



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification⁴ : G06F 15/21, 15/36</p>	<p>A1</p>	<p>(11) International Publication Number: WO 89/10598 (43) International Publication Date: 2 November 1989 (02.11.89)</p>
<p>(21) International Application Number: PCT/US89/01601 (22) International Filing Date: 14 April 1989 (14.04.89) (30) Priority data: 181,800 15 April 1988 (15.04.88) US (71) Applicant: IMAGE ENGINEERING, INC. [US/US]; 1013 Centre Road, Wilmington, DE 19805 (US). (72) Inventor: SACK, Michael, C. ; 29 Henderson Road, London SW18 3RR (GB). (74) Agent: TERZIAN, Berj, A.; Pennie & Edmonds, 1155 Avenue of the Americas, New York, NY 10036 (US). (81) Designated States: AT (European patent), BE (European patent), CH (European patent), DE (European patent), FR (European patent), GB (European patent), IT (European patent), LU (European patent), NL (European patent), SE (European patent).</p>		<p>Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>
<p>(54) Title: A METHOD OF EVALUATING CONSUMER CHOICE THROUGH CONCEPT TESTING FOR THE MARKETING AND DEVELOPMENT OF CONSUMER PRODUCTS</p>		
<div style="text-align: center;"> <p>CONVENIENT</p> </div>		
<p>(57) Abstract</p> <p>A method of concept testing includes performing a multi-attribute evaluation of prompts comprising concepts and products, eliciting consumer's evaluations of the extent to which each attribute ideally should be possessed by a product, eliciting consumer's evaluations of their likelihood of purchasing the products and concepts, performing an independence factor analysis of the attributes whereby clusters of attributes are identified as factors in purchase decisions, performing a squeeze analysis whereby a matrix of factors (Fig. 2) is created wherein points defining the distances between each product (A-F) and the ideal product (ID) are plotted and a rating (1-6) is assigned to each factor and to each attribute so that the distance between each product and the ideal product are re-ranked into the same order as the purchasing likelihoods, and measuring on a factors map (Fig. 3), for each prompt, the deviation of each attribute evaluation from the mean attribute evaluation for all prompts.</p>		

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	FR	France	ML	Mali
AU	Australia	GA	Gabon	MR	Mauritania
BB	Barbados	GB	United Kingdom	MW	Malawi
BE	Belgium	HU	Hungary	NL	Netherlands
BG	Bulgaria	IT	Italy	NO	Norway
BJ	Benin	JP	Japan	RO	Romania
BR	Brazil	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	LI	Liechtenstein	SN	Senegal
CH	Switzerland	LK	Sri Lanka	SU	Soviet Union
CM	Cameroon	LU	Luxembourg	TD	Chad
DE	Germany, Federal Republic of	MC	Monaco	TG	Togo
DK	Denmark	MG	Madagascar	US	United States of America
FI	Finland				

-1-

**A METHOD OF EVALUATING CONSUMER CHOICE
THROUGH CONCEPT TESTING FOR THE
MARKETING AND DEVELOPMENT OF CONSUMER PRODUCTS**

BACKGROUND OF THE INVENTION

5 This invention relates to quantitative methods for
evaluating consumer response to a product idea prior to the
introduction to the market of an actual product which
embodies that idea and for generating communication designed
to alter consumer attitudes toward existing products. These
10 methods involve the evaluation by consumers of product
concepts having certain rational benefits, such as "a
detergent that removes stains but is gentle on fabrics," or
non-rational benefits, such as "a shampoo that lets you be
yourself." Such methods are commonly referred to as concept
15 testing and have been performed using field surveys,
personal interviews and focus groups, in combination with
various quantitative methods, to generate and evaluate
product concepts.

20 The concept generation portions of concept testing
have been predominantly qualitative. Advertising
professionals have generally created concepts and
communications of these concepts for evaluation by
consumers, on the basis of consumer surveys and other market
25 research, or on the basis of their own experience as to
which concepts they believe represent product ideas that are
worthwhile in the consumer market.

30 The quantitative portions of concept testing
procedures have generally been placed in three categories:
(1) concept evaluations, where concepts representing product
ideas are presented to consumers in verbal or visual form
and then quantitatively evaluated by consumers by indicating
degrees of purchase intent, likelihood of trial, etc., (2)
35 positioning, which is concept evaluation wherein concepts

-2-

positioned in the same functional product class are evaluated together and (3) product/concept tests, where consumers first evaluate a concept, then the corresponding product, and the results are compared.

5

Prior to this invention, concept testing has been inadequate as a means to identify and quantify the criteria upon which consumer preference of one concept over another was based. These methods were insufficient to ascertain the relative importance of the factors responsible for or governing why consumers, markets and market segments reacted differently to concepts presented to them in the concept tests. Without such information, market researchers and advertisers, with their expertise, could generalize, on the basis of a concept test, as to how consumers might react to the actual products or to variations of the tested concepts. Communication of the concept, as embodied in a new product, has generally been left to the creativity of the advertising agency. No systematic quantitative method was known, however, which could accurately identify the criteria on which the consumer choices were based and the contribution or importance of each criterion to the purchase decision. Therefore, previous concept testing methods have failed to provide market researchers with the complete information necessary for them to create products specifically tailored to satisfy a consumer group balance of purchase criteria.

Moreover, previous concept testing methods have failed to accurately quantify the relationships between consumer response to concepts and consumer choice of existing products which compete in the same consumer market. Thus, the prior methods were unable to provide a communication of the benefits of a consumer product, closely

35

-3-

representing the tested concept, to a degree of accuracy commensurate with that of the present invention.

5 These problems of concept testing have been
identified in business and marketing journals. For example,
in Moore, William L., Concept Testing, Journal of Business
Research 10, 279-294 (1982), a literature survey and review
of concept testing methodology, it is pointed out that
10 concept tests have failed to account for changes between the
concept tested and the communication describing the benefits
of the product which embodies the concept. The Moore
article reports that "no amount of improvement in current
concept testing practices can remedy these problems." This
15 is reflective of the fact that none of the prior methods
provided a quantitative means for ascertaining the relative
importance of the underlying criteria of concept choices as
a means for identifying the visual and verbal expressions of
the concepts which best communicate the benefits sought by
the consumer. Nor did the prior methods quantify the
20 relationships between concepts and existing products offered
in the same consumer market. The ability of the method of
the present invention to ameliorate or overcome the above
shortcomings provides substantial improvement in
communication of the concepts identified in testing and
25 offered to the market as a product.

SUMMARY OF THE INVENTION

30 The present invention is a novel method of
consumer product concept testing which utilizes a unique
combination of qualitative methods to guide concept
generation and quantitative concept evaluation. More
specifically, the method of this invention provides a model
of consumer choice based upon multi-attribute evaluations of
35

both concepts and existing products similarly positioned in the market which, when combined with effective methods of concept generation, not only identifies the relative appeal to consumers of alternative products and concepts of
5 products and the criteria on which those choices are based, but the relative importance of each criterion to the choice.

The concepts to be tested are preferably generated in accordance with a systematic, qualitative approach.
10 Product benefits are elicited from consumers in qualitative interviews to determine what positive characteristics the consumers associate with similarly positioned products. The benefits elicited are both rational and non-rational. The products are usually identified by brand and are currently
15 available for purchase in the consumer market. The interviews are preferably projective in that they include the elicitation of product benefits which, to the consumer, personify the products. The market researcher, with this information, then guides the generation of concepts,
20 including verbal and visual expressions which represent the benefits which consumers associated with the similarly positioned products.

The key to the success of this invention resides
25 in its ability to quantitatively identify the criteria upon which consumer choices of concepts are based and the importance of each criterion to the consumer choice. This invention recognizes that the decision of a consumer to purchase a product is, in most cases, based upon little more
30 than 3 to 5 factors. This invention utilizes 30 to 50 attributes in multi-attribute evaluation of products and concepts, which attributes are grouped, by independence factor analysis, into clusters. These clusters represent the underlying factors of the consumer purchase decision.

-5-

In carrying out the invention, a squeeze analysis of the attributes is performed whereby the attributes, on the basis of the attribute evaluations, and the factors, on the basis of representative attribute evaluations within
5 each cluster, are ordered in accordance with their relative contribution or importance to the purchase decision. This ordering is achieved by squeezing a multi-dimensional matrix and remeasuring the Euclidean distances thereon between
10 points representing the evaluated products and concepts and the point representing an evaluated reference product, usually the ideal. These distances are reordered to match the order of the preferences or purchase intent expressed by the consumers for the respective products and concepts.

15 The determination of the relationships between these Euclidean distances and evaluations of purchase intent for existing products, as well as for concepts, constitutes a pattern that is revealing of the considerations upon which consumers make purchase decisions. This connection between
20 the criteria underlying consumer behavior in the actual market and in choosing between concepts has not previously been achieved and leads to better targetting of product and communication development.

25 This information thus is singularly valuable to quantitatively identify the verbal and visual expressions which most effectively communicate the promises or product benefits which have been identified as the most important
30 criteria in consumer choice. These visual and verbal communications are useful, for example, in creating or altering a marketing strategy for consumer products, changing or creating the images of a consumer product through advertising and in targeting consumer groups.

35

BRIEF DESCRIPTION OF THE DRAWINGS

5 FIG. 1 is a depiction of two dimensions of the multidimensional matrix, wherein points representing products and an ideal product have been plotted with respect to two attributes.

10 FIG. 2 illustrates the effect upon the multidimensional matrix, as depicted in FIG. 1, caused by a squeeze analysis of the attributes.

FIG. 3 is an example of a factors map for a concept.

15 DESCRIPTION OF THE PREFERRED METHOD OF CARRYING OUT THE INVENTION

A multi-attribute evaluation of prompts, comprising existing products and concepts of products which are similarly positioned in the consumer market, is performed using a method which will result in an acceptable level of behavioral variance among consumers within a product class. The method of attribute evaluation used in this process should achieve over 70% and, preferably, over 90% behavioral variance. This is essential for the process to provide information regarding patterns of decision making, based on importance of criteria, and to successfully communicate the benefits of products embodying the tested concepts.

30 The evaluations are limited to products and concepts found in the same consumer category or market based upon identity or similarity of product use. For example, products and concepts useful in haircare may be tested for a particular benefit such as superior rinsability.

35

-7-

In a preferred method of attribute evaluation for use in the method of this invention, consumers are presented with a group of related products in qualitative, open-ended interviews and requested to identify words or phrases which describe each product. The creation of sample consumer groups and structuring of interviews for this purpose are established according to conventional statistical guidelines.

The next step in performing a preferred method of attribute evaluation is the selection of the appropriate set of attributes to be used in the evaluations. Using various qualitative interviewing techniques, consumers are requested to identify (1) rational descriptors, which describe the products in terms of function or physical characteristics, and (2) emotional descriptors, which describe the emotional reasons which the consumers have for choosing a product such as, for example, status, feelings of trust in the brand, personal identification with the brand or the communication of its benefits in advertising media, and which include (i) stereotype descriptors, which consumers use to describe the demographic traits of users of the products and (ii) personality descriptors which consumers use to personify brands or products.

The descriptors obtained in the above enumerated three areas are usually 1000 to 2000 in number which number is initially reduced by various qualitative interviewing techniques, to reduce the number of descriptors, usually to approximately 100 to 200, thereby enabling the subsequent application of statistical analyses to further reduce such numbers. These techniques preferably include so-called game playing techniques, wherein consumers try to suggest a given product, using attributes. The redundant descriptors are

35

-8-

eliminated from consideration as attributes and will not be used in the quantitative interviews.

5 The descriptors remaining after the initial
reduction are then submitted in quantitative interviews to
consumers, in association with the products, wherein
consumers are requested to evaluate the extent or degree to
which each descriptor presented to him is attributable to
each product presented to him in the interview. Evaluations
10 are obtained for all remaining descriptors and for all
products overall, although all products and all descriptors
preferably are not presented to each consumer in the
interviews. A preferred method of quantitative interviewing
is the SCRIBE computer aided interview, available from Frost
15 International Research, whereby consumers are shown a monitor
listing various items and are requested to cause a cursor or
other indicator, using a hand-held control, to move along a
line visually representing a linear scale of the degree or
extent to which a descriptor describes, is associated with or
20 is otherwise attributable to each product. The process is
repeated among a representative sample of consumers, created
on the basis of standard statistical guidelines. All data is
preferably not presented to each consumer, as pointed out
above, but each product is evaluated sufficiently with
25 respect to each descriptor so that the data is sound and
within generally accepted confidence levels.

A discriminant analysis for the set of descriptors
is performed. A discrimination index is thereby formed
30 wherein each descriptor is assigned a value which represents
the extent to which that descriptor discriminates between
products among all of the consumers interviewed. The
evaluated descriptors are then ordered according to their
respective ranks in the discrimination index. The final set
35 of attributes to be used in the final quantitative interviews

are chosen from the descriptors on the bases of rank in the discrimination index and ability to provide the greatest degree of behavioral variance and usually number between 30 and 50.

5

The final set of approximately 30 to 50 attributes is then presented to consumers in conjunction with existing products and concepts. In quantitative interviews, consumers are requested to evaluate the extent to which each attribute is attributable to each product. Also elicited from the consumers is the extent to which each attribute is attributable to ideal products or to one or more other reference products in the same produce use category. During these final interviews, consumers are also requested to express a degree of preference for each product, which can be expressed as a preference for one item relative to the others, or as a degree of likelihood that the consumer would choose or purchase the item.

10

15

20

The concepts to be evaluated are generated by first eliciting from consumers in projective qualitative interviews benefits that consumers associate with existing products in the class of products to which the concepts to be tested relate. The benefits elicited are both rational and irrational. The interviews are preferably projective in that they result in the elicitation of benefits which include "characteristics" of the products as personified. The benefits elicited in the qualitative interviews are then used as a guide in the creation of verbal and visual concepts which represent or communicate the benefits elicited in the qualitative interviews. Devising the concept statement, visual image or combination thereof is the creative work of advertising professionals and, for the purpose of generating concepts for evaluation by the method of this invention, is

25

30

35

-10-

preferably based upon benefits which are elicited by market researchers in the aforementioned projective interviews.

5 The concepts generated as described above and, preferably, additional concepts which are generated according to known methods, are submitted to consumers in quantitative interviews wherein the consumers evaluate the concepts using the attributes selected according to the method described above. This multi-attribute evaluation is also performed in 10 the quantitative interviews with respect to existing benefit expression for products which are in the same category as the concepts, for example, as communicated in current advertising. These concepts and expressions are collectively referred to as prompts and are submitted to the consumer for 15 attribute evaluation.

Another response elicited from the interviewed consumers, in addition to attribute evaluations, is an indication of likelihood of purchasing a product associated 20 with the prompt. In this regard, the consumer is asked to rate, on a scale of 1 to 100, for example, what the likelihood is of that consumer purchasing some product which possesses the expressed benefits or which is represented by the concept presented.

25 A series of so-called data-check responses should be elicited from the consumers during the interviews to insure that the consumers understand the prompts being presented to them and that the market researcher properly 30 interprets and applies the evaluations. In open-ended, qualitative inquiries, consumers are requested to identify their personal likes and dislikes about the prompt, expectations of the usefulness and quality of the prompt, the credibility of the given purpose of the prompt, 35 identification of purposes other than the given purpose which

-11-

would be appropriate for the prompt and, generally, to spontaneously respond to the main idea or purpose of the prompt. These responses may indicate a misunderstanding of a given prompt on the part of a consumer, which might justify disregarding his evaluation related to that prompt.

The success of the market researcher in creating the concepts to reflect the respective elicited product benefits is also preferably checked by requesting the consumers to evaluate the extent to which the benefits, which were the basis of the created concept, are attributable to that concept. Consistently poor results may justify rejecting the created concept as a poor representation or communication of the benefits which the concept was designed to communicate to the consumer.

An independence factor analysis of the attributes is then performed, using the quantitative data obtained from the attribute evaluations, whereby clusters of related attributes are formed and identified as factors representing the constructs of consumer behavior associated with distinguishing between the products.

A squeeze analysis of the attributes and factors is then performed, wherein a point representing each product and each reference product, for a given purpose or product positioning, is then plotted on a multi-dimensional matrix based upon the attribute evaluations for each respective product and reference product. The relationships between the points representing the products are best represented by the Euclidean distance across hyperspace between these points and the point representing the reference product on the multi-dimensional matrix and by a comparison of those distances to the expressed preferences for the respective products. The reference product used is preferably a theoretical ideal

-12-

product for which consumers are requested to evaluate the extent to which each attribute would ideally be possessed by that product. The reference product can also be an actual product, such as, for example, the brand most often used or purchased by consumers or the product most frequently identified as the brand currently used by the consumers.

Each factor and each attribute is rated by performing a squeeze analysis of the attributes so that the Euclidean distances between the points on the matrix representing each item and the ideal or other reference item inversely correspond to the expressed preferences for or likelihood of purchasing the respective products. These attribute ratings indicate the relative contribution of each factor and each attribute to the consumers' choice or purchase decision.

The multidimensional matrix is formed by plotting points representing each existing product and concept, based upon the attribute evaluations associated with them by the consumers. The number of dimensions of the matrix is equal to the number of attributes by which the products and concepts have been evaluated, which commonly number from 30-50. In Figure 1, the positions of points representing six products or concepts are shown by circles containing the letters "A" through "F" and the ideal by "ID", in two dimensional space defined by the evaluations of the attributes, "convenient" and "inexpensive," for a single consumer. Each point "A" through "F", representing a product or concept, is further associated with a subscript identifying its rank, in descending order, of expressed degree of preference or likelihood of purchase. The Euclidean distances between the points representing the ideal "ID" and each item "A" through "F," respectively, are

-13-

measured and a squeeze analysis of the attributes is performed, iteratively according to St. James' theorem, as depicted in Figure 2 for the same two attributes shown in Figure 1. The attributes are rated so that the points "A" through "F," which are numbered "1" through "6" in Figure 2 to reflect their relative likelihoods of being purchased, are realigned during the squeeze so that the Euclidean distances between the ideal point and points "1" through "6" in Figure 2, respectively, from short to long, are ranked in the same order to be proportional to the likelihoods of purchasing each respective product, from greatest to least. The use of only two attributes, or dimensions, in Figures 1 and 2 is to enable a representative portion of the multi-dimensional matrix and squeeze analysis to be depicted in a two-dimensional medium. In creating the matrix and performing the squeeze analysis, all attribute evaluations are actually utilized. The values used to rate the attributes and factors to obtain the foregoing relationship between Euclidean distances on the matrix and degrees of likelihood of purchase are recorded as importance ratings, each of which is assigned to the respective attributes and factors and reflects the relative contribution of the attribute and factor as a criterion in the consumers' purchase decision.

It is useful to analyze the data obtained from the attribute evaluations separately for market segments defined by various characteristics. It is therefore preferable to elicit from the consumers, during the interviews, demographic, attitude, opinion, product usage and other behavioral and characteristic information about each consumer which information may be used to define such market segments.

The results of the quantitative method of this invention are conveniently depicted in a factors map, created for each prompt, wherein the attributes are grouped in

-14-

factors defined in the factor analysis and are ordered by their importance as criteria in the consumer purchase decision. Factors maps may also be created for target groups of consumers defined by responses to various questions posed during the interviews and designed to elicit demographic and/or purchase behavior characteristics of the consumers. The significant information to be derived from the factors maps are the differences between each attribute evaluation for the prompt and the mean of all attribute evaluations for all prompts. These differences are preferably expressed as standard deviations. The prompts for which such differences are significant deviations above that mean for factors which have the greatest contribution, i.e., are the most important criteria to the consumer purchase decision, are identified as the most desirable concepts and/or communications of benefits. This most effectively identifies to the market researcher the concepts which, when embodied in products, will most likely achieve high trial rates and become successes in the market. This also identifies to the market researcher the underlying criteria of the consumers' favorable ratings of concepts, expressed in terms of the same attribute evaluations, grouped as factors, which the consumers use in evaluating existing products and making purchase decisions.

25

An example of a factors map is depicted in Figure 3. The factors, and attributes within each factor, are ordered, from left to right, in decreasing importance as criteria in consumer preference or purchase interest for the concept. The attributes are grouped as factors and shown within columns which represent the factors, separated by vertical dashed lines. The contribution of each factor to purchase intent, expressed as a percentage, is shown at the top of each column. The attributes are identified by number on the horizontal axis, and a scale of standard deviations

35

-15-

evaluations for all prompts is represented by a straight horizontal line and the points representing the attribute evaluations for each factor for that prompt are shown as deviating above or below that horizontal line and are
5 connected by an irregular horizontal line.

Moreover, the entire spectrum of attribute evaluations and deviations of those evaluations, which are derived from each factors map, reveals to the market
10 researcher the criteria upon which the consumer evaluations of the concept are based and the importance of each criterion to the consumer's decision to purchase a product embodying the concept. This provides the market researcher with the key to translating the concept into a product and to
15 effectively communicating the benefits of that product in advertising.

It will be understood that the invention is not limited to the preferred illustrations and embodiments
20 described above, but also encompasses the subject matter delineated by the following claims and all equivalents thereof.

25

30

35

-16-

CLAIMS

5 I claim:

1. A method of concept testing comprising:

10 a. performing a multi-attribute evaluation
of prompts comprising concepts and existing products
which compete in the same consumer market;

15 b. eliciting from consumers evaluations of
the extent to which each attribute ideally should be
possessed by a product in the same consumer market;

20 c. eliciting from consumers evaluations of
their likelihood of purchasing the existing products
and products described by the prompts;

d. performing an independence factor
analysis of the attributes whereby clusters of related
attributes are formed and are identified as factors;

25 e. performing for each prompt a squeeze
analysis of the factors whereby (i) a matrix of factors
is created wherein points defining the Euclidean
distances between each product and the ideal product are
plotted based upon the attribute evaluations associated
30 with each product and (ii) a rating is assigned to each
factor and to each attribute so that the Euclidean
distances between the points on the matrix representing
each product and the point representing the ideal
product are re-ranked into the same order as the
35 likelihoods of purchasing each product.

-17-

2. A method of concept testing comprising:

5 a. performing a multi-attribute evaluation of prompts comprising concepts and existing products which compete in the same consumer market;

10 b. eliciting from consumers evaluations of the extent to which each attribute ideally should be possessed by a product in the same consumer market;

15 c. eliciting from consumers evaluations of their likelihood of purchasing the existing products and products described by the prompts;

20 d. performing an independence factor analysis of the attributes whereby clusters of related attributes are formed and are identified as factors;

25 e. performing for each prompt a squeeze analysis of the factors whereby (i) a matrix of factors is created wherein points defining the Euclidean distances between each product and the ideal product are plotted based upon the attribute evaluations associated with each product and (ii) a rating is assigned to each factor and to each attribute so that the Euclidean distances between the points on the matrix representing each product and the point representing the ideal product are re-ranked into the same order as the likelihoods of purchasing each product;

30 f. calculating, for each prompt, the deviation of each respective attribute evaluation for that prompt from the mean of the attribute evaluations for all prompts.

35

-18-

3. A method according to claim 1 wherein the prompts are also comprised of communications of the benefits of existing products as currently communicated to the consumers in the market.

5

4. A method according to claim 1 wherein the attributes used in the multi-attribute evaluation are comprised of rational, personality and sterotype descriptors.

10

5. A method according to claim 4 wherein the attributes used in the multi-attribute evaluations are selected from descriptors elicited from consumers on the basis of their ability to enable consumers to discriminate between products.

15

6. A method according to claim 1 wherein the attributes selected systematically provide a level of behavioral variance greater than 70%.

20

7. A method according to claim 1 wherein the attributes selected provide a level of behavioral variance of about 90% or greater.

25

8. A method according to claim 5 wherein the attributes selected systematically provide a level of behavioral variance greater than 70%.

30

9. A method according to claim 5 wherein the attributes selected provide a level of behavioral variance of about 90% or greater.

10. A method according to claim 2 wherein the deviations of attribute evaluations for each prompt are

35

-19-

calculated for market segments defined by characteristics identified in further consumer responses obtained during the eliciting steps.

5 11. A method according to claim 2 wherein the deviations of attribute evaluations are calculated by measuring on a factors map, for each prompt or for each purpose for each prompt, the distance between the points representing the respective attribute evaluations and the
10 points representing mean attribute evaluations for other concepts or competitive products.

 12. A method according to claim 1 wherein the evaluations in each concept test are further limited to
15 concepts and products used for a given purpose.

 13. A method for testing concepts comprising:

 a. eliciting from consumers descriptors of
20 products including rational, personality and stereotype descriptors;

 b. submitting the descriptors to consumers in qualitative interviews in order to reduce the number
25 of descriptors by eliminating those which the interviews indicate are least sufficient as bases for the consumers to distinguish between the products;

 c. eliciting from consumers quantitative
30 evaluations of the extent to which the non-eliminated descriptors are attributable to the products;

 d. calculating a discrimination index of the evaluated descriptors whereby the least number of
35

-20-

descriptors, which provide the most discrimination between items and which account for the greatest amount of behavioral variance over 70% among the interviewed consumers, are identified as attributes;

5

e. eliciting from consumers in projective qualitative interviews benefits that communicate positive characteristics which consumers associate with similarly positioned products;

10

f. creating concepts which represent the elicited benefits;

15

g. eliciting from consumers evaluations of the extent to which the attributes are attributable to the existing products similarly positioned in the market;

20

h. eliciting from consumers evaluations of the extent to which each attribute ideally should be possessed by a product similarly positioned in the market;

25

i. eliciting from consumers evaluations of their likelihood of purchasing the products;

30

j. exposing to consumers a set of prompts comprising (1) concepts representing the benefits that consumers associated with the products in step e, (2) other given concepts describing potential benefits of the products and (3) existing expressions of benefits of currently available products;

35

-21-

k. eliciting from consumers evaluations of the extent to which each attribute is attributable to a product described by each prompt;

5 1. eliciting from consumers evaluations of the likelihood of purchasing a product described by each prompt;

10 m. performing an independence factor analysis of the attributes whereby clusters of related attributes are formed and are identified as factors;

15 n. performing for each prompt a squeeze analysis of the factors whereby (i) a matrix of factors is created wherein points defining the Euclidean distances between each product and the ideal product are plotted based upon the attribute evaluations associated with each product and (ii) a rank is assigned to each factor and to each attribute so that the Euclidean
20 distances between the points on the matrix representing each product and the point representing the ideal product are re-ranked into the same order as the likelihoods of purchasing each product;

25 14. A method according to claim 13 wherein the attributes selected provide a level of behavioral variance of about 90% or greater.

30 15. A method for testing concepts comprising:

 a. eliciting from consumers descriptors of products including rational, personality and stereotype descriptors;

35

-22-

- 5 b. submitting the descriptors to consumers in qualitative interviews in order to reduce the number of descriptors by eliminating those which the interviews indicate are least sufficient as bases for the consumers to distinguish between the products;
- 10 c. eliciting from consumers quantitative evaluations of the extent to which the non-eliminated descriptors are attributable to the products;
- 15 d. calculating a discrimination index of the evaluated descriptors whereby the least number of descriptors, which provide the most discrimination between items and which systematically account for the greatest amount of behavioral variance over 70% among the interviewed consumers, are identified as attributes;
- 20 e. eliciting from consumers in projective qualitative interviews benefits that communicate positive characteristics which consumers associate with similarly positioned products;
- 25 f. creating concepts which represent the elicited benefits;
- 30 g. eliciting from consumers evaluations of the extent to which the attributes are attributable to the existing products similarly positioned in the market;
- 35 h. eliciting from consumers evaluations of the extent to which each attribute ideally should be possessed by a product similarly positioned in the market;

-23-

i. eliciting from consumers evaluations of their likelihood of purchasing the products;

5 j. exposing to consumers a set of prompts comprising (1) concepts representing the benefits that consumers associated with the products in step e, (2) other given concepts describing potential benefits of the products and (3) existing expressions of benefits of currently available products;

10 k. eliciting from consumers evaluations of the extent to which prompts are associated with existing products;

15 l. eliciting from consumers evaluations of the extent to which each attribute is attributable to a product described by each prompt;

20 m. eliciting from consumers evaluations of the likelihood of purchasing a product described by each prompt;

25 n. performing an independence factor analysis of the attributes whereby clusters of related attributes are formed and are identified as factors representing constructs of consumer behavior associated with distinguishing between products;

30 o. performing for each prompt a squeeze analysis of the factors whereby (i) a matrix of factors is created wherein points defining the Euclidean distances between each product and the ideal product are plotted based upon the attribute evaluations associated with each product and (ii) a rank is assigned to each
35 factor and to each attribute so that the Euclidean

distances between the points on the matrix representing each product and the point representing the ideal product are re-ranked into the same order as the likelihoods of purchasing each product;

5

p. calculating for each prompt the deviation of each attribute evaluation for that prompt, from the mean of the attribute evaluations for all prompts, for groups of consumers defined by characteristics identified, in further responses obtained during the eliciting steps.

10

16. A method according to claim 15 wherein the attributes selected provide a level of behavioral variance of about 90% or greater.

15

20

25

30

35

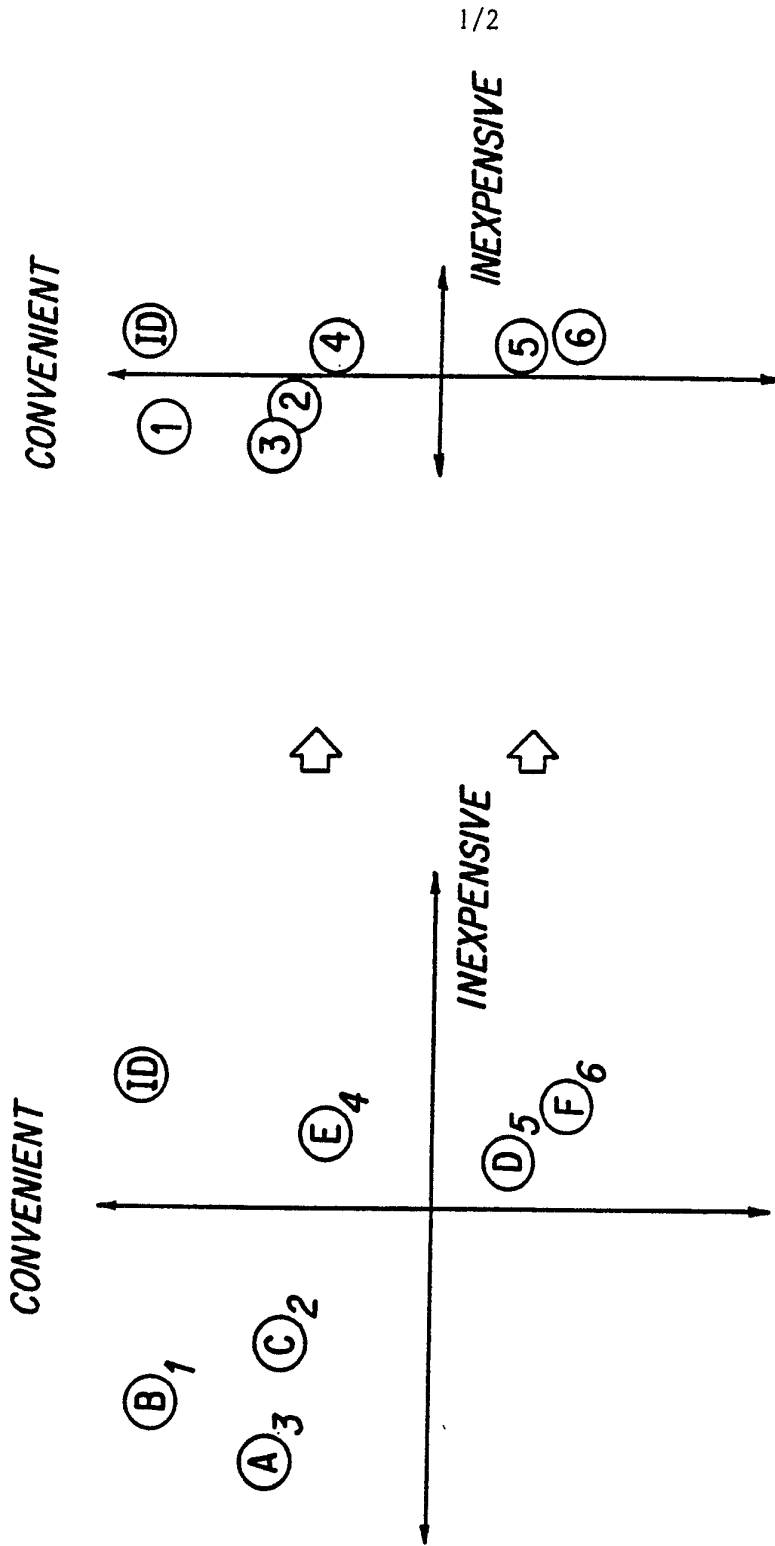


FIG. 1

FIG. 2

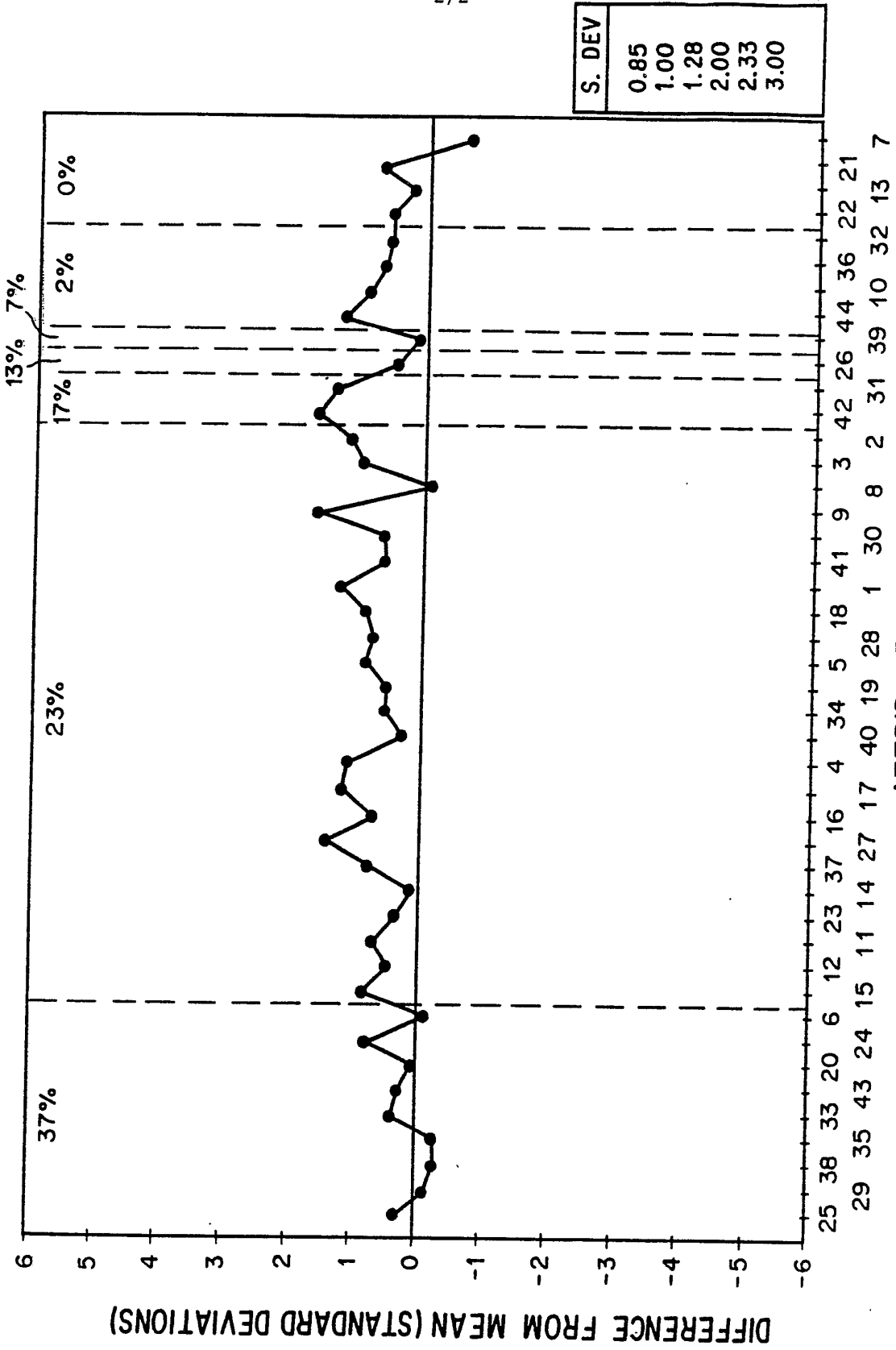


FIG. 3

INTERNATIONAL SEARCH REPORT

International Application No. PCT/US89/01601

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) ⁶

According to International Patent Classification (IPC) or to both National Classification and IPC
 IPC(4): G06F 15/21, 15/36
 U.S. Cl.: 364/401, 419

II. FIELDS SEARCHED

Minimum Documentation Searched ⁷

Classification System	Classification Symbols
U.S. Cl.	364/225, 401, 419

Documentation Searched other than Minimum Documentation
 to the Extent that such Documents are Included in the Fields Searched ⁸

III. DOCUMENTS CONSIDERED TO BE RELEVANT ⁹

Category *	Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²	Relevant to Claim No. ¹³
A	W. Dillon and M. Goldstein, "Multivariate Analysis", published 1984, by John Wiley and Sons, see pages 107 to 153.	
A	ADMAP, issued 1983, November, P. Sampson, "BASES: A Way Ahead for New Product Development", see pages 594 to 600.	
A	Journal of Marketing Research, Volume 20, issued 1983, August, G. Urban and G. Katz, "Pre-Test-Market Models: Validation and Managerial Implications", see pages 221 to 234.	
A	Journal of Business Research, Volume 10, issued 1982, W. Moore, "Concept Testing", see pages 279 to 294.	
A	Journal of Marketing, Volume 45, issued 1981, P. Green, J. Carroll and S. Goldberg, "A General Approach to Product Design Optimization Via Conjoint Analysis", see pages 17 to 37.	

* Special categories of cited documents: ¹⁰

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

IV. CERTIFICATION

Date of the Actual Completion of the International Search

5 September 1989

Date of Mailing of this International Search Report

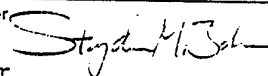
19 SEP 1989

International Searching Authority

ISA/US

Signature of Authorized Officer

Stephen M. Baker



III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)

Category *	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No
A	Journal of Marketing Research, Volume 16, issued 1979, May, A. Shocker and V. Srinivasan, "Multiattribute Approaches for Product Concept Evaluation and Generation: A Critical Review", see pages 159 to 180.	
A	Journal of Consumer Research, Volume 5, issued 1979, March, J. Hauser and G. Urban, "Assessment of Attribute Importances and Consumer Utility Functions: von Neumann-Morganstern Theory Applied to Consumer Behavior", see pages 251 to 262.	
A	Journal of Marketing Research, Volume 15, issued 1978, May, A. Silk and G. Urban, "Pre-Test-Market Evaluation of New Packaged Goods: A Model and Measurement Methodology", see pages 171 to 191.	
A	Operations Research, Volume 25, no. 4, issued 1977, July, J. Hauser and G. Urban, "A Normative Methodology for Modeling Consumer Response to Innovation", see pages 579 to 619.	
A	J. Meyers and E. Tauber, "Market Structure Analysis", published 1977, by the American Marketing Association, see pages 90 to 137.	
A	Management Science, Volume 21, no. 8, issued 1975, April, G. Urban, "PERCEPTOR: A Model for Product Positioning", see pages 858 to 871.	