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Chapter 6

Hathayoga's Early History: From Vajrayāna Sexual Restraint to Universal Somatic Soteriology¹

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In India physical methods have been used for religious ends since at least 1000 BCE.² For two millennia these methods were simple techniques of privation in which the body was mortified, usually by holding a particular posture for long periods, in order to acquire

¹ This chapter combines a revision of a paper on the Vajrayāna origins of the term *haṭhayoga* given twice (at the American Oriental Society's meeting on 16 March 2019 and at a conference held in honour of Professor Jim Benson at the University of Oxford, 20 June 2019) with parts of a draft article on early *haṭhayoga* which I first started to circulate in April 2018. The research for this chapter was carried out as part of the *Hatha Yoga Project* (hyp.soas.ac.uk). This project has received funding from the European Research Council (ERC) under the European Union's Horizon 2020 research and innovation programme (grant agreement no. 647963). Other outputs of the project (together with MALLINSON 2011a and 2016, BIRCH 2011 and MALLINSON AND SINGLETON 2017), will provide much contextual information on the subject matter, in particular on the dating of texts cited. I am indebted to several scholars for helping me with this chapter, in particular Péter-Dániel Szántó, who patiently answered my questions about Vajrayāna Buddhism, provided me with many of the references to *haṭhayoga* in Vajrayāna texts, and contextualized and dated those texts, Francesco Sferra, who made a close reading of a late draft of the chapter and provided me with detailed feedback, in particular on the dating of Vajrayāna texts, and Jason Birch and Lubomír Ondračka, who both gave me detailed feedback on the original draft article on early *haṭhayoga*. Others whom I would like to thank for their help include Martin Delhey, Harunaga Isaacson, Dan Lusthaus, Karen O'Brien-Kop, Olga Serbaeva, and Somdev Vasudeva.

² Atharvaveda 15.3 describes Vrātyas standing for a year.

tapas, ascetic power. The details of their performance were not transmitted in texts but, we must assume, passed on orally within ascetic lineages.³

In the early part of the second millennium CE, a somatic soteriology whose physical methods are body-affirming appears in textual sources;⁴ some of its practices are depicted soon after in the material record.⁵ In certain Sanskrit texts these methods of yoga were classified as *haṭha*, which means 'force'; *haṭhayoga* means 'yoga by means of force'. In this chapter I shall analyse the history of the codification of *haṭhayoga* techniques up to the composition of the *c.* 1400 CE *Haṭhapradīpikā*, which became *haṭhayoga*'s locus classicus. In so doing I shall show how the name *haṭhayoga* originated as a Vajrayāna (Buddhist tantric) term for the restraint of orgasm by the male practitioner in sexual ritual, and then trace its subsequent use to denote an increasing range of physical methods until its apotheosis in the Śaiva *Haṭhapradīpikā*, the first text to use it to denote complex physical postures and methods of breath control.

1 Hathayoga in Buddhist texts

In an article published in 2011 Jason Birch analysed the meaning of the word *haṭha* in the context of *haṭhayoga*. The compound *haṭhayoga* was known to be used in non-Buddhist Sanskrit texts from about the twelfth century onwards to denote methods of yoga in which physical practices predominate. Birch drew attention, for the first time, to seven occurrences of the compound *haṭhayoga* in Vajrayāna texts which predate the non-Buddhist *haṭhayoga* corpus, in which it denoted a method used in sexual ritual. Birch also noted that he had not found any occurrences of the term *haṭhayoga* in tantric Śaiva works, but suggested that it may have first been used in Śaiva works which are now lost and that Vajrayāna authors took the name from those lost Śaiva texts.⁶

In the first part of this chapter I shall propose that the name *haṭhayoga* originated in a Buddhist milieu. To do so I shall (1) identify additional occurrences of the compound *haṭhayoga* in Buddhist works; (2) note how the term has still not been found in any Śaiva works and that in the few instances where practices elsewhere denoted as *haṭha* are mentioned in Śaiva texts they are categorized by names other than *haṭha*; and (3) draw on recent scholarship on texts from the *haṭha* corpus to chart how the Vajrayāna name *haṭḥa-yoga* found its way into those texts.

The compound *hathayoga* is first used in the *c*. third-century *Bodhisattvabhūmi*, which is part of the *Yogācārabhūmiśāstra*, a voluminous compendium on the Mahāyāna Yogācāra tradition. In Wogihara's edition of the *Bodhisattvabhūmi*, the text says that he who is

³ One physical method of acquiring *tapas*, namely *prāṇāyāma*, breath-control, was codified prior to the production of the *haṭha* corpus, first in the *Pāṭaṇjalayogaśāstra* and subsequently in several tantric works.

⁴ The earliest of these sources are the *c.* tenth-century *Vimānārcanākalpa*, the *c.* eleventh-century *Amṛtasiddhi* and Hemacandra's twelfth-century *Yogaśāstra* together with its *Svopajňavṛtti* auto-commentary.

⁵ The c. 1230 CE Mahudi Gate at Dabhoi, in Gujarat, depicts yogis in various balancing postures.

⁶ BIRCH 2011:539-540.

⁷ DELEANU (2013:887) notes that the compilation of the *Bodhisattvabhūmi* 'was very likely more or less finished by the end of the third century'.

⁸ Bodhisattvabhūmi p. 318 ll. 11–17:

dvādaśānām punar bodhisattvavihārāṇām yathā vyavasthānam bhaviṣyati tathā nirdekṣyāmi | katamaś ca bodhisattvasya gotravihāraḥ | katham ca bodhisattvo gotrastho viharati | iha bodhisattvo gotravihārī prakṛtibhadrasamtānatayā prakṛtyā bodhisattvaguṇair bodhisattvārhaiḥ

gotrasthaḥ, i.e. destined to become a bodhisattva, acquires the appropriate qualities through his natural excellence (prakṛtibhadratayā), not by haṭḥayoga (na haṭḥayogena). The negative particle na before haṭḥayogena is not found in the Sanskrit manuscript used by Wogihara, whose text he emends to be in accordance with the Tibetan translation (the reading of which is supported by Xuanzang's Chinese translation and an eighth-century citation of the passage by a Chinese exegete). There is thus ambiguity over whether or not haṭhayoga is being injoined. Irrespective of this, haṭhayogena here almost certainly means nothing more than 'by the application of force', i.e. 'forcefully' or 'with effort'. 10

The next usages of *hathayoga* are in Vajrayāna (tantric Buddhist) texts dating from the eighth to twelfth centuries CE, ¹¹ seventeen of which have so far been identified: ¹²

1. Sarvabuddhasamāyogadākinījālaśaṃvara	early eighth century ¹³
2. Guhyasamājatantra	eighth century ¹⁴
3. *Caryāmelāpakapradīpa	ninth to tenth centuries ¹⁵
4. Abhidhānottaratantra	mid tenth century ¹⁶
5. Sampuṭatilaka	<i>c.</i> 1000 ¹⁷
6. Sekanirdeśa	1000 to 1050 ¹⁸
7. Caturmudrānvaya	1000 to 1050 ¹⁹

kuśalair dharmaiḥ samanvāgato bhavati | tatsamudācāre ca saṃdṛśyate | prakṛtibhadratayaiva na haṭhayogena tasmiṃ kuśale pravartate | api tu pratisaṃkhyānataḥ sāvagrahaḥ saṃbhrṭo bhavati |

⁹ I thank Martin Delhey and Dan Lusthaus for pointing out to me the variations between the various sources of this passage (email communications on 8 March 2018 and 13 December 2018 respectively). Dan Lusthaus informed me of the eighth-century Chinese citation, which is in a commentary on the *Humane King Sutra* by Liangben, a Chinese monk who lived from 717–777. Further study of the *Bodhisattvabhūmi*'s witnesses, translations, citations and commentaries is likely to shed more light on how *haṭḥayogena* is to be understood in this passage.

¹⁰ I thank Martin Delhey for informing me that this is *hathayoga*'s meaning in the Chinese and Tibetan translations of this passage (personal communication 8 March 2018).

¹¹ There have been great advances in our knowledge of the Vajrayāna textual corpus in recent years, but the majority of it remains unstudied or lost. There are about two thousand surviving tantric Buddhist texts in Sanskrit, and perhaps two thousand more in Tibetan and Chinese translations. Of these only a small proportion have been studied and far fewer critically edited (ISAACSON n.d.:3). Notwithstanding the recent major advance in our understanding of *haṭhayoga* in Vajrayāna thanks to ISAACSON and SFERRA (2014), the remarks here are thus necessarily preliminary.

¹² Seven of these texts were noted by BIRCH (2011:535). I thank Péter-Dániel Szántó, Olga Serbaeva and Francesco Sferra for their help in identifying and dating several of the others.

¹³ SZÁNTÓ AND GRIFFITHS 2015:367.

¹⁴ MATSUNAGA 1978:xxvi.

¹⁵ SZÁNTÓ AND GRIFFITHS 2015:369. This text, better known by the unattested title *Caryāmelāpaka-pradīpa, is called Sūtaka or Sūtakamelāpaka in its manuscripts (loc. cit.).

¹⁶ Durjayacandra, who dates to the late tenth century (SZÁNTÓ 2012:119), based his *Saptākṣarasādhana* (on which see SINCLAIR 2014) on a chapter of the *Abhidhānottaratantra*.

¹⁷ The Sampuṭatilaka is included as an appendix in eleventh-century manuscripts of the Sampuṭodbhava (SZÁNTÓ 2016).

¹⁸ ISAACSON and SFERRA 2014:71.

¹⁹ The Caturmudrānvaya is by Maitreyanātha, the author of the Sekanirdeśa.

8. Laghukālacakratantra	
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c. 1025²⁰

9. Vimalaprabhā

c. 1030

10. Şadangayoga of Anupamarakşita

c. 1030²¹

11. Sekoddeśaţīkā

1030 to 1040²²

12. Sekanirdesapañjikā

mid to late eleventh century²³

13. Dākārņavatantra

late tenth to early twelfth century²⁴

14. Gūdhapadā

1025 to 1140²⁵

15. Gunabharanī

c. mid twelfth century²⁶

16. Amrtakanikā

c. mid twelfth century²⁷

17. Yogimanoharā

c. 1200.²⁸

In these texts *haṭhayoga* may mean simply 'the application of force', as it does in the *Bodhisattvabhūmi*, but some Vajrayāna works do provide information on what this means in practice. The first known mentions of *haṭhayoga* in Vajrayāna texts are in the early eighth-century *Sarvabuddhasamāyogaḍākinījālasaṃvara*.²⁹ Nothing is said about how *haṭhayoga* might be performed, but in one verse³⁰ it is associated with mastering *bodhicitta*,

bodhicittam anantāgram haṭhayogena sādhayet |

²⁰ I thank Francesco Sferra for the dating of the *Laghukālacakratantra* and its *Vimalaprabhā* commentary, which is a refinement of the 1025–1040 range proposed by NEWMAN (1998) based on Sferra's identification of the date 1024/5 (the *mleccha* year 403, i.e. 415 AH) mentioned at *Laghukālacakratantra* 1.27 as the likely year in which the text was completed rather than begun (personal communication 24 August 2019).

²¹ The Ṣaḍaṅgayoga is cited by Nāropā in the Sekoddeśaṭīkā (pp. 138-139). I thank Francesco Sferra for pointing out this citation to me and its implications for the dating of the Ṣaḍaṅgayoga (personal communication 20 August 2019).

 $^{^{22}\}mbox{Naropa},$ the author of the <code>Sekoddeśaṭīkā</code>, died in 1040 or 1041 (WYLIE 1982).

²³ Rāmapāla, the author of the *Sekanirdeśapañjikā*, was a student of Maitreyanātha, the author of the *Sekanirdeśa*. I have inferred this dating from ISAACSON and SFERRA's account of the few details known about Rāmapāla's life (2014:85–89).

²⁴ SUGIKI 2017:45 n.1.

²⁵ I thank Francesco Sferra for this dating, which is a revision of the date of the *Gūḍhapadā* proposed by Péter-Dániel Szántó at http://tibetica.blogspot.co.uk/2010/09/date-of-gudhapada.html (accessed 24 February 2020), based on a revised dating of the active period of Raviśrijñāna (see note 26), who mentions the *Gūḍhapadā* in his *Amṛtakanikā* (see the aforementioned blog post for details), and on the earlier lower limit for the *Laghukālacakratantra* proposed in note 20 (personal communication 24 August 2019).

²⁶ I thank Francesco Sferra for this dating, which is a revision of the date range of 11th to 12th century he proposed for Raviśrijñāna (SFERRA 2000:48) as a result of a correction of the date of Dharmākaraśānti, Raviśrijñāna's teacher (personal communication 24 August 2019).

²⁷ The Amṛtakaṇikā is by Raviśrījñāna, the author of the Guṇabharaṇī.

 $^{^{28}}$ ZHONGXIN and TŌRU, $\emph{Yogimanoharā}$ introduction p. xv.

²⁹ The compound *hathayoga* is found at *Sarvabuddhasamāyogadākinījālaśamvara* 5.81, 5.92, 5.93, 6.94, 9.140, 9.142 and 9.189. For an overview of this text, see SZÁNTÓ AND GRIFFITHS 2015.

 $^{^{30}}$ Sarvabuddhasamāyogaḍākinījālaśaṃvara 5.93cd:

i.e. semen,³¹ an association found in several subsequent texts. In a commentary on another verse of the *Sarvabuddhasamāyogadākinījālaśaṃvara*³² in the tenth or early eleventh-century *Sampuṭatilaka* (which is perhaps recycling exegesis by Ānandagarbha, who dates to the late eighth or early ninth century),³³ *haṭḥayoga* is to be used by those who are *atyanta-hīnavīryāḥ*, i.e. very lacking in *vīrya*, which may mean both 'vigour' and 'semen'. Va-jrasattva manifests as Hayagrīva in the yogi so that he may achieve purification by *haṭḥa-yoga* (which is glossed as *pauruṣa*, 'manly', *yoga*) and thereby restore his *vīrya*. A very similar usage of *haṭhayoga* is found in the ninth- or tenth-century **Caryāmelāpakapradīpa*.³⁴

Haṭḥayoga is associated with the restraint of semen during sexual ritual in its one explicit definition in a Vajrayāna text, which is by Puṇḍarīka in his c. 1030 Vimalaprabhā,³⁵ and in the works of Maitreyanātha and his disciple Rāmapāla, two eleventh- to twelfth-century Buddhist exegetes. These texts' teachings on haṭḥayoga will be examined in more detail below.

The mid tenth-century *Abhidhānottaratantra* and the late tenth- to early twelfth-century *Dākārṇavatantra* mention *haṭhayoga* but do not explain it, saying it should be learnt from

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31 On bodhicitta as semen in tantric Buddhism, see WANGCHUCK 2007:218–225.
32 Sarvabuddhasamāyogaḍākinījālaśaṃvara 5.81:
sa eva bhagavān yogo vajrasattvas tathāgataḥ |
haṭhayogaviśuddhyai śrīparamāśvodayo bhavet ||
a eva ] cod.; * va cod.<sup>ac</sup> d °odayo ] cod.; °odra* yo* cod.<sup>ac</sup>
Samputatilaka commentary:
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atyantahīnavīryā iti kusīdāḥ sa evetyādi | haṭhayogaḥ pauruṣayogas tena viśuddhir haṭhayogaviśuddhir vīryaniyojanaṃ tadarthaṃ haṭhayogaviśuddhaye paramāśvodayo bhavet | hayagrīvodayo bhaved ity arthaḥ |

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33 SZÁNTÓ AND GRIFFITHS 2015:368.
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atyantahīnavīryāṇāṃ paramāśvarūpeṇa haṭhayogasamādhinā parākrameṇa hīnavīryanigrahaṃ karoti |

³⁴ *Caryāmelāpakapradīpa p. 87:

³⁵ This passage is found verbatim in the *Ṣaḍaṅgayoga* of Anupamarakṣita, the *Sekoddeśaṭīkā*, and the *Amṛta-kaṇikā*.

a guru.³⁶ The eleventh- to twelfth-century *Gūdhapadā*³⁷ and the *c.* 1200 *Yogimanoharā* commentary on the *Pańcakrama*³⁸ identify *hathayoga* with controlling the breath and, in

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<sup>36</sup> Abhidhānottaratantra end of Chapter 34 (CHANDRA 1981 f.209, diplomatic transcription):
        haṭhayogottamasādhanopadeśa yathābhedād adhipatya gurugamyatām śikṣet |
 Dākārṇavatantra 8.29-31:
        evamādi tv anekāyām nādikāsandhimarmasu
        pīḍayet svasvacāreṣu bodhicittaṃ mahātmanām ||29||
        haṭhayogavidhānañ ca jñeyā gurusya vākyayā |
        Witnesses: NGMPP A138-9 (B, f.9v), Matsunami Tokyo 145 (N, f.47r) and NAK 3-886 (S, f.21v)
        (I thank Péter-Dániel Szántó for providing me with scans of these manuscripts).
        29b °sandhimarmasu ] em. ISAACSON; °sandhimamayuḥ S, °sandhim armmayūḥ N, °sandhir
        *m*armmayuh B
        29c °cāreșu ] BN; °rāreșu S
        29d °cittam ] N; °citta SB
I thank Harunaga Isaacson for his emendation in 29b, which, in a personal communication on 6 March 2018, he
noted is supported by the Tibetan translation of the Dākārṇava which has, in Derge, rtsa dan tshigs kyi gnad du.
  Dākārnavatantra 41.1-4
        atha unmanīkaraṇaṃ prayogaṃ sarvadurlabham |
        kathayāmi samāsena khagānanāprayogataḥ ||1||
        vīryasambodhyangadharme himālayeşu samsthitah |
        nābhicakre tu madhyeşu bhāvayec cakranāyikām ||2||
        kharūpam ātmano dūtī tāluvajrābjamadhyake |
        utkşiptam śaravegena vijñānam vāyunā saha ||3||
        gātraṃ dhunadhunāyet karaṇaṃ haṭhayogakam |
        kārayamāṇaḥ sadā yogī sidhyate paramākṣaram ||4||
        Witnesses: B (f.29r) and S (f.71v).
        2c madhyeşu ] B; madhyamu S
        3b tālu°] B; tāla° S
        3c utkşiptam śaravegena ] em. ISAACSON; rukşiptam sara° BS
        3d vijňānam ] B; jňāna S (unm.)
        4c kārayamāṇaḥ ] em. ISAACSON; kāryāmānaṃ BS
        4d °kşaram ] B; °kşara S
I thank Olga Serbaeva for drawing my attention to the references to hathayoga in the Abhidhānottaratantra and
Dākārnavatantra, and for providing me with her working editions of both passages, which I drew on in preparing
the passages presented here.
   <sup>37</sup> The Gūḍhapadā quotes the otherwise lost Mahāsamayatantra on haṭḥayoga, which is accomplished by means
of the 'bow-piercing process' (dhanurvedhakrama, Royal Asiatic Society, London, Hodgson collection manuscript
No. 34 f.69r<sup>7</sup>-f.69v<sup>3</sup>):
        vajrabāṇāyudhadharam iti | vajrasattvaś ca | tac ca śrīmahāsamayatantre | ... paramārthata[ḥ]
           dhanurvedhakramenocyate | tatra
        śvāsocchvāsa samam kṛtvā nābhisthāneṣu dhārayet |
        avadhūtībāṇavajreṇa nāsārandhragatam dhanuḥ |
        vedhayet sarvaśūnyabhrū vajrasattvam anāhatam
        hathayogam idam vyaktam bhāvam bhāveşu lakṣaṇāt |
        tena vajram ca sattvam ca dvidhā vācyam mahātmabhiḥ || iti ||
        mahāsamayamantram kṣaṇaikenāpi lakṣayet |
        prākṛtair manasā yojyam paṇḍitair bodhim āpnuyāt || iti ||
  <sup>38</sup> Pańcakrama 1.59
        na tasya vratam ākhyātam nākṣasūtram na mantrakam |
        dhāraṇāhomakarmāṇi varjyante ca parāparam ||
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Yogimanoharā Pańcakramaṭippanī: kiṃ vratādikam ācaraṇīyaṃ no vā ity āśaṅkāyāṃ na tasyetyādi | na mantrakaṃ

the Gūḍhapadā, moving it into the central channel, but make no mention of semen in its context.

In Vajrayāna texts *haṭḥayoga* is seen as a method of last resort, or rejected altogether. Thus in the eighth-century *Guhyasamājatantra* it is prescribed (without details on its practice) as a means of achieving awakening to be turned to when the usual methods have failed.³⁹ The *Yogimanoharā* mentions *haṭḥayoga* in passing as a method of restraining the breath, adding that holding the breath and other ascetic practices are unnecessary because success may be achieved through repetition of the *vajra* mantra alone.⁴⁰

A wealth of new material on *haṭḥayoga* in Vajrayāna has recently come to light thanks to Harunaga Isaacson and Francesco Sferra's monumental study of the works of Maitreyanātha and his disciple Rāmapāla, two eleventh- to twelfth-century exegetes. In Maitreyanātha's *Sekanirdeśa* and its *-pańjikā* commentary by Rāmapāla, *haṭḥayoga* is the reversal of the third and fourth of the four blisses experienced during the tantric Buddhist sexual rite. In the usual order, the first bliss is *ānanda*, which arises during foreplay, the second is *paramānanda* ('supreme bliss'), experienced during coition, the third is *sahajānanda* ('spontaneous bliss'), experienced when semen reaches the glans of the penis, and the fourth is *viramānanda*, the 'bliss of cessation', experienced when semen falls into the consort's vagina. In *haṭḥayoga*, *viramānanda* is the third bliss and understood as 'bliss of special pleasure' rather than 'bliss of cessation', semen does not fall, and the four blisses increase progressively in intensity. ⁴²

Maitreyanātha and Rāmapāla reject *haṭhayoga*'s ordering of the blisses because its practice is said to be *balātkṛta*, 'done by force', and therefore against reasoning;⁴³ it is also *āyāsabahula*, 'full of effort'.⁴⁴ It is not clear from Maitreyanātha's exposition whether he identifies all those who propound the reversal of the third and fourth blisses as practitioners of *haṭhayoga* or whether *haṭhayoga* is just a particular method of experiencing the blisses in that order,⁴⁵ but several other proponents of the reversed order make no mention of *haṭhayoga*,⁴⁶ suggesting that it is not the only means of experiencing the blisses in that sequence.

The reversed order of the blisses is advocated by the renowned scholar Abhayākaragupta

pravyāhārātmakam dhāraṇā haṭhayogena yantraṇam vāyoḥ | nivartante cāparāpare | aparāpare [']pi vyāpārāḥ snān-abhojanādir yā[*]pi na kartavyā api tu vajrajāpād eva sidhyantīty āha |

39 Guhyasamājatantra 18.161a-163b:

darśanam yadi şaṇmāsair yad uktam naiva jāyate | ārabheta tribhir vārair yathoktavidhisambaraiḥ || darśanam tu kṛte 'py evam sādhakasya na jāyate | yadā na sidhyate bodhir haṭhayogena sādhayet || jñānasiddhis tadā tasya yogenaivopajāyate |

- ⁴⁰ See footnote 38.
- ⁴¹ ISAACSON and SFERRA 2014. See in particular pp. 97–102 and *Sekanirdeśapańjikā ad* vv. 2–4.
- ⁴² ISAACSON AND SFERRA 2014:100.
- ⁴³ ISAACSON and SFERRA 2014:173 l.11.
- $^{\rm 44}$ ISAACSON and SFERRA 2014:176 l.10.
- 45 ISAACSON and SFERRA 2014:101.

⁴⁶ ISAACSON and SFERRA (2014:98) name as proponents of the *haṭhayoga* order of blisses Kamalanātha (in his *Ratnāvalī* commentary on the Hevajratantra) and Abhayākaragupta (in his *Abhayapaddhati* commentary on the *Buddhakapālatantra* and *Āmnāyamañjarī* commentary on the *Sampuṭatantra*), neither of whom mentions *haṭhayoga*.

and in texts of the *Kālacakra* tradition.⁴⁷ The first definition of the practice of *haṭhayoga*, which does not give any details of its techniques, is found in the *Vimalaprabhā*, Puṇḍarīka's c. 1030 CE commentary on the *Laghukālacakratantra*,⁴⁸ a verse of which (4.119) says that if the *siddhi* desired by mantra-practitioners does not arise as a result of purification, yogic withdrawal, and so forth, it should be achieved by the practice of *nāda* and by forcefully (*haṭhena*) restraining *bindu*, i.e. semen, in the glans of the penis when it is in the vagina.⁴⁹ Puṇḍarīka glosses *haṭhena* with *haṭhayogena* and defines *haṭhayoga* thus:

'Now haṭhayoga is taught. In this system, when the undying moment does not arise because the breath is unrestrained [even] when the image is seen by means of withdrawal (pratyāhāra) and the other [auxiliaries of yoga, i.e. dhyāna, prāṇāyāma, dhāraṇā, anusmṛti, and samādhi], then, having forcefully (haṭhena) made the breath flow in the central channel through the practice of nāda, which is about to be explained, [the yogi] should attain the undying moment by non-vibration through restraining the drops of bodhicitta [i.e. semen] in the vajra [i.e. penis] when it is in the lotus of wisdom [i.e. vagina]. This is haṭhayoga.'50

Puṇḍarīka's definition of *haṭhayoga* combines for the first time two features of *haṭha-yoga* mentioned separately in other Vajrayāna texts: the restraint of semen and the moving of the breath into (and up) the central channel. As noted by BIRCH,⁵¹ these and the association with *nāda*⁵² (which is absent in other Vajrayāna works apart from those which

saṃśuddho 'nusmṛteḥ syād vimalam api prabhāmaṇḍalaṃ jñānabimbāt tasmāc chuddhaḥ samādhau katipayadivasaiḥ sidhyate jñānadehaḥ | pratyāhārādibhir vai yadi bhavati na sā mantriṇām iṣṭasiddhir nādābhyāsād dhaṭhenābjagakuliśamaṇau sādhayed bindurodhāt |

yā śaktir nābhimadhyād vrajati parapadam dvādaśāntam kalāntam sā nābhau sanniruddhā tadidanalanibhā dandarūpotthitā ca | cakrāc cakrāntaram vai mṛdulalitagatiś cālitā madhyanādyām yāvac coṣṇīṣarandhram spṛśati haṭhatayā sūcivad bāhyacarma || apānam tatra kāle paramahaṭhatayā prerayed ūrdhvamārge uṣṇīṣam bhedayitvā vrajati parapuram vāyuyugme niruddhe | evam vajraprabhedān manasi saviṣayā khecaratvam prayāti pańcābhijñāsvabhāvā bhavati punar iyam yoginām viśvamātā ||

'The goddess who extends from the middle of the navel to the great place at the end of the *kalās* twelve fingers beyond the top of the head is restrained in the navel in the form of a bolt of

⁴⁷ ISAACSON AND SFERRA 2014:97.

⁴⁸ This definition is repeated verbatim in the *Ṣaḍaṅgayoga* of Anupamarakṣita, the *Sekoddeśaṭīkā* of Nāropā, and the *Amṛṭakaṇikā* of Raviśrījñāna (for details see BIRCH 2011:535). Raviśrījñāna adds that *haṭhayoga* is a method for making *samādhi* burst forth (*upāyo haṭhayogo 'pi samādhyaṅgasphuṭībhāvārtham*).

⁴⁹ Vimalaprabhā ad Laghukālacakratantra 4.119:

⁵⁰ idānīm hathayoga ucyate | iha yadā pratyāhārādibhir bimbe dṛṣṭe saty akṣarakṣaṇaṃ notpadyate ayantritaprāṇatayā tadā nādābhyāsād vakṣyamāṇād haṭhena prāṇaṃ madhyamāyāṃ vāhayitvā prajñābjagata-kuliśamaṇau bodhicittabindunirodhād akṣarakṣaṇaṃ sādhayen niḥṣpandeneti haṭhayogaḥ ||119||

⁵¹ BIRCH 2011:536.

⁵² How this practice is performed is not stated clearly in early Buddhist treatments of *hathayoga*. In his commentary to 5.119 Puṇḍarīka says he is about to explain it, but when he does so in 5.120 (together with explanations of *bindu* and *kalā*) he simply says that it is [the movement of (breath as?)] *cittabindu* to the heart, which brings about deep sleep (*iha nādo hṛdaye cittabinduh suṣuptāvasthājanakah*). In his *Ṣaḍaṅgayoga* (p. 108), Anupamarakṣita says that *Laghukālacakratantra* 4.196–197 defines *nāda*. The passage cited does not use the word *nāda*, but twice mentions *haṭha*:

repeat the *Vimalaprabhā*'s definition of *haṭhayoga*) are shared with subsequent formulations of *haṭhayoga* in non-Buddhist texts.

2 Saiva names for hathayoga

Haṭḥayoga in Vajrayāna thus denoted a secondary method of achieving siddhi which involved the prevention of ejaculation by making the breath flow in the central channel. The details of how it is to be performed are not taught. As will be shown in detail below, this Vajrayāna haṭhayoga was the source of the term's use to denote an increasing range of physical yoga methods in non-Buddhist texts composed from about the twelfth century onwards. By the time of the composition of the Haṭhapradīpikā it encompassed posture (āsana), breath retention (kumbhaka), techniques for manipulating the vital energies (mudrā), and concentration upon the internal sounds (nādānusandhāna).⁵³

As is the case with Vajrayāna,⁵⁴ there are many lacunae in our knowledge of the texts of tantric Śaivism, but a greater proportion of its corpus has been studied. No mention of *haṭhayoga* has yet been found in it. Sheer weight of evidence thus indicates a Buddhist origin for the term *haṭhayoga*. Furthermore, in the few instances that Śaiva texts mention practices which in Vajrayāna works or the later non-Buddhist *haṭhayoga* corpus are classified as *haṭhayoga*, they are called otherwise, as we shall now see.

2.1 The restraint of ejaculation

Instructions to avoid the ejaculation of semen are rare in Śaiva texts, being found only in a power-oriented method of ascetic restraint called the *asidhārāvrata* or 'knife's edge observance', which both predates the emergence of tantra and has persisted to modern times, being famously practised by Gandhi, albeit not by that name. Shaman HATLEY has analysed its history and practice. ⁵⁵ The earliest mentions of the *asidhārāvrata* date to the first half of the first millennium CE: it is mentioned in the *Lankāvatārasūtra*, *Raghuvaṃśa*, and *Vaikhānasagṛhyasūtra*. It is subsequently taught in various Śaiva texts, including the *Niśvāsatattvasaṃhitā Guhyasūtra*, the *Matangapārameśvara*, the *Jayadrathayāmala*, and

lightning. Standing up straight like a staff, she is made to move along the central channel from *cakra* to *cakra* with a gentle, charming gait until she forcefully (*haṭhatayā*) touches the opening at the crown like a needle touching skin. At that moment the yogi impels the *apāna* breath with the utmost force (*paramahaṭhatayā*) into the upper channel. Having pierced the crown, with both breaths restrained, she enters the body of another (*parapuram*). As a result of this *vajra* piercing, she attains in the mind, together with sense-objects, the state of a sky-rover. With the five special faculties as her essence she then becomes the universal mother for yogis.'

In his *Guṇabharaṇī* commentary on Anupamarakṣita's *Ṣaḍaṅgayoga*, Raviśrījñāna identifies the practice of *nāda* mentioned in Puṇdarīka's definition of *haṭhayoga* with *jñānasahajānandābhyāsa*, 'the practice of knowledge and spontaneous bliss' (cf. *Amṛtakaṇikā* p. 29, ll. 4-9). The word *haṭhena* in Puṇdarīka's definition is glossed by Raviśrījñāna with *hūṃkāranādena*, 'by making the sound (*nāda*) *hūṃ'*. *Guṇabharaṇī* p. 107:

mṛdutayā vāmadakṣiṇavāhāvicchedād ayantritaprāṇatayā | nādābhyāsāj jñānasahajānandābhyāsād dhaṭhena [corr., dhaṭena Ed.] hūṃkāranādena <|>

On the later hathayogic practice of nāda, see below, p. 16.

- 53 Haṭhapradīpikā 1.56.
- 54 See footnote 11.
- 55 HATLEY 2016.

the *Brahmayāmala* (also known as the *Picumata*). Its various formulations involve different degrees of union between a man and a woman, ranging from simply sleeping together to, in the *c.* 7th- to eighth-century *Brahmayāmala*, engaging in sexual intercourse. In the chaster varieties the man must simply cultivate dispassion; in the *Brahmayāmala* he is to avoid having an orgasm. To do so, he is instructed to practise *avagraha*, which in this context KISS and HATLEY have inferred means 'sexual restraint' of and so has a meaning similar to that of *haṭhayoga* in Vajrayāna texts. Nowhere in descriptions of the *asidhārāvrata* are the terms *haṭha* or *haṭhayoga* used.

2.2 Physical yoga methods

At least five Śaiva texts use the term kaṣṭayoga, 'forced' or 'painful' yoga: ⁵⁷ the Tantra-sadbhāva; ⁵⁸ the Cittasaṃtoṣatriṃśikā of Nāga; ⁵⁹ the Devīdvyardhaśatika; ⁶⁰ Śivopādhyāya's Vivṛti commentary on the Vijṇānabhairava; ⁶¹ and Abhinavagupta's Gītārthasaṃgraha commentary on the Bhagavadgītā. ⁶² With the exception of Śivopādhyāya, who grudgingly grants it efficacy, these texts reject kaṣṭayoga. The Cittasaṃtoṣatriṃśikā and Devīdvyardha-śatika give no indication of what it entails. The Tantrasadbhāva identifies mudrā, maṇḍala, mantra, exhalation, inhalation, and various methods of assisted meditation as types of kaṣṭayoga. In his commentary on the Vijṇānabhairava Śivopādhyāya associates kaṣṭayoga with prāṇāyāma. Abhinavagupta does not make clear what he means by kaṣṭayoga in his commentary on the Bhagavadgītā, but in the Tantrāloka he criticizes each of the eight aṅgas of Pātaṇjalayoga in turn, singling out prāṇāyāma for special censure because it hurts the body, suggesting that this is what he has in mind when in his Gītārthasaṃgraha he contrasts kaṣṭayoga with the easy attainment of brahman through simple meditative yoga. Similarly, at Pratyabhijṇāhṛdaya 18, Kṣemarāja mentions a sukhopāya, an 'easy method' of attaining siddhi, so called because it omits prāṇāyāma, mudrā, bandha etc.

Thus in the few instances in which Saiva texts give a generic name to practices that in later works were designated as *haṭhayoga*, they use the near synonym *kaṣṭayoga*, indicating that the later usage of the term *haṭhayoga* was not current in those traditions and supporting the argument that its use to denote physical yoga originated in the *haṭhayoga*

mudrāmaṇḍalamantraiś ca kaṣṭayogais tathāparaiḥ ||37|| recakaiḥ pūrakair dhyānaiḥ sopāyair bahubhiḥ priye | bhrāmitāḥ karmavistārair na jñātaṃ kathitaṃ mayā ||38|| tais tu jñānāvalepena tac ca pṛṣṭam avajñayā | brahmā viṣṇus tathā rudra indraś candraḥ prajāpatiḥ ||39|| skandanandigaṇāḥ sarve śukrādyā ye ca yoginaḥ | kṛtakṛtyās tu [te] sarve yaiś ca yac cāvadhāritam ||40|| taṃ tathaivaṃ varārohe gṛhītaṃ mandabuddhibhiḥ |

⁵⁶ KISS 2015:49

⁵⁷ I thank Somdev Vasudeva for informing me of these passages at a Hatha Yoga Project workshop in September 2017.

⁵⁸ Tantrasadbhāva 1.37c–41b:

⁵⁹ Cittasamtoşatrimsikā 46: kliştam yad etad abhavaj japakaştayogaḥ.

⁶⁰ Devīdvyardhaśatika 49: siddhayoginīyogaḥ kaṣṭayogaṃ parityajet.

⁶¹ Sivopādhyāya's commentary on Vijñānabhairava 67: iti kaṣṭayogasyāpi nirvikalpadaśāprāptiḥ prayojanam irv alam.

⁶² Gītārthasamgraha ad 6.29: anenaiva krameṇa yogināṃ sukhena brahmāvāptiḥ na tu kaṣṭayogādineti tātparyam; ad 6.49: na ca nirīśvaraṃ kaṣṭayogamātraṃ saṃsiddhidam ity ucyate.

of Vajrayāna. In the following section I shall outline how the Vajrayāna term came to be applied to physical yoga broadly conceived.

3 Hathayoga as physical yoga broadly conceived

The first text to teach in detail any of the practices which came to be classified as *haṭḥa-yoga* in the *haṭḥa* corpus is the *c*. eleventh-century *Amṛṭasiddhi*, which was composed in a Vajrayāna milieu⁶³ but is unorthodox insofar as it rejects sexual ritual and teaches a yoga for celibate ascetics. The *Amṛṭasiddhi* does not use the name *haṭḥayoga* for its yoga method, whose three core techniques, *mahāmudrā*, *mahābandha*, and *mahāvedha*, are physical methods of locking the breath in the abdomen and then propelling it up the central channel. The first non-Buddhist text to use the term *haṭhayoga* to denote a specific system of yoga is the *Amaraughaprabodha*, a Nāth Śaiva work which identifies as *haṭḥayoga* the methods of the *Amṛṭasiddhi*, and places it second in importance in a hierarchy of four yogas: *mantra*, *laya*, *haṭha* and *rāja*.⁶⁴

The *Amaraughaprabodha* is likely to have been composed at Kadri in Mangalore, where Vajrayāna Buddhism flourished from at least the ninth century before being subsumed within Nāth Śaivism in perhaps the thirteenth century. That the author of the *Amaraugha-prabodha* knew the Buddhist *Amṛtasiddhi* is clear because he takes verses directly from it. He would also have known of the Vajrayāna concept of *haṭhayoga*, which is mentioned in the *Guhyasamāja*, whose cult flourished at Kadri. 66

The author of the *Amaraughaprabodha* gave the yoga method of the *Amaraughaprabodha* the name *haṭhayoga*, which, as a term for a secondary method of attaining success in yoga in which the breath is made to rise up the central channel in order to prevent ejaculation during sexual ritual, was a fitting name for the second yoga in a hierarchy of four in which the breath is made to rise up the central channel in order to prevent the emission of semen (albeit by celibate yogis).

It was only after the compilation of the *Haṭḥapradīpikā* in about 1400 CE that the name *haṭḥayoga* started to be widely used to denote physical yoga methods (and even then it was by no means unanimously adopted). Of the twenty texts which teach physical yoga methods and predate the *Haṭḥapradīpikā*, nine use the name *haṭḥayoga*.⁶⁷ Of those there is

⁶³ MALLINSON 2020.

⁶⁴ Amaraughaprabodha 2–4 and 19. The term hathayoga is also be found in the tenth-century Mokṣopāya (5.54.8, 5.54.15), in the context of prāṇāyāma, but here, like in the Yogācārabhūmi, the meaning seems to be simply 'the application of force' with no further connotations. It is used to explain how forceful performance of prāṇāyāma does not bring results because it is painful (8ab and 15ab both read babhūva na haṭhād eva haṭhayogo hi duḥkhadaḥ). Elsewhere in the Mokṣopāya haṭha is used in the context of yoga and/or tapas on its own to describe the forceful performance of a practice (5.93.36, 6.72.4, 6.72.12). See also BIRCH 2011:542 n.107.

⁶⁵ MALLINSON 2019:23-24.

⁶⁶ MALLINSON 2019:23. The brief description of *hathayoga* given in a citation from the *Mahāsamayatantra* in the *c.* 1025–1140 CE *Gūdhapadā* (see n. 37) has some parallels with the yoga method of the *Amrtasiddhi* and could indicate a more direct source for the name used by the author of the *Amaraughaprabodha*.

⁶⁷ These twenty texts are, in approximate chronological order, the following (those marked with an asterisk mention hathayoga): Amṛtasiddhi*, Vasiṣṭhasaṃhitā, Amaraughaprabodha*, Dattātreyayogaśās-tra*, Vivekamārtaṇḍa, Gorakṣaśataka, Khecarīvidyā, Yogabīja*, Śivasaṃhitā*, Aparokṣānubhūti*, Yogatārāvalī*, Tirumantiram, Pampāmāhātmya, Gorakṣayogaśāstra, Jñāneśvarī, Śārngadharapaddhati*, Ānandakanda, Śivayogapradīpikā*, Hemacandra's Yogaśāstra, Amaraughaśāsana. I have not included here the Vaiṣṇava source texts for the Vasṣṭhasaṃhitā's non-seated postures, on which see p. 14 and MALLINSON 2014:227–8.

a core group of four which teach the methods of the *Amṛtasiddhi* as part of their *haṭhayogas*: the *Amaraughaprabodha*, *Dattātreyayogaśāstra*, *Śivasamhitā* and *Hathapradīpikā*.

These four are also the only texts of this period to teach, or at least mention, *vajrolī-mudrā*, a technique of urethral suction which is injoined for the retention of semen and explicitly said to allow the yogi to enjoy sexual intercourse without ejaculating. The meaning of the name *vajrolī* was soon forgotten in the *haṭha* tradition, but it originated as a compound of *vajra* and *olī*, a vernacular word from western India which Hemacandra in his *Desīnāmamālā* defines as *kula-paripāṭī*, 'lineage'. *Vajrolī* thus means 'Vajra lineage', i.e. the lineage of Vajrayāna (tantric Buddhism). This is confirmed by a legend found in the late thirteenth-century Marathi *Līlācaritra* in which the Nāth Śaiva *siddha* Gorakṣa is said to convert the Buddhist *siddha* Virūpa (to whom the teachings of the *Amṛtasiddhi* are attributed) from *vajrolī* to *amarolī*, i.e. from Vajrayāna to the Śaiva Amara lineage of celibate ascetics (whose teachings are found in the *Amaraughaprabodha*, the "Awakening in the Amara lineage", which is attributed to Gorakṣa himself). 69

In *haṭḥayoga* a *mudrā* is a technique for manipulating the vital energies, so *vajrolīmudrā* is a Vajrayāna method of doing so; indeed it does not seem overly speculative to conjecture that it was the technique, or one of a group of techniques, by which tantric Buddhists practised *haṭḥayoga* as taught in their texts, i.e. the non-emission of semen during sexual ritual.⁷⁰ (Meanwhile, in most *haṭḥa* texts *amarolī*, the *mudrā* of the Amara lineage, is a method of mastering the ejaculatory impulse for which the celibate yogi trains by restraining the flow of urine.)⁷¹ The close association of *haṭḥayoga* with *vajrolīmudrā* further supports Vajrayāna origins for the name *haṭhayoga*.

4 The methods of hathayoga

The practice of hathayoga has been well documented in numerous publications and I shall not explain its various methods in detail here.⁷² Instead I shall chart their textual codification up to the composition of the $Hathaprad\bar{\imath}pik\bar{a}$. The $Hathaprad\bar{\imath}pik\bar{a}$ brings together the teachings on physical yoga in almost all texts which precede it, including several which do not use the name hathayoga. I shall restrict myself here to texts which do use that name; by including the $Hathaprad\bar{\imath}pik\bar{a}$ I shall survey all methods of physical yoga taught in texts which precede it.

4.1 Mudrā

The Amṛtasiddhi's three core practices, mahāmudrā, mahābandha, and mahāvedha, were identified with haṭhayoga in the Amaraughaprabodha.⁷³ The teachings of the Amaraughaprabodha were then drawn on by the composer of the Dattātreyayogaśāstra in his analysis of

⁶⁸ On vajrolīmudrā, see MALLINSON 2018.

⁶⁹ MALLINSON 2019:5.

⁷⁰ Vajrolīmudrā is used to prevent ejaculation during sexual ritual by current Tibetan Vajrayāna practitioners, who claim scriptural authority for the practice in the *Yutok Nyingtik* cycle, which has origins in the twelfth century ([OFFE 2019:266-282).

⁷¹ MALLINSON 2018:189 n.21.

 $^{^{72}}$ See MALLINSON and SINGLETON 2017 for a survey and analysis of yoga methods including all those of hathayoga.

⁷³ Amaraughaprabodha 19-41.

haṭhayoga, which he says is of two kinds (both of which achieve the same ends): one which was first practised by Yājñavalkya and consists of the same eight angas as Patañjali's yoga; and another which was first practised by Kapila. The haṭhayoga of Kapila is the three methods of the Amaraughaprabodha together with six other techniques of manipulating the vital energies. These include the three bandhas or locks probably first taught in the Gorakṣa-śataka (mahābandha, jālandharabandha, and uddiyāṇabandha). That the author of the Dattātreyayogaśāstra is compiling teachings from earlier texts when he describes Kapila's yoga is supported by the overlaps between the techniques of the Amaraughaprabodha and Gorakṣaśataka: the Dattātreyayogaśāstra's mahāmudrā includes the positions to be adopted for jālandharabandha and mūlabandha. The In addition to these six practices, the Dattātreyayogaśāstra teaches khecarīmudrā, in which the tongue is turned back and above the soft palate, viparītakaraṇī, in which the body is inverted, and vajrolīmudrā, the method of urethral suction mentioned above.

In the Śivasaṃhitā and Haṭhapradīpikā these nine practices are all classified as mudrās and included among the practices of haṭha.⁷⁸ A tenth mudrā is added, śakticālana, which involves pulling on the tongue in order to stimulate Kuṇḍalinī.⁷⁹ In these and subsequent haṭhayoga texts, a mudrā is thus a method of manipulating the vital energy, variously conceived as the breath, Kuṇḍalinī, and/or semen, in order to make it rise up the body's central channel.

Until the composition of the <code>Haṭhapradīpikā</code>, textual treatments of <code>haṭhayoga</code> identified it with only the practices classified as <code>mudrās</code> in the Śivasaṃhitā and <code>Haṭhapradīpikā</code>. As noted above, the <code>Haṭhapradīpikā</code> added three more categories of <code>haṭha</code> technique: <code>āsana</code>, posture, <code>kumbhaka</code>, breath retention, and <code>nādānusandhāna</code>, listening to the internal sounds. <code>Āsana</code> and <code>kumbhaka</code> are practised in preparation for <code>mudrā</code>, while <code>nādānusandhāna</code> is a method of attaining <code>samādhi</code>.

4.2 Āsana

In most forms of contemporary yoga practice, *āsana* is central, but it has a secondary role in early *haṭhayoga*. The *Amṛṭasiddhi* and *Amaraughaprabodha* do not teach *āsana* as a specific practice. In the *Amṛṭasiddhi* the word *āsana* is used to refer both to the seat or mat on which the yogi carries out his practice, ⁸⁰ and, when it is said that in the second stage of practice his *āsana* becomes firm, ⁸¹ to an unspecified seated position for meditation and breath control. The *Amaraughaprabodha* repeats this claim⁸² and says no more about

⁷⁴Dattātreyayogaśāstra 132–158.

⁷⁵ Gorakṣaśataka 49–63.

⁷⁶ Compare *Dattātreyayogaśāstra* 132 and 133 with 144 and 138 respectively. The author of the *Dattātreya-yogaśāstra* is unusual for the *hatha* genre in that he draws on the teachings of earlier texts but never incorporates them verbatim, preferring to compose his own verses.

⁷⁷ On khecarīmudrā, see MALLINSON 2007.

 $^{^{78}}$ Śivasaṃhitā 4.23–24, Haṭhapradīpikā 3.6–7.

⁷⁹ Śivasamhitā 4.106–110, Haṭhapradīpikā 3.100–116. Like mahābandha, jālandharabandha, and uḍḍiyāṇa-bandha, śakticālana is first taught in the Gorakṣaśataka (vv. 16–28).

⁸⁰Amṛtasiddhi 11.4.

⁸¹ Amrtasiddhi 20.1.

⁸² Amaraughaprabodha 37.

āsana.

The *Dattātreyayogaśāstra* does not include *āsana* in its treatment of *haṭhayoga* as practised by Kapila, but it is the third of the eight *aṅga*'s of Yājñavalkya's *haṭḥayoga*.⁸³ There are said to be 8,400,000 *āsanas*, but only one is taught, *padmāsana*, the lotus position.⁸⁴

The Śivasaṃhitā (which does not explicitly identify the components of haṭhayoga practice) says that there are eighty-four postures and teaches four: siddhāsana, padmāsana, paścimottānāsana, and svastikāsana.⁸⁵ These are all seated postures for meditation and breath control. Paścimottānāsana, a forward bend, is not taught as such in earlier works, but is similar to the daṇḍāsana taught at Pātańjalayogaśāstra 2.46, with the difference that in paścimottānāsana the toes are to be held by the hands, and the head put on the knees. Additionally, unlike the other three postures, which are simply taught as suitable positions for meditation and breath control, paścimottānāsana is said to make the breath enter the central channel.⁸⁶

The *Haṭhapradīpikā* is the first text to name *āsana* as a component practice of *haṭha-yoga*, which it says brings stability and suppleness to the body, and keeps away disease. ⁸⁷ The *Haṭhapradīpikā* teaches fifteen postures, of which ten are seated or lying positions for meditation and five are more complex twisted or balanced postures. ⁸⁸ Of the former, six are taken from the section on *āsana* in the *Vasiṣṭhasaṃhitā*, ⁸⁹ two from that in the *Viveka-mārtaṇḍa*, ⁹⁰ and one is probably drawn from the *Śivasaṃhitā*, ⁹¹ while the "corpse pose" (śavāsana) taught in the *Haṭhapradīpikā* is a reformulation of a method of *layayoga* ("the yoga of dissolution [of the mind]") taught in the *Dattātreyayogaśāstra*. ⁹² This is the first example of a subsequently common phenomenon in which physical postures originally taught as techniques other than *āsana*s are included under the *āsana* rubric. ⁹³

Source texts for three of the five complex postures taught in the *Haṭḥapradīpikā*, *ut-tānakūrmāsana*, *dhanurāsana*, and *matsyendrāsana*, have not been identified. No specific benefits are given for the first two, but *matsyendrāsana* is said to kindle the digestive fire, remove disease, awaken Kuṇḍalinī, and stabilize semen.⁹⁴ The verses describing *mayūrāsana*

⁸³ Dattātreyayogaśāstra 27.

⁸⁴Dattātreyayogaśāstra 34–38.

⁸⁵ Śivasaṃhitā 3.96–115.

⁸⁶ Elsewhere the Śivasambitā, a layered text, mentions in passing other postures which it does not describe: vajrāsana (4.51), muktāsana (4.110), gomukhāsana (5.10).

⁸⁷ Haṭhapradīpikā 1.17. Āsana is also said to get rid of disease in the c. thirteenth-century Vivekamārtanḍa, a text which was used to compile the Haṭhapradīpikā. The Vivekamārtanḍa includes āsana among the six angas of yoga and says there are 8,400,000 postures (as many as there are varieties of living beings), of which it teaches two, siddhāsana and padmāsana (Vivekamārtanḍa 3–8).

⁸⁸ Haṭhapradīpikā 1.17–54. În contrast to earlier publications, I am here categorizing paścimottānāsana as a seated posture.

⁸⁹These are the svastika, gomukha, vīra, kūrma, siṃha and bhadra āsanas: Haṭhapradīpikā 1.19–22, 50-54 = Vasiṣṭhasaṃhitā 1.68, 70, 72, 80, 81, 73–75 and 79. A variant form of siddhāsana taught at Haṭhapradīpikā 1.36 is taken from Vasiṣṭhasaṃhitā 1.81, in which the posture is called muktāsana.

^{9°}These are siddhāsana (a variant of that taught at 1.36) and padmāsana: Haṭhapradīpikā 1.35 and 1.44 = Vivekamārtaṇḍa 7–8. A variant form of padmāsana found at Dattātreyayogaśāstra 35–36 is taught at Haṭhapradīpikā 1.45–46.

⁹¹This is paścimatānāsana: Haṭhapradīpikā 1.28–29 ≈ Śivasaṃhitā 3.108–109.

⁹² Haṭhapradīpikā 1.32 = Dattātreyayogaśāstra 24cd.

⁹³In the eighteenth-century *Jogpradīpikā*, for example, the ancient ascetic practice of hanging upside down from a tree is taught as *tapkāra āsana*, "the ascetic's posture" (179–183), and the *mahāmudrā* first taught in the *Amṛtasiddhi* is taught both as a *mudrā* and an *āsana* (105–106).

⁹⁴ Haṭhapradīpikā 1.27.

and kukkuṭāsana, the peacock and cock postures, are taken from the Vasiṣṭhasaṃhitā, which in turn derives its teachings from earlier Vaiṣṇava works. 95 Mayūrāsana is first taught in the c. tenth-century Vaikhānasa Vimānārcanākalpa, in which it is one of nine postures, the rest of which are seated positions. 96 The nine postures are divided into three groups of three, which are classed as low, middling, and high; mayūrāsana is included among the low postures. Its anomalous nature as a balancing position which cannot be held indefinitely is not remarked upon, nor is it said to have any particular aim. Kukkuṭāsana is first introduced in the Ahirbudhnyasaṃhitā, which says that all the eleven postures that it teaches (of which only mayūrāsana and kukkuṭāsana are not seated postures) are for promoting health. 97 In its description of mayūrāsana, which is derived from that of the Vimānārcanākalpa, the text says that it destroys all poisons and wards off all diseases, 98 playing on the peacock's ability to eat poisonous animals. The Haṭhapradīpikā adds the ability to digest an excess of bad food to mayūrāsana's benefits as taught in the Ahirbudhnyasaṃhitā and Vasiṣṭhasaṃhitā. 99 Kukkuṭāsana is not said to have any specific benefits in the Haṭhapradīpikā nor in the texts from which it derives its teachings.

4.3 Kumbhaka

Breath control (prāṇāyāma) is central to all methods of yoga. Until the advent of the haṭha corpus its sole documented practice was a simple method of alternate nostril breathing and breath retention used to purify the body and still the mind (and, in some dharmaśāstras, as a method of atonement for wrongdoing). The haṭha corpus introduces eight further techniques of breath control, classified as sahita kumbhakas, 'accompanied breath retentions', which for the most part involve variations in the method of inhalation or exhalation. Four of these, sūryā, ujjāyī, sītalī, and bhastrī, are first taught in the Gorakṣa-śataka. They are included in the Haṭhapradīpikā's teachings on kumbhaka together with four further breathing practices, sītkārī, bhrāmarī, mūrcchā, and plāvinī, source texts for which have not been identified. Mastery of the breath in haṭha texts is marked by the ability to spontaneously suspend the breath for as long as desired. This is called kevala kumbhaka, 'unaccompanied breath retention', and can only be performed once the eight sahita kumbhakas have been mastered.

The *sahita kumbhaka*s are to be practised after the body's channels have been purified by means of the basic alternate nostril *prāṇāyāma* and a group of internal cleansing techniques called the *ṣaṭkarmas* (which are taught for the first time in the *Haṭhapradīpikā*). The *sūryā*, *ujjāyī*, and *śītalī kumbhaka*s help to further purify the body, curing imbalances of the *kapha*, *vāta*, and *pitta doṣas* respectively. The *bhastrī kumbhaka* awakens Kuṇḍalinī and pierces the three knots (*granthis*) in the central channel. The four *kumbhaka*s introduced by the *Haṭhapradīpikā* have more esoteric effects, with *bhrāmarī* and *mūrcchā* bringing about states of bliss, *sītkārī* making the yogi a second god of love, and *plāvinī* allowing him to

⁹⁵ For details see MALLINSON 2014:227-228.

⁹⁶ Vimānārcanākalpa paṭala 96

⁹⁷ Ahirbudhnyasamhitā 31.30.

⁹⁸ Ahirbudhnyasamhitā 31.37.

⁹⁹ Haṭhapradīpikā 1.31.

¹⁰⁰ MALLINSON AND SINGLETON 2017:129-30.

¹⁰¹ Gorakṣaśataka 28–49.

¹⁰² Haṭhapradīpikā 2.44–71.

4.4 Nādānusandhāna

The practice of $n\bar{a}d\bar{a}nusandh\bar{a}na$, 'concentration on the [inner] sound', taught in the *Hathapradīpikā* as one of the auxiliaries of *haṭhayoga*, combines two different notions of $n\bar{a}da$, 'sound' or 'resonance': the spontaneous arising of different internal sounds marking the yogi's progress through the four stages of yoga practice, first taught in the *Amṛṭasiddhi*; and deliberate concentration on the sounds that arise internally as a means in itself to attaining *samādhi*, the goal of yoga practice. ¹⁰³ Source texts for the verses which teach the latter (4.78–99) have not been found, but the practice has precedents in the tantric corpus. ¹⁰⁴

5 The results of success in *hathayoga*

The *Haṭhapradīpikā* and earlier texts which teach *haṭhayoga* chart progress in its practice in a series of four stages, *ārambha*, *ghaṭa*, *paricaya*, and *niṣpatti*, first taught in the *Amṛṭasiddhi*. The *haṭha* techniques, correctly employed, make the vital principle, variously conceived as the breath, Kuṇḍalinī, and/or semen, rise up the central channel, piercing three knots, the *brahma-*, *viṣṇu-*, and *rudra-granthis*, along the way. Different internal sounds arise corresponding to each stage, together with more intense experiences of bliss (*ānanda*) and emptiness (*śūnyatā*). When the final stage is mastered, the vital principle reaches the head and the yogi attains *samādhi*, which is identified with *rājayoga*, 'the royal yoga', *amaratva*, 'immortality', and *jīvanmukti*, 'liberation while living'. ¹⁰⁵

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 $^{^{103}}$ Haṭhapradīpikā 4.65–102. See also MALLINSON 2016:116 and MALLINSON AND SINGLETON 2017:329–330.

¹⁰⁴ VASUDEVA 2004:272-280.

¹⁰⁵ Hathapradīpikā 4.2–4.

¹⁰⁶ Unless otherwise stated, references to the *Amaraughaprabodha* in this chapter are to its shorter recension, on which see BIRCH forthcoming.

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