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(56) Documents Cited:

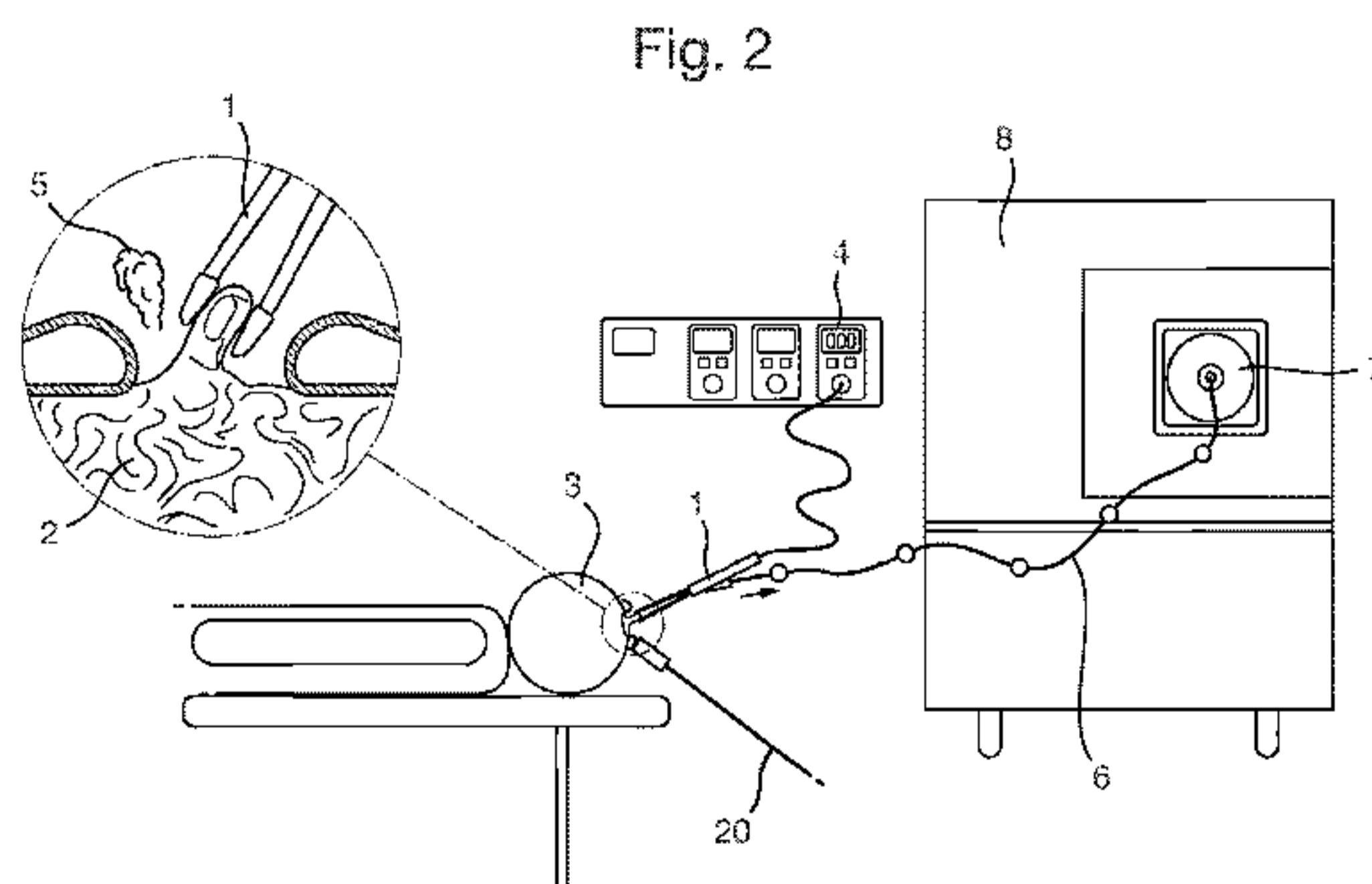
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(58) Field of Search:

Other: **WPI, EPODOC, BIOSIS**

(54) Title of the Invention: **Physically guided rapid evaporative ionisation mass spectrometry ("REIMS")**  
Abstract Title: **Physically Guided Rapid Evaporative Ionisation Mass Spectrometry**

(57) A method is disclosed comprising obtaining physical or other non-mass spectrometric data from one or more regions of a target using a probe 20. The physical or other non-mass spectrometric data is used to determine one or more regions of interest of the target. An ambient ionisation ion source 1 may then be used to generate an aerosol, smoke or vapour 5 from one or more regions of the target. The aerosol, smoke or vapour is mass analysed in a mass spectrometer or ion mobility spectrometer 8. The data is density; impedance; hardness; surface hardness; tissue hardness; tactile; force; radio-frequency absorbance; microwave reflectance or transmission; dielectric property; dielectric permittivity or conductivity; Young's modulus; or capacitance or resistance data. Also described are apparatus for carrying out the method.



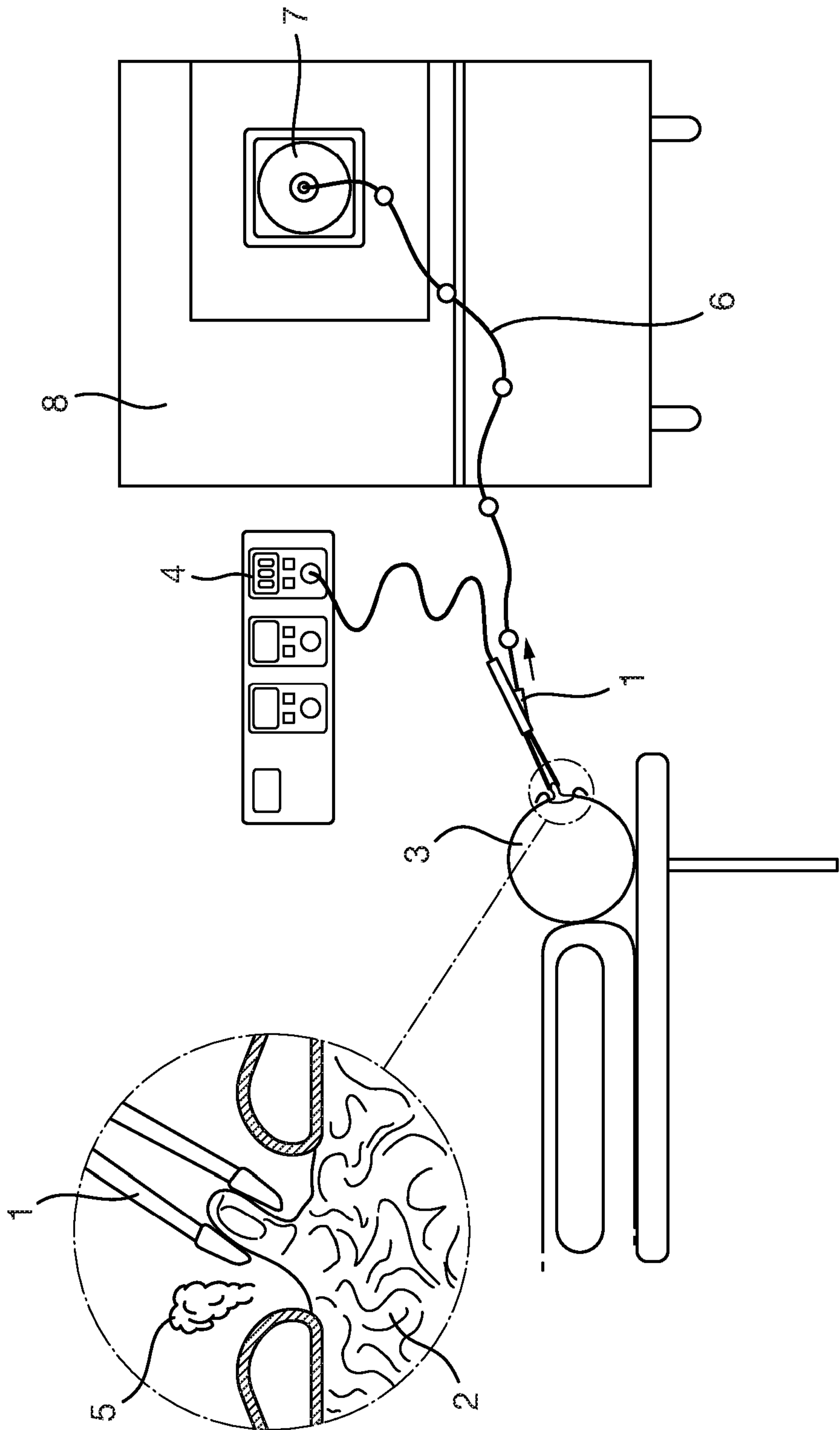


Fig. 1

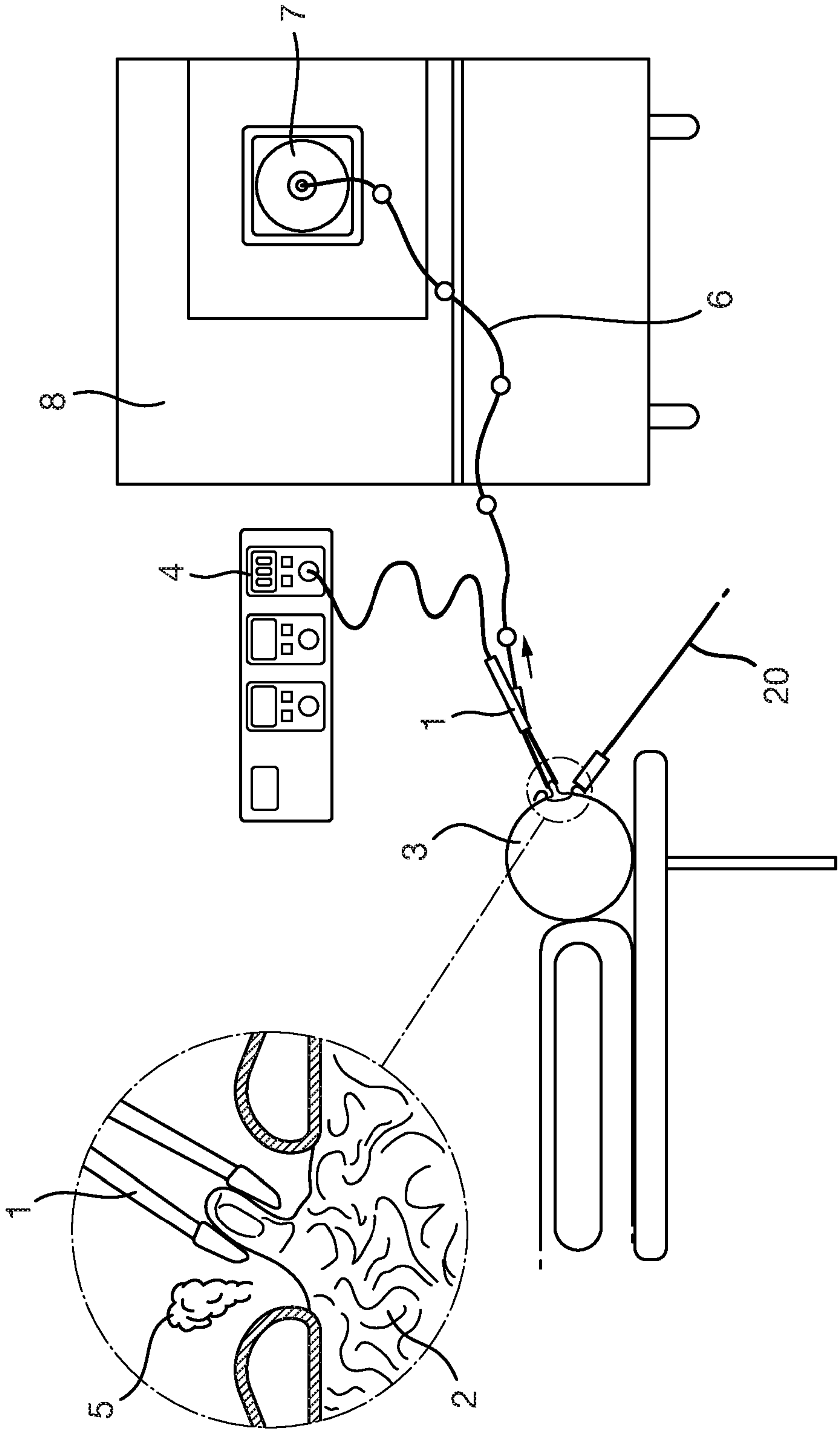


Fig. 2

Fig. 3

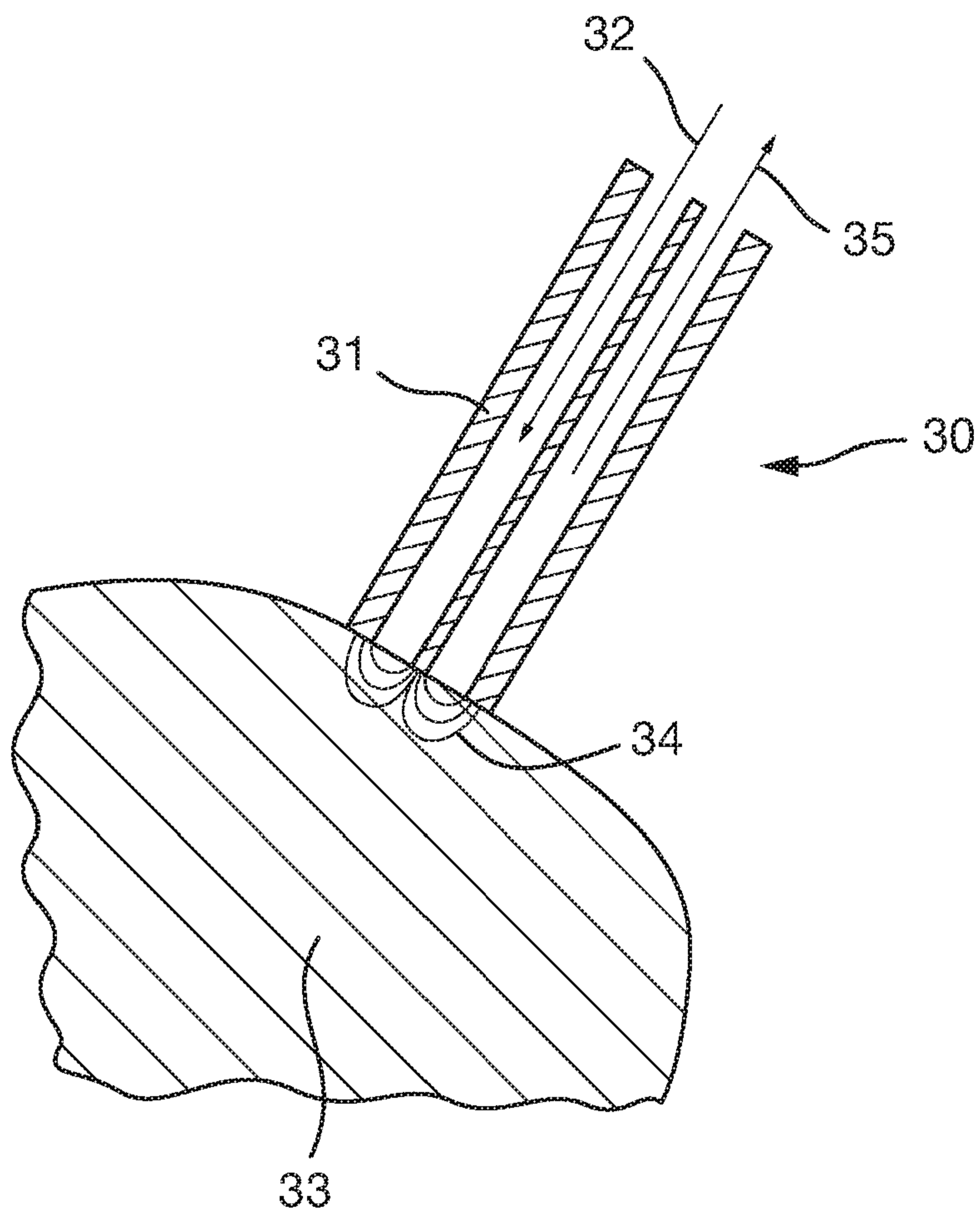


Fig. 4

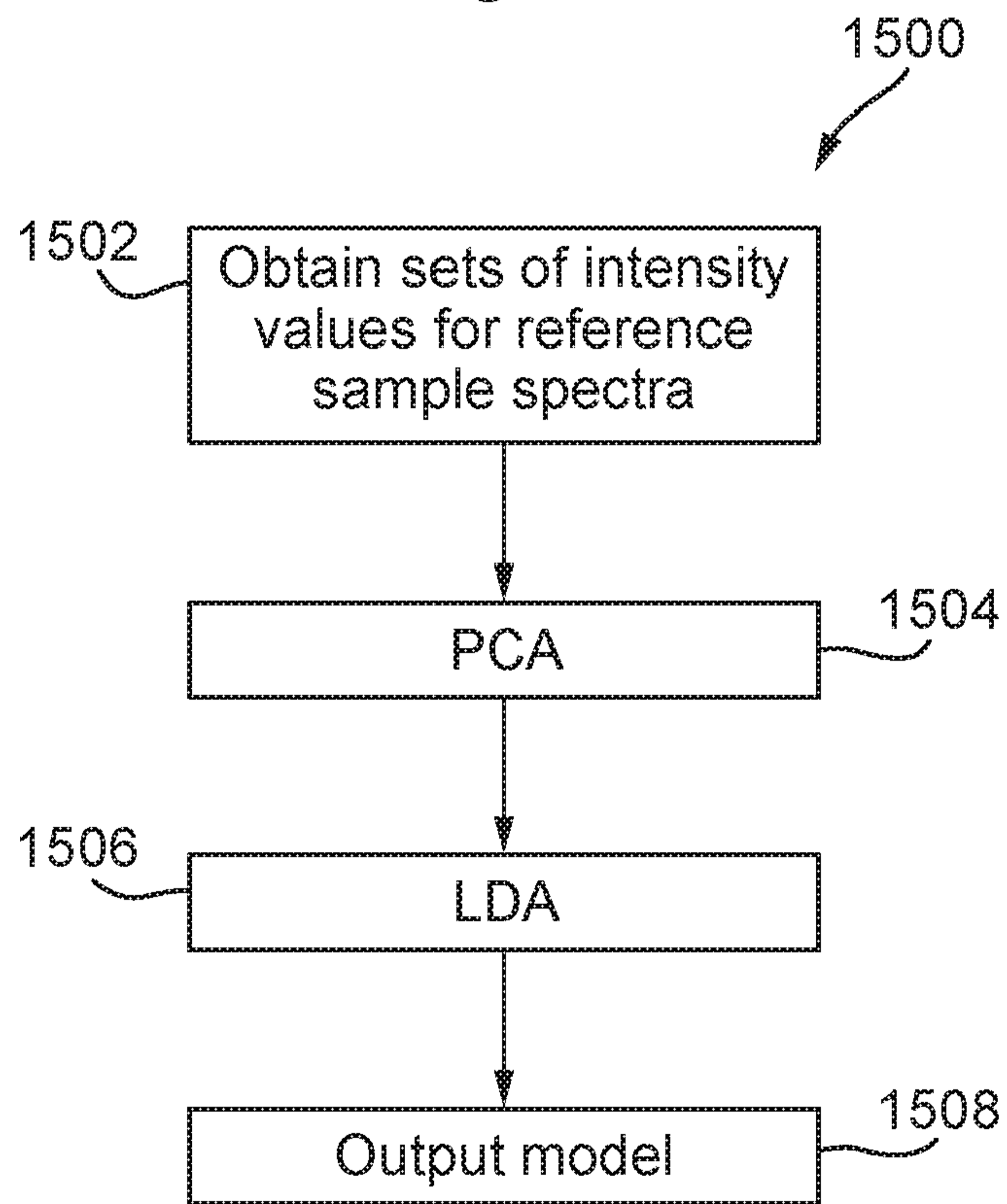


Fig. 5

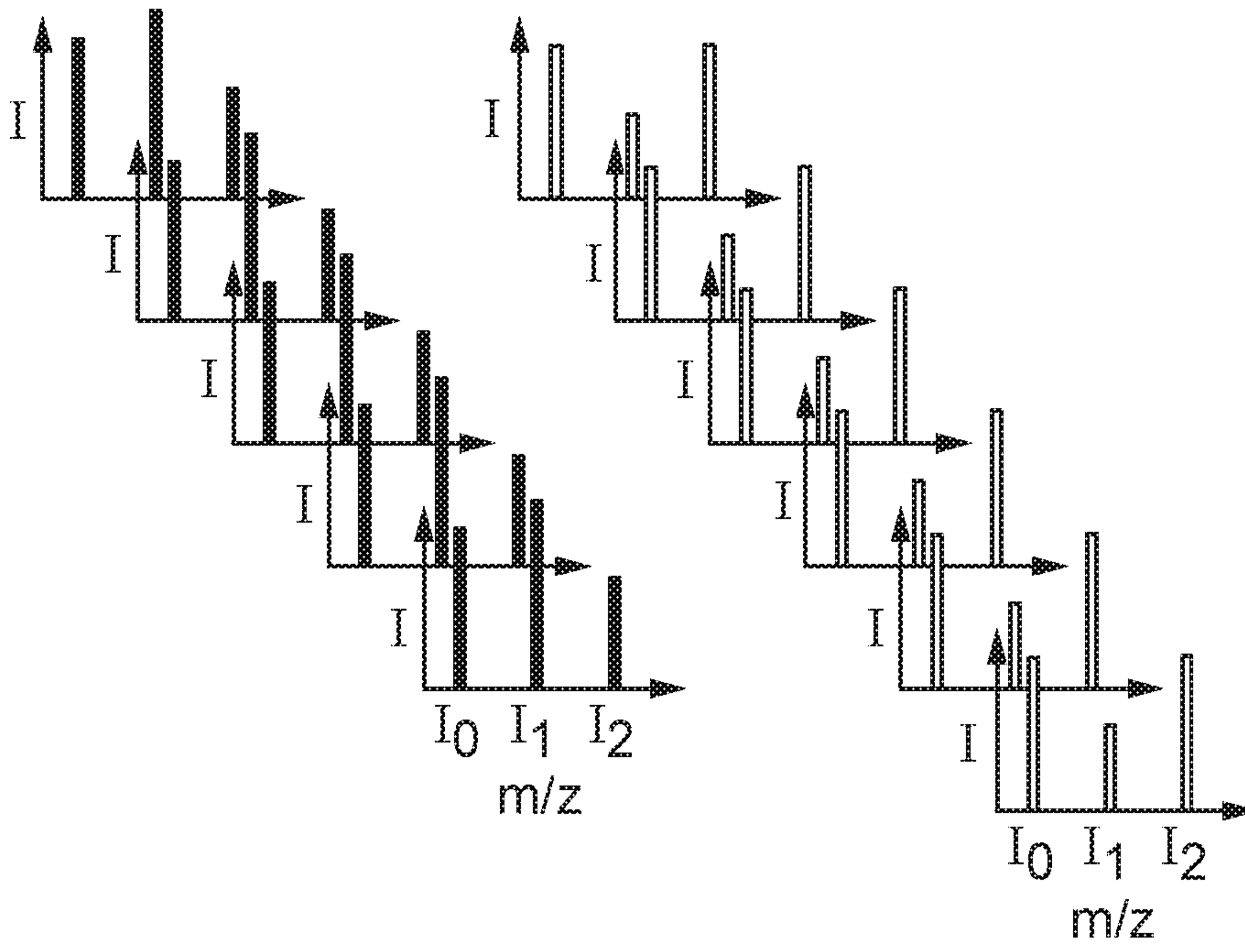


Fig. 6

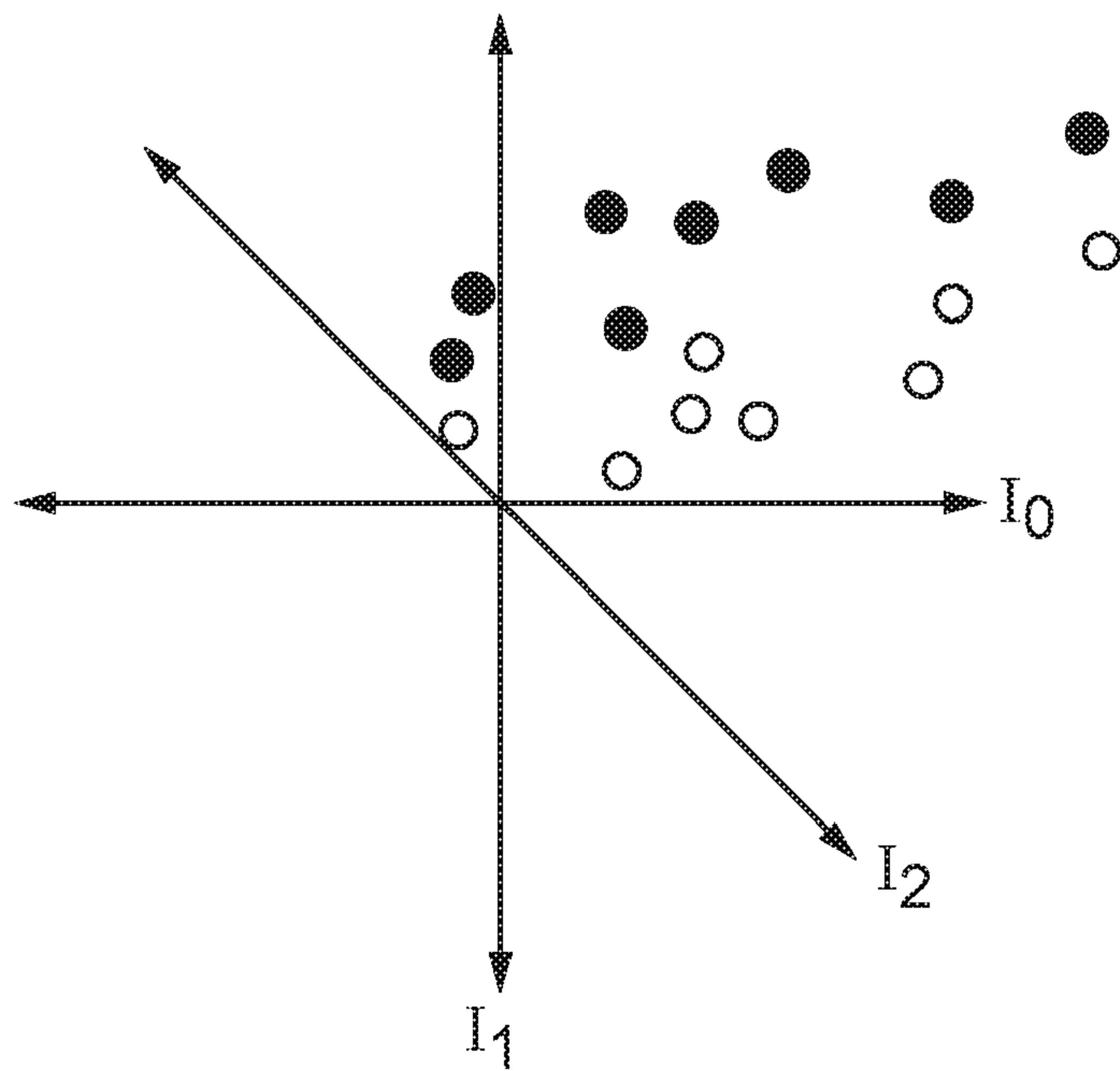


Fig. 7

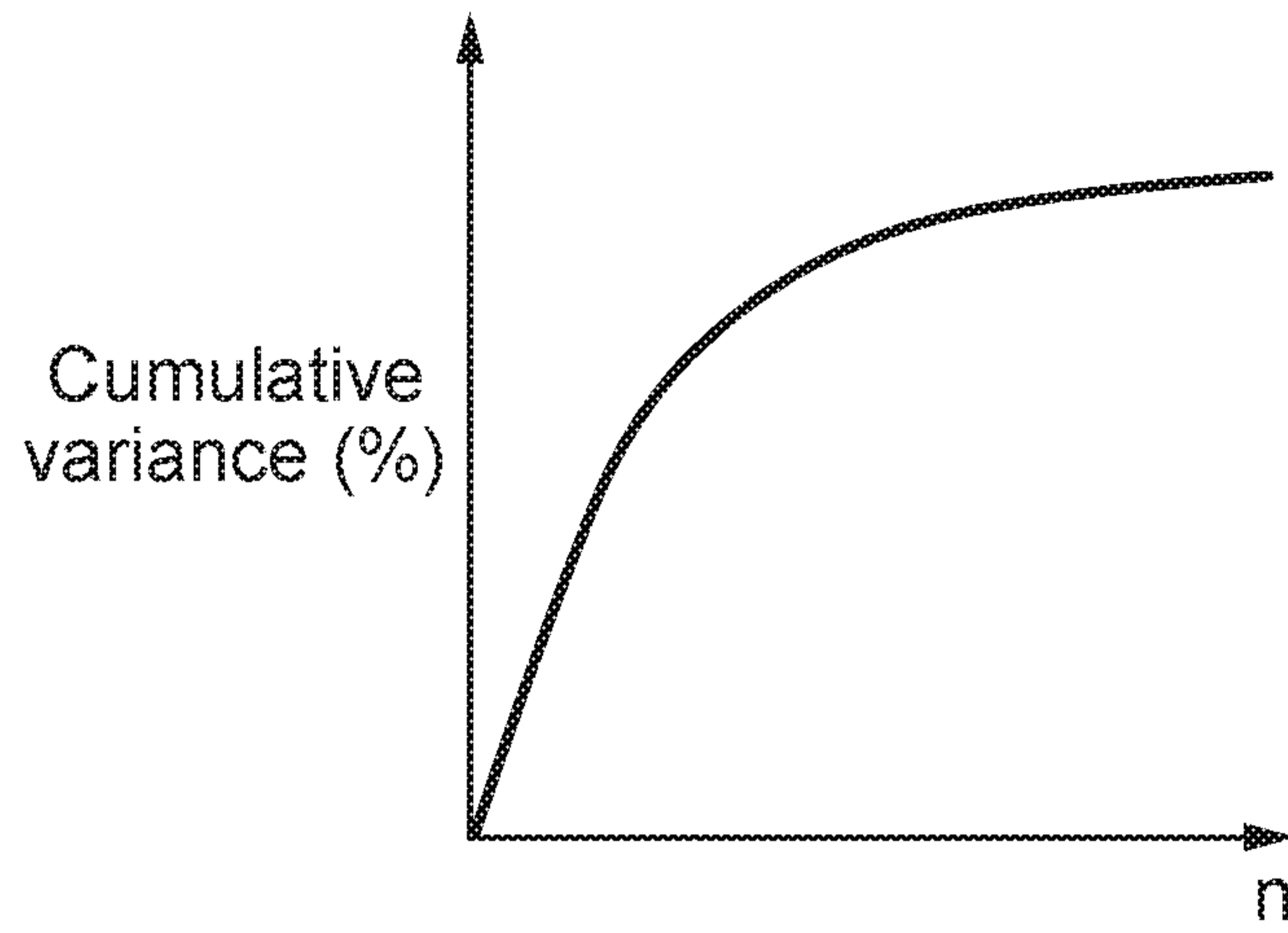


Fig. 8

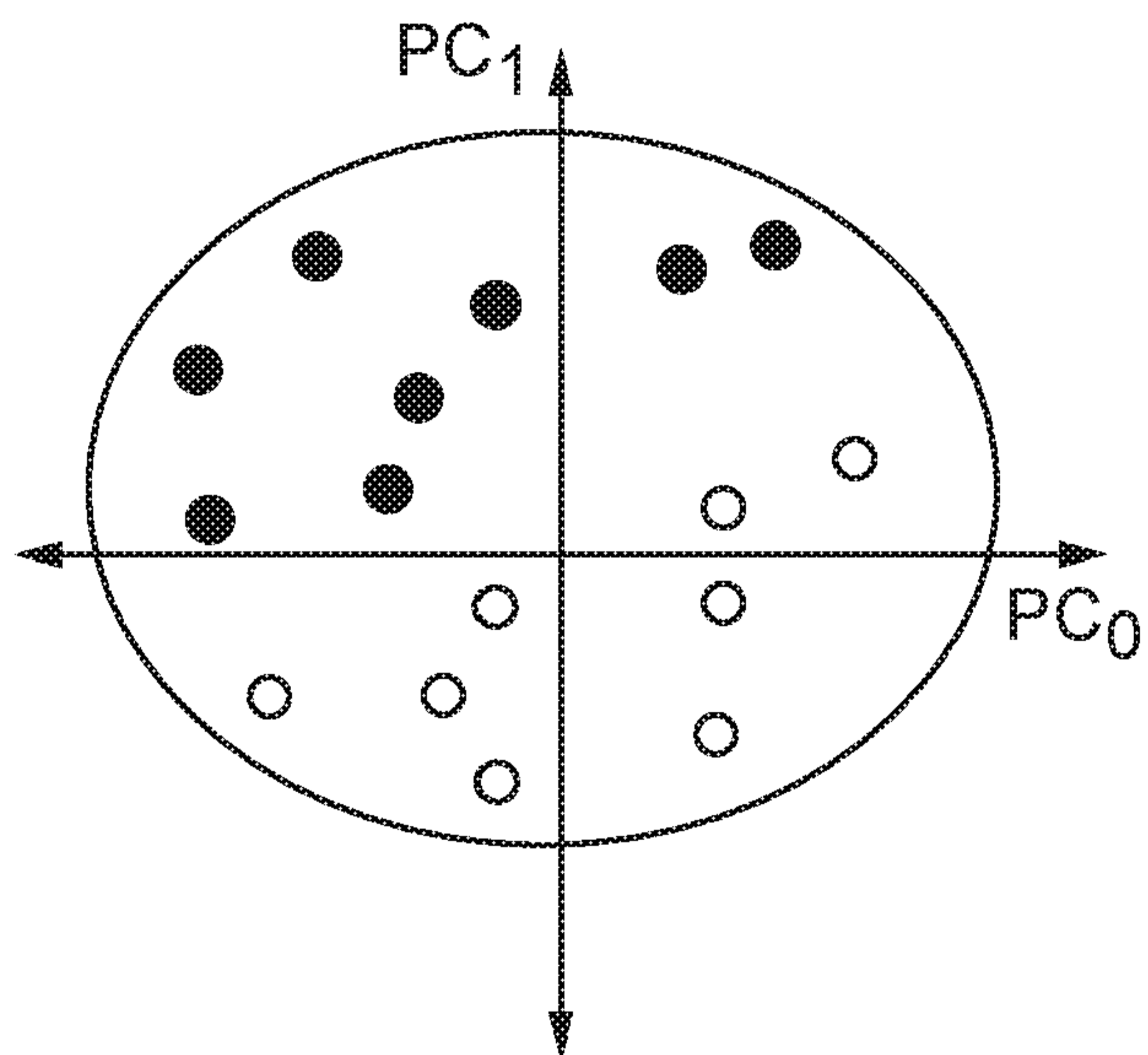


Fig. 9

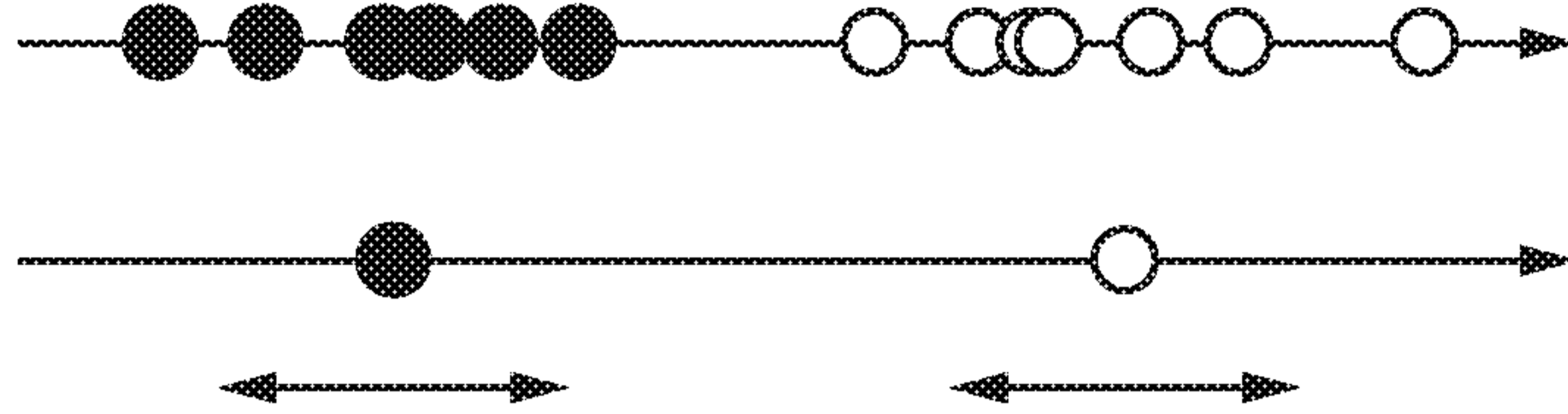


Fig. 10

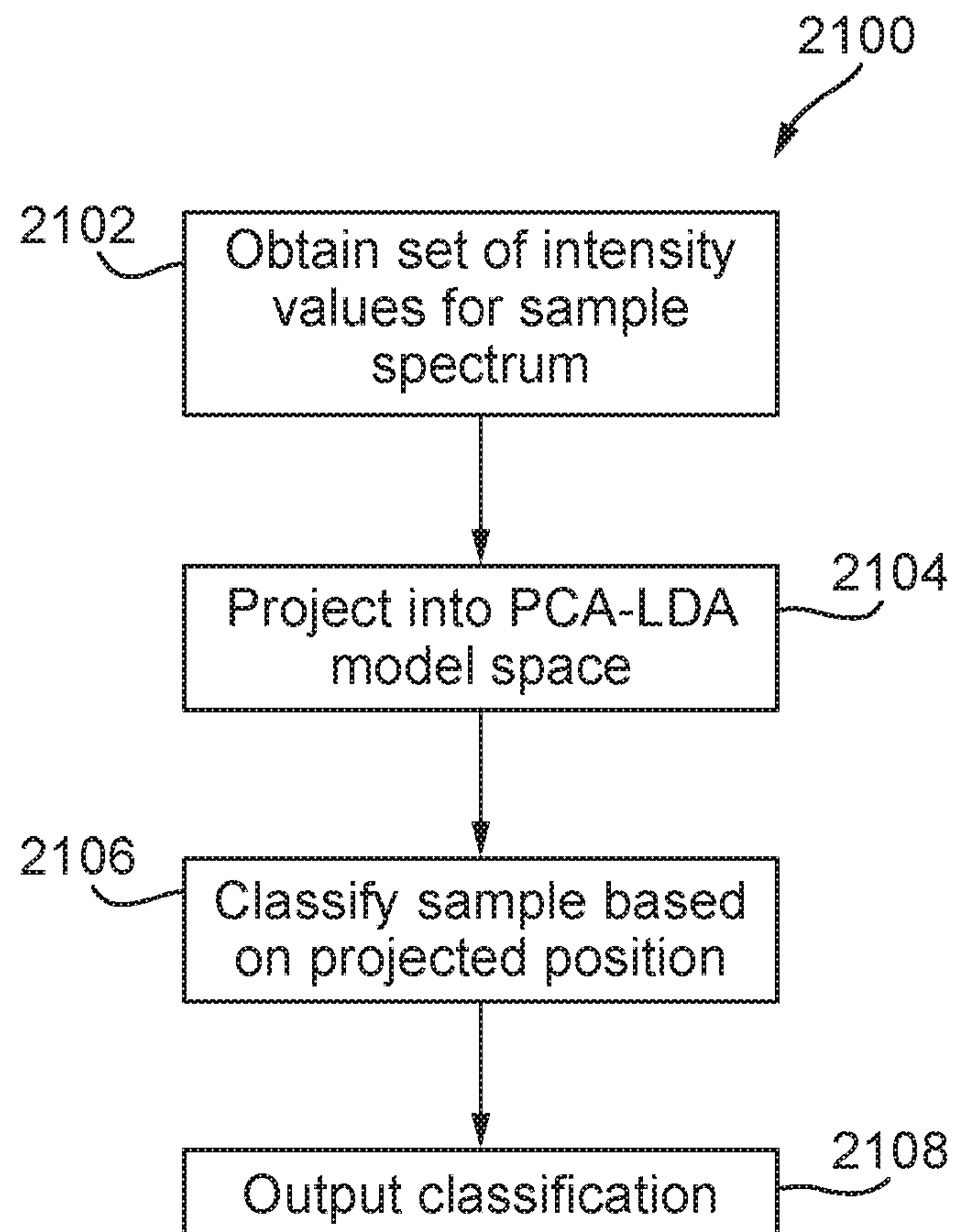




Fig. 11

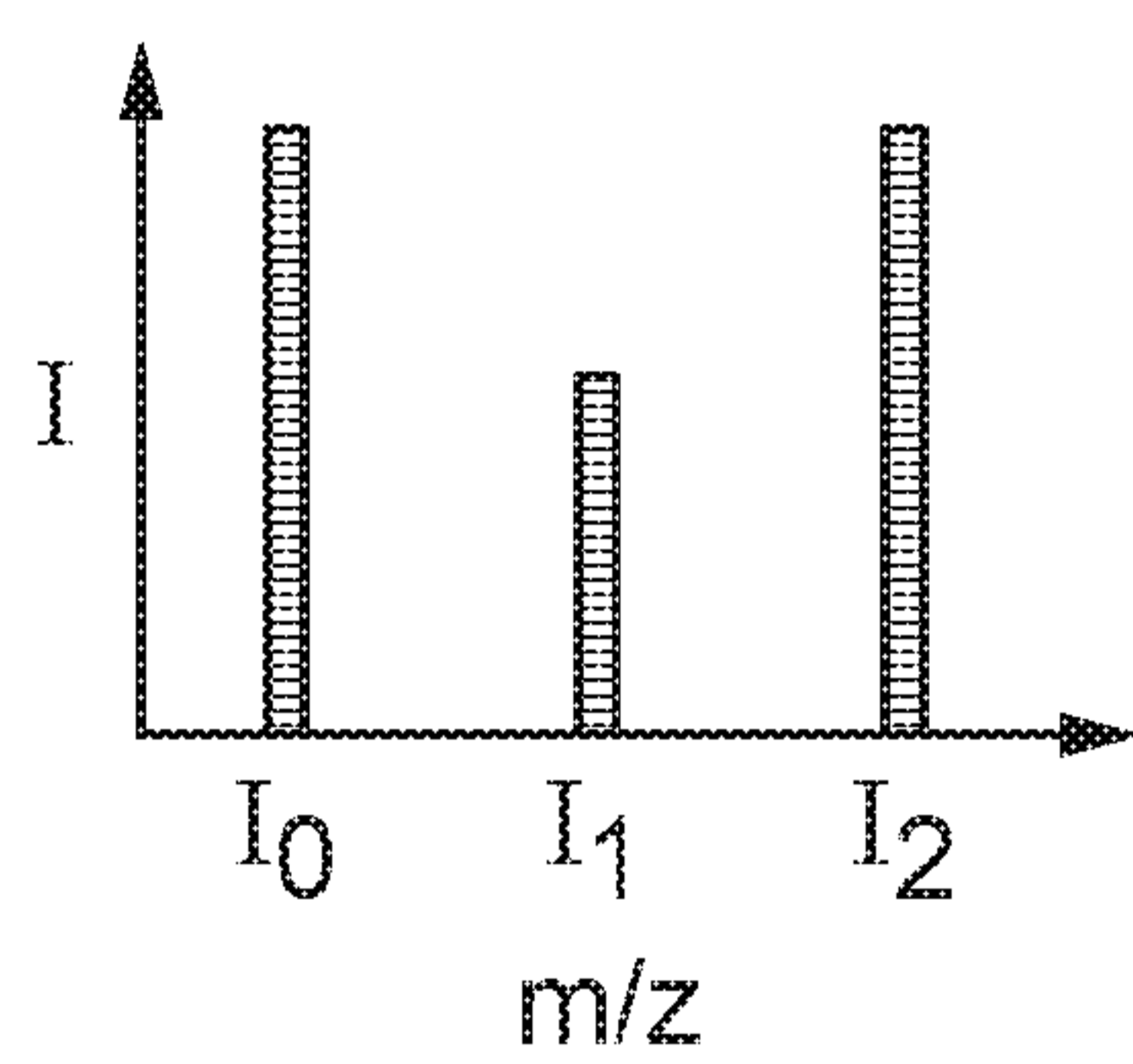


Fig. 12

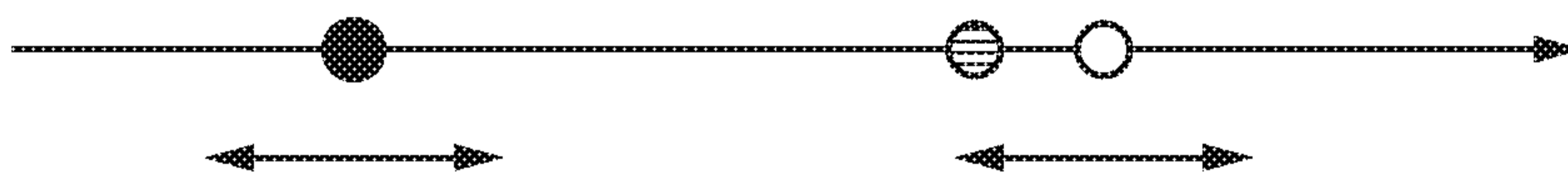


Fig. 13

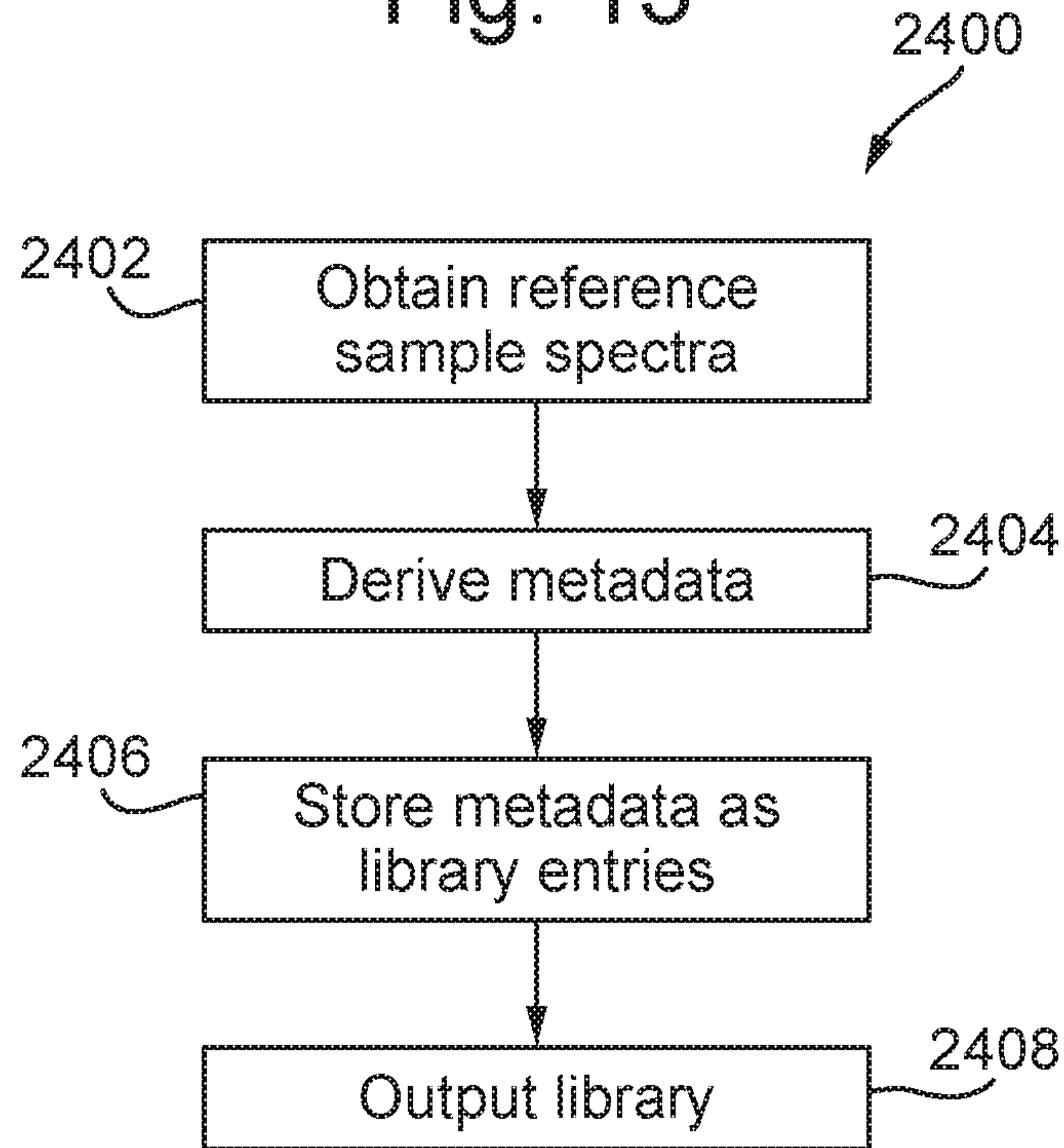
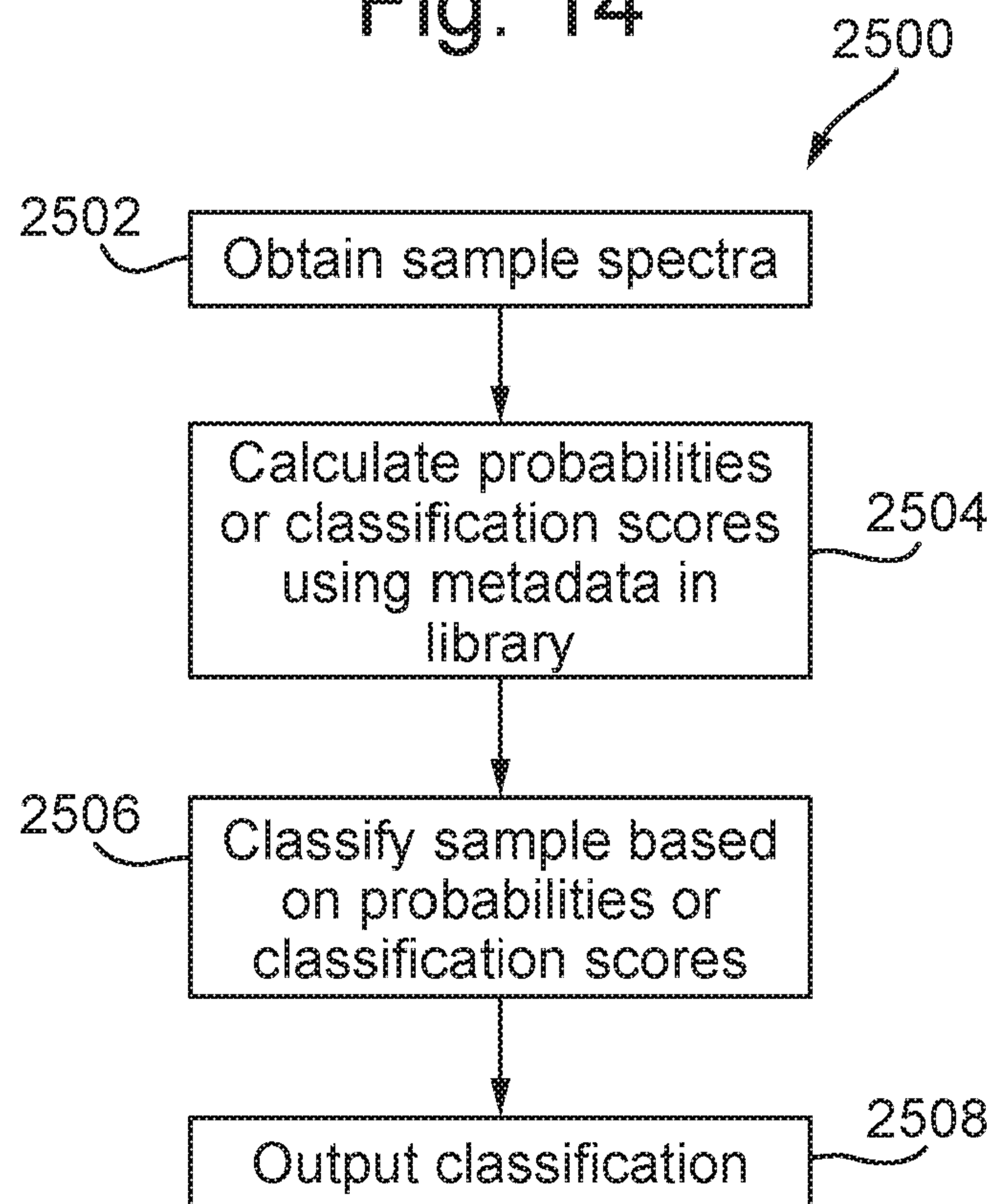


Fig. 14



PHYSICALLY GUIDED RAPID EVAPORATIVE IONISATION MASS SPECTROMETRY  
("REIMS")

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CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims priority from and the benefit of United Kingdom patent application No. 1503876.3 filed on 6 March 2015, United Kingdom patent application No. 1503864.9 filed on 6 March 2015, United Kingdom patent application No. 1518369.2 filed on 16 October 2015, United Kingdom patent application No. 1503877.1 filed on 6 March 2015, United Kingdom patent application No. 1503867.2 filed on 6 March 2015, United Kingdom patent application No. 1503863.1 filed on 6 March 2015, United Kingdom patent application No. 1503878.9 filed on 6 March 2015, United Kingdom patent application No. 1503879.7 filed on 6 March 2015 and United Kingdom patent application No. 1516003.9 filed on 9 September 2015. The entire contents of these applications are incorporated herein by reference.

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FIELD OF THE INVENTION

The present invention relates generally to the analysis of a target (which may, for example, comprise *in vivo*, *ex vivo* or *in vitro* tissue) by ambient ionisation techniques such as rapid evaporative ionisation mass spectrometry ("REIMS"), methods of analysis and diagnosis and apparatus for analysing a target using an ambient ionisation ion source. Various embodiments are contemplated wherein analyte ions generated by an ambient ionisation ion source are then subjected either to: (i) mass analysis by a mass analyser such as a quadrupole mass analyser or a Time of Flight mass analyser; (ii) ion mobility analysis (IMS) and/or differential ion mobility analysis (DMA) and/or Field Asymmetric Ion Mobility Spectrometry (FAIMS) analysis; and/or (iii) a combination of firstly ion mobility analysis (IMS) and/or differential ion mobility analysis (DMA) and/or Field Asymmetric Ion Mobility Spectrometry (FAIMS) analysis followed by secondly mass analysis by a mass analyser such as a quadrupole mass analyser or a Time of Flight mass analyser (or vice versa). Various embodiments also relate to an ion mobility spectrometer and/or mass analyser and a method of ion mobility spectrometry and/or method of mass analysis.

35

BACKGROUND

Rapid evaporative ionisation mass spectrometry ("REIMS") is a relatively new technique that is useful for the analysis of many different types of samples including the identification of tissue.

40

Reference is made to N. Strittmatter *et al.*, Anal. Chem. 2014, 86, 6555-6562 which discloses an investigation into the suitability of using rapid evaporative ionisation mass spectrometry as a general identification system for bacteria and fungi.

The known approach for analysing bacterial colonies by rapid evaporative ionisation mass spectrometry involves using bipolar electrosurgical forceps and an electrosurgical RF generator. A bacterial colony is scraped from the surface of an agar layer using the bipolar electrosurgical forceps and a short burst of RF voltage from the electrosurgical RF generator is applied between the bipolar electrosurgical forceps. For example, it is known to apply 60 W of power in a bipolar mode at a frequency of 470 kHz sinusoid. The RF voltage which is applied to the electrosurgical forceps has the result of rapidly heating the particular portion of the bacterial colony which is being analysed due to its nonzero impedance. The rapid heating of the microbial mass results in an aerosol being generated. The aerosol is transferred directly into a mass spectrometer and the aerosol sample may then be analysed by the mass spectrometer. It is known for the control system of the mass spectrometer to utilise multivariate statistical analysis in order to help distinguish and identify different samples.

Brain cancers are one of the leading causes of cancer-related deaths in children and young adults. Surgical resection of primary brain tumours is still the most often used therapy. However, in many cases the complete removal of the cancer is very difficult without damaging a vital function and it is problematic to accurately determine the margins of cancerous tissue when performing a resection of a brain tumour.

It is desired to provide an improved method of analysing a target or tissue using an ambient ionisation ion source.

## SUMMARY

According to an aspect there is provided a method comprising:  
obtaining or acquiring physical or other non-mass spectrometric data from one or more regions of a target; and  
using a first device to generate aerosol, smoke or vapour from one or more regions of the target.

In accordance with various embodiments physical non-mass spectrometric data may be obtained from a target (which may comprise *in vivo* tissue). For example, the target may be probed with a physical sensor and regions of interest in the target may be identified from the physical data output by the probe. A region of potentially cancerous tissue may be identified on the basis of having a higher surface hardness than that of surrounding tissue. The physical non-mass spectrometric data may then be used to direct a surgeon as to which tissue potentially needs to be resected.

A particularly beneficial aspect is that when an ambient ionisation source is used to generate aerosol, smoke or vapour from one or more regions of the target, the resulting aerosol, smoke or vapour may be ionised by directing the aerosol, smoke or vapour onto a collision surface within a vacuum chamber of a mass spectrometer and/or ion mobility spectrometer. The resulting analyte ions may then be analysed and ion mobility data and/or mass spectrometric data may be obtained.

The mass spectrometric data and/or ion mobility data may be analysed in real time and subjected to multivariate analysis enabling a determination to be made in real time as to whether or not the tissue which is currently being resected or otherwise analysed is cancerous or not. As a result, a surgeon is able to make an accurate determination of the disease state of any tissue which is being operated upon or resected and also the surgeon is able to make an accurate determination of the margins of the tumour. It will be readily apparent that it is particularly important when resecting a tumour to ensure that all cancerous tissue is removed whilst at the same time ensuring that as minimal amount of healthy tissue as possible is removed.

The ability, therefore, of acquiring physical non-mass spectrometric data of a target (e.g. tissue) and using this physical data to assist a surgeon when operating on a patient using a surgical tool such as a rapid evaporative ionisation mass spectrometry device enables an improved method of analysis to be performed with the result that a patient has a greater probability of a positive surgical outcome.

N. Strittmatter *et al.*, *Anal. Chem.* 2014, 86, 6555-6562 does not disclose acquiring physical non-mass spectrometric data from a target such as *in vivo* tissue and does not disclose using physical non-mass spectrometric data to determine one or more regions of tissue which are of potential interest (i.e., regions of tissue which may be potentially cancerous tissue).

The method may further comprise using the physical non-mass spectrometric data or other non-mass spectrometric data to determine one or more regions of interest of the target.

The physical or other non-mass spectrometric data may comprise data selected from the group consisting of: (i) density data; (ii) impedance data; (iii) hardness data; (iv) surface hardness data; (v) tissue hardness data; (vi) tactile data; (vii) force data; (viii) radio-frequency absorbance data; (ix) microwave reflectance or transmission data; (x) dielectric property data; (xi) dielectric permittivity or conductivity data; (xxii) Young's modulus data; and (xxiii) capacitance or resistance data.

The first device may comprise or form part of an ambient ion or ionisation source or the first device may generate the aerosol, smoke or vapour for subsequent ionisation by an ambient ion or ionisation source or other ionisation source.

The target may comprise native or unmodified target material.

The native or unmodified target material may be unmodified by the addition of a matrix or reagent.

The first device may be arranged and adapted to generate aerosol, smoke or vapour from one or more regions of the target without the target requiring prior preparation.

The first device may comprise an ion source selected from the group consisting of: (i) a rapid evaporative ionisation mass spectrometry ("REIMS") ion source; (ii) a desorption electrospray ionisation ("DESI") ion source; (iii) a laser desorption ionisation ("LDI") ion source; (iv) a thermal desorption ion source; (v) a laser diode thermal desorption ("LDTD") ion source; (vi) a desorption electro-flow focusing ("DEFFI") ion source; (vii) a dielectric barrier discharge ("DBD") plasma ion source; (viii) an Atmospheric Solids Analysis Probe

5 (“ASAP”) ion source; (ix) an ultrasonic assisted spray ionisation ion source; (x) an easy ambient sonic-spray ionisation (“EASI”) ion source; (xi) a desorption atmospheric pressure photoionisation (“DAPPI”) ion source; (xii) a paperspray (“PS”) ion source; (xiii) a jet desorption ionisation (“JeDI”) ion source; (xiv) a touch spray (“TS”) ion source; (xv) a nano-DESI ion source; (xvi) a laser ablation electrospray (“LAESI”) ion source; (xvii) a direct analysis in real time (“DART”) ion source; (xviii) a probe electrospray ionisation (“PESI”) ion source; (xix) a solid-probe assisted electrospray ionisation (“SPA-ESI”) ion source; (xx) a cavitron ultrasonic surgical aspirator (“CUSA”) device; (xxi) a focussed or unfocussed ultrasonic ablation device; (xxii) a microwave resonance device; and (xxiii) a pulsed plasma RF dissection device.

The step of using the first device to generate aerosol, smoke or vapour from one or more regions of the target may further comprise contacting the target with one or more electrodes.

The one or more electrodes may comprise a bipolar device or a monopolar device.

15 The one or more electrodes may comprise: (i) a monopolar device, wherein the apparatus optionally further comprises a separate return electrode; (ii) a bipolar device; or (iii) a multi phase RF device, wherein the apparatus optionally further comprises a separate return electrode or electrodes.

20 The one or more electrodes may comprise a rapid evaporation ionization mass spectrometry (“REIMS”) device.

The method may further comprise applying an AC or RF voltage to the one or more electrodes in order to generate the aerosol, smoke or vapour.

25 The step of applying the AC or RF voltage to the one or more electrodes may further comprise applying one or more pulses of the AC or RF voltage to the one or more electrodes.

The step of applying the AC or RF voltage to the one or more electrodes may cause heat to be dissipated into the target.

The step of using the first device to generate aerosol, smoke or vapour from one or more regions of the target may further comprise irradiating the target with a laser.

30 The first device may be arranged and adapted to generate aerosol from one or more regions of the target by direct evaporation or vaporisation of target material from the target by Joule heating or diathermy.

The step of using the first device to generate aerosol, smoke or vapour from one or more regions of the target may further comprise directing ultrasonic energy into the target.

35 The aerosol may comprise uncharged aqueous droplets optionally comprising cellular material.

At least 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90% or 95% of the mass or matter generated by the first device and which forms the aerosol may be in the form of droplets.

40 The first device may be arranged and adapted to generate aerosol wherein the Sauter mean diameter (“SMD”,  $d_{32}$ ) of the aerosol may be in a range: (i)  $< 5 \mu\text{m}$ ; (ii)  $5\text{-}10 \mu\text{m}$ ; (iii)  $10\text{-}15 \mu\text{m}$ ; (iv)  $15\text{-}20 \mu\text{m}$ ; (v)  $20\text{-}25 \mu\text{m}$ ; or (vi)  $> 25 \mu\text{m}$ .

The aerosol may traverse a flow region with a Reynolds number ( $Re$ ) in the range: (i)  $< 2000$ ; (ii) 2000-2500; (iii) 2500-3000; (iv) 3000-3500; (v) 3500-4000; or (vi)  $> 4000$ .

Substantially at the point of generating the aerosol, the aerosol may comprise droplets having a Weber number ( $We$ ) selected from the group consisting of: (i)  $< 50$ ; (ii) 50-100; (iii) 100-150; (iv) 150-200; (v) 200-250; (vi) 250-300; (vii) 300-350; (viii) 350-400; (ix) 400-450; (x) 450-500; (xi) 500-550; (xii) 550-600; (xiii) 600-650; (xiv) 650-700; (xv) 700-750; (xvi) 750-800; (xvii) 800-850; (xviii) 850-900; (xix) 900-950; (xx) 950-1000; and (xxi)  $> 1000$ .

Substantially at the point of generating the aerosol, the aerosol may comprise droplets having a Stokes number ( $S_k$ ) in the range: (i) 1-5; (ii) 5-10; (iii) 10-15; (iv) 15-20; (v) 20-25; (vi) 25-30; (vii) 30-35; (viii) 35-40; (ix) 40-45; (x) 45-50; and (xi)  $> 50$ .

Substantially at the point of generating the aerosol, the aerosol may comprise droplets having a mean axial velocity selected from the group consisting of: (i)  $< 20$  m/s; (ii) 20-30 m/s; (iii) 30-40 m/s; (iv) 40-50 m/s; (v) 50-60 m/s; (vi) 60-70 m/s; (vii) 70-80 m/s; (viii) 80-90 m/s; (ix) 90-100 m/s; (x) 100-110 m/s; (xi) 110-120 m/s; (xii) 120-130 m/s; (xiii) 130-140 m/s; (xiv) 140-150 m/s; and (xv)  $> 150$  m/s.

The target may comprise biological tissue.

The biological tissue may comprise human tissue or non-human animal tissue.

The biological tissue may comprise *in vivo* biological tissue.

The biological tissue may comprise *ex vivo* biological tissue.

The biological tissue may comprise *in vitro* biological tissue.

The biological tissue may comprise: (i) adrenal gland tissue, appendix tissue, bladder tissue, bone, bowel tissue, brain tissue, breast tissue, bronchi, coronal tissue, ear tissue, esophagus tissue, eye tissue, gall bladder tissue, genital tissue, heart tissue, hypothalamus tissue, kidney tissue, large intestine tissue, intestinal tissue, larynx tissue, liver tissue, lung tissue, lymph nodes, mouth tissue, nose tissue, pancreatic tissue, parathyroid gland tissue, pituitary gland tissue, prostate tissue, rectal tissue, salivary gland tissue, skeletal muscle tissue, skin tissue, small intestine tissue, spinal cord, spleen tissue, stomach tissue, thymus gland tissue, trachea tissue, thyroid tissue, ureter tissue, urethra tissue, soft and connective tissue, peritoneal tissue, blood vessel tissue and/or fat tissue; (ii) grade I, grade II, grade III or grade IV cancerous tissue; (iii) metastatic cancerous tissue; (iv) mixed grade cancerous tissue; (v) a sub-grade cancerous tissue; (vi) healthy or normal tissue; or (vii) cancerous or abnormal tissue.

The first device may comprise a point of care ("POC"), diagnostic or surgical device.

The method may further comprise ionising at least some of the aerosol, smoke or vapour so as to generate analyte ions.

The method may further comprise directing or aspirating at least some of the aerosol, smoke or vapour into a vacuum chamber of a mass spectrometer and/or ion mobility spectrometer.

The method may further comprise ionising at least some the aerosol, smoke or vapour within a or the vacuum chamber of the mass spectrometer and/or ion mobility spectrometer so as to generate a plurality of analyte ions.

The method may further comprise causing the aerosol, smoke or vapour to impact upon a collision surface located within a vacuum chamber of the mass spectrometer and/or ion mobility spectrometer so as to generate a plurality of analyte ions.

5 The method may further comprise mass analysing and/or ion mobility analysing the analyte ions in order to obtain mass spectrometric and/or ion mobility data.

The method may further comprise mass analysing and/or ion mobility analysing the aerosol, smoke or vapour or ions derived from the aerosol, smoke or vapour in order to obtain mass spectrometric data and/or ion mobility data.

10 Various embodiments are contemplated wherein analyte ions generated by an ambient ionisation ion source are then subjected either to: (i) mass analysis by a mass analyser such as a quadrupole mass analyser or a Time of Flight mass analyser; (ii) ion mobility analysis (IMS) and/or differential ion mobility analysis (DMA) and/or Field Asymmetric Ion Mobility Spectrometry (FAIMS) analysis; and/or (iii) a combination of firstly ion mobility analysis (IMS) and/or differential ion mobility analysis (DMA) and/or Field Asymmetric Ion Mobility Spectrometry (FAIMS) analysis followed by secondly mass analysis by a mass analyser such as a quadrupole mass analyser or a Time of Flight mass analyser (or vice versa). Various embodiments also relate to an ion mobility spectrometer and/or mass analyser and a method of ion mobility spectrometry and/or method of mass analysis.

20 The method may further comprise analysing the mass spectrometric data and/or ion mobility data in order either: (i) to distinguish between healthy and diseased tissue; (ii) to distinguish between potentially cancerous and non-cancerous tissue; (iii) to distinguish between different types or grades of cancerous tissue; (iv) to distinguish between different types or classes of target material; (v) to determine whether or not one or more desired or undesired substances may be present in the target; (vi) to confirm the identity or authenticity of the target; (vii) to determine whether or not one or more impurities, illegal substances or undesired substances may be present in the target; (viii) to determine whether a human or animal patient may be at an increased risk of suffering an adverse outcome; (ix) to make or assist in the making a diagnosis or prognosis; and (x) to inform a surgeon, nurse, medic or robot of a medical, surgical or diagnostic outcome.

The step of analysing the mass spectrometric data and/or ion mobility data may comprise performing a supervised or unsupervised multivariate statistical analysis of the mass spectrometric data and/or ion mobility data.

35 According to an embodiment either: (i) the multivariate statistical analysis may comprise principal component analysis ("PCA"); (ii) the multivariate statistical analysis may comprise linear discriminant analysis ("LDA"); (iii) the multivariate statistical analysis may be performed by a neural network; (iv) the multivariate statistical analysis may be performed by a support vector machine; or (v) the multivariate statistical analysis may comprise subspace discriminant analysis.

40 The step of analysing the mass spectrometric data and/or ion mobility data may further comprise analysing a profile of the aerosol, smoke or vapour or a profile of ions derived from the aerosol, smoke or vapour.



The profile may be selected from the group consisting of: (i) a lipidomic profile; (ii) a fatty acid profile; (iii) a phospholipid profile; (iv) a phosphatidic acid (PA) profile; (v) a phosphatidylethanolamine (PE) profile; (vi) a phosphatidylglycerol (PG) profile; (vii) a phosphatidylserines (PS) profile; (viii) a phosphatidylinositol (PI) profile; or (ix) a triglyceride (TG) profile.

The method may further comprise using one or more impedance sensors to obtain the physical or other non-mass spectrometric data.

The method may further comprise using the one or more impedance sensors either: (i) to obtain the physical or other non-mass spectrometric data without the one or more impedance sensors physically contacting the target; or (ii) to obtain the physical or other non-mass spectrometric data by physically contacting the target with the one or more impedance sensors.

The method may further comprise determining a density, a density profile, an impedance or an impedance profile of one or more regions of the target.

The step of using the physical or other non-mass spectrometric data to determine one or more regions of interest may comprise determining one or more regions of the target which have a different density or impedance relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

The step of using the physical or other non-mass spectrometric data to determine one or more regions of interest may comprise determining whether or not a region of the target has a higher or lower density or impedance relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

The method may further comprise using one or more force or tactile sensors to obtain the physical or other non-mass spectrometric data.

The method may further comprise using the one or more force or tactile sensors to obtain the physical or other non-mass spectrometric data by physically contacting the target with the one or more force or tactile sensors.

The method may further comprise determining a density, a density profile, a force, a force profile, a surface hardness or a surface hardness profile of one or more regions of the target.

The step of using the physical or other non-mass spectrometric data to determine one or more regions of interest may comprise determining one or more regions of the target which have a different density or surface hardness relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

The step of determining from the physical or other non-mass spectrometric data one or more regions of interest of the target may comprise determining whether or not a region of the target has a higher or lower density or surface hardness relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

The method may further comprise using one or more radio-frequency ("RF") or microwave sensors to obtain the physical or other non-mass spectrometric data.

The method may further comprise using the one or more radio-frequency (“RF”) or microwave sensors either: (i) to obtain the physical or other non-mass spectrometric data without the one or more one or more radio-frequency (“RF”) or microwave sensors physically contacting the target; or (ii) to obtain the physical or other non-mass spectrometric data by physically contacting the target with the one or more one or more radio-frequency (“RF”) or microwave sensors.

The method may further comprise determining a density, a density profile, a radio-frequency absorbance, a radio-frequency absorbance profile, a microwave reflectance or transmission, a microwave reflectance or transmission profile, a dielectric property, a dielectric property profile, a dielectric permittivity, a dielectric permittivity profile, a conductivity or a conductivity profile of one or more regions of the target.

The step of using the physical or other non-mass spectrometric data to determine one or more regions of interest may comprise the use of targeted nanoparticles containing or comprising a metal which is intended to change the electrical impedance of a targeted tissue type. As detailed below, metallic nanoparticles may be functionalised so that they adhere to specific types of tissue or other surfaces. One or more regions of interest of a target may be identified by determining one or more regions of a target (e.g., tissue) having a different impedance to other target areas due to the presence of targeted or functionalised nanoparticles which preferentially adhere to certain specific target areas (e.g., cancerous tissue).

The step of using the physical or other non-mass spectrometric data to determine one or more regions of interest may comprise determining whether a region of the target has a different density, radio-frequency absorbance, microwave reflectance or transmission, dielectric property, permittivity or conductivity relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

The step of using the physical or other non-mass spectrometric data to determine one or more regions of interest may comprise determining whether or not a region of the target has a higher or lower density, radio-frequency absorbance, microwave reflectance or transmission, dielectric property, permittivity or conductivity relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

The method may further comprise using one or more dielectric property sensors or capacitive sensors to obtain the physical or other data.

The method may further comprise using the one or more dielectric property sensors or capacitive sensors either: (i) to obtain the physical or other non-mass spectrometric data without the one or more one or more dielectric property sensors or capacitive sensors physically contacting the target; or (ii) to obtain the physical or other non-mass spectrometric data by physically contacting the target with the one or more one or more dielectric property sensors or capacitive sensors.

The method may further comprise determining a dielectric property, a dielectric property profile, a capacitance or a capacitance profile of one or more regions of the target.

The step of using the physical or other non-mass spectrometric data to determine one or more regions of interest may comprise determining whether or not a region of the

target has a different dielectric property or capacitance relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

5 The step of using the physical or other non-mass spectrometric data to determine one or more regions of interest may comprise determining whether or not a region of the target has a higher or lower dielectric property or capacitance relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

The method may further comprise using one or more electrochemical sensors or biosensors to obtain the physical or other non-mass spectrometric data.

10 The method may further comprise using the one or more electrochemical sensors or biosensor sensors either: (i) to obtain the physical or other non-mass spectrometric data without the one or more one or more electrochemical sensors or biosensors physically contacting the target; or (ii) to obtain the physical or other non-mass spectrometric data by physically contacting the target with the one or more one or more electrochemical or biosensors.

15 The one or more electrochemical sensors or biosensors may be embedded within the target or may be embedded within *in vivo* biological tissue.

The method may further comprise determining a measure of glucose, glutamate, reactive nitrogen species, insulin, dopamine (DA), serotonin (5-HT), norepinephrine (NE), nitric oxide (NO) or a neurochemical in the target.

20 The step of using the physical or other non-mass spectrometric data to determine one or more regions of interest may comprise determining whether or not a region of the target has a different measure of glucose, glutamate, reactive nitrogen species, insulin, dopamine (DA), serotonin (5-HT), norepinephrine (NE), nitric oxide (NO) or a neurochemical relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

25 The step of using the physical or other non-mass spectrometric data to determine one or more regions of interest may comprise determining whether or not a region of the target has a higher or lower measure of glucose, glutamate, reactive nitrogen species, insulin, dopamine (DA), serotonin (5-HT), norepinephrine (NE), nitric oxide (NO) or a neurochemical relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

30 The method may further comprise using the physical or other non-mass spectrometric data to determine the margins or bounds of one or more regions of interest of the target.

35 The method may further comprise using the physical or other non-mass spectrometric data to determine the margins or bounds of one or more regions of interest of the target.

The one or more regions of interest may comprise cancerous biological tissue or a tumour.

40 The cancerous biological tissue or the tumour may comprise either: (i) grade I, grade II, grade III or grade IV cancerous tissue; (ii) metastatic cancerous tissue; (iii) mixed grade cancerous tissue; or (iv) a sub-grade cancerous tissue.

The method may further comprise determining from the physical or other non-mass spectrometric data either: (i) one or more physical properties of the target; (ii) one or more chemical properties of the target; (iii) one or more physico-chemical properties of the target; or (iv) one or more mechanical properties of the target.

5 The method may further comprise changing, controlling, varying or optimising one or more operational parameters of the first device based upon the obtained physical or other non-mass spectrometric data.

The method may further comprise using one or more contrast agents for enhancing the image data.

10 The one or more contrast agents may comprise one or more fluorescent contrast agents.

The one or more contrast agents may comprise one or more visible dyes.

The one or more contrast agents may comprise one or more radiocontrast agents.

15 The one or more contrast agents may comprise one or more optical, near infrared ("NIR"), fluorescent, autofluorescent or diagnostic contrast agents.

The one or more contrast agents may be selected from the group consisting of: (i) indocyanine green ("ICG") and derivatives or conjugates of indocyanine green including indotricarbocyanine; (ii) diethylthiatricarbocyanine iodide ("DTTCl") and derivatives or conjugates of diethylthiatricarbocyanine iodide; (iii) rhodamine B and derivatives or conjugates of rhodamine B; (iv) photodynamic therapy ("PDT") agents including hexylpyropheophorbide ("HPPH"); (v) a cyanine dye including Cy 5.5 dyes; and (vi) bifunctional contrast agents.

The one or more contrast agents may comprise nanoparticles.

25 The one or more contrast agents may comprise: (i) magnetic or ferromagnetic nanoparticles; (ii) gold nanoparticles; (iii) metallic nanoparticles; (iv) functionalised nanoparticles; (v) nanospheres, nanorods, nanostars or nanoshells; (vi) levan nanoparticles; or (vii) copper, zinc, titanium, magnesium, alginate, alloy or silver nanoparticles.

30 The one or more contrast agents may be exogenous to the target. Alternatively, the one or more contrast agents may be endogenous to the target.

According to another aspect there is provided a method of ambient ionisation comprising a method as disclosed above.

According to another aspect there is provided a method of rapid evaporation ionization mass spectrometry ("REIMS") comprising a method as disclosed above.

35 According to another aspect there is provided a method of analysis comprising a method as disclosed above.

According to another aspect there is provided a method of surgery, diagnosis, therapy or medical treatment comprising a method as disclosed above.

40 According to another aspect there is provided a non-surgical, non-therapeutic method of mass spectrometry and/or method of ion mobility analysis comprising a method as disclosed above.

According to another aspect there is provided a method of mass spectrometry and/or method of ion mobility analysis comprising a method as disclosed above.

According to another aspect there is provided apparatus comprising:

5 a device arranged and adapted to obtain physical or other non-mass spectrometric data from one or more regions of a target; and

a first device arranged and adapted to generate aerosol, smoke or vapour from one or more regions of the target.

10 The apparatus may further comprise a control system arranged and adapted to use the physical or other non-mass spectrometric data to determine one or more regions of interest of the target.

The physical or other non-mass spectrometric data may comprise data selected from the group consisting of: (i) density data; (ii) impedance data; (iii) hardness data; (iv) surface hardness data; (v) tissue hardness data; (vi) tactile data; (vii) force data; (viii) radio-frequency absorbance data; (ix) microwave reflectance or transmission data; (x) dielectric property data; (xi) dielectric permittivity or conductivity data; (xxii) Young's modulus data; and (xxiii) capacitance or resistance data.

The first device may comprise or form part of an ambient ion or ionisation source or the first device may generate the aerosol, smoke or vapour for subsequent ionisation by an ambient ion or ionisation source or other ionisation source.

20 The target may comprise native or unmodified target material.

The native or unmodified target material may be unmodified by the addition of a matrix or reagent.

The first device may be arranged and adapted to generate aerosol, smoke or vapour from one or more regions of the target without the target requiring prior preparation.

25 The first device may comprise an ion source selected from the group consisting of: (i) a rapid evaporative ionisation mass spectrometry ("REIMS") ion source; (ii) a desorption electrospray ionisation ("DESI") ion source; (iii) a laser desorption ionisation ("LDI") ion source; (iv) a thermal desorption ion source; (v) a laser diode thermal desorption ("LDTD") ion source; (vi) a desorption electro-flow focusing ("DEFFI") ion source; (vii) a dielectric barrier discharge ("DBD") plasma ion source; (viii) an Atmospheric Solids Analysis Probe ("ASAP") ion source; (ix) an ultrasonic assisted spray ionisation ion source; (x) an easy ambient sonic-spray ionisation ("EASI") ion source; (xi) a desorption atmospheric pressure photoionisation ("DAPPI") ion source; (xii) a paperspray ("PS") ion source; (xiii) a jet desorption ionisation ("JeDI") ion source; (xiv) a touch spray ("TS") ion source; (xv) a nano-DESI ion source; (xvi) a laser ablation electrospray ("LAESI") ion source; (xvii) a direct analysis in real time ("DART") ion source; (xviii) a probe electrospray ionisation ("PESI") ion source; (xix) a solid-probe assisted electrospray ionisation ("SPA-ESI") ion source; (xx) a cavitron ultrasonic surgical aspirator ("CUSA") device; (xxi) a focussed or unfocussed ultrasonic ablation device; (xxii) a microwave resonance device; and (xxiii) a pulsed plasma  
35  
40 RF dissection device.

The first device may be arranged and adapted to generate aerosol, smoke or vapour from one or more regions of the target by contacting the target with one or more electrodes.

The one or more electrodes may comprise a bipolar device or a monopolar device.

5 The one or more electrodes may comprise: (i) a monopolar device, wherein the apparatus optionally further comprises a separate return electrode; (ii) a bipolar device; or (iii) a multi phase RF device, wherein the apparatus optionally further comprises a separate return electrode or electrodes.

10 The one or more electrodes may comprise a rapid evaporation ionization mass spectrometry ("REIMS") device.

The apparatus may further comprise a device arranged and adapted to apply an AC or RF voltage to the one or more electrodes in order to generate the aerosol, smoke or vapour.

15 The device for applying the AC or RF voltage to the one or more electrodes may be arranged to apply one or more pulses of the AC or RF voltage to the one or more electrodes.

Application of the AC or RF voltage to the one or more electrodes may cause heat to be dissipated into the target.

The first device may comprise a laser for irradiating the target.

20 The first device may be arranged and adapted to generate aerosol from one or more regions of the target by direct evaporation or vaporisation of target material from the target by Joule heating or diathermy.

The first device may be arranged and adapted to direct ultrasonic energy into the target.

25 The aerosol may comprise uncharged aqueous droplets optionally comprising cellular material.

At least 50%, 55%, 60%, 65%, 70%, 75%, 80%, 85%, 90% or 95% of the mass or matter generated by the first device and which forms the aerosol may be in the form of droplets.

30 The first device may be arranged and adapted to generate aerosol wherein the Sauter mean diameter ("SMD",  $d_{32}$ ) of the aerosol may be in a range: (i)  $< 5 \mu\text{m}$ ; (ii)  $5\text{-}10 \mu\text{m}$ ; (iii)  $10\text{-}15 \mu\text{m}$ ; (iv)  $15\text{-}20 \mu\text{m}$ ; (v)  $20\text{-}25 \mu\text{m}$ ; or (vi)  $> 25 \mu\text{m}$ .

The aerosol may traverse a flow region with a Reynolds number ( $Re$ ) in the range: (i)  $< 2000$ ; (ii)  $2000\text{-}2500$ ; (iii)  $2500\text{-}3000$ ; (iv)  $3000\text{-}3500$ ; (v)  $3500\text{-}4000$ ; or (vi)  $> 4000$ .

35 Substantially at the point of generating the aerosol, the aerosol may comprise droplets having a Weber number ( $We$ ) selected from the group consisting of: (i)  $< 50$ ; (ii)  $50\text{-}100$ ; (iii)  $100\text{-}150$ ; (iv)  $150\text{-}200$ ; (v)  $200\text{-}250$ ; (vi)  $250\text{-}300$ ; (vii)  $300\text{-}350$ ; (viii)  $350\text{-}400$ ; (ix)  $400\text{-}450$ ; (x)  $450\text{-}500$ ; (xi)  $500\text{-}550$ ; (xii)  $550\text{-}600$ ; (xiii)  $600\text{-}650$ ; (xiv)  $650\text{-}700$ ; (xv)  $700\text{-}750$ ; (xvi)  $750\text{-}800$ ; (xvii)  $800\text{-}850$ ; (xviii)  $850\text{-}900$ ; (xix)  $900\text{-}950$ ; (xx)  $950\text{-}1000$ ; and (xxi)  $> 40$   $1000$ .

Substantially at the point of generating the aerosol, the aerosol may comprise droplets having a Stokes number ( $S_k$ ) in the range: (i) 1-5; (ii) 5-10; (iii) 10-15; (iv) 15-20; (v) 20-25; (vi) 25-30; (vii) 30-35; (viii) 35-40; (ix) 40-45; (x) 45-50; and (xi) > 50.

5 Substantially at the point of generating the aerosol, the aerosol may comprise droplets having a mean axial velocity selected from the group consisting of: (i) < 20 m/s; (ii) 20-30 m/s; (iii) 30-40 m/s; (iv) 40-50 m/s; (v) 50-60 m/s; (vi) 60-70 m/s; (vii) 70-80 m/s; (viii) 80-90 m/s; (ix) 90-100 m/s; (x) 100-110 m/s; (xi) 110-120 m/s; (xii) 120-130 m/s; (xiii) 130-140 m/s; (xiv) 140-150 m/s; and (xv) > 150 m/s.

The target may comprise biological tissue.

10 The biological tissue may comprise human tissue or non-human animal tissue.

The biological tissue may comprise *in vivo* biological tissue.

The biological tissue may comprise *ex vivo* biological tissue.

The biological tissue may comprise *in vitro* biological tissue.

15 The biological tissue may comprise: (i) adrenal gland tissue, appendix tissue, bladder tissue, bone, bowel tissue, brain tissue, breast tissue, bronchi, coronal tissue, ear tissue, esophagus tissue, eye tissue, gall bladder tissue, genital tissue, heart tissue, hypothalamus tissue, kidney tissue, large intestine tissue, intestinal tissue, larynx tissue, liver tissue, lung tissue, lymph nodes, mouth tissue, nose tissue, pancreatic tissue, parathyroid gland tissue, pituitary gland tissue, prostate tissue, rectal tissue, salivary gland  
20 tissue, skeletal muscle tissue, skin tissue, small intestine tissue, spinal cord, spleen tissue, stomach tissue, thymus gland tissue, trachea tissue, thyroid tissue, ureter tissue, urethra tissue, soft and connective tissue, peritoneal tissue, blood vessel tissue and/or fat tissue; (ii) grade I, grade II, grade III or grade IV cancerous tissue; (iii) metastatic cancerous tissue; (iv) mixed grade cancerous tissue; (v) a sub-grade cancerous tissue; (vi) healthy or  
25 normal tissue; or (vii) cancerous or abnormal tissue.

The first device may comprise a point of care ("POC"), diagnostic or surgical device.

The apparatus may further comprise an ion source for ionising at least some of the aerosol, smoke or vapour so as to generate analyte ions.

30 The apparatus may further comprise a device for directing or aspirating at least some of the aerosol, smoke or vapour into a vacuum chamber of a mass spectrometer and/or ion mobility spectrometer.

The apparatus may further comprise a device for ionising at least some the aerosol, smoke or vapour within a or the vacuum chamber of the mass spectrometer and/or ion mobility spectrometer so as to generate a plurality of analyte ions.

35 The apparatus may further comprise device for directing the aerosol, smoke or vapour to impact upon a collision surface located within a vacuum chamber of the mass spectrometer and/or ion mobility spectrometer so as to generate a plurality of analyte ions.

40 The apparatus may further comprise a mass analyser for mass analysing the analyte ions and/or an ion mobility analyser for ion mobility analysing the analyte ions in order to obtain mass spectrometric data and/or ion mobility data.

The apparatus may further comprise a mass analyser and/or ion mobility analyser for mass analysing and/or ion mobility analysing the aerosol, smoke or vapour or ions

derived from the aerosol, smoke or vapour in order to obtain mass spectrometric data and/or ion mobility data.

The apparatus may further comprise a control system arranged and adapted to analyse the mass spectrometric data and/or ion mobility data in order either: (i) to distinguish between healthy and diseased tissue; (ii) to distinguish between potentially cancerous and non-cancerous tissue; (iii) to distinguish between different types or grades of cancerous tissue; (iv) to distinguish between different types or classes of target material; (v) to determine whether or not one or more desired or undesired substances may be present in the target; (vi) to confirm the identity or authenticity of the target; (vii) to determine whether or not one or more impurities, illegal substances or undesired substances may be present in the target; (viii) to determine whether a human or animal patient may be at an increased risk of suffering an adverse outcome; (ix) to make or assist in the making a diagnosis or prognosis; and (x) to inform a surgeon, nurse, medic or robot of a medical, surgical or diagnostic outcome.

The control system may be arranged and adapted to perform a supervised or unsupervised multivariate statistical analysis of the mass spectrometric data.

According to an embodiment either: (i) the multivariate statistical analysis may comprise principal component analysis ("PCA"); (ii) the multivariate statistical analysis may comprise linear discriminant analysis ("LDA"); (iii) the multivariate statistical analysis may be performed by a neural network; (iv) the multivariate statistical analysis may be performed by a support vector machine; or (v) the multivariate statistical analysis may comprise subspace discriminant analysis.

The apparatus may further comprise a control system arranged and adapted to analyse a profile of the aerosol, smoke or vapour or a profile of ions derived from the aerosol, smoke or vapour.

The profile may be selected from the group consisting of: (i) a lipidomic profile; (ii) a fatty acid profile; (iii) a phospholipid profile; (iv) a phosphatidic acid (PA) profile; (v) a phosphatidylethanolamine (PE) profile; (vi) a phosphatidylglycerol (PG) profile; (vii) a phosphatidylserines (PS) profile; (viii) a phosphatidylinositol (PI) profile; or (ix) a triglyceride (TG) profile.

The apparatus may further comprise one or more impedance sensors for obtaining the physical or other non-mass spectrometric data.

The apparatus may further comprise one or more impedance sensors may be arranged and adapted either: (i) to obtain the physical or other non-mass spectrometric data without the one or more impedance sensors physically contacting the target; or (ii) to obtain the physical or other non-mass spectrometric data by physically contacting the target with the one or more impedance sensors.

The apparatus may further comprise a control system arranged and adapted to determine a density, a density profile, an impedance or an impedance profile of one or more regions of the target.



The control system may be arranged and adapted to determine one or more regions of the target which have a different density or impedance relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

5 The control system may be arranged and adapted to determine whether or not a region of the target has a higher or lower density or impedance relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

The apparatus may further comprise one or more force or tactile sensors for obtaining the physical or other non-mass spectrometric data.

10 The one or more force or tactile sensors may be arranged and adapted to obtain the physical or other non-mass spectrometric data by physically contacting the target with the one or more force or tactile sensors.

The apparatus may further comprise a control system arranged and adapted to determine a density, a density profile, a force, a force profile, a surface hardness or a surface hardness profile of one or more regions of the target.

15 The control system may be arranged and adapted to determine one or more regions of interest by determining one or more regions of the target which have a different density or surface hardness relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

20 The control system may be arranged and adapted to determine whether or not a region of the target has a higher or lower density or surface hardness relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

The apparatus may further comprise one or more radio-frequency ("RF") or microwave sensors for obtaining the physical or other non-mass spectrometric data.

25 The one or more radio-frequency ("RF") or microwave sensors may be arranged and adapted either: (i) to obtain the physical or other non-mass spectrometric data without the one or more one or more radio-frequency ("RF") or microwave sensors physically contacting the target; or (ii) to obtain the physical or other non-mass spectrometric data by physically contacting the target with the one or more one or more radio-frequency ("RF") or  
30 microwave sensors.

The apparatus may further comprise a control system arranged and adapted to determine a density, a density profile, a radio-frequency absorbance, a radio-frequency absorbance profile, a microwave reflectance or transmission, a microwave reflectance or transmission profile, a dielectric property, a dielectric property profile, a dielectric  
35 permittivity, a dielectric permittivity profile, a conductivity or a conductivity profile of one or more regions of the target.

The control system may be arranged and adapted to determine whether a region of the target has a different density, radio-frequency absorbance, microwave reflectance or transmission, dielectric property, permittivity or conductivity relative to normal tissue,  
40 surrounding tissue, a control sample, a control region, control data or predetermined data.

The control system may be arranged and adapted to determine whether or not a region of the target has a higher or lower density, radio-frequency absorbance, microwave

reflectance or transmission, dielectric property, permittivity or conductivity relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

5 The apparatus may further comprise one or more dielectric property sensors or capacitive sensors for obtaining the physical or other non-mass spectrometric data.

10 The one or more dielectric property sensors or capacitive sensors may be arranged and adapted either: (i) to obtain the physical or other non-mass spectrometric data without the one or more one or more dielectric property sensors or capacitive sensors physically contacting the target; or (ii) to obtain the physical or other non-mass spectrometric data by physically contacting the target with the one or more one or more dielectric property sensors or capacitive sensors.

The apparatus may further comprise a control system arranged and adapted to determine a dielectric property, a dielectric property profile, a capacitance or a capacitance profile of one or more regions of the target.

15 The control system may be arranged and adapted to determine whether or not a region of the target has a different dielectric property or capacitance relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

20 The control system may be arranged and adapted to determine whether or not a region of the target has a higher or lower dielectric property or capacitance relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

The apparatus may further comprise one or more electrochemical sensors or biosensors for obtaining the physical or other non-mass spectrometric data.

25 The one or more electrochemical sensors or biosensor sensors may be arranged and adapted either: (i) to obtain the physical or other non-mass spectrometric data without the one or more one or more electrochemical sensors or biosensors physically contacting the target; or (ii) to obtain the physical or other non-mass spectrometric data by physically contacting the target with the one or more one or more electrochemical or biosensors.

30 The one or more electrochemical sensors or biosensors may be embedded within the target or may be embedded within *in vivo* biological tissue.

The apparatus may further comprise a device arranged and adapted to determine a measure of glucose, glutamate, reactive nitrogen species, insulin, dopamine (DA), serotonin (5-HT), norepinephrine (NE), nitric oxide (NO) or a neurochemical in the target.

35 The apparatus may further comprise a control system arranged and adapted to determine whether or not a region of the target has a different measure of glucose, glutamate, reactive nitrogen species, insulin, dopamine (DA), serotonin (5-HT), norepinephrine (NE), nitric oxide (NO) or a neurochemical relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

40 The control system may be arranged and adapted to determine whether or not a region of the target has a higher or lower measure of glucose, glutamate, reactive nitrogen species, insulin, dopamine (DA), serotonin (5-HT), norepinephrine (NE), nitric oxide (NO)

or a neurochemical relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

5 The apparatus may further comprise a control system arranged and adapted to use the physical or other non-mass spectrometric data to determine the margins or bounds of one or more regions of interest of the target.

The apparatus may further comprise a control system arranged and adapted to use the physical or other non-mass spectrometric data to determine the margins or bounds of one or more regions of interest of the target.

10 The one or more regions of interest may comprise cancerous biological tissue or a tumour.

The cancerous biological tissue or the tumour may comprise either: (i) grade I, grade II, grade III or grade IV cancerous tissue; (ii) metastatic cancerous tissue; (iii) mixed grade cancerous tissue; or (iv) a sub-grade cancerous tissue.

15 The apparatus may further comprise a control system arranged and adapted to determine from the physical or other non-mass spectrometric data either: (i) one or more physical properties of the target; (ii) one or more chemical properties of the target; (iii) one or more physico-chemical properties of the target; or (iv) one or more mechanical properties of the target.

20 The apparatus may further comprise a control system arranged and adapted to change, control, vary or optimise one or more operational parameters of the first device based upon the obtained physical or other non-mass spectrometric data.

The apparatus may further comprise one or more contrast agents for enhancing the image data.

25 The one or more contrast agents may comprise one or more fluorescent contrast agents.

The one or more contrast agents may comprise one or more visible dyes.

The one or more contrast agents may comprise one or more radiocontrast agents.

The one or more contrast agents may comprise one or more optical, near infrared ("NIR"), fluorescent, autofluorescent or diagnostic contrast agents.

30 The one or more contrast agents may be selected from the group consisting of: (i) indocyanine green ("ICG") and derivatives or conjugates of indocyanine green including indotricarbocyanine; (ii) diethylthiatricarbocyanine iodide ("DTTCl") and derivatives or conjugates of diethylthiatricarbocyanine iodide; (iii) rhodamine B and derivatives or conjugates of rhodamine B; (iv) photodynamic therapy ("PDT") agents including  
35 hexylpyropheophorbide ("HPPH"); (v) a cyanine dye including Cy 5.5 dyes; and (vi) bifunctional contrast agents.

The one or more contrast agents may comprise nanoparticles.

40 The one or more contrast agents may comprise: (i) magnetic or ferromagnetic nanoparticles; (ii) gold nanoparticles; (iii) metallic nanoparticles; (iv) functionalised nanoparticles; (v) nanospheres, nanorods, nanostars or nanoshells; (vi) levan nanoparticles; or (vii) copper, zinc, titanium, magnesium, alginate, alloy or silver nanoparticles.

The one or more contrast agents may be exogenous to the target. Alternatively, the one or more contrast agents may be endogenous to the target.

According to another aspect there is provided an ambient ionisation ion source comprising apparatus as disclosed above.

5 According to another aspect there is provided a rapid evaporation ionization mass spectrometry ("REIMS") ion source comprising apparatus as disclosed above.

According to another aspect there is provided analysis apparatus comprising apparatus as disclosed above.

10 According to another aspect there is provided a mass spectrometer and/or ion mobility analyser comprising apparatus as disclosed above.

Various embodiments are contemplated which relate to generating smoke, aerosol or vapour from a target (details of which are provided elsewhere herein) using an ambient ionisation ion source. The aerosol, smoke or vapour may then be mixed with a matrix and aspirated into a vacuum chamber of a mass spectrometer and/or ion mobility spectrometer. The mixture may be caused to impact upon a collision surface causing the aerosol, smoke or vapour to be ionised by impact ionization which results in the generation of analyte ions. The resulting analyte ions (or fragment or product ions derived from the analyte ions) may then be mass analysed and/or ion mobility analysed and the resulting mass spectrometric data and/or ion mobility spectrometric data may be subjected to multivariate analysis or other mathematical treatment in order to determine one or more properties of the target in real time.

According to an embodiment the first device for generating aerosol, smoke or vapour from the target may comprise a tool which utilises an RF voltage, such as a continuous RF waveform.

25 Other embodiments are contemplated wherein the first device for generating aerosol, smoke or vapour from the target may comprise an argon plasma coagulation ("APC") device. An argon plasma coagulation device involves the use of a jet of ionised argon gas (plasma) that is directed through a probe. The probe may be passed through an endoscope. Argon plasma coagulation is essentially a non-contact process as the probe is placed at some distance from the target. Argon gas is emitted from the probe and is then ionized by a high voltage discharge (e.g., 6 kV). High-frequency electric current is then conducted through the jet of gas, resulting in coagulation of the target on the other end of the jet. The depth of coagulation is usually only a few millimetres.

35 The first device, surgical or electrosurgical tool, device or probe or other sampling device or probe disclosed in any of the aspects or embodiments herein may comprise a non-contact surgical device, such as one or more of a hydrosurgical device, a surgical water jet device, an argon plasma coagulation device, a hybrid argon plasma coagulation device, a water jet device and a laser device.

40 A non-contact surgical device may be defined as a surgical device arranged and adapted to dissect, fragment, liquefy, aspirate, fulgurate or otherwise disrupt biologic tissue without physically contacting the tissue. Examples include laser devices, hydrosurgical devices, argon plasma coagulation devices and hybrid argon plasma coagulation devices.

As the non-contact device may not make physical contact with the tissue, the procedure may be seen as relatively safe and can be used to treat delicate tissue having low intracellular bonds, such as skin or fat.

5 According to various embodiments the mass spectrometer and/or ion mobility spectrometer may obtain data in negative ion mode only, positive ion mode only, or in both positive and negative ion modes. Positive ion mode spectrometric data may be combined or concatenated with negative ion mode spectrometric data. Negative ion mode can provide particularly useful spectra for classifying aerosol, smoke or vapour samples, such as aerosol, smoke or vapour samples from targets comprising lipids.

10 Ion mobility spectrometric data may be obtained using different ion mobility drift gases, or dopants may be added to the drift gas to induce a change in drift time of one or more species. This data may then be combined or concatenated.

15 It will be apparent that the requirement to add a matrix or a reagent directly to a sample may prevent the ability to perform *in vivo* analysis of tissue and also, more generally, prevents the ability to provide a rapid simple analysis of target material.

According to other embodiments the ambient ionisation ion source may comprise an ultrasonic ablation ion source or a hybrid electrosurgical –ultrasonic ablation source that generates a liquid sample which is then aspirated as an aerosol. The ultrasonic ablation ion source may comprise a focused or unfocussed ultrasound.

20 Optionally, the first device comprises or forms part of an ion source selected from the group consisting of: (i) a rapid evaporative ionisation mass spectrometry (“REIMS”) ion source; (ii) a desorption electrospray ionisation (“DESI”) ion source; (iii) a laser desorption ionisation (“LDI”) ion source; (iv) a thermal desorption ion source; (v) a laser diode thermal desorption (“LDTD”) ion source; (vi) a desorption electro-flow focusing (“DEFFI”) ion source; (vii) a dielectric barrier discharge (“DBD”) plasma ion source; (viii) an Atmospheric Solids Analysis Probe (“ASAP”) ion source; (ix) an ultrasonic assisted spray ionisation ion source; (x) an easy ambient sonic-spray ionisation (“EASI”) ion source; (xi) a desorption atmospheric pressure photoionisation (“DAPPI”) ion source; (xii) a paperspray (“PS”) ion source; (xiii) a jet desorption ionisation (“JeDI”) ion source; (xiv) a touch spray (“TS”) ion source; (xv) a nano-DESI ion source; (xvi) a laser ablation electrospray (“LAESI”) ion source; (xvii) a direct analysis in real time (“DART”) ion source; (xviii) a probe electrospray ionisation (“PESI”) ion source; (xix) a solid-probe assisted electrospray ionisation (“SPA-ESI”) ion source; (xx) a cavitron ultrasonic surgical aspirator (“CUSA”) device; (xxi) a hybrid CUSA-diathermy device; (xxii) a focussed or unfocussed ultrasonic ablation device; (xxiii) a hybrid focussed or unfocussed ultrasonic ablation and diathermy device; (xxiv) a microwave resonance device; (xxv) a pulsed plasma RF dissection device; (xxvi) an argon plasma coagulation device; (xxvii) a hybrid pulsed plasma RF dissection and argon plasma coagulation device; (xxviii) a hybrid pulsed plasma RF dissection and JeDI device; (xxix) a surgical water/saline jet device; (xxx) a hybrid electrosurgery and argon plasma coagulation device; and (xxx) a hybrid argon plasma coagulation and water/saline jet device.

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## BRIEF DESCRIPTION OF THE DRAWINGS

Various embodiments will now be described, by way of example only, and with reference to the accompanying drawings in which:

5 Fig. 1 illustrates a method of rapid evaporative ionisation mass spectrometry ("REIMS") wherein an RF voltage is applied to bipolar forceps resulting in the generation of an aerosol or surgical plume which is then captured through an irrigation port of the bipolar forceps and is then transferred to a mass spectrometer for mass analysis;

10 Fig. 2 illustrates a general embodiment wherein one or more physical sensors are used to obtain physical data from a target (e.g. *in vivo* tissue) prior to activating a rapid evaporative ionisation mass spectrometry ("REIMS") ion source to *inter alia* analyse the target and to determine, for example, whether or not the tissue is cancerous;

Fig. 3 shows a microwave reflectometry sensor which may be used according to various embodiments in order to obtain physical data;

15 Fig. 4 shows a method of analysis that comprises building a classification model according to various embodiments;

Fig. 5 shows a set of reference sample spectra obtained from two classes of known reference samples;

20 Fig. 6 shows a multivariate space having three dimensions defined by intensity axes, wherein the multivariate space comprises plural reference points, each reference point corresponding to a set of three peak intensity values derived from a reference sample spectrum;

Fig. 7 shows a general relationship between cumulative variance and number of components of a PCA model;

25 Fig. 8 shows a PCA space having two dimensions defined by principal component axes, wherein the PCA space comprises plural transformed reference points or scores, each transformed reference point or score corresponding to a reference point of Fig. 6;

30 Fig. 9 shows a PCA-LDA space having a single dimension or axis, wherein the LDA is performed based on the PCA space of Fig. 8, the PCA-LDA space comprising plural further transformed reference points or class scores, each further transformed reference point or class score corresponding to a transformed reference point or score of Fig. 8;

Fig. 10 shows a method of analysis that comprises using a classification model according to various embodiments;

Fig. 11 shows a sample spectrum obtained from an unknown sample;

35 Fig. 12 shows the PCA-LDA space of Fig. 9, wherein the PCA-LDA space further comprises a PCA-LDA projected sample point derived from the peak intensity values of the sample spectrum of Fig. 11;

Fig. 13 shows a method of analysis that comprises building a classification library according to various embodiments; and

40 Fig. 14 shows a method of analysis that comprises using a classification library according to various embodiments.

## DETAILED DESCRIPTION

5 Various embodiments will now be described in more detail below which in general relate to obtaining physical non-mass spectrometric data or other non-mass spectrometric data from one or more regions of a target (e.g., *in vivo* tissue) and then generating an aerosol, surgical smoke or vapour from one or more regions of the target using an ambient ionisation ion source.

10 The aerosol, surgical smoke or vapour is then aspirated into a vacuum chamber of a mass spectrometer and/or ion mobility spectrometer and is caused to impact upon a collision surface causing the aerosol, smoke or vapour to be ionised by impact ionisation which results in the generation of analyte ions.

15 The resulting analyte ions (or fragment or product ions derived from the analyte ions) are then mass analysed and/or ion mobility analysed and the resulting mass spectrometric data and/or ion mobility data may then be subjected to multivariate analysis in order to determine one or more properties of the target in real time.

For example, the multivariate analysis may enable a determination to be made as to whether or not a portion of tissue which is currently being resected is cancerous or not.

20 The use of physical data enables tissue which is of potential concern to be identified either prior to and/or during a surgical procedure and enables a surgeon to have a greater confidence that all undesired or potentially cancerous tissue is both located and completely removed whilst at the same time ensuring that the minimum amount of healthy tissue is removed.

### Ambient ionisation ion sources

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According to various embodiments a device is used to generate an aerosol, smoke or vapour from one or more regions of a target (e.g., *in vivo* tissue). The device may comprise an ambient ionisation ion source which is characterised by the ability to generate analyte aerosol, smoke or vapour from a native or unmodified target. For example, other types of ionisation ion sources such as Matrix Assisted Laser Desorption Ionisation ("MALDI") ion sources require a matrix or reagent to be added to the sample prior to ionisation.

30 It will be apparent that the requirement to add a matrix or a reagent to a sample prevents the ability to perform *in vivo* analysis of tissue and also, more generally, prevents the ability to provide a rapid simple analysis of target material.

In contrast, therefore, ambient ionisation techniques are particularly advantageous since firstly they do not require the addition of a matrix or a reagent (and hence are suitable for the analysis of *in vivo* tissue) and since secondly they enable a rapid simple analysis of target material to be performed.

40 A number of different ambient ionisation techniques are known and are intended to fall within the scope of the present invention. As a matter of historical record, Desorption Electrospray Ionisation ("DESI") was the first ambient ionisation technique to be developed

and was disclosed in 2004. Since 2004, a number of other ambient ionisation techniques have been developed. These ambient ionisation techniques differ in their precise ionisation method but they share the same general capability of generating gas-phase ions directly from native (i.e. untreated or unmodified) samples. A particular advantage of the various ambient ionisation techniques which are intended to fall within the scope of the present invention is that the various ambient ionisation techniques do not require any prior sample preparation. As a result, the various ambient ionisation techniques enable both *in vivo* tissue and *ex vivo* tissue samples to be analysed without necessitating the time and expense of adding a matrix or reagent to the tissue sample or other target material.

A list of ambient ionisation techniques which are intended to fall within the scope of the present invention are given in the following table:

<b>Acronym</b>	<b>Ionisation technique</b>
DESI	Desorption electrospray ionization
DeSSI	Desorption sonic spray ionization
DAPPI	Desorption atmospheric pressure photoionization
EASI	Easy ambient sonic-spray ionization
JeDI	Jet desorption electrospray ionization
TM-DESI	Transmission mode desorption electrospray ionization
LMJ-SSP	Liquid microjunction-surface sampling probe
DICE	Desorption ionization by charge exchange
Nano-DESI	Nanospray desorption electrospray ionization
EADESI	Electrode-assisted desorption electrospray ionization
APTDCI	Atmospheric pressure thermal desorption chemical ionization
V-EASI	Venturi easy ambient sonic-spray ionization
AFAI	Air flow-assisted ionization
LESA	Liquid extraction surface analysis
PTC-ESI	Pipette tip column electrospray ionization
AFADESI	Air flow-assisted desorption electrospray ionization
DEFFI	Desorption electro-flow focusing ionization
ESTASI	Electrostatic spray ionization
PASIT	Plasma-based ambient sampling ionization transmission
DAPCI	Desorption atmospheric pressure chemical ionization
DART	Direct analysis in real time



ASAP	Atmospheric pressure solid analysis probe
APTDI	Atmospheric pressure thermal desorption ionization
PADI	Plasma assisted desorption ionization
DBDI	Dielectric barrier discharge ionization
FAPA	Flowing atmospheric pressure afterglow
HAPGDI	Helium atmospheric pressure glow discharge ionization
APGDDI	Atmospheric pressure glow discharge desorption ionization
LTP	Low temperature plasma
LS-APGD	Liquid sampling-atmospheric pressure glow discharge
MIPDI	Microwave induced plasma desorption ionization
MFGDP	Microfabricated glow discharge plasma
RoPPI	Robotic plasma probe ionization
PLASI	Plasma spray ionization
MALDESI	Matrix assisted laser desorption electrospray ionization
ELDI	Electrospray laser desorption ionization
LDTD	Laser diode thermal desorption
LAESI	Laser ablation electrospray ionization
CALDI	Charge assisted laser desorption ionization
LA-FAPA	Laser ablation flowing atmospheric pressure afterglow
LADESI	Laser assisted desorption electrospray ionization
LDESI	Laser desorption electrospray ionization
LEMS	Laser electrospray mass spectrometry
LSI	Laser spray ionization
IR-LAMICI	Infrared laser ablation metastable induced chemical ionization
LDSPI	Laser desorption spray post-ionization
PAMLDI	Plasma assisted multiwavelength laser desorption ionization
HALDI	High voltage-assisted laser desorption ionization
PALDI	Plasma assisted laser desorption ionization
ESSI	Extractive electrospray ionization
PESI	Probe electrospray ionization

ND-ESSI	Neutral desorption extractive electrospray ionization
PS	Paper spray
DIP-APCI	Direct inlet probe-atmospheric pressure chemical ionization
TS	Touch spray
Wooden-tip	Wooden-tip electrospray
CBS-SPME	Coated blade spray solid phase microextraction
TSI	Tissue spray ionization
RADIO	Radiofrequency acoustic desorption ionization
LIAD-ESI	Laser induced acoustic desorption electrospray ionization
SAWN	Surface acoustic wave nebulization
UASI	Ultrasonication-assisted spray ionization
SPA-nanoESI	Solid probe assisted nanoelectrospray ionization
PAUSI	Paper assisted ultrasonic spray ionization
DPESI	Direct probe electrospray ionization
ESA-Py	Electrospray assisted pyrolysis ionization
APPIS	Ambient pressure pyroelectric ion source
RASTIR	Remote analyte sampling transport and ionization relay
SACI	Surface activated chemical ionization
DEMI	Desorption electrospray metastable-induced ionization
REIMS	Rapid evaporative ionization mass spectrometry
SPAM	Single particle aerosol mass spectrometry
TDAMS	Thermal desorption-based ambient mass spectrometry
MAII	Matrix assisted inlet ionization
SAII	Solvent assisted inlet ionization
SwiFERR	Switched ferroelectric plasma ionizer
LPTD	Leidenfrost phenomenon assisted thermal desorption

According to an embodiment the ambient ionisation ion source may comprise a rapid evaporative ionisation mass spectrometry (“REIMS”) ion source wherein a RF voltage

is applied to one or more electrodes in order to generate an aerosol or plume of surgical smoke by Joule heating.

5 However, it will be appreciated that other ambient ion sources including those referred to above may also be utilised. For example, according to another embodiment the ambient ionisation ion source may comprise a laser ionisation ion source. According to an  
embodiment the laser ionisation ion source may comprise a mid-IR laser ablation ion source. For example, there are several lasers which emit radiation close to or at 2.94  $\mu\text{m}$  which corresponds with the peak in the water absorption spectrum. According to various  
embodiments the ambient ionisation ion source may comprise a laser ablation ion source  
10 having a wavelength close to 2.94  $\mu\text{m}$  on the basis of the high absorption coefficient of water at 2.94  $\mu\text{m}$ . According to an embodiment the laser ablation ion source may comprise a Er:YAG laser which emits radiation at 2.94  $\mu\text{m}$ .

Other embodiments are contemplated wherein a mid-infrared optical parametric oscillator (“OPO”) may be used to produce a laser ablation ion source having a longer  
15 wavelength than 2.94  $\mu\text{m}$ . For example, an Er:YAG pumped ZGP-OPO may be used to produce laser radiation having a wavelength of e.g. 6.1  $\mu\text{m}$ , 6.45  $\mu\text{m}$  or 6.73  $\mu\text{m}$ . In some situations it may be advantageous to use a laser ablation ion source having a shorter or longer wavelength than 2.94  $\mu\text{m}$  since only the surface layers will be ablated and less thermal damage may result. According to an embodiment a Co:MgF<sub>2</sub> laser may be used  
20 as a laser ablation ion source wherein the laser may be tuned from 1.75-2.5  $\mu\text{m}$ . According to another embodiment an optical parametric oscillator (“OPO”) system pumped by a Nd:YAG laser may be used to produce a laser ablation ion source having a wavelength between 2.9-3.1  $\mu\text{m}$ . According to another embodiment a CO<sub>2</sub> laser having a wavelength of 10.6  $\mu\text{m}$  may be used to generate the aerosol, smoke or vapour.

25 According to other embodiments the ambient ionisation ion source may comprise an ultrasonic ablation ion source which generates a liquid sample which is then aspirated as an aerosol. The ultrasonic ablation ion source may comprise a focused or unfocussed source.

According to an embodiment the first device for generating aerosol, smoke or  
30 vapour from one or more regions of a target may comprise an electrosurgical tool which utilises a continuous RF waveform. According to other embodiments a radiofrequency tissue dissection system may be used which is arranged to supply pulsed plasma RF energy to a tool. The tool may comprise, for example, a PlasmaBlade (RTM). Pulsed plasma RF tools operate at lower temperatures than conventional electrosurgical tools (e.g.  
35 40-170 °C c.f. 200-350 °C) thereby reducing thermal injury depth. Pulsed waveforms and duty cycles may be used for both cut and coagulation modes of operation by inducing electrical plasma along the cutting edge(s) of a thin insulated electrode.

#### Rapid evaporative ionisation mass spectrometry (“REIMS”)

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Fig. 1 illustrates a method of rapid evaporative ionisation mass spectrometry (“REIMS”) wherein bipolar forceps 1 may be brought into contact with *in vivo* tissue 2 of a

patient 3. In the example shown in Fig. 1, the bipolar forceps 1 may be brought into contact with brain tissue 2 of a patient 3 during the course of a surgical operation on the patient's brain. An RF voltage from an RF voltage generator 4 may be applied to the bipolar forceps 1 which causes localised Joule or diathermy heating of the tissue 2. As a result, an aerosol or surgical plume 5 is generated. The aerosol or surgical plume 5 may then be captured or otherwise aspirated through an irrigation port of the bipolar forceps 1. The irrigation port of the bipolar forceps 1 is therefore reutilised as an aspiration port. The aerosol or surgical plume 5 may then be passed from the irrigation (aspiration) port of the bipolar forceps 1 to tubing 6 (e.g. 1/8" or 3.2 mm diameter Teflon (RTM) tubing). The tubing 6 is arranged to transfer the aerosol or surgical plume 5 to an atmospheric pressure interface 7 of a mass spectrometer and/or ion mobility spectrometer 8.

According to various embodiments a matrix comprising an organic solvent such as isopropanol may be added to the aerosol or surgical plume 5 at the atmospheric pressure interface 7. The mixture of aerosol 3 and organic solvent may then be arranged to impact upon a collision surface within a vacuum chamber of the mass spectrometer and/or ion mobility spectrometer 8. According to one embodiment the collision surface may be heated. The aerosol is caused to ionise upon impacting the collision surface resulting in the generation of analyte ions. The ionisation efficiency of generating the analyte ions may be improved by the addition of the organic solvent. However, the addition of an organic solvent is not essential.

Analyte ions which are generated by causing the aerosol, smoke or vapour 5 to impact upon the collision surface are then passed through subsequent stages of the mass spectrometer and/or ion mobility spectrometer and are subjected to mass analysis and/or ion mobility analysis in a mass analyser and/or ion mobility analyser. The mass analyser may, for example, comprise a quadrupole mass analyser or a Time of Flight mass analyser.

Fig. 2 illustrates a general embodiment wherein one or more physical sensors 20 are used to obtain physical non-mass spectrometric data from a target 2 (e.g. *in vivo* tissue) prior to activating a rapid evaporative ionisation mass spectrometry ("REIMS") ion source 1 which *inter alia* samples tissue 2 and enables a determination to be made, for example, as to whether or not the issue is cancerous.

According to various embodiments the one or more physical sensor devices 20 may be used to obtain physical (or other closely related) non-mass spectrometric data from the target (e.g. either *in vivo* or *ex vivo* biological tissue). The one or more physical sensor devices 20 may be arranged, for example, to obtain from the target: (i) density data; (ii) impedance data; (iii) hardness data; (iv) surface hardness data; (v) tissue hardness data; (vi) tactile data; (vii) force data; (viii) radio-frequency absorbance data; (ix) microwave reflectance or transmission data; (x) dielectric property data; (xi) dielectric permittivity or conductivity data; (xxii) Young's modulus data; or (xxiii) capacitance or resistance data.

A number of different embodiments are contemplated and will be described in more detail below wherein physical (or other closely related) data is acquired using one or more physical sensors or devices 20 and wherein the physical data may then be used, for

example, to guide a user (e.g. a surgeon) performing a surgical, diagnostic or other procedure utilising an ambient ionisation ion source to one or more regions of particular interest on a target (e.g. *in vivo* or *ex vivo* tissue).

5 By way of example only, the one or more physical sensors or devices 20 may be utilised to determine regions of tissue of a patient which have a different density, impedance, hardness, surface hardness, tissue hardness, tactile response, force response, radio-frequency absorbance, microwave reflectance or transmission, dielectric property, dielectric permittivity or conductivity, Young's modulus or capacitance or resistance compared to surrounding tissue. As will be appreciated, portions of tissue which have a different density, impedance, hardness, surface hardness, tissue hardness, tactile response, force response, radio-frequency absorbance, microwave reflectance or transmission, dielectric property, dielectric permittivity or conductivity, Young's modulus or capacitance or resistance compared to surrounding tissue may comprise diseased or potentially cancerous tissue. It is known, for example, that potentially cancerous tissue may be denser or harder than healthy tissue and may have a highly vascular nature. Accordingly, potentially cancerous tissue may have a different water content to that of surrounding healthy tissue, may have a higher or different temperature or surface hardness to that of healthy tissue and may have other different physical properties to that of surrounding healthy tissue.

15 20 According to an embodiment the additional or confirmatory information provided by the one or more physical sensors 20 may be used to help determine the margins or bounds of healthy, potentially cancerous, cancerous, potentially diseased or diseased biological tissue or the margins or bounds of a tumour.

25 The cancerous biological tissue or the tumour may comprise either: (i) grade I, grade II, grade III or grade IV cancerous tissue; (ii) metastatic cancerous tissue; (iii) mixed grade cancerous tissue; or (iv) a sub-grade cancerous tissue.

The one or more physical sensors 20 may be used to help determine physical or other non-mass spectrometric data and in particular may be used to determine the margins or bounds between different types or grades of diseased or cancerous tissue.

30 The different grades of cancerous tissue may be selected from the group consisting of: (i) grade I cancerous tissue; (ii) grade II cancerous tissue; (iii) grade III cancerous tissue; and (iv) grade IV cancerous tissue.

35 According to various embodiments a determination from the physical or other non-mass spectrometric data may be made to determine either: (i) one or more physical properties of the target; (ii) one or more chemical properties of the target; (iii) one or more physico-chemical properties of the target; or (iv) one or more mechanical properties of the target.

40 Optimised operational parameters of an ambient ionisation surgical or diagnostic tool may be programmed or set dependent upon data acquired from one or more physical sensors

According to an embodiment one or more operational parameters of an ambient ionisation surgical or diagnostic tool may be arranged to vary or otherwise be optimised during a surgical or diagnostic procedure based upon the acquired physical or non-mass spectrometric data, chemical data, imaging data or other data.

5 For example, according to an embodiment the energy dissipated into surrounding tissue may be arranged to reduce as the surgical or diagnostic device approaches a vital organ.

According to various embodiments one more operational parameters of an ambient ionisation ion source may be varied or controlled depending upon the specific type of tissue  
10 which is being probed. The type of tissue may be known in advance or may be determined from imaging, chemical, physical or other data. For example, according to an embodiment if a tissue or tumour has a soft or gelatinous texture or the probe is in close proximity to a sensitive region of the body (e.g. the probe is in close proximity to important nerves) than the power and/or duty cycle of the ambient ionisation ion source may be reduced, varied or  
15 otherwise altered.

According to another embodiment, one or more operational parameters of an ambient ionisation surgical or other tool may be set based upon the acquired chemical data. For example, one or more operational parameters of an ambient ionisation surgical tool may be set based upon the type or grade of cancerous tissue identified by the one or  
20 more physical, chemical, imaging or other sensors or devices 20 or based upon the nature of the diseased tissue identified by the one or more physical, chemical, imaging or other sensors or devices 20.

Different operational parameters may be used depending upon whether operating upon healthy tissue, clearly cancerous tissue or at the cancer margin.

25 According to various embodiments the physical data, non-mass spectrometric data, chemical data, imaging data or other data may include spatial information and hence the variation of tissue as a function of depth within an organ may be determined. Accordingly, previously acquired physical data, non-mass spectrometric data, chemical data, imaging data or other data may be used to set various operational parameters of an ambient  
30 ionisation surgical tool as the surgical tool moves deeper into (or out of) an organ or closer to (or away from) an organ or specific tissue types.

Furthermore, various ionisation parameters may be varied as the ambient ionisation surgical tool moves deeper into (or out of) an organ or closer to (or away from) an organ or specific tissue types.

35 As the ambient ionisation surgical tool makes an initial cut into an organ one or more ionisation parameters (e.g. the composition of a matrix added to the aerosol, smoke or vapour released from the tissue, the temperature of a ionisation collision surface, the voltage applied to an ionisation collision surface etc.) may be optimised for the surgical conditions (e.g. initial blood loss, tissue composition) experienced when cutting into the  
40 organ. As the ambient ionisation surgical tool moves deeper into (or out of) the organ or closer to (or away from) an organ or specific tissue types the optimum ionisation parameters for the surgical tool may change reflecting e.g. a different degree of blood loss

and a different composition of the tissue. Accordingly, one or more ionisation parameters (e.g. the composition of matrix added to aerosol, smoke or vapour released from the tissue, the temperature of a ionisation collision surface, the voltage applied to an ionisation collision surface etc.) may be arranged also to change or vary in order to match the changing surgical conditions and optionally based upon the acquired chemical data.

5

Numerous different embodiments are contemplated wherein various operational parameters of a surgical device or diagnostic tool which incorporates an ambient ionisation ion source (e.g. a rapid evaporative ionisation mass spectrometry (“REIMS”) ion source) may be varied based upon the acquired physical non-mass spectrometric data, chemical data, imaging data or other data.

10

According to various embodiments an ion mode of the mass spectrometer and/or ion mobility spectrometer may be selected based upon chemical, physical, imaging or other data taken or determined from the cutting site.

According to further embodiments one or more operational parameters of the mass spectrometer and/or ion mobility spectrometer may be changed or altered based upon, subsequent to or during the process of making a diagnosis (e.g. of cancerous or healthy tissue). For example, one or more operational parameters may be changed upon confirmation. The one or more operational parameters which may be changed or optimised depending upon the stage of analysis (e.g. exploratory, diagnosis or confirmation) include optimisation of: (i) inlet conditions including inlet voltages, type and flow rate of optional matrix added to aerosol flow, Venturi suction etc.; (ii) fragmentation conditions for aerosol including flow rates and temperature of collision surface, heated coil parameters etc.; (iii) downstream ion optics including ion path; and (iv) mass analysis steps including selection of mass peak(s) for further diagnosis, performing MS/MS experiments, fragmenting analyte ions of interest and mass analysing subsequent daughter, fragment or product ions.

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#### Combining impedance measurements of tissue with ambient ionisation analysis of tissue

According to various embodiments the one or more physical sensors may comprise one or more impedance sensors which may be used to obtain the physical (or other related data) from a target (which may, for example, comprise *in vivo* or *ex vivo* tissue).

30

The one or more impedance sensors may be arranged to obtain the physical or other non-mass spectrometric data without the one or more impedance sensors physically contacting the target. Alternatively, the one or more impedance sensors may obtain the physical or other non-mass spectrometric data by physically contacting the target.

35

The data from the one or more impedance sensors may be used to determine a density, a density profile, an impedance or an impedance profile of one or more regions of the target.

40

According to an embodiment the physical or other non-mass spectrometric data which is obtained by the one or more impedance sensors may be used to determine one or

more regions of interest. In particular, a determination may be made of one or more regions of the target which have a different density or impedance relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

5 For example, a determination may be made as to whether or not a region of the target has a higher or lower density or impedance relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

10 According to an embodiment the impedance sensor(s) may be used to acquire different dispersion impedance spectra. For example, according to various embodiments an impedance sensor may be used to acquire  $\alpha$ -dispersion impedance spectra (Hz to tens of kHz) which reflects the extracellular polarisation of cells. The impedance sensor may also be used to acquire  $\beta$ -dispersion impedance spectra (kHz to hundreds of MHz) which can be used to detect changes in the structural membrane of cells such as oedema or changes in the membrane thickness. The impedance sensor may also be used to acquire  $\gamma$ -dispersion impedance spectra (> hundreds of MHz) which reflects the relaxation of water and other small molecules.

15 For example, according to an embodiment impedance data may be measured at frequencies between 100 Hz and 10 MHz thus providing information on the  $\alpha$ - and  $\beta$ -dispersions of cells in either *in vivo* or *ex vivo* tissue.

20 Impedance data may be interpreted using a Cole-Cole approximation of the measurements in the complex impedance plane. Alternatively, the relative permittivity and conductivity for each measurement may be compared.

For example, a region of tissue of concern may be identified which has a greater or lesser impedance than that of surrounding healthy tissue.

25 According to an embodiment the step of using physical or other non-mass spectrometric data to determine one or more regions of interest may comprise the use of targeted nanoparticles containing or comprising a metal which is intended to change the electrical impedance of a targeted tissue type. As detailed below, metallic nanoparticles may be functionalised so that they adhere to specific types of tissue or other surfaces. One or more regions of interest of a target may be identified by determining one or more regions of a target (e.g., tissue) having a different impedance to other target areas due to the presence of targeted or functionalised nanoparticles which preferentially adhere to certain specific target areas (e.g., cancerous tissue).

#### *In vivo* impedance measurements made on human skin

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Skin is the largest organ in the human body and is comprised of an inner hypodermis layer, an intermediate dermis layer and an outer epidermis layer. The outer epidermis layer provides a water impermeable barrier that prevents dehydration of the body. Fatty cells in the intermediate hypodermis act as insulation to prevent heat loss. The outer epidermis layer itself comprises different layers and the bottom layer of the epidermis, known as the stratum basale, contains melanin producing cells called melanocytes. Melanin gives the skin its colour and protects the nuclei of skin cell from

40



ultra-violet damage. A mole or a benign naevus (N) comprises a cluster of melanocytes in the skin.

Skin cancers as a group are the most common form of human cancer and the Caucasian population is the most susceptible due to the low content of protective melanin.

5 Skin cancers may be broadly divided in two groups namely: (i) melanoma skin tumours e.g. malignant melanoma (“MM”); and (ii) non-melanoma skin tumours. Malignant melanomas account for the majority of deaths due to skin cancer and is a rapidly increasing type of malignant tumour.

10 Melanoma skin tumours may be sub-divided into: (i) superficial spreading melanoma (“SSM”); (ii) nodular malignant melanoma (“nodMM”); (iii) lentigo malignant melanoma (“LMM”); (iv) acral lentiginous melanoma; and (v) melanoma in situ (“Mis”).

Non-melanoma skin tumours may be sub-divided into: (i) benign naevi (“N”); (ii) dysplastic naevi (“DN”); (iii) seborrheic keratosis (“SK”); (iv) basal cell cancer (“BCC”); and (v) dermatofibroma (“DF”).

15 Some types of skin tumour are benign including melanoma in situ (“Mis”), dysplastic naevus (“DN”), premalignant lentigo maligna (“LMM”), seborrheic keratosis (“SK”) and dermatofibromas (“DF”).

According to an embodiment an impedance sensor may be used to provide impedance or density data relating to the surface hardness of tissue.

20 According to Ohm’s law impedance  $Z$  is the ratio between alternating voltage and alternating current. According to an embodiment a probe comprising two electrodes may be pressed against the surface of the skin and a small alternating voltage may be applied between the two electrodes. The resulting alternating current may then be measured. The voltage will be phase-shifted relative to the current due to the resistive and capacitive  
25 properties of the tissue. The impedance is given by:

$$Z = |Z|e^{i\Phi} \tag{1}$$

wherein  $Z$  is the impedance and  $\Phi$  is the phase shift.

30

### Surface hardness or tactile sensors

It will be understood by those skilled in the art that the hardness of a tissue will be related to the composition of the tissue. Accordingly, if the composition of a tissue is  
35 altered by disease such as cancer then detecting a change in the surface hardness of the tissue can be a useful indicator of diseased tissue. Malignant tumours are often harder than the surrounding tissue and on this basis potential breast cancer can often be detected at an early stage during a routine physical examination. Similarly, prostate cancer can often be detected during a rectal examination.

40 Embodiments are disclosed wherein the one or more physical sensors 20 may comprise one or more sensors which are capable of determining the hardness of a tissue

surface 2. Such embodiments are particularly beneficial since they enable the tissue 2 to be probed in a non-invasive manner.

5 According to an embodiment a rapid evaporative ionisation mass spectrometry ("REIMS") probe 1 may be provided with includes one or more tactile sensors based, for example, upon a vibrating piezoelectric device wherein the piezoelectric device may be arranged to contact the surface of the tissue 2. Contacting the surface of a tissue 2 will cause the resonance frequency of the piezoelectric device to change and the resulting frequency shift which is induced can then be detected. It will be understood that the frequency shift which is induced will be dependent upon the hardness of the underlying  
10 tissue 2.

According to an embodiment a rapid evaporative ionisation mass spectrometry ("REIMS") probe 1 may be provided comprising one or more strain gauge sensors. The strain gauge sensors may comprise semiconductor strain gauges and according to an embodiment four active strain gauges may be provided as a full Wheatstone bridge sensor.  
15 After calibration the output signal from the strain gauge bridge may be read as a force signal.

The one or more tactile sensors and the one or more strain gauge sensors may comprise separate sensor devices 20 as shown in Fig. 2 or alternatively the one or more sensors may be integrated into the rapid evaporative ionisation mass spectrometry ("REIMS") probe 1.  
20

#### RF or microwave sensors

25 According to an embodiment one or more RF or microwave sensors may be used to obtain physical or other non-mass spectrometric data from one or more regions of a target.

Fig. 3 shows an RF or microwave sensor or device 30 which may be used to acquire physical data according to an embodiment. The RF or microwave sensor 30 may comprise a coaxial line probe 31 such that a microwave load 32 is directed into a target 33 which may comprise *in vivo* tissue such as lung or breast tissue. The microwave load 32 results in an electrical field 34 which penetrates a short distance into the target 33. A reflected signal 35 is captured by the coaxial line probe 31 and is detected by the RF or microwave sensor or device 30. One or more physical properties of the target or target tissue 33 may then be determined.  
30

35 In particular, the RF sensor or device 30 may utilise microwaves at RF frequencies. In the case of breast tissue, normal breast tissue is substantially translucent to microwaves and there is a high dielectric contrast between malignant breast tumours and normal breast tissue. As a result, according to an embodiment a RF or microwave sensor 30 may be used to detect regions of e.g. breast tissue which are potentially cancerous. As a result,  
40 the physical data obtained by the RF or microwave sensor 30 may be used to direct a surgeon to specific areas of breast tissue which may then be subjected to a surgical procedure using an ambient ionisation ion source such as a rapid evaporative ionisation mass spectrometry ("REIMS") ion source. In particular, the physical data obtained by the

RF or microwave sensor 30 may be used in conjunction with the ambient ionisation ion source to help determine the margins or bounds of potentially cancerous tissue with a high level of precision.

5 It will be appreciated that being able to determine to a high level of certainty that all undesired (e.g. potentially cancerous) tissue has been removed from a surgical site without also unnecessarily removing healthy tissue can have a significant positive impact upon potential surgical outcomes.

10 A pulsed confocal technique may be used together with time-gating in order to enhance the detection of tumours whilst suppressing the effects of tissue heterogeneity and absorption.

It is known to perform X-ray mammograms. However, there are concerns that repeated X-ray mammograms can result in an increased risk of cancer.

15 Therefore, one advantage of utilising RF or microwave technology according to various embodiments is that it avoids subjecting a patient to any increased risk (whether perceived or real) of cancer and also can be performed in a more relaxing and flexible environment which puts the patient at ease.

20 It is known that microwaves interact with biological tissue according to the water content of the tissue and that this interaction is quite different to the interaction of X-rays with biological tissue. In particular, using a microwave sensor or device 30 according to an embodiment can result in an order of magnitude improvement in terms of contrast compared to using either X-rays or ultrasound.

25 According to various embodiments the dielectric permittivity of a target which may comprise a specific type of tissue such as healthy *in vivo* breast or lung tissue may be determined in advance or may be determined during an initial procedure. The presence or absence of fluid (e.g., water) at the target or tissue 33 will impact upon the dielectric polarization. The microwave reflection coefficient will depend upon the dielectric properties of the target 33 and hence as a result the intensity of the reflected microwave signal will depend upon the fluid or water content of the tissue 33 which is being probed. As a result, the fluid or water content of the target or tissue 33 of interest can be determined and in particular areas of the target or tissue 33 which have a suspect fluid or water content can be identified.

35 In the case of lung tissue, for example, microwave reflectometry measurements of the lung tissue enable the total tissue water content of the lung tissue to be determined. This can be useful in determining whether or not potentially cancerous lung tissue may be present. For example, healthy lung tissue may have a first water content (e.g., 77%) and cancerous lung tissue may have a second (higher) water content (e.g., 85%).

40 Furthermore, this approach can be useful in identifying the margins of a tumour in lung tissue. For example, the margins of the tumour may have a third (intermediate) water content (e.g., 82%) which is intermediate between the first water content of healthy tissue (77%) and the second water content of cancerous tissue (85%).

According to embodiments a microwave generator may be used to generate the microwave signal and the frequency of the signal emitted by the microwave generator may be varied from e.g. 5 MHz to e.g. 3 GHz as desired.

5 Embodiments are contemplated wherein the RF or microwave sensor 30 may be set to generate microwaves at a fixed frequency. Other embodiments are contemplated wherein the RF or microwave sensor or device 30 may be arranged to vary the frequency of microwaves which are output by the microwave sensor or device 30. For example, a target or tissue 33 may be probed by scanning the surface with a range of difference  
10 reflected microwaves and then determining the response or profile of the intensity of reflected microwaves as a function of the input frequency of the microwaves.

Malignant tumours may have significantly different dielectric constants to that of normal tissue and the high dielectric contrast may cause malignant tumours to have significantly greater microwave scattering cross sections compared to normal tissue.

15 According to an embodiment microwaves having a frequency in the range 4-10 GHz may be focused and pulsed into tissue 33 (e.g. human breast). Microwave energy will be backscattered upon encountering a tumour since the tumour has a significantly greater dielectric permittivity and conductivity compared to normal tissue. Backscattered energy may be collected or otherwise detected by a sensor or detector. A three dimensional image of the tumour can thus be constructed.

20 It is known that high water content tissue will have a different permittivity response as a function of frequency compared to low water content tissue.

The relative dielectric permittivity  $\epsilon_r$  and conductivity  $\sigma$  of high water content tissue (such as muscle or malignant tumours) is about an order of magnitude higher than lower water content tissue (such as fat or normal breast tissue). This contrast between high and  
25 low water content tissue persists over a wide range of microwave frequencies and enables diseased tissue to be differentiated from healthy tissue.

#### Dielectric property or capacitive sensors

30 Malignant tumours have significantly different dielectric constants to that of normal tissue and the large dielectric contrast causes malignant tumours to have significantly greater microwave scattering cross sections compared to normal tissue.

According to an embodiment a RF or microwave sensor may be provided wherein microwaves having a frequency in the range 4-10 GHz are optionally focused and  
35 optionally pulsed into *in vivo* tissue (e.g. human breast tissue). Microwave energy is backscattered upon encountering a tumour since the tumour has a significantly greater dielectric permittivity and conductivity compared to normal tissue. Backscattered energy may be collected by a sensor. A three dimensional image of the tumour may be constructed.

40 It is known that high water content tissue will have a different permittivity response as a function of frequency compared to low water content tissue.

The relative dielectric permittivity  $\epsilon_r$  and conductivity  $\sigma$  of high water content tissue (such as muscle or malignant tumours) is about an order of magnitude higher than lower water content tissue (such as fat or normal breast tissue). This contrast between high and low water content tissue persists over a wide range of microwave frequencies.

5 It is known that connective tissue has a high water content and cancerous tissue has a relatively lower water content.

Accordingly, embodiments are contemplated wherein one or more dielectric property sensors or capacitive sensors are used to probe a target (e.g. *in vivo* tissue) and the resulting physical data is used to determine either directly or indirectly the water or fluid  
10 content of the target or tissue and hence to determine and identify regions of target or tissue which are potentially diseased, abnormal or otherwise cancerous.

### Contrast agents and nanoparticles

15 The near-infrared ("NIR") may be used to interrogate tissues in combination with NIR excitable dyes or contrast agents.

Various embodiments are contemplated wherein endogenous or exogenous contrast agents may be used to enhance image data, physical data, chemical data or other data which may be acquired according to various embodiments.

20 A number of different contrast agents may be used to enhance image data, physical data, chemical data or other data which, for example, may fluoresce when illuminated with infrared radiation having a wavelength in the range 700-900 nm. The wavelength range 700-900 nm may be considered to comprise a therapeutic window since *in vivo* tissue exhibits a low absorbance in this wavelength range. Absorption occurs primarily  
25 from tissue chromophores of oxy- and deoxyhemoglobin, fat, melanin and water.

It will be understood that the ability to detect potentially abnormal or diseased tissue by imaging, chemical, physical or other techniques depends principally upon there being a contrast between healthy and diseased tissue.

30 Alternatively, abnormal or diseased tissue can be differentiated from healthy tissue on the basis of the two different tissue types having different scattering properties.

Although the wavelength range 700-900 nm is of particular interest due to the low absorbance in this wavelength range, infrared radiation in this wavelength range can also exhibit a relatively high scattering coefficient.

35 Embodiments are contemplated wherein imaging data, chemical data, physical data or other data may be obtained by detecting differences in the scattering of infrared radiation within the wavelength range 700-900 nm between healthy and diseased tissue.

40 Embodiments are also contemplated wherein one or more exogenous contrast agents may be used to analyse *in vivo*, *ex vivo* or *in vitro* tissue samples, biological matter, organic matter (including plastics), one or more bacterial colonies or one or more fungal colonies. According to an embodiment one or more exogenous fluorescence contrast agents may be provided or added to the tissue in order to augment endogenous contrast.

The one or more contrast agents may comprise one or more fluorescent contrast agents.

The one or more contrast agents may comprise one or more visible dyes.

The one or more contrast agents may comprise one or more radiocontrast agents.

5 The one or more contrast agents may comprise one or more optical, near infrared ("NIR"), fluorescent, autofluorescent or diagnostic contrast agents.

According to various embodiments the one or more contrast agents may be selected from the group consisting of: (i) indocyanine green ("ICG") and derivatives or conjugates of indocyanine green including indotricarbocyanine; (ii)  
10 diethylthiatricarbocyanine iodide ("DTTCl") and derivatives or conjugates of diethylthiatricarbocyanine iodide; (iii) rhodamine B and derivatives or conjugates of rhodamine B; (iv) photodynamic therapy ("PDT") agents including hexylpyropheophorbide ("HPPH"); (v) a cyanine dye including Cy 5.5 dyes; and (vi) bifunctional contrast agents.

Indocyanine green ("ICG") is of particular interest since it has FDA approval for  
15 systemic administration. Indocyanine is excited at about 780 nm and emits at 830 nm. Indocyanine green will dissolve in blood and will bind to proteins such as albumin and lipoproteins. ICG is a nonspecific agent and is cleared rapidly from the blood. However, ICG tends to collect in regions of dense vascularity through extravasation. ICG may be administered to a patient at a dose of 0.2 mg/kg intravenously. Derivatives and conjugates  
20 of ICG may also be used.

Various embodiments are contemplated wherein ICG is excited using a 780 nm laser and fluorescent spectra at 830 nm are detected using a gain modulated image intensified charge coupled camera (ICCD).

Other embodiments are contemplated wherein magnetic nanoparticles ("MNPs")  
25 may be used as a contrast agent. The magnetic nanoparticles may comprise ferromagnetic iron oxide i.e. magnetite ( $\text{Fe}_3\text{O}_4$ ) or maghemite ( $\gamma\text{-Fe}_2\text{O}_3$ ) having a diameter in the range 1-100 nm. According to an embodiment the nanoparticles may have a diameter in the range 1-10, 10-20, 20-30, 30-40, 40-50, 50-60, 60-70, 70-80, 80-90 or 90-100 nm. In particular, various embodiments are contemplated wherein nanoparticles  
30 having a core diameter in the range of 5-15 nm may be used as contrast agents. In particular, as the size of the nanoparticles is reduced then the characteristics of the nanoparticles changes from having multi-domain ferromagnetic characteristics to having single domain characteristics and finally to having superparamagnetic characteristics. In particular, small nanoparticles having a diameter in the range 5-15 nm exhibit  
35 superparamagnetic properties having no hysteresis losses and will generate heat as a result of relaxational losses, mainly Néel relaxation loss. The inherent ferromagnetic properties of magnetic nanoparticles provides contrast enhancement with magnetic resonance ("MR") imaging. For example, accumulation of magnetic nanoparticles in brain tumours appears as a hypointensity on T2-weighted imaging including gradient echo  
40 imaging.

Magnetic nanoparticles may also be functionalised to target cancer cells thereby enabling cancerous tissue to be identified by magnetic resonance imaging.

According to an embodiment ultrasmall superparamagnetic iron oxide nanoparticles (“USPIONPs”) may be used.

5 In addition to using nanoparticles to accumulate within cancerous tissue, according to further embodiments the nanoparticles may be heated by applying a magnetic field and in particular an alternating magnetic field (“AMF”) which produces heat via relaxational loss via the Brownian Néel relaxation process or by hysteresis loss. As a result, potentially cancerous tissue can be identified on the basis of having an elevated or hyperthermic temperature relative to surrounding normal healthy tissue. Accordingly, thermal detection techniques in conjunction with the heating of nanoparticles which have accumulated in cancerous tissue may be used to visualise, image or target potentially cancerous tissue.

10 Further embodiments are contemplated wherein nanoparticles which have accumulated in cancerous tissue may be heated up to temperatures  $> 40\text{ }^{\circ}\text{C}$  in order to selectively target and kill cancerous cells. For example, heating cancerous cells to a temperature around  $45\text{ }^{\circ}\text{C}$  can cause cancer cells to undergo apoptosis or necrosis. Furthermore, locally heating cancerous cells can increase the blood flow to the cancerous cells which can, for example, result in an improved delivery of chemotherapeutic agents to the cancerous cells. Also, cancer cells are more heat sensitive than normal tissue and so heat can be selectively applied to cancer cells in order to kill cancer cells without damaging surrounding normal or healthy tissue.

20 According to an embodiment the nanoparticles may comprise a polysiloxane matrix (Si) wherein chelating species such as diethylene triamine pentaacetic acid (DTPA) at the surface of the particles allows the complexation of metallic elements such as gadolinium (Gd), silicon (Si), calcium (Ca) and iron (Fe).

25 According to other embodiments the nanoparticles may be heated by radiofrequency capacitive heating wherein, for example, an alternating electrical current at 8 MHz may be applied and the temperature of tissues located between the electrodes increases. Magnetite cationic liposomes (MCLs) may be used and when injected into cancer cells the cancerous tissue may reach a temperature which is  $2\text{-}3\text{ }^{\circ}\text{C}$  above that of healthy tissue.

30 Other embodiments are contemplated wherein antibodies containing a ferromagnetic component may be used as a contrast agent.

The one or more contrast agents may be exogenous or endogenous to the target. As is well known, fluorophores may be activated to an excited state by absorbing a photon and may then relax to a ground state in a non-radiative manner. Alternatively, the fluorophore may relax to the ground state in a radiative (fluorescence) manner. The fluorescence lifetime  $\tau$  is equivalent to the mean time that a fluorophore remains in its activated state and the quantum efficiency is the proportion of relaxations which occur radiatively.

40 Other mechanisms are known wherein the excited state can undergo intersystem crossing to an intermediate excited state wherein the spin state of the electron is flipped and the relaxation of the intermediate excited state is forbidden until the electron spin is

reversed. The lifetimes of the intermediate excited state may be of the order of microseconds to milliseconds and are termed phosphorescence.

5 Fluorescence radiative decay can be affected by pH, oxygenation, free ion concentrations, glucose and other analytes. Fluorescence can therefore provide an optical imaging ability which is not otherwise directly detectable.

According to an embodiment the fluorescence spectra of tissue may be analysed in order to determine the pH, oxygenation level or quantum efficiency of the tissue.

10 Other embodiments are contemplated wherein gamma ray imaging may be performed and optionally a technetium-99 sulfur colloid may be injected into the target tissue for analysis.

According to various embodiments gold nanoparticles ("Au NPs" or "GNPs") may be used as contrast agents. Gold nanoparticles may be formed by a laser ablation method wherein a gold target in water is subjected to pulsed laser irradiation. Colloidal gold can also be prepared by citrate reduction. Various other physical methods of producing gold nanoparticles are known including inert gas condensation, thermolysis of gold(I) complex, radiolysis of gold salts, photochemistry and sonochemistry. Chemical methods of producing gold nanoparticles are known including emulsification, reduction of gold ions in the presence of a dispersant, seed-mediated growth, use of reverse micelles and phase transfer reactions. Gold nanoparticles may also be biosynthesised by certain types of fungi including *Fusarium oxysporum*, *Verticillium sp.* and *Colletotrichum sp.* Gold nanoparticles have also been synthesized within HEK-293, HeLa, SiHa and SKNSH cells.

20 Gold nanoparticles may be readily functionalised generally through thiol linkages to provide functionalised gold nanoparticles (fGNPs) The surface of gold nanoparticles may be functionalised with e.g. cyclodextrin as a drug pocket having hydrophobic cavities, antibodies as a targeting moiety and poly(ethleneglycol) (PEG) as an anti-fouling shell. Anti-cancer drugs may be encapsulated into the hydrophobic cavity of the cyclodextrin and the gold nanoparticles may therefore be used as a drug delivery system (DDS).

According to various embodiments gold nanoparticles and in particular functionalised gold nanoparticles as described above may be used as contrast agents.

30 Gold nanoparticles cause local heating when irradiated with light (800-1200 nm) and hence gold nanoparticles may be used in the photothermal destruction of tumours according to various embodiments.

35 Plasmonic gold nanoparticles may be used for cancer diagnosis and photothermal therapy. Surface plasmon resonance ("SPR") leads to strong electromagnetic fields on the surface of gold nanoparticles which enhances all radiative properties such as absorption and scattering. In particular, Raman scattering is enhanced. Additionally, strongly absorbed light may be quickly converted to heat via a series of nonradiative processes.

40 Gold nanoparticles can be optically tuned by shape and structure and for example gold nanorods having different optical properties to gold nanospheres can be produced. The aspect ratio can be precisely controlled by changing experimental parameters in a seed-mediation growth method.



Gold nanoshells (comprising a silica core around 100 nm with a thin shell of gold a few nanometers thick) and gold nanocages may also be produced. Gold nanospheres, nanorods, nanostars and nanoshells may be used as contrast agents according to various embodiments.

5 According to an embodiment gold nanoparticles may be used for cancer imaging. It is known that gold nanoparticles scatter strongly and the scattering properties depend upon the size, shape and structure of the nanoparticles. According to an embodiment gold nanoparticles having a diameter 30-100 nm may be used. Such nanoparticles scatter intensely and can be detected using a microscope under dark field illumination conditions.

10 The gold nanoparticles may be conjugated with, for example, anti-epidermal growth factor receptors (anti-EGFR) antibodies (or other antibodies) to recognise the EGFR proteins (or other proteins) of cancer cells and tissues. The regular or well organised scattering pattern of nanoparticles bound to cancer cells can be readily distinguished from the random distribution of nanoparticles around healthy cells and this difference in  
15 scattering pattern may be utilised according to various embodiments.

The nanoparticles may be excited by white light from a halogen lamp.

According to an embodiment, functionalised gold nanoparticles may be distributed across the surface of a target (such as biological *in vivo* or *ex vivo* tissue) and the gold nanoparticles may preferentially bind to cancerous cells. As a result, cancerous regions of  
20 tissue can be identified by illuminating the target and either analysing the scattering pattern or measuring the scattered intensity of light.

For example, gold nanoparticles may have a strong surface plasmon resonance ("SPR") around 540 nm on the cell monolayer with the result that the nanoparticles scatter strongly in the green to yellow range of the visible spectrum. Similarly, gold nanorods may  
25 be constructed which exhibit a strong surface plasmon resonance ("SPR") around 800 nm giving an intense red colour.

Accordingly, gold nanoparticles may be used as imaging, physical or chemical contrast agents according to various embodiments.

30 Surface plasmon resonance ("SPR") effects also enhance the Raman scattering of adjacent molecules because the Raman intensity is directly proportional to the square of the field intensity imposed on the molecules. This phenomenon is termed as surface enhanced Raman scattering ("SERS").

According to an embodiment gold nanoparticles may be utilised in order to enhance Raman scattering of adjacent molecules. The gold nanoparticles may be either symmetric  
35 or asymmetric. According to an embodiment the gold nanoparticles may be asymmetric (e.g. nanorods) since asymmetric nanoparticles provide a larger Raman enhancement due to the lightning rod effect.

One particular advantage of using gold nanoparticles and surface enhance Raman scattering is that this approach greatly enhances detection sensitivity and decreases signal  
40 acquisition time.

According to another embodiment a Raman tag may be used as a spectroscopic imaging probe. The Raman tag may comprise organic dye molecules with aromatic

structures which have relatively high Raman cross sections. Its fluorescence is quenched when they are adsorbed on to metallic nanoparticles and thus Raman signals are able to be detected.

5 The Raman tags may be physically adsorbed or chemically conjugated with both Raman tag and cancer targeting ligands.

According to other embodiments levan nanoparticles may be utilised for targeted cancer imaging. Levan is a biocompatible carbohydrate polymer that consists of  $\beta$ -D-fructofuranose attached by  $\beta$ -(2,6) linkages and is used in biomedical applications. According to an embodiment Indocyanine green (ICG) may be encapsulated in levan  
10 nanoparticles by self-assembly and the levan-ICG nanoparticles may be used for cancer imaging.

Various embodiments are contemplated wherein a target which may comprise biological tissue may be subjected to Raman or laser imaging (transmission or fluorescence) using nanoparticles such as gold nanoparticles are described above as  
15 contrast agents. One or more regions of interest may then be identified and the regions of interest may then be subjected to analysis using a first device to generate aerosol, smoke or vapour. The first device may comprise an ambient ionisation ion source such as a rapid evaporative ionisation mass spectrometry ("REIMS") ion source.

Other embodiments are contemplated wherein chemical tags (such as luminescent  
20 tags) may be used in combination with an ambient ionisation ion source such as a rapid evaporative ionisation mass spectrometry ("REIMS") ion source. For example, according to an embodiment a luminescent imaging, physical or chemical contrast agent may be modified with the inclusion of a ligand that is readily ionisable by an ambient ionisation ion source such as a rapid evaporative ionisation mass spectrometry ("REIMS") ion source.  
25 The contrast agents, tags or nanoparticles may be detected by mass spectrometry if an undesired (or desired) target or undesired (or desired) tissue is ablated. The tagging chemical may have fluorescent, magnetic, chemical, physical or other imaging properties and a part of the molecule may be arranged so as to ionise well for mass spectrometry analysis. For example, as described above, Indocyanine green (ICG) may be  
30 encapsulated into levan nanoparticles or more generally in functionalised nanoshells which are functionalised so as to target cancerous tissue or other undesired target material. Embodiments are contemplated wherein ICG (or other chemicals) which may be encapsulated within functionalised nanoparticles or nanoshells (which may be functionalised so as to target cancerous tissue) may be detected by mass spectrometry.  
35 Other embodiments are contemplated wherein one or more different markers other than ICG may be encapsulated into nanoparticles which target cancerous tissue. These one or more markers may then identified by mass spectrometry and a determination may be made that the tissue which is currently being analysed comprises cancerous tissue or otherwise comprises undesired target material.

40 Embodiments are contemplated wherein target experiments may be performed wherein a target is subjected to mass spectrometry analysis with a view to seeking to identify portions of target or tissue which include (or conversely do not include) a contrast

agent, chemical tag, marker or nanoparticle wherein the contrast agent, chemical tag, marker or nanoparticle has been functionalised so as to target a particular target e.g. cancerous tissue. According to various embodiment identifying the presence of the contrast agent, chemical tag, marker or nanoparticle thereby enables a determination to be made that the target or tissue which is currently being analysed comprises cancerous tissue (or otherwise desired or undesired target material).

According to an embodiment the step of using physical or other non-mass spectrometric data to determine one or more regions of interest may comprise the use of targeted nanoparticles containing or comprising a metal which is intended to change the electrical impedance of a targeted tissue type. As detailed above, metallic nanoparticles may be functionalised so that they adhere to specific types of tissue or other surfaces. One or more regions of interest of a target may be identified by determining one or more regions of a target (e.g., tissue) having a different impedance to other target areas due to the presence of targeted or functionalised nanoparticles which preferentially adhere to certain specific target areas (e.g., cancerous tissue).

#### Photothermal therapy (PTT)

Gold nanoparticles absorb light much more strongly than organic dye molecules. Nearly 100% adsorbed light is converted to heat via nonradiative properties. Accordingly, gold nanoparticles may be used as photothermal contrast agents for photothermal therapy wherein photon energy is converted to heat sufficient to induce cellular damage via thermal effects such as hyperthermia, coagulation and evaporation.

Photothermal therapy may be performed using spherical gold nanoparticles in conjunction with either pulsed or continuous wave lasers.

Nanosecond pulsed lasers may be used in conjunction with PTT to provide highly selective and localised damage to cancer cells without affecting neighbouring healthy cells which may be only a few nanometers to tens of micrometers away.

For *in vivo* therapy of tumours under the skin or deeply seated tumours within tissue near infrared (NIR) light may be used because of its deep penetration ability due to minimal absorption by hemoglobin and water molecules.

According to an embodiment PEGylated gold nanoshells may be used in conjunction with an ambient ionisation ion source since the absorption of gold nanoshells can be tuned to the NIR region. A continuous wave (cw) diode laser e.g. emitting at 820 nm with an irradiance of e.g. 35 W/cm<sup>2</sup> for 4 mins may be used to illuminate the gold nanoshells in order to cause cancer cell death of targeted cells.

The gold nanoshells may according to various embodiments be injected into the blood stream of a patient or spread upon the surface of a target or tissue sample.

Other embodiments are contemplated wherein PTT may be performed using gold nanorods. According to an embodiment a cw Ti:Sapphire laser emitting at 800 nm may be used in conjunction with gold nanorods.

According to an embodiment the target may be illuminated with either linearly polarized light or circularly polarized light. Illuminating gold nanorods with circularly polarized light is particularly beneficial as the light absorption by gold nanorods is enhanced leading to an ultra-low energy threshold for cancer killing.

5 It has been determined that a laser fluence of  $30 \text{ J/cm}^2$  can result in an increase in temperature of the cells by about  $10 \text{ }^\circ\text{C}$  which is sufficient to induce heat-stress cell death. Accordingly, a laser fluence of  $30 \text{ J/cm}^2$  may be utilised according to various embodiments.

10 According to an embodiment gold nanorods may be conjugated to methoxy-poly (ethylene-glycol)-thiol having an average MW 5,000 (mPEG-SH-5000) and may be injected into a patient either intravenously and/or subcutaneously. Tumours or cancerous cells can be identified using transmission imaging of a NIR laser with a camera due to the NIR light absorption by the nanorods in the tumour.

#### Magnetic resonance elastography ("MRE")

15 Magnetic resonance elastography (MRE) is an experimental method of imaging propagating mechanical waves using MRI that can emulate palpation but with quantitative stiffness information for tissue characterization. MRE may be used in anatomic locations which are not manually accessible like the brain. It is accomplished by synchronising motion-sensitive phase contrast MRI sequences during the application of acoustic waves. The frequency of the acoustic waves is in the range of 100 Hz to 1 kHz. MRE creates  
20 images of propagating shear waves with variable wavelengths that are a function of the tissue shear modulus. The wavelength can be calculated by measuring the distances between black lines that show the waves in the MR image. The shear modulus and hence the stiffness of the tissue can be calculated to create a shear modulus map.

25 *Ex vivo* studies using hyperpolarized  $^3\text{He}$ , a noble gas used in lung studies, have demonstrated the feasibility of performing MRE in the lung. In this case it is the gas in the alveolar spaces and not the lung parenchyma that is used to measure the shear wave propagation.

30 Various embodiments are contemplated wherein magnetic resonance elastography may be utilised in order to determine one or more regions of interest in a target (e.g., biological tissue).

#### Further ultrasound methods

35 Transrectal ultrasound is used for the diagnosis and guiding of biopsy or prostate cancer as well as endoscopic ultrasound for lesion ID and also for biopsy. According to various embodiment rapid evaporative ionisation mass spectrometry ("REIMS") may be used as an intelligent biopsy device providing immediate feedback of results.

40 According to various embodiments capacitive micromachined ultrasonic transducer (CMUT) arrays may be utilised. The arrays may be provided on silicon substrates for non-invasive focused ultrasound ablation of lower abdominal cancers under MR guidance.

According to other embodiments endoscopic ultrasound guidance of brachytherapy may be performed using porous silicon microspheres containing phosphorus-32 introduced into the pancreas.

5 Acoustic radiation force impulse imaging

Acoustic radiation force impulse (ARFI) imaging has been shown to provide information about the mechanical properties of tissues. ARFI imaging may be used for the guiding of RF ablation of liver procedures.

10 Various embodiments are contemplated wherein acoustic radiation force impulse (ARFI) imaging may be utilised in order to determine one or more regions of interest in a target (e.g., biological tissue).

High intensity focused ultrasound

15

Ultrasound elastography measures and displays tissue strain. Strain is the change in the dimension of tissue elements in different areas in a region of interest. Elastography uses ultrasound measurements made before and after a slight compression of tissue using a transducer. Sonoelastography is an in situ method that can be used to assess the mechanical properties of soft tissue qualitatively and quantitatively through ultrasonic ("US") imaging techniques.

20

Various embodiments are contemplated wherein ultrasound elastography may be utilised in order to determine one or more regions of interest in a target (e.g., biological tissue).

25

Photo and thermo acoustic imaging

Thermo- and photo-acoustic imaging systems using hybrid imaging techniques may be used according to various embodiments which are able to combine the high contrast in microwave, RF and light absorption between healthy and tumour tissues with the high resolution of ultrasound. Due to increased haemoglobin and ionic water content tumour masses preferentially absorb more electromagnetic energy, heat and expand more quickly than nearby healthy tissue. These masses act as internal acoustic sources that create pressure waves. Ultrasound transducers surrounding the object may be used to detect the pressure waves.

30

35

Various embodiments are contemplated wherein thermo- and photo-acoustic imaging may be utilised in order to determine one or more regions of interest in a target (e.g., biological tissue).

40 Electrical impedance tomography

Electrical impedance tomography ("EIT") determines the electrical conductivity and permittivity distribution in the interior of a body from measurements made on its surface.

Conducting electrodes are attached to the skin of the subject and small currents are applied to some or all of the electrodes and the corresponding electrical potentials are measured. EIT imaging in the body is based around measuring the impedance of tissues made up of cells, membranes and fluids. Various embodiments are contemplated wherein  
5 EIT may be utilised in order to determine regions of interest in a target (e.g. tissue) prior to analysis by an ambient ionisation ion source.

Cells and membranes have a high resistivity and act as small imperfect capacitors and contribute a frequency dependence. Fluids provide the resistive component of the impedance that has a frequency dependence only for liquids outside the cells.

10 High frequencies of the order a MHz show only the resistive component due to conduction through intracellular and extracellular fluids. Low frequencies in the range of a few Hz to several kHz cause the membranes to impede the flow of current and can be used to measure dimensions, shapes and electrical properties of cells.

Two types of imaging are possible: difference imaging and absolute imaging.  
15 Difference imaging is able to relate to changes in blood volume or cell size. Absolute imaging is more difficult as it needs to account for changes in electrode impedance and channel noise.

Prototype breast imagers have been developed that look for differences in bioimpedance that can differentiate malignant from benign lesions.

20

#### Analysing sample spectra

A list of analysis techniques which are intended to fall within the scope of the present invention are given in the following table:

25

Analysis Techniques
Univariate Analysis
Multivariate Analysis
Principal Component Analysis (PCA)
Linear Discriminant Analysis (LDA)
Maximum Margin Criteria (MMC)
Library Based Analysis
Soft Independent Modelling Of Class Analogy (SIMCA)
Factor Analysis (FA)
Recursive Partitioning (Decision Trees)
Random Forests
Independent Component Analysis (ICA)
Partial Least Squares Discriminant Analysis (PLS-DA)
Orthogonal (Partial Least Squares) Projections To Latent Structures (OPLS)
OPLS Discriminant Analysis (OPLS-DA)
Support Vector Machines (SVM)

(Artificial) Neural Networks
Multilayer Perceptron
Radial Basis Function (RBF) Networks
Bayesian Analysis
Cluster Analysis
Kernelized Methods
Subspace Discriminant Analysis
K-Nearest Neighbours (KNN)
Quadratic Discriminant Analysis (QDA)
Probabilistic Principal Component Analysis (PPCA)
Non negative matrix factorisation
K-means factorisation
Fuzzy c-means factorisation
Discriminant Analysis (DA)

Combinations of the foregoing analysis approaches can also be used, such as PCA-LDA, PCA-MMC, PLS-LDA, etc.

Analysing the sample spectra can comprise unsupervised analysis for dimensionality reduction followed by supervised analysis for classification.

By way of example, a number of different analysis techniques will now be described in more detail.

#### Multivariate analysis - developing a model for classification

By way of example, a method of building a classification model using multivariate analysis of plural reference sample spectra will now be described.

Fig. 4 shows a method of building a classification model using multivariate analysis. In this example, the method comprises a step of obtaining plural sets of intensity values for reference sample spectra. The method then comprises a step of unsupervised principal component analysis (PCA) followed by a step of supervised linear discriminant analysis (LDA). This approach may be referred to herein as PCA-LDA. Other multivariate analysis approaches may be used, such as PCA-MMC. The PCA-LDA model is then output, for example to storage, in step 1508.

The multivariate analysis such as this can provide a classification model that allows an aerosol, smoke or vapour sample to be classified using one or more sample spectra obtained from the aerosol, smoke or vapour sample. The multivariate analysis will now be described in more detail with reference to a simple example.

Fig. 5 shows a set of reference sample spectra obtained from two classes of known reference samples. The classes may be any one or more of the classes of target described herein. However, for simplicity, in this example the two classes will be referred as a left-hand class and a right-hand class.

Each of the reference sample spectra has been pre-processed in order to derive a set of three reference peak-intensity values for respective mass to charge ratios in that reference sample spectrum. Although only three reference peak-intensity values are shown, it will be appreciated that many more reference peak-intensity values (e.g., ~ 100 reference peak-intensity values) may be derived for a corresponding number of mass to charge ratios in each of the reference sample spectra. In other embodiments, the reference peak-intensity values may correspond to: masses; mass to charge ratios; ion mobilities (drift times); and/or operational parameters.

Fig. 6 shows a multivariate space having three dimensions defined by intensity axes. Each of the dimensions or intensity axes corresponds to the peak-intensity at a particular mass to charge ratio. Again, it will be appreciated that there may be many more dimensions or intensity axes (e.g., ~ 100 dimensions or intensity axes) in the multivariate space. The multivariate space comprises plural reference points, with each reference point corresponding to a reference sample spectrum, i.e., the peak-intensity values of each reference sample spectrum provide the co-ordinates for the reference points in the multivariate space.

The set of reference sample spectra may be represented by a reference matrix D having rows associated with respective reference sample spectra, columns associated with respective mass to charge ratios, and the elements of the matrix being the peak-intensity values for the respective mass to charge ratios of the respective reference sample spectra.

In many cases, the large number of dimensions in the multivariate space and matrix D can make it difficult to group the reference sample spectra into classes. PCA may accordingly be carried out on the matrix D in order to calculate a PCA model that defines a PCA space having a reduced number of one or more dimensions defined by principal component axes. The principal components may be selected to be those that comprise or "explain" the largest variance in the matrix D and that cumulatively explain a threshold amount of the variance in the matrix D.

Fig. 7 shows how the cumulative variance may increase as a function of the number n of principal components in the PCA model. The threshold amount of the variance may be selected as desired.

The PCA model may be calculated from the matrix D using a non-linear iterative partial least squares (NIPALS) algorithm or singular value decomposition, the details of which are known to the skilled person and so will not be described herein in detail. Other methods of calculating the PCA model may be used.

The resultant PCA model may be defined by a PCA scores matrix S and a PCA loadings matrix L. The PCA may also produce an error matrix E, which contains the variance not explained by the PCA model. The relationship between D, S, L and E may be:

$$D = SL^T + E \quad (2)$$

Fig. 8 shows the resultant PCA space for the reference sample spectra of Figs. 5 and 6. In this example, the PCA model has two principal components PC<sub>0</sub> and PC<sub>1</sub> and the



PCA space therefore has two dimensions defined by two principal component axes. However, a lesser or greater number of principal components may be included in the PCA model as desired. It is generally desired that the number of principal components is at least one less than the number of dimensions in the multivariate space.

5           The PCA space comprises plural transformed reference points or PCA scores, with each transformed reference point or PCA score corresponding to a reference sample spectrum of Fig. 5 and therefore to a reference point of Fig. 6.

          As is shown in Fig. 8, the reduced dimensionality of the PCA space makes it easier to group the reference sample spectra into the two classes. Any outliers may also be  
10 identified and removed from the classification model at this stage.

          Further supervised multivariate analysis, such as multi-class LDA or maximum margin criteria (MMC), in the PCA space may then be performed so as to define classes and, optionally, further reduce the dimensionality.

          As will be appreciated by the skilled person, multi-class LDA seeks to maximise the  
15 ratio of the variance between classes to the variance within classes (i.e., so as to give the largest possible distance between the most compact classes possible). The details of LDA are known to the skilled person and so will not be described herein in detail.

          The resultant PCA-LDA model may be defined by a transformation matrix U, which may be derived from the PCA scores matrix S and class assignments for each of the  
20 transformed spectra contained therein by solving a generalised eigenvalue problem.

          The transformation of the scores S from the original PCA space into the new LDA space may then be given by:

$$Z = SU \tag{3}$$

25

wherein the matrix Z contains the scores transformed into the LDA space.

          Fig. 9 shows a PCA-LDA space having a single dimension or axis, wherein the LDA is performed in the PCA space of Fig. 8. As is shown in Fig. 9, the LDA space comprises plural further transformed reference points or PCA-LDA scores, with each further  
30 transformed reference point corresponding to a transformed reference point or PCA score of Fig. 8.

          In this example, the further reduced dimensionality of the PCA-LDA space makes it even easier to group the reference sample spectra into the two classes. Each class in the PCA-LDA model may be defined by its transformed class average and covariance matrix or  
35 one or more hyperplanes (including points, lines, planes or higher order hyperplanes) or hypersurfaces or Voronoi cells in the PCA-LDA space.

          The PCA loadings matrix L, the LDA matrix U and transformed class averages and covariance matrices or hyperplanes or hypersurfaces or Voronoi cells may be output to a database for later use in classifying an aerosol, smoke or vapour sample.

40           The transformed covariance matrix in the LDA space  $V'_g$  for class g may be given by:

$$V'_g = U^T V_g U \quad (4)$$

wherein  $V_g$  are the class covariance matrices in the PCA space.

The transformed class average position  $z_g$  for class  $g$  may be given by:

5

$$s_g U = z_g \quad (5)$$

wherein  $s_g$  is the class average position in the PCA space.

## 10 Multivariate analysis - using a model for classification

By way of example, a method of using a classification model to classify an aerosol, smoke or vapour sample will now be described.

15 Fig. 10 shows a method 2100 of using a classification model. In this example, the method comprises a step 2102 of obtaining a set of intensity values for a sample spectrum. The method then comprises a step 2104 of projecting the set of intensity values for the sample spectrum into PCA-LDA model space. Other classification model spaces may be used, such as PCA-MMC. The sample spectrum is then classified at step 2106 based on the project position and the classification is then output in step 2108.

20 Classification of an aerosol, smoke or vapour sample will now be described in more detail with reference to the simple PCA-LDA model described above.

Fig. 11 shows a sample spectrum obtained from an unknown aerosol, smoke or vapour sample. The sample spectrum has been pre-processed in order to derive a set of three sample peak-intensity values for respective mass to charge ratios. As mentioned  
25 above, although only three sample peak-intensity values are shown, it will be appreciated that many more sample peak-intensity values (e.g., ~ 100 sample peak-intensity values) may be derived at many more corresponding mass to charge ratios for the sample spectrum. Also, as mentioned above, in other embodiments, the sample peak-intensity values may correspond to: masses; mass to charge ratios; ion mobilities (drift times);  
30 and/or operational parameters.

The sample spectrum may be represented by a sample vector  $d_x$ , with the elements of the vector being the peak-intensity values for the respective mass to charge ratios. A transformed PCA vector  $s_x$  for the sample spectrum can be obtained as follows:

$$35 \quad d_x L = s_x \quad (6)$$

Then, a transformed PCA-LDA vector  $z_x$  for the sample spectrum can be obtained as follows:

$$40 \quad s_x U = z_x \quad (7)$$

Fig. 12 again shows the PCA-LDA space of Fig. 9. However, the PCA-LDA space

of Fig. 12 further comprises the projected sample point, corresponding to the transformed PCA-LDA vector  $\mathbf{z}_x$ , derived from the peak intensity values of the sample spectrum of Fig. 11.

5 In this example, the projected sample point is to one side of a hyperplane between the classes that relates to the right-hand class, and so the aerosol, smoke or vapour sample may be classified as belonging to the right-hand class.

Alternatively, the Mahalanobis distance from the class centres in the LDA space may be used, where the Mahalanobis distance of the point  $\mathbf{z}_x$  from the centre of class  $g$  may be given by the square root of:

10

$$(\mathbf{z}_x - \mathbf{z}_g)^T (\mathbf{V}'_g)^{-1} (\mathbf{z}_x - \mathbf{z}_g) \quad (8)$$

and the data vector  $\mathbf{d}_x$  may be assigned to the class for which this distance is smallest.

15 In addition, treating each class as a multivariate Gaussian, a probability of membership of the data vector to each class may be calculated.

#### Library Based Analysis - Developing a Library for Classification

20 By way of example, a method of building a classification library using plural input reference sample spectra will now be described.

Fig. 13 shows a method 2400 of building a classification library. In this example, the method comprises a step 2402 of obtaining plural input reference sample spectra and a step 2404 of deriving metadata from the plural input reference sample spectra for each class of sample. The method then comprises a step 2406 of storing the metadata for each class of sample as a separate library entry. The classification library is then output, for example to electronic storage, in step 2408.

25 A classification library such as this allows an aerosol, smoke or vapour sample to be classified using one or more sample spectra obtained from the aerosol, smoke or vapour sample. The library based analysis will now be described in more detail with reference to an example.

In this example, each entry in the classification library is created from plural pre-processed reference sample spectra that are representative of a class. In this example, the reference sample spectra for a class are pre-processed according to the following procedure:

35 First, a re-binning process is performed. In this embodiment, the data are resampled onto a logarithmic grid with abscissae:

$$x_i = \left\lfloor N_{chan} \log \frac{m}{M_{min}} / \log \frac{M_{max}}{M_{min}} \right\rfloor \quad (9)$$

40 wherein  $N_{chan}$  is a selected value and  $\lfloor x \rfloor$  denotes the nearest integer below  $x$ . In one example,  $N_{chan}$  is  $2^{12}$  or 4096.

Then, a background subtraction process is performed. In this embodiment, a cubic

spline with  $k$  knots is then constructed such that  $p\%$  of the data between each pair of knots lies below the curve. This curve is then subtracted from the data. In one example,  $k$  is 32. In one example,  $p$  is 5. A constant value corresponding to the  $q\%$  quantile of the intensity subtracted data is then subtracted from each intensity. Positive and negative values are retained. In one example,  $q$  is 45.

Then, a normalisation process is performed. In this embodiment, the data are normalised to have mean  $\bar{y}_i$ . In one example,  $\bar{y}_i = 1$ .

An entry in the library then consists of metadata in the form of a median spectrum value  $\mu_i$  and a deviation value  $D_i$  for each of the  $N_{chan}$  points in the spectrum.

The likelihood for the  $i$ 'th channel is given by:

$$Pr(y_i|\mu_i, D_i) = \frac{1}{D_i} \frac{C^{C-1/2} \Gamma(C)}{\sqrt{\pi} \Gamma(C-1/2)} \frac{1}{\left(C + \frac{(y_i - \mu_i)^2}{D_i^2}\right)^C} \quad (10)$$

where  $1/2 \leq C < \infty$  and where  $\Gamma(C)$  is the gamma function.

The above equation is a generalised Cauchy distribution which reduces to a standard Cauchy distribution for  $C = 1$  and becomes a Gaussian (normal) distribution as  $C \rightarrow \infty$ . The parameter  $D_i$  controls the width of the distribution (in the Gaussian limit  $D_i = \sigma_i$  is simply the standard deviation) while the global value  $C$  controls the size of the tails.

In one example,  $C$  is  $3/2$ , which lies between Cauchy and Gaussian, so that the likelihood becomes:

$$Pr(y_i|\mu_i, D_i) = \frac{3}{4} \frac{1}{D_i} \frac{1}{(3/2 + (y_i - \mu_i)^2 / D_i^2)^{3/2}} \quad (11)$$

For each library entry, the parameters  $\mu_i$  are set to the median of the list of values in the  $i$ 'th channel of the input reference sample spectra while the deviation  $D_i$  is taken to be the interquartile range of these values divided by  $\sqrt{2}$ . This choice can ensure that the likelihood for the  $i$ 'th channel has the same interquartile range as the input data, with the use of quantiles providing some protection against outlying data.

### 30 Library based analysis - using a library for classification

By way of example, a method of using a classification library to classify an aerosol, smoke or vapour sample will now be described.

Fig. 14 shows a method 2500 of using a classification library. In this example, the method comprises a step 2502 of obtaining a set of plural sample spectra. The method then comprises a step 2504 of calculating a probability or classification score for the set of plural sample spectra for each class of sample using metadata for the class entry in the classification library. The sample spectra are then classified at step 2506 and the classification is then output in step 2508.

Classification of an aerosol, smoke or vapour sample will now be described in more detail with reference to the classification library described above.

In this example, an unknown sample spectrum  $y$  is the median spectrum of a set of plural sample spectra. Taking the median spectrum  $y$  can protect against outlying data on a channel by channel basis.

The likelihood  $L_s$  for the input data given the library entry  $s$  is then given by:

$$L_s = Pr(y|\mu, D) = \prod_{i=1}^{N_{chan}} Pr(y_i|\mu_i, D_i) \quad (12)$$

wherein  $\mu_i$  and  $D_i$  are, respectively, the library median values and deviation values for channel  $i$ . The likelihoods  $L_s$  may be calculated as log likelihoods for numerical safety.

The likelihoods  $L_s$  are then normalised over all candidate classes ' $s$ ' to give probabilities, assuming a uniform prior probability over the classes. The resulting probability for the class  $\tilde{s}$  is given by:

$$Pr(\tilde{s}|y) = \frac{L_{\tilde{s}}^{(1/F)}}{\sum_s L_s^{(1/F)}} \quad (13)$$

The exponent  $(1/F)$  can soften the probabilities which may otherwise be too definitive. In one example,  $F = 100$ . These probabilities may be expressed as percentages, e.g., in a user interface.

Alternatively, RMS classification scores  $R_s$  may be calculated using the same median sample values and derivation values from the library:

$$R_s(y, \mu, D) = \sqrt{\frac{1}{N_{chan}} \sum_{i=1}^{N_{chan}} \frac{(y_i - \mu_i)^2}{D_i^2}} \quad (14)$$

Again, the scores  $R_s$  are normalised over all candidate classes ' $s$ '.

The aerosol, smoke or vapour sample may then be classified as belonging to the class having the highest probability and/or highest RMS classification score.

### Multivariate analysis of physical data

Various further embodiments are contemplated wherein the physical data, chemical data, imaging data or other data may itself be subjected to multivariate analysis in order to assist, for example, in the identification of the target and/or to filter out outliers.

### Methods of medical treatment, surgery and diagnosis and non-medical methods

Various different embodiments are contemplated. According to some embodiments the methods disclosed above may be performed on *in vivo*, *ex vivo* or *in vitro* tissue. The tissue may comprise human or non-human animal tissue. Embodiments are contemplated

wherein the target may comprise biological tissue, a bacterial or fungal colony or more generally an organic target such as a plastic).

5 Various embodiments are contemplated wherein analyte ions generated by an ambient ionisation ion source are then subjected either to: (i) mass analysis by a mass analyser such as a quadrupole mass analyser or a Time of Flight mass analyser; (ii) ion mobility analysis (IMS) and/or differential ion mobility analysis (DMA) and/or Field Asymmetric Ion Mobility Spectrometry (FAIMS) analysis; and/or (iii) a combination of firstly (or vice versa) ion mobility analysis (IMS) and/or differential ion mobility analysis (DMA) and/or Field Asymmetric Ion Mobility Spectrometry (FAIMS) analysis followed by secondly  
10 (or vice versa) mass analysis by a mass analyser such as a quadrupole mass analyser or a Time of Flight mass analyser. Various embodiments also relate to an ion mobility spectrometer and/or mass analyser and a method of ion mobility spectrometry and/or method of mass analysis. Ion mobility analysis may be performed prior to mass to charge ratio analysis or vice versa.

15 Various references are made in the present application to mass analysis, mass analysers, mass analysing, mass spectrometric data, mass spectrometers and other related terms referring to apparatus and methods for determining the mass or mass to charge of analyte ions. It should be understood that it is equally contemplated that the present invention may extend to ion mobility analysis, ion mobility analysers, ion mobility  
20 analysing, ion mobility data, ion mobility spectrometers, ion mobility separators and other related terms referring to apparatus and methods for determining the ion mobility, differential ion mobility, collision cross section or interaction cross section of analyte ions. Furthermore, it should also be understood that embodiments are contemplated wherein analyte ions may be subjected to a combination of both ion mobility analysis and mass  
25 analysis i.e. that both (a) the ion mobility, differential ion mobility, collision cross section or interaction cross section of analyte ions together with (b) the mass to charge of analyte ions is determined. Accordingly, hybrid ion mobility-mass spectrometry (IMS-MS) and mass spectrometry-ion mobility (MS-IMS) embodiments are contemplated wherein both the ion mobility and mass to charge ratio of analyte ions generated e.g. by an ambient  
30 ionisation ion source are determined. Ion mobility analysis may be performed prior to mass to charge ratio analysis or vice versa. Furthermore, it should be understood that embodiments are contemplated wherein references to mass spectrometric data and databases comprising mass spectrometric data should also be understood as encompassing ion mobility data and differential ion mobility data etc. and databases  
35 comprising ion mobility data and differential ion mobility data etc. (either in isolation or in combination with mass spectrometric data).

Various surgical, therapeutic, medical treatment and diagnostic methods are contemplated. However, other embodiments are contemplated which relate to non-surgical and non-therapeutic methods of mass spectrometry which are not performed on *in vivo*  
40 tissue. Other related embodiments are contemplated which are performed in an extracorporeal manner such that they are performed outside of the human or animal body.

Further embodiments are contemplated wherein the methods are performed on a

non-living human or animal, for example, as part of an autopsy procedure.

Although the present invention has been described with reference to preferred embodiments, it will be understood by those skilled in the art that various changes in form and detail may be made without departing from the scope of the invention as set forth in  
5 the accompanying claims.

Claims

- 5 1. A method comprising:  
obtaining or acquiring physical or other non-mass spectrometric data from one or  
more regions of a target;  
using said physical or other non-mass spectrometric data to determine one or more  
regions of interest of said target;  
10 using a first device to generate aerosol, smoke or vapour from the one or more  
regions of interest of said target; and  
mass analysing and/or ion mobility analysing said aerosol, smoke or vapour or ions  
derived from said aerosol, smoke or vapour in order to obtain mass spectrometric data  
and/or ion mobility data;  
15 wherein said physical or other non-mass spectrometric data comprises data  
selected from the group consisting of: (i) density data; (ii) impedance data; (iii) hardness  
data; (iv) surface hardness data; (v) tissue hardness data; (vi) tactile data; (vii) force data;  
(viii) radio-frequency absorbance data; (ix) microwave reflectance or transmission data; (x)  
dielectric property data; (xi) dielectric permittivity or conductivity data; (xxii) Young's  
20 modulus data; and (xxiii) capacitance or resistance data.
2. A method as claimed in claim 1, wherein said first device comprises or forms part of  
an ambient ion or ionisation source or wherein said first device generates said aerosol,  
smoke or vapour for subsequent ionisation by an ambient ion or ionisation source or other  
25 ionisation source.
3. A method as claimed in claim 1 or 2, wherein said target comprises native or  
unmodified target material, optionally wherein said native or unmodified target material is  
unmodified by the addition of a matrix or reagent.  
30
4. A method as claimed in any preceding claim, wherein said first device comprises an  
ion source selected from the group consisting of: (i) a rapid evaporative ionisation mass  
spectrometry ("REIMS") ion source; (ii) a desorption electrospray ionisation ("DESI") ion  
source; (iii) a laser desorption ionisation ("LDI") ion source; (iv) a thermal desorption ion  
35 source; (v) a laser diode thermal desorption ("LDTD") ion source; (vi) a desorption electro-  
flow focusing ("DEFFI") ion source; (vii) a dielectric barrier discharge ("DBD") plasma ion  
source; (viii) an Atmospheric Solids Analysis Probe ("ASAP") ion source; (ix) an ultrasonic  
assisted spray ionisation ion source; (x) an easy ambient sonic-spray ionisation ("EASI")  
ion source; (xi) a desorption atmospheric pressure photoionisation ("DAPPI") ion source;  
40 (xii) a paperspray ("PS") ion source; (xiii) a jet desorption ionisation ("JeDI") ion source;  
(xiv) a touch spray ("TS") ion source; (xv) a nano-DESI ion source; (xvi) a laser ablation  
electrospray ("LAESI") ion source; (xvii) a direct analysis in real time ("DART") ion source;  
(xviii) a probe electrospray ionisation ("PESI") ion source; (xix) a solid-probe assisted  
electrospray ionisation ("SPA-ESI") ion source; (xx) a cavitron ultrasonic surgical aspirator



("CUSA") device; (xxi) a focussed or unfocussed ultrasonic ablation device; (xxii) a microwave resonance device; and (xxiii) a pulsed plasma RF dissection device.

- 5 5. A method as claimed in any preceding claim, further comprising using one or more impedance sensors to obtain said physical or other non-mass spectrometric data.
6. A method as claimed in claim 5, further comprising determining a density, a density profile, an impedance or an impedance profile of one or more regions of said target.
- 10 7. A method as claimed in claim 5 or 6, wherein:  
said step of using said physical or other non-mass spectrometric data to determine one or more regions of interest comprises determining one or more regions of said target which have a different density or impedance relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data; and/or  
15 said step of using said physical or other non-mass spectrometric data to determine one or more regions of interest comprises determining whether or not a region of said target has a higher or lower density or impedance relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.
- 20 8. A method as claimed in any preceding claim, further comprising using one or more force or tactile sensors to obtain said physical or other non-mass spectrometric data.
9. A method as claimed in claim 8, further comprising determining a density, a density profile, a force, a force profile, a surface hardness or a surface hardness profile of one or  
25 more regions of said target.
10. A method as claimed in claim 8 or 9, wherein:  
said step of using said physical or other non-mass spectrometric data to determine one or more regions of interest comprises determining one or more regions of said target  
30 which have a different density or surface hardness relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data; and/or  
said step of determining from said physical or other non-mass spectrometric data one or more regions of interest of said target comprises determining whether or not a  
35 region of said target has a higher or lower density or surface hardness relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.
11. A method as claimed in any preceding claim, further comprising using one or more radio-frequency ("RF") or microwave sensors to obtain said physical or other non-mass  
40 spectrometric data.

12. A method as claimed in claim 11, further comprising determining a density, a density profile, a radio-frequency absorbance, a radio-frequency absorbance profile, a microwave reflectance or transmission, a microwave reflectance or transmission profile, a dielectric property, a dielectric property profile, a dielectric permittivity, a dielectric permittivity profile, a conductivity or a conductivity profile of one or more regions of said target.
13. A method as claimed in claim 11 or 12, wherein:  
said step of using said physical or other non-mass spectrometric data to determine one or more regions of interest comprises determining whether a region of said target has a different density, radio-frequency absorbance, microwave reflectance or transmission, dielectric property, permittivity or conductivity relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data; and/or  
said step of using said physical or other non-mass spectrometric data to determine one or more regions of interest comprises determining whether or not a region of said target has a higher or lower density, radio-frequency absorbance, microwave reflectance or transmission, dielectric property, permittivity or conductivity relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.
14. A method as claimed in any preceding claim, further comprising using one or more dielectric property sensors or capacitive sensors to obtain said physical or other data.
15. A method as claimed in claim 14, further comprising determining a dielectric property, a dielectric property profile, a capacitance or a capacitance profile of one or more regions of said target.
16. A method as claimed in claim 14 or 15, wherein:  
said step of using said physical or other non-mass spectrometric data to determine one or more regions of interest comprises determining whether or not a region of said target has a different dielectric property or capacitance relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data; and/or  
said step of using said physical or other non-mass spectrometric data to determine one or more regions of interest comprises determining whether or not a region of said target has a higher or lower dielectric property or capacitance relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.
17. A method as claimed in any preceding claim, further comprising using one or more electrochemical sensors or biosensors to obtain said physical or other non-mass spectrometric data.

18. A method as claimed in claim 17, further comprising determining a measure of glucose, glutamate, reactive nitrogen species, insulin, dopamine (DA), serotonin (5-HT), norepinephrine (NE), nitric oxide (NO) or a neurochemical in said target.

5 19. A method as claimed in claim 17 or 18, wherein:

said step of using said physical or other non-mass spectrometric data to determine one or more regions of interest comprises determining whether or not a region of said target has a different measure of glucose, glutamate, reactive nitrogen species, insulin, dopamine (DA), serotonin (5-HT), norepinephrine (NE), nitric oxide (NO) or a  
10 neurochemical relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data; and/or

said step of using said physical or other non-mass spectrometric data to determine one or more regions of interest comprises determining whether or not a region of said target has a higher or lower measure of glucose, glutamate, reactive nitrogen species,  
15 insulin, dopamine (DA), serotonin (5-HT), norepinephrine (NE), nitric oxide (NO) or a neurochemical relative to normal tissue, surrounding tissue, a control sample, a control region, control data or predetermined data.

20. Apparatus comprising:

20 a device arranged and adapted to obtain physical or other non-mass spectrometric data from one or more regions of a target;

a control system arranged and adapted to use said physical or other non-mass spectrometric data to determine one or more regions of interest of said target;

25 a first device arranged and adapted to generate aerosol, smoke or vapour from the one or more regions of interest of said target; and

a mass analyser and/or ion mobility analyser for mass analysing and/or ion mobility analysing said aerosol, smoke or vapour or ions derived from said aerosol, smoke or vapour in order to obtain mass spectrometric data and/or ion mobility data;

30 wherein said physical or other non-mass spectrometric data comprises data selected from the group consisting of: (i) density data; (ii) impedance data; (iii) hardness data; (iv) surface hardness data; (v) tissue hardness data; (vi) tactile data; (vii) force data; (viii) radio-frequency absorbance data; (ix) microwave reflectance or transmission data; (x) dielectric property data; (xi) dielectric permittivity or conductivity data; (xxii) Young's modulus data; and (xxiii) capacitance or resistance data.

35

21. Apparatus as claimed in claim 20, wherein said first device comprises or forms part of an ambient ion or ionisation source or wherein said first device generates said aerosol, smoke or vapour for subsequent ionisation by an ambient ion or ionisation source or other ionisation source.

40

22. Apparatus as claimed in claim 20 or 21, wherein said first device comprises an ion source selected from the group consisting of: (i) a rapid evaporative ionisation mass

spectrometry ("REIMS") ion source; (ii) a desorption electrospray ionisation ("DESI") ion source; (iii) a laser desorption ionisation ("LDI") ion source; (iv) a thermal desorption ion source; (v) a laser diode thermal desorption ("LDTD") ion source; (vi) a desorption electro-flow focusing ("DEFFI") ion source; (vii) a dielectric barrier discharge ("DBD") plasma ion source; (viii) an Atmospheric Solids Analysis Probe ("ASAP") ion source; (ix) an ultrasonic assisted spray ionisation ion source; (x) an easy ambient sonic-spray ionisation ("EASI") ion source; (xi) a desorption atmospheric pressure photoionisation ("DAPPI") ion source; (xii) a paperspray ("PS") ion source; (xiii) a jet desorption ionisation ("JeDI") ion source; (xiv) a touch spray ("TS") ion source; (xv) a nano-DESI ion source; (xvi) a laser ablation electrospray ("LAESI") ion source; (xvii) a direct analysis in real time ("DART") ion source; (xviii) a probe electrospray ionisation ("PESI") ion source; (xix) a solid-probe assisted electrospray ionisation ("SPA-ESI") ion source; (xx) a cavitron ultrasonic surgical aspirator ("CUSA") device; (xxi) a focussed or unfocussed ultrasonic ablation device; (xxii) a microwave resonance device; and (xxiii) a pulsed plasma RF dissection device.

15

23. Apparatus as claimed in any of claims 20-22, further comprising: (i) one or more impedance sensors for obtaining said physical or other non-mass spectrometric data; (ii) one or more force or tactile sensors for obtaining said physical or other non-mass spectrometric data; (iii) one or more radio-frequency ("RF") or microwave sensors for obtaining said physical or other non-mass spectrometric data; (iv) one or more dielectric property sensors or capacitive sensors for obtaining said physical or other non-mass spectrometric data; and/or (v) one or more electrochemical sensors or biosensors for obtaining said physical or other non-mass spectrometric data.

20

24. An ambient ionisation ion source comprising apparatus as claimed in any of claims 20-23.

25

25. A mass spectrometer and/or ion mobility spectrometer comprising apparatus as claimed in any of claims 20-24.

30



**Application No:** GB2015580.0

**Examiner:** Dr Andrew Guy

**Claims searched:** 1-25

**Date of search:** 16 October 2020

**Patents Act 1977: Search Report under Section 17**

**Documents considered to be relevant:**

Category	Relevant to claims	Identity of document and passage or figure of particular relevance
Y	1-25	US 2012/156712 A1 (TAKATS) See abstract; paragraph 0079
Y	1-25	Science Translational Medicine, vol. 5 no. 194, 2013, Balog et al, "Intraoperative tissue identification using..." art. 194ra93 See abstract; fig. 1
Y	1-25	31st Annual International Conference of the IEEE EMBS, 2009, Uribe et al, "Piezoelectric self-sensing system for tactile..." pp. 737-740 See abstract

**Categories:**

X	Document indicating lack of novelty or inventive step	A	Document indicating technological background and/or state of the art.
Y	Document indicating lack of inventive step if combined with one or more other documents of same category.	P	Document published on or after the declared priority date but before the filing date of this invention.
&	Member of the same patent family	E	Patent document published on or after, but with priority date earlier than, the filing date of this application.

**Field of Search:**

Search of GB, EP, WO & US patent documents classified in the following areas of the UKC<sup>X</sup> :

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Worldwide search of patent documents classified in the following areas of the IPC

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The following online and other databases have been used in the preparation of this search report

WPI, EPODOC, BIOSIS
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**International Classification:**

Subclass	Subgroup	Valid From
G01N	0033/68	01/01/2006