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(54) Title: CARBON NANOTUBES FUNCTIONALIZED WITH FULLERENES

(57) Abstract: The present invention relates to covalently bonded fullerene-functionalized carbon nanotubes (CBFFCNTs), a method and an apparatus for their production and to their end products. CBFFCNTs are carbon nanotubes with one or more fullerenes or fullerene based molecules covalently bonded to the nanotube surface. They are obtained by bringing one or more catalyst particles, carbon sources and reagents together in a reactor.

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CARBON NANOTUBES FUNCTIONALIZED WITH FULLERENES

5 The present invention relates to fullerene functionalized carbon nanotubes, to a method and an apparatus for their production, to a functional material, to a thick or thin film, line, wire and a layered and three dimensional structure, and to ^a device as defined in the claims.

10 **PRIOR ART**

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Both fullerenes and carbon nanotubes (CNTs) exhibit unique and useful chemical and physical properties related to, for example, their morphology, toughness, electrical and thermal conductivity and magnetic characteristics.

20 **25** CNT functionalization has been shown to be a route, for example, to make CNTs processable, to improve their bonding with matrix materials and modify CNT properties for specific applications. CNTs have been functionalized by various compounds, for example, with carboxyl groups, sodium dodecyl sulfates, with thiol, amine, amide, carbonyl, and chloride groups, by erbium bisphthalocyanine and poly(N-vinyl carbazole). Further, organic functionalization of CNTs have been used as an intermediate CNT purification step.

Further, fullerenes in the presence of CNTs have been reported. For example the existence of noncovalently bonded fullerenes among produced CNTs have been reported. Using fullerenes as templates for CNT growth has been reported. Non-covalently bonded

30 fullerenes have been included inside CNTs (nanotube peapods).

35 However, ^a problem with the prior-art functionalization procedures is that CNTs are functionalized after the synthesis, which is time consuming and energy and resource intensive, increases the loss of product and can add additional impurities. Further, with prior art methods, it has not been possible to covalently attach fullerenes to the outer surface of carbon nanotubes.

5 The industrial and scientific utility of produced CNTs is a function of their individual and collective properties and a further problem is that the prior-art methods of CNT production are not able to adequately control properties for many commercial 10 applications. Controllable and selective manipulation of functional groups would result in desirable tailoring of the properties of CNTs and CNT composites .

15 It would be advantageous if at least preferred embodiments of the present invention eliminate the drawbacks referred to above.

SUMMARY OF THE INVENTION

The present invention provides the following 20 items 1 to 26:

1. Fullerene functionalized carbon nanotube, comprising one or more individual fullerenes and/or individual fullerene based molecules bonded to the carbon nanotube, wherein the bond between said 25 fullerenes and/or fullerene based molecules and said carbon nanotube is covalent and is formed on the outer and/or on the inner surface of the side wall of said carbon nanotube .

2. Fullerene functionalized carbon nanotube 30 according to item 1, wherein the fullerene and/or fullerene based molecule comprises 20 - 1000 atoms.

3. Fullerene functionalized carbon nanotube according to item ¹ or 2, wherein the fullerene and/or fullerene based molecule are/is covalently bonded via 35 one or more bridging groups and/or are/is directly covalently bonded.

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4. Fullerene functionalized carbon nanotube according to item 3, wherein the bridging group comprises oxygen, hydrogen, nitrogen, sulphur, an amino, a thiol, an ether, an ester, a carboxylic group ⁵ and/or a carbon-containing group.

5. Fullerene functionalized carbon nanotube according to item 3, wherein the fullerene and/or fullerene based molecule are/is directly covalently bonded through one or more carbon bonds,

- 10 6. Fullerene functionalized carbon nanotube according to any one of items 1 to 5, wherein said carbon nanotube comprises a single, a double or a multiple walled carbon nanotube or a composite carbon nanotube ,
- ¹⁵ 7. Fullerene functionalized carbon nanotube according to any one of items 1 to 6, wherein said carbon nanotube is formulated in a solid, liquid and/or gas dispersion, a solid structure, a powder, a paste, a colloidal suspension and/or is deposited on a 20 surface and/or is synthesized on a surface.

8. Fullerene functionalized carbon nanotube according to any one of items 1 to 7, wherein it is bonded through one or more fullerenes and/or fullerene based molecules to one or more carbon nanotubes and/or 25 fullerene functionalized carbon nanotubes,

9. A method for producing one or more fullerene functionalized carbon nanotubes according to any one of items 1 to 8, wherein the method comprises:

bringing one or more catalyst particles and 30 carbon sources and at least two reagents including $CO₂$ and H_2O , wherein the concentration of H_2O is between 45 and 245 ppm and the concentration of $CO₂$ is between 2000 and 6000 ppm, into contact with each other and heating in a reactor at a temperature of 250 - 2500 °C ³⁵ to catalytically decompose the one or more carbon

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sources on the surface of the catalyst particles together with the reagents to produce one or more fullerene functionalized carbon nanotubes comprising one or more individual fullerenes and/or individual ⁵ fullerene based molecules covalently bonded to the outer and/or inner surface of the side wall of one or more carbon nanotubes, and collecting the produced one or more fullerene functionalized carbon nanotubes.

10. A method according to item 9, wherein the 10 carbon source is selected from a group, which consists of methane, ethane, propane, ethylene, acetylene, benzene, toluene, xylene, trimethylbenzene, methanol, ethanol, octanol, thiophene and carbon monoxide.

11. A method according to item 9, wherein the ¹⁵ reagent is an etching agent.

12. A method according to item ⁹ or 11, wherein the reagent is selected from a group, which consists of hydrogen, nitrogen, water, carbon dioxide, nitrous oxide, nitrogen dioxide, oxygen, ozone, carbon 20 monoxide, octanol, thiophene and hydride.

13. A method according to item 9, wherein the catalyst particle comprises a metal.

14. A method according to item ⁹ or 13, wherein the catalyst particle comprises iron, cobalt, 25 nickel, chromium, molybdenum and/or palladium.

15. A method according to any one of items 9, 13 or 14, wherein the catalyst particle is produced using a chemical precursor and/or by heating a metal or metal containing substance.

30 16. A method according to any one of items ⁹ to 15, wherein the amount of fullerene and/or fullerene based molecules produced on the carbon nanotube is adjusted by adjusting the amount of one or more reagents used, by adjusting the heating 35 temperature and/or by adjusting the residence time.

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17. A method according to any one of items ⁹ to 16, wherein the heating is performed at temperature of $600 - 1000$ °C.

IS. A method according to any one of items ⁹ ⁵ to 17, wherein the method further comprises the following step:

introducing one or more additional reagents.

19. A method according to any one of items 9 to 18, wherein the method further comprises the 10 following step:

introducing one or more additives to produce a fullerene functionalized carbon nanotube composite material.

20. A method according to any one of items 9 ¹⁵ to 19, wherein the method further comprises the following step:

collecting the produced one or more fullerene functionalized carbon nanotubes and/or the fullerene functionalized carbon nanotube composite material in a

20 solid, liquid and/or gas dispersion, a solid structure, a powder, a paste, a colloidal suspension and/or as a film and/or surface deposition.

21. A method according to any one of items 9 to 20, wherein the method further comprises the 25 following step:

depositing a dispersion of produced fullerene functionalized carbon nanotubes and/or fullerene functionalized carbon nanotube composite material onto a surface and/or into a matrix and/or a layered 30 structure and/or a device.

22. A method according to any one of items 9 to 21, wherein the fullerene functionalized carbon nanotubes are produced in a gas phase as an aerosol and/or on a substrate.

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23. A functional material, wherein the material is made using one or more fullerene functionalized carbon nanotubes according to any of items ¹ to 8.

5 24. A thick or thin film, a line, a wire or a layered or three dimensional structure, wherein it is made using one or more fullerene functionalized carbon nanotubes according to any one of items 1 to 8 or a functional material according to item 23.

10 25. A device, wherein the device is made by using one or more fullerene functionalized carbon nanotubes according to any one of items 1 to ⁸ and/or a functional material according to item 23 and/or a thick or thin film, a line, a wire or a layered or ¹⁵ three dimensional structure according to item 24.

The invention is based on research work carried out in which it was surprisingly found that it is possible to produce a fullerene functionalized 20 carbon nanotube, which comprises one or more fullerenes and/or fullerene based molecules covalently attached to the carbon nanotube.

The present invention relates to a fullerene functionalized carbon nanotube (FFCNT), which 25 comprises one or more individual fullerenes and/or individual fullerene based molecules covalently bonded to the outer and/or inner surface of the side wall of the carbon nanotube (herein also called as CBFFCNT, covalently bonded fullerene functionalized carbon

30 nanotube or fullerene-functionalized carbon nanotube). A carbon

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nanotube can comprise only carbon atoms but also the carbon nanotube can comprise carbon atoms and also one or more other atoms. The carbon nanotube can have a cylindrical or a tube-like structure with open and/or ⁵ closed ends. Also other carbon nanotube structures are possible. The covalent bond between the fullerene and/or fullerene based molecule and the carbon nanotube is formed on the outer and/or inner surface of the side wall of the carbon nanotube.

10 By a fullerene is meant a molecule, which comprises carbon and which is substantially spherical, ellipsoidal or ball-like in structure. The fullerene can be hollow with a closed surface or it can have a substantially spherical structure, which is not be ¹⁵ completely closed but instead has one or more open bonds. The fullerene can, for example, have a substantially hemisphere-like form and/or any other sphere-like form.

By a fullerene based molecule is meant any of 20 the above mentioned molecules, wherein one or more carbon atoms in the molecule are replaced with one or more, for example non-carbon, atoms, molecules, groups and/or compounds, and/or wherein one or more additional atoms, molecules, groups and/or compounds

25 are included in the fullerene molecule and/or wherein one or more additional atoms, molecules, groups and/or compounds are attached to the surface of the fullerene molecule. Only as one non-limiting example it can be mentioned that one or more other fullerenes can be 30 attached to said surface.

The one or more individual fullerenes and/or individual fullerene based molecules is covalently bonded to the outer surface and/or to the inner surface of the side wall of the carbon nanotube, 35 preferably to the outer surface of the side wall. Said fullerene and/or fullerene based molecule can comprise 20 - 1000 atoms. The fullerene and/or fullerene based molecule

can be covalently bonded via one or more bridging groups and/or can be directly covalently bonded to the carbon nanotube. By a bridging group is meant any atom, element, molecule, group and/or compound via which the covalent ⁵ attachment to the carbon nanotube is possible. A suitable bridging group can comprise for example any element from the groups IV, V, VI of the periodic table of elements. A suitable bridging group can comprise for example oxygen,

hydrogen, nitrogen, sulphur, an amino, a thiol, an ether,

10 an ester and/or a carboxylic group and/or any other suitable group and/or their derivatives. A suitable bridging group can comprise a carbon-containing group. Alternatively or additionally the individual fullerene and/or individual fullerene based molecule can be 15 directly covalently bonded. For example, the individual fullerene and/or individual fullerene based molecule can be directly covalently bonded through one or more carbon bonds.

According to the present invention the carbon 20 nanotube can comprise a single, a double or a multiple walled carbon nanotube or a composite carbon nanotube. The carbon nanotube can be formulated in a gas, liquid and/or solid dispersion, a solid structure, a powder, a paste and/or a colloidal suspension and/or can be 25 deposited and/or synthesized on a surface.

The fullerene functionalized carbon nanotube can be bonded through one or more individual fullerenes and/or individual fullerene based molecules to one or more carbon nanotubes and/or fullerene functionalized 30 carbon nanotubes. In other words, for example, two fullerene functionalized carbon nanotubes can be attached to each other through a common fullerene molecule.

Further, the present invention relates to the method for producing one or more fullerene functionalized 35 carbon nanotubes. Said method comprises: bringing one or more catalyst particles and carbon sources and at least two reagents including H_2O and CO_2 , wherein the

concentration of $H₂O$ is between 45 and 245 ppm and the concentration of $CO₂$ is between 2000 and 6000 ppm, into contact with each other and heating in a reactor at a temperature of 250 - 2500°C to catalytically decompose the 5 one or more carbon sources on the surface of the catalyst

- particles together with reagents to produce one or more fullerene functionalized carbon nanotubes comprising one or more individual fullerenes and/or individual fullerene based molecules covalently bonded to the outer and/or
- 10 inner surface of the side wall of the one or more carbon nanotubes, and collecting the produced one or more fullerene functionalized carbon nanotubes. Said step of bringing one or more catalyst particles and carbon sources and at least two reagents into contact with each 15 other can comprise, for example, any suitable way of introducing them into contact with each other, mixing and/or any other suitable way of bringing into contact with each other. The method is performed in a suitable reactor. In this way one or more fullerene-functionalized
- 20 carbon nanotubes according to the present invention are produced.

In the method according to the present invention said carbon nanotubes can be produced in a gas phase as an aerosol and/or on a substrate. Further, the method can 25 be a continuous flow or batch process or a combination of batch and continuous sub-processes.

Various carbon containing substances can be used as a carbon source. Also a carbon containing precursor, which forms a carbon source, can be used. The carbon 30 source can be selected from a group, which consists of one or more alkanes, alkenes, alkynes, alcohols, aromatic hydrocarbons and any other suitable group, compound and material. The carbon source can be selected from a group, which consists of, for example, gaseous carbon compounds 35 such as methane, ethane, propane, ethylene, acetylene, carbon monoxide as well as liquid volatile carbon sources such as benzene,

toluene, xylene, trimethylbenzene, methanol, ethanol, and octanol and any other suitable compounds and their derivatives. Thiophene can also be used as a carbon source. Carbon monoxide gas is preferred as a carbon ⁵ source. One or more carbon sources can be used. If used the carbon precursors can be activated at a desired location in the reactor by using, for example, heated filaments and plasmas.

In one embodiment of the present invention ¹⁰ the one or more carbon sources also act as one or more catalyst particle sources, reagents, reagent precursors and/or additional reagents.

The carbon source can be introduced into the reactor at a rate of 5 - 10000 ccm, preferably 50 - 15 1000 ccm, for example about 300 ccm. The pressures of different materials used in the method, for example carbon sources, can be 0,1 - 1000 Pa, preferably 1 - 500 Pa,

According to the present invention **at** least 20 two reagents including $CO₂$ and $H₂O$, wherein the concentration of H_2O is between 45 and 245 ppm and the concentration of $CO₂$ is between 2000 and 6000 ppm, are used in the production of said carbon nanotubes. The reagent can be an etching agent. The reagent can be

- 25 selected from a group, which consists of hydrogen, nitrogen, water, carbon dioxide, nitrous oxide, nitrogen dioxide and oxygen. Further, said reagents can be selected, for example, from organic and/or inorganic oxygen containing compounds such as ozone
- 30 (O₃) and various hydrides. Reagents used in the method can also be selected from carbon monoxide, octanol and/or thiophene. Also any other suitable reagent can be used in the method according to the present invention. Other reagents and/or reagent precursors 35 can be used also as a carbon source and

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vice versa. Examples of such reagents are for example ketones, aldehydes, alcohols, esters and/or ethers and/or any other suitable compounds.

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In the method according to the invention one or more reagents and/or, for example, reagent precursors can be introduced into the reactor, for example, together with the carbon source or separately. The one or more reagents/reagent precursors can be introduced to the reactor at concentration of $1 - 12000$ ppm, preferably $100 - 2000$ ppm.

10 The concentration of one or more fullerenes and/or fullerene based molecules covalently attached to the carbon nanotube can be adjusted. The adjustment can be done by adjusting the amount, for example the concentration, of one or more reagents used, by adjusting the heating temperature and/or by adjusting

- **15** the residence time. The adjustment is done in accordance with the synthesis method. The heating can be performed at a temperature of 250 - 2500 $^{\circ}$ C, preferably 600 - 1000 °C. When, for example, H_2O and $CO₂$ are used as reagents the reagent concentrations can
- **20 25** be between ⁴⁵ and 245 ppm, preferably between 125 and 185 ppm, for water and between 2000 and 6000 ppm, preferably about 2500 ppm, for CO2. In this way *^a* fullerene density above ¹ fullerene/nm can be provided. At specific concentrations of one or more reagents also the heating temperature can be found to
- have an optimal range. According to the present invention various

catalyst materials, which catalyze the process of carbon source decomposition/disproportionation, can be

- **30** used. The catalyst particles used in the present invention can comprise for example various metal and/or non-metal materials. Preferred catalyst particle comprises ^a metal, preferably a transition metal and/or a combination of metals and/or transition
- **35** metals. Preferably the catalyst particle comprises iron, cobalt, nickel, chromium, molybdenum, palladium and/or any other similar element. Said catalyst

particles can be formed from a chemical precursor, for example ferrocene, for example by thermal decomposition of ferrocene vapor. The catalyst particles can be produced by heating ^a metal or metal containing substance.

Said catalyst particles/catalyst precursors can be introduced to the reactor at a rate of $10 -$ 10000 ccm, preferably ⁵⁰ - 1000 ccm, for example about 100 ccm.

- **10** The catalyst particles used in the method according to the present invention can be produced by various methods. Examples of such methods comprise, for example, chemical vapor decomposition of catalyst precursor, physical vapor nucleation, or the catalyst
- **15** particles can, for example, be produced of droplets made by electrospray, ultrasonic atomization, air atomization and the like from, for example, metal salt solutions, as well as colloidal metal nanoparticle solutions, or thermal drying and decomposition and/or
- **20 25** by using any other applicable methods and/or processes and/or materials. Any other procedures for the production of the particles, for example, adiabatic expansion in a nozzle, arc discharge and/or electrospray system can be used for the formation of catalyst particles. ^A hot wire generator can be used for the production of catalyst particles. Other means of heating and/or vaporizing a metal containing mass so as to generate a metal vapor are possible according to the invention.
- **30 35** Catalyst particles can also be synthesized in advance and then introduced into the reactor. However, generally, particles of the size range needed for CBFFCNT production are difficult to handle and/or store and thus it is preferable to produce them in the vicinity of the reactor as an integrated step in the
	- production process.

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Aerosol and/or surface supported catalyst particles can be used in the production of said carbon nanotubes. Catalyst particle precursors can be used for the production of catalyst particles.

10 For substrate supported production of carbon nanotubes according to the present invention, catalyst particles can be produced directly on the substrate and/or deposited from the gas phase by diffusion, thermophoresis, electrophoresis, inertial impaction and/or by any other means.

15 For the chemical method of catalyst particle production, metalorganic, organometallic and/or inorganic compounds, for example, metallocene, carbonyl, chelate compounds and/or any other suitable compounds can be used as catalyst precursors.

For the physical method of catalyst particle production, for example pure metals or their alloys can be evaporated by using various energy sources such as resistive, inductive, plasma, conductive or

20 25 radiative heating or chemical reaction (wherein the concentration of produced catalyst vapor is below the level needed for nucleation at the location of release) and subsequently nucleated, condensed and/or coagulated from supersaturated vapor. Means of creating supersaturated vapor leading to the formation of catalyst particles in the physical method include

30 gas cooling by convective, conductive and/or radiative heat transfer around, for example, a resistively heated wire and/or adiabatic expansion in, for example, a nozzle.

For the thermal decomposition method of catalyst particle production, for example inorganic salts can be used, such as nitrates, carbonates, chlorides and/or fluorides of various metals and/or any other suitable materials.

The method of the present invention may further comprise the step of introducing one or more additional reagents. Said additional reagents can be used to promote the formation of carbon nanotubes, to vary the rate of carbon source decomposition, to react with amorphous carbon during and/or after the

- **⁵** production of said carbon nanotubes and/or to react with said carbon nanotubes, for example, to purify, to dope and/or to further functionalize the carbon nanotubes. Additional reagents can be used according to the present invention for participation in the
- **¹⁰** chemical reaction with catalyst particle precursor, with catalyst particles, with carbon source, with amorphous carbon and/or with a carbon nanotube having thereto covalently bonded one or more fullerenes and/or fullerene based molecules. The one or more **¹⁵** additional reagents can be introduced together with the carbon source or separately.

As ^a promoter, i.e. an additional reagent, for CBFFCNT formation according to the present invention additional reagents such as sulphur, **²⁰** phosphorus and/or nitrogen elements and/or their compounds, such as thiophene, PH_3 , NH_3 , can be used. Additional promoter reagents can be selected from H_2O , CO2, NO and/or any other suitable elements and/or compounds.

²⁵ Purification processes may in some cases be needed to remove, for example, undesirable amorphous carbon coatings and/or catalyst particles encapsulated in CBFFCNTs. In the present invention it is possible to have one or more separated heated reactors/reactor **³⁰** sections, where one reactor or section of the reactor is used to produce CBFFCNTs and the other(s) is(are) used, for example, for purification, further functionalization and/or doping. It is also possible

³⁵ As a chemical for amorphous carbon removal, any compounds, their derivatives and/or their decomposition products formed in *situ* in the reactor,

to combine these steps.

which preferably react with amorphous carbon rather than with graphitized carbon, can be used. As an example of such reagent, one or more alcohols, ketones, organic and/or inorganic acids can be used. ⁵ Additionally, oxidizing agents such as ^H2O, CO² and/or NO can be used. Other additional reagents are also

possible according to the present invention. In one embodiment of the present invention

the one or more additional reagents can be used to ¹⁰ further functionalize the CBFFCNTs. Chemical groups and/or nanoparticles attached to CBFFCNTs alter the properties of the produced CBFFCNTs. As an example, the doping of CBFFCNTs by boron, nitrogen, lithium, sodium, and/or potassium elements leads to the change ¹⁵ of the conductivity of CBFFCNTs, namely, to obtain CBFFCNTs possessing superconductive properties. Functionalization of carbon nanotubes with fullerenes allows further functionalization of the carbon nanotubes via the attached fullerenes. In the present

²⁰ invention, the in *situ* functionalization and/or doping can be achieved via the introduction of appropriate reagent before, during and/or after CBFFCNT formation.

In one embodiment of the present invention the one or more additional reagents can also behave as ²⁵ a carbon source, a carrier gas and/or ^a catalyst particle source.

In one embodiment of the present invention the method further comprises the step of introducing one or more additives into the reactor to produce a ³⁰ fullerene functionalized carbon nanotube composite material. One or more additives can be used according to the present invention for example for coating and/or mixing with the produced CBFFCNTs to create CBFFCNT composites. The purpose of the additives are,

³⁵ for example, to increase the catalytic efficiency of CBFFCNTs deposited in a matrix and/or to control matrix properties such as hardness, stiffness,

chemical reactivity, optical characteristics and/or thermal and/or electrical conductivity and/or expansion coefficient. As a coating or aerosolized particle additive for CBFFCNT composite materials,

- **5** preferably one or more metal containing and/or organic materials such as polymers and/or ceramics, solvents and/or aerosols thereof can be used. Any other suitable additives can also be used according to the present invention. The resulting composite can be, for
- **10 15** example, directly collected, deposited in a matrix and/or deposited on a surface. This can be done by electrical, thermophoretic, inertial, diffusional, turbophoretic, gravitational and/or other suitable forces to form, for example, thick or thin films, lines, structures and/or layered materials. CBFFCNTs can be coated with one or more additive solids or liquids and/or solid or liquid particles to constitute
	- a CBFFCNT composite.
	- **20 25** Said additives can be deposited as a surface coating on the CBFFCNTs through, for example, condensation of supersaturated vapor, chemical reaction with previously deposited layers, doping agents and/or functional groups and/or by other means or, in the case that the additive is a particle, mixed and agglomorated in the gas phase. Additionally, gas

and particle deposition on CBFFCNTs can be combined. In one embodiment of the present invention one or more carrier gases can be used for introduction of the above mentioned materials into the reactor if

30 needed. Carrier gases can also, if desired, act as carbon sources, catalyst particle sources, reagent sources and/or additional reagent sources.

35 In one embodiment of the present invention the method further comprises the step of collecting the produced one or more fullerene functionalized carbon nanotubes and/or fullerene functionalized carbon nanotube composite material in a solid, liquid

or gaa dispersion, a solid structure, a powder, a paste, a colloidal suspension and/or as a surface deposition.

In one embodiment of the present invention ⁵ the method further comprises the step of depositing a dispersion, for example a gas dispersion, of produced fullerene functionalized carbon nanotubes and/or fullerene functionalized carbon nanotube composite material onto a surface and/or into a matrix and/or a 10 layered structure and/or a device.

Controlled deposition of synthesized materials can be achieved by various means including, but not limited to, inertial impaction, thermophoresis and/or migration in an electrical field to form ¹⁵ desired geometries (e.g. lines, dots, films or three-

- dimensional structures) with desired properties such as electrical and/or thermal conductivity, opacity and/or mechanical strength, hardness and/or ductility. Means to achieve controlled deposition of synthesized
- 20 materials further include, but are not limited to gravitational settling, fiber and barrier filtration, inertial impaction, thermophoresis and/or migration in an electrical field to form desired geometries (e.g. lines, dots or films) with desired properties such as
- 25 electrical and/or thermal conductivity, opacity and/or mechanical strength, hardness and/or ductility.

An apparatus for producing one or more fullerene functionalized carbon nanotubes is disclosed herein. The apparatus comprises a reactor for heating

- 30 one or more catalyst particles, carbon sources and/or reagents to produce one or more carbon nanotubes comprising one or more fullerenes and/or fullerene based molecules covalently bonded to the one or more carbon nanotubes .
- 35 The apparatus can further comprise one or more of the following; means for producing catalyst particles; means for introducing one or more catalyst

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particles; means for introducing one or more catalyst particle precursors, means for introducing one or more carbon sources; means for introducing one or more carbon source precursors; means for introducing one or ⁵ more reagents; means for introducing one or more reagent precursors; means for introducing one or more additional reagents; means for introducing one or more additives; means for collecting the produced one or more fullerene functionalized carbon nanotubes and/or

- dispersion, for produced fullerene 10 fullerene functionalized carbon nanotube composite material; means for depositing example a gas dispersion, of functionalized carbon nanotubes and/or carbon nanotube composite material; means for supplying energy to said
- ¹⁵ means for producing catalyst particles and/or to the reactor. Said means used for introducing the above different materials for example into the reactor and/or into any other part of the apparatus, can comprise for example one and the same means or 20 different means. For example, in one embodiment of the present invention one or more carbon sources and reagents are introduced into the reactor by using the one and the same means. Further, apparatus can comprise mixing means if needed, within the the
- 25 reactor.

The apparatus disclosed herein can comprise more reactors, which can allow continuous batch production of CBFFCNTs, further functionalized CBFFCNTs, doped CBFFCNTs and/or 30 composites thereof. The reactors can be configured in series and/or parallel to achieve various final one or and/or

- compositions. Additionally said reactors can be operated in full or partial batch procedures.
- The reactor can comprise, for example, a tube 35 comprising, for example, ceramic material, iron, stainless steel and/or any other suitable material. The reactor

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surfaces can be comprised of material which catalytically produces the one or more reagents needed for the production of CBFFCNTs from one or more reagent precursors introduced, for example upstream, 5 in the reactor.

In one embodiment the inner diameter of said tube can be, for example, 0,1 - 200 cm, preferably 1,5 ³ cm, and the length of said tube can be, for example, 1 - 2000 cm, preferably 25 - 200 cm. Any 10 other dimensions for, for example, industrial applications, are also applicable.

When using the apparatus, then the operating pressure in the reactor can be, for example 0,1 - 10 atm, preferably 0,5 *- 2* atm, for example about 1 atm. 15 Further, the temperature in the reactor can be, 250 -2500 °C, for example 600 - 1000 °C.

The means for producing catalyst particles can comprise for example a pre-reactor. Said means can comprise, for example, a hot wire generator. The 20 apparatus can further comprise any other suitable

- means for producing said catalyst particles. Said means can be separated in space from the reactor or it can be an integrated part of the reactor. When using the apparatus then the means for producing catalyst
- 25 particles can be located, for example, where the reactor temperature is between 250 - 2500 °C, preferably 350 - 900 °C.

In one preferred embodiment the flow through, for example, the pre-reactor, for example the hot wire 30 generator, is preferably a mixture of hydrogen and nitrogen, where the fraction of hydrogen is preferably between 1 % and 99 % and more preferably between ⁵ and 50 % and most preferably approximately 7 %. The flow rate through, for example, a hot wire generator can be 35 ¹ - 10000 ccm, preferably 250 - 600 ccm.

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Various energy sources can be used, for example, to promote and/or impede, for example, chemical reactions and/or CBFFCNT synthesis. Examples include, but are not limited to, resistively, ⁵ conductively, radiatively and/or nuclear and/or chemical reactively heated reactors and/or prereactors. Other energy sources can be applied to the reactor and/or pre-reactor, for example, radiofrequency, microwave, acoustic, laser induction 10 heating and/or some other energy source such as chemical reaction can be used.

The produced one or more fullerene functionalised carbon nanotubes having one or more fullerenes and/or fullerene based molecules thereto ¹⁵ attached by covalent bonds can be used in the preparation of various materials and/or structures.

The present invention relates further to a functional material that is made using the one or more fullerene functionalized carbon nanotubes according to 20 the present invention.

The present invention relates further to a thick or thin film, a line, a wire or a layered or three dimensional structure that is made using said one or more fullerene functionalized carbon nanotubes 25 and/or said functional material.

Further the present invention relates to a device that is made by using one or more fullerene functionalized carbon nanotubes, said functional material and/or said thick or thin film, line, wire or 30 layered or three dimensional structure. Said device can comprise an electrical device, electrochemical device, an analytical device, a polymer based device, a medical device, a lighting device and/or any other device, in which preparation the fullerene 35 functionalized carbon nanotubes and/or materials thereof according to the present invention can be used. Said device can comprise for example an

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electrode of a capacitor, a fuel cell or battery, a heat sink or heat spreader, a metal-matrix composite or polymer-matrix composite in a printed circuit, a transistor, a light source, a carrier for drug 5 molecules, a molecule or cell tracer, or electron

emitter in a field emission or backlight display and/or any other device in the preparation of which carbon nanotubes can be used.

The above materials and/or structures can be 10 usable for example in the following applications: Electronics such as carbon nanotube interconnects: CNTs for on-chip interconnect applications, fieldemission devices, field-effect transistors, logic gates, diodes, inverters, probes; electrochemical 15 devices such as supercapacitors, hydrogen storage (e.g. fuel cells); analytical applications such as gas sensors, CNTs as electrode materials and/or modifiers

- for analytical voltammetry, biosensors; chromatographic applications; mechanical applications 20 such as conducting composites for antistatic shielding, transparent conductor, shielding of electromagnetic interference, electron guns for
- microscopes, field emission cathodes in microwave amplifiers, field emission displays, supercapacitors, 25 gas storage, field-effect transistors, nanotube electromechanical actuators, electrodes in lithium batteries, NT-based lamps, nanosensors, thin film polymeric solar cells, fuel cells, ultracapacitors,
- thermionic power supplies. 30 The present invention discloses a new material to be used in various applications. The advantage of the present invention is that this new fullerene functionalized carbon nanotube material
- allows direct manipulation of carbon nanotube ³⁵ properties. A further advantage is that CBFFCNTs also offer a unique route to further functionalize carbon nanotubes .

The covalently bonded fullerenefunctionalized carbon nanotubes open new avenues to control the morphology and/or properties of carbon nanostructures in a one-step process. The method

- **⁵** according to the present invention allows all or part of the processes of synthesis of CBFFCNTs, their purification, doping, functionalization, further functionalization, coating, mixing and/or deposition to be combined in one continuous procedure. Further
- **¹⁰** advantage is that the catalyst synthesis, the CBFFCNT synthesis, and their functionalization, doping, coating, mixing and deposition can be separately controlled.

Further, for example, due to the charge **¹⁵** transport between carbon nanotubes and fullerenes, electrical and/or optical properties of the material can be modified. For example a considerable enhancement in cold electron field emission have been measured from fullerene-functionalized carbon **²⁰** nanotubes. Further, the presence of attached fullerene molecules can be used as molecular anchors to prevent

slipping of CNTs in composites, thus, improving their mechanical properties.

Further, the ability to directly synthesise **²⁵** CNTs having distinct regions with different electronic properties is an major advantage for many applications including, for example, memory devices, decoders and tunable quantum dots.

Further advantage is that the method **³⁰** according to the present invention can be used for continuous or batch production of CBFFCNT composites, wherein an additional flow of additive coating material or aerosolized particles are introduced into the CBFFCNT aerosol flow to create a complete **³⁵** material.

LIST OF FIGURES

In the following section, the invention will be described in detail by means of embodiment examples with reference to accompanying drawings, in which

5 Figure ¹ shows a) a schematic representation of covalently bonded fullerene-functionalized carbon nanotube depicting covalent bonding and b) - e) low, intermediate and high resolution images of examples of CBFFCNTs;

10 Figure ² shows a block diagram of an arrangement for the method for production of CBFFCNTs, CBFFCNT composites, structures and devices;

Figure ³ shows preferred embodiments of the invention for aerosol production of CBFFCNTs, where the catalyst particles are formed by decomposing one or more catalyst particle precursors (a), where the catalyst particles are formed by a physical vapor

nucleation method from a hot wire generator (b) separated in space from the reactor and (c) smoothly integrated with the reactor;

20 Figure 4: Number size distribution of fullerenes measured from HR-TEM images;

Figure 5: EELS spectra of different parts of CBFFCNTs showing the presence of oxygen in the covalent bond between CNTs and fullerenes;

25 Figure 6: Comparison of ultraviolet-visible absorption spectra of CBFFCNTs and C_{60} and C_{70} standards;

30 Figure 7: Comparison of Raman spectroscopy measurements of the samples carried out by using red (633 nm) blue (488 nm) lasers of samples prepared with high (lines ¹ and 2) and low (lines ³ and 4) concentrations of functionalizing fullerenes. Inset shows details of the shift in the fullerene signal marked with arrows;

35 Figure 8: MALDI-TOF spectrum, averaged over several solvents, evidencing the presence of $C_{60}H_2$ and

15

^C42COO as well as other fullerenes containing ^O and/or ^H atoms in the bridging groups;

5 Figure 9: FT-IR spectra of CBFFCNTs demonstrating the presence of ethers (C-O-C) and esters (CO-O-C) in the sample;

Figure 10: Field emission properties of CBFFCNTs (synthesized in the ferrocene reactor without water vapour added) and CBFFCNTs (synthesized in the presence of 100 and 150 ppm of added water vapour) :

10 (a) Averaged current density against the electric field strength; (b) Fowler-Nordheim plot for the investigated samples; (c) Temporal behavior of the electron current at different field strengths;

15 Figure 11: TEM image of CBFFCNTs produced through an aerosol Iron-octanol-thiophene system (t_{furn} = 1200 °C, flow through bubbler Q_{CO} = 400 ccm and through an aerosol HWG $Q_{N2/H2} = 400$ ccm);

20 Figure 12: FT-IR spectra obtained at the conditions of CNT synthesis in the aerosol HWG method: gas composition: $CO₂ - 120$ ppm, $H₂O - 10$ ppm showing the *in situ* production of reagents on the reactor wall;

25 Figure 13: TEM image of CBFFCNTs from *in situ* aerosol HWG and CO as carbon source, H_2/N_2 (7/93) mixture through HWG, t_{set} = 1000 °C and EELS measurements showing the presence of oxygen in the covalent bond between CNTs and fullerenes;

30 Figure 14: TEM image of CBFFCNTs from *in* $situ$ aerosol HWG and CO as carbon source, H_2/N_2 $(0.07/99.93)$ mixture through HWG, t_{set} = 900 °C and EELS measurements showing the presence of oxygen in the covalent bond between CNTs and fullerenes;

35 Figure 15: EELS spectra proving the presence of oxygen in the covalently bonded CBFFCNTs produced as an aerosol. H_2/N_2 (0.07/99.93) mixture through HWG, in the presence of water of 150 ppm, $t_{\text{set}} = 900 \text{ °C}$; and

Figure 16: shows examples of bonding structures of fullerenes on nanotubes: (a) Equilibrium structure of C_{42} connected with a CNT via ester group. (b) Equilibrium structure of C_{60} weakly covalent bonded

⁵ defect-free (8,8) CNT; (c) Equilibrium structure of a C_{60} weakly covalently bonded above a di-vacancy on a CNT; (d) and (e) Fullerene-molecules, reminiscent of buds, covalently attached to a CNT.

10 DETAILED DESCRIPTION OF THE INVENTION

Figure la is a diagram of the structure of the new composition of matter (CBFFCNTs) showing the covalent bonding of fullerenes to CNTs. Figures lb le are TEM images of the new CBFFCNT material, wherein **¹⁵** one or more fullerenes are covalently bonded to the outer surface of CNTs.

Figure ² shows a block diagram of one embodiment of the method according to the present invention for CBFFCNT production. The first step of **²⁰** the method is to obtain aerosolized or substrate supported catalyst particles from a catalyst particle source. These particles can be produced as part of the process or can come from an existing source. In the reactor, the catalyst particles are heated together ²⁵ with one or more carbon sources and with one or more

- reagents. The carbon source catalytically decomposes on the surface of catalyst particles together with the reagents to form CBFFCNTs. During and/or after the formation of CBFFCNTs, the entire product or some
- ³⁰ sampled portion of the product can be selected for further processing steps such as further functionalization, purification, doping, coating and/or mixing. All or a sampled part of the resulting CBFFCNT product can then be collected directly, or
- ³⁵ incorporated into a functional product material which can further be incorporated in devices.

Figure 3(a) shows one embodiment of the method to realize the present invention for the continuous production of CBFFCNTs wherein catalyst particles are grown *in situ* via decomposition of ^a ⁵ catalyst particle precursor. The precursor is introduced from source (4) via carrier gas from ^a

reservoir (2) into the reactor (6). Subsequently, the flow containing the catalyst particle precursor is introduced into the high temperature zone of the ¹⁰ reactor (6) through a probe (5) and mixed with additional carbon source flow (1). One or more reagents for CBFFCNT-growth are supplied from reservoir (3) and/or produced catalytically on the reactor wall (7) if the wall is composed of ^a suitable ¹⁵ material which, in combination with one or more carrier gases, precursors and/or carbon sources leads to the catalytic production of suitable reagents.

Figure 3(b) shows one embodiment of the method according to the present invention for ²⁰ continuous production of CBFFCNTs, where the catalyst particles are formed by the physical vapor nucleation method from a hot wire generator (HWG) (9) separated in space from the reactor used for the production of one or more CBFFCNTs. In said embodiment, a carbon ²⁵ source and reagents are supplied by a carrier gas passing through a saturator (8). The saturator can also be used to introduce additional reagents for CBFFCNT doping, purification and/or further

functionalization. The reagent for CBFFCNT growth can ³⁰ also be produced catalytically on the reactor wall (7) if the wall is composed of ^a suitable material which, in combination with one or more carrier gases, precursors and/or carbon sources leads to the catalytic production of suitable reagents. Another ³⁵ carrier gas is supplied from a carrier gas reservoir (2) to the HWG (9), which is operated with the help of an electric power supply (10). As the carrier gas passes over the heated wire, it is saturated by the wire material vapor. After passing the hot region of the HWG, the vapor becomes supersaturated, which leads to the formation of particles due to the vapor

5 **10** nucleation and subsequent vapor condensation and cluster coagulation. Inside the CBFFCNT reactor (6) or before, when needed, the two separate flows containing the catalyst particles and the carbon source and reagent(s) are mixed and subsequently heated to the reactor temperature. The carbon source can be introduced through the HWG if it does not react with the wire. Other configurations are possible according to the invention.

15 In order to avoid diffusion losses of the catalyst particles and to better control their size, the distance between the HWG and the location where the formation of CBFFCNT occurs, can be adjusted.

20 Figure 3(c) shows one embodiment of the method according to the present invention, wherein the catalyst particles are formed by ^a physical vapor nucleation method from a hot wire generator smoothly integrated with the reactor. Here, the HWG is located inside the first section of the reactor.

25 Example 1: CBFFCNT synthesis from carbon monoxide as carbon source using ferrocene as catalyst particle source and water vapor and/or carbon dioxide as reagent(s).

Carbon source: CO.

30

Catalyst particle source: ferrocene (partial vapor pressure in the reactor of 0.7 Pa).

Operating furnace temperatures: 800, 1000, and 1150 °C.

35 Operating flow rates: CO inner flow (containing ferrocene vapor) of 300 ccm and CO outer flow of 100 ccm.

Reagent: water vapor at 150 and 270 ppm and/or carbon dioxide at 1500 - 12000 ppm.

This example was carried out in the embodiment of the present invention shown in Figure ⁵ 3(a). In this embodiment, catalyst particles were grown *in situ* via ferrocene vapor decomposition. The precursor was vaporized by passing room temperature CO from a gas cylinder (2) (with a flow rate of 300 ccm) through ^a cartridge (4) filled with the ferrocene ¹⁰ powder. Subsequently, the flow containing ferrocene vapour was introduced into the high temperature zone of the ceramic tube reactor through a water-cooling

flow rate of ¹⁰⁰ ccm. ¹⁵ Oxidation etching agents, for example water and/or carbon dioxide, were introduced together with the carbon source.

probe (5) and mixed with additional CO flow (1) with a

The partial vapour pressure of ferrocene in the reactor was maintained at 0.7 Pa. The reactor wall ²⁰ set temperature was varied from 800 °C to 1150 °C.

The aerosol product was collected downstream of the reactor either on silver disk filters or on transmission electron microscopy (TEM) grids.

²⁵ Example 2: CBFFCNT synthesis from ^a plurality of carbon sources and reagents and using hot wire generator as catalyst particle source

Carbon source: CO, thiophene and octanol.

Catalyst particle source: hot wire generator.

³⁰ Catalyst material: iron wire of 0.25 mm in diameter.

Operating flow rates: CO flow of ⁴⁰⁰ ccm through thiophene-octanol (0.5/99.5) solution and hydrogen/nitrogen (7/93) flow of 400 ccm through the **35** HWG.

> Reagent: H_2 , octanol and thiophene. Operating furnace temperature: 1200 °C.

This example illustrating the synthesis of CBFFCNTs was carried out in the embodiment of the present invention shown in Figure 3(b). Catalyst particles were produced by vaporizing from ^a

- **5** resistively heated iron wire and subsequent cooling in a H_2/N_2 flow. Next the particles were introduced into the reactor. Octanol and thiophene vapor was used as both carbon sources and reagents and were introduced via a saturator (6). Partial pressures for the octanol
- **10** and thiophene vapours were 9.0 and 70.8 Pa, respectively. Carbon monoxide was used as a carrier gas, carbon source and reagent precursor and was saturated by passing it through the octanol-thiophene solution at the flow rate of Q_{CO} = 400 ccm at room
- 15 **20** temperature. The reactor walls, saturated with iron, also served as a reagent precursor since $CO₂$ (about 100 ppm) and water vapor (about 30 ppm) were formed on the walls of the reactor in the heating zone. The products formed with octanol-thiophene in CO are shown in Figure ¹¹ clearly demonstrating the coating of CNTs

with fullerenes.

25 Example 3: CBFFCNT synthesis from carbon monoxide as carbon source using hot wire generator as catalyst particle source and reagent introduced or formed on the walls of the reactor

Reactor tube: stainless steel with ^a composition of Fe 53, Ni 20, Cr 25, Mn 1.6, Si, ^C 0.05 weight %.

Carbon source: CO.

30

Catalyst particle source: hot wire generator.

Catalyst material: iron wire of 0.25 mm in diameter.

Operating furnace temperature: 928 °C.

35 Operating flow rates: CO outer flow of 400 ccm and hydrogen/nitrogen (7/93) inner flow of 400 ccm.

Reagents: H_2 , CO₂ and H₂O formed on the reactor walls.

This example illustrating the synthesis of CBFFCNTs was carried out in the embodiment of the present invention shown in Figure 3(c), wherein CO was used as both a carbon source and a reagent precursor.

- ⁵ The reactor walls, composed of mostly iron, also served as a reagent precursor since $CO₂$ and water vapor were formed on the walls of the reactor in the heating zone. Figure ¹² shows typical FT-IR spectra obtained at the conditions of CBFFCNTs growth at reactor
- ¹⁰ temperatures of 924 °C. The main gaseous products were H₂O and CO₂ with concentrations of 120 and 1540 ppm. It was experimentally found that the effluent composition did not change considerably when the iron particle source was turned off, i.e. when the current through 15 the HWG was off. Accordingly, $CO₂$ and $H₂O$ formed at the
- reactor walls. Figures 13-15 are examples of CBFFCNTs and their EELS spectra showing the presence of oxygen in covalent bonds between the CNT and fullerene and/or fullerene based molecule.
- 20

Example 4: Effect of reagents and temperature

This example illustrating the effect of the reagents and/or the temperature on the amount of ²⁵ fullerenes and/or fullerene based molecules formed on the carbon nanotube was carried out using a ferrocene reactor and water vapor and carbon dioxide as reagents. It was found out that the optimal reagent concentrations were between 45 and 245 ppm, preferably ³⁰ between 125 and 185 ppm, for water and between 2000 and 6000 ppm, preferably about 2500 ppm, for carbon dioxide with the highest fullerene density above ¹

fullerene/nm.

When almost no water vapor was used then the ³⁵ carbon nanotubes contained only a small number of fullerenes and/or fullerene based molecules. Further, it was noticed that when using high concentrations of water vapor (> 365 ppm) or carbon dioxide (> 6250 ppm) , the main product contained only few fullerenefunctionalized carbon nanotubes.

Further the effect of the reactor ⁵ temperature on the product was studied with 145 ppm water vapor introduced in the reactor. At temperatures ¹¹⁰⁰ and 1150 °C only particles were produced. The maximum fullerene coverage was found at 1000 °C and the amount of fullerenes decreased with decreasing ¹⁰ temperature down to 800 °C.

Results

Figure ¹ shows the typical material produced with the method according to the present invention. ¹⁵ HR-TEM images revealed that the coating comprised fullerenes. Their spherical nature has been confirmed by tilting the samples. Statistical measurements performed on the basis of HR-TEM images revealed that the majority of bonded fullerenes comprises C_{42} and C_{60}

20 (Fig. 4). Importantly, a substantial fraction is C_{20} fullerenes, the smallest possible dodecahedra. Such structures have never been seen in samples produced by prior art fullerene production methods.

Electron Dispersive X-ray Spectroscopy (EDX) ²⁵ and Electron Energy Loss Spectroscopy (EELS) measurements revealed the presence of oxygen in fullerene-functionalized CNT structures. The chemical elemental analysis of the as-produced sample of fullerene-functionalized CNTs was carried out with a

- ³⁰ field emission transmission electron microscope (Philips CM200 FEG). EELS spectra of the sample synthesized by using pure hydrogen gas through the HWG are shown in Fig. 5. One can see the presence of oxygen in the fullerene-functionalized CNTs indicating
- ³⁵ a covalent bond via oxygen and/or oxygen containing bridges.

5 10 15 20 For an independent characterization of the structures in question, Matrix-Assisted Laser Desorption Ionization Time-of-Flight (MALDI-TOF) mass spectrometric, Ultraviolet-visible (UV-vis) absorption, Fourier Transform Infrared (FT-IR) and Raman spectroscopic measurements on the samples were performed. The UV-vis absorption spectra of a sample in n-hexane are consistent with the presence of both nanotubes and fullerenes (Fig. 6) . The characteristic ripple structure at wavelengths above ⁶⁰⁰ nm is due to van Hove singularities known for CNTs. In addition to characteristic C_{60} fullerene peaks (e.g., a weak peak at 256 nm) , other bands at 219, 279 and ³¹⁴ nm appeared shifted or different from 212 and 335 nm fullerene peaks. That can be explained by the presence of various fullerenes as well as strong asymmetry induced by covalent attachment to the nanotube. This asymmetry may remove degeneracy of the electron spectrum to reveal additional bands, i.e. the broadening of existing peaks or the appearance of new ones.

Since fullerenes are located on the surfaces of CNTs, the fullerene Raman scattering may be similar to surface enhanced Raman scattering (SERS), where metallic CNTs act as an enhancing substrate. The signal from fullerenes was strong for red laser (633 nm) irradiation (the red laser resonantly excites

mostly metallic CNTs) as compared to green (514 nm)

- **30** and blue (488nm) lasers for which the signal from exclusively semiconducting CNTs can be distinguished. FT, Raman (1064 nm) , though out of the metallic CNT resonance wavelength (therefore only a small fraction of sufficiently thick metallic CNTs can respond), still retains very weak fullerene feature at 1400 cm^{-1}
- **35** between the D- and G-bands along with a strong fullerene feature from the $H_{q}(1)$ mode at 265 cm⁻¹. This may occur because the enhancement factor for SERS

increases with the wavelength even though the signal itself decreases. Raman spectra of the studied structures show a pronounced G-band at 1600 cm^{-1} , associated with CNTs, and a weak dispersive D-band at

- **5** 1320-1350 cm⁻¹, depending on the excitation energy. In addition, characteristic features at 1400 cm⁻¹ and 1370 cm⁻¹, may be associated with fullerenes even though they are considerably shifted compared to the 1469 cm'¹ peak of the $A_g(2)$ pentagonal mode and 1427 cm^{-1} peak of
- 10

- **15** the first-order Raman $H_{q}(2)$ mode for pure C₆₀. In the case of C_{60} modified CNTs of one prior art there was almost no shift in the fullerene signal, which demonstrates that simple mechanical milling of fullerenes with CNTs produces structures fundamentally different from those described in this patent application. Such a dramatic softening of the $A_{\alpha}(2)$ and $H_{q}(2)$ modes may correlate with the reconstruction in the electron spectra found in UV due to strong interaction with the CNTs.
- 20 **25** Importantly, the Raman spectrum of $\texttt{C}_{60}\texttt{-CNT}$ nanocomposites produced by the prior art mechanical milling of fullerenes with CNTs did not show a similar shift in the position of the C_{60} peak indicating the difference between the compared fundamental structures.

30 35 The MALDI-TOF spectrum obtained from the sample with dichloromethane as a matrix (Fig. 8) shows peaks of fullerene-functionalized CNT different ionized and hydrogenated fullerenes containing up to three oxygen atoms. The main MALDI-TOF spectrum peaks are attributed to C_{60} ($C_{60}H_2$, $C_{60}H_2O$) and C_{42} (C_{42} COO). Therefore on the basis of the MALDI-TOF measurements one can see that fullerenes are attached to CNTs via either ether (preferable for fullerenes larger than C_{54}) or ester (for smaller fullerenes) bridges. In order to confirm this, FT-IR measurements were performed (Fig.9). One can see from the presence of both ether and ester groups in the samples.

5 10 In order to confirm that the fullerenes observed on the CNTs are covalently bonded, it was attempted both to evaporate and to dissolve the attached fullerenes. The presence of fullerenes on the tubes after the heat and solvent treatments would indicate the covalent nature of the attachment between the fullerenes and CNTs. Thermal treatment of the samples in inert helium or argon/hydrogen atmospheres showed no changes in the observed fullerene-CNT structures. ^A careful washing of the FFCNTs in different solvents (hexane, toluene and decaline) did not result in any significant alteration of the

- 15 examined samples. Moreover, a mass-spectrometric investigation of the solvent after the CNT washing did not reveal the presence of any dissolved fullerenes further supporting the conclusion that the fullerenes were covalently bonded to the nanotubes.
- 20 Our atomistic density-functional-theory based calculations showed that systems composed of fullerenes covalently bonded through ester groups with single vacancy nanotubes can exist, although the assumed configurations are metastable with respect to
- 25 fullerenes (Fig. 16a). Calculations with a model Hamiltonian that has been successfully applied to forming perfect tubes together with oxidized describe the formation of peapods and the melting of fullerenes showed that, in addition to oxygen-based
- 30 35 bridges, i.e. oxygen containing bridging groups, some fullerenes are directly covalently bonded to CNTs or even make hybrid structures. Results for the different attachments of fullerenes on an (8, 8) nanotube are presented in Fig. 16b-e. One of the viable hybrid geometries involves imperfect fullerenes, for example
- hemisphere-like defective nanotubes. Such structuresbonded to covalently fullerenes, covalently

bonded, reminiscent of buds on a branch, are depicted in Figs. 16d and 16e and can be recognised in HR-TEM images. The local binding energies in these structures suggest that none of the atoms is less stable than those in a C_{60} molecule.

10 15 20 25 As for the mechanism of the hybrid material formation, HR-TEM observations suggest that both fullerenes and CNTs originate from graphitic carbon precipitated at the surface of, for example, Fe nanoparticles catalysing CO disproportionation. This is supported by Molecular Dynamics simulation results predicting that various carbon nanostructures are formed at the surface of such catalysts. One mechanism for single-walled CNT formation is at steady-state conditions wherein carbon continually precipitates to the catalyst particle surface to form an uninterrupted layer, partially covering the catalyst particle. The presence of heptagonal carbon rings in this layer is ^a prerequisite for the negative Gaussian curvature found at the location where the nanotube grows from the Fe nanoparticle. This negative curvature, together with instabilities in the forming carbon structure, induced by oxidation etching curling carbon layers, can cause a spontaneous restructuring of the incipient carbon sheet to form fullerenes.

30 35 The uniqueness of this method to produce fullerenes is strongly supported by two facts. First, although C₆₀ fullerene synthesis is typically not favoured in the presence of abundant hydrogen (since it can damage incipient cages), hydrogen can quickly terminate available dangling bonds and thus stabilise the smaller fullerenes. It is worth noting that hydrogen was either introduced or *in situ* formed in the described experimental setups. Second, the smallest C_{20} fullerenes have not been observed in conventional prior art processes, because, unlike C_{60} ,

they are not formed spontaneously in carbon condensation or cluster annealing processes.

5 Fullerene-functionalized CNTs are interesting for cold electron field emission (FE) due to the large number of highly curved surfaces acting as emission sites on conductive CNTs. In the material according to the present invention the fullerenes can act as electron emission sites and can lower the FE threshold voltage and increase the emission current.

10 This was confirmed by measuring the FE from a mat of in-plane deposited non-functionalized CNTs and fullerene-functionalized CNTs. The measurements were done using 450 pm and 675 pm spacer between the cathode and anode, and a ² mm hole. The averaged

- **15** current density versus the electric field is shown in Fig. 10a together with the results obtained from the best known field emitters. The FFCNTs demonstrate a low field threshold of about 0,65 V/pm and a high current density compared to non-functionalized CNTs.
- **20** Note that the non-functionalized CNTs synthesised at similar conditions but without adding etching agents had a field threshold for FE as high as ² V/pm. The Fowler-Nordheim plot in the inset of Fig. 10a has a characteristic knee at low currents that corresponds
- **25** to temporal current pulses which are a manifestation of the discrete nature of electron emission sites (see Fig. 10b). Research demonstrated similar FE performance from the as-produced CoMoCAT sample of single-walled CNTs.
- **30 35** The chemical nature of the bonding between CNTs and fullerenes can also be confirmed by two additional experimental observations. First it is known that non-covalently attached fullerenes are highly mobile on the surface of CNTs under exposure to a TEM beam, while our TEM observations showed fullerenes to be stationary. Second, FE measurements demonstrated very stable and reproducible electron

emission from the CBFFCNT-samples, if the fullerenes were not strongly bonded to CNTs, the effect of their detachment would be experimentally observed as a change in the shape of the current via field strength ⁵ curve over time .

The invention is not limited merely to the embodiment examples referred to above; instead many modifications are possible within the scope of the 10 inventive idea defined by the claims.

In the claims which follow and in the preceding description of the invention, except where the context requires otherwise due to express language 15 or necessary implication, the word "comprise'' or variations such as "comprises" or "comprising" is used in an inclusive sense, i.e, to specify the presence of the stated features but not to preclude the presence or addition of further features in various embodiments 20 of the invention.

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THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:

1. Fullerene functionalized carbon nanotube, comprising one or more individual fullerenes and/or individual fullerene based molecules bonded to the carbon 5 nanotube, wherein the bond between said fullerenes and/or fullerene based molecules and said carbon nanotube is covalent and is formed on the outer and/or on the inner surface of the side wall of said carbon nanotube.

2. Fullerene functionalized carbon nanotube 10 according to claim 1, wherein the fullerene and/or fullerene based molecule comprises 20 - 1000 atoms.

3. Fullerene functionalized carbon nanotube according to claim 1 or 2, wherein the fullerene and/or fullerene based molecule are/is covalently bonded via one ¹⁵ or more bridging groups and/or are/is directly covalently

bonded.

4. Fullerene functionalized carbon nanotube according to claim 3, wherein the bridging group comprises oxygen, hydrogen, nitrogen, sulphur, an amino,

20 a thiol, an ether, an ester, a carboxylic group and/or a carbon-containing group.

5. Fullerene functionalized carbon nanotube according to claim 3, wherein the fullerene and/or fullerene based molecule are/is directly covalently 25 bonded through one or more carbon bonds.

6. Fullerene functionalized carbon nanotube according to any one of claims 1 to 5, wherein said carbon nanotube comprises a single, a double or a multiple walled carbon nanotube or a composite carbon 30 nanotube.

7. Fullerene functionalized carbon nanotube according to any one of claims 1 to 6, wherein said carbon nanotube is formulated in a solid, liquid and/or gas dispersion, a solid structure, a powder, a paste, a

35 colloidal suspension and/or is deposited on a surface and/or is synthesized on a surface.

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8. Fullerene functionalized carbon nanotube according to any one of claims ¹ to 7, wherein it is bonded through one or more fullerenes and/or fullerene based molecules to one or more carbon nanotubes and/or ⁵ fullerene functionalized carbon nanotubes .

9. A method for producing one or more fullerene functionalized carbon nanotubes according to any one of claims 1 to 8, wherein the method comprises :

10 bringing one or more catalyst particles and carbon sources and at least two reagents including CO₂ and H_2O , wherein the concentration of H_2O is between 45 and 245 ppm and the concentration of $CO₂$ is between 2000 and 6000 ppm, into contact with each other and ¹⁵ heating in a reactor at a temperature of 250 - 2500 °C to catalytically decompose the one or more carbon sources on the surface of the catalyst particles together with the reagents to produce one or more fullerene functionalized carbon nanotubes comprising 20 one or more individual fullerenes and/or individual fullerene based molecules covalently bonded to the outer and/or inner surface of the side wall of one or more carbon nanotubes, and collecting the produced one or more fullerene functionalized carbon nanotubes.

25 10. A method according to claim 9, wherein the carbon source is selected from a group, which consists of methane, ethane, propane, ethylene, acetylene, benzene, toluene, xylene, trimethylbenzene, methanol, ethanol, octanol, thiophene and carbon monoxide.

30 11. A method according to claim 9, wherein the reagent is an etching agent.

12. A method according to claim ⁹ or 11, wherein the reagent is selected from a group, which consists of hydrogen, nitrogen, water, carbon dioxide, 35 nitrous oxide, nitrogen dioxide, oxygen,

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ozone, carbon monoxide, octanol, thiophene and hydride.

13. A method according to claim 9, wherein the catalyst particle comprises a metal.

⁵ 14. A method according to claim ⁹ or 13, wherein the catalyst particle comprises iron, cobalt, nickel, chromium, molybdenum and/or palladium.

15. A method according to any one of claims 9, 13 or 14, wherein the catalyst particle is produced 10 using a chemical precursor and/or by heating a metal or metal containing substance.

16. A method according to any one of claims 9 to 15, wherein the amount of fullerene and/or fullerene based molecules produced on the carbon 15 nanotube is adjusted by adjusting the amount of one or more reagents used, by adjusting the heating temperature and/or by adjusting the residence time.

17. A method according to any one of claims ⁹ to 16, wherein the heating is performed at a 20 temperature of 600 - 1000 °C,

18. A method according to any one of claims ⁹ to 17, wherein the method further comprises the following step:

introducing one or more additional reagents.

²⁵ 19. A method according to any one of claims ⁹ to 18, wherein the method further comprises the following step:

introducing one or more additives to produce a fullerene functionalised carbon nanotube composite 30 material.

20. A method according to any one of claims ⁹ to 19, wherein the method further comprises the following step:

collecting the produced one or more fullerene 35 functionalized carbon nanotubes and/or the fullerene functionalized carbon nanotube composite material in a

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solid, $14quid$ and/or structure, a powder, a paste, a colloidal suspension and/or as a film and/or surface deposition. dispersion, a solid

5 21. A method according to any one of claims 9 to 20, wherein the method further comprises the following step:

depositing a dispersion of produced fullerene functionalized carbon nanotubes and/or fullerene functionalized carbon nanotube composite material onto a surface and/or into a matrix and/or a layered structure and/or a device.

22. A method according to any one of claims ⁹ to 21, wherein the fullerene functionalized carbon nanotubes are produced in a gas phase as an aerosol and/or on a substrate.

23. A functional material, wherein the material is made using one or more fullerene functionalized carbon nanotubes according to any of claims ¹ to S.

- 20 24, A thick or thin film, a line, a wire or a layered or three dimensional structure, wherein it is made using one or more fullerene functionalized carbon nanotubes according to any one of claims 1 to ⁸ or a functional material according to claim 23,
- 25 30 25, A device, wherein the device is made by using one or more fullerene functionalized carbon nanotubes according to any one of claims ¹ to ^B and/or a functional material according to claim 23 and/or a thick or thin film, a line, a wire or a layered or three dimensional structure according to claim 24.

26, A fullerene functionalized carbon nanotube according to claim 1 ; a functional material according to claim 23; a thick or thin film, a line, a wire, or a layered or three dimensional structure according to claim 24 ; a device according to claim 25 ; or a method according to claim 9; substantially as 35

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herein described with reference to any one of the examples or any one of the accompanying drawings.

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Fig. 2

Fig. 3b

Fig, 4

Fig. 5

Figure 6

Figure 8

Figure 9

Fig. 10b

Fig. 12

Fig. 13

Fig. 14

