

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
13 February 2003 (13.02.2003)

PCT

(10) International Publication Number
WO 03/011132 A2

- (51) International Patent Classification⁷: **A61B 5/0205**, 7/00
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- (21) International Application Number: PCT/GB02/03526
- (81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.
- (22) International Filing Date: 31 July 2002 (31.07.2002)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
0118728.5 31 July 2001 (31.07.2001) GB
- (84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
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- Published:**
— *without international search report and to be republished upon receipt of that report*
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*



WO 03/011132 A2

(54) Title: MONITORING DEVICE

(57) Abstract: The present invention provides an apparatus for monitoring breath and heart sounds, the apparatus including, sensors for detecting breath and heart sounds, means for recording breath and heart sounds over time and a pattern classifier for comparing recorded breath and heart sounds with previously recorded breath and heart sounds.

1 **"Monitoring Device"**

2

3 The present invention relates to an apparatus for
4 monitoring breath and heart sounds. In particular
5 the apparatus allows continuous cardio-pulmonary
6 monitoring.

7

8 Monitoring of breath and heart sounds is used both
9 in diagnosis and as a means of determining the
10 response of a patient to treatment.

11

12 Traditionally monitoring of breath and heart sounds
13 has been effected by a stethoscope. However, there
14 are many instances where the full use and
15 capabilities of the traditional stethoscope are
16 restricted. In particular there may be problems
17 using the stethoscope when, access to the patient is
18 restricted, as in intensive care or operating
19 theatre situations. Also the nature of the
20 patient's condition, for example extensive burns,
21 traumatic injury or obesity can restrict access.
22 Also, if the environment surrounding the patient is
23 noisy (e.g. in ambulances, helicopters, military

1 vehicles, ships, disaster sites etc.) it may be
2 difficult to use a stethoscope effectively.

3

4 A further disadvantage of the traditional
5 stethoscope is that it relies on the person using
6 the stethoscope having sensitive hearing across the
7 full frequency range. Interpretation of the sounds
8 produced by a traditional stethoscope relies on the
9 auditory performance of the user. As auditory
10 performance often declines with age, older health
11 professionals using a traditional stethoscope can
12 find it more difficult to correctly interpret the
13 heart and breath sounds produced by a patient.
14 Furthermore, there may be important respiratory and
15 cardiac sounds which are outside the normal auditory
16 range and therefore undetectable by "traditional"
17 stethoscopes.

18

19 Cardio-pulmonary monitoring of patients with time is
20 important to determine the response of a patient to
21 treatment and in some cases the progress of disease.
22 Further, cardio-respiratory monitoring of patients
23 at risk from acute illness, including infants and
24 children at risk from Sudden Infant Death Syndrome
25 (SIDS), may enable earlier treatment.

26

27 Monitoring of cardio-pulmonary function with time
28 using a traditional stethoscope requires that the
29 health professional is able to detect changes in the
30 heart and breath sounds from individual measurements
31 at particular time points such as each hour, day,
32 week or longer. This relies on the ability of the

1 health professional to recall what a previous
2 measurement sounded like. In addition, if different
3 health professionals are monitoring a patient's
4 cardio-pulmonary function over a time period then
5 the different interpretation of the sounds recorded
6 by each health professional via a stethoscope means
7 that subjective differences in the interpretation of
8 a patient's cardio-respiratory sounds must be taken
9 into account.

10

11 An object of the present invention is an improved
12 apparatus for monitoring breath and heart sounds.

13

14 Accordingly the present invention provides an
15 apparatus comprising a device including at least two
16 sensors capable of being suitably positioned to
17 allow the capture of breath and heart sounds over a
18 period of time, means for recording the breath and
19 heart sounds and means to analyse the breath and
20 heart sounds.

21

22 Suitably the sensors are non-invasive. They may be
23 either disposable or non-disposable. Any sensors
24 which can effectively capture the breath and heart
25 sounds are appropriate ranging from simple
26 microphones to piezo-electric devices, ultrasound
27 devices and accelerometers. They must effectively
28 capture the breath and heart sounds when positioned
29 over the appropriate areas of the patient's chest.

30

31 Preferably the device of the present invention
32 comprises at least two sensors positioned such that

1 they are located on each side of the patient's
2 chest.

3
4 Preferably a plurality of sensors are suitably
5 positioned for capturing breath and heart sounds by
6 locating the sensors in a matrix. The sensors must
7 be of suitable dimensions to be inserted into this
8 matrix.

9
10 Preferably this matrix forms a pad which can be used
11 to suitably locate the sensors by adhesive means.

12
13 Alternatively this matrix forms a pad which may be
14 worn or wrapped around a patient to suitably locate
15 the sensors.

16
17 The matrix containing the sensors may be made of any
18 suitable material. Typically the matrix containing
19 the sensors is formed from foam, nylon or Gore-Tex
20 material.

21
22 Preferably the pad comprises a number of layers.

23
24 Preferably the sensors are electronically connected
25 to each other.

26
27 In one embodiment the signals produced by the
28 plurality of sensors are transferred to a monitor by
29 a single cable.

30

1 Alternatively the signals produced by the plurality
2 of sensors are transferred to a monitor by a
3 wireless interface.

4

5 Preferably the matix containing the sensors can be
6 used remotely from the recording means and means to
7 analyse the breath and heart sounds.

8

9 Preferably the means for recording the heart and
10 breath sounds can convert the breath and heart
11 sounds into an analogue signal

12

13 Preferably the means for recording the breath and
14 heart sounds can convert the heart and breath sounds
15 into a digital signal.

16

17 Preferably the means to analyse the breath and heart
18 sounds includes means for determining the geometric
19 position in the body from which the breath and heart
20 sounds originate.

21

22 Preferably the means to analyse the breath and heart
23 sounds can convert the breath and heart signal to a
24 graphical output that shows the position of
25 particular sounds in relation to the lung and heart.

26

27 Preferably the means to analyse the breath and heart
28 sounds includes means for bandpass filtering the
29 signal in the range 10Hz - 2kHz.

30

1 Preferably the means to analyse the breath and heart
2 sounds includes means for sub-band processing the
3 signal.

4

5 Preferably sub band processing of the signal uses
6 two sub-bands up to f_n and from f_n to an upper
7 frequency limit wherein f_n is the anticipated upper
8 frequency limit of the normal range of sound from
9 the transducer site.

10

11 Preferably the means to analyse the breath and heart
12 sounds is capable of identifying the rate of
13 respiratory inhalation and exhalation phases.

14

15 Preferably the means to analyse the breath and heart
16 sounds includes a pattern classifier to enable the
17 signals recorded to be matched to previously
18 determined breath and heart signals.

19

20 Preferably the means to analyse the breath and heart
21 sounds uses short term spectral/parametric analysis
22 of respiratory phases in sub bands.

23

24 In one embodiment the sub bands are from 10Hz to f_n
25 and f_n to 2kHz.

26

27 The means to analyse the breath and heart sounds can
28 comprise a computer program.

29

30 The present invention thus provides a computer
31 program, preferably on a data carrier to a computer
32 readable medium having code or instructions for

1

- 2 a) receiving data from at least one sensor means
3 according to the present invention,
4 b) generating a pattern from the data of step (a),
5 c) receiving data from predetermined patterns of
6 breath and heart sounds,
7 d) matching the pattern derived from step (b) with
8 the predetermined patterns of step (c),
9 e) displaying the match,

10

11 Preferably the device including the sensors to
12 detect breath and heart includes a global
13 positioning satellite locator.

14

15 Preferably the device including the senses to detect
16 breath and heart sounds includes further sensors for
17 monitoring the physiological state of the patient.

18

19 Examples of such sensors include, but are not
20 limited to, temperature sensors, blood oxygen
21 sensors and other blood gas/chemical sensors.

22

23 According to a second aspect of the present
24 invention there is provided a method for
25 interpreting breath and heart sounds comprising the
26 steps of,

- 27 (i) positioning of the device including the
28 sensors around the area of interest,
29 (ii) recording the breath and heart sounds over
30 time,
31 (iii) converting the breath and heart sounds to a
32 signal in the range of 10Hz-2kHz,

- 1 (iv) bandpass filtering the signal
2 (v) identifying the rate of respiratory
3 inhalation and exhalation phases
4 (vi) comparing the recorded signal data with
5 known signal data of breath and heart
6 sounds,
7 (vii) determining if the signal data of breath and
8 heart sounds recorded matches known signal
9 data of breath and heart sounds.

10

11 Preferably the signal is digital.

12

13 Preferably step (iv) consists of performing
14 appropriate filtering and amplification of the
15 signal.

16

17 Preferably the method includes the step of sub-
18 processing the recorded signal.

19

20 More preferably the method includes the step of
21 mapping the signals to the heart and lung.

22

23 An embodiment of the present invention will now be
24 described, by way of example only, with reference to
25 the accompanying drawings,

26

27 Figure 1 shows a front view of the device,

28

29 Figure 2 shows a side view of the device
30 wherein the sensors are mounted in a matrix
31 which is conjoined to an outer layer on one

1 face and an adhesive layer on a second opposite
2 face, and

3

4 Figure 3 shows a block diagram of the automatic
5 respiratory recognition system.

6

7 With reference to figure 1 an embodiment of the
8 present device is a pad comprising a plurality of
9 sensors, typically between six to twelve sensors.

10

11 A plurality of sensors may be positioned within each
12 region of the matrix pad with the intention being to
13 capture the strongest "signal" from that region.

14 The use of a plurality of sensors avoids the
15 possibility of single sensor failure preventing
16 measurement of the breath and heart sounds. Thereby
17 accurate information may be relayed to the monitor.

18

19 The sensors are arrayed at particular locations in a
20 matrix, the particular locations corresponding to
21 appropriate anatomical positions to enable the
22 continuous capture of breath and heart sounds.

23

24 The sensors will effectively "map" the lung and
25 heart. Furthermore, the sensors in the device may
26 capture important respiratory and cardiac sounds
27 which are outside the normal auditory range and
28 therefore undetectable by "traditional"
29 stethoscopes.

30

31 The plurality of sensors will provide a complete
32 lung/heart map. As an example if all is well with

1 the patient the sensors will provide an all "green"
2 display and if there are specific diseased areas
3 "red" will be displayed within that region. There
4 will also be varying shades of colour between these
5 two ranges. It is also envisaged that a numerical
6 display will be provided. For example, a range of
7 0-100, with 0 being the worst and 100 being the
8 best. This may also be expressed as percent.

9
10 The pad comprising the matrix in which the sensors
11 are arrayed is typically between 20 cm x 30 cm,
12 however the size is dependent on the anatomical
13 proportions of the patient. It can be envisaged
14 that the size of the pad and the location of the
15 sensors may be varied to suit babies or children.

16
17 The individual sensors are electronically connected
18 such that the signals produced by each sensor can be
19 transferred to a monitor by a single lead. The
20 monitor enables the amplification, analysis and
21 display of the signals produced by the sensors in
22 both analogue and digital format.

23
24 With reference to figure 2 the pad is comprised of
25 multiple layers wherein a foam material layer houses
26 the sensors. The foam material layer is attached to
27 a first layer on one face and a second backing layer
28 on the opposite face.

29
30 The first layer has an adhesive face, opposite the
31 face of the first layer attached to the foam
32 material layer, for fixing the pad to the patient

1 and locating the sensors to suitable anatomical
2 positions. The adhesive face of this first layer is
3 protected by a peel off protective seal, which
4 remains in place until the pad is to be positioned
5 on to the patient. The adhesive used in the
6 adhesive portion is preferably hypoallergenic,
7 comfortable and sufficiently adherent to allow 2-5
8 days of continuous placement of the pad.

9
10 Between the first layer (in contact with the skin)
11 and the second layer (containing the sensors) it is
12 desirable to have an intermediate "space" or
13 "vacuum" to facilitate and improve sound
14 transmission from the chest to the sensors.

15
16 The second backing layer is attached to the foam
17 material layer on the face opposite to that which is
18 attached to the first layer. This second backing
19 layer is thus the furthest from the patient when the
20 pad is positioned on the patient in use. This
21 second backing layer provides strength and
22 robustness to the pad. Further, the second backing
23 layer allows attachment of a lead to the pad for
24 transfer of the signals produced by the sensors to a
25 monitor.

26
27 Each sensor in the pad is electronically linked to a
28 common lead for transfer of the signals produced by
29 the sensors to a monitor.

30
31 Following the transfer of signals from each of the
32 sensors by the common cable they are amplified,

1 analysed and displayed in both analogue and digital
2 format.

3

4 An alternative embodiment of the present invention
5 is also provided wherein the device containing the
6 sensors is not linked to a monitor by a cable, but
7 by a wireless interface system. This wireless
8 interface system allows remote or distant monitoring
9 of the cardio-pulmonary signals.

10

11 Using the wireless interface, information can be
12 relayed from the patient to a health professional
13 without the need for the patient to be near a
14 monitor or connected to any equipment other than the
15 sensor containing device.

16

17 By suitable positioning of the pad incorporating the
18 wireless interface onto the patient the cardio-
19 pulmonary function of the patient may be monitored.
20 This allows monitoring of patients' cardio-pulmonary
21 function from their own homes, remote locations, or
22 in situations where monitors are not be available,
23 for instance in planes or at sea.

24

25 The apparatus can be used to effect the automatic
26 recognition of respiratory sounds.

27

28 Respiratory sounds (normal and abnormal) have a
29 typical frequency range of 100-2000Hz and a dynamic
30 range of some 50-60dB. The upper extent of the
31 frequency range is dependent upon the point at which
32 the sound is transduced. The sound is effectively

1 low-pass filtered by the body tissue between the
2 lungs and the transducer, with the cut-off frequency
3 of the low-pass filtering being dependent of the
4 transducer site.

5
6 For digital processing, respiratory signals should
7 be sampled with a minimum sampling frequency of 4kHz
8 at a minimum of 8 bits / sample. However, in system
9 and algorithm development stages, a sampling
10 frequency of at least 8 khz at 16 bits / sample is
11 recommended.

12
13 As shown in the block diagram of figure 3 of the
14 automatic respiratory recognition system the
15 objective is to automatically determine whether the
16 input acoustic pattern is normal / abnormal and, if
17 abnormal which pathological condition is determined.

18
19 The front end analysis involved in the canonic
20 automatic respiratory recognition system is

- 21
- 22 (1) Bandpass filtering the signal in the range
23 10Hz-2kHz,
24
 - 25 (2) Sub-band processing of the signal using two
26 sub-bands - 10 to f_n and f_n to 2kHz, where f_n
27 is the anticipated upper frequency limit of the
28 normal range of sound from the transducer site,
29
 - 30 (3) Identification and rate of respiratory
31 inhalation and exhalation phases,
32

1 (4) Short-term spectral / parametric analysis of
2 respiratory phases in both sub-bands,

3

4 The pattern classifier comprises pattern matching
5 against stored respiratory patterns (based on
6 possible spectral, energy or parametric information)
7 and a decision rule, which may be linear or
8 nonlinear. The pattern classifier can be either a
9 standard statistical classifier or a classifier
10 based on artificial intelligence techniques, such as
11 neural networks or fuzzy logic classifiers.

12

13 In use the recorded sounds are transmitted to the
14 analysis means are band pass filtered and sub-band
15 pass filtered.

16

17 The recorded sounds also include sounds which are
18 detected and then analysed in real time.

19

20 The filtered data is then compared against
21 previously determined data using the pattern
22 classifier.

23

24 The previously determined data can be from the same
25 or different patient and may comprise a description
26 indicating if the predetermined data is indicative
27 of normal or abnormal breath and heart sounds.

28

29 The newly recorded data can thus be compared against
30 the predetermined data and assigned as normal or
31 abnormal. Further comparison of the recorded data
32 signal with abnormal data might allow a match

1 against a similar previously determined pattern, and
2 such a match may allow a diagnosis of the
3 abnormality and possibly the disease promoting the
4 abnormality to be made by the analysis means.

5
6 A global positioning satellite locator (GPS) or
7 further sensors enabling monitoring of the patient
8 may also be incorporated into the pad of the device
9 and the information from the GPS locator or
10 alternative sensor relayed to the monitor by the
11 wireless interface means.

12
13 It can be envisaged that the device may be suitably
14 positioned to the patient by alternative means than
15 adhesive.

16
17 The sensors may be incorporated into a pad which can
18 be wrapped around the patient or worn by the patient
19 to allow positioning of the sensors at suitable
20 anatomical positions.

21
22 Alternatively the sensors may be incorporated with
23 alternative fixing means such as suction cups to
24 allow their accurate placement onto the patient.

25
26 The present invention has a number of advantages.
27 It may be used to continuously monitor a patient's
28 cardio-pulmonary function. This is advantageous
29 over traditional stethoscopes, which can only record
30 a patient's cardio-pulmonary function at distinct
31 time points.

32

1 As the device allows the non-subjective monitoring
2 of a patient's cardio-pulmonary function over time,
3 differences in the interpretation of cardio-
4 pulmonary sounds by different health professionals
5 do not have to be taken into account when monitoring
6 the patient.

7
8 The device is primarily designed for monitoring
9 breath and heart sounds over the patient's chest,
10 however it could easily be adapted for foetal
11 monitoring either throughout pregnancy or during
12 labour. Similarly, if a woman requires anaesthesia/
13 surgery / intensive care during her pregnancy it is
14 not inconceivable that one device could be used to
15 monitor the mother and another to monitor the
16 foetus.

17
18 In use the device, which includes in the sensors is
19 a pad which can be wrapped around the patient, the
20 pad is then suitably positioned around the patient
21 chest such that breath and heart sounds can be
22 measured. Due to the plurality of the sensors the
23 exact positioning of the pad is not crucial as
24 typically if placed in a generally correct position,
25 breath and heart sounds will be detected and
26 recorded.

27
28 The pad is kept in position for a period of time
29 suitable to allow data collection, this may be
30 minutes, hours or days as required to allow breath
31 and heart sounds to be suitably recorded.

32

1 The breath and heart sounds are transmitted to
2 recording means to record the sounds.

3 Transmission may occur via wires linking the device
4 to the recording and analysis means of via a
5 wireless system.

6

7 Further usage and development of the device could be
8 in the field of veterinary obstetrics and veterinary
9 medicine with regard to both large and small animals
10 that are pregnant/about foal, calf etc. or need
11 anaesthesia and surgery.

12

13 The device will further provide clear and effective
14 training for students of medicine and nursing, as it
15 will allow the unambiguous interpretation of normal
16 and pathological heart and breath sounds.

17

18 The device is robust, easily stored and not easily
19 damaged. The entire device or any part thereof may
20 also be disposable.

21

22 It maybe used in daylight or in the dark which is
23 useful in military situations or for use in dark
24 rooms.

25

26 As there is an equal distribution of sensors between
27 the left and right sides of the chest, differential
28 interpretation of normal and abnormal breath sounds
29 will be possible.

30

1 The device will allow diagnosis or determination of
2 a patient's response to treatment to be performed by
3 a suitable health professional from a distance.

4

5 This distant or remote monitoring of a patient's
6 cardio-pulmonary function has particular importance
7 in cases where patients are in planes, ambulances,
8 helicopters or remote situations.

1 **CLAIMS**

2

3 1. An apparatus comprising a device including at
4 least two of sensors capable of being suitably
5 positioned to allow the capture of breath and
6 heart sounds over a period of time, means for
7 recording the heart and breath sounds and means
8 to analyse the heart and breath sounds.

9

10 2. An apparatus as claimed in claim 1 wherein the
11 device comprises at least two sensors
12 positioned such that they are located on each
13 side of the patient's chest.

14

15 3. An apparatus as claimed in claim 1 wherein a
16 plurality of sensors are suitably positioned
17 for capturing breath and heart sounds by
18 locating the sensors in a matrix.

19

20 4. An apparatus as claimed in claim 3 wherein the
21 matrix forms a pad which can be used to
22 suitably locate the sensors by adhesive
23 means.

24

25 5. An apparatus as claimed in claim 3 wherein the
26 matrix forms a pad which may be worn or wrapped
27 around a patient to suitably locate the
28 sensors.

29

30 6. An apparatus as claimed in any preceding claim
31 wherein the sensors are electronically
32 connected to each other.

- 1 7. An apparatus as claimed in any preceding claim
2 wherein the signals produced by the plurality
3 of sensors are transferred to a monitor by a
4 single cable.
5
- 6 8. An apparatus as claimed in any preceding claim
7 wherein the sensors can be used remotely from
8 the recording means and means to analyse the
9 breath and heart sounds.
10
- 11 9. An apparatus as claimed in any preceding claim
12 wherein the means for recording the breath and
13 heart sounds can convert the breath and heart
14 sounds into an analogue signal.
15
- 16 10. An apparatus as claimed in claims 1 to 8
17 wherein the means for recording the breath and
18 heart sounds can convert the breath and heart
19 sounds into a digital signal.
20
- 21 11. An apparatus as claimed in any preceding claim
22 wherein the means to analyse the breath and
23 heart sounds includes means for determining the
24 geometric position in the body from which the
25 breath and heart sounds originate.
26
- 27 12. An apparatus as claimed in any preceding claim
28 wherein the means to analyse the breath and
29 heart sounds can convert the breath and heart
30 signal to a graphical output that shows the
31 position of particular sounds in relation to
32 the lung and heart.

- 1 **13.** An apparatus as claimed in any preceding claim
2 wherein the means to analyse the breath and
3 heart sounds includes means for bandpass
4 filtering the signal in the range 10Hz to an
5 upper frequency limit.
6
- 7 **14.** An apparatus as claimed in any preceding claim
8 wherein the means to analyse the breath and
9 heart sounds includes means for sub-band
10 processing the signal.
11
- 12 **15.** An apparatus as claimed in claim 14 wherein
13 sub-band processing of the signal uses two sub-
14 bands 10Hz to f_n and from f_n to an upper
15 frequency limit wherein f_n is the anticipated
16 upper frequency limit of the normal range of
17 sound from the transducer site.
18
- 19 **16.** An apparatus as claimed in any preceding claim
20 wherein the means to analyse the breath and
21 heart sounds is capable of identifying the rate
22 of respiratory inhalation and exhalation
23 phases.
24
- 25 **17.** An apparatus as claimed in any preceding claim
26 wherein the means to analyse the breath and
27 heart sounds includes a pattern classifier to
28 enable the signals recorded to be matched to
29 previously determined breath and heart signals.
30
- 31 **18.** An apparatus as claimed in any preceding claim
32 wherein the means to analyse the breath and

1 heart sounds uses short term spectral /
2 parametric analysis.

3

4 19. An apparatus as claimed in any preceding claim
5 including a global positioning satellite
6 locator.

7

8 20. An apparatus as claimed in any preceding claim
9 including additional sensors for monitoring the
10 physiological state of the patient.

11

12 21. A computer program, preferably on a data
13 carrier to a computer readable medium having
14 code of instructions for:

- 15 a) receiving data from at least one sensor
16 means according to the present invention,
17 b) generating a pattern from the data of step
18 (a),
19 c) receiving data from predetermined patterns
20 of breath and heart sounds,
21 d) matching the pattern derived from step
22 (b) with the predetermined pattern of step
23 (c),
24 e) displaying the match.

25

26 22. A method for interpreting breath and heart
27 sounds comprising the steps of:

- 28 i) positioning of the device including the
29 sensors around the area of interest,
30 ii) recording the breath and heart sounds over
31 time,

- 1 iii) converting the breath and heart sounds to
2 a signal in the range of 10Hz to an upper
3 frequency limit.
4 iv) bandpass filtering the signal
5 v) identifying the rate of respiratory
6 vi) inhalation and exhalation phases
7 vii) Comparing the recorded signal data with
8 known signal data of breath and heart
9 sounds,
10 viii) Determining if the signal data of breath
11 and heart sounds recorded matches known
12 signal data of breath and heart sounds,
13
14 23. A method as claimed in claim 22 including the
15 step of sub-processing the recorded signal.
16
17 24. A method as claimed in claim 22 or 23 including
18 the step of mapping the signals to the heart
19 and lung.

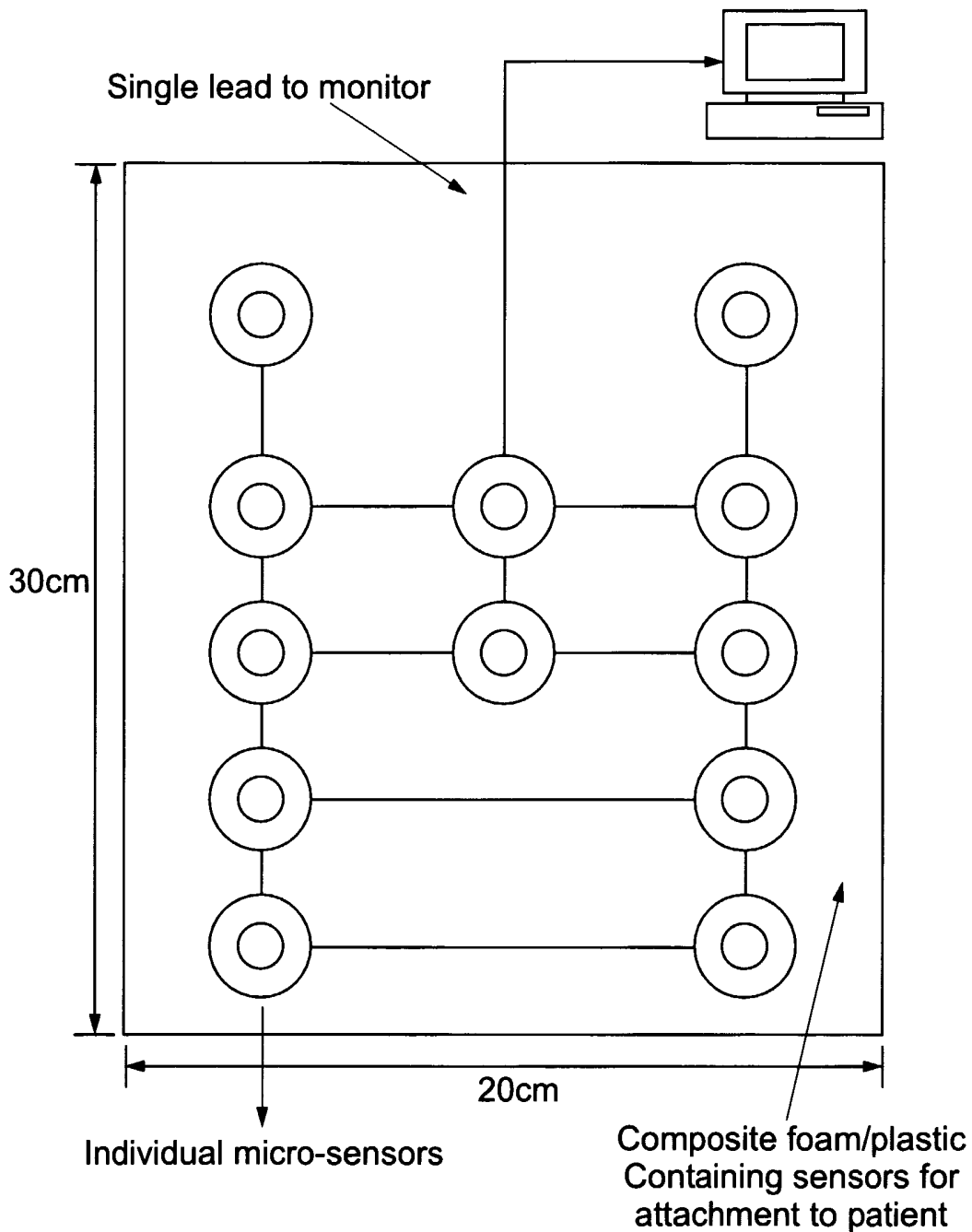


Fig. 1

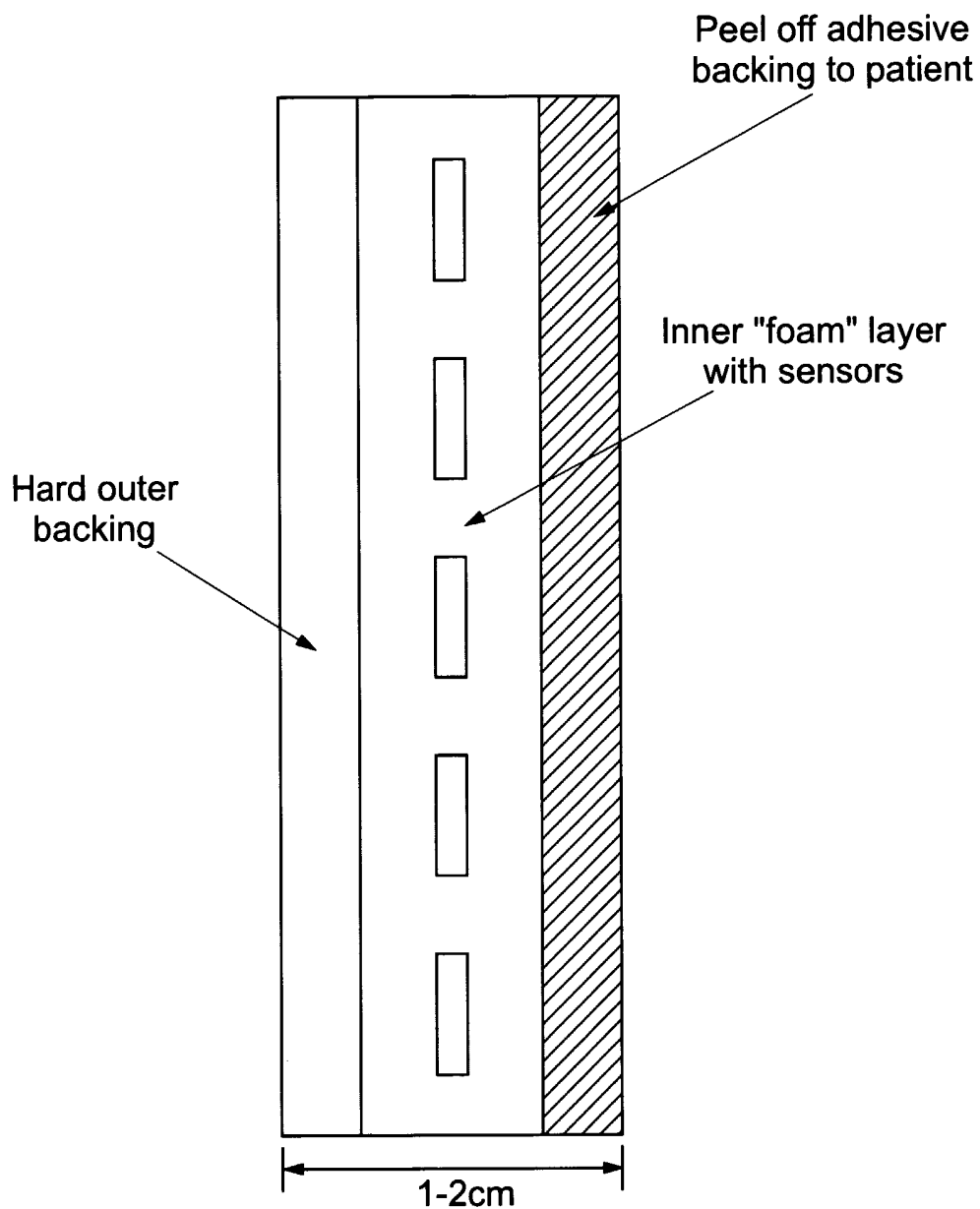


Fig. 2

3 / 3

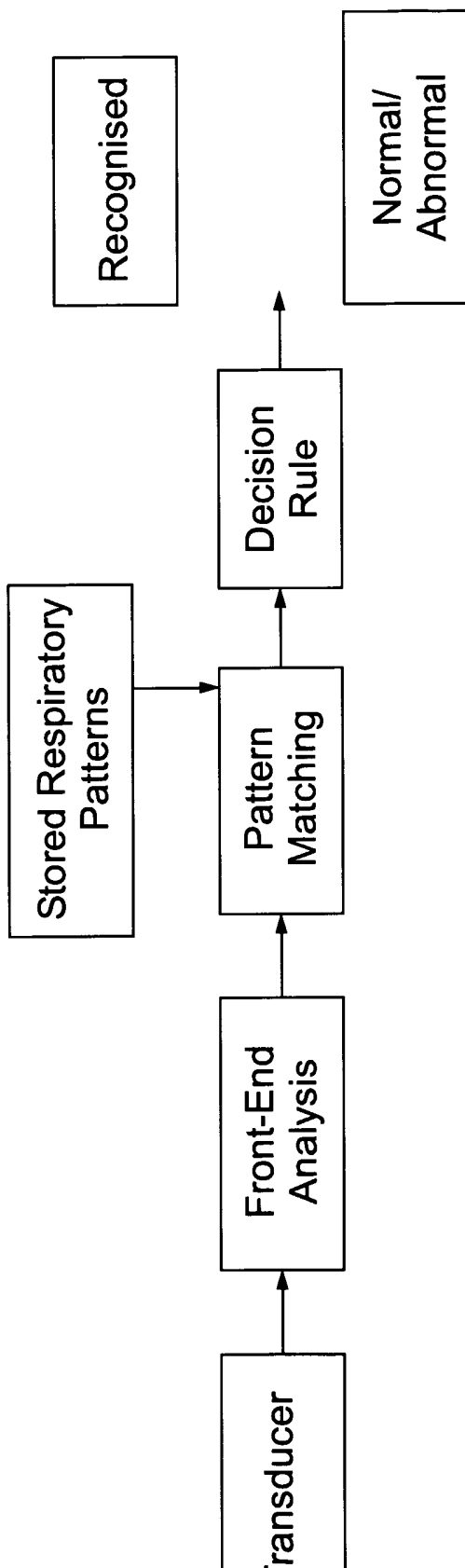


Fig. 3