



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification ⁵ : A61K 9/48</p>	<p>A1</p>	<p>(11) International Publication Number: WO 95/00125 (43) International Publication Date: 5 January 1995 (05.01.95)</p>
<p>(21) International Application Number: PCT/US94/06196 (22) International Filing Date: 1 June 1994 (01.06.94) (30) Priority Data: 08/080,851 18 June 1993 (18.06.93) US (60) Parent Application or Grant (63) Related by Continuation US 08/080,851 (CIP) Filed on 18 June 1993 (18.06.93) (71) Applicant (for all designated States except US): SMITHKLINE BEECHAM CORPORATION [US/US]; Corporate Intellectual Property, UW2220, 709 Swedeland Road, P.O. Box 1539, King of Prussia, PA 19406-0939 (US). (72) Inventors; and (75) Inventors/Applicants (for US only): ADUSUMILLI, Prasad, S. [US/US]; 4 Burlington Court, Edison, NJ 08820 (US). JAMES, Kenneth, W. [GB/US]; 3 Dawn Avenue, Randolph, NJ 07869 (US).</p>	<p>(74) Agents: KANAGY, James, M. et al.; SmithKline Beecham Corporation, Corporate Intellectual Property, UW2220, 709 Swedeland Road, P.O. Box 1539, King of Prussia, PA 19406-0939 (US). (81) Designated States: AU, BB, BG, BR, BY, CA, CN, CZ, FI, HU, JP, KP, KR, KZ, LK, MG, MN, MW, NO, NZ, PL, RO, RU, SD, SI, SK, UA, US, VN, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG). Published <i>With international search report.</i></p>	
<p>(54) Title: SOFT-SHELLED GELATIN ENCAPSULATED PARTICLES</p>		
<p>(57) Abstract</p> <p>This invention relates to a soft-shelled gelatin capsule which contains particles in a liquid vehicle.</p>		

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Soft-shelled Gelatin Encapsulated Particles

Background of the Invention

This invention relates to a soft-shelled gelatin capsule which contains particles in a liquid vehicle. The capsule fill is a combination of a pharmaceutically acceptable liquid and particles of appropriate size which are added to the liquid at a concentration such that while the liquid fills the internal capsule space, the particles do not to a degree that when the capsule is moved, the particles will shift position in the liquid. Such a presentation makes tampering more evident.

Introduction

Soft elastic capsules derived from liquid gelatin which has been plasticized with a polyol, or another plasticizers, have been used successfully for both oral and suppository drug presentation. These capsules are soft and have a globular, gelatin shell into which is filled a liquid, paste or powder. Capsules can be prepared in many forms, for example these capsules are produced commercially in round, oval, oblong, tube and suppository form. Commercial processes usually produce the capsule with a seam transcribing the long axis of the capsule. In oral dosage forms this seam is produced by a heat sealing process in such as way as to insure this seam is the point of opening and that this occurs rapidly in the stomach, i.e. in less than five minutes. Capsules for suppository use usually are formulated so that this seam breaks down in the presence of the moisture present in the body cavity. This form of drug delivery and the associated technology for manufacturing them is well documented and available from research and commercial sources.

This invention involves a modification to the soft gelatin capsule technology which employs a liquid-fill approach to drug delivery. More specifically the modification concerns the delivery of particles preferably in the form of small beadlets or pellets dispersed or suspended in a liquid and filled into a soft gelatin capsule where the liquid contains less than its full capacity of particles. For example, a vegetable oil is used as the liquid and particles comprising or containing a drug are introduced into this oil at a concentration such that when the particles settle, there remains a portion of the oil which does not contain particles.

Summary of the Invention

This invention comprises an improved gelatin capsule preparation wherein the improvement comprises filling a soft gelatin capsule with a mixture which comprises particles which contains at least one beneficial agent and a non-toxic liquid carrier which may contain a beneficial agent wherein the particles fill less than the internal volume of the capsule while the liquid/particle mixture fills the total internal volume of the capsule.

In a second aspect, this invention relates to a method for providing a tamper-evident soft gelatin capsule which method comprises filling a soft gelatin capsule with a mixture

comprising particles which contains at least one beneficial agent and a non-toxic liquid carrier which may contain a beneficial agent wherein the particles fill less than the internal volume of the capsule while the liquid/particle mixture fills the total internal volume of the capsule.

In another aspect, this invention comprises an article of manufacture which is a capsule having a soft, flexible gelatin skin and an internal fill which comprises a pharmaceutically acceptable liquid carrier which is compatible with the gelatin coating and which contains small drug-bearing particles which do not dissolve in the liquid the particles being present at no more than about ninety percent of the internal volume of the capsule, excluding the space between the particles.

10 Detailed Description of the Invention

In the broadest sense, this invention covers a soft gelatin capsule (SGC) which is filled with a liquid and insoluble particles, but the particles are not so numerous as to fill completely the capsule. This way when the capsule is tilted the particles move about inside the capsule; the capsule and liquid are formulated in such a manner that it is possible to observe the moving particles. Moving particles make it easier to detect capsules which have imperfections such as capsules where extraneous material has been introduced into the capsule or where fill has leaked out for some reason. This system is particularly useful for alerting the end user to the fact there may be some imperfection in the capsule, such as might occur when capsules are tampered with.

20 Three parts make up this capsule, the soft gelatin coating, a compatible non-toxic liquid for carrying the particles, and particles containing a beneficial agent, sized so that numerous particles fit in the finished product without interfering with their moving back and forth in the carrier/gelatin environment and which do not adhere to the capsule wall or do not coagulate in the carrier.

25 A preferred formulation comprises a soft gelatin shell containing a light oil, one with moderate viscosity, and rounded particles which do not dissolve in the oil or form aggregates and do not adhere to the gelatin capsule wall. Particles will be present in numbers such that a portion of the oil will be particle-free when the capsule is at rest. In other words, the capsule will have some head space which is filled with the suspending agent, oil in the preferred preparation, and the remainder of the capsule will contain particles. Obviously the particles, once mixed with the carrier, must still be visible to the naked eye. Likewise the finished SGC will be sufficiently translucent so as to allow the particles to be seen through the gelatin wall and the carrier in normal lighting conditions no matter how the capsule is oriented. Viscosity of the suspending agent will be such that the particles can move readily within the capsule when it is tipped or rolled out of its resting plane; it is envisioned that the capsule will be tipped or rolled a bit in order to cause the particles to move.

As regards the gelatin wall-forming materials, any materials known to the art may be used to form the shell. Such materials may contain cross-linking or polymerizing agents,

stabilizers, antioxidants, light absorbing agents for protecting light-sensitive fills, preservatives and the like. Soft gelatin capsule wall-forming materials are well documented in the literature and are well known to manufacturers and technicians alike. In addition, formulating and mixing ingredients in preparation for manufacturing SGCs may follow any
5 route or utilize any technique known to the art.

Any non-toxic liquid compatible with SGC technology and with the particles can be used herein. It must be flowable at or about ambient temperature to a degree which does not interfere with particle movement. And the density of the liquid must be something less than that of the density of the particles so that the particles will sink or flow within the liquid when
10 the capsule is tilted in normal use. Combinations of two or more liquids can be used; preferably they will be miscible liquids. These liquids must be translucent to a certain degree in order to provide for observing the suspended particles. Additives such as preservatives, coloring agents, stabilizers, UV absorbing agents, and the like may be incorporated into the vehicle, as per standard SGC technology. The liquid may contain an agent, in addition to the
15 one contained in the particles loaded into the capsule. Any such agent should be soluble in the liquid and should cause the liquid to become opaque.

Preferred liquids are oils or polyols, such as glycerin and its homologous polyhydric alcohols, and their esters, and polycarbonates or syrups. Waxes which are liquid at room temperature, e.g. Labrafac Lipophile, Labrafil M1944CS, Labrasol, Transcutol, Peceol, and
20 Plurol manufactured by Gatefossé, Elmsford, New York, USA; triethyl citrate, acetyl triethyl citrate, tri-n-butyl citrate, or acetyltri-n-butyl citrate manufactured by Morflex, Greensboro, NC, USA; glycerly triacetate or other liquids which do not solubilize gelatin or the particles can be used as well.

Mixtures of these can be used as well. Vegetable oils or mineral oils are quite useful
25 as they are GRAS materials and enjoy a long history of use in the pharmaceutical formulation arts. For example a list of useful vegetable oils will include castor bean oil, coconut oil, peanut oil, palm kernel oil, canola oil, avocado oil, evening primrose oil, rice bran oil, borage oil, sunflower oil, soybean oil, palm oil, corn oil, and safflower oil. All will perform well in the context of the SGC products alluded to herein. This list is not intended to be exhaustive;
30 so long as the liquid is safe for human or animal consumption and has the requisite physical properties noted above.

Any sort of particle can be used in this formulation, so long as it contains or comprises a beneficial agent, is stable in the suspending liquid, is visible to the naked eye, and moves within the capsule when it is tilted.

The term "beneficial agent" means any compound or material which acts on a mammal
35 in one fashion or another when consumed for its intended use in the manner prescribed. For example, a drug is a beneficial agent for the purposes of this definition. But in addition there are numerous other compounds which can have a subjective or objective beneficial affect on

the user and which are to be included within the meaning of this term. For example an antacid or anti-gas agent can have a beneficial affect when used to treat indigestion. A breath freshner provides an objective and a subjective beneficial affect to many people. Nutritional agents such as vitamins, minerals, or amino acid supplements are beneficial to those needing
5 to supplement their diet. Flavors and sweetners provide a subjective benefit and a source of energy as well, and are also included. These examples illustrate but a few of the many different kinds of materials which are intended to be included within the scope of the term beneficial agent. Others will be apparent to the practitioner of this art.

Drugs and drug delivery are of greatest interest herein. The word "drug" is used in its
10 broadest sense and includes any agent which exhibits a pharmacological affect on the user and which can be administered via SGC technology utilizing particles as described herein. Any solid or liquid form of a drug can be used provided it can be manufactured into a particulate, as is true for any compound which constitutes a beneficial agent for the purposes of this invention. Both fat soluble and water soluble drugs may be used. Drugs for treating cough
15 cold, and allergy symptoms are of most interest. They include antihistamines; drugs for treating inflammation, pain and pyrexia; nasal decongestants; expectorants; sedatives as used in cough and cold remedies, and the like. Phenylpropanolamine hydrochloride, caramiphen edisylate, acetaminophen, aspirin or another non-steroidal anti-inflammatory, pseudoephrine hydrochloride, dextromethorphan hydrobromide, and chlorpheniramine maleate are most
20 preferred.

As regards the particles, size, density, stability, lack of adhesion to the gelatin wall and lack of agglomeration are the only limiting factors.

So far as size is concerned, the principal consideration will be that of creating a
25 particle of a size such that they are visible to the naked eye under normal lighting conditions, while making them small enough to flow in the suspending liquid and tumble over one another when the capsule is tilted. Preferred particles will be in the range of about 149 to 1190 microns. Particle size can vary in any given capsule, just so long as the variance is not so great that the larger particles obscure the smaller ones. The preferred particle size is between about 420 and 840 microns (about 20-40 mesh).

30 Sizing can be done by any number of means. Large particles can be reduced by grinding and sieving. Small particles can be built up to a desired size by conventional coating technologies. Reference is made to the art for methods and techniques for preparing particles to the size denoted above.

Any particle shape can be used so long as the shape allows for free movement.
35 Particle shape within a given SGC can vary, i.e. it may be round, irregular, oblong, elliptical, square. Particles can have different shapes so long as the particles can flow freely over one another when the SGC is tilted. Round particles, beads, are preferred.

There are many ways to shape particles, ranging from simply grinding materials and

screening them through increasing smaller screens until the right size cut is achieved, to building up round particles through mixing and coating systems. All these processes are well known in the formulation arts.

5 Particles can be comprised of pure agent or, as will more often be the case, the agent can be coated with a protective layer which may or may not affect how fast the particle dissolves and releases the active ingredient. Creating particles of pure agent is mostly a matter of shaping the raw material by some means, usually a mechanical means. A coating of some sort may be added to protect the neat compound. More often than not one will want to coat the particles for both functional and esthetic reasons. There are a number of ways to
10 coat particles. Pan coating, for example, is a well established technology that provides a basic pellet. A more sophisticated approach is to create a core and then to add one or more layers of a coating to the core. If the 'seeds' are differentially coated, that is some have a thicker coating layer, any particles with different coating thicknesses are loaded in one capsule, drug can be delivered over an extended period to time. This technology was
15 pioneered by R. H. Blythe in U.S. patent 2,738,303. He describes there a therapeutic preparation in unit dosage form prepared from non-pariel seeds (sugar pellets), screened, placed in a coating pan, wetted with syrup, then treated with a 80:20 mixture of dextro-amphetamine sulfate and calcium sulfate dihydrate, then dried. This process was repeated several times to build up drug on the non-pariel seed; it is treated with talc to create the core
20 pellet. These pellets were then treated with a wax-fat coating solution one or more times to create pellets with one or more fatty layers surrounding the core pellet. Later developments include placing an osmotic wall around the core pellet, and preparations where the drug dissolves in the wall-forming material of the particle and passes through it to the exterior on exposure to water. Reference to such particles can be found in the literature, for example in
25 U.S. patent 4,434,153; the relevant part are incorporated herein by reference. See also U.S. patent 4,961,932 which contains a substantial list of patents said to relate to tiny or small pills, and dosage forms comprising same.

Color variations in the particles can be used to make movement more evident. For example the movement of red, white and blue particles will be much more apparent than what
30 will be observed if all the particles are white. Dyes or lakes of any sort may be used so long as they are not toxic or do not have an untoward or deleterious affect on the user.

In order to observe particle movement, there must be a differential between the density of the particles and the liquid. For examples, if beadlets are used, the beadlets can be manufactured to be heavier than the carrier liquid. However, the inverse may be true as well.
35 That is the liquid carrier may have higher density than that of the beadlets so that when the capsule is tilted, the liquid will shift and push the floating beadlets to another location within the capsule.

Particle stability, as compared with stability of the agent, is another factor which must

be taken into consideration when matching particulate and liquid, and the composition of the gelatin wall-forming material. The solid must not dissolve in the suspending agent.

Secondly, the particulate must remain chemically inert when in contact with the liquid, the gelatin wall-forming materials and what ever materials may leach out of the wall-forming materials. It is not possible to identify all the combinations which could lead to particle-carrier interactions. Particle coatings known to be soluble in a given vehicle should not be used to formulate coated beads if that vehicle is the vehicle of choice. Also, it should be kept in mind that gelatin materials used to make SGC contain substantial amounts of water which may dissolve in the suspending vehicle and have a deleterious affect on the particulates.

Stability of the beneficial agent is a consideration as well, just as it is with any formulation, not just these preparations. There is no single recipe for formulating a product which will not degrade chemically. Each formulation must be addressed on a case-by-case basis; this is within the skill of one trained in the formulation arts.

These capsules provide an excellent means of delivering absorption enhancers with poorly bio-available drug substances together in one dosage form. Absorption enhancers can be dissolved in the oil phase and drug can be formulated into beads. Examples of poorly bio-available drug substances are proteins, peptides and lipophilic drug substances such as griseofulvin. Examples of absorption enhancers are Labrafil M-1944 CS, Labrafil M-2125 CS, Labrafac Hydro, Labrafil WL-2609 BS, Labrafac CM-10 and Labrasol.

Another variation of the same can be to incorporate a partial fill of drug substance in the form of powder to facilitate improved bio-availability of poorly bio-available drug substances such as lipophilic drugs. Release characteristics of such a dose form can be immediate release of entire dose or a combination of immediate release of the loading dose and sustained release of the maintenance dose to satisfy the required therapeutic response.

Depending on the physicochemical characteristics of the active drug components to be utilized in this dose form, oil phase can be modified to solubilize the loading dose of the same. Therefore, oil phase will be comprised of two or more parts, namely a liquid component where drugs are soluble, a second liquid component where drugs are very insoluble and may be a third liquid component to ensure appropriate beadlet wetting in order to achieve desired release profiles. Liquid components mentioned above can be a range of oils such as vegetable oils, lipids and surfactants. Vegetable oils include super refined oils such as corn oil, peanut oil, soybean oil, etc. Lipids include Labrasol, Labrafac and Labrafac CM10. The third liquid component mentioned above can be a surfactant. In addition to the three liquid components mentioned above a fourth liquid component may be used as a processing aid. Ideally processing aid should be miscible with other liquid components and solidify the entire oil phase upon cooling, when used in a desired concentration. Processing aids include oils such as coconut oil, which are liquids at room temperature and process a low melting point. Advantage of such a processing aid is during manufacture of this dose form, where

after suspending beads in the oil phase entire mixture can be chilled to obtain a semi-solid, which would prevent beads from settling. This semisolid mixture can be pumped into the soft gelatin capsules to manufacture this dose form. Processing aid can also facilitate the movement of beads inside the dose form by preventing the beads from sticking to each other and to the gelatin wall.

Loading dose of the drug components solubilized in the oil phase would be rapidly absorbed into the blood stream and provide the desired therapeutic benefit, immediately. Continuous release of drug substances from the beads suspended in the oil phase would maintain the drug levels in the blood for a desired length of time and provide the therapeutic benefit for the entire duration.

Appropriate selection of the components of the oil phase would enable us to solubilize the loading doses of drugs with varying solubility profiles.

Bio-availability of extremely water insoluble highly lipophilic drug substance can be enhanced using this dose form.

This dose form can also be used to deliver two drug substances, that otherwise would interact with each other, by dissolving one of the drugs in the oil and the other in the beads or alternatively, both drugs can be prepared into two separate sets of beads. Examples of such drugs can be aspirin and phenylpropanolamine.

Another use of this dose form is to deliver two doses of two drugs, where one of them is an immediate release of the entire dose of one of the drugs, loading dose of the other drug and the maintenance dose of the other drug in a continuous release mode. An example of a combination dose form currently sold as caplets, which can benefit from this dose form is Seldane-D, where terfenadine can be solubilized in entirety in the oil phase and pseudoephedrine HCl can be in the form of beads. Other combinations that can benefit from this dose form are clemastine fumarate/pseudoephedrine HCl, astemizole/pse HCl, ceterizine/pse HCl, Claritin/pse HCl and other non-sedating antihistamine/decongestant combinations. Similarly other combinations of drugs such as analgesic/decongestant, antihistamine/decongestant, decongestant/anti-tussive, decongestant/antitussive/antihistamine, antitussive/antihistamine, analgesic/decongestant/antihistamine, analgesic/antihistamine, analgesic/decongestant/antihistamine/antitussive, anti-hypertensive/diuretic can also benefit from delivery in this dose form.

Mixtures of vehicle and particle can be prepared by any available means; there are no special requirements attendant to this step. These mixes will be prepared such that numerous particles will be contained in the final SGC product but will not be so numerous so as to fill completely the void in the gelatin capsule. Said another way, the final product will be a SGC product with filled with a liquid which contains particles whose volume does not fill the SGC void by more than about 90% by volume of the internal space of the finished SGC product. A preferred approach is to have the particles be present in an amount which fills between

about 40 to 80% of the capsules' internal volume.

As for manufacturing, it is contemplated that standard soft shell gelatin capsule manufacturing techniques will be used to prepare the product. Examples of useful manufacturing techniques are the plate process, the rotary-die process pioneered by R. P. Scherer, the process using the Norton capsule machine, and the Accogel machine and process developed by Lederle. Each of these processes are mature technologies and are all widely available to any one wishing to prepare soft gelatin capsules. No preference is stated for any one of these processes as all will meet the needs of one practicing this invention.

Any form or shape can be used in this invention, so long as it can be prepared and when in use the shape does not have a restriction point which interferes with particulate or liquid movement to a degree that obviates the benefits of this invention. Capsules may be oval, square, rectangular, have a bumbell shape, look like an hour-glass, or have multiple sides, e.g. octagonal, hexagonal, pentagonal or the like.

The fill process must necessarily take into account that particles will settle unless some means is used to keep them evenly distributed in the vehicle during the manufacturing process. There are many ways to achieve this; no one method is preferred over another.

The following examples are provided to illustrate the invention. They are not to be read as limiting the invention in any manner.

Examples

20

Example 1

Beadlet Preparation

Beadlets are suspended in an oil of choice and filled into soft gel capsules. An alternative way to manufacture is also to fill beads and oil separately using two dosators into the same soft gel capsule. Beadlets are filled partially allowing ample head space in the soft gel capsule, which is occupied only by the oil.

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Prototypes of partially filled SGC were prepared as follows:

Large soft gelatin capsules containing vitamin E were purchased from the local pharmacy store. Individual capsules were slit on one end such that there is enough opening to empty the contents. Contents of these capsules were squeezed out through the opening. These empty capsules were then washed in absolute ethanol several times, such that all traces of previous contents were removed and dried at room temperature for few hours. Beadlets obtained from Central Pharmaceuticals, Inc., Seymour, Indiana, were carefully poured into the empty soft gelatin capsules. Light mineral oil was injected into the capsule using a syringe through the opening until the capsule was full. The edges of the gelatin capsule around the slit were carefully wet with a small amount of water and pushed together by holding the capsule firmly between the fingers (5 to 10 minutes) until the edges sealed.

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The active ingredients in the beadlets were chlorpheneramine maleate 12.0mg and phenylpropanolamine HCl 75.0mg. These beadlets were differentially coated so that some

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beadlets would release the actives immediately, and others would release their active ingredients at several time points over a 12 hour period.

Claims:

1. An improved gelatin capsule preparation wherein the improvement comprises filling a soft gelatin capsule with a mixture of particles which contains at least one beneficial agent in a non-toxic liquid carrier which may contain a beneficial agent wherein the particles
5 fill less than the internal volume of the capsule while the liquid/particle mixture fills the total internal volume of the capsule.
2. The capsule of claim 1 wherein the particles are beadlets having diameters between about 149 and 1190 microns, the liquid is an oil and the particles comprise up to about 90% of the internal volume of the capsule, excluding the space between the particles.
- 10 3. The capsule of claim 2 wherein the beneficial agent is a drug.
4. The capsule of claim 3 wherein the liquid is a vegetable oil.
5. The capsule of claim 4 wherein the beadlets are time released or immediate release beadlets which contain medicaments for treating cough, cold and/or allergy symptoms.
- 15 6. The capsule of claim 5 where the beadlets comprise between 40 and 80% of the internal volume of the capsule.
7. A method for providing a tamper-evident soft gelatin capsule which method comprises filling a soft gelatin capsule with a mixture comprising particles which contains at least one beneficial agent and a non-toxic liquid carrier which may contain a beneficial agent
20 wherein the particles fill less than the internal volume of the capsule while the liquid/particle mixture fills the total internal volume of the capsule.
8. The method of claim 7 wherein the particles are beadlets having diameters between about 149 and 1190 microns, the liquid is an oil and the particles comprise up to about 90% of the internal volume of the capsule.
- 25 9. The method of claim 8 wherein the beneficial agent is a drug.
10. The method of claim 9 wherein the liquid is a vegetable oil.
11. The method of claim 10 wherein the beadlets are time released or immediate release beadlets which contain medicaments for treating cough, cold and/or allergy symptoms.
- 30 12. An article of manufacture which is a capsule having a soft, flexible gelatin skin and an internal fill which comprises a pharmaceutically acceptable liquid carrier which is compatible with the gelatin coating and which contains small drug-bearing particles which do not dissolve in the liquid, the particles being present at no more than about ninety percent of the internal volume of the capsule, excluding the space between the particles.

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US94/06196

A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) :A61K 9/48

US CL :424/451

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/451, 458, 195.1; 514/212, 293

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A, 4,716,160 (MARKWELL) 29 December 1987, see entire document.	1-12
Y	US, A, 4,808,413 (JOSHI) 28 February 1989, see entire document.	1-12

Further documents are listed in the continuation of Box C. See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
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Date of the actual completion of the international search

16 AUGUST 1994

Date of mailing of the international search report

22 SEP 1994

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