



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁶ : C07H 21/00, C12N 15/11, A61K 31/70, C12Q 1/68	A1	(11) International Publication Number: WO 96/30384 (43) International Publication Date: 3 October 1996 (03.10.96)
(21) International Application Number: PCT/US96/03757 (22) International Filing Date: 21 March 1996 (21.03.96) (30) Priority Data: 08/413,813 30 March 1995 (30.03.95) US (71) Applicant: RESEARCH CORPORATION TECHNOLOGIES, INC. [US/US]; Suite 600, 101 N. Wilmot Road, Tucson, AZ 85711-3335 (US). (72) Inventor: KOOL, Eric, T.; 27 Hoyt Place, Rochester, NY 14610 (US). (74) Agents: DiGIGLIO, Frank, S. et al.; Scully, Scott, Murphy & Presser, 400 Garden City Plaza, Garden City, NY 11530 (US).		(81) Designated States: AU, CA, JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i>
(54) Title: SINGLE-STRANDED CIRCULAR OLIGONUCLEOTIDES		
(57) Abstract <p>The present invention provides single-stranded circular oligonucleotides each with at least one parallel binding (P) domain and/or at least one corresponding anti-parallel binding (AP) domain separated from each other by loop domains. When more than one P or AP domain is included in a circular oligonucleotide of the present invention, the additional P or AP domains can constitute loop domains for a pair of corresponding P and AP domains, and <i>vice versa</i>. The present invention further provides single-stranded circular oligonucleotides with at least one Hoogsten anti-parallel (HAP) domain. Each P, AP and HAP domain has sufficient complementarity to bind to one strand of a defined nucleic acid target wherein the P domain binds in a parallel manner to the target and the HAP or AP domain binds in an anti-parallel manner to the target. Moreover, the present single-stranded circular oligonucleotides can bind to both single-stranded and double-stranded target nucleic acids. The present invention also provides methods of making and using these oligonucleotides as well as kits and pharmaceutical compositions containing these oligonucleotides.</p>		

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SINGLE-STRANDED CIRCULAR OLIGONUCLEOTIDES

5 The present invention provides single-stranded circular oligonucleotides capable of binding to a target DNA or RNA and thereby regulating DNA replication, RNA transcription, protein translation, and other processes involving nucleic acid templates. Furthermore, circular oligonucleotides can be labeled
10 for use as probes to detect or isolate a target nucleic acid. Moreover, circular oligonucleotides are resistant to exonucleases and thus superior to linear oligonucleotides for diagnostic and therapeutic applications.

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An oligonucleotide binds to a target nucleic acid by forming hydrogen bonds between bases in the target and the oligonucleotide. Common B DNA has conventional adenine-thymine (A-T) and guanine-cytosine (G-C) Watson and Crick base pairs with two
20 and three hydrogen bonds, respectively. Conventional hybridization technology is based upon the capability of sequence-specific DNA or RNA probes to bind to a target nucleic acid via Watson-Crick hydrogen bonds.
25 However, other types of hydrogen bonding patterns are known wherein some atoms of a base which are not involved in Watson-Crick base pairing can form hydrogen bonds to another nucleotide. For example, thymine (T) can bind to an A-T Watson-Crick base pair
30 via hydrogen bonds to the adenine, thereby forming a

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1 T-AT base triad. Hoogsteen (1959, Acta
2 Crystallography 12:822) first described the alternate
3 hydrogen bonds present in T-AT and C-GC base triads.
4 More recently, G-TA base triads, wherein guanine can
5 hydrogen bond with a central thymine, have been
6 observed (Griffin et al., 1989, Science 245:967-971).
7 If an oligonucleotide could bind to a target with both
8 Watson-Crick and alternate hydrogen bonds an extremely
9 stable complex would form that would have a variety of
10 in vivo and in vitro utilities. However, to date
11 there has been no disclosure of an oligonucleotide
12 with the necessary structural features to achieve
13 stable target binding with both Watson-Crick and
14 alternate hydrogen bonds.
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16 Oligonucleotides have been observed to bind
17 by non-Watson-Crick hydrogen bonding in vitro. For
18 example, Cooney et al., 1988, Science 241:456 disclose
19 a 27-base single-stranded oligonucleotide which bound
20 to a double-stranded nucleic acid via non-Watson-Crick
21 hydrogen bonds. However, triple-stranded complexes of
22 this type are not very stable, because the
23 oligonucleotide is bound to its target only with less
24 stable alternate hydrogen bonds, i.e., without any
25 Watson-Crick bonds.

26 Oligonucleotides have been used for a
27 variety of utilities. For example, oligonucleotides
28 can be used as probes for target nucleic acids that
29 are immobilized onto a filter or membrane, or are
30 present in tissues. Sambrook et al. (1989, Molecular
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1 Cloning: A Laboratory Manual, Vols. 1-3, Cold Spring
Harbor Press, NY) provide a detailed review of
hybridization techniques.

5 Furthermore, there has been great interest
recently in developing oligonucleotides as regulators
of cellular nucleic acid biological function. This
interest arises from observations on naturally
occurring complementary, or antisense, RNA used by
10 some cells to control protein expression. However,
the development of oligonucleotides for in vivo
regulation of biological processes has been hampered
by several long-standing problems, including the low
binding stability and nuclease sensitivity of linear
15 oligonucleotides.

For example, transcription of the human c-
myc gene has been inhibited in a cell free, in vitro
assay system by a 27-base linear oligonucleotide
20 designed to bind to the c-myc promoter. Inhibition
was only observed using a carefully controlled in
vitro assay system wherein lower than physiological
temperatures were employed, and many cellular enzymes
had been removed or inactivated. These conditions
25 were necessary because linear oligonucleotides bind
with low affinity and are highly susceptible to
enzymes which degrade linear pieces of DNA (Cooney
et al.). Splicing of a pre-mRNA transcript essential
for Herpes Simplex virus replication has also been
30 inhibited with a linear oligonucleotide which was
complementary to an acceptor splice junction. In this

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1 instance, a methylphosphonate linkage was employed in
the linear oligonucleotide to increase its nuclease
resistance. Addition of this chemically-modified
5 oligonucleotide to the growth medium caused reduction
in protein synthesis and growth of uninfected cells,
most likely because of toxicity problems at high
concentrations (Smith et al., 1986, Proc. Natl. Acad.
Sci. USA 83:2787-2791).

10 In another example, linear oligonucleotides
were used to inhibit human immunodeficiency virus
replication in cultured cells. Linear
oligonucleotides complementary to sites within or near
the terminal repeats of the retrovirus genome and
15 within sites complementary to certain splice junctions
were most effective in blocking viral replication.
However, these experiments required large amounts of
the linear oligonucleotides before an effect was
obtained, presumably because of the low binding
20 stability and vulnerability of these linear
oligonucleotides to nucleases (Goodchild et al., 1988,
Proc. Natl. Acad. Sci. USA 85:5507-5511).

Accordingly, oligonucleotides that are
25 useful as regulators of biological processes
preferably possess certain properties. The
oligonucleotide should bind strongly enough to its
complementary target nucleic acid to have the desired
regulatory effect. It is generally desirable that the
30 oligonucleotide and its target be sequence specific.
Further, the oligonucleotide should have a sufficient

1 half-life under in vivo conditions to accomplish its
desired regulatory action in the cell. Hence, the
oligonucleotide should be resistant to enzymes that
5 degrade nucleic acids, e.g. nucleases.

While linear oligonucleotides may satisfy
the requirement for sequence specificity, linear
oligonucleotides are sensitive to nucleases and
generally require chemical modification to increase
10 biological half-life. Such modifications increase the
cost of making an oligonucleotide and may present
toxicity problems. Furthermore, linear
oligonucleotides bind to form a two-stranded complex
like those present in cellular nucleic acids.
15 Consequently, cellular enzymes can readily manipulate
and dissociate a linear oligonucleotide bound in a
double-stranded complex with target. The low binding
strength and nuclease sensitivity of linear
oligonucleotides can thus necessitate administration
20 of high concentrations of oligonucleotide, in turn
making such administration toxic or costly.

Furthermore, increased binding strength
increases the effectiveness of a regulatory
25 oligonucleotide. Therefore, an oligonucleotide with
high binding affinity can be used at lower dosages.
Lower dosages decrease costs and reduce the likelihood
that a chemically-modified oligonucleotide will be
toxic. Therefore, high oligonucleotide binding
30 affinity for target is a highly desirable trait.

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1 The present invention provides single-
stranded circular oligonucleotides which, by nature of
the circularity of the oligonucleotide and the domains
5 present on the oligonucleotide, are nuclease resistant
and bind with strong affinity and high selectivity to
their targeted nucleic acids.

Some types of single-stranded circles of DNA
or RNA are known. For example, the structures of some
10 naturally occurring viral and bacteriophage genomes
are single-stranded circular nucleic acids. Single-
stranded circles of DNA have been studied by Erie
et al. (1987, Biochemistry 26:7150-7159 and 1989,
Biochemistry 28:268-273). However, none of these
15 circular molecules are designed to bind a target
nucleic acid. Hence, the present invention represents
an innovation characterized by a substantial
improvement relative to the prior art since the
subject circular oligonucleotides exhibit high
20 specificity, low or no toxicity and more resistance to
nucleases than linear oligonucleotides, and high
affinity binding to single- or double-stranded target
nucleic acids.

25 The present invention provides a single-
stranded circular oligonucleotide having at least one
parallel binding (P) domain and at least one anti-
parallel binding (AP) domain, and having a loop domain
between each binding domain to form the circular
30 oligonucleotide. Each P and corresponding AP domain
has sufficient complementarity to bind detectably to

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1 one strand of a defined nucleic acid target with the P
domain binding in a parallel manner to the target, and
the AP domain binding in an anti-parallel manner to
5 the target. Sufficient complementarity means that a
sufficient number of base pairs exists between the
target nucleic acid and the P and/or AP domains of the
circular oligonucleotide to achieve stable, i.e.
detectable, binding.

10 In the case where multiple P and AP binding
domains are included in the circular oligonucleotides
of the present invention, the loop domains separating
the P and AP binding domains can constitute, in whole
or in part, another P or AP domain which functions as
15 a binding domain in an alternate conformation. In
other words, depending upon the particular target, a
binding domain (P or AP) can also function as a loop
domain for another binding domain and vice versa.

20 The present invention further provides a
single-stranded circular oligonucleotide having at
least one of a parallel binding (P) domain, a
Hoogsteen anti-parallel domain (HAP), and an anti-
parallel binding domain (AP) domain and having a loop
25 domain between each binding domain, or in the case of
circular oligonucleotides having only one binding
domain, a loop domain that connects the ends of the
binding domain to circularize the oligonucleotide.

30 Another aspect of the present invention
provides the subject single-stranded circular
oligonucleotides derivatized with a reporter molecule

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1 to provide a probe for a target nucleic acid, or with
a drug or other pharmaceutical agent to provide cell
specific drug delivery, or with agents that can cleave
5 or otherwise modify the target nucleic acid or,
furthermore, with agents that can facilitate cellular
uptake or target binding of the oligonucleotide.

An additional aspect of the present
invention provides single-stranded circular
10 oligonucleotides linked to a solid support for
isolation of a nucleic acid complementary to the
oligonucleotide.

Another aspect of the present invention
provides a compartmentalized kit for detection or
15 diagnosis of a target nucleic acid including at least
one first container providing any one of the present
circular oligonucleotides.

A further aspect of the present invention
provides a method of detecting a target nucleic acid
20 which involves contacting a single-stranded circular
oligonucleotide with a sample containing the target
nucleic acid, for a time and under conditions
sufficient to form an oligonucleotide-target complex,
25 and detecting the complex. This detection method can
be by fluorescent energy transfer.

A still further aspect of the present
invention provides a method of regulating biosynthesis
of a DNA, an RNA or a protein. This method includes
30 contacting at least one of the subject circular
oligonucleotides with a nucleic acid template for the

1 DNA, the RNA or the protein under conditions
sufficient to permit binding of the oligonucleotide to
a target sequence contained in the template, followed
5 by binding of the oligonucleotide to the target,
blocking access to the template and thereby regulating
biosynthesis of the DNA, the RNA or the protein.

An additional aspect of the present
invention provides pharmaceutical compositions for
10 regulating biosynthesis of a nucleic acid or protein
containing a biosynthesis regulating amount of at
least one of the subject circular oligonucleotides and
a pharmaceutically acceptable carrier.

15 A further aspect of the present invention
provides a method of preparing a single-stranded
circular oligonucleotide which includes binding a
linear precircle to an end-joining-oligonucleotide,
joining the two ends of the precircle and recovering
20 the circular oligonucleotide product.

Fig. 1 schematically illustrates a
circularization reaction for synthesis of single-
stranded circular oligonucleotides. A linear
25 precircle oligonucleotide is bound to an
oligonucleotide having the same sequence as the
target, i.e. an end-joining-oligonucleotide, to form a
precircle complex. After ligation, the circularized
oligonucleotides are separated from the end-joining-
30 oligonucleotide.

35

1 Fig. 2 depicts the sequence of linear
precursors to circular oligonucleotides, i.e.
precircles (1-3 having SEQ ID NO:5, SEQ ID NO:6 and
5 SEQ ID NO:7), targets (4,5 having SEQ ID NO:8 and SEQ
ID NO:9), circular oligonucleotides (6,7,8 and 13
having SEQ ID NO:5-7 and 14), and linear
oligonucleotides (9-12 and 14 having SEQ ID NO:10-13
and 15) described in the examples.

10 Fig. 3 depicts the structure of a linear
precircle complexed with an end-joining-
oligonucleotide before ligation.

 Fig. 4 depicts the effect of pH on circular
oligonucleotide:target complex formation as measured
15 by T_m . Filled circles represent the stability at
different pH values for a 6:4 complex while filled
squares depict the stability of a 7:5 complex. The
sequences of circular oligonucleotides 6 and 7 and
20 targets 4 and 5 are presented in Fig. 3.

 Fig. 5A depicts the effect of loop size on
complex formation, with a comparison between binding
to two targets: a simple $(dA)_{12}$ target (squares) and
a 36 nucleotide oligonucleotide target (circles).
25 Fig. 5B depicts the effect of target and binding
domain length on complex formation.

 Fig. 6 depicts a complex formed between a
circular oligonucleotide and a target where the P and
AP binding domains are staggered on the target.
30 Fig. 7A presents the sequences of complexes
of long and short targets with circular

1 oligonucleotides containing polyethylene glycol
linkers.

5 Fig. 7B depicts the effect of length of
ethylene glycol loop on binding affinity of circular
oligonucleotides for short and long targets.

10 Fig. 8 depicts replacement of one strand of
a fluorescently labeled double stranded target (SEQ ID
NO:11) by either a linear oligonucleotide having SEQ
ID NO:8 (a) or a circular oligonucleotide having SEQ
ID NO:5 (b). Strand replacement was measured by an
increase in fluorescein fluorescence intensity (Y-
axis) as a function of time (X-axis).

15 Fig. 9 depicts a plot of observed pseudo-
first order rate constant, K_{obs} for duplex target (SEQ
ID NO:5) at several circle concentrations.
Uncertainty in rate constants are no more than $\pm 10\%$.

20 Fig. 10A depicts plots of the observed
hyperchromicity (at 260 nm) as the temperature is
increased for a circular oligonucleotide having two
sets of binding domains and SEQ ID NO:18 when bound to
either a target oligonucleotide having SEQ ID NO:19
(open circles) or to a target oligonucleotide having
25 SEQ ID NO:20 (filled circles). These data indicate
the melting temperature (T_m) of the SEQ ID NO:18-SEQ
ID NO:19 complex is 44.5°C and the T_m of the SEQ ID
NO:18-SEQ ID NO:20 complex is 47.5°C .

30 Fig. 10B depicts the mole fraction of the
(SEQ ID NO:18) circular oligonucleotide having two
pairs of binding domains versus the absorbance, when

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1 mixed with the SEQ ID NO:19 target (squares), the SEQ
ID NO:20 target (triangles) or when mixed with a 1:1
combination both SEQ ID NO:19 and SEQ ID NO:20 targets
5 (circles). The inflection point of the observed
absorbance provides the mole fraction of SEQ ID NO:18
circular oligonucleotide needed for complete
complexation with the indicated target
oligonucleotides.

10 Fig. 11A is a schematic diagram illustrating
the binding of a SEQ ID NO:18 circular oligonucleotide
having two pairs of binding domains, i.e. I and II,
with either of target oligonucleotide SEQ ID NO:19 or
target oligonucleotide SEQ ID NO:20. This figure
15 illustrates that when binding domain pair I has bound
its target oligonucleotide, the P and AP domains of
pair II serve as loop domains separating the P and AP
binding domains of pair I, and vice versa.

20 Fig. 11B is a schematic diagram illustrating
the effect of pH upon target selection by the SEQ ID
NO:18 circular oligonucleotide which has two pairs of
binding domains, i.e. I and II. In this case two
target sites, complementary to the pair I and pair II
25 binding domains, are present within a single
oligonucleotide. When the pH is low, pair I binding
domains which contain cytosine preferentially bind to
their complementary target, while the pair II binding
domains which contain no cytosine do not bind their
30 target. However, when the pH is high, pair II binding
domains containing no cytosine preferentially bind to

1 their target while the pair I binding domains remain
unbound.

5 Fig. 12 depicts the melting temperature (T_m)
as a function of pH when the two binding domain SEQ ID
NO:18 circular oligonucleotide is bound to target
oligonucleotide SEQ ID NO:20 (open circles), SEQ ID
NO:19 (open squares) or SEQ ID NO:21 (filled circles).
10 Oligonucleotides having SEQ ID NO:19 or SEQ ID NO:20
have a single target for the SEQ ID NO:18 circular
oligonucleotide, however the oligonucleotide having
SEQ ID NO:21 encoded two separate target sites for the
SEQ ID NO:18 circular oligonucleotide.

15 Fig. 13A depicts the absorbance versus mole
fraction of SEQ ID NO:18 circular oligonucleotide
present in a mixture with the longer two-target site
oligonucleotide having SEQ ID NO:21. The mole
fraction of circular oligonucleotide at complete
20 complexation (inflection point in the observed
absorbance) is about 0.63.

Fig. 13B depicts the observed T_m values for
the SEQ ID NO:18 circular oligonucleotide bound to the
two target-site oligonucleotide having SEQ ID NO:21.
25 As shown, there are two T_m values at each of the pH
values tested. These two T_m values correspond to
separate melting events at each of the two target
sites within the SEQ ID NO:21 oligonucleotide.

30 Fig 14A depicts the relative absorbance at
260 nm of increasing amounts of the SEQ ID NO:18
circular oligonucleotide bound to the two-target site

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1 SEQ ID NO:21 oligonucleotide at pH 5.5. The SEQ ID
NO:21 oligonucleotide was present at 1.5 μ M and the
SEQ ID NO:18 circular oligonucleotide concentration
5 was present at 0, 0.25, 0.5, 1.0 and 2.0 molar
equivalents (lower to upper curves, respectively).
The temperature at which the absorbance increases
dramatically corresponds to the melting temperature.
Only one sharp increase in absorbance was observed at
10 about 60°C when the circular oligonucleotide was
present at 0, 0.25, 0.5 and 1.0 molar equivalents
(lower four curves). However, two sharp increases in
absorbance were observed at about 47°C and about 60°C
15 when 2.0 molar equivalents of circular oligonucleotide
were mixed with 1.0 molar equivalents of the SEQ ID
NO:21 oligonucleotide.

Fig. 14B depicts the relative absorbance at
260 nm of increasing amounts of the SEQ ID NO:18
20 circular oligonucleotide bound to the two-target site
SEQ ID NO:21 oligonucleotide at pH 8.5. The SEQ ID
NO:21 oligonucleotide was present at 1.5 μ M and the
SEQ ID NO:18 circular oligonucleotide was present at
0, 0.25, 0.5, 1.0 and 2.0 molar equivalents (lower to
25 upper curves, respectively). The observed melting
points at low molar ratios of circular oligonucleotide
to SEQ ID NO:21 oligonucleotide is about 52°C (Fig.
14B middle three curves middle three curves,
30 corresponding to molar ratios of SEQ ID NO:18 to SEQ
ID NO:21 oligonucleotide of 0.25, 0.5 and 1.0).

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1 Fig. 15 depicts the hyperchromicity at pH
5.5 of a mixture of circular oligonucleotide (SEQ ID
NO:18 at 1.5 μ M) with two-target site oligonucleotide
5 (SEQ ID NO:21 at 1.5 μ M) in the presence of
oligonucleotides having either SEQ ID NO:22 (TCTCTCTCT
at 1.5 μ M, filled circles) or SEQ ID NO:23 (TTTTTTTTT
at 1.5 μ M, open circles). Two inflections in
hyperchromicity (open circles) indicate that binding
10 has occurred at both target sites within the SEQ ID
NO:21 oligonucleotide, whereas a single inflection
(filled circles) indicates binding has occurred at
only one site in the SEQ ID NO:21 oligonucleotide.

15 Fig. 16 depicts the effect of circular (SEQ
ID NO:35) and control oligonucleotides at 13 μ M on
proliferation of K562 cells.

20 Fig. 17 shows the effect of circular (SEQ ID
NO:35) and control oligonucleotides at varying
concentrations on the proliferation of K562 cells at
Day 5.

25 Fig. 18A and 18B illustrate the effect of
circular (SEQ ID NO:37) and control oligonucleotides
on the proliferation of BV173 cells at 16 μ M (Fig.
18A) and 32 μ M (Fig. 18B).

30 Fig. 19 depicts the effect of circular (SEQ
ID NO:37) and control oligonucleotides at varying
concentrations on the proliferation of BV173 cells at
Day 7.

35 Figs. 20A and B show sequencing gels of the
products resulting from the incubation of circular and

1 linear forms of SEQ ID NO:37 in 10% fetal bovine serum
at 37°C for 0 to 72 hours.

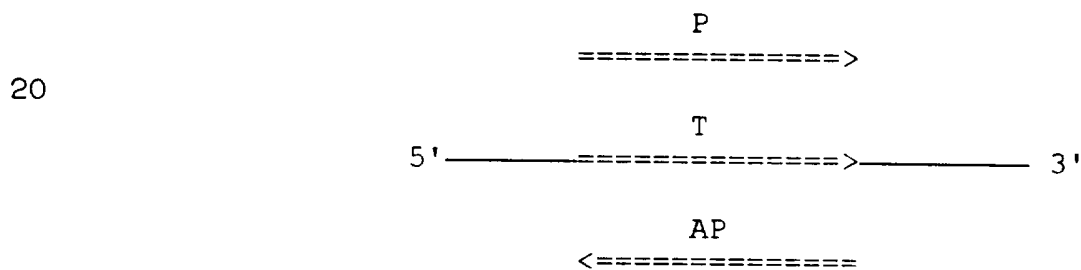
5 The present invention relates to single-
stranded circular oligonucleotides, i.e. circles,
which can bind to nucleic acid targets with high
affinity and selectivity.

10 The strong, selective binding of these
circles to either single- or double-stranded targets
provides a variety of uses, including methods of
regulating such biological processes as DNA
replication, RNA transcription, RNA splicing and
15 processing, protein translation and the like. The
ability of these circles to selectively and stably
bind to targeted nucleic acids makes them ideal as
diagnostic probes or as markers to localize, for
example, specific sites in a chromosome or other DNA
20 or RNA molecules. Additionally, the present circles
are useful for isolation of complementary nucleic
acids or for sequence-specific delivery of drugs or
other molecules into cells.

25 In particular, in one embodiment the single-
stranded circular oligonucleotides of the present
invention have at least one Hoogsteen parallel binding
(P) domain and at least one Watson-Crick anti-parallel
binding (AP) domain and have a loop domain between
30 each binding domain, so that a circular
oligonucleotide is formed. In another embodiment, the
single stranded circular oligonucleotides have at

1 least one of a P domain, a Hoogsteen anti-parallel
 (HAP) domain and an AP domain and a loop domain
 between each binding domain. In embodiments having
 5 one binding domain, the loop domain is between the
 ends of the binding domain so that a circular
 oligonucleotide is formed. Moreover, each P, HAP and
 AP domain exhibits sufficient complementarity to bind
 to one strand of a defined nucleic acid target with
 10 the P domain binding to the target in a parallel
 manner and the HAP and AP domains binding to the
 target in an anti-parallel manner.

The schematic illustration set forth below
 shows a representative circular arrangement of one set
 15 of P and AP oligonucleotide domains relative to each
 other as well as when bound to a target (T, as
 indicated below).



25 The arrows indicate the 5' to 3' orientation of each
 strand with the 5' end of each domain at the tail and
 the 3' end at the arrowhead. Hence as used herein
 binding of nucleic acids in a parallel manner means
 that the 5' to 3' orientation is the same for each
 30 strand or nucleotide in the complex. This is the type
 of binding present between the target and the P

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1 domain. As used herein, binding of nucleic acids in
an anti-parallel manner means that the 5' to 3'
orientations of two strands or nucleotides in a
5 complex lie in opposite directions, i.e. the strands
are aligned as found in the typical Watson-Crick base
pairing arrangement of double helical DNA.

When more than one P and AP binding domain
is present, such binding domains are separated from
10 other P and AP domains by loop domains whose lengths
are sufficient to permit binding to multiple targets.
Moreover, when a circular oligonucleotide has multiple
AP and P domains, a loop domain for one pair of
corresponding AP and P binding domains can constitute
15 an AP or P domain for binding to another target. When
a circular oligonucleotide has only one P or AP
domain, the loop domain is between the ends of the
binding domain and serves to circularize the
oligonucleotide. When a circular oligonucleotide of
20 the present invention includes, e.g., two pairs of
corresponding binding domains, these pairs of
corresponding binding domains can also bind separate
target sites. Moreover, when a circle has multiple AP
25 and P domains, the corresponding targets need not be
linked on one nucleic acid strand. Furthermore, a
loop domain of a circular oligonucleotide bound to a
given target can be an AP or P domain for binding to a
second target when the circular oligonucleotide
30 releases from the first target.

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1 In accordance with this invention, the
nucleotide sequences of the P, HAP and AP domains can
be determined from the defined sequence of the nucleic
acid target by reference to the base pairing rules
5 provided hereinbelow. A target can be either single-
or double-stranded and is selected by its known
functional and structural characteristics. For
example, some preferred targets can be coding regions,
10 origins of replication, reverse transcriptase binding
sites, transcription regulatory elements, RNA splicing
junctions, or ribosome binding sites, among others. A
target can also be selected by its capability for
detection or isolation of a DNA or RNA template.
15 Preferred targets are rich in purines, i.e. in
adenines and guanines.

The nucleotide sequence of the target DNA or
RNA can be known in full or in part. When the target
nucleotide sequence is completely known the sequences
20 of the P and AP domains are designed with the
necessary degree of complementarity to achieve
binding, as detected by known procedures, for example
by a change in light absorption or fluorescence. In
25 some instances, the target sequence can be represented
by a consensus sequence or be only partially known.
For example, circular oligonucleotides (circles) which
bind to an entire class of targets represented by a
consensus sequence can be provided by designing the P,
30 HAP and AP domains from the target consensus sequence.
In this instance some of the targets may match the

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1 consensus sequence exactly and others may have a few
mismatched bases, but not enough mismatch to prevent
binding. Likewise, if a portion of a target sequence
5 is known, one skilled in the art can refer to the base
pairing rules provided hereinbelow to design circles
which bind to that target with higher affinity than a
linear oligonucleotide that has a sequence
corresponding to that of the circle.

10 Thus, the present invention is also directed
to circles having P, HAP and AP domains which are
sufficiently complementary to bind to a nucleic acid
target wherein a sufficient number, but not
necessarily all, nucleotide positions in the P, HAP
15 and AP domains are determined from the target sequence
in accordance with the base pairing rules of this
invention. The number of determined (i.e. known)
positions is that number of positions which are
necessary to provide sufficient complementarity for
20 binding of the subject oligonucleotides to their
targets, as detected by standard procedures including
a change in light absorption upon binding or melting.

The base pairing rules of the present
25 invention provide for the P domain to bind to the
target by forming base pairs wherein the P domain and
target nucleotides have the same 5' to 3' orientation.
In particular, these rules are satisfied to the extent
needed to achieve binding of a circular
30 oligonucleotide to its nucleic acid target, i.e. the
degree of complementarity need not be 100% so long as

1 binding can be detected. Hence, the general rules for
determining the sequence of the P domain are thus:

5 when a base for a position in the target is
guanine or a guanine analog, then P has cytosine, or a
suitable analog thereof, in a corresponding position;

10 when a base for a position in the target is
adenine or an adenine analog then P has thymine or
uracil, or suitable analogs thereof, in a
corresponding position;

15 when a base for a position in the target is
thymine or a thymine analog, then P has cytosine or
guanine, or suitable analogs thereof, in a
corresponding position;

20 when a base for a position in the target is
cytosine or a cytosine analog, then P has cytosine,
thymine or uracil, or suitable analogs thereof, in a
corresponding position; and

25 when a base for a position in the target is
uracil or a uracil analog, then P has cytosine,
guanine, thymine, or uracil, or suitable analogs
thereof, in a corresponding position.

30 The base pairing rules of the present
invention provide for the AP domain to bind to the
target by forming base pairs wherein the AP domain and
target nucleotides are oriented in opposite
directions. In particular these rules are satisfied
to the extent necessary to achieve detectable binding
of a circular oligonucleotide to its nucleic acid
target, i.e. the degree of complementarity can be less

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1 than 100%. Hence, the base pairing rules can be
adhered to only insofar as is necessary to achieve
sufficient complementarity for binding to be detected
5 between the circular oligonucleotide and its target.

Thus, the general rules for determining the
sequence of the AP domain are as follows:

when a base for a position in the target is
guanine or a guanine analog, then AP has cytosine or
10 uracil, or suitable analogs thereof, in a
corresponding position;

when a base for a position in the target is
adenine or an adenine analog, then AP has thymine or
uracil, or suitable analogs thereof, in a
15 corresponding position;

when a base for a position in the target is
thymine or a thymine analog, then AP has adenine, or a
suitable analog thereof, in a corresponding position;
and
20

when a base for a position in the target is
cytosine or a cytosine analog, then AP has a guanine,
or a suitable analog thereof, in corresponding
position;

25 when a base for a position in the target is
uracil or a uracil analog, then AP has adenine or
guanine, or suitable analogs thereof, in a
corresponding position.

30 In a preferred embodiment, the P, AP and
loop domains are not complementary to each other.

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1 The present invention contemplates circular
oligonucleotides comprising a binding domain capable
of binding to a duplex target whereby the binding
5 domain of the circle binds by Hoogsteen base pairing
to a strand of the duplex, thus forming a triple
helical complex between one binding domain of the
circle and the duplex target. The binding of the
binding domain of the circle to the target can be in
10 parallel or antiparallel orientation. When the
orientation is parallel, the foregoing base pairing
rules for the P domain apply. When the binding is in
an antiparallel orientation, the domain is designed
Hoogsteen-antiparallel (HAP) and the following rules
15 apply:

 when a base for a position in the target is
guanine or a guanine analog, then HAP has guanine or a
suitable analog thereof in a corresponding position;

20 when a base for a position in the target is
adenine or an adenine analog, then HAP has adenine or
thymine or uracil, or suitable analogs thereof, in a
corresponding position;

25 when a base for a position in the target is
thymine, cytosine, uracil or analogs thereof then HAP
has adenine, cytosine, guanine, thymine, uracil or
suitable analogs thereof.

30 Thus for binding to double-stranded DNA, the
present invention provides a circular oligonucleotide
comprising a P domain, and further provides a circular
oligonucleotide comprising an HAP domain.

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1 Table 1 summarizes the nucleotides that can
form anti-parallel base pairs or parallel base pairs
with a defined target nucleotide.

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TABLE 1

5	Target Nucleotide ^a	Anti-Parallel Domain Nucleotide ^a	Parallel Domain Nucleotide ^a	Hoogsteen Anti-Parallel Domain Nucleotide ^a
	G	C or U	C	G
10	A	T or U	T or U	A, T or U
	T	A	C or G	A, C, G, T or U
	C	G	C, T or U	A, C, G, T or U
15	U	A or G	C, G, T or U	A, C, G, T or U

^a Or a suitable analog

Two complementary single-stranded nucleic acids form a stable double helix (duplex) when the strands bind, or hybridize, to each other in the typical Watson-Crick fashion, i.e. via anti-parallel GC and AT base pairs. For the present invention, stable duplex formation and stable triplex formation is achieved when the P and AP domains exhibit sufficient complementarity to the target sequence to achieve stable binding between the circular oligonucleotide and the target molecule. Stable binding occurs when an oligonucleotide remains detectably bound to target under the required conditions.

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1 Complementarity between nucleic acids is the
degree to which the bases in one nucleic acid strand can
hydrogen bond, or base pair, with the bases in a second
5 nucleic acid strand. Hence, complementarity can
sometimes be conveniently described by the percentage,
i.e. proportion, of nucleotides which form base pairs
between two strands or within a specific region or
domain of two strands. For the present invention
10 sufficient complementarity means that a sufficient
number of base pairs exist between a target nucleic acid
and the HAP or P and/or AP domains of the circular
oligonucleotide to achieve detectable binding.
Moreover, the degree of complementarity between the P
15 domain and the target and the AP domain and the target
need not be the same. When expressed or measured by
percentage of base pairs formed, the degree of
complementarity can range from as little as about 30-40%
20 complementarity to full, i.e. 100%, complementarity. In
general, the overall degree of complementarity between
the HAP or P or AP domain and the target is preferably
at least about 50%. However, the HAP or P domain can
sometimes have less complementarity with the target than
25 the AP domain has with the target, for example the HAP
or P domain can have about 30% complementarity with the
target while the AP domain can have substantially more
complementarity, e.g. 50% to 100% complementarity.

30 Moreover, the degree of complementarity that
provides detectable binding between the subject circular
oligonucleotides and their respective targets is

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1 dependent upon the conditions under which that binding
occurs. It is well known that binding, i.e.
hybridization, between nucleic acid strands depends on
5 factors besides the degree of mismatch between two
sequences. Such factors include the GC content of the
region, temperature, ionic strength, the presence of
formamide and types of counter ions present. The effect
that these conditions have upon binding is known to one
10 skilled in the art. Furthermore, conditions are
frequently determined by the circumstances of use. For
example, when a circular oligonucleotide is made for use
in vivo, no formamide will be present and the ionic
strength, types of counter ions, and temperature
15 correspond to physiological conditions. Binding
conditions can be manipulated in vitro to optimize the
utility of the present oligonucleotides. A thorough
treatment of the qualitative and quantitative
20 considerations involved in establishing binding
conditions that allow one skilled in the art to design
appropriate oligonucleotides for use under the desired
conditions is provided by Beltz et al., 1983, Methods
Enzymol. 100:266-285 and by Sambrook et al.

25 Thus for the present invention, one of
ordinary skill in the art can readily design a
nucleotide sequence for the HAP, P and AP domains of the
subject circular oligonucleotides which exhibits
sufficient complementarity to detectably bind to its
30 target sequence. As used herein "binding" or "stable
binding" means that a sufficient amount of the

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1 oligonucleotide is bound or hybridized to its target to
permit detection of that binding. Binding can be
detected by either physical or functional properties of
the target:circular oligonucleotide complex.

5 Binding between a target and an
oligonucleotide can be detected by any procedure known
to one skilled in the art, including both functional or
physical binding assays. Binding may be detected
10 functionally by determining whether binding has an
observable effect upon a biosynthetic process such as
DNA replication, RNA transcription, protein translation
and the like.

15 Physical methods of detecting the binding of
complementary strands of DNA or RNA are well known in
the art, and include such methods as DNase I or chemical
footprinting, gel shift and affinity cleavage assays,
Northern blotting, dot blotting and light absorption
20 detection procedures. For example, a method which is
widely used, because it is so simple and reliable,
involves observing a change in light absorption of a
solution containing an oligonucleotide and a target
nucleic acid at 220 to 300 nm as the temperature is
25 slowly increased. If the oligonucleotide has bound to
its target, there is a sudden increase in absorption at
a characteristic temperature as the oligonucleotide and
target dissociate or melt.

30 The binding between an oligonucleotide and its
target nucleic acid is frequently characterized by the
temperature at which 50% of the oligonucleotide is

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1 melted from its target. This temperature is the melting
temperature (T_m). A higher T_m means a stronger or more
stable complex relative to a complex with a lower T_m .
5 The stability of a duplex increases with increasing G:C
content since G:C base pairs have three hydrogen bonds
whereas A:T base pairs have two. The circular
oligonucleotides of the present invention that contain a
P and AP domain provide additional hydrogen bonds and
10 hence more stability since two binding domains are
available for bonding to a single target nucleic acid.
Hence, the triplex formed by such a circular
oligonucleotide bound to a single stranded target
nucleic acid should melt at a higher T_m than the duplex
15 formed by a linear oligonucleotide and a target.

Circular oligonucleotides bind to a nucleic
acid target through hydrogen bonds formed between the
nucleotides of the binding domains and the target. The
20 AP domain can bind by forming Watson-Crick hydrogen
bonds. The P or HAP domain can bind to the target
nucleotides by forming non-Watson-Crick hydrogen bonds
(Table 1). When two nucleotides from different strands
of DNA or RNA hydrogen bond by the base pairing rules
25 defined herein, a base pair or duplex is formed. When a
nucleotide from AP and a nucleotide from P both bind to
the same target nucleotide, a base triad is formed.

Parallel domain base pairing with a
complementary target strand of nucleic acid is
30 thermodynamically less favorable than Watson-Crick base
pairing; however, when both parallel and antiparallel

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1 pairing modes are present in a single molecule, highly
stable complexes can form. Thus, two opposing domains
of a circular oligomer form a complex with a central
5 target, giving a triplex structure, or a triple helical
complex, bounded by the two looped ends of the circle.
For example, this arrangement can allow formation of up
to four hydrogen bonds when two thymines bind to a
target adenine and up to five hydrogen bonds when two
10 cytosines bind to a target guanine.

Furthermore, because of the binding
characteristics of the P and AP domains, the present
circular oligonucleotides have a higher selectivity for
a single stranded target than do corresponding linear
15 oligonucleotides. At least two factors can contribute
to this high selectivity. First, circular
oligonucleotides of this invention bind twice to the
same central target strand. Hence two domains are
involved in selecting a target. Second, protonation of
20 cytosine in a C+G-C triad is favored only when this
triad forms and the additional proton gives the triad a
positive charge. This positive charge can lessen the
negative charge repulsions arising from the
25 juxtapositioning of three phosphodiester backbones.

Protonation of C+G-C triads occurs most
readily at low pH and formation of C+G-C triads is
favored over formation of many other triads at low pH.
Therefore, P and AP domains which are cytosine-rich more
30 stably bind a complementary guanine-rich target at low
pH than cytosine-poor P and AP domains bind a guanine-

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1 poor target. The skilled artisan can take advantage of
the effect of protonation upon C+G-C triad formation to
design circular oligonucleotides in accordance with the
5 present invention whose selectivity for a target is
enhanced if the pH of the hybridization reaction is
known or can be adjusted. This is done simply by
selecting a guanine-rich target and constructing
cytosine-rich P and AP binding domains if the
10 hybridization pH is low, or by selecting a guanine-poor
target and constructing cytosine-poor P and AP binding
domains if the hybridization pH is high. For these
purposes a low pH is about 5.0 to about 6.8, and
preferably about 5.5, whereas a high pH is about 7.0 to
15 about 9.0, and for use in vivo preferably about 7.4. As
used herein a cytosine-rich P or AP binding domain has
about 2 to about 20 cytosines, and a guanine-rich target
has about 2 to about 20 guanines. Conversely, a
20 cytosine-poor P or AP binding domain has no more than
one cytosine, while a guanine-poor target has no more
than one guanine.

The circular oligonucleotides of the present
invention can be constructed to include more than one
25 HAP or P or AP binding domain to permit binding of the
oligonucleotide to more than one target. The skilled
artisan can also select target sites for such multiple-
binding domain oligonucleotides which permit
construction of cytosine-rich and cytosine-poor pairs of
30 P and AP binding domains. By including a cytosine-rich
pair of binding domains with a cytosine-poor pair of

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1 binding domains, the skilled artisan can direct the
circle to a particular target either by adjusting the pH
or by taking advantage of natural variations in pH.

5 For example, two targets can be selected, a
first target having many guanines and a second target
with few guanines. A circular oligonucleotide can be
prepared to include a first pair of cytosine-rich AP and
P binding domains complementary to the first target and
10 a second pair of cytosine-poor AP and P binding domains
complementary to the second target in accordance with
the procedures provided by the present invention. At
low pH values, e.g. about pH 5.0 to 6.5, binding to the
guanine-rich target is very highly favored whereas at
15 high pH values, e.g. about pH 7.2 to 9.0, binding to the
guanine-poor target is highly favored. Such
oligonucleotides are therefore multifunctional,
conformationally mobile ligands capable of controlled,
selective binding to more than a single target site.

20 Moreover the selectivity of circular
oligonucleotides can be controlled by taking advantage
of pH variations in vivo as well as in vitro, since
variations in pH occur naturally in vivo as well as
25 being experimentally generated in vitro. For example,
solid tumors can have a pH of 5.5 to 6.8 which is
considerably lower than the average intracellular pH of
7.4 (Meyer et al. 1948 Cancer Res. 8:513).

30 Therefore, according to the present invention,
the biosynthesis of a DNA, an RNA or a protein within a
targeted mammalian tumor can be selectively regulated,

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1 without substantially affecting the biosynthesis of DNA,
RNA or proteins in non-targeted cells, e.g., that DNA,
RNA or protein in a neighboring normal cell. This can
5 be accomplished in accordance with the present invention
by administering a circular oligonucleotide having a
cytosine-rich pair of P and AP binding domains as well
as a cytosine-poor pair of P and AP binding domains,
wherein the cytosine-rich P and AP domains bind to the
10 target within a nucleic acid template for the DNA, RNA
or protein. Since the pH in such a solid tumor is lower
than the pH of surrounding normal tissues, the circular
oligonucleotide preferentially binds to the guanine-rich
target within the tumor. However, in normal tissues
15 where the pH is higher, the circular oligonucleotide has
less preference for the guanine-rich target and binds to
the guanine-poor target. By selecting a guanine-rich
target whose function is essential for cell growth or
survival, and a guanine-poor target with a non-essential
20 function, the growth of the tumor can thereby be
inhibited or arrested.

Unlike linear oligonucleotides, the present
circular oligonucleotides can displace one strand of a
25 double-stranded target under conditions where
denaturation of the double-stranded target is
thermodynamically unfavorable. Linear oligonucleotides
do not have this capacity to displace a strand of a
duplex. For example, the half-life of a double-stranded
30 target in the presence of a complementary linear
oligonucleotide is about 58 min, and therefore the

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1 linear oligonucleotide has little utility for displacing
one strand of the duplex target. However, a double-
stranded target has a half-life of only 30 sec in the
presence of the present circular oligonucleotides.
5 Therefore, the circular oligonucleotides of the present
invention have utility not only for binding single-
stranded targets, but also for binding to double-
stranded targets.

10 Further, the circular oligonucleotides of the
present invention may bind to a double stranded target
through the binding of only one binding domain, such
that a triplex is formed, or to a single-stranded target
through the binding of only one binding domain such that
15 a duplex is formed. For example, a circular
oligonucleotide comprising one AP domain is useful for
binding to a single-stranded target. A circular
oligonucleotide comprising one P or one HAP domain is
useful for binding to a double-stranded target. The in
20 vitro binding affinity of circles utilizing one binding
domain to form a duplex with a single stranded target is
comparable to the binding affinity of the analogous
linear oligonucleotide. However, the circles that
25 utilize one binding domain are far superior for both in
vitro and in vivo applications due to their greater
stability, and particularly nuclease resistance,
relative to linear oligonucleotides.

30 Accordingly, since both single- and double-
stranded nucleic acids are available as targets for the
present circular oligonucleotides, these circular

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1 oligonucleotides can have greater utility than linear
oligonucleotides. For example, the present circular
oligonucleotides are better regulators of biological
5 processes in vivo and better in vitro diagnostic probes
than corresponding linear oligonucleotides.

When the nucleic acid template extends beyond
the central triple-stranded target:circle complex, a P
or an AP domain may bind as duplex on either side of the
10 triple standard complex. Hence a target:circular
oligonucleotide complex can be partially two stranded
and partially three-stranded, wherein two-stranded
portions can be P:target duplexes, without bound AP
nucleotides, or AP:target duplexes, without bound P
15 nucleotides. This binding arrangement is a staggered
binding arrangement.

Each P domain, HAP domain, AP domain and
target can independently have about 2 to about 200
20 nucleotides with preferred lengths being about 4 to
about 100 nucleotides. The most preferred lengths are 6
to 36 nucleotides.

The binding domains are separated by loop
domains which can independently have from about 2 to
25 about 2000 nucleotides. A preferred loop length is from
about 3 to about 8 nucleotides with an especially
preferred length being about 5 nucleotides.

According to the present invention, the loop
domains do not have to be composed of nucleotide bases.
30 Non-nucleotide loops can make the present circular
oligonucleotides less expensive to produce. More

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1 significantly, circular oligonucleotides with non-
nucleotide loops are more resistant to nucleases and
therefore have a longer biological half-life than
5 linear oligonucleotides. Furthermore, loops having no
charge, or a positive charge, can be used to promote
binding by eliminating negative charge repulsions
between the loop and target. In addition, circular
oligonucleotides having uncharged or hydrophobic non-
10 nucleotide loops can penetrate cellular membranes better
than circular oligonucleotides with nucleotide loops.

As contemplated herein, non-nucleotide loop
domains can be composed of alkyl chains, polyethylene
glycol or oligoethylene glycol chains or other chains
15 providing the necessary steric or flexibility properties
which are compatible with oligonucleotide synthesis.
The length of these chains is equivalent to about 2 to
about 2000 nucleotides, with preferred lengths
equivalent to about 3 to about 8 nucleotides. The most
20 preferred length for these chains is equivalent to about
5 nucleotides.

Preferred chains for non-nucleotide loop
domains are polyethylene glycol or oligoethylene glycol
25 chains. In particular, oligoethylene glycol chains
having a length similar to a 5 nucleotide chain, e.g. a
pentaethylene glycol, a hexaethylene glycol or a
heptaethylene glycol chain, are preferred. Covalent
bonds, for example disulfide bonds, may comprise the
30 loop domain.

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1 The circular oligonucleotides of the present
invention are composed of single stranded DNA, RNA or a
mixture thereof. Circular oligonucleotides comprising
5 DNA and RNA are referred to herein as chimeric
oligonucleotides. All possible chimeric
oligonucleotides, for example, chimeric oligonucleotide
circles containing a DNA binding domain and an RNA
binding domain, or RNA binding domains and DNA loops,
10 are contemplated by the present invention. The base
composition of the nucleotides can vary and may include
guanine (G), adenine (A), thymine (T), cytosine (C), or
uracil (U) or any nucleotide analog that is capable of
hydrogen bonding in a parallel or anti-parallel manner
15 to a target nucleotide.

Nucleotide analogs include pseudocytidine,
isopseudocytidine, imidazole, 3-aminophenyl-imidazole,
2'-O-methyl-adenosine, 7-deazadenosine, 7-
20 deazaguanosine, 7-deazaxanthosine, 4-acetylcytidine, 5-
(carboxy-hydroxymethyl)-uridine, 2'-O-methylcytidine,
5-carboxymethylaminomethyl-2-thioridine, 5-
carboxymethylamino-methyluridine, dihydrouridine, 2'-O-
methyluridine, pseudouridine, 2'-O-methyl-pseudouridine,
25 beta, D-galactosylqueosine, 2'-O-methylguanosine,
inosine, N6-isopentenyladenosine, 1-methyladenosine, 1-
methyl-pseudouridine, 1-methylguanosine, 1-
methylinosine, 2,2-dimethylguanosine, 2-methyladenosine,
2-methylguanosine, 3-methylcytidine, 5-methylcytidine,
30 5-methyluridine, N6-methyl-adenosine, 7-methylguanosine,
5-methylamino-methyluridine, 5-methoxyaminomethyl-2-

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1 thiouridine, β -D-mannosylqueosine, 5-
methoxycarbonylmethyluridine, 5-methoxyuridine, 2-
methyl-thio-N⁶-isopentenyladenosine, N-(9-beta-D-
5 ribofuranosyl-2-methylthiopurine-6-yl)-
carbamoyl)threonine, N-(9-beta-D-ribofuranosylpurine-6-
yl)-N-methylcarbamoyl)threonine, and thioguanosine.
When possible, either ribose or deoxyribose or 2'-O-
methylribose sugars can be used with these analogs.

10 Nucleotides bases in an α -anomeric conformation can also
be used in the circular oligonucleotides of the present
invention.

Preferred nucleotide analogs are unmodified G,
A, T, C and U nucleotides; pyrimidine analogs with lower
15 alkyl, lower alkoxy, lower alkynyl, lower alkenyl, lower
alkylamine, phenyl or lower alkyl substituted phenyl
groups in the 5 position of the base and purine analogs
with similar groups in the 7 or 8 position of the base.
Especially preferred nucleotide analogs are 5-
20 methylcytosine, 5-methyluracil, diaminopurine, and
nucleotides with a 2'-O-methylribose, 2'-
fluorodeoxyribose or 2'-aminodeoxyribose moiety in place
of ribose or deoxyribose. In a particularly preferred
25 embodiment, the oligonucleotide circle comprises RNA in
which some of the pyrimidines are C-5 methylated and
some of the ribose moieties are 2'-O-methylribose (other
sugar modifications?).

As used herein lower alkyl, lower alkoxy and
30 lower alkylamine contain from 1 to 6 carbon atoms and
can be straight chain or branched. These groups include

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1 methyl, ethyl, propyl, isopropyl, butyl, isobutyl,
tertiary butyl, amyl, hexyl and the like. A preferred
alkyl group is methyl.

5 It has been discovered in accordance with the
present invention that the composition of the binding
domains may be designed to optimize binding to a
particular target species. Optimization is contingent
upon the intended use of the oligonucleotides. For
10 example, for in vivo use or diagnostic applications
involving biological fluids, resistance to nucleases is
a critical consideration. In other diagnostic
applications, binding affinity can be optimized with
less consideration of nuclease resistance. For example,
15 for binding to a single-stranded DNA target in
applications involving biological fluids, a preferred
circle contains an DNA P domain and a DNA AP domain.
For binding to a single-stranded RNA target, the
preferred circle contains RNA in both P and AP binding
20 domains. In both cases, affinity can be increased by
incorporating methylated pyrimidine bases into the
binding domains.

For binding to duplex DNA at neutral pH,
25 pyrimidine rich binding domains composed of RNA or 2'-O-
methyl RNA are preferred. Affinity can be increased by
incorporating methylated pyrimidine bases into the
binding domains.

For binding to duplex RNA, or RNA-DNA hybrids,
30 binding domains composed of RNA are preferred in
embodiments in which susceptibility to nucleases is

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1 minimal. Again C-5 methylation of pyrimidine bases is preferred.

5 The skilled artisan can modify the composition of the circles in accordance with the foregoing guidelines to determine the preferred circle for binding under specific conditions.

10 It has been further discovered in accordance with the present invention that circles can be designed to selectively bind DNA versus RNA targets. For example, at both neutral and acidic pH, a circle with DNA P and AP domains hybridizes to a complementary single-stranded DNA target with significantly higher affinity than to an RNA target having the same affinity.
15 In contrast, circles composed of RNA P and AP domains generally exhibit a small preference for binding RNA targets.

20 In view of the discovery of the selectivity properties of DNA and RNA circles in accordance with the present invention, one of ordinary skill in the art is able to choose circular oligonucleotides that select RNA or DNA strands from a mixture thereof. The ability to bind RNA or DNA strands with high selectivity under
25 physiological conditions is useful in diagnostic and therapeutic applications where both RNA and DNA strands are present.

30 Circular oligonucleotides can be made first as linear oligonucleotides and then circularized. Linear oligonucleotides can be made by any of a myriad of procedures known for making DNA or RNA oligonucleotides.

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1 For example, such procedures include enzymatic synthesis
and chemical synthesis.

5 Enzymatic methods of DNA oligonucleotide
synthesis frequently employ Klenow, T7, T4, Taq or E.
coli DNA polymerases as described in Sambrook et al.
Enzymatic methods of RNA oligonucleotide synthesis
frequently employ SP6, T3 or T7 RNA polymerase as
described in Sambrook et al. Reverse transcriptase can
10 also be used to synthesize DNA from RNA (Sambrook
et al.). To prepare oligonucleotides enzymatically
requires a template nucleic acid which can either be
synthesized chemically, or be obtained as mRNA, genomic
DNA, cloned genomic DNA, cloned cDNA or other
15 recombinant DNA. Some enzymatic methods of DNA
oligonucleotide synthesis can require an additional
primer oligonucleotide which can be synthesized
chemically. Finally, linear oligonucleotides can be
prepared by PCR techniques as described, for example, by
20 Saiki et al., 1988, Science 239:487.

Chemical synthesis of linear oligonucleotides
is well known in the art and can be achieved by solution
or solid phase techniques. Moreover, linear
25 oligonucleotides of defined sequence can be purchased
commercially or can be made by any of several different
synthetic procedures including the phosphoramidite,
phosphite triester, H-phosphonate and phosphotriester
methods, typically by automated synthesis methods. The
30 synthesis method selected can depend on the length of
the desired oligonucleotide and such choice is within

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1 the skill of the ordinary artisan. For example, the
phosphoramidite and phosphite triester method produce
oligonucleotides having 175 or more nucleotides while
5 the H-phosphonate method works well for oligonucleotides
of less than 100 nucleotides. If modified bases are
incorporated into the oligonucleotide, and particularly
if modified phosphodiester linkages are used, then the
synthetic procedures are altered as needed according to
10 known procedures. In this regard, Uhlmann et al. (1990,
Chemical Reviews 90:543-584) provide references and
outline procedures for making oligonucleotides with
modified bases and modified phosphodiester linkages.

15 Synthetic, linear oligonucleotides may be
purified by polyacrylamide gel electrophoresis, or by
any of a number of chromatographic methods, including
gel chromatography and high pressure liquid
chromatography. To confirm a nucleotide sequence,
20 oligonucleotides may be subjected to DNA sequencing by
any of the known procedures, including Maxam and Gilbert
sequencing, Sanger sequencing, capillary electrophoresis
sequencing the wandering spot sequencing procedure or by
using selective chemical degradation of oligonucleotides
25 bound to Hybond paper. Sequences of short
oligonucleotides can also be analyzed by laser
desorption mass spectroscopy or by fast atom bombardment
(McNeal, et al., 1982, J. Am. Chem. Soc. 104:976; Viari,
et al., 1987, Biomed. Environ. Mass Spectrom. 14:83;
30 Grotjahn et al., 1982, Nuc. Acid Res. 10:4671).

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1 Sequencing methods are also available for RNA
oligonucleotides.

5 The present invention provides several methods
of preparing circular oligonucleotides from linear
precursors (i.e. precircles), including a method wherein
a precircle is synthesized and bound to an end-joining-
oligonucleotide and the two ends of the precircle are
10 joined. Any method of joining two ends of an
oligonucleotide is contemplated by the present
invention, including chemical methods employing, for
example, known coupling agents like BrCN, N-
cyanoimidazole ZnCl₂, 1-ethyl-3-(3-dimethylaminopropyl)-
15 carbodiimide and other carbodiimides and carbonyl
diimidazoles. Furthermore, the ends of a precircle can
be joined by condensing a 5' phosphate and a 3' hydroxy,
or a 5' hydroxy and a 3' phosphate.

In accordance with the present invention, a
20 simple one-step chemical method is provided to construct
the subject circular oligonucleotides, or circles, from
precircles. An oligonucleotide is constructed which has
the same sequence as the target nucleic acid; this is
the end-joining oligonucleotide, or adaptor. A DNA or
25 RNA linear precircle is chemically or enzymatically
synthesized and phosphorylated on its 5' or 3' end,
again by either chemical or enzymatic means. The
precircle and the end-joining oligonucleotide are mixed
and annealed, thereby forming a complex in which the 5'
30 and 3' ends of the precircle are adjacent, as depicted
in Fig. 1. It is preferred that the ends of the

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1 precircle fall within a binding domain, not within a
loop, and preferably within the anti-parallel binding
domain rather than the parallel domain. Moreover, it is
5 preferred that a precircle have a 3'-phosphate rather
than a 5'-phosphate. After complex formation, the ends
undergo a condensation reaction in a buffered aqueous
solution containing divalent metal ions and BrCN at
about pH 7.0. In a preferred embodiment the buffer is
10 imidazole-Cl at pH 7.0 with a divalent metal such as Ni,
Zn, Mn, or Co. Ni is the most preferred divalent metal.
Condensation occurs after about 6-48 hr. of incubation
at 4-37°C. Other divalent metals, such as Cu, Pb, Ca
and Mg, can also be used.

15 One method for RNA circularization
incorporates the appropriate nucleotide sequences,
preferably in a loop domain, into an RNA oligonucleotide
to promote self splicing, since a circular product is
formed under the appropriate conditions (Sugimoto
20 et al., 1988, Biochemistry 27:6384-6392).

Enzymatic circle closure is also possible
using DNA ligase or RNA ligase under conditions
appropriate for these enzymes.

25 Circular oligonucleotides can be separated
from the end-joining oligonucleotide by denaturing gel
electrophoresis or melting followed by gel
electrophoresis, size selective chromatography, or other
appropriate chromatographic or electrophoretic methods.
30 The recovered circular oligonucleotide can be further
purified by standard techniques as needed for its use in

1 the methods of the present invention. Alternatively,
the end-joining oligonucleotide may be attached to a
solid support and recovered by filtration.

5 The present invention also contemplates
derivatization or chemical modification of the subject
oligonucleotides with chemical groups to facilitate
cellular uptake. For example, covalent linkage of a
cholesterol moiety to an oligonucleotide can improve
10 cellular uptake by 5- to 10- fold which in turn improves
DNA binding by about 10- fold (Boutorin et al., 1989,
FEBS Letters 254:129-132). Other ligands for cellular
receptors may also have utility for improving cellular
uptake, including, e.g. insulin, transferrin and others.
15 Similarly, derivatization of oligonucleotides with poly-
L-lysine can aid oligonucleotide uptake by cells
(Schell, 1974, Biochem. Biophys. Acta 340:323, and
Lemaitre et al., 1987, Proc. Natl. Acad. Sci. USA
20 84:648). Certain protein carriers can also facilitate
cellular uptake of oligonucleotides, including, for
example, serum albumin, nuclear proteins possessing
signals for transport to the nucleus, and viral or
bacterial proteins capable of cell membrane penetration.
25 Therefore, protein carriers are useful when associated
with or linked to the circular oligonucleotides of this
invention. Accordingly, the present invention
contemplates derivatization of the subject circular
oligonucleotides with groups capable of facilitating
30 cellular uptake, including hydrocarbons and non-polar
groups, cholesterol, poly-L-lysine and proteins, as well

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1 as other aryl or steroid groups and polycations having
analogous beneficial effects, such as phenyl or naphthyl
groups, quinoline, anthracene or phenanthracene groups,
5 fatty acids, fatty alcohols and sesquiterpenes,
diterpenes and steroids.

The present invention further contemplates
derivatization of the subject oligonucleotides with
agents that can cleave or modify the target nucleic acid
10 or other nucleic acid strands associated with or in the
vicinity of the target. For example, viral DNA or RNA
can be targeted for destruction without harming cellular
nucleic acids by administering a circular
15 oligonucleotide complementary to the targeted nucleic
acid which is linked to an agent that, upon binding, can
cut or render the viral DNA or RNA inactive. Nucleic
acid destroying agents that are contemplated by the
present invention as having cleavage or modifying
20 activities include, for example, RNA and DNA nucleases,
ribozymes that can cleave RNA, azidoproflavine,
acridine, EDTA/Fe, chloroethylamine, azidophenacyl and
phenanthroline/Cu. Uhlmann et al. (1990, Chemical
Reviews 90:543-584) provide further information on the
25 use of such agents and methods of derivatizing
oligonucleotides that can be adapted for use with the
subject circular oligonucleotides.

Derivatization of the subject circular
oligonucleotides with groups that facilitate cellular
30 uptake or target binding, as well as derivatization with
nucleic acid destroying agents or drugs, can be done by

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1 any of the procedures known to one skilled in the art.
Moreover, the desired groups can be added to nucleotides
before synthesis of the oligonucleotide. For example,
5 these groups can be linked to the 5-position of T or C
and these modified T and C nucleotides can be used for
synthesis of the present circular oligonucleotides. In
addition, derivatization of selected nucleotides permits
incorporation of the group into selected domains of the
10 circular oligonucleotide. For example, in some
instances it is preferable to incorporate certain groups
into a loop where that group will not interfere with
binding, or into an AP, HAP or P domain to facilitate
cleavage or modification of the target nucleic acid.

15 In accordance with the present invention,
modification in the phosphodiester backbone of circular
oligonucleotides is also contemplated. Such
modifications can aid uptake of the oligonucleotide by
cells or can extend the biological half-life of such
20 nucleotides. For example, circular oligonucleotides may
penetrate the cell membrane more readily if the negative
charge on the internucleotide phosphate is eliminated.
This can be done by replacing the negatively charged
25 phosphate oxygen with a methyl group, an amine or by
changing the phosphodiester linkage into a
phosphotriester linkage by addition of an alkyl group to
the negatively charged phosphate oxygen. Alternatively,
one or more of the phosphate atoms which is part of the
30 normal phosphodiester linkage can be replaced. For
example, NH-P, CH₂-P or S-P linkages can be formed.

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1 Accordingly, the present invention contemplates using
methylphosphonates, phosphorothioates,
phosphorodithioates, phosphotriesters and phosphorus-
5 boron (Sood et al., 1990, J. Am. Chem. Soc. 112:9000)
linkages. The phosphodiester group can be replaced with
siloxane, carbonate, acetamidate or thioether groups.
These modifications can also increase the resistance of
the subject oligonucleotides to nucleases. Methods for
10 synthesis of oligonucleotides with modified
phosphodiester linkages are reviewed by Uhlmann et al.

Circular oligonucleotides with non-nucleotide
loops can be prepared by any known procedure. For
example, Durand et al. (1990, Nucleic Acids Res.
15 18:6353-6359) provides synthetic procedures for linking
non-nucleotide chains to DNA. Such procedures can
generally be adapted to permit an automated synthesis of
a linear oligonucleotide precursor which is then used to
20 make a circular oligonucleotide of the present
invention. In general, groups reactive with nucleotides
in standard DNA synthesis, e.g. phosphoramidite, H-
phosphonate, dimethoxytrityl, monomethoxytrityl and the
like, can be placed at the ends of non-nucleotide chains
25 and nucleotides corresponding to the ends of P and AP
domains can be linked thereto.

Phosphoramidite chemistry can be used to
synthesize RNA oligonucleotides as described (Reese, C.
B. In Nucleic Acids & Molecular Biology; Springer-
30 Verlag: Berlin, 1989; Vol. 3, p. 164; and Rao, et al.,
1987, Tetrahedron Lett. 28:4897). Also, different

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1 nucleotide sugars, for example 2'-O-methylribose can be
incorporated into the oligonucleotides of this
invention.

5 The synthesis of RNA 2'-O-methyl-
oligoribonucleotides and DNA oligonucleotides differ
only slightly. RNA 2'-O-methyloligonucleotides can be
prepared with minor modifications of the amidite, H-
phosphonate or phosphotriester methods (Shibahara et al,
10 1987, Nucleic Acids Res. 15:4403; Shibahara et al.,
1989, Nucleic Acids Res. 17:239; Anoue et al., 1987,
Nucleic Acids Res. 15:6131).

The present invention contemplates a variety
of utilities for the subject circular oligonucleotides
15 which are made possible by their selective and stable
binding properties with both single- and double-stranded
targets. Some utilities include, but are not limited
to: use of circular oligonucleotides of defined
20 sequence, bound to a solid support, for affinity
isolation of complementary nucleic acids; use of the
subject oligonucleotides to provide sequence specific
stop signals during polymerase chain reaction (PCR);
covalent attachment of a drug, drug analog or other
25 therapeutic agent to circular oligonucleotides to allow
cell type specific drug delivery; labeling circular
oligonucleotides with a detectable reporter group for
localizing, quantitating or identifying complementary
30 target nucleic acids; and binding circular
oligonucleotides to a cellular or viral nucleic acid

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1 template and regulating biosynthesis directed by that
template.

5 The subject circular oligonucleotides can be
attached to a solid support such as silica, cellulose,
nylon, polystyrene, polyacrylamide, agarose and other
natural or synthetic materials that are used to make
beads, filters, and column chromatography resins.
Attachment procedures for nucleic acids to solid
10 supports of these types are well known; any known
attachment procedure is contemplated by the present
invention. A circular oligonucleotide attached to a
solid support can then be used to isolate a
complementary nucleic acid. Isolation of the
15 complementary nucleic acid can be effected by
incorporating the oligonucleotide:solid support into a
column for chromatographic procedures. Other isolation
methods can be accomplished without incorporation of the
oligonucleotide:solid support into a column, e.g. by
20 utilization of filtration procedures. Circular
oligonucleotide:solid supports can be used, for example,
to isolate poly(A)⁺ mRNA from total cellular or viral
RNA by making a circular oligonucleotide with P and AP
25 domain poly(dT) or poly(U) sequences. Circular
oligonucleotides are ideally suited to applications of
this type because they are nuclease resistant and bind
target nucleic acids so strongly.

30 Further utilities are available for the
subject oligonucleotides in the field of polymerase
chain reaction (PCR) technology. PCR technology

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1 provides methods of synthesizing a double-standard DNA
fragment encoded in a nucleic acid template between two
known nucleic acid sequences which are employed as
5 primer binding sites. In some instances it is desirable
to produce a single-stranded DNA fragment before or
after having made some of the double stranded fragment,
or to selectively prevent amplification of a particular
species. This can be done by, for example, binding a
10 circular oligonucleotide of the present invention to one
of the primer binding sites or to a site lying between
the primer binding sites.

The present invention also contemplates use of
the subject circular oligonucleotides for targeting
15 drugs to specific cell types. Such targeting can allow
selective destruction or enhancement of particular cell
types, e.g. inhibition of tumor cell growth can be
attained. Different cell types express different genes,
so that the concentration of a particular mRNA can be
20 greater in one cell type relative to another cell type.
Such an mRNA is a target mRNA for cell type specific
drug delivery by circular oligonucleotides linked to
drugs or drug analogs. Cells with high concentrations
25 of target mRNA are targeted for drug delivery by
administering to the cell a circular oligonucleotide
with a covalently linked drug that is complementary to
the target mRNA.

The present invention also contemplates
30 labeling the subject circular oligonucleotides for use
as probes to detect a target nucleic acid. Labelled

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1 circular oligonucleotide probes have utility in
diagnostic and analytical hybridization procedures for
localizing, quantitating or detecting a target nucleic
5 acid in tissues, chromosomes or in mixtures of nucleic
acids.

Labeling of a circular oligonucleotide can be
accomplished by incorporating nucleotides linked to a
reporter group into the subject circular
10 oligonucleotides. A reporter group, as defined herein,
is a molecule or group which, by its chemical nature,
provides an identifiable signal allowing detection of
the circular oligonucleotide. Detection can be either
qualitative or quantitative. The present invention
15 contemplates using any commonly used reporter molecule
including radionuclides, enzymes, biotins, psoralens,
fluorophores, chelated heavy metals, and luciferin. The
most commonly used reporter groups are either enzymes,
20 fluorophores or radionuclides linked to the nucleotides
which are used in circular oligonucleotide synthesis.
Commonly used enzymes include horseradish peroxidase,
alkaline phosphatase, glucose oxidase and β -
galactosidase, among others. The substrates to be used
25 with the specific enzymes are generally chosen because a
detectably colored product is formed by the enzyme
acting upon the substrate. For example, p-nitrophenyl
phosphate is suitable for use with alkaline phosphatase
conjugates; for horseradish peroxidase, 1,2-
30 phenylenediamine, 5-aminosalicylic acid or toluidine
are commonly used. Fluorophores may be detected, for

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1 example by microscopy or digital imaging. Similarly,
methods for detecting radionuclides are well-known in
the art. The probes so generated have utility in the
5 detection of a specific DNA or RNA target in, for
example, Southern analysis, Northern analysis, in situ
hybridization to tissue sections or chromosomal squashes
and other analytical and diagnostic procedures. The
methods of using such hybridization probes are well
10 known and some examples of such methodology are provided
by Sambrook et al.

The present circular oligonucleotides can be
used in conjunction with any known detection or
diagnostic procedure which is based upon hybridization
15 of a probe to a target nucleic acid. Moreover, the
present circular oligonucleotides can be used in any
hybridization procedure which quantitates a target
nucleic acid, e.g., by competitive hybridization between
a target nucleic acid present in a sample and a labeled
20 tracer target for one of the present oligonucleotides.
Furthermore, the reagents needed for making a circular
oligonucleotide probe and for utilizing such a probe in
a hybridization procedure can be marketed in a kit.

25 The kit can be compartmentalized for ease of
utility and can contain at least one first container
providing reagents for making a precircle precursor for
a circular oligonucleotide, at least one second
container providing reagents for labeling the precircle
30 with a reporter molecule, at least one third container
providing reagents for circularizing the precircle, and

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1 at least one fourth container providing reagents for
isolating the labeled circular oligonucleotide.

Moreover the present invention provides a kit
5 for isolation of a template nucleic acid. Such a kit
has at least one first container providing a circular
oligonucleotide which is complementary to a target
contained within the template. For example, the
template nucleic acid can be cellular and/or viral
10 poly(A)⁺ mRNA and the target can be the poly(A)⁺ tail.
Hence circular oligonucleotides of the present invention
which have utility for isolation of poly(A)⁺ mRNA have P
and AP domain sequences of poly(dT) or poly(U).

Further, a kit for the detection of any target
15 nucleic acid is provided which contains a circular
oligonucleotide of the present invention linked to a
reporter group. Additional containers providing
reagents for detecting a linked reporter group can also
20 be provided in the kit.

Furthermore, the present invention provides
kits useful when diagnosis of a disease depends upon
detection of a specific, known target nucleic acid.
Such nucleic acid targets can be, for example, a viral
25 nucleic acid, an extra or missing chromosome or gene, a
mutant cellular gene or chromosome, an aberrantly
expressed RNA and others. The kits can be
compartmentalized to contain at least one first
30 container providing a circular oligonucleotide linked to
a reporter molecule and at least one second container

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1 providing reagents for detection of the reporter
molecule.

5 Therefore, as contemplated by the present
invention, the kits disclosed herein can include any
elements recognized or conventionally used by the
skilled artisan for constructing, purifying and using
oligonucleotides. Moreover, the present kits can
10 include specific chemical reagents or end-joining-
oligonucleotides for making the present circular
oligonucleotide.

15 One aspect of the present invention provides a
method of regulating biosynthesis of a DNA, an RNA or a
protein by contacting at least one of the subject
circular oligonucleotides with a nucleic acid template
for that DNA, that RNA or that protein in an amount and
under conditions sufficient to permit the binding of the
oligonucleotide(s) to a target sequence contained in the
20 template. The binding between the oligonucleotide(s)
and the target blocks access to the template, and
thereby regulates biosynthesis of the nucleic acid or
the protein. Blocking access to the template prevents
proteins and nucleic acids involved in the biosynthetic
25 process from binding to the template, from moving along
the template, or from recognizing signals encoded within
the template. Alternatively, when the template is RNA,
regulation can be accomplished by allowing selective
degradation of the template. For example, RNA templates
30 bound by the subject circular oligonucleotides are
susceptible to degradation by RNase H and RNase H

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1 degradation of a selected RNA template can thereby
regulate use of the template in biosynthetic processes.

As used herein, biosynthesis of a nucleic acid
5 or a protein includes cellular and viral processes such
as DNA replication, DNA reverse transcription, RNA
transcription, RNA splicing, RNA polyadenylation, RNA
translocation and protein translation, and of which can
lead to production of DNA, RNA or protein, and involve a
10 nucleic acid template at some stage of the biosynthetic
process.

As used herein, regulating biosynthesis
includes inhibiting, stopping, increasing, accelerating
or delaying biosynthesis. Regulation may be direct or
15 indirect, i.e. biosynthesis of a DNA, RNA or protein may
be regulated directly by binding a circular
oligonucleotide to the template for that DNA, RNA or
protein; alternatively, biosynthesis may be regulated
indirectly by oligonucleotide binding to a second
20 template encoding a protein that plays a role in
regulating the biosynthesis of the first DNA, RNA or
protein.

The nucleic acid templates can be RNA or DNA
25 and can be single-stranded or double-stranded. While
the present circular oligonucleotides bind to only one
strand of a target present in a duplex, such duplexes
may be opened during biological processes and thereby a
single strand becomes available for binding.
30 Alternately, the HAP or P domain of the present circular

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1 oligonucleotides can bind to a double-stranded target
without strand opening to form a stable triplex.

5 DNA replication from a DNA template is
mediated by proteins which bind to an origin of
replication where they open the DNA and initiate DNA
synthesis along the DNA template. To inhibit DNA
replication in accordance with the present invention,
circular oligonucleotides are selected which bind to one
10 or more targets in an origin of replication. Such
binding blocks template access to proteins involved in
DNA replication. Therefore initiation and procession of
DNA replication is inhibited. As an alternative method
of inhibiting DNA replication, expression of the
15 proteins which mediate DNA replication can be inhibited
at, for example, the transcriptional or translational
level. As one skilled in the art recognizes, DNA
replication can also be increased, e.g. by inhibiting
expression of a protein repressor of DNA replication.
20

DNA replication from an RNA template is
mediated by reverse transcriptase binding to a region of
RNA also bound by a nucleic acid primer. To inhibit DNA
replication from an RNA template, reverse transcriptase
25 or primer binding can be blocked by binding a circular
oligonucleotide to the primer binding site, and thereby
blocking access to that site. Moreover, inhibition of
DNA replication can occur by binding a circular
oligonucleotide to a site residing in the RNA template
30 since such binding can block access to that site and to

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1 downstream sites, i.e. sites on the 3' side of the
target site.

5 To initiate RNA transcription, RNA polymerase
recognizes and binds to specific start sequences, or
promoters, on a DNA template. Binding of RNA polymerase
opens the DNA template. There are also additional
transcriptional regulatory elements that play a role in
transcription and are located on the DNA template.
10 These transcriptional regulatory elements include
enhancer sequences, upstream activating sequences,
repressor binding sites and others. All such promoter
and transcriptional regulatory elements, singly or in
combination, are targets for the subject circular
15 oligonucleotides. Oligonucleotide binding to these
sites can block RNA polymerase and transcription factors
from gaining access to the template and thereby
regulating, e.g., increasing or decreasing, the
production of RNA, especially mRNA and tRNA.
20 Additionally, the subject oligonucleotides can be
targeted to the coding region or 3'-untranslated region
of the DNA template to cause premature termination of
transcription. One skilled in the art can readily
25 design oligonucleotides for the above target sequences
from the known sequence of these regulatory elements,
from coding region sequences, and from consensus
sequences.

30 RNA transcription can be increased by, for
example, binding a circular oligonucleotide to a
negative transcriptional regulatory element or by

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1 inhibiting biosynthesis of a protein that can repress
transcription. Negative transcriptional regulatory
elements include repressor sites or operator sites,
5 wherein a repressor protein binds and blocks
transcription. Oligonucleotide binding to repressor or
operator sites can block access of repressor proteins to
their binding sites and thereby increase transcription.

The primary RNA transcript made in eukaryotic
10 cells, or pre-mRNA, is subject to a number of
maturation processes before being translocated into the
cytoplasm for protein translation. In the nucleus,
introns are removed from the pre-mRNA in splicing
reactions. The 5' end of the mRNA is modified to form
15 the 5' cap structure, thereby stabilizing the mRNA.
Various bases are also altered. The polyadenylation of
the mRNA at the 3' end is thought to be linked with
export from the nucleus. The subject circular
20 oligonucleotides can be used to block any of these
processes.

A pre-mRNA template is spliced in the nucleus
by ribonucleoproteins which bind to splice junctions and
intron branch point sequences in the pre-mRNA.
25 Consensus sequences for 5' and 3' splice junctions and
for the intron branch point are known. For example,
inhibition of ribonucleoprotein binding to the splice
junctions or inhibition of covalent linkage of the 5'
end of the intron to the intron branch point can block
30 splicing. Maturation of a pre-mRNA template can,
therefore, be blocked by preventing access to these

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1 sites, i.e. by binding circular oligonucleotides of this
invention to a 5' splice junction, an intron branch
point or a 3' splice junction. Splicing of a specific
5 pre-mRNA template can be inhibited by using circular
oligonucleotides with sequences that are complementary
to the specific pre-mRNA splice junction(s) or intron
branch point. In a further embodiment, a collection of
related splicing of pre-mRNA templates can be inhibited
10 by using a mixture of circular oligonucleotides having a
variety of sequences that, taken together, are
complementary to the desired group of splice junction
and intron branch point sequences.

Polyadenylation involves recognition and
15 cleavage of a pre-mRNA by a specific RNA endonuclease at
specific polyadenylation sites, followed by addition of
a poly(A) tail onto the 3' end of the pre-mRNA. Hence,
any of these steps can be inhibited by binding the
subject oligonucleotides to the appropriate site.
20

RNA translocation from the nucleus to the
cytoplasm of eukaryotic cells appears to require a
poly(A) tail. Thus, a circular oligonucleotide is
designed in accordance with this invention to bind to
25 the poly(A) tail and thereby block access to the poly
(A) tail and inhibit RNA translocation. For such an
oligonucleotide, both the P and AP domains can consist
of about 10 to about 50 thymine residues, and preferably
about 20 residues. Especially preferred P and AP domain
30 lengths for such an oligonucleotide are about 6 to about
12 thymine residues.

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1 Protein biosynthesis begins with the binding
of ribosomes to an mRNA template, followed by initiation
and elongation of the amino acid chain via translational
5 "reading" of the mRNA. Protein biosynthesis, or
translation, can thus be blocked or inhibited by
blocking access to the template using the subject
circular oligonucleotides to bind to targets in the
template mRNA. Such targets contemplated by this
10 invention include the ribosome binding site (Shine-
Delgarno sequence), the 5' mRNA cap site, the initiation
codon, and sites in the protein coding sequence. There
are also classes of protein which share domains of
nucleotide sequence homology. Thus, inhibition of
15 protein biosynthesis for such a class can be
accomplished by targeting the homologous protein domains
(via the coding sequence) with the subject circular
oligonucleotides.

20 Regulation of biosynthesis by any of the
aforementioned procedures has utility for many
applications. For example, genetic disorders can be
corrected by inhibiting the production of mutant or
over-produced proteins, or by increasing production of
25 under-expressed proteins; the expression of genes
encoding factors that regulate cell proliferation can be
inhibited to control the spread of cancer; and virally
encoded functions can be inhibited to combat viral
infection.

30 In accordance with the present invention, it
has been determined that in some instances the

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1 biosynthesis of a DNA, RNA or protein is more
effectively regulated by binding the template at more
than one target site. The present circular
5 oligonucleotides which are prepared to bind to multiple
target sites, e.g. by having more than one P or AP
domain, can also be more effective at regulating the
biosynthesis of a DNA, RNA or protein than
oligonucleotides which can bind only one target site.
10 For example, the binding of two sites within a gene can
provide greater inhibition than achieved with single-
site binding (Liszewicz et al., 1992, Proc. Natl. Acad.
Sci. USA 89:11209; Maher et al., 1987, J. Arch. Biochem.
Biophys. 253:214-220; Tannock, I.F. in "The Basic
15 Science of Oncology" 2nd ed.; Tannock, I.F. and Hill, R.
P., eds. McGraw-Hill, New York, 348-349). In targeting
viral sequences, the binding of two genes in a virus can
inhibit viral replication more effectively than binding
a single target. It has been shown, for example, that
20 the use of multiple probes against a virus reduces the
ability of the virus to escape inhibition by mutation
(Kern et al. 1991 Science 252:1708-1711). A broader
spectrum of inhibition by targeting two mutants of one
25 virus or two viruses which are commonly found together,
such as HIV-1 and cytomegalovirus (CMV) can also be
achieved in accordance with the present invention.

Therefore, the present methods of regulating
the biosynthesis of a DNA, RNA or protein can also
30 include binding to more than one target within a
template, whether the targets are bound by separate

1 circular oligonucleotides or by the same oligonucleotide
which includes multiple P or multiple AP domains.

5 Some types of genetic disorders that can be
treated by the circular oligonucleotides of the present
invention include Alzheimer's disease, beta-thalassemia,
osteogenesis imperfecta, some types of arthritis, sickle
cell anemia and others. Many types of viral infections
can be treated by utilizing the circular
10 oligonucleotides of the present invention, including
infections caused by hepatitis, influenza, rhinovirus,
HIV, herpes simplex, papilloma virus, cytomegalovirus,
Epstein-Barr virus, adenovirus, vesicular stomatitis
virus, rotavirus and respiratory syncytial virus among
15 others. According to the present invention, animal and
plant viral infections may also be treated by
administering the subject oligonucleotides.

The c-myc gene is one example of a gene which
20 can have a role in cell proliferation. Inhibition of c-
myc expression has been demonstrated in vitro using a
linear oligonucleotide complementary to a target 115 bp
upstream of the c-myc transcription start site (Cooney
et al., 1988, Science 241:456-459). Circular
25 oligonucleotides of SEQ ID NO:1, and SEQ ID NO:2, as
depicted below, are complementary to the c-myc promoter
at nucleotides -131 to -120 and -75 to -62,
respectively, and are provided to inhibit c-myc
expression in accordance with the present invention. As
30 used in these depictions of SEQ ID NO:1 and SEQ ID NO:2,

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1 N can be any nucleotide or nucleotide analog. Arrows
indicate 5' to 3' directionality.

5 SEQ ID NO:1

1-
N C T C C C C G C C C T C N
N N
N N
10 N C T C C C C A C C C T C N

SEQ ID NO:2

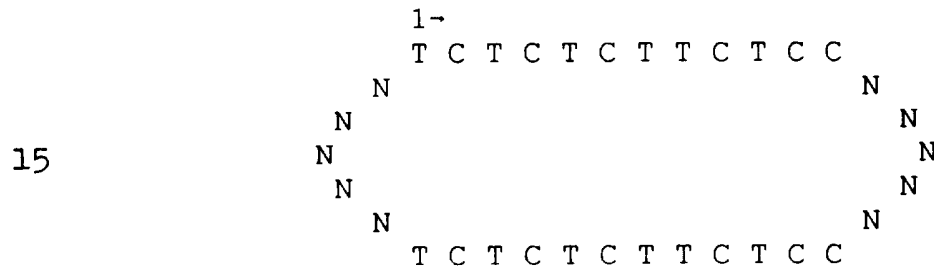
1-
N T C T T T T T C T T T T C N
15 N N
N N
N T C T T T T T C T T T T C N

20 Chronic myeloid leukemia is a human malignant
disease characterized by specific chromosomal
translocations. The primary lesion in most cases is a
reciprocal translocation between the long arms of
chromosomes 9 and 22. This translocation results in the
25 formation of a hybrid gene on chromosome 22 designated
bcr-abl. The gene contains a 5' bcr portion and a 3'
abl portion. Transcripts of this fusion gene appear to
be primarily of two types, designated bcr exon 3/abl
exon 2 and bcr exon 2/abl exon 2. These fusion genes
30 are unique to the neoplastic cell and therefore make

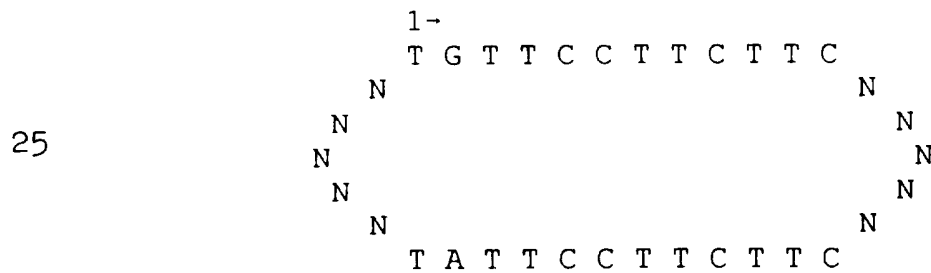
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1 ideal targets for regulation by circular
oligonucleotides.

Accordingly, the present invention provides
two circular deoxyoligonucleotides capable of inhibiting
5 proliferation of chronic myeloid leukemia cells, for
example as set forth in SEQ ID NO: 36 and SEQ ID NO: 37.
The circular deoxyoligonucleotide of SEQ ID NO: 36 is
targeted toward a region in the bcr 3/abl 2 gene 385
10 nucleotides 5' to the bcr/abl junction and is depicted
below.



The circular deoxynucleotide of SEQ ID NO: 37
20 is targeted toward the bcr 2/abl 2 junction and is
illustrated below.



30 Circular deoxyoligonucleotides of SEQ ID NO:
36 and SEQ ID NO: 37 significantly inhibit chronic

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1 myeloid leukemia cell proliferation when added directly
to the cell culture medium of human K562 and BV173
cells, respectively. K562 cells (Lozzio et al., 1975,
5 Blood 45:321) and BV173 cells (Pegoraro et al., 1983,
Jour. Nat. Canc. Inst. 70:447) are model systems for the
chromosomal translocations that characterize chronic
myeloid leukemia. Accordingly, the circular
oligonucleotides of the present invention are useful in
10 inhibiting the proliferation of chronic myeloid leukemia
cells. In vivo efficacy can be assessed in a suitable
host by determining the numbers of myeloid leukemia
cells before and after treatment.

15 Human immunodeficiency virus (HIV) is a
retrovirus causing acquired immunodeficiency syndrome
(AIDS). The circular oligonucleotides of this invention
provide a means of blocking the replication of the virus
without deleteriously affecting normal cellular
20 replication in humans infected with HIV. The retroviral
genome is transcribed as a single, long transcript, part
of which is spliced to yield RNA encoding viral envelope
proteins. Inhibition of HIV infection can be
accomplished by designing oligonucleotides to bind to a
25 number of regions within the HIV genome, including
coding regions for functions that replicate the genome
(i.e., the pol or reverse transcriptase function) or
functions that control gene expression (e.g. the tat,
rev or other functions). However, previous work with
30 linear oligonucleotides has suggested that splice sites,
poly(A) addition signals, cap or initiator codon sites,

1 and sites implicated in ribosome assembly can be
 particularly effective for inhibiting eukaryotic protein
 expression. Furthermore, the terminal structures of the
 5 retroviral genome are also excellent targets for
 inhibiting retrovirus production not only because these
 structures encode control regions which mediate the rate
 of transcription and replication, but also because these
 structures are repeated, allowing an oligonucleotide to
 10 bind and block access to each repeat.

Accordingly, the present invention provides
 three circular oligonucleotides, set forth in SEQ ID
 NO:3, SEQ ID NO:4 and SEQ ID NO:41. SEQ ID NO:3 is
 complementary to a region of the gag start sequence (5'-
 15 CUAGAAGGAGAGAGAUGGGUGCGAGAG-3'; SEQ ID NO:42, wherein
 the target sequence is underlined). SEQ ID NO:4 is
 complementary to a region of the pol start sequence
 (5'-AUGGAAAAGGAAGGGAAAUU-3', SEQ ID NO:43, wherein the
 20 target sequence is underlined). SEQ ID NO:41 is
 complementary to a polypurine tract in the HIV LTR (5'-
UUUUAAAAGAAAAGGGGGGACUGG-3'; SEQ ID NO:44, wherein the
 target sequence is underlined). The circular form of
 SEQ ID NO:3 is depicted below, wherein nucleotide number
 25 1 is the first nucleotide in the P domain, i.e., the
 first T on the top line corresponds to base 1.

1-
 ATCTTCCTCTCTCTA
 30 G T
 C T
 T T
 ATCTTCCTCTCTCTA

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The circular form of SEQ ID NO:4 is depicted below wherein nucleotide number 1 is the first nucleotide of the P domain.

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      1-
      CCTTTCCTTCCCTT
    C                               C
  T                               G
    C                               A
      CCTTTCCTTCCCTT
  
```

10

The circular form of SEQ ID NO:41 is depicted below wherein nucleotide number 1 is the first nucleotide of the P domain.

15

```

      1-
      ATTTTCTTTCCCC
    G                               T
  C                               T
    T                               T
      ATTTTCTTTCCCC
  
```

Circular oligonucleotides of SEQ ID NO:3 and SEQ ID NO:4 and SEQ ID NO: 41 can inhibit HIV infection both in vitro and in vivo. In vitro screening for circular oligonucleotide effectiveness against HIV infection permits one skilled in the art to judge the stability of oligonucleotide:target binding and to assess in vivo efficacy and binding stability. To observe in vitro inhibition circular oligonucleotides can be added to the growth medium of an appropriate cell line infected with HIV. Cells can be pretreated with the circular oligonucleotides or circular oligonucleotides can be added at the time of infection

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1 or after HIV infection. Addition before or after
infection allows assessment of whether the subject
oligonucleotide can prevent or simply inhibit HIV
5 infection respectively.

The extent of inhibition of HIV infection or
replication can be judged by any of several assay
systems, including assessment of the proportion of
oligonucleotide-treated cells surviving after infection
10 relative to survival of untreated cells, assessment of
the number of syncytia formed in treated and untreated
HIV infected cells and determination of the amount of
viral antigen produced in treated and untreated cells.

In vivo studies of the efficacy of circular
15 oligonucleotides can be done in a suitable animal host,
such as transgenic mice, immune deficient mice or
chimpanzees. Levels of HIV antigens can be monitored to
assess the effect of circular oligonucleotides on HIV
20 replication and thereby to follow the course of the
disease state. Alternatively, human volunteers with
AIDS or ARC can be administered with the subject
circular oligonucleotides since the oligonucleotides do
not appear to be cytotoxic. The disease status of these
25 volunteers can then be assessed to determine the
efficacy of the subject oligonucleotides in treating and
preventing AIDS infection.

A further aspect of this invention provides
30 pharmaceutical compositions containing the subject
circular oligonucleotides with a pharmaceutically
acceptable carrier. In particular, the subject

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1 oligonucleotides are provided in a therapeutically
effective amount of about 0.1 μ g to about 100 mg per kg
of body weight per day, and preferably of about 0.1 μ g
5 to about 10 mg per kg of body weight per day, to bind to
a nucleic acid in accordance with the methods of this
invention. Dosages can be readily determined by one of
ordinary skill in the art and formulated into the
subject pharmaceutical compositions.

10 As used herein, "pharmaceutically acceptable
carrier" includes any and all solvents, dispersion
media, coatings, antibacterial and antifungal agents,
isotonic and absorption delaying agents, and the like.
The use of such media and agents for pharmaceutical
15 active substances is well known in the art. Except
insofar as any conventional media or agent is
incompatible with the active ingredient, its use in the
therapeutic compositions is contemplated. Supplementary
active ingredients can also be incorporated into the
20 compositions.

The subject oligonucleotides may be
administered topically or parenterally by, for example,
by osmotic pump, intravenous, intramuscular,
25 intraperitoneal subcutaneous or intradermal route, or
when suitably protected, the subject oligonucleotides
may be orally administered. The subject
oligonucleotides may be incorporated into a cream,
solution or suspension for topical administration. For
30 oral administration, oligonucleotides may be protected
by enclosure in a gelatin capsule. Oligonucleotides may

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1 be incorporated into liposomes or liposomes modified
with polyethylene glycol or admixed with cationic lipids
for parenteral administration. Incorporation of
5 additional substances into the liposome, for example,
antibodies reactive against membrane proteins found on
specific target cells, can help target the
oligonucleotides to specific cell types.

Moreover, the present invention contemplates
10 administering the subject circular oligonucleotides with
an osmotic pump providing continuous infusion of such
oligonucleotides, for example, as described in Rataiczak
et al. (1992 Proc. Natl. Acad. Sci. USA 89:11823-11827).
Such osmotic pumps are commercially available, e.g.,
15 from Alzet Inc. (Palo Alto, CA). Topical administration
and parenteral administration in a cationic lipid
carrier are preferred.

The following examples further illustrate the
20 invention.

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EXAMPLE 1**Circularization of Oligonucleotides
Using an End Joining Oligonucleotide**

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According to the present invention, a simple one-step chemical method has been developed to construct circles from linear precursors (precircles). A DNA oligonucleotide was constructed which had the same sequence as the eventual target; this is the end-joining-oligonucleotide. A precircle oligonucleotide was then constructed and chemically phosphorylated on the 5'-end or 3'-end. As depicted in Fig. 1, the precircle and end-joining-oligonucleotide were mixed and allowed to form a complex in which the ends were adjacent. Cyanogen bromide, imidazole buffer, and a divalent metal were added. After incubation for 6-48 hr, the mixture was dialyzed, lyophilized, and the products were separated by denaturing 20% polyacrylamide gel electrophoresis. UV shadowing revealed major bands which comigrated with the precircle and the end-joining-oligonucleotide, along with one new product which migrated slightly more slowly than the precircle. No product was observed without added end-joining-oligonucleotide or in the absence of a 5'- or 3'-phosphate group on the precircle. The major bands were excised and eluted from the gel, dialyzed to remove salts and quantitated by absorbance at 260 nm. For reactions with precircles 1 and 2 (SEQ ID NO:5 and SEQ ID NO:6, respectively), using end-joining-

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1 oligonucleotides 4 and 5 (SEQ ID NO:8 and SEQ ID NO:9,
respectively), the circles 6 and 7 were obtained in 40%
and 58% yields, respectively. The sequences of each of
5 these molecules and other oligonucleotides are depicted
in Fig. 2.

The circular structure of products 6 and 7 was
confirmed by resistance to 3' exonuclease digestion and
to 5' dephosphorylation under reaction conditions in
10 which a linear precircle was completely destroyed or
dephosphorylated. Accordingly, the 3' exonuclease
activity of T4 DNA polymerase cleaved linear precircles
1 and 2, but not circles 6 and 7. The linear precircles
were also 5'-end labeled with ^{32}P and then circularized.
15 After reaction, the circular products were inert to calf
alkaline phosphatase whereas the precircles completely
released labeled ^{32}P . The slightly slower gel mobility
of the circles relative to the precircles was consistent
20 with the occurrence of circularization.

Optimal Circularization Conditions

Many parameters were optimized to increase
25 yields of the circular product, including
oligonucleotide and precircle concentrations,
temperature, reaction time, metal, metal concentration,
BrCN concentration and pH. Improved circularization
conditions provided an at least two-fold higher yield of
30 circles compared to prior art conditions wherein two
single-stranded oligonucleotides were joined (Luebke

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1 et al., 1989, J. Am. Chem. Soc. 111:8733 and Kanaya
2 et al., 1986, Biochemistry 25:7423).

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1 These improved conditions were:

50 μ M precircle

55 μ M end-joining-oligonucleotide

5 100 mM NiCl_2

200 mM imidazole HCl (pH 7.0)

125 mM BrCN

25°C, 36 hr.

10 However circle closure was also effective
under the following conditions:

3-200 μ M precircle

3-200 μ M end-joining-oligonucleotide

10-500 mM NiCl_2

50-500 mM imidazole-HCl

15 20-200 mM BrCN

4-37°C, 6-48 hr.

Other metals (Zn^{2+} , Mn^{2+} , Co^{2+} , Cu^{2+} , Pb^{2+} ,
20 Ca^{2+} , Mg^{2+}) also work in place of Ni^{2+} . Additionally,
the reaction is pH sensitive.

Closure in AP and P Domains

Closure of a circle in the AP domain was
25 superior to closure in the P domain. Comparison of the
circularization of precircles 2 and 3 (SEQ ID NO:6 and
SEQ ID NO:7, respectively) around the same end-joining-
oligonucleotide (i.e. 5, SEQ ID NO:9) indicated that
30 circle 7 (having SEQ ID NO:6) was formed with a 58%
yield when closed in the AP domain (i.e. using precircle

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1 2) and only a 35% yield when closed in the P domain
(i.e. using precircle 3).

5 Condensing Reagents

Two reagents have been commonly used for
chemical ligation of DNA and RNA, BrCN/imidazole/NiCl₂
and 1-ethyl-3-(3-dimethylaminopropyl)carbodiimide (EDC)
10 (Kanaya et al. 1986 Biochemistry 25:7423 and Ashley et
al. 1991 Biochemistry 30:2927). Therefore, these
reagents were directly compared for efficacy in ligating
a precircle to circular oligonucleotide 6 (Fig. 3 and
SEQ ID NO:5) using a dA₁₂ (SEQ ID NO:8) end-joining-
15 oligonucleotide.

BrCN/imidazole/NiCl₂ was used under the
established optimal conditions except that ligation
efficiency was observed at both 4°C and 25°C. EDC was
20 used at 200 mM with 20 mM MgCl₂, 50 mM MES (pH 6.0) at
4°C or 25°C with incubation for 4 days.

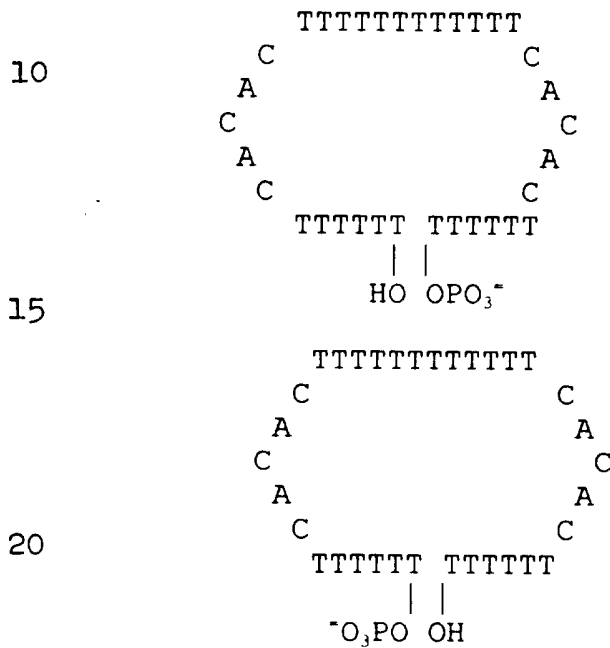
At 4°C BrCN was more efficient, yielding 95%
circular product while EDC yielded only 55% product.
However, at 25°C both EDC and BrCN yielded 95% product.
25 Therefore, BrCN is more effective at lower temperatures
but either EDC or BrCN can be used with equal success at
25°C. However, BrCN has an additional advantage over
EDC since ligation with BrCN requires 24 hr or less
while ligation with EDC requires about 4 days.
30

Use of a 5'- or 3'-Phosphate

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1 Under different ligation conditions joining a
 3'-phosphate with a 5'-OH yielded more ligated product
 than joining a 5'-phosphate with a 3'-OH (Ashley, et
 5 al.).

Therefore, the percent conversion to circular
 oligonucleotide 6 (SEQ ID NO: 5; Fig. 2) by a 5'-
 phosphate or by a 3'-phosphate precircles was compared:



25 Circularization reactions were performed using
 a dA₁₂ end-joining-oligonucleotide (SEQ ID NO: 8) and the
 established optimal conditions, except that 5 nmoles of
 precircle and end-joining-oligonucleotide were used.
 Products were visualized under UV light after separation
 by denaturing gel electrophoresis.

30 Conversion to circular product was 60% ($\pm 5\%$)
 when a 5'-phosphate was present and 95% when a 3'-

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1 phosphate was present. No increase in yield was
observed when increased reaction times or increased
reagent concentrations were used.

5 Accordingly, use of a 3'-phosphate rather than
a 5'-phosphate improves circularization.

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EXAMPLE 2**Circular Oligonucleotides Bind Containing
P and AP Domains Bind Target Nucleic Acids
with Higher Affinity Than Do Linear Oligonucleotides**

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The binding affinities of circles 6 and 7 (SEQ ID NO:5 and SEQ ID NO:6, respectively) for their targets were measured by comparison of the melting temperatures of the circular and linear complexes. Solutions
10 contained 1:1 ratios of oligonucleotide and target (3 μ M each) in 100 mM NaCl, 10 mM MgCl₂, and 10 mM Tris-HCl (pH 7.0). Mixing curves measured at 260 nm confirmed that 1:1 complexes were formed. The free energies
15 ($-\Delta G^{\circ}_{37}$) of the complexes were derived from the melting data using a two-state curve-fitting method (Petersheim, *et al.*, 1983, *Biochemistry* 22:256).

The results show that the circular
oligonucleotides containing P and AP domains bound to
20 their targets more strongly than did linear precircles or Watson-Crick complementary target-sized oligonucleotides (Table 2). For example, target 4 (SEQ ID NO:8) formed a duplex with its target-sized Watson-Crick complement having a T_m of 37.1°C while the
25 precircle 1:target 4 complex (i.e. SEQ ID NO:5 bound to SEQ ID NO:8) had a T_m of 44.7°C. By comparison, circle 6, having the same sequence as precircle 1, bound to target 4 with a T_m of 57.5°C and a free energy of
30 binding that was 8.6 kcal/mol more favorable than the corresponding Watson-Crick duplex. The corresponding

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1 association constant at 37°C is $6 \times 10^{11} \text{ M}^{-1}$, which is
more than six orders of magnitude greater than for the
Watson-Crick duplex. A similar effect was observed for
5 the binding of circle 7 (SEQ ID NO:6) to target 5 (SEQ
ID NO:9); this complex had a T_m of 62.3°C, whereas the
corresponding Watson-Crick duplex melted at 43.8°C.
These data indicate that the binding of circular
oligonucleotides containing P and AP domains to a single
10 stranded target is stronger than the binding of a linear
oligonucleotide to a corresponding target.

To determine the binding characteristics when
the target sequence was embedded within a longer
sequence, a 36 nucleotide oligonucleotide was
15 synthesized with a 12 base target sequence (equivalent
to target 4) in the middle. Melting studies revealed
that circle 6 bound to this longer oligonucleotide more
strongly than it did to a target having the same size as
the binding domains of the circle: the T_m of circle 6
20 with target 4 was 59.8°C whereas with the 36 base
oligonucleotide containing an embedded target the T_m was
63.4°C. Therefore the binding strength of circles with
embedded targets was higher than that with binding-
25 domain-sized-targets.

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TABLE 2

oligonucleotide: target		complex	$T_m, ^\circ\text{C}$	$-G_3^\circ$ (kcal/mol)
5		3'-TTTTTTTTTTTT 5'-AAAAAAAAAAAA	37.1	8.1
		3'-TTCTTTTCTTTC 5'-AAGAAAAGAAAG	43.8	10.3
10	1:4	<pre> TTTTTTTTTTTT C C A A C AAAAAAAAAAAA C A A C C TTTTTT TTTTT OPO₃⁻ </pre>	44.7	10.5
15	3:5	<pre> TTCTTTTCTTTC C C A A C AAGAAAAGAAAG C A A C C TTCTTT TCTTTC OPO₃⁻ </pre>	47.0	10.8
20	6:4	<pre> TTTTTTTTTTTT C C A A C AAAAAAAAAAAA C A A C C TTTTTTTTTTTTT </pre>	57.4	16.7
25	7:5	<pre> TTCTTTTCTTTC C C A A C AAGAAAAGAAAG C A A C C TTCTTTTCTTTC </pre>	62.3	16.4

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EXAMPLE 3**Circular Oligonucleotides Bind Target
More Selectively Than Linear Oligonucleotides**

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In order to measure the sequence selectivity of circular oligonucleotides, a set of target oligonucleotides with one variable base was constructed. Binding energies for a circle complexed with these targets were measured; the selectivity was defined by the free energy difference between the correct sequence and mismatched sequences. The selectivity obtained with the circular structure was then directly compared to the selectivity of an analogous linear oligonucleotide.

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DNA oligonucleotides were machine synthesized using the β -cyanoethyl phosphoramidite method. Circular oligonucleotide 8 was prepared from a linear precircle having SEQ ID NO:7:

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5'-pTCTTTCCACACCTTTCTTTTCTTCACACTTCTTT and was cyclized by assembly around an end-joining oligonucleotide having the sequence 5'-AAGAAAAGAAAG (SEQ ID NO:9) using BrCN/imidazole to close the final bond, as described in Example 1. The circular structure was confirmed by its resistance to a 3'-exonuclease and 5'-phosphatase.

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The sequence selectivity of circle 8 was measured by hybridizing it with targets which contained a single mismatched base and determining the strength

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1 (ΔG°_{37}) of the resulting complexes by thermal
denaturation. Eight targets (SEQ ID NO: 38 and 39) were
synthesized which were complementary to circle 8 (SEQ ID
5 NO: 7) and linear oligonucleotide 9 (SEQ ID NO: 10)
except for a single centrally positioned variable base
(X or Y = A, G, C, T). Four targets have a variable
base X which is matched with two opposing T's in the
circle, resulting in a T-X-T triad. In the remaining
10 four targets, the variable base Y is matched with two
opposing C's in the circle, giving a C-Y-C triad. For
comparison to this circle complex, a linear
oligonucleotide 9 (SEQ ID NO: 10) was used; resulting in
15 a duplex with a central T-X pair in the first four
experiments or a C-Y pair in the remaining four.

	<u>complex (X, Y = A, T, G, C)</u>	<u>expt. no.</u>
20	3' - T T C T T T T C T T T C 5' - A A G A X A A G A A A G	1-4
25	- A C T T C T T T T C T T T C C A C A A G A X A A G A A A G C A C T T C T T T T C T T T C C A	5-8
30	3' - T T C T T T T C T T T C 5' - A A G A A A A Y A A A G	9-12

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A C T T C T T T T C T T T C C A

C A A G A A A A Y A A A G C 13-16

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A C T T C T T T T C T T T C C A

Thermal denaturation of the sixteen complexes was carried out in the presence of 10 mM MgCl₂, 100 mM NaCl, and 10 mM Tris•HCl (pH 7.0), with target and circular or linear oligonucleotide concentrations at 3 μM each. Experiments were carried out in duplicate and the results averaged. Oligonucleotide:target complex melting was monitored at 260 nm. The temperature vs. absorbance curves so generated showed a single transition from bound to free oligonucleotide. Free energies of association were obtained by fitting the data with a two-state curve-fitting method. The results were checked in two cases by measuring the association energies by the van't Hoff method; good agreement was seen between the two methods. Selectivities are defined as the difference in free energies (ΔG) of complexation between matched and mismatched oligomers.

Table 3 displays the results of the mismatch experiments. Experiments 1-4 show the effects of a T-X target mismatch on a DNA duplex. As expected, the true match (X = A) gives the most favorable complex ($-\Delta G^{\circ}_{37} =$

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1 10.3 kcal/mol); the mismatches (X = G, C, T) result in a
loss of 3.2-4.4 kcal/mol in binding energy, in good
agreement with published mismatch studies. Experiments
5 5-8, by comparison, show the effects of a T-X-T mismatch
on circle complex binding strength. Once again, the
true match (X = A) gives the most favorable three
stranded complexes ($-\Delta G^{\circ}_{37} = 16.4$ kcal). However,
target mismatches (X = G, T, C) result in a considerably
10 larger loss of binding energy (6.2-7.6 kcal/mol) for a
circular oligonucleotide than for a linear
oligonucleotide.

Similarly, experiments 9-12 give the effects
of a C-Y mismatch on the two stranded duplex. The
15 matched base (Y = G) gives a free energy of duplex
association of -10.3 kcal/mol. The mismatches (Y = A,
T, C) result in a loss of 5.2 to 5.8 kcal/mol of binding
energy, in reasonable agreement with published data. By
contrast, the effects of a C-Y-C mismatch are greater in
20 a three stranded complex (experiments 13-16): the match
(Y = G) gives a binding energy of -16.4 kcal/mol, and
the mismatches (Y = A, T, C) are less stable by 7.1 to
7.5 kcal/mol.

25 Thus, in all the cases studied, the circular
ligand shows greater selectivity for its correctly
matched sequence than does the standard linear oligomer.
The selectivity advantage ranges from 1.3 to
2.2 kcal/mol for the C-Y-C series to 3.0 to 3.4 kcal/mol
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1 for the T-X-T series. These are quite significant
differences, considering they arise from a single base
change; in the T-X-T series, the circular
5 oligonucleotide is nearly twice as selective as the
linear oligonucleotide. This selectivity difference
corresponds to one to two orders of magnitude in binding
constant at 37°C.

There are two factors which may explain this
10 high selectivity. First, because two domains of the
circular oligonucleotide bind the central target strand,
the circular oligonucleotide, in effect, checks the
sequence twice for correct matching. Secondly,
15 protonation of cytosine within a C+G-C triad may also be
a factor in increasing selectivity. This protonation is
likely to be favored only when there is base triad
formation wherein guanine can share the positive charge;
evidence suggests that the pKa of cytosine within a base
20 triad is 2-3 units higher than that of free
deoxycytosine. The addition of this positive charge may
lessen the negative charge repulsions arising from the
high density of phosphates in the complex and thereby
increase binding stability.

25 Therefore, circular oligonucleotides
containing a P and AP domain, as described herein, have
both higher binding affinity and higher selectivity for
single-stranded targets than can be achieved with
30 Watson-Crick duplexes alone.

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TABLE 3

	expt. #	variable base	T _m , °C	-ΔG° ₃₇ (kcal/mol)	Selectivity (kcal/mol)
5	1	X=A	43.8	10.3	--
duplex	2	X=G	33.8	7.1	3.2
	3	X=C	28.3	5.9	4.4
	4	X=T	31.1	6.4	3.9
10	5	X=A	62.3	16.4	--
circle complex	6	X=G	44.2	10.2	6.2
	7	X=C	39.8	8.8	7.6
	8	X=T	40.8	9.1	7.3
15	9	Y=A	26.2	5.1	5.2
duplex	10	Y=G	43.8	10.3	--
	11	Y=C	22.2	4.5	5.8
	12	Y=T	27.0	5.0	5.3
20	13	Y=A	39.9	9.0	7.4
circle complex	14	Y=G	62.3	16.4	--
	15	Y=C	41.3	9.3	7.1
	16	Y=T	39.6	8.9	7.5
25	16	Y=T	39.6	8.9	7.5

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EXAMPLE 4**Factors Effecting Complex Formation**

5 1) Solution effects. The effects of NaCl, Mg²⁺, spermine, and pH on circle:target complexes were examined. Circles with cytosines in the binding domains are sensitive to pH, and exhibited greater stability at lower pH values. However, these and other circle:target
10 complexes are quite stable at the physiological pH of 7.0-7.4 (Fig. 4). The complexes show salt concentration sensitivity comparable to duplexes; however, small amounts of Mg²⁺ or spermine increase the complex stability markedly. For example, in a
15 concentration of 1 mM Mg⁺⁺ at pH 7.0, with no added salts, a stable 7:5 circle:target complex formed having a T_m of 58°C. When a solution of 20 μm spermine containing no added salts was used the 7:5 complex again
20 formed stably with a T_m of 56°C. Both Mg⁺⁺ and spermine are present in at least these concentrations in mammals, and so circle:target complexes will be stable under physiological conditions.

25 2) Loop size. The optimum number of nucleotides for the loop domain of a circle was determined by observing complex formation between a target and circles with different loop sizes. Precircle linear oligonucleotides similar to precircle 1 were
30 synthesized with 2, 3, 4, 5, 6 and 10 base loops using

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1 an arbitrary sequence of alternating C and A residues.
Each of these precircles was designed to bind to the A₁₂
template (i.e. target 4 (SEQ ID NO:8)). The T_m's for
5 circles with 4, 5, 6 and 10 base loops showed that a
five-nucleotide loop size was optimum for the circle
binding either to template A₁₂ or to a longer 36mer
sequence containing the A₁₂ binding site (see Fig. 5A).

3) Binding Domain length. The effect of
10 circular oligonucleotide binding domain length on
circle:target complex melting temperature was compared
to melting of duplexes having the same length. Circles
with various size binding domains were constructed and
complexed with single-stranded dA_n targets for n equal
15 to 4, 8, 12 and 18 nucleotides. Fig. 5B illustrates
that considerably higher T_m's were observed for
circle:target complexes relative to Watson-Crick
duplexes having the same length as the binding domains
(determined in 0.1 M NaCl, pH 7). For example, a 12-
20 base circular complex melted at about the same
temperature as a 24-base duplex. The 4-base circular
complex melted at 34°C, whereas the corresponding
Watson-Crick duplex T_m was less than 0°C.

25 4) Methylation. It has been known for some
time that methylation at the C-5 position of cytosine,
forming the naturally-occurring base m⁵C, raises the T_m
of duplex DNA in which it occurs, relative to
unmethylated sequences (Zmudzka et al., 1969,
30

1 Biochemistry 8:3049). In order to investigate whether
addition of this methyl group would stabilize
circle:target complexes, two analogs of circle 7 (having
5 SEQ ID NO:6) were synthesized. In one circle, the six
C's in the binding domains were methylated leaving the
loop unmethylated (Me_6). In the second circle, all
twelve C's were methylated (Me_{12}). Melting temperatures
for the complexes of these methylated circle with target
10 5 were measured. The Me_6 complex had a T_m of 71.1°C
(compared to 61.8°C for the unmethylated circle), and
the Me_{12} circle had a T_m of 72.4°C . Thus, use of the
natural base $m^5\text{C}$ in place of C increased stability
substantially, and in one case resulted in a 12-base
15 complex which melted 10.6°C higher than an unmethylated
circle and 28.6°C higher than the corresponding
unmethylated Watson-Crick duplex.

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EXAMPLE 5**Replacement of Nucleotide Loop
Domains with Non-Nucleotide Loop Domains**

5 The loop domains of circular oligonucleotides
were replaced with polyethylene or oligoethylene glycol
chains of different lengths and the effect of such
synthetic loops upon circular oligonucleotide binding
10 and nuclease resistance was assessed.

Methods

Circular oligonucleotides were synthesized
having tetra-, penta-, or hexa-ethylene glycol chain
15 loop domains. In each case the ethylene glycol chain
was synthetically prepared for automated DNA synthetic
procedures using the method of Durand *et al.* (1990,
Nucleic Acids Res. 18:6353-6359). Briefly, a
20 phosphoramidite was placed on a hydroxy group at one end
of the ethylene glycol chain and a dimethoxytrityl (DMT)
moiety was placed on the other terminal ethylene glycol
hydroxy group. This derivatized ethylene glycol chain
was then added to the growing linear oligonucleotide at
25 the appropriate step of automated DNA synthesis.
Circularization steps were performed by procedures
described in Example 1. A linear oligonucleotide
precircle having a tetraethylene loop domain was not
efficiently circularized. This result indicates that a
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1 tetraethylene loop domain may be too short for optimal
binding to a target.

Two types of linear oligonucleotides were used
5 as target binding domains for the circular
oligonucleotides: Target I was a 12-base
oligonucleotide having no non-target nucleotides and
Target II was a 36-base oligonucleotide having a 12-base
target within it. The target sequences utilized were
10 5'-AAGAAAAGAAAG-3' (SEQ ID NO:9) and 5'-AAAAAAAAAAAA-3'
(SEQ ID NO:8), the latter is termed a poly(dA)₁₂ target
sequence.

The melting temperatures (T_m) of circular
oligonucleotides with polyethylene loops were observed
15 at pH 7.0 (10 mM Tris-HCl) in 10 mM MgCl₂ and 100 mM
NaCl. Each linear target and each circular
oligonucleotide was present at a 3 μ M concentration.

20 Results

The T_m of a circular oligonucleotide having a
CACAC nucleotide loop sequence and a poly(dT)₁₂ sequence
for both P and AP domains was 57.8°C when bound to a
poly (dA)₁₂ target sequence. The T_m of a circular
25 oligonucleotide having the same P and AP domain
sequences but hexaethylene glycol loop domains was 51.4
°C when bound to the same target.

A comparison of T_m values observed for circular
oligonucleotides having pentaethylene glycol (PEG) and
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1 hexaethylene glycol (HEG) loop domains is depicted in
Table 4A.

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TABLE 4A

Complex		Target I T _m	Target II T _m
5	<p>p T T C T T T T C T T T C p</p> <p>PEG A A G A A A G A A A G PEG</p> <p>p T T C T T T T C T T T C p</p>	51.5	47.5
10	<p>p T T C T T T T C T T T C p</p> <p>HEG A A G A A A G A A A G HEG</p> <p>p T T C T T T T C T T T C p</p>	58.0	51.1
15	<p>p T T T T T T T T T T T T p</p> <p>HEG A A A A A A A A A A A A HEG</p> <p>p T T T T T T T T T T T T p</p>	51.4	46.5

The T_m value observed for a circular oligonucleotide having a HEG loop is about 4.5°C higher than that of a circular oligonucleotide with a PEG loop. Therefore, circular oligonucleotides with hexaethylene glycol loop domains bind with greater stability than do circular oligonucleotides with tetra- or penta-ethylene glycol loops.

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In a second experiment, circular oligonucleotides having penta-, hexa-, septa- or octoethylene glycol chain loop domains were synthesized as described above and compared to circular nucleotides having nucleotide loop domains. Circular

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1 oligonucleotides were assessed for binding to a short
target (5'-AAGAAAAGAAAG, SEQ ID NO: 9) representing a
minimal binding domain that allows the loops to bridge
5 the pyrimidine domains without interference from the
central point strand. Circular oligonucleotides were
further assessed for binding to an extended target (5'-
GGACTCTATCAGAAGAAAAGAAAGGGACTCTATCAG, SEQ ID NO: 40) in
order to test the interactions of the loop with the
10 central strand as it extends outward from the complex
(Fig. 7A). Results depicted in Table 4B and Fig. 7B
show that binding affinity increases with increasing
linker length up to the maximum length of the EG₈-linked
compound. When the circular oligonucleotides are
15 hybridized to the same target site embedded in a longer
sequence, the same length-dependent trend is observed,
with a preference for the longest (EG₈) linker.

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TABLE 4B

Melting Temperatures (T_m) and free energies ($-\Delta G_{37}^\circ$) for triple helical complexes bridged by oligoethylene glycol (EG) loops or by a pentanucleotide loop at pH 7.0.

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loop structure	$T_m^{a,b}$ (°C)	$-\Delta G_{37}^\circ^{a,b}$ (kcal)
	TTCTTTTCTTTC AAGAAAAGAAAG TTCTTTTCTTTC	
EG ₅	37.3	8.8
EG ₆	51.0	15.6
EG ₇	52.9	16.6
EG ₈	53.7	17.0
-CACAC-	52.2	14.1
	TTCTTTTCTTTC 5' GGACTCTATCA GAAGAAAAGAAAGG GACTCTATCAG 3' TTCTTTTCTTTC	
EG ₅	36.8	8.8
EG ₆	43.5	11.7
EG ₇	46.5	13.0
EG ₈	47.6	13.9
-CACAC-	52.2	14.6

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^a Conditions: 2.0 μ M total strand concentration, 100 mM NaCl, 10 mM MgCl₂, 10 mM Na-PIPES buffer.

^b Error limits for individual measurements are estimated at $\pm 0.5^\circ\text{C}$ in T_m and $\pm 10\%$ in free energy.

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1 Nuclease Resistance

5 Circular oligonucleotides were tested for
nuclease resistance when unbound and when bound to a
target oligonucleotide. All circular oligonucleotides,
whether bound or unbound, were completely resistant to
exonucleases. Endonuclease sensitivity was assessed
using S1 nuclease according to the manufacturer's
suggestions.

10 A comparison of the resistance of bound and
unbound circular oligonucleotides to S1 nuclease is
depicted in Table 5.

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TABLE 5

Oligonucleotide	Time For 50% S1 Cleavage
<p>5</p> <p>p T T C T T T T C T T T C p</p> <p>HEG HEG 1 min.</p> <p>p T T C T T T T C T T T C p</p>	
<p>10</p> <p>p T T C T T T T C T T T C p</p> <p>HEG A A G A A A A G A A A G HEG > 24 h</p> <p>p T T C T T T T C T T T C p</p>	
<p>15</p> <p>A C T T C T T T T C T T T C C A</p> <p>C C 1 min.</p> <p>A C T T C T T T T C T T T C C A</p>	
<p>A C T T C T T T T C T T T C C A</p> <p>C A A G A A A A G A A A G C 40 min.</p> <p>A C T T C T T T T C T T T C C A</p>	
20	

These data indicate that unbound circular oligonucleotides are vulnerable to S1 nuclease. However, when bound to a target, a circular oligonucleotide having a polyethylene loop domain is much more resistant to S1 nuclease, at least 36-fold more resistant, than a circular oligonucleotide with a nucleotide loop domain.

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1 The nuclease resistance of circular and linear
oligonucleotides was also compared when these
oligonucleotides were incubated in human plasma for
5 varying time periods. Circular oligonucleotide 7 and
the precursor to this circle, linear oligonucleotide 2,
were incubated at a 50 μ M concentration in plasma at
37°C. Aliquots were removed at various time points and
cleavage products were separated by gel electrophoresis.
10 Nuclease resistance was assessed by observing whether
degradation products were evident on the gels.

 When incubated in human plasma the half-life
of linear oligonucleotide 2 was 20 min. In contrast,
circular oligonucleotide 7 underwent no measurable
15 nuclease degradation during a 48 hr incubation.
Accordingly, the half-life of a circular oligonucleotide
is greater than 48 hr in human plasma, i.e. more than
140 times longer than a linear oligonucleotide having an
20 equivalent sequence.

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EXAMPLE 6**Circular Deoxyoligonucleotides Selectively Bind DNA**

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The experimental data presented in this example demonstrate that circular deoxyoligonucleotides preferentially bind to linear DNA targets over their RNA counterparts.

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Two sets of linear DNA and RNA target oligonucleotides were synthesized:

Sequence 1: rAAAAAAAAAAAAA dAAAAAAAAAAAAA
 (SEQ ID. NO. 11) (SEQ ID. NO. 8)

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Sequence 2: rAAGAAAGAAAAG dAAGAAAGAAAAG
 (SEQ ID. NO. 12) (SEQ ID. NO. 13)

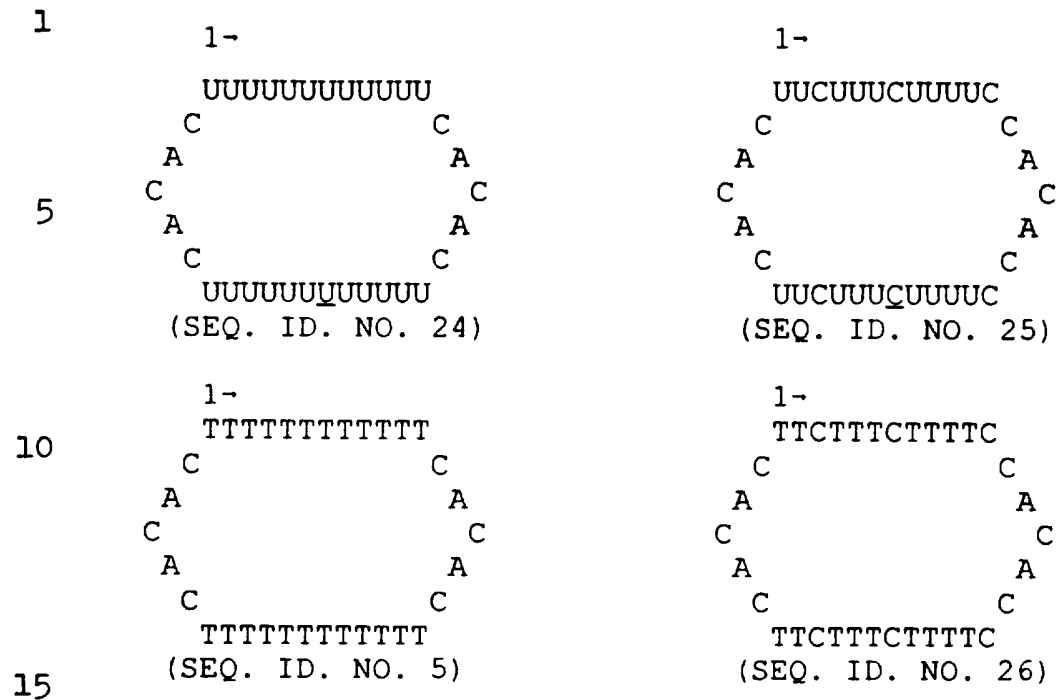
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The following complementary circular RNA (SEQ. ID. NO. 23 and 25) and DNA (SEQ. ID. NO. 5 and 26) probes were synthesized. Underlined residues in the circular RNAs lack a 2'-OH and thus differ from completely RNA strands by a single 2' hydroxyl group. Arrows indicate 5' to 3' directionality. Use of deoxynucleotides in this position was done to ensure the isomeric purity of the circles.

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DNA oligonucleotides were synthesized on a Pharmacia LKB automated synthesizer or an Applied Biosystems 392 synthesizer using standard β -

20 cyanoethylphosphoramidite chemistry as described by Beaucage *et al.*, 1981, *Tetrahedron Lett.* 22:1859. RNA oligonucleotides were prepared using t-butyl-dimethylsilyl-protected phosphoramidites (Applied Biosystems), and following the oligoribonucleotide

25 synthesis procedure of Scaringe *et al.* (1990) *Nucleic Acids Res.* 18:5433. For the synthesis of the 34mer RNAs to be cyclized, 2'-deoxynucleoside supports (dU-CPG and dC-CPG, Glen Research) were used in the synthesis, so

30 that the 3'-end residue lacks a 2'-OH group. 5'-

1 phosphorylation was carried out with a phosphoramidite
reagent described by Horn *et al.*, 1986, Tetrahedron
Lett. 27:4705 purchased from Glen Research.
5 Tetrabutylammonium fluoride in THF (Aldrich) was dried
over molecular sieves prior to use in the desilylation
step. Oligomers were purified by preparative 20%
denaturing polyacrylamide gel electrophoresis and
quantitated by absorbance at 260 nm. Molar extinction
10 coefficients for the oligomers were calculated by the
nearest neighbor method.

Circularization of linear precursors was
achieved by nonenzymatic template-directed cyclization
as described in Example 1. The reactions contained 50
15 μM precircle, 55 μM template strand, 200 mM imidazole •
HCl (from a pH 7.0 stock), 100 mM NiCl_2 . BrCN was added
last as a solid to the mixture to give a final
calculated concentration of 125 mM. Reactions were
20 dialyzed against water and lyophilized. Purification of
the circular products was carried out using preparative
denaturing PAGE.

The ability of the circular probes to bind to
linear targets was examined by thermal denaturation
25 studies. Solutions for the thermal denaturation studies
contained a one-to-one ratio of 34-nucleotide circular
pyrimidine oligomer and 12-nucleotide complementary
purine oligomer (1.5 μM each). Also present were 100 mM
NaCl and 10 mM MgCl_2 . Solutions were buffered with 10 mM
30

1 Na • PIPES (1,4-piperazine-bis(ethanesulfonate), Sigma)
at pH 7.0 or 5.5. The buffer pH is that of a 1.4 x
stock solution at 25°C containing the buffer and salts.
5 After the solutions were prepared they were heated to
90°C and allowed to cool slowly to room temperature
prior to the melting experiments.

The melting studies were carried out in
teflon-stoppered 1 cm pathlength quartz cells under
10 nitrogen atmosphere on a Varian Cary 1 UV-vis
spectrophotometer equipped with thermoprogrammer.
Absorbance (260 nm) was monitored while temperature was
raised from 5 to 80°C at a rate of 0.5°C/min.; a slower
heating rate did not affect the results. In all cases
15 the complexes displayed sharp, apparently two-state
transitions, with all-or-none melting from bound complex
to free oligomers. Melting temperatures (T_m) were
determined by computer fit of the first derivative of
absorbance with respect to $1/T$. Uncertainty in T_m is
20 estimated at $\pm 0.5^\circ\text{C}$ based on repetitions of
experiments.

Free energy values were derived by computer-
fitting the denaturation data, using the two-state
25 approximation for melting described by Petersheim et
al., 1983, *Biochemistry* 22:256.

Melting temperatures (T_m) and free energy
values for the association of the circular probes with
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1 their target strands at pH 7.0 and 5.5 are presented in
Table 6.

5 Data for the binding of the circular
oligonucleotide probes to RNA and DNA targets of
Sequence 1 at pH 7 indicate that the RNA probes bind DNA
and RNA with similar high affinity. The RNA-RNA-RNA
complex (RRR) exhibits a free energy of association of
10 is 11.2 kcal. Thus, the all RNA complex is favored by
0.5 kcal.

15 Data for the binding of circular DNA probes to
RNA and DNA of target Sequence 1 at pH 7.0 demonstrate
that circular DNA probes preferentially bind to DNA
targets. The free energy of association for the all DNA
complex (DDD) is 8.9 kcal greater than that for the
complex of the circular DNA and the RNA target (DRD).
Likewise, the all DNA complex exhibits a T_m value 30°
20 higher than that of the DRD complex.

25 None of the free energy or T_m values for the
oligonucleotide complexes involving DNA or RNA of target
Sequence 1 show any pH dependence (Table 6). This is
expected since no CGC triads are present in these
complexes.

30 Inspection of the data for the binding of
circular probes to DNA and RNA of target Sequence 2 at
neutral pH again indicates that RNA probes bind to RNA
and DNA ligands with similar high affinity (Table 6).

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1 The all RNA complex has a T_m of 51.2°C and a free energy
of 12.6 kcal while that of the RDR complex is 48.5°C and
11.8 kcal. Formation of the all RNA complex is slightly
5 favored.

Data for the binding of DNA probes to RNA and
DNA targets of Sequence 2 at pH 7.0 also support the
conclusion that DNA circles selectively bind to DNA
targets. The free energy of association for the all DNA
10 complex is 14.5 kcal while that for the DRD complex is
only 10.4 kcal.

All complexes except the DRD complex exhibit a
pH dependent increase in T_m and free energy of
association (Table 6). Such a pH dependent increase in
15 affinity is indicative of the presence of a triple helix
and is due to the protonation of C residues and the
formation of C + GC triads. Triplexes of this type are
very stable at lower pH and this stability is reflected
in the higher T_m and free energy values. The fact that
20 the DNA-RNA-DNA complex does not display any pH
dependence indicates that this complex may not be triple
helical in nature.

In summary, the results illustrated above
25 clearly demonstrate that circular RNA probes bind to RNA
and DNA ligands. RNA probes exhibit a slight preference
for binding RNA. Circular DNA probes exhibit a strong
preference for binding DNA ligands. The overall order
of affinity for binding of RNA and DNA circles with RNA
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1 and DNA complements for the two sequences studied in
this example is DDD>>RRR>RDR>>DRD. One can take
significant advantage of these binding preferences by
5 selectively targeting DNA over RNA, in vivo or in vitro.

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TABLE 6

Melting transition temperatures (T_m (°C)) and free energies ($-\Delta G^\circ_{37}$ (kcal/mol)) for complexes of two circular RNAs and two circular DNAs with complementary purine RNA and DNA single strands at two pH values. Underlined residues in circular RNAs lack a 2'-OH.

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Complex	Type	pH = 7.0		pH = 5.5	
		T_m (°C)	$-\Delta G^\circ_{37}$ (kcal)	T_m (°C)	$-\Delta G^\circ_{37}$ (kcal)
<pre> → UUUUUUUUUUU C C A A C rAAAAAAAAAAAA C A A C C UUUUUUUUUUU </pre>	RNA				
	RNA	48.2	11.7	48.7	11.8
	RNA				
<pre> → UUUUUUUUUUU C C A A C dAAAAAAAAAAAA C A A C C UUUUUUUUUUU </pre>	RNA				
	DNA	45.5	11.2	46.4	11.2
	RNA				
<pre> → TTTTTTTTTTT C C A A C dAAAAAAAAAAAA C A A C C TTTTTTTTTTT </pre>	DNA				
	DNA	53.6	15.0	54.1	15.7
	DNA				
<pre> → TTTTTTTTTTT C C A A C rAAAAAAAAAAAA C A A C C TTTTTTTTTTT </pre>	DNA				
	RNA	23.6	6.1	20.4	6.1
	DNA				

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TABLE 6 (CONTINUED)

Complex	Type	pH = 7.0		pH = 5.5	
		T _m (°C)	-ΔG° ₁₁ (kcal)	T _m (°C)	-ΔG° ₁₁ (kcal)
5 <pre> → UUCUUUCUUUC C C A A C rAAGAAAGAAAAG C A A C C UUCUUUCUUUC </pre>	RNA				
	RNA	51.2	12.6	62.9	17.7
	RNA				
10 <pre> → UUCUUUCUUUC C C A A C dAAGAAAGAAAAG C A A C C UUCUUUCUUUC </pre>	RNA				
	DNA	48.5	11.8	62.3	16.4
	RNA				
15 <pre> → TTCTTTCTTTTC C C A A C dAAGAAAGAAAAG C A A C C TTCTTTCTTTTC </pre>	DNA				
	DNA	55.5	14.5	69.7	21.2
	DNA				
20 <pre> → TTCTTTCTTTTC C C A A C rAAGAAAGAAAAG C A A C C TTCTTTCTTTTC </pre>	DNA				
	RNA	44.0	10.4	42.0	10.1
	DNA				

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EXAMPLE 7**Strand Replacement By Circular Oligonucleotides**

5 To test whether a circular oligonucleotide can readily dissociate duplex DNA and displace one strand of a duplex DNA target, the kinetics of strand displacement were observed for a duplex DNA target in the presence of a complementary linear or circular oligonucleotide.

10 A DNA duplex target with a fluorescein group on one strand and a tetramethylrhodamine group on the other strand was prepared using published procedures (Cardullo et al., 1988, Proc. Natl. Acad. Sci. USA
15 85:8790; Cooper et al., 1990, Biochemistry 29:9261). The structure of the duplex target (SEQ ID NO.:15) was as follows:

5'-fluorescein-A A A A A A A A A A A
3'-rhodamine-T T T T T T T T T T T.

20 The T_m of this labeled duplex target was normal, therefore the fluorescent substituents had no significant effect upon association kinetics. Moreover, the emission maxima of the fluorescein-dA₁₂ strand was 523 nm while the emission maxima of the rhodamine-dT₁₂
25 strand was 590 nm, allowing the association kinetics of the two strands to be separately monitored.

Strand displacement reactions were done at 10°C in a 1 cm fluorescence cuvette. Reaction
30 conditions were 100 mM NaCl, 10 mM Mg Cl₂ and 10 mM Tris-

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1 HCl, pH 7.0 with a reaction volume of 3 ml. Labeled
duplex was allowed to equilibrate for at least 1 hr at
10°C before addition of a 100-fold excess of linear or
5 circular oligonucleotide. A Spex Fluorolog F 111A
fluorescence instrument with 5 mm slit widths was used.
An excitation wavelength of 450 nm and a monitored
emission wavelength of 523 nm was used. The results
were independent of both excitation and monitored
10 emission wavelengths. Reactions were followed for at
least 5 half-lives.

Addition of rhodamine-dT₁₂ to fluorescein-dA₁₂
caused a decrease in fluorescein fluorescence and an
increase in rhodamine fluorescence. Such effects are
15 due to energy transfer between the fluorescent moieties
(Cardullo et al.).

The association rate constant of the two
fluorescently-labeled strands was determined by mixing
20 the strands under pseudo-first order conditions and
monitoring the rate of decrease in fluorescein emission.
At 10 °C the observed association constant was 3.2×10^6
M⁻¹ sec⁻¹, which agrees well with published rates of
association for DNA oligonucleotides (Nelson et al. 1982
25 Biochemistry 21:5289; Turner et al. 1990 in Nucleic
Acids (subvolume C), W. Saenger, Ed. Springer-Verlag,
Berlin:201-227).

To compare the rates at which a single linear
strand (SEQ ID NO.:8) or a circular oligonucleotide
30

1 having SEQ ID NO.:5 (i.e. circular oligonucleotide 6)
exchanged with strands in a duplex DNA, an excess of an
unlabeled linear or circular oligonucleotide was mixed
5 with the fluorescently-labeled duplex DNA target. The
increase in fluorescein emission was then observed at a
temperature significantly below the T_m of the duplex
target as a measure of duplex target strand
dissociation.

10 Fig. 8 depicts a typical kinetic run for the
reaction of the preformed labelled 1:1 duplex ($0.01 \mu\text{mol dm}^{-3}$)
with unlabelled d(A)₁₂ strand ($1.0 \mu\text{mol dm}^{-3}$) at
10°C. The observed first-order rate constant was
15 similar for the addition of either unlabelled d(A)₁₂ or
d(T)₁₂ single strands and was independent of unlabelled
strand concentration ($0.1-0.4 \mu\text{mol dm}^{-3}$). Under the
reaction conditions, the exchange is a slow process,
with a half-life of 58 min. at 10°C, which is 30°C below
20 the melting temperature for the duplex. This reflects
the slow rates at which even short duplexes dissociate.

In contrast to the above behavior, when the
complementary circle was instead added to the duplex,
the rate of increase in the fluorescein emission was
25 considerably faster (Fig. 8). The experimental first-
order-rate constant for the reaction of duplex with
added circle (100-fold excess) at 10°C was $2.3 \times 10^{-2} \text{s}^{-1}$,
a half-life of only 30s.

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1 The rate of this reaction was dependent on the
concentration of added circle (Fig. 9): a plot of
[circle] vs. k_{obs} is linear with a slope of $7.3 \times 10^4 \text{ dm}^3$
5 $\text{mol}^{-1}\text{s}^{-1}$.

 The second-order rate constant, $7.3 \times 10^4 \text{ dm}^3$
 $\text{mol}^{-1}\text{s}^{-1}$, for duplex dissociation by circle is similar to
literature values for triple-helix formation. (Porschke
et al., 1971, J. Mol. Biol. 62:361.) Saturation
10 kinetics were not observed. The rate constant for
dissociation, k_2 , is greater than 0.08s^{-1} , the largest
observed rate constant. This experiment demonstrates
that it is possible to design a synthetic DNA molecule
which can bind to duplex DNA by active displacement of
15 the secondary structure.

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EXAMPLE 8**Binding Properties of a Circular Oligonucleotide
Having More Than One Pair of Binding Domains**

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A circular oligonucleotide having two pairs of binding domains was synthesized. Such a circular oligonucleotide selectively bound one of two targets depending upon the pH of the hybridization reaction.

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Materials and Methods**Oligonucleotide Synthesis**

Oligonucleotides were synthesized using β -
15 cyanoethylphosphoramidite chemistry (Beaucage et al.
1981 Tetrahedron Lett. 22:1859). The concentration of
oligonucleotide was determined by absorbance at 260 nm;
extinction coefficients were calculated by the nearest
neighbor method (Borer 1975 in Handbook of Biochemistry
20 and Molecular Biology G.D. Fasman, ed. CRC Press:
Cleveland, p. 589).

An oligonucleotide having SEQ ID NO:16 (5'-
dTCTCTTTTTTTTTTCTCTCTTTTTTTTTTCTCp) was synthesized
25 and circularized by the template-directed cyclization
reaction described in Example 1 and in Prakash et al.
(1992 J. Am. Chem. Soc. 114:3523). The end-joining-
oligonucleotide employed for circularization had SEQ ID
NO:17 (5'-dAAAGAGAGAGAAA). Conversion to circle was
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1 greater than or equal to 95% as assessed by UV-shadowing
of the reaction mixture electrophoresed through a 20%
denaturing polyacrylamide gel.

5 The circular oligonucleotide product having
SEQ ID NO:18 was obtained from a polyacrylamide gel
slice containing the slower moving band. To purify the
circular oligonucleotide, the gel slice was crushed and
dialyzed against water. The circularity of the
10 oligonucleotide was tested by exposing the preparation
to an exonuclease (T4 polymerase, Promega) under
conditions leading to complete degradation of a linear
oligonucleotide to mononucleotides. The SEQ ID NO:18
oligonucleotide was completely resistant to such
15 exonuclease treatment.

The SEQ ID NO:18 circular oligonucleotide
contained two pairs of nine base binding domains. One
pair of binding domains (pair I) bound a target
20 oligonucleotide having SEQ ID NO:19 (5'-dAGAGAGAGA),
while the other pair of binding domains (pair II) bound
a target oligonucleotide having SEQ ID NO:20 (5'-
dAAAAAAAAA).

A thirty three nucleotide oligonucleotide was
25 also synthesized which contained two target binding
sites, one for the pair I and one for the pair II
binding domains of the SEQ ID NO:18 circular
oligonucleotide. This thirty three nucleotide
oligonucleotide had SEQ ID NO:21 i.e. 5'-
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1 dCACAAGAGAGAGAAATCCCTAAAAAAAAAACAC wherein the two
target sites are indicated by underlining.

Two linear oligonucleotides complementary to
5 the target sites within the SEQ ID NO:21 oligonucleotide
were also synthesized: an oligonucleotide having SEQ ID
NO:22 (5'-dTCTCTCTCT) and an oligonucleotide having SEQ
ID NO:23 (5'-dTTTTTTTTT).

Thermal Denaturation Procedures

10 Thermal denaturation experiments with the
circular oligonucleotide having SEQ ID NO:18 and the two
target oligonucleotides having SEQ ID NO:19 and SEQ ID
NO:20 were performed as described in Example 2. In
particular, 1.5 μ M of target oligonucleotide and 1.5 μ M
15 of circular oligonucleotide was placed in a buffer
containing 100 mM NaCl, 10 mM MgCl₂ and 10 mM Na-PIPES
(from Sigma Chemical Co.). To assess the effect of pH
upon binding, thermal denaturation experiments were
20 performed using pH values varying from 5.5 to 9.0.

To generate thermal denaturation profiles of
hyperchromicity vs. temperature the reaction mixture
was first placed in a 1 cm-pathlength stoppered quartz
microcell under nitrogen. The absorbance of the
25 reaction mixture was recorded at 260 nm using a Cary 1
spectrophotometer when the temperature was increased at
a rate of 0.5°C/min. The T_m was assigned as the
temperature of the inflection point in the denaturation

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1 curve. Measurement precision was $\pm 0.5^{\circ}\text{C}$ as determined
by observation of T_m variability in several experiments.

5 Stoichiometric Determinations

The proportion of SEQ ID NO:18 circular
oligonucleotide added to either SEQ ID NO:19 or SEQ ID
NO:20 targets was varied in mixing experiments to
determine the mole fraction of circular oligonucleotide
10 present at complete complexation of target with circular
oligonucleotide. To detect binding by observing a
change in hyperchromicity using absorbance readings at
260 nm, the total DNA concentration was maintained at
4.5 μM while the proportion of circular oligonucleotide
15 to target was varied.

Under such conditions, a change in the slope
of the observed absorbance vs circular oligonucleotide
mole fraction indicates that no further binding of
20 target will occur as the proportion of circular
oligonucleotide is increased. Therefore the inflection
point in such a curve provides the mole fraction at
which complete complexation has occurred. If the
inflection point is approximately 0.5 then half of the
25 oligonucleotide present in the hybridized complex is the
circular oligonucleotide and half is a target
oligonucleotide. Accordingly a mole fraction of about
0.5 for complete complexation indicates the

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1 stoichiometry of circular oligonucleotide to target is
1:1.

When the mole fraction for complete
5 complexation is less than 0.5, more circular
oligonucleotide than target oligonucleotide is present
in the complex, e.g., a mole fraction of 0.33 means that
two circular oligonucleotides are present per target.
Therefore the stoichiometry of circular oligonucleotide
10 to target in the complex will be greater than 1:1.
Similarly, when the mole fraction for complete
complexation is more than 0.5, less circular
oligonucleotide than target oligonucleotide is present
in the complex and the stoichiometry of circular
15 oligonucleotide to target will be less than 1:1, e.g. a
mole fraction of 0.66 means that one circular
oligonucleotide and two targets are present.

Binding of SEQ ID NO:18 Circular Oligonucleotide
to SEQ ID NOS:19-21 Targets

20 At pH 7.0 the circular oligonucleotide having
SEQ ID NO:18 bound target SEQ ID NO:19 with a T_m of
44.5°C (Fig. 10A, open circles) and an estimated free
energy of association at 37°C of -11.2 kcal/mole. Under
25 similar conditions the circular oligonucleotide (SEQ ID
NO:18) bound target SEQ ID NO:20 with a T_m of 47.5°C
(Fig. 10A, filled circles) and a free energy of
association at 37°C of -13.2 kcal/mole. Accordingly the
circular oligonucleotide had roughly the same affinity
30 for target SEQ ID NO:19 and SEQ ID NO:20.

1 Fig. 10B depicts the mole fraction of SEQ ID
NO:18 circular oligonucleotide present in a mixture of
target and circular oligonucleotide versus the
5 absorbance of that mixture. The mole fraction of SEQ ID
NO:18 circular oligonucleotide when fully complexed with
SEQ ID NO:19 target (squares) or SEQ ID NO:20 target
(triangles) was 0.52 or 0.53, respectively. Similarly,
when SEQ ID NO:18 circular oligonucleotide was mixed
10 with a 1:1 combination both SEQ ID NO:19 and SEQ ID
NO:20 targets (circles) the mole fraction circular
oligonucleotide bound was 0.47 (Fig. 10B). Therefore,
there was no significant difference in mole fraction of
circular oligonucleotide bound when only one or when
15 both targets were present. Accordingly, the
stoichiometry of circular oligonucleotide to target in
the hybridized complex was 1:1 whether one or both
targets were present. These data indicate that the
circular oligonucleotide undergoes a conformational
20 charge upon binding and that a single target is bound.
These data further indicate and that binding of both
targets by a single SEQ ID NO:18 circular
oligonucleotide is precluded.

25 Accordingly, when binding domain pair I bound
its target oligonucleotide, the P and AP domains of pair
II served as loop domains between the parallel and anti-
parallel binding domains of pair I. Similarly, when
binding domain pair II bound its target, the P and AP
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1 domains of pair I served as loop domains separating the
parallel and anti-parallel binding domains of pair II.
These two binding arrangements are depicted in Fig. 11A.

5 Fig. 13A depicts the absorbance versus mole
fraction of SEQ ID NO:18 circular oligonucleotide
present in a mixture with the longer two-target site
oligonucleotide having SEQ ID NO:21. The mole fraction
of circular oligonucleotide at complete complexation is
10 about 0.63. This roughly corresponds to a stoichiometry
of two circular oligonucleotides per target. Therefore
separate circular oligonucleotides can bind to each of
the two target binding sites present in the SEQ ID NO:21
oligonucleotide.

15 The complexes formed between circular
oligonucleotide with SEQ ID NO:18 and targets having SEQ
ID NO:19 or SEQ ID NO:20 were considerably stronger than
corresponding complexes formed between a linear single
20 binding domain oligonucleotide and target. For example,
a nine base duplex formed between d(A)₉ (i.e. SEQ ID
NO:20) and d(T)₉ (i.e. SEQ ID NO:23) had a T_m of 25°C and
a duplex formed between d(AG)₄A (i.e. SEQ ID NO:19) and
d(TC)₄T (i.e. SEQ ID NO:22) had a T_m of 29°C. Therefore,
25 the SEQ ID NO:18 circular oligonucleotide formed
complexes with T_m values that were at least 15°C higher
than corresponding linear duplex complexes. These
results are summarized in Table 7 below. Given the high
30 T_m values and the 1:1 stoichiometry of the SEQ ID NO:18

1 oligonucleotide-target complexes, the complexes formed
were triple-helical, and not double-helical.

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TABLE 7

**T_m VALUES OF LINEAR OLIGONUCLEOTIDES
BOUND TO DIFFERENT TARGETS AT NEUTRAL pH**

LINEAR OLIGONUCLEOTIDE	TARGET OLIGONUCLEOTIDE	T _m
d(TC),T (SEQ ID NO:22)	d(AG),A (SEQ ID NO:19)	29°C
d(T), (SEQ ID NO:23)	d(A), (SEQ ID NO:20)	25°C

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**T_m VALUES OF THE SEQ ID NO:18 CIRCULAR OLIGONUCLEOTIDE
BOUND TO DIFFERENT TARGETS AT NEUTRAL pH**

CIRCULAR OLIGONUCLEOTIDE	TARGET OLIGONUCLEOTIDE	T _m
SEQ ID NO:18	SEQ ID NO:19	44.5°C
SEQ ID NO:18	SEQ ID NO:20	47.5°C

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**pH Dependence of SEQ ID NO:18 Circular
Oligonucleotide Binding to SEQ ID NO:19 and
SEQ ID NO:20 Target Oligonucleotides**

The observed T_m for the SEQ ID NO:20 target bound to the SEQ ID NO:18 circular oligonucleotide did not vary greatly from pH 5.5 to 9.0 (Fig. 12, open circles). In particular the T_m of this complex at pH 5.5 was 51.5°C and at pH 9.0 the T_m was 46°C. These data are consistent with triple-helical complexes having only T-A-T triads, which require no protonation changes to optimize binding (Morgan *et al.* 1968 J. Mol. Biol. 37:63-80; Moser *et al.* 1987 Science 238:645; and Rajagopal *et al.* 1989 Nature 339:637).

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1 In contrast, the observed T_m for the SEQ ID
NO:19 target bound to the SEQ ID NO:18 circular
oligonucleotide varied significantly over a range of
30°C when the pH was varied from 5.5 to 9.0 (Fig. 12,
5 open squares). In particular, at pH 5.5 the T_m of the
SEQ ID NO:19 target-SEQ ID NO:18 circular
oligonucleotide complex was 65°C. However at pH 9.0 the
same complex had a T_m of 35°C. These observations are
10 consistent with previous observations that efficient
formation of a C-G-C triad requires protonation of the
cytosine in the parallel binding domain (Lipsett *et al.*
1963 *Biochem. Biophys. Res. Comm.* 11:224-228 and Morgan
et al. 1968).

15 Therefore, at pH 5.5 the complex having C-G-C
triads (i.e. target SEQ ID NO:19 bound to SEQ ID NO:18
circular oligonucleotide) had a T_m which was about 14°C
higher than the complex having only T-A-T triads (i.e.
20 target SEQ ID NO:19 bound to SEQ ID NO:18 circular
oligonucleotide). However at pH 9.0 the T_m of the C-G-C
triad containing complex was about 13°C lower than the
T-A-T triad containing complex. The pH of T_m equivalency
for the C-G-C and T-A-T containing complexes was pH 6.8.

25 pH Dependence of SEQ ID NO:18 Circular Oligonucleotide
Binding to the Two-Target Site SEQ ID NO:21
Oligonucleotide

 The effect of pH upon binding of the SEQ ID
NO:18 circular oligonucleotide with the longer two-
30 target site oligonucleotide having SEQ ID NO:21 was also

1 observed. Fig. 13B depicts the observed T_m values for
two molar equivalents SEQ ID NO:18 circular
oligonucleotide bound to the SEQ ID NO:21 target. As
5 shown, there were two T_m values at each of the pH values
tested. These two T_m values correspond to separate
melting events at each of the two target sites within
the SEQ ID NO:21 oligonucleotide. Moreover the pattern
of observed T_m values for the SEQ ID NO:21
10 oligonucleotide parallels the pattern of T_m values
observed separately for the SEQ ID NO:19 and SEQ ID
NO:20 target oligonucleotides. Therefore, each of the
two T_m values observed at a single pH for the SEQ ID
NO:21 oligonucleotide can be assigned to a specific
15 target site within this oligonucleotide. For example,
at pH 5.5, T_m values of 47°C and 67°C were observed for
the SEQ ID NO:21 oligonucleotide. The T_m values for the
SEQ ID NO:19 and SEQ ID NO:20 targets were 65°C and
20 51.5°C, respectively. Therefore the 47°C T_m value
observed at pH 5.5 for the SEQ ID NO:21 oligonucleotide
corresponds to the target encoding the same sequence as
SEQ ID NO:20, i.e. (5'-dAAAAAAAAA). Similarly the 67°C
 T_m value observed at pH 5.5 for the SEQ ID NO:21
25 oligonucleotide corresponds to the target encoding the
same sequence as SEQ ID NO:19, i.e. (5'-dAGAGAGAGA).

Therefore, the melting of each target within
the SEQ ID NO:21 oligonucleotide can be separately

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1 observed and monitored at pH values ranging from 5.5 to
9.0.

Modulation of pH Can Selectively Direct Circular
Oligonucleotide Binding to One Target vs Another

5 The melting of varying amounts of the SEQ ID
NO:18 circular oligonucleotide from the two-target site
SEQ ID NO:21 oligonucleotide was monitored by observing
the absorbance at 260 nm as the temperature was
10 increased (Fig. 14). A sharp increase in the absorbance
at this wavelength indicates that melting has occurred
and provides a T_m value for the SEQ ID NO:18-SEQ ID NO:21
complex at a given pH. These data also indicate which
target site within the SEQ ID NO:21 oligonucleotide is
15 occupied first by the circular oligonucleotide.

For example, Fig. 14A depicts the absorbance
changes occurring as temperature is increased at pH 5.5
when the SEQ ID NO:21 oligonucleotide was present at 1.5
 μ M and the SEQ ID NO:18 circular oligonucleotide
20 concentration was present at 0, 0.25, 0.5, 1.0 and 2.0
molar equivalents (lower to upper curves, respectively).

At low molar ratios of circular
oligonucleotide (0.25, 0.50 and 1.0) a single sharp
25 increase in absorbance was observed when the temperature
was about 63°C to 64°C (Fig. 14A, middle three curves).
This T_m of about 63°C to 64°C indicates that melting is
occurring from the SEQ ID NO:21 target site having the
sequence AGAGAGAGA. Therefore at pH 5.5 when the proton
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1 concentration is relatively high, the target site having
guanine residues is occupied first since formation of
C-G-G triads is favored over formation of T-A-T triads.

5 However, when the circular oligonucleotide is
present at 2.0 molar equivalents relative to the SEQ ID
NO:21 oligonucleotide, two sharp increases in absorbance
are apparent at pH 5.5 (Fig. 14A highest curve).
Therefore when a molar excess of the circular
10 oligonucleotide is present both target sites in the SEQ
ID NO:21 oligonucleotide can be occupied by separate
circular oligonucleotides.

 At a higher pH of 8.5, when fewer protons are
available, the observed T_m at low molar ratios of
15 circular oligonucleotide to SEQ ID NO:21
oligonucleotide, is significantly lower than observed at
pH 5.5, i.e. about 52°C (Fig. 13B middle three curves,
corresponding to molar ratios of SEQ ID NO:18 to SEQ ID
NO:21 oligonucleotide of 0.25, 0.5 and 1.0). A T_m of
20 about 52°C indicates that melting is occurring from the
target site encoding AAAAAAAAAA. Therefore at pH 8.5 the
target site having only adenine residues is occupied
first since the low concentration of protons makes
25 formation of C-G-C triads less favorable than formation
of T-A-T triads.

 Addition of linear oligonucleotides having SEQ
ID NO:22 (5'-dTCTCTCTCT) or SEQ ID NO:23 (5'-dTTTTTTTTT)
30 confirmed that one target within the SEQ ID NO:21

1 oligonucleotide was unbound and the other target was
bound by the SEQ ID NO:18 circular oligonucleotide at
low pH. Fig. 14 depicts the hyperchromicity at pH 5.5
5 of a mixture of circular oligonucleotide (SEQ ID NO:18
at 1.5 μ M) with two-target site oligonucleotide (SEQ ID
NO:21 at 1.5 μ M) in the presence of oligonucleotides
having either SEQ ID NO:22 (TCTCTCTCT at 1.5 μ M, filled
circles) or SEQ ID NO:23 (TTTTTTTTT at 1.5 μ M, open
10 circles). At this low pH only the mixture of
oligonucleotides having SEQ ID NO:18, 21 and 23 (open
circles) had two melting temperatures, indicating that
the SEQ ID NO:18 circular oligonucleotide bound to the
AGAGAGAGA target site within the SEQ ID NO:21
15 oligonucleotide leaving the AAAAAAAAA target site free
for binding with the SEQ ID NO:23 oligonucleotide.
Addition of the SEQ ID NO:22 oligonucleotide at pH 5.5
did not cause two melting events since this
20 oligonucleotide was complementary to the target
preferred by the circular oligonucleotide at low pH,
i.e. the AGAGAGAGA target wherein C+G-C triads form.
Accordingly, only one target site within the SEQ ID
NO:21 oligonucleotide was occupied and only a single
25 inflection in the hyperchromicity was observed (Fig. 15
filled circles).

Therefore, a circular oligonucleotide having
two pairs of binding domains can be directed to bind one
30 target as opposed to another by adjusting the pH of the

1 hybridization reaction when one pair of binding domains
contains more cytosine residues than the other pair.

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EXAMPLE 9

**Circular Oligonucleotides containing
2'-O-Methyl Nucleotides Can Bind
Single-Stranded Nucleic Acid Targets**

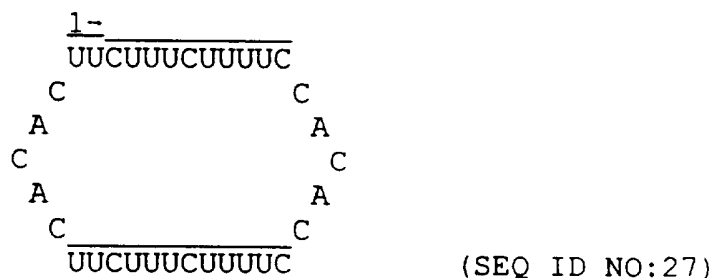
5

The data presented in this example show that circular oligonucleotides containing 2'-O-Me nucleotides can form stable triple helical complexes with linear
10 purine rich DNA and RNA targets.

Linear DNA (dAAGAAAGAAAAG, SEQ ID NO:13) and RNA (rAAGAAAGAAAAG, SEQ ID NO:12) targets were synthesized as described in Example 6. A circular 2'-O-methyl RNA oligonucleotide was synthesized from the
15 precursor 5'-

prUUUCUUdCACACrUUCUUUCUUUUCdCACACrCUUUUC (SEQ ID NO:27)
by the method of Example 1 to yield the circular oligonucleotide:

20



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wherein 2'-O-Me residues are designated by a line over the sequence and loop regions are composed of alternating C and A deoxynucleotides.

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1 Also synthesized was the linear 12 nucleotide
pyrimidine oligomers 2'-O-Me-

RNA (rcUUUUCUUUCUU, SEQ ID NO:30) for comparison to the
5 circles. SEQ ID NO:30 was assessed for binding to DNA
(dGAAAAGAAAGAA, SEQ ID NO:28) and RNA (rGAAAAGAAAGAA,
SEQ ID NO:29). A circular RNA complementary to SEQ ID
NO:28 but without the 2'-O-Me modification (Table 8) was
10 also synthesized (SEQ ID NO:27). The loop regions
contain 5 deoxynucleotides and the underlined residue
lacks a 2'-OH.

 Melting temperatures and free energies of
association for complexation of 2'-O-Me RNA circular
15 oligonucleotide and linear target were measured at pH
7.0 and pH 5.5 as described in Example 6. These values
were compared with those of the unmodified RNA circle to
linear DNA and RNA targets, as well as to a Watson-Crick
complex of a linear DNA or RNA target and the 2'-O-Me
20 RNA complement. Melting temperatures and free energy
values are shown in Table 8.

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TABLE 8

Complex	Type	pH = 7.0		pH = 5.5	
		T _m (°C)	-ΔG° ₃₇ (kcal)	T _m (°C)	-ΔG° ₃₇ (kcal)
<pre> UUCUUUCUUUC C C A A C dAAGAAAAGAAAAG C A A C C UUCUUUCUUUC </pre>	M				
	D	58.6	13.6	70.0	15.6
	M				
<pre> UUCUUUCUUUC C C A A C rAAGAAAAGAAAAG C A A C C UUCUUUCUUUC </pre>	M				
	R	57.8	14.2	57.8	13.9
	M				
<pre> UUCUUUCUUUC C C A A C rAAGAAAAGAAAAG C A A C C UUCUUUCUUUC </pre>	R				
	D	51.1	12.8	63.2	15.9
	R				
<pre> UUCUUUCUUUC C C A A C dAAGAAAAGAAAAG C A A C C UUCUUUCUUUC </pre>	R				
	R	54.0	14.3	63.9	16.7
	R				
<pre> 3'-dGAAAAGAAAAGAA 5'-rCUUUUCUUUCUU </pre>	DM	21.9	6.0	24.8	6.1
<pre> 3'-rGAAAAGAAAAGAA 5'-rCUUUUCUUUCUU </pre>	RM	54.9	12.8	55.6	12.9

30^a Conditions: 100 mM NaCl, 10 mM MgCl₂, 10 mM Na • PIPES buffer, 3 3 μM total DNA concentration.

^b Uncertainties in T_m values and in free energies are estimated at ±15%, respectively.

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1 Comparison of 2'-O-Me RNA circular and linear
oligonucleotides indicates that for binding to a DNA
target, there is clear benefit from the Hoogsteen
5 interaction. (Compare, for example, T_m at pH 7.0 for MDM
of 58.6° vs. 21.9°C for DM). Further, the MDM complex
exhibits pH dependency. These results indicate that the
MDM complex is triple helical.

 In contrast, the MRM complex is not pH
10 dependent and exhibits only a slight advantage in
binding relative to the RM duplex, suggesting that the
MRM complex may be a duplex, with the third strand
dissociated and not binding in the major groove.

 Comparison of RNA circles to 2'-O-Me RNA
15 circles illustrates a slight binding advantage for 2'-O-
Me RNA circles in some cases, particularly to DNA
targets. Since 2'-O-Me-RNA offers the significant
advantage of resistance to degradation by endonuclease
20 enzymes (Sproat et al., 1989, *Nucleic Acids Eds.*
17:3373), this analog is attractive for use in circles
even in cases in which some binding affinity is
sacrificed.

 The data in Table 8 further demonstrate that
25 unmodified RNA circles containing DNA loops exhibit high
affinity, pH dependent binding to both RNA and DNA
targets. Binding of such circles to single-stranded
targets is thus consistent with triplex formation.

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EXAMPLE 10**Circular Oligonucleotide Probes Containing
DNA and RNA Binding Domains Bind DNA Targets**

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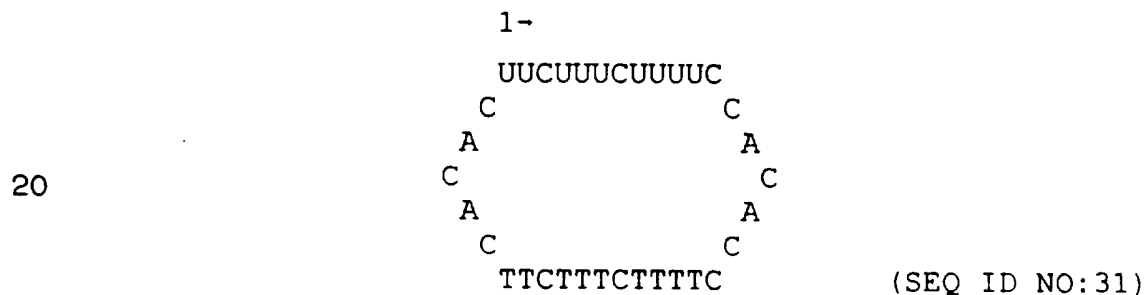
Data presented in this example demonstrate that oligonucleotide circles containing both an RNA binding domain and a DNA binding domain can effectively bind linear DNA and RNA targets.

10

A circular chimeric oligonucleotide probe containing a DNA binding domain and an RNA binding domain linked by deoxynucleotides was synthesized as described in Example 1 and is illustrated below. The RNA binding domain is the upper domain while the DNA

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binding domain is the lower domain.



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The linear targets 5'-dAAGAAAGAAAAG-3' (SEQ ID NO:13) and 5'-rAAGAAAGAAAAG-3' (SEQ ID NO:12) were

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synthesized and the binding affinity of the chimeric probe examined at pH 7.0 and 5.5 as described in Example 6. Melting temperatures and binding energies are presented in Table 9.

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TABLE 9

Complex	Type	pH = 7.0		pH = 5.5	
		T _m (°C)	-ΔG° _b (kcal)	T _m (°C)	-ΔG° _b (kcal)
5 → UUCUUUCUUUC C A C AAGAAAGAAAAG C A C TTCTTTCTTTTC	R D D		54.2 14.6	66.2 23.6	
10 → UUCUUUCUUUC C A C AAAGAAAGAAAAG C A C TTCTTTCTTTTC	R R D		48.3 13.0	59.8 16.3	

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^a Conditions: 100 mM NaCl, 10 mM MgCl₂, 10 mM Na • PIPES buffer, 3
3 μM total DNA concentration.

^b Error in T_m values and in free energies are estimated at ±1.0°C
and ±15%, respectively.

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Examination of the data obtained at pH 7.0
reveals that the chimeric probes form high affinity
complexes with the linear DNA and RNA targets.

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At pH 5.5 the T_m and binding energy
dramatically increase suggesting in that circular
chimeric oligonucleotides bind linear DNA and RNA
targets with high affinity by triplex formation.

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EXAMPLE 11**Circular Oligonucleotides Inhibit
Proliferation of Chronic Myeloid Leukemia
Cells in a Sequence Specific Manner**

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Chronic myeloid leukemia is a human malignant disease characterized by a reciprocal translocation between the long arms of chromosomes 9 and 22. The resulting hybrid gene on chromosome 22 is designated bcr-abl and consists of a 5'bcr portion and a 3'abl portion. Transcripts of the fusion gene are primarily of two types, designated bcr exon 3/abl exon 2 and bcr exon 2/abl exon 2. These fusion genes are ideal targets for antisense attack since they are unique to malignant cells.

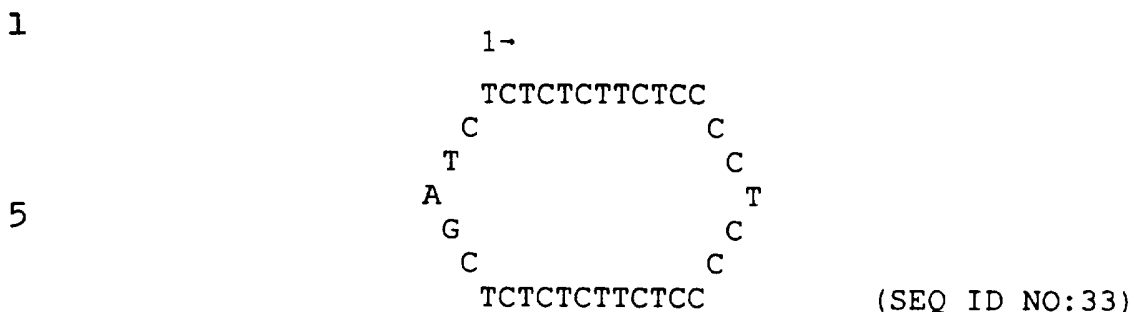
Human cell lines that contain bcr-abl fusions are available as model systems for chronic myeloid leukemia. K562 cells contain the bcr 3/abl 2 fusion gene (Lozzio et al., 1975, Blood 45:321) and BV173 cells contain the bcr 2/abl2 fusion gene. (Pegoraro et al., 1983, Jour. Nat. Cancer Inst. 70:447).

A polypurine sequence located 385 nucleotides 5' to the bcr 3/abl 2 junction was chosen as a target for K562 cells. The -385 bcr target has the sequence:

5'AGAGAGAAGAGG-3' (SEQ ID NO:32).

An antisense -385 bcr circle having binding domains parallel and antiparallel to the target sequence was synthesized by the method of Example 1. The -385 bcr circle has the sequence:

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10 A linear control containing the Watson-Crick complement of the target sequence, and a circular control containing a scrambled sequence of the same oligonucleotide composition as the -385bcr circle, were synthesized as controls.

15 For BV173 cells, the bcr 2/ab12 junction itself is a polypurine sequence and was chosen as the target, the b2a2 target has the sequence:

5'-ATAAGGAAGAAG-3' (SEQ ID NO: 34).

20 The antisense -b2a2 circle having binding domains parallel and antiparallel to the target sequence was synthesized. The antisense b2a2 circle has the sequence:



30 A nonsense circle having the same nucleotide composition as the b2a2 circle but in random sequence was synthesized as a control. Also synthesized were two linear controls, a "long" linear antisense corresponding to the entire antisense circle sequence but with

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1 unligated ends, and a "short" linear antisense
corresponding only to the Watson-Crick binding domain.

5 K562 cells were preincubated in RPMI-1640 with
-385 bcr circle oligonucleotide or linear or circular
control oligonucleotide at final concentrations of from
zero to 13 μ M. After four hours, heat-treated (65°C, 30
min.) fetal bovine serum was added to a final
10 concentration of 10% and oligonucleotide was again added
to the same final concentration. Viable cell as
determined by trypan blue exclusion were counted daily
and cell concentrations determined by hemocytometer.

15 Results of the antisense inhibition of K562
cells are shown in Figures 16 and 17. Figure 16
illustrates the effect of the -385 bcr circle (circular
antisense) and the linear and circular controls at 13 μ M
concentrations. At 13 μ M, the -385 bcr circle reduced
the saturating cell number by 68%, whereas a nonsense
20 circular and a linear control had no effect on cell
growth.

The effect of the -385 bcr circle and the
controls on cell growth at various concentrations on day
5 is shown in Figure 17. The -385 bcr circle had an
25 antiproliferative effect even at 6 μ M, while both
controls were ineffective in inhibiting cell growth.

BV173 cells were preincubated in RPMI-1640
with the b2a2 antisense circle, long linear control,
short linear control or nonsense circular control at
30 final concentrations of from 0 to 32 μ M. After four
hours, heat-treated (65°C, 30 min.) fetal bovine serum
was added to a final concentration of 10% and
oligonucleotide was again added to the same final

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1 concentration. Viable cell counts and cell
concentrations were determined daily.

Results of the antisense inhibition of BV173
5 cells are shown in Figures 18 and 19. Figure 18
illustrates the effect of the b2a2 circle and the
various controls at 16 μM and 32 μM concentrations. At
16 μM , the cells arrested at a density 66% below that of
the untreated control. At 32 μM , cells arrested at
10 nearly 90% below the untreated control. The control
sequences were ineffective in inhibiting cell growth.

The effect of the b2a2 circle and controls at
various concentrations on day 7 is shown in Figure 19.
Day 7 was chosen because of the slower growth of the
15 BV173 cell line relative to K562 cells. The b2a2 circle
was effective in inhibiting cell growth at

concentrations of 4 μM , while the controls
were ineffective in inhibiting cell growth.

20 The foregoing results demonstrate that
circular oligonucleotides inhibit the proliferation of
chronic myeloid leukemia cells in culture in a sequence-
specific manner.

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EXAMPLE 12**Circular Oligonucleotides are Nuclease Resistant**

5 To demonstrate the enhanced stability of
circular oligonucleotides, circularized and linear forms
of the bcr 2/abl2 antisense deoxynucleotide of Example
11 were incubated in 10% fetal bovine serum at 37°C for
0 to 72 hours and analyzed on a sequencing gel. As can
10 be seen in Figure 20, the linear oligonucleotide forms a
ladder of breakdown products whereas the circular one
remains intact.

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SEQUENCE LISTING

(1) GENERAL INFORMATION:

(i) APPLICANT:

(A) NAME: RESEARCH CORPORATION TECHNOLOGIES, INC.
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(ii) TITLE OF INVENTION: SINGLE-STRANDED, CIRCULAR
OLIGONUCLEOTIDES

(iii) NUMBER OF SEQUENCES: 44

(iv) CORRESPONDENCE ADDRESS:

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(C) CITY: Garden City
(D) STATE: New York
(E) COUNTRY: USA
(F) ZIP: 11530

(v) COMPUTER READABLE FORM:

(A) MEDIUM TYPE: Floppy disk
(B) COMPUTER: IBM PC compatible
(C) OPERATING SYSTEM: PC-DOS/MS-DOS
(D) SOFTWARE: PatentIn Release #1.0, Version #1.25

(vi) CURRENT APPLICATION DATA:

(A) APPLICATION NUMBER: US
(B) FILING DATE:
(C) CLASSIFICATION:

(viii) ATTORNEY/AGENT INFORMATION:

(A) NAME: DiGiglio, Frank S.
(B) REGISTRATION NUMBER: 31,346
(C) REFERENCE/DOCKET NUMBER: 8085ZYX

-140-

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- (B) TELEFAX: (516) 742-4366
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(2) INFORMATION FOR SEQ ID NO:1:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 34 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: circular

SUBSTITUTE SHEET (RULE 26)

-141-

- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:
 CTCCCCGCCC TCNNNNNCTC CCACCCCTCN NNNN 34
- (2) INFORMATION FOR SEQ ID NO:2:
 (i) SEQUENCE CHARACTERISTICS:
 (A) LENGTH: 38 base pairs
 (B) TYPE: nucleic acid
 (C) STRANDEDNESS: single
 (D) TOPOLOGY: circular
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:
 TCTTTTTTCT TTTCNNNNNC TTTTCTTTTT TCTNNNNN 38
- (2) INFORMATION FOR SEQ ID NO:3:
 (i) SEQUENCE CHARACTERISTICS:
 (A) LENGTH: 36 base pairs
 (B) TYPE: nucleic acid
 (C) STRANDEDNESS: single
 (D) TOPOLOGY: circular
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:
 TCTTCCTCTC TCTATTTATC TCTCTCCTTC TATCGA 36
- (2) INFORMATION FOR SEQ ID NO:4:
 (i) SEQUENCE CHARACTERISTICS:
 (A) LENGTH: 36 base pairs
 (B) TYPE: nucleic acid
 (C) STRANDEDNESS: single
 (D) TOPOLOGY: circular
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:
 CTTTTCTTC CCTTCGATTC CCTTCCTTTT CCCGCC 36
- (2) INFORMATION FOR SEQ ID NO:5:
 (i) SEQUENCE CHARACTERISTICS:

SUBSTITUTE SHEET (RULE 26)

-142-

- (A) LENGTH: 34 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: both

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

TTTTTTCACA CTTTTTTTTT TTTCACACTT TTTT

34

(2) INFORMATION FOR SEQ ID NO:6:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 34 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: both

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:

TCITTCCACA CCTTCTTTT CTTCACACTT CTTT

34

(2) INFORMATION FOR SEQ ID NO:7:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 34 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: both

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:7:

TTTCITCACA CTTCTTTTCT TTCCACACCT TTCT

34

(2) INFORMATION FOR SEQ ID NO:8:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 12 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:8:

AAAAAAAAAA AA

12

-143-

(2) INFORMATION FOR SEQ ID NO:9:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 12 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

-144-

- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:9:
AAGAAAAGAA AG 12
- (2) INFORMATION FOR SEQ ID NO:10:
(i) SEQUENCE CHARACTERISTICS:
(A) LENGTH: 12 base pairs
(B) TYPE: nucleic acid
(C) STRANDEDNESS: single
(D) TOPOLOGY: linear
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:10:
CTTTCITTTT TC 12
- (2) INFORMATION FOR SEQ ID NO:11:
(i) SEQUENCE CHARACTERISTICS:
(A) LENGTH: 12 base pairs
(B) TYPE: nucleic acid
(C) STRANDEDNESS: single
(D) TOPOLOGY: linear
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:11:
AAAAAAAAAA AA 12
- (2) INFORMATION FOR SEQ ID NO:12:
(i) SEQUENCE CHARACTERISTICS:
(A) LENGTH: 12 base pairs
(B) TYPE: nucleic acid
(C) STRANDEDNESS: single
(D) TOPOLOGY: linear
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:12:
AAGAAAGAAA AG 12
- (2) INFORMATION FOR SEQ ID NO:13:
(i) SEQUENCE CHARACTERISTICS:

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-145-

- (A) LENGTH: 12 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

-146-

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:13:

AAGAAAGAAA AG

12

(2) INFORMATION FOR SEQ ID NO:14:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 34 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: both

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:14:

TTCTTCTCTT TCCACACCTT TCTATTCTTC ACAC

34

(2) INFORMATION FOR SEQ ID NO:15:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 12 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: double
- (D) TOPOLOGY: linear

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:15:

AAAAAAAAAA AA

12

(2) INFORMATION FOR SEQ ID NO:16:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 36 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:16:

TCTCTTTTTT TTTTTCTCTC TTTTTTTTTT TTTCTC

36

(2) INFORMATION FOR SEQ ID NO:17:

(i) SEQUENCE CHARACTERISTICS:

-147-

- (A) LENGTH: 13 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

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- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:17:
 AAAGAGAGAG AAA 13
- (2) INFORMATION FOR SEQ ID NO:18:
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 36 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: circular
 - (xi) SEQUENCE DESCRIPTION: SEQ ID NO:18:
 TTTTTTTTTT CTCTCTCTTT TTTTTTTTCT CTCTCT 36
- (2) INFORMATION FOR SEQ ID NO:19:
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 9 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear
 - (xi) SEQUENCE DESCRIPTION: SEQ ID NO:19:
 AGAGAGAGA 9
- (2) INFORMATION FOR SEQ ID NO:20:
 - (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 9 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear
 - (xi) SEQUENCE DESCRIPTION: SEQ ID NO:20:
 AAAAAAAAAA 9
- (2) INFORMATION FOR SEQ ID NO:21:
 - (i) SEQUENCE CHARACTERISTICS:

-149-

- (A) LENGTH: 33 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

-150-

- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:21:
CACAAAGAGAG AGAATCCCTA AAAAAAAAAA CAC 33
- (2) INFORMATION FOR SEQ ID NO:22:
(i) SEQUENCE CHARACTERISTICS:
(A) LENGTH: 9 base pairs
(B) TYPE: nucleic acid
(C) STRANDEDNESS: single
(D) TOPOLOGY: linear
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:22:
TCTCTCTCT 9
- (2) INFORMATION FOR SEQ ID NO:23:
(i) SEQUENCE CHARACTERISTICS:
(A) LENGTH: 9 base pairs
(B) TYPE: nucleic acid
(C) STRANDEDNESS: single
(D) TOPOLOGY: linear
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:23:
TTTTTTTTTT 9
- (2) INFORMATION FOR SEQ ID NO:24:
(i) SEQUENCE CHARACTERISTICS:
(A) LENGTH: 34 base pairs
(B) TYPE: nucleic acid
(C) STRANDEDNESS: single
(D) TOPOLOGY: circular
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:24:
UUUUUUUUUU UUCACACUUU UUUUUUUUUC ACAC 34
- (2) INFORMATION FOR SEQ ID NO:25:
(i) SEQUENCE CHARACTERISTICS:

-151-

- (A) LENGTH: 34 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: circular

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-152-

- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:25:
UUCUUUCUUU UCCACACCUU UUCUUUCUUC ACAC 34
- (2) INFORMATION FOR SEQ ID NO:26:
(i) SEQUENCE CHARACTERISTICS:
(A) LENGTH: 34 base pairs
(B) TYPE: nucleic acid
(C) STRANDEDNESS: single
(D) TOPOLOGY: circular
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:26:
TTCTTTCTTT TCCACACCTT TTCTTTCTTC ACAC 34
- (2) INFORMATION FOR SEQ ID NO:27:
(i) SEQUENCE CHARACTERISTICS:
(A) LENGTH: 34 base pairs
(B) TYPE: nucleic acid
(C) STRANDEDNESS: single
(D) TOPOLOGY: linear
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:27:
UUUCUUCACA CUUCUUUCUU DUCCACACCU UUUC 34
- (2) INFORMATION FOR SEQ ID NO:28:
(i) SEQUENCE CHARACTERISTICS:
(A) LENGTH: 12 base pairs
(B) TYPE: nucleic acid
(C) STRANDEDNESS: single
(D) TOPOLOGY: linear
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:28:
GAAAAGAAAAG AA 12
- (2) INFORMATION FOR SEQ ID NO:29:
(i) SEQUENCE CHARACTERISTICS:

-153-

- (A) LENGTH: 12 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

-154-

- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:29:
GAAAAGAAAG AA 12
- (2) INFORMATION FOR SEQ ID NO:30:
(i) SEQUENCE CHARACTERISTICS:
(A) LENGTH: 12 base pairs
(B) TYPE: nucleic acid
(C) STRANDEDNESS: single
(D) TOPOLOGY: linear
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:30:
CUUUUCUUUC UU 12
- (2) INFORMATION FOR SEQ ID NO:31:
(i) SEQUENCE CHARACTERISTICS:
(A) LENGTH: 12 base pairs
(B) TYPE: nucleic acid
(C) STRANDEDNESS: single
(D) TOPOLOGY: circular
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:31:
TTCTTTCTTT TC 12
- (2) INFORMATION FOR SEQ ID NO:32:
(i) SEQUENCE CHARACTERISTICS:
(A) LENGTH: 12 base pairs
(B) TYPE: nucleic acid
(C) STRANDEDNESS: single
(D) TOPOLOGY: linear
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:32:
AGAGAGAAGA GG 12
- (2) INFORMATION FOR SEQ ID NO:33:
(i) SEQUENCE CHARACTERISTICS:

-155-

- (A) LENGTH: 12 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: circular

-156-

- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:33:
TCTCTCTTCT CC 12
- (2) INFORMATION FOR SEQ ID NO:34:
(i) SEQUENCE CHARACTERISTICS:
(A) LENGTH: 12 base pairs
(B) TYPE: nucleic acid
(C) STRANDEDNESS: single
(D) TOPOLOGY: linear
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:34:
ATAAGGAAGA AG 12
- (2) INFORMATION FOR SEQ ID NO:35:
(i) SEQUENCE CHARACTERISTICS:
(A) LENGTH: 12 base pairs
(B) TYPE: nucleic acid
(C) STRANDEDNESS: single
(D) TOPOLOGY: circular
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:35:
TATTCCTTCT TC 12
- (2) INFORMATION FOR SEQ ID NO:36:
(i) SEQUENCE CHARACTERISTICS:
(A) LENGTH: 34 base pairs
(B) TYPE: nucleic acid
(C) STRANDEDNESS: single
(D) TOPOLOGY: circular
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:36:
TCTCTCTTCT CCNNNNNCCT CTTCTCTCTN NNNN 34
- (2) INFORMATION FOR SEQ ID NO:37:
(i) SEQUENCE CHARACTERISTICS:

-157-

- (A) LENGTH: 34 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: circular

-158-

- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:37:
TGTTTCCTTCT TCNNNNNCTT CTCCTTATN NNNN 34
- (2) INFORMATION FOR SEQ ID NO:38:
- (i) SEQUENCE CHARACTERISTICS:
- (A) LENGTH: 12 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:38:
AAGANAAGAA AG 12
- (2) INFORMATION FOR SEQ ID NO:39:
- (i) SEQUENCE CHARACTERISTICS:
- (A) LENGTH: 12 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:39:
AAGAAAANAA AG 12
- (2) INFORMATION FOR SEQ ID NO:40:
- (i) SEQUENCE CHARACTERISTICS:
- (A) LENGTH: 36 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:40:
GGACTCTATC AGAAGAAAAG AAAGGGACTC TATCAG 36
- (2) INFORMATION FOR SEQ ID NO:41:
- (i) SEQUENCE CHARACTERISTICS:

-159-

- (A) LENGTH: 34 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: circular

-160-

- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:41:
TTTTCTTTTC CCCTTTCCCC TTTTCTTTTA TCGA 34
- (2) INFORMATION FOR SEQ ID NO:42:
- (i) SEQUENCE CHARACTERISTICS:
- (A) LENGTH: 27 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:42:
CUAGAAGGAG AGAGAUGGGU GCGAGAG 27
- (2) INFORMATION FOR SEQ ID NO:43:
- (i) SEQUENCE CHARACTERISTICS:
- (A) LENGTH: 21 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:43:
AUGGAAAAGG AAGGGAAA U 21
- (2) INFORMATION FOR SEQ ID NO:44:
- (i) SEQUENCE CHARACTERISTICS:
- (A) LENGTH: 24 base pairs
 - (B) TYPE: nucleic acid
 - (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO:44:
UUUUAAAAGA AAAGGGGGGA CUGG 24

WHAT IS CLAIMED:

1

1. A single-stranded circular oligonucleotide comprising at least one of a parallel binding (P) domain and an anti-parallel binding (AP) domain and further
5 comprising at least one loop domain wherein said P and AP domain have sufficient complementarity to bind detectably to one strand of a defined nucleic acid target wherein said P domain is capable of binding in a
10 parallel manner to said target, and said AP domain is capable of binding in an anti-parallel manner to said target, and wherein the ends of said P and AP domains are separated by said loop domains.

2. The oligonucleotide of Claim 1 wherein a
15 nucleotide sequence for a sufficient number of positions in said P domain and in said AP domain to achieve detectable binding is determined from the sequence of a target of known nucleotide sequence, and wherein for a
20 sufficient number of positions in said P domain:

when a base for a position in said target is guanine or a guanine analog, then P has cytosine, or a suitable analog thereof, in a corresponding position;

when a base for a position in said target is
25 adenine, or an adenine analog then P has thymine or uracil, or suitable analogs thereof, in a corresponding position;

when a base for a position in said target is
30 thymine, or a thymine analog, then P has cytosine or

35

1 guanine, or suitable analogs thereof, in a corresponding
position;

5 when a base for a position in said target is
cytosine, or a cytosine analog, then P has cytosine,
thymine or uracil, or suitable analogs thereof, in a
corresponding position; and

10 when a base for a position in said target is
uracil, or a uracil analog, then P has cytosine,
guanine, thymine or uracil, or suitable analogs thereof,
in a corresponding position;

and for a sufficient number of positions in
said AP domain:

15 when a base for a position in said target is
guanine, or a guanine analog, then AP has cytosine or
uracil, or suitable analogs thereof, in a corresponding
position;

20 when a base for a position in said target is
adenine, or an adenine analog, then AP has thymine or
uracil, or suitable analogs thereof, in a corresponding
position;

when a base for a position in said target is
thymine, or a thymine analog, then AP has adenine, or a
suitable analog thereof, in a corresponding position;

25 when a base for a position in said target is
cytosine, or a cytosine analog, then AP has a guanine,
or a suitable analog thereof, in corresponding position;
and

30 when a base for a position in said target is
uracil, or a uracil analog, then AP has adenine or

1 guanine, or suitable analogs thereof, in a corresponding
position;

5 wherein said sufficient number of positions is
that number of positions to provide sufficient
complementarity for said oligonucleotide to bind
detectably to said target.

3. The oligonucleotide of Claim 1 or 2
wherein said target, said P domain and said AP domain
independently comprise from about 2 to about 200
10 nucleotides.

4. The oligonucleotide of Claim 3 wherein
said target, said P domain and said AP domain
independently comprise from about 6 to about 36
nucleotides.

15 5. The oligonucleotide of Claim 1 or 2
wherein each loop domain independently comprises from
about 2 to about 2000 nucleotides.

20 6. The oligonucleotide of Claim 5 wherein
each loop domain independently comprises from about 3 to
about 8 nucleotides.

7. The oligonucleotide of Claim 1 or 2
wherein said target is single stranded or double
stranded.

25 8. The oligonucleotide of Claim 1 or 2
wherein said target is RNA or DNA.

30 9. The oligonucleotide of Claim 1 or 2
wherein said target is a domain contained in a nucleic
acid template.

10. The oligonucleotide of Claim 1 or 2
1 wherein said P domain and said AP domain bind to said
target in a staggered binding arrangement.

11. The oligonucleotide of Claim 1 or 2
5 wherein sufficient complementarity is at least about 50%
complementarity.

12. The oligonucleotide of Claim 11 wherein
sufficient complementarity is about 30% to about 40%
complementarity.

10 13. The oligonucleotide of Claim 1 or 2
wherein said oligonucleotide comprises DNA, RNA or both
DNA and RNA.

14. The oligonucleotide of Claim 2 wherein a
suitable analog of cytosine is 5-methylcytosine.

15 15. The oligonucleotide of Claim 2 wherein a
suitable analog of uracil is 5-methyluracil.

16. The oligonucleotide of Claim 2 wherein a
suitable analog of adenine is diaminopurine.

20 17. The oligonucleotide of Claim 1 or 2
wherein at least one nucleotide has a 2'-O-methylribose
in place of ribose or deoxyribose.

18. The oligonucleotide of Claim 1 or 2
wherein said oligonucleotide further comprises a ligand
25 for a cellular receptor, cholesterol group, an aryl
group, a steroid group or a polycation.

19. The oligonucleotide of Claim 1 or 2
wherein said oligonucleotide further comprises a drug or
a drug analog.

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20. The oligonucleotide of Claim 1 or 2
1 wherein said loop domains comprise non-nucleotide loop
domains.

21. The oligonucleotide of Claim 20 wherein
5 said non-nucleotide loop domains are polyethylene
glycol.

22. The oligonucleotide of Claim 21 wherein
said polyethylene glycol is pentaethylene glycol,
hexaethylene glycol or heptaethylene glycol.

23. The oligonucleotide of Claim 1 or 2
10 wherein said oligonucleotide further comprises at least
one methylphosphonate, phosphorothioate,
phosphorodithioate, phosphotriester, siloxane,
carbonate, acetamidate, thioether or phosphorus-boron
15 linkage.

24. The oligonucleotide of Claim 1 or 2
wherein said oligonucleotide further comprises a
reporter molecule.

25. The oligonucleotide of Claim 1 or 2
20 wherein said oligonucleotide comprises one P binding
domain, one corresponding AP binding domain and two loop
domains wherein said loop domains separate said P
binding domain from said AP binding domain.

26. The oligonucleotide of Claim 25 wherein
25 said P domain is DNA and said AP domain is RNA.

27. The oligonucleotide of Claim 25 wherein
said P domain is RNA and said AP domain is DNA.

30

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28. The oligonucleotide of Claim 25 wherein
1 said P and AP domains are RNA and said loop domains are
DNA.

29. The oligonucleotide of Claim 25 wherein
5 said P and AP domains are DNA.

30. The oligonucleotide of Claim 1 or 2
wherein said oligonucleotide comprises 2-5 pairs of
corresponding P and AP binding domains.

31. The oligonucleotide of Claim 1 or 2
10 wherein said oligonucleotide comprises a first pair of
corresponding P and AP binding domains and a second pair
of corresponding P and AP binding domains,

wherein said second pair of corresponding P
and AP binding domains each constitute at least part of
15 a loop domain separating the P binding domain and the AP
binding domain of said first pair when said first pair
of binding domains are sufficiently complementary to
bind to a first target nucleic acid, and

wherein said first pair of corresponding P and
20 AP binding domains each constitute at least part of a
loop domain separating the P binding domain and the AP
binding domain of said second pair of binding domains
when said second pair of binding domains are
25 sufficiently complementary to bind to a second target.

32. The oligonucleotide of Claim 31 wherein
said first pair of P and AP domains each comprise 2-20
cytosines while said second pair of P and AP binding
domains each comprise no more than 1 cytosine.
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33. A single-stranded circular
1 oligonucleotide comprising at least one parallel binding
(P) domain and at least one anti-parallel binding (AP)
domain, wherein each P and AP domain has sufficient
complementarity to bind detectably to one strand of a
5 defined nucleic acid target and wherein said P domain
binds in a parallel manner to said target, and a
corresponding AP domain binds in an anti-parallel manner
to said target.

10 34. A single-stranded circular
oligonucleotide comprising a parallel binding (P) domain
wherein said P domain has sufficient complementarity to
bind detectably to one strand of a defined nucleic acid
target in a parallel manner to said target.

15 35. A single-stranded circular
oligonucleotide comprising an anti-parallel binding (AP)
domain wherein said AP domain has sufficient
complementarity to bind detectably to one strand of a
defined nucleic acid target in a parallel manner to said
20 target.

36. A single-stranded circular
oligonucleotide comprising a Hoogsteen anti-parallel
(HAP) domain wherein said HAP domain has sufficient
25 complementarity to bind detectably to one strand of a
duplex nucleic acid target in an anti-parallel manner to
said target strand.

37. A complex formed between the
oligonucleotide of Claim 1 or 2 and a target.
30

38. A method of regulating biosynthesis of a
1 DNA, an RNA or a protein which comprises:

contacting a nucleic acid template for said
DNA, said RNA or said protein with at least one
oligonucleotide of Claim 1, 2 or 36 under conditions
5 sufficient to permit binding of said oligonucleotide to
a target sequence contained within said template.

39. The method of Claim 38 wherein said
template comprises a single-stranded or a double-
10 stranded nucleic acid.

40. The method of Claim 38 wherein said
biosynthesis comprises at least one of DNA replication,
DNA reverse transcription, RNA transcription, RNA
splicing, RNA polyadenylation, RNA translocation and
15 protein translation.

41. The method of Claim 40 wherein said
template for said DNA replication is an RNA template or
a DNA template.

42. The method of Claim 38 wherein said
20 oligonucleotide has more than one AP domain, each AP
domain binding a separate target within said template.

43. The method of Claim 38 wherein said
oligonucleotide has more than one P domain, each P
25 domain binding a separate target within said template.

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44. The method of Claim 41 wherein said
1 target of said oligonucleotide for regulating said DNA
replication is an origin of replication or a primer
binding site.

5 45. The method of Claim 40 wherein said
target of said oligonucleotide for regulating said DNA
reverse transcription is a primer binding site, a site
in a retroviral genome, or a site in an mRNA.

10 46. The method of Claim 40 wherein said
target of said oligonucleotide for regulating said RNA
transcription is a promoter, a repressor binding site,
an operator, an enhancer, a transcription regulatory
element or a site in an mRNA encoding region.

15 47. The method of Claim 40 wherein said
target of said oligonucleotide for regulating said RNA
splicing is at least one of a 5' splice junction, an
intron branch point or a 3' splice junction.

20 48. The method of Claim 40 wherein said
target of said oligonucleotide for regulating said RNA
polyadenylation is a polyadenylation site.

49. The method of Claim 40 wherein said
target of said oligonucleotide for regulating said RNA
translocation is a poly(A) tail.

25 50. The method of Claim 40 wherein said
template for said protein translation is an mRNA
template.

30 51. The method of Claim 50 wherein said
target of said template is a ribosome binding site, a 5'
mRNA cap or a site in a protein coding region.

52. The method of Claim 38 wherein said
1 template is a viral DNA or RNA template.

53. The method of Claim 50 wherein said
oligonucleotide has a nucleotide sequence of SEQ ID NO:
3 or SEQ ID NO: 4.

5 54. A method of specific cell type drug
delivery comprising administering to an animal said drug
covalently linked to an oligonucleotide of Claim 1, 2 or
36.

10 55. A method of detecting a target nucleic
acid which comprises:

contacting a sample to be tested for
containing said target nucleic acid with a circular
oligonucleotide of Claim 1, 2 or 36 for a time and under
15 conditions sufficient to form an oligonucleotide-target
complex; and detecting said complex.

56. The method of Claim 55 wherein said
target nucleic acid comprises a single-stranded or a
double-stranded nucleic acid target.

20 57. The method of Claim 55 wherein said
sample comprises a pure or impure nucleic acid sample, a
tissue section, a cell smear or a chromosomal squash.

58. The method of Claim 55 wherein said
25 complex is detected by a fluorescence energy transfer
assay.

59. A method for selectively binding the
oligonucleotide of Claim 32 to said first target which
comprises contacting said oligonucleotide with said
30 first target and said second target at a pH of about 5.0

to about 6.8 under conditions sufficient for nucleic
1 acid hybridization, wherein said first target is
guanine-rich.

60. The method of Claim 59 wherein said
5 guanine-rich first target has 2-20 guanines and said
second target has no more than one guanine.

61. The method of Claim 59 wherein said pH is
about 5.5.

62. The method of Claim 38 wherein 2-5 of
10 said oligonucleotide are administered, each
oligonucleotide binding a separate target within said
template.

63. A method for selectively regulating the
biosynthesis of a DNA, an RNA or a protein in a targeted
15 mammalian tumor cell in vivo, without substantially
altering the biosynthesis of said DNA, said RNA or said
protein in a non-targeted cell which comprises,
administering the oligonucleotide of Claim 32 to the
mammal, wherein said first target is a guanine-rich
20 target present within a template nucleic acid for said
DNA, said RNA or said protein, and said second target is
a guanine-poor target which is not present within said
template.

64. A kit for detection or diagnosis of a
25 target nucleic acid, comprising:

at least one first container providing a
circular oligonucleotide of Claim 1, 2 or 36.

30

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65. A kit for isolation of a template nucleic
1 acid, comprising at least one first container providing
a circular oligonucleotide of Claim 1, 2 or 36.

66. The kit of Claim 65 wherein said template
5 is poly (A)⁺ mRNA.

67. A pharmaceutical composition for
regulating biosynthesis of a nucleic acid or protein
comprising a biosynthesis regulating amount of at least
one of the oligonucleotides of Claim 1, 2 or 36 and a
10 pharmaceutically acceptable carrier.

68. A precircle for synthesizing the
oligonucleotide of Claim 1 or 2.

15

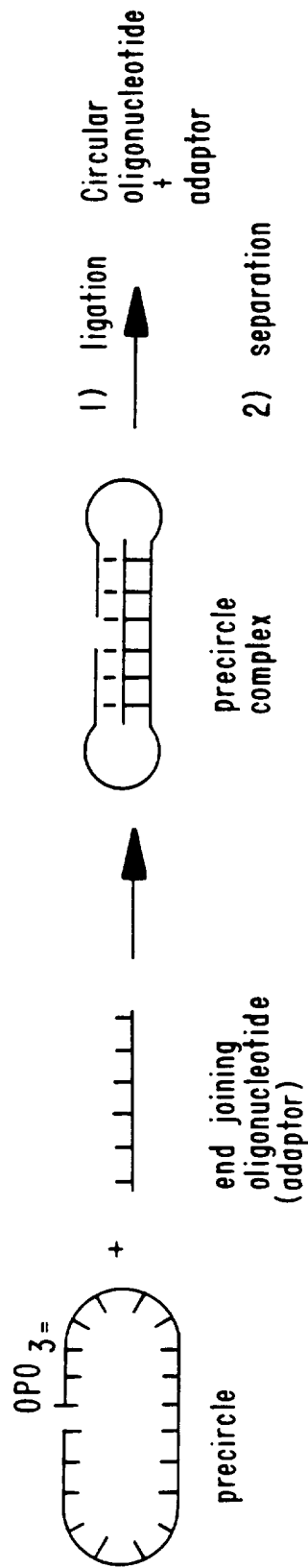
20

25

30

35

FIG.1



Precircles (1-3), Targets (4-5), Linear Oligonucleotides (9) and Circles (6-8) used in Experiments

- 1 5'-TTTTTTCACACTTTTTTTTTTTTTCACACTTTTTT (SEQ ID NO: 5)
- 2 5'-TCTTCCACACCTTTCTTTTCTTCCACACTTCTTT (SEQ ID NO: 6)
- 3 5'-TTTCTTACACTTCTTTTCTTCCACACCTTTCT (SEQ ID NO: 7)
- 4 5'-AAAAAAAAAAAA (SEQ ID NO: 8)
- 5 5'-AAGAAAAGAAAG (SEQ ID NO: 9)

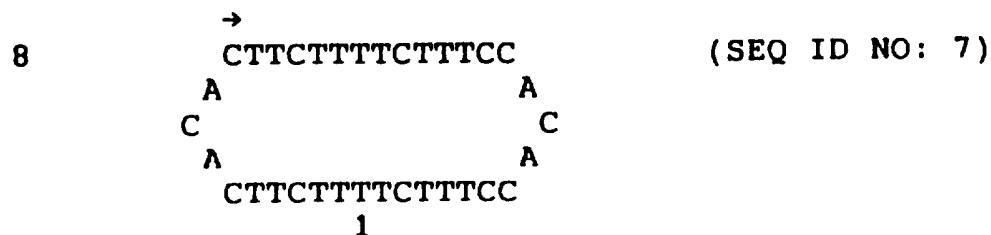
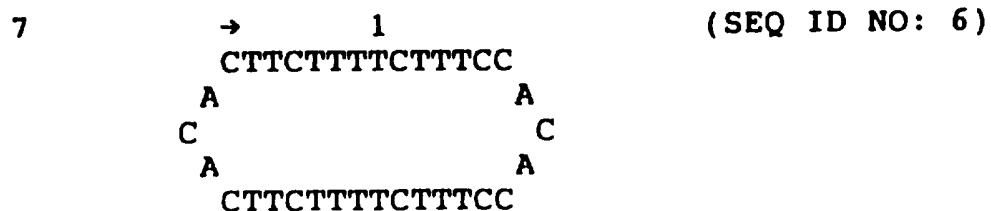
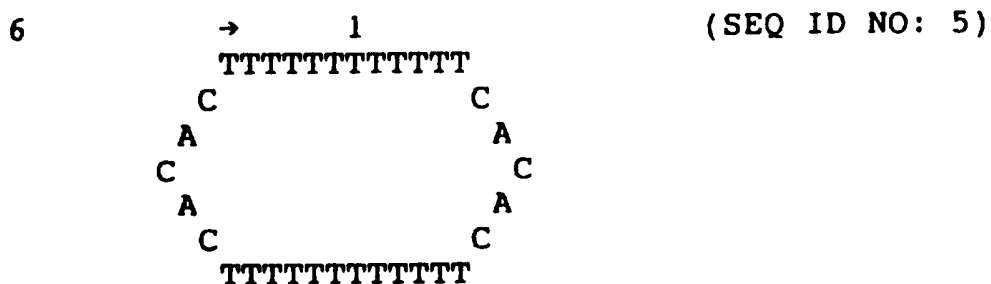


FIG.2A


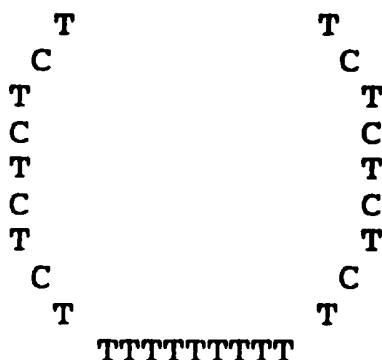
- 9 5'-CTTTCTTTTCTT (SEQ ID NO: 10)
- 10 5'-AAAAAAAAAAAA (SEQ ID NO: 11)
- 11 5'-AAGAAAGAAAAG (SEQ ID NO: 12)
- 12 5'-AAGAAAGAAAAG (SEQ ID NO: 13)
- 13 1→ (SEQ ID NO: 14)
 TTCTTCTCTTTC

 TTCTTATCTTTC
- 14 5'-AAAAAAAAAAAA (SEQ ID NO: 15)
 3'-TTTTTTTTTTTT
- 15 5'-TCTCTTTTTTTTTTTCTCTCTTTTTTTTTTTTCTC (SEQ ID NO: 16)
- 16 5'-AAAGAGAGAGAAA (SEQ ID NO: 17)
- 17 1→ (SEQ ID NO: 18)
 TTTTTTTTTT

 TTTTTTTTTT
- 18 5'-AGAGAGAGA (SEQ ID NO: 19)
- 19 5'-AAAAAAAAA (SEQ ID NO: 20)
- 20 5'-CACAAAGAGAGAGAATCCCTAAAAAAAAAAAAACAC (SEQ ID NO: 21)
- 21 5'-TCTCTCTCT (SEQ ID NO: 22)
- 22 5'-TTTTTTTTT (SEQ ID NO: 23)

FIG. 2B
 SUBSTITUTE SHEET (RULE 26)

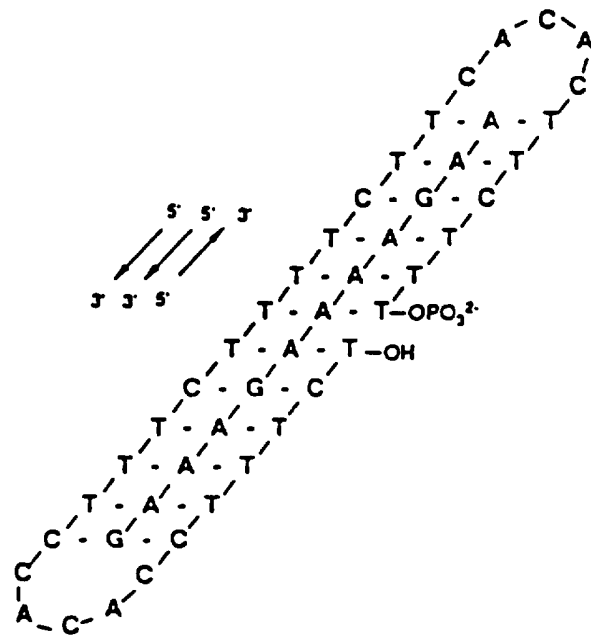
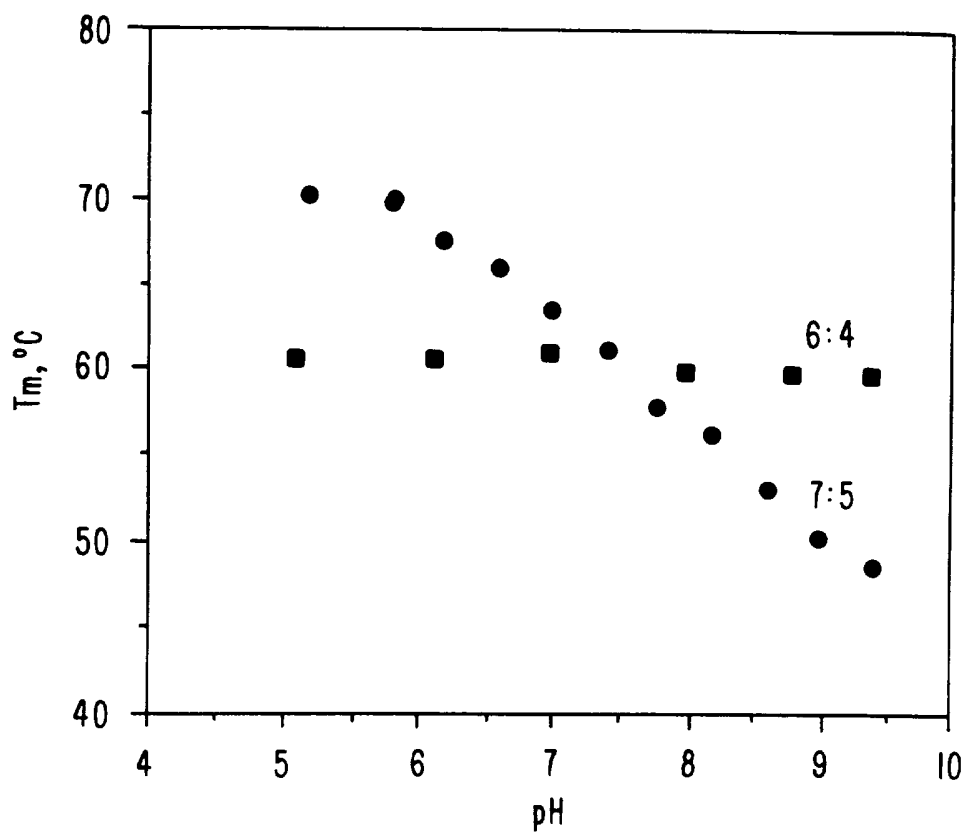


FIG. 3

SUBSTITUTE SHEET (RULE 26)

FIG.4



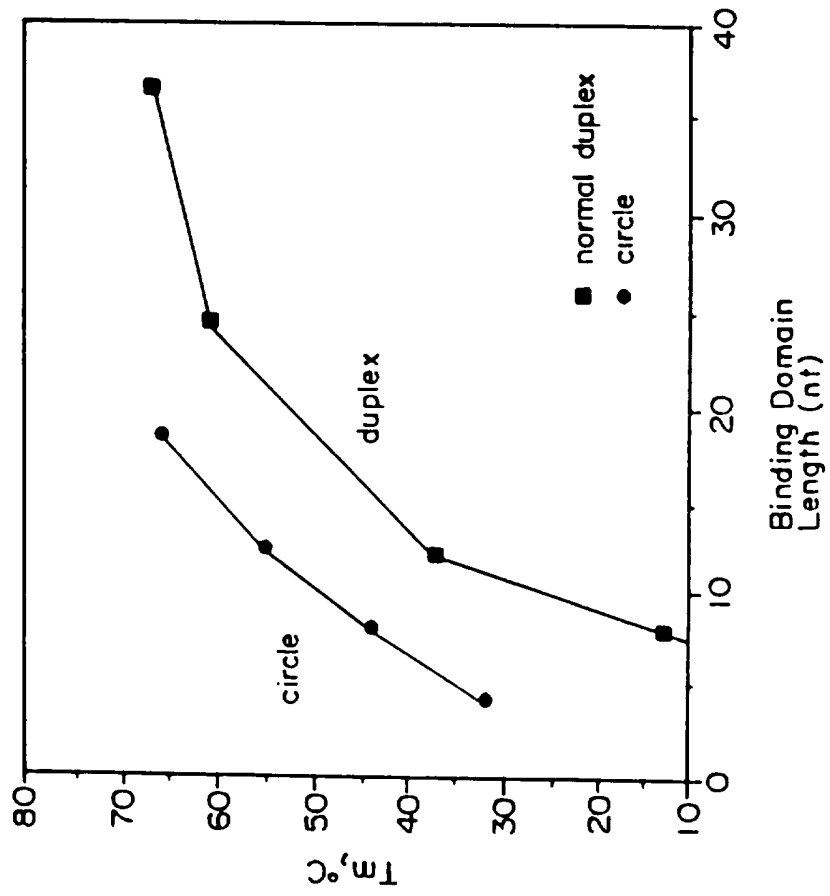


FIG.5B

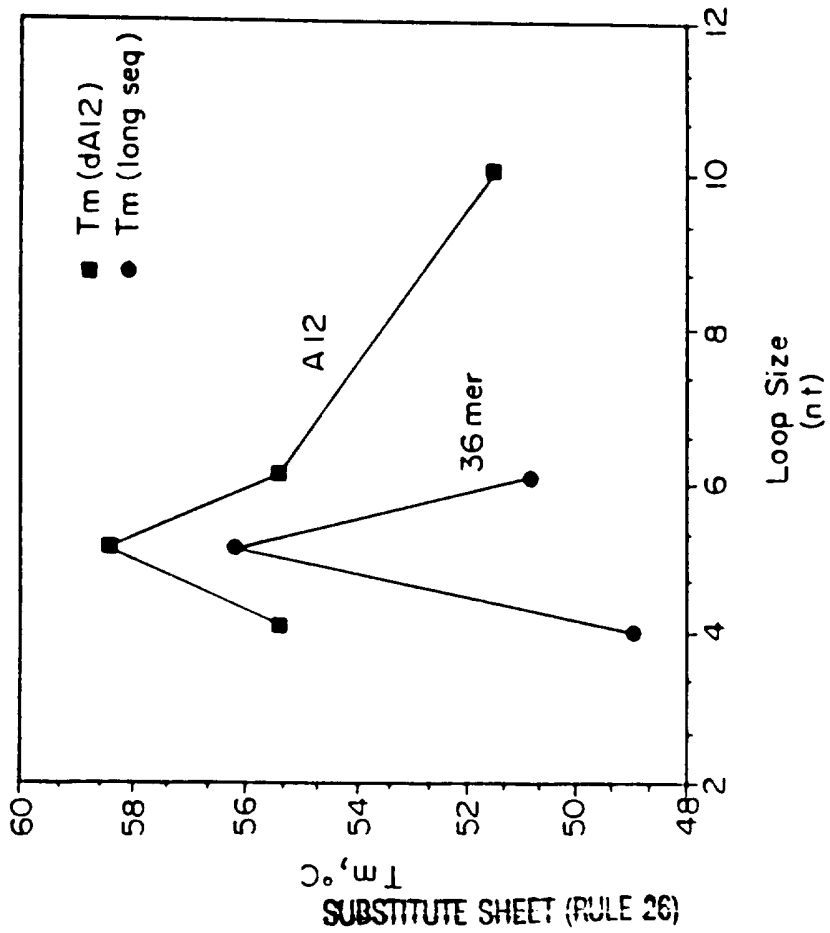


FIG.5A

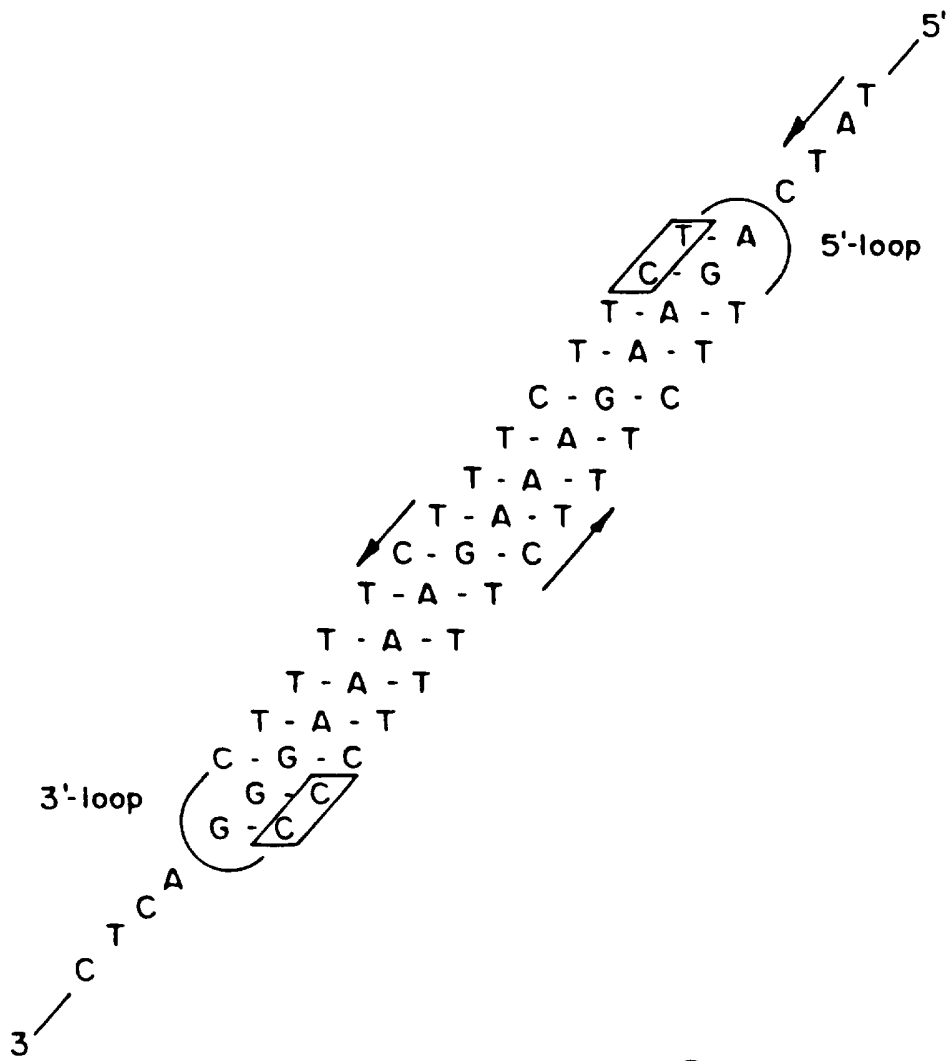


FIG.6

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FIG.7A

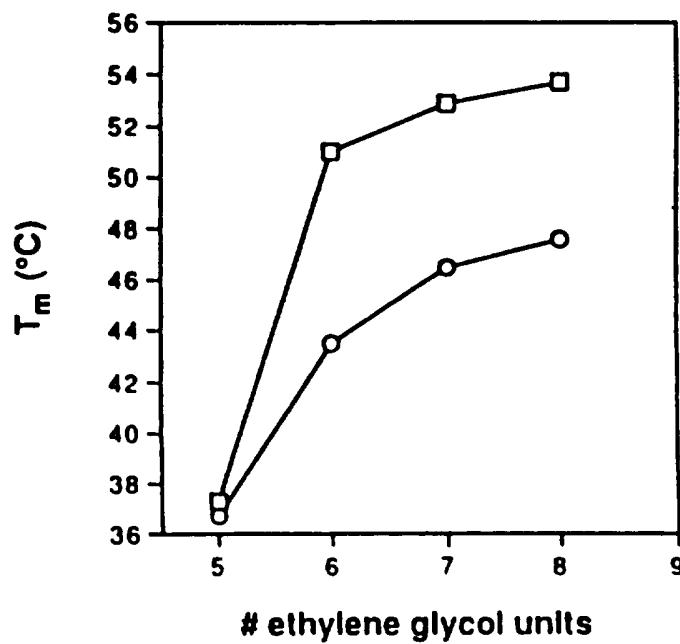


FIG.7B

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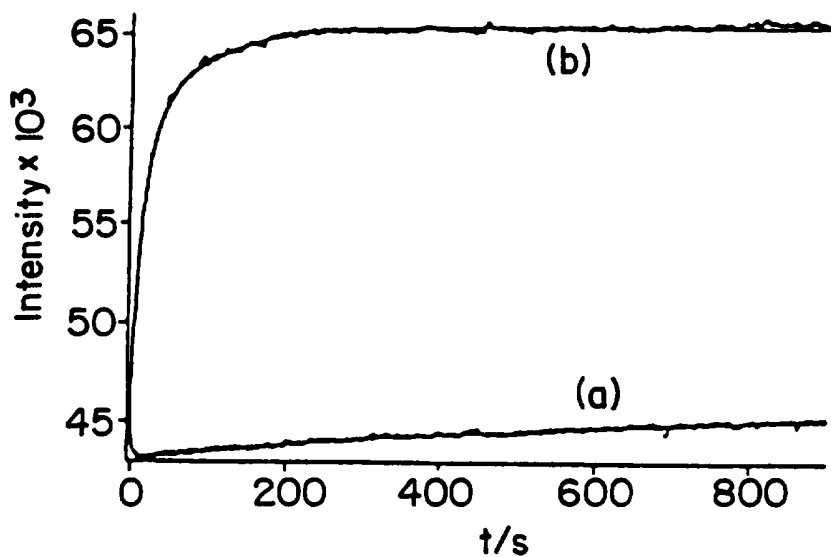


FIG.8

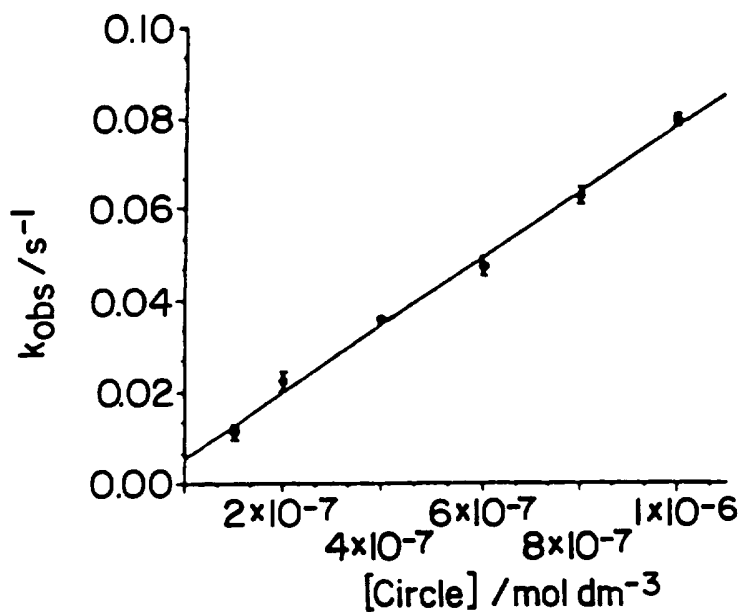


FIG.9

FIG. 10A

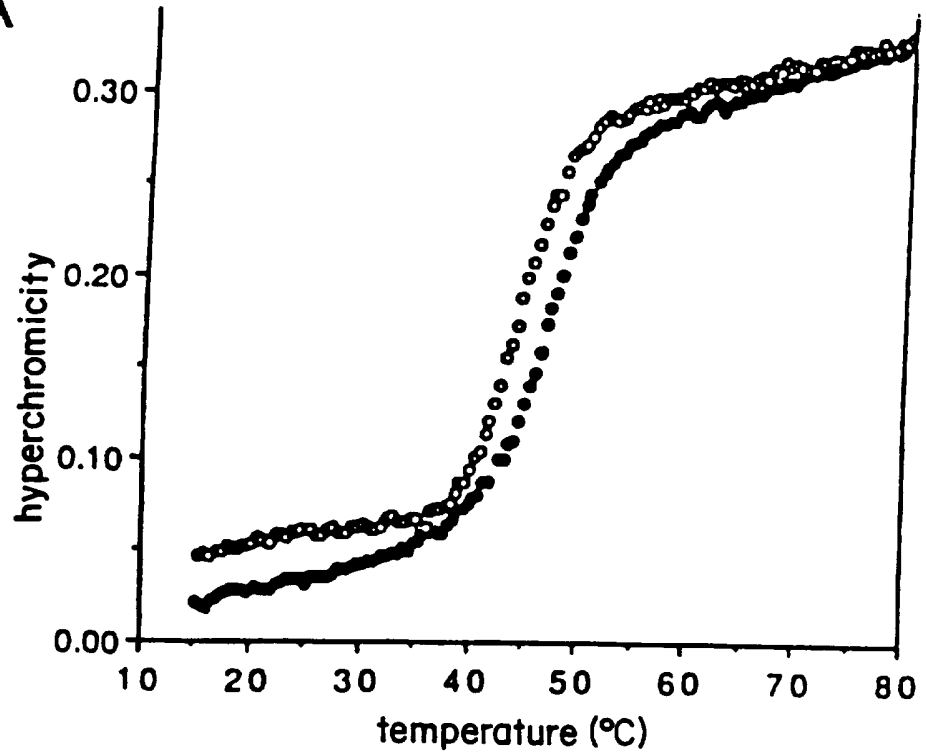


FIG. 10B

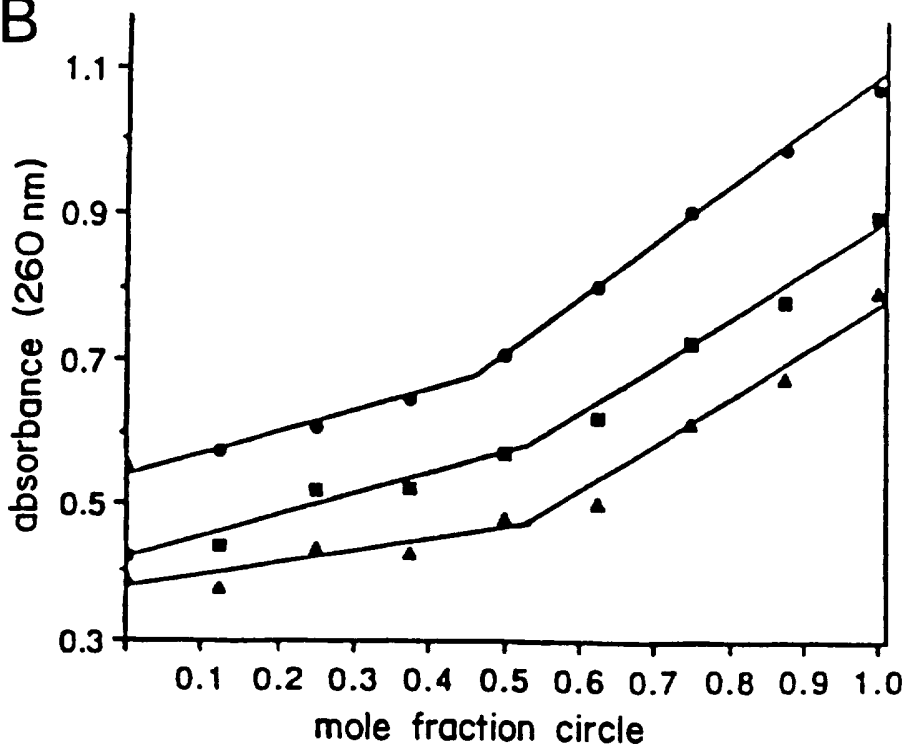


FIG. 11A

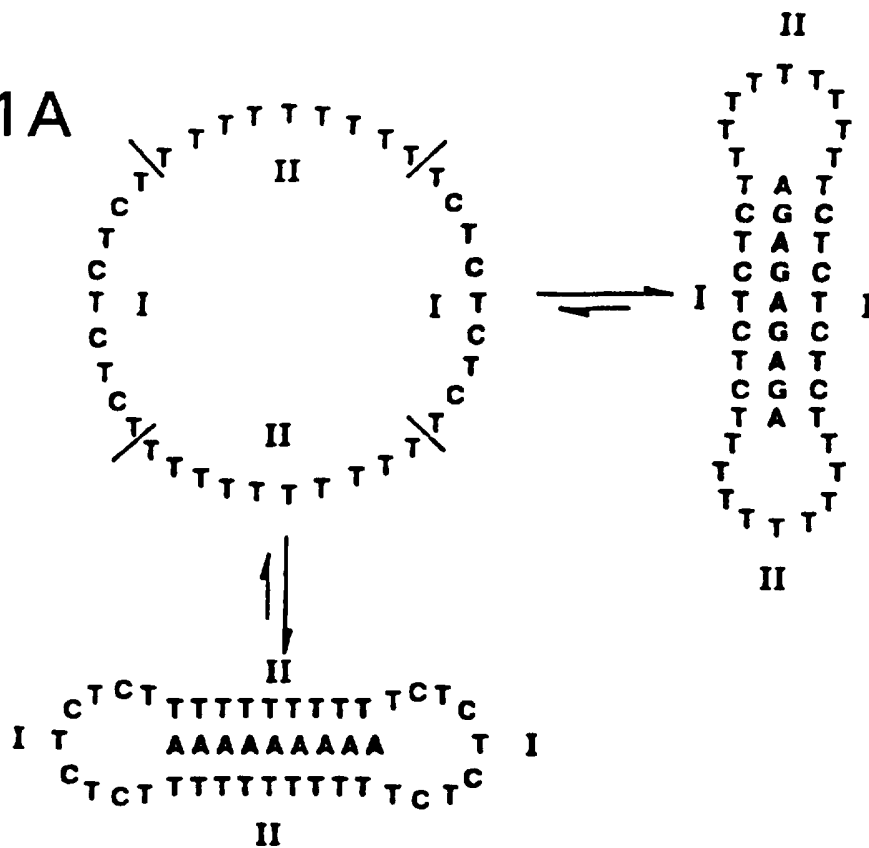
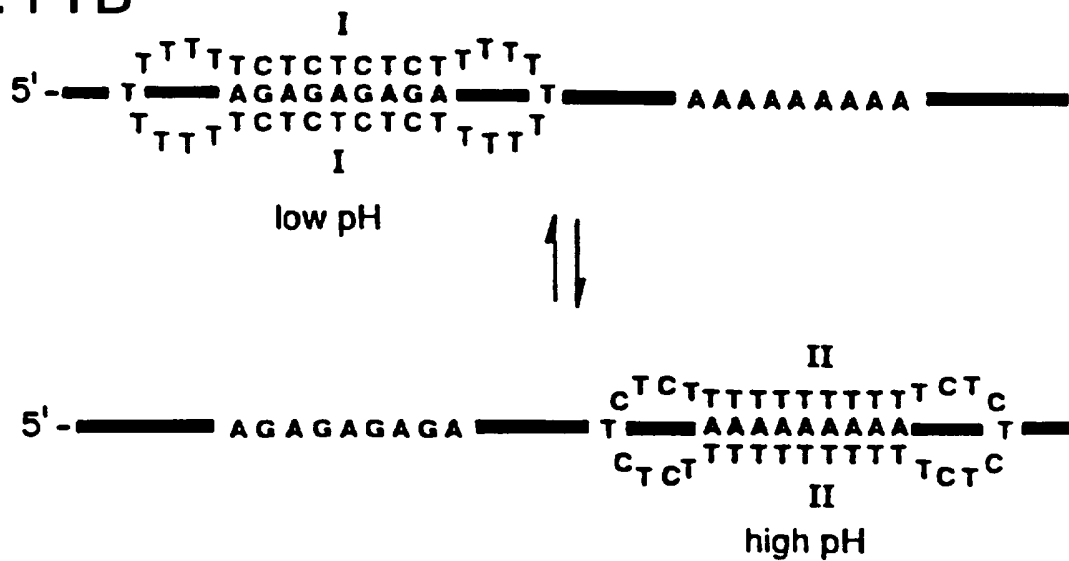


FIG. 11B



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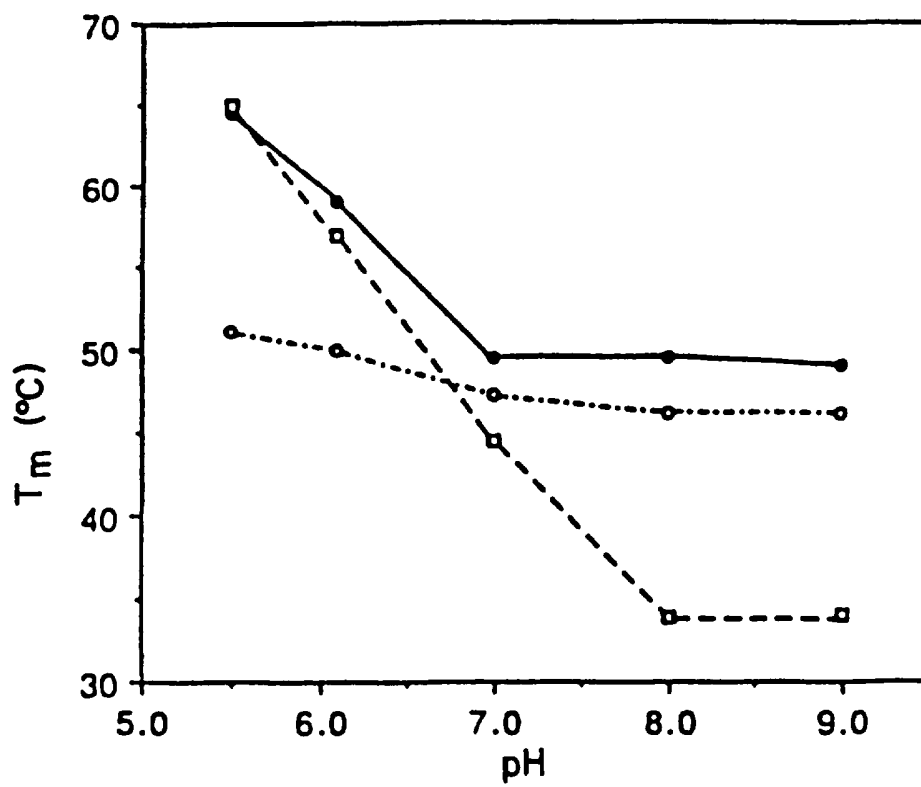


FIG.12

FIG.13A

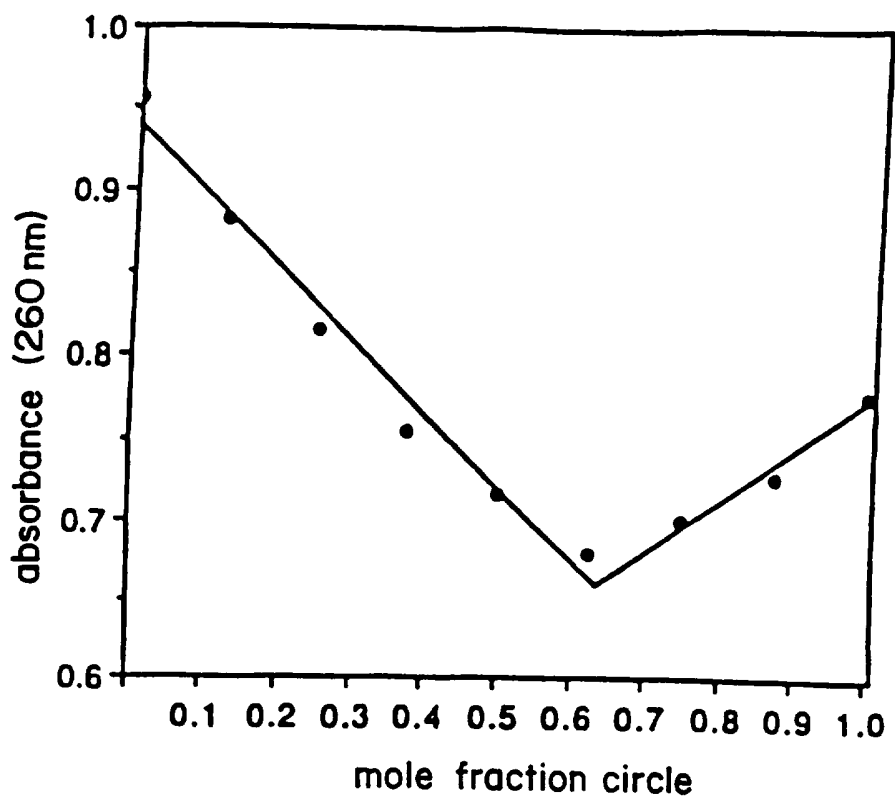


FIG.13B

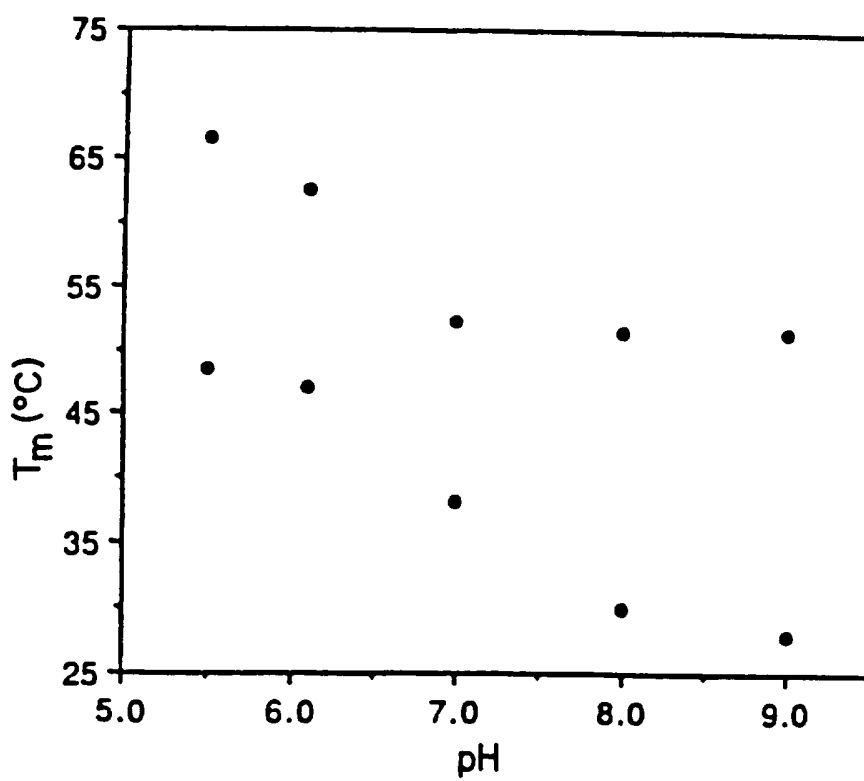


FIG. 14A

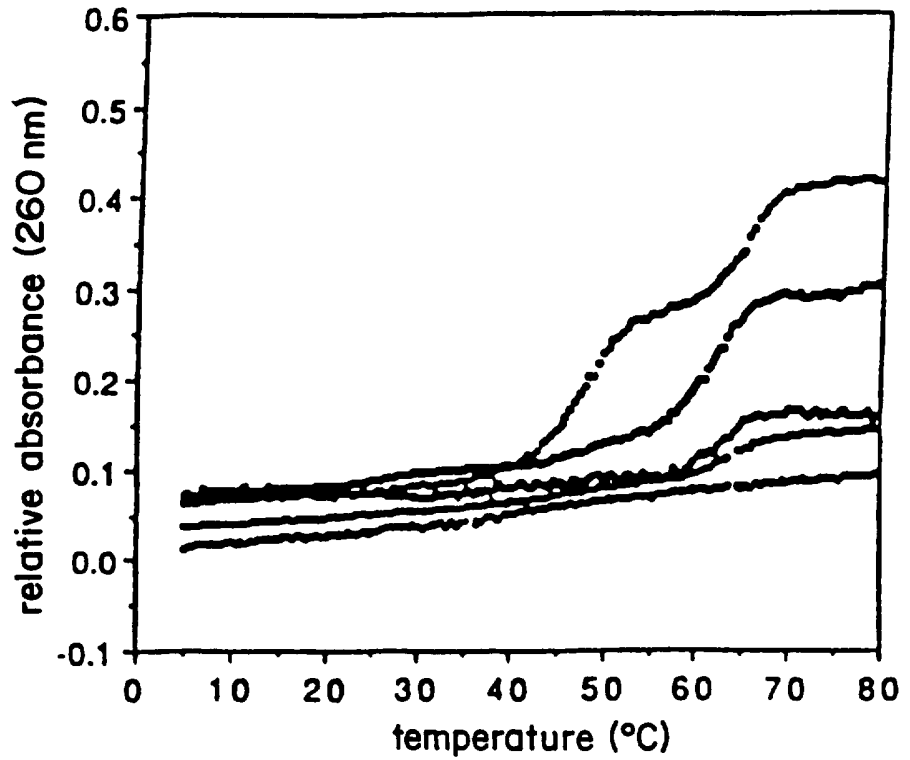
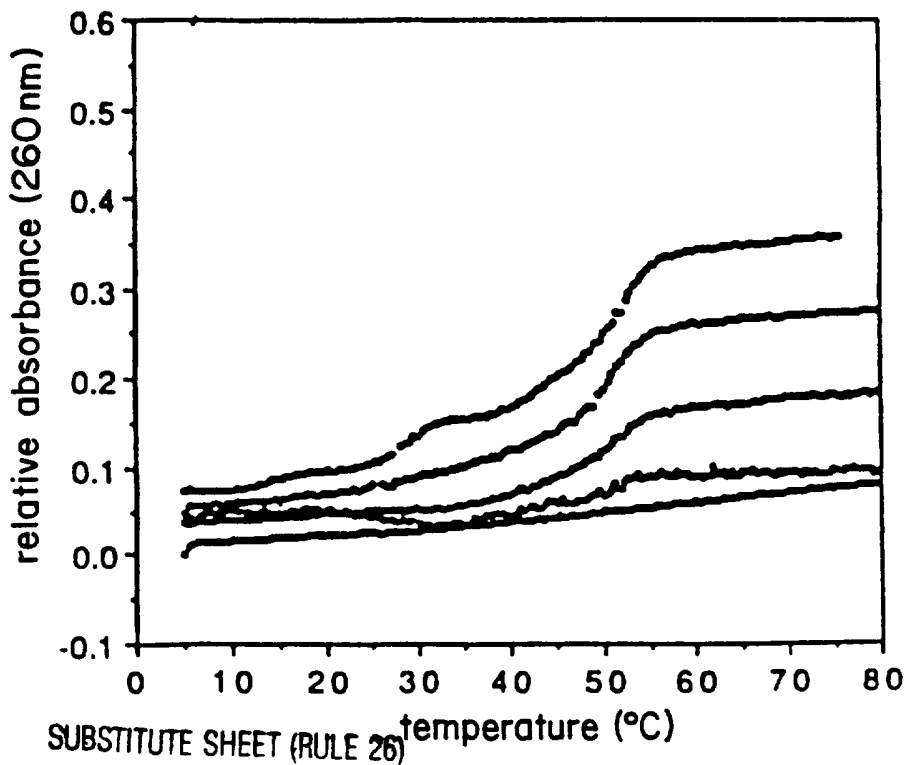


FIG. 14B



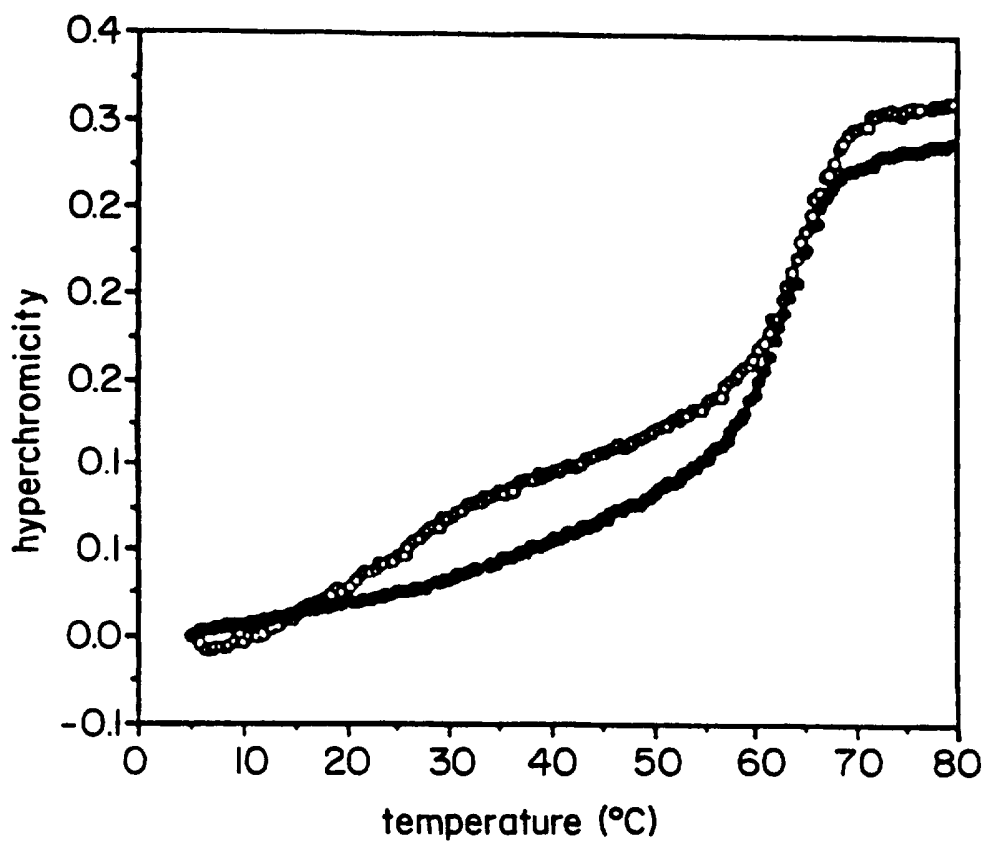
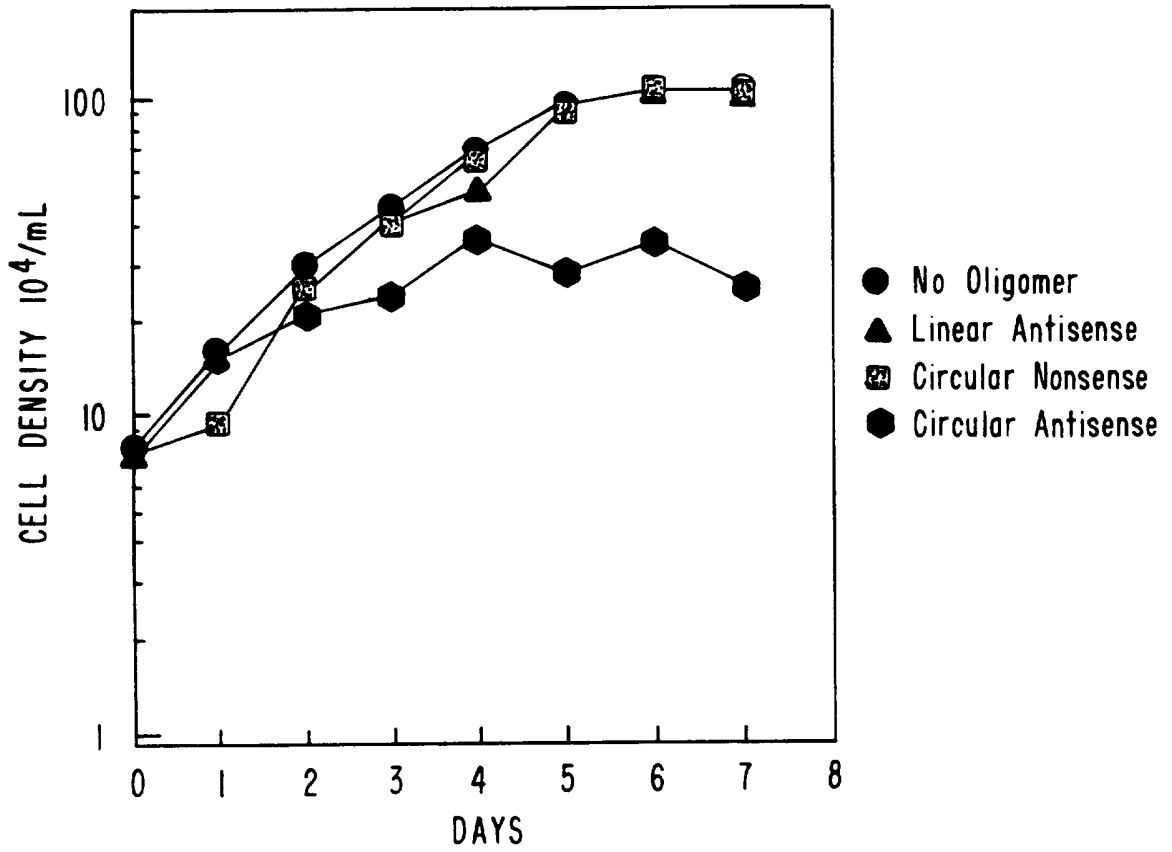


FIG. 15

FIG. 16



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FIG. 17

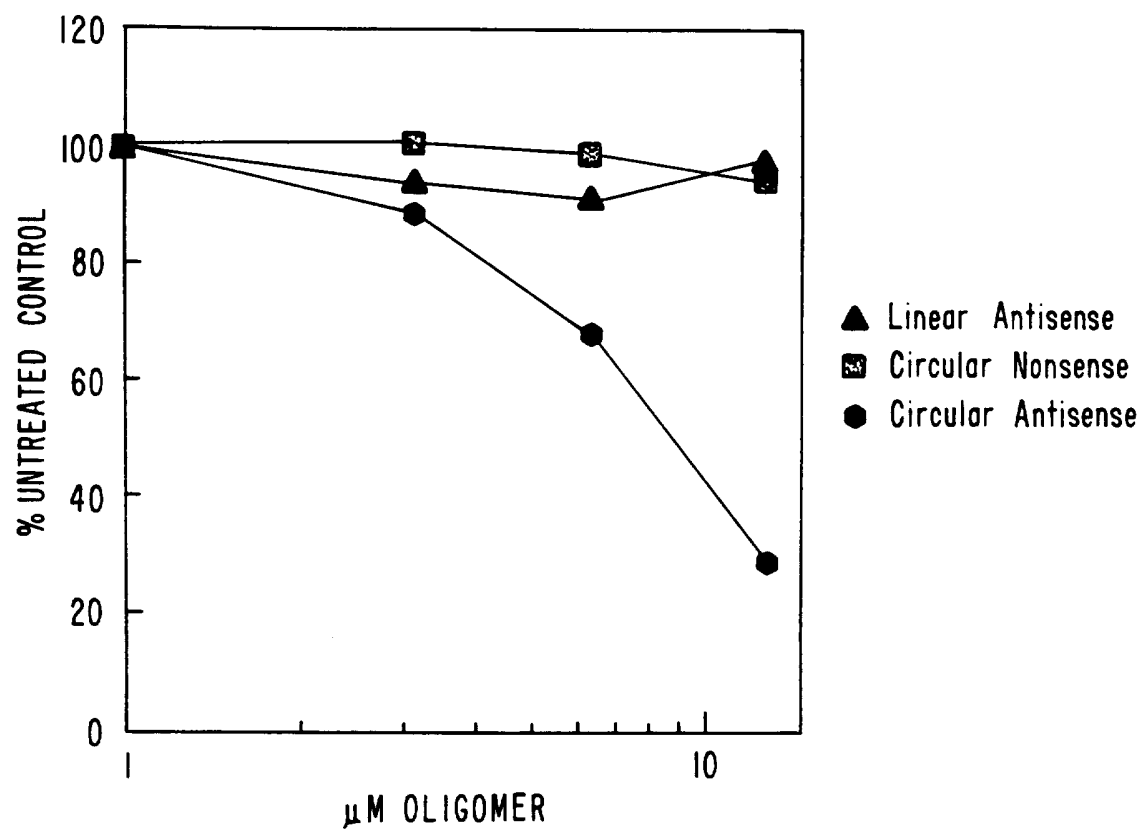


FIG. 18A

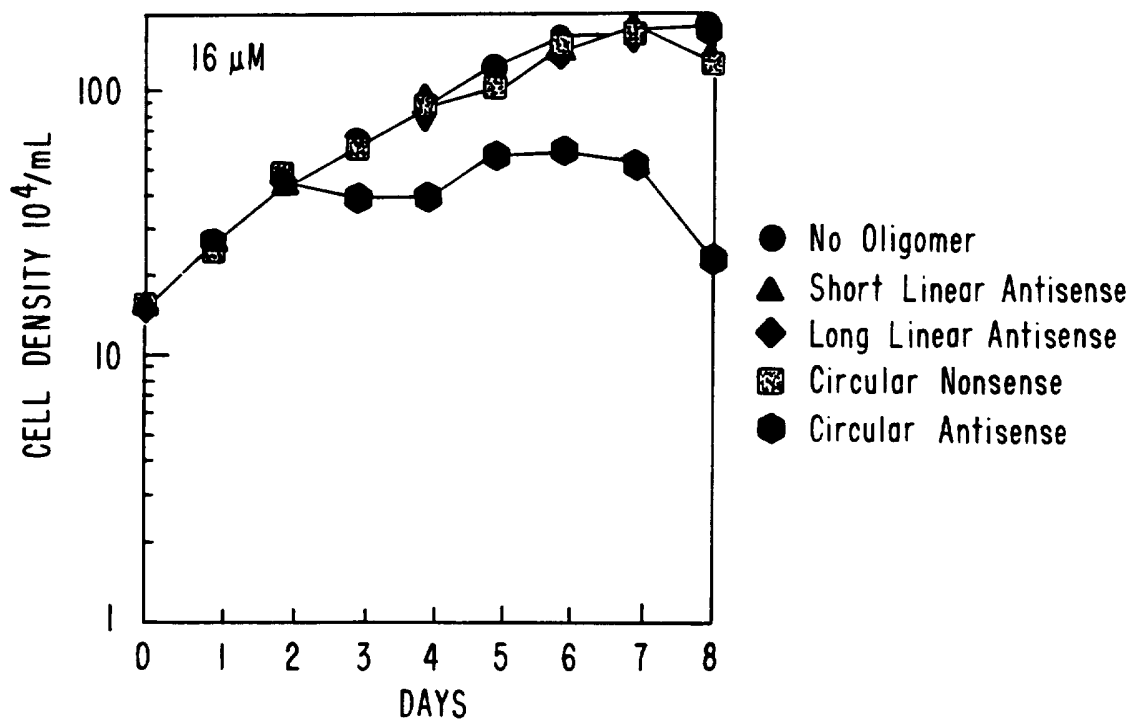


FIG. 18B

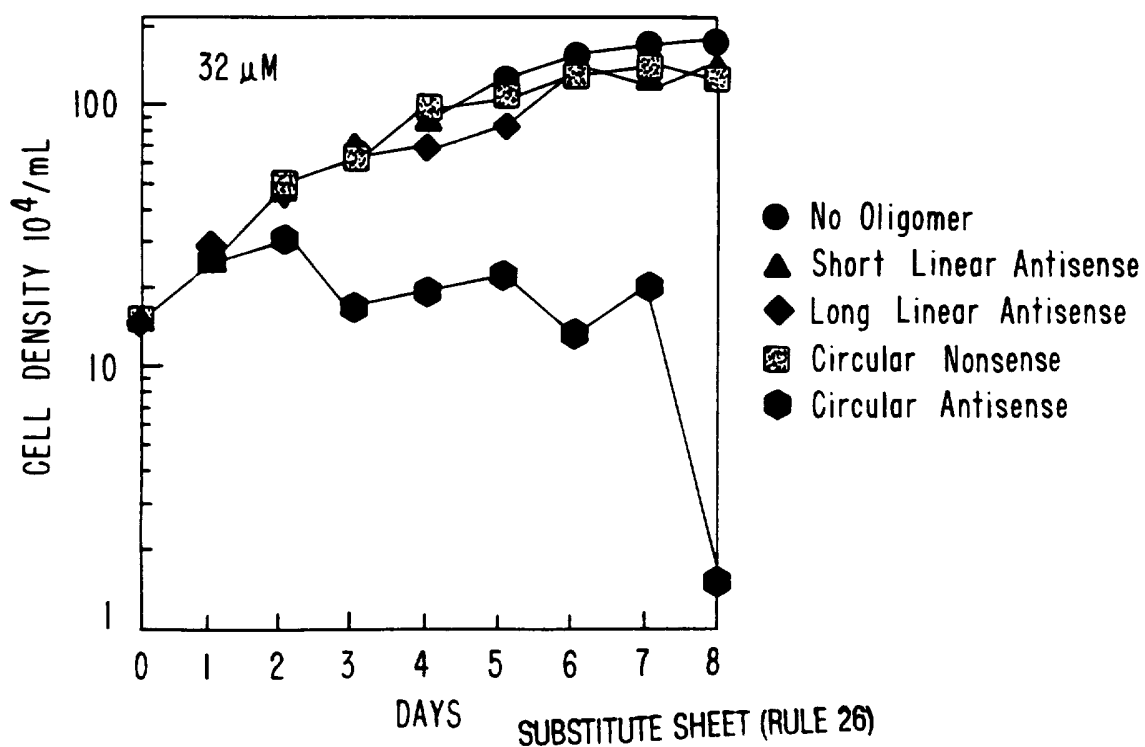
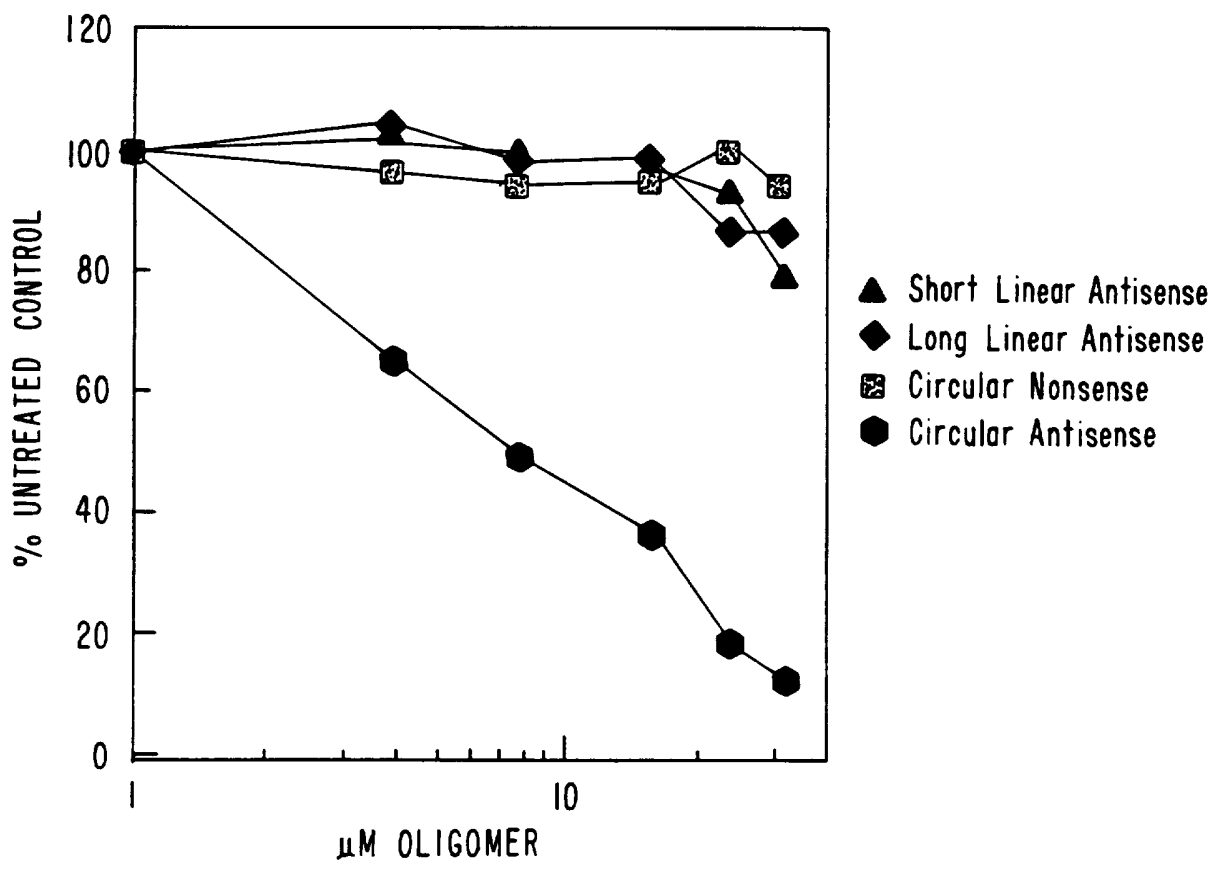


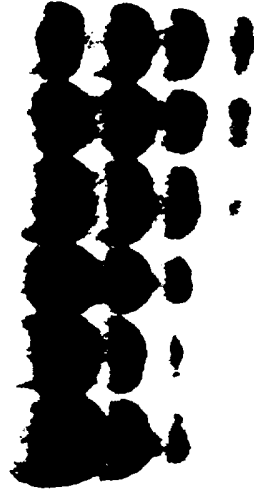
FIG. 19





0 1 8 24 48 72h
CIRCULAR 34mer

FIG. 20A



0 1 8 24 48 72h
LINEAR 34mer

FIG. 20B



INTERNATIONAL SEARCH REPORT

International Application No
PL 1/US 96/03757

A. CLASSIFICATION OF SUBJECT MATTER
IPC 6 C07H21/00 C12N15/11 A61K31/70 C12Q1/68

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 6 C07H C12N C12Q A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO,A,92 17484 (RESEARCH CORPORATION TECHNOLOGIES INCORPORATED) 15 October 1992 see the whole document	1-68
X	WO,A,94 17086 (APOLLON INC.) 4 August 1994 see the whole document	1-68
X	WO,A,92 19732 (GENSET) 12 November 1992 see the whole document	1-68

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

- *T* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- *X* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- *Y* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- *&* document member of the same patent family

Date of the actual completion of the international search

24 June 1996

Date of mailing of the international search report

18.07.96

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,
Fax (+ 31-70) 340-3016

Authorized officer

Scott, J

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 96/03757

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claims Nos.: 38-63
because they relate to subject matter not required to be searched by this Authority, namely:
Remark: Although claims 38-63 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. Claims Nos.:
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 96/03757

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A-9217484	15-10-92	AU-B- 661490	27-07-95
		AU-B- 1987492	02-11-92
		CA-A- 2105864	28-09-92
		EP-A- 0579771	26-01-94
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		JP-T- 6506603	28-07-94
		NO-A- 933410	26-11-93
		US-A- 5426180	20-06-95

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		AU-B- 1759692	21-12-92
		CA-A- 2102229	26-10-92
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