

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
23 April 2009 (23.04.2009)

PCT

(10) International Publication Number  
WO 2009/052153 A1

(51) International Patent Classification:  
H04B 3/36 (2006.01)

(21) International Application Number:  
PCT/US2008/079954

(22) International Filing Date: 15 October 2008 (15.10.2008)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/979,874 15 October 2007 (15.10.2007) US

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(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

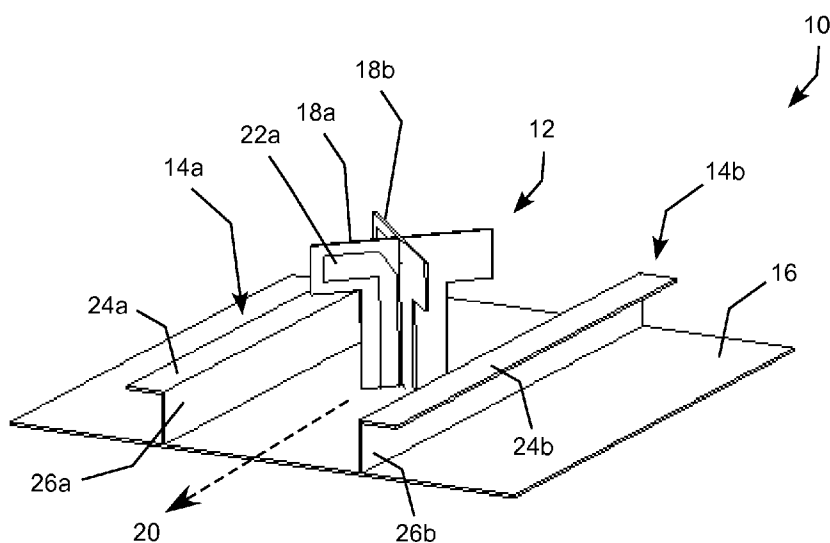
**Declarations under Rule 4.17:**

- as to the identity of the inventor (Rule 4.17(i))
- of inventorship (Rule 4.17(iv))

**Published:**

- with international search report

(54) Title: BASE STATION ANTENNA WITH BEAM SHAPING STRUCTURES



**FIG. 1**

(57) Abstract: A dual polarization base station antenna producing a beam having 3dB azimuth beamwidth of  $E(\theta)$  within  $5^\circ$  of the 3dB azimuth beamwidth of  $E(\phi)$ . The antenna also maintains  $E(\theta)$  and  $E(\phi)$  within 3dB of each other over a wide beamwidth up to  $120^\circ$  and over a wide bandwidth of 30% of the center frequency. The antenna achieves these performance characteristics through beam shaping structures connected to or located near the ground plane supporting the dipole antenna elements. By adjusting the locations and shapes of the beam shaping structures, specific antennas are designed to meet these design characteristics for different desired beamwidths, including  $45^\circ$   $60^\circ$   $90^\circ$  and  $120^\circ$ .

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## BASE STATION ANTENNA WITH BEAM SHAPING STRUCTURES

### 5 REFERENCE TO RELATED APPLICATION

This application claims filing priority to commonly owned United States Provisional Patent Application Serial No. 60/979,874, entitled "Dual Polarized Array Antenna" filed October 15, 2007, which is incorporated herein by reference.

### 10 TECHNICAL FIELD

The present invention pertains to the field of telecommunication antennas and, more particularly, to a base station antenna for a wireless telecommunication system that includes beam shaping structures that control the shape of the beam emitted by the antenna.

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### BACKGROUND

Diversity techniques are widely used in wireless communications to improve the signal performance. Spatial diversity typically uses two or more antennas spatially separated. The system performance is generally limited by the cross-correlation coefficient between the two spatially diversified antennas. The optimum performance occurs only when the cross-correlation coefficient approaches zero.

Polarization diversity provides an alternative to spatial diversity for base station communications. It has been widely used in cellular system (GSM), Personal Communications Services (PCS), and more recent systems including advanced wireless service (AWS) and WiMax. In actual communication systems, signals encounter multi-path propagation and multiple reflections, which cause depolarization of the signal. As a result, the antenna at the base station need not be aligned with vertical linear polarization. A dual polarization base station antenna creates two branches by using an antenna with dual simultaneous polarizations oriented orthogonally to each other. In general, the two branches of the dual polarization base station antennas are implemented by planar radiating elements slanted at  $+45^\circ$  and  $-45^\circ$  with respect to the

main axis of the antenna. For each branch, the slant 45° polarized antenna signals may be represented by two polarization components, one polarization component that is vertical and the other that is horizontal, namely E(theta) and E(phi), respectively. The slanting angle (θ) of the radiating element depends on the E(theta) and E(phi),

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$$\theta = \pm \tan^{-1} \frac{E_{\phi}}{E_{\theta}} \quad (1)$$

Theoretically, E(theta) and E(phi) need to maintain the same power across an azimuth (typically horizontal) cut in order to provide an effective performance for a wireless base station site with perfectly slanted ±45° dual polarized fields over the field of view of the antenna. The ideal antenna transmission pattern has substantially rotationally symmetric E(theta) and E(phi) radiation patterns along a typical 120° sector coverage with E(theta) equal to E(phi) over the entire range. In reality, prior art base station antennas are not able to maintain E(theta) and E(phi) within 3dB of each other over an azimuth range equal to 120° sector coverage. In addition, an ideal antenna performance would maintain E(theta) and E(phi) equal to each other over the entire applicable bandwidth, which typically covers a 30% range from about 85% to about 115% of the center frequency. Again, in reality, prior art base station antennas are not able to maintain E(theta) and E(phi) within 3dB of each other over a 30% bandwidth as well as over a 120° azimuth range.

In the wireless communication industry, base station antennas with different azimuth beamwidths are required by many operators. The azimuth beamwidths range between 18° and 120°. With a dipole, the azimuth beamwidth can be easily achieved below 65°. Multiple columns of dipoles with predetermined power distribution can achieve azimuth beamwidths as low as 18° with both E(theta) and E(phi) exhibiting similar signal strength along the 3dB beamwidth coverage. For azimuth beamwidth above 65°, however, the E(phi) 3dB beamwidth is limited to around 70° due to the nature of the dipole. In order to achieve wider azimuth beamwidth, the prior solution has been to increase the beamwidth of the E(theta). With this technique, the antenna loses the rotationally symmetric radiation patterns, and the slant 45° dipole leans to a

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smaller slanting angle based on the differences between  $E(\theta)$  and  $E(\phi)$  as described by equation (1), which causes the dual polarized dipoles to no longer be orthogonal to each other. As a result, the communication performance drops near the edge of the cell, potentially causing more dropped calls between the mobile unit and the  
5 base station.

Runyon, U.S. Pat. No. 6,067,053, describes a dipole antenna element with drooped dipole arms that can improve the radiation pattern performance by increasing the  $E(\phi)$  3dB beamwidth to more than  $70^\circ$ . However, the matching bandwidth of the droop arm dipole is limited by its nature to less than 10% to fit the PCS frequency band  
10 from 1850 – 1990MHz, equivalent to seven percent of the center frequency. As a result, the beam pattern performance is limited to the PCS frequency band for which the dipole was designed. In general, prior art dual polarization base station antennas have not been able to achieve beam pattern performance with the  $E(\theta)$  and  $E(\phi)$  3dB beamwidths within  $5^\circ$  of each other, while maintaining  $E(\theta)$  and  $E(\phi)$  within 3dB of  
15 each other over a wide beamwidth, such as  $120^\circ$ , and over a wide bandwidth, such as 30% of the center frequency.

As a result, there is an ongoing need for dual polarization base station antennas with improved  $E(\theta)$  and  $E(\phi)$  beam pattern performance characteristics. In particular, there is an ongoing need for dual polarization base station antennas that can  
20 achieve beam pattern performance with the  $E(\theta)$  and  $E(\phi)$  3dB beamwidths within  $5^\circ$  of each other, while maintaining  $E(\theta)$  and  $E(\phi)$  within 3dB of each other over a wide beamwidth, such as  $120^\circ$ , and over a wide bandwidth, such as 30% of the center frequency

## 25 SUMMARY OF THE INVENTION

The present invention meets the needs described above in dual polarization base station antennas that produce beams in which the 3dB azimuth beamwidth of the vertical polarization component  $E(\theta)$  is within  $5^\circ$  of the 3dB azimuth beamwidth of the horizontal polarization  $E(\phi)$ . The antenna also maintains  $E(\theta)$  and  $E(\phi)$   
30 within 3dB of each other over a wide azimuth beamwidth up to  $120^\circ$ , and over a wide bandwidth up to 30% of the center frequency. The antenna achieves these

performance characteristics through the use of beam shaping structures connected to or located near the ground plane supporting the dipole antenna elements. By adjusting the locations and shapes of the beam shaping structures, specific antennas are designed to meet the desired performance characteristics, including maintaining the 3dB bandwidths of  $E(\theta)$  and  $E(\phi)$  within  $5^\circ$  of each other while maintaining  $E(\theta)$  and  $E(\phi)$  within 3dB of each other, for a variety of beamwidths up to  $120^\circ$ , while meeting these performance characteristics over a wide bandwidth up to 30% of the center frequency.

It should also be understood that many other advantages and alternatives for practicing the invention will become apparent from the following detailed description of the preferred embodiments and the appended drawings.

#### BRIEF DESCRIPTIONS OF THE DRAWINGS

FIG. 1 is perspective view of a portion of a base station antenna showing one dipole antenna element and beam shaping structures connected to a ground plane.

FIG. 2 is an end view of the portion of the base station antenna shown in FIG. 1.

FIG. 3 is a top view of the portion of the base station antenna shown in FIG. 1.

FIG. 4 is an end view of a base station antenna having a first beam shaping structure arrangement.

FIG. 5 is an end view of a base station antenna having a second beam shaping structure arrangement.

FIG. 6 is an end view of a base station antenna having a third beam shaping structure arrangement.

FIG. 7 is a conceptual illustration of a dual polarization antenna with an extremely condensed elevation view of its beam pattern.

FIG. 8 is a conceptual illustration of a dual polarization antenna with an extremely condensed elevation view of its beam pattern illustrating beam tilt.

FIG. 9 is a graph showing the vertical polarization  $E(\theta)$  azimuth beam pattern of an idealized dual polarization antenna.

FIG. 10 is a graph showing the horizontal polarization  $E(\phi)$  azimuth beam pattern of an idealized dual polarization antenna.

FIG. 11 is a graph showing the  $E(\theta)$  and  $E(\phi)$  azimuth beam patterns of a typical prior art dual polarization antenna without beam shaping structures exhibiting undesirable beam pattern characteristics.

5 FIG. 12 is a graph showing the  $E(\theta)$  and  $E(\phi)$  azimuth beam patterns of a dual polarization antenna with beam shaping structures exhibiting desirable beam pattern characteristics.

FIG. 13 is a conceptual illustration showing design parameters for constructing a dual polarization antenna with beam shaping structures.

10 FIG. 14 is a table listing specific examples for the design parameters illustrated in FIG. 13 for constructing dual polarization antennas exhibiting desirable beam pattern characteristics for beamwidths of  $45^\circ$ ,  $65^\circ$ ,  $85^\circ$ ,  $90^\circ$ , and  $120^\circ$ .

FIG. 15 is a graph illustrating azimuth beam patterns for the antennas defined by the specific example design parameters listed in the table of FIG. 14.

15 FIG. 16 is a graph illustrating the desired constraint on the  $E(\theta)$  and  $E(\phi)$  performance over the desired bandwidth.

FIG. 17 is a graph illustrating the desired constraint on the polarization gain differential between  $E(\theta)$  and  $E(\phi)$  over the desired bandwidth.

FIG. 18 is a graph showing the polarization gain differential between  $E(\theta)$  and  $E(\phi)$  for an example antenna at  $0^\circ$ ,  $300^\circ$ , and  $60^\circ$  azimuth directions.

20 FIG. 19 is a graph showing  $E(\theta)$  and  $E(\phi)$  at  $0^\circ$  azimuth for the example antenna.

FIG. 20 is a graph showing  $E(\theta)$  and  $E(\phi)$  at  $300^\circ$  azimuth for the example antenna.

25 FIG. 21 is a graph showing  $E(\theta)$  and  $E(\phi)$  at  $60^\circ$  azimuth for the example antenna.

FIG. 22 is a perspective view of an example single-band dual polarization antenna with inverted-L shaped beam shaping structures.

30 FIG. 23 is an end view of a single-band dual polarization antenna with beam shaping structures provided by a tray formed into an antenna enclosure supporting an array of dipole antenna elements.

FIG. 24 is an end view of a dual-band dual polarization antenna with two sets of beam shaping structures provided by two trays formed into an antenna enclosure, each tray supporting an associated array of dipole antenna elements.

FIG. 25 is a perspective view of a dual-band dual polarization antenna with three  
5 sets of beam shaping structures provided by three trays formed into an antenna enclosure in which two of the trays carry associated antenna arrays.

FIG. 26 is an end view of the antenna shown in FIG. 25.

FIG. 27 is an end view of a triple-band dual polarization antenna with three sets  
10 of beam shaping structures provided by three trays formed into an antenna enclosure in which each tray carry associated antenna array.

#### DETAILED DESCRIPTION OF INVENTION

The present invention may be embodied in a wide range of dual polarization antennas with one or more arrays of dipole antenna elements and one or more beam  
15 shaping structures designed to confer desired vertical polarization  $E(\theta)$  and horizontal polarization  $E(\phi)$  beamwidth and bandwidth characteristics. For example, the invention may be embodied in a single-band antenna elements with one array of dipole antennas and one arrangement of beam shaping structures, a dual-band antenna  
20 with two arrays of dipole antenna elements and two arrangements of beam shaping structures, a triple-band antennas with three arrays of dipole antenna elements and three arrangements of beam shaping structures, and so forth. The beam shaping structures are typically implemented as a pair of inverted-L shaped flange sections connected to the ground plane running the length of the associated array with one beam  
25 shaping channel located on each side of the antenna array. The flange sections may be electrically connected to the ground plane or electrically floating from ground, as desired. Alternatively, the beam shaping structures for an array may be implemented as a tray defined into the ground plane or antenna housing supporting the array. In either case, the beam shaping structures are located sufficiently near the antenna  
30 elements and the ground plane to significantly influence the currents flowing on the ground plane. This places the beam shaping structures into the antenna's

electromagnetic field very near the dipole antenna elements in order to impart the desired beam shaping effect.

The base station antenna with dual polarized radiating elements and beam shaping surfaces generates substantially rotationally symmetric radiation patterns in the forward azimuth plane. Each dipole antenna element includes two radiating elements that are orthogonal to each other and slanted at  $\pm 45^\circ$  to the main antenna axis. One or more arrays of dipole antenna elements run along or parallel to the main antenna axis. The size of the antenna elements of each array depends on the operational frequency of array and the antenna elements are spaced apart by a predetermined distance based on the operational frequency of the array. A conductive ground plane is positioned below the radiating elements with the cross members of the generally "T" shaped radiating elements at a predetermined distance above the ground plane, which is also based on the operational frequency of the array. An antenna feed system such as a beam forming network, which can be a variable phase shifter network or fixed phase network, connected to the radiating elements is typically attached to the back side of the ground plane or the antenna enclosure behind the ground plane. For a vertically oriented antenna mounted in the usual configuration on a tower or building, the beam forming network is designed to deliver different power and phase to the radiating elements to control the vertical (elevation) radiation patterns, focus the beam in elevation, and tilt the beam in elevation (typically toward the ground), as desired.

The preferred beam shaping structures, which control the horizontal (azimuth) radiation pattern, include a pair of conductive beam shapers with two surfaces in an inverted-L configuration, with one structure positioned normal to the ground plane and the other structure positioned parallel to the ground plane and spaced apart from the ground plane at a predetermined distance. The beam shaping structures may be formed by an inverted-L shaped channel extending the length of the antenna array supported by the ground plane. Alternatively, the beam shaping structures may be trays formed into the ground plane, which may be part of the antenna enclosure. As another alternative, the beam shaper can be an inverted-L shaped section or a flat conductive strip spaced apart from the ground plane running parallel to the antenna array along the length of the array. The beam shaping structures reduce current flowing



on the ground plane and generate more symmetrical and matched azimuth radiation patterns for  $E(\theta)$  and  $E(\phi)$  over a broad range of beamwidth and frequency range, and also improve the front-to-back ratio of the antenna.

For the specific antennas shown in the figures, the beam shaper produces  
5 antenna patterns having 3dB azimuth beamwidths between the vertical polarization  $E(\theta)$  and horizontal polarization  $E(\phi)$  field components that are less than  $5^\circ$ . That is, the 3dB drop points defining the azimuth beamwidth of the vertical polarization component  $E(\theta)$  is within  $5^\circ$  of the 3dB drop points defining the azimuth beamwidth of the horizontal polarization  $E(\phi)$ . In addition, the polarization gain differential  
10 between  $E(\theta)$  and  $E(\phi)$  at any value over the 3dB azimuth field of view for the antenna is less than 3dB, and typically less than 2dB. Further, these  $E(\theta)$  and  $E(\phi)$  performance characteristics are achieved over a wide bandwidth up to 30% of the center frequency.

Generally, the objectives achieved by the antennas with beam shaping structures  
15 include substantially rotationally symmetric radiation patterns  $E(\phi)$  and  $E(\theta)$  having 3dB drop points defining the azimuth beamwidths that do not vary from each other by more than  $5^\circ$ ; and  $E(\phi)$  and  $E(\theta)$  fields that do not vary from each other by more than 3dB over the azimuth beamwidth of the antenna up to  $120^\circ$  and over a bandwidth range up to 30% of the center frequency. The beam shaper can be a right angle  
20 inverted-L shape with two conducting surface, one that is parallel to the ground plane and the other surface that is normal to the ground plane running parallel to the antenna array along the length of the array. The beam shaper can alternatively be a vertical wall formed by a tray in the ground plane extending parallel to the antenna array along the length of the array. As another alternative, the beam shaper can be a flat strip normal  
25 to or spaced apart from the ground plane and running parallel to the antenna array along the length of the array. Thus, the beam shaper can be a separate structure connected to or held above the ground plane, or it can be integrally formed into the ground plane. The beam shaping structures are conductive, typically formed of aluminum. Although other conductive materials may be used, aluminum has desirable  
30 conductivity, weight, strength, formability, and corrosion resistance properties.

Illustrative antennas can operate in a frequency range up to 30% of the center frequency while maintaining the polarization gain differential between  $E(\phi)$  and  $E(\theta)$  not more than 3dB across the azimuth beamwidth. Example beam shapers create antennas emitting beams having azimuth beamwidth from  $45^\circ$  to  $120^\circ$  with  
5 different "d" and "h" design characteristics (see FIG. 10). The beam shaper also improves the front-to-back ratio of the antenna up to about 5dB. The antennas have substantially orthogonal polarization states that minimize the cross-polarization response. The dual polarization states may have electric centers that are co-located within the antennas system, or they may have electric centers that are non-co-located  
10 within the antenna system. Typically, the dual polarized radiators have substantially rotationally symmetric radiation patterns in the forward azimuth plane in response to a fixed linearly polarized signal having orientation within  $45^\circ$  of a co-polarized orientation and two polarized radiators that are orthogonal to each other, such as a typical "T" shaped dipole antenna element, with two planar radiating elements positioned  
15 orthogonal to each other and oriented at  $+45^\circ$  and  $-45^\circ$ , respectively, to the main antenna axis.

The antenna may be a single column array, single-band antenna or a multi-column, multi-band antenna. Specific embodiment described below for a single column array, single-band antenna (see FIGS. 22, 23), a two column array, dual-band antenna  
20 (see Figs. 24-26), and a three column array, triple-band antenna (see FIG. 27). Aside from the beam shaping structures, the remaining components of the antennas may be made in any suitable manner presently known for conventional antennas or developed in the future in the normal course of the development of antenna materials and design. The antennas shown in the figures are generally shown substantially to scale, and the  
25 size of the dipole antenna elements and the distances between the dipoles depend on the operational frequency band of the array, as will be understood by those skilled in the art of base station antenna design.

Turning now to the figures, in which like numerals refer to similar elements throughout the several figures, FIG. 1 is perspective view, FIG. 2 is an end view, and  
30 FIG. 3 is a top view of a portion of a base station antenna **10** showing one dipole antenna element **12** of an array of antenna elements extending in the direction of the

main antenna axis **20**. The antenna also includes two beam shaping structures **14a** and **14b** connected to a ground plane **16**. The other elements of the antenna, which may be conventional, are not shown to avoid cluttering the figure. The dipole antenna element **12** includes two planar dielectric boards **18a** and **18b** positioned orthogonal to each other and oriented at  $+45^\circ$  and  $-45^\circ$  to the main antenna axis **20**. Each dielectric board carries a planar radiating element; one of the radiating elements **22a** is labeled in FIGS. 1 and 2. The complete array antenna **10** includes a column of similar dipole antenna elements extending in the direction of the main antenna axis **20** with the beam shaping structures **14a** and **14b** extending the length of the array with one beam shaping structure on each side of the array. The beam shaping structures control the shape of the beam emitted by the antenna element in the azimuth plane.

It will be appreciated that the antenna **10** is a duplex antenna both emitting and receiving signals in a bi-directional communication system, such as a wireless telephone system. Nevertheless, only the transmission function of the antenna is sometimes described as a matter of descriptive convenience. In addition, only one of the beam shaping structures **14a** is sometimes described as a matter of descriptive convenience, it being understood that there are two substantially identical beam shaping structures located on either side of the antenna array. In general, many other standard base station antenna, such as elements of the antenna enclosure, radome, antenna feed system, phase shifters, cabling, cable connectors, mounting brackets, tilt motors, filters, and so forth have been omitted to avoid cluttering the figures. It should also be understood that the dual polarization antenna emits a first communication signal embedded in the first polarization component and a second communication signal embedded in the second polarization component, as is known for wireless base station antennas and their associated communication systems.

The beam shaping structure **14a** has an inverted-L shape, with a first wall **24a** extending upward perpendicular from the ground plane **16** and a second wall **26a** extending parallel to the ground plane from the first wall and spaced apart from the ground plane by a predetermined distance. As explained in greater detail with reference to FIGS. 13 and 14, the specific shape of the beam shaping structure controls the shape of the beam emitted by the antenna elements. In this particular antenna, the

beam shaping structure **14a** is physically and electrically connected to the ground plane, and therefore electrically grounded.

Although the grounded "L" shaped flange sections **14a** and **14b** have been found to function well as beam shaping structures, the beam shaping structures may be floating from ground or capacitively coupled to the ground plane, if desired. They may also have other shapes, such as a single wall perpendicular to the ground plane, a single wall parallel to the ground plane, a curved surface, or any other shape that imparts a desired beam shape. As illustrative examples, FIG. 4 shows a base station antenna **30** having a first alternative pair of beam shaping structures **32a** and **32b**, which are inverted-L shaped sections similar to the surfaces **14a** and **14b** except that the structures are not electrically grounded, but instead are suspended apart from the ground plane **16** by spacers **34a** and **34b**, respectively. The spacers may be continuous or segmented blocks of an insulator, such as a suitable plastic, or a dielectric material. The beam shaping structures **32a** and **32b** are not directly connected to the ground plane **16** and, therefore, are parasitically coupled to the antenna elements. FIG. 5 is an end view of another base station antenna **31** in which the beam shaping structures **32a** and **32b** are supported by a pair of continuous walls or a series of posts represented by the posts **36a** and **36b**. FIG. 6 is an end view of another illustrative base station antenna **33** in which the beam shaping structures are flat plates **38a** and **38b** rather than "L" shaped flange sections supported by the continuous or segmented blocks **34a** and **34b**, respectively. Many other beam shaping structures, both grounded and ungrounded, may be employed.

FIG. 7 is a conceptual illustration of a dual polarization antenna **10** showing an extremely condensed elevation depiction of its beam **40** in the context of a Cartesian coordinate system. As shown in FIG. 7, the antenna **10** is typically installed with the main axis of the antenna **20** oriented vertically, which is the "Y" direction in the illustrated coordinate system. The ground plane **16** lies in the "Y-Z" plane, with the antenna **10** having an elongated dimension in the "Y" direction and a narrower dimension in the "Z" direction. The elongated array of antenna elements, which extends in the "Y" direction, is effective in pointing and focusing the beam **40** in the "Y-Z" elevation plane toward the positive portion of the "Z" axis extending in front of the

antenna. While the array extending in the "Y" direction controls the elevation beam profile in the "Y-Z" plane, the beam shaping structures are effective in controlling the azimuth beamwidth beam profile in the "X-Z" plane. The beam shaping structures are also effective in improving the front-to-back ratio of the antenna, which is the amount of energy emitted in the positive "Z" direction compared to the negative "Z" direction.

The following discussion will describe the effect of the beam shaping structures on  $E(\theta)$  and  $E(\phi)$ , which are the vertical and horizontal polarization components, respectively, on an azimuth slice through the center of the beam **40**, which lies horizontal in the "X-Z" plane as shown in FIG. 7. However, it should be understood that the antenna **10** itself may be physically pointed in any direction and the beam **40** may be electrically tilted with respect to the major axis **20** of the antenna. In practice, the beam **40** is typically tilted as shown in FIG. 8 to point the beam slightly downward from an elevated tower or building location toward the ground area to be covered by the beam. Therefore,  $E(\theta)$  and  $E(\phi)$  should be understood to lie in the pointing direction of the antenna beam, which is the direction of maximum energy emission, whatever that pointing direction may be. Nevertheless, the beam is described as lying in a horizontal plane and the wide angle of the beam is described as an azimuth angle for descriptive convenience.

FIG. 9 is a conceptual illustration showing the  $E(\theta)$  azimuth beam pattern **42** for an idealized dual polarization antenna with desirable beam pattern characteristics.  $E(\theta)$  is the vertical polarization component of the beam **40** lying in a "horizontal slice" through the "X-Z" plane, which is shown extending left to right in accordance with the coordinate system defined with reference to FIG. 7. The antenna is positioned in the center of the concentric circles, which each represent a 5dB transmission loss from the beam pointing direction, which is normalized to 0dB. The forward pointing portion of  $E(\theta)$  has a rotationally symmetric profile about the positive portion of the "Z" axis and a wide beamwidth, which is typically defined as the azimuth angle between the 3dB drop points **44a** and **44b** (the azimuth range extending between the 3dB drop points is sometimes referred to as the "3dB beamwidth").  $E(\theta)$  is heavily pointed in the positive "Z" portion of the "X-Z" plane, in accordance with its high front-to-back ratio.

FIG. 10 is a conceptual illustration showing the horizontal polarization component  $E(\phi)$  azimuth beam pattern **46** for the idealized dual polarization antenna. In the ideal situation,  $E(\phi)$  perfectly matches  $E(\theta)$  with the  $E(\phi)$  3dB drop points **48a** and **48b** perfectly overlying the  $E(\theta)$  3dB drop points **44a** and **44b**. This would represent perfect polarization for the beam. Due to the nature of the dipole antenna elements employed an imperfections in the antenna and the transmission media,  $E(\theta)$  and  $E(\phi)$  are not perfectly matched in a real propagating beam. The objective of the beam shaping structures of the present invention is to widen the beam, improve the front-to-back ratio of the beam, and improve the polarization by making  $E(\theta)$  and  $E(\phi)$  match each other more closely, particularly in the pointing direction of beam between the 3dB drop points.

FIG. 11 is a graph showing the  $E(\theta)$  and  $E(\phi)$  azimuth beam patterns **50**, **52** for a prior art dual polarization antenna without beam shaping structures exhibiting undesirable beam pattern characteristics. For FIG. 11, the pointing direction (i.e., the "Z" direction shown in FIGS. 7-10) is shown upward with the 0dB point in the 12 o'clock position, in accordance with the usual convention. FIG. 11 shows  $E(\theta)$  and  $E(\phi)$  beam patterns that vary widely within a  $120^\circ$  azimuth beamwidth, with a large beamwidth differential between the  $E(\theta)$  and  $E(\phi)$  3dB points. The  $E(\theta)$  and  $E(\phi)$  3dB points are not within  $5^\circ$  of each other, and  $E(\theta)$  and  $E(\phi)$  are only maintained within 3dB of each other up for a relatively narrow beamwidth.

FIG. 12 is a graph showing the  $E(\theta)$  and  $E(\phi)$  azimuth beam patterns **54**, **56** for a dual polarization antenna with beam shaping structures exhibiting desirable beam pattern characteristics. In particular, the  $E(\theta)$  and  $E(\phi)$  3dB points are within  $5^\circ$  of each other, and  $E(\theta)$  and  $E(\phi)$  remain within 3dB of each other across a relatively wide beamwidth. It should be understood that the  $E(\theta)$  and  $E(\phi)$  beam patterns **54**, **56** are shown for the center frequency for which the antenna is defined. A similar graph could be drawn for each of multiple frequencies within a range about the center frequency. Preferably, the beam shaping structures drive  $E(\theta)$  and  $E(\phi)$  to meet the performance characteristics described above for a wide bandwidth, typically equal to at least about 30% of the center frequency. That is, the  $E(\theta)$  and  $E(\phi)$  3dB points are within  $5^\circ$  of each other, and  $E(\theta)$  and  $E(\phi)$  remain within 3dB of each other

across a desired beamwidth up to about 120° and over a bandwidth up to about 30% of the center frequency .

FIG. 13 is a conceptual illustration showing design parameters "d" and "h" for constructing a dual polarization antenna with beam shaping structures exhibiting the desired beam pattern characteristics described above. The parameter "d" represents the internal distance between the beam shaping structures **24a** and **24b**, and the parameter "h" represents the distance between the ground plane **16** at the bottom of the horizontal arm of the radiating elements **18a** and **18b** of the dipole antenna element **12**. It has been found that the E(theta) and E(phi) responses are very sensitive to the beam parameters "d" and "h" while being less sensitive to the precise height or width of the beam shaping surface. Although not critical, the beam shaping surface have been found to function as desired when they have a height above the ground plain about equal to one-half "h" and a width parallel to the ground plain about equal to one-half "h" as shown in FIG. 13.

FIG. 14 is a table listing specific examples for the design parameters "d" and "h" illustrated in FIG. 13 for constructing dual polarization antennas exhibiting the desired beam pattern characteristics for 3dB beamwidths of 45°, 65°, 85°, 90°, and 120°. For a desired beamwidth of 45°, "d" is equal to 1.04 times the wavelength ( $\lambda$ ) in the propagating media (which for the ambient atmosphere is close to free space) and "h" is equal to 0.22 times the wavelength. For a desired beamwidth of 65°, "d" is equal to 0.74 times the wavelength and "h" is equal to 0.22 times the wavelength. For a desired beamwidth of 85°, "d" is equal to 0.57 times the wavelength and "h" is equal to 0.26 times the wavelength. For a desired beamwidth of 90°, "d" is equal to 0.52 times the wavelength and "h" is equal to 0.30 times the wavelength. And for a desired beamwidth of 120°, "d" is equal to 0.52 times the wavelength and "h" is equal to 0.39 times the wavelength. Of course, the desired parameters for all other desired beamwidths up to 120° and somewhat beyond 120° can be extrapolated or determined experimentally.

FIG. 15 is a graph illustrating the total energy azimuth beam patterns for the antennas defined by the specific example design parameters listed in the table of FIG. 14. The 3db points and the beamwidth is shown for the 45° beam for illustrative purposes. The other graphs are not labeled to avoid cluttering the diagram.

FIG. 16 is a graph illustrating the desired constraint on the  $E(\theta)$  **54** and  $E(\phi)$  **56** over the desired bandwidth **60**. That is, the polarization gain difference **58** between  $E(\theta)$  and  $E(\phi)$  across the 3dB bandwidth is not greater than 3dB over a bandwidth **60** equal to 30% of the center frequency. FIG. 17 is a graph illustrating a plot of the  
5 desired constraint on the polarization gain differential **58**, which is the absolute value of the difference between  $E(\theta)$  and  $E(\phi)$ . Again, FIG. 17 shows that the polarization gain differential **58** across the 3dB bandwidth is not greater than 3dB over a bandwidth **60** equal to 30% of the center frequency. It should be understood that FIGS. 16 and 17 are shown to illustrate the upper bound of the design objective in which the polarization  
10 gain differential reaches 3dB. Some of the antennas tested (particularly those with beamwidths narrower than  $120^\circ$ ) have achieved better performance. A maximum polarization gain differential not greater than 2dB has been found to be achievable for many antenna configurations. It should be appreciated that FIGS. 16 and 17 are simplified in that they show  $E(\theta)$ ,  $E(\phi)$  and the polarization gain differential as each  
15 representing a single point for each frequency (i.e., line graphs), whereas these values actually vary at each frequency as the azimuth angle changes across the beamwidth. A single line depiction as shown in FIG. 17 would conceptually correspond to  $E(\theta)$  and  $E(\phi)$  at a single azimuth direction, whereas  $E(\theta)$  and  $E(\phi)$  across the full azimuth range would conceptually occupy a band or probability density at each frequency point.

FIGS. 18-21 provide a view into the band that the polarization gain differential occupies. FIG. 18 shows the polarization gain differential between  $E(\theta)$  and  $E(\phi)$  for three azimuth directions,  $0^\circ$ ,  $300^\circ$ , and  $60^\circ$ , for several points in the bandwidth from 0.85 to 1.15 times the center frequency. The graph **62** represents the polarization gain differential at  $0^\circ$  azimuth, the graph **64** represents the polarization gain differential at  
25  $300^\circ$  azimuth (i.e.,  $-60^\circ$ ), and the graph **66** represents the polarization gain differential at  $60^\circ$  azimuth. FIG. 19 is a graph showing  $E(\theta)$  and  $E(\phi)$  for the example antenna at  $0^\circ$  azimuth. The graph **70** represents  $E(\theta)$  at  $0^\circ$  azimuth and the graph **72** represents  $E(\phi)$  at  $0^\circ$  azimuth. FIG. 20 is a graph showing  $E(\theta)$  and  $E(\phi)$  at  $300^\circ$  azimuth for the example antenna. The graph **74** represents  $E(\theta)$  at  $300^\circ$  azimuth  
30 and the graph **76** represents  $E(\phi)$  at  $300^\circ$  azimuth. FIG. 21 is a graph showing



E(theta) and E(phi) at 60° azimuth for the example antenna. The graph **78** represents E(theta) at 60° azimuth and the graph **80** represents E(phi) at 60° azimuth.

FIG. 22 is a perspective view of an example single band dual polarization antenna **82** with inverted-L shaped beam shaping structures **84a** and **84b** shown  
5 substantially to scale. The illustrated antenna has fourteen dipole antenna elements, is approximately 152 cm long and 52 cm wide and configured to operate at 1940 MHz frequency. Of course, the antenna may be implemented with a different number of dipole elements, and the size of the antenna and the size and spacing of the dipole antenna elements will be different for different operational frequencies.

The inventors have also developed the technique of forming the beam shaping structures as trays in the ground plane, which may form part of an antenna enclosure used to house the antenna feed system, typically including the power distribution circuits, phase shifters, and other elements of the antenna. FIG. 23 is a conceptual end view illustration of a dual polarization antenna **100** with a beam shaping tray **102** formed  
15 into an antenna enclosure **104**. An array of dipole antenna elements represented by the antenna element **106** is supported by the tray, which is configured to form beam shaping structures having the "d" and "h" characteristics described previously with reference to FIGS. 13 and 14 to confer the desired beam characteristics for the antenna. The antenna enclosure **104** typically houses the antenna feed system **108**,  
20 which may be carried on the rear of the shaping tray **102** or the opposing interior wall of the enclosure.

FIG. 24 is a conceptual end view illustration of a dual band antenna **110** with two beam shaping trays **112** and **114** formed into the antenna enclosure **116**. The first tray **112** supports a first antenna array represented by the dipole antenna element **117**  
25 configured to operate at a first operational frequency, and the second tray **114** supports a second antenna array represented by the dipole antenna element **118** configured to operate at a second operational frequency. Again, the trays **112** and **114** are shaped to form the beam shaping structures having the "d" and "h" characteristics described previously with reference to FIGS. 13 and 14 to confer the desired beam characteristics  
30 for their respective bands.

FIG. 25 is a perspective view and FIG. 26 is an end view of a portion of an example dual-band dual polarization antenna **120** with three beam shaping structures built into an antenna enclosure **122**. The enclosure defines three trays **124a**, **124b**, and **124c**, which are each designed to receive an associated dipole antenna array configured to operate at a different operational frequency. This particular antenna has only two of the trays occupied with antenna arrays, resulting in a dual-band antenna. FIG. 27 shows a triple-band antenna **130** with all three trays **124a-c** filled with corresponding antenna arrays represented by the antenna elements **126a-c**, respectively.. Many more specific embodiments of the invention will be apparent to those skilled in the art based on the specific examples shown in the figures and described above.

In view of the foregoing, it will be appreciated that present invention provides significant improvements in base station antennas for telecommunication systems. It should be understood that the foregoing relates only to the exemplary embodiments of the present invention, and that numerous changes may be made therein without departing from the spirit and scope of the invention as defined by the following claims.

**CLAIMS**

1. A base station antenna for a wireless communication system, comprising:  
a ground plane;
- 5 a substantially linear array of dipole antenna elements supported by the ground plane extending in a main antenna axis direction, the array configured to emit a beam having a first polarization component defined by  $E(\theta)$  and a second polarization component defined by  $E(\phi)$  substantially orthogonal to the first polarization component, wherein the beam exhibits a beamwidth extending across a selected range
- 10 of azimuth;
- an antenna feed system configured to deliver RF signals to the antenna elements and receive RF signals from the antenna elements to generate the beam for engaging in duplex communications with a plurality of wireless communication devices utilizing an operational frequency band defined around a center frequency corresponding to a
- 15 center wavelength; and
- a pair of beam shaping structures connected to or positioned proximate to the ground plane and positioned proximate to the antenna elements configured to influence the shape of the beam shape to exhibit desired beam shape characteristics, including:
- the selected range of azimuth equal to at least  $45^\circ$ ,
- 20  $E(\theta)$  and  $E(\phi)$  each exhibiting 3dB beamwidth across the selected range of azimuth,
- $E(\theta)$  and  $E(\phi)$  exhibiting 3dB drop points defining the selected range of azimuth within  $5^\circ$  of each other; and

a polarization gain differential between  $E(\theta)$  and  $E(\phi)$  of not more than 3dB across the selected range of azimuth.

2. The base station antenna of claim 1, further configured to exhibit the  
5 desired beam shape characteristics within a bandwidth range of operational frequencies defined around the center frequency equal to at least 30% of the center frequency.

3. The base station antenna of claim 1, wherein the beam shaping structures  
10 comprise a pair of inverted-L flange sections extending from the ground plane aside the array of antenna elements, wherein each flange is elongated in the main antenna axis direction, and the flanges are positioned a distance "d" apart perpendicular to the main antenna axis direction with the antenna elements located between the flanges.

4. The base station antenna of claim 3, wherein the distance "d" between the  
15 flanges is selected to control the  $E(\theta)$  and  $E(\phi)$  azimuth beamwidths of the antenna.

5. The base station antenna of claim 4, wherein each antenna element  
20 comprises a lateral section supported a distance "h" from the ground plane, wherein the distance "d" and the distance "h" are selected to control the  $E(\theta)$  and  $E(\phi)$  azimuth beamwidths of the antenna.

6. The base station antenna of claim 5, wherein:

the E(theta) and E(phi) azimuth beamwidths are about 45 degrees, the distance "d" is about 1.04 times the center wavelength, and the distance "h" is about 0.22 times the center wavelength;

5 the E(theta) and E(phi) azimuth beamwidths are about 65 degrees, the distance "d" is about 0.74 times the center wavelength, and the distance "h" is about 0.22 times the center wavelength;

10 the E(theta) and E(phi) azimuth beamwidths are about 85 degrees, the distance "d" is about 0.57 times the center wavelength, and the distance "h" is about 0.26 times the center wavelength;

the E(theta) and E(phi) azimuth beamwidths are about 90 degrees, the distance "d" is about 0.52 times the center wavelength, and the distance "h" is about 0.30 times the center wavelength; or

15 the E(theta) and E(phi) azimuth beamwidths are about 120 degrees, the distance "d" is about 0.52 times the center wavelength, and the distance "h" is about 0.39 times the center wavelength.

7. The base station antenna of claim 1, wherein each antenna element comprises a dual polarization radiating structure configured to emit a first  
20 communication embedded in the signal first polarization component and a second communication embedded in the signal second polarization component.

8. The base station antenna of claim 7, wherein each radiating element comprises a substantially planar radiating structure positioned at an angle of about 45 degrees with respect to the main antenna axis, and each dipole antenna element comprises two substantially planar radiating elements oriented perpendicular to each  
5 other.

9. The base station antenna of claim 8, wherein each radiating element comprises a substantially T-shaped dipole comprising a riser section extending from the ground plane and a lateral section spaced distance apart from the ground plane.  
10

10. The base station antenna of claim 1, further comprising one or more phase shifters operable for tilting the beam in an elevation angular direction perpendicular to the azimuth angular direction.

15 11. The base station antenna of claim 1, wherein the ground plane and beam shaping structures define a tray integrally formed into an ground plane or an enclosure supporting the antenna elements.

20 12. The base station antenna of claim 1, wherein the ground plane is a first ground plane, the antenna array is a first antenna array, the antenna feed system is a first antenna feed system, the beam is a first beam, the center frequency is a first center frequency, the center wavelength is a first center wavelength, the operational frequency

band is a first operational frequency band, and the beam shaper is a first beam shaper, further comprising:

a second ground plane;

a substantially linear second array of antenna elements supported by the second  
5 ground plane, wherein the second array is configured to broadcast and receive RF signals in a second beam having a beamwidth defined by a first polarization component  $E(\theta)$  and a second polarization component  $E(\phi)$  substantially orthogonal to the first polarization component;

a second antenna feed system configured to deliver RF signals to the second  
10 array of antenna elements and receive RF signals from the second array of antenna elements to generate the second beam for engaging in duplex communications with a plurality of mobile telephone devices utilizing a second operational frequency band defined around a second center frequency corresponding to a second center wavelength;

15 a second beam shaper extending from the ground plane having a shape configured to influence the second beam to exhibit performance characterized by a gain differential between  $E(\phi)$  and  $E(\theta)$  that is no more than 3 dB across the second operational frequency band, wherein the second operational frequency band is equal to at least about thirty percent of the second center frequency.

20

13. The base station antenna of claim 12, wherein the first ground plane, the first beam shaper, the second ground plane and the second beam shaper define a two-

tray ground structure integrally formed into an enclosure housing the antenna feed system.

14 The base station antenna of claim 12, further comprising:

5 a third ground plane;

a third substantially linear array of antenna elements supported by the third ground plane, wherein the third array is configured to broadcast and receive RF signals in a third beam having a beamwidth defined by a first polarization component  $E(\theta)$  and a second polarization component  $E(\phi)$  substantially orthogonal to the first

10 polarization component;

a third antenna feed system supported by the ground plane configured to deliver RF signals to the third array of antenna elements and receive RF signals from the third array of antenna elements to generate the third beam for engaging in duplex communications with a plurality of mobile telephone devices utilizing a third operational frequency band defined around a third center frequency corresponding to a third center wavelength;

15

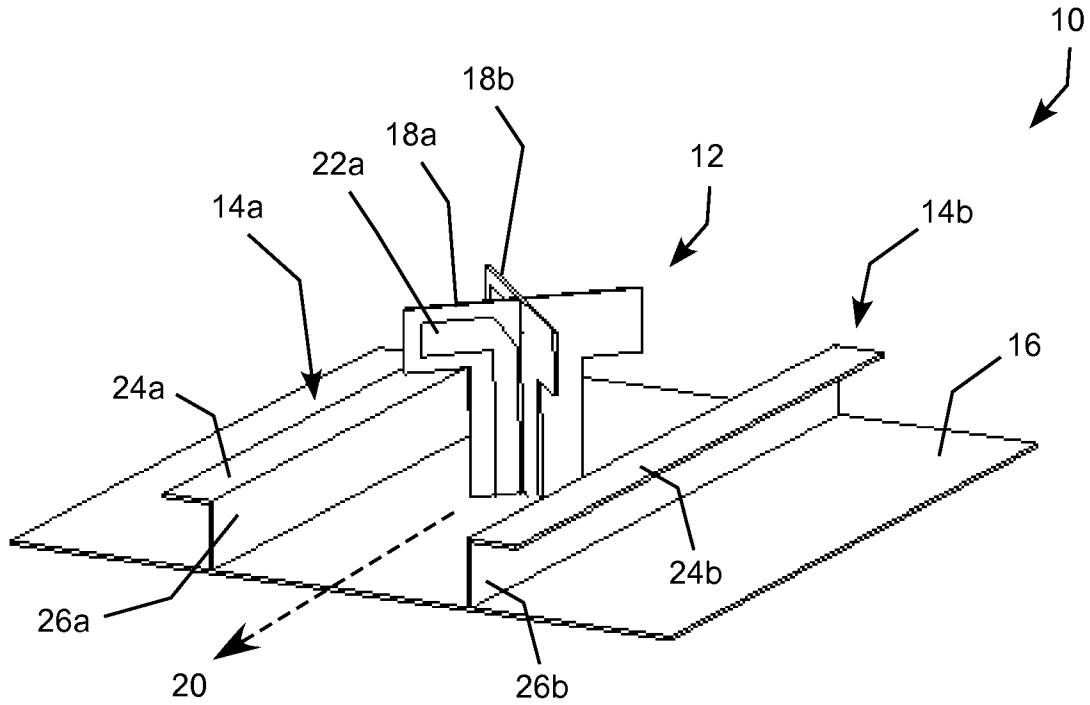
a third beam shaper extending from the ground plane having a shape configured to influence the third beam to exhibit performance characterized by a gain differential between  $E(\phi)$  and  $E(\theta)$  that is no more than 3 dB across the third operational frequency band, wherein the third operational frequency band is equal to at least about thirty percent of the third center frequency.

20

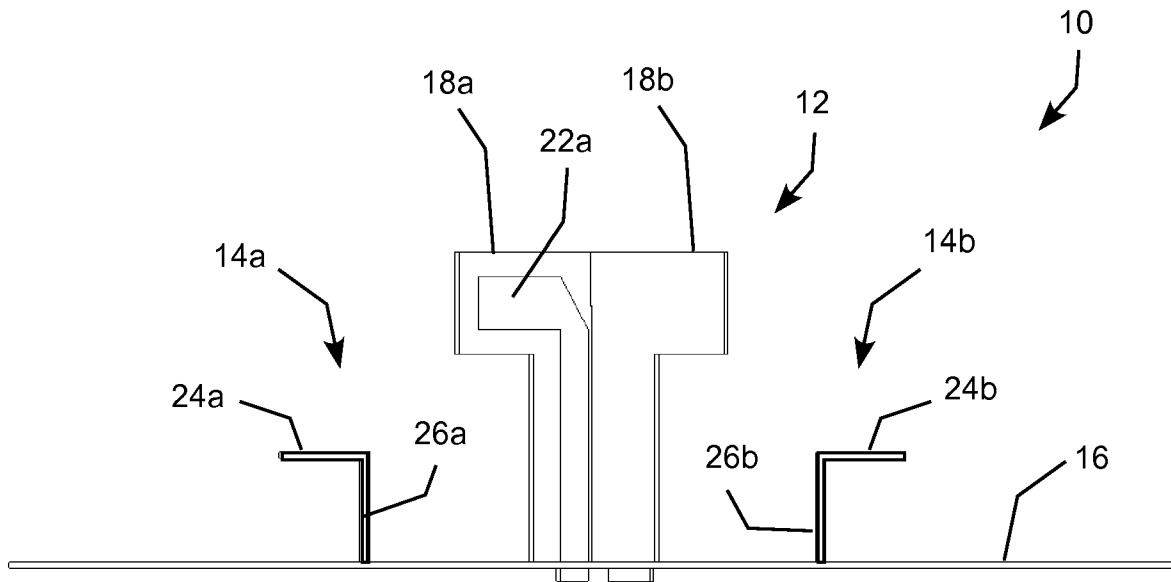


15 . The base station antenna of claim 13, wherein the first ground plane, the first beam shaper, the second ground plane, the second beam shaper, the third ground plane and the third beam shaper define a three-tray ground structure integrally formed into an enclosure housing the antenna feed system.

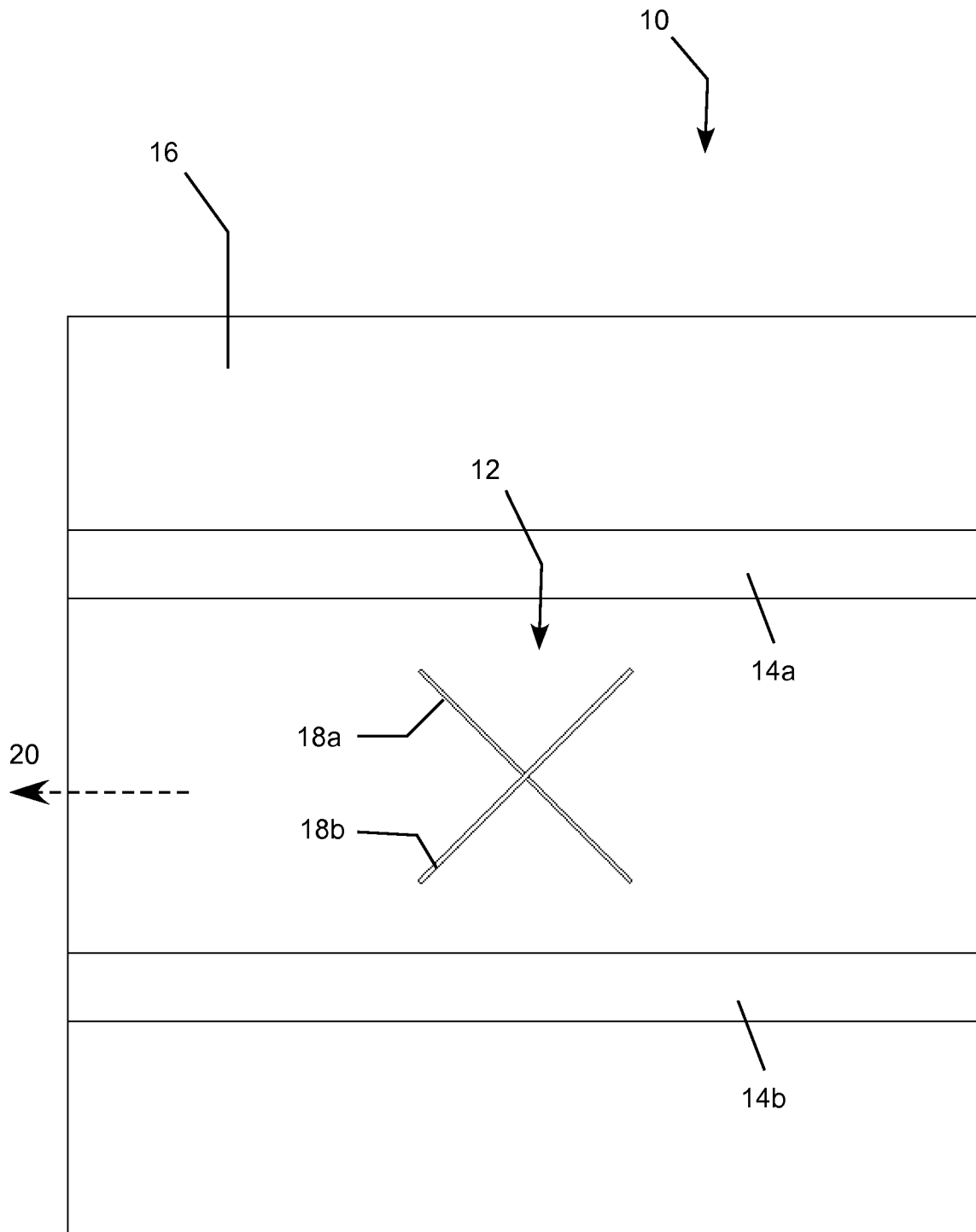
5



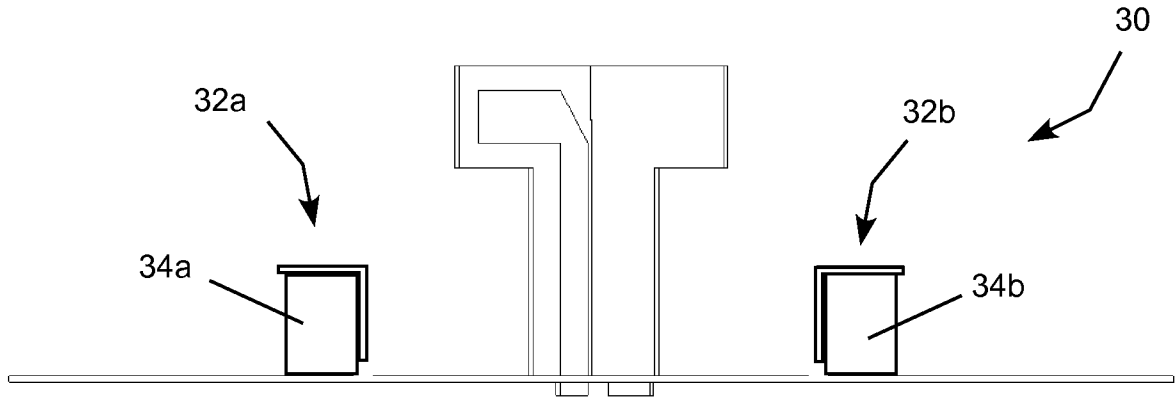
**FIG. 1**



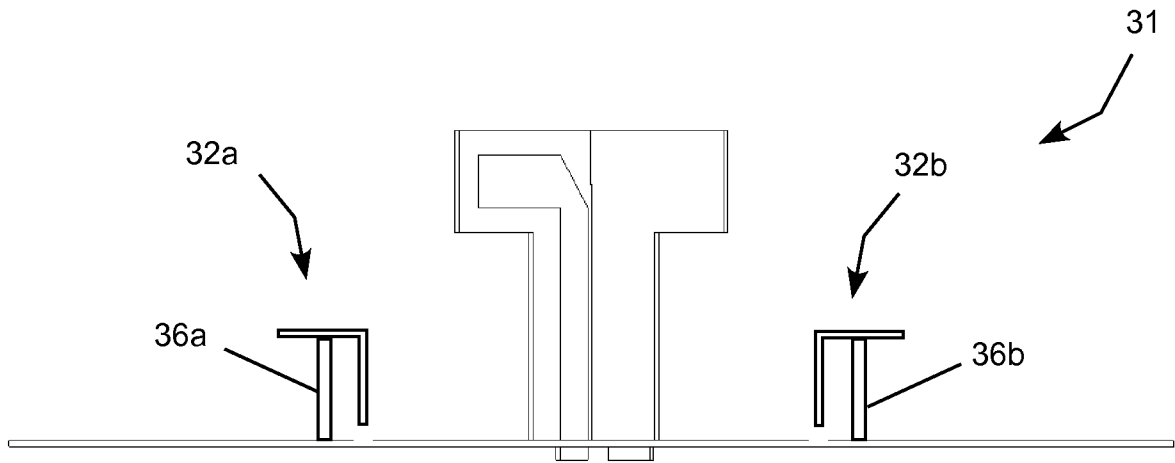
**FIG. 2**



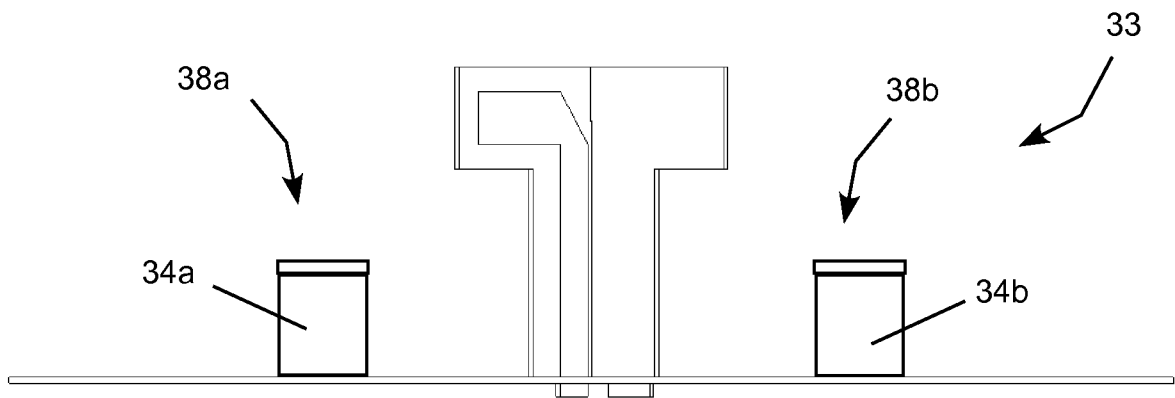
**FIG. 3**



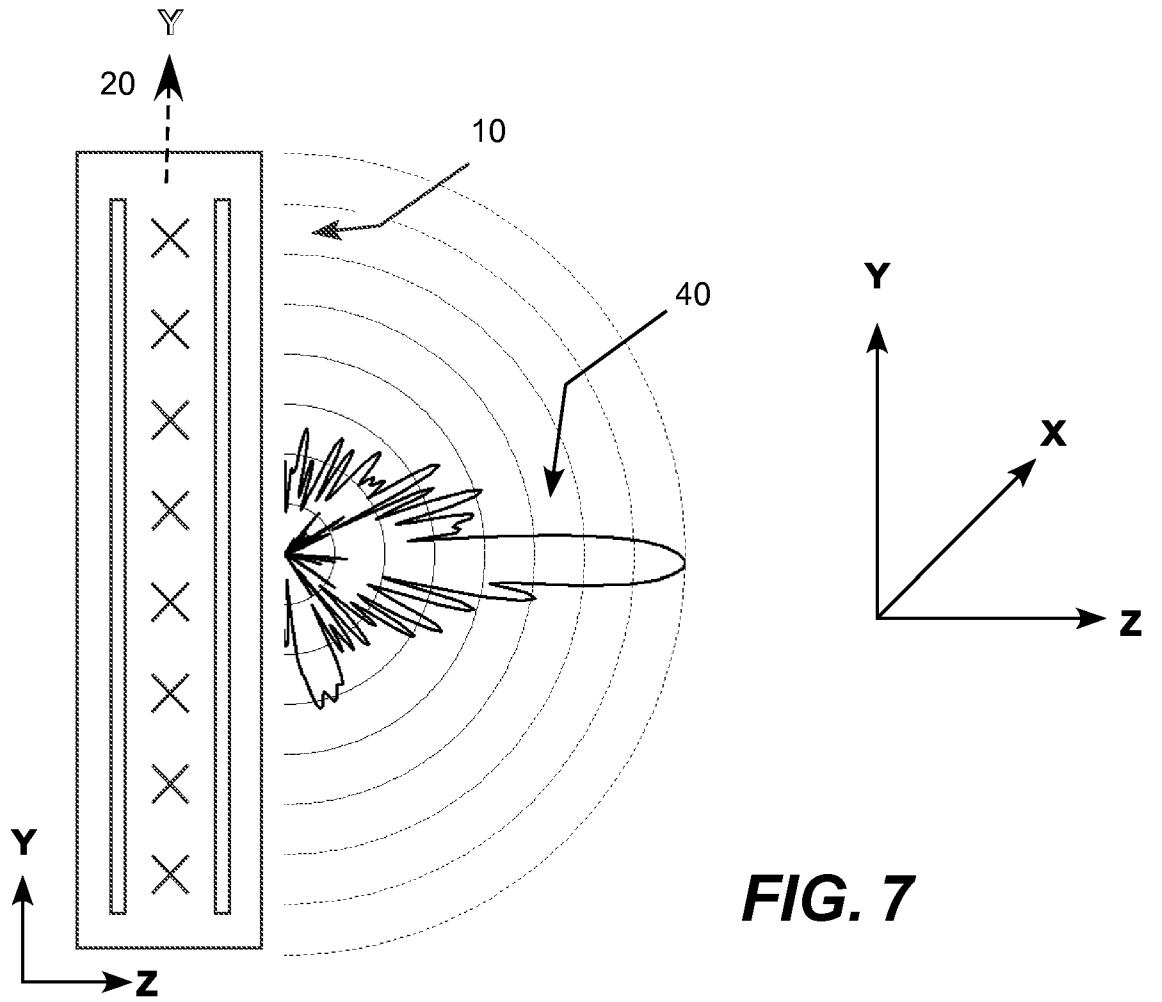
**FIG. 4**



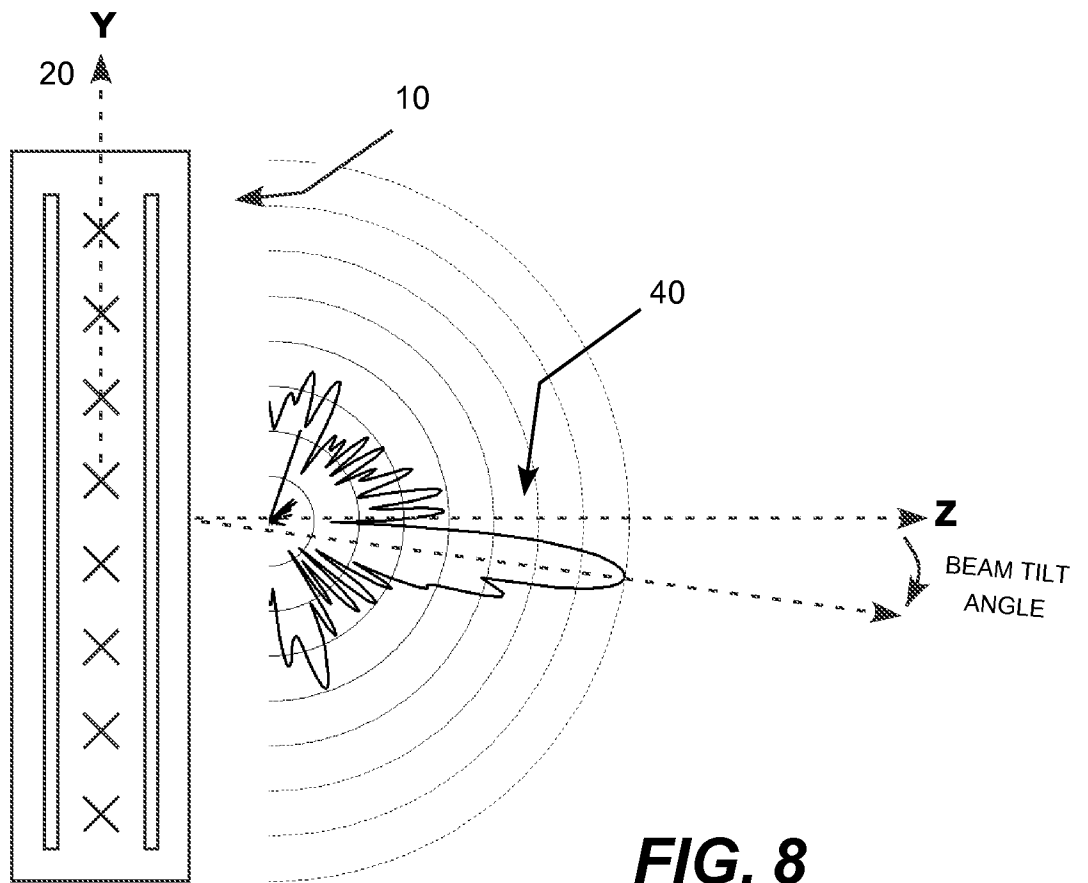
**FIG. 5**



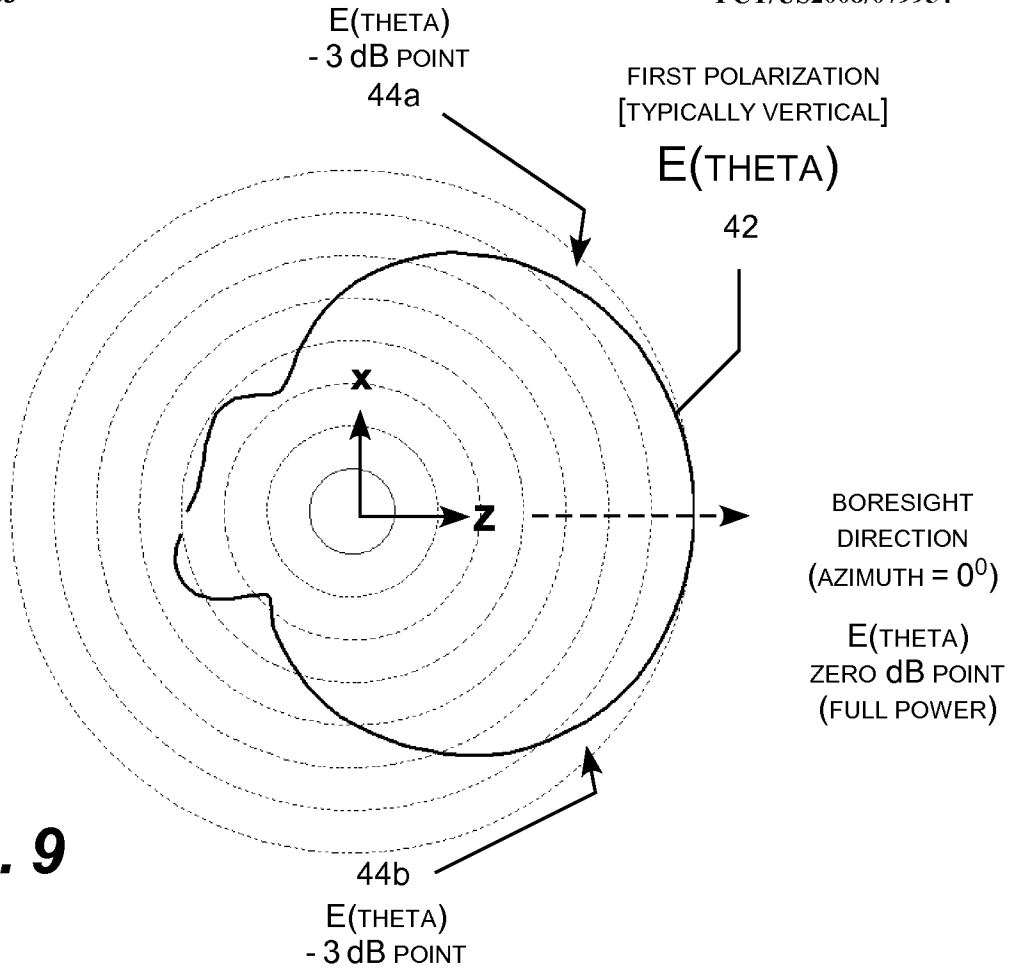
**FIG. 6**



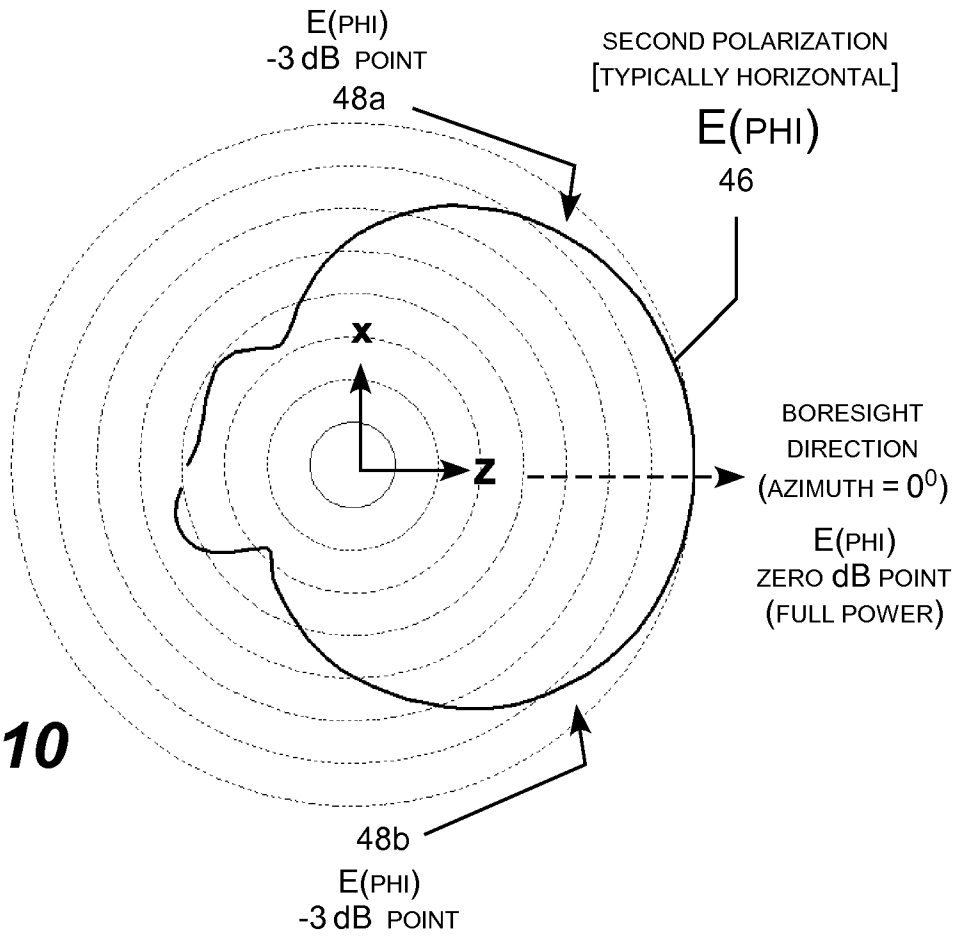
**FIG. 7**



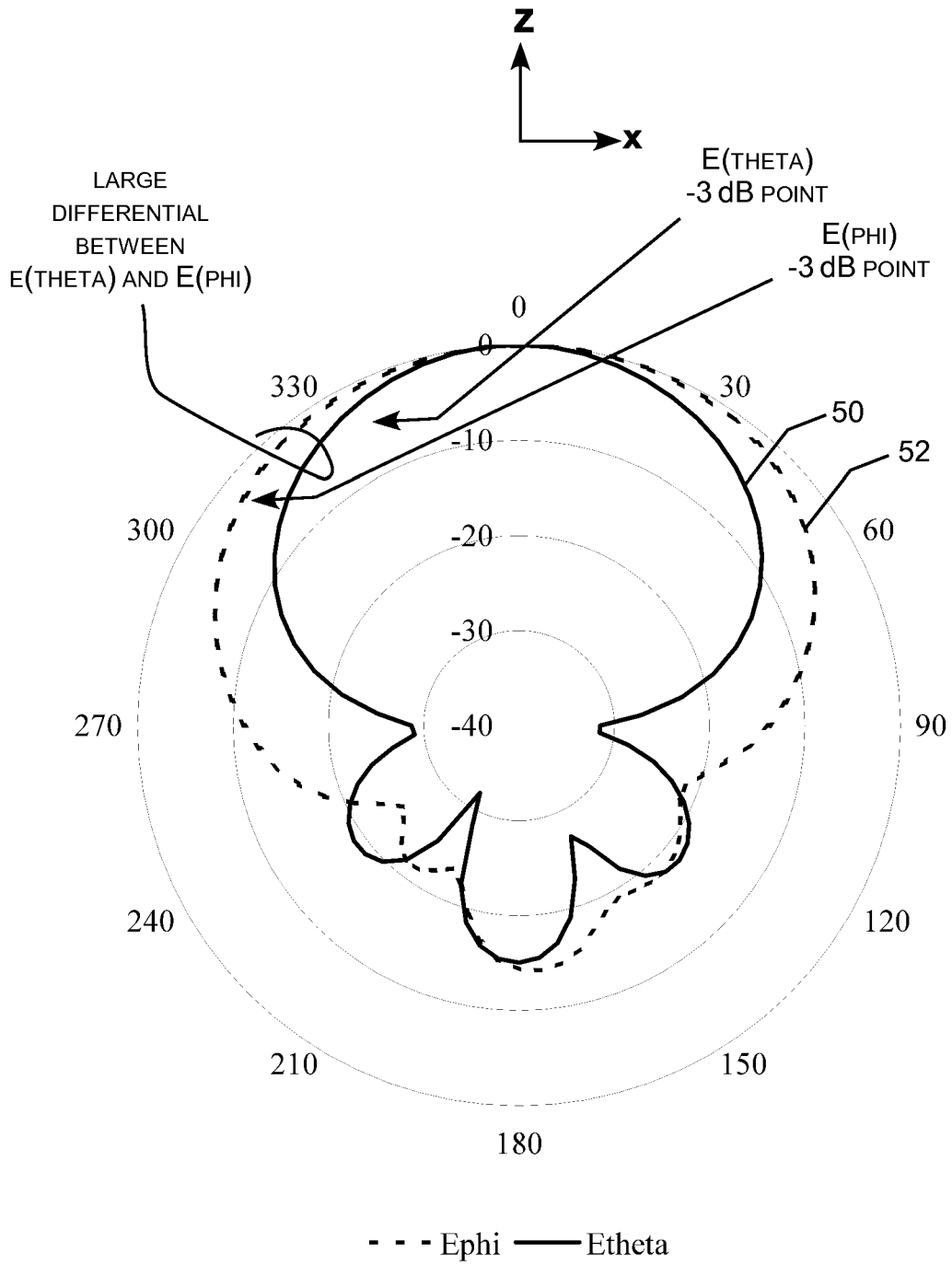
**FIG. 8**



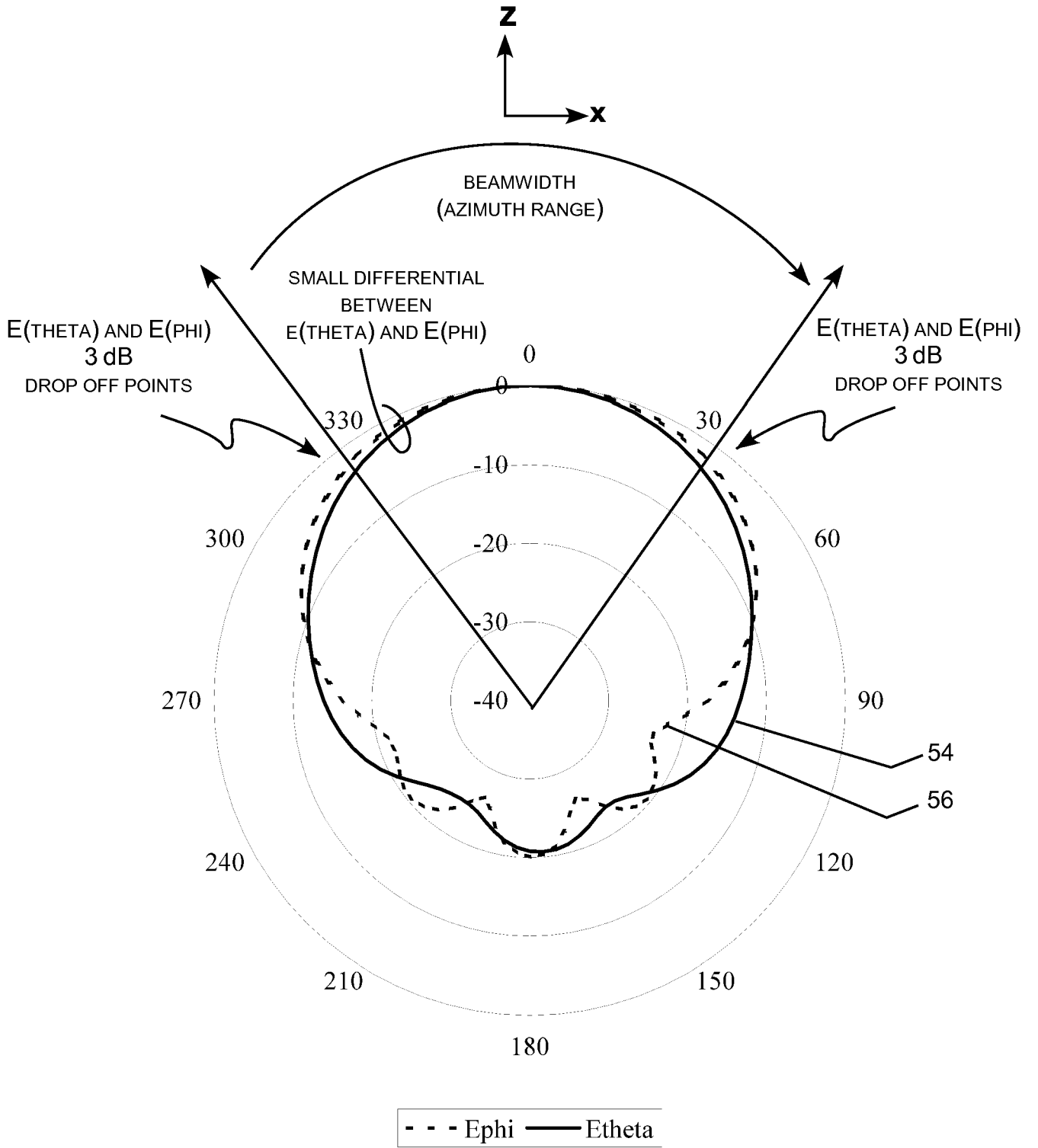
**FIG. 9**



**FIG. 10**

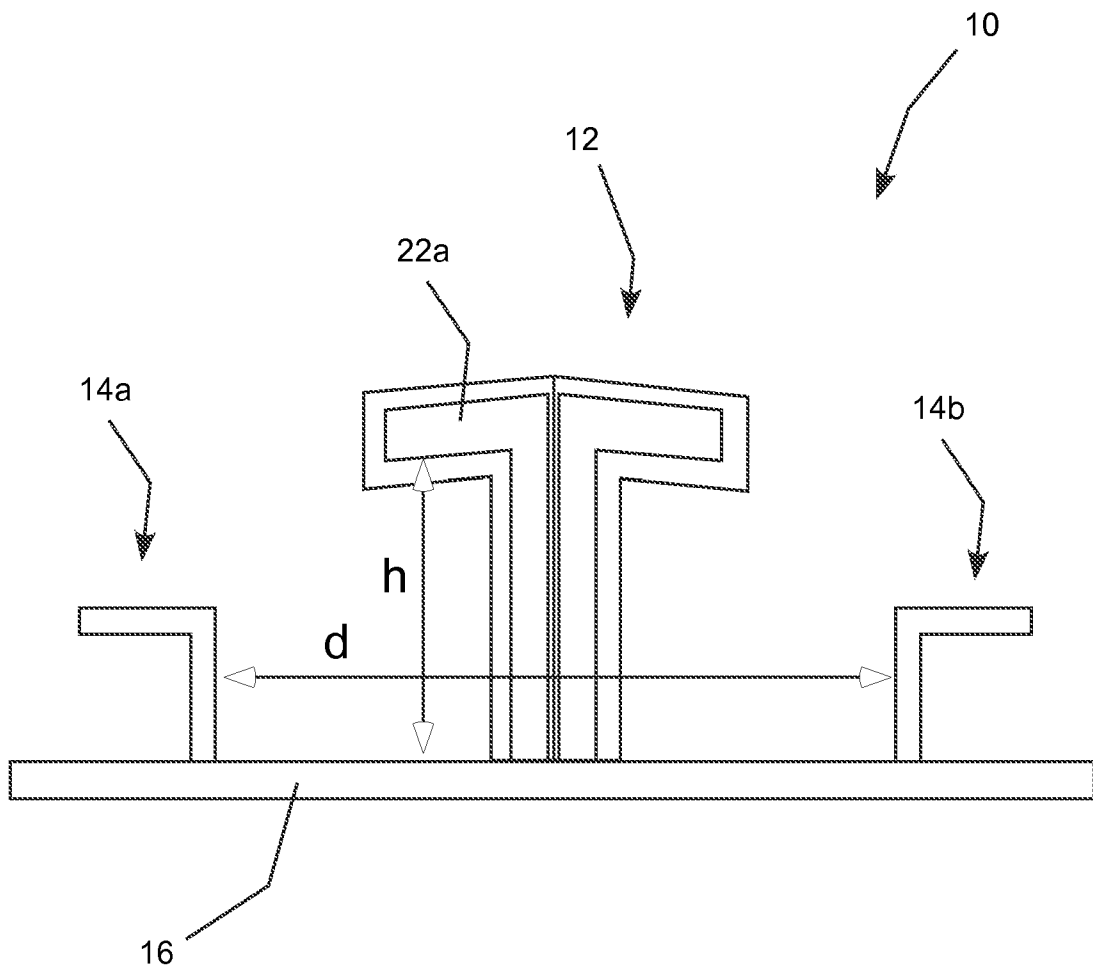


**FIG. 11**  
**(PRIOR ART)**



**FIG. 12**

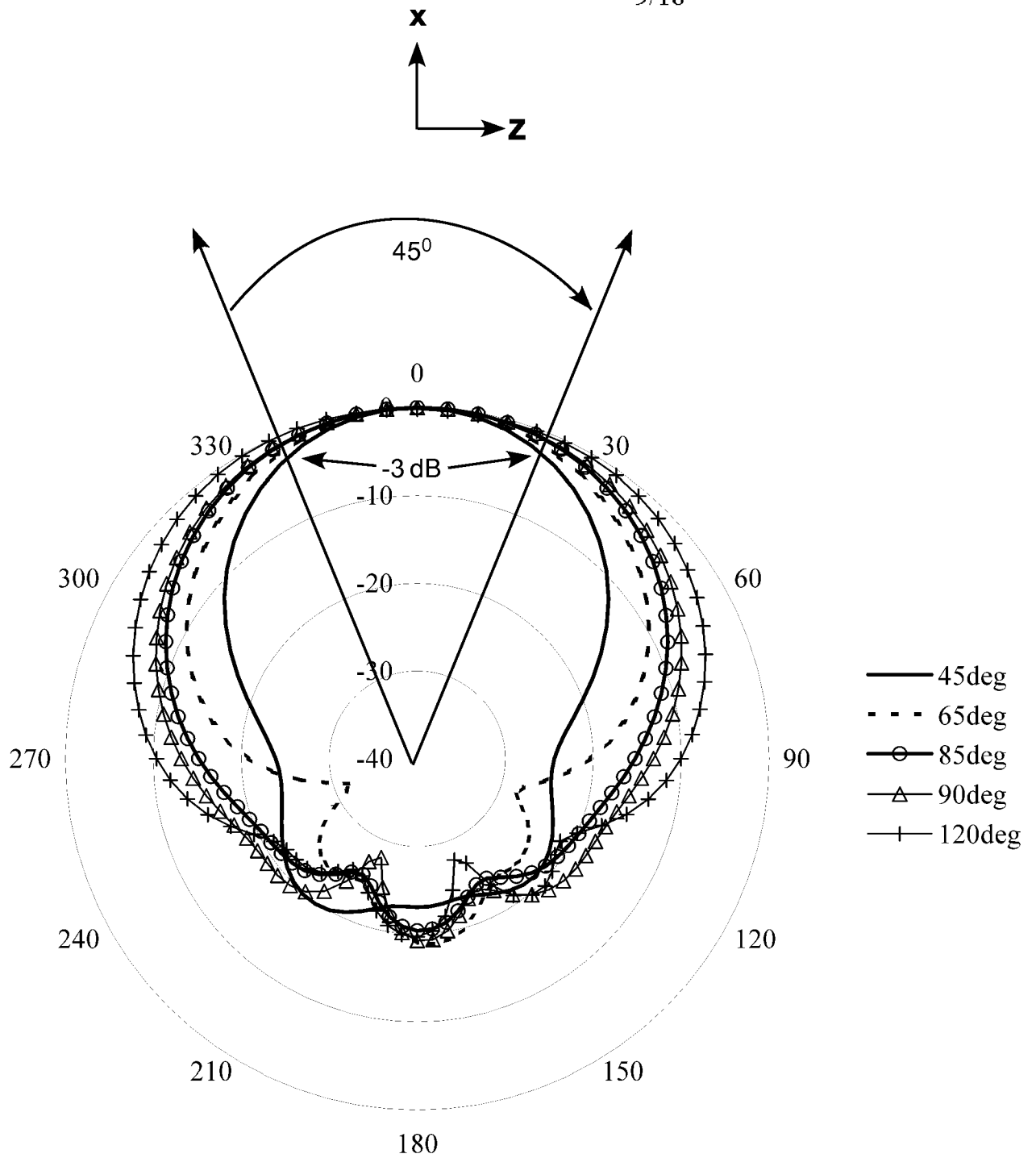




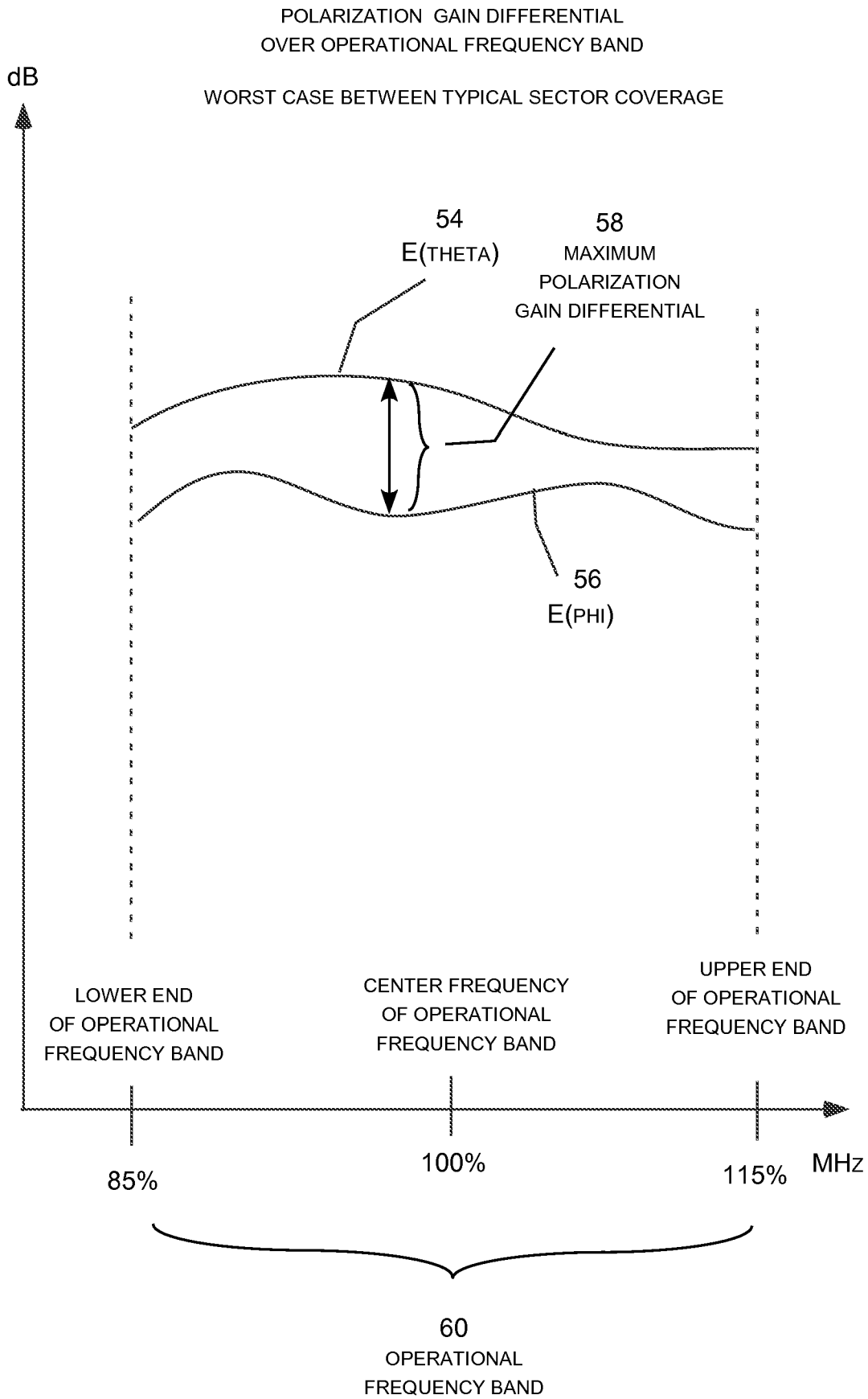
**FIG. 13**

| Azimuth Beamwidth | d               | h               |
|-------------------|-----------------|-----------------|
| 45°               | $1.04\lambda_0$ | $0.22\lambda_0$ |
| 65°               | $0.74\lambda_0$ | $0.22\lambda_0$ |
| 85°               | $0.57\lambda_0$ | $0.26\lambda_0$ |
| 90°               | $0.52\lambda_0$ | $0.30\lambda_0$ |
| 120°              | $0.52\lambda_0$ | $0.39\lambda_0$ |

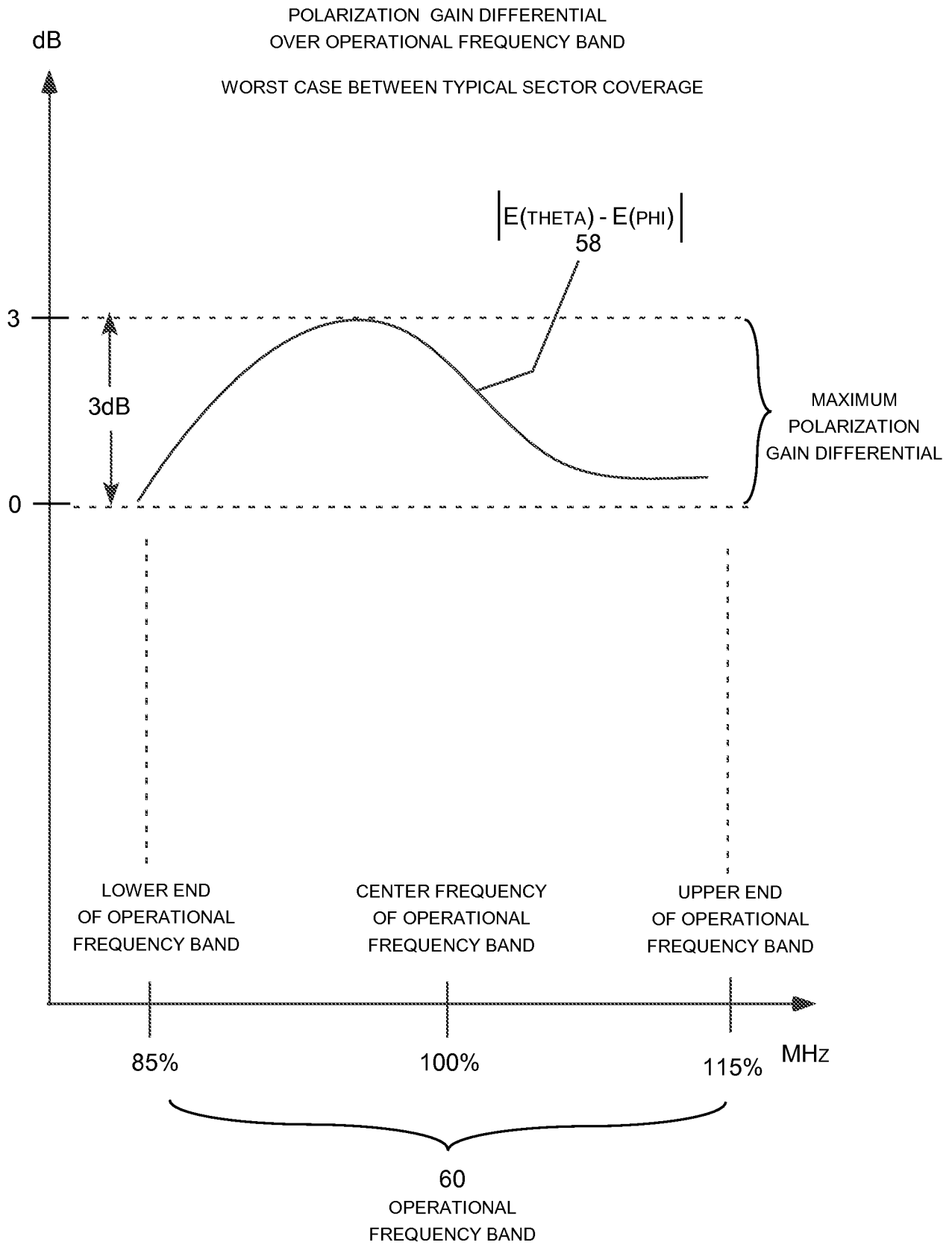
**FIG. 14**



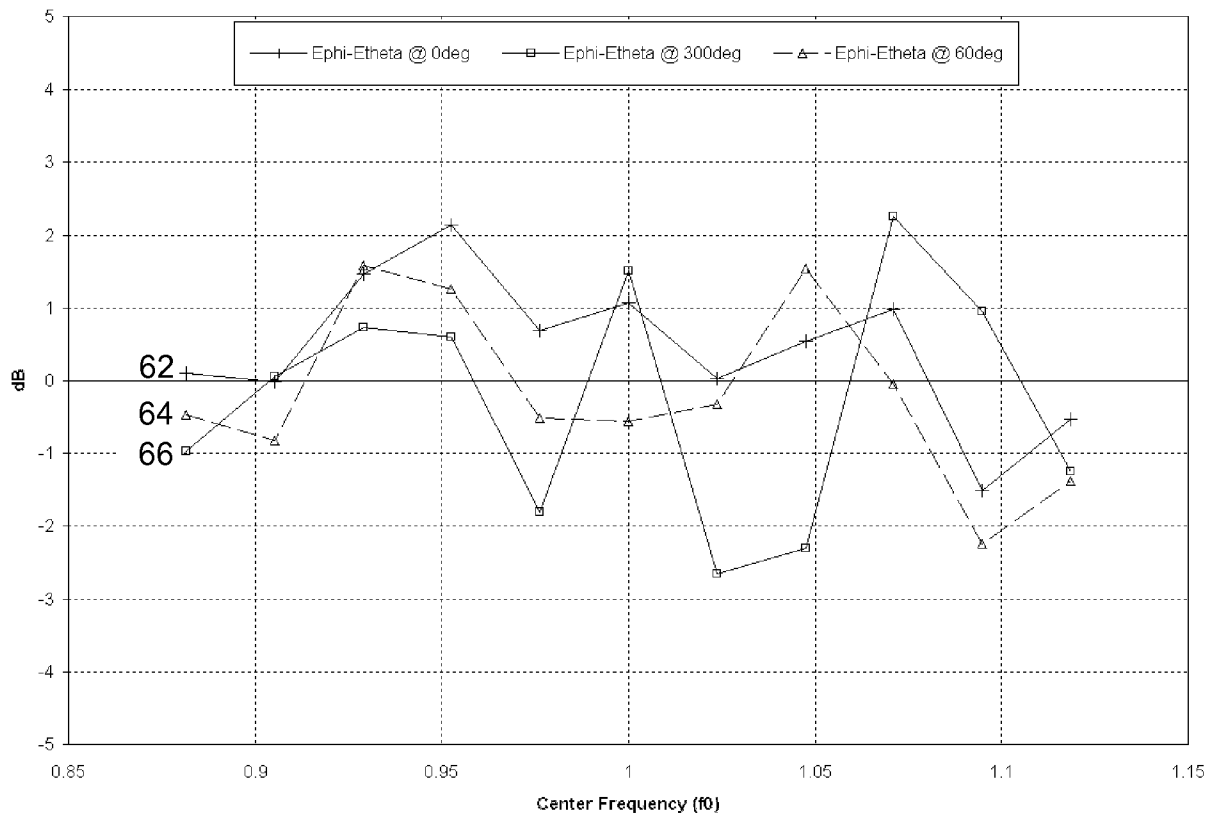
**FIG. 15**



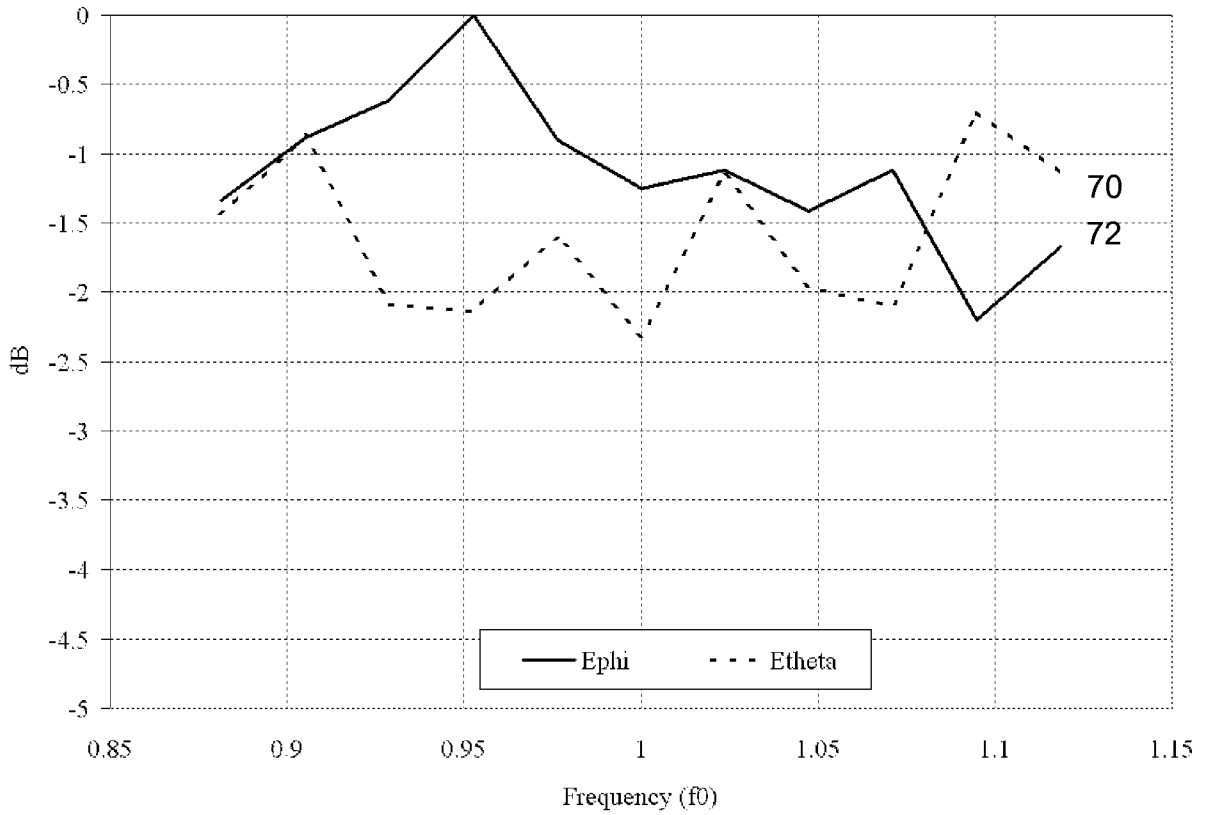
**FIG. 16**



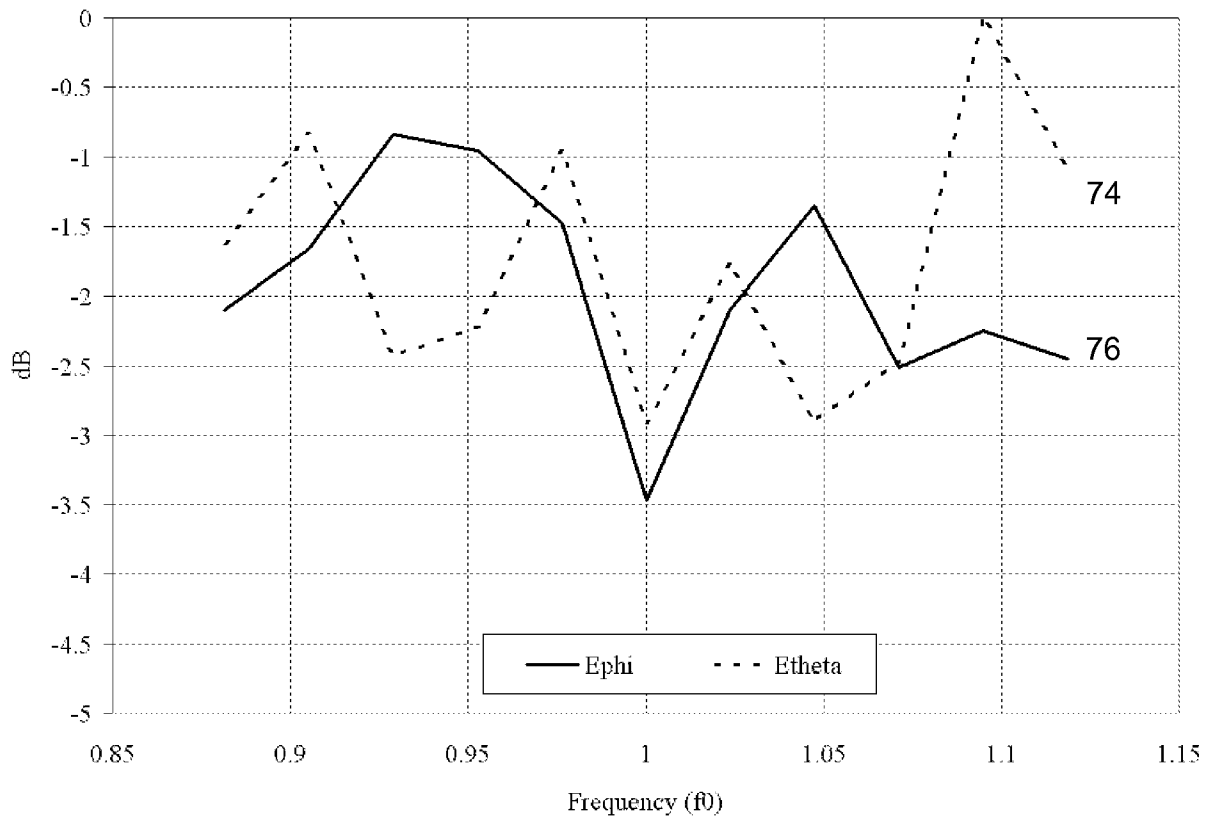
**FIG. 17**



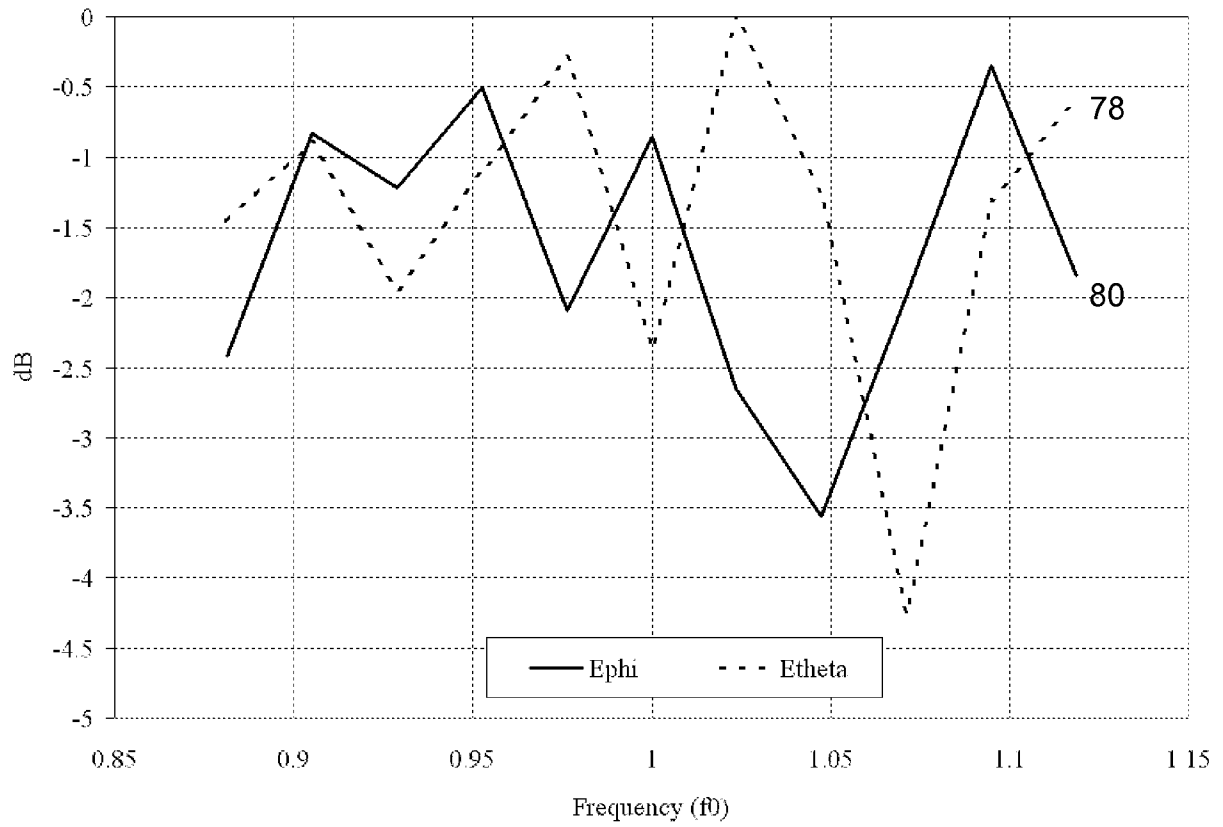
**FIG. 18**



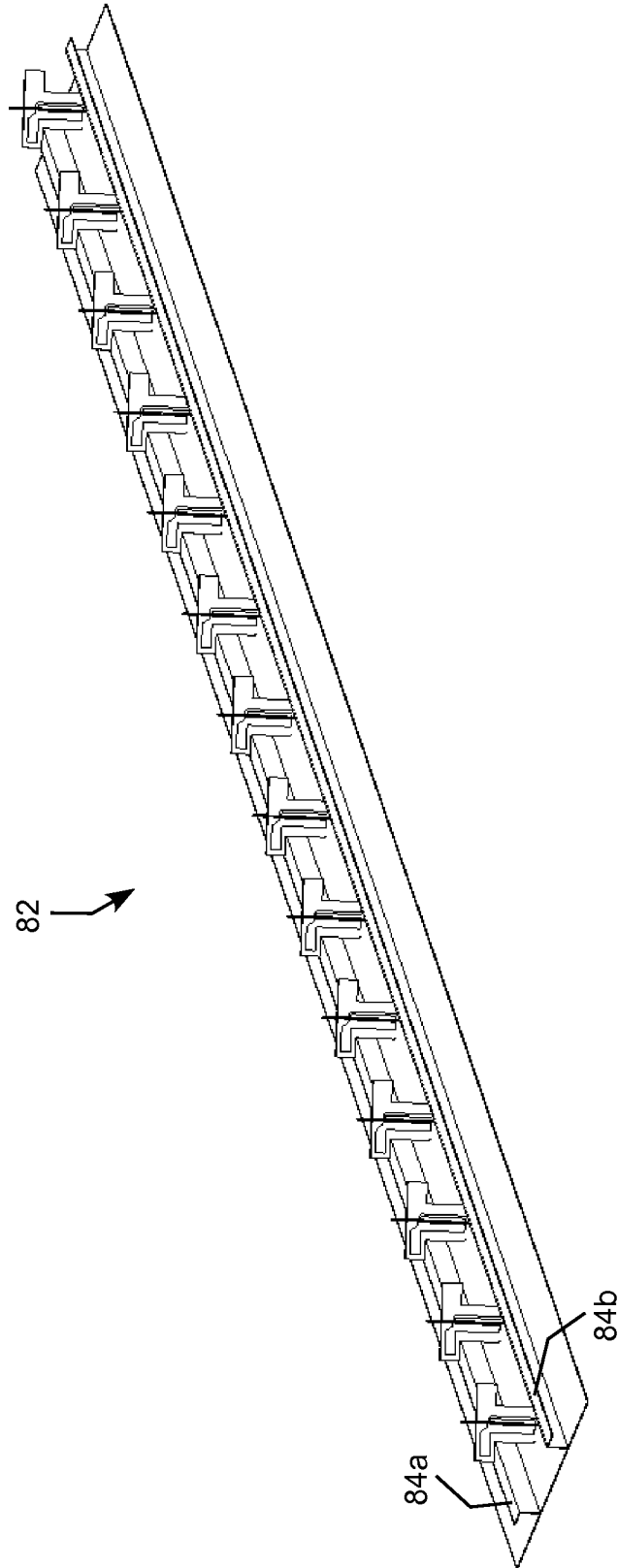
**FIG. 19**



**FIG. 20**

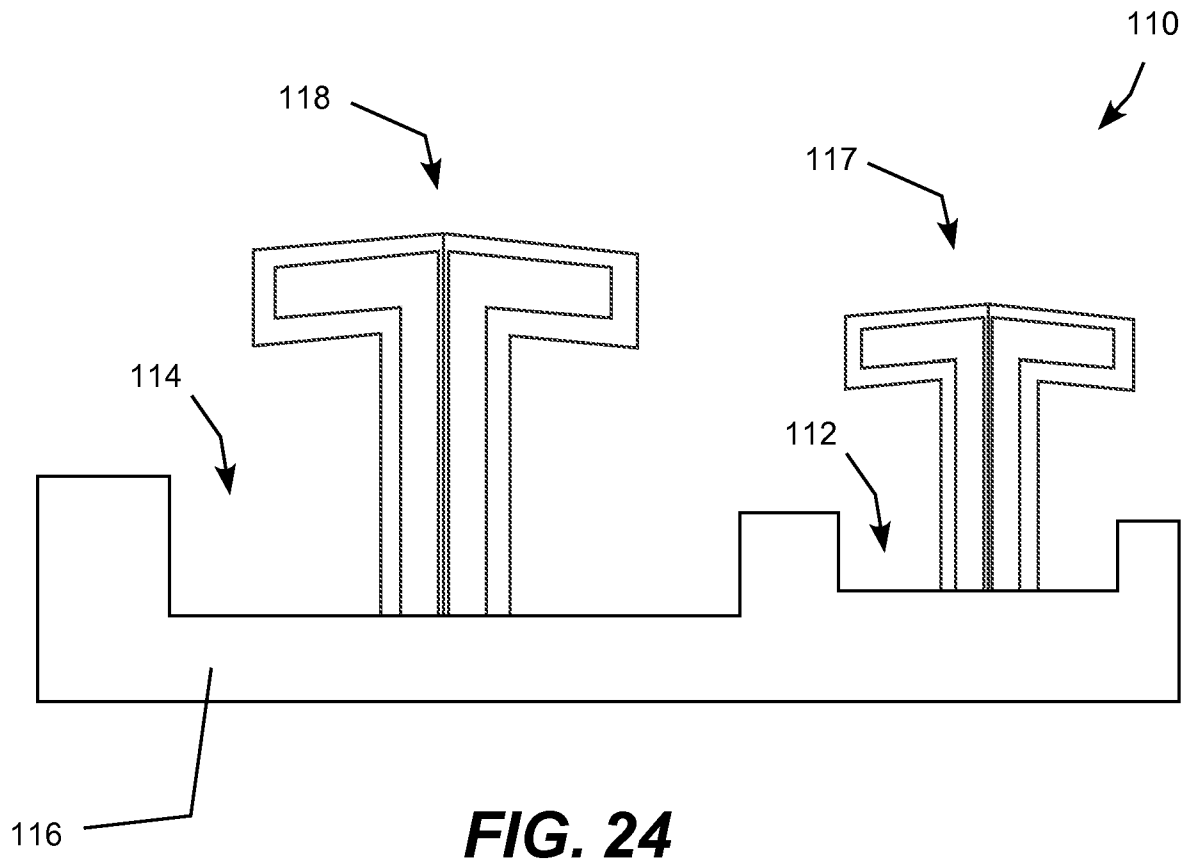
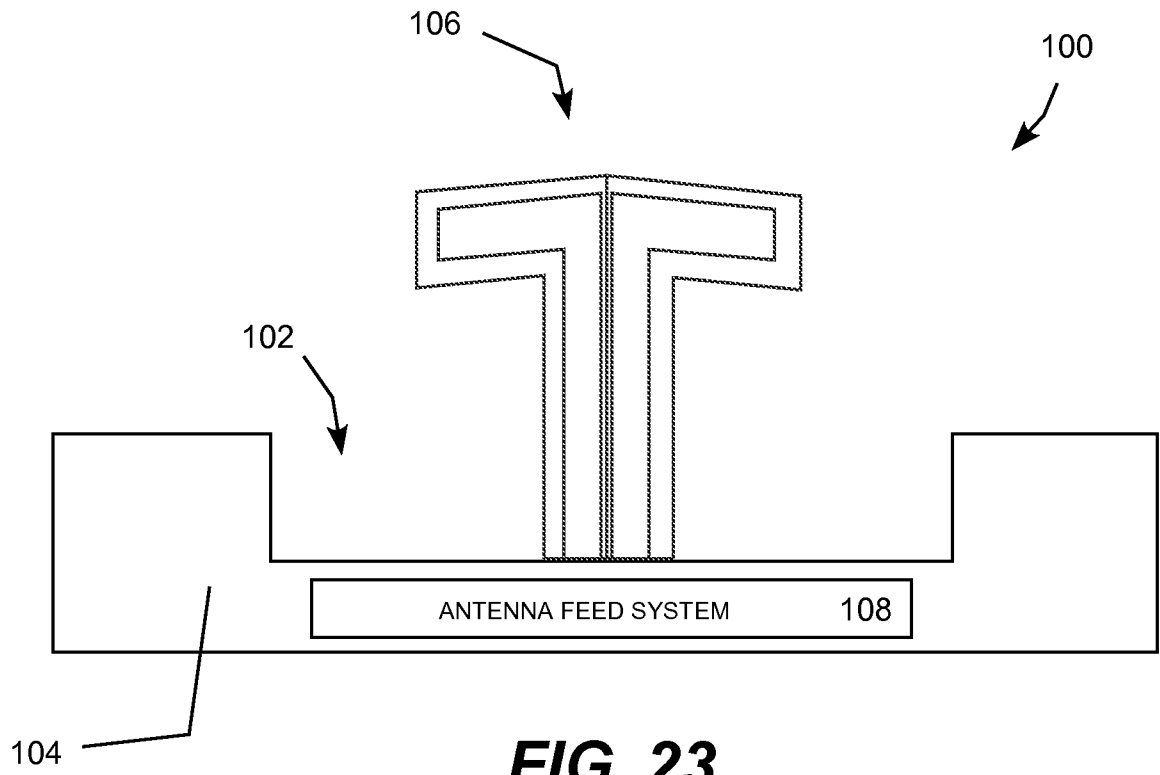


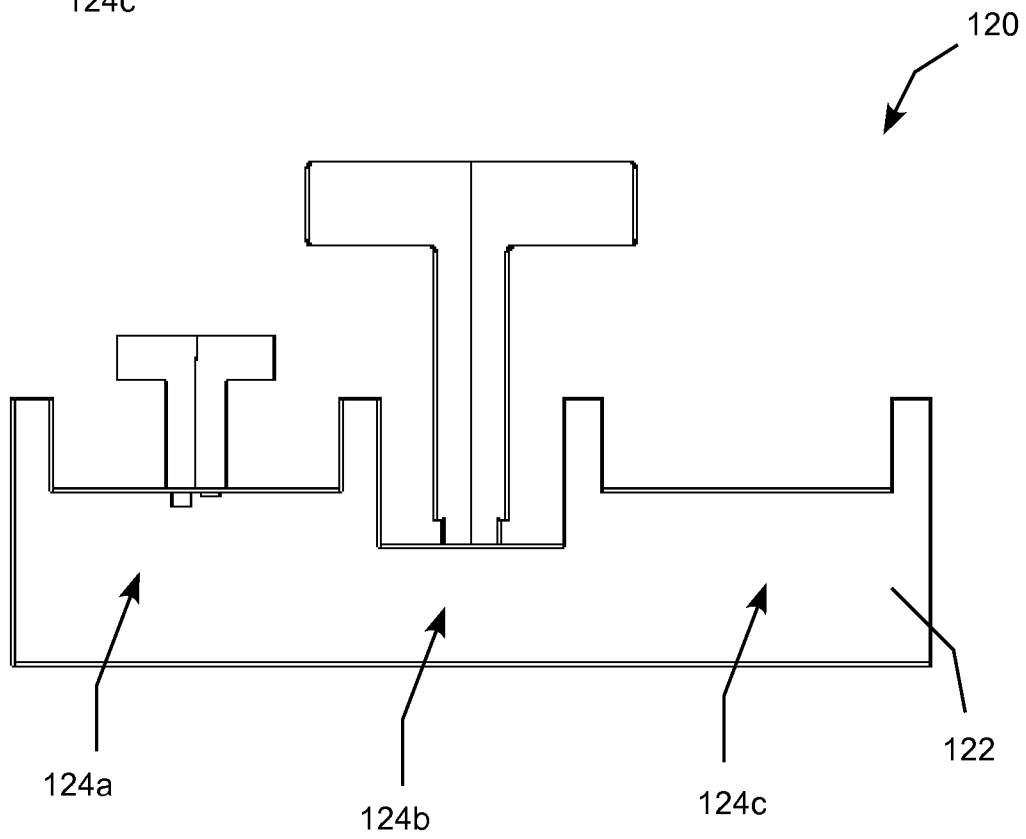
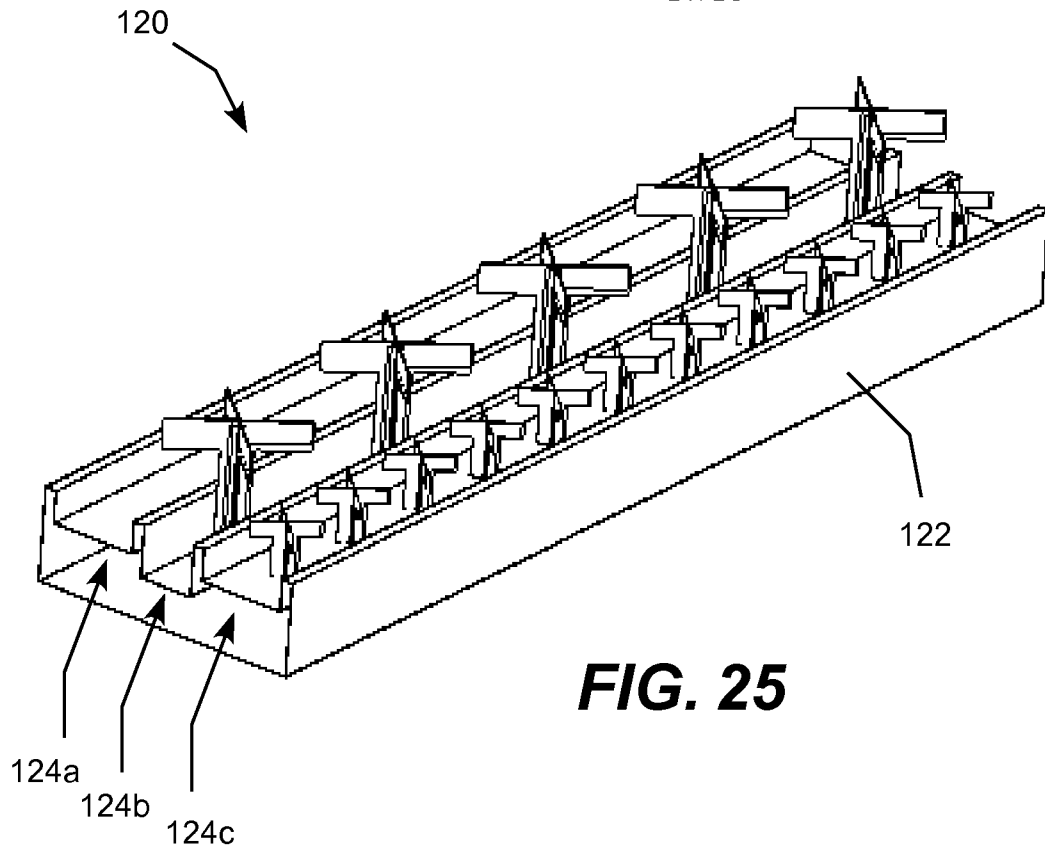
**FIG. 21**

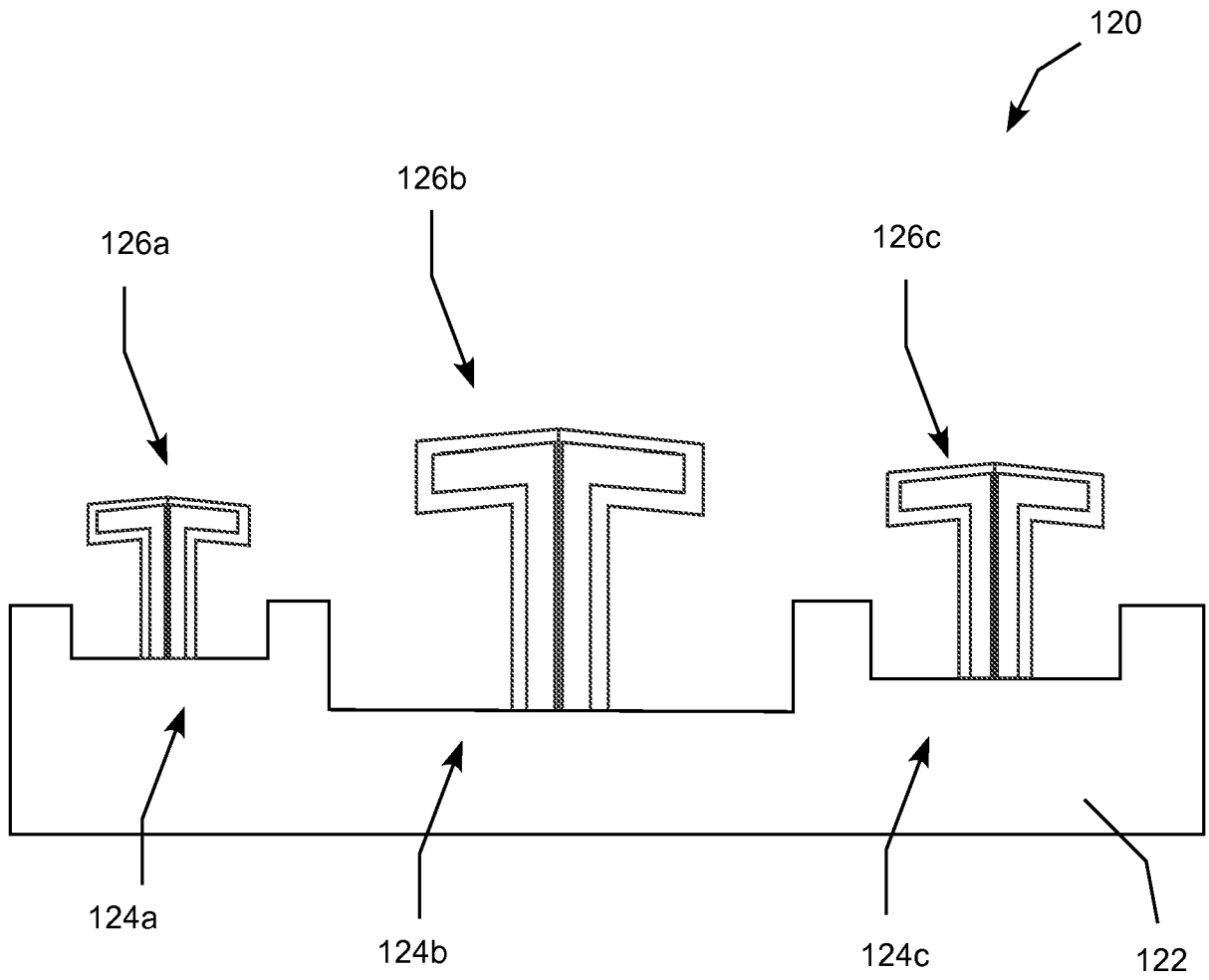


**FIG. 22**









**FIG. 27**

**INTERNATIONAL SEARCH REPORT**

|  |
|--|
| International application No.<br>PCT/US2008/079954 |
|--|

**A. CLASSIFICATION OF SUBJECT MATTER**  
 IPC(8) - H04B 3/36 (2008.04)  
 USPC - 455/7  
 According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**  
 Minimum documentation searched (classification system followed by classification symbols)  
 IPC(8) - H04B 3/36 (2008.04)  
 USPC - 455/7

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
 PatBase


**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

| Category*       | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No.              |
|-----------------|--|------------------------------------|
| X<br>-----<br>Y | US 2004/0110469 A1 (JUDD et al) 10 June 2004 (10.06.2004) entire document          | 1-6, 10, 11<br>-----<br>7-9, 12-15 |
| Y               | US 2005/0196176 A1 (SUN et al) 08 September 2005 (08.09.2005) entire document      | 7-9                                |
| Y               | US 2004/0156301 A1 (IKENAKA) 12 August 2004 (12.08.2004) entire document           | 12-15                              |

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| "E" earlier application or patent but published on or after the international filing date   | "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art |
| "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) | "&" document member of the same patent family  |
| "O" document referring to an oral disclosure, use, exhibition or other means  |  |
| "P" document published prior to the international filing date but later than the priority date claimed  |  |

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|---|--|
| Date of the actual completion of the international search<br>10 December 2008 | Date of mailing of the international search report<br><b>19 DEC 2008</b> |
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| Name and mailing address of the ISA/US<br>Mail Stop PCT, Attn: ISA/US, Commissioner for Patents<br>P.O. Box 1450, Alexandria, Virginia 22313-1450<br>Facsimile No. 571-273-3201 | Authorized officer:<br>Blaine R. Copenheaver<br><br>PCT Helpdesk: 571-272-4300<br>PCT OSP: 571-272-7774<br> |
|---|--|