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- (71) **Applicants:** Merck Patent GmbH [DE/DE]; Frankfurter Strasse 250, 64293 Darmstadt (DE). AZ Electronic Materials (Luxembourg) S.à.r.l. [LU/LU]; 32-36, Boulevard d'Avranches, 1160 Luxembourg (LU).
- (72) **Inventors:** SUZUKI, Masayoshi; 2-104, Motoyoyogichou 36, Shibuya-Ku, Tokyo 151-0062 (JP). KISHIMOTO, Tadashi; 5-11-10-105 Hashimoto, Midori-ku, Sagami-hara-Shi, Kanagawa 252-0143 (JP). HIRAYAMA, Yuki; 4-6-9-1118 Oyamagaoka, Machida, Tokyo 194-0215 (JP). DERTINGER, Stephan; Sakura Estates Compound #16, 5-11-26 Nakamachi, Setagya, Tokyo 158-0091 (JP). NONAKA, Toshiaki; Narusegaoka 1-25-10, Machida 194-0011 (JP). YOKOYAMA, Daishi; 52 Choutakamachi, Kakegawa-City 436-0046 (JP).

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(54) **Title:** A PHOTSENSITIVE COMPOSITION AND COLOR CONVERTING FILM

(57) **Abstract:** The present invention relates to a photosensitive composition comprising at least one nanosized fluorescent material and polysiloxane, to a color conversion film, and to a use of the color conversion film in an optical device. The invention further relates to an optical device comprising the color conversion film and a method for preparing the color conversion film and the optical device.

## **A photosensitive composition and color converting film**

### Field of the Invention

5 The present invention relates to a photosensitive composition, and a color conversion film, and to a use of the color conversion film in an optical device. The invention further relates to an optical device comprising the color conversion film and method for preparing the color conversion film  
10 and the optical device.

### Background Art

15 A photo sensitive composition comprising a nanosized fluorescent material and polymer, color conversion film including a fluorescent material and optical devices comprising a light conversion film are used in a variety of optical applications especially for optical devices.

20 For example, as described in JP 2014-174406 A, WO 2014/129067 A1, WO 2012/059931A1, JP 3820633 B, EP 01403355 A, JP 2014-10398 A, EP 02056158 A, WO 2010/143461 A1,

### Patent Literature

- 25 1. JP 2014-174406 A  
2. WO 2014/129067 A1  
3. WO 2012/059931 A1  
4. JP 3820633 B  
30 5. JP 2014-10398 A  
6. EP 01403355 A  
7. EP 02056158 A  
8. WO 2010/143461 A1

35

Summary of the invention

However, the inventors newly have found that there is still one or more of considerable problems for which improvement is desired, as listed below.

- 5
1. A novel photosensitive composition comprising a nanosized fluorescent material such as quantum sized materials, which can prevent or reduce decreasing of light emission intensity of the nanosized fluorescent material caused by thermal damage in a  
10 fabrication process, is desired.
  2. A photosensitive composition comprising a nanosized fluorescent material, which can create a film having transparent characteristic in the visible light wavelength region, chemical stability, physical  
15 durability, is required.
  3. A photosensitive composition comprising a plural of nanosized fluorescent materials dispersing in the composition without any visible aggregation, and an optical film fabricated by using the photosensitive  
20 composition, having no visible aggregation of the plural of nanosized fluorescent materials such as quantum sized materials, is desired.

The inventors aimed to solve the one or more of aforementioned problems.  
25 Surprisingly, the inventors have found a novel photosensitive composition, wherein the photosensitive composition comprises at least one nanosized fluorescent material, polysiloxane, and a (meth)acrylic polymer, solves the problems 1 and 2 at the same time.

30 In another aspect, the inventors also have found a novel photosensitive composition, wherein the photosensitive composition comprises at least one nanosized fluorescent material, wetting and dispersing additive, polysiloxane, and solvent, solves the problems 2 and 3 at the same time.  
35

In some embodiments of the present invention, preferably, the photo sensitive composition is a negative type photosensitive composition comprising a polymerization initiator.

5 In another aspect, the invention relates to a color conversion film (100) comprising at least one nanosized fluorescent material (110), a polymer matrix (120) containing polysiloxane and (meth)acrylic polymer.

10 In another aspect, the present invention also relates to a color conversion film (100) comprising at least one nanosized fluorescent material (110), wetting and dispersing additive (130), and a polymer matrix (120) including a polysiloxane.

15 In another aspect, the invention relates to use of the color conversion film (100) in an optical device.

20 In another aspect, the invention further relates to an optical device (200) comprising the color conversion film (100).

In another aspect, the present invention furthermore relates to method for preparing the color conversion film (100), wherein the method comprises  
25 following steps (a) and (b) in this sequence;

(a) providing the photosensitive composition onto a substrate

30 (b) baking the photosensitive composition

In another aspect, the present invention furthermore relates to method for preparing the optical device (200), wherein the method comprises  
35 following step (A);

(A) providing the color conversion film (100) in an optical device

Further advantages of the present invention will become evident from the following detailed description.

Description of drawings

5

Fig. 1: shows a cross sectional view of a schematic of one embodiment of a color conversion film (100).

10

Fig. 2: shows a cross sectional view of a schematic of another embodiment of a color conversion film of the invention.

15

Fig. 3: shows a cross sectional view of a schematic of one embodiment of an optical device of the invention.

Fig. 4: shows a cross sectional view of a schematic of another embodiment of an optical device of the invention.

20

Fig. 5: shows a cross sectional view of a schematic of another embodiment of an optical device of the invention.

25

Fig. 6: shows a cross sectional view of a schematic of another embodiment of an optical device of the invention.

Fig. 7: shows a cross sectional view of a schematic of another embodiment of an optical device of the invention.

30

List of reference signs in figure 1

100. a color conversion film

110. a nanosized fluorescent material

120. a polymer matrix

35

130. a light scattering particle (optional)

140. a coloring agent (optional)

150. a black matrix (optional)

List of reference signs in figure 2

5

200. a color conversion film

210. a nanosized fluorescent material

220. a polymer matrix

230. a light scattering particle (optional)

240. a coloring agent (optional)

10

List of reference signs in figure 3

300. an optical device

310. a color conversion film

15

311. a nanosized fluorescent material

312. a polymer matrix

313. a light scattering particle (optional)

314. a coloring agent (optional)

315. a black matrix (optional)

20

320. a light modulator

321. a polarizer

322. an electrode

323. a liquid crystal layer

25

330. a light source

331. a LED light source

332. a light guiding plate (optional)

30

List of reference signs in figure 4

400. an optical device

410. a color conversion film

411. a nanosized fluorescent material

412. a polymer matrix

35

413. a light scattering particle (optional)

414. a coloring agent (optional)

415. a black matrix (optional)  
420. a light modulator  
421. a polarizer  
422. an electrode  
5 423. a liquid crystal layer  
430. a light source  
431. a LED light source  
432. a light guiding plate (optional)  
10 440. a color filter (optional)

List of reference signs in figure 5

500. an optical device  
15 510. a color conversion film  
511. a nanosized fluorescent material  
512. a polymer matrix  
513. a light scattering particle (optional)  
514. a coloring agent (optional)  
20 520. a light modulator  
521. a polarizer  
522. an electrode  
523. a liquid crystal layer  
25 530. a light source  
540. a color filter

List of reference signs in figure 6

- 30 600. an optical device  
610. a color conversion film fabricated into a light modulator  
611. a nanosized fluorescent material  
612. a polymer matrix  
613. a light scattering particle (optional)  
35 614. a coloring agent (optional)  
620. a light modulator

621. a transparent substrate  
622. a transparent electrode  
623. a LC layer (doped with Dichroic Dye)  
624. a transparent pixel electrode  
5 625. a TFT (Thin film transistor)  
630. a light source

List of reference signs in figure 7

- 10 700. an optical device  
710. a color conversion film  
711. a nanosized fluorescent material  
712. a polymer matrix  
15 713. a light scattering particle (optional)  
714. a coloring agent (optional)  
715. a black matrix (optional)  
720. a light modulator  
721. a transparent substrate  
20 722. a TFT (Thin film transistor)  
723. MEMS (Micro Electro Mechanical Systems) Shutter  
730. a light source  
731. a LED light source  
25 732. a light guiding plate (optional)

Detailed Description of the invention

In one aspect of the present invention, a photosensitive composition,  
30 wherein the photosensitive composition comprises at least one nanosized  
fluorescent material, polysiloxane, and a (meth)acrylic polymer, is provided  
by the inventors to solve the problems 1 and 2 at the same time.

In a preferred embodiment of the present invention, photosensitive  
35 composition can further comprises one or more solvents.



In another aspect, the inventors also have found a novel photosensitive composition, wherein the photosensitive composition comprises at least one nanosized fluorescent material, wetting and dispersing additive, polysiloxane, and solvent, solves the problems 2 and 3 at the same time.

5

- Solvents

According to the present invention, a wide variety of publically known solvents can be used preferably. There are no particular restrictions on the solvent as long as it can homogeneously dissolve or disperse the above polysiloxane, the polymerization initiator, and additives incorporated optionally.

10

15

In a preferred embodiment of the present invention, the solvent can be selected from the group consisting of ethylene glycol monoalkyl ethers, such as, ethylene glycol monomethyl ether, ethylene glycol monoethyl ether, ethylene glycol monopropyl ether, and ethylene glycol monobutyl ether; diethylene glycol dialkyl ethers, such as, diethylene glycol dimethyl ether, diethylene glycol diethyl ether, diethylene glycol dipropyl ether, and diethylene glycol dibutyl ether; ethylene glycol alkyl ether acetates, such as, methyl cellosolve acetate and ethyl cellosolve acetate; propylene glycol alkyl ether acetates, such as, propylene glycol monomethyl ether acetate (PGMEA), propylene glycol monoethyl ether acetate, and propylene glycol monopropyl ether acetate; aromatic hydrocarbons, such as, benzene, toluene and xylene; ketones, such as, methyl ethyl ketone, acetone, methyl amyl ketone, methyl isobutyl ketone, and cyclohexanone; alcohols, such as, ethanol, propanol, butanol, hexanol, cyclohexanol, ethylene glycol, and glycerin; esters, such as, ethyl 3-ethoxypropionate, methyl 3-methoxypropionate and ethyl lactate; and cyclic esters, such as,  $\gamma$ -butyrolactone. Those solvents are used singly or in combination of two or more, and the amount thereof depends on the coating method and the thickness of the coating.

20

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35

More preferably, propylene glycol alkyl ether acetates, such as, propylene glycol monomethyl ether acetate (hereafter "PGMEA"), propylene glycol monoethyl ether acetate, or propylene glycol monopropyl ether acetate can be used.

5 Even more preferably, PGMEA can be used.

The amount of the solvent in the photosensitive composition can be freely controlled according to the method of coating the composition. For  
10 example, if the composition is to be spray-coated, it can contain the solvent in an amount of 90 wt. % or more. Further, if a slit-coating method, which is often adopted in coating a large substrate, is to be carried out, the content of the solvent is normally 60 wt. % or more, preferably 70 wt. % or  
15 more.

- Nanosized fluorescent materials

In a preferred embodiment of the present invention, the nanosized fluorescent material can be selected from the group consisting of  
20 nanosized inorganic phosphor material, quantum sized material such as quantum dot and or quantum rod and a combination of any of these.

Without wishing to be bound by theory, it is believed that the nanosized  
25 fluorescent material can be used in a higher concentration ratio due to size effect and also may realize sharp vivid color(s) of the color conversion film.

More preferably, the nanosized fluorescent material is a quantum sized  
30 material, which preferably being of quantum dot material, quantum rod material or a combination of any of these.

According to the present invention, the term "nanosized" means the size in  
35 between 1 nm and 900 nm.

Thus, according to the present invention, the nanosized fluorescent material is taken to mean that the fluorescent material which size of the overall diameter is in the range from 1 nm to 900 nm. And in case of the material has elongated shape, the length of the overall structures of the fluorescent material is in the range from 1 nm to 900 nm.

According to the present invention, the term "quantum sized" means the size of the inorganic semiconductor material itself without ligands or another surface modification, which can show the quantum size effect.

Generally, quantum sized material such as quantum dot material, and / or quantum rod material can emit sharp vivid colored light due to quantum size effect.

As a quantum dot, publically available quantum dot, for example from Sigma-Aldrich, can be used preferably as desired.

Without wishing to be bound by theory, it is believed that light luminescence from dipole moment of the nanosized fluorescent material having elongated shape may lead higher out-coupling efficiency than the out-coupling efficiency of spherical light emission from quantum dot, organic fluorescent material, and / or organic phosphorescent material, phosphor material.

In other words, it is believed that the long axis of the nanosized fluorescent materials having elongated shape such as quantum rods can align parallel to a substrate surface on average with higher probability and their dipole moments also can align parallel to the substrate surface on average with higher probability.

35

According to the present invention, to realize sharp vivid color(s) of the device and better out-coupling effect at the same time, quantum rod materials are more preferable.

5 Thus, in some embodiments, the light converting material (130) can be selected from a quantum rod material to realize better out-coupling effect.

10 In a preferred embodiment of the present invention, the quantum rod material can be selected from the group consisting of II-VI, III-V, or IV-VI semiconductors and combinations of any of these.

15 More preferably, the quantum rod material can be selected from the groups consisting of Cds, CdSe, CdTe, ZnS, ZnSe, ZnTe, ZnO, GaAs, GaP, GaAs, GaSb, HgS, HgSe, HgTe, InAs, InP, InSb, AlAs, AlP, AlSb, Cu<sub>2</sub>S, Cu<sub>2</sub>Se, CuInS<sub>2</sub>, CuInSe<sub>2</sub>, Cu<sub>2</sub>(ZnSn)S<sub>4</sub>, Cu<sub>2</sub>(InGa)S<sub>4</sub>, TiO<sub>2</sub> alloys and combination of any of these.

20 For example, for red emission use, CdSe rods, CdSe dot in CdS rod, ZnSe dot in CdS rod, CdSe/ZnS rods, InP rods, CdSe/CdS rods, ZnSe/CdS rods or combination of any of these. For green emission use, such as CdSe rods, CdSe/ZnS rods, or combination of any of these, and for blue  
25 emission use, such as ZnSe, ZnS, ZnSe/ZnS core shell rods, or combination of any of these.

30 Examples of quantum rod material have been described in, for example, the international patent application laid-open No.WO2010/095140A.

35 In a preferred embodiment of the invention, the length of the overall structures of the quantum rod material is from 8 nm to 500 nm. More preferably, from 10 nm to 160 nm. The overall diameter of the said quantum rod material is in the range from 1 nm to 20 nm. More particularly, it is from 1 nm to 10 nm.

Preferably, the quantum sized inorganic semiconductor material such as quantum rod and / or quantum dot comprises a surface ligand.

5

The surface of the quantum rod and / or quantum dot materials can be over coated with one or more kinds of surface ligands.

10

Without wishing to be bound by theory it is believed that such a surface ligands may lead to disperse the quantum sized inorganic semiconductor material in a solvent more easily.

15

According to the present invention, preferably, the photosensitive composition is a green type photosensitive composition containing the plurality of the green visible light emittable nanosized fluorescent materials, red type photosensitive composition comprising the plurality of the red visible light emittable nanosized fluorescent materials, or white type photosensitive composition containing the plurality of the different kinds of nanosized fluorescent materials, such as the mixture of the green visible light emittable nanosized fluorescent materials and the red light emittable nanosized fluorescent materials.

20

- Dispersing additives

25

According to the present invention, preferably, the photosensitive composition further comprises a wetting and dispersing additive.

30

Without wishing to be bound by theory, it is believed that the dispersing additive may lead to fine dispersivity of the nanosized fluorescent material in the solvent of a siloxane polymer composition and a siloxane polymer composition.

35

Thus, in some embodiments of the present invention, the photosensitive composition further comprises a wetting and dispersing additive.

More preferably, the wetting and dispersing additive can be attached onto the surface of the nanosized fluorescent material. By using ligand exchange method, described in for example, Thomas Nann, Chem. Commun., 2005, 1735 – 1736, DOI: 10.1039/b-414807j, the wetting and dispersing additive can be introduced onto the surface of the nanosized fluorescent material.

Preferably, the wetting and dispersing additive includes a polymer unit.

More preferably, the polymer unit of the wetting and dispersing agent is a copolymer unit.

Furthermore preferably, the copolymer unit is a block copolymer unit.

In a preferred embodiment of the present invention, the wetting and dispersing additive can further comprises an anchoring group selected from the group consisting of phosphate, phosphine, phosphine oxide, phosphonate, thiol, amino, carboxylate, carboxylic ester, hetero cycle, silane, sulfonate, hydroxyl, salt of phosphate and amine, salt of phosphate and quaternary ammonium, salt of phosphate and imidazolium, salt of carboxylate and amine, salt of carboxylate and quaternary ammonium, salt of carboxylate and imidazolium, salt of sulfonate and amine, salt of sulfonate and quaternary ammonium, salt of sulfonate and imidazolium, salt of amine and halide, salt of quaternary ammonium and halide and a combination of any of these, with more preferably being amino, phosphate, carboxylate, salt of phosphate and amine, salt of phosphate and quaternary ammonium, salt of amine and halide or a combination of any of these.

Furthermore preferably, the wetting and dispersing additive is a copolymer or block copolymer comprising the anchoring group selected from the group consisting of phosphate, phosphine, phosphine oxide, phosphonate, thiol, amino, carboxylate, carboxylic ester, hetero cycle, silane, sulfonate,

hydroxyl and a combination of any of these, with more preferably being amino, phosphate, carboxylate, or a combination of any of these.

5 As the wetting and dispersing additives, commercially available wetting and dispersing additives which can be solved in polar solvent can be used preferably. Such as Disperbyk-100, Disperbyk-2000 series for example, Disperbyk – 180, Disperbyk- 2000, 2001, 2009 ([trademark], from BYK com.)

10

According to the present invention, the photosensitive composition can be either a positive-type photosensitive composition or a negative-type photosensitive composition.

15

- Positive-type photosensitive composition

20

The photosensitive composition according to the present invention can further comprise a positive-type photosensitive material so that the photosensitive composition can function as a positive-type photosensitive composition.

25

- Positive-type photosensitive material

If the photosensitive material has an effect on the photosensitive composition of the present invention to make it developable so that the composition spread in an exposed area can be soluble in an alkali developer, the photosensitive composition containing the photosensitive material serves as a positive-type photosensitive composition.

30

Preferred examples of the photosensitive material having the above effect include diazonaphthoquinone derivatives, which are esters of phenolic hydroxyl-containing compounds with naphtha-

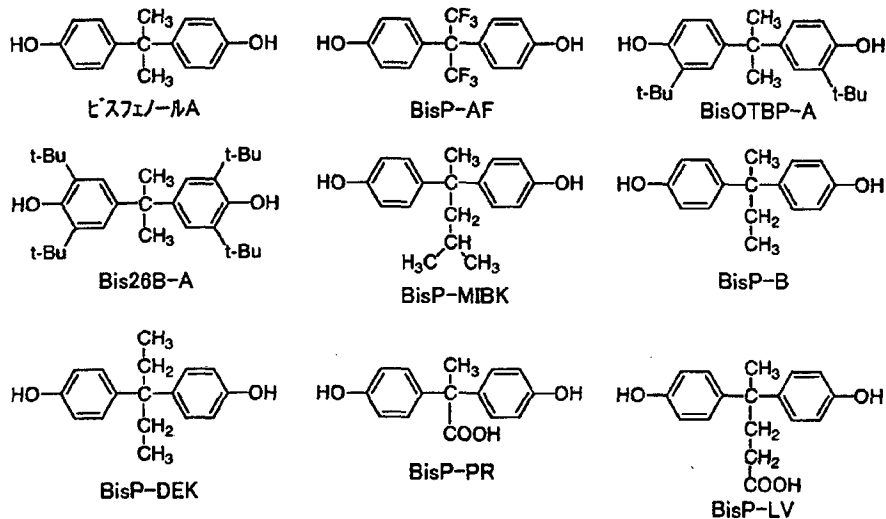
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quinonediazidesulfonic acids. There are no particular restrictions on the structure of the diazonaphthoquinone derivative, the derivative is preferably an ester compound derived from a compound having one or more

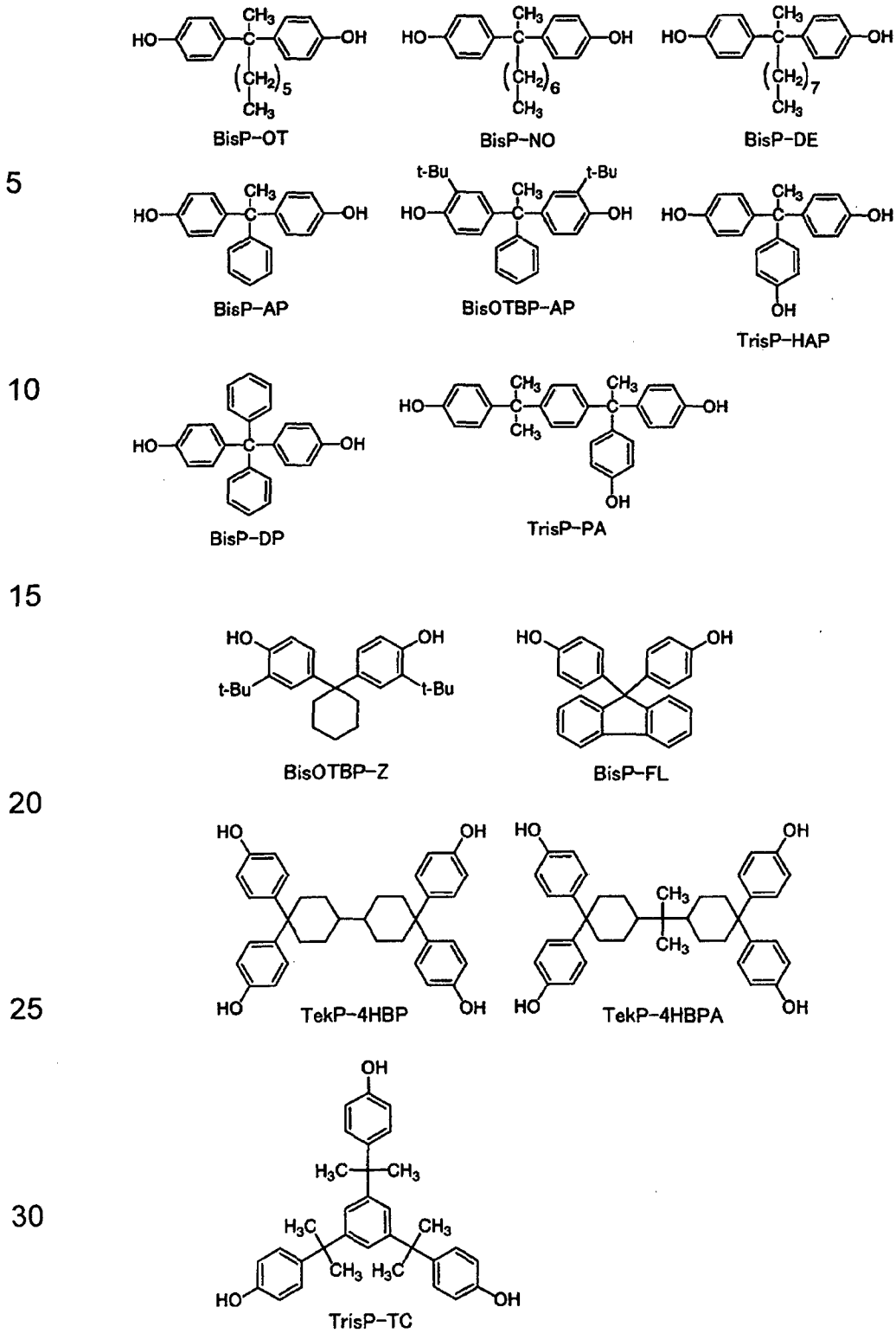
phenolic hydroxyl groups. Examples of the naphthoquinonediazidesulfonic acids include 4-naphthoquinonediazidesulfonic acid and 5-naphthoquinonediazidesulfonic acid. Since having an absorption band in the wavelength range of i-line light (wavelength: 365 nm), the 4-naphthoquinonediazidesulfonic ester compound is suitable for i-line light exposure. On the other hand, since having an absorption band in a wide wavelength range, the 5-naphthoquinonediazidesulfonic ester compound is suitable for exposure in a wide wavelength range.

Accordingly, it is preferred to select 4- or 5-naphthoquinonediazidesulfonic ester compound according to the wavelength for exposure. It is also possible to use both of them in combination.

There are no particular restrictions on the phenolic hydroxyl-containing compound. Examples thereof are shown as follows (in which all the compound names except "bisphenol A" are trade names [trademark] manufactured by HONSHU CHEMICAL INDUSTRY CO., LTD.).







35

- Negative-type photosensitive composition

According to the present invention, if the photosensitive composition does not contain any positive-type photosensitive composition, then the photosensitive composition serves as a negative-type photosensitive composition.

5

In some embodiments of the present invention, preferably, the photosensitive composition is a negative type photosensitive composition comprising a polymerization initiator.

10

- Polymerization initiator

The photosensitive siloxane composition of the present invention preferably contains the polymerization initiator. Generally, there are two kinds of polymerization initiators which can be used in the present invention: one is a polymerization initiator generating an acid, base, or radical when exposed to radiation, and the other is a polymerization initiator generating an acid, base or radical when exposed to heat.

15

20

Without wishing to be bound by theory, it is believed that the polymerization initiator can reinforce the pattern shape or can increase contrast in development to improve the resolution. The polymerization initiator adoptable in the present is, for example, a photo acid-generator, which decomposes when exposed to radiation and releases an acid serving as an active substance for photo-curing the composition; a photo radical – generator, which releases a radical; a photo base-generator, which releases a base; a heat acid-generator, which decomposes when exposed to heat and releases an acid serving as an active substance for heat-curing the composition; a heat radical – generator, which releases a radical; and a heat base-generator, which releases a base. Examples of the radiation include visible light, UV rays, IR rays, X-rays, electron beams,  $\alpha$ -rays and  $\gamma$ -rays.

25

30

35

5 The optimal amount of the polymerization initiator depends on the kind of the active substance released from the decomposed initiator, on the amount of the released substance, on the required sensitivity and on the dissolution contrast between the exposed and unexposed areas.

10 In a preferred embodiment of the present invention, the amount of the polymerization initiator is in the range from 0.001 to 10 weight parts, more preferably 0.01 to 5 weight parts, based on 100 weight parts of the polysiloxane. More than 0.001 weight part is preferable to realize the better dissolution contrast between the exposed and unexposed areas and to obtain the effect of the initiator. On the other hand, less than 10 weight parts of the polymerization initiator is preferable to prevent cracks of the  
15 fabricated color conversion film (100), or coloring of the fabricated film caused by decomposition of the initiator and to realize good resistance of the coating against a photoresist remover.

20 Examples of the above photo acid-generator include diazomethane compounds, diphenyliodonium salts, triphenylsulfonium salts, sulfonium salts, ammonium salts, phosphonium salts and sulfonamide compounds. The structures of those photo acid-generators can be represented by the formula (A):

25



30 Wherein the formula (A),  $R^+$  is hydrogen or an organic ion modified by carbon atoms or other hetero atoms provided that the organic ion is selected from the group consisting of alkyl groups, aryl groups, alkenyl groups, acyl groups and alkoxy groups. For example,  $R^+$  is diphenyliodonium ion or triphenylsulfonium ion.

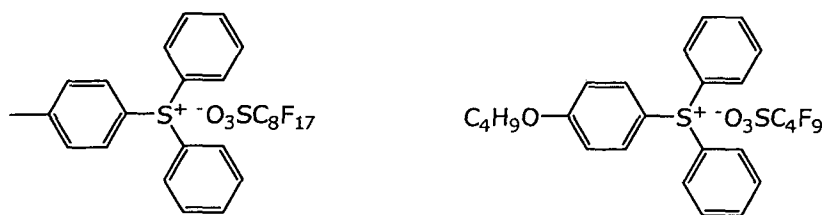
35 Further,  $X^-$  is preferably a counter ion represented by any of the following formulas:

- $\text{SbY}_6^-$ ,  
 $\text{AsY}_6^-$ ,  
 $\text{R}^a_p\text{PY}_{6-p}^-$ ,  
 $\text{R}^a_q\text{BY}_{4-q}^-$ ,  
 $\text{R}^a_q\text{GaY}_{4-q}^-$ ,  
 $\text{R}^a\text{SO}_3^-$ ,  
 $(\text{R}^a\text{SO}_2)_3\text{C}^-$ ,  
 $(\text{R}^a\text{SO}_2)_2\text{N}^-$ ,  
 $\text{R}^a\text{COO}^-$ , and  
 $\text{SCN}^-$   
 in which  
 Y is a halogen atom,  
 $\text{R}^a$  is an alkyl group of 1 to 20 carbon atoms or an aryl group of 6 to 20  
 carbon atoms provided that each group is substituted with a substituent  
 group selected from the group consisting of fluorine, nitro group and  
 cyano group,  
 $\text{R}^b$  is hydrogen or an alkyl group of 1 to 8 carbon atoms,  
 P is a number of 0 to 6, and  
 q is a number of 0 to 4.
- Concrete examples of the counter ion include:  $\text{BF}_4^-$ ,  $(\text{C}_6\text{F}_5)_4\text{B}^-$ ,  
 $((\text{CF}_3)_2\text{C}_6\text{H}_3)_4\text{B}^-$ ,  $\text{PF}_6^-$ ,  $(\text{CF}_3\text{CF}_2)_3\text{PF}_3^-$ ,  $\text{SbF}_6^-$ ,  $(\text{C}_6\text{F}_5)_4\text{Ga}^-$ ,  $((\text{CF}_3)_2\text{C}_6\text{H}_3)_4\text{Ga}^-$ ,  
 $\text{SCN}^-$ ,  $(\text{CF}_3\text{SO}_2)_3\text{C}^-$ ,  $(\text{CF}_3\text{SO}_2)_2\text{N}^-$ , formate ion, acetate ion,  
 trifluoromethanesulfonate ion, nonafluorobutanesulfonate ion, methane-  
 sulfonate ion, butanesulfonate ion, benzenesulfonate ion, p-  
 toluenesulfonate ion, and sulfonate ion.

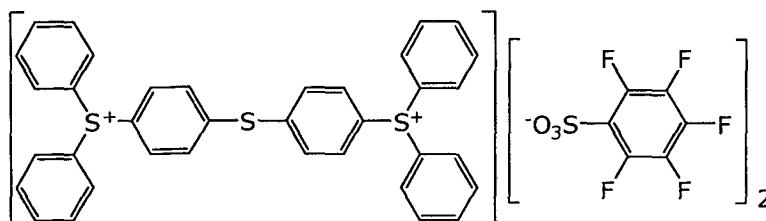
Among the photo acid-generators usable in the present invention, those  
 generating sulfonic acids or boric acids are particularly preferred.  
 Examples thereof include tricumyliodonium tetrakis(pentafluorophenyl)-  
 borate (PHOTOINITIATOR2074 [trademark], manufactured by Rhodorsil),

diphenyliodonium tetra(perfluorophenyl)borate, and a compound having sulfonium ion and pentafluoroborate ion as the cation and anion moieties, respectively. Further, examples of the photo acid-generators also include triphenylsulfonium trifluoromethanesulfonate, triphenylsulfonium camphor-sulfonate, triphenylsulfonium tetra(perfluorophenyl)borate, 4-  
 5 acetoxypenyldimethylsulfonium hexafluoroarsenate, 1-(4-n-butoxynaphthalene-1-yl)tetrahydrothiophenium trifluoromethanesulfonate, 1-(4,7-dibutoxy-1-naphthalenyl)tetrahydrothiophenium tri-  
 10 fluoromethanesulfonate, diphenyliodonium trifluoromethanesulfonate, and diphenyliodonium hexafluoroarsenate. Furthermore, it is still also possible to adopt photo acid-generators represented by the following formulas:

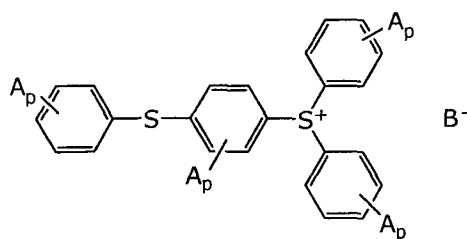
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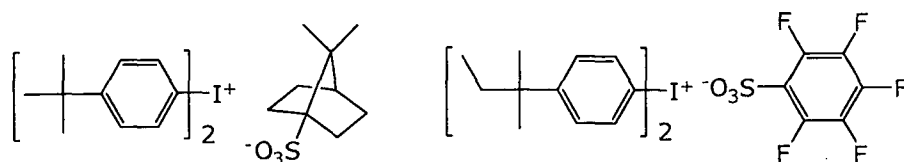
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in which

each A is independently a substituent group selected from the group consisting of an alkyl group of 1 to 20 carbon atoms, an alkoxy group of 1 to 20 carbon atoms, an aryl group of 6 to 20 carbon atoms, an alkylcarbonyl group of 1 to 20 carbon atoms, an arylcarbonyl group of 6 to 20 carbon atoms, hydroxyl group, and amino group;

5

each p is independently an integer of 0 to 5; and

B<sup>-</sup> is a fluorinated alkylsulfonate group, a fluorinated arylsulfonate group, a fluorinated alkylborate group, an alkylsulfonate group or an arylsulfonate group.

10

It is also possible to use photo acid-generators in which the cations and anions in the above formulas are exchanged each other or combined with various other cations and anions described above. For example, any one of the sulfonium ions represented by the above formulas can be combined with tetra(perfluorophenyl)borate ion, and also any one of the iodonium ions represented by the above formulas can be combined with tetra(perfluorophenyl)borate ion. Those can be still also employed as the photo acid-generators.

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The heat acid-generator is, for example, a salt or ester capable of generating an organic acid. Examples thereof include: various aliphatic sulfonic acids and salts thereof; various aliphatic carboxylic acids, such as, citric acid, acetic acid and maleic acid, and salts thereof; various aromatic carboxylic acids, such as, benzoic acid and phthalic acid, and salts thereof; aromatic sulfonic acids and ammonium salts thereof; various amine salts; aromatic diazonium salts; and phosphonic acid and salts thereof. Among the heat acid-generators usable in the present invention, salts of organic acids and organic bases are preferred, and further preferred are salts of sulfonic acids and organic bases.

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Examples of the preferred heat acid-generators containing sulfonate ions include p-toluenesulfonates, benzenesulfonates, p-dodecylbenzenesulfonates, 1,4-naphthalenedisulfonates, and methanesulf

5 Examples of the photo radical-generator include azo compounds, peroxides, acyl phosphine oxides, alkyl phenons, oxime esters, and titanocenes.

10 According to the present invention, as the photo radical-generator, acyl phosphine oxides, alkyl phenons, oxime esters, or a combination of any of these are more preferable. For examples, 2,2-dimethoxy-1,2-diphenylethane-1-one, 1-hydroxy-cyclohexylphenylketone, 2-hydroxy-2-methyl-1-phenylpropan-1-one, 1-[4-(2-hydroxyethoxy)phenyl]-2-hydroxy-2-methyl-1-propan-1-one, 2-hydroxy-1-[4-[4-(2-hydroxy-2-methylpropionyl)benzyl]phenyl]-2-methylpropan-1-one, 2-methyl-1-(4-methylthiophenyl)-2-morpholinopropan-1-one, 2-benzyl-2-dimethylamino-1-(4-morpholinophenyl)-1-butanone, 2-(dimethylamino)-2-[(4-methylphenyl)methyl]-1-[4-(4-morpholinyl)phenyl]-1-butanone, 2,4,6-trimethylbenzoyl-diphenylphosphine oxide, bis(2,4,6-trimethylbenzoyl)phenylphosphine oxide, 1,2-octanedione 1-[4-(phenylthio)-2-(o-benzoyl oxime)], ethanone 1-[9-ethyl-6-(2-methylbenzoyl)-9H-carbazole-3-yl]-1-(o-acetyl oxime) or a combination of any of these can be used preferably.

As the examples of the heat radical-generator, 2,2'-azobis(2-methylvaleronitrile), 2,2'-azobis(dimethylvaleronitrile) or a combination of any of these can be used preferably.

Examples of the photo base-generator include multi-substituted amide compounds having amide groups, lactams, imide compounds, and compounds having those structures.

5 Examples of the above heat base-generator include: imidazole derivatives, such as, N-(2-nitrobenzyloxycarbonyl)imidazole, N-(3-nitrobenzyloxycarbonyl)imidazole, N-(4-nitrobenzyloxycarbonyl)imidazole, N-(5-methyl-2-nitrobenzyloxycarbonyl)imidazole, and N-(4-chloro-2-nitrobenzyloxycarbonyl)imidazole; 1,8-diazabicyclo(5,4,0)undecene-7, tertiary amines, quaternary ammonium salts, and mixture thereof. Those base-generators as well as the acid-generators and / or radical – generators can be used singly or in mixture.

10

According to the present invention, a polymerization initiator generating an acid, base, or radical when exposed to radiation can be used preferably.

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Thus, in a preferred embodiment of the present invention, the polymerization initiator can be selected from the group consisting of a photo radical-generator, photo base-generator, photo acid-generator, and a combination of any of these.

20

More preferably, the polymerization initiator can be a photo radical-generator.

25 - (Meth)acrylic polymers

In some embodiments of the present invention, preferably, the photosensitive composition comprises a (meth)acrylic polymer.

30

According to the present invention, the term “(meth)acrylic polymer” means a general term of polymer obtained by polymerization of monomers selected from the group consisting of acrylic acid, methacrylic acid, acrylate, methacrylate, and a combination of any of these.

35



The (meth)acrylic polymer of the present invention, can further comprise another monomer unit which is not described in above, in the range of the (meth)acrylic polymer can show the effect of the present invention.

5 As the (meth)acrylic polymer, publically known one or more of (meth)acrylic polymers can be used.

10 In some embodiments of the present invention, preferably, the (meth)acrylic polymer comprises a (meth)acrylic unit including an acid group.

15 In a preferred embodiment of the present invention, the (meth)acrylic unit including an acid group can be a (meth)acrylic unit including a side chain selected from the group consisting of carboxyl group, sulfo group, or phenol type hydroxyl group.

20 Without wishing to be bound by theory, it is believed that the (meth)acrylic polymer which includes a (meth)acrylic unit including an acid group may lead to better solubility of the uncured part of the photosensitive composition to a developer.

25 According to the present invention, the number of the acid group is not particularly limited. From reconcile better reactivity and storage stability of the photosensitive composition, the acid value of the (meth)acrylic polymer is in the range from 5 to 500 mg KOH/g preferably. More preferably, it is  
30 from 50 mg KOH/g to 300 mg KOH/g.

35 And in some embodiments of the present invention, preferably, the (meth)acrylic polymer further comprises a silane modified (meth)acrylic unit.

As the examples of the silane modified (meth)acrylic unit, siloxy group and / or silanol group substituted (meth)acrylic unit, (meth)acrylic unit fabricated by reaction with a silane coupling agent including carbon-carbon unsaturated bond, silicone oligomer, silicone oil can be used preferably.

More preferably, a copolymer made from silane coupling agent and (meth)acrylic polymers having a (meth)acrylic unit including an acid group can be used preferably.

Here, as the examples of the silane coupling agent, KBM-1003, KME-1003, KBM-1403 or KBM-5103 (from Shinetsu. Co.), and as the examples of the silicone oil, X-22-174DX, X-22-2426, X-22-2475, or X-22-1602 (from Shinetsu. Co.) can be used preferably.

By changing the molar ratio of (meth)acrylic unit including an acid group and the silane modified (meth)acrylic unit in the (meth)acrylic polymer, solubility of the (meth)acrylic polymer in an alkali developer can be adjusted as desired.

In a preferred embodiment of the present invention, the molar ratio of the (meth)acrylic unit including an acid group and the silane modified (meth)acrylic unit in the (meth)acrylic polymer can be from 95 : 5 ((meth)acrylic unit including an acid group : the silane modified (meth)acrylic unit) to 30 : 70 ((meth)acrylic unit including an acid group : the silane modified (meth)acrylic unit), from the view point of better solubility in an alkali developer, good development property of the photosensitive composition comprising at least one nanosized fluorescent material, a (meth)acrylic polymer and a wetting and dispersing agent.

More preferably, it can be from 90 : 10 ((meth)acrylic unit including an acid group : the silane modified (meth)acrylic unit) to 50 : 50 ((meth)acrylic unit including an acid group : the silane modified (meth)acrylic unit).

5 According to the present invention, the number of the unsaturated bond of the silane modified (meth)acrylic unit is not particularly limited. From reconcile better reactivity and compatibility with another ingredients of the photosensitive composition, the value of double bond equivalent (ethylenically unsaturated bond equivalent) in the (meth)acrylic polymer is in the range from 1 to 500 g / eq preferably.

10 In some embodiments of the present invention, preferably, a silane modified (meth)acrylic polymer, (meth)acrylic polymer which includes a repeating unit containing acid group can be used singly or in a mixture to realize good polymerization reaction with polysiloxane.

15 As the examples of the silane modified (meth)acrylic polymer, siloxy group and / or silanol group substituted (meth)acrylic polymers, (meth)acrylic polymers reacted with a silane coupling agent including carbon-carbon unsaturated bond, silicone oligomer, or silicone oil can be used preferably.

20 Without wishing to be bound by theory, it is believed that the silane modified (meth)acrylic polymer may lead to improved solubility of the polysiloxane.

25 More preferably, a copolymer made from silane coupling agent and (meth)acrylic polymers can be used.

30 Here, as the examples of the silane coupling agent, KBM-1003, KME-1003, KBM-1403 or KBM-5103 (from Shinetsu. Co.), and as the examples of the silicone oil, X-22-174DX, X-22-2426, X-22-2475, or X-22-1602 (from Shinetsu. Co.) can be used preferably.

35 According to the present invention, the number of the unsaturated bond is not particularly limited. From reconcile better reactivity and compatibility with siloxane, the value of double bond equivalent (ethylenically

unsaturated bond equivalent) in the (meth)acrylic polymer is in the range from 10 to 500 g / eq preferably.

5 As the (meth)acrylic polymer which includes a repeating unit containing acid group, (meth)acrylic polymer including a side chain selected from the group consisting of carboxyl group, sulfo group, or phenol type hydroxyl group.

10 Without wishing to be bound by theory, it is believed that the (meth)acrylic polymer which includes a repeating unit containing acid group may lead to better solubility of the uncured part of the photosensitive composition to a developer.

15 According to the present invention, the number of the acid group is not particularly limited. From reconcile better reactivity and storage stability of the photosensitive composition, the acid value of the (meth)acrylic polymer is in the range from 50 to 500 mg KOH/g preferably.

20 According to the present invention, the weight-average molecular weight of the (meth)acrylic polymer is not particularly limited. Preferably, it is in the range from 2,000 – 100,000, more preferably, it is in  
25 the range from 3,000 – 30,000.

In a preferred embodiment of the present invention, the blending ratio of the (meth)acrylic polymer and the polysiloxane is not particularly limited.

30 From the view point of better thermal stability, transparency, and chemical stability of the color conversion film, the blending ratio of the (meth)acrylic polymer and the polysiloxane can be from 90 : 10 ((meth)acrylic polymer : polysiloxane) to 10 : 90 preferably.

35 More preferably, it is in the range from 75: 25 to 25: 75.

Thus, in some embodiment of the present invention, the (meth)acrylic polymer is selected from the group consisting of a (meth)acrylic polymer including an acid group, a silane modified (meth)acrylic polymer and a combination of any of these.

5

- Chemical compound including two or more of (meth)acryloyl groups  
In some embodiments of the present invention, preferably, the photosensitive composition further comprises a chemical compound including two or more of (meth)acryloyl groups.

10

According to the present invention, the term "(meth)acryloyl group" means a general term of acryloyl group and methacryloyl group.

15

The chemical compound including two or more of (meth)acryloyl groups can react the polysiloxane and the (meth)acrylic polymer, and then can create a crosslinking structure.

20

Preferably, the chemical compound comprises three or more of (meth)acryloyl groups to create a higher dimension crosslinking structure together with the polysiloxane and the (meth)acrylic polymer.

As examples of the chemical compound including two or more of (meth)acryloyl groups, esters formed by reacting of a polyol and two or more of (meth)acrylic acid can be used preferably in the present invention.

25

According to the present invention, the polyol has a basic structure selected from the group consisting of a saturated or unsaturated aliphatic hydrocarbon, aromatic hydrocarbon, heterocyclic hydrocarbon, primary, secondary, or tertiary amine, ether, and two or more of substituents of hydroxyl group. The polyols of the present invention can further include additional substituent like disclosed in for example, JP 2014-114176.

30  
35

As publically available functional acrylates, bifunctional acrylates, multifunctional acrylates such as A-DOD, A-DCP, A-9300 (from Shin-Nakamura Chemical Co., Ltd.) can be used singly or in mixture preferably.

5 In a preferred embodiment of the present invention, the amount of the chemical compound comprises three or more of (meth)acryloyl groups is in the range from 0.001 to 90 weight parts based on 100 weight parts of the polysiloxane, more preferably 3 to 60 weight parts to realize better  
10 solubility with other polymers used in the photosensitive composition of the present invention. Even more preferably, it is in the range from 5 to 50 weight parts based on 100 weight parts of the polysiloxane.

15 - Polysiloxane

In some embodiments of the present invention, preferably, the polysiloxane comprises silsesquioxane unit represented by following chemical formula I:

20  $(\text{RSiO}_{1.5})_x$  - Chemical formula I

(wherein the chemical formula I, R is non-hydrolysable group selected from the group consisting of hydrogen, substituted or unsubstituted alkyl  
25 group, substituted or unsubstituted aryl group substituted or unsubstituted aralkyl group, and substituted or unsubstituted heterocyclic group; and the symbol x is an integer. )

30 An alkyl group, if not defined otherwise, preferable is an alkyl group with 1 to 15 C atoms. An aralkyl group stands for -alkyl-aryl and preferably is a benzyl group. Aryl is preferably selected from benzene or naphthalene, and most preferably a benzene ring, where these groups are optionally  
35 substituted by Cl, F, 1-7 C alkyl, 1-7 C alkoxy, CN, -(CO)alkyl, -(CO)O-alkyl.

In a preferred embodiment of the present invention, R can be selected from the group consisting of methyl, ethyl, n-propyl, iso-propyl, t-butyl, n-hexyl, n-decyl, n-butyl, trifluoromethyl, 2,2,2-trifluoroethyl, 3,3,3-trifluoropropyl, cyclohexyl, phenyl, tolyl, and naphthyl groups.

5

Without wishing to be bound by theory, it is believed that if R is phenyl group, it may lead better solubility of the polysiloxane in the solvent and reduce cracks in the fabricated film and if R is methyl group, the raw materials can more easily be obtained from the market and may lead to higher hardness and better chemical stability of the fabricated film.

10

Thus, more preferably, R can be a phenyl group or methyl group.

15

In some embodiments of the present invention, preferably, the polysiloxane comprises the first, second, and third repeating units represented by following chemical formula II:

20



(wherein the chemical formula II, R<sup>1</sup> is a non-hydrolysable group selected from the group consisting of hydrogen, substituted or unsubstituted alkyl group, substituted or unsubstituted aryl group, substituted or unsubstituted aralkyl group, and substituted or unsubstituted heterocyclic group; R<sup>2</sup> is a non-hydrolysable group selected from the group consisting of hydrogen, substituted or unsubstituted alkyl group, substituted or unsubstituted aryl group, substituted or unsubstituted aralkyl group, and substituted or unsubstituted heterocyclic group; and the symbol l, m, n are an integer and 0 < m + n, wherein R<sup>1</sup> and R<sup>2</sup> are different of each other. )

25

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35

An alkyl group, if not defined otherwise, preferable is an alkyl group with 1 to 15 C atoms. An aralkyl group stands for -alkyl-aryl and preferably is a benzyl group. Aryl is preferably selected from benzene or naphthalene,

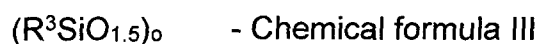
and most preferably a benzene ring, where these groups are optionally substituted by Cl, F, 1-7 C alkyl, 1-7 C alkoxy, CN, -(CO)alkyl, -(CO)O-alkyl.

5 In a preferred embodiment of the present invention, R<sup>1</sup> can be selected from the group consisting of methyl, ethyl, n-propyl, iso-propyl, t-butyl, n-hexyl, n-decyl, n-butyl, trifluoromethyl, 2,2,2-trifluoroethyl, 3,3,3-trifluoropropyl, cyclohexyl, phenyl, tolyl, and naphthyl groups; and R<sup>2</sup> can  
10 be selected from the group consisting of methyl, ethyl, n-propyl, iso-propyl, t-butyl, n-hexyl, n-decyl, n-butyl, trifluoromethyl, 2,2,2-trifluoroethyl, 3,3,3-trifluoropropyl, cyclohexyl, phenyl, tolyl, and naphthyl groups.

15 Without wishing to be bound by theory, it is believed that the if R<sup>1</sup> or R<sup>2</sup> is phenyl group, it may lead better solubility of the polysiloxane in the solvent and reduce cracks in the fabricated film and if R<sup>1</sup> or R<sup>2</sup> is methyl group, a raw materials can easily obtain from the market and may lead higher  
20 hardness and better chemical stability of the fabricated film.

Thus, more preferably, R<sup>1</sup> can be phenyl and R<sup>2</sup> can be methyl.

In some embodiment of the present invention, optionally, polysiloxane can  
25 further comprise the following repeating unit represented by chemical formula III;



30 (wherein the chemical formula III, R<sup>3</sup> is a hydrolysable group selected from alkoxy group, and / or hydroxyl group, the symbol o is zero or an integer. )

35 Such polysiloxanes like described in for example JP 2014-114176, WO 2012/157696 A1, WO 2013/151166 A can be used as the polysiloxane of the present invention preferably.



- Scattering particles and / or refractive index adjusting materials

5 In some embodiments of the present invention, the photosensitive composition further comprises additives selected from the group consisting of scattering particles, refractive index adjusting material and a combination of any of these.

10 According to the present invention, as the light scattering particles, any type of publically known light scattering particles having different refractive index from the matrix material of the layer which includes the said light scattering particles and can give Mie scattering effects, can be used preferably as desired.

15 For examples, small particles of inorganic oxides such as SiO<sub>2</sub>, SnO<sub>2</sub>, CuO, CoO, Al<sub>2</sub>O<sub>3</sub>, TiO<sub>2</sub>, Fe<sub>2</sub>O<sub>3</sub>, Y<sub>2</sub>O<sub>3</sub>, ZnO, MgO; organic particles such as polymerized polystyrene, polymerized PMMA; inorganic hollow oxides such as hollow silica or a combination of any of these; can be used preferably.

20 Aforementioned the light scattering particles can be used as the index adjusting material.

25 Preferably, the average particle diameter of the light scattering particles and or the refractive index adjusting material can be in the range from 350 nm to 5 μm.

30 Without wishing to be bound by theory, it is believed that more than 350 nm average particle diameter may lead to strong forward scattering caused by Mie scattering in a later, even if the refractive index difference between the light scattering particles and the layer matrix is as small as 0.1.

35

On the other hand, to obtain better layer forming properties by using the light scattering particles (150), maximum average particle diameter is 5  $\mu\text{m}$  or less, preferably. More preferably, from 500 nm to 2  $\mu\text{m}$ .

5 - Coloring agent

In some embodiment of the present invention, the photosensitive composition further comprises coloring agent selected from the group consisting of a dye, pigment and a combination of any of these.

10

According to the present invention, as the coloring agent that can absorb a visible light can be selected from the group consisting of dye, pigment and a combination of any of these. Preferably, any type of publically known dye and / pigment for LCD color filter can be used in this way.

15

For examples, as shown in "Technologies on LCD Color Filter and Chemicals" CMC Publishing P. 53 (1998)" azo-chelate pigments, fused azo pigments, quinacridone pigments, isoindolinone pigments, perylene pigments, perinone pigments, insoluble azo pigments, phthalocyanine pigments, dioxazine pigments, anthraquinone pigments, thioin pigments or a combination of any of these may be used.

20

25 - Other additives

The photosensitive siloxane composition of the present invention may contain other additives, if necessary. Examples of the additives include developer-dissolution promoter, scum remover, adhesion enhancer, polymerization inhibitor, defoaming agent, surfactant and sensitizer.

30

The developer-dissolution promoter or the scum remover has a function of controlling solubility of the formed coating in a developer and thereby of preventing scum from remaining on the substrate after development. As this additive, crown ethers can be adopted. Crown ethers having the simplest structures are represented by the general formula: (-CH<sub>2</sub>-CH<sub>2</sub>-

35

O-)<sub>n</sub>. Among them, crown ethers of the formula in which n is 4 to 7 are preferably used in the present invention. Meanwhile, crown ethers are often individually referred to as "x-crown-y-ether" in which x and y represent the total number of atoms forming the ring and the number of oxygen atoms included therein, respectively. In the present invention, the additive is preferably selected from the group consisting of crown ethers of X=12, 15, 18 and 21 and y=x/3, benzo-condensed products thereof, and cyclohexyl-condensed products thereof. Preferred examples of the crown ethers include 21-crown-7-ether, 18-crown-6-ether, 15-crown-5-ether, 12-crown-4-ether, dibenzo-21-crown-7-ether, dibenzo-18-crown-6-ether, dibenzo-15-crown-5-ether, dibenzo-12-crown-4-ether, dicyclohexyl-21-crown-7-ether, dicyclohexyl-18-crown-6- ether, dicyclohexyl-15-crown-5-ether, and dicyclohexyl- 12-crown-4-ether. Among them, it is particularly preferred to select the additive from the group consisting of 18-crown-6-ether and 15-crown-5-ether. The amount thereof is preferably 0.05 to 15 weight parts, more preferably 0.1 to 10 weight parts, based on 100 weight parts of the polysiloxane.

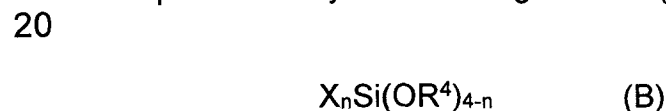
The adhesion enhancer has a function of preventing the pattern from being peeled off by stress applied after curing when a cured film is formed from the photosensitive siloxane composition of the present invention. As the adhesion enhancer, imidazoles and silane coupling agents are preferably adopted. Examples of the imidazoles include 2-hydroxybenzimidazole, 2-hydroxyethylbenzimidazole, benzimidazole, 2-hydroxyimidazole, imidazole, 2-mercaptoimidazole, and 2-aminoimidazole. Among them, particularly preferred are 2-hydroxybenzimidazole, benzimidazole, 2-hydroxyimidazole and imidazole.

As the silane coupling agents, known compounds, such as, epoxy-silane coupling agents, amino-silane coupling agents and mercapto-silane coupling agents, can be preferably adopted. Examples thereof include 3-glycidoxypropyltrimethoxysilane, 3-glycidoxypropyltriethoxysilane, N-2-

(aminoethyl)-3-aminopropyltrimethoxysilane, N-2-(aminoethyl)-3-aminopropyltriethoxysilane, 3-aminopropyltrimethoxysilane, 3-aminopropyltriethoxysilane, 3-ureidopropyltrimethoxysilane, 3-chloropropyltrimethoxysilane, 3-mercaptopropyltrimethoxysilane, and 3-isocyanatepropyltrimethoxysilane. Those can be used singly or in combination of two or more. The amount thereof is preferably 0.05 to 15 weight parts based on 100 weight parts of the polysiloxane.

It is also possible to employ a silane or siloxane compound having an acidic group as the silane coupling agent. Examples of the acidic group include carboxyl group, an acid anhydride group, and phenolic hydroxyl group. If having a monobasic acid group such as carboxyl or phenolic hydroxyl group, the compound is preferably a single silicon-containing compound having two or more acidic groups.

Examples of the above silane coupling agent include compounds represented by the following formula (B):



and polymers having polymerization units derived from them. Those polymers may comprise plural kinds of units different in X or R<sup>3</sup> in combination.

In the above formula, R<sup>4</sup> is a hydrocarbon group, such as, an alkyl group, preferably having 1 to 10 C atoms. Examples thereof include methyl, ethyl, n-propyl, iso-propyl and n-butyl groups. The formula (A) contains plural R<sup>4</sup>s, which may be the same or different from each other.

In the above formula, X includes an acidic group, such as, thiol, phosphonium, borate, carboxyl, phenol, peroxide, nitro, cyano, sulfo or alcohol group. The acidic group may be protected with a protective group,

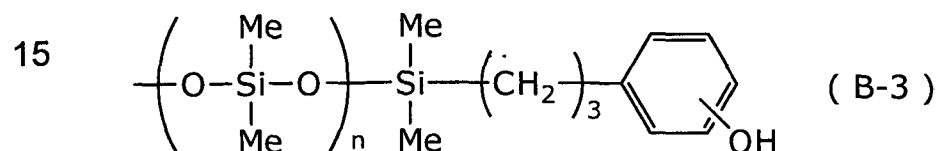
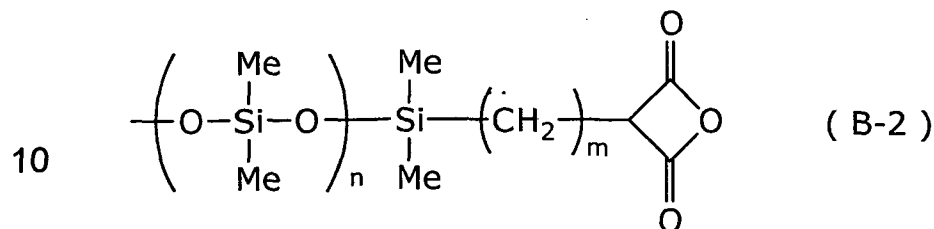
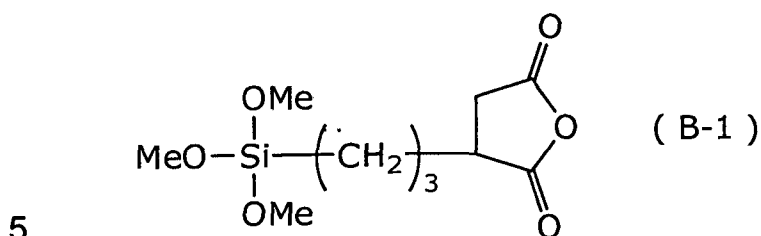
such as, acetyl, aryl, amyl, benzyl, methoxymethyl, mesyl, tolyl, trimethoxysilyl, triethoxysilyl, triisopropylsilyl or trityl group. Further, X may be an acid anhydride group.

5           Among the above, R<sup>4</sup> and X are preferably methyl group and a carboxylic acid anhydride group, respectively. For example, an acid anhydride group-containing silicone is preferred. Concrete examples thereof are a compound represented by the following formula (B-1) (X-12-10 967C [trademark], manufactured by Shin-Etsu Chemical Co., Ltd.) and a silicon-containing polymer, such as silicone, having a structure corresponding the formula at the terminal or in the side chain and having a weight average molecular weight of 1000 or less. Also preferred is a 15 dimethyl silicone having a weight average molecular weight of 4000 or less and having a terminal modified with an acidic group, such as, thiol, phosphonium, borate, carboxyl, phenol, peroxide, nitro, cyano or sulfo group. Examples thereof include compounds represented by the following 20 formulas (B-2) and (B-3) (X-22-2290AS and X-22-1821 [trademark], manufactured by Shin-Etsu Chemical Co., Ltd.).

25

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35



20 If the silane coupling agent contains a silicone structure and has too large a molecular weight, it has poor compatibility with the polysiloxane in the composition. Consequently, the coating is dissolved in a developer so insufficiently that reactive groups may remain in the coating. This may

25 cause problems in that, for example, the coating cannot have enough chemical resistance against post-processes. In view of that, the silicon-containing compound has a weight average molecular weight of preferably 5000 or less, more preferably 1000 to 4000. Further, if the acidic group-

30 containing silane or siloxane compound is employed as the silane coupling agent, the amount thereof is preferably 0.01 to 15 weight parts based on 100 weight parts of the polysiloxane and a (meth)acrylic polymer in the photosensitive composition.

35 As the polymerization inhibitor, nitron derivatives, nitroxide radical derivatives and hydroquinone derivatives, such as, hydroquinone, methylhydroquinone and butyllhydroquinone, can be incorporated. Those

can be used singly or in combination of two or more. The amount thereof is preferably 0.1 to 10 weight parts based on 100 weight parts of the polysiloxane.

5 Examples of the defoaming agent include: alcohols (C<sub>1</sub> to C<sub>18</sub>); higher fatty acids, such as, oleic acid and stearic acid; higher fatty acid esters, such as, glycerin monolaurate; polyethers, such as, polyethyleneglycol (PEG) (Mn: 200 to 10000) and polypropyleneglycol (Mn: 200 to 10000);  
10 silicone compounds, such as, dimethyl silicone oil, alkyl-modified silicone oil and fluoro-silicone oil; and organic siloxane surfactants described below in detail. Those can be used singly or in combination of two or more. The amount thereof is preferably 0.1 to 3 weight parts based on 100 weight parts of the polysiloxane.

15 If necessary, the photosensitive siloxane composition of the present invention can further contain a surfactant, which is incorporated with the aim of improving coatability, developability and the like. The surfactants usable in the present invention are, for example, nonionic, anionic and  
20 amphoteric surfactants.

Examples of the nonionic surfactants include: polyoxyethylene alkyl ethers, such as, polyoxyethylene lauryl ether, polyoxyethylene oleyl ether  
25 and polyoxyethylene cetyl ether; polyoxyethylene fatty acid diethers; polyoxyethylene fatty acid monoethers; polyoxyethylene-polyoxypropylene block polymer; acetylene alcohol; acetylene glycol derivatives, such as, acetylene glycol, polyethoxyate of acetylene alcohol, and polyethoxyate of acetylene glycol; silicon-containing surfactants, such as, Fluorad ([trademark],  
30 manufactured by Sumitomo 3M Limited), MEGAFAC ([trademark], manufactured by DIC Corporation), and Surufuron ([trademark], manufactured by Asahi Glass Co., Ltd.); and organic siloxane surfactants, such as, KP341 ([trademark], manufactured by Shin-Etsu Chemical Co.,  
35 Ltd.). Examples of the above acetylene glycols include: 3-methyl-1-butyne-3-ol, 3-methyl-1-pentyne-3-ol, 3,6-dimethyl-4-octyne-3,6-diol,

2,4,7,9-tetramethyl- 5-decyne-4,7-diol, 3,5-dimethyl-1-hexyne-3-ol, 2,5-dimethyl-3-hexyne-2,5-diol, and 2,5-dimethyl-2,5-hexanediol.

5 Examples of the anionic surfactants include: ammonium salts and organic amine salts of alkyldiphenylether disulfonic acids, ammonium salts and organic amine salts of alkyldiphenylether sulfonic acids, ammonium salts and organic amine salts of alkylbenzenesulfonic acids, ammonium salts and organic amine salts of polyoxyethylenealkylether sulfuric acids, and ammonium salts and organic amine salts of alkylsulfuric acids.

10

Further, examples of the amphoteric surfactants include 2-alkyl-N-carboxymethyl-N-hydroxyethyl imidazolium betaine, and laurylic acid amidopropyl hydroxy sulfone betaine.

15

Those surfactants can be used singly or in combination of two or more. The amount thereof is normally 50 to 2000 ppm, preferably 100 to 1000 ppm based on the photosensitive siloxane composition of the present invention.

20

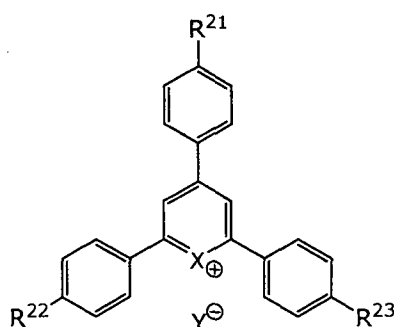
According to necessity, a sensitizer can be incorporated into the photosensitive siloxane composition of the present invention. Examples of the sensitizer preferably used in the composition of the present invention include coumarin, ketocoumarin, derivatives thereof, thiopyrylium salts, and acetophenone. Specifically, concrete examples thereof include: sensitizing dyes, such as, p-bis(o-methylstryl)benzene, 7-dimethylamino-4-methylquinolone-2,7-amino-4-methylcoumarin, 4,6-dimethyl-7-ethylaminocoumarin, 2-(p-dimethylaminostryl)pyridylmethyl iodide, 7-diethylaminocoumarin, 7-diethylamino-4-methylcoumarin, 2,3,5,6-1H,4H-tetrahydro-8-methylquinolidino-<9,9a,1-gh>coumarin, 7-diethylamino-4-trifluoromethylcoumarin, 7-dimethylamino-4-trifluoromethylcoumarin, 7-amino-4-trifluoromethylcoumarin, 2,3,5,6-1H,4H-tetrahydroquinolidino-<9,9a,1-gh>coumarin, 7-ethylamino-6-methyl-4-trifluoromethylcoumarin, 7-ethylamino-4-trifluoromethylcoumarin, 2,3,5,6-1H,4H-tetrahydro-9-



carboethoxyquinolidino-<9,9a,1-gh>coumarin, 3-(2'-N-methyl-  
 benzimidazolyl)-7-N,N-diethylaminocoumarin, N-methyl-4-  
 trifluoromethylpiperidino-<3,2-g>coumarin, 2-(p-dimethylaminostryl)benzo-  
 thiazolyethyl iodide, 3-(2'-benzimidazolyl)-7-N,N-diethylaminocoumarin, 3-  
 5 (2'-benzothiazolyl)-7-N,N-diethylaminocoumarin, and pyrylium or  
 thiopyrylium salts represented by the following formula. The sensitizing  
 dye makes it possible to carry out patterning by use of inexpensive light  
 sources, such as, a high-pressure mercury lamp (360 to 430 nm). The  
 10 amount thereof is preferably 0.05 to 15 weight parts, more preferably 0.1  
 to 10 weight parts based on 100 weight parts of the polysiloxane.

15

20



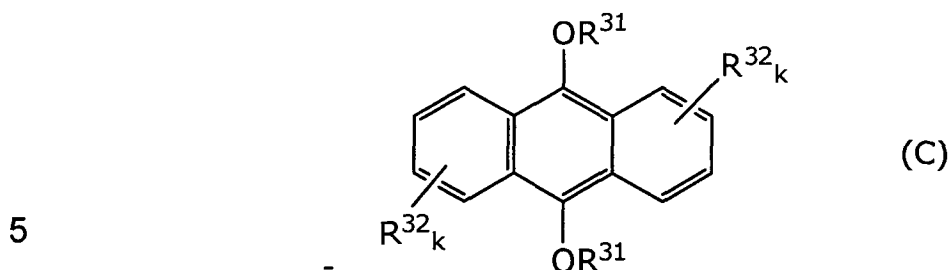
25

X	R <sub>1</sub>	R <sub>2</sub>	R <sub>3</sub>	Y
S	OC <sub>4</sub> H <sub>9</sub>	H	H	BF <sub>4</sub>
S	OC <sub>4</sub> H <sub>9</sub>	OCH <sub>3</sub>	OCH <sub>3</sub>	BF <sub>4</sub>
S	H	OCH <sub>3</sub>	OCH <sub>3</sub>	BF <sub>4</sub>
S	N(CH <sub>3</sub> ) <sub>2</sub>	H	H	ClO <sub>2</sub>
O	OC <sub>4</sub> H <sub>9</sub>	H	H	SbF <sub>6</sub>

30

As the sensitizer, it is also possible to adopt a compound having an  
 anthracene skeleton. Concrete examples thereof include compounds  
 represented by the following formula (C):

35



in which

10 each  $R^{31}$  is independently a substituent group selected from the group consisting of alkyl groups, aralkyl groups, aryl groups, hydroxyalkyl groups, alkoxyalkyl groups, glycidyl groups and halogenated alkyl groups;

15 each  $R^{32}$  is independently a substituent group selected from the group consisting of hydrogen, alkyl groups, alkoxy groups, halogen atoms, nitro groups, sulfonic acid groups, hydroxyl group, amino groups, and carboalkoxy groups; and

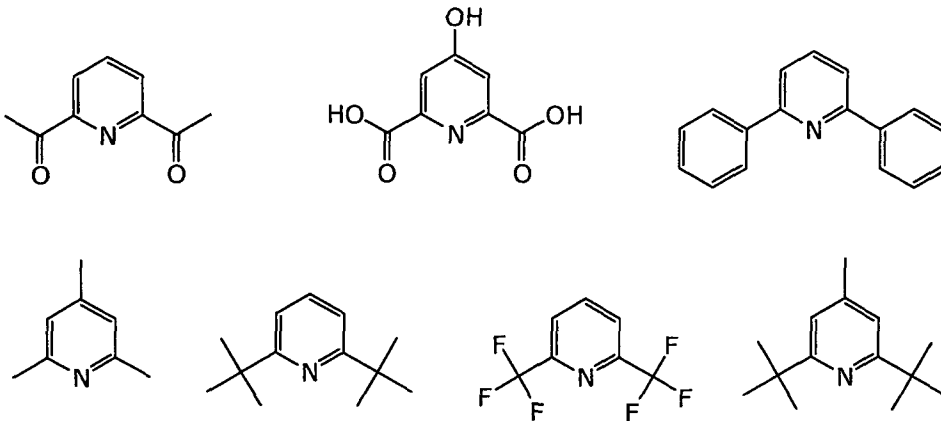
each  $k$  is independently an integer of 0 and 1 to 4.

20 The sensitizers having anthracene skeletons are disclosed in, for example, Patent documents 3 and 4. When the sensitizer having an anthracene skeleton is added, the amount thereof is preferably 0.01 to 5 weight parts based on 100 weight parts of the polysiloxane mixture (I).

25 Further, if necessary, a stabilizer can be also added into the photosensitive siloxane composition of the present invention. The stabilizer can be freely selected from those generally known. However, in the present invention, aromatic amines are preferred because they have high effect on stabilization. Among those aromatic amines, preferred are pyridine derivatives and particularly preferred are pyridine derivatives having bulky substituent groups at 2- and 6-positions. Concrete examples thereof are as follows:

30

35



- Color conversion films of the present invention

In another aspect, the invention relates to a color conversion film (100) comprising at least one nanosized fluorescent material (110), a polymer matrix (120) containing polysiloxane and (meth)acrylic polymer.

In another aspect, the present invention also relates to a color conversion film (100) comprising at least one nanosized fluorescent material (110), wetting and dispersing additive (130), and a polymer matrix (120) including a polysiloxane.

According to the present invention, the term "film" includes "layer" and "sheet" like structure.

In some embodiment of the present invention, the color conversion film (100) further comprises additives selected from the group consisting of scattering particles, refractive index adjusting material and a combination of any of these.

In some embodiment of the present invention, the color conversion film (100) further comprises coloring agent selected from the group consisting of a dye, pigment and a combination of any of these.

5 According to the present invention, one of main requirements for the coloring agent is to absorb an excitation light from the light source which light excites the nanosized fluorescent material (110) in the color conversion film (100) to improve color purity of light from the color conversion film (100). Therefore, commercially available yellow pigments for LCD color filter also can be used in a green sub color pixel if the light converting film has the green sub color pixel.

10 In a preferred embodiment of the present invention, the color conversion film (100) can be a homogeneous color conversion film or can comprise first and second sub color areas (120), in which at least first sub color area emits light having longer peak wavelength than the second sub color areas when it is illuminated by light source.

15 In a preferred embodiment of the present invention, the color conversion film (100) can comprise red sub color areas, green sub color areas and blue sub color areas.

20 In some embodiment of the present invention, the color conversion film (100) can mainly consist of red sub color areas, green sub color areas and blue sub color areas like described in Fig. 1-7.

25 Even more preferably, in case of blue light emitting light source such as blue LED(s) is used, the blue sub color areas can be made without blue nanosized fluorescent material (110).

30 In that case, the blue sub color areas also can be made without a blue coloring agent.

35 In some embodiments of the present invention, optionally, the color conversion film (100) further comprises a black matrix (hereafter "BM").

In a preferred embodiment, the BM can be placed in between the sub color areas like described in Fig. 1. In other words, sub color areas of the present invention can be marked out by one or more of the BM.

5 A material for the BM is not particularly limited. Well known materials, especially well known BM materials for color filters can be used preferably as desired. Such as black dye dispersed polymer composition, like described in JP 2008-260927A, WO 2013/031753A.

10 Fabrication method of the BM is not particularly limited, well known techniques can be used in this way. Such as, direct screen printing, photolithography, vapor deposition with mask.

15 In another aspect, the invention relates to use of the color conversion film (100) in an optical device.

20 In another aspect, the invention further relates to an optical device (200) comprising the color conversion film (100).

In a preferred embodiment of the present invention, the optical device (200) can embrace a light source.

25 According to the present invention, the type of light source in the optical device is not particularly limited. Preferably, UV or blue single color light source can be used.

30 More preferably, the light source emits light having peak wavelength in a blue light region, such as blue LED, CCFL, EL, or a combination of any of these, can be used.

35 For the purpose of the present invention, the term "blue" is taken to mean a light wavelength between 380 nm and 515 nm. Preferably, "blue" is

between 430 nm and 490 nm. More preferably, it is between 450 nm and 470 nm.

5 Even more preferably, the light source of the optical device (200) is a blue light source such as blue LED(s) and the color conversion film (100) comprises green sub color areas and red sub color areas.

10 In a preferred embodiment of the present invention, optionally, the light source can embrace a light guiding plate to increase light uniformity from the light source.

15 In a preferred embodiment of the present invention, the optical device (200) comprises a light modulator.

20 In a preferred embodiment of the present invention, the light modulator can be selected from the group consisting of liquid crystal element, Micro Electro Mechanical Systems (here in after "MEMS"), electro wetting element, and electrophoretic element.

25 In the case of the light modulator is a liquid crystal element, any type of liquid crystal element can be used in this way. For example, twisted nematic mode, vertical alignment mode, IPS mode, guest host mode liquid crystal element, which commonly used for LCDs are preferable.

30 Furthermore, according to the present invention, normally black TN mode liquid crystal element is also applicable as the light modulator.

35 Generally, without wishing to be bound by theory, it is said that the normally black TN mode can realize higher contrast ratio but fabrication process is complicated due to the different cell gap in each color pixel to keep good white balance.

According to the present invention, there is no need to change the cell gap of normally black TN mode LC element at each pixel.

5 Because, according to the present invention, a single color excitation light source can be used preferably in the combination with the color converting film (100). Such as, as a single color excitation light source, UV LED, blue LED.

10 In that case, the light source emits light having one peak wavelength region and the intensity of the excitation light from the light source can be controlled by the normally black TN mode LC layer having same cell gap at each pixel, then, the excitation light goes into the color conversion film (100) and converted into longer wavelength.

15 In some embodiments of the present invention, optionally, the optical device (200) comprises a light scattering layer including the plural of light scattering particles.

20 In a preferred embodiment of the present invention, the light scattering layer can be placed in between the light source and the color conversion film (100) to reduce glare state of the device caused by ambient light scattering.

25 Preferably, the plural of light scattering particles can be only in the light scattering layer and / or one or more of other layers that is placed the light source side from the color conversion film (100).

30 Without wishing to be bound by theory, it is considered that such embodiment may lead less color shift and / or better the brightness contrast of the element under incident light.

35 Preferably, the light scattering layer is placed onto the surface of the light source side of the color conversion film (100).

5 Preferably, the plural of light scattering particles can be in the color conversion film (100), in case of the light modulator is placed behind the color conversion film (100) viewed from the light source.

In some embodiments of the present invention, the light modulator is placed on the light extraction side of the color conversion film (100).

10 In some embodiments of the present invention, the light modulator is placed in between the light source and the color conversion film (100).

15 According to the present invention, in some embodiments, optionally, the surface of the light extraction side of the color conversion film (100) is structured to increase the out-coupling efficiency of the optical device (100).

20 Without wishing to be bound by theory it is believed that a slant structure, can increase the amount of light that leaves the light converting film. These structures can be fabricated by well-known techniques, for example with using nano-inprinting techniques.

25 According to the present invention, in some embodiments, the other surface of the color conversion film (100), which faces to light source, can have a slant structure. Without wishing to be bound by theory, it is believed that the slant structure may prevent light loss by the total reflection.

30 In general, the slant structure can overlap for the plural sub color pixels. Therefore, the slant structure is applicable even for small size pixels.

35 In some embodiments, optionally, the optical device (200) further comprises a layer whose surface of the light extraction side is structured. Preferably, the layer has one or more of slant structure.



In some embodiments of the present invention, optionally, the light source can be switchable.

5 According to the present invention, the term "switchable" means that the light can selectively be switched on or off.

In a preferred embodiment of the present invention, the switchable light source can be selected from the group consisting of, active matrix EL, passive matrix EL, a plural of LEDs and a combination of any of these.

10 In some embodiments of the present invention, optionally, the optical device (200) can further include a color filter layer. According to the present invention, as the color filter, any type of publically known color filter including red, green and blue sub color region for optical devices, such as LCD color filter, can be used in this way preferably.

20 In a preferred embodiment of the present invention, the red sub color region of the color filter can be transparent to light wavelength at least in between 610 and 640 nm, and the green sub color region of the color filter is transparent to the light wavelength at least in between 515 and 550 nm.

25 In some embodiments of the present invention, optionally, the optical device (200) further comprises a selective light reflection layer placed in between the light source and the color conversion film (100).

30 According to the present invention, the term "light reflection" means reflecting at least around 60 % of incident light at a wavelength or a range of wavelength used during operation of a polarized light emissive device. Preferably, it is over 70 %, more preferably, over 75%, the most preferably, it is over 80 %.

35

According to the present invention, the selective light reflection layer can pass through the peak light wavelength from the light source and can reflect the converted light from the color conversion film (100)

5 A material for the selective light reflection layer is not particularly limited. Well known materials for a selective light reflection layer can be used preferably as desired.

10 According to the present invention, the selective light reflection layer can be single layer or multiple layers. In a preferred embodiment, the selective light reflection layer is selected from the group consisting of Al layer, Al + MgF<sub>2</sub> stacked layers, Al + SiO stacked layers, Al + dielectric multiple layer, Au layer, dielectric multiple layer, Cr + Au stacked layers; with the  
15 selective light reflection layer more preferably being Al layer, Al + MgF<sub>2</sub> stacked layers, Al + SiO stacked layers, cholesteric liquid crystal layer, stacked cholesteric liquid crystal layers.

20 Examples of cholesteric liquid crystal layers have been described in, for example, the international patent application laid-open No. WO 2013/156112A, WO 2011/107215 A.

25 In general, the methods of preparing the selective light reflection layer can vary as desired and selected from well-known techniques.

In some embodiments, the selective light reflection layer except for  
30 cholesteric liquid crystal layers can be prepared by a gas phase based coating process (such as Sputtering, Chemical Vapor Deposition, vapor deposition, flash evaporation), or a liquid-based coating process.

In case of the cholesteric liquid crystal layers, can be prepared by method  
35 described in, for example, WO 2013/156112A, or WO 2011/107215 A.

In a preferred embodiment of the present invention, the optical device (200) can be selected from the group consisting of a liquid crystal display, electro-luminescent displays, MEMS display, electro wetting display, and electrophoretic display.

5

More preferably, the optical device (200) can be a liquid crystal display, such as twisted nematic liquid crystal display, vertical alignment mode liquid crystal display, IPS mode liquid crystal display, guest host mode liquid crystal display, or the normally black TN mode liquid crystal display.

10

Examples of optical devices have been described in, for example, WO 2010/095140 A2 and WO 2012/059931 A1.

15

- Fabrication methods

In another aspect, the present invention furthermore relates to method for preparing the color conversion film (100), wherein the method comprises following steps (a) and (b) in this sequence;

20

(a) providing the photosensitive composition onto a substrate

(b) baking the photosensitive composition

25

In some embodiment of the present invention, the baking temperature in step (b) is in the range from 90 °C to 260 °C. In a preferred embodiment of the present invention, the baking temperature in step (b) is in the range from 95 °C to 250 °C. More preferably, it is in the range from 100 °C to 245 °C.

30

According to the present invention, a polysiloxane used in the photosensitive composition can be obtained with the method like described in for example JP 2014-114176, WO 2012/157696 A1, or WO 2013/151166 A, preferably.

35

- Coating step

5 According to the present invention, to provide the photosensitive composition onto a substrate, any type of publically known coating method can be used preferably. For examples, immersion coating, gravure coating, roll coating, bar coating, brush coating, spray coating, doctor coating, flow coating, spin coating, and slit coating.

10 The substrate to be coated with the photosensitive composition in step (a) is also not particularly limited, and can be properly selected from, for example, a silicon substrate, a glass substrate and a polymer film.

15 - Prebaking step

In a preferred embodiment of the present invention, optionally, after step (a), prebaking (preheating treatment) step can be applied to the photosensitive composition provided onto a substrate for the purposes of drying and of reducing the solvent remaining therein. The prebaking step  
20 can be carried out at a temperature of generally 50 to 150°C, preferably 90 to 120°C for 10 to 300 seconds, preferably 30 to 120 seconds on a hot-plate or for 1 to 30 minutes in a clean oven.

25 In some embodiment of the present invention, the method further comprises step (c) after step (a) and before step (b);

(c) exposing the photosensitive composition under rays of light to  
30 polymerize the composition

- Exposing step

35 After the coating is formed, the surface thereof can be exposed to light. As a light source for the exposure, it is possible to adopt any light source used in conventional pattern-formation processes. Examples of the light source include high-pressure mercury lamp, low- pressure mercury lamp,

metal halide lamp, xenon lamp, laser diode and LED. Light for the exposure is normally UV rays of g-line, h-line, i-line or the like. Except for in the case of ultrafine fabrication of semiconductors and the like, it is general to use light of 360 to 430 nm (high-pressure mercury lamp) for patterning in several micrometers to several tens of micrometers. Particularly in producing a liquid crystal display, light of 430 nm is often used. As described above, in that case, it is advantageous to combine a sensitizing dye with the negative-working photosensitive siloxane composition of the present invention. Energy of the exposure light depends on the light source and the thickness of the coating, but is generally 10 to 2000 mJ/cm<sup>2</sup>, preferably 20 to 1000 mJ/cm<sup>2</sup>. If the exposure energy is lower than 10 mJ/cm<sup>2</sup>, it is often difficult to obtain satisfying resolution. On the other hand, however, if it is more than 2000 mJ/cm<sup>2</sup>, the coating is exposed so excessively that the exposure may cause halation.

In order that the coating can be imagewise exposed to light, common photomasks are employable. Any photomask can be selected from known ones. There are no particular restrictions on the environmental conditions in the exposure, and the exposure can be carried out under an ambient atmosphere (the normal atmosphere) or under a nitrogen atmosphere. If a film is to be formed on the whole surface of the substrate, the whole substrate surface is exposed to light. In the present invention, the term "pattern film" includes a film thus formed on the whole surface of the substrate.

30 - Post-exposure baking step

After the exposing step (c), optionally, post-exposure baking can be carried out according to necessity with the aim of promoting interpolymer reactions caused by the reaction initiator in the exposed area of the coating.

The temperature of the post-exposure baking is preferably 40 to 150°C, more preferably 60 to 120°C.

5 - Development step

After the exposing step (c), development step (e) can optionally be carried out. If a film is to be formed on the whole surface of the substrate, development step (e) can be omitted. As a developer used in the development step, it is possible to adopt any developer employed in developing conventional photosensitive siloxane compositions. In the present invention, TMAH aqueous solutions are used to determine the dissolution rate of polysiloxane but they by no means restrict the developer for forming a cured film. Preferred examples of the developer include alkali developers which are aqueous solutions of alkaline compounds, such as, tetraalkylammonium hydroxide, choline, alkali metal hydroxides, alkali metal (meta)silicates (hydrate), alkali metal (meta)phosphates (hydrate), ammonia, alkylamines, alkanolamines, and heterocyclic amines. Particularly preferred is an aqueous solution of tetraalkylammonium hydroxide. Those alkali developers may contain water-soluble organic solvents, such as, methanol and ethanol, or surfactants, if necessary. The developing method can be freely selected from known methods, such as, dip, paddle, shower, slit, cap coat and spray development processes. As a result of the development, a pattern can be obtained. After developed with a developer, the pattern is preferably washed with water.

30 - Baking step (b)

After the step (a), (or preferably after the development step (e)) the obtained film is heated and thereby cured. The baking apparatus used in the baking step can be the same as those used in the post-exposure baking step. The baking temperature in this baking step is not particularly restricted as long as the coating can be cured, preferably it is in the range from 90 °C to 260 °C, more preferably from 95 °C to 250 °C, even more

preferably from 100 °C to 245 °C. To decrease the amount of unreacted silanol groups in the film, 90 °C or higher baking temperature is preferable. To reduce a heat damage for nanosized fluorescent materials and / or the (meth)acrylic polymer, 250 °C or less baking temperature is preferable. The baking time is also not particularly restricted, preferably it is from 30 seconds to 24 hours, more preferably from 60 seconds to 3 hours. Here, the "baking time" means a period of time from when the temperature of the pattern film is elevated to reach the aimed baking temperature.

Preferably, the method further comprises step (d) before step (a),

(d) ligand exchange of the nanosized fluorescent material with the wetting and dispersing additive

With using the ligand exchange process, the wetting and dispersing additive can be introduced onto the surface of the nanosized fluorescent material. Such ligand exchange process described in for example, Thomas Nann, Chem. Commun., 2005, 1735 – 1736, DOI: 10.1039/b-414807j can be used preferably.

In a preferred embodiment of the present invention, an ultra-centrifuge process after the ligand exchange process of the nanosized fluorescent material can be applied to remove the excess amount of wetting and dispersing additive before mixing the ligand exchanged nanosized fluorescent material with polysiloxane.

In another aspect, the present invention furthermore relates to method for preparing the optical device (200), wherein the method comprises following step (A);

(A) providing the color conversion film (100) in an optical device

The invention is described in more detail in reference to the following examples, which are only illustrative and do not limit the scope of the invention.

5

**Examples**

Example 1: In the present invention, the color conversion film (100) can be peeled off from a substrate as shown in Fig. 1.

10

In this example, the color conversion film has red, green and blue sub color area. In the red and green sub color area, at least one nanosized fluorescent material (red or green), polysiloxane are at least incorporated. And optionally, a coloring agent and scattering particle can be incorporated.

15

Example 2: Fig. 2 shows one example of the color conversion film of the present invention, including at least at least one nanosized fluorescent material (for example, red and / or green), polysiloxane. Other components such as a coloring agent and scattering particle can be optionally incorporated.

20

Example 3: Fig. 3 shows one example of the optical device of the present invention.

25

In this example, a color filter layer is omitted.

Example 4: Fig. 4 shows another example of the optical device of the present invention.

30

In this example, a color filter layer is stacked onto the color conversion film.

Example 5: Fig. 5 shows another example of the optical device of the present invention.

35

In this example, a homogeneous color conversion film is placed underneath of the light modulator.



Example 6: Fig. 6 shows another example of the optical device of the present invention.

5 In this example, a guest host mode liquid crystal (623) is used with a blue light source (630) and therefore, two polarizers can be omitted and the color conversion film was fabricated as a layer insulation of the TFT.

Example 7: Fig. 7 shows another example of the present invention.

10 In this example, a MEMS shutter is used preferably.

Instead of the color conversion film mentioned in the Fig. 7 (710), the color conversion film can be fabricated as the layer insulation of the MEMS shutter system.

15 Each feature disclosed in this specification, unless stated otherwise, may be replaced by alternative features serving the same, equivalent, or similar purpose. Thus, unless stated otherwise, each feature disclosed is but one example of a generic series of equivalent or similar features.

20

#### Definition of Terms

25 According to the present invention, the term "transparent" means at least around 60 % of incident light transmittal at the thickness used in a polarized light emissive device and at a wavelength or a range of wavelength used during operation of a polarized light emissive device. Preferably, it is over 70 %, more preferably, over 75%, the most preferably, it is

30 over 80 %.

The term "fluorescent" is defined as the physical process of light emission by a substance that has absorbed light or other electromagnetic radiation. It is a form of luminescence. In most cases, the emitted light has a longer

35 wavelength, and therefore lower energy, than the absorbed radiation.

The term "semiconductor" means a material which has electrical conductivity to a degree between that of a conductor (such as copper) and that of an insulator (such as glass) at room temperature.

5 The term "inorganic" means any material not containing carbon atoms or any compound that containing carbon atoms ionically bound to other atoms such as carbon monoxide, carbon dioxide, carbonates, cyanides, cyanates, carbides, and thiocyanates.

10 The term "emission" means the emission of electromagnetic waves by electron transitions in atoms and molecules.

15 The term "photosensitive" means that the respective composition chemically reacts in response to suitable light irradiation. The light is usually chosen from visible or UV light. The photosensitive response includes hardening or softening of the composition, preferably hardening. Preferably the photosensitive composition is a photo-polymerizable  
20 composition.

The working examples 1 - 3 below provide descriptions of the present invention, as well as an in detail description of their fabrication.

25

30

35

**Working Examples****Working Example 1: Fabrication of photosensitive compositions and color conversion films**

5 - Ligand exchange process

20 mg of tri-n-octylphosphine oxide (hereafter "TOPO") covered red  
emission type rod-shaped nanocrystals (from Qlight Nanotech Ltd.) and 50  
mg of wetting and dispersing additive Disperbyk-2000 ([trademark], from  
10 BYK co.) were dispersed in 1.5 ml of chloroform and stirred at room  
temperature in air condition overnight.

Then, 0.5 g of PGMEA (propylene glycol monomethyl ether acetate) was  
added into the obtained solution.

15 After adding PGMEA, chloroform in the solution was evaporated at 85 °C  
for 120 minutes in oil bath.

After the evaporation process of the chloroform, PGMEA was again added  
into the solution to adjust total weight of the obtained solution to 0.57 g.

20 Finally, the solution containing the wetting and dispersing additive covered  
red emission type rod-shaped nanocrystals and PGMEA solvent was  
obtained.

25 - Fabrication process of the photosensitive compositions of the invention  
Polymer mixture S1 (35 wt. % of PGMEA solution, from Merck KGaA)  
comprising a polysiloxane - acryl polymer mixture soluble in TMAH  
aqueous solution, wherein the polysiloxane - acryl polymer mixture  
comprises 30 wt. % of polysiloxane represented by the formula  $(\text{SiO}_2)_l +$   
30  $(\text{R}^1\text{SiO}_{1.5})_m + (\text{R}^2\text{SiO}_{1.5})_n + (\text{R}^3\text{SiO}_{1.5})_o$  ( $\text{R}_1$  is phenyl,  $\text{R}^2$  is methyl, and  $\text{R}^3$  is  
hydroxyl group,  $l : m : n : o = 0 : 4 : 5 : 1$ ), 35 wt.% of silane modified acryl  
polymer A1 (weight-average molecular weight: 6,000; value of double  
bond equivalent in the (meth)acrylic polymer is 24 g / eq), 35 wt. % of acryl  
35 polymer including an carboxyl group (weight-average molecular weight:  
6,000), a photo - radical generator OXE01 and OXE02 (from BASF SE, 6

wt. % in total based on the weight of the total solid components of polysiloxane - acryl polymer mixture), Acryl monomer A-DOD, A-DCP, A-9300 (from Shin-Nakamura Chemical, each 10 wt. % based on the weight of the total solid components of polysiloxane – acryl polymer mixture), and the resulting solution of the wetting and dispersing additive covered red emission type rod-shaped nanocrystals with PGMEA were mixed at room temperature.

Finally, the first red-type photosensitive composition (60 wt. % of rod-shaped nanocrystals based on the weight of the total solid components of polysiloxane – acryl polymer mixture), second red-type photosensitive composition (40 wt. % of rod-shaped nanocrystals based on the weight of the total solid components of polysiloxane – acryl polymer mixture), and the third red-type photosensitive composition (20 wt. % of rod-shaped nanocrystals based on the weight of the total solid components of polysiloxane – acryl polymer mixture) were fabricated.

- Fabrication process of the color conversion films of the present invention

A glass substrate was cleaned by sonicating in acetone.

Then the first red type photosensitive composition was coated onto the cleaned glass substrate with using spin coating technique. The resulting substrate was heated at 100 °C for 90 seconds at air condition to evaporate the solvent.

After the baking, the color conversion film was exposed to 3.68 mW/cm<sup>2</sup> of i, g, h lines light emission (50 mJ / cm<sup>2</sup>, USH-1005D, Ushio Co.) for 14 seconds.

Then, the red color conversion film was exposed with tetramethylammonium hydroxide (TMAH) and rinsed with flowing water for 30 seconds at room temperature.

After the rinse process, the obtained color conversion film was cured at 120 °C for 90 seconds at air condition.

5 Finally, the No. 1 red color conversion film fabricated onto the substrate and having 10 µm film thickness was obtained.

10 In the same manner as described in the color conversion film fabrication process of the working example 1, except for the second red-type photosensitive composition (40 wt. % of rod-shaped nanocrystals based on the weight of the total solid components of polysiloxane – acryl polymer mixture), third photosensitive composition were used instead of the first  
15 red-type photosensitive composition, the No. 2 and the No. 3 color conversion films having 10 µm film thickness each respectively were fabricated.

### **Working Example 2: Fabrication of photosensitive compositions and color conversion films**

20

#### **- Ligand exchange process**

In the same manner as described in the ligand exchange process of the working example 1, except for Disperbyk-2001, 2009 ([trademark], from  
25 BYK Co.) were each independently used instead of Disperbyk-2000.

Then, the two types of solutions comprising the wetting and dispersing additive covered (Disperbyk-2001, or 2009 [trademark]) red emission type  
30 rod-shaped nanocrystals and PGMEA solvent were obtained.

#### **Ligand exchange and ultracentrifuge process for Disperbyk-180**

35 20 mg of tri-n-octylphosphine oxide (hereafter "TOPO") covered red emission type rod-shaped nanocrystals (from Qlight Nanotech Ltd.) and 50 mg of wetting and dispersing additive Disperbyk-180 ([trademark], from

BYK co.) were dispersed in 1.5 ml of chloroform and stirred at room temperature in air condition overnight.

Then, 0.5 g of PGMEA was added into the obtained solution.

5 After adding PGMEA, chloroform in the obtained solution was evaporated at 85 °C for 10 minutes in oil bath.

After the evaporation process of the chloroform, 0.5 ml of ethyl acetate was added into the solution and the wetting and dispersing additive covered red emission type rod-shaped nanocrystals was then isolated from the solution by ultracentrifuge at 110,000 rpm for 30 minutes.

10 After the ultracentrifuge process the supernatant was removed with a pipette and 0.5 g of PGMEA was added.

15 Finally, the solution comprising the Disperbyk-180 covered red emission type rod-shaped nanocrystals and PGMEA solvent was obtained.

- Fabrication process of the photosensitive compositions of the invention  
Polymer mixture S1 (35 wt. % of PGMEA solution, from Merck KGaA) and  
20 the obtained solution comprising the wetting and dispersing additive covered (Disperbyk-180, [trademark]) red emission type rod-shaped nanocrystals and PGMEA solvent, were mixed at room temperature.  
Then, the fourth red-type photosensitive composition (2.0 wt. % of  
25 Disperbyk-180 (trademark) covered rod-shaped nanocrystals based on the weight of the total solid components of polysiloxane – acryl polymer mixture) was fabricated.

In the same manner, the 5<sup>th</sup> photosensitive composition (2.0 wt. % of  
30 Disperbyk-2001 (trademark) covered rod-shaped nanocrystals based on the weight of the total solid components of polysiloxane – acryl polymer mixture), and the 6<sup>th</sup> photosensitive composition (2.0 wt. % of Disperbyk-2009 (trademark) covered rod-shaped nanocrystals based on the weight of the total solid components of polysiloxane – acryl polymer mixture) were  
35 fabricated.

- Fabrication process of the color conversion films of the invention  
In the same manner as described in the fabrication process of the color  
conversion films in working example 1, except for the photosensitive  
compositions fabricated in the working example 2 were used instead of the  
5 first photosensitive composition, the color conversion films No. 4, 5, and 6  
were fabricated.

**Working Example 3: Microscopic Observation of the color**  
10 **conversion films**

3-1: Fluorescence observation

Microscope OLYMPUS BX-51 equipped with fluorescent mirror unit U-  
15 MWIB3 consisting of a excitation filter (passing the excitation light in  
between 460 nm – 495 nm) and absorption filter / Dichroic Mirror passing  
510 nm or more longer light wavelength, was used for microscopic  
observation of the color conversion films fabricated in working example 1  
and 2.

20 In a fluorescence observation mode, excitation light from the 100 W Hg  
lamp was filtered by the excitation filter of the fluorescent mirror unit U-  
MWIB3, then, the filtered excitation light went into the color conversion  
film.

25 The converted light and the excitation light from the light source and  
passed through the color conversion film was then filtered by the  
absorption filter / Dichroic Mirror.

Magnification ratio during the fluorescence observation was x4, x40.

30 3-2: Transparent observation

Microscope OLYMPUS BX-51 equipped with halogen lamp 12V / 100W  
was used for the transparent observation.

35 During the transparent observation, light emission from the halogen lamp  
went into the color conversion film and the light that passed through the  
color conversion film went into the objective lends of the microscope.

Magnification ratio during the transparent observation was x4, x40.

Table 1 shows the comprehensive results of the observations.

**Table 1**

Color conversion film No.	The amount of the nanocrystals based on the weight of the total solid components of polysiloxane – acryl polymer mixture	Presence of the aggregation of the nanocrystals
1	60 wt. %	No aggregation was observed
2	40 wt. %	No aggregation was observed
3	20 wt. %	No aggregation was observed
4	2 wt. %	No aggregation was observed
5	2 wt. %	No aggregation was observed
6	2 wt. %	No aggregation was observed

In the fluorescence observation and the transparent observation, no aggregation of the nanocrystals in the color conversion films was observed.

**Working Example 4: Evaluation of the color conversion films fabricated in the working example 1 -2**

Emission spectrum of the color conversion films was measured with using the luminance meter CS-1000A equipped with 450 nm LED light source. 450 nm LED light source was placed under the color conversion film fabricated in working example 1. The light from the color conversion film No. 1, 2, or 3 in the vertical direction from the surface was measured with using the luminance meter CS-1000 A (Konica Minolta Holdings Inc.).

Quantum yield of the color conversion film was evaluated with the absolute PL quantum yields measurement system C9920-02 (Hamamatsu).

According to the present invention, the quantum yield of the color conversion film was evaluated with the following formula:

Quantum yield = emitted photon number from the color conversion film / absorbed photon number from the color conversion film



Fig. 8 shows the emission spectrum, and Fig. 9 shows the quantum yield of the color conversion films No. 1, 2, and 3.

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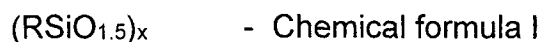
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**Patent Claims**

- 5
1. A photosensitive composition, wherein the photosensitive composition comprises at least one nanosized fluorescent material, polysiloxane, and a (meth)acrylic polymer.
  - 10 2. The photosensitive composition according to claim 1, wherein the photosensitive composition further comprises a wetting and dispersing additive.
  - 15 3. A photosensitive composition, wherein the photosensitive composition comprises at least one nanosized fluorescent material, wetting and dispersing additive, polysiloxane, and solvent.
  - 20 4. The photosensitive composition according to claim 3, wherein the photosensitive composition further comprises a (meth)acrylic polymer.
  - 25 5. The photosensitive composition according to any one or more of claims 1 to 4, wherein the photo sensitive composition is a negative type photosensitive composition comprising a polymerization initiator.
  - 30 6. The photosensitive composition according to any one or more of claims 1 to 5, wherein the photosensitive composition further comprises a chemical compound including two or more of (meth)acryloyl groups.
  - 35 7. The photosensitive composition according to any one or more of claims 1 to 6, wherein the polysiloxane comprises a silsesquioxane unit represented by following chemical formula I:



(wherein the chemical formula I, R is non-hydrolysable group selected from the group consisting of hydrogen, substituted or unsubstituted alkyl group, substituted or unsubstituted aryl group, substituted or unsubstituted aralkyl group, and substituted or unsubstituted heterocyclic group; and the symbol x is an integer.)

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8. The photosensitive composition according to any one or more of claims 1 to 7, wherein the polysiloxane comprises the first, second, and third repeating units represented by the following chemical formula II:

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(wherein the chemical formula II, R<sup>1</sup> is a non-hydrolysable group selected from the group consisting of hydrogen, substituted or unsubstituted alkyl group, substituted or unsubstituted aryl group, substituted or unsubstituted aralkyl group, and substituted or unsubstituted heterocyclic group; R<sup>2</sup> is a non-hydrolysable group selected from the group consisting of hydrogen, substituted or unsubstituted alkyl group, substituted or unsubstituted aryl group, substituted or unsubstituted aralkyl group, and substituted or unsubstituted heterocyclic group; and the symbol l, m, n are an integer and 0 < m + n, wherein R<sup>1</sup> and R<sup>2</sup> are different from each other.)

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9. The photosensitive composition according to any one or more of claims 1 to 2 and 5 to 8, wherein the (meth)acrylic polymer is selected from the group consisting of a (meth)acrylic polymer including an acid group, a silane modified (meth)acrylic polymer and a combination of any of these.

35

- 5 10. The photosensitive composition according to any one or more of claims 1 to 9, wherein the photosensitive composition further comprises additives selected from the group consisting of scattering particles, refractive index adjusting material and a combination of any of these.
- 10 11. The photosensitive composition according to any one or more of claims 1 to 10, where in the photosensitive composition further comprises coloring agent selected from the group consisting of a dye, pigment and a combination of any of these.
- 15 12. A color conversion film (100) comprising at least one nanosized fluorescent material (110) and a polymer matrix (120) containing polysiloxane and (meth)acrylic polymer.
- 20 13. A color conversion film (100) comprising at least one nanosized fluorescent material (110), wetting and dispersing additive (130), and a polymer matrix (120) including a polysiloxane.
- 25 14. The color conversion film (100) according to claim 12 or 13, wherein the color conversion film further comprises additives selected from the group consisting of scattering particles, refractive index adjusting material and a combination of any of these.
- 30 15. The color conversion film (100) according to any one or more of claims 12 to 14, wherein the color conversion film further comprises coloring agent selected from the group consisting of a dye, pigment and a combination of any of these.
- 35 16. Use of the color conversion film (100) according to any one or more of claims 12 to 15, in an optical device.

17. An optical device (200) comprising the color conversion film (100) according to any one or more of claims 12 to 15.

5 18. Method for preparing the color conversion film (100), wherein the method comprises following steps (a) and (b) in this sequence;

(a) providing the photosensitive composition according to any one or more of claims 1 to 11 onto a substrate, and

10

(b) baking the photosensitive composition.

19. Method for preparing the color conversion film (100) according to claim 18, wherein the method further comprises step (c) after step (a) and before step (b);

15

(c) exposing the photosensitive composition under rays of light to polymerize the composition.

20

20. Method for preparing the color conversion film (100) according to claim 18 or 19, wherein the baking temperature in step (c) is in a range from 90 °C to 250 °C.

25

21. Method for preparing the optical device (200), wherein the method comprises following step (A);

(A) providing the color conversion film (100) according to any one or more of the claims 12 to 15, in an optical device.

30

35

Fig. 1

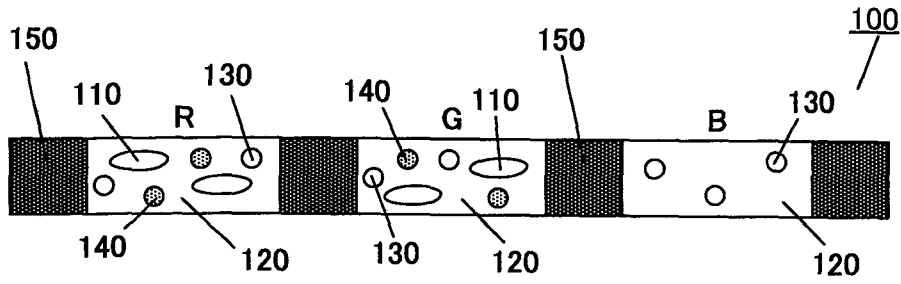


Fig. 2

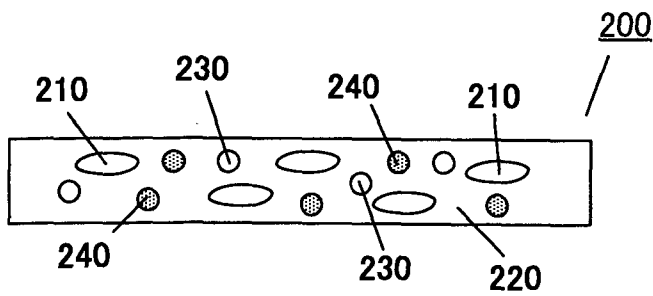


Fig. 3

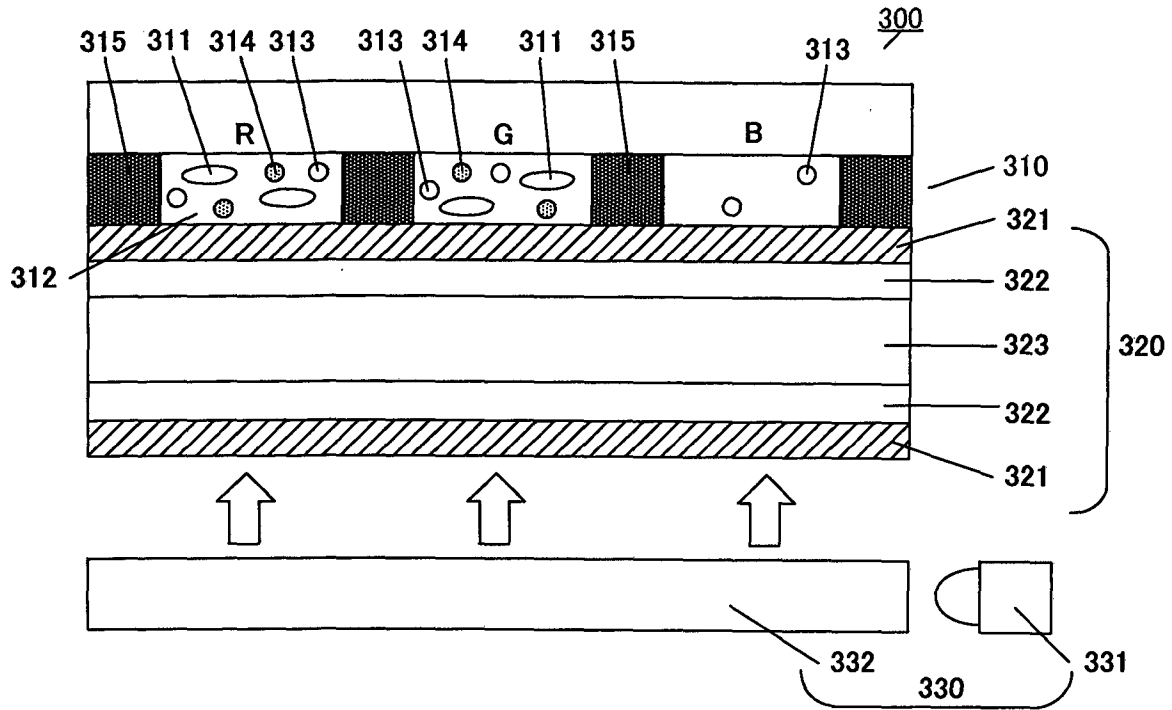


Fig. 4

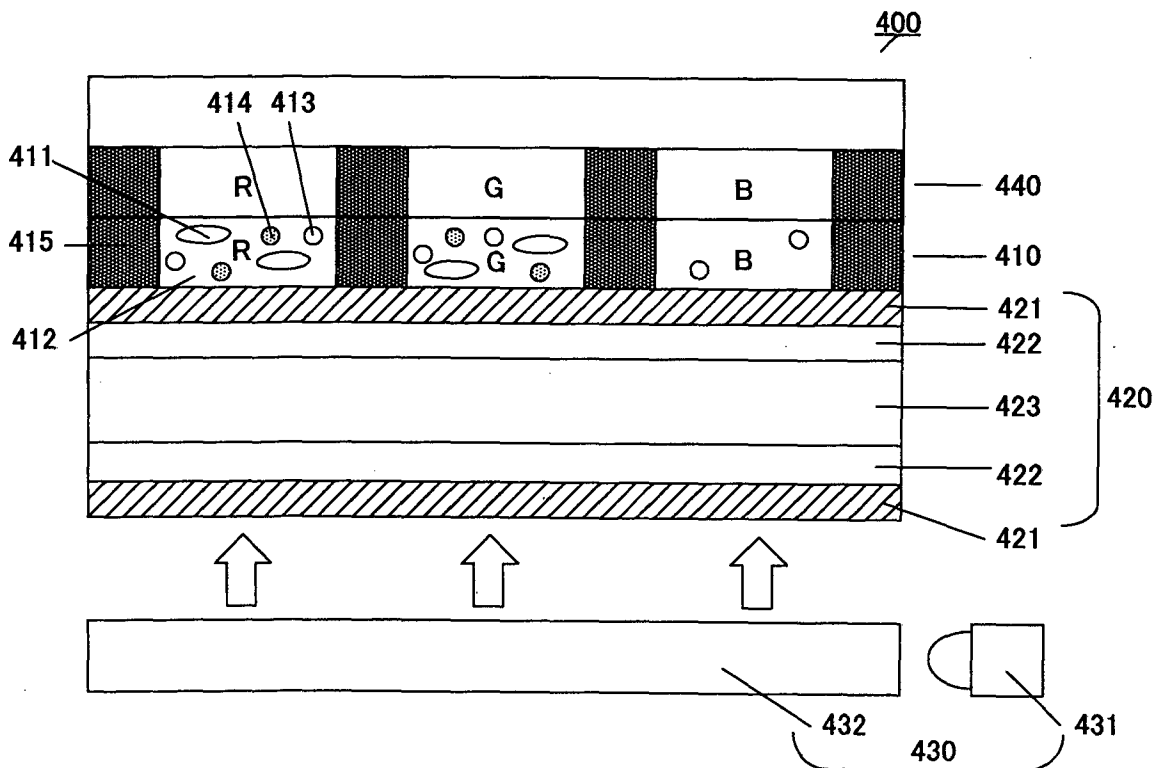


Fig. 5

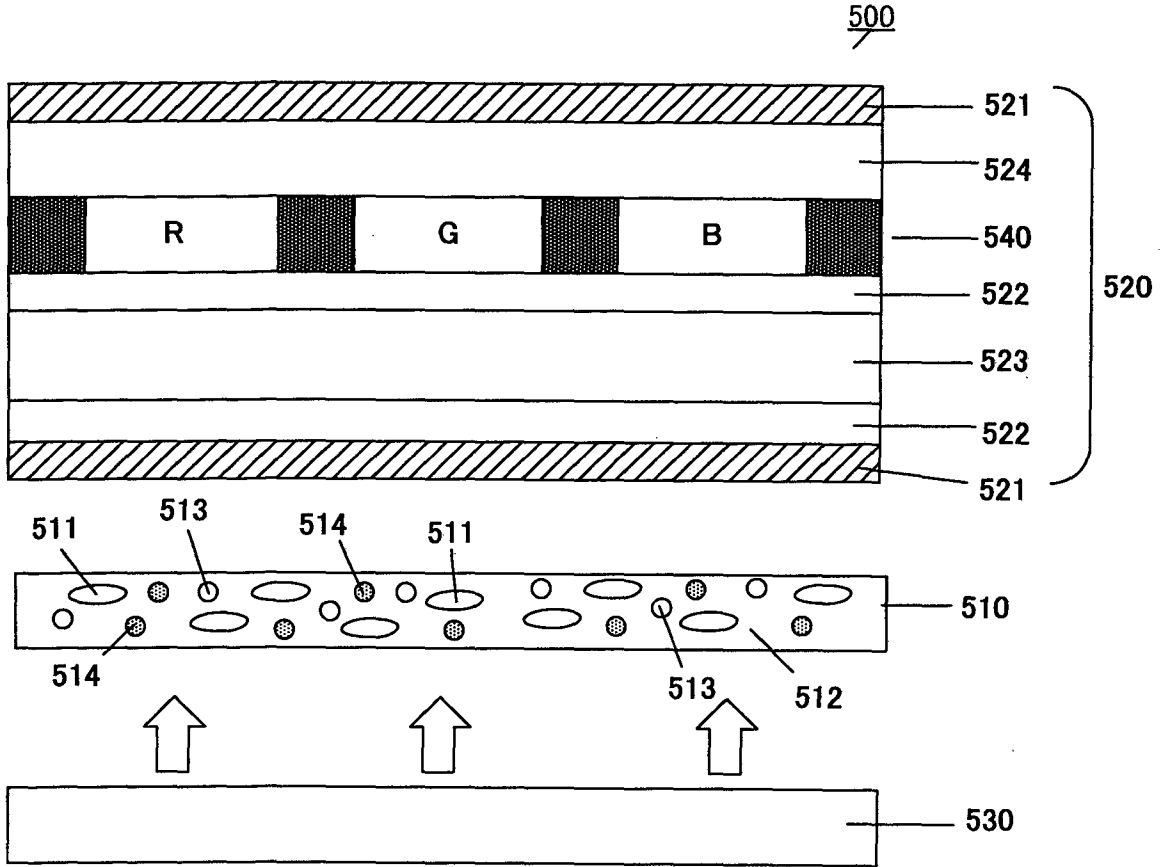


Fig. 6

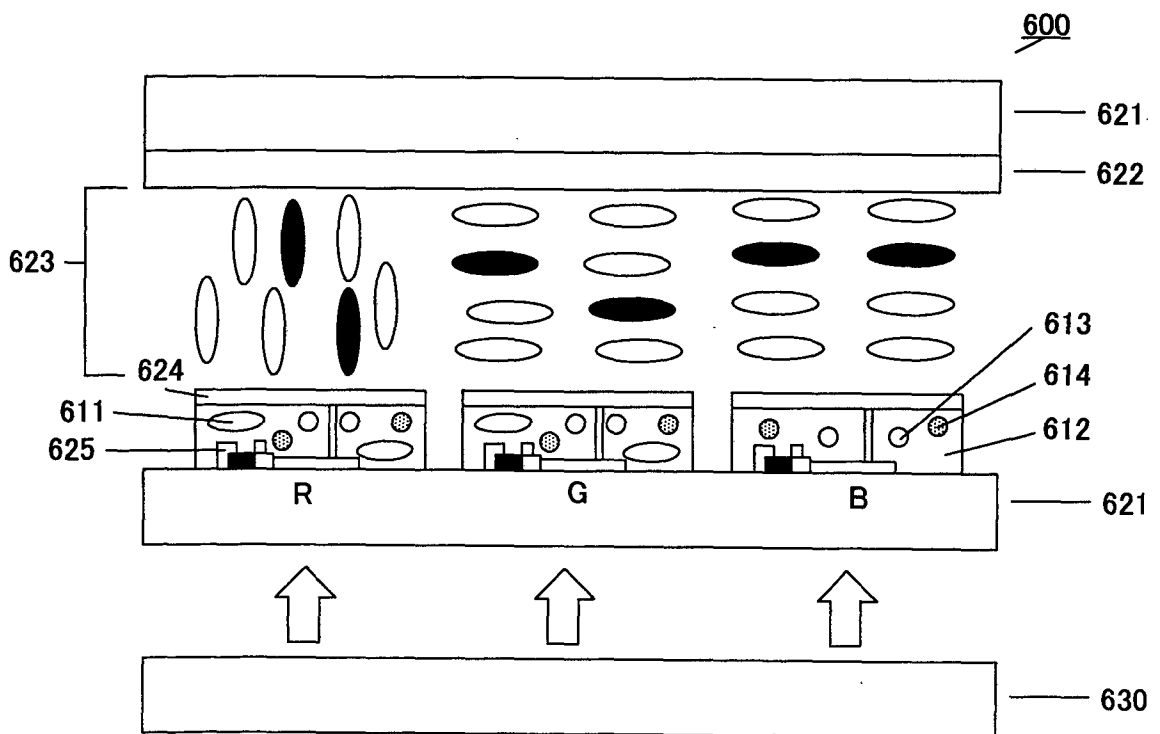




Fig. 7

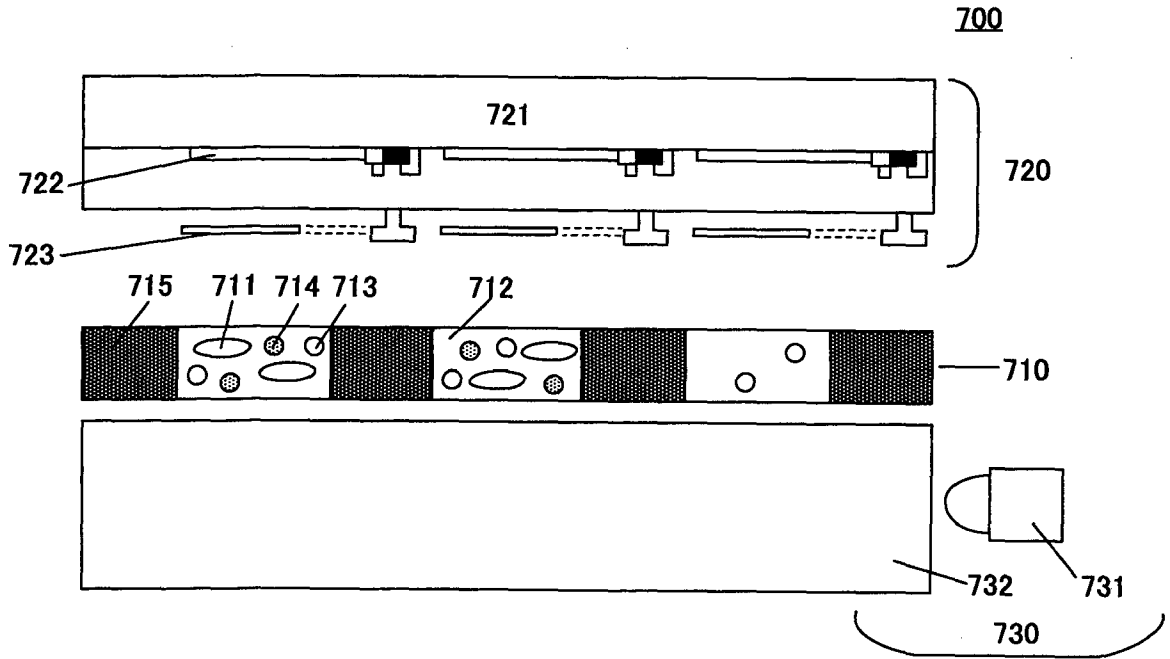


Fig. 8

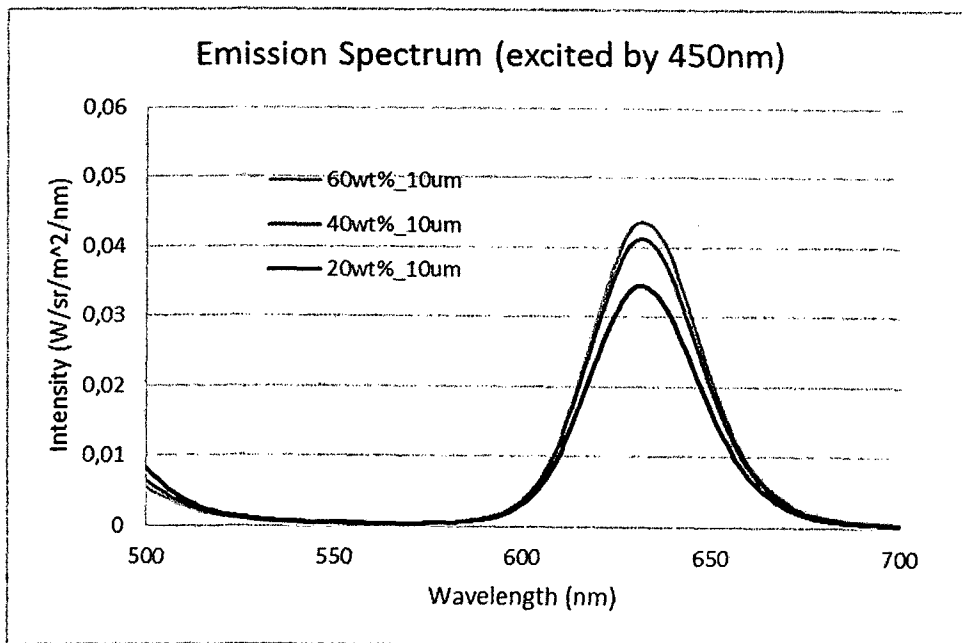
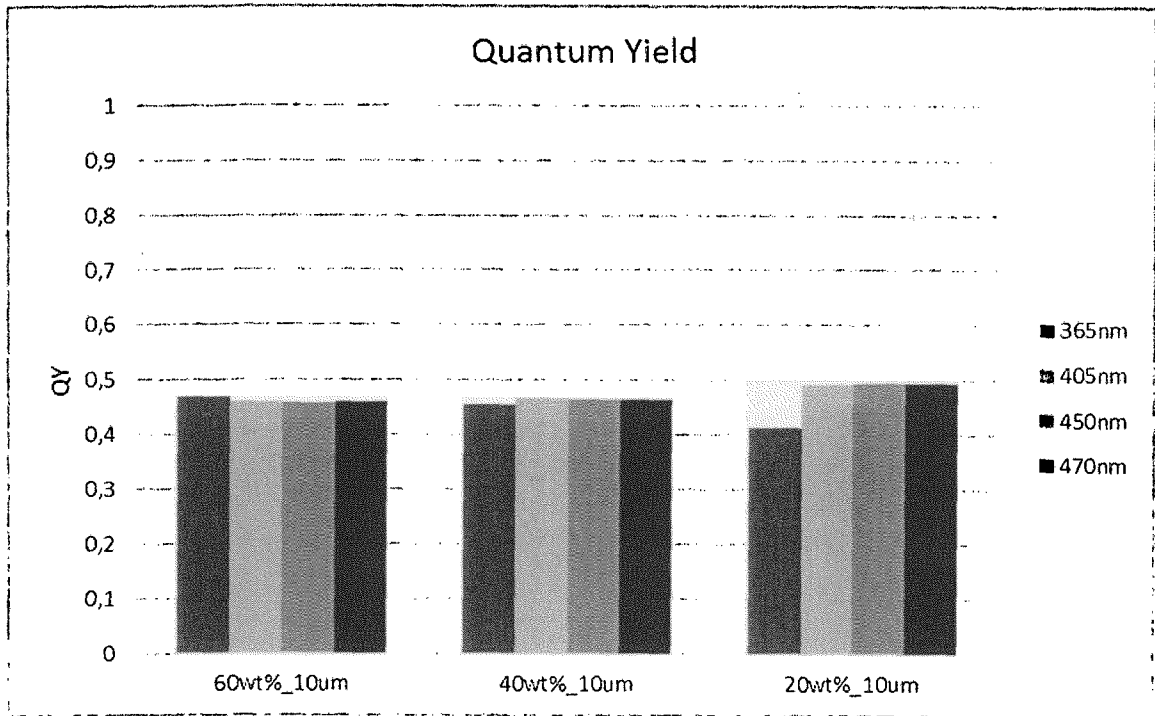


Fig. 9



# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/EP2016/000193

## Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

see additional sheet

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
  
2.  As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
  
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

International application No  
PCT/EP2016/000193

A. CLASSIFICATION OF SUBJECT MATTER  
INV. G03F7/004 G03F7/075  
ADD.  
According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED  
Minimum documentation searched (classification system followed by classification symbols)  
G03F  
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
EPO-Internal, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	JP 2014 174406 A (JSR CORP) 22 September 2014 (2014-09-22) cited in the application abstract paragraph [0043] paragraph [0106] Working Examples; paragraph [0260] - paragraph [0287]; examples 1-10	1-21
A	----- WO 2006/027956 A1 (KANEKA CORP [JP]; MATSUMOTO KAZUAKI [JP]) 16 March 2006 (2006-03-16) abstract; claims; examples 1-8 paragraph [0008] - paragraph [0011] Working Examples; paragraph [0142] - paragraph [0157] ----- -/--	1-21

Further documents are listed in the continuation of Box C.

See patent family annex.

\* Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"E" earlier application or patent but published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"&" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 18 July 2016	Date of mailing of the international search report 27/07/2016
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Name and mailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer Paisdor, Bernd
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## INTERNATIONAL SEARCH REPORT

International application No  
PCT/EP2016/000193

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 2014/165516 A1 (RENSELAER POLYTECH INST [US]; UNIV SOUTH CAROLINA [US]) 9 October 2014 (2014-10-09) abstract; figures 1,3 paragraph [0040] - paragraph [0046] paragraph [0085] - paragraph [0095]; examples 1,2 -----	1-21
A	US 2012/153229 A1 (BAE BYEONG-SOO [KR] ET AL) 21 June 2012 (2012-06-21) abstract; claims paragraph [0032] - paragraph [0033]; claims 18,19 paragraph [0035] - paragraph [0040]; examples -----	1-21
A	CN 103 728 837 A (BOE TECHNOLOGY GROUP CO LTD) 16 April 2014 (2014-04-16) abstract & US 2016/011506 A1 (GU JINGXIA [CN] ET AL) 14 January 2016 (2016-01-14) abstract paragraphs [0005], [0010] - [0019] paragraph [0023] - paragraph [0024] paragraph [0057] - paragraph [0059]; examples 1-3 -----	1-21
A	WO 2013/093631 A2 (NANOCO TECHNOLOGIES INC [GB]) 27 June 2013 (2013-06-27) abstract; example 1 paragraph [0050] - paragraph [0052] -----	1-21
A	EP 2 466 367 A2 (SAMSUNG LED CO LTD [KR]) 20 June 2012 (2012-06-20) abstract paragraph [0064] - paragraph [0070] -----	1-21

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No  
PCT/EP2016/000193

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			WO 2013093631 A2 27-06-2013
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			EP 2466367 A2 20-06-2012
			KR 20120067543 A 26-06-2012
			US 2012155115 A1 21-06-2012
-----			

**FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210**

This International Searching Authority found multiple (groups of) inventions in this international application, as follows:

1. claims: 1, 2, 12(completely); 5-11, 14-21(partially)

Photosensitive composition, color conversion film comprising the same, use of the film in an optical device, an optical device, method for preparing the film, and the optical device as far as the photosensitive composition according to claim 1 is comprised

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2. claims: 3, 4, 13(completely); 5-11, 14-21(partially)

Photosensitive composition, color conversion film comprising the same, use of the film in an optical device, an optical device, method for preparing the film, and the optical device as far as the photosensitive composition according to claim 3 is comprised

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