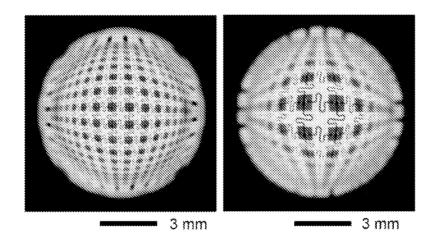


Fig. 1C

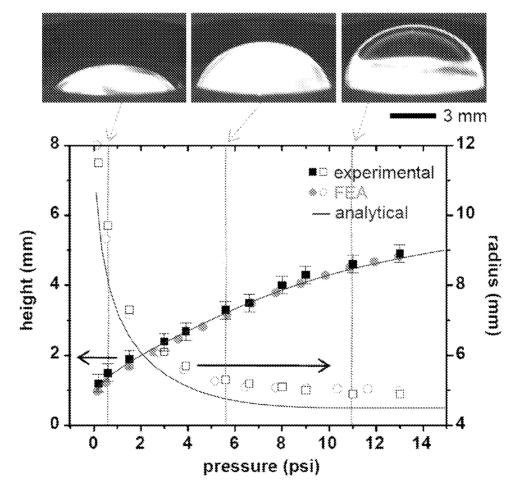


Fig. 1D

3/35

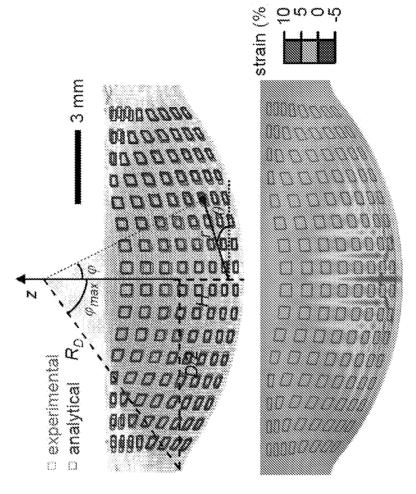


Fig. 21

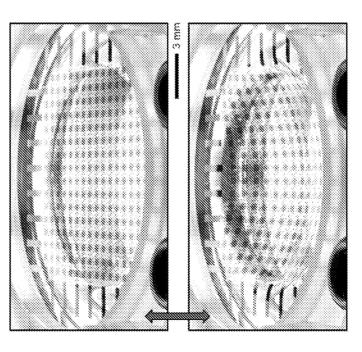
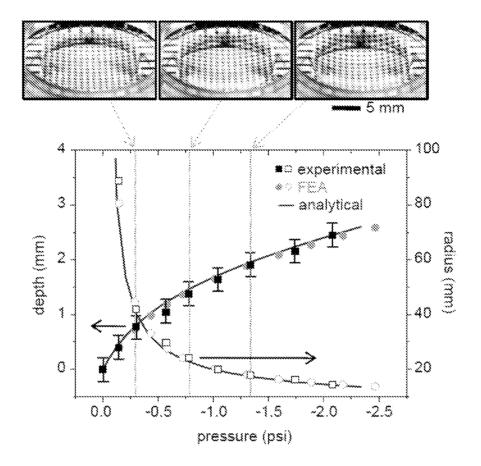


Fig. 2A

Fig. 2C



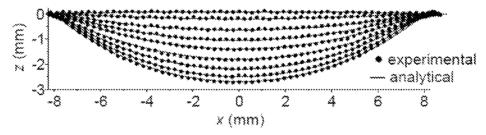
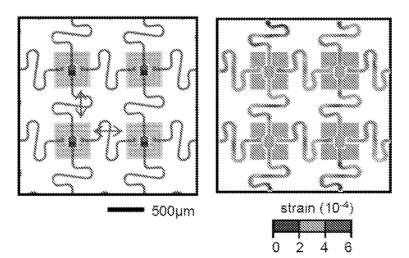
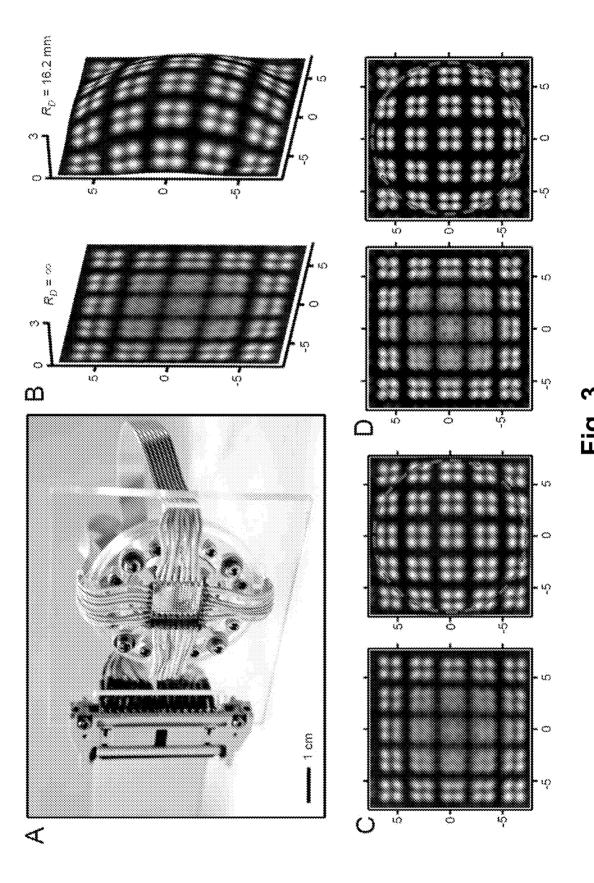


Fig. 2D





(ww) x

 $\bigcirc$ 

Fig. 4

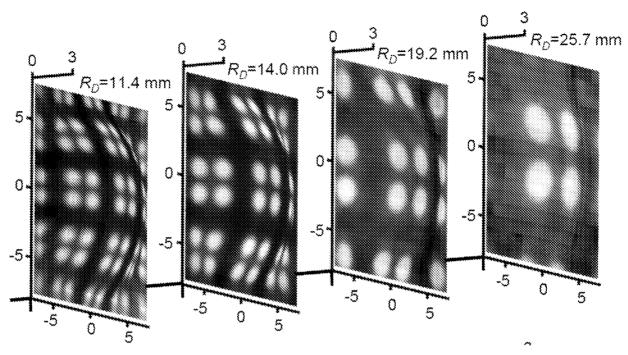


Fig. 4B

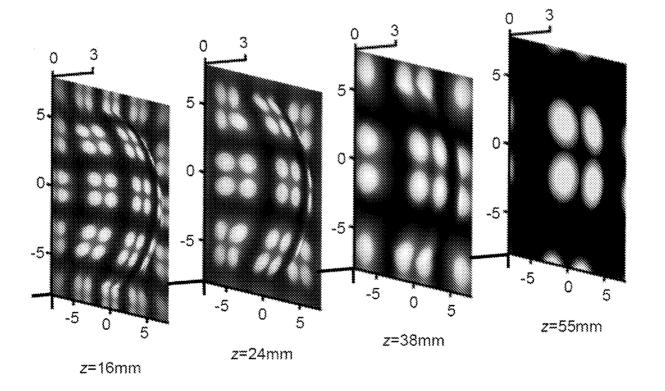


Fig. 4C

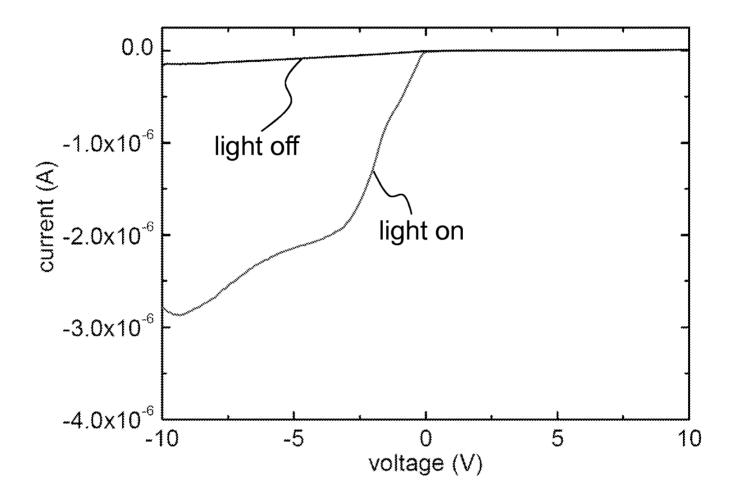
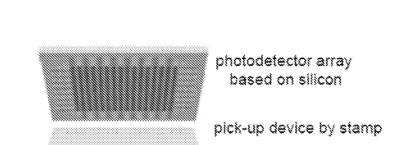
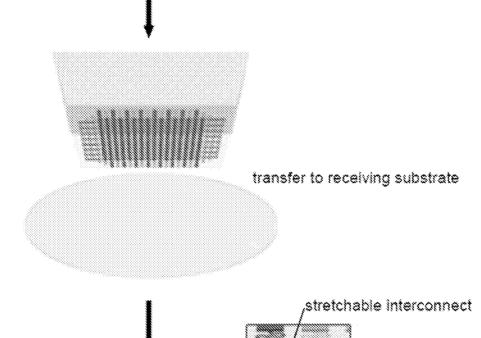


Fig. 5



pick-up stamp

silicon photodetector



transfer completed, assembled with the pneumatic tuning system

Fig. 6

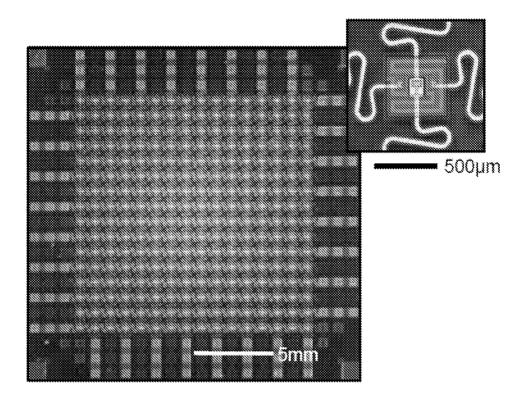


Fig. 7A

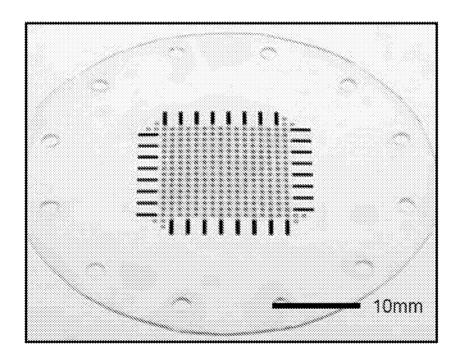


Fig. 7B

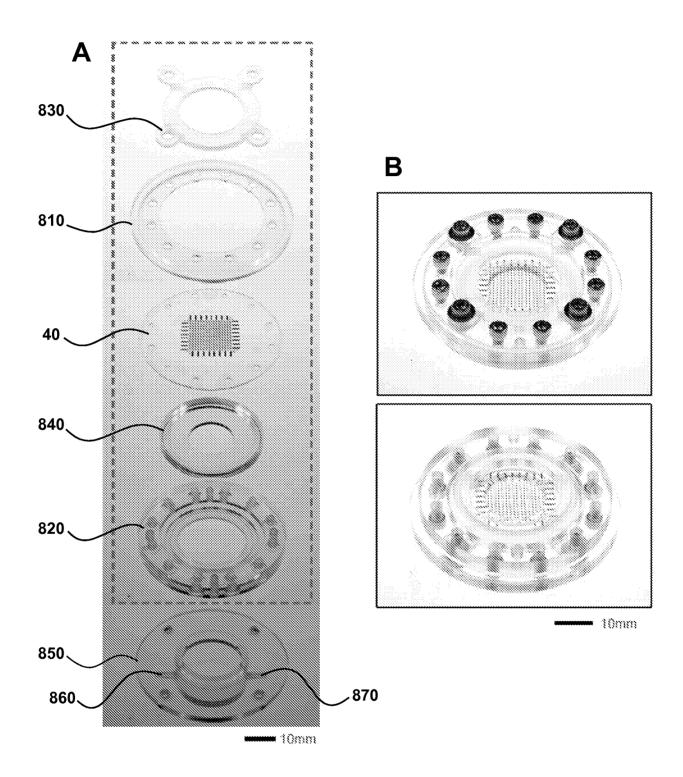


Fig. 8

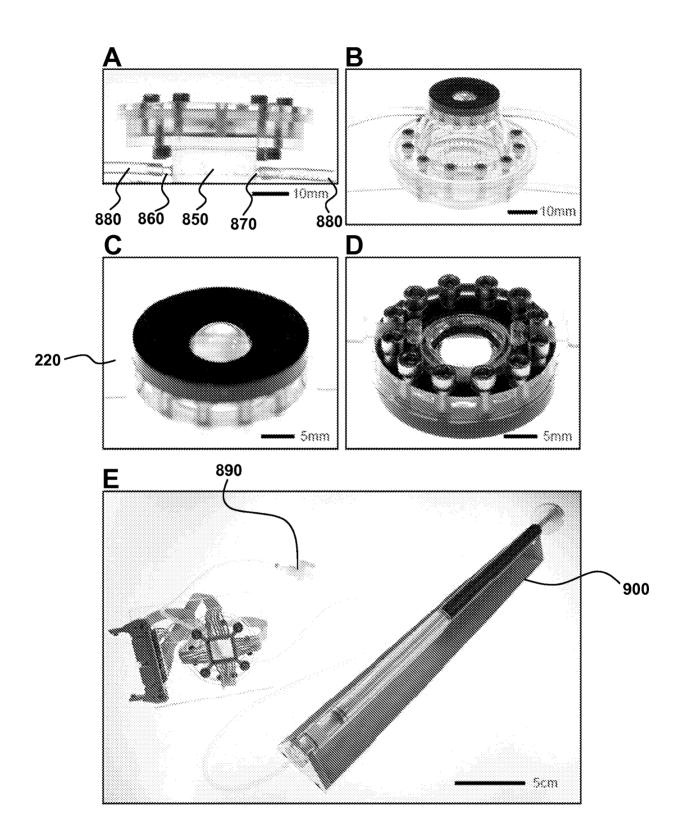


Fig. 9

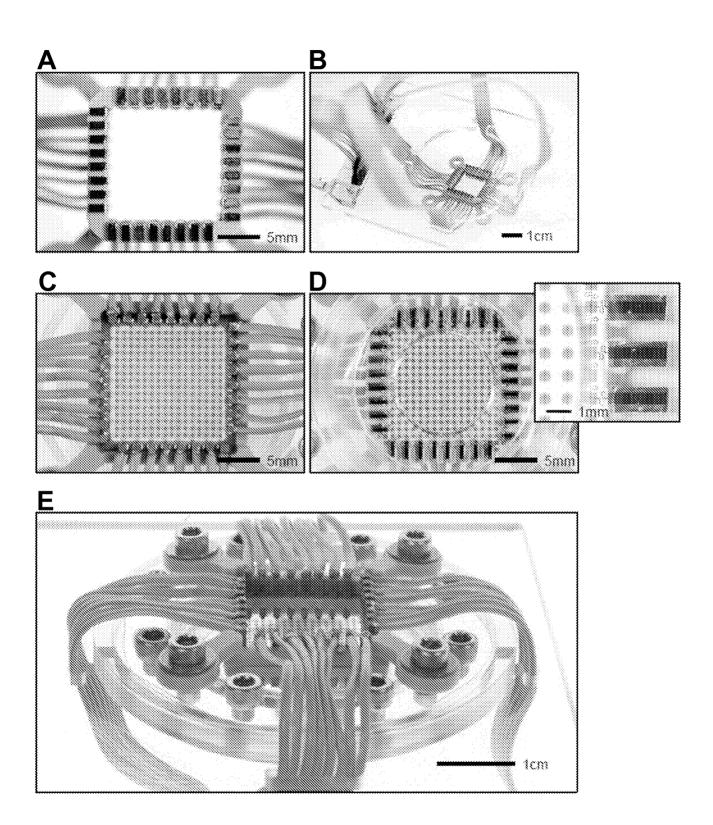


Fig. 10

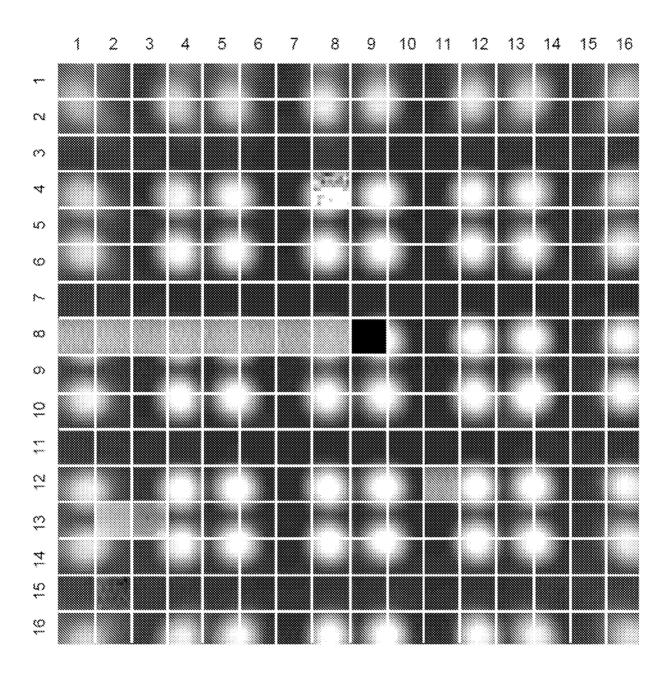
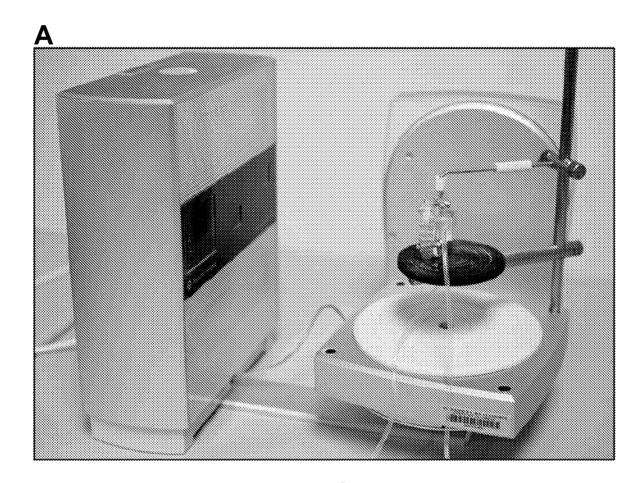


Fig. 11



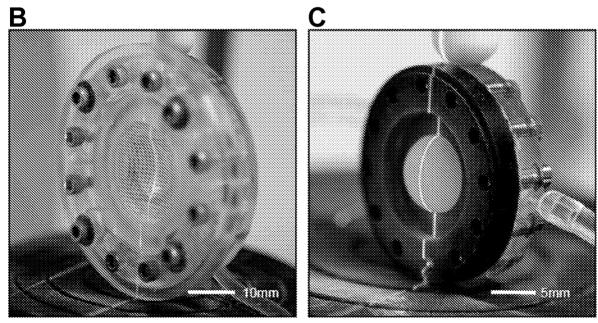
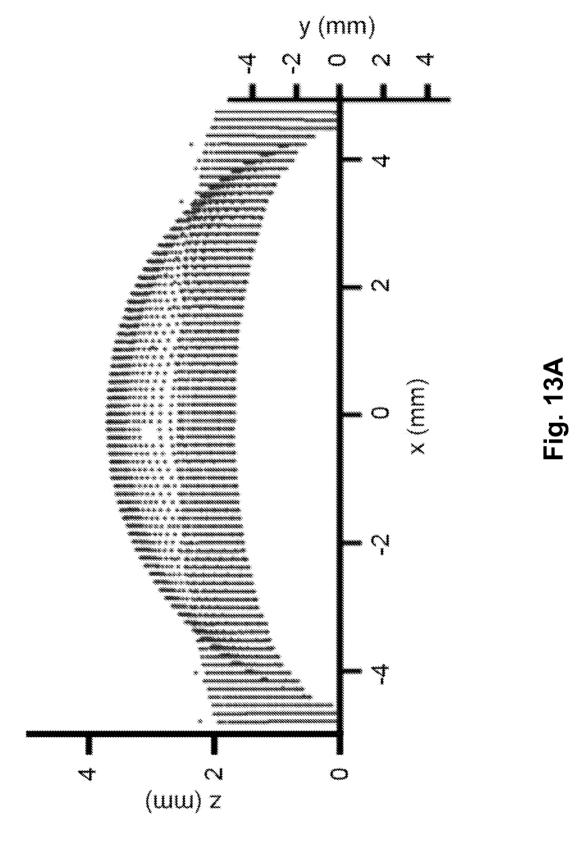
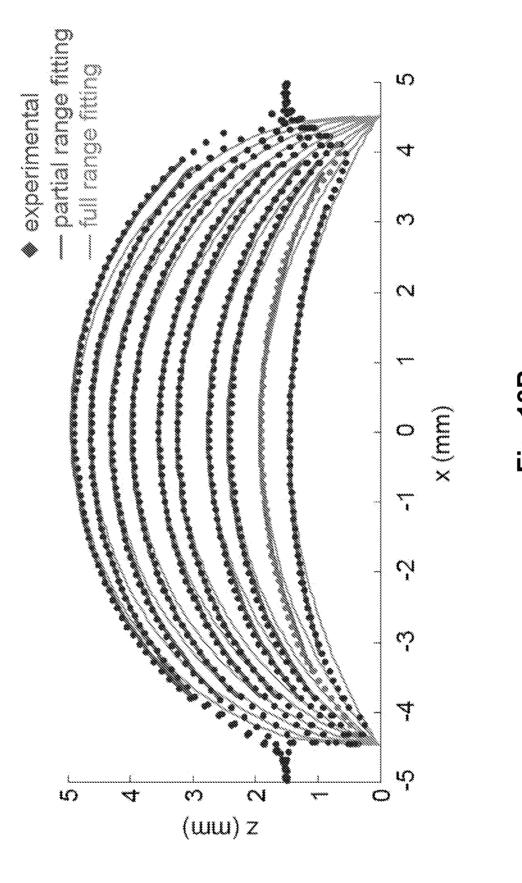
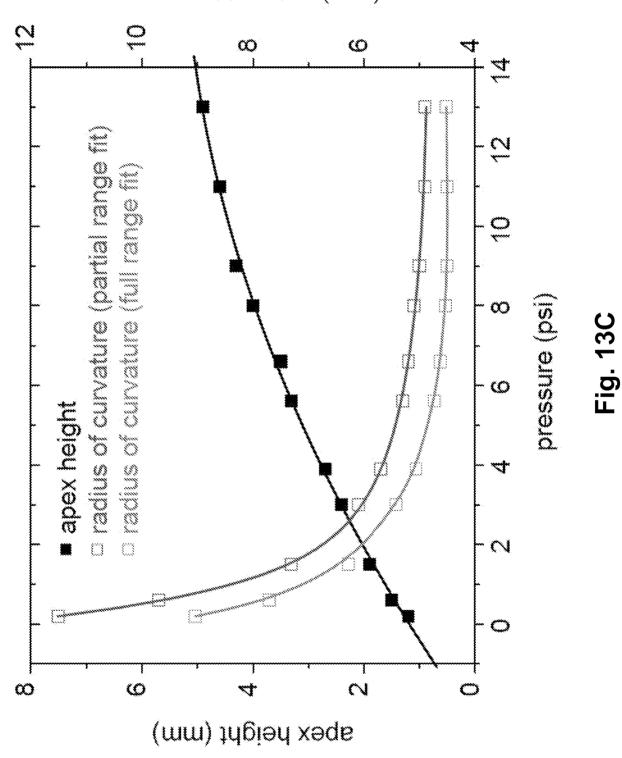


Fig. 12





radius of curvature (mm)



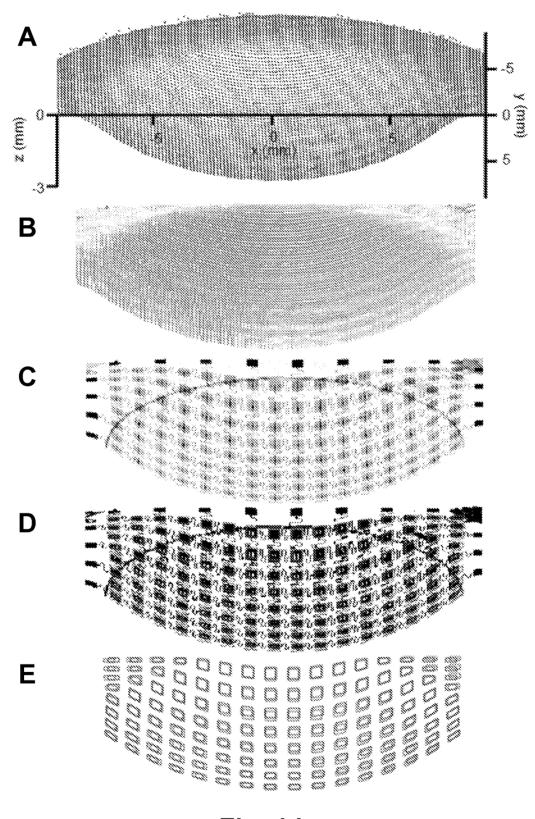


Fig. 14

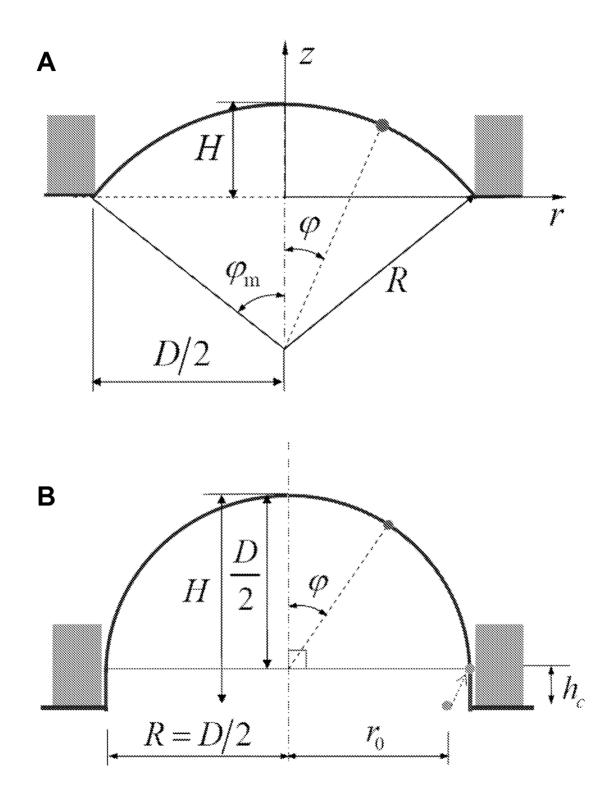


Fig. 15

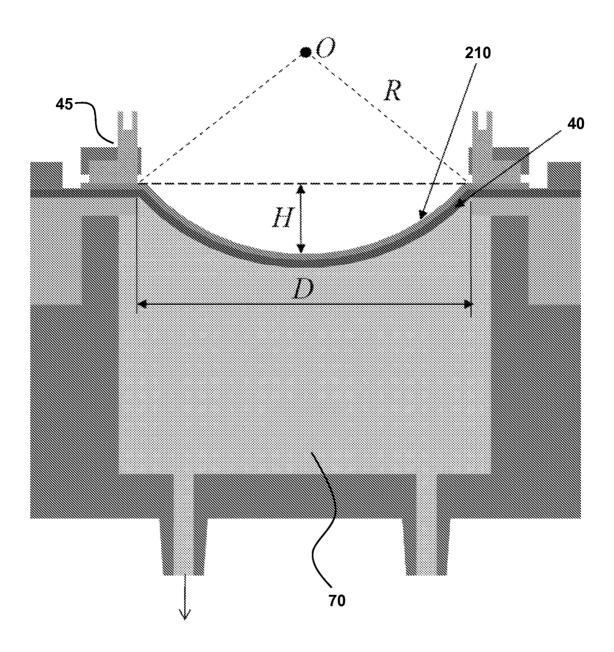
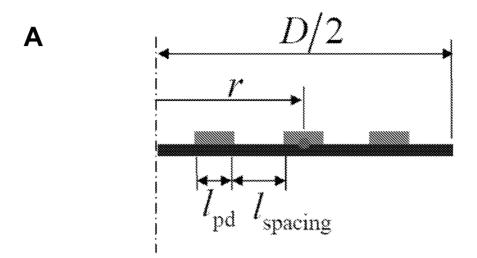


Fig. 16



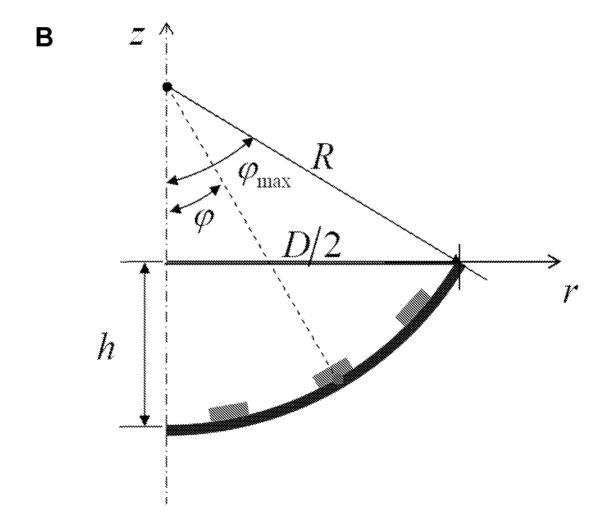
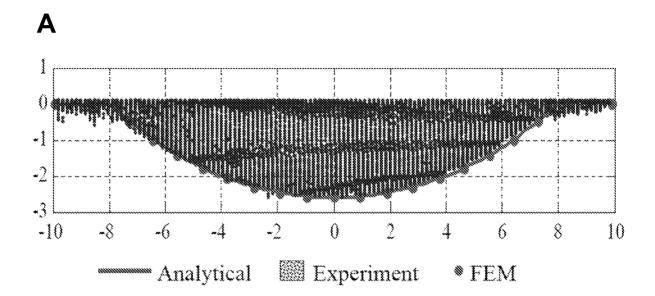


Fig. 17



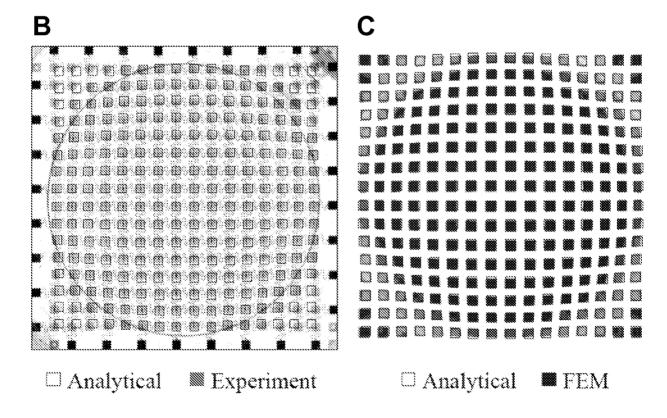
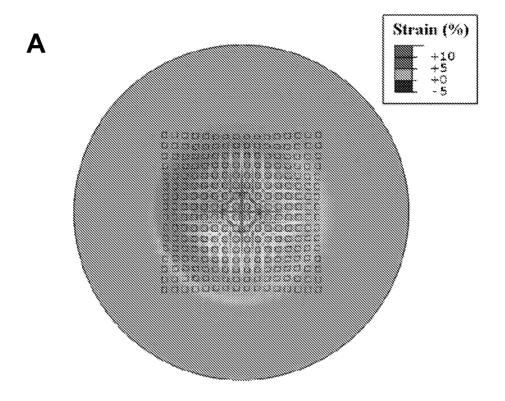


Fig. 18



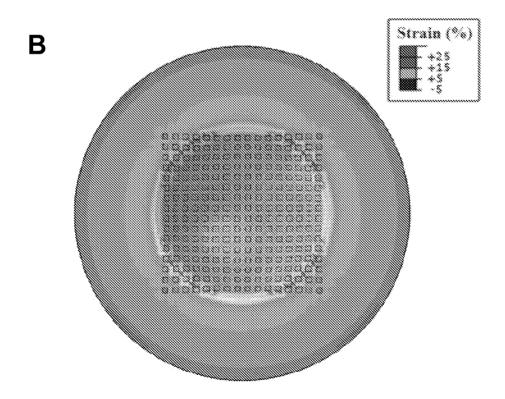


Fig. 19

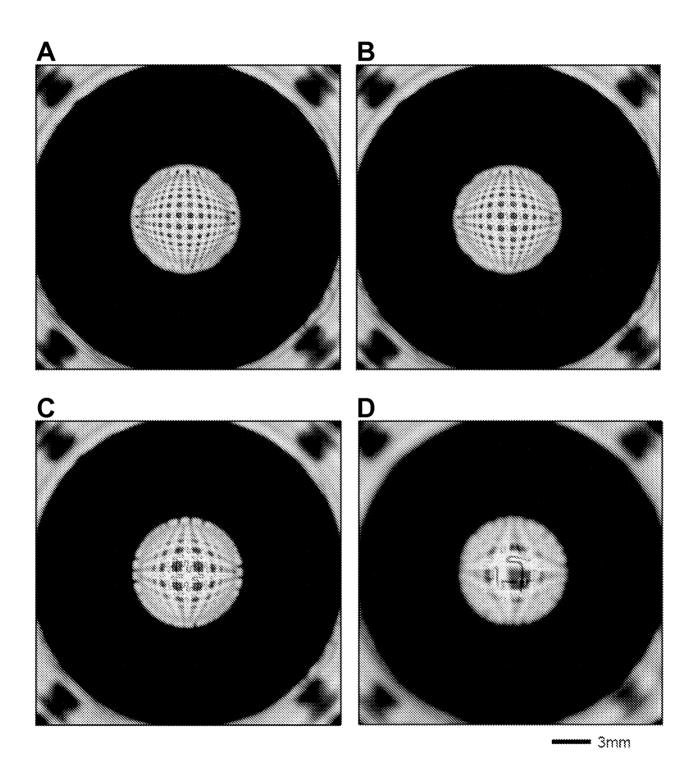


Fig. 20

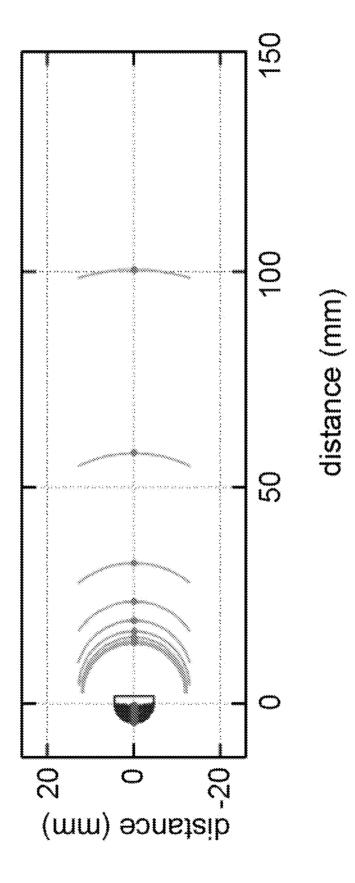


Fig. 21A

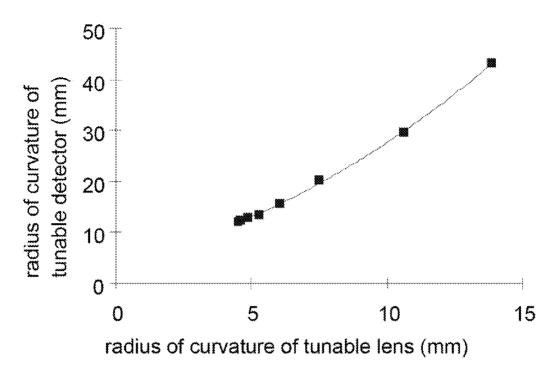


Fig. 21B

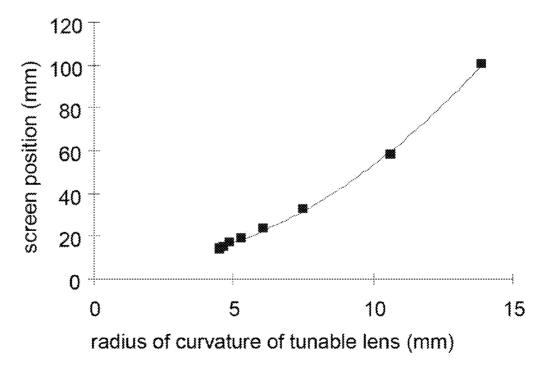
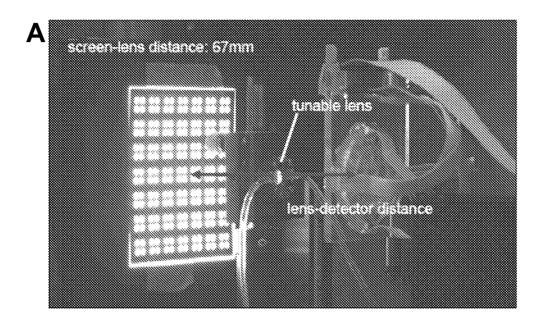


Fig. 21C



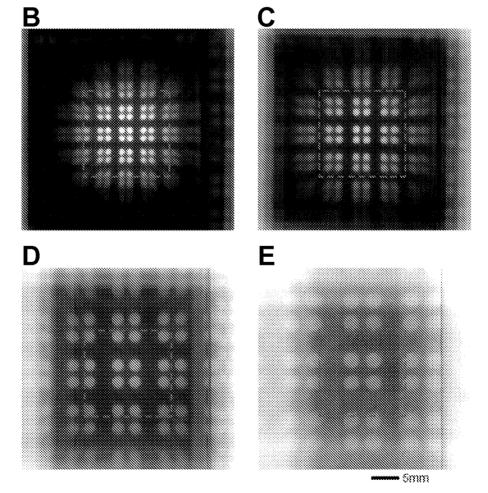
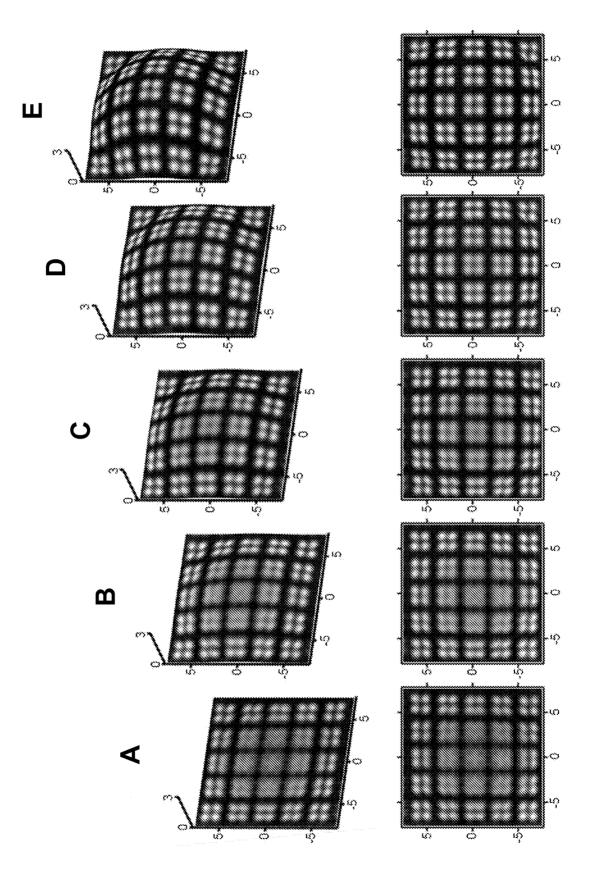
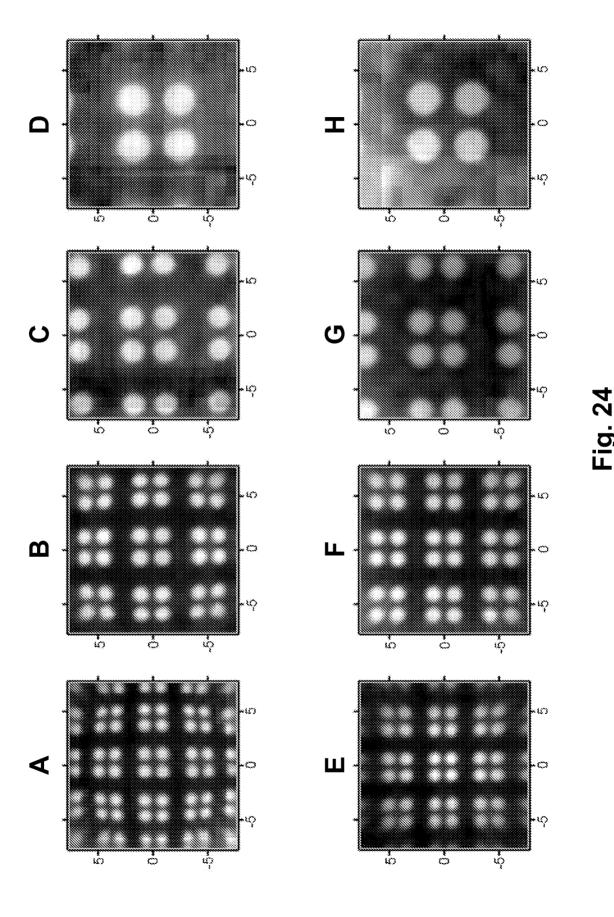


Fig. 22





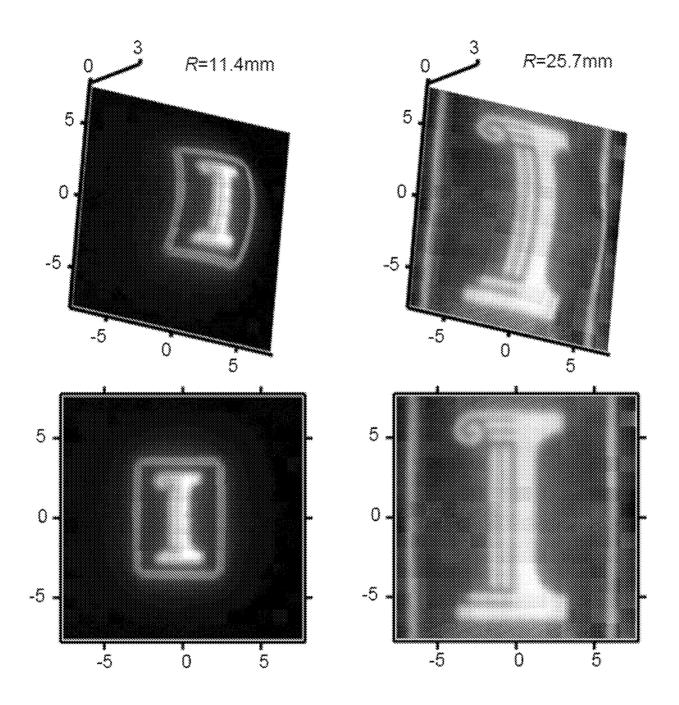
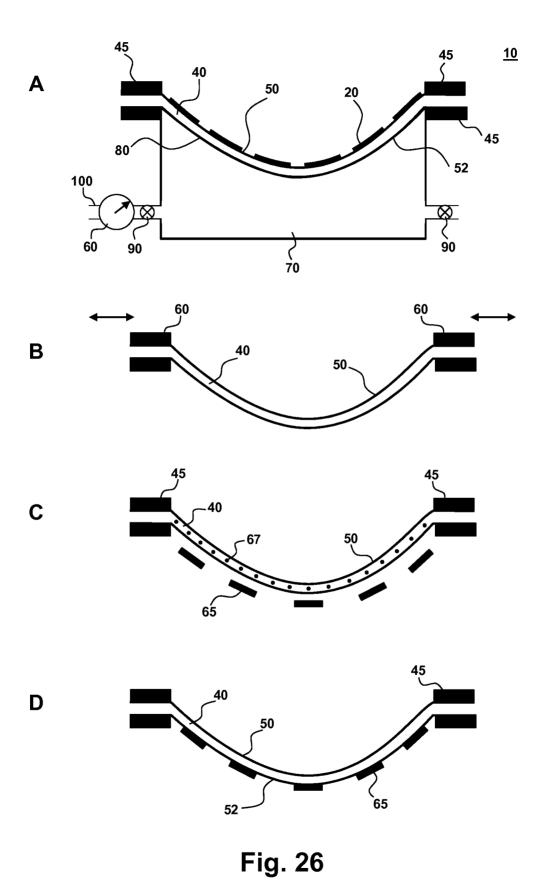
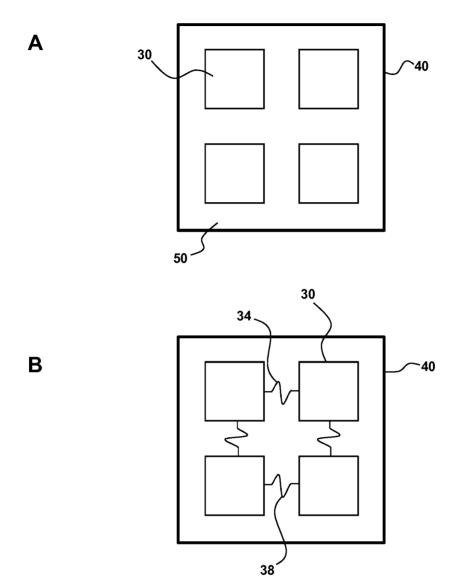


Fig. 25





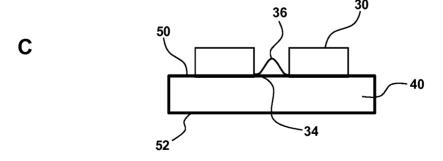


Fig. 27



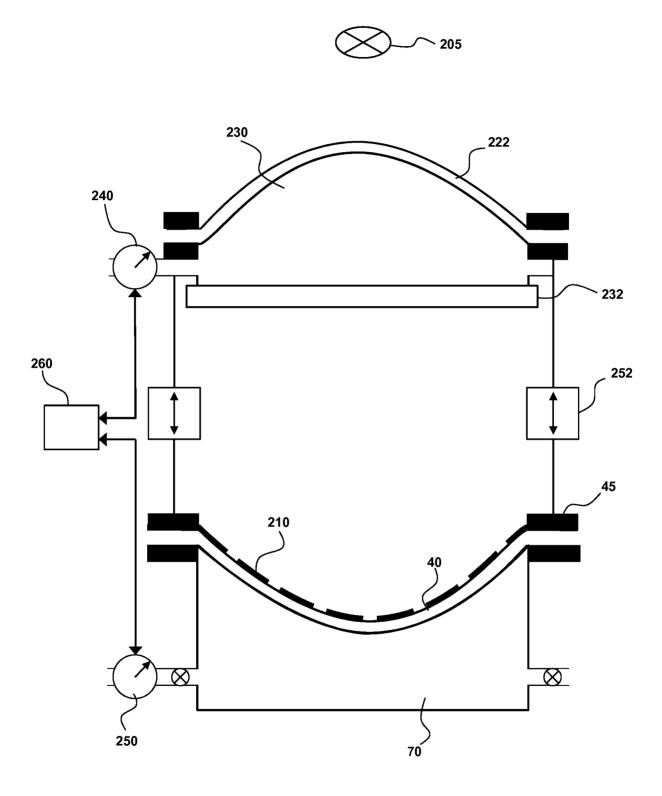


Fig. 28

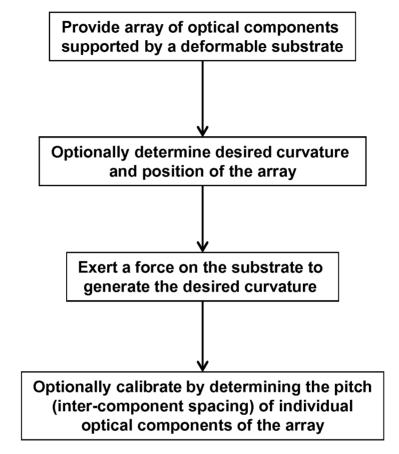


Fig. 29

## INTERNATIONAL SEARCH REPORT

International application No. PCT/US2012/021092

## **CLASSIFICATION OF SUBJECT MATTER** IPC(8) - G02B 3/14 (2012.01) USPC - 359/666 According to International Patent Classification (IPC) or to both national classification and IPC FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC(8) - G028 3/08, 3/12, 3/14; G01J 1/20; H01L 21/00, 21/50 (2012.01) USPC - 359/665-667, 742, 743; 438/64, 65, 69, 73 Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) MicroPatent, Google Patents, Google C. DOCUMENTS CONSIDERED TO BE RELEVANT Citation of document, with indication, where appropriate, of the relevant passages Category\* Relevant to claim No. US 2010/0178722 A1 (DE GRAFF et al.) 15 July 2010 (15.07.2010) entire document 1-5, 13, 18, 26-29, 34, 36, 37 Υ 6-12, 14-17, 19-25, 30-33, 35, 38 Υ US 7,701,643 B2 (BATCHKO et al.) 20 April 2010 (20.04.2010) entire document 6-12, 14, 15, 17, 19-25, Υ SHAW et al. "A Study of the Sensor Shape of a Hemispherical Electronic Eye Variable Focus 16, 23, 24, 30-33, 38 Camera Lens", Optical Review, Vol. 17, No. 6 (2010), 541-548, [published November, 2010] Retrieved from internet <URL:http://www.springerlink.com/content/mj2jl343322p8486/> entire US 2007/0035793 A1 (HENDRICKS) 15 February 2007 (15.02.2007) entire document 15 US 2008/0237443 A1 (OLIVER et al.) 02 October 2008 (02.10.2008) entire document 20-24 US 2010/0290104 A1 (IMAI et al.) 18 November 2010 (18.11.2010) entire document 25, 32 US 2010/0002402 A1 (ROGERS et al.) 07 January 2010 (07.01.2010) entire document 1-38 US 6,721104 B2 (SCHACHAR et al.) 13 April 2004 (13.04.2004) entire document 1-38 US 2008/0316610 A1 (DOBRUSSKIN) 25 December 2008 (25.12.2008) entire document 1-38 Further documents are listed in the continuation of Box C. Special categories of cited documents: later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention document defining the general state of the art which is not considered to be of particular relevance earlier application or patent but published on or after the international "X" filing date "E" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art special reason (as specified) document referring to an oral disclosure, use, exhibition or other document published prior to the international filing date but later than "&" document member of the same patent family the priority date claimed Date of the actual completion of the international search Date of mailing of the international search report 2 3 MAY 2012 24 April 2012 Name and mailing address of the ISA/US Authorized officer: Mail Stop PCT, Attn: ISA/US, Commissioner for Patents Blaine R. Copenheaver P.O. Box 1450, Alexandria, Virginia 22313-1450 PCT Helpdesk: 571-272-4300 Facsimile No. 571-273-3201

PCT OSP: 571-272-7774