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**Garimella**

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(54) **METHOD AND MEANS FOR  
MINIATURIZATION OF BINARY-FLUID  
HEAT AND MASS EXCHANGERS**

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(52) **U.S. Cl.** ..... **165/116; 165/145**

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62/484, 494

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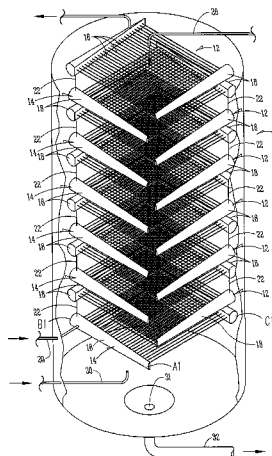
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*Primary Examiner*—John Fox

(57) **ABSTRACT**

A binary-fluid heat and mass exchanger has a support structure with a plurality of horizontal vertically spaced groups of coolant tubes mounted thereon. Each group of coolant tubes comprises a pair of horizontal spaced hollow headers. A plurality of small diameter hollow coolant tubes extend between the headers in fluid communication therewith. Fluid conduits connect a header of one group of coolant tubes with a header of an adjacent group of coolant tubes so that all of the groups of coolant tubes will be fluidly connected. An inlet port for coolant fluid is located on a lower group of coolant tubes, and an exit port for coolant fluid is connected to a higher coolant group to permit coolant fluid to flow through the coolant tubes in all of the groups. A second inlet port for introducing a dilute solution of fluid downwardly over the coolant tubes is located above the support structure. A third inlet port for introducing a vapor to move upwardly through the groups is located below the lowermost group. A concentrated fluid exit port is located below the support structure for the removal of fluid collected from the various groups of coolant tubes.

**7 Claims, 8 Drawing Sheets**



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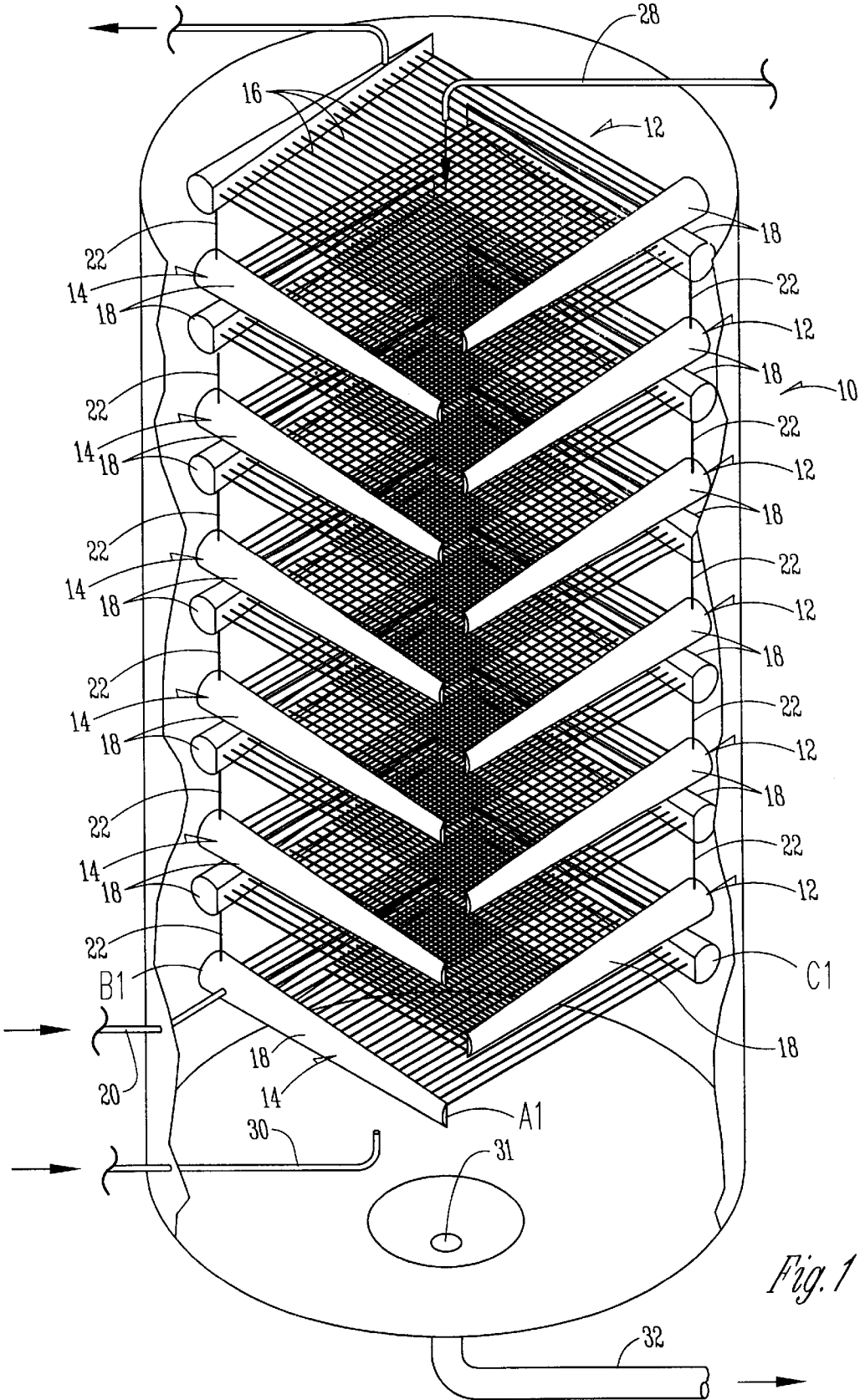


Fig. 1

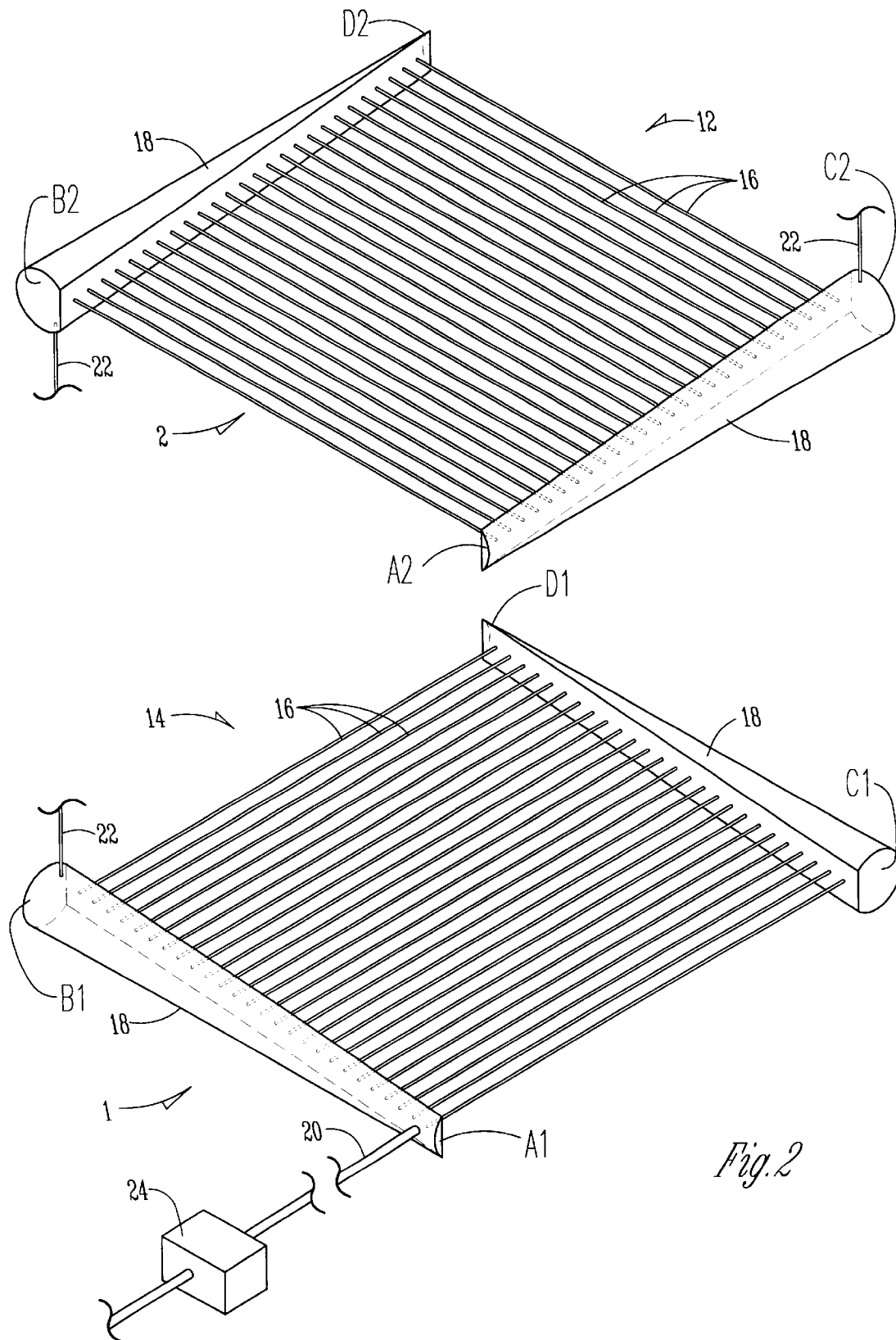
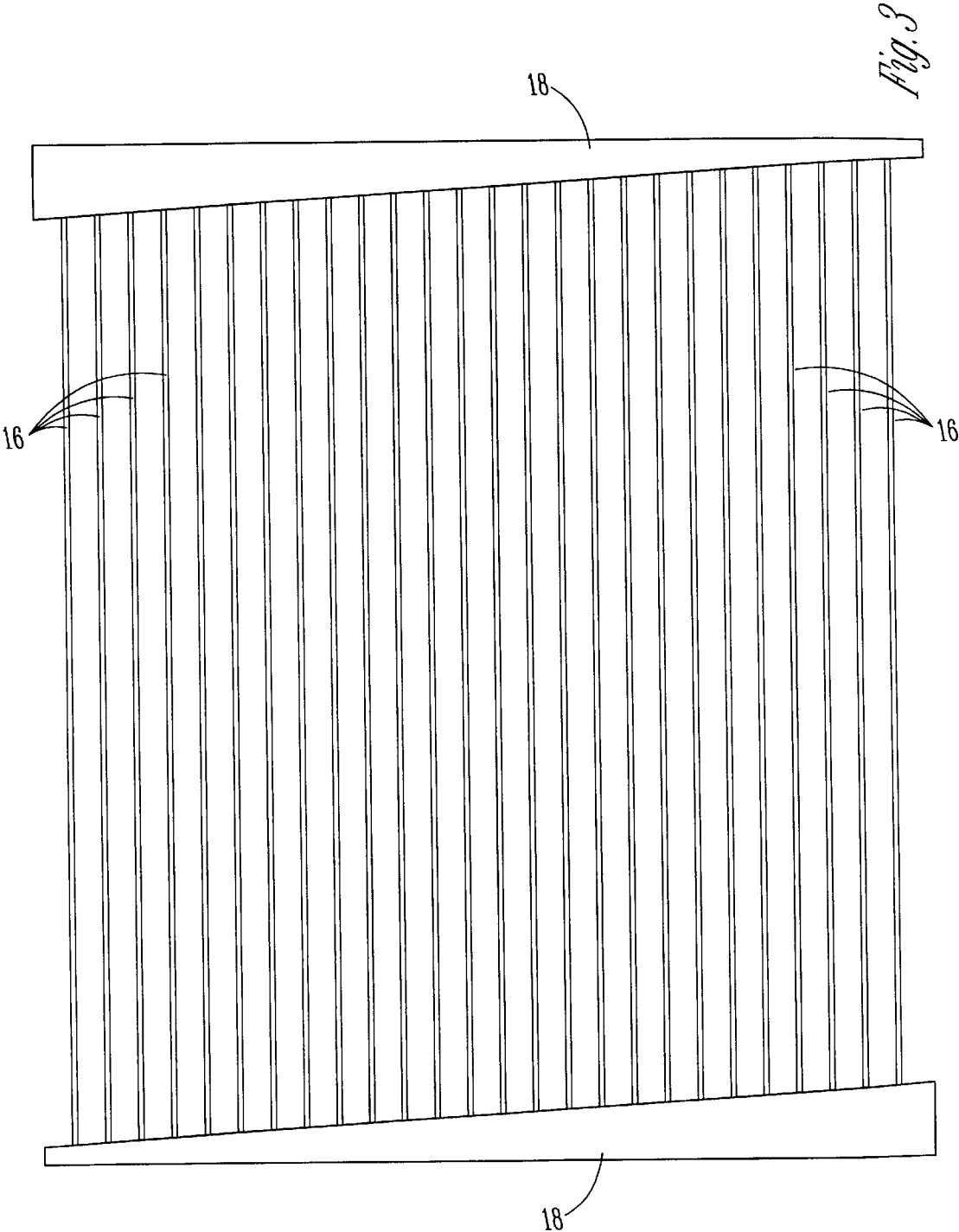


Fig. 2



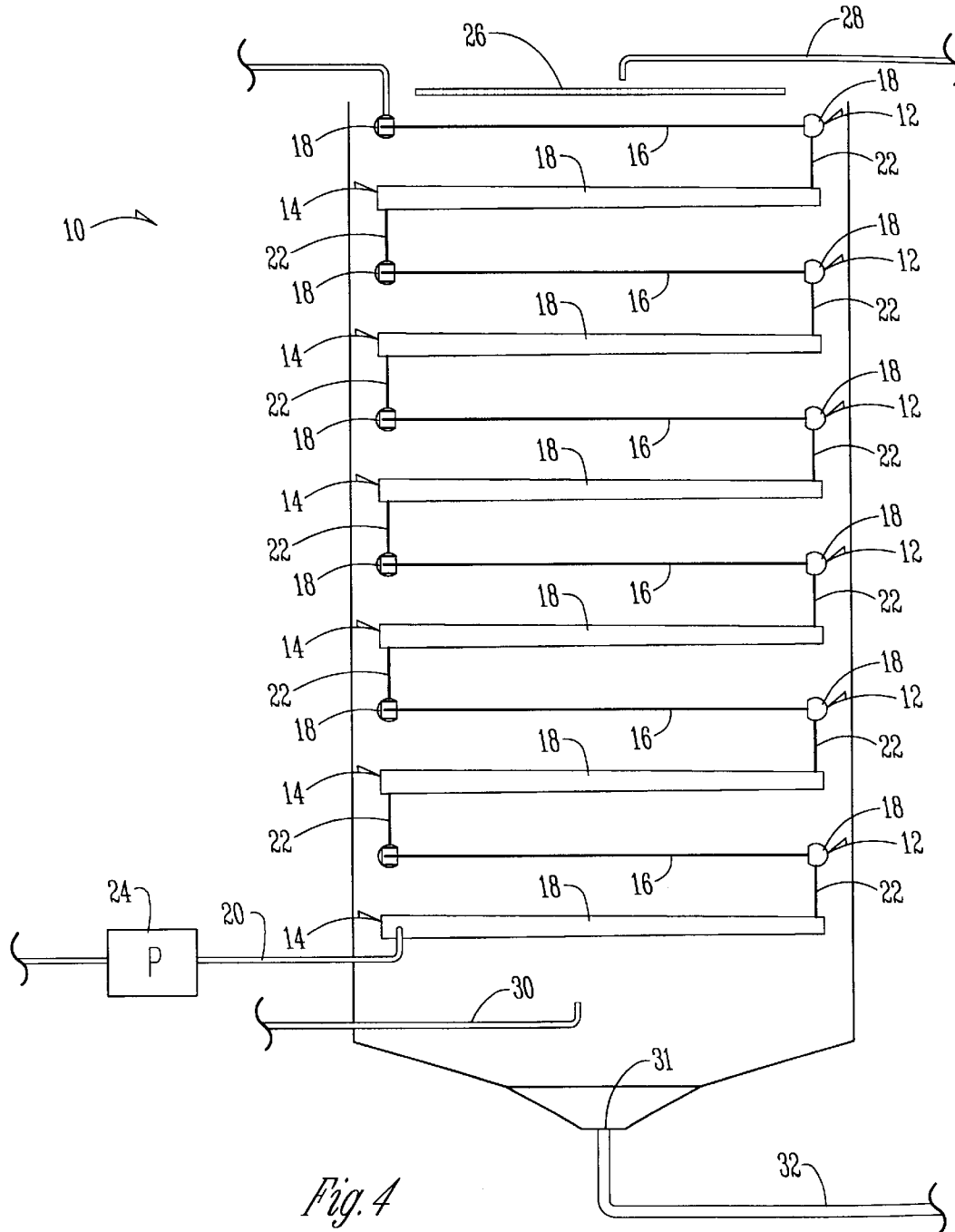
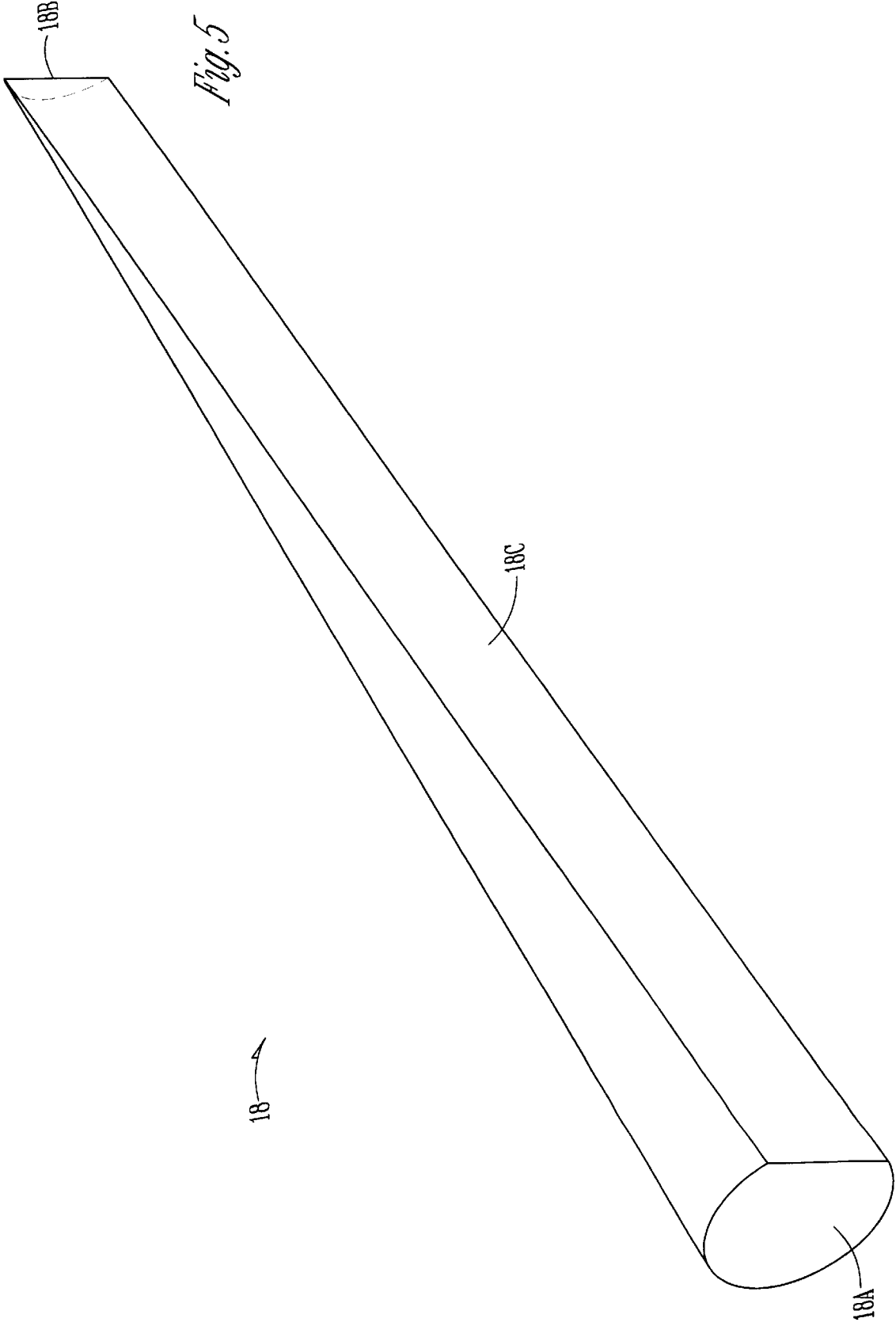


Fig. 4



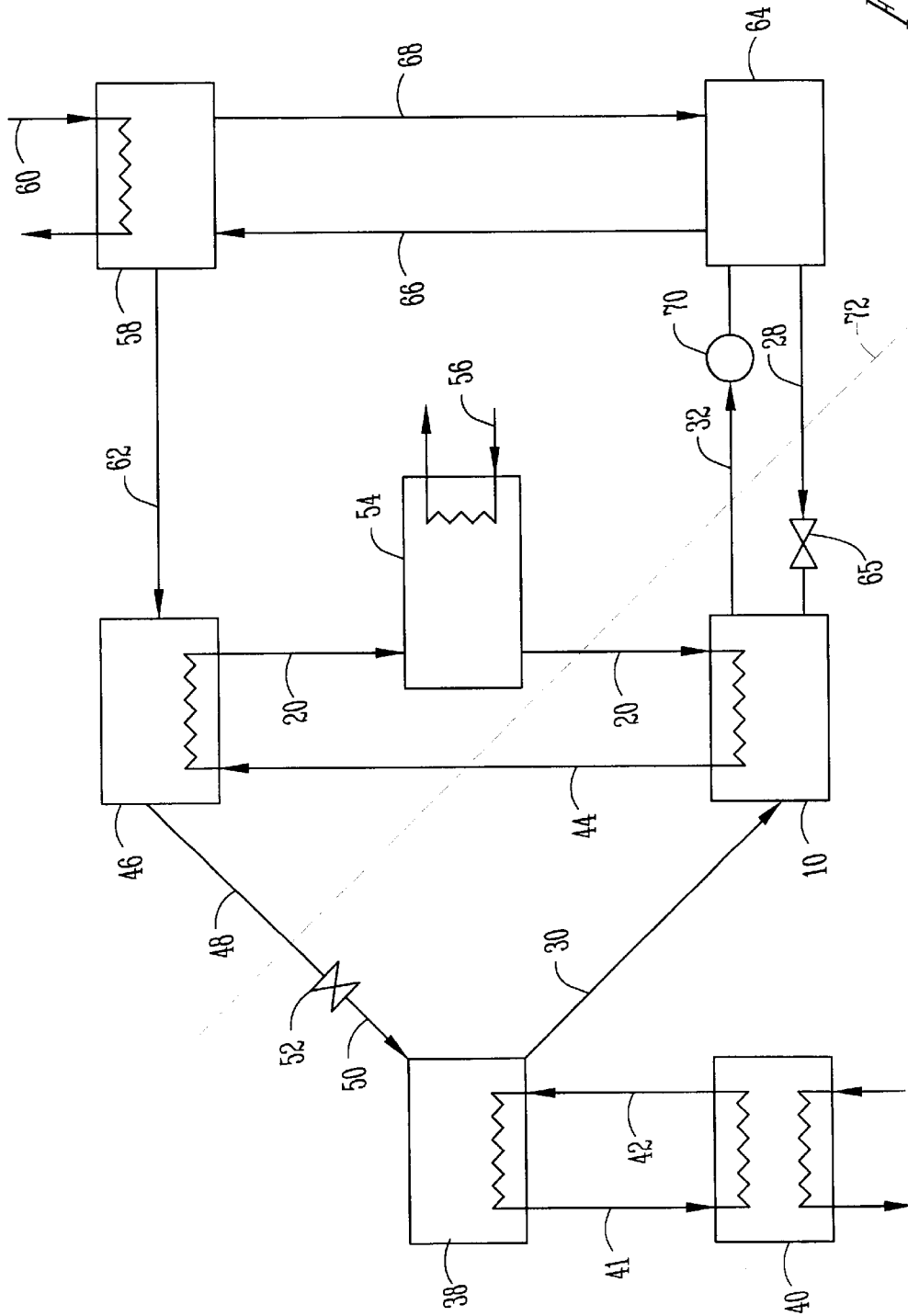


Fig. 6



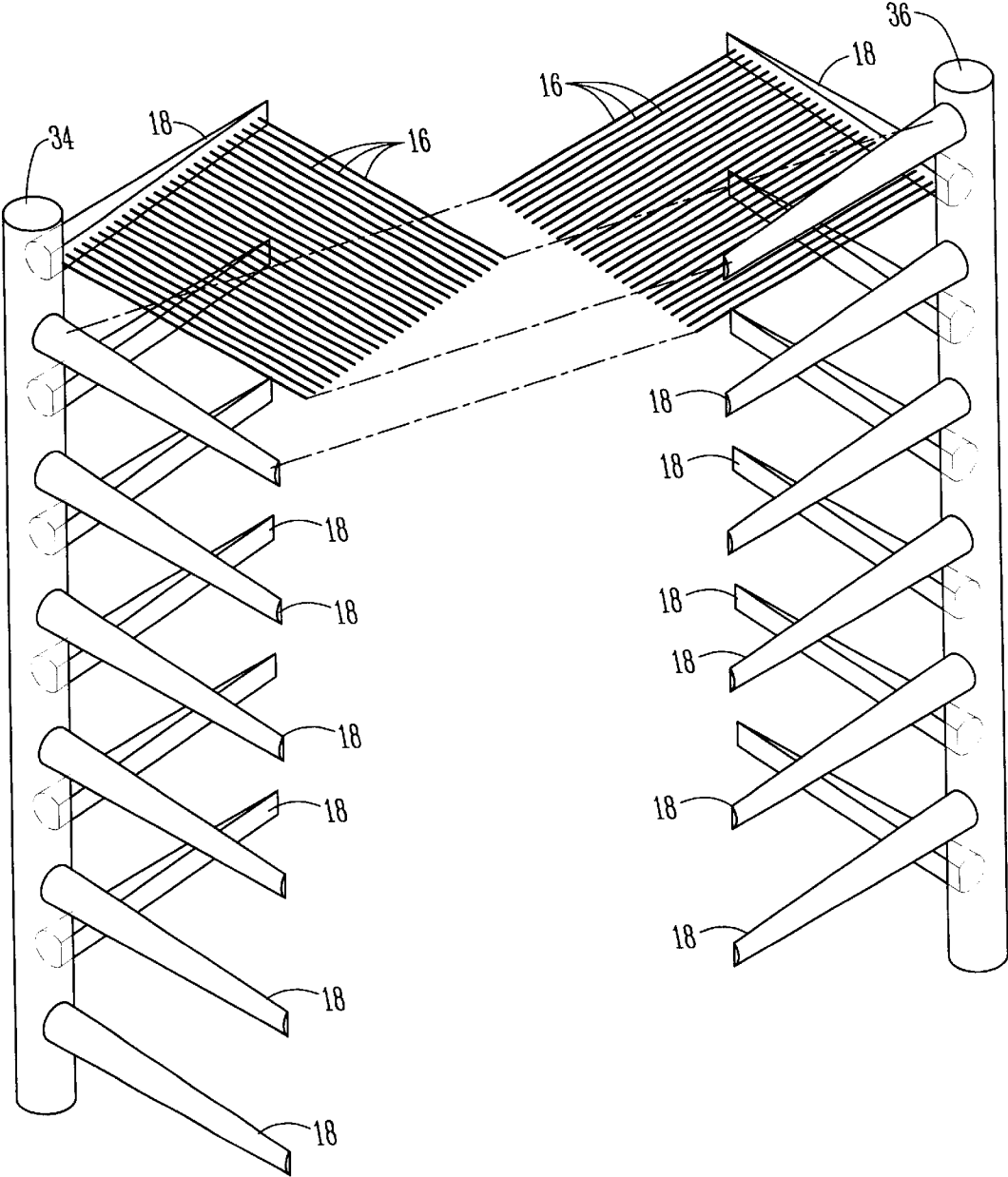
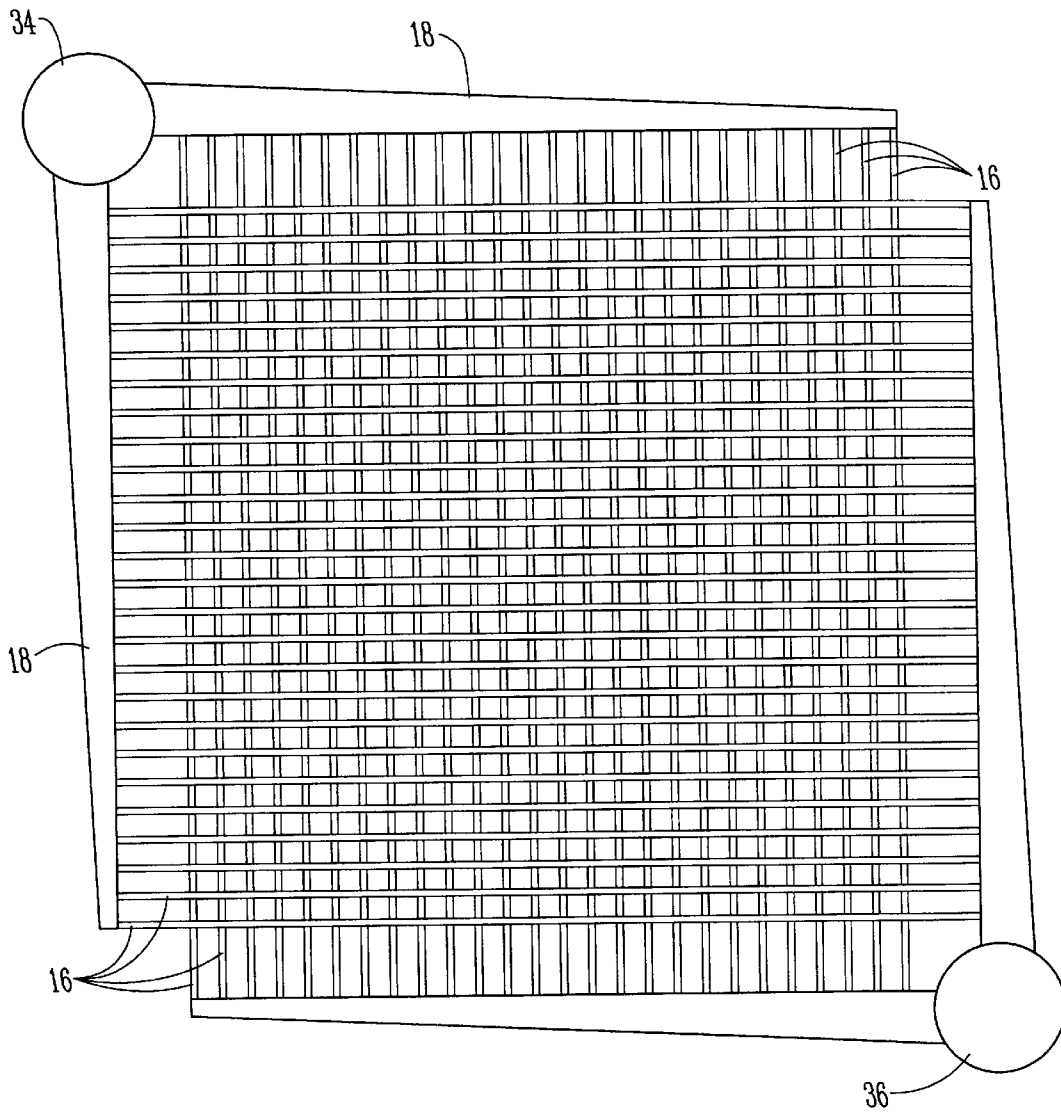


Fig. 7



*Fig. 8*

## METHOD AND MEANS FOR MINIATURIZATION OF BINARY-FLUID HEAT AND MASS EXCHANGERS

This application is a continuation of U.S. Ser. No. 09/253,155 filed on Feb. 19, 1999 now abandoned.

### BACKGROUND OF THE INVENTION

Absorption heat pumps are gaining increased attention as an environmentally friendly replacement for the CFC-based vapor-compression systems that are used in residential and commercial air-conditioning. These heat pumps rely heavily on internal recuperation to yield high performance. Several studies have shown that the high coefficients of performance of these thermodynamic cycles cannot be realized without the development of practically feasible and compact heat exchangers. While significant research has been done on absorption cycle simulation, innovations in component development have been rather sparse, in spite of the considerable influence of component performance on system viability. There have been some advances in the design of compact geometries for components such as condensers and in the use of fluted tubes to enhance single-phase components such as solution-solution heat exchangers. But absorption and desorption processes involve simultaneous heat and mass transfer in binary fluids. For example, in a Lithium Bromide-Water (LiBr-H<sub>2</sub>O) cycle, absorption of water vapor in concentrated LiBr-H<sub>2</sub>O solutions occurs in the absorber with the associated rejection of heat to the ambient or an intermediate fluid. Successful designs for such binary fluid heat and mass exchangers must address the following often contradictory requirements:

- low heat and mass transfer resistances for the absorption/desorption side

- adequate transfer surface area on both sides.

- low resistance of the coupling fluid—designs have been proposed in the past that enhance absorption/desorption processes, but fail to reduce the single-phase resistance on the other side, resulting in large components.

- low coupling fluid pressure drop—to reduce parasitic power consumption

- low absorption side pressure drop—this is essential because excessive pressure drops, encountered in forced-convective flow at high mass fluxes, decrease the saturation temperature and temperature differences between the working fluid and the heat sink.

Most of the available absorber/desorber concepts fall short in one or more of the above-mentioned criteria essential for good design.

It is therefore a principal object of this invention to provide a method and means for miniaturization of binary-fluid heat and mass exchangers which will permit designs that are compact, modular, versatile, easy to fabricate and assemble, and wherein use can be made of existing heat transfer technology without special surface preparation.

These and other objects will be apparent to those skilled in the art.

### SUMMARY OF THE INVENTION

This invention addresses the deficiencies of currently available designs. It is an extremely simple geometry that is widely adaptable for a variety of miniaturized absorption system components. It can be used for fluid pairs with non-volatile and volatile absorbents. It promotes high heat and mass transfer rates through flow mechanisms such as

counter-current vapor-liquid flow, vapor shear, droplet entrainment, adiabatic absorption between tubes, species concentration redistribution due to liquid droplet impingement, significant interaction between vapor and liquid flow around adjacent tubes in the transverse and vertical directions, and other deviations from idealized falling films. It ensures uniform distribution of the liquid and vapor films and high wettability of the transfer surfaces.

Short lengths of very small diameter tubes are placed in a square array, with several such arrays being stacked vertically. Successive tube arrays are oriented in a transverse orientation perpendicular to the tubes in adjacent levels. In an absorber application, the liquid solution flows in the falling-film mode counter-current to the coolant through the tube rows. Vapor flows upward through the lattice formed by the tube banks, counter-current to the falling solution. The effective vapor-solution contact minimizes heat and mass transfer resistances, the solution and vapor streams are self-distributing, and wetting problems are minimized. Coolant-side heat transfer coefficients are extremely high without any passive or active surface treatment or enhancement, due to the small tube diameter.

### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a schematic broken-away perspective view of an apparatus of this invention;

FIG. 2 is an enlarged scale perspective view of adjacent groups of coolant tubes;

FIG. 3 is an enlarged scale plan view of a typical group of coolant tubes;

FIG. 4 is a schematic elevational view of the apparatus of FIG. 1;

FIG. 5 is an enlarged scale perspective view of a header used in FIG. 1;

FIG. 6 is a schematic view of a system to practice the invention;

FIG. 7 is an exploded perspective schematic view of an alternate form of the invention; and

FIG. 8 is an enlarged-scale plan view of the assembled components of FIG. 7.

### DESCRIPTION OF THE PREFERRED EMBODIMENT

With reference to FIG. 1, the numeral 10 designates a support structure wherein alternate groups of coolant tubes 12 and 14 (FIG. 1) are mounted in spaced vertical relation in structure 10. Each group 12 and 14 is comprised of a plurality of small diameter coolant tubes 16 which extend between opposite headers 18. (FIGS. 1 and 2). The orientation of the tubes 16 in group 12 is at right angles to the orientation of tubes 16 in group 14 (FIG. 2). The tubes 16 in each group are in fluid communication with headers 18.

Hydronic fluid is introduced into the lowermost group of tubes at 20 (FIG. 1), and successive groups are fluidly connected by conduits 22.

The short lengths of very thin tubes 16 (similar to hypodermic needles) are placed in an approximately square array. This array forms level 1 (FIG. 2), depicted by the square A1-B1-C1-D1. The second array (level 2) of thin tubes 16 is placed above level 1, but in a transverse orientation perpendicular to the tubes in level 1, depicted by A2-B2-C2-D2. A lattice of these successive levels is formed, with the number of levels determined by the design requirements. Hydronic fluid (coolant) is manifolded through these tubes 16 pumped

into the system by pump **24** through conduit **20** (FIG. 2). Thus the fluid enters level **1** at **A1** and flows in the header in direction **A1-B1**. As it flows through the header, the flow is distributed in parallel through all the tubes in level **1**. In an actual application, the number of parallel passes can be determined by tube-side heat transfer and surface area requirements, and pressure drop restrictions. The fluid flows through the tubes **16** from **A1-B1** to **C1-D1**. The fluid collected in the outlet header **C1-D1** flows through the outlet connector tube **D1-D2** to the upper level. The inlet and outlet headers **18** are appropriately tapered to effect uniform hydronic flow distribution between the tubes. In level **2**, the fluid flows in parallel through the second row of tubes from **D2-B2** to **C2-A2**. This flow pattern is continued, maintaining a globally rotating coolant flow path through the entire stack until the fluid exists at the outlet of the upper-most header.

This configuration yields extremely high coolant-side heat transfer coefficients even though the flow is laminar, due to the small tube diameter. In conventional heat exchangers, however, the coolant side heat transfer resistance is often dominant, resulting in unduly large components. The high values are achieved without the application of any passive or active heat transfer enhancement techniques, which typically add to the cost and complication of heat exchangers. In addition, the coolant-side pressure drop can be maintained at desirable values simply by modifying the pass arrangement (even to be in parallel across multiple levels), thus ensuring low parasitic power requirements.

The headers **18** are tapered in cross section from one end to the other. One form of construction is best shown in FIG. 5 where a length of hollow cylindrical pipe has been cut both longitudinally and diagonally to create a larger end **18A** and a narrow end **18B**. The ends **18A** and **18B** are closed by appropriately shaped end pieces, and the diagonal cut is closed with a plate **18C**. A plurality of apertures are drilled in the plates **18C** to receive the ends of hollow tubes **16** so that the interiors of the tubes **16** are in fluid communication with the interior of headers **18**. The plates **18C** in the opposite headers of each group are preferably parallel to each other (See FIG. 3).

In an absorber application, a distribution device **26** (e.g., punched orifice plate) located above the uppermost row of tubes **16** through outlet **28** distributes weak solution so that it flows in the falling-film mode counter-current to the coolant through this lattice of heat exchanger rows. (Plate **26** has been omitted from FIG. 1 for clarity.) Vapor is introduced in to the heat exchanger **10** at the bottom thereof via tube **30** (FIG. 1). The vapor flows upward through the lattice formed by the coolant tubes **16**, counter-current with respect to the gravity-driven falling dilute solution. Spacing (vertical and transverse) between the tubes **16** is easily adjustable to ensure the desired vapor velocities as the local vapor and solution flow rates change due to absorption, and adequate adiabatic absorption of refrigerant vapor between levels. Such an arrangement virtually eliminates inadequate wetting of the heat exchanger surface (of tubes **16**) which is a common problem in conventional heat exchangers. The resulting effectiveness of the contact between the vapor and the dilute solution, and the solution and the coolant through the tubes, minimizes heat and mass transfer resistances. The heat of absorption is conveyed to the coolant with minimal tube-side resistance due to the high heat transfer coefficients described above.

The influence of vapor shear and the resulting film turbulence is very significant, especially at the vapor veloci-

ties required to maintain compactness. This is not only important in enhancing the transfer coefficients typical of smooth films, but also will cause droplet entrainment in the vapor phase. Adequate spacing between tubes **16** can be provided to avoid flooding and flow reversal of the liquid solution due to high counter current vapor velocities. Because of the proximity of tubes **16** in the horizontal plane, surface tension effects will act in opposition to vapor shear and determine the conditions necessary for the bridging of the vapor film. Liquid phase droplets play a key role in several aspects of the absorption process by providing adiabatic absorption surface area. Thus, the concentration and temperature of the fluid droplets arriving at the top of a tube **16** will be different from the values at the bottom of the preceding tube **16**. The amount of absorption that can occur depends on various factors including the equilibrium concentration, which would be reached only when the entire droplet reaches saturation. The approach to this "ideal" concentration depends on the distance between the successive tubes **16** and also in the gradients established within the drop. An associated phenomenon is droplet impingement on succeeding tubes and the consequent re-distribution of the concentration gradients. This helps establish a new, well-mixed concentration profile at the top of each tube. In some situations, the droplet impingement could also result in secondary droplets leaving the tube to be re-entrained. Surface wettability is not a concern for the proposed configuration of FIG. 1. This configuration is self-distributing, and offers adequate surface area for the fluid to contact the surfaces of tubes **16** due to the lattice structure of the tube banks. In addition, if carbon steel tubes **16** are used with ammonia-water solutions, the oxide layer formed provides a fine porous surface that promotes wetting. The concentrated solution flowing around tubes **16** and moving by gravity to drain **31** and concentrated fluid discharge pipe **32** are best shown in FIG. 1.

The concept of FIGS. 1 and 2 is an extremely simple geometry that is widely adaptable to a variety of absorption system components. It can be used for fluid pairs with non-volatile and volatile absorbents. It promotes high heat and mass transfer rates through flow mechanisms such as counter-current vapor-liquid flow, vapor shear, adiabatic absorption between tubes, species concentration redistribution due to liquid droplet impingement, and significant interaction between vapor and liquid flow around adjacent tubes in the transverse and vertical directions. It ensures uniform distribution of the liquid and vapor films and high wettability of the transfer surfaces.

The coolant-side heat transfer coefficients are extremely high even though the flow is laminar, due to the small tube diameter ( $h = \text{Nu } k/D$ ,  $D \rightarrow 0$ ). The high values are achieved without any passive or active heat transfer enhancement, which typically increases cost and complexity. In addition, coolant  $\Delta P$  can be minimized simply by modifying the pass arrangement (parallel flow within one level and/or across multiple levels), ensuring minimal parasitic power requirements. In an absorber application, the distribution plate **26** (e.g., orifice plate) above the first row of tubes distributes solution so that it flows in the falling-film mode counter-current to the coolant through the heat exchanger rows. Vapor is introduced at the bottom, and flows upward through the lattice formed by the tube groups through outlet **30**, counter-current to the gravity-driven falling solution. The spacing (vertical and transverse) between the tubes is adjustable to ensure the desired vapor velocities, and adequate adiabatic absorption of vapor between levels. Such an arrangement virtually eliminates inadequate wetting of the

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heat exchanger surface (a common problem in conventional heat exchangers). The effective vapor-solution contact minimizes heat and mass transfer resistances. The heat of absorption is conveyed to the coolant with minimal tube-side resistance due to the high heat transfer coefficients described above. This concept, therefore, addresses all the requirements for absorber design cited above, in an extremely compact and simple geometry.

Again with reference to FIGS. 1 and 2, each group 12 and 14 consist of 40 carbon steel tubes 16, 0.127 m long and 1.587 mm in diameter, with a tube center-to-center spacing of 3.175 mm, which results in a bundle 0.127 m wide×0.127 m long. These rows are stacked one on top of the other, in a criss-cross pattern, with a row center-to-center vertical spacing of 6.35 mm. This larger vertical spacing is allowed to accommodate the headers at the ends of the tubes. This arrangement, with 75 tube rows, results in an absorber that is 0.476 m high, with a total surface area of 1.9 m<sup>2</sup>. The best coolant flow orientation for counterflow heat and mass transfer is to route it in parallel through all the tubes in one row, and in series through each row from the bottom to the top. However, such an orientation would result in an excessively high pressure drop on the coolant side, due to the very small cross-sectional area of each row, and high L/D<sub>i</sub> values. Thus, the coolant should be routed through multiple rows in parallel.

An alternate form of the invention is shown in FIGS. 7 and 8 which is a modification of the groups 12 and 14 of FIGS. 1 and 2.

Vertical tube masts 34 and 36 have coolant fluid pumped upwardly into headers 18, and which are secured in cantilever fashion by their larger ends. Each mast 34 and 36 has a header 18 at a level opposite to a header 18 on the opposite mast. Tubes 16 extend between these opposite headers 18 when they are juxta-positioned as shown in FIG. 8. This arrangement allows coolant to be simultaneously supplied to all the tubes in about 15 to 20 rows in parallel fashion with multiple sets of these rows of 15 to 20 tubes being in series, rather than each tube row being in series fashion as with the structure of FIG. 1. It also reduces the size of the pump required to move the coolant through the tubes 16.

FIG. 6 shows a schematic system wherein an absorber support structure 10 is present in a single-effect hydronically coupled heat pump cooling mode. Minor modifications to the system enable heating mode operation. With reference to FIG. 6, an evaporator 38 is connected by means of chilled water/hydronic fluid line 41 to indoor coil 40. Line 42 is a return line from coil 40 to the evaporator 38. The previously referred to tube 30 connects the evaporator 38 to the absorber 10 to deliver refrigerant vapor to the absorber.

Line 44 connects absorber 10 to condenser 46. Condensed liquid refrigerant moves from condenser 46 in line 48 through expansion device 52 and thence through line 50 back to evaporator 38.

Previously described line or tube 20 connects condenser 46 to outdoor coil 54 which receives outdoor ambient air from the source 56.

A generator/desorber 58 receives thermal energy input (steam or gas heat) via line 60. Line 62 transmits refrigerant vapor from generator/desorber 58 back to condenser 46.

A solution heat exchanger 64 is connected to absorber 10 by previously described tube 28 in which valve 65 is imposed. Previously described concentrated solution tube 32 extends from absorber 10 to solution heat exchanger 64. Solution pump 70 is imposed in line 32.

The dotted line 72 in FIG. 6 designates the dividing line in the system with the low pressure components being below

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and to the left of the line and the high pressure components are above and to the right of the line.

The dilute solution being introduced through inlet 28 (FIG. 1) is a solution of ammonia and water with about a 20% concentration of ammonia. The concentrated solution moving out of the device 10 through conduit 32 (FIG. 1) is also comprised of a solution of ammonia and water with about a 50% concentration of ammonia. The vapor supplied to the system through conduit 30 is an ammonia vapor.

This invention reveals a miniaturization technology for absorption heat and mass transfer components. Preliminary heat and mass transfer modeling of the temperature, mass, and concentration gradients across the absorber shows that this invention holds the potential for the development of extremely small absorption system components. For example, an absorber with a heat rejection rate of 19.28 kW, which corresponds approximately to a 10.55 kW space-cooling load in the evaporator, can be built in a very small 0.127 m×0.127 m×0.476 m envelope. The concept allows modular designs, in which a wide range of absorption loads can be transferred simply by changing the number of tube rows, tube-to-tube spacings, and pass arrangements. Furthermore, the technology can be used for almost all absorption heat pump components (absorbers, desorbers, condensers, rectifiers, and evaporators) and to several industries involved in binary-fluid processes. For example, desorption can be accomplished by hot hydronic fluid flowing through the tubes and concentrated solution over the tubes, generating vapor which flows upward due to buoyancy. It is believed that this simplicity of the transfer surface (smooth round tube), and modularity and uniformity of surface type and configuration throughout the system will be extremely helpful in the fabrication and commercialization of absorption systems to the small heating and cooling load markets.

It is therefore seen that this invention will achieve at least all of its stated objectives.

What is claimed is:

1. A binary-fluid heat and mass exchanger, comprising,
  - a support structure wherein the support structure comprises two separate fluid supply masts, a plurality of vertically spaced elongated horizontal header members are secured by one end thereof to each of the masts in cantilever fashion so that for each header on one mast, an opposite parallel header is located on the other mast with the coolant tubes extending therebetween to form a coolant group, such that the two separate fluid supply masts are fluidly connected to one another,
  - a plurality of horizontal vertically spaced coolant groups on the support structure, each coolant group comprises a hollow header assembly with a pair of horizontal spaced hollow headers with a plurality of small diameter coolant tubes fluidly extending between the headers in fluid communications with the headers wherein the headers have opposite ends and the horizontal cross section thereof uniformly decreases from one end to the other,
  - fluid conduits fluidly connecting the header assembly and the coolant groups so that all of the coolant groups will be fluidly connected with each other,
  - an inlet port for coolant fluid on a lower coolant group, and an exit port for coolant fluid is connected to a higher coolant group to permit coolant fluid to flow through the coolant tubes;
  - the coolant tubes of each group being at right angles to each other,
  - the coolant tubes being spaced approximately 3.175 mm apart, the coolant tubes having a diameter of about 1.587 mm or less,

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a second inlet port for introducing a dilute solution of fluid downwardly over the coolant tubes in all of the coolant groups;

a third inlet port for introducing a vapor to move upwardly through the coolant groups to cause the vapor to combine with the dilute solution and condense on the coolant tubes of the coolant groups to create a concentrated fluid;

a fluid exit port for allowing the concentrated solution of fluid to flow outwardly of the support structure.

2. A method of heat and mass transfer comprising:

introducing a vapor into a support structure through an inlet port upwardly over a plurality of horizontal vertically spaced coolant groups on the support structure wherein each coolant group is comprised of a pair of horizontal spaced hollow headers with a plurality of small diameter hollow coolant tubes extending between the headers and in fluid communication with the headers,

interconnecting the header assembly and the coolant groups so that all of the coolant groups will be fluidly connected with each other,

arranging the coolant tubes of each group at right angles to each other,

confining the small diameter hollow coolant tube to about 1.587 mm in diameter or less and spacing the hollow coolant tubes to approximately 3.175 mm to yield an extremely high coolant-side heat transfer coefficient, introducing a coolant fluid into the lowest coolant group through an inlet port for the coolant fluid;

flowing the coolant fluid through the coolant groups and exit through an exit port at the top of the coolant groups; and

introducing a dilute solution of fluid downwardly over the coolant groups so as to run counter-current with respect to the vapor, wherein the dilute solution is comprised of a dilute solution of ammonia and water, and the vapor is ammonia.

3. The exchanger of claim 1 wherein the exchanger has an envelope volume of 0.0077 M<sup>3</sup> or less.

4. The exchanger of claim 3 wherein the exchanger has a heat rejection rate of 19.28 Kw or more.

5. A method of heat and mass transfer comprising:

introducing a vapor into a support structure through an inlet port upwardly over a plurality of horizontal vertically spaced coolant groups on the support structure wherein each coolant group is comprised of a pair of horizontal spaced hollow headers with a plurality of small diameter hollow coolant tubes extending between the headers and in fluid communication with the headers;

interconnecting the header assembly and the coolant groups so that all of the coolant groups will be fluidly connected with each other;

arranging the coolant tubes of each group at right angles to each other;

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introducing a coolant fluid into the lowest coolant group through an inlet port for the coolant fluid;

flowing the coolant fluid through the coolant groups and exit through an exit port at the top of the coolant groups;

introducing a binary solution of fluid downwardly over the coolant groups so as to run counter-current with respect to the vapor, wherein the binary solution is comprised of a fluid pair of non-volatile and volatile absorbents;

wherein the exchanger has an envelope volume of 0.0077 M<sup>3</sup> or less;

wherein the exchanger has a heat rejection rate of 19.28 Kw or more; and

wherein heat and mass exchange occurs simultaneously at the same location on the surface of the coolant tubes.

6. The method of claim 5 wherein the small diameter hollow coolant tube in the exchanger is confined to about 1.587 mm in diameter or less and spacing the hollow coolant tubes is confined to approximately 3.175 mm to yield an extremely high coolant-side heat transfer coefficient.

7. A method of intermixing a vapor and a fluid to cause the vapor to intermix with the fluid to acquire by absorption certain physical properties of the fluid, comprising,

forming a horizontal first grid of closely spaced narrow diameter hollow tubes;

placing a plurality of similar grids in a horizontal position and in close vertical spaced relation to the first grid and to each other;

fluidly interconnecting the tubes of each grid, and each grid passing a coolant fluid through the fluidly interconnected grids;

passing a vapor upwardly for movement through the grids;

taking a first fluid and continuously disbursing the fluid substantially over the first grid wherein the first fluid will releasably cling to the tubes of the first grid, and thence drop sequentially to releasably cling sequentially to the tubes of remaining grids;

maintaining an open space between each grid so that when quantities of the first fluid sequentially release from the tubes of the first grid, they can fall directly and freely by gravity for impingement on a lower grid to be physically intermixed by the impingement phenomenon; and

continuing the impingement phenomenon as quantities of said first fluid progressively drop by gravity onto the grids;

whereupon each impingement phenomenon will progressively and sequentially mix the first fluid with the upwardly moving vapor.

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