



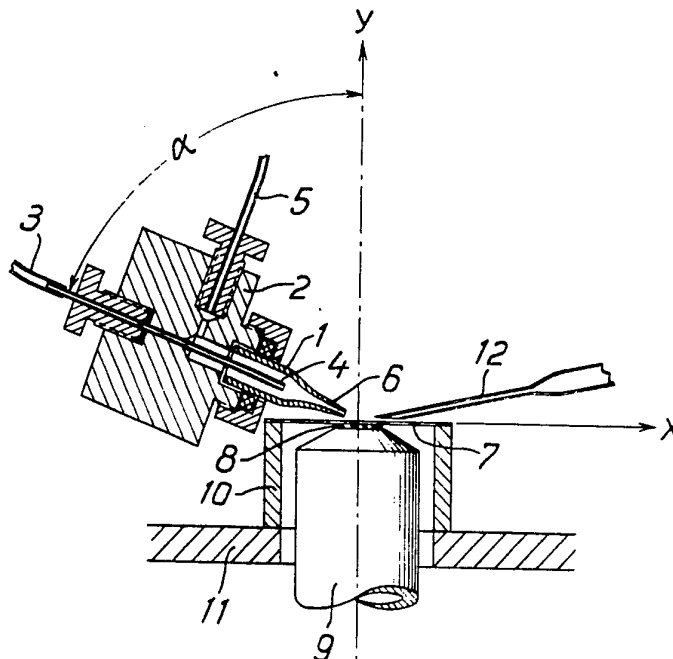
PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification³: G01N 15/07</p>	<p>A1</p>	<p>(11) International Publication Number: WO 80/02198 (43) International Publication Date: 16 October 1980 (16.10.80)</p>
<p>(21) International Application Number: PCT/EP80/00021 (22) International Filing Date: 10 April 1980 (10.04.80) (31) Priority Application Number: 791229 (32) Priority Date: 10 April 1979 (10.04.79) (33) Priority Country: NO (71) Applicant (for all designated States except US): ERNST LEITZ WETZLAR GMBH [DE/DE]; Ernst-Leitz-Straße 30, Postfach 20 20, D-6330 Wetzlar 1 (DE). (72) Inventors; and (75) Inventors/Applicants (for US only): LINDMO, Tore [NO/NO]; Valhallaveien 48 d, N-1412 Sofiemyr (NO). STEEN, Harald, B. [NO/NO]; GjØaveien 3B, N-Oslo 3 (NO).</p>		<p>(81) Designated States: AT (European patent), CH (European patent), DE (European patent), FR (European patent), GB (European patent), JP, SE (European patent), US. Published With international search report</p>

(54) Title: DEVICE FOR HYDRODYNAMIC FOCUSING OF A PARTICLE-SUSPENSION IN A LIQUID FLOW CYTOPHOTOMETER



(57) Abstract

Device for liquid flow cytophotometer including a device for hydrodynamic focussing (4, 6) of a cell- or particle-suspension towards a measuring area, and an optical excitation- and detection-system (9), preferentially based on oil immersion optics, characterized by the focussing device being formed as a - in relation to the system's optical axis - rotating nozzle assembly (1) directed towards a surface (7) which is open in relation to the surrounding atmosphere and situated on the optics (9), forming the optics' measuring area, thus supplying a laminar liquid flow of the above-mentioned suspension.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	LI	Liechtenstein
AU	Australia	LU	Luxembourg
BR	Brazil	MC	Monaco
CF	Central African Republic	MG	Madagascar
CG	Congo	MW	Malawi
CH	Switzerland	NL	Netherlands
CM	Cameroon	NO	Norway
DE	Germany, Federal Republic of	RO	Romania
DK	Denmark	SE	Sweden
FR	France	SN	Senegal
GA	Gabon	SU	Soviet Union
GB	United Kingdom	TD	Chad
HU	Hungary	TG	Togo
JP	Japan	US	United States of America
KP	Democratic People's Republic of Korea		

Device for hydrodynamic focussing of
a particle-suspension in a liquid flow
cytrophotometer

The present investigation concerns a device representing a new kind of liquid flow system in connection with liquid flow cytrophotometers.

Cytrophotometry is an important operating method in cell
5 biology research, especially in the field of comprehensive cancer research. Thus, it is considered important to investigate the abnormal growth in cell populations where new cells are created by means of cell division. During its synthesis phase the cell will double its
10 DNA-content. In this way one gets two new cells when the cell divides (the mitotic phase). By measuring the DNA-content per cell it is possible to determine the distribution of the cells on the various cell cycle phases. The fraction of cells containing an increased
15 DNA-content in a cell population can be a measure of the multiplying activity. It is important that the distribution of the cells in a cell population on the various cell cycle phases can be determined with a great accuracy. Statistical errors can only be avoided by



measuring several thousand cells. This necessitates that the individual measurements are done very rapidly.

The so-called liquid flow cytophotometry is a technique which is now applied for such measurements. Today there
5 is an increasing use of this technique in connection with research as well as diagnostic purposes. The principle of such a method is to lead a limited flow of cells stained with a fluorescent dye, quantitatively bound to the cell components which are to be studied,
10 through a beam of exciting light and then measure the intensity of the resulting fluorescence pulses. The distribution of the cells with regard to a certain component, such as DNA, can thus be determined with great accuracy and with a rate of the order of 10^3 per
15 second. The cells are automatically transported to the measuring spot in a liquid flow, usually water.

Thus, the cells are not spread on a glass plate, but are kept in a liquid suspension. This suspension is driven through a capillary tube, and by means of a
20 concentric sheath flow flowing around the tube mentioned above and in the same direction as the cells and containing no particles, the cell flow is hydrodynamically focussed so that the cells pass by through a strictly limited area in the cytophotometer's measuring
25 focus. The cells pass by after each other through this measuring area at a high speed, just like mentioned above.

The measuring of the cell components is done by means of a microfluorometer. As mentioned above, the cell component must be stained with a fluorescent dye. When a cell containing such a fluorescent dye passes through the microscope lens' focussing area, it excites fluorescent light which is accumulated by the lens and then lead to a photomultiplier. The signal from this photomultiplier is registered and expresses the cell's contents of the component in question.

10 A well-known liquid flow cytophotometer applies the technique mentioned above. It comprises a closed system including a sheath flow measuring chamber. This chamber consists of a glass- or metal body with channels in a T-form. By means of suction the sheath flow and the
15 particle suspension which is to be measured are lead through the channel forming the T-form's system, and accurately centered along the system's optical axis. In this way one gets a measuring area at the T-form's peak, i.e. at the area of the perpendicular channels
20 constituting the T-form's arms. One of these perpendicular channels transports a cleansing agent, while the other represents a drainage channel for the fluid flow containing measured particles. Thus, by using
25 this well-known technique one gets a supply of particles along the optical axis with a focussing of every particle through the optical focus lying on the measuring aperture level. The optical system which is applied here comprises a so called oil immersion optics which is known to the expert. The optics is in contact with the liquid



suspension containing the particles or the cells which are to be studied.

There are liquid flow cytophotometers using closed sheath flow measuring chambers, but where the liquid
5 flow is lead perpendicularly through the exciting light in the measuring area.

Further, there are liquid flow cytophotometers where a focussed liquid jet containing particles which are to be studied, is lead - in the air - through an excitation
10 light falling on the liquid jet. This light is focussed in the liquid jet, so that when single particles, which can be stained with a fluorescent dye, pass through this light focus, one will have a pulse of fluorescent light which is picked up by a photo cell.

15 The well-known liquid flow cytophotometers mentioned above are complicated, and that leads to high construction expenses. Nowadays there is an ever increasing demand for a simple and inexpensive instrument which can be used in connection with routine investigations. The
20 present invention provides a construction using a standard fluorescence microscope - preferentially with immersion optics - with a suitable photometer, and hydrodynamic focussing of a particle suspension. This construction is relatively inexpensive, easy to operate
25 and gives a high resolution and stability.

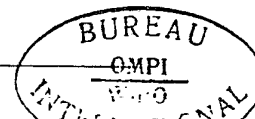
Thus, the present investigation provides a device in



connection with liquid flow cytophotometers comprising a device for hydrodynamic focussing of a cell- or particle-suspension towards a measuring area, and also an optical excitation- and detection-system, preferentially based on oil immersion optics. This device is characterized by the focussing device's design; the device is formed as a - in relation to the system's optical axis - rotating nozzle assembly aiming at a - in relation to the surrounding atmosphere - free surface situated on the optics, which constitutes the optics' measuring area. In this way one obtains a laminar liquid flow of the suspension mentioned above.

The term "- in relation to the surrounding atmosphere - free surface" means that this device does not apply a closed chamber, as mentioned above, wherein the particle suspension is lead and focussed, but that one lets the liquid jet pass by towards the measuring area in the air. Thus, the nozzle should preferentially be in the surrounding free atmosphere. Using this invention the measuring area can be the surface of a transparent plate, for instance a replaceable cover glass, in contact with the optics.

The present device provides measuring results at least as good as the best results obtained with the above mentioned constructions. Further, the present device results in a much easier setting up and focussing of the instrument than is the case with well-known systems. Due to the measuring area and the nozzle being situated



in the air, it is easier to inspect and clean the instrument. Thus, the cover glass in the measuring area can easily be washed or replaced. Further, it is easy to flush the hydrodynamic focussing device including
5 the nozzle. As the nozzle assembly is revolving in relation to the system's optical axis, various angles of incidence can be obtained for the liquid jet containing particles. Thus, the nozzle assembly can be turned between a vertical and a horizontal position in relation
10 to the level of the measuring area. The nozzle assembly's rotating device makes it possible to measure the asymmetric effects of the particles or the cells which are being studied. This is of interest when one needs information about the particles' form. Such effects are
15 difficult to measure when the liquid jet is permanently vertically aimed at the measuring area's level, as with the above mentioned well-known systems where a closed liquid flow chamber is applied.

A drainage device is situated in the periphery of the
20 optics' measuring area, and this device is preferentially constituted by a tube with suction.

In the following the invention will be described with reference to the enclosed figure showing a schematic side view of the present device. The nozzle assembly
25 is adjusted so that it forms an acute angle with the level of the measuring area.

The nozzle assembly (1) is placed in a holder (2) with

an inlet tube (3) for the sample suspension containing particles which are to be studied. This inlet tube (3) dissolved into a thin tube which extends centrally and axially into the nozzle assembly (1). Further, the holder (2) has an inlet tube (5) for sheath flow liquid, and this tube leads into the nozzle assembly (1) so that the sheath flow liquid is able to flow around the cavity needle (4). The nozzle assembly has a nozzle (6) with its outlet over a cover glass (7) situated above and in contact with an immersion oil coating (8) on a microscope objective (9).

The figure shows that the cover glass (7) is resting on a holder (10) connected to the microscope stage. As mentioned above, the nozzle assembly (1) can be rotated in relation to the system's optical axis, i.e. between a vertical and a horizontal position, represented by the y-axis and the x-axis, respectively. In the figure the holder (2) with the nozzle assembly (1) and the nozzle (6) are rotated in relation to the y-axis with an acute angle called α . In order to remove liquid from the cover glass (7) as this flows out of the nozzle (6) a drainage (12) connected to a suction pipe has been set up.

As for a further description of the liquid flow cytophotometer, describing how the present and schematically shown device is operated, one refers to and includes literature in this field which is known to the specialist.



When using the present device in a liquid flow cytophotometer, a particle- or cell-suspension is lead through the tube (3). At the same time a sheath flow liquid is lead through the tube (5), and by means of the nozzle (6) on the nozzle assembly (1) these two components create a hydrodynamically focussed sample stream consisting of a liquid flow in the air, which is directed towards the microscope cover glass (7) on the objective system (8, 9). In this way a laminar, well-defined and stable flowing area is obtained on the cover glass (7). This can be observed through the fluorescence microscope in incident light, i.e. with the excitation light focussed through the objective (9). The liquid is removed from the cover glass (7) by means of the drainage (12).

It has been found that large angles of incidence, for instance $\alpha = 70^\circ$, give somewhat better results than those obtained when using other settings of the angle of incidence, for instance $\alpha = 0^\circ$, i.e. the liquid flow is lead perpendicularly onto the cover glass (7).

Generally, the present device may be applied in connection with any fluorescence microscope with the illumination through the objective. The high speed of the liquid flow in the air (approximately 10 m/sec.) and the laminar flowing pattern on the cover glass has the effect that the system may be orientated in any direction. The present device enables the specialist to set up a liquid flow cytophotometer which is superior as regards re-

solution, simplicity and rational use, and which makes it possible to obtain measuring results at least as good as those obtained by well-known commercially available systems.

- 5 When set up vertically the present device may be applied for volume measurements based on the Coulter principle by applying a metal coating on the inner surface of the nozzle (6) and as a ring on the upper surface of the cover glass (7), thus providing electrodes for the ionic
- 10 current applied for such measurements. In this way the short liquid flow between the nozzle (6) and the cover glass (7) will constitute the sensing region of a change in the electric impedance induced by a passing particle or cell. Furthermore, light scatter measurements may be
- 15 made by using a phase contrast objective and an annular detector situated in the shade thrown by the phase contrast ring. Large angles of incidence (i.e. 70° or more) are most practical for such light scatter measurements, because such angles of incidence make it possible
- 20 to center a light scatter detector in the area of the optical axis.

Patent claims

1. Device for liquid flow cytophotometer including a device for hydrodynamic focussing (4, 6) of a cell- or particle-suspension towards a measuring area, and an optical excitation- and detection-system (9), preferen-
5 tially based on oil immersion optics, c h a r a c -
t e r i z e d b y the focussing device being formed as a - in relation to the system's optical axis - ro-
tating nozzle assembly (1) directed towards a surface
(7) which is open in relation to the surrounding at-
10 mosphere and situated on the optics (9), forming the
optics' measuring area, thus supplying a laminar liquid
flow of the above-mentioned suspension.

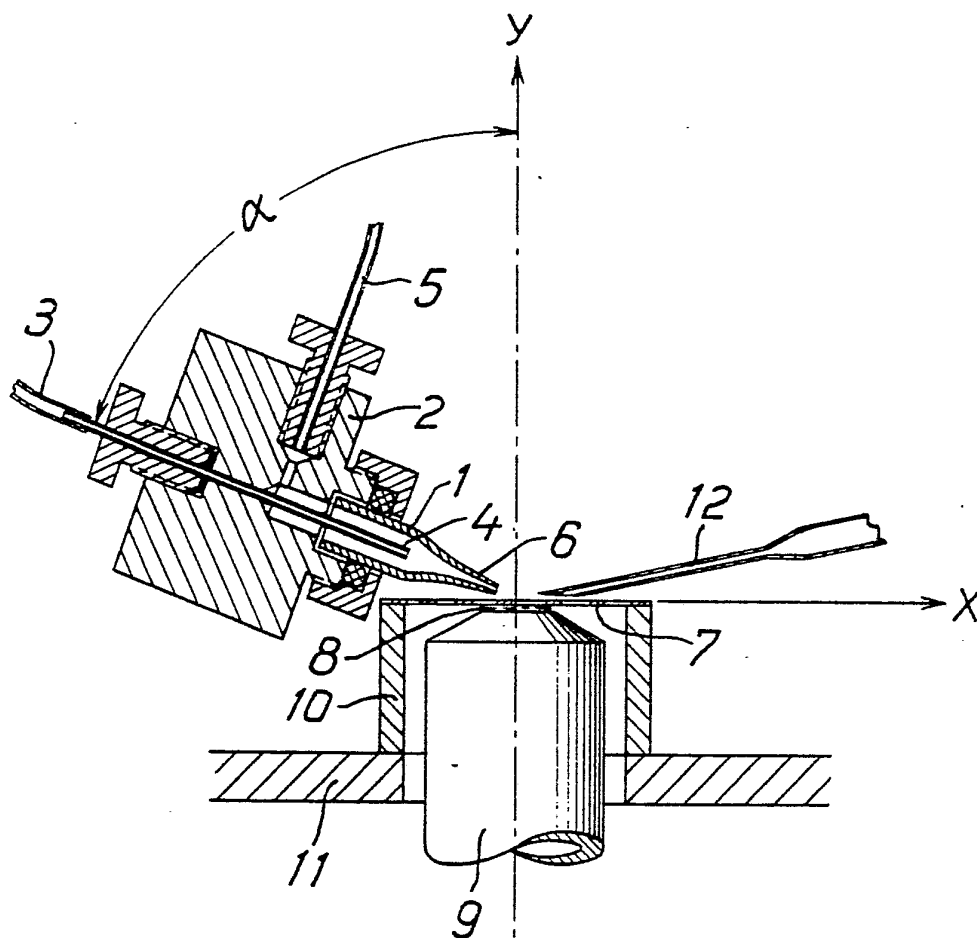
2. Device according to claim 1, c h a r a c t e r -
i z e d b y the nozzle being situated in the sur-
15 rounding free atmosphere.

3. Device according to claim 1, c h a r a c t e r -
i z e d b y the measuring area being made by the
surface of a transparent plate, for instance a replace-
able cover glass, in contact with the optics.

4. Device according to claim 1, c h a r a c t e r -
i z e d b y the nozzle assembly being rotatable be-
tween a vertical and horizontal position.
5. Device according to claim 1, c h a r a c t e r -
5 i z e d b y a drainage device - made by a tube
connected to a suction pipe - being situated in the
periphery of the measuring area.



1/1



INTERNATIONAL SEARCH REPORT

International Application No **PCT/EP 80/00021**

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) ³		
According to International Patent Classification (IPC) or to both National Classification and IPC		
Int. Cl. ³ : G 01 N 15/07		
II. FIELDS SEARCHED		
Minimum Documentation Searched ⁴		
Classification System	Classification Symbols	
Int. Cl. ³	G 01 N 15/07	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched ⁵		
III. DOCUMENTS CONSIDERED TO BE RELEVANT ¹⁴		
Category ⁶	Citation of Document, ¹⁶ with indication, where appropriate, of the relevant passages ¹⁷	Relevant to Claim No. ¹⁸
A	US, A, 3738759, published June 12, 1973 see particularly columns 4-5, figures 4-5, W. Dittrich et al. ---	1
A	DE, A, 2656263, published August 24, 1978 see particularly pages 13-14, figures 7-8, Max Planck Gesellschaft ---	1
A	US, A, 3661460, published May 9, 1972 see particularly columns 4-5, figures 2A-2B; A. Elking et al. ---	1
A	REVIEW OF SCIENTIFIC INSTRUMENTS. volume 46 no. 8, published August 1975, by American Institute of Physics (New York, US) W. Eisert et al., "Simple flow micro- photometer for rapid cell population analysis", see pages 1021-1024, see in particular page 1022, figure 2 -----	1
<p>⁹ Special categories of cited documents: ¹⁵</p> <p>"A" document defining the general state of the art</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document cited for special reason other than those referred to in the other categories</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but on or after the priority date claimed</p> <p>"T" later document published on or after the international filing date or priority date and not in conflict with the application, but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance</p>		
IV. CERTIFICATION		
Date of the Actual Completion of the International Search ¹	Date of Mailing of this International Search Report ²	
June 26, 1980	July 7, 1980	
International Searching Authority ¹	Signature of Authorized Officer ²⁰	
European Patent Office	G.L.M. KRUYDENBERG	