United States Patent Office

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3,344,068 ESTER BASE LUBRICANTS Francis H. Waight, Mold, and Alexander C. B. MacPhail, Wirral, England, assignors to Shell Oil Company, New York, N.Y., a corporation of Delaware No Drawing. Filed May 19, 1965, Ser. No. 457,222 Claims priority, application Great Britain, Mar. 11, 1964,

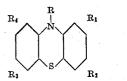
10,263/64 7 Claims. (Cl. 252--47.5)

This application is a continuation-in-part of application Ser. No. 436,945, filed Mar. 3, 1965 (now abandoned).

This invention relates to an ester base lubricant having good oxidation stability which is particularly useful for lubricating gas turbine engines.

Oils of the invention comprise major amounts of an ester base oil and minor amounts sufficient to enhance the oxidation stability of

(1) An N-substituted phenothiazine having the formula



wherein R is selected from a hydrocarbyl group having 1 to 12 carbons, perferably 1 to 6 carbons, and a cyano-alkyl group having 1 to 20 carbons, preferably 2 to 8 30 carbons; R1 is selected from the group consisting of alkyl and alkoxy groups having from 1 to 12, preferably 4 to 8, carbons; and R2, R3, and R4 are selected from the group consisting of hydrogen and C1-C12, preferably C4 to C8, 35 alkyl and alkoxy groups; and

(2) A secondary aromatic amine having two aromatic groups attached to the nitrogen atom.

The hydrocarbyl group can be alkyl, aryl, alkaryl, or aralkyl

The N-substituted phenothiazines of the present invention preferably have one alkyl or alkoxy radical as substituent in each aromatic ring comprising the phenothiazine molecule and 3,7-substitution is most preferred. Preparation of N-substituted phenothiazines is described, for 45 example, in British Patent No. 873,066, issued July 19, 1961, to Shimmin and Moffatt. N-substituted phenothiazines which are particularly useful for the purposes of the present invention are 10-methyl-3,7-dioctyl phenothiazine, 10-n-butyl-3,7-dioctyl phenothiazine, 10-n-octyl-50 3,7-dioctyl phenothiazine, 10-phenyl-3,7-dioctyl phenothiazine, 10-tolyl-3,7-dioctyl phenothiazine, 10-cyanoethyl - 3,7 - dioctyl phenothiazine, 10-cyanopropyl-3,7dioctyl phenothiazine, 10-cyanobutyl-3,7-dioctyl pheno-thiazine, 10-benzyl-3,7-dioctyl phenothiazine, 10-benzyl-3,7 - dibutyl phenothiazine, 10 - benzyl-3,7-diisopropoxy phenothiazine, 10-benzyl-3,7-dibutoxy phenothiazine and mixtures thereof.

Any secondary aromatic amine may be used in conjunction with the N-substituted phenothiazines for the 60 purposes of the present invention; certain diphenylamines and N-aryl naphthylamines are, however, preferred. Suitable diphenylamines are unsubstituted diphenylamine and those having an alkyl or alkoxy radical containing from 4 to 20 carbon atoms substituted in the para position of at least one aromatic nucleus; di-substituted diphenylamines are preferred, particularly p,p'-dioctyl diphenyl-amine. Examples of N-aryl naphthylamines, which may be alkyl substituted in at least one aromatic nucleus by one or more alkyl groups which may contain a total of up to 20 carbon atoms, include phenyl-α-naphthylamine, phenyl- β -naphthylamine and β , β -dinaphthylamine; phenyl-

 α -naphthylamine is preferred. It also appears that when the ester comprising the major proportion of the present ester base composition is a simple ester it is preferable to use diphenylamines, e.g., p,p'-dioctyldiphenylamine, especially in admixture with N-aryl naphthylamines, as the secondary aromatic amine, whereas N-aryl naphthylamines, e.g. phenyl-a-naphthylamine, appear to be preferable for use with ployesters.

The additives of the invention are employed in minor 10 amounts sufficient to enhance the oxidation stability of the oil; in general, they will be present in an amount of 0.05 to 10% by weight, preferably 0.1 to 5% by weight of the final composition.

Esters suitable as the ester base in the composition of 15 the present invention are simple esters, complex esters, polyesters, or mixtures thereof to which may be added other additives, depending upon the properties desired for the composition and the temperature conditions under which it is to be used. By simple ester is meant an ester 20 formed between an aliphatic dicarboxylic acid and an aliphatic monohydric alcohol, preferred simple esters being formed from an aliphatic dicarboxylic acid containing from 6 to 10 carbon atoms in the molecule and a branched chain monohydric alcohol containing from 6 to 12 carbon atoms in the molecule, especially those alcohols having no hydrogen on the beta carbon atom. Examples of simple esters useful for the purposes of the present invention are diisooctyl sebacate, di(2-ethyl hexyl) sebacate, diisooctyl azelate, di(3,5,5-trimethyl hexyl) adipate, 2-ethyl hexyl-3,5,5-trimethyl hexyl sebacate and 2,2,4-trimethyl pentyl azelate.

By complex ester is meant an ester formed from various combinations of an aliphatic dicarboxylic acid, a glycol or polyglycol and either or both of an aliphatic monohydric alcohol and an aliphatic monocarboxylic acid. Some typical complex ester structures are represented by AD(GD)nA, A(DG)nM and MG(DG)nM, wherein A, D, G and M represent the esterification residues from an aliphatic monohydric alcohol, an aliphatic dicarboxylic acid, a glycol or polyglycol and an aliphatic monocarboxylic acid respectively and n is a number from 1 to 6. Examples of typical starting material suitable for the preparation of such esters are 2-ethyl butyl alcohol, 2ethyl hexyl alcohol, caproic acids, pelargonic acid, capric acid, neopentyl glycol, ethylene glycol, propylene glycol, polyglycols such as polyethylene glycols, sebacic acid, adipic acid, azelaic acid and pimelic acid.

By polyesters in meant esters made from aliphatic alcohols having therein at least 3 hydroxyl groups, for example esters made from trimethylol propane. Tetraesters such as pentaerythritol tetraesters of dimers or trimers of the same and dipentaerythritol esters of C_4-C_{20} acids, especially C5-C10 acids, are particularly preferred. These esters are commerically available from Hercules Chemical Company.

Thickeners may also be added to oils of the invention to improve viscosity properties. Suitable thickeners are polymers of esters of acrylic or an alkyl substituted acrylic acid, for example lauryl methacrylate, or an ether of a polyoxyalkylene glycol of the general formula $R_5O(R_7O)_nR_6$, wherein R_5 is an alkyl group, R_6 is hydrogen or an alkyl group, R7 is an alkylene group of 2 to 4 carbon atoms and n is a number greater than unity. Suitable compounds are marketed under the trade name 65 "Uncon," specific examples being "LB385," "LB525," "LB625". Also suitable are copolymers of propylene "Uncon," oxide and ethylene oxide marketed under the trade name "Oxilube," e.g. Oxilube 85/140. The thickeners may be used in proportions of up to 40% by weight calculated on 70the final lubricant composition depending upon the viscometric properties required for said lubricant.

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The ester base compositions of the present invention may additionally contain extreme pressure additives, additional antioxidants, metal deactivators, anti-corrosion agents, anti-foaming agents, dyestuffs, and other additives known to be suitable for use with ester base lubricant 5 formulations.

Suitable E.P. agents are esters of acids of phosphorus, such as triphenyl phosphorothionate, tritolyl phosphorothionate, and trixylyl phosphorothionate; phosphate esters e.g. triphenyl phosphate and tritolyl phosphate may 10 also be used but are preferably used in conjunction with a minor proportion e.g. 0.1% weight based on the whole lubricant formulation of a secondary amine such as dimethyl (3,5-ditertiary butyl-4-hydroxy benzyl)amine. Another class of phosphorus-containing E.P. additives 15 which may be employed in ester base compositions of the present invention are diaryl haloalkyl phosphates, e.g. diphenyl-2,2-bis(chloromethyl)3-chloromethyl phosphorothionate. Yet another class of E.P. additives which may usefully be employed in the present ester base com- 20 positions are the chlorinated di- or polyphenyls, i.e. diphenyls, terphenyls, higher polyphenyls or mixtures thereof containing at least one chlorine atom attached directly to each benzene nucleus, for example chlorinated dior polyphenyls sold under the trade name "Arochlor." 25Still another class of E.P. additives suitable for use in ester base compositions of the present invention are substituted oxetane polymers, i.e. polymers prepared from oxetanes having the formula



wherein one X is chlorine or bromine and the others are chosen from hydrogen, chlorine or bromine, e.g. 3,3bis(chloromethyl)oxetane.

A class of E.P. additives which are particularly useful for imparting extra resistance to extreme pressure con- 40 ditions are the monochloromethylphosphonic acid salts of tertiary alkyl primary amines, for example the monochloromethylphosphonic acid salts of C_{18-22} tertiary alkyl primary amines.

A wide variety of materials may be used as metal deactivators and anti-corrosion agents, depending on the metal or metals with which the ester base composition of the present invention will come into contact. Materials which are particularly useful as affording protection of, for example, copper, copper alloys and silver against corrosive attack are the triazoles, for example, 1,2,3-benztriazole, methyl-1,2,3-benztriazole, 3-amino-5-methyl-1, 2,4-triazole, especially 3-amino-5-phenyl-1,2,4-triazole, 3-amino-5-pyridyl-1,2,4-triazole, 3-amino-5-anilido-1,2,4triazole and 5,5'-diamino-3,3-bi(1,2,4-triazole), used in amounts up to about 1%, preferably about 0.5% wt. of the composition. Also useful for providing protection for metals such as copper, cadmium, silver and magnesium are minor amounts, e.g. up to 1% by weight, preferably up to 0.5% by weight of pyridylamines, particularly dipyridylamines, e.g. 2,2'-dipyridylamine. Small proportions, e.g. from 0.01 to 0.2% by weight, of sebacic, azelaic or adipic acid are useful as inhibitors of lead corrosion while metal petroleum sulfonates, e.g. calcium petroleum sulfonate, are useful as rust inhibitors.

Suitable anti-foaming agents are the polydimethyl siloxanes having viscosities from 100 to 100,000 centistokes at 25° C.

To illustrate the ester base compositions of the present invention, compositions as shown in Table I (Examples I-III and VII-VIII) were formulated and thereafter com-

pared with corresponding compositions (Examples IV-VI and IX-X) not in accordance with the present invention by means of one or more of three test procedures, viz. the oxidation/corrosion test at 175° C. set forth in U.S. Military Specification MIL-L 7808D, a low-tempera-

ture storage test and an accelerated storage test. In the 35 storage tests samples of compositions in accordance with the present invention and comparative examples not in accordance with the present invention were stored in containers having loosely fitting lids, maintained at temperatures of 15° C. and 80° C. and examined periodically after periods up to three months for the presence of suspended matter.

TABLE	I	

		BLEI								
Composition of Examples I-X	I	11	III	IV	v	VI	VII	VIII	IX	x
Di(2-ethyl hexyl)sebacate, percent wt Disooctyl azelate, percent wt Disodecyl azelate, percent wt 2,2,4-trimethyl pertyl azelate, percent wt Trimethylo propane tripelargonate, percent wt	93.2			93.2	94	14.5	93.2	30. 2 34. 0	93. 2	30. 2 34. 0
Netconde * 85/140, percent wt. Oxlube * 85/140, percent wt. 10-benzyl-3,7-dioctyl phenothiazine, percent wt	1.5	1	58.0 19.5 1.5	1.5	1.5	58.0 19.5 1.5	1.3	33 1. 3	1. 3	33
Anoclor's 1254, percent wt	1.5 3.0 1.0	1.5 25	1.5 3.0 2.0 25	1.5 3.0 1.0	1.5 	1.5 3.0 2.0 25	1.5 3.0 1.0	1.5	$1.5 \\ 3.0 \\ 1.0$	1.3 1.5
Azelaic acid, percent wt. Silicone MS 200/12500, p.p.m. Polymer of 3,3'-bis(chloromethyl) oxetane (molecular weight 450, Cl content 45%), percent wt.	0.02 5	0.02 5 3	0. 02 5	25 0,02 5	0.02 5 3	0.02 5	25 0.02 5	25	25 0.02 5	25
·										

C₄-C₁₀ acid esters of pentaerythritol. Copolymers of ethylene oxide and propylene oxide. Chlorinated diphenyl.

TABLE II Acidity Increase, mg. KOH/g. Volatility Viscosity Metal Weight Change, mg./cm.² Example No. Loss, Percent wt. Percent Cu Mg Fe Ag Al 12.0 12.2 2.6 11.8 12.6 2.5 -5 to +15 0.8 0.6 0.4 0.7 1.2 0.4 $\begin{array}{c} 1.25\\ 1.5\\ 0.5\\ 1.20\\ 1.5\\ 0.4\\ 2.0 \end{array}$ Nil Nil Nil Nil Nil $\begin{array}{c} \text{Nil} \\ \text{Nil} \\ \text{Nil} \\ +0.12 \\ +0.02 \\ \pm 0.2 \end{array}$ -0.10 -0.03 -0.04 Nil N11 0.12 +0.02 Ni1 -0.3 Ni1 -0.02 Nil -----Nil Nil Nil Nil νī -0.02 -0.02 ± 0.4 Specification Limits.... Nil ±0.2 ± 0.2 ± 0.2

From Table II it is clear that the formulations of Examples I-III (i.e. formulations according to the invention) all show a resistance to oxidation and corrosion at high temperature which is at least as good as that shown by the comparative formulations IV-VI (i.e. for-5 mulations outside of the invention) while the formulation of Example I has exceptional high-temperature oxidation and corrosion resistance. Referring now to Table III it is clear that only the formulations of Examples I-III and VII-VIII (i.e. formulations according to the pres- 10 ent invention) give satisfactory performance in the storage tests. Four samples of each of the formulations of Examples VII-X were also subjected to low-temperature (15° C.) storage for a period of a year and were examined for suspended matter at intervals of a few weeks. 16 All of the samples of Examples VII and VIII so stored (i.e. samples of compositions of the invention) remained clear and bright throughout the entire low-temperature test period, whereas the behavior of samples of the comparative Examples IX and X was variable, one sample 20 of each example remaining clear, some samples of each example giving deposition in the first few days of storage, while all of the remaining samples gave deposition before the end of the test period.

TABLE III

tion with an N-substituted phenothiazine, while Example XII gave less benzene insolubles, a small viscosity increase and a smaller acidity increase than did the composition of Example XIII, which comprised a simple ester and phenyl-a-naphthylamine in conjunction with an Nsubstituted phenothiazine.

TABLE V

0		Benzene	Viscosity at 210° F., cs.,	Acid Value, mg. KOH/g.		
_	Example No.		percent increase	Initial	Final	
5	XI XII XIII	0. 01 0. 013 0. 20	10. 0 11. 3 15. 2	0. 10 0. 10 0. 10 0. 10	3.6 5.5 5.8	

N-cyanoalkyl substituted phenothiazines suitable for the purposes of the present invention are those having up to 20, preferably from 2 to 8, carbon atoms in the alkyl chain of the cyano alkyl radical and preferably have one

	•		Con	dition of Sample after-	. .	
Example No.	15° C.	· .		80° C.	-	
	3 months	3 weeks	5 weeks	7 weeks	8 weeks	12 weeks
	Clear				Clear	
L	do				do	
V	Cloudy				Cloudy	
Ť	do				White ppt_ Cloudy	
тт тт		Clear	Clear	Clear	Cloudy	Clear.
ĨII.		do	do	do		Do.
x		do	Cloudy	Deposition		Medium deposition
		Cloudy_	Deposition	Medium deposition		Heavy deposition.

To illustrate the advantage to be gained from employing a diphenylamine, particularly when admixed with an N-aryl naphthylamine, in conjunction with the N-sub-stituted phenothiazine, when the ester comprising the 45 major proportion of the ester base composition of the present invention is a simple ester, the compositions re-ferred to as Examples XI, XII and XIII in Table IV were tested by means of the Rolls Royce Blown Oxidation Test. In this test, 250 ml. per minute of air or nitrogen, 50 saturated with water vapor, are bubbled under controlled conditions through a 50-ml. sample of the ester base composition under test at a temperature of 198° C. for a period of 192 hours. Deterioration of the composition due to oxidation is then measured in terms of acidity, viscos- 55 ity change and benzene insolubles.

TA	BLE	IV

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The results obtained are appended at Table V, from which it is seen that the composition of Example XI, which comprised a simple ester and a mixture of sec- 70 ondary aromatic amines in conjunction with an N-substituted phenothiazine, gave less benzene insolubles, a smaller viscosity increase and a smaller acidity increase than did the composition of Example XII, which comprised a simple ester and a diphenylamine in conjunc- 75 comprising 10-benzyl-3,7-dioctyl phenothiazine.

alkyl or alkoxy radical on each aromatic ring comprising the phenothiazine molecule; 3,7-disubstitution is preferred. Examples of such phenothiazines are 10-cyanoethyl-3,7-dioctyl phenothiazine, 10-cyanopropyl-3, 7-dioctyl phenothiazine, and 10-cyanobutyl-3,7-dioctyl phenothiazine.

As a further illustration of ester-based compositions of the present invention the compositions referred to as Examples XV and XVI in the accompanying Table VII were tested by means of the aforesaid Rolls Royce Blown Oxidation Test.

TABLE VII

Example/Composition, percent wt.	xv	XVI
Diisooctylazelate 10-cyanoethyl-3,7-dioctyl phenothiazine 10-benzyl-3,7-dioctyl phenothiazine p,p'-Dioctyldiphenylamine. Triphenylphosphorothionate Arochlor 1254 (a chlorinated diphenyl) Azelaic acid. 3-amino-5-phenyl-1,2,4-triazole (p.p.m.) Silicone MS 200/12500 (p.p.m.)	93.0 1 2 1 3 0.02 25 5	93.0 1 2 1 3 0.02 25 5

The results obtained are set out at Table VIII from which it is seen that the composition of Example XV which comprises an N-cyanoalkyl substituted phenothiazine and a secondary aromatic amine gives a performance in the Rolls Royce Blown Oxidation Test which is at least as good as that given by the composition of Example XVI (a composition of the present invention)

TABLE VIII

Example No.	Benzene Insolubles, percent wt.	Viscosity at 210° F., cs., percent increase	Increase in Acid Value, mg. KOH/g.	5
XV	0. 02	9.5	4. 3	
XVI	0. 01	9.5	6. 3	

The results obtained by submitting further compositions in accordance with the present invention to the Rolls 10 Royce Blown Oxidation Test are set out at Table IX and are identified as Examples XVII to XXI. In these examples the formulation of Example XV was used except that the substituent on the nitrogen atom of the 15 substituted phenothiazine was varied.

TABLE IX

Example No.	Substituent on Nitrogen Atom	Benzene Insolubles, percent wt.	Viscosity at 210° F., cs., percent increase	Increase in Acid Value, mg. KOH/g.	20
XVII XVIII XIX XX XX XXI	Tolyl Phenyl Methyl n-Butyl n-Octyl	0. 03 0. 03 0. 01 0. 01 0. 01	8 8 7 7 7 7.5	4.9 3.8 Nil Nil 0.02	25

A further example still (Example XXII) of a composition in accordance with the present invention is given 30 at Table X. _____

TABLE X		
Component Percer	nt wt.	
Hercolube A (pentaerythrityl ester of $C_{6.5}$ acids)	64.0	
Hercolube F (dipentaerythrityl ester of C_{4-10} acids) -	31.6	07
N-benzyl-3,7-dioctyl phenothiazine	1.7	35
Phenyl-a-naphthylamine	1.5	
Triphenyl phosphorothionate	1.0	
Primene JMT/MCMPA (a monochloromethyl phos-		
phonic acid salt of a mixture of C_{18} - C_{22} tertiary		
alkyl primary amines)	0.2	40
3-amino-5-anilido-1,2,4-triazole	0.2	
Azelaic acid	0.02	
MS 200/12,500, 5 p.p.m.		

Test carried out at a test temperature of 225° C., the formulation of Example XXII (Table X) gave only 0.01% weight benzene insolubles and an increase in acidity of 4.9 mg. KOH/g. Furthermore the composition gave no deposition after storage for twelve weeks at a tem- 50 L. G. XIARHOS, W. H. CANNON, Assistant Examiners.

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perature of 80° C. and has highly desirable load-carrying properties, giving an I.A.E., gear rig scuffing load of 66 lbs. lever load under condition C of I.P. Method No. 166 and a Ryder gear rig scuffing load in excess of 3,300 lbs. We claim as our invention:

1. A lubricating composition comprising a major amount of an ester base lubricating oil and minor amounts sufficient to increase the oxidation stability of the oil of

(1) 10-benzyl-3,7-dioctylphenothiazine, and

(2) a secondary aromatic amine having two aromatic groups attached to the nitrogen atom.

2. The composition of claim 1 wherein the secondary aromatic amine is a diphenylamine.

3. The composition of claim 1 wherein the secondary aromatic amine is a p,p'-disubstituted diphenylamine.

4. The composition of claim 1 wherein the secondary aromatic amine is a N-aryl naphthylamine.

5. The composition of claim 1 wherein the secondary) aromatic amine is phenyl- α -naphthylamine.

6. A lubricating composition comprising a major amount of an ester base lubricating oil and about 0.1 to about 5% by weight of each of 10-benzyl-3,7-dioctylphenothiazine and phenyl- α -naphthylamine.

7. A lubricating composition comprising a major amount of an ester base lubricating oil and about 0.1 to about 5% by weight of each of 10-benzyl-3,7-dioctylphenothiazine and p,p'-dioctyl diphenylamine.

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DANIEL E. WYMAN, Primary Examiner.