# **Supplementary Materials**

Histone Signatures Predict Therapeutic Efficacy in Breast Cancer

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# *A. Histone, phosphoproteomic and transcriptomic data acquisition*

The experimental data were generated by the NIH LINCS The experimental data were generated by the NIH LINCS capture in vitro generated by the Pr Epigenetics (PCCSE) repository. Level 3 (log 2 normalized) targeted phosphoproteomics assay (P100) against 96 phosphopeptides data, and level 3 (log 2 normalized) global chromatin profiling assay (GCP) against 60 probes that monitor combinations of post-translational modification on histones data using various cancer cell lines including MCF7 (breast), YAPC (pancreas), A375 (melanoma), PC3 (prostate), A549 (lung) and NPC (Neural Progenitor) were downloaded. These assays were treated with 31 serine/threonine kinase inhibitors (drugs) at various concentrations, DMSO as a negative control and consisted of three biological replicates. Three time points (3, 6, 24 hour) were available for P100 data while a single time point (24 hour) was available for GCP data in MCF7 cells. Single time point (24 hour) was available for GCP data in YAPC, A375, PC3, A549, and NPC cell lines (**Supplementary Table 1**).

The experimental transcriptomic data was generated by the NIH LINCS Connectivity Map (CMap) using microarray platform. This assay, which is known as L1000, contained 978 landmark transcripts whose expressions were invariant across cell states. Level 3 (log 2 normalized) L1000 data for breast cancer cell line MCF7 were downloaded.

Patient-level data were downloaded from The Cancer Genome Atlas (TCGA) where breast tissue samples were obtained from 113 normal patients and breast cancer tissue samples were obtained from 303 ER+/HR+/HER2- cancer patients from molecular taxonomy of breast cancer international consortium (METABRIC) study [\[29\]](https://paperpile.com/c/iL63ZC/UUIy4) (**Supplementary Table 4**).

## *B. Data pre-processing*

Replicates were used to impute missing data by taking their weighted average values during the pre-processing step. Differential histone modifications and phosphorylation changes were computed by taking fold changes of each perturbed phosphopeptide and histone code with respect to DMSO. These resulted in two data matrices, i) phosphoprotein profiles consisting of [96 peptides x 31 drugs], and ii) global chromatin profiles consisting of [60 histone modifications x 31 drugs]. Prior to modeling, P100 data were normalized with respect to the mean and standard deviation of the respective variables.

# *C. Experimental Validation*

L1000 genes expressions were used to validate differential gene expressions of the 31 functionally significant genes (cell cycle genes, CDK inhibitor gene *CDKN2A*, transcription factor *MYC* and genes representing the enriched phosphoproteins) to capture in vitro gene activity levels in (MCF7) cell line.

pluie in vido gene activity levels in *(MCF)*<br>D. Quantification and Statistical Analysis

## *Histone Signature Identification*

An unsupervised clustering technique, non-negative matrix factorization (NMF), was used to stratify histone signatures. R Statistics package was used for the calculation and Cytoscape was used to generate network graphs. Similar to vector quantization methods such as principal components analysis (PCA) and singular value decomposition (SVD), the objective of NMF is to explain the observed data using a compact number of latent features, i.e., basis components, which when combined with loading/mixture components approximate the original data as accurately as possible. In our NMF formulation both the matrix representing the basis components (histone signatures) as well as the matrix of mixture coefficients (drug prototypes) are constrained to have non-negative values, and unlike PCA and SVD, no independence or orthogonally constraints are imposed on the basis components leading to a simple and intuitive interpretation of the factors that allow the basis components to overlap. This unique feature is particularly interesting in histone modules, where overlapping basis components identify combinatorial histone codes resulting from multiple signaling pathways and indicate a specific signature. Because NMF assumes an additive model, anti-log transformed values were used in our analysis.

Mathematically, NMF consists of finding an approximation

$$
A \approx WH, \qquad (1)
$$
  
 
$$
W, H \ge 0
$$

where W, H are  $n \times k$  and  $k \times m$  non-negative matrices respectively where n are rows – samples and m are columns – the measured features in A. Since the objective is to reduce the dimension of the original data A, the factorization rank k is often chosen such that  $k \ll (n, m)$ . **W** contains basis vectors and **H** contains encoding vectors that estimate the extent to which each basis vector is used to reconstruct each input vector. We used a version of NMF to minimize the divergence function (KL divergence) given by Brunet et al. [35]. The function is related to the Poisson likelihood of generating A from W and H, more specifically, based on randomly initialized matrices **W**  and **H**, NMF finds the solution of  $min D(A||WH) =$ 

$$
\sum_{i=1}^{n} \sum_{j=1}^{m} (A_{ij} log \frac{A_{ij}}{(WH)_{ij}} - A_{ij} + (WH)_{ij})
$$
 (2)

where, D is a loss function, via an iterative process [\[11\]](https://paperpile.com/c/iL63ZC/sqe9W). At each step, W and H are updated by using the following coupled divergence equations:

$$
H_{a\mu} \leftarrow H_{a\mu} \frac{\Sigma_i W_{ia} A_{i\mu} / (WH)_{i\mu}}{\Sigma_k W_{ka}}
$$
(3)  

$$
W_{ia} \leftarrow HW_{ia} \frac{\Sigma_\mu W H_{a\mu} A_{i\mu} / (WH)_{i\mu}}{\Sigma_\nu H_{av}}
$$
(4)

where  $\mathbf{A}_{i,j} = [\mathbf{A}]_{i,j}$  indicates (i,j)-th element of the matrix  $\mathbf{A}$ .

Because (1) is non-convex optimization with respects to W and H, there is no guarantee of obtaining a local minimum. Moreover, the above iterative update rules are notorious for slow convergence (i.e., require more iterations) and have a complexity of  $O(mnk^2N_iN_o)$  where  $N_i$  is the number of inner iterations to solve the non-negative linear model and  $N<sub>o</sub>$  is the number of outer iterations to alternate W and H steps. As a result, the initialization of the pair of factors (W, H) is considered an important component in the design of successful NMF methods [11]. We used a robust initialization strategy using the seeding algorithm, that is based on a non-negative double singular value decomposition (nndSVD) [12]. The whole process then becomes deterministic and needs to run once and the complexity is reduced to O (mnk<sup>2</sup>N<sub>i</sub> + N<sub>o</sub>). Our NMF framework works as follows:

- 1. Initialize W, H  $\in$  R<sub>m×k</sub>, R<sub>k×n</sub> respectively with nonnegative elements using nndSVD.
- 2. Repeat until a convergence criterion is satisfied:

 $H_{a\mu} \leftarrow H_{a\mu} \frac{\sum_i W_{ia} A_{i\mu} / (WH)_{i\mu}}{\sum_i W_{i\mu}}$  $\Sigma_k$ W $_{ka}$  $H \geq 0$ where W is fixed, and

$$
W_{ia} \leftarrow HW_{ia} \frac{\sum_{\mu} WH_{\alpha\mu} A_{i\mu}/(WH)_{i\mu}}{\sum_{\nu} H_{av}}
$$
  
 
$$
W \ge 0
$$
  
where H is fixed

3. The columns of W are normalized and the rows of H are scaled accordingly.

*E. Cluster Validation*

To identify the optimal rank k, we used the cophenetic correlation coefficient [36] to determine the most robust clustering as:

$$
c = \frac{\sum_{i < j} (x(i,j) - \bar{x})(t(i,j) - \bar{t})}{\sqrt{\left[\sum_{i < j} (x(i,j) - \bar{x})^2\right] \left[\sum_{i < j} (t(i,j) - \bar{t})^2\right]}}.\tag{5}
$$

It measures how reliably the same histone codes are assigned to the same cluster across many iterations of the clustering algorithm with random initializations. The cophenetic correlation coefficient lies between 0 and 1 and reflects the probability that samples i and j cluster together. Higher values indicate more stable cluster assignments. We selected optimal k= 4 (**Supplementary Fig S1A, S1B**) based on the largest observed cophenetic coefficient and where the magnitude of the cophenetic correlation begins to decrease by varying values of k from 2 to 10 (**Supplementary Fig S1C**). We used the NMF package in R to implement and compute these calculations.

In eq 5,  $x(i, j) = |x_i - x_j|$ , is the ordinary Euclidean distance between the *i*th and *j*th observations.  $t \ (i, j) =$  the dendrogrammatic distance between the model points *t<sup>i</sup>* and t*<sup>j</sup>* (height of the node at which these two points are first joined), *x* bar is the average of the  $x(i, j)$ , and *t* bar is the average of the  $t(i, j)$ . After factorizing **A** into the basis matrix **W** and the *encoding* matrix **H**, we used the basis matrix **W** for histone stratification. Specifically, we grouped histone codes into *four groups (k=4). We assigned histone code x<sup>i</sup> to cluster k\* which has the highest value based on the basis vector, as:*

$$
k^* = \arg \max_k W_{i,k} \tag{6}
$$

Similarly, we assigned targeted pathways for each drug  $d_i$  to cluster k\* which has the highest value based on the encoding vector, as:

 $k^* = arg max_k H_{j,k}$  (7)

*F. Histone Prediction Model* Histone-peptide interaction network was generated using

partial least square regression (PLSR) method based on Kraemer et al. formulation [13]. PLSR is a multivariate regression method for constructing predictive model when the number of factors/predictor variables (in our case phosphopeptides) exceeds the number of responses / dependent variables (histone marks), and collinearity exists (phosphopeptides are correlated with one another). A past study [37] had shown the effectiveness of partial least square (PLS) application in understanding crosstalk between phosphoprotein signaling in macrophage cells, thus, prompting us to consider a PLS-based regression model. The general idea behind PLSR is to try to extract latent factors, accounting for as much of the observed variation as possible while modeling the responses well. For each sample n, the value  $y_{nj}$  is defined as:

$$
y_{nj} = \sum_{i=0}^{k} b_i x_{ni} + \varepsilon_{nj} \tag{8}
$$

Where  $y_{nj}$  is a response,  $b_i$  is the coefficient,  $x_{ni}$  is an explanatory variable and  $\varepsilon_{ni}$  is an error term. This model is similar to linear regression; however, the way these  $\beta_i$  are found is different. To see this, a matrix format of equation (7) can be expressed as  $Y=XB+E$  where *Y* is an *n* cases by *m* variables response matrix (in our case it is drugs x histone data), *X* is an *n* cases by *p* variables predictor matrix (in our case it is drugs x phosphopeptides data),  $\bf{B}$  is a  $\bf{p}$  by  $\bf{m}$  regression coefficient matrix, and  $\vec{E}$  is a noise term for the model which has the same dimensions as *Y*. For our *X* predictor matrix, we first normalized all the phosphosignal values to their corresponding z-scores and centered *Y* response matrix (histone values). Intuitively, partial least squares regression produces a *p* by *c* weight matrix *W* for *X* such that  $T = XW$ , i.e., the columns of *W* are weight vectors for the *X* columns producing the corresponding  $n$  by  $c$  factor score matrix  $T$ . These weights are computed so that each of them maximizes the covariance between responses and the corresponding factor scores. Ordinary least squares procedures for the regression of *Y* on *T* are then performed to produce  $Q$ , the loadings for *Y* (or weights for *Y*) such that  $Y = TQ + E$ . Once *Q* is

computed where  $B= WQ$ , we have  $Y=XB+E$ , and the prediction model is complete. To provide a complete description of PLSR, we also need a *p* by *c* factor loading matrix *P* which gives a factor model  $X = TP + F$ , where *F* is the unexplained part of the *X* scores.

On the training data, we calculated the optimal model parameter using 10-fold cross-validation. We assessed the predictive performance by computing the residual sum of square (RSS) error of prediction on the test set (**Supplementary Fig S2)**.

We identified the optimal number of components (principal component, PC) that could be used to predict the model accurately using residual square sum (RSS) value < 0.05. Once the coefficients  $(\beta_i)$  are generated, we retained only the significant peptides (p\_value < 0.0001) using a t-test where the degree of freedom DOF was computed as:

 $DOF = min$  (column of X, row of X) – PC – 1.

*G. Integrated Phosphoprotein-Histone-Drug Network (iPhDNet)*

Using the coefficients from the histone signatures (c1, c2, c3, and c4) and the drug prototypes using NMF and model coefficients of phosphoproteins towards histone model prediction using PLSR, an integrated 3D network file is constructed connecting drugs to phosphoproteins and phosphoproteins to histones (iPhDNet). iPhDNet is visualized using Cytoscape highlighting hub nodes (most connected histones) linking histone to phosphoproteins to drugs. Influences of each drug or phosphoprotein towards a histone code then can be visualized by the properties of edges connecting them. For example, the thickness of the edges signifies the amount of contributions by each phosphoprotein or drug, colors of edges signify how they are correlated (i.e., positive or negative).

#### *H. Mechanistic Causal Network (MCN) Reconstruction*

A time-varying mechanistic causal network was constructed by back propagating iPhDNet, previously generated for 24 hour from P100 data. We first used a one-way ANOVA with a pvalue of 1.0e-4 to populate enriched (statistically significant) phosphoproteins at 6 and 3-hour time points. We then inferred protein-protein interactions for the phosphoproteins enriched in 24 hour by mapping them to the STRING database. An interaction score of 0.8 and above, experimentally validated PPIs, and gene fusions criteria were used to obtain these inferred proteins. Our final MCN was constructed by back propagating our mapping of the inferred proteins from 24 hour

to enriched phosphoproteins in 6 and to 3 hour. Additional protein-coding genes were generated and added to the final MCN using the EnrichR tool (http://amp.pharm.mssm.edu/Enrichr/enrich). We then validated our MCN by matching them against significant differentially expressed (DE) genes in L1000. Cytoscape was used to view the final reconstructed MCN.

*I. Transcriptomic Analyses - Differential Expression of L1000 and TCGA Data*

Differential expression analyses for 978 landmark genes from L1000 assay treated with flavopiridol and dinaciclib were performed using the unpaired t-test implemented in CyberT. Cyber-T is based on a regularized Bayesian framework that addresses technology biases and low replication levels in high throughput data [38]. These analyses were performed on 3, 6 and 24-hour datasets. Multiple corrections were applied to p-values using Benjamini Hochberg. Similarly, differential expression analyses of TCGA matched normal vs cancer patients were performed using unpaired t-tests. Cyber-T web server [39] was used to generate these analyses (**Supplementary Table 4)**.

*J. Cluster Similarity Evaluation*

We used the Rand Index (RI) to evaluate the similarity of cluster assignments between every paired treatments in breast cancer and other cell lines. RI computes the percentage of pairs of objects for which both classification methods, the computed and the ideal one, agree. It is computed using False Positives (FP), False Negatives (FN), True Positives (TP) and True Negatives (TN) as follows:

$$
RI = \frac{(TP + TN)}{(TP + TN + FP + FN)}
$$
 (9)

The RI value ranges from 0 (completely dissimilar group assignment) to 1 (exactly same group assignment).

*K. Software Availability*

Genomic, transcriptomic, epigenetic, and proteomic data files are available from the public online portal [\(https://panoramaweb.org/project/LINCS/GCP/begin.view?](https://panoramaweb.org/project/LINCS/GCP/begin.view)). Source codes are implemented in R 3.3.1 and are freely available for download at (https://github.com/smollah/iPhDNet).

*L. Supplementary Figures*

# A Basis components



# C NMF rank survey

basis  $\blacksquare$ 1

 $|2|$ п

 $\Box$ 3  $\blacksquare$ 4





D NMF basis components constitute pathway based four histone signature modules



Fig S1. Estimation of the factorization rank of NMF and its cluster components. (**A**) Heatmap of the basis components (histones and their cluster memberships). Showing likelihood of each histone code belonging to a specific signature module. (**B**) Showing membership contributions of each drug toward 4 signature modules  $(k=4)$ . (**C**) Cophenetic score is computed from 100 runs for each value of rank k by varying  $k=2, 3...10$  on 24-hour GCP data. Rank k represents the number of clusters or basis components. The solid line represents the original data and the dotted line represents random data. (**D**) Showing these 4 basis components corresponds to 4 pathway-based functional modules (c1, c2, c3 and c4). These functional modules constitute histone signatures.



# **A** PLSR model prediction quality with optimal number of PCs

**B** PLSR model prediction quality with non-optimal number of PCs



Fig S2. Performance of PLRS model, related to Figure1. Showing three examples of histone codes (H3K27me3K36me3, H3K9ac1S10ph1K14ac0 and H3K18ub1K23ac0). (**A**) Showing model performance using optimal number of components. The optimal number of components (principal component, PC) is used to predict the model accurately using residual square sum (RSS) value < 0.05. Once the coefficients  $(\beta_i)$  are generated, only the significant phosphoproteins (p\_value < 0.0001) are retained using t-test. (**B**) Depicting model performance using sub optimal number of components for e.g., using 5 components fewer than the optimal component number.

**A** Phosphoprotein profile correlation between flavopiridol and dinaciclib at 3 hour and 6 hour (r=0.59)

**B** Phosphoprotein profile correlation between flavopiridol and dinaciclib at 6 hour and 24 hour  $(r=0.69)$ 



**C** Pairwise similarities between flavopiridol and dinaciclib based on histone expression at 24 hour



Fig S3. Phosphoprotein and global chromatin correlation profiles between drug pairs. (**A**) Pearson correlation between paired drugs at 3 and 6 hours. Showing a strong positive correlation (r=0.59) between flavopiridol and dinaciclib (circled) at 3 and 6 hours. (**B**) Strong positive correlation (r=0.69) is sustained between flavopiridol and dinaciclib (circled) at 6 and 24 hours. (**C**) Pairwise correlation between flavopiridol and dinaciclib based on 24 hour GCP data. Showing positive correlations between flavopiridol and dinaciclib (positive slope), using a linear regression line on 24 hour normalized global chromatin data (p-value = 1.85e-11, adjusted r-squared =0.536).



Supplementary Table 1. Library of Integrated Network-Based Cellular Signatures (LINCS) proteomics dataset used in our study



Supplementary Table 2. Characteristics of the NMF based four histone signatures in MCF7







# Supplementary Table 3. Characteristics of the enriched phosphoproteins

#### Supplementary Table 4. Transcriptomic datasets used in our study.





# Supplementary Table 5. Summary results from MCN and Enrichment analysis



![](_page_10_Picture_0.jpeg)

Supplementary Table 6. Results of Crosstalk among regulators in breast cancer signaling pathway

![](_page_10_Picture_694.jpeg)