



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(21) International Application Number: PCT/FI96/00339</p> <p>(22) International Filing Date: 7 June 1996 (07.06.96)</p> <p>(30) Priority Data: 953061 20 June 1995 (20.06.95) FI</p> <p>(71) Applicant (for all designated States except US): TEKNILLI- NEN KORKEAKOULU [FI/FI]; Graafisen tekniikan labo- ratorio, Tekniikantie 3 A, FIN-02150 Espoo (FI).</p> <p>(72) Inventors; and (75) Inventors/Applicants (for US only): SAARELMA, Hannu [FI/FI]; TKK/Graafisen tekniikan laboratorio, Tkeniikantie 3 A, FIN-02150 Espoo (FI). LAIHANEN, Pekka [FI/FI]; TKK/Graafisen tekniikan laboratorio, Tekniikantie 3 A, FIN- 02150 Espoo (FI).</p> <p>(74) Agent: PAPULA REIN LAHTELA OY; P.O. Box 981, Fredrikinkatu 61 A, FIN-00100 Helsinki (FI).</p>		<p>(81) Designated States: JP, US, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</p> <p><b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i> <i>In English translation (filed in Finnish).</i></p>
<p>(54) Title: METHOD AND APPARATUS FOR MAXIMIZING THE VISUAL QUALITY OF IMAGE PRESENTED IN ELECTRIC FORM</p>		
<p>(57) Abstract</p> <p>The present invention relates to a procedure and an apparatus for optimizing the visual quality of an image. In the procedure, the greyness balance of the image is adjusted by a statistical method and the colour reproduction is adjusted to make it correspond to a standard observer's preference, which is a predetermined optimum value for colour reproduction. The procedure can be used e.g. to improve the quality of images transmitted over information networks by providing the image transmission path with an apparatus which corrects images detected in the transmission path by applying the procedure of the present invention.</p> <div style="text-align: center;"> </div>		

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METHOD AND APPARATUS FOR MAXIMIZING THE VISUAL QUALITY  
OF IMAGE PRESENTED IN ELECTRIC FORM

The present invention relates to a procedure  
for maximizing the visual quality of an image as de-  
5 fined in the preamble of claim 1.

In addition, the present invention relates to  
an apparatus for maximizing the visual quality of an  
image as defined in the preamble of claim 10.

As the use of information networks is gaining  
10 ground and their data transmission capacity is increa-  
sing, increasing numbers of digital colour images are  
being transmitted across the networks. Images are sent  
into the network from various sources, using different  
equipment and various encoding and packing methods  
15 known in themselves. Moreover, the technical origin of  
the images is generally unknown to the receiver.

Due to errors caused by the operations per-  
formed on the image, such as encoding and packing, as  
well as errors generated in the data transfer medium,  
20 the visual quality of the image is often deteriorated  
as compared with its original visual quality. For this  
reason, methods for implementing the colour reproduc-  
tion of an image have been developed to maximize the  
visual quality of the image. In an optimal case, the  
25 reproduced image reproduces the visual impression gi-  
ven by an error-free original image unchanged. In  
practice, however, this is not always possible. A ba-  
sic reason for this is that the original image usually  
has a larger range of colours than is possible to  
30 reproduce. But even if all the colours in the original  
could be reproduced, creating a visual similarity  
would still be difficult. That is to say, current  
chromatics does not know any methods for quantitative-  
ly determining the colour impressions produced by nor-  
35 mal images consisting of numerous fields of different  
colours and shapes.

Several methods for colour correction and ca-

libration, for improving the visual quality of an image, are known in the art. A feature common to these methods is that the technical origin of the image is known or a "model" (a high-quality photograph) of the image exists. However, the technical origin of an image received e.g. over an information network is not known, and therefore the prior-art methods cannot be used to improve the visual quality of the image.

It is possible to improve the visual quality of an image by a manual method, whereby an operator processing the image makes observations about the original picture, an image displayed on a computer screen or printed out on a printer, and corrects the colour reproduction manually on the basis of his/her own observations and skill. However, processing an image by this manual method is a slow and laborious business and requires a skilled image processing operator. Moreover, manual image processing requires expensive machines which must be compatible with each other and calibrated to ensure e.g. that an image displayed on-screen visually corresponds to its printed copy.

The object of the present invention is to eliminate the problems and drawbacks described above.

A specific object of the present invention is to produce a new type of procedure for image processing which can be easily implemented and automated for use in the processing of large amounts of images.

A further object of the present invention is to produce an apparatus which can be used for the processing of an image being transmitted in an information network, a printing system or the like to optimize the visual quality of the image without significantly retarding its transmission.

An additional object of the present invention is to present a procedure which can be used for improving the visual quality of an image without special image processing skill.

As for the features characteristic of the present invention, reference is made to the claims.

In the procedure of the present invention, an image in electric format, preferably a colour image, is processed. The image may be a packed one, obtained by packing methods known in themselves, e.g. methods employing the GIF or TIFF standard. According to the invention, the image is converted into a chromaticity coordinate format, preferably an  $Ls\alpha$  coordinate format, where L stands for luminance, s for colour saturation, and  $\alpha$  for hue angle. The  $Ls\alpha$  values are determined for the RGB values in Fig. 1 according to predefined equations. The image can also be converted into a format employing a different coordinate system. After this, the greyness balance of the image is determined and compared with a standard observer's greyness balance preference. Based on this comparison, the greyness balance of the image is adjusted to make it correspond to the standard observer's preference. In connection with the adjustment of the greyness balance in a preferred case, the highest and lowest luminance values of the grey shades are determined, a probability model is used to determine whether the shades should be neutral grey and the whole image is shifted in the colour space so that the highest and the lowest values correspond to neutral grey. The purpose of this operation is to adjust the greyness balance of the image so that white will be perceived as white and black will be perceived as black to a maximum degree of "correctness". Finally, according to the invention, the optimized image is converted from the chromaticity coordinate format back into its original format.

The colour reproduction of the image is optimized to make it correspond to a standard observer's preference. A visual processor has been taught a preference selected on the basis of a large number of images of various themes presented to a number of test

persons. The visual processor adjusts the visual quality of each image to a maximum level. The teaching of the visual processor can be performed by a control parameter optimizing method or a neural network method.

The procedure of the invention has several advantages as compared with prior art. The most important and most significant advantage is undoubtedly the fact that the procedure corrects the visual quality of the image automatically and independently of the source, in other words, the technical origin as well as the "correct" colour reproduction are unknown. Furthermore, the procedure can be implemented independently of hardware, which means that the display device or printer eventually used to reproduce the image need not be known. The procedure can also be provided with profiles of different output device groups or individual devices, and the procedure can be incorporated in individual output devices.

A further advantage of the present invention as compared with prior art is that the procedure can be easily standardized for use as a method of improving image quality in information networks. Moreover, the procedure can be applied for different types of display devices, including the cathode ray tube, liquid crystal display and electroluminescent display. Further, the procedure can be applied for different types of display control systems, including standardized computer screens and computer screens developed for special uses, as well as television screens employing the normal and HDTV standards. In addition, the procedure can be applied for different types of colour printer, including electrography, ink jet, thermal printers, electronic colour printing machines and other printing machines connectable to a computer.

In an embodiment of the present invention, the colour reproduction of an image is determined and

compared with a predetermined colour reproduction preference of a standard observer and, based on this comparison, the colour reproduction of the image is accentuated to make it correspond to the standard observer's preference. Preferably the colour reproduction of the image is accentuated by adjusting the global colour reproduction of the image to make it correspond to the standard observer's preference. Global colour reproduction means the colour reproduction in the entire image, in other words, at this stage of the procedure the colours in the entire image are crispened or accentuated.

In another embodiment of the invention, the colour reproduction of the image is accentuated by locating local colour areas on the basis of occurrence probabilities and adjusting the colour reproduction in the image areas encountered to make it correspond to the standard observer's preference. In searching the image for local colour areas, detected continuous areas of sufficient size are compared with predefined values. A given standard colour can be defined beforehand e.g. for the human skin, the sky, water and any objects, such as a Coca Cola can. From the shape, size, position and size of chromaticity statistic of a colour area encountered, it is possible to infer whether it is a predefined colour type. If such a colour type is encountered, the local colour area in the image is adjusted to make it correspond to the predefined colour.

In an embodiment of the present invention, the image is classified according to certain classification rules. First, the image can be subjected to a certain type of thematic classification, to determine e.g. whether the image represents a portrait or a landscape. In addition, the image can be subjected to a certain type of quality classification to determine the quality of the image. Quality classes have prefe-

rably been defined beforehand. The quality classification is used to prevent "over-optimization" of an image, i.e. to ensure that an image whose quality has already been visually optimized by the method of the invention will not be processed again.

The standard observer's preference mentioned in this application is determined by presenting a number of images to a large number of people and using their observations to determine optimal values for the greyness balance, global colour reproduction and local colour reproduction of the images. Let it be stated that the standard observer's preference can be continuously "improved" by increasing the number of people to whom the images are presented. On the other hand, it is also possible to use a standard observer's preference produced by a highly skilled image processing specialist. This can be achieved e.g. by teaching that preference to the apparatus implementing the procedure.

The apparatus of the present invention for optimizing the visual quality of an image in electric format comprises a visual processor which stores predefined standard observer's preference values for greyness balance and colour reproduction and which receives an image, analyzes and classifies it and makes decisions as to how the image is to be adjusted, and a signal processor electrically connected to the visual processor to adjust the image as controlled by the visual processor.

The visual processor preferably comprises conversion means for converting the image into an Ls $\alpha$  coordinate format. Further, the conversion means preferably consist of electric components in the processor circuit. The signal processor may be any kind of microprocessor, programmable circuit or application-specific integrated circuit (ASIC) suited for use in signal processing.



As for the advantages of the apparatus of the invention, reference is made to those of the procedure of the invention.

In the following, the invention is described by the aid of examples of its embodiments by referring to the attached drawings, in which

Fig. 1 presents a block diagram representing an implementation of the procedure of the invention;

Fig. 2 presents a diagram representing an apparatus as provided by the present invention; and

Fig. 3 represents a chromaticity coordinate format.

Referring to the block diagram in Fig. 1, the procedure of the invention works as follows. An image e.g. in an RGB format is received in block 4 and converted into an  $Ls\alpha$  format. This conversion is described later on by referring to Fig. 3. After this, an adjustment of the greyness balance is effected in block 5. In block 5, highest and lowest values for parameter L are determined and compared with predefined values. If necessary, based on the comparison, the whole  $Ls\alpha$  colour space of the image is shifted so that the highest and lowest values fall on the desired point in the colour space.

After the adjustment of the greyness balance, the colour reproduction of the image is accentuated. The stages of the accentuation process are represented by blocks 6 and 7. In block 6, colour reproduction is accentuated globally concerning the whole image, and in block 7 local colour areas are accentuated. The local colour areas are determined by finding certain predefined hues and colours close to them and adjusting the areas encountered to suitable values. After both greyness balance and colour reproduction have been corrected, an  $Ls\alpha \Rightarrow$  RGB conversion is performed in block 8 to obtain new  $R_1$ ,  $G_1$  and  $B_1$  values.

The apparatus represented by Fig. 2 comprises

in its simplest form a visual processor 1, which clas-  
 sifies the image and performs the necessary operations  
 to determine how the image is to be corrected. Moreo-  
 ver, the apparatus comprises a signal processor 2,  
 5 which is used to perform the RGB => Ls $\alpha$  conversion and  
 is electrically connected to the visual processor 1.  
 Controlled by the visual processor, the signal proces-  
 sor makes the required changes in the image. The appa-  
 ratus shown in Fig. 2 also comprises a printer or a  
 10 display 3 used to print or display the corrected ima-  
 ge.

Referring now to Fig. 3, the RGB => Ls $\alpha$  con-  
 version performed in the first stage of the procedure  
 of the invention is described. The colour space repre-  
 15 sented by Fig. 3 is of a cylindrical shape. The lumi-  
 nance L is determined from the RGB values according to  
 equation (1) as follows:

$$L = \frac{V}{V_{\max} + V_{\text{plus}}}, \quad (1)$$

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where:  $V = k_1R + k_2 + k_3B$   
 $V_{\max} = V / \max(R, G, B)$   
 $V_{\text{plus}} = a(1 - V_{\max})$ , and  
 $a = \text{user-defined constant}$   
 $k_1 + k_2 + k_3 = 1$ , and  
 $k_1, k_2, k_3, R, G, B$  and  $a \in [0, 1]$

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The significance of the R, G and B values for  
 the new parameters can be adjusted by means of the  
 constants  $k_1$ ,  $k_2$  and  $k_3$ , and the proportion of neutral  
 30 and saturated colours by means of the constant a.

The colour reproduction angle  $\alpha$   $0 \leq \alpha \leq 2\pi$  is  
 calculated from equation (2) as follows:

$$\alpha = \arctan\left(\frac{2G - R - B}{\sqrt{3(R - B)}}\right), R > B$$

$$\alpha = \arctan\left(\frac{2G - R - B}{\sqrt{3(R - B)}}\right) + \pi, B > R \quad (2)$$

(if  $R = B: \alpha = 3/2\pi$ ; if  $\alpha > 0: \alpha = \pi + 2\pi$ )

Further, colour saturation  $s$  is calculated from the RGB values using equation (3) as follows:

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$$s = \frac{\max(R, G, B) - \min(R, G, B)}{\max(R, G, B)} \quad (3)$$

After the required operations have been performed on the image in the  $Ls\alpha$  space, analogies of equations (1), (2) and (3) can also be used for the  $Ls\alpha \Rightarrow$  RGB conversion to obtain new  $R_1$ ,  $G_1$  and  $B_1$  values. Let it be noted that in this example only one chromaticity coordinate conversion has been described and that other conversions can also be used within the scope of the inventive idea.

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The invention is not limited to the embodiment examples discussed above, but many variations are possible within the scope of the inventive idea defined by the claims.

## CLAIMS

1. Procedure for automatically maximizing the visual quality of an image in electric format, **characterized** in that the following operations are performed automatically:
- the image is converted into a chromaticity coordinate format
  - a probable greyness balance is determined for the image by a statistical method from the video signal and the greyness balance of the image is adjusted to correct it;
  - the colour reproduction of the image is adjusted; and
  - the maximized image is converted from the chromaticity coordinate format back into its original format.
2. Procedure as defined in claim 1, **characterized** in that the colour reproduction of the image is determined and compared with a certain colour reproduction preference of a standard observer and, based on this comparison, the colour reproduction of the image is accentuated to make it correspond to the standard observer's preference.
3. Procedure as defined in claim 1 or 2, **characterized** in that in an  $Ls\alpha$  coordinate format,  $L$  stands for luminance,  $s$  for colour saturation, and  $\alpha$  for the hue angle, these values being determined from the RGB values of the image using predefined equations.
4. Procedure as defined in any one of the preceding claims 1- 3, **characterized** in that the colour reproduction of the image is accentuated by adjusting the global colour reproduction of the image to make it correspond to the standard observer's preference.
5. Procedure as defined in any one of the preceding claims 1- 4, **characterized** in that the co-

lour reproduction of the image is accentuated by finding local colour areas on the basis of occurrence probabilities and adjusting the colour reproduction in the areas encountered in the image to make it correspond to the standard observer's preference.

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6. Procedure as defined in any one of the preceding claims 1 - 5, **characterized** in that a histogram is generated to represent the colour space of the image, the highest and lowest values of the grey shades are determined, the values are compared with probability values and, based on this comparison, the image is shifted in the colour space so that the highest and lowest values correspond to neutral grey.

7. Procedure as defined in any one of the preceding claims 1 - 6, **characterized** in that the theme of the image is determined and the image is classified according to its theme into a predefined theme among a number of predefined themes.

8. Procedure as defined in any one of the preceding claims 1 - 7, **characterized** in that the quality level of the image is determined and the image is classified according to its quality level into a predefined quality level among a number of predefined quality levels.

9. Procedure as defined in any one of the preceding claims 1 - 8, **characterized** in that the standard observer's preference is determined by presenting a number of images to a large number of people and using their observations to determine optimal values for the greyness balance, global colour reproduction and local colour reproduction of the images.

10. Apparatus for optimizing the visual quality of an image in electric format, **characterized** in that the apparatus comprises a visual processor (1) in which predetermined standard observer's preference values for greyness balance and colour reproduction are stored and which receives the image, analyzes and

classifies it and makes the decisions as to how the image is to be adjusted, and a signal processor (2) electrically connected to the visual processor to allow adjustment of the image under the control of the visual processor.

5 11. Apparatus as defined in claim 10, **characterized** in that the visual processor (1) comprises conversion means for converting the image to an L $\alpha$  coordinate system.

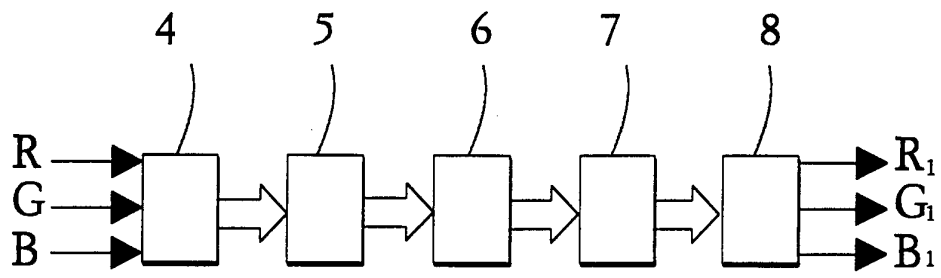


Fig 1

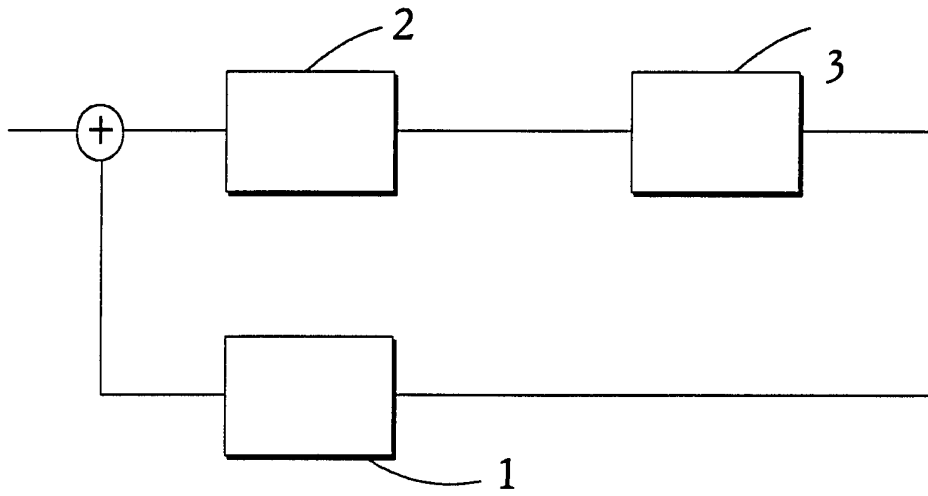


Fig 2

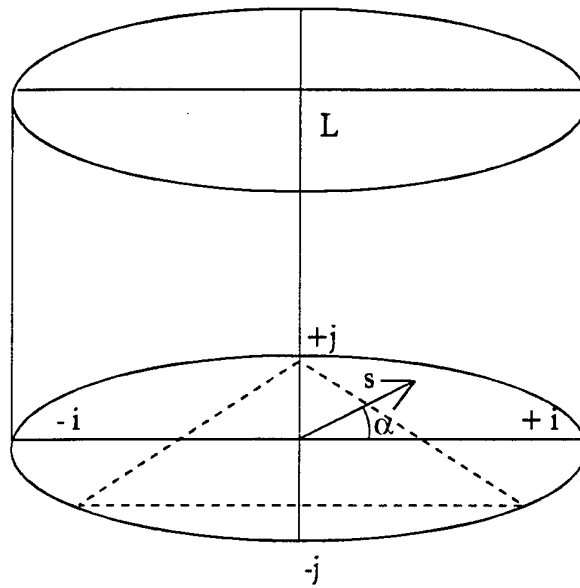


Fig 3

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 96/00339

## A. CLASSIFICATION OF SUBJECT MATTER

IPC6: G06T 5/00, H04N 1/60 // H 04 N 9/64

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: G06T, H04N, G06K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

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Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

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Y		6-8
A		9
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Y	US 5323241 A (YASUHARU YONEZAWA), 21 June 1994 (21.06.94), column 2, line 31 - column 3, line 11; column 11, line 20 - line 45	6
A		1-5,7-11
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Y	US 4339185 A (KENJI NAKAUCHI ET AL.), 13 July 1982 (13.07.82), abstract	7
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Further documents are listed in the continuation of Box C.



See patent family annex.

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Date of the actual completion of the international search

12 November 1996

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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/FI 96/00339

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

28/10/96

International application No.  
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