

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
7 January 2010 (07.01.2010)

(10) International Publication Number
WO 2010/001231 A2

(51) International Patent Classification:
A61B 5/00 (2006.01)

(21) International Application Number:
PCT/IB2009/006134

(22) International Filing Date:
29 June 2009 (29.06.2009)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
61/077,036 30 June 2008 (30.06.2008) US
61/077,130 30 June 2008 (30.06.2008) US
12/245,400 3 October 2008 (03.10.2008) US

(71) Applicant (for all designated States except US): **NELL-COR PURITAN BENNETT IRELAND** [IE/IE];
Michael Collins Road, Mervue, Galway (IE).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **WATSON, James, Nicholas** [GB/GB]; 7 Sandpiper Gardens, Dunfermline, Fife KY11 8LE (GB). **ADDISON, Paul, Stanley** [GB/GB]; 58 Buckstone Road, Edinburgh EH10 6UR (GB).

(74) Agent: **NAISMITH, Robert, Stewart**; Marks & Clerk LLP, Aurora, 120 Bothwell Street, Glasgow G2 7JS (GB).

(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PE, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

— without international search report and to be republished upon receipt of that report (Rule 48.2(g))



WO 2010/001231 A2

(54) Title: PROCESSING AND DETECTING BASELINE CHANGES IN SIGNALS

(57) Abstract: According to embodiments, systems and methods for detecting the occurrence of events from a signal are provided. A signal processing system may analyze baseline changes and changes in signal characteristics to detect events from a signal. The system may also detect events by analyzing energy parameters and artifacts in a scalogram of the signal. Further, the system may detect events by analyzing both the signal and its corresponding scalogram.

PROCESSING AND DETECTING BASELINE CHANGES IN SIGNALS

Cross-Reference to Related Applications

This application claims the benefit of United State Provisional Application No. 61/077036 entitled "PROCESSING AND DETECTING BASELINE CHANGES IN SIGNALS" and 61/077130 entitled "SYSTEMS AND METHODS OF SIGNAL
5 PROCESSING," both filed June 30, 2008, which are hereby incorporated by reference herein in their entireties.

Summary

The present disclosure is generally directed to detecting baseline changes in signals and, in an embodiment, detecting events that cause baseline changes in a
10 photoplethysmogram signal (PPG signal) and corresponding changes in wavelet transforms of the PPG signal.

In accordance with the disclosure, methods and systems are provided for detecting baseline changes in signals. In an embodiment, methods and systems are provided for detecting events that cause baseline changes in a PPG signal and
15 corresponding changes in wavelet transforms of the PPG signal.

In an embodiment, a signal processing system may analyze a signal captured from a user. The signal processing system may calculate at least one signal characteristic of the signal. For example, the signal processing system may calculate an average or median value of an AC component of the signal over a selected amount of time or over a
20 selected number of cardiac events (e.g., cardiac cycles).

In addition to calculating the signal characteristics of the signal, the signal processing system may also detect a baseline change in the signal. For example, the signal processing system may calculate short term and/or long term changes in the baseline over time. The signal processing system may detect the rate of change in the
25 baseline when it is changing and/or the percentage change and/or magnitude of change in the baseline between two periods where the baseline is generally constant or is changing below a threshold. In an embodiment, the signal processing system may characterize the shape of the baseline change using a linear (e.g. best-fit line, threshold-crossing) or

nonlinear characterization technique (e.g. curve fitting, template matching, adaptive methods etc.).

After calculating the signal characteristics and detecting the baseline change, the signal processing system may calculate at least one signal characteristic subsequent to the baseline change. For example, the signal processing system may analyze the same
5 signal characteristic that was calculated before the baseline change.

After calculating the signal characteristic subsequent to the baseline change, the signal processing system may analyze the baseline change and the signal characteristic to detect an occurrence of an event. For example, the system may determine that the AC
10 component of the signal increased before and after the baseline change. In response to determining the change in the signal characteristic, the signal processing system may determine that an event occurred causing the baseline change (e.g., the user raised his arm).

In an embodiment, the signal processing system may transform the signal
15 captured from a user to generate a transformed signal. For example, the signal processing system may perform a wavelet transform of the signal. After generating the transformed signal, the signal processing system may generate a scalogram based on the transformed signal. The scalogram may include a pulse band, which is a series of dominant coalescing features across the scalogram.

The signal processing system may calculate characteristics of the scalogram. The characteristics may be an energy parameter such as, for example, the average or median energy within the region. The size and shape of the region may be selected in any
20 suitable way. For example, the height and location of the first region may be selected to cover the pulse band (e.g., centered over the ridge of the pulse band). As another example, the height and location of the first region may be fixed to cover the range of
25 scales where the pulse band is expected to be located.

In addition to calculating the characteristics within a region of the scalogram, the signal processing system may detect an artifact within the scalogram. In an embodiment, the artifact may appear as a high energy broad-scale cone. The system may detect the
30 artifact using any suitable techniques, such as, for example, image processing techniques. In addition, the artifact may be detected in response to detecting a large change in the average energy or detecting peak values in the pulse band that are inconsistent with previous peak values.

In response to calculating the characteristics of the scalogram and detecting the artifact within the scalogram, the signal processing system may analyze the calculated characteristics and detected artifact to detect an event. In an embodiment, the signal processing system may monitor the energy parameter within a region of the scalogram over time and the occurrence of artifacts within the scalogram.

In an embodiment, the signal processing system may detect the occurrence of an event using either the calculated characteristics (e.g., energy parameters) or the detected artifact. For example, based at least in part on a portion of the wavelet transform, the signal processing system may calculate or use one or more predetermined thresholds. If the calculated characteristic or detected artifact exceeds a threshold, the signal processing system may determine that an event has occurred.

After detecting an event, the signal processing system may perform an action based on the detected event. For example, the signal processing system may recalibrate a device based on the detected event. As another example, the signal processing system may set a flag of the detected event.

Brief Description of the Drawings

The patent or application file contains at least one drawing executed in color. Copies of this patent or patent application publication with color drawing(s) will be provided by the Office upon request and payment of the necessary fee.

Features of the disclosure, its nature and various advantages, will be apparent upon consideration of the following detailed description, taken in conjunction with the accompanying drawings, in which like reference characters refer to like parts throughout, and in which:

FIG. 1 shows an illustrative pulse oximetry system in accordance with an embodiment;

FIG. 2 is a block diagram of the illustrative pulse oximetry system of **FIG. 1** coupled to a patient in accordance with an embodiment;

FIGS. 3(a) and **3(b)** show illustrative views of a scalogram derived from a PPG signal in accordance with an embodiment;

FIG. 3(c) shows an illustrative scalogram derived from a signal containing two pertinent components in accordance with an embodiment;

FIG. 3(d) shows an illustrative schematic of signals associated with a ridge in **FIG. 3(c)** and illustrative schematics of a further wavelet decomposition of these newly derived signals in accordance with an embodiment;

FIGS. 3(e) and 3(f) are flow charts of illustrative steps involved in performing an inverse continuous wavelet transform in accordance with embodiments;

FIG. 4 is a block diagram of an illustrative continuous wavelet processing system in accordance with some embodiments;

FIG. 5 shows signal characteristics of a PPG signal in accordance with an embodiment;

FIG. 6 shows a scalogram of a PPG signal in accordance with an embodiment;

FIGS. 7A and 7B show an exemplary PPG signal and a corresponding scalogram in accordance with an embodiment;

FIGS. 8A and 8B show another exemplary PPG signal and a corresponding scalogram in accordance with an embodiment;

FIG. 9 shows a flowchart of an illustrative process for detecting an event in a signal in accordance with an embodiment; and

FIG. 10 shows a flowchart of an illustrative process for detecting an event in a scalogram in accordance with an embodiment.

Detailed Description

In medicine, a plethysmograph is an instrument that measures physiological parameters, such as variations in the size of an organ or body part, through an analysis of the blood passing through or present in the targeted body part, or a depiction of these variations. An oximeter is an instrument that may determine the oxygen saturation of the blood. One common type of oximeter is a pulse oximeter, which determines oxygen saturation by analysis of an optically sensed plethysmograph.

A pulse oximeter is a medical device that may indirectly measure the oxygen saturation of a patient's blood (as opposed to measuring oxygen saturation directly by analyzing a blood sample taken from the patient) and changes in blood volume in the skin. Ancillary to the blood oxygen saturation measurement, pulse oximeters may also be used to measure the pulse rate of the patient. Pulse oximeters typically measure and display various blood flow characteristics including, but not limited to, the oxygen saturation of hemoglobin in arterial blood.

An oximeter may include a light sensor that is placed at a site on a patient, typically a fingertip, toe, forehead or earlobe, or in the case of a neonate, across a foot. The oximeter may pass light using a light source through blood perfused tissue and photoelectrically sense the absorption of light in the tissue. For example, the oximeter may measure the intensity of light that is received at the light sensor as a function of time. A signal representing light intensity versus time or a mathematical manipulation of this signal (e.g., a scaled version thereof, a log taken thereof, a scaled version of a log taken thereof, etc.) may be referred to as the photoplethysmograph (PPG) signal. In addition, the term "PPG signal," as used herein, may also refer to an absorption signal (i.e., representing the amount of light absorbed by the tissue) or any suitable mathematical manipulation thereof. The light intensity or the amount of light absorbed may then be used to calculate the amount of the blood constituent (e.g., oxyhemoglobin) being measured as well as the pulse rate and when each individual pulse occurs.

The light passed through the tissue is selected to be of one or more wavelengths that are absorbed by the blood in an amount representative of the amount of the blood constituent present in the blood. The amount of light passed through the tissue varies in accordance with the changing amount of blood constituent in the tissue and the related light absorption. Red and infrared wavelengths may be used because it has been observed that highly oxygenated blood will absorb relatively less red light and more infrared light than blood with a lower oxygen saturation. By comparing the intensities of two wavelengths at different points in the pulse cycle, it is possible to estimate the blood oxygen saturation of hemoglobin in arterial blood.

When the measured blood parameter is the oxygen saturation of hemoglobin, a convenient starting point assumes a saturation calculation based on Lambert-Beer's law. The following notation will be used herein:

$$I(\lambda, t) = I_o(\lambda) \exp(-(s\beta_o(\lambda) + (1-s)\beta_r(\lambda))l(t)) \quad (1)$$

where:

λ =wavelength;

t=time;

I=intensity of light detected;

I_o =intensity of light transmitted;

s=oxygen saturation;

β_o, β_r =empirically derived absorption coefficients; and

I(t)=a combination of concentration and path length from emitter to detector as a function of time.

The traditional approach measures light absorption at two wavelengths (e.g., red and infrared (IR)), and then calculates saturation by solving for the "ratio of ratios" as follows.

1. First, the natural logarithm of (1) is taken ("log" will be used to represent the natural logarithm) for IR and Red

$$\log I = \log I_0 - (s\beta_o + (1-s)\beta_r)l \tag{2}$$

2. (2) is then differentiated with respect to time

$$\frac{d \log I}{dt} = -(s\beta_o + (1-s)\beta_r) \frac{dl}{dt} \tag{3}$$

3. Red (3) is divided by IR (3)

$$\frac{d \log I(\lambda_R) / dt}{d \log I(\lambda_{IR}) / dt} = \frac{s\beta_o(\lambda_R) + (1-s)\beta_r(\lambda_R)}{s\beta_o(\lambda_{IR}) + (1-s)\beta_r(\lambda_{IR})} \tag{4}$$

4. Solving for s

$$s = \frac{\frac{d \log I(\lambda_{IR})}{dt} \beta_r(\lambda_R) - \frac{d \log I(\lambda_R)}{dt} \beta_r(\lambda_{IR})}{\frac{d \log I(\lambda_R)}{dt} (\beta_o(\lambda_{IR}) - \beta_r(\lambda_{IR})) - \frac{d \log I(\lambda_{IR})}{dt} (\beta_o(\lambda_R) - \beta_r(\lambda_R))}$$

Note in discrete time

$$\frac{d \log I(\lambda, t)}{dt} \approx \log I(\lambda, t_2) - \log I(\lambda, t_1)$$

Using $\log A - \log B = \log A/B$,

$$\frac{d \log I(\lambda, t)}{dt} \approx \log \left(\frac{I(t_2, \lambda)}{I(t_1, \lambda)} \right)$$

So, (4) can be rewritten as

$$\frac{\frac{d \log I(\lambda_R)}{dt}}{\frac{d \log I(\lambda_{IR})}{dt}} \approx \frac{\log \left(\frac{I(t_1, \lambda_R)}{I(t_2, \lambda_R)} \right)}{\log \left(\frac{I(t_1, \lambda_{IR})}{I(t_2, \lambda_{IR})} \right)} = R \tag{5}$$

where **R** represents the "ratio of ratios." Solving (4) for s using (5) gives

$$s = \frac{\beta_r(\lambda_R) - R\beta_r(\lambda_{IR})}{R(\beta_o(\lambda_{IR}) - \beta_r(\lambda_{IR})) - \beta_o(\lambda_R) + \beta_r(\lambda_R)}$$

From (5), **R** can be calculated using two points (*e.g.*, PPG maximum and minimum), or a family of points. One method using a family of points uses a modified version of (5).

Using the relationship

$$\frac{d \log I}{dt} = \frac{dI / dt}{I} \tag{6}$$

5 now (5) becomes

$$\begin{aligned} \frac{\frac{d \log I(\lambda_R)}{dt}}{\frac{d \log I(\lambda_{IR})}{dt}} &\approx \frac{\frac{I(t_2, \lambda_R) - I(t_1, \lambda_R)}{I(t_1, \lambda_R)}}{\frac{I(t_2, \lambda_{IR}) - I(t_1, \lambda_{IR})}{I(t_1, \lambda_{IR})}} \\ &= \frac{[I(t_2, \lambda_R) - I(t_1, \lambda_R)]I(t_1, \lambda_{IR})}{[I(t_2, \lambda_{IR}) - I(t_1, \lambda_{IR})]I(t_1, \lambda_R)} \\ &= R \end{aligned} \tag{7}$$

which defines a cluster of points whose slope of y versus x will give **R** where

$$\begin{aligned} x(t) &= [I(t_2, \lambda_{IR}) - I(t_1, \lambda_{IR})]I(t_1, \lambda_R) \\ y(t) &= [I(t_2, \lambda_R) - I(t_1, \lambda_R)]I(t_1, \lambda_{IR}) \\ y(t) &= Rx(t) \end{aligned} \tag{8}$$

FIG. 1 is a perspective view of an embodiment of a pulse oximetry system **10**. System **10** may include a sensor **12** and a pulse oximetry monitor **14**. Sensor **12** may include an emitter **16** for emitting light at two or more wavelengths into a patient's tissue. A detector **18** may also be provided in sensor **12** for detecting the light originally from emitter **16** that emanates from the patient's tissue after passing through the tissue. **[0001]** According to another embodiment and as will be described, system **10** may include a plurality of sensors forming a sensor array in lieu of single sensor **12**. Each of the sensors of the sensor array may be a complementary metal oxide semiconductor (CMOS) sensor. Alternatively, each sensor of the array may be charged coupled device (CCD) sensor. In another embodiment, the sensor array may be made up of a combination of CMOS and CCD sensors. The CCD sensor may comprise a photoactive region and a transmission region for receiving and transmitting data whereas the CMOS sensor may be made up of an integrated circuit having an array of pixel sensors. Each pixel may have a photodetector and an active amplifier.

According to an embodiment, emitter **16** and detector **18** may be on opposite sides of a digit such as a finger or toe, in which case the light that is emanating from the

tissue has passed completely through the digit. In an embodiment, emitter **16** and detector **18** may be arranged so that light from emitter **16** penetrates the tissue and is reflected by the tissue into detector **18**, such as a sensor designed to obtain pulse oximetry data from a patient's forehead.

5 In an embodiment, the sensor or sensor array may be connected to and draw its power from monitor **14** as shown. In another embodiment, the sensor may be wirelessly connected to monitor **14** and include its own battery or similar power supply (not shown). Monitor **14** may be configured to calculate physiological parameters based at least in part on data received from sensor **12** relating to light emission and detection. In
10 an alternative embodiment, the calculations may be performed on the monitoring device itself and the result of the oximetry reading may be passed to monitor **14**. Further, monitor **14** may include a display **20** configured to display the physiological parameters or other information about the system. In the embodiment shown, monitor **14** may also include a speaker **22** to provide an audible sound that may be used in various other
15 embodiments, such as for example, sounding an audible alarm in the event that a patient's physiological parameters are not within a predefined normal range.

In an embodiment, sensor **12**, or the sensor array, may be communicatively coupled to monitor **14** via a cable **24**. However, in other embodiments, a wireless transmission device (not shown) or the like may be used instead of or in addition to cable
20 **24**.

In the illustrated embodiment, pulse oximetry system **10** may also include a multi-parameter patient monitor **26**. The monitor may be cathode ray tube type, a flat panel display (as shown) such as a liquid crystal display (LCD) or a plasma display, or any other type of monitor now known or later developed. Multi-parameter patient
25 monitor **26** may be configured to calculate physiological parameters and to provide a display **28** for information from monitor **14** and from other medical monitoring devices or systems (not shown). For example, multiparameter patient monitor **26** may be configured to display an estimate of a patient's blood oxygen saturation generated by pulse oximetry monitor **14** (referred to as an "SpO₂" measurement), pulse rate
30 information from monitor **14** and blood pressure from a blood pressure monitor (not shown) on display **28**.

Monitor **14** may be communicatively coupled to multi-parameter patient monitor **26** via a cable **32** or **34** that is coupled to a sensor input port or a digital communications

port, respectively and/or may communicate wirelessly (not shown). In addition, monitor 14 and/or multi-parameter patient monitor 26 may be coupled to a network to enable the sharing of information with servers or other workstations (not shown). Monitor 14 may be powered by a battery (not shown) or by a conventional power source such as a wall outlet.

5
10
15
20
25

FIG. 2 is a block diagram of a pulse oximetry system, such as pulse oximetry system 10 of **FIG. 1**, which may be coupled to a patient 40 in accordance with an embodiment. Certain illustrative components of sensor 12 and monitor 14 are illustrated in **FIG. 2**. Sensor 12 may include emitter 16, detector 18, and encoder 42. In the embodiment shown, emitter 16 may be configured to emit at least two wavelengths of light (*e.g.*, *RED* and *IR*) into a patient's tissue 40. Hence, emitter 16 may include a *RED* light emitting light source such as *RED* light emitting diode (LED) 44 and an *IR* light emitting light source such as *IR* LED 46 for emitting light into the patient's tissue 40 at the wavelengths used to calculate the patient's physiological parameters. In one embodiment, the *RED* wavelength may be between about 600 nm and about 700 nm, and the *IR* wavelength may be between about 800 nm and about 1000 nm. In embodiments where a sensor array is used in place of single sensor, each sensor may be configured to emit a single wavelength. For example, a first sensor emits only a *RED* light while a second only emits an *IR* light.

It will be understood that, as used herein, the term "light" may refer to energy produced by radiative sources and may include one or more of ultrasound, radio, microwave, millimeter wave, infrared, visible, ultraviolet, gamma ray or X-ray electromagnetic radiation. As used herein, light may also include any wavelength within the radio, microwave, infrared, visible, ultraviolet, or X-ray spectra, and that any suitable wavelength of electromagnetic radiation may be appropriate for use with the present techniques. Detector 18 may be chosen to be specifically sensitive to the chosen targeted energy spectrum of the emitter 16.

30
35

In an embodiment, detector 18 may be configured to detect the intensity of light at the *RED* and *IR* wavelengths. Alternatively, each sensor in the array may be configured to detect an intensity of a single wavelength. In operation, light may enter detector 18 after passing through the patient's tissue 40. Detector 18 may convert the intensity of the received light into an electrical signal. The light intensity is directly related to the absorbance and/or reflectance of light in the tissue 40. That is, when more

light at a certain wavelength is absorbed or reflected, less light of that wavelength is received from the tissue by the detector **18**. After converting the received light to an electrical signal, detector **18** may send the signal to monitor **14**, where physiological parameters may be calculated based on the absorption of the *RED* and *IR* wavelengths in the patient's tissue **40**.

In an embodiment, encoder **42** may contain information about sensor **12**, such as what type of sensor it is (*e.g.*, whether the sensor is intended for placement on a forehead or digit) and the wavelengths of light emitted by emitter **16**. This information may be used by monitor **14** to select appropriate algorithms, lookup tables and/or calibration coefficients stored in monitor **14** for calculating the patient's physiological parameters.

Encoder **42** may contain information specific to patient **40**, such as, for example, the patient's age, weight, and diagnosis. This information may allow monitor **14** to determine, for example, patient-specific threshold ranges in which the patient's physiological parameter measurements should fall and to enable or disable additional physiological parameter algorithms. Encoder **42** may, for instance, be a coded resistor which stores values corresponding to the type of sensor **12** or the type of each sensor in the sensor array, the wavelengths of light emitted by emitter **16** on each sensor of the sensor array, and/or the patient's characteristics. In another embodiment, encoder **42** may include a memory on which one or more of the following information may be stored for communication to monitor **14**: the type of the sensor **12**; the wavelengths of light emitted by emitter **16**; the particular wavelength each sensor in the sensor array is monitoring; a signal threshold for each sensor in the sensor array; any other suitable information; or any combination thereof.

In an embodiment, signals from detector **18** and encoder **42** may be transmitted to monitor **14**. In the embodiment shown, monitor **14** may include a general-purpose microprocessor **48** connected to an internal bus **50**. Microprocessor **48** may be adapted to execute software, which may include an operating system and one or more applications, as part of performing the functions described herein. Also connected to bus **50** may be a read-only memory (ROM) **52**, a random access memory (RAM) **54**, user inputs **56**, display **20**, and speaker **22**.

RAM **54** and ROM **52** are illustrated by way of example, and not limitation. Any suitable computer-readable media may be used in the system for data storage. Computer-readable media are capable of storing information that can be interpreted by

microprocessor **48**. This information may be data or may take the form of computer-executable instructions, such as software applications, that cause the microprocessor to perform certain functions and/or computer-implemented methods. Depending on the embodiment, such computer-readable media may include computer storage media and communication media. Computer storage media may include volatile and non-volatile, removable and non-removable media implemented in any method or technology for storage of information such as computer-readable instructions, data structures, program modules or other data. Computer storage media may include, but is not limited to, RAM, ROM, EPROM, EEPROM, flash memory or other solid state memory technology, CD-ROM, DVD, or other optical storage, magnetic cassettes, magnetic tape, magnetic disk storage or other magnetic storage devices, or any other medium which can be used to store the desired information and which can be accessed by components of the system.

In the embodiment shown, a time processing unit (TPU) **58** may provide timing control signals to a light drive circuitry **60**, which may control when emitter **16** is illuminated and multiplexed timing for the *RED* LED **44** and the *IR* LED **46**. TPU **58** may also control the gating-in of signals from detector **18** through an amplifier **62** and a switching circuit **64**. These signals are sampled at the proper time, depending upon which light source is illuminated. The received signal from detector **18** may be passed through an amplifier **66**, a low pass filter **68**, and an analog-to-digital converter **70**. The digital data may then be stored in a queued serial module (QSM) **72** (or buffer) for later downloading to RAM **54** as QSM **72** fills up. In one embodiment, there may be multiple separate parallel paths having amplifier **66**, filter **68**, and A/D converter **70** for multiple light wavelengths or spectra received.

In an embodiment, microprocessor **48** may determine the patient's physiological parameters, such as SpO₂ and pulse rate, using various algorithms and/or look-up tables based on the value of the received signals and/or data corresponding to the light received by detector **18**. Signals corresponding to information about patient **40**, and particularly about the intensity of light emanating from a patient's tissue over time, may be transmitted from encoder **42** to a decoder **74**. These signals may include, for example, encoded information relating to patient characteristics. Decoder **74** may translate these signals to enable the microprocessor to determine the thresholds based on algorithms or look-up tables stored in ROM **52**. User inputs **56** may be used to enter information about the patient, such as age, weight, height, diagnosis, medications, treatments, and so forth.

In an embodiment, display **20** may exhibit a list of values which may generally apply to the patient, such as, for example, age ranges or medication families, which the user may select using user inputs **56**.

5 The optical signal through the tissue can be degraded by noise, among other sources. One source of noise is ambient light that reaches the light detector. Another source of noise is electromagnetic coupling from other electronic instruments. Movement of the patient also introduces noise and affects the signal. For example, the contact between the detector and the skin, or the emitter and the skin, can be temporarily disrupted when movement causes either to move away from the skin. In addition,
10 because blood is a fluid, it responds differently than the surrounding tissue to inertial effects, thus resulting in momentary changes in volume at the point to which the oximeter probe is attached.

Noise (*e.g.*, from patient movement) can degrade a pulse oximetry signal relied upon by a physician, without the physician's awareness. This is especially true if the
15 monitoring of the patient is remote, the motion is too small to be observed, or the doctor is watching the instrument or other parts of the patient, and not the sensor site. Processing pulse oximetry (*i.e.*, PPG) signals may involve operations that reduce the amount of noise present in the signals or otherwise identify noise components in order to prevent them from affecting measurements of physiological parameters derived from the
20 PPG signals.

It will be understood that the present disclosure is applicable to any suitable signals and that PPG signals are used merely for illustrative purposes. Those skilled in the art will recognize that the present disclosure has wide applicability to other signals including, but not limited to other biosignals (*e.g.*, electrocardiogram,
25 electroencephalogram, electrogastrogram, electromyogram, heart rate signals, pathological sounds, ultrasound, or any other suitable biosignal), dynamic signals, non-destructive testing signals, condition monitoring signals, fluid signals, geophysical signals, astronomical signals, electrical signals, financial signals including financial indices, sound and speech signals, chemical signals, meteorological signals including
30 climate signals, and/or any other suitable signal, and/or any combination thereof.

In one embodiment, a PPG signal may be transformed using a continuous wavelet transform. Information derived from the transform of the PPG signal (*i.e.*, in wavelet space) may be used to provide measurements of one or more physiological parameters.

The continuous wavelet transform of a signal $x(t)$ in accordance with the present disclosure may be defined as

$$T(a,b) = \frac{1}{\sqrt{a}} \int_{-\infty}^{+\infty} x(t) \psi^* \left(\frac{t-b}{a} \right) dt \quad (9)$$

where $\psi^*(t)$ is the complex conjugate of the wavelet function $\psi(t)$, a is the dilation parameter of the wavelet and b is the location parameter of the wavelet. The transform given by equation (9) may be used to construct a representation of a signal on a transform surface. The transform may be regarded as a time-scale representation. Wavelets are composed of a range of frequencies, one of which may be denoted as the characteristic frequency of the wavelet, where the characteristic frequency associated with the wavelet is inversely proportional to the scale a . One example of a characteristic frequency is the dominant frequency. Each scale of a particular wavelet may have a different characteristic frequency. The underlying mathematical detail required for the implementation within a time-scale can be found, for example, in Paul S. Addison, The Illustrated Wavelet Transform Handbook (Taylor & Francis Group 2002), which is hereby incorporated by reference herein in its entirety..

The continuous wavelet transform decomposes a signal using wavelets, which are generally highly localized in time. The continuous wavelet transform may provide a higher resolution relative to discrete transforms, thus providing the ability to garner more information from signals than typical frequency transforms such as Fourier transforms (or any other spectral techniques) or discrete wavelet transforms. Continuous wavelet transforms allow for the use of a range of wavelets with scales spanning the scales of interest of a signal such that small scale signal components correlate well with the smaller scale wavelets and thus manifest at high energies at smaller scales in the transform. Likewise, large scale signal components correlate well with the larger scale wavelets and thus manifest at high energies at larger scales in the transform. Thus, components at different scales may be separated and extracted in the wavelet transform domain. Moreover, the use of a continuous range of wavelets in scale and time position allows for a higher resolution transform than is possible relative to discrete techniques.

In addition, transforms and operations that convert a signal or any other type of data into a spectral (*i.e.*, frequency) domain necessarily create a series of frequency transform values in a two-dimensional coordinate system where the two dimensions may be frequency and, for example, amplitude. For example, any type of Fourier transform

would generate such a two-dimensional spectrum. In contrast, wavelet transforms, such as continuous wavelet transforms, are required to be defined in a three-dimensional coordinate system and generate a surface with dimensions of time, scale and, for example, amplitude. Hence, operations performed in a spectral domain cannot be performed in the wavelet domain; instead the wavelet surface must be transformed into a spectrum (*i.e.*, by performing an inverse wavelet transform to convert the wavelet surface into the time domain and then performing a spectral transform from the time domain). Conversely, operations performed in the wavelet domain cannot be performed in the spectral domain; instead a spectrum must first be transformed into a wavelet surface (*i.e.*, by performing an inverse spectral transform to convert the spectral domain into the time domain and then performing a wavelet transform from the time domain). Nor does a cross-section of the three-dimensional wavelet surface along, for example, a particular point in time equate to a frequency spectrum upon which spectral-based techniques may be used. At least because wavelet space includes a time dimension, spectral techniques and wavelet techniques are not interchangeable. It will be understood that converting a system that relies on spectral domain processing to one that relies on wavelet space processing would require significant and fundamental modifications to the system in order to accommodate the wavelet space processing (*e.g.*, to derive a representative energy value for a signal or part of a signal requires integrating twice, across time and scale, in the wavelet domain while, conversely, one integration across frequency is required to derive a representative energy value from a spectral domain. As a further example, to reconstruct a temporal signal requires integrating twice, across time and scale, in the wavelet domain while, conversely, one integration across frequency is required to derive a temporal signal from a spectral domain). It is well known in the art that, in addition to or as an alternative to amplitude, parameters such as energy density, modulus, phase, among others may all be generated using such transforms and that these parameters have distinctly different contexts and meanings when defined in a two-dimensional frequency coordinate system rather than a three-dimensional wavelet coordinate system. For example, the phase of a Fourier system is calculated with respect to a single origin for all frequencies while the phase for a wavelet system is unfolded into two dimensions with respect to a wavelet's location (often in time) and scale.

The energy density function of the wavelet transform, the scalogram, is defined as

$$S(a,b) = |T(a,b)|^2 \tag{10}$$

where $||$ is the modulus operator. The scalogram may be rescaled for useful purposes. One common rescaling is defined as

$$S_R(a,b) = \frac{|T(a,b)|^2}{a} \tag{11}$$

5 and is useful for defining ridges in wavelet space when, for example, the Morlet wavelet is used. Ridges are defined as the locus of points of local maxima in the plane. Any reasonable definition of a ridge may be employed in the method. Also included as a definition of a ridge herein are paths displaced from the locus of the local maxima. A ridge associated with only the locus of points of local maxima in the plane are labeled a
 10 "maxima ridge".

For implementations requiring fast numerical computation, the wavelet transform may be expressed as an approximation using Fourier transforms. Pursuant to the convolution theorem, because the wavelet transform is the cross-correlation of the signal with the wavelet function, the wavelet transform may be approximated in terms of an
 15 inverse FFT of the product of the Fourier transform of the signal and the Fourier transform of the wavelet for each required a scale and then multiplying the result by \sqrt{a} .

In the discussion of the technology which follows herein, the "scalogram" may be taken to include all suitable forms of rescaling including, but not limited to, the original unscaled wavelet representation, linear rescaling, any power of the modulus of the
 20 wavelet transform, or any other suitable rescaling. In addition, for purposes of clarity and conciseness, the term "scalogram" shall be taken to mean the wavelet transform, $T(a,b)$ itself, or any part thereof. For example, the real part of the wavelet transform, the imaginary part of the wavelet transform, the phase of the wavelet transform, any other suitable part of the wavelet transform, or any combination thereof is intended to be
 25 conveyed by the term "scalogram".

A scale, which may be interpreted as a representative temporal period, may be converted to a characteristic frequency of the wavelet function. The characteristic frequency associated with a wavelet of arbitrary a scale is given by

$$f = \frac{f_c}{a} \tag{12}$$

where f_c , the characteristic frequency of the mother wavelet (*i.e.*, at $a=1$), becomes a scaling constant and f is the representative or characteristic frequency for the wavelet at arbitrary scale a .

Any suitable wavelet function may be used in connection with the present disclosure. One of the most commonly used complex wavelets, the Morlet wavelet, is defined as:

$$\psi(t) = \pi^{-1/4} (e^{i2\pi f_0 t} - e^{-(2\pi f_0)^2 / 2}) e^{-t^2 / 2} \quad (13)$$

where f_0 is the central frequency of the mother wavelet. The second term in the parenthesis is known as the correction term, as it corrects for the non-zero mean of the complex sinusoid within the Gaussian window. In practice, it becomes negligible for values of $f_0 \gg 0$ and can be ignored, in which case, the Morlet wavelet can be written in a simpler form as

$$\psi(t) = \frac{1}{\pi^{1/4}} e^{i2\pi f_0 t} e^{-t^2 / 2} \quad (14)$$

This wavelet is a complex wave within a scaled Gaussian envelope. While both definitions of the Morlet wavelet are included herein, the function of equation (14) is not strictly a wavelet as it has a non-zero mean (*i.e.*, the zero frequency term of its corresponding energy spectrum is non-zero). However, it will be recognized by those skilled in the art that equation (14) may be used in practice with $f_0 \gg 0$ with minimal error and is included (as well as other similar near wavelet functions) in the definition of a wavelet herein. A more detailed overview of the underlying wavelet theory, including the definition of a wavelet function, can be found in the general literature. Discussed herein is how wavelet transform features may be extracted from the wavelet decomposition of signals. For example, wavelet decomposition of PPG signals may be used to provide clinically useful information within a medical device.

Pertinent repeating features in a signal give rise to a time-scale band in wavelet space or a rescaled wavelet space. For example, the pulse component of a PPG signal produces a dominant band in wavelet space at or around the pulse frequency. **FIGS. 3(a)** and **(b)** show two views of an illustrative scalogram derived from a PPG signal, according to an embodiment. The figures show an example of the band caused by the pulse component in such a signal. The pulse band is located between the dashed lines in the plot of **FIG. 3(a)**. The band is formed from a series of dominant coalescing features across the scalogram. This can be clearly seen as a raised band across the transform

surface in **FIG. 3(b)** located within the region of scales indicated by the arrow in the plot (corresponding to 60 beats per minute). The maxima of this band with respect to scale is the ridge. The locus of the ridge is shown as a black curve on top of the band in **FIG.**

5 **3(b)**. By employing a suitable rescaling of the scalogram, such as that given in equation (11), the ridges found in wavelet space may be related to the instantaneous frequency of the signal. In this way, the pulse rate may be obtained from the PPG signal. Instead of rescaling the scalogram, a suitable predefined relationship between the scale obtained from the ridge on the wavelet surface and the actual pulse rate may also be used to determine the pulse rate.

10 By mapping the time-scale coordinates of the pulse ridge onto the wavelet phase information gained through the wavelet transform, individual pulses may be captured. In this way, both times between individual pulses and the timing of components within each pulse may be monitored and used to detect heart beat anomalies, measure arterial system compliance, or perform any other suitable calculations or diagnostics. Alternative
15 definitions of a ridge may be employed. Alternative relationships between the ridge and the pulse frequency of occurrence may be employed.

As discussed above, pertinent repeating features in the signal give rise to a time-scale band in wavelet space or a rescaled wavelet space. For a periodic signal, this band remains at a constant scale in the time-scale plane. For many real signals, especially
20 biological signals, the band may be non-stationary; varying in scale, amplitude, or both over time. **FIG. 3(c)** shows an illustrative schematic of a wavelet transform of a signal containing two pertinent components leading to two bands in the transform space, according to an embodiment. These bands are labeled band A and band B on the three-dimensional schematic of the wavelet surface. In an embodiment, the band ridge is
25 defined as the locus of the peak values of these bands with respect to scale. For purposes of discussion, it may be assumed that band B contains the signal information of interest. This will be referred to as the "primary band". In addition, it may be assumed that the system from which the signal originates, and from which the transform is subsequently derived, exhibits some form of coupling between the signal components in band A and
30 band B. When noise or other erroneous features are present in the signal with similar spectral characteristics of the features of band B then the information within band B can become ambiguous (*i.e.*, obscured, fragmented or missing). In this case, the ridge of band A may be followed in wavelet space and extracted either as an amplitude signal or a

scale signal which will be referred to as the "ridge amplitude perturbation" (RAP) signal and the "ridge scale perturbation" (RSP) signal, respectively. The RAP and RSP signals may be extracted by projecting the ridge onto the time-amplitude or time-scale planes, respectively. The top plots of **FIG. 3(d)** show a schematic of the RAP and RSP signals associated with ridge A in **FIG. 3(c)**. Below these RAP and RSP signals are schematics of a further wavelet decomposition of these newly derived signals. This secondary wavelet decomposition allows for information in the region of band B in **FIG. 3(c)** to be made available as band C and band D. The ridges of bands C and D may serve as instantaneous time-scale characteristic measures of the signal components causing bands C and D. This technique, which will be referred to herein as secondary wavelet feature decoupling (SWFD), may allow information concerning the nature of the signal components associated with the underlying physical process causing the primary band B (**FIG. 3(c)**) to be extracted when band B itself is obscured in the presence of noise or other erroneous signal features.

In some instances, an inverse continuous wavelet transform may be desired, such as when modifications to a scalogram (or modifications to the coefficients of a transformed signal) have been made in order to, for example, remove artifacts. In one embodiment, there is an inverse continuous wavelet transform which allows the original signal to be recovered from its wavelet transform by integrating over all scales and locations, a and b :

$$x(t) = \frac{1}{C_g} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} T(a,b) \frac{1}{\sqrt{a}} \psi\left(\frac{t-b}{a}\right) \frac{dadb}{a^2} \quad (15)$$

which may also be written as:

$$x(t) = \frac{1}{C_g} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} T(a,b) \psi_{a,b}(t) \frac{dadb}{a^2} \quad (16)$$

where C_g is a scalar value known as the admissibility constant. It is wavelet type dependent and may be calculated from:

30

$$C_s = \int_b^{\infty} \frac{|\hat{\psi}(f)|^2}{f} df \quad (17)$$

FIG. 3(e) is a flow chart of illustrative steps that may be taken to perform an inverse continuous wavelet transform in accordance with the above discussion. An approximation to the inverse transform may be made by considering equation (15) to be a series of convolutions across scales. It shall be understood that there is no complex conjugate here, unlike for the cross correlations of the forward transform. As well as integrating over all of a and b for each time t , this equation may also take advantage of the convolution theorem which allows the inverse wavelet transform to be executed using a series of multiplications. **FIG. 3(f)** is a flow chart of illustrative steps that may be taken to perform an approximation of an inverse continuous wavelet transform. It will be understood that any other suitable technique for performing an inverse continuous wavelet transform may be used in accordance with the present disclosure.

FIG. 4 is an illustrative continuous wavelet processing system in accordance with an embodiment. In an embodiment, input signal generator **410** generates an input signal **416**. As illustrated, input signal generator **410** may include oximeter **420** coupled to sensor **418**, which may provide as input signal **416**, a PPG signal. It will be understood that input signal generator **410** may include any suitable signal source, signal generating data, signal generating equipment, or any combination thereof to produce signal **416**. Signal **416** may be any suitable signal or signals, such as, for example, biosignals (*e.g.*, electrocardiogram, electroencephalogram, electrogastrogram, electromyogram, heart rate signals, pathological sounds, ultrasound, or any other suitable biosignal), dynamic signals, non-destructive testing signals, condition monitoring signals, fluid signals, geophysical signals, astronomical signals, electrical signals, financial signals including financial indices, sound and speech signals, chemical signals, meteorological signals including climate signals, and/or any other suitable signal, and/or any combination thereof.

In an embodiment, signal **416** may be coupled to processor **412**. Processor **412** may be any suitable software, firmware, and/or hardware, and/or combinations thereof for processing signal **416**. For example, processor **412** may include one or more hardware processors (*e.g.*, integrated circuits), one or more software modules, computer-readable media such as memory, firmware, or any combination thereof. Processor **412**

may, for example, be a computer or may be one or more chips (*i.e.*, integrated circuits). Processor **412** may perform the calculations associated with the continuous wavelet transforms of the present disclosure as well as the calculations associated with any suitable interrogations of the transforms. Processor **412** may perform any suitable signal processing of signal **416** to filter signal **416**, such as any suitable band-pass filtering, adaptive filtering, closed-loop filtering, and/or any other suitable filtering, and/or any combination thereof.

Processor **412** may be coupled to one or more memory devices (not shown) or incorporate one or more memory devices such as any suitable volatile memory device (*e.g.*, RAM, registers, *etc.*), non-volatile memory device (*e.g.*, ROM, EPROM, magnetic storage device, optical storage device, flash memory, *etc.*), or both. The memory may be used by processor **412** to, for example, store data corresponding to a continuous wavelet transform of input signal **416**, such as data representing a scalogram. In one embodiment, data representing a scalogram may be stored in RAM or memory internal to processor **412** as any suitable three-dimensional data structure such as a three-dimensional array that represents the scalogram as energy levels in a time-scale plane. Any other suitable data structure may be used to store data representing a scalogram.

Processor **412** may be coupled to output **414**. Output **414** may be any suitable output device such as, for example, one or more medical devices (*e.g.*, a medical monitor that displays various physiological parameters, a medical alarm, or any other suitable medical device that either displays physiological parameters or uses the output of processor **412** as an input), one or more display devices (*e.g.*, monitor, PDA, mobile phone, any other suitable display device, or any combination thereof), one or more audio devices, one or more memory devices (*e.g.*, hard disk drive, flash memory, RAM, optical disk, any other suitable memory device, or any combination thereof), one or more printing devices, any other suitable output device, or any combination thereof.

It will be understood that system **400** may be incorporated into system **10** (FIGS. **1** and **2**) in which, for example, input signal generator **410** may be implemented as parts of sensor **12** and monitor **14** and processor **412** may be implemented as part of monitor **14**.

[0002] In accordance with an embodiment, baseline changes and corresponding events may be detected in signals such as PPG signals. FIG. **5** shows an exemplary PPG signal **500** that may be analyzed in accordance with the present disclosure. PPG signal **500** is

an oscillating signal having pulses such as pulses **502**. Each pulse of PPG signal **500** may correspond to a cardiac cycle. PPG signal **500** has AC component **504**, which oscillates around a baseline. For example, as shown in **FIG. 5**, during time period **506**, PPG signal **500** is oscillating around baseline **508**. As another example, during time
5 period **510**, PPG signal **500** is oscillating around a different baseline **512**. The baseline of a signal may be calculated using any suitable technique. For example, the baseline may be calculated as an average of the signal over a selected amount of time or over a selected number of cardiac cycles.

AC component **504** may be caused in part by a change in blood volume at a
10 sensor location. The change in blood volume may be caused by the pressure wave generated by each heart beat. The size of AC component **504** may correspond to the change in blood pressure at the sensor location (e.g., the difference between systolic and diastolic pressure in the arteries). As shown in **FIG. 5**, the amplitude of AC
component **504** is higher during time period **510** than during time period **506**. In one
15 example, PPG signal **500** may have been generated using a sensor on a user's finger. During time period **506**, the user may have his arm at his side which may cause the arteries to swell due to gravity causing blood to pool in the user's arm. As a result, AC
component **504** caused by each heart beat may be small because the expansion in the swollen arteries may be limited. When the user raises his arm above his head during
20 time period **516**, gravity caused blood and other body fluids to drain from the arm. As a result, AC component **504** during time period **510** may be greater because the arteries may be able to expand more easily.

In addition to the AC component, PPG signal **500** also has amplitude
component **514**, which may be attributed to the total amount of absorption and/or
25 transmission between the sensor emitter and detector. **FIG. 5** represents an increase in light received at the sensor detector as a decrease in amplitude. As shown in **FIG. 5**, amplitude component **514** also changes between time period **506** and time period **510**. For example, during time period **506**, amplitude component **514** oscillates about baseline
508. When the user changes his arm position (e.g., raises his arm) during time
30 period **516**, amplitude component **514** may significantly decrease. The decrease may be attributed to a decrease in fluids such as blood within the user's arm as a result of gravity causing fluids to flow out of the arm, thus allowing more light to be received at the

sensor detector. Finally, at the beginning of time period **510**, amplitude component **514** begins to settle into a new steady state oscillation about baseline **512**.

In accordance with an embodiment, by calculating signal characteristics of PPG signal **500** and detecting changes in the baseline and the signal characteristics, an event can be detected by a signal processing system, such as signal processing system **400** of **FIG. 4**. The signal processing system may calculate a signal characteristic by calculating, for example, a signal characteristic of the AC component of PPG signal **500**. The calculated signal characteristic may be any suitable characteristic such as an average or median amplitude of the AC component over a selected amount of time or over a selected number of cardiac events. It will be understood that the signal processing system may use any other suitable algorithm to calculate the desired signal characteristic.

The signal processing system may also calculate the baseline and the baseline changes in PPG signal **500**. For example, the signal processing system may continuously or periodically calculate the baseline of PPG signal **500**. The signal processing system may also calculate short term and/or long term changes in the baseline over time. For example, in **FIG. 5**, the signal processing system may calculate that the baseline is generally constant during time period **506**, is changing at a particular rate during time period **516**, and is generally constant during time period **510**. The signal processing system may detect the rate of change in the baseline when it is changing and/or the percentage change and/or magnitude of change in the baseline between two periods where the baseline is generally constant or is changing below a threshold. In an embodiment, the signal processing system may characterize the shape of the baseline change during time period **516** using a linear (e.g. best-fit line or threshold-crossing) or nonlinear characterization technique (e.g. curve fitting, template matching, adaptive methods, etc.). The characteristics of the shape of the baseline may, for example, provide information on the type of physiological event causing the baseline change. In addition, non-linear parameters associated with the baseline may also be calculated. Any other suitable processing techniques may be used in analyzing the baseline of PPG signal **500**.

The signal processing system may also calculate whether there are any other signal characteristic changes before, during, and/or after a baseline change. For example, the signal processing system may calculate the amplitude of the AC component of PPG signal **500**. For example, the signal processing system may calculate the average or

median amplitude of the AC component over a selected amount of time or over a selected number of cardiac cycles.

The signal processing system may monitor one or more signal characteristics to detect that an event has occurred. For example, as shown in FIG. 5, both the baseline and AC component of PPG signal 500 changed between time period 506 and time period 510. The signal processing system may analyze these changes and determine that an event has occurred. For example, the signal processing system may detect that the patient has moved his position.

It will be understood that although a PPG signal is used to detect events in the discussion above, any suitable signals or devices may be used to detect events such as, for example, an electrocardiogram, electroencephalogram (EEG), electrogastrogram, electromyogram (EMG), heart rate signals, pathological sounds, ultrasound, or any other suitable biosignal, dynamic signals, non-destructive testing signals, condition monitoring signals, fluid signals, geophysical signals, astronomical signals, electrical signals, financial signals including financial indices, sound and speech signals, chemical signals, meteorological signals including climate signals, any other suitable signal, or any combination thereof.

In some embodiments, the signal processing system may detect the occurrence of an event based only on changes in the signal characteristics or changes in the baseline (e.g., changes in baseline level, rate of change of the baseline, non-linear parameters associated with the baseline, shape of the baseline change, or any combination thereof). For example, based at least in part on a portion of the signal (e.g., PPG signal 500 during time period 506), the signal processing system may calculate or use one or more predetermined thresholds. In some embodiments, if there exists a number of ordered discrete states, the signal processing system may determine that an event has occurred based on whether the signal characteristics or the baseline falls below, equals, or exceeds the threshold.

In some embodiments, the signal processing system may also detect an event by transforming the received signal. For example, the signal processing system may transform the signal using a continuous wavelet transform. FIG. 6 shows a simplified view of a scalogram 600 generated from a continuous wavelet transform of PPG signal 500 of FIG. 5. Although the continuous wavelet transform is used in this example, any other suitable types of transforms may also be used. It will be understood that, for the

sake of clarity, only the pulse band and the effect of the baseline change of PPG signal **500** is shown in **FIG. 6**. It will also be understood that lower energy or amplitude values in scalogram **600** are represented as lighter shades of gray and higher energy or amplitude values in scalogram **600** are represented as darker shades of gray.

5 For time periods **506**, **510**, and **516**, the pulse band **602** of the PPG signal is generally located between a lower scale S_1 and an upper scale S_2 of the scalogram. As shown in **FIG. 6**, the lower amplitude of the AC component of PPG signal **500** during time period **506** has been transformed to lower energy or amplitude values in pulse band **602** during the same time period. Likewise, the higher amplitude of the AC
10 component of PPG signal **500** during time period **510** has been transformed to higher energy or amplitude values in pulse band **602** during the same time period. In addition, the change in baseline of the PPG signal **500** during time period **516** has been transformed to an artifact **606** (e.g., a high energy broad-scale cone). Thus, by analyzing particular artifacts and characteristics in various regions of the scalogram, events may be
15 detected. For example, the signal processing system may analyze the real part, imaginary part, and/or phase of the artifact in the various regions. As another example, the signal processing system may analyze characteristics such as energy parameters in the various regions.

The signal processing system may calculate any suitable energy parameter within
20 a region on the scalogram (e.g., region **608**). The size and shape of region **608** may be selected in any suitable way. For example, the height and location of region **608** may be selected to cover the pulse band **602**. Pulse band **602** may vary its location and size over time. Therefore, in an embodiment, region **608** may be centered over ridge **604** of pulse band **602**. The ridge of pulse band **602** may be identified, for example, using ridge
25 following techniques or any other suitable technique such as using heart rate calculated from the PPG signal. The ridge of the pulse band may be identified, for example, using the techniques described in Watson et al., United States Patent Application No. 12/245,326 (Attorney Docket No. H-RM-01197-1 (COV-2-01)), filed October 3, 2008, entitled "SYSTEMS AND METHODS FOR RIDGE SELECTION IN
30 SCALOGRAMS OF SIGNALS," which is incorporated by reference herein in its entirety. With the ridge location known, the height of region **608** may be selected to span a selected number of scales above and below the ridge. The number of scales may be selected such that region **608** spans only a portion of pulse band **602**, all of pulse band

602, or all of the pulse band plus an additional amount. In an embodiment, the height and location of region **608** may be fixed to cover the range of scales where the pulse band is expected to be located. For example, the height of region **608** may span from a scale whose characteristic frequency is about 0.5 Hz to a scale whose characteristic
5 frequency is about 4 Hz or equivalently 30-240 bpm. The width of region **608** may be selected to cover any suitable length of time, such as 1, 2, 3, 4, 5, etc. seconds.

Region **608** may slide across the scalogram calculating the energy parameter in real-time or off-line. The energy parameter calculated within region **608** may be, for example, the average or median energy within the region. It will be understood that any other suitable
10 energy parameter may also be used. The signal processing system may also calculate percent or magnitude changes in the energy parameter over time. In an embodiment, the amplitude of the ridge of the pulse band may be analyzed in place of or in addition to the energy of the pulse band.

In addition to calculating the energy parameter, the signal processing system may
15 also detect artifacts within the scalogram, which may represent baseline changes.

Artifacts may appear as a high energy broad-scale cone such as artifact **606**. The system may detect the artifact in any suitable way. For example, the system may detect the artifact in response to detecting a large change in the average energy across one or more scales. As another example, the system may detect the artifact using image processing
20 techniques. As yet another example, the system may detect the artifact in response to detecting peak values in the pulse band that are inconsistent with previous peak values. Artifacts in the scalogram may also be detected using the techniques described in Watson et al., United States Patent Application No. 12/245,336 (Attorney Docket No. H-RM-01192-2 (COV-3-02)), filed October 3, 2008, entitled " SYSTEMS AND METHODS
25 FOR ARTIFACT DETECTION IN SIGNALS," which is incorporated by reference herein in its entirety. The signal processing system may calculate characteristics of an artifact such as its size, shape, and its energy parameter.

The signal processing system may monitor one or more characteristics of the scalogram to detect that an event occurred. In an embodiment, the signal processing
30 system may monitor the energy parameter within region **608** as the region moves across scalogram **600** and the occurrence of artifacts within scalogram **600**. For example, the signal processing system may determine that the energy parameter calculated for region **608** increased from time period **506** to time period **510**. In addition, the signal

processing system may detect an artifact during time period **516**. As a result, the signal processing system may determine that an event has occurred. For example, the signal processing system may detect that the patient moved his position during time period **516**.

5 In some embodiments, the signal processing system may detect the occurrence of an event using either the calculated energy parameters or the detected artifact. For example, based at least in part on a portion of wavelet transform **600** (e.g., a portion of region **608**), the signal processing system may calculate or use one or more predetermined thresholds. If the calculated energy parameter or detected artifact exceeds a threshold, the signal processing system may determine that an event has occurred.

10 In some embodiments, PPG signal **500** and scalogram **600** may be used together to detect events. For example, the signal processing system may detect the event by analyzing features of both the PPG and its corresponding scalogram. As another example, the signal processing system may detect the event by utilizing portions of PPG signal **500** and wavelet transform **600**. For instance, the signal processing system may
15 determine when an artifact occurs in scalogram **600** (e.g., during time period **516**). Based at least in part on this information, the signal processing system may compare signal characteristics of PPG signal **500** in the time period before the artifact (time period **506**) and with signal characteristic of PPG signal **500** after the artifact (time period **510**). Furthermore, the signal processing system may determine when the
20 baseline change occurs in PPG signal **500**. Based at least in part on this information, the signal processing system may calculate changes in the characteristics (e.g., energy parameters) of wavelet transform **600** in the time period before the baseline change and after the baseline change.

The detection of an event may indicate a physiological change in the user such as,
25 for example, changes in blood pressure (systolic and diastolic changes), changes in body position (e.g., arm movement), sleep arousal, vasodilation, vasoconstriction, a sympathetic nervous response, or a parasympathetic nervous response.

In an embodiment, detection of changes in the PPG signal and/or changes in the scalogram may be used to detect a change in blood pressure. Blood pressure changes
30 may manifest as a pronounced and sustained change in baseline with an associated change in PPG peak to peak amplitude. For example, the administration of a vasoconstriction drug may increase blood pressure while constricting the blood vessels. The constriction of the blood vessels may manifest in a baseline change of the PPG

signal (e.g., decrease in baseline), an AC component change in the pulsatile part of the PPG signal, or any combination thereof. The constriction of the blood vessels will also manifest in the detection of one or more artifacts combined with a change in the energy characteristics in the region of the scalogram associated with pulsatile activity. For
5 example, **FIG. 7A** shows an exemplary PPG signal 700 obtained from a probe that has been placed on a user's index finger. When an ice cube is placed on the back of the user's hand at time 702, the baseline of PPG signal 700 and the amplitude of the AC component decreases due to vasoconstriction. Once the ice cube is removed at time 704, the baseline and the amplitude of the AC component increases to normal levels. As
10 shown in **FIG. 7B**, artifacts and changes in the energy characteristics may be detected in region 706 during time period 708 (while the ice cube is on the user's hand).

In an embodiment, following a change in posture, the blood pressure may slowly return to (or near) its original level as a cardiovascular response to the change in posture. This may manifest in changes in calculated signal characteristics in the PPG signal,
15 changes in baseline in the PPG signal, the detection of one or more artifacts in the scalogram, changes in characteristics in the scalogram, or any combination thereof. A change in body position may be identified through the detection of pronounced movement (e.g. high energy artifact across multiple scales of the scalogram of a the PPG) followed by baseline changes characteristic of a change in blood pressure and/or
20 vasotone – changes associated with a change in posture. These interpretations may also be improved through the use of other monitoring devices (e.g., movement sensors (accelerometers, EMG, etc.) on the patient. For example, as shown in **FIG. 8A**, changes in signal characteristics in PPG signal 800 may be detected during time periods 802, 804, 806, 808, and 810. Changes in signal characteristics and changes in the baseline may be
25 caused by changes in the height of a subject's hand. At time 850, the subject's hand was raised 0.5 meters. At time 852, the subject's hand was lowered 1.0 meter. At time 854, the subject's hand was raised 1.0 meter. At time 856, the subject's hand was dropped 0.5 meter. These changes may cause baseline changes to occur that may detected during time periods 812, 814, 816, and 818. In addition, a cardiovascular response following a
30 change in posture may be detected as PPG signal 800 settles into a new relatively steady state condition. The cardiovascular response may be seen, for example, during time period 820. As shown in **FIG. 8B**, artifacts 822 may be detected on scalogram 824 during each posture change. In some cases, once a change in posture has been detected,

further analysis may be performed to characterize the sympathetic/parasympathetic responsiveness of the user

A sympathetic response to a stimulus or stimuli may manifest as a vasoconstriction with an associated rapid increase in blood pressure which may be observed as baseline change in the PPG. A change in heart rate and/or breathing rate and/or decreasing PPG peak to peak amplitude may also be associated with the sympathetic response and may be observed in the scalogram or by another suitable means. A parasympathetic response may also be observed. This is related to a decrease in blood pressure and associated vasodilatation with a decreased baseline (blood pressure, vasodilatation, etc.) heart rate, and respiratory rate, and/or an increase in the PPG peak to peak amplitude. The measurement of the sympathetic-parasympathetic balance in response to a stimulus may prove clinically useful for the evaluation of the autonomic nervous system of a subject. Vasodilation and vasoconstriction may be typically associated with changes in blood pressure. However, changes in PPG peak to peak amplitudes without an associated change in baseline may suggest a change in vasotone without a change in blood pressure. The observance of such events in the PPG may be particularly useful following the administration of a drug, in particular a vasoactive drug, for example in monitoring its efficacy.

In an embodiment, the change in vasomotor tone and/or blood pressure during sleep can be an indicator of one or more types of events such as for example arousals, changes from one sleep stage to another, or sleep apnea events. Thus, the detection of vasomotor tone and/or blood pressure changes may manifest through changes in calculated signal characteristics in the PPG signal, changes in baseline in the PPG signal, the detection of one or more artifacts in the scalogram, changes in characteristics in the scalogram, or any combination thereof. The detection of this event may be used in a diagnostic and/or therapeutic sleep setting. For example, a polysomnograph, which may include a pulse oximeter sensor or the equivalent and other sensors, may capture a user's PPG signal while the user is participating in a sleep study. Thus, by analyzing the PPG signal and the scalogram derived from the PPG signal, the device may detect an event when the user wakes up or transitions from one sleep stage to another. Arousal during sleep, for example, may manifest as an increase in blood pressure and movement along with an increase in heart rate and respiratory rate. It is also likely that events in a PPG baseline may be correlated with other known indicators of arousal within a

polysomnograph. For example, increased arousal may be correlated with increases in baseline which may indicate an increase in blood pressure which may also correlate with increased EMG and EEG activity.

In an embodiment, deep inspiratory gasps may cause marked baseline shifts. Hence, in an embodiment, the effect of irregular breaths (e.g. gasps, or single deep or shallow breaths) may be detected by changes in the baseline in either the original or transformed signal. This information is useful in the monitoring of user respiration and/or the effect of ventilation devices on the user. The inter-thoracic pressure changes caused by deep inspiratory gasps may cause associated modulations in the blood pressures of the vascular tree. These modulations may, for example, cause the modulation of the PPG baseline thereby allowing individual breaths to be monitored. Hence irregular breathing patterns may be distinguished from patterns observed in the baseline.

The foregoing detections of events may also cause an action to be performed. For example, a flag may be set that indicates that further analysis needs to be performed. As another example, the recalibration of a device may be triggered by the detection. For instance, a continuous, non-invasive blood pressure (CNIBP) monitor may be monitoring the diastolic/systolic blood pressure of a user. In response to the detection of an event (e.g., the user moving the body part attached to a probe of the CNIBP to a different location), recalibration of the CNIBP monitor may be automatically triggered.

[0003] FIG. 9 shows a flowchart of an illustrative process for detecting an event in a signal in accordance with an embodiment of the present disclosure. Process 900 starts at step 902. After the process starts at step 902, the process may perform steps 904 and 906 in parallel. At step 904, the signal processing system may calculate one or more signal characteristics of a signal (e.g., PPG signal 500 of FIG. 5). For example, the signal processing system may calculate the average or median value of an AC component of the signal.

At step 906, the signal processing system may calculate the baseline of the signal. The baseline may be calculated as an average or median of the signal over a selected amount of time or over any other suitable metric.

After calculating the one or more signal characteristics and the baseline of the signal, the process 900 may move to step 908. At step 908, the signal processing system may calculate changes in the one or more signal characteristics and the baseline. For

example, the signal processing system may calculate the percentage or magnitude changes in the one or more signal characteristics and the baseline. After calculating the changes, process **900** may move to step **910**.

5 At step **910**, the signal processing system may analyze the calculated signal characteristics and the baseline, the baseline changes, and the changes in the signal characteristics to detect an event. For example, the system may analyze whether the changes occurred during the same time period or in a particular order. The signal processing system may use the baseline changes, the changes in the signal characteristics, the calculated signal characteristics, the calculated baseline, or any
10 combination thereof to determine that an event has occurred. For example, the system may detect a posture change when the AC component of the signal increases after the baseline of the signal decreases. After detecting the event, process **900** moves to step **912**.

In an embodiment, at step **912**, the signal processing system may perform an
15 action based at least in part on the detected event. For example, the signal processing system may recalibrate a device (e.g., a CNIBP monitor) based on the detected event. As another example, the signal processing system may set a flag at the detected event so that further analysis of the signal can be performed. For instance, if the detected event is sleep arousal, the signal processing system may perform an analysis of the sleep patterns
20 of the user. After the action has been performed, process **900** ends at step **914**.

FIG. 10 shows a flowchart of an illustrative process for detecting an event in a scalogram in accordance with an embodiment of the present disclosure. Process **1000** starts at step **1002**.

At step **1004**, a scalogram may be generated from a signal. The signal may be
25 any suitable signal such as for example a PPG signal (e.g., PPG signal **500** of **FIG. 5**). The scalogram may be generated by performing a wavelet transformation of the PPG signal. The wavelet transform may, for example, be a continuous or discrete wavelet transform. After the scalogram has been generated, the process may perform steps **1006** and **1008** in parallel.

30 At step **1006**, the signal processing system may calculate characteristics of the scalogram such as an energy parameter within a region (e.g., region **608** of **FIG. 6**) of the scalogram. The size and shape of the region may be selected in any suitable way.

For example, the height and location of the region may be fixed or may be dynamically changed to cover a band or region of interest in the scalogram.

At step **1008**, the signal processing system may detect an artifact within the scalogram. For example, the signal processing system may detect a high energy broad-scale cone (e.g., artifact **606** of **FIG. 6**) on the scalogram.

After calculating the characteristics and detecting the artifact within the scalogram, the process may move to step **1010**. At step **1010**, the signal processing system may analyze the calculated characteristics and the detected artifact to detect an event. In an embodiment, the system may use calculated energy parameters, the detected artifact, or any combination thereof to determine that an event has occurred. After detecting the event, process **1000** moves to step **1012**.

At step **1012**, the signal processing system may perform an action based at least in part on the detected event. The actions that may be performed may be similar to actions described in step **912** of process **900** (**FIG. 9**). For example, the signal processing system may recalibrate a device based on the detected event. As another example, the signal processing system may set a flag at the detected event so that further analysis of the signal can be performed. After the action has been performed, process **1000** then ends at step **1014**.

The signal processing system may also detect the event by analyzing an original signal and the transform of that signal. For example, the signal processing system may determine when an artifact in the transform occurs. Based at least in part on this information, the signal processing system may analyze changes in the signal characteristics of the original signal before and after the occurrence of the artifact to determine whether an event occurs. For example, the signal characteristics (e.g., the AC component) of the original signal prior to the occurrence of the artifact may be compared to the signal characteristics (e.g., the AC component) of the original signal after the occurrence of the artifact. In addition, the signal processing system may determine when the baseline change occurs in the original signal. Based at least in part on this information, the signal processing system may analyze changes in a characteristic of the wavelet transform (e.g., energy within a particular region) before and after the baseline change to determine whether an event occurs.

It will be understood that the foregoing is only illustrative of the principles of the disclosure, and that the disclosure can be practiced by other than the described embodiments, which are presented for purposes of illustration and not of limitation.

What is Claimed is:

1. A method of detecting the occurrence of events from a signal, comprising:
 - calculating at least one signal characteristic of the signal;
 - detecting a baseline change in the signal;
 - calculating the at least one signal characteristic subsequent to the baseline change; and
 - analyzing the baseline change and the at least one signal characteristic to detect an occurrence of an event.
2. The method of claim 1, wherein the signal is a photoplethysmogram.
3. The method of claim 1, wherein the at least one signal characteristic is based at least in part on an AC component of the signal.
4. The method of claim 3, wherein the at least one signal characteristic is selected from the group consisting of: an average value of the AC component over a period of time, a median value of the AC component over a period of time, and a median value of an AC component of the signal over a period of time.
5. The method of claim 1, further comprising calculating a change in the at least one signal characteristic before and after the detection of the baseline change.
6. The method of claim 1, wherein the event comprises at least one of changes in blood pressure, changes in body position, vasodilation, vasoconstriction, sympathetic nervous response, parasympathetic nervous response, and sleep arousal.
7. The method of claim 1, further comprising performing an action based at least in part on the detected event.
8. The method of claim 7, wherein performing the action comprises recalibrating a device based at least in part on the detected event or setting a flag of the detected event.

9. A method of detecting the occurrence of events from a signal, comprising:
 - receiving the signal;
 - transforming the signal to generate a transformed signal;
 - generating a scalogram based at least in part on the transformed signal; and
 - analyzing the scalogram to detect an occurrence of an event.
10. The method of claim 9, further comprising:
 - calculating a first energy parameter within a first region of the scalogram;
 - detecting an artifact within the scalogram;
 - calculating a second energy parameter within a second region of the scalogram subsequent to the artifact; and
 - analyzing the first and second energy parameters and the detected artifact to detect the occurrence of the event.
11. The method of claim 10, wherein the first energy parameter is the average energy within the first region and the second energy parameter is the average energy within the second region.
12. The method of claim 10, wherein the signal is a photoplethysmograph and wherein the first and second regions comprise at least a portion of a pulse band.
13. The method of claim 12, wherein the first and second regions comprise a ridge of the pulse band.
14. The method of claim 10, wherein the artifact is a high energy broad-scale cone.
15. The method of claim 9, wherein the event comprises at least one of changes in blood pressure, changes in body position, vasodilation, vasoconstriction, sympathetic nervous response, parasympathetic nervous response, and sleep arousal.

16. The method of claim 9, further comprising performing an action based at least in part on the detected event.
17. The method of claim 16, wherein performing the action comprises recalibrating a device based at least in part on the detected event or setting a flag of the detected event.
18. The method of claim 9, further comprising:
calculating at least one signal characteristic of the signal;
detecting an artifact within the scalogram; and
analyzing the at least one signal characteristic and the artifact to detect the occurrence of the event.
19. The method of claim 9, further comprising:
detecting a baseline change in the signal;
calculating a first energy parameter within a first region of the scalogram;
calculating a second energy parameter within a subsequent second region of the scalogram subsequent; and
analyzing the baseline change and the first and second energy parameters to detect the occurrence of the event.
20. A system for detecting the occurrence of events from a signal, comprising:
a signal generator capable of generating the signal; and
a processor capable of:
calculating at least one signal characteristic of the first signal;
detecting a baseline change in the first signal; and
calculating the at least one signal characteristic subsequent to the baseline change; and
analyzing the baseline change and the at least one signal characteristic to detect an occurrence of an event.

21. The system of claim 20, wherein the at least one signal characteristic is based at least in part on an AC component of the signal.
22. The system of claim 21, wherein the at least one signal characteristic is selected from the group consisting of: an average value of the AC component over a period of time, a median value of the AC component over a period of time, and a median value of an AC component of the signal over a period of time.
23. The system of claim 20, wherein the processor is further capable of calculating a change in the at least one signal characteristic before and after the detection of the baseline change.
24. The system of claim 20, wherein the event comprises at least one of changes in blood pressure, changes in body position, vasodilation, vasoconstriction, sympathetic nervous response, parasympathetic nervous response, and sleep arousal.
25. The system of claim 20, wherein the processor is further capable of initiating an action based at least in part on the detected event.
26. The system of claim 25, wherein the action comprises recalibrating a device based at least in part on the detected event or setting a flag of the detected event.
27. A system for detecting the occurrence of events from a signal, comprising:
a signal generator capable of generating the signal; and
a processor capable of:
transforming the signal to generate a transformed signal;
generating a scalogram based at least in part on the transformed signal; and
analyzing the scalogram to detect an occurrence of an event.
28. The system of claim 27, wherein the processor is further capable of:

calculating a first energy parameter within a first region of the scalogram;

detecting an artifact within the scalogram;

calculating a second energy parameter within a second region of the scalogram subsequent to the artifact; and

analyzing the first and second energy parameters and the detected artifact to detect the occurrence of the event.

29. The system of claim 28, wherein the first energy parameter is the average energy within the first region and the second energy parameter is the average energy within the second region.

30. The system of claim 28, wherein the signal is a photoplethysmograph and wherein the first and second regions comprise at least a portion of a pulse band.

31. The system of claim 30, wherein the first and second regions comprise a ridge of the pulse band.

32. The system of claim 28, wherein the artifact is a high energy broad-scale cone.

33. The system of claim 27, wherein the event comprises at least one of changes in blood pressure, changes in body position, vasodilation, vasoconstriction, sympathetic nervous response, parasympathetic nervous response, and sleep arousal.

34. The system of claim 27, wherein the processor is further configured to initiate an action based at least in part on the detected event.

35. The system of claim 34, wherein the action comprises recalibrating a device based at least in part on the detected event or setting a flag of the detected event.

36. The system of claim 27, wherein the processor is further capable of:

calculating at least one signal characteristic of the signal;

detecting an artifact within the scalogram; and
analyzing the at least one signal characteristic and the artifact to
detect the occurrence of the event.

37. The system of claim 27, wherein the processor is further capable
of:

detecting a baseline change in the signal;
calculating a first energy parameter within a first region of the
scalogram;
calculating a second energy parameter within a subsequent second
region of the scalogram subsequent; and
analyzing the baseline change and the first and second energy
parameters to detect the occurrence of the event.

38. Computer-readable medium for use in detecting the occurrence of
events from a signal, the computer-readable medium having computer program
instructions recorded thereon for:

calculating at least one signal characteristic of the signal;
detecting a baseline change in the signal;
calculating the at least one signal characteristic subsequent to the
baseline change; and
analyzing the baseline change and the at least one signal
characteristic to detect an occurrence of an event.

39. Computer-readable medium for use in detecting the occurrence of
events from a signal, the computer-readable medium having computer program
instructions recorded thereon for:

receiving the signal;
transforming the signal to generate a transformed signal;
generating a scalogram based at least in part on the transformed
signal; and
analyzing the scalogram to detect an occurrence of an event.

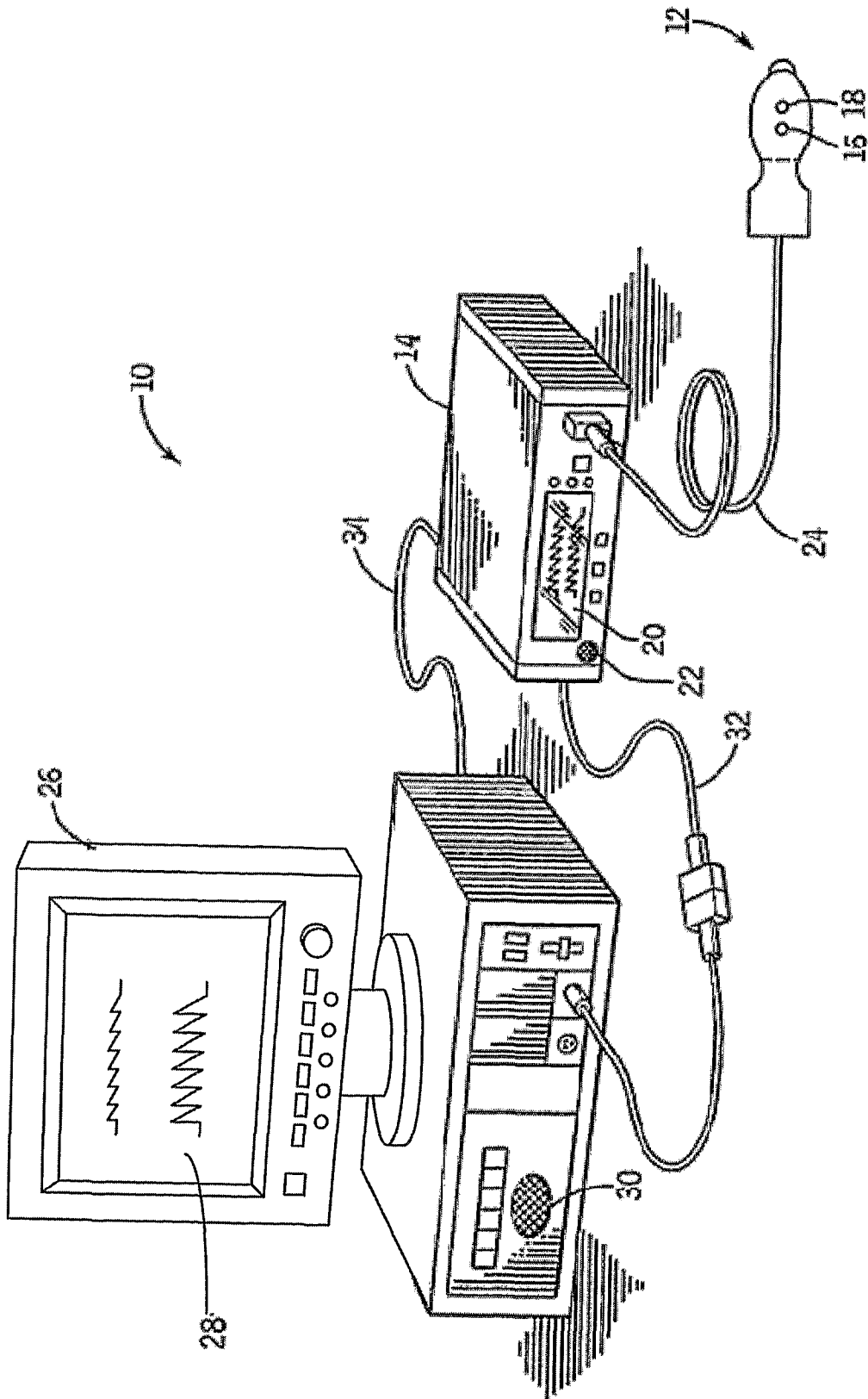


FIG.1

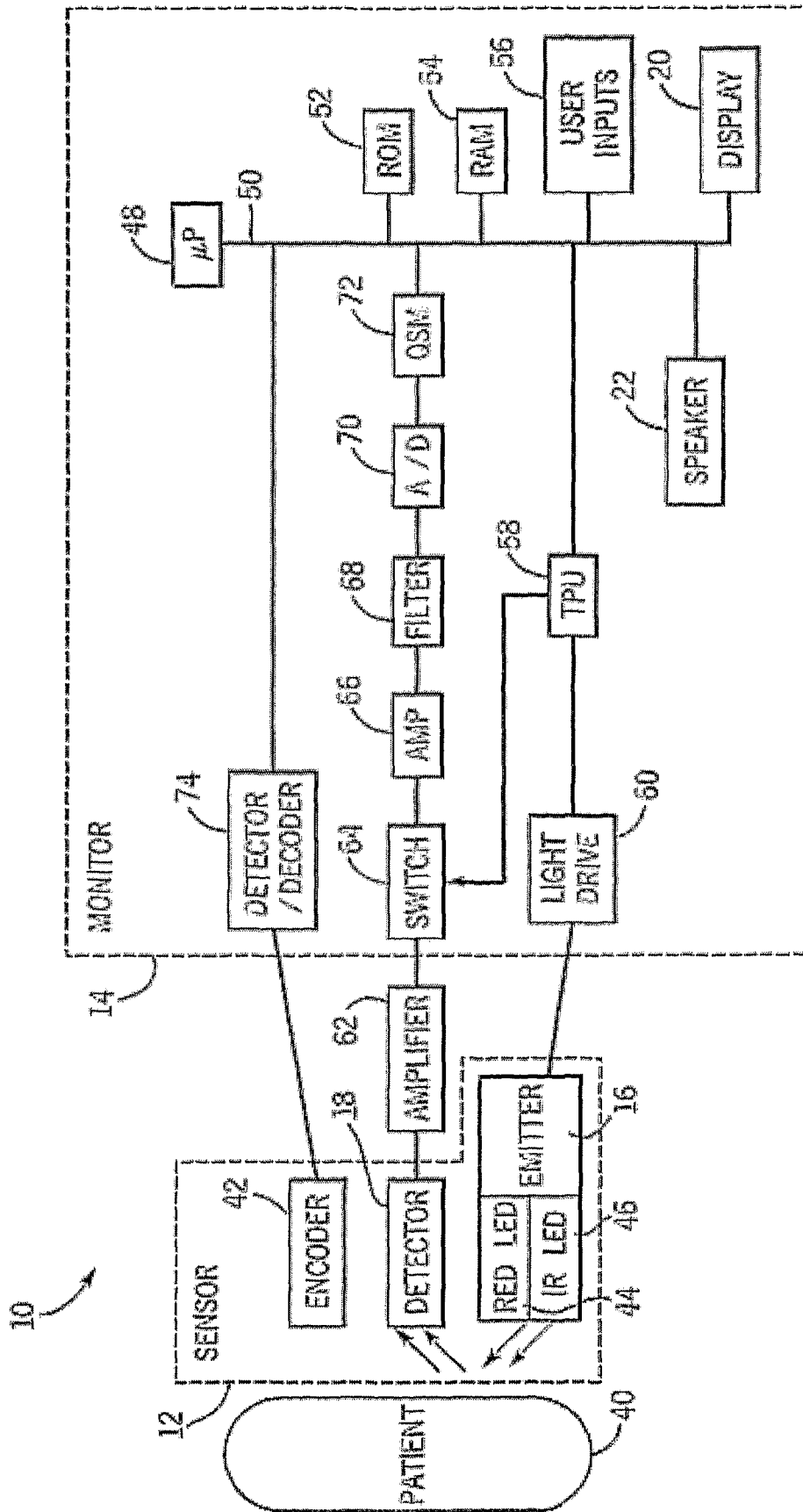


FIG. 2

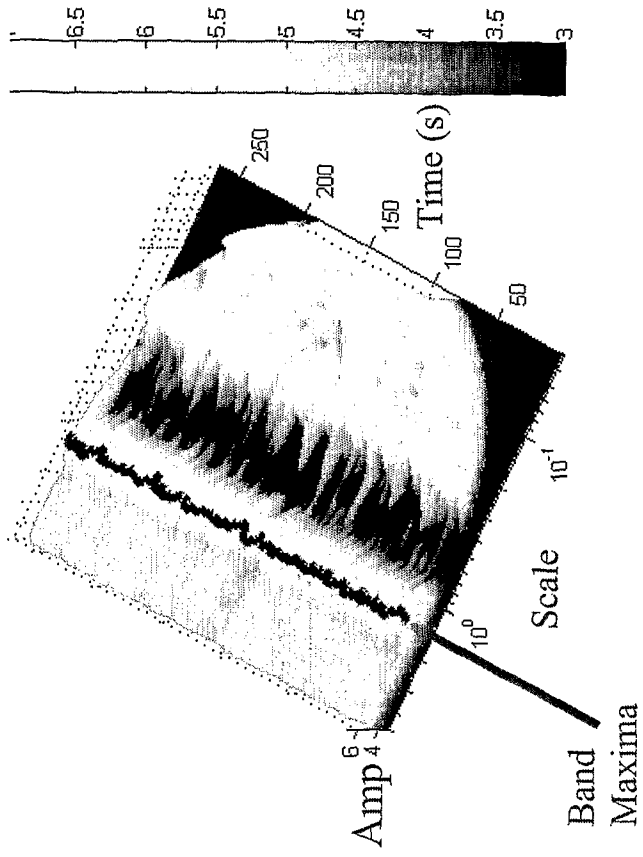


FIG. 3(b)

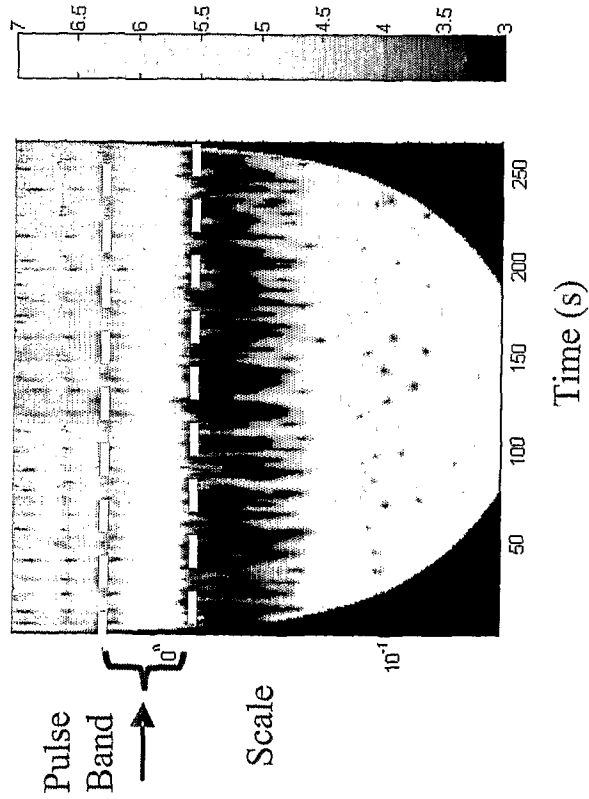


FIG. 3(a)

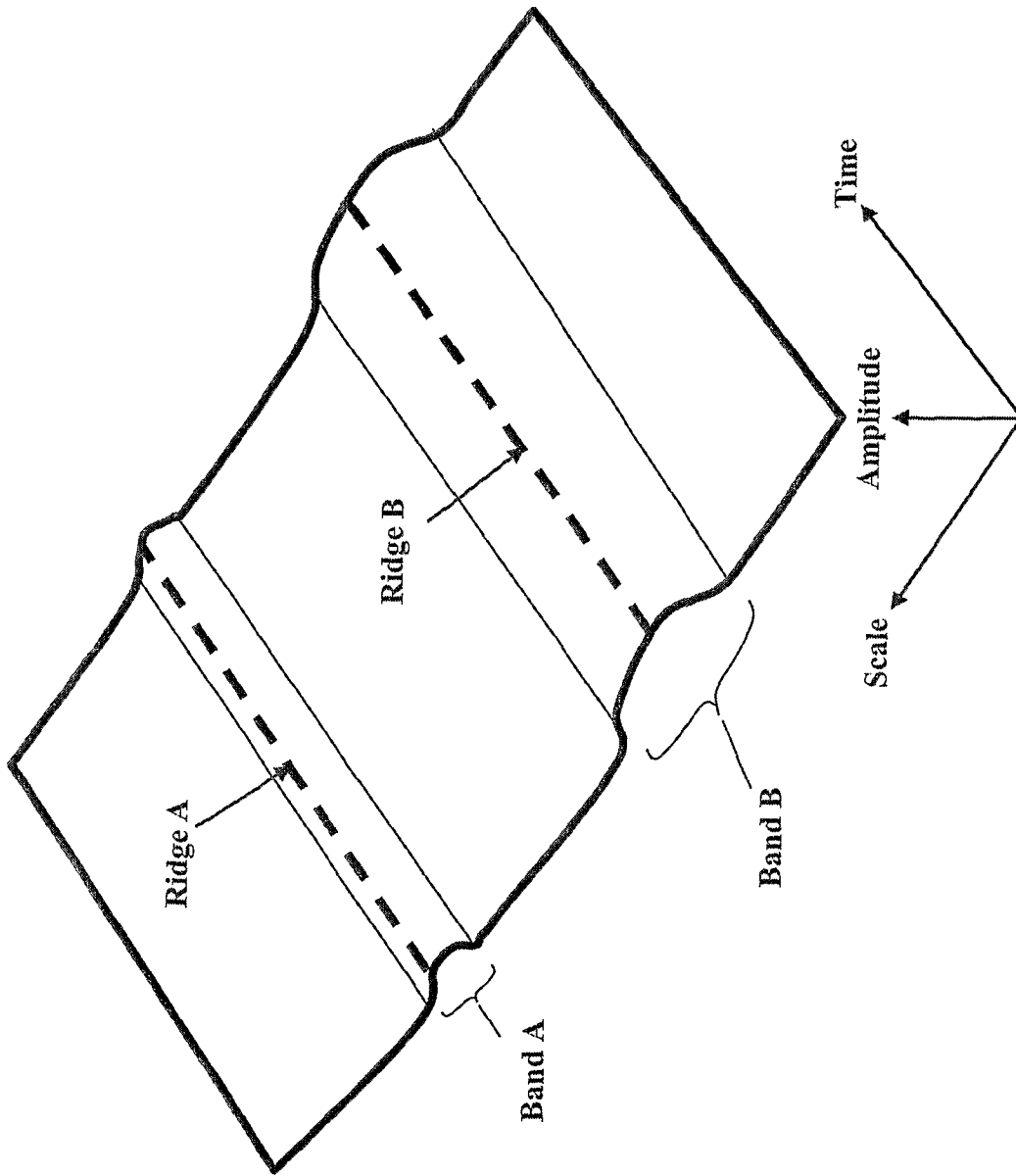


FIG. 3(c)

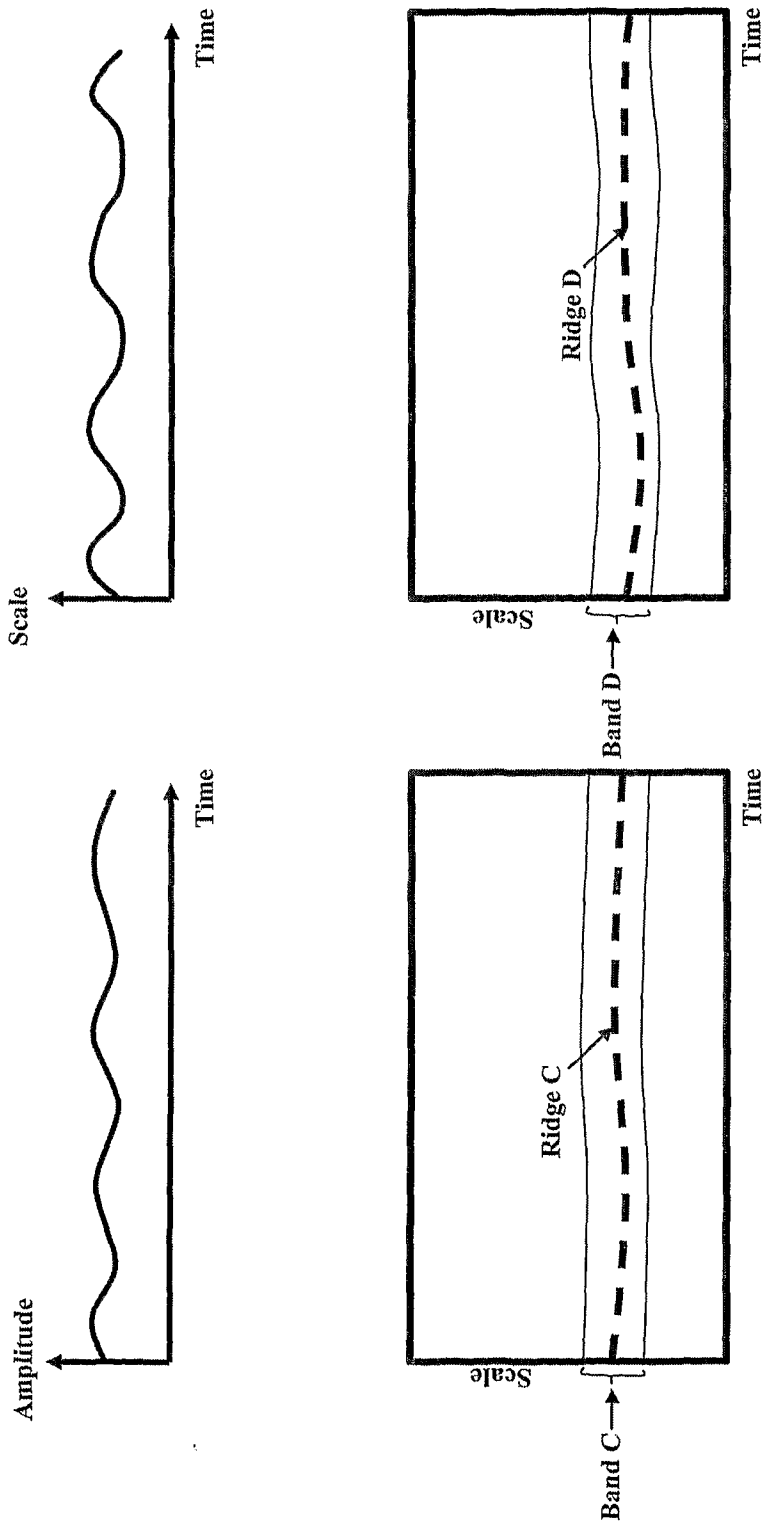


FIG. 3(d)

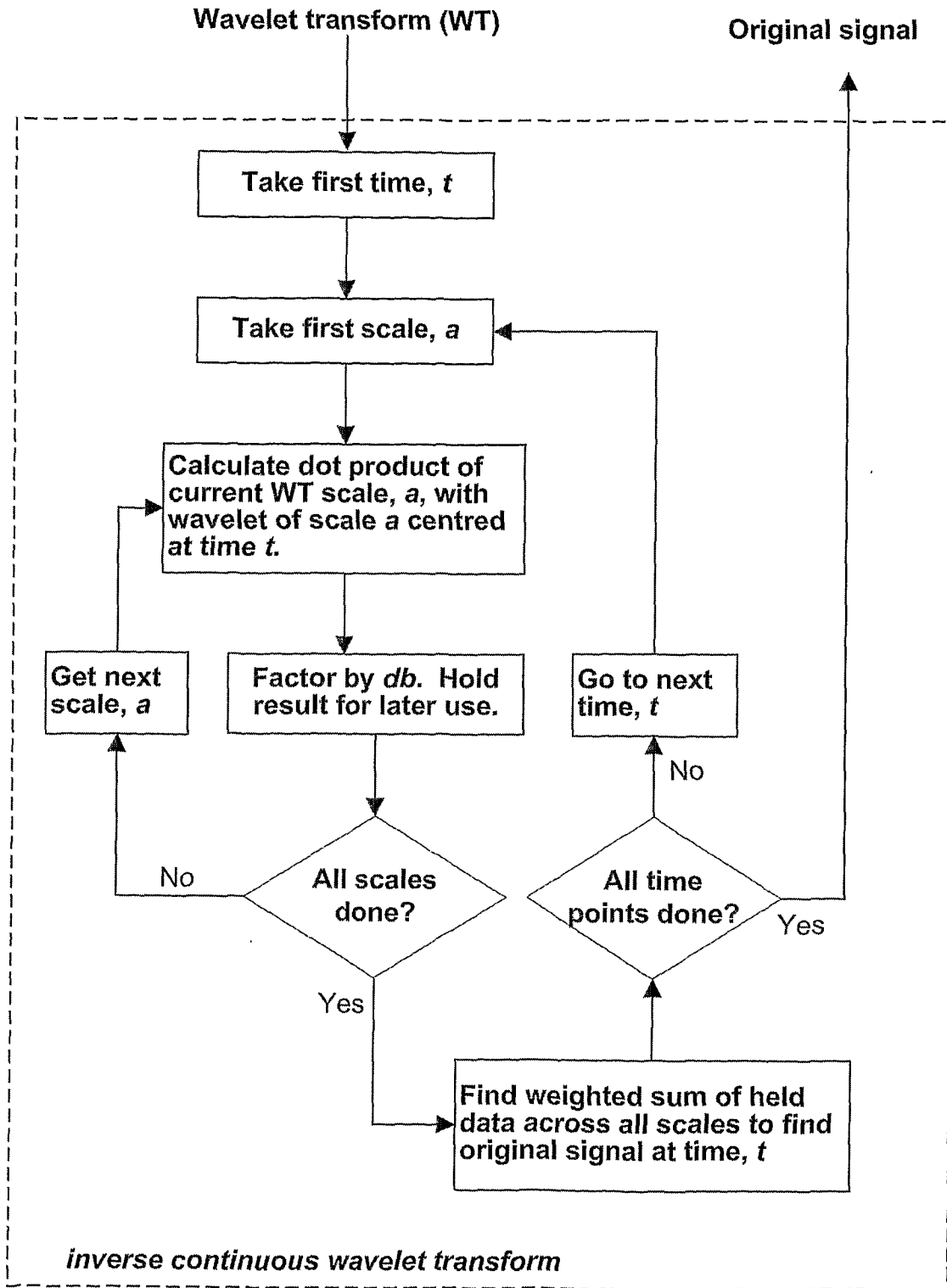


FIG. 3(e)

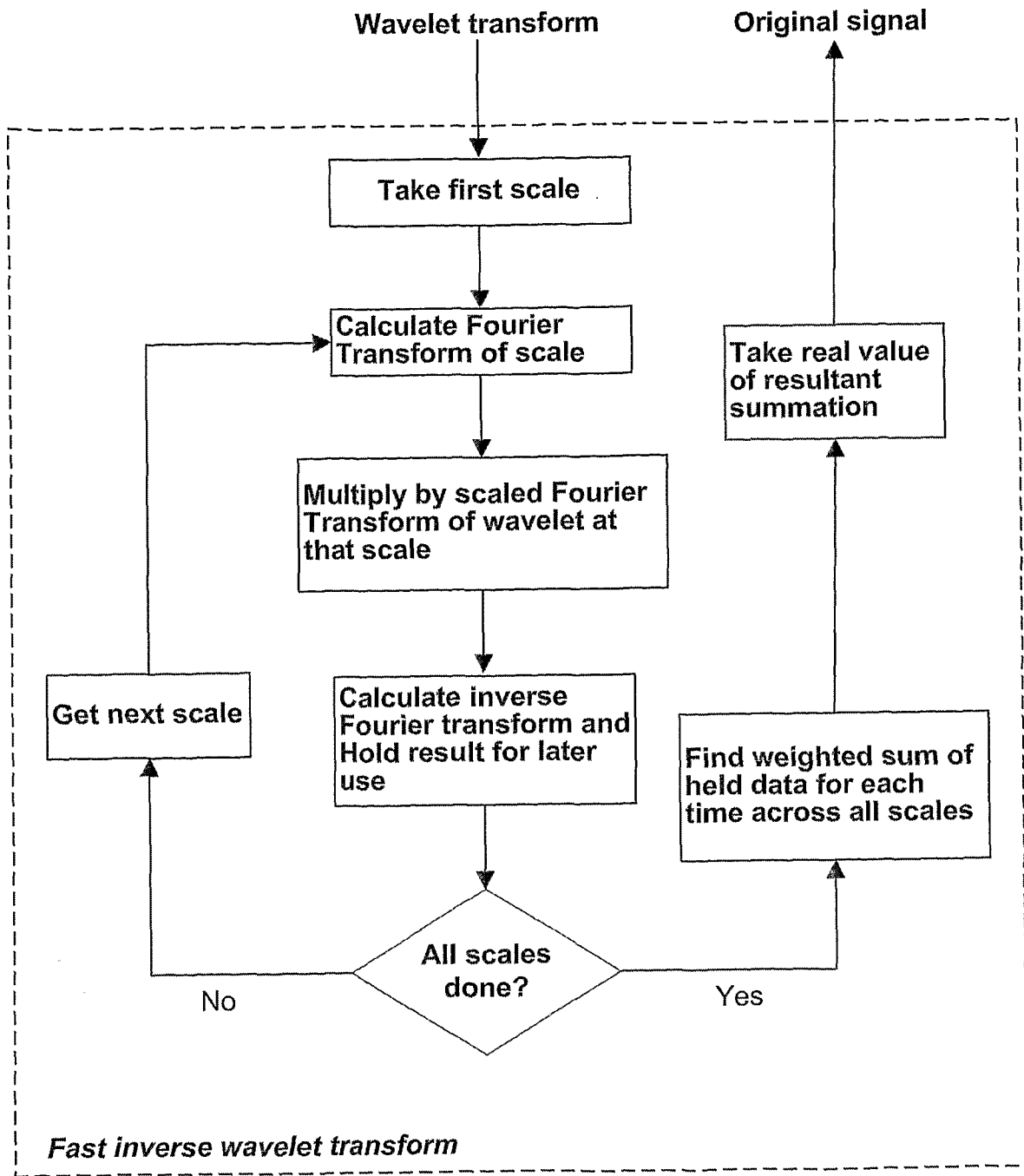


FIG. 3(f)

400

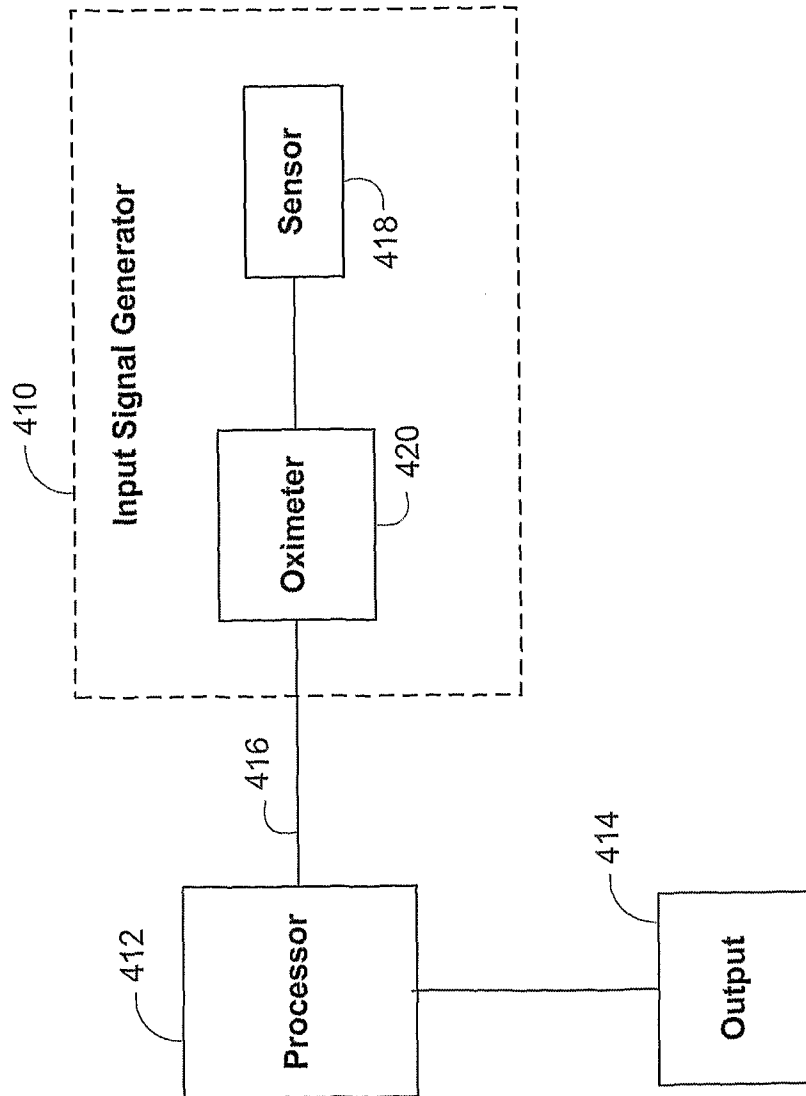


FIG. 4

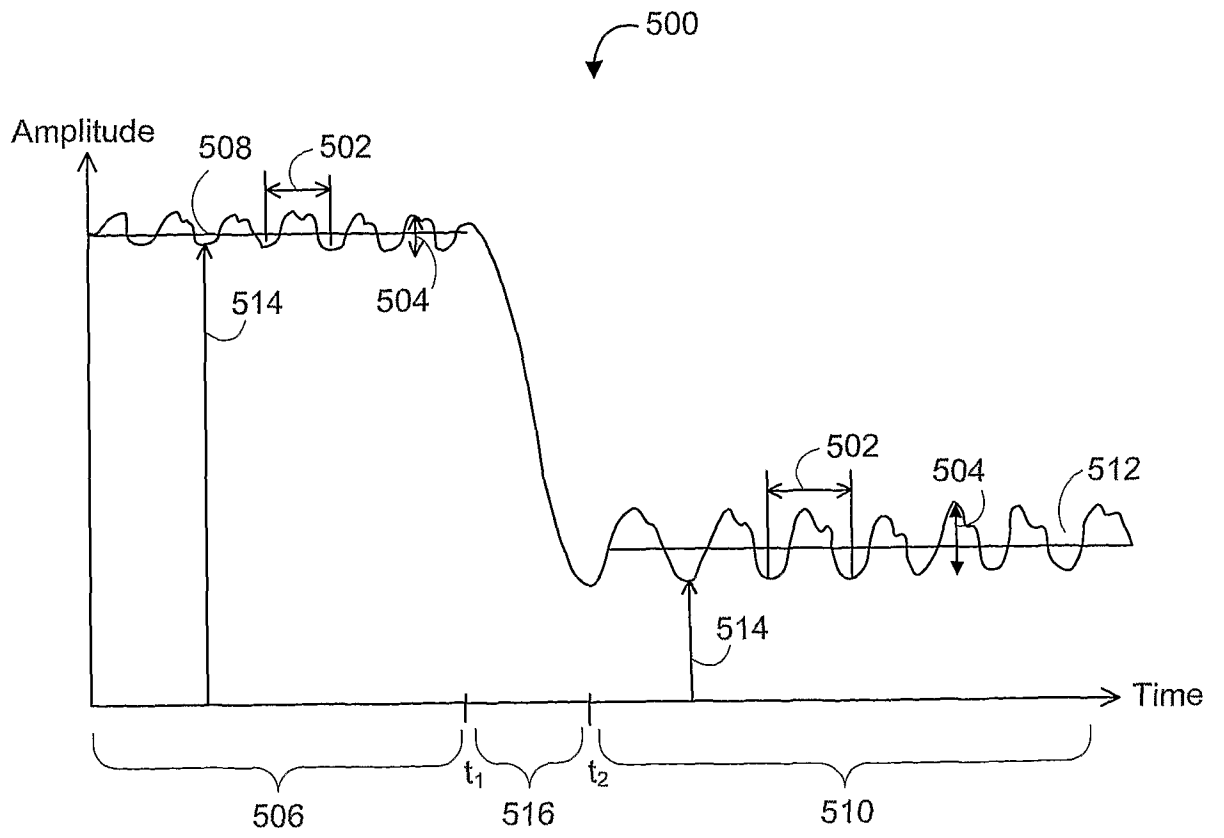


FIG. 5

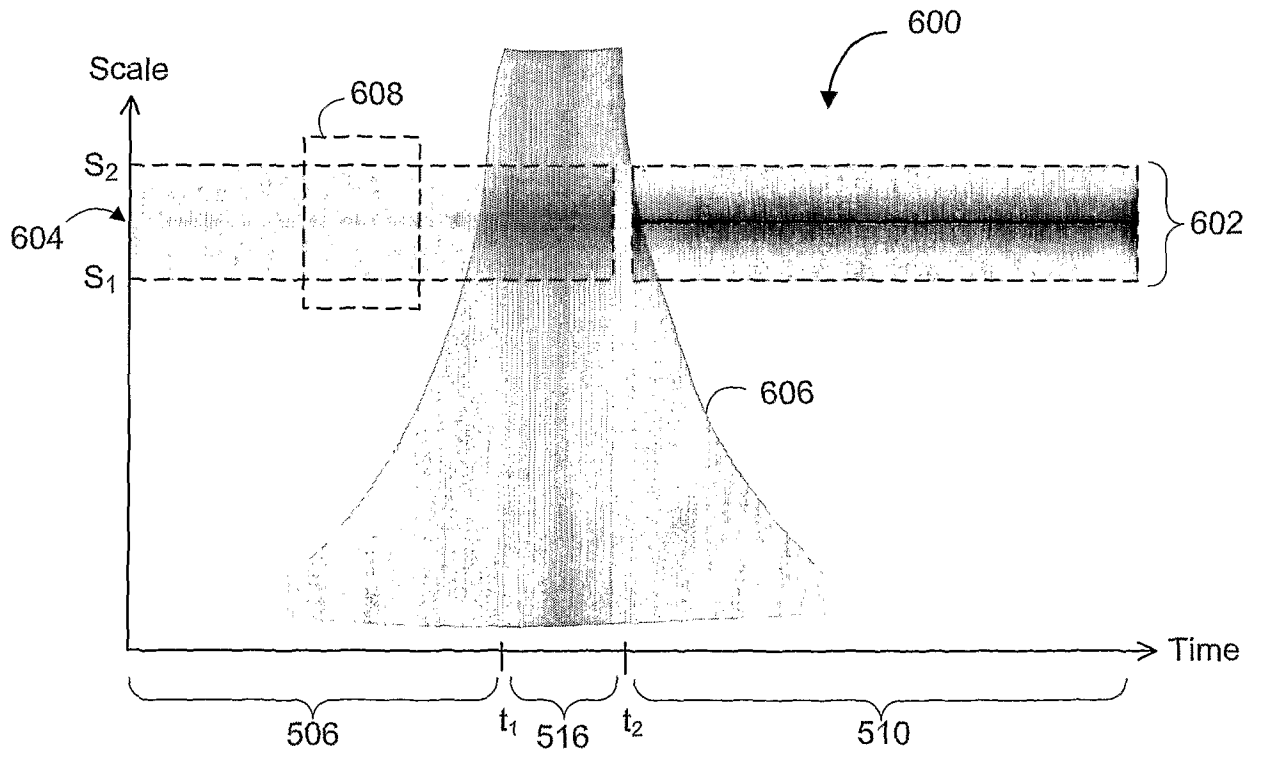


FIG. 6

FIG. 7A

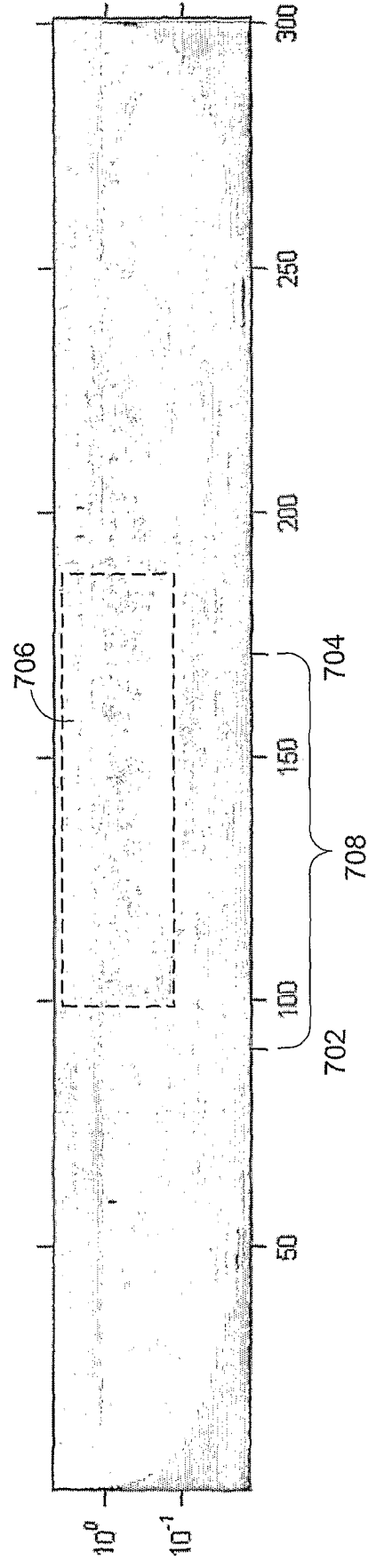
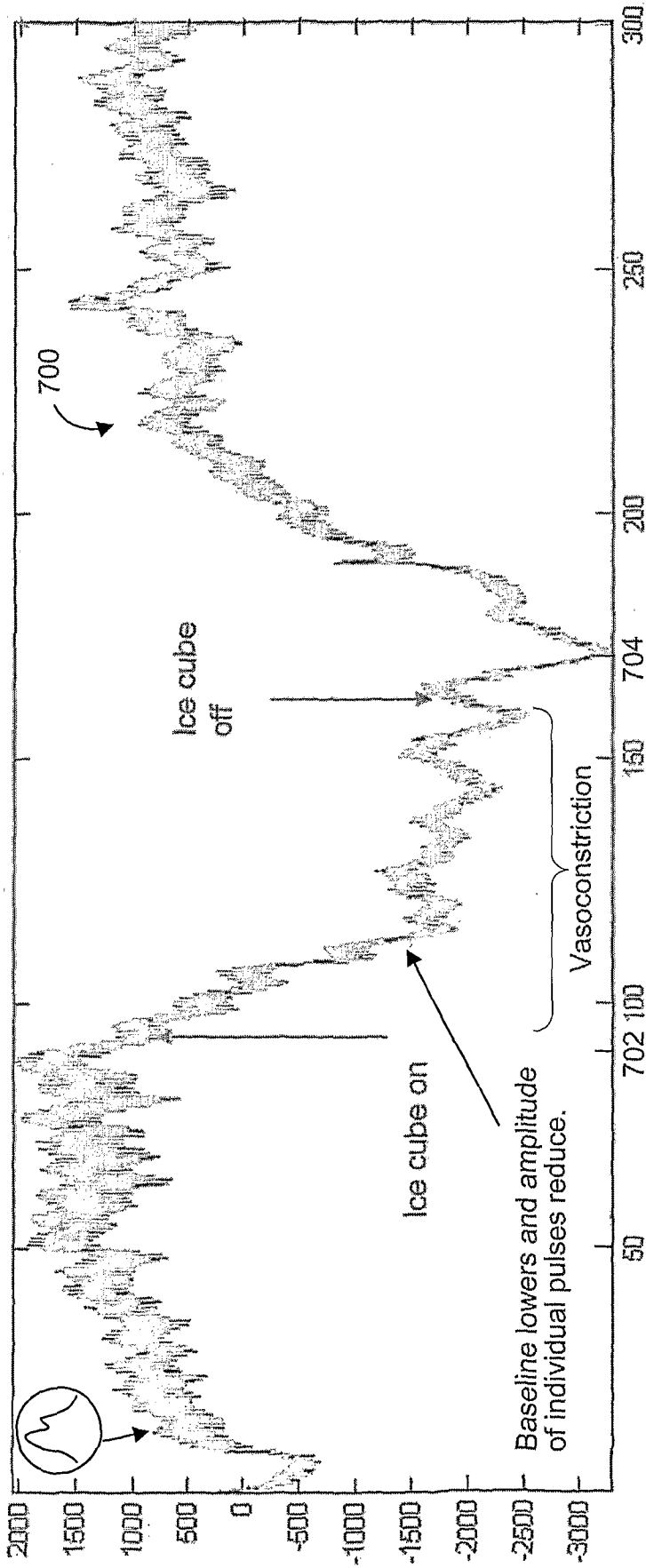


FIG. 7B

FIG. 8A

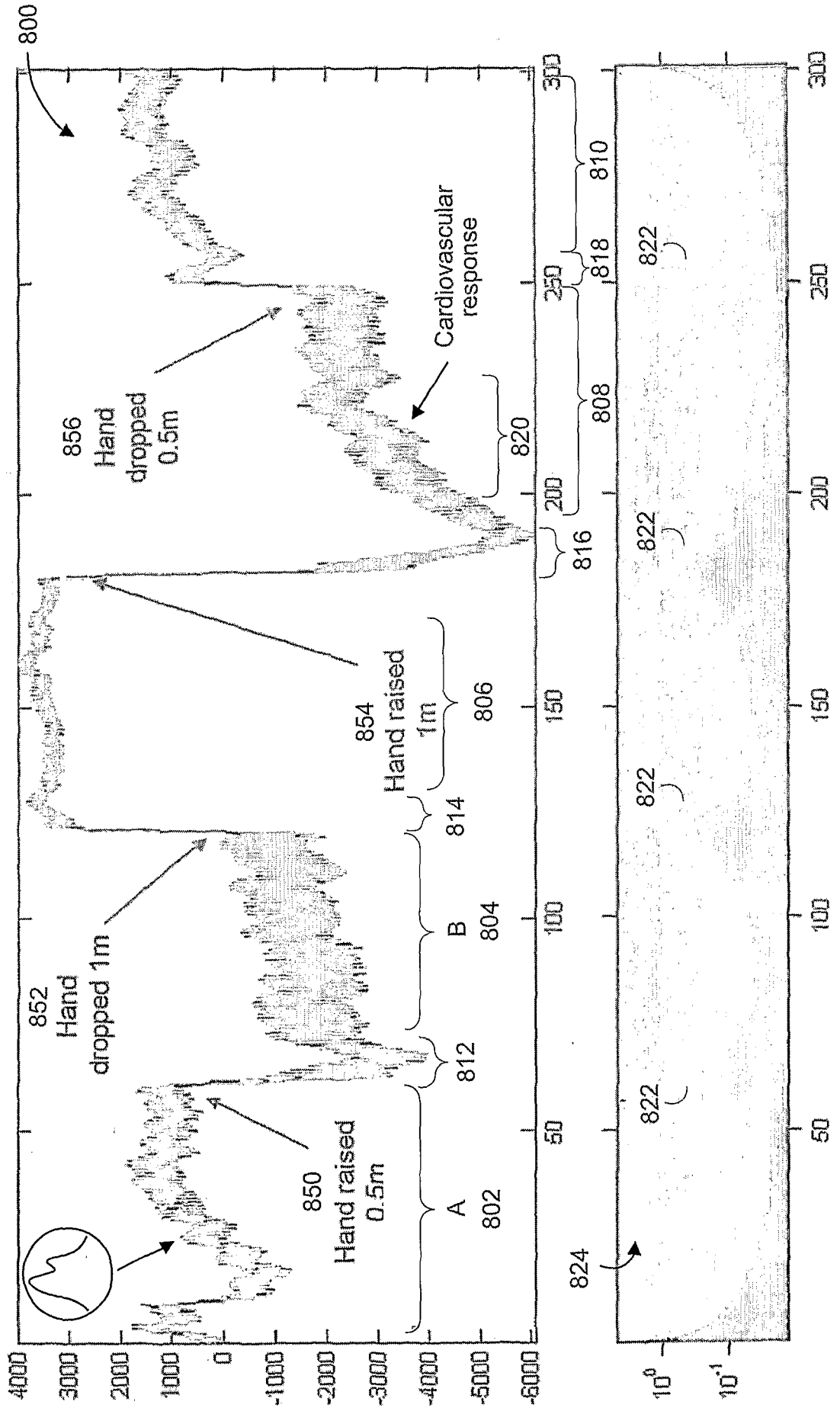
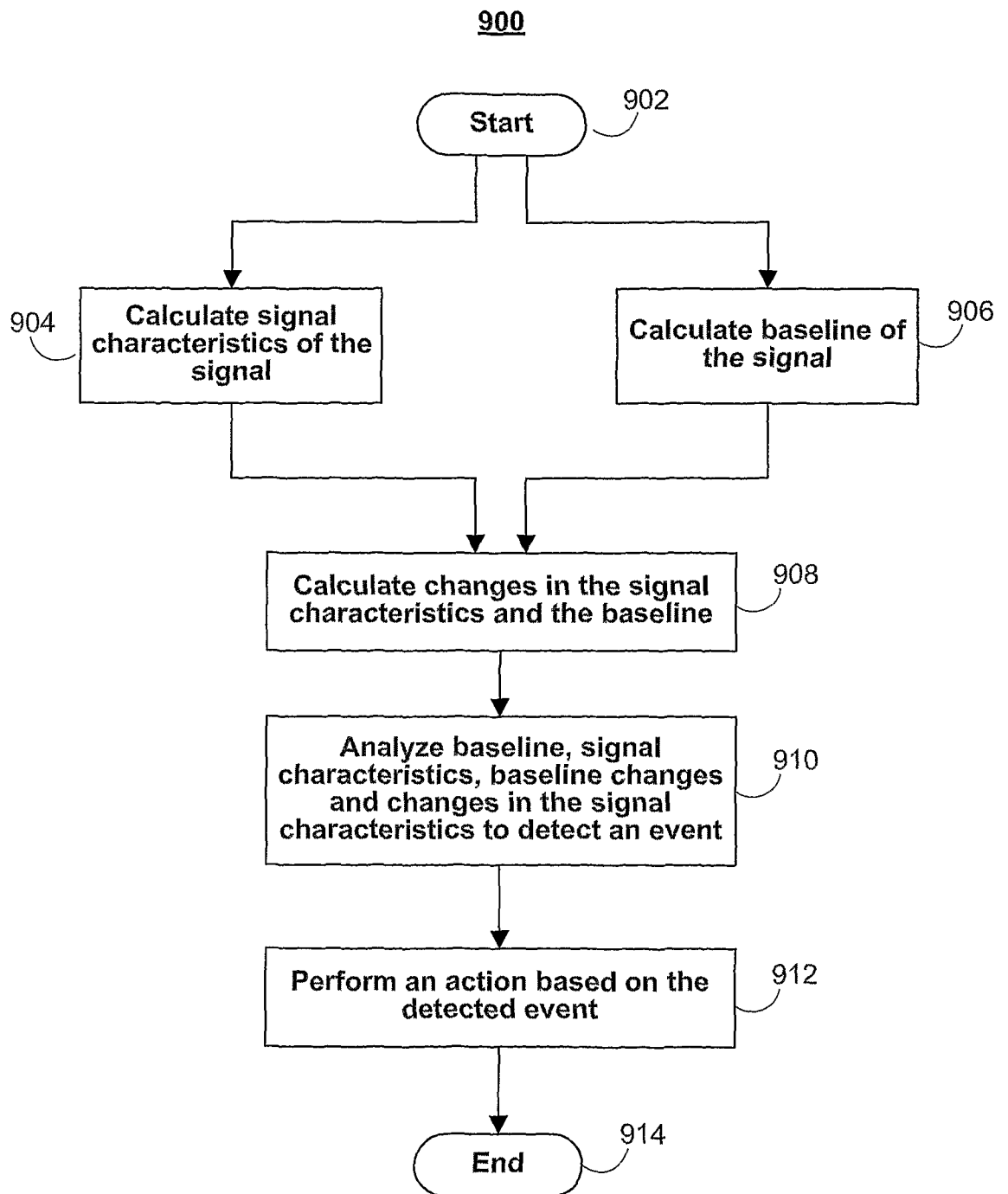


FIG. 8B

**FIG. 9**

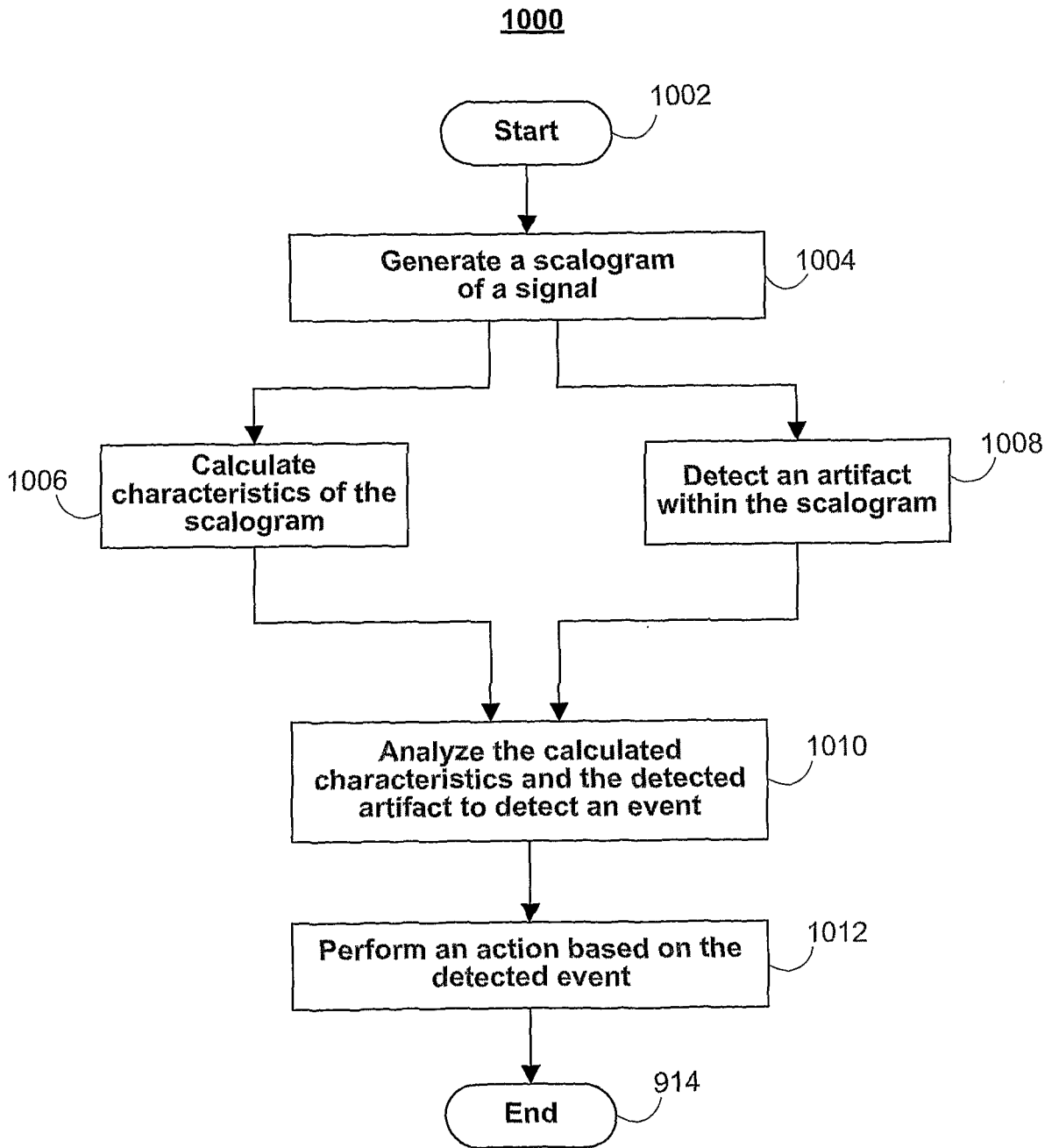


FIG. 10