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1 **The drive to eat in *homo sapiens*: energy expenditure drives energy intake**

2

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10

11 **Highlights:**

12 • FFM and RMR can be considered as major determinants of energy intake in humans.

13 • EE generates a drive to eat to support vital physiological functions.

14 • Activity EE shows high individual variability and creates a more variable drive.

15 • Appetite control can be conceptualized within an energy balance framework.

16 • Tonic and episodic processes interact within an appetite control system.

17

18

19 **Abstract**

20 The drive to eat is a component of appetite control, independent of the omnivorous habit of  
21 humans, and separate from food choice, satiety and food reward. The drive forms part of the tonic  
22 component of appetite and arises from biological needs; it is distinct from episodic aspects of  
23 appetite which are heavily influenced by culture and the environment (and which reflect the  
24 omnivorous habit). It is proposed that the tonic drive to eat reflects a need state generated by  
25 metabolic energy expenditure (EE) required to maintain the functioning and integrity of vital organs.  
26 Specifically, the tonic drive is quantitatively associated with fat-free mass (FFM) and resting  
27 metabolic rate (RMR). A rational proposition is that high metabolic rate organs (such as heart, liver,  
28 kidneys, brain) together with skeletal muscle generate a metabolic need which drives energy intake  
29 (EI). The basic phenomenon of a relationship between FFM, RMR and EI, first published in 2011, has  
30 been substantially replicated and there are at least 12 concordant published studies carried out in 7  
31 different countries (and 3 continents) with various ethnic groups of lean and obese humans. These  
32 studies demonstrate that FFM and RMR represent major determinants of the drive to eat, and this is  
33 rational from an evolutionary perspective. The EE of bodily movements through skeletal muscle  
34 activity (namely physical activity and exercise) represents another driver which is clearly but more  
35 weakly associated with an increase in EI. This account of appetite control, developed within an  
36 energy balance framework, is consistent with the apparent inexorable escalation of fatness in  
37 individual humans, and for the progressive increase in the prevalence of obesity which, among other  
38 factors, reflects the difficulty of managing the biological drive to eat.

39

40 **Keywords:** appetite, energy intake, energy expenditure, fat-free mass, resting metabolic rate, drive

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42

### 43 **The drive to eat within an energy balance framework**

44 Energy balance implies an interplay between energy intake (EI) and energy expenditure (EE).  
45 However, early research on appetite (say between 1950 and 1970) envisaged appetite control as  
46 self-contained, undergoing regulation in relation to the postulated regulation of body weight. The  
47 terms 'food intake regulation' and 'body weight regulation' were widely used as explanatory  
48 principles. Taken together, some dysregulation in food intake or body weight was seen as primarily  
49 responsible for obesity (together with low metabolic rate and low adaptive thermogenesis). Within  
50 this environment, the drive to eat was located in the brain; initially in specific localised centres, later  
51 in neurochemical pathways or in clusters of cell bodies of neurotransmitters and synaptic receptors.  
52 Throughout this period, in the field of ingestive behaviour, there was little, if any, reference to EE.  
53 Operating in parallel, the field of energy balance physiology was concerned with EE (measured  
54 through indirect calorimetry) sometimes as a consequence of the effect of fixed energy loads of  
55 food. These physiologists had little interest in the measurement or observation of eating behaviour,  
56 whilst psychologists and behaviour scientists had little interest in the use of calorimetry. During this  
57 period, the study of appetite was heavily influenced by research on laboratory rats which was used  
58 as a basis for understanding the actions of humans. Consequently, the study of appetite control was  
59 isolated ideologically and methodologically from the study of energy balance.

60           However more than 60 years ago, two strands of research foresaw the value of  
61 simultaneous consideration of EE and EI in humans. On the basis of a study of jute mill workers in  
62 Calcutta, Mayer described a simple but insightful scheme in which the amount of food eaten was  
63 associated with the sedentary or active nature of the occupational work performed [1]. Under  
64 conditions of high EE (heavy work) the high level of food eaten was closely linked to the high level of  
65 energy used in work. However, this tight connection was lost in the sedentary state when eating  
66 became detached from physiological need and body weight became elevated [2, 3]. A more definite  
67 statement describing EI and EE arose from a series of studies of Edholm, Widdowson and others in  
68 which simultaneous measures were made of daily EI and physical activity EE in army cadets under  
69 closely observed and monitored conditions [4, 5]. Edholm drew explicit conclusions from this body of  
70 work with specific implications for appetite. Indeed, Edholm's work was motivated by the 'desire to  
71 find out more about the mechanisms which relate intake to expenditure – what regulates appetite,  
72 in fact' [4](p 286). However, both of these (now) classic studies were ignored or forgotten for almost  
73 half a century.

74           The first deliberate studies involving simultaneous physiological measurements of EE and  
75 objective and quantitative measurement of human eating behaviour began to be carried out about

76 25 years ago, often for the assessment of the impact of exercise on appetite control [e.g. 6]. These  
77 small-scale studies developed into a genuine energy balance framework for investigating appetite  
78 control [7-9] and indicated reciprocal relationships between EE and nutritional EI.

### 79 **Fat-free mass and resting metabolic rate are major determinants of energy intake**

80 Although the work of Edholm focussed on activity EE, it inspired thinking about the role of whole-  
81 body EE and metabolic EE (or resting metabolic rate; RMR) in particular. In turn, owing to the  
82 relationship between RMR and body composition, this led to hypotheses about the possible  
83 relationships of appetite with body fat mass and fat-free mass (FFM). The first deliberate and  
84 purposeful investigations of these issues occurred over 10 years ago. The first publication described  
85 two separate 12-week interventions in participants with overweight and obesity using a  
86 biopsychological systems approach in which near simultaneous measurements were made of body  
87 composition, RMR (through indirect calorimetry), quantitative and objective measurement of self-  
88 determined daily and meal-time food intake, and ratings of the perception of hunger and other  
89 appetite sensations [10, 11].

90 The outcome of these studies demonstrated that FFM, but not fat mass was positively  
91 associated with daily EI and with meal size [10, 11]. This work received rapid confirmation [12]. It is  
92 worth noting that these studies served as a test of the widely regarded lipostatic hypothesis of  
93 appetite regulation. In fact, fat mass was unrelated or weakly negatively related to EI. Also of  
94 interest is the fact that the presentation of these results serendipitously brought to light a much  
95 earlier publication which had reported identical conclusions but which were not immediately  
96 apparent because the work was investigating a different issue (namely the validity of EI in people  
97 with obesity) [13]. These authors reported that *'energy requirement was positively associated with*  
98 *lean mass ( $p < 0.0001$ ) whereas fat mass added no predictive value to the model' ( $p$  324); and that*  
99 *'the emphasis of research that focuses on the relationship between EI and obesity is misplaced*  
100 *because EI appears to be a direct function of lean mass rather than adiposity' ( $p$  324). These*  
101 relationships were effectively hidden until re-discovered by the current first author in 2014 (25 years  
102 after the original study had been published) during a scientific discussion with David Levitsky (one of  
103 the authors of the 1989 work). The association between lean mass (fat-free mass) and EI reported in  
104 1989 anticipated later research and demonstrated a relationship similar to that later demonstrated  
105 through the Leeds approach (originally published in 2011) and later in collaboration with Rowett  
106 Research Institute, Aberdeen and shown in Figure 1. **We recognise that FFM cannot be used**  
107 **interchangeably with lean mass, but for convenience in this review we do not insist on separate**  
108 **definitions.**

109 It is also important to point out that the inter-relationships among body composition  
110 variables and EI are statistical associations and not biological pathways (see [14]). Although we do  
111 believe that biological processes link these variables, there is insufficient evidence at the moment to  
112 be decisive about this. Conceptually we do not believe the relationships are linear (this would be  
113 unusual in biology) but we have limited information to determine what type of relationship exists.  
114 Our understanding, based largely on the work of Muller and Heymsfield (and previously described in  
115 Stubbs et al. [15]), is that as weight is linearly gained fat mass is disproportionately increased relative  
116 to FFM. It can be inferred that if two people have similar FFM but very different fat mass then  
117 energy expenditure will be different by a (largely) predictable amount. The person with a higher fat  
118 mass will need a higher energy intake to maintain their higher total mass. Moreover, a model that  
119 accounts for the potential effects of FFM, RMR and fat mass on appetite and EI needs to account for  
120 the apparent change of direction in the relationship among these variables during positive and  
121 negative energy balances. This issue has recently been discussed in Stubbs et al. [15].

122 In passing, it is worth drawing attention here to the alignment of the current proposals and  
123 the general concept of allometric scaling [16]. Indeed, as physiologists have pointed out: 'size  
124 matters'. We recognise the influence on our thinking of the classic studies of Kleiber [17] and the  
125 famous 'mouse to elephant curve' of Brody [18].

126 -----*Figure 1 here*-----

127 It is now recognised that one of the most important features related to the validity of  
128 scientific outputs is that they should be subject to replication [19, 20]. It is therefore important to  
129 note that this type of relationship between FFM and EI has been replicated in several separate  
130 studies over a period of 8 years and on people in at least 9 different countries: England, USA,  
131 Canada, Scotland, Estonia, Ireland, Spain, Singapore and Australia, and in 4 continents [10, 12, 14,  
132 21-31]. Consequently, the relationship is robust and seemingly independent of the culture and the  
133 environment. Moreover, the clear positive association of FFM but not fat mass, prompted a line of  
134 thinking more closely related to the propositions of Edholm regarding EE and EI. Considering total  
135 daily EE, it is widely observed that RMR is the major component (50 – 70%) to which FFM  
136 contributes about 60 – 70%, whereas fat mass accounts for as little as 5 – 7% [32]. [However, as a  
137 reviewer has pointed out, this statement about fat mass % applies only to certain scenarios. In  
138 individuals with a very large amount of adipose tissue, the contribution of fat mass to RMR may be  
139 much closer to that of skeletal muscle.] It is nonetheless a reasonable hypothesis that RMR would be  
140 associated with EI. This was initially shown in 2013 where RMR was strongly related to both meal  
141 size and total daily EI under exposure to high or low energy dense foods [22]. The effect was

142 therefore independent of the food environment and has been replicated in several studies [21, 27,  
143 31]. This relationship is shown in Figure 2.

144 -----Figure 2 here-----

145 The publication of the outputs of archived data from the laboratory of Doucet in Ottawa  
146 [21], strongly confirming earlier research from the Leeds group, prompted an editorial in AJCN by  
147 Lam and Ravussin [33], stating that ‘...RMR and FFM are the strongest determinants of energy intake  
148 – largely confirming previous correlations..’ (p 1169). This relationship is therefore robust and has  
149 been replicated on several different populations and ethnic groups from 4 continents.

150 These findings have led to a formal statement of the current theory in which RMR  
151 ‘represents a physiological source of hunger that drives food intake at a level proportional to basal  
152 energy requirements. This long-term (tonic) signal of energy demand would help ‘tune’ energy intake  
153 to energy expenditure, and help ensure the maintenance and execution of key biological and  
154 behavioural processes’ [34] (p 1618). It is relevant here to consider how various components of body  
155 composition contribute to metabolic activity. As noted by Javed et al [35], FFM is a heterogeneous  
156 compartment of body composition of which the constituents have a wide range of metabolic rates.  
157 Compared with skeletal muscle with an RMR of 14.5 kcal/kg/day; that of heart and kidneys is 33-fold  
158 higher (440 kcal/kg/day), of the brain 18-fold higher (240 kcal/kg/day), and of the liver 15-fold higher  
159 (200 kcal/kg/day). In contrast, adipose tissue is 4.5 kcal/kg/day. Collectively, the brain, liver, heart  
160 and kidneys account for 60 – 70% of RMR (80% if lungs are included [36]) in adults whereas their  
161 combined weight is <6% of total body weight. Skeletal muscle accounts for 20 – 30% of RMR but  
162 comprises 40 – 50% of body weight [35](p 907). Consequently, the proposition can be refined to  
163 state that the *energetic need generated by high metabolic rate organs such as heart, brain, liver,*  
164 *kidneys (and skeletal muscle) is the source of the drive to eat; in turn, this leads to feeding behaviour*  
165 *that provides the essential energy for maintaining these organs.*

166 **Importantly, the coefficients of metabolic activity of specific organs have been recalculated**  
167 **using the MRI procedure [37, 38]. In order to interpret this metabolic activity for its potential as a**  
168 **biological driver, one of the reviewers of this submitted article suggested calculating the absolute**  
169 **and proportional contributions of specific organ activity to total resting EE. These calculations are set**  
170 **out in Table 1. Notably, a sub-group of the present authors is currently conducting an MRI study to**  
171 **determine the contribution of metabolic activity of specific organs as proportional drivers of EI.**

172 **Table 1.** Metabolic activity of specific tissues expressed in different ways so as to indicate how the  
 173 energy expended could be related to EI. The figures have been calculated for a woman weighing 66  
 174 kg.

Tissue	Metabolic rate (kcal/kg/day) <sup>1</sup>	Weight (kg) <sup>1</sup>	% body weight	Specific metabolic rate (kcal/day)	% of resting energy expenditure
Adipose tissue	4.5	20.9	31.7	94.1	6.7
Skeletal muscle	13.1	21.4	32.4	280.3	19.9
Brain	241.0	1.27	1.9	306.1	21.7
Liver	201.0	1.27	1.9	255.3	18.1
Kidneys	442.0	0.25	0.4	110.5	7.8
Heart	442.0	0.26	0.4	114.9	8.1
Residual	12.1	20.6	31.2	249.3	17.7
<b>Total</b>	<b>1355.7</b>	<b>65.95</b>	<b>100</b>	<b>1410.4</b>	<b>100</b>

175 <sup>1</sup>Data from Wang et al. [38]

176 Also relevant to the theoretical position established here is the recently developed concept  
 177 of functional body composition [39-41], which describes relationships among components of body  
 178 composition and their physiological and metabolic actions. Accordingly, we propose that an  
 179 appropriate next step in understanding the biological basis of the drive to eat is to extend the  
 180 analysis if functional body composition into the realm of (eating/feeding) behaviour.

### 181 **Energy expenditure and energy intake: the role of behavioural activity**

182 The work of Edholm was intended to establish a fundamental relationship between EE and EI as a  
 183 basis for understanding human appetite [4]. It was further postulated that '*the differences between*  
 184 *the intakes of food must originate in the differences in energy expenditure*' (p 297). As summarised  
 185 above many studies now concord in finding that the energy expressed as RMR is 'a major  
 186 determinant of energy intake' [33]. However, it can also be surmised that the energy expended in  
 187 physical activity behaviours could also act as a driver of feeding behaviour. Indeed, the studies of  
 188 Edholm were on the associations between the energy consumed by daily physical activity and the  
 189 energy eaten in food. In addition, the scheme proposed by Mayer and others [1] incorporates the



190 idea that a person with a high workload resulting in greater total daily EE is likely to have a greater EI  
191 than a person whose workload resulted in a lower total daily EE.

192 This relationship can be investigated through various research designs. For example,  
193 individuals can be selected initially for habitual high and low levels of physical activity (measured by  
194 self-recording e.g. IPAQ or by wearable activity monitoring devices) and their food intake measured  
195 [42]. Alternatively, a large group of unselected people can be subjected to simultaneous  
196 measurements of physical activity EE and freely selected food intake [23, 43]. A fixed amount of daily  
197 exercise can be coercively imposed over several weeks and self-determined food intake measured  
198 [e.g. 44, 45]. The appetite response can be measured objectively in response to exercise delivered at  
199 high and low levels of energy flux [46]. All of these procedures can reveal whether or not EI responds  
200 to a raised level of behavioural EE. However, it must be kept in mind that there are likely to be  
201 differing outcomes according to whether the exercise is acute (single episodes) or chronic (habitual).

202 It should be mentioned at the outset that physical activity behaviours display a high degree  
203 of inter-individual variability [42, 43, 47]. In principle therefore, with careful behavioural  
204 measurement, it should be quite feasible to demonstrate differences in EI if they exist. The data for  
205 a group of individuals showing variations in activity EE is shown in Figure 3 [42, 48], together with  
206 the relationship between activity EE and laboratory-measured meal size (Figure 4) [48]. Figure 5  
207 shows the objectively-measured daily EE in a group of 242 individuals showing large variations in  
208 their daily behavioural activity EE (measured by the FLEX heart rate procedure) [23].

209 -----*Figure 3 here*-----

210

211 -----*Figure 4 here*-----

212

213 -----*Figure 5 here*-----

214 Consequently, there is good evidence that variations in physical activity EE are positively  
215 related to daily EI. This relationship is consistent with the proposition that EE acts as a driver for EI.  
216 However, the association is weaker than the relationship of RMR with EI. There are good reasons for  
217 this. First RMR represents a 'tonic' driver and is fairly stable from day to day; it therefore exerts a  
218 fairly uniform and enduring action. In contrast, activity EE has an episodic character and may vary  
219 from day to day, and within any day. Physical activity also varies in intensity and structure, and

220 therefore in the amount of bodily movement involved. Physical activity is also influenced by the  
221 culture and the variability in the lifestyle of people. It is therefore more sporadic and unpredictable.  
222 It also normally accounts for a much lower proportion of total daily EE than does RMR. Therefore,  
223 the quantitative 'mass action' effect of bodily activity will inevitably be smaller than that of RMR.  
224 However, activity EE does, of course, have the potential to exert a very powerful effect on the EI of  
225 certain individuals, such as some cyclists and other athletes, operating at extremely high levels of  
226 daily energy expenditure [e.g. 49]. However, as noted above, there is unlikely to be any enduring  
227 eating behaviour change in response to an acute episode of exercise. Changes in appetite will ensue  
228 when the exercise becomes habitual [50].

229 Building on these demonstrated relationships, a recent review has drawn attention to the  
230 potential importance of various aspects of skeletal muscle activity for understanding appetite  
231 control [51]. For the moment, it can be deduced that the relationship of bodily skeletal muscle  
232 activity (as measured by adjustments in EE) is consistent with the proposal of a role for EE (metabolic  
233 and behavioural) as a driver of EI.

234 Considering more general aspects of appetite research, much less attention has been given  
235 to the effects of physical activity and EE than to the effects of nutritional composition, food  
236 structure, palatability and contextual factors. As a reviewer of this manuscript pointed out, there is  
237 much more to be understood about the interactions between physical activity EE and the behaviour  
238 of consumers to select and eat food.

239 In addition, it is worth questioning here whether any light can be shed on the relationships  
240 among body composition, metabolism and EI by considering studies on bed rest or immobilisation.  
241 We know from observations in energy and macronutrient intake during prolonged bed rest in a  
242 head-down tilt position [52] that body weight declines during bed rest and increases on recovery. EI  
243 is affected in the same way. Also, there is a tendency for FFM to decrease slightly and fat mass to  
244 increase during bed rest in healthy subjects [53]. Therefore, further study of bed rest/immobilisation  
245 could provide an opportunity to disclose body composition/activity EE, and EI relationships.

#### 246 **Is the drive to eat regulated?**

247 It is noticeable that the foregoing account of the relationship of EE and EI is supported by its  
248 evolutionary functional value. That is, the need state generates a drive for eating behaviour that  
249 ensures that humans ingest – at least – sufficient energy to match energy requirements when  
250 operating close to energy balance. [This mechanism may be over-ridden in states of negative energy  
251 balance when the functional integrity of tissues and organs are challenged [15]]. This relationship

252 may acquire the meaning of a type of biological rule; however, it does not require the mediation of  
253 any form of regulation. This means that the energy balance approach proposed here is much  
254 different from traditional biomedical thinking about appetite control which is predicated on the idea  
255 that the purpose of appetite is to achieve the regulation of body weight or body adipose tissue. This  
256 principle is incorporated in the lipostatic hypothesis, or the adipocentric theory of appetite often  
257 attributed to the work of Kennedy [54]. However, it can be questioned whether the claim for the  
258 existence of a regulatory principle is theoretically justified.

259           One of the dominant explanatory principles in the field of appetite, energy balance and  
260 obesity is the concept of biological regulation. This is usually stated as body weight regulation or,  
261 more commonly, adipose tissue regulation. These terms are closely linked to a doctrine of energy  
262 homeostasis which implies that energy (in and out) is controlled in the interests of a higher objective  
263 – namely regulatory control of components of body composition. A comparison is often made with  
264 the principle of glucose regulation. These terms give the impression of a precise control mechanism  
265 operating within a biological system. It is implied that when these mechanisms go awry the result is  
266 obesity which arises from a fault of regulatory or homeostatic principles. However as Speakman [55]  
267 has pointed out ‘if body weight is under physiological regulation how come we have an obesity  
268 epidemic?’ (p 88).

269           Perhaps we should keep in mind that biological regulation of a system is not the only  
270 principle through which a system can deal with some perturbation or unpredictability. An  
271 evolutionary principle is that a system will have adaptive properties which contribute to biological  
272 organisation, but which does not need to incorporate a process of regulation. As argued by Bich et al  
273 [56] ‘biological systems exhibit a wide range of mechanisms and strategies to ensure their survival  
274 under variable conditions’ (p 238). These writers point out that there is no agreement on what  
275 regulation actually means, and the current use of the concept is ambiguous and mixes  
276 fundamentally different biological capacities. Adaptation, feedback, dynamic stability might be  
277 principles that could be used as alternatives to regulation and which do not embody the absolute  
278 certainty of the attainment of some fixed internal state (body mass, fat mass etc). Some researchers  
279 have questioned whether the ‘existence of lipostatic regulation of body fatness is an illusion’ [57].

280           These arguments question whether the notion of regulation (for example, of fat) is essential  
281 to an understanding of the control of the behaviour of energy intake (food consumption). Much of  
282 the justification for the use of terms such as fat regulation, adipostasis and energy homeostasis  
283 emanate from studies on laboratory rodents whose uniform laboratory environments and lifestyles  
284 are far removed from the ecological and evolutionary pressures that frame an understanding of the

285 relationship between body fat and food in humans. As eloquently described by Pond [58] and Wells  
286 [59] body fat has many functions which differ according to the lifestyle and evolutionary  
287 development of the particular species. Also Speakman and others [57] have pointed out with  
288 reference to adipose tissue that 'it is likely that different species under different evolutionary  
289 scenarios will have evolved systems appropriate to their own circumstances' (p 483). For example,  
290 the control of adipose tissue in migratory species or in hibernators cannot be used as a model for  
291 humans; the functions of body fat show considerable diversity even amongst mammals. In humans,  
292 adipose tissue may have flexible capacity which allows an adaptation to environmental exigencies  
293 and unpredictability; such a function does not embody a requirement for fat to be regulated at some  
294 fixed value. One likely evolutionary function of human fat is to maximise biological (survival)  
295 advantage in the face of environmental uncertainty. Such uncertainty is inherent in the  
296 heterogeneous nature of the food environment and the diversity of feeding behaviour.

297           It is relevant that the reaction of body weight to a perturbation in energy balance is  
298 asymmetrical; there is a strong defence against an energy deficit but weak defence against an  
299 energy surfeit or positive energy balance. Over the years there has been a strong urge to explain  
300 why people can gain weight with apparent ease but – even with strong motivation – fail to maintain  
301 hard earned weight loss. If any component of body composition is subject to regulation, it is more  
302 likely to be lean mass rather than fat mass. There is persuasive evidence from an analysis of the  
303 classic study of starvation by Keys that lean mass, rather than fat mass, may be the key component  
304 of body composition driving food intake (together with prior energy deficit) in the recovery from  
305 excessive weight loss [60, 61].

306           This research again implicates lean mass rather than fat mass as a major controller of energy  
307 intake. However, it involves men recovering from weight loss, rather than a situation approximating  
308 energy balance conditions. Considering more usual situations, it has recently been concluded that  
309 'faced with the present lack of direct evidence for biological control of body weight in humans, [...] we should be open to the alternative idea that there is no feedback control of body weight' [39](p  
310 10). This reasoning implies that EI is not a controlled variable in order to achieve regulation of body  
311 weight (or adipose tissue).

313           This discussion about the use of the term 'regulation' in the study of appetite in humans is  
314 relevant because the thesis proposed here, that EE drives EI, does not incorporate, nor does it  
315 require, the interposition of a regulatory principle. The drive to eat arises from biological signals  
316 (related to the utilisation of energy in the body) but there is no direct feedback to this process to  
317 maintain it at a fixed level. Therefore, there is no value in declaring that the drive to eat is regulated.

318 Although the drive to eat is clearly purposeful and ultimately maintains the integrity of the body, this  
319 process is independent of direct negative feedback. Indeed, it follows that the greater the size of  
320 metabolically active organs, then the greater is the drive to eat and the higher the EI. An increase in  
321 body size (or adipose tissue size) does not diminish the drive to eat. This observation has clear  
322 implications for the development and maintenance of obesity (see later). Although there is no  
323 negative feedback (and no regulated system), there are of course inhibitory as well as excitatory  
324 (drive) processes in the expression of food intake. Central to this argument is the distinction  
325 between tonic and episodic processes of appetite control. This distinction also incorporates separate  
326 effects of FFM and fat mass on appetite, and illustrates why the drive to eat is independent of  
327 cultural influences.

### 328 **Tonic and episodic influences on appetite**

329 Processes that influence the expression of appetite can be separated into tonic and episodic [62].  
330 Tonic processes are rather stable and enduring and change slowly over time. Episodic processes are  
331 organised around the occurrence of bouts of eating behaviour (meals, snacks, etc); they occur  
332 periodically and are short lived rather than long lasting. These episodes take various forms within  
333 any one day and may change from day to day.

334 The origin of the drive to eat – as described above – is clearly a tonic process and reflects a  
335 constant need for energy. In humans this drive is periodically released (or triggered) and leads to  
336 episodic outputs of behaviour; the drive itself is not episodic (it is constant). This behaviour includes  
337 food seeking, acquisition and eating. The behavioural output is expressed in a particular  
338 environment with a specific culture, geographical location and socio-economic circumstances. These  
339 external forces shape the behaviour and determine its structure and form; they determine the foods  
340 eaten, the way they are consumed, where and with whom they are eaten, their frequency and over  
341 which period of time. These episodes of behaviour, and their accompanying sensations, constitute  
342 the phenomenon that people recognise as appetite. This episodic appetite behaviour is culturally  
343 dependent; the tonic drive to eat is independent of culture and is dependent on biological  
344 processes. Only when the drive to eat erupts into the external environment as behaviour does the  
345 impact of culture become apparent.

346 In addition, as a reviewer of this manuscript has pointed out, most attention in research on  
347 food intake has focussed upon the nutritional and overtly visible aspects of this behaviour rather  
348 than on the drive underlying the behaviour. A consequence of this is that many studies have

349 focussed on the meal which is an episodic feature heavily influenced by the environment and  
350 contextual factors. Perhaps researchers may have paid too little attention to tonic influences?

### 351 **The drive to eat and the trigger to eat**

352 This analysis describes why knowledge of the drive to eat does not explain the totality of appetite  
353 control; it explains an important component. The drive to eat does not explain food choice, satiation  
354 or satiety, food reward, consumer behaviour or cognitions about eating. The drive to eat accounts  
355 for the energy requirements (need state) that lead to eating. Importantly, the drive to eat has to be  
356 triggered (or released) in order to be expressed in behavioural form; it does not happen by accident.  
357 These triggering events may arise from signals of acute lack of immediately available nutrients that  
358 will meet the long-term needs. These signals include an empty stomach, low blood glucose, low  
359 gastro-intestinal activity and other events indicating a lack of recent ingestion. One important trigger  
360 involves glucose dynamics. A significant body of evidence in the late 1980-1990s demonstrated that  
361 the decline in plasma glucose predicted the onset of eating [63-65]. These signals represent  
362 biomarkers of short-term requirements of the need to eat. Although it is feasible that adjustments in  
363 plasma glucose constitute triggers for the drive to eat, it must also be considered that a drop in  
364 glucose, for example, may constitute a separate form of hunger. Consequently, glucose dynamics  
365 may constitute a type of episodically generated hunger (in contrast to the tonic drive generated by  
366 FFM and RMR).

367 **However, we also need to allude to the possibility, raised by a reviewer, that our proposal**  
368 **for a glucose trigger could also influence RMR via alterations in liver glycogen metabolism. This**  
369 **suggestion would indicate how the liver could influence the tonic process via RMR but also the**  
370 **episodic processes via glucose dynamics. This would be a way to reconcile the actions of tonic and**  
371 **episodic processes. Although we postulate a conceptual separation between tonic and episodic**  
372 **processes; they may not be so clearly separated at the physiological level.**

373 Since biomarkers may be neural (activity at neural receptors in periphery or brain) or  
374 hormonal, it is important to consider the influence of ghrelin, which is known to be closely related to  
375 periods of eating and is sometimes known as the 'appetite hormone' [66]. Although recent reviews  
376 [67, 68] have indicated that ghrelin is far more than a hunger hormone, its measured oscillations in  
377 blood are known to be quite well synchronised with episodes of eating [69]. Typically ghrelin in  
378 blood is high preceding a meal but falls during the period of postprandial satiety as food is ingested  
379 [e.g. 70]. This proximity of ghrelin to episodes of behaviour suggests that it has the character of a  
380 trigger (or releaser) of the drive to eat. However, ghrelin does not embody the drive itself.

381           One way to conceptualise these actions is to consider that the tonic need state underlying  
382 the drive to eat will be triggered (or released) at judicious times relevant to other physiological  
383 requirements or environmental contingencies. For example, it would not be appropriate for eating  
384 to be triggered during sleep. During waking hours eating would be triggered, for example, by low  
385 immediate availability (circulating levels) of nutrients constantly being used for metabolic functions.  
386 This combination of a tonic need state and episodic physiological processes can account for the daily  
387 pattern of eating events supplying nutrients. However, this explanation does not require any  
388 reference to the 'regulation' of appetite. The use of the term regulation would add nothing to the  
389 phenomenology of the observed pattern of events. However, it is certainly the case that the  
390 apparently cohesive interplay between tonic and episodic processes is 'coordinated' by the brain.  
391 This coordination serves an evolutionary purpose in allowing different physiological functions to be  
392 served, and to be compatible with the pattern of eating behaviour.

### 393 **Inhibitors of the drive to eat – tonic and episodic**

394 The drive to eat determined by FFM and RMR is moderated by a tonic inhibitory influence from fat  
395 mass [26, 31, 71], and is periodically suppressed by inhibitory processes arising from bouts of eating.  
396 The tonic influence is almost certainly mediated via leptin [72]. Although it was argued earlier that  
397 there is little justification for an adipocentric theory of appetite, there is no doubt that fat mass does  
398 play a significant role in the modulation of eating behaviour. In addition to the evidence from the  
399 cases of obesity arising from monogenic mutations of the leptin gene leading to leptin deficiency and  
400 obesity [73], there is also evidence that leptin is negatively associated with hunger during weight  
401 loss [74]. This body of evidence suggests that leptin is exerting an inhibitory influence on eating.  
402 Evidence also arises from studies using a biological systems approach in which we have measured  
403 body composition variables, RMR, behavioural EE, objective EI and various other physiological and  
404 psychological markers simultaneously in lean, overweight and obese people. In contrast to FFM  
405 which is positively associated with EI, fat mass is often negatively associated – more strongly in lean  
406 people than in those with obesity [75, 76], possibly partially mediated by eating behaviour traits  
407 [31]. In addition, with relatively large samples (n = 240+), linear regression modelling has indicated  
408 two separate links between fat mass and EI. First a weak positive association mediated by RMR (as  
409 would be expected because of the small positive contribution of fat mass to variance in RMR); and a  
410 stronger direct negative relationship with EI [31], indicating that fat mass exerts an inhibitory effect  
411 (which can be deduced to weaken as fat mass increases [26]). These actions are illustrated in Figure  
412 6.

413 -----*Figure 6 here* -----

414           The inhibitory effect of fat mass can be perceived as a tonic action which exerts a fairly  
415 constant modulation (becoming weaker as fat mass increases) of the drive from FFM/RMR. In  
416 contrast there is a very clear and noticeable episodic inhibition arising from the effects of periods of  
417 food consumption. It has been argued above that these periods of eating are triggered by  
418 physiological signals (together with psychological events). These eating periods can also be inhibited  
419 by physiological signals. The act of eating instigates a series of gastrointestinal physiological  
420 reactions designed to manage the passage of food through the body and to extract the maximum  
421 energy and nutrients. These processes are mediated by physical and mechanical action of the  
422 gastrointestinal (GI) tract and by specific hormones (such as insulin, CCK, GLP-1, PYY) with particular  
423 roles relating to the digestion and absorption of food. During this post-prandial period of intense GI  
424 activity, food intake is suppressed. This suppression is referred to as satiety.

425           This episodic inhibition of the drive to eat is a temporary suppression which allows time for  
426 the GI tract to carry out essential functions free from further ingestion. The bout of eating is initiated  
427 by triggers and is terminated, and the inhibition maintained, by a complex series of GI processes.  
428 However, the need state which generates the drive (metabolic activity of RMR) is not diminished; it  
429 is only prevented from spilling over into behaviour. Eating can be re-initiated (or re-released) once  
430 the inhibitory effects of GI activity have dealt with the ingested load of nutrients.

#### 431 **Role of brain mechanisms – integration of drive and inhibition**

432 It is important to put these proposals about the drive to eat into the context of other approaches to  
433 appetite. Foremost among these is knowledge about the involvement of brain mechanisms. From  
434 the epoch in which hunger and satiety were believed to be enshrined in excitatory and inhibitory  
435 centres in the diencephalon [77], the brain activity has been regarded as a source of the drive to eat.  
436 More recently the drive has been seen to be encoded in the actions of particular neurotransmitters  
437 (such as NPY, DA, orexins, peptides, etc) or their receptors [78, 79]. Networks of neural circuits have  
438 been carefully worked out. It is important to point out that the proposal put forward here is not in  
439 conflict with research on brain substrates of appetite. The energy requirements of the vital organs  
440 (including skeletal muscle for activity) is reflected in intracellular transactions which in turn have  
441 necessary representations in brain activity before impacting on the final common pathway for  
442 behaviour. Consequently, the drive to eat as described above will undergo transformation into brain  
443 neural activity and integration at the CNS level with other systems reflecting other physiological  
444 priorities, and involving a complex network of neural processes.



445 At the present time it is easier to contemplate the neural links of tonic inhibition arising from  
446 fat mass (see above). This inhibitory effect from a major bodily tissue would appear to be mediated  
447 via the leptin – POMC – MC4 pathway described by [80]. This mechanism was uncovered by research  
448 following the epic discovery of the hormone leptin and its profound association with adipose tissue  
449 and with brain leptin receptors. In contrast, there is currently no similar established biochemical link  
450 between FFM and the brain. However, there exist a number of substances such as AMPK, an enzyme  
451 involved in sensing the energy status of a cell [81], and irisin, a myokine linked to food intake in  
452 humans [82]. These could potentially provide a neural signal or biomarker as a periphery-brain link  
453 for FFM [51].

#### 454 **Implications for obesity**

455 Over the last 50 years there has been an inexorable rise in the world-wide prevalence of obesity. For  
456 example, in the UK in 1970 the prevalence was approximately 5%, today it is close to 30% [83]. A  
457 similar situation is apparent in the US and in most technologically advanced countries; though there  
458 is some variation within Europe for example [e.g. 84]. This enormous increase in the number of  
459 people with high levels of bodily fat mass has occurred without any obvious provocative biological  
460 causal agency. Since the landmark article of Egger and Swinburn [85] identifying an obesogenic  
461 environment, it has been argued that the cause is cultural and socio-economic. This could take the  
462 form of an economically driven change in consumer behaviour leading to an increase in the  
463 frequency of eating episodes [86], or the necessity for continuous economic growth driving  
464 purchasing and consumption [87]. These scenarios do not support the idea of a faulty biological  
465 system regulating either body fat or appetite.

466 One of the reviewers of this manuscript prompted a consideration of whether the appetite  
467 system proposed here invites a reframing of the questions about how obesity arises and how it is  
468 treated. Since the drive to eat is a natural response to energy requirements we do not contemplate  
469 that this drive is responsible for obesity. Our modelling studies involving physiological and  
470 behavioural variables [14, 31] implicates the nature of the diet (and especially its energy density) as  
471 a major factor leading to overconsumption, a positive energy balance (not strongly defended) and  
472 adipose tissue gain. However, the theoretical position presented in this article certainly illustrates  
473 how biology is strongly implicated in the progressive increase in the accumulation of adipose tissue  
474 within individuals and, therefore, in the escalation of obesity within populations. We have described  
475 how an energy need created by RMR is associated with an elevated EI (and an increased hunger). As  
476 a person is induced into a positive energy balance, the resulting increase in adipose tissue will be  
477 supported by an increase in FFM (lean tissue), although it should be noted that the increase in FFM

478 is small compared with the increase in fat mass. Together the overall increase in body tissue will  
479 raise RMR with the potential to drive a greater food intake (although sarcopenic obesity may  
480 modulate this association). However, in general, as people accumulate adipose tissue, their  
481 underlying energy need increases and therefore the drive for food. The biological drive to eat is not  
482 pathological, but it is remorseless, and this is one reason why the drive to eat is extremely difficult to  
483 sustain at a low level. The drive itself is very difficult to restrain since it constitutes a force that is  
484 necessary for the maintenance of life.

485         The increase in fat mass also has a further unhelpful consequence. Although there is good  
486 evidence (cited above) that fat mass exerts a tonic inhibitory effect on EI, this is much stronger in  
487 lean individuals than in people with obesity. This appears paradoxical since there is a greater amount  
488 of circulating leptin associated with a greater fat mass and therefore the potential for a stronger  
489 degree of inhibition. The explanation seems to depend on leptin resistance, which may weaken the  
490 inhibitory effect on appetite. A similar effect has been observed with another major hormone –  
491 insulin – known to be elevated with adiposity, leading to regional insulin resistance and a  
492 consequent weakening of appetite control [88]. Taken together these actions mean the tonic  
493 inhibitory effect of fat mass is weakened. Therefore, people with obesity have to cope with two  
494 unhelpful biological problems: an increased tonic drive to eat and a weaker tonic inhibition. Given  
495 this scenario, together with an aggressive consumer driven environment, it can be understood how  
496 the accumulation of adipose tissue, once initiated, has relentless potential for expansion. This means  
497 that the obesity epidemic is unlikely to be resolved through biological interventions; though it may  
498 be susceptible to serious large scale economic or environmental forces [e.g. 89].

## 499 **Summary**

500 This article has reviewed a concise but cohesive body of evidence identifying particular sources of  
501 the biological drive to eat in humans. This evidence has been summarised in a recent editorial as  
502 indicating that ‘fat-free mass and resting metabolic rate are major determinants of the drive to eat’  
503 [33]. The position statement set out here proposes that the EE of high metabolic rate organs (such as  
504 heart, brain, liver, kidneys) constitute an energy demand which forms the basis of a behavioural  
505 drive to seek food and eat. This tonic drive to eat can be contrasted with episodic aspects of appetite  
506 which are heavily influenced by the culture and environment. These episodic aspects of appetite  
507 include the types of foods available, food choices, perceptions of appropriateness, where and how  
508 to eat. These aspects of appetite vary enormously from one group of human beings to another. The  
509 underlying drive to eat is not influenced by culture and appears to be ubiquitous to all humans. We  
510 therefore cautiously feel that it is appropriate to use the term ‘drive to eat in *homo sapiens*’ since it

511 can be perceived as a fundamental property of humans. In turn this drive to eat is part of a broader  
512 framework in which appetite control is understood in relation to EE. This approach provides an  
513 alternative way of conceptualising appetite control and suggests roles for tonic and episodic  
514 processes in obesity.

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- 765

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777

778 **Figure legends**

779 **Figure 1.** Scatter plot and bivariate correlation coefficients (95% confidence interval) between fat-  
780 free mass (air displacement plethysmography) and total daily energy intake in 242 individuals in  
781 which energy intake was measured over 6-7 days using a self-reported weighed dietary record  
782 method. Data adapted from Hopkins et al [23]. In this paper multiple regression indicated that  
783 resting metabolic rate, fat mass and activity energy expenditure were independent predictors of  
784 mean daily energy intake after controlling for age and sex. This figure shows that different  
785 individuals with the same measured FFM show different EIs. We have inferred that this is due to  
786 people varying along other features that also influence the measures of the self-determined amount  
787 of food eaten such as the particular mood state, stress level, nutritional composition of foods  
788 available or individual preferences for the particular foods offered.

789 **Figure 2.** Scatter plot and bivariate correlation coefficients (95% confidence interval) between  
790 resting metabolic rate (indirect calorimetry) and total daily energy intake in (A) 59 individuals in  
791 which energy intake was objectively and covertly measured during a 14-day stay in a residential  
792 feeding behaviour suite [14], and (B) 242 individuals in semi free-living environment in which energy  
793 intake was measured over 6-7 days using a self-reported weighed dietary record method [23]. In  
794 panel A [14], multiple regression indicated that after controlling for age and sex, both fat-free mass  
795 and resting metabolic rate predicted daily energy intake. However, a mediation model using path  
796 analysis indicated that the effect of fat-free mass on energy intake was mediated by resting  
797 metabolic rate. In panel B [23], multiple regression indicated that resting metabolic rate  
798 independently predicted mean daily energy intake alongside fat mass (negative) and activity energy  
799 expenditure after controlling for age and sex.

800 **Figure 3.** Inter-individual variation in (A) activity energy expenditure (derived by subtracting resting  
801 metabolic rate measured by indirect calorimetry from  $0.9 \times$  total daily energy expenditure measured  
802 by an activity monitor) and (B) activity energy expenditure as a percentage of total daily energy  
803 expenditure in 70 individuals ranging in physical activity levels [42, 48].

804 **Figure 4.** Scatter plot and bivariate correlation coefficients (95% confidence interval) between (A)  
805 activity energy expenditure (derived by subtracting resting metabolic rate measured by indirect  
806 calorimetry from  $0.9 \times$  total daily energy expenditure measured by an activity monitor) and  
807 laboratory-measured meal size, and (B) minutes of moderate-to-vigorous physical activity (activity  
808 monitor) and laboratory-measured meal size in 70 individuals [48]. In panel A, multiple regression  
809 indicated that the relationship between activity energy expenditure and meal size weakened

810 (standardized  $\beta = 0.245$ ,  $p=0.096$ ) after controlling for fat-free mass, study, sex, and age. In panel B,  
811 multiple regression indicated that the association between minutes of moderate-to-vigorous  
812 physical activity and meal size remained significant (standardized  $\beta=0.256$ ,  $p=0.024$ ) after controlling  
813 for study, sex and age.

814 **Figure 5.** Inter-individual variation in total daily energy expenditure (FLEX heart rate method) and its  
815 subcomponents, resting metabolic rate (indirect calorimetry) and activity energy expenditure (total  
816 daily energy expenditure minus resting metabolic rate) in 242 individuals [23].

817 **Figure 6.** This model illustrates the interplay between tonic and episodic processes for appetite  
818 control, together with drive and inhibitory pathways. A major feature of the model is that body  
819 composition influences appetite control via both a drive and an inhibitory system. It is proposed that  
820 fat-free mass acts via resting metabolic rate reflecting energy needs of vital organs and constitutes a  
821 drive to eat. In contrast, fat mass acts as a tonic inhibitory influence on eating. Both of these  
822 processes achieve an expression in behaviour through transformation and integration in complex  
823 neuronal processes. In turn, these tonic influences on eating are periodically interrupted by episodic  
824 biological signals emanating from complex gastrointestinal physiology arising from food  
825 consumption. These signals are also represented in neuronal processes. The model illustrates how  
826 energy expenditure is related to energy intake. A further feature of this relationship is the  
827 contribution from physical activity energy expenditure, which influences both tonic and episodic  
828 processes. See text for further details.