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"BLOW MOLD ANNEALING AND HEAT TREATING ARTICLES"

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The present invention relates to a process for preparing biaxially oriented shaped articles formed from thermoplastic materials and, more particularly, to annealing, and thereafter heat setting in a second mold polyethylene terephthalate bottles which can be subjected to washing and reuse.

Refillable plastic bottles reduce landfill and recycling problems of disposable plastic beverage bottles and, more particularly, those bottles formed from polyethylene terephthalate or PET.

A refillable plastic bottle must remain aesthetically pleasing and functional over numerous washings and refillings as discussed by U.S. Patent Nos. 4,755,404, 4,725,464 and 5,066,528. Cracks, color changes, volume or structural change must be minimized.

U.S. Patent No. 4,385,089, teaches how hollow, biaxially oriented shaped articles are formed from intermediate products which may be sheets or other shapes when thermoformed or parisons or preforms when injection molded, injection blown or extrusion blown. The preform may be prepared and immediately used hot or may be stored and reheated later to a temperature having sufficient elasticity to be shaped into a bottle or other form by drawing and blowing in a cooled mold to obtain the final shape of the article. The preform is

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1 next often subjected to a heat setting at well above the
glass transition temperature of the thermoplastic to
increase the articles strength and resistance to gas
loss. Heat setting also prevents distortion when the
5 bottle is reused, including a hot caustic wash.

U.S. Patent No. 4,233,022, teaches the use of
a first cooled blow mold for shaping a bottle and for
obtaining biaxial orientation and the transfer of the
bottle to a second mold shaped cavity having segmented
10 portion separated by insulating sections to heat set the
bottle to 150°C to 220°C.

U.S. Patent No. 5,085,822, teaches it is old
to blow in a mold at 130°C and cool it to 100°C to
prevent deformation on removal. A container may be
15 retained in the blow mold and heated to remove stress
and thereafter be transferred to a separate cooled mold
to solidify. A molded container can be held for a
predetermined period of time to heat set followed by the
introduction of a cooling fluid into the bottle. Also
20 disclosed is heat setting a blown container in a
separate mold.

U.S. Patent No. 4,505,664, teaches
transporting the blowing cavity and blown article to a
second station where a medium is circulated through the
25 article.

U.S. Patent No. 4,988,279, biaxially orients
the article which can then be heat set.

U.S. Patent No. 5,080,855, teaches blow molded
articles which may be heat set in a second mold. Also
30 see also U.S. Patent No. 4,485,134, 4,871,507 and
4,463,121 that discuss heat treating biaxially oriented
bottles.

1 U.S. Patent No. 4,572,811, teaches heat
treating non-oriented PET which forms an opaque wall
which it has been found leads to stress cracking when
bottles are recycled.

5 U.S. Patent No. 4,588,620, teaches preforms
having a thinner bottom wall which permits longer or
deeper stretch of the shoulder and sidewall portions.

While it is known to biaxial stretch a preform
using pressure, we have found that annealing of the
10 blown preform and thereafter heat treating the container
in a separate mold improves the number of times one can
reuse the container.

The present invention presents an apparatus
and a method that blows a container from a preform at a
15 temperature where it is elastic enough for biaxial
stretching. The preform is blow molded and annealed in
a mold which is uncooled or one which is cooled. The
container is held in the blow mold until annealed and is
at a temperature where the container surface may be
20 reformed in a second mold without sticking or deforming.
The warm annealed container is then transferred, without
deformation, to a second mold where portions of the
container wall are heat treated at 110°C to 220°C to
heat set the biaxial blown container and to form any
25 decorative or structural surface indicia. The container
is then cooled by an internal injection of cooling
fluid, usually water, and removed from the mold.

A hot preform, about 90°C to 110°C for PET, is
rapidly expanded against the warm blow mold's inner
30 surface and held there by internal pressure until the
temperature of the shaped container reaches the
annealing temperature of the wall in the case of the

1 neck-shoulder and body portion of the bottle. The
bottom and shoulder which are relatively thick and
amorphous are cooled as rapidly as possible to reduce
the base temperature to below the body wall annealing
5 temperature. The annealing temperature may be as high
as 95°C.

Sections of the blow mold have channels for
passing water through to control the wall temperature
and regulate the wall temperature of the blown article.
10 Container detailing, such as side wall decoration, label
panels or other structural surface details such as
ridges and the like are not present in the blow and
annealing mold.

After annealing, the blown bottle is
15 transferred, without deformation, to a second, larger
mold where at least a portion of the bottle wall is heat
treated at a temperature of 110°C to 220°C and
decorative and other wall surface indicia is formed.
The second mold is larger in volume, (up to 10%), in
20 height and diameter and has all the decorative and other
surface detail desired in the final container. The
container is pressurized and forced against the surface
of the second mold. The container walls are heated to
heat setting temperature.

25 The blow and annealing mold and the second
heat treating mold can be designed to control the
temperature of the container not only in the body
portion of the side wall but also in the neck-shoulder
region, in the bottom and shoulder region and in the
30 neck region.

Each portion of a temperature controlled mold
abuts adjoining portions so that the temperature at the

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1 edge of each section adopts a gradual profile and avoids sharp temperature differences which can stress the bottle and result in bottle failure during reuse.

After heat treatment, water is injected into
5 the heat treated container and evaporates with the latent heat of vaporization rapidly removing most of the heat. The container is then released from the second mold.

A BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 shows a preform prior to application of
10 the process and apparatus of the invention.

Fig. 2 shows a blow and annealing mold free of surface design and structural features and illustrates the temperature controlled portions of the mold.

Fig. 3 shows a second heat treating mold
15 having surface design features and similar temperature controlled portions.

Fig. 4 is a block diagram illustrating the various steps of the process and features of the apparatus.

20 The present invention relates to a method for heat setting a warm blown thermoplastic article in a second mold. Rather than using a single hot mold for heat treatment, where stickage can result, or a cold mold to rapidly cool the blown article, where stress can
25 be developed, the blow molded container is annealed at warm conditions in a first blow mold to reduce and equalize stress formed during the biaxial stretching of the preform into the container. Although the resultant bottle is annealed, the container wall temperature is
30 cool enough to allow the bottle to be removed from the blow and annealing mold and reblown in a second heat setting mold, without deformation. The temperature of

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1 the transferred container is such that the container can
be reformed in the second mold but not so hot that the
container will deform making it impossible to pressurize
it in the second mold into the desired shape. Thus, the
5 temperature is lower than the deformation temperature of
the PET, about 95°C or lower, but not so low that
appropriate surface detail or indicia cannot be formed
in the second mold.

The container is next transferred in a warm
10 but undistorted and undeformed condition to a second
mold which can be up to 10% larger in height and
diameter, preferably up to 5% greater height and
diameter, where the warm container is heated to a
temperature of 110°C to 220°C, preferably 150°C to
15 220°C, to heat set the container body wall. The second
mold is designed with all the decorative and useful
surface features desired in the final container. The
mold temperature can be regulated by either heating
fluid conduits and a heat transfer fluid or, preferably,
20 by electrical resistance means.

Once the bulk wall temperature of the portion
of the container wall to be heat treated has been raised
to the heat treatment temperature, it may be immediately
cooled or held for a short period of up to 30 seconds to
25 increase the crystallinity of the wall section. Heat
crystallinity developed by conventional methods results
in opaqueness or pearlescence while the present
invention is able to increase the crystallinity of the
bottle wall and thus reduce shrinkage without an
30 attendant development of opaqueness. Determination of
the degree of crystallinity is well within the skill of
the ordinary worker in the art.

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1 The bottle herein described is a 1.5 liter
carbonated beverage bottle which may be further treated
or allowed to cool and filled with product. The bottle
may be cleaned using hot caustic and reused. Various
5 size bottles are possible by making commensurate changes
in the size of the preform and molds.

Referring now to Fig. 1 the preform 1 is shown
where one-quarter of the preform has been cut in a plane
perpendicular to the paper shown as A-A and within the
10 plane of the paper exposing the quadrant marked 3 having
relatively thin screw cap area 5 which becomes the neck
portion 63 of Fig. 2, a tapered wall portion in Fig. 1
shown as 7 and 13 which when drawn and blown into a
bottle forms the slowly tapering bottle surface apparent
15 in Fig. 2 at 27 of the neck-shoulder portion 22 of the
mold. There is a relatively long wall 9 which is drawn
and blown into the long bottle wall contacting 28 shown
in body portion 20 of the mold in Fig. 2. The preform
base 11 may initially contain less thermoplastic than
20 the side wall 9, but after being blown into a bottle it
is relatively thicker than the side walls and more
difficult to cool and would contact surface 29 in the
bottom and shoulder portion 24 of the mold shown in Fig.
2. The degree of taper of the inside surface 13 and
25 outside surface 7 of the preform of Fig. 1 is extensive
and sufficient to increase the wall thickness at least 2
fold from neck to body.

For the 1.5 liter bottle the top of the neck
or cap 2 of Fig. 1 has a thickness of 2.1 mm and the
30 neck has a length of 28 mm prior to the beginning of the
tapered portion. The thickness at the beginning of the
taper at 4 is 2.75 mm and 6.9 mm shown at 6 or the body

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1 wall thickness. The tapered portion is 20 mm long and
the constant circumference body portion is 94 mm long.
The wall thickness at the narrowest portion of the
bottom of the preform is 4 mm.

5 Referring now to Fig. 2, there is shown a mold
section 21 having four mold portions 20, 22, 24 and 60
which are in cooperative and normally adjacent
relationship to at least one of the other mold portions
and comprise one-half of a female mold which, when
10 closed, forms the general shape shown by the line marked
25, 27, 28 and 29 which outlines a cavity surface
generally shown as 62, 42, 26 and 52. The cavity is
normally formed by preparing a mold section in the shape
of the bottle as if the bottle were cut along its axis
15 B-B into two equal volumes. Of course, more sections
could be employed, if desired, as long as when closed
they form a cavity having the shape of the desired
bottle.

No surface ornamentation or special
20 strengthening surface indicia such as wall ornamentation
or label panels need be present in the blow mold since
they may be formed in the second heat treating mold.

Warm water cooling channels, one of which is
shown at 30, are equally spaced about the body portion
25 26 of the cavity. These channels are connected to a
warm water supply which is circulated throughout the
metal body mold section shown as 20. Each channel may
be connected to each other in either series or parallel
relationship and maintain the surface of the mold cavity
30 26 at annealing temperature during operation, the wall
being slightly hotter than the water supply resulting
from contact with the hotter blown preform. Hot water

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1 is conducted through 32 and up through the channel 30
and out through 34 to another channel, not shown, in
series operation or to a manifold, not shown, for
parallel operation. The size of the channels is
5 governed by the amount of heat to be removed and the
heat transfer characteristics of the mold and can be
determined by one of ordinary skill in the art.

The neck-shoulder portion of the mold section
shown at 22 including the upper wall surface 42 is
10 maintained at about the temperature of the warm cooling
water. Warm water below 70°C and normally about 60°C is
conducted throughout the cooling channels generally
shown as 40 by dotted lines. Inlet 44 and outlet 46 can
be connected in parallel or series as desired. The
15 neck-shoulder portion 22 is normally cooled to about
60°C which allows the bottles to be removed from the
mold with deformation.

The bottom and shoulder portion 24 of the mold
section 21 is also cooled by cold water passed through
20 channels shown as 50 in a manner similar to the other
portions of the mold. Cold water is used to lower the
temperature of the bottle wall in contact with surface
52 as quickly as possible to reduce the thermoplastic
wall temperature to below 80°C, preferably below 70°C.

25 A fourth mold section 60 is shown about the
neck portion of the preform which is not normally heated
or cooled and remains cool and amorphous. If desired,
heating or cooling channels or equivalent heating or
cooling means may be provided.

30 Obviously, mold portions 60, 20, 22, and 24 of
mold section 21 can be contained within an outer
hydraulic mold system surrounding at least a portion of

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1 the mold section 21 outer wall shown as 70. If desired,
channels for controlling mold wall temperature may be
contained in the outer mold system in addition to or
alternatively to the channels in the mold portions 60,
5 20, 22 and 24.

The mold portions are normally affixed to each
other or an outer mold system by means well known to the
art and not shown. The mold portions generally
substantially abut and often touch each other at 74, 76
10 and 78, without use of insulation, which allows the
metal in adjacent mold sections to reach a temperature
which gradually changes in the area of 74, 76 and 78
preventing stresses caused by the difference in bulk
temperature of sections 20, 22, 24 and 60.

15 While water is described as the usual heat
transfer fluid, any appropriate oil or other fluid might
be used. Other appropriate heating or cooling means
known in the art can be used in place of and in
conjunction with the heat transfer fluid. Resistance
20 heating may be employed, for example, in the neck area.
The cooling channels may be any desired shape and
configuration but are generally circular and cut
straight through the mold portion. When the channel is
made to abut other portions of the mold, other shapes
25 can be formed like those shown as 50 in Fig. 2.

In operation, the annealing process may be
employed as part of a rotary or linear blow molding
process. In a preferred practice, a linear
configuration of stationary molds is employed because of
30 the ease of feeding heat transfer fluid through
stationary piping and the limited number of bottles
under manufacture should there be mechanical failure or

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1 problems. However, rotary annealing configurations can
be employed if desired and provide high output of
bottles for a given factory area.

Referring now to Fig. 4, there is shown a mold
5 section 81 having four mold portions 90, 92, 94 and 96
which are in cooperative and normally adjacent
relationship to at least one of the other portions and
comprise one-half of a female mold 81 which when closed
forms the bottle whose general shape is shown by the
10 line marked 91, 93, 95 and 97 which outlines a cavity
generally shown as 98, 100, 102, and 104. The cavity is
normally formed by preparing a mold section in the shape
of the bottle if the bottle were cut along its axis into
two equal volumes. If desired, more sections could be
15 employed as long as when closed they form a cavity the
shape of the desired bottle.

Fig. 4 has decorative details shown as 105 in
the neck-shoulder and body portions and horizontal
ridges shown as 107 and 109. Small holes for removal of
20 gas from the mold are shown at 113 and may not be
apparent on the final bottle surface. The preblown
bottle from the annealing step is introduced into the
mold, one-half of which is shown by Fig. 4, which mold
is up to 10% larger in volume, preferably 1% to 5%
25 larger and often about 2% larger. The plain container
obtained from the annealing mold is soft enough to be
reshaped in the heat setting mold to form the surface
decoration of the bottle during heat treating of the
bottle walls which heat treating increases crystallinity
30 and strength, improves resistance to stress cracking and
provides greater resistance on reuse including
resistance to hot caustic washing.

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1 Hot water channels, one of which is shown at
130, are equally spaced about the cavity. These
channels are connected to a hot fluid heating supply
containing oil or water which is circulated throughout
5 the metal body mold section shown as 94. Each channel
may be connected to each other in either series or
parallel relationship and maintain the temperature of
surface of the mold cavity at about 110°C to 220°C
during operation.

10 Hot water or hot heat transfer fluid is
conducted through 132 and up through the channel 130 and
out through 134 to another channel, not shown, in series
operation or to a manifold, not shown, for parallel
operation.

15 In a similar manner hot heat transfer fluid at
about 110°C to 220°C, is conducted throughout the
heating channels generally shown as 140 by dotted lines.
The neck-shoulder portion of the mold section shown at
92, including the upper wall section 93, is maintained
20 at an elevated temperature within the 110°C to 220°C
range but less than the body portion temperature and
usually from 110°C to 150°C. Inlet 144 and outlet 146
can be connected in parallel or series as desired.

The bottom and shoulder portion of the mold 81
25 is shown as 96 and is usually cooled with water passed
through channels shown as 150 in a manner similar to the
other sections.

A fourth mold section 90 is shown for holding
the neck portion of the bottle which is not normally
30 heated or cooled and remains cool and amorphous and
below the glass transition temperature of the
thermoplastic.

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1 While heating channels are shown in Fig. 4, it
is a preferred embodiment of this invention to employ
electric resistance heaters to heat treat the body and
neck-shoulder portion of the container walls. The
5 electric resistance heater can be controlled to a
temperature adequate to heat treat the thermoplastic
wall of the container in a much simpler and less
expensive way than using heat transfer fluids. The
electrical heater can be simple heating tape wrapped
10 around the outer section of the mold or can be
resistance elements placed within the body of the mold.

The degree of heat treatment can vary
depending on the portion of the mold section. The side
or body wall is heated from 110°C to 220°C, preferably
15 150°C to 220°C and most preferably from 150°C to 175°C.
The bottle is held against the heated mold for a period
of up to 30 seconds and most preferably 1 to 10 seconds.

The degree of heat treatment in the neck-
shoulder portion is regulated to a temperature lower
20 than the body portion but within the 110°C to 220°C
range, preferably 110°C to 150°C, for up to 30 seconds
and most preferably 1 to 10 seconds.

The degree of heat treatment in the bottom and
shoulder portion is regulated to below 95°C, preferably
25 below 85°C, for up to 30 seconds and most preferably 1
to 10 seconds by using cold or warm water.

The neck portion is usually not heat treated
and is maintained below the glass transition
temperature. The neck can be heat treated at a
30 temperature of up to 95°C, preferably 65°C to 85°C for
up to 30 seconds and most preferably 1 to 10 seconds.

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1 After the heat treating step, the bottle is
cooled by injecting water or other volatile or
evaporatable fluid into the bottle. The heat of
vaporization for the vaporizing water removes large
5 amounts of heat rapidly and cools the container. When
the bottle wall temperature has been lowered so that the
bottle will not deform, the mold sections are opened and
the bottle removed and further cooled if desired.

Referring now to Fig. 3, room temperature
10 preforms 81 are conveyed to a preform feed unit 80. The
preforms are placed on transport mandrels at 82. The
preforms are passed through infrared quartz heaters at
84 to bring the sidewalls and bottom 7, 9 and 11 of Fig.
1 to proper temperature for blowing usually between
15 about 90°C to 110°C for PET. The preforms are allowed
to equilibrate at 86 so that the heat is allowed to flow
throughout the preform reducing the high surface
temperature and adjusting the preform temperature
throughout its wall thickness. From there, the preforms
20 are transferred to a blow mold and annealing station 88
where they are blown using high pressure air or other
gas against two closed molds, one of which is shown as
21 in Fig. 2. The axial direction is also generally
stretched by mechanical means such as push rods which
25 drive the closed end of the preform to the bottom of the
blow mold. The blown article is held by pressure
against the mold wall and is annealed in the blow mold
88 at 95°C or below, preferably at about 65°C to 85°C;
more preferably as follows: about 80°C in the body
30 portion 20 of Fig. 2, below 70°C, usually 60°C, at the
neck-shoulder portion 22 of Fig. 2, and below 70°C in
base and shoulder portion 24 of Fig. 2. Usually up to

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1 25 seconds, preferably up to 10 seconds is required to
maintain the expanded thermoplastic against the
segmented mold portions to properly reach the desired
wall temperature which can be 95°C or below.

5 The bottles 89 exit the blow station and are
transferred to a second heat treating and shaping mold
station 78 shown in Fig. 3 wherein they are heat treated
to increase the degree of container wall crystallinity.
The body portion of the wall in the second mold is
10 heated to 110°C to 220°C, the neck-shoulder portion to a
temperature lower than the body portion but within the
110°C to 220°C range, the bottom and shoulder portion is
temperature regulated to below 95°C as is the neck
portion.

15 The heat treated bottles are next cooled by
injecting water 77 into the bottle held in the heat
treating mold which rapidly reduces the bottle wall
temperature by evaporation removing range amounts
through latent heat of vaporization. The cooled bottle
20 75 is removed from the mold and further processed.
Mandrels 87 are returned to the transport area.

In a rotary system the preforms are fed to the
loading station. At the loading station the preforms
are placed onto the transport mandrel. A heater is
25 equipped with a number of stations holding the transport
mandrels as they pass in front of the heating units.
The preforms can be rotated on their own axis to insure
uniform heating. Infra-red quartz lamps are controlled
separately to obtain the desired temperature profile for
30 each preform. While the bulk of the body side wall
should be at a temperature of 90°C to 110°C for PET,

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1 adjustments in temperature can be made to insure best
preform blowing conditions.

The preform temperature is next equalized by
passing the mandrels to an equalizing wheel which may
5 have neck cooling to insure the neck area is cool for
blowing. The object of the equalization wheel is to
allow time for the temperature to become even or
equilibrated across the wall thickness. From the
equalizing wheel the heated preforms are transferred
10 into position in each of a number of mold stations.

Mold halves are pneumatically actuated and locked into
place. The preform is stretched using a stretch rod
while high pressure air at 400 to 600 psi is used to
rapidly expand the preform against the inner mold
15 surfaces. The blown bottle is maintained against the
segmented mold portions shown in Fig. 2 up to 30
seconds, preferably up to 10 seconds and normally about
2 to 6 seconds to bring the bottle wall temperature to
the desired annealing temperature. The bottle is then
20 transferred to a second station where it is locked into
a second mold where the bottle is heat treated and
surface decoration added. The bottle is subjected to a
body wall temperature of 110°C to 210°C for up to 30
seconds to improve, strengthen, reduce gas permeability
25 and provide a bottle more resistant to hot washing.

In the linear version of the process, both the
blow mold and heat treating molds are stationary; the
preform is indexed into the blow mold which is
mechanically or hydraulically closed and the blown
30 annealed bottle is indexed to the heat treating mold
which is up to 10% greater in volume and is also
mechanically or hydraulically closed.

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1 The process can be applied to a variety of
thermoplastic materials such as amorphous or only
slightly crystalline materials which do not crystallize
substantially during monoaxial or biaxial blowing such
5 as polyamides or saturated polyesters like polyesters of
lower alkylene glycols and terephthalic acid such as
ethylene glycol terephthalate or polymers that are
amorphous prior to blowing and crystallize during
biaxial stretching such as saturated polyesters like
10 polyesters of aromatic acids such as terephthalic acid,
naphthalene dicarboxylic acids or hydroxybenzoic acids
with diols such as lower alkylene glycols, for example,
ethylene glycol, propylene glycol or the like and
mixtures and copolymers thereof.

15 The process is particularly useful for
polymers which are generally blown from amorphous to
crystalline state such as mono copolymers and poly
polymers of ethylene-glycol-terephthalic acid-esters
generically known as polyethylene terephthalate or PET.

20 Biaxial orientation of the articles,
particularly bottles useful for still or carbonated
beverages is accomplished by stretching the
thermoplastic material, such as PET, in the axial and
hoop directions simultaneously as the article is being
25 formed. Often stretching in the axial direction is
assisted by a mechanical rod used to force the closed
end of a preform to the base of a mold as high internal
pressure is applied to the preform causing stretching in
both the hoop and axial directions. The preform is
30 forced against the outer mold surfaces to shape the
article and anneal the article at about 95°C or below

1 which further strengthens it and prevent stress cracking
and other problems.

5 In the instant invention, blow molding and
annealing a plain bottle in a first mold followed
10 immediately by heat setting a portion of the bottle wall
in a second, larger mold, while forming surface
ornamentation or the like, provides a stronger more gas
impermeable bottle which may be recycled and hot caustic
washed many time without loss of strength, transparency
15 or gas impermeability. Heat treating is accomplished by
indexing the annealed bottle into the heat set mold,
closing the mold and pressurizing the bottle to force it
against the female mold surfaces. The bottle wall is
held against the heated mold surface to increase
20 crystallinity and develops further strength and
resistance to hot caustic washing. The bottle is then
cooled and removed from the second mold.

The heat treated bottle walls have increased
strength due to increased crystallization induced by the
20 heat treatment. This improved heat treatment
crystallinity is not changed on hot caustic washing
since the high temperatures used to improve the
crystallinity are not reached. The crystallinity due to
biaxial stretching is also not effected since the blow
25 molded container wall is quickly annealed to a
temperature above the caustic wash temperature but below
the temperature which would cause unpressurized
deformation or slump and loss of molecular
crystallization due to use of temperatures approaching
30 or exceeding the glass transition temperature where
biaxial crystallization is reduced.

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1 Thus, in the present invention, annealing the
blown bottle at about 65°C to 95°C, depending on the
area of the bottle, allows one to reuse the bottles,
including cleaning them at 60°C, without losing the
5 strength developed during biaxial stretching and the
annealing treatment. The annealing process, in addition
to reducing thermal stress and biaxial stress
differences, also strengthens the bottle, makes it more
resistant to stress cracking and improves gas barrier
10 properties.

Also in the present invention, the bottle can
be removed from the blow and anneal mold at less than
95°C, particularly in the body portion, and the bottle
can be reblown in the heat treating mold to form the
15 final decorative bottle. Any slight distortion of the
bottle is rectified on reblowing. However, it is
preferred to remove the bottle from the blow mold with
the side wall temperature less than 95°C, the neck-
shoulder wall temperature less than 80°C and the bottom
20 and shoulder wall temperature less than 80°C.

The heat setting temperatures of the second
mold help shape the bottle to the final decorative
design by adding side label panels, decorative swirls,
circular ribs and the like some of which are shown in
25 Fig. 4. The heating also appreciably increases the
degree of crystallinity without affecting biaxial stress
which has been removed by annealing in the first mold.
The resulting bottle has greater crystallinity for
strength as well as resistance to stress cracking and
30 handling cracking usually caused by stress resulting
from biaxial stretching.

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1 The heat treatment in the second mold in the
body portion of the mold is at a temperature of 110°C to
220°C, preferably 150°C to 220°C and most preferably
from 150°C to 175°C for up to 30 seconds, preferably 1
5 to 10 seconds.

 The heat treatment in the neck-shoulder region
is at a temperature lower than the temperature in the
body portion but within the 110°C to 220°C range,
preferably from 110°C to 150°C and most preferably from
10 110°C to 135°C for the same periods of time. The neck
and bottom and shoulder portion are normally not heat
treated but may be if desired. These portions are main-
tained below 95°C and preferably from 65°C to 85°C
during the heat treating process.

15 The heat treatment mold is usually up to 5%
larger in height and diameter than the blow mold and
includes all decorative as well as other surface
indicia. Preferably, the mold is up to 2% larger in
height and diameter when minimal surface indicia is
20 present.

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EXAMPLE

A bottle is blown in a mold from a heated preform having temperatures set forth below. The bottle is either blown into a cold mold without annealing (Example C) or a hot mold (Examples A & B) where the bottle is annealed at about 95°C. The bottles are then placed in a heat setting mold having wall temperatures and contact times set forth below.

10 Hot Mold Example A

(The preblown bottle is put in the heat-set mold as warm as possible.)

Preblow: Neck 60°C
 Body 98°C
 15 Heatset: Body 155°C
 Time 10 seconds
 Crystallization 22.8 %
 Shrinkage 3 ml (1563 ml to 1560 ml)

Example B

Preblow: Neck 60°C
 20 Body 95°C
 Heatset: Body 155°C
 Time 10 seconds
 Crystallization 23.3 %
 Shrinkage 3 ml (1563 ml to 1560 ml)

Cold mold Example C

25 (The preblow bottle is put cold in the heat-set mold.)

Preblow: Neck 60°C
 Body 95°C
 Heatset: Body 155°C
 Time 10 seconds
 30 Crystallization 17.3 %
 Shrinkage 3.7 ml (1568 ml to 1564.3 ml)

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1 One can readily see that annealing followed by
heat treatment of the bottle increased the degree of
crystallization and the resulting strength substantially
while reducing the degree of shrinkage.

5 Broadly stated, the apparatus and process of the
invention involve heating a thermoplastic material to a
temperature near its glass transition temperature (T_g),
molding the material into an article without surface
indicia and during molding simultaneously lowering the
10 temperature to 5°C to 30°C below the glass transition
temperature but not below 50°C , releasing the article from
the first mold while still at a temperature where surface
shaping may be accomplished but below the temperature
where deformation would prevent its transfer and forming
15 in a second mold. The article is transferred to the
second, larger mold wherein the article is shaped to its
final surface appearance and simultaneously the body
portion of the wall is heat treated at a temperature from
 40°C to 100°C greater than the glass transition
20 temperature while the neck-shoulder portion is heat
treated to a lesser amount usually 0°C to 40°C above the
glass transition point, each for a period of time up to
several minutes but usually less than 30 seconds to
increase crystallinity and wall strength of the article.
25 The article is then cooled by injection and evaporation of
a fluid and removed from the mold. For PET, the PET is
heated to 90°C to 110°C , blow molded and annealed at 65°C
to 95°C , heat set in a second, larger mold at 110°C to
 220°C , cooled with injection of water and removed from the
30 mold.

The resulting article is stronger, has better
biaxial crystallization and heat crystallization, better

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1 gas barrier properties and transparency, better
dimensional stability and exhibits less stress cracking.
The process can also be employed on multilayer articles
containing thermoplastic materials especially PET.

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1 WHAT IS CLAIMED:

1. A process for preparing a heat treated transparent biaxially blown thermoplastic container comprising:

5 introducing a heated thermoplastic material in the form of a hollow preform into a first mold having thermally controlled temperature portions;

blowing the thermoplastic material to the shape of the mold to form a container; and first heat annealing
10 the blown container;

transferring the container to a second larger mold having thermally controlled temperature portions;

second heat treating the blown container to increase temperature induced crystallinity; and

15 cooling the heat treated container.

2. The process of Claim 1 in which the thermoplastic material is PET and the temperature of the body portion of the container wall in the second mold is heat treated between 110°C to 220°C.

20 3. The process of Claim 2 in which the temperature of the neck-shoulder portion of the container wall is heat treated at a temperature below that of the body portion but within 110°C to 220°C.

4. The process of Claim 2 in which the body
25 and shoulder portion of the container wall is maintained at 95°C or less during annealing or heat treatment.

5. The process of Claim 2 in which the heat treated container is cooled by injection of a volatizable liquid.

30 6. The process of Claim 1 in which the thermoplastic is a saturated polyester polymer of copolymer of an aromatic acid and a diol.

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1 7. The process of Claim 1 in which the thermoplastic is polyethylene terephthalate.

5 8. The process of Claim 7 in which the temperature during heat treatment of the neck portion of the container material is maintained at 5°C to 30°C below the Tg of the thermoplastic material, the temperature of the body portion of the container material is 40°C to 100°C greater than the Tg of the thermoplastic material, the temperature of the neck-shoulder portion of the
10 container material is 0°C to 40°C greater than the Tg of the thermoplastic material and is below the temperature of the body material and the temperature during heat treatment and annealing of the bottom and shoulder material is 5°C to 30°C below the Tg of the thermoplastic
15 material.

 9. A method for heat treating PET bottles comprising: blow molding a PET preform to form an elongated biaxially stretched bottle and immediately annealing the walls of said bottle at 95°C or below for a
20 period of time effective to reduce stress caused by biaxial stretching and immediately thereafter removing the bottle from the mold and transferring the bottle without deformation to a second mold and pressing the bottle against the walls of the second mold having portions
25 thereof heated to 110°C to 220°C effective to heat set the walls and to form decorative or structural wall indicia and thereafter rapidly cooling the bottle and removing the bottle from the second mold.

 10. The method of Claim 9 in which the body
30 portion in the second mold is heated to 150°C to 220°C.

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1 11. The method of Claim 10 in which the neck-
shoulder portion in the second mold is heat treated at a
temperature of 110°C to 150°C

 12. The method of Claim 11 in which the heat
5 treated bottle is cooled by injecting water therein.

 13. The process of making a reusable bottle
comprising blow molding a container at about the glass
transition point of the thermoplastic and annealed it at
5°C to 30°C below the glass transition point but not below
10 65°C and thereafter heating at least a portion of the
containers wall in a second, larger mold at 40°C to 100°C
greater than the glass transition temperature for a period
of time effective to increase the crystallinity of the
wall of the container.

15 14. An apparatus for annealing, blowing and
heat treating a thermoplastic, biaxially oriented,
transparent, container comprising:

 a blow mold having sectional members which
cooperatively define an interior cavity having a
20 longitudinal axis and conforming to the shape of a blown
thermoplastic article that is a hollow container having a
neck, a neck-shoulder portion, a body portion and a bottom
and shoulder portion;

 said sectional members having thermally
25 controlled substantially abutting portions in cooperative
relationship to each other along the longitudinal axis;

 means for loading a preform and closing the mold
sections;

 means for expanding the preform to form the
30 container;

 means for annealing the container;

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1 means for transferring the container to a second
mold;

said second mold having sectional members having
thermally controlled substantially abutting portions which
5 cooperatively define an interior cavity having a
longitudinal axis and substantially conforming in the
final container appearance, at least one portion having
heat treating means for increasing the container wall
temperature;

10 means for expanding the container to the shape
of the second mold effective to shape the container
including decorative and structural indicia;

means for heat treating at least a portion of
the container wall;

15 means for cooling the container; and
means for recovering the heat treated container.

15. The apparatus of Claim 14 including means
for controlling the body portion of the second mold to
about 110°C to 220°C.

20 16. The apparatus of Claim 14 including means
for controlling the neck-shoulder portion of the second
mold to an elevated temperature lower than the body
temperature but within 110°C to 220°C.

25 17. The apparatus of Claim 14 including means
for controlling the bottom and shoulder portion of the
second mold to below 95°C.

30 18. The apparatus of Claim 14 in which the
thermally controlled portions of the mold contact each
other providing a contoured temperature change between
bottle portions in contact with thermally controlled mold
portions.

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1 19. The apparatus of Claim 14 including means
for controlling the neck portion of the segmented mold to
95°C or below.

 20. The apparatus of Claim 14 in which the mold
5 segments remain closed for up to 30 seconds when heat
treating the container.

 21. The apparatus of Claim 14 including means
for controlling the second mold segment portions to below
150°C for the neck-shoulder portion, to 150°C to 220°C for
10 the body portion and to below 95°C for the bottom and
shoulder portion and neck portion.

 22. The apparatus of Claim 13 in which the
second mold is up to 10% larger in height and diameter
than the first mold.

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FIG. 1

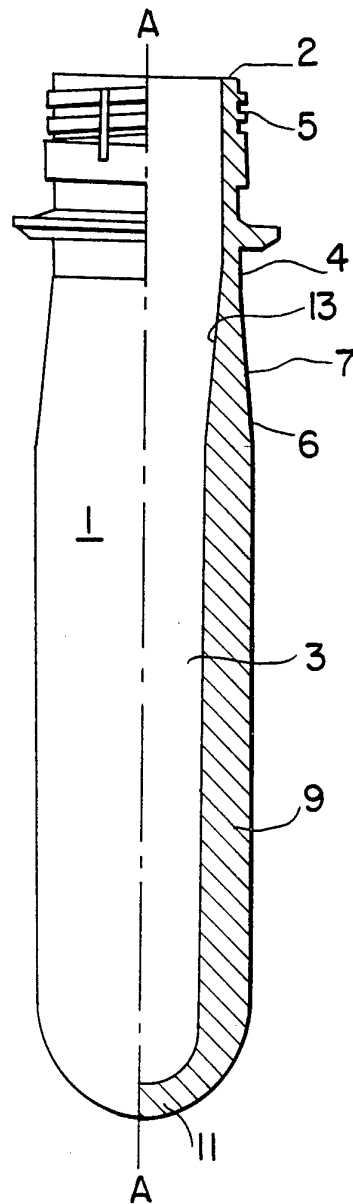
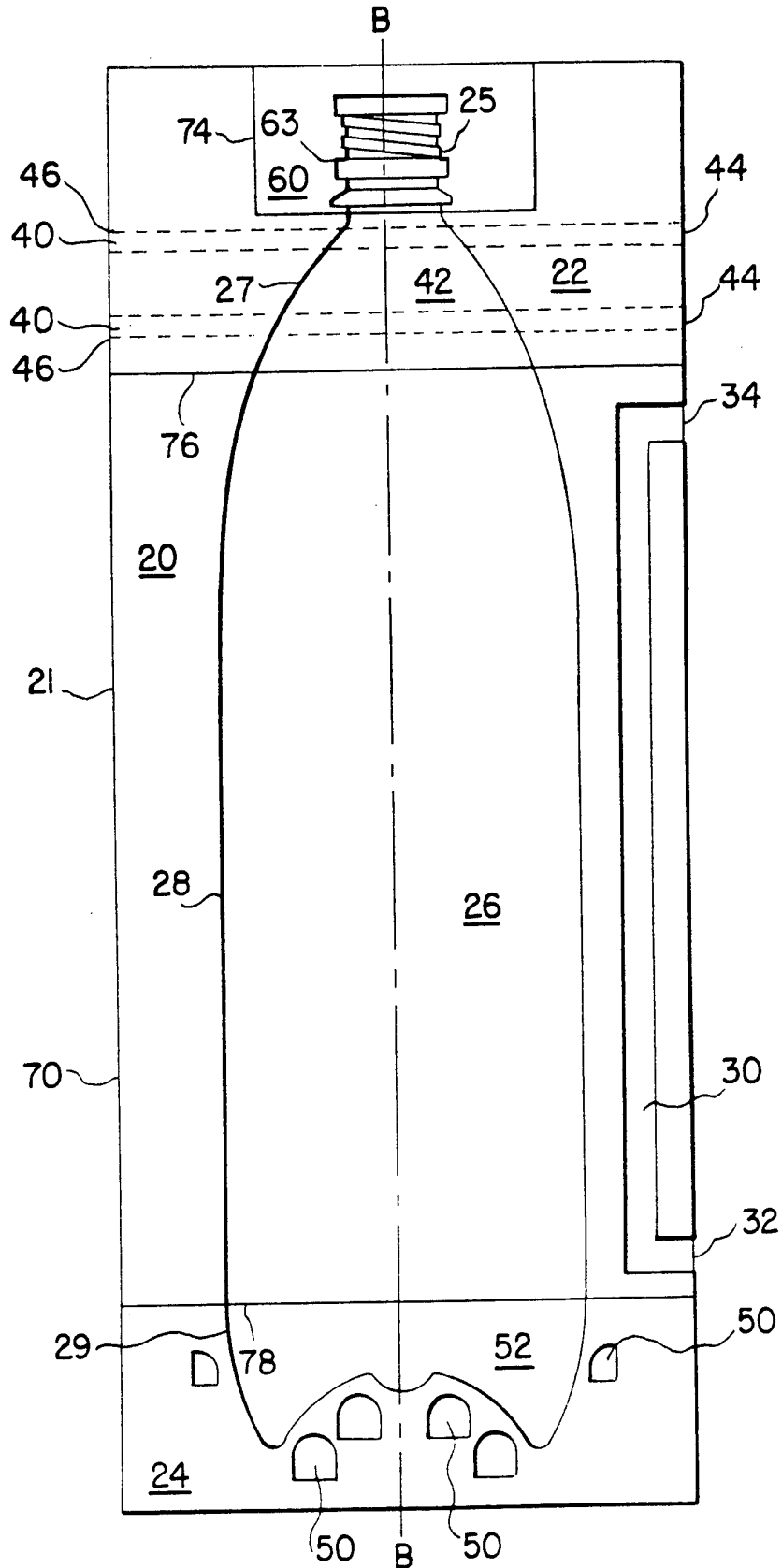
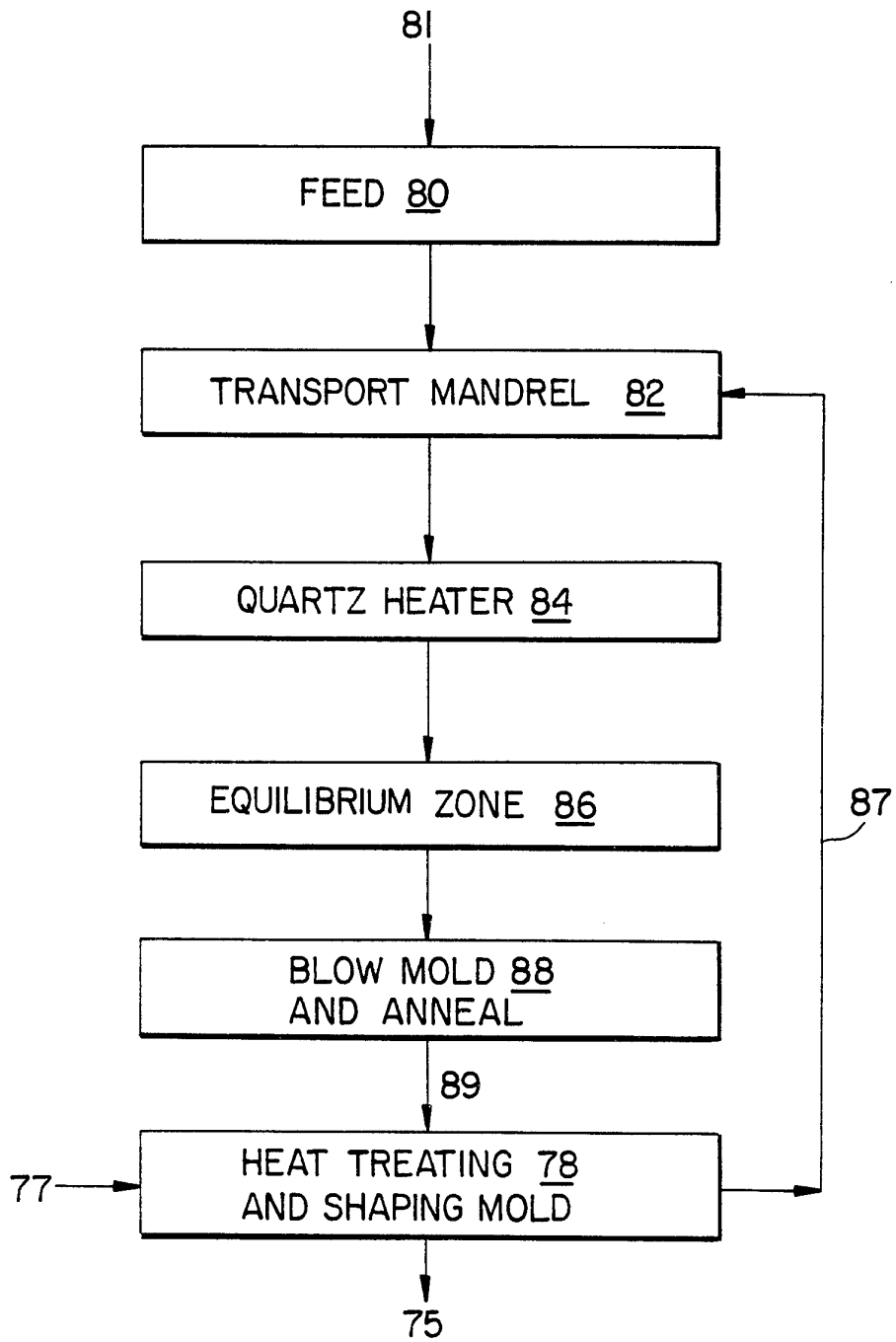


FIG. 2

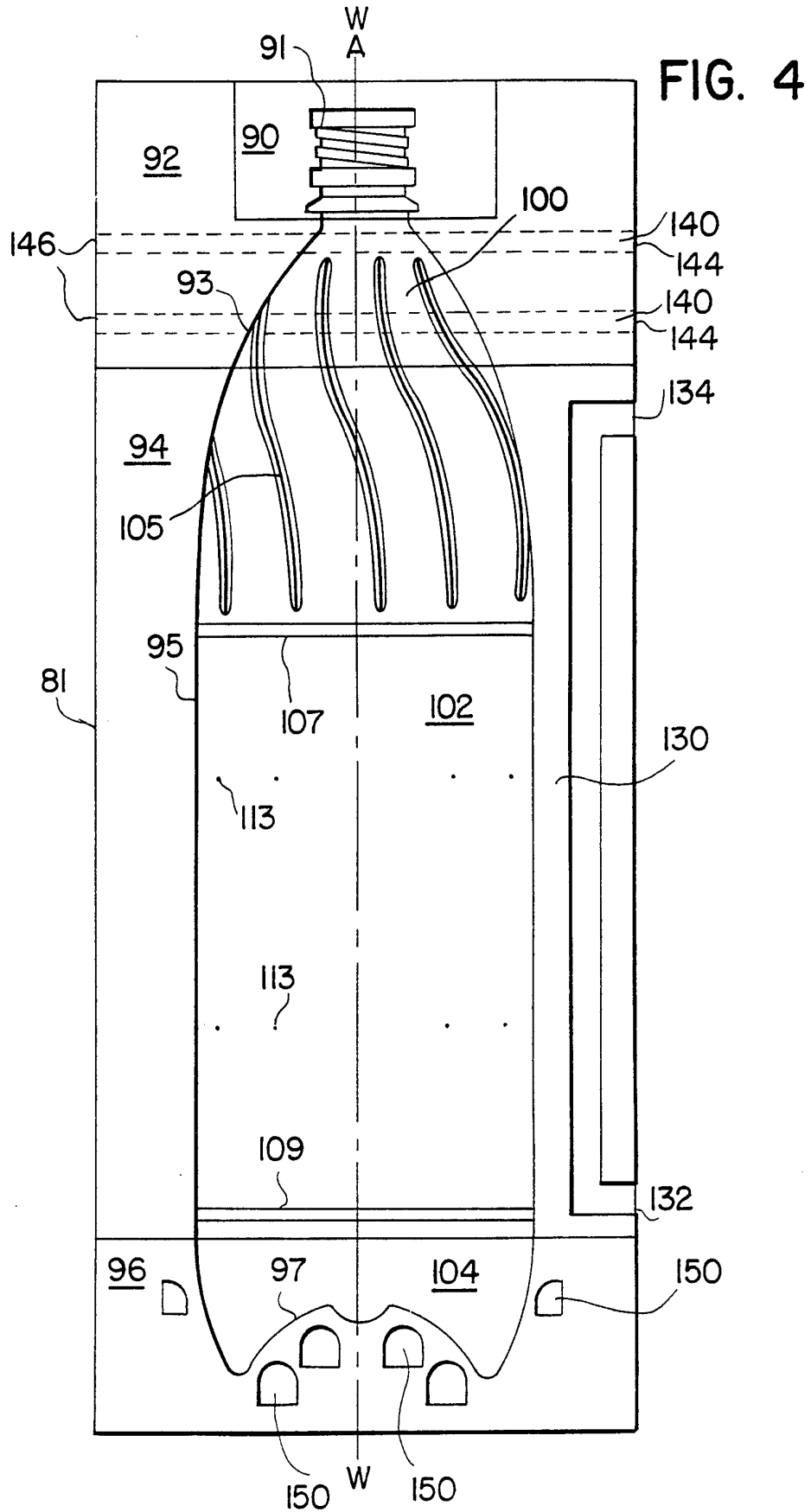


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FIG. 3



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INTERNATIONAL SEARCH REPORT

International application No.
PCT/US93/08943

A. CLASSIFICATION OF SUBJECT MATTER

IPC(5) :B29C 49/18, 49/48, 49/64

US CL :264/521, 528, 530; 425/526, 538

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 264/521, 528, 530, 235, 346, 520, 523, 527; 425/526, 538

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X -- Y	US, A, 4,522,779 (Jabarin) 11 June 1985, col. 7, line 22 to col. 8, line 2	1-2, 6-7 ----- 3-5, 8
Y	US, A, 4,318,882, (Agrawal) 09 March 1982, col. 10, lines 3-11	3, 8, 11
Y	JP, A, 54-103,474 (Mitsubishi Plastics) 01 February 1978, English abstract	3, 8, 11
Y	DT, A, 2605967 (Farrell Plastic) 18 August 1977, English abstract	4-5, 12
Y	US, A, 4,485,134 (Jacobsen) 27 November 1984, col. 7, lines 16-57	9-22

Further documents are listed in the continuation of Box C. See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be part of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 24 November 1993	Date of mailing of the international search report 16 DEC 1993
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Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. NOT APPLICABLE	Authorized officer <i>Rebbie Williams</i> CATHERINE TIMM Telephone No. (703) 308-3850
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INTERNATIONAL SEARCH REPORT

International application No.
PCT/US93/08943

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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Y	US, A, 4,871,507 (Ajmera) 03 October 1989, entire document	14-22
Y	JP, A, 63-087,219 (Toyo Seikan Kaisha) 01 October 1986, English abstract and figures	14-22
A	US, A, 4,524,045 (Hayashi) 18 June 1985	14-22
A	JP, A, 57-084,825 (Dainippon Printing) 27 May 1982	14-22
A	US, A, 4,382,905 (Valyi) 10 May 1983	14-22
A	JP, A, 58-220,711 (Mitsubishi Jushi) 22 December 1983	1-22