



(86) Date de dépôt PCT/PCT Filing Date: 2009/09/15  
 (87) Date publication PCT/PCT Publication Date: 2010/03/18  
 (45) Date de délivrance/Issue Date: 2018/02/06  
 (85) Entrée phase nationale/National Entry: 2010/10/29  
 (86) N° demande PCT/PCT Application No.: US 2009/056911  
 (87) N° publication PCT/PCT Publication No.: 2010/031027  
 (30) Priorité/Priority: 2008/09/15 (US61/097,082)

(51) Cl.Int./Int.Cl. *G05B 19/042* (2006.01),  
*F25D 29/00* (2006.01), *G06Q 50/06* (2012.01),  
*H02J 13/00* (2006.01)

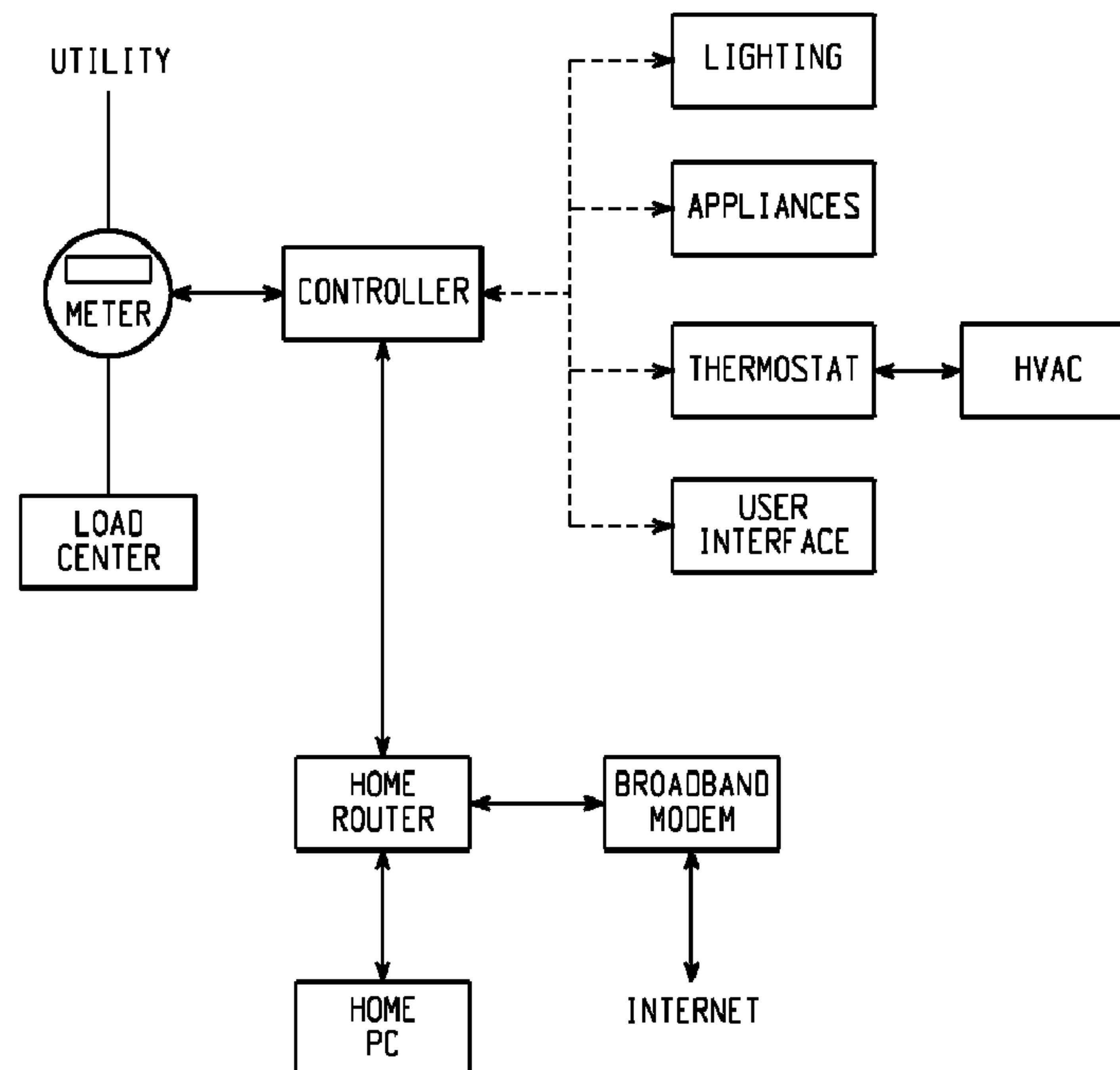
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(54) Titre : GESTION D'ENERGIE D'APPAREILS ELECTROMENAGERS  
 (54) Title: ENERGY MANAGEMENT OF HOUSEHOLD APPLIANCES

SYSTEM DIAGRAM



(57) Abrégé/Abstract:

A system and method of managing energy use in a home, and more particularly to energy management of household consumer appliances, are provided. Existing appliances can be modified with add-on features or modules, and likewise new energy saving

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(57) Abrégé(suite)/Abstract(continued):

features and functions can be incorporated into new appliances. Communication among a home energy manager, an appliance, and a utility meter measures energy usage and/or a local generator controls operation of various appliances such as a refrigerator, washer, dryer, oven/range, microwave oven, dishwasher, HVAC system hot water heater, and the like.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
18 March 2010 (18.03.2010)(10) International Publication Number  
**WO 2010/031027 A3**

## (51) International Patent Classification:

G05D 3/12 (2006.01) G05D 11/00 (2006.01)  
G05D 5/00 (2006.01) G05D 17/00 (2006.01)  
G05D 9/00 (2006.01)

## (21) International Application Number:

PCT/US2009/056911

## (22) International Filing Date:

15 September 2009 (15.09.2009)

## (25) Filing Language:

English

## (26) Publication Language:

English

## (30) Priority Data:

61/097,082 15 September 2008 (15.09.2008) US

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(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI,

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## (54) Title: ENERGY MANAGEMENT OF HOUSEHOLD APPLIANCES

## SYSTEM DIAGRAM

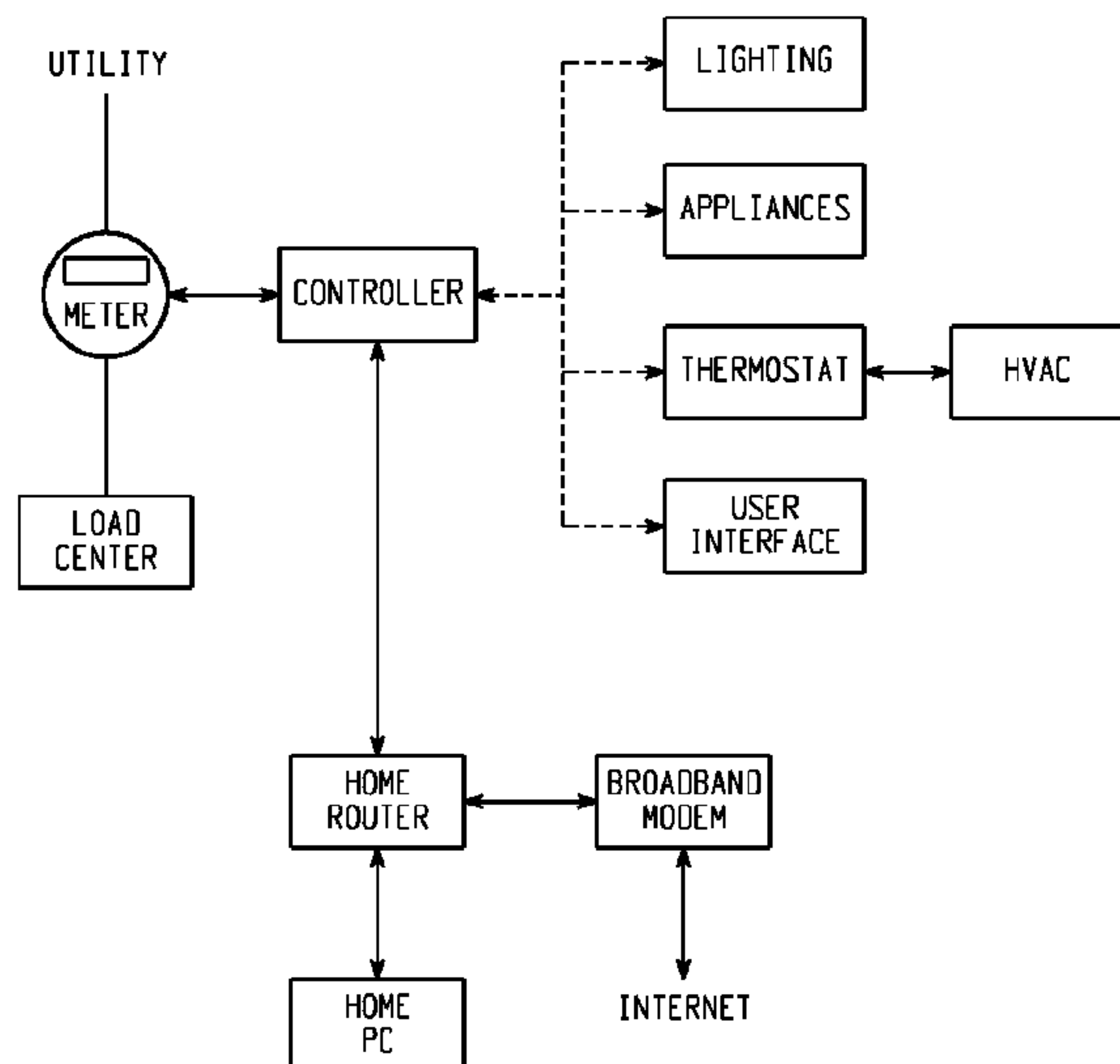


Fig. 1

(57) Abstract: A system and method of managing energy use in a home, and more particularly to energy management of household consumer appliances, are provided. Existing appliances can be modified with add-on features or modules, and likewise new energy saving features and functions can be incorporated into new appliances. Communication among a home energy manager, an appliance, and a utility meter measures energy usage and/or a local generator controls operation of various appliances such as a refrigerator, washer, dryer, oven/range, microwave oven, dishwasher, HVAC system hot water heater, and the like.

**WO 2010/031027 A3**

NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

**(84) Designated States** (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Published:**

- with international search report (Art. 21(3))
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments (Rule 48.2(h))

**(88) Date of publication of the international search report:**

6 May 2010



## ENERGY MANAGEMENT OF HOUSEHOLD APPLIANCES

## BACKGROUND

[0001] This disclosure relates to energy management, and more particularly to energy management of household consumer appliances. The disclosure finds particular application to changing existing appliances via add-on features or modules, and incorporating new energy saving features and functions into new appliances.

[0002] Currently utilities charge a flat rate, but with increasing cost of fuel prices and high energy usage at certain parts of the day, utilities have to buy more energy to supply customers during peak demand. Consequently, utilities are charging higher rates during peak demand. If peak demand can be lowered, then a potential huge cost savings can be achieved and the peak load that the utility has to accommodate is lessened.

[0003] One proposed third party solution is to provide a system where a controller "switches" the actual energy supply to the appliance or control unit on and off. However, there is no active control beyond the mere on/off switching. It is believed that others in the industry cease some operations in a refrigerator during on-peak time.

[0004] For example, in a refrigerator most energy is consumed to keep average freezer compartment temperature at a constant level. Recommended temperature level is based on bacteria multiplication. Normally recommended freezer temperature for long (1-2 month) food storage is 0 degrees F. Research shows that bacteria rise is a linear function of the compartment temperature, i.e., the lower the temperature the lower the bacteria multiplication. Refrigerator designers now use this knowledge to prechill a freezer compartment (and in less degree a refrigerator compartment also) before defrost, thus keeping an average temperature during time interval that includes before, during, and after defrost at approximately the same level (for example, 0 degrees F).

[0005] There are also currently different methods used to determine when variable electricity-pricing schemes go into effect. There are phone lines, schedules, and wireless signals sent by the electrical company. One difficulty is that no peak shaving method for an appliance



such as a refrigerator will provide a maximal benefit. Further, different electrical companies use different methods of communicating periods of high electrical demand to their consumers. Other electrical companies simply have rate schedules for different times of day.

[0006] Electrical utilities moving to an Advanced Metering Infrastructure (AMI) system will need to communicate to appliances, HVAC, water heaters, etc. in a home or office building. All electrical utility companies (more than 3,000 in the US) will not be using the same communication method to signal in the AMI system. Similarly, known systems do not communicate directly with the appliance using a variety of communication methods and protocols, nor is a modular and standard method created for communication devices to interface and to communicate operational modes to the main controller of the appliance. Although conventional WiFi/ZigBee/PLC communication solutions are becoming commonplace, this disclosure introduces numerous additional lower cost, reliable solutions to trigger “load shedding” responses in appliances or other users of power. This system may also utilize the commonplace solutions as parts of the communication protocols.

#### BRIEF DESCRIPTION OF THE DISCLOSURE

[0007] The present disclosure reduces power consumption during on-peak hours by reducing the energy demand on the power generation facility, and also enabling the user/consumer to pay less to operate the appliance on an annual basis.

[0008] This disclosure is a low-cost alternative to using expensive or complicated methods of determining when peak electrical rates apply. For example, when the refrigerator is in peak shaving mode (or it could be programmed to do this constantly), an ambient light sensor determines when it is morning, and then stays in energy-saving mode for a predetermined number of hours. Preferably, the system will need a counter to know that the room has been dark for a predetermined number of hours. When the lights come on for a certain length of time, then the system knows, for example, that it is morning.

[0009] This disclosure provides a peak-shaving appliance such as a refrigerator, including a method to determine when to go into peak-shaving mode without using additional



components, or components that have another purpose, and provides a high percentage of the maximum benefit for negligible cost. The two components needed for this are an ambient light sensor and a timer. The kitchen will be dark for an extended period of time while everyone is sleeping. The light sensor and the timer will be used to determine that it is nighttime and morning can be determined by the light sensor. When the refrigerator determines it is morning, the timer will be used to initiate peak shaving mode after some delay time. For example, peak shaving mode could start three hours after it is determined morning starts. Similarly, the ambient light sensor can also be used for dimming the refrigerator lights. This disclosure advantageously uses ambient light to determine when to start peak shaving.

[0010] An appliance interface can be provided for all appliances leaving the module to communicate with the AMI system. The system provides for appliance sales with a Demand Side Management capable appliance. The Demand Side Management Module (DSMM) is provided to control the energy consumption and control functions of an appliance using a communication method (including but not limited to PLC, FM, AM SSB, WiFi, ZigBee, Radio Broadcast Data System, 802.11, 802.15.4, etc.). The modular approach will enable an appliance to match electrical utility communication requirements. Each electrical utility region may have different communication methods, protocol methods, etc. This modular approach allows an appliance to be adapted to a particular geographical area of a consumer or a particular electrical provider. The module can be added as a follow on feature and applied after the appliance is installed. Typical installations could include an integral mounted module (inside the appliance or unit) or an externally mounted module (at the wall electrical receptacle or anywhere outside the appliance or unit). The module in this disclosure provides for 2 way communications if needed, and will provide for several states of operation - for example, 1) normal operation, 2) operation in low energy mode (but not off), and 3) operation in lowest energy mode.

[0011] This module could be powered from the appliance or via a separate power supply, or with rechargeable batteries. The rechargeable batteries could be set to charge under off-peak conditions. With the module powered from the appliance, the appliance could turn it off until the appliance needed to make a decision about power usage, eliminating the standby power draw of



the module. If powered separately, the appliance could go to a low energy state or completely off, while the module continued to monitor rates.

[0012] Use of RFID tags in one proposed system should offer significant savings since the RFID tags have become very low cost due to the proliferation of these devices in retail and will effectively allow the enabled appliance to effectively communicate with the utility meter (e.g., receive signals from the utility meter). This system makes it very easy for a customer to manage energy usage during peak demand periods and lowers the inconvenience level to the customer by not shutting off appliances in the home by the utility. When local storage and local generation are integrated into the system, then cost savings are seen by the customer. This system also solves the issue of rolling brownouts/blackouts caused by excessive power demand by lowering the overall demand. Also, the system allows the customer to pre-program choices into the system that will ultimately lower utility demand as well as save the customer money in the customer's utility billing. For instance, the customer may choose to disable the defrost cycle of a refrigerator during peak rate timeframes. This disclosure provides for the controller to "communicate" with the internal appliance control board and command the appliance to execute specific actions with no curtailment in the energy supply. This disclosure further provides a method of communicating data between a master device and one or more slave devices using RFID technology. This can be a number of states or signals, either using one or more passive RFID tags that resonate at different frequencies resonated by the master, or one or more active RFID tags that can store data that can be manipulated by the master device and read by the slave device(s). The states in either the passive or active RFID tags can then be read by the microcontroller on the slave device(s) and appropriate functions /actions can be taken based upon these signals.

[0013] Another exemplary embodiment uses continuous coded tones riding on carrier frequencies to transmit intelligence, for example, when one is merely passing rate information such as rate 1, 2, 3, or 4, using the tones to transmit the signals. One could further enhance the details of the messaging by assigning a binary number to a given tone, thus allowing one to "spell out" a message using binary coding with multiple tones. The appliance microcomputer would be programmed to respond to a given number that would arrive in binary format.



[0014] One advantage of this approach is that customers have complete control of their power. There have been proposals by utilities to shut off customers if they exceed demand limits or increase the number of rolling brownouts. This method also gives a customer finer granularity in their home in terms of control. A customer does not have to load shed a room just to manage a single device.

[0015] This disclosure also advantageously provides modes of load shedding in the appliance, lighting, or HVAC other than "on/off" to make the situation more acceptable from the perspective of the customer.

[0016] An advantage of the present disclosure is the ability to produce appliances with a common interface and let the module deal with the Demand Side Management.

[0017] Another advantage is the ability to control functions and features within the appliance and/or unit at various energy levels, i.e., as opposed to just an on/off function.

[0018] Another advantage is that the consumer can choose the module or choose not to have the module. If the module is chosen, it can be matched to the particular electrical utility service provider communication method of the consumer.

[0019] Another benefit is the increased flexibility with an associated electrical service provider, and the provision of several modes of operation (not simply an on/off mode). The module can be placed or positioned inside or outside the appliance and/or unit to provide demand side management.

[0020] Still other benefits relate to modularity, the ability to handle multiple communication methods and protocols without adversely impacting the cost of the appliance, opening up appliances to a variety of protocols, enabling demand side management or energy management, and/or providing for a standard interface to the appliance (for example, offering prechill and/or temperature set change during on-peak hours).

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[0021] Low cost, reliable RF transmissions within the home, rather than using industrial solutions such as PLC or Zigbee solutions which are significantly more costly than the aforementioned system.

[0022] Still other features and benefits of the present disclosure will become apparent from reading and understanding the following detailed description.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0023-1] FIG. 1 is a schematic of the system for energy management of household appliances.

[0023-2] FIG. 2 is a schematic of the flow diagram of the controller.

[0023-3] FIG. 3 is a schematic of the flow diagram of a household appliance.

[0023-4] FIG. 4 is a schematic of a first option of controller and household appliance interaction.

[0023-5] FIG. 5 is a schematic of a second option of controller and household appliance interaction.

[0023-6] FIG. 6 is a schematic of the monitoring of the demand and loads.

[0023-7] FIGS. 7 and 8 are schematics showing possible inclusion of local storage and local generation into the system.

[0023-8] FIGS. 9A and 9B are a table of appliance operations in response to different demand/energy levels.

[0023-9] FIG. 10 is a representation of input selection.

[0023-10] FIG. 11 shows a utility company and time of use schedule.

[0023-11] FIGS. 12 and 13 illustrate time and day of use schedules.

[0023-12] FIGS. 14-19 show user interfaces and display information for a representative appliance.



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[0023-13] FIGS. 20 and 21 are a list of actions and modes that can be adopted by a representative appliance.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

[0024] In one embodiment, a more advanced system is provided to handle energy management between the utility and the homeowner's appliances. The system can include one or more of the following: a controller, utility meter, communication network, intelligent appliances, local storage, local generator and/or demand server. Less advanced systems may actually allow the appliance to "communicate directly with the utility meter or mesh network through the DSSM (Demand Side Management Module) (Figure 1). The demand server is a computer system that notifies the controller when the utility is in peak demand and what is the utility's current demand limit. A utility meter can also provide the controller the occurrence of peak demand and demand limit. The demand limit can also be set by the home owner. Additionally, the homeowner can choose to force various modes in the appliance control based on the rate the utility is charging at different times of the day. The controller will look at the energy consumption currently used by the home via the utility meter and see if the home is exceeding the demand limit read from the server. If the demand limit is exceeded, the controller will notify the intelligent appliances, lighting and thermostat/HVAC (Figure 2).

[0025] Each intelligent appliance has a communication interface that links itself to the controller (Figure 3). This interface can be power-line carrier, wireless, and/or wired. The controller will interact with the appliance and lighting controls as well as thermostat (for HVAC) to execute the users preferences/settings.

[0026] Enabled appliances receive signals from the utility meter and help lower the peak load on the utility and lower the amount of energy that the consumer uses during high energy cost periods of the day. There are several ways to accomplish this, through wireless



communication (ZigBee, WiFi, etc) or through PLC (power line carrier) communication. Alternatively, using passive RFID tags that resonate at different frequencies resonated by the master, or one or more active RFID tags that can store data that can be manipulated by the master device and read by the slave devices(s) is an effective and potentially lower cost communication solution since there is no protocol. Rather, a pulse of energy at a particular frequency will allow a low cost method with an open protocol for transmitting/communicating between a master device and one or more slave devices, and appropriate functions/actions can be taken based upon these signals.

[0026] The interaction between controller and appliances can occur in two ways. For example, in one scenario during a peak demand period, the controller will receive a demand limit from the utility, demand server or user. The controller will then allocate the home's demand based on two factors: priority of the appliance and energy need level (Figure 4). The priority dictates which appliances have higher priority to be in full or partial energy mode than other appliances. Energy need dictates how much energy is required for a certain time period in order for that appliance to function properly. If the appliance's energy need is too low to function properly, the appliance moves to a normal mode or a higher energy need level. The energy saving mode is typically a lower energy usage mode for the appliance such as shutdowns of compressors and motors, delayed cycles, higher operating temperatures in summer or lower operating temperatures in winter until the peak demand period is over. Once the demand limit is reached, the appliances will stay in their energy mode until peak demand is over, or a user overrides, or appliance finishes need cycle or priority changes. The controller constantly receives status updates from the appliances in order to determine which state they are in and in order to determine if priorities need to change to accomplish the system goals.

[0027] In a second scenario, for example, a set point is provided. During a peak demand period, the controller will tell each appliance to go into peak demand mode (Figure 5). The appliance will then go into a lower energy mode. The customer can deactivate the energy savings mode by selecting a feature on the appliance front end controls (i.e. user interface board) before or during the appliance use or at the controller. The controller can also communicate to a local storage or power generation unit. This local unit is connected to the incoming power



supply from the utility. The controller notifies the storage unit to charge when it is not in peak demand, if a storage unit is included and available. If the storage unit has enough energy to supply the appliances during peak demand, then the controller will switch the home's energy consumption from the utility to the storage unit. The unit can also be local generator/storage such as solar, hydrogen fuel cell, etc.

[0028] The central controller handles energy management between the utility and home appliances, lighting, thermostat/HVAC, etc. with customer choices incorporated in the decision making process. The controller may include notification of an energy saving mode based on demand limit read from one or more of a utility meter, utility, demand server or user. An energy savings mode of an appliance can thereby be controlled or regulated based on priority and energy need level sent from the controller and/or the customer (Figure 6). Likewise, consideration to use of local energy storage and use of a local generator to offset peak demand limit can be incorporated into the energy management considerations, or provide the ability to override mode of energy savings through the controller or at the appliance, lighting, or thermostat/HVAC (Figures 7 and 8).

[0029] The present disclosure has the ability for the home to shed loads in pending brown-out or black-out situations, yet have intelligence to prevent an improper action such as shutting down the refrigerator for extended timeframes that might compromise food storage safety.

[0030] How much energy the appliance consumes in peak demand is based on priority of the device and the energy need level. If the appliance's priority is high, then the appliance will most likely not go into a saving mode. The energy need level is based on how little energy the appliance can consume during peak demand and still provide the function setting it is in (i.e. in a refrigerator, ensuring that the temperature is cool enough to prevent spoiling). It will also be appreciated that an appliance may have multiple energy need levels.

[0031] The controller will be the main product with the communication and settings control incorporated within future appliances. Specific meters will be selected so that the



controller can read the demand usage. It is intended that the demand server will possibly be purchased or leased to the utility.

[0032] A method is provided for constructing an appliance designed to perform any key function, the appliance comprises of several mechanical and electrical elements controlled by a main controller. This main controller has a port for receiving information regarding the operational state of the appliance. The port also has a user interface or switch which could be used to override the information received by the controller through the port. Two-way or one-way communication devices may be connected to the port. These communication devices will receive signals from a remote controller, process those signals and as a result communicate an operational state to the main controller of the appliance. This operational state is communicated to the main controller by one or more remote controllers in a specific format determined by the appliance. These signals from the remote controller(s) could be based on a variety of communication methods and associated protocols. On receiving the operational state signal, the appliance main controller causes the appliance to run a predetermined operational mode. These operational modes are designed into the appliance(s) and result in different resource consumption levels or patterns, even delaying use. Resources could include energy, water, air, heat, sunlight, time, etc. In future appliance models, the consumer might be given the authority to modify the appliance responses to a given rate signal. The consumer would be presented a "check box" of potential response modes and allowed to choose within set parameters. For instance, the consumer might be allowed to choose the amount of temperature adjustment a refrigerator will make in response to a high utility rate.

[0033] A method of communicating data between a master device and one or more slave devices may advantageously use continuous tone-coded transmission system. This can be a number of states or signals, either using one or more continuous tones that signify different rate states coming from the home area network (from meter) or the utility. Additionally, one could send a combination of tones to transmit binary messages using a few tones. The slave devices will incorporate a receiver that receives the carrier frequency and then decodes the continuous tone which corresponds to the particular state of the utility rate. Once the "receiver board" detects the tone, then the downstream circuitry will trigger the appropriate response in the



appliance. The carrier frequency in this scheme can be numerous spectrums, one being the FM broadcast band or a specific FM band allocated by the FCC for low level power output. The advantage of broadcast band FM is the low cost of such devices and the potential to penetrate walls, etc. within a home with very low levels of power due to the long wavelength of the 89-106Mhz carrier. This process is used today in 2-way radio communications to reduce the annoyance of listening to multiple users on shared 2-way radio frequencies. The process in these radios is referred to as CTCSS (continuous tone-coded squelch system) and would find application in this end use.

[0034] Generally, it is not known to have modular interfaces that can receive signals from a control source. Also, no prior arrangements have functioned by addressing the control board of the appliance with a signal that directs the appliance to respond.

[0035] Thus, by way of example only, the structure and/or operation of a refrigerator (Figure 9, although other appliances are also represented) may be modified or altered by reducing the temperature, especially in the freezer compartment pre on-peak time and further temporarily provide a compartment temperature increase to shave on-peak load. Specifically, defrost operation could be delayed until off-peak time. Alternatively or conjunctively, the freezer and refrigerator temperature setpoints may be set to maintain less compressor on time during on-peak demand times. Similarly, the refrigerator/freezer could be programmed so that lights will not be permitted to come on or the lights must be dimmed lights during on-peak demand times. During on-peak demand times, the fan operating speeds can be reduced, and/or compressor operating speed reduced in order to reduce energy consumption. Still another option is to reduce the delay time for the door alarm to sound during on-peak time. Other power load reducing measures in a refrigerator may include (reducing before on-peak hours) the temperature of the freezer and refrigerator compartments in a refrigerator (prechill) and slightly increase temperature setting during on-peak rates. For example, just before peak rate time, the temperature setting could be decreased by 1-2 degrees (during off-peak rates). Some communication line with the electrical company could be established. Thus, the electrical company may be able to send a signal in advance to prechill the refrigerator (or in the case of an



air conditioner, decrease the room temperature during off-peak rates as a pre-chill maneuver) and, in turn, increase the temperature setting during on-peak rates.

[0036] Still other energy consuming practices of the exemplary refrigerator that may be altered include turning the ice-maker off during on-peak demand times, or disabling the crushed ice mode during on-peak demand times. Alternatively, the consumer may be given the ability to select via a user interface which items are incorporated into the on-peak demand via an enable/disable menu, or to provide input selection such as entry of a zip code (Figure 10) in order to select the utility company and time of use schedule (Figure 11), or using a time versus day of the week schedule input method (Figures 12-13).

[0037] The user interface may also incorporate suggested energy saving tips or show energy usage, or provide an indicator during on-peak mode, or provide a counter to illustrate the energy impact of door opening, or showing an energy calculator to the consumer to serve as a reminder of the impact of certain selections/actions on energy use or energy conservation (Figures 14-19).

[0038] One path that is being pursued from the appliance perspective is to allow the onboard CPU (microprocessor) of the appliance to determine how to respond to an incoming signal asking for a load shedding response. For example, the CPU will turn on, turn off, throttle, delay, adjust, or modify specific functions and features in the appliance to provide a turndown in power consumption (Figure 20). Figure 21 defines specifically exemplary modes of what are possible. The main feature here is the enabling of the main board microprocessor or CPU to execute actions in the appliance to deliver load shedding (lowering power consumption at that instant). The actions available in each appliance are only limited to the devices that the CPU has control over, which are nearly all of the electrical consuming devices in an appliance. This may work better where the appliance has an electronic control versus an electromechanical control.

[0039] Of course, the above description focuses on the refrigerator but these concepts are equally applicable to other home appliances such as dishwashers, water heaters, washing machines, clothes dryers, televisions (activate a recording feature rather than turning on the television), etc., and the list is simply representative and not intended to be all encompassing.



[0040] Likewise, although these concepts have been described with respect to appliances, they may find application in areas other than appliances and other than electricity usage. For example, a controller that acts as an intermediary between the utilities meter and the appliance interprets the utility signal, processes it and then submits this signal to the appliance for the prescribed reaction. In a similar fashion, the controller may find application to other household utilities, for example, natural gas and water within the home. One can equip the water and gas meters to measure flow rates and then drive responses to a gas water heater or gas furnace precisely like the electrical case. This would assume that one might experience variable gas and water rates in the future. Secondly, the flow meters being connected to the controller could provide a consumer with a warning as to broken or leaking water lines by comparing the flow rate when a given appliance or appliances are on to the normal consumption. In cases where safety is a concern, the system could stop the flow of gas or water based on the data analysis.

[0041] Another feature might be the incorporation of "remote subscription" for the utility benefit. In some cases, the utility will be providing customers discounts/rebates for subscribing to DSM in their appliances, hot water heaters, etc. The "remote subscription" feature would allow the utility to send a signal that would "lockout" the consumer from disabling the feature since they were on the "rebate" program.

[0042] Another feature that the controller lends itself to is the inclusion of "Remote diagnostics". This feature would allow the appliance to send a signal or message to the controller indicating that something in the appliance was not up to specifications. The controller could then relay this signal to the utility or to the appliance manufacturer via the various communication avenues included into the controller (i.e., WIFI, WIMAX, Broadband, cell phone, or any other formats that the controller could "speak").

[0043] In the case of a remote subscription, the utilities today rely on the honesty of their subscribers to leave the DSM system functional. Some people may receive the discounts/rebate and then disable the feature that drives the load shedding. With this system, the utility can ensure that the feature will be enabled and provide the proper load shedding.

[0044] The invention has been described with reference to the preferred embodiments. Obviously, modifications and alterations will occur to others upon reading and understanding the preceding detailed description. It is intended that the invention be construed as including all such modifications and alterations.



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## WHAT IS CLAIMED IS:

1. A household energy management system for one or more appliances, comprising:

an appliance with one or more power consuming devices;

a controller for managing power consumption of the appliance;

a utility meter for measuring an amount of energy usage to a household from a source utility, the utility meter communicating to the controller one or more parameters of the utility;

a local energy storage device for storing energy, the local energy storage device coupled with the controller, the source utility and the household to provide power to the appliance; and

a communication network connecting the controller to one or more of the utility meter and the local energy storage device,

wherein the controller is configured to manage power consumption of the household in a non-peak demand mode and a peak demand mode as indicated by a demand limit,

wherein power from the source utility that is received in the peak demand mode is more expensive relative to power from the source utility during the non-peak demand mode;

wherein the controller directs operation of the local energy storage device to cause the local energy storage device to charge via power supplied by the source utility in the non-peak demand mode and to discharge to provide power to the household to operate the one or more power consuming devices of the appliance in the peak demand mode; and

wherein a user interface gives a user the ability to select which of the one or more power consuming devices are scheduled, delayed or adjusted by the controller, that receives a zip code entry which enables the controller to select a source utility corresponding to the household and a time of use schedule of the source utility corresponding to the household, that receives a rate signal directly from the source utility advising of the current rate, and that displays or indicates energy saving tips, energy usage,

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activation of non-peak demand or peak demand modes, a door opening counter, and an energy calculator.

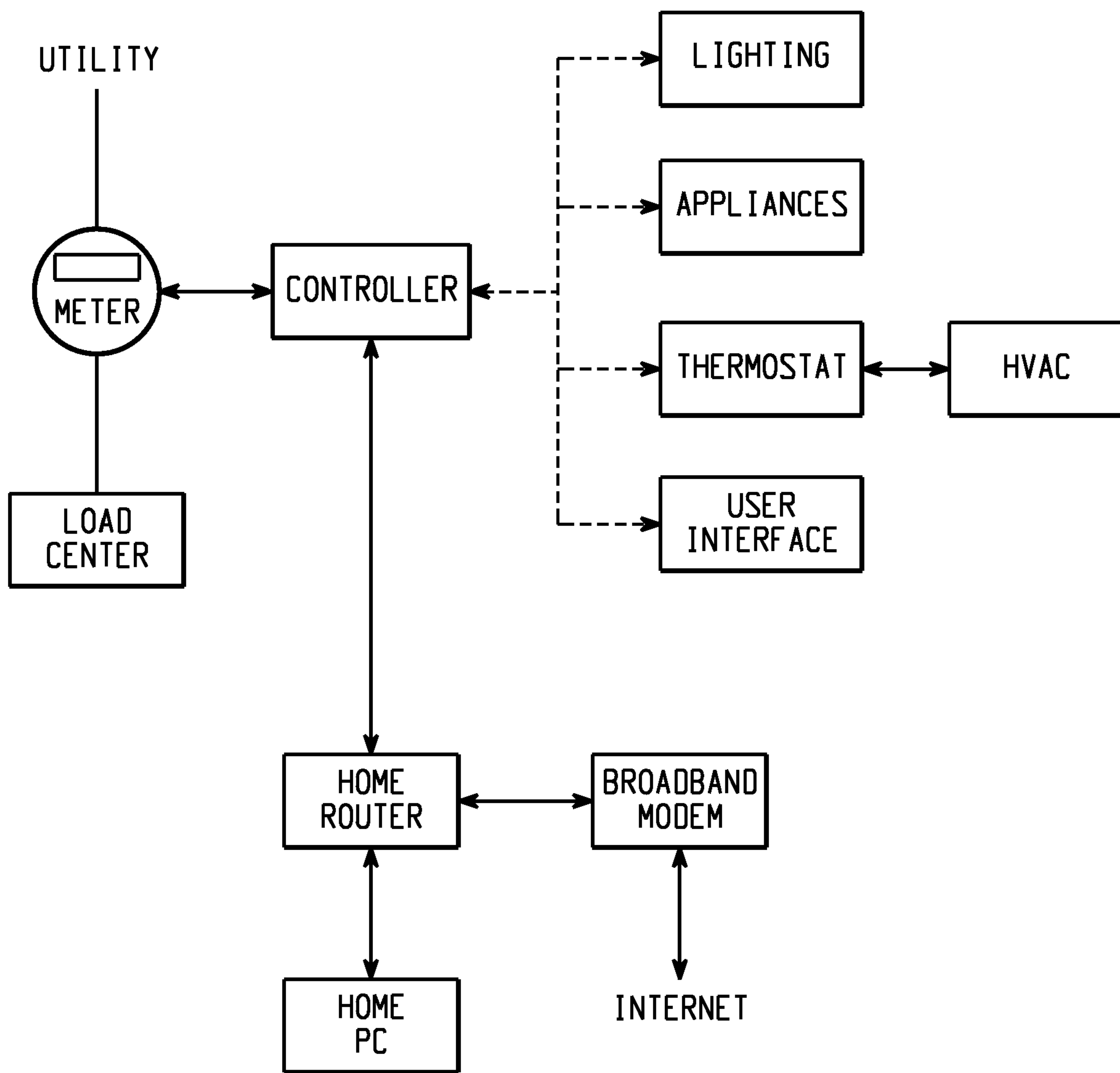
2. The household energy management system of claim 1 wherein the controller allocates energy to the one or more appliances based on one or more of the following: the demand limit, a prioritization of each of the one or more appliances, and an energy need level of each of the one or more appliances.

3. The household energy management system of claim 1, wherein the one or more appliances includes one or more of household lighting, a refrigerator, a washer, a dryer, an oven/range, a microwave oven, a dishwasher, an HVAC system, or a hot water heater.

4. The household energy management system of claim 1, wherein the controller is configured to manage power consumption of the household in the peak demand mode by instructing the appliance to enter a power shaving mode after a delay time following a detection of a light signal by a light sensor.



# SYSTEM DIAGRAM



*Fig. 1*

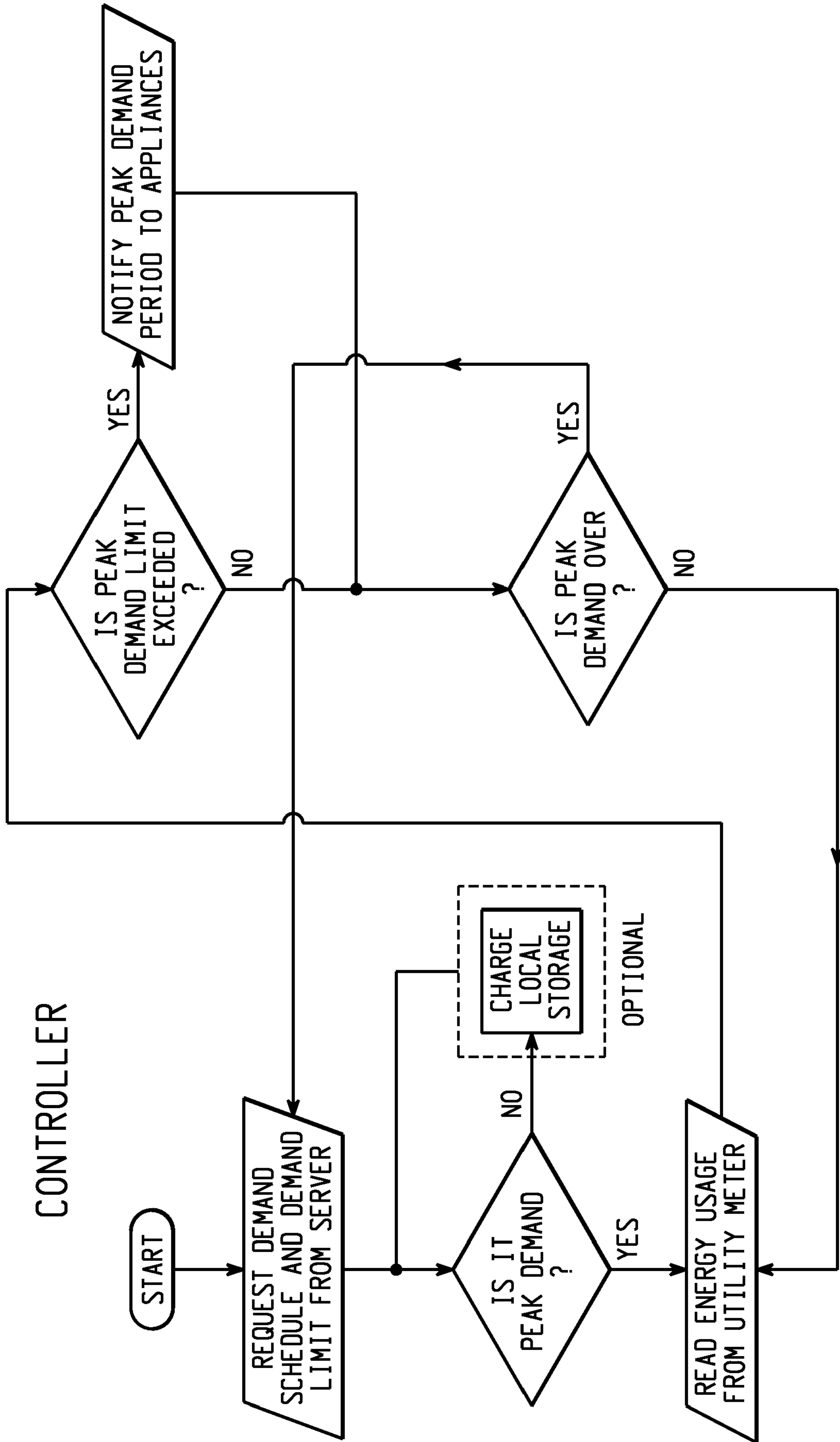


Fig. 2



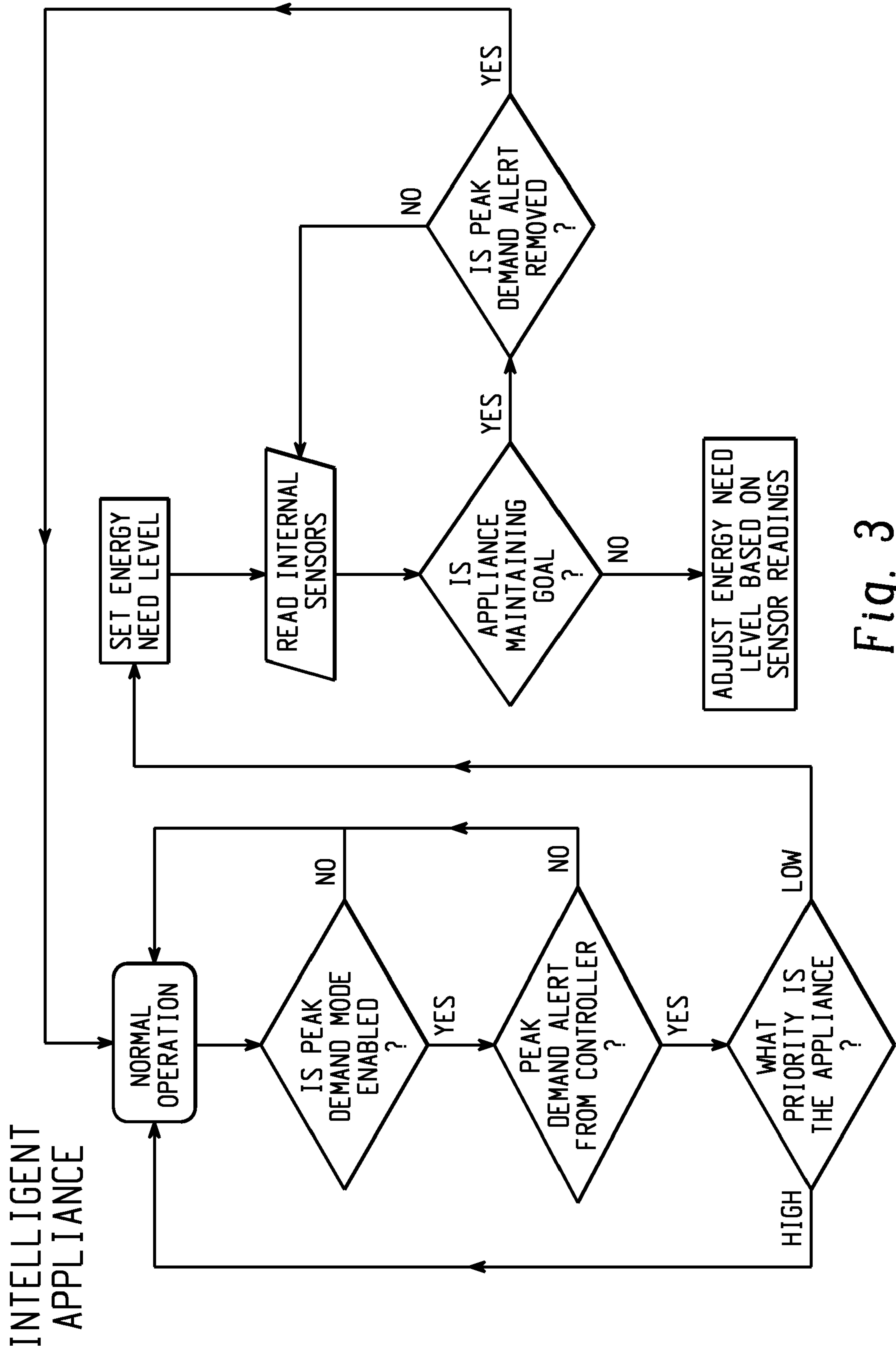


Fig. 3

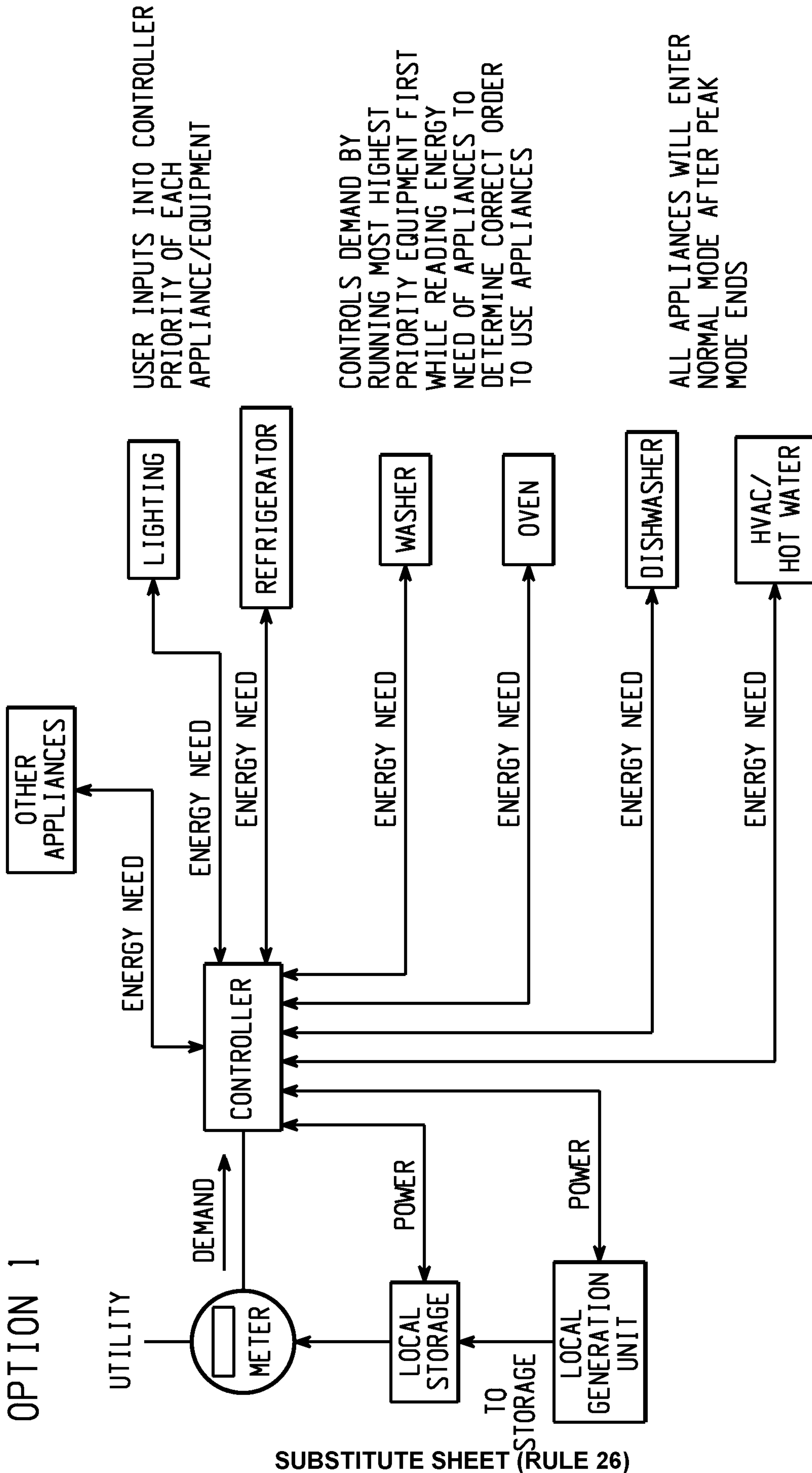
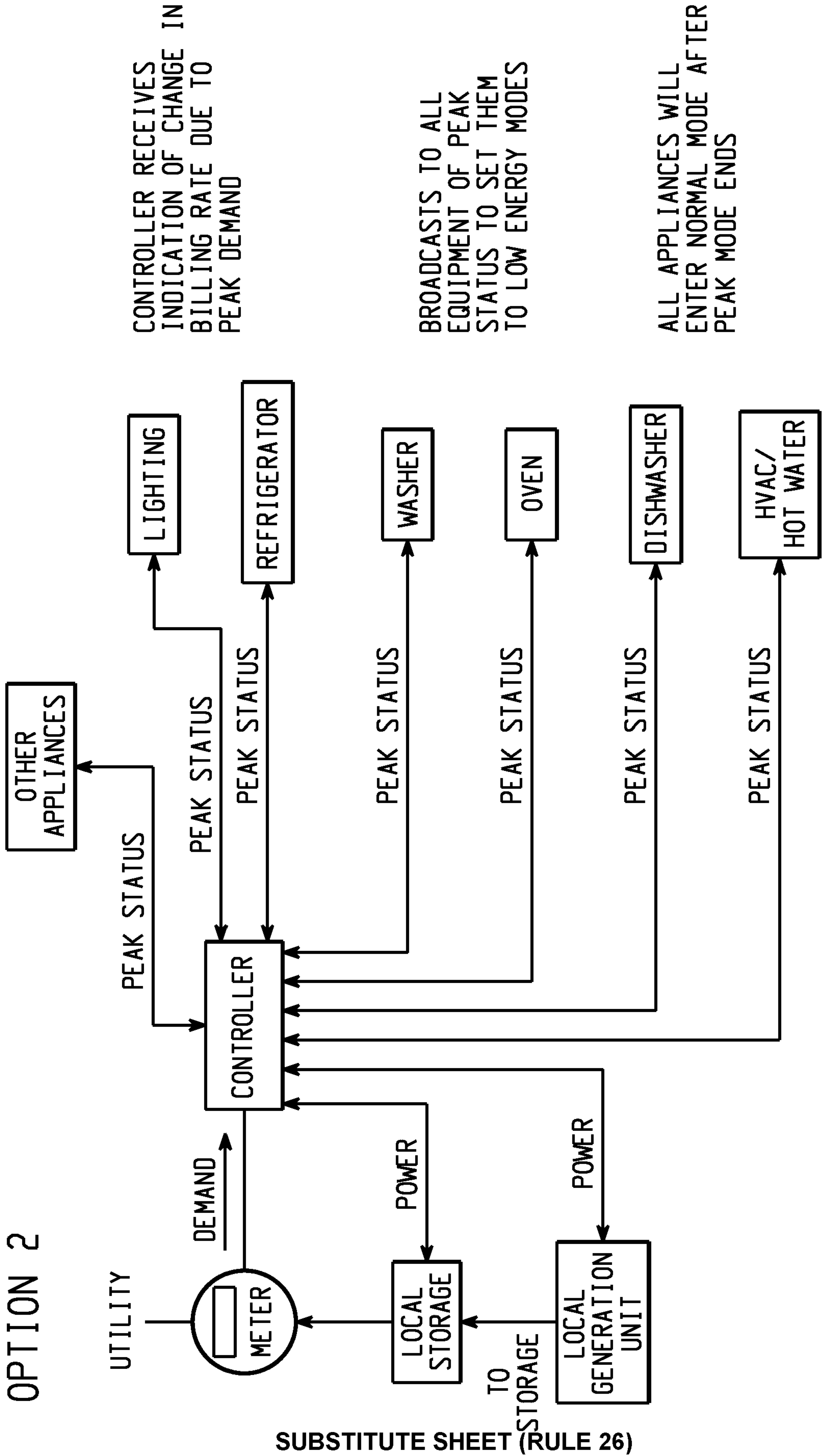


Fig. 4



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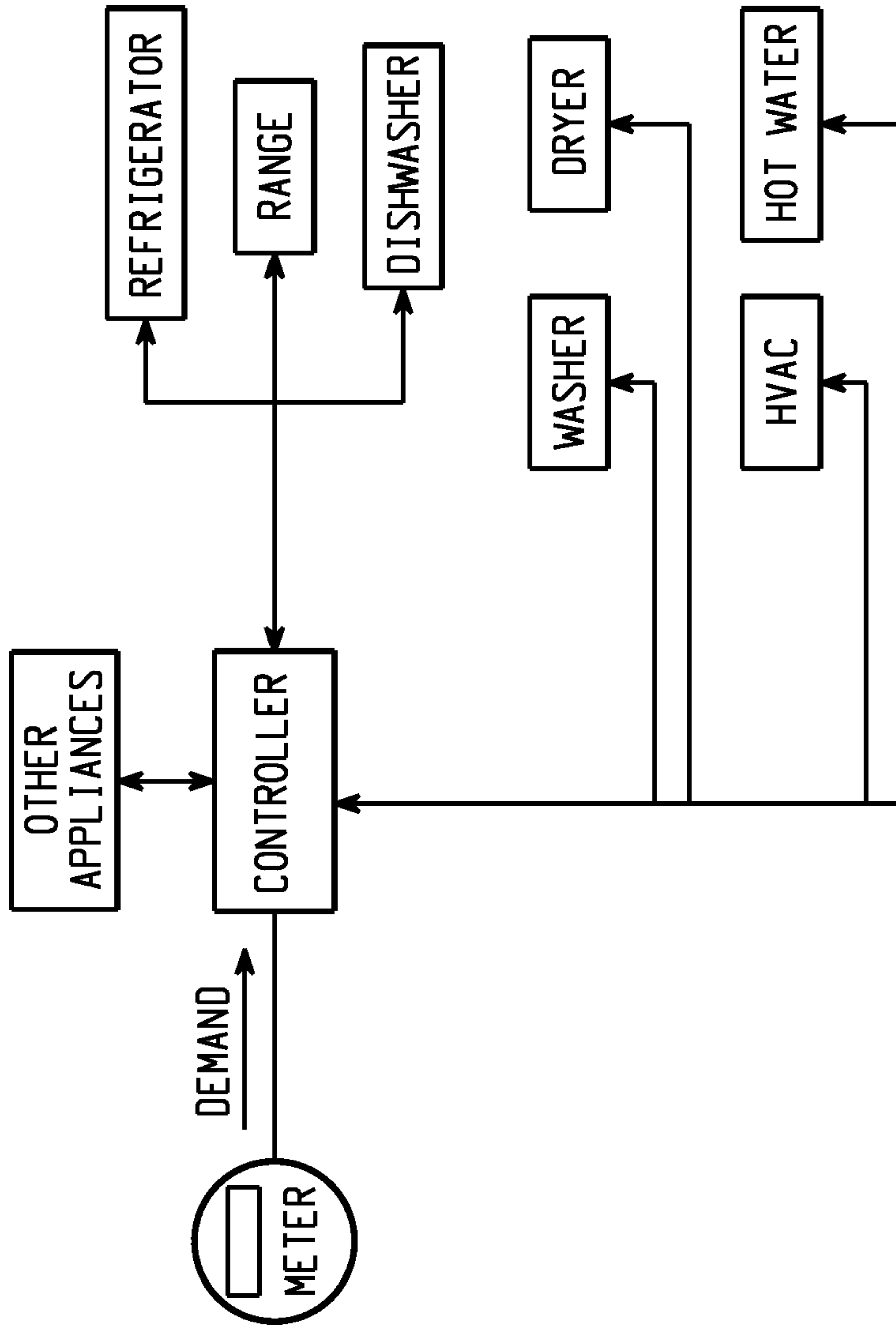


CONTROLLER RECEIVES INDICATION OF CHANGE IN BILLING RATE DUE TO PEAK DEMAND

BROADCASTS TO ALL EQUIPMENT OF PEAK STATUS TO SET THEM TO LOW ENERGY MODES

ALL APPLIANCES WILL ENTER NORMAL MODE AFTER PEAK MODE ENDS

Fig. 5

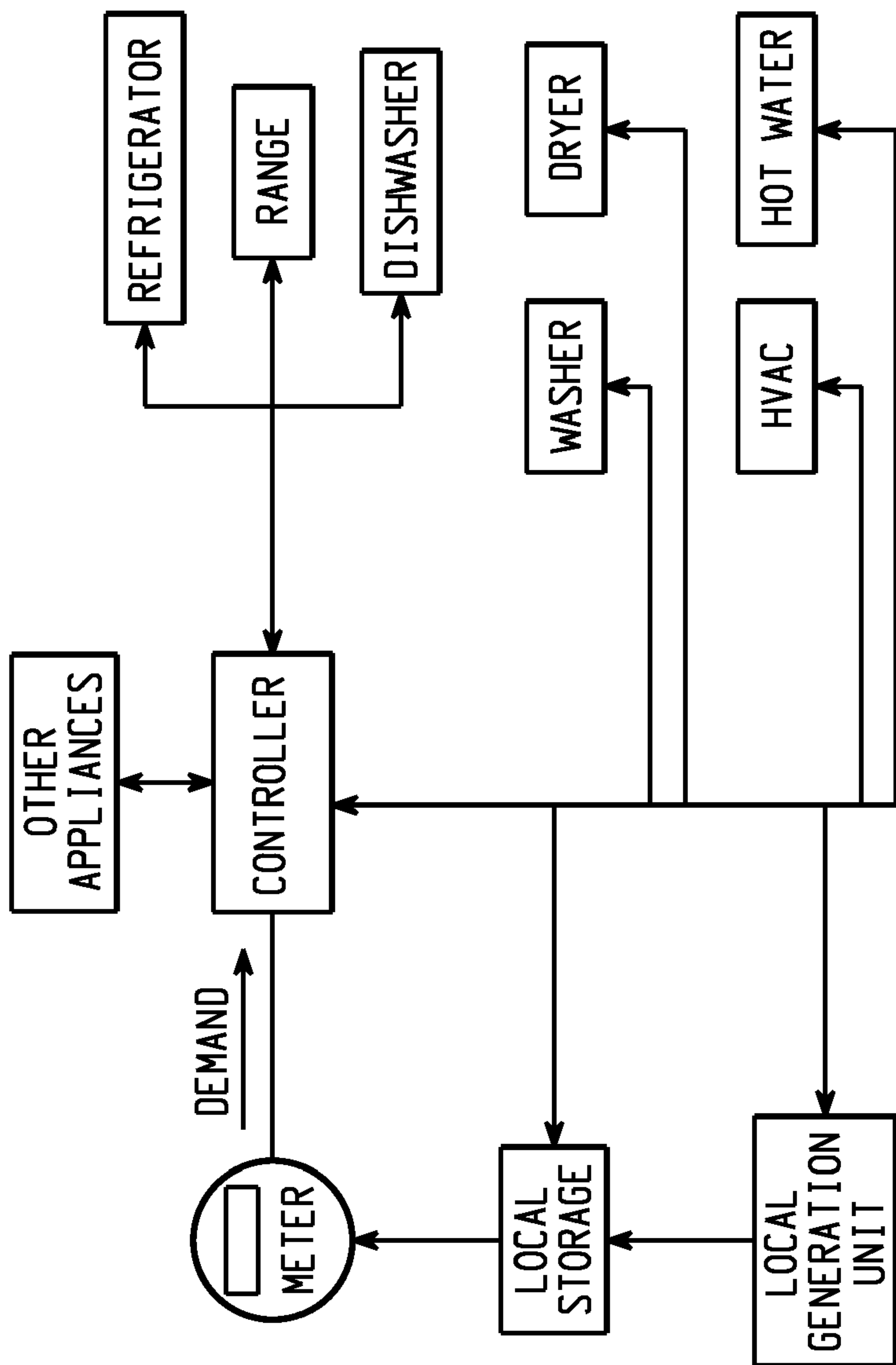


BASIC IDEA-  
 LOADS WOULD BE SEQUENCED  
 TO LIMIT TOTAL DEMAND.  
 INTELLIGENT MONITORING OF  
 THE DEMAND AND LOAD NEEDS  
 (2-WAY INTERACTION) CAN  
 SERVE THE LOADS AS NEEDED  
 TO PROVIDE "SEAMLESS"  
 OPERATION AS WELL AS  
 DEMAND CONTROL.

LARGER LOAD COULD ALSO BE RUN AT REDUCED  
 CAPACITY (VARIABLE SPEED DRIVES) OR SET  
 POINTS TO LIMIT THEIR DEMAND.

Fig. 6

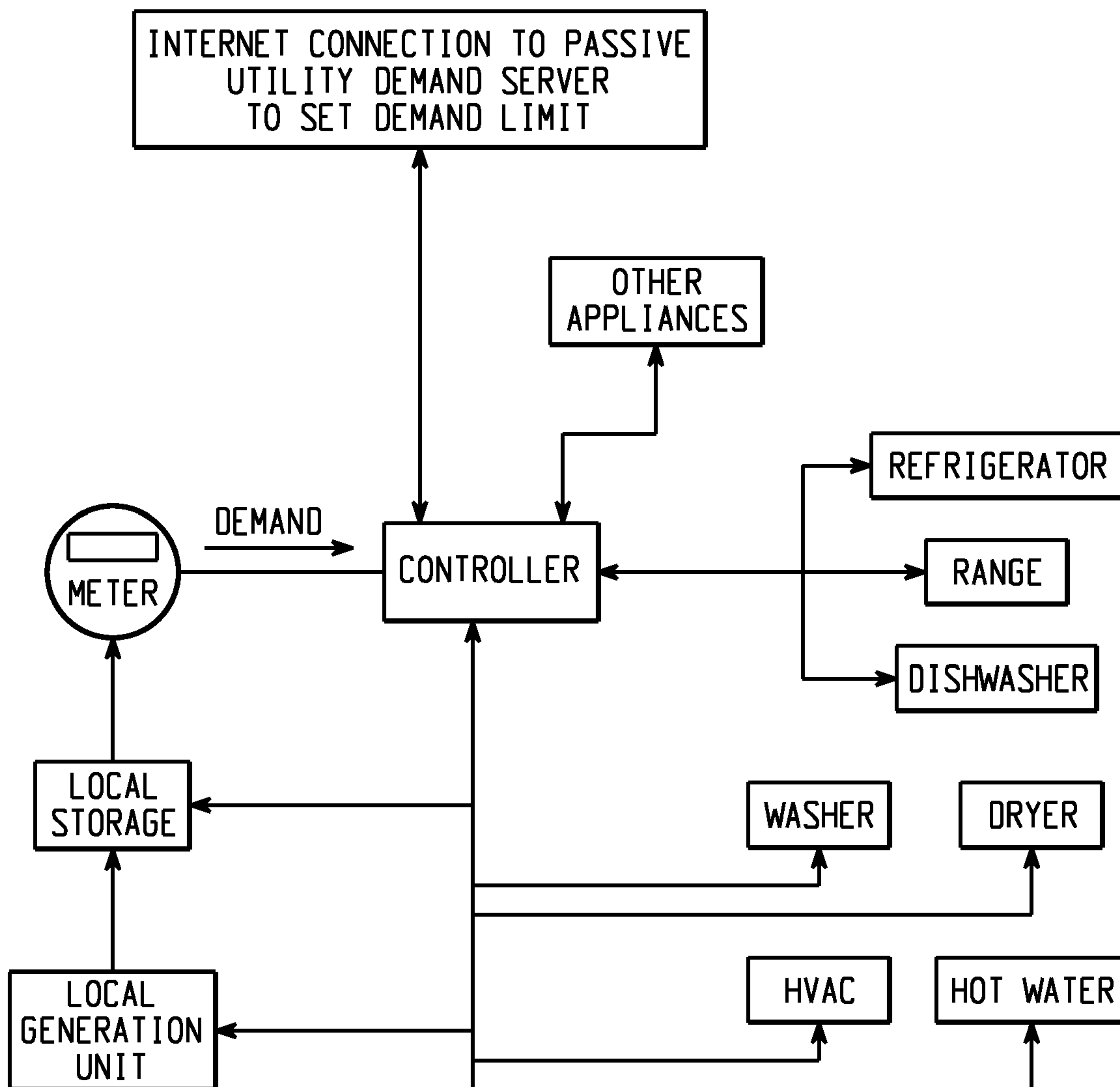




LOCAL STORAGE COULD ALSO BE USED FOR DEMAND CONTROL.  
 LOCAL GENERATION COULD BE USED FOR LOCAL CONSUMPTION,  
 STORAGE, OR SALE BASED ON NEEDS AND TIME OF DAY  
 FOR MOST EFFECTIVE FINANCIAL RETURN.

Fig. 7

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LOCAL STORAGE COULD ALSO BE USED FOR DEMAND CONTROL. LOCAL GENERATION COULD BE USED FOR LOCAL CONSUMPTION, STORAGE, OR SALE BASED ON NEEDS AND TIME OF DAY FOR MOST EFFECTIVE FINANCIAL RETURN.

*Fig. 8*



9/21

REFRIGERATOR	REFR UI RESPONSE (DSM DISABLED)	DURING OPERATION	NORMAL DISPLAY
	REFR UI RESPONSE (DSM ENABLED)	DURING OPERATION	DISPLAY "ECO RATE LOW-NORMAL OPERATION"
WASHER	DRYER UI RESPONSE (DSM DISABLED)	PRIOR TO OPERATION	NORMAL DISPLAY
	DRYER UI RESPONSE (DSM DISABLED)	DURING OPERATION	NORMAL DISPLAY
	WASHER UI RESPONSE (DSM ENABLED)	PRIOR TO OPERATION	NORMAL DISPLAY
	WASHER UI RESPONSE (DSM ENABLED)	DURING OPERATION	NORMAL DISPLAY
DRYER	DRYER UI RESPONSE (DSM DISABLED)	PRIOR TO OPERATION	NORMAL DISPLAY
	DRYER UI RESPONSE (DSM DISABLED)	DURING OPERATION	NORMAL DISPLAY
	DRYER UI RESPONSE (DSM ENABLED)	PRIOR TO OPERATION	NORMAL DISPLAY
	DRYER UI RESPONSE (DSM ENABLED)	DURING OPERATION	NORMAL DISPLAY
RANGE	RANGE UI RESPONSE (DSM DISABLED OR NORMAL)	PRIOR TO OPERATION	NORMAL DISPLAY
		DURING OPERATION	NORMAL DISPLAY
	RANGE UI RESPONSE (DSM ENABLED)	PRIOR TO OPERATION	NORMAL DISPLAY
		DURING OPERATION	NORMAL DISPLAY
DISHWASHER	DISHWASHER UI RESPONSE (DSM DIS- ABLED OR NORMAL)	PRIOR TO OPERATION	NORMAL DISPLAY
		DURING OPERATION	NORMAL DISPLAY
	DISHWASHER UI RESPONSE (DSM ENABLED)	PRIOR TO OPERATION	NORMAL DISPLAY
		DURING OPERATION	NORMAL DISPLAY
MWO	DISHWASHER UI RESPONSE (DSM DIS- ABLED OR NORMAL)	PRIOR TO OPERATION	NORMAL DISPLAY
		DURING OPERATION	NORMAL DISPLAY
	DISHWASHER UI RESPONSE (DSM ENABLED)	PRIOR TO OPERATION	NORMAL DISPLAY
		DURING OPERATION	NORMAL DISPLAY

MATCH TO FIG. 9B

*Fig. 9A*

SUBSTITUTE SHEET (RULE 26)

10/21

MEDIUM	HIGH	CRITICAL
NORMAL DISPLAY	NORMAL DISPLAY	NORMAL DISPLAY
DISPLAY "ECO RATE MEDIUM-NORMAL OPERATION"	DISPLAY "ECO RATE HIGH - FEATURES DELAYED"	DISPLAY "ECO RATE CRITICAL - FEATURES DELAYED"
NORMAL DISPLAY	NORMAL DISPLAY	NORMAL DISPLAY
NORMAL DISPLAY	NORMAL DISPLAY	NORMAL DISPLAY
NORMAL DISPLAY	DISPLAY "DELAY ECO"	DISPLAY "DELAY ECO"
NORMAL DISPLAY	DISPLAY "ECO"	DISPLAY "ECO"
NORMAL DISPLAY	NORMAL DISPLAY	NORMAL DISPLAY
NORMAL DISPLAY	NORMAL DISPLAY	NORMAL DISPLAY
NORMAL DISPLAY	DISPLAY "DELAY ECO"	DISPLAY "DELAY ECO"
NORMAL DISPLAY	DISPLAY "ECO"	DISPLAY "ECO"
NORMAL DISPLAY	NORMAL DISPLAY	NORMAL DISPLAY
NORMAL DISPLAY	NORMAL DISPLAY	NORMAL DISPLAY
NORMAL DISPLAY	DISPLAY "ECO"	DISPLAY "ECO"
NORMAL DISPLAY	DISPLAY "ECO"	DISPLAY "ECO"
NORMAL DISPLAY	NORMAL DISPLAY	NORMAL DISPLAY
NORMAL DISPLAY	NORMAL DISPLAY	NORMAL DISPLAY
DISPLAY "ECO"	DISPLAY "ECO"	DISPLAY "ECO"
DISPLAY "ECO"	DISPLAY "ECO"	DISPLAY "ECO"
NORMAL DISPLAY	NORMAL DISPLAY	NORMAL DISPLAY
NORMAL DISPLAY	NORMAL DISPLAY	NORMAL DISPLAY
NORMAL DISPLAY	DISPLAY "ECO"	DISPLAY "ECO"
NORMAL DISPLAY	DISPLAY "ECO"	DISPLAY "ECO"

MATCH TO FIG. 9A

Fig. 9B



METHOD OF INPUTTING THE TIME-OF-USE SCHEDULE

ZIP CODE TO POWER UTILITY THAT DEFINES TOU - REFER LCD SCREEN ON DISPENSER

ENERGY GAUGE OPTIONS

LOCATION ZIP CODE: XXXXX	EDIT
--------------------------------	------

*Fig. 10*

TIME VERSUS DAY OF THE WEEK PEAK DEMAND SCHEDULE(S)

	SUNDAY	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY
8 AM							
10 AM							
12 AM							
2 PM							
4 PM							
6 PM							
8 AM							

ON-PEAK: HIGHEST ENERGY RATE   
 OFF-PEAK: LOWER ENERGY RATE

USER SELECTS ON PEAK SCHEDULE WITH STYLUS - TOUCHING THE SCREEN CHANGES COLOR TO DEFINE PEAK

*Fig. 13*

ELECTRIC POWER UTILITY - TIME OF USE - AS APPLIED TO REFRIGERATION

BACKGROUND

TIME-OF-USE IS A VOLUNTARY ELECTRIC UTILITY PROGRAM WHICH ALLOWS A CONSUMER TO PURCHASE LOWER PRICED ELECTRIC POWER BY USING POWER WHEN THE "GRID" ELECTRIC DEMAND IS LESS. THE TIME-OF-USE SCHEDULE IS GENERALLY WORKED OUT WITH THE REGIONAL POWER COMPANY AND LEGISLATORS AND THE SCHEDULE IS WELL KNOWN AND EASILY OBTAINED BY THE CONSUMER.

PARTS OF THE SYSTEM

SYSTEM IMPLEMENTATION HAS THREE UNIQUE CATEGORIES AND ARE LISTED BELOW:

- (1) METHOD OF INPUTTING THE TIME-OF-USE SCHEDULE
  - (A) CONSUMER ZIP CODE ENTRY - REQUIRES A DATABASE MANAGED BY GE AND UPGRADEABLE
  - (B) TIME VERSUS DAY OF THE WEEK PEAK DEMAND SCHEDULE WITH USER INPUT - REQUIRES INITIAL INPUT BY CONSUMER AND DATABASE MANAGED BY USER WHEN CHANGES HAPPEN
  
- (2) ELECTRONIC CIRCUIT CHANGES REQUIRED - CIRCUITRY THAT KEEPS TIME WILL BE REQUIRED AND SOFTWARE TO INTERACT WITH THE TIME-OF-USE SCHEDULE INPUT AND ACTIONS WOULD BE REQUIRED
  - (A) ONE METHOD WOULD BE A ZERO CROSS
  - (B) ANOTHER METHOD WOULD BE A CLOCK CALENDAR IC WITH CAPACITOR OR BATTERY BACKUP
  
- (3) A LIST OF ACTIONS THAT COULD BE PERFORMED AT TIMES WHEN THE ELECTRIC RATES ARE OFF PEAK
  - (A) DELAY DEFROST UNTIL OFF PEAK
  - (B) PRECHILL WHEN NEARING PEAK DEMAND TO KEEP THE COMPRESSOR USAGE AT A MINIMUM DURING PEAK DEMAND
  - (C) ALERT USER WHEN PEAK DEMAND IS ON WHEN THE DOORS ARE OPENED (LIGHT ELECTRIC DRAW) OR WHEN THE ICE DISPENSER IS USED DURING PEAK DEMAND

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*Fig. 11*



(LOGO)

FOR MORE INFORMATION  
 SCE OFFERS OTHER PROGRAMS TO HELP YOU BETTER MANAGE  
 YOUR ELECTRICITY COSTS, SUCH AS REBATES, INCENTIVES,  
 ENERGY SURVEYS AND PAYMENT OPTIONS. IF YOU HAVE  
 QUESTIONS ABOUT THIS RATE SCHEDULE OR OTHER PROGRAMS,  
 PLEASE CONTACT YOUR SCE ACCOUNT REPRESENTATIVE, CALL  
 (XXX) XXX-XXXX, OR VISIT US AT [www.sce.com](http://www.sce.com)

**SCE TOU**

TIME OF USE - DOMESTIC PRICING - RATE 1  
 TOU-D-1 PRICING  
 METER CHARGE \$ 2.00 MONTHLY

RATES UNDER 600 KW/MONTH AS OF 11/29/2007

SUMMER	WINTER	
ON-PEAK(10-6)	\$ 0.3591	\$ 0.2140
OFF PEAK	\$ 0.1866	\$ 0.1783

RATES OVER 600 KW/MONTH AS OF 11/29/2007

SUMMER	WINTER	
ON-PEAK(10-6)	\$ 0.2970	\$ 0.1846
OFF PEAK	\$ 0.1634	\$ 0.1570

SUMMER FIRST SUNDAY IN JUNE TO FIRST SUNDAY IN OCTOBER

ANNUAL 570KW REFRIGERATOR OPERATING COST  
 ~\$103 W/O TOU (24/7 CONSTANT LOAD)  
 ~\$91 WITH TOU (ONLY TOU POWER (NOT  
 REALISTIC))

SAVINGS COULD BE ~\$12

ON-PEAK AND OFF-PEAK HOURS

	MONDAY	TUESDAY	WEDNESDAY	THURSDAY	FRIDAY	SATURDAY	SUNDAY
8 AM							
10 AM							
6 PM							
8 AM							

ON-PEAK: HIGHEST ENERGY RATE    
  OFF-PEAK: LOWER ENERGY RATE

Fig. 12

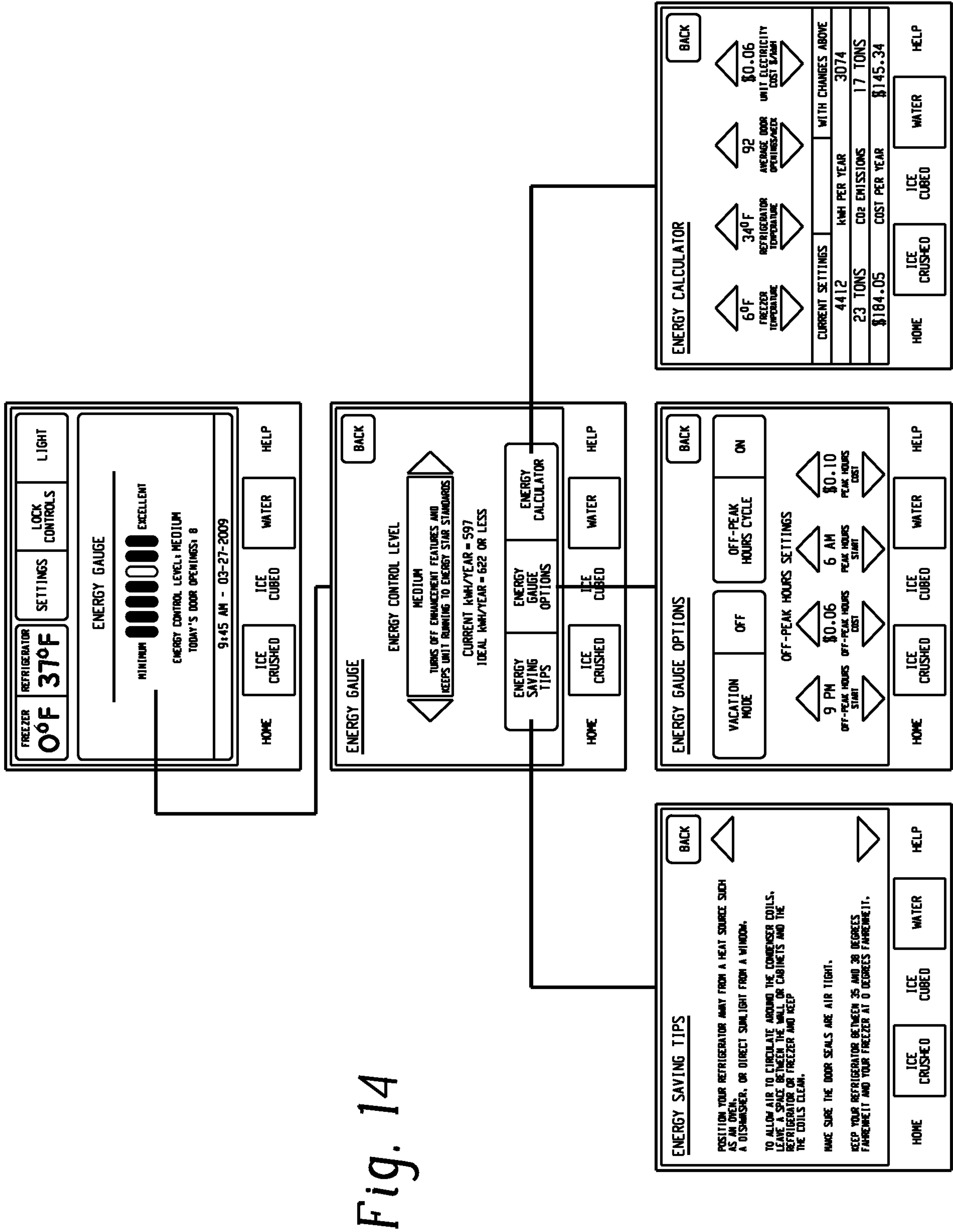


Fig. 14



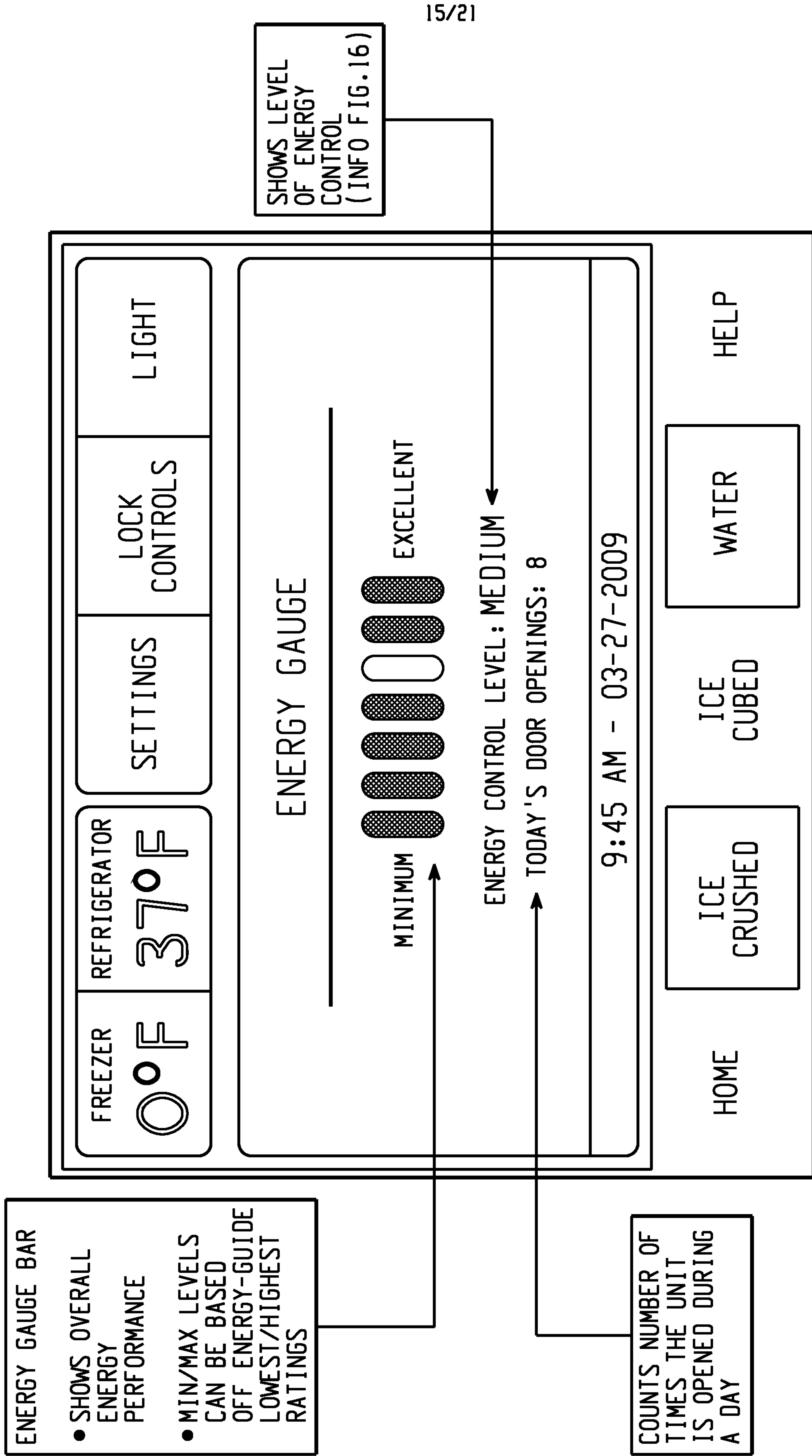
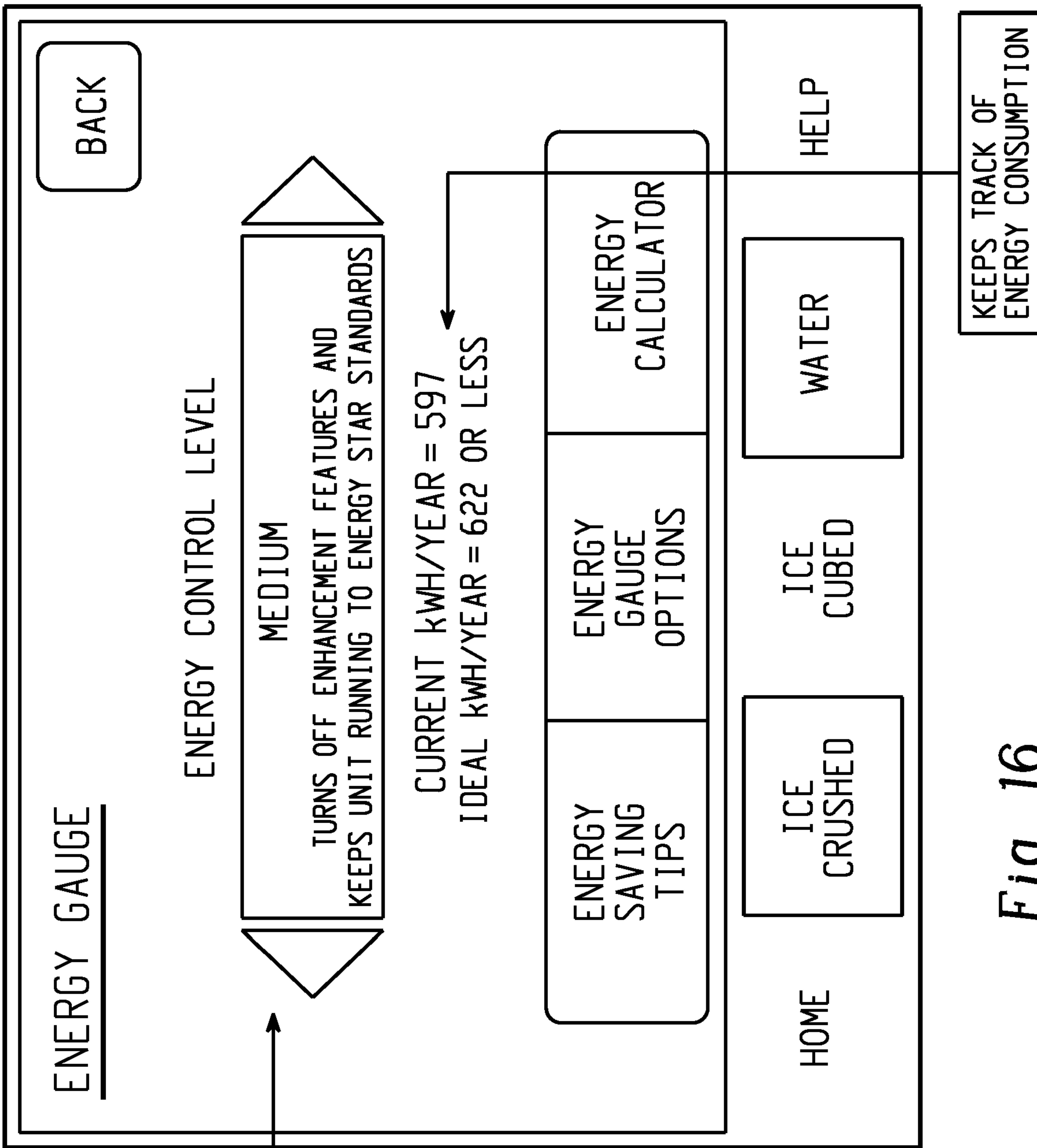


Fig. 15



HAS DEFINED SETTINGS FOR EACH LEVEL.

EXAMPLES:

HIGH: TURNS OFF EXTRA FEATURES LIKE TURBOCOOL, QUICK ICE, ETC., DIMS LIGHTS AND CONTROLS BACKLIGHT, AND RAISES TEMPERATURES TO MAXIMUM ACCEPTABLE.

MEDIUM: TURNS OFF EXTRA FEATURES AND DIMS LIGHTS AND CONTROLS BACKLIGHT.

LOW: DIMS LIGHTS AND CONTROLS BACKLIGHT.

Fig. 16



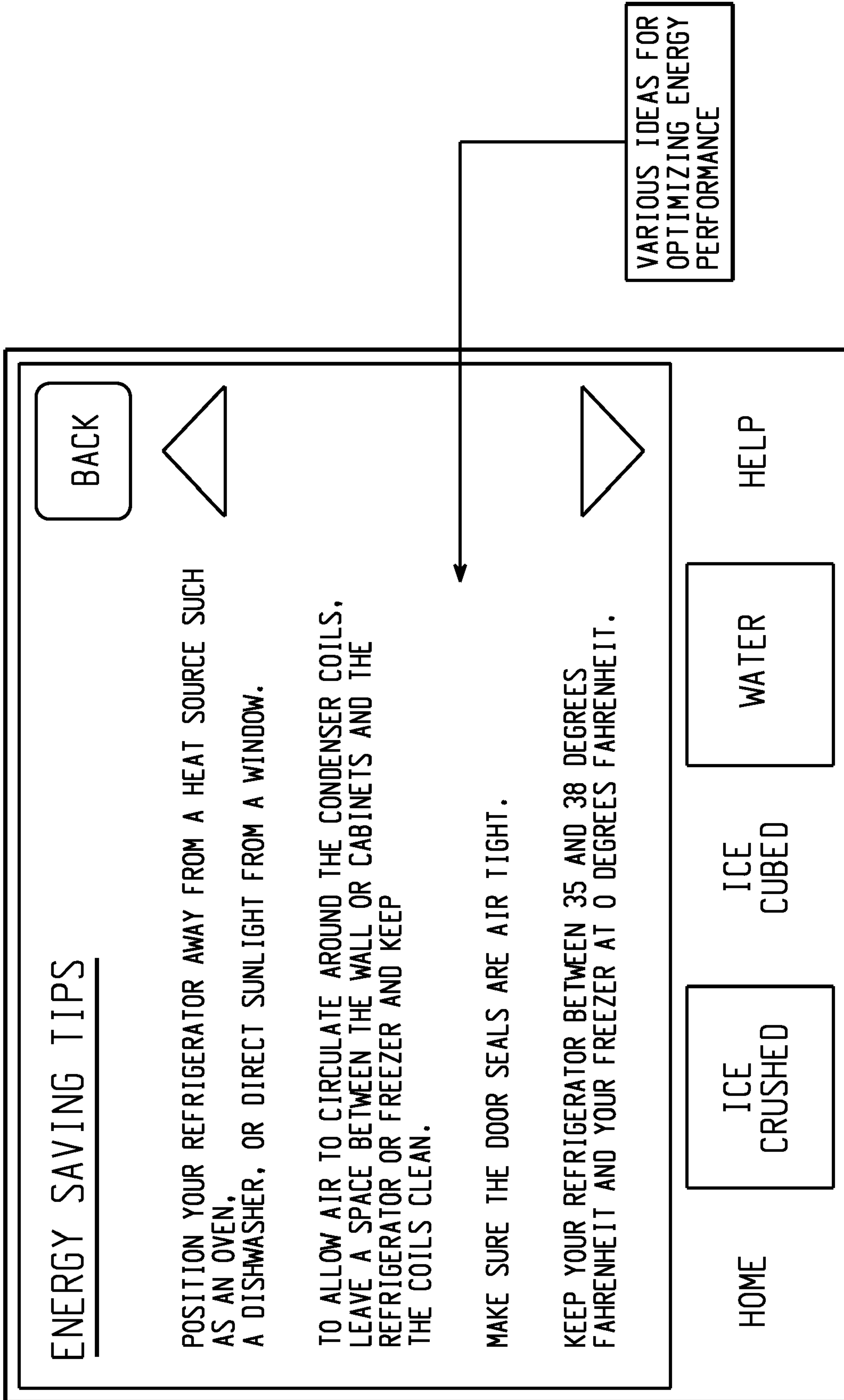


Fig. 17

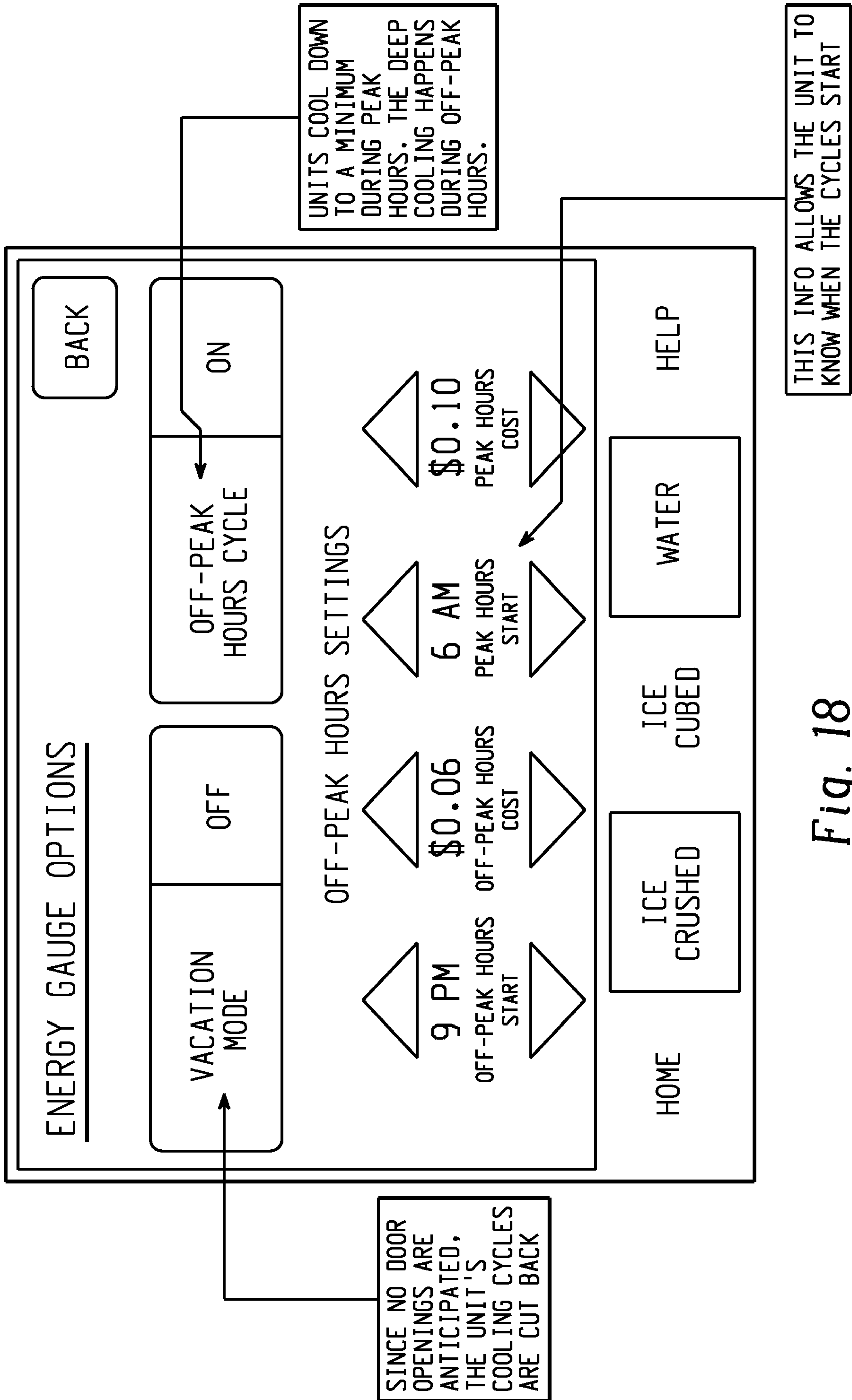


Fig. 18



## ENERGY CALCULATOR

BACK

◀

**60°F**

FREEZER  
TEMPERATURE

▶

◀

**34°F**

REFRIGERATOR  
TEMPERATURE

▶

◀

**92**

AVERAGE DOOR  
OPENINGS/WEEK

▶

◀

**\$0.06**

UNIT ELECTRICITY  
COST \$/KWH

▶

CURRENT SETTINGS	WITH CHANGES ABOVE		
4412	kWH PER YEAR	3074	
23 TONS	CO2 EMISSIONS	17 TONS	
\$184.05	COST PER YEAR	\$145.34	

HOME

ICE  
CRUSHED

ICE  
CUBED

WATER

HELP

• INDICATES HOW MUCH SAVINGS CAN BE OBTAINED BY CHANGING ELEMENTS LIKE TEMPERATURE, DOOR OPENINGS OR ELECTRICITY COSTS.

• SHOWING CALCULATIONS OVER LONG PERIODS OF TIME (I.E. YEARLY) ARE IMPORTANT TO ILLUSTRATE MORE SUBSTANTIAL SAVINGS.

Fig. 19

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A LIST OF ACTIONS THAT COULD BE PERFORMED AT TIMES WHEN THE ELECTRIC RATES ARE OFF PEAK

- ✓ DELAY DEFROST UNTIL OFF PEAK
- ✓ PRECHILL WHEN NEARING PEAK DEMAND TO KEEP THE COMPRESSOR USAGE AT A MINIMUM DURING PEAK DEMAND
- ✓ ALERT USER WHEN PEAK DEMAND IS ON - OCCURS WHEN THE DOOR WAS OPENED OR ICE CRUSHER USED, ETC.

*Fig. 20*

21/21

TITLE: APPLIANCE ACTIONS AND RESPONSE - DEMAND SIDE MANAGEMENT FOR HOME APPLIANCES  
 ABSTRACT: THE INVENTION(S) ARE THE APPLIANCE ACTIONS AND RESPONSES TO ELECTRIC UTILITY SIGNALS PROVIDED IN CONJUNCTION WITH A DEMAND SIDE MANAGEMENT (DSM) SYSTEM. THIS IS PART OF THE ADVANCED METERING INFRASTRUCTURE (AMI) INITIATIVE WHICH PROVIDES REMOTE METERING FUNCTIONS SUCH AS REMOTE METER READING, DEMAND SIGNALING/LOAD CONTROL, ETC.. THE ATTACHED DOCUMENT DESCRIBES IN BRIEF DETAIL ACTIONS AND RESPONSES TO DSM SIGNALING.

UPON RECEIVING A HIGH PRICE ENERGY SIGNAL THE USER MAY SET THE UNIT TO:

- a) DELAY ALL SPECIAL MODES UNTIL THE LOW PRICED ENERGY SIGNAL IS RECEIVED. MODE EXAMPLES ARE:
  - i. ICE MAKER
  - ii. BEVERAGE CENTER
  - iii. TURBO COOL
  - iv. CUSTOM COOL
  - v. QUICK ICE
- b) OVERRIDE THE HIGH PRICED ENERGY SIGNAL, ALWAYS OR BASED ON A CERTAIN \$/Kwh LEVEL
- c) DELAY DEFROST UNTIL PERFORMANCE IS WOULD BE AFFECTED
- d) ANNOUNCE OR AUDIBLE ALERT OF THE "HIGH PRICED ENERGY SIGNAL" DURING A CYCLE
- e) VISUALLY ALERT USER OF THE "HIGH PRICED ENERGY" SIGNAL
- f) DISPLAY THE COST OF RUNNING THE UNIT IN THE SELECTED MODE OF OPERATION
- g) DISPLAY THE COST OF ENERGY AT THE PRESENT TIME
- h) DISABLE LIGHTING
- i) TURN OFF SWEAT HEATERS

*Fig. 21*



# SYSTEM DIAGRAM

