## (19) World Intellectual Property Organization

International Bureau





(43) International Publication Date 1 April 2004 (01.04.2004)

**PCT** 

# (10) International Publication Number WO 2004/026697 A3

(51) International Patent Classification<sup>7</sup>: **B01L** 3/02, 3/00, G01N 21/00, 31/00, 33/00, B32B 27/04, B65B 35/50

(21) International Application Number:

PCT/US2003/028437

(22) International Filing Date:

10 September 2003 (10.09.2003)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

10/252,352

20 September 2002 (20.09.2002) US

(71) Applicant (for all designated States except US): GEN-VAULT CORPORATION [US/US]; 2101 Faraday Avenue, Carlsbad, CA 92008 (US).

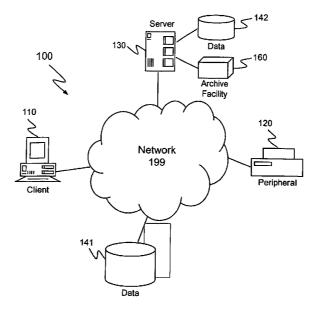
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): SADLER, John

[US/US]; 2808 Hallmark Drive, Belmont, CA 94002 (US). **HOGAN, Michael** [US/US]; 2833 East 4th Street, Tucson, AZ 85716 (US).

- (74) Agent: WETHERELL, John, R.; Pillsbury Winthrop LLP, 11682 El Camino Real, Suite 200, Carmel Valley, CA 92130-1593 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

[Continued on next page]

(54) Title: SYSTEM AND METHOD OF STORING AND RETRIEVING STORAGE ELEMENTS



(57) Abstract: Systems and methods of archiving and retrieving storage elements (420) are disclosed. In some embodiments, a fully automated sample storage and retrieval system (100) may be operative to achieve both very high storage density as well as very high sample processing throughput rates, for example, supporting throughput rates greater than one hundred samples per day. In some embodiments, a system and method may archive and retrieve a plurality of storage elements supported in a two dimensional configuration in a storage receptacle (401-40n)



# WO 2004/026697 A3



#### **Published:**

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

 $\textbf{(88)} \ \ \textbf{Date of publication of the international search report:}$ 

3 June 2004

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

### INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/28437

A. CLA   IPC(7)	SSIFICATION OF SUBJECT MATTER : B01L 3/02, 3/00; G01N 21/00, 31/00, 33/00;	B32B 27/04; B65B 35/50				
US CL						
According to International Patent Classification (IPC) or to both national classification and IPC						
B. FIEI	LDS SEARCHED					
	ocumentation searched (classification system followed 422/99, 100, 63, 65, 66, 67, 68.1, 102; 414/788	by classification symbols)				
Documentati	ion searched other than minimum documentation to the	e extent that such documents are included	in the fields searched			
	ata base consulted during the international search (nam d; plate, tray, stor\$4, stack\$3,	ne of data base and, where practicable, sea	arch terms used)			
C. DOC	CUMENTS CONSIDERED TO BE RELEVANT					
Category *	Citation of document, with indication, where a	appropriate, of the relevant passages	Relevant to claim No.			
X	US 6,132,685 A (KERSCO et al.) 17 October 2000	, see entire document	1-16, 28, 31, 33-47			
Y, P	US 2002/0176803 A1 (HAMEL et al.) 28 November	er 2002, see entire document	1 11, 17, 28, 35, 42			
X, E	US 6,652,724 B2 (MICHAEL et al.) 25 Novmeber	2003, see entire document	1-5, 7, 9, 11-14, 28, 35-39, 41, 42-43, 45			
X, P	US 6,556,923 B2 (GALLAGHER et al.) 29 April 2	003, see entire document	1-16, 28, 31, 33-47			
Y, P	US 6,464, 942 B2 (COFFMAN et al.) 15 October 2002, see entire document		1-6, 11-13, 35, 38-39,			
Y	US 6,402,837 B1 (SHTRAHMAN et al.) 11 June 2002, entire document		42, 44 1-47			
Y	US 6,395,231 B1 (KRAEMER et al.) 28 May 2002	, entire doucment	1-47			
Y	US 6,182,719 B1 (YAHIRO) 06 February 2001, entire document		1-47			
Further	r documents are listed in the continuation of Box C.	See patent family annex.	<u></u>			
* 5	Special categories of cited documents:	"T" later document published after the international filing date or priority				
"A" document defining the general state of the art which is not considered to be of particular relevance		date and not in conflict with the application but cited to understand the principle or theory underlying the invention				
"E" earlier ap	oplication or patent published on or after the international filing date	"X" document of particular relevance; the considered novel or cannot be conside when the document is taken alone	claimed invention cannot be ered to involve an inventive step			
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)		"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is				
"O" document referring to an oral disclosure, use, exhibition or other means		combined with one or more other such being obvious to a person skilled in the	h documents, such combination			
"P" document published prior to the international filing date but later than the priority date claimed		"&" document member of the same patent	• • • •			
Date of the actual completion of the international search		Date of mailing of the international search report  13 APR 2004  Authorized officer  Brian Gordon  Telephone No. (703) 308-0661				
09 March 2004 (09.03.2004)		TA HLV TOOK				
Name and mailing address of the ISA/US Mail Stop PCT, Attn: ISA/US		Authorized officer				
Commissioner for Patents		Brian Gordon / Myc / 1/22				
P.O. Box 1450 Alexandria, Virginia 22313-1450		Telephone No. (703) 308-0661				
	o. (703) 305-3230					
	orm PCT/ISA/210 (second sheet) (July 1998)					

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/28437

Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)			
This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:			
1.	Claim Nos.: because they relate to subject matter not required to be searched by this Authority, namely:		
2.	Claim Nos.: because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:		
3.	Claim Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).		
Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)			
	ional Searching Authority found multiple inventions in this international application, as follows: ontinuation Sheet		
1.	As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:		
4. Remark on	No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:  Protest  The additional search fees were accompanied by the applicant's protest.  No protest accompanied the payment of additional search fees.		

	PCT/US03/28437
INTERNATIONAL CEARCH DEBOT	FC1/0303/2043/
INTERNATIONAL SEARCH REPORT	
BOX II. OBSERVATIONS WHERE UNITY OF INVENTION IS LAC	TKING
This application contains the following inventions or groups of inventions which ar	
concept under PCT Rule 13.1. In order for all inventions to be examined, the approximation of the concept under PCT Rule 13.1.	reprints additional examination fees must be paid
concept under 1 C.1 Aute 15.1. In order to: an inventions to be examined, the appr	ropriate additional examination ices must be paid.
Group I, claim(s) 1-16 and 35-47, drawn to an archive.	
Oloup 1, Olamico I To and So II, diamines un alemine.	
Group II, claim(s) 17-34, drawn to a method of retrieving a storage element.	
The inventions listed as Groups I and II do not relate to a single general inventive of	concept under PCT Rule 13.1 because, under PCT
Rule 13.2, they lack the same or corresponding special technical features for the fo	ollowing reasons: The special technical feature of
Group I is the device requires a receptacle having a support surface. The method of	of Group II does not require the specific features of the
apparatus claimed in Group I.	