WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6: C12N 15/11, A61K 31/70, C07H 21/04

A3

(11) International Publication Number:

WO 98/11211

(43) International Publication Date:

19 March 1998 (19.03.98)

(21) International Application Number:

PCT/US97/16017

(22) International Filing Date:

10 September 1997 (10.09.97)

(30) Priority Data:

08/711,568

10 September 1996 (10.09.96) US

(71) Applicant: HYBRIDON, INC. [US/US]; 620 Memorial Drive, Cambridge, MA 02139 (US).

(72) Inventor: AGRAWAL, Sudhir; 61 Lamplighter Drive, Shrewsbury, MA 01545 (US).

(74) Agents: KEOWN, Wayne, A. et al.; Hale and Dorr LLP, 60 State Street, Boston, MA 02109 (US).

(81) Designated States: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(88) Date of publication of the international search report: 16 April 1998 (16.04.98)

(54) Title: METHOD FOR USING OLIGONUCLEOTIDES HAVING MODIFIED CpG DINUCLEOSIDES

(57) Abstract

The invention relates to modified oligonucleotides that are useful for studies of gene expression and for the antisense therapeutic approach. The invention provides modified oligonucleotides that inhibit gene expression and that produce fewer side effects than conventional phosphorothioate oligonucleotides. In particular, the invention provides modified CpG-containing oligonucleotides that result in reduced splenomegaly and platelet depletion when administered to a mammal, relative to conventional CpG-containing phosphorothioate oligonucleotides. The innertion further provides methods for using such oligonucleotides to modulate gene expression in vivo, including such use for therapeutic treatment of diseases caused by aberrant gene expression.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL AM AT AU AZ BA BB BE BF BG BJ BR CA CF CG CH CI CM CN CU CZ DE DK EE	Albania Armenia Austria Australia Azerbaijan Bosnia and Herzegovina Barbados Belgium Burkina Faso Bulgaria Benin Brazil Belarus Canada Central African Republic Congo Switzerland Côte d'Ivoire Cameroon Chima Cuba Czech Republic Germany Denmark Estonia	ES FI FR GA GB GE GH GN GR HU IE IL IS IT JP KE KG KP KR LC LI LK LR	Spain Finland France Gabon United Kingdom Georgia Ghana Guinea Greece Hungary Ireland Israel Iceland Italy Japan Kenya Kyrgyzstan Democratic People's Republic of Korea Republic of Korea Kazakstan Saint Lucia Liechtenstein Sri Lanka Liberia	LS LT LU LV MC MD MG MK ML MN MR MW MX NE NL NO NZ PL PT RO RU SD SE SG	Lesotho Lithuania Luxembourg Latvia Monaco Republic of Moldova Madagascar The former Yugoslav Republic of Macedonia Mali Mongolia Mauritania Malawi Mexico Niger Netherlands Norway New Zealand Poland Portugal Romania Russian Federation Sudan Sweden Singapore	SI SK SN SZ TD TG TJ TM TR TT UA UG US VN YU ZW	Slovenia Slovakia Senegal Swaziland Chad Togo Tajikistan Turkmenistan Turkey Trinidad and Tobago Ukraine Uganda United States of America Uzbekistan Viet Nam Yugoslavia Zimbabwe	
---	--	--	---	---	---	---	--	--

Interna al Application No PCT/US 97/16017

PCT/US 97/16017 A. CLASSIFICATION OF SUBJECT MATTER IPC 6 C12N15/11 A61 C07H21/04 A61K31/70 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) IPC 6 C12N A61K C07H Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Citation of document, with indication, where appropriate, of the relevant passages Category o 1-4 WO 96 02555 A (UNIV IOWA RES FOUND) 1 Χ February 1996 see page 8, line 18 - line 24 see page 10, line 22 - line 30 see table 1 see page 21, line 28 - page 24, line 25 1-4 γ see claim 22 1 - 4ZHAO Q ET AL: "EFFECT OF DIFFERENT Y CHEMICALLY MODIFIED OLIGODEOXYNUCLEOTIDES ON IMMUNE STIMULATION" BIOCHEMICAL PHARMACOLOGY, vol. 51, no. 2, 26 January 1996, pages 173-182, XP000610208 cited in the application see the whole document -/--Patent family members are listed in annex. Further documents are listed in the continuation of box C. X Х ° Special categories of cited documents : "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the "A" document defining the general state of the art which is not considered to be of particular relevance invention "E" earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu-"O" document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled in the art. other means "P" dooument published prior to the international filing date but later than the priority date claimed "&" document member of the same patent family Date of mailing of the international search report Date of the actual completion of the international search **n** 5, 03, 98 27 January 1998 Authorized officer Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2

NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016

1

Andres, S

Inter: nal Application No PCT/US 97/16017

		PC1/03 97/10017	
C.(Continua	ation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.	
Y	WO 96 19572 A (HYBRIDON INC ;TANG JINYAN (US); ROSKEY ALLYSEN M (US); AGRAWAL SUD) 27 June 1996 see page 8, line 1 - line 11 see claims	2	
X	KRIEG A M ET AL: "CPG MOTIFS IN BACTERIAL DNA TRIGGER DIRECT B-CELL ACTIVATION" NATURE, vol. 374, 6 April 1995, pages 546-549, XP000197060 cited in the application see the whole document	1-4	
X	STEIN C A: "Phosphorothioate antisense oligodeoxynucleotides: questions of specificity" TRENDS IN BIOTECHNOLOGY, vol. 14, no. 5, May 1996, page 147-149 XP004035783 see page 149, left-hand column, paragraph 2 - right-hand column	1-4	
Α	BALLAS, Z. ET AL.: "Induction of NK activity in murine and human cells by CpG motifs in oligodeoxynucleotides and bacterial DNA" JOURNAL OF IMMUNOLOGY., vol. 157, 1 September 1996, BALTIMORE US, pages 1840-1845, XP002053416 see the whole document	1-4	
A	SPROAT B S: "Chemistry and applications of oligonucleotide analogues" JOURNAL OF BIOTECHNOLOGY, vol. 41, no. 2, 31 July 1995, page 221-238 XP004036938 see the whole document	2	
А	TORRENCE P F ET AL: "TARGETING RNA FOR DEGRADATION WITH A (2'-5')OLIGOADENYLATE-ANTISENSE CHIMERA" PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA, vol. 90, no. 4, February 1993, pages 1300-1304, XP000644528 see the whole document	2	
	-/		

Interr nal Application No PCT/US 97/16017

		PC1/03 97/10017	
C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT Otherwise Citation of decument with indication where appropriate of the relevant passages. Relevant to claim No.			
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Helevant to claim No.	
Х,Р	AGRAWAL S: "Antisense oligonucleotides: towards clinical trials" TRENDS IN BIOTECHNOLOGY, vol. 14, no. 10, October 1996, page 376-387 XP004035728 see page 384, left-hand column, line 2 - page 386 see figures 6,7	1-4	
Т	ZHAO, Q. ET AL.: "Pattern and kinetics of cytokine production following administration of phosphorothioate oligonucleotides in mice" ANTISENSE & NUCLEIC ACID DRUG DEVELOPMENT., vol. 7, October 1997, NEW YORK US, pages 495-502, XP002053417 see the whole document	1-4	
T	BOGGS, R. ET AL.: "Characterization and modulation of immune stimulation by modified oligonucleotides" ANTISENSE & NUCLEIC ACID DRUG DEVELOPMENT., vol. 7, October 1997, NEW YORK US, pages 461-471, XP002053418 see the whole document	1-4	

1

tional application No. PCT/US 97/16017

Box I	Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)
This Inter	rnational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:
1. X	Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely: See FURTHER INFORMATION sheet PCT/ISA/210
2.	Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3.	Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II	Observations where unity of invention is lacking (Continuation of item 2 of first sheet)
This Inte	mational Searching Authority found multiple inventions in this international application, as follows:
se	e additional sheet
1.	As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. X	As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.	As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.	No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:
Remark	The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

1. Claims: 1-4 (all partially)

A composition for inhibiting gene expression with reduced side-effects comprising an alkylphosphonate CpG, and therapeutic methods using it.

2. Claims: 1-4 (all partially)

A composition for inhibiting gene expression with reduced side-effects comprising an inverted CpG, and therapeutic methods using it.

3. Claims: 1-4 (all partially)

A composition for inhibiting gene expression with reduced side-effects comprising a 2'-0-substituted CpG, and therapeutic methods using it.

4. Claims: 1-4 (all partially)

A composition for inhibiting gene expression with reduced side-effects comprising a 5-methylcytosine CpG, and therapeutic methods using it.

5. Claims: 1-4 (all partially)

A composition for inhibiting gene expression with reduced side-effects comprising a stereospecific phosphorothicate CpG, and therapeutic methods using it.

6. Claims: 1-4 (all partially)

A composition for inhibiting gene expression with reduced side-effects comprising a phosphotriester CpG, and therapeutic methods using it.

7. Claims: 1-4 (all partially)

A composition for inhibiting gene expression with reduced side-effects comprising a phosphoramidate CpG, and therapeutic methods using it.

8. Claims: 1-4 (all partially)

A composition for inhibiting gene expression with reduced side-effects comprising a 2'-5' CpG, and therapeutic methods using it.

International Application No. PCT/US 97 /16017

FURTHER INFORMATION CONTINUED FROM	PCT/ISA/ 210
	4 are directed to a method of treatment of arch has been carried out and based on the d/composition.
alleged effects of the compound	d/composition.

information on patent family members

Intern 1al Application No
PCT/US 97/16017

Patent document cited in search report	Publication date	Patent family member(s)	Publication date	
WO 9602555 A	01-02-96	AU 1912795 A EP 0772619 A	16-02-96 14-05-97	
WO 9619572 A	27-06-96	AU 4514696 A CA 2208528 A EP 0807171 A	10-07-96 27-06-96 19-11-97	