

*Fractal Representation of Images  
via the  
Discrete Wavelet Transform*

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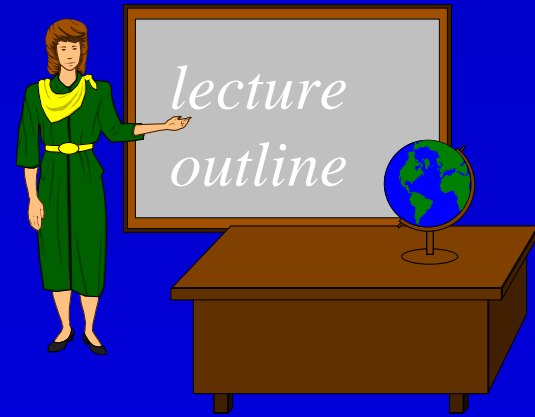
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\*Graduate Seminar - Supervised by  
Prof. D. Malah & Dr. E. Karnin (IBM)

# Lecture Outline :



- ◆ Fractal image representation - a review
- ◆ Image coding with *DWT* - a brief review
- ◆ Fractal representation via the *DWT*
- ◆ A Blockless Fractal Coder
- ◆ Image Super-resolution (if time permits)
- ◆ Summary and proposals for further research

# *Fractal Image Coding*

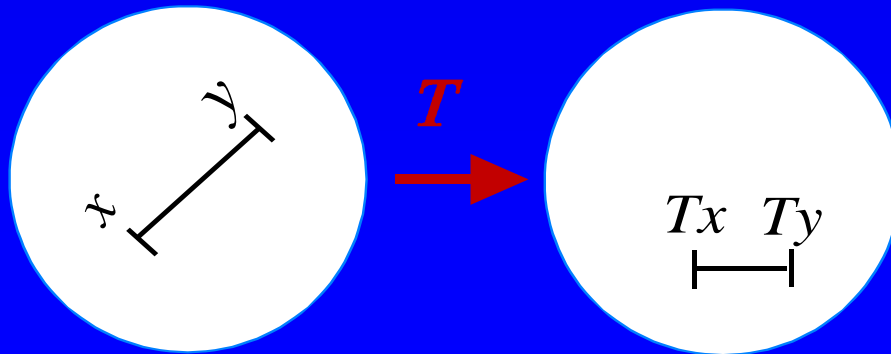
a Review

# Contractive Transformations :

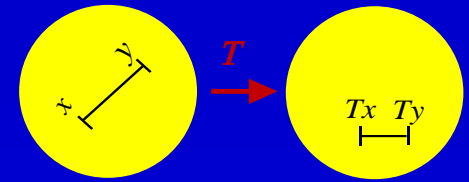
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- ◆ Definition  $T:(E,d) \rightarrow (E,d)$  is contractive  
iff  $\forall x, y \in (E,d) :$

$$d(Tx, Ty) \leq s \cdot d(x, y) \quad 0 \leq s < 1$$



... *Cont'd*

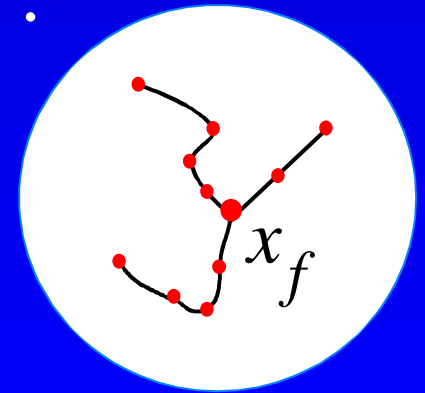


- ◆ There *exists a unique* Fixed-Point  $x^f$  :

$$T \{ x^f \} = x^f$$

- ◆  $x^f$  can be iteratively obtained by :

$$x^f = \lim_{n \rightarrow \infty} T^n \{ x_0 \} \quad \forall x_0$$



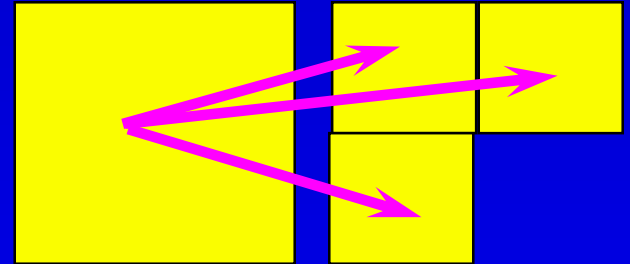
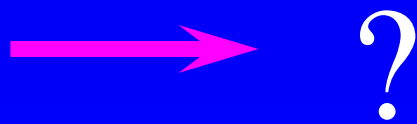
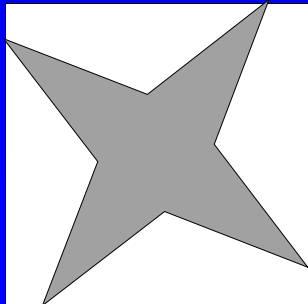
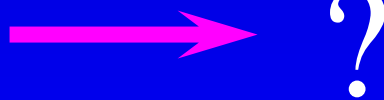
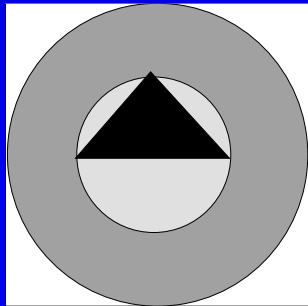
- ◆ Fractal representation for  $x$  :

$$T : x^f \{ T \} \approx x$$

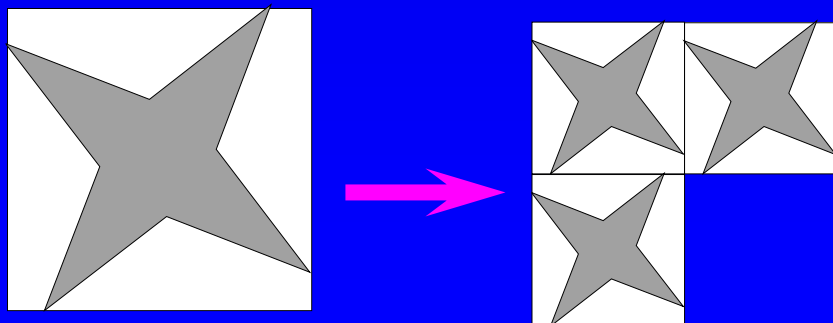
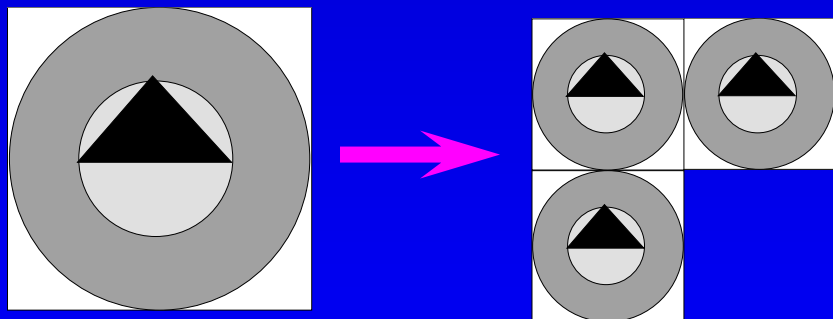
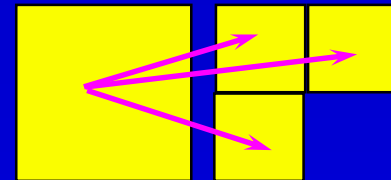
# Fractal Generation

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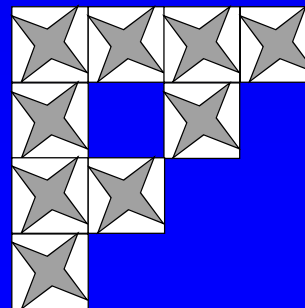
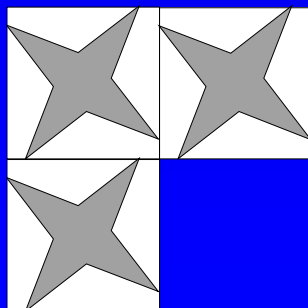
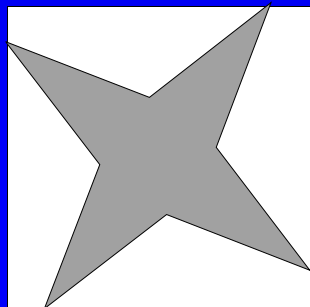
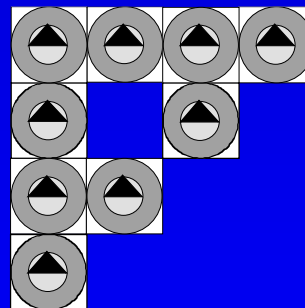
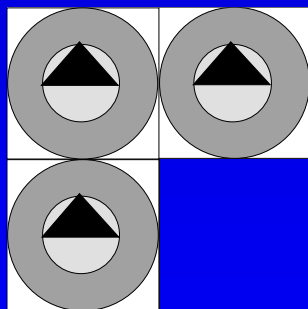
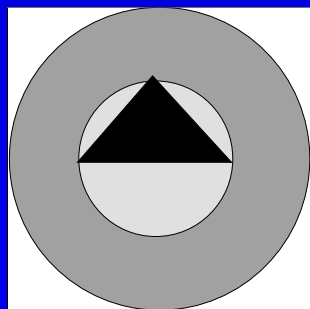
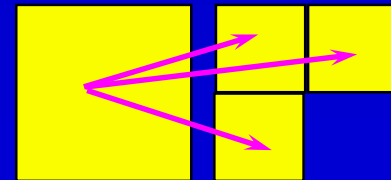
Iterated Function systems (IFS)



# Fractal Generation ... Cont'd

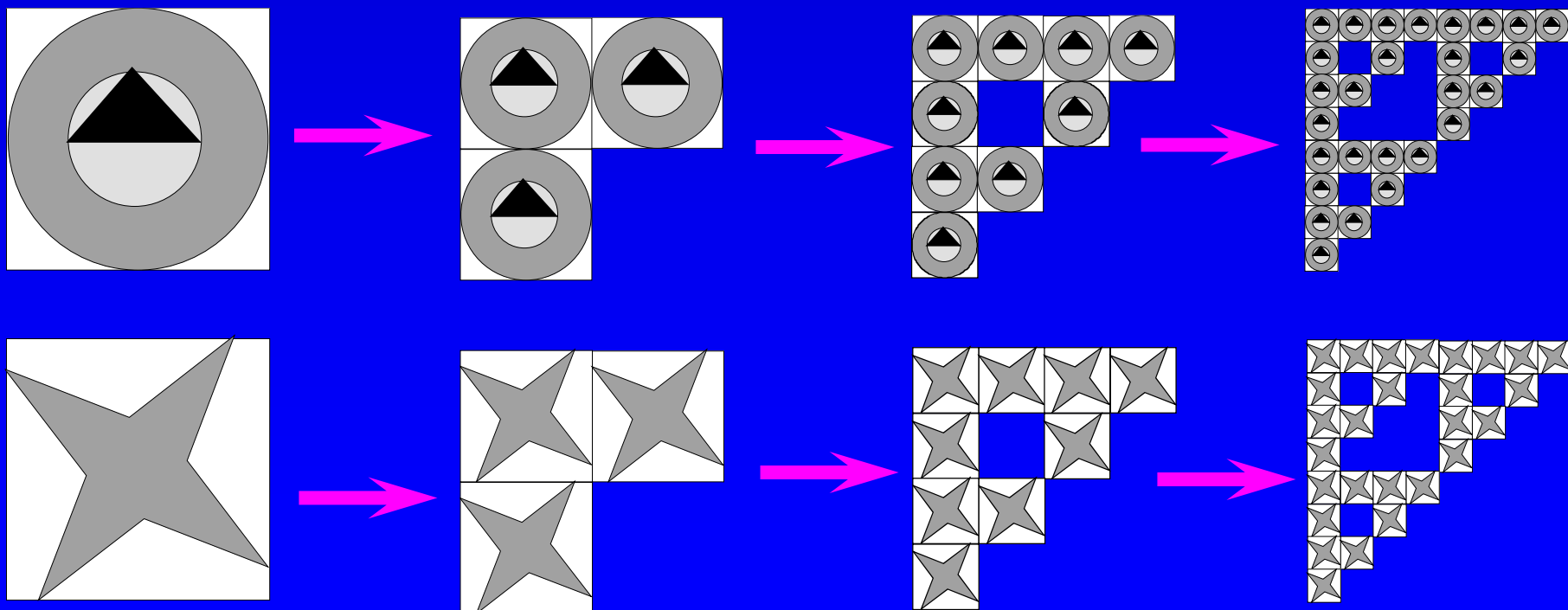
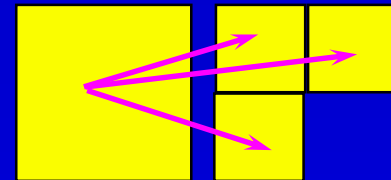


# Fractal Generation ... Cont'd



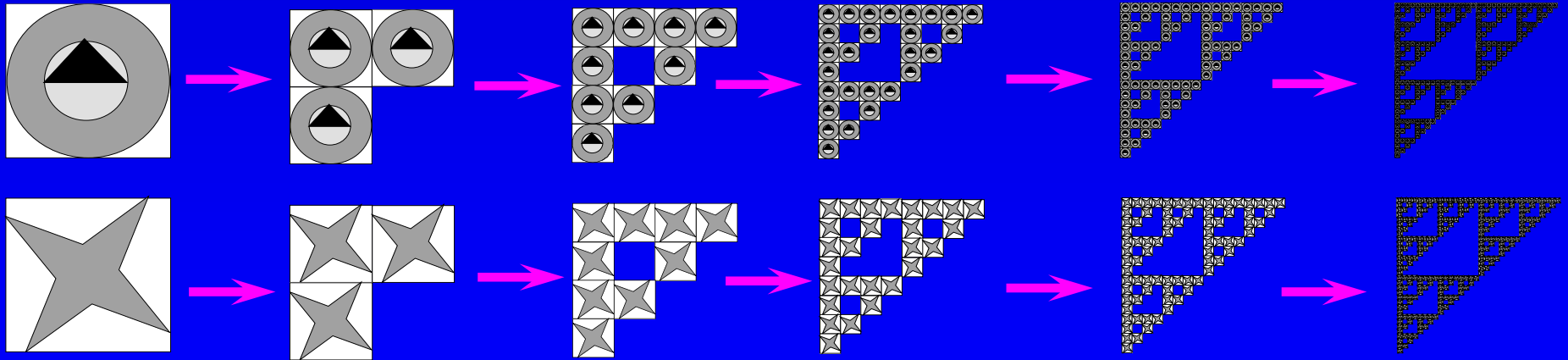
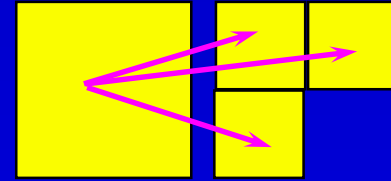


# Fractal Generation ... Cont'd



# Fractal Generation ... Cont'd

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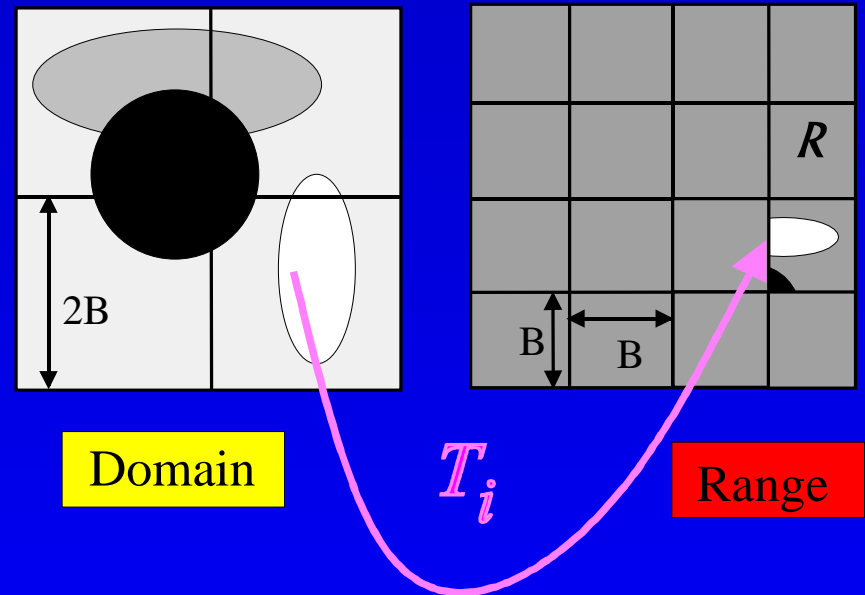
# Fractal Image Coding [Jacquin 1989]

◆ Let

$$X = \bigcup_i R_i ; T = \bigcup_i T_i$$

◆ Find a Contractive

$T$  such that  $x^f \approx x$



◆ Collage theorem :  $d(x, x^f) < \frac{1}{1-s} d(x, Tx)$

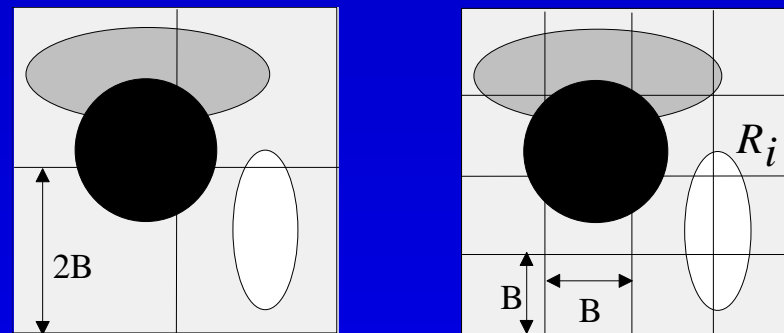
◆ Result : find  $T$  that minimizes  $d(x, Tx)$

# The Conventional Image Fractal Coder

## ◆ Extract *domain pool*

- $I_p$  = Isometries
- $\varphi$  = Scaling Function

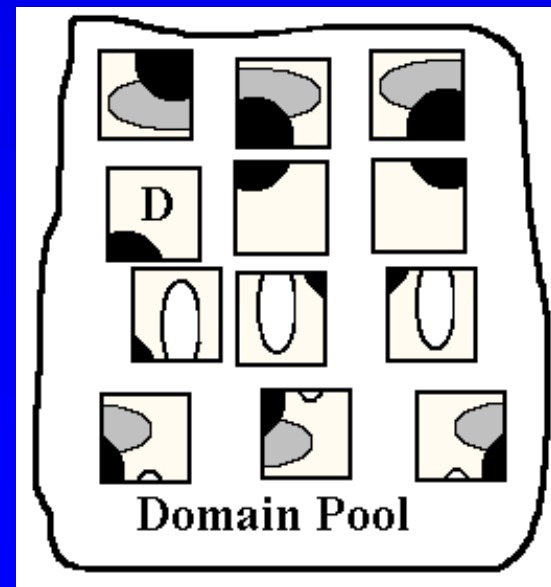
$$D_j = \varphi\{I_p\{\text{BLOCK}_{2B \times 2B}\}\}$$



## ◆ For each $R_i$ :

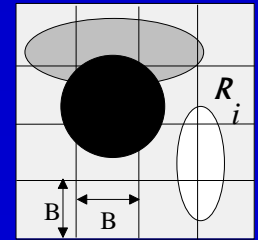
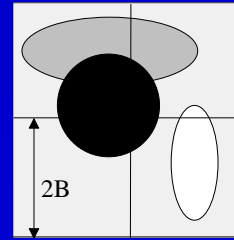
$$\begin{matrix} \boxed{R} \approx a \boxed{D} + \boxed{F} \\ \text{Range} & \text{Domain} & \text{Offset} \\ \text{block} & \text{block} & \text{block} \end{matrix}$$

$$\begin{aligned} T_i &= \{a_i, b_i, j_i\} \quad (b_i \cdot 1 = F) \\ &= \arg \min \|R_i - (aD_j + F)\|_2^2 \end{aligned}$$



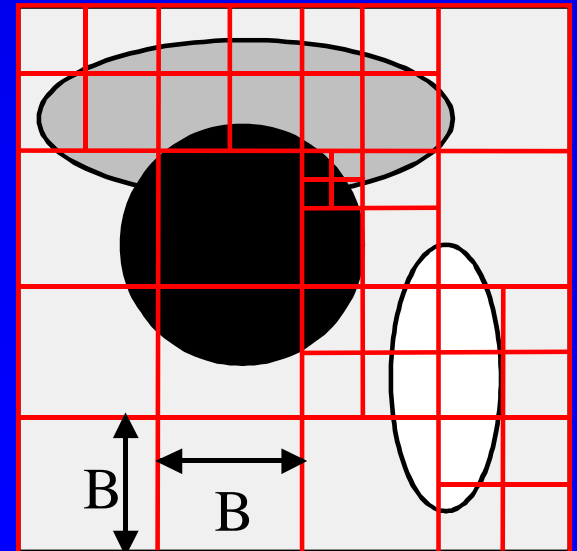
# Encoding - ...Cont'd

- ◆  $T = \bigcup_i T_i$  i.e.  $T\{X\} = \bigcup_i R_i$
- ◆  $T$  is contractive if  $a_i < 1$



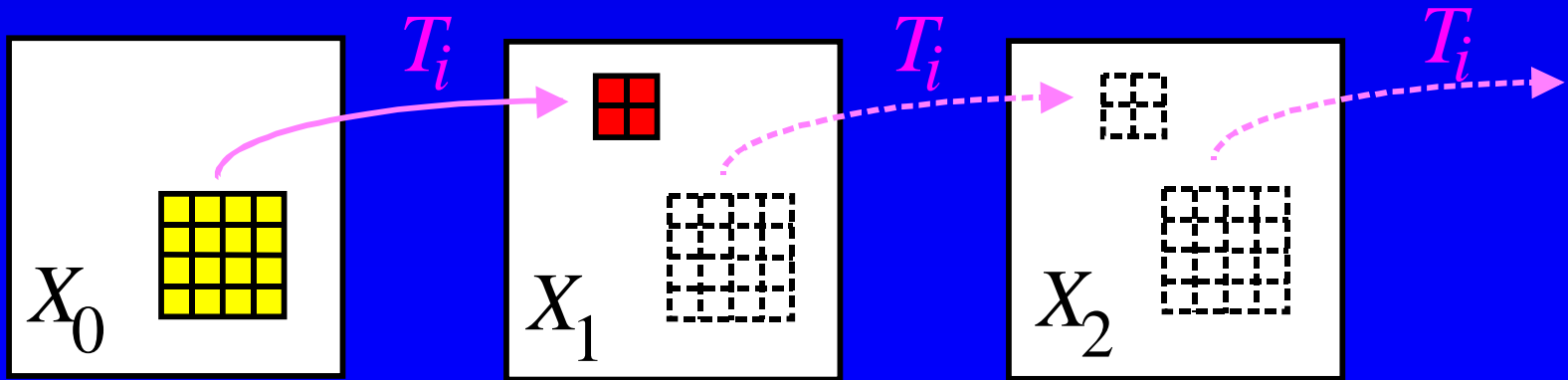
## Quad-Tree approach

- ◆ range blocks of different sizes [Fisher 92]



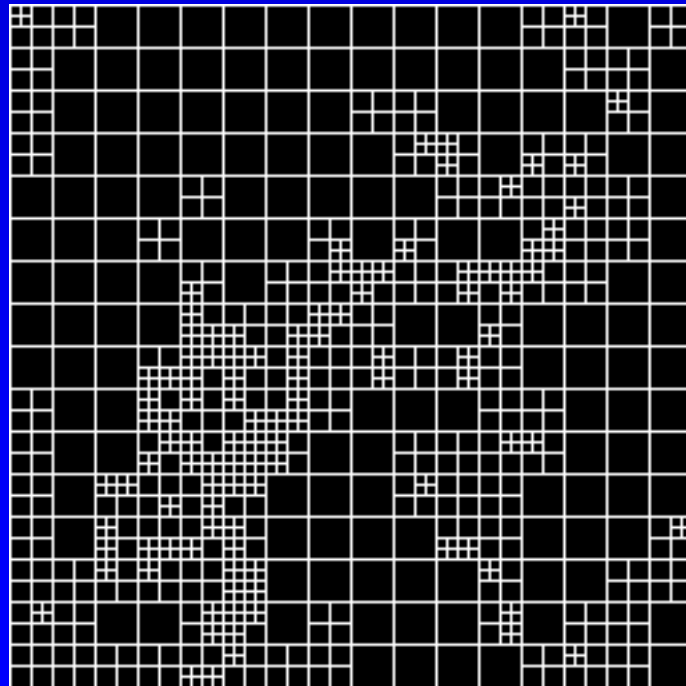
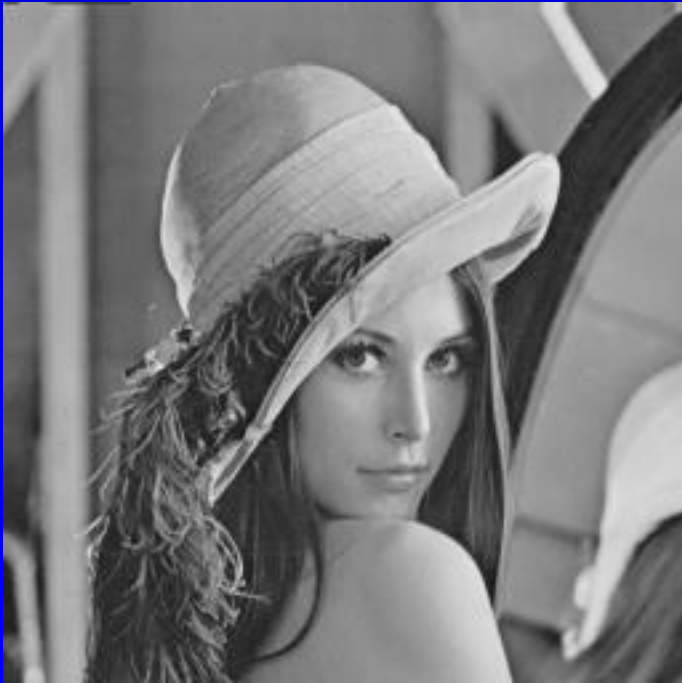
# Finding the Fixed Point (Decoding)

- ◆ Start with any image  $X_0$  .
- ◆ Apply  $T$  iteratively until the result converges .



# *Example*

*T is found to represent “Lena”*



# *Decoding - starting from “man”*

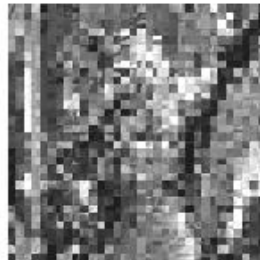
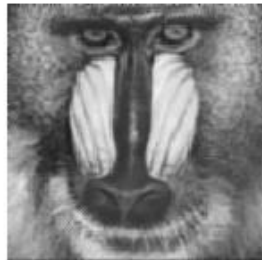
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# *Decoding - starting from “baboon”*

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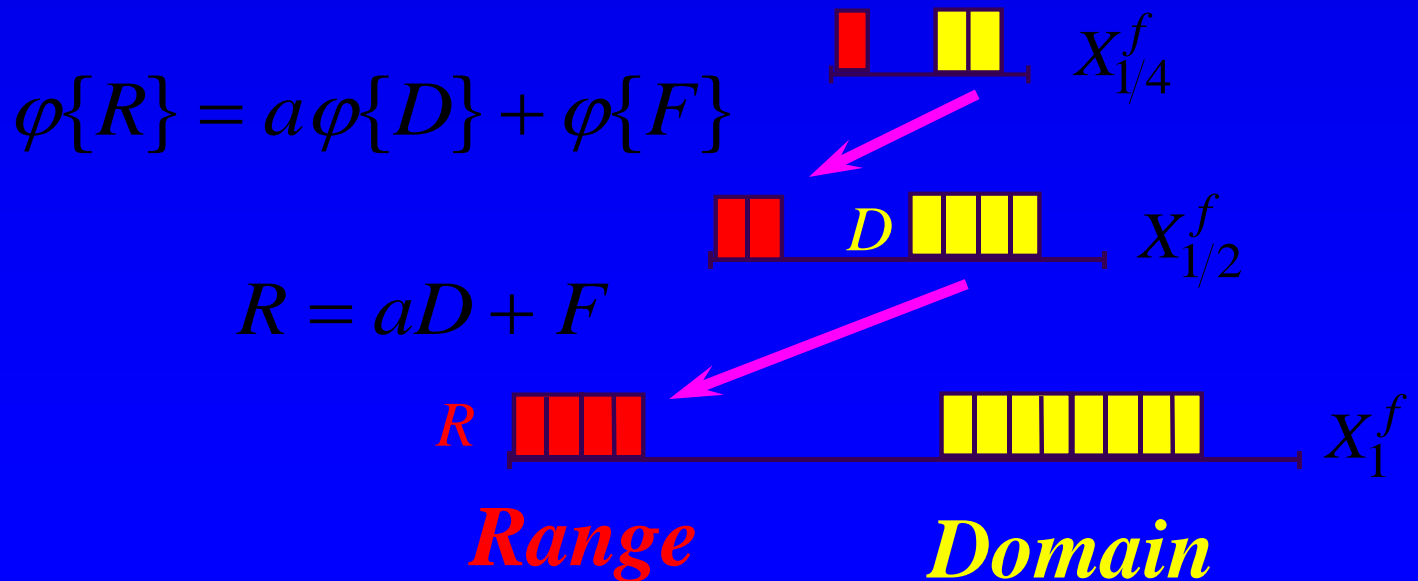


# Fixed Point Pyramid [Baharav et. al. 1993]

◆  $X_{1/2^k}^f = \varphi\{X_{1/2^{k-1}}^f\} ; k = 1, 2, \dots, \log_2(B)$

$X_1^f = T_1\{X_1^f\} \rightarrow X_{1/2^k}^f = T_{1/2^k}\{X_{1/2^k}^f\}$

◆  $B'\{T_{1/2^k}\} = 1/2^k \cdot B$



# DC- Orthogonalization [Øien et. al. 1993 ]

A “conventional”  $T_i$

$$R_i \approx a_i \cdot D_j + F_i \rightarrow T_i = \{a_i, b_i, j\}$$

$T_i$  with *DC orthogonalization*

$$R_i \approx a_i(D_j - \bar{D}_j) + \bar{R}_i \rightarrow T_i = \{a_i, \bar{R}_i, j\}$$

offset

mean

◆ Finite # of iterations

◆ Fast decoding ( Combining Baharav & Øien ) .../

...Cont'd

$$T_i = \{a_i, \bar{R}_i, j\}$$

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◆ Fast Decoding

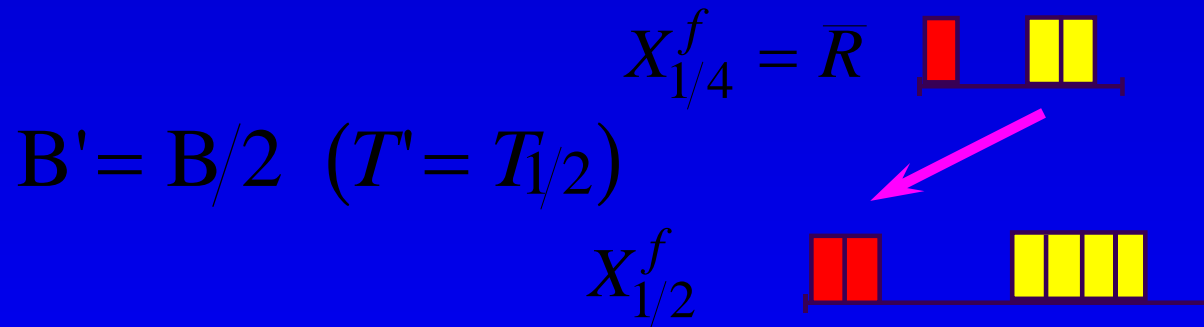
$$X_{1/4}^f = \bar{R} \quad \underbrace{\text{red} \quad \text{yellow}}_{\text{bracket}}$$

...Cont'd

$$T_i = \{a_i, \bar{R}_i, j\}$$

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◆ Fast Decoding

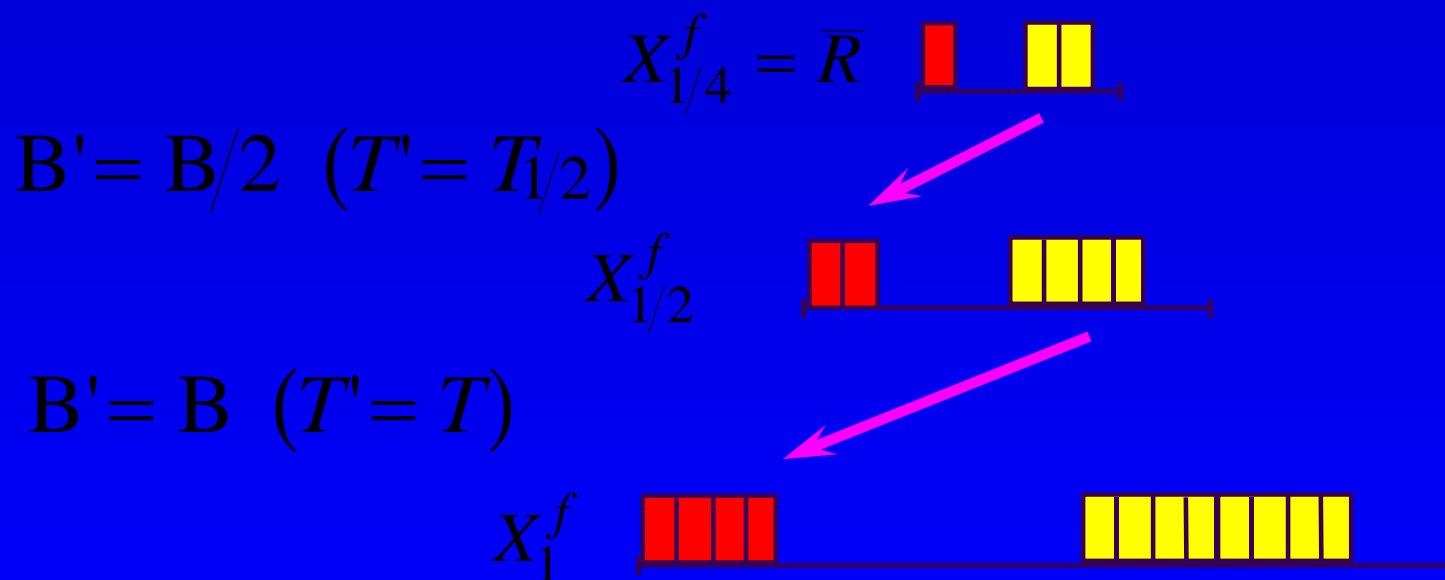


...Cont'd

$$T_i = \{a_i, \bar{R}_i, j\}$$

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◆ Fast Decoding



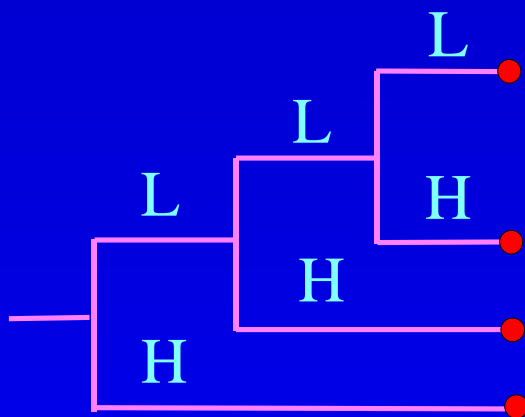
# *Discrete Wavelet Transforms* *(DWT)*

*Discrete Time*

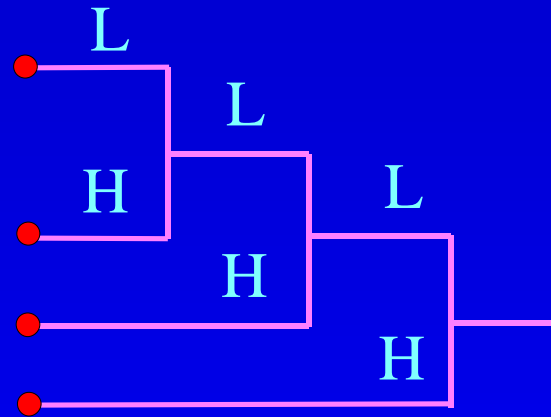
*Octave-Band Subband Decomposition*

# *Octave-Band Subband Decomposition*

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Analysis



Synthesis

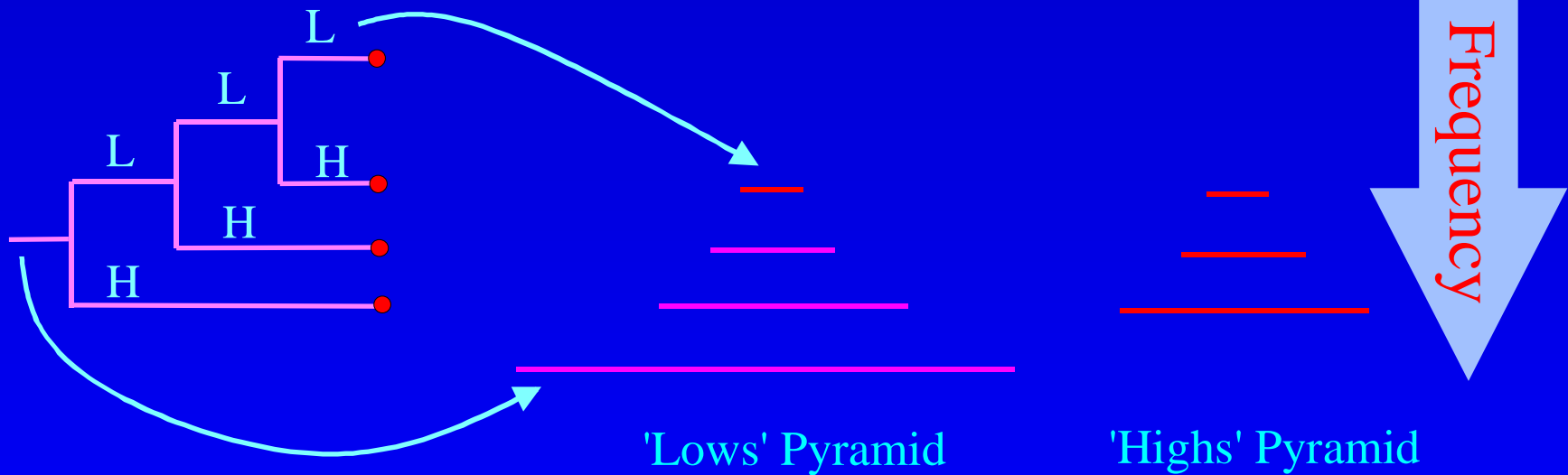


- ◆ Orthonormal Transformation
- ◆ Linear Phase (QMF) Vs. Perfect reconstruction

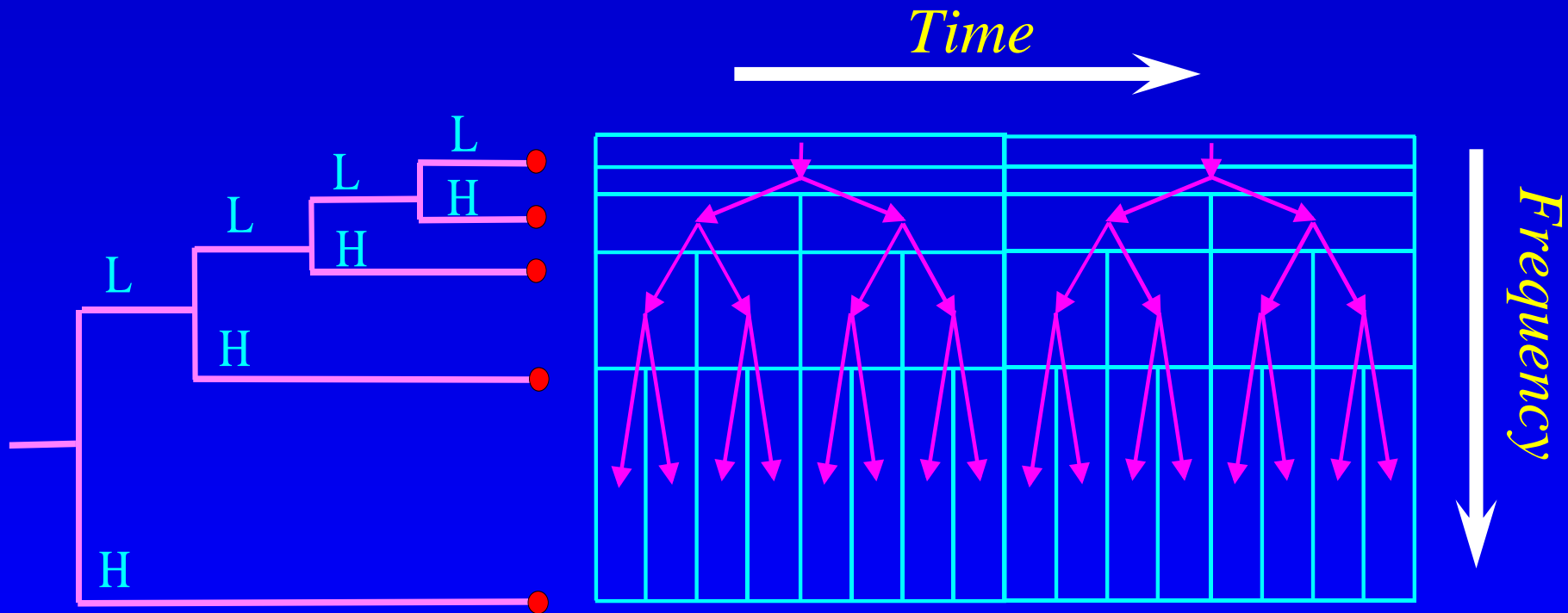


# *A Pyramidal Description*

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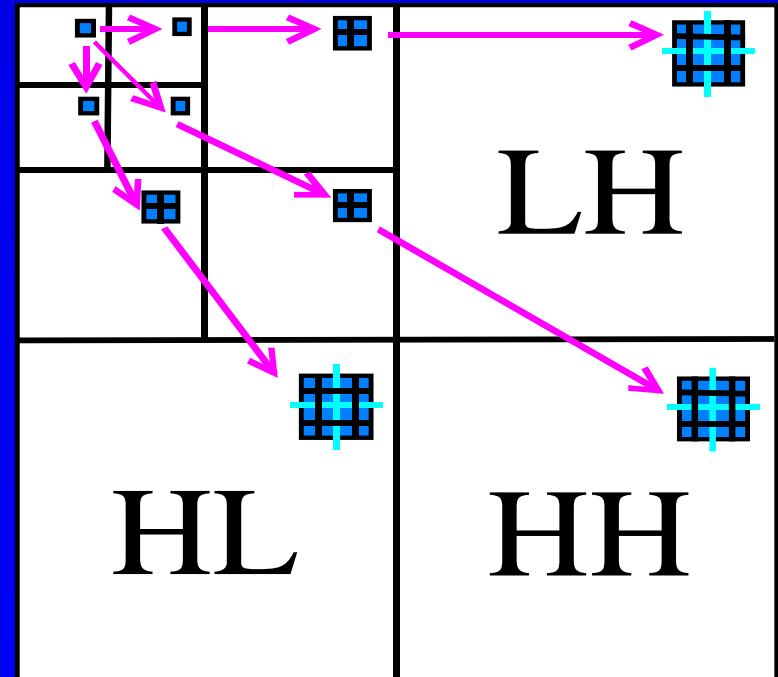
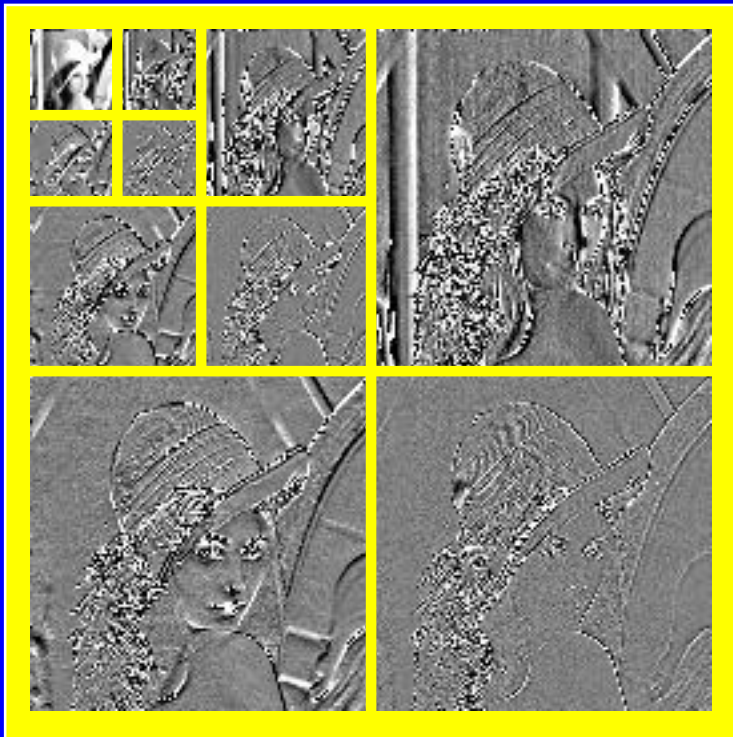
# Wavelet Subtrees



- ◆ The Sub-tree coefficients represent “a region”.
- ◆ Every “*father*” has 2 “*sons*” (LP “*root*” has 1 “*son*”).

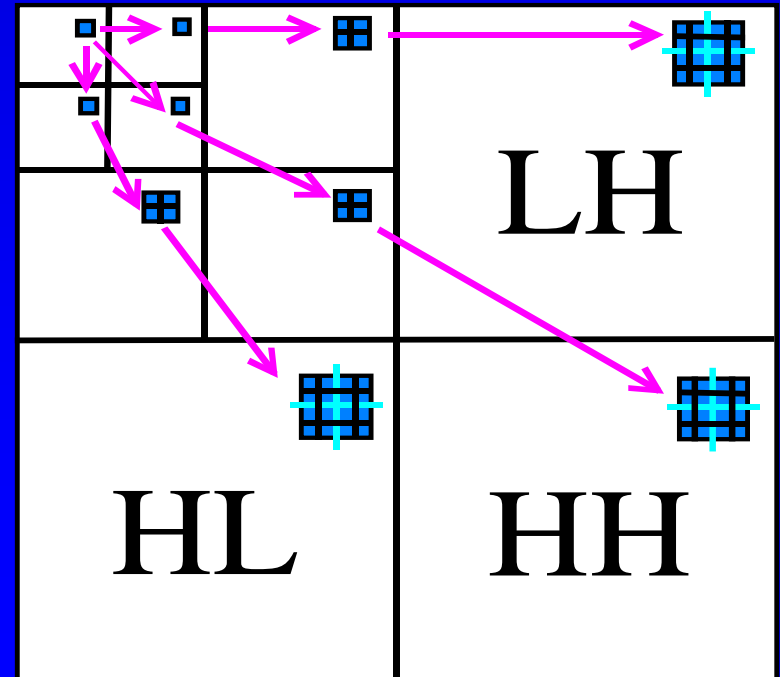
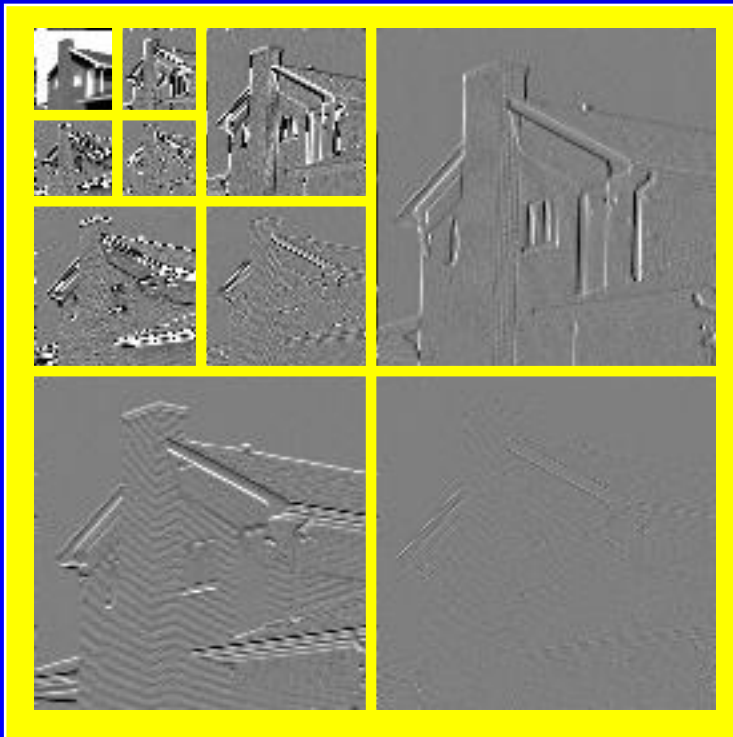
# Wavelet Transforms in 2D

- ◆ Using A *separable QMF*.
- ◆ The LP “*root*” has three “*sons*” in three directions.
- ◆ Every other “*father*” has 4 “*sons*”.



# Wavelet Transforms in 2D

- ◆ Using A *separable QMF*.
- ◆ The LP “*root*” has three “*sons*” in three directions.
- ◆ Every other “*father*” has 4 “*sons*”.



# *Image coding with wavelets*

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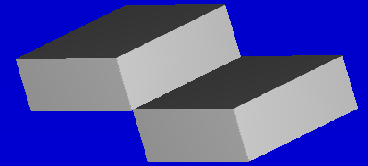
- ◆ Transformation (Filters, Wavelet Packets ...).
- ◆ Quantization (scalar, vector) and Entropy coding.
- ◆ Prediction of non-significant subtrees [ *Shapiro..* ].
- ◆ Prediction of coefficients  
[ *Pentland, Rinaldo & Calvagno...* ].

*Fractal Transformations*

*in the*

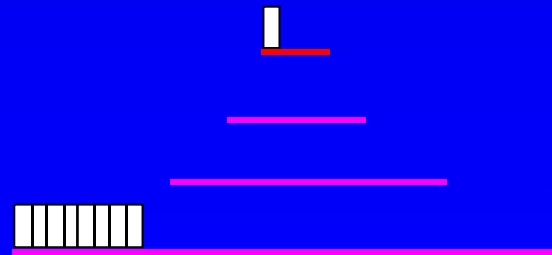
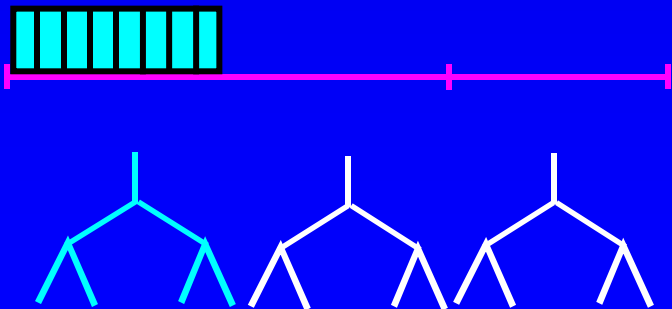
*Haar-DWT Domain*

# The Haar-DWT

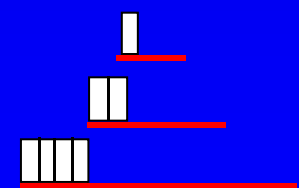


$$H_{lp} = \frac{1}{\sqrt{2}} [1 \ 1] ; H_{hp} = \frac{1}{\sqrt{2}} [1 \ -1]$$

- ◆ A “ $l$ ” deep sub-tree is the *DWT* of a  $2^l$  - samples input segment.
- ◆ The ‘Lows’ Pyramid upper level coefficients are the segments’ means (up to a constant).



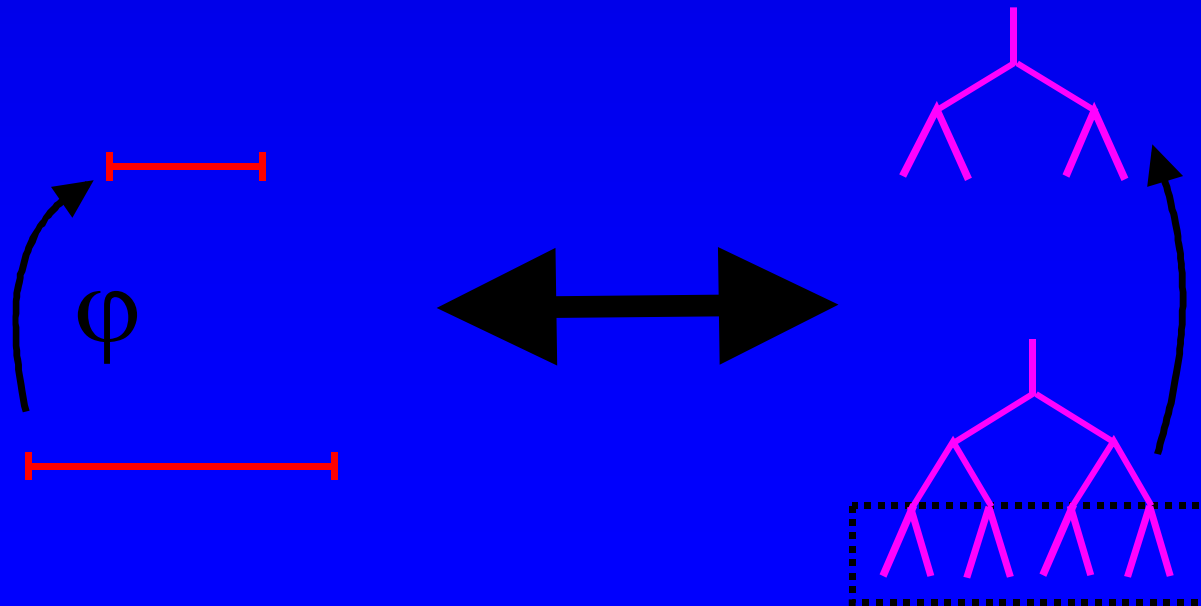
'Lows' Pyramid



'Highs' Pyramid

# The Haar-DWT of a Fixed Point

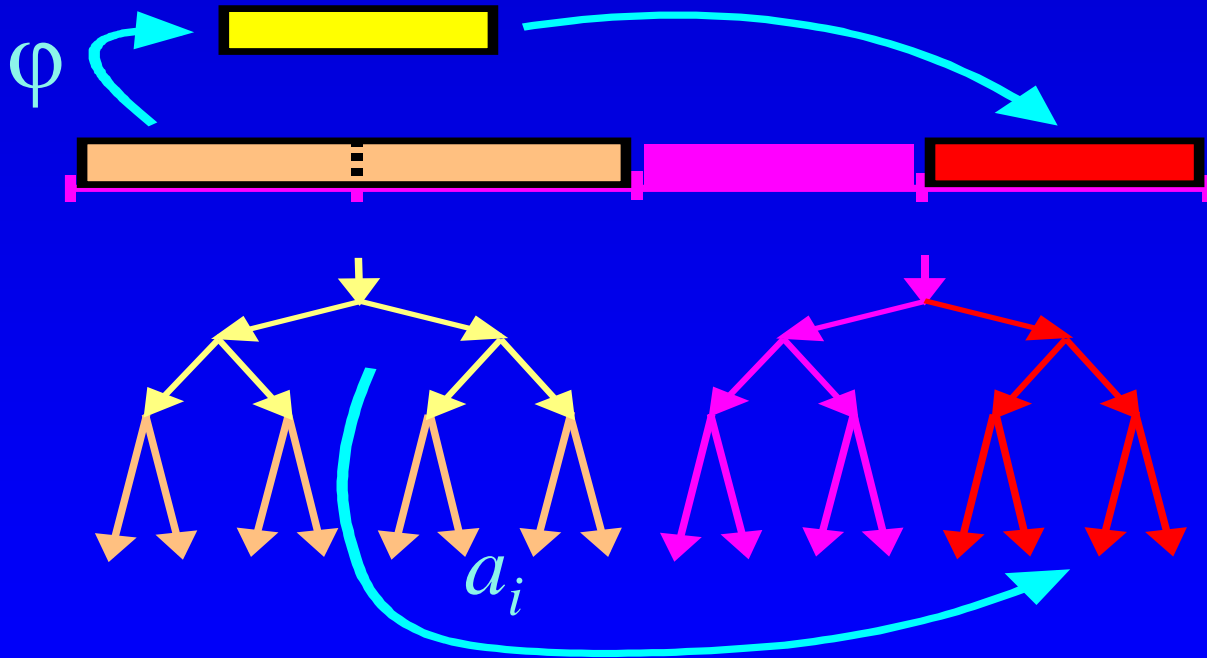
Image Plane	DWT Domain
Down-scaling a block with $\varphi$ ( $=H_{lp} \downarrow 2$ )	Pruning its subtree leafs





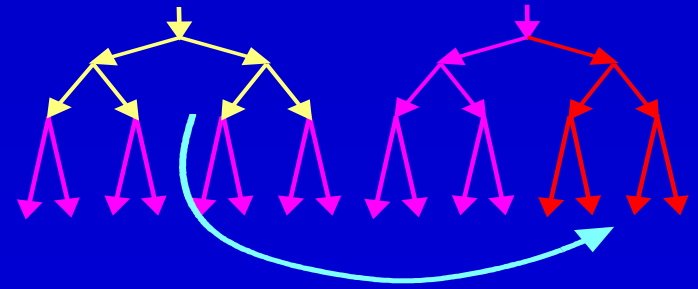
# The Haar -DWT of a Fixed Point

$$a_i(D_j - \bar{D}_j) + \bar{R}_i = R_i$$



$a_i$  Domain Subtree = Range Subtree

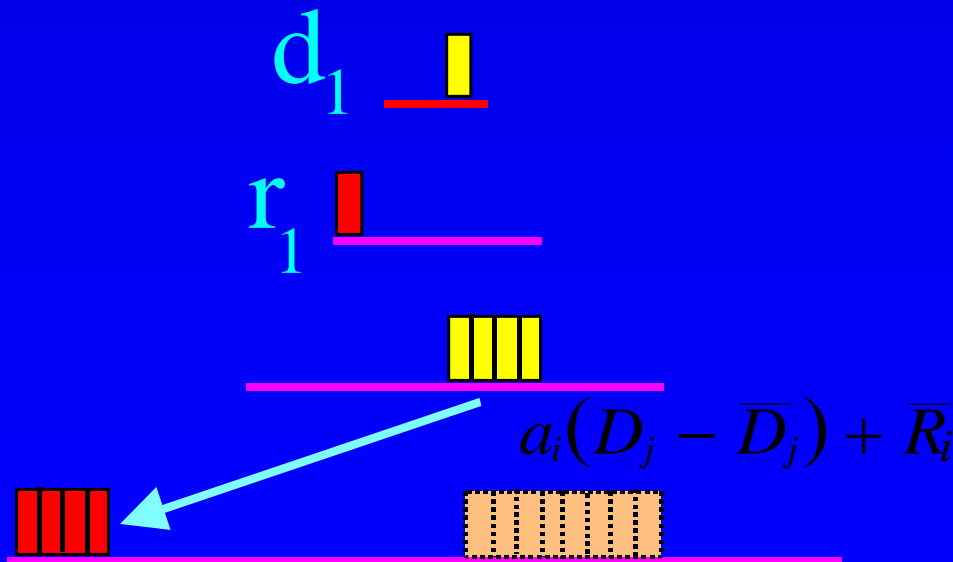
# ... Cont'd



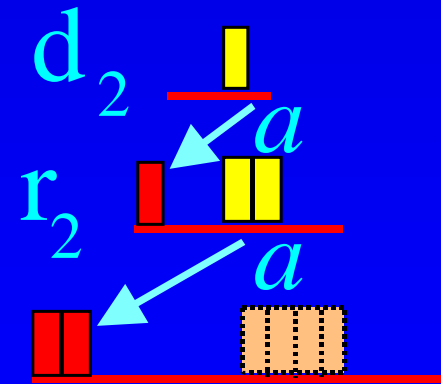
$U = \text{Haar-DWT}$  unitary matrix ( size  $B \times B$  )

$$[r_1, \dots, r_B]^t = UR ; [d_1, \dots, d_B]^t = UD$$

$$R = a(D - \bar{D}) + \bar{R} \quad \longrightarrow \quad r_k = a \cdot d_k \quad 2 \leq k \leq B$$

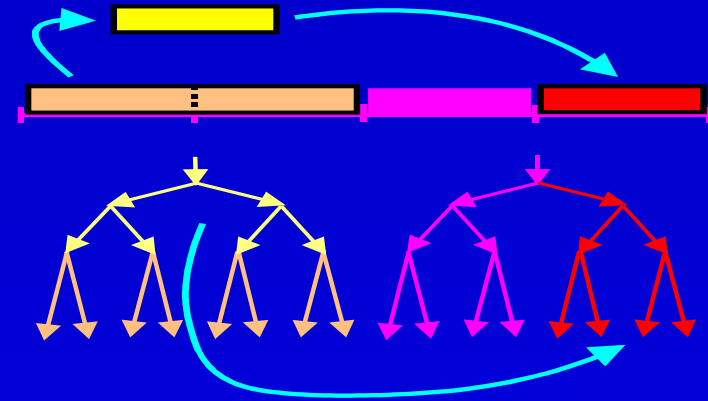


'Lows' Pyramid



'Highs' Pyramid

# Domain-Pool Search



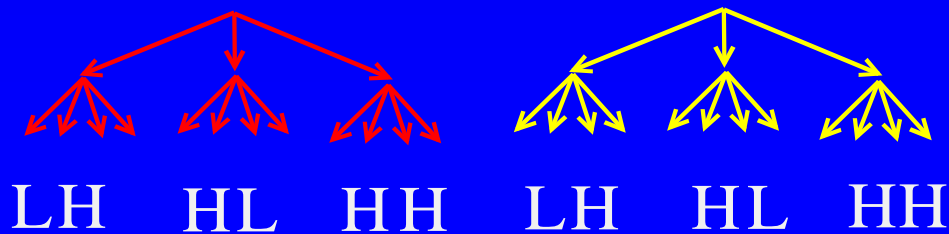
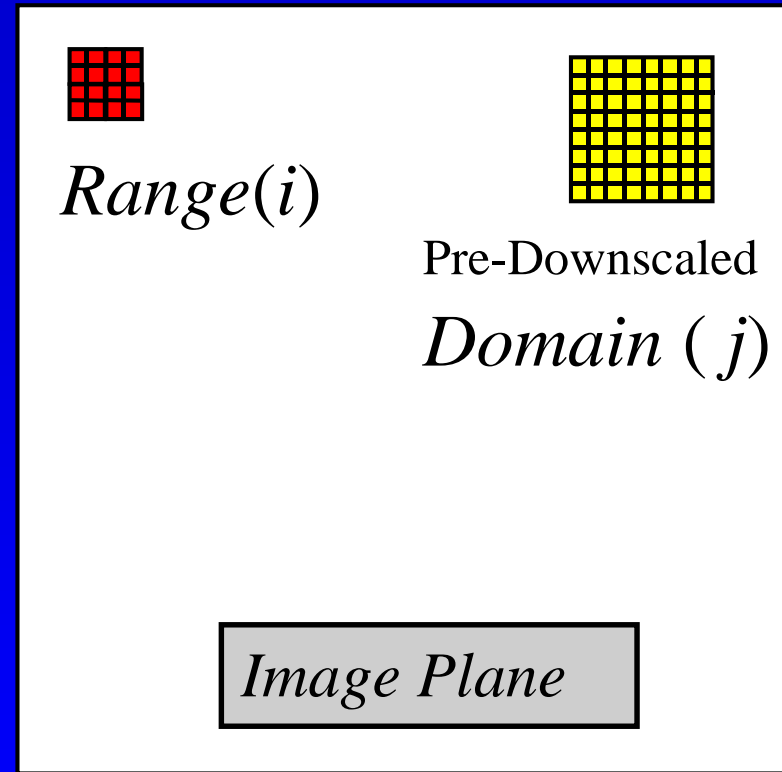
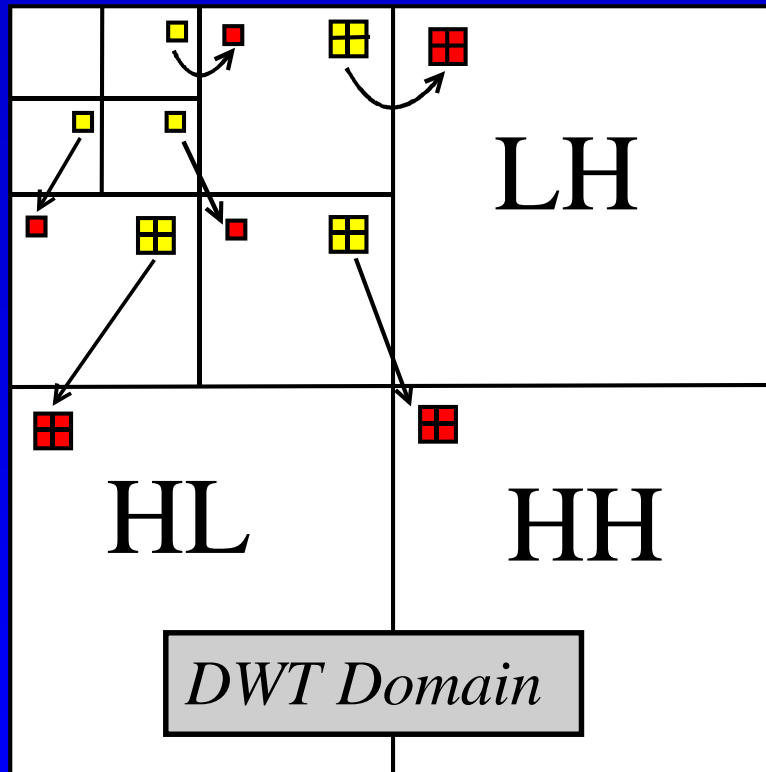
## ◆ Finding the best *Domain block* and *scaling factor 'a'* :

- Assume  $l_2$  norm.
- Recall that the *DWT* is orthonormal.
- The search can be done among *subtrees* instead of among *blocks* .

$$\left\| \begin{array}{c} \text{Red blocks} \\ -a \text{ Yellow blocks} \end{array} \right\|_2^2 = \left\| \begin{array}{c} \text{Red subtree} \\ -a \text{ Yellow subtree} \end{array} \right\|_2^2$$

## ◆ Weighted Least Squares.

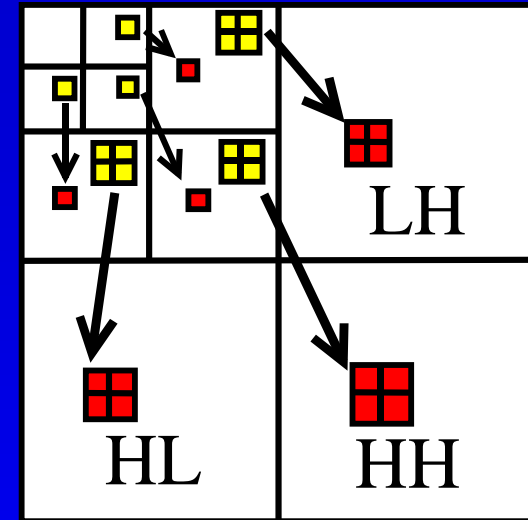
# The 2D Case



# An Equivalent Coder - Summary

## ◆ The Encoder

- Calculate the *DWT* of the image
- Construct *domain pool of Subtrees*
- For each *range Subtree* Find the best match (*index* and *scaling factor*)



## ◆ The Decoder

- Calculate the higher bands of coefficients recursively from the lower band
- Calculate the *Inverse DWT*.

## *... Cont'd*

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Image Plane

Haar-*DWT* Domain

Flips and Rotates

Reordering of coeffs

Quadtree block splitting

Subdividing a subtree

# *A blockless Fractal Coder*

Changing the Wavelet Filters

# *DWT-IFS with QMFs other than Haar*

Haar	Others
Subtrees represent non overlapping blocks	Subtrees represent overlapping blocks
The IFS uses DC block orthogonalization	The IFS uses signal LP orthogonalization



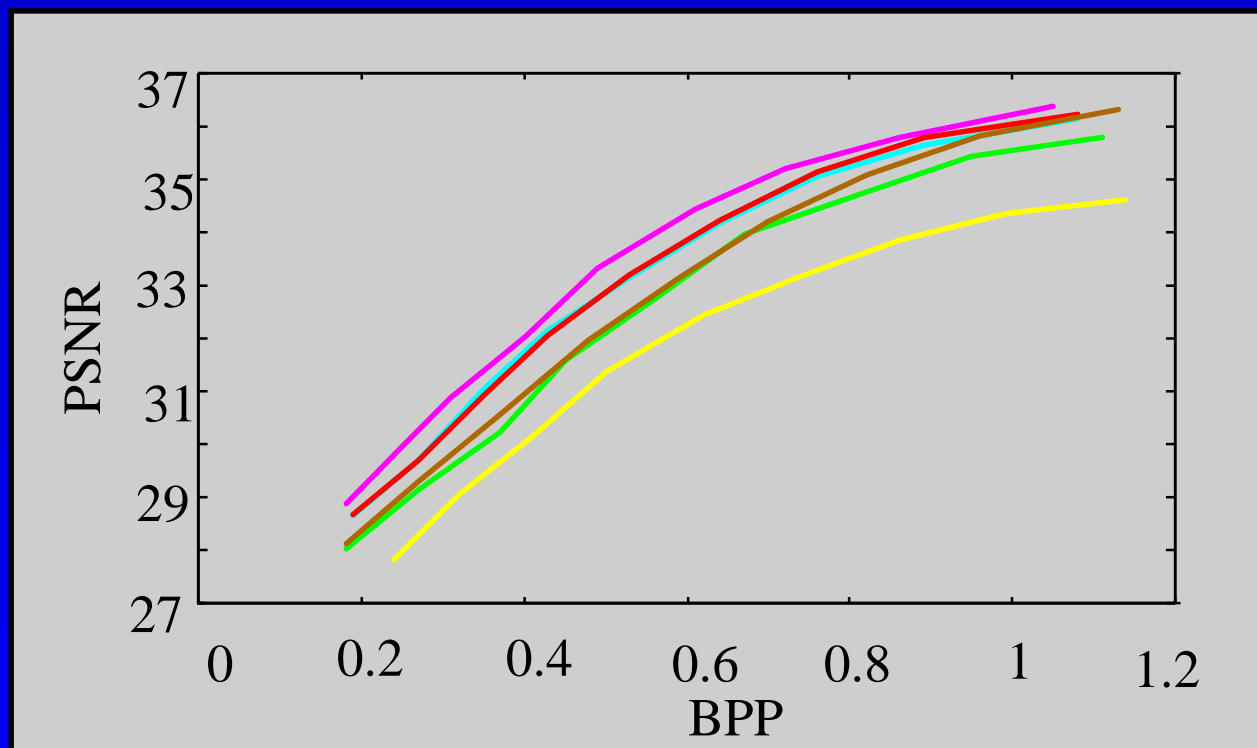
# *The Choice of Wavelet Filters*

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- ◆ Zero Phase  $\Rightarrow$  Keep the Subbands aligned
- ◆ Symmetric filters  $\Rightarrow$  *Symmetric extensions* for finite duration signals
- ◆ Short and compact but with decaying ends
- ◆ Perfect reconstruction is not necessary
- ◆ Orthonormality

# Results - Quadtree Approach

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--- Haar (equivalent to the conventional fractal coder)

--- 8 Taps Least Asymmetric

--- 8 Taps Min. Phase

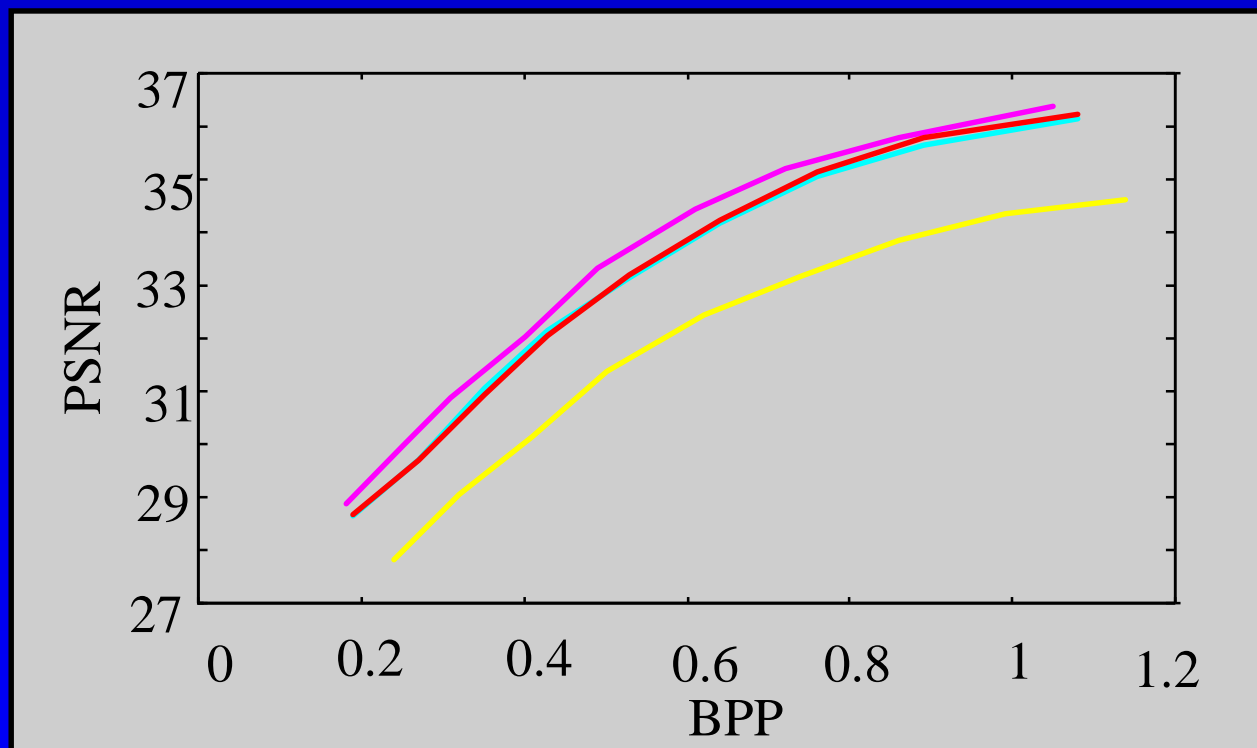
--- 12 Taps Least Asymmetric

--- 12 Taps Min. Phase

--- Adelson et. al. 9 Taps QMF

# Results - Quadtree Approach

---



--- Haar (equivalent to the conventional fractal coder)

--- 8 Taps Least Asymmetric

--- 12 Taps Least Asymmetric

--- Adelson et. al. 9 Taps QMF

# *The Visual Effect of the Error*

~ 0.08 Bit/Pel ; “Lena”



Haar DWT IFS



Adelson DWT IFS

# *The Visual Effect of the Error*

~ 0.08 Bit/Pel; Part of “Lena”



Haar DWT IFS



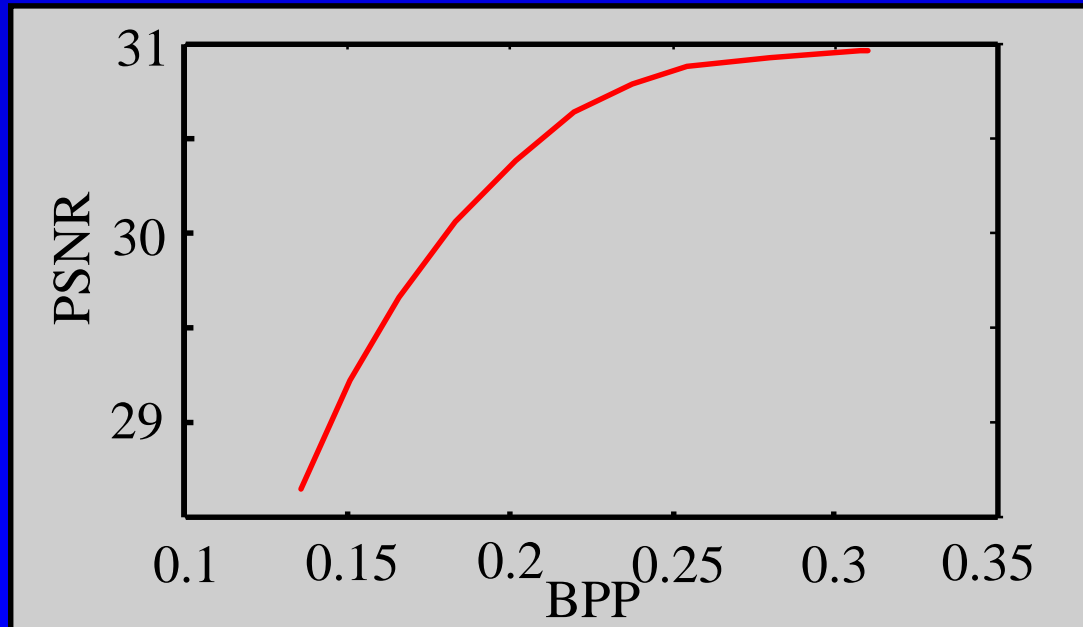
Adelson DWT IFS

# *Additional Improvements*

Variable # of Domain Blocks

# Low Energy Range-Subtrees

- ◆ Subtrees with low variance (smooth areas) can be zeroed .



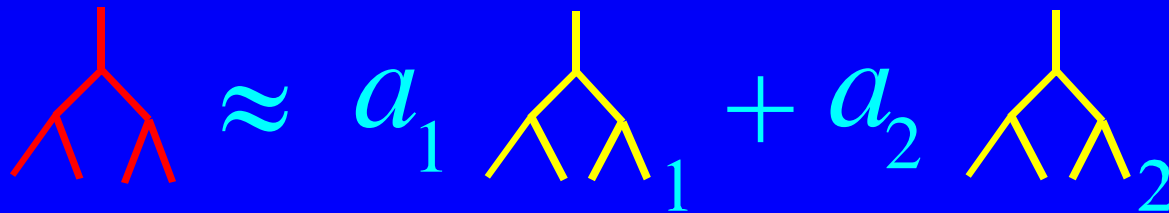
- ◆ This Causes disturbing blockiness with the *Haar-DWT* !!!

# Linear Combination of Domain blocks

- ◆ Use 2 Domain blocks :

$$R \approx a_1(D_1 - \bar{D}_1) + a_2(D_2 - \bar{D}_2) + \bar{R}$$

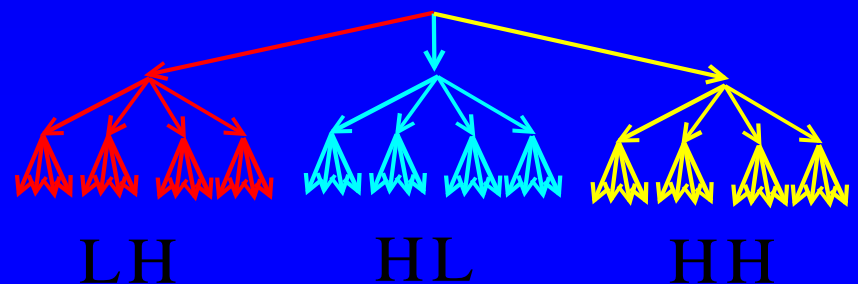
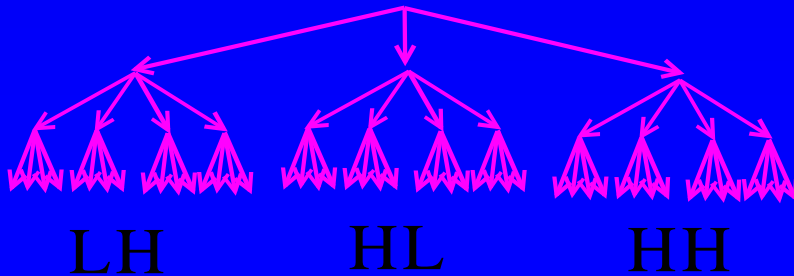
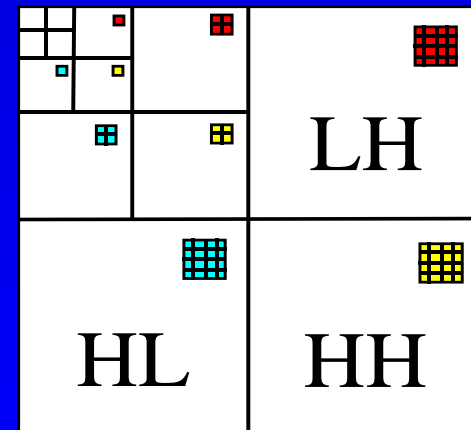
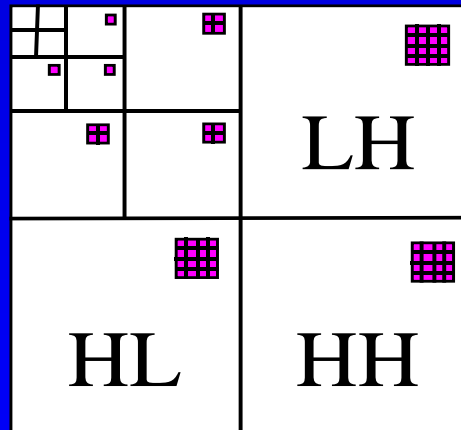
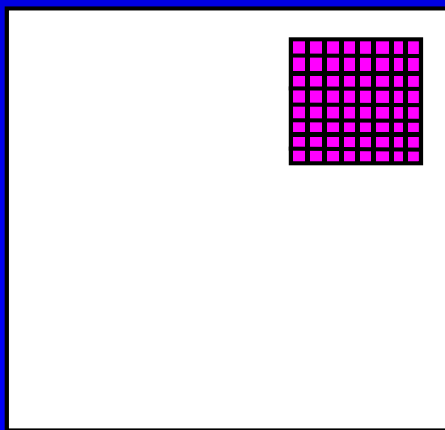
- ◆ Apply *Matching Pursuits* to find  $a_1, D_1, a_2, D_2$ .
- ◆ In the *DWT Domain* :


$$\text{Red Tree} \approx a_1 \text{Yellow Tree}_1 + a_2 \text{Yellow Tree}_2$$



# Directional IFS

- ◆ If a *Range-Subtree* can't be estimated with 1 or 2 *Domain Subtrees*, subdivide it into 3 *Directional Subtrees* and estimate each .

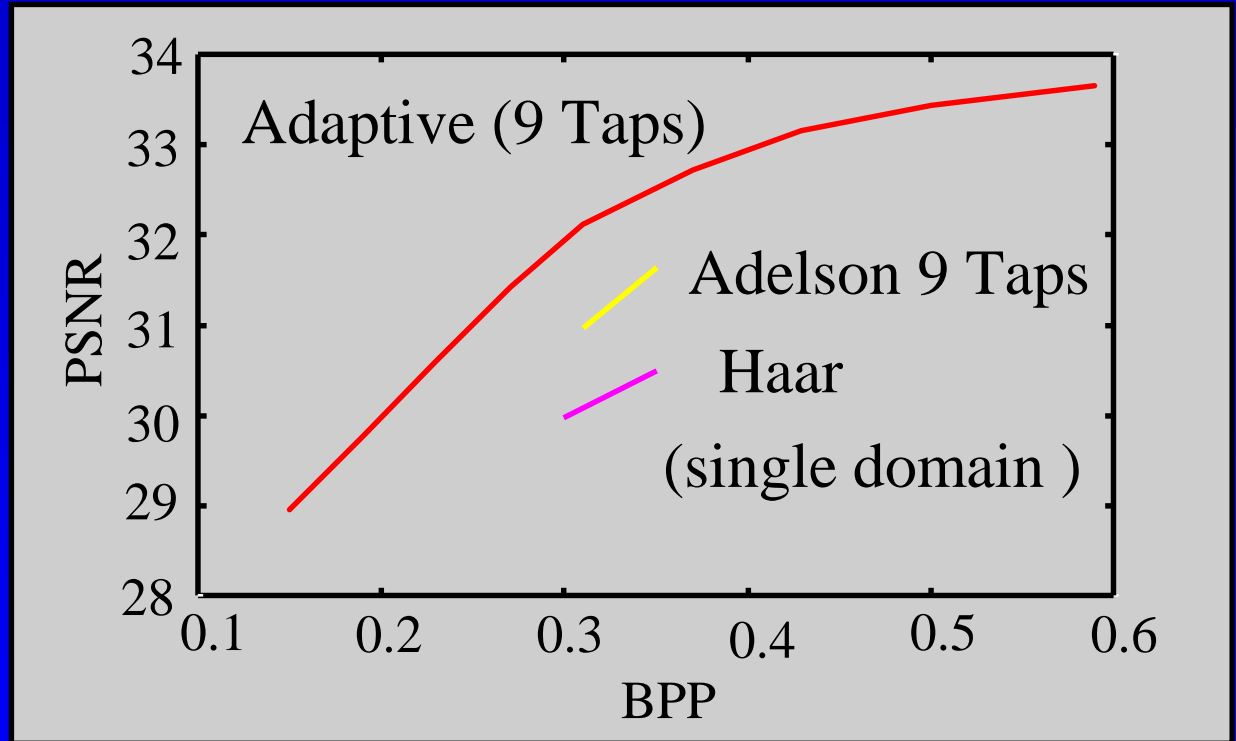


# An Improved Coding Algorithm

- ◆ Encode the lower band
- ◆ For every range subtree -  $R$  :
  - Variance  $<$  Threshold ?  $T_i = \{\text{Zero}\}$
  - Single Domain ?  $T_i = \{\text{Single}, a_i, j_i\}$
  - Double Domain ?  $T_i = \{\text{Double}, a_i[1,2], j_i[1,2]\}$
  - Dir. Subtree ?  $T_i = \{\text{Dir}, a_i[\text{LH,HL,HH}], j_i[\text{LH,HL,HH}]\}$
  - If All above fail, subdivide the subtree (Quadtree)

# Results - Adaptive # of domains

Image : "Lena"  
Size : 512x512



Fixed Subtree size (B=8)

Uniform Quantization : 7bit - LP; 6bit - HP; 6bit-Scaling

Arithmetic coded

# *Example of coding “Lena”*

Bit/Pel=0.31

PSNR=32.11dB



■ - None   ■ - single   ■ - Double   ■ - Directional

# *Image “Super resolution”*

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- ◆ Decoding with a different Block size
- ◆ Subband extrapolation beyond the original
- ◆ Adding high bands without changing the original bands content
- ◆ The DWT-IFS embedded Function

*Summary*

*and*

*Proposals for Further Research*

# *Summary*

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- ◆ An equivalent *IFS* coder in the *Haar-DWT domain*
  - The IFS Predicts higher subbands coefficients from the lower ones
- ◆ A blockless fractal coder
  - Improving the coding results
- ◆ Variable # of domain blocks for the estimation
- ◆ An algorithm for “super resolution”

# *Proposals for Further Research*

- ◆ “Fine Tuning” of the proposed coder
- ◆ Clustering the DWT-subtrees of the Domain-pool
- ◆ Combine with Wavelet coders
- ◆ Self-similar structured *Wavelet Packets*
- ◆ “Fractal Interpolation” - Theory and application



*Questions ?*

