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(54) Titre : SYSTEME ET PROCEDE DE SUIVI DE FREQUENCE CARDIAQUE SUR LA BASE D'UNE CAMERA
 (54) Title: SYSTEM AND METHOD FOR CAMERA-BASED HEART RATE TRACKING

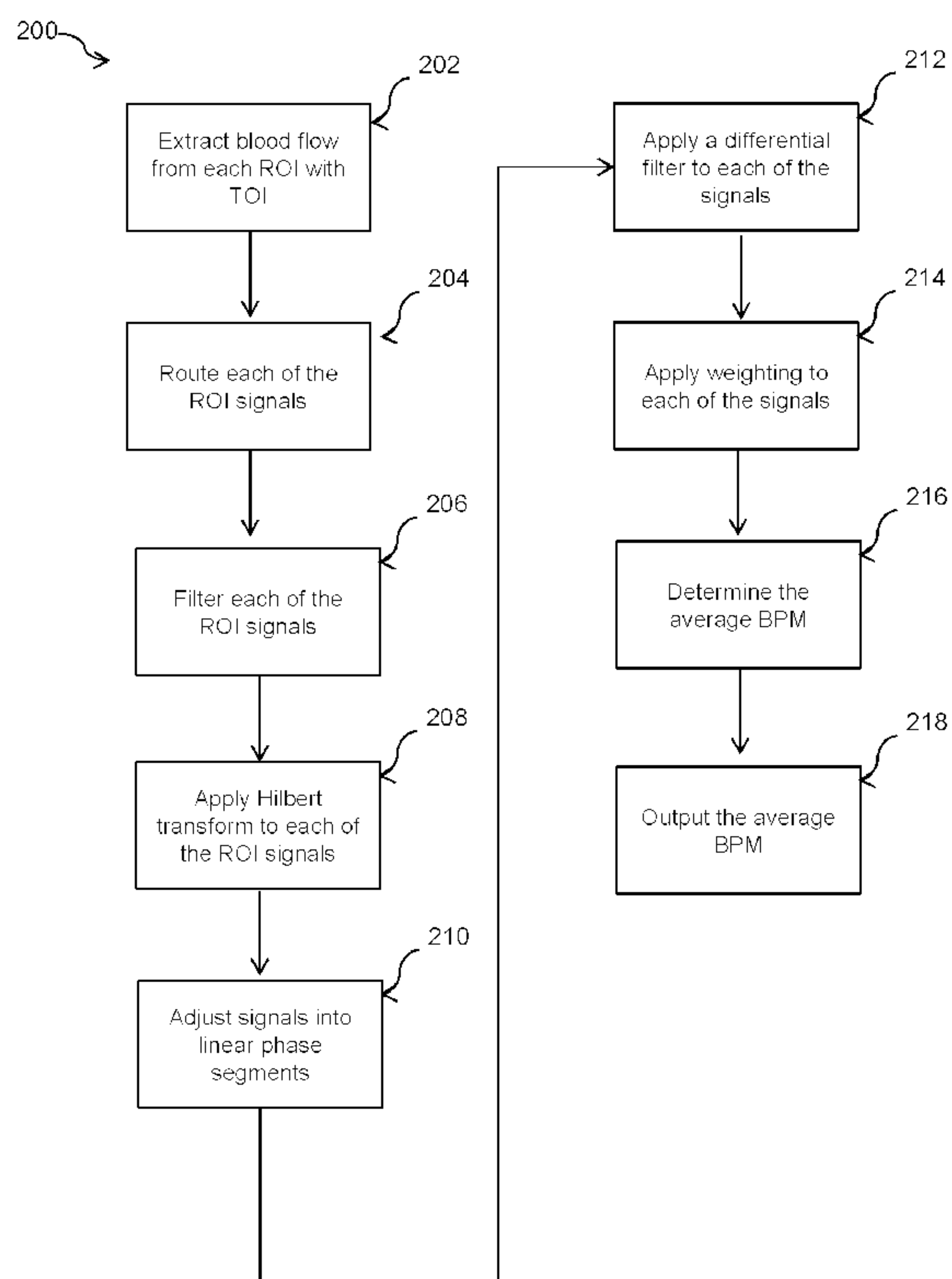


FIG. 2

(57) **Abrégé/Abstract:**

A system and method for camera-based heart rate tracking. The method includes: determining bit values from a set of bitplanes in a captured image sequence that represent the HC changes; determining a facial blood flow data signal for each of a plurality of

(57) **Abrégé(suite)/Abstract(continued):**

predetermined regions of interest (ROIs) of the subject captured by the images based on the HC changes; applying a band-pass filter of a passband approximating the heart rate to each of the blood flow data signals; applying a Hilbert transform to each of the blood flow data signals; adjusting the blood flow data signals from revolving phase-angles into linear phase segments; determining an instantaneous heart rate for each the blood flow data signals; applying a weighting to each of the instantaneous heart rates; and averaging the weighted instantaneous heart rates.

ABSTRACT

A system and method for camera-based heart rate tracking. The method includes: determining bit values from a set of bitplanes in a captured image sequence that represent the HC changes; determining a facial blood flow data signal for each of a plurality of predetermined regions of interest (ROIs) of the subject captured by the images based on the HC changes; applying a band-pass filter of a passband approximating the heart rate to each of the blood flow data signals; applying a Hilbert transform to each of the blood flow data signals; adjusting the blood flow data signals from revolving phase-angles into linear phase segments; determining an instantaneous heart rate for each the blood flow data signals; applying a weighting to each of the instantaneous heart rates; and averaging the weighted instantaneous heart rates.

1 SYSTEM AND METHOD FOR CAMERA-BASED HEART RATE TRACKING

2 TECHNICAL FIELD

3 [0001] The following relates generally to detection of a human heartbeat and more
4 specifically to a system and method for camera-based heart rate tracking.

5 BACKGROUND

6 [0002] The human heartbeat, or cardiac cycle, represents one of the primary vital signs
7 monitored by health care providers and members of the general public alike. Heartbeat, as
8 used herein, refers to a complete heartbeat, or a set of heartbeats, from its generation to the
9 beginning of the next beat; thus, it includes the diastole, the systole, and the intervening
10 pause. The pace of the heartbeats, referred to herein as the heart rate, is a measure of
11 cardiac cycles per time period. Heart rate is typically measured in beats-per-minute (BPM)
12 as a measure of, on average, how many cardiac cycles occur per minute. The BPM
13 measurement can be an average heart rate, measuring the average BPM over a sizeable
14 period of cardiac cycles, or an instantaneous heart rate, measuring the BPM over a short
15 period of cardiac cycles and extrapolating out the BPM.

16 [0003] Conventionally, the heart rate is measured using equipment such as an
17 electrocardiogram by recording the electrical activity of the heart over a period of time
18 using electrodes placed on the skin. This approach is a significant expense and requires
19 invasive electrodes to be placed on a subject. Other conventional approaches include
20 attaching a heart rate monitor to a subject, which typically includes a chest strap transmitter
21 and a receiver. This approach is not particularly accurate and susceptible to noise, and in
22 addition, requires the subject to place the transmitter under his/her clothes. Further types of
23 strapless heart rate monitors allow the measurement of the heart rate with a wearable
24 device, such as a wristwatch or finger clasp, by utilising an infrared sensor to measure the
25 heart rate. However, such devices do not provide much detail and are not particularly
26 accurate.

27 SUMMARY

28 [0004] In an aspect, there is provided a method for camera-based heart rate tracking of
29 a human subject, the method comprising: receiving a captured image sequence of light re-
30 emitted from the skin of the human subject; determining, using a machine learning model
31 trained with a hemoglobin concentration (HC) changes training set, bit values from a set of
32 bitplanes in the captured image sequence that represent the HC changes of the subject, the
33 set of bitplanes being those that are determined to approximately maximize a signal-to-noise
34 ratio (SNR), the HC changes training set comprising bit values from each bitplane of images

- 1 captured from a set of subjects for which heart rate is known; determining a facial blood flow
2 data signal for each of a plurality of predetermined regions of interest (ROIs) of the subject
3 captured by the images based on the HC changes; applying a band-pass filter of a passband
4 approximating the heart rate to each of the blood flow data signals; applying a Hilbert
5 transform to each of the blood flow data signals; adjusting the blood flow data signals from
6 revolving phase-angles into linear phase segments; determining an instantaneous heart rate
7 for each the blood flow data signals; applying a weighting to each of the instantaneous heart
8 rates; averaging the weighted instantaneous heart rates; and outputting the average heart
9 rate.
- 10 [0005] In a particular case, the ROIs are captured from the face of the subject.
- 11 [0006] In another case, the ROIs are captured from the wrist or the neck of the subject.
- 12 [0007] In yet another case, the ROIs are non-overlapping.
- 13 [0008] In yet another case, determining a set of bitplanes that maximize SNR comprises:
14 performing pixelwise image subtraction and addition of bitplane vectors to maximize signal
15 differences in all ROIs over a predetermined time period, and identifying bit values from
16 bitplanes that increase the signal differentiation and bit values from bitplanes that decrease
17 the signal differentiation or do not contribute to signal differentiation; and discarding the bit
18 values from the bitplanes that decrease the signal differentiation or do not contribute to
19 signal differentiation.
- 20 [0009] In yet another case, the machine learning model comprises a Long Short Term
21 Memory (LSTM) neural network or a non-linear Support Vector Machine.
- 22 [0010] In yet another case, the passband is in a range of approximately 0.6 hertz to 1.2
23 hertz, where 60 heartbeats-per-minute is equivalent to 1 hertz.
- 24 [0011] In yet another case, determining the instantaneous heart rate for each the blood
25 flow data signals comprises applying a differential filter to the linear phase segments to
26 convert the phase-angle data into frequency units representing a count value, the count
27 value for each of the ROIs represents the instantaneous heart rate.
- 28 [0012] In yet another case, the method further comprising linearizing and differentiating
29 the revolving phase-angles on a phase continuum scale to determine the instantaneous
30 heart rate.
- 31 [0013] In yet another case, the weighting is integrated over an interval in the range of
32 approximately one second to ten seconds.

1 [0014] In yet another case, the weighting is integrated over an interval of approximately
2 five seconds.

3 [0015] In another aspect, there is provided a system for camera-based heart rate
4 tracking of a human subject, the system comprising one or more processors and a data
5 storage device, the one or more processors configured to execute: a TOI module to receive
6 a captured image sequence of light re-emitted from the skin of a human subject, the TOI
7 module determines, using a machine learning model trained with a hemoglobin
8 concentration (HC) changes training set, bit values from a set of bitplanes in the captured
9 image sequence that represent the HC changes of the subject, the set of bitplanes being
10 those that are determined to approximately maximize a signal-to-noise ratio (SNR), the HC
11 changes training set comprising bit values from each bitplane of images captured from a set
12 of subjects for which heart rate is known, the TOI module determines a facial blood flow data
13 signal for each of a plurality of predetermined regions of interest (ROIs) of the subject
14 captured by the images based on the HC changes; a filtering module to apply a band-pass
15 filter of a passband approximating the heart rate to each of the blood flow data signals; a
16 Hilbert transform module to apply a Hilbert transform to each of the blood flow data signals;
17 an adjustment module to adjust the blood flow data signals from revolving phase-angles into
18 linear phase segments; a derivative module to determine an instantaneous heart rate for
19 each the blood flow data signals; a weighting module to apply a weighting to each of the
20 instantaneous heart rates; a summation module to average the weighted instantaneous
21 heart rates; and an output module to output the average heart rate.

22 [0016] In a particular case, the ROIs are captured from the face of the subject.

23 [0017] In another case, the ROIs are non-overlapping.

24 [0018] In yet another case, the TOI module determines a set of bitplanes that maximize
25 SNR by: performing pixelwise image subtraction and addition of bitplane vectors to maximize
26 signal differences in all ROIs over a predetermined time period, and identifying bit values
27 from bitplanes that increase the signal differentiation and bit values from bitplanes that
28 decrease the signal differentiation or do not contribute to signal differentiation; and
29 discarding the bit values from the bitplanes that decrease the signal differentiation or do not
30 contribute to signal differentiation.

31 [0019] In yet another case, the passband is in a range of approximately 0.6 hertz to 1.2
32 hertz, where 60 heartbeats-per-minute is equivalent to 1 hertz.

33 [0020] In yet another case, the derivative module determines the instantaneous heart
34 rate for each the blood flow data signals by applying a differential filter to the linear phase

1 segments to convert the phase-angle data into frequency units representing a count value,
2 the count value for each of the ROIs represents the instantaneous heart rate.

3 [0021] In yet another case, the derivative module linearizes and differentiates the
4 revolving phase-angles on a phase continuum scale to determine the instantaneous heart
5 rate.

6 [0022] In yet another case, the weighting applied by the weighting module is integrated
7 over an interval in the range of approximately one second to ten seconds.

8 [0023] In yet another case, the weighting applied by the weighting module is integrated
9 over an interval of approximately five seconds.

10 [0024] These and other aspects are contemplated and described herein. It will be
11 appreciated that the foregoing summary sets out representative aspects of camera-based
12 heart rate tracking systems and methods for the determination of heart rate to assist skilled
13 readers in understanding the following detailed description.

14 BRIEF DESCRIPTION OF THE DRAWINGS

15 [0025] The features of the invention will become more apparent in the following detailed
16 description in which reference is made to the appended drawings wherein:

17 [0026] Fig. 1 is an block diagram of a system for camera-based heart rate tracking,
18 according to an embodiment;

19 [0027] Fig. 2 is a flowchart for a method for camera-based heart rate tracking, according
20 to an embodiment;

21 [0028] Fig. 3 illustrates re-emission of light from skin epidermal and subdermal layers;

22 [0029] Fig. 4 is a set of surface and corresponding transdermal images illustrating
23 change in hemoglobin concentration for a particular human subject at a particular point in
24 time; and

25 [0030] Fig. 5 is a diagrammatic representation of a memory cell.

26 DETAILED DESCRIPTION

27 [0031] Embodiments will now be described with reference to the figures. For simplicity
28 and clarity of illustration, where considered appropriate, reference numerals may be
29 repeated among the Figures to indicate corresponding or analogous elements. In addition,
30 numerous specific details are set forth in order to provide a thorough understanding of the
31 embodiments described herein. However, it will be understood by those of ordinary skill in
32 the art that the embodiments described herein may be practiced without these specific
33 details. In other instances, well-known methods, procedures and components have not been

1 described in detail so as not to obscure the embodiments described herein. Also, the
2 description is not to be considered as limiting the scope of the embodiments described
3 herein.

4 [0032] Various terms used throughout the present description may be read and
5 understood as follows, unless the context indicates otherwise: "or" as used throughout is
6 inclusive, as though written "and/or"; singular articles and pronouns as used throughout
7 include their plural forms, and vice versa; similarly, gendered pronouns include their
8 counterpart pronouns so that pronouns should not be understood as limiting anything
9 described herein to use, implementation, performance, etc. by a single gender; "exemplary"
10 should be understood as "illustrative" or "exemplifying" and not necessarily as "preferred"
11 over other embodiments. Further definitions for terms may be set out herein; these may
12 apply to prior and subsequent instances of those terms, as will be understood from a reading
13 of the present description.

14 [0033] Any module, unit, component, server, computer, terminal, engine or device
15 exemplified herein that executes instructions may include or otherwise have access to
16 computer readable media such as storage media, computer storage media, or data storage
17 devices (removable and/or non-removable) such as, for example, magnetic disks, optical
18 disks, or tape. Computer storage media may include volatile and non-volatile, removable and
19 non-removable media implemented in any method or technology for storage of information,
20 such as computer readable instructions, data structures, program modules, or other data.
21 Examples of computer storage media include RAM, ROM, EEPROM, flash memory or other
22 memory technology, CD-ROM, digital versatile disks (DVD) or other optical storage,
23 magnetic cassettes, magnetic tape, magnetic disk storage or other magnetic storage
24 devices, or any other medium which can be used to store the desired information and which
25 can be accessed by an application, module, or both. Any such computer storage media may
26 be part of the device or accessible or connectable thereto. Further, unless the context clearly
27 indicates otherwise, any processor or controller set out herein may be implemented as a
28 singular processor or as a plurality of processors. The plurality of processors may be arrayed
29 or distributed, and any processing function referred to herein may be carried out by one or
30 by a plurality of processors, even though a single processor may be exemplified. Any
31 method, application or module herein described may be implemented using computer
32 readable/executable instructions that may be stored or otherwise held by such computer
33 readable media and executed by the one or more processors.

34 [0034] The following relates generally to detection of a human heartbeat and more
35 specifically to a system and method for camera-based heart rate tracking. Heart rate is

1 determined using image processing techniques performed over a plurality of images
2 captured by one or more digital imaging cameras.

3 [0035] In embodiments of the system and method described herein, technical
4 approaches are provided to solve the technological problem of detecting and tracking a
5 human's heartbeat. The technical approaches described herein offer the substantial
6 advantages of both 'spatial' diversity, where region of interest (ROI) signals are acquired
7 from non-overlapping differentially located regions on a human's face, and 'time' diversity,
8 where accumulation of time-series data is simultaneously sampled with synchronous or fixed
9 timing. Applicant recognized the significant advantages of this approach, for example, being
10 that the quality of the beats-per-minute (BPM) estimate is more robust to noise interference
11 (for example due to outlier data) while retaining the ability to update the output BPM value at
12 every sample interval (for example at the video frame rate).

13 [0036] Applicant further recognized the significant advantages of the technical
14 approaches described herein, for example, by utilizing machine learning techniques, the
15 composition of bitplanes of video images can be optimized to maximize the signal to noise
16 ratio of the heart rate band, especially as compared to conventional approaches.

17 [0037] Referring now to Fig. 1, a system for camera-based heart rate tracking 100 is
18 shown. The system 100 includes a processing unit 108, one or more video-cameras 105, a
19 storage device 101, and an output device 102. The processing unit 108 may be
20 communicatively linked to the storage device 101 which may be preloaded and/or
21 periodically loaded with video imaging data obtained from one or more video-cameras 105.
22 The processing unit 108 includes various interconnected elements and modules, including a
23 TOI module 110, a filtering module 112, a Hilbert transform module 114, an adjustment
24 module 116, a derivative module 118, a weighting module 120, a summation module 122,
25 and an output module 124. The TOI module includes an image processing unit 104 and a
26 filter 106. The video images captured by the video-camera 105 can be processed by the
27 filter 106 and stored on the storage device 101. In further embodiments, one or more of the
28 modules can be executed on separate processing units or devices, including the video-
29 camera 105 or output device 102. In further embodiments, some of the features of the
30 modules may be combined or run on other modules as required.

31 [0038] The term "video", as used herein, can include sets of still images. Thus, "video
32 camera" can include a camera that captures a sequence of still images.

33 [0039] Using transdermal optical imaging (TOI), the TOI module 110 can isolate
34 hemoglobin concentration (HC) from raw images taken from a traditional digital camera.
35 Referring now to Fig. 3, a diagram illustrating the re-emission of light from skin is shown.

1 Light 301 travels beneath the skin 302, and re-emits 303 after travelling through different
2 skin tissues. The re-emitted light 303 may then be captured by optical cameras 105. The
3 dominant chromophores affecting the re-emitted light are melanin and hemoglobin. Since
4 melanin and hemoglobin have different color signatures, it has been found that it is possible
5 to obtain images mainly reflecting HC under the epidermis as shown in Fig. 4.

6 [0040] Using transdermal optical imaging (TOI), the TOI module 110, via the image
7 processing unit 104, obtains each captured image or video stream, from the camera 105,
8 and performs operations upon the image to generate a corresponding optimized hemoglobin
9 concentration (HC) image of the subject. From the HC data, the facial blood flow localized
10 volume concentrations can be determined; whereby localized volume concentrations refer to
11 measured HC intensity values within a region of interest. As described, regions of interest
12 are used to define a localized bounded area, or areas, for which HC is to be measured. The
13 image processing unit 104 isolates HC in the captured video sequence. In an exemplary
14 embodiment, the images of the subject's faces are taken at 30 frames per second using a
15 digital camera 105. It will be appreciated that this process may be performed with alternative
16 digital cameras, lighting conditions, and frame rates.

17 [0041] Isolating HC is accomplished by analyzing bitplanes in the video sequence to
18 determine and isolate a set of the bitplanes that approximately maximize the signal to noise
19 ratio (SNR). The determination of high SNR bitplanes is made with reference to an HC
20 training set of images constituting the captured video sequence, in some cases, supplied
21 along with EKG, pneumatic respiration, blood pressure, laser Doppler data collected from
22 the human subjects from which the training set is obtained.

23 [0042] The regions of interest (ROIs) of the human subject's face, for example forehead,
24 nose, and cheeks, may be defined as stationary or dynamically updated using the video
25 images. The ROIs are preferably non-overlapping. These ROIs are preferably selected on
26 the basis of knowledge in the art in respect of ROIs for which HC is particularly indicative of
27 heart rate tracking (for example, forehead, cheek, or the like). Using native images that
28 consist of all bitplanes (typically 24 bitplanes for each color image), signals that change over
29 a particular time period (for example, 10 seconds) on each of the ROIs are extracted. In
30 some cases, the dynamically updated ROIs can be chosen and/or maintained by using face-
31 tracking software.

32 [0043] Bitplanes are a fundamental aspect of digital images. Typically, a digital image
33 consists of certain number of pixels (for example, a width X height of 1920X1080 pixels).
34 Each pixel of the digital image having one or more channels (for example, color channels
35 red, green, and blue (RGB)). Each channel having a dynamic range, typically 8 bits per pixel

1 per channel, but occasionally 10 bits per pixel per channel for high dynamic range images.
2 Whereby, an array of such bits makes up what is known as the bitplane. In an example, for
3 each image of color videos, there can be three channels (for example, red, green, and blue
4 (RGB)) with 8 bits per channel. Thus, for each pixel of a color image, there are typically 24
5 layers with 1 bit per layer. A bitplane in such a case is a view of a single 1-bit map of a
6 particular layer of the image across all pixels. For this type of color image, there are
7 therefore typically 24 bitplanes (i.e., a 1-bit image per plane). Hence, for a 1-second color
8 video with 30 frames per second, there are at least 720 (30X24) bitplanes. In the
9 embodiments described herein, Applicant recognized the advantages of using bit values for
10 the bitplanes rather than using, for example, merely the averaged values for each channel.
11 Thus, a greater level of accuracy can be achieved for making predictions of HC changes,
12 and as described making predictions of heart rate, because employing bitplanes provides a
13 greater data basis for training the machine learning model.

14 [0044] The raw signals can be pre-processed using one or more filters, depending on
15 the signal characteristics. Such filters may include, for example, a Butterworth filter, a
16 Chebycheff filter, or the like. Using the filtered signals from two or more ROIs, machine
17 learning is employed to systematically identify bitplanes that will significantly increase the
18 signal differentiation (for example, where the SNR improvement is greater than 0.1 db) and
19 bitplanes that will contribute nothing or decrease the signal differentiation. After discarding
20 the latter, the remaining bitplane images can optimally determine the bold flow.

21 [0045] The machine learning process involves manipulating the bitplane vectors (for
22 example, 24 bitplanes X 60 hz) using the bit value in each pixel of each bitplane along the
23 temporal dimension. In one embodiment, this process requires subtraction and addition of
24 each bitplane to maximize the signal differences in all ROIs over the time period. In some
25 cases, to obtain reliable and robust computational models, the entire dataset can be divided
26 into three sets: the training set (for example, 80% of the whole subject data), the test set (for
27 example, 10% of the whole subject data), and the external validation set (for example, 10%
28 of the whole subject data). The time period can vary depending on the length of the raw
29 data (for example, 15 seconds, 60 seconds, or 120 seconds). The addition or subtraction is
30 performed in a pixel-wise manner. An existing machine learning algorithm, the Long Short
31 Term Memory (LSTM) neural network, or a suitable alternative thereto is used to efficiently
32 and obtain information about the improvement of differentiation in terms of accuracy, which
33 bitplane(s) contributes the best information, and which does not in terms of feature selection.
34 The Long Short Term Memory (LSTM) neural network allow us to perform group feature
35 selections and classifications. The LSTM machine learning algorithm are discussed in more
36 detail below. From this process, the set of bitplanes to be isolated from image sequences to

1 reflect temporal changes in HC is obtained. An image filter is configured to isolate the
2 identified bitplanes as described below.

3 [0046] To extract facial blood flow data, facial HC change data on each pixel of each
4 subject's face image is extracted as a function of time when the subject is being viewed by
5 the camera 105. To increase signal-to-noise ratio (SNR), the subject's face is divided into a
6 plurality of regions of interest (ROIs) according to, for example, their differential underlying
7 physiology, and the data in each ROI is averaged.

8 [0047] Machine learning approaches (such as a Long Short Term Memory (LSTM)
9 neural network, or a suitable alternative such as non-linear Support Vector Machine) and
10 deep learning may be used to assess the existence of common spatial-temporal patterns of
11 hemoglobin changes across subjects (for example, differences in amplitude in blood flow
12 changes in the forehead and the cheek over time). In some cases, the Long Short Term
13 Memory (LSTM) neural network, or an alternative, can be trained on the transdermal data
14 from a portion of the subjects (for example, 80%, or 90% of the subjects) to obtain a
15 computational model for the facial blood flow, which can be tested using the test data set
16 and externally validated using the external validation data set.

17 [0048] Once the model is trained as described, it becomes possible to obtain a video
18 sequence of any subject and apply the HC extracted from selected bitplanes to the
19 computational models to determine blood flow. For long running video streams with changes
20 in blood flow and intensity fluctuations, changes of the estimation and intensity scores over
21 time relying on HC data based on a moving time window (e.g., 10 seconds) may be
22 reported.

23 [0049] In an example using the Long Short Term Memory (LSTM) neural network, the
24 LSTM neural network comprises at least three layers of cells. The first layer is an input layer,
25 which accepts the input data. The second (and perhaps additional) layer is a hidden layer,
26 which is composed of memory cells (see Fig. 5). The final layer is output layer, which
27 generates the output value based on the hidden layer using Logistic Regression.

28 [0050] Each memory cell, as illustrated, comprises four main elements: an input gate, a
29 neuron with a self-recurrent connection (a connection to itself), a forget gate and an output
30 gate. The self-recurrent connection has a weight of 1.0 and ensures that, barring any outside
31 interference, the state of a memory cell can remain constant from one time step to another.
32 The gates serve to modulate the interactions between the memory cell itself and its
33 environment. The input gate permits or prevents an incoming signal to alter the state of the
34 memory cell. On the other hand, the output gate can permit or prevent the state of the
35 memory cell to have an effect on other neurons. Finally, the forget gate can modulate the

1 memory cell's self-recurrent connection, permitting the cell to remember or forget its
2 previous state, as needed.

3 [0051] The equations below describe how a layer of memory cells is updated at every
4 time step t . In these equations:

5 x_t is the input array to the memory cell layer at time t . In our application, this is the blood
6 flow signal at all ROIs

$$7 \quad \vec{x}_t = [x_{1t} \quad x_{2t} \quad \dots \quad x_{mt}]$$

8 $W_i, W_f, W_c, W_o, U_i, U_f, U_c, U_o$ and V_o are weight matrices; and

9 b_i, b_f, b_c and b_o are bias vectors

10 [0052] First, we compute the values for i_t , the input gate, and \tilde{c}_t the candidate value
11 for the states of the memory cells at time t :

$$12 \quad i_t = \sigma(W_i x_t + U_i h_{t-1} + b_i)$$

$$13 \quad \tilde{c}_t = \tanh(W_c x_t + U_c h_{t-1} + b_c)$$

14 [0053] Second, we compute the value for f_t , the activation of the memory cells' forget
15 gates at time t :

$$16 \quad f_t = \sigma(W_f x_t + U_f h_{t-1} + b_f)$$

17 [0054] Given the value of the input gate activation i_t , the forget gate activation f_t and
18 the candidate state value \tilde{c}_t , we can compute C_t the memory cells' new state at time t
19 :

$$20 \quad C_t = i_t * \tilde{c}_t + f_t * C_{t-1}$$

21 [0055] With the new state of the memory cells, we can compute the value of their output
22 gates and, subsequently, their outputs:

$$23 \quad o_t = \sigma(W_o x_t + U_o h_{t-1} + V_o C_t + b_o)$$

$$h_t = o_t * \tanh(C_t)$$

1

2 [0056] Based on the model of memory cells, for the blood flow distribution at each time
3 step, we can calculate the output from memory cells. Thus, from an input sequence

4 $x_0, x_1, x_2, \dots, x_n$, the memory cells in the LSTM layer will produce a

5 representation sequence $h_0, h_1, h_2, \dots, h_n$.

6 [0057] The goal is to classify the sequence into different conditions. The Logistic
7 Regression output layer generates the probability of each condition based on the
8 representation sequence from the LSTM hidden layer. The vector of the probabilities at time
9 step t can be calculated by:

$$p_t = \text{softmax}(W_{\text{output}} h_t + b_{\text{output}})$$

10

11 where W_{output} is the weight matrix from the hidden layer to the output layer, and b_{output}
12 is the bias vector of the output layer. The condition with the maximum accumulated
13 probability will be the predicted condition of this sequence.

14 [0058] The heart rate tracking approach, used by the system 100 on the HC change
15 data from the TOI module 110, utilizes adaptive weighting of multiple regions-of-interest
16 (ROIs), and uses minimizing 'noise' criteria to control the weights. The heart rate tracking
17 approach also utilizes a Hilbert transform to extract a coherent signal for the heartbeat.
18 Advantageously, the accuracy when measured against 'ground truth' electrocardiogram
19 (ECG) data indicates that the estimated "beats-per-minute" (BPM) of the heartbeat recovery
20 approach to be typically consistent within +/-2 BPM of the ECG data.

21 [0059] The blood flow localized volume concentrations data captured by the TOI module
22 110, as described herein, of a human subject's face, as either 'live' or previously recorded, is
23 used as the source data for determining the subject's heart rate. The facial blood flow data
24 can then be used for estimation of related parameters such as the average heart rate in
25 BPM.

26 [0060] The blood flow data signal is specified by the interpretation of the HC changes.
27 As an example, the system 100 can monitor stationary HC changes contained by a selected
28 ROI over time, by observing (or graphing) the resulting temporal profile (for example, shape)
29 of the selected ROI HC intensity values over time. In some cases, the system 100 can
30 monitor more complex migrating HC changes across multiple ROIs by observing (or
31 graphing) the spatial dispersion (HC distribution between ROIs) as it evolves over time.

1 [0061] In order to estimate the BPM of the human subject, the TOI module 110 detects,
2 recovers and tracks the valid occurrences of the subject's heartbeat. The system 100
3 through its various modules, as described herein, then converts these periodic occurrences
4 into an instantaneous statistic representing the average count as BPM. This instantaneous
5 statistic is then continuously updated. Advantageously, this approach has data-sampling that
6 is equal to the video acquisition frame-rate specified as "frames-per-second" (FPS). This
7 provides a continuous per-frame estimation of the instantaneous heart rate.

8 [0062] Turning to Fig. 2, a flowchart for a method for camera-based heart rate tracking
9 200 is shown.

10 [0063] At block 202, facial blood flow is extracted from the video using transdermal
11 optical imaging by the TOI module 110, as described herein, for localized volume
12 concentrations at defined regions-of-interest (ROI) on the face. In addition, the TOI module
13 110 records dynamic changes of such localized volume concentrations over time.

14 [0064] At block 204, the blood flow volume concentrations data from each ROI are
15 treated by the filtering module 112 as an independent signal. Thus, the blood flow data for
16 each ROI is routed through a separate, individual corresponding signal processing path (also
17 known as chain) which handles the specific TOI signal originating from a unique location on
18 the facial image. In this way, multiple ROIs are generating multiple signals which are
19 independently yet concurrently processed, as a bank of ROI signal chains, using the digital
20 signal processing (DSP) techniques described herein.

21 [0065] In an example, the face can be divided into 17 different regions of interest
22 according to facial anatomy or the underlying distributions of facial vasculature (for example,
23 the nose, the forehead, and the like). In this case, there will be 17 separate ROI signal
24 chains, each processing a unique signal extracted from the facial image. The grouping of
25 these 17 ROI signal chains is collectively referred to as a bank of ROI chains. As will be
26 described, the signal processing of each ROI signal chain can be identical across all the
27 ROIs, such that the same operations are concurrently being applied to each separate ROI
28 signal path.

29 [0066] The dimension spanning across multiple ROIs will be referred to herein as a
30 spatial diversity axis of the ROI signal banks. Each ROI signal chain includes an incoming
31 stream of images, such as from a video camera, separated by an interval period (as
32 described herein). The dimension spanning across images for each of the ROI signal chains,
33 along the time dimension, will be referred to herein as the time diversity axis.

34 [0067] At block 206, the filtering module 112 routes each of the ROI blood flow signals to
35 its corresponding position in a bank of digital band-pass-filters (BPF) for processing. The

1 passband for these filters is chosen to cover the extended frequency range representing the
2 heart-rate (where 60 bpm = 1bps = 1 hz). This filtering of the signal is required to reduce
3 energy content outside of a period of the heart-rate and thereby improving the signal-to-
4 noise ratio (SNR). In an example, an initial heart-band passband range can extend between
5 0.6 hertz to 1.2 hertz. Although each individual ROI signal is filtering the heart beat from a
6 spatially unique location on the face, the subject heart beat can be a global signal.
7 Therefore, in some cases, a common subject-specific period can be observed across all
8 ROIs of the subject. Thus, in some cases, the active passband for all ROIs can also be
9 dynamically and adaptively adjusted to a common range.

10 [0068] Each of the filtered ROI signals, represented as a time-series, are then
11 received, at block 208, by the Hilbert transform module 114. The Hilbert transform module
12 114 applies a Hilbert transform (HT) to the filtered signal. Each ROI signal is thus converted
13 to its analytic (complex) equivalent signal attributes and decomposed as both instantaneous
14 amplitude and instantaneous phase.

15 [0069] At block 210, the instantaneous phase components for each ROI signal in the
16 signal bank are adjusted, by the adjustment module 116, from revolving phase-angles into
17 linear phase segments in order to resolve absolute timing differences. Since the sampling
18 steps are constant intervals, for example at the video frame rate, the rate of change between
19 discrete instantaneous phase steps can represent a frequency. In this case, the frequency is
20 equivalent to an integer count of the heartbeat events (occurrences) over the specified
21 interval. To determine the rate of change between discrete instantaneous phase steps, at
22 block 212, the instantaneous phase profile for each ROI signal is routed to the derivative
23 module 118, which applies a differential filter, to convert the phase-angle information into
24 frequency units (also called event units), which represent a statistic count value. This count
25 value per ROI reflects the instantaneous BPM estimate as a continuous signal.

26 [0070] In this case, due to the captured sampling data coming from a stream of video
27 images with a consistent frame-rate, accurate phase-angles can be determined based on a
28 known timing reference, which in this case is the frames-per-second. The phase angles can
29 then be linearized on a phase continuum scale, and the phase steps can be differentiated on
30 the phase continuum scale to determine the frequency. This frequency is effectively the rate
31 of heartbeat occurrences, also known as the heart rate. For proper determination of the
32 heart rate, the sampling rate needs to have finer granularity than the measured quantity, the
33 heart rate. In this case, processing at the video frame-rate (fps) satisfies this condition.

34 [0071] Phase angles can be linearized (or compensated) through a process known as
35 "unwrapping" or "unfolding" the continuously overlapping range of phase angle response (0

1 to 2π radians). This linearization process ensures the correct accumulation of the “rotating”
2 phase angles whenever normalizing the total phase delay which may exceed one period
3 (2π) of the signal frequency. After this normalization all phase delays from various ROIs
4 may be directly compared against each other

5 [0072] At block 214, the weighting module 120 then applies a weighting to each of the
6 differentially filtered signals. In a particular case, the weighting module 120 applies the
7 following weighting to each of the differentially filtered ROI signals: $W(i) = 1/(\text{STD}(dP))^2$
8 integrated over a 5 second interval. Whereby, ‘STD’ is a statistical standard-deviation
9 function measurement, ‘dP’ is the phase delta over the interval ‘i’, and $W(i)$ is the resulting
10 weight coefficient. The weighting represents an inverse relationship between noise, which is
11 modelled as exhibiting randomized, incoherent qualities and having a high standard
12 deviation, and the differentially filtered heart rate signal, which is slowly changing but
13 coherent. The weighting module 120 then applies a moving window to this weighting to
14 update each of the ROI signals weighting for the specific interval. The contribution of the
15 signal, representing the BPM estimate, from individual ROI signal banks will each be scaled
16 by the respective weighting output. The scaling will be inversely proportional to the
17 magnitude of each signal’s calculated weights. In further cases, a different interval may be
18 used, for example, 1 second, 2, second, 10 second, or the like.

19 [0073] All ROI signal banks will terminate their respective output signals, representing
20 the instantaneous BPM estimate, at the summation module 122. At block 216, the
21 summation module 122 will determine the average BPM based on the adaptively scaled
22 contributions from all the ROIs. At block 218, the output module 124 will then output the
23 calculated average BPM to an output device; for example, to a computer monitor, an LCD
24 screen on a wearable device, or the like.

25 [0074] Applicant recognized the substantial advantages of using a multi-dimensional
26 approach, as described herein, which offers the benefits of both ‘spatial’ diversity and ‘time’
27 diversity. Spatial diversity allows ROI signals to be acquired from non-overlapping
28 differentially located regions on the human subject’s face. ‘Time’ diversity allows
29 accumulation of time-series data which is simultaneously sampled with a synchronous or
30 fixed timing. Applicant recognized that a significant advantage of this approach being that
31 the quality of the BPM estimate is more robust to noise interference (for example outlier
32 data), and therefore more accurate than conventional approaches, while retaining the ability
33 to update the output BPM value at every sample interval (in this example, at the video frame
34 rate).

1 [0075] As an example, outlier data can distort the HC determinations and due to, for
2 example, uneven lighting conditions on the face, slowly changing shadows moving across
3 the face, or fixed facial obfuscations such as wrinkles, glasses, hair, and the like. With the
4 multi-dimensional approach, as described herein, leveraging the spatial dimension by
5 measuring the same signal at different points on the subject's face, the system is able to
6 reject inconsistent or outlier data. As an example, having the ROI signal chains capturing
7 approximately the same global heart-beat signal from 17 different points on the subject's
8 face. In some cases, an average of the 17 ROI signals, with equal weighting, may reduce
9 some outlier effects. As a further refinement, and for further accuracy, the multi-dimensional
10 approach, as described herein, applies a weighted average to determine heart rate, whereby
11 the weights being adaptively calculated to minimize data which has higher volatility.

12 [0076] In further embodiments, the system 100 could use an asynchronous sample rate.
13 The asynchronous sample rate can capture HC data from images at a rate not synchronized
14 or coupled with the video frame-rate. For example, capture the HC data at approximately 1
15 hertz, meaning 1 beat-per-second or 60 BPM nominal rate. Then, according to the Nyquist
16 sampling theory, sampling at a minimum of twice the highest signal rate. For example,
17 sampling at 5 hertz (or 5 frames per second), which would be much higher than required. In
18 addition, this sampling would have the benefit of allowing the system 100 to only have to
19 process 5 frames-per-second, rather than the more computationally intensive rates such as
20 30fps or 60 fps.

21 [0077] In further embodiments, the camera can be directed to the skin of different body
22 parts, such as for example the wrist or neck. From these body areas, the system may also
23 extract dynamic hemoglobin changes to determine blood flow, and thus acquire heart rate as
24 described herein. In some cases, optical sensors pointing, or directly attached to the skin of
25 any body parts such as for example the wrist or forehead, in the form of a wrist watch, wrist
26 band, hand band, clothing, footwear, glasses or steering wheel may be used. From these
27 body areas, the system may also extract blood flow data for heart rate determinations.

28 [0078] In still further embodiments, the system may be installed in robots and their
29 variables (e.g., androids, humanoids) that interact with humans to enable the robots to track
30 heart rate on the face or other-body parts of humans whom the robots are interacting with.

31 [0079] The foregoing system and method may be applied to a plurality of fields. In one
32 embodiment the system may be installed in a smartphone device to allow a user of the
33 smartphone to measure their heart rate. In another embodiment, the system may be
34 provided in a video camera located in a hospital room to allow the hospital staff to monitor
35 the heart rate of a patient without requiring invasive monitors.

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1 [0080] Further embodiments can be used in police stations and border stations to
2 monitor the heart rate of suspects during interrogation. In yet further embodiments, the
3 system can be used in marketing to see the heart rate changes of consumers when
4 confronted with specific consumer goods.

5 [0081] Other applications may become apparent.

6 [0082] Although the invention has been described with reference to certain specific
7 embodiments, various modifications thereof will be apparent to those skilled in the art
8 without departing from the spirit and scope of the invention as outlined in the claims
9 appended hereto. The entire disclosures of all references recited above are incorporated
10 herein by reference.

CLAIMS

1. A method for camera-based heart rate tracking of a human subject, the method comprising:
 - receiving a captured image sequence of light re-emitted from the skin of the human subject;
 - determining, using a machine learning model trained with a hemoglobin concentration (HC) changes training set, bit values from a set of bitplanes in the captured image sequence that represent the HC changes of the subject, the set of bitplanes being those that are determined to approximately maximize a signal-to-noise ratio (SNR), the HC changes training set comprising bit values from each bitplane of images captured from a set of subjects for which heart rate is known;
 - determining a facial blood flow data signal for each of a plurality of predetermined regions of interest (ROIs) of the subject captured by the images based on the HC changes;
 - applying a band-pass filter of a passband approximating the heart rate to each of the blood flow data signals;
 - applying a Hilbert transform to each of the blood flow data signals;
 - adjusting the blood flow data signals from revolving phase-angles into linear phase segments;
 - determining an instantaneous heart rate for each the blood flow data signals;
 - applying a weighting to each of the instantaneous heart rates;
 - averaging the weighted instantaneous heart rates; and
 - outputting the average heart rate.
2. The method of claim 1, wherein the ROIs are captured from the face of the subject.
3. The method of claim 1, wherein the ROIs are captured from the wrist or the neck of the subject.
4. The method of claim 1, wherein the ROIs are non-overlapping.
5. The method of claim 1, wherein determining a set of bitplanes that maximize SNR comprises:
 - performing pixelwise image subtraction and addition of bitplane vectors to maximize signal differences in all ROIs over a predetermined time period;
 - identifying bit values from bitplanes that increase the signal differentiation and bit values from bitplanes that decrease the signal differentiation or do not contribute to signal differentiation; and
 - discarding the bit values from the bitplanes that decrease the signal

differentiation or do not contribute to signal differentiation.

6. The method of claim 1, wherein the machine learning model comprises a Long Short Term Memory (LSTM) neural network or a non-linear Support Vector Machine.
7. The method of claim 1, wherein the passband is in a range of approximately 0.6 hertz to 1.2 hertz, where 60 heartbeats-per-minute is equivalent to 1 hertz.
8. The method of claim 1, wherein determining the instantaneous heart rate for each the blood flow data signals comprises applying a differential filter to the linear phase segments to convert the phase-angle data into frequency units representing a count value, the count value for each of the ROIs represents the instantaneous heart rate.
9. The method of claim 1, further comprising linearizing and differentiating the revolving phase-angles on a phase continuum scale to determine the instantaneous heart rate.
10. The method of claim 1, wherein the weighting is integrated over an interval in the range of approximately one second to ten seconds.
11. The method of claim 9, wherein the weighting is integrated over an interval of approximately five seconds.
12. A system for camera-based heart rate tracking of a human subject, the system comprising one or more processors and a data storage device, the one or more processors configured to execute:
 - a TOI module to receive a captured image sequence of light re-emitted from the skin of a human subject, the TOI module determines, using a machine learning model trained with a hemoglobin concentration (HC) changes training set, bit values from a set of bitplanes in the captured image sequence that represent the HC changes of the subject, the set of bitplanes being those that are determined to approximately maximize a signal-to-noise ratio (SNR), the HC changes training set comprising bit values from each bitplane of images captured from a set of subjects for which heart rate is known, the TOI module determines a facial blood flow data signal for each of a plurality of predetermined regions of interest (ROIs) of the subject captured by the images based on the HC changes;
 - a filtering module to apply a band-pass filter of a passband approximating the heart rate to each of the blood flow data signals;
 - a Hilbert transform module to apply a Hilbert transform to each of the blood flow data signals;
 - an adjustment module to adjust the blood flow data signals from revolving phase-angles into linear phase segments;
 - a derivative module to determine an instantaneous heart rate for each the blood flow data signals;
 - a weighting module to apply a weighting to each of the instantaneous heart

- rates;
a summation module to average the weighted instantaneous heart rates; and
an output module to output the average heart rate.
13. The system of claim 12, wherein the ROIs are captured from the face of the subject.
 14. The system of claim 12, wherein the ROIs are non-overlapping.
 15. The system of claim 12, wherein the TOI module determines a set of bitplanes that maximize SNR by:
 - performing pixelwise image subtraction and addition of bitplane vectors to maximize signal differences in all ROIs over a predetermined time period;
 - identifying bit values from bitplanes that increase the signal differentiation and bit values from bitplanes that decrease the signal differentiation or do not contribute to signal differentiation; and
 - discarding the bit values from the bitplanes that decrease the signal differentiation or do not contribute to signal differentiation.
 16. The system of claim 12, wherein the passband is in a range of approximately 0.6 hertz to 1.2 hertz, where 60 heartbeats-per-minute is equivalent to 1 hertz.
 17. The system of claim 12, wherein the derivative module determines the instantaneous heart rate for each the blood flow data signals by applying a differential filter to the linear phase segments to convert the phase-angle data into frequency units representing a count value, the count value for each of the ROIs represents the instantaneous heart rate.
 18. The system of claim 12, wherein the derivative module linearizes and differentiates the revolving phase-angles on a phase continuum scale to determine the instantaneous heart rate.
 19. The system of claim 12, wherein the weighting applied by the weighting module is integrated over an interval in the range of approximately one second to ten seconds.
 20. The system of claim 12, wherein the weighting applied by the weighting module is integrated over an interval of approximately five seconds.

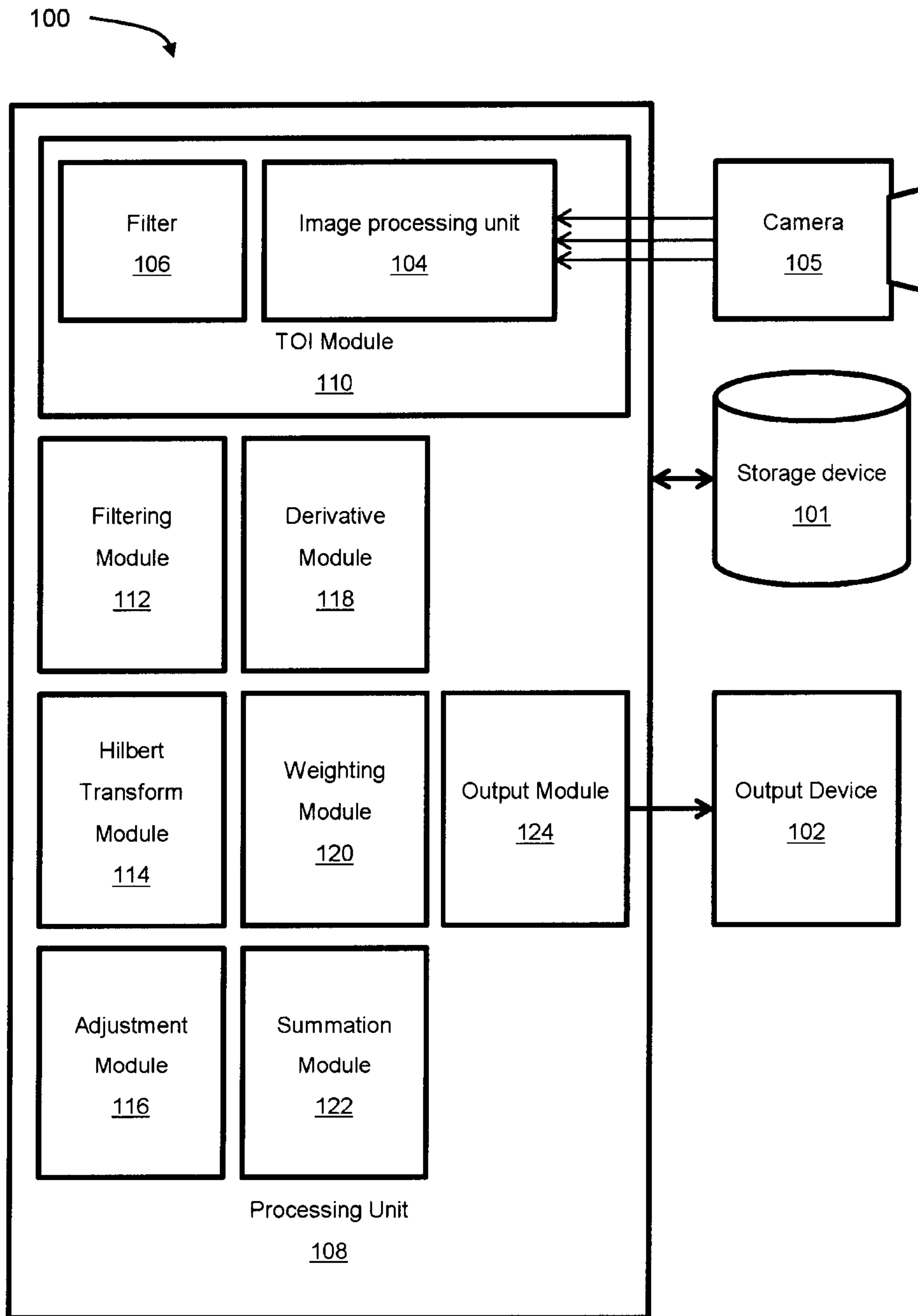


FIG. 1

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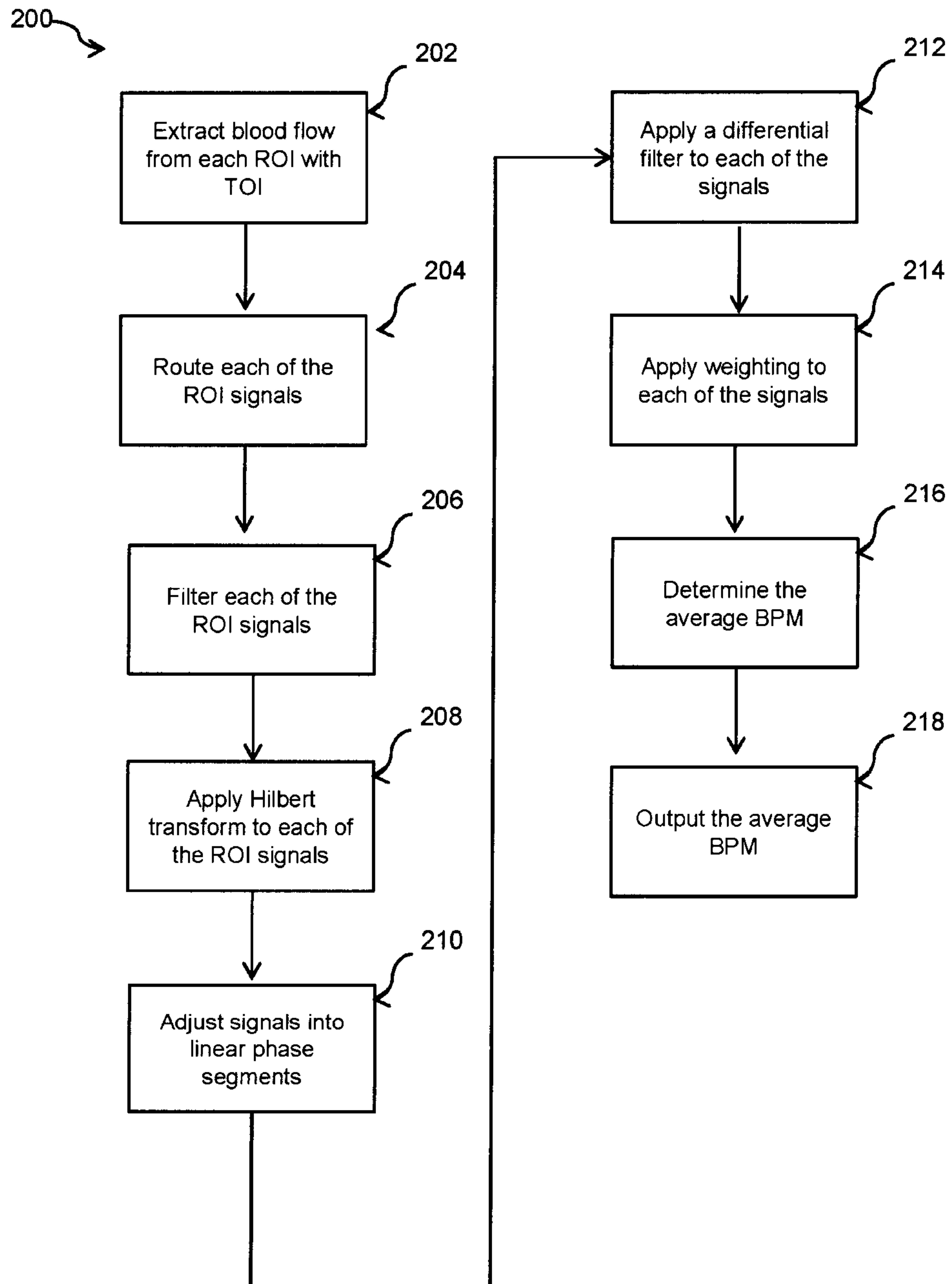


FIG. 2

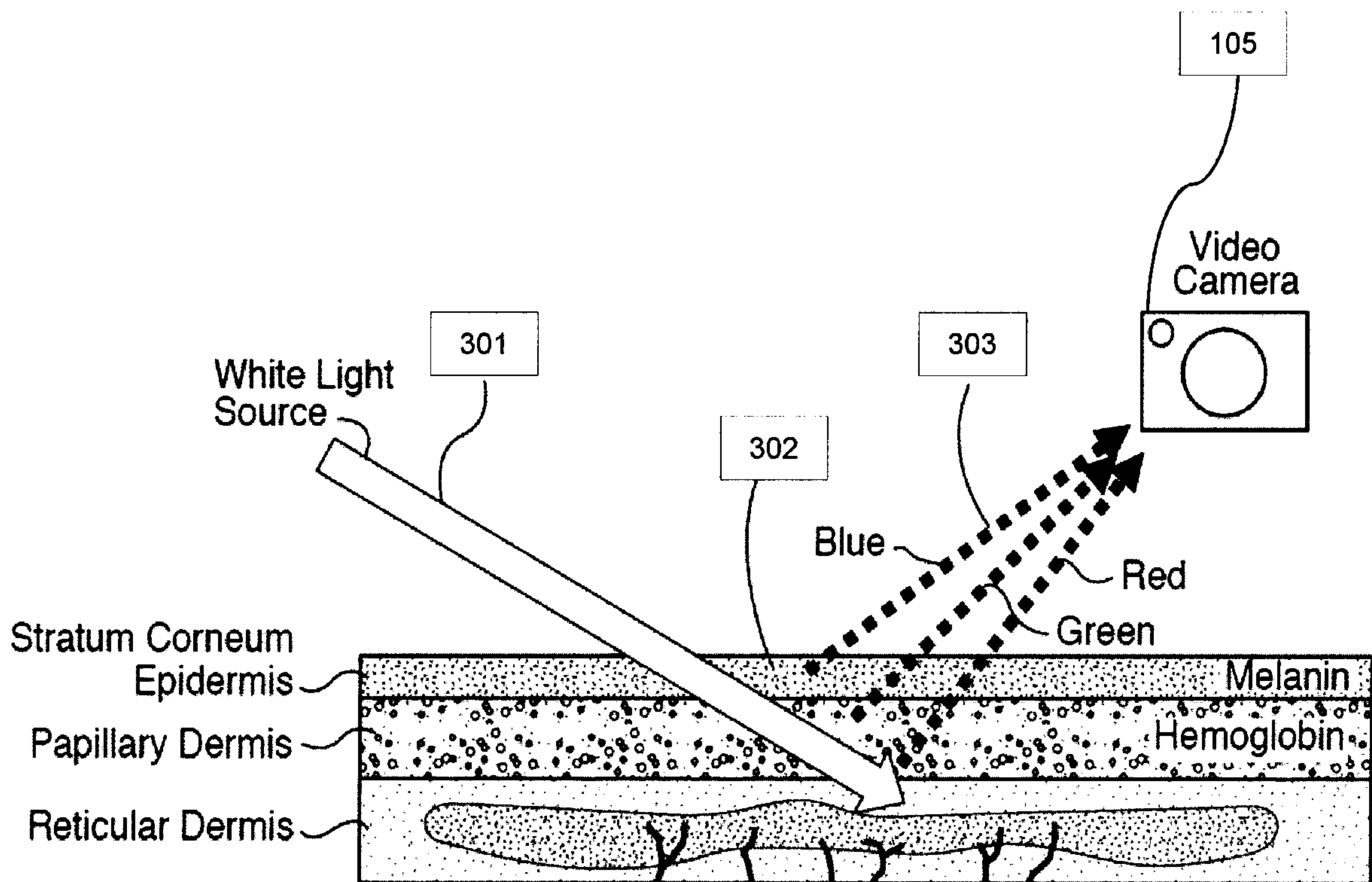


FIG. 3



FIG. 4

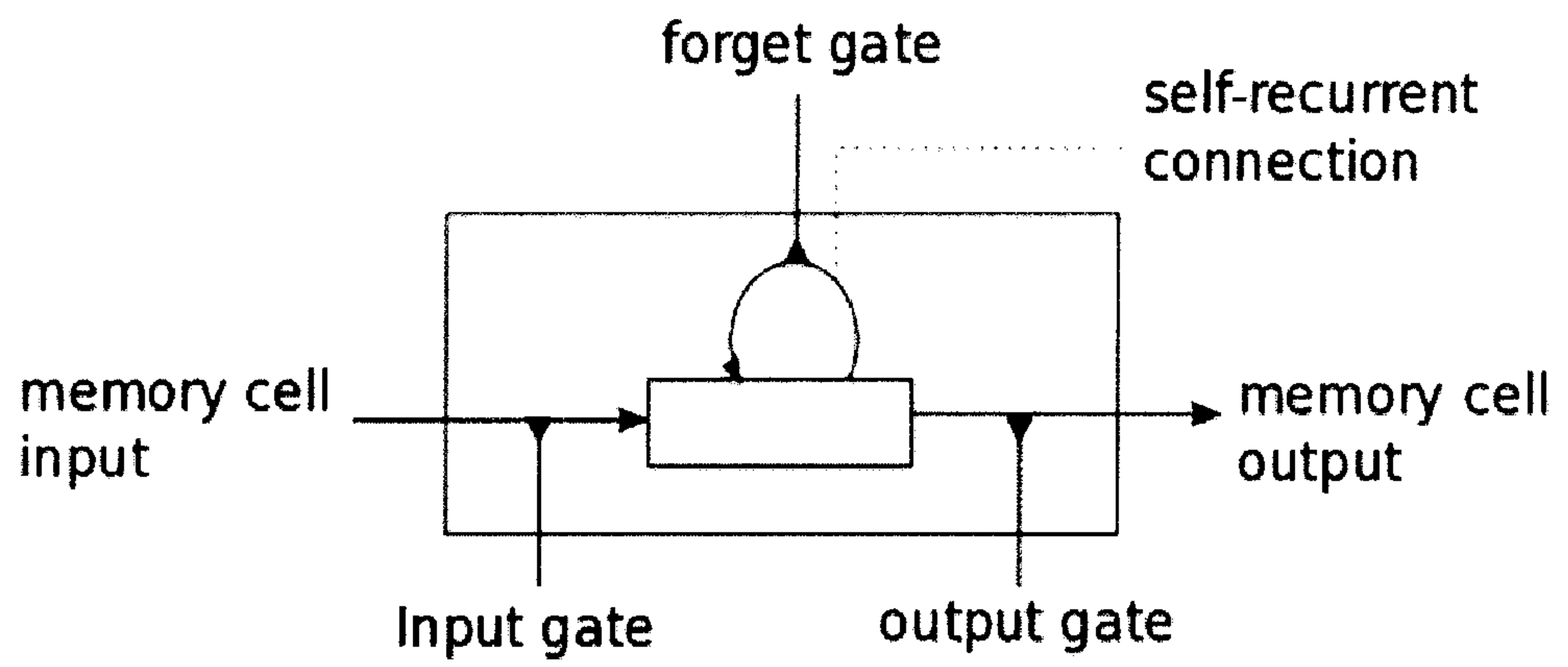


FIG. 5

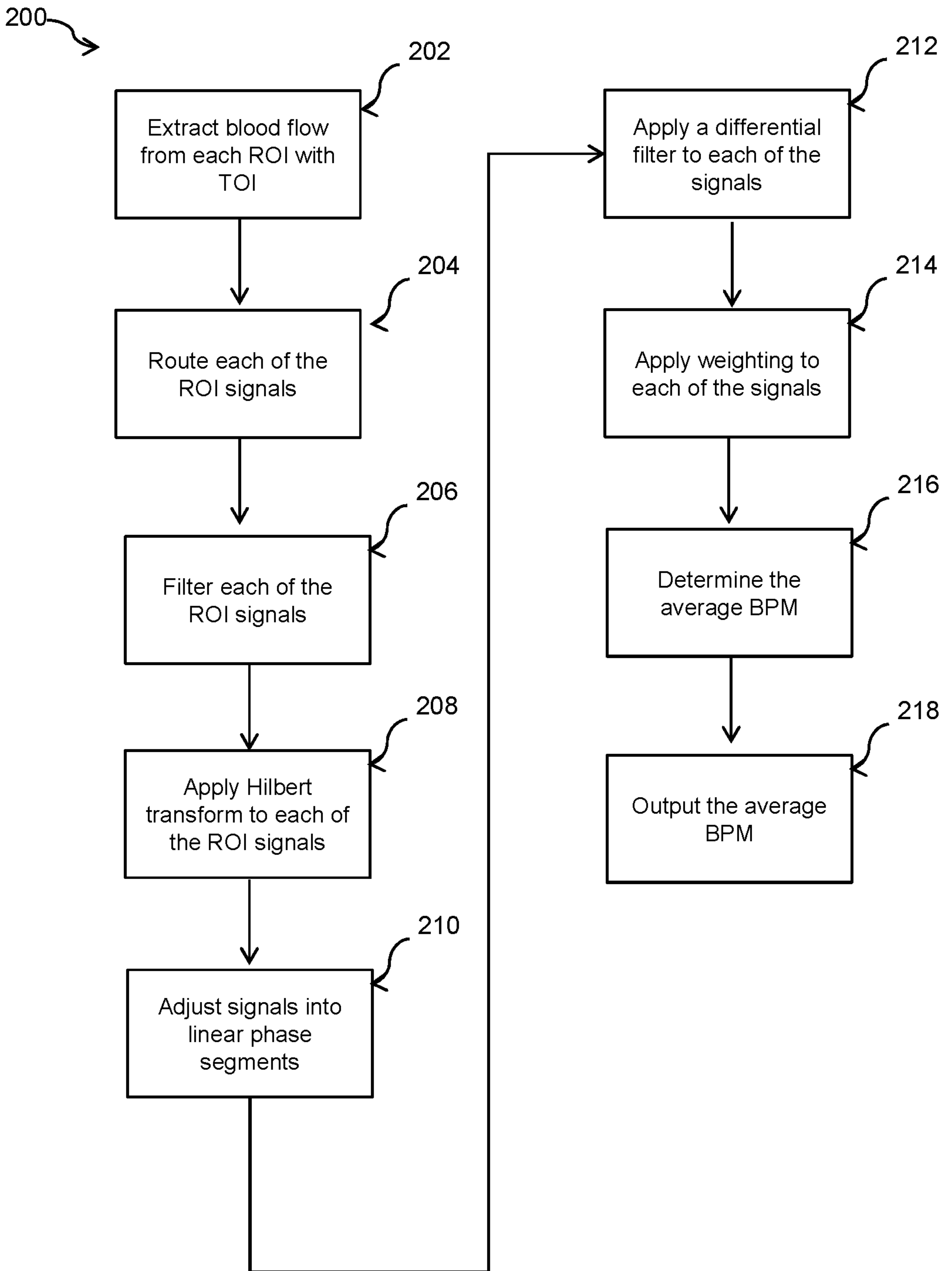


FIG. 2