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COMMONWEALTH of AUSTRALIA Patents Act 1952

APPLICATION FOR A STANDARD PATENT

I/We

Rohm and Haas Company

of

Independence Mall West, Philadelphia, Pennsylvania, 19105, United States of America

hereby apply for the grant of a Standard Patent for an invention entitled:

Carbonyl stabilizers for 3-isothiazolones

which is described in the accompanying complete specification.

THE COMMISSIONER OF PATENTS

....

• Details of basic application(s):-

• <u>Number</u>	Convention Country	<u>Date</u>
•438816	United States of America	17 November 1989
601964	United States of America	22 October 1990

The address for service is care of DAVIES & COLLISON, Patent Attorneys, of 1 Little Collins Street, Melbourne, in the State of Victoria, Commonwealth of Australia. • •

DATED this THIRTEENTH day of NOVEMBER 1990

a member of the firm of DAVIES & COLLISON for and on behalf of the applicant(s)

Davies & Collison, Melbourne

COMMONWEALTH OF AUSTRALIA

PATENTS ACT 1952

DECLARATION IN SUPPORT OF CONVENTION OR NON-CONVENTION APPLICATION FOR A PATENT

In support of the Application made for a patent for an invention entitled: "Carbonyl stabilizers for 3-isothiazolones"

> I John Michael SLATTERY, XXX of 1 Little Collins Street, Melbourne, Victoria, Australia.

do solemnly and sincerely declare as follows :-

or (b) I am authorized by

ROHM AND HAAS COMPANY

the applicant...... for the patent to make this declaration on its behalf.

or (b)

of

Gary Lewis WILLINGHAM 2444 Rosemore Avenue Glenside, Pennsylvania 19038 United States of America

The actual inventor assigned the invention to the said applicant.

3. The basic application S as	defined by Section 141 of the Act were made
in United States of America	on the <u>17 November 1989</u>
by Gary Lewis WILLINGHAM	· · · · · · · · · · · · · · · · · · ·
in United. States. of. America	on the 22 October 1990
bGary Lewis WILLINGHAM	
in	. on the
by	

4. The basic applicationS...... referred to in paragraph 3 of this Declaration were the first application S...... made in a Convention country in respect of the invention the subject of the application.

Declared at MELBOURNE

_{this} 13th

day of NOVEMBER 1990

Heder

~~~

Signature of declarant(s) (no at station required)

Note Initial all alterations.

Insert place and date of signature.

DAVIES & COLLISON, MELBOURNE and CANBERRA.

Insert full name(s) and address(es)

Insert title of invention.

sign on behalf of an applicant company.

of declarant(s) being the appli-

cant(s) or person(s) authorized to

Cross out whichever of paragraphs 1(a) or 1(b) does not apply 1(a) relates to application made

by individual(s) 1(b) relates to application made by company; insert name of applicant company.

Eross out whichever of paragraphs • 2(a) or 2(b) does not apply

**2(8)** relates to application made

2(b) relates to application made by company(s) or person(s) who

• ale not inventor(s); insert full marne(s) and address(es) of invengers.

• Statemanner in which applicant(s) derive title from inventor(s) • • • • • • • • •

Crosseout paragraphs 3 and 4 کاگ مگم.convention applications. For convention applications,

• 35? "non-convention applications. For convention applications, insert basic country(s) followed by date(s) and basic applicant(s).

# 

AU9066559

# (12) PATENT ABRIDGMENT(11) Document No.AU-B-66559/90(19) AUSTRALIAN PATENT OFFICE(10) Acceptance No.643718

(54) Title **CARBONYL STABILIZERS FOR 3-ISOTHIAZOLONES** International Patent Classification(s) (51)<sup>5</sup> A01N 025/22 A01N 043/80 Application No.: 66559/90 (21)(22) Application Date : 13.11.90 (30) **Priority Data** (31)Number (32)Country Date (33)438816 17.11.89 US UNITED STATES OF AMERICA 601964 22.10.90 **US UNITED STATES OF AMERICA** (43)Publication Date : 23.05.91 (44) Publication Date of Accepted Application : 25.11.93 (71)Applicant(s) ROHM AND HAAS COMPANY (72)Inventor(s) GARY LEWIS WILLINGHAM Attorney or Agent (74) DAVIES COLLISON CAVE, 1 Little Collins Street, MELBOURNE VIC 3000 Prior Art Documents (56)AU 64523/90 A01N 43/80 (57) Claim 1. Composition comprising at least one 3-isothiazolone of the formula (I) a)



#### wherein

Y is  $(C_1-C_{18})$ alkyl or  $(C_3-C_{12})$ cycloalkyl each optionally substituted with one or more of hydroxy, halo, cyano, alkylamino, dialkylamine, arylamino, carboxy, carbalkoxy, alkoxy, aryloxy, alkylthio, arylthio, haloalkoxy, cycloalkylamino, carbamoxy, or isothiazolonyl; an unsubstituted or halo-substituted  $(C_2-C_8)$  alkenyl or alkynyl; a  $(C_7-C_{10})$ aralkyl optionally substituted with one or more of halogen,  $(C_1-C_4)$ alkyl or  $(C_1-C_4)$ alkoxy; and an aryl optionally substituted with one or more of halogen, nitro,  $(C_1-C_4)$ alkyl,  $(C_1-C_4)$ alkyl-acylamino, carb $(C_1-C_4)$ alkoxy or sulfamyl; and

R and  $R^1$  is each independently H, halogen or  $(C_1-C_4)$  alkyl; and

b) a carbonyl compound comprising  $(C_2-C_6)$  aldehydes,  $(C_7-C_{10})$  aromatic

# (11) AU-B-66559/90 (10) 643718

aldehydes,  $(C_2-C_4)$  dialdehydes and maleimides of the formula



wherein R" is H,  $(C_1-C_4)$  alkyl or aryl;

provided that when (b) comprises an aldehyde or dialdehyde the ratio (b):(a) is less than 10:1.

-2-

3. Composition according to claim 1 wherein the carbonyl compound comprises crotonaldehyde, glyoxal, maleimide, (methyl-1-naphthyl) maleimide, N-ethyl maleimide, N-phenyl maleimide, cinnamaldehyde, benzaldehyde, acetaldehyde, salicylaldehyde, methacrolein, or acrolein.

9. A method of stabilizing a 3-isothiazolone of the formula (I) as defined in claims 1 or 4, comprising incorporating with said 3-isothiazolone an effective amount of a carbonyl compound as defined in any of claims 1 to 3.

643718

# COMMONWEALTH OF AUSTRALIA <u>PATENTS ACT 1952</u> <u>COMPLETE SPECIFICATION</u>

#### NAME & ADDRESS OF APPLICANT:

Rohm and Haas Company Independence Mall West Philadelphia Pennsylvania 19105 United States of America

• NAME(S) OF INVENTOR(S):

Gary Lewis WILLINGHAM

#### **ADDRESS FOR SERVICE:**

•

• • • • •

.....

DAVIES & COLLISON Patent Attorneys 1 Little Collins Street, Melbourne, 3000.

# COMPLETE SPECIFICATION FOR THE INVENTION ENTITLED:

Carbonyl stabilizers for 3-isothiazolones

The following statement is a full description of this invention, including the best method of performing it known to me/us:-

This invention concerns the stabilization of 3-isothiazolone compounds by the incorporation with those compounds of certain carbonyl compounds.

Isothiazolones have generated high commercial interest as microbicides to prevent spoilage of certain aqueous and non-aqueous products caused by microorganisms. Isothiazolones are highly effective microbicides (as used herein, "microbicides" includes bactericides, fungicides and algicides and microbicidal activity is intended to include both the elimination of and the inhibition or prevention of growth of microbial organisms such as bacteria, fungi and algae); by suitable choice of functional groups, they are useful in a broad range of applications. However, it has been long recognized that either in storage prior to addition to the substrate to be treated or after addition, their efficacy may be decreased because they are not stable under practical conditions of long-term storage. Means have thus been sought for some time to improve the stability of isothiazolones.

US-A-3,870,795 and 4,067,878 teach the stabilization of isothiazolones against chemical decomposition by addition of a metal nitrite or metal nitrate, but teach that other common metal salts, including carbonates, sulfates, chlorates, perchlorates, and chlorides are ineffective in stabilizing solutions of isothiazolones, such ...:solutions usually being in water or in an hydroxylic solvent.

US-A-4,150,026 and 4,241,214 teach that metal salt complexes of isothiazolones are useful because they have enhanced thermal stability, while retaining biological • activity.

It is known to use certain organic stabilizers for isothiazolones, generally for use situations where metal salts may create problems, such as corrosion, coagulation of latices, insolubility in non-aqueous media, interaction with the substrate to be stabilized, and the like. Formaldehyde or formaldehyde-releasing chemicals are known as stabilizers, (see US-A-4,165,318 and 4,129,448), as are certain organic chemicals such as orthoesters (EP-A-315464) and epoxides (EP-A-342852).

Grove, US-A-4,783,221 teaches blends of isothiazolones with at least one

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metal salt of an organic carboxylic acid having at least six carbon atoms, wherein the metal is a transition metal, zinc, mercury, antimony, or lead; and also with a solvent diluent.

In certain applications, however, it is desirable to avoid addition of organic stabilizers by virtue of their volatility, decomposition under high heat, higher cost, difficulty in handling, potential toxicity, and the like. Formaldehyde is a suspected carcinogen, and it is desirable not to use formaldehyde in applications where contact with human skin or lungs may occur.

In actual use, copper salts, such as copper sulfate, have proved efficacious in the stabilization of isothiazolones. However, copper salts may be undesirable in • effluent streams in such operations as in the manufacture of stabilized isothiazolones or in their blending into a product or the use of that product. Copper • salts, especially the chlorides, may contribute to possible corrosion, or in the • presence of polymers in aqueous dispersion may lead to coagulation of the dispersion.

DE-A-3144137 discloses the use of isothiazolone derivatives to provide bacteriostatic activity in disinfectant compositions containing aldehydes. The minimum ratio of aldehyde to isothiazolone disclosed is 10:1, and there is nothing in the disclosure relating to the stability or otherwise of the isothiazolone.

US-A-4,539,071 discloses a combination of an isothiazolone and glutaraldehyde as a biocide, but there is no discussion in this disclosure relating to the stability of the composition. Commercial formulations of isothiazolones and N,N-methylolchloroacetamide are also known, being sold under the trademark Parmetol, but the carbonyl compound is not being employed as a stabilizer.

.....

The present invention aims to provide a stabilization system for isothiazolones which overcomes some or all of the disadvantages outlined above, and which enables an isothiazolone to be stabilized by only low levels of stabilizer so as to avoid interference with other components in systems in which isothiazolones are used as microbicides.

Accordingly the invention provides in one aspect composition comprising

- 2 -

at least one 3-isothiazolone of the formula (I)



# wherein

Y is  $(C_1-C_{18})$ alkył or  $(C_3-C_{12})$ cycloalkyl each optionally substituted with one or more of hydroxy, halo, cyano, alkylamino, dialkylamine, arylamino, carboxy, carbalkoxy, alkoxy, aryloxy, alkylthio, arylthio, haloalkoxy, cycloalkylamino, carbamoxy, or isothiazolonyl; an unsubstituted or halo-substituted  $(C_2-C_8)$ alkenyl or alkynyl; a  $(C_7-C_{10})$ aralkyl optionally substituted with one or more of halogen,  $(C_1-C_4)$ alkyl or  $(C_1-C_4)$ alkoxy; and an aryl optionally substituted with one or more of balogen, nitro,  $(C_1-C_4)$ alkyl,  $(C_1-C_4)$ alkyl-acylamino, carb( $C_1-C_4$ )alkoxy or sulfamyl; and

R and  $R^1$  is each independently H, halogen or  $(C_1-C_4)$  alkyl; and

b) a carbonyl compound comprising  $(C_2-C_6)$  aldehydes,  $(C_7-C_{10})$  aromatic aldehydes,  $(C_2-C_4)$  dialdehydes and maleimides of the formula

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a)



where R" is H,  $(C_1-C_4)$  alkyl or aryl;

provided that when (b) comprises an aldehyde or dialdehyde the ratio (b):(a) is less than 10:1.

In another aspect, the invention provides a method for inhibiting or preventing the growth of bacteria, fungi, yeast or algae in a locus subject or susceptible to contamination by bacteria, fungi, yeast or algae, which comprises incorporating onto or into the locus, in an amount which is effective to adversely affect the growth of bacteria, fungi, yeast, or algae, the aforementioned composition. A further aspect of the invention provides a method for stabilising said

3-isothiazolones which comprises incorporating therewith an effective amount of a carbonyl compound as defined above; a different aspect of the invention is the use of said carbonyl compounds as stabilisers for said isothiazolones.

The isothiazolones which are stabilized include those disclosed in US-A-3,523,121 and 3,761,488 and represented by the formula

Ri

as defined above.

Preferred substituents for Y are substituted or unsubstituted ( $C_1$ - $C_{18}$ ) alkyl or ( $C_3$ - $C_{12}$ ) cycloalkyl; R is preferred to be H, Me or Cl; and R<sup>1</sup> is preferred to be H or Cl. Representative of such preferred Y substituents are methyl, ethyl, propyl, isopropyl, butyl, hexyl, octyl, cyclohexyl, benzyl, 3,4-dichlorobenzyl, 4-methoxybenzyl, 4-chlorobenzyl, 3,4-dichlorophenyl, 4-methoxyphenyl, hydroxymethyl,

chloromethyl, chloropropyl, hydrogen, and the like.

Particularly preferred isothiazolones are 5-chloro-2-methyl-3-isothiazolone, 2methyl-3-isothiazolone, 2-n-octyl-3-isothiazolone, 4,5-dichloro-2-cyclohexyl-3-isothiazolone and 4,5-dichloro-2-octyl-3-isothiazolone.

Most preferred is 5-chloro-2-methyl-3-isothiazolone, either as a sole compound or in admixture with 2-methyl-3-isothiazolone. When in admixture, the preferred ratio of monochlorinated/unchlorinated isothiazolone is from about 70:30 to about 85:15, and an especially preferred ratio is from about 70:30 to about 80:20. A second especially preferred isothiazolone is 2-methyl-3-isothiazolone in combination with low levels of 5-chloro-2-methyl-3-isothiazolone, a preferred ratio being from about 98:2 to about 96:4, and an especially preferred ratio being about 97:3.

The carbonyl compounds may possess other functionality such as a halogen substituent in addition to the carbonyl group: in particular, aromatic aldehydes may
15 have other substituents on the aromatic ring. It will also be appreciated that carbonyl compounds may exist in the form of salts which can be equally active as stabilizers, and accordingly the present invention is intended to cover the claimed compounds when they exist as salts. Preferred carbonyl compounds are (C<sub>2</sub>-C<sub>4</sub>) aldehydes, (C<sub>2</sub>-C<sub>4</sub>) dialdehydes and maleimides including (methyl naphthyl)
20 maleimide, N-ethyl maleimide and N-phenyl maleimide. Particularly preferred specific compounds include crotonaldehyde, glyoxal, maleimide, cinnamaldehyde, benzaldehyde, acetaldehyde, salicylaldehyde, methacrolein and acrolein. Additional preferred compounds including citral and vanillin.

Some carbonyl compounds are known to have microbicidal activity, although their efficacy as stabilizers of isothiazolones has not previously been appreciated. Such compounds will be particularly desirable to use as stabilizers; examples are acrolein, benzoic acid, sorbic acid, dehydroacetic acid, glycolic acid and citric acid. The composition may contain from about 0.01 to about 99.9999 parts of the



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one or more isothiazolones, and from about 0.0001 to about 99.9 parts of the carbonyl compound.

Generally, the composition of the invention will be in the form of a solution. Typical formulation ranges are illustrated in the following Table (all percentages are parts by weight) for both a concentrated solution of the isothiazolone and a dilute solution. For certain uses, such as shipping of large quantities, more concentrated solutions may also be utilized.

# FORMULATIONS TABLE

Carbonyl Compound

Isothiazolone
 (I, Supra)
 0.01 -99.9999%
 Preferred
 0.1-50%
 Solvents

0.01-5.0%

0.0001-99.99%

30-99.89%

0 - 99.9899%

Solvent

Solvents may be used to dissolve the isothiazolones and may be any organic solvent which dissolves the isothiazolones, is compatible with the proposed end use, does not destabilize the isothiazolone, and does not react with the carbonyl compound to eliminate its stabilizing action.

Hydroxylic solvents, for example, polyols, such as glycols, alcohols and the
like, may be used. Under conditions of high dilution and high ratios of stabilizer to
isothiazolone, glycols may be successfully used. In certain formulations,
hydrocarbons, either aliphatic or aromatic, are useful solvents.

Preferred solvents are capped polyols, wherein the free hydroxyl group is replaced with an ether or ester function. Especially preferred are 2,5,8,11-tetraoxdoadecane, commonly known as triethylene glycol dimethyl ether,

and 4,7-dioxaundecanol-1 acetate, commonly known as diethylene glycol butyl ether acetate.

Water is a solvent for certain of the preferred isothiazolones and the carbonyl compound may be employed in aqueous formulations.

The amounts of carbonyl compound employed will vary depending on use

conditions and concentrations of the isothiazolone in the mixture: effective amounts of carbonyl compounds based on isothiazolone may be ratios in the range of from about 1:100 to about 1000:1 stabilizer to isothiazolone. In concentrated solutions, ratios are generally from about 1:50 to about 50:1. Obviously higher amounts may be used, but at additional cost. At high levels of dilution of the isothiazolone (such as from 1 to 10,000 ppm isothiazolone in the solvent), the ratio of stabilizer to isothiazolone can range from about 1:10 to about 20:1. The preferred range is from 1:1 to 20:1.

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The stabilization advantages of the carbonyl compounds of the present invention are noted even when the isothiazolone contains other salt stabilizers such as are recorded in US-A-3,870,795, 4,067,878, 4,150,026 and 4,241,214.

It is known in the art that the performance of microbicides may be enhanced
by combination with one or more other microbicides. Thus, other known
microbicides may be combined advantageously with the composition of this invention.

Uses of these new organically stabilized microbicides are typically at any locus subject to contamination by bacteria, fungi, yeast or algae. Typical loci are in aqueous systems such as water cooling, laundry wash water, oil systems \_ich as cutting oils, oil fields and the like wnere microorganisms need to be killed or where their growth needs to be controlled. However these stabilized microbicides may also be used in all applications for which known microbicidal compositions are useful; preferred utilities of the compositions are to protect wood paint, adhesive, glue, paper, textile, leather, plastics, cardboard, lubricants, cosmetics, food, caulking, feed and industrial cooling water from microorganisms.

The following lists typical industries and applications of compositions:

#### Industry

# <u>Application</u>

Adhesives, Sealants

adhesives caıılks sealants Agriculture/food chain

adjuvant preservation agricultural active ingredient agricultural chemical preservative agricultural formulations preservation animal feed preservation dairy chemicals fertilizer preservation food preservation food processing chemicals grain preservation post-harvest produce protection sugar processing tobacco

Construction products

Cosmetics and toiletries

• Disinfectants, antiseptics

.....

•••

.....

..... Emulsions, dispersions

Formulated household products

asphalt / concrete cement modifiers construction products roof mastics synthetic stucco wall mastics joint cement

cosmetics raw materials for cosmetics, toiletries toiletries

antiseptic disinfectant

aqueous dispersions dispersed pigments latex photographic emulsions pigment slurries polymer latices

fabric softeners polishes waxes hand dish detergents raw materials liquid detergents hand soaps Industrial processing, misc

electrodeposition paint, baths, rinses. electrodeposition pre-treatment, post rinses industrial fluids preservation pasteurization baths process aid preservation

Industrial water treatment

Leather, leather products

Lubricants, hydraulic aids

Medical devices

Leundry

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air washers cooling towers cooling water water cooling preservation/treatment of wooden cooling tower slats and structural members can warmers brewery pasteurization closed loop water cooling systems

household laur. Iry products laur lered goods laundry wash water sanitizers-laundry

leather and hide leather and hide products

automotive lubricants and fluids conveyor lubricants greases hydraulic fluids lubricants

diagnostic enzymes diagnostic kits medical devices

Metalworking & related app's

cutting fluids metal cleaning metalworking fluids

Odor control (active ingredient) air conditioning animal bedding cat litter

- 9 -

Paints and coatings

Paper and wood pulp, their products

Paper mill

••••••

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|         | Petroleum | refining, | fuels |
|---------|-----------|-----------|-------|
| •••••   |           |           |       |
| * * * * |           |           |       |
| _       |           |           |       |

Photographic Chemicals

photographic processing - wash water,

aviation fuels (jet fuel, aviation gas) crude oils burner, diesel and turbine fuel oils coal slurries diesel fuel additives diesel fuels fuels gasoline heating oils hydrocarbons kerosene liquified petroleum gas petrochemical feedstocks petroleum products, storage, transportation and production recycled petroleum products residual fuel oils turbine oils

absorbent materials of paper and wood pulp packaging materials of paper and wood pulp paper paper products paper treatment soap wrap wood pulp wood pulp products

paper mill slimicides pulp and paper slurries

industrial deodorants sanitary formulations toilet bowls emulsions paints

chemical toilet prep'ns

deodorizers humidifiers

#### and process

Sanitizers (active)

Printing

rinses photoprocessing photoplate processing chemicals \_velopers, stabilizers etc)

fountain solutions (printing) ink components (pigments, resins, solvents, etc)

inks

sanitizers sanitizers-dairy sanitizers-dental sanitizers-fermentation sanitizers-food preparation sanitizers-food processing sanitizers-medical sanitizers-rendering sanitizers-veterinary

cleaners detergents household cleaners industrial cleaners liquid soaps oil and grease remover powdered soaps raw materials for cleaning products soaps surfactants

bonded fabrics burlap canvas canvas goods carpet backing carpets clothing coated fabrics curtains draperies engineering textiles fibers geotextiles

•,•...• Soaps, detergents, cleaners

Textiles, textile products

.....

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goods made of textiles knitted fabrics nets nonwoven fabrics rope rugs textile accessories textile products textiles upholstery woven fabrics yarn

dye fixatives dyes fiber lubricants hand modifiers sizes textile processing fluids

animal health/veterinary aquaculture dental human health pharinaceutical /therapeutic

charcoal beds deionization resins filters membranes reverse osmosis membranes ultrafilters water purification water purification pipes, tubing

lazures (wood stains) wood wood products

alcohols bedding incorporating water or gels ceramic contact lens cases-leaching electronic circuitry

Textile processing

Therapeutic (active or preservative)

Water purification

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Wood applications

Miscellaneous

electronics chemicals enzymes-food production enzymes enzymes-industrial gel cushions marine antifoulants mildewcides wood plastics laundry mining natural rubber latex oil field injection waters including enhanced recover injection fluids, drilling, fracturing and completion fluids pipes plastics polymer systems polymers and resins (synthetic and natural) reagent preservation rubber rubber products skin reinover solid protective/decorative films stains swimming pools waste treatment water beds

Because isothiazolones are so active as microbicides and only low levels of carbonyl compounds are required to achieve stabilization, the amount of carbonyl compound in systems being treated will be very small, and therefore it is not likely to interfere with other components in systems requiring protection or with systems to which the protected systems will be applied. Potential areas of general application include metal-working fluids, cooling water, and air washers.

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• • • • • •

One significant area of application for the compositions of the invention is as microbicides in metal working fluids. Metal working fluids are proprietary combinations of chemicals, which may contain, inter alia, ingredients such as

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alkanolamines, petroleum sulfonate surfactants, oils (naphthenic, paraffinic, etc.), chlorinated paraffins and fatty esters, sulfurized fatty compounds, phosphate esters, fatty acids and their amine salts, glycols, polyglycols, boric acid esters and amides. They are utilized in the milling, machining, drilling, and other processing technologies for fabricating metal for the purposes of lubricating, cooling, preventing surface corrosion, and the like. They are sold in the form of active metal working fluid (MWF) concentrates, and are diluted in use to 1-10% active ingredients in water.

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Because metal working fluids are recycled and stored, the growth of microorganisms is favored. Isothiazolones have been found effective in preventing the growth of such organisms. Certain of the components in the metal working fluids will tend to destroy the isothiazolone and so remove its microbicidal protective activity, so that stabilizers for the isothiazolone against such degradation are desirable.

The following examples are intended to illustrate the present invention and not to limit it except as it is limited by the claims. All percentages are by weight unless otherwise specified, and all reagents are of good commercial quality unless otherwise specified. Methods for quantitative determination of the isothiazolones in the following examples in metal-working fluids are described in detail in "Kathon® 886 MW Microbicide and Kathon® 893 MW Fungicide: Analysis in Metalworking Fluids by High-Performance Liquid Chromatography", 1988, Rohm and Haas Company.

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# EXAMPLES

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# EXAMPLES 1 TO 4

These examples demonstrate the stabilizing effect of carbonyl compounds for isothiazolones added to several different metal working fluids (MWF). MWF concentrates A and B were "semi-synthetic" types having about 10 to 15 percent naphthenic/paraffinic oil, about 50 percent water, emulsifying agonts, pH adjusting amines, anticorrosive agents, and EP (extreme pressure) agents.

Into a glass vial in the following order were placed: a) 5 parts by weight of the MWF concentrate solution, b) 5 parts of the stabilizer in solution or dispersion, c) 5 parts water, d) 5 parts of an aqueous solution containing 80 ppm active ingredient (AI), prepared by dilution of a 14.4% aqueous solution of an approximately 75/25 mixture of 5-chloro-2-methyl-3-isothiazolone and 2-methyl-3-isothiazolone, the former being considered the active ingredient for these purposes; also present was 9.2 % magnesium chloride and 15.7% magnesium nitrate. Thus the final mixture contained 3-5% of the MWF concentrate, 15 ppm active ingredient of the isothiazolone, and C (control) to 1,000 ppm of the stabilizer.

The vials were then capped, stored at ambient room temperature in a closed cabinet for a designated time, filtered through a 0.45 micron filter into another vial and analyzed the same day. The relative concentration of the active ingredient was determined by reverse phase high pressure liquid chromatography, utilizing a Varian model 5500 chromatograph and an ultraviolet detector.

# TABLE 1

# STABILIZATION OF 5-CHLORO-2-METHYL-3-ISOTHIAZOLONE IN MWF CONCENTRATE A AFTER FIVE DAYS

Original system contained 15 ppm 5-chloro-2-methylisothiazolor.e (AI) with 3% MWF Concentrate A in water. All stabilizers were added at 1000 ppm

| <u>Stabilizer</u>                                                                                                                                                                                                                           | <u>% AI remaining</u>                         |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|
| None, (comparative)                                                                                                                                                                                                                         | 3                                             |
| crotonic acid<br>trans-cinnamic acid<br>fumaric acid<br>maleic acid<br>N-phenylmaleimide                                                                                                                                                    | 87<br>56<br>100<br>100<br>35                  |
| <ul> <li>4-acetylbutyric acid</li> <li>2-oxoadipic acid</li> <li>3-oxoadipic acid</li> <li>3-oxoglutaric acid</li> <li>4-ketopimelic acid</li> <li>succinic acid</li> <li>3-bromopropionic acid</li> <li>4-hydrazinobenzoic acid</li> </ul> | 73<br>65<br>38<br>37<br>66<br>100<br>91<br>34 |
| <u>Comparative</u><br>benzalacetophenone<br>2-oxooctanenitrile<br>2-oxooctanenitrile<br>2,4-hexanedione<br>acetoacetanilide<br>4-chlorocinnamonitrile<br>2-acetoxy-3-butene nitrile<br>N-(2-hydroxyethyl)acetoacetamide                     | 11<br>0<br>0<br>2<br>0<br>5<br>0<br>1         |

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# EXAMPLE 2

- 17 -

This example demonstrates the stabilizing effect of several carbonyl compounds on a commercial MWF after 4 days at room temperature. Testing was as in Example 1. In the absence of the MWF, the relative concentration of the AI remained at 100%.

• • • • •

# TABLE 2

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# MWF A STABILIZED WITH CARBONYL COMPOUNDS AGED 4 DAYS

Original system contained 15 ppm 5-chloro-2-methyl-3-isothiazolone (AI) with 3% MWF concentrate A in water. All stabilizers were added at 1000 ppm.

| <u>Stabilizer</u>                  | <u>% AI remaining</u> |
|------------------------------------|-----------------------|
| • None                             | 3                     |
| acrylic acid                       | 79                    |
| propiolic acid                     | 88                    |
| crotonic acid                      | 70                    |
| trans-2-pentenoic acid             | 65                    |
| trans-2-hexenoic acià              | 39                    |
| butyric acid                       | 72                    |
| •••• vinyl acetic acid             | 59                    |
| ••••• crotonaldehyde               | 63                    |
| • succinic acid                    | 78                    |
| • •• phthalic acid                 | 22                    |
| ••• • maleic acid                  | 91                    |
| • • • fumaric acid                 | 68                    |
| maleimide                          | 67                    |
| ••••• isophthalic acid             | 67                    |
| malonic acid                       | 91                    |
| succinic acid                      | 79                    |
| glutaric acid                      | 73                    |
| 3-oxoglutaric acid                 | 28                    |
|                                    |                       |
| . * * * • Comparative              |                       |
| methyl crotonate                   | 9                     |
| <ul> <li>crotononitrile</li> </ul> | 6                     |
| •••••• 3-penten-2-one              | 4                     |
| •••••• succinamide                 | 6                     |
| succinonitrile                     | <b>4</b>              |
|                                    |                       |
|                                    |                       |
|                                    |                       |

# EXAMPLE 3

This example demonstrates the stabilizing effect of further carbonyl compounds on a commercial MWF after 3 days at room temperature.

The original system contained 15 ppm of 5-chloro-2-methyl-3-isothiazolone (AI) with 3% MWF concentrate A in water. All stabilizers were added at 1000 ppm.

|       |                      | TABI                                     | LE 3                                                                                                             |
|-------|----------------------|------------------------------------------|------------------------------------------------------------------------------------------------------------------|
|       | <u>Stabilizer</u>    |                                          | <u>% AI remaining</u>                                                                                            |
|       | None                 |                                          | 19                                                                                                               |
|       | adipic acid          |                                          | 55                                                                                                               |
|       | citric acid          | ч.<br>1911 г.                            | 79                                                                                                               |
|       | lactic acid          | n an | 43                                                                                                               |
| ••    | (D)(L) malic acid    |                                          | 69                                                                                                               |
|       | (D)(L) mandelic acid |                                          | 37                                                                                                               |
| ••••• | oxalic acid          |                                          | 76                                                                                                               |
|       | propionic acid       |                                          | 63                                                                                                               |
|       | sorbic acid          |                                          | 52                                                                                                               |
| ••••• | tartaric acid        |                                          | 65                                                                                                               |
| ***** |                      |                                          | and the second |

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# **EXAMPLE 4**

In this experiment, further results are shown for a variety of carbonyl compounds in two different MWFs. The carbonyl compounds tested were readily soluble in the test system; there was no colour development with the systems on mixing.

#### TABLE 4

# COMPARISON OF SEVERAL CARBONYL COMPOUNDS IN TWO MWF SYSTEMS AFTER 11 DAYS

Original system contained 15 ppm 5-chloro-2-methyl-3-isothiazolone (AI) with 3% MWF concentrate A in water. All stabilizers were added at 1000 ppm.

| <u>Stabilizer</u>   | Stabilizer level<br>(ppm) | MWF Conc. A<br>% AI<br><u>Remaining</u> | MWF Conc. B<br>% AI<br><u>Remaining</u> |  |
|---------------------|---------------------------|-----------------------------------------|-----------------------------------------|--|
| None, (comparative) | 0                         | 43                                      | 3                                       |  |
| N-ethylmaleimide    | 1000                      | 77                                      | 3                                       |  |
| • N-phenyimaleimide | 1000                      | 72                                      | 19                                      |  |
| • t-cinnamaldehyde  | 1000                      | 61                                      | 50                                      |  |
| iodoacetamide       | 1000                      | 83                                      | 31                                      |  |

# EXAMPLE 5

This example illustrates the ability of carbonyl compounds to stabilize isothiazolone in the presence of typical formulations used for water treatment in • cooling towers.

A synthetic cooling tower water was prepared by adding 466.4 mg sodium carbonate into a liter of deionized water. The pH was adjusted to 9.0 using concentrated hydrochloric acid. Into the solution was added 10.7 ml scale/corrosion inhibitor stock solution (Acrysol QR 1086, Bahibit AM, and Cobratec TT-50-S), then
160 mg CaCl<sub>2</sub>•2H<sub>2</sub>O and 122 mg MgCl<sub>2</sub>•6H<sub>2</sub>O. The final solution was adjusted to pH
9.0 with hydrochloric acid.

The synthetic water contained 170 ppm hardness as  $CaCO_3$ , 440 ppm alkalinity as  $CaCO_3$ , 5 ppm Acrysol® QR 1086, 5 ppm Bahibit<sup>TM</sup> AM (Phosphonate), and 2 ppm Cobratec® TT-50-S (Tolyltriazole). The hardness was 160 ppm  $CaCl_2 \circ 2H_2O$  and 122 ppm MgCl<sub>2</sub>  $\circ 6H_2O$ . Isothiazolone was added at 5 ppm AI and incubated for 10 days at room temperature. AI analysis was done as described in Example 1. Results are given in Table 5.

# TABLE 5

# COMPARISON OF CARBONYL STABILIZERS IN COOLING TOWER WATER AFTER 10 DAYS AT ROOM TEMPERATURE

| <u>Stabilizer Level (ppm)</u> | % AI Remaining                                                                     |
|-------------------------------|------------------------------------------------------------------------------------|
| 0                             | 40                                                                                 |
| 50                            | 40                                                                                 |
| 25                            | 40                                                                                 |
| 50                            | 74                                                                                 |
| 25                            | 60                                                                                 |
| 50                            | 71                                                                                 |
| 25                            | 57                                                                                 |
| 50                            | 43                                                                                 |
| 25                            | 40                                                                                 |
|                               | <u>Stabilizer Level (ppm)</u><br>0<br>50<br>25<br>50<br>25<br>50<br>25<br>50<br>25 |

# **EXAMPLES 6-9**

These examples illustrate the stabilizing effect of carbonyl compounds for isothiazolones in metalworking fluids. Tests were run as described for Examples 1-4. Results are given in Tables 6-10.

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# TABLE 6

- 22 -

11.25 ppm AI, 300 ppm stabilizer, 2 days at room temperature, a synthetic metalworking fluid (MWF-A)

| <u>Stabilizer</u> | % AI remaining |
|-------------------|----------------|
| None              | 50             |
| Benzaldchydc      | 56             |
| Salicylaldehyde   | 66             |
| Acetaldehyde      | 67             |
| Methacrolein      | 69             |

# TABLE 7

15 ppm AI, 1000 pL n stabilizer, 3 days at room temperature, MWF-A Stabilizer <u>% AI remaining</u> None 23 Furfuraldehyde 55 4-Methoxysalicylaldehyde 66 Methyl glyoxal 77 Glycollic acid 77

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# TABLE 8

15 ppm AI, 2000 ppm stabilizer, 3 days at room temperature, MWF-A

| <u>Stabilizer</u> |  | % Al re | maining |
|-------------------|--|---------|---------|
| None              |  | 14      |         |
| Acrolein          |  | 67      |         |

# TABLE 9

11.25 ppm AI, 300 ppm stabilizer, 2 days at room temperature, a synthetic metalworking fluid (MWF-A).

|         | <u>Stabilizer</u> | % AI remaining |
|---------|-------------------|----------------|
|         | None              | 32             |
|         | Metolachlor       | 44             |
| •••     | Diethatyl Ethyl   | 72             |
| ****    | Alachlor          | 46             |
| • • • • | Butachlor         | 42             |

The four stabilizers were added as the commercially available emulsifable concentrates (stabilizer in an aromatic or aliphatic solvent plus a mixture of nonionic and anionic emulsifiers). The products and suppliers were metolachlor (Dual EC - Ciba-Geigy); diethatyl ethyl (Antor EC-BFC Chemicals); alachlor (Lasso EC-Monsanto); and butachlor (Machete EC-Monsanto).

While the invention has been described with reference to specific examples and applications, other modifications and uses for the invention will be apparent to those skilled in the art.

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# THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS:-

- 24 -

1. Composition comprising

a) at least one 3-isothiazolone of the formula (I)



# 10 wherein

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Y is  $(C_1-C_{18})$ alkyl or  $(C_3-C_{12})$ cycloalkyl each optionally substituted with one or more of hydroxy, halo, cyano, alkylamino, dialkylamine, arylamino, carboxy, carbalkoxy, alkoxy, aryloxy, alkylthio, arylthio, haloalkoxy, cycloalkylamino, carbamoxy, or isothiazolonyl; an unsubstituted or halo-substituted  $(C_2-C_8)$  alkenyl or 15 alkynyl; a  $(C_7-C_{10})$ aralkyl optionally substituted with one or more of halogen,  $(C_1-C_4)$ alkyl or  $(C_1-C_4)$ alkoxy; and an aryl optionally substituted with one or more of halogen, nitro,  $(C_1-C_4)$ alkyl,  $(C_1-C_4)$ alkyl-acylamino, carb $(C_1-C_4)$ alkoxy or sulfamyl; and

> R and  $\mathbb{R}^1$  is each independently H, halogen or  $(C_1 - C_4)$  alkyl; and b) a carbonyl compound comprising  $(C_2 - C_6)$  aldehydes,  $(C_7 - C_{10})$  aromatic

aldehydes,  $(C_2-C_4)$  dialdehydes and maleimides of the formula



wherein R" is H, (C<sub>1</sub>-C<sub>4</sub>) alkyl or aryl;
provided that when (b) comprises an aldehyde or dialdehyde the ratio (b):(a) is less than 10:1.

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2. Composition according to claim 1 wherein the carbonyl compound comprises a  $(C_2-C_4)$  aldehyde,  $(C_2-C_4)$  dialdehyde, or maleimide.

- Composition according to claim 1 wherein the carbonyl compound comprises
   crotonaldehyde, glyoxal, maleimide, (methyl-1-naphthyl) maleimide, N-ethyl
   maleimide, N-phenyl maleimide, cinnamaldehyde, benzaldehyde, acetaldehyde,
   salicylaldehyde, methacrolein, or acrolein.
- Composition according to any preceding claim wherein said at least one 3 isothiazolone is 5-chloro-2-methyl-3-isothiazolone, 2-methyl-3-isothiazolone, 2-n octyl-3-isothiazolone, 4,5-dichloro-2-cyclohexyl-3-isothiazolone, or 4,5-dichloro-2-octyl 3-isothiazolone; preferably a mixture of 5-chloro-2-methyl-3-isothiazolone and 2 methyl-3-isothiazolone.
- 15 5. Composition according to any preceding claim, additionally comprising a polyol solvent.

6. Composition according to any preceding claim wherein the total amount of 3-isothiazolone is from 0.01 to 99.9999%, preferably from 0.1 to about 50% by
20 weight, and the carbonyl compound is present in an amount from 0.0001 to 99.99%, preferably from 0.01 to about 50% by weight, based on the total weight of the composition.

7. Composition according to any preceding claim wherein the ratio of carbonyl
25 compound to total 3-isothiazolone present is from 1:100 to 1000:1, preferably from
1:50 to 20:1, and more preferably from 1:1 to 20:1, subject to the proviso of claim
1.

8. Use of a carbonyl compound as defined in any of claims 1 to 4 to stabilise a
30 3-isothiazolone as defined in claims 1 or 4.

9.

A method of stabilizing a 3-isothiazolone of the formula (I) as defined in

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claims 1 or 4, comprising incorporating with said 3-isothiazolone an effective amount of a carbonyl compound as defined in any of claims 1 to 3.

10. Method of inhibiting or preventing the growth of bacteria, fungi or algae in
5 a locus subject or susceptible to contamination thereby, comprising incorporating into
o, onto the locus a composition according to any of claims 1 to 7 in an amount
effective to adversely affect the growth of said bacteria, fungi or algae.

11. Method according to claim 10 wherein the locus is a metal-working fluid, a
10 cutting oil, a water-cooling system, a cosmetic formulation, a paint, or a film-forming agent.

12. A composition according to claim 1, or a method of use thereof substantially as hereinbefore described with reference to the Examples.

DATED this 16th day of September, 1993.

# ROHM AND HAAS COMPANY

20 By Its Patent Attorneys DAVIES COLLISON CAVE



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