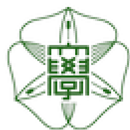
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East Asians in Soviet Intelligence and the Chinese-Lenin School of the Russian Far East

Jon K. Chang*

Abstract[1]

This study focuses on the Chinese-Lenin School (also the acronym CLS) and how the Soviet state used the CLS and other tertiary institutions in the Russian Far East to recruit East Asians into Soviet intelligence during the 1920s to the end of 1945. Typically, the Chinese and Korean intelligence agents of the USSR are presented with very few details with very little information on their lives, motivations and beliefs. This article will attempt to bridge some of this "blank spot" and will cover the biographies of several East Asians in the Soviet intelligence services, their raison d'être, their world view(s) and motivations. The basis for this new study is fieldwork, interviews and photographs collected and conducted in Central Asia with the surviving relatives of six East Asian former Soviet intelligence officers. The book, *Chinese Diaspora in Vladivostok,* Second Edition [*Kitaiskaia diaspora vo Vladivostoke,* 2-e izdanie] which was written in Russian by two local historians from the Russian Far East also plays a major role in this study's depth, revelations and conclusions.[2]

Methodology: (Long-Term) Oral History and Fieldwork

My emphasis on "oral history" *in situ* is based on the belief that the state archives typically chronicle and tell a history in which the state, its officials and its institutions are the primary actors and "causal agents" who create a powerful, actualized people from the common clay of workers, peasants and sometimes, draw from society's more marginalized elements such as vagabonds and criminals. The state archives and especially those of the former USSR were often culled meaning that some or many documents are regularly removed, transferred or simply destroyed especially those which contradict

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[1] Special thanks to Li Khuei (the former PRC ambassador to Russia), Dmitrii Ancha and Nelli Miz.

[2] *Kitaiskaia diaspora vo Vladivostoke* [*Chinese Diaspora in Vladivostok*], first edition was a project which the Ambassador of the People's Republic of China to Russia, Li Khuei negotiated with the Russian Federation and two Russian authors (Dmitrii Ancha and Nelli Mix) to bring to fruition as a monograph and archival document collection (from several archives in Russia) from 2012 to 2014. It was printed in both Chinese and Russian by the Social Sciences Academic Press of China in 2014. The rights of the first edition belong exclusively to the PRC. Ancha and Miz's second edition of the same book was self-funded and published in Vladivostok by Dalnauka in 2015 and was made available to the public with permission by the PRC and its representatives. Ancha indicated that he was the main author of the first and second editions and that he had been working on collecting the articles and archival documents for the monograph for over 20 years. The work of the second edition supports the same history (that of East Asians in Soviet intelligence) that this author (Jon K. Chang) had been collecting (through oral history/fieldwork) *in situ* in Central Asia from 2006 to 2017.

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the intended socio-political narratives and the political position(s) en vogue.[3] There were three advantages of *in situ* fieldwork which I primarily conducted in Kyrgyzstan and Uzbekistan. First, there was a first-hand opportunity to see the nature of the relationships and economics (various economies including barter) on the former Soviet collective farms. Second, in conducting fieldwork, I was able to bring with me a video camera and portable scanner (for photos) which often helped to corroborate the histories that I had recorded. Third, the Chinese and Korean deportations were undertaken with the help of Chinese and Korean NKVD officers.[4] Through my extended interviews, I was able to meet six East Asian families who had relatives in the Soviet OGPU/NKVD or GRU. The NKVD/KGB/FSB archives of the Russian Federation have not been opened despite the opening of the other archives since 1991. This was an opportunity to obtain a level of depth (third person narratives from relatives and photographs in uniform) that possibly even the FSB archives did not have.

Soviet Intelligence: Who, How Many and Why?

Many East Asian migrants to the USSR as well as those from the Korean and Chinese communities in the Russian Far East (thus, "native" to Russia) were captivated by Soviet socialism and its message. Not only were they captivated by the socialist message, but many returned home to put their words into action. Many of Chinese students in the USSR and France in the 1920s and 1930s returned home to establish local Chinese Communist Party committees in their native cities or towns.[5] Many also stayed in the USSR. One of the weaknesses of Soviet socialism was that once the Civil War had been won and the borders secured on the European side of the USSR, the "class-line" was quickly forgotten. Many of the Chinese Cheka and Red Army veterans were quickly discarded or forgotten after February 1921. Amazingly, a nearly identical story (of East Asian agents serving the Soviet state) continued thousands of miles away in the Russian Far East from the 1920s onwards. This is because the "enemy" which was the Japanese empire was still growing in Manchuria and Korea.

The findings from this study focus on the four hundred East Asians recruited into the Soviet GRU and OGPU/NKVD[6] through institutions of higher education and another two-to-three hundred

[3] Oleg Khlevniuk, *The History of the Gulag: From Collectivization to the Great Terror* (New Haven: Yale University Press, 2004), 2–3; Jeffrey Burds, "Ethnicity, Memory, and Violence: Reflections on Special Problems in Soviet and East European Archives," in *Archives, Documentation, and Institutions of Social Memory*, eds. Blouin, Jr., Francis X. and William Rosenberg (Ann Arbor: University of Michigan Press, 2006), 469, 472, 474; Theodore Karasik, *The Post-Soviet Archives Organization, Access, and Declassification* (Santa Monica: Rand Corporation, 1993), 6.

[4] Amir Khisamutdinov, *The Russian Far East: Historical Essays* (Honolulu: CeRA, 1993), 120–121. NKVD stands for "the People's Commissariat for Internal Affairs [Narodnyi Kommissariat Vnutrennikh Del]" that is, the Soviet political police.

[5] Arif Dirlik, *The Origins of Chinese Communism* (Oxford: Oxford University Press, 1989), 1–54; Marilyn Levin, *The Found Generation: Chinese Communists in Europe during the Twenties* (Seattle: University of Washington Press, 1993). The ECCO and ECCP mentioned by Levine's *The Found Generation* were not funded by the Comintern.

[6] GRU refers to the "Main Intelligence Directorate," that is the military intelligence division of the Red Army. The OGPU (1922 to 1934) refers to the Soviet political police. It was the predecessor to the NKVD.

who were recruited by other means especially through the Soviet military. In November 2016, Memorial released its internet list of 40,000 NKVD agents compiled by Andrei Zhukov specifically NKVD in "state security."[7] Memorial's list contained only the names of Grigorii Eliseevich Khan and Nikolai K. Khan out of the six total families that I interviewed (with intelligence connections). However, the 1937 census of the all-union NKVD indicates that there were a total of 270,730 employees.[8] Some of the Chinese and Koreans sent on missions to Korea, Manchuria and China proper (e.g. Shanghai, another known destination for the East Asian agents of the OGPU/NKVD) will never be found on the OGPU or NKVD lists because these agents were simply ad hoc employees for that particular mission, trip or for a set period (for example, six months). The Chinese and Koreans agents sent to Manchuria could also have been listed as Internal Security Troops (VOKhR) and NKVD border guards. The appellation "border guards" could include any agents who "accidentally" crossed the borders as well.[9] Finally, the contributions of the East Asian agents to the defense of the USSR (and Russia) are an absolutely forgotten and under-researched part of Russian, Soviet and Northeast Asian history which this study intends to remedy.

Introduction

The contributions of the Chinese (and a few Koreans) to the Bolsheviks during the Russian Civil War in the European theater (the western borderlands of the former Russian empire) is generally known and there are various sources about these histories.[10] This study begins in the western Soviet borderlands. On November 14, 1920, Pyotr N. Wrangel the Commander of the White Army fled with 146,000 of his troops to the Crimea where they were evacuated to Constantinople. The Civil War was effectively over in southern Russia.[11]

In spring 1921 with the Russian Civil War mostly won, the Cheka and other state security organs began evacuating foreigners who had served in "internationalist" brigades, labor brigades and the like during the First World War and the Russian Civil War based on order no. 9612, issued in

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[7] See: Shaun Walker, "Stalin's Secret Police Finally Named but Killings Still Not Seen as Crimes," *The Guardian*, February 6, 2017. Accessed February 18, 2018: https://www.theguardian.com/world/2017/feb/06/stalin-secret-police-killings-crimes-russia-terror-nkvd

[8] Paul Gregory, *Terror by Quota: State Security from Lenin to Stalin: An Archival Study* (New Haven: Yale University Press, 2009), 1–2.

[9] *Vsesoiuznaia perepis' naseleniia 1937 goda: obshchie itogi. sbornik dokumentov i materialov* [*All Union Census of the Population of the Year 1937: General Totals, A Collection of Documents and Materials*], eds. Iu.A. Poliakov and V.B. Zhiromskaia (Moskva: Rosspen, 2007), 313–314, 317 for border guards; George Leggett, *The Cheka: Lenin's Political Police* (Oxford: Oxford University Press, 1981), 164, 183, 201–213 for VOKhR. Thanks to Dr. George Lin, a specialist on the NKVD for his suggestions.

[10] Dmitrii Lappo, *Stranitsy velikoi druzhby: uchastie kitaiskikh dobrovol'tsev na frontakh grazhdanskoi voiny v Sovetskoi Rossii*, *1918–1922* [*Pages of the Great Friendship: The Participation of the Chinese Volunteers on the Front of the Civil War in Soviet Russia*] (Moskva: Izd. Sotsial'no-Ekonomicheskoi Literatury, 1959); I. Babichev, *Uchastie kitaiskikh i koreiskikh trudiashchikhsia v grazhdanskoi voine na Dal'nem Vostoke* [*The Participation of the Chinese and Korean Workers in the Civil War in the Far East*] (Tashkent: GIUS, 1959).

[11] Evan Mawdsley, *The Russian Civil War* (Edinburgh: Birlinn, 2000), 270.

February 1921 on the southwestern front. Regarding the Cheka (VChK), this was one of several names for the Soviet political police known as the "secret police" due to its members possessing extra-legal powers to arrest, sentence and repress Soviet citizens for "political crimes" such as mutinies by sailors, unrest led by local peasants and national revolts led by the Soviet Union's various minority peoples. During the civil war, the Soviet political police (Cheka and GPU) had many duties. First, they conducted operations against domestic and international enemies, anti-Soviet groups and counterintelligence. A second duty was to requisition food supplies from peasants. Third, Cheka agents supervised the Soviet labor and prison camps. Fourth, Cheka troops guarded the USSR's railways. Finally, some Cheka detachments such as CHON also fought alongside the Red Army in battles. There were a host of other duties and uses for the Cheka.[12]

Returning to the subject of the coerced or semi-coerced "evacuations" of the Chinese Red Army soldiers and Cheka after February 1921, the former Russian imperial subjects (prior to 1917) such as Latvians, Lithuanians, Finns and Poles were exempt from evacuation. They were allowed to stay in the Russian empire even after their military obligations had terminated. However, the Chinese in Russia without citizenship (or who were not Russian subjects) were given strong encouragement to return home. This "encouragement" took the form of the Red Army veterans being refused residence permits or visa extensions in some instances even when they had married Russians and Ukrainians.[13]

Many of the Chinese had married local women during the Civil War. One might ask, "How did this happen?" since it was reputed that few spoke Russian well. In Russia and Ukraine, many of the East Asian men (whether born in Russia or otherwise) found the process of meeting someone, courting them and then proposing marriage "Western" or Russian style to be very liberating and more progressive than working through an arranged marriage between Chinese or Korean families in the Russian Far East, China or Korea.[14] The Chinese and Koreans may have had disadvantages due to race and language, but many Russian women found them to be thrifty, steady, trustworthy and family-oriented.[15]

In April 1921, Fin Fu Rin, a Red Army soldier during the Civil War, was evacuated from Donetsk by the Cheka to Moscow. From Moscow, he was sent to China. Chin Kho Khai was demobilized from the Red Army at around the same time and sent to Manchuria where he was immediately arrested. He escaped and made his way to Volgodonsk, Russia.

The Chinese in the police force and Cheka were generally not demobilized until 1922. In May 1922, all foreign citizens through NKVD regulations in all regions and cities of the Ukraine were forced

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[12] Leggett, *The Cheka*; John Dziak, *Chekisty: A History of the KGB* (Lexington, MA: Lexington Books, 1988), 39–50. In general, the timeline for the various names and incarnations of the Soviet political police are: Cheka (1917–1921), GPU (1921–1922), OGPU (1923–1934), NKVD (1934–1953), and KGB (1953–1991).

[13] Nikolai Karpenko, *Kitaiskii legion: uchastie kitaitsev v revoliutsionnykh sobytiiakh na territorii Ukrainy, 1917–1921 gg*. [*The Chinese Legion: Participation of the Chinese in the Revolutionary Events in the Territory of Ukraine, 1917–1921*](Lugansk: Alma Mater, 2007), 322–324.

[14] This is based on my historical research and fieldwork among Soviet Koreans and Chinese from 2006–2010, 2014, 2016–2017 in Russia (the Russian Far East), Kyrgyzstan and Uzbekistan.

[15] Pal Nyiri, *Chinese in Eastern Europe and Russia: A Middleman Minority in a Transnational Era* (London: Routledge, 2007), 42.

to re-register on the records of their local NKVD. Many of the foreign residents of Ukraine were also "invited" to return to their respective homelands since the Civil War was all but over. An NKVD order on September 29, 1922 ordered all foreigners in the Don guberniia (province) within a two-week period to register with local authorities (NKVD) bringing three photos, certificates of identification and documentation and their questionnaire forms in order to receive a certain type of Ukrainian citizenship (allowing further residence). On this matter, Karpenko noted that many foreigners were not able to gather the necessary documents or gather documents that had the certifications or were properly confirmed such as prior citizenship and passports.[16] It was at this time that the number of Chinese Cheka was pared down from a maximum of around 1,000 to around 40–60.[17] By late 1922, the Soviet borders had been secured and resistance was minimal and fading (especially after the Japanese left Russia in October). If the USSR had wanted to keep the Chinese Red Army veterans and Chinese Chekists in the recognition of their contributions, bravery and as part of a class-line based socialism, the state would have found a way to do so. After all, at first blush, the residency and or citizenship requirements were not that stringent. After 1922, there is little or no mention of the Chinese Chekists in the western borders of the USSR. The following section (until the conclusion) covers new historical ground, that of the contributions of the Soviet Chinese and Korean to Soviet intelligence and military in Siberia and the Russian Far East (the eastern borders of the USSR) from roughly 1920 to 1945.

The Chinese-Lenin School and Maki Mirage

At the same time (early 1920s), one sees an entirely different story in the Russian Far East (also the acronym RFE) where the East Asian communities were being consolidated, indoctrinated and constructed as "Soviet peoples."[18] This is because the Japanese empire was growing and bordered the Soviet Far East in Korea and (later) in Manchuria. In this section, the Korean and Chinese "sections" of the Comintern and the Far Eastern Communist Party School will be examined. The Chinese section at least evolved into the Chinese-Lenin School. The Korean section of the Russian Communist Party was created in May 1922.[19] The Koreans of Russia had organized a Korean Socialist Party (in diaspora) as early as June 26, 1918. The All-Russian Korean National Association even held its "Second General Assembly" between June 12–June 24, 1918 in Nikolsk-Ussuriisk where they voted to pledge their loyalty to the new Bolshevik state. The four thousand Koreans who fought for Russia during World War One had begun to return to their homes by early spring 1918 (due to the Brest-Litovsk Treaty). Khan Chan Ger (Gol) was one of the most active Bolshevik organizers returning from the war. He

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[16] Karpenko, *Kitaiskii*, 324.

[17] Donald Rayfield, *Stalin and His Hangmen: The Tyrant and Those Who Killed for Him* (New York: Random House), 72. Page 72 mentions the use of some 500 Chinese Cheka (circa 1919) during the civil war when Russian soldiers refused to carry out executions. The maximum number of Chinese Cheka was probably around 1,000.

[18] This is in part because in 1920, the RFE had far less resources and economic power. The East Asians in the RFE provided much of the foodstuffs, labor and economic backbone for the region.

[19] Semen Anosov, *Koreitsy v Ussuriiskom krae* [*Koreans in the Ussurii Region*] (Khabarovsk: Knizhnoe delo, 1928), 24.

organized a "village soviet" (a village council) in Nikolaevka which elected him as their chairman.[20] Note that Koreans also attended the Chinese-Lenin School, but typically they were INO (the Foreign Intelligence Department of the GPU, OGPU, and later NKVD), GRU and former soldiers or partisans.

On October 29, 1923, the Primorskii provincial Communist Party resolved to begin massive political, educational and occupational development for the Chinese and Koreans of the Primore and the RFE. This program in general was named *korenizatsiia* (indigenization). The Communist Party wanted to organize the "construction" of both communities as Soviet peoples while organizing their educational networks (school systems) to emphasize socialist concepts, their professional lives through Soviet labor unions and to hire and promote qualified Koreans and Chinese within Soviet institutions after their initial technical or university education was finished. The construction of both groups as "Soviet nationalities" included building Red corners and libraries throughout the RFE which provided "sections" or "bureaus" within Communist Party (henceforth, CP) executive committee groups which published books, magazines and literature(s) in the Chinese and Korean languages.[21]

At the Aleksinskii mines in 1924–1925, in a literacy survey among Chinese miners, the authorities found that out of 606 Chinese: 420 were illiterate (70 percent), 112 were partially literate (17.5 percent) while 74 (12.5 percent) were literate. Out of 162 Koreans surveyed: 82 (51 percent) were illiterate, 65 were partially literate (40 percent) while only 15 (8.1 percent) were literate.[22]

On June 4, 1925, the Chinese section of the Primorskii guberniia (Provincial) Soviet Party School was formed. This was the predecessor to the Chinese-Lenin School. In 1929, this "section" was reorganized into the Chinese Regional Soviet Party School. Finally, on March 1, 1933 the aforementioned entity formally became the CLS located in Vladivostok. At its inception, there were 207 students. The students were separated into those studying at the preparatory stage (preparatory education), middle stage (high school equivalency) and higher education stage (university level). In 1933, there were only 43 students studying at the level of higher education. The average student stipend (scholarship) per month was 130 rubles. University students received 160 rubles per month, secondary education students 130 rubles and preparatory students 100 rubles. University students with excellent marks could receive up to 190 rubles per month in scholarship monies.[23]

There were two directors of the CLS (and its predecessors). The first, the son of a peasant farmer Georgii Ilich Stakanov, whose Chinese name was Tsin Dao Pan, was born in Sichuan, China in 1904.[24] Stakanov belonged to the Chinese Komsomol (Youth Communist organization) and Chinese Communist Party. He studied for two years in the physics and mathematics faculty in a university in China and then the KUTK (Sun Yat-Sen Communist University of the Workers of China) in Russia.

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[20] Teruyuki Hara, "The Korean Movement in the Russian Maritime Province, 1905–1922," in *Koreans in the Soviet Union*, ed. Dae-Sook Suh (Honolulu: University of Hawaii Press, 1988), 8–9.

[21] Dmitrii Ancha and Nelli Miz, *Kitaiskaia diaspora vo Vladivostoke: stranitsy istorii*, 2-e izdanie [*Chinese Diaspora in Vladivostok: The Pages of History*, Second ed.] (Vladivostok: Dalnauka, 2015), 248.

[22] Krysov, "Zhizn' vostochnykh rabochikh: plan kul'traboty [*Life of the Eastern Workers: Plan for Cultural Work*]" *Krasnoe znamia*, January 1, 1925, issue no. 1(1,316).

[23] Ancha, *Kitaiskaia diaspora*, 248, 254–255, 260.

[24] Throughout this article, Chinese names from Ancha's *Kitaiskaia diaspora* will be transliterated from Russian to English using the Library of Congress' transliteration system (LOC). One example is Tsin Dao Pan.

After his studies at KUTK, he was sent to the Chinese section or Chinese Regional Soviet Party School as a teacher in Marxist-Leninism. He served as the director of the CLS only until October 1933 when, after a reorganization of the school, he was replaced by I.N. Guiskii. There were three faculties at the CLS: economics, history and language/linguistics.[25]

Ivan Nikolaevich Guiskii (Chinese name unknown) was a Chinese man originally from Sichuan province, China. He was born in 1905 and came to the USSR in January 1927 when the Chinese Communist Party sent him to Moscow to study. He studied for two years at the KUTV (Communist University of the Toilers of the East) and then finished his degree at KUTK. He became a member of the Communist Party Soviet Union in 1931. He married a Russian woman, L.D. Pozdneeva who also worked at the Chinese-Lenin School's affiliated publishing house and translation bureau. Guiskii had three daughters, Maia, Svetlana and Alla.[26]

One reason that "China," "Chinese comrades" and the CLS were given such a high profile in the Soviet Union was because of the need for a "world socialist revolution" which would defeat all of the world's great capitalist-imperialist powers (Western Europe and the U.S.). Nikolai Bukharin gave a speech in January 1927 emphasizing that China's population was more than three times that of the USSR. He gave very rough population estimates: 420 million for China, 120 million for the USSR.[27] The investment in young Chinese socialists/communists (who would be learning Russian, then working and studying in the USSR) would give the USSR the opportunity to heavily influence the understanding and political direction of socialism in China. Their "investment" in budding communist polities was thought to pay large dividends later on for the Soviet Union through their principle of "democratic centralism" which meant that the Soviet hoped to lead, control, and influence the communist acolyte states.[28]

The Chinese-Lenin School's (Not) Closely Guarded Secret

The Chinese-Lenin School's students, whether actual students or "cadets" who had already had military, intelligence or partisan experience, were continually sent to Manchuria on espionage operations for either the Soviet GRU (military intelligence) or the OGPU (later NKVD). The work of the Chinese-Lenin School coincided with that of operation Maki Mirage by the NKVD from approximately 1924 to 1937. In operation Maki Mirage (if Maki Mirage was indeed a real operation), the NKVD placed several moles inside of the Japanese anti-Soviet intelligence operations run by

[25] Ancha, *Kitaiskaia diaspora*, 252–253, 255.
[26] Ibid., 252–253.
[27] For China's 1926 population, *Populstat* gives 482 million, see: Accessed March 28, 2018: http://www.populstat.info/Asia/chinac.htm *Populstat* gives population statistics for many of the countries of the world. Also see Bruce Elleman, *Moscow and the Emergence of Communist Power in China, 1925–30: The Nanchang Rising and the Birth of the Red Army* (New York: Routledge, 2009), 55–56.
[28] Kevin McDermott and Jeremy Agnew, *The Comintern: A History of International Communism from Lenin to Stalin* (London: MacMillan Press, 1996), 4–5.

Miiazaki and Kumazava in Sakhalien, China (next to Blagoveshchensk, currently named Heihe).[29] They fed the Japanese disinformation while gathering information on the two hundred plus Japanese intelligence agents and informants throughout Manchuria, the Russian Far East and the Zabaikal area.[30] Meanwhile, Soviet intelligence sent hundreds of agents to Manchuria between 1920 and 1945. From 1941 onwards, the center for its military intelligence operations was in Chita and run by the Russian General Staff. Incredibly, four hundred alone had been "students" whether real or fictive at the Chinese-Lenin School.[31] Dmitrii Ancha, the author of *Chinese Diaspora* (*Kitaiskaia diaspora* 2015) and others were fortunate to have utilized his local Soviet archives (RGIA-DV, Vladivostok) in the early 1990s before these items were reclassified or transferred to the FSB archives which are off-limits to researchers. Ancha and a few others began writing and collecting information about the Soviet Chinese and Koreans in the late 1980s. Unfortunately, Ancha did not provide the authors, titles and citation materials of the other articles. However, he stated through email correspondence that 180 of the 400 agents trained by the Chinese-Lenin School were arrested and or repressed during the Great Terror.[32]

A discussion on the Chinese-Lenin School (located in Vladivostok) based upon the evidence collected in Ancha's *Chinese Diaspora* (*Kitaiskaia diaspora*) will now begin. The Chinese-Lenin School was established for the expressed purpose of teaching and educating future Chinese comrades/socialists, creating a publishing and translation house for socialist literature in the Chinese language and establishing a recruitment and training center for East Asian (Koreans and Chinese) agents of Soviet intelligence. Note that the acronyms OGPU/NKVD also included a subdivision, the INO (the Foreign Division of the Soviet political police) and the acronym GRU (Soviet military intelligence) also included its regional nomenclature the RO, OKDVA which stands for "the Intelligence Division of the Special Banner Far Eastern Army." Some students at the CLS were in fact "cadets" who had been recruited from the two Soviet intelligence organs, OGPU/NKVD and OKDVA (Red Army).

A significant number of the East Asian agents also attended other Soviet institutions of higher education throughout the Russian Far East and Siberia (Chita). The cadets were on reserve, ready at a moment's notice to serve on missions crossing into Manchuria or as it was known beginning in 1932, Manchukuo. They were training in reconnaissance and sabotage outside of the USSR and trained and sent in "special groups" from between five to ten people.

The following are two short biographies of two "cadets" from the CLS. Vei Lianshan (born Ui Lianshan) worked in intelligence for the OKDVA. He was involved in an underground anti-Japanese movement in Manchuria. His group and work was uncovered in 1934 and in that year, Vei Lianshan crossed from Sakhalien (now Heihe, China) to Blagoveshchensk. The second cadet was Van Vychin. It

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[29] Drs. Kuromiya and Peplonski also believe that Maki Mirage was a deception operation, see Hiroaki Kuromiya and Andrzej Peplonski, "The Great Terror. Polish-Japanese Connections," *Cahiers du monde russe* 50: 4 (2009): 661.

[30] S. Nikolaev, *Maki Mirazh: iz istorii otechestvennykh spets-sluzhb* [*Maki Mirage: From the History of the Fatherland's Special Service*] (Khabarovsk: Khabarovskoe knizhnoe izdatel'stvo, 2000), 78–224.

[31] Ancha, *Kitaiskaia diaspora*, 285.

[32] Dmitrii Ancha, email to Jon Chang, February 20, 2018.

appears that he was a GRU agent who was sent to Manchuria fighting in a Chinese anti-Japanese partisan unit. He would repeatedly pass information to Soviet intelligence about the Japanese army. In 1936, he enrolled in the Chinese-Lenin School but was arrested and repressed in 1938 during the Great Terror.

Comrade Usenko taught the cadets to shoot. They were tested while firing from a shooting range and hitting targets on the run. There was also training at local safe-houses which covered the physical, technical and theoretical components of intelligence. Students would learn how to physically collect intelligence and conduct reconnaissance. Students would also demonstrate their knowledge of how they would operate various electronic devices and other equipment (technical). Third, the cadets were tested on the theoretical bases behind various operations and why some operations or tactics were employed rather than others. Finally, the instructors Zybalov and Makstis (see Figure 3) gave the students regular boxing and weight-lifting sessions. This entire program was supervised by L.V. Popov, a lieutenant in the INO of the NKVD and P.M. Altshuller, a lieutenant and operations representative of the INO, NKVD.[33] Some of the agents (especially those who were not born in the USSR) were given multiple aliases, both in Chinese (sometimes for Koreans) and Russian.[34]

Finally, it seems apparent that the school's secret mission like all matters regarding access to privilege, higher pay and extremely high status (work as NKVD or GRU agents) was widely known among the students. In December 1937, Chzhao Zy Zhen, a student at the CLS asked several other "students" how he might participate in the school's "special work." Ian Khin Shun reported this to the director of the school, Guiskii on December 31, 1937.[35] One might ask, "Why would the Chinese and Koreans of the USSR so readily join Soviet intelligence forces and risk their lives in dangerous assignments overseas (to Korea and Manchuria)?" One, whether they were born in Russia or the USSR or whether they came as migrants (as laborers or as students), both groups wanted to "fit in," be accepted, contribute and if possible to obtain status and recognition. When one is a national minority or a new immigrant, these desires are often accentuated.[36]

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[33] Ancha, *Kitaiskaia diaspora*, 285–287.

[34] Ibid., 252.

[35] Ibid., 287.

[36] Herbert Blalock, *Toward a Theory of Minority-Group Relations* (New York: John Wiley & Sons, Inc., 1967), 79–80; George Leggett, *The Cheka*, 262; Fedor Fomin, *Zapiski starogo Chekista* [*Notes from an Old Chekist*] (Moskva: GIPL,1962), 53- see especially the Fomin book; Nikolai Shek, Interview by Jon Chang, August 9, 2008, Kolkhoz Sverdlov, Tashkent, Uzbekistan. Nikolai Shek in an interview with the author in 2008 stated, "When we were young guys [adolescents around 15–16], we fought everyone. The fights then were between groups of young men from different kolkhozes. The fights often had a 'national' [ethnic] component to them. We had to prove to others [in the USSR] that Koreans were tough and worthy. Some people thought because we were smaller that we would be afraid to fight or that we would run away. We did not hit any less hard than anyone else. I do not remember us running away ever." Nikolai Shek, Interview by Jon Chang, August 9, 2008, Kolkhoz Sverdlov, Tashkent, Uzbekistan.

Figure 1: Nikolai Shek at Kolkhoz Sverdlov, August 9, 2008. Photo by author.

Soviet socialism constantly spoke about overthrowing the capitalist-imperialists of the world to set up a "worker's paradise." Signing up to serve in Soviet intelligence was merely the act of turning words into deeds. It was also a psychological and compensatory maneuver, because the Koreans and Chinese of the Soviet Union were often treated as "colonizing elements" in the Russian Far East and in general society. Asians were often welcomed when times were rough or they could be seen to bring some sort or economic or labor advantage. However, their attempts to become citizens and partake of the fruit of Soviet socialism was often met with derision, exclusion or quotas. This occurred, despite the proclaimed "class line" and "real equality" offered by Soviet socialism.[37]As J. Stephan wrote in *The Russian Far East*, "In Vladivostok Chinese were mimicked, muddied, and mulcted. Such hooliganism was publicly deplored but officially winked at."[38] Sometimes, these acts were also taken against the Koreans, but the Koreans typically had local ties to the authorities, a stronger desire to organize, speak out and fight back and fluency in the Russian language. All of the aforementioned gave the Koreans some form of redress or defense.

In the following pages, there are two short case studies with information and photos obtained from fieldwork conducted by this author. Note that I did not conduct the Ven Sian Liu interview in Bukhara, Uzbekistan in 2017. However, I first heard of this story from Emil Liu in Bishkek, Kyrgyzstan in 2007 while I was teaching English at a university in Central Asia. In 2017, I asked E. Liu to videotape the interview of his grandmother, Ms. D. Abuziarova. The case studies display the depth of the information and histories which can be recovered through oral history as opposed to the archives.[39]

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[37] Jon K. Chang, *Burnt by the Sun: The Koreans of the Russian Far East* (Honolulu: University of Hawai`i Press, 2016), 27, 53, 65, 82.

[38] John Stephan, *The Russian Far East: A History* (Stanford: Stanford University Press,1994), 74.

[39] The following url contains the names of approximately 40,000 NKVD agents, officers, translators and administrators. This list was compiled by Andrei Zhukov by spending hours in the Russian/former Soviet NKVD/KGB archives. Note the lack of depth regarding personal histories, lives, motivations, etc. provided by the archives. Here are two names of East Asian agents in the Memorial List: Lennintsev, Sergei Mikhailovich (Chinese name Khou Minchi) and Khan Chan Ger (also known as Khan Chan Gol, Russian (birth) name Grigorii Eliseevich Khan). Accessed December 14, 2017: http://nkvd.memo.ru/index.php?title=%D0%9D%D0%9A%D0%92%D0%94:%D0%93%D0%BB%D0%B0%D0%B2%D0%BD%D0%B0%D1%8F_%D1%81%D1%82%D1%80%D0%B0%D0%BD%D0%B8%D1%86%D0%B0 The following is the url for the Guardian article on Andrei Zhukov and his phenomenal labor towards compiling the Memorial 40,000 agents list: Accessed December 14, 2017: https://www.theguardian.com/world/2017/feb/06/stalin-secret-police-killings-crimes-russia-terror-nkvd

Figure 2: Members of one particular mission of Operation Maki Mirage, photo taken sometime in the 1930s. Note that Sin Sinsan is in the top right corner (from the CLS). The Chinese man to the far right, bottom row is possibly Vrubel, also formerly a student at the CLS. Photo was taken in Blagoveshchensk, Russia prior to the group being sent to Sakhalien, Manchuria. Photo courtesy of *Komsomol'skaia pravda*, June 28, 2014, article,"Velikaia Otechestvennaia Voina mogla nachatsia ran'she na tri goda."

Figure 3: Top row, L-R- Sin Sinsan (mid-1920s photo) and Usenko. Middle row, L-R- Zybalov and Makstis. Bottom- Vrubel (Chin. name- I Tinsan). Photos courtesy of Dmitrii Ancha.

Ven Sian Liu, GRU Agent

This interview was conducted on April 15, 2017 in Bukhara, Uzbekistan by Emil Liu (the great-grandson of Ven Sian). The interview questions were written by the author. There were several follow-up questions and interviews by email between the author and the Liu family from April to June 2017. The primary interviewee was Diliara Khabirovna Abuziarova, the daughter-in-law of Ven Sian Liu, who was born in Orenburg, Russia in 1936. Ven Sian Liu was born in Shandong Province in 1904. His family emigrated to Vladivostok in 1913 when Liu was nine. V.S. Liu lost his family en route to Vladivostok and was taken in by the Popov family. Ven Sian's parents were peasant-farmers.

The Popovs were composed of a husband and wife who were both doctors in Vladivostok. They found Ven Sian in the streets lost, hungry and sick. They took him in and gave him a home until he was 14 years of age. At 14, he took a job as a cook in a cafeteria. During this same year, V.S. Liu joined the Red Army. His superiors changed his age on his recruitment papers from age 14 to 16 or 17. This was immediately after the October Revolution in early 1918. Ven Sian soon found himself in European Russia in Budyonny's Red Cavalry along with another Chinese, Li Tan. Budyonny had one Chinese regiment or detachment in his Red Cavalry.[40]

From the Red Cavalry, Ven Sian transferred to the military intelligence division of the Red Army which was called GRU. It is unclear in which year Liu was transferred to GRU work. Liu gave Ms. Abuziarova two indications of the type of work that he did. One, he worked in surveillance over the "immigrants" in the RFE. The term "immigrants" referred to the Chinese and Koreans of the RFE most likely in various small towns there such as Vladivostok, Khabarovsk, Iman, Ussuriisk, Blagoveshchensk and others.[41] During the 1920s and 1930s, the term "the immigrants" was continually used by state officials and the Soviet security organs to refer specifically to the Chinese and the Koreans. As Liu spoke Chinese, this work was a good fit for him. Second, according to Diliara, Liu "participated in the war against the Japanese." This was before his release in 1937. This "war against the Japanese" refers to clandestine intelligence work and operations which sent him as a Soviet agent to Manchuria (and later, "Manchukuo").

Around 1934, he met a widowed Korean woman, Anastasiia who was working in a local cafeteria. He married her and began to live with her and her son Yuri. Yuri was her son from a previous marriage to a Korean Red Army soldier. In 1935, Anastasiia gave birth to Ven Sian's first son, Filipp (the husband of Diliara). In 1937, Ven Sian served on two missions for the GRU to Manchuria. In late fall 1937, Ven Sian returned home from a "mission" and found that his wife Anastasiia and Yuri had been deported to Central Asia. He was immediately given permission by his colonel to go to Central Asia and find his family. He found them in Bukhara, Uzbekistan. But Yuri became ill en route to Uzbekistan and died shortly after his arrival. Anastasiia left Ven Sian due to the events of the

[40] Alexander Larin, "Chinese in Russia: An Historical Perspective," in *The Chinese in Europe*, eds. Gregor Benton and Frank Pieke (New York: St. Martin's Press, 1998), 291.

[41] Tatiana Pozniak, *Inostrannye podannye v gorodakh Dal'nego Vostoka Rossii, vtoraia polovina XIX- nachalo XX v*. [*Foreign Citizens in the Cities of the Russian Far East, Second Half of XIX to the Beginning of the XX Century*] (Vladivostok: Dalnauka, 2004).

ПРОФЕССИОНАЛЬНЫЕ СОЮЗЫ СССР

ПРОФСОЮЗНЫЙ БИЛЕТ

№ 00986953

Профсоюз ...

Фамилия Лю

И., О. ...

Год рождения 1904

Профессия ...

Год вступления в союз ...

Наименование организации, выдавшей билет ...

„ ... “ ... 19... г. М. П.

Председатель комитета

Личная подпись

СССР КАСАБА СОЮЗЛАРИ

КАСАБА СОЮЗ БИЛЕТИ

... касаба союзи

Фамилияси ...

Исми ва отасининг исми ...

Туғилган йили ...

Касби ...

Союзга кирган йили ...

Билетни берган ташкилотнинг номи ...

... 19... йил

Комитет раиси

Фотокарточка

Шахсий имзоси

Figure 4: Ven Sian Liu's Profintern or union card. See his date of birth, line 3 at left — 1904. Photo courtesy of Emil Liu.

Figure 5: Ven Sian Liu left with his son, Filipp (right) in 1944 right after Liu returned home from the war front. Photo courtesy of Emil Liu.

deportation and the loss of her son. She had continued to wonder why Liu had been absent when his family (Anastasiia and Yuri) had been rounded up and deported. She died in Kazakhstan.

After Liu's deportation, astonishingly, he continued to work for the MVD (Soviet police department) as a non-state, state employee. This is an extremely odd state of affairs as he was "unreliable" based on his nationality, yet "a loyal Soviet citizen" based on his work history and what the state knew about him outside of his ethnicity. He was given an automatic carbine machine gun and worked prosecuting cases of robberies, embezzlement, swindling and the like between 1937 and 1941 in Bukhara. In 1941, after Germany invaded the USSR, the GRU asked Ven Sian to return and do military reconnaissance on the war front since he was a former GRU agent. He agreed after some flattery (and compliments) which helped Liu overcome his initial resentment (from the events of 1937). During the Second World War, from 1941 to 1944, Liu fought on the front against the German Army. He was injured while doing reconnaissance behind the German lines, remained on the front for a short time as a cook and then returned home to Uzbekistan in 1944. Again, after 1944, Ven Sian was released from the GRU. If he had received an offer to stay and train other Red Army GRU candidates (albeit in Uzbekistan), he almost certainly would have accepted such an offer.

Figure 6: Ven Sian Liu's funeral. Liu died on January 14, 1972. Note Filipp Liu and his wife, Diliara Abuziarova at the top left of the casket. Filipp has his hair slicked back. To the right of Diliara (in headscarf) are Ven Sian's children from his second wife: Nikolai, Nera, Sonia and Ira. Photo courtesy of Emil Liu.

After this, Liu remarried and fathered another son, Nikolai and three daughters. He ran a state owned store in Bukhara until his death on January 14, 1972. In Bukhara, after the Second World War,

Ven Sian met another Chinese man with the surname Li. Mr. Li also married a Korean woman and had a child or two with her. He also was separated from her during the Korean deportation (1937) and never found his family again. For many of the Chinese, this type of loss was especially painful because they came to the USSR as single men. Their wives provided them with family and extended family. V.S. Liu's surviving daughters from his second wife (Nera and Ira) declined multiple times to grant an interview.[42]

The Nigais: A Soviet (Korean) Cadre Family

Raisa Vasilevna Nigai and Nikolai Vasilevich Nigai were part of a Soviet cadre family of Koreans. "Soviet cadre" refers to the fact that their grandfather was a Soviet official as the chairman of the Lower Adimi collective farm in the Poset district near the Russian-Korean border. She was born on June 16, 1918. Her brother, Nikolai Nigai was born in 1908 and died in 2000.

Raisa often spoke in Korean (Hamgyong dialect), but she did not have a Korean name. Her grandfather was Ivan Ivanovich Nigai and a collective farm chairman. He himself was very Russified and felt that Russia, not Korea was his homeland or perhaps, just the Primore, which to Koreans was not solely Russian nor Korean, but both. In much of the narration that follows, Ms. Nigai did not give specific dates. From the Poset district, her family moved to Shkotovo which is outside of Vladivostok. Somehow, their neighbor was Ural, the head of the local OGPU/NKVD. In 1928, Nikolai began work at the Passport Division of the OGPU. He worked as a translator and at some point in the 1930s, he was transferred to regular NKVD work (OGPU became the NKVD after July 10, 1934).

In the fall of 1932 (or thereabouts), Raisa began her university education at the Korean Pedagogical Institute in Nikolsk-Ussuriisk. She left the university in February or March of 1937 with just 3–4 months until her graduation. Raisa stated that she quit because she ran out of money. Yet from March 8–10, 1937, Ms. Nigai attended a Soviet Korean women's conference which reinforced the belief that the Soviet state would continue to build a Korean community in the RFE. The state seemed to be disguising its plans to deport the entire Korean population.

Nikolai was earning a very nice salary. Raisa was receiving a stipend (scholarship) for her room and board and her tuition was completely free. In Ms. Nigai's August 15, 1937 photo, taken with her friends in winter clothes (along with another photo in summer clothes which is not included in this article; both photos were taken at the same photo studio) made it apparent that instead of "running out of money," it is more likely that her brother informed her in early spring 1937 that the Koreans would soon be deported. This is also why Raisa and her friends took her "goodbye" photos in winter and summer wear on August 15, six days before the Korean deportation order was issued by Soviet state (on August 21, 1937).

During the first interview (September 9), she had told me that her brother had not participated in the Korean deportation. After the interview on September 20, Ms. Nigai showed me her photos

[42] Diliara Khabirovna Abuziarova, Interview by Emil Liu, April 15, 2017, Bukhara, Uzbekistan. Interview questions composed by Jon Chang.

(taken prior to and during the Korean deportation) and I understood that he, in fact, did. I then asked her again if her brother had taken part in the Korean deportation. She answered, "He was doing his work then." I rephrased the question. "Was he doing NKVD work or passport work?" She answered, "He was doing NKVD work." I then inquired about Nikolai Nigai's children. Two of the three were still alive. I asked Nikolai's son for an interview. He was 72 years old in 2009. He refused. He then spoke to his aunt, Raisa and began haranguing her about "keeping quiet" and "do not tell him anything." She did not like this but it continued for another five to seven minutes. After this, I think that Ms. Nigai was angry at me, so I left her home. The pictures had answered the main question(s) for me (along with her narration of the context behind the pictures).

In December 1937 or early January 1938, both of the Nigais and their mother arrived in Karaganda, Kazakhstan. Ms. Nigai only stated that, "It was very cold when we arrived in Kazakhstan." Their deportation experience was not at all like that of the common citizen, that is, the Koreans who were not Soviet cadres. The Nigais arrived and were met by some of the officials from the local CP committee (Raikom). They received a warm house and plenty of food during their first year in Karaganda. They also spoke mostly Russian the entire year as they socialized and worked with mostly native Russian speakers (Russian, Ukrainians and others). After his work in the Korean deportation, Nikolai as part of a "politically suspect" nationality was released from the NKVD. He took a stipend to study a short course in accounting and then began work in a bank as an accountant.[43]

Figure 7: (Left) photo- Raisa Nigai and her friends, August 15, 1937 in winter clothes at a photo studio. (Right) The backside of the photo is dated August 15, 1937 with the names Liubov Rudich, Shepelenko, Gala (iu) and Raisa Nigai. Courtesy of Raisa Nigai.

[43] Raisa Nigai, Interview by Jon Chang, September 9 and 20, 2009 in Kolkhoz Sverdlov, outside of Tashkent, Uzbekistan.

Figure 8: Nikolai Nigai in his NKVD uniform, Shkotovo branch, May 29, 1934. Photo courtesy of Raisa Nigai. Date from the backside of the photo.

Figure 9: Nikolai Nigai (left) in 1935 with his friend, the Korean man who replaced him in the passport division of the NKVD office. See white-collar uniform of the passport division circa 1930s to the right.

Figure 10: Raisa Nigai (far right) and mother (second from far left) at *Sanatoria Okeanskaia* translated as either "Pacific Spa" or "Ocean Spa" on October 22, 1937. They were waiting for Nikolai to complete his work.

Conclusion

The findings from this study focus on the four hundred East Asians recruited into the Soviet GRU and OGPU/NKVD through institutions of higher education plus another two hundred or more recruited through other means and channels. The reason for the addition of the latter is because this author met and interviewed the families of six Chinese and Korean Soviet intelligence agents (Shen Li, who began GPU work in 1920 and Khai Ir Ti, Grigorii Eliseevich Khan [Khan Chan Ger], Ven Sian Liu, Nikolai Nigai and Nikolai Kuzmich Khan). Only Nikolai K. Khan had any possibility of entering an institute of higher education as he was recruited at a *rabfak* (a remedial-education institute for workers which typically offered very basic educational services) in 1935.[44]

Prior to this article, there had been little in-depth research and oral history on East Asians in the Soviet intelligence organizations. The historiography of East Asians in the NKVD and GRU had also been very sparse and intermittent. In the literature on the Soviet intelligence organs, one could find typically only one-to-two lines about the Chinese or Koreans in the Red Guards, OPGU, NKVD or GRU. There was little or no character development and very little sense of these Soviet agents as fully developed, three dimensional personages. However, this lack of depth is reflective of how the Soviet archives depicted the achievements and the lives of their diaspora peoples and national minorities (especially those without autonomous republics and or union republics during the Stalinist period such as the Chinese and the Koreans). This weakness and bias of the Russian archives has been offset or

[44] Elizaveta Li, Interview by Jon Chang, December 18, 2016, Bishkek, Kyrgyzstan.

ameliorated through long term fieldwork, interviews and contemporary photographs which were collected by this author.

From 1920 to 1945, the Soviet East Asian agents repeatedly crossed the Soviet borders into Korea, Manchuria (Manchukuo) and China to complete dangerous missions for the Soviet Union. The six hundred plus total agents were, typically, voluntarily recruited into the Soviet intelligence organs.[45] They were heavily swayed by several significant factors which Soviet socialism offered: equality, a brotherhood of workers and peasants (a feeling of unity), the utopian ideal of a world revolution and an ideology which recruited and converted believers with not only words and literature, but also material advantages, that is, educational opportunities, scholarships and jobs as Soviet cadres in state institutions. Soviet socialism and Soviet intelligence's heavy reliance on humint (human intelligence collection) gave incredible educational and occupational opportunities to young Soviet Koreans from the Russian Far East, some Soviet Chinese (such as Ven Sian Liu) and many young Chinese student-emigrants. For the young Koreans, many achieved status and recognition far beyond that of their peers, parents and extended families. Their completion of higher education and subsequent Soviet cadre jobs lifted them out of the collective farms and moved them into white collar jobs in the cities, as administrators and leaders on the collective farms and as Soviet political police agents and officers.

Then beginning in late 1935, the Great Terror began. The diaspora peoples of the USSR were accused of being "enemy nations," wreckers, diversionists and fifth-columnist spy elements for their ancestral homelands.[46] Some 180 out of the 400 students recruited at the Chinese-Lenin School were arrested during the Great Terror.[47] In the author's opinion, the number of arrested students is an extremely conservative estimate because as Ancha's *Kitaiskaia diaspora* notes the Chinese agents used numerous Russian and Chinese names as well as various aliases.[48] They were and remain impossible to track. The Terror also led to the closing of the CLS in 1938.[49] This research as well as others by this author puts to rest the idea that the nationalities deportations (as specifically related to the Soviet

[45] Ancha's history of the CLS did not provide any accounts of students being coerced into working for Soviet intelligence. Additionally, this author met and interviewed the families of six East Asian NKVD and GRU agents. All six had joined voluntarily and enthusiastically. All sixty of my Soviet Korean interviewees wholeheartedly supported the Soviet government while almost all disagreed with the necessity of the Korean deportation. It is my opinion that I have provided an accurate assessment of the Russian-Korean deportees and their community via structured interviews, fieldwork, photos (from the subject's personal photo album) and videos shot from 2005 to 2017. There are few photos of Soviet Koreans and Chinese in the archives. Those which are present have typically been used several times. A full three-dimensional historical study on the Soviet Koreans and Chinese required that I collect and build my own photo archive, conduct interviews *in situ* (in Soviet Central Asia), in some cases, conduct multiple interviews with typically one to two years in between and read and try to understand the narrative of the Soviet state archives. The latter, in my opinion, typically provides a history or point of view which is quite distinct from that of oral history. It is a state-sanctioned narrative.

[46] Chang, *Burnt*, 151–179.

[47] Dmitrii Ancha, email to Jon Chang, February 20, 2018.

[48] Ancha, *Kitaiskaia diaspora*, 252.

[49] Ibid., 284.

Koreans and Chinese) were purely ideological[50] and as a result of the two Soviet diaspora peoples being disloyal and or displaying an inability to fully "Sovietize" or reforge themselves as loyal Soviet citizens.[51]

Some of the Soviet Chinese did have problems with being considered somewhat to very "foreign." However, the USSR clearly stated during this period that its policies were to produce various peoples and ethnic groups who were "national in form [racial, ethnic], socialist in content [ideology]."[52] The Chinese at the CLS clearly demonstrated that they were Soviet in content. They were foreign, but most could speak Russian competently while being able to read Russian much better than they could speak it. The Koreans had far fewer problems with assimilation to either Russian or Soviet culture since most had been born in Russia and were bilingual.

Both diaspora groups served the USSR in intelligence operations from 1920 to 1945. If they had truly been disloyal, unable to Sovietize or incompetent, these problems would have surfaced much earlier (such as in the early 1920s) and their deployment would have ended. The Soviet state would not have re-recruited East Asians after 1938 (the year that the Great Terror ended) for service in Soviet intelligence operations had they not been loyal and sufficiently Soviet in their ideology. The argument of "Soviet xenophobia," that is the ethnic cleansing[53] of the two East Asian nationalities during the "Great Terror" and similar theories have been debunked.[54] Both Liu (Chinese) and Ti (Korean) either served or were offered work as Soviet intelligence agents after 1938. Ven Sian Liu conducted GRU reconnaissance missions from 1941 to 1944. Khai Ir Ti was recruited by the Russian General Staff and the GRU for a mission to Manchuria sometime between 1942 and 1945. Mr. Ti (a former NKVD officer from 1935 to 1941) refused the offer.[55]

Their nationalities remained the same. The threat of war against Japan only increased exponentially after 1938 due to the battles against the Imperial Japanese Army (IJA) at Khalkhin Gol

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[50] Terry Martin, "Origins of Soviet Ethnic Cleansing," *The Journal of Modern History* 70: 4 (1998): 829, 860. See page 860, "However, the Soviet case is unusual since, as I have emphasized, Soviet xenophobia was an ideological rather than an ethnic concept." Other research by this author refers to the monograph, *Burnt by the Sun: The Koreans of the Russian Far East*.

[51] Francine Hirsch, "Race without the Practice of Racial Politics," *Slavic Review* 61: 1 (2002): 38; see pages 40, 42, and 43 for similar statements.

[52] Joseph Stalin, *Marxism and the National and Colonial Question* (repr. 1935, Honolulu: University Press of the Pacific, 2003), 260.

[53] In this context, the ethnic cleansing of both the Soviet Chinese and Koreans include: their removal from the Red Army, their removal from positions as Soviet cadres, their repressions and their deportations.

[54] See Martin, "Origins," 829 which states, "Soviet xenophobia was ideological and not ethnic. It was spurred by an ideological hatred and suspicion of foreign capitalist governments, not the national hatred of non-Russians." In regards to "similar theories," see Sheila Fitzpatrick, *Everyday Stalinism: Ordinary Life in Extraordinary Times. Soviet Russia in the 1930s* (Oxford: Oxford University Press, 1999), 7 (the entire middle paragraph, see "the enemies, like witches in earlier ages, bore no reliable external marks."); Amir Weiner, "Nothing but Certainty," *Slavic Review* 61:1 (Spring 2002): 46 (see "it was their territorial identity and not …"); and Francine Hirsch, *Empire of Nations: Ethnographic Knowledge and the Making of the Soviet Union* (Ithaca: Cornell University Press, 2005), 264, 272, 275, 295.

[55] See Anna Vasilevna Ti interview, Interview by Jon Chang, November 21, 2016, Bishkek, Kyrgyzstan. Ms. Anna Ti was the daughter of Khai Ir Ti.

(1939) and Lake Khasan (1938). Instead, the main problem which led to the nationalities deportations and the closing of the CLS was that in the 1930s, the Soviets regarded nationality and political loyalty as primordial and essentialized qualities.[56] These policies did not differentiate (in the case of the Soviet Koreans) between Koreans from Japan, Manchuria, USSR or Korea. All were ascribed to be equally Korean and loyal to Korea. Likewise, the Soviet Chinese were judged to be loyal to China no matter how many missions they served for Soviet intelligence.[57] These views and practices are absolutely non-Marxist and forms of ethnic chauvinism. For the diaspora nationalities, this was a no-win situation.

During World War One, Tsarist Russian views of race and its ethnic minorities led to the labeling and deportation of Russia's Germans, Jews and Poles as "internal enemies" and "alien enemies."[58] These categories and deportations strongly resembled those of the Great Terror and the deportations of the Soviet Koreans and Chinese during the late 1930s. In the author's opinion, if the Soviets and Stalin had kept an open mind (and had conscientiously practiced Soviet socialism), they would have understood that the cultural similarities between the Chinese, Japanese and Koreans did not lead to a Japanese-led "yellow juggernaut" which sought to overthrow the USSR.[59] The political identities of the Chinese, Japanese and Koreans had been separate and distinct for over a thousand years. The three groups had fought, subjugated and humiliated one another under all manners of states, alliances, and political entities. Likewise, the political loyalties of the Soviet Koreans and Chinese were distinct from those of their co-ethnics from Korea and China. If the Soviets had truly understood their own slogan "national in form, Soviet in content," the nationalities deportations and the closing of the CLS would not have taken place.

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[56] For the Chinese and Koreans, this had been a constant since the inception of the USSR.

[57] Chang, *Burnt*, 49.

[58] Ibid., 29–32. Also a few thousand Chinese laborers in Russia were labeled as German spies and deported during World War One.

[59] "Yellow juggernaut" refers to Japan as a military and imperial force during the early 1930s to the end of the Second World War. In this context, "juggernaut" is the more appropriate term (rather than "yellow peril").