### Australian Patent Declaration Form

### Forms 7 and 8

### COMMONWEALTH OF AUSTRALIA

#### Patents Act 1952

DECLARATION IN SUPPORT OF A CONVENTION OR NON-CONVENTION APPLICATION FOR A PATENT OR A PATENT OF ADDITION

In support of the application No. 63707/86 made by LACKE & FARBEN AKTIENGESELLSCHAFT for a patent for an invention entitled:-HARDENABLE COMPOSITION

Dr. Wilfried Hoselmann BASF Lacke + Farben AG I/We, Dr. Arnold Dobbelstein of with its address Max-Winkelmann-Straße 80, 4400 Münster, Federal Republic of Germany

do solemnly and sincerely declare as follows:-

- I am/we are the applicant(s) for the patent, or am/are authorised by the abovementioned applicant(s) to make this declaration on its behalf,
- 2. The basic application(s) as defined by Section 141 of the Act was/were made in the following country or countries on the following date(s) by the following applicant(s) namely:-

in Federal Republic of Germany on 30th September 1985 by BASF Lacke + Farben Aktiengesellschaft

- 3. The said basic application(s) was/were the first application(s) made in a Convention country in respect of the invention the subject of the application.
- The actual inventor(s) of the said invention is/are Werner Jung, Uhrwerkerstrasse 65, D-4715 Ascheberg, Germany
- 5. The facts upon which the applicant(s) is/are entitled to make this application are as follows:-

The said applicant is the assignee of the actual inventor.

DECLARED at Münster

4th this

day of

Dr. Dobbelstein

Dr. Hoselmann

Prokurist

Porkurist

# (12) PATENT ABRIDGMENT (11) Document No. AU-B-63707/86 (19) AUSTRALIAN PATENT OFFICE (10) Acceptance No. 594381

(54) Title HARDENABLE COMPOSITION

International Patent Classification(s)

(51)<sup>4</sup> C08L 033/14 C08G 059/42 C08G 059/62 C08G 081/02 C08K 005/09 C08L 033/06 C08L 033/10 C08L 063/00 C09D 003/38 C09D 003/81

(21) Application No.: 63707/86 (22) Application Date: 10.09.86

(87) WIPO Number: WO87/02044

(30) Priority Data

(31) Number (32) Date (33) Country 3534910 30,09.85 DE FEDERAL REPUBLIC OF GERMANY

(43) Publication Date: 24.04.87

(44) Publication Date of Accepted Application: 08.03.90

(71) Applicant(s)
BASF LACKE & FARBEN AKTIENGESELLSCHAFT

(72) Inventor(s) WERNER JUNG

(74) Attorney or Agent GRIFFITH HACK & CO.\_MELBOURNE

(56) Prior Art Documents AU 567742 28851/84 C09D 3/81 C08F 283/10 C08G 81/02 US 4294939 GB 2148900

(57) Claim

- 1. A curable composition containing a soluble hydroxyl-containing acrylate copolymer, a compound possessing at least two cyclic carboxylic anhydride groups per molecule and a compound possessing at least two epoxide groups per molecule, wherein the hydroxyl-containing acrylate copolymer is obtained from
- al) from 10 to 60% by weight, of hydroxyl-containing esters of acrylic acid and/or methacrylic acid, where the alkyl radical is of two to 14 carbon atoms,
- a2) more than 3 to 30% by weight, of monomers having at least two polymerizable, olefinically unsaturated double bonds and
- a3) from 15 to 82% by weight, of further polymerizable monomers having an olefinically unsaturated double bond, the sum of components al, a2 and a3 being 100% by weight.

### (11) AU-B-63707/86 (10) 594381

- 29. A process for the preparation of a coating composition based on the curable composition according to any one of claims 1 to 28, wherein, in order to prepare the hydroxyl-containing acrylate copolymer
- al) from 10 to 60% by weight, of hydroxyl-containing esters of acrylic acid and/or methacrylic acid, where the alkyl radical is of 2 to 14 carbon atoms,
- a2) more than 3 to 30% by weight, of monomers possessing at least two polymerizable, olefinically unsaturated double bonds and
- a3) from 15 to 82% by weight, of further polymerizable monomers having an olefinically unsaturated double bond, the sum of components
- al, a2 and a3 being 100% by weight, are copolymerized in an organic solvent at from 80 to 130°C, using at least 0.5% by weight, based on the total weight of the monomers, of a polymerization regulator and using polymerization initiators, and the resulting acrylate solution, together with organic solvents, if appropriate pigments, fillers, conventional assistants, additives, the compound having at least two cyclic carboxylic anhydride groups per molecule, the compound having at least two epoxide groups per molecule and, if appropriate, a crosslinking catalyst, is processed to a coating composition by mixing and, if required, dispersing.



# 594381

#### AUSTRALIA

### PATENTS ACT 1952

Form 10

### COMPLETE SPECIFICATION

(ORIGINAL)

FOR OFFICE USE

Short Title:

Int. Cl:

Application Number: Lodged:

Complete Specification-Lodged:

Accepted: Lapsed:

Published:

This document contains the amendments made under Section 49 and is correct for

.. Priority:

\* Related Art:

TO BE COMPLETED BY APPLICANT

Name of Applicant:

BASF LACKE & FARBEN AKTIENGESELLSCHAFT

Address of Applicant: MAX-WINKELMANN-STRASSE 80

4400 MUNSTER

**GERMANY** 

• Actual Inventor:

Address for Service:

GRIFFITH HACK & CO., 601 St. Kilda Road,

Melbourne, Victoria 3004,

Australia.

: . . . Complete Specification for the invention entitled: HARDENABLE COMPOSITION

The following statement is a full description of this invention including the best method of performing it known to me:-



# AU-AI 63707/86

### **PCT**

WELTORGANISATION FÜR GEISTIGES EIGENTUM Internationales Büro



## INTERNATIONALE ANMELDUNG VERÖFFENTLICHT NACH DEM VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES PATENTWESENS (PCT)

(51) Internationale Patentklassifikation 4: C08G 59/62, 59/42, C08L 63/00 C09D 3/38, 3/81, C08L 33/06

A1

(11) Internationale Veröffentlichungsnummer: WO 87/02044

(43) Internationales
Veröffentlichungsdatum:

9. April 1987 (09.04.87)

(21) Internationales Aktenzeichen:

PCT/EP86/00522

(22) Internationales Anmeldedatum:

10. September 1986 (10.09.86)

(31) Prioritätsaktenzeichen:

P 35 34 910.7

(32) Prioritätsdatum:

30. September 1985 (30.09.85)

(33) Prioritätsland:

DE

(71) Anmelder (für alle Bestimmungsstaaten ausser US):
BASF LACKE + FARBEN AKTIENGESELLSCHAFT [DE/DE]; Max-Winkelmann-Strasse 80, D4400 Münster (DE).

(72) Erfinder; und

- (75) Erfinder/Anmelder (nur für US): JUNG, Werner [DE/DE]; Uhrwerkerstrasse 65, D-4715 Ascheberg (DE).
- (74) Gemeinsamer Vertreter: BASF LACKE + FARBEN AG; Patentabteilung, Postfach 61 23, D-4400 Münster (DE).

(81) Bestimmungsstaaten: AT (europäisches Patent), AU, BE (europäisches Patent), BR, CH (europäisches Patent), DE (europäisches Patent), DK, FR (europäisches Patent), GB (europäisches Patent), IT (europäisches Patent), JP, LU (europäisches Patent), NL (europäisches Patent), NO, SE (europäisches Patent), US.

Veröffentlicht

Mit internationalem Recherchenbericht. Vor Ablauf der für Änderungen der Ansprüche zugelassenen Frist. Veröffentlichung wird wiederholt falls Änderungen eintreffen.

A.D.J.P. 28 MAY 1987

AUSTRALIAN
2 4 APR 1987

PATENT OFFICE

This document contains the amendments made under Section 49 and is correct for printing.

(54) Title: HARDENABLE COMPOSITION

(54) Bezeichnung: HÄRTBARE ZUSAMMENSETZUNG

(57) Abstract

The above-described composition comprises a compound with at least 2 cyclic carboxylic acid anhydride groups per molecule, a compound with at least 2 epoxide groups per molecule and a hydroxyle group containing acrylate copolymerizate. The composition is obtained from a1) 10 to 60% by weight, preferably 15 to 60% by weight of acrylate and/or methacrylate resine with 2 to 14 carbon atoms in the alkyl residue, a2) more than 3 to 30% by weight, preferably 5 to 25% by weight of monomers with at least 2 polymerizable olefinic-unsaturated double bonds and a3) 15 to 82% by weight, preferably 40 to 70% by weight of other polymerisable monomers with an olefinic-unsaturated double bond, whereby the sum of the components a1, a2 and a3 amounts to a 100% by weight.

#### (57) Zusammenfassung

Eine härtbare Zusammensetzung, enthaltend eine Verbindung mit mindestens 2 cylischen Carbonsäureanhydridgruppen pro Molekül, eine Verbindung mit mindestens 2 Epoxidgruppen pro Molekül und ein hydroxylgruppenhaltiges
Acrylatcopolymerisat, erhältlich aus al) 10 bis 60 Gewichts-%, vorzugsweise 15 bis 60 Gewichts-%, hydroxylgruppenhaltigen Estern der Acrylsäure und/oder Methacrylsäure mit zwei bis 14 Kohlenstoffatomen im Alkylrest, a2) mehr als 3 bis 30
Gewichts-%, vorzugsweise 5 bis 25 Gewichts-%, Monomeren mit mindestens zwei polymerisierbaren, olefinisch ungesättigten Doppelbindungen und a3) 15 bis 82 Gewichts-%, vorzugsweise 40 bis 70 Gewichts-%, weiteren polymerisierbaren Monomeren mit einer olefinisch ungesättigten Doppelbindung, wobei die Summe der Komponenten al, a2 und a3 100 Gewichts-% beträgt.

BASF Farben + Fasern Aktiengesellschaft, Hamburg

Curable Composition

5

10

15

The invention relates to a curable composition containing a soluble hydroxyl-containing acrylate copolymer, a compound possessing at least two cyclic carboxylic anhydride groups per molecule and a compound possessing at least two epoxide groups per molecule.

Coating compositions which contain, as the essential binder, a hydroxyl-containing acrylate copolymer are well known. Aminoplast resins, for example alkylated melamine/formaldehyde resins, are frequently used as crosslinking agents for these hydroxyl-containing acrylate copolymers. Compositions of this type cure at temperatures above 80°C. In many cases, a strong acid, for example p-toluenesulfonic acid, is employed as a catalyst in these systems.

For many intended uses, however, it is advantageous if coating compositions cure at room temperature or slightly elevated temperatures, for example in automotive refinishing.

EP-B-64 338 discloses a hydroxyl-containing acrylate copolymer which is cured with an aminoplast resin, using a special catalyst mixture. This coating composition can be cured at room temperature but has the disadvantage that the coatings based on this coating composition have insufficient resistance to water and steam.

ALEN A STENE

Linking and cure at room temperature are also known. EP-A-123 793 describes compositions which cure at as low as room temperature, consist of polyepoxides and polymers containing carboxyl groups and tertiary amino groups, and are obtainable by reacting vinyl polymers containing anhydride and carboxyl groups with compounds which contain at least one active hydrogen which is capable of reacting with the anhydrides and at least one tertiary amino group, such as, for example, tertiary amino alcohols. The compositions described have the advantage that they cure at as low as room temperature and possess good resistance to gasoline, water and alkalis, and no undesirable discoloration attributable to tertiary amino compounds occurs.

EP-A-134 691 discloses curable compositions which contain a compound having at least two hydroxyl groups per molecule, a compound having at least two cyclic carboxylic anhydride groups per molecule and a compound having at least two epoxide groups per molecule. Both condensates and polymers are mentioned as suitable hydroxylcontaining polymers. According to this patent application, hydroxyl-containing acrylate copolymers are mixed with bis- and polycarboxylic anhydrides and bis- and polyepoxides, if appropriate with the concomitant use of a catalyst, and the mixture is processed together with solvents and additives to give a coating composition which cures at as low as room temperature.

It is the object of the present invention to improve the properties of coatings based on epoxy/carboxyl



25

crosslinking from the point of view of resistance to chemicals, solvents, resistance to gasoline and resistance to water and steam and from the point of view of flexibility and corrosion resistance. It is also desirable for the coating compositions to cure, where appropriate, at room temperature so that they can be employed, for example, in automotive refinishing.

This object is achieved, surprisingly, if an acrylate copolymer having a high content of copolymerized polyethylenically unsaturated monomers is employed as the hydroxyl-containing acrylate copolymer. Low viscosities coupled with relatively high solids content can be achieved by means of the acrylate copolymers employed in the curable composition; furthermore, as a result of the highly branched structure of the copolymers, high reactivity of the hydroxyl groups toward the other functional groups of the curable compositions is achieved.

The invention relates to the curable composition of the type stated at the outset, wherein the hydroxyl-containing acrylate copolymer is obtainable from a1) from 10 to 60% by weight, preferably from 15 to 60% by weight, of hydroxyl-containing esters of acrylic acid and/or methacrylic acid, where the alkyl radical is of 2 to 14 carbon atoms,

a2) more than 3 to 30% by weight, preferably from 5 to 25% by weight, of monomers having at least two polymerizable, olefinically unsaturated double bonds and a3) from 15 to 82% by weight, preferably from 40 to 70% by weight, of further polymerizable monomers having



١ `

5

an olefinically unsaturated double bond. the sum of components all, a2) and a3) being 100% by weight.

The choice of the further polymerizable monomers of component a3 is not particularly critical. They may be selected from a group consisting of styrene, vinyltoluene; acrylic acid, methacrylic acid, crotonic acid, itaconic acid, alkyl esters of acrylic and methacrylic acid, alkoxyethyl acrylates and aryloxyethyl acrylates and the corresponding methacrylates, and esters of maleic and fumaric acid. Examples are methyl acrylate, ethyl acrylate, propyl acrylate, butyl acrylate, isopropyl acrylate, isobutyl acrylate, pentyl acrylate, isoamyl acrylate, hexyl acrylate, 2-ethylhexyl acrylate, octyl acrylate, 3,5,5-trimethylhexyl acrylate, decyl acrylate, dodecyl acrylate, hexadecyl acrylate, octadecyl acrylate, octadecenyl acrylate, pentyl methacrylate, isoamyl methacrylate, hexyl methacrylate, 2-ethylbutyl methacrylate, octyl methacrylate, 3,5,5-trimethylhexyl methacrylate, decyl methacrylate, dodecyl methacrylate, hexadecyl methacrylate, octadecyl methacrylate, butoxyethyl acrylate, butoxyethyl methacrylate, methyl methacrylate, ethyl methacrylate, propyl methacrylate, isopropyl methacrylate, butyl methacrylate, cyclohexyl acrylate, cyclohexyl methacrylate, acrylonitrile, methacrylonitrile, vinyl acetate, vinyl 25 chloride and phenoxyethyl acrylate. Other monomers can be used provided that they do not result in the copolymer having undesirable properties. The choice of component a3 depends substantially on the desired properties of the curable composition in respect of flexibility, hardness,



5

compatibility and polarity.

Among the compounds which can advantageously be used as component a3 are from 0.1 to 20% by weight, preferably from 1 to 14% by weight, based on the total weight of all monomers, of tertiary amines having a polymerizable, olefinically unsaturated double bond, for example N,N'-dimethylaminoethyl methacrylate, N,N-diethylaminoethyl methacrylate, 2-vinylpyridine, 4-vinylpyridine, vinylpyrroline, vinylquinoline, vinylisoquinoline, N,N'-dimethylaminoethyl vinyl ether and 2-methyl-5-vinylpyridine. The advantage of these tertiary amino groups incorporated in the acrylate copolymer is that they catalyze subsequent carboxyl/epoxy crosslinking.

Compounds of the general formula

$$CH_2 = C - C - X - (CH_2)_n - X - C - C = CH_2$$

in which R denotes H or CH3, X denotes O, NR', where R' is H or CH3, or S, and n denotes 2 to 8, can advantageously be used as component a2.

Examples of such compounds are hexanediol diacry-late, hexanediol dimethacrylate, glycol diacrylate, glycol diacrylate, glycol dimethacrylate, butanediol dimethacrylate, butanediol dimethacrylate, trimethylolpropane triacrylate, trimethylolpropane trimethylolpropane trimethacrylate and similar compounds. It is of course also possible to employ combinations of the polyunsaturated monomers. Divinylbenzene may furthermore be mentioned as a suitable component a2.

It should be noted that, when, inter alia, tertiary



25

amines possessing an olefinically unsaturated double bond are used as component a3, di- and polyesters of di- and polyols with acrylic acid are not used as component a2, since in this case gelling of the copolymer occurs.

5

10

15

20

25

Component a2 may advantageously furthermore be a reaction product of a carboxylic acid having a polymerizable, olefinically unsaturated double bond and glycidyl acrylate and/or glycidyl methacrylate or a polycarboxylic acid or monocarboxylic acid esterified with an unsaturated alcohol. If tertiary amino groups are incorporated in the acrylate copolymer, reaction products of a carboxylic acid having an ethylenically unsaturated double bond, with the exception of acrylic acid, with glycidyl methacrylate, or with a polycarboxylic acid or unsaturated monocarboxylic acid esterified with an unsaturated alcohol, with the exception of derivatives of acrylic acid, are suitable.

A reaction product of a polyisocyanate and an unsaturated alcohol or amine can also advantageously be used as component a2. The reaction product of one mole of hexamethylene diisocyanate and two moles of allyl alcohol may be mentioned as an example of this.

Another advantageous component a2 is a diester of polyethylene glycol and/or polypropylene glycol having a mean molecular weight of less than 1500, preferably of less than 1000, and acrylic acid and/or methacrylic acid. In this case, where monomers possessing tertiary amino groups are copolymerized, acrylic acid derivatives are not used as component a2. Di- or polyvinyl compounds of hydrocarbons, eg. divinylbenzene, may also be used as

component a2.

5

10

15

20

25

Particularly suitable components a1 are hydroxy-alkyl esters of acrylic acid and/or methacrylic acid possessing a primary hydroxyl group, for example hydroxyethyl acrylate, hydroxypropyl acrylate, hydroxybutyl acrylate, hydroxyamyl acrylate, hydroxyhexyl acrylate, hydroxyoctyl acrylate and the corresponding methacrylates.

At least some of the components a1 may advantageously be a reaction product of one mole of hydroxyethyl acrylate and/or hydroxyethyl methacrylate and on average 2 moles of  $\epsilon$ -caprolactone.

Furthermore, component all can advantageously consist of up to 75% by weight, particularly preferably up to 50% by weight, based on the total amount of al, of a hydroxyl-containing ester of acrylic acid and/or methacrylic acid possessing a secondary hydroxyl group, in particular a reaction product of acrylic acid and/or methacrylic acid and the glycidyl ester of a carboxylic acid possessing a tertiary  $\alpha$ -carbon atom.

2-Hydroxypropyl acrylate, 2-hydroxybutyl acrylate, 3-hydroxybutyl acrylate and the corresponding methacry-lates may be mentioned as examples.

Advantageously used compounds having at least two cyclic carboxylic anhydride groups per molecule are adducts of trimellitic anhydride and a polyhydric alcohol. Examples of suitable polyhydric alcohols are ethylene glycol, propylene glycol, neopentyl glycol, hexane-1,6-diol, glycerol and trimethylolpropane.

Other suitable polyanhydrides are benzophenone



tetracarboxylic dianhydrides of the general formula

where X is H, halogen, NO2-COOH or -SO3H. 3,3',4,4'-Benzo-phenonetetracarboxylic dianhydride, 2-bromo-3,3',4,4'-benzophenonetetracarboxylic dianhydride and 5-nitro-3,3'-4,4'-benzophenonetetracarboxylic dianhydride may be mentioned as examples. Other suitable compounds having at least two cyclic carboxylic anhydride groups per molecule are cyclopentanetetracarboxylic dianhydride, phenoxyphenyl-tetracarboxylic dianhydride, the trianhydride of benzene-hexacarboxylic acid and of cyclohexanehexacarboxylic acid, and 1,2,3,4-butanetetracarboxylic dianhydride. Preferably used di- or polyanhydride compounds are copolymers of maleic anhydride with ethylenically unsaturated compounds. Styrene and vinyl esters of organic acids may be mentioned as examples of the latter.

Examples of compounds having at least two epoxide groups per molecule are condensates of epichlorohydrin and bisphenol A.

20 Cycloaliphatic bisepoxides of the formulae (I) and (II)

$$0 < C - 0 - CH_2$$



$$0 < \bigcap_{R} CH_{2} - U - \bigcup_{C} - (CH_{2})_{4} - \bigcup_{C} - U - CH_{2} > 0$$
(11)

R = H,CH,

are particularly preferred.

Other preferred di- or polyepoxide compounds are polyglycidyl esters and/or polyglycidyl ethers, such as ethylene glycol diglycidyl ester, glycerol polyglycidyl ether, sorbitol polyglycidyl ether, trimethylolpropane polyglycidyl ether and pentaerythritol polyglycidyl ether. Epoxidized polybutadienes, epoxide-containing novolaks and low molecular weight acrylate resins having oxirane side groups, for example glycidyl methacrylate copolymers, are also suitable.

The invention furthermore relates to a process for the preparation of a coating composition based on the curable composition according to claims 1 - 21, wherein, in order to prepare the hydroxyl-containing acrylate copolymer

- a1) from 10 to 60% by weight, preferably from 15 to 60% by weight, of hydroxyl-containing esters of acrylic acid and/or methacrylic acid, where the alkyl radical is 20 of two to 14 carbon atoms,
  - a2) more than 3 to 30% by weight, preferably from 5 to 25% by weight, of monomers having at least two polymerizable, olefinically unsaturated double bonds and a3) from 15 to 80% by weight, preferably from 40 to



10

70% by weight, of further polymerizable monomers having an olefinically unsaturated double bond, the sum of components a1, a2 and a3 being 100% by weight, are copolymerized in an organic solvent at from 80 to 130°C, preferably from 90 to 120°C, using at least 0.5% by weight, preferably 2.5% by weight, based on the total weight of the monomers, of a polymerization regulator and using polymerization initiators, and the resulting acrylate solution, together with organic solvents, if appropriate pigments, fillers, conventional assistants, additives, the compound 10 having at least two cyclic carboxylic anhydride groups per molecule, the compound having at least two epoxide groups per molecule and, if appropriate, a crosslinking catalyst, is processed to a coating composition by mixing and, if 15 required, dispersing. During the preparation of the acrylate copolymer, care should be taken to ensure that a pre-crosslinked but non-gelled copolymer is obtained. By means of suitable polymerization conditions, it is possible, surprisingly, to prepare a clear, transparent, non-20 gelled solution of a branched copolymer. The use of monomers having at least two ethylenically unsaturated groups produces preliminary crosslinking of the copolymer molecule, which, because of the special reaction conditions according to the invention, nevertheless does not result in 25 gelled products. These special reaction conditions comprise carrying out the polymerization at temperatures of 80 to 130°C, preferably 90 to 120°C. The polymerization is advantageously carried out so that a solution of the polymer having a solids content of from 40 to 65% by

TRALIAN ACE THE SOLUTION OF TH

weight results. The choice of initiator depends on the amount of bifunctional monomers employed. Where this amount is low, the initiators conventionally used for such temperatures, such as, for example, peroxy esters, can be used. For larger amounts of bifunctional monomer, initiators such as, for example, azo compounds are preferably employed. After the polymerization, the polymer solution is concentrated to the desired solids content, preferably to solids contents of 60% by weight, by distilling off the solvent. The clear copolymer solutions thus obtained possess a viscosity of from 0.4 to 10 dPa.s when adjusted to a solids content of 50% by weight.

5

10

15

Particularly suitable polymerization regulators are compounds containing me; capto groups, preferably mercaptoethanol.

If appropriate, catalysts for the epoxy/carboxyl crosslinking, for example tertiary amines, quaternary ammonium compounds, and specific chromium and tin compounds, can, if appropriate, be employed in the process according to the invention. Particularly preferably a tertiary amine, in an amount of from 0.5 to 10% by weight, based on the weight of the epoxide component, is used as the catalyst.

The use of an external catalyst is unnecessary in 25 most cases in which acrylate copolymers already contain tertiary amino groups.

The curable compositions according to the invention can be mixed with pigments, solvents and additives shortly before use.

The curable coating agents according to the invention can be applied onto a substrate in the form of a film by spraying, flooding, dipping, roller coating, knife coating or painting, the film then being cured to give a firmly adhering coating.

The coatings according to the invention possess improved properties in respect of resistance to gasoline and resistance to water and steam, in comparison with the coatings described in EP-A-134 691 (see Example 1). With regard to resistance to solvents and to chemicals, too, the coatings according to the invention possess good properties.

The invention is illustrated in detail below with reference to examples:

15 A) Preparation of copolymers according to the invention (binder A)

on weight and all parts are parts by weight, unless stated otherwise. The solids contents were determined in a through-circulation oven after 1 hour at 130°C. The viscosities were determined using a cone-and-plate viscometer. Acrylate resin I

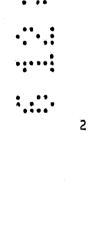
In the examples below, all percentages are based

The following are initially taken in a 3 L stain-less steel kettle and heated to  $110^{\circ}$ C:

25 Initially taken mixture: 386.5 parts of xylene

193.2 parts of 1-methoxyprop-2-yl acetate

310.0 parts of a glycidyl ester of a commercial  $\alpha$ ,  $\alpha'$ -



20

7

dialkylalkanemonocarboxylic acid having the empirical formula C<sub>13</sub>H<sub>2</sub>4<sup>0</sup>3 (Cardura E 10).

5 Feed 1 was metered in uniformly in the course of 3 hours.

Feed 1

91 parts of acrylic acid

86 parts of hydroxyethyl methacrylate

219 parts of styrene

10

150 parts of butanediol dimethacrylate

144 parts of methyl methacrylate

40 parts of mercaptoethanol

Feed 2 is metered in uniformly in the course of 3.5 hours. The two feeds are begun simultaneously.

15 Feed 2

32 parts of azobisisobutyronitrile

328.2 parts of xylene

164.1 parts of 1-methoxyprop-2-yl

acetate

The temperature is kept at 110°C during the polymeri20 zation, after which it is kept at 130°C for 3.5 hours.

Thereafter, 380 parts of solvent mixture are distilled off at 100°C and under 180 mbar.

The acrylate resin solution thus obtained has a solids content of 58.7%, a viscosity of 14.5 dPa.s and an acia number of 14.5.

Acrylate resin II

The following are initially taken in a 3 l stain-less steel kettle and heated to 110°C:

Initially taken mixture: 424.8 parts of xylene



212.4 parts of 1-methoxyprop-2-yl acetate

310.0 parts of a glycidyl ester of a commercial α, α'-dialkylalkanemonocarboxylic acid having the empirical formula C<sub>13</sub>H<sub>2</sub>4O<sub>3</sub> (Cardura E 10)

Feed 1 is metered in uniformly in the course of 3 hours.

10 Feed 1

91 parts of acrylic acid

161 parts of hydroxyethyl methacrylate

219 parts of styrene

150 parts of butanediol dimethacrylate

69 parts of methyl methacrylate

40 parts of mercaptoethanol

15

5

Feed 2 is metered in uniformly in the course of 3.5 hours. The two feeds are metered in simultaneously. 28 parts of azobisisobutyronitrile

Feed 2

287.2 parts of xylene

20

143.6 parts of 1-methoxyprop-2-yl

acetate

The temperature is kept at 110-112°C during the polymerization, after which the solution is kept at 130°C for 4 hours. 428 parts of solvent mixture are distilled 25 off at 100°C and under 180 mbar. The acrylate resin solution thus obtained has a solids content of 61.4% (15 minutes, 180°C), a viscosity of 38.5 dPa.s and an acid number of 13.6.



Acrylate resin III

The following are initially taken in a 3 l stain-less steel kettle:

Initially taken mixture: 107.3 parts of xylene

5 214.6 parts of 98/100 butyl

acetate

The initially taken mixture is heated to  $110^{\circ}$ C. The following are metered in uniformly in the course of 3 hours:

10 Feed 1:

140 parts of styrene

119 parts of n-butyl acrylate

70 parts of tert-butyl acrylate

140 parts of butanediol dimethacrylate

70 parts of hydroxyethyl methacrylate

31.5 parts of mercaptoethanol

Feed 2:

15

21 parts of 4-vinylpyridine

20 parts of xylene

20 parts of butyl acetate

The following is metered in uniformly in the

20 course of 4 hours:

Feed 3: 25.2 parts of azobisisobutyronitrile

131.6 parts of xylene

263.2 parts of 98/100 butyl acetate

The feeds are begun simultaneously; the tempera
25 ture is kept at 110°C during the polymerization and,
when feed 3 is complete, polymerization is continued for
3 hours at 110°C. The acrylate resin solution obtained
has a solids content of 48.7%, a viscosity of 1.4 dPa.s
and an acid number of 1.3.



Preparation and testing of clear coats containing the acrylate resin solutions I - III Coating formulation 1

2.90 parts of a bisanhydride obtained from tri-5 mell : anhydride and 1,2-ethanediol

· 7.80 parts of methyl ethyl ketone and

3.52 parts of 3,4-epoxycyclohexylmethyl 3,4-epoxy-cyclohexanecarboxylate are mixed.

10.00 parts of the acrylate resin from Example I and

0.28 part of a metal salt catalyst solution (accelerator Cordova AMC-2) are added to this solution.

15 Coating formulation 2

2.9 parts of a bisanhydride obtained from 2 moles of trimellitic anhydride and 1 mole of 1,2-ethanediol,

7.10 parts of methyl ethyl ketone and

3.52 parts of bis-(3,4-epoxycyclohexyl) adipate

20 are mixed with one another.

10.00 parts of the acrylate resin from Example II and

0.40 part of a metal salt catalyst solution (accelerator Cordova AMC-2)

• 25 are added to this solution.

Coating formulation 3

2.90 parts of a bisanhydride obtained from 2 moles of trimellitic anhydride and 1 mole of 1,2-ethanediol,

7.20 parts of methyl ethyl ketone and



3.52 parts of 3,4-epoxycyclohexyl 3,4-epoxycyclo-hexanecarboxylate

are mixed with one another.

11.5 parts of the acrylate resin from Example III are added to this solution.

Immediately after mixing, 200 µm films of the coatings are applied onto glass panels, and, after drying for 16 hours at room temperature, the films are tested with regard to hardness, resistance to gasoline and resistance to water.

The pendulum hardness is determined by the König method, in the gasoline test a felt pad impregnated with FAM mineral spirit is covered and left on the film for 1 hour, and in the water test a large drop of water (diameter 5 cm) is left on the film for 2 hours.

Coating film	Pendulum hard-	Resi	istance	Res	istance
from Example	ness (König)	to g	gasoline	to	water
1	63''	no s	softening	no	softening
1		no m	narking	по	marking
2	45''	no s	softening	no	softening
		no m	narking	пo	marking
3	91''	no s	oftening	n o	softening
		поп	narking	n'o	marking



5

### THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

- 1. A curable composition containing a soluble hydroxyl-containing acrylate copolymer, a compound possessing at least two cyclic carboxylic anhydride groups per molecule and a compound possessing at least two epoxide groups per molecule, wherein the hydroxyl-containing acrylate copolymer is obtained from
- al) from 10 to 60% by weight, of hydroxyl-containing esters of acrylic acid and/or methacrylic acid, where the alkyl radical is of two to 14 carbon atoms,
- a2) more than 3 to 30% by weight, of monomers having at least two polymerizable, olefinically unsaturated double bonds and
- a3) from 15 to 82% by weight, of further polymerizable monomers having an olefinically unsaturated double bond, the sum of components al, a2 and a3 being 100% by weight.
- 2. A curable composition as claimed in claim 1 in which the amount of component al is from 15 to 60% by weight.
- 3. A curable composition as claimed in claim 1 or 2 in which the amount of component a2 is from 5 to 25% by weight.
- 4. A curable composition as claimed in any preceding claim in which the amount of component a3 is from 40 to 70% by weight.
- 5. A curable composition as claimed in any preceding claim wherein the further polymerizable monomers of component a3 are one or more of styrene, vinyltoluene, acrylic acid, methacrylic acid, crotonic acid, itaconic acid, alkylesters of acrylic and methacrylic acid, alkosyethyl acrylates and aryloxyethyl acrylates and the corresponding methacrylates, or esters of maleic and fumaric acid.

- A curable composition as claimed in any preceding claim wherein, from 0.1 to 20% by weight, based on the total weight of all monomers, of tertiary amines having a polymerizable, olefinically unsaturated double bond are used as component a3.
- 7. A curable composition as claimed in claim 6 in which the amount of tertiary A-means having a polymerizable, olefinically unsaturated double bond used as component a3 is from 1 to 14% by weight.
- 8. A curable composition as claimed in any preceding claim wherein component a2 is of the formula

in which R denotes H or  $CH_3$ , X denotes O, S, or  $NR^1$ , where  $R^1$  is H, alkyl or aryl, and n denotes 2 to 8.

9. A curable composition as claimed in any one of claims 1 to 7 wherein component a2 is of the formula

$$CH_2 = C - C - X - (CH_2)_n - X - C - C = CH_2$$

where X denotes O, S or NR, where R is H, alkyl or aryl, and n denotes 2 to 8.



- 10. A curable composition as claimed in any one of claims 1 to 7 wherein component a2 is a reaction product of a carboxylic acid having a polymerizable, olefinically unsaturated double bond and glycidyl acrylate and/or glycidyl methacrylate.
- 11. A curable composition as claimed in any one of claims 1 to 7 wherein component a2 is a reaction product of a carboxylic acid having a polymerizable, olefinically unsaturated double bond, with the exception of acrylic acid, and glycidyl methacrylate.
- 12. A curable composition as claimed in any one of claims 1 to 7 wherein component a2 is a polycarboxylic acid or unsaturated monocarboxylic acid which is esterified with an unsaturated alcohol having a polymerizable double bond.
- 13. A curable composition as claimed in any one of claims 1 to 7 wherein component a2 is a polycarboxylic acid or unsaturated monocarboxylic acid which is esterified with an unsaturated alcohol having a polymerizable double bond, with the exception of derivatives of acrylic acid.
- 14. A curable composition as claimed in any one of claims 1 to 7 wherein component a2 can be prepared by reacting a polyisocyanate with amines or alcohols having an unsaturated, polymerizable double bond.
- 15. A curable composition as claimed in any one of claims 1 to 7, wherein component a2 is a diester of polyethylene glycol and/or polypropylene glycol, having a mean molecular weight of less than 1500, and acrylic acid and/or methacrylic acid.
- 16. A curable composition as claimed in claim 15 wherein a2 is a diester of polyethylene glycol and/or polyproylene glycol having a mean molecular weight of less than 1000.

- 17. A curable composition as claimed in any one of claims 1 to 7 wherein component a2 is a diester of polyethylene glycol and/or polypropylene glycol, having a mean molecular weight of less than 1500, and methacrylic acid.
- 18. A curable composition as claimed in claim 17 wherein a component a2 is a diester of polyethylene glycol and/or polyproylene glycol, having a mean molecular weight of less than 1000.
- 19. A curable composition as claimed in any one of claims 1 to 18 wherein component al is a hydroxyalkyl ester of acrylic acid and/or methacrylic acid possessing a primary hydroxyl group.
- 20. A curable composition as claimed in any one of claims 1 to 19, wherein component al at least partially comprises a reaction product of one mole of a hydroxyethyl acrylate and/or hydroxyethyl methacrylate and on average two moles of  $\varepsilon$ -caprolactone.
- 21. A curable composition as claimed in any one of claims 1 to 20, wherein component al consists of up to 75% by weight, based on the total amount of al, of a hydroxyl-containing ester of acrylic acid and/or methacrylic acid possessing a secondary hydroxyl group.
- 22. A curable composition as claimed in claim 21 wherein component al consists of up to 50% by weight based on the total weight of al, of a hydroxyl containing ester of acrylic acid and/or methylcrylic acid and/or methylcrylic acid having a secondary hydroxyl group.
- 23. A curable composition as claimed in claim 21 or 22 wherein the hydroxyl-containing ester is a reaction product of acrylic acid and/or methacrylic acid with the

glycidyl ester of a carboxylic acid having a tertiary  $\alpha\text{-carbon}$  atom.

- A curable composition as claimed in any one of claims 1 to 23, wherein the compound having at least two cyclic carboxylic anhydride groups per molecule is an adduct of trimellitic anhydride and a polyhydric alcohol.
- 25. A curable composition as claimed in claim 24, wherein the compound having at least two cyclic carboxylic anhydride groups per molecule is an adduct of trimellitic anhydride and ethyleneglycol, propyleneglycol, neopentylglycol, hexane-1,6-diol, glycerol or trimethylol-propane.
- 26. A curable composition as claimed in any one of claims 1 to 25, wherein the compound having at least 2 cyclic carboxylic anhydride groups is a copolymer of maleic anhydride with ethylenically unsaturated monomers.
- 27. A curable composition as claimed in any one of claims 1 to 26, wherein the compound having at least two epoxide groups per molecule is a bisepoxide of the formula (I) or (II)

$$0 < \bigcirc C - C - CH_2 \bigcirc O$$

 $R = H_2CH_3$ 

28. A curable composition as claimed in any one of claims 1 to 27, wherein the compound having at least 2 polyglycidy per molecule is a polyglycidyl ether and/or polyglycidyl ester.

- 29. A process for the preparation of a coating composition based on the curable composition according to any one of claims 1 to 28, wherein, in order to prepare the hydroxyl-containing acrylate copolymer
- al) from 10 to 60% by weight, of hydroxyl-containing esters of acrylic acid and/or methacrylic acid, where the alkyl radical is of 2 to 14 carbon atoms,
- a2) more than 3 to 30% by weight, of monomers possessing at least two polymerizable, olefinically unsaturated double bonds and
- from 15 to 82% by weight, of further a3) polymerizable monomers having an olefinically unsaturated double bond, the sum of components al, a2 and a3 being 100% by weight, are copolymerized in an organic solvent at from 80 to 130°C, using at least 0.5% by weight, based on the total weight of the monomers, of a polymerization regulator and using polymerization initiators, and the resulting acrylate solution, together with organic solvents, if appropriate pigments, fillers, conventional assistants, additives, the compound having at least two cyclic carboxylic anhydride groups per molecule, the compound having at least two epoxide groups per molecule and, if appropriate, a crosslinking catalyst, is processed to a coating composition by mixing and, if required, dispersing.
- 30. A process according to claim 29 in which the amount of al) is from 15 to 60% by weight.
- 31. A process according to claim 29 or 30 in which the amount of a2) is from 5 to 25% by weight.
- 32. A process according to any one of claims 29 to 31 in which the amount of a3) is from 40 to 70% by weight.
- 33. A process according to any one of claims 29 to 32 in which the components are co-polymerized in an organic solvent at from 90 to 120°C.

• • • • •

• . . . .

- 34. A process according to any one of claims 29 to 33 in which there is 2.5% by weight, less than the total weight of the monomers of a polymerization regulator.
- 35. A process as claimed in claim 34, wherein the polymerization regulators used are compounds containing mercapto groups, preferably mercaptoethanol.
- 36. A process as claimed in any one of claims 29 to 35, wherein the polymerization initiators used are azo compounds and/or peroxy esters.
- 37. A process as claimed in any one of claims 29 to 36, wherein the polymerization for the preparation of the hydroxyl-containing acrylate copolymer is carried out in such a way that a solution of the polymer having a solids content of 40 to 65% by weight results.
- A process as claimed in any one of claims 29 to 37, wherein the crosslinking catalyst is a tertiary amine and is used in an amount of from 0.5 to 10% by weight, based on the weight of the epoxide component.
- 39. A curable composition substantially as hereinbefore described with reference to any one of the foregoing examples.
- 40. A process for the preparation of a coating composition based on a curable composition substantially as hereinbefore described with reference to any one of the foregoing examples.

Dated this 31st day of August, 1989.

BASF LACKE & FARBEN AKTIENGESELLSCHAFT
By Their Patent Attorneys:

GRIFFITH HACK & CO. Fellows Institute of Patent Attorneys of Australia.



### INTERNATIONAL SEARCH REPORT

International Application No PCT/EP 86/00522

I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) *						
According to International Patent Classification (IPC) or to poth National Classification and IPC L 63/00; C 09 D 3/3						
II. FIELDS	S SEARCHED	Alle Could I				
Čladalilicati	Minimum Documen					
Classification	on System	Classification Symbols				
Int.	C1.4 C 08 G; C 08 L, C 09	D				
Documentation Searched other than Minimum Documentation to the Extent that such Documents are included in the Fields Searched •						
ill, DOCL	JMENTS CONSIDERED TO BE RELEVANT					
Category •	Citation of Document, 11 with indication, where appr	opriate, of the relevant passages 12 Relevant to Claim No. 13				
A	EP, A, 0134691 (I.C.I.) 20 in the application	March 1985 cited				
A	DE, A, 2630011 (SCHERING)	12 January 1978				
A Chemical Abstracts, volume 97, Nr 24, 13 Dr- cember 1982, Columbus, Ohio (US) see page 36, abstract 199044y & JP, A, 8284843 (MATSUSHITA ELECTRIC WORKS LTD.) 27 May 1982						
A	FR, A, 2432542 (TORAY INDU February 1980	JSTRIES, INC.) 29				
	cl categories of cited documents: 10 rument defining the general state of the art which is not	"T" later document published after the international filing date or priority date and not in conflict with the application but				
considered to be of particular relevance  "E" earlier document but published on or after the international filing date  "It document of particular relevance; the claimed invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to inderstand the principle or theory underlying the invention.						
whi cita "O" doc	rument which may throw doubts on priority claim(s) or ch is cited to establish the publication date of another tion or other special reason (as specified) rument referring to an oral disclosure, use, exhibition or er means	involve an inventive step "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu- ments, such combination being obvious to a person skilled				
"P" document published prior to the international filing date but later than the priority date claimed "a" document member of the same patent family						
	IFICATION	Date of Heiller of this leavester of Court Date of				
	e Actual Completion of the International Search Cember 1986 (12.12.86)	Date of Mailing of this International Search Report  28 January 1987 (28.01.87)				
Internation	nal Searching Authority	Signature of Authorized Officer				
EUROP	EAN PATENT OFFICE	·				

### ANNEX TO THE INTERNATIONAL SEARCH REPORT ON

INTERNATIONAL APPLICATION NO.

PCT/EP 86/00522 (SA

This Annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 13/01/87

The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date		Patent family member(s)	
EP-A- 0134691	20/03/85	AU-A- GB-A- JP-A-	3193984 2148900 0106830	21/02/85 05/06/85 12/06/85
DE-A- 2630011	12/01/78	None		
FR-A- 2432542	29/02/80	JP-A- 5 US-A- GB-A,B DE-A- NL-A-	54162729 4294939 2029422 2833647 7808088	24/12/79 13/10/81 19/03/80 21/02/80 05/02/80

### INTERNATIONALER RECHERCHENBERICHT

Internationales Aktenzeichen PCT/EP 86/00522

I. KLASSIFIKATION DES ANMELDUNGSGEGENSTANDS (bei menteren Klassifikationssymbolen sind alle anzugeben)										
Nach der Internationalen Patentklassifikation (IPC) oder nach der nationalen Klassifikation und der IPC  10										
II, RECHERCHIERTE SACHGEBIETE										
Recherchierter Mindestprufstoff <sup>7</sup>										
Klassifikationssystem Klassifikationssymbole										
C 08 G; C 08 L; C 09 D										
On house the second of the sec										
Recherchierte nicht zum Mindestprufstoff gehorende Veröffentlichungen, soweit diese unter die recherchierten Sachgebiete fallen <sup>5</sup>										
and de central or conjusted that										
III. EINSCHLÄGIGE VERÖFFENTLICHUNGEN <sup>9</sup>										
Art*   Kennzeichnung der Veröffentlichung 11 soweit erforderlich unter Angabe der maßgeblichen Teile 12   Betr. Ansoruch Nr. 13										
A EP, A, 0134691 (I.C.I.) 20. März 1985 (In der Anmeldung erwähnt)										
A DE, A, 2630011 (SCHERING) 12. Januar 1978										
A Chemical Abstracts, Band 97, Nr. 24, 13. Dezember 1982, Columbus, Ohio (US) siehe Seite 36, Zusammenfassung 199044y & JP, A, 8284843 (MATSUSHITA ELECTRIC WORKS LTD.) 27. Mai 1982 A FR, A, 2432542 (TORAY INDUSTRIES, INC.)										
29. Februar 1980										
* Besondere Kategorien von angegebenen Veröffentlichungen <sup>10</sup> . "A" Veröffentlichung, die den aligemeinen Stand der Technik. "T" Spatere Veröffentlichung, die nach dem internationalen An-										
definiert, aber nicht als besonders bedeutsam anzusehen ist "E" alteres Dokument, das jedoch erst am oder nach dem internationalen Anmeidedatum veröffentlicht worden ist und mit der Anmeidung nicht köllidiert, sondern nur zum Verstandnis des der Erfindung zugrundeliegenden Prinzips oder der ihr zugrundeliegenden Theorie angegeben ist weifelhaft erscheinen zu lassen oder durch die das Veröffentlichungsdatum einer anderen im Recherchenbericht genachten Veröffentlichung von besonderer Bedeutung; die beansprüchten Veröffentlichung von besonderer Bedeutung; die beansprüchten berinden besonderen Grund angegeben ist iwie ausgehingt."  "V" Veröffentlichung von besonderer Bedeutung; die beansprüchten berinden betrachtet werden werden """ Veröffentlichung von besonderer Bedeutung; die beansprüchten berinden betrachtet werden wenn die Veröffentlichung mit bezieht """ veröffentlichung von besonderer Bedeutung; die beansprüchten betrachtet werden wenn die Veröffentlichung mit bezieht """ veröffentlichung von besonderer Bedeutung; die beansprüchten betrachtet werden """ veröffentlichung von besonderer Bedeutung; die beansprüchten betrachtet werden """ veröffentlichung von besonderer Bedeutung; die beansprüchten betrachtet werden """ veröffentlichung von besonderer Bedeutung; die beansprüchten betrachtet werden """ veröffentlichung von besonderer Bedeutung; die beansprüchten betrachtet werden """ veröffentlichung von besonderer Bedeutung; die beansprüchten betrachtet werden """ veröffentlichung von besonderer Bedeutung; die beansprüchten betrachtet werden """ veröffentlichung von besonderer Bedeutung; die beansprüchten betrachtet werden """ veröffentlichung von besonderer Bedeutung; die beansprüchten betrachtet werden """ veröffentlichung von besonderer Bedeutung; die beansprüchten betrachtet werden """ veröffentlichung von besonderer Bedeutung; die beansprüchten betrachtet werden """ veröffentlichung von besonderer Bedeutung; die beansprüchten betrachtet werden """ veröffentlichung von besonderer Bedeutung; die beansprüchten										
					"P" Veröffentlichung, die vor dem internationalen Arimeldeda- einen Fachmann naheliegend ist tum, aber nach dem beansprüchten Prioritätsdatum veröffent "2" Veröffentlichung, die Mitglied rierselben Patentfamilie ist licht worden ist					
					IV. BESCHEINIGUNG					
Datum des Abschlusses der Internationalen Recherche Absendedatum des internationalen Recherchenberichts										
12. Dezember 1986 <b>28 JAN 1987</b>										
Internationale Recherchenbehorde Unterschrift des bevollingengen Bediensteten										
Europaisches Patentamt  M. VAN MOL										

### ANHANG ZUM INTERNATIONALEN RECHERCHENBERICHT ÜBER DIE

INTERNATIONALE PATENTANMELDUNG NR. PCT/EP 86/00522 (SA 14475)

In diesem Anhang sind die Mitglieder der Patentfamilien der im obengenannten internationalen Recherchenbericht angeführten Patentdokumente angegeben. Die Angaben über die Familienmitglieder entsprechen dem Stand der Datei des Europäischen Patentamts am 13/01/87

Diese Angaben dienen nur zur Unterrichtung und erfolgen ohne Gewähr.

Im Recherchenbe- richt angeführtes Patentdokument	Datum der Veröffent- lichung	Mitglied(er) der Patentfamilie		Datum der Veröffent- lichung
EP-A- 0134691	20/03/85	AU-A- GB-A- JP-A-	3193984 2148900 60106830	21/02/85 05/06/85 12/06/85
DE-A- 2630011	12/01/78	Keine		
FR-A- 2432542	29/02/80	JP-A- US-A- GB-A,B DE-A- NL-A-	54162729 4294939 2029422 2833647 7808088	24/12/79 13/10/81 19/03/80 21/02/80 05/02/80