(12) UK Patent Application (19) GB (11) 2 372 598 (13) A

(43) Date of A Publication 28.08.2002

(21) Application No 0104823.0

(22) Date of Filing 26.02.2001

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(51) INT CL[7]
G06F 17/30

(52) UK CL (Edition T)
G4A AUDB

(56) Documents Cited
"Improving the Performance of Multi-Dimensional Access Structures Based on k-d-Trees", Andreas Henrich, Proceedings of the 12th International Conference on Data Engineering (ICDE'96)

(58) Field of Search
UK CL (Edition T) **G4A AUDB**
INT CL[7] **G06F 17/30**
Online: WPI, Epodoc, PAJ; Internet.

(54) Abstract Title
Organising data in a database

(57) A database is provided in which conclusion sets (20, 22, 24, 26, 28 and 30) are divided into a hierarchical series of levels (level 1, level 2, level 3). Data is added to a conclusion set at the first level (level 1) until such time as that conclusion set is full. Data is then migrated from the conclusion set (20) to its subordinate conclusion sets (24, 26) therefore reducing the amount of disc access required to add data to the database since multiple entries are migrated in relatively few disc access operations.

Fig 2

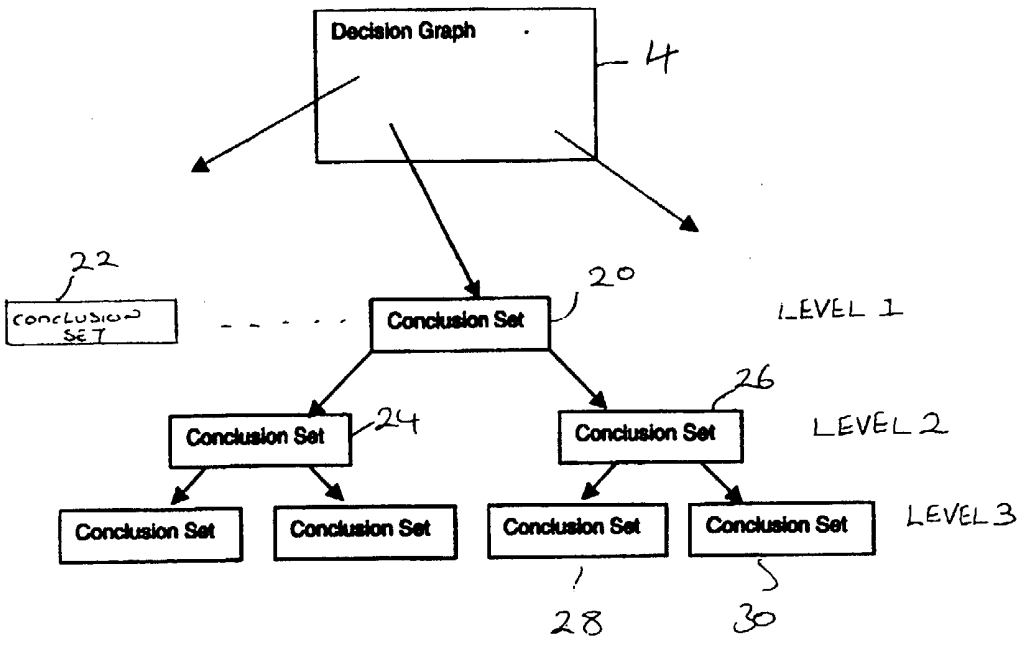

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Fig 1

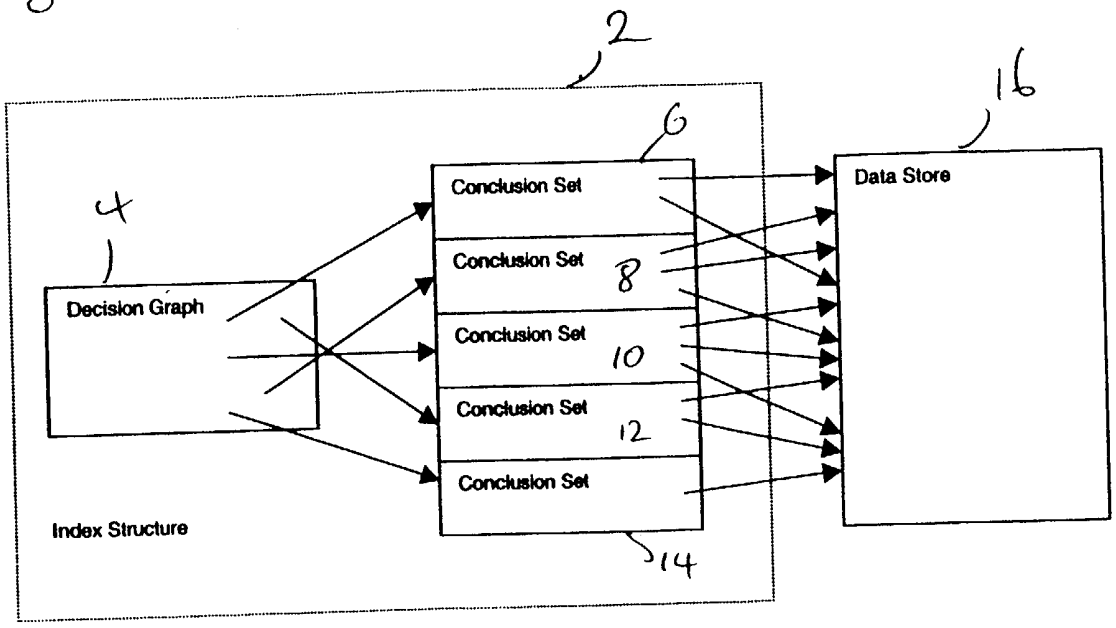
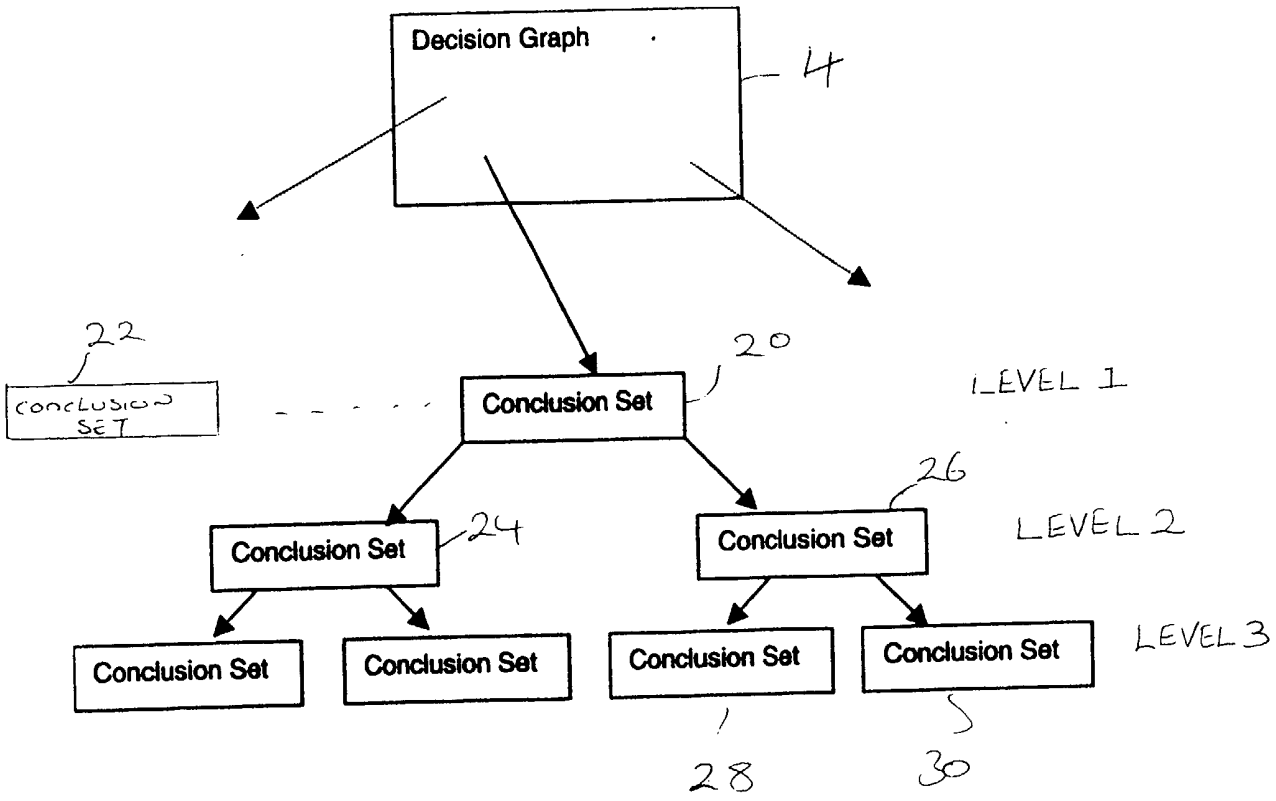

2
16
6
4
Conclusion Set
Conclusion Set
8
Conclusion Set
10
Conclusion Set
12
Conclusion Set
Data Store
Decision Graph
Index Structure
14

Fig 2


Decision Graph
4
22
CONCLUSION SET
20
Conclusion Set
LEVEL 1
26
Conclusion Set
LEVEL 2
24
Conclusion Set
Conclusion Set
Conclusion Set
Conclusion Set
LEVEL 3
28
30

ORGANISING DATA IN A DATABASE

The present invention relates to a method of organising data within a database, and to a database implementing such a method.

Typically databases, whether they are of the type described in the applicant's co-pending British patent application GB 0029238.3, or whether they are of other known types, such as "B-tree" structure have a decision graph or other index which points towards conclusion sets which store data which matches the search criteria. Additionally and/or alternatively the conclusion sets may store pointers which point to the location of the data which matches the search key.

In any database of any reasonable size, the conclusion sets are stored on mass storage media, which at the moment typically means hard disc drives. Hard disc devices tend to be considerably slower than semiconductor memory and consequently database performance can be compromised by having to perform these input/output (I/O) operations with these mass storage devices. Even with a minimal index overhead a database typically has to perform 2 I/O operations as part of the read, modify and rewrite cycle to insert data into the index and conclusion set.

According to a first aspect of the present invention, there is provided a method of organising storage of data in a database, in which conclusion sets for the database are arranged in a hierarchical structure, and in which the conclusion sets are arranged such that items are inserted into a selected conclusion set at a first level of significance until the number of items reaches a threshold value for the selected conclusion set, and then the contents of the selected conclusion set are migrated to subordinate conclusion sets, thereby emptying the selected conclusion set.

It is thus possible to provide a modified conclusion set structure which significantly reduces the number of conclusion sets which immediately follow the output of the decision graph.

A prior art database will have a single "layer" of conclusion sets accessible from the decision graph. Every new item of data inserted into the conclusion set will require at least 2 I/O operations to include that data (if the data can belong to only one conclusion set, and possibly more I/O operations if the inserted data can belong to more than one conclusion set).

By organising the conclusion sets in a hierarchical structure, the number of conclusion sets immediately accessible from the decision graph can be much reduced. Indeed, it becomes possible to keep the most hierarchically significant, (that is top level) conclusion sets in fast memory, such as semiconductor memory.

By holding the top level conclusion sets in semiconductor memory, no I/O cost is incurred when inserting data into the database. It is thus possible to provide a significant improvement in database performance during key and data insertion operations.

Advantageously the high level conclusion sets effectively cache data until such time as the conclusion set becomes full or the number of entries therein exceeds a predetermined level. The conclusion set is then emptied by migrating its contents to subordinate conclusion sets. During the migration process the data is sorted with reference to a search criterion, that is a search key, such that the data can be expected to be randomly distributed between the immediately subordinate conclusion sets. This filling and migrating process can be repeated for a plurality of hierarchical levels within the conclusion set structure.

The migration of data may require, and indeed often requires, transfer of data between one or more conclusion sets held on mass media storage. Thus disc read and disc write operations are incurred, but now these occur for a conclusion set as a whole rather than for each individual item within the conclusion set and consequently the I/O cost per entry becomes much reduced.

Advantageously, during key retrieval or deletion the appropriate top level conclusion set and each subordinate conclusion set whose decision criteria match the search key are examined in order to see if matching data are stored therein. Thus, the database query overhead is increased compared to prior art databases, but this is acceptable in some

database structures where the number of inserts is large, but the number of queries is relatively low.

According to a second aspect of the present invention, there is provided a database in which conclusion sets for the database are arranged in the hierarchical structure, and in which the conclusion sets are arranged such that items are inserted into a selected conclusion set at a first level of significance until the number of items therein reaches a threshold value, and then the contents of the selected conclusion set are migrated to subordinate conclusion sets, thereby emptying the selected conclusion set.

According to a third aspect of the present invention, there is provided a computer program product for causing a data processor to operate in accordance with the first aspect of the present invention.

The present invention will further be described, by way of example, with reference to the accompanying drawings, in which:

Figure 1 schematically illustrates a database having conclusion sets arranged in a conventional manner; and

Figure 2 schematically illustrates a database having conclusion sets arranged in accordance with the present invention.

The database shown in Figure 1 has an index 2 which comprises a decision graph 4 and a plurality of conclusion sets 6, 8, 10, 12 and 14. Each conclusion set is reached by one, and only one, path through the decision graph. However each conclusion then points to relevant entries within a data store 16.

The decision graph 4 comprises a plurality of decision nodes at which a search key is matched with decision criteria in order to define which path should be taken through the decision index. The internal organisation of the decision graph does not constitute part of the present invention, and consequently need not be described in detail here. However prior art indexing structures, such as the B-tree index may be utilised within the decision graph.

In the arrangement shown in Figure 1, all the conclusion sets 6, 8, 10, 12 and 14 have equal significance thus no conclusion set is more hierarchically significant than any other conclusion set and indeed there may be many hundreds or indeed thousands of conclusion sets. In the arrangement shown in Figure 2 the conclusion sets are arranged in a hierarchical structure. In the arrangement illustrated there are three levels of conclusion sets with level one being the hierarchically most significant, and level three being the hierarchically least significant. Thus in this arrangement, there is only one quarter of the number of level one conclusion sets as there are level three conclusion sets, and again in this example one level one conclusion set marks the entry to six other conclusion sets. Clearly, as the number of levels increase then for a given number of conclusion sets at the least significant level, the number of level one conclusion sets becomes progressively diminished.

Suppose now that it is desired to insert an entry into the database. The decision graph is navigated in accordance with the insertion key for the entry, as would be the case in prior art databases, to discover which conclusion set the entry belongs to. In the database illustrated in Figure 1, this would lead to one conclusion set being uniquely identified. However, in the present invention this results in one conclusion set 20 of a number of level one conclusion sets (of which only two 20 and 22 are shown for clarity) being identified. Advantageously the level one conclusion sets 20 and 22 are also held in fast memory, that is either a fast mass media storage device or better still semiconductor memory, such that the time overhead in inserting data into conclusion set 20 is small compared to the time required to insert data into one of the conclusion sets 6, 8, 10, 12 and 14 of a conventional database. Indeed, if the level one conclusion sets 20 and 22 are held in semiconductor memory then there is no I/O cost incurred in writing to them.

During time, as more and more data is inserted into the database, the conclusion set 20 begins to fill. Once the number of entries in the conclusion set 20 reaches a predetermined number, corresponding to the conclusion set being full, the entries in the conclusion set 20 are migrated down to the immediately subordinate conclusion sets 24 and 26 which belong to level 2 of the hierarchical structure.

The decision on which of the lower level conclusion sets 22 and 24 receives an entry from the level one conclusion sets 20 is determined by continuing the navigation rules which exist within the decision graph 4. Thus, for example, if the decision graph 4 has rules based on individual bit values in increasing order of bit number, then the rule for migrating data from the top level conclusion set to the second level conclusion set 24 and 26 will use the next bit in the search key to determine which of the conclusion sets 24 or 26 should be recipient for each item of data. During this migration process, the conclusion set 20 becomes emptied.

The process of migration from an Nth level to an N+1th level occurs at each level within the conclusion set hierarchy as each conclusion set therein fills up. Thus once conclusion set 26 becomes full, it in turn migrates its data to its subordinate conclusion sets 28 and 30 on the third level of the hierarchy. In this example, the third level is the lowest level of the hierarchy and the conclusion sets 28 and 30 are not able to pass their data down to subordinate conclusion sets. However, if four or more levels of conclusion sets were included within this hierarchical structure, then the conclusion sets 28 and 30 could indeed migrate their data to their own subordinate conclusion sets as and when they became full. It can be expected that, assuming a random distribution of keys, that during migration half of the entries in the conclusion set 20 will go to conclusion set 24 and the other half will go to conclusion set 26. This process is repeated at each level of migration such that all of the entries are substantially equally distributed throughout the lower level conclusion sets.

The I/O cost of a migration can be illustrated in the operation of migrating data from conclusion set 26 to conclusion sets 28 and 30. In this process, the data must be read from the conclusion set 26, which requires one read from disc. The lower level conclusion sets 28 and 30 must also have their data read from disc, this requires two read operations. The data from the conclusion set 26 is then sorted to correctly point to the destination set 28 or 30, the entries are then updated and then the data for the two lower level conclusion sets 28 and 30 are written back to the disc, requiring two write operations. Then the conclusion set 26 is emptied, which requires one write operation. Thus this gives a total cost of six input/output operations per migration. However this single migration may effect hundreds of entries. It should also be noted that the migration from the top level conclusion set 20

will be less because it will typically reside in memory and consequently only the two reads to sets 24 and 26 and the writes to these sets 24 and 26 must be performed.

Assuming the hierarchical structure as shown in Figure 2, where the conclusion set has S sub-levels (where S = 2 in this case, S=(L-1) where L is the number of levels) the number of migration operations required to move an entry to the bottom most level is 6S. However, as each migration operation moves an entire (full) conclusion set which contains E entries, then the I/O cost per entry is given by:

$$\text{I/O cost} = \frac{6S}{E}$$

therefore if E is large enough and/or S is small enough the I/O cost can be significantly reduced compared to the prior art scheme illustrated in Figure 1 which has an I/O cost of 2 per entry. Thus, with the conclusion set that holds 100 entries, and a conclusion set depth of 8, the I/O cost per insert is $6 \times \frac{8}{100} = 0.48$.

It should be noted that for a fixed index size, whilst reducing S increases the insert throughput, it also increases the number of conclusion sets that must be held in memory for the benefit to be realised.

When querying the index for a specific key, each conclusion set in a single path running to the very least significant conclusion set must be queried. Thus, if the hierarchical structure consists of L levels, then L conclusion sets must be queried in order to find all results that match the key, since the key may reside at any level within the hierarchy. Thus this indexing scheme increases throughput, or the ease at which entries may be added to the index, at the expense of degrading query performance. However, this trade-off is acceptable in any application which has to accept large amounts of data but queries it infrequently. An example of such an application is a fraud detection system that has to load every transaction, but only queries those transactions relating to suspicious activity.

It is thus possible to provide an improved database performance by modifying the structure of the conclusion sets into a hierarchical structure.

CLAIMS

1. A method of organising storage of data in a database, in which conclusion sets for the database are arranged in a hierarchical structure, and in which the conclusion sets are arranged such that items are inserted into a selected conclusion set at a first level of significance until the number of items reaches a threshold value for the selected conclusion set, and then the contents of the selected conclusion set are migrated to subordinate conclusion sets, thereby emptying the selected conclusion set.

2. A method as claimed in claim 1, in which each conclusion set, has zero, one or two immediately subordinate conclusion sets.

3. A method as claimed in claim 1 or 2, in which, during migration the data in the selected conclusion set is compared with a search criterion in order to select which of the immediately subordinate conclusion sets an entry is transferred to.

4. A method as claimed in claim 3, in which the database includes a decision graph and the search criterion is effectively an extension of the decision graph.

5. A method as claimed in any one of the preceding claims, in which the hierarchical structure of conclusion sets is arranged into a layered structure.

6. A method as claimed in claim 5, in which the layered structure comprises L levels, with each conclusion set in the 1st to (L-1)th level having two immediately subordinate conclusion sets in the 2nd to Lth level, respectively.

7. A method as claimed in claim 6, in which once a given conclusion set in the Nth level, where N is an integer from 1 to (L-1) has a predetermined number of entries in it, its contents are migrated to it's immediately subordinate conclusion sets.

8. A method as claimed in any one of the preceding claims, in which conclusion sets having a hierarchical significance above a predetermined value are stored, in use, in fast memory.

9. A database in which conclusion sets of the database are arranged in a hierarchical structure, and in which the conclusion sets are arranged such that items are inserted into a selected conclusion set at a first level of significance until the number of items reaches a threshold value for the selected conclusion set, and then the contents of the selected conclusion set are migrated to subordinate conclusion sets, thereby emptying the selected conclusion set.

10. A computer program product for causing a data processor to implement the method of claim 1.

Application No: GB 0104823.0
Claims searched: All

Examiner: R. F. King
Date of search: 12 February 2002

Patents Act 1977
Search Report under Section 17

Databases searched:

UK Patent Office collections, including GB, EP, WO & US patent specifications, in:

UK Cl (Ed.T): G4A[AUDB]

Int Cl (Ed.7): G06F [17/30]

Other: Online: WPI, EPODOC, PAJ, , INTERNET

Documents considered to be relevant:

Category	Identity of document and relevant passage	Relevant to claims
X	"Improving the Performance of Multi-Dimensional Access Structures Based on k-d-Trees", Andreas Henrich, Proceedings of the 12th International Conference on Data Engineering (ICDE'96). See whole article.	1 at least

X	Document indicating lack of novelty or inventive step	A	Document indicating technological background and/or state of the art.
Y	Document indicating lack of inventive step if combined with one or more other documents of same category.	P	Document published on or after the declared priority date but before the filing date of this invention.
&	Member of the same patent family	E	Patent document published on or after, but with priority date earlier than, the filing date of this application.