



(86) Date de dépôt PCT/PCT Filing Date: 1997/08/29
 (87) Date publication PCT/PCT Publication Date: 1998/03/26
 (45) Date de délivrance/Issue Date: 2011/03/15
 (85) Entrée phase nationale/National Entry: 1999/03/17
 (86) N° demande PCT/PCT Application No.: US 1997/014173
 (87) N° publication PCT/PCT Publication No.: 1998/012248
 (30) Priorités/Priorities: 1996/09/19 (US60/049,944);
 1997/01/16 (US60/035,708); 1997/05/29 (US60/047,896)

(51) Cl.Int./Int.Cl. *C08J 9/28* (2006.01),
C08F 14/02 (2006.01), *C08J 9/14* (2006.01),
C08J 9/30 (2006.01), *C09D 125/14* (2006.01),
C09D 127/06 (2006.01), *C09K 3/10* (2006.01)
 (72) Inventeurs/Inventors:
 LUCAS, PATRICK J., US;
 TAYLOR, ANTHONY J., US
 (73) Propriétaire/Owner:
 DAP PRODUCTS INC., US
 (74) Agent: GOWLING LAFLEUR HENDERSON LLP

(54) Titre : COMPOSES MOUSSEUX STABLES POUR LE CALFEUTRAGE ET L'ETANCHEITE, ET LEURS PROCEDES D'UTILISATION
 (54) Title: STABLE, FOAMED CAULK AND SEALANT COMPOUNDS AND METHODS OF USE THEREOF

(57) **Abrégé/Abstract:**

Stable foam caulk, sealant and insulation compositions are disclosed. The compositions comprise solid, waxy non-ionic lipophilic surfactants having low HLB values. These surfactants, upon foamed dispensing of the composition, provide stable support for bubbles formed from drying of a latex emulsion of a film forming polymer and a volatile liquid hydrocarbon propellant. In addition to use in caulking, sealant or insulation methods, the composition can be used to form artificial terrains and other hardenable structural surfaces as backgrounds or support means for hobbies, crafts, and the like.



PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : C08J 9/28, 9/30, C08F 14/02	A1	(11) International Publication Number: WO 98/12248 (43) International Publication Date: 26 March 1998 (26.03.98)
(21) International Application Number: PCT/US97/14173 (22) International Filing Date: 29 August 1997 (29.08.97) (30) Priority Data: 60/049,944 19 September 1996 (19.09.96) US 60/035,708 16 January 1997 (16.01.97) US 60/047,896 29 May 1997 (29.05.97) US (71) Applicant (for all designated States except US): DAP PRODUCTS INC. [US/US]; P.O. Box 277, Dayton, OH 45401-0277 (US). (72) Inventors; and (75) Inventors/Applicants (for US only): LUCAS, Patrick, J. [US/US]; Apartment D, 4946 Harwhich Court, Kettering, OH 45440 (US). TAYLOR, Anthony, J. [US/US]; 3467 North Drive, Beavercreek, OH 45432 (US). (74) Agents: PEACOCK, Bruce, E. et al.; Biebel & French, 2500 Kettering Tower, Dayton, OH 45423 (US).		(81) Designated States: AU, BY, CA, KZ, MX, NO, RU, US, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
(54) Title: STABLE, FOAMED CAULK AND SEALANT COMPOUNDS AND METHODS OF USE THEREOF		
(57) Abstract Stable foam caulk, sealant and insulation compositions are disclosed. The compositions comprise solid, waxy non-ionic lipophilic surfactants having low HLB values. These surfactants, upon foamed dispensing of the composition, provide stable support for bubbles formed from drying of a latex emulsion of a film forming polymer and a volatile liquid hydrocarbon propellant. In addition to use in caulking, sealant or insulation methods, the composition can be used to form artificial terrains and other hardenable structural surfaces as backgrounds or support means for hobbies, crafts, and the like.		

building contractors and the like may be readily supplied with larger quantities of the compositions.

Unlike polyurethane foam sealants, compositions in accordance with the invention may be simply washed with water. Current polyurethanes do not wash
5 off but only wear off in time. Also, in contrast to polyurethane based products, the compositions will be more stable due to the urethane's propensity toward uncontrollable expansion. Additionally, foams in accordance with the invention are more "toolable" and provide smoother surfaces upon application than the urethanes.

The compositions in accordance with the invention comprise an
10 aqueous latex emulsion of a film forming polymer. The latex emulsion is water dispersible and can accordingly be washed off the user's hands by soap and water.

The compositions in accordance with the invention further comprise a solid, lipophilic non-ionic surfactant having an HLB (Hydrophilic-Lipophilic Balance) value of about 3 to about 8, more preferably about 3.5 to about 6. These surfactants are water insoluble and are
15 chosen from the group of fatty acid (i.e., C₁₂ and greater) esters, fatty alcohol ethers and fatty acid amides. Most preferably, the surfactant comprises one or more ethoxylated fatty alcohols.

A host of liquifiable gaseous propellant components can be employed. However, it is preferred to use a combination of dimethyl ether (DME) and a volatile
20 liquid hydrocarbon wherein the liquid hydrocarbon is present, by volume, in an amount greater than the DME.

Conventional fillers may also be added to the composition to provide anti-sagging or desired pigmentation.

The invention will be further described in conjunction with the
25 appended drawings and detailed description.

Brief Description of the Drawings

Fig. 1 is a schematic view showing use of the foamable compositions of the invention as a caulk to fill the gaps existing between adjacent wall board
30 panels of a building;

Fig. 2 is a schematic view showing use of the compositions to insulate building structures;

Fig. 3 is a schematic view highlighting use of the compositions of the invention in wall patching methods;

5 Fig. 4 is a schematic view showing an artificial terrain composed of the instant foamable compositions, shown here as a mount for a floral display; and

Fig. 5 is a schematic view of a model train set-up, having an artificial terrain background composed of the foamable compositions of the invention.

10

Detailed Description of the Invention

The compositions of the invention are contained, before foaming, in an aerosol or larger bulk quantity container that, after loading with the composition, is charged to sufficient pressure to expel a foamed bead or rope-like strand of material from the housing. Such containers are well known in the art and need not be explained herein. Suffice it here to state that such containers are operatively associated with sufficient valve means so as to selectively open the container thereby allowing expulsion of the pressurized components housed therein and to close the container after the desired foamed application. One aerosol container that may be adapted for use in providing a foamed caulk-like product in accordance with the invention is shown in U.S. Patent 3,705,669 (Cox).

20

The compositions of the invention comprise a film forming polymer present in emulsion form. The film forming polymer may be defined as being dispersible by water when in emulsion form and, the polymer itself is either dispersible in or soluble in the liquid propellant as shall be further specified herein. By film forming we mean that the polymer, after evaporation of the propellant, should remain in the form of a cohesive foam. Generally, thermoplastic polymers as a class are acceptable as film forming polymers. Polymers derived from ethylenically unsaturated compounds such as styrene and/or acrylic acid and its lower alkyl esters may be mentioned as exemplary along with other vinyl compounds such as vinyl acetate, vinyl butyrate etc. An exemplary listing of suitable film forming polymers can be seen in U.S. Patent 4,381,066.

30

Preferred are latex emulsions comprising acrylic acid, lower alkyl acrylates, styrene, vinyl acetate and/or ethylene based polymers. One preferred combination is a combination of a styrene/acrylic copolymer with a vinylacetate/ethylene copolymer. Preferably the film forming polymer comprises styrene/acrylic acid copolymer and polyvinyl acetate/ethylene copolymer in a weight ratio of between 0.5-0.6-styrene/acrylic acid copolymer: polyvinyl acetate/ethylene copolymer. The glass transition temperatures of the polymeric constituents can vary over a wide range of about -40 to about 110°C depending on the degree of hardness ultimately desired for the foam.

The propellant constituents can be chosen from a wide variety of known propellants such as the C₁-C₆ alkanes and C₂-C₆ alkenes. In this regard, volatile liquid hydrocarbons such as propane, n-butane, isobutane, hexane, n-pentane, 2-methylbutane, 1-pentene, butene, 2-methyl-2-butene, cyclobutane, cyclopentane, and cyclohexane can be employed. Less desirably, halogenated hydrocarbons such as vinyl chloride, methyl chloride, methylbromide, dichlorodifluoromethane 1, 1, 1, 2-tetra fluoro ethane and 1, 1-difluoroethane etc. may be employed although some of these are not favored due to environment concerns. A detailed listing of liquid propellants may be seen in U.S. Patent 4,381,066 (Page).

In addition to the volatile liquid hydrocarbon component described above, the propellant preferably comprises dimethyl ether (DME). The amount of the DME in the propellant composition is controlled so that the volatile liquid hydrocarbon is present in a volumetrically greater amount than the DME. For example the volatile liquid hydrocarbon should be present in an amount (by volume) of 1-5:1, preferably 2:1 volatile liquid hydrocarbon: DME.

It has been found that use of DME in the propellant enhances quick drying of the resulting foams. The propellant is added to the foamable composition in an amount of 2-10 wt% based on the total composition weight. Preferably, the propellant is present in an amount of between about 4-6 wt%.

The choice of surfactant to be employed is an important one. The surfactant should be a non-ionic solid, waxy lipophilic compound having an HLB value of about 3-about 8, preferably about 3.5-about 6.. These surfactants are water insoluble and are chosen from fatty (i.e., C₁₂ or greater) acid esters, fatty alcohol

ethers and fatty acid amides.

As to the fatty alcohol ethers, these include alkoxyated (preferably Et-O-) fatty alcohols.

Preliminary results have indicated that the following surfactant types
5 are effective:

ethoxylated fatty alcohols

fatty acid amides

fatty acid esters

In addition to the above components, the foamable compositions may
10 also comprise a dispersant/solvent such as isopropanol to aid in dispersing the surfactant. Isopropanol also aids in foam drying and acts as a heat sink when the solid surfactant is melted and mixed during preparation of the foamable formulation.

Other components including fillers such as silica, asbestos, chalk, titanium dioxide, zinc oxide, siloxanes, sundry other pigments and calcium carbonate
15 etc. can optionally be added. Ethylene glycol and other freeze-thaw agents can be included in the formulation. Flame retardant compounds can also be noted as possibly being added. These include antimony oxides, brominated and chlorinated paraffins, $Al(OH)_3$ and aromatic flame retardants. All of these compounds are referred to herein broadly as "fillers."

20 In addition, water repellent compounds can be included in the formulation as needed. In this regard a host of such compounds are commercially available and could be used. Exemplary water repellent compounds include the siloxanes, waxes and cationic water repellents. Preliminary data suggests that the cationic water repellents provide enhanced water repelling function without causing
25 excessive "sag" in the foamed formulation. At present, the cationic amines such as the quaternary ammonium salts are preferred. One such product is available under the trademark Mackernium SDC-85 from McIntyre Group Ltd., University Park, Illinois. This product is a stearalkonium chloride available in flake form.

If ferrous metal containers are used to house the formulation, it may
30 be desirable to add a minor amount of a rust inhibitor component to the formulation. These again are available from many commercial suppliers. One such exemplary

rust inhibitor is available from Raybo Chemical Co., under the trademark "Raybo 60 No Rust".

Anti-microbial agents such as fungicides, algacides, mildewicides, etc. may also be added to the formulation. One such fungicide is sold under the mark "Polyphase AF1" and is available from Troy Chemical.

Exemplary compositions include the following components:

	(a) film forming o/w 50-98 polymer emulsion		(wt% based upon weight of foamable composition prior to charge of propellant)
10	(b) surfactants	1 - 10	"
	(c) dispersant/solvent	1 - 10	"
15	(d) fillers	0 - 40	"
	(e) water repellents	0 - 5	"
	(f) rust inhibitors	0 - 5	"
20	(g) anti microbial agents	0 - 5	"
	(a) (b) (c) (d) (e) (f) and (g) add up to 100 wt%		
25	propellant	2 - 10	(wt% based upon weight of the composition including propellant)

Usually, the surfactants and water repellants are melted and mixed with the dispersant/solvent. This resulting mixture is mixed with the requisite polymer emulsion or emulsions and then the desired filler compounds, as described above, are added. The propellant charge is then added in an amount of about 2-10 wt% which brings pressure within the container up to around 10 psig at room temperature.

Examples

A variety of different surfactant types were screened to see which ones could possibly be employed to provide a stable foam caulking and sealant composition. The following screening tests were employed.

5

Preparation of Screening Formulations

The requisite surfactants were added to and mixed with isopropanol. In those cases in which the surfactants were present in solid form, they were melted prior to mixing. After the resulting mixture was stirred, the requisite polymer latex(s) were then added. About 340 grams of the resulting emulsion were then charged into an aerosol container along with a liquid propellant mixture comprising "A-70" liquid hydrocarbon propellant (i.e., propane, n-butane and isobutane) and dimethylether (DME). The total charge of propellant was about 4-6 wt% based on the combined weight of the emulsion and propellant. The "A-70" was present in an amount of 2:1 by volume "A-70":DME.

10
15Expansion Tests

The screening formulations were then sprayed from the canister in the form of foam-like beads. The initial height of each bead was measured and then the height was measured again after 18-24 hours. Expansion (or contraction) was measured in accordance with formula

20

$$\frac{\text{bead height after 18-24 hours}}{\text{initial bead height}}^2 = \text{volumetric increase}$$

Volumetric increases of the order of about .45 and greater were deemed acceptable to pass the screening tests. Most desirable are expansions of about .6 to 1.0 and greater with expansion values of about 1 and greater clearly preferred.

25

Softness Test

40 hours after form beads of the formulations had been produced, a penetrometer was used to measure the depth of needle penetration into each of the test samples. Penetration of less than about 10 mm was deemed acceptable as

30

exhibiting the necessary hardening characteristics desirable for a caulk or insulation material.

H₂O Absorption

5 A one inch foam barrier was extruded on top of a one and one-half inch cotton barrier sample in an eight ounce cup. The foam was allowed to dry for about 40 hrs. The cups were immersed in a flow (80 ml/min +/-10) of tap water for about one minute. The increase in weight of the foam/cup sample was measured.

10

Screening Formulations Tested

Unless otherwise noted, tested formulations were as follows:

- | | | |
|----|--------------------------|------------|
| | (1) surfactant | ≈2 wt% |
| | (2) IPA | ≈1.5 wt% |
| 15 | (3) film forming polymer | ≈60 wt% |
| | (4) water | ≈remainder |

Example 1 - The following compositions shown in Table 1 were deemed to pass the screening tests due primarily to their ability to form stable foam beads as especially seen by satisfactory performance of about .45 and greater in the expansion tests. By use of the term "stable" we mean that the foams do not substantially collapse upon drying. The fact that candidates pass this initial screening does not mean absolutely that these formulations would provide an commercially viable product. After initial product screening, additional tests were undertaken, leading to the determination of the preferred embodiment noted infra.

25

Candidates Passing The Screening Tests

TABLE I

SURFACTANT						
Brand Name	Chemical Family	Chemical Description	HLB	Expansion	Softness	H₂O Regain
1. Hetoxol CA- 2(a)	Ethoxylated alcohol	ethoxylated (2) cetyl alcohol	5.1	1.32	4.3	0.03
2. Brij [*] 52 (b)	Ethoxylated C ₁₆ ether	POE(2) hexadecylether	5.3	0.95	X	X
3. Paramul (c) SAS	Fatty amido-ester	stearamide DIBA stearate	*	0.92	X	X
4. Pegosperse [*] (d) 100 S	PEG fatty ester	Mixture of stearic acid = 45% diethyleneglycol monostearate = 35% diethyleneglycol distearate = 10% diethylene glycol = 5% potassium stearate = 5%	3.8	0.89	5.5	0.28
5. Brij [*] 52 (3) and Brij [*] 72	Ethoxylated ethers	POE(2) C ₁₆ & C ₁₈ ethers in a 1:1 ratio	≈5	0.66	X	X
6. Brij [*] 72 (e)	Ethoxylated ethers	POE(2) C ₁₈ ether	4.9	0.49	X	X
7. Lipocol (f) SC-4	POE C ₁₆ & C ₁₈ ethers	Cetyl ether & stearyl ether POE(4)	8	0.46	X	X

a = available from Heterene Inc.

b = available from ICI Surfactants

c = available from Bernel Chemical Co., Inc.

d = available from ICI Surfactants

e = available from ICI Surfactants

f = available from Lipo Chemicals Inc.

* = postulated HLB of about 5

* Trademark

One other surfactant combination, namely Incroquat CR, in a 5.5 wt% amount passed the screening test. This surfactant is a surfactant blend including C₁₆ OH and PEG (40) castor oil in combination with stearylalkonium chloride.

Candidate Failures

- 5 Formulations including the following surfactant components were deemed to fail. (Table 2)

TABLE 2

NON-IONIC SURFACTANTS						
NAME	CHEMICAL FAMILY	CHEMICAL DESCRIPTION	HLB	EXPANSION	SOFTNESS	H2O abs.
Polawax A-31	Emulsifying wax	Emulsifying wax	X	0.38	2.8	X
Brij 58	Ethoxylated alcohol	POE (20) cetyl ether	15.7	0.28	X	X
Amiter LGS-5	Protein/difatty (POE) ester	POE(5)ether diester of N-lauroyl L-glutamic acid	5.4	0.19	4.2	X
Glyospense TS-20	POE fatty esters & oils	POE(20)sorbitan tristearate	11	0.18	X	X
Brij 35	POE C12 fatty ether	POE(23) lauryl ether	16.9	0.16	X	X
General 122 N=5	Ethoxylated alcohol	PEG-5 soya sterol	7	0.15	3.8	X
Armul 930	POE alkylphenol	Nonylphenol POE glycol ether	17.1	0.15	X	X
Phospholipon 50 G	Lecithin derivative	Lecithin	X	0.14	X	X
Ariasolve 200	POE C16 fatty ether	POE(20) isohexadecyl ether	15.7	0.14	X	X
Brij 98	POE C18 fatty ether	POE(20) oleyl ether	15.3	0.13	X	X
Cedapal CA-890	POE alkyl phenol	Octoxynol-100	18	0.13	X	X
Pegospense 50 DS	Glycol Distearate	Ethylene Glycol Distearate	2.0	0	X	X
Pegospense 50 MS	Glycol Stearate	Ethylene Glycol Monostearate	3.0	0	X	X
Span 65	Sorbitan Ester	Sorbitan Tristearate	2.1	0	X	X
Super Hartolan	Lanolin Based Derivatives	Lanolin Alcohols (Mixture of Fatty OH, Steroid, Triterpenoid OH)	4	0.06	X	X
Amiter LGS-5(H)	Protein Based Surfactant	POE(5)Stearyl ether diester of N-Lauroyl L-Glutamic Acid	5.4	0.19	X	X
Glucate SS	Glucose Derivative	Methyl Glucose Sesquisterate	6	0	X	X
Imwitor 312	Glycerol esters	Mono & Di C12 Glyceryl Esters some C10 & 1,2,3-propanetriol	6	0	X	X
Polyaldo HGDS KFFG	C16 & C18 Fatty Esters	Hexaglyceryl Distearate	7	0	X	X
General 122 N=5	Ethoxylated Alcohol	PEG-5 Soya Sterol	7	0.15	X	X
Hetoxamate 400 DS	Glycol Esters	PEG-8 Distearate	10.6	0	X	X
Chemax HCO-25	Ethoxylated Fatty Esters	Ethoxylated Hydrogenated Castor Oil	10.8	0	X	X
Span60	Sorbitan fatty acid ester	Sorbitan Monostearate	4.7	0.00	X	X
Span40	Sorbitan fatty acid ester	Sorbitan monopalmitate	6.7	0.02	X	X

-12-

CATIONIC SURFACTANTS						
NAME	CHEMICAL FAMILY	CHEMICAL DESCRIPTION	HLB	EXPANSION	SOFTNESS	H2O abs.
Mackernium EQ-15	Quaternary Amine	Triethanolamine tallow diester	X	0.50	0.6	X
Armeen 2C	Amine	Di(cocoalkyl)amines	X	0.37	X	X
Emkalon Base C-100	Lanoline based derivative	POE cholesteryl & isocholesteryl fatty esters	X	0.22	1.4	X
Lexemul AR	Glycerides; C14-C18	Octadecanamide, N-(2(Diethylamino)ethyl)-	X	0.21	X	X
Armac HT flk	Amine acetates	Hydrogenated tallowalkyl amine acetates	X	0.16	X	X
ANIONIC SURFACTANTS						
NAME	CHEMICAL FAMILY	CHEMICAL DESCRIPTION	HLB	EXPANSION	SOFTNESS	H2O abs.
Norfox Oleic Flks	Soap	Sodium oleate	20	0.39	X	X
Cerasynt Q	Glycerol esters	Glycerol stearate/glycerin/K stearate	X	0.32	1.3	X
Lexemul T	Glycerol esters, C14-C18	Potassium soap of fatty acids	5.5	0.24	0.9	X

Discussion

In accordance with the above, success was shown when Examples 1-7 were screened. The surfactants employed in these formulations were all water insoluble, solid, crystalline, lipophilic surfactants having HLB's of about 3-8 preferably 3.5-6. Chemically, these surfactants fall within the classes of fatty acid (C₁₂ and greater) esters, fatty alcohol ethers and fatty amides. In some cases, surfactants falling within the general chemical classes and HLB values described in the preceding sentence failed the initial screening study. It appears that such failures may be attributable to steric hindrance factors which prohibit proper surfactant functioning at the w/o interface in the foamable formulation. Generally speaking these steric hindrance problems appear to arise in conjunction with surfactant conformations that include bulky substituents such as ring structures, triester functionality, the location of more than 1 glycol group between ester groups, and glycerol esters.

One exemplary formulation includes a combination of three different water insoluble solid, crystalline surfactants having HLB's of between about 2-about 8. The surfactants are:

			M.P.
5	Brij [*] 52	Polyoxyethylene(2) cetyl alcohol	86.0 - 93.2°F
	Brij [*] 72	Polyoxyethylene(2) stearyl ether	105.8 - 113°F
	Pegospers [*] 50DS	ethylene glycol distearate	136.4 - 147.2°F

It is thought that the staggered melting points of the surfactant blend enhance the foam bubble stability in that the lowest m.p. surfactant tends to crystallize last with the highest m.p. surfactant crystallizing first. The Pegospers 50DS has been added to give water resistance and hardness to the resulting foam even though when used by itself as a surfactant, it did not produce a stable foam (see Table 2 supra.).

Based on presently available data, the composition preferred for use is as follows.

15		<u>Formulation 1</u>	
	Brij [*] 52		1.0%
	Brij [*] 72		0.7%
	Mackernium SDC-85		0.7%
	IPA		4.0%
20	Ethylene Glycol		2.0%
	Polyphase AF-1		0.2%
	Raybo 60 No Rust		1.25%
	Polymer		
	a) Styrene/acrylic		
25	copolymer; solids = 48.5%, T _g = 103°C		
	Lucidene [*] 370, available Morton International		
		Solids present	21.86%
	b) Polyvinyl acetate/		
	ethylene copolymer;		
30	solids = 72.0%; T _g = 0°C;		
	Airflex [*] 720 BP, available Air Products		
		Solids present	32.45%
	water		remainder

* * * * *

* Trademark

-14-

Other exemplary (but not preferred) compositions include :

		<u>Formulation 2</u>	
	Brij 52		1.0%
	Brij 72		0.7%
5	Pegospense 50DS		0.7%
	IPA		4.0%
	Ethylene Glycol		2.0%
	Polymer		
10	a) Styrene/acrylic copolymer; solids = 48.5%, $T_g \approx 103^\circ\text{C}$ Lucidene 370, available Morton International		
	Solids present		22.21%
15	b) Polyvinyl acetate/ethylene copolymer; solids = 72.0%; $T_g \approx 0^\circ\text{C}$; Airflex 720 BP, available Air Products		
	Solids present		32.98%
	water		remainder
* * * * *			
20		<u>Formulation 3</u>	
	Hetoxol	ethoxylated(2) cetyl alcohol	1.8%
	IPA		4.0%
	Ethylene Glycol		2.0
25	Styrene/acrylic copolymer		22.36
	Polyvinylacetate/ethylene copolymer		33.19
	water		remainder

To these emulsions, a propellant as described above (preferably 2:1, volume A-70: DME) is added in an amount of 4.95% based on the weight of all

components (including propellant) present.

Although applicant is not to be bound to any particular theory of operation, it is thought that the solid lipophilic surfactant coats the volatile liquid hydrocarbon propellant component as the formulation is expelled from the pressurized can. The surfactant, upon foam dispensing, changes from the liquid phase into the solid phase where it is positioned along the water/organic interface. At that location, the surfactant functions as a foam builder, supporting the bubbles formed by the volatile liquid hydrocarbon and surrounding polymer emulsion. Upon evaporation of the propellant and water from the emulsion, the polymer bubbles are stabilized by the waxy surfactant and polymer solids.

The foamable compositions in accordance with the invention can be utilized to fill joints, cracks, crevices, gaps, or other spaces in or between building or structural units. For example, in Fig. 1, the foamed composition 100 is applied to seal cracks 20 that exist between adjacent wall board members 22 of the building structure. The foamable composition 100 is supplied to the crack from a pressurized spray canister 102.

Similarly, the foamable compositions can be utilized to provide insulation in the construction industry. With regard to Fig. 2, the composition is applied in foamable form between wall stud members 222, 224. Here, the foamable composition is supplied through a wide-mouth nozzle means 226 from an enlarged pressurized canister 230 that may be conveniently strapped onto the back of the worker. In such manner, foams in accordance with the invention can be applied to provide thermal insulating sound dampening or moisture barrier like properties.

The compositions in accordance with the invention may be applied with appropriate tools or the like to the requisite substrate. As shown in Fig. 3, the foamable composition 100 of the invention is utilized as a patching material to fill concavities, or holes in the wall surface 320. The user simply applies a mass or mound of the composition 100 into the desired concavity and works the composition with a trough 322. After the foamable composition sets up, a smooth surface is provided.

As shown in Fig. 4, the foamable composition may also be utilized to

provide an artificial terrain 404, for a variety of craft and hobby purposes. Here, the foamable composition is supplied, and formed into the requisite artificial terrain 404 which provides as an anchor site or means for supporting a plurality of flowers 402. Although the artificial terrain 404 is shown here in conjunction with and as providing
5 a support for flowers 402, the foamable compositions can be used to supply similar terrains or background materials for a host of other craft or hobby items.

In Fig. 5, the scenic background 502 formed of the foamable compositions of the invention, is utilized to provide a planned, artificial topography for a model railroad set.

10 The latex polymer foam compositions of the invention have a natural home in the craft market due to the convenience and safety of a water based foam. The toy market for this foam technology is attractive for the same reasons. This latex polymer foam technology has application in automotive and industrial equipment manufacturing as an insulating sealant or adhesive. Other industrial
15 applications may include the use of open-celled foams for sewage treatment, filtration devices, anion/cation exchange resins, and microbiological growth medias. Medical applications could include the investigation of open-celled foams for tissue grafting or biomedical implants and stabilization of biological macromolecules such as proteins, cellulose, and polysaccharides into foam products.

20 The foams in accordance with the invention may be used as an encapsulating or coating medium for application over toxic and/or other hazardous materials. For example, asbestos bearing structural units such as walls, roofs and flooring may simply be coated with the foam compositions. After drying, the thus covered structural units would exhibit reduced airborne contaminant expulsion.

25 Possibly the foams could even be used to encapsulate radioactive materials.

As previously noted, latex foam compositions of the invention may be used as a foam insulating sealant, ceiling texture, non-shrink caulk, textured patching compound, stucco repair product, white glue, construction adhesive, spackling and hand cleanser.

30 There are a myriad of other potential uses for these compositions. The following are mentioned as possibilities:

- 1) crack filling applications
- 2) component in wallboard tape/mud replacement products
- 3) component in driveway crack sealer
- 4) component in foam-in-place bug repellent
- 5 5) component in smoke/fire containment product
- 6) pipe insulation sealant
- 7) painter's caulk
- 8) component in foam-in-place gasket material
- 9) component in sound absorber
- 10 10) component in adhesive grout
- 11) component in alignment aid - temporary tack-in-place
- 12) component in foam-in-place leveler/gap filler/adhesive
- 13) component in replacement for white glues
- 14) component in bulk caulk delivery system
- 15 15) component in moisture barrier

Many of these compositions lend themselves to bulk application techniques well suited for the manufactured housing market. Others like the patching and repair products are better suited to the convenient "no gun needed" aerosol can.

Unlike competitive polyurethane foams the instant foamable
20 compositions contain no isocyanates which are skin and respiratory sensitizers.
(Once sensitized, exposure to isocyanates can cause respiratory failure.) The instant
compositions will not permanently bond to the skin and can be easily removed by
water cleanup. Polyurethane requires a hazardous flammable solvent for its removal.
The compositions of the invention are easy to control during application. They are
25 toolable and moldable, and they are reusable eliminating the waste and mess of
polyurethane foam. Moreover, the foam compositions are paintable with all paints.
Oil based paints (including virtually all spray paint) will dissolve polyurethane foam.
The instant foam compositions do not require painting for exterior applications
because they are UV stable. They remain white and will not discolor or degrade like
30 polyurethane foam. The compositions exhibit no hydraulic effect to cause buckling
or structural failure.

While this invention has been described with respect to particular embodiments thereof, it is apparent that numerous other forms and modifications of this invention will be obvious to those skilled in the art. The appended claims and
5 this invention generally should be construed to cover all such obvious forms and modifications which are within scope of the present invention.

CLAIMS:

1. A foamable caulk or insulating composition for storage in a pressurized aerosol container having a valve associated therewith for dispersing the contents of said container in the form of a stable foam, said composition comprising:
 - (a) an aqueous emulsion comprising a film forming polymer;
 - (b) a liquid propellant; and
 - (c) a solid, lipophilic, non-ionic surfactant selected from the group consisting of fatty alcohol ethers, fatty acid esters, and fatty acid amides, said surfactant having an HLB (Hydrophilic-Lipophilic Balance) value of 3-8.
2. The composition as recited in claim 1 wherein said film forming polymer comprises an emulsion including polyvinylacetate/ethylene copolymer and styrene/acrylic acid copolymer.
3. The composition as recited in claim 1 wherein said propellant comprises a liquefied gas component including a C₁-C₆ alkane or C₂-C₆ alkene.
4. The composition as recited in claim 3 wherein said lower C₁-C₆ alkane is present and comprises propane, isobutane, and n-butane.
5. The composition as recited in claim 3 wherein said propellant further comprises DME, said DME being present in a ratio by volume of DME:C₁-C₆ alkane of from 1:1 to 1:5.
6. The composition as recited in claim 5 wherein said DME is present in a ratio by volume of DME:C₁-C₆ alkane of 1:2.
7. The composition as recited in claim 1 wherein said surfactant has an HLB of 3.5-6.

8. The composition as recited in claim 7 wherein said solid, lipophilic, non-ionic surfactant is a fatty alcohol ether.
9. The composition as recited in claim 8 wherein said fatty alcohol ether is an alkoxyated fatty alcohol ether.
10. The composition as recited in claim 9 wherein said fatty alcohol ether comprises cetyl alcohol having two moles of ethoxylation per molecule.
11. The composition as recited in claim 9 wherein said fatty alcohol ether comprises stearyl alcohol having two moles of ethoxylation per molecule.
12. The composition as recited in claim 7 wherein said solid, lipophilic, non-ionic surfactant is a fatty acid amide.
13. The composition as recited in claim 12 wherein said fatty acid amide comprises an amide of stearic acid.
14. The composition as recited in claim 7 wherein said solid, lipophilic, non-ionic surfactant is a fatty acid ester.
15. The composition as recited in claim 13 wherein said fatty acid ester comprises an ethylene glycol ester of stearic acid.
16. The composition as recited in claim 7 wherein said solid, lipophilic, non-ionic surfactant comprises ethoxylated cetyl alcohol, ethoxylated stearyl ether and ethylene glycol distearate.
17. A foamable caulk or insulating composition for storage under pressure inside a dispenser, said dispenser adapted for release of a foamed bead or strand of said caulk or insulating composition, said composition comprising:

- (a) an aqueous emulsion comprising a film forming polymer;
- (b) a solid, water insoluble lipophilic surfactant selected from the group consisting of fatty alcohol ethers, fatty acid esters, and fatty acid amides, said surfactant having an HLB value of 3-8; and
- (c) a liquid propellant wherein said water insoluble lipophilic surfactant is present in an amount of 1-10 wt% based upon the total weight of (a) and (b), in said composition.

18. The composition as recited in claim 17 wherein said film forming polymer comprises styrene/acrylic copolymer and polyvinyl acetate/ethylene copolymer in a weight ratio of 0.5-0.6-styrene/acrylic copolymer: polyvinyl acetate/ethylene copolymer.

19. The composition as recited in claim 17 wherein said propellant is present in an amount of 2-10 wt% based on the total weight of the composition.

20. The composition as recited in claim 19 wherein said propellant is present in an amount of 4-6 wt%.

21. The composition as recited in claim 20 further comprising isopropanol (d) in an amount of 1-10 wt% based upon the combined weight of (a) (b) and (d).

22. The composition as recited in claim 21 wherein said aqueous emulsion comprising said film forming polymer is present in an amount of 50-98% based on the total weight of (a) (b) and (d).

23. The composition as recited in claim 17 wherein said solid, lipophilic, non-ionic surfactant has an HLB of 3.5-6.0.

24. The composition as recited in claim 23 wherein said solid, lipophilic, non-ionic surfactant is a fatty alcohol ether.

25. The compositions as recited in claim 24 wherein said fatty alcohol ether is an alkoxyated fatty alcohol ether.
26. The composition as recited in claim 25 wherein said alkoxyated fatty alcohol ether comprises cetyl alcohol having two moles of ethoxylation per molecule.
27. The composition as recited in claim 25 wherein said alkoxyated fatty alcohol ether comprises stearyl alcohol having two moles of ethoxylation per molecule.
28. The composition as recited in claim 23 wherein said solid, lipophilic, non-ionic surfactant is a fatty acid amide.
29. The composition as recited in claim 28 wherein said fatty acid amide comprises an amide of stearic acid.
30. The composition as recited in claim 23 wherein said solid, lipophilic, non-ionic surfactant is a fatty acid ester.
31. The composition as recited in claim 30 wherein said fatty acid ester comprises an ethylene glycol ester of stearic acid.
32. The composition as recited in claim 23 wherein said solid, lipophilic, non-ionic surfactant comprises ethoxylated cetyl alcohol, ethoxylated stearyl ether, and ethylene glycol distearate.
33. A method of filling joints, cracks or spaces existing between adjacent structural units comprising applying to said joint, crack or space a sufficient filling amount of a foamable composition comprising:
- (a) an aqueous emulsion comprising a film forming polymer;
 - (b) a liquid propellant; and
 - (c) a solid, lipophilic, non-ionic surfactant selected from the group consisting

of fatty alcohol ethers, fatty acid esters, and fatty acid amides, said surfactant having an HLB value of 3-8.

34. A method of providing an insulating layer between structural units comprising applying a layer of a foamable composition adjacent one of said structural units, said foamable composition comprising:

- (a) an aqueous emulsion comprising a film forming polymer;
- (b) a liquid propellant; and
- (c) a solid, lipophilic non-ionic surfactant selected from the group consisting of fatty alcohol ethers, fatty acid esters, and fatty acid amides, said surfactant having an HLB value of 3-8.

35. The method as recited in claim 33 or 34 wherein said film forming polymer comprises an emulsion including polyvinylacetate/ethylene copolymer and styrene/acrylic acid copolymer.

36. The method as recited in claim 33 or 34 wherein said propellant comprises a liquefied gas component including a C₁-C₆ alkane or C₂-C₆ alkene.

37. The method as recited in claim 36 wherein said lower C₁-C₆ alkane is present and comprises propane, isobutane, and n-butane.

38. The method as recited in claim 33 or 34 wherein said propellant further comprises DME, and wherein said C₁-C₆ alkane is present said DME being present in a ratio by volume of DME:C₁-C₆ alkane of from 1:1 to 1:5.

39. The method as recited in claim 38 wherein said DME is present in a ratio by volume of DME:C₁-C₆ alkane of 1:2.

40. The method as recited in claim 33 or 34 wherein said surfactant has an HLB of 3.5-6.

41. The method as recited in claim 40 wherein said solid, lipophilic, non-ionic surfactant is a fatty alcohol ether.
42. The method as recited in claim 41 wherein said fatty alcohol ether comprises an alkoxyated fatty alcohol.
43. The method as recited in claim 42 wherein said fatty alcohol ether comprises cetyl alcohol having two moles of ethoxylation per molecule.
44. The method as recited in claim 42 wherein said fatty alcohol ether comprises stearyl alcohol having two moles of ethoxylation per molecule.
45. The method as recited in claim 40 wherein said solid, lipophilic, non-ionic surfactant is a fatty acid amide.
46. The method as recited in claim 45 wherein said fatty acid amide comprises an amide of stearic acid.
47. The method as recited in claim 40 wherein said solid, lipophilic, non-ionic surfactant is a fatty acid ester.
48. The method as recited in claim 47 wherein said fatty acid ester comprises an ethylene glycol ester of stearic acid.
49. The method as recited in claim 40 wherein said solid, lipophilic, non-ionic surfactant comprises ethoxyated cetyl alcohol, ethoxyated stearyl ether, and ethylene glycol distearate.

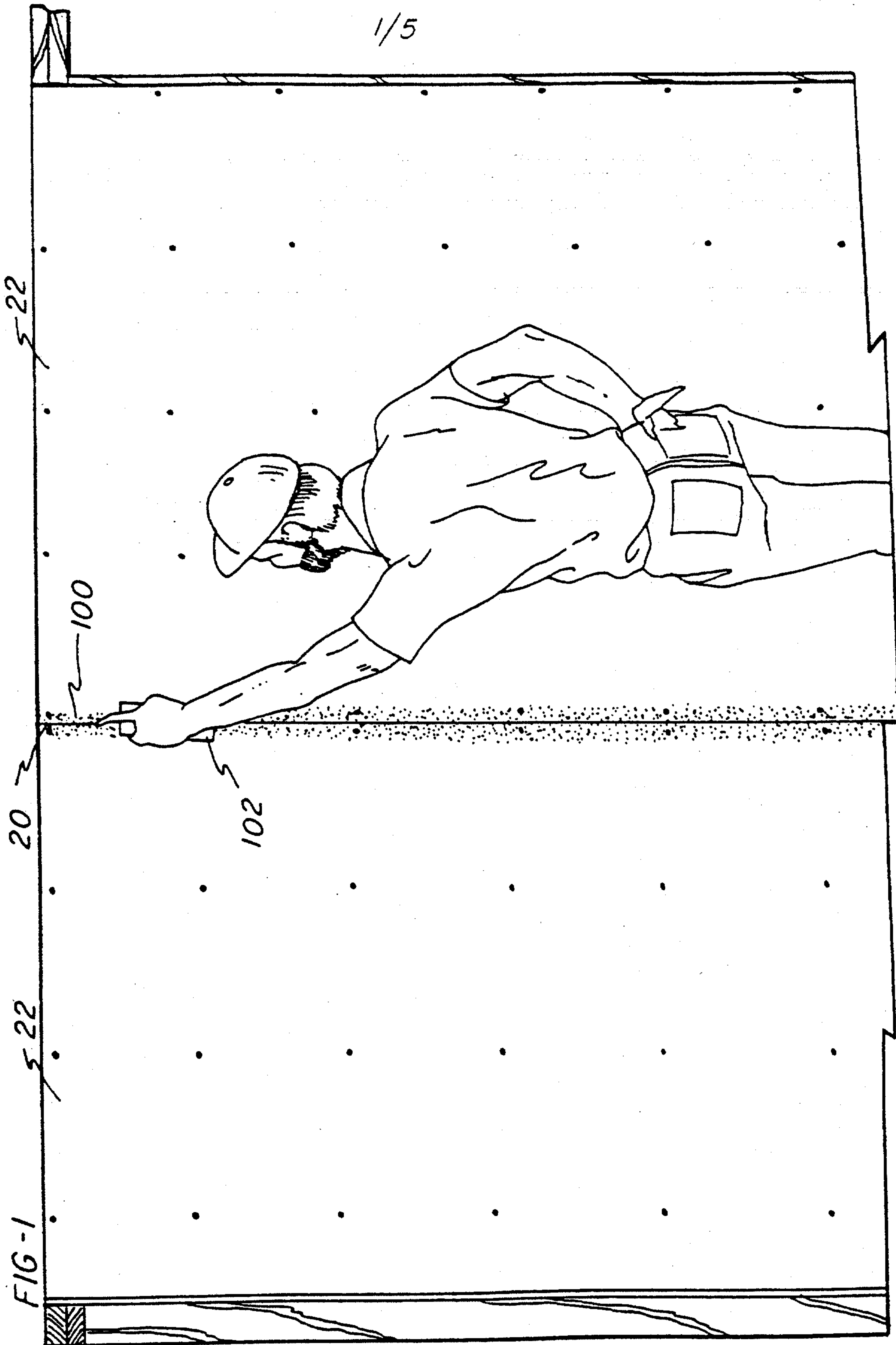


FIG-1

FIG - 2

2/5

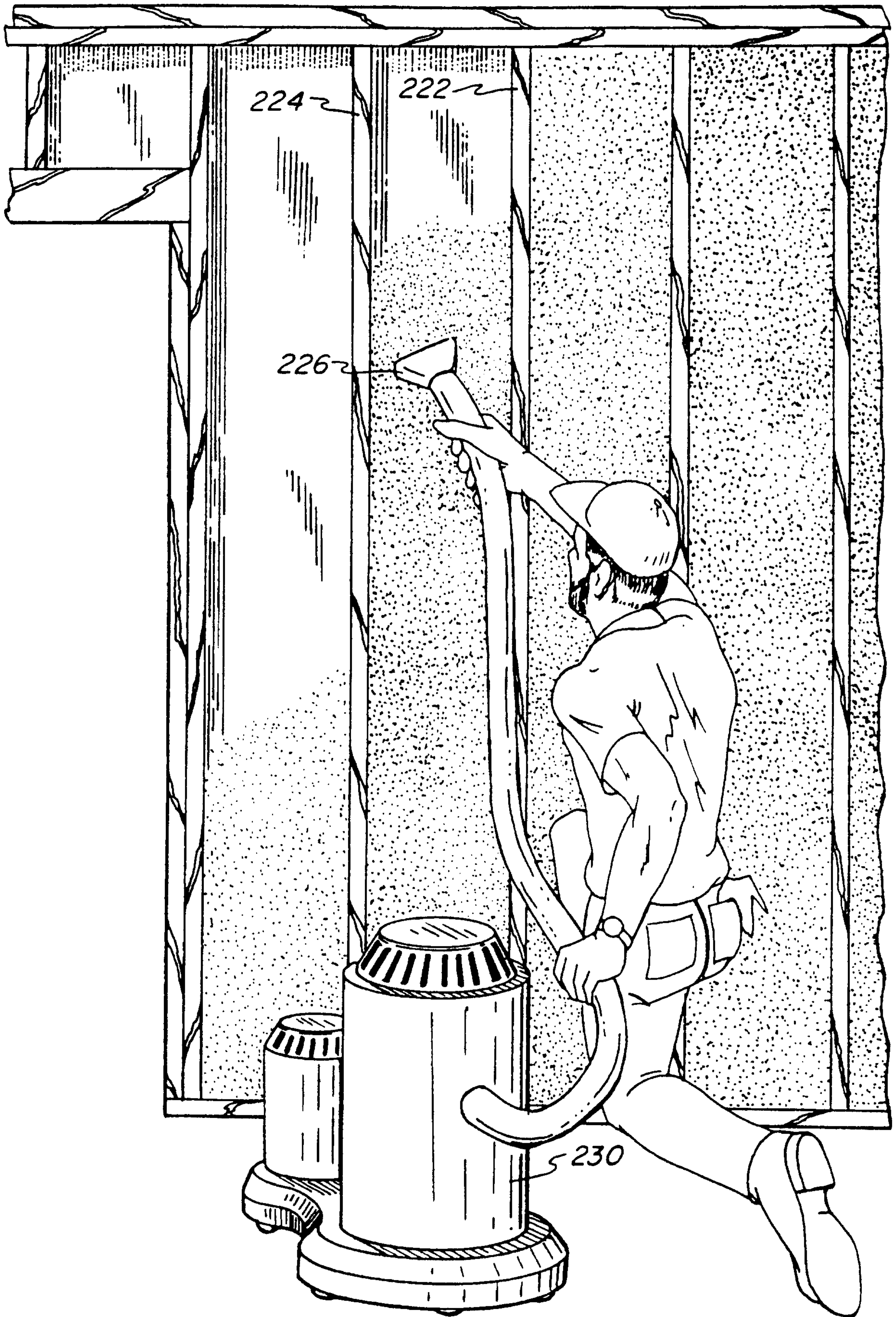


FIG - 3

3/5

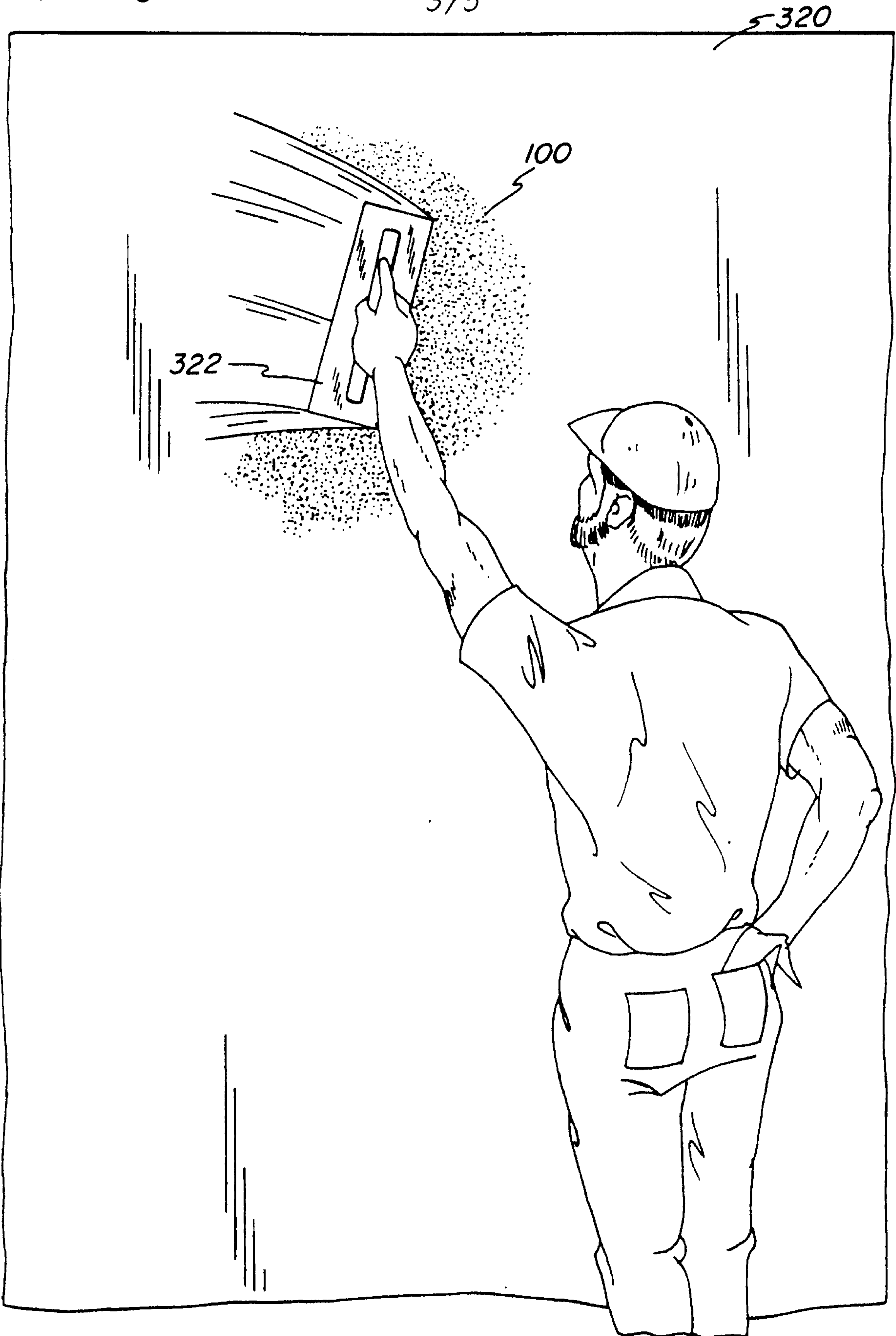


FIG - 4

4/5

