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**NOTICE OF ENTITLEMENT**  
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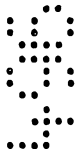
We, H. LUNDBECK A/S, of 9, Ottiliavej, DK-2500 Copenhagen-Valby, Denmark, being the applicant in respect of Application No. 40599/93 state the following:-

The Person nominated for the grant of the patent has entitlement from the actual inventors by virtue of employment of the inventors.



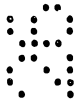
The Person nominated for the grant of the patent is the applicant of the application listed in the declaration under Article 8 of the PCT.

The basic application listed on the request form is the first application made in a Convention country in respect of the invention.



By our Patent Attorneys,  
WATERMARK PATENT & TRADEMARK ATTORNEYS

18 April 1996



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Ian A. Scott

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Registered Patent Attorney



AU9340599

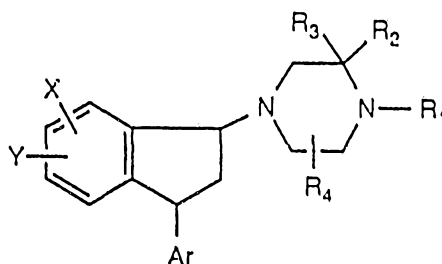
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1-PIPERAZINO-1,2-DIHYDROINDENE DERIVATIVES
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- (57)

The present invention relates to novel 1-piperazino-1,2-dihydroindene derivatives and acid addition salts thereof with activity at dopamine receptors in the central nervous system, in particular potent antagonistic action on dopamine D<sub>1</sub> (DA D<sub>1</sub>) receptors, to medicaments comprising such derivatives as active ingredients, and to the use of such derivatives in the treatment of diseases in the central nervous system.

### CLAIM

1. Trans isomers of 1-piperazino-1,2-dihydroindene compounds having the general Formula I:



I

wherein X and Y are independently selected from hydrogen, halogen, trifluoromethyl, lower alkyl, lower alkylthio, trifluoromethylthio, lower alkoxy, hydroxy, lower alkylsulfonyl, amino, lower alkylamino, lower dialkylamino, nitro and cyano;

Ar is a phenyl group, a phenyl group substituted with one or more substituents selected from the group comprising halogen, trifluoromethyl, hydroxy, lower alkoxy and lower alkyl, or Ar is a thienyl group, a furyl group or a thienyl or furyl group substituted with halogen or lower alkyl;

R<sub>1</sub> is hydrogen, lower alkyl, lower alkenyl, cycloalkyl or cycloalkylalkyl, each optionally substituted with one or two hydroxy groups;

R<sub>2</sub> is lower alkyl, lower alkenyl, cycloalkyl, or cycloalkylalkyl; or

R<sub>1</sub> and R<sub>2</sub> together with the nitrogen and carbon atoms, respectively, to which they are attached form a 5 to 7-membered heterocyclic ring fused with the piperazine ring, which heterocyclic ring may optionally be substituted with hydroxy;

R<sub>3</sub> is hydrogen, lower alkyl, lower alkenyl, cycloalkyl or cycloalkylalkyl; or

R<sub>2</sub> and R<sub>3</sub> together with the carbon atom to which they are attached form a 3 to 7-membered carbocyclic ring which is spirofused to the piperazine ring; and

R<sub>4</sub> is hydrogen or lower alkyl;

provided that R<sub>2</sub> and R<sub>3</sub> may not form a ring when R<sub>1</sub> and R<sub>2</sub> together form a ring;

and prodrugs therefore as well as pharmaceutically acceptable acid addition salts thereof.

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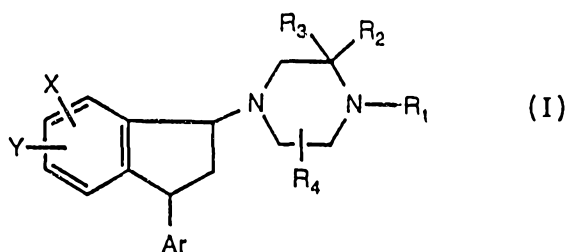


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<p>(21) International Application Number: PCT/DK93/00136 (22) International Filing Date: 23 April 1993 (23.04.93) (30) Priority data: 0551 92 28 April 1992 (28.04.92) DK (71) Applicant (for all designated States except US): H. LUNDBECK A/S [DK/DK]; Ottiliavej 9, DK-2500 Copenhagen-Valby (DK). (72) Inventors; and (75) Inventors/Applicants (for US only): BOGESO, Klaus [DK/DK]; Horsholm Park 16, DK-2970 Horsholm (DK). BREGNFEDAL, Peter [DK/DK]; Gærdesmuttevej 1 B, DK-3450 Allerød (DK). (74) Agent: MEIDAHL PETERSEN, John; H. Lundbeck A/S, 9, Ottiliavej, DK-2500 Copenhagen-Valby (DK).</p>	<p>(81) Designated States: AT, AU, BB, BG, BR, CA, CH, CZ, DE, DK, ES, FI, GB, HU, JP, KP, KR, LK, LU, MG, MN, MW, NL, NO, NZ, PL, PT, RO, RU, SD, SE, SK, UA, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</p> <p>Published With international search report.</p> <p>669709</p>	

(54) Title: 1-PIPERAZINO-1,2-DIHYDROINDENE DERIVATIVES



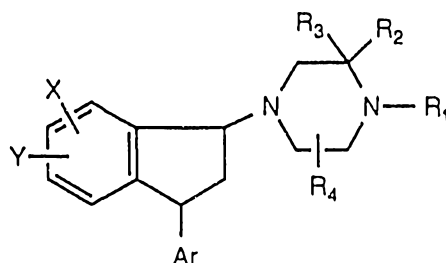
(57) Abstract

Trans isomers of 1-piperazino-1,2-dihydroindene compounds having general formula (I), wherein X and Y are hydrogen, halogen, trifluoromethyl, alkyl, alkylthio, trifluoromethylthio, alkoxy, hydroxy, alkylsulfonyl, amino, alkylamino, nitro or cyano; Ar is a phenyl, thienyl or furyl group, each optionally substituted; R<sub>1</sub> is hydrogen, or optionally hydroxy substituted alkyl, alkenyl, cycloalkyl or cycloalkylalkyl; R<sub>2</sub> is alkyl, alkenyl, cycloalkyl, or cycloalkylalkyl; or R<sub>1</sub> and R<sub>2</sub> together form a 5 to 7-membered heterocyclic ring fused with the piperazine ring, which ring may be substituted with hydroxy; R<sub>3</sub> is hydrogen, alkyl, or alkenyl, cycloalkyl or cycloalkylalkyl; or R<sub>2</sub> and R<sub>3</sub> together form a 3 to 7-membered carbocyclic ring which is spiro-fused to the piperazine ring; and R<sub>4</sub> is hydrogen or alkyl; have potent antagonistic action on dopamine D<sub>1</sub> receptors. The compounds are useful in the treatment of diseases in the central nervous system, in particular psychoses, schizophrenia (positive as well as negative symptoms), anxiety, depression, sleep disturbances, migraine, Parkinson's disease or cocaine abuse.

## 1-Piperazino-1,2-dihydroindene Derivatives

The present invention relates to novel 1-piperazino-1,2-dihydroindene derivatives and acid addition salts thereof with activity at dopamine receptors in the central nervous system, in particular potent antagonistic action on dopamine D<sub>1</sub> (DA D<sub>1</sub>) receptors, to medicaments comprising such derivatives as active ingredients, and to the use of such derivatives in the treatment of diseases in the central nervous system.

The novel 1-piperazino-1,2-dihydroindene derivatives of the invention are trans isomers (with respect to the indan ring system) represented by the following Formula I:



15

I

wherein X and Y are independently selected from hydrogen, halogen, trifluoromethyl, lower alkyl, lower alkylthio, trifluoromethylthio, lower alkoxy, hydroxy, lower alkylsulfonyl, amino, lower alkylamino, lower dialkylamino, nitro and cyano;  
 Ar is a phenyl group, a phenyl group substituted with one or more substituents selected from the group comprising halogen, trifluoromethyl, hydroxy, lower alkoxy and lower alkyl, or Ar is a thienyl group, a furyl group or a thienyl or furyl group substituted with halogen or lower alkyl;

R<sub>1</sub> is hydrogen, lower alkyl, lower alkenyl, cycloalkyl or cycloalkylalkyl, each optionally substituted with one or two hydroxy groups;

R<sub>2</sub> is lower alkyl, lower alkenyl, cycloalkyl, or cycloalkylalkyl; or

R<sub>1</sub> and R<sub>2</sub> together with the nitrogen and carbon atoms, respectively, to which they are attached form a 5 to 7-membered heterocyclic ring fused with the piperazine ring, which heterocyclic ring may optionally be substituted with hydroxy;



R<sub>3</sub> is hydrogen, lower alkyl, lower alkenyl, cycloalkyl or cycloalkylalkyl; or R<sub>2</sub> and R<sub>3</sub> together with the carbon atom to which they are attached form a 3 to 7-membered carbocyclic ring which is spirofused to the piperazine ring; and R<sub>4</sub> is hydrogen or lower alkyl;

5 provided that R<sub>2</sub> and R<sub>3</sub> may not form a ring when R<sub>1</sub> and R<sub>2</sub> together form a ring.

The term "lower alkyl" is intended to mean a straight or branched alkyl group having from one to four carbon atoms, such as methyl, ethyl, n-propyl, iso-propyl, n-butyl, sec-butyl, etc. Lower alkoxy, lower alkylthio, lower alkylsulfonyl, lower  
10 alkylamino and lower dialkylamino similarly designate such groups wherein the alkyl moiety is a lower alkyl group as defined above.

Lower alkenyl is intended to mean an alkenyl group containing from two to four carbon atoms, for example 2-propen-1-yl, 2-buten-1-yl, etc, and cycloalkyl means  
15 such a group comprising 3-7 carbon atoms.

Related 1-Piperazino-3-phenylindans being unsubstituted on the piperazine ring carbon atoms and showing potential neuroleptic activity have previously been described in US patent No. 4,443,448. Neuroleptic activity was measured as the  
20 ability of the compounds to block stereotypies induced by methylphenidate or amphetamine and as the ability to induce catalepsy. Though today regarded as indicating side-effects, catalepsy nevertheless indicate dopaminergic activity. Some of the compounds were also found to show effect as dopamine uptake inhibitors. Later, DA D<sub>2</sub> receptor binding data for some of these compounds were  
25 reported (K. P. Bøgesø, J. Med. Chem. 1983, 26, 935-947) showing a high affinity for D<sub>2</sub> receptors. Furthermore, DA D<sub>1</sub> receptor affinity, measured as inhibition of <sup>3</sup>H-piflutixol binding, of one compound from this series, i.e. tefludazine, has been reported to be substantially lower than the D<sub>2</sub> affinity measured as the inhibition of <sup>3</sup>H-spiperone binding (O. Svendsen et al, Drug. Dev. Res. 1986, 7, 35-47).

30

Other 1-piperazino-3-phenylindans are disclosed in US patent No. 4, 684, 650. These compounds have been shown to be selective 5-HT<sub>2</sub> antagonists, which are inactive or only weakly active as DA antagonists *in vivo* (methylphenidate antago-

nism). D<sub>2</sub> receptor affinity data for this series were reported by K. P. Bøgesø et al in J. Med. Chem. **1988**, *31*, 2247-2256 and as expected they had much lower affinity for D<sub>2</sub> receptors than for 5-HT<sub>2</sub> receptors. The D<sub>1</sub> affinity for one compound, irindalone (measured as inhibition of <sup>3</sup>H-SCH 23390 binding) was even lower  
5 than the D<sub>2</sub> affinity (Hyttel et al, Drug. Dev. Res. **1988**, *15*, 389-404).

A profile of mixed DA D<sub>1</sub>/D<sub>2</sub> receptor inhibition has been observed with some known so-called "atypical" neuroleptic compounds, in particular with clozapine, for which such activities have been shown in animal models measuring effects on D<sub>1</sub>  
10 and D<sub>2</sub> receptors (J. Arnt and J. Hyttel; J. Neural Transmission **1986**, *67*, 225-240.). Furthermore, ligand binding studies in vitro and in vivo support this observation (J. Hyttel and J. Arnt; Neurobiology of Central D<sub>1</sub> dopamine receptors, Plenum Publishing Corporation, 1986. P. H. Andersen; Eur. J. Pharm. **1988**, *146*, 113-120).

15

Recently, the mixed occupancy of D<sub>1</sub> and D<sub>2</sub> receptors by clozapine has been shown by PET scanning experiments in schizophrenic patients (G. Sedvall; TINS **1990**, *13*, 302-308.). The advantage of mixed D<sub>1</sub>/D<sub>2</sub> activity is that lower occupancy of each receptor type apparently is necessary in order to control psychosis.  
20 selective D<sub>2</sub> antagonists (like haloperidol or perphenazine) higher occupancies of D<sub>2</sub> receptors are necessary, but these are accompanied by extrapyramidal side effects (G. Sedvall, 1990, see above).

In addition to D<sub>1</sub> and D<sub>2</sub> receptor activity, clozapine has also high affinity for 5-HT<sub>2</sub>  
25 receptors. This effect is at present believed to have a positive influence on the negative symptoms in schizophrenic patients, based upon studies of the 5-HT<sub>2</sub> and moderate dopamine receptor antagonist setoperone (Ceulemans et al.; Psychopharmacology **1985**, *85*, 329-332).

30 The selective 5-HT<sub>2</sub> antagonist ritanserlin has been shown to be an antidepressant and to improve depressive symptoms of schizophrenia (E. Klieser, W. H. Strauss; Pharmacopsychiat. **1988**, *21*, 391-393) and it has been demonstrated to exert effects in an animal test reminiscent of anxiolytic activity (F.C. Colpart et

al.; *Psychopharmacology* 1985, 86, 303-305). Furthermore ritanserin has been shown to improve the quality of sleep (P.A.J. Janssen; *Pharmacopsychiat.* 1988,21, 33-37).

- 5 Furthermore, animal experiments have indicated that 5-HT<sub>2</sub> receptor antagonism might reduce the incidence of extrapyramidal side effects induced by classical neuroleptics (Balsara et al.; *Psychopharmacology* 1979, 62, 67-69) and ritanserin has been found to relieve neuroleptic-induced parkinsonism (Bersani et al.; *Clinical Neuropharmacology*, 13, No. 6 (1990), 500-506).

10

Finally, it is known that 5-HT is involved in migraine attacks. The links between 5-HT and migraine attacks are several and they suggest a number of mechanisms whereby 5-HT may be involved (Scrip Report; "Migraine – Current trends in research and treatment"; PJB Publications Ltd.; May 1991). Various 5-HT<sub>2</sub> antago-  
15 nists are in clinical trials as anti-migraine agents, such as sergolexole (c.f. for example Pharma Projects, May 1991, 1359-1365).

It has been shown (J. Seibyl et al., Abstr. no 148.6, 21st Annual Meeting Society for Neuroscience, New Orleans, November 10-15, 1991) that the DA uptake  
20 inhibitor mazindol may be a useful adjunct to standard neuroleptic medication for treating refractory negative symptoms in otherwise stable outpatient schizophrenics.

Furthermore, DA uptake inhibitors may be useful in the treatment of Parkinson's  
25 disease, as antidepressant agents or in treatment of cocaine dependence. Possible effect in Parkinson's disease is based on the fact that DA uptake inhibitors are effective in preventing the nigrostriatal toxicity of the neurotoxin MPTP (R. A. Mayer et al., *J. Neurochem*, 1986, 47, 1073-1079), and that MPTP like substances or other neurotoxins utilizing the DA uptake carrier might be  
30 involved in development of Parkinson's disease.

Dopamine may play an important role in the etiology of affective disorders (P. Willner, *Brain. Res. Rev.* 1983, 6, 211-224, 225-236 and 237-246; K. P. Bøgesø,



*J. Med. Chem.*, 1985, 28, 1817-1828) and DA uptake inhibitors are believed to be effective in treatment of depression (W. Jansen, *Pharmacopsychiat.* 1982, 15, 205-209; H. J. Funke, *Pharmacopsychiat.*, 1986, 19, 120-123).

5 The stimulant and widely abused drug cocaine is an inhibitor of DA uptake. It has been shown that the potencies of cocaine and cocaine analogs in self-administration studies correlates well with their DA uptake inhibiting potency (M. C. Ritz, *Prog. Neuro-Psychopharmacol. & Biol. Psychiat.*, 1988, 12, 233-239). In squirrel monkeys DA uptake inhibitors show behavioral effects similar to  
10 cocaine (S. Rosenzweig-Lipson et al., *Psychopharmacology*, 1992, 107, 186-194). However, in humans, cocaine administered intravenously or by inhalation, has a fast onset and relatively short duration of action which is supposed to be an important part of its stimulating effect. DA uptake inhibitors with different pharmacokinetic properties might not have similar addictive potential and consequently  
15 they could be useful in treatment of cocaine addiction and in prevention of relapse (S. Rosenzweig-Lipson et al., *Psychopharmacology*, 1992, 107, 186-194).

It has now surprisingly been found that compounds of the above defined Formula I have high affinity for D<sub>1</sub> receptors and that in general they have a higher affinity  
20 for D<sub>1</sub> receptors than for D<sub>2</sub> receptors. Furthermore they have been shown to have high affinity for 5-HT<sub>2</sub> receptors and only to induce catalepsy in rats in relatively high doses. Finally, many of the compounds have been found to have dopamine uptake inhibiting effect.

25 The above evidence with respect to effects of substances having a mixed D<sub>1</sub>/D<sub>2</sub> profile indicates that the present compounds are useful as neuroleptics with effect on psychosis, including positive symptoms of schizophrenia. Additionally, the 5-HT<sub>2</sub> receptor antagonistic activity suggests that the compounds have a low risk of extrapyramidal side effects (as also evidenced by the relatively weak cataleptogenic effects). 5-HT<sub>2</sub> antagonism and dopamine uptake inhibiting activities  
30 indicate that they may also have a beneficial effect on negative symptoms of schizophrenia. So, the present compounds have proven to be very promising neuroleptics with a low incidence of extrapyramidal side effects.

Furthermore, the 5-HT<sub>2</sub> receptor antagonistic activity indicates that they may also have an effect on anxiety, depression, sleep disturbances, migraine, and Parkinson's disease (Parkinsonian syndrome) whereas the dopamine uptake inhibition  
5 with or without concomitant dopamine antagonistic activity show that they may be effective in the treatment of cocaine abuse. Additionally, the dopamine uptake inhibition indicate that they may be useful in the treatment of Parkinson's disease and depression.

10 Only trans-isomers of the 1-piperazinoindan derivatives of Formula I are active, cis-isomers being without significant activity.

Accordingly, in a first aspect the present invention relates to trans-isomers of the compounds having the general Formula I as defined above and prodrugs  
15 therefore and pharmaceutically acceptable acid addition salts thereof.

The trans-isomers, with respect to the indan ring system, of the invention exist as pairs of optically active isomers and such isomers are within the scope of the present invention. It has so far been found that the D<sub>1</sub> (and 5-HT<sub>2</sub>) antagonistic  
20 activity predominantly resides in one of the optical isomers whereas the dopamine uptake inhibiting properties reside in the opposite enantiomer. In certain cases also the piperazine ring of compounds of Formula I contains chiral carbon atoms. The resulting stereoisomers are also within the scope of the invention.

25 Prodrugs of the present invention are i.a. esters with available hydroxy groups. These esters will decompose properly in order to release the compound of the invention over a desired period of time when administered parenterally as a depot formulation in an appropriate oil, such as coconut oil, e.g. viscoleo®, peanut oil, sesame oil, cotton seed oil, corn oil, soy bean oil, olive oil, etc. or synthetic esters  
30 of fatty acids and glycerol or propylenglycol.

The pharmaceutically acceptable acid addition salts of the compounds of the invention are salts formed with non-toxic organic or inorganic acids. Exemplary of

such organic salts are those with maleic, fumaric, benzoic, ascorbic, embonic, succinic, oxalic, bis-methylenesalicylic, methanesulfonic, ethanedisulfonic, acetic, propionic, tartaric, salicylic, citric, gluconic, lactic, malic, mandelic, cinnamic, citraconic, aspartic, stearic, palmitic, itaconic, glycolic, p-amino-benzoic, glutamic, benzene sulfonic and theophylline acetic acids, as well as the 8-halothephyllines, for example 8-bromo-theophylline. Exemplary of such inorganic salts are those with hydrochloric, hydrobromic, sulfuric, sulfamic, phosphoric and nitric acids.

- 10 Preferred derivatives according to Formula I are those wherein:  
X is hydrogen, halogen, lower alkyl or trifluoromethyl;  
Y is hydrogen or halogen;  
Ar is phenyl, phenyl substituted with halogen, or thienyl;  
R<sub>1</sub> is hydrogen, lower alkyl, or lower alkyl substituted with hydroxy;  
15 R<sub>2</sub> is lower alkyl, or  
R<sub>1</sub> and R<sub>2</sub> together with the nitrogen and carbon atoms, respectively, to which they are attached form a piperidino ring fused with the piperazine ring which piperidino ring may optionally be substituted with hydroxy;  
R<sub>3</sub> is hydrogen or lower alkyl, or  
20 R<sub>2</sub> and R<sub>3</sub> together with the carbon atom to which they are attached form a spirocycloalkyl ring; and  
R<sub>4</sub> is hydrogen or methyl.

Particularly preferred compounds are those wherein:

- 25 X is hydrogen, a chloro, bromo, fluoro atom, methyl or trifluoromethyl;  
Y is hydrogen;  
Ar is phenyl, fluorophenyl or thienyl;  
R<sub>1</sub> is hydrogen, methyl, 2-propyl, hydroxypropyl or hydroxyethyl;  
R<sub>2</sub> is CH<sub>3</sub>, ethyl or 2-propyl and R<sub>3</sub> is H ethyl or methyl, or R<sub>2</sub> and R<sub>3</sub> together with  
30 the carbon atom to which they are attached form a spirocyclobutyl or a spirocyclopentyl ring; and R<sub>4</sub> is hydrogen.

In a second aspect the present invention relates to a medical preparation comprising at least one derivative of the general Formula I as defined above or a

prodrug or a pharmaceutically acceptable acid addition salt thereof together with a pharmaceutically acceptable carrier or diluent. As seen from the above such a pharmaceutical preparation may conveniently comprise a pure enantiomer, a racemate or any other mixture of two enantiomers.

5

In a further aspect the present invention relates to of a method for the treatment of a disease in the central nervous system, preferably psychosis, schizophrenia (positive as well as negative symptoms), anxiety, depression, sleep disturbances, migraine, Parkinson's disease or cocaine abuse, comprising the step of administering a therapeutically effective dose of a compound having the general Formula I as defined above or a prodrug therefore or a pharmaceutically acceptable acid addition salt thereof together with a suitable carrier or diluent to a patient in need thereof.

15 The compounds of the Formula I and the pharmaceutically acceptable acid addition salts thereof may be administered by any suitable route, for example orally in the form of tablets, capsules, powders, syrups, etc., or parenterally in the form of solutions for injection.

20 Suitable pharmaceutical preparations may be prepared by methods well known in the art. Conveniently, the compounds of the invention are administered in unit dosage form containing said compound in an amount of about 0.05 - 100 mg, preferably about 1 - 50 mg.

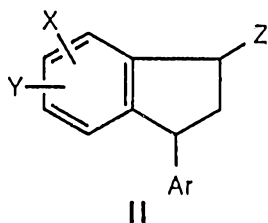
25 The total daily dose usually ranges from about 0.1 to 500 mg of the active compound of the invention.

The invention moreover relates to a method for the preparation of the novel derivatives of Formula I, which comprises:

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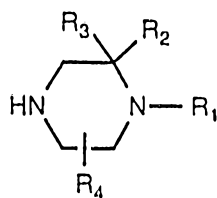
a) treating a compound of the Following formula II:

9



with a piperazine derivative of Formula III:

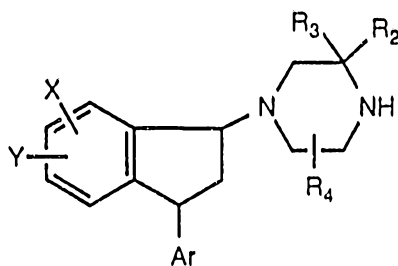
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10 in which formulas X, Y, Ar, R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> and R<sub>4</sub> are as defined above, and Z is halogen or -OSO<sub>2</sub>R<sub>6</sub> wherein R<sub>6</sub> is alkyl such as CH<sub>3</sub> or aryl such as p-toluyyl;

b) treating a compound of the following Formula IV:

15



wherein X, Y, Ar, R<sub>2</sub>, R<sub>3</sub> and R<sub>4</sub> are as defined above, with a compound of the  
 20 formula R<sub>1</sub>-Z wherein R<sub>1</sub> and Z are as defined above except that R<sub>1</sub> cannot be hydrogen, or with an epoxide of formula  $\text{CH}_2-\text{CH}-\text{R}'$  wherein R' is hydrogen,

methyl, ethyl, ethenyl, cycloalkyl or cycloalkylalkyl;

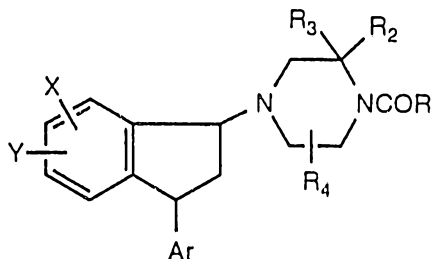
c) treating a compound of Formula IV with a compound R''-CHO, wherein R'' is hydrogen, C<sub>1</sub>-C<sub>3</sub> alkyl, C<sub>2</sub>-C<sub>3</sub> alkenyl, cycloalkyl or cycloalkylalkyl in the presence of a reducing agent;



d) treating a compound of Formula IV with HCHO/HCOOH to produce derivatives of Formula I wherein  $R_1$  = methyl (Eschweiler-Clarke methylation);

e) reducing a compound of Formula V:

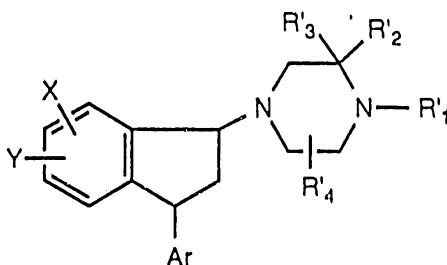
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V

10 wherein X, Y, Ar,  $R_2$ ,  $R_3$  and  $R_4$  are as defined above and  $R'$  is hydrogen, lower alkoxy,  $C_1$ - $C_3$  alkyl,  $C_2$ - $C_3$  alkenyl, cycloalkyl or cycloalkylalkyl;

f) reducing a compound of Formula VI:



VI

15

wherein X, Y and Ar are as defined above and one or more of the substituents  $R'_1$ ,  $R'_2$ ,  $R'_3$  and  $R'_4$  contain one or more ester, ketone or aldehyde groups with a suitable reducing agent to the corresponding compound containing one or more  
20 hydroxy groups.

Method a) is preferably carried out in an inert solvent such as acetone or methylisobutylketone using either an excess of the piperazine reactant or by using equimolar amounts of reactants in the presence of an alkali metal  
25 carbonate such as potassium carbonate or another alkaline substance at reflux temperatures.



Method b) is preferably carried out in an inert solvent such as ethanol or isobutylketone in the presence of an alkali metal carbonate such as potassium carbonate or another alkaline substance at reflux temperatures.

- 5 Method c) is preferably carried out in an inert solvent such as an alcohol (eg methanol) or an ether (eg tetrahydrofuran) by hydrogenation in the presence of a suitable catalyst such as  $\text{PtO}_2$  or Pd or by using a borohydride such as  $\text{NaCNBH}_3$  at a pH of 5-6.
- 10 Method d) is preferably carried out with an excess of formaldehyde in formic acid at reflux temperatures.

Method e) is preferably carried out in an inert solvent such as diethylether or tetrahydrofurane using a suitable reducing agent such as  $\text{LiAlH}_4$ .

- 15 Method f) is preferably carried out in an inert solvent such as diethylether or tetrahydrofurane using a suitable reducing agent such as  $\text{LiAlH}_4$  or a borohydride e.g.  $\text{NaBH}_4$ .

The acid addition salts of the compounds of the invention are easily prepared by  
20 methods well known in the art. The base is reacted with either the calculated amount of organic or inorganic acid in an aqueous miscible solvent, such as acetone or ethanol, with isolation of the salt by concentration and cooling, or with an excess of the acid in an aqueous immiscible solvent, such as ethyl ether or chloroform, with the desired salt separating directly. Of course, these salts may  
25 also be prepared by the classical method of double decomposition of appropriate salts.

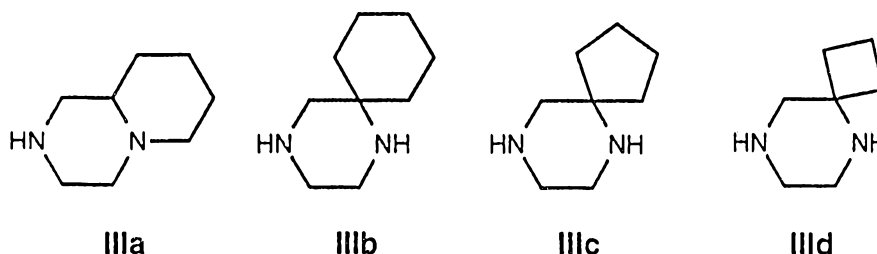
The separation of the compounds of Formula I in the individual optical isomers may be performed by methods well known in the art.

30

The compounds of Formula II may be prepared from the corresponding 2,3-dihydro-inden-1-ones by a method analogously with the method described in U.S. Patent No. 4,443,448, U.S. Patent No. 4,684,650, and J. Med. Chem.

1983,26, 935-947. The indanones were either prepared by cyclization of the corresponding diphenylpropionic acids or more conveniently as described for similar compounds in U.S.Patent No. 4,873,344 and in J.Org.Chem. 1990, 5, 4822 from properly substituted 1-amino-3-cyano-1-inden-2-carboxylic acid esters  
5 which in turn also may be prepared as described in U.S.Patent No. 4,873,344.

Some piperazine derivatives **III** are commercially available (2-methylpiperazine, 2,5-dimethylpiperazine and 2,6-dimethylpiperazine) while other piperazines were prepared by methods established in the literature: 2-isopropylpiperazine (Beilstein 3 & 4 ergänzungswerk, 23, 430 and references cited there); octahydro-  
10 pyrido[1,2-a]pyrazine, **IIIa** (Peck R. L. and Day A. R.; J. Heterocycl. Chem. 1969,6, 181-185).



1,4-Diazaspiro[5.5]undecane, **IIIb** and 6,9-diazaspiro[4.5]decane, **IIIc**, have been reported in the literature (Granger R. et al; Trav. Soc. Pharm. Montpellier 1965,  
20 25, 313-317) but were like 5,8-diazaspiro[3.5]nonane, **IIIld**, prepared by the same procedure as described for 2,2-dimethylpiperazine and 2,2-diethylpiperazine below.

Obviously, the compounds of Formula **IV** may be prepared by method a). The  
25 compounds of Formulas **V** and **VI** may be prepared from compounds of Formula **IV** by methods well known in the art.

In the following the invention is further illustrated by examples which in no way may be construed as limiting for the invention.



## EXAMPLES

### Example 1

#### 2,2-Dimethylpiperazine.

5 To a mixture of isobutyraldehyde (790 g, 10.95 mol) and dioxane (39.5 g, 0.45 mol) in dry ether (4 L) was added 11 mL of bromine at room temperature. The mixture was cooled to 5 °C and further 509 mL (1588 g, 9.93 mol) bromine was added at 5-10 °C. The reaction mixture was poured into 4 L of ice water whereupon sodium carbonate (600 g) was gradually added with stirring. The organic  
10 phase was separated, dried (MgSO<sub>4</sub>) and distilled to yield 1150 g (69.6%) of 2-bromo-isobutyraldehyde, bp 70-77 °C (170 mm Hg).

2-Bromo-isobutyraldehyde (1070 g, 7.09 mol) was added with vigorous stirring to a mixture of ethylenediamine (2.2 kg, 36.6 mol) and toluene at 5-10 °C. The reaction mixture was stirred at room temperature for 1 h and was then refluxed for  
15 30 min. The toluene phase was separated and the lower phase was extracted twice with 500 mL of toluene. The toluene phase was concentrated in vacuo and the residue was distilled to give 450 g (56.6%) of crude 2,2-dimethyl-1,2,5,6-tetrahydro-pyrazine, bp 80-120 °C (170 mm Hg).

To a solution of the crude 2,2-dimethyl-1,2,5,6-tetrahydropyrazine (450 g) in 1 L  
20 ethanol was added 5% Pd/C (20 g) and the reaction mixture was hydrogenated in a Parr apparatus at 3.5 ato until the consumption of hydrogen (2.2 mol) stopped. After filtration the reaction mixture was distilled at atmospheric pressure. The fraction boiling at 140-180 °C was collected and redistilled to yield 159 g (19.8% from 2-bromo-isobutyraldehyde) of 2,2-dimethylpiperazine, bp 150-170 °C (760  
25 mm Hg). <sup>1</sup>H NMR (250 MHz, CDCl<sub>3</sub>) δ 1.12 (s, 6H), 1.33 (br s, 2H, NH), 2.60 (s, 2H), 2.76 (t, 2H), 2.85 (t, 2H).

The product solidified upon standing (mp below 35 °C).

2,2-Diethylpiperazine and the piperazine derivatives IIIa-d were prepared in a  
30 similar manner.

**Example 2**

**(±)-Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-2,2-dimethylpiperazine, hemifumarate, 1.**

A mixture of 1,6-dichloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-indene (28 g, 0.1 mol), 2,2-dimethylpiperazine (15 g, 0.13 mol) and potassium carbonate (30 g) in acetone (250 mL) was refluxed for 18 h. The reaction mixture was evaporated in vacuo and treated with water and ether. The ether phase was separated and extracted with 1 M methane sulfonic acid. The base was liberated with 10 M sodium hydroxide, extracted with ether and dried (MgSO<sub>4</sub>). After filtration and evaporation in vacuo the residue was dissolved in acetone and treated with fumaric acid. The fumarate salt was filtered to give 27 g of 1 as the hemifumarate salt, mp 240-241 °C. A sample recrystallized from ethanol had mp 242-244 °C. Isomeric purity (TLC): 95 % trans isomer (racemate).

CHN calcd.: 66.25%; 6.30%; 6.72%.

CHN found: 66.05%; 6.49%; 6.44%.

**Example 3**

**(±)-Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1,2,2-trimethylpiperazine, maleate, 2.**

A mixture of the hemifumarate of 1 (23g, 0.055 mol, see Example 1), 37% formaldehyde (100 mL) and formic acid (100 mL). The clear solution was heated on a steam bath for 2 h and was then evaporated in vacuo. The residue was converted to the base in a conventional manner. The base was dissolved in ethyl acetate and treated with maleic acid. The maleate was recrystallized from ethyl acetate to give 13.5 g (50%) of 2, maleate, mp 143-146 °C. Isomeric purity (TLC): >98% trans isomer (racemate).

CHN calcd.: 63.85%; 6.20%; 5.73%.

CHN found: 63.77%; 6.27%; 5.65%.

The methods described in Example 2 and Example 3 (N-methyl derivatives) were used for the preparation of the following compounds:

**(±)-Trans-4-[3-phenyl-2,3-dihydro-1*H*-inden-1-yl]-1,2,2-trimethyl-piperazine, dimaleate; mp 162-165 °C. Compd. 3.**

- (±)-Trans-2,2-dimethyl-4-[6-methyl-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]piperazine; mp 108-110 °C. Compd. 4.
- (±)-Trans-4-[6-methyl-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1,2,2-trimethylpiperazine; mp 119-121 °C. Compd. 5.
- 5 (±)-Trans-2,2-dimethyl-4-[6-trifluoromethyl-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]piperazine; mp 94-95 °C. Compd. 6.
- (±)-Trans-4-[6-trifluoromethyl-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1,2,2-trimethylpiperazine; mp 112-114 °C. Compd. 7.
- (±)-Trans-4-[6-bromo-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1,2,2-trimethylpiperazine, 1.5 fumarate; mp 142-145 °C. Compd. 8.
- 10 (±)-Trans-4-[5,6-dichloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1,2,2-trimethylpiperazine, 1.5 fumarate; mp 182-184 °C. Compd. 9.
- (±)-Trans-4-[6-chloro-3-phenyl-2,3-dihydro-1*H*-inden-1-yl]-1,2,2-trimethylpiperazine, 1.5 maleate; mp 170-171 °C. Compd. 10 .
- 15 (±)-Trans-4-[6-chloro-3-(2-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1,2,2-trimethylpiperazine, dimaleate; mp 154-156 °C. Compd. 11.
- (±)-Trans-4-[6-chloro-3-(3-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1,2,2-trimethylpiperazine, dimaleate; mp 140-142 °C. Compd. 12.
- (±)-Trans-4-[6-chloro-3-(3-thienyl)-2,3-dihydro-1*H*-inden-1-yl]-2,2-dimethylpiperazine, dimaleate; mp 163-165 °C. Compd. 13 .
- 20 (±)-Trans-4-[6-chloro-3-(3-thienyl)-2,3-dihydro-1*H*-inden-1-yl]-1,2,2-trimethylpiperazine, dihydrochloride; mp 173-176 °C. Compd. 14.
- (±)-Trans-4-[4-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1,2,2-trimethylpiperazine, dioxalate; mp 120-125 °C. Compd. 15.
- 25 (±)-Trans-4-[5-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1,2,2-trimethylpiperazine; mp 126-128 °C. Compd. 16.
- (±)-Trans-4-[7-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1,2,2-trimethylpiperazine, 1.3 oxalate; mp 153-155 °C. Compd. 17.
- (±)-Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1,2-dimethylpiperazine, dimaleate; mp 181-183 °C. Compd. 18.
- 30 Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-2-(2-propyl)-piperazine, dimaleate; mp 135-137 °C. Pair 1 of diastereomeric trans isomers. Compd. 19.

- Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-2-(2-propyl)-piperazine, maleate; mp 156-159 °C. Pair 2 of diastereomeric trans isomers. Compd. **20**.
- Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1-methyl-2-(2-propyl)piperazine, dimaleate; mp 119-122 °C. Pair 1 of diastereomeric trans isomers. Compd. **21**.
- Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1-methyl-2-(2-propyl)piperazine, dimaleate; mp 160-162 °C. Pair 2 of diastereomeric trans isomers. Compd. **22**.
- (±)-Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-2,2-diethylpiperazine, fumarate; mp 231-233 °C. Compd. **23**.
- (±)-Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-2,2-diethyl-1-methylpiperazine, oxalate, mp 144-146 °C. Compd. **24**.
- (±)-Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-(1-trans-2,5-trimethyl)piperazine, maleate; mp 166-169 °C. Compd. **25**.
- (±)-Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-(1-cis-2,6-trimethyl)piperazine, dioxalate; mp 158-160 °C. Compd. **26**.
- (±)-Trans-4-[6-trifluoromethyl-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-cis-2,6-dimethylpiperazine, dihydrochloride; mp 255-260 °C. Compd. **27**.
- (±)-Trans-8-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-5-methyl-5,8-diazaspiro[3.5]nonane, dihydrochloride; mp 188-190 °C. Compd. **28**.
- (±)-Trans-9-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-6-methyl-6,9-diazaspiro[4.5]decane, fumarate; mp 144-147 °C. Compd. **29**.
- (±)-Trans-9-[6-chloro-3-(3-thienyl)-2,3-dihydro-1*H*-inden-1-yl]-6-methyl-6,9-diazaspiro[4.5]decane, dihydrochloride; mp 182-184 °C. Compd. **30**.
- (±)-Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1,4-diazaspiro[5.5]undecane, fumarate; mp 241-243 °C. Compd. **31**.
- (±)-Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1-methyl-1,4-diazaspiro[5.5]undecane, dihydrochloride; mp 205-207 °C. Compd. **32**.
- 2-[6-fluoro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-octahydropyrido[1,2-*a*]pyrazine, dihydrochloride; mp 225-227 °C. 1:1 mixture of cis and trans isomers. Compd. **33**.

(±)-Trans-2-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-octahydro-pyrido[1,2-*a*]pyrazine, dimaleate; mp 172-174 °C. Compd. 34.

2-[6-fluoro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-octahydropyrido[1,2-*a*]-pyrazine-8-ol, dihydrochloride; mp 223-225 °C. 1:1 mixture of cis and trans  
5 isomers. Compd. 35.

(±)-Trans-4-[7-fluoro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1,2,2-trimethyl piperazine, oxalate; mp 133-135 °C. Compd. 36.

(±)-Trans-4-[3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1,2,2-trimethylpiperazine, dimaleate; mp 135-137 °C. Compd. 37.

10 (±)-Trans-4-[6-fluoro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1,2,2-trimethyl piperazine, dimaleate; mp 154-156 °C. Compd. 38.

#### Example 4

(±)-Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-  
15 2,2-dimethyl-1-piperazinepropanol, maleate, 39.

A mixture of 1 (base, 6 g, 0.017 mol), 3-chloro-1-propanol (1.9 g, 0.020 mol) and potassium carbonate (3 g, 0.021 mol) in ethanol (250 mL) was refluxed overnight. The reaction mixture was worked-up as described in Example 2 to give 6 g of crude base. The base was converted to the maleate salt in ethyl acetate and was  
20 recrystallized twice from acetone-ether to give 2.5 g 39, maleate, mp 177-178 °C. Isomeric purity (TLC): 92% trans isomer (racemate).

CHN calcd.: 63.08%; 6.44%; 5.26%.

CHN found: 63.28%; 6.15%; 5.62%.

25 The method described in Example 4 were used for the preparation of the following compounds:

(±)-Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-2,2-dimethyl-1-(2-propyl)piperazine, dioxalate; mp 157-159 °C. Compd. 40

30 (±)-Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-2-methyl-1-(2-propyl)piperazine, dimaleate; mp 89-92 °C. Compd. 41.

(±)-Trans-9-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-6-(2-propyl)-6,9-diazaspiro[4.5]decane, dihydrochloride; mp 237-238 °C. Compd. 42.

**Example 5**

**(±)-Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-2,2-dimethyl-1-piperazineethanol, 43.**

5 A mixture of **1** (base, 5.4 g, 0.015 mol), ethyl bromoacetate (3.3 g, 0.020 mol) and potassium carbonate (3 g, 0.021 mol) in methyl isobutylketone was refluxed for 4 h. The reaction mixture was evaporated in vacuo and treated with ether and water. The ether phase was dried (MgSO<sub>4</sub>) and evaporated to give 7 g of crude ester. The ester was dissolved in dry ether, LiAlH<sub>4</sub> (2 g) was added and the  
10 mixture was refluxed for 3 h. The excess LiAlH<sub>4</sub> was destroyed with water, the organic phase was decanted, and the product was extracted from the ether phase with 1 N methane sulfonic acid. The base was liberated with 10 N NaOH, extracted with ether, dried and evaporated in vacuo. The base crystallized from petroleum ether to yield 1.1 g, mp 79-81 °C. Isomeric purity (TLC): 99% trans  
15 isomer (racemate).

CHN calcd.: 68.55%; 7.02%; 6.95%.

CHN found: 68.77%; 7.32%; 6.78%.

The method described in Example 5 were used for the preparation of the follow-  
20 ing compounds:

(±)-Trans-9-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-6-(2-hydroxyethyl)-6,9-diazaspiro[4.5]decane, dihydrochloride; mp 167-169 °C. Compd. 44.

(±)-Trans-4-[6-chloro-3-(3-thienyl)-2,3-dihydro-1*H*-inden-1-yl]-2,2-dimethyl-1-piperazineethanol, dihydrochloride; mp 213-215 °C. Compd. 45.

25

**Example 6**

**(+) and (-) Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1,2,2-trimethylpiperazine, maleate, (+)-2 and (-)-2.**

To a solution of **2** (base, 70 g, 0.187 mol) in 1 L of ethyl acetate was added (+)-  
30 O,O'-dibenzoyl-D-tartaric acid hydrate ((+)-DBT, 70.6 g, 0.189 mol). The clear solution was left at room temperature overnight. The crude (+)-DBT salt was filtered, dried (yield 53 g) and recrystallized from ethyl acetate-methanol. The (+)-DBT salt (mp 123-128 °C) was converted to the base which was dissolved in acetone and

converted to the hydrochloride. Yield: 13 g of (-)-2, dihydrochloride, mp 201-202 °C;  $[\alpha]^{22}_{\text{D}} -23.4^{\circ}$  (c 0.5, MeOH).

The first filtrate from the (+)-DBT salt was evaporated in vacuo and converted to the base (38 g), which was dissolved in ethyl acetate and treated with (-)-DBT hydrate (38.3 g) to give the (-)-DBT salt. This was converted to the hydrochloride as described for the (-)-enantiomer. Yield: 14.8 g of (+)-2, dihydrochloride, mp 206-208 °C;  $[\alpha]^{22}_{\text{D}} +24.5^{\circ}$  (c 0.5, MeOH).

CHN calcd.: 59.26%; 6.34%; 6.28%.

CHN found: 59.33%; 6.64%; 6.46% ((-)-2).

10 CHN found: 59.05%; 6.47%; 6.04% ((+)-2).

Compound **29**, **37**, **40** and **44** were separated into their enantiomers using a similar procedure as described in Example 6:

(-)-Trans-9-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-6-methyl-6,9-diazaspiro[4.5]decane, dihydrochloride; mp 204-206 °C;  $[\alpha]^{22}_{\text{D}} -13.8^{\circ}$  (c 1, DMF).  
15 Compd. (-)-29.

(+)-Trans-9-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-6-methyl-6,9-diazaspiro[4.5]decane, dihydrochloride; mp 205-207 °C;  $[\alpha]^{22}_{\text{D}} +10.5^{\circ}$  (c 1, DMF).  
Compd. (+)-29.

20 (-)-Trans-4-[3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1,2,2-trimethylpiperazine, dimaleate; mp 197-199 °C;  $[\alpha]^{22}_{\text{D}} -2.7^{\circ}$  (c 0.5, CH<sub>3</sub>OH). Compd. (-)-37.

(+)-Trans-4-[3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-1,2,2-trimethylpiperazine, dimaleate; mp 198-199 °C;  $[\alpha]^{22}_{\text{D}} -2.5^{\circ}$  (c 0.5, CH<sub>3</sub>OH). Compd. (+)-37.

(-)-Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-2,2-dimethyl-1-(2-propyl)-piperazine, dioxalate; mp 169-171 °C.  $[\alpha]^{22}_{\text{D}} -18.4^{\circ}$  (c 1, MeOH).  
25 Compd. (-)-40

(+)-Trans-4-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-2,2-dimethyl-1-(2-propyl)-piperazine, dioxalate; mp 171-172 °C.  $[\alpha]^{22}_{\text{D}} +18.2^{\circ}$  (c 1, MeOH).  
Compd. (+)-40 .

30 (-)-Trans-9-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-6-(2-hydroxyethyl)-6,9-diazaspiro[4.5]decane, dihydrobromide; mp 197-199 °C.  $[\alpha]^{22}_{\text{D}} -10.2^{\circ}$  (c 1, MeOH). Compd. (-)-44.

(+)-Trans-9-[6-chloro-3-(4-fluorophenyl)-2,3-dihydro-1*H*-inden-1-yl]-6-(2-hydroxyethyl)-6,9-diazaspiro[4.5]decane, dihydrobromide; mp 206-208 °C.  $[\alpha]_{D}^{22} +10.7^{\circ}$  (c 1, MeOH). Compd. (+)-44.

## 5 PHARMACOLOGY

The present compounds were tested in the following well known and reliable pharmacological test methods.

### 10 Receptor binding studies.

**DA D<sub>1</sub> receptors.** Inhibition of <sup>3</sup>H-SCH 23390 binding to DA D<sub>1</sub> receptors in rat striatal membranes was determined as described by Hyttel, J. and Arnt, J. *J. Neural. Transm.* **1987**, *68*, 171.

15 **DA D<sub>2</sub> receptors.** Inhibition of <sup>3</sup>H-spiperone binding to DA D<sub>2</sub> receptors in rat striatal membranes was determined as described by Hyttel, J. *Acta. Pharmacol. Toxicol.* **1986**, *59*, 387.

**5-HT<sub>2</sub> receptors.** Inhibition of <sup>3</sup>H-ketanserin binding to 5-HT<sub>2</sub> receptors in mem-  
20 branes from rat cortex was determined as described by Hyttel, J. *Acta. Pharmacol. Toxicol.* **1987**, *61*, 126.

The affinity to D<sub>1</sub>, D<sub>2</sub> and 5-HT<sub>2</sub> receptors of the compounds described in the examples above are shown in the following Table 1. The reference compounds  
25 tefludazine, irindalone and clozapine were included in the tests for comparizon purposes.



TABLE 1  
Receptor Binding; IC<sub>50</sub> values in nM

Compound	D <sub>1</sub> 3H-SCH	D <sub>2</sub> 3H-Spi	5-HT <sub>2</sub> 3H-Ket
1	2.1	7.3	3.2
2	1.3	25	2.1
-2	0.68	5.0	1.1
+2	620	>1000	2000
3	13	140	21
4	5.9	5.6	1.5
5	2.1	4.8	3.4
6	2.8	5.2	
7	1.5	5.7	4.5
8	1.8	8.6	3.0
9	18	44	5.9
10	1.6	20	3.2
11	4.4	36	21
12	9.6	280	26
13	1.4	8.2	1.0
14	0.76	6.1	1.7
15	45	55	29
16	37	340	9.3
17	32	1200	31
18	2.4	12	
19	2.2	38	5.6
20	6.0	130	8.8
21	3.2	36	
22	43	400	
23	4.7	36	11
24	8.8	38	
25	19	41	3.4
26	13	120	3.3
27	50	33	

TABEL 1 (Cont'd)  
Receptor Binding; IC<sub>50</sub> values in nM

Compound	D <sub>1</sub> <sup>3</sup> H-SCH	D <sub>2</sub> <sup>3</sup> H-Spi	5-HT <sub>2</sub> <sup>3</sup> H-Ket
28	0.89	6.3	3.0
29	0.85	10	5.2
-29	0.96	4.5	4.0
+29	6.6	20	13
30	1.8	5.0	
31	6.4	75	25
32	6.0	24	
33	5.8	320	28
34	2.1	11	
35	30	1100	
36	3.8	74	9.8
37	3.0	29	5.1
-37	2.5	12	2.9
+37	250	4900	650
38	0.88	11	3.6
39	1.8	9.8	3.0
40	0.82	5.0	4.1
-40	0.66	3.1	2.5
+40	52		
41	1.6	17	
42	1.3	8.8	
43	1.0	3.0	
44	1.9	13	6.0
-44	1.0	5.0	2.5
+44	51		
45	0.82	2.8	
Tefludazine	23	10	4.6
Irindalone	890	400	3.4
Clozapine	130	330	7.8

The results in the Table show that in general these compounds have very high affinity to D<sub>1</sub> receptors (IC<sub>50</sub> values in the low nanomolar range). In most cases the affinity to D<sub>2</sub> receptors is considerably lower. The D<sub>2</sub>/D<sub>1</sub> ratio is therefore higher and in many cases considerably higher, than for the reference compound clozapine. Furthermore, it appears that the compounds have affinity for the 5-HT<sub>2</sub> receptor and data with respect to resolved compounds show that the affinities predominantly reside in one enantiomer.

#### DA uptake inhibition.

Inhibition of DA Uptake in Vitro was determined as described by K. P. Bøgesø, *J. Med. Chem.* **1983**, *26*, 935-947.

For racemic compounds the IC<sub>50</sub> values for inhibition of DA uptake were generally < 1 μmol. Some compounds were active in the low nanomolar range. Thus, IC<sub>50</sub> values were 16 nM (compd. (+)-2), 15 nM (compd. 10), 36 nM (compd. 37) and 9 nM (compd. 38; the corresponding prior art compound with an unsubstituted piperazine ring had an IC<sub>50</sub> value of 180 nM, see K. P. Bøgesø, *J. Med. Chem.* **1983**, *26*, 935-947). In resolved compounds the dopamine uptake inhibition was seen to reside mainly in the opposite enantiomer of the above binding affinities.

#### PHARMACOLOGY IN VIVO

##### Antagonism of SK&F 38393-induced circling behavior in rats with unilateral 6-OHDA lesions.

This test is a test for the DA D<sub>1</sub> receptor antagonistic effect *in vivo*.

30

The experiments were performed as described by Arnt, J. and Hyttel, J. *J. Neural Transm.* **1986**, *67*, 225-240. The experiments were done 2 - 9 months after lesioning when stable contralateral circling response to 2,3,4,5-tetrahydro-7,8-dihydroxy-1-phenyl-1H-3-benzazepine, hydrochloride (SK&F 38393) (4.3 μmol/kg = 1.4 mg/kg) were obtained. The test compounds were injected 2 h before administration of SK&F 38393. Antagonistic effect were calculated as percent inhibition of control responses for each rat. Four to eight animals were used per dose.

**Cataleptogenic effect in rats.**

Catalepsy was measured every hour 1-6 h after test drug administration on a vertical wire grid and defined as being present after at least 15-s immobility. The maximum effect between 1-6 h after administration was reported. A total of 8-12  
5 animals were used per dose.

Most of the compounds were very active as D<sub>1</sub> antagonists in vivo (antagonism of SK&F 38393-induced circling behavior). The ED<sub>50</sub>'s were for many compounds in the range 0.2-2 µmol/kg. For example, the ED<sub>50</sub> for compound 38 was 0.50  
10 µmol/kg. For many compounds catalepsy was absent or only induced in doses much higher than the doses needed to antagonize the SK&F 38393-induced circling behavior. The ED<sub>50</sub>'s in the catalepsy test were typically in the range from 5 to 90 µmol/kg. For compound 38 the ED<sub>50</sub> were > 68 µmol/kg.

A weak or absent effect in the catalepsy test indicate a low potential for inducing  
15 motoric (extrapyramidal) side-effects in man.

**FORMULATION EXAMPLES**

The pharmaceutical formulations of the invention may be prepared by conventional  
20 methods in the art.

For example: Tablets may be prepared by mixing the active ingredient with ordinary adjuvants and/or diluents and subsequently compressing the mixture in a conventional tableting machine. Examples of adjuvants or diluents comprise: corn starch, potato starch, talcum, magnesium stearate, gelatine, lactose, gums, and the  
25 like. Any other adjuvants or additives usually used for such purposes such as colourings, flavourings, preservatives etc. may be used provided that they are compatible with the active ingredients.

Solutions for injections may be prepared by dissolving the active ingredient and possible additives in a part of the solvent for injection, preferably sterile water,  
30 adjusting the solution to desired volume, sterilization of the solution and filling in suitable ampules or vials. Any suitable additive conventionally used in the art may be added, such as tonicity agents, preservatives, antioxidants, etc.

Typical examples of recipes for the formulation of the invention are as follows:

- 1) Tablets containing 5 milligrams of Compound **38** calculated as the free base:

	Compn. <b>38</b>	2 mg
5	Lactose	18 mg
	Potato starch	27 mg
	Sucrose	58 mg
	Sorbitol	3 mg
	Talcum	5 mg
10	Gelatine	2 mg
	Povidone	1 mg
	Magnesium stearate	0.5 mg
  
- 2) Tablets containing 50 milligrams of Compound **28** calculated as the free base:

15	Compn. <b>28</b>	5 mg
	Lactose	16 mg
	Potato starch	45 mg
	Sucrose	106 mg
	Sorbitol	6 mg
20	Talcum	9 mg
	Gelatine	4 mg
	Povidone	3 mg
	Magnesium stearate	0.6 mg
  
- 25 3) Syrup containing per milliliter:

	Compn. <b>2</b>	10 mg
	Sorbitol	500 mg
	Tragacanth	7 mg
	Glycerol	50 mg
30	Methyl-paraben	1 mg
	Propyl-paraben	0.1 mg
	Ethanol	0.005 ml
	Water	ad 1 ml

## 4) Solution for injection containing per milliliter:

Compn. 14	50 mg
Acetic acid	17.9 mg
Sterile water	ad 1 ml

5

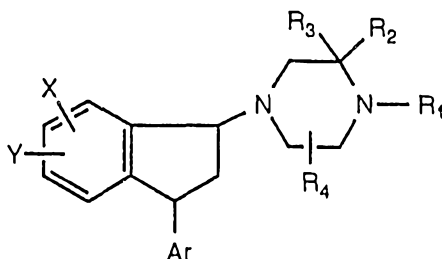
## 5) Solution for injection containing per milliliter:

Comp. 29	10 mg
Sorbitol	42.9 mg
Acetic acid	0.63 mg
10 Sodium hydroxide	22 mg
Sterile water	ad 1 ml

## CLAIMS

1. Trans isomers of 1-piperazino-1,2-dihydroindene compounds having the general Formula I:

5



I

10 wherein X and Y are independently selected from hydrogen, halogen, trifluoromethyl, lower alkyl, lower alkylthio, trifluoromethylthio, lower alkoxy, hydroxy, lower alkylsulfonyl, amino, lower alkylamino, lower dialkylamino, nitro and cyano;

Ar is a phenyl group, a phenyl group substituted with one or more substituents selected from the group comprising halogen, trifluoromethyl, hydroxy, lower alkoxy  
15 and lower alkyl, or Ar is a thienyl group, a furyl group or a thienyl or furyl group substituted with halogen or lower alkyl;

R<sub>1</sub> is hydrogen, lower alkyl, lower alkenyl, cycloalkyl or cycloalkylalkyl, each optionally substituted with one or two hydroxy groups;

R<sub>2</sub> is lower alkyl, lower alkenyl, cycloalkyl, or cycloalkylalkyl; or

20 R<sub>1</sub> and R<sub>2</sub> together with the nitrogen and carbon atoms, respectively, to which they are attached form a 5 to 7-membered heterocyclic ring fused with the piperazine ring, which heterocyclic ring may optionally be substituted with hydroxy;

R<sub>3</sub> is hydrogen, lower alkyl, lower alkenyl, cycloalkyl or cycloalkylalkyl; or

R<sub>2</sub> and R<sub>3</sub> together with the carbon atom to which they are attached form a 3 to 7-  
25 membered carbocyclic ring which is spirofused to the piperazine ring; and

R<sub>4</sub> is hydrogen or lower alkyl;

provided that R<sub>2</sub> and R<sub>3</sub> may not form a ring when R<sub>1</sub> and R<sub>2</sub> together form a ring;

and prodrugs therefore as well as pharmaceutically acceptable acid addition salts  
30 thereof.



2. A compound according to Claim 1, **characterized in that**  
X is hydrogen, halogen, lower alkyl or trifluoromethyl;  
Y is hydrogen or halogen;
- 5 Ar is phenyl, phenyl substituted with halogen, or thienyl;  
R<sub>1</sub> is hydrogen, lower alkyl, or lower alkyl substituted with hydroxy;  
R<sub>2</sub> is lower alkyl, or  
R<sub>1</sub> and R<sub>2</sub> together with the nitrogen and carbon atoms, respectively, to which they  
are attached form a piperidino ring fused with the piperazine ring which piperidino  
10 ring may optionally be substituted with hydroxy;  
R<sub>3</sub> is hydrogen or lower alkyl, or  
R<sub>2</sub> and R<sub>3</sub> together with the carbon atom to which they are attached form a spirocycloalkyl ring; and  
R<sub>4</sub> is hydrogen or methyl.
- 15
3. A compound according to Claim 1, **characterized in that**  
X is hydrogen, a chloro, bromo or fluoro atom, methyl or trifluoromethyl;  
Y is hydrogen;  
Ar is phenyl, fluorophenyl or thienyl;
- 20 R<sub>1</sub> is hydrogen, methyl, 2-propyl, hydroxypropyl or hydroxyethyl;  
R<sub>2</sub> is CH<sub>3</sub>, ethyl or 2-propyl and R<sub>3</sub> is H, ethyl or methyl, or R<sub>2</sub> and R<sub>3</sub> together with  
the carbon atom to which they are attached form a spirocyclobutyl or a spirocyclopentyl ring; and  
R<sub>4</sub> is hydrogen.
- 25
4. A pharmaceutical preparation, **characterized in that** it comprises at least one  
compound according to Claim 1 together with a pharmaceutically acceptable  
carrier or diluent.
- 30 5. A pharmaceutical preparation according to Claim 4, **characterized in that** the  
compound according to Claim 1 is present as a pure enantiomer, a racemate or  
any other mixture of the two enantiomers.



6. A method for the treatment of psychosis, schizophrenia (positive as well as negative symptoms), anxiety, depression, sleep disturbances, migraine, Parkinson's disease or cocaine abuse, comprising the step of administering a therapeutically effective dose of a compound according to Claim 1 together with a suitable carrier or diluent to a patient in need thereof.

7. Use of a compound according to Claim 1 for the manufacture of a pharmaceutical preparation for the treatment of psychoses, schizophrenia (positive as well as negative symptoms), anxiety, depression, sleep disturbances, migraine, Parkinson's disease or cocaine abuse.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/DK 93/00136

## A. CLASSIFICATION OF SUBJECT MATTER

IPC5: C07D 241/04, C07D 241/38, C07D 487/04

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC5: C07D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## REGISTRY

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO, A1, 9210192 (H. LUNDBECK A/S), 25 June 1992 (25.06.92)  --	1-5,7
A	US, A, 4684650 (KLAUS P. BOGESO), 4 August 1987 (04.08.87)  --	1-5,7
A	US, A, 4443448 (KLAUS P. BOGESO), 17 April 1984 (17.04.84)  -- -----	1-5,7

 Further documents are listed in the continuation of Box C. See patent family annex.

## \* Special categories of cited documents:

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance: the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance: the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

\*&amp;\* document member of the same patent family

Date of the actual completion of the international search

21 July 1993

Date of mailing of the international search report

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## INTERNATIONAL SEARCH REPORT

Int. l. application No.

PCT/DK 93/00136

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: 6  
because they relate to subject matter not required to be searched by this Authority, namely:  
  
See PCT Rule 39.1(iv): Methods for treatment of the human or animal body by surgery or therapy, as well as diagnostic methods.
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

INTERNATIONAL SEARCH REPORT  
Information on patent family members

02/07/93

International application No.  
PCT/DK 93/00136

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A1- 9210192	25/06/92	AU-A- 8959991	08/07/92
US-A- 4684650	04/08/87	AU-B- 595167	29/03/90
		AU-A- 4796590	10/05/90
		AU-A- 4910685	01/05/86
		CA-A- 1247098	20/12/88
		EP-A,B- 0183349	04/06/86
		SE-T3- 0183349	
US-A- 4443448	17/04/84	AU-B- 538424	16/08/84
		AU-A- 6791781	16/09/82
		CA-A- 1181750	29/01/85
		EP-A,B- 0035363	09/09/81
		SE-T3- 0035363	