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(54) **SOCKET AND SLEEVE FOR ATTACHMENT TO A RESIDUAL LIMB**

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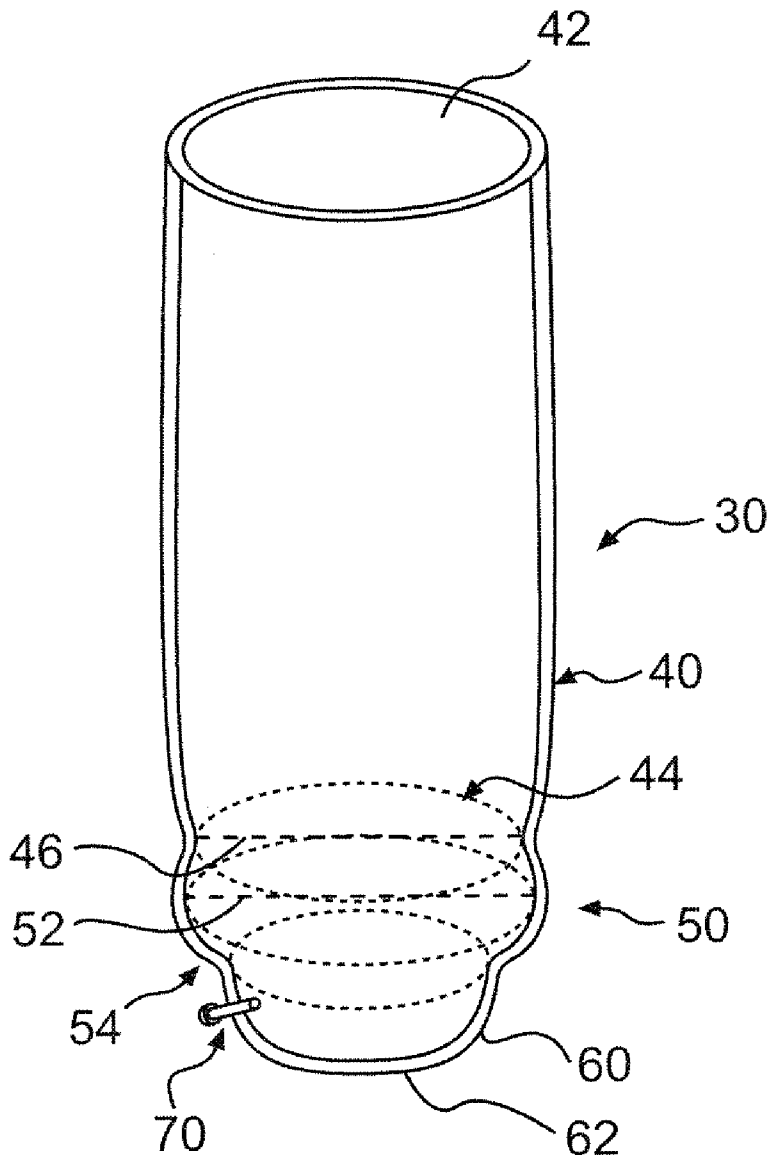
(57) **ABSTRACT**

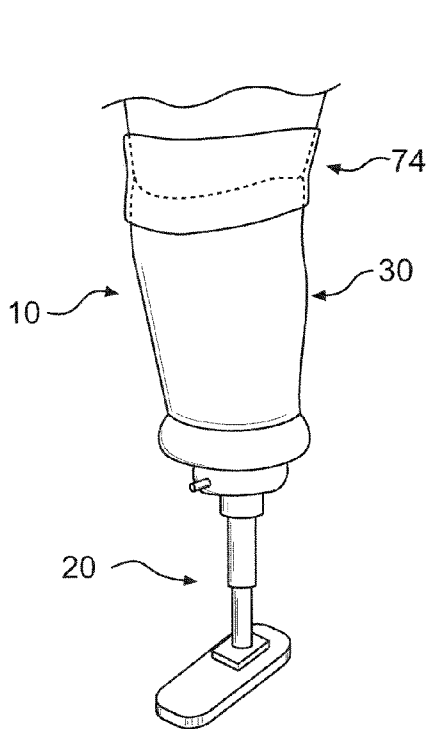
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A socket assembly for a prosthetic limb is provided. The socket assembly includes an elongated upper circumferential section having an open upper end and a distal end, the distal end having a distal diameter. The assembly further includes an intermediate section extending outwardly from the upper section to a maximum diameter that is larger than the distal diameter of the first upper section, the intermediate section tapering inwardly from its maximum diameter to a lower rim region. A distal section extends from the lower rim region to a base of the socket, and a one-way valve is in fluid communication with an interior of the socket assembly. A flexible diaphragm is configured to engage walls of the intermediate section.

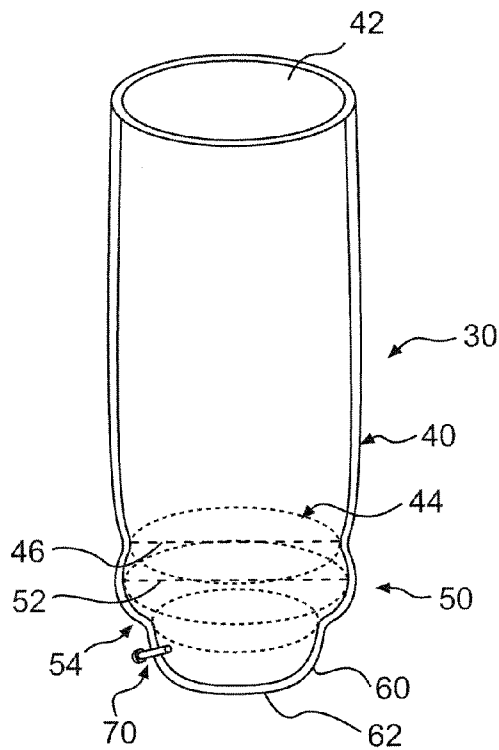
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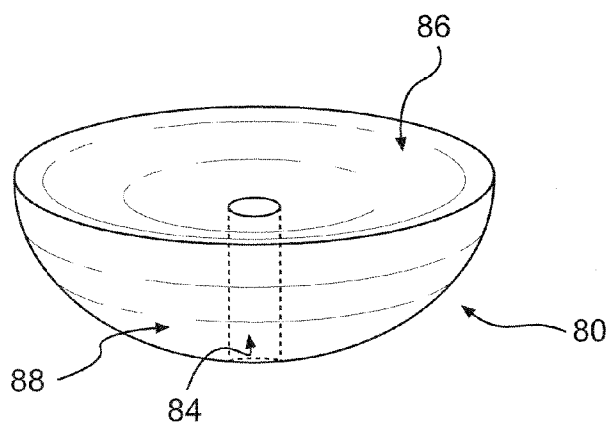




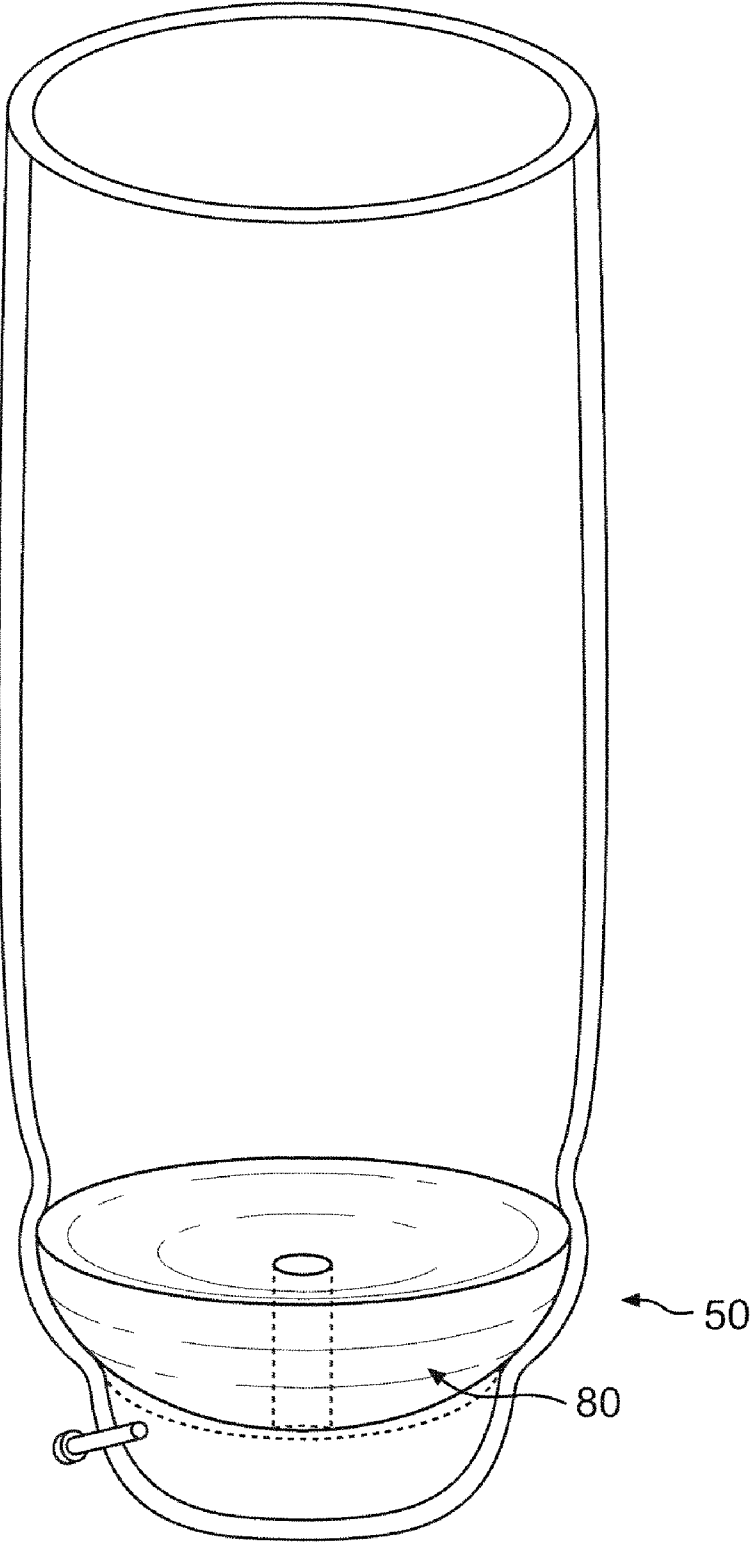
**FIG. 1**



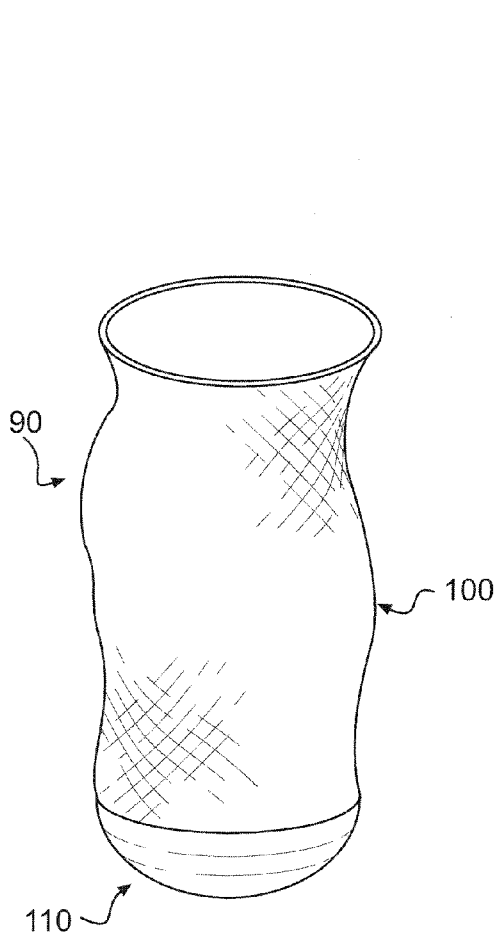
**FIG. 2**



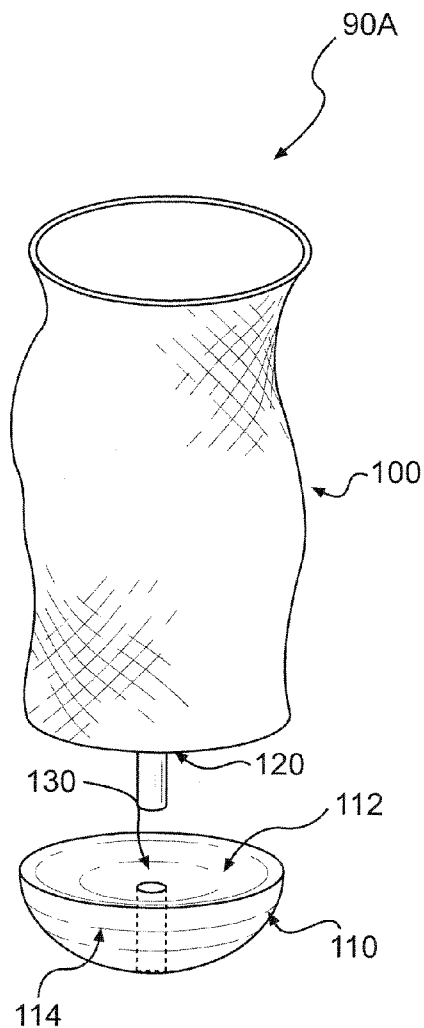
**FIG. 3A**



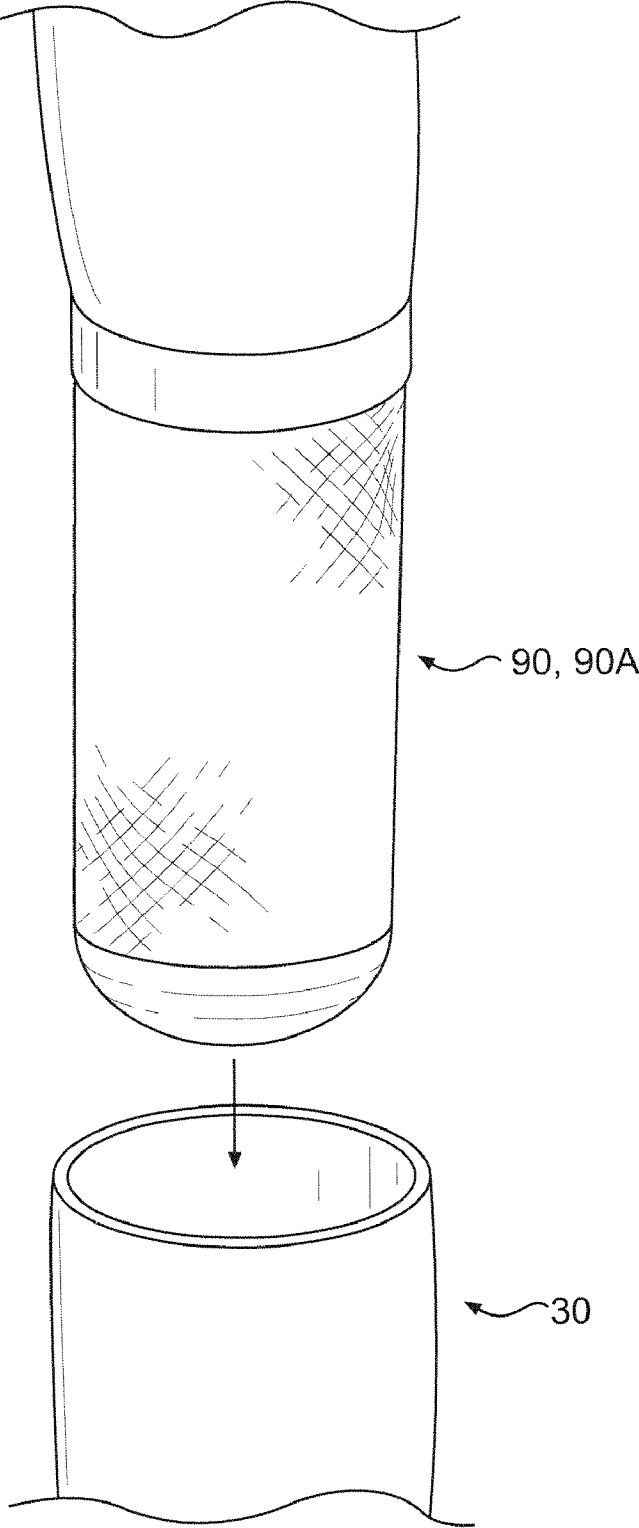
**FIG. 3B**



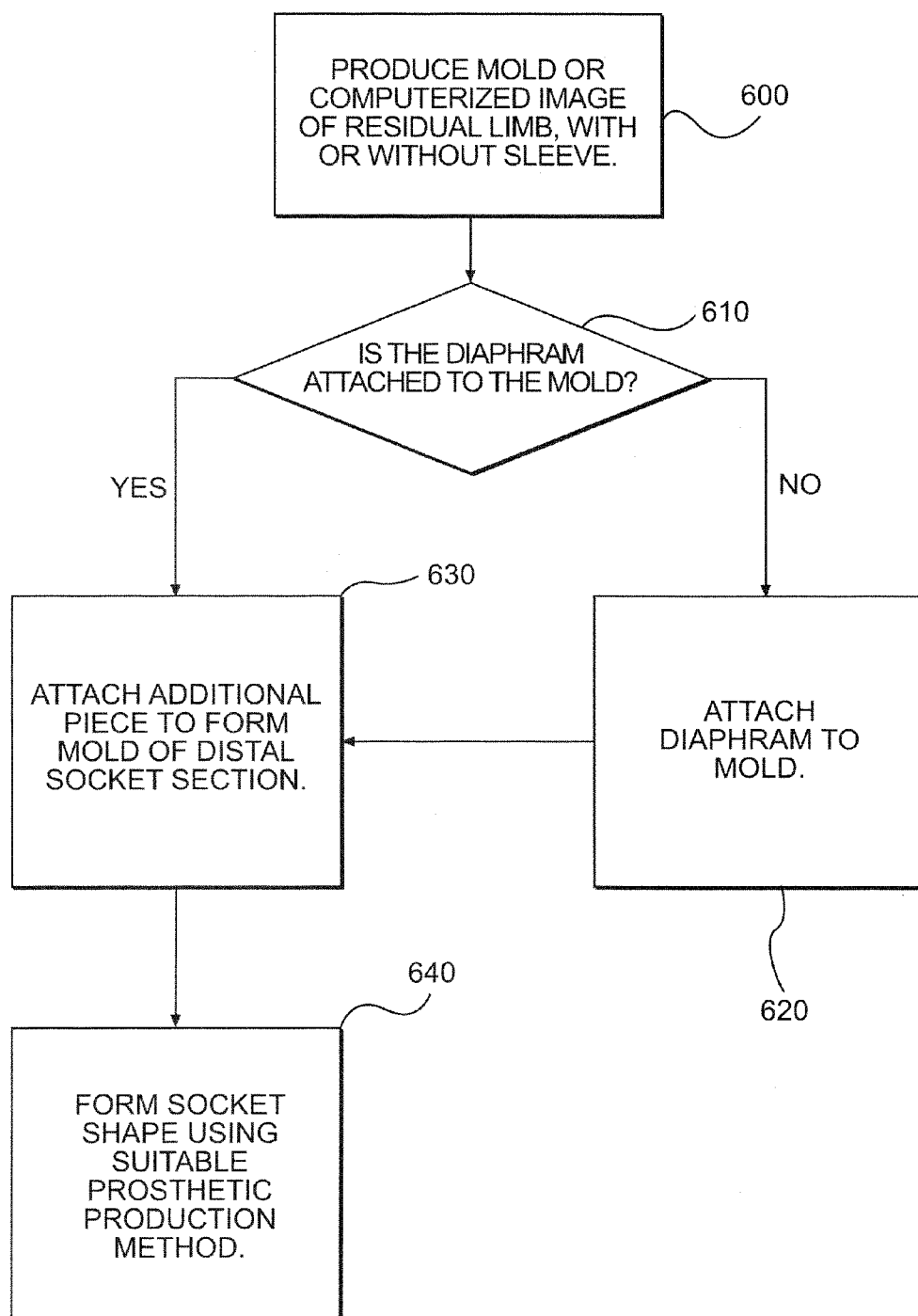
**FIG. 4A**



**FIG. 4B**



**FIG. 5**



**FIG. 6**

## SOCKET AND SLEEVE FOR ATTACHMENT TO A RESIDUAL LIMB

### FIELD OF THE INVENTION

**[0001]** The present disclosure pertains to prostheses, and particularly to systems and methods for attaching prosthetic limbs to the body.

### BACKGROUND OF THE INVENTION

**[0002]** Prosthetic limbs are used by thousands of patients who have had a portion of a limb amputated due to disease or injury. Generally, the prosthetic limb includes a socket and a weight-bearing portion. The patient inserts part of their remaining limb, the residual limb, into the socket to secure the prosthetic limb to the body.

**[0003]** The socket is generally sized to form a tight fit around the surface of the residual limb, with the goal of providing secure contact with the entire residual limb surface. By maintaining contact with as large a surface area as possible, the patient's weight is distributed over a larger surface area within the socket. This helps to ensure that the prosthetic limb maintains a secure attachment to the residual limb, allowing efficient transfer of intended movement of the residuum to the device (prosthesis).

**[0004]** Most patients experience some changes in the volume of the residual limb throughout the day. These changes may be caused by a variety of factors including, for example, perspiration, temperature changes, or physiologic changes due to medical problems or medications. Regardless of the cause, limb volume changes may cause the socket to fit improperly, making the prosthetic uncomfortable or causing the device to loosen or fall off. Therefore, management of limb and socket volumes is important for maintaining an appropriate fit.

**[0005]** One method for securing a prosthetic to a residual limb is disclosed in U.S. Pat. No. 6,726,726, which issued to Caspers on Apr. 27, 2004 (hereinafter the '726 patent). The method of the '726 patent includes creating a vacuum within the socket using a pump attached to the prosthetic limb. Although this method may provide suitable attachment of a prosthetic, it has several drawbacks. For example, to create the vacuum, the method of the '726 patent requires a mechanical pump to be attached to the prosthetic. The pump adds considerable weight to the prosthetic, making it unwieldy and/or uncomfortable for some patients. In addition, suitable pumps may be very expensive.

**[0006]** It is accordingly an object of the present invention to provide improved prosthetics that can produce a negative pressure within the device socket without the use of an active pump.

### SUMMARY OF THE INVENTION

**[0007]** A socket assembly for a prosthetic limb is provided. The socket assembly includes an elongated upper circumferential section having an open upper end and a distal end, the distal end having a distal diameter. The assembly further includes an intermediate section extending outwardly from the upper section to a maximum diameter that is larger than the distal diameter of the first upper section, the intermediate section tapering inwardly from its maximum diameter to a lower rim region. A distal section extends from the lower rim region to a base of the socket, and a one-way valve is in fluid communication with an

interior of the socket assembly. A flexible diaphragm is configured to engage walls of the intermediate section.

**[0008]** Another aspect of the present invention provides a sleeve for a residual limb. The sleeve comprises an upper tubular flexible section configured to be placed over a residual limb and a distal diaphragm securely connected to the upper flexible section and having a concave upper surface and a convex lower surface.

**[0009]** Another aspect of the present invention includes a method of producing a prosthetic limb socket. The method comprises producing a mold representing a residual limb and attaching a second material having a convex lower surface to the mold. The method further includes attaching a third material having a maximum diameter that is less than that of the second material and producing a socket wall conforming to the shape of the mold, including the attached second and third materials.

**[0010]** Another aspect of the present invention includes a method of producing a prosthetic limb socket. The method comprises applying a sleeve assembly to a residual limb, wherein the sleeve assembly includes an upper tubular flexible section configured to be placed over a residual limb and a distal diaphragm securely connected to the upper flexible section and having a concave upper surface and a convex lower surface. The method further includes producing a mold representing the residual limb and sleeve assembly, and producing a socket wall conforming to the shape of the mold.

**[0011]** Additional objects and advantages of the invention will be set forth in part in the description which follows, and in part will be obvious from the description or may be learned by practice of the invention. The objects and advantages of the invention will be realized and attained by means of the elements and combinations particularly pointed out in the appended claims.

**[0012]** It is to be understood that both the foregoing general description and the following detailed description are exemplary and explanatory only and are not restrictive of the invention, as claimed.

**[0013]** The accompanying drawings, which are incorporated in and constitute a part of this specification, illustrate one embodiment of the invention and together with the description, serve to explain the principles of the invention.

### BRIEF DESCRIPTION OF THE DRAWINGS

**[0014]** FIG. 1 illustrates a prosthetic limb, according to an exemplary embodiment of the present invention.

**[0015]** FIG. 2 illustrates a partial cut-away view of a socket assembly of the prosthetic limb of FIG. 1.

**[0016]** FIG. 3A illustrates a sealing diaphragm to be used with the socket of FIG. 2.

**[0017]** FIG. 3B illustrates the sealing diaphragm of FIG. 3A positioned within the socket of FIG. 2.

**[0018]** FIG. 4A illustrates a sleeve and diaphragm assembly that may be used with the prosthetic limbs of the present disclosure.

**[0019]** FIG. 4B illustrates a sleeve and diaphragm assembly that may be used with the prosthetic limbs of the present disclosure.

**[0020]** FIG. 5 illustrates the use of the sleeve and diaphragm assemblies of FIGS. 4A-4B with a prosthetic limb.

[0021] FIG. 6 illustrates a method of manufacturing a socket assembly for a prosthetic limb.

#### DESCRIPTION OF THE EMBODIMENTS

[0022] Reference will now be made in detail to the present embodiments of the invention, examples of which are illustrated in the accompanying drawings. Wherever possible, the same reference numbers will be used throughout the drawings to refer to the same or like parts.

[0023] FIG. 1 illustrates a prosthetic limb 10, according to an exemplary embodiment. Limb 10 includes a weight-bearing portion 20 that extends from a socket 30. Socket 30 may be configured to receive a residual limb of a patient. Further, as described below, socket 30 may be designed such that a negative air-pressure is produced within socket 30 when worn by a patient.

[0024] Socket 30 may be produced from a variety of suitable synthetic materials that are fluid tight, including a number of different plastics and or resins. A prosthetic manufacture may fabricate the socket based on patient-specific anatomy and volume using techniques that employ total contact fitting protocols of the residuum. An interface sleeve/liner, between the residuum and the socket may be used. Such sleeves/liners can include fabric, foams, gel materials or combinations thereof to provide additional cushioning to the residuum.

[0025] FIG. 2 illustrates a partial cut-away view of socket 30 of the prosthetic limb 10 of FIG. 1. As shown, socket 30 includes an elongated upper circumferential section 40 that is configured to receive a patient's residual limb. Upper section 40 may include an open upper end 42. As described below, in some cases it may be desirable for a patient to wear a sleeve made of fabric or another soft/pliable material over the portion of the residual limb that is inserted within upper section 40 of socket 30.

[0026] Socket 30 also includes an intermediate section 50 and a distal section 60. As shown, upper section 40 tapers inward to conform to the shape of a residual limb. Upper section 40 ends in a circumferential section 44 having a distal diameter 46. Intermediate section 50 may extend outward to form a bulged cavity having a maximum outer diameter 52 that is larger than distal diameter 44. Further, intermediate section 50 may taper inward more distally to form a lower rim region 54.

[0027] Distal section 60 may extend from lower rim 54 to a base 62 of socket 30, and may include a one-way valve 70 in fluid communication with the interior of distal section 60. As embodied herein and shown in FIG. 2, valve 70 may only allow air to flow from inside of socket 30 to outside of socket 30. Alternatively, valve 70 may include a release mechanism to allow air to flow into socket 30. The release mechanism may be used to allow air to flow into socket 30 when a patient wants to remove a residual limb from socket 30.

[0028] Prosthetic 10 may further include a sealing diaphragm 80, which may be configured to fit within intermediate section of socket 30. FIG. 3A illustrates an embodiment of sealing diaphragm 80 to be used with the socket of FIG. 2. The lower rim region 54 and/or lateral walls of intermediate section 50 may be shaped to conform to the diaphragm 80 so that diaphragm 80 may be positioned within intermediate section 50, as shown in FIG. 3B. Diaphragm 80 may form a tight contact with rim region 54 and/or the lateral walls of intermediate section 50. When diaphragm 80 is seated in intermediate section 50 and

pressure is exerted downward on diaphragm 80, diaphragm 80 may flex downward into distal section 60. This downward pressure forces air out of valve 70, thereby producing a negative air pressure within socket 30, as the diaphragm rebounds. The negative air pressure facilitates secure attachment of socket 30 to a residual limb. The negative pressure helps to maximize contact between a limb surface or sleeve and the wall of socket 30. This will further reduce maximum contact pressure on the limb, while ensuring a continued snug fit even with limb volume changes.

[0029] It should be noted that, as shown, valve 70 is located within a lateral wall of distal section 60, but in other embodiments, valve 70 may be located within a lateral wall of intermediate section 50 or upper section 40, as long as valve 70 is in fluid communication with an interior of socket 30 where the patient's residual limb resides. In this way, a negative air pressure will still be formed as diaphragm 80 flexes downward, thereby decreasing the overall volume within socket 30, and rebounds, to expand the overall volume of socket 30.

[0030] In some embodiments, diaphragm 80 may include at least one opening 84 that will provide fluid communication through diaphragm 80. This opening will allow the negative pressure created within socket 30 to be transmitted around the limb located within socket 30. As shown, opening 84 includes one cylindrical opening, but multiple openings having any suitable shape may be selected.

[0031] The diaphragm 80 may be constructed from any material having sufficient flexibility and elasticity to allow a small degree of deflection and rebound into and out of distal section 60 during routine movements or application of weight by a patient. Suitable materials may include, for example, various polymeric materials such as silicone. For example, suitable silicone materials may include platinum-cured silicones such as Dragon Skin silicone available from Smooth-On, Inc. Further, additional materials can include various thermoplastic elastomers (e.g. Santoprene, which is available from Advanced Elastomer Systems), urethanes, ethylene vinyl acetate polymers, natural rubber, and synthetic rubber. In addition, the diaphragm can be fabricated reinforced with a internal or external matrix of plastic, composite, spring metal or fiber to control flexibility and elasticity. The diaphragm can be pre-made in various sizes to accommodate patient-specific distal residuum anatomy, or custom made from a measured, digital, or hand formed model of a residuum.

[0032] In other embodiments, diaphragm 80 may further include matrix materials to control its mechanical properties. For example, various plastic, metallic, fiber, and/or composite materials may be embedded within or around diaphragm 80. Such materials may be selected to provide a desired strength, flexibility, elasticity, and/or other mechanical properties. In addition, in some embodiments, a fabric liner may be placed over diaphragm 80. Such a liner may be selected to draw fluid out of upper section 40 and into distal section 60.

[0033] As shown, diaphragm 80 is shaped to comfortably conform to the shape of a patient's residual limb and the interior of intermediate section 60. For example, diaphragm 80 may include an upper surface 86 that is flat or concave, to conform to a distal portion of a residuum. In addition, diaphragm 80 may include a lower surface 88, having a convex shape configured to face away from a residual limb



abutting upper surface **86**. The lower convex surface **88** may be selected to form a fluid tight seal with at least part of intermediate section **60**.

**[0034]** In some embodiments, it may be desirable to place a sealing sleeve **74** (as shown in FIG. **1**) over the proximal opening of socket **30** and over a portion of the patient's residual limb. The sealing sleeve **74** may include any flexible fabric material and may fit snugly around the limb and socket to produce a fluid-tight seal. This may further increase the negative pressure created within socket **30**. It should be noted however, that it may not be necessary to use a sealing sleeve to create suitable negative pressure if the patient's residual limb forms a sufficiently tight fit with socket **30**. For example, some prosthetic sockets may include an annular ring made of a rubber or fabric material, including for example fluid-tight materials, and the annular ring may form a sufficient seal so that sleeve **74** is not needed. Such annular rings are included on, for example, Ossur liners.

**[0035]** In many cases, a patient will place a fabric sleeve over the portion of the residual limb that is placed within socket **30**. Such sleeves may include a flexible fabric or other suitable material. The sleeve protects the patient's skin from irritation or excessive pressure. Further, the sleeve may increase the coefficient of friction between the limb and the socket wall, thereby ensuring a secure fit. A variety of suitable sleeve designs and materials are known in the art.

**[0036]** In some embodiments, it may be desirable to include a diaphragm with the sleeve, instead of providing socket **30** with a diaphragm **80**. FIG. **4A** illustrates a sleeve and diaphragm assembly **90** that may be used with the prosthetic limbs of the present disclosure. Assembly **90** may include an upper flexible tubular portion **100** that fits over a residual limb and a diaphragm **110** connected to upper portion **100**.

**[0037]** Upper portion **100** may be produced from any suitable flexible material, as is known in the art. A number of different sleeve materials are available to protect residual limbs. Further, diaphragm **110** may include any suitable material, and diaphragm **110** may have a structure and material similar or identical to that of diaphragm **80**, which is described with reference to FIG. **3A**.

**[0038]** In some embodiments, diaphragm **110** may be permanently attached to upper portion **100**. For example, diaphragm **110** may be attached to upper portion **100** using an adhesive, such as an acrylate-based adhesive, or any other suitable material.

**[0039]** Alternatively, diaphragm **110** may be removably attached to upper portion **100**. For example, FIG. **4B** illustrates a sleeve and diaphragm assembly **90A** that may be used with the prosthetic limbs of the present disclosure. As shown, upper portion **100** includes a connecting projection **120** that may be inserted into a receiving opening **130** of diaphragm **110**. Connecting projection **120** and receiving opening **130** may include any suitable secure connecting mechanism, such as a threaded connection. Alternatively, other suitable connecting mechanisms may include, for example, loop and hook materials, vacuum systems, cohesion, threaded imbedded fixtures in diaphragm **80**, and/or threaded-imbedded studs in diaphragm **80**.

**[0040]** FIG. **5** illustrates the use of the sleeve and diaphragm assemblies **90** and **90A** of FIGS. **4A-4B** with a prosthetic limb. As shown, the assembly **90**, **90A** may be placed over a residual limb with the diaphragm permanently

or detachably connected. A patient may then insert the limb, as covered with sleeve and diaphragm assembly **90**, **90A** into a prosthetic socket **30**. In some embodiments, the socket will have a structure similar or the same as that described with reference to FIG. **2**. Such sockets will include an elongated upper portion **40**, and an intermediate portion **50** configured to receive diaphragm **110**. The socket will further include a distal region **60** having a one-way valve **70**. Further, as a patient's residual limb exerts downward pressure on diaphragm **110**, diaphragm **110** may flex downward into third distal section **60**. This downward pressure may force air out of valve **70**, thereby producing a negative air pressure within socket **30**.

**[0041]** In addition, like diaphragm **80**, diaphragm **110** may be configured to comfortably conform to the shape of a residual limb and socket. For example, diaphragm **110** may include a concave upper surface **112** configured to contact a lower portion of upper tubular section **110** or a distal part of a residual limb. Further, diaphragm **110** may include a convex lower surface **114** configured to engage at least part of an interior surface of a socket assembly.

**[0042]** The socket assembly of the present disclosure may be produced using a number of techniques. For example, FIG. **6** illustrates a method of manufacturing a socket assembly, according to one embodiment. First, a prosthetist may produce a mold or image of a patient's residual limb, as shown at **600**. Such molds or images may be produced using a variety of materials, such as a simple plaster or other materials known in the art. Further, more sophisticated methods, using computers and/or digital imaging systems may also be used. In one embodiment, the mold or image may be produced after a patient has placed a sleeve assembly **90**, **90A** (as shown in FIGS. **4A-4B**) over the residual limb. In this way, the mold will include the shape of diaphragm **80**, **110**.

**[0043]** Alternatively, the mold or image may be produced using a bare limb or limb having a sleeve without a diaphragm, as indicated at **610**. If the prosthetist elects to produce the mold or image without the use of the diaphragm **80**, **110**, a diaphragm, or material having a similar shape may be added to the mold or image so that a socket containing intermediate section **50**, which conforms to the shape of diaphragm **80**, **110** may be produced, as indicated at **620**. Next, a prosthetist may add an additional piece to the mold to represent distal section **60**, as shown at **630**.

**[0044]** Finally, having constructing a mold or image representing upper **40**, intermediate **50**, and distal sections **60** of socket **30**, the prosthetist may form the outer wall of socket **30**. A number of socket manufacturing techniques and materials are known in the art, and the prosthetist may select the material and production technique to form a socket having the shape of the as-constructed mold based on a number of factors. For example, such factors may include, cost, patient use, available materials and techniques, and/or desired socket strength or other mechanical properties.

**[0045]** It should be noted that as shown socket assembly **30** and prosthesis **10** are illustrated for a below the knee lower extremity prosthetic limb. However, the socket assembly, sleeve and prostheses of the present disclosure may be used for any suitable prosthetic limb, including below-the-knee prosthetic limbs, above-the-knee prosthetic limbs, or upper extremity prosthetics.

**[0046]** Other embodiments of the invention will be apparent to those skilled in the art from consideration of the

specification and practice of the invention disclosed herein. It is intended that the specification and examples be considered as exemplary only, with a true scope and spirit of the invention being indicated by the following claims.

What is claimed is:

- 1. A socket assembly for connecting a residual limb to a prosthetic limb, comprising:
  - an elongated upper circumferential section having an open upper end and a distal end, the distal end having a distal diameter;
  - an intermediate section extending outwardly from the upper section to a maximum diameter that is larger than the distal diameter of the upper section, the intermediate section tapering inwardly from its maximum diameter to a lower rim region; and
  - a distal section extending from the lower rim region to a base of the socket;
  - a one-way valve in fluid communication with an interior of the socket assembly;
  - and a flexible diaphragm configured to engage walls of the intermediate section.
- 2. The socket assembly of claim 1, wherein the diaphragm includes at least one opening providing fluid communication through the diaphragm.
- 3. The socket assembly of claim 1, wherein the diaphragm includes a polymeric material.
- 4. The socket assembly of claim 1, wherein the one-way valve is located on a lateral wall of the distal section.
- 5. The socket assembly of claim 1, wherein the one-way valve allows gas or fluid to flow only from inside the socket to outside the socket.
- 6. The socket assembly of claim 5, further including a mechanism to allow gas or fluid to flow from outside the socket to inside the socket.
- 7. The socket assembly of claim 1, wherein the one-way valve is positioned in a lateral wall of the upper section.
- 8. The socket assembly of claim 1, further including a flexible sleeve placed over the socket opening and over a portion of a patient's limb outside of the socket.
- 9. The socket assembly of claim 8, wherein the flexible sleeve is configured to provide a fluid seal to the socket.
- 10. The socket assembly of claim 1, further including an inner sleeve covering a portion of a patient's limb inside the socket and contacting the lateral wall of the upper section and an upper surface of the diaphragm.
- 11. The socket assembly of claim 1, wherein the diaphragm includes a concave upper surface and a convex lower surface.
- 12. A sleeve for a residual limb, comprising:
  - an upper tubular flexible section configured to be placed over a residual limb; and
  - a distal diaphragm securely connected to the upper flexible section and having a concave upper surface and a convex lower surface.
- 13. The sleeve of claim 12, wherein the diaphragm is permanently connected to the upper section.
- 14. The sleeve of claim 12, wherein the diaphragm is removably connected to the upper section.
- 15. The sleeve of claim 12, wherein the diaphragm includes at least one opening providing fluid communication through the diaphragm.
- 16. The sleeve of claim 12, wherein the diaphragm includes a polymeric material.

- 17. A prosthetic limb, comprising:
  - a socket assembly, including:
    - an elongated upper circumferential section having an open upper end and a distal end, the distal end having a distal diameter;
    - an intermediate section extending outwardly from the upper section to a maximum diameter that is larger than the distal diameter of the upper section, the intermediate section tapering inwardly from its maximum diameter to a lower rim region;
    - a distal section extending from the lower rim region to a base of the socket; and
    - a one-way valve in fluid communication with an interior of the socket assembly; and
  - an elongated shaft securely attached to the socket assembly.
- 18. The prosthetic limb of claim 17, further including a flexible diaphragm configured to engage walls of the intermediate section.
- 19. The prosthetic limb of claim 17, wherein the diaphragm includes at least one opening providing fluid communication through the diaphragm.
- 20. The prosthetic limb of claim 17, wherein the diaphragm includes a polymeric material.
- 21. The prosthetic limb of claim 17, wherein the one-way valve is located on a lateral wall of the distal section.
- 22. The prosthetic limb of claim 17, wherein the one-way valve allows gas or fluid to flow only from inside the socket to outside the socket.
- 23. The prosthetic limb of claim 22, further including a mechanism to allow gas or fluid to flow from outside the socket to inside the socket.
- 24. The prosthetic limb of claim 17, wherein the one-way valve is positioned in a lateral wall of the distal section.
- 25. The prosthetic limb of claim 17, further including a flexible sleeve placed over the socket opening and over a portion of a patient's limb outside of the socket.
- 26. The prosthetic limb of claim 25, wherein the flexible sleeve is configured to provide a fluid seal to the socket.
- 27. The prosthetic limb of claim 17, further including an inner sleeve covering a portion of a patient's limb inside the socket and contacting the lateral wall of the upper section and an upper surface of the diaphragm.
- 28. A method of producing a prosthetic limb socket, comprising:
  - producing a mold representing a residual limb;
  - attaching a second material having a convex lower surface to the mold;
  - attaching a third material having a maximum diameter that is less than a maximum diameter of the second material to the second material;
  - producing a socket wall conforming to the shape of the mold, second material, and third material.
- 29. A method of producing a prosthetic limb socket, comprising:
  - applying a sleeve assembly to a residual limb, wherein the sleeve assembly includes an upper tubular flexible section configured to be placed over a residual limb; and
  - a distal diaphragm securely connected to the upper flexible section and having a concave upper surface and a convex lower surface;
  - producing a mold representing the residual limb and sleeve assembly;
  - producing a socket wall conforming to the shape of the mold.