



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(21) International Application Number: PCT/US92/08402 (22) International Filing Date: 2 October 1992 (02.10.92) (30) Priority data: 771,173 3 October 1991 (03.10.91) US (71)(72) Applicants and Inventors: DEUTSCH, Alan, S. [US/US]; COHEN, Brett, I. [US/US]; Essential Dental Systems, Inc., 89 Leuning Street, South Hackensack, NJ 07606 (US). (74) Agent: RUBENSTEIN, Allen, I.; Gottlieb, Rackman & Reisman, 1430 Broadway, New York, NY 10018 (US).</p>		<p>(81) Designated States: CA, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, SE). Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>
<p>(54) Title: DEVICE FOR LASER SURGERY IN NARROW PASSAGES</p>		
<p>(57) Abstract</p> <p>A laser apparatus for removing hard and soft tissue from a narrow channel has at its cutting end (12) a configuration that causes the laser light (14) to emerge in an annular region and not apically.</p> <div data-bbox="1005 1344 1388 1769" style="text-align: right;"> </div>		

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Device For Laser Surgery In Narrow Passages

Background Of The Invention

1 This invention relates to surgical
2 apparatus for performing root canal procedures in
3 narrow channels employing laser energy to effect the
4 removal of tissue from, for example, the root canal of
5 a tooth or removal of tumors from narrow arteries
6 (angioplasty) or narrow ducts.

7 A common endodontic procedure is the
8 removal of dental pulp and dentin walls from the root
9 canal of a tooth with a diseased nerve preparatory to
10 filling the root canal with a cement and prosthesis
11 which supports a crown. The removal of the pulp and
12 the dentinal walls has traditionally been accomplished
13 by the use of drills to penetrate the crown of the
14 tooth, followed by mechanical extraction of the pulp
15 from the root canal and mechanical enlargement of the
16 canal walls. The process is made complicated by the
17 fact that the entire nerve must be removed from the
18 root canal, but it is important that the endodontist
19 not penetrate the end of the root canal into the
20 underlying living tissue and open up channels for
21 infection. If in the process of removing the pulp and
22 enlarging the canal walls, the tooth structure is
23 perforated, failure may ensue because of an inadequate
24 seal resulting in bacterial growth in the end of the
25 tooth. In addition, the traditional file may break
26 when cleaning the canal preventing proper sealing and
27 an inadequately sealed root canal will result. A
28 great deal of endodontal technique consists in methods
29 for terminating the removal of tissue at the precise

1 point where the root canal exits the tooth.

2 The use of lasers of sufficient
3 intensity to excise the tissue in surgical procedures
4 is well known. What is required is laser light of
5 sufficient intensity and precise focus to enable the
6 removal of the tissue accompanied by sufficient exit
7 channels for the waste products of the surgical
8 removal. In many instances, the intense heat of the
9 laser releases gases which require exit channels.

10 U.S. patent 5,020,995 disclosed a
11 method and apparatus for performing endodontic
12 treatments that involve removing tissue from the walls
13 of a tooth canal by the use of laser radiation. The
14 method and apparatus of that disclosure requires that
15 a succession of pulses having appropriate energy
16 levels, duration and repetition rate be employed. The
17 patent discloses that the optical fiber that carries
18 the laser radiation be given "a suitable length and
19 diameter to be introduced into a canal". Col. 4, line
20 13. No further disclosure of configuration of the
21 optical fiber is stated.

22 PCT Publication WO 90/01907 discloses
23 a dental laser assembly for dental surgery employing a
24 pulsed Nd:YAG beam delivered through an optical fiber.
25 In general, the laser emerges from the fiber and is
26 focused by a columnating lens and mirror arrangement
27 to a point displaced from the end of a handpiece in an
28 orientation similar to that of the tip of a dental
29 drill. A second visible HeNe laser beam is emitted
30 coaxial with the first so that the "cutting tip" is
31 rendered visible. The publication also discloses that
32 high energy levels between 100 Mj/pulse and 5 J/pulse
33 are suitable for endodontic procedures such as root
34 canals, apicoectomies and pulpectomies on a tooth.

1 For this purpose a laser tip 212 (Fig. 10) is inserted
2 into the opened pulp chamber and the laser used to
3 eradicate the soft tissue in the pulp chamber. No
4 further description of the configuration of the laser
5 tip is given and the figure shows a pencil point
6 configuration. There is no discussion of
7 modifications of the end of the optic fiber or laser
8 tip to particularly suit it for use in endodontic
9 procedures.

10 PCT publication WO 89/08432 discloses
11 a laser device for dental applications, particularly
12 for removing enamel and dentin. It suggests the use
13 of a conical or frustro-conical contacting tip to
14 concentrate the laser energy at its apex.

15 There has been some concern on the
16 part of surgeons who use lasers as scalpels that the
17 laser beam not emerge freely from the end of the
18 scalpel so as to require precise positioning of the
19 scalpel at a distance from the incision. Devices have
20 been suggested which employ fiber optic conduit means
21 for the laser beam and terminate in configurations
22 which cause the laser beam to emerge close to the end
23 of the fiber optic. In this way, the surgeon can
24 control the position of the cut in the same way that
25 he would with a conventional scalpel, namely, by
26 contacting the surface of the tissue to be excised
27 with the tip of the scalpel, which is in this case,
28 the tip of the fiber optic.

29 30 A Brief Description Of The Invention

31 An improvement in the conventional
32 surgical laser scalpel has been achieved adapting it
33 to improved performance for root canal-type surgery.
34 In this improvement, the tip of the fiber optic is

1 adapted to cause the incising laser light to emerge
2 close to the tip, but in an annular pattern generally
3 close to a plane orthogonal to the axis of the optical
4 fiber. This minimizes the quantity of laser radiation
5 aligned along the axis of the fiber optic at the tip.
6 In this way the laser device cuts laterally into the
7 tissue within the root canal and not axially. This
8 substantially prevents the scalpel from bursting
9 downwards through the root canal at unintended rates.
10 A 100 micron diameter (or more) file is inserted to
11 provide a channel for insertion of the optical fiber
12 which is then inserted to the full length of the
13 channel. There is no danger of the optical fiber
14 penetrating the tooth when the laser is energized
15 since it does not cut apically. Cutting laterally is
16 accomplished during removal of the fiber optic from
17 the channel.

18 The invention contemplates a hand-held
19 apparatus (except for the laser source) having both a
20 removable fiber optic tip for the hand piece and a
21 disposable tip. The device is also adapted to root
22 canal therapy requiring removal of both hard and soft
23 tissues by varying the intensity of the laser light.

24 The laser which is employed may be in
25 HO-YAG or an ER-YAG laser. The essential difference
26 between these lasers is the wavelength of the laser
27 radiation which they provide.

28 The special fiber optic tip is capable
29 of removing the pulp and cutting into the dentin walls
30 of the root canal. The fiber optic tip is
31 approximately 100 microns in outer diameter of which 5
32 to 10 microns can be sheathing and can be up to 600
33 microns in diameter. The configuration of the laser
34 light can be achieved either through the use of silver

1 mirrors or the configuration of the outer portion of
2 the fiber optic is such that the light emerges in the
3 shape of a donut, able to cut between 0.1 to 0.4
4 millimeters.

5 It is an object of the invention to
6 provide an endodontic laser apparatus having a tip
7 portion from which the laser light emerges in an
8 annular region and not apically.

9 It is a further object of the
10 invention to provide a disposable fiber optic tip and
11 interchangeable handset for accomplishing this
12 purpose.

13 Brief Description Of The Drawings

14 Fig. 1 shows the laser apparatus of
15 the present invention.

16 Fig. 2 shows a first embodiment of the
17 cutting head of the present invention.

18 Fig. 3(a) and Fig. 3(b) show
19 alternative cutting heads of the present invention in
20 cross-section.

21 Fig. 4 is a cross-section view of a
22 cutting head of the present invention having a doped
23 region.

24 Fig. 5 is a cross-section view of a
25 cutting head of the present invention having an etched
26 region.

27 Detailed Description Of the Preferred Embodiment

28 The invention comprises a laser
29 emitting device 2, for example, one employing HO-YAG
30 or ER-YAG as its lasing material and providing laser
31 light with an intensity of approximately 5 watts into
32 an optical fiber 4 having a diameter of approximately
33
34

1 100 microns up to 600 microns. The fiber optic 4
2 enters a handpiece 6 which may simply be a cylindrical
3 hollow holder 7 of which captures the optical fiber
4 that passes through it and terminates in the cutting
5 head 8. The preferred embodiment has the optical
6 fiber 4 terminating at a connector in the handpiece
7 and a separate portion of optical fiber continuing
8 through to the cutting head 8. Fittings 13 may be
9 provided so that the handpiece 6 is removable from the
10 optical fiber, together with the cutting head.
11 Similarly, the cutting head 8 may be removable from
12 the distal end of the handpiece and may be made
13 disposable so that different ones could be used for
14 different root canal lengths and different patients.

15 The cutting head 8 comprises the tip
16 portion 10 which preferably has a conical indentation
17 with a silvered surface 12. The conical indentation
18 results in the laser rays which pass along the axis of
19 the optical fiber reflecting and emerging transversely
20 from the tip portion along the ray path 14 as shown in
21 Fig. 2. Since the laser rays fill the fiber and are
22 reflecting off the internal walls, the resulting
23 emerging rays fill a slightly diverging annular
24 region, which is depicted in cross section by the
25 dotted lines in Fig. 2. If desired, the conical
26 surface 12 of Fig. 2 may have curved walls to provide
27 a focusing or defocusing effect. Because of the
28 silvered end surface, virtually no laser radiation is
29 leaving apically from the tip portion 10. They
30 therefore cut laterally into the root canal 9 of Fig.
31 1.

32 Fig. 3 shows an alternative embodiment
33 in (a) in which the cutting head 8 terminates in a
34 bulbous portion 13, which can be formed during the

1 fabrication of the disposable tip portion. This may
2 be formed either by molding or by heating and
3 deforming the optical fiber. Because of the shape of
4 the bulbous region 13 having a convex rounded external
5 surface, a certain amount of focusing takes place as
6 the light emerges from the optic fiber, and therefore
7 the annular region is narrower for distances removed
8 from the axis of the optical fiber. This has the
9 advantageous effect of focusing the light into a
10 converging disk-shaped region as shown in Fig. 3 where
11 the intensity maximum occurs along a circular path,
12 which is depicted in cross section by the circle 14.
13 This keeps the light fairly close to the end of the
14 tip portion, therefore concentrating its intensity.
15 In an alternative embodiment as shown in Fig. 3(b), a
16 concave region is formed wherein the light would be
17 defocused as it emerges from the optic fiber. This
18 would result in a diverging annular region much as is
19 the case with the silvered end surface of the conical
20 indentation. Either of these embodiments will provide
21 acceptable results if the laser intensity is
22 sufficient.

23 Other optical phenomena may be used to
24 cause the light to emerge at the end of the tip
25 portion of the optic fiber. In Fig. 4 is depicted a
26 doped region 16 terminating in a silvered region that
27 prevents apical emergence of the laser beam. The
28 dopant is chosen to have a different index of
29 refraction than the remainder of the optical fiber and
30 cause refraction of the laser light directing it at
31 angles of incidence to the cylindrical walls of the
32 optical fiber less than Brewster's angle that will
33 cause it to emerge from the fiber.

34 Fig. 5 shows a further embodiment in

1 which diffraction phenomena are used to cause the
2 laser light to leave the tip of the optic fiber. As
3 shown in Fig. 5, the end of the optic fiber is
4 provided with etch markings 18. The etch markings can
5 be chosen in accord with the wavelength of the light
6 so that diffraction effects occur and the primary
7 maxima of the diffraction pattern will concentrate the
8 light causing it to emerge from the optic fiber again
9 in an annular region whose extent is determined by the
10 height of the etched region along the axis of the
11 apparatus.

12 In use, the endodontist would prepare
13 the tooth by removing a portion of the crown and
14 exposing the root canal. Using the handpiece, the
15 cutting head would be placed into the canal and laser
16 light passed into and axially outwards in an annular
17 array from the tip of the laser device. The laser may
18 be used in a wet field of NaOCl and this will increase
19 the effectiveness of the sterility of the process.
20 The intense laser beam cauterizes the tissue and
21 provides a clean and sterile channel into the tooth.
22 The length of cutting can be controlled by trimming
23 the length of the cutting head or by providing
24 interchangeable cutting heads of different length,
25 much as is done with current techniques where various
26 length pulp removal tools are provided for the
27 endodontist. The depth or penetration of lateral cut
28 is varied by the power intensity of the laser source.

29 The particular intensity and pulsation
30 rates and other properties of the laser are not
31 critically different from those known in the prior art
32 for similar purposes. The configuration of the tip
33 may be affected by the selection of the laser if the
34 refractive properties of the fiber optic material are

1 wavelength dependent. If there is significant
2 dispersion of light in the optic fiber, it may be
3 necessary to select particular wavelengths having
4 these geometrical properties in mind to provide the
5 appropriate refraction.

6 Although the invention has been
7 disclosed in terms of several embodiments, it should
8 be understood that the invention is not limited merely
9 to those embodiments, but includes everything called
10 for in the following claims.
11

CLAIMS

We Claim:

1. An endodontic laser apparatus for removing tissue from a root canal comprising laser light generating means for providing a coherent beam of intense light into an optical fiber, said fiber adapted to conduct the laser light by internal reflection to a distal end terminating in a tip portion adapted to divert the laser light from the optical fiber in an annular pattern.

2. The endodontic laser apparatus of claim 1 wherein said tip portion comprises a cylinder of fiber optical material having a generally conical indentation coaxial with the said cylinder.

3. The endodontic laser apparatus of claim 2 wherein said conical indentation is coated with a reflective film.

4. The endodontic laser apparatus of claim 1 wherein said tip portion comprises a cylinder having a convex bulbous termination and an apical end wherein said light travelling along said optical fiber emerges focused in an annular pattern substantially disjoined from the apical end.

5. The endodontic laser apparatus of claim 1 wherein said tip portion comprises a cylinder having a concave bulbus termination wherein said light travelling along said optical fiber emerges defocused in an annular pattern.

6. The endodontic laser apparatus of claim 1 wherein said tip portion comprises a cylindrical shell doped region having an index of refraction that varies from that of the remainder of the fiber and that causes the laser light to emerge in

1 an annular pattern.

2 7. The endodontic laser apparatus of
3 claim 1 wherein said tip portion comprises a
4 cylindrical surface having etch lines adapted to cause
5 laser light reaching the tip portion to undergo
6 diffraction and emerge from the fiber optic in an
7 annular region.

8 8. The endodontic laser apparatus of
9 claim 1 wherein said tip portion is disposable and is
10 removably held in a handpiece through which the fiber
11 optic passes.

12 9. The endodontic laser apparatus of
13 claim 8 wherein said handpiece comprises a portion of
14 said optical fiber and is detachable together with a
15 cutting head from the remainder of the fiber.

16 10. A method for removing tissue from
17 the root canal of a tooth comprising

18 filing a narrow channel in the
19 root canal of a tooth extending to the lower tip of
20 the root,

21 inserting into the full length of
22 the narrow channel an optical fiber adapted to conduct
23 laser light by internal reflection to a distal end,
24 said end terminating in a tip portion adapted to
25 divert the laser light from the optical fiber in an
26 annular pattern,

27 energizing a laser adapted to
28 output said laser light into said optical fiber,

29 withdrawing the optical fiber
30 from the channel while the laser is energized, wherein
31 said laser causes lateral non-apical cutting of tissue
32 in the root canal along the length of the channel.

33 11. A laser apparatus for removing
34 tissue from a narrow channel comprising laser light

1 generating means for providing a coherent beam of
2 intense light into an optical fiber, said fiber
3 adapted to conduct the laser light by internal
4 reflection to a distal end terminating in a tip
5 portion adapted to divert the laser light from the
6 optical fiber in an annular pattern.

7 12. A method for removing tissue from
8 a narrow channel comprising

9 inserting into the full length of
10 the narrow channel an optical fiber adapted to conduct
11 laser light by internal reflection to a distal end,
12 said end terminating in a tip portion adapted to
13 divert the laser light from the optical fiber in an
14 annular pattern,

15 energizing a laser adapted to
16 output said laser light into said optical fiber,
17 withdrawing the optical fiber
18 from the channel while the laser is energized, wherein
19 said laser causes lateral non-apical cutting of tissue
20 in the channel along the length of the channel.

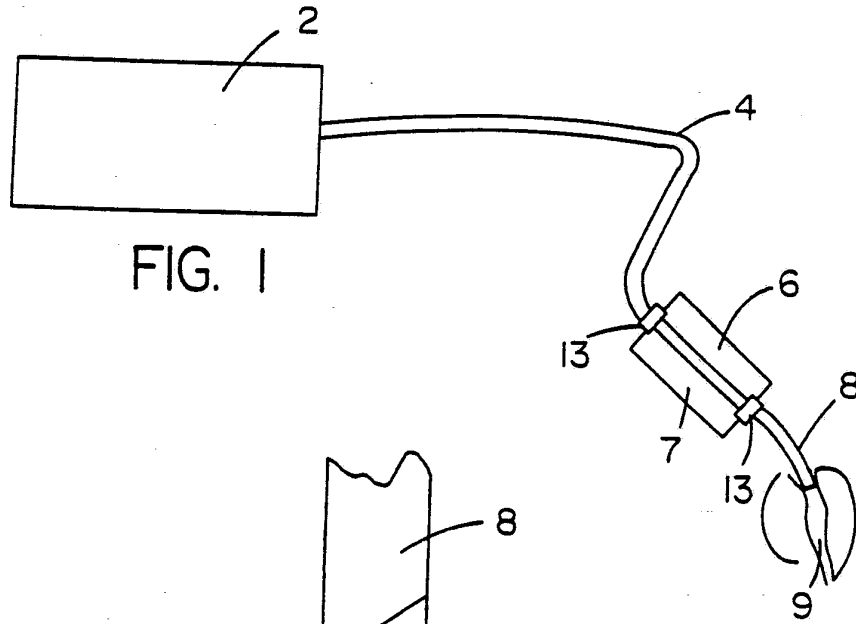


FIG. 1

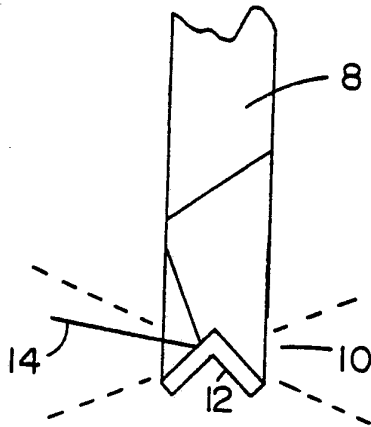


FIG. 2

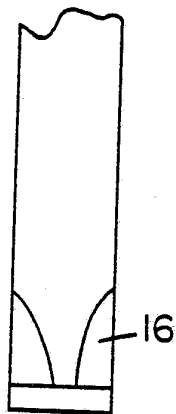


FIG. 4

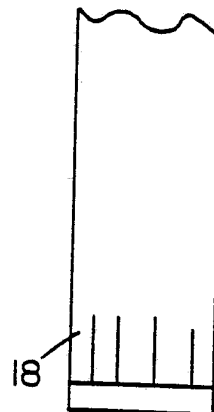


FIG. 5

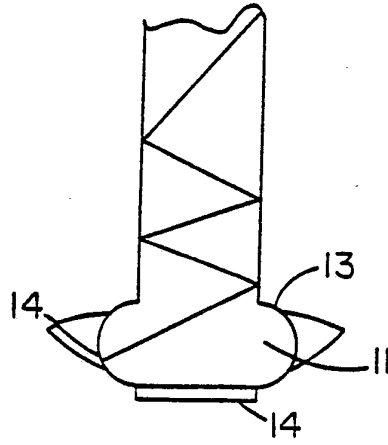


FIG. 3a

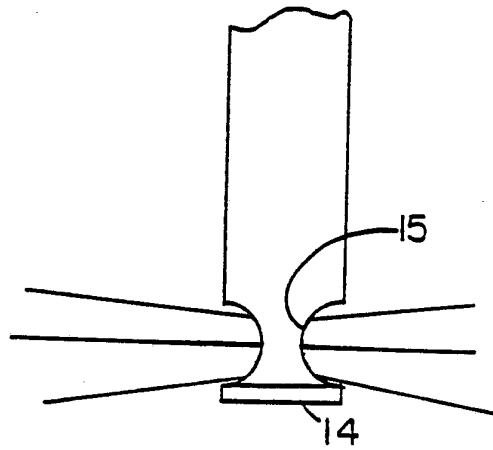


FIG. 3b

INTERNATIONAL SEARCH REPORT

PCT/US92/08402

A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) :A61N 5/06

US CL :606/15

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 606/15 606/3-7,10-19; 128/395,397,398

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US,A, 4,842,390 (Sottini et al.) 27 June 1989 See the entire document.	1,4,11,12
X	US,A, 3,413,067 (Froio) 26 November 1968 See the entire document.	1,2,11,12
X	US,A, 4,672,961 (Davies) 16 June 1987 See the entire document.	1-3,5,11 12
X Y	US,A, 4,940,411 (Vassiliadis et al.) 10 July 1990 See the entire document.	<u>1,8,9</u> 6,7,10

 Further documents are listed in the continuation of Box C.
 See patent family annex.

*A	Special categories of cited documents: document defining the general state of the art which is not considered to be part of particular relevance	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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Date of the actual completion of the international search

22 February 1993

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